

Fürstenberg's proof of the infinitude of primes

Andrei Paskevich et. al.

2007 - 2021

Fürstenberg's proof of the infinitude of primes is a topological proof of the fact that there are infinitely many primes. It was published 1955 while Fürstenberg was still an undergraduate student¹.

1 Integers

The central idea of Fürstenberg's proof is to define a certain topology on \mathbb{Z} from the properties of which we can deduce that the set of primes is infinite. So first we have to introduce the ring \mathbb{Z} of integers. In fact, we do not need to give a full axiomatization of integer arithmetic; it suffices to assume that \mathbb{Z} is an integral domain.

Let us start by introducing the signature of the ring of integers.

[unfoldlow on] [synonym integer/-s]

Signature 1. (Integers) An integer is a notion.

Let $a, b, c, d, i, j, k, l, m, n$ stand for integers.

Axiom 2. a is setsized.

Signature 3. (IntZero) 0 is an integer.

Signature 4. (IntOne) 1 is an integer.

Signature 5. (IntNeg) $-a$ is an integer.

Signature 6. (IntPlus) $a + b$ is an integer.

Signature 7. (IntMult) $a \cdot b$ is an integer.

Let $a - b$ stand for $a + (-b)$.

Moreover, we assume $(\mathbb{Z}, 0, +, -)$ to be an abelian group and $(\mathbb{Z}, 1, \cdot)$ to be a commutative monoid which satisfy the distribution laws.

Axiom 8. (AddAsso) $a + (b + c) = (a + b) + c$.

Axiom 9. (AddComm) $a + b = b + a$.

¹Fürstenberg, Harry. "On the Infinitude of Primes." The American Mathematical Monthly, vol. 62, no. 5, 1955, pp. 353-353

Axiom 10. (AddZero) $a + 0 = a = 0 + a$.
Axiom 11. (AddNeg) $a - a = 0 = -a + a$.
Axiom 12. (MulAsso) $a \cdot (b \cdot c) = (a \cdot b) \cdot c$.
Axiom 13. (MulComm) $a \cdot b = b \cdot a$.
Axiom 14. (MulOne) $a \cdot 1 = a = 1 \cdot a$.
Axiom 15. (Distrib) $a \cdot (b+c) = (a \cdot b) + (a \cdot c)$ and $(a+b) \cdot c = (a \cdot c) + (b \cdot c)$.
Lemma 16. (MulZero) $a \cdot 0 = 0 = 0 \cdot a$.
Lemma 17. (MulMinOne) $-1 \cdot a = -a = a \cdot -1$.
Proof. $(-1 \cdot a) + a = 0$. □

Furthermore, we assume that our ring is not trivial and that there are no non-trivial zero divisors.

Axiom 18. (NonTriv) $0 \neq 1$.
Axiom 19. (ZeroDiv) $a \neq 0 \wedge b \neq 0 \implies a \cdot b \neq 0$.
Lemma 20. $-(-a)$ is an integer.

Let us continue with the notion of divisors and congruency.

Let a is nonzero stand for $a \neq 0$. Let p, q stand for nonzero integers.
[synonym divisor/-s] [synonym divide/-s]

Definition 21. (Divisor) A divisor of b is a nonzero integer a such that for some n ($a \cdot n = b$).

Let a divides b stand for a is a divisor of b . Let $a \mid b$ stand for a is a divisor of b .

Definition 22. (EquMod) $a = b \pmod{q}$ iff $q \mid a - b$.

Lemma 23. (EquModRef) $a = a \pmod{q}$.

Lemma 24. (EquModSym) $a = b \pmod{q} \implies b = a \pmod{q}$.

Proof. Assume that $a = b \pmod{q}$.

(1) Take n such that $q \cdot n = a - b$.

$q \cdot (-n) = (-1) \cdot (q \cdot n)$ (by MulMinOne, MulAsso, MulComm) $= (-1) \cdot (a - b)$ (by 1). □

Lemma 25. (EquModTrn) $a = b \pmod{q} \wedge b = c \pmod{q} \implies a = c \pmod{q}$.

Proof. Assume that $a = b \pmod{q} \wedge b = c \pmod{q}$. Take n such that $q \cdot n = a - b$. Take m such that $q \cdot m = b - c$. We have $q \cdot (n+m) = a - c$. □

Lemma 26. (EquModMul) $a = b \pmod{p \cdot q} \implies a = b \pmod{p} \wedge a = b \pmod{q}$.

Proof. Assume that $a = b \pmod{p \cdot q}$. Take m such that $(p \cdot q) \cdot m = a - b$. We have $p \cdot (q \cdot m) = a - b = q \cdot (p \cdot m)$. \square

Note that up to now every finite field could be a model of our theory. But since we want to prove that there are *infinitely* many primes we must eventually add some axiom which eliminates such models.

