

# Hoare Logic for Parallel Programs

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## Abstract

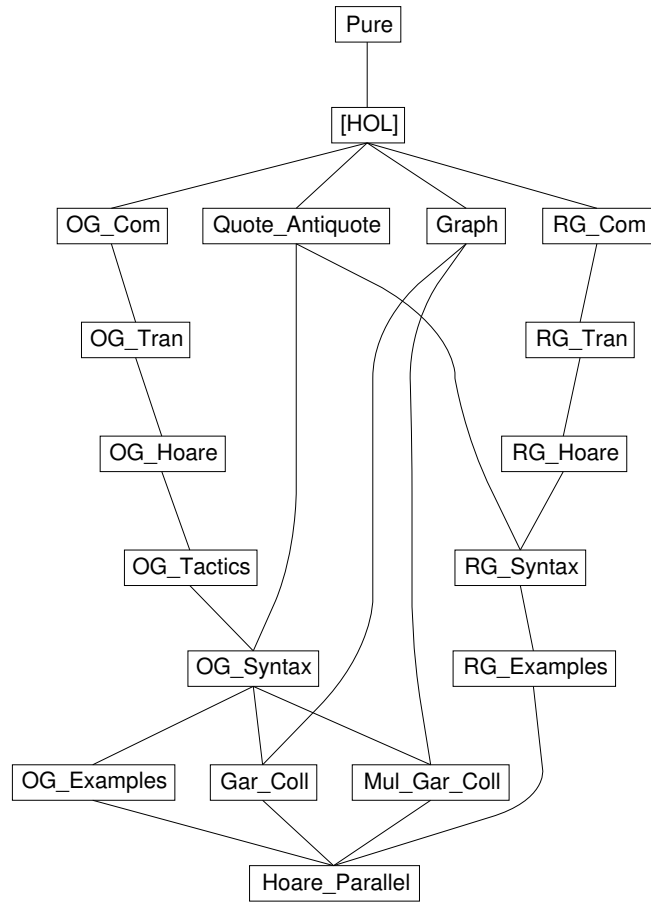
In the following theories a formalization of the Owicki-Gries and the rely-guarantee methods is presented. These methods are widely used for correctness proofs of parallel imperative programs with shared variables. We define syntax, semantics and proof rules in Isabelle/HOL. The proof rules also provide for programs parameterized in the number of parallel components. Their correctness w.r.t. the semantics is proven. Completeness proofs for both methods are extended to the new case of parameterized programs. (These proofs have not been formalized in Isabelle. They can be found in [1].) Using this formalizations we verify several non-trivial examples for parameterized and non-parameterized programs. For the automatic generation of verification conditions with the Owicki-Gries method we define a tactic based on the proof rules. The most involved examples are the verification of two garbage-collection algorithms, the second one parameterized in the number of mutators.

For excellent descriptions of this work see [2, 4, 1, 3].

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# Chapter 1

## The Owicki-Gries Method

### 1.1 Abstract Syntax

**theory** *OG-Com* **imports** *Main* **begin**

Type abbreviations for boolean expressions and assertions:

**type-synonym** *'a bexp* = *'a set*

**type-synonym** *'a assn* = *'a set*

The syntax of commands is defined by two mutually recursive datatypes: *'a ann-com* for annotated commands and *'a com* for non-annotated commands.

**datatype** *'a ann-com* =  
  *AnnBasic* (*'a assn*) (*'a  $\Rightarrow$  'a*)  
  | *AnnSeq* (*'a ann-com*) (*'a ann-com*)  
  | *AnnCond1* (*'a assn*) (*'a bexp*) (*'a ann-com*) (*'a ann-com*)  
  | *AnnCond2* (*'a assn*) (*'a bexp*) (*'a ann-com*)  
  | *AnnWhile* (*'a assn*) (*'a bexp*) (*'a assn*) (*'a ann-com*)  
  | *AnnAwait* (*'a assn*) (*'a bexp*) (*'a com*)  
**and** *'a com* =  
  *Parallel* (*'a ann-com option*  $\times$  *'a assn*) *list*  
  | *Basic* (*'a  $\Rightarrow$  'a*)  
  | *Seq* (*'a com*) (*'a com*)  
  | *Cond* (*'a bexp*) (*'a com*) (*'a com*)  
  | *While* (*'a bexp*) (*'a assn*) (*'a com*)

The function *pre* extracts the precondition of an annotated command:

**primrec** *pre* :: *'a ann-com  $\Rightarrow$  'a assn* **where**  
  *pre* (*AnnBasic* *r f*) = *r*  
  | *pre* (*AnnSeq* *c1 c2*) = *pre c1*  
  | *pre* (*AnnCond1* *r b c1 c2*) = *r*  
  | *pre* (*AnnCond2* *r b c*) = *r*  
  | *pre* (*AnnWhile* *r b i c*) = *r*  
  | *pre* (*AnnAwait* *r b c*) = *r*

Well-formedness predicate for atomic programs:

```

primrec atom-com :: 'a com  $\Rightarrow$  bool where
  atom-com (Parallel Ts) = False
| atom-com (Basic f) = True
| atom-com (Seq c1 c2) = (atom-com c1  $\wedge$  atom-com c2)
| atom-com (Cond b c1 c2) = (atom-com c1  $\wedge$  atom-com c2)
| atom-com (While b i c) = atom-com c

```

**end**

## 1.2 Operational Semantics

**theory** OG-Tran **imports** OG-Com **begin**

```

type-synonym 'a ann-com-op = ('a ann-com) option
type-synonym 'a ann-triple-op = ('a ann-com-op  $\times$  'a assn)

```

```

primrec com :: 'a ann-triple-op  $\Rightarrow$  'a ann-com-op where
  com (c, q) = c

```

```

primrec post :: 'a ann-triple-op  $\Rightarrow$  'a assn where
  post (c, q) = q

```

```

definition All-None :: 'a ann-triple-op list  $\Rightarrow$  bool where
  All-None Ts  $\equiv \forall (c, q) \in \text{set } Ts. c = \text{None}$ 

```

### 1.2.1 The Transition Relation

**inductive-set**

```

  ann-transition :: (('a ann-com-op  $\times$  'a)  $\times$  ('a ann-com-op  $\times$  'a)) set
  and transition :: (('a com  $\times$  'a)  $\times$  ('a com  $\times$  'a)) set
  and ann-transition' :: ('a ann-com-op  $\times$  'a)  $\Rightarrow$  ('a ann-com-op  $\times$  'a)  $\Rightarrow$  bool
    (- -1  $\rightarrow$  -[81,81] 100)
  and transition' :: ('a com  $\times$  'a)  $\Rightarrow$  ('a com  $\times$  'a)  $\Rightarrow$  bool
    (- -P1  $\rightarrow$  -[81,81] 100)
  and transitions :: ('a com  $\times$  'a)  $\Rightarrow$  ('a com  $\times$  'a)  $\Rightarrow$  bool
    (- -P*  $\rightarrow$  -[81,81] 100)

```

**where**

```

  con-0 -1  $\rightarrow$  con-1  $\equiv$  (con-0, con-1)  $\in$  ann-transition
| con-0 -P1  $\rightarrow$  con-1  $\equiv$  (con-0, con-1)  $\in$  transition
| con-0 -P*  $\rightarrow$  con-1  $\equiv$  (con-0, con-1)  $\in$  transition*

| AnnBasic: (Some (AnnBasic r f), s) -1  $\rightarrow$  (None, f s)

| AnnSeq1: (Some c0, s) -1  $\rightarrow$  (None, t)  $\implies$ 
  (Some (AnnSeq c0 c1), s) -1  $\rightarrow$  (Some c1, t)
| AnnSeq2: (Some c0, s) -1  $\rightarrow$  (Some c2, t)  $\implies$ 
  (Some (AnnSeq c0 c1), s) -1  $\rightarrow$  (Some (AnnSeq c2 c1), t)

| AnnCond1T: s  $\in$  b  $\implies$  (Some (AnnCond1 r b c1 c2), s) -1  $\rightarrow$  (Some c1, s)

```

| *AnnCond1F*:  $s \notin b \implies (\text{Some } (\text{AnnCond1 } r \ b \ c1 \ c2), s) -1 \rightarrow (\text{Some } c2, s)$

| *AnnCond2T*:  $s \in b \implies (\text{Some } (\text{AnnCond2 } r \ b \ c), s) -1 \rightarrow (\text{Some } c, s)$

| *AnnCond2F*:  $s \notin b \implies (\text{Some } (\text{AnnCond2 } r \ b \ c), s) -1 \rightarrow (\text{None}, s)$

| *AnnWhileF*:  $s \notin b \implies (\text{Some } (\text{AnnWhile } r \ b \ i \ c), s) -1 \rightarrow (\text{None}, s)$

| *AnnWhileT*:  $s \in b \implies (\text{Some } (\text{AnnWhile } r \ b \ i \ c), s) -1 \rightarrow$   
 $(\text{Some } (\text{AnnSeq } c \ (\text{AnnWhile } i \ b \ i \ c)), s)$

| *AnnAwait*:  $\llbracket s \in b; \text{atom-com } c; (c, s) -P^* \rightarrow (\text{Parallel } [], t) \rrbracket \implies$   
 $(\text{Some } (\text{AnnAwait } r \ b \ c), s) -1 \rightarrow (\text{None}, t)$

| *Parallel*:  $\llbracket i < \text{length } Ts; Ts!i = (\text{Some } c, q); (\text{Some } c, s) -1 \rightarrow (r, t) \rrbracket$   
 $\implies (\text{Parallel } Ts, s) -P1 \rightarrow (\text{Parallel } (Ts [i := (r, q)]), t)$

| *Basic*:  $(\text{Basic } f, s) -P1 \rightarrow (\text{Parallel } [], f \ s)$

| *Seq1*:  $\text{All-None } Ts \implies (\text{Seq } (\text{Parallel } Ts) \ c, s) -P1 \rightarrow (c, s)$

| *Seq2*:  $(c0, s) -P1 \rightarrow (c2, t) \implies (\text{Seq } c0 \ c1, s) -P1 \rightarrow (\text{Seq } c2 \ c1, t)$

| *CondT*:  $s \in b \implies (\text{Cond } b \ c1 \ c2, s) -P1 \rightarrow (c1, s)$

| *CondF*:  $s \notin b \implies (\text{Cond } b \ c1 \ c2, s) -P1 \rightarrow (c2, s)$

| *WhileF*:  $s \notin b \implies (\text{While } b \ i \ c, s) -P1 \rightarrow (\text{Parallel } [], s)$

| *WhileT*:  $s \in b \implies (\text{While } b \ i \ c, s) -P1 \rightarrow (\text{Seq } c \ (\text{While } b \ i \ c), s)$

**monos** *rtrancl-mono*

The corresponding abbreviations are:

**abbreviation**

*ann-transition-n* ::  $('a \ \text{ann-com-op} \times 'a) \Rightarrow \text{nat} \Rightarrow ('a \ \text{ann-com-op} \times 'a)$   
 $\Rightarrow \text{bool } (- \dashrightarrow -[81,81] \ 100) \ \mathbf{where}$   
*con-0*  $-n \rightarrow \text{con-1} \equiv (\text{con-0}, \text{con-1}) \in \text{ann-transition} \ \hat{\hat{}} \ n$

**abbreviation**

*ann-transitions* ::  $('a \ \text{ann-com-op} \times 'a) \Rightarrow ('a \ \text{ann-com-op} \times 'a) \Rightarrow \text{bool}$   
 $(- \dashrightarrow -[81,81] \ 100) \ \mathbf{where}$   
*con-0*  $\dashrightarrow \text{con-1} \equiv (\text{con-0}, \text{con-1}) \in \text{ann-transition}^*$

**abbreviation**

*transition-n* ::  $('a \ \text{com} \times 'a) \Rightarrow \text{nat} \Rightarrow ('a \ \text{com} \times 'a) \Rightarrow \text{bool}$   
 $(- \dashrightarrow -P \dashrightarrow -[81,81,81] \ 100) \ \mathbf{where}$   
*con-0*  $-Pn \rightarrow \text{con-1} \equiv (\text{con-0}, \text{con-1}) \in \text{transition} \ \hat{\hat{}} \ n$

## 1.2.2 Definition of Semantics

**definition** *ann-sem* ::  $'a \ \text{ann-com} \Rightarrow 'a \Rightarrow 'a \ \text{set} \ \mathbf{where}$

*ann-sem*  $c \equiv \lambda s. \{t. (\text{Some } c, s) \dashrightarrow (\text{None}, t)\}$

**definition** *ann-SEM* :: 'a *ann-com*  $\Rightarrow$  'a *set*  $\Rightarrow$  'a *set* **where**  
*ann-SEM* c S  $\equiv \bigcup \text{ann-sem } c \text{ ' } S$

**definition** *sem* :: 'a *com*  $\Rightarrow$  'a  $\Rightarrow$  'a *set* **where**  
*sem* c  $\equiv \lambda s. \{t. \exists Ts. (c, s) -P^* \rightarrow (\text{Parallel } Ts, t) \wedge \text{All-None } Ts\}$

**definition** *SEM* :: 'a *com*  $\Rightarrow$  'a *set*  $\Rightarrow$  'a *set* **where**  
*SEM* c S  $\equiv \bigcup \text{sem } c \text{ ' } S$

**abbreviation** *Omega* :: 'a *com* ( $\Omega$  63)  
**where**  $\Omega \equiv \text{While UNIV UNIV (Basic id)}$

**primrec** *fwhile* :: 'a *bexp*  $\Rightarrow$  'a *com*  $\Rightarrow$  *nat*  $\Rightarrow$  'a *com* **where**  
*fwhile* b c 0 =  $\Omega$   
| *fwhile* b c (Suc n) = *Cond* b (*Seq* c (*fwhile* b c n)) (*Basic id*)

## Proofs

**declare** *ann-transition-transition.intros* [*intro*]

**inductive-cases** *transition-cases*:

(*Parallel* T, s) -P1  $\rightarrow$  t  
(*Basic* f, s) -P1  $\rightarrow$  t  
(*Seq* c1 c2, s) -P1  $\rightarrow$  t  
(*Cond* b c1 c2, s) -P1  $\rightarrow$  t  
(*While* b i c, s) -P1  $\rightarrow$  t

**lemma** *Parallel-empty-lemma* [*rule-format (no-asm)*]:  
(*Parallel* [], s) -Pn  $\rightarrow$  (*Parallel* Ts, t)  $\longrightarrow$  Ts=[]  $\wedge$  n=0  $\wedge$  s=t  
<*proof*>

**lemma** *Parallel-AllNone-lemma* [*rule-format (no-asm)*]:  
*All-None* Ss  $\longrightarrow$  (*Parallel* Ss, s) -Pn  $\rightarrow$  (*Parallel* Ts, t)  $\longrightarrow$  Ts=Ss  $\wedge$  n=0  $\wedge$  s=t  
<*proof*>

**lemma** *Parallel-AllNone*: *All-None* Ts  $\implies$  (*SEM* (*Parallel* Ts) X) = X  
<*proof*>

**lemma** *Parallel-empty*: Ts=[]  $\implies$  (*SEM* (*Parallel* Ts) X) = X  
<*proof*>

Set of lemmas from Apt and Olderog "Verification of sequential and concurrent programs", page 63.

**lemma** *L3-5i*: X  $\subseteq$  Y  $\implies$  *SEM* c X  $\subseteq$  *SEM* c Y  
<*proof*>

**lemma** *L3-5ii-lemma1*:  
 $\llbracket (c1, s1) -P^* \rightarrow (\text{Parallel } Ts, s2); \text{All-None } Ts;$   
 $(c2, s2) -P^* \rightarrow (\text{Parallel } Ss, s3); \text{All-None } Ss \rrbracket$   
 $\implies (\text{Seq } c1 \ c2, s1) -P^* \rightarrow (\text{Parallel } Ss, s3)$

$\langle proof \rangle$

**lemma** *L3-5ii-lemma2* [rule-format (no-asm)]:

$\forall c1\ c2\ s\ t. (Seq\ c1\ c2, s) -Pn \rightarrow (Parallel\ Ts, t) \rightarrow$   
 $(All\ None\ Ts) \rightarrow (\exists y\ m\ Rs. (c1, s) -P* \rightarrow (Parallel\ Rs, y) \wedge$   
 $(All\ None\ Rs) \wedge (c2, y) -Pm \rightarrow (Parallel\ Ts, t) \wedge m \leq n)$   
 $\langle proof \rangle$

**lemma** *L3-5ii-lemma3*:

$\llbracket (Seq\ c1\ c2, s) -P* \rightarrow (Parallel\ Ts, t); All\ None\ Ts \rrbracket \implies$   
 $(\exists y\ Rs. (c1, s) -P* \rightarrow (Parallel\ Rs, y) \wedge All\ None\ Rs$   
 $\wedge (c2, y) -P* \rightarrow (Parallel\ Ts, t))$   
 $\langle proof \rangle$

**lemma** *L3-5ii*:  $SEM\ (Seq\ c1\ c2)\ X = SEM\ c2\ (SEM\ c1\ X)$

$\langle proof \rangle$

**lemma** *L3-5iii*:  $SEM\ (Seq\ (Seq\ c1\ c2)\ c3)\ X = SEM\ (Seq\ c1\ (Seq\ c2\ c3))\ X$

$\langle proof \rangle$

**lemma** *L3-5iv*:

$SEM\ (Cond\ b\ c1\ c2)\ X = (SEM\ c1\ (X \cap b))\ Un\ (SEM\ c2\ (X \cap (-b)))$   
 $\langle proof \rangle$

**lemma** *L3-5v-lemma1* [rule-format]:

$(S, s) -Pn \rightarrow (T, t) \rightarrow S = \Omega \rightarrow \neg(\exists Rs. T = (Parallel\ Rs) \wedge All\ None\ Rs)$   
 $\langle proof \rangle$

**lemma** *L3-5v-lemma2*:  $\llbracket (\Omega, s) -P* \rightarrow (Parallel\ Ts, t); All\ None\ Ts \rrbracket \implies False$

$\langle proof \rangle$

**lemma** *L3-5v-lemma3*:  $SEM\ (\Omega)\ S = \{\}$

$\langle proof \rangle$

**lemma** *L3-5v-lemma4* [rule-format]:

$\forall s. (While\ b\ i\ c, s) -Pn \rightarrow (Parallel\ Ts, t) \rightarrow All\ None\ Ts \rightarrow$   
 $(\exists k. (fwhile\ b\ c\ k, s) -P* \rightarrow (Parallel\ Ts, t))$   
 $\langle proof \rangle$

**lemma** *L3-5v-lemma5* [rule-format]:

$\forall s. (fwhile\ b\ c\ k, s) -P* \rightarrow (Parallel\ Ts, t) \rightarrow All\ None\ Ts \rightarrow$   
 $(While\ b\ i\ c, s) -P* \rightarrow (Parallel\ Ts, t)$   
 $\langle proof \rangle$

**lemma** *L3-5v*:  $SEM\ (While\ b\ i\ c) = (\lambda x. (\bigcup k. SEM\ (fwhile\ b\ c\ k)\ x))$

$\langle proof \rangle$

### 1.3 Validity of Correctness Formulas

**definition** *com-validity* :: 'a assn  $\Rightarrow$  'a com  $\Rightarrow$  'a assn  $\Rightarrow$  bool (( $\exists$ ||= -// -//-) [90,55,90] 50) **where**  
 $\| = p \ c \ q \equiv SEM \ c \ p \subseteq q$

**definition** *ann-com-validity* :: 'a ann-com  $\Rightarrow$  'a assn  $\Rightarrow$  bool ( $\models$  - - [60,90] 45) **where**  
 $\models c \ q \equiv ann-SEM \ c \ (pre \ c) \subseteq q$

**end**

### 1.4 The Proof System

**theory** *OG-Hoare* **imports** *OG-Tran* **begin**

**primrec** *assertions* :: 'a ann-com  $\Rightarrow$  ('a assn) set **where**  
 $assertions \ (AnnBasic \ r \ f) = \{r\}$   
 $| \ assertions \ (AnnSeq \ c1 \ c2) = assertions \ c1 \cup assertions \ c2$   
 $| \ assertions \ (AnnCond1 \ r \ b \ c1 \ c2) = \{r\} \cup assertions \ c1 \cup assertions \ c2$   
 $| \ assertions \ (AnnCond2 \ r \ b \ c) = \{r\} \cup assertions \ c$   
 $| \ assertions \ (AnnWhile \ r \ b \ i \ c) = \{r, i\} \cup assertions \ c$   
 $| \ assertions \ (AnnAwait \ r \ b \ c) = \{r\}$

**primrec** *atomics* :: 'a ann-com  $\Rightarrow$  ('a assn  $\times$  'a com) set **where**  
 $atomics \ (AnnBasic \ r \ f) = \{(r, Basic \ f)\}$   
 $| \ atomics \ (AnnSeq \ c1 \ c2) = atomics \ c1 \cup atomics \ c2$   
 $| \ atomics \ (AnnCond1 \ r \ b \ c1 \ c2) = atomics \ c1 \cup atomics \ c2$   
 $| \ atomics \ (AnnCond2 \ r \ b \ c) = atomics \ c$   
 $| \ atomics \ (AnnWhile \ r \ b \ i \ c) = atomics \ c$   
 $| \ atomics \ (AnnAwait \ r \ b \ c) = \{(r \cap b, c)\}$

**primrec** *com* :: 'a ann-triple-op  $\Rightarrow$  'a ann-com-op **where**  
 $com \ (c, q) = c$

**primrec** *post* :: 'a ann-triple-op  $\Rightarrow$  'a assn **where**  
 $post \ (c, q) = q$

**definition** *interfree-aux* :: ('a ann-com-op  $\times$  'a assn  $\times$  'a ann-com-op)  $\Rightarrow$  bool **where**  
 $interfree-aux \equiv \lambda(co, q, co'). \ co' = None \vee$   
 $(\forall (r, a) \in atomics \ (the \ co'). \ \| = (q \cap r) \ a \ q \wedge$   
 $(co = None \vee (\forall p \in assertions \ (the \ co). \ \| = (p \cap r) \ a \ p)))$

**definition** *interfree* :: (('a ann-triple-op) list)  $\Rightarrow$  bool **where**  
 $interfree \ Ts \equiv \forall i \ j. \ i < length \ Ts \wedge j < length \ Ts \wedge i \neq j \longrightarrow$   
 $interfree-aux \ (com \ (Ts!i), post \ (Ts!i), com \ (Ts!j))$

**inductive**

*oghoare* :: 'a *assn* ⇒ 'a *com* ⇒ 'a *assn* ⇒ bool ((3||- -//--//-) [90,55,90] 50)  
**and** *ann-hoare* :: 'a *ann-com* ⇒ 'a *assn* ⇒ bool ((2+ -// -) [60,90] 45)

**where**

*AnnBasic*:  $r \subseteq \{s. f s \in q\} \Longrightarrow \vdash (\text{AnnBasic } r f) q$

| *AnnSeq*:  $\llbracket \vdash c0 \text{ pre } c1; \vdash c1 q \rrbracket \Longrightarrow \vdash (\text{AnnSeq } c0 c1) q$

| *AnnCond1*:  $\llbracket r \cap b \subseteq \text{pre } c1; \vdash c1 q; r \cap \neg b \subseteq \text{pre } c2; \vdash c2 q \rrbracket$   
 $\Longrightarrow \vdash (\text{AnnCond1 } r b c1 c2) q$

| *AnnCond2*:  $\llbracket r \cap b \subseteq \text{pre } c; \vdash c q; r \cap \neg b \subseteq q \rrbracket \Longrightarrow \vdash (\text{AnnCond2 } r b c) q$

| *AnnWhile*:  $\llbracket r \subseteq i; i \cap b \subseteq \text{pre } c; \vdash c i; i \cap \neg b \subseteq q \rrbracket$   
 $\Longrightarrow \vdash (\text{AnnWhile } r b i c) q$

| *AnnAwait*:  $\llbracket \text{atom-com } c; \llbracket - (r \cap b) c q \rrbracket \rrbracket \Longrightarrow \vdash (\text{AnnAwait } r b c) q$

| *AnnConseq*:  $\llbracket \vdash c q; q \subseteq q' \rrbracket \Longrightarrow \vdash c q'$

| *Parallel*:  $\llbracket \forall i < \text{length } Ts. \exists c q. Ts!i = (\text{Some } c, q) \wedge \vdash c q; \text{interfree } Ts \rrbracket$   
 $\Longrightarrow \llbracket - (\bigcap i \in \{i. i < \text{length } Ts\}. \text{pre}(\text{the}(\text{com}(Ts!i))))$   
*Parallel* *Ts*  
 $(\bigcap i \in \{i. i < \text{length } Ts\}. \text{post}(Ts!i)) \rrbracket$

| *Basic*:  $\llbracket - \{s. f s \in q\} (\text{Basic } f) q \rrbracket$

| *Seq*:  $\llbracket \llbracket - p c1 r; \llbracket - r c2 q \rrbracket \rrbracket \Longrightarrow \llbracket - p (\text{Seq } c1 c2) q \rrbracket$

| *Cond*:  $\llbracket \llbracket - (p \cap b) c1 q; \llbracket - (p \cap \neg b) c2 q \rrbracket \rrbracket \Longrightarrow \llbracket - p (\text{Cond } b c1 c2) q \rrbracket$

| *While*:  $\llbracket \llbracket - (p \cap b) c p \rrbracket \rrbracket \Longrightarrow \llbracket - p (\text{While } b i c) (p \cap \neg b) \rrbracket$

| *Conseq*:  $\llbracket p' \subseteq p; \llbracket - p c q; q \subseteq q' \rrbracket \rrbracket \Longrightarrow \llbracket - p' c q' \rrbracket$

