

# The UNITY Formalism

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April 19, 2009

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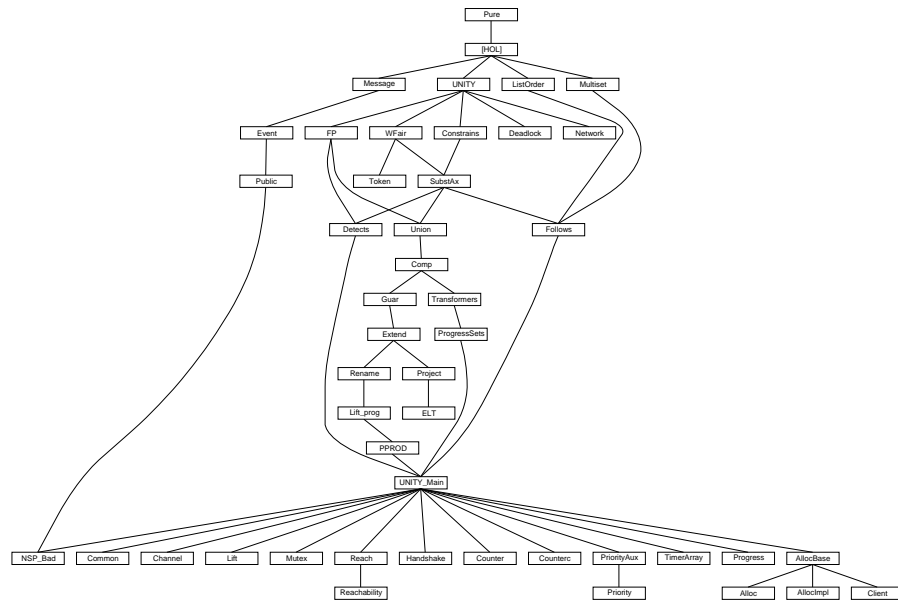
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# 1 The Basic UNITY Theory

theory UNITY imports Main begin

```

typedef (Program)
  'a program = "{(init:: 'a set, acts :: ('a * 'a)set set,
               allowed :: ('a * 'a)set set). Id ∈ acts & Id: allowed}"

⟨proof⟩

constdefs
  Acts :: "'a program => ('a * 'a)set set"
    "Acts F == %(init, acts, allowed). acts) (Rep_Program F)"

  "constrains" :: "[ 'a set, 'a set] => 'a program set" (infixl "co" 60)
    "A co B == {F. ∀ act ∈ Acts F. act 'A ⊆ B}"

  unless :: "[ 'a set, 'a set] => 'a program set" (infixl "unless" 60)
    "A unless B == (A-B) co (A ∪ B)"

  mk_program :: "('a set * ('a * 'a)set set * ('a * 'a)set set)
    => 'a program"
    "mk_program == %(init, acts, allowed).
      Abs_Program (init, insert Id acts, insert Id allowed)"

  Init :: "'a program => 'a set"
    "Init F == %(init, acts, allowed). init) (Rep_Program F)"

  AllowedActs :: "'a program => ('a * 'a)set set"
    "AllowedActs F == %(init, acts, allowed). allowed) (Rep_Program F)"

  Allowed :: "'a program => 'a program set"
    "Allowed F == {G. Acts G ⊆ AllowedActs F}"

  stable :: "'a set => 'a program set"
    "stable A == A co A"

  strongest_rhs :: "[ 'a program, 'a set] => 'a set"
    "strongest_rhs F A == Inter {B. F ∈ A co B}"

  invariant :: "'a set => 'a program set"
    "invariant A == {F. Init F ⊆ A} ∩ stable A"

  increasing :: "[ 'a => 'b::order] => 'a program set"
    — Polymorphic in both states and the meaning of ≤
    "increasing f == ⋂ z. stable {s. z ≤ f s}"

```

Perhaps HOL shouldn't add this in the first place!

```
declare image_Collect [simp del]
```

## 1.0.1 The abstract type of programs

```

lemmas program_typedef =
  Rep_Program Rep_Program_inverse Abs_Program_inverse

```

*Program\_def Init\_def Acts\_def AllowedActs\_def mk\_program\_def*

**lemma** *Id\_in\_Acts [iff]: "Id ∈ Acts F"*  
*<proof>*

**lemma** *insert\_Id\_Acts [iff]: "insert Id (Acts F) = Acts F"*  
*<proof>*

**lemma** *Acts\_nonempty [simp]: "Acts F ≠ {}"*  
*<proof>*

**lemma** *Id\_in\_AllowedActs [iff]: "Id ∈ AllowedActs F"*  
*<proof>*

**lemma** *insert\_Id\_AllowedActs [iff]: "insert Id (AllowedActs F) = AllowedActs F"*  
*<proof>*

### 1.0.2 Inspectors for type "program"

**lemma** *Init\_eq [simp]: "Init (mk\_program (init,acts,allowed)) = init"*  
*<proof>*

**lemma** *Acts\_eq [simp]: "Acts (mk\_program (init,acts,allowed)) = insert Id acts"*  
*<proof>*

**lemma** *AllowedActs\_eq [simp]:*  
*"AllowedActs (mk\_program (init,acts,allowed)) = insert Id allowed"*  
*<proof>*

### 1.0.3 Equality for UNITY programs

**lemma** *surjective\_mk\_program [simp]:*  
*"mk\_program (Init F, Acts F, AllowedActs F) = F"*  
*<proof>*

**lemma** *program\_equalityI:*  
*"[| Init F = Init G; Acts F = Acts G; AllowedActs F = AllowedActs G |]*  
*==> F = G"*  
*<proof>*

**lemma** *program\_equalityE:*  
*"[| F = G;*  
*[| Init F = Init G; Acts F = Acts G; AllowedActs F = AllowedActs G*  
*|]*  
*==> P |] ==> P"*  
*<proof>*

**lemma** *program\_equality\_iff:*  
*"(F=G) =*  
*(Init F = Init G & Acts F = Acts G & AllowedActs F = AllowedActs G)"*  
*<proof>*



#### 1.0.4 co

**lemma** *constrainsI*:

"(!!act s s'. [| act: Acts F; (s,s') ∈ act; s ∈ A |] ==> s': A')  
==> F ∈ A co A'"

⟨proof⟩

**lemma** *constrainsD*:

"[| F ∈ A co A'; act: Acts F; (s,s'): act; s ∈ A |] ==> s': A'"

⟨proof⟩

**lemma** *constrains\_empty* [iff]: "F ∈ {} co B"

⟨proof⟩

**lemma** *constrains\_empty2* [iff]: "(F ∈ A co {}) = (A={})"

⟨proof⟩

**lemma** *constrains\_UNIV* [iff]: "(F ∈ UNIV co B) = (B = UNIV)"

⟨proof⟩

**lemma** *constrains\_UNIV2* [iff]: "F ∈ A co UNIV"

⟨proof⟩

monotonic in 2nd argument

**lemma** *constrains\_weaken\_R*:

"[| F ∈ A co A'; A' ≤ B' |] ==> F ∈ A co B'"

⟨proof⟩

anti-monotonic in 1st argument

**lemma** *constrains\_weaken\_L*:

"[| F ∈ A co A'; B ⊆ A |] ==> F ∈ B co A'"

⟨proof⟩

**lemma** *constrains\_weaken*:

"[| F ∈ A co A'; B ⊆ A; A' ≤ B' |] ==> F ∈ B co B'"

⟨proof⟩

#### 1.0.5 Union

**lemma** *constrains\_Un*:

"[| F ∈ A co A'; F ∈ B co B' |] ==> F ∈ (A ∪ B) co (A' ∪ B)'"

⟨proof⟩

**lemma** *constrains\_UN*:

"(!!i. i ∈ I ==> F ∈ (A i) co (A' i))  
==> F ∈ (⋃ i ∈ I. A i) co (⋃ i ∈ I. A' i)"

⟨proof⟩

**lemma** *constrains\_Un\_distrib*: "(A ∪ B) co C = (A co C) ∩ (B co C)"

⟨proof⟩

**lemma** *constrains\_UN\_distrib*: "(⋃ i ∈ I. A i) co B = (⋂ i ∈ I. A i co B)"

⟨proof⟩

**lemma** *constrains\_Int\_distrib*: " $C \text{ co } (A \cap B) = (C \text{ co } A) \cap (C \text{ co } B)$ "  
 $\langle \text{proof} \rangle$

**lemma** *constrains\_INT\_distrib*: " $A \text{ co } (\bigcap i \in I. B \ i) = (\bigcap i \in I. A \text{ co } B \ i)$ "  
 $\langle \text{proof} \rangle$

### 1.0.6 Intersection

**lemma** *constrains\_Int*:  
 $\text{"[| } F \in A \text{ co } A'; F \in B \text{ co } B' \text{ |}] ==> F \in (A \cap B) \text{ co } (A' \cap B')\text{"}$   
 $\langle \text{proof} \rangle$

**lemma** *constrains\_INT*:  
 $\text{"(!i. } i \in I ==> F \in (A \ i) \text{ co } (A' \ i))$   
 $\text{==> } F \in (\bigcap i \in I. A \ i) \text{ co } (\bigcap i \in I. A' \ i)\text{"}$   
 $\langle \text{proof} \rangle$

**lemma** *constrains\_imp\_subset*: " $F \in A \text{ co } A' ==> A \subseteq A'$ "  
 $\langle \text{proof} \rangle$

The reasoning is by subsets since "co" refers to single actions only. So this rule isn't that useful.

**lemma** *constrains\_trans*:  
 $\text{"[| } F \in A \text{ co } B; F \in B \text{ co } C \text{ |}] ==> F \in A \text{ co } C\text{"}$   
 $\langle \text{proof} \rangle$

**lemma** *constrains\_cancel*:  
 $\text{"[| } F \in A \text{ co } (A' \cup B); F \in B \text{ co } B' \text{ |}] ==> F \in A \text{ co } (A' \cup B')\text{"}$   
 $\langle \text{proof} \rangle$

### 1.0.7 unless

**lemma** *unlessI*: " $F \in (A-B) \text{ co } (A \cup B) ==> F \in A \text{ unless } B$ "  
 $\langle \text{proof} \rangle$

**lemma** *unlessD*: " $F \in A \text{ unless } B ==> F \in (A-B) \text{ co } (A \cup B)$ "  
 $\langle \text{proof} \rangle$

### 1.0.8 stable

**lemma** *stableI*: " $F \in A \text{ co } A ==> F \in \text{stable } A$ "  
 $\langle \text{proof} \rangle$

**lemma** *stableD*: " $F \in \text{stable } A ==> F \in A \text{ co } A$ "  
 $\langle \text{proof} \rangle$

**lemma** *stable\_UNIV [simp]*: " $\text{stable UNIV} = \text{UNIV}$ "  
 $\langle \text{proof} \rangle$

### 1.0.9 Union

**lemma** *stable\_Un*:  
 $\text{"[| } F \in \text{stable } A; F \in \text{stable } A' \text{ |}] ==> F \in \text{stable } (A \cup A')\text{"}$   
 $\langle \text{proof} \rangle$

**lemma stable\_UN:**  
 "(!!i. i ∈ I ==> F ∈ stable (A i)) ==> F ∈ stable (⋃ i ∈ I. A i)"  
 <proof>

**lemma stable\_Union:**  
 "(!!A. A ∈ X ==> F ∈ stable A) ==> F ∈ stable (⋃ X)"  
 <proof>

### 1.0.10 Intersection

**lemma stable\_Int:**  
 "[| F ∈ stable A; F ∈ stable A' |] ==> F ∈ stable (A ∩ A')"  
 <proof>

**lemma stable\_INT:**  
 "(!!i. i ∈ I ==> F ∈ stable (A i)) ==> F ∈ stable (⋂ i ∈ I. A i)"  
 <proof>

**lemma stable\_Inter:**  
 "(!!A. A ∈ X ==> F ∈ stable A) ==> F ∈ stable (⋂ X)"  
 <proof>

**lemma stable\_constrains\_Un:**  
 "[| F ∈ stable C; F ∈ A co (C ∪ A') |] ==> F ∈ (C ∪ A) co (C ∪ A')"  
 <proof>

**lemma stable\_constrains\_Int:**  
 "[| F ∈ stable C; F ∈ (C ∩ A) co A' |] ==> F ∈ (C ∩ A) co (C ∩ A')"  
 <proof>

**lemmas stable\_constrains\_stable = stable\_constrains\_Int[THEN stableI, standard]**

### 1.0.11 invariant

**lemma invariantI:** "[| Init F ⊆ A; F ∈ stable A |] ==> F ∈ invariant A"  
 <proof>

Could also say  $\text{invariant } A \cap \text{invariant } B \subseteq \text{invariant } (A \cap B)$

**lemma invariant\_Int:**  
 "[| F ∈ invariant A; F ∈ invariant B |] ==> F ∈ invariant (A ∩ B)"  
 <proof>

### 1.0.12 increasing

**lemma increasingD:**  
 "F ∈ increasing f ==> F ∈ stable {s. z ⊆ f s}"  
 <proof>

**lemma increasing\_constant [iff]:** "F ∈ increasing (%s. c)"  
 <proof>

**lemma mono\_increasing\_o:**  
 "mono g ==> increasing f ⊆ increasing (g o f)"

$\langle proof \rangle$

**lemma** *strict\_increasingD*:

"!!z::nat.  $F \in \text{increasing } f \implies F \in \text{stable } \{s. z < f \ s\}$ "

$\langle proof \rangle$

**lemma** *elimination*:

"[|  $\forall m \in M. F \in \{s. s \ x = m\} \text{ co } (B \ m)$  |]  
 $\implies F \in \{s. s \ x \in M\} \text{ co } (\bigcup m \in M. B \ m)$ "

$\langle proof \rangle$

As above, but for the trivial case of a one-variable state, in which the state is identified with its one variable.

**lemma** *elimination\_sing*:

"( $\forall m \in M. F \in \{m\} \text{ co } (B \ m)$ )  $\implies F \in M \text{ co } (\bigcup m \in M. B \ m)$ "

$\langle proof \rangle$

### 1.0.13 Theoretical Results from Section 6

**lemma** *constrains\_strongest\_rhs*:

" $F \in A \text{ co } (\text{strongest\_rhs } F \ A)$ "

$\langle proof \rangle$

**lemma** *strongest\_rhs\_is\_strongest*:

" $F \in A \text{ co } B \implies \text{strongest\_rhs } F \ A \subseteq B$ "

$\langle proof \rangle$

### 1.0.14 Ad-hoc set-theory rules

**lemma** *Un\_Diff\_Diff [simp]*: " $A \cup B - (A - B) = B$ "

$\langle proof \rangle$

**lemma** *Int\_Union\_Union*: " $\text{Union}(B) \cap A = \text{Union}(\{C. C \cap A\} B)$ "

$\langle proof \rangle$

Needed for WF reasoning in WFair.thy

**lemma** *Image\_less\_than [simp]*: " $\text{less\_than } \{k\} = \text{greaterThan } k$ "

$\langle proof \rangle$

**lemma** *Image\_inverse\_less\_than [simp]*: " $\text{less\_than}^{-1} \{k\} = \text{lessThan } k$ "

$\langle proof \rangle$

## 1.1 Partial versus Total Transitions

**constdefs**

*totalize\_act* :: "('a \* 'a)set  $\Rightarrow$  ('a \* 'a)set"  
 "totalize\_act act == act  $\cup$  Id\_on  $(-(\text{Domain act}))$ "

*totalize* :: "'a program  $\Rightarrow$  'a program"

"totalize F == mk\_program (Init F,

```

totalize_act ' Acts F,
AllowedActs F)"

mk_total_program :: "('a set * ('a * 'a)set set * ('a * 'a)set set)
=> 'a program"
"mk_total_program args == totalize (mk_program args)"

all_total :: "'a program => bool"
"all_total F ==  $\forall act \in Acts\ F. Domain\ act = UNIV"$ 

lemma insert_Id_image_Acts: "f Id = Id ==> insert Id (f ` Acts F) = f ` Acts
F"
<proof>

```

### 1.1.1 Basic properties

```

lemma totalize_act_Id [simp]: "totalize_act Id = Id"
<proof>

lemma Domain_totalize_act [simp]: "Domain (totalize_act act) = UNIV"
<proof>

lemma Init_totalize [simp]: "Init (totalize F) = Init F"
<proof>

lemma Acts_totalize [simp]: "Acts (totalize F) = (totalize_act ' Acts F)"
<proof>

lemma AllowedActs_totalize [simp]: "AllowedActs (totalize F) = AllowedActs
F"
<proof>

lemma totalize_constrains_iff [simp]: "(totalize F  $\in A\ co\ B$ ) = (F  $\in A\ co\ B$ )"
<proof>

lemma totalize_stable_iff [simp]: "(totalize F  $\in stable\ A$ ) = (F  $\in stable\ A$ )"
<proof>

lemma totalize_invariant_iff [simp]:
  "(totalize F  $\in invariant\ A$ ) = (F  $\in invariant\ A$ )"
<proof>

lemma all_total_totalize: "all_total (totalize F)"
<proof>

lemma Domain_iff_totalize_act: "(Domain act = UNIV) = (totalize_act act =
act)"
<proof>

lemma all_total_imp_totalize: "all_total F ==> (totalize F = F)"
<proof>

```

```
lemma all_total_iff_totalize: "all_total F = (totalize F = F)"
  <proof>
```

```
lemma mk_total_program_constrains_iff [simp]:
  "(mk_total_program args ∈ A co B) = (mk_program args ∈ A co B)"
  <proof>
```

## 1.2 Rules for Lazy Definition Expansion

They avoid expanding the full program, which is a large expression

```
lemma def_prg_Init:
  "F == mk_total_program (init,acts,allowed) ==> Init F = init"
  <proof>
```

```
lemma def_prg_Acts:
  "F == mk_total_program (init,acts,allowed)
  ==> Acts F = insert Id (totalize_act 'acts)"
  <proof>
```

```
lemma def_prg_AllowedActs:
  "F == mk_total_program (init,acts,allowed)
  ==> AllowedActs F = insert Id allowed"
  <proof>
```

An action is expanded if a pair of states is being tested against it

```
lemma def_act_simp:
  "act == {(s,s'). P s s'} ==> ((s,s') ∈ act) = P s s'"
  <proof>
```

A set is expanded only if an element is being tested against it

```
lemma def_set_simp: "A == B ==> (x ∈ A) = (x ∈ B)"
  <proof>
```

### 1.2.1 Inspectors for type "program"

```
lemma Init_total_eq [simp]:
  "Init (mk_total_program (init,acts,allowed)) = init"
  <proof>
```

```
lemma Acts_total_eq [simp]:
  "Acts(mk_total_program(init,acts,allowed)) = insert Id (totalize_act'acts)"
  <proof>
```

```
lemma AllowedActs_total_eq [simp]:
  "AllowedActs (mk_total_program (init,acts,allowed)) = insert Id allowed"
  <proof>
```

end

## 2 Fixed Point of a Program

theory FP imports UNITY begin

**constdefs**

```

FP_Orig :: "'a program => 'a set"
"FP_Orig F == Union{A. ALL B. F : stable (A Int B)}"

FP :: "'a program => 'a set"
"FP F == {s. F : stable {s}}"
```

```

lemma stable_FP_Orig_Int: "F : stable (FP_Orig F Int B)"
<proof>
```

```

lemma FP_Orig_weakest:
  "(!!B. F : stable (A Int B)) ==> A <= FP_Orig F"
<proof>
```

```

lemma stable_FP_Int: "F : stable (FP F Int B)"
<proof>
```

```

lemma FP_equivalence: "FP F = FP_Orig F"
<proof>
```

```

lemma FP_weakest:
  "(!!B. F : stable (A Int B)) ==> A <= FP F"
<proof>
```

```

lemma Compl_FP:
  "-(FP F) = (UN act: Acts F. -{s. act '{s} <= {s}})"
<proof>
```

```

lemma Diff_FP: "A - (FP F) = (UN act: Acts F. A - {s. act '{s} <= {s}})"
<proof>
```

```

lemma totalize_FP [simp]: "FP (totalize F) = FP F"
<proof>
```

**end**

### 3 Progress

**theory** *WFair* **imports** *UNITY* **begin**

The original version of this theory was based on weak fairness. (Thus, the entire UNITY development embodied this assumption, until February 2003.) Weak fairness states that if a command is enabled continuously, then it is eventually executed. Ernie Cohen suggested that I instead adopt unconditional fairness: every command is executed infinitely often.

In fact, Misra's paper on "Progress" seems to be ambiguous about the correct interpretation, and says that the two forms of fairness are equivalent. They differ only on their treatment of partial transitions, which under unconditional fairness behave magically. That is because if there are partial transitions then there may be no fair executions, making all leads-to properties hold vacuously.

Unconditional fairness has some great advantages. By distinguishing partial transitions from total ones that are the identity on part of their domain, it is more expressive. Also, by simplifying the definition of the transient property, it simplifies many proofs. A drawback is that some laws only hold under the assumption that all transitions are total. The best-known of these is the impossibility law for leads-to.

#### constdefs

— This definition specifies conditional fairness. The rest of the theory is generic to all forms of fairness. To get weak fairness, conjoin the inclusion below with  $A \subseteq \text{Domain } \text{act}$ , which specifies that the action is enabled over all of  $A$ .

```
transient :: "'a set => 'a program set"
"transient A == {F.  $\exists \text{act} \in \text{Acts } F. \text{act} 'A \subseteq -A$ }"

ensures :: "[ 'a set, 'a set ] => 'a program set"      (infixl "ensures" 60)
"A ensures B == (A-B co A  $\cup$  B)  $\cap$  transient (A-B)"
```

#### inductive\_set

```
leads :: "'a program => ('a set * 'a set) set"
— LEADS-TO constant for the inductive definition
for F :: "'a program"
where

  Basis: "F  $\in$  A ensures B ==> (A,B)  $\in$  leads F"

  | Trans: "[| (A,B)  $\in$  leads F; (B,C)  $\in$  leads F |] ==> (A,C)  $\in$  leads F"

  | Union: " $\forall A \in S. (A,B) \in$  leads F ==> (Union S, B)  $\in$  leads F"
```

#### constdefs

```
leadsTo :: "[ 'a set, 'a set ] => 'a program set"      (infixl "leadsTo" 60)
— visible version of the LEADS-TO relation
"A leadsTo B == {F. (A,B)  $\in$  leads F}"

wlt :: "[ 'a program, 'a set ] => 'a set"
— predicate transformer: the largest set that leads to B
"wlt F B == Union {A. F  $\in$  A leadsTo B}"
```

#### syntax (xsymbols)

```
"op leadsTo" :: "[ 'a set, 'a set ] => 'a program set" (infixl " $\longrightarrow$ " 60)
```

### 3.1 transient

#### lemma stable\_transient:

```
"[| F  $\in$  stable A; F  $\in$  transient A |] ==>  $\exists \text{act} \in \text{Acts } F. A \subseteq - (\text{Domain } \text{act})$ "
<proof>
```

#### lemma stable\_transient\_empty:

```
"[| F  $\in$  stable A; F  $\in$  transient A; all_total F |] ==> A = {}"
```



*<proof>*

**lemma** *transient\_strengthen:*

"[|  $F \in \text{transient } A$ ;  $B \subseteq A$  |] ==>  $F \in \text{transient } B$ "

*<proof>*

**lemma** *transientI:*

"[|  $\text{act}: \text{Acts } F$ ;  $\text{act}''A \subseteq -A$  |] ==>  $F \in \text{transient } A$ "

*<proof>*

**lemma** *transientE:*

"[|  $F \in \text{transient } A$ ;

!! $\text{act}. [\text{act}: \text{Acts } F$ ;  $\text{act}''A \subseteq -A$  |] ==>  $P$  |]

==>  $P$ "

*<proof>*

**lemma** *transient\_empty [simp]:* " $\text{transient } \{\} = \text{UNIV}$ "

*<proof>*

This equation recovers the notion of weak fairness. A totalized program satisfies a transient assertion just if the original program contains a suitable action that is also enabled.

**lemma** *totalize\_transient\_iff:*

"( $\text{totalize } F \in \text{transient } A$ ) = ( $\exists \text{act} \in \text{Acts } F. A \subseteq \text{Domain } \text{act} \ \& \ \text{act}''A \subseteq -A$ )"

*<proof>*

**lemma** *totalize\_transientI:*

"[|  $\text{act}: \text{Acts } F$ ;  $A \subseteq \text{Domain } \text{act}$ ;  $\text{act}''A \subseteq -A$  |]

==>  $\text{totalize } F \in \text{transient } A$ "

*<proof>*

## 3.2 ensures

**lemma** *ensuresI:*

"[|  $F \in (A-B) \text{ co } (A \cup B)$ ;  $F \in \text{transient } (A-B)$  |] ==>  $F \in A \text{ ensures } B$ "

*<proof>*

**lemma** *ensuresD:*

" $F \in A \text{ ensures } B$  ==>  $F \in (A-B) \text{ co } (A \cup B) \ \& \ F \in \text{transient } (A-B)$ "

*<proof>*

**lemma** *ensures\_weaken\_R:*

"[|  $F \in A \text{ ensures } A'$ ;  $A' \leq B'$  |] ==>  $F \in A \text{ ensures } B'$ "

*<proof>*

The L-version (precondition strengthening) fails, but we have this

**lemma** *stable\_ensures\_Int:*

"[|  $F \in \text{stable } C$ ;  $F \in A \text{ ensures } B$  |]

==>  $F \in (C \cap A) \text{ ensures } (C \cap B)$ "

*<proof>*

**lemma** *stable\_transient\_ensures:*

"[ $F \in \text{stable } A; F \in \text{transient } C; A \subseteq B \cup C$ ]  $\implies F \in A \text{ ensures } B$ "  
 $\langle \text{proof} \rangle$

**lemma** ensures\_eq: " $(A \text{ ensures } B) = (A \text{ unless } B) \cap \text{transient } (A-B)$ "  
 $\langle \text{proof} \rangle$

### 3.3 leadsTo

**lemma** leadsTo\_Basis [intro]: " $F \in A \text{ ensures } B \implies F \in A \text{ leadsTo } B$ "  
 $\langle \text{proof} \rangle$

**lemma** leadsTo\_Trans:  
 "[ $F \in A \text{ leadsTo } B; F \in B \text{ leadsTo } C$ ]  $\implies F \in A \text{ leadsTo } C$ "  
 $\langle \text{proof} \rangle$

**lemma** leadsTo\_Basis':  
 "[ $F \in A \text{ co } A \cup B; F \in \text{transient } A$ ]  $\implies F \in A \text{ leadsTo } B$ "  
 $\langle \text{proof} \rangle$

**lemma** transient\_imp\_leadsTo: " $F \in \text{transient } A \implies F \in A \text{ leadsTo } (\neg A)$ "  
 $\langle \text{proof} \rangle$

Useful with cancellation, disjunction

**lemma** leadsTo\_Un\_duplicate: " $F \in A \text{ leadsTo } (A' \cup A') \implies F \in A \text{ leadsTo } A'$ "  
 $\langle \text{proof} \rangle$

**lemma** leadsTo\_Un\_duplicate2:  
 " $F \in A \text{ leadsTo } (A' \cup C \cup C) \implies F \in A \text{ leadsTo } (A' \cup C)$ "  
 $\langle \text{proof} \rangle$

The Union introduction rule as we should have liked to state it

**lemma** leadsTo\_Union:  
 " $(\forall A. A \in S \implies F \in A \text{ leadsTo } B) \implies F \in (\text{Union } S) \text{ leadsTo } B$ "  
 $\langle \text{proof} \rangle$

**lemma** leadsTo\_Union\_Int:  
 " $(\forall A. A \in S \implies F \in (A \cap C) \text{ leadsTo } B) \implies F \in (\text{Union } S \cap C) \text{ leadsTo } B$ "  
 $\langle \text{proof} \rangle$

**lemma** leadsTo\_UN:  
 " $(\forall i. i \in I \implies F \in (A \ i) \text{ leadsTo } B) \implies F \in (\bigcup i \in I. A \ i) \text{ leadsTo } B$ "  
 $\langle \text{proof} \rangle$

Binary union introduction rule

**lemma** leadsTo\_Un:  
 "[ $F \in A \text{ leadsTo } C; F \in B \text{ leadsTo } C$ ]  $\implies F \in (A \cup B) \text{ leadsTo } C$ "  
 $\langle \text{proof} \rangle$

**lemma** single\_leadsTo\_I:  
 " $(\forall x. x \in A \implies F \in \{x\} \text{ leadsTo } B) \implies F \in A \text{ leadsTo } B$ "

*<proof>*

The INDUCTION rule as we should have liked to state it

```
lemma leadsTo_induct:
  "[| F ∈ za leadsTo zb;
    !!A B. F ∈ A ensures B ==> P A B;
    !!A B C. [| F ∈ A leadsTo B; P A B; F ∈ B leadsTo C; P B C |]
      ==> P A C;
    !!B S. ∀A ∈ S. F ∈ A leadsTo B & P A B ==> P (Union S) B
  |] ==> P za zb"
<proof>
```

```
lemma subset_imp_sures: "A ⊆ B ==> F ∈ A ensures B"
<proof>
```

```
lemmas subset_imp_leadsTo = subset_imp_sures [THEN leadsTo_Basis, standard]
```

```
lemmas leadsTo_refl = subset_refl [THEN subset_imp_leadsTo, standard]
```

```
lemmas empty_leadsTo = empty_subsetI [THEN subset_imp_leadsTo, standard,
simp]
```

```
lemmas leadsTo_UNIV = subset_UNIV [THEN subset_imp_leadsTo, standard, simp]
```

Lemma is the weak version: can't see how to do it in one step

```
lemma leadsTo_induct_pre_lemma:
  "[| F ∈ za leadsTo zb;
    P zb;
    !!A B. [| F ∈ A ensures B; P B |] ==> P A;
    !!S. ∀A ∈ S. P A ==> P (Union S)
  |] ==> P za"
<proof>
```

```
lemma leadsTo_induct_pre:
  "[| F ∈ za leadsTo zb;
    P zb;
    !!A B. [| F ∈ A ensures B; F ∈ B leadsTo zb; P B |] ==> P A;
    !!S. ∀A ∈ S. F ∈ A leadsTo zb & P A ==> P (Union S)
  |] ==> P za"
<proof>
```

```
lemma leadsTo_weaken_R: "[| F ∈ A leadsTo A'; A' ≤ B' |] ==> F ∈ A leadsTo
B'"
<proof>
```

```
lemma leadsTo_weaken_L [rule_format]:
  "[| F ∈ A leadsTo A'; B ⊆ A |] ==> F ∈ B leadsTo A'"
<proof>
```

Distributes over binary unions

```
lemma leadsTo_Un_distrib:
  "F ∈ (A ∪ B) leadsTo C = (F ∈ A leadsTo C & F ∈ B leadsTo C)"
```

$\langle proof \rangle$

**lemma** *leadsTo\_UN\_distrib*:

" $F \in (\bigcup i \in I. A \ i) \text{ leadsTo } B = (\forall i \in I. F \in (A \ i) \text{ leadsTo } B)$ "

$\langle proof \rangle$

**lemma** *leadsTo\_Union\_distrib*:

" $F \in (\text{Union } S) \text{ leadsTo } B = (\forall A \in S. F \in A \text{ leadsTo } B)$ "

$\langle proof \rangle$

**lemma** *leadsTo\_weaken*:

" $[| F \in A \text{ leadsTo } A'; B \subseteq A; A' \leq B' |] \implies F \in B \text{ leadsTo } B'$ "

$\langle proof \rangle$

Set difference: maybe combine with *leadsTo\_weaken\_L*??

**lemma** *leadsTo\_Diff*:

" $[| F \in (A-B) \text{ leadsTo } C; F \in B \text{ leadsTo } C |] \implies F \in A \text{ leadsTo } C$ "

$\langle proof \rangle$

**lemma** *leadsTo\_UN\_UN*:

" $(\forall i. i \in I \implies F \in (A \ i) \text{ leadsTo } (A' \ i))$

$\implies F \in (\bigcup i \in I. A \ i) \text{ leadsTo } (\bigcup i \in I. A' \ i)$ "

$\langle proof \rangle$

Binary union version

**lemma** *leadsTo\_Un\_Un*:

" $[| F \in A \text{ leadsTo } A'; F \in B \text{ leadsTo } B' |]$

$\implies F \in (A \cup B) \text{ leadsTo } (A' \cup B')$ "

$\langle proof \rangle$

**lemma** *leadsTo\_cancel2*:

" $[| F \in A \text{ leadsTo } (A' \cup B); F \in B \text{ leadsTo } B' |]$

$\implies F \in A \text{ leadsTo } (A' \cup B')$ "

$\langle proof \rangle$

**lemma** *leadsTo\_cancel\_Diff2*:

" $[| F \in A \text{ leadsTo } (A' \cup B); F \in (B-A') \text{ leadsTo } B' |]$

$\implies F \in A \text{ leadsTo } (A' \cup B')$ "

$\langle proof \rangle$

**lemma** *leadsTo\_cancel1*:

" $[| F \in A \text{ leadsTo } (B \cup A'); F \in B \text{ leadsTo } B' |]$

$\implies F \in A \text{ leadsTo } (B' \cup A')$ "

$\langle proof \rangle$

**lemma** *leadsTo\_cancel\_Diff1*:

" $[| F \in A \text{ leadsTo } (B \cup A'); F \in (B-A') \text{ leadsTo } B' |]$

$\implies F \in A \text{ leadsTo } (B' \cup A')$ "

$\langle proof \rangle$

The impossibility law

**lemma** *leadsTo\_empty*: "[| F ∈ A leadsTo {}; all\_total F |] ==> A={}"  
 <proof>

### 3.4 PSP: Progress-Safety-Progress

Special case of PSP: Misra's "stable conjunction"

**lemma** *psp\_stable*:  
 "[| F ∈ A leadsTo A'; F ∈ stable B |]  
 ==> F ∈ (A ∩ B) leadsTo (A' ∩ B)"  
 <proof>

**lemma** *psp\_stable2*:  
 "[| F ∈ A leadsTo A'; F ∈ stable B |] ==> F ∈ (B ∩ A) leadsTo (B ∩ A')"  
 <proof>

**lemma** *psp Ensures*:  
 "[| F ∈ A ensures A'; F ∈ B co B' |]  
 ==> F ∈ (A ∩ B') ensures ((A' ∩ B) ∪ (B' - B))"  
 <proof>

**lemma** *psp*:  
 "[| F ∈ A leadsTo A'; F ∈ B co B' |]  
 ==> F ∈ (A ∩ B') leadsTo ((A' ∩ B) ∪ (B' - B))"  
 <proof>

**lemma** *psp2*:  
 "[| F ∈ A leadsTo A'; F ∈ B co B' |]  
 ==> F ∈ (B' ∩ A) leadsTo ((B ∩ A') ∪ (B' - B))"  
 <proof>

**lemma** *psp\_unless*:  
 "[| F ∈ A leadsTo A'; F ∈ B unless B' |]  
 ==> F ∈ (A ∩ B) leadsTo ((A' ∩ B) ∪ B')"  
 <proof>

### 3.5 Proving the induction rules

**lemma** *leadsTo\_wf\_induct\_lemma*:  
 "[| wf r;  
 ∀m. F ∈ (A ∩ f-{'m'}) leadsTo  
 ((A ∩ f-{'r^-1 ' ' {m}}) ∪ B) |]  
 ==> F ∈ (A ∩ f-{'m'}) leadsTo B"  
 <proof>

**lemma** *leadsTo\_wf\_induct*:  
 "[| wf r;  
 ∀m. F ∈ (A ∩ f-{'m'}) leadsTo  
 ((A ∩ f-{'r^-1 ' ' {m}}) ∪ B) |]  
 ==> F ∈ A leadsTo B"

$\langle proof \rangle$

```

lemma bounded_induct:
  "[| wf r;
     $\forall m \in I. F \in (A \cap f^{-'\{m\}} \text{ leadsTo } ((A \cap f^{-'(r^{-1} \text{ '' } \{m\}))) \cup B) \text{ |}]$ 
    ==>  $F \in A \text{ leadsTo } ((A - (f^{-'I})) \cup B)$ "
 $\langle proof \rangle$ 

```

```

lemma lessThan_induct:
  "[| !!m::nat.  $F \in (A \cap f^{-'\{m\}} \text{ leadsTo } ((A \cap f^{-'\{..<m\}} \cup B) \text{ |}]$ 
    ==>  $F \in A \text{ leadsTo } B$ "
 $\langle proof \rangle$ 

```

```

lemma lessThan_bounded_induct:
  "!!l::nat. [|  $\forall m \in \text{greaterThan } l.
    F \in (A \cap f^{-'\{m\}} \text{ leadsTo } ((A \cap f^{-'(\text{lessThan } m)) \cup B) \text{ |}]$ 
    ==>  $F \in A \text{ leadsTo } ((A \cap (f^{-'(\text{atMost } l))) \cup B)$ "
 $\langle proof \rangle$ 

```

```

lemma greaterThan_bounded_induct:
  "(!!l::nat.  $\forall m \in \text{lessThan } l.
    F \in (A \cap f^{-'\{m\}} \text{ leadsTo } ((A \cap f^{-'(\text{greaterThan } m)) \cup B) \text{ |}]$ 
    ==>  $F \in A \text{ leadsTo } ((A \cap (f^{-'(\text{atLeast } l))) \cup B)$ "
 $\langle proof \rangle$ 

```

### 3.6 wlt

Misra's property W3

```

lemma wlt_leadsTo: " $F \in (wlt F B) \text{ leadsTo } B$ "
 $\langle proof \rangle$ 

```

```

lemma leadsTo_subset: " $F \in A \text{ leadsTo } B \implies A \subseteq wlt F B$ "
 $\langle proof \rangle$ 

```

Misra's property W2

```

lemma leadsTo_eq_subset_wlt: " $F \in A \text{ leadsTo } B = (A \subseteq wlt F B)$ "
 $\langle proof \rangle$ 

```

Misra's property W4

```

lemma wlt_increasing: " $B \subseteq wlt F B$ "
 $\langle proof \rangle$ 

```

Used in the Trans case below

```

lemma lemma1:
  "[|  $B \subseteq A2$ ;
     $F \in (A1 - B) \text{ co } (A1 \cup B)$ ;
     $F \in (A2 - C) \text{ co } (A2 \cup C) \text{ |}]$ 
    ==>  $F \in (A1 \cup A2 - C) \text{ co } (A1 \cup A2 \cup C)$ "

```

*<proof>*

Lemma (1,2,3) of Misra's draft book, Chapter 4, "Progress"

**lemma** *leadsTo\_123*:

" $F \in A \text{ leadsTo } A'$ "

$\implies \exists B. A \subseteq B \ \& \ F \in B \text{ leadsTo } A' \ \& \ F \in (B - A') \text{ co } (B \cup A')$ "

*<proof>*

Misra's property W5

**lemma** *wlt\_constrains\_wlt*: " $F \in (\text{wlt } F \ B - B) \text{ co } (\text{wlt } F \ B)$ "

*<proof>*

### 3.7 Completion: Binary and General Finite versions

**lemma** *completion\_lemma* :

" $[ \ W = \text{wlt } F \ (B' \cup C);$

$F \in A \text{ leadsTo } (A' \cup C); \ F \in A' \text{ co } (A' \cup C);$

$F \in B \text{ leadsTo } (B' \cup C); \ F \in B' \text{ co } (B' \cup C) \ ]$

$\implies F \in (A \cap B) \text{ leadsTo } ((A' \cap B') \cup C)$ "

*<proof>*

**lemmas** *completion* = *completion\_lemma* [*OF refl*]

**lemma** *finite\_completion\_lemma*:

"*finite*  $I \implies (\forall i \in I. F \in (A \ i) \text{ leadsTo } (A' \ i \cup C)) \dashrightarrow$

$(\forall i \in I. F \in (A' \ i) \text{ co } (A' \ i \cup C)) \dashrightarrow$

$F \in (\bigcap i \in I. A \ i) \text{ leadsTo } ((\bigcap i \in I. A' \ i) \cup C)$ "

*<proof>*

**lemma** *finite\_completion*:

" $[ \ \text{finite } I;$

$!!i. i \in I \implies F \in (A \ i) \text{ leadsTo } (A' \ i \cup C);$

$!!i. i \in I \implies F \in (A' \ i) \text{ co } (A' \ i \cup C) \ ]$

$\implies F \in (\bigcap i \in I. A \ i) \text{ leadsTo } ((\bigcap i \in I. A' \ i) \cup C)$ "

*<proof>*

**lemma** *stable\_completion*:

" $[ \ F \in A \text{ leadsTo } A'; \ F \in \text{stable } A';$

$F \in B \text{ leadsTo } B'; \ F \in \text{stable } B' \ ]$

$\implies F \in (A \cap B) \text{ leadsTo } (A' \cap B')$ "

*<proof>*

**lemma** *finite\_stable\_completion*:

" $[ \ \text{finite } I;$

$!!i. i \in I \implies F \in (A \ i) \text{ leadsTo } (A' \ i);$

$!!i. i \in I \implies F \in \text{stable } (A' \ i) \ ]$

$\implies F \in (\bigcap i \in I. A \ i) \text{ leadsTo } (\bigcap i \in I. A' \ i)$ "

*<proof>*

**end**

## 4 Weak Safety

theory Constrains imports UNITY begin

inductive\_set

```
traces :: "[ 'a set, ('a * 'a) set set ] => ('a * 'a list) set"
for init :: "'a set" and acts :: "('a * 'a) set set"
where

  Init: "s ∈ init ==> (s, []) ∈ traces init acts"

  | Acts: "[| act: acts; (s, evs) ∈ traces init acts; (s, s'): act |]
    ==> (s', s#evs) ∈ traces init acts"
```

inductive\_set

```
reachable :: "'a program => 'a set"
for F :: "'a program"
where

  Init: "s ∈ Init F ==> s ∈ reachable F"

  | Acts: "[| act: Acts F; s ∈ reachable F; (s, s'): act |]
    ==> s' ∈ reachable F"
```

constdefs

```
Constrains :: "[ 'a set, 'a set ] => 'a program set" (infixl "Co" 60)
"A Co B == {F. F ∈ (reachable F ∩ A) co B}"

Unless :: "[ 'a set, 'a set ] => 'a program set" (infixl "Unless" 60)
"A Unless B == (A-B) Co (A ∪ B)"

Stable :: "'a set => 'a program set"
"Stable A == A Co A"

Always :: "'a set => 'a program set"
"Always A == {F. Init F ⊆ A} ∩ Stable A"

Increasing :: "[ 'a => 'b::order ] => 'a program set"
"Increasing f == ⋂ z. Stable {s. z ≤ f s}"
```

### 4.1 traces and reachable

lemma reachable\_equiv\_traces:

```
"reachable F = {s. ∃ evs. (s, evs) ∈ traces (Init F) (Acts F)}"
⟨proof⟩
```

lemma Init\_subset\_reachable: "Init F ⊆ reachable F"

⟨proof⟩

lemma stable\_reachable [intro!, simp]:



"Acts  $G \subseteq \text{Acts } F \implies G \in \text{stable } (\text{reachable } F)$ "  
 <proof>

**lemma** invariant\_reachable: " $F \in \text{invariant } (\text{reachable } F)$ "  
 <proof>

**lemma** invariant\_includes\_reachable: " $F \in \text{invariant } A \implies \text{reachable } F \subseteq A$ "  
 <proof>

## 4.2 Co

**lemmas** constrains\_reachable\_Int =  
 subset\_refl [THEN stable\_reachable [unfolded stable\_def],  
 THEN constrains\_Int, standard]

**lemma** Constrains\_eq\_constrains:  
 " $A \text{ Co } B = \{F. F \in (\text{reachable } F \cap A) \text{ co } (\text{reachable } F \cap B)\}$ "  
 <proof>

**lemma** constrains\_imp\_Constrains: " $F \in A \text{ co } A' \implies F \in A \text{ Co } A'$ "  
 <proof>

**lemma** stable\_imp\_Stable: " $F \in \text{stable } A \implies F \in \text{Stable } A$ "  
 <proof>

**lemma** ConstrainsI:  
 " $(\text{!act } s \ s'. \ [ \text{act: Acts } F; \ (s, s') \in \text{act}; \ s \in A \ ] \implies s': A') \implies F \in A \text{ Co } A'$ "  
 <proof>

**lemma** Constrains\_empty [iff]: " $F \in \{\} \text{ Co } B$ "  
 <proof>

**lemma** Constrains\_UNIV [iff]: " $F \in A \text{ Co } \text{UNIV}$ "  
 <proof>

**lemma** Constrains\_weaken\_R:  
 " $[ \text{!} F \in A \text{ Co } A'; \ A' \leq B' \ ] \implies F \in A \text{ Co } B'$ "  
 <proof>

**lemma** Constrains\_weaken\_L:  
 " $[ \text{!} F \in A \text{ Co } A'; \ B \subseteq A \ ] \implies F \in B \text{ Co } A'$ "  
 <proof>

**lemma** Constrains\_weaken:  
 " $[ \text{!} F \in A \text{ Co } A'; \ B \subseteq A; \ A' \leq B' \ ] \implies F \in B \text{ Co } B'$ "  
 <proof>

**lemma** Constrains\_Un:

"[|  $F \in A \text{ Co } A'$ ;  $F \in B \text{ Co } B'$  |]  $\implies F \in (A \cup B) \text{ Co } (A' \cup B')$ "  
 $\langle \text{proof} \rangle$

**lemma** *Constrains\_UN*:  
 assumes *Co*: " $\forall i. i \in I \implies F \in (A \ i) \text{ Co } (A' \ i)$ "  
 shows " $F \in (\bigcup i \in I. A \ i) \text{ Co } (\bigcup i \in I. A' \ i)$ "  
 $\langle \text{proof} \rangle$

**lemma** *Constrains\_Int*:  
 "[|  $F \in A \text{ Co } A'$ ;  $F \in B \text{ Co } B'$  |]  $\implies F \in (A \cap B) \text{ Co } (A' \cap B')$ "  
 $\langle \text{proof} \rangle$

**lemma** *Constrains\_INT*:  
 assumes *Co*: " $\forall i. i \in I \implies F \in (A \ i) \text{ Co } (A' \ i)$ "  
 shows " $F \in (\bigcap i \in I. A \ i) \text{ Co } (\bigcap i \in I. A' \ i)$ "  
 $\langle \text{proof} \rangle$

**lemma** *Constrains\_imp\_subset*: " $F \in A \text{ Co } A' \implies \text{reachable } F \cap A \subseteq A'$ "  
 $\langle \text{proof} \rangle$

**lemma** *Constrains\_trans*: "[|  $F \in A \text{ Co } B$ ;  $F \in B \text{ Co } C$  |]  $\implies F \in A \text{ Co } C$ "  
 $\langle \text{proof} \rangle$

**lemma** *Constrains\_cancel*:  
 "[|  $F \in A \text{ Co } (A' \cup B)$ ;  $F \in B \text{ Co } B'$  |]  $\implies F \in A \text{ Co } (A' \cup B')$ "  
 $\langle \text{proof} \rangle$

### 4.3 Stable

**lemma** *Stable\_eq*: "[|  $F \in \text{Stable } A$ ;  $A = B$  |]  $\implies F \in \text{Stable } B$ "  
 $\langle \text{proof} \rangle$

**lemma** *Stable\_eq\_stable*: " $(F \in \text{Stable } A) = (F \in \text{stable } (\text{reachable } F \cap A))$ "  
 $\langle \text{proof} \rangle$

**lemma** *StableI*: " $F \in A \text{ Co } A \implies F \in \text{Stable } A$ "  
 $\langle \text{proof} \rangle$

**lemma** *StableD*: " $F \in \text{Stable } A \implies F \in A \text{ Co } A$ "  
 $\langle \text{proof} \rangle$

**lemma** *Stable\_Un*:  
 "[|  $F \in \text{Stable } A$ ;  $F \in \text{Stable } A'$  |]  $\implies F \in \text{Stable } (A \cup A')$ "  
 $\langle \text{proof} \rangle$

**lemma** *Stable\_Int*:  
 "[|  $F \in \text{Stable } A$ ;  $F \in \text{Stable } A'$  |]  $\implies F \in \text{Stable } (A \cap A')$ "  
 $\langle \text{proof} \rangle$

**lemma** *Stable\_Constrains\_Un*:  
 "[|  $F \in \text{Stable } C$ ;  $F \in A \text{ Co } (C \cup A')$  |]  
 $\implies F \in (C \cup A) \text{ Co } (C \cup A')$ "

$\langle \text{proof} \rangle$

**lemma** *Stable\_Constrains\_Int*:  
 "[|  $F \in \text{Stable } C$ ;  $F \in (C \cap A) \text{ Co } A'$  |]  
 $\implies F \in (C \cap A) \text{ Co } (C \cap A')$ "  
 $\langle \text{proof} \rangle$

**lemma** *Stable\_UN*:  
 $"(!i. i \in I \implies F \in \text{Stable } (A \ i)) \implies F \in \text{Stable } (\bigcup i \in I. A \ i)"$   
 $\langle \text{proof} \rangle$

**lemma** *Stable\_INT*:  
 $"(!i. i \in I \implies F \in \text{Stable } (A \ i)) \implies F \in \text{Stable } (\bigcap i \in I. A \ i)"$   
 $\langle \text{proof} \rangle$

**lemma** *Stable\_reachable*: " $F \in \text{Stable } (\text{reachable } F)$ "  
 $\langle \text{proof} \rangle$

## 4.4 Increasing

**lemma** *IncreasingD*:  
 $"F \in \text{Increasing } f \implies F \in \text{Stable } \{s. x \leq f \ s\}"$   
 $\langle \text{proof} \rangle$

**lemma** *mono\_Increasing\_o*:  
 $"\text{mono } g \implies \text{Increasing } f \subseteq \text{Increasing } (g \circ f)"$   
 $\langle \text{proof} \rangle$

**lemma** *strict\_IncreasingD*:  
 $"!z::\text{nat}. F \in \text{Increasing } f \implies F \in \text{Stable } \{s. z < f \ s\}"$   
 $\langle \text{proof} \rangle$

**lemma** *increasing\_imp\_Increasing*:  
 $"F \in \text{increasing } f \implies F \in \text{Increasing } f"$   
 $\langle \text{proof} \rangle$

**lemmas** *Increasing\_constant* =  
 increasing\_constant [THEN increasing\_imp\_Increasing, standard, iff]

## 4.5 The Elimination Theorem

**lemma** *Elimination*:  
 $"[| \forall m. F \in \{s. s \ x = m\} \text{ Co } (B \ m) |]  
\implies F \in \{s. s \ x \in M\} \text{ Co } (\bigcup m \in M. B \ m)"$   
 $\langle \text{proof} \rangle$