This is done by introducing the notion of prime integers. All we need to know about them for Fürstenberg's proof is that every integer n has a prime divisor iff $n \neq 1$ and $n \neq -1$.

Signature 27. (Prime) a is prime is an atom.

Let a prime stand for a prime nonzero integer.

Axiom 28. (PrimeDivisor) n has a prime divisor iff $n \neq 1 \wedge n \neq -1$.

Let us assume that some finite field is a model of our current theory. Recall that in any finite field every non-zero element is a divisor of 1. Let us rephrase axiom *PrimeDivisor*:

$$n \text{ has no prime divisor iff } n = 1 \vee n = -1.$$

Then we immediately see that 1 has no prime divisor. But since every non-zero element is a divisor of 1, no non-zero element is prime. Hence 0 has also no prime divisor (recall that any divisor must be non-zero). But then, again by the axiom, we get $0 = 1 \vee 0 = -1$, a contradiction (by *NonTriv*).

2 Generic sets

Another important notion is that of finite subsets of \mathbb{Z} . We leave the characterization of what it means for a set to be finite for the next sections.

[synonym belong/-s] [synonym subset/-s] [read ZFC.ftl]

Let S, T stand for sets.

Let x belongs to S stand for x is an element of S .

Definition 29. (Subset) A subset of S is a set T such that every element of T belongs to S .

Let $S \subseteq T$ stand for S is a subset of T .

Signature 30. (FinSet) S is finite is an atom.

Let x is infinite stand for x is not finite.

3 Sets of integers

Since Fürstenberg's proof is a topological proof we have to define unions, intersections and also complements of sets.

Definition 31. \mathbb{Z} is the class of integers.

Axiom 32. \mathbb{Z} is a set.

Let A, B, C, D stand for subsets of \mathbb{Z} .

Definition 33. (Union) $A \cup B = \{\text{integer } x \mid x \in A \vee x \in B\}$.

Definition 34. (Intersection) $A \cap B = \{\text{integer } x \mid x \in A \wedge x \in B\}$.

Definition 35. (IntegerSets) A family of integer sets is a set S such that every element of S is a subset of \mathbb{Z} .

Definition 36. (UnionSet) Let S be a family of integer sets. $\bigcup S = \{\text{integer } x \mid x \text{ belongs to some element of } S\}$.

Lemma 37. Let S be a family of integer sets. $\bigcup S$ is a subset of \mathbb{Z} .

Definition 38. (Complement) $\bar{A} = \{\text{integer } x \mid x \text{ does not belong to } A\}$.

Lemma 39. \bar{A} is a subset of \mathbb{Z} .

4 Introducing topology

In our next step towards a suitable topology on \mathbb{Z} let us define arithmetic sequences, i.e. sets of the form $q\mathbb{Z} + a$.

Definition 40. (ArSeq) $q\mathbb{Z} + a = \{\text{integer } b \mid b = a \pmod{q}\}$.

Lemma 41. $q\mathbb{Z} + a$ is a set.

This allows us to define the so-called *evenly spaced integer topology* where its open sets are defined as follows:

Definition 42. (Open) A is open iff $A = \mathbb{Z}$ or for any $a \in A$ there exists q such that $q\mathbb{Z} + a \subseteq A$.

Note that we have declared q as a *non-zero* integer. Otherwise, every set A would be open since $0\mathbb{Z} + a = \{a\} \subseteq A$ for every $a \in A$.

Definition 43. (Closed) A is closed iff \bar{A} is open.

Definition 44. (OpenIntegerSets) An open family is a family of integer sets S such that every element of S is open.

We can easily check that the open sets really form a topology on \mathbb{Z} .

Lemma 45. (UnionOpen) Let S be an open family. $\bigcup S$ is open.

Proof. Let $x \in \bigcup S$. Take a set M such that (M is an element of S and $x \in M$). Take q such that $q\mathbb{Z} + x \subseteq M$. Then $q\mathbb{Z} + x \subseteq \bigcup S$. \square

Lemma 46. (InterOpen) Let A, B be open subsets of \mathbb{Z} . Then $A \cap B$

is a subset of \mathbb{Z} and $A \cap B$ is open.

Proof. $A \cap B$ is a subset of \mathbb{Z} . Let $x \in A \cap B$. Then x is an integer. Take q such that $q\mathbb{Z} + x \subseteq A$. Take p such that $p\mathbb{Z} + x \subseteq B$.

Let us show that $p \cdot q$ is a nonzero integer and $(p \cdot q)\mathbb{Z} + x \subseteq A \cap B$. $p \cdot q$ is a nonzero integer. Let $a \in (p \cdot q)\mathbb{Z} + x$.

