

Basic combinatorics in Isabelle/HOL (and the Archive of Formal Proofs)

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1 Transposition function

```
theory Transposition
  imports Main
begin
```

```

definition transpose ::  $'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a$ 
  where  $\langle \text{transpose } a\ b\ c = (\text{if } c = a \text{ then } b \text{ else if } c = b \text{ then } a \text{ else } c) \rangle$ 

lemma transpose_apply_first [simp]:
   $\langle \text{transpose } a\ b\ a = b \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma transpose_apply_second [simp]:
   $\langle \text{transpose } a\ b\ b = a \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma transpose_apply_other [simp]:
   $\langle \text{transpose } a\ b\ c = c \rangle \text{ if } \langle c \neq a \rangle \langle c \neq b \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma transpose_same [simp]:
   $\langle \text{transpose } a\ a = id \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma transpose_eq_iff:
   $\langle \text{transpose } a\ b\ c = d \longleftrightarrow (c \neq a \wedge c \neq b \wedge d = c) \vee (c = a \wedge d = b) \vee (c = b \wedge d = a) \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma transpose_eq_imp_eq:
   $\langle c = d \rangle \text{ if } \langle \text{transpose } a\ b\ c = \text{transpose } a\ b\ d \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma transpose_commute [ac_simps]:
   $\langle \text{transpose } b\ a = \text{transpose } a\ b \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma transpose_involutory [simp]:
   $\langle \text{transpose } a\ b\ (\text{transpose } a\ b\ c) = c \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma transpose_comp_involutory [simp]:
   $\langle \text{transpose } a\ b \circ \text{transpose } a\ b = id \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma transpose_triple:
   $\langle \text{transpose } a\ b\ (\text{transpose } b\ c\ (\text{transpose } a\ b\ d)) = \text{transpose } a\ c\ d \rangle$ 
  if  $\langle a \neq c \rangle$  and  $\langle b \neq c \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma transpose_comp_triple:
   $\langle \text{transpose } a\ b \circ \text{transpose } b\ c \circ \text{transpose } a\ b = \text{transpose } a\ c \rangle$ 
  if  $\langle a \neq c \rangle$  and  $\langle b \neq c \rangle$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma transpose_image_eq [simp]:
  ‹transpose a b ` A = A› if ‹a ∈ A ↔ b ∈ A›
  ‹proof›

lemma inj_on_transpose [simp]:
  ‹inj_on (transpose a b) A›
  ‹proof›

lemma inj_transpose:
  ‹inj (transpose a b)›
  ‹proof›

lemma surj_transpose:
  ‹surj (transpose a b)›
  ‹proof›

lemma bij_betw_transpose_iff [simp]:
  ‹bij_betw (transpose a b) A A› if ‹a ∈ A ↔ b ∈ A›
  ‹proof›

lemma bij_transpose [simp]:
  ‹bij (transpose a b)›
  ‹proof›

lemma bijection_transpose:
  ‹bijection (transpose a b)›
  ‹proof›

lemma inv_transpose_eq [simp]:
  ‹inv (transpose a b) = transpose a b›
  ‹proof›

lemma transpose_apply_commute:
  ‹transpose a b (f c) = f (transpose (inv f a) (inv f b) c)›
  if ‹bij f›
  ‹proof›

lemma transpose_comp_eq:
  ‹transpose a b ∘ f = f ∘ transpose (inv f a) (inv f b)›
  if ‹bij f›
  ‹proof›

lemma in_transpose_image_iff:
  ‹x ∈ transpose a b ` S ↔ transpose a b x ∈ S›
  ‹proof›

```

Legacy input alias

$\langle ML \rangle$

abbreviation (*input*) $\text{swap} :: \langle 'a \Rightarrow 'a \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \rangle$
where $\langle \text{swap } a\ b\ f \equiv f \circ \text{transpose } a\ b \rangle$

lemma swap_def :
 $\langle \text{Fun.swap } a\ b\ f = f\ (a := f\ b,\ b := f\ a) \rangle$
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

lemma swap_apply :
 $\text{Fun.swap } a\ b\ f\ a = f\ b$
 $\text{Fun.swap } a\ b\ f\ b = f\ a$
 $c \neq a \implies c \neq b \implies \text{Fun.swap } a\ b\ f\ c = f\ c$
 $\langle \text{proof} \rangle$

lemma swap_self : $\text{Fun.swap } a\ a\ f = f$
 $\langle \text{proof} \rangle$

lemma swap_commute : $\text{Fun.swap } a\ b\ f = \text{Fun.swap } b\ a\ f$
 $\langle \text{proof} \rangle$

lemma swap_nilpotent : $\text{Fun.swap } a\ b\ (\text{Fun.swap } a\ b\ f) = f$
 $\langle \text{proof} \rangle$

lemma $\text{swap_comp_involutory}$: $\text{Fun.swap } a\ b \circ \text{Fun.swap } a\ b = \text{id}$
 $\langle \text{proof} \rangle$

lemma swap_triple :
assumes $a \neq c$ **and** $b \neq c$
shows $\text{Fun.swap } a\ b\ (\text{Fun.swap } b\ c\ (\text{Fun.swap } a\ b\ f)) = \text{Fun.swap } a\ c\ f$
 $\langle \text{proof} \rangle$

lemma comp_swap : $f \circ \text{Fun.swap } a\ b\ g = \text{Fun.swap } a\ b\ (f \circ g)$
 $\langle \text{proof} \rangle$

lemma swap_image_eq :
assumes $a \in A$ $b \in A$
shows $\text{Fun.swap } a\ b\ f`A = f`A$
 $\langle \text{proof} \rangle$

lemma $\text{inj_on_imp_inj_on_swap}$: $\text{inj_on } f\ A \implies a \in A \implies b \in A \implies \text{inj_on } (\text{Fun.swap } a\ b\ f)\ A$
 $\langle \text{proof} \rangle$

lemma inj_on_swap_iff :
assumes $A : a \in A$ $b \in A$
shows $\text{inj_on } (\text{Fun.swap } a\ b\ f)\ A \longleftrightarrow \text{inj_on } f\ A$
 $\langle \text{proof} \rangle$

```

lemma surj_imp_surj_swap: surj f  $\implies$  surj (Fun.swap a b f)
   $\langle proof \rangle$ 

lemma surj_swap_iff: surj (Fun.swap a b f)  $\longleftrightarrow$  surj f
   $\langle proof \rangle$ 

lemma bij_betw_swap_iff:  $x \in A \implies y \in A \implies \text{bij\_betw} (\text{Fun.swap } x \ y \ f) \ A \ B$ 
 $\longleftrightarrow \text{bij\_betw } f \ A \ B$ 
   $\langle proof \rangle$ 

lemma bij_swap_iff: bij (Fun.swap a b f)  $\longleftrightarrow$  bij f
   $\langle proof \rangle$ 

lemma swap_image:
   $\langle \text{Fun.swap } i \ j \ f \ ' \ A = f \ ' (A - \{i, j\}$ 
   $\cup (\text{if } i \in A \text{ then } \{j\} \text{ else } \{\}) \cup (\text{if } j \in A \text{ then } \{i\} \text{ else } \{\})) \rangle$ 
   $\langle proof \rangle$ 

lemma inv_swap_id: inv (Fun.swap a b id) = Fun.swap a b id
   $\langle proof \rangle$ 

lemma bij_swap_comp:
  assumes bij p
  shows Fun.swap a b id  $\circ$  p = Fun.swap (inv p a) (inv p b) p
   $\langle proof \rangle$ 

lemma swap_id_eq: Fun.swap a b id x = (if x = a then b else if x = b then a else x)
   $\langle proof \rangle$ 

lemma swap_unfold:
   $\langle \text{Fun.swap } a \ b \ p = p \circ \text{Fun.swap } a \ b \ id \rangle$ 
   $\langle proof \rangle$ 

lemma swap_id_idempotent: Fun.swap a b id  $\circ$  Fun.swap a b id = id
   $\langle proof \rangle$ 

lemma bij_swap_compose_bij:
   $\langle \text{bij } (\text{Fun.swap } a \ b \ id \circ p) \text{ if } \langle \text{bij } p \rangle$ 
   $\langle proof \rangle$ 

end

```

2 Stirling numbers of first and second kind

```

theory Stirling
imports Main
begin

```

2.1 Stirling numbers of the second kind

```

fun Stirling :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat
  where
    Stirling 0 0 = 1
    | Stirling 0 (Suc k) = 0
    | Stirling (Suc n) 0 = 0
    | Stirling (Suc n) (Suc k) = Suc k * Stirling n (Suc k) + Stirling n k

lemma Stirling_1 [simp]: Stirling (Suc n) (Suc 0) = 1
   $\langle proof \rangle$ 

lemma Stirling_less [simp]: n < k  $\implies$  Stirling n k = 0
   $\langle proof \rangle$ 

lemma Stirling_same [simp]: Stirling n n = 1
   $\langle proof \rangle$ 

lemma Stirling_2_2: Stirling (Suc (Suc n)) (Suc (Suc 0)) = 2  $\wedge$  Suc n - 1
   $\langle proof \rangle$ 

lemma Stirling_2: Stirling (Suc n) (Suc (Suc 0)) = 2  $\wedge$  n - 1
   $\langle proof \rangle$ 

```

2.2 Stirling numbers of the first kind

```

fun stirling :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat
  where
    stirling 0 0 = 1
    | stirling 0 (Suc k) = 0
    | stirling (Suc n) 0 = 0
    | stirling (Suc n) (Suc k) = n * stirling n (Suc k) + stirling n k

lemma stirling_0 [simp]: n > 0  $\implies$  stirling n 0 = 0
   $\langle proof \rangle$ 

lemma stirling_less [simp]: n < k  $\implies$  stirling n k = 0
   $\langle proof \rangle$ 

lemma stirling_same [simp]: stirling n n = 1
   $\langle proof \rangle$ 

lemma stirling_Suc_n_1: stirling (Suc n) (Suc 0) = fact n
   $\langle proof \rangle$ 

lemma stirling_Suc_n_n: stirling (Suc n) n = Suc n choose 2
   $\langle proof \rangle$ 

lemma stirling_Suc_n_2:
  assumes n  $\geq$  Suc 0

```

```

shows stirling (Suc n) 2 = ( $\sum k=1..n. \text{fact } n \text{ div } k$ )
⟨proof⟩

lemma of_nat_stirling_Suc_n_2:
  assumes n ≥ Suc 0
  shows (of_nat (stirling (Suc n) 2)::'a::field_char_0) = fact n * ( $\sum k=1..n. (1 / \text{of\_nat } k)$ )
  ⟨proof⟩

lemma sum_stirling: ( $\sum k \leq n. \text{stirling } n \text{ } k$ ) = fact n
⟨proof⟩

lemma stirling_pochhammer:
  ( $\sum k \leq n. \text{of\_nat } (\text{stirling } n \text{ } k) * x^k$ ) = (pochhammer x n :: 'a::comm_semiring_1)
⟨proof⟩

```

A row of the Stirling number triangle

```

definition stirling_row :: nat ⇒ nat list
  where stirling_row n = [stirling n k. k ← [0..<Suc n]]

```

```

lemma nth_stirling_row: k ≤ n ⇒ stirling_row n ! k = stirling n k
⟨proof⟩

```

```

lemma length_stirling_row [simp]: length (stirling_row n) = Suc n
⟨proof⟩

```

```

lemma stirling_row_nonempty [simp]: stirling_row n ≠ []
⟨proof⟩

```

2.2.1 Efficient code

Naively using the defining equations of the Stirling numbers of the first kind to compute them leads to exponential run time due to repeated computations. We can use memoisation to compute them row by row without repeating computations, at the cost of computing a few unneeded values.