## 1.5 Soundness

**lemmas** [*cong del*] = *if-weak-cong*

**lemmas** *ann-hoare-induct* = *oghoare-ann-hoare.induct* [*THEN conjunct2*]

**lemmas** *oghoare-induct* = *oghoare-ann-hoare.induct* [*THEN conjunct1*]

**lemmas** *AnnBasic* = *oghoare-ann-hoare.AnnBasic*

**lemmas** *AnnSeq* = *oghoare-ann-hoare.AnnSeq*

**lemmas** *AnnCond1* = *oghoare-ann-hoare.AnnCond1*

**lemmas** *AnnCond2* = *oghoare-ann-hoare.AnnCond2*

**lemmas** *AnnWhile* = *oghoare-ann-hoare.AnnWhile*

**lemmas** *AnnAwait* = *oghoare-ann-hoare.AnnAwait*

**lemmas** *AnnConseq* = *oghoare-ann-hoare.AnnConseq*

**lemmas** *Parallel* = *oghoare-ann-hoare.Parallel*  
**lemmas** *Basic* = *oghoare-ann-hoare.Basic*  
**lemmas** *Seq* = *oghoare-ann-hoare.Seq*  
**lemmas** *Cond* = *oghoare-ann-hoare.Cond*  
**lemmas** *While* = *oghoare-ann-hoare.While*  
**lemmas** *Conseq* = *oghoare-ann-hoare.Conseq*

### 1.5.1 Soundness of the System for Atomic Programs

**lemma** *Basic-ntran* [*rule-format*]:

$(\text{Basic } f, s) -Pn \rightarrow (\text{Parallel } Ts, t) \longrightarrow \text{All-None } Ts \longrightarrow t = f s$   
 ⟨*proof*⟩

**lemma** *SEM-fwhile*:  $SEM S (p \cap b) \subseteq p \implies SEM (fwhile b S k) p \subseteq (p \cap -b)$   
 ⟨*proof*⟩

**lemma** *atom-hoare-sound* [*rule-format*]:

$\| - p c q \longrightarrow \text{atom-com}(c) \longrightarrow \| = p c q$   
 ⟨*proof*⟩

### 1.5.2 Soundness of the System for Component Programs

**inductive-cases** *ann-transition-cases*:

$(\text{None}, s) -1 \rightarrow (c', s')$   
 $(\text{Some } (\text{AnnBasic } r f), s) -1 \rightarrow (c', s')$   
 $(\text{Some } (\text{AnnSeq } c1 c2), s) -1 \rightarrow (c', s')$   
 $(\text{Some } (\text{AnnCond1 } r b c1 c2), s) -1 \rightarrow (c', s')$   
 $(\text{Some } (\text{AnnCond2 } r b c), s) -1 \rightarrow (c', s')$   
 $(\text{Some } (\text{AnnWhile } r b I c), s) -1 \rightarrow (c', s')$   
 $(\text{Some } (\text{AnnAwait } r b c), s) -1 \rightarrow (c', s')$

Strong Soundness for Component Programs:

**lemma** *ann-hoare-case-analysis* [*rule-format*]:  $\vdash C q' \longrightarrow$

$((\forall r f. C = \text{AnnBasic } r f \longrightarrow (\exists q. r \subseteq \{s. f s \in q\} \wedge q \subseteq q')) \wedge$   
 $(\forall c0 c1. C = \text{AnnSeq } c0 c1 \longrightarrow (\exists q. q \subseteq q' \wedge \vdash c0 \text{ pre } c1 \wedge \vdash c1 q)) \wedge$   
 $(\forall r b c1 c2. C = \text{AnnCond1 } r b c1 c2 \longrightarrow (\exists q. q \subseteq q' \wedge$   
 $r \cap b \subseteq \text{pre } c1 \wedge \vdash c1 q \wedge r \cap -b \subseteq \text{pre } c2 \wedge \vdash c2 q)) \wedge$   
 $(\forall r b c. C = \text{AnnCond2 } r b c \longrightarrow$   
 $(\exists q. q \subseteq q' \wedge r \cap b \subseteq \text{pre } c \wedge \vdash c q \wedge r \cap -b \subseteq q)) \wedge$   
 $(\forall r i b c. C = \text{AnnWhile } r b i c \longrightarrow$   
 $(\exists q. q \subseteq q' \wedge r \subseteq i \wedge i \cap b \subseteq \text{pre } c \wedge \vdash c i \wedge i \cap -b \subseteq q)) \wedge$   
 $(\forall r b c. C = \text{AnnAwait } r b c \longrightarrow (\exists q. q \subseteq q' \wedge \| - (r \cap b) c q)))$   
 ⟨*proof*⟩

**lemma** *Help*:  $(\text{transition} \cap \{(x,y). \text{True}\}) = (\text{transition})$   
 ⟨*proof*⟩

**lemma** *Strong-Soundness-aux-aux* [*rule-format*]:

$(co, s) -1 \rightarrow (co', t) \longrightarrow (\forall c. co = \text{Some } c \longrightarrow s \in \text{pre } c \longrightarrow$

$(\forall q. \vdash c \ q \longrightarrow (\text{if } co' = \text{None} \text{ then } t \in q \text{ else } t \in \text{pre}(\text{the } co') \wedge \vdash (\text{the } co') \ q)))$   
 ⟨proof⟩

**lemma** *Strong-Soundness-aux*:  $\llbracket (\text{Some } c, s) \text{ -*} \rightarrow (co, t); s \in \text{pre } c; \vdash c \ q \rrbracket$   
 $\implies \text{if } co = \text{None} \text{ then } t \in q \text{ else } t \in \text{pre}(\text{the } co) \wedge \vdash (\text{the } co) \ q$   
 ⟨proof⟩

**lemma** *Strong-Soundness*:  $\llbracket (\text{Some } c, s) \text{ -*} \rightarrow (co, t); s \in \text{pre } c; \vdash c \ q \rrbracket$   
 $\implies \text{if } co = \text{None} \text{ then } t \in q \text{ else } t \in \text{pre}(\text{the } co)$   
 ⟨proof⟩

**lemma** *ann-hoare-sound*:  $\vdash c \ q \implies \models c \ q$   
 ⟨proof⟩

### 1.5.3 Soundness of the System for Parallel Programs

**lemma** *Parallel-length-post-P1*:  $(\text{Parallel } Ts, s) \text{ -P1} \rightarrow (R', t) \implies$   
 $(\exists Rs. R' = (\text{Parallel } Rs) \wedge (\text{length } Rs) = (\text{length } Ts) \wedge$   
 $(\forall i. i < \text{length } Ts \longrightarrow \text{post}(Rs \ ! \ i) = \text{post}(Ts \ ! \ i)))$   
 ⟨proof⟩

**lemma** *Parallel-length-post-PStar*:  $(\text{Parallel } Ts, s) \text{ -P*} \rightarrow (R', t) \implies$   
 $(\exists Rs. R' = (\text{Parallel } Rs) \wedge (\text{length } Rs) = (\text{length } Ts) \wedge$   
 $(\forall i. i < \text{length } Ts \longrightarrow \text{post}(Ts \ ! \ i) = \text{post}(Rs \ ! \ i)))$   
 ⟨proof⟩

**lemma** *assertions-lemma*:  $\text{pre } c \in \text{assertions } c$   
 ⟨proof⟩

**lemma** *interfree-aux1* [rule-format]:  
 $(c, s) \text{ -1} \rightarrow (r, t) \longrightarrow (\text{interfree-aux}(c1, q1, c) \longrightarrow \text{interfree-aux}(c1, q1, r))$   
 ⟨proof⟩

**lemma** *interfree-aux2* [rule-format]:  
 $(c, s) \text{ -1} \rightarrow (r, t) \longrightarrow (\text{interfree-aux}(c, q, a) \longrightarrow \text{interfree-aux}(r, q, a))$   
 ⟨proof⟩

**lemma** *interfree-lemma*:  $\llbracket (\text{Some } c, s) \text{ -1} \rightarrow (r, t); \text{interfree } Ts; i < \text{length } Ts;$   
 $Ts!i = (\text{Some } c, q) \rrbracket \implies \text{interfree } (Ts[i := (r, q)])$   
 ⟨proof⟩

Strong Soundness Theorem for Parallel Programs:

**lemma** *Parallel-Strong-Soundness-Seq-aux*:  
 $\llbracket \text{interfree } Ts; i < \text{length } Ts; \text{com}(Ts \ ! \ i) = \text{Some}(\text{AnnSeq } c0 \ c1) \rrbracket$   
 $\implies \text{interfree } (Ts[i := (\text{Some } c0, \text{pre } c1)])$   
 ⟨proof⟩

**lemma** *Parallel-Strong-Soundness-Seq* [rule-format (no-asm)]:  
 $\llbracket \forall i < \text{length } Ts. (\text{if } \text{com}(Ts!i) = \text{None} \text{ then } b \in \text{post}(Ts!i)$

$else\ b \in\ pre(the(com(Ts!i))) \wedge \vdash\ the(com(Ts!i))\ post(Ts!i);$   
 $com(Ts\ !\ i) = Some(AnnSeq\ c0\ c1);\ i < length\ Ts;\ interfree\ Ts\ ] \implies$   
 $(\forall\ ia < length\ Ts.\ (if\ com(Ts[i:= (Some\ c0,\ pre\ c1)]!\ ia) = None$   
 $\text{then } b \in post(Ts[i:= (Some\ c0,\ pre\ c1)]!\ ia)$   
 $else\ b \in pre(the(com(Ts[i:= (Some\ c0,\ pre\ c1)]!\ ia))) \wedge$   
 $\vdash\ the(com(Ts[i:= (Some\ c0,\ pre\ c1)]!\ ia))\ post(Ts[i:= (Some\ c0,\ pre\ c1)]!\ ia)))$   
 $\wedge\ interfree\ (Ts[i:= (Some\ c0,\ pre\ c1)])$   
 $\langle proof \rangle$

**lemma** *Parallel-Strong-Soundness-aux-aux* [rule-format]:

$(Some\ c,\ b) -1 \rightarrow (c0,\ t) \rightarrow$   
 $(\forall\ Ts.\ i < length\ Ts \rightarrow com(Ts\ !\ i) = Some\ c \rightarrow$   
 $(\forall\ i < length\ Ts.\ (if\ com(Ts\ !\ i) = None\ \text{then } b \in post(Ts!i)$   
 $else\ b \in pre(the(com(Ts!i))) \wedge \vdash\ the(com(Ts!i))\ post(Ts!i))) \rightarrow$   
 $interfree\ Ts \rightarrow$   
 $(\forall\ j.\ j < length\ Ts \wedge i \neq j \rightarrow (if\ com(Ts!j) = None\ \text{then } t \in post(Ts!j)$   
 $else\ t \in pre(the(com(Ts!j))) \wedge \vdash\ the(com(Ts!j))\ post(Ts!j)))$   
 $\langle proof \rangle$

**lemma** *Parallel-Strong-Soundness-aux* [rule-format]:

$\llbracket (Ts',s) -P* \rightarrow (Rs',t); Ts' = (Parallel\ Ts); interfree\ Ts;$   
 $\forall\ i.\ i < length\ Ts \rightarrow (\exists\ c\ q.\ (Ts\ !\ i) = (Some\ c,\ q) \wedge s \in pre\ c \wedge \vdash\ c\ q) \rrbracket \implies$   
 $\forall\ Rs.\ Rs' = (Parallel\ Rs) \rightarrow (\forall\ j.\ j < length\ Rs \rightarrow$   
 $(if\ com(Rs\ !\ j) = None\ \text{then } t \in post(Ts\ !\ j)$   
 $else\ t \in pre(the(com(Rs\ !\ j))) \wedge \vdash\ the(com(Rs\ !\ j))\ post(Ts\ !\ j))) \wedge interfree\ Rs$   
 $\langle proof \rangle$

**lemma** *Parallel-Strong-Soundness*:

$\llbracket (Parallel\ Ts,\ s) -P* \rightarrow (Parallel\ Rs,\ t); interfree\ Ts;\ j < length\ Rs;$   
 $\forall\ i.\ i < length\ Ts \rightarrow (\exists\ c\ q.\ Ts\ !\ i = (Some\ c,\ q) \wedge s \in pre\ c \wedge \vdash\ c\ q) \rrbracket \implies$   
 $if\ com(Rs\ !\ j) = None\ \text{then } t \in post(Ts\ !\ j)\ \text{else } t \in pre\ (the(com(Rs\ !\ j)))$   
 $\langle proof \rangle$

**lemma** *oghoare-sound* [rule-format]:  $\llbracket -\ p\ c\ q \rrbracket \rightarrow \llbracket =\ p\ c\ q \rrbracket$

$\langle proof \rangle$

end

## 1.6 Generation of Verification Conditions

**theory** *OG-Tactics*

**imports** *OG-Hoare*

**begin**

**lemmas** *ann-hoare-intros* = *AnnBasic AnnSeq AnnCond1 AnnCond2 AnnWhile AnnAwait AnnConseq*

**lemmas** *oghoare-intros* = *Parallel Basic Seq Cond While Conseq*

**lemma** *ParallelConseqRule*:

$$\begin{aligned} & \llbracket p \subseteq (\bigcap_{i \in \{i. i < \text{length } Ts\}}. \text{pre}(\text{the}(\text{com}(Ts ! i)))) \rrbracket; \\ & \llbracket - (\bigcap_{i \in \{i. i < \text{length } Ts\}}. \text{pre}(\text{the}(\text{com}(Ts ! i)))) \rrbracket \\ & \quad (\text{Parallel } Ts) \\ & \quad (\bigcap_{i \in \{i. i < \text{length } Ts\}}. \text{post}(Ts ! i)); \\ & \quad (\bigcap_{i \in \{i. i < \text{length } Ts\}}. \text{post}(Ts ! i)) \subseteq q \rrbracket \\ & \implies \llbracket - p (\text{Parallel } Ts) q \rrbracket \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *SkipRule*:  $p \subseteq q \implies \llbracket - p (\text{Basic id}) q \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma** *BasicRule*:  $p \subseteq \{s. (f s) \in q\} \implies \llbracket - p (\text{Basic } f) q \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma** *SeqRule*:  $\llbracket \llbracket - p \text{ c1 } r; \llbracket - r \text{ c2 } q \rrbracket \rrbracket \implies \llbracket - p (\text{Seq } \text{c1 } \text{c2}) q \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma** *CondRule*:  
 $\llbracket p \subseteq \{s. (s \in b \longrightarrow s \in w) \wedge (s \notin b \longrightarrow s \in w')\}; \llbracket - w \text{ c1 } q; \llbracket - w' \text{ c2 } q \rrbracket \rrbracket$   
 $\implies \llbracket - p (\text{Cond } b \text{ c1 } \text{c2}) q \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma** *WhileRule*:  $\llbracket p \subseteq i; \llbracket - (i \cap b) \text{ c } i; (i \cap (-b)) \subseteq q \rrbracket$   
 $\implies \llbracket - p (\text{While } b \text{ i } c) q \rrbracket$   
 $\langle \text{proof} \rangle$

Three new proof rules for special instances of the *AnnBasic* and the *AnnAwait* commands when the transformation performed on the state is the identity, and for an *AnnAwait* command where the boolean condition is  $\{s. \text{True}\}$ :

**lemma** *AnnatomRule*:  
 $\llbracket \text{atom-com}(c); \llbracket - r \text{ c } q \rrbracket \rrbracket \implies \vdash (\text{AnnAwait } r \{s. \text{True}\} c) q$   
 $\langle \text{proof} \rangle$

**lemma** *AnnskipRule*:  
 $r \subseteq q \implies \vdash (\text{AnnBasic } r \text{ id}) q$   
 $\langle \text{proof} \rangle$

**lemma** *AnnwaitRule*:  
 $\llbracket (r \cap b) \subseteq q \rrbracket \implies \vdash (\text{AnnAwait } r b (\text{Basic id})) q$   
 $\langle \text{proof} \rangle$

Lemmata to avoid using the definition of *map-ann-hoare*, *interfree-aux*, *interfree-swap* and *interfree* by splitting it into different cases:

**lemma** *interfree-aux-rule1*: *interfree-aux*(*co*, *q*, *None*)  
 $\langle \text{proof} \rangle$

**lemma** *interfree-aux-rule2*:

$\forall (R,r) \in (\text{atomics } a). \Vdash (q \cap R) r q \implies \text{interfree-aux}(\text{None}, q, \text{Some } a)$   
 <proof>

**lemma** *interfree-aux-rule3*:

$(\forall (R, r) \in (\text{atomics } a). \Vdash (q \cap R) r q \wedge (\forall p \in (\text{assertions } c). \Vdash (p \cap R) r p))$   
 $\implies \text{interfree-aux}(\text{Some } c, q, \text{Some } a)$   
 <proof>

**lemma** *AnnBasic-assertions*:

$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{None}, q, \text{Some } a) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Some } (\text{AnnBasic } r f), q, \text{Some } a)$   
 <proof>

**lemma** *AnnSeq-assertions*:

$\llbracket \text{interfree-aux}(\text{Some } c1, q, \text{Some } a); \text{interfree-aux}(\text{Some } c2, q, \text{Some } a) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Some } (\text{AnnSeq } c1 c2), q, \text{Some } a)$   
 <proof>

**lemma** *AnnCond1-assertions*:

$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{Some } c1, q, \text{Some } a);$   
 $\text{interfree-aux}(\text{Some } c2, q, \text{Some } a) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Some } (\text{AnnCond1 } r b c1 c2), q, \text{Some } a)$   
 <proof>

**lemma** *AnnCond2-assertions*:

$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{Some } c, q, \text{Some } a) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Some } (\text{AnnCond2 } r b c), q, \text{Some } a)$   
 <proof>

**lemma** *AnnWhile-assertions*:

$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{None}, i, \text{Some } a);$   
 $\text{interfree-aux}(\text{Some } c, q, \text{Some } a) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Some } (\text{AnnWhile } r b i c), q, \text{Some } a)$   
 <proof>

**lemma** *AnnAwait-assertions*:

$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{None}, q, \text{Some } a) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Some } (\text{AnnAwait } r b c), q, \text{Some } a)$   
 <proof>

**lemma** *AnnBasic-atomics*:

$\Vdash (q \cap r) (\text{Basic } f) q \implies \text{interfree-aux}(\text{None}, q, \text{Some } (\text{AnnBasic } r f))$   
 <proof>

**lemma** *AnnSeq-atomics*:

$\llbracket \text{interfree-aux}(\text{Any}, q, \text{Some } a1); \text{interfree-aux}(\text{Any}, q, \text{Some } a2) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Any}, q, \text{Some } (\text{AnnSeq } a1 a2))$   
 <proof>

**lemma** *AnnCond1-atomics*:

$\llbracket \text{interfree-aux}(Any, q, \text{Some } a1); \text{interfree-aux}(Any, q, \text{Some } a2) \rrbracket \Longrightarrow$   
 $\text{interfree-aux}(Any, q, \text{Some } (\text{AnnCond1 } r \ b \ a1 \ a2))$   
 $\langle \text{proof} \rangle$

**lemma** *AnnCond2-atomics*:

$\text{interfree-aux}(Any, q, \text{Some } a) \Longrightarrow \text{interfree-aux}(Any, q, \text{Some } (\text{AnnCond2 } r \ b$   
 $a))$   
 $\langle \text{proof} \rangle$

**lemma** *AnnWhile-atomics*:  $\text{interfree-aux}(Any, q, \text{Some } a)$

$\Longrightarrow \text{interfree-aux}(Any, q, \text{Some } (\text{AnnWhile } r \ b \ i \ a))$   
 $\langle \text{proof} \rangle$

**lemma** *Annatom-atomics*:

$\llbracket - \ (q \cap r) \ a \ q \rrbracket \Longrightarrow \text{interfree-aux}(None, q, \text{Some } (\text{AnnAwait } r \ \{x. \text{True}\} \ a))$   
 $\langle \text{proof} \rangle$

**lemma** *AnnAwait-atomics*:

$\llbracket - \ (q \cap (r \cap b)) \ a \ q \rrbracket \Longrightarrow \text{interfree-aux}(None, q, \text{Some } (\text{AnnAwait } r \ b \ a))$   
 $\langle \text{proof} \rangle$

**definition** *interfree-swap* ::  $(\text{'a ann-triple-op} * (\text{'a ann-triple-op} \ \text{list}) \Rightarrow \text{bool}$

**where**

$\text{interfree-swap} == \lambda(x, xs). \forall y \in \text{set } xs. \text{interfree-aux}(\text{com } x, \text{post } x, \text{com } y)$   
 $\wedge \text{interfree-aux}(\text{com } y, \text{post } y, \text{com } x)$

**lemma** *interfree-swap-Empty*:  $\text{interfree-swap}(x, [])$

$\langle \text{proof} \rangle$

**lemma** *interfree-swap-List*:

$\llbracket \text{interfree-aux}(\text{com } x, \text{post } x, \text{com } y);$   
 $\text{interfree-aux}(\text{com } y, \text{post } y, \text{com } x); \text{interfree-swap}(x, xs) \rrbracket$   
 $\Longrightarrow \text{interfree-swap}(x, y \# xs)$   
 $\langle \text{proof} \rangle$

**lemma** *interfree-swap-Map*:  $\forall k. i \leq k \wedge k < j \longrightarrow \text{interfree-aux}(\text{com } x, \text{post } x, c$

$k)$   
 $\wedge \text{interfree-aux}(c \ k, Q \ k, \text{com } x)$   
 $\Longrightarrow \text{interfree-swap}(x, \text{map}(\lambda k. (c \ k, Q \ k)) [i..<j])$   
 $\langle \text{proof} \rangle$

**lemma** *interfree-Empty*:  $\text{interfree } []$

$\langle \text{proof} \rangle$

**lemma** *interfree-List*:

$\llbracket \text{interfree-swap}(x, xs); \text{interfree } xs \rrbracket \Longrightarrow \text{interfree}(x \# xs)$   
 $\langle \text{proof} \rangle$

**lemma** *interfree-Map*:

$(\forall i j. a \leq i \wedge i < b \wedge a \leq j \wedge j < b \wedge i \neq j \longrightarrow \text{interfree-aux } (c \ i, \ Q \ i, \ c \ j))$   
 $\implies \text{interfree } (\text{map } (\lambda k. (c \ k, \ Q \ k)) [a..<b])$   
 $\langle \text{proof} \rangle$

**definition** *map-ann-hoare* ::  $((\text{'a ann-com-op} * \text{'a assn}) \text{ list}) \Rightarrow \text{bool}$   $([\vdash] - [0] \ 45)$   
**where**

$[\vdash] \ Ts == (\forall i < \text{length } Ts. \exists c \ q. Ts!i = (\text{Some } c, \ q) \wedge \vdash \ c \ q)$

**lemma** *MapAnnEmpty*:  $[\vdash] []$   
 $\langle \text{proof} \rangle$

**lemma** *MapAnnList*:  $[\vdash \ c \ q ; [\vdash] \ xs] \implies [\vdash] (\text{Some } c, q) \# xs$   
 $\langle \text{proof} \rangle$

**lemma** *MapAnnMap*:

$\forall k. i \leq k \wedge k < j \longrightarrow \vdash (c \ k) (Q \ k) \implies [\vdash] \text{map } (\lambda k. (\text{Some } (c \ k), \ Q \ k)) [i..<j]$   
 $\langle \text{proof} \rangle$

**lemma** *ParallelRule*:  $[\vdash] \ Ts ; \text{interfree } Ts$

$\implies \parallel - (\bigcap i \in \{i. i < \text{length } Ts\}. \text{pre}(\text{the}(\text{com}(Ts!i))))$   
*Parallel Ts*  
 $(\bigcap i \in \{i. i < \text{length } Ts\}. \text{post}(Ts!i))$   
 $\langle \text{proof} \rangle$

The following are some useful lemmas and simplification tactics to control which theorems are used to simplify at each moment, so that the original input does not suffer any unexpected transformation.

**lemma** *Compl-Collect*:  $-(\text{Collect } b) = \{x. \neg(b \ x)\}$   
 $\langle \text{proof} \rangle$

**lemma** *list-length*:  $\text{length } [] = 0 \wedge \text{length } (x \# xs) = \text{Suc}(\text{length } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *list-lemmas*:  $\text{length } [] = 0 \wedge \text{length } (x \# xs) = \text{Suc}(\text{length } xs)$   
 $\wedge (x \# xs) ! 0 = x \wedge (x \# xs) ! \text{Suc } n = xs ! n$   
 $\langle \text{proof} \rangle$

**lemma** *le-Suc-eq-insert*:  $\{i. i < \text{Suc } n\} = \text{insert } n \ \{i. i < n\}$   
 $\langle \text{proof} \rangle$

**lemmas** *primrecdef-list* = *pre.simps assertions.simps atomics.simps atom-com.simps*

**lemmas** *my-simp-list* = *list-lemmas fst-conv snd-conv*  
*not-less0 refl le-Suc-eq-insert Suc-not-Zero Zero-not-Suc nat.inject*  
*Collect-mem-eq ball-simps option.simps primrecdef-list*

**lemmas** *ParallelConseq-list* = *INTER-eq Collect-conj-eq length-map length-upt length-append list-length*

$\langle \text{ML} \rangle$

The following tactic applies *tac* to each conjunct in a subgoal of the form  $A \implies a1 \wedge a2 \wedge \dots \wedge an$  returning  $n$  subgoals, one for each conjunct:

$\langle \text{ML} \rangle$

## Tactic for the generation of the verification conditions

The tactic basically uses two subtactics:

**HoareRuleTac** is called at the level of parallel programs, it uses the `ParallelTac` to solve parallel composition of programs. This verification has two parts, namely, (1) all component programs are correct and (2) they are interference free. *HoareRuleTac* is also called at the level of atomic regions, i.e. `< >` and *AWAIT b THEN - END*, and at each interference freedom test.

**AnnHoareRuleTac** is for component programs which are annotated programs and so, there are not unknown assertions (no need to use the parameter `precond`, see NOTE).

NOTE: `precond (::bool)` informs if the subgoal has the form  $\parallel - ?p \ c \ q$ , in this case we have `precond=False` and the generated verification condition would have the form  $?p \subseteq \dots$  which can be solved by *rtac subset-refl*, if `True` we proceed to simplify it using the simplification tactics above.

*<ML>*

The final tactic is given the name *oghoare*:

*<ML>*

Notice that the tactic for parallel programs *oghoare-tac* is initially invoked with the value *true* for the parameter *precond*.

Parts of the tactic can be also individually used to generate the verification conditions for annotated sequential programs and to generate verification conditions out of interference freedom tests:

*<ML>*

The so defined ML tactics are then “exported” to be used in Isabelle proofs.

