

Lattices and Orders in Isabelle/HOL

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Abstract

We consider abstract structures of orders and lattices. Many fundamental concepts of lattice theory are developed, including dual structures, properties of bounds versus algebraic laws, lattice operations versus set-theoretic ones etc. We also give example instantiations of lattices and orders, such as direct products and function spaces. Well-known properties are demonstrated, like the Knaster-Tarski Theorem for complete lattices.

This formal theory development may serve as an example of applying Isabelle/HOL to the domain of mathematical reasoning about “axiomatic” structures. Apart from the simply-typed classical set-theory of HOL, we employ Isabelle’s system of axiomatic type classes for expressing structures and functors in a light-weight manner. Proofs are expressed in the Isar language for readable formal proof, while aiming at its “best-style” of representing formal reasoning.

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1 Orders

theory *Orders* **imports** *Main* **begin**

1.1 Ordered structures

We define several classes of ordered structures over some type $'a$ with relation $\sqsubseteq :: 'a \Rightarrow 'a \Rightarrow \text{bool}$. For a *quasi-order* that relation is required to be reflexive and transitive, for a *partial order* it also has to be anti-symmetric, while for a *linear order* all elements are required to be related (in either direction).

```

class leq =
  fixes leq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infixl  $\langle \sqsubseteq \rangle$  50)

class quasi-order = leq +
  assumes leq-refl [intro?]:  $x \sqsubseteq x$ 
  assumes leq-trans [trans]:  $x \sqsubseteq y \Longrightarrow y \sqsubseteq z \Longrightarrow x \sqsubseteq z$ 

class partial-order = quasi-order +
  assumes leq-antisym [trans]:  $x \sqsubseteq y \Longrightarrow y \sqsubseteq x \Longrightarrow x = y$ 

class linear-order = partial-order +
  assumes leq-linear:  $x \sqsubseteq y \vee y \sqsubseteq x$ 

lemma linear-order-cases:
  ( $(x :: 'a :: \text{linear-order}) \sqsubseteq y \Longrightarrow C$ )  $\Longrightarrow$  ( $y \sqsubseteq x \Longrightarrow C$ )  $\Longrightarrow$   $C$ 
  by (insert leq-linear) blast

```

1.2 Duality

The *dual* of an ordered structure is an isomorphic copy of the underlying type, with the \sqsubseteq relation defined as the inverse of the original one.

datatype $'a$ *dual* = *dual* $'a$

```

primrec undual :: 'a dual  $\Rightarrow$  'a where
  undual-dual: undual (dual  $x$ ) =  $x$ 

```

```

instantiation dual :: (leq) leq
begin

```

```

definition
  leq-dual-def:  $x' \sqsubseteq y' \equiv \text{undual } y' \sqsubseteq \text{undual } x'$ 

```

```

instance ..

```

```

end

```

```

lemma undual-leq [iff?]: ( $\text{undual } x' \sqsubseteq \text{undual } y'$ ) = ( $y' \sqsubseteq x'$ )
  by (simp add: leq-dual-def)

```

lemma *dual-leq* [iff?]: $(\text{dual } x \sqsubseteq \text{dual } y) = (y \sqsubseteq x)$
by (*simp add: leq-dual-def*)

Functions *dual* and *undual* are inverse to each other; this entails the following fundamental properties.

lemma *dual-undual* [simp]: $\text{dual } (\text{undual } x') = x'$
by (*cases x'*) *simp*

lemma *undual-dual-id* [simp]: $\text{undual } o \text{ dual} = \text{id}$
by (*rule ext*) *simp*

lemma *dual-undual-id* [simp]: $\text{dual } o \text{ undual} = \text{id}$
by (*rule ext*) *simp*

Since *dual* (and *undual*) are both injective and surjective, the basic logical connectives (equality, quantification etc.) are transferred as follows.

lemma *undual-equality* [iff?]: $(\text{undual } x' = \text{undual } y') = (x' = y')$
by (*cases x'*, *cases y'*) *simp*

lemma *dual-equality* [iff?]: $(\text{dual } x = \text{dual } y) = (x = y)$
by *simp*

lemma *dual-ball* [iff?]: $(\forall x \in A. P (\text{dual } x)) = (\forall x' \in \text{dual } 'A. P x')$

proof

assume *a*: $\forall x \in A. P (\text{dual } x)$

show $\forall x' \in \text{dual } 'A. P x'$

proof

fix *x'* **assume** *x'*: $x' \in \text{dual } 'A$

have $\text{undual } x' \in A$

proof –

from *x'* **have** $\text{undual } x' \in \text{undual } ' \text{dual } ' A$ **by** *simp*

thus $\text{undual } x' \in A$ **by** (*simp add: image-comp*)

qed

with *a* **have** $P (\text{dual } (\text{undual } x'))$..

also have $\dots = x'$ **by** *simp*

finally show $P x'$.

qed

next

assume *a*: $\forall x' \in \text{dual } 'A. P x'$

show $\forall x \in A. P (\text{dual } x)$

proof

fix *x* **assume** $x \in A$

hence $\text{dual } x \in \text{dual } 'A$ **by** *simp*

with *a* **show** $P (\text{dual } x)$..

qed

qed

```

lemma range-dual [simp]: surj dual
proof –
  have  $\bigwedge x'. \text{dual } (\text{undual } x') = x'$  by simp
  thus surj dual by (rule surjI)
qed

```

```

lemma dual-all [iff?]:  $(\forall x. P (\text{dual } x)) = (\forall x'. P x')$ 
proof –
  have  $(\forall x \in \text{UNIV}. P (\text{dual } x)) = (\forall x' \in \text{dual } ' \text{UNIV}. P x')$ 
    by (rule dual-ball)
  thus ?thesis by simp
qed

```

```

lemma dual-ex:  $(\exists x. P (\text{dual } x)) = (\exists x'. P x')$ 
proof –
  have  $(\forall x. \neg P (\text{dual } x)) = (\forall x'. \neg P x')$ 
    by (rule dual-all)
  thus ?thesis by blast
qed

```

```

lemma dual-Collect:  $\{\text{dual } x \mid x. P (\text{dual } x)\} = \{x'. P x'\}$ 
proof –
  have  $\{\text{dual } x \mid x. P (\text{dual } x)\} = \{x'. \exists x''. x' = x'' \wedge P x''\}$ 
    by (simp only: dual-ex [symmetric])
  thus ?thesis by blast
qed

```

1.3 Transforming orders

1.3.1 Duals

The classes of quasi, partial, and linear orders are all closed under formation of dual structures.

```

instance dual :: (quasi-order) quasi-order
proof
  fix x' y' z' :: 'a::quasi-order dual
  have undual x'  $\sqsubseteq$  undual x' .. thus x'  $\sqsubseteq$  x' ..
  assume y'  $\sqsubseteq$  z' hence undual z'  $\sqsubseteq$  undual y' ..
  also assume x'  $\sqsubseteq$  y' hence undual y'  $\sqsubseteq$  undual x' ..
  finally show x'  $\sqsubseteq$  z' ..
qed

```

```

instance dual :: (partial-order) partial-order
proof
  fix x' y' :: 'a::partial-order dual
  assume y'  $\sqsubseteq$  x' hence undual x'  $\sqsubseteq$  undual y' ..
  also assume x'  $\sqsubseteq$  y' hence undual y'  $\sqsubseteq$  undual x' ..
  finally show x' = y' ..
qed

```

```

instance dual :: (linear-order) linear-order
proof
  fix x' y' :: 'a::linear-order dual
  show x'  $\sqsubseteq$  y'  $\vee$  y'  $\sqsubseteq$  x'
  proof (rule linear-order-cases)
    assume undual y'  $\sqsubseteq$  undual x'
    hence x'  $\sqsubseteq$  y' .. thus ?thesis ..
  next
    assume undual x'  $\sqsubseteq$  undual y'
    hence y'  $\sqsubseteq$  x' .. thus ?thesis ..
  qed
qed

```

1.3.2 Binary products

The classes of quasi and partial orders are closed under binary products. Note that the direct product of linear orders need *not* be linear in general.

```

instantiation prod :: (leq, leq) leq
begin

```

definition

leq-prod-def: $p \sqsubseteq q \equiv \text{fst } p \sqsubseteq \text{fst } q \wedge \text{snd } p \sqsubseteq \text{snd } q$

instance ..

end

lemma *leq-prodI* [*intro?*]:

$\text{fst } p \sqsubseteq \text{fst } q \implies \text{snd } p \sqsubseteq \text{snd } q \implies p \sqsubseteq q$
by (*unfold leq-prod-def*) *blast*

lemma *leq-prodE* [*elim?*]:

$p \sqsubseteq q \implies (\text{fst } p \sqsubseteq \text{fst } q \implies \text{snd } p \sqsubseteq \text{snd } q \implies C) \implies C$
by (*unfold leq-prod-def*) *blast*

instance prod :: (quasi-order, quasi-order) quasi-order

proof

fix p q r :: 'a::quasi-order \times 'b::quasi-order

show p \sqsubseteq p

proof

show *fst* p \sqsubseteq *fst* p ..

show *snd* p \sqsubseteq *snd* p ..