**lemma** *Elimination\_sing*:  
 $"(\forall m. F \in \{m\} \text{ Co } (B \ m)) \implies F \in M \text{ Co } (\bigcup m \in M. B \ m)"$   
 $\langle \text{proof} \rangle$

## 4.6 Specialized laws for handling Always

**lemma** *AlwaysI*: " $[| \text{Init } F \subseteq A; F \in \text{Stable } A |] \implies F \in \text{Always } A$ "

*<proof>*

**lemma** AlwaysD: " $F \in \text{Always } A \implies \text{Init } F \subseteq A \ \& \ F \in \text{Stable } A$ "  
*<proof>*

**lemmas** AlwaysE = AlwaysD [THEN conjE, standard]

**lemmas** Always\_imp\_Stable = AlwaysD [THEN conjunct2, standard]

**lemma** Always\_includes\_reachable: " $F \in \text{Always } A \implies \text{reachable } F \subseteq A$ "  
*<proof>*

**lemma** invariant\_imp\_Always:  
       " $F \in \text{invariant } A \implies F \in \text{Always } A$ "  
*<proof>*

**lemmas** Always\_reachable =  
       invariant\_reachable [THEN invariant\_imp\_Always, standard]

**lemma** Always\_eq\_invariant\_reachable:  
       " $\text{Always } A = \{F. F \in \text{invariant } (\text{reachable } F \cap A)\}$ "  
*<proof>*

**lemma** Always\_eq\_includes\_reachable: " $\text{Always } A = \{F. \text{reachable } F \subseteq A\}$ "  
*<proof>*

**lemma** Always\_UNIV\_eq [simp]: " $\text{Always } \text{UNIV} = \text{UNIV}$ "  
*<proof>*

**lemma** UNIV\_AlwaysI: " $\text{UNIV} \subseteq A \implies F \in \text{Always } A$ "  
*<proof>*

**lemma** Always\_eq\_UN\_invariant: " $\text{Always } A = (\bigcup I \in \text{Pow } A. \text{invariant } I)$ "  
*<proof>*

**lemma** Always\_weaken: " $[| F \in \text{Always } A; A \subseteq B |] \implies F \in \text{Always } B$ "  
*<proof>*

## 4.7 "Co" rules involving Always

**lemma** Always\_Constrains\_pre:  
       " $F \in \text{Always } \text{INV} \implies (F \in (\text{INV} \cap A) \text{ Co } A') = (F \in A \text{ Co } A')$ "  
*<proof>*

**lemma** Always\_Constrains\_post:  
       " $F \in \text{Always } \text{INV} \implies (F \in A \text{ Co } (\text{INV} \cap A')) = (F \in A \text{ Co } A')$ "  
*<proof>*

**lemmas** Always\_ConstrainsI = Always\_Constrains\_pre [THEN iffD1, standard]

**lemmas** Always\_ConstrainsD = Always\_Constrains\_post [THEN iffD2, standard]

**lemma** Always\_Constrains\_weaken:  
 "[| F ∈ Always C; F ∈ A Co A';  
   C ∩ B ⊆ A; C ∩ A' ⊆ B' |]  
 ==> F ∈ B Co B'"  
 <proof>

**lemma** Always\_Int\_distrib: "Always (A ∩ B) = Always A ∩ Always B"  
 <proof>

**lemma** Always\_INT\_distrib: "Always (INTER I A) = (⋂ i ∈ I. Always (A i))"  
 <proof>

**lemma** Always\_Int\_I:  
 "[| F ∈ Always A; F ∈ Always B |] ==> F ∈ Always (A ∩ B)"  
 <proof>

**lemma** Always\_Compl\_Un\_eq:  
 "F ∈ Always A ==> (F ∈ Always (¬A ∪ B)) = (F ∈ Always B)"  
 <proof>

**lemmas** Always\_thin = thin\_rl [of "F ∈ Always A", standard]

## 4.8 Totalize

**lemma** reachable\_imp\_reachable\_tot:  
 "s ∈ reachable F ==> s ∈ reachable (totalize F)"  
 <proof>

**lemma** reachable\_tot\_imp\_reachable:  
 "s ∈ reachable (totalize F) ==> s ∈ reachable F"  
 <proof>

**lemma** reachable\_tot\_eq [simp]: "reachable (totalize F) = reachable F"  
 <proof>

**lemma** totalize\_Constrains\_iff [simp]: "(totalize F ∈ A Co B) = (F ∈ A Co B)"  
 <proof>

**lemma** totalize\_Stable\_iff [simp]: "(totalize F ∈ Stable A) = (F ∈ Stable A)"  
 <proof>

**lemma** totalize\_Always\_iff [simp]: "(totalize F ∈ Always A) = (F ∈ Always A)"  
 <proof>

end

## 5 Weak Progress

theory SubstAx imports WFair Constrains begin

constdefs

Ensures :: "[’a set, ’a set] => ’a program set" (infixl "Ensures" 60)  
 "A Ensures B == {F. F ∈ (reachable F ∩ A) ensures B}"

LeadsTo :: "[’a set, ’a set] => ’a program set" (infixl "LeadsTo" 60)  
 "A LeadsTo B == {F. F ∈ (reachable F ∩ A) leadsTo B}"

syntax (xsymbols)

"op LeadsTo" :: "[’a set, ’a set] => ’a program set" (infixl "⟶<sub>w</sub>" 60)

Resembles the previous definition of LeadsTo

lemma LeadsTo\_eq\_leadsTo:

"A LeadsTo B = {F. F ∈ (reachable F ∩ A) leadsTo (reachable F ∩ B)}"  
 ⟨proof⟩

### 5.1 Specialized laws for handling invariants

lemma Always\_LeadsTo\_pre:

"F ∈ Always INV ==> (F ∈ (INV ∩ A) LeadsTo A') = (F ∈ A LeadsTo A')"  
 ⟨proof⟩

lemma Always\_LeadsTo\_post:

"F ∈ Always INV ==> (F ∈ A LeadsTo (INV ∩ A')) = (F ∈ A LeadsTo A')"  
 ⟨proof⟩

lemmas Always\_LeadsToI = Always\_LeadsTo\_pre [THEN iffD1, standard]

lemmas Always\_LeadsToD = Always\_LeadsTo\_post [THEN iffD2, standard]

### 5.2 Introduction rules: Basis, Trans, Union

lemma leadsTo\_imp\_LeadsTo: "F ∈ A leadsTo B ==> F ∈ A LeadsTo B"  
 ⟨proof⟩

lemma LeadsTo\_Trans:

"[| F ∈ A LeadsTo B; F ∈ B LeadsTo C |] ==> F ∈ A LeadsTo C"  
 ⟨proof⟩

lemma LeadsTo\_Union:

"(!A. A ∈ S ==> F ∈ A LeadsTo B) ==> F ∈ (Union S) LeadsTo B"  
 ⟨proof⟩

### 5.3 Derived rules

**lemma** *LeadsTo\_UNIV* [simp]: " $F \in A$  LeadsTo UNIV"  
 <proof>

Useful with cancellation, disjunction

**lemma** *LeadsTo\_Un\_duplicate*:  
 " $F \in A$  LeadsTo  $(A' \cup A')$   $\implies F \in A$  LeadsTo  $A'$ "  
 <proof>

**lemma** *LeadsTo\_Un\_duplicate2*:  
 " $F \in A$  LeadsTo  $(A' \cup C \cup C)$   $\implies F \in A$  LeadsTo  $(A' \cup C)$ "  
 <proof>

**lemma** *LeadsTo\_UN*:  
 " $(\forall i. i \in I \implies F \in (A \ i) \text{ LeadsTo } B) \implies F \in (\bigcup i \in I. A \ i) \text{ LeadsTo } B$ "  
 <proof>

Binary union introduction rule

**lemma** *LeadsTo\_Un*:  
 " $[F \in A \text{ LeadsTo } C; F \in B \text{ LeadsTo } C] \implies F \in (A \cup B) \text{ LeadsTo } C$ "  
 <proof>

Lets us look at the starting state

**lemma** *single\_LeadsTo\_I*:  
 " $(\forall s. s \in A \implies F \in \{s\} \text{ LeadsTo } B) \implies F \in A \text{ LeadsTo } B$ "  
 <proof>

**lemma** *subset\_imp\_LeadsTo*: " $A \subseteq B \implies F \in A \text{ LeadsTo } B$ "  
 <proof>

**lemmas** *empty\_LeadsTo* = *empty\_subsetI* [THEN *subset\_imp\_LeadsTo*, *standard*, *simp*]

**lemma** *LeadsTo\_weaken\_R* [rule\_format]:  
 " $[F \in A \text{ LeadsTo } A'; A' \subseteq B'] \implies F \in A \text{ LeadsTo } B'$ "  
 <proof>

**lemma** *LeadsTo\_weaken\_L* [rule\_format]:  
 " $[F \in A \text{ LeadsTo } A'; B \subseteq A] \implies F \in B \text{ LeadsTo } A'$ "  
 <proof>

**lemma** *LeadsTo\_weaken*:  
 " $[F \in A \text{ LeadsTo } A'; B \subseteq A; A' \subseteq B'] \implies F \in B \text{ LeadsTo } B'$ "  
 <proof>

**lemma** *Always\_LeadsTo\_weaken*:  
 " $[F \in \text{Always } C; F \in A \text{ LeadsTo } A'; C \cap B \subseteq A; C \cap A' \subseteq B'] \implies F \in B \text{ LeadsTo } B'$ "

$\langle proof \rangle$

**lemma** *LeadsTo\_Un\_post*: " $F \in A \text{ LeadsTo } B \implies F \in (A \cup B) \text{ LeadsTo } B$ "  
 $\langle proof \rangle$

**lemma** *LeadsTo\_Trans\_Un*:  
 " $[| F \in A \text{ LeadsTo } B; F \in B \text{ LeadsTo } C |]$   
 $\implies F \in (A \cup B) \text{ LeadsTo } C$ "  
 $\langle proof \rangle$

**lemma** *LeadsTo\_Un\_distrib*:  
 " $(F \in (A \cup B) \text{ LeadsTo } C) = (F \in A \text{ LeadsTo } C \ \& \ F \in B \text{ LeadsTo } C)$ "  
 $\langle proof \rangle$

**lemma** *LeadsTo\_UN\_distrib*:  
 " $(F \in (\bigcup i \in I. A \ i) \text{ LeadsTo } B) = (\forall i \in I. F \in (A \ i) \text{ LeadsTo } B)$ "  
 $\langle proof \rangle$

**lemma** *LeadsTo\_Union\_distrib*:  
 " $(F \in (\text{Union } S) \text{ LeadsTo } B) = (\forall A \in S. F \in A \text{ LeadsTo } B)$ "  
 $\langle proof \rangle$

**lemma** *LeadsTo\_Basis*: " $F \in A \text{ Ensures } B \implies F \in A \text{ LeadsTo } B$ "  
 $\langle proof \rangle$

**lemma** *EnsuresI*:  
 " $[| F \in (A-B) \text{ Co } (A \cup B); F \in \text{transient } (A-B) |]$   
 $\implies F \in A \text{ Ensures } B$ "  
 $\langle proof \rangle$

**lemma** *Always\_LeadsTo\_Basis*:  
 " $[| F \in \text{Always } INV;$   
 $F \in (INV \cap (A-A')) \text{ Co } (A \cup A');$   
 $F \in \text{transient } (INV \cap (A-A')) |]$   
 $\implies F \in A \text{ LeadsTo } A'$ "  
 $\langle proof \rangle$

Set difference: maybe combine with *leadsTo\_weaken\_L*?? This is the most useful form of the "disjunction" rule

**lemma** *LeadsTo\_Diff*:  
 " $[| F \in (A-B) \text{ LeadsTo } C; F \in (A \cap B) \text{ LeadsTo } C |]$   
 $\implies F \in A \text{ LeadsTo } C$ "  
 $\langle proof \rangle$

**lemma** *LeadsTo\_UN\_UN*:



```

    "(!! i. i ∈ I ==> F ∈ (A i) LeadsTo (A' i))
    ==> F ∈ (⋃ i ∈ I. A i) LeadsTo (⋃ i ∈ I. A' i)"
  <proof>

```

Version with no index set

```

lemma LeadsTo_UN_UN_noindex:
  "(!!i. F ∈ (A i) LeadsTo (A' i)) ==> F ∈ (⋃ i. A i) LeadsTo (⋃ i. A'
  i)"
  <proof>

```

Version with no index set

```

lemma all_LeadsTo_UN_UN:
  "∀ i. F ∈ (A i) LeadsTo (A' i)
  ==> F ∈ (⋃ i. A i) LeadsTo (⋃ i. A' i)"
  <proof>

```

Binary union version

```

lemma LeadsTo_Un_Un:
  "[| F ∈ A LeadsTo A'; F ∈ B LeadsTo B' |]
  ==> F ∈ (A ∪ B) LeadsTo (A' ∪ B')"
  <proof>

```

```

lemma LeadsTo_cancel2:
  "[| F ∈ A LeadsTo (A' ∪ B); F ∈ B LeadsTo B' |]
  ==> F ∈ A LeadsTo (A' ∪ B')"
  <proof>

```

```

lemma LeadsTo_cancel_Diff2:
  "[| F ∈ A LeadsTo (A' ∪ B); F ∈ (B-A') LeadsTo B' |]
  ==> F ∈ A LeadsTo (A' ∪ B')"
  <proof>

```

```

lemma LeadsTo_cancel1:
  "[| F ∈ A LeadsTo (B ∪ A'); F ∈ B LeadsTo B' |]
  ==> F ∈ A LeadsTo (B' ∪ A')"
  <proof>

```

```

lemma LeadsTo_cancel_Diff1:
  "[| F ∈ A LeadsTo (B ∪ A'); F ∈ (B-A') LeadsTo B' |]
  ==> F ∈ A LeadsTo (B' ∪ A')"
  <proof>

```

The impossibility law

The set "A" may be non-empty, but it contains no reachable states

```

lemma LeadsTo_empty: "[|F ∈ A LeadsTo {}; all_total F|] ==> F ∈ Always (-A)"
  <proof>

```

## 5.4 PSP: Progress-Safety-Progress

Special case of PSP: Misra's "stable conjunction"

```

lemma PSP_Stable:
  "[| F ∈ A LeadsTo A'; F ∈ Stable B |]
   ==> F ∈ (A ∩ B) LeadsTo (A' ∩ B)"
  <proof>

lemma PSP_Stable2:
  "[| F ∈ A LeadsTo A'; F ∈ Stable B |]
   ==> F ∈ (B ∩ A) LeadsTo (B ∩ A')"
  <proof>

lemma PSP:
  "[| F ∈ A LeadsTo A'; F ∈ B Co B' |]
   ==> F ∈ (A ∩ B') LeadsTo ((A' ∩ B) ∪ (B' - B))"
  <proof>

lemma PSP2:
  "[| F ∈ A LeadsTo A'; F ∈ B Co B' |]
   ==> F ∈ (B' ∩ A) LeadsTo ((B ∩ A') ∪ (B' - B))"
  <proof>

lemma PSP_Unless:
  "[| F ∈ A LeadsTo A'; F ∈ B Unless B' |]
   ==> F ∈ (A ∩ B) LeadsTo ((A' ∩ B) ∪ B')"
  <proof>

lemma Stable_transient_Always_LeadsTo:
  "[| F ∈ Stable A; F ∈ transient C;
     F ∈ Always (¬A ∪ B ∪ C) |] ==> F ∈ A LeadsTo B"
  <proof>

```

## 5.5 Induction rules

```

lemma LeadsTo_wf_induct:
  "[| wf r;
     ∀m. F ∈ (A ∩ f-'{m}) LeadsTo
              ((A ∩ f-'(r^-1 '' {m})) ∪ B) |]
   ==> F ∈ A LeadsTo B"
  <proof>

lemma Bounded_induct:
  "[| wf r;
     ∀m ∈ I. F ∈ (A ∩ f-'{m}) LeadsTo
                  ((A ∩ f-'(r^-1 '' {m})) ∪ B) |]
   ==> F ∈ A LeadsTo ((A - (f-`I)) ∪ B)"
  <proof>

lemma LessThan_induct:
  "(!!m::nat. F ∈ (A ∩ f-'{m}) LeadsTo ((A ∩ f-'(lessThan m)) ∪ B))
   ==> F ∈ A LeadsTo B"
  <proof>

```

Integer version. Could generalize from 0 to any lower bound

```

lemma integ_0_le_induct:
  "[| F ∈ Always {s. (0::int) ≤ f s};
    !! z. F ∈ (A ∩ {s. f s = z}) LeadsTo
      ((A ∩ {s. f s < z}) ∪ B) |]
  ==> F ∈ A LeadsTo B"
<proof>

lemma LessThan_bounded_induct:
  "!!l::nat. ∀m ∈ greaterThan l.
    F ∈ (A ∩ f-'{m}) LeadsTo ((A ∩ f-'(lessThan m)) ∪ B)
  ==> F ∈ A LeadsTo ((A ∩ (f-'(atMost l))) ∪ B)"
<proof>

lemma GreaterThan_bounded_induct:
  "!!l::nat. ∀m ∈ lessThan l.
    F ∈ (A ∩ f-'{m}) LeadsTo ((A ∩ f-'(greaterThan m)) ∪ B)
  ==> F ∈ A LeadsTo ((A ∩ (f-'(atLeast l))) ∪ B)"
<proof>

```

## 5.6 Completion: Binary and General Finite versions

```

lemma Completion:
  "[| F ∈ A LeadsTo (A' ∪ C); F ∈ A' Co (A' ∪ C);
    F ∈ B LeadsTo (B' ∪ C); F ∈ B' Co (B' ∪ C) |]
  ==> F ∈ (A ∩ B) LeadsTo ((A' ∩ B') ∪ C)"
<proof>

lemma Finite_completion_lemma:
  "finite I
  ==> (∀i ∈ I. F ∈ (A i) LeadsTo (A' i ∪ C)) -->
    (∀i ∈ I. F ∈ (A' i) Co (A' i ∪ C)) -->
    F ∈ (⋂i ∈ I. A i) LeadsTo ((⋂i ∈ I. A' i) ∪ C)"
<proof>

lemma Finite_completion:
  "[| finite I;
    !!i. i ∈ I ==> F ∈ (A i) LeadsTo (A' i ∪ C);
    !!i. i ∈ I ==> F ∈ (A' i) Co (A' i ∪ C) |]
  ==> F ∈ (⋂i ∈ I. A i) LeadsTo ((⋂i ∈ I. A' i) ∪ C)"
<proof>

lemma Stable_completion:
  "[| F ∈ A LeadsTo A'; F ∈ Stable A';
    F ∈ B LeadsTo B'; F ∈ Stable B' |]
  ==> F ∈ (A ∩ B) LeadsTo (A' ∩ B')"
<proof>

lemma Finite_stable_completion:
  "[| finite I;
    !!i. i ∈ I ==> F ∈ (A i) LeadsTo (A' i);
    !!i. i ∈ I ==> F ∈ Stable (A' i) |]
  ==> F ∈ (⋂i ∈ I. A i) LeadsTo (⋂i ∈ I. A' i)"
<proof>

```

end

## 6 The Detects Relation

theory *Detects* imports *FP SubstAx* begin

consts

*op\_Detects* :: "[*'a set*, *'a set*] => *'a program set*" (infixl "Detects" 60)  
*op\_Equality* :: "[*'a set*, *'a set*] => *'a set*" (infixl "<==>" 60)

defs

*Detects\_def*: "*A Detects B* == (*Always* ( $\neg A \cup B$ ))  $\cap$  (*B LeadsTo A*)"  
*Equality\_def*: "*A <==> B* == ( $\neg A \cup B$ )  $\cap$  ( $A \cup \neg B$ )"

lemma *Always\_at\_FP*:

"[*F*  $\in$  *A LeadsTo B*; all\_total *F*] ==> *F*  $\in$  *Always* ( $\neg((FP\ F) \cap A \cap \neg B)$ )"  
 <proof>

lemma *Detects\_Trans*:

"[*F*  $\in$  *A Detects B*; *F*  $\in$  *B Detects C*] ==> *F*  $\in$  *A Detects C*"  
 <proof>

lemma *Detects\_refl*: "*F*  $\in$  *A Detects A*"

<proof>

lemma *Detects\_eq\_Un*: " $(A <==> B) = (A \cap B) \cup (\neg A \cap \neg B)$ "

<proof>

lemma *Detects\_antisym*:

"[*F*  $\in$  *A Detects B*; *F*  $\in$  *B Detects A*] ==> *F*  $\in$  *Always* (*A <==> B*)"  
 <proof>

lemma *Detects\_Always*:

"[*F*  $\in$  *A Detects B*; all\_total *F*] ==> *F*  $\in$  *Always* ( $\neg(FP\ F) \cup (A <==> B)$ )"  
 <proof>

lemma *Detects\_Imp\_LeadstoEQ*:

"*F*  $\in$  *A Detects B* ==> *F*  $\in$  *UNIV LeadsTo* (*A <==> B*)"  
 <proof>

end

## 7 Unions of Programs

theory *Union* imports *SubstAx* *FP* begin

constdefs

```

ok :: "[ 'a program, 'a program ] => bool"      (infixl "ok" 65)
  "F ok G == Acts F  $\subseteq$  AllowedActs G &
    Acts G  $\subseteq$  AllowedActs F"

OK :: "[ 'a set, 'a => 'b program ] => bool"
  "OK I F == ( $\forall i \in I. \forall j \in I - \{i\}. Acts (F i) \subseteq AllowedActs (F j)$ )"

JOIN :: "[ 'a set, 'a => 'b program ] => 'b program"
  "JOIN I F == mk_program ( $\bigcap i \in I. Init (F i), \bigcup i \in I. Acts (F i),$ 
     $\bigcap i \in I. AllowedActs (F i)$ )"

Join :: "[ 'a program, 'a program ] => 'a program"      (infixl "Join" 65)
  "F Join G == mk_program (Init F  $\cap$  Init G, Acts F  $\cup$  Acts G,
    AllowedActs F  $\cap$  AllowedActs G)"

SKIP :: "'a program"
  "SKIP == mk_program (UNIV, {}, UNIV)"

safety_prop :: "'a program set => bool"
  "safety_prop X == SKIP: X & ( $\forall G. Acts G \subseteq UNION X Acts \rightarrow G \in X$ )"

syntax
  "@JOIN1"      :: "[pttrns, 'b set] => 'b set"          ("(3JN _./ _)" 10)
  "@JOIN"       :: "[pttrn, 'a set, 'b set] => 'b set"   ("(3JN _:_./ _)" 10)

translations
  "JN x : A. B"  == "JOIN A (%x. B)"
  "JN x y. B"    == "JN x. JN y. B"
  "JN x. B"      == "JOIN CONST UNIV (%x. B)"

syntax (xsymbols)
  SKIP          :: "'a program"                          ("⊥")
  Join          :: "[ 'a program, 'a program ] => 'a program" (infixl "⊔" 65)
  "@JOIN1"     :: "[pttrns, 'b set] => 'b set"          ("(3⊔ _./ _)" 10)
  "@JOIN"      :: "[pttrn, 'a set, 'b set] => 'b set"   ("(3⊔ _∈_./ _)" 10)

```

### 7.1 SKIP

lemma *Init\_SKIP* [simp]: "Init SKIP = UNIV"

$\langle proof \rangle$

**lemma** *Acts\_SKIP [simp]: "Acts SKIP = {Id}"*  
 $\langle proof \rangle$

**lemma** *AllowedActs\_SKIP [simp]: "AllowedActs SKIP = UNIV"*  
 $\langle proof \rangle$

**lemma** *reachable\_SKIP [simp]: "reachable SKIP = UNIV"*  
 $\langle proof \rangle$

## 7.2 SKIP and safety properties

**lemma** *SKIP\_in\_constrains\_iff [iff]: "(SKIP  $\in$  A co B) = (A  $\subseteq$  B)"*  
 $\langle proof \rangle$

**lemma** *SKIP\_in\_Constrains\_iff [iff]: "(SKIP  $\in$  A Co B) = (A  $\subseteq$  B)"*  
 $\langle proof \rangle$

**lemma** *SKIP\_in\_stable [iff]: "SKIP  $\in$  stable A"*  
 $\langle proof \rangle$

**declare** *SKIP\_in\_stable [THEN stable\_imp\_Stable, iff]*

## 7.3 Join

**lemma** *Init\_Join [simp]: "Init (F  $\sqcup$  G) = Init F  $\cap$  Init G"*  
 $\langle proof \rangle$

**lemma** *Acts\_Join [simp]: "Acts (F  $\sqcup$  G) = Acts F  $\cup$  Acts G"*  
 $\langle proof \rangle$

**lemma** *AllowedActs\_Join [simp]:*  
*"AllowedActs (F  $\sqcup$  G) = AllowedActs F  $\cap$  AllowedActs G"*  
 $\langle proof \rangle$

## 7.4 JN

**lemma** *JN\_empty [simp]: "( $\bigsqcup_{i \in \{ \}$  F i) = SKIP"*  
 $\langle proof \rangle$

**lemma** *JN\_insert [simp]: "( $\bigsqcup_{i \in \text{insert } a \ I} F i) = (F a) \sqcup (\bigsqcup_{i \in I} F i)"$*   
 $\langle proof \rangle$

**lemma** *Init\_JN [simp]: "Init ( $\bigsqcup_{i \in I} F i) = (\bigcap_{i \in I} \text{Init } (F i))"$*   
 $\langle proof \rangle$

**lemma** *Acts\_JN [simp]: "Acts ( $\bigsqcup_{i \in I} F i) = \text{insert Id } (\bigcup_{i \in I} \text{Acts } (F i))"$*   
 $\langle proof \rangle$

**lemma** *AllowedActs\_JN [simp]:*  
*"AllowedActs ( $\bigsqcup_{i \in I} F i) = (\bigcap_{i \in I} \text{AllowedActs } (F i))"$*   
 $\langle proof \rangle$

**lemma** *JN\_cong [cong]*:  
 "[ $I=J$ ;  $\forall i. i \in J \implies F\ i = G\ i$ ]  $\implies (\bigsqcup_{i \in I} F\ i) = (\bigsqcup_{i \in J} G\ i)$ "  
 $\langle proof \rangle$

## 7.5 Algebraic laws

**lemma** *Join\_commute*: " $F \sqcup G = G \sqcup F$ "  
 $\langle proof \rangle$

**lemma** *Join\_assoc*: " $(F \sqcup G) \sqcup H = F \sqcup (G \sqcup H)$ "  
 $\langle proof \rangle$

**lemma** *Join\_left\_commute*: " $A \sqcup (B \sqcup C) = B \sqcup (A \sqcup C)$ "  
 $\langle proof \rangle$

**lemma** *Join\_SKIP\_left [simp]*: " $SKIP \sqcup F = F$ "  
 $\langle proof \rangle$

**lemma** *Join\_SKIP\_right [simp]*: " $F \sqcup SKIP = F$ "  
 $\langle proof \rangle$

**lemma** *Join\_absorb [simp]*: " $F \sqcup F = F$ "  
 $\langle proof \rangle$

**lemma** *Join\_left\_absorb*: " $F \sqcup (F \sqcup G) = F \sqcup G$ "  
 $\langle proof \rangle$

**lemmas** *Join\_ac = Join\_assoc Join\_left\_absorb Join\_commute Join\_left\_commute*

## 7.6 Laws Governing $\sqcup$

**lemma** *JN\_absorb*: " $k \in I \implies F\ k \sqcup (\bigsqcup_{i \in I} F\ i) = (\bigsqcup_{i \in I} F\ i)$ "  
 $\langle proof \rangle$

**lemma** *JN\_Un*: " $(\bigsqcup_{i \in I \cup J} F\ i) = ((\bigsqcup_{i \in I} F\ i) \sqcup (\bigsqcup_{i \in J} F\ i))$ "  
 $\langle proof \rangle$

**lemma** *JN\_constant*: " $(\bigsqcup_{i \in I} c) = (\text{if } I=\{\} \text{ then } SKIP \text{ else } c)$ "  
 $\langle proof \rangle$

**lemma** *JN\_Join\_distrib*:  
 " $(\bigsqcup_{i \in I} F\ i \sqcup G\ i) = (\bigsqcup_{i \in I} F\ i) \sqcup (\bigsqcup_{i \in I} G\ i)$ "  
 $\langle proof \rangle$

**lemma** *JN\_Join\_miniscope*:  
 " $i \in I \implies (\bigsqcup_{i \in I} F\ i \sqcup G) = ((\bigsqcup_{i \in I} F\ i) \sqcup G)$ "  
 $\langle proof \rangle$

**lemma** *JN\_Join\_diff*: " $i \in I \implies F\ i \sqcup JOIN\ (I - \{i\})\ F = JOIN\ I\ F$ "

$\langle proof \rangle$

## 7.7 Safety: co, stable, FP

**lemma** *JN\_constrains*:

" $i \in I \implies (\bigsqcup i \in I. F i) \in A \text{ co } B = (\forall i \in I. F i \in A \text{ co } B)$ "  
 $\langle proof \rangle$

**lemma** *Join\_constrains [simp]*:

" $(F \sqcup G \in A \text{ co } B) = (F \in A \text{ co } B \ \& \ G \in A \text{ co } B)$ "  
 $\langle proof \rangle$

**lemma** *Join\_unless [simp]*:

" $(F \sqcup G \in A \text{ unless } B) = (F \in A \text{ unless } B \ \& \ G \in A \text{ unless } B)$ "  
 $\langle proof \rangle$

**lemma** *Join\_constrains\_weaken*:

" $[| F \in A \text{ co } A'; \ G \in B \text{ co } B' |]$   
 $\implies F \sqcup G \in (A \cap B) \text{ co } (A' \cup B')$ "  
 $\langle proof \rangle$

**lemma** *JN\_constrains\_weaken*:

" $[| \forall i \in I. F i \in A \text{ co } A' i; \ i \in I |]$   
 $\implies (\bigsqcup i \in I. F i) \in (\bigcap i \in I. A i) \text{ co } (\bigcup i \in I. A' i)$ "  
 $\langle proof \rangle$

**lemma** *JN\_stable*: " $(\bigsqcup i \in I. F i) \in \text{stable } A = (\forall i \in I. F i \in \text{stable } A)$ "

$\langle proof \rangle$

**lemma** *invariant\_JN\_I*:

" $[| !!i. i \in I \implies F i \in \text{invariant } A; \ i \in I |]$   
 $\implies (\bigsqcup i \in I. F i) \in \text{invariant } A$ "  
 $\langle proof \rangle$

**lemma** *Join\_stable [simp]*:

" $(F \sqcup G \in \text{stable } A) =$   
 $(F \in \text{stable } A \ \& \ G \in \text{stable } A)$ "  
 $\langle proof \rangle$

**lemma** *Join\_increasing [simp]*:

" $(F \sqcup G \in \text{increasing } f) =$   
 $(F \in \text{increasing } f \ \& \ G \in \text{increasing } f)$ "  
 $\langle proof \rangle$

**lemma** *invariant\_JoinI*:

" $[| F \in \text{invariant } A; \ G \in \text{invariant } A |]$   
 $\implies F \sqcup G \in \text{invariant } A$ "  
 $\langle proof \rangle$

**lemma** *FP\_JN*: " $FP (\bigsqcup i \in I. F i) = (\bigcap i \in I. FP (F i))$ "



*<proof>*

## 7.8 Progress: transient, ensures

**lemma** *JN\_transient*:

" $i \in I \implies$   
 $(\bigcup i \in I. F i) \in \text{transient } A = (\exists i \in I. F i \in \text{transient } A)$ "  
*<proof>*

**lemma** *Join\_transient [simp]*:

" $F \sqcup G \in \text{transient } A =$   
 $(F \in \text{transient } A \mid G \in \text{transient } A)$ "  
*<proof>*

**lemma** *Join\_transient\_I1*: " $F \in \text{transient } A \implies F \sqcup G \in \text{transient } A$ "

*<proof>*

**lemma** *Join\_transient\_I2*: " $G \in \text{transient } A \implies F \sqcup G \in \text{transient } A$ "

*<proof>*

**lemma** *JN Ensures*:

" $i \in I \implies$   
 $(\bigcup i \in I. F i) \in A \text{ ensures } B =$   
 $((\forall i \in I. F i \in (A-B) \text{ co } (A \cup B)) \ \& \ (\exists i \in I. F i \in A \text{ ensures } B))$ "  
*<proof>*

**lemma** *Join Ensures*:

" $F \sqcup G \in A \text{ ensures } B =$   
 $(F \in (A-B) \text{ co } (A \cup B) \ \& \ G \in (A-B) \text{ co } (A \cup B) \ \&$   
 $(F \in \text{transient } (A-B) \mid G \in \text{transient } (A-B)))$ "  
*<proof>*

**lemma** *stable\_Join\_constrains*:

" $[ \mid F \in \text{stable } A; \ G \in A \text{ co } A' \mid ]$   
 $\implies F \sqcup G \in A \text{ co } A'$ "  
*<proof>*

**lemma** *stable\_Join\_Always1*:

" $[ \mid F \in \text{stable } A; \ G \in \text{invariant } A \mid ] \implies F \sqcup G \in \text{Always } A$ "  
*<proof>*

**lemma** *stable\_Join\_Always2*:

" $[ \mid F \in \text{invariant } A; \ G \in \text{stable } A \mid ] \implies F \sqcup G \in \text{Always } A$ "  
*<proof>*

**lemma** *stable\_Join Ensures1*:

" $[ \mid F \in \text{stable } A; \ G \in A \text{ ensures } B \mid ] \implies F \sqcup G \in A \text{ ensures } B$ "  
*<proof>*

**lemma** *stable\_Join Ensures2*:

"[|  $F \in A$  ensures  $B$ ;  $G \in \text{stable } A$  |]  $\Rightarrow F \sqcup G \in A$  ensures  $B$ "  
 <proof>

## 7.9 the ok and OK relations

**lemma** *ok\_SKIP1* [iff]: "*SKIP* ok  $F$ "  
 <proof>

**lemma** *ok\_SKIP2* [iff]: " $F$  ok *SKIP*"  
 <proof>

**lemma** *ok\_Join\_commute*:  
 " $(F \text{ ok } G \ \& \ (F \sqcup G) \text{ ok } H) = (G \text{ ok } H \ \& \ F \text{ ok } (G \sqcup H))$ "  
 <proof>

**lemma** *ok\_commute*: " $(F \text{ ok } G) = (G \text{ ok } F)$ "  
 <proof>

**lemmas** *ok\_sym* = *ok\_commute* [THEN *iffD1*, *standard*]

**lemma** *ok\_iff\_OK*:  
 "*OK*  $\{(0::\text{int}, F), (1, G), (2, H)\}$  *snd* =  $(F \text{ ok } G \ \& \ (F \sqcup G) \text{ ok } H)$ "  
 <proof>

**lemma** *ok\_Join\_iff1* [iff]: " $F \text{ ok } (G \sqcup H) = (F \text{ ok } G \ \& \ F \text{ ok } H)$ "  
 <proof>

**lemma** *ok\_Join\_iff2* [iff]: " $(G \sqcup H) \text{ ok } F = (G \text{ ok } F \ \& \ H \text{ ok } F)$ "  
 <proof>

**lemma** *ok\_Join\_commute\_I*: "[|  $F \text{ ok } G$ ;  $(F \sqcup G) \text{ ok } H$  |]  $\Rightarrow F \text{ ok } (G \sqcup H)$ "  
 <proof>

**lemma** *ok\_JN\_iff1* [iff]: " $F \text{ ok } (\text{JOIN } I \ G) = (\forall i \in I. F \text{ ok } G \ i)$ "  
 <proof>

**lemma** *ok\_JN\_iff2* [iff]: " $(\text{JOIN } I \ G) \text{ ok } F = (\forall i \in I. G \ i \text{ ok } F)$ "  
 <proof>

**lemma** *OK\_iff\_ok*: "*OK*  $I \ F = (\forall i \in I. \forall j \in I - \{i\}. (F \ i) \text{ ok } (F \ j))$ "  
 <proof>

**lemma** *OK\_imp\_ok*: "[| *OK*  $I \ F$ ;  $i \in I$ ;  $j \in I$ ;  $i \neq j$  |]  $\Rightarrow (F \ i) \text{ ok } (F \ j)$ "  
 <proof>

## 7.10 Allowed

**lemma** *Allowed\_SKIP* [simp]: "*Allowed* *SKIP* = *UNIV*"  
 <proof>

**lemma** *Allowed\_Join* [simp]: "*Allowed*  $(F \sqcup G) = \text{Allowed } F \cap \text{Allowed } G$ "  
 <proof>

**lemma** *Allowed\_JN [simp]*: "Allowed (JOIN I F) = ( $\bigcap i \in I. \text{Allowed } (F i)$ )"  
 <proof>

**lemma** *ok\_iff\_Allowed*: "F ok G = (F ∈ Allowed G & G ∈ Allowed F)"  
 <proof>

**lemma** *OK\_iff\_Allowed*: "OK I F = ( $\forall i \in I. \forall j \in I - \{i\}. F i \in \text{Allowed}(F j)$ )"  
 <proof>

## 7.11 *safety\_prop*, for reasoning about given instances of "ok"

**lemma** *safety\_prop\_Acts\_iff*:  
 "safety\_prop X ==> (Acts G ⊆ insert Id (UNION X Acts)) = (G ∈ X)"  
 <proof>

**lemma** *safety\_prop\_AllowedActs\_iff\_Allowed*:  
 "safety\_prop X ==> (UNION X Acts ⊆ AllowedActs F) = (X ⊆ Allowed F)"  
 <proof>

**lemma** *Allowed\_eq*:  
 "safety\_prop X ==> Allowed (mk\_program (init, acts, UNION X Acts)) = X"  
 <proof>

**lemma** *safety\_prop\_constrains [iff]*: "safety\_prop (A co B) = (A ⊆ B)"  
 <proof>

**lemma** *safety\_prop\_stable [iff]*: "safety\_prop (stable A)"  
 <proof>

**lemma** *safety\_prop\_Int [simp]*:  
 "[| safety\_prop X; safety\_prop Y |] ==> safety\_prop (X ∩ Y)"  
 <proof>

**lemma** *safety\_prop\_INTER1 [simp]*:  
 "(!!i. safety\_prop (X i)) ==> safety\_prop ( $\bigcap i. X i$ )"  
 <proof>

**lemma** *safety\_prop\_INTER [simp]*:  
 "(!!i. i ∈ I ==> safety\_prop (X i)) ==> safety\_prop ( $\bigcap i \in I. X i$ )"  
 <proof>

**lemma** *def\_prg\_Allowed*:  
 "[| F == mk\_program (init, acts, UNION X Acts) ; safety\_prop X |]  
 ==> Allowed F = X"  
 <proof>

**lemma** *Allowed\_totalize [simp]*: "Allowed (totalize F) = Allowed F"  
 <proof>

**lemma** *def\_total\_prg\_Allowed*:  
 "[| F == mk\_total\_program (init, acts, UNION X Acts) ; safety\_prop X |]  
 ==> Allowed F = X"

*<proof>*

```
lemma def_UNION_ok_iff:
  "[| F == mk_program(init,acts,UNION X Acts); safety_prop X |]
   ==> F ok G = (G ∈ X & acts ⊆ AllowedActs G)"
<proof>
```

The union of two total programs is total.

```
lemma totalize_Join: "totalize F ⊔ totalize G = totalize (F ⊔ G)"
<proof>
```

```
lemma all_total_Join: "[| all_total F; all_total G |] ==> all_total (F ⊔ G)"
<proof>
```

```
lemma totalize_JN: "(⊔ i ∈ I. totalize (F i)) = totalize (⊔ i ∈ I. F i)"
<proof>
```

```
lemma all_total_JN: "(!!i. i ∈ I ==> all_total (F i)) ==> all_total (⊔ i ∈ I.
F i)"
<proof>
```

end

## 8 Composition: Basic Primitives

```
theory Comp imports Union begin
```

```
instance program :: (type) ord <proof>
```

```
defs
  component_def:          "F ≤ H == ∃ G. F ⊔ G = H"
  strict_component_def:    "(F < (H::'a program)) == (F ≤ H & F ≠ H)"
```

```
constdefs
  component_of :: "'a program => 'a program => bool"
    (infixl "component'_of" 50)
  "F component_of H == ∃ G. F ok G & F ⊔ G = H"

  strict_component_of :: "'a program ⇒ 'a program => bool"
    (infixl "strict'_component'_of" 50)
  "F strict_component_of H == F component_of H & F ≠ H"

  preserves :: "('a=>'b) => 'a program set"
    "preserves v == ⋂ z. stable {s. v s = z}"

  localize :: "('a=>'b) => 'a program => 'a program"
    "localize v F == mk_program(Init F, Acts F,
      AllowedActs F ∩ (⋃ G ∈ preserves v. Acts G))"

  funPair      :: "[ 'a => 'b, 'a => 'c, 'a ] => 'b * 'c"
  "funPair f g == %x. (f x, g x)"
```

## 8.1 The component relation

**lemma** *componentI*: " $H \leq F \mid H \leq G \implies H \leq (F \sqcup G)$ "  
 <proof>

**lemma** *component\_eq\_subset*:  
 " $(F \leq G) =$   
 $(\text{Init } G \subseteq \text{Init } F \ \& \ \text{Acts } F \subseteq \text{Acts } G \ \& \ \text{AllowedActs } G \subseteq \text{AllowedActs } F)$ "  
 <proof>

**lemma** *component\_SKIP* [iff]: " $\text{SKIP} \leq F$ "  
 <proof>

**lemma** *component\_refl* [iff]: " $F \leq (F :: \text{'a program})$ "  
 <proof>

**lemma** *SKIP\_minimal*: " $F \leq \text{SKIP} \implies F = \text{SKIP}$ "  
 <proof>

**lemma** *component\_Join1*: " $F \leq (F \sqcup G)$ "  
 <proof>

**lemma** *component\_Join2*: " $G \leq (F \sqcup G)$ "  
 <proof>

**lemma** *Join\_absorb1*: " $F \leq G \implies F \sqcup G = G$ "  
 <proof>

**lemma** *Join\_absorb2*: " $G \leq F \implies F \sqcup G = F$ "  
 <proof>

**lemma** *JN\_component\_iff*: " $((\text{JOIN } I \ F) \leq H) = (\forall i \in I. F \ i \leq H)$ "  
 <proof>

**lemma** *component\_JN*: " $i \in I \implies (F \ i) \leq (\bigsqcup_{i \in I. (F \ i)})$ "  
 <proof>

**lemma** *component\_trans*: " $[ \mid F \leq G; G \leq H \mid ] \implies F \leq (H :: \text{'a program})$ "  
 <proof>

**lemma** *component\_antisym*: " $[ \mid F \leq G; G \leq F \mid ] \implies F = (G :: \text{'a program})$ "  
 <proof>

**lemma** *Join\_component\_iff*: " $((F \sqcup G) \leq H) = (F \leq H \ \& \ G \leq H)$ "  
 <proof>

**lemma** *component\_constrains*: " $[ \mid F \leq G; G \in A \text{ co } B \mid ] \implies F \in A \text{ co } B$ "  
 <proof>

**lemma** *component\_stable*: " $[ \mid F \leq G; G \in \text{stable } A \mid ] \implies F \in \text{stable } A$ "  
 <proof>

**lemmas** *program\_less\_le* = *strict\_component\_def* [THEN *meta\_eq\_to\_obj\_eq*]

## 8.2 The preserves property

**lemma** *preservesI*: " $(\forall z. F \in \text{stable } \{s. v \ s = z\}) \implies F \in \text{preserves } v$ "  
 $\langle \text{proof} \rangle$

**lemma** *preserves\_imp\_eq*:  
 $"[ \mid F \in \text{preserves } v; \text{ act} \in \text{Acts } F; (s, s') \in \text{act} \mid ] \implies v \ s = v \ s' "$   
 $\langle \text{proof} \rangle$

**lemma** *Join\_preserves [iff]*:  
 $"(F \sqcup G \in \text{preserves } v) = (F \in \text{preserves } v \ \& \ G \in \text{preserves } v) "$   
 $\langle \text{proof} \rangle$

**lemma** *JN\_preserves [iff]*:  
 $"(\text{JOIN } I \ F \in \text{preserves } v) = (\forall i \in I. F \ i \in \text{preserves } v) "$   
 $\langle \text{proof} \rangle$

**lemma** *SKIP\_preserves [iff]*: " $\text{SKIP} \in \text{preserves } v$ "  
 $\langle \text{proof} \rangle$

**lemma** *funPair\_apply [simp]*: " $(\text{funPair } f \ g) \ x = (f \ x, \ g \ x) "$ "  
 $\langle \text{proof} \rangle$

**lemma** *preserves\_funPair*: " $\text{preserves } (\text{funPair } v \ w) = \text{preserves } v \ \cap \ \text{preserves } w$ "  
 $\langle \text{proof} \rangle$

**declare** *preserves\_funPair [THEN eqset\_imp\_iff, iff]*

**lemma** *funPair\_o\_distrib*: " $(\text{funPair } f \ g) \ o \ h = \text{funPair } (f \ o \ h) \ (g \ o \ h) "$ "  
 $\langle \text{proof} \rangle$

**lemma** *fst\_o\_funPair [simp]*: " $\text{fst} \ o \ (\text{funPair } f \ g) = f$ "  
 $\langle \text{proof} \rangle$

**lemma** *snd\_o\_funPair [simp]*: " $\text{snd} \ o \ (\text{funPair } f \ g) = g$ "  
 $\langle \text{proof} \rangle$

**lemma** *subset\_preserves\_o*: " $\text{preserves } v \subseteq \text{preserves } (w \ o \ v) "$ "  
 $\langle \text{proof} \rangle$

**lemma** *preserves\_subset\_stable*: " $\text{preserves } v \subseteq \text{stable } \{s. P \ (v \ s)\} "$ "  
 $\langle \text{proof} \rangle$

**lemma** *preserves\_subset\_increasing*: " $\text{preserves } v \subseteq \text{increasing } v$ "  
 $\langle \text{proof} \rangle$

**lemma** *preserves\_id\_subset\_stable*: " $\text{preserves } \text{id} \subseteq \text{stable } A$ "  
 $\langle \text{proof} \rangle$

```
lemma safety_prop_preserves [iff]: "safety_prop (preserves v)"
<proof>
```

```
lemma stable_localTo_stable2:
  "[| F ∈ stable {s. P (v s) (w s)};
    G ∈ preserves v; G ∈ preserves w |]
  ==> F ⊔ G ∈ stable {s. P (v s) (w s)}"
<proof>
```

```
lemma Increasing_preserves_Stable:
  "[| F ∈ stable {s. v s ≤ w s}; G ∈ preserves v; F ⊔ G ∈ Increasing w
  |]
  ==> F ⊔ G ∈ Stable {s. v s ≤ w s}"
<proof>
```

```
lemma component_of_imp_component: "F component_of H ==> F ≤ H"
<proof>
```

```
lemma component_of_refl [simp]: "F component_of F"
<proof>
```

```
lemma component_of_SKIP [simp]: "SKIP component_of F"
<proof>
```

```
lemma component_of_trans:
  "[| F component_of G; G component_of H |] ==> F component_of H"
<proof>
```

```
lemmas strict_component_of_eq =
  strict_component_of_def [THEN meta_eq_to_obj_eq, standard]
```

```
lemma localize_Init_eq [simp]: "Init (localize v F) = Init F"
<proof>
```

```
lemma localize_Acts_eq [simp]: "Acts (localize v F) = Acts F"
<proof>
```

```
lemma localize_AllowedActs_eq [simp]:
  "AllowedActs (localize v F) = AllowedActs F ∩ (⋃ G ∈ preserves v. Acts
  G)"
<proof>
```

```
end
```

## 9 Guarantees Specifications

```
theory Guar
imports Comp
begin
```

```
instance program :: (type) order
<proof>
```

Existential and Universal properties. I formalize the two-program case, proving equivalence with Chandy and Sanders's n-ary definitions

```
constdefs
```

```
ex_prop  :: "'a program set => bool"
"ex_prop X ==  $\forall F G. F \text{ ok } G \rightarrow F \in X \mid G \in X \rightarrow (F \sqcup G) \in X$ "

strict_ex_prop  :: "'a program set => bool"
"strict_ex_prop X ==  $\forall F G. F \text{ ok } G \rightarrow (F \in X \mid G \in X) = (F \sqcup G \in X)$ "

uv_prop  :: "'a program set => bool"
"uv_prop X ==  $SKIP \in X \ \& \ (\forall F G. F \text{ ok } G \rightarrow F \in X \ \& \ G \in X \rightarrow (F \sqcup G) \in X)$ "

strict_uv_prop  :: "'a program set => bool"
"strict_uv_prop X ==
   $SKIP \in X \ \& \ (\forall F G. F \text{ ok } G \rightarrow (F \in X \ \& \ G \in X) = (F \sqcup G \in X))$ "
```

Guarantees properties

```
constdefs
```

```
guar :: "[ 'a program set, 'a program set ] => 'a program set"
(infixl "guarantees" 55)
"X guarantees Y ==  $\{F. \forall G. F \text{ ok } G \rightarrow F \sqcup G \in X \rightarrow F \sqcup G \in Y\}$ "

wg :: "[ 'a program, 'a program set ] => 'a program set"
"wg F Y ==  $\text{Union}(\{X. F \in X \text{ guarantees } Y\})$ "

wx :: "('a program) set => ('a program)set"
"wx X ==  $\text{Union}(\{Y. Y \subseteq X \ \& \ \text{ex\_prop } Y\})$ "

welldef :: "'a program set"
"welldef ==  $\{F. \text{Init } F \neq \{\}\}$ "

refines :: "[ 'a program, 'a program, 'a program set ] => bool"
("( $3\_refines \_ \text{ wrt } \_$ )" [10,10,10] 10)
"G refines F wrt X ==
   $\forall H. (F \text{ ok } H \ \& \ G \text{ ok } H \ \& \ F \sqcup H \in \text{welldef} \cap X) \rightarrow$ 
   $(G \sqcup H \in \text{welldef} \cap X)$ "

iso_refines :: "[ 'a program, 'a program, 'a program set ] => bool"
```



```

                                ("(3_ iso'_refines _ wrt _)" [10,10,10] 10)
"G iso_refines F wrt X ==
  F ∈ welldef ∩ X --> G ∈ welldef ∩ X"

```

```

lemma OK_insert_iff:
  "(OK (insert i I) F) =
    (if i ∈ I then OK I F else OK I F & (F i ok JOIN I F))"
<proof>