*<ML>*

Tactics useful for dealing with the generated verification conditions:

*<ML>*

**end**

## 1.7 Concrete Syntax

**theory** *Quote-Antiquote* **imports** *Main* **begin**

**syntax**

*-quote* **::** *'b*  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b*) (( $\Leftarrow$ - $\Rightarrow$ ) [0] 1000)

-antiquote :: ('a ⇒ 'b) ⇒ 'b                    ('- [1000] 1000)  
 -Assert    :: 'a ⇒ 'a set                        (({·}) [0] 1000)

**syntax** (*xsymbols*)  
 -Assert    :: 'a ⇒ 'a set                        (({·}) [0] 1000)

**translations**  
 .{b}. → CONST Collect «b»

⟨ML⟩

**end**  
**theory** *OG-Syntax*  
**imports** *OG-Tactics Quote-Antiquote*  
**begin**

Syntax for commands and for assertions and boolean expressions in commands *com* and annotated commands *ann-com*.

**abbreviation** *Skip* :: 'a com (*SKIP* 63)  
 where *SKIP* ≡ *Basic id*

**abbreviation** *AnnSkip* :: 'a assn ⇒ 'a ann-com (-//*SKIP* [90] 63)  
 where *r SKIP* ≡ *AnnBasic r id*

**notation**  
*Seq* (-, / - [55, 56] 55) **and**  
*AnnSeq* (-;; / - [60, 61] 60)

**syntax**  
 -Assign     :: *idt* ⇒ 'b ⇒ 'a com    ((' - := / -) [70, 65] 61)  
 -AnnAssign  :: 'a assn ⇒ *idt* ⇒ 'b ⇒ 'a com    ((- ' - := / -) [90, 70, 65] 61)

**translations**  
 'x := a → CONST *Basic* «'(-update-name x (λ-. a))»  
 r 'x := a → CONST *AnnBasic r* «'(-update-name x (λ-. a))»

**syntax**  
 -AnnCond1    :: 'a assn ⇒ 'a bexp ⇒ 'a ann-com ⇒ 'a ann-com ⇒ 'a ann-com  
               (- //IF - /THEN - /ELSE - /FI [90, 0, 0, 0] 61)  
 -AnnCond2    :: 'a assn ⇒ 'a bexp ⇒ 'a ann-com ⇒ 'a ann-com  
               (- //IF - /THEN - /FI [90, 0, 0] 61)  
 -AnnWhile    :: 'a assn ⇒ 'a bexp ⇒ 'a assn ⇒ 'a ann-com ⇒ 'a ann-com  
               (- //WHILE - /INV - //DO -//OD [90, 0, 0, 0] 61)  
 -AnnAwait    :: 'a assn ⇒ 'a bexp ⇒ 'a com ⇒ 'a ann-com  
               (- //AWAIT - /THEN /- /END [90, 0, 0] 61)  
 -AnnAtom     :: 'a assn ⇒ 'a com ⇒ 'a ann-com    (-//⟨-⟩ [90, 0] 61)  
 -AnnWait     :: 'a assn ⇒ 'a bexp ⇒ 'a ann-com    (-//WAIT - END [90, 0] 61)  
  
 -Cond        :: 'a bexp ⇒ 'a com ⇒ 'a com ⇒ 'a com

$((0IF -/ THEN -/ ELSE -/ FI) [0, 0, 0] 61)$   
 $-Cond2 \quad :: 'a \text{ bexp} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ com} \quad (IF - THEN - FI [0,0] 56)$   
 $-While\text{-inv} \quad :: 'a \text{ bexp} \Rightarrow 'a \text{ assn} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ com}$   
 $\quad \quad \quad ((0WHILE -/ INV - //DO - /OD) [0, 0, 0] 61)$   
 $-While \quad :: 'a \text{ bexp} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ com}$   
 $\quad \quad \quad ((0WHILE - //DO - /OD) [0, 0] 61)$

### translations

$IF \ b \ THEN \ c1 \ ELSE \ c2 \ FI \ \rightarrow \ CONST \ Cond \ .\{b\} \ . \ c1 \ c2$   
 $IF \ b \ THEN \ c \ FI \ \Leftrightarrow \ IF \ b \ THEN \ c \ ELSE \ SKIP \ FI$   
 $WHILE \ b \ INV \ i \ DO \ c \ OD \ \rightarrow \ CONST \ While \ .\{b\} \ . \ i \ c$   
 $WHILE \ b \ DO \ c \ OD \ \Leftrightarrow \ WHILE \ b \ INV \ CONST \ undefined \ DO \ c \ OD$

$r \ IF \ b \ THEN \ c1 \ ELSE \ c2 \ FI \ \rightarrow \ CONST \ AnnCond1 \ r \ .\{b\} \ . \ c1 \ c2$   
 $r \ IF \ b \ THEN \ c \ FI \ \rightarrow \ CONST \ AnnCond2 \ r \ .\{b\} \ . \ c$   
 $r \ WHILE \ b \ INV \ i \ DO \ c \ OD \ \rightarrow \ CONST \ AnnWhile \ r \ .\{b\} \ . \ i \ c$   
 $r \ AWAIT \ b \ THEN \ c \ END \ \rightarrow \ CONST \ AnnAwait \ r \ .\{b\} \ . \ c$   
 $r \ \langle c \rangle \ \Leftrightarrow \ r \ AWAIT \ CONST \ True \ THEN \ c \ END$   
 $r \ WAIT \ b \ END \ \Leftrightarrow \ r \ AWAIT \ b \ THEN \ SKIP \ END$

### nonterminal prgs

#### syntax

$-PAR \ :: \ prgs \Rightarrow 'a \quad (COBEGIN // - // COEND [57] 56)$   
 $-prg \ :: \ ['a, 'a] \Rightarrow prgs \quad (-// - [60, 90] 57)$   
 $-prgs \ :: \ ['a, 'a, prgs] \Rightarrow prgs \quad (-// - // - [60,90,57] 57)$   
  
 $-prg\text{-scheme} \ :: \ ['a, 'a, 'a, 'a, 'a] \Rightarrow prgs$   
 $\quad \quad \quad (SCHEME [- \leq - < -] -// - [0,0,0,60, 90] 57)$

### translations

$-prg \ c \ q \ \Leftrightarrow \ [(CONST \ Some \ c, \ q)]$   
 $-prgs \ c \ q \ ps \ \Leftrightarrow \ (CONST \ Some \ c, \ q) \ \# \ ps$   
 $-PAR \ ps \ \Leftrightarrow \ CONST \ Parallel \ ps$   
  
 $-prg\text{-scheme} \ j \ i \ k \ c \ q \ \Leftrightarrow \ CONST \ map \ (\lambda i. \ (CONST \ Some \ c, \ q)) \ [j..<k]$

$\langle ML \rangle$

end

## 1.8 Examples

theory *OG-Examples* imports *OG-Syntax* begin

## 1.8.1 Mutual Exclusion

### Peterson's Algorithm I

Eike Best. "Semantics of Sequential and Parallel Programs", page 217.

**record** *Petersons-mutex-1* =

*pr1* :: nat  
*pr2* :: nat  
*in1* :: bool  
*in2* :: bool  
*hold* :: nat

**lemma** *Petersons-mutex-1*:

$\| - \{ 'pr1=0 \wedge \neg 'in1 \wedge 'pr2=0 \wedge \neg 'in2 \}$ .  
*COBEGIN*  $\{ 'pr1=0 \wedge \neg 'in1 \}$ .  
*WHILE* *True* *INV*  $\{ 'pr1=0 \wedge \neg 'in1 \}$ .  
*DO*  
 $\{ 'pr1=0 \wedge \neg 'in1 \}$ .  $\langle 'in1:=True, 'pr1:=1 \rangle;$   
 $\{ 'pr1=1 \wedge 'in1 \}$ .  $\langle 'hold:=1, 'pr1:=2 \rangle;$   
 $\{ 'pr1=2 \wedge 'in1 \wedge ('hold=1 \vee 'hold=2 \wedge 'pr2=2) \}$ .  
*AWAIT*  $(\neg 'in2 \vee \neg ('hold=1))$  *THEN*  $'pr1:=3$  *END*;;  
 $\{ 'pr1=3 \wedge 'in1 \wedge ('hold=1 \vee 'hold=2 \wedge 'pr2=2) \}$ .  
 $\langle 'in1:=False, 'pr1:=0 \rangle$   
*OD*  $\{ 'pr1=0 \wedge \neg 'in1 \}$ .  
 $\|$   
 $\{ 'pr2=0 \wedge \neg 'in2 \}$ .  
*WHILE* *True* *INV*  $\{ 'pr2=0 \wedge \neg 'in2 \}$ .  
*DO*  
 $\{ 'pr2=0 \wedge \neg 'in2 \}$ .  $\langle 'in2:=True, 'pr2:=1 \rangle;$   
 $\{ 'pr2=1 \wedge 'in2 \}$ .  $\langle 'hold:=2, 'pr2:=2 \rangle;$   
 $\{ 'pr2=2 \wedge 'in2 \wedge ('hold=2 \vee ('hold=1 \wedge 'pr1=2)) \}$ .  
*AWAIT*  $(\neg 'in1 \vee \neg ('hold=2))$  *THEN*  $'pr2:=3$  *END*;;  
 $\{ 'pr2=3 \wedge 'in2 \wedge ('hold=2 \vee ('hold=1 \wedge 'pr1=2)) \}$ .  
 $\langle 'in2:=False, 'pr2:=0 \rangle$   
*OD*  $\{ 'pr2=0 \wedge \neg 'in2 \}$ .  
*COEND*  
 $\{ 'pr1=0 \wedge \neg 'in1 \wedge 'pr2=0 \wedge \neg 'in2 \}$ .  
*<proof>*

### Peterson's Algorithm II: A Busy Wait Solution

Apt and Olderog. "Verification of sequential and concurrent Programs", page 282.

**record** *Busy-wait-mutex* =

*flag1* :: bool  
*flag2* :: bool  
*turn* :: nat  
*after1* :: bool  
*after2* :: bool

**lemma** *Busy-wait-mutex*:

```

||- .{True}.
'flag1:=False,, 'flag2:=False,,
COBEGIN .{¬'flag1}.
  WHILE True
  INV .{¬'flag1}.
  DO .{¬'flag1}. < 'flag1:=True,, 'after1:=False >;
    .{'flag1 ∧ ¬'after1}. < 'turn:=1,, 'after1:=True >;
    .{'flag1 ∧ 'after1 ∧ ('turn=1 ∨ 'turn=2)}.
    WHILE ¬('flag2 → 'turn=2)
    INV .{'flag1 ∧ 'after1 ∧ ('turn=1 ∨ 'turn=2)}.
    DO .{'flag1 ∧ 'after1 ∧ ('turn=1 ∨ 'turn=2)}. SKIP OD;;
    .{'flag1 ∧ 'after1 ∧ ('flag2 ∧ 'after2 → 'turn=2)}.
    'flag1:=False
  OD
  .{False}.
||
.{¬'flag2}.
  WHILE True
  INV .{¬'flag2}.
  DO .{¬'flag2}. < 'flag2:=True,, 'after2:=False >;
    .{'flag2 ∧ ¬'after2}. < 'turn:=2,, 'after2:=True >;
    .{'flag2 ∧ 'after2 ∧ ('turn=1 ∨ 'turn=2)}.
    WHILE ¬('flag1 → 'turn=1)
    INV .{'flag2 ∧ 'after2 ∧ ('turn=1 ∨ 'turn=2)}.
    DO .{'flag2 ∧ 'after2 ∧ ('turn=1 ∨ 'turn=2)}. SKIP OD;;
    .{'flag2 ∧ 'after2 ∧ ('flag1 ∧ 'after1 → 'turn=1)}.
    'flag2:=False
  OD
  .{False}.
COEND
.{False}.
<proof>

```

## Peterson's Algorithm III: A Solution using Semaphores

**record** *Semaphores-mutex* =

```

out :: bool
who :: nat

```

**lemma** *Semaphores-mutex*:

```

||- .{i≠j}.
'out:=True ,,
COBEGIN .{i≠j}.
  WHILE True INV .{i≠j}.
  DO .{i≠j}. AWAIT 'out THEN 'out:=False,, 'who:=i END;;
    .{¬'out ∧ 'who=i ∧ i≠j}. 'out:=True OD
  .{False}.

```

```

||
  .{i≠j}.
  WHILE True INV .{i≠j}.
  DO .{i≠j}. AWAIT 'out THEN 'out:=False,, 'who:=j END;;
    .{¬'out ∧ 'who=j ∧ i≠j}. 'out:=True OD
  .{False}.
COEND
.{False}.
⟨proof⟩

```

### Peterson's Algorithm III: Parameterized version:

**lemma** *Semaphores-parameterized-mutex:*

```

0 < n ⇒ || - .{True}.
  'out:=True ,,
COBEGIN
  SCHEME [0 ≤ i < n]
    .{True}.
    WHILE True INV .{True}.
    DO .{True}. AWAIT 'out THEN 'out:=False,, 'who:=i END;;
      .{¬'out ∧ 'who=i}. 'out:=True OD
    .{False}.
COEND
.{False}.
⟨proof⟩

```

### The Ticket Algorithm

**record** *Ticket-mutex* =

```

num :: nat
nextv :: nat
turn :: nat list
index :: nat

```

**lemma** *Ticket-mutex:*

```

[[ 0 < n; I = length 'turn ∧ 0 < 'nextv ∧ (∀ k l. k < n ∧ l < n ∧ k ≠ l
  → 'turn!k < 'num ∧ ('turn!k = 0 ∨ 'turn!k ≠ 'turn!l)) ⇒ ]]
⇒ || - .{n=length 'turn}.
  'index:=0,,
  WHILE 'index < n INV .{n=length 'turn ∧ (∀ i < 'index. 'turn!i=0)}.
  DO 'turn:='turn['index:=0],, 'index:='index +1 OD,,
  'num:=1 ,, 'nextv:=1 ,,
COBEGIN
  SCHEME [0 ≤ i < n]
    .{'I}.
    WHILE True INV .{'I}.
    DO .{'I}. ⟨ 'turn := 'turn[i:='num],, 'num:='num+1 ⟩;;
      .{'I}. WAIT 'turn!i='nextv END;;
      .{'I ∧ 'turn!i='nextv}. 'nextv:='nextv+1
    OD

```

```

    .{False}.
  COEND
  .{False}.
<proof>

```

## 1.8.2 Parallel Zero Search

Synchronized Zero Search. Zero-6

Apt and Olderog. "Verification of sequential and concurrent Programs"  
page 294:

```

record Zero-search =
  turn :: nat
  found :: bool
  x :: nat
  y :: nat

```

**lemma** Zero-search:

```

[[I1 = << a ≤ 'x ∧ ('found → (a < 'x ∧ f('x)=0) ∨ ('y ≤ a ∧ f('y)=0))
  ∧ (¬'found ∧ a < 'x → f('x)≠0) >> ;
  I2 = << 'y ≤ a+1 ∧ ('found → (a < 'x ∧ f('x)=0) ∨ ('y ≤ a ∧ f('y)=0))
  ∧ (¬'found ∧ 'y ≤ a → f('y)≠0) >> ]] ⇒
||- .{∃ u. f(u)=0}.
' turn:=1,, ' found:= False,,
' x:=a,, ' y:=a+1 ,,
COBEGIN .{ ' I1 }.
  WHILE ¬' found
  INV .{ ' I1 }.
  DO .{ a ≤ 'x ∧ ('found → 'y ≤ a ∧ f('y)=0) ∧ (a < 'x → f('x)≠0) }.
    WAIT ' turn=1 END;;
    .{ a ≤ 'x ∧ ('found → 'y ≤ a ∧ f('y)=0) ∧ (a < 'x → f('x)≠0) }.
    ' turn:=2;;
    .{ a ≤ 'x ∧ ('found → 'y ≤ a ∧ f('y)=0) ∧ (a < 'x → f('x)≠0) }.
    < ' x:= ' x+1,,
      IF f('x)=0 THEN ' found:=True ELSE SKIP FI)
  OD;;
  .{ ' I1 ∧ ' found }.
  ' turn:=2
  .{ ' I1 ∧ ' found }.
||
.{ ' I2 }.
  WHILE ¬' found
  INV .{ ' I2 }.
  DO .{ 'y ≤ a+1 ∧ ('found → a < 'x ∧ f('x)=0) ∧ ('y ≤ a → f('y)≠0) }.
    WAIT ' turn=2 END;;
    .{ 'y ≤ a+1 ∧ ('found → a < 'x ∧ f('x)=0) ∧ ('y ≤ a → f('y)≠0) }.
    ' turn:=1;;
    .{ 'y ≤ a+1 ∧ ('found → a < 'x ∧ f('x)=0) ∧ ('y ≤ a → f('y)≠0) }.
    < ' y:=( ' y - 1),,

```

```

    IF f('y)=0 THEN 'found:=True ELSE SKIP FI
  OD;;
  .{ 'I2 ∧ 'found}.
  'turn:=1
  .{ 'I2 ∧ 'found}.
COEND
  .{f('x)=0 ∨ f('y)=0}.
⟨proof⟩

```

Easier Version: without AWAIT. Apt and Olderog. page 256:

**lemma** *Zero-Search-2*:

```

[[I1=≪ a≤'x ∧ ('found → (a<'x ∧ f('x)=0) ∨ ('y≤a ∧ f('y)=0))
  ∧ (¬'found ∧ a<'x → f('x)≠0)≫;
I2= ≪'y≤a+1 ∧ ('found → (a<'x ∧ f('x)=0) ∨ ('y≤a ∧ f('y)=0))
  ∧ (¬'found ∧ 'y≤a → f('y)≠0)≫]] ⇒
||- .{∃ u. f(u)=0}.
'found:= False,,
'x:=a,, 'y:=a+1,,
COBEGIN .{ 'I1}.
  WHILE ¬'found
  INV .{ 'I1}.
  DO .{a≤'x ∧ ('found → 'y≤a ∧ f('y)=0) ∧ (a<'x → f('x)≠0)}.
    ⟨ 'x:='x+1,,IF f('x)=0 THEN 'found:=True ELSE SKIP FI
  OD
  .{ 'I1 ∧ 'found}.
||
  .{ 'I2}.
  WHILE ¬'found
  INV .{ 'I2}.
  DO .{ 'y≤a+1 ∧ ('found → a<'x ∧ f('x)=0) ∧ ('y≤a → f('y)≠0)}.
    ⟨ 'y:=('y - 1),,IF f('y)=0 THEN 'found:=True ELSE SKIP FI
  OD
  .{ 'I2 ∧ 'found}.
COEND
  .{f('x)=0 ∨ f('y)=0}.
⟨proof⟩

```

### 1.8.3 Producer/Consumer

#### Previous lemmas

**lemma** *nat-lemma2*:  $\llbracket b = m*(n::nat) + t; a = s*n + u; t=u; b-a < n \rrbracket \Rightarrow m \leq s$   
 ⟨proof⟩

**lemma** *mod-lemma*:  $\llbracket (c::nat) \leq a; a < b; b - c < n \rrbracket \Rightarrow b \bmod n \neq a \bmod n$   
 ⟨proof⟩

## Producer/Consumer Algorithm

**record** *Producer-consumer* =

*ins* :: nat  
*outs* :: nat  
*li* :: nat  
*lj* :: nat  
*vx* :: nat  
*vy* :: nat  
*buffer* :: nat list  
*b* :: nat list

The whole proof takes aprox. 4 minutes.

**lemma** *Producer-consumer*:

$\llbracket \text{INIT} = \ll 0 < \text{length } a \wedge 0 < \text{length } 'buffer \wedge \text{length } 'b = \text{length } a \gg ;$   
 $I = \ll (\forall k < 'ins. 'outs \leq k \longrightarrow (a ! k) = 'buffer ! (k \text{ mod } (\text{length } 'buffer))) \wedge$   
 $'outs \leq 'ins \wedge 'ins - 'outs \leq \text{length } 'buffer \gg ;$   
 $I1 = \ll 'I \wedge 'li \leq \text{length } a \gg ;$   
 $p1 = \ll 'I1 \wedge 'li = 'ins \gg ;$   
 $I2 = \ll 'I \wedge (\forall k < 'lj. (a ! k) = ('b ! k)) \wedge 'lj \leq \text{length } a \gg ;$   
 $p2 = \ll 'I2 \wedge 'lj = 'outs \gg \rrbracket \implies$   
 $\ll - .\{ 'INIT \}.$

*'ins*:=0,, *'outs*:=0,, *'li*:=0,, *'lj*:=0,,

COBEGIN  $\{ 'p1 \wedge 'INIT \}.$

WHILE *'li* < length *a*

INV  $\{ 'p1 \wedge 'INIT \}.$

DO  $\{ 'p1 \wedge 'INIT \wedge 'li < \text{length } a \}.$

*'vx* := (*a* ! *'li*);;

$\{ 'p1 \wedge 'INIT \wedge 'li < \text{length } a \wedge 'vx = (a ! 'li) \}.$

WAIT *'ins* - *'outs* < length *'buffer* END;;

$\{ 'p1 \wedge 'INIT \wedge 'li < \text{length } a \wedge 'vx = (a ! 'li)$

$\wedge 'ins - 'outs < \text{length } 'buffer \}.$

*'buffer* := (list-update *'buffer* (*'ins* mod (length *'buffer*)) *'vx*);;

$\{ 'p1 \wedge 'INIT \wedge 'li < \text{length } a$

$\wedge (a ! 'li) = ('buffer ! ('ins \text{ mod } (\text{length } 'buffer)))$

$\wedge 'ins - 'outs < \text{length } 'buffer \}.$

*'ins* := *'ins* + 1;;

$\{ 'I1 \wedge 'INIT \wedge ('li + 1) = 'ins \wedge 'li < \text{length } a \}.$

*'li* := *'li* + 1

OD

$\{ 'p1 \wedge 'INIT \wedge 'li = \text{length } a \}.$

||

$\{ 'p2 \wedge 'INIT \}.$

WHILE *'lj* < length *a*

INV  $\{ 'p2 \wedge 'INIT \}.$

DO  $\{ 'p2 \wedge 'lj < \text{length } a \wedge 'INIT \}.$

WAIT *'outs* < *'ins* END;;

$\{ 'p2 \wedge 'lj < \text{length } a \wedge 'outs < 'ins \wedge 'INIT \}.$

*'vy* := (*'buffer* ! (*'outs* mod (length *'buffer*)));;

$\{ 'p2 \wedge 'lj < \text{length } a \wedge 'outs < 'ins \wedge 'vy = (a ! 'lj) \wedge 'INIT \}.$

```

      'outs := 'outs + 1;;
    .{ 'I2 ∧ ('lj + 1) = 'outs ∧ 'lj < length a ∧ 'vy = (a ! 'lj) ∧ 'INIT }.
      'b := (list-update 'b 'lj 'vy);;
    .{ 'I2 ∧ ('lj + 1) = 'outs ∧ 'lj < length a ∧ (a ! 'lj) = ('b ! 'lj) ∧ 'INIT }.
      'lj := 'lj + 1
  OD
  .{ 'p2 ∧ 'lj = length a ∧ 'INIT }.
COEND
.{ ∀ k < length a. (a ! k) = ('b ! k) }.
⟨proof⟩

```

## 1.8.4 Parameterized Examples

### Set Elements of an Array to Zero

```

record Example1 =
  a :: nat ⇒ nat

```

```

lemma Example1:
  ||- .{ True }.
  COBEGIN SCHEME [0 ≤ i < n] .{ True }. 'a := 'a (i := 0) .{ 'a i = 0 }. COEND
  .{ ∀ i < n. 'a i = 0 }.
⟨proof⟩

```

Same example with lists as auxiliary variables.

```

record Example1-list =
  A :: nat list
lemma Example1-list:
  ||- .{ n < length 'A }.
  COBEGIN
    SCHEME [0 ≤ i < n] .{ n < length 'A }. 'A := 'A [i := 0] .{ 'A ! i = 0 }.
  COEND
  .{ ∀ i < n. 'A ! i = 0 }.
⟨proof⟩

```

### Increment a Variable in Parallel

First some lemmas about summation properties.

```

lemma Example2-lemma2-aux: !!b. j < n ⇒
  (∑ i=0..<n. (b i :: nat)) =
  (∑ i=0..<j. b i) + b j + (∑ i=0..<n-(Suc j) . b (Suc j + i))
⟨proof⟩

```

```

lemma Example2-lemma2-aux2:
  !!b. j ≤ s ⇒ (∑ i::nat=0..<j. (b (s:=t)) i) = (∑ i=0..<j. b i)
⟨proof⟩

```

```

lemma Example2-lemma2:

```

!!b.  $\llbracket j < n; b\ j = 0 \rrbracket \implies \text{Suc} (\sum i :: \text{nat} = 0..<n. b\ i) = (\sum i = 0..<n. (b\ (j := \text{Suc}\ 0))\ i)$   
 i)  
 <proof>

**record** *Example2* =  
 c :: nat  $\Rightarrow$  nat  
 x :: nat

**lemma** *Example-2*:  $0 < n \implies$   
 $\| - . \{ 'x = 0 \wedge (\sum i = 0..<n. 'c\ i) = 0 \}.$   
 COBEGIN  
 SCHEME  $[0 \leq i < n]$   
 .{ 'x =  $(\sum i = 0..<n. 'c\ i) \wedge 'c\ i = 0$  }.  
 < 'x := 'x + (Suc 0),, 'c := 'c (i := (Suc 0)) >  
 .{ 'x =  $(\sum i = 0..<n. 'c\ i) \wedge 'c\ i = (\text{Suc}\ 0)$  }.  
 COEND  
 .{ 'x = n }.  
 <proof>

**end**

## Chapter 2

# Case Study: Single and Multi-Mutator Garbage Collection Algorithms

### 2.1 Formalization of the Memory

**theory** *Graph* imports *Main* begin

**datatype** *node* = *Black* | *White*

**type-synonym** *nodes* = *node list*

**type-synonym** *edge* = *nat* × *nat*

**type-synonym** *edges* = *edge list*

**consts** *Roots* :: *nat set*

**definition** *Proper-Roots* :: *nodes* ⇒ *bool* **where**

$Proper-Roots\ M \equiv Roots \neq \{\} \wedge Roots \subseteq \{i. i < length\ M\}$

**definition** *Proper-Edges* :: (*nodes* × *edges*) ⇒ *bool* **where**

$Proper-Edges \equiv (\lambda(M, E). \forall i < length\ E. fst(E!i) < length\ M \wedge snd(E!i) < length\ M)$

**definition** *BtoW* :: (*edge* × *nodes*) ⇒ *bool* **where**

$BtoW \equiv (\lambda(e, M). (M!fst\ e) = Black \wedge (M!snd\ e) \neq Black)$

**definition** *Blacks* :: *nodes* ⇒ *nat set* **where**

$Blacks\ M \equiv \{i. i < length\ M \wedge M!i = Black\}$

**definition** *Reach* :: *edges* ⇒ *nat set* **where**

$Reach\ E \equiv \{x. (\exists path. 1 < length\ path \wedge path!(length\ path - 1) \in Roots \wedge x = path!0 \wedge (\forall i < length\ path - 1. (\exists j < length\ E. E!j = (path!(i+1), path!i)))) \vee x \in Roots\}$

Reach: the set of reachable nodes is the set of Roots together with the nodes reachable from some Root by a path represented by a list of nodes (at least two since we traverse at least one edge), where two consecutive nodes correspond to an edge in E.