qed

assume *pq*: p \sqsubseteq q **and** *qr*: q \sqsubseteq r

show p \sqsubseteq r

proof

from *pq* **have** *fst* p \sqsubseteq *fst* q ..

also from *qr* **have** ... \sqsubseteq *fst* r ..

```

    finally show  $fst\ p \sqsubseteq fst\ r$  .
    from  $pq$  have  $snd\ p \sqsubseteq snd\ q$  ..
    also from  $qr$  have  $\dots \sqsubseteq snd\ r$  ..
    finally show  $snd\ p \sqsubseteq snd\ r$  .
qed
qed

instance prod :: (partial-order, partial-order) partial-order
proof
  fix  $p\ q :: 'a::partial-order \times 'b::partial-order$ 
  assume  $pq: p \sqsubseteq q$  and  $qp: q \sqsubseteq p$ 
  show  $p = q$ 
  proof
    from  $pq$  have  $fst\ p \sqsubseteq fst\ q$  ..
    also from  $qp$  have  $\dots \sqsubseteq fst\ p$  ..
    finally show  $fst\ p = fst\ q$  .
    from  $pq$  have  $snd\ p \sqsubseteq snd\ q$  ..
    also from  $qp$  have  $\dots \sqsubseteq snd\ p$  ..
    finally show  $snd\ p = snd\ q$  .
  qed
qed

```

1.3.3 General products

The classes of quasi and partial orders are closed under general products (function spaces). Note that the direct product of linear orders need *not* be linear in general.

```

instantiation fun :: (type, leq) leq
begin

```

```

definition
  leq-fun-def:  $f \sqsubseteq g \equiv \forall x. f\ x \sqsubseteq g\ x$ 

```

```

instance ..

```

```

end

```

```

lemma leq-funI [intro?]:  $(\bigwedge x. f\ x \sqsubseteq g\ x) \implies f \sqsubseteq g$ 
  by (unfold leq-fun-def) blast

```

```

lemma leq-funD [dest?]:  $f \sqsubseteq g \implies f\ x \sqsubseteq g\ x$ 
  by (unfold leq-fun-def) blast

```

```

instance fun :: (type, quasi-order) quasi-order
proof
  fix  $f\ g\ h :: 'a \Rightarrow 'b::quasi-order$ 
  show  $f \sqsubseteq f$ 
  proof

```

```

    fix x show f x  $\sqsubseteq$  f x ..
  qed
  assume fg: f  $\sqsubseteq$  g and gh: g  $\sqsubseteq$  h
  show f  $\sqsubseteq$  h
  proof
    fix x from fg have f x  $\sqsubseteq$  g x ..
    also from gh have ...  $\sqsubseteq$  h x ..
    finally show f x  $\sqsubseteq$  h x .
  qed
qed

instance fun :: (type, partial-order) partial-order
proof
  fix f g :: 'a  $\Rightarrow$  'b::partial-order
  assume fg: f  $\sqsubseteq$  g and gf: g  $\sqsubseteq$  f
  show f = g
  proof
    fix x from fg have f x  $\sqsubseteq$  g x ..
    also from gf have ...  $\sqsubseteq$  f x ..
    finally show f x = g x .
  qed
qed

end

```

2 Bounds

theory *Bounds* imports *Orders* begin

hide-const (open) *inf sup*

2.1 Infimum and supremum

Given a partial order, we define infimum (greatest lower bound) and supremum (least upper bound) wrt. \sqsubseteq for two and for any number of elements.

definition

is-inf :: 'a::partial-order \Rightarrow 'a \Rightarrow 'a \Rightarrow bool **where**
is-inf x y inf = (inf \sqsubseteq x \wedge inf \sqsubseteq y \wedge ($\forall z. z \sqsubseteq x \wedge z \sqsubseteq y \longrightarrow z \sqsubseteq$ inf))

definition

is-sup :: 'a::partial-order \Rightarrow 'a \Rightarrow 'a \Rightarrow bool **where**
is-sup x y sup = (x \sqsubseteq sup \wedge y \sqsubseteq sup \wedge ($\forall z. x \sqsubseteq z \wedge y \sqsubseteq z \longrightarrow sup \sqsubseteq z$))

definition

is-Inf :: 'a::partial-order set \Rightarrow 'a \Rightarrow bool **where**
is-Inf A inf = (($\forall x \in A. inf \sqsubseteq x$) \wedge ($\forall z. (\forall x \in A. z \sqsubseteq x) \longrightarrow z \sqsubseteq inf$))

definition

is-Sup :: 'a::partial-order set \Rightarrow 'a \Rightarrow bool **where**
is-Sup A sup = (($\forall x \in A. x \sqsubseteq$ sup) \wedge ($\forall z. (\forall x \in A. x \sqsubseteq z) \longrightarrow$ sup \sqsubseteq z))

These definitions entail the following basic properties of boundary elements.

lemma *is-infI* [*intro?*]: $inf \sqsubseteq x \Longrightarrow inf \sqsubseteq y \Longrightarrow$
 $(\bigwedge z. z \sqsubseteq x \Longrightarrow z \sqsubseteq y \Longrightarrow z \sqsubseteq inf) \Longrightarrow is-inf\ x\ y\ inf$
by (*unfold is-inf-def*) *blast*

lemma *is-inf-greatest* [*elim?*]:
 $is-inf\ x\ y\ inf \Longrightarrow z \sqsubseteq x \Longrightarrow z \sqsubseteq y \Longrightarrow z \sqsubseteq inf$
by (*unfold is-inf-def*) *blast*

lemma *is-inf-lower* [*elim?*]:
 $is-inf\ x\ y\ inf \Longrightarrow (inf \sqsubseteq x \Longrightarrow inf \sqsubseteq y \Longrightarrow C) \Longrightarrow C$
by (*unfold is-inf-def*) *blast*

lemma *is-supI* [*intro?*]: $x \sqsubseteq sup \Longrightarrow y \sqsubseteq sup \Longrightarrow$
 $(\bigwedge z. x \sqsubseteq z \Longrightarrow y \sqsubseteq z \Longrightarrow sup \sqsubseteq z) \Longrightarrow is-sup\ x\ y\ sup$
by (*unfold is-sup-def*) *blast*

lemma *is-sup-least* [*elim?*]:
 $is-sup\ x\ y\ sup \Longrightarrow x \sqsubseteq z \Longrightarrow y \sqsubseteq z \Longrightarrow sup \sqsubseteq z$
by (*unfold is-sup-def*) *blast*

lemma *is-sup-upper* [*elim?*]:
 $is-sup\ x\ y\ sup \Longrightarrow (x \sqsubseteq sup \Longrightarrow y \sqsubseteq sup \Longrightarrow C) \Longrightarrow C$
by (*unfold is-sup-def*) *blast*

lemma *is-InfI* [*intro?*]: $(\bigwedge x. x \in A \Longrightarrow inf \sqsubseteq x) \Longrightarrow$
 $(\bigwedge z. (\forall x \in A. z \sqsubseteq x) \Longrightarrow z \sqsubseteq inf) \Longrightarrow is-Inf\ A\ inf$
by (*unfold is-Inf-def*) *blast*

lemma *is-Inf-greatest* [*elim?*]:
 $is-Inf\ A\ inf \Longrightarrow (\bigwedge x. x \in A \Longrightarrow z \sqsubseteq x) \Longrightarrow z \sqsubseteq inf$
by (*unfold is-Inf-def*) *blast*

lemma *is-Inf-lower* [*dest?*]:
 $is-Inf\ A\ inf \Longrightarrow x \in A \Longrightarrow inf \sqsubseteq x$
by (*unfold is-Inf-def*) *blast*

lemma *is-SupI* [*intro?*]: $(\bigwedge x. x \in A \Longrightarrow x \sqsubseteq sup) \Longrightarrow$
 $(\bigwedge z. (\forall x \in A. x \sqsubseteq z) \Longrightarrow sup \sqsubseteq z) \Longrightarrow is-Sup\ A\ sup$
by (*unfold is-Sup-def*) *blast*

lemma *is-Sup-least* [*elim?*]:
 $is-Sup\ A\ sup \Longrightarrow (\bigwedge x. x \in A \Longrightarrow x \sqsubseteq z) \Longrightarrow sup \sqsubseteq z$

by (unfold is-Sup-def) blast

lemma *is-Sup-upper* [dest?]:

is-Sup A sup $\implies x \in A \implies x \sqsubseteq sup$

by (unfold is-Sup-def) blast

2.2 Duality

Infimum and supremum are dual to each other.

theorem *dual-inf* [iff?]:

is-inf (dual x) (dual y) (dual sup) = is-sup x y sup

by (simp add: is-inf-def is-sup-def dual-all [symmetric] dual-leq)

theorem *dual-sup* [iff?]:

is-sup (dual x) (dual y) (dual inf) = is-inf x y inf

by (simp add: is-inf-def is-sup-def dual-all [symmetric] dual-leq)

theorem *dual-Inf* [iff?]:

is-Inf (dual ‘ A) (dual sup) = is-Sup A sup

by (simp add: is-Inf-def is-Sup-def dual-all [symmetric] dual-leq)

theorem *dual-Sup* [iff?]:

is-Sup (dual ‘ A) (dual inf) = is-Inf A inf

by (simp add: is-Inf-def is-Sup-def dual-all [symmetric] dual-leq)