As a bonus, this is very efficient for applications where an entire row of Stirling numbers is needed.

```

definition zip_with_prev :: ('a ⇒ 'a ⇒ 'b) ⇒ 'a ⇒ 'a list ⇒ 'b list
  where zip_with_prev f x xs = map2 f (x # xs) xs

```

```

lemma zip_with_prev_altdef:
  zip_with_prev f x xs =
    (if xs = [] then [] else f x (hd xs) # [f (xs!i) (xs!(i+1)). i ← [0..<length xs - 1]])
⟨proof⟩

```

```

primrec stirling_row_aux

```

```

where
  stirling_row_aux n y [] = [1]
  | stirling_row_aux n y (x#xs) = (y + n * x) # stirling_row_aux n x xs

lemma stirling_row_aux_correct:
  stirling_row_aux n y xs = zip_with_prev ( $\lambda a\ b.\ a + n * b$ ) y xs @ [1]
   $\langle proof \rangle$ 

lemma stirling_row_code [code]:
  stirling_row 0 = [1]
  stirling_row (Suc n) = stirling_row_aux n 0 (stirling_row n)
   $\langle proof \rangle$ 

lemma stirling_code [code]:
  stirling n k =
    (if k = 0 then (if n = 0 then 1 else 0)
     else if k > n then 0
     else if k = n then 1
     else stirling_row n ! k)
   $\langle proof \rangle$ 

end

```

3 Permutations, both general and specifically on finite sets.

```

theory Permutations
imports
  HOL-Library.Multiset
  HOL-Library.Disjoint_Sets
  Transposition
begin

```

3.1 Auxiliary

```

abbreviation (input) fixpoints ::  $\langle ('a \Rightarrow 'a) \Rightarrow 'a \text{ set} \rangle$ 
  where  $\langle fixpoints f \equiv \{x. f x = x\} \rangle$ 

```

```

lemma inj_on_fixpoints:
   $\langle inj\_on f (fixpoints f) \rangle$ 
   $\langle proof \rangle$ 

lemma bij_betw_fixpoints:
   $\langle bij\_betw f (fixpoints f) (fixpoints f) \rangle$ 
   $\langle proof \rangle$ 

```

3.2 Basic definition and consequences

```

definition permutes ::  $\langle ('a \Rightarrow 'a) \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \rangle$  (infixr  $\langle permutes \rangle$  41)

```

where $\langle p \text{ permutes } S \longleftrightarrow (\forall x. x \notin S \rightarrow p x = x) \wedge (\forall y. \exists !x. p x = y) \rangle$

lemma *bij_imp_permutes*:

$\langle p \text{ permutes } S \rangle$ **if** $\langle \text{bij_betw } p \text{ } S \text{ } S \rangle$ **and** *stable*: $\langle \forall x. x \notin S \Rightarrow p x = x \rangle$
 $\langle \text{proof} \rangle$

context

fixes $p :: \langle 'a \Rightarrow 'a \rangle$ **and** $S :: \langle 'a \text{ set} \rangle$

assumes *perm*: $\langle p \text{ permutes } S \rangle$

begin

lemma *permutes_inj*:

$\langle \text{inj } p \rangle$

$\langle \text{proof} \rangle$

lemma *permutes_image*:

$\langle p ` S = S \rangle$

$\langle \text{proof} \rangle$

lemma *permutes_not_in*:

$\langle x \notin S \Rightarrow p x = x \rangle$

$\langle \text{proof} \rangle$

lemma *permutes_image_complement*:

$\langle p ` (- S) = - S \rangle$

$\langle \text{proof} \rangle$

lemma *permutes_in_image*:

$\langle p x \in S \longleftrightarrow x \in S \rangle$

$\langle \text{proof} \rangle$

lemma *permutes_surj*:

$\langle \text{surj } p \rangle$

$\langle \text{proof} \rangle$

lemma *permutes_inv_o*:

shows $p \circ \text{inv } p = id$

and $\text{inv } p \circ p = id$

$\langle \text{proof} \rangle$

lemma *permutes_inverses*:

shows $p(\text{inv } p x) = x$

and $\text{inv } p(p x) = x$

$\langle \text{proof} \rangle$

lemma *permutes_inv_eq*:

$\langle \text{inv } p y = x \longleftrightarrow p x = y \rangle$

$\langle \text{proof} \rangle$

```

lemma permutes_inj_on:
  ⟨inj_on p A⟩
  ⟨proof⟩

lemma permutes_bij:
  ⟨bij p⟩
  ⟨proof⟩

lemma permutes_imp_bij:
  ⟨bij_betw p S S⟩
  ⟨proof⟩

lemma permutes_subset:
  ⟨p permutes T⟩ if ⟨S ⊆ T⟩
  ⟨proof⟩

lemma permutes_imp_permutes_insert:
  ⟨p permutes insert x S⟩
  ⟨proof⟩

end

lemma permutes_id [simp]:
  ⟨id permutes S⟩
  ⟨proof⟩

lemma permutes_empty [simp]:
  ⟨p permutes {} ⟷ p = id⟩
  ⟨proof⟩

lemma permutes_sing [simp]:
  ⟨p permutes {a} ⟷ p = id⟩
  ⟨proof⟩

lemma permutes_univ: p permutes UNIV ⟷ (forall y. exists! x. p x = y)
  ⟨proof⟩

lemma permutes_swap_id: a ∈ S ⇒ b ∈ S ⇒ transpose a b permutes S
  ⟨proof⟩

lemma permutes_superset:
  ⟨p permutes T⟩ if ⟨p permutes S⟩ ⟨forall x. x ∈ S - T ⇒ p x = x⟩
  ⟨proof⟩

lemma permutes_bij_inv_into:
  fixes A :: 'a set
  and B :: 'b set
  assumes p permutes A
  and bij_betw f A B

```

shows $(\lambda x. \text{if } x \in B \text{ then } f(p(\text{inv_into } A f x)) \text{ else } x) \text{ permutes } B$
 $\langle \text{proof} \rangle$

lemma *permutes_image_mset*:
assumes $p \text{ permutes } A$
shows $\text{image_mset } p (\text{mset_set } A) = \text{mset_set } A$
 $\langle \text{proof} \rangle$

lemma *permutes_implies_image_mset_eq*:
assumes $p \text{ permutes } A \wedge x \in A \implies f x = f'(p x)$
shows $\text{image_mset } f'(\text{mset_set } A) = \text{image_mset } f(\text{mset_set } A)$
 $\langle \text{proof} \rangle$

3.3 Group properties

lemma *permutes_compose*: $p \text{ permutes } S \implies q \text{ permutes } S \implies q \circ p \text{ permutes } S$
 $\langle \text{proof} \rangle$

lemma *permutes_inv*:
assumes $p \text{ permutes } S$
shows $\text{inv } p \text{ permutes } S$
 $\langle \text{proof} \rangle$

lemma *permutes_inv_inv*:
assumes $p \text{ permutes } S$
shows $\text{inv } (\text{inv } p) = p$
 $\langle \text{proof} \rangle$

lemma *permutes_invI*:
assumes $\text{perm}: p \text{ permutes } S$
and $\text{inv}: \forall x. x \in S \implies p'(p x) = x$
and $\text{outside}: \forall x. x \notin S \implies p' x = x$
shows $\text{inv } p = p'$
 $\langle \text{proof} \rangle$

lemma *permutes_vimage*: $f \text{ permutes } A \implies f^{-1} A = A$
 $\langle \text{proof} \rangle$

3.4 Mapping permutations with bijections

lemma *bij_betw_permutations*:
assumes $\text{bij_betw } f A B$
shows $\text{bij_betw } (\lambda \pi. \text{if } x \in B \text{ then } f(\pi(\text{inv_into } A f x)) \text{ else } x)$
 $\{\pi. \pi \text{ permutes } A\} \{\pi. \pi \text{ permutes } B\}$ (**is** $\text{bij_betw } ?f __$)
 $\langle \text{proof} \rangle$

lemma *bij_betw_derangements*:
assumes $\text{bij_betw } f A B$
shows $\text{bij_betw } (\lambda \pi. \text{if } x \in B \text{ then } f(\pi(\text{inv_into } A f x)) \text{ else } x)$

```

 $\{\pi. \pi \text{ permutes } A \wedge (\forall x \in A. \pi x \neq x)\} \{\pi. \pi \text{ permutes } B \wedge (\forall x \in B. \pi x \neq x)\}$ 
(is bij_betw ?f __)
⟨proof⟩

```

3.5 The number of permutations on a finite set

```

lemma permutes_insert_lemma:
  assumes p permutes (insert a S)
  shows transpose a (p a) o p permutes S
  ⟨proof⟩

lemma permutes_insert: {p. p permutes (insert a S)} =
  ( $\lambda(b, p). \text{transpose } a b \circ p$ ) ` {(b, p). b \in \text{insert } a S \wedge p \in \{p. p \text{ permutes } S\}}
  ⟨proof⟩

lemma card_permutations:
  assumes card S = n
  and finite S
  shows card {p. p permutes S} = fact n
  ⟨proof⟩

lemma finite_permutations:
  assumes finite S
  shows finite {p. p permutes S}
  ⟨proof⟩

```

3.6 Hence a sort of induction principle composing by swaps

```

lemma permutes_induct [consumes 2, case_names id swap]:
  ⟨P p⟩ if ⟨p permutes S⟩ ⟨finite S⟩
  and id: ⟨P id⟩
  and swap: ⟨ $\bigwedge a b. a \in S \implies b \in S \implies p \text{ permutes } S \implies P p \implies P (\text{transpose } a b \circ p)$ ⟩
  ⟨proof⟩

lemma permutes_rev_induct [consumes 2, case_names id swap]:
  ⟨P p⟩ if ⟨p permutes S⟩ ⟨finite S⟩
  and id': ⟨P id⟩
  and swap': ⟨ $\bigwedge a b. a \in S \implies b \in S \implies p \text{ permutes } S \implies P p \implies P (p \circ \text{transpose } a b)$ ⟩
  ⟨proof⟩

```

3.7 Permutations of index set for iterated operations

```

lemma (in comm_monoid_set) permute:
  assumes p permutes S
  shows F g S = F (g o p) S
  ⟨proof⟩

```

3.8 Permutations as transposition sequences

```

inductive swapidseq :: nat  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  bool
  where
    id[simp]: swapidseq 0 id
    | comp_Suc: swapidseq n p  $\implies$  a  $\neq$  b  $\implies$  swapidseq (Suc n) (transpose a b o p)

```

```
declare id[unfolded id_def, simp]
```

```
definition permutation p  $\longleftrightarrow$  ( $\exists n.$  swapidseq n p)
```

3.9 Some closure properties of the set of permutations, with lengths

```

lemma permutation_id[simp]: permutation id
   $\langle proof \rangle$ 

```

```
declare permutation_id[unfolded id_def, simp]
```

```

lemma swapidseq_swap: swapidseq (if a = b then 0 else 1) (transpose a b)
   $\langle proof \rangle$ 

```

```

lemma permutation_swap_id: permutation (transpose a b)
   $\langle proof \rangle$ 

```

```

lemma swapidseq_comp_add: swapidseq n p  $\implies$  swapidseq m q  $\implies$  swapidseq (n + m) (p o q)
   $\langle proof \rangle$ 

```

```

lemma permutation_compose: permutation p  $\implies$  permutation q  $\implies$  permutation (p o q)
   $\langle proof \rangle$ 

```

```

lemma swapidseq_endswap: swapidseq n p  $\implies$  a  $\neq$  b  $\implies$  swapidseq (Suc n) (p o transpose a b)
   $\langle proof \rangle$ 

```

```

lemma swapidseq_inverse_exists: swapidseq n p  $\implies$   $\exists q.$  swapidseq n q  $\wedge$  p o q = id  $\wedge$  q o p = id
   $\langle proof \rangle$ 

```

```
lemma swapidseq_inverse:
```

```
  assumes swapidseq n p
  shows swapidseq n (inv p)
   $\langle proof \rangle$ 

```

```

lemma permutation_inverse: permutation p  $\implies$  permutation (inv p)
   $\langle proof \rangle$ 