### 2.1.1 Proofs about Graphs

**lemmas** *Graph-defs= Blacks-def Proper-Roots-def Proper-Edges-def BtoW-def*  
**declare** *Graph-defs [simp]*

#### Graph 1

**lemma** *Graph1-aux [rule-format]:*

$$\begin{aligned} & \llbracket \text{Roots} \subseteq \text{Blacks } M; \forall i < \text{length } E. \neg \text{BtoW}(E!i, M) \rrbracket \\ & \implies 1 < \text{length } \text{path} \longrightarrow (\text{path}!(\text{length } \text{path} - 1)) \in \text{Roots} \longrightarrow \\ & (\forall i < \text{length } \text{path} - 1. (\exists j. j < \text{length } E \wedge E!j = (\text{path}!(\text{Suc } i), \text{path}!i))) \\ & \longrightarrow M!(\text{path}!0) = \text{Black} \end{aligned}$$
  
 $\langle \text{proof} \rangle$

**lemma** *Graph1:*

$$\begin{aligned} & \llbracket \text{Roots} \subseteq \text{Blacks } M; \text{Proper-Edges}(M, E); \forall i < \text{length } E. \neg \text{BtoW}(E!i, M) \rrbracket \\ & \implies \text{Reach } E \subseteq \text{Blacks } M \end{aligned}$$
  
 $\langle \text{proof} \rangle$

#### Graph 2

**lemma** *Ex-first-occurrence [rule-format]:*

$$P (n::\text{nat}) \longrightarrow (\exists m. P m \wedge (\forall i. i < m \longrightarrow \neg P i))$$
  
 $\langle \text{proof} \rangle$

**lemma** *Compl-lemma: (n::nat) ≤ l ⇒ (∃ m. m ≤ l ∧ n = l - m)*

$\langle \text{proof} \rangle$

**lemma** *Ex-last-occurrence:*

$$\llbracket P (n::\text{nat}); n \leq l \rrbracket \implies (\exists m. P (l - m) \wedge (\forall i. i < m \longrightarrow \neg P (l - i)))$$
  
 $\langle \text{proof} \rangle$

**lemma** *Graph2:*

$$\llbracket T \in \text{Reach } E; R < \text{length } E \rrbracket \implies T \in \text{Reach } (E[R := (\text{fst}(E!R), T)])$$
  
 $\langle \text{proof} \rangle$

#### Graph 3

**declare** *min-max.inf-absorb1 [simp] min-max.inf-absorb2 [simp]*

**lemma** *Graph3:*

$$\llbracket T \in \text{Reach } E; R < \text{length } E \rrbracket \implies \text{Reach}(E[R := (\text{fst}(E!R), T)]) \subseteq \text{Reach } E$$
  
 $\langle \text{proof} \rangle$

## Graph 4

**lemma** *Graph4*:

$\llbracket T \in \text{Reach } E; \text{Roots} \subseteq \text{Blacks } M; I \leq \text{length } E; T < \text{length } M; R < \text{length } E;$   
 $\forall i < I. \neg \text{BtoW}(E!i, M); R < I; M!fst(E!R) = \text{Black}; M!T \neq \text{Black} \rrbracket \implies$   
 $(\exists r. I \leq r \wedge r < \text{length } E \wedge \text{BtoW}(E[R := (fst(E!R), T)]!r, M))$   
(*proof*)

**declare** *min-max.inf-absorb1* [*simp del*] *min-max.inf-absorb2* [*simp del*]

## Graph 5

**lemma** *Graph5*:

$\llbracket T \in \text{Reach } E; \text{Roots} \subseteq \text{Blacks } M; \forall i < R. \neg \text{BtoW}(E!i, M); T < \text{length } M;$   
 $R < \text{length } E; M!fst(E!R) = \text{Black}; M!snd(E!R) = \text{Black}; M!T \neq \text{Black} \rrbracket$   
 $\implies (\exists r. R < r \wedge r < \text{length } E \wedge \text{BtoW}(E[R := (fst(E!R), T)]!r, M))$   
(*proof*)

## Other lemmas about graphs

**lemma** *Graph6*:

$\llbracket \text{Proper-Edges}(M, E); R < \text{length } E; T < \text{length } M \rrbracket \implies \text{Proper-Edges}(M, E[R := (fst(E!R), T)])$   
(*proof*)

**lemma** *Graph7*:

$\llbracket \text{Proper-Edges}(M, E) \rrbracket \implies \text{Proper-Edges}(M[T := a], E)$   
(*proof*)

**lemma** *Graph8*:

$\llbracket \text{Proper-Roots}(M) \rrbracket \implies \text{Proper-Roots}(M[T := a])$   
(*proof*)

Some specific lemmata for the verification of garbage collection algorithms.

**lemma** *Graph9*:  $j < \text{length } M \implies \text{Blacks } M \subseteq \text{Blacks } (M[j := \text{Black}])$   
(*proof*)

**lemma** *Graph10* [*rule-format (no-asm)*]:  $\forall i. M!i = a \longrightarrow M[i := a] = M$   
(*proof*)

**lemma** *Graph11* [*rule-format (no-asm)*]:

$\llbracket M!j \neq \text{Black}; j < \text{length } M \rrbracket \implies \text{Blacks } M \subset \text{Blacks } (M[j := \text{Black}])$   
(*proof*)

**lemma** *Graph12*:  $\llbracket a \subseteq \text{Blacks } M; j < \text{length } M \rrbracket \implies a \subseteq \text{Blacks } (M[j := \text{Black}])$   
(*proof*)

**lemma** *Graph13*:  $\llbracket a \subset \text{Blacks } M; j < \text{length } M \rrbracket \implies a \subset \text{Blacks } (M[j := \text{Black}])$   
(*proof*)

**declare** *Graph-defs* [*simp del*]

end

## 2.2 The Single Mutator Case

**theory** *Gar-Coll* **imports** *Graph OG-Syntax* **begin**

**declare** *psubsetE* [*rule del*]

Declaration of variables:

**record** *gar-coll-state* =

*M* :: *nodes*  
*E* :: *edges*  
*bc* :: *nat set*  
*obc* :: *nat set*  
*Ma* :: *nodes*  
*ind* :: *nat*  
*k* :: *nat*  
*z* :: *bool*

### 2.2.1 The Mutator

The mutator first redirects an arbitrary edge  $R$  from an arbitrary accessible node towards an arbitrary accessible node  $T$ . It then colors the new target  $T$  black.

We declare the arbitrarily selected node and edge as constants:

**consts**  $R$  :: *nat*  $T$  :: *nat*

The following predicate states, given a list of nodes  $m$  and a list of edges  $e$ , the conditions under which the selected edge  $R$  and node  $T$  are valid:

**definition** *Mut-init* :: *gar-coll-state*  $\Rightarrow$  *bool* **where**

$Mut-init \equiv \langle T \in Reach \ 'E \wedge R < length \ 'E \wedge T < length \ 'M \rangle$

For the mutator we consider two modules, one for each action. An auxiliary variable  $'z$  is set to false if the mutator has already redirected an edge but has not yet colored the new target.

**definition** *Redirect-Edge* :: *gar-coll-state ann-com* **where**

$Redirect-Edge \equiv \cdot \{ 'Mut-init \wedge 'z \}. \langle 'E := 'E[R := (fst('E!R), T)], 'z := (\neg 'z) \rangle$

**definition** *Color-Target* :: *gar-coll-state ann-com* **where**

$Color-Target \equiv \cdot \{ 'Mut-init \wedge \neg 'z \}. \langle 'M := 'M[T := Black], 'z := (\neg 'z) \rangle$

**definition** *Mutator* :: *gar-coll-state ann-com* **where**

$Mutator \equiv$   
 $\cdot \{ 'Mut-init \wedge 'z \}.$

$WHILE\ True\ INV\ \{ 'Mut-init \wedge 'z \}.$   
 $DO\ Redirect-Edge\ ;;\ Color-Target\ OD$

### Correctness of the mutator

**lemmas** *mutator-defs* = *Mut-init-def Redirect-Edge-def Color-Target-def*

**lemma** *Redirect-Edge*:  
 $\vdash\ Redirect-Edge\ pre(Color-Target)$   
 $\langle proof \rangle$

**lemma** *Color-Target*:  
 $\vdash\ Color-Target\ \{ 'Mut-init \wedge 'z \}.$   
 $\langle proof \rangle$

**lemma** *Mutator*:  
 $\vdash\ Mutator\ \{ False \}.$   
 $\langle proof \rangle$

### 2.2.2 The Collector

A constant *M-init* is used to give *'Ma* a suitable first value, defined as a list of nodes where only the *Roots* are black.

**consts** *M-init* :: *nodes*

**definition** *Proper-M-init* :: *gar-coll-state*  $\Rightarrow$  *bool* **where**  
 $Proper-M-init \equiv \ll Blacks\ M-init=Roots \wedge length\ M-init=length\ 'M \gg$

**definition** *Proper* :: *gar-coll-state*  $\Rightarrow$  *bool* **where**  
 $Proper \equiv \ll Proper-Roots\ 'M \wedge Proper-Edges('M, 'E) \wedge 'Proper-M-init \gg$

**definition** *Safe* :: *gar-coll-state*  $\Rightarrow$  *bool* **where**  
 $Safe \equiv \ll Reach\ 'E \subseteq Blacks\ 'M \gg$

**lemmas** *collector-defs* = *Proper-M-init-def Proper-def Safe-def*

### Blackening the roots

**definition** *Blacken-Roots* :: *gar-coll-state* *ann-com* **where**  
 $Blacken-Roots \equiv$   
 $\{ 'Proper \}.$   
 $'ind:=0;;$   
 $\{ 'Proper \wedge 'ind=0 \}.$   
 $WHILE\ 'ind < length\ 'M$   
 $INV\ \{ 'Proper \wedge (\forall i < 'ind. i \in Roots \longrightarrow 'M!i=Black) \wedge 'ind \leq length\ 'M \}.$   
 $DO\ \{ 'Proper \wedge (\forall i < 'ind. i \in Roots \longrightarrow 'M!i=Black) \wedge 'ind < length\ 'M \}.$   
 $IF\ 'ind \in Roots\ THEN$   
 $\{ 'Proper \wedge (\forall i < 'ind. i \in Roots \longrightarrow 'M!i=Black) \wedge 'ind < length\ 'M \wedge 'ind \in Roots \}.$

$\text{' }M := \text{' }M[\text{' }ind := \text{Black}] \text{ FI};;$   
 $\text{' } \{ \text{' } Proper \wedge (\forall i < \text{' } ind + 1. i \in \text{Roots} \longrightarrow \text{' } M!i = \text{Black}) \wedge \text{' } ind < \text{length } \text{' } M \}.$   
 $\text{' } ind := \text{' } ind + 1$   
**OD**

**lemma** *Blacken-Roots*:

$\vdash \text{Blacken-Roots } \text{' } \{ \text{' } Proper \wedge \text{Roots} \subseteq \text{Blacks } \text{' } M \}.$   
 $\langle \text{proof} \rangle$

## Propagating black

**definition** *PBInv* :: *gar-coll-state*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**

$PBInv \equiv \ll \lambda ind. \text{' } obc < \text{Blacks } \text{' } M \vee (\forall i < ind. \neg BtoW(\text{' } E!i, \text{' } M) \vee$   
 $(\neg \text{' } z \wedge i = R \wedge (snd(\text{' } E!R)) = T \wedge (\exists r. ind \leq r \wedge r < \text{length } \text{' } E \wedge BtoW(\text{' } E!r, \text{' } M)))) \gg$

**definition** *Propagate-Black-aux* :: *gar-coll-state* *ann-com* **where**

$Propagate-Black-aux \equiv$   
 $\text{' } \{ \text{' } Proper \wedge \text{Roots} \subseteq \text{Blacks } \text{' } M \wedge \text{' } obc \subseteq \text{Blacks } \text{' } M \wedge \text{' } bc \subseteq \text{Blacks } \text{' } M \}.$   
 $\text{' } ind := 0;$   
 $\text{' } \{ \text{' } Proper \wedge \text{Roots} \subseteq \text{Blacks } \text{' } M \wedge \text{' } obc \subseteq \text{Blacks } \text{' } M \wedge \text{' } bc \subseteq \text{Blacks } \text{' } M \wedge \text{' } ind = 0 \}.$

**WHILE**  $\text{' } ind < \text{length } \text{' } E$   
**INV**  $\text{' } \{ \text{' } Proper \wedge \text{Roots} \subseteq \text{Blacks } \text{' } M \wedge \text{' } obc \subseteq \text{Blacks } \text{' } M \wedge \text{' } bc \subseteq \text{Blacks } \text{' } M$   
 $\wedge \text{' } PBInv \text{' } ind \wedge \text{' } ind \leq \text{length } \text{' } E \}.$   
**DO**  $\text{' } \{ \text{' } Proper \wedge \text{Roots} \subseteq \text{Blacks } \text{' } M \wedge \text{' } obc \subseteq \text{Blacks } \text{' } M \wedge \text{' } bc \subseteq \text{Blacks } \text{' } M$   
 $\wedge \text{' } PBInv \text{' } ind \wedge \text{' } ind < \text{length } \text{' } E \}.$   
**IF**  $\text{' } M!(fst(\text{' } E! \text{' } ind)) = \text{Black}$  **THEN**  
 $\text{' } \{ \text{' } Proper \wedge \text{Roots} \subseteq \text{Blacks } \text{' } M \wedge \text{' } obc \subseteq \text{Blacks } \text{' } M \wedge \text{' } bc \subseteq \text{Blacks } \text{' } M$   
 $\wedge \text{' } PBInv \text{' } ind \wedge \text{' } ind < \text{length } \text{' } E \wedge \text{' } M!fst(\text{' } E! \text{' } ind) = \text{Black} \}.$   
 $\text{' } M := \text{' } M[snd(\text{' } E! \text{' } ind) := \text{Black}];;$   
 $\text{' } \{ \text{' } Proper \wedge \text{Roots} \subseteq \text{Blacks } \text{' } M \wedge \text{' } obc \subseteq \text{Blacks } \text{' } M \wedge \text{' } bc \subseteq \text{Blacks } \text{' } M$   
 $\wedge \text{' } PBInv(\text{' } ind + 1) \wedge \text{' } ind < \text{length } \text{' } E \}.$   
 $\text{' } ind := \text{' } ind + 1$   
**FI**  
**OD**

**lemma** *Propagate-Black-aux*:

$\vdash Propagate-Black-aux$   
 $\text{' } \{ \text{' } Proper \wedge \text{Roots} \subseteq \text{Blacks } \text{' } M \wedge \text{' } obc \subseteq \text{Blacks } \text{' } M \wedge \text{' } bc \subseteq \text{Blacks } \text{' } M$   
 $\wedge (\text{' } obc < \text{Blacks } \text{' } M \vee \text{' } Safe) \}.$   
 $\langle \text{proof} \rangle$

## Refining propagating black

**definition** *Auxk* :: *gar-coll-state*  $\Rightarrow$  *bool* **where**

$Auxk \equiv \ll \text{' } k < \text{length } \text{' } M \wedge (\text{' } M!k \neq \text{Black} \vee \neg BtoW(\text{' } E! \text{' } ind, \text{' } M) \vee$   
 $\text{' } obc < \text{Blacks } \text{' } M \vee (\neg \text{' } z \wedge \text{' } ind = R \wedge snd(\text{' } E!R) = T$   
 $\wedge (\exists r. \text{' } ind < r \wedge r < \text{length } \text{' } E \wedge BtoW(\text{' } E!r, \text{' } M)))) \gg$

**definition** *Propagate-Black* :: *gar-coll-state* *ann-com* **where**

*Propagate-Black*  $\equiv$   
 $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'obc} \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M \}$ .  
 $\text{'ind} := 0;$   
 $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'obc} \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M \wedge \text{'ind} = 0 \}$ .  
**WHILE**  $\text{'ind} < \text{length } 'E$   
  **INV**  $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'obc} \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
     $\wedge \text{'PBIInv } 'ind \wedge \text{'ind} \leq \text{length } 'E \}$ .  
  **DO**  $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'obc} \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
     $\wedge \text{'PBIInv } 'ind \wedge \text{'ind} < \text{length } 'E \}$ .  
  **IF**  $(\text{'M}!(\text{fst } ('E!'ind))) = \text{Black}$  **THEN**  
     $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'obc} \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
       $\wedge \text{'PBIInv } 'ind \wedge \text{'ind} < \text{length } 'E \wedge (\text{'M}!(\text{fst } ('E!'ind))) = \text{Black} \}$ .  
       $\text{'k} := (\text{snd } ('E!'ind));$   
       $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'obc} \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
         $\wedge \text{'PBIInv } 'ind \wedge \text{'ind} < \text{length } 'E \wedge (\text{'M}!(\text{fst } ('E!'ind))) = \text{Black}$   
         $\wedge \text{'Auxk} \}$ .  
       $\langle \text{'M} := \text{'M}[\text{'k} := \text{Black}], \text{'ind} := \text{'ind} + 1 \rangle$   
    **ELSE**  $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'obc} \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
       $\wedge \text{'PBIInv } 'ind \wedge \text{'ind} < \text{length } 'E \}$ .  
       $\langle \text{IF } (\text{'M}!(\text{fst } ('E!'ind))) \neq \text{Black}$  **THEN**  $\text{'ind} := \text{'ind} + 1$  **FI}  
  **FI**  
**OD****

**lemma** *Propagate-Black*:

$\vdash$  *Propagate-Black*

$\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'obc} \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
 $\wedge (\text{'obc} < \text{Blacks } 'M \vee \text{'Safe}) \}$ .

$\langle \text{proof} \rangle$

## Counting black nodes

**definition** *CountInv* :: *gar-coll-state*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**

$\text{CountInv} \equiv \ll \lambda \text{ind}. \{ i. i < \text{ind} \wedge \text{'Ma}!i = \text{Black} \} \subseteq \text{'bc} \gg$

**definition** *Count* :: *gar-coll-state* *ann-com* **where**

$\text{Count} \equiv$

$\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M$   
 $\wedge \text{'obc} \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
 $\wedge \text{length } 'Ma = \text{length } 'M \wedge (\text{'obc} < \text{Blacks } 'Ma \vee \text{'Safe}) \wedge \text{'bc} = \{ \} \}$ .

$\text{'ind} := 0;$

$\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M$   
 $\wedge \text{'obc} \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
 $\wedge \text{length } 'Ma = \text{length } 'M \wedge (\text{'obc} < \text{Blacks } 'Ma \vee \text{'Safe}) \wedge \text{'bc} = \{ \}$   
 $\wedge \text{'ind} = 0 \}$ .

**WHILE**  $\text{'ind} < \text{length } 'M$

**INV**  $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M$   
     $\wedge \text{'obc} \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
     $\wedge \text{length } 'Ma = \text{length } 'M \wedge \text{'CountInv } 'ind$   
     $\wedge (\text{'obc} < \text{Blacks } 'Ma \vee \text{'Safe}) \wedge \text{'ind} \leq \text{length } 'M \}$ .

**DO**  $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M$   
 $\wedge \text{'obc} \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
 $\wedge \text{length } 'Ma = \text{length } 'M \wedge \text{'CountInv } 'ind$   
 $\wedge (\text{'obc} < \text{Blacks } 'Ma \vee \text{'Safe}) \wedge \text{'ind} < \text{length } 'M \}$ .  
**IF**  $'M! \text{'ind} = \text{Black}$   
**THEN**  $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M$   
 $\wedge \text{'obc} \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
 $\wedge \text{length } 'Ma = \text{length } 'M \wedge \text{'CountInv } 'ind$   
 $\wedge (\text{'obc} < \text{Blacks } 'Ma \vee \text{'Safe}) \wedge \text{'ind} < \text{length } 'M \wedge \text{'M!}'ind = \text{Black} \}$ .  
 $\text{'bc} := \text{insert } 'ind \text{'bc}$   
**FI**;;  
 $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M$   
 $\wedge \text{'obc} \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
 $\wedge \text{length } 'Ma = \text{length } 'M \wedge \text{'CountInv } ('ind+1)$   
 $\wedge (\text{'obc} < \text{Blacks } 'Ma \vee \text{'Safe}) \wedge \text{'ind} < \text{length } 'M \}$ .  
 $\text{'ind} := \text{'ind} + 1$   
**OD**

**lemma Count:**

$\vdash \text{Count}$   
 $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M$   
 $\wedge \text{'obc} \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{'bc} \wedge \text{'bc} \subseteq \text{Blacks } 'M \wedge \text{length } 'Ma = \text{length } 'M$   
 $\wedge (\text{'obc} < \text{Blacks } 'Ma \vee \text{'Safe}) \}$ .  
*<proof>*

## Appending garbage nodes to the free list

**axiomatization** *Append-to-free* ::  $\text{nat} \times \text{edges} \Rightarrow \text{edges}$

**where**

*Append-to-free0*:  $\text{length } (\text{Append-to-free } (i, e)) = \text{length } e$  **and**  
*Append-to-free1*: *Proper-Edges* ( $m, e$ )  
 $\implies \text{Proper-Edges } (m, \text{Append-to-free}(i, e))$  **and**  
*Append-to-free2*:  $i \notin \text{Reach } e$   
 $\implies n \in \text{Reach } (\text{Append-to-free}(i, e)) = (n = i \vee n \in \text{Reach } e)$

**definition** *AppendInv* ::  $\text{gar-coll-state} \Rightarrow \text{nat} \Rightarrow \text{bool}$  **where**

$\text{AppendInv} \equiv \ll \lambda \text{ind. } \forall i < \text{length } 'M. \text{ind} \leq i \longrightarrow i \in \text{Reach } 'E \longrightarrow 'M!i = \text{Black} \gg$

**definition** *Append* ::  $\text{gar-coll-state ann-com}$  **where**

$\text{Append} \equiv$   
 $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'Safe} \}$ .  
 $\text{'ind} := 0$ ;;  
 $\{ \text{'Proper} \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'Safe} \wedge \text{'ind} = 0 \}$ .  
**WHILE**  $\text{'ind} < \text{length } 'M$   
**INV**  $\{ \text{'Proper} \wedge \text{'AppendInv } 'ind \wedge \text{'ind} \leq \text{length } 'M \}$ .  
**DO**  $\{ \text{'Proper} \wedge \text{'AppendInv } 'ind \wedge \text{'ind} < \text{length } 'M \}$ .  
**IF**  $'M! \text{'ind} = \text{Black}$  **THEN**  
 $\{ \text{'Proper} \wedge \text{'AppendInv } 'ind \wedge \text{'ind} < \text{length } 'M \wedge 'M! \text{'ind} = \text{Black} \}$ .

```

    'M := 'M['ind := White]
  ELSE .{ 'Proper ∧ 'AppendInv 'ind ∧ 'ind < length 'M ∧ 'ind ∉ Reach 'E }.
    'E := Append-to-free('ind, 'E)
  FI;;
  .{ 'Proper ∧ 'AppendInv ('ind+1) ∧ 'ind < length 'M }.
  'ind := 'ind+1
OD

```

**lemma** *Append*:  
 $\vdash \text{Append} \{ \text{Proper} \}.$   
 ⟨proof⟩

### Correctness of the Collector

**definition** *Collector* :: *gar-coll-state ann-com where*

```

  Collector ≡
  .{ 'Proper }.
  WHILE True INV .{ 'Proper }.
  DO
    Blacken-Roots;;
    .{ 'Proper ∧ Roots ⊆ Blacks 'M }.
    'obc := {};
    .{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'obc = {} }.
    'bc := Roots;;
    .{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'obc = {} ∧ 'bc = Roots }.
    'Ma := M-init;;
    .{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'obc = {} ∧ 'bc = Roots ∧ 'Ma = M-init }.
    WHILE 'obc ≠ 'bc
      INV .{ 'Proper ∧ Roots ⊆ Blacks 'M
        ∧ 'obc ⊆ Blacks 'Ma ∧ Blacks 'Ma ⊆ 'bc ∧ 'bc ⊆ Blacks 'M
        ∧ length 'Ma = length 'M ∧ ('obc < Blacks 'Ma ∨ 'Safe) }.
      DO .{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M }.
        'obc := 'bc;;
        Propagate-Black;;
        .{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'obc ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M
          ∧ ('obc < Blacks 'M ∨ 'Safe) }.
        'Ma := 'M;;
        .{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'obc ⊆ Blacks 'Ma
          ∧ Blacks 'Ma ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M ∧ length 'Ma = length 'M
          ∧ ('obc < Blacks 'Ma ∨ 'Safe) }.
        'bc := {};
        Count
      OD;;
    Append
  OD

```

**lemma** *Collector*:  
 $\vdash \text{Collector} \{ \text{False} \}.$   
 ⟨proof⟩

### 2.2.3 Interference Freedom

**lemmas** *modules* = *Redirect-Edge-def Color-Target-def Blacken-Roots-def*  
*Propagate-Black-def Count-def Append-def*