2.3 Uniqueness

Infima and suprema on partial orders are unique; this is mainly due to anti-symmetry of the underlying relation.

theorem *is-inf-uniq*: *is-inf x y inf* $\implies is-inf x y inf' \implies inf = inf'$

proof –

assume *inf*: *is-inf x y inf*

assume *inf'*: *is-inf x y inf'*

show ?thesis

proof (rule leq-antisym)

from *inf'* show *inf* $\sqsubseteq inf'$

proof (rule is-inf-greatest)

from *inf* show *inf* $\sqsubseteq x$..

from *inf* show *inf* $\sqsubseteq y$..

qed

from *inf* show *inf'* $\sqsubseteq inf$

proof (rule is-inf-greatest)

from *inf'* show *inf'* $\sqsubseteq x$..

from *inf'* show *inf'* $\sqsubseteq y$..

qed

qed

qed

theorem *is-sup-uniq*: $is-sup\ x\ y\ sup \implies is-sup\ x\ y\ sup' \implies sup = sup'$

proof –

assume *sup*: $is-sup\ x\ y\ sup$ **and** *sup'*: $is-sup\ x\ y\ sup'$

have $dual\ sup = dual\ sup'$

proof (*rule is-inf-uniq*)

from *sup* **show** $is-inf\ (dual\ x)\ (dual\ y)\ (dual\ sup) ..$

from *sup'* **show** $is-inf\ (dual\ x)\ (dual\ y)\ (dual\ sup') ..$

qed

then show $sup = sup' ..$

qed

theorem *is-Inf-uniq*: $is-Inf\ A\ inf \implies is-Inf\ A\ inf' \implies inf = inf'$

proof –

assume *inf*: $is-Inf\ A\ inf$

assume *inf'*: $is-Inf\ A\ inf'$

show *?thesis*

proof (*rule leq-antisym*)

from *inf'* **show** $inf \sqsubseteq inf'$

proof (*rule is-Inf-greatest*)

fix *x* **assume** $x \in A$

with *inf* **show** $inf \sqsubseteq x ..$

qed

from *inf* **show** $inf' \sqsubseteq inf$

proof (*rule is-Inf-greatest*)

fix *x* **assume** $x \in A$

with *inf'* **show** $inf' \sqsubseteq x ..$

qed

qed

qed

theorem *is-Sup-uniq*: $is-Sup\ A\ sup \implies is-Sup\ A\ sup' \implies sup = sup'$

proof –

assume *sup*: $is-Sup\ A\ sup$ **and** *sup'*: $is-Sup\ A\ sup'$

have $dual\ sup = dual\ sup'$

proof (*rule is-Inf-uniq*)

from *sup* **show** $is-Inf\ (dual\ 'A)\ (dual\ sup) ..$

from *sup'* **show** $is-Inf\ (dual\ 'A)\ (dual\ sup') ..$

qed

then show $sup = sup' ..$

qed

2.4 Related elements

The binary bound of related elements is either one of the argument.

theorem *is-inf-related* [*elim?*]: $x \sqsubseteq y \implies is-inf\ x\ y\ x$

proof –

assume $x \sqsubseteq y$

show *?thesis*

proof

```

  show  $x \sqsubseteq x$  ..
  show  $x \sqsubseteq y$  by fact
  fix  $z$  assume  $z \sqsubseteq x$  and  $z \sqsubseteq y$  show  $z \sqsubseteq x$  by fact
qed
qed

```

```

theorem is-sup-related [elim?]:  $x \sqsubseteq y \implies is-sup\ x\ y\ y$ 
proof -
  assume  $x \sqsubseteq y$ 
  show ?thesis
  proof
    show  $x \sqsubseteq y$  by fact
    show  $y \sqsubseteq y$  ..
    fix  $z$  assume  $x \sqsubseteq z$  and  $y \sqsubseteq z$ 
    show  $y \sqsubseteq z$  by fact
  qed
qed

```

2.5 General versus binary bounds

General bounds of two-element sets coincide with binary bounds.

```

theorem is-Inf-binary:  $is-Inf\ \{x, y\}\ inf = is-inf\ x\ y\ inf$ 
proof -
  let  $?A = \{x, y\}$ 
  show ?thesis
  proof
    assume is-Inf:  $is-Inf\ ?A\ inf$ 
    show  $is-inf\ x\ y\ inf$ 
    proof
      have  $x \in ?A$  by simp
      with is-Inf show  $inf \sqsubseteq x$  ..
      have  $y \in ?A$  by simp
      with is-Inf show  $inf \sqsubseteq y$  ..
      fix  $z$  assume  $zx: z \sqsubseteq x$  and  $zy: z \sqsubseteq y$ 
      from is-Inf show  $z \sqsubseteq inf$ 
      proof (rule is-Inf-greatest)
        fix  $a$  assume  $a \in ?A$ 
        then have  $a = x \vee a = y$  by blast
        then show  $z \sqsubseteq a$ 
      proof
        assume  $a = x$ 
        with  $zx$  show ?thesis by simp
      next
        assume  $a = y$ 
        with  $zy$  show ?thesis by simp
      qed
    qed
  qed
qed
qed
next

```

```

assume is-inf: is-inf  $x$   $y$  inf
show is-Inf  $\{x, y\}$  inf
proof
  fix  $a$  assume  $a \in ?A$ 
  then have  $a = x \vee a = y$  by blast
  then show  $inf \sqsubseteq a$ 
  proof
    assume  $a = x$ 
    also from is-inf have  $inf \sqsubseteq x$  ..
    finally show ?thesis .
  next
    assume  $a = y$ 
    also from is-inf have  $inf \sqsubseteq y$  ..
    finally show ?thesis .
  qed
next
  fix  $z$  assume  $z: \forall a \in ?A. z \sqsubseteq a$ 
  from is-inf show  $z \sqsubseteq inf$ 
  proof (rule is-inf-greatest)
    from  $z$  show  $z \sqsubseteq x$  by blast
    from  $z$  show  $z \sqsubseteq y$  by blast
  qed
qed
qed
qed

```

theorem *is-Sup-binary*: *is-Sup* $\{x, y\}$ *sup* = *is-sup* x y *sup*
proof –
have *is-Sup* $\{x, y\}$ *sup* = *is-Inf* (*dual* ‘ $\{x, y\}$) (*dual sup*)
by (*simp only: dual-Inf*)
also have *dual* ‘ $\{x, y\}$ = $\{dual\ x, dual\ y\}$
by *simp*
also have *is-Inf* ... (*dual sup*) = *is-inf* (*dual x*) (*dual y*) (*dual sup*)
by (*rule is-Inf-binary*)
also have ... = *is-sup* x y *sup*
by (*simp only: dual-inf*)
finally show *?thesis* .
qed

2.6 Connecting general bounds

Either kind of general bounds is sufficient to express the other. The least upper bound (supremum) is the same as the the greatest lower bound of the set of all upper bounds; the dual statements holds as well; the dual statement holds as well.

```

theorem Inf-Sup: is-Inf  $\{b. \forall a \in A. a \sqsubseteq b\}$  sup  $\implies$  is-Sup  $A$  sup
proof –
  let  $?B = \{b. \forall a \in A. a \sqsubseteq b\}$ 

```

```

assume is-Inf: is-Inf ?B sup
show is-Sup A sup
proof
  fix x assume x: x ∈ A
  from is-Inf show x ⊑ sup
  proof (rule is-Inf-greatest)
    fix y assume y ∈ ?B
    then have  $\forall a \in A. a \sqsubseteq y$  ..
    from this x show x ⊑ y ..
  qed
next
  fix z assume  $\forall x \in A. x \sqsubseteq z$ 
  then have z ∈ ?B ..
  with is-Inf show sup ⊑ z ..
  qed
qed

```

```

theorem Sup-Inf: is-Sup {b.  $\forall a \in A. b \sqsubseteq a$ } inf  $\implies$  is-Inf A inf
proof –
  assume is-Sup {b.  $\forall a \in A. b \sqsubseteq a$ } inf
  then have is-Inf (dual ‘ {b.  $\forall a \in A. \text{dual } a \sqsubseteq \text{dual } b$ } ) (dual inf)
    by (simp only: dual-Inf dual-leg)
  also have dual ‘ {b.  $\forall a \in A. \text{dual } a \sqsubseteq \text{dual } b$ } = {b'.  $\forall a' \in \text{dual } 'A. a' \sqsubseteq b'$ }
    by (auto iff: dual-ball dual-Collect simp add: image-Collect)
  finally have is-Inf ... (dual inf) .
  then have is-Sup (dual ‘ A) (dual inf)
    by (rule Inf-Sup)
  then show ?thesis ..
qed

```

end

3 Lattices

theory *Lattice* **imports** *Bounds* **begin**

3.1 Lattice operations

A *lattice* is a partial order with infimum and supremum of any two elements (thus any *finite* number of elements have bounds as well).

```

class lattice =
  assumes ex-inf:  $\exists \text{inf}. \text{is-inf } x \ y \ \text{inf}$ 
  assumes ex-sup:  $\exists \text{sup}. \text{is-sup } x \ y \ \text{sup}$ 