```

3.10 Various combinations of transpositions with 2, 1 and 0 common elements

lemma *swap_id_common*: $a \neq c \Rightarrow b \neq c \Rightarrow$
 $\text{transpose } a \ b \circ \text{transpose } a \ c = \text{transpose } b \ c \circ \text{transpose } a \ b$
(proof)

lemma *swap_id_common'*: $a \neq b \Rightarrow a \neq c \Rightarrow$
 $\text{transpose } a \ c \circ \text{transpose } b \ c = \text{transpose } b \ c \circ \text{transpose } a \ b$
(proof)

lemma *swap_id_independent*: $a \neq c \Rightarrow a \neq d \Rightarrow b \neq c \Rightarrow b \neq d \Rightarrow$
 $\text{transpose } a \ b \circ \text{transpose } c \ d = \text{transpose } c \ d \circ \text{transpose } a \ b$
(proof)

3.11 The identity map only has even transposition sequences

lemma *symmetry_lemma*:
assumes $\bigwedge a \ b \ c \ d. P \ a \ b \ c \ d \Rightarrow P \ a \ b \ d \ c$
and $\bigwedge a \ b \ c \ d. a \neq b \Rightarrow c \neq d \Rightarrow$
 $a = c \wedge b = d \vee a = c \wedge b \neq d \vee a \neq c \wedge b = d \vee a \neq c \wedge a \neq d \wedge b \neq c$
 $\wedge b \neq d \Rightarrow$
 $P \ a \ b \ c \ d$
shows $\bigwedge a \ b \ c \ d. a \neq b \rightarrow c \neq d \rightarrow P \ a \ b \ c \ d$
(proof)

lemma *swap_general*: $a \neq b \Rightarrow c \neq d \Rightarrow$
 $\text{transpose } a \ b \circ \text{transpose } c \ d = id \vee$
 $(\exists x \ y \ z. x \neq a \wedge y \neq a \wedge z \neq a \wedge x \neq y \wedge$
 $\text{transpose } a \ b \circ \text{transpose } c \ d = \text{transpose } x \ y \circ \text{transpose } a \ z)$
(proof)

lemma *swapidseq_id_iff[simp]*: $\text{swapidseq } 0 \ p \longleftrightarrow p = id$
(proof)

lemma *swapidseq_cases*: $\text{swapidseq } n \ p \longleftrightarrow$
 $n = 0 \wedge p = id \vee (\exists a \ b \ q \ m. n = Suc \ m \wedge p = \text{transpose } a \ b \circ q \wedge \text{swapidseq } m \ q \wedge a \neq b)$
(proof)

lemma *fixing_swapidseq_decrease*:
assumes *swapidseq n p*
and $a \neq b$
and $(\text{transpose } a \ b \circ p) \ a = a$
shows $n \neq 0 \wedge \text{swapidseq } (n - 1) \ (\text{transpose } a \ b \circ p)$
(proof)

lemma *swapidseq_identity_even*:
assumes *swapidseq n (id :: 'a ⇒ 'a)*
shows *even n*

$\langle proof \rangle$

3.12 Therefore we have a welldefined notion of parity

definition evenperm $p = even (SOME n. swapidseq n p)$

lemma swapidseq_even_even:
 assumes $m: swapidseq m p$
 and $n: swapidseq n p$
 shows $even m \longleftrightarrow even n$
 $\langle proof \rangle$

lemma evenperm_unique:
 assumes $p: swapidseq n p$
 and $n: even n = b$
 shows $evenperm p = b$
 $\langle proof \rangle$

3.13 And it has the expected composition properties

lemma evenperm_id[simp]: $evenperm id = True$
 $\langle proof \rangle$

lemma evenperm_identity [simp]:
 $\langle evenperm (\lambda x. x) \rangle$
 $\langle proof \rangle$

lemma evenperm_swap: $evenperm (transpose a b) = (a = b)$
 $\langle proof \rangle$

lemma evenperm_comp:
 assumes permutation p permutation q
 shows $evenperm (p \circ q) \longleftrightarrow evenperm p = evenperm q$
 $\langle proof \rangle$

lemma evenperm_inv:
 assumes permutation p
 shows $evenperm (inv p) = evenperm p$
 $\langle proof \rangle$

3.14 A more abstract characterization of permutations

lemma permutation_bijection:
 assumes permutation p
 shows bij p
 $\langle proof \rangle$

lemma permutation_finite_support:
 assumes permutation p
 shows finite $\{x. p x \neq x\}$

$\langle proof \rangle$

```
lemma permutation_lemma:
  assumes finite S
  and bij p
  and  $\forall x. x \notin S \rightarrow p x = x$ 
  shows permutation p
  ⟨proof⟩
```

```
lemma permutation: permutation p  $\longleftrightarrow$  bij p  $\wedge$  finite {x. p x  $\neq$  x}
  (is ?lhs  $\longleftrightarrow$  ?b  $\wedge$  ?f)
  ⟨proof⟩
```

```
lemma permutation_inverse_works:
  assumes permutation p
  shows inv p  $\circ$  p = id
  and p  $\circ$  inv p = id
  ⟨proof⟩
```

```
lemma permutation_inverse_compose:
  assumes p: permutation p
  and q: permutation q
  shows inv (p  $\circ$  q) = inv q  $\circ$  inv p
  ⟨proof⟩
```

3.15 Relation to permutes

```
lemma permutes_imp_permutation:
  ⟨permutation p⟩ if ⟨finite S⟩ ⟨p permutes S⟩
  ⟨proof⟩
```

```
lemma permutation_permutesE:
  assumes ⟨permutation p⟩
  obtains S where ⟨finite S⟩ ⟨p permutes S⟩
  ⟨proof⟩
```

```
lemma permutation_permutes: permutation p  $\longleftrightarrow$  ( $\exists S. \text{finite } S \wedge p \text{ permutes } S$ )
  ⟨proof⟩
```

3.16 Sign of a permutation as a real number

```
definition sign :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  int — TODO: prefer less generic name
  where ⟨sign p = (if evenperm p then 1 else -1)⟩
```

```
lemma sign_cases [case_names even odd]:
  obtains ⟨sign p = 1⟩ | ⟨sign p = -1⟩
  ⟨proof⟩
```

```
lemma sign_nz [simp]: sign p  $\neq$  0
  ⟨proof⟩
```

```

lemma sign_id [simp]: sign id = 1
  <proof>

lemma sign_identity [simp]:
  <sign ( $\lambda x. x$ ) = 1>
  <proof>

lemma sign_inverse: permutation p  $\implies$  sign (inv p) = sign p
  <proof>

lemma sign_compose: permutation p  $\implies$  permutation q  $\implies$  sign (p  $\circ$  q) = sign
  p * sign q
  <proof>

lemma sign_swap_id: sign (transpose a b) = (if a = b then 1 else - 1)
  <proof>

lemma sign_idempotent [simp]: sign p * sign p = 1
  <proof>

lemma sign_left_idempotent [simp]:
  <sign p * (sign p * sign q) = sign q>
  <proof>

term (bij, bij_betw, permutation)

```

3.17 Permuting a list

This function permutes a list by applying a permutation to the indices.

```

definition permute_list :: (nat  $\Rightarrow$  nat)  $\Rightarrow$  'a list  $\Rightarrow$  'a list
  where permute_list f xs = map ( $\lambda i. xs ! (f i)$ ) [0.. $<\text{length } xs$ ]

lemma permute_list_map:
  assumes f permutes {.. $<\text{length } xs$ }
  shows permute_list f (map g xs) = map g (permute_list f xs)
  <proof>

lemma permute_list_nth:
  assumes f permutes {.. $<\text{length } xs$ } i  $<$  length xs
  shows permute_list f xs ! i = xs ! f i
  <proof>

lemma permute_list_Nil [simp]: permute_list f [] = []
  <proof>

lemma length_permute_list [simp]: length (permute_list f xs) = length xs
  <proof>

```

```

lemma permute_list_compose:
  assumes g permutes {.. $\langle\text{length } xs\rangle$ 
  shows permute_list ( $f \circ g$ ) xs = permute_list g (permute_list f xs)
   $\langle\text{proof}\rangle$ 

lemma permute_list_ident [simp]: permute_list ( $\lambda x. x$ ) xs = xs
   $\langle\text{proof}\rangle$ 

lemma permute_list_id [simp]: permute_list id xs = xs
   $\langle\text{proof}\rangle$ 

lemma mset_permute_list [simp]:
  fixes xs :: 'a list
  assumes f permutes {.. $\langle\text{length } xs\rangle$ 
  shows mset (permute_list f xs) = mset xs
   $\langle\text{proof}\rangle$ 

lemma set_permute_list [simp]:
  assumes f permutes {.. $\langle\text{length } xs\rangle$ 
  shows set (permute_list f xs) = set xs
   $\langle\text{proof}\rangle$ 

lemma distinct_permute_list [simp]:
  assumes f permutes {.. $\langle\text{length } xs\rangle$ 
  shows distinct (permute_list f xs) = distinct xs
   $\langle\text{proof}\rangle$ 

lemma permute_list_zip:
  assumes f permutes A A = {.. $\langle\text{length } xs\rangle$ 
  assumes [simp]: length xs = length ys
  shows permute_list f (zip xs ys) = zip (permute_list f xs) (permute_list f ys)
   $\langle\text{proof}\rangle$ 

lemma map_of_permute:
  assumes  $\sigma$  permutes fst ` set xs
  shows map_of xs  $\circ \sigma$  = map_of (map ( $\lambda(x,y). (\text{inv } \sigma x, y)$ ) xs)
    (is  $_ = \text{map\_of} (\text{map } ?f \_)$ )
   $\langle\text{proof}\rangle$ 

lemma list_all2_permute_list_iff:
   $\langle\text{list\_all2 } P (\text{permute\_list } p \text{ xs}) (\text{permute\_list } p \text{ ys}) \longleftrightarrow \text{list\_all2 } P \text{ xs } \text{ ys}\rangle$ 
  if  $\langle p \text{ permutes } \{..\langle\text{length } xs\rangle\}$ 
   $\langle\text{proof}\rangle$ 

```

3.18 More lemmas about permutations

```

lemma permutes_in_funpow_image:
  assumes f permutes S  $x \in S$ 
  shows ( $f \wedge n$ )  $x \in S$ 

```

$\langle proof \rangle$

```
lemma permutation_self:  
  assumes ‹permutation p›  
  obtains n where ‹n > 0› ‹(p ^ n) x = x›  
 $\langle proof \rangle$ 
```