**lemmas** *Invariants* = *PBInv-def Auxk-def CountInv-def AppendInv-def*

**lemmas** *abbrev* = *collector-defs mutator-defs Invariants*

**lemma** *interfree-Blacken-Roots-Redirect-Edge:*

*interfree-aux* (*Some Blacken-Roots*, {}, *Some Redirect-Edge*)  
{*proof*}

**lemma** *interfree-Redirect-Edge-Blacken-Roots:*

*interfree-aux* (*Some Redirect-Edge*, {}, *Some Blacken-Roots*)  
{*proof*}

**lemma** *interfree-Blacken-Roots-Color-Target:*

*interfree-aux* (*Some Blacken-Roots*, {}, *Some Color-Target*)  
{*proof*}

**lemma** *interfree-Color-Target-Blacken-Roots:*

*interfree-aux* (*Some Color-Target*, {}, *Some Blacken-Roots*)  
{*proof*}

**lemma** *interfree-Propagate-Black-Redirect-Edge:*

*interfree-aux* (*Some Propagate-Black*, {}, *Some Redirect-Edge*)  
{*proof*}

**lemma** *interfree-Redirect-Edge-Propagate-Black:*

*interfree-aux* (*Some Redirect-Edge*, {}, *Some Propagate-Black*)  
{*proof*}

**lemma** *interfree-Propagate-Black-Color-Target:*

*interfree-aux* (*Some Propagate-Black*, {}, *Some Color-Target*)  
{*proof*}

**lemma** *interfree-Color-Target-Propagate-Black:*

*interfree-aux* (*Some Color-Target*, {}, *Some Propagate-Black*)  
{*proof*}

**lemma** *interfree-Count-Redirect-Edge:*

*interfree-aux* (*Some Count*, {}, *Some Redirect-Edge*)  
{*proof*}

**lemma** *interfree-Redirect-Edge-Count:*

*interfree-aux* (*Some Redirect-Edge*, {}, *Some Count*)  
{*proof*}

**lemma** *interfree-Count-Color-Target:*

*interfree-aux* (*Some Count*, {}, *Some Color-Target*)  
{*proof*}

**lemma** *interfree-Color-Target-Count*:  
*interfree-aux* (Some Color-Target, {}, Some Count)  
<proof>

**lemma** *interfree-Append-Redirect-Edge*:  
*interfree-aux* (Some Append, {}, Some Redirect-Edge)  
<proof>

**lemma** *interfree-Redirect-Edge-Append*:  
*interfree-aux* (Some Redirect-Edge, {}, Some Append)  
<proof>

**lemma** *interfree-Append-Color-Target*:  
*interfree-aux* (Some Append, {}, Some Color-Target)  
<proof>

**lemma** *interfree-Color-Target-Append*:  
*interfree-aux* (Some Color-Target, {}, Some Append)  
<proof>

**lemmas** *collector-mutator-interfree =*  
*interfree-Blacken-Roots-Redirect-Edge interfree-Blacken-Roots-Color-Target*  
*interfree-Propagate-Black-Redirect-Edge interfree-Propagate-Black-Color-Target*  
*interfree-Count-Redirect-Edge interfree-Count-Color-Target*  
*interfree-Append-Redirect-Edge interfree-Append-Color-Target*  
*interfree-Redirect-Edge-Blacken-Roots interfree-Color-Target-Blacken-Roots*  
*interfree-Redirect-Edge-Propagate-Black interfree-Color-Target-Propagate-Black*  
*interfree-Redirect-Edge-Count interfree-Color-Target-Count*  
*interfree-Redirect-Edge-Append interfree-Color-Target-Append*

## Interference freedom Collector-Mutator

**lemma** *interfree-Collector-Mutator*:  
*interfree-aux* (Some Collector, {}, Some Mutator)  
<proof>

## Interference freedom Mutator-Collector

**lemma** *interfree-Mutator-Collector*:  
*interfree-aux* (Some Mutator, {}, Some Collector)  
<proof>

## The Garbage Collection algorithm

In total there are 289 verification conditions.

**lemma** *Gar-Coll*:  
 $\| - \{ 'Proper \wedge 'Mut-init \wedge 'z \}.$   
*COBEGIN*

```

    Collector
    .{False}.
  ||
    Mutator
    .{False}.
  COEND
    .{False}.
  <proof>

```

**end**

## 2.3 The Multi-Mutator Case

**theory** *Mul-Gar-Coll* **imports** *Graph OG-Syntax* **begin**

The full theory takes aprox. 18 minutes.

```

record mut =
  Z :: bool
  R :: nat
  T :: nat

```

Declaration of variables:

```

record mul-gar-coll-state =
  M :: nodes
  E :: edges
  bc :: nat set
  obc :: nat set
  Ma :: nodes
  ind :: nat
  k :: nat
  q :: nat
  l :: nat
  Muts :: mut list

```

### 2.3.1 The Mutators

**definition** *Mul-mut-init* :: *mul-gar-coll-state*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**  

$$\begin{aligned} \textit{Mul-mut-init} \equiv & \ll \lambda n. n = \textit{length } 'Muts \wedge (\forall i < n. R ('Muts!i) < \textit{length } 'E \\ & \wedge T ('Muts!i) < \textit{length } 'M) \gg \end{aligned}$$

**definition** *Mul-Redirect-Edge* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *mul-gar-coll-state* *ann-com* **where**  

$$\begin{aligned} \textit{Mul-Redirect-Edge } j \ n \equiv & \{ 'Mul-mut-init \ n \ \wedge \ Z ('Muts!j) \}. \\ \langle \textit{IF } T ('Muts!j) \in \textit{Reach } 'E \ \textit{THEN} \\ 'E := 'E [R ('Muts!j) := (\textit{fst } ('E!R ('Muts!j))), T ('Muts!j))] \ \textit{FI}, \\ 'Muts := 'Muts [j := ('Muts!j) (Z := \textit{False})] \rangle \end{aligned}$$

**definition** *Mul-Color-Target* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{mul-gar-coll-state ann-com}$  **where**  
*Mul-Color-Target*  $j$   $n \equiv$   
 $\cdot\{ 'Mul\text{-mut-init } n \wedge \neg Z ('Muts!j)\}$ .  
 $\langle 'M := 'M[T ('Muts!j) := Black],, 'Muts := 'Muts[j := ('Muts!j) (\!Z := True)] \rangle$

**definition** *Mul-Mutator* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{mul-gar-coll-state ann-com}$  **where**  
*Mul-Mutator*  $j$   $n \equiv$   
 $\cdot\{ 'Mul\text{-mut-init } n \wedge Z ('Muts!j)\}$ .  
**WHILE** *True*  
**INV**  $\cdot\{ 'Mul\text{-mut-init } n \wedge Z ('Muts!j)\}$ .  
**DO** *Mul-Redirect-Edge*  $j$   $n$  ;;  
*Mul-Color-Target*  $j$   $n$   
**OD**

**lemmas** *mul-mutator-defs* = *Mul-mut-init-def Mul-Redirect-Edge-def Mul-Color-Target-def*

### Correctness of the proof outline of one mutator

**lemma** *Mul-Redirect-Edge*:  $0 \leq j \wedge j < n \implies$   
 $\vdash$  *Mul-Redirect-Edge*  $j$   $n$   
 $\text{pre}(\text{Mul-Color-Target } j \ n)$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-Color-Target*:  $0 \leq j \wedge j < n \implies$   
 $\vdash$  *Mul-Color-Target*  $j$   $n$   
 $\cdot\{ 'Mul\text{-mut-init } n \wedge Z ('Muts!j)\}$ .  
 $\langle \text{proof} \rangle$

**lemma** *Mul-Mutator*:  $0 \leq j \wedge j < n \implies$   
 $\vdash$  *Mul-Mutator*  $j$   $n$   $\cdot\{False\}$ .  
 $\langle \text{proof} \rangle$

### Interference freedom between mutators

**lemma** *Mul-interfree-Redirect-Edge-Redirect-Edge*:  
 $\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some } (\text{Mul-Redirect-Edge } i \ n), \{\}, \text{Some } (\text{Mul-Redirect-Edge } j \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Redirect-Edge-Color-Target*:  
 $\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some } (\text{Mul-Redirect-Edge } i \ n), \{\}, \text{Some } (\text{Mul-Color-Target } j \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Color-Target-Redirect-Edge*:  
 $\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some } (\text{Mul-Color-Target } i \ n), \{\}, \text{Some } (\text{Mul-Redirect-Edge } j \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Color-Target-Color-Target*:

$\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$   
*interfree-aux* (*Some*(*Mul-Color-Target* *i n*), {}, *Some*(*Mul-Color-Target* *j n*))  
 <proof>

**lemmas** *mul-mutator-interfree* =  
*Mul-interfree-Redirect-Edge-Redirect-Edge* *Mul-interfree-Redirect-Edge-Color-Target*  
*Mul-interfree-Color-Target-Redirect-Edge* *Mul-interfree-Color-Target-Color-Target*

**lemma** *Mul-interfree-Mutator-Mutator*:  $\llbracket i < n; j < n; i \neq j \rrbracket \implies$   
*interfree-aux* (*Some* (*Mul-Mutator* *i n*), {}, *Some* (*Mul-Mutator* *j n*))  
 <proof>

## Modular Parameterized Mutators

**lemma** *Mul-Parameterized-Mutators*:  $0 < n \implies$   
 $\llbracket - \cdot \{ 'Mul-mut-init\ n \wedge (\forall i < n. Z ('Muts!i)) \}. \rrbracket$   
*COBEGIN*  
*SCHEME*  $[0 \leq j < n]$   
*Mul-Mutator* *j n*  
 $\cdot \{ False \}.$   
*COEND*  
 $\cdot \{ False \}.$   
 <proof>

### 2.3.2 The Collector

**definition** *Queue* :: *mul-gar-coll-state*  $\Rightarrow$  *nat* **where**  
*Queue*  $\equiv \ll \text{length } (filter (\lambda i. \neg Z\ i \wedge 'M!(T\ i) \neq Black) 'Muts) \gg$

**consts** *M-init* :: *nodes*

**definition** *Proper-M-init* :: *mul-gar-coll-state*  $\Rightarrow$  *bool* **where**  
*Proper-M-init*  $\equiv \ll Blacks\ M-init=Roots \wedge \text{length } M-init=\text{length } 'M \gg$

**definition** *Mul-Proper* :: *mul-gar-coll-state*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**  
*Mul-Proper*  $\equiv \ll \lambda n. Proper-Roots\ 'M \wedge Proper-Edges\ ('M, 'E) \wedge 'Proper-M-init$   
 $\wedge n=\text{length } 'Muts \gg$

**definition** *Safe* :: *mul-gar-coll-state*  $\Rightarrow$  *bool* **where**  
*Safe*  $\equiv \ll Reach\ 'E \subseteq Blacks\ 'M \gg$

**lemmas** *mul-collector-defs* = *Proper-M-init-def* *Mul-Proper-def* *Safe-def*

## Blackening Roots

**definition** *Mul-Blacken-Roots* :: *nat*  $\Rightarrow$  *mul-gar-coll-state* *ann-com* **where**  
*Mul-Blacken-Roots* *n*  $\equiv$   
 $\cdot \{ 'Mul-Proper\ n \}.$   
 $'ind:=0;;$   
 $\cdot \{ 'Mul-Proper\ n \wedge 'ind=0 \}.$

```

WHILE 'ind < length 'M
  INV .{ 'Mul-Propser n ∧ (∀ i < 'ind. i ∈ Roots → 'M!i = Black) ∧ 'ind ≤ length
'M }.
  DO .{ 'Mul-Propser n ∧ (∀ i < 'ind. i ∈ Roots → 'M!i = Black) ∧ 'ind < length
'M }.
    IF 'ind ∈ Roots THEN
      .{ 'Mul-Propser n ∧ (∀ i < 'ind. i ∈ Roots → 'M!i = Black) ∧ 'ind < length 'M
      ∧ 'ind ∈ Roots }.
      'M := 'M[ 'ind := Black ] FI;;
      .{ 'Mul-Propser n ∧ (∀ i < 'ind + 1. i ∈ Roots → 'M!i = Black) ∧ 'ind < length
'M }.
      'ind := 'ind + 1
    OD

```

**lemma** *Mul-Blacken-Roots*:

```

⊢ Mul-Blacken-Roots n
  .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M }.
⟨proof⟩

```

## Propagating Black

**definition** *Mul-PBInv* :: *mul-gar-coll-state* ⇒ *bool* **where**

$$\text{Mul-PBInv} \equiv \ll \text{Safe} \vee \text{obc} \subseteq \text{Blacks } 'M \vee 'l < \text{Queue} \\ \vee (\forall i < \text{ind}. \neg \text{BtoW}('E!i, 'M)) \wedge 'l \leq \text{Queue} \gg$$

**definition** *Mul-Auxk* :: *mul-gar-coll-state* ⇒ *bool* **where**

$$\text{Mul-Auxk} \equiv \ll 'l < \text{Queue} \vee 'M!k \neq \text{Black} \vee \neg \text{BtoW}('E!'ind, 'M) \vee \text{obc} \subseteq \text{Blacks} \\ 'M \gg$$

**definition** *Mul-Propagate-Black* :: *nat* ⇒ *mul-gar-coll-state* *ann-com* **where**

```

Mul-Propagate-Black n ≡
  .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M ∧ 'obc ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M
  ∧ ('Safe ∨ 'l ≤ Queue ∨ 'obc ⊆ Blacks 'M) }.
  'ind := 0;;
  .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M
  ∧ 'obc ⊆ Blacks 'M ∧ Blacks 'M ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M
  ∧ ('Safe ∨ 'l ≤ Queue ∨ 'obc ⊆ Blacks 'M) ∧ 'ind = 0 }.
  WHILE 'ind < length 'E
    INV .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M
    ∧ 'obc ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M
    ∧ 'Mul-PBInv ∧ 'ind ≤ length 'E }.
    DO .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M
    ∧ 'obc ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M
    ∧ 'Mul-PBInv ∧ 'ind < length 'E }.
    IF 'M!(fst('E!'ind)) = Black THEN
      .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M
      ∧ 'obc ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M
      ∧ 'Mul-PBInv ∧ ('M!fst('E!'ind)) = Black ∧ 'ind < length 'E }.
      'k := snd('E!'ind);;

```

$$\begin{aligned}
& .\{ \text{Mul-Prop} n \wedge \text{Roots} \subseteq \text{Blacks } 'M \\
& \quad \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\
& \quad \wedge ('Safe \vee 'obc \subseteq \text{Blacks } 'M \vee 'l < 'Queue \vee (\forall i < 'ind. \neg BtoW('E!i, 'M)) \\
& \quad \quad \wedge 'l \leq 'Queue \wedge 'Mul-Auxk) \wedge 'k < \text{length } 'M \wedge 'M!fst('E!ind) = \text{Black} \\
& \quad \quad \wedge 'ind < \text{length } 'E \}. \\
& \langle 'M := 'M[ 'k := \text{Black}], 'ind := 'ind + 1 \rangle \\
& \text{ELSE } .\{ \text{Mul-Prop} n \wedge \text{Roots} \subseteq \text{Blacks } 'M \\
& \quad \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\
& \quad \wedge 'Mul-PBInv \wedge 'ind < \text{length } 'E \}. \\
& \langle \text{IF } 'M!(fst('E!ind)) \neq \text{Black} \text{ THEN } 'ind := 'ind + 1 \text{ FI} \rangle \text{ FI} \\
& \text{OD}
\end{aligned}$$

**lemma** *Mul-Propagate-Black*:

$$\begin{aligned}
& \vdash \text{Mul-Propagate-Black } n \\
& .\{ \text{Mul-Prop} n \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\
& \quad \wedge ('Safe \vee 'obc \subseteq \text{Blacks } 'M \vee 'l < 'Queue \wedge ('l \leq 'Queue \vee 'obc \subseteq \text{Blacks } \\
& \quad 'M)) \}. \\
& \langle \text{proof} \rangle
\end{aligned}$$

## Counting Black Nodes

**definition** *Mul-CountInv* :: *mul-gar-coll-state*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**

$$\text{Mul-CountInv} \equiv \ll \lambda ind. \{i. i < ind \wedge 'M!i = \text{Black}\} \subseteq 'bc \gg$$

**definition** *Mul-Count* :: *nat*  $\Rightarrow$  *mul-gar-coll-state* *ann-com* **where**

$$\begin{aligned}
& \text{Mul-Count } n \equiv \\
& .\{ \text{Mul-Prop} n \wedge \text{Roots} \subseteq \text{Blacks } 'M \\
& \quad \wedge 'obc \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\
& \quad \wedge \text{length } 'Ma = \text{length } 'M \\
& \quad \wedge ('Safe \vee 'obc \subseteq \text{Blacks } 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq \text{Blacks } 'M)) \\
& \quad \wedge 'q < n + 1 \wedge 'bc = \{\} \}. \\
& 'ind := 0;; \\
& .\{ \text{Mul-Prop} n \wedge \text{Roots} \subseteq \text{Blacks } 'M \\
& \quad \wedge 'obc \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\
& \quad \wedge \text{length } 'Ma = \text{length } 'M \\
& \quad \wedge ('Safe \vee 'obc \subseteq \text{Blacks } 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq \text{Blacks } 'M)) \\
& \quad \wedge 'q < n + 1 \wedge 'bc = \{\} \wedge 'ind = 0 \}. \\
& \text{WHILE } 'ind < \text{length } 'M \\
& \quad \text{INV } .\{ \text{Mul-Prop} n \wedge \text{Roots} \subseteq \text{Blacks } 'M \\
& \quad \quad \wedge 'obc \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\
& \quad \quad \wedge \text{length } 'Ma = \text{length } 'M \wedge 'Mul-CountInv 'ind \\
& \quad \quad \wedge ('Safe \vee 'obc \subseteq \text{Blacks } 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq \text{Blacks } \\
& \quad 'M)) \\
& \quad \quad \wedge 'q < n + 1 \wedge 'ind \leq \text{length } 'M \}. \\
& \text{DO } .\{ \text{Mul-Prop} n \wedge \text{Roots} \subseteq \text{Blacks } 'M \\
& \quad \wedge 'obc \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\
& \quad \wedge \text{length } 'Ma = \text{length } 'M \wedge 'Mul-CountInv 'ind \\
& \quad \wedge ('Safe \vee 'obc \subseteq \text{Blacks } 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq \text{Blacks } 'M)) \\
& \quad \wedge 'q < n + 1 \wedge 'ind < \text{length } 'M \}.
\end{aligned}$$

IF  $'M!'$   $ind=Black$   
 THEN  $\{ 'Mul-Prop\text{-}n \wedge Roots \subseteq Blacks \text{' } M$   
 $\wedge 'obc \subseteq Blacks \text{' } Ma \wedge Blacks \text{' } Ma \subseteq Blacks \text{' } M \wedge 'bc \subseteq Blacks \text{' } M$   
 $\wedge length \text{' } Ma = length \text{' } M \wedge 'Mul-CountInv \text{' } ind$   
 $\wedge ('Safe \vee 'obc \subseteq Blacks \text{' } Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks$   
 $\text{' } M))$   
 $\wedge 'q < n+1 \wedge 'ind < length \text{' } M \wedge 'M!'$   $ind=Black \}$ .  
 $'bc := insert \text{' } ind \text{' } bc$   
 FI;;  
 $\{ 'Mul-Prop\text{-}n \wedge Roots \subseteq Blacks \text{' } M$   
 $\wedge 'obc \subseteq Blacks \text{' } Ma \wedge Blacks \text{' } Ma \subseteq Blacks \text{' } M \wedge 'bc \subseteq Blacks \text{' } M$   
 $\wedge length \text{' } Ma = length \text{' } M \wedge 'Mul-CountInv ('ind+1)$   
 $\wedge ('Safe \vee 'obc \subseteq Blacks \text{' } Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks \text{' } M))$   
 $\wedge 'q < n+1 \wedge 'ind < length \text{' } M \}$ .  
 $'ind := 'ind+1$   
 OD

**lemma** *Mul-Count*:

$\vdash Mul-Count \text{ } n$   
 $\{ 'Mul-Prop\text{-}n \wedge Roots \subseteq Blacks \text{' } M$   
 $\wedge 'obc \subseteq Blacks \text{' } Ma \wedge Blacks \text{' } Ma \subseteq Blacks \text{' } M \wedge 'bc \subseteq Blacks \text{' } M$   
 $\wedge length \text{' } Ma = length \text{' } M \wedge Blacks \text{' } Ma \subseteq 'bc$   
 $\wedge ('Safe \vee 'obc \subseteq Blacks \text{' } Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks \text{' } M))$   
 $\wedge 'q < n+1 \}$ .  
 <proof>

## Appending garbage nodes to the free list

**axiomatization** *Append-to-free*  $:: nat \times edges \Rightarrow edges$

**where**

*Append-to-free0*:  $length (Append-to-free (i, e)) = length e$  **and**  
*Append-to-free1*: *Proper-Edges*  $(m, e)$   
 $\implies Proper-Edges (m, Append-to-free(i, e))$  **and**  
*Append-to-free2*:  $i \notin Reach e$   
 $\implies n \in Reach (Append-to-free(i, e)) = (n = i \vee n \in Reach e)$

**definition** *Mul-AppendInv*  $:: mul-gar-coll-state \Rightarrow nat \Rightarrow bool$  **where**

*Mul-AppendInv*  $\equiv \ll \lambda ind. (\forall i. ind \leq i \longrightarrow i < length \text{' } M \longrightarrow i \in Reach \text{' } E \longrightarrow 'M!i=Black) \gg$

**definition** *Mul-Append*  $:: nat \Rightarrow mul-gar-coll-state \text{ } ann-com$  **where**

*Mul-Append*  $n \equiv$   
 $\{ 'Mul-Prop\text{-}n \wedge Roots \subseteq Blacks \text{' } M \wedge 'Safe \}$ .  
 $'ind := 0$ ;;  
 $\{ 'Mul-Prop\text{-}n \wedge Roots \subseteq Blacks \text{' } M \wedge 'Safe \wedge 'ind=0 \}$ .  
 WHILE  $'ind < length \text{' } M$   
 INV  $\{ 'Mul-Prop\text{-}n \wedge 'Mul-AppendInv \text{' } ind \wedge 'ind \leq length \text{' } M \}$ .  
 DO  $\{ 'Mul-Prop\text{-}n \wedge 'Mul-AppendInv \text{' } ind \wedge 'ind < length \text{' } M \}$ .  
 IF  $'M!'$   $ind=Black$  THEN

```

.{ 'Mul-Propser n ∧ 'Mul-AppendInv 'ind ∧ 'ind < length 'M ∧ 'M! 'ind = Black }.

  'M := 'M [ 'ind := White ]
  ELSE
  .{ 'Mul-Propser n ∧ 'Mul-AppendInv 'ind ∧ 'ind < length 'M ∧ 'ind ≠ Reach
'E }.
  'E := Append-to-free ('ind, 'E)
  FI;;
.{ 'Mul-Propser n ∧ 'Mul-AppendInv ('ind+1) ∧ 'ind < length 'M }.
  'ind := 'ind+1
OD

```

**lemma** *Mul-Append*:

```

⊢ Mul-Append n
  .{ 'Mul-Propser n }.
⟨proof⟩

```

## Collector

**definition** *Mul-Collector* :: nat ⇒ mul-gar-coll-state ann-com **where**

```

Mul-Collector n ≡
.{ 'Mul-Propser n }.
WHILE True INV .{ 'Mul-Propser n }.
DO
  Mul-Blacken-Roots n ;;
  .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M }.
  'obc := {};
  .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M ∧ 'obc = {} }.
  'bc := Roots;
  .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M ∧ 'obc = {} ∧ 'bc = Roots }.
  'l := 0;
  .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M ∧ 'obc = {} ∧ 'bc = Roots ∧ 'l = 0 }.
  WHILE 'l < n+1
    INV .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M ∧
      ('Safe ∨ ('l ≤ 'Queue ∨ 'bc ⊆ Blacks 'M) ∧ 'l < n+1) }.
    DO .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M
      ∧ ('Safe ∨ 'l ≤ 'Queue ∨ 'bc ⊆ Blacks 'M) }.
      'obc := 'bc;
      Mul-Propagate-Black n;;
      .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M
        ∧ 'obc ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M
        ∧ ('Safe ∨ 'obc ⊆ Blacks 'M ∨ 'l < 'Queue
        ∧ ('l ≤ 'Queue ∨ 'obc ⊆ Blacks 'M)) }.
      'bc := {};
      .{ 'Mul-Propser n ∧ Roots ⊆ Blacks 'M
        ∧ 'obc ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M
        ∧ ('Safe ∨ 'obc ⊆ Blacks 'M ∨ 'l < 'Queue
        ∧ ('l ≤ 'Queue ∨ 'obc ⊆ Blacks 'M)) ∧ 'bc = {} }.
      ⟨ 'Ma := 'M,, 'q := 'Queue ⟩;