```

The \sqcap (meet) and \sqcup (join) operations select such infimum and supremum elements.

definition

```

meet :: 'a::lattice  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\langle \sqcap \rangle$  70) where

```

$$x \sqcap y = (\text{THE } \text{inf. } \text{is-inf } x \ y \ \text{inf})$$

definition

$$\begin{aligned} \text{join} &:: 'a::\text{lattice} \Rightarrow 'a \Rightarrow 'a \quad (\text{infixl } \langle \sqcup \rangle \ 65) \quad \text{where} \\ x \sqcup y &= (\text{THE } \text{sup. } \text{is-sup } x \ y \ \text{sup}) \end{aligned}$$

Due to unique existence of bounds, the lattice operations may be exhibited as follows.

lemma *meet-equality* [elim?]: $\text{is-inf } x \ y \ \text{inf} \Longrightarrow x \sqcap y = \text{inf}$

proof (*unfold meet-def*)

assume $\text{is-inf } x \ y \ \text{inf}$

then show $(\text{THE } \text{inf. } \text{is-inf } x \ y \ \text{inf}) = \text{inf}$

by (*rule the-equality*) (*rule is-inf-uniq* [*OF* - $\langle \text{is-inf } x \ y \ \text{inf} \rangle$])

qed

lemma *meetI* [intro?]:

$$\text{inf} \sqsubseteq x \Longrightarrow \text{inf} \sqsubseteq y \Longrightarrow (\bigwedge z. z \sqsubseteq x \Longrightarrow z \sqsubseteq y \Longrightarrow z \sqsubseteq \text{inf}) \Longrightarrow x \sqcap y = \text{inf}$$

by (*rule meet-equality*, *rule is-infI*) *blast+*

lemma *join-equality* [elim?]: $\text{is-sup } x \ y \ \text{sup} \Longrightarrow x \sqcup y = \text{sup}$

proof (*unfold join-def*)

assume $\text{is-sup } x \ y \ \text{sup}$

then show $(\text{THE } \text{sup. } \text{is-sup } x \ y \ \text{sup}) = \text{sup}$

by (*rule the-equality*) (*rule is-sup-uniq* [*OF* - $\langle \text{is-sup } x \ y \ \text{sup} \rangle$])

qed

lemma *joinI* [intro?]: $x \sqsubseteq \text{sup} \Longrightarrow y \sqsubseteq \text{sup} \Longrightarrow$

$$(\bigwedge z. x \sqsubseteq z \Longrightarrow y \sqsubseteq z \Longrightarrow \text{sup} \sqsubseteq z) \Longrightarrow x \sqcup y = \text{sup}$$

by (*rule join-equality*, *rule is-supI*) *blast+*

The \sqcap and \sqcup operations indeed determine bounds on a lattice structure.

lemma *is-inf-meet* [intro?]: $\text{is-inf } x \ y \ (x \sqcap y)$

proof (*unfold meet-def*)

from *ex-inf* **obtain** *inf* **where** $\text{is-inf } x \ y \ \text{inf} \ ..$

then show $\text{is-inf } x \ y \ (\text{THE } \text{inf. } \text{is-inf } x \ y \ \text{inf})$

by (*rule theI*) (*rule is-inf-uniq* [*OF* - $\langle \text{is-inf } x \ y \ \text{inf} \rangle$])

qed

lemma *meet-greatest* [intro?]: $z \sqsubseteq x \Longrightarrow z \sqsubseteq y \Longrightarrow z \sqsubseteq x \sqcap y$

by (*rule is-inf-greatest*) (*rule is-inf-meet*)

lemma *meet-lower1* [intro?]: $x \sqcap y \sqsubseteq x$

by (*rule is-inf-lower*) (*rule is-inf-meet*)

lemma *meet-lower2* [intro?]: $x \sqcap y \sqsubseteq y$

by (*rule is-inf-lower*) (*rule is-inf-meet*)

lemma *is-sup-join* [intro?]: $\text{is-sup } x \ y \ (x \sqcup y)$

```

proof (unfold join-def)
  from ex-sup obtain sup where is-sup x y sup ..
  then show is-sup x y (THE sup. is-sup x y sup)
    by (rule theI) (rule is-sup-uniq [OF - ⟨is-sup x y sup⟩])
qed

```

```

lemma join-least [intro?]:  $x \sqsubseteq z \implies y \sqsubseteq z \implies x \sqcup y \sqsubseteq z$ 
  by (rule is-sup-least) (rule is-sup-join)

```

```

lemma join-upper1 [intro?]:  $x \sqsubseteq x \sqcup y$ 
  by (rule is-sup-upper) (rule is-sup-join)

```

```

lemma join-upper2 [intro?]:  $y \sqsubseteq x \sqcup y$ 
  by (rule is-sup-upper) (rule is-sup-join)

```

3.2 Duality

The class of lattices is closed under formation of dual structures. This means that for any theorem of lattice theory, the dualized statement holds as well; this important fact simplifies many proofs of lattice theory.

```

instance dual :: (lattice) lattice
proof
  fix x' y' :: 'a::lattice dual
  show  $\exists$  inf'. is-inf x' y' inf'
  proof -
    have  $\exists$  sup. is-sup (undual x') (undual y') sup by (rule ex-sup)
    then have  $\exists$  sup. is-inf (dual (undual x')) (dual (undual y')) (dual sup)
      by (simp only: dual-inf)
    then show ?thesis by (simp add: dual-ex [symmetric])
  qed
  show  $\exists$  sup'. is-sup x' y' sup'
  proof -
    have  $\exists$  inf. is-inf (undual x') (undual y') inf by (rule ex-inf)
    then have  $\exists$  inf. is-sup (dual (undual x')) (dual (undual y')) (dual inf)
      by (simp only: dual-sup)
    then show ?thesis by (simp add: dual-ex [symmetric])
  qed
qed

```

Apparently, the \sqcap and \sqcup operations are dual to each other.

```

theorem dual-meet [intro?]:  $\text{dual } (x \sqcap y) = \text{dual } x \sqcup \text{dual } y$ 
proof -
  from is-inf-meet have is-sup (dual x) (dual y) (dual (x  $\sqcap$  y)) ..
  then have  $\text{dual } x \sqcup \text{dual } y = \text{dual } (x \sqcap y)$  ..
  then show ?thesis ..
qed

```

```

theorem dual-join [intro?]:  $\text{dual } (x \sqcup y) = \text{dual } x \sqcap \text{dual } y$ 

```


proof –

from *is-sup-join* **have** *is-inf* (*dual* x) (*dual* y) (*dual* ($x \sqcup y$)) ..

then have $\text{dual } x \sqcap \text{dual } y = \text{dual } (x \sqcup y)$..

then show *?thesis* ..

qed

3.3 Algebraic properties

The \sqcap and \sqcup operations have the following characteristic algebraic properties: associative (A), commutative (C), and absorptive (AB).

theorem *meet-assoc*: $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$

proof

show $x \sqcap (y \sqcap z) \sqsubseteq x \sqcap y$

proof

show $x \sqcap (y \sqcap z) \sqsubseteq x$..

show $x \sqcap (y \sqcap z) \sqsubseteq y$

proof –

have $x \sqcap (y \sqcap z) \sqsubseteq y \sqcap z$..

also have $\dots \sqsubseteq y$..

finally show *?thesis* .

qed

qed

show $x \sqcap (y \sqcap z) \sqsubseteq z$

proof –

have $x \sqcap (y \sqcap z) \sqsubseteq y \sqcap z$..

also have $\dots \sqsubseteq z$..

finally show *?thesis* .

qed

fix w **assume** $w \sqsubseteq x \sqcap y$ **and** $w \sqsubseteq z$

show $w \sqsubseteq x \sqcap (y \sqcap z)$

proof

show $w \sqsubseteq x$

proof –

have $w \sqsubseteq x \sqcap y$ **by fact**

also have $\dots \sqsubseteq x$..

finally show *?thesis* .

qed

show $w \sqsubseteq y \sqcap z$

proof

show $w \sqsubseteq y$

proof –

have $w \sqsubseteq x \sqcap y$ **by fact**

also have $\dots \sqsubseteq y$..

finally show *?thesis* .

qed

show $w \sqsubseteq z$ **by fact**

qed

qed

qed

theorem *join-assoc*: $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$
proof –
 have $dual ((x \sqcup y) \sqcup z) = (dual x \sqcap dual y) \sqcap dual z$
 by (*simp only: dual-join*)
 also have $\dots = dual x \sqcap (dual y \sqcap dual z)$
 by (*rule meet-assoc*)
 also have $\dots = dual (x \sqcup (y \sqcup z))$
 by (*simp only: dual-join*)
 finally show *?thesis ..*
qed

theorem *meet-commute*: $x \sqcap y = y \sqcap x$
proof
 show $y \sqcap x \sqsubseteq x ..$
 show $y \sqcap x \sqsubseteq y ..$
 fix z **assume** $z \sqsubseteq y$ **and** $z \sqsubseteq x$
 then show $z \sqsubseteq y \sqcap x ..$
qed

theorem *join-commute*: $x \sqcup y = y \sqcup x$
proof –
 have $dual (x \sqcup y) = dual x \sqcap dual y ..$
 also have $\dots = dual y \sqcap dual x$
 by (*rule meet-commute*)
 also have $\dots = dual (y \sqcup x)$
 by (*simp only: dual-join*)
 finally show *?thesis ..*
qed

theorem *meet-join-absorb*: $x \sqcap (x \sqcup y) = x$
proof
 show $x \sqsubseteq x ..$
 show $x \sqsubseteq x \sqcup y ..$
 fix z **assume** $z \sqsubseteq x$ **and** $z \sqsubseteq x \sqcup y$
 show $z \sqsubseteq x$ **by fact**
qed

theorem *join-meet-absorb*: $x \sqcup (x \sqcap y) = x$
proof –
 have $dual x \sqcap (dual x \sqcup dual y) = dual x$
 by (*rule meet-join-absorb*)
 then have $dual (x \sqcup (x \sqcap y)) = dual x$
 by (*simp only: dual-meet dual-join*)
 then show *?thesis ..*
qed