The following few lemmas were contributed by Lukas Bulwahn.

```
lemma count_image_mset_eq_card_vimage:  
  assumes finite A  
  shows count (image_mset f (mset_set A)) b = card {a ∈ A. f a = b}  
 $\langle proof \rangle$   
lemma image_mset_eq_implies_permutes:  
  fixes f :: 'a ⇒ 'b  
  assumes finite A  
    and mset_eq: image_mset f (mset_set A) = image_mset f' (mset_set A)  
  obtains p where p permutes A and ∀x∈A. f x = f' (p x)  
 $\langle proof \rangle$   
lemma mset_eq_permutation:  
  fixes xs ys :: 'a list  
  assumes mset_eq: mset xs = mset ys  
  obtains p where p permutes {..<length ys} permute_list p ys = xs  
 $\langle proof \rangle$   
lemma permutes_natset_le:  
  fixes S :: 'a::wellorder set  
  assumes p permutes S  
    and ∀i ∈ S. p i ≤ i  
  shows p = id  
 $\langle proof \rangle$   
lemma permutes_natset_ge:  
  fixes S :: 'a::wellorder set  
  assumes p: p permutes S  
    and le: ∀i ∈ S. p i ≥ i  
  shows p = id  
 $\langle proof \rangle$   
lemma image_inverse_permutations: {inv p | p. p permutes S} = {p. p permutes S}  
 $\langle proof \rangle$   
lemma image_compose_permutations_left:  
  assumes q permutes S  
  shows {q ∘ p | p. p permutes S} = {p. p permutes S}  
 $\langle proof \rangle$   
lemma image_compose_permutations_right:  
  assumes q permutes S
```

```

shows { $p \circ q \mid p. p \text{ permutes } S\} = \{p . p \text{ permutes } S\}$ 
⟨proof⟩

lemma permutes_in_seg:  $p \text{ permutes } \{1 .. n\} \implies i \in \{1..n\} \implies 1 \leq p i \wedge p i \leq n$ 
⟨proof⟩

lemma sum_permutations_inverse:  $\text{sum } f \{p. p \text{ permutes } S\} = \text{sum } (\lambda p. f(\text{inv } p)) \{p. p \text{ permutes } S\}$ 
(is ?lhs = ?rhs)
⟨proof⟩

lemma setum_permutations_compose_left:
assumes q:  $q \text{ permutes } S$ 
shows  $\text{sum } f \{p. p \text{ permutes } S\} = \text{sum } (\lambda p. f(q \circ p)) \{p. p \text{ permutes } S\}$ 
(is ?lhs = ?rhs)
⟨proof⟩

lemma sum_permutations_compose_right:
assumes q:  $q \text{ permutes } S$ 
shows  $\text{sum } f \{p. p \text{ permutes } S\} = \text{sum } (\lambda p. f(p \circ q)) \{p. p \text{ permutes } S\}$ 
(is ?lhs = ?rhs)
⟨proof⟩

lemma inv_inj_on_permutes:
⟨inj_on inv {p. p permutes S}⟩
⟨proof⟩

lemma permutes_pair_eq:
⟨{(p s, s) | s. s ∈ S} = {(s, inv p s) | s. s ∈ S}⟩ (is ?L = ?R) if ⟨p permutes S⟩
⟨proof⟩

context
fixes p and n i :: nat
assumes p: ⟨p permutes {0..<n}⟩ and i: ⟨i < n⟩
begin

lemma permutes_nat_less:
⟨p i < n⟩
⟨proof⟩

lemma permutes_nat_inv_less:
⟨inv p i < n⟩
⟨proof⟩

end

context comm_monoid_set
begin

```

```

lemma permutes_inv:
  ⟨F (λs. g (p s) s) S = F (λs. g s (inv p s)) S⟩ (is ⟨?l = ?r⟩)
  if ⟨p permutes S⟩
⟨proof⟩

end

```

3.19 Sum over a set of permutations (could generalize to iteration)

```

lemma sum_over_permutations_insert:
  assumes fS: finite S
  and aS: a ∉ S
  shows sum f {p. p permutes (insert a S)} =
    sum (λb. sum (λq. f (transpose a b ∘ q)) {p. p permutes S}) (insert a S)
⟨proof⟩

```

3.20 Constructing permutations from association lists

```

definition list_permutes :: ('a × 'a) list ⇒ 'a set ⇒ bool
where list_permutes xs A ↔
  set (map fst xs) ⊆ A ∧
  set (map snd xs) = set (map fst xs) ∧
  distinct (map fst xs) ∧
  distinct (map snd xs)

```

```

lemma list_permutesI [simp]:
  assumes set (map fst xs) ⊆ A set (map snd xs) = set (map fst xs) distinct (map
  fst xs)
  shows list_permutes xs A
⟨proof⟩

```

```

definition permutation_of_list :: ('a × 'a) list ⇒ 'a ⇒ 'a
where permutation_of_list xs x = (case map_of xs x of None ⇒ x | Some y ⇒
y)

```

```

lemma permutation_of_list_Cons:
  permutation_of_list ((x, y) # xs) x' = (if x = x' then y else permutation_of_list
  xs x')
⟨proof⟩

```

```

fun inverse_permutation_of_list :: ('a × 'a) list ⇒ 'a ⇒ 'a
where
  inverse_permutation_of_list [] x = x
  | inverse_permutation_of_list ((y, x') # xs) x =
    (if x = x' then y else inverse_permutation_of_list xs x)

```

```

declare inverse_permutation_of_list.simps [simp del]

```

```

lemma inj_on_map_of:
  assumes distinct (map snd xs)
  shows inj_on (map_of xs) (set (map fst xs))
  (proof)

lemma inj_on_the: None  $\notin A \Rightarrow$  inj_on the A
  (proof)

lemma inj_on_map_of':
  assumes distinct (map snd xs)
  shows inj_on (the o map_of xs) (set (map fst xs))
  (proof)

lemma image_map_of:
  assumes distinct (map fst xs)
  shows map_of xs ` set (map fst xs) = Some ` set (map snd xs)
  (proof)

lemma the_Some_image [simp]: the ` Some ` A = A
  (proof)

lemma image_map_of':
  assumes distinct (map fst xs)
  shows (the o map_of xs) ` set (map fst xs) = set (map snd xs)
  (proof)

lemma permutation_of_list_permutes [simp]:
  assumes list_permutes xs A
  shows permutation_of_list xs permutes A
  (is ?f permutes _)
  (proof)

lemma eval_permutation_of_list [simp]:
  permutation_of_list [] x = x
  x = x'  $\Rightarrow$  permutation_of_list ((x',y)#xs) x = y
  x  $\neq$  x'  $\Rightarrow$  permutation_of_list ((x',y')#xs) x = permutation_of_list xs x
  (proof)

lemma eval_inverse_permutation_of_list [simp]:
  inverse_permutation_of_list [] x = x
  x = x'  $\Rightarrow$  inverse_permutation_of_list ((y,x')#xs) x = y
  x  $\neq$  x'  $\Rightarrow$  inverse_permutation_of_list ((y',x')#xs) x = inverse_permutation_of_list
  xs x
  (proof)

lemma permutation_of_list_id: x  $\notin$  set (map fst xs)  $\Rightarrow$  permutation_of_list xs
  x = x
  (proof)

```

```

lemma permutation_of_list_unique':
  distinct (map fst xs)  $\Rightarrow$  (x, y)  $\in$  set xs  $\Rightarrow$  permutation_of_list xs x = y
   $\langle proof \rangle$ 

lemma permutation_of_list_unique:
  list_permutes xs A  $\Rightarrow$  (x, y)  $\in$  set xs  $\Rightarrow$  permutation_of_list xs x = y
   $\langle proof \rangle$ 

lemma inverse_permutation_of_list_id:
  x  $\notin$  set (map snd xs)  $\Rightarrow$  inverse_permutation_of_list xs x = x
   $\langle proof \rangle$ 

lemma inverse_permutation_of_list_unique':
  distinct (map snd xs)  $\Rightarrow$  (x, y)  $\in$  set xs  $\Rightarrow$  inverse_permutation_of_list xs y
  = x
   $\langle proof \rangle$ 

lemma inverse_permutation_of_list_unique:
  list_permutes xs A  $\Rightarrow$  (x,y)  $\in$  set xs  $\Rightarrow$  inverse_permutation_of_list xs y = x
   $\langle proof \rangle$ 

lemma inverse_permutation_of_list_correct:
  fixes A :: 'a set
  assumes list_permutes xs A
  shows inverse_permutation_of_list xs = inv (permutation_of_list xs)
   $\langle proof \rangle$ 

end

```

4 Permutated Lists

```

theory List_Permutation
imports Permutations
begin

```

Note that multisets already provide the notion of permuted list and hence this theory mostly echoes material already logically present in theory *Permutations*; it should be seldom needed.

4.1 An existing notion

```

abbreviation (input) perm :: "'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool" (infixr < $\sim\sim$ > 50)
  where  $\langle xs <\sim\sim> ys \equiv mset xs = mset ys \rangle$ 

```

4.2 Nontrivial conclusions

```

proposition perm_swap:
   $\langle xs[i := xs ! j, j := xs ! i] <\sim\sim> xs \rangle$ 

```

```

if ⟨ $i < \text{length } xs$ ⟩ ⟨ $j < \text{length } xs$ ⟩
⟨proof⟩

proposition mset_le_perm_append:  $\text{mset } xs \subseteq \# \text{mset } ys \longleftrightarrow (\exists zs. xs @ zs <^{\sim\sim} > ys)$ 
⟨proof⟩

proposition perm_set_eq:  $xs <^{\sim\sim} > ys \implies \text{set } xs = \text{set } ys$ 
⟨proof⟩

proposition perm_distinct_iff:  $xs <^{\sim\sim} > ys \implies \text{distinct } xs \longleftrightarrow \text{distinct } ys$ 
⟨proof⟩

theorem eq_set_perm_remdups:  $\text{set } xs = \text{set } ys \implies \text{remdups } xs <^{\sim\sim} > \text{remdups } ys$ 
⟨proof⟩

proposition perm_remdups_iff_eq_set:  $\text{remdups } x <^{\sim\sim} > \text{remdups } y \longleftrightarrow \text{set } x = \text{set } y$ 
⟨proof⟩

theorem permutation_Ex_bij:
assumes  $xs <^{\sim\sim} > ys$ 
shows  $\exists f. \text{bij}_\text{betw } f \{.. < \text{length } xs\} \{.. < \text{length } ys\} \wedge (\forall i < \text{length } xs. xs ! i = ys ! (f i))$ 
⟨proof⟩

proposition perm_finite:  $\text{finite } \{B. B <^{\sim\sim} > A\}$ 
⟨proof⟩

```

4.3 Trivial conclusions:

```

proposition perm_empty_imp:  $[] <^{\sim\sim} > ys \implies ys = []$ 
⟨proof⟩

```

This more general theorem is easier to understand!

```

proposition perm_length:  $xs <^{\sim\sim} > ys \implies \text{length } xs = \text{length } ys$ 
⟨proof⟩

```

```

proposition perm_sym:  $xs <^{\sim\sim} > ys \implies ys <^{\sim\sim} > xs$ 
⟨proof⟩

```

We can insert the head anywhere in the list.

```

proposition perm_append_Cons:  $a \# xs @ ys <^{\sim\sim} > xs @ a \# ys$ 
⟨proof⟩

```

```

proposition perm_append_swap:  $xs @ ys <^{\sim\sim} > ys @ xs$ 
⟨proof⟩

```

```

proposition perm_append_single:  $a \# xs \sim\sim> xs @ [a]$ 
   $\langle proof \rangle$ 

proposition perm_rev: rev  $xs \sim\sim> xs$ 
   $\langle proof \rangle$ 

proposition perm_append1:  $xs \sim\sim> ys \implies l @ xs \sim\sim> l @ ys$ 
   $\langle proof \rangle$ 

proposition perm_append2:  $xs \sim\sim> ys \implies xs @ l \sim\sim> ys @ l$ 
   $\langle proof \rangle$ 

proposition perm_empty [iff]:  $[] \sim\sim> xs \longleftrightarrow xs = []$ 
   $\langle proof \rangle$ 

proposition perm_empty2 [iff]:  $xs \sim\sim> [] \longleftrightarrow xs = []$ 
   $\langle proof \rangle$ 

proposition perm_sing_imp:  $ys \sim\sim> xs \implies xs = [y] \implies ys = [y]$ 
   $\langle proof \rangle$ 

proposition perm_sing_eq [iff]:  $ys \sim\sim> [y] \longleftrightarrow ys = [y]$ 
   $\langle proof \rangle$ 

proposition perm_sing_eq2 [iff]:  $[y] \sim\sim> ys \longleftrightarrow ys = [y]$ 
   $\langle proof \rangle$ 

proposition perm_remove:  $x \in set ys \implies ys \sim\sim> x \# remove1 x ys$ 
   $\langle proof \rangle$ 

Congruence rule

proposition perm_remove_perm:  $xs \sim\sim> ys \implies remove1 z xs \sim\sim> remove1 z ys$ 
   $\langle proof \rangle$ 

proposition remove_hd [simp]:  $remove1 z (z \# xs) = xs$ 
   $\langle proof \rangle$ 

proposition cons_perm_imp_perm:  $z \# xs \sim\sim> z \# ys \implies xs \sim\sim> ys$ 
   $\langle proof \rangle$ 

proposition cons_perm_eq [simp]:  $z \# xs \sim\sim> z \# ys \longleftrightarrow xs \sim\sim> ys$ 
   $\langle proof \rangle$ 

proposition append_perm_imp_perm:  $zs @ xs \sim\sim> zs @ ys \implies xs \sim\sim> ys$ 
   $\langle proof \rangle$ 

proposition perm_append1_eq [iff]:  $zs @ xs \sim\sim> zs @ ys \longleftrightarrow xs \sim\sim> ys$ 
   $\langle proof \rangle$ 