```

*Mul-Count*  $n$ ;;  
 $\{ \text{'Mul-Prop} n \wedge \text{Roots} \subseteq \text{Blacks } 'M$   
 $\wedge \text{'obc} \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
 $\wedge \text{length } 'Ma = \text{length } 'M \wedge \text{Blacks } 'Ma \subseteq \text{'bc}$   
 $\wedge (\text{'Safe} \vee \text{'obc} \subseteq \text{Blacks } 'Ma \vee \text{'l} < \text{'q} \wedge (\text{'q} \leq \text{'Queue} \vee \text{'obc} \subseteq \text{Blacks } 'M))$   
 $\wedge \text{'q} < n+1 \}$ .  
**IF**  $\text{'obc} = \text{'bc}$  **THEN**  
 $\{ \text{'Mul-Prop} n \wedge \text{Roots} \subseteq \text{Blacks } 'M$   
 $\wedge \text{'obc} \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
 $\wedge \text{length } 'Ma = \text{length } 'M \wedge \text{Blacks } 'Ma \subseteq \text{'bc}$   
 $\wedge (\text{'Safe} \vee \text{'obc} \subseteq \text{Blacks } 'Ma \vee \text{'l} < \text{'q} \wedge (\text{'q} \leq \text{'Queue} \vee \text{'obc} \subseteq \text{Blacks } 'M))$   
 $\wedge \text{'q} < n+1 \wedge \text{'obc} = \text{'bc} \}$ .  
 $\text{'l} := \text{'l} + 1$   
**ELSE**  $\{ \text{'Mul-Prop} n \wedge \text{Roots} \subseteq \text{Blacks } 'M$   
 $\wedge \text{'obc} \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge \text{'bc} \subseteq \text{Blacks } 'M$   
 $\wedge \text{length } 'Ma = \text{length } 'M \wedge \text{Blacks } 'Ma \subseteq \text{'bc}$   
 $\wedge (\text{'Safe} \vee \text{'obc} \subseteq \text{Blacks } 'Ma \vee \text{'l} < \text{'q} \wedge (\text{'q} \leq \text{'Queue} \vee \text{'obc} \subseteq \text{Blacks } 'M))$   
 $\wedge \text{'q} < n+1 \wedge \text{'obc} \neq \text{'bc} \}$ .  
 $\text{'l} := 0$  **FI**  
**OD**;;  
*Mul-Append*  $n$   
**OD**

**lemmas** *mul-modules* = *Mul-Redirect-Edge-def* *Mul-Color-Target-def*  
*Mul-Blacken-Roots-def* *Mul-Propagate-Black-def*  
*Mul-Count-def* *Mul-Append-def*

**lemma** *Mul-Collector*:

$\vdash \text{Mul-Collector } n$   
 $\{ \text{False} \}$ .  
 $\langle \text{proof} \rangle$

### 2.3.3 Interference Freedom

**lemma** *le-length-filter-update* [*rule-format*]:

$\forall i. (\neg P (\text{list}!i) \vee P j) \wedge i < \text{length list}$   
 $\longrightarrow \text{length}(\text{filter } P \text{ list}) \leq \text{length}(\text{filter } P (\text{list}[i:=j]))$   
 $\langle \text{proof} \rangle$

**lemma** *less-length-filter-update* [*rule-format*]:

$\forall i. P j \wedge \neg(P (\text{list}!i)) \wedge i < \text{length list}$   
 $\longrightarrow \text{length}(\text{filter } P \text{ list}) < \text{length}(\text{filter } P (\text{list}[i:=j]))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Blacken-Roots-Redirect-Edge*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some}(\text{Mul-Blacken-Roots } n), \{\}, \text{Some}(\text{Mul-Redirect-Edge } j \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Redirect-Edge-Blacken-Roots*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Redirect-Edge*  $j$   $n$ ), {}, Some (*Mul-Blacken-Roots*  $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Blacken-Roots-Color-Target*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Blacken-Roots*  $n$ ), {}, Some (*Mul-Color-Target*  $j$   $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Color-Target-Blacken-Roots*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Color-Target*  $j$   $n$ ), {}, Some (*Mul-Blacken-Roots*  $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Propagate-Black-Redirect-Edge*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Propagate-Black*  $n$ ), {}, Some (*Mul-Redirect-Edge*  $j$   $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Redirect-Edge-Propagate-Black*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Redirect-Edge*  $j$   $n$ ), {}, Some (*Mul-Propagate-Black*  $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Propagate-Black-Color-Target*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Propagate-Black*  $n$ ), {}, Some (*Mul-Color-Target*  $j$   $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Color-Target-Propagate-Black*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Color-Target*  $j$   $n$ ), {}, Some(*Mul-Propagate-Black*  $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Count-Redirect-Edge*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Count*  $n$ ), {}, Some(*Mul-Redirect-Edge*  $j$   $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Redirect-Edge-Count*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Redirect-Edge*  $j$   $n$ ), {}, Some(*Mul-Count*  $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Count-Color-Target*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Count*  $n$ ), {}, Some(*Mul-Color-Target*  $j$   $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Color-Target-Count*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Color-Target*  $j$   $n$ ), {}, Some(*Mul-Count*  $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Append-Redirect-Edge*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (Some(*Mul-Append*  $n$ ), {}, Some(*Mul-Redirect-Edge*  $j$   $n$ ))  
 ⟨proof⟩

**lemma** *Mul-interfree-Redirect-Edge-Append*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$

*interfree-aux* (*Some*(*Mul-Redirect-Edge*  $j\ n$ ), {}, *Some*(*Mul-Append*  $n$ ))  
 ⟨*proof*⟩

**lemma** *Mul-interfree-Append-Color-Target*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (*Some*(*Mul-Append*  $n$ ), {}, *Some*(*Mul-Color-Target*  $j\ n$ ))  
 ⟨*proof*⟩

**lemma** *Mul-interfree-Color-Target-Append*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
*interfree-aux* (*Some*(*Mul-Color-Target*  $j\ n$ ), {}, *Some*(*Mul-Append*  $n$ ))  
 ⟨*proof*⟩

### Interference freedom Collector-Mutator

**lemmas** *mul-collector-mutator-interfree* =  
*Mul-interfree-Blacken-Roots-Redirect-Edge* *Mul-interfree-Blacken-Roots-Color-Target*

*Mul-interfree-Propagate-Black-Redirect-Edge* *Mul-interfree-Propagate-Black-Color-Target*

*Mul-interfree-Count-Redirect-Edge* *Mul-interfree-Count-Color-Target*

*Mul-interfree-Append-Redirect-Edge* *Mul-interfree-Append-Color-Target*

*Mul-interfree-Redirect-Edge-Blacken-Roots* *Mul-interfree-Color-Target-Blacken-Roots*

*Mul-interfree-Redirect-Edge-Propagate-Black* *Mul-interfree-Color-Target-Propagate-Black*

*Mul-interfree-Redirect-Edge-Count* *Mul-interfree-Color-Target-Count*

*Mul-interfree-Redirect-Edge-Append* *Mul-interfree-Color-Target-Append*

**lemma** *Mul-interfree-Collector-Mutator*:  $j < n \implies$   
*interfree-aux* (*Some* (*Mul-Collector*  $n$ ), {}, *Some* (*Mul-Mutator*  $j\ n$ ))  
 ⟨*proof*⟩

### Interference freedom Mutator-Collector

**lemma** *Mul-interfree-Mutator-Collector*:  $j < n \implies$   
*interfree-aux* (*Some* (*Mul-Mutator*  $j\ n$ ), {}, *Some* (*Mul-Collector*  $n$ ))  
 ⟨*proof*⟩

### The Multi-Mutator Garbage Collection Algorithm

The total number of verification conditions is 328

**lemma** *Mul-Gar-Coll*:  
 $\llbracket - \cdot \{ 'Mul-Prop\} n \wedge 'Mul-mut-init\ n \wedge (\forall i < n. Z ('Muts!i)) \rrbracket$ .  
 COBEGIN  
*Mul-Collector*  $n$   
 $\cdot \{ False \}$ .  
 $\parallel$   
 SCHEME  $[0 \leq j < n]$   
*Mul-Mutator*  $j\ n$   
 $\cdot \{ False \}$ .

*COEND*  
*.{False}*.  
*<proof>*  
**end**

## Chapter 3

# The Rely-Guarantee Method

### 3.1 Abstract Syntax

```
theory RG-Com imports Main begin
```

Semantics of assertions and boolean expressions (*bexp*) as sets of states.  
Syntax of commands *com* and parallel commands *par-com*.

```
type-synonym 'a bexp = 'a set
```

```
datatype 'a com =  
  | Basic 'a  $\Rightarrow$  'a  
  | Seq 'a com 'a com  
  | Cond 'a bexp 'a com 'a com  
  | While 'a bexp 'a com  
  | Await 'a bexp 'a com
```

```
type-synonym 'a par-com = 'a com option list
```

```
end
```

### 3.2 Operational Semantics

```
theory RG-Tran  
imports RG-Com  
begin
```

#### 3.2.1 Semantics of Component Programs

**Environment transitions**

```
type-synonym 'a conf = (('a com) option)  $\times$  'a
```

**inductive-set**

```
etran :: ('a conf  $\times$  'a conf) set  
and etran' :: 'a conf  $\Rightarrow$  'a conf  $\Rightarrow$  bool (-  $\dashv$  e  $\rightarrow$  - [81,81] 80)
```

**where**

$P -e\rightarrow Q \equiv (P, Q) \in \text{etran}$   
|  $\text{Env}: (P, s) -e\rightarrow (P, t)$

**lemma etranE:**  $c -e\rightarrow c' \implies (\bigwedge P s t. c = (P, s) \implies c' = (P, t) \implies Q) \implies Q$   
{proof}

## Component transitions

**inductive-set**

$\text{ctran} :: ('a \text{ conf} \times 'a \text{ conf}) \text{ set}$   
**and**  $\text{ctran}' :: 'a \text{ conf} \Rightarrow 'a \text{ conf} \Rightarrow \text{bool} \quad (- -c\rightarrow - [81,81] 80)$   
**and**  $\text{ctrans} :: 'a \text{ conf} \Rightarrow 'a \text{ conf} \Rightarrow \text{bool} \quad (- -c*\rightarrow - [81,81] 80)$

**where**

$P -c\rightarrow Q \equiv (P, Q) \in \text{ctran}$   
|  $P -c*\rightarrow Q \equiv (P, Q) \in \text{ctran}^*$

|  $\text{Basic}: (\text{Some}(\text{Basic } f), s) -c\rightarrow (\text{None}, f s)$

|  $\text{Seq1}: (\text{Some } P0, s) -c\rightarrow (\text{None}, t) \implies (\text{Some}(\text{Seq } P0 P1), s) -c\rightarrow (\text{Some } P1, t)$

|  $\text{Seq2}: (\text{Some } P0, s) -c\rightarrow (\text{Some } P2, t) \implies (\text{Some}(\text{Seq } P0 P1), s) -c\rightarrow (\text{Some}(\text{Seq } P2 P1), t)$

|  $\text{CondT}: s \in b \implies (\text{Some}(\text{Cond } b P1 P2), s) -c\rightarrow (\text{Some } P1, s)$

|  $\text{CondF}: s \notin b \implies (\text{Some}(\text{Cond } b P1 P2), s) -c\rightarrow (\text{Some } P2, s)$

|  $\text{WhileF}: s \notin b \implies (\text{Some}(\text{While } b P), s) -c\rightarrow (\text{None}, s)$

|  $\text{WhileT}: s \in b \implies (\text{Some}(\text{While } b P), s) -c\rightarrow (\text{Some}(\text{Seq } P (\text{While } b P)), s)$

|  $\text{Await}: \llbracket s \in b; (\text{Some } P, s) -c*\rightarrow (\text{None}, t) \rrbracket \implies (\text{Some}(\text{Await } b P), s) -c\rightarrow (\text{None}, t)$

**monos rtrancl-mono**

## 3.2.2 Semantics of Parallel Programs

**type-synonym**  $'a \text{ par-conf} = ('a \text{ par-com}) \times 'a$

**inductive-set**

$\text{par-etran} :: ('a \text{ par-conf} \times 'a \text{ par-conf}) \text{ set}$   
**and**  $\text{par-etran}' :: ['a \text{ par-conf}, 'a \text{ par-conf}] \Rightarrow \text{bool} \quad (- -pe\rightarrow - [81,81] 80)$

**where**

$P -pe\rightarrow Q \equiv (P, Q) \in \text{par-etran}$   
|  $\text{ParEnv}: (Ps, s) -pe\rightarrow (Ps, t)$

**inductive-set**

$\text{par-ctran} :: ('a \text{ par-conf} \times 'a \text{ par-conf}) \text{ set}$   
**and**  $\text{par-ctran}' :: ['a \text{ par-conf}, 'a \text{ par-conf}] \Rightarrow \text{bool} \quad (- -pc\rightarrow - [81,81] 80)$

where

$P -pc \rightarrow Q \equiv (P, Q) \in \text{par-ctran}$   
 $| \text{ParComp}: \llbracket i < \text{length } Ps; (Ps!i, s) -c \rightarrow (r, t) \rrbracket \Longrightarrow (Ps, s) -pc \rightarrow (Ps[i:=r], t)$

**lemma** *par-ctranE*:  $c -pc \rightarrow c' \Longrightarrow$

$(\bigwedge i \text{ Ps } s \ r \ t. c = (Ps, s) \Longrightarrow c' = (Ps[i := r], t) \Longrightarrow i < \text{length } Ps \Longrightarrow$   
 $(Ps ! i, s) -c \rightarrow (r, t) \Longrightarrow P) \Longrightarrow P$

*<proof>*

### 3.2.3 Computations

#### Sequential computations

**type-synonym** *'a confs* = *'a conf list*

**inductive-set** *cptn* :: *'a confs set*

where

*CptnOne*:  $[(P, s)] \in \text{cptn}$   
 $| \text{CptnEnv}$ :  $(P, t) \# xs \in \text{cptn} \Longrightarrow (P, s) \# (P, t) \# xs \in \text{cptn}$   
 $| \text{CptnComp}$ :  $\llbracket (P, s) -c \rightarrow (Q, t); (Q, t) \# xs \in \text{cptn} \rrbracket \Longrightarrow (P, s) \# (Q, t) \# xs \in \text{cptn}$

**definition** *cp* :: (*'a com*) *option*  $\Rightarrow$  *'a*  $\Rightarrow$  (*'a confs*) *set* **where**

*cp P s*  $\equiv \{l. !!0=(P, s) \wedge l \in \text{cptn}\}$

#### Parallel computations

**type-synonym** *'a par-confs* = *'a par-conf list*

**inductive-set** *par-cptn* :: *'a par-confs set*

where

*ParCptnOne*:  $[(P, s)] \in \text{par-cptn}$   
 $| \text{ParCptnEnv}$ :  $(P, t) \# xs \in \text{par-cptn} \Longrightarrow (P, s) \# (P, t) \# xs \in \text{par-cptn}$   
 $| \text{ParCptnComp}$ :  $\llbracket (P, s) -pc \rightarrow (Q, t); (Q, t) \# xs \in \text{par-cptn} \rrbracket \Longrightarrow (P, s) \# (Q, t) \# xs \in \text{par-cptn}$

**definition** *par-cp* :: (*'a par-com*)  $\Rightarrow$  *'a*  $\Rightarrow$  (*'a par-confs*) *set* **where**

*par-cp P s*  $\equiv \{l. !!0=(P, s) \wedge l \in \text{par-cptn}\}$

### 3.2.4 Modular Definition of Computation

**definition** *lift* :: (*'a com*)  $\Rightarrow$  (*'a conf*)  $\Rightarrow$  (*'a conf*) **where**

*lift Q*  $\equiv \lambda(P, s). (\text{if } P = \text{None} \text{ then } (\text{Some } Q, s) \text{ else } (\text{Some } (\text{Seq } (\text{the } P) \ Q), s))$

**inductive-set** *cptn-mod* :: (*'a confs*) *set*

where

*CptnModOne*:  $[(P, s)] \in \text{cptn-mod}$   
 $| \text{CptnModEnv}$ :  $(P, t) \# xs \in \text{cptn-mod} \Longrightarrow (P, s) \# (P, t) \# xs \in \text{cptn-mod}$   
 $| \text{CptnModNone}$ :  $\llbracket (\text{Some } P, s) -c \rightarrow (\text{None}, t); (\text{None}, t) \# xs \in \text{cptn-mod} \rrbracket \Longrightarrow$   
 $(\text{Some } P, s) \# (\text{None}, t) \# xs \in \text{cptn-mod}$

$| \text{CptnModCondT}: \llbracket (\text{Some } P0, s) \# ys \in \text{cptn-mod}; s \in b \rrbracket \implies (\text{Some}(\text{Cond } b \ P0 \ P1), s) \# (\text{Some } P0, s) \# ys \in \text{cptn-mod}$   
 $| \text{CptnModCondF}: \llbracket (\text{Some } P1, s) \# ys \in \text{cptn-mod}; s \notin b \rrbracket \implies (\text{Some}(\text{Cond } b \ P0 \ P1), s) \# (\text{Some } P1, s) \# ys \in \text{cptn-mod}$   
 $| \text{CptnModSeq1}: \llbracket (\text{Some } P0, s) \# xs \in \text{cptn-mod}; zs = \text{map } (\text{lift } P1) \ xs \rrbracket$   
 $\implies (\text{Some}(\text{Seq } P0 \ P1), s) \# zs \in \text{cptn-mod}$   
 $| \text{CptnModSeq2}: \llbracket (\text{Some } P0, s) \# xs \in \text{cptn-mod}; \text{fst}(\text{last } ((\text{Some } P0, s) \# xs)) = \text{None};$   
 $(\text{Some } P1, \text{snd}(\text{last } ((\text{Some } P0, s) \# xs))) \# ys \in \text{cptn-mod};$   
 $zs = (\text{map } (\text{lift } P1) \ xs) @ ys \rrbracket \implies (\text{Some}(\text{Seq } P0 \ P1), s) \# zs \in \text{cptn-mod}$   
 $| \text{CptnModWhile1}: \llbracket (\text{Some } P, s) \# xs \in \text{cptn-mod}; s \in b; zs = \text{map } (\text{lift } (\text{While } b \ P)) \ xs \rrbracket$   
 $\implies (\text{Some}(\text{While } b \ P), s) \# (\text{Some}(\text{Seq } P \ (\text{While } b \ P)), s) \# zs \in \text{cptn-mod}$   
 $| \text{CptnModWhile2}: \llbracket (\text{Some } P, s) \# xs \in \text{cptn-mod}; \text{fst}(\text{last } ((\text{Some } P, s) \# xs)) = \text{None}; s \in b;$   
 $zs = (\text{map } (\text{lift } (\text{While } b \ P)) \ xs) @ ys;$   
 $(\text{Some}(\text{While } b \ P), \text{snd}(\text{last } ((\text{Some } P, s) \# xs))) \# ys \in \text{cptn-mod} \rrbracket$   
 $\implies (\text{Some}(\text{While } b \ P), s) \# (\text{Some}(\text{Seq } P \ (\text{While } b \ P)), s) \# zs \in \text{cptn-mod}$

### 3.2.5 Equivalence of Both Definitions.

**lemma** *last-length*:  $((a \# xs)!(\text{length } xs)) = \text{last } (a \# xs)$   
 $\langle \text{proof} \rangle$

**lemma** *div-seq [rule-format]*:  $\text{list} \in \text{cptn-mod} \implies$   
 $(\forall s \ P \ Q \ zs. \text{list} = (\text{Some } (\text{Seq } P \ Q), s) \# zs \longrightarrow$   
 $(\exists xs. (\text{Some } P, s) \# xs \in \text{cptn-mod} \wedge (zs = (\text{map } (\text{lift } Q) \ xs) \vee$   
 $(\text{fst}(((\text{Some } P, s) \# xs)!\text{length } xs) = \text{None} \wedge$   
 $(\exists ys. (\text{Some } Q, \text{snd}(((\text{Some } P, s) \# xs)!\text{length } xs))) \# ys \in \text{cptn-mod}$   
 $\wedge zs = (\text{map } (\text{lift } (Q)) \ xs) @ ys))))$   
 $\langle \text{proof} \rangle$

**lemma** *cptn-onlyif-cptn-mod-aux [rule-format]*:  
 $\forall s \ Q \ t \ xs. ((\text{Some } a, s), Q, t) \in \text{ctran} \longrightarrow (Q, t) \# xs \in \text{cptn-mod}$   
 $\longrightarrow (\text{Some } a, s) \# (Q, t) \# xs \in \text{cptn-mod}$   
 $\langle \text{proof} \rangle$

**lemma** *cptn-onlyif-cptn-mod [rule-format]*:  $c \in \text{cptn} \implies c \in \text{cptn-mod}$   
 $\langle \text{proof} \rangle$

**lemma** *lift-is-cptn*:  $c \in \text{cptn} \implies \text{map } (\text{lift } P) \ c \in \text{cptn}$   
 $\langle \text{proof} \rangle$

**lemma** *cptn-append-is-cptn [rule-format]*:  
 $\forall b \ a. b \# c1 \in \text{cptn} \longrightarrow a \# c2 \in \text{cptn} \longrightarrow (b \# c1)!\text{length } c1 = a \longrightarrow b \# c1 @ c2 \in \text{cptn}$   
 $\langle \text{proof} \rangle$

**lemma** *last-lift*:  $\llbracket xs \neq []; \text{fst}(xs!(\text{length } xs - (\text{Suc } 0))) = \text{None} \rrbracket$

$\implies \text{fst}((\text{map } (\text{lift } P) \text{ xs})!(\text{length } (\text{map } (\text{lift } P) \text{ xs}) - (\text{Suc } 0)))=(\text{Some } P)$   
 $\langle \text{proof} \rangle$

**lemma** *last-fst* [rule-format]:  $P((a\#x)!\text{length } x) \longrightarrow \neg P a \longrightarrow P (x!(\text{length } x - (\text{Suc } 0)))$   
 $\langle \text{proof} \rangle$

**lemma** *last-fst-esp*:

$\text{fst}(((\text{Some } a,s)\#xs)!(\text{length } xs))=\text{None} \implies \text{fst}(xs!(\text{length } xs - (\text{Suc } 0)))=\text{None}$   
 $\langle \text{proof} \rangle$

**lemma** *last-snd*:  $xs \neq [] \implies$

$\text{snd}(((\text{map } (\text{lift } P) \text{ xs})!(\text{length } (\text{map } (\text{lift } P) \text{ xs}) - (\text{Suc } 0)))=\text{snd}(xs!(\text{length } xs - (\text{Suc } 0)))$   
 $\langle \text{proof} \rangle$

**lemma** *Cons-lift*:  $(\text{Some } (\text{Seq } P \ Q), s) \# (\text{map } (\text{lift } Q) \text{ xs}) = \text{map } (\text{lift } Q) ((\text{Some } P, s) \# xs)$   
 $\langle \text{proof} \rangle$

**lemma** *Cons-lift-append*:

$(\text{Some } (\text{Seq } P \ Q), s) \# (\text{map } (\text{lift } Q) \text{ xs}) @ ys = \text{map } (\text{lift } Q) ((\text{Some } P, s) \# xs) @ ys$   
 $\langle \text{proof} \rangle$

**lemma** *lift-nth*:  $i < \text{length } xs \implies \text{map } (\text{lift } Q) \text{ xs} ! i = \text{lift } Q (xs ! i)$   
 $\langle \text{proof} \rangle$

**lemma** *snd-lift*:  $i < \text{length } xs \implies \text{snd}(\text{lift } Q (xs ! i)) = \text{snd } (xs ! i)$   
 $\langle \text{proof} \rangle$

**lemma** *cptn-iff-cptn-mod*:  $c \in \text{cptn-mod} \implies c \in \text{cptn}$   
 $\langle \text{proof} \rangle$

**theorem** *cptn-iff-cptn-mod*:  $(c \in \text{cptn}) = (c \in \text{cptn-mod})$   
 $\langle \text{proof} \rangle$

### 3.3 Validity of Correctness Formulas

#### 3.3.1 Validity for Component Programs.

**type-synonym**  $'a \text{ rgformula} =$

$'a \text{ com} \times 'a \text{ set} \times ('a \times 'a) \text{ set} \times ('a \times 'a) \text{ set} \times 'a \text{ set}$

**definition** *assum* ::  $('a \text{ set} \times ('a \times 'a) \text{ set}) \Rightarrow ('a \text{ confs}) \text{ set}$  **where**  
 $\text{assum} \equiv \lambda(\text{pre}, \text{rely}). \{c. \text{snd}(c!0) \in \text{pre} \wedge (\forall i. \text{Suc } i < \text{length } c \longrightarrow c!i - e \rightarrow c!(\text{Suc } i) \longrightarrow (\text{snd}(c!i), \text{snd}(c!\text{Suc } i)) \in \text{rely})\}$

**definition** *comm* ::  $(('a \times 'a) \text{ set} \times 'a \text{ set}) \Rightarrow ('a \text{ confs}) \text{ set}$  **where**

$comm \equiv \lambda(guar, post). \{c. (\forall i. Suc\ i < length\ c \longrightarrow$   
 $c!i -c \rightarrow c!(Suc\ i) \longrightarrow (snd(c!i), snd(c!Suc\ i)) \in guar) \wedge$   
 $(fst\ (last\ c) = None \longrightarrow snd\ (last\ c) \in post)\}$

**definition** *com-validity* :: 'a com  $\Rightarrow$  'a set  $\Rightarrow$  ('a  $\times$  'a) set  $\Rightarrow$  ('a  $\times$  'a) set  $\Rightarrow$  'a set  $\Rightarrow$  bool

( $\models$  - sat [-, -, -, -] [60,0,0,0,0] 45) **where**  
 $\models P\ sat\ [pre, rely, guar, post] \equiv$   
 $\forall s. cp\ (Some\ P)\ s \cap assum(pre, rely) \subseteq comm(guar, post)$

### 3.3.2 Validity for Parallel Programs.

**definition** *All-None* :: (('a com) option) list  $\Rightarrow$  bool **where**

*All-None* xs  $\equiv \forall c \in set\ xs. c = None$

**definition** *par-assum* :: ('a set  $\times$  ('a  $\times$  'a) set)  $\Rightarrow$  ('a par-confs) set **where**

*par-assum*  $\equiv \lambda(pre, rely). \{c. snd(c!0) \in pre \wedge (\forall i. Suc\ i < length\ c \longrightarrow$   
 $c!i -pe \rightarrow c!Suc\ i \longrightarrow (snd(c!i), snd(c!Suc\ i)) \in rely)\}$

**definition** *par-comm* :: (('a  $\times$  'a) set  $\times$  'a set)  $\Rightarrow$  ('a par-confs) set **where**

*par-comm*  $\equiv \lambda(guar, post). \{c. (\forall i. Suc\ i < length\ c \longrightarrow$   
 $c!i -pc \rightarrow c!Suc\ i \longrightarrow (snd(c!i), snd(c!Suc\ i)) \in guar) \wedge$   
 $(All-None\ (fst\ (last\ c)) \longrightarrow snd\ (last\ c) \in post)\}$

**definition** *par-com-validity* :: 'a par-com  $\Rightarrow$  'a set  $\Rightarrow$  ('a  $\times$  'a) set  $\Rightarrow$  ('a  $\times$  'a) set