```

## 9.1 Existential Properties

```

lemma ex1 [rule_format]:
  "[| ex_prop X; finite GG |] ==>
    GG ∩ X ≠ {} --> OK GG (%G. G) --> (⋃ G ∈ GG. G) ∈ X"
<proof>

```

```

lemma ex2:
  "∀ GG. finite GG & GG ∩ X ≠ {} --> OK GG (%G. G) --> (⋃ G ∈ GG. G) ∈ X"
  ==> ex_prop X"
<proof>

```

```

lemma ex_prop_finite:
  "ex_prop X =
    (∀ GG. finite GG & GG ∩ X ≠ {} & OK GG (%G. G) --> (⋃ G ∈ GG. G) ∈ X)"
<proof>

```

```

lemma ex_prop_equiv:
  "ex_prop X = (∀ G. G ∈ X = (∀ H. (G component_of H) --> H ∈ X))"
<proof>

```

## 9.2 Universal Properties

```

lemma uv1 [rule_format]:
  "[| uv_prop X; finite GG |]
    ==> GG ⊆ X & OK GG (%G. G) --> (⋃ G ∈ GG. G) ∈ X"
<proof>

```

```

lemma uv2:
  "∀ GG. finite GG & GG ⊆ X & OK GG (%G. G) --> (⋃ G ∈ GG. G) ∈ X"
  ==> uv_prop X"
<proof>

```

```

lemma uv_prop_finite:
  "uv_prop X =
    (∀ GG. finite GG & GG ⊆ X & OK GG (%G. G) --> (⋃ G ∈ GG. G) ∈ X)"

```

$\langle proof \rangle$

### 9.3 Guarantees

**lemma** *guaranteesI*:  
 " $(!!G. [! F \text{ ok } G; F \sqcup G \in X] \implies F \sqcup G \in Y) \implies F \in X \text{ guarantees } Y$ "  
 $\langle proof \rangle$

**lemma** *guaranteesD*:  
 " $[! F \in X \text{ guarantees } Y; F \text{ ok } G; F \sqcup G \in X] \implies F \sqcup G \in Y$ "  
 $\langle proof \rangle$

**lemma** *component\_guaranteesD*:  
 " $[! F \in X \text{ guarantees } Y; F \sqcup G = H; H \in X; F \text{ ok } G] \implies H \in Y$ "  
 $\langle proof \rangle$

**lemma** *guarantees\_weaken*:  
 " $[! F \in X \text{ guarantees } X'; Y \subseteq X; X' \subseteq Y'] \implies F \in Y \text{ guarantees } Y'$ "  
 $\langle proof \rangle$

**lemma** *subset\_imp\_guarantees\_UNIV*: " $X \subseteq Y \implies X \text{ guarantees } Y = \text{UNIV}$ "  
 $\langle proof \rangle$

**lemma** *subset\_imp\_guarantees*: " $X \subseteq Y \implies F \in X \text{ guarantees } Y$ "  
 $\langle proof \rangle$

**lemma** *ex\_prop\_imp*: " $\text{ex\_prop } Y \implies (Y = \text{UNIV} \text{ guarantees } Y)$ "  
 $\langle proof \rangle$

**lemma** *guarantees\_imp*: " $(Y = \text{UNIV} \text{ guarantees } Y) \implies \text{ex\_prop}(Y)$ "  
 $\langle proof \rangle$

**lemma** *ex\_prop\_equiv2*: " $(\text{ex\_prop } Y) = (Y = \text{UNIV} \text{ guarantees } Y)$ "  
 $\langle proof \rangle$

### 9.4 Distributive Laws. Re-Orient to Perform Miniscoping

**lemma** *guarantees\_UN\_left*:  
 " $(\bigcup i \in I. X \ i) \text{ guarantees } Y = (\bigcap i \in I. X \ i \text{ guarantees } Y)$ "  
 $\langle proof \rangle$

**lemma** *guarantees\_Un\_left*:  
 " $(X \cup Y) \text{ guarantees } Z = (X \text{ guarantees } Z) \cap (Y \text{ guarantees } Z)$ "  
 $\langle proof \rangle$

**lemma** *guarantees\_INT\_right*:  
 " $X \text{ guarantees } (\bigcap i \in I. Y \ i) = (\bigcap i \in I. X \text{ guarantees } Y \ i)$ "  
 $\langle proof \rangle$

**lemma** *guarantees\_Int\_right*:

"Z guarantees  $(X \cap Y) = (Z \text{ guarantees } X) \cap (Z \text{ guarantees } Y)$ "  
 <proof>

**lemma** guarantees\_Int\_right\_I:  
 "[| F ∈ Z guarantees X; F ∈ Z guarantees Y |]  
 ==> F ∈ Z guarantees  $(X \cap Y)$ "  
 <proof>

**lemma** guarantees\_INT\_right\_iff:  
 "(F ∈ X guarantees (INTER I Y)) = ( $\forall i \in I. F \in X \text{ guarantees } (Y i)$ )"  
 <proof>

**lemma** shunting: "(X guarantees Y) = (UNIV guarantees  $(\neg X \cup Y)$ )"  
 <proof>

**lemma** contrapositive: "(X guarantees Y) =  $\neg Y \text{ guarantees } \neg X$ "  
 <proof>

**lemma** combining1:  
 "[| F ∈ V guarantees X; F ∈  $(X \cap Y)$  guarantees Z |]  
 ==> F ∈  $(V \cap Y)$  guarantees Z"  
 <proof>

**lemma** combining2:  
 "[| F ∈ V guarantees  $(X \cup Y)$ ; F ∈ Y guarantees Z |]  
 ==> F ∈ V guarantees  $(X \cup Z)$ "  
 <proof>

**lemma** all\_guarantees:  
 " $\forall i \in I. F \in X \text{ guarantees } (Y i) \implies F \in X \text{ guarantees } (\bigcap i \in I. Y i)$ "  
 <proof>

**lemma** ex\_guarantees:  
 " $\exists i \in I. F \in X \text{ guarantees } (Y i) \implies F \in X \text{ guarantees } (\bigcup i \in I. Y i)$ "  
 <proof>

## 9.5 Guarantees: Additional Laws (by lcp)

**lemma** guarantees\_Join\_Int:  
 "[| F ∈ U guarantees V; G ∈ X guarantees Y; F ok G |]  
 ==>  $F \sqcup G \in (U \cap X) \text{ guarantees } (V \cap Y)$ "  
 <proof>

**lemma** guarantees\_Join\_Un:  
 "[| F ∈ U guarantees V; G ∈ X guarantees Y; F ok G |]  
 ==>  $F \sqcup G \in (U \cup X) \text{ guarantees } (V \cup Y)$ "  
 <proof>

```

lemma guarantees_JN_INT:
  "[|  $\forall i \in I. F\ i \in X\ i$  guarantees  $Y\ i$ ;  $OK\ I\ F$  |]
  ==> (JOIN  $I\ F$ )  $\in$  (INTER  $I\ X$ ) guarantees (INTER  $I\ Y$ )"
<proof>

lemma guarantees_JN_UN:
  "[|  $\forall i \in I. F\ i \in X\ i$  guarantees  $Y\ i$ ;  $OK\ I\ F$  |]
  ==> (JOIN  $I\ F$ )  $\in$  (UNION  $I\ X$ ) guarantees (UNION  $I\ Y$ )"
<proof>

```

## 9.6 Guarantees Laws for Breaking Down the Program (by lcp)

```

lemma guarantees_Join_I1:
  "[|  $F \in X$  guarantees  $Y$ ;  $F\ ok\ G$  |] ==>  $F \sqcup G \in X$  guarantees  $Y$ "
<proof>

lemma guarantees_Join_I2:
  "[|  $G \in X$  guarantees  $Y$ ;  $F\ ok\ G$  |] ==>  $F \sqcup G \in X$  guarantees  $Y$ "
<proof>

lemma guarantees_JN_I:
  "[|  $i \in I$ ;  $F\ i \in X$  guarantees  $Y$ ;  $OK\ I\ F$  |]
  ==> ( $\bigsqcup_{i \in I. (F\ i)}$ )  $\in X$  guarantees  $Y$ "
<proof>

```

```

lemma Join_welldef_D1: " $F \sqcup G \in welldef ==> F \in welldef$ "
<proof>

```

```

lemma Join_welldef_D2: " $F \sqcup G \in welldef ==> G \in welldef$ "
<proof>

```

```

lemma refines_refl: " $F$  refines  $F$  wrt  $X$ "
<proof>

```

```

lemma refines_trans:
  "[|  $H$  refines  $G$  wrt  $X$ ;  $G$  refines  $F$  wrt  $X$  |] ==>  $H$  refines  $F$  wrt  $X$ "
<proof>

```

```

lemma strict_ex_refine_lemma:
  "strict_ex_prop  $X$ 
  ==> ( $\forall H. F\ ok\ H \ \&\ G\ ok\ H \ \&\ F \sqcup H \in X \rightarrow G \sqcup H \in X$ )
      = ( $F \in X \rightarrow G \in X$ )"
<proof>

```

```

lemma strict_ex_refine_lemma_v:
  "strict_ex_prop  $X$ 

```

```

==> (∀H. F ok H & G ok H & F⊔H ∈ welldef & F⊔H ∈ X --> G⊔H ∈ X) =

  (F ∈ welldef ∩ X --> G ∈ X)"
⟨proof⟩

lemma ex_refinement_thm:
  "[| strict_ex_prop X;
    ∀H. F ok H & G ok H & F⊔H ∈ welldef ∩ X --> G⊔H ∈ welldef |]
  ==> (G refines F wrt X) = (G iso_refines F wrt X)"
⟨proof⟩

lemma strict_uv_refine_lemma:
  "strict_uv_prop X ==>
    (∀H. F ok H & G ok H & F⊔H ∈ X --> G⊔H ∈ X) = (F ∈ X --> G ∈ X)"
⟨proof⟩

lemma strict_uv_refine_lemma_v:
  "strict_uv_prop X
  ==> (∀H. F ok H & G ok H & F⊔H ∈ welldef & F⊔H ∈ X --> G⊔H ∈ X) =

    (F ∈ welldef ∩ X --> G ∈ X)"
⟨proof⟩

lemma uv_refinement_thm:
  "[| strict_uv_prop X;
    ∀H. F ok H & G ok H & F⊔H ∈ welldef ∩ X -->
      G⊔H ∈ welldef |]
  ==> (G refines F wrt X) = (G iso_refines F wrt X)"
⟨proof⟩

lemma guarantees_equiv:
  "(F ∈ X guarantees Y) = (∀H. H ∈ X ⟶ (F component_of H ⟶ H ∈ Y))"
⟨proof⟩

lemma wg_weakest: "!!X. F ∈ (X guarantees Y) ==> X ⊆ (wg F Y)"
⟨proof⟩

lemma wg_guarantees: "F ∈ ((wg F Y) guarantees Y)"
⟨proof⟩

lemma wg_equiv: "(H ∈ wg F X) = (F component_of H --> H ∈ X)"
⟨proof⟩

lemma component_of_wg: "F component_of H ==> (H ∈ wg F X) = (H ∈ X)"
⟨proof⟩

lemma wg_finite:
  "∀FF. finite FF & FF ∩ X ≠ {} --> OK FF (%F. F)
    --> (∀F∈FF. ((⊔ F ∈ FF. F): wg F X) = ((⊔ F ∈ FF. F):X))"
⟨proof⟩

lemma wg_ex_prop: "ex_prop X ==> (F ∈ X) = (∀H. H ∈ wg F X)"

```

$\langle proof \rangle$

**lemma** `wx_subset`: " $(wx\ X) \leq X$ "  
 $\langle proof \rangle$

**lemma** `wx_ex_prop`: " $ex\_prop\ (wx\ X)$ "  
 $\langle proof \rangle$

**lemma** `wx_weakest`: " $\forall Z. Z \leq X \rightarrow ex\_prop\ Z \rightarrow Z \subseteq wx\ X$ "  
 $\langle proof \rangle$

**lemma** `wx'_ex_prop`: " $ex\_prop\ (\{F. \forall G. F\ ok\ G \rightarrow F \sqcup G \in X\})$ "  
 $\langle proof \rangle$

Equivalence with the other definition of `wx`

**lemma** `wx_equiv`: " $wx\ X = \{F. \forall G. F\ ok\ G \rightarrow (F \sqcup G) \in X\}$ "  
 $\langle proof \rangle$

Propositions 7 to 11 are about this second definition of `wx`. They are the same as the ones proved for the first definition of `wx`, by equivalence

**lemma** `guarantees_wx_eq`: " $(X\ guarantees\ Y) = wx(-X \cup Y)$ "  
 $\langle proof \rangle$

**lemma** `stable_guarantees_Always`:  
 $"Init\ F \subseteq A \Rightarrow F \in (stable\ A)\ guarantees\ (Always\ A)"$   
 $\langle proof \rangle$

**lemma** `constrains_guarantees_leadsTo`:  
 $"F \in transient\ A \Rightarrow F \in (A\ co\ A \cup B)\ guarantees\ (A\ leadsTo\ (B-A))"$   
 $\langle proof \rangle$

**end**

## 10 Extending State Sets

**theory** `Extend` **imports** `Guar` **begin**

**constdefs**

$Restrict :: "[ 'a\ set, ('a * 'b)\ set] \Rightarrow ('a * 'b)\ set"$   
 $"Restrict\ A\ r == r \cap (A\ <*>\ UNIV)"$

$good\_map :: "[ 'a * 'b \Rightarrow 'c] \Rightarrow bool"$   
 $"good\_map\ h == surj\ h \ \&\ (\forall x\ y. fst\ (inv\ h\ (h\ (x,y))) = x)"$

```

extend_set :: "[a*b => 'c, 'a set] => 'c set"
"extend_set h A == h ` (A <*> UNIV)"

project_set :: "[a*b => 'c, 'c set] => 'a set"
"project_set h C == {x. ∃y. h(x,y) ∈ C}"

extend_act :: "[a*b => 'c, ('a*'a) set] => ('c*'c) set"
"extend_act h == %act. ⋃ (s,s'). s ∈ act. ⋃ y. {(h(s,y), h(s',y))}"

project_act :: "[a*b => 'c, ('c*'c) set] => ('a*'a) set"
"project_act h act == {(x,x'). ∃y y'. (h(x,y), h(x',y')) ∈ act}"

extend :: "[a*b => 'c, 'a program] => 'c program"
"extend h F == mk_program (extend_set h (Init F),
                           extend_act h ` Acts F,
                           project_act h -` AllowedActs F)"

project :: "[a*b => 'c, 'c set, 'c program] => 'a program"
"project h C F ==
  mk_program (project_set h (Init F),
              project_act h ` Restrict C ` Acts F,
              {act. Restrict (project_set h C) act :
                project_act h ` Restrict C ` AllowedActs F})"

locale Extend =
  fixes f      :: "'c => 'a"
  and g      :: "'c => 'b"
  and h      :: "'a*b => 'c"
  and slice :: "'c set, 'b] => 'a set"
  assumes
    good_h: "good_map h"
  defines f_def: "f z == fst (inv h z)"
  and g_def: "g z == snd (inv h z)"
  and slice_def: "slice Z y == {x. h(x,y) ∈ Z}"

```

## 10.1 Restrict

**lemma** *Restrict\_iff* [iff]: " $((x,y): \text{Restrict } A \ r) = ((x,y): r \ \& \ x \in A)$ "  
 <proof>

**lemma** *Restrict\_UNIV* [simp]: " $\text{Restrict UNIV} = \text{id}$ "  
 <proof>

**lemma** *Restrict\_empty* [simp]: " $\text{Restrict } \{\} \ r = \{\}$ "  
 <proof>

**lemma** *Restrict\_Int* [simp]: " $\text{Restrict } A \ (\text{Restrict } B \ r) = \text{Restrict } (A \cap B) \ r$ "  
 <proof>

**lemma** *Restrict\_triv*: " $\text{Domain } r \subseteq A \implies \text{Restrict } A \ r = r$ "  
 <proof>

```

lemma Restrict_subset: "Restrict A r  $\subseteq$  r"
  <proof>

lemma Restrict_eq_mono:
  "[| A  $\subseteq$  B; Restrict B r = Restrict B s |]
   ==> Restrict A r = Restrict A s"
  <proof>

lemma Restrict_imageI:
  "[| s  $\in$  RR; Restrict A r = Restrict A s |]
   ==> Restrict A r  $\in$  Restrict A ' RR"
  <proof>

lemma Domain_Restrict [simp]: "Domain (Restrict A r) = A  $\cap$  Domain r"
  <proof>

lemma Image_Restrict [simp]: "(Restrict A r) `` B = r `` (A  $\cap$  B)"
  <proof>

lemma good_mapI:
  assumes surj_h: "surj h"
  and prem:      "!! x x' y y'. h(x,y) = h(x',y') ==> x=x'"
  shows "good_map h"
  <proof>

lemma good_map_is_surj: "good_map h ==> surj h"
  <proof>

lemma fst_inv_equalityI:
  assumes surj_h: "surj h"
  and prem:      "!! x y. g (h(x,y)) = x"
  shows "fst (inv h z) = g z"
  <proof>

```

## 10.2 Trivial properties of f, g, h

```

lemma (in Extend) f_h_eq [simp]: "f(h(x,y)) = x"
  <proof>

lemma (in Extend) h_inject1 [dest]: "h(x,y) = h(x',y') ==> x=x'"
  <proof>

lemma (in Extend) h_f_g_equiv: "h(f z, g z) == z"
  <proof>

lemma (in Extend) h_f_g_eq: "h(f z, g z) = z"
  <proof>

lemma (in Extend) split_extended_all:
  "(!!z. PROP P z) == (!!u y. PROP P (h (u, y)))"
  <proof>

```



### 10.3 extend\_set: basic properties

**lemma** project\_set\_iff [iff]:  
 "( $x \in \text{project\_set } h \ C$ ) = ( $\exists y. h(x,y) \in C$ )"  
 <proof>

**lemma** extend\_set\_mono: " $A \subseteq B \implies \text{extend\_set } h \ A \subseteq \text{extend\_set } h \ B$ "  
 <proof>

**lemma** (in Extend) mem\_extend\_set\_iff [iff]: " $z \in \text{extend\_set } h \ A = (f \ z \in A)$ "  
 <proof>

**lemma** (in Extend) extend\_set\_strict\_mono [iff]:  
 "( $\text{extend\_set } h \ A \subseteq \text{extend\_set } h \ B$ ) = ( $A \subseteq B$ )"  
 <proof>

**lemma** extend\_set\_empty [simp]: " $\text{extend\_set } h \ \{\} = \{\}$ "  
 <proof>

**lemma** (in Extend) extend\_set\_eq\_Collect: " $\text{extend\_set } h \ \{s. P \ s\} = \{s. P(f \ s)\}$ "  
 <proof>

**lemma** (in Extend) extend\_set\_sing: " $\text{extend\_set } h \ \{x\} = \{s. f \ s = x\}$ "  
 <proof>

**lemma** (in Extend) extend\_set\_inverse [simp]:  
 " $\text{project\_set } h \ (\text{extend\_set } h \ C) = C$ "  
 <proof>

**lemma** (in Extend) extend\_set\_project\_set:  
 " $C \subseteq \text{extend\_set } h \ (\text{project\_set } h \ C)$ "  
 <proof>

**lemma** (in Extend) inj\_extend\_set: " $\text{inj } (\text{extend\_set } h)$ "  
 <proof>

**lemma** (in Extend) extend\_set\_UNIV\_eq [simp]: " $\text{extend\_set } h \ \text{UNIV} = \text{UNIV}$ "  
 <proof>

### 10.4 project\_set: basic properties

**lemma** (in Extend) project\_set\_eq: " $\text{project\_set } h \ C = f \ ` \ C$ "  
 <proof>

**lemma** (in Extend) project\_set\_I: " $!!z. z \in C \implies f \ z \in \text{project\_set } h \ C$ "  
 <proof>

### 10.5 More laws

**lemma** (in Extend) project\_set\_extend\_set\_Int:  
 " $\text{project\_set } h \ ((\text{extend\_set } h \ A) \cap B) = A \cap (\text{project\_set } h \ B)$ "  
 <proof>

```

lemma (in Extend) project_set_extend_set_Un:
  "project_set h ((extend_set h A)  $\cup$  B) = A  $\cup$  (project_set h B)"
  <proof>

lemma project_set_Int_subset:
  "project_set h (A  $\cap$  B)  $\subseteq$  (project_set h A)  $\cap$  (project_set h B)"
  <proof>

lemma (in Extend) extend_set_Un_distrib:
  "extend_set h (A  $\cup$  B) = extend_set h A  $\cup$  extend_set h B"
  <proof>

lemma (in Extend) extend_set_Int_distrib:
  "extend_set h (A  $\cap$  B) = extend_set h A  $\cap$  extend_set h B"
  <proof>

lemma (in Extend) extend_set_INT_distrib:
  "extend_set h (INTER A B) = ( $\bigcap$  x  $\in$  A. extend_set h (B x))"
  <proof>

lemma (in Extend) extend_set_Diff_distrib:
  "extend_set h (A - B) = extend_set h A - extend_set h B"
  <proof>

lemma (in Extend) extend_set_Union:
  "extend_set h (Union A) = ( $\bigcup$  X  $\in$  A. extend_set h X)"
  <proof>

lemma (in Extend) extend_set_subset_Compl_eq:
  "(extend_set h A  $\subseteq$  - extend_set h B) = (A  $\subseteq$  - B)"
  <proof>

```

## 10.6 extend\_act

```

lemma (in Extend) mem_extend_act_iff [iff]:
  "((h(s,y), h(s',y))  $\in$  extend_act h act) = ((s, s')  $\in$  act)"
  <proof>

lemma (in Extend) extend_act_D:
  "(z, z')  $\in$  extend_act h act ==> (f z, f z')  $\in$  act"
  <proof>

lemma (in Extend) extend_act_inverse [simp]:
  "project_act h (extend_act h act) = act"
  <proof>

lemma (in Extend) project_act_extend_act_restrict [simp]:
  "project_act h (Restrict C (extend_act h act)) =
    Restrict (project_set h C) act"
  <proof>

```

```

lemma (in Extend) subset_extend_act_D:
  "act'  $\subseteq$  extend_act h act ==> project_act h act'  $\subseteq$  act"
<proof>

lemma (in Extend) inj_extend_act: "inj (extend_act h)"
<proof>

lemma (in Extend) extend_act_Image [simp]:
  "extend_act h act ' ' (extend_set h A) = extend_set h (act ' ' A)"
<proof>

lemma (in Extend) extend_act_strict_mono [iff]:
  "(extend_act h act'  $\subseteq$  extend_act h act) = (act' <= act)"
<proof>

declare (in Extend) inj_extend_act [THEN inj_eq, iff]

lemma Domain_extend_act:
  "Domain (extend_act h act) = extend_set h (Domain act)"
<proof>

lemma (in Extend) extend_act_Id [simp]:
  "extend_act h Id = Id"
<proof>

lemma (in Extend) project_act_I:
  "!!z z'. (z, z')  $\in$  act ==> (f z, f z')  $\in$  project_act h act"
<proof>

lemma (in Extend) project_act_Id [simp]: "project_act h Id = Id"
<proof>

lemma (in Extend) Domain_project_act:
  "Domain (project_act h act) = project_set h (Domain act)"
<proof>

```

## 10.7 extend

Basic properties

```

lemma Init_extend [simp]:
  "Init (extend h F) = extend_set h (Init F)"
<proof>

lemma Init_project [simp]:
  "Init (project h C F) = project_set h (Init F)"
<proof>

lemma (in Extend) Acts_extend [simp]:
  "Acts (extend h F) = (extend_act h ' ' Acts F)"
<proof>

lemma (in Extend) AllowedActs_extend [simp]:

```

```

    "AllowedActs (extend h F) = project_act h -' AllowedActs F"
  <proof>

lemma Acts_project [simp]:
  "Acts(project h C F) = insert Id (project_act h ' Restrict C ' Acts F)"
  <proof>

lemma (in Extend) AllowedActs_project [simp]:
  "AllowedActs(project h C F) =
    {act. Restrict (project_set h C) act
      ∈ project_act h ' Restrict C ' AllowedActs F}"
  <proof>

lemma (in Extend) Allowed_extend:
  "Allowed (extend h F) = project h UNIV -' Allowed F"
  <proof>

lemma (in Extend) extend_SKIP [simp]: "extend h SKIP = SKIP"
  <proof>

lemma project_set_UNIV [simp]: "project_set h UNIV = UNIV"
  <proof>

lemma project_set_Union:
  "project_set h (Union A) = (⋃ X ∈ A. project_set h X)"
  <proof>

lemma (in Extend) project_act_Restrict_subset:
  "project_act h (Restrict C act) ⊆
    Restrict (project_set h C) (project_act h act)"
  <proof>

lemma (in Extend) project_act_Restrict_Id_eq:
  "project_act h (Restrict C Id) = Restrict (project_set h C) Id"
  <proof>

lemma (in Extend) project_extend_eq:
  "project h C (extend h F) =
    mk_program (Init F, Restrict (project_set h C) ' Acts F,
      {act. Restrict (project_set h C) act
        ∈ project_act h ' Restrict C '
          (project_act h -' AllowedActs F)})"
  <proof>

lemma (in Extend) extend_inverse [simp]:
  "project h UNIV (extend h F) = F"
  <proof>

lemma (in Extend) inj_extend: "inj (extend h)"
  <proof>

lemma (in Extend) extend_Join [simp]:

```

```
"extend h (F ⊔ G) = extend h F ⊔ extend h G"
⟨proof⟩
```

```
lemma (in Extend) extend_JN [simp]:
  "extend h (JOIN I F) = (⊔ i ∈ I. extend h (F i))"
⟨proof⟩
```

```
lemma (in Extend) extend_mono: "F ≤ G ==> extend h F ≤ extend h G"
⟨proof⟩
```

```
lemma (in Extend) project_mono: "F ≤ G ==> project h C F ≤ project h C G"
⟨proof⟩
```

```
lemma (in Extend) all_total_extend: "all_total F ==> all_total (extend h F)"
⟨proof⟩
```

## 10.8 Safety: co, stable

```
lemma (in Extend) extend_constrains:
  "(extend h F ∈ (extend_set h A) co (extend_set h B)) =
   (F ∈ A co B)"
⟨proof⟩
```

```
lemma (in Extend) extend_stable:
  "(extend h F ∈ stable (extend_set h A)) = (F ∈ stable A)"
⟨proof⟩
```

```
lemma (in Extend) extend_invariant:
  "(extend h F ∈ invariant (extend_set h A)) = (F ∈ invariant A)"
⟨proof⟩
```

```
lemma (in Extend) extend_constrains_project_set:
  "extend h F ∈ A co B ==> F ∈ (project_set h A) co (project_set h B)"
⟨proof⟩
```

```
lemma (in Extend) extend_stable_project_set:
  "extend h F ∈ stable A ==> F ∈ stable (project_set h A)"
⟨proof⟩
```

## 10.9 Weak safety primitives: Co, Stable

```
lemma (in Extend) reachable_extend_f:
  "p ∈ reachable (extend h F) ==> f p ∈ reachable F"
⟨proof⟩
```

```
lemma (in Extend) h_reachable_extend:
  "h(s,y) ∈ reachable (extend h F) ==> s ∈ reachable F"
⟨proof⟩
```

```

lemma (in Extend) reachable_extend_eq:
  "reachable (extend h F) = extend_set h (reachable F)"
<proof>

lemma (in Extend) extend_Constrains:
  "(extend h F ∈ (extend_set h A) Co (extend_set h B)) =
   (F ∈ A Co B)"
<proof>

lemma (in Extend) extend_Stable:
  "(extend h F ∈ Stable (extend_set h A)) = (F ∈ Stable A)"
<proof>

lemma (in Extend) extend_Always:
  "(extend h F ∈ Always (extend_set h A)) = (F ∈ Always A)"
<proof>

```

```

lemma project_act_mono:
  "D ⊆ C ==>
   project_act h (Restrict D act) ⊆ project_act h (Restrict C act)"
<proof>

```

```

lemma (in Extend) project_constrains_mono:
  "[| D ⊆ C; project h C F ∈ A co B |] ==> project h D F ∈ A co B"
<proof>

```

```

lemma (in Extend) project_stable_mono:
  "[| D ⊆ C; project h C F ∈ stable A |] ==> project h D F ∈ stable A"
<proof>

```

```

lemma (in Extend) project_constrains:
  "(project h C F ∈ A co B) =
   (F ∈ (C ∩ extend_set h A) co (extend_set h B) & A ⊆ B)"
<proof>

```

```

lemma (in Extend) project_stable:
  "(project h UNIV F ∈ stable A) = (F ∈ stable (extend_set h A))"
<proof>

```

```

lemma (in Extend) project_stable_I:
  "F ∈ stable (extend_set h A) ==> project h C F ∈ stable A"
<proof>

```

```

lemma (in Extend) Int_extend_set_lemma:
  "A ∩ extend_set h ((project_set h A) ∩ B) = A ∩ extend_set h B"
<proof>

```

```
lemma project_constrains_project_set:
  "G ∈ C co B ==> project h C G ∈ project_set h C co project_set h B"
⟨proof⟩
```

```
lemma project_stable_project_set:
  "G ∈ stable C ==> project h C G ∈ stable (project_set h C)"
⟨proof⟩
```

## 10.10 Progress: transient, ensures

```
lemma (in Extend) extend_transient:
  "(extend h F ∈ transient (extend_set h A)) = (F ∈ transient A)"
⟨proof⟩
```

```
lemma (in Extend) extend Ensures:
  "(extend h F ∈ (extend_set h A) ensures (extend_set h B)) =
  (F ∈ A ensures B)"
⟨proof⟩
```

```
lemma (in Extend) leadsTo_imp_extend_leadsTo:
  "F ∈ A leadsTo B
  ==> extend h F ∈ (extend_set h A) leadsTo (extend_set h B)"
⟨proof⟩
```

## 10.11 Proving the converse takes some doing!

```
lemma (in Extend) slice_iff [iff]: "(x ∈ slice C y) = (h(x,y) ∈ C)"
⟨proof⟩
```

```
lemma (in Extend) slice_Union: "slice (Union S) y = (⋃ x ∈ S. slice x y)"
⟨proof⟩
```

```
lemma (in Extend) slice_extend_set: "slice (extend_set h A) y = A"
⟨proof⟩
```

```
lemma (in Extend) project_set_is_UN_slice:
  "project_set h A = (⋃ y. slice A y)"
⟨proof⟩
```

```
lemma (in Extend) extend_transient_slice:
  "extend h F ∈ transient A ==> F ∈ transient (slice A y)"
⟨proof⟩
```

```
lemma (in Extend) extend_constrains_slice:
  "extend h F ∈ A co B ==> F ∈ (slice A y) co (slice B y)"
⟨proof⟩
```

```
lemma (in Extend) extend Ensures slice:
  "extend h F ∈ A ensures B ==> F ∈ (slice A y) ensures (project_set h
  B)"
⟨proof⟩
```

```
lemma (in Extend) leadsTo_slice_project_set:
```

" $\forall y. F \in (\text{slice } B \ y) \text{ leadsTo } CU \implies F \in (\text{project\_set } h \ B) \text{ leadsTo } CU$ "  
 $\langle \text{proof} \rangle$

**lemma** (in Extend) extend\_leadsTo\_slice [rule\_format]:  
 "extend h F  $\in$  AU leadsTo BU  
 $\implies \forall y. F \in (\text{slice } AU \ y) \text{ leadsTo } (\text{project\_set } h \ BU)$ "  
 $\langle \text{proof} \rangle$

**lemma** (in Extend) extend\_leadsTo:  
 "(extend h F  $\in$  (extend\_set h A) leadsTo (extend\_set h B)) =  
 (F  $\in$  A leadsTo B)"  
 $\langle \text{proof} \rangle$

**lemma** (in Extend) extend\_LeadsTo:  
 "(extend h F  $\in$  (extend\_set h A) LeadsTo (extend\_set h B)) =  
 (F  $\in$  A LeadsTo B)"  
 $\langle \text{proof} \rangle$

## 10.12 preserves

**lemma** (in Extend) project\_preserves\_I:  
 "G  $\in$  preserves (v o f)  $\implies$  project h C G  $\in$  preserves v"  
 $\langle \text{proof} \rangle$

**lemma** (in Extend) project\_preserves\_id\_I:  
 "G  $\in$  preserves f  $\implies$  project h C G  $\in$  preserves id"  
 $\langle \text{proof} \rangle$

**lemma** (in Extend) extend\_preserves:  
 "(extend h G  $\in$  preserves (v o f)) = (G  $\in$  preserves v)"  
 $\langle \text{proof} \rangle$

**lemma** (in Extend) inj\_extend\_preserves: "inj h  $\implies$  (extend h G  $\in$  preserves g)"  
 $\langle \text{proof} \rangle$

## 10.13 Guarantees

**lemma** (in Extend) project\_extend\_Join:  
 "project h UNIV ((extend h F)  $\sqcup$  G) = F  $\sqcup$  (project h UNIV G)"  
 $\langle \text{proof} \rangle$

**lemma** (in Extend) extend\_Join\_eq\_extend\_D:  
 "(extend h F)  $\sqcup$  G = extend h H  $\implies$  H = F  $\sqcup$  (project h UNIV G)"  
 $\langle \text{proof} \rangle$

**lemma** (in Extend) ok\_extend\_imp\_ok\_project:  
 "extend h F ok G  $\implies$  F ok project h UNIV G"  
 $\langle \text{proof} \rangle$



```

lemma (in Extend) ok_extend_iff: "(extend h F ok extend h G) = (F ok G)"
⟨proof⟩

lemma (in Extend) OK_extend_iff: "OK I (%i. extend h (F i)) = (OK I F)"
⟨proof⟩

lemma (in Extend) guarantees_imp_extend_guarantees:
  "F ∈ X guarantees Y ==>
   extend h F ∈ (extend h ' X) guarantees (extend h ' Y)"
⟨proof⟩

lemma (in Extend) extend_guarantees_imp_guarantees:
  "extend h F ∈ (extend h ' X) guarantees (extend h ' Y)
   ==> F ∈ X guarantees Y"
⟨proof⟩

lemma (in Extend) extend_guarantees_eq:
  "(extend h F ∈ (extend h ' X) guarantees (extend h ' Y)) =
   (F ∈ X guarantees Y)"
⟨proof⟩

end

```

## 11 Renaming of State Sets

```

theory Rename imports Extend begin

```

```

constdefs

```

```

  rename :: "'a => 'b, 'a program] => 'b program"
  "rename h == extend (%(x,u::unit). h x)"

```

```

declare image_inv_f_f [simp] image_surj_f_inv_f [simp]

```

```

declare Extend.intro [simp,intro]

```

```

lemma good_map_bij [simp,intro]: "bij h ==> good_map (%(x,u). h x)"
⟨proof⟩

```

```

lemma fst_o_inv_eq_inv: "bij h ==> fst (inv (%(x,u). h x) s) = inv h s"
⟨proof⟩

```

```

lemma mem_rename_set_iff: "bij h ==> z ∈ h'A = (inv h z ∈ A)"
⟨proof⟩

```

```

lemma extend_set_eq_image [simp]: "extend_set (%(x,u). h x) A = h'A"
⟨proof⟩

```

```

lemma Init_rename [simp]: "Init (rename h F) = h'(Init F)"
⟨proof⟩

```

### 11.1 inverse properties

```

lemma extend_set_inv:
  "bij h
   ==> extend_set (%(x,u::'c). inv h x) = project_set (%(x,u::'c). h x)"
  <proof>

```

```

lemma bij_extend_act_eq_project_act: "bij h
   ==> extend_act (%(x,u::'c). h x) = project_act (%(x,u::'c). inv h x)"
  <proof>

```

```

lemma bij_extend_act: "bij h ==> bij (extend_act (%(x,u::'c). h x))"
  <proof>

```

```

lemma bij_project_act: "bij h ==> bij (project_act (%(x,u::'c). h x))"
  <proof>

```

```

lemma bij_inv_project_act_eq: "bij h ==> inv (project_act (%(x,u::'c). inv
  h x)) =
    project_act (%(x,u::'c). h x)"
  <proof>

```

```

lemma extend_inv: "bij h
   ==> extend (%(x,u::'c). inv h x) = project (%(x,u::'c). h x) UNIV"
  <proof>

```

```

lemma rename_inv_rename [simp]: "bij h ==> rename (inv h) (rename h F) =
  F"
  <proof>

```

```

lemma rename_rename_inv [simp]: "bij h ==> rename h (rename (inv h) F) =
  F"
  <proof>

```

```

lemma rename_inv_eq: "bij h ==> rename (inv h) = inv (rename h)"
  <proof>

```

```

lemma bij_extend: "bij h ==> bij (extend (%(x,u::'c). h x))"
  <proof>

```

```

lemma bij_project: "bij h ==> bij (project (%(x,u::'c). h x) UNIV)"
  <proof>

```

```

lemma inv_project_eq:
  "bij h
   ==> inv (project (%(x,u::'c). h x) UNIV) = extend (%(x,u::'c). h x)"
  <proof>

```

```

lemma Allowed_rename [simp]:
  "bij h ==> Allowed (rename h F) = rename h ' Allowed F"

```

*<proof>*

**lemma** *bij\_rename*: "bij h ==> bij (rename h)"

*<proof>*

**lemmas** *surj\_rename* = *bij\_rename* [THEN *bij\_is\_surj*, *standard*]

**lemma** *inj\_rename\_imp\_inj*: "inj (rename h) ==> inj h"

*<proof>*

**lemma** *surj\_rename\_imp\_surj*: "surj (rename h) ==> surj h"

*<proof>*

**lemma** *bij\_rename\_imp\_bij*: "bij (rename h) ==> bij h"

*<proof>*

**lemma** *bij\_rename\_iff [simp]*: "bij (rename h) = bij h"

*<proof>*

## 11.2 the lattice operations

**lemma** *rename\_SKIP [simp]*: "bij h ==> rename h SKIP = SKIP"

*<proof>*

**lemma** *rename\_Join [simp]*:

"bij h ==> rename h (F Join G) = rename h F Join rename h G"

*<proof>*

**lemma** *rename\_JN [simp]*:

"bij h ==> rename h (JOIN I F) = ( $\bigsqcup_{i \in I} \text{rename } h (F i)$ )"

*<proof>*

## 11.3 Strong Safety: co, stable

**lemma** *rename\_constrains*:

"bij h ==> (rename h F  $\in$  (h'A) co (h'B)) = (F  $\in$  A co B)"

*<proof>*

**lemma** *rename\_stable*:

"bij h ==> (rename h F  $\in$  stable (h'A)) = (F  $\in$  stable A)"

*<proof>*

**lemma** *rename\_invariant*:

"bij h ==> (rename h F  $\in$  invariant (h'A)) = (F  $\in$  invariant A)"

*<proof>*

**lemma** *rename\_increasing*:

"bij h ==> (rename h F  $\in$  increasing func) = (F  $\in$  increasing (func o h))"

*<proof>*

## 11.4 Weak Safety: Co, Stable

**lemma** *reachable\_rename\_eq*:

"bij h ==> reachable (rename h F) = h ' (reachable F)"

$\langle proof \rangle$

**lemma** rename\_Constrains:

"bij h ==> (rename h F  $\in$  (h'A) Co (h'B)) = (F  $\in$  A Co B)"

$\langle proof \rangle$

**lemma** rename\_Stable:

"bij h ==> (rename h F  $\in$  Stable (h'A)) = (F  $\in$  Stable A)"

$\langle proof \rangle$

**lemma** rename\_Always: "bij h ==> (rename h F  $\in$  Always (h'A)) = (F  $\in$  Always A)"

$\langle proof \rangle$

**lemma** rename\_Increasing:

"bij h ==> (rename h F  $\in$  Increasing func) = (F  $\in$  Increasing (func o h))"

$\langle proof \rangle$

## 11.5 Progress: transient, ensures

**lemma** rename\_transient:

"bij h ==> (rename h F  $\in$  transient (h'A)) = (F  $\in$  transient A)"

$\langle proof \rangle$

**lemma** rename Ensures:

"bij h ==> (rename h F  $\in$  (h'A) ensures (h'B)) = (F  $\in$  A ensures B)"

$\langle proof \rangle$

**lemma** rename\_leadsTo:

"bij h ==> (rename h F  $\in$  (h'A) leadsTo (h'B)) = (F  $\in$  A leadsTo B)"

$\langle proof \rangle$

**lemma** rename\_LeadsTo:

"bij h ==> (rename h F  $\in$  (h'A) LeadsTo (h'B)) = (F  $\in$  A LeadsTo B)"

$\langle proof \rangle$

**lemma** rename\_rename\_guarantees\_eq:

"bij h ==> (rename h F  $\in$  (rename h ' X) guarantees  
(rename h ' Y)) =  
(F  $\in$  X guarantees Y)"

$\langle proof \rangle$

**lemma** rename\_guarantees\_eq\_rename\_inv:

"bij h ==> (rename h F  $\in$  X guarantees Y) =  
(F  $\in$  (rename (inv h) ' X) guarantees  
(rename (inv h) ' Y))"

$\langle proof \rangle$

**lemma** rename\_preserves:

"bij h ==> (rename h G  $\in$  preserves v) = (G  $\in$  preserves (v o h))"

$\langle proof \rangle$

**lemma** ok\_rename\_iff [simp]: "bij h ==> (rename h F ok rename h G) = (F ok

G)"

⟨proof⟩

**lemma** *OK\_rename\_iff [simp]: "bij h ==> OK I (%i. rename h (F i)) = (OK I F)"*

⟨proof⟩

## 11.6 "image" versions of the rules, for lifting "guarantees" properties

**lemmas** *bij\_eq\_rename = surj\_rename [THEN surj\_f\_inv\_f, symmetric]*

**lemma** *rename\_image\_constrains:*

"bij h ==> rename h ' (A co B) = (h ' A) co (h'B)"

⟨proof⟩

**lemma** *rename\_image\_stable: "bij h ==> rename h ' stable A = stable (h ' A)"*

⟨proof⟩

**lemma** *rename\_image\_increasing:*

"bij h ==> rename h ' increasing func = increasing (func o inv h)"

⟨proof⟩

**lemma** *rename\_image\_invariant:*

"bij h ==> rename h ' invariant A = invariant (h ' A)"

⟨proof⟩

**lemma** *rename\_image\_Constrains:*

"bij h ==> rename h ' (A Co B) = (h ' A) Co (h'B)"

⟨proof⟩

**lemma** *rename\_image\_preserves:*

"bij h ==> rename h ' preserves v = preserves (v o inv h)"

⟨proof⟩

**lemma** *rename\_image\_Stable:*

"bij h ==> rename h ' Stable A = Stable (h ' A)"

⟨proof⟩

**lemma** *rename\_image\_Increasing:*

"bij h ==> rename h ' Increasing func = Increasing (func o inv h)"

⟨proof⟩

**lemma** *rename\_image\_Always: "bij h ==> rename h ' Always A = Always (h ' A)"*

⟨proof⟩

**lemma** *rename\_image\_leadsTo:*

"bij h ==> rename h ' (A leadsTo B) = (h ' A) leadsTo (h'B)"

⟨proof⟩

**lemma** *rename\_image\_LeadsTo:*

"bij h ==> rename h ' (A LeadsTo B) = (h ' A) LeadsTo (h'B)"

⟨proof⟩

end

## 12 Replication of Components

theory *Lift\_prog* imports *Rename* begin

constdefs

```

insert_map :: "[nat, 'b, nat=>'b] => (nat=>'b)"
  "insert_map i z f k == if k<i then f k
                        else if k=i then z
                        else f(k - 1)"

delete_map :: "[nat, nat=>'b] => (nat=>'b)"
  "delete_map i g k == if k<i then g k else g (Suc k)"

lift_map :: "[nat, 'b * ((nat=>'b) * 'c)] => (nat=>'b) * 'c"
  "lift_map i == %(s,(f,uu)). (insert_map i s f, uu)"

drop_map :: "[nat, (nat=>'b) * 'c] => 'b * ((nat=>'b) * 'c)"
  "drop_map i == %(g, uu). (g i, (delete_map i g, uu))"

lift_set :: "[nat, ('b * ((nat=>'b) * 'c)) set] => ((nat=>'b) * 'c) set"
  "lift_set i A == lift_map i ' A"

lift :: "[nat, ('b * ((nat=>'b) * 'c)) program] => ((nat=>'b) * 'c) program"
  "lift i == rename (lift_map i)"

sub :: "[ 'a, 'a=>'b] => 'b"
  "sub == %i f. f i"

```

declare insert\_map\_def [simp] delete\_map\_def [simp]

lemma insert\_map\_inverse: "delete\_map i (insert\_map i x f) = f"  
 <proof>

lemma insert\_map\_delete\_map\_eq: "(insert\_map i x (delete\_map i g)) = g(i:=x)"  
 <proof>

### 12.1 Injectiveness proof

lemma insert\_map\_inject1: "(insert\_map i x f) = (insert\_map i y g) ==> x=y"  
 <proof>

lemma insert\_map\_inject2: "(insert\_map i x f) = (insert\_map i y g) ==> f=g"  
 <proof>

lemma insert\_map\_inject':  
 "(insert\_map i x f) = (insert\_map i y g) ==> x=y & f=g"  
 <proof>

```
lemmas insert_map_inject = insert_map_inject' [THEN conjE, elim!]
```

```
lemma lift_map_eq_iff [iff]:
  "(lift_map i (s,(f,uu)) = lift_map i' (s',(f',uu'))
   = (uu = uu' & insert_map i s f = insert_map i' s' f'))"
  <proof>
```

```
lemma drop_map_lift_map_eq [simp]: "!!s. drop_map i (lift_map i s) = s"
  <proof>
```

```
lemma inj_lift_map: "inj (lift_map i)"
  <proof>
```

## 12.2 Surjectiveness proof

```
lemma lift_map_drop_map_eq [simp]: "!!s. lift_map i (drop_map i s) = s"
  <proof>
```

```
lemma drop_map_inject [dest!]: "(drop_map i s) = (drop_map i s') ==> s=s'"
  <proof>
```

```
lemma surj_lift_map: "surj (lift_map i)"
  <proof>
```

```
lemma bij_lift_map [iff]: "bij (lift_map i)"
  <proof>
```

```
lemma inv_lift_map_eq [simp]: "inv (lift_map i) = drop_map i"
  <proof>
```

```
lemma inv_drop_map_eq [simp]: "inv (drop_map i) = lift_map i"
  <proof>
```

```
lemma bij_drop_map [iff]: "bij (drop_map i)"
  <proof>
```

```
lemma sub_apply [simp]: "sub i f = f i"
  <proof>
```

```
lemma all_total_lift: "all_total F ==> all_total (lift i F)"
  <proof>
```

```
lemma insert_map_upd_same: "(insert_map i t f)(i := s) = insert_map i s f"
  <proof>
```

```
lemma insert_map_upd:
  "(insert_map j t f)(i := s) =
   (if i=j then insert_map i s f
    else if i<j then insert_map j t (f(i:=s))
    else insert_map j t (f(i - Suc 0 := s)))"
```

*<proof>*

**lemma** *insert\_map\_eq\_diff*:  
 "[| insert\_map i s f = insert\_map j t g; i ≠ j |]  
 ==> ∃ g'. insert\_map i s' f = insert\_map j t g'"  
*<proof>*

**lemma** *lift\_map\_eq\_diff*:  
 "[| lift\_map i (s, (f, uu)) = lift\_map j (t, (g, vv)); i ≠ j |]  
 ==> ∃ g'. lift\_map i (s', (f, uu)) = lift\_map j (t, (g', vv))"  
*<proof>*

### 12.3 The Operator *lift\_set*

**lemma** *lift\_set\_empty [simp]*: "*lift\_set i {} = {}*"  
*<proof>*

**lemma** *lift\_set\_iff*: "*(lift\_map i x ∈ lift\_set i A) = (x ∈ A)*"  
*<proof>*

**lemma** *lift\_set\_iff2 [iff]*:  
 "*((f, uu) ∈ lift\_set i A) = ((f i, (delete\_map i f, uu)) ∈ A)*"  
*<proof>*

**lemma** *lift\_set\_mono*: "*A ⊆ B ==> lift\_set i A ⊆ lift\_set i B*"  
*<proof>*

**lemma** *lift\_set\_Un\_distrib*: "*lift\_set i (A ∪ B) = lift\_set i A ∪ lift\_set i B*"  
*<proof>*

**lemma** *lift\_set\_Diff\_distrib*: "*lift\_set i (A-B) = lift\_set i A - lift\_set i B*"  
*<proof>*

### 12.4 The Lattice Operations

**lemma** *bij\_lift [iff]*: "*bij (lift i)*"  
*<proof>*

**lemma** *lift\_SKIP [simp]*: "*lift i SKIP = SKIP*"  
*<proof>*

**lemma** *lift\_Join [simp]*: "*lift i (F Join G) = lift i F Join lift i G*"  
*<proof>*

**lemma** *lift\_JN [simp]*: "*lift j (JOIN I F) = (⋒ i ∈ I. lift j (F i))*"  
*<proof>*

### 12.5 Safety: constrains, stable, invariant

**lemma** *lift\_constrains*:



"(lift i F ∈ (lift\_set i A) co (lift\_set i B)) = (F ∈ A co B)"  
 ⟨proof⟩

**lemma lift\_stable:**  
 "(lift i F ∈ stable (lift\_set i A)) = (F ∈ stable A)"  
 ⟨proof⟩

**lemma lift\_invariant:**  
 "(lift i F ∈ invariant (lift\_set i A)) = (F ∈ invariant A)"  
 ⟨proof⟩

**lemma lift\_Constrains:**  
 "(lift i F ∈ (lift\_set i A) Co (lift\_set i B)) = (F ∈ A Co B)"  
 ⟨proof⟩

**lemma lift\_Stable:**  
 "(lift i F ∈ Stable (lift\_set i A)) = (F ∈ Stable A)"  
 ⟨proof⟩

**lemma lift\_Always:**  
 "(lift i F ∈ Always (lift\_set i A)) = (F ∈ Always A)"  
 ⟨proof⟩

## 12.6 Progress: transient, ensures

**lemma lift\_transient:**  
 "(lift i F ∈ transient (lift\_set i A)) = (F ∈ transient A)"  
 ⟨proof⟩

**lemma lift Ensures:**  
 "(lift i F ∈ (lift\_set i A) ensures (lift\_set i B)) =  
 (F ∈ A ensures B)"  
 ⟨proof⟩

**lemma lift\_leadsTo:**  
 "(lift i F ∈ (lift\_set i A) leadsTo (lift\_set i B)) =  
 (F ∈ A leadsTo B)"  
 ⟨proof⟩

**lemma lift\_LeadsTo:**  
 "(lift i F ∈ (lift\_set i A) LeadsTo (lift\_set i B)) =  
 (F ∈ A LeadsTo B)"  
 ⟨proof⟩

**lemma lift\_lift\_guarantees\_eq:**  
 "(lift i F ∈ (lift i ' X) guarantees (lift i ' Y)) =  
 (F ∈ X guarantees Y)"  
 ⟨proof⟩