```

```

proposition perm_append2_eq [iff]: xs @ zs <~> ys @ zs  $\longleftrightarrow$  xs <~> ys
  ⟨proof⟩

end

```

5 Permutations of a Multiset

```

theory Multiset_Permutations
imports
  Complex_Main
  Permutations
begin

lemma mset_tl: xs ≠ []  $\implies$  mset (tl xs) = mset xs - {#hd xs#}
  ⟨proof⟩

lemma mset_set_image_inj:
  assumes inj_on f A
  shows mset_set (f ` A) = image_mset f (mset_set A)
  ⟨proof⟩

lemma multiset_remove_induct [case_names empty remove]:
  assumes P {}  $\wedge$  A ≠ {}  $\implies$  ( $\bigwedge x. x \in A \implies P(A - \{x\})$ )  $\implies$  P A
  shows P A
  ⟨proof⟩

lemma map_list_bind: map g (List.bind xs f) = List.bind xs (map g ∘ f)
  ⟨proof⟩

lemma mset_eq_mset_set_imp_distinct:
  finite A  $\implies$  mset_set A = mset xs  $\implies$  distinct xs
  ⟨proof⟩

```

5.1 Permutations of a multiset

```

definition permutations_of_multiset :: 'a multiset  $\Rightarrow$  'a list set where
  permutations_of_multiset A = {xs. mset xs = A}

```

```

lemma permutations_of_multisetI: mset xs = A  $\implies$  xs ∈ permutations_of_multiset A
  ⟨proof⟩

lemma permutations_of_multisetD: xs ∈ permutations_of_multiset A  $\implies$  mset xs = A
  ⟨proof⟩

```

```

lemma permutations_of_multiset_Cons_iff:
   $x \# xs \in \text{permutations\_of\_multiset } A \longleftrightarrow x \in \# A \wedge xs \in \text{permutations\_of\_multiset } (A - \{\#x\})$ 
   $\langle proof \rangle$ 

lemma permutations_of_multiset_empty [simp]:  $\text{permutations\_of\_multiset } \{\#\} = \{\}\}$ 
   $\langle proof \rangle$ 

lemma permutations_of_multiset_nonempty:
  assumes nonempty:  $A \neq \{\#\}$ 
  shows  $\text{permutations\_of\_multiset } A = (\bigcup_{x \in \text{set\_mset } A} ((\#) x) \cdot \text{permutations\_of\_multiset } (A - \{\#x\}))$ 
  (is  $_ = ?rhs$ )
   $\langle proof \rangle$ 

lemma permutations_of_multiset_singleton [simp]:  $\text{permutations\_of\_multiset } \{\#x\} = \{[x]\}$ 
   $\langle proof \rangle$ 

lemma permutations_of_multiset_doubleton:
   $\text{permutations\_of\_multiset } \{\#x,y\} = \{[x,y], [y,x]\}$ 
   $\langle proof \rangle$ 

lemma rev_permutations_of_multiset [simp]:
   $\text{rev} \cdot \text{permutations\_of\_multiset } A = \text{permutations\_of\_multiset } A$ 
   $\langle proof \rangle$ 

lemma length_finite_permutations_of_multiset:
   $xs \in \text{permutations\_of\_multiset } A \implies \text{length } xs = \text{size } A$ 
   $\langle proof \rangle$ 

lemma permutations_of_multiset_lists:  $\text{permutations\_of\_multiset } A \subseteq \text{lists } (\text{set\_mset } A)$ 
   $\langle proof \rangle$ 

lemma finite_permutations_of_multiset [simp]:  $\text{finite } (\text{permutations\_of\_multiset } A)$ 
   $\langle proof \rangle$ 

lemma permutations_of_multiset_not_empty [simp]:  $\text{permutations\_of\_multiset } A \neq \{\}$ 
   $\langle proof \rangle$ 

lemma permutations_of_multiset_image:
   $\text{permutations\_of\_multiset } (\text{image\_mset } f A) = \text{map } f \cdot \text{permutations\_of\_multiset } A$ 
   $\langle proof \rangle$ 

```

5.2 Cardinality of permutations

In this section, we prove some basic facts about the number of permutations of a multiset.

```
context
begin
```

```
private lemma multiset_prod_fact_insert:
  ( $\prod_{y \in \text{set\_mset}(A + \{\#x\})} \text{fact}(\text{count}(A + \{\#x\}) y)$ ) =
    ( $\text{count}(A x + 1) * (\prod_{y \in \text{set\_mset}(A)} \text{fact}(\text{count}(A y))$ )
⟨proof⟩ lemma multiset_prod_fact_remove:
   $x \in \# A \implies (\prod_{y \in \text{set\_mset}(A)} \text{fact}(\text{count}(A y)) =$ 
     $\text{count}(A x) * (\prod_{y \in \text{set\_mset}(A - \{\#x\})} \text{fact}(\text{count}(A - \{\#x\})$ 
 $y))$ 
⟨proof⟩

lemma card_permutations_of_multiset_aux:
   $\text{card}(\text{permutations\_of\_multiset}(A)) * (\prod_{x \in \text{set\_mset}(A)} \text{fact}(\text{count}(A x))) = \text{fact}(\text{size}(A))$ 
⟨proof⟩

theorem card_permutations_of_multiset:
   $\text{card}(\text{permutations\_of\_multiset}(A)) = \text{fact}(\text{size}(A)) \text{ div } (\prod_{x \in \text{set\_mset}(A)} \text{fact}(\text{count}(A x)))$ 
   $(\prod_{x \in \text{set\_mset}(A)} \text{fact}(\text{count}(A x)) :: \text{nat}) \text{ dvd } \text{fact}(\text{size}(A))$ 
⟨proof⟩

lemma card_permutations_of_multiset_insert_aux:
   $\text{card}(\text{permutations\_of\_multiset}(A + \{\#x\})) * (\text{count}(A x + 1) =$ 
     $(\text{size}(A + 1) * \text{card}(\text{permutations\_of\_multiset}(A))$ 
⟨proof⟩

lemma card_permutations_of_multiset_remove_aux:
  assumes  $x \in \# A$ 
  shows  $\text{card}(\text{permutations\_of\_multiset}(A)) * \text{count}(A x) =$ 
     $\text{size}(A) * \text{card}(\text{permutations\_of\_multiset}(A - \{\#x\}))$ 
⟨proof⟩

lemma real_card_permutations_of_multiset_remove:
  assumes  $x \in \# A$ 
  shows  $\text{real}(\text{card}(\text{permutations\_of\_multiset}(A - \{\#x\}))) =$ 
     $\text{real}(\text{card}(\text{permutations\_of\_multiset}(A)) * \text{count}(A x)) / \text{real}(\text{size}(A))$ 
⟨proof⟩

lemma real_card_permutations_of_multiset_remove':
  assumes  $x \in \# A$ 
  shows  $\text{real}(\text{card}(\text{permutations\_of\_multiset}(A))) =$ 
     $\text{real}(\text{size}(A) * \text{card}(\text{permutations\_of\_multiset}(A - \{\#x\}))) / \text{real}(\text{count}(A x))$ 
```

$\langle proof \rangle$

end

5.3 Permutations of a set

definition permutations_of_set :: 'a set \Rightarrow 'a list set **where**
permutations_of_set A = {xs. set xs = A \wedge distinct xs}

lemma permutations_of_set_altdef:
 $finite A \implies permutations_of_set A = permutations_of_multiset (mset_set A)$
 $\langle proof \rangle$

lemma permutations_of_setI [intro]:
assumes set xs = A distinct xs
shows xs \in permutations_of_set A
 $\langle proof \rangle$

lemma permutations_of_setD:
assumes xs \in permutations_of_set A
shows set xs = A distinct xs
 $\langle proof \rangle$

lemma permutations_of_set_lists: permutations_of_set A \subseteq lists A
 $\langle proof \rangle$

lemma permutations_of_set_empty [simp]: permutations_of_set {} = {}
 $\langle proof \rangle$

lemma UN_set_permutations_of_set [simp]:
 $finite A \implies (\bigcup_{xs \in permutations_of_set A} set xs) = A$
 $\langle proof \rangle$

lemma permutations_of_set_infinite:
 $\neg finite A \implies permutations_of_set A = \{\}$
 $\langle proof \rangle$

lemma permutations_of_set_nonempty:
 $A \neq \{\} \implies permutations_of_set A =$
 $(\bigcup_{x \in A} (\lambda xs. x \# xs) ` permutations_of_set (A - \{x\}))$
 $\langle proof \rangle$

lemma permutations_of_set_singleton [simp]: permutations_of_set {x} = {[x]}
 $\langle proof \rangle$

lemma permutations_of_set_doubleton:
 $x \neq y \implies permutations_of_set \{x,y\} = \{[x,y], [y,x]\}$
 $\langle proof \rangle$

```

lemma rev_permutations_of_set [simp]:
  rev ` permutations_of_set A = permutations_of_set A
  <proof>

lemma length_finite_permutations_of_set:
  xs ∈ permutations_of_set A ⇒ length xs = card A
  <proof>

lemma finite_permutations_of_set [simp]: finite (permutations_of_set A)
  <proof>

lemma permutations_of_set_empty_iff [simp]:
  permutations_of_set A = {} ⇔ ¬finite A
  <proof>

lemma card_permutations_of_set [simp]:
  finite A ⇒ card (permutations_of_set A) = fact (card A)
  <proof>

lemma permutations_of_set_image_inj:
  assumes inj: inj_on f A
  shows permutations_of_set (f ` A) = map f ` permutations_of_set A
  <proof>

lemma permutations_of_set_image_permutes:
  σ permutes A ⇒ map σ ` permutations_of_set A = permutations_of_set A
  <proof>

```

5.4 Code generation

First, we give code an implementation for permutations of lists.

```

declare length_remove1 [termination_simp]

fun permutations_of_list_Impl where
  permutations_of_list_Impl xs = (if xs = [] then [] else
    List.bind (remdups xs) (λx. map ((#) x) (permutations_of_list_Impl (remove1
      x xs)))))

fun permutations_of_list_Impl_aux where
  permutations_of_list_Impl_aux acc xs = (if xs = [] then [acc] else
    List.bind (remdups xs) (λx. permutations_of_list_Impl_aux (x # acc) (remove1
      x xs)))

declare permutations_of_list_Impl_aux.simps [simp del]
declare permutations_of_list_Impl.simps [simp del]

lemma permutations_of_list_Impl_Nil [simp]:
  permutations_of_list_Impl [] = []
  <proof>

```

```

lemma permutations_of_listImpl_nonempty:
  xs ≠ [] ==> permutations_of_listImpl xs =
    List.bind (remdups xs) (λx. map ((#) x) (permutations_of_listImpl (remove1
  x xs)))
  ⟨proof⟩

lemma set_permutations_of_listImpl:
  set (permutations_of_listImpl xs) = permutations_of_multiset (mset xs)
  ⟨proof⟩

lemma distinct_permutations_of_listImpl:
  distinct (permutations_of_listImpl xs)
  ⟨proof⟩

lemma permutations_of_listImpl_aux_correct':
  permutations_of_listImpl_aux acc xs =
    map (λxs. rev xs @ acc) (permutations_of_listImpl xs)
  ⟨proof⟩

lemma permutations_of_listImpl_aux_correct:
  permutations_of_listImpl_aux [] xs = map rev (permutations_of_listImpl xs)
  ⟨proof⟩

lemma distinct_permutations_of_listImpl_aux:
  distinct (permutations_of_listImpl_aux acc xs)
  ⟨proof⟩

lemma set_permutations_of_listImpl_aux:
  set (permutations_of_listImpl_aux [] xs) = permutations_of_multiset (mset
  xs)
  ⟨proof⟩

declare set_permutations_of_listImpl_aux [symmetric, code]

value [code] permutations_of_multiset {#1,2,3,4::int#}