$\Rightarrow$  'a set  $\Rightarrow$  bool ( $\models$  - SAT [-, -, -, -] [60,0,0,0,0] 45) **where**

$\models Ps\ SAT\ [pre, rely, guar, post] \equiv$   
 $\forall s. par-cp\ Ps\ s \cap par-assum(pre, rely) \subseteq par-comm(guar, post)$

### 3.3.3 Compositionality of the Semantics

#### Definition of the conjoin operator

**definition** *same-length* :: 'a par-confs  $\Rightarrow$  ('a confs) list  $\Rightarrow$  bool **where**

*same-length* c clist  $\equiv (\forall i < length\ clist. length(clist!i) = length\ c)$

**definition** *same-state* :: 'a par-confs  $\Rightarrow$  ('a confs) list  $\Rightarrow$  bool **where**

*same-state* c clist  $\equiv (\forall i < length\ clist. \forall j < length\ c. snd(c!j) = snd((clist!i)!j))$

**definition** *same-program* :: 'a par-confs  $\Rightarrow$  ('a confs) list  $\Rightarrow$  bool **where**

*same-program* c clist  $\equiv (\forall j < length\ c. fst(c!j) = map\ (\lambda x. fst(nth\ x\ j))\ clist)$

**definition** *compat-label* :: 'a par-confs  $\Rightarrow$  ('a confs) list  $\Rightarrow$  bool **where**

*compat-label* c clist  $\equiv (\forall j. Suc\ j < length\ c \longrightarrow$   
 $(c!j -pc \rightarrow c!Suc\ j \wedge (\exists i < length\ clist. (clist!i)!j -c \rightarrow (clist!i)!Suc\ j \wedge$   
 $(\forall l < length\ clist. l \neq i \longrightarrow (clist!l)!j -e \rightarrow (clist!l)!Suc\ j))) \wedge$   
 $(c!j -pe \rightarrow c!Suc\ j \wedge (\forall i < length\ clist. (clist!i)!j -e \rightarrow (clist!i)!Suc\ j)))$

**definition** *conjoin* :: 'a par-confs  $\Rightarrow$  ('a confs) list  $\Rightarrow$  bool (-  $\times$  - [65,65] 64)  
**where**  
 $c \times clist \equiv (same-length\ c\ clist) \wedge (same-state\ c\ clist) \wedge (same-program\ c\ clist)$   
 $\wedge (compat-label\ c\ clist)$

### Some previous lemmas

**lemma** *list-eq-if* [rule-format]:

$\forall ys. xs=ys \longrightarrow (length\ xs = length\ ys) \longrightarrow (\forall i < length\ xs. xs!i=ys!i)$   
 <proof>

**lemma** *list-eq*:  $(length\ xs = length\ ys \wedge (\forall i < length\ xs. xs!i=ys!i)) = (xs=ys)$   
 <proof>

**lemma** *nth-tl*:  $\llbracket ys!0=a; ys \neq [] \rrbracket \Longrightarrow ys=(a\#\ (tl\ ys))$   
 <proof>

**lemma** *nth-tl-if* [rule-format]:  $ys \neq [] \longrightarrow ys!0=a \longrightarrow P\ ys \longrightarrow P\ (a\#\ (tl\ ys))$   
 <proof>

**lemma** *nth-tl-onlyif* [rule-format]:  $ys \neq [] \longrightarrow ys!0=a \longrightarrow P\ (a\#\ (tl\ ys)) \longrightarrow P\ ys$   
 <proof>

**lemma** *seq-not-eq1*:  $Seq\ c1\ c2 \neq c1$   
 <proof>

**lemma** *seq-not-eq2*:  $Seq\ c1\ c2 \neq c2$   
 <proof>

**lemma** *if-not-eq1*:  $Cond\ b\ c1\ c2 \neq c1$   
 <proof>

**lemma** *if-not-eq2*:  $Cond\ b\ c1\ c2 \neq c2$   
 <proof>

**lemmas** *seq-and-if-not-eq* [simp] = *seq-not-eq1 seq-not-eq2*  
*seq-not-eq1* [THEN not-sym] *seq-not-eq2* [THEN not-sym]  
*if-not-eq1 if-not-eq2 if-not-eq1* [THEN not-sym] *if-not-eq2* [THEN not-sym]

**lemma** *prog-not-eq-in-ctran-aux*:  
**assumes**  $c: (P,s) -c \rightarrow (Q,t)$   
**shows**  $P \neq Q$  <proof>

**lemma** *prog-not-eq-in-ctran* [simp]:  $\neg (P,s) -c \rightarrow (P,t)$   
 <proof>

**lemma** *prog-not-eq-in-par-ctran-aux* [rule-format]:  $(P,s) -pc \rightarrow (Q,t) \Longrightarrow (P \neq Q)$   
 <proof>

**lemma** *prog-not-eq-in-par-ctran* [*simp*]:  $\neg (P, s) -pc \rightarrow (P, t)$   
 ⟨*proof*⟩

**lemma** *tl-in-cptn*:  $\llbracket a \# xs \in cptn; xs \neq [] \rrbracket \implies xs \in cptn$   
 ⟨*proof*⟩

**lemma** *tl-zero*[*rule-format*]:  
 $P (ys!Suc\ j) \rightarrow Suc\ j < length\ ys \rightarrow ys \neq [] \rightarrow P (tl(ys)!j)$   
 ⟨*proof*⟩

### 3.3.4 The Semantics is Compositional

**lemma** *aux-if* [*rule-format*]:  
 $\forall xs\ s\ clist. (length\ clist = length\ xs \wedge (\forall i < length\ xs. (xs!i, s) \# clist!i \in cptn))$   
 $\wedge ((xs, s) \# ys \propto map\ (\lambda i. (fst\ i, s) \# snd\ i)\ (zip\ xs\ clist))$   
 $\rightarrow (xs, s) \# ys \in par-cptn$   
 ⟨*proof*⟩

**lemma** *less-Suc-0* [*iff*]:  $(n < Suc\ 0) = (n = 0)$   
 ⟨*proof*⟩

**lemma** *aux-onlyif* [*rule-format*]:  $\forall xs\ s. (xs, s) \# ys \in par-cptn \rightarrow$   
 $(\exists clist. (length\ clist = length\ xs) \wedge$   
 $(xs, s) \# ys \propto map\ (\lambda i. (fst\ i, s) \# (snd\ i))\ (zip\ xs\ clist) \wedge$   
 $(\forall i < length\ xs. (xs!i, s) \# (clist!i) \in cptn))$   
 ⟨*proof*⟩

**lemma** *one-iff-aux*:  $xs \neq [] \implies (\forall ys. ((xs, s) \# ys \in par-cptn) =$   
 $(\exists clist. length\ clist = length\ xs \wedge$   
 $((xs, s) \# ys \propto map\ (\lambda i. (fst\ i, s) \# (snd\ i))\ (zip\ xs\ clist)) \wedge$   
 $(\forall i < length\ xs. (xs!i, s) \# (clist!i) \in cptn))) =$   
 $(par-cp\ (xs)\ s = \{c. \exists clist. (length\ clist) = (length\ xs) \wedge$   
 $(\forall i < length\ clist. (clist!i) \in cp(xs!i)\ s) \wedge c \propto clist\})$   
 ⟨*proof*⟩

**theorem** *one*:  $xs \neq [] \implies$   
 $par-cp\ xs\ s = \{c. \exists clist. (length\ clist) = (length\ xs) \wedge$   
 $(\forall i < length\ clist. (clist!i) \in cp(xs!i)\ s) \wedge c \propto clist\}$   
 ⟨*proof*⟩

**end**

## 3.4 The Proof System

**theory** *RG-Hoare* **imports** *RG-Tran* **begin**

### 3.4.1 Proof System for Component Programs

**declare** *Un-subset-iff* [*simp del*] *le-sup-iff* [*simp del*]

**declare** *Cons-eq-map-conv* [*iff*]

**definition** *stable* :: *'a set*  $\Rightarrow$  (*'a*  $\times$  *'a*) *set*  $\Rightarrow$  *bool* **where**

*stable*  $\equiv \lambda f g. (\forall x y. x \in f \longrightarrow (x, y) \in g \longrightarrow y \in f)$

**inductive**

*rghoare* :: [*'a com*, *'a set*, (*'a*  $\times$  *'a*) *set*, (*'a*  $\times$  *'a*) *set*, *'a set*]  $\Rightarrow$  *bool*  
 $(\vdash - \text{sat } [-, -, -, -] [60,0,0,0,0] 45)$

**where**

*Basic*:  $\llbracket \text{pre} \subseteq \{s. f s \in \text{post}\}; \{(s,t). s \in \text{pre} \wedge (t=f s \vee t=s)\} \subseteq \text{guar};$   
 $\text{stable pre rely}; \text{stable post rely} \rrbracket$   
 $\Longrightarrow \vdash \text{Basic } f \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}]$

| *Seq*:  $\llbracket \vdash P \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{mid}]; \vdash Q \text{ sat } [\text{mid}, \text{rely}, \text{guar}, \text{post}] \rrbracket$   
 $\Longrightarrow \vdash \text{Seq } P Q \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}]$

| *Cond*:  $\llbracket \text{stable pre rely}; \vdash P1 \text{ sat } [\text{pre} \cap b, \text{rely}, \text{guar}, \text{post}];$   
 $\vdash P2 \text{ sat } [\text{pre} \cap \neg b, \text{rely}, \text{guar}, \text{post}]; \forall s. (s,s) \in \text{guar} \rrbracket$   
 $\Longrightarrow \vdash \text{Cond } b P1 P2 \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}]$

| *While*:  $\llbracket \text{stable pre rely}; (\text{pre} \cap \neg b) \subseteq \text{post}; \text{stable post rely};$   
 $\vdash P \text{ sat } [\text{pre} \cap b, \text{rely}, \text{guar}, \text{pre}]; \forall s. (s,s) \in \text{guar} \rrbracket$   
 $\Longrightarrow \vdash \text{While } b P \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}]$

| *Await*:  $\llbracket \text{stable pre rely}; \text{stable post rely};$   
 $\forall V. \vdash P \text{ sat } [\text{pre} \cap b \cap \{V\}, \{(s, t). s = t\},$   
 $\text{UNIV}, \{s. (V, s) \in \text{guar}\} \cap \text{post}] \rrbracket$   
 $\Longrightarrow \vdash \text{Await } b P \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}]$

| *Conseq*:  $\llbracket \text{pre} \subseteq \text{pre}'; \text{rely} \subseteq \text{rely}'; \text{guar}' \subseteq \text{guar}; \text{post}' \subseteq \text{post};$   
 $\vdash P \text{ sat } [\text{pre}', \text{rely}', \text{guar}', \text{post}'] \rrbracket$   
 $\Longrightarrow \vdash P \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}]$

**definition** *Pre* :: *'a rgformula*  $\Rightarrow$  *'a set* **where**

*Pre*  $x \equiv \text{fst}(\text{snd } x)$

**definition** *Post* :: *'a rgformula*  $\Rightarrow$  *'a set* **where**

*Post*  $x \equiv \text{snd}(\text{snd}(\text{snd}(\text{snd } x)))$

**definition** *Rely* :: *'a rgformula*  $\Rightarrow$  (*'a*  $\times$  *'a*) *set* **where**

*Rely*  $x \equiv \text{fst}(\text{snd}(\text{snd } x))$

**definition** *Guar* :: *'a rgformula*  $\Rightarrow$  (*'a*  $\times$  *'a*) *set* **where**

*Guar*  $x \equiv \text{fst}(\text{snd}(\text{snd}(\text{snd } x)))$

**definition** *Com* :: *'a rgformula*  $\Rightarrow$  *'a com* **where**

*Com*  $x \equiv \text{fst } x$

### 3.4.2 Proof System for Parallel Programs

**type-synonym**  $'a$  *par-rgformula* =  
 $('a$  *rgformula*) *list*  $\times$   $'a$  *set*  $\times$   $('a \times 'a)$  *set*  $\times$   $('a \times 'a)$  *set*  $\times$   $'a$  *set*

**inductive**

$par\text{-}rghoare :: ('a$  *rgformula*) *list*  $\Rightarrow$   $'a$  *set*  $\Rightarrow$   $('a \times 'a)$  *set*  $\Rightarrow$   $('a \times 'a)$  *set*  $\Rightarrow$   $'a$  *set*  $\Rightarrow$  *bool*

( $\vdash$  - *SAT* [-, -, -, -] [60,0,0,0,0] 45)

**where**

*Parallel*:

$\llbracket \forall i < \text{length } xs. \text{rely} \cup (\bigcup_{j \in \{j. j < \text{length } xs \wedge j \neq i\}}. \text{Guar}(xs!j)) \subseteq \text{Rely}(xs!i);$   
 $(\bigcup_{j \in \{j. j < \text{length } xs\}}. \text{Guar}(xs!j)) \subseteq \text{guar};$   
 $\text{pre} \subseteq (\bigcap_{i \in \{i. i < \text{length } xs\}}. \text{Pre}(xs!i));$   
 $(\bigcap_{i \in \{i. i < \text{length } xs\}}. \text{Post}(xs!i)) \subseteq \text{post};$   
 $\forall i < \text{length } xs. \vdash \text{Com}(xs!i) \text{ sat } [\text{Pre}(xs!i), \text{Rely}(xs!i), \text{Guar}(xs!i), \text{Post}(xs!i)] \rrbracket$   
 $\implies \vdash xs \text{ SAT } [\text{pre}, \text{rely}, \text{guar}, \text{post}]$

### 3.5 Soundness

**Some previous lemmas**

**lemma** *tl-of-assum-in-assum*:

$(P, s) \# (P, t) \# xs \in \text{assum}(\text{pre}, \text{rely}) \implies \text{stable pre rely}$   
 $\implies (P, t) \# xs \in \text{assum}(\text{pre}, \text{rely})$

*<proof>*

**lemma** *etran-in-comm*:

$(P, t) \# xs \in \text{comm}(\text{guar}, \text{post}) \implies (P, s) \# (P, t) \# xs \in \text{comm}(\text{guar}, \text{post})$

*<proof>*

**lemma** *ctran-in-comm*:

$\llbracket (s, s) \in \text{guar}; (Q, s) \# xs \in \text{comm}(\text{guar}, \text{post}) \rrbracket$   
 $\implies (P, s) \# (Q, s) \# xs \in \text{comm}(\text{guar}, \text{post})$

*<proof>*

**lemma** *takecptn-is-cptn* [*rule-format, elim!*]:

$\forall j. c \in \text{cptn} \longrightarrow \text{take } (Suc\ j) \ c \in \text{cptn}$

*<proof>*

**lemma** *dropcptn-is-cptn* [*rule-format, elim!*]:

$\forall j < \text{length } c. c \in \text{cptn} \longrightarrow \text{drop } j \ c \in \text{cptn}$

*<proof>*

**lemma** *takepar-cptn-is-par-cptn* [*rule-format, elim!*]:

$\forall j. c \in \text{par-cptn} \longrightarrow \text{take } (Suc\ j) \ c \in \text{par-cptn}$

*<proof>*

**lemma** *droppar-cptn-is-par-cptn* [*rule-format*]:

$\forall j < \text{length } c. c \in \text{par-cptn} \longrightarrow \text{drop } j \ c \in \text{par-cptn}$

$\langle \text{proof} \rangle$

**lemma** *tl-of-cptn-is-cptn*:  $\llbracket x \# xs \in \text{cptn}; xs \neq [] \rrbracket \implies xs \in \text{cptn}$   
 $\langle \text{proof} \rangle$

**lemma** *not-ctran-None* [rule-format]:  
 $\forall s. (\text{None}, s) \# xs \in \text{cptn} \longrightarrow (\forall i < \text{length } xs. ((\text{None}, s) \# xs)!i -e \longrightarrow xs!i)$   
 $\langle \text{proof} \rangle$

**lemma** *cptn-not-empty* [simp]:  $[] \notin \text{cptn}$   
 $\langle \text{proof} \rangle$

**lemma** *etran-or-ctran* [rule-format]:  
 $\forall m i. x \in \text{cptn} \longrightarrow m \leq \text{length } x$   
 $\longrightarrow (\forall i. \text{Suc } i < m \longrightarrow \neg x!i -c \longrightarrow x!\text{Suc } i) \longrightarrow \text{Suc } i < m$   
 $\longrightarrow x!i -e \longrightarrow x!\text{Suc } i$   
 $\langle \text{proof} \rangle$

**lemma** *etran-or-ctran2* [rule-format]:  
 $\forall i. \text{Suc } i < \text{length } x \longrightarrow x \in \text{cptn} \longrightarrow (x!i -c \longrightarrow x!\text{Suc } i \longrightarrow \neg x!i -e \longrightarrow x!\text{Suc } i)$   
 $\vee (x!i -e \longrightarrow x!\text{Suc } i \longrightarrow \neg x!i -c \longrightarrow x!\text{Suc } i)$   
 $\langle \text{proof} \rangle$

**lemma** *etran-or-ctran2-disjI1*:  
 $\llbracket x \in \text{cptn}; \text{Suc } i < \text{length } x; x!i -c \longrightarrow x!\text{Suc } i \rrbracket \implies \neg x!i -e \longrightarrow x!\text{Suc } i$   
 $\langle \text{proof} \rangle$

**lemma** *etran-or-ctran2-disjI2*:  
 $\llbracket x \in \text{cptn}; \text{Suc } i < \text{length } x; x!i -e \longrightarrow x!\text{Suc } i \rrbracket \implies \neg x!i -c \longrightarrow x!\text{Suc } i$   
 $\langle \text{proof} \rangle$

**lemma** *not-ctran-None2* [rule-format]:  
 $\llbracket (\text{None}, s) \# xs \in \text{cptn}; i < \text{length } xs \rrbracket \implies \neg ((\text{None}, s) \# xs)!i -c \longrightarrow xs!i$   
 $\langle \text{proof} \rangle$

**lemma** *Ex-first-occurrence* [rule-format]:  $P (n::\text{nat}) \longrightarrow (\exists m. P m \wedge (\forall i < m. \neg P i))$   
 $\langle \text{proof} \rangle$

**lemma** *stability* [rule-format]:  
 $\forall j k. x \in \text{cptn} \longrightarrow \text{stable } p \text{ rely} \longrightarrow j \leq k \longrightarrow k < \text{length } x \longrightarrow \text{snd}(x!j) \in p \longrightarrow$   
 $(\forall i. (\text{Suc } i) < \text{length } x \longrightarrow$   
 $(x!i -e \longrightarrow x!(\text{Suc } i)) \longrightarrow (\text{snd}(x!i), \text{snd}(x!(\text{Suc } i))) \in \text{rely}) \longrightarrow$   
 $(\forall i. j \leq i \wedge i < k \longrightarrow x!i -e \longrightarrow x!\text{Suc } i) \longrightarrow \text{snd}(x!k) \in p \wedge \text{fst}(x!j) = \text{fst}(x!k)$   
 $\langle \text{proof} \rangle$

### 3.5.1 Soundness of the System for Component Programs

#### Soundness of the Basic rule

**lemma** *unique-ctran-Basic* [rule-format]:

$$\begin{aligned} & \forall s \ i. \ x \in \text{cptn} \longrightarrow x \ ! \ 0 = (\text{Some } (\text{Basic } f), s) \longrightarrow \\ & \text{Suc } i < \text{length } x \longrightarrow x!i \ -c \rightarrow x!\text{Suc } i \longrightarrow \\ & (\forall j. \ \text{Suc } j < \text{length } x \longrightarrow i \neq j \longrightarrow x!j \ -e \rightarrow x!\text{Suc } j) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *exists-ctran-Basic-None* [rule-format]:

$$\begin{aligned} & \forall s \ i. \ x \in \text{cptn} \longrightarrow x \ ! \ 0 = (\text{Some } (\text{Basic } f), s) \\ & \longrightarrow i < \text{length } x \longrightarrow \text{fst}(x!i) = \text{None} \longrightarrow (\exists j < i. \ x!j \ -c \rightarrow x!\text{Suc } j) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *Basic-sound*:

$$\begin{aligned} & \llbracket \text{pre} \subseteq \{s. \ f \ s \in \text{post}\}; \ \{(s, t). \ s \in \text{pre} \wedge t = f \ s\} \subseteq \text{guar}; \\ & \text{stable pre rely}; \ \text{stable post rely} \rrbracket \\ & \Longrightarrow \models \text{Basic } f \ \text{sat} \ [\text{pre}, \ \text{rely}, \ \text{guar}, \ \text{post}] \\ & \langle \text{proof} \rangle \end{aligned}$$

#### Soundness of the Await rule

**lemma** *unique-ctran-Await* [rule-format]:

$$\begin{aligned} & \forall s \ i. \ x \in \text{cptn} \longrightarrow x \ ! \ 0 = (\text{Some } (\text{Await } b \ c), s) \longrightarrow \\ & \text{Suc } i < \text{length } x \longrightarrow x!i \ -c \rightarrow x!\text{Suc } i \longrightarrow \\ & (\forall j. \ \text{Suc } j < \text{length } x \longrightarrow i \neq j \longrightarrow x!j \ -e \rightarrow x!\text{Suc } j) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *exists-ctran-Await-None* [rule-format]:

$$\begin{aligned} & \forall s \ i. \ x \in \text{cptn} \longrightarrow x \ ! \ 0 = (\text{Some } (\text{Await } b \ c), s) \\ & \longrightarrow i < \text{length } x \longrightarrow \text{fst}(x!i) = \text{None} \longrightarrow (\exists j < i. \ x!j \ -c \rightarrow x!\text{Suc } j) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *Star-imp-cptn*:

$$\begin{aligned} & (P, s) \ -c^* \rightarrow (R, t) \Longrightarrow \exists l \in \text{cp } P \ s. \ (\text{last } l) = (R, t) \\ & \wedge (\forall i. \ \text{Suc } i < \text{length } l \longrightarrow l!i \ -c \rightarrow l!\text{Suc } i) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *Await-sound*:

$$\begin{aligned} & \llbracket \text{stable pre rely}; \ \text{stable post rely}; \\ & \forall V. \ \vdash P \ \text{sat} \ [\text{pre} \cap b \cap \{s. \ s = V\}, \ \{(s, t). \ s = t\}, \\ & \quad \text{UNIV}, \ \{s. \ (V, s) \in \text{guar}\} \cap \text{post}] \wedge \\ & \models P \ \text{sat} \ [\text{pre} \cap b \cap \{s. \ s = V\}, \ \{(s, t). \ s = t\}, \\ & \quad \text{UNIV}, \ \{s. \ (V, s) \in \text{guar}\} \cap \text{post}] \rrbracket \\ & \Longrightarrow \models \text{Await } b \ P \ \text{sat} \ [\text{pre}, \ \text{rely}, \ \text{guar}, \ \text{post}] \\ & \langle \text{proof} \rangle \end{aligned}$$

## Soundness of the Conditional rule

**lemma** *Cond-sound*:

$$\begin{aligned} & \llbracket \text{stable } pre \text{ rely}; \models P1 \text{ sat } [pre \cap b, \text{rely}, \text{guar}, \text{post}]; \\ & \models P2 \text{ sat } [pre \cap \neg b, \text{rely}, \text{guar}, \text{post}]; \forall s. (s, s) \in \text{guar} \rrbracket \\ & \implies \models (\text{Cond } b \ P1 \ P2) \text{ sat } [pre, \text{rely}, \text{guar}, \text{post}] \\ & \langle \text{proof} \rangle \end{aligned}$$

## Soundness of the Sequential rule

**inductive-cases** *Seq-cases* [*elim!*]:  $(\text{Some } (\text{Seq } P \ Q), s) \text{ --c--} t$

**lemma** *last-lift-not-None*:  $\text{fst } ((\text{lift } Q) ((x \# xs)!(\text{length } xs))) \neq \text{None}$   
 $\langle \text{proof} \rangle$

**declare** *map-eq-Cons-conv* [*simp del*] *Cons-eq-map-conv* [*simp del*]

**lemma** *Seq-sound1* [*rule-format*]:

$$\begin{aligned} & x \in \text{cptn-mod} \implies \forall s \ P. x \neq (\text{Some } (\text{Seq } P \ Q), s) \longrightarrow \\ & (\forall i < \text{length } x. \text{fst}(x!i) \neq \text{Some } Q) \longrightarrow \\ & (\exists xs \in \text{cp } (\text{Some } P) \ s. x = \text{map } (\text{lift } Q) \ xs) \\ & \langle \text{proof} \rangle \end{aligned}$$

**declare** *map-eq-Cons-conv* [*simp del*] *Cons-eq-map-conv* [*simp del*]

**lemma** *Seq-sound2* [*rule-format*]:

$$\begin{aligned} & x \in \text{cptn} \implies \forall s \ P \ i. x \neq (\text{Some } (\text{Seq } P \ Q), s) \longrightarrow i < \text{length } x \\ & \longrightarrow \text{fst}(x!i) = \text{Some } Q \longrightarrow \\ & (\forall j < i. \text{fst}(x!j) \neq (\text{Some } Q)) \longrightarrow \\ & (\exists xs \ ys. xs \in \text{cp } (\text{Some } P) \ s \wedge \text{length } xs = \text{Suc } i \\ & \wedge ys \in \text{cp } (\text{Some } Q) \ (\text{snd}(xs \ !i)) \wedge x = (\text{map } (\text{lift } Q) \ xs) @ \text{tl } ys) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *last-lift-not-None2*:  $\text{fst } ((\text{lift } Q) (\text{last } (x \# xs))) \neq \text{None}$   
 $\langle \text{proof} \rangle$

**lemma** *Seq-sound*:

$$\begin{aligned} & \llbracket \models P \text{ sat } [pre, \text{rely}, \text{guar}, \text{mid}]; \models Q \text{ sat } [\text{mid}, \text{rely}, \text{guar}, \text{post}] \rrbracket \\ & \implies \models \text{Seq } P \ Q \text{ sat } [pre, \text{rely}, \text{guar}, \text{post}] \\ & \langle \text{proof} \rangle \end{aligned}$$