**lemma lift\_guarantees\_eq\_lift\_inv:**  
 "(lift i F ∈ X guarantees Y) =

(F ∈ (rename (drop\_map i) ' X) guarantees (rename (drop\_map i) ' Y))"  
 <proof>

**lemma** lift\_preserves\_snd\_I: "F ∈ preserves snd ==> lift i F ∈ preserves  
 snd"  
 <proof>

**lemma** delete\_map\_eqE':  
 "(delete\_map i g) = (delete\_map i g') ==> ∃x. g = g'(i:=x)"  
 <proof>

**lemmas** delete\_map\_eqE = delete\_map\_eqE' [THEN exE, elim!]

**lemma** delete\_map\_neq\_apply:  
 "[| delete\_map j g = delete\_map j g'; i ≠ j |] ==> g i = g' i"  
 <proof>

**lemma** vimage\_o\_fst\_eq [simp]: "(f o fst) -' A = (f-'A) <\*> UNIV"  
 <proof>

**lemma** vimage\_sub\_eq\_lift\_set [simp]:  
 "(sub i -'A) <\*> UNIV = lift\_set i (A <\*> UNIV)"  
 <proof>

**lemma** mem\_lift\_act\_iff [iff]:  
 "((s,s') ∈ extend\_act (%(x,u::unit). lift\_map i x) act) =  
 ((drop\_map i s, drop\_map i s') ∈ act)"  
 <proof>

**lemma** preserves\_snd\_lift\_stable:  
 "[| F ∈ preserves snd; i ≠ j |]  
 ==> lift j F ∈ stable (lift\_set i (A <\*> UNIV))"  
 <proof>

**lemma** constrains\_imp\_lift\_constrains:  
 "[| F i ∈ (A <\*> UNIV) co (B <\*> UNIV);  
 F j ∈ preserves snd |]  
 ==> lift j (F j) ∈ (lift\_set i (A <\*> UNIV)) co (lift\_set i (B <\*> UNIV))"  
 <proof>

**lemma** lift\_map\_image\_Times:  
 "lift\_map i ' (A <\*> UNIV) =  
 (⋃ s ∈ A. ⋃ f. {insert\_map i s f}) <\*> UNIV"  
 <proof>

**lemma** lift\_preserves\_eq:  
 "(lift i F ∈ preserves v) = (F ∈ preserves (v o lift\_map i))"  
 <proof>

```

lemma lift_preserves_snd:
  "F ∈ preserves_snd
   ==> lift i F ∈ preserves (v o sub j o fst) =
    (if i=j then F ∈ preserves (v o fst) else True)"
<proof>

```

## 12.7 Lemmas to Handle Function Composition (o) More Consistently

```

lemma o_equiv_assoc: "f o g = h ==> f' o f o g = f' o h"
<proof>

```

```

lemma o_equiv_apply: "f o g = h ==> ∀x. f(g x) = h x"
<proof>

```

```

lemma fst_o_lift_map: "sub i o fst o lift_map i = fst"
<proof>

```

```

lemma snd_o_lift_map: "snd o lift_map i = snd o snd"
<proof>

```

## 12.8 More lemmas about extend and project

They could be moved to theory Extend or Project

```

lemma extend_act_extend_act:
  "extend_act h' (extend_act h act) =
   extend_act (%(x,(y,y')). h'(h(x,y),y')) act"
<proof>

```

```

lemma project_act_project_act:
  "project_act h (project_act h' act) =
   project_act (%(x,(y,y')). h'(h(x,y),y')) act"
<proof>

```

```

lemma project_act_extend_act:
  "project_act h (extend_act h' act) =
   {(x,x'). ∃s s' y y' z. (s,s') ∈ act &
    h(x,y) = h'(s,z) & h(x',y') = h'(s',z)}"
<proof>

```

## 12.9 OK and "lift"

```

lemma act_in_UNION_preserves_fst:
  "act ⊆ {(x,x'). fst x = fst x'} ==> act ∈ UNION (preserves fst) Acts"
<proof>

```

```

lemma UNION_OK_lift_I:
  "[| ∀i ∈ I. F i ∈ preserves_snd;
   ∀i ∈ I. UNION (preserves fst) Acts ⊆ AllowedActs (F i) |]
   ==> OK I (%i. lift i (F i))"
<proof>

```

```

lemma OK_lift_I:
  "[|  $\forall i \in I. F\ i \in \text{preserves\_snd};$ 
     $\forall i \in I. \text{preserves\_fst} \subseteq \text{Allowed}\ (F\ i)\ |]$ 
  ==> OK I (%i. lift i (F i))"
<proof>

lemma Allowed_lift [simp]: "Allowed (lift i F) = lift i ` (Allowed F)"
<proof>

lemma lift_image_preserves:
  "lift i ` preserves v = preserves (v o drop_map i)"
<proof>

end

theory PPROD imports Lift_prog begin

consts

  PLam  :: "[nat set, nat => ('b * ((nat=>'b) * 'c)) program]
          => ((nat=>'b) * 'c) program"
  "PLam I F ==  $\bigsqcup_{i \in I. \text{lift } i\ (F\ i)}$ "

syntax
  "@PLam" :: "[pttrn, nat set, 'b set] => (nat => 'b) set"
  ("(3plam _:_./ _)" 10)

translations
  "plam x : A. B"    == "PLam A (%x. B)"

lemma Init_PLam [simp]: "Init (PLam I F) = ( $\bigcap_{i \in I. \text{lift\_set } i\ (\text{Init}\ (F\ i))}$ )"
<proof>

lemma PLam_empty [simp]: "PLam {} F = SKIP"
<proof>

lemma PLam_SKIP [simp]: "(plam i : I. SKIP) = SKIP"
<proof>

lemma PLam_insert: "PLam (insert i I) F = (lift i (F i)) Join (PLam I F)"
<proof>

lemma PLam_component_iff: " $((\text{PLam } I\ F) \leq H) = (\forall i \in I. \text{lift } i\ (F\ i) \leq H)$ "
<proof>

lemma component_PLam: " $i \in I \implies \text{lift } i\ (F\ i) \leq (\text{PLam } I\ F)$ "

```

⟨proof⟩

**lemma** *PLam\_constrains*:

```
"[| i ∈ I;  ∀j. F j ∈ preserves snd |]
==> (PLam I F ∈ (lift_set i (A <*> UNIV)) co
      (lift_set i (B <*> UNIV))) =
      (F i ∈ (A <*> UNIV) co (B <*> UNIV))"
```

⟨proof⟩

**lemma** *PLam\_stable*:

```
"[| i ∈ I;  ∀j. F j ∈ preserves snd |]
==> (PLam I F ∈ stable (lift_set i (A <*> UNIV))) =
      (F i ∈ stable (A <*> UNIV))"
```

⟨proof⟩

**lemma** *PLam\_transient*:

```
"i ∈ I ==>
  PLam I F ∈ transient A = (∃i ∈ I. lift i (F i) ∈ transient A)"
```

⟨proof⟩

This holds because the  $F j$  cannot change  $\text{lift\_set } i$

**lemma** *PLam\_ensures*:

```
"[| i ∈ I;  F i ∈ (A <*> UNIV) ensures (B <*> UNIV);
  ∀j. F j ∈ preserves snd |]
==> PLam I F ∈ lift_set i (A <*> UNIV) ensures lift_set i (B <*> UNIV)"
```

⟨proof⟩

**lemma** *PLam\_leadsTo\_Basis*:

```
"[| i ∈ I;
  F i ∈ ((A <*> UNIV) - (B <*> UNIV)) co
        ((A <*> UNIV) ∪ (B <*> UNIV));
  F i ∈ transient ((A <*> UNIV) - (B <*> UNIV));
  ∀j. F j ∈ preserves snd |]
==> PLam I F ∈ lift_set i (A <*> UNIV) leadsTo lift_set i (B <*> UNIV)"
```

⟨proof⟩

**lemma** *invariant\_imp\_PLam\_invariant*:

```
"[| F i ∈ invariant (A <*> UNIV);  i ∈ I;
  ∀j. F j ∈ preserves snd |]
==> PLam I F ∈ invariant (lift_set i (A <*> UNIV))"
```

⟨proof⟩

**lemma** *PLam\_preserves\_fst [simp]*:

```
"∀j. F j ∈ preserves snd
==> (PLam I F ∈ preserves (v o sub j o fst)) =
      (if j ∈ I then F j ∈ preserves (v o fst) else True)"
```

*<proof>*

```
lemma PLam_preserves_snd [simp,intro]:
  "∀ j. F j ∈ preserves_snd ==> PLam I F ∈ preserves_snd"
<proof>
```

This rule looks unsatisfactory because it refers to *lift*. One must use *lift\_guarantees\_eq\_lift\_inv* to rewrite the first subgoal and something like *lift\_preserves\_sub* to rewrite the third. However there's no obvious alternative for the third premise.

```
lemma guarantees_PLam_I:
  "[| lift i (F i): X guarantees Y; i ∈ I;
    OK I (%i. lift i (F i)) |]
  ==> (PLam I F) ∈ X guarantees Y"
<proof>
```

```
lemma Allowed_PLam [simp]:
  "Allowed (PLam I F) = (⋂ i ∈ I. lift i ' Allowed(F i))"
<proof>
```

```
lemma PLam_preserves [simp]:
  "(PLam I F) ∈ preserves v = (∀ i ∈ I. F i ∈ preserves (v o lift_map
i))"
<proof>
```

end

## 13 The Prefix Ordering on Lists

```
theory ListOrder
imports Main
begin

inductive_set
  genPrefix :: "('a * 'a)set => ('a list * 'a list)set"
  for r :: "('a * 'a)set"
where
  Nil:      "([],[]) : genPrefix(r)"

  | prepend: "[| (xs,ys) : genPrefix(r); (x,y) : r |] ==>
              (x#xs, y#ys) : genPrefix(r)"

  | append:  "(xs,ys) : genPrefix(r) ==> (xs, ys@zs) : genPrefix(r)"

instantiation list :: (type) ord
```

**begin**

**definition**

```
prefix_def: "xs <= zs  $\longleftrightarrow$  (xs, zs) : genPrefix Id"
```

**definition**

```
strict_prefix_def: "xs < zs  $\longleftrightarrow$  xs  $\leq$  zs  $\wedge$   $\neg$  zs  $\leq$  (xs :: 'a list)"
```

**instance**  $\langle$ proof $\rangle$

**end**

**constdefs**

```
Le :: "(nat*nat) set"
"Le == {(x,y). x <= y}"
```

```
Ge :: "(nat*nat) set"
"Ge == {(x,y). y <= x}"
```

**abbreviation**

```
pfixLe :: "[nat list, nat list] => bool" (infixl "pfixLe" 50) where
"xs pfixLe ys == (xs,ys) : genPrefix Le"
```

**abbreviation**

```
pfixGe :: "[nat list, nat list] => bool" (infixl "pfixGe" 50) where
"xs pfixGe ys == (xs,ys) : genPrefix Ge"
```

## 13.1 preliminary lemmas

**lemma** Nil\_genPrefix [iff]: " $([], xs) : \text{genPrefix } r$ "

$\langle$ proof $\rangle$

**lemma** genPrefix\_length\_le: " $(xs,ys) : \text{genPrefix } r \implies \text{length } xs \leq \text{length } ys$ "

$\langle$ proof $\rangle$

**lemma** cdlemma:

```
"[| (xs', ys'): genPrefix r |]
==> (ALL x xs. xs' = x#xs --> (EX y ys. ys' = y#ys & (x,y) : r & (xs,
ys) : genPrefix r))"
 $\langle$ proof $\rangle$ 
```

**lemma** cons\_genPrefixE [elim!]:

```
"[| (x#xs, zs): genPrefix r;
!!y ys. [| zs = y#ys; (x,y) : r; (xs, ys) : genPrefix r |] ==> P
|] ==> P"
 $\langle$ proof $\rangle$ 
```

**lemma** Cons\_genPrefix\_Cons [iff]:

```
"((x#xs,y#ys) : genPrefix r) = ((x,y) : r & (xs,ys) : genPrefix r)"
```

*<proof>*

### 13.2 genPrefix is a partial order

**lemma** refl\_genPrefix: "refl r ==> refl (genPrefix r)"  
*<proof>*

**lemma** genPrefix\_refl [simp]: "refl r ==> (1,1) : genPrefix r"  
*<proof>*

**lemma** genPrefix\_mono: "r<=s ==> genPrefix r <= genPrefix s"  
*<proof>*

**lemma** append\_genPrefix [rule\_format]:  
 "ALL zs. (xs @ ys, zs) : genPrefix r --> (xs, zs) : genPrefix r"  
*<proof>*

**lemma** genPrefix\_trans\_0 [rule\_format]:  
 "(x, y) : genPrefix r  
 ==> ALL z. (y,z) : genPrefix s --> (x, z) : genPrefix (s 0 r)"  
*<proof>*

**lemma** genPrefix\_trans [rule\_format]:  
 "[| (x,y) : genPrefix r; (y,z) : genPrefix r; trans r |]  
 ==> (x,z) : genPrefix r"  
*<proof>*

**lemma** prefix\_genPrefix\_trans [rule\_format]:  
 "[| x<=y; (y,z) : genPrefix r |] ==> (x, z) : genPrefix r"  
*<proof>*

**lemma** genPrefix\_prefix\_trans [rule\_format]:  
 "[| (x,y) : genPrefix r; y<=z |] ==> (x,z) : genPrefix r"  
*<proof>*

**lemma** trans\_genPrefix: "trans r ==> trans (genPrefix r)"  
*<proof>*

**lemma** genPrefix\_antisym [rule\_format]:  
 "[| (xs,ys) : genPrefix r; antisym r |]  
 ==> (ys,xs) : genPrefix r --> xs = ys"  
*<proof>*

**lemma** antisym\_genPrefix: "antisym r ==> antisym (genPrefix r)"  
*<proof>*



### 13.3 recursion equations

```
lemma genPrefix_Nil [simp]: "((xs, []) : genPrefix r) = (xs = [])"
<proof>
```

```
lemma same_genPrefix_genPrefix [simp]:
  "refl r ==> ((xs@ys, xs@zs) : genPrefix r) = ((ys,zs) : genPrefix r)"
<proof>
```

```
lemma genPrefix_Cons:
  "((xs, y#ys) : genPrefix r) =
   (xs=[] | (EX z zs. xs=z#zs & (z,y) : r & (zs,ys) : genPrefix r))"
<proof>
```

```
lemma genPrefix_take_append:
  "[| refl r; (xs,ys) : genPrefix r |]
   ==> (xs@zs, take (length xs) ys @ zs) : genPrefix r"
<proof>
```

```
lemma genPrefix_append_both:
  "[| refl r; (xs,ys) : genPrefix r; length xs = length ys |]
   ==> (xs@zs, ys @ zs) : genPrefix r"
<proof>
```

```
lemma append_cons_eq: "xs @ y # ys = (xs @ [y]) @ ys"
<proof>
```

```
lemma aolemma:
  "[| (xs,ys) : genPrefix r; refl r |]
   ==> length xs < length ys --> (xs @ [ys ! length xs], ys) : genPrefix
r"
<proof>
```

```
lemma append_one_genPrefix:
  "[| (xs,ys) : genPrefix r; length xs < length ys; refl r |]
   ==> (xs @ [ys ! length xs], ys) : genPrefix r"
<proof>
```

```
lemma genPrefix_imp_nth [rule_format]:
  "ALL i ys. i < length xs
   --> (xs, ys) : genPrefix r --> (xs ! i, ys ! i) : r"
<proof>
```

```
lemma nth_imp_genPrefix [rule_format]:
  "ALL ys. length xs <= length ys
   --> (ALL i. i < length xs --> (xs ! i, ys ! i) : r)
   --> (xs, ys) : genPrefix r"
<proof>
```

```

lemma genPrefix_iff_nth:
  "((xs,ys) : genPrefix r) =
    (length xs <= length ys & (ALL i. i < length xs --> (xs!i, ys!i) : r))"
<proof>

```

### 13.4 The type of lists is partially ordered

```

declare refl_Id [iff]
        antisym_Id [iff]
        trans_Id [iff]

```

```

lemma prefix_refl [iff]: "xs <= (xs::'a list)"
<proof>

```

```

lemma prefix_trans: "!!xs::'a list. [| xs <= ys; ys <= zs |] ==> xs <= zs"
<proof>

```

```

lemma prefix_antisym: "!!xs::'a list. [| xs <= ys; ys <= xs |] ==> xs = ys"
<proof>

```

```

lemma prefix_less_le_not_le: "!!xs::'a list. (xs < zs) = (xs <= zs & ¬ zs
≤ xs)"
<proof>

```

```

instance list :: (type) order
<proof>

```

```

lemma set_mono: "xs <= ys ==> set xs <= set ys"
<proof>

```

```

lemma Nil_prefix [iff]: "[] <= xs"
<proof>

```

```

lemma prefix_Nil [simp]: "(xs <= []) = (xs = [])"
<proof>

```

```

lemma Cons_prefix_Cons [simp]: "(x#xs <= y#ys) = (x=y & xs<=ys)"
<proof>

```

```

lemma same_prefix_prefix [simp]: "(xs@ys <= xs@zs) = (ys <= zs)"
<proof>

```

```

lemma append_prefix [iff]: "(xs@ys <= xs) = (ys <= [])"
<proof>

```

```

lemma prefix_appendI [simp]: "xs <= ys ==> xs <= ys@zs"
<proof>

```

```

lemma prefix_Cons:
  "(xs <= y#ys) = (xs=[] | (? zs. xs=y#zs & zs <= ys))"

```

*<proof>*

**lemma** *append\_one\_prefix*:

"[| *xs* <= *ys*; length *xs* < length *ys* |] ==> *xs* @ [*ys* ! length *xs*] <= *ys*"

*<proof>*

**lemma** *prefix\_length\_le*: "*xs* <= *ys* ==> length *xs* <= length *ys*"

*<proof>*

**lemma** *splemma*: "*xs* <= *ys* ==> *xs* ~ *ys* --> length *xs* < length *ys*"

*<proof>*

**lemma** *strict\_prefix\_length\_less*: "*xs* < *ys* ==> length *xs* < length *ys*"

*<proof>*

**lemma** *mono\_length*: "mono length"

*<proof>*

**lemma** *prefix\_iff*: "(*xs* <= *zs*) = (EX *ys*. *zs* = *xs*@*ys*)"

*<proof>*

**lemma** *prefix\_snoc [simp]*: "(*xs* <= *ys*@[*y*]) = (*xs* = *ys*@[*y*] | *xs* <= *ys*)"

*<proof>*

**lemma** *prefix\_append\_iff*:

"(*xs* <= *ys*@*zs*) = (*xs* <= *ys* | (? *us*. *xs* = *ys*@*us* & *us* <= *zs*))"

*<proof>*

**lemma** *common\_prefix\_linear [rule\_format]*:

"!!*zs*::'a list. *xs* <= *zs* --> *ys* <= *zs* --> *xs* <= *ys* | *ys* <= *xs*"

*<proof>*

## 13.5 *pfixLe, pfixGe: properties inherited from the translations*

**lemma** *refl\_Le [iff]*: "refl Le"

*<proof>*

**lemma** *antisym\_Le [iff]*: "antisym Le"

*<proof>*

**lemma** *trans\_Le [iff]*: "trans Le"

*<proof>*

**lemma** *pfixLe\_refl [iff]*: "*x* pfixLe *x*"

*<proof>*

**lemma** *pfixLe\_trans*: "[| *x* pfixLe *y*; *y* pfixLe *z* |] ==> *x* pfixLe *z*"

*<proof>*

**lemma** *pfixLe\_antisym*: "[| *x* pfixLe *y*; *y* pfixLe *x* |] ==> *x* = *y*"

*<proof>*

```

lemma prefix_imp_pfixLe: "xs<=ys ==> xs pfixLe ys"
<proof>

lemma refl_Ge [iff]: "refl Ge"
<proof>

lemma antisym_Ge [iff]: "antisym Ge"
<proof>

lemma trans_Ge [iff]: "trans Ge"
<proof>

lemma pfixGe_refl [iff]: "x pfixGe x"
<proof>

lemma pfixGe_trans: "[| x pfixGe y; y pfixGe z |] ==> x pfixGe z"
<proof>

lemma pfixGe_antisym: "[| x pfixGe y; y pfixGe x |] ==> x = y"
<proof>

lemma prefix_imp_pfixGe: "xs<=ys ==> xs pfixGe ys"
<proof>

end

```

## 14 Multisets

```

theory Multiset
imports List Main
begin

```

### 14.1 The type of multisets

```

typedef 'a multiset = "{f::'a => nat. finite {x . f x > 0}}"
<proof>

lemmas multiset_typedef [simp] =
  Abs_multiset_inverse Rep_multiset_inverse Rep_multiset
  and [simp] = Rep_multiset_inject [symmetric]

definition Mempty :: "'a multiset" ("{}") where
  [code del]: "{} = Abs_multiset (λa. 0)"

definition single :: "'a => 'a multiset" where
  [code del]: "single a = Abs_multiset (λb. if b = a then 1 else 0)"

definition count :: "'a multiset => 'a => nat" where
  "count = Rep_multiset"

definition MCollect :: "'a multiset => ('a => bool) => 'a multiset" where

```

```

"MCollect M P = Abs_multiset ( $\lambda x$ . if P x then Rep_multiset M x else 0)"

abbreviation Melem :: "'a => 'a multiset => bool"  ("(_/ :# _)" [50, 51] 50)
where
  "a :# M == 0 < count M a"

notation (xsymbols)
  Melem (infix "∈#" 50)

syntax
  "_MCollect" :: "pttrn => 'a multiset => bool => 'a multiset"  ("(1{# _
  :# _./ _#})")
translations
  "{#x :# M. P#}" == "CONST MCollect M ( $\lambda x$ . P)"

definition set_of :: "'a multiset => 'a set" where
  "set_of M = {x. x :# M}"

instantiation multiset :: (type) "{plus, minus, zero, size}"
begin

definition union_def [code del]:
  "M + N = Abs_multiset ( $\lambda a$ . Rep_multiset M a + Rep_multiset N a)"

definition diff_def [code del]:
  "M - N = Abs_multiset ( $\lambda a$ . Rep_multiset M a - Rep_multiset N a)"

definition Zero_multiset_def [simp]:
  "0 = {#}"

definition size_def:
  "size M = setsum (count M) (set_of M)"

instance ⟨proof⟩

end

definition
  multiset_inter :: "'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset"  (infixl "#∩"
  70) where
  "multiset_inter A B = A - (A - B)"

Multiset Enumeration

syntax
  "_multiset" :: "args => 'a multiset"  ("#{#(_)#}")
translations
  "{#x, xs#}" == "{#x#} + {#xs#}"
  "{#x#}" == "CONST single x"

Preservation of the representing set multiset.

lemma const0_in_multiset: "( $\lambda a$ . 0) ∈ multiset"
  ⟨proof⟩

```

```

lemma only1_in_multiset: "(λb. if b = a then 1 else 0) ∈ multiset"
⟨proof⟩

lemma union_preserves_multiset:
  "M ∈ multiset ==> N ∈ multiset ==> (λa. M a + N a) ∈ multiset"
⟨proof⟩

lemma diff_preserves_multiset:
  "M ∈ multiset ==> (λa. M a - N a) ∈ multiset"
⟨proof⟩

lemma MCollect_preserves_multiset:
  "M ∈ multiset ==> (λx. if P x then M x else 0) ∈ multiset"
⟨proof⟩

lemmas in_multiset = const0_in_multiset only1_in_multiset
  union_preserves_multiset diff_preserves_multiset MCollect_preserves_multiset

```

## 14.2 Algebraic properties

### 14.2.1 Union

```

lemma union_empty [simp]: "M + {#} = M ∧ {#} + M = M"
⟨proof⟩

lemma union_commute: "M + N = N + (M::'a multiset)"
⟨proof⟩

lemma union_assoc: "(M + N) + K = M + (N + (K::'a multiset))"
⟨proof⟩

lemma union_lcomm: "M + (N + K) = N + (M + (K::'a multiset))"
⟨proof⟩

lemmas union_ac = union_assoc union_commute union_lcomm

instance multiset :: (type) comm_monoid_add
⟨proof⟩

```

### 14.2.2 Difference

```

lemma diff_empty [simp]: "M - {#} = M ∧ {#} - M = {#}"
⟨proof⟩

lemma diff_union_inverse2 [simp]: "M + {#a#} - {#a#} = M"
⟨proof⟩

lemma diff_cancel: "A - A = {#}"
⟨proof⟩

```

### 14.2.3 Count of elements

```

lemma count_empty [simp]: "count {#} a = 0"
⟨proof⟩

```

**lemma** `count_single [simp]: "count {#b#} a = (if b = a then 1 else 0)"`  
`<proof>`

**lemma** `count_union [simp]: "count (M + N) a = count M a + count N a"`  
`<proof>`

**lemma** `count_diff [simp]: "count (M - N) a = count M a - count N a"`  
`<proof>`

**lemma** `count_MCollect [simp]:`  
`"count {# x:#M. P x #} a = (if P a then count M a else 0)"`  
`<proof>`

#### 14.2.4 Set of elements

**lemma** `set_of_empty [simp]: "set_of {#} = {}"`  
`<proof>`

**lemma** `set_of_single [simp]: "set_of {#b#} = {b}"`  
`<proof>`

**lemma** `set_of_union [simp]: "set_of (M + N) = set_of M  $\cup$  set_of N"`  
`<proof>`

**lemma** `set_of_eq_empty_iff [simp]: "(set_of M = {}) = (M = {#})"`  
`<proof>`

**lemma** `mem_set_of_iff [simp]: "(x  $\in$  set_of M) = (x :# M)"`  
`<proof>`

**lemma** `set_of_MCollect [simp]: "set_of {# x:#M. P x #} = set_of M  $\cap$  {x. P x}"`  
`<proof>`

#### 14.2.5 Size

**lemma** `size_empty [simp]: "size {#} = 0"`  
`<proof>`

**lemma** `size_single [simp]: "size {#b#} = 1"`  
`<proof>`

**lemma** `finite_set_of [iff]: "finite (set_of M)"`  
`<proof>`

**lemma** `setsum_count_Int:`  
`"finite A ==> setsum (count N) (A  $\cap$  set_of N) = setsum (count N) A"`  
`<proof>`

**lemma** `size_union [simp]: "size (M + N::'a multiset) = size M + size N"`  
`<proof>`

**lemma** `size_eq_0_iff_empty [iff]: "(size M = 0) = (M = {#})"`  
`<proof>`

**lemma** nonempty\_has\_size: " $(S \neq \{\#\}) = (0 < \text{size } S)$ "  
 <proof>

**lemma** size\_eq\_Suc\_imp\_elem: " $\text{size } M = \text{Suc } n \implies \exists a. a : \# M$ "  
 <proof>

### 14.2.6 Equality of multisets

**lemma** multiset\_eq\_conv\_count\_eq: " $(M = N) = (\forall a. \text{count } M a = \text{count } N a)$ "  
 <proof>

**lemma** single\_not\_empty [simp]: " $\{\#a\} \neq \{\#\} \wedge \{\#\} \neq \{\#a\}$ "  
 <proof>

**lemma** single\_eq\_single [simp]: " $(\{\#a\} = \{\#b\}) = (a = b)$ "  
 <proof>

**lemma** union\_eq\_empty [iff]: " $(M + N = \{\#\}) = (M = \{\#\} \wedge N = \{\#\})$ "  
 <proof>

**lemma** empty\_eq\_union [iff]: " $(\{\#\} = M + N) = (M = \{\#\} \wedge N = \{\#\})$ "  
 <proof>

**lemma** union\_right\_cancel [simp]: " $(M + K = N + K) = (M = (N::'a \text{ multiset}))$ "  
 <proof>

**lemma** union\_left\_cancel [simp]: " $(K + M = K + N) = (M = (N::'a \text{ multiset}))$ "  
 <proof>

**lemma** union\_is\_single:  
 " $(M + N = \{\#a\}) = (M = \{\#a\} \wedge N = \{\#\} \vee M = \{\#\} \wedge N = \{\#a\})$ "  
 <proof>

**lemma** single\_is\_union:  
 " $(\{\#a\} = M + N) \longleftrightarrow (\{\#a\} = M \wedge N = \{\#\} \vee M = \{\#\} \wedge \{\#a\} = N)$ "  
 <proof>

**lemma** add\_eq\_conv\_diff:  
 " $(M + \{\#a\} = N + \{\#b\}) =$   
 $(M = N \wedge a = b \vee M = N - \{\#a\} + \{\#b\} \wedge N = M - \{\#b\} + \{\#a\})$ "  
 <proof>

**declare** Rep\_multiset\_inject [symmetric, simp del]

**instance** multiset :: (type) cancel\_ab\_semigroup\_add  
 <proof>

**lemma** insert\_DiffM:  
 " $x \in \# M \implies \{\#x\} + (M - \{\#x\}) = M$ "  
 <proof>

**lemma** insert\_DiffM2[simp]:  
 " $x \in \# M \implies M - \{\#x\} + \{\#x\} = M$ "



*<proof>*

**lemma** *multi\_union\_self\_other\_eq*:  
 "(A::'a multiset) + X = A + Y  $\implies$  X = Y"  
*<proof>*

**lemma** *multi\_self\_add\_other\_not\_self[simp]*: "(A = A + {#x#}) = False"  
*<proof>*

**lemma** *insert\_noteq\_member*:  
 assumes BC: "B + {#b#} = C + {#c#}"  
 and bnotc: "b  $\neq$  c"  
 shows "c  $\in$  B"  
*<proof>*

**lemma** *add\_eq\_conv\_ex*:  
 "(M + {#a#} = N + {#b#}) =  
 (M = N  $\wedge$  a = b  $\vee$  ( $\exists$  K. M = K + {#b#}  $\wedge$  N = K + {#a#}))"  
*<proof>*

**lemma** *empty\_multiset\_count*:  
 "( $\forall$  x. count A x = 0) = (A = {#})"  
*<proof>*

### 14.2.7 Intersection

**lemma** *multiset\_inter\_count*:  
 "count (A # $\cap$  B) x = min (count A x) (count B x)"  
*<proof>*

**lemma** *multiset\_inter\_commute*: "A # $\cap$  B = B # $\cap$  A"  
*<proof>*

**lemma** *multiset\_inter\_assoc*: "A # $\cap$  (B # $\cap$  C) = A # $\cap$  B # $\cap$  C"  
*<proof>*

**lemma** *multiset\_inter\_left\_commute*: "A # $\cap$  (B # $\cap$  C) = B # $\cap$  (A # $\cap$  C)"  
*<proof>*

**lemmas** *multiset\_inter\_ac* =  
 multiset\_inter\_commute  
 multiset\_inter\_assoc  
 multiset\_inter\_left\_commute

**lemma** *multiset\_inter\_single*: "a  $\neq$  b  $\implies$  {#a#} # $\cap$  {#b#} = {#}"  
*<proof>*

**lemma** *multiset\_union\_diff\_commute*: "B # $\cap$  C = {#}  $\implies$  A + B - C = A - C + B"  
*<proof>*

### 14.2.8 Comprehension (filter)

**lemma** *MCollect\_empty* [simp]: "MCollect {#} P = {#}"  
 <proof>

**lemma** *MCollect\_single* [simp]:  
 "MCollect {#x#} P = (if P x then {#x#} else {#})"  
 <proof>

**lemma** *MCollect\_union* [simp]:  
 "MCollect (M+N) f = MCollect M f + MCollect N f"  
 <proof>

### 14.3 Induction and case splits

**lemma** *setsum\_decr*:  
 "finite F ==> (0::nat) < f a ==>  
 setsum (f (a := f a - 1)) F = (if a ∈ F then setsum f F - 1 else setsum  
 f F)"  
 <proof>

**lemma** *rep\_multiset\_induct\_aux*:  
**assumes** 1: "P (λa. (0::nat))"  
 and 2: "!!f b. f ∈ multiset ==> P f ==> P (f (b := f b + 1))"  
**shows** "∀f. f ∈ multiset --> setsum f {x. f x ≠ 0} = n --> P f"  
 <proof>

**theorem** *rep\_multiset\_induct*:  
 "f ∈ multiset ==> P (λa. 0) ==>  
 (!!f b. f ∈ multiset ==> P f ==> P (f (b := f b + 1))) ==> P f"  
 <proof>

**theorem** *multiset\_induct* [case\_names empty add, induct type: multiset]:  
**assumes** empty: "P {#}"  
 and add: "!!M x. P M ==> P (M + {#x#})"  
**shows** "P M"  
 <proof>

**lemma** *multi\_nonempty\_split*: "M ≠ {#} ==> ∃ A a. M = A + {#a#}"  
 <proof>

**lemma** *multiset\_cases* [cases type, case\_names empty add]:  
**assumes** em: "M = {#} ==> P"  
**assumes** add: "∧ N x. M = N + {#x#} ==> P"  
**shows** "P"  
 <proof>

**lemma** *multi\_member\_split*: "x ∈ # M ==> ∃ A. M = A + {#x#}"  
 <proof>

**lemma** *multiset\_partition*: "M = {# x:#M. P x #} + {# x:#M. ¬ P x #}"  
 <proof>

**declare** *multiset\_typedef* [simp del]

**lemma** `multi_drop_mem_not_eq`: " $c \in \# B \implies B - \{c\} \neq B$ "  
`<proof>`

## 14.4 Orderings

### 14.4.1 Well-foundedness

**definition** `mult1` ::  $('a \times 'a) \text{ set} \Rightarrow ('a \text{ multiset} \times 'a \text{ multiset}) \text{ set}$  **where**  
`[code del]: "mult1 r = {(N, M).  $\exists a \ M0 \ K. \ M = M0 + \{a\} \wedge N = M0 + K \wedge$`   
`( $\forall b. \ b : \# K \implies (b, a) \in r$ )}"`

**definition** `mult` ::  $('a \times 'a) \text{ set} \Rightarrow ('a \text{ multiset} \times 'a \text{ multiset}) \text{ set}$  **where**  
`"mult r = (mult1 r)+"`

**lemma** `not_less_empty` [iff]: " $(M, \{ \}) \notin \text{mult1 } r$ "  
`<proof>`

**lemma** `less_add`: " $(N, M0 + \{a\}) \in \text{mult1 } r \implies$   
 $(\exists M. (M, M0) \in \text{mult1 } r \wedge N = M + \{a\}) \vee$   
 $(\exists K. (\forall b. \ b : \# K \implies (b, a) \in r) \wedge N = M0 + K)$ "  
`(is " _  $\implies$  ?case1 (mult1 r)  $\vee$  ?case2")`  
`<proof>`

**lemma** `all_accessible`: " $\text{wf } r \implies \forall M. \ M \in \text{acc } (\text{mult1 } r)$ "  
`<proof>`

**theorem** `wf_mult1`: " $\text{wf } r \implies \text{wf } (\text{mult1 } r)$ "  
`<proof>`

**theorem** `wf_mult`: " $\text{wf } r \implies \text{wf } (\text{mult } r)$ "  
`<proof>`

### 14.4.2 Closure-free presentation

**lemma** `diff_union_single_conv`: " $a : \# J \implies I + J - \{a\} = I + (J - \{a\})$ "  
`<proof>`

One direction.

**lemma** `mult_implies_one_step`:  
`"trans r  $\implies$  (M, N)  $\in$  mult r  $\implies$`   
 `$\exists I \ J \ K. \ N = I + J \wedge M = I + K \wedge J \neq \{ \} \wedge$`   
 `$(\forall k \in \text{set\_of } K. \ \exists j \in \text{set\_of } J. \ (k, j) \in r)$ "`  
`<proof>`

**lemma** `elem_imp_eq_diff_union`: " $a : \# M \implies M = M - \{a\} + \{a\}$ "  
`<proof>`

**lemma** `size_eq_Suc_imp_eq_union`: " $\text{size } M = \text{Suc } n \implies \exists a \ N. \ M = N + \{a\}$ "  
`<proof>`

**lemma** `one_step_implies_mult_aux`:  
`"trans r  $\implies$`   
 `$\forall I \ J \ K. \ (\text{size } J = n \wedge J \neq \{ \} \wedge (\forall k \in \text{set\_of } K. \ \exists j \in \text{set\_of } J. \ (k,$`   
 `$j) \in r))$`   
 `$\implies (I + K, I + J) \in \text{mult } r$ "`

*<proof>*

```
lemma one_step_implies_mult:
  "trans r ==> J ≠ {} ==> ∀ k ∈ set_of K. ∃ j ∈ set_of J. (k, j) ∈ r
   ==> (I + K, I + J) ∈ mult r"
<proof>
```

#### 14.4.3 Partial-order properties

```
instantiation multiset :: (order) order
begin
```

```
definition less_multiset_def [code del]:
  "M' < M ⟷ (M', M) ∈ mult {(x', x). x' < x}"
```

```
definition le_multiset_def [code del]:
  "M' ≤ M ⟷ M' = M ∨ M' < (M::'a multiset)"
```

```
lemma trans_base_order: "trans {(x', x). x' < (x::'a::order)}"
<proof>
```

Irreflexivity.

```
lemma mult_irrefl_aux:
  "finite A ==> (∀ x ∈ A. ∃ y ∈ A. x < (y::'a::order)) ⟹ A = {}"
<proof>
```

```
lemma mult_less_not_refl: "¬ M < (M::'a::order multiset)"
<proof>
```

```
lemma mult_less_irrefl [elim!]: "M < (M::'a::order multiset) ==> R"
<proof>
```

Transitivity.

```
theorem mult_less_trans: "K < M ==> M < N ==> K < (N::'a::order multiset)"
<proof>
```

Asymmetry.

```
theorem mult_less_not_sym: "M < N ==> ¬ N < (M::'a::order multiset)"
<proof>
```

```
theorem mult_less_asym:
  "M < N ==> (¬ P ==> N < (M::'a::order multiset)) ==> P"
<proof>
```

```
theorem mult_le_refl [iff]: "M ≤ (M::'a::order multiset)"
<proof>
```

Anti-symmetry.

```
theorem mult_le_antisym:
  "M ≤ N ==> N ≤ M ==> M = (N::'a::order multiset)"
<proof>
```

Transitivity.

```

theorem mult_le_trans:
  "K <= M ==> M <= N ==> K <= (N::'a::order multiset)"
  <proof>

theorem mult_less_le: "(M < N) = (M <= N ∧ M ≠ (N::'a::order multiset))"
  <proof>

instance <proof>

end

```

#### 14.4.4 Monotonicity of multiset union

```

lemma mult1_union:
  "(B, D) ∈ mult1 r ==> trans r ==> (C + B, C + D) ∈ mult1 r"
  <proof>

lemma union_less_mono2: "B < D ==> C + B < C + (D::'a::order multiset)"
  <proof>

lemma union_less_mono1: "B < D ==> B + C < D + (C::'a::order multiset)"
  <proof>

lemma union_less_mono:
  "A < C ==> B < D ==> A + B < C + (D::'a::order multiset)"
  <proof>

lemma union_le_mono:
  "A <= C ==> B <= D ==> A + B <= C + (D::'a::order multiset)"
  <proof>

lemma empty_leI [iff]: "{#} <= (M::'a::order multiset)"
  <proof>

lemma union_upper1: "A <= A + (B::'a::order multiset)"
  <proof>

lemma union_upper2: "B <= A + (B::'a::order multiset)"
  <proof>

instance multiset :: (order) pordered_ab_semigroup_add
  <proof>

```

### 14.5 Link with lists

```

primrec multiset_of :: "'a list ⇒ 'a multiset" where
  "multiset_of [] = {#}" |
  "multiset_of (a # x) = multiset_of x + {# a #}"

lemma multiset_of_zero_iff[simp]: "(multiset_of x = {#}) = (x = [])"
  <proof>

lemma multiset_of_zero_iff_right[simp]: "({#} = multiset_of x) = (x = [])"
  <proof>

```

**lemma** set\_of\_multiset\_of [simp]: "set\_of (multiset\_of x) = set x"  
 <proof>

**lemma** mem\_set\_multiset\_eq: "x ∈ set xs = (x :# multiset\_of xs)"  
 <proof>

**lemma** multiset\_of\_append [simp]:  
 "multiset\_of (xs @ ys) = multiset\_of xs + multiset\_of ys"  
 <proof>

**lemma** surj\_multiset\_of: "surj multiset\_of"  
 <proof>

**lemma** set\_count\_greater\_0: "set x = {a. count (multiset\_of x) a > 0}"  
 <proof>

**lemma** distinct\_count\_atmost\_1:  
 "distinct x = (! a. count (multiset\_of x) a = (if a ∈ set x then 1 else 0))"  
 <proof>

**lemma** multiset\_of\_eq\_setD:  
 "multiset\_of xs = multiset\_of ys ⇒ set xs = set ys"  
 <proof>

**lemma** set\_eq\_iff\_multiset\_of\_eq\_distinct:  
 "distinct x ⇒ distinct y ⇒  
 (set x = set y) = (multiset\_of x = multiset\_of y)"  
 <proof>

**lemma** set\_eq\_iff\_multiset\_of\_remdups\_eq:  
 "(set x = set y) = (multiset\_of (remdups x) = multiset\_of (remdups y))"  
 <proof>

**lemma** multiset\_of\_compl\_union [simp]:  
 "multiset\_of [x ← xs. P x] + multiset\_of [x ← xs. ¬P x] = multiset\_of xs"  
 <proof>

**lemma** count\_filter:  
 "count (multiset\_of xs) x = length [y ← xs. y = x]"  
 <proof>

**lemma** nth\_mem\_multiset\_of: "i < length ls ⇒ (ls ! i) :# multiset\_of ls"  
 <proof>

**lemma** multiset\_of\_remove1: "multiset\_of (remove1 a xs) = multiset\_of xs -  
 {#a#}"  
 <proof>

**lemma** multiset\_of\_eq\_length:  
 assumes "multiset\_of xs = multiset\_of ys"  
 shows "length xs = length ys"  
 <proof>

This lemma shows which properties suffice to show that a function  $f$  with  $f\ xs = ys$  behaves like sort.

```
lemma properties_for_sort:
  "multiset_of ys = multiset_of xs  $\implies$  sorted ys  $\implies$  sort xs = ys"
<proof>
```

## 14.6 Pointwise ordering induced by count

```
definition mset_le :: "'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool" (infix " $\leq\#$ " 50)
where
```

```
  [code del]: "A  $\leq\#$  B  $\longleftrightarrow$  ( $\forall a.$  count A a  $\leq$  count B a)"
```

```
definition mset_less :: "'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool" (infix "< $\#$ " 50)
where
```

```
  [code del]: "A < $\#$  B  $\longleftrightarrow$  A  $\leq\#$  B  $\wedge$  A  $\neq$  B"
```

```
notation mset_le (infix " $\subseteq\#$ " 50)
notation mset_less (infix "< $\#$ " 50)
```

```
lemma mset_le_refl[simp]: "A  $\leq\#$  A"
<proof>
```

```
lemma mset_le_trans: "A  $\leq\#$  B  $\implies$  B  $\leq\#$  C  $\implies$  A  $\leq\#$  C"
<proof>
```

```
lemma mset_le_antisym: "A  $\leq\#$  B  $\implies$  B  $\leq\#$  A  $\implies$  A = B"
<proof>
```

```
lemma mset_le_exists_conv: "(A  $\leq\#$  B) = ( $\exists C.$  B = A + C)"
<proof>
```

```
lemma mset_le_mono_add_right_cancel[simp]: "(A + C  $\leq\#$  B + C) = (A  $\leq\#$  B)"
<proof>
```

```
lemma mset_le_mono_add_left_cancel[simp]: "(C + A  $\leq\#$  C + B) = (A  $\leq\#$  B)"
<proof>
```

```
lemma mset_le_mono_add: "[ A  $\leq\#$  B; C  $\leq\#$  D ]  $\implies$  A + C  $\leq\#$  B + D"
<proof>
```

```
lemma mset_le_add_left[simp]: "A  $\leq\#$  A + B"
<proof>
```

```
lemma mset_le_add_right[simp]: "B  $\leq\#$  A + B"
<proof>
```

```
lemma mset_le_single: "a :# B  $\implies$  {#a#}  $\leq\#$  B"
<proof>
```

```
lemma multiset_diff_union_assoc: "C  $\leq\#$  B  $\implies$  A + B - C = A + (B - C)"
<proof>
```

```
lemma mset_le_multiset_union_diff_commute:
  assumes "B  $\leq\#$  A"
```

**shows**  $A - B + C = A + C - B$   
 $\langle proof \rangle$

**lemma** `multiset_of_remdups_le`:  $\text{multiset\_of } (\text{remdups } xs) \leq \# \text{ multiset\_of } xs$   
 $\langle proof \rangle$

**lemma** `multiset_of_update`:  
 $\text{"}i < \text{length } ls \implies \text{multiset\_of } (ls[i := v]) = \text{multiset\_of } ls - \{\#ls ! i\} + \{\#v\}\text{"}$   
 $\langle proof \rangle$

**lemma** `multiset_of_swap`:  
 $\text{"}i < \text{length } ls \implies j < \text{length } ls \implies \text{multiset\_of } (ls[j := ls ! i, i := ls ! j]) = \text{multiset\_of } ls\text{"}$   
 $\langle proof \rangle$

**interpretation** `mset_order`:  $\text{order "op } \leq \# \text{ " "op } < \# \text{ "}$   
 $\langle proof \rangle$

**interpretation** `mset_order_cancel_semigroup`:  
 $\text{pordered\_cancel\_ab\_semigroup\_add "op } + \text{ " "op } \leq \# \text{ " "op } < \# \text{ "}$   
 $\langle proof \rangle$

**interpretation** `mset_order_semigroup_cancel`:  
 $\text{pordered\_ab\_semigroup\_add\_imp\_le "op } + \text{ " "op } \leq \# \text{ " "op } < \# \text{ "}$   
 $\langle proof \rangle$

**lemma** `mset_lessD`:  $A \subset \# B \implies x \in \# A \implies x \in \# B$   
 $\langle proof \rangle$

**lemma** `mset_leD`:  $A \subseteq \# B \implies x \in \# A \implies x \in \# B$   
 $\langle proof \rangle$

**lemma** `mset_less_insertD`:  $(A + \{\#x\} \subset \# B) \implies (x \in \# B \wedge A \subset \# B)$   
 $\langle proof \rangle$

**lemma** `mset_le_insertD`:  $(A + \{\#x\} \subseteq \# B) \implies (x \in \# B \wedge A \subseteq \# B)$   
 $\langle proof \rangle$

**lemma** `mset_less_of_empty[simp]`:  $A \subset \# \{\# \} = \text{False}$   
 $\langle proof \rangle$

**lemma** `multi_psub_of_add_self[simp]`:  $A \subset \# A + \{\#x\}$   
 $\langle proof \rangle$

**lemma** `multi_psub_self[simp]`:  $A \subset \# A = \text{False}$   
 $\langle proof \rangle$

**lemma** `mset_less_add_bothersides`:  
 $\text{"}T + \{\#x\} \subset \# S + \{\#x\} \implies T \subset \# S\text{"}$   
 $\langle proof \rangle$

**lemma** `mset_less_empty_nonempty`:  $(\{\# \} \subset \# S) = (S \neq \{\# \})$



*<proof>*

**lemma** *mset\_less\_size*: " $A \subset\# B \implies \text{size } A < \text{size } B$ "

*<proof>*

**lemmas** *mset\_less\_trans* = *mset\_order.less\_trans*

**lemma** *mset\_less\_diff\_self*: " $c \in\# B \implies B - \{c\} \subset\# B$ "

*<proof>*

## 14.7 Strong induction and subset induction for multisets

Well-foundedness of proper subset operator:

proper multiset subset

**definition**

*mset\_less\_rel* :: "(*a multiset* \* *a multiset*) *set*" **where**  
*mset\_less\_rel* = {(*A,B*).  $A \subset\# B$ }

**lemma** *multiset\_add\_sub\_el\_shuffle*:

**assumes** " $c \in\# B$ " **and** " $b \neq c$ "

**shows** " $B - \{c\} + \{b\} = B + \{b\} - \{c\}$ "

*<proof>*

**lemma** *wf\_mset\_less\_rel*: "*wf mset\_less\_rel*"

*<proof>*

The induction rules:

**lemma** *full\_multiset\_induct* [*case\_names less*]:

**assumes** *ih*: " $\bigwedge B. \forall A. A \subset\# B \implies P A \implies P B$ "

**shows** " $P B$ "

*<proof>*

**lemma** *multi\_subset\_induct* [*consumes 2, case\_names empty add*]:

**assumes** " $F \subseteq\# A$ "

**and** *empty*: " $P \{ \}$ "

**and** *insert*: " $\bigwedge a F. a \in\# A \implies P F \implies P (F + \{a\})$ "

**shows** " $P F$ "

*<proof>*

A consequence: Extensionality.

**lemma** *multi\_count\_eq*: " $(\forall x. \text{count } A x = \text{count } B x) = (A = B)$ "

*<proof>*

**lemmas** *multi\_count\_ext* = *multi\_count\_eq* [*THEN iffD1, rule\_format*]

## 14.8 The fold combinator

The intended behaviour is  $\text{fold\_mset } f z \{x_1, \dots, x_n\} = f x_1 (\dots (f x_n z) \dots)$  if  $f$  is associative-commutative.