Some further algebraic properties hold as well. The property idempotent (I) is a basic algebraic consequence of (AB).

theorem *meet-idem*: $x \sqcap x = x$

proof –

have $x \sqcap (x \sqcup (x \sqcap x)) = x$ **by** (*rule meet-join-absorb*)

also have $x \sqcup (x \sqcap x) = x$ **by** (*rule join-meet-absorb*)

finally show *?thesis* .

qed

theorem *join-idem*: $x \sqcup x = x$

proof –

have $dual\ x \sqcap dual\ x = dual\ x$

by (*rule meet-idem*)

then have $dual\ (x \sqcup x) = dual\ x$

by (*simp only: dual-join*)

then show *?thesis* ..

qed

Meet and join are trivial for related elements.

theorem *meet-related* [*elim?*]: $x \sqsubseteq y \implies x \sqcap y = x$

proof

assume $x \sqsubseteq y$

show $x \sqsubseteq x$..

show $x \sqsubseteq y$ **by** *fact*

fix z **assume** $z \sqsubseteq x$ **and** $z \sqsubseteq y$

show $z \sqsubseteq x$ **by** *fact*

qed

theorem *join-related* [*elim?*]: $x \sqsubseteq y \implies x \sqcup y = y$

proof –

assume $x \sqsubseteq y$ **then have** $dual\ y \sqsubseteq dual\ x$..

then have $dual\ y \sqcap dual\ x = dual\ y$ **by** (*rule meet-related*)

also have $dual\ y \sqcap dual\ x = dual\ (y \sqcup x)$ **by** (*simp only: dual-join*)

also have $y \sqcup x = x \sqcup y$ **by** (*rule join-commute*)

finally show *?thesis* ..

qed

3.4 Order versus algebraic structure

The \sqcap and \sqcup operations are connected with the underlying \sqsubseteq relation in a canonical manner.

theorem *meet-connection*: $(x \sqsubseteq y) = (x \sqcap y = x)$

proof

assume $x \sqsubseteq y$

then have *is-inf* $x\ y\ x$..

then show $x \sqcap y = x$..

next

have $x \sqcap y \sqsubseteq y$..

also assume $x \sqcap y = x$

finally show $x \sqsubseteq y$.

qed

theorem *join-connection*: $(x \sqsubseteq y) = (x \sqcup y = y)$

proof

assume $x \sqsubseteq y$

then have *is-sup* $x \ y \ y$..

then show $x \sqcup y = y$..

next

have $x \sqsubseteq x \sqcup y$..

also assume $x \sqcup y = y$

finally show $x \sqsubseteq y$.

qed

The most fundamental result of the meta-theory of lattices is as follows (we do not prove it here).

Given a structure with binary operations \sqcap and \sqcup such that (A), (C), and (AB) hold (cf. §3.3). This structure represents a lattice, if the relation $x \sqsubseteq y$ is defined as $x \sqcap y = x$ (alternatively as $x \sqcup y = y$). Furthermore, infimum and supremum with respect to this ordering coincide with the original \sqcap and \sqcup operations.

3.5 Example instances

3.5.1 Linear orders

Linear orders with *minimum* and *maximum* operations are a (degenerate) example of lattice structures.

definition

minimum :: 'a::linear-order \Rightarrow 'a \Rightarrow 'a **where**

minimum $x \ y = (if \ x \sqsubseteq \ y \ then \ x \ else \ y)$

definition

maximum :: 'a::linear-order \Rightarrow 'a \Rightarrow 'a **where**

maximum $x \ y = (if \ x \sqsubseteq \ y \ then \ y \ else \ x)$

lemma *is-inf-minimum*: *is-inf* $x \ y$ (*minimum* $x \ y$)

proof

let $?min = minimum \ x \ y$

from *leq-linear* **show** $?min \sqsubseteq \ x$ **by** (*auto simp add: minimum-def*)

from *leq-linear* **show** $?min \sqsubseteq \ y$ **by** (*auto simp add: minimum-def*)

fix z **assume** $z \sqsubseteq \ x$ **and** $z \sqsubseteq \ y$

with *leq-linear* **show** $z \sqsubseteq \ ?min$ **by** (*auto simp add: minimum-def*)

qed

lemma *is-sup-maximum*: *is-sup* $x \ y$ (*maximum* $x \ y$)

proof

let $?max = maximum \ x \ y$

from *leq-linear* **show** $x \sqsubseteq \ ?max$ **by** (*auto simp add: maximum-def*)

```

from leq-linear show  $y \sqsubseteq ?max$  by (auto simp add: maximum-def)
fix  $z$  assume  $x \sqsubseteq z$  and  $y \sqsubseteq z$ 
with leq-linear show  $?max \sqsubseteq z$  by (auto simp add: maximum-def)
qed

```

```

instance linear-order  $\subseteq$  lattice

```

```

proof

```

```

  fix  $x y :: 'a::linear-order$ 

```

```

  from is-inf-minimum show  $\exists inf. is-inf\ x\ y\ inf ..$ 

```

```

  from is-sup-maximum show  $\exists sup. is-sup\ x\ y\ sup ..$ 

```

```

qed

```

The lattice operations on linear orders indeed coincide with *minimum* and *maximum*.

```

theorem meet-minimum:  $x \sqcap y = minimum\ x\ y$ 
  by (rule meet-equality) (rule is-inf-minimum)

```

```

theorem meet-maximum:  $x \sqcup y = maximum\ x\ y$ 
  by (rule join-equality) (rule is-sup-maximum)

```

3.5.2 Binary products

The class of lattices is closed under direct binary products (cf. §1.3.2).

```

lemma is-inf-prod:  $is-inf\ p\ q\ (fst\ p\ \sqcap\ fst\ q,\ snd\ p\ \sqcap\ snd\ q)$ 

```

```

proof

```

```

  show  $(fst\ p\ \sqcap\ fst\ q,\ snd\ p\ \sqcap\ snd\ q) \sqsubseteq p$ 

```

```

  proof –

```

```

    have  $fst\ p\ \sqcap\ fst\ q \sqsubseteq fst\ p ..$ 

```

```

    moreover have  $snd\ p\ \sqcap\ snd\ q \sqsubseteq snd\ p ..$ 

```

```

    ultimately show  $?thesis$  by (simp add: leq-prod-def)

```

```

  qed

```

```

  show  $(fst\ p\ \sqcap\ fst\ q,\ snd\ p\ \sqcap\ snd\ q) \sqsubseteq q$ 

```

```

  proof –

```

```

    have  $fst\ p\ \sqcap\ fst\ q \sqsubseteq fst\ q ..$ 

```

```

    moreover have  $snd\ p\ \sqcap\ snd\ q \sqsubseteq snd\ q ..$ 

```

```

    ultimately show  $?thesis$  by (simp add: leq-prod-def)

```

```

  qed

```

```

  fix  $r$  assume  $rp: r \sqsubseteq p$  and  $rq: r \sqsubseteq q$ 

```

```

  show  $r \sqsubseteq (fst\ p\ \sqcap\ fst\ q,\ snd\ p\ \sqcap\ snd\ q)$ 

```

```

  proof –

```

```

    have  $fst\ r \sqsubseteq fst\ p\ \sqcap\ fst\ q$ 

```

```

    proof

```

```

      from  $rp$  show  $fst\ r \sqsubseteq fst\ p$  by (simp add: leq-prod-def)

```

```

      from  $rq$  show  $fst\ r \sqsubseteq fst\ q$  by (simp add: leq-prod-def)

```

```

    qed

```

```

    moreover have  $snd\ r \sqsubseteq snd\ p\ \sqcap\ snd\ q$ 

```

```

    proof

```

```

      from  $rp$  show  $snd\ r \sqsubseteq snd\ p$  by (simp add: leq-prod-def)

```

```

    from  $rq$  show  $snd\ r \sqsubseteq snd\ q$  by (simp add: leq-prod-def)
  qed
  ultimately show ?thesis by (simp add: leq-prod-def)
  qed
  qed