```

Now we turn to permutations of sets. We define an auxiliary version with an accumulator to avoid having to map over the results.

```

function permutations_of_setAux where
  permutations_of_setAux acc A =
    (if ¬finite A then {} else if A = {} then {acc} else
     (Union x∈A. permutations_of_setAux (x#acc) (A - {x})))
  ⟨proof⟩
termination ⟨proof⟩

lemma permutations_of_setAux_altdef:
  permutations_of_setAux acc A = (λxs. rev xs @ acc) ` permutations_of_set A
  ⟨proof⟩

```

```

declare permutations_of_set_aux.simps [simp del]

lemma permutations_of_set_aux_correct:
  permutations_of_set_aux [] A = permutations_of_set A
  <proof>

In another refinement step, we define a version on lists.

declare length_remove1 [termination_simp]

fun permutations_of_set_aux_list where
  permutations_of_set_aux_list acc xs =
    (if xs = [] then [acc] else
     List.bind xs (λx. permutations_of_set_aux_list (x#acc) (List.remove1 x
     xs)))

```

```

definition permutations_of_set_list where
  permutations_of_set_list xs = permutations_of_set_aux_list [] xs

```

```

declare permutations_of_set_aux_list.simps [simp del]

```

```

lemma permutations_of_set_aux_list_refine:
  assumes distinct xs
  shows set (permutations_of_set_aux_list acc xs) = permutations_of_set_aux
  acc (set xs)
  <proof>

```

The permutation lists contain no duplicates if the inputs contain no duplicates. Therefore, these functions can easily be used when working with a representation of sets by distinct lists. The same approach should generalise to any kind of set implementation that supports a monadic bind operation, and since the results are disjoint, merging should be cheap.

```

lemma distinct_permutations_of_set_aux_list:
  distinct xs  $\implies$  distinct (permutations_of_set_aux_list acc xs)
  <proof>

```

```

lemma distinct_permutations_of_set_list:
  distinct xs  $\implies$  distinct (permutations_of_set_list xs)
  <proof>

```

```

lemma permutations_of_list:
  permutations_of_set (set xs) = set (permutations_of_set_list (remdups xs))
  <proof>

```

```

lemma permutations_of_list_code [code]:
  permutations_of_set (set xs) = set (permutations_of_set_list (remdups xs))
  permutations_of_set (List.coset xs) =
    Code.abort (STR "Permutation of set complement not supported")
    (λ_. permutations_of_set (List.coset xs))

```

```

⟨proof⟩

value [code] permutations_of_set (set "abcd")
end

```

```

theory Cycles
imports
  HOL-Library.FuncSet
  Permutations
begin

```

6 Cycles

6.1 Definitions

```

abbreviation cycle :: 'a list ⇒ bool
  where cycle cs ≡ distinct cs

fun cycle_of_list :: 'a list ⇒ 'a ⇒ 'a
  where
    cycle_of_list (i # j # cs) = transpose i j ∘ cycle_of_list (j # cs)
  | cycle_of_list cs = id

```

6.2 Basic Properties

We start proving that the function derived from a cycle rotates its support list.

```

lemma id_outside_supp:
  assumes x ∉ set cs shows (cycle_of_list cs) x = x
  ⟨proof⟩

```

```

lemma permutation_of_cycle: permutation (cycle_of_list cs)
  ⟨proof⟩

```

```

lemma cycle_permutes: (cycle_of_list cs) permutes (set cs)
  ⟨proof⟩

```

```

theorem cyclic_rotation:
  assumes cycle cs shows map ((cycle_of_list cs) ^ n) cs = rotate n cs
  ⟨proof⟩

```

```

corollary cycle_is_surj:
  assumes cycle cs shows (cycle_of_list cs) ` (set cs) = (set cs)
  ⟨proof⟩

```

```

corollary cycle_is_id_root:

```

```

assumes cycle cs shows (cycle_of_list cs) ^~ (length cs) = id
⟨proof⟩

corollary cycle_of_list_rotate_independent:
  assumes cycle cs shows (cycle_of_list cs) = (cycle_of_list (rotate n cs))
⟨proof⟩

```

6.3 Conjugation of cycles

```

lemma conjugation_of_cycle:
  assumes cycle cs and bij p
  shows p ∘ (cycle_of_list cs) ∘ (inv p) = cycle_of_list (map p cs)
⟨proof⟩

```

6.4 When Cycles Commute

```

lemma cycles_commute:
  assumes cycle p cycle q and set p ∩ set q = {}
  shows (cycle_of_list p) ∘ (cycle_of_list q) = (cycle_of_list q) ∘ (cycle_of_list
p)
⟨proof⟩

```

6.5 Cycles from Permutations

6.5.1 Exponentiation of permutations

Some important properties of permutations before defining how to extract its cycles.

```

lemma permutation_funpow:
  assumes permutation p shows permutation (p ^~ n)
⟨proof⟩

lemma permutes_funpow:
  assumes p permutes S shows (p ^~ n) permutes S
⟨proof⟩

lemma funpow_diff:
  assumes inj p and i ≤ j (p ^~ i) a = (p ^~ j) a shows (p ^~ (j - i)) a = a
⟨proof⟩

lemma permutation_is_nilpotent:
  assumes permutation p obtains n where (p ^~ n) = id and n > 0
⟨proof⟩

lemma permutation_is_nilpotent':
  assumes permutation p obtains n where (p ^~ n) = id and n > m
⟨proof⟩

```

6.5.2 Extraction of cycles from permutations

```

definition least_power :: ('a ⇒ 'a) ⇒ 'a ⇒ nat
  where least_power f x = (LEAST n. (f ^ n) x = x ∧ n > 0)

abbreviation support :: ('a ⇒ 'a) ⇒ 'a ⇒ 'a list
  where support p x ≡ map (λi. (p ^ i) x) [0..<(least_power p x)]

```

```

lemma least_powerI:
  assumes (f ^ n) x = x and n > 0
  shows (f ^ (least_power f x)) x = x and least_power f x > 0
  ⟨proof⟩

lemma least_power_le:
  assumes (f ^ n) x = x and n > 0 shows least_power f x ≤ n
  ⟨proof⟩

lemma least_power_of_permutation:
  assumes permutation p shows (p ^ (least_power p a)) a = a and least_power
  p a > 0
  ⟨proof⟩

lemma least_power_gt_one:
  assumes permutation p and p a ≠ a shows least_power p a > Suc 0
  ⟨proof⟩

lemma least_power_minimal:
  assumes (p ^ n) a = a shows (least_power p a) dvd n
  ⟨proof⟩

lemma least_power_dvd:
  assumes permutation p shows (least_power p a) dvd n ↔ (p ^ n) a = a
  ⟨proof⟩

```

```

theorem cycle_of_permutation:
  assumes permutation p shows cycle (support p a)
  ⟨proof⟩

```

6.6 Decomposition on Cycles

We show that a permutation can be decomposed on cycles

6.6.1 Preliminaries

```

lemma support_set:
  assumes permutation p shows set (support p a) = range (λi. (p ^ i) a)
  ⟨proof⟩

```

```

lemma disjoint_support:
  assumes permutation p shows disjoint (range ( $\lambda a. \text{set}(\text{support } p a)$ )) (is disjoint ?A)
  (proof)
```

```

lemma disjoint_support':
  assumes permutation p
  shows set (support p a)  $\cap$  set (support p b) = {}  $\longleftrightarrow$  a  $\notin$  set (support p b)
  (proof)
```

```

lemma support_coverage:
  assumes permutation p shows  $\bigcup \{ \text{set}(\text{support } p a) \mid a. p a \neq a \} = \{ a. p a \neq a \}$ 
  (proof)
```

```

theorem cycle_restrict:
  assumes permutation p and b  $\in$  set (support p a) shows p b = (cycle_of_list
  (support p a)) b
  (proof)
```

6.6.2 Decomposition

```

inductive cycle_decomp :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  bool
  where
    empty: cycle_decomp {} id
    | comp: [ [ cycle_decomp I p; cycle cs; set cs  $\cap$  I = {} ]  $\Longrightarrow$ 
      cycle_decomp (set cs  $\cup$  I) ((cycle_of_list cs)  $\circ$  p)
```

```

lemma semidecomposition:
  assumes p permutes S and finite S
  shows ( $\lambda y. \text{if } y \in (S - \text{set}(\text{support } p a)) \text{ then } p y \text{ else } y$ ) permutes (S - set
  (support p a))
  (proof)
```

```

theorem cycle_decomposition:
  assumes p permutes S and finite S shows cycle_decomp S p
  (proof)
```

end

7 Permutations as abstract type

```

theory Perm
  imports
    Transposition
  begin
```

This theory introduces basics about permutations, i.e. almost everywhere

fix bijections. But it is by no means complete. Grievously missing are cycles since these would require more elaboration, e.g. the concept of distinct lists equivalent under rotation, which maybe would also deserve its own theory. But see theory *src/HOL/ex/Perm_Fragments.thy* for fragments on that.

7.1 Abstract type of permutations

```

typedef 'a perm = {f :: 'a ⇒ 'a. bij f ∧ finite {a. f a ≠ a}}
morphisms apply Perm
⟨proof⟩

setup_lifting type_definition_perm

notation apply (infixl ⟨$⟩ 999)

lemma bij_apply [simp]:
  bij (apply f)
  ⟨proof⟩

lemma perm_eqI:
  assumes ⋀a. f ⟨$⟩ a = g ⟨$⟩ a
  shows f = g
  ⟨proof⟩

lemma perm_eq_iff:
  f = g ⟷ (⋀a. f ⟨$⟩ a = g ⟨$⟩ a)
  ⟨proof⟩

lemma apply_inj:
  f ⟨$⟩ a = f ⟨$⟩ b ⟷ a = b
  ⟨proof⟩

lift_definition affected :: 'a perm ⇒ 'a set
  is λf. {a. f a ≠ a} ⟨proof⟩

lemma in_affected:
  a ∈ affected f ⟷ f ⟨$⟩ a ≠ a
  ⟨proof⟩

lemma finite_affected [simp]:
  finite (affected f)
  ⟨proof⟩

lemma apply_affected [simp]:
  f ⟨$⟩ a ∈ affected f ⟷ a ∈ affected f
  ⟨proof⟩

lemma card_affected_not_one:
  card (affected f) ≠ 1
  
```

$\langle proof \rangle$

7.2 Identity, composition and inversion

```
instantiation Perm.perm :: (type) {monoid_mult, inverse}
begin
```

```
lift_definition one_perm :: 'a perm
  is id
  ⟨proof⟩
```

```
lemma apply_one [simp]:
  apply 1 = id
  ⟨proof⟩
```

```
lemma affected_one [simp]:
  affected 1 = {}
  ⟨proof⟩
```

```
lemma affected_empty_iff [simp]:
  affected f = {}  $\longleftrightarrow$  f = 1
  ⟨proof⟩
```

```
lift_definition times_perm :: 'a perm  $\Rightarrow$  'a perm  $\Rightarrow$  'a perm
  is comp
  ⟨proof⟩
```

```
lemma apply_times:
  apply (f * g) = apply f  $\circ$  apply g
  ⟨proof⟩
```

```
lemma apply_sequence:
  f ($) (g ($) a) = apply (f * g) a
  ⟨proof⟩
```

```
lemma affected_times [simp]:
  affected (f * g)  $\subseteq$  affected f  $\cup$  affected g
  ⟨proof⟩
```

```
lift_definition inverse_perm :: 'a perm  $\Rightarrow$  'a perm
  is inv
  ⟨proof⟩
```

```
instance
  ⟨proof⟩
```

end

```
lemma apply_inverse:
```

```

apply (inverse f) = inv (apply f)
⟨proof⟩

lemma affected_inverse [simp]:
  affected (inverse f) = affected f
⟨proof⟩

global_interpretation perm: group times 1::'a perm inverse
⟨proof⟩

declare perm.inverse_distrib_swap [simp]

lemma perm_mult_commute:
  assumes affected f ∩ affected g = {}
  shows g * f = f * g
⟨proof⟩

lemma apply_power:
  apply (f ^ n) = apply f ^ n
⟨proof⟩

lemma perm_power_inverse:
  inverse f ^ n = inverse ((f :: 'a perm) ^ n)
⟨proof⟩