The graph of *fold\_mset*, *z*: the start element, *f*: folding function, *A*: the multiset, *y*: the result.

```

inductive
  fold_msetG :: "('a ⇒ 'b ⇒ 'b) ⇒ 'b ⇒ 'a multiset ⇒ 'b ⇒ bool"
  for f :: "'a ⇒ 'b ⇒ 'b"
  and z :: 'b
where
  emptyI [intro]: "fold_msetG f z {#} z"
  | insertI [intro]: "fold_msetG f z A y ⇒ fold_msetG f z (A + {#x#}) (f x y)"

inductive_cases empty_fold_msetGE [elim!]: "fold_msetG f z {#} x"
inductive_cases insert_fold_msetGE: "fold_msetG f z (A + {#}) y"

definition
  fold_mset :: "('a ⇒ 'b ⇒ 'b) ⇒ 'b ⇒ 'a multiset ⇒ 'b" where
    "fold_mset f z A = (THE x. fold_msetG f z A x)"

lemma Diff1_fold_msetG:
  "fold_msetG f z (A - {#x#}) y ⇒ x ∈ # A ⇒ fold_msetG f z A (f x y)"
  <proof>

lemma fold_msetG_nonempty: "∃ x. fold_msetG f z A x"
  <proof>

lemma fold_mset_empty[simp]: "fold_mset f z {#} = z"
  <proof>

locale left_commutative =
  fixes f :: "'a ⇒ 'b ⇒ 'b"
  assumes left_commute: "f x (f y z) = f y (f x z)"
  begin

lemma fold_msetG_determ:
  "fold_msetG f z A x ⇒ fold_msetG f z A y ⇒ y = x"
  <proof>

lemma fold_mset_insert_aux:
  "(fold_msetG f z (A + {#x#}) v) =
   (∃ y. fold_msetG f z A y ∧ v = f x y)"
  <proof>

lemma fold_mset_equality: "fold_msetG f z A y ⇒ fold_mset f z A = y"
  <proof>

lemma fold_mset_insert:
  "fold_mset f z (A + {#x#}) = f x (fold_mset f z A)"
  <proof>

lemma fold_mset_insert_idem:
  "fold_mset f z (A + {#a#}) = f a (fold_mset f z A)"
  <proof>

lemma fold_mset_commute: "f x (fold_mset f z A) = fold_mset f (f x z) A"
  <proof>

```

```
lemma fold_mset_single [simp]: "fold_mset f z {#x#} = f x z"
<proof>
```

```
lemma fold_mset_union [simp]:
  "fold_mset f z (A+B) = fold_mset f (fold_mset f z A) B"
<proof>
```

```
lemma fold_mset_fusion:
  assumes "left_commutative g"
  shows "( $\bigwedge x y. h (g x y) = f x (h y)$ )  $\implies$  h (fold_mset g w A) = fold_mset
f (h w) A" (is "PROP ?P")
<proof>
```

```
lemma fold_mset_rec:
  assumes "a  $\in$  # A"
  shows "fold_mset f z A = f a (fold_mset f z (A - {#a#}))"
<proof>
```

**end**

A note on code generation: When defining some function containing a sub-term `fold_mset F`, code generation is not automatic. When interpreting locale `left_commutative` with `F`, the would be code thms for `fold_mset` become thms like `fold_mset F z {#} = z` where `F` is not a pattern but contains defined symbols, i.e. is not a code thm. Hence a separate constant with its own code thms needs to be introduced for `F`. See the image operator below.

## 14.9 Image

```
definition [code del]:
  "image_mset f = fold_mset (op + o single o f) {#}"
```

```
interpretation image_left_comm: left_commutative "op + o single o f"
<proof>
```

```
lemma image_mset_empty [simp]: "image_mset f {#} = {#}"
<proof>
```

```
lemma image_mset_single [simp]: "image_mset f {#x#} = {#f x#}"
<proof>
```

```
lemma image_mset_insert:
  "image_mset f (M + {#a#}) = image_mset f M + {#f a#}"
<proof>
```

```
lemma image_mset_union [simp]:
  "image_mset f (M+N) = image_mset f M + image_mset f N"
<proof>
```

```
lemma size_image_mset [simp]: "size (image_mset f M) = size M"
<proof>
```

```
lemma image_mset_is_empty_iff [simp]: "image_mset f M = {#}  $\longleftrightarrow$  M = {#}"
<proof>
```

**syntax**

```
comprehension1_mset :: "'a ⇒ 'b ⇒ 'b multiset ⇒ 'a multiset"
  ("({#_/. _ :# _#})")
```

**translations**

```
"{#e. x:#M#}" == "CONST image_mset (%x. e) M"
```

**syntax**

```
comprehension2_mset :: "'a ⇒ 'b ⇒ 'b multiset ⇒ bool ⇒ 'a multiset"
  ("({#_ / | _ :# _ / _#})")
```

**translations**

```
"{#e | x:#M. P#}" => "{#e. x :# {# x:#M. P#}#}"
```

This allows to write not just filters like  $\{# x :# M. x < c \# \}$  but also images like  $\{#x + x. x :# M\# \}$  and  $\{#x+x/x:#M. x<c\# \}$ , where the latter is currently displayed as  $\{#x + x. x :# \{# x :# M. x < c\# \} \# \}$ .

## 14.10 Termination proofs with multiset orders

**lemma** *multi\_member\_skip*:  $"x \in \# XS \implies x \in \# \{# y \# \} + XS"$

**and** *multi\_member\_this*:  $"x \in \# \{# x \# \} + XS"$

**and** *multi\_member\_last*:  $"x \in \# \{# x \# \}"$

*<proof>*

**definition** *ms\_strict* = *mult pair\_less*

**definition** [*code del*]: *ms\_weak* = *ms\_strict*  $\cup$  *Id*

**lemma** *ms\_reduction\_pair*: *reduction\_pair* (*ms\_strict*, *ms\_weak*)

*<proof>*

**lemma** *smsI*:

$"(\text{set\_of } A, \text{set\_of } B) \in \text{max\_strict} \implies (Z + A, Z + B) \in \text{ms\_strict}"$

*<proof>*

**lemma** *wmsI*:

$"(\text{set\_of } A, \text{set\_of } B) \in \text{max\_strict} \vee A = \{ \# \} \wedge B = \{ \# \}$

$\implies (Z + A, Z + B) \in \text{ms\_weak}"$

*<proof>*

**inductive** *pw\_leq*

**where**

*pw\_leq\_empty*: *pw\_leq*  $\{ \# \}$   $\{ \# \}$

*| pw\_leq\_step*:  $"[(x,y) \in \text{pair\_leq}; \text{pw\_leq } X \ Y] \implies \text{pw\_leq } (\{ \# x \# \} + X) (\{ \# y \# \} + Y)"$

**lemma** *pw\_leq\_lstep*:

$"(x, y) \in \text{pair\_leq} \implies \text{pw\_leq } \{ \# x \# \} \{ \# y \# \}"$

*<proof>*

**lemma** *pw\_leq\_split*:

**assumes** *pw\_leq* *X Y*

**shows**  $"\exists A \ B \ Z. X = A + Z \wedge Y = B + Z \wedge ((\text{set\_of } A, \text{set\_of } B) \in \text{max\_strict} \vee (B = \{ \# \} \wedge A = \{ \# \}))"$

*<proof>*

```

lemma
  assumes pwleq: "pw_leq Z Z'"
  shows ms_strictI: "(set_of A, set_of B) ∈ max_strict ⟹ (Z + A, Z' + B)
    ∈ ms_strict"
  and ms_weakI1: "(set_of A, set_of B) ∈ max_strict ⟹ (Z + A, Z' + B)
    ∈ ms_weak"
  and ms_weakI2: "(Z + {#}, Z' + {#}) ∈ ms_weak"
  ⟨proof⟩

lemma empty_idemp: "{#} + x = x" "x + {#} = x"
and nonempty_plus: "{# x #} + rs ≠ {#}"
and nonempty_single: "{# x #} ≠ {#}"
  ⟨proof⟩

  ⟨ML⟩

end

```

## 15 The Follows Relation of Charpentier and Sivilotte

```
theory Follows imports SubstAx ListOrder Multiset begin
```

```
constdefs
```

```

Follows :: "[ 'a => 'b::order, 'a => 'b::order ] => 'a program set"
  (infixl "Fols" 65)
"f Fols g == Increasing g ∩ Increasing f Int
  Always {s. f s ≤ g s} Int
  (⋂ k. {s. k ≤ g s} LeadsTo {s. k ≤ f s})"

```

```

lemma mono_Always_o:
  "mono h ==> Always {s. f s ≤ g s} ⊆ Always {s. h (f s) ≤ h (g s)}"
  ⟨proof⟩

```

```

lemma mono_LeadsTo_o:
  "mono (h::'a::order => 'b::order)
    ==> (⋂ j. {s. j ≤ g s} LeadsTo {s. j ≤ f s}) ⊆
      (⋂ k. {s. k ≤ h (g s)} LeadsTo {s. k ≤ h (f s)})"
  ⟨proof⟩

```

```

lemma Follows_constant [iff]: "F ∈ (%s. c) Fols (%s. c)"
  ⟨proof⟩

```

```

lemma mono_Follows_o: "mono h ==> f Fols g ⊆ (h o f) Fols (h o g)"
  ⟨proof⟩

```

```

lemma mono_Follows_apply:
  "mono h ==> f Fols g ⊆ (%x. h (f x)) Fols (%x. h (g x))"
  ⟨proof⟩

```

```

lemma Follows_trans:
  "[| F ∈ f Fols g; F ∈ g Fols h |] ==> F ∈ f Fols h"
<proof>

```

### 15.1 Destruction rules

```

lemma Follows_Increasing1: "F ∈ f Fols g ==> F ∈ Increasing f"
<proof>

```

```

lemma Follows_Increasing2: "F ∈ f Fols g ==> F ∈ Increasing g"
<proof>

```

```

lemma Follows_Bounded: "F ∈ f Fols g ==> F ∈ Always {s. f s ≤ g s}"
<proof>

```

```

lemma Follows_LeadsTo:
  "F ∈ f Fols g ==> F ∈ {s. k ≤ g s} LeadsTo {s. k ≤ f s}"
<proof>

```

```

lemma Follows_LeadsTo_prefixLe:
  "F ∈ f Fols g ==> F ∈ {s. k prefixLe g s} LeadsTo {s. k prefixLe f s}"
<proof>

```

```

lemma Follows_LeadsTo_prefixGe:
  "F ∈ f Fols g ==> F ∈ {s. k prefixGe g s} LeadsTo {s. k prefixGe f s}"
<proof>

```

```

lemma Always_Follows1:
  "[| F ∈ Always {s. f s = f' s}; F ∈ f Fols g |] ==> F ∈ f' Fols g"
<proof>

```

```

lemma Always_Follows2:
  "[| F ∈ Always {s. g s = g' s}; F ∈ f Fols g |] ==> F ∈ f Fols g'"
<proof>

```

### 15.2 Union properties (with the subset ordering)

```

lemma increasing_Un:
  "[| F ∈ increasing f; F ∈ increasing g |]
   ==> F ∈ increasing (%s. (f s) ∪ (g s))"
<proof>

```

```

lemma Increasing_Un:
  "[| F ∈ Increasing f; F ∈ Increasing g |]
   ==> F ∈ Increasing (%s. (f s) ∪ (g s))"
<proof>

```

```

lemma Always_Un:
  "[| F ∈ Always {s. f' s ≤ f s}; F ∈ Always {s. g' s ≤ g s} |]
   ==> F ∈ Always {s. f' s ∪ g' s ≤ f s ∪ g s}"

```

*<proof>*

**lemma** *Follows\_Un\_lemma:*

```
"[| F ∈ Increasing f; F ∈ Increasing g;
  F ∈ Increasing g'; F ∈ Always {s. f' s ≤ f s};
  ∀k. F ∈ {s. k ≤ f s} LeadsTo {s. k ≤ f' s} |]
==> F ∈ {s. k ≤ f s ∪ g s} LeadsTo {s. k ≤ f' s ∪ g s}"
```

*<proof>*

**lemma** *Follows\_Un:*

```
"[| F ∈ f' Fols f; F ∈ g' Fols g |]
==> F ∈ (%s. (f' s) ∪ (g' s)) Fols (%s. (f s) ∪ (g s))"
```

*<proof>*

### 15.3 Multiset union properties (with the multiset ordering)

**lemma** *increasing\_union:*

```
"[| F ∈ increasing f; F ∈ increasing g |]
==> F ∈ increasing (%s. (f s) + (g s :: ('a::order) multiset))"
```

*<proof>*

**lemma** *Increasing\_union:*

```
"[| F ∈ Increasing f; F ∈ Increasing g |]
==> F ∈ Increasing (%s. (f s) + (g s :: ('a::order) multiset))"
```

*<proof>*

**lemma** *Always\_union:*

```
"[| F ∈ Always {s. f' s ≤ f s}; F ∈ Always {s. g' s ≤ g s} |]
==> F ∈ Always {s. f' s + g' s ≤ f s + (g s :: ('a::order) multiset)}"
```

*<proof>*

**lemma** *Follows\_union\_lemma:*

```
"[| F ∈ Increasing f; F ∈ Increasing g;
  F ∈ Increasing g'; F ∈ Always {s. f' s ≤ f s};
  ∀k::('a::order) multiset.
  F ∈ {s. k ≤ f s} LeadsTo {s. k ≤ f' s} |]
==> F ∈ {s. k ≤ f s + g s} LeadsTo {s. k ≤ f' s + g s}"
```

*<proof>*

**lemma** *Follows\_union:*

```
"!!g g' ::'b => ('a::order) multiset.
 [| F ∈ f' Fols f; F ∈ g' Fols g |]
==> F ∈ (%s. (f' s) + (g' s)) Fols (%s. (f s) + (g s))"
```

*<proof>*

**lemma** *Follows\_setsum:*

```
"!!f ::['c,'b] => ('a::order) multiset.
 [| ∀i ∈ I. F ∈ f' i Fols f i; finite I |]
==> F ∈ (%s. ∑ i ∈ I. f' i s) Fols (%s. ∑ i ∈ I. f i s)"
```

*<proof>*

```

lemma Increasing_imp_Stable_pfixGe:
  "F ∈ Increasing func ==> F ∈ Stable {s. h pfixGe (func s)}"
  <proof>

lemma LeadsTo_le_imp_pfixGe:
  "∀ z. F ∈ {s. z ≤ f s} LeadsTo {s. z ≤ g s}
   ==> F ∈ {s. z pfixGe f s} LeadsTo {s. z pfixGe g s}"
  <proof>

end

```

## 16 Predicate Transformers

**theory** Transformers imports Comp begin

### 16.1 Defining the Predicate Transformers wp, awp and wens

```

constdefs
  wp :: "('a*'a) set, 'a set] => 'a set"
  — Dijkstra's weakest-precondition operator (for an individual command)
  "wp act B == - (act^-1 `` (-B))"

  awp :: "'a program, 'a set] => 'a set"
  — Dijkstra's weakest-precondition operator (for a program)
  "awp F B == (⋂ act ∈ Acts F. wp act B)"

  wens :: "'a program, ('a*'a) set, 'a set] => 'a set"
  — The weakest-ensures transformer
  "wens F act B == gfp(λX. (wp act B ∩ awp F (B ∪ X)) ∪ B)"

```

The fundamental theorem for wp

```

theorem wp_iff: "(A ≤ wp act B) = (act `` A ≤ B)"
  <proof>

```

This lemma is a good deal more intuitive than the definition!

```

lemma in_wp_iff: "(a ∈ wp act B) = (∀ x. (a,x) ∈ act --> x ∈ B)"
  <proof>

```

```

lemma Compl_Domain_subset_wp: "- (Domain act) ⊆ wp act B"
  <proof>

```

```

lemma wp_empty [simp]: "wp act {} = - (Domain act)"
  <proof>

```

The identity relation is the skip action

```

lemma wp_Id [simp]: "wp Id B = B"
  <proof>

```



**lemma** *wp\_totalize\_act*:  
 "wp (totalize\_act act) B = (wp act B  $\cap$  Domain act)  $\cup$  (B - Domain act)"  
 <proof>

**lemma** *awp\_subset*: "(awp F A  $\subseteq$  A)"  
 <proof>

**lemma** *awp\_Int\_eq*: "awp F (A  $\cap$  B) = awp F A  $\cap$  awp F B"  
 <proof>

The fundamental theorem for awp

**theorem** *awp\_iff\_constrains*: "(A  $\leq$  awp F B) = (F  $\in$  A co B)"  
 <proof>

**lemma** *awp\_iff\_stable*: "(A  $\subseteq$  awp F A) = (F  $\in$  stable A)"  
 <proof>

**lemma** *stable\_imp\_awp\_ident*: "F  $\in$  stable A  $\implies$  awp F A = A"  
 <proof>

**lemma** *wp\_mono*: "(A  $\subseteq$  B)  $\implies$  wp act A  $\subseteq$  wp act B"  
 <proof>

**lemma** *awp\_mono*: "(A  $\subseteq$  B)  $\implies$  awp F A  $\subseteq$  awp F B"  
 <proof>

**lemma** *wens\_unfold*:  
 "wens F act B = (wp act B  $\cap$  awp F (B  $\cup$  wens F act B))  $\cup$  B"  
 <proof>

**lemma** *wens\_Id [simp]*: "wens F Id B = B"  
 <proof>

These two theorems justify the claim that *wens* returns the weakest assertion satisfying the ensures property

**lemma** *ensures\_imp\_wens*: "F  $\in$  A ensures B  $\implies \exists$  act  $\in$  Acts F. A  $\subseteq$  wens F act B"  
 <proof>

**lemma** *wens\_ensures*: "act  $\in$  Acts F  $\implies$  F  $\in$  (wens F act B) ensures B"  
 <proof>

These two results constitute assertion (4.13) of the thesis

**lemma** *wens\_mono*: "(A  $\subseteq$  B)  $\implies$  wens F act A  $\subseteq$  wens F act B"  
 <proof>

**lemma** *wens\_weakening*: "B  $\subseteq$  wens F act B"  
 <proof>

Assertion (6), or 4.16 in the thesis

**lemma** *subset\_wens*: "A-B  $\subseteq$  wp act B  $\cap$  awp F (B  $\cup$  A)  $\implies$  A  $\subseteq$  wens F act B"  
 <proof>

Assertion 4.17 in the thesis

**lemma** *Diff\_wens\_constrains*: " $F \in (\text{wens } F \text{ act } A - A) \text{ co wens } F \text{ act } A$ "  
 $\langle \text{proof} \rangle$

Assertion (7): 4.18 in the thesis. NOTE that many of these results hold for an arbitrary action. We often do not require  $\text{act} \in \text{Acts } F$

**lemma** *stable\_wens*: " $F \in \text{stable } A \implies F \in \text{stable } (\text{wens } F \text{ act } A)$ "  
 $\langle \text{proof} \rangle$

Assertion 4.20 in the thesis.

**lemma** *wens\_Int\_eq\_lemma*:  
 $\text{"[|T-B} \subseteq \text{awp } F \text{ T; act} \in \text{Acts } F\text{|}]$   
 $\implies T \cap \text{wens } F \text{ act } B \subseteq \text{wens } F \text{ act } (T \cap B)$ "  
 $\langle \text{proof} \rangle$

Assertion (8): 4.21 in the thesis. Here we indeed require  $\text{act} \in \text{Acts } F$

**lemma** *wens\_Int\_eq*:  
 $\text{"[|T-B} \subseteq \text{awp } F \text{ T; act} \in \text{Acts } F\text{|}]$   
 $\implies T \cap \text{wens } F \text{ act } B = T \cap \text{wens } F \text{ act } (T \cap B)$ "  
 $\langle \text{proof} \rangle$

## 16.2 Defining the Weakest Ensures Set

**inductive\_set**

*wens\_set* :: " $['a \text{ program}, 'a \text{ set}] \Rightarrow 'a \text{ set set}$ "  
 for  $F :: "'a \text{ program}"$  and  $B :: "'a \text{ set}"$

**where**

*Basis*: " $B \in \text{wens\_set } F \text{ B}$ "

| *Wens*: " $[|X \in \text{wens\_set } F \text{ B; act} \in \text{Acts } F\text{|}] \implies \text{wens } F \text{ act } X \in \text{wens\_set } F \text{ B}$ "

| *Union*: " $W \neq \{\} \implies \forall U \in W. U \in \text{wens\_set } F \text{ B} \implies \bigcup W \in \text{wens\_set } F \text{ B}$ "

**lemma** *wens\_set\_imp\_co*: " $A \in \text{wens\_set } F \text{ B} \implies F \in (A-B) \text{ co } A$ "  
 $\langle \text{proof} \rangle$

**lemma** *wens\_set\_imp\_leadsTo*: " $A \in \text{wens\_set } F \text{ B} \implies F \in A \text{ leadsTo } B$ "  
 $\langle \text{proof} \rangle$

**lemma** *leadsTo\_imp\_wens\_set*: " $F \in A \text{ leadsTo } B \implies \exists C \in \text{wens\_set } F \text{ B}. A \subseteq C$ "  
 $\langle \text{proof} \rangle$

Assertion (9): 4.27 in the thesis.

**lemma** *leadsTo\_iff\_wens\_set*: " $(F \in A \text{ leadsTo } B) = (\exists C \in \text{wens\_set } F \text{ B}. A \subseteq C)$ "  
 $\langle \text{proof} \rangle$

This is the result that requires the definition of *wens\_set* to require  $W$  to be non-empty in the Union case, for otherwise we should always have  $\{\} \in \text{wens\_set } F \text{ B}$ .

**lemma** *wens\_set\_imp\_subset*: " $A \in \text{wens\_set } F \ B \implies B \subseteq A$ "  
 <proof>

### 16.3 Properties Involving Program Union

Assertion (4.30) of thesis, reoriented

**lemma** *awp\_Join\_eq*: " $\text{awp } (F \sqcup G) \ B = \text{awp } F \ B \cap \text{awp } G \ B$ "  
 <proof>

**lemma** *wens\_subset*: " $\text{wens } F \ \text{act } B - B \subseteq \text{wp } \text{act } B \cap \text{awp } F \ (B \cup \text{wens } F \ \text{act } B)$ "  
 <proof>

Assertion (4.31)

**lemma** *subset\_wens\_Join*:  
 " $[A = T \cap \text{wens } F \ \text{act } B; \ T - B \subseteq \text{awp } F \ T; \ A - B \subseteq \text{awp } G \ (A \cup B)]$   
 $\implies A \subseteq \text{wens } (F \sqcup G) \ \text{act } B$ "  
 <proof>

Assertion (4.32)

**lemma** *wens\_Join\_subset*: " $\text{wens } (F \sqcup G) \ \text{act } B \subseteq \text{wens } F \ \text{act } B$ "  
 <proof>

Lemma, because the inductive step is just too messy.

**lemma** *wens\_Union\_inductive\_step*:  
 assumes *awpF*: " $T - B \subseteq \text{awp } F \ T$ "  
 and *awpG*: " $\forall X. X \in \text{wens\_set } F \ B \implies (T \cap X) - B \subseteq \text{awp } G \ (T \cap X)$ "  
 shows " $[X \in \text{wens\_set } F \ B; \ \text{act} \in \text{Acts } F; \ Y \subseteq X; \ T \cap X = T \cap Y]$   
 $\implies \text{wens } (F \sqcup G) \ \text{act } Y \subseteq \text{wens } F \ \text{act } X \wedge$   
 $T \cap \text{wens } F \ \text{act } X = T \cap \text{wens } (F \sqcup G) \ \text{act } Y$ "  
 <proof>

**theorem** *wens\_Union*:  
 assumes *awpF*: " $T - B \subseteq \text{awp } F \ T$ "  
 and *awpG*: " $\forall X. X \in \text{wens\_set } F \ B \implies (T \cap X) - B \subseteq \text{awp } G \ (T \cap X)$ "  
 and *major*: " $X \in \text{wens\_set } F \ B$ "  
 shows " $\exists Y \in \text{wens\_set } (F \sqcup G) \ B. Y \subseteq X \ \& \ T \cap X = T \cap Y$ "  
 <proof>

**theorem** *leadsTo\_Join*:  
 assumes *leadsTo*: " $F \in A \ \text{leadsTo } B$ "  
 and *awpF*: " $T - B \subseteq \text{awp } F \ T$ "  
 and *awpG*: " $\forall X. X \in \text{wens\_set } F \ B \implies (T \cap X) - B \subseteq \text{awp } G \ (T \cap X)$ "  
 shows " $F \sqcup G \in T \cap A \ \text{leadsTo } B$ "  
 <proof>

### 16.4 The Set $\text{wens\_set } F \ B$ for a Single-Assignment Program

Thesis Section 4.3.3

We start by proving laws about single-assignment programs

**lemma** *awp\_single\_eq* [*simp*]:

```

    "awp (mk_program (init, {act}, allowed)) B = B  $\cap$  wp act B"
  <proof>

```

```

lemma wp_Un_subset: "wp act A  $\cup$  wp act B  $\subseteq$  wp act (A  $\cup$  B)"
  <proof>

```

```

lemma wp_Un_eq: "single_valued act ==> wp act (A  $\cup$  B) = wp act A  $\cup$  wp act B"
  <proof>

```

```

lemma wp_UN_subset: "( $\bigcup_{i \in I} \text{wp act } (A \ i)$ )  $\subseteq$  wp act ( $\bigcup_{i \in I} A \ i$ )"
  <proof>

```

```

lemma wp_UN_eq:
  "[single_valued act; I  $\neq \{\}$ ]"
  ==> wp act ( $\bigcup_{i \in I} A \ i$ ) = ( $\bigcup_{i \in I} \text{wp act } (A \ i)$ )"
  <proof>

```

```

lemma wens_single_eq:
  "wens (mk_program (init, {act}, allowed)) act B = B  $\cup$  wp act B"
  <proof>

```

Next, we express the `wens_set` for single-assignment programs

```

constdefs
  wens_single_finite :: "('a*'a) set, 'a set, nat] => 'a set"
  "wens_single_finite act B k ==  $\bigcup_{i \in \text{atMost } k} ((\text{wp act})^i) B$ "

  wens_single :: "('a*'a) set, 'a set] => 'a set"
  "wens_single act B ==  $\bigcup_{i} ((\text{wp act})^i) B$ "

```

```

lemma wens_single_Un_eq:
  "single_valued act
   ==> wens_single act B  $\cup$  wp act (wens_single act B) = wens_single act B"
  <proof>

```

```

lemma atMost_nat_nonempty: "atMost (k::nat)  $\neq \{\}$ "
  <proof>

```

```

lemma wens_single_finite_0 [simp]: "wens_single_finite act B 0 = B"
  <proof>

```

```

lemma wens_single_finite_Suc:
  "single_valued act
   ==> wens_single_finite act B (Suc k) =
        wens_single_finite act B k  $\cup$  wp act (wens_single_finite act B k)"
  <proof>

```

```

lemma wens_single_finite_Suc_eq_wens:
  "single_valued act
   ==> wens_single_finite act B (Suc k) =
        wens (mk_program (init, {act}, allowed)) act
        (wens_single_finite act B k)"
  <proof>

```

```

lemma def_wens_single_finite_Suc_eq_wens:
  "[|F = mk_program (init, {act}, allowed); single_valued act|]
   ==> wens_single_finite act B (Suc k) =
        wens F act (wens_single_finite act B k)"
  <proof>

lemma wens_single_finite_Un_eq:
  "single_valued act
   ==> wens_single_finite act B k  $\cup$  wp act (wens_single_finite act B k)
         $\in$  range (wens_single_finite act B)"
  <proof>

lemma wens_single_eq_Union:
  "wens_single act B =  $\bigcup$  range (wens_single_finite act B)"
  <proof>

lemma wens_single_finite_eq_Union:
  "wens_single_finite act B n = ( $\bigcup$  k $\in$ atMost n. wens_single_finite act B
  k)"
  <proof>

lemma wens_single_finite_mono:
  "m  $\leq$  n ==> wens_single_finite act B m  $\subseteq$  wens_single_finite act B n"
  <proof>

lemma wens_single_finite_subset_wens_single:
  "wens_single_finite act B k  $\subseteq$  wens_single act B"
  <proof>

lemma subset_wens_single_finite:
  "[|W  $\subseteq$  wens_single_finite act B ' (atMost k); single_valued act; W $\neq$ {}|]
   ==>  $\exists$  m.  $\bigcup$  W = wens_single_finite act B m"
  <proof>

lemma for Union case

lemma Union_eq_wens_single:
  "[ $\forall$  k.  $\neg$  W  $\subseteq$  wens_single_finite act B ' {.. $k$ };
   W  $\subseteq$  insert (wens_single act B)
   (range (wens_single_finite act B))]
    $\implies$   $\bigcup$  W = wens_single act B"
  <proof>

lemma wens_set_subset_single:
  "single_valued act
   ==> wens_set (mk_program (init, {act}, allowed)) B  $\subseteq$ 
        insert (wens_single act B) (range (wens_single_finite act B))"
  <proof>

lemma wens_single_finite_in_wens_set:
  "single_valued act  $\implies$ 
   wens_single_finite act B k
    $\in$  wens_set (mk_program (init, {act}, allowed)) B"
  <proof>

```

```

lemma single_subset_wens_set:
  "single_valued act
   ==> insert (wens_single act B) (range (wens_single_finite act B))  $\subseteq$ 
    wens_set (mk_program (init, {act}, allowed)) B"
<proof>

```

Theorem (4.29)

```

theorem wens_set_single_eq:
  "[|F = mk_program (init, {act}, allowed); single_valued act|]
   ==> wens_set F B =
    insert (wens_single act B) (range (wens_single_finite act B))"
<proof>

```

Generalizing Misra's Fixed Point Union Theorem (4.41)

```

lemma fp_leadsTo_Join:
  "[|T-B  $\subseteq$  awp F T; T-B  $\subseteq$  FP G; F  $\in$  A leadsTo B|] ==> F  $\sqcup$  G  $\in$  T  $\cap$  A leadsTo B"
<proof>

end

```

## 17 Progress Sets

**theory** ProgressSets **imports** Transformers **begin**

### 17.1 Complete Lattices and the Operator $c_l$

```

constdefs
  lattice :: "'a set set => bool"
    — Meier calls them closure sets, but they are just complete lattices
  "lattice L ==
    ( $\forall M. M \subseteq L \rightarrow \bigcap M \in L$ ) & ( $\forall M. M \subseteq L \rightarrow \bigcup M \in L$ )"

  cl :: "[ 'a set set, 'a set ] => 'a set"
    — short for "closure"
  "cl L r ==  $\bigcap \{x. x \in L \ \& \ r \subseteq x\}$ "

```

```

lemma UNIV_in_lattice: "lattice L ==> UNIV  $\in$  L"
<proof>

```

```

lemma empty_in_lattice: "lattice L ==> {}  $\in$  L"
<proof>

```

```

lemma Union_in_lattice: "[|M  $\subseteq$  L; lattice L|] ==>  $\bigcup M \in L$ "
<proof>

```

```

lemma Inter_in_lattice: "[|M  $\subseteq$  L; lattice L|] ==>  $\bigcap M \in L$ "
<proof>

```

```

lemma UN_in_lattice:

```

**lemma**  $INT\_in\_lattice$ : " $[|lattice\ L; !!i. i \in I \implies r\ i \in L|] \implies (\bigcup_{i \in I}. r\ i) \in L$ "  
 $\langle proof \rangle$

**lemma**  $INT\_in\_lattice$ : " $[|lattice\ L; !!i. i \in I \implies r\ i \in L|] \implies (\bigcap_{i \in I}. r\ i) \in L$ "  
 $\langle proof \rangle$

**lemma**  $Un\_in\_lattice$ : " $[|x \in L; y \in L; lattice\ L|] \implies x \cup y \in L$ "  
 $\langle proof \rangle$

**lemma**  $Int\_in\_lattice$ : " $[|x \in L; y \in L; lattice\ L|] \implies x \cap y \in L$ "  
 $\langle proof \rangle$

**lemma**  $lattice\_stable$ : " $lattice\ \{X. F \in stable\ X\}$ "  
 $\langle proof \rangle$

The next three results state that  $cl\ L\ r$  is the minimal element of  $L$  that includes  $r$ .

**lemma**  $cl\_in\_lattice$ : " $lattice\ L \implies cl\ L\ r \in L$ "  
 $\langle proof \rangle$

**lemma**  $cl\_least$ : " $[|c \in L; r \subseteq c|] \implies cl\ L\ r \subseteq c$ "  
 $\langle proof \rangle$

The next three lemmas constitute assertion (4.61)

**lemma**  $cl\_mono$ : " $r \subseteq r' \implies cl\ L\ r \subseteq cl\ L\ r'$ "  
 $\langle proof \rangle$

**lemma**  $subset\_cl$ : " $r \subseteq cl\ L\ r$ "  
 $\langle proof \rangle$

A reformulation of  $r \subseteq cl\ L\ r$

**lemma**  $clI$ : " $x \in r \implies x \in cl\ L\ r$ "  
 $\langle proof \rangle$

A reformulation of  $[|?c \in L; r \subseteq c|] \implies cl\ L\ r \subseteq c$

**lemma**  $clD$ : " $[|c \in cl\ L\ r; B \in L; r \subseteq B|] \implies c \in B$ "  
 $\langle proof \rangle$

**lemma**  $cl\_UN\_subset$ : " $(\bigcup_{i \in I}. cl\ L\ (r\ i)) \subseteq cl\ L\ (\bigcup_{i \in I}. r\ i)$ "  
 $\langle proof \rangle$

**lemma**  $cl\_Un$ : " $lattice\ L \implies cl\ L\ (r \cup s) = cl\ L\ r \cup cl\ L\ s$ "  
 $\langle proof \rangle$

**lemma**  $cl\_UN$ : " $lattice\ L \implies cl\ L\ (\bigcup_{i \in I}. r\ i) = (\bigcup_{i \in I}. cl\ L\ (r\ i))$ "  
 $\langle proof \rangle$

**lemma**  $cl\_Int\_subset$ : " $cl\ L\ (r \cap s) \subseteq cl\ L\ r \cap cl\ L\ s$ "  
 $\langle proof \rangle$

**lemma**  $cl\_idem$   $[simp]$ : " $cl\ L\ (cl\ L\ r) = cl\ L\ r$ "  
 $\langle proof \rangle$

```
lemma cl_ident: "r ∈ L ==> cl L r = r"
<proof>
```

```
lemma cl_empty [simp]: "lattice L ==> cl L {} = {}"
<proof>
```

```
lemma cl_UNIV [simp]: "lattice L ==> cl L UNIV = UNIV"
<proof>
```

Assertion (4.62)

```
lemma cl_ident_iff: "lattice L ==> (cl L r = r) = (r ∈ L)"
<proof>
```

```
lemma cl_subset_in_lattice: "[| cl L r ⊆ r; lattice L |] ==> r ∈ L"
<proof>
```

## 17.2 Progress Sets and the Main Lemma

A progress set satisfies certain closure conditions and is a simple way of including the set `wens_set F B`.

**constdefs**

```
closed :: "[ 'a program, 'a set, 'a set, 'a set set ] => bool"
"closed F T B L == ∀ M. ∀ act ∈ Acts F. B ⊆ M & T ∩ M ∈ L -->
  T ∩ (B ∪ wp act M) ∈ L"
```

```
progress_set :: "[ 'a program, 'a set, 'a set ] => 'a set set set"
"progress_set F T B ==
  { L. lattice L & B ∈ L & T ∈ L & closed F T B L }"
```

**lemma closedD:**

```
"[| closed F T B L; act ∈ Acts F; B ⊆ M; T ∩ M ∈ L |]
==> T ∩ (B ∪ wp act M) ∈ L"
```

<proof>

Note: the formalization below replaces Meier's  $q$  by  $B$  and  $m$  by  $X$ .

Part of the proof of the claim at the bottom of page 97. It's proved separately because the argument requires a generalization over all  $act \in Acts F$ .

**lemma lattice\_awp\_lemma:**

```
assumes TXC: "T ∩ X ∈ C" — induction hypothesis in theorem below
and BsubX: "B ⊆ X" — holds in inductive step
and latt: "lattice C"
and TC: "T ∈ C"
and BC: "B ∈ C"
and clos: "closed F T B C"
shows "T ∩ (B ∪ awp F (X ∪ cl C (T ∩ r))) ∈ C"
```

<proof>

Remainder of the proof of the claim at the bottom of page 97.

**lemma lattice\_lemma:**

```
assumes TXC: "T ∩ X ∈ C" — induction hypothesis in theorem below
```



```

    and BsubX: "B  $\subseteq$  X" — holds in inductive step
    and act: "act  $\in$  Acts F"
    and latt: "lattice C"
    and TC: "T  $\in$  C"
    and BC: "B  $\in$  C"
    and clos: "closed F T B C"
  shows "T  $\cap$  (wp act X  $\cap$  awp F (X  $\cup$  cl C (T $\cap$ r))  $\cup$  X)  $\in$  C"
<proof>

```

Induction step for the main lemma

```

lemma progress_induction_step:
  assumes TXC: "T $\cap$ X  $\in$  C" — induction hypothesis in theorem below
    and act: "act  $\in$  Acts F"
    and Xwens: "X  $\in$  wens_set F B"
    and latt: "lattice C"
    and TC: "T  $\in$  C"
    and BC: "B  $\in$  C"
    and clos: "closed F T B C"
    and Fstable: "F  $\in$  stable T"
  shows "T  $\cap$  wens F act X  $\in$  C"
<proof>

```

Proved on page 96 of Meier's thesis. The special case when  $T = \text{UNIV}$  states that every progress set for the program  $F$  and set  $B$  includes the set  $\text{wens\_set } F \ B$ .

```

lemma progress_set_lemma:
  "[| C  $\in$  progress_set F T B; r  $\in$  wens_set F B; F  $\in$  stable T |] ==> T $\cap$ r
 $\in$  C"
<proof>

```

### 17.3 The Progress Set Union Theorem

```

lemma closed_mono:
  assumes BB': "B  $\subseteq$  B'"
    and TBwp: "T  $\cap$  (B  $\cup$  wp act M)  $\in$  C"
    and B'C: "B'  $\in$  C"
    and TC: "T  $\in$  C"
    and latt: "lattice C"
  shows "T  $\cap$  (B'  $\cup$  wp act M)  $\in$  C"
<proof>

```

```

lemma progress_set_mono:
  assumes BB': "B  $\subseteq$  B'"
  shows
    "[| B'  $\in$  C; C  $\in$  progress_set F T B |]
    ==> C  $\in$  progress_set F T B'"
<proof>

```

```

theorem progress_set_Union:
  assumes leadsTo: "F  $\in$  A leadsTo B'"
    and prog: "C  $\in$  progress_set F T B"
    and Fstable: "F  $\in$  stable T"
    and BB': "B  $\subseteq$  B'"
    and B'C: "B'  $\in$  C"

```

```

    and Gco: "!!X. X∈C ==> G ∈ X-B co X"
    shows "F⊔G ∈ T∩A leadsTo B'"
  <proof>

```

## 17.4 Some Progress Sets

```

lemma UNIV_in_progress_set: "UNIV ∈ progress_set F T B"
  <proof>

```

### 17.4.1 Lattices and Relations

From Meier's thesis, section 4.5.3

```

constdefs
  relcl :: "'a set set => ('a * 'a) set"
    — Derived relation from a lattice
    "relcl L == {(x,y). y ∈ cl L {x}}"

  latticeof :: "('a * 'a) set => 'a set set"
    — Derived lattice from a relation: the set of upwards-closed sets
    "latticeof r == {X. ∀s t. s ∈ X & (s,t) ∈ r --> t ∈ X}"

```

```

lemma relcl_refl: "(a,a) ∈ relcl L"
  <proof>

```

```

lemma relcl_trans:
  "[| (a,b) ∈ relcl L; (b,c) ∈ relcl L; lattice L |] ==> (a,c) ∈ relcl L"
  <proof>

```

```

lemma refl_relcl: "lattice L ==> refl (relcl L)"
  <proof>

```

```

lemma trans_relcl: "lattice L ==> trans (relcl L)"
  <proof>

```

```

lemma lattice_latticeof: "lattice (latticeof r)"
  <proof>

```

```

lemma lattice_singletonI:
  "[| lattice L; !!s. s ∈ X ==> {s} ∈ L |] ==> X ∈ L"
  <proof>

```

Equation (4.71) of Meier's thesis. He gives no proof.

```

lemma cl_latticeof:
  "[| refl r; trans r |]
    ==> cl (latticeof r) X = {t. ∃s. s∈X & (s,t) ∈ r}"
  <proof>

```

Related to (4.71).

```

lemma cl_eq_Collect_relcl:
  "lattice L ==> cl L X = {t. ∃s. s∈X & (s,t) ∈ relcl L}"
  <proof>

```

Meier's theorem of section 4.5.3

```
theorem latticeof_relcl_eq: "lattice L ==> latticeof (relcl L) = L"
<proof>

theorem relcl_latticeof_eq:
  "[|refl r; trans r|] ==> relcl (latticeof r) = r"
<proof>
```

### 17.4.2 Decoupling Theorems

```
constdefs
  decoupled :: "[’a program, ’a program] => bool"
  "decoupled F G ==
     $\forall \text{act} \in \text{Acts } F. \forall B. G \in \text{stable } B \rightarrow G \in \text{stable } (\text{wp act } B) "$ "
```

Rao's Decoupling Theorem

```
lemma stableco: "F  $\in$  stable A ==> F  $\in$  A-B co A"
<proof>
```

```
theorem decoupling:
  assumes leadsTo: "F  $\in$  A leadsTo B"
  and Gstable: "G  $\in$  stable B"
  and dec:      "decoupled F G"
  shows "F  $\sqcup$  G  $\in$  A leadsTo B"
<proof>
```

Rao's Weak Decoupling Theorem

```
theorem weak_decoupling:
  assumes leadsTo: "F  $\in$  A leadsTo B"
  and stable: "F  $\sqcup$  G  $\in$  stable B"
  and dec:    "decoupled F (F  $\sqcup$  G)"
  shows "F  $\sqcup$  G  $\in$  A leadsTo B"
<proof>
```

The “Decoupling via  $G'$  Union Theorem”

```
theorem decoupling_via_aux:
  assumes leadsTo: "F  $\in$  A leadsTo B"
  and prog: "{X. G'  $\in$  stable X}  $\in$  progress_set F UNIV B"
  and GG':  "G  $\leq$  G'"
  — Beware! This is the converse of the refinement relation!
  shows "F  $\sqcup$  G  $\in$  A leadsTo B"
<proof>
```

## 17.5 Composition Theorems Based on Monotonicity and Commutativity

### 17.5.1 Commutativity of $\text{cl } L$ and assignment.

```
constdefs
  commutes :: "[’a program, ’a set, ’a set, ’a set set] => bool"
  "commutes F T B L ==
     $\forall M. \forall \text{act} \in \text{Acts } F. B \subseteq M \rightarrow$ 
     $\text{cl } L (T \cap \text{wp act } M) \subseteq T \cap (B \cup \text{wp act } (\text{cl } L (T \cap M))) "$ "
```

From Meier's thesis, section 4.5.6

```
lemma commutativity1_lemma:
  assumes commutes: "commutes F T B L"
    and lattice: "lattice L"
    and BL: "B ∈ L"
    and TL: "T ∈ L"
  shows "closed F T B L"
  <proof>
```

Version packaged with  $[?F \in ?A \text{ leadsTo } ?B'; ?C \in \text{progress\_set } ?F ?T ?B; ?F \in \text{stable } ?T; ?B \subseteq ?B'; ?B' \in ?C; \bigwedge X. X \in ?C \implies ?G \in X - ?B \text{ co } X] \implies ?F \sqcup ?G \in ?T \cap ?A \text{ leadsTo } ?B'$

```
lemma commutativity1:
  assumes leadsTo: "F ∈ A leadsTo B"
    and lattice: "lattice L"
    and BL: "B ∈ L"
    and TL: "T ∈ L"
    and Fstable: "F ∈ stable T"
    and Gco: "!!X. X ∈ L ==> G ∈ X-B co X"
    and commutes: "commutes F T B L"
  shows "F ∪ G ∈ T ∩ A leadsTo B"
  <proof>
```

Possibly move to Relation.thy, after *single\_valued*

```
constdefs
  funof :: "['a*'b)set, 'a] => 'b"
  "funof r == (λx. THE y. (x,y) ∈ r)"
```

```
lemma funof_eq: "[/single_valued r; (x,y) ∈ r/] ==> funof r x = y"
  <proof>
```

```
lemma funof_Pair_in:
  "[/single_valued r; x ∈ Domain r/] ==> (x, funof r x) ∈ r"
  <proof>
```

```
lemma funof_in:
  "[/r' "{x} ⊆ A; single_valued r; x ∈ Domain r/] ==> funof r x ∈ A"
  <proof>
```

```
lemma funof_imp_wp: "[/funof act t ∈ A; single_valued act/] ==> t ∈ wp act A"
  <proof>
```

### 17.5.2 Commutativity of Functions and Relation

Thesis, page 109

From Meier's thesis, section 4.5.6

```
lemma commutativity2_lemma:
  assumes dcommutes:
    "∀ act ∈ Acts F.
     ∀ s ∈ T. ∀ t. (s,t) ∈ relcl L -->
```

```

      s ∈ B | t ∈ B | (funof act s, funof act t) ∈ relcl
L"
  and determ: "!!act. act ∈ Acts F ==> single_valued act"
  and total: "!!act. act ∈ Acts F ==> Domain act = UNIV"
  and lattice: "lattice L"
  and BL: "B ∈ L"
  and TL: "T ∈ L"
  and Fstable: "F ∈ stable T"
  shows "commutes F T B L"
<proof>

```

Version packaged with  $\llbracket ?F \in ?A \text{ leadsTo } ?B'; ?C \in \text{progress\_set } ?F ?T ?B; ?F \in \text{stable } ?T; ?B \subseteq ?B'; ?B' \in ?C; \bigwedge X. X \in ?C \implies ?G \in X - ?B \text{ co } X \rrbracket \implies ?F \sqcup ?G \in ?T \cap ?A \text{ leadsTo } ?B'$

```

lemma commutativity2:
  assumes leadsTo: "F ∈ A leadsTo B"
  and dcommutes:
    "∀ act ∈ Acts F.
     ∀ s ∈ T. ∀ t. (s,t) ∈ relcl L -->
      s ∈ B | t ∈ B | (funof act s, funof act t) ∈ relcl
L"
  and determ: "!!act. act ∈ Acts F ==> single_valued act"
  and total: "!!act. act ∈ Acts F ==> Domain act = UNIV"
  and lattice: "lattice L"
  and BL: "B ∈ L"
  and TL: "T ∈ L"
  and Fstable: "F ∈ stable T"
  and Gco: "!!X. X ∈ L ==> G ∈ X-B co X"
  shows "F ∪ G ∈ T ∩ A leadsTo B"
<proof>

```

## 17.6 Monotonicity

From Meier's thesis, section 4.5.7, page 110

end

## 18 Comprehensive UNITY Theory

```

theory UNITY_Main imports Detects PPROD Follows ProgressSets
uses "UNITY_tactics.ML" begin

```

<ML>

end

```

theory Deadlock imports UNITY begin

```

```

lemma "[| F ∈ (A ∩ B) co A; F ∈ (B ∩ A) co B |] ==> F ∈ stable (A ∩ B)"

```

$\langle proof \rangle$

**lemma** *Collect\_le\_Int\_equals:*

" $(\bigcap i \in \text{atMost } n. A(\text{Suc } i) \cap A i) = (\bigcap i \in \text{atMost } (\text{Suc } n). A i)$ "  
 $\langle proof \rangle$

**lemma** *UN\_Int\_Compl\_subset:*

" $(\bigcup i \in \text{lessThan } n. A i) \cap (\neg A n) \subseteq$   
 $(\bigcup i \in \text{lessThan } n. (A i) \cap (\neg A (\text{Suc } i)))$ "  
 $\langle proof \rangle$

**lemma** *INT\_Un\_Compl\_subset:*

" $(\bigcap i \in \text{lessThan } n. \neg A i \cup A (\text{Suc } i)) \subseteq$   
 $(\bigcap i \in \text{lessThan } n. \neg A i) \cup A n$ "  
 $\langle proof \rangle$

**lemma** *INT\_le\_equals\_Int\_lemma:*

" $A 0 \cap (\neg(A n) \cap (\bigcap i \in \text{lessThan } n. \neg A i \cup A (\text{Suc } i))) = \{\}$ "  
 $\langle proof \rangle$

**lemma** *INT\_le\_equals\_Int:*

" $(\bigcap i \in \text{atMost } n. A i) =$   
 $A 0 \cap (\bigcap i \in \text{lessThan } n. \neg A i \cup A(\text{Suc } i))$ "  
 $\langle proof \rangle$

**lemma** *INT\_le\_Suc\_equals\_Int:*

" $(\bigcap i \in \text{atMost } (\text{Suc } n). A i) =$   
 $A 0 \cap (\bigcap i \in \text{atMost } n. \neg A i \cup A(\text{Suc } i))$ "  
 $\langle proof \rangle$

**lemma**

assumes zeroprem: " $F \in (A 0 \cap A (\text{Suc } n)) \text{ co } (A 0)$ "  
and allprem:  
" $!!i. i \in \text{atMost } n \implies F \in (A(\text{Suc } i) \cap A i) \text{ co } (\neg A i \cup A(\text{Suc } i))$ "  
shows " $F \in \text{stable } (\bigcap i \in \text{atMost } (\text{Suc } n). A i)$ "  
 $\langle proof \rangle$

**end**

**theory** *Common* imports " $\dots/UNITY\_Main$ " begin

```

consts
  ftime :: "nat=>nat"
  gtime :: "nat=>nat"

axioms
  fmono: "m ≤ n ==> ftime m ≤ ftime n"
  gmono: "m ≤ n ==> gtime m ≤ gtime n"

  fasc:  "m ≤ ftime n"
  gasc:  "m ≤ gtime n"

constdefs
  common :: "nat set"
    "common == {n. ftime n = n & gtime n = n}"

  maxfg :: "nat => nat set"
    "maxfg m == {t. t ≤ max (ftime m) (gtime m)}"

lemma common_stable:
  "[| ∀m. F ∈ {m} Co (maxfg m); n ∈ common |]
   ==> F ∈ Stable (atMost n)"
  <proof>

lemma common_safety:
  "[| Init F ⊆ atMost n;
    ∀m. F ∈ {m} Co (maxfg m); n ∈ common |]
   ==> F ∈ Always (atMost n)"
  <proof>

lemma "SKIP ∈ {m} co (maxfg m)"
  <proof>

lemma "mk_total_program
  (UNIV, {range(%t.(t,ftime t)), range(%t.(t,gtime t))}, UNIV)
  ∈ {m} co (maxfg m)"
  <proof>

lemma "mk_total_program (UNIV, {range(%t.(t, max (ftime t) (gtime t)))},
  UNIV)
  ∈ {m} co (maxfg m)"
  <proof>

lemma "mk_total_program
  (UNIV, { {(t, Suc t) | t. t < max (ftime t) (gtime t)} }, UNIV)
  ∈ {m} co (maxfg m)"
  <proof>

```

```

declare atMost_Int_atLeast [simp]

lemma leadsTo_common_lemma:
  "[|  $\forall m. F \in \{m\} \text{ Co } (\text{maxfg } m)$ ;
     $\forall m \in \text{lessThan } n. F \in \{m\} \text{ LeadsTo } (\text{greaterThan } m)$ ;
     $n \in \text{common}$  |]
  ==>  $F \in (\text{atMost } n) \text{ LeadsTo } \text{common}$ "
<proof>

lemma leadsTo_common:
  "[|  $\forall m. F \in \{m\} \text{ Co } (\text{maxfg } m)$ ;
     $\forall m \in \text{-common}. F \in \{m\} \text{ LeadsTo } (\text{greaterThan } m)$ ;
     $n \in \text{common}$  |]
  ==>  $F \in (\text{atMost } (\text{LEAST } n. n \in \text{common})) \text{ LeadsTo } \text{common}$ "
<proof>

end

theory Network imports UNITY begin

datatype pvar = Sent | Rcvd | Idle

datatype pname = Aproc | Bproc

types state = "pname * pvar => nat"

locale F_props =
  fixes F
  assumes rsA: " $F \in \text{stable } \{s. s(\text{Bproc}, \text{Rcvd}) \leq s(\text{Aproc}, \text{Sent})\}$ "
    and rsB: " $F \in \text{stable } \{s. s(\text{Aproc}, \text{Rcvd}) \leq s(\text{Bproc}, \text{Sent})\}$ "
    and sent_nondec: " $F \in \text{stable } \{s. m \leq s(\text{proc}, \text{Sent})\}$ "
    and rcvd_nondec: " $F \in \text{stable } \{s. n \leq s(\text{proc}, \text{Rcvd})\}$ "
    and rcvd_idle: " $F \in \{s. s(\text{proc}, \text{Idle}) = \text{Suc } 0 \ \& \ s(\text{proc}, \text{Rcvd}) = m\}$ 
      co  $\{s. s(\text{proc}, \text{Rcvd}) = m \rightarrow s(\text{proc}, \text{Idle}) = \text{Suc } 0\}$ "
    and sent_idle: " $F \in \{s. s(\text{proc}, \text{Idle}) = \text{Suc } 0 \ \& \ s(\text{proc}, \text{Sent}) = n\}$ 
      co  $\{s. s(\text{proc}, \text{Sent}) = n\}$ "

lemmas (in F_props)
  sent_nondec_A = sent_nondec [of _ Aproc]
  and sent_nondec_B = sent_nondec [of _ Bproc]
  and rcvd_nondec_A = rcvd_nondec [of _ Aproc]

```



```

and rcvd_nondec_B = rcvd_nondec [of _ Bproc]
and rcvd_idle_A = rcvd_idle [of Aproc]
and rcvd_idle_B = rcvd_idle [of Bproc]
and sent_idle_A = sent_idle [of Aproc]
and sent_idle_B = sent_idle [of Bproc]

and rs_AB = stable_Int [OF rsA rsB]
and sent_nondec_AB = stable_Int [OF sent_nondec_A sent_nondec_B]
and rcvd_nondec_AB = stable_Int [OF rcvd_nondec_A rcvd_nondec_B]
and rcvd_idle_AB = constrains_Int [OF rcvd_idle_A rcvd_idle_B]
and sent_idle_AB = constrains_Int [OF sent_idle_A sent_idle_B]
and nondec_AB = stable_Int [OF sent_nondec_AB rcvd_nondec_AB]
and idle_AB = constrains_Int [OF rcvd_idle_AB sent_idle_AB]
and nondec_idle = constrains_Int [OF nondec_AB [unfolded stable_def]
                                idle_AB]

lemma (in F_props)
  shows "F ∈ stable {s. s(Aproc,Idle) = Suc 0 & s(Bproc,Idle) = Suc 0 &
    s(Aproc,Sent) = s(Bproc,Rcvd) &
    s(Bproc,Sent) = s(Aproc,Rcvd) &
    s(Aproc,Rcvd) = m & s(Bproc,Rcvd) = n}"
  <proof>

end

```

## 19 The Token Ring

```

theory Token
imports "../WFair"

```

```

begin

```

From Misra, "A Logic for Concurrent Programming" (1994), sections 5.2 and 13.2.