```

lemma *is-sup-prod*: $is-sup\ p\ q\ (fst\ p \sqcup fst\ q,\ snd\ p \sqcup snd\ q)$

```

proof
  show  $p \sqsubseteq (fst\ p \sqcup fst\ q,\ snd\ p \sqcup snd\ q)$ 
  proof -
    have  $fst\ p \sqsubseteq fst\ p \sqcup fst\ q$  ..
    moreover have  $snd\ p \sqsubseteq snd\ p \sqcup snd\ q$  ..
    ultimately show ?thesis by (simp add: leq-prod-def)
  qed
  show  $q \sqsubseteq (fst\ p \sqcup fst\ q,\ snd\ p \sqcup snd\ q)$ 
  proof -
    have  $fst\ q \sqsubseteq fst\ p \sqcup fst\ q$  ..
    moreover have  $snd\ q \sqsubseteq snd\ p \sqcup snd\ q$  ..
    ultimately show ?thesis by (simp add: leq-prod-def)
  qed
  fix  $r$  assume  $pr: p \sqsubseteq r$  and  $qr: q \sqsubseteq r$ 
  show  $(fst\ p \sqcup fst\ q,\ snd\ p \sqcup snd\ q) \sqsubseteq r$ 
  proof -
    have  $fst\ p \sqcup fst\ q \sqsubseteq fst\ r$ 
    proof
      from  $pr$  show  $fst\ p \sqsubseteq fst\ r$  by (simp add: leq-prod-def)
      from  $qr$  show  $fst\ q \sqsubseteq fst\ r$  by (simp add: leq-prod-def)
    qed
    moreover have  $snd\ p \sqcup snd\ q \sqsubseteq snd\ r$ 
    proof
      from  $pr$  show  $snd\ p \sqsubseteq snd\ r$  by (simp add: leq-prod-def)
      from  $qr$  show  $snd\ q \sqsubseteq snd\ r$  by (simp add: leq-prod-def)
    qed
    ultimately show ?thesis by (simp add: leq-prod-def)
  qed
  qed
  qed

```

instance *prod* :: (lattice, lattice) lattice

```

proof
  fix  $p\ q :: 'a::lattice \times 'b::lattice$ 
  from is-inf-prod show  $\exists inf. is-inf\ p\ q\ inf$  ..
  from is-sup-prod show  $\exists sup. is-sup\ p\ q\ sup$  ..
  qed

```

The lattice operations on a binary product structure indeed coincide with the products of the original ones.

theorem *meet-prod*: $p \sqcap q = (fst\ p \sqcap fst\ q,\ snd\ p \sqcap snd\ q)$
 by (rule meet-equality) (rule is-inf-prod)

theorem *join-prod*: $p \sqcup q = (fst\ p \sqcup fst\ q, snd\ p \sqcup snd\ q)$
by (*rule join-equality*) (*rule is-sup-prod*)

3.5.3 General products

The class of lattices is closed under general products (function spaces) as well (cf. §1.3.3).

lemma *is-inf-fun*: $is-inf\ f\ g\ (\lambda x. f\ x \sqcap g\ x)$

proof

show $(\lambda x. f\ x \sqcap g\ x) \sqsubseteq f$

proof

fix x **show** $f\ x \sqcap g\ x \sqsubseteq f\ x$..

qed

show $(\lambda x. f\ x \sqcap g\ x) \sqsubseteq g$

proof

fix x **show** $f\ x \sqcap g\ x \sqsubseteq g\ x$..

qed

fix h **assume** $hf: h \sqsubseteq f$ **and** $hg: h \sqsubseteq g$

show $h \sqsubseteq (\lambda x. f\ x \sqcap g\ x)$

proof

fix x

show $h\ x \sqsubseteq f\ x \sqcap g\ x$

proof

from hf **show** $h\ x \sqsubseteq f\ x$..

from hg **show** $h\ x \sqsubseteq g\ x$..

qed

qed

qed

lemma *is-sup-fun*: $is-sup\ f\ g\ (\lambda x. f\ x \sqcup g\ x)$

proof

show $f \sqsubseteq (\lambda x. f\ x \sqcup g\ x)$

proof

fix x **show** $f\ x \sqsubseteq f\ x \sqcup g\ x$..

qed

show $g \sqsubseteq (\lambda x. f\ x \sqcup g\ x)$

proof

fix x **show** $g\ x \sqsubseteq f\ x \sqcup g\ x$..

qed

fix h **assume** $fh: f \sqsubseteq h$ **and** $gh: g \sqsubseteq h$

show $(\lambda x. f\ x \sqcup g\ x) \sqsubseteq h$

proof

fix x

show $f\ x \sqcup g\ x \sqsubseteq h\ x$

proof

from fh **show** $f\ x \sqsubseteq h\ x$..

from gh **show** $g\ x \sqsubseteq h\ x$..

qed

qed

qed

instance *fun* :: (*type*, *lattice*) *lattice*

proof

fix *f g* :: '*a* ⇒ '*b*::*lattice*

show $\exists \text{inf. is-inf } f \ g \ \text{inf}$ **by** *rule* (*rule is-inf-fun*)

show $\exists \text{sup. is-sup } f \ g \ \text{sup}$ **by** *rule* (*rule is-sup-fun*)

qed

The lattice operations on a general product structure (function space) indeed emerge by point-wise lifting of the original ones.

theorem *meet-fun*: $f \sqcap g = (\lambda x. f \ x \ \sqcap \ g \ x)$

by (*rule meet-equality*) (*rule is-inf-fun*)

theorem *join-fun*: $f \sqcup g = (\lambda x. f \ x \ \sqcup \ g \ x)$

by (*rule join-equality*) (*rule is-sup-fun*)

3.6 Monotonicity and semi-morphisms

The lattice operations are monotone in both argument positions. In fact, monotonicity of the second position is trivial due to commutativity.

theorem *meet-mono*: $x \sqsubseteq z \implies y \sqsubseteq w \implies x \sqcap y \sqsubseteq z \sqcap w$

proof –

{

fix *a b c* :: '*a*::*lattice*

assume $a \sqsubseteq c$ **have** $a \sqcap b \sqsubseteq c \sqcap b$

proof

have $a \sqcap b \sqsubseteq a$..

also have ... $\sqsubseteq c$ **by** *fact*

finally show $a \sqcap b \sqsubseteq c$.

show $a \sqcap b \sqsubseteq b$..

qed

} **note** *this* [*elim?*]

assume $x \sqsubseteq z$ **then have** $x \sqcap y \sqsubseteq z \sqcap y$..

also have ... $= y \sqcap z$ **by** (*rule meet-commute*)

also assume $y \sqsubseteq w$ **then have** $y \sqcap z \sqsubseteq w \sqcap z$..

also have ... $= z \sqcap w$ **by** (*rule meet-commute*)

finally show *?thesis* .

qed

theorem *join-mono*: $x \sqsubseteq z \implies y \sqsubseteq w \implies x \sqcup y \sqsubseteq z \sqcup w$

proof –

assume $x \sqsubseteq z$ **then have** $\text{dual } z \sqsubseteq \text{dual } x$..

moreover assume $y \sqsubseteq w$ **then have** $\text{dual } w \sqsubseteq \text{dual } y$..

ultimately have $\text{dual } z \sqcap \text{dual } w \sqsubseteq \text{dual } x \sqcap \text{dual } y$

by (*rule meet-mono*)

then have $\text{dual } (z \sqcup w) \sqsubseteq \text{dual } (x \sqcup y)$

by (*simp only: dual-join*)

then show *?thesis ..*
qed

A semi-morphisms is a function f that preserves the lattice operations in the following manner: $f(x \sqcap y) \sqsubseteq f x \sqcap f y$ and $f x \sqcup f y \sqsubseteq f(x \sqcup y)$, respectively. Any of these properties is equivalent with monotonicity.

theorem *meet-semimorph:*

$$(\bigwedge x y. f(x \sqcap y) \sqsubseteq f x \sqcap f y) \equiv (\bigwedge x y. x \sqsubseteq y \implies f x \sqsubseteq f y)$$

proof

assume *morph:* $\bigwedge x y. f(x \sqcap y) \sqsubseteq f x \sqcap f y$

fix $x y :: 'a::\text{lattice}$

assume $x \sqsubseteq y$

then have $x \sqcap y = x ..$

then have $x = x \sqcap y ..$

also have $f \dots \sqsubseteq f x \sqcap f y$ **by** (*rule morph*)

also have $\dots \sqsubseteq f y ..$

finally show $f x \sqsubseteq f y .$

next

assume *mono:* $\bigwedge x y. x \sqsubseteq y \implies f x \sqsubseteq f y$

show $\bigwedge x y. f(x \sqcap y) \sqsubseteq f x \sqcap f y$

proof –

fix $x y$

show $f(x \sqcap y) \sqsubseteq f x \sqcap f y$

proof

have $x \sqcap y \sqsubseteq x ..$ **then show** $f(x \sqcap y) \sqsubseteq f x$ **by** (*rule mono*)

have $x \sqcap y \sqsubseteq y ..$ **then show** $f(x \sqcap y) \sqsubseteq f y$ **by** (*rule mono*)

qed

qed

qed

lemma *join-semimorph:*

$$(\bigwedge x y. f x \sqcup f y \sqsubseteq f(x \sqcup y)) \equiv (\bigwedge x y. x \sqsubseteq y \implies f x \sqsubseteq f y)$$

proof

assume *morph:* $\bigwedge x y. f x \sqcup f y \sqsubseteq f(x \sqcup y)$

fix $x y :: 'a::\text{lattice}$

assume $x \sqsubseteq y$ **then have** $x \sqcup y = y ..$

have $f x \sqsubseteq f x \sqcup f y ..$

also have $\dots \sqsubseteq f(x \sqcup y)$ **by** (*rule morph*)

also from $\langle x \sqsubseteq y \rangle$ **have** $x \sqcup y = y ..$

finally show $f x \sqsubseteq f y .$

next

assume *mono:* $\bigwedge x y. x \sqsubseteq y \implies f x \sqsubseteq f y$

show $\bigwedge x y. f x \sqcup f y \sqsubseteq f(x \sqcup y)$

proof –

fix $x y$

show $f x \sqcup f y \sqsubseteq f(x \sqcup y)$

proof

have $x \sqsubseteq x \sqcup y ..$ **then show** $f x \sqsubseteq f(x \sqcup y)$ **by** (*rule mono*)

have $y \sqsubseteq x \sqcup y ..$ **then show** $f y \sqsubseteq f(x \sqcup y)$ **by** (*rule mono*)

```

    qed
  qed
qed

end