```

7.3 Orbit and order of elements

```

definition orbit :: 'a perm ⇒ 'a ⇒ 'a set
where
  orbit f a = range (λn. (f ^ n) ⟨\$⟩ a)

lemma in_orbitI:
  assumes (f ^ n) ⟨\$⟩ a = b
  shows b ∈ orbit f a
⟨proof⟩

lemma apply_power_self_in_orbit [simp]:
  (f ^ n) ⟨\$⟩ a ∈ orbit f a
⟨proof⟩

lemma in_orbit_self [simp]:
  a ∈ orbit f a
⟨proof⟩

lemma apply_self_in_orbit [simp]:
  f ⟨\$⟩ a ∈ orbit f a
⟨proof⟩

lemma orbit_not_empty [simp]:

```

```

orbit f a ≠ {}
⟨proof⟩

lemma not_in_affected_iff_orbit_eq_singleton:
  a ∉ affected f  $\longleftrightarrow$  orbit f a = {a} (is ?P  $\longleftrightarrow$  ?Q)
⟨proof⟩

definition order :: 'a perm  $\Rightarrow$  'a  $\Rightarrow$  nat
where
  order f = card  $\circ$  orbit f

lemma orbit_subset_eq_affected:
  assumes a ∈ affected f
  shows orbit f a ⊆ affected f
⟨proof⟩

lemma finite_orbit [simp]:
  finite (orbit f a)
⟨proof⟩

lemma orbit_1 [simp]:
  orbit 1 a = {a}
⟨proof⟩

lemma order_1 [simp]:
  order 1 a = 1
⟨proof⟩

lemma card_orbit_eq [simp]:
  card (orbit f a) = order f a
⟨proof⟩

lemma order_greater_zero [simp]:
  order f a > 0
⟨proof⟩

lemma order_eq_one_iff:
  order f a = Suc 0  $\longleftrightarrow$  a ∉ affected f (is ?P  $\longleftrightarrow$  ?Q)
⟨proof⟩

lemma order_greater_eq_two_iff:
  order f a ≥ 2  $\longleftrightarrow$  a ∈ affected f
⟨proof⟩

lemma order_less_eq_affected:
  assumes f ≠ 1
  shows order f a ≤ card (affected f)
⟨proof⟩

```

```

lemma affected_order_greater_eq_two:
  assumes  $a \in \text{affected } f$ 
  shows  $\text{order } f a \geq 2$ 
   $\langle \text{proof} \rangle$ 

lemma order_witness_unfold:
  assumes  $n > 0$  and  $(f \wedge n) \langle \$ \rangle a = a$ 
  shows  $\text{order } f a = \text{card } ((\lambda m. (f \wedge m) \langle \$ \rangle a) ` \{0..<n\})$ 
   $\langle \text{proof} \rangle$ 

lemma inj_on_apply_range:
   $\text{inj\_on } (\lambda m. (f \wedge m) \langle \$ \rangle a) \{..<\text{order } f a\}$ 
   $\langle \text{proof} \rangle$ 

lemma orbit_unfold_image:
   $\text{orbit } f a = (\lambda n. (f \wedge n) \langle \$ \rangle a) ` \{..<\text{order } f a\}$  (is  $_ = ?A$ )
   $\langle \text{proof} \rangle$ 

lemma in_orbitE:
  assumes  $b \in \text{orbit } f a$ 
  obtains  $n$  where  $b = (f \wedge n) \langle \$ \rangle a$  and  $n < \text{order } f a$ 
   $\langle \text{proof} \rangle$ 

lemma apply_power_order [simp]:
   $(f \wedge \text{order } f a) \langle \$ \rangle a = a$ 
   $\langle \text{proof} \rangle$ 

lemma apply_power_left_mult_order [simp]:
   $(f \wedge (n * \text{order } f a)) \langle \$ \rangle a = a$ 
   $\langle \text{proof} \rangle$ 

lemma apply_power_right_mult_order [simp]:
   $(f \wedge (\text{order } f a * n)) \langle \$ \rangle a = a$ 
   $\langle \text{proof} \rangle$ 

lemma apply_power_mod_order_eq [simp]:
   $(f \wedge (n \bmod \text{order } f a)) \langle \$ \rangle a = (f \wedge n) \langle \$ \rangle a$ 
   $\langle \text{proof} \rangle$ 

lemma apply_power_eq_iff:
   $(f \wedge m) \langle \$ \rangle a = (f \wedge n) \langle \$ \rangle a \longleftrightarrow m \bmod \text{order } f a = n \bmod \text{order } f a$  (is  $?P$ 
   $\longleftrightarrow ?Q$ )
   $\langle \text{proof} \rangle$ 

lemma apply_inverse_eq_apply_power_order_minus_one:
   $(\text{inverse } f) \langle \$ \rangle a = (f \wedge (\text{order } f a - 1)) \langle \$ \rangle a$ 
   $\langle \text{proof} \rangle$ 

lemma apply_inverse_self_in_orbit [simp]:

```

```

(inverse  $f$ )  $\langle \$ \rangle$   $a \in \text{orbit } f a$ 
 $\langle \text{proof} \rangle$ 

lemma apply_inverse_power_eq:
  (inverse ( $f^{\wedge} n$ ))  $\langle \$ \rangle$   $a = (f^{\wedge} (\text{order } f a - n \bmod \text{order } f a)) \langle \$ \rangle a$ 
 $\langle \text{proof} \rangle$ 

lemma apply_power_eq_self_iff:
  ( $f^{\wedge} n$ )  $\langle \$ \rangle a = a \longleftrightarrow \text{order } f a \text{ dvd } n$ 
 $\langle \text{proof} \rangle$ 

lemma orbit_equiv:
  assumes  $b \in \text{orbit } f a$ 
  shows  $\text{orbit } f b = \text{orbit } f a$  (is  $?B = ?A$ )
 $\langle \text{proof} \rangle$ 

lemma orbit_apply [simp]:
   $\text{orbit } f (f \langle \$ \rangle a) = \text{orbit } f a$ 
 $\langle \text{proof} \rangle$ 

lemma order_apply [simp]:
   $\text{order } f (f \langle \$ \rangle a) = \text{order } f a$ 
 $\langle \text{proof} \rangle$ 

lemma orbit_apply_inverse [simp]:
   $\text{orbit } f (\text{inverse } f \langle \$ \rangle a) = \text{orbit } f a$ 
 $\langle \text{proof} \rangle$ 

lemma order_apply_inverse [simp]:
   $\text{order } f (\text{inverse } f \langle \$ \rangle a) = \text{order } f a$ 
 $\langle \text{proof} \rangle$ 

lemma orbit_apply_power [simp]:
   $\text{orbit } f ((f^{\wedge} n) \langle \$ \rangle a) = \text{orbit } f a$ 
 $\langle \text{proof} \rangle$ 

lemma order_apply_power [simp]:
   $\text{order } f ((f^{\wedge} n) \langle \$ \rangle a) = \text{order } f a$ 
 $\langle \text{proof} \rangle$ 

lemma orbit_inverse [simp]:
   $\text{orbit } (\text{inverse } f) = \text{orbit } f$ 
 $\langle \text{proof} \rangle$ 

lemma order_inverse [simp]:
   $\text{order } (\text{inverse } f) = \text{order } f$ 
 $\langle \text{proof} \rangle$ 

lemma orbit_disjoint:

```

```

assumes orbit f a ≠ orbit f b
shows orbit f a ∩ orbit f b = {}
⟨proof⟩

```

7.4 Swaps

```

lift_definition swap :: 'a ⇒ 'a ⇒ 'a perm (⟨_ ↔ _⟩)
  is λa b. transpose a b
⟨proof⟩

```

```

lemma apply_swap_simp [simp]:
⟨a ↔ b⟩ ⟨\$⟩ a = b
⟨a ↔ b⟩ ⟨\$⟩ b = a
⟨proof⟩

```

```

lemma apply_swap_same [simp]:
c ≠ a ⇒ c ≠ b ⇒ ⟨a ↔ b⟩ ⟨\$⟩ c = c
⟨proof⟩

```

```

lemma apply_swap_eq_iff [simp]:
⟨a ↔ b⟩ ⟨\$⟩ c = a ↔ c = b
⟨a ↔ b⟩ ⟨\$⟩ c = b ↔ c = a
⟨proof⟩

```

```

lemma swap_1 [simp]:
⟨a ↔ a⟩ = 1
⟨proof⟩

```

```

lemma swap_sym:
⟨b ↔ a⟩ = ⟨a ↔ b⟩
⟨proof⟩

```

```

lemma swap_self [simp]:
⟨a ↔ b⟩ * ⟨a ↔ b⟩ = 1
⟨proof⟩

```

```

lemma affected_swap:
a ≠ b ⇒ affected ⟨a ↔ b⟩ = {a, b}
⟨proof⟩

```

```

lemma inverse_swap [simp]:
inverse ⟨a ↔ b⟩ = ⟨a ↔ b⟩
⟨proof⟩

```

7.5 Permutations specified by cycles

```

fun cycle :: 'a list ⇒ 'a perm (⟨_⟩)
where
⟨[]⟩ = 1
| ⟨[a]⟩ = 1

```

$$| \langle a \# b \# as \rangle = \langle a \# as \rangle * \langle a \leftrightarrow b \rangle$$

We do not continue and restrict ourselves to syntax from here. See also introductory note.

7.6 Syntax

```

bundle no_permutation_syntax
begin
  no_notation swap   ((\_\leftrightarrow\_))
  no_notation cycle  ((\_\_))
  no_notation apply  (infixl \$ 999)
end

bundle permutation_syntax
begin
  notation swap   ((\_\leftrightarrow\_))
  notation cycle  ((\_\_))
  notation apply  (infixl \$ 999)
end

unbundle no_permutation_syntax
end

```

8 Permutation orbits

```

theory Orbit
imports
  HOL-Library.FuncSet
  HOL-Combinatorics.Permutations
begin

```

8.1 Orbits and cyclic permutations

```

inductive_set orbit :: ('a ⇒ 'a) ⇒ 'a ⇒ 'a set for f x where
  base: f x ∈ orbit f x |
  step: y ∈ orbit f x ⇒ f y ∈ orbit f x

definition cyclic_on :: ('a ⇒ 'a) ⇒ 'a set ⇒ bool where
  cyclic_on f S ↔ (∃ s ∈ S. S = orbit f s)

lemma orbit_altdef: orbit f x = {(f ^ n) x | n. 0 < n} (is ?L = ?R)
  ⟨proof⟩

lemma orbit_trans:
  assumes s ∈ orbit f t t ∈ orbit f u shows s ∈ orbit f u
  ⟨proof⟩