### 19.1 Definitions

```

datatype pstate = Hungry | Eating | Thinking
  — process states

```

```

record state =
  token :: "nat"
  proc  :: "nat => pstate"

```

```

constdefs
  HasTok :: "nat => state set"
    "HasTok i == {s. token s = i}"

  H :: "nat => state set"
    "H i == {s. proc s i = Hungry}"

```

```

E :: "nat => state set"
  "E i == {s. proc s i = Eating}"

T :: "nat => state set"
  "T i == {s. proc s i = Thinking}"

locale Token =
  fixes N and F and nodeOrder and "next"
  defines nodeOrder_def:
    "nodeOrder j == measure(%i. ((j+N)-i) mod N)  $\cap$  {.. $N$ }  $\times$  {.. $N$ }"
    and next_def:
      "next i == (Suc i) mod N"
  assumes N_positive [iff]: "0 < N"
  and TR2: "F  $\in$  (T i) co (T i  $\cup$  H i)"
  and TR3: "F  $\in$  (H i) co (H i  $\cup$  E i)"
  and TR4: "F  $\in$  (H i - HasTok i) co (H i)"
  and TR5: "F  $\in$  (HasTok i) co (HasTok i  $\cup$  -(E i))"
  and TR6: "F  $\in$  (H i  $\cap$  HasTok i) leadsTo (E i)"
  and TR7: "F  $\in$  (HasTok i) leadsTo (HasTok (next i))"

```

```

lemma HasTok_partition: "[| s  $\in$  HasTok i; s  $\in$  HasTok j |] ==> i=j"
<proof>

```

```

lemma not_E_eq: "(s  $\notin$  E i) = (s  $\in$  H i | s  $\in$  T i)"
<proof>

```

```

lemma (in Token) token_stable: "F  $\in$  stable (-(E i)  $\cup$  (HasTok i))"
<proof>

```

## 19.2 Progress under Weak Fairness

```

lemma (in Token) wf_nodeOrder: "wf(nodeOrder j)"
<proof>

```

```

lemma (in Token) nodeOrder_eq:
  "[| i < N; j < N |] ==> ((next i, i)  $\in$  nodeOrder j) = (i  $\neq$  j)"
<proof>

```

From "A Logic for Concurrent Programming", but not used in Chapter 4. Note the use of `case_tac`. Reasoning about `leadsTo` takes practice!

```

lemma (in Token) TR7_nodeOrder:
  "[| i < N; j < N |] ==>
    F  $\in$  (HasTok i) leadsTo {s. (token s, i)  $\in$  nodeOrder j}  $\cup$  HasTok j}"
<proof>

```

Chapter 4 variant, the one actually used below.

```

lemma (in Token) TR7_aux: "[| i < N; j < N; i  $\neq$  j |]
  ==> F  $\in$  (HasTok i) leadsTo {s. (token s, i)  $\in$  nodeOrder j}"
<proof>

```

```

lemma (in Token) token_lemma:
  "({s. token s < N}  $\cap$  token - ' {m}) = (if m < N then token - ' {m} else {})"

```

*<proof>*

Misra's TR9: the token reaches an arbitrary node

**lemma** (in Token) leadsTo\_j: "j < N ==> F ∈ {s. token s < N} leadsTo (HasTok j)"

*<proof>*

Misra's TR8: a hungry process eventually eats

**lemma** (in Token) token\_progress:

"j < N ==> F ∈ ({s. token s < N} ∩ H j) leadsTo (E j)"

*<proof>*

**end**

**theory** Channel imports "../UNITY\_Main" begin

**types** state = "nat set"

**consts**

F :: "state program"

**constdefs**

minSet :: "nat set => nat option"

"minSet A == if A={} then None else Some (LEAST x. x ∈ A)"

**axioms**

UC1: "F ∈ (minSet -' {Some x}) co (minSet -' (Some'atLeast x))"

UC2: "F ∈ (minSet -' {Some x}) leadsTo {s. x ∉ s}"

**lemma** minSet\_eq\_SomeD: "minSet A = Some x ==> x ∈ A"

*<proof>*

**lemma** minSet\_empty [simp]: "minSet {} = None"

*<proof>*

**lemma** minSet\_nonempty: "x ∈ A ==> minSet A = Some (LEAST x. x ∈ A)"

*<proof>*

**lemma** minSet\_greaterThan:

"F ∈ (minSet -' {Some x}) leadsTo (minSet -' (Some'greaterThan x))"

*<proof>*

**lemma** Channel\_progress\_lemma:

"F ∈ (UNIV-{}) leadsTo (minSet -' (Some'atLeast y))"

$\langle proof \rangle$

**lemma** *Channel\_progress*: " $\forall y :: nat. F \in (UNIV - \{\})$  leadsTo  $\{s. y \notin s\}$ "  
 $\langle proof \rangle$

**end**

**theory** *Lift*

**imports** "../UNITY\_Main"

**begin**

**record** *state* =

<i>floor</i> :: "int"	— current position of the lift
<i>"open"</i> :: "bool"	— whether the door is opened at floor
<i>stop</i> :: "bool"	— whether the lift is stopped at floor
<i>req</i> :: "int set"	— for each floor, whether the lift is requested
<i>up</i> :: "bool"	— current direction of movement
<i>move</i> :: "bool"	— whether moving takes precedence over opening

**consts**

<i>Min</i> :: "int"	— least and greatest floors
<i>Max</i> :: "int"	— least and greatest floors

**axioms**

*Min\_le\_Max* [iff]: " $Min \leq Max$ "

**constdefs**

— Abbreviations: the "always" part

*above* :: "state set"  
 $"above == \{s. \exists i. floor\ s < i \ \& \ i \leq Max \ \& \ i \in req\ s\}"$

*below* :: "state set"  
 $"below == \{s. \exists i. Min \leq i \ \& \ i < floor\ s \ \& \ i \in req\ s\}"$

*queueing* :: "state set"  
 $"queueing == above \cup below"$

*goingup* :: "state set"  
 $"goingup == above \cap (\{s. up\ s\} \cup \neg below)"$

*goingdown* :: "state set"  
 $"goingdown == below \cap (\{s. \sim up\ s\} \cup \neg above)"$

*ready* :: "state set"  
 $"ready == \{s. stop\ s \ \& \ \sim open\ s \ \& \ move\ s\}"$

— Further abbreviations

```

moving :: "state set"
"moving == {s. ~ stop s & ~ open s}"

stopped :: "state set"
"stopped == {s. stop s & ~ open s & ~ move s}"

opened :: "state set"
"opened == {s. stop s & open s & move s}"

closed :: "state set" — but this is the same as ready!!
"closed == {s. stop s & ~ open s & move s}"

atFloor :: "int => state set"
"atFloor n == {s. floor s = n}"

Req :: "int => state set"
"Req n == {s. n ∈ req s}"

```

— The program

```

request_act :: "(state*state) set"
"request_act == {(s,s'). s' = s (|stop:=True, move:=False|)
                  & ~ stop s & floor s ∈ req s}"

open_act :: "(state*state) set"
"open_act ==
  {(s,s'). s' = s (|open :=True,
                    req  := req s - {floor s},
                    move := True|)
    & stop s & ~ open s & floor s ∈ req s
    & ~(move s & s ∈ queueing)}"

close_act :: "(state*state) set"
"close_act == {(s,s'). s' = s (|open := False|) & open s}"

req_up :: "(state*state) set"
"req_up ==
  {(s,s'). s' = s (|stop  :=False,
                    floor := floor s + 1,
                    up    := True|)
    & s ∈ (ready ∩ goingup)}"

req_down :: "(state*state) set"
"req_down ==
  {(s,s'). s' = s (|stop  :=False,
                    floor := floor s - 1,
                    up    := False|)
    & s ∈ (ready ∩ goingdown)}"

move_up :: "(state*state) set"
"move_up ==
  {(s,s'). s' = s (|floor := floor s + 1|)

```

$\& \sim \text{stop } s \& \text{up } s \& \text{floor } s \notin \text{req } s\}$ "

```
move_down :: "(state*state) set"
"move_down ==
  {(s,s'). s' = s (/floor := floor s - 1/)
    & ~ stop s & ~ up s & floor s \notin req s}"
```

```
button_press :: "(state*state) set"
```

— This action is omitted from prior treatments, which therefore are unrealistic: nobody asks the lift to do anything! But adding this action invalidates many of the existing progress arguments: various "ensures" properties fail. Maybe it should be constrained to only allow button presses in the current direction of travel, like in a real lift.

```
"button_press ==
  {(s,s'). \exists n. s' = s (/req := insert n (req s)/)
    & Min \leq n & n \leq Max}"
```

```
Lift :: "state program"
```

— for the moment, we OMIT `button_press`

```
"Lift == mk_total_program
  ({s. floor s = Min & ~ up s & move s & stop s &
    ~ open s & req s = {}},
  {request_act, open_act, close_act,
   req_up, req_down, move_up, move_down},
  UNIV)"
```

— Invariants

```
bounded :: "state set"
"bounded == {s. Min \leq floor s & floor s \leq Max}"
```

```
open_stop :: "state set"
"open_stop == {s. open s --> stop s}"
```

```
open_move :: "state set"
"open_move == {s. open s --> move s}"
```

```
stop_floor :: "state set"
"stop_floor == {s. stop s & ~ move s --> floor s \in req s}"
```

```
moving_up :: "state set"
"moving_up == {s. ~ stop s & up s -->
  (\f. floor s \leq f & f \leq Max & f \in req s)}"
```

```
moving_down :: "state set"
"moving_down == {s. ~ stop s & ~ up s -->
  (\f. Min \leq f & f \leq floor s & f \in req s)}"
```

```
metric :: "[int,state] => int"
"metric ==
  %n s. if floor s < n then (if up s then n - floor s
    else (floor s - Min) + (n-Min))
```

```

        else
        if n < floor s then (if up s then (Max - floor s) + (Max-n)
                               else floor s - n)
        else 0"

locale Floor =
  fixes n
  assumes Min_le_n [iff]: "Min ≤ n"
  and n_le_Max [iff]: "n ≤ Max"

lemma not_mem_distinct: "[| x ∉ A; y ∈ A |] ==> x ≠ y"
  <proof>

declare Lift_def [THEN def_prg_Init, simp]

declare request_act_def [THEN def_act_simp, simp]
declare open_act_def [THEN def_act_simp, simp]
declare close_act_def [THEN def_act_simp, simp]
declare req_up_def [THEN def_act_simp, simp]
declare req_down_def [THEN def_act_simp, simp]
declare move_up_def [THEN def_act_simp, simp]
declare move_down_def [THEN def_act_simp, simp]
declare button_press_def [THEN def_act_simp, simp]

declare above_def [THEN def_set_simp, simp]
declare below_def [THEN def_set_simp, simp]
declare queueing_def [THEN def_set_simp, simp]
declare goingup_def [THEN def_set_simp, simp]
declare goingdown_def [THEN def_set_simp, simp]
declare ready_def [THEN def_set_simp, simp]

declare bounded_def [simp]
        open_stop_def [simp]
        open_move_def [simp]
        stop_floor_def [simp]
        moving_up_def [simp]
        moving_down_def [simp]

lemma open_stop: "Lift ∈ Always open_stop"
  <proof>

lemma stop_floor: "Lift ∈ Always stop_floor"
  <proof>

lemma open_move: "Lift ∈ Always open_move"
  <proof>

lemma moving_up: "Lift ∈ Always moving_up"
  <proof>

```

**lemma** moving\_down: "Lift  $\in$  Always moving\_down"  
 <proof>

**lemma** bounded: "Lift  $\in$  Always bounded"  
 <proof>

### 19.3 Progress

```
declare moving_def [THEN def_set_simp, simp]
declare stopped_def [THEN def_set_simp, simp]
declare opened_def [THEN def_set_simp, simp]
declare closed_def [THEN def_set_simp, simp]
declare atFloor_def [THEN def_set_simp, simp]
declare Req_def [THEN def_set_simp, simp]
```

The HUG'93 paper mistakenly omits the Req n from these!

**lemma** E\_thm01: "Lift  $\in$  (stopped  $\cap$  atFloor n) LeadsTo (opened  $\cap$  atFloor n)"  
 <proof>

**lemma** E\_thm02: "Lift  $\in$  (Req n  $\cap$  stopped - atFloor n) LeadsTo  
 (Req n  $\cap$  opened - atFloor n)"  
 <proof>

**lemma** E\_thm03: "Lift  $\in$  (Req n  $\cap$  opened - atFloor n) LeadsTo  
 (Req n  $\cap$  closed - (atFloor n - queueing))"  
 <proof>

**lemma** E\_thm04: "Lift  $\in$  (Req n  $\cap$  closed  $\cap$  (atFloor n - queueing))  
 LeadsTo (opened  $\cap$  atFloor n)"  
 <proof>

**lemmas** linorder\_leI = linorder\_not\_less [THEN iffD1]

**lemmas** (in Floor) le\_MinD = Min\_le\_n [THEN order\_antisym]  
 and Max\_leD = n\_le\_Max [THEN [2] order\_antisym]

```
declare (in Floor) le_MinD [dest!]
      and linorder_leI [THEN le_MinD, dest!]
      and Max_leD [dest!]
      and linorder_leI [THEN Max_leD, dest!]
```

**lemma** (in Floor) E\_thm05c:  
 "Lift  $\in$  (Req n  $\cap$  closed - (atFloor n - queueing))  
 LeadsTo ((closed  $\cap$  goingup  $\cap$  Req n)  $\cup$   
 (closed  $\cap$  goingdown  $\cap$  Req n))"



*<proof>*

**lemma** (in Floor) lift\_2: "Lift  $\in$  (Req  $n \cap$  closed - (atFloor  $n$  - queueing))

LeadsTo (moving  $\cap$  Req  $n$ )"

*<proof>*

**declare** split\_if\_asm [split]

**lemma** (in Floor) E\_thm12a:

"0 < N ==>

Lift  $\in$  (moving  $\cap$  Req  $n \cap$  {s. metric  $n$  s = N}  $\cap$   
           {s. floor s  $\notin$  req s}  $\cap$  {s. up s})

LeadsTo

(moving  $\cap$  Req  $n \cap$  {s. metric  $n$  s < N})"

*<proof>*

**lemma** (in Floor) E\_thm12b: "0 < N ==>

Lift  $\in$  (moving  $\cap$  Req  $n \cap$  {s. metric  $n$  s = N}  $\cap$   
           {s. floor s  $\notin$  req s} - {s. up s})

LeadsTo (moving  $\cap$  Req  $n \cap$  {s. metric  $n$  s < N})"

*<proof>*

**lemma** (in Floor) lift\_4:

"0 < N ==> Lift  $\in$  (moving  $\cap$  Req  $n \cap$  {s. metric  $n$  s = N}  $\cap$   
                                   {s. floor s  $\notin$  req s}) LeadsTo

(moving  $\cap$  Req  $n \cap$  {s. metric  $n$  s < N})"

*<proof>*

**lemma** (in Floor) E\_thm16a: "0 < N

==> Lift  $\in$  (closed  $\cap$  Req  $n \cap$  {s. metric  $n$  s = N}  $\cap$  goingup) LeadsTo

(moving  $\cap$  Req  $n \cap$  {s. metric  $n$  s < N})"

*<proof>*

**lemma** (in Floor) E\_thm16b: "0 < N ==>

Lift  $\in$  (closed  $\cap$  Req  $n \cap$  {s. metric  $n$  s = N}  $\cap$  goingdown) LeadsTo

(moving  $\cap$  Req  $n \cap$  {s. metric  $n$  s < N})"

*<proof>*

```

lemma (in Floor) E_thm16c:
  "0 < N ==> Req n ∩ {s. metric n s = N} ⊆ goingup ∪ goingdown"
  <proof>

```

```

lemma (in Floor) lift_5:
  "0 < N ==> Lift ∈ (closed ∩ Req n ∩ {s. metric n s = N}) LeadsTo
    (moving ∩ Req n ∩ {s. metric n s < N})"
  <proof>

```

```

lemma (in Floor) metric_eq_OD [dest]:
  "[| metric n s = 0; Min ≤ floor s; floor s ≤ Max |] ==> floor s =
  n"
  <proof>

```

```

lemma (in Floor) E_thm11: "Lift ∈ (moving ∩ Req n ∩ {s. metric n s = 0})
  LeadsTo
    (stopped ∩ atFloor n)"
  <proof>

```

```

lemma (in Floor) E_thm13:
  "Lift ∈ (moving ∩ Req n ∩ {s. metric n s = N} ∩ {s. floor s ∈ req s})
  LeadsTo (stopped ∩ Req n ∩ {s. metric n s = N} ∩ {s. floor s ∈ req s})"
  <proof>

```

```

lemma (in Floor) E_thm14: "0 < N ==>
  Lift ∈
    (stopped ∩ Req n ∩ {s. metric n s = N} ∩ {s. floor s ∈ req s})
  LeadsTo (opened ∩ Req n ∩ {s. metric n s = N})"
  <proof>

```

```

lemma (in Floor) E_thm15: "Lift ∈ (opened ∩ Req n ∩ {s. metric n s = N})
  LeadsTo (closed ∩ Req n ∩ {s. metric n s = N})"
  <proof>

```

```

lemma (in Floor) lift_3_Req: "0 < N ==>

```

```

    Lift ∈
      (moving ∩ Req n ∩ {s. metric n s = N} ∩ {s. floor s ∈ req s})
      LeadsTo (moving ∩ Req n ∩ {s. metric n s < N})"
  ⟨proof⟩

```

```

lemma (in Floor) Always_nonneg: "Lift ∈ Always {s. 0 ≤ metric n s}"
  ⟨proof⟩

```

```

lemmas (in Floor) R_thm11 = Always_LeadsTo_weaken [OF Always_nonneg E_thm11]

```

```

lemma (in Floor) lift_3:
  "Lift ∈ (moving ∩ Req n) LeadsTo (stopped ∩ atFloor n)"
  ⟨proof⟩

```

```

lemma (in Floor) lift_1: "Lift ∈ (Req n) LeadsTo (opened ∩ atFloor n)"
  ⟨proof⟩

```

```

end

```

```

theory Mutex imports "../UNITY_Main" begin

```

```

record state =
  p :: bool
  m :: int
  n :: int
  u :: bool
  v :: bool

```

```

types command = "(state*state) set"

```

```

constdefs

```

```

U0 :: command
  "U0 == {(s,s'). s' = s (/u:=True, m:=1/) & m s = 0}"

```

```

U1 :: command
  "U1 == {(s,s'). s' = s (/p:=v s, m:=2/) & m s = 1}"

```

```

U2 :: command
  "U2 == {(s,s'). s' = s (/m:=3/) & ~ p s & m s = 2}"

```

```

U3 :: command
  "U3 == {(s,s'). s' = s (/u:=False, m:=4/) & m s = 3}"

```

```

U4 :: command
  "U4 == {(s,s'). s' = s (/p:=True, m:=0/) & m s = 4}"

```

```

V0 :: command
  "V0 == {(s,s'). s' = s (|v:=True, n:=1|) & n s = 0}"

V1 :: command
  "V1 == {(s,s'). s' = s (|p:= ~ u s, n:=2|) & n s = 1}"

V2 :: command
  "V2 == {(s,s'). s' = s (|n:=3|) & p s & n s = 2}"

V3 :: command
  "V3 == {(s,s'). s' = s (|v:=False, n:=4|) & n s = 3}"

V4 :: command
  "V4 == {(s,s'). s' = s (|p:=False, n:=0|) & n s = 4}"

Mutex :: "state program"
  "Mutex == mk_total_program
    ({s. ~ u s & ~ v s & m s = 0 & n s = 0},
     {U0, U1, U2, U3, U4, V0, V1, V2, V3, V4},
     UNIV)"

IU :: "state set"
  "IU == {s. (u s = (1 ≤ m s & m s ≤ 3)) & (m s = 3 --> ~ p s)}"

IV :: "state set"
  "IV == {s. (v s = (1 ≤ n s & n s ≤ 3)) & (n s = 3 --> p s)}"

bad_IU :: "state set"
  "bad_IU == {s. (u s = (1 ≤ m s & m s ≤ 3)) &
    (3 ≤ m s & m s ≤ 4 --> ~ p s)}"

declare Mutex_def [THEN def_prg_Init, simp]

declare U0_def [THEN def_act_simp, simp]
declare U1_def [THEN def_act_simp, simp]
declare U2_def [THEN def_act_simp, simp]
declare U3_def [THEN def_act_simp, simp]
declare U4_def [THEN def_act_simp, simp]
declare V0_def [THEN def_act_simp, simp]
declare V1_def [THEN def_act_simp, simp]
declare V2_def [THEN def_act_simp, simp]
declare V3_def [THEN def_act_simp, simp]
declare V4_def [THEN def_act_simp, simp]

declare IU_def [THEN def_set_simp, simp]

```

```

declare IV_def [THEN def_set_simp, simp]
declare bad_IU_def [THEN def_set_simp, simp]

```

```

lemma IU: "Mutex  $\in$  Always IU"
<proof>

```

```

lemma IV: "Mutex  $\in$  Always IV"
<proof>

```

```

lemma mutual_exclusion: "Mutex  $\in$  Always {s.  $\sim$  (m s = 3 & n s = 3)}"
<proof>

```

```

lemma "Mutex  $\in$  Always bad_IU"
<proof>

```

```

lemma eq_123: "((1::int)  $\leq$  i & i  $\leq$  3) = (i = 1 | i = 2 | i = 3)"
<proof>

```

```

lemma U_F0: "Mutex  $\in$  {s. m s=2} Unless {s. m s=3}"
<proof>

```

```

lemma U_F1: "Mutex  $\in$  {s. m s=1} LeadsTo {s. p s = v s & m s = 2}"
<proof>

```

```

lemma U_F2: "Mutex  $\in$  {s.  $\sim$  p s & m s = 2} LeadsTo {s. m s = 3}"
<proof>

```

```

lemma U_F3: "Mutex  $\in$  {s. m s = 3} LeadsTo {s. p s}"
<proof>

```

```

lemma U_lemma2: "Mutex  $\in$  {s. m s = 2} LeadsTo {s. p s}"
<proof>

```

```

lemma U_lemma1: "Mutex  $\in$  {s. m s = 1} LeadsTo {s. p s}"
<proof>

```

```

lemma U_lemma123: "Mutex  $\in$  {s. 1  $\leq$  m s & m s  $\leq$  3} LeadsTo {s. p s}"
<proof>

```

```

lemma u_Leadsto_p: "Mutex  $\in$  {s. u s} LeadsTo {s. p s}"
<proof>

```

**lemma** V\_F0: "Mutex  $\in \{s. n\ s=2\}$  Unless  $\{s. n\ s=3\}$ "  
 $\langle proof \rangle$

**lemma** V\_F1: "Mutex  $\in \{s. n\ s=1\}$  LeadsTo  $\{s. p\ s = (\sim u\ s) \ \& \ n\ s = 2\}$ "  
 $\langle proof \rangle$

**lemma** V\_F2: "Mutex  $\in \{s. p\ s \ \& \ n\ s = 2\}$  LeadsTo  $\{s. n\ s = 3\}$ "  
 $\langle proof \rangle$

**lemma** V\_F3: "Mutex  $\in \{s. n\ s = 3\}$  LeadsTo  $\{s. \sim p\ s\}$ "  
 $\langle proof \rangle$

**lemma** V\_lemma2: "Mutex  $\in \{s. n\ s = 2\}$  LeadsTo  $\{s. \sim p\ s\}$ "  
 $\langle proof \rangle$

**lemma** V\_lemma1: "Mutex  $\in \{s. n\ s = 1\}$  LeadsTo  $\{s. \sim p\ s\}$ "  
 $\langle proof \rangle$

**lemma** V\_lemma123: "Mutex  $\in \{s. 1 \leq n\ s \ \& \ n\ s \leq 3\}$  LeadsTo  $\{s. \sim p\ s\}$ "  
 $\langle proof \rangle$

**lemma** v\_Leadsto\_not\_p: "Mutex  $\in \{s. v\ s\}$  LeadsTo  $\{s. \sim p\ s\}$ "  
 $\langle proof \rangle$

**lemma** m1\_Leadsto\_3: "Mutex  $\in \{s. m\ s = 1\}$  LeadsTo  $\{s. m\ s = 3\}$ "  
 $\langle proof \rangle$

**lemma** n1\_Leadsto\_3: "Mutex  $\in \{s. n\ s = 1\}$  LeadsTo  $\{s. n\ s = 3\}$ "  
 $\langle proof \rangle$

**end**

**theory** Reach imports "../UNITY\_Main" **begin**

**typedecl** vertex

**types** state = "vertex=>bool"

**consts**

init :: "vertex"

edges :: "(vertex\*vertex) set"

**constdefs**

```

asgt  :: "[vertex,vertex] => (state*state) set"
      "asgt u v == {(s,s'). s' = s(v:= s u | s v)}"

Rprg  :: "state program"
      "Rprg == mk_total_program ({%v. v=init},  $\bigcup (u,v) \in \text{edges. } \{ \text{asgt } u \, v \}, \text{UNIV})"$ 

reach_invariant :: "state set"
      "reach_invariant == {s. ( $\forall v. s \, v \rightarrow (\text{init}, v) \in \text{edges}^*$ ) & s init}"

fixedpoint :: "state set"
      "fixedpoint == {s.  $\forall (u,v) \in \text{edges. } s \, u \rightarrow s \, v\}"$ 

metric :: "state => nat"
      "metric s == card {v.  $\sim s \, v\}"$ 

*We assume that the set of vertices is finite

axioms
  finite_graph: "finite (UNIV :: vertex set)"

lemma ifE [elim!]:
  "[| if P then Q else R;
    [| P;   Q |] ==> S;
    [|  $\sim P$ ; R |] ==> S |] ==> S"
  <proof>

declare Rprg_def [THEN def_prg_Init, simp]

declare asgt_def [THEN def_act_simp, simp]

All vertex sets are finite

declare finite_subset [OF subset_UNIV finite_graph, iff]

declare reach_invariant_def [THEN def_set_simp, simp]

lemma reach_invariant: "Rprg  $\in$  Always reach_invariant"
  <proof>

lemma fixedpoint_invariant_correct:
  "fixedpoint  $\cap$  reach_invariant = { %v. ( $\text{init}, v) \in \text{edges}^*$  }"
  <proof>

lemma lemma1:
  "FP Rprg  $\subseteq$  fixedpoint"
  <proof>

```

```

lemma lemma2:
  "fixedpoint  $\subseteq$  FP Rprg"
  <proof>

lemma FP_fixedpoint: "FP Rprg = fixedpoint"
  <proof>

lemma Compl_fixedpoint: "- fixedpoint = ( $\bigcup (u,v) \in \text{edges}. \{s. s\ u \ \& \ \sim s\ v\}$ )"
  <proof>

lemma Diff_fixedpoint:
  "A - fixedpoint = ( $\bigcup (u,v) \in \text{edges}. A \cap \{s. s\ u \ \& \ \sim s\ v\}$ )"
  <proof>

lemma Suc_metric: "~ s x ==> Suc (metric (s(x:=True))) = metric s"
  <proof>

lemma metric_less [intro!]: "~ s x ==> metric (s(x:=True)) < metric s"
  <proof>

lemma metric_le: "metric (s(y:=s x | s y))  $\leq$  metric s"
  <proof>

lemma LeadsTo_Diff_fixedpoint:
  "Rprg  $\in$  ((metric-'{m}) - fixedpoint) LeadsTo (metric-'{lessThan m})"
  <proof>

lemma LeadsTo_Un_fixedpoint:
  "Rprg  $\in$  (metric-'{m}) LeadsTo (metric-'{lessThan m}  $\cup$  fixedpoint)"
  <proof>

lemma LeadsTo_fixedpoint: "Rprg  $\in$  UNIV LeadsTo fixedpoint"
  <proof>

lemma LeadsTo_correct: "Rprg  $\in$  UNIV LeadsTo { %v. (init, v)  $\in$  edges^* }"
  <proof>

end

theory Reachability imports "../Detects" Reach begin

types edge = "(vertex*vertex)"

```



```

record state =
  reach :: "vertex => bool"
  nmsg   :: "edge => nat"

consts root :: "vertex"
       E    :: "edge set"
       V    :: "vertex set"

inductive_set REACHABLE :: "edge set"
  where
    base: "v ∈ V ==> ((v,v) ∈ REACHABLE)"
  | step: "((u,v) ∈ REACHABLE) & (v,w) ∈ E ==> ((u,w) ∈ REACHABLE)"

constdefs
  reachable :: "vertex => state set"
  "reachable p == {s. reach s p}"

  nmsg_eq :: "nat => edge => state set"
  "nmsg_eq k == %e. {s. nmsg s e = k}"

  nmsg_gt :: "nat => edge => state set"
  "nmsg_gt k == %e. {s. k < nmsg s e}"

  nmsg_gte :: "nat => edge => state set"
  "nmsg_gte k == %e. {s. k ≤ nmsg s e}"

  nmsg_lte :: "nat => edge => state set"
  "nmsg_lte k == %e. {s. nmsg s e ≤ k}"

  final :: "state set"
  "final == (⋂ v∈V. reachable v <==> {s. (root, v) ∈ REACHABLE}) ∩
    (INTER E (nmsg_eq 0))"

axioms

  Graph1: "root ∈ V"

  Graph2: "(v,w) ∈ E ==> (v ∈ V) & (w ∈ V)"

  MA1: "F ∈ Always (reachable root)"

  MA2: "v ∈ V ==> F ∈ Always (¬ reachable v ∪ {s. ((root,v) ∈ REACHABLE)})"

  MA3: "[|v ∈ V; w ∈ V|] ==> F ∈ Always (¬(nmsg_gt 0 (v,w)) ∪ (reachable v))"

  MA4: "(v,w) ∈ E ==>
    F ∈ Always (¬(reachable v) ∪ (nmsg_gt 0 (v,w)) ∪ (reachable w))"

  MA5: "[|v ∈ V; w ∈ V|]
    ==> F ∈ Always (nmsg_gte 0 (v,w) ∩ nmsg_lte (Suc 0) (v,w))"

  MA6: "[|v ∈ V|] ==> F ∈ Stable (reachable v)"

```

MA6b: "[ $v \in V; w \in W$ ]  $\implies F \in \text{Stable } (\text{reachable } v \cap \text{nmsg\_lte } k \ (v, w))$ "

MA7: "[ $v \in V; w \in V$ ]  $\implies F \in \text{UNIV LeadsTo nmsg\_eq } 0 \ (v, w)$ "

lemmas E\_imp\_in\_V\_L = Graph2 [THEN conjunct1, standard]

lemmas E\_imp\_in\_V\_R = Graph2 [THEN conjunct2, standard]

lemma lemma2:

" $(v, w) \in E \implies F \in \text{reachable } v \text{ LeadsTo nmsg\_eq } 0 \ (v, w) \cap \text{reachable } v$ "  
 <proof>

lemma Induction\_base: " $(v, w) \in E \implies F \in \text{reachable } v \text{ LeadsTo reachable } w$ "  
 <proof>

lemma REACHABLE\_LeadsTo\_reachable:

" $(v, w) \in \text{REACHABLE} \implies F \in \text{reachable } v \text{ LeadsTo reachable } w$ "  
 <proof>

lemma Detects\_part1: " $F \in \{s. (\text{root}, v) \in \text{REACHABLE}\} \text{ LeadsTo reachable } v$ "  
 <proof>

lemma Reachability\_Detected:

" $v \in V \implies F \in (\text{reachable } v) \text{ Detects } \{s. (\text{root}, v) \in \text{REACHABLE}\}$ "  
 <proof>

lemma LeadsTo\_Reachability:

" $v \in V \implies F \in \text{UNIV LeadsTo } (\text{reachable } v \iff \{s. (\text{root}, v) \in \text{REACHABLE}\})$ "  
 <proof>

lemma Eq\_lemma1:

" $(\text{reachable } v \iff \{s. (\text{root}, v) \in \text{REACHABLE}\}) =$   
 $\{s. ((s \in \text{reachable } v) = ((\text{root}, v) \in \text{REACHABLE}))\}$ "  
 <proof>

lemma Eq\_lemma2:

" $(\text{reachable } v \iff (\text{if } (\text{root}, v) \in \text{REACHABLE} \text{ then UNIV else } \{\})) =$   
 $\{s. ((s \in \text{reachable } v) = ((\text{root}, v) \in \text{REACHABLE}))\}$ "  
 <proof>

lemma final\_lemma1:

```

"( $\bigcap v \in V. \bigcap w \in V. \{s. ((s \in \text{reachable } v) = ((\text{root}, v) \in \text{REACHABLE}))$ 
&
 $s \in \text{nmsg\_eq } 0 (v, w)\}$ )
 $\subseteq \text{final}$ "
<proof>

```

```

lemma final_lemma2:
  "E ≠ {}
  ==> ( $\bigcap v \in V. \bigcap e \in E. \{s. ((s \in \text{reachable } v) = ((\text{root}, v) \in \text{REACHABLE}))\}$ 
 $\cap \text{nmsg\_eq } 0 e) \subseteq \text{final}$ "
<proof>

```

```

lemma final_lemma3:
  "E ≠ {}
  ==> ( $\bigcap v \in V. \bigcap e \in E.
    (\text{reachable } v \iff \{s. (\text{root}, v) \in \text{REACHABLE}\}) \cap \text{nmsg\_eq } 0 e$ 
 $\subseteq \text{final}$ "
<proof>

```

```

lemma final_lemma4:
  "E ≠ {}
  ==> ( $\bigcap v \in V. \bigcap e \in E.
    \{s. ((s \in \text{reachable } v) = ((\text{root}, v) \in \text{REACHABLE}))\} \cap \text{nmsg\_eq } 0 e$ 
e)
 $= \text{final}$ "
<proof>

```

```

lemma final_lemma5:
  "E ≠ {}
  ==> ( $\bigcap v \in V. \bigcap e \in E.
    ((\text{reachable } v) \iff \{s. (\text{root}, v) \in \text{REACHABLE}\}) \cap \text{nmsg\_eq } 0 e$ 
 $= \text{final}$ "
<proof>

```

```

lemma final_lemma6:
  "( $\bigcap v \in V. \bigcap w \in V.
    (\text{reachable } v \iff \{s. (\text{root}, v) \in \text{REACHABLE}\}) \cap \text{nmsg\_eq } 0 (v, w)$ 
 $\subseteq \text{final}$ "
<proof>

```

```

lemma final_lemma7:
  "final =
  ( $\bigcap v \in V. \bigcap w \in V.
    ((\text{reachable } v) \iff \{s. (\text{root}, v) \in \text{REACHABLE}\}) \cap
    (\neg \{s. (v, w) \in E\} \cup (\text{nmsg\_eq } 0 (v, w))))$ "
<proof>

```

```

lemma not_REACHABLE_imp_Stable_not_reachable:
  "[| v ∈ V; (root,v) ∉ REACHABLE |] ==> F ∈ Stable (~ reachable v)"
<proof>

lemma Stable_reachable_EQ_R:
  "v ∈ V ==> F ∈ Stable (reachable v <==> {s. (root,v) ∈ REACHABLE})"
<proof>

lemma lemma4:
  "((nmsg_gte 0 (v,w) ∩ nmsg_lte (Suc 0) (v,w)) ∩
    (~ nmsg_gt 0 (v,w) ∪ A))
   ⊆ A ∪ nmsg_eq 0 (v,w)"
<proof>

lemma lemma5:
  "reachable v ∩ nmsg_eq 0 (v,w) =
    ((nmsg_gte 0 (v,w) ∩ nmsg_lte (Suc 0) (v,w)) ∩
     (reachable v ∩ nmsg_lte 0 (v,w)))"
<proof>

lemma lemma6:
  "~ nmsg_gt 0 (v,w) ∪ reachable v ⊆ nmsg_eq 0 (v,w) ∪ reachable v"
<proof>

lemma Always_reachable_OR_nmsg_0:
  "[| v ∈ V; w ∈ V |] ==> F ∈ Always (reachable v ∪ nmsg_eq 0 (v,w))"
<proof>

lemma Stable_reachable_AND_nmsg_0:
  "[| v ∈ V; w ∈ V |] ==> F ∈ Stable (reachable v ∩ nmsg_eq 0 (v,w))"
<proof>

lemma Stable_nmsg_0_OR_reachable:
  "[| v ∈ V; w ∈ V |] ==> F ∈ Stable (nmsg_eq 0 (v,w) ∪ reachable v)"
<proof>

lemma not_REACHABLE_imp_Stable_not_reachable_AND_nmsg_0:
  "[| v ∈ V; w ∈ V; (root,v) ∉ REACHABLE |]
   ==> F ∈ Stable (~ reachable v ∩ nmsg_eq 0 (v,w))"
<proof>

lemma Stable_reachable_EQ_R_AND_nmsg_0:
  "[| v ∈ V; w ∈ V |]
   ==> F ∈ Stable ((reachable v <==> {s. (root,v) ∈ REACHABLE}) ∩
    nmsg_eq 0 (v,w))"
<proof>

```

```

lemma UNIV_lemma: "UNIV  $\subseteq$  ( $\bigcap v \in V.$  UNIV)"
<proof>

lemmas UNIV_LeadsTo_completion =
  LeadsTo_weaken_L [OF Finite_stable_completion UNIV_lemma]

lemma LeadsTo_final_E_empty: "E={} ==> F  $\in$  UNIV LeadsTo final"
<proof>

lemma Leadsto_reachability_AND_nmsg_0:
  "[| v  $\in$  V; w  $\in$  V |]
  ==> F  $\in$  UNIV LeadsTo
    ((reachable v <==> {s. (root,v): REACHABLE})  $\cap$  nmsg_eq 0 (v,w))"
<proof>

lemma LeadsTo_final_E_NOT_empty: "E $\neq$ { } ==> F  $\in$  UNIV LeadsTo final"
<proof>

lemma LeadsTo_final: "F  $\in$  UNIV LeadsTo final"
<proof>

lemma Stable_final_E_empty: "E={} ==> F  $\in$  Stable final"
<proof>

lemma Stable_final_E_NOT_empty: "E $\neq$ { } ==> F  $\in$  Stable final"
<proof>

lemma Stable_final: "F  $\in$  Stable final"
<proof>

end

```

## 20 Analyzing the Needham-Schroeder Public-Key Protocol in UNITY

**theory** NSP\_Bad **imports** "../Auth/Public" "../UNITY\_Main" **begin**

This is the flawed version, vulnerable to Lowe's attack. From page 260 of Burrows, Abadi and Needham. A Logic of Authentication. Proc. Royal Soc. 426

(1989).

**types** state = "event list"

**constdefs**

```
Fake :: "(state*state) set"
  "Fake == {(s,s').
     $\exists B X. s' = \text{Says Spy } B X \# s$ 
    &  $X \in \text{synth } (\text{analz } (\text{spies } s))\}"$ 
```

```
NS1 :: "(state*state) set"
  "NS1 == {(s1,s').
     $\exists A1 B NA.$ 
     $s' = \text{Says } A1 B (\text{Crypt } (\text{pubK } B) \{| \text{Nonce } NA, \text{Agent } A1 | \}) \# s1$ 
    &  $\text{Nonce } NA \notin \text{used } s1\}"$ 
```

```
NS2 :: "(state*state) set"
  "NS2 == {(s2,s').
     $\exists A' A2 B NA NB.$ 
     $s' = \text{Says } B A2 (\text{Crypt } (\text{pubK } A2) \{| \text{Nonce } NA, \text{Nonce } NB | \}) \#$ 
s2
    &  $\text{Says } A' B (\text{Crypt } (\text{pubK } B) \{| \text{Nonce } NA, \text{Agent } A2 | \}) \in \text{set } s2$ 
    &  $\text{Nonce } NB \notin \text{used } s2\}"$ 
```

```
NS3 :: "(state*state) set"
  "NS3 == {(s3,s').
     $\exists A3 B' B NA NB.$ 
     $s' = \text{Says } A3 B (\text{Crypt } (\text{pubK } B) (\text{Nonce } NB)) \# s3$ 
    &  $\text{Says } A3 B (\text{Crypt } (\text{pubK } B) \{| \text{Nonce } NA, \text{Agent } A3 | \}) \in \text{set}$ 
s3
    &  $\text{Says } B' A3 (\text{Crypt } (\text{pubK } A3) \{| \text{Nonce } NA, \text{Nonce } NB | \}) \in \text{set}$ 
s3\}"
```

**constdefs**

```
Nprg :: "state program"
```

```
"Nprg == mk_total_program({[]}, {Fake, NS1, NS2, NS3}, UNIV)"
```

```
declare spies_partsEs [elim]
declare analz_into_parts [dest]
declare Fake_parts_insert_in_Un [dest]
```

For other theories, e.g. Mutex and Lift, using [iff] slows proofs down. Here, it facilitates re-use of the Auth proofs.

```
declare Fake_def [THEN def_act_simp, iff]
declare NS1_def [THEN def_act_simp, iff]
```

```
declare NS2_def [THEN def_act_simp, iff]
declare NS3_def [THEN def_act_simp, iff]
```

```
declare Nprg_def [THEN def_prg_Init, simp]
```

A "possibility property": there are traces that reach the end. Replace by LEAD-STO proof!

```
lemma "A ≠ B ==>
  ∃ NB. ∃ s ∈ reachable Nprg. Says A B (Crypt (pubK B) (Nonce NB)) ∈
  set s"
⟨proof⟩
```

## 20.1 Inductive Proofs about *ns\_public*

```
lemma ns_constrainsI:
  "(!!act s s'. [| act ∈ {Id, Fake, NS1, NS2, NS3};
                  (s,s') ∈ act; s ∈ A |] ==> s' ∈ A')
  ==> Nprg ∈ A co A'"
⟨proof⟩
```

This ML code does the inductions directly.