```

4 Complete lattices

theory *CompleteLattice* **imports** *Lattice* **begin**

4.1 Complete lattice operations

A *complete lattice* is a partial order with general (infinitary) infimum of any set of elements. General supremum exists as well, as a consequence of the connection of infinitary bounds (see §2.6).

```

class complete-lattice =
  assumes ex-Inf:  $\exists$  inf. is-Inf A inf

```

```

theorem ex-Sup:  $\exists$  sup::'a::complete-lattice. is-Sup A sup

```

proof –

```

from ex-Inf obtain sup where is-Inf {b.  $\forall a \in A. a \sqsubseteq b$ } sup by blast

```

```

then have is-Sup A sup by (rule Inf-Sup)

```

```

then show ?thesis ..

```

qed

The general \sqcap (meet) and \sqcup (join) operations select such infimum and supremum elements.

definition

```

Meet :: 'a::complete-lattice set  $\Rightarrow$  'a ( $\langle \sqcap \rightarrow [90] 90 \rangle$ ) where

```

```

 $\sqcap A = (THE \text{ inf. is-Inf } A \text{ inf})$ 

```

definition

```

Join :: 'a::complete-lattice set  $\Rightarrow$  'a ( $\langle \sqcup \rightarrow [90] 90 \rangle$ ) where

```

```

 $\sqcup A = (THE \text{ sup. is-Sup } A \text{ sup})$ 

```

Due to unique existence of bounds, the complete lattice operations may be exhibited as follows.

```

lemma Meet-equality [elim?]: is-Inf A inf  $\Longrightarrow$   $\sqcap A = \text{inf}$ 

```

proof (*unfold Meet-def*)

```

assume is-Inf A inf

```

```

then show ( $THE \text{ inf. is-Inf } A \text{ inf}$ ) = inf

```

```

by (rule the-equality) (rule is-Inf-uniq [OF -  $\langle \text{is-Inf } A \text{ inf} \rangle$ ])

```

qed

```

lemma MeetI [intro?]:

```

```

 $(\bigwedge a. a \in A \Longrightarrow \text{inf} \sqsubseteq a) \Longrightarrow$ 

```

```

 $(\bigwedge b. \forall a \in A. b \sqsubseteq a \Longrightarrow b \sqsubseteq \text{inf}) \Longrightarrow$ 

```

```

 $\sqcap A = \text{inf}$ 

```

by (rule Meet-equality, rule is-InfI) blast+

lemma *Join-equality* [elim?]: $is-Sup\ A\ sup \implies \bigsqcup A = sup$

proof (unfold *Join-def*)

assume $is-Sup\ A\ sup$

then show (THE sup . $is-Sup\ A\ sup$) = sup

by (rule the-equality) (rule *is-Sup-uniq* [OF - $\langle is-Sup\ A\ sup \rangle$])

qed

lemma *JoinI* [intro?]:

$(\bigwedge a. a \in A \implies a \sqsubseteq sup) \implies$

$(\bigwedge b. \forall a \in A. a \sqsubseteq b \implies sup \sqsubseteq b) \implies$

$\bigsqcup A = sup$

by (rule *Join-equality*, rule *is-SupI*) blast+

The \bigsqcap and \bigsqcup operations indeed determine bounds on a complete lattice structure.

lemma *is-Inf-Meet* [intro?]: $is-Inf\ A\ (\bigsqcap A)$

proof (unfold *Meet-def*)

from *ex-Inf* obtain *inf* where $is-Inf\ A\ inf$..

then show $is-Inf\ A$ (THE inf . $is-Inf\ A\ inf$)

by (rule theI) (rule *is-Inf-uniq* [OF - $\langle is-Inf\ A\ inf \rangle$])

qed

lemma *Meet-greatest* [intro?]: $(\bigwedge a. a \in A \implies x \sqsubseteq a) \implies x \sqsubseteq \bigsqcap A$

by (rule *is-Inf-greatest*, rule *is-Inf-Meet*) blast

lemma *Meet-lower* [intro?]: $a \in A \implies \bigsqcap A \sqsubseteq a$

by (rule *is-Inf-lower*) (rule *is-Inf-Meet*)

lemma *is-Sup-Join* [intro?]: $is-Sup\ A\ (\bigsqcup A)$

proof (unfold *Join-def*)

from *ex-Sup* obtain *sup* where $is-Sup\ A\ sup$..

then show $is-Sup\ A$ (THE sup . $is-Sup\ A\ sup$)

by (rule theI) (rule *is-Sup-uniq* [OF - $\langle is-Sup\ A\ sup \rangle$])

qed

lemma *Join-least* [intro?]: $(\bigwedge a. a \in A \implies a \sqsubseteq x) \implies \bigsqcup A \sqsubseteq x$

by (rule *is-Sup-least*, rule *is-Sup-Join*) blast

lemma *Join-lower* [intro?]: $a \in A \implies a \sqsubseteq \bigsqcup A$

by (rule *is-Sup-upper*) (rule *is-Sup-Join*)

4.2 The Knaster-Tarski Theorem

The Knaster-Tarski Theorem (in its simplest formulation) states that any monotone function on a complete lattice has a least fixed-point (see [2, pages 93–94] for example). This is a consequence of the basic boundary properties

of the complete lattice operations.

theorem *Knaster-Tarski*:

assumes *mono*: $\bigwedge x y. x \sqsubseteq y \implies f x \sqsubseteq f y$

obtains $a :: 'a::\text{complete-lattice}$ **where**

$f a = a$ **and** $\bigwedge a'. f a' = a' \implies a \sqsubseteq a'$

proof

let $?H = \{u. f u \sqsubseteq u\}$

let $?a = \bigcap ?H$

show $f ?a = ?a$

proof –

have $ge: f ?a \sqsubseteq ?a$

proof

fix x **assume** $x: x \in ?H$

then have $?a \sqsubseteq x$..

then have $f ?a \sqsubseteq f x$ **by** (*rule mono*)

also from x **have** $\dots \sqsubseteq x$..

finally show $f ?a \sqsubseteq x$.

qed

also have $?a \sqsubseteq f ?a$

proof

from ge **have** $f (f ?a) \sqsubseteq f ?a$ **by** (*rule mono*)

then show $f ?a \in ?H$..

qed

finally show *?thesis* .

qed

fix a'

assume $f a' = a'$

then have $f a' \sqsubseteq a'$ **by** (*simp only: leq-refl*)

then have $a' \in ?H$..

then show $?a \sqsubseteq a'$..

qed

theorem *Knaster-Tarski-dual*:

assumes *mono*: $\bigwedge x y. x \sqsubseteq y \implies f x \sqsubseteq f y$

obtains $a :: 'a::\text{complete-lattice}$ **where**

$f a = a$ **and** $\bigwedge a'. f a' = a' \implies a' \sqsubseteq a$

proof

let $?H = \{u. u \sqsubseteq f u\}$

let $?a = \bigcup ?H$

show $f ?a = ?a$

proof –

have $le: ?a \sqsubseteq f ?a$

proof

fix x **assume** $x: x \in ?H$

then have $x \sqsubseteq f x$..

also from x **have** $x \sqsubseteq ?a$..

then have $f x \sqsubseteq f ?a$ **by** (*rule mono*)

finally show $x \sqsubseteq f ?a$.

```

qed
have f ?a  $\sqsubseteq$  ?a
proof
  from le have f ?a  $\sqsubseteq$  f (f ?a) by (rule mono)
  then show f ?a  $\in$  ?H ..
qed
from this and le show ?thesis by (rule leq-antisym)
qed

fix a'
assume f a' = a'
then have a'  $\sqsubseteq$  f a' by (simp only: leq-refl)
then have a'  $\in$  ?H ..
then show a'  $\sqsubseteq$  ?a ..
qed

```

4.3 Bottom and top elements

With general bounds available, complete lattices also have least and greatest elements.

definition

bottom :: 'a::complete-lattice $\langle \perp \rangle$ where
 $\perp = \bigsqcap UNIV$

definition

top :: 'a::complete-lattice $\langle \top \rangle$ where
 $\top = \bigsqcup UNIV$

lemma *bottom-least* [intro?]: $\perp \sqsubseteq x$

proof (*unfold bottom-def*)

have $x \in UNIV$..