## Soundness of the While rule

**lemma** *last-append* [*rule-format*]:

$$\forall xs. ys \neq [] \longrightarrow ((xs @ ys)!(\text{length } (xs @ ys) - (\text{Suc } 0))) = (ys!(\text{length } ys - (\text{Suc } 0)))$$

$\langle \text{proof} \rangle$

**lemma** *assum-after-body*:

$$\begin{aligned} & \llbracket \models P \text{ sat } [pre \cap b, \text{rely}, \text{guar}, \text{pre}]; \\ & (\text{Some } P, s) \# xs \in \text{cptn-mod}; \text{fst } (\text{last } ((\text{Some } P, s) \# xs)) = \text{None}; s \in b; \\ & (\text{Some } (\text{While } b \ P), s) \# (\text{Some } (\text{Seq } P \ (\text{While } b \ P)), s) \# \end{aligned}$$

$map (lift (While\ b\ P))\ xs\ @\ ys \in\ assum\ (pre,\ rely)]$   
 $\implies (Some\ (While\ b\ P),\ snd\ (last\ ((Some\ P,\ s)\ \#\ xs)))\ \#\ ys \in\ assum\ (pre,\ rely)$   
 <proof>

**lemma** *While-sound-aux* [rule-format]:  
 $\llbracket pre \cap -\ b \subseteq post; \models P\ sat\ [pre \cap b,\ rely,\ guar,\ pre]; \forall s.\ (s,\ s) \in guar;$   
 $stable\ pre\ rely; stable\ post\ rely; x \in\ cptn\text{-}mod \rrbracket$   
 $\implies \forall s\ xs.\ x = (Some\ (While\ b\ P),\ s)\ \#\ xs \longrightarrow x \in\ assum\ (pre,\ rely) \longrightarrow x \in\ comm$   
 (guar, post)  
 <proof>

**lemma** *While-sound*:  
 $\llbracket stable\ pre\ rely; pre \cap -\ b \subseteq post; stable\ post\ rely;$   
 $\models P\ sat\ [pre \cap b,\ rely,\ guar,\ pre]; \forall s.\ (s,\ s) \in guar \rrbracket$   
 $\implies \models While\ b\ P\ sat\ [pre,\ rely,\ guar,\ post]$   
 <proof>

### Soundness of the Rule of Consequence

**lemma** *Conseq-sound*:  
 $\llbracket pre \subseteq pre';\ rely \subseteq rely';\ guar' \subseteq guar;\ post' \subseteq post;$   
 $\models P\ sat\ [pre',\ rely',\ guar',\ post'] \rrbracket$   
 $\implies \models P\ sat\ [pre,\ rely,\ guar,\ post]$   
 <proof>

### Soundness of the system for sequential component programs

**theorem** *rgsound*:  
 $\vdash P\ sat\ [pre,\ rely,\ guar,\ post] \implies \models P\ sat\ [pre,\ rely,\ guar,\ post]$   
 <proof>

### 3.5.2 Soundness of the System for Parallel Programs

**definition** *ParallelCom* :: ('a rgformula) list  $\Rightarrow$  'a par-com **where**  
 $ParallelCom\ Ps \equiv map\ (Some\ \circ\ fst)\ Ps$

**lemma** *two*:  
 $\llbracket \forall i < length\ xs.\ rely \cup (\bigcup_{j \in \{j.\ j < length\ xs \wedge j \neq i\}}.\ Guar\ (xs\ !\ j))$   
 $\subseteq Rely\ (xs\ !\ i);$   
 $pre \subseteq (\bigcap_{i \in \{i.\ i < length\ xs\}}.\ Pre\ (xs\ !\ i));$   
 $\forall i < length\ xs.$   
 $\models Com\ (xs\ !\ i)\ sat\ [Pre\ (xs\ !\ i),\ Rely\ (xs\ !\ i),\ Guar\ (xs\ !\ i),\ Post\ (xs\ !\ i)];$   
 $length\ xs = length\ clist; x \in\ par\text{-}cp\ (ParallelCom\ xs)\ s; x \in\ par\text{-}assum\ (pre,\ rely);$   
 $\forall i < length\ clist.\ clist!\ i \in cp\ (Some\ (Com\ (xs!\ i)))\ s; x \propto clist \rrbracket$   
 $\implies \forall j\ i.\ i < length\ clist \wedge Suc\ j < length\ x \longrightarrow (clist!\ i!\ j) -c \rightarrow (clist!\ i!\ Suc\ j)$   
 $\longrightarrow (snd\ (clist!\ i!\ j),\ snd\ (clist!\ i!\ Suc\ j)) \in Guar\ (xs!\ i)$   
 <proof>

**lemma** *three* [rule-format]:

$\llbracket xs \neq []; \forall i < \text{length } xs. \text{rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \text{Guar } (xs ! j))$   
 $\subseteq \text{Rely } (xs ! i);$   
 $\text{pre} \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. \text{Pre } (xs ! i));$   
 $\forall i < \text{length } xs.$   
 $\models \text{Com } (xs ! i) \text{ sat } [\text{Pre } (xs ! i), \text{Rely } (xs ! i), \text{Guar } (xs ! i), \text{Post } (xs ! i)];$   
 $\text{length } xs = \text{length } \text{clist}; x \in \text{par-cp } (\text{ParallelCom } xs) s; x \in \text{par-assum}(\text{pre}, \text{rely});$   
 $\forall i < \text{length } \text{clist}. \text{clist}!i \in \text{cp } (\text{Some}(\text{Com}(xs!i))) s; x \propto \text{clist} \rrbracket$   
 $\implies \forall j i. i < \text{length } \text{clist} \wedge \text{Suc } j < \text{length } x \longrightarrow (\text{clist}!i!j) -e \rightarrow (\text{clist}!i!\text{Suc } j)$   
 $\longrightarrow (\text{snd}(\text{clist}!i!j), \text{snd}(\text{clist}!i!\text{Suc } j)) \in \text{rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \text{Guar } (xs ! j))$   
 $\langle \text{proof} \rangle$

**lemma four:**

$\llbracket xs \neq []; \forall i < \text{length } xs. \text{rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \text{Guar } (xs ! j))$   
 $\subseteq \text{Rely } (xs ! i);$   
 $(\bigcup j \in \{j. j < \text{length } xs\}. \text{Guar } (xs ! j)) \subseteq \text{guar};$   
 $\text{pre} \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. \text{Pre } (xs ! i));$   
 $\forall i < \text{length } xs.$   
 $\models \text{Com } (xs ! i) \text{ sat } [\text{Pre } (xs ! i), \text{Rely } (xs ! i), \text{Guar } (xs ! i), \text{Post } (xs ! i)];$   
 $x \in \text{par-cp } (\text{ParallelCom } xs) s; x \in \text{par-assum } (\text{pre}, \text{rely}); \text{Suc } i < \text{length } x;$   
 $x ! i -pc \rightarrow x ! \text{Suc } i \rrbracket$   
 $\implies (\text{snd } (x ! i), \text{snd } (x ! \text{Suc } i)) \in \text{guar}$   
 $\langle \text{proof} \rangle$

**lemma parcptn-not-empty [simp]:**  $[] \notin \text{par-cptn}$   
 $\langle \text{proof} \rangle$

**lemma five:**

$\llbracket xs \neq []; \forall i < \text{length } xs. \text{rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \text{Guar } (xs ! j))$   
 $\subseteq \text{Rely } (xs ! i);$   
 $\text{pre} \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. \text{Pre } (xs ! i));$   
 $(\bigcap i \in \{i. i < \text{length } xs\}. \text{Post } (xs ! i)) \subseteq \text{post};$   
 $\forall i < \text{length } xs.$   
 $\models \text{Com } (xs ! i) \text{ sat } [\text{Pre } (xs ! i), \text{Rely } (xs ! i), \text{Guar } (xs ! i), \text{Post } (xs ! i)];$   
 $x \in \text{par-cp } (\text{ParallelCom } xs) s; x \in \text{par-assum } (\text{pre}, \text{rely});$   
 $\text{All-None } (\text{fst } (\text{last } x)) \rrbracket \implies \text{snd } (\text{last } x) \in \text{post}$   
 $\langle \text{proof} \rangle$

**lemma ParallelEmpty [rule-format]:**

$\forall i s. x \in \text{par-cp } (\text{ParallelCom } []) s \longrightarrow$   
 $\text{Suc } i < \text{length } x \longrightarrow (x ! i, x ! \text{Suc } i) \notin \text{par-ctran}$   
 $\langle \text{proof} \rangle$

**theorem par-rgsound:**

$\vdash c \text{ SAT } [\text{pre}, \text{rely}, \text{guar}, \text{post}] \implies$   
 $\models (\text{ParallelCom } c) \text{ SAT } [\text{pre}, \text{rely}, \text{guar}, \text{post}]$   
 $\langle \text{proof} \rangle$

**end**

## 3.6 Concrete Syntax

**theory** *RG-Syntax*  
**imports** *RG-Hoare Quote-Antiquote*  
**begin**

**abbreviation** *Skip* :: 'a com (SKIP)  
**where** *SKIP*  $\equiv$  *Basic id*

**notation** *Seq* ((-;;/-) [60,61] 60)

### syntax

-*Assign* :: *idt*  $\Rightarrow$  'b  $\Rightarrow$  'a com (('- :=/ -) [70, 65] 61)  
-*Cond* :: 'a *bexp*  $\Rightarrow$  'a com  $\Rightarrow$  'a com  $\Rightarrow$  'a com ((0IF -/ THEN -/ ELSE -/ FI) [0, 0, 0] 61)  
-*Cond2* :: 'a *bexp*  $\Rightarrow$  'a com  $\Rightarrow$  'a com ((0IF - THEN - FI) [0,0] 56)  
-*While* :: 'a *bexp*  $\Rightarrow$  'a com  $\Rightarrow$  'a com ((0WHILE - /DO - /OD) [0, 0] 61)  
-*Await* :: 'a *bexp*  $\Rightarrow$  'a com  $\Rightarrow$  'a com ((0AWAIT - /THEN - /END) [0,0] 61)  
-*Atom* :: 'a com  $\Rightarrow$  'a com (((-) 61)  
-*Wait* :: 'a *bexp*  $\Rightarrow$  'a com ((0WAIT - END) 61)

### translations

'x := a  $\rightarrow$  CONST *Basic*  $\ll$ '(-update-name x ( $\lambda$ -. a)) $\gg$   
IF b THEN c1 ELSE c2 FI  $\rightarrow$  CONST *Cond* .{b}. c1 c2  
IF b THEN c FI  $\rightleftharpoons$  IF b THEN c ELSE SKIP FI  
WHILE b DO c OD  $\rightarrow$  CONST *While* .{b}. c  
AWAIT b THEN c END  $\rightleftharpoons$  CONST *Await* .{b}. c  
<c>  $\rightleftharpoons$  AWAIT CONST True THEN c END  
WAIT b END  $\rightleftharpoons$  AWAIT b THEN SKIP END

### nonterminal prgs

#### syntax

-*PAR* :: *prgs*  $\Rightarrow$  'a (COBEGIN // - // COEND 60)  
-*prg* :: 'a  $\Rightarrow$  *prgs* (- 57)  
-*prgs* :: ['a, *prgs*]  $\Rightarrow$  *prgs* (-//||// - [60,57] 57)

#### translations

-*prg* a  $\rightarrow$  [a]  
-*prgs* a *ps*  $\rightarrow$  a # *ps*  
-*PAR* *ps*  $\rightarrow$  *ps*

#### syntax

-*prg-scheme* :: ['a, 'a, 'a, 'a]  $\Rightarrow$  *prgs* (SCHEME [-  $\leq$  - < -] - [0,0,0,60] 57)

**translations**

-prg-scheme  $j\ i\ k\ c \Rightarrow (\text{CONST map } (\lambda i. c) [j..<k])$

Translations for variables before and after a transition:

**syntax**

-before  $:: id \Rightarrow 'a\ (^{\circ}-)$

-after  $:: id \Rightarrow 'a\ (^{\text{a}}-)$

**translations**

$^{\circ}x \Leftarrow x\ 'CONST\ fst$

$^{\text{a}}x \Leftarrow x\ 'CONST\ snd$

$\langle ML \rangle$

end

### 3.7 Examples

**theory** *RG-Examples*

**imports** *RG-Syntax*

**begin**

**lemmas** *definitions* [*simp*]= *stable-def Pre-def Rely-def Guar-def Post-def Com-def*

#### 3.7.1 Set Elements of an Array to Zero

**lemma** *le-less-trans2*:  $[(j::nat) < k; i \leq j] \Longrightarrow i < k$

$\langle proof \rangle$

**lemma** *add-le-less-mono*:  $[(a::nat) < c; b \leq d] \Longrightarrow a + b < c + d$

$\langle proof \rangle$

**record** *Example1* =

*A* :: *nat list*

**lemma** *Example1*:

$\vdash$  *COBEGIN*

*SCHEME*  $[0 \leq i < n]$

$( 'A := 'A [i := 0],$

$\{ \{ n < \text{length } 'A \} \},$

$\{ \{ \text{length } ^{\circ}A = \text{length } ^{\text{a}}A \wedge ^{\circ}A ! i = ^{\text{a}}A ! i \} \},$

$\{ \{ \text{length } ^{\circ}A = \text{length } ^{\text{a}}A \wedge (\forall j < n. i \neq j \longrightarrow ^{\circ}A ! j = ^{\text{a}}A ! j) \} \},$

$\{ \{ 'A ! i = 0 \} \})$

*COEND*

*SAT*  $[\{ \{ n < \text{length } 'A \} \}, \{ \{ ^{\circ}A = ^{\text{a}}A \} \}, \{ \{ \text{True} \} \}, \{ \{ \forall i < n. 'A ! i = 0 \} \}]$

$\langle proof \rangle$

**lemma** *Example1-parameterized*:

$k < t \Longrightarrow$

$\vdash$  *COBEGIN*  
*SCHEME*  $[k*n \leq i < (\text{Suc } k)*n]$  ( $\text{' } A := \text{' } A[i := 0]$ ),  
 $\{\{t*n < \text{length } \text{' } A\}$ ,  
 $\{\{t*n < \text{length } \circ A \wedge \text{length } \circ A = \text{length } {}^a A \wedge \circ A!i = {}^a A!i\}$ ,  
 $\{\{t*n < \text{length } \circ A \wedge \text{length } \circ A = \text{length } {}^a A \wedge (\forall j < \text{length } \circ A . i \neq j \longrightarrow \circ A!j =$   
 ${}^a A!j)\}$ ,  
 $\{\{\text{' } A!i = 0\}\}$ )  
*COEND*  
*SAT*  $[\{\{t*n < \text{length } \text{' } A\}$ ,  
 $\{\{t*n < \text{length } \circ A \wedge \text{length } \circ A = \text{length } {}^a A \wedge (\forall i < n . \circ A!(k*n+i) = {}^a A!(k*n+i))\}$ ,  
 $\{\{t*n < \text{length } \circ A \wedge \text{length } \circ A = \text{length } {}^a A \wedge$   
 $(\forall i < \text{length } \circ A . (i < k*n \longrightarrow \circ A!i = {}^a A!i) \wedge ((\text{Suc } k)*n \leq i \longrightarrow \circ A!i =$   
 ${}^a A!i)\}\}$ ,  
 $\{\{\forall i < n . \text{' } A!(k*n+i) = 0\}\}$ ]  
 $\langle \text{proof} \rangle$

### 3.7.2 Increment a Variable in Parallel

#### Two components

**record** *Example2* =

$x :: \text{nat}$   
 $c-0 :: \text{nat}$   
 $c-1 :: \text{nat}$

**lemma** *Example2*:

$\vdash$  *COBEGIN*  
 $(\langle \text{' } x := \text{' } x + 1;; \text{' } c-0 := \text{' } c-0 + 1 \rangle,$   
 $\{\{\text{' } x = \text{' } c-0 + \text{' } c-1 \wedge \text{' } c-0 = 0\}\},$   
 $\{\{\circ c-0 = {}^a c-0 \wedge$   
 $(\circ x = \circ c-0 + \circ c-1$   
 $\longrightarrow {}^a x = {}^a c-0 + {}^a c-1)\}\},$   
 $\{\{\circ c-1 = {}^a c-1 \wedge$   
 $(\circ x = \circ c-0 + \circ c-1$   
 $\longrightarrow {}^a x = {}^a c-0 + {}^a c-1)\}\},$   
 $\{\{\text{' } x = \text{' } c-0 + \text{' } c-1 \wedge \text{' } c-0 = 1\}\}$ )  
 $\parallel$   
 $(\langle \text{' } x := \text{' } x + 1;; \text{' } c-1 := \text{' } c-1 + 1 \rangle,$   
 $\{\{\text{' } x = \text{' } c-0 + \text{' } c-1 \wedge \text{' } c-1 = 0\}\},$   
 $\{\{\circ c-1 = {}^a c-1 \wedge$   
 $(\circ x = \circ c-0 + \circ c-1$   
 $\longrightarrow {}^a x = {}^a c-0 + {}^a c-1)\}\},$   
 $\{\{\circ c-0 = {}^a c-0 \wedge$   
 $(\circ x = \circ c-0 + \circ c-1$   
 $\longrightarrow {}^a x = {}^a c-0 + {}^a c-1)\}\},$   
 $\{\{\text{' } x = \text{' } c-0 + \text{' } c-1 \wedge \text{' } c-1 = 1\}\}$ )  
*COEND*  
*SAT*  $[\{\{\text{' } x = 0 \wedge \text{' } c-0 = 0 \wedge \text{' } c-1 = 0\}\},$   
 $\{\{\circ x = {}^a x \wedge \circ c-0 = {}^a c-0 \wedge \circ c-1 = {}^a c-1\}\},$

$\{True\},$   
 $\{x=2\}$   
 ⟨proof⟩

### Parameterized

**lemma** *Example2-lemma2-aux*:  $j < n \implies$   
 $(\sum_{i=0..<n}. (b \ i::nat)) =$   
 $(\sum_{i=0..<j}. b \ i) + b \ j + (\sum_{i=0..<n-(Suc \ j)}. b \ (Suc \ j + i))$   
 ⟨proof⟩

**lemma** *Example2-lemma2-aux2*:  
 $j \leq s \implies (\sum_{i::nat=0..<j}. (b \ (s=t)) \ i) = (\sum_{i=0..<j}. b \ i)$   
 ⟨proof⟩

**lemma** *Example2-lemma2*:  
 $\llbracket j < n; b \ j = 0 \rrbracket \implies Suc \ (\sum_{i::nat=0..<n}. b \ i) = (\sum_{i=0..<n}. (b \ (j := Suc \ 0)) \ i)$   
 ⟨proof⟩

**lemma** *Example2-lemma2-Suc0*:  $\llbracket j < n; b \ j = 0 \rrbracket \implies$   
 $Suc \ (\sum_{i::nat=0..<n}. b \ i) = (\sum_{i=0..<n}. (b \ (j := Suc \ 0)) \ i)$   
 ⟨proof⟩

**record** *Example2-parameterized* =  
 $C :: nat \Rightarrow nat$   
 $y :: nat$

**lemma** *Example2-parameterized*:  $0 < n \implies$   
 $\vdash COBEGIN \ SCHEME \ [0 \leq i < n]$   
 $((\ 'y := 'y + 1;; \ 'C := 'C \ (i := 1) \ ),$   
 $\{ \ 'y = (\sum_{i=0..<n}. \ 'C \ i) \wedge \ 'C \ i = 0 \},$   
 $\{ \ ^\circ C \ i = \ ^a C \ i \wedge$   
 $(\ ^\circ y = (\sum_{i=0..<n}. \ ^\circ C \ i) \longrightarrow \ ^a y = (\sum_{i=0..<n}. \ ^a C \ i) \},$   
 $\{ (\forall j < n. \ i \neq j \longrightarrow \ ^\circ C \ j = \ ^a C \ j) \wedge$   
 $(\ ^\circ y = (\sum_{i=0..<n}. \ ^\circ C \ i) \longrightarrow \ ^a y = (\sum_{i=0..<n}. \ ^a C \ i) \},$   
 $\{ \ 'y = (\sum_{i=0..<n}. \ 'C \ i) \wedge \ 'C \ i = 1 \})$   
 $COEND$   
 $SAT \ [\{ \ 'y = 0 \wedge (\sum_{i=0..<n}. \ 'C \ i) = 0 \}, \{ \ ^\circ C = \ ^a C \wedge \ ^\circ y = \ ^a y \}, \{ True \}, \{ \ 'y = n \}]$   
 ⟨proof⟩

### 3.7.3 Find Least Element

A previous lemma:

**lemma** *mod-aux*:  $\llbracket i < (n::nat); a \bmod n = i; j < a + n; j \bmod n = i; a < j \rrbracket$   
 $\implies False$   
 ⟨proof⟩

**record** *Example3* =  
 $X :: nat \Rightarrow nat$

$Y :: \text{nat} \Rightarrow \text{nat}$

**lemma** *Example3*:  $m \bmod n = 0 \implies$

$\vdash$  *COBEGIN*  
*SCHEME*  $[0 \leq i < n]$   
 (*WHILE*  $(\forall j < n. 'X\ i < 'Y\ j)$  *DO*  
   *IF*  $P(B!( 'X\ i))$  *THEN*  $'Y := 'Y\ (i := 'X\ i)$   
   *ELSE*  $'X := 'X\ (i := ('X\ i) + n)$  *FI*  
*OD*,  
 $\{\{('X\ i) \bmod n = i \wedge (\forall j < 'X\ i. j \bmod n = i \longrightarrow \neg P(B!j)) \wedge ('Y\ i < m \longrightarrow P(B!( 'Y\ i)) \wedge 'Y\ i \leq m+i)\}\}$ ,  
 $\{\{(\forall j < n. i \neq j \longrightarrow {}^a Y\ j \leq {}^o Y\ j) \wedge {}^o X\ i = {}^a X\ i \wedge$   
 ${}^o Y\ i = {}^a Y\ i\}\}$ ,  
 $\{\{(\forall j < n. i \neq j \longrightarrow {}^o X\ j = {}^a X\ j \wedge {}^o Y\ j = {}^a Y\ j) \wedge$   
 ${}^a Y\ i \leq {}^o Y\ i\}\}$ ,  
 $\{\{('X\ i) \bmod n = i \wedge (\forall j < 'X\ i. j \bmod n = i \longrightarrow \neg P(B!j)) \wedge ('Y\ i < m \longrightarrow P(B!( 'Y\ i)) \wedge 'Y\ i \leq m+i) \wedge (\exists j < n. 'Y\ j \leq 'X\ i)\}\}$   
*COEND*  
*SAT*  $\{\{\forall i < n. 'X\ i = i \wedge 'Y\ i = m+i\}\}, \{\{{}^o X = {}^a X \wedge {}^o Y = {}^a Y\}\}, \{\{True\}\},$   
 $\{\{\forall i < n. ('X\ i) \bmod n = i \wedge (\forall j < 'X\ i. j \bmod n = i \longrightarrow \neg P(B!j)) \wedge$   
 $('Y\ i < m \longrightarrow P(B!( 'Y\ i)) \wedge 'Y\ i \leq m+i) \wedge (\exists j < n. 'Y\ j \leq 'X\ i)\}\}\}$   
*<proof>*

Same but with a list as auxiliary variable:

**record** *Example3-list* =

$X :: \text{nat list}$   
 $Y :: \text{nat list}$

**lemma** *Example3-list*:  $m \bmod n = 0 \implies \vdash$  (*COBEGIN SCHEME*  $[0 \leq i < n]$

(*WHILE*  $(\forall j < n. 'X!i < 'Y!j)$  *DO*  
   *IF*  $P(B!( 'X!i))$  *THEN*  $'Y := 'Y\ [i := 'X!i]$  *ELSE*  $'X := 'X\ [i := ('X!i) + n]$  *FI*  
*OD*,  
 $\{\{n < \text{length } 'X \wedge n < \text{length } 'Y \wedge ('X!i) \bmod n = i \wedge (\forall j < 'X!i. j \bmod n = i \longrightarrow$   
 $\neg P(B!j)) \wedge ('Y!i < m \longrightarrow P(B!( 'Y!i)) \wedge 'Y!i \leq m+i)\}\}$ ,  
 $\{\{(\forall j < n. i \neq j \longrightarrow {}^a Y!j \leq {}^o Y!j) \wedge {}^o X!i = {}^a X!i \wedge$   
 ${}^o Y!i = {}^a Y!i \wedge \text{length } {}^o X = \text{length } {}^a X \wedge \text{length } {}^o Y = \text{length } {}^a Y\}\}$ ,  
 $\{\{(\forall j < n. i \neq j \longrightarrow {}^o X!j = {}^a X!j \wedge {}^o Y!j = {}^a Y!j) \wedge$   
 ${}^a Y!i \leq {}^o Y!i \wedge \text{length } {}^o X = \text{length } {}^a X \wedge \text{length } {}^o Y = \text{length } {}^a Y\}\}$ ,  
 $\{\{('X!i) \bmod n = i \wedge (\forall j < 'X!i. j \bmod n = i \longrightarrow \neg P(B!j)) \wedge ('Y!i < m \longrightarrow P(B!( 'Y!i))$   
 $\wedge 'Y!i \leq m+i) \wedge (\exists j < n. 'Y!j \leq 'X!i)\}\}$  *COEND*  
*SAT*  $\{\{n < \text{length } 'X \wedge n < \text{length } 'Y \wedge (\forall i < n. 'X!i = i \wedge 'Y!i = m+i)\}\},$   
 $\{\{{}^o X = {}^a X \wedge {}^o Y = {}^a Y\}\},$   
 $\{\{True\}\},$   
 $\{\{\forall i < n. ('X!i) \bmod n = i \wedge (\forall j < 'X!i. j \bmod n = i \longrightarrow \neg P(B!j)) \wedge$   
 $('Y!i < m \longrightarrow P(B!( 'Y!i)) \wedge 'Y!i \leq m+i) \wedge (\exists j < n. 'Y!j \leq 'X!i)\}\}\}$   
*<proof>*

**end**

```
theory Hoare-Parallel  
imports OG-Examples Gar-Coll Mul-Gar-Coll RG-Examples  
begin  
  
end
```

# Bibliography

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