$a \in p\mathbb{Z} + x$ and $a \in q\mathbb{Z} + x$.

Proof. x is an integer and $a = x \pmod{p \cdot q}$. $a = x \pmod{p}$ and $a = x \pmod{q}$ (by EquModMul). Qed.

Therefore $a \in A$ and $a \in B$. Hence $a \in A \cap B$. End. □

Lemma 47. (UnionClosed) Let A, B be closed subsets of \mathbb{Z} . $A \cup B$ is closed.

Proof. We have $\overline{A}, \overline{B} \subseteq \mathbb{Z}$. $\overline{A \cup B} = \overline{A} \cap \overline{B}$. □

Now we state a consequence of finiteness:

Axiom 48. (UnionSClosed) Let S be a finite family of integer sets such that all elements of S are closed subsets of \mathbb{Z} . $\bigcup S$ is closed.

This characterization allows us to prove that a family S of closed sets is infinite by assuming S to be finite and deriving a contradiction from this assumption together with the statement that $\bigcup S$ is closed. In Fürstenberg's proof we will use this method to show that the family $\{r\mathbb{Z} \mid r \text{ is prime}\}$ is infinite. To use the above argument we thus have to prove that any $r\mathbb{Z}$ – or more general any $q\mathbb{Z} + a$ – is closed.

Lemma 49. (ArSeqClosed) $q\mathbb{Z} + a$ is a closed subset of \mathbb{Z} .

Proof. Proof by contradiction. $q\mathbb{Z} + a$ is a subset of \mathbb{Z} . Let $b \in \overline{q\mathbb{Z} + a}$.

Let us show that $q\mathbb{Z} + b \subseteq \overline{q\mathbb{Z} + a}$. Let $c \in q\mathbb{Z} + b$. Assume not $c \in \overline{q\mathbb{Z} + a}$. Then $c = b \pmod{q}$ and $a = c \pmod{q}$. Hence $b = a \pmod{q}$. Therefore $b \in q\mathbb{Z} + a$. Contradiction. End. □

To prove that there are infinitely many primes we identify a prime number r with the set $r\mathbb{Z}$ and show that the set $S = \{r\mathbb{Z} \mid r \text{ is a prime}\}$ is infinite. It is easy to see that $\bigcup S = \{\text{integer } n \mid n \text{ has a prime divisor}\} = \mathbb{Z} \setminus \{1, -1\}$. So if S is finite then $\bigcup S$ is closed (by *UnionClosed*) and hence $\{1, -1\}$ is open. But then some arithmetic sequence $p\mathbb{Z} + 1$ (where p is non-zero) is contained in $\{1, -1\}$ which obviously cannot be.

Theorem 50. (Fuerstenberg) Let $S = \{r\mathbb{Z} + 0 \mid r \text{ is a prime}\}$. S is infinite.

Proof. Proof by contradiction. S is a family of integer sets.

We have $\overline{\bigcup S} = \{1, -1\}$.

Proof. Let us show that for any integer n n belongs to $\bigcup S$ iff n has a prime divisor. Let n be an integer.

If n has a prime divisor then n belongs to $\bigcup S$.

Proof. Assume n has a prime divisor. Take a prime divisor p of n . $p\mathbb{Z} + 0$ is setsized. $p\mathbb{Z} + 0 \in S$. $n \in p\mathbb{Z} + 0$. Qed.

If n belongs to $\bigcup S$ then n has a prime divisor.

Proof. Assume n belongs to $\bigcup S$. Take a prime r such that $n \in r\mathbb{Z} + 0$. Then r is a prime divisor of n . Qed. End. Qed.

Assume that S is finite. Then $\bigcup S$ is closed and $\overline{\bigcup S}$ is open.

Take p such that $p\mathbb{Z} + 1 \subseteq \overline{\bigcup S}$.

$p\mathbb{Z} + 1$ has an element x such that neither $x = 1$ nor $x = -1$.

Proof. $1 + p$ and $1 - p$ are integers. $1 + p$ and $1 - p$ belong to $p\mathbb{Z} + 1$. Indeed $1 + p = 1 \pmod{p}$ and $1 - p = 1 \pmod{p}$. $1 + p \neq 1 \wedge 1 - p \neq 1$. $1 + p \neq -1 \vee 1 - p \neq -1$. Qed.

We have a contradiction. □

Note that we cannot define $q\mathbb{Z}$ as $q\mathbb{Z} + 0$ in our formalization since then any term of the form $q\mathbb{Z} + a$ would be ambiguous: It could either be interpreted as $q\mathbb{Z} + a$ or as $(q\mathbb{Z} + 0) + a$. This is a result of some kind of overloading of the symbol $+$. We use $+$ on the one hand to denote integer addition and on the other hand it is part of the operator $\cdot \mathbb{Z} + \cdot$.