then show $\bigsqcap UNIV \sqsubseteq x$..

qed

lemma *bottomI* [intro?]: $(\bigwedge a. x \sqsubseteq a) \implies \perp = x$

proof (*unfold bottom-def*)

assume $\bigwedge a. x \sqsubseteq a$

show $\bigsqcap UNIV = x$

proof

fix a show $x \sqsubseteq a$ by fact

next

fix b :: 'a::complete-lattice

assume b: $\forall a \in UNIV. b \sqsubseteq a$

have $x \in UNIV$..

with b show $b \sqsubseteq x$..

qed

qed

lemma *top-greatest* [intro?]: $x \sqsubseteq \top$

```

proof (unfold top-def)
  have  $x \in UNIV$  ..
  then show  $x \sqsubseteq \bigsqcup UNIV$  ..
qed

lemma topI [intro?]:  $(\bigwedge a. a \sqsubseteq x) \implies \top = x$ 
proof (unfold top-def)
  assume  $\bigwedge a. a \sqsubseteq x$ 
  show  $\bigsqcup UNIV = x$ 
  proof
    fix  $a$  show  $a \sqsubseteq x$  by fact
  next
    fix  $b :: 'a::complete-lattice$ 
    assume  $b: \forall a \in UNIV. a \sqsubseteq b$ 
    have  $x \in UNIV$  ..
    with  $b$  show  $x \sqsubseteq b$  ..
  qed
qed

```

4.4 Duality

The class of complete lattices is closed under formation of dual structures.

```

instance dual :: (complete-lattice) complete-lattice
proof
  fix  $A' :: 'a::complete-lattice$  dual set
  show  $\exists inf'. is-Inf A' inf'$ 
  proof –
    have  $\exists sup. is-Sup (undual ' A') sup$  by (rule ex-Sup)
    then have  $\exists sup. is-Inf (dual ' undual ' A') (dual sup)$  by (simp only: dual-Inf)
    then show ?thesis by (simp add: dual-ex [symmetric] image-comp)
  qed
qed

```

Apparently, the \sqcap and \sqcup operations are dual to each other.

```

theorem dual-Meet [intro?]:  $dual (\sqcap A) = \sqcup (dual ' A)$ 
proof –
  from is-Inf-Meet have  $is-Sup (dual ' A) (dual (\sqcap A))$  ..
  then have  $\sqcup (dual ' A) = dual (\sqcap A)$  ..
  then show ?thesis ..
qed

```

```

theorem dual-Join [intro?]:  $dual (\sqcup A) = \sqcap (dual ' A)$ 
proof –
  from is-Sup-Join have  $is-Inf (dual ' A) (dual (\sqcup A))$  ..
  then have  $\sqcap (dual ' A) = dual (\sqcup A)$  ..
  then show ?thesis ..
qed

```

Likewise are \perp and \top duals of each other.

```

theorem dual-bottom [intro?]: dual  $\perp$  =  $\top$ 
proof –
  have  $\top$  = dual  $\perp$ 
  proof
    fix a' have  $\perp \sqsubseteq$  undual a' ..
    then have dual (undual a')  $\sqsubseteq$  dual  $\perp$  ..
    then show a'  $\sqsubseteq$  dual  $\perp$  by simp
  qed
  then show ?thesis ..
qed

```

```

theorem dual-top [intro?]: dual  $\top$  =  $\perp$ 
proof –
  have  $\perp$  = dual  $\top$ 
  proof
    fix a' have undual a'  $\sqsubseteq$   $\top$  ..
    then have dual  $\top$   $\sqsubseteq$  dual (undual a') ..
    then show dual  $\top$   $\sqsubseteq$  a' by simp
  qed
  then show ?thesis ..
qed

```

4.5 Complete lattices are lattices

Complete lattices (with general bounds available) are indeed plain lattices as well. This holds due to the connection of general versus binary bounds that has been formally established in §2.5.

```

lemma is-inf-binary: is-inf x y ( $\prod$  {x, y})
proof –
  have is-Inf {x, y} ( $\prod$  {x, y}) ..
  then show ?thesis by (simp only: is-Inf-binary)
qed

```

```

lemma is-sup-binary: is-sup x y ( $\sqcup$  {x, y})
proof –
  have is-Sup {x, y} ( $\sqcup$  {x, y}) ..
  then show ?thesis by (simp only: is-Sup-binary)
qed

```

```

instance complete-lattice  $\sqsubseteq$  lattice
proof
  fix x y :: 'a::complete-lattice
  from is-inf-binary show  $\exists$  inf. is-inf x y inf ..
  from is-sup-binary show  $\exists$  sup. is-sup x y sup ..
qed

```

```

theorem meet-binary: x  $\sqcap$  y =  $\prod$  {x, y}
  by (rule meet-equality) (rule is-inf-binary)

```

theorem *join-binary*: $x \sqcup y = \sqcup \{x, y\}$
by (*rule join-equality*) (*rule is-sup-binary*)

4.6 Complete lattices and set-theory operations

The complete lattice operations are (anti) monotone wrt. set inclusion.

theorem *Meet-subset-antimono*: $A \subseteq B \implies \prod B \sqsubseteq \prod A$

proof (*rule Meet-greatest*)

fix a **assume** $a \in A$
also assume $A \subseteq B$
finally have $a \in B$.
then show $\prod B \sqsubseteq a$..

qed

theorem *Join-subset-mono*: $A \subseteq B \implies \sqcup A \sqsubseteq \sqcup B$

proof –

assume $A \subseteq B$
then have $\text{dual } 'A \subseteq \text{dual } 'B$ **by** *blast*
then have $\prod (\text{dual } 'B) \sqsubseteq \prod (\text{dual } 'A)$ **by** (*rule Meet-subset-antimono*)
then have $\text{dual } (\sqcup B) \sqsubseteq \text{dual } (\sqcup A)$ **by** (*simp only: dual-Join*)
then show *?thesis* **by** (*simp only: dual-leq*)

qed

Bounds over unions of sets may be obtained separately.

theorem *Meet-Un*: $\prod (A \cup B) = \prod A \sqcap \prod B$

proof

fix a **assume** $a \in A \cup B$
then show $\prod A \sqcap \prod B \sqsubseteq a$
proof
assume $a: a \in A$
have $\prod A \sqcap \prod B \sqsubseteq \prod A$..
also from a **have** ... $\sqsubseteq a$..
finally show *?thesis* .

next

assume $a: a \in B$
have $\prod A \sqcap \prod B \sqsubseteq \prod B$..
also from a **have** ... $\sqsubseteq a$..
finally show *?thesis* .

qed

next

fix b **assume** $b: \forall a \in A \cup B. b \sqsubseteq a$
show $b \sqsubseteq \prod A \sqcap \prod B$
proof
show $b \sqsubseteq \prod A$
proof
fix a **assume** $a \in A$
then have $a \in A \cup B$..


```

    with b show b ⊆ a ..
  qed
  show b ⊆ ⋂ B
  proof
    fix a assume a ∈ B
    then have a ∈ A ∪ B ..
    with b show b ⊆ a ..
  qed
  qed
  qed

```

```

theorem Join-Un: ⋂ (A ∪ B) = ⋂ A ∩ ⋂ B
proof -
  have dual (⋂ (A ∪ B)) = ⋂ (dual ' A ∪ dual ' B)
    by (simp only: dual-Join image-Un)
  also have ... = ⋂ (dual ' A) ∩ ⋂ (dual ' B)
    by (rule Meet-Un)
  also have ... = dual (⋂ A ∩ ⋂ B)
    by (simp only: dual-join dual-Join)
  finally show ?thesis ..
  qed

```

Bounds over singleton sets are trivial.

```

theorem Meet-singleton: ⋂ {x} = x
proof
  fix a assume a ∈ {x}
  then have a = x by simp
  then show x ⊆ a by (simp only: leq-refl)
next
  fix b assume ∀ a ∈ {x}. b ⊆ a
  then show b ⊆ x by simp
  qed

```

```

theorem Join-singleton: ⋂ {x} = x
proof -
  have dual (⋂ {x}) = ⋂ {dual x} by (simp add: dual-Join)
  also have ... = dual x by (rule Meet-singleton)
  finally show ?thesis ..
  qed

```

Bounds over the empty and universal set correspond to each other.

```

theorem Meet-empty: ⋂ {} = ⋂ UNIV
proof
  fix a :: 'a::complete-lattice
  assume a ∈ {}
  then have False by simp
  then show ⋂ UNIV ⊆ a ..
next
  fix b :: 'a::complete-lattice

```

```

have  $b \in UNIV$  ..
then show  $b \sqsubseteq \sqcup UNIV$  ..
qed

```

```

theorem Join-empty:  $\sqcup \{\} = \sqcap UNIV$ 

```

```

proof -

```

```

  have  $dual (\sqcup \{\}) = \sqcap \{\}$  by (simp add: dual-Join)

```

```

  also have  $\dots = \sqcup UNIV$  by (rule Meet-empty)

```

```

  also have  $\dots = dual (\sqcap UNIV)$  by (simp add: dual-Meet)

```

```

  finally show ?thesis ..

```

```

qed

```

```

end

```

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