⟨ML⟩

Converts invariants into statements about reachable states

```
lemmas Always_Collect_reachableD =
  Always_includes_reachable [THEN subsetD, THEN CollectD]
```

Spy never sees another agent's private key! (unless it's bad at start)

```
lemma Spy_see_priK:
  "Nprg ∈ Always {s. (Key (priK A) ∈ parts (spies s)) = (A ∈ bad)}"
⟨proof⟩
declare Spy_see_priK [THEN Always_Collect_reachableD, simp]
```

```
lemma Spy_analz_priK:
  "Nprg ∈ Always {s. (Key (priK A) ∈ analz (spies s)) = (A ∈ bad)}"
⟨proof⟩
declare Spy_analz_priK [THEN Always_Collect_reachableD, simp]
```

## 20.2 Authenticity properties obtained from NS2

It is impossible to re-use a nonce in both NS1 and NS2 provided the nonce is secret. (Honest users generate fresh nonces.)

```
lemma no_nonce_NS1_NS2:
  "Nprg
  ∈ Always {s. Crypt (pubK C) {|NA', Nonce NA|} ∈ parts (spies s) -->
                Crypt (pubK B) {|Nonce NA, Agent A|} ∈ parts (spies s) -->
                Nonce NA ∈ analz (spies s)}"
⟨proof⟩
```

Adding it to the claset slows down proofs...

```
lemmas no_nonce_NS1_NS2_reachable =
  no_nonce_NS1_NS2 [THEN Always_Collect_reachableD, rule_format]
```

Unicity for NS1: nonce NA identifies agents A and B

```
lemma unique_NA_lemma:
  "Nprg
   ∈ Always {s. Nonce NA ∉ analz (spies s) -->
              Crypt(pubK B) {|Nonce NA, Agent A|} ∈ parts(spies s) -->
              Crypt(pubK B') {|Nonce NA, Agent A'|} ∈ parts(spies s) -->
              A=A' & B=B'}"
  <proof>
```

Unicity for NS1: nonce NA identifies agents A and B

```
lemma unique_NA:
  "[| Crypt(pubK B) {|Nonce NA, Agent A|} ∈ parts(spies s);
    Crypt(pubK B') {|Nonce NA, Agent A'|} ∈ parts(spies s);
    Nonce NA ∉ analz (spies s);
    s ∈ reachable Nprg |]
   ==> A=A' & B=B'"
  <proof>
```

Secrecy: Spy does not see the nonce sent in msg NS1 if A and B are secure

```
lemma Spy_not_see_NA:
  "[| A ∉ bad; B ∉ bad |]
   ==> Nprg ∈ Always
      {s. Says A B (Crypt(pubK B) {|Nonce NA, Agent A|}) ∈ set s
        --> Nonce NA ∉ analz (spies s)}"
  <proof>
```

Authentication for A: if she receives message 2 and has used NA to start a run, then B has sent message 2.

```
lemma A_trusts_NS2:
  "[| A ∉ bad; B ∉ bad |]
   ==> Nprg ∈ Always
      {s. Says A B (Crypt(pubK B) {|Nonce NA, Agent A|}) ∈ set s &
        Crypt(pubK A) {|Nonce NA, Nonce NB|} ∈ parts (knows Spy
s)
        --> Says B A (Crypt(pubK A) {|Nonce NA, Nonce NB|}) ∈ set s}"
  <proof>
```

If the encrypted message appears then it originated with Alice in NS1

```
lemma B_trusts_NS1:
  "Nprg ∈ Always
   {s. Nonce NA ∉ analz (spies s) -->
      Crypt (pubK B) {|Nonce NA, Agent A|} ∈ parts (spies s)
      --> Says A B (Crypt (pubK B) {|Nonce NA, Agent A|}) ∈ set s}"
  <proof>
```

## 20.3 Authenticity properties obtained from NS2

Unicity for NS2: nonce NB identifies nonce NA and agent A. Proof closely follows that of *unique\_NA*.

```
lemma unique_NB_lemma:
```



```

"Nprg
  ∈ Always {s. Nonce NB ∉ analz (spies s) -->
    Crypt (pubK A) {|Nonce NA, Nonce NB|} ∈ parts (spies s) -->
    Crypt (pubK A') {|Nonce NA', Nonce NB|} ∈ parts (spies s) -->
    A=A' & NA=NA'}"
⟨proof⟩

```

```

lemma unique_NB:
  "[| Crypt (pubK A) {|Nonce NA, Nonce NB|} ∈ parts (spies s);
    Crypt (pubK A') {|Nonce NA', Nonce NB|} ∈ parts (spies s);
    Nonce NB ∉ analz (spies s);
    s ∈ reachable Nprg |]
  ==> A=A' & NA=NA'"
⟨proof⟩

```

NB remains secret PROVIDED Alice never responds with round 3

```

lemma Spy_not_see_NB:
  "[| A ∉ bad; B ∉ bad |]
  ==> Nprg ∈ Always
    {s. Says B A (Crypt (pubK A) {|Nonce NA, Nonce NB|}) ∈ set s
  &
    (ALL C. Says A C (Crypt (pubK C) (Nonce NB)) ∉ set s
    --> Nonce NB ∉ analz (spies s))}"
⟨proof⟩

```

Authentication for B: if he receives message 3 and has used NB in message 2, then A has sent message 3—to somebody....

```

lemma B_trusts_NS3:
  "[| A ∉ bad; B ∉ bad |]
  ==> Nprg ∈ Always
    {s. Crypt (pubK B) (Nonce NB) ∈ parts (spies s) &
    Says B A (Crypt (pubK A) {|Nonce NA, Nonce NB|}) ∈ set
  s
    --> (∃ C. Says A C (Crypt (pubK C) (Nonce NB)) ∈ set s)}"
⟨proof⟩

```

Can we strengthen the secrecy theorem? NO

```

lemma "[| A ∉ bad; B ∉ bad |]
  ==> Nprg ∈ Always
    {s. Says B A (Crypt (pubK A) {|Nonce NA, Nonce NB|}) ∈ set s
    --> Nonce NB ∉ analz (spies s)}"
⟨proof⟩

```

end

```

theory Handshake imports "../UNITY_Main" begin

```

```

record state =
  BB :: bool
  NF :: nat
  NG :: nat

constdefs

  cmdF :: "(state*state) set"
  "cmdF == {(s,s'). s' = s (|NF:= Suc(NF s), BB:=False|) & BB s}"

  F :: "state program"
  "F == mk_total_program ({s. NF s = 0 & BB s}, {cmdF}, UNIV)"

  cmdG :: "(state*state) set"
  "cmdG == {(s,s'). s' = s (|NG:= Suc(NG s), BB:=True|) & ~ BB s}"

  G :: "state program"
  "G == mk_total_program ({s. NG s = 0 & BB s}, {cmdG}, UNIV)"

  invFG :: "state set"
  "invFG == {s. NG s <= NF s & NF s <= Suc (NG s) & (BB s = (NF s = NG s))}"

declare F_def [THEN def_prg_Init, simp]
          G_def [THEN def_prg_Init, simp]

          cmdF_def [THEN def_act_simp, simp]
          cmdG_def [THEN def_act_simp, simp]

          invFG_def [THEN def_set_simp, simp]

lemma invFG: "(F Join G) : Always invFG"
  <proof>

lemma lemma2_1: "(F Join G) : ({s. NF s = k} - {s. BB s}) LeadsTo
  ({s. NF s = k} Int {s. BB s})"
  <proof>

lemma lemma2_2: "(F Join G) : ({s. NF s = k} Int {s. BB s}) LeadsTo
  {s. k < NF s}"
  <proof>

lemma progress: "(F Join G) : UNIV LeadsTo {s. m < NF s}"
  <proof>

end

```

## 21 A Family of Similar Counters: Original Version

```

theory Counter imports "../UNITY_Main" begin

datatype name = C | c nat
types state = "name=>int"

consts
  sum  :: "[nat,state]=>int"
  sumj :: "[nat, nat, state]=>int"

primrec
  "sum 0 s = 0"
  "sum (Suc i) s = s (c i) + sum i s"

primrec
  "sumj 0 i s = 0"
  "sumj (Suc n) i s = (if n=i then sum n s else s (c n) + sumj n i s)"

types command = "(state*state)set"

constdefs
  a :: "nat=>command"
  "a i == {(s, s'). s'=s(c i:= s (c i) + 1, C:= s C + 1)}"

  Component :: "nat => state program"
  "Component i ==
    mk_total_program({s. s C = 0 & s (c i) = 0}, {a i},
       $\bigcup G \in \text{preserves } (\%s. s (c i)). \text{Acts } G$ )"

declare Component_def [THEN def_prg_Init, simp]
declare a_def [THEN def_act_simp, simp]

lemma sum_upd_gt [rule_format]: " $\forall n. I < n \rightarrow \text{sum } I (s(c\ n := x)) = \text{sum } I\ s$ "
  <proof>

lemma sum_upd_eq: "sum I (s(c I := x)) = sum I s"
  <proof>

lemma sum_upd_C: "sum I (s(C := x)) = sum I s"
  <proof>

lemma sumj_upd_ci: "sumj I i (s(c i := x)) = sumj I i s"
  <proof>

lemma sumj_upd_C: "sumj I i (s(C := x)) = sumj I i s"
  <proof>

```

```

lemma sumj_sum_gt [rule_format]: " $\forall i. I < i \rightarrow (\text{sumj } I \ i \ s = \text{sum } I \ s)$ "
  <proof>

lemma sumj_sum_eq: " $(\text{sumj } I \ I \ s = \text{sum } I \ s)$ "
  <proof>

lemma sum_sumj [rule_format]: " $\forall i. i < I \rightarrow (\text{sum } I \ s = s \ (c \ i) + \text{sumj } I \ i \ s)$ "
  <proof>

lemma p2: "Component  $i \in \text{stable } \{s. s \ C = s \ (c \ i) + k\}$ "
  <proof>

lemma p3: "Component  $i \in \text{stable } \{s. \forall v. v \neq c \ i \ \& \ v \neq C \ \rightarrow s \ v = k \ v\}$ "
  <proof>

lemma p2_p3_lemma1:
  " $(\forall k. \text{Component } i \in \text{stable } (\{s. s \ C = s \ (c \ i) + \text{sumj } I \ i \ k\} \cap \{s. \forall v. v \neq c \ i \ \& \ v \neq C \ \rightarrow s \ v = k \ v\}))$ "
  = (Component  $i \in \text{stable } \{s. s \ C = s \ (c \ i) + \text{sumj } I \ i \ s\})$ "
  <proof>

lemma p2_p3_lemma2:
  " $\forall k. \text{Component } i \in \text{stable } (\{s. s \ C = s \ (c \ i) + \text{sumj } I \ i \ k\} \text{ Int } \{s. \forall v. v \neq c \ i \ \& \ v \neq C \ \rightarrow s \ v = k \ v\})$ "
  <proof>

lemma p2_p3: "Component  $i \in \text{stable } \{s. s \ C = s \ (c \ i) + \text{sumj } I \ i \ s\}$ "
  <proof>

lemma sum_0' [rule_format]: " $(\forall i. i < I \rightarrow s \ (c \ i) = 0) \rightarrow \text{sum } I \ s = 0$ "
  <proof>

lemma safety:
  " $0 < I \Rightarrow (\bigsqcup i \in \{i. i < I\}. \text{Component } i) \in \text{invariant } \{s. s \ C = \text{sum } I \ s\}$ "
  <proof>

end

```

## 22 A Family of Similar Counters: Version with Compatibility

```

theory Counterc imports "../UNITY_Main" begin

```

```

typedecl state

```

```

consts
  C :: "state=>int"
  c :: "state=>nat=>int"

consts
  sum  :: "[nat,state]=>int"
  sumj :: "[nat, nat, state]=>int"

primrec
  "sum 0 s = 0"
  "sum (Suc i) s = (c s) i + sum i s"

primrec
  "sumj 0 i s = 0"
  "sumj (Suc n) i s = (if n=i then sum n s else (c s) n + sumj n i s)"

types command = "(state*state)set"

constdefs
  a :: "nat=>command"
  "a i == {(s, s'). (c s') i = (c s) i + 1 & (C s') = (C s) + 1}"

  Component :: "nat => state program"
  "Component i == mk_total_program({s. C s = 0 & (c s) i = 0},
    {a i},
     $\bigcup G \in \text{preserves } (\%s. (c s) i). \text{Acts } G$ )"

declare Component_def [THEN def_prg_Init, simp]
declare Component_def [THEN def_prg_AllowedActs, simp]
declare a_def [THEN def_act_simp, simp]

lemma sum_sumj_eq1 [rule_format]: " $\forall i. I < i \rightarrow (\text{sum } I s = \text{sumj } I i s)$ "
  <proof>

lemma sum_sumj_eq2 [rule_format]: " $i < I \rightarrow \text{sum } I s = c s i + \text{sumj } I i s$ "
  <proof>

lemma sum_ext [rule_format]:
  " $(\forall i. i < I \rightarrow c s' i = c s i) \rightarrow (\text{sum } I s' = \text{sum } I s)$ "
  <proof>

lemma sumj_ext [rule_format]:
  " $(\forall j. j < I \ \& \ j \neq i \rightarrow c s' j = c s j) \rightarrow (\text{sumj } I i s' = \text{sumj } I i s)$ "
  <proof>

lemma sum0 [rule_format]: " $(\forall i. i < I \rightarrow c s i = 0) \rightarrow \text{sum } I s = 0$ "
  <proof>

```

```

lemma Component_ok_iff:
  "(Component i ok G) =
    (G ∈ preserves (%s. c s i) & Component i ∈ Allowed G)"
  <proof>
declare Component_ok_iff [iff]
declare OK_iff_ok [iff]
declare preserves_def [simp]

lemma p2: "Component i ∈ stable {s. C s = (c s) i + k}"
  <proof>

lemma p3:
  "[| OK I Component; i ∈ I |]
   ==> Component i ∈ stable {s. ∀ j ∈ I. j ≠ i --> c s j = c k j}"
  <proof>

lemma p2_p3_lemma1:
  "[| OK {i. i < I} Component; i < I |] ==>
    ∀ k. Component i ∈ stable ({s. C s = c s i + sum j I i k} Int
                               {s. ∀ j ∈ {i. i < I}. j ≠ i --> c s j = c k j})"
  <proof>

lemma p2_p3_lemma2:
  "(∀ k. F ∈ stable ({s. C s = (c s) i + sum j I i k} Int
                    {s. ∀ j ∈ {i. i < I}. j ≠ i --> c s j = c k j}))
   ==> (F ∈ stable {s. C s = c s i + sum j I i s})"
  <proof>

lemma p2_p3:
  "[| OK {i. i < I} Component; i < I |]
   ==> Component i ∈ stable {s. C s = c s i + sum j I i s}"
  <proof>

lemma safety:
  "[| 0 < I; OK {i. i < I} Component |]
   ==> (⋂ i ∈ {i. i < I}. (Component i)) ∈ invariant {s. C s = sum I s}"
  <proof>

end

theory PriorityAux
imports "../UNITY_Main"
begin

typedecl vertex

```

```

constdefs
  symcl :: "(vertex*vertex)set=>(vertex*vertex)set"
  "symcl r == r  $\cup$  (r-1)"
  — symmetric closure: removes the orientation of a relation

  neighbors :: "[vertex, (vertex*vertex)set]=>vertex set"
  "neighbors i r == ((r  $\cup$  r-1) `` {i}) - {i}"
  — Neighbors of a vertex i

  R :: "[vertex, (vertex*vertex)set]=>vertex set"
  "R i r == r `` {i}"

  A :: "[vertex, (vertex*vertex)set]=>vertex set"
  "A i r == (r-1) `` {i}"

  reach :: "[vertex, (vertex*vertex)set]=> vertex set"
  "reach i r == (r+) `` {i}"
  — reachable and above vertices: the original notation was R* and A*

  above :: "[vertex, (vertex*vertex)set]=> vertex set"
  "above i r == ((r-1)+) `` {i}"

  reverse :: "[vertex, (vertex*vertex) set]=>(vertex*vertex)set"
  "reverse i r == (r - {(x,y). x=i | y=i}  $\cap$  r)  $\cup$  {(x,y). x=i/y=i}  $\cap$  r)-1"

  derive1 :: "[vertex, (vertex*vertex)set, (vertex*vertex)set]=>bool"
  — The original definition
  "derive1 i r q == symcl r = symcl q &
    ( $\forall k k'. k \neq i \ \& \ k' \neq i \ \rightarrow ((k,k'):r) = ((k,k'):q)$ ) &
    A i r = {} & R i q = {}"

  derive :: "[vertex, (vertex*vertex)set, (vertex*vertex)set]=>bool"
  — Our alternative definition
  "derive i r q == A i r = {} & (q = reverse i r)"

axioms
  finite_vertex_univ: "finite (UNIV :: vertex set)"
  — we assume that the universe of vertices is finite

declare derive_def [simp] derive1_def [simp] symcl_def [simp]
  A_def [simp] R_def [simp]
  above_def [simp] reach_def [simp]
  reverse_def [simp] neighbors_def [simp]

  All vertex sets are finite

declare finite_subset [OF subset_UNIV finite_vertex_univ, iff]

  and relations over vertex are finite too

lemmas finite_UNIV_Prod =
  finite_Prod_UNIV [OF finite_vertex_univ finite_vertex_univ]

declare finite_subset [OF subset_UNIV finite_UNIV_Prod, iff]

```

**lemma** *image0\_trancl\_iff\_image0\_r*: " $(r^+)^{\{i\} = \{j\}} = (r^{\{i\} = \{j\}})$ "  
 <proof>

**lemma** *image0\_r\_iff\_image0\_trancl*: " $(r^{\{i\} = \{j\}}) = (\text{ALL } x. ((i, x):r^+) = \text{False})$ "  
 <proof>

**lemma** *acyclic\_eq\_wf*: " $!!r::(\text{vertex}*\text{vertex})\text{set}. \text{acyclic } r = \text{wf } r$ "  
 <proof>

**lemma** *derive\_derivel\_eq*: " $\text{derive } i \ r \ q = \text{derivel } i \ r \ q$ "  
 <proof>

**lemma** *lemma1\_a*:  
 " $[| x \in \text{reach } i \ q; \text{derivel } k \ r \ q |] \implies x \neq k \implies x \in \text{reach } i \ r$ "  
 <proof>

**lemma** *reach\_lemma*: " $\text{derive } k \ r \ q \implies \text{reach } i \ q \subseteq (\text{reach } i \ r \cup \{k\})$ "  
 <proof>

**lemma** *reach\_above\_lemma*:  
 " $(\forall i. \text{reach } i \ q \subseteq (\text{reach } i \ r \cup \{k\})) =$   
 $(\forall x. x \neq k \implies (\forall i. i \notin \text{above } x \ r \implies i \notin \text{above } x \ q))$ "  
 <proof>

**lemma** *maximal\_converse\_image0*:  
 " $(z, i):r^+ \implies (\forall y. (y, z):r \implies (y, i) \notin r^+) = ((r^{-1})^{\{z\} = \{i\}})$ "  
 <proof>

**lemma** *above\_lemma\_a*:  
 " $\text{acyclic } r \implies A \ i \ r \neq \{j\} \implies (\exists j \in \text{above } i \ r. A \ j \ r = \{j\})$ "  
 <proof>

**lemma** *above\_lemma\_b*:  
 " $\text{acyclic } r \implies \text{above } i \ r \neq \{j\} \implies (\exists j \in \text{above } i \ r. \text{above } j \ r = \{j\})$ "  
 <proof>

**end**

## 23 The priority system

**theory** *Priority* **imports** *PriorityAux* **begin**

From Charpentier and Chandy, Examples of Program Composition Illustrating the Use of Universal Properties In J. Rolim (editor), Parallel and Distributed



Processing, Springer LNCS 1586 (1999), pages 1215-1227.

```
types state = "(vertex*vertex)set"
types command = "vertex=>(state*state)set"
```

```
consts
  init :: "(vertex*vertex)set"
  — the initial state
```

Following the definitions given in section 4.4

```
constdefs
  highest :: "[vertex, (vertex*vertex)set]=>bool"
  "highest i r == A i r = {}"
  — i has highest priority in r

  lowest :: "[vertex, (vertex*vertex)set]=>bool"
  "lowest i r == R i r = {}"
  — i has lowest priority in r

  act :: command
  "act i == {(s, s'). s'=reverse i s & highest i s}"

  Component :: "vertex=>state program"
  "Component i == mk_total_program({init}, {act i}, UNIV)"
  — All components start with the same initial state
```

Some Abbreviations

```
constdefs
  Highest :: "vertex=>state set"
  "Highest i == {s. highest i s}"

  Lowest :: "vertex=>state set"
  "Lowest i == {s. lowest i s}"

  Acyclic :: "state set"
  "Acyclic == {s. acyclic s}"

  Maximal :: "state set"
  — Every “above” set has a maximal vertex
  "Maximal ==  $\bigcap i. \{s. \sim \text{highest } i \text{ s} \rightarrow (\exists j \in \text{above } i \text{ s. highest } j \text{ s})\}$ "

  Maximal' :: "state set"
  — Maximal vertex: equivalent definition
  "Maximal' ==  $\bigcap i. \text{Highest } i \text{ Un } (\bigcup j. \{s. j \in \text{above } i \text{ s}\} \text{ Int Highest } j)$ "

  Safety :: "state set"
  "Safety ==  $\bigcap i. \{s. \text{highest } i \text{ s} \rightarrow (\forall j \in \text{neighbors } i \text{ s. } \sim \text{highest } j \text{ s})\}$ "

  system :: "state program"
```

"system == JN i. Component i"

```

declare highest_def [simp] lowest_def [simp]
declare Highest_def [THEN def_set_simp, simp]
      and Lowest_def [THEN def_set_simp, simp]

declare Component_def [THEN def_prg_Init, simp]
declare act_def [THEN def_act_simp, simp]

```

### 23.1 Component correctness proofs

neighbors is stable

**lemma** *Component\_neighbors\_stable*: "Component i  $\in$  stable {s. neighbors k s = n}"  
 <proof>

property 4

**lemma** *Component\_waits\_priority*: "Component i: {s. ((i,j):s) = b} Int (- Highest i) co {s. ((i,j):s)=b}"  
 <proof>

property 5: charpentier and Chandy mistakenly express it as 'transient Highest i'. Consider the case where i has neighbors

**lemma** *Component\_yields\_priority*:  
 "Component i: {s. neighbors i s  $\neq$  {}} Int Highest i  
 ensures - Highest i"  
 <proof>

or better

**lemma** *Component\_yields\_priority'*: "Component i  $\in$  Highest i ensures Lowest i"  
 <proof>

property 6: Component doesn't introduce cycle

**lemma** *Component\_well\_behaves*: "Component i  $\in$  Highest i co Highest i Un Lowest i"  
 <proof>

property 7: local axiom

**lemma** *locality*: "Component i  $\in$  stable {s.  $\forall j k. j \neq i \ \& \ k \neq i \rightarrow ((j,k):s) = b \ j \ k$ }"  
 <proof>

### 23.2 System properties

property 8: strictly universal

**lemma** *Safety*: "system  $\in$  stable Safety"  
 <proof>

property 13: universal

**lemma** p13: "system  $\in \{s. s = q\} \text{ co } \{s. s=q\} \text{ Un } \{s. \exists i. \text{derive } i \text{ q } s\}$ "  
 <proof>

property 14: the 'above set' of a Component that hasn't got priority doesn't increase

**lemma** above\_not\_increase:  
 "system  $\in \neg \text{Highest } i \text{ Int } \{s. j \notin \text{above } i \text{ s}\} \text{ co } \{s. j \notin \text{above } i \text{ s}\}$ "  
 <proof>

**lemma** above\_not\_increase':  
 "system  $\in \neg \text{Highest } i \text{ Int } \{s. \text{above } i \text{ s} = x\} \text{ co } \{s. \text{above } i \text{ s} \leq x\}$ "  
 <proof>

p15: universal property: all Components well behave

**lemma** system\_well\_behaves [rule\_format]:  
 " $\forall i. \text{system} \in \text{Highest } i \text{ co } \text{Highest } i \text{ Un } \text{Lowest } i$ "  
 <proof>

**lemma** Acyclic\_eq: "Acyclic =  $(\bigcap i. \{s. i \notin \text{above } i \text{ s}\})$ "  
 <proof>

**lemmas** system\_co =  
 constrains\_Un [OF above\_not\_increase [rule\_format] system\_well\_behaves]

**lemma** Acyclic\_stable: "system  $\in \text{stable Acyclic}$ "  
 <proof>

**lemma** Acyclic\_subset\_Maximal: "Acyclic  $\leq$  Maximal"  
 <proof>

property 17: original one is an invariant

**lemma** Acyclic\_Maximal\_stable: "system  $\in \text{stable (Acyclic Int Maximal)}$ "  
 <proof>

property 5: existential property

**lemma** Highest\_leadsTo\_Lowest: "system  $\in \text{Highest } i \text{ leadsTo } \text{Lowest } i$ "  
 <proof>

a lowest i can never be in any above set

**lemma** Lowest\_above\_subset: "Lowest i  $\leq (\bigcap k. \{s. i \notin \text{above } k \text{ s}\})$ "  
 <proof>

property 18: a simpler proof than the original, one which uses psp

**lemma** Highest\_escapes\_above: "system  $\in \text{Highest } i \text{ leadsTo } (\bigcap k. \{s. i \notin \text{above } k \text{ s}\})$ "  
 <proof>

**lemma** Highest\_escapes\_above':  
 "system  $\in \text{Highest } j \text{ Int } \{s. j \in \text{above } i \text{ s}\} \text{ leadsTo } \{s. j \notin \text{above } i \text{ s}\}$ "  
 <proof>

### 23.3 The main result: above set decreases

The original proof of the following formula was wrong

```
lemma Highest_iff_above0: "Highest i = {s. above i s = {}}"  
⟨proof⟩
```

```
lemmas above_decreases_lemma =  
  psp [THEN leadsTo_weaken, OF Highest_escapes_above' above_not_increase']
```

```
lemma above_decreases:  
  "system ∈ (⋃ j. {s. above i s = x} Int {s. j ∈ above i s} Int Highest  
  j)  
  leadsTo {s. above i s < x}"  
⟨proof⟩
```

```
lemma Maximal_eq_Maximal': "Maximal = Maximal'"  
⟨proof⟩
```

```
lemma Acyclic_subset:  
  "x ≠ {} ==>  
  Acyclic Int {s. above i s = x} <=  
  (⋃ j. {s. above i s = x} Int {s. j ∈ above i s} Int Highest j)"  
⟨proof⟩
```

```
lemmas above_decreases' = leadsTo_weaken_L [OF above_decreases Acyclic_subset]  
lemmas above_decreases_psp = psp_stable [OF above_decreases' Acyclic_stable]
```

```
lemma above_decreases_psp':  
  "x ≠ {} ==> system ∈ Acyclic Int {s. above i s = x} leadsTo  
  Acyclic Int {s. above i s < x}"  
⟨proof⟩
```

```
lemmas finite_psubset_induct = wf_finite_psubset [THEN leadsTo_wf_induct]
```

```
lemma Progress: "system ∈ Acyclic leadsTo Highest i"  
⟨proof⟩
```

We have proved all (relevant) theorems given in the paper. We didn't assume any thing about the relation  $r$ . It is not necessary that  $r$  be a priority relation as assumed in the original proof. It suffices that we start from a state which is finite and acyclic.

end

```
theory TimerArray imports "../UNITY_Main" begin
```

```
types 'a state = "nat * 'a"
```

```

constdefs
  count  :: "'a state => nat"
          "count s == fst s"

  decr   :: "('a state * 'a state) set"
          "decr == UN n uu. {((Suc n, uu), (n,uu))}"

  Timer  :: "'a state program"
          "Timer == mk_total_program (UNIV, {decr}, UNIV)"

declare Timer_def [THEN def_prg_Init, simp]

declare count_def [simp] decr_def [simp]

lemma Timer_leadsTo_zero: "Timer : UNIV leadsTo {s. count s = 0}"
  <proof>

lemma Timer_preserves_snd [iff]: "Timer : preserves snd"
  <proof>

declare PLam_stable [simp]

lemma TimerArray_leadsTo_zero:
  "finite I
   ==> (plam i: I. Timer) : UNIV leadsTo {(s,uu). ALL i:I. s i = 0}"
  <proof>

end

```

## 24 Progress Set Examples

**theory** Progress imports "../UNITY\_Main" begin

### 24.1 The Composition of Two Single-Assignment Programs

Thesis Section 4.4.2

```

constdefs
  FF :: "int program"
      "FF == mk_total_program (UNIV, {range ( $\lambda x. (x, x+1)$ )}, UNIV)"

  GG :: "int program"
      "GG == mk_total_program (UNIV, {range ( $\lambda x. (x, 2*x)$ )}, UNIV)"

```

#### 24.1.1 Calculating wens\_set FF {k..}

```

lemma Domain_actFF: "Domain (range ( $\lambda x::int. (x, x + 1)$ )) = UNIV"
  <proof>

```

```

lemma FF_eq:
  "FF = mk_program (UNIV, {range ( $\lambda x. (x, x+1)$ )}, UNIV)"
  <proof>

lemma wp_actFF:
  "wp (range ( $\lambda x::\text{int}. (x, x + 1)$ )) (atLeast k) = atLeast (k - 1)"
  <proof>

lemma wens_FF: "wens FF (range ( $\lambda x. (x, x+1)$ )) (atLeast k) = atLeast (k - 1)"
  <proof>

lemma single_valued_actFF: "single_valued (range ( $\lambda x::\text{int}. (x, x + 1)$ ))"
  <proof>

lemma wens_single_finite_FF:
  "wens_single_finite (range ( $\lambda x. (x, x+1)$ )) (atLeast k) n =
    atLeast (k - int n)"
  <proof>

lemma wens_single_FF_eq_UNIV:
  "wens_single (range ( $\lambda x::\text{int}. (x, x + 1)$ )) (atLeast k) = UNIV"
  <proof>

lemma wens_set_FF:
  "wens_set FF (atLeast k) = insert UNIV (atLeast ' atMost k)"
  <proof>

```

#### 24.1.2 Proving $FF \in UNIV \text{ leadsTo } \{k..\}$

```

lemma atLeast_ensures: "FF  $\in$  atLeast (k - 1) ensures atLeast (k::int)"
  <proof>

lemma atLeast_leadsTo: "FF  $\in$  atLeast (k - int n) leadsTo atLeast (k::int)"
  <proof>

lemma UN_atLeast_UNIV: " $(\bigcup n. \text{atLeast } (k - \text{int } n)) = UNIV$ "
  <proof>

lemma FF_leadsTo: "FF  $\in UNIV \text{ leadsTo } \text{atLeast } (k::\text{int})$ "
  <proof>

```

Result (4.39): Applying the leadsTo-Join Theorem

```

theorem "FF  $\sqcup$  GG  $\in$  atLeast 0 leadsTo atLeast (k::int)"
  <proof>

```

end

## 25 Projections of State Sets

```

theory Project imports Extend begin

```

**constdefs**

```
projecting :: "[ 'c program => 'c set, 'a*'b => 'c,
               'a program, 'c program set, 'a program set ] => bool"
"projecting C h F X' X ==
  ∀ G. extend h F ⊔ G ∈ X' --> F ⊔ project h (C G) G ∈ X"

extending :: "[ 'c program => 'c set, 'a*'b => 'c, 'a program,
               'c program set, 'a program set ] => bool"
"extending C h F Y' Y ==
  ∀ G. extend h F ok G --> F ⊔ project h (C G) G ∈ Y
  --> extend h F ⊔ G ∈ Y'"

subset_closed :: "'a set set => bool"
"subset_closed U == ∀ A ∈ U. Pow A ⊆ U"
```

**lemma** (in Extend) project\_extend\_constrains\_I:  
 "F ∈ A co B ==> project h C (extend h F) ∈ A co B"  
 <proof>

## 25.1 Safety

**lemma** (in Extend) project\_unless [rule\_format]:  
 "[| G ∈ stable C; project h C G ∈ A unless B |]  
 ==> G ∈ (C ∩ extend\_set h A) unless (extend\_set h B)"  
 <proof>

**lemma** (in Extend) Join\_project\_constrains:  
 "(F ⊔ project h C G ∈ A co B) =  
 (extend h F ⊔ G ∈ (C ∩ extend\_set h A) co (extend\_set h B) &  
 F ∈ A co B)"  
 <proof>

**lemma** (in Extend) Join\_project\_stable:  
 "extend h F ⊔ G ∈ stable C  
 ==> (F ⊔ project h C G ∈ stable A) =  
 (extend h F ⊔ G ∈ stable (C ∩ extend\_set h A) &  
 F ∈ stable A)"  
 <proof>

**lemma** (in Extend) project\_constrains\_I:  
 "extend h F ⊔ G ∈ extend\_set h A co extend\_set h B  
 ==> F ⊔ project h C G ∈ A co B"  
 <proof>

**lemma** (in Extend) project\_increasing\_I:  
 "extend h F ⊔ G ∈ increasing (func o f)  
 ==> F ⊔ project h C G ∈ increasing func"  
 <proof>

**lemma** (in Extend) Join\_project\_increasing:

```

"(F⊔project h UNIV G ∈ increasing func) =
  (extend h F⊔G ∈ increasing (func o f))"
⟨proof⟩

```

```

lemma (in Extend) project_constrains_D:
  "F⊔project h UNIV G ∈ A co B
  ==> extend h F⊔G ∈ extend_set h A co extend_set h B"
⟨proof⟩

```

## 25.2 "projecting" and union/intersection (no converses)

```

lemma projecting_Int:
  "[| projecting C h F XA' XA; projecting C h F XB' XB |]
  ==> projecting C h F (XA' ∩ XB') (XA ∩ XB)"
⟨proof⟩

```

```

lemma projecting_Un:
  "[| projecting C h F XA' XA; projecting C h F XB' XB |]
  ==> projecting C h F (XA' ∪ XB') (XA ∪ XB)"
⟨proof⟩

```

```

lemma projecting_INT:
  "[| !!i. i ∈ I ==> projecting C h F (X' i) (X i) |]
  ==> projecting C h F (⋂ i ∈ I. X' i) (⋂ i ∈ I. X i)"
⟨proof⟩

```

```

lemma projecting_UN:
  "[| !!i. i ∈ I ==> projecting C h F (X' i) (X i) |]
  ==> projecting C h F (⋃ i ∈ I. X' i) (⋃ i ∈ I. X i)"
⟨proof⟩

```

```

lemma projecting_weaken:
  "[| projecting C h F X' X; U' <= X'; X ⊆ U |] ==> projecting C h F U'
  U"
⟨proof⟩

```

```

lemma projecting_weaken_L:
  "[| projecting C h F X' X; U' <= X' |] ==> projecting C h F U' X"
⟨proof⟩

```

```

lemma extending_Int:
  "[| extending C h F YA' YA; extending C h F YB' YB |]
  ==> extending C h F (YA' ∩ YB') (YA ∩ YB)"
⟨proof⟩

```

```

lemma extending_Un:
  "[| extending C h F YA' YA; extending C h F YB' YB |]
  ==> extending C h F (YA' ∪ YB') (YA ∪ YB)"
⟨proof⟩

```

```

lemma extending_INT:
  "[| !!i. i ∈ I ==> extending C h F (Y' i) (Y i) |]
  ==> extending C h F (⋂ i ∈ I. Y' i) (⋂ i ∈ I. Y i)"

```



*<proof>*

**lemma** *extending\_UN*:

"[| !!i. i ∈ I ==> extending C h F (Y' i) (Y i) |]  
==> extending C h F (⋃ i ∈ I. Y' i) (⋃ i ∈ I. Y i)"

*<proof>*

**lemma** *extending\_weaken*:

"[| extending C h F Y' Y; Y' ≤ V'; V ⊆ Y |] ==> extending C h F V' V"

*<proof>*

**lemma** *extending\_weaken\_L*:

"[| extending C h F Y' Y; Y' ≤ V' |] ==> extending C h F V' Y"

*<proof>*

**lemma** *projecting\_UNIV*: "projecting C h F X' UNIV"

*<proof>*

**lemma** (in *Extend*) *projecting\_constrains*:

"projecting C h F (extend\_set h A co extend\_set h B) (A co B)"

*<proof>*

**lemma** (in *Extend*) *projecting\_stable*:

"projecting C h F (stable (extend\_set h A)) (stable A)"

*<proof>*

**lemma** (in *Extend*) *projecting\_increasing*:

"projecting C h F (increasing (func o f)) (increasing func)"

*<proof>*

**lemma** (in *Extend*) *extending\_UNIV*: "extending C h F UNIV Y"

*<proof>*

**lemma** (in *Extend*) *extending\_constrains*:

"extending (%G. UNIV) h F (extend\_set h A co extend\_set h B) (A co B)"

*<proof>*

**lemma** (in *Extend*) *extending\_stable*:

"extending (%G. UNIV) h F (stable (extend\_set h A)) (stable A)"

*<proof>*

**lemma** (in *Extend*) *extending\_increasing*:

"extending (%G. UNIV) h F (increasing (func o f)) (increasing func)"

*<proof>*

## 25.3 Reachability and project

**lemma** (in *Extend*) *reachable\_imp\_reachable\_project*:

"[| reachable (extend h F ⊔ G) ⊆ C;  
z ∈ reachable (extend h F ⊔ G) |]  
==> f z ∈ reachable (F ⊔ project h C G)"

*<proof>*

**lemma** (in *Extend*) *project\_Constrains\_D*:

```

    "F⊔project h (reachable (extend h F⊔G)) G ∈ A Co B
    ==> extend h F⊔G ∈ (extend_set h A) Co (extend_set h B)"
  <proof>

```

```

lemma (in Extend) project_Stable_D:
  "F⊔project h (reachable (extend h F⊔G)) G ∈ Stable A
  ==> extend h F⊔G ∈ Stable (extend_set h A)"
  <proof>

```

```

lemma (in Extend) project_Always_D:
  "F⊔project h (reachable (extend h F⊔G)) G ∈ Always A
  ==> extend h F⊔G ∈ Always (extend_set h A)"
  <proof>

```

```

lemma (in Extend) project_Increasing_D:
  "F⊔project h (reachable (extend h F⊔G)) G ∈ Increasing func
  ==> extend h F⊔G ∈ Increasing (func o f)"
  <proof>

```

## 25.4 Converse results for weak safety: benefits of the argument C

```

lemma (in Extend) reachable_project_imp_reachable:
  "[| C ⊆ reachable(extend h F⊔G);
    x ∈ reachable (F⊔project h C G) |]
  ==> ∃y. h(x,y) ∈ reachable (extend h F⊔G)"
  <proof>

```

```

lemma (in Extend) project_set_reachable_extend_eq:
  "project_set h (reachable (extend h F⊔G)) =
  reachable (F⊔project h (reachable (extend h F⊔G)) G)"
  <proof>

```

```

lemma (in Extend) reachable_extend_Join_subset:
  "reachable (extend h F⊔G) ⊆ C
  ==> reachable (extend h F⊔G) ⊆
    extend_set h (reachable (F⊔project h C G))"
  <proof>

```

```

lemma (in Extend) project_Constrains_I:
  "extend h F⊔G ∈ (extend_set h A) Co (extend_set h B)
  ==> F⊔project h (reachable (extend h F⊔G)) G ∈ A Co B"
  <proof>

```

```

lemma (in Extend) project_Stable_I:
  "extend h F⊔G ∈ Stable (extend_set h A)
  ==> F⊔project h (reachable (extend h F⊔G)) G ∈ Stable A"
  <proof>

```

```

lemma (in Extend) project_Always_I:
  "extend h F⊔G ∈ Always (extend_set h A)
  ==> F⊔project h (reachable (extend h F⊔G)) G ∈ Always A"
  <proof>

```

```

lemma (in Extend) project_Increasing_I:
  "extend h F ⊔ G ∈ Increasing (func o f)
   ==> F ⊔ project h (reachable (extend h F ⊔ G)) G ∈ Increasing func"
⟨proof⟩

```

```

lemma (in Extend) project_Constrains:
  "(F ⊔ project h (reachable (extend h F ⊔ G)) G ∈ A Co B) =
   (extend h F ⊔ G ∈ (extend_set h A) Co (extend_set h B))"
⟨proof⟩

```

```

lemma (in Extend) project_Stable:
  "(F ⊔ project h (reachable (extend h F ⊔ G)) G ∈ Stable A) =
   (extend h F ⊔ G ∈ Stable (extend_set h A))"
⟨proof⟩

```

```

lemma (in Extend) project_Increasing:
  "(F ⊔ project h (reachable (extend h F ⊔ G)) G ∈ Increasing func) =
   (extend h F ⊔ G ∈ Increasing (func o f))"
⟨proof⟩

```

## 25.5 A lot of redundant theorems: all are proved to facilitate reasoning about guarantees.

```

lemma (in Extend) projecting_Constrains:
  "projecting (%G. reachable (extend h F ⊔ G)) h F
   (extend_set h A Co extend_set h B) (A Co B)"
⟨proof⟩

```

```

lemma (in Extend) projecting_Stable:
  "projecting (%G. reachable (extend h F ⊔ G)) h F
   (Stable (extend_set h A)) (Stable A)"
⟨proof⟩

```

```

lemma (in Extend) projecting_Always:
  "projecting (%G. reachable (extend h F ⊔ G)) h F
   (Always (extend_set h A)) (Always A)"
⟨proof⟩

```

```

lemma (in Extend) projecting_Increasing:
  "projecting (%G. reachable (extend h F ⊔ G)) h F
   (Increasing (func o f)) (Increasing func)"
⟨proof⟩

```

```

lemma (in Extend) extending_Constrains:
  "extending (%G. reachable (extend h F ⊔ G)) h F
   (extend_set h A Co extend_set h B) (A Co B)"
⟨proof⟩

```

```

lemma (in Extend) extending_Stable:
  "extending (%G. reachable (extend h F ⊔ G)) h F
   (Stable (extend_set h A)) (Stable A)"
⟨proof⟩

```

```

lemma (in Extend) extending_Always:
  "extending (%G. reachable (extend h F  $\sqcup$  G)) h F
    (Always (extend_set h A)) (Always A)"
  <proof>

lemma (in Extend) extending_Increasing:
  "extending (%G. reachable (extend h F  $\sqcup$  G)) h F
    (Increasing (func o f)) (Increasing func)"
  <proof>

```

## 25.6 leadsETo in the precondition (??)

### 25.6.1 transient

```

lemma (in Extend) transient_extend_set_imp_project_transient:
  "[| G  $\in$  transient (C  $\cap$  extend_set h A); G  $\in$  stable C |]
    ==> project h C G  $\in$  transient (project_set h C  $\cap$  A)"
  <proof>

lemma (in Extend) project_extend_transient_D:
  "project h C (extend h F)  $\in$  transient (project_set h C  $\cap$  D)
    ==> F  $\in$  transient (project_set h C  $\cap$  D)"
  <proof>

```

### 25.6.2 ensures – a primitive combining progress with safety

```

lemma (in Extend) ensures_extend_set_imp_project_ensures:
  "[| extend h F  $\in$  stable C; G  $\in$  stable C;
    extend h F  $\sqcup$  G  $\in$  A ensures B; A-B = C  $\cap$  extend_set h D |]
    ==> F  $\sqcup$  project h C G
       $\in$  (project_set h C  $\cap$  project_set h A) ensures (project_set h B)"
  <proof>

```

Transferring a transient property upwards

```

lemma (in Extend) project_transient_extend_set:
  "project h C G  $\in$  transient (project_set h C  $\cap$  A - B)
    ==> G  $\in$  transient (C  $\cap$  extend_set h A - extend_set h B)"
  <proof>

```

```

lemma (in Extend) project_unless2 [rule_format]:
  "[| G  $\in$  stable C; project h C G  $\in$  (project_set h C  $\cap$  A) unless B |]
    ==> G  $\in$  (C  $\cap$  extend_set h A) unless (extend_set h B)"
  <proof>

```

```

lemma (in Extend) extend_unless:
  "[| extend h F  $\in$  stable C; F  $\in$  A unless B |]
    ==> extend h F  $\in$  C  $\cap$  extend_set h A unless extend_set h B"
  <proof>

```

```

lemma (in Extend) Join_project_ensures [rule_format]:

```

```

    "[| extend h F⊔G ∈ stable C;
      F⊔project h C G ∈ A ensures B |]
    ==> extend h F⊔G ∈ (C ∩ extend_set h A) ensures (extend_set h B)"
  <proof>

```

Lemma useful for both STRONG and WEAK progress, but the transient condition's very strong

```

lemma (in Extend) PLD_lemma:
  "[| extend h F⊔G ∈ stable C;
    F⊔project h C G ∈ (project_set h C ∩ A) leadsTo B |]
  ==> extend h F⊔G ∈
    C ∩ extend_set h (project_set h C ∩ A) leadsTo (extend_set h B)"
  <proof>

```

```

lemma (in Extend) project_leadsTo_D_lemma:
  "[| extend h F⊔G ∈ stable C;
    F⊔project h C G ∈ (project_set h C ∩ A) leadsTo B |]
  ==> extend h F⊔G ∈ (C ∩ extend_set h A) leadsTo (extend_set h B)"
  <proof>

```

```

lemma (in Extend) Join_project_LeadsTo:
  "[| C = (reachable (extend h F⊔G));
    F⊔project h C G ∈ A LeadsTo B |]
  ==> extend h F⊔G ∈ (extend_set h A) LeadsTo (extend_set h B)"
  <proof>

```

## 25.7 Towards the theorem *project\_Ensures\_D*

```

lemma (in Extend) project_ensures_D_lemma:
  "[| G ∈ stable ((C ∩ extend_set h A) - (extend_set h B));
    F⊔project h C G ∈ (project_set h C ∩ A) ensures B;
    extend h F⊔G ∈ stable C |]
  ==> extend h F⊔G ∈ (C ∩ extend_set h A) ensures (extend_set h B)"
  <proof>

```

```

lemma (in Extend) project_ensures_D:
  "[| F⊔project h UNIV G ∈ A ensures B;
    G ∈ stable (extend_set h A - extend_set h B) |]
  ==> extend h F⊔G ∈ (extend_set h A) ensures (extend_set h B)"
  <proof>

```

```

lemma (in Extend) project_Ensures_D:
  "[| F⊔project h (reachable (extend h F⊔G)) G ∈ A Ensures B;
    G ∈ stable (reachable (extend h F⊔G) ∩ extend_set h A -
      extend_set h B) |]
  ==> extend h F⊔G ∈ (extend_set h A) Ensures (extend_set h B)"
  <proof>

```

## 25.8 Guarantees

```

lemma (in Extend) project_act_Restrict_subset_project_act:
  "project_act h (Restrict C act) ⊆ project_act h act"
  <proof>

```

```

lemma (in Extend) subset_closed_ok_extend_imp_ok_project:
  "[| extend h F ok G; subset_closed (AllowedActs F) |]
   ==> F ok project h C G"
<proof>

```

```

lemma (in Extend) project_guarantees_raw:
  assumes xguary: "F ∈ X guarantees Y"
  and closed: "subset_closed (AllowedActs F)"
  and project: "!!G. extend h F ⊔ G ∈ X'
               ==> F ⊔ project h (C G) G ∈ X"
  and extend: "!!G. [| F ⊔ project h (C G) G ∈ Y |]
               ==> extend h F ⊔ G ∈ Y'"
  shows "extend h F ∈ X' guarantees Y'"
<proof>

```

```

lemma (in Extend) project_guarantees:
  "[| F ∈ X guarantees Y; subset_closed (AllowedActs F);
    projecting C h F X' X; extending C h F Y' Y |]
   ==> extend h F ∈ X' guarantees Y'"
<proof>

```

## 25.9 guarantees corollaries

### 25.9.1 Some could be deleted: the required versions are easy to prove

```

lemma (in Extend) extend_guar_increasing:
  "[| F ∈ UNIV guarantees increasing func;
    subset_closed (AllowedActs F) |]
   ==> extend h F ∈ X' guarantees increasing (func o f)"
<proof>

```

```

lemma (in Extend) extend_guar_Increasing:
  "[| F ∈ UNIV guarantees Increasing func;
    subset_closed (AllowedActs F) |]
   ==> extend h F ∈ X' guarantees Increasing (func o f)"
<proof>

```

```

lemma (in Extend) extend_guar_Always:
  "[| F ∈ Always A guarantees Always B;
    subset_closed (AllowedActs F) |]
   ==> extend h F
       ∈ Always(extend_set h A) guarantees Always(extend_set h B)"
<proof>

```

### 25.9.2 Guarantees with a leadsTo postcondition

```

lemma (in Extend) project_leadsTo_D:
  "F ⊔ project h UNIV G ∈ A leadsTo B"

```

```

    ==> extend h F ⊔ G ∈ (extend_set h A) leadsTo (extend_set h B)"
  <proof>

lemma (in Extend) project_LeadsTo_D:
  "F ⊔ project h (reachable (extend h F ⊔ G)) G ∈ A LeadsTo B
  ==> extend h F ⊔ G ∈ (extend_set h A) LeadsTo (extend_set h B)"
  <proof>

lemma (in Extend) extending_leadsTo:
  "extending (%G. UNIV) h F
   (extend_set h A leadsTo extend_set h B) (A leadsTo B)"
  <proof>

lemma (in Extend) extending_LeadsTo:
  "extending (%G. reachable (extend h F ⊔ G)) h F
   (extend_set h A LeadsTo extend_set h B) (A LeadsTo B)"
  <proof>

end

```

## 26 Progress Under Allowable Sets

theory *ELT* imports *Project* begin

inductive\_set

```

  elt :: "[ 'a set set, 'a program ] => ( 'a set * 'a set ) set"
  for CC :: "'a set set" and F :: "'a program"
  where

    Basis: "[| F : A ensures B; A-B : (insert {} CC) |] ==> (A,B) : elt CC
  F"

  | Trans: "[| (A,B) : elt CC F; (B,C) : elt CC F |] ==> (A,C) : elt CC F"

  | Union: "ALL A: S. (A,B) : elt CC F ==> (Union S, B) : elt CC F"

```

constdefs

```

givenBy :: "[ 'a => 'b ] => 'a set set"
  "givenBy f == range (%B. f-` B)"

leadsETo :: "[ 'a set, 'a set set, 'a set ] => 'a program set"
  ("(3_/ leadsTo[_]/ _)" [80,0,80] 80)
  "leadsETo A CC B == {F. (A,B) : elt CC F}"

LeadsETo :: "[ 'a set, 'a set set, 'a set ] => 'a program set"
  ("(3_/ LeadsTo[_]/ _)" [80,0,80] 80)
  "LeadsETo A CC B ==

```

$\{F. F : (\text{reachable } F \text{ Int } A) \text{ leadsTo}[(\%C. \text{reachable } F \text{ Int } C) \text{ ' } CC] B\}$ "

**lemma** *givenBy\_id [simp]*: "givenBy id = UNIV"  
 <proof>

**lemma** *givenBy\_eq\_all*: "(givenBy v) = {A. ALL x:A. ALL y. v x = v y --> y: A}"  
 <proof>

**lemma** *givenByI*: "(!!x y. [| x:A; v x = v y |] ==> y: A) ==> A: givenBy v"  
 <proof>

**lemma** *givenByD*: "[| A: givenBy v; x:A; v x = v y |] ==> y: A"  
 <proof>

**lemma** *empty\_mem\_givenBy [iff]*: "{ } : givenBy v"  
 <proof>

**lemma** *givenBy\_imp\_eq\_Collect*: "A: givenBy v ==> EX P. A = {s. P(v s)}"  
 <proof>

**lemma** *Collect\_mem\_givenBy*: "{s. P(v s)} : givenBy v"  
 <proof>

**lemma** *givenBy\_eq\_Collect*: "givenBy v = {A. EX P. A = {s. P(v s)}}"  
 <proof>

**lemma** *preserves\_givenBy\_imp\_stable*:  
 "[| F : preserves v; D : givenBy v |] ==> F : stable D"  
 <proof>

**lemma** *givenBy\_o\_subset*: "givenBy (w o v) <= givenBy v"  
 <proof>

**lemma** *givenBy\_DiffI*:  
 "[| A : givenBy v; B : givenBy v |] ==> A-B : givenBy v"  
 <proof>

**lemma** *leadsETo\_Basis [intro]*:  
 "[| F: A ensures B; A-B: insert { } CC |] ==> F : A leadsTo[CC] B"  
 <proof>

**lemma** *leadsETo\_Trans*:  
 "[| F : A leadsTo[CC] B; F : B leadsTo[CC] C |] ==> F : A leadsTo[CC] C"  
 <proof>



```

lemma leadsETo_Un_duplicate:
  "F : A leadsTo[CC] (A' Un A') ==> F : A leadsTo[CC] A'"
<proof>

lemma leadsETo_Un_duplicate2:
  "F : A leadsTo[CC] (A' Un C Un C) ==> F : A leadsTo[CC] (A' Un C)"
<proof>

lemma leadsETo_Union:
  "(!!A. A : S ==> F : A leadsTo[CC] B) ==> F : (Union S) leadsTo[CC] B"
<proof>

lemma leadsETo_UN:
  "(!!i. i : I ==> F : (A i) leadsTo[CC] B)
   ==> F : (UN i:I. A i) leadsTo[CC] B"
<proof>

lemma leadsETo_induct:
  "[| F : za leadsTo[CC] zb;
    !!A B. [| F : A ensures B; A-B : insert {} CC |] ==> P A B;
    !!A B C. [| F : A leadsTo[CC] B; P A B; F : B leadsTo[CC] C; P B C |]
      ==> P A C;
    !!B S. ALL A:S. F : A leadsTo[CC] B & P A B ==> P (Union S) B
  |] ==> P za zb"
<proof>

lemma leadsETo_mono: "CC' <= CC ==> (A leadsTo[CC'] B) <= (A leadsTo[CC]
B)"
<proof>

lemma leadsETo_Trans_Un:
  "[| F : A leadsTo[CC] B; F : B leadsTo[DD] C |]
   ==> F : A leadsTo[CC Un DD] C"
<proof>

lemma leadsETo_Union_Int:
  "(!!A. A : S ==> F : (A Int C) leadsTo[CC] B)
   ==> F : (Union S Int C) leadsTo[CC] B"
<proof>

lemma leadsETo_Un:
  "[| F : A leadsTo[CC] C; F : B leadsTo[CC] C |]
   ==> F : (A Un B) leadsTo[CC] C"
<proof>

```

**lemma** *single\_leadsETo\_I*:

"(!!x. x : A ==> F : {x} leadsTo[CC] B) ==> F : A leadsTo[CC] B"  
 <proof>

**lemma** *subset\_imp\_leadsETo*: "A<=B ==> F : A leadsTo[CC] B"

<proof>

**lemmas** *empty\_leadsETo* = *empty\_subsetI* [THEN *subset\_imp\_leadsETo*, *simp*]

**lemma** *leadsETo\_weaken\_R*:

"[| F : A leadsTo[CC] A'; A'<=B' |] ==> F : A leadsTo[CC] B'"  
 <proof>

**lemma** *leadsETo\_weaken\_L* [rule\_format]:

"[| F : A leadsTo[CC] A'; B<=A |] ==> F : B leadsTo[CC] A'"  
 <proof>

**lemma** *leadsETo\_Un\_distrib*:

"F : (A Un B) leadsTo[CC] C =  
 (F : A leadsTo[CC] C & F : B leadsTo[CC] C)"  
 <proof>