```

```

lemma orbit_subset:
  assumes  $s \in \text{orbit } f (f t)$  shows  $s \in \text{orbit } f t$ 
   $\langle proof \rangle$ 

lemma orbit_sim_step:
  assumes  $s \in \text{orbit } f t$  shows  $f s \in \text{orbit } f (f t)$ 
   $\langle proof \rangle$ 

lemma orbit_step:
  assumes  $y \in \text{orbit } f x$   $f x \neq y$  shows  $y \in \text{orbit } f (f x)$ 
   $\langle proof \rangle$ 

lemma self_in_orbit_trans:
  assumes  $s \in \text{orbit } f s$   $t \in \text{orbit } f s$  shows  $t \in \text{orbit } f t$ 
   $\langle proof \rangle$ 

lemma orbit_swap:
  assumes  $s \in \text{orbit } f s$   $t \in \text{orbit } f s$  shows  $s \in \text{orbit } f t$ 
   $\langle proof \rangle$ 

lemma permutation_self_in_orbit:
  assumes  $\text{permutation } f$  shows  $s \in \text{orbit } f s$ 
   $\langle proof \rangle$ 

lemma orbit_altdef_self_in:
  assumes  $s \in \text{orbit } f s$  shows  $\text{orbit } f s = \{(f^{\wedge n}) s \mid n. \text{True}\}$ 
   $\langle proof \rangle$ 

lemma orbit_altdef_permutation:
  assumes  $\text{permutation } f$  shows  $\text{orbit } f s = \{(f^{\wedge n}) s \mid n. \text{True}\}$ 
   $\langle proof \rangle$ 

lemma orbit_altdef_bounded:
  assumes  $(f^{\wedge n}) s = s$   $0 < n$  shows  $\text{orbit } f s = \{(f^{\wedge m}) s \mid m. m < n\}$ 
   $\langle proof \rangle$ 

lemma funpow_in_orbit:
  assumes  $s \in \text{orbit } f t$  shows  $(f^{\wedge n}) s \in \text{orbit } f t$ 
   $\langle proof \rangle$ 

lemma finite_orbit:
  assumes  $s \in \text{orbit } f s$  shows  $\text{finite } (\text{orbit } f s)$ 
   $\langle proof \rangle$ 

lemma self_in_orbit_step:
  assumes  $s \in \text{orbit } f s$  shows  $\text{orbit } f (f s) = \text{orbit } f s$ 
   $\langle proof \rangle$ 

lemma permutation_orbit_step:

```

assumes *permutation f shows orbit f (f s) = orbit f s*
(proof)

lemma *orbit_nonempty:*
orbit f s ≠ {}
(proof)

lemma *orbit_inv_eq:*
assumes *permutation f*
shows orbit (inv f) x = orbit f x (is ?L = ?R)
(proof)

lemma *cyclic_on_alldef:*
cyclic_on f S ↔ S ≠ {} ∧ (∀ s ∈ S. S = orbit f s)
(proof)

lemma *cyclic_on_funpow_in:*
assumes *cyclic_on f S s ∈ S shows (f^n) s ∈ S*
(proof)

lemma *finite_cyclic_on:*
assumes *cyclic_on f S shows finite S*
(proof)

lemma *cyclic_on_singleI:*
assumes *s ∈ S S = orbit f s shows cyclic_on f S*
(proof)

lemma *cyclic_on_inI:*
assumes *cyclic_on f S s ∈ S shows f s ∈ S*
(proof)

lemma *orbit_inverse:*
assumes *self: a ∈ orbit g a*
and eq: ∀x. x ∈ orbit g a ⇒ g'(f x) = f(g x)
shows f ` orbit g a = orbit g'(f a) (is ?L = ?R)
(proof)

lemma *cyclic_on_image:*
assumes *cyclic_on f S*
assumes *∀x. x ∈ S ⇒ g(h x) = h(f x)*
shows cyclic_on g(h ` S)
(proof)

lemma *cyclic_on_f_in:*
assumes *f permutes S cyclic_on f A f x ∈ A*
shows x ∈ A
(proof)

```

lemma orbit_cong0:
  assumes  $x \in A$   $f \in A \rightarrow A$   $\wedge y \in A \Rightarrow f y = g y$  shows  $\text{orbit } f x = \text{orbit } g x$ 
  proof

lemma orbit_cong:
  assumes self_in:  $t \in \text{orbit } f t$  and eq:  $\bigwedge s. s \in \text{orbit } f t \Rightarrow g s = f s$ 
  shows  $\text{orbit } g t = \text{orbit } f t$ 
  proof

lemma cyclic_cong:
  assumes  $\bigwedge s. s \in S \Rightarrow f s = g s$  shows  $\text{cyclic\_on } f S = \text{cyclic\_on } g S$ 
  proof

lemma permutes_comp_preserves_cyclic1:
  assumes  $g \text{ permutes } B$   $\text{cyclic\_on } f C$ 
  assumes  $A \cap B = \{\}$   $C \subseteq A$ 
  shows  $\text{cyclic\_on } (f \circ g) C$ 
  proof

lemma permutes_comp_preserves_cyclic2:
  assumes  $f \text{ permutes } A$   $\text{cyclic\_on } g C$ 
  assumes  $A \cap B = \{\}$   $C \subseteq B$ 
  shows  $\text{cyclic\_on } (f \circ g) C$ 
  proof

lemma permutes_orbit_subset:
  assumes  $f \text{ permutes } S$   $x \in S$  shows  $\text{orbit } f x \subseteq S$ 
  proof

lemma cyclic_on_orbit':
  assumes permutation f shows  $\text{cyclic\_on } f (\text{orbit } f x)$ 
  proof

lemma cyclic_on_orbit:
  assumes  $f \text{ permutes } S$  finite  $S$  shows  $\text{cyclic\_on } f (\text{orbit } f x)$ 
  proof

lemma orbit_cyclic_eq3:
  assumes  $\text{cyclic\_on } f S$   $y \in S$  shows  $\text{orbit } f y = S$ 
  proof

lemma orbit_eq_singleton_iff:  $\text{orbit } f x = \{x\} \longleftrightarrow f x = x$  (is ?L  $\longleftrightarrow$  ?R)
  proof

lemma eq_on_cyclic_on_iff1:
  assumes  $\text{cyclic\_on } f S$   $x \in S$ 
  obtains  $f x \in S$   $f x = x \longleftrightarrow \text{card } S = 1$ 
  proof

```

```

lemma orbit_eqI:
   $y = f x \implies y \in \text{orbit } f x$ 
   $z = f y \implies y \in \text{orbit } f x \implies z \in \text{orbit } f x$ 
   $\langle proof \rangle$ 

8.2 Decomposition of arbitrary permutations

definition perm_restrict :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a set  $\Rightarrow$  ('a  $\Rightarrow$  'a) where
  perm_restrict f S x  $\equiv$  if  $x \in S$  then  $f x$  else  $x$ 

lemma perm_restrict_comp:
  assumes  $A \cap B = \{\}$  cyclic_on f B
  shows perm_restrict f A o perm_restrict f B = perm_restrict f (A  $\cup$  B)
   $\langle proof \rangle$ 

lemma perm_restrict_simp:
   $x \in S \implies \text{perm\_restrict } f S x = f x$ 
   $x \notin S \implies \text{perm\_restrict } f S x = x$ 
   $\langle proof \rangle$ 

lemma perm_restrict_perm_restrict:
  perm_restrict (perm_restrict f A) B = perm_restrict f (A  $\cap$  B)
   $\langle proof \rangle$ 

lemma perm_restrict_union:
  assumes perm_restrict f A permutes A perm_restrict f B permutes B  $A \cap B = \{\}$ 
  shows perm_restrict f A o perm_restrict f B = perm_restrict f (A  $\cup$  B)
   $\langle proof \rangle$ 

lemma perm_restrict_id[simp]:
  assumes f permutes S shows perm_restrict f S = f
   $\langle proof \rangle$ 

lemma cyclic_on_perm_restrict:
  cyclic_on (perm_restrict f S) S  $\longleftrightarrow$  cyclic_on f S
   $\langle proof \rangle$ 

lemma perm_restrict_diff_cyclic:
  assumes f permutes S cyclic_on f A
  shows perm_restrict f (S - A) permutes (S - A)
   $\langle proof \rangle$ 

lemma permutes_decompose:
  assumes f permutes S finite S
  shows  $\exists C. (\forall c \in C. \text{cyclic\_on } f c) \wedge \bigcup C = S \wedge (\forall c1 \in C. \forall c2 \in C. c1 \neq c2 \implies c1 \cap c2 = \{\})$ 
   $\langle proof \rangle$ 

```

8.3 Function-power distance between values

definition *funpow_dist* :: $('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{nat}$ **where**

funpow_dist f x y \equiv LEAST n . $(f^{\wedge n}) x = y$

abbreviation *funpow_dist1* :: $('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{nat}$ **where**

funpow_dist1 f x y \equiv Suc (*funpow_dist f (f x) y*)

lemma *funpow_dist_0*:

assumes *x = y* **shows** *funpow_dist f x y = 0*

{proof}

lemma *funpow_dist_least*:

assumes $n < \text{funpow_dist } f x y$ **shows** $(f^{\wedge n}) x \neq y$

{proof}

lemma *funpow_dist1_least*:

assumes $0 < n$ $n < \text{funpow_dist1 } f x y$ **shows** $(f^{\wedge n}) x \neq y$

{proof}

lemma *funpow_dist_prop*:

$y \in \text{orbit } f x \implies (f^{\wedge \text{funpow_dist } f x y}) x = y$

{proof}

lemma *funpow_dist_0_eq*:

assumes $y \in \text{orbit } f x$ **shows** *funpow_dist f x y = 0* $\longleftrightarrow x = y$

{proof}

lemma *funpow_dist_step*:

assumes $x \neq y$ $y \in \text{orbit } f x$ **shows** *funpow_dist f x y = Suc (funpow_dist f (x) y)*

{proof}

lemma *funpow_dist1_prop*:

assumes $y \in \text{orbit } f x$ **shows** $(f^{\wedge \text{funpow_dist1 } f x y}) x = y$

{proof}

lemma *funpow_neq_less_funpow_dist*:

assumes $y \in \text{orbit } f x$ $m \leq \text{funpow_dist } f x y$ $n \leq \text{funpow_dist } f x y$ $m \neq n$

shows $(f^{\wedge m}) x \neq (f^{\wedge n}) x$

{proof}

lemma *funpow_neq_less_funpow_dist1*:

assumes $y \in \text{orbit } f x$ $m < \text{funpow_dist1 } f x y$ $n < \text{funpow_dist1 } f x y$ $m \neq n$

shows $(f^{\wedge m}) x \neq (f^{\wedge n}) x$

{proof}

lemma *inj_on_funpow_dist*:

```

assumes  $y \in \text{orbit } f x$  shows  $\text{inj\_on } (\lambda n. (f^{\wedge n}) x) \{0..funpow\_dist f x y\}$ 
⟨proof⟩

lemma inj_on_funpow_dist1:
assumes  $y \in \text{orbit } f x$  shows  $\text{inj\_on } (\lambda n. (f^{\wedge n}) x) \{0..<funpow\_dist1 f x y\}$ 
⟨proof⟩

lemma orbit_conv_funpow_dist1:
assumes  $x \in \text{orbit } f x$ 
shows  $\text{orbit } f x = (\lambda n. (f^{\wedge n}) x) ` \{0..<funpow\_dist1 f x x\}$  (is ?L = ?R)
⟨proof⟩

lemma funpow_dist1_prop1:
assumes  $(f^{\wedge n}) x = y \ 0 < n$  shows  $(f^{\wedge n} funpow\_dist1 f x y) x = y$ 
⟨proof⟩

lemma funpow_dist1_dist:
assumes  $funpow\_dist1 f x y < funpow\_dist1 f x z$ 
assumes  $\{y,z\} \subseteq \text{orbit } f x$ 
shows  $funpow\_dist1 f x z = funpow\_dist1 f x y + funpow\_dist1 f y z$  (is ?L = ?R)
⟨proof⟩

lemma funpow_dist1_le_self:
assumes  $(f^{\wedge m}) x = x \ 0 < m \ y \in \text{orbit } f x$ 
shows  $funpow\_dist1 f x y \leq m$ 
⟨proof⟩

end

```

9 Basic combinatorics in Isabelle/HOL (and the Archive of Formal Proofs)

```

theory Combinatorics
imports
  Transposition
  Stirling
  Permutations
  List_Permutation
  Multiset_Permutations
  Cycles
  Perm
  Orbits
begin

end

```