**lemma** *leadsETo\_UN\_distrib*:

"F : (UN i:I. A i) leadsTo[CC] B =  
 (ALL i : I. F : (A i) leadsTo[CC] B)"  
 <proof>

**lemma** *leadsETo\_Union\_distrib*:

"F : (Union S) leadsTo[CC] B = (ALL A : S. F : A leadsTo[CC] B)"  
 <proof>

**lemma** *leadsETo\_weaken*:

"[| F : A leadsTo[CC'] A'; B<=A; A'<=B'; CC' <= CC |]  
 ==> F : B leadsTo[CC] B'"  
 <proof>

**lemma** *leadsETo\_givenBy*:

"[| F : A leadsTo[CC] A'; CC <= givenBy v |]  
 ==> F : A leadsTo[givenBy v] A'"  
 <proof>

**lemma** *leadsETo\_Diff*:

"[| F : (A-B) leadsTo[CC] C; F : B leadsTo[CC] C |]  
 ==> F : A leadsTo[CC] C"  
 <proof>

**lemma** leadsETo\_Un\_Un:

```
"[| F : A leadsTo[CC] A'; F : B leadsTo[CC] B' |]
  ==> F : (A Un B) leadsTo[CC] (A' Un B')"
⟨proof⟩
```

**lemma** leadsETo\_cancel2:

```
"[| F : A leadsTo[CC] (A' Un B); F : B leadsTo[CC] B' |]
  ==> F : A leadsTo[CC] (A' Un B')"
⟨proof⟩
```

**lemma** leadsETo\_cancel1:

```
"[| F : A leadsTo[CC] (B Un A'); F : B leadsTo[CC] B' |]
  ==> F : A leadsTo[CC] (B' Un A')"
⟨proof⟩
```

**lemma** leadsETo\_cancel\_Diff1:

```
"[| F : A leadsTo[CC] (B Un A'); F : (B-A') leadsTo[CC] B' |]
  ==> F : A leadsTo[CC] (B' Un A')"
⟨proof⟩
```

**lemma** e\_psp\_stable:

```
"[| F : A leadsTo[CC] A'; F : stable B; ALL C:CC. C Int B : CC |]
  ==> F : (A Int B) leadsTo[CC] (A' Int B)"
⟨proof⟩
```

**lemma** e\_psp\_stable2:

```
"[| F : A leadsTo[CC] A'; F : stable B; ALL C:CC. C Int B : CC |]
  ==> F : (B Int A) leadsTo[CC] (B Int A')"
⟨proof⟩
```

**lemma** e\_psp:

```
"[| F : A leadsTo[CC] A'; F : B co B';
  ALL C:CC. C Int B Int B' : CC |]
  ==> F : (A Int B') leadsTo[CC] ((A' Int B) Un (B' - B))"
⟨proof⟩
```

**lemma** e\_psp2:

```
"[| F : A leadsTo[CC] A'; F : B co B';
  ALL C:CC. C Int B Int B' : CC |]
  ==> F : (B' Int A) leadsTo[CC] ((B Int A') Un (B' - B))"
⟨proof⟩
```

```

lemma gen_leadsETo_imp_Join_leadsETo:
  "[| F: (A leadsTo[givenBy v] B); G : preserves v;
    F ⊔ G : stable C |]
  ==> F ⊔ G : ((C Int A) leadsTo[(%D. C Int D) ' givenBy v] B)"
<proof>

```

```

lemma leadsETo_subset_leadsTo: "(A leadsTo[CC] B) <= (A leadsTo B)"
<proof>

```

```

lemma leadsETo_UNIV_eq_leadsTo: "(A leadsTo[UNIV] B) = (A leadsTo B)"
<proof>

```

```

lemma LeadsETo_eq_leadsETo:
  "A LeadsTo[CC] B =
    {F. F : (reachable F Int A) leadsTo[(%C. reachable F Int C) ' CC]
      (reachable F Int B)}"
<proof>

```

```

lemma LeadsETo_Trans:
  "[| F : A LeadsTo[CC] B; F : B LeadsTo[CC] C |]
  ==> F : A LeadsTo[CC] C"
<proof>

```

```

lemma LeadsETo_Union:
  "(!!A. A : S ==> F : A LeadsTo[CC] B) ==> F : (Union S) LeadsTo[CC] B"
<proof>

```

```

lemma LeadsETo_UN:
  "(!!i. i : I ==> F : (A i) LeadsTo[CC] B)
  ==> F : (UN i:I. A i) LeadsTo[CC] B"
<proof>

```

```

lemma LeadsETo_Un:
  "[| F : A LeadsTo[CC] C; F : B LeadsTo[CC] C |]
  ==> F : (A Un B) LeadsTo[CC] C"
<proof>

```

```

lemma single_LeadsETo_I:
  "(!!s. s : A ==> F : {s} LeadsTo[CC] B) ==> F : A LeadsTo[CC] B"
<proof>

```

```

lemma subset_imp_LeadsETo:
  "A <= B ==> F : A LeadsTo[CC] B"

```

⟨proof⟩

**lemmas** empty\_LeadsETO = empty\_subsetI [THEN subset\_imp\_LeadsETO, standard]

**lemma** LeadsETO\_weaken\_R [rule\_format]:

"[| F : A LeadsTo[CC] A'; A' <= B' |] ==> F : A LeadsTo[CC] B'"

⟨proof⟩

**lemma** LeadsETO\_weaken\_L [rule\_format]:

"[| F : A LeadsTo[CC] A'; B <= A |] ==> F : B LeadsTo[CC] A'"

⟨proof⟩

**lemma** LeadsETO\_weaken:

"[| F : A LeadsTo[CC'] A';  
B <= A; A' <= B'; CC' <= CC |]  
==> F : B LeadsTo[CC] B'"

⟨proof⟩

**lemma** LeadsETO\_subset\_LeadsTo: "(A LeadsTo[CC] B) <= (A LeadsTo B)"

⟨proof⟩

**lemma** reachable\_ensures:

"F : A ensures B ==> F : (reachable F Int A) ensures B"

⟨proof⟩

**lemma** le1\_lemma:

"F : A leadsTo B ==> F : (reachable F Int A) leadsTo[Pow(reachable F)]  
B"

⟨proof⟩

**lemma** LeadsETO\_UNIV\_eq\_LeadsTo: "(A LeadsTo[UNIV] B) = (A LeadsTo B)"

⟨proof⟩

**lemma** (in Extend) givenBy\_o\_eq\_extend\_set:

"givenBy (v o f) = extend\_set h ' (givenBy v)"

⟨proof⟩

**lemma** (in Extend) givenBy\_eq\_extend\_set: "givenBy f = range (extend\_set h)"

⟨proof⟩

**lemma** (in Extend) extend\_set\_givenBy\_I:

"D : givenBy v ==> extend\_set h D : givenBy (v o f)"

⟨proof⟩

**lemma** (in Extend) leadsETO\_imp\_extend\_leadsETO:

"F : A leadsTo[CC] B  
==> extend h F : (extend\_set h A) leadsTo[extend\_set h ' CC]  
(extend\_set h B)"

⟨proof⟩

```

lemma (in Extend) Join_project_ensures_strong:
  "[| project h C G ~: transient (project_set h C Int (A-B)) |
    project_set h C Int (A - B) = {}];
  extend h F⊔G : stable C;
  F⊔project h C G : (project_set h C Int A) ensures B |]
  ==> extend h F⊔G : (C Int extend_set h A) ensures (extend_set h B)"
<proof>

```

```

lemma (in Extend) pli_lemma:
  "[| extend h F⊔G : stable C;
    F⊔project h C G
      : project_set h C Int project_set h A leadsTo project_set h B |]

  ==> F⊔project h C G
      : project_set h C Int project_set h A leadsTo
        project_set h C Int project_set h B"
<proof>

```

```

lemma (in Extend) project_leadsETo_I_lemma:
  "[| extend h F⊔G : stable C;
    extend h F⊔G :
      (C Int A) leadsTo[(%D. C Int D)'givenBy f] B |]
  ==> F⊔project h C G
      : (project_set h C Int project_set h (C Int A)) leadsTo (project_set h
B)"
<proof>

```

```

lemma (in Extend) project_leadsETo_I:
  "extend h F⊔G : (extend_set h A) leadsTo[givenBy f] (extend_set h B)
  ==> F⊔project h UNIV G : A leadsTo B"
<proof>

```

```

lemma (in Extend) project_LeadsETo_I:
  "extend h F⊔G : (extend_set h A) LeadsTo[givenBy f] (extend_set h B)

  ==> F⊔project h (reachable (extend h F⊔G)) G
      : A LeadsTo B"
<proof>

```

```

lemma (in Extend) projecting_leadsTo:
  "projecting (%G. UNIV) h F
    (extend_set h A leadsTo[givenBy f] extend_set h B)
    (A leadsTo B)"
<proof>

```

```

lemma (in Extend) projecting_LeadsTo:

```

```

    "projecting (%G. reachable (extend h F ⊔ G)) h F
      (extend_set h A LeadsTo[givenBy f] extend_set h B)
      (A LeadsTo B)"
  <proof>
end

```

## 27 Common Declarations for Chandy and Charpentier's Allocator

```

theory AllocBase imports "../UNITY_Main" begin

```

```

consts
  NbT      :: nat
  Nclients :: nat

```

```

axioms
  NbT_pos: "0 < NbT"

```

```

consts tokens      :: "nat list => nat"
primrec
  "tokens [] = 0"
  "tokens (x#xs) = x + tokens xs"

```

```

consts
  bag_of :: "'a list => 'a multiset"

```

```

primrec
  "bag_of [] = {#}"
  "bag_of (x#xs) = {#x#} + bag_of xs"

```

```

lemma setsum_fun_mono [rule_format]:
  "!!f :: nat=>nat.
    (ALL i. i<n --> f i <= g i) -->
    setsum f (lessThan n) <= setsum g (lessThan n)"
  <proof>

```

```

lemma tokens_mono_prefix [rule_format]:
  "ALL xs. xs <= ys --> tokens xs <= tokens ys"
  <proof>

```

```

lemma mono_tokens: "mono tokens"
  <proof>

```

```

lemma bag_of_append [simp]: "bag_of (l@l') = bag_of l + bag_of l'"
  <proof>

```

```

lemma mono_bag_of: "mono (bag_of :: 'a list => ('a::order) multiset)"

```

*<proof>*

**declare** *setsum\_cong* [*cong*]

**lemma** *bag\_of\_sublist\_lemma*:

"( $\sum i \in A \text{ Int lessThan } k. \{\# \text{if } i < k \text{ then } f \ i \text{ else } g \ i \# \}$ ) =  
( $\sum i \in A \text{ Int lessThan } k. \{\# f \ i \# \}$ )"

*<proof>*

**lemma** *bag\_of\_sublist*:

"*bag\_of* (*sublist* *l* *A*) =  
( $\sum i \in A \text{ Int lessThan } (\text{length } l). \{\# l!i \# \}$ )"

*<proof>*

**lemma** *bag\_of\_sublist\_Un\_Int*:

"*bag\_of* (*sublist* *l* (*A* *Un* *B*)) + *bag\_of* (*sublist* *l* (*A* *Int* *B*)) =  
*bag\_of* (*sublist* *l* *A*) + *bag\_of* (*sublist* *l* *B*)"

*<proof>*

**lemma** *bag\_of\_sublist\_Un\_disjoint*:

"*A* *Int* *B* = {}  
==> *bag\_of* (*sublist* *l* (*A* *Un* *B*)) =  
*bag\_of* (*sublist* *l* *A*) + *bag\_of* (*sublist* *l* *B*)"

*<proof>*

**lemma** *bag\_of\_sublist\_UN\_disjoint* [*rule\_format*]:

"[*I* finite *I*; ALL *i*:*I*. ALL *j*:*I*. *i*~=*j* --> *A* *i* *Int* *A* *j* = {} *I*]  
==> *bag\_of* (*sublist* *l* (*UNION* *I* *A*)) =  
( $\sum i \in I. \text{bag\_of } (\text{sublist } l \ (A \ i))$ )"

*<proof>*

**end**

**theory** *Alloc*

**imports** *AllocBase* "../PPROD"

**begin**

## 27.1 State definitions. OUTPUT variables are locals

**record** *clientState* =

*giv* :: "nat list" — client's INPUT history: tokens GRANTED  
*ask* :: "nat list" — client's OUTPUT history: tokens REQUESTED  
*rel* :: "nat list" — client's OUTPUT history: tokens RELEASED

**record** 'a *clientState\_d* =

*clientState* +  
*dummy* :: 'a — dummy field for new variables

**constdefs**



```

— DUPLICATED FROM Client.thy, but with "tok" removed
— Maybe want a special theory section to declare such maps
non_dummy :: "'a clientState_d => clientState"
  "non_dummy s == (|giv = giv s, ask = ask s, rel = rel s|)"

— Renaming map to put a Client into the standard form
client_map :: "'a clientState_d => clientState*'a"
  "client_map == funPair non_dummy dummy"

record allocState =
  allocGiv :: "nat => nat list" — OUTPUT history: source of "giv" for i
  allocAsk :: "nat => nat list" — INPUT: allocator's copy of "ask" for i
  allocRel :: "nat => nat list" — INPUT: allocator's copy of "rel" for i

record 'a allocState_d =
  allocState +
  dummy      :: 'a — dummy field for new variables

record 'a systemState =
  allocState +
  client :: "nat => clientState" — states of all clients
  dummy  :: 'a — dummy field for new variables

constdefs

— * Resource allocation system specification *

— spec (1)
system_safety :: "'a systemState program set"
  "system_safety ==
    Always {s. (SUM i: lessThan Nclients. (tokens o giv o sub i o client)s)
      ≤ NbT + (SUM i: lessThan Nclients. (tokens o rel o sub i o client)s)}"

— spec (2)
system_progress :: "'a systemState program set"
  "system_progress == INT i : lessThan Nclients.
    INT h.
      {s. h ≤ (ask o sub i o client)s} LeadsTo
      {s. h prefixLe (giv o sub i o client) s}"

system_spec :: "'a systemState program set"
  "system_spec == system_safety Int system_progress"

— * Client specification (required) **

— spec (3)
client_increasing :: "'a clientState_d program set"
  "client_increasing ==
    UNIV guarantees Increasing ask Int Increasing rel"

— spec (4)
client_bounded :: "'a clientState_d program set"

```

```

"client_bounded ==
  UNIV guarantees Always {s. ALL elt : set (ask s). elt ≤ NbT}"

— spec (5)
client_progress :: "'a clientState_d program set"
"client_progress ==
  Increasing giv guarantees
  (INT h. {s. h ≤ giv s & h pfixGe ask s}
    LeadsTo {s. tokens h ≤ (tokens o rel) s})"

— spec: preserves part
client_preserves :: "'a clientState_d program set"
"client_preserves == preserves giv Int preserves clientState_d.dummy"

— environmental constraints
client_allowed_acts :: "'a clientState_d program set"
"client_allowed_acts ==
  {F. AllowedActs F =
    insert Id (UNION (preserves (funPair rel ask)) Acts)}"

client_spec :: "'a clientState_d program set"
"client_spec == client_increasing Int client_bounded Int client_progress
  Int client_allowed_acts Int client_preserves"

— * Allocator specification (required) *

— spec (6)
alloc_increasing :: "'a allocState_d program set"
"alloc_increasing ==
  UNIV guarantees
  (INT i : lessThan Nclients. Increasing (sub i o allocGiv))"

— spec (7)
alloc_safety :: "'a allocState_d program set"
"alloc_safety ==
  (INT i : lessThan Nclients. Increasing (sub i o allocRel))
  guarantees
  Always {s. (SUM i: lessThan Nclients. (tokens o sub i o allocGiv)s)
    ≤ NbT + (SUM i: lessThan Nclients. (tokens o sub i o allocRel)s)}"

— spec (8)
alloc_progress :: "'a allocState_d program set"
"alloc_progress ==
  (INT i : lessThan Nclients. Increasing (sub i o allocAsk) Int
    Increasing (sub i o allocRel))
  Int
  Always {s. ALL i<Nclients.
    ALL elt : set ((sub i o allocAsk) s). elt ≤ NbT}
  Int
  (INT i : lessThan Nclients.
    INT h. {s. h ≤ (sub i o allocGiv)s & h pfixGe (sub i o allocAsk)s}
    LeadsTo
    {s. tokens h ≤ (tokens o sub i o allocRel)s})
  guarantees

```

```

      (INT i : lessThan Nclients.
        INT h. {s. h ≤ (sub i o allocAsk) s}
          LeadsTo
            {s. h pfixLe (sub i o allocGiv) s})"

— spec: preserves part
alloc_preserves :: "'a allocState_d program set"
"alloc_preserves == preserves allocRel Int preserves allocAsk Int
  preserves allocState_d.dummy"

— environmental constraints
alloc_allowed_acts :: "'a allocState_d program set"
"alloc_allowed_acts ==
  {F. AllowedActs F =
    insert Id (UNION (preserves allocGiv) Acts)}"

alloc_spec :: "'a allocState_d program set"
"alloc_spec == alloc_increasing Int alloc_safety Int alloc_progress Int
  alloc_allowed_acts Int alloc_preserves"

— * Network specification *

— spec (9.1)
network_ask :: "'a systemState program set"
"network_ask == INT i : lessThan Nclients.
  Increasing (ask o sub i o client) guarantees
    ((sub i o allocAsk) Fols (ask o sub i o client))"

— spec (9.2)
network_giv :: "'a systemState program set"
"network_giv == INT i : lessThan Nclients.
  Increasing (sub i o allocGiv)
    guarantees
      ((giv o sub i o client) Fols (sub i o allocGiv))"

— spec (9.3)
network_rel :: "'a systemState program set"
"network_rel == INT i : lessThan Nclients.
  Increasing (rel o sub i o client)
    guarantees
      ((sub i o allocRel) Fols (rel o sub i o client))"

— spec: preserves part
network_preserves :: "'a systemState program set"
"network_preserves ==
  preserves allocGiv Int
    (INT i : lessThan Nclients. preserves (rel o sub i o client) Int
      preserves (ask o sub i o client))"

— environmental constraints
network_allowed_acts :: "'a systemState program set"
"network_allowed_acts ==

```

```

{F. AllowedActs F =
  insert Id
  (UNION (preserves allocRel Int
    (INT i: lessThan Nclients. preserves(giv o sub i o client)))
  Acts)}"

network_spec :: "'a systemState program set"
"network_spec == network_ask Int network_giv Int
  network_rel Int network_allowed_acts Int
  network_preserves"

— * State mappings *
sysOfAlloc :: "(nat => clientState) * 'a allocState_d => 'a systemState"
"sysOfAlloc == %s. let (cl,xtr) = allocState_d.dummy s
  in (| allocGiv = allocGiv s,
    allocAsk = allocAsk s,
    allocRel = allocRel s,
    client = cl,
    dummy = xtr|)"

sysOfClient :: "(nat => clientState) * 'a allocState_d => 'a systemState"
"sysOfClient == %(cl,al). (| allocGiv = allocGiv al,
  allocAsk = allocAsk al,
  allocRel = allocRel al,
  client = cl,
  systemState.dummy = allocState_d.dummy al|)"

consts
  Alloc    :: "'a allocState_d program"
  Client   :: "'a clientState_d program"
  Network   :: "'a systemState program"
  System    :: "'a systemState program"

axioms
  Alloc:  "Alloc    : alloc_spec"
  Client: "Client   : client_spec"
  Network: "Network : network_spec"

defs
  System_def:
    "System == rename sysOfAlloc Alloc Join Network Join
      (rename sysOfClient
        (plam x: lessThan Nclients. rename client_map Client))"

declare image_Collect [simp del]

declare subset_preserves_o [THEN [2] rev_subsetD, intro]
declare subset_preserves_o [THEN [2] rev_subsetD, simp]

```

```

declare funPair_o_distrib [simp]
declare Always_INT_distrib [simp]
declare o_apply [simp del]

```

```

lemmas [simp] =
  rename_image_constrains
  rename_image_stable
  rename_image_increasing
  rename_image_invariant
  rename_image_Constrains
  rename_image_Stable
  rename_image_Increasing
  rename_image_Always
  rename_image_leadsTo
  rename_image_LeadsTo
  rename_preserves
  rename_image_preserves
  lift_image_preserves
  bij_image_INT
  bij_is_inj [THEN image_Int]
  bij_image_Collect_eq

```

⟨ML⟩

```

lemmas lessThanBspec = lessThan_iff [THEN iffD2, THEN [2] bspec]

```

⟨ML⟩

```

lemma inj_sysOfAlloc [iff]: "inj sysOfAlloc"
  ⟨proof⟩

```

We need the inverse; also having it simplifies the proof of surjectivity

```

lemma inv_sysOfAlloc_eq [simp]: "!!s. inv sysOfAlloc s =
  (| allocGiv = allocGiv s,
    allocAsk = allocAsk s,
    allocRel = allocRel s,
    allocState_d.dummy = (client s, dummy s) |)"
  ⟨proof⟩

```

```

lemma surj_sysOfAlloc [iff]: "surj sysOfAlloc"
  ⟨proof⟩

```

```

lemma bij_sysOfAlloc [iff]: "bij sysOfAlloc"
  ⟨proof⟩

```

### 27.1.1 bijectivity of sysOfClient

```

lemma inj_sysOfClient [iff]: "inj sysOfClient"
  ⟨proof⟩

```

```

lemma inv_sysOfClient_eq [simp]: "!!s. inv sysOfClient s =
  (client s,
    (| allocGiv = allocGiv s,

```

```

      allocAsk = allocAsk s,
      allocRel = allocRel s,
      allocState_d.dummy = systemState.dummy s() )"
    <proof>

```

```

lemma surj_sysOfClient [iff]: "surj sysOfClient"
    <proof>

```

```

lemma bij_sysOfClient [iff]: "bij sysOfClient"
    <proof>

```

### 27.1.2 bijectivity of client\_map

```

lemma inj_client_map [iff]: "inj client_map"
    <proof>

```

```

lemma inv_client_map_eq [simp]: "!!s. inv client_map s =
      (%(x,y). (|giv = giv x, ask = ask x, rel = rel x,
      clientState_d.dummy = y|)) s"
    <proof>

```

```

lemma surj_client_map [iff]: "surj client_map"
    <proof>

```

```

lemma bij_client_map [iff]: "bij client_map"
    <proof>

```

o-simprules for client\_map

```

lemma fst_o_client_map: "fst o client_map = non_dummy"
    <proof>

```

```

    <ML>
declare fst_o_client_map' [simp]

```

```

lemma snd_o_client_map: "snd o client_map = clientState_d.dummy"
    <proof>

```

```

    <ML>
declare snd_o_client_map' [simp]

```

### 27.2 o-simprules for sysOfAlloc [MUST BE AUTOMATED]

```

lemma client_o_sysOfAlloc: "client o sysOfAlloc = fst o allocState_d.dummy"
"
    <proof>

```

```

    <ML>
declare client_o_sysOfAlloc' [simp]

```

```

lemma allocGiv_o_sysOfAlloc_eq: "allocGiv o sysOfAlloc = allocGiv"
    <proof>

```

```

    <ML>
declare allocGiv_o_sysOfAlloc_eq' [simp]

```

**lemma** *allocAsk\_o\_sysOfAlloc\_eq*: "allocAsk o sysOfAlloc = allocAsk"  
 ⟨proof⟩

⟨ML⟩

**declare** *allocAsk\_o\_sysOfAlloc\_eq*' [simp]

**lemma** *allocRel\_o\_sysOfAlloc\_eq*: "allocRel o sysOfAlloc = allocRel"  
 ⟨proof⟩

⟨ML⟩

**declare** *allocRel\_o\_sysOfAlloc\_eq*' [simp]

### 27.3 o-simprules for *sysOfClient* [MUST BE AUTOMATED]

**lemma** *client\_o\_sysOfClient*: "client o sysOfClient = fst"  
 ⟨proof⟩

⟨ML⟩

**declare** *client\_o\_sysOfClient*' [simp]

**lemma** *allocGiv\_o\_sysOfClient\_eq*: "allocGiv o sysOfClient = allocGiv o snd"  
 "
 ⟨proof⟩

⟨ML⟩

**declare** *allocGiv\_o\_sysOfClient\_eq*' [simp]

**lemma** *allocAsk\_o\_sysOfClient\_eq*: "allocAsk o sysOfClient = allocAsk o snd"  
 "
 ⟨proof⟩

⟨ML⟩

**declare** *allocAsk\_o\_sysOfClient\_eq*' [simp]

**lemma** *allocRel\_o\_sysOfClient\_eq*: "allocRel o sysOfClient = allocRel o snd"  
 "
 ⟨proof⟩

⟨ML⟩

**declare** *allocRel\_o\_sysOfClient\_eq*' [simp]

**lemma** *allocGiv\_o\_inv\_sysOfAlloc\_eq*: "allocGiv o inv sysOfAlloc = allocGiv"  
 ⟨proof⟩

⟨ML⟩

**declare** *allocGiv\_o\_inv\_sysOfAlloc\_eq*' [simp]

**lemma** *allocAsk\_o\_inv\_sysOfAlloc\_eq*: "allocAsk o inv sysOfAlloc = allocAsk"  
 ⟨proof⟩

⟨ML⟩

**declare** *allocAsk\_o\_inv\_sysOfAlloc\_eq*' [simp]

```
lemma allocRel_o_inv_sysOfAlloc_eq: "allocRel o inv sysOfAlloc = allocRel"
  <proof>
```

<ML>

```
declare allocRel_o_inv_sysOfAlloc_eq' [simp]
```

```
lemma rel_inv_client_map_drop_map: "(rel o inv client_map o drop_map i o
inv sysOfClient) =
  rel o sub i o client"
  <proof>
```

<ML>

```
declare rel_inv_client_map_drop_map [simp]
```

```
lemma ask_inv_client_map_drop_map: "(ask o inv client_map o drop_map i o
inv sysOfClient) =
  ask o sub i o client"
  <proof>
```

<ML>

```
declare ask_inv_client_map_drop_map [simp]
```

```
declare finite_lessThan [iff]
```

Client :  $\downarrow$ unfolded specification $\downarrow$

```
lemmas client_spec_simps =
  client_spec_def client_increasing_def client_bounded_def
  client_progress_def client_allowed_acts_def client_preserves_def
  guarantees_Int_right
```

<ML>

```
declare
```

```
  Client_Increasing_ask [iff]
  Client_Increasing_rel [iff]
  Client_Bounded [iff]
  Client_preserves_giv [iff]
  Client_preserves_dummy [iff]
```

Network :  $\downarrow$ unfolded specification $\downarrow$

```
lemmas network_spec_simps =
  network_spec_def network_ask_def network_giv_def
  network_rel_def network_allowed_acts_def network_preserves_def
  ball_conj_distrib
```

<ML>

```
declare Network_preserves_allocGiv [iff]
```

```
declare
```

```
  Network_preserves_rel [simp]
  Network_preserves_ask [simp]
```



```

declare
  Network_preserves_rel [simplified o_def, simp]
  Network_preserves_ask [simplified o_def, simp]

Alloc :  $\downarrow$ unfolded specification $\downarrow$ 

lemmas alloc_spec_simps =
  alloc_spec_def alloc_increasing_def alloc_safety_def
  alloc_progress_def alloc_allowed_acts_def alloc_preserves_def

```

$\langle ML \rangle$

Strip off the INT in the guarantees postcondition

```

lemmas Alloc_Increasing = Alloc_Increasing_0 [normalized]

```

```

declare
  Alloc_preserves_allocRel [iff]
  Alloc_preserves_allocAsk [iff]
  Alloc_preserves_dummy [iff]

```

## 27.4 Components Lemmas [MUST BE AUTOMATED]

```

lemma Network_component_System: "Network Join
  ((rename sysOfClient
    (plam x: (lessThan Nclients). rename client_map Client)) Join
    rename sysOfAlloc Alloc)
  = System"
 $\langle proof \rangle$ 

lemma Client_component_System: "(rename sysOfClient
  (plam x: (lessThan Nclients). rename client_map Client)) Join
  (Network Join rename sysOfAlloc Alloc) = System"
 $\langle proof \rangle$ 

lemma Alloc_component_System: "rename sysOfAlloc Alloc Join
  ((rename sysOfClient (plam x: (lessThan Nclients). rename client_map
  Client)) Join
    Network) = System"
 $\langle proof \rangle$ 

```

```

declare
  Client_component_System [iff]
  Network_component_System [iff]
  Alloc_component_System [iff]

```

\* These preservation laws should be generated automatically \*

```

lemma Client_Allowed [simp]: "Allowed Client = preserves rel Int preserves
ask"
 $\langle proof \rangle$ 

```

```

lemma Network_Allowed [simp]: "Allowed Network =
preserves allocRel Int
  (INT i: lessThan Nclients. preserves(giv o sub i o client))"
 $\langle proof \rangle$ 

```

```

lemma Alloc_Allowed [simp]: "Allowed Alloc = preserves allocGiv"
  <proof>

needed in rename_client_map_tac

lemma OK_lift_rename_Client [simp]: "OK I (%i. lift i (rename client_map
Client))"
  <proof>

lemma fst_lift_map_eq_fst [simp]: "fst (lift_map i x) i = fst x"
  <proof>

lemma fst_o_lift_map' [simp]:
  "(f o sub i o fst o lift_map i o g) = f o fst o g"
  <proof>

<ML>

Lifting Client_Increasing to systemState

lemma rename_Client_Increasing: "i : I
  ==> rename sysOfClient (plam x: I. rename client_map Client) :
    UNIV guarantees
    Increasing (ask o sub i o client) Int
    Increasing (rel o sub i o client)"
  <proof>

lemma preserves_sub_fst_lift_map: "[| F : preserves w; i ~ j |]
  ==> F : preserves (sub i o fst o lift_map j o funPair v w)"
  <proof>

lemma client_preserves_giv_oo_client_map: "[| i < Nclients; j < Nclients
|]
  ==> Client : preserves (giv o sub i o fst o lift_map j o client_map)"
  <proof>

lemma rename_sysOfClient_ok_Network:
  "rename sysOfClient (plam x: lessThan Nclients. rename client_map Client)
  ok Network"
  <proof>

lemma rename_sysOfClient_ok_Alloc:
  "rename sysOfClient (plam x: lessThan Nclients. rename client_map Client)
  ok rename sysOfAlloc Alloc"
  <proof>

lemma rename_sysOfAlloc_ok_Network: "rename sysOfAlloc Alloc ok Network"
  <proof>

declare
  rename_sysOfClient_ok_Network [iff]
  rename_sysOfClient_ok_Alloc [iff]
  rename_sysOfAlloc_ok_Network [iff]

```

The "ok" laws, re-oriented. But not sure this works: theorem *ok\_commute* is needed below

```

declare
  rename_sysOfClient_ok_Network [THEN ok_sym, iff]
  rename_sysOfClient_ok_Alloc [THEN ok_sym, iff]
  rename_sysOfAlloc_ok_Network [THEN ok_sym]

lemma System_Increasing: "i < Nclients
  ==> System : Increasing (ask o sub i o client) Int
                        Increasing (rel o sub i o client)"
  <proof>

lemmas rename_guarantees_sysOfAlloc_I =
  bij_sysOfAlloc [THEN rename_rename_guarantees_eq, THEN iffD2, standard]

lemmas rename_Alloc_Increasing =
  Alloc_Increasing
  [THEN rename_guarantees_sysOfAlloc_I,
   simplified surj_rename [THEN surj_range] o_def sub_apply
   rename_image_Increasing bij_sysOfAlloc
   allocGiv_o_inv_sysOfAlloc_eq']

lemma System_Increasing_allocGiv:
  "i < Nclients ==> System : Increasing (sub i o allocGiv)"
  <proof>

<ML>

declare System_Increasing' [intro!]

Follows consequences. The "Always (INT ...) formulation expresses the general
safety property and allows it to be combined using Always_Int_rule below.

lemma System_Follows_rel:
  "i < Nclients ==> System : ((sub i o allocRel) Fols (rel o sub i o client))"
  <proof>

lemma System_Follows_ask:
  "i < Nclients ==> System : ((sub i o allocAsk) Fols (ask o sub i o client))"
  <proof>

lemma System_Follows_allocGiv:
  "i < Nclients ==> System : (giv o sub i o client) Fols (sub i o allocGiv)"
  <proof>

lemma Always_giv_le_allocGiv: "System : Always (INT i: lessThan Nclients.
  {s. (giv o sub i o client) s ≤ (sub i o allocGiv) s})"
  <proof>

```

**lemma** *Always\_allocAsk\_le\_ask*: "System : Always (INT i: lessThan Nclients.  
                                   {s. (sub i o allocAsk) s ≤ (ask o sub i o client) s})"  
 ⟨proof⟩

**lemma** *Always\_allocRel\_le\_rel*: "System : Always (INT i: lessThan Nclients.  
                                   {s. (sub i o allocRel) s ≤ (rel o sub i o client) s})"  
 ⟨proof⟩

## 27.5 Proof of the safety property (1)

safety (1), step 1 is *System\_Follows\_rel*

safety (1), step 2

**lemmas** *System\_Increasing\_allocRel* = *System\_Follows\_rel* [THEN *Follows\_Increasing1*,  
*standard*]

safety (1), step 3

**lemma** *System\_sum\_bounded*:  
       "System : Always {s. (∑ i ∈ lessThan Nclients. (tokens o sub i o allocGiv)  
                                   s)  
                                   ≤ NbT + (∑ i ∈ lessThan Nclients. (tokens o sub i o allocRel)  
                                   s)})"  
 ⟨proof⟩

Follows reasoning

**lemma** *Always\_tokens\_giv\_le\_allocGiv*: "System : Always (INT i: lessThan Nclients.  
                                   {s. (tokens o giv o sub i o client) s  
                                   ≤ (tokens o sub i o allocGiv) s})"  
 ⟨proof⟩

**lemma** *Always\_tokens\_allocRel\_le\_rel*: "System : Always (INT i: lessThan Nclients.  
                                   {s. (tokens o sub i o allocRel) s  
                                   ≤ (tokens o rel o sub i o client) s})"  
 ⟨proof⟩

safety (1), step 4 (final result!)

**theorem** *System\_safety*: "System : system\_safety"  
 ⟨proof⟩

## 27.6 Proof of the progress property (2)

progress (2), step 1 is *System\_Follows\_ask* and *System\_Follows\_rel*

progress (2), step 2; see also *System\_Increasing\_allocRel*

**lemmas** *System\_Increasing\_allocAsk* = *System\_Follows\_ask* [THEN *Follows\_Increasing1*,  
*standard*]

progress (2), step 3: lifting *Client\_Bounded* to *systemState*

**lemma** *rename\_Client\_Bounded*: "i : I  
       ==> rename sysOfClient (plam x: I. rename client\_map Client) :  
           UNIV guarantees

Always {s. ALL elt : set ((ask o sub i o client) s). elt ≤ NbT}"  
 ⟨proof⟩

**lemma** System\_Bounded\_ask: "i < Nclients  
 ==> System : Always  
       {s. ALL elt : set ((ask o sub i o client) s). elt ≤ NbT}"  
 ⟨proof⟩

**lemma** Collect\_all\_imp\_eq: "{x. ALL y. P y --> Q x y} = (INT y: {y. P y}.  
 {x. Q x y})"  
 ⟨proof⟩

progress (2), step 4

**lemma** System\_Bounded\_allocAsk: "System : Always {s. ALL i < Nclients.  
       ALL elt : set ((sub i o allocAsk) s). elt ≤ NbT}"  
 ⟨proof⟩

progress (2), step 5 is System\_Increasing\_allocGiv

progress (2), step 6

**lemmas** System\_Increasing\_giv = System\_Follows\_allocGiv [THEN Follows\_Increasing1,  
 standard]

**lemma** rename\_Client\_Progress: "i: I  
 ==> rename sysOfClient (plam x: I. rename client\_map Client)  
       : Increasing (giv o sub i o client)  
       guarantees  
       (INT h. {s. h ≤ (giv o sub i o client) s &  
               h pfixGe (ask o sub i o client) s}  
       LeadsTo {s. tokens h ≤ (tokens o rel o sub i o client) s})"  
 ⟨proof⟩

progress (2), step 7

**lemma** System\_Client\_Progress:  
 "System : (INT i : (lessThan Nclients).  
       INT h. {s. h ≤ (giv o sub i o client) s &  
               h pfixGe (ask o sub i o client) s}  
       LeadsTo {s. tokens h ≤ (tokens o rel o sub i o client) s})"  
 ⟨proof⟩

**lemmas** System\_lemma1 =  
 Always\_LeadsToD [OF System\_Follows\_ask [THEN Follows\_Bounded]  
       System\_Follows\_allocGiv [THEN Follows\_LeadsTo]]

**lemmas** System\_lemma2 =  
 PSP\_Stable [OF System\_lemma1  
       System\_Follows\_ask [THEN Follows\_Increasing1, THEN IncreasingD]]

**lemma** System\_lemma3: "i < Nclients

```

==> System : {s. h ≤ (sub i o allocGiv) s &
               h pfixGe (sub i o allocAsk) s}
               LeadsTo
               {s. h ≤ (giv o sub i o client) s &
                 h pfixGe (ask o sub i o client) s}"

```

⟨proof⟩

progress (2), step 8: Client i's "release" action is visible system-wide

```

lemma System_Alloc_Client_Progress: "i < Nclients
==> System : {s. h ≤ (sub i o allocGiv) s &
               h pfixGe (sub i o allocAsk) s}
               LeadsTo {s. tokens h ≤ (tokens o sub i o allocRel) s}"

```

⟨proof⟩

Lifting *Alloc\_Progress* up to the level of *systemState*

progress (2), step 9

```

lemma System_Alloc_Progress:
  "System : (INT i : (lessThan Nclients).
              INT h. {s. h ≤ (sub i o allocAsk) s}
                  LeadsTo {s. h pfixLe (sub i o allocGiv) s})"

```

⟨proof⟩

progress (2), step 10 (final result!)

```

lemma System_Progress: "System : system_progress"
  ⟨proof⟩

```

```

theorem System_correct: "System : system_spec"
  ⟨proof⟩

```

Some obsolete lemmas

```

lemma non_dummy_eq_o_funPair: "non_dummy = (% (g,a,r). (| giv = g, ask =
a, rel = r |)) o
                                   (funPair giv (funPair ask rel))"

```

⟨proof⟩

```

lemma preserves_non_dummy_eq: "(preserves non_dummy) =
  (preserves rel Int preserves ask Int preserves giv)"
  ⟨proof⟩

```

Could go to *Extend.ML*

```

lemma bij_fst_inv_inv_eq: "bij f ==> fst (inv (%(x, u). inv f x) z) = f z"
  ⟨proof⟩

```

end

## 28 Implementation of a multiple-client allocator from a single-client allocator

```

theory AllocImpl imports AllocBase Follows PPROD begin

```

```

record 'b merge =
  In  :: "nat => 'b list"
  Out :: "'b list"
  iOut :: "nat list"

record ('a,'b) merge_d =
  "'b merge" +
  dummy :: 'a

constdefs
  non_dummy :: "('a,'b) merge_d => 'b merge"
  "non_dummy s == (|In = In s, Out = Out s, iOut = iOut s|)"

record 'b distr =
  In  :: "'b list"
  iIn :: "nat list"
  Out :: "nat => 'b list"

record ('a,'b) distr_d =
  "'b distr" +
  dummy :: 'a

record allocState =
  giv :: "nat list"
  ask  :: "nat list"
  rel  :: "nat list"

record 'a allocState_d =
  allocState +
  dummy      :: 'a

record 'a systemState =
  allocState +
  mergeRel  :: "nat merge"
  mergeAsk  :: "nat merge"
  distr     :: "nat distr"
  dummy     :: 'a

constdefs

merge_increasing :: "('a,'b) merge_d program set"
"merge_increasing ==
  UNIV guarantees (Increasing merge.Out) Int (Increasing merge.iOut)"

```

```

merge_eqOut :: "('a,'b) merge_d program set"
"merge_eqOut ==
  UNIV guarantees
  Always {s. length (merge.Out s) = length (merge.iOut s)}"

merge_bounded :: "('a,'b) merge_d program set"
"merge_bounded ==
  UNIV guarantees
  Always {s.  $\forall \text{elt} \in \text{set } (\text{merge.iOut } s). \text{elt} < \text{Nclients}$ }

merge_follows :: "('a,'b) merge_d program set"
"merge_follows ==
  ( $\bigcap i \in \text{lessThan Nclients}. \text{Increasing } (\text{sub } i \text{ o merge.In})$ )
  guarantees
  ( $\bigcap i \in \text{lessThan Nclients}.$ 
    (%s. sublist (merge.Out s)
      {k. k < size(merge.iOut s) & merge.iOut s! k = i})
    Fols (sub i o merge.In))"

merge_preserves :: "('a,'b) merge_d program set"
"merge_preserves == preserves merge.In Int preserves merge_d.dummy"

merge_allowed_acts :: "('a,'b) merge_d program set"
"merge_allowed_acts ==
  {F. AllowedActs F =
    insert Id (UNION (preserves (funPair merge.Out merge.iOut)) Acts)}"

merge_spec :: "('a,'b) merge_d program set"
"merge_spec == merge_increasing Int merge_eqOut Int merge_bounded Int
  merge_follows Int merge_allowed_acts Int merge_preserves"

distr_follows :: "('a,'b) distr_d program set"
"distr_follows ==
  Increasing distr.In Int Increasing distr.iIn Int
  Always {s.  $\forall \text{elt} \in \text{set } (\text{distr.iIn } s). \text{elt} < \text{Nclients}$ }
  guarantees
  ( $\bigcap i \in \text{lessThan Nclients}.$ 
    (sub i o distr.Out) Fols
    (%s. sublist (distr.In s)
      {k. k < size(distr.iIn s) & distr.iIn s ! k = i}))"

distr_allowed_acts :: "('a,'b) distr_d program set"
"distr_allowed_acts ==
  {D. AllowedActs D = insert Id (UNION (preserves distr.Out) Acts)}"

distr_spec :: "('a,'b) distr_d program set"
"distr_spec == distr_follows Int distr_allowed_acts"

```



```

alloc_increasing :: "'a allocState_d program set"
"alloc_increasing == UNIV guarantees Increasing giv"

alloc_safety :: "'a allocState_d program set"
"alloc_safety ==
  Increasing rel
  guarantees Always {s. tokens (giv s) ≤ NbT + tokens (rel s)}"

alloc_progress :: "'a allocState_d program set"
"alloc_progress ==
  Increasing ask Int Increasing rel Int
  Always {s. ∀elt ∈ set (ask s). elt ≤ NbT}
  Int
  (⋂h. {s. h ≤ giv s & h pfixGe (ask s)})
  LeadsTo
  {s. tokens h ≤ tokens (rel s)})
  guarantees (⋂h. {s. h ≤ ask s} LeadsTo {s. h pfixLe giv s})"

alloc_preserves :: "'a allocState_d program set"
"alloc_preserves == preserves rel Int
  preserves ask Int
  preserves allocState_d.dummy"

alloc_allowed_acts :: "'a allocState_d program set"
"alloc_allowed_acts ==
  {F. AllowedActs F = insert Id (UNION (preserves giv) Acts)}"

alloc_spec :: "'a allocState_d program set"
"alloc_spec == alloc_increasing Int alloc_safety Int alloc_progress Int
  alloc_allowed_acts Int alloc_preserves"

locale Merge =
  fixes M :: "('a,'b::order) merge_d program"
  assumes
    Merge_spec: "M ∈ merge_spec"

locale Distrib =
  fixes D :: "('a,'b::order) distr_d program"
  assumes
    Distrib_spec: "D ∈ distr_spec"

```

```

declare subset_preserves_o [THEN subsetD, intro]
declare funPair_o_distrib [simp]
declare Always_INT_distrib [simp]
declare o_apply [simp del]

```

## 28.1 Theorems for Merge

```

lemma (in Merge) Merge_Allowed:
  "Allowed M = (preserves merge.Out) Int (preserves merge.iOut)"
<proof>

```

```

lemma (in Merge) M_ok_iff [iff]:
  "M ok G = (G ∈ preserves merge.Out & G ∈ preserves merge.iOut &
    M ∈ Allowed G)"
<proof>

```

```

lemma (in Merge) Merge_Always_Out_eq_iOut:
  "[| G ∈ preserves merge.Out; G ∈ preserves merge.iOut; M ∈ Allowed G
  |]
  ==> M Join G ∈ Always {s. length (merge.Out s) = length (merge.iOut
s)}"
<proof>

```

```

lemma (in Merge) Merge_Bounded:
  "[| G ∈ preserves merge.iOut; G ∈ preserves merge.Out; M ∈ Allowed G
  |]
  ==> M Join G ∈ Always {s. ∀elt ∈ set (merge.iOut s). elt < Nclients}"
<proof>

```

```

lemma (in Merge) Merge_Bag_Follows_lemma:
  "[| G ∈ preserves merge.iOut; G ∈ preserves merge.Out; M ∈ Allowed G
  |]
  ==> M Join G ∈ Always
    {s. (∑ i ∈ lessThan Nclients. bag_of (sublist (merge.Out s)
      {k. k < length (iOut s) & iOut s ! k = i}))
  =
    (bag_of o merge.Out) s}"
<proof>

```

```

lemma (in Merge) Merge_Bag_Follows:
  "M ∈ (⋂ i ∈ lessThan Nclients. Increasing (sub i o merge.In))
  guarantees
    (bag_of o merge.Out) Fols
    (%s. ∑ i ∈ lessThan Nclients. (bag_of o sub i o merge.In) s)"
<proof>

```

## 28.2 Theorems for Distributor

```

lemma (in Distrib) Distr_Increasing_Out:
  "D ∈ Increasing distr.In Int Increasing distr.iIn Int
  Always {s. ∀elt ∈ set (distr.iIn s). elt < Nclients}
  guarantees
    (⋂ i ∈ lessThan Nclients. Increasing (sub i o distr.Out))"

```

*<proof>*

```

lemma (in Distrib) Distr_Bag_Follows_lemma:
  "[| G ∈ preserves distr.Out;
    D Join G ∈ Always {s. ∀elt ∈ set (distr.iIn s). elt < Nclients}
  |]
  ==> D Join G ∈ Always
    {s. (∑ i ∈ lessThan Nclients. bag_of (sublist (distr.In s)
      {k. k < length (iIn s) & iIn s ! k = i}))
  =
    bag_of (sublist (distr.In s) (lessThan (length (iIn s))))}"
<proof>

```

```

lemma (in Distrib) D_ok_iff [iff]:
  "D ok G = (G ∈ preserves distr.Out & D ∈ Allowed G)"
<proof>

```

```

lemma (in Distrib) Distr_Bag_Follows:
  "D ∈ Increasing distr.In Int Increasing distr.iIn Int
  Always {s. ∀elt ∈ set (distr.iIn s). elt < Nclients}
  guarantees
    (∩ i ∈ lessThan Nclients.
      (%s. ∑ i ∈ lessThan Nclients. (bag_of o sub i o distr.Out) s)
      Fols
      (%s. bag_of (sublist (distr.In s) (lessThan (length (distr.iIn s)))))"
<proof>

```

## 28.3 Theorems for Allocator

```

lemma alloc_refinement_lemma:
  "!!f::nat=>nat. (∩ i ∈ lessThan n. {s. f i ≤ g i s})
  ⊆ {s. (SUM x: lessThan n. f x) ≤ (SUM x: lessThan n. g x s)}"
<proof>

```

```

lemma alloc_refinement:
  "(∩ i ∈ lessThan Nclients. Increasing (sub i o allocAsk) Int
    Increasing (sub i o allocRel))
  Int
  Always {s. ∀i. i < Nclients -->
    (∀elt ∈ set ((sub i o allocAsk) s). elt ≤ NbT)}
  Int
  (∩ i ∈ lessThan Nclients.
    ∩ h. {s. h ≤ (sub i o allocGiv)s & h prefixGe (sub i o allocAsk)s}
    LeadsTo {s. tokens h ≤ (tokens o sub i o allocRel)s})
  ⊆
  (∩ i ∈ lessThan Nclients. Increasing (sub i o allocAsk) Int
    Increasing (sub i o allocRel))
  Int
  Always {s. ∀i. i < Nclients -->
    (∀elt ∈ set ((sub i o allocAsk) s). elt ≤ NbT)}
  Int
  (∩ hf. (∩ i ∈ lessThan Nclients.
    {s. hf i ≤ (sub i o allocGiv)s & hf i prefixGe (sub i o allocAsk)s})
    LeadsTo {s. (∑ i ∈ lessThan Nclients. tokens (hf i)) ≤

```

```

      (∑ i ∈ lessThan Nclients. (tokens o sub i o allocRel)s))}"
    <proof>
  end

```

## 29 Distributed Resource Management System: the Client

```
theory Client imports Rename AllocBase begin
```

```
types
```

```
  tokbag = nat      — tokbags could be multisets...or any ordered type?
```

```
record state =
```

```
  giv :: "tokbag list" — input history: tokens granted
  ask :: "tokbag list" — output history: tokens requested
  rel :: "tokbag list" — output history: tokens released
  tok :: tokbag        — current token request
```

```
record 'a state_d =
```

```
  state +
  dummy :: 'a      — new variables
```

```
consts
```

```

rel_act :: "('a state_d * 'a state_d) set"
  "rel_act == {(s,s').
    ∃ nrel. nrel = size (rel s) &
    s' = s (| rel := rel s @ [giv s!nrel] |) &
    nrel < size (giv s) &
    ask s!nrel ≤ giv s!nrel}"

```

```

tok_act :: "('a state_d * 'a state_d) set"
  "tok_act == {(s,s'). s'=s | s' = s (| tok := Suc (tok s mod NbT) |)}"

```

```

ask_act :: "('a state_d * 'a state_d) set"
  "ask_act == {(s,s'). s'=s |
    (s' = s (| ask := ask s @ [tok s] |))}"

```

```
Client :: "'a state_d program"
```

```

  "Client ==
    mk_total_program
      ({s. tok s ∈ atMost NbT &

```

```

      giv s = [] & ask s = [] & rel s = []},
      {rel_act, tok_act, ask_act},
       $\bigcup G \in \text{preserves rel Int preserves ask Int preserves tok.}$ 
      Acts G)"

non_dummy :: "'a state_d => state"
"non_dummy s == (|giv = giv s, ask = ask s, rel = rel s, tok = tok s|)"

client_map :: "'a state_d => state*'a"
"client_map == funPair non_dummy dummy"

declare Client_def [THEN def_prg_Init, simp]
declare Client_def [THEN def_prg_AllowedActs, simp]
declare rel_act_def [THEN def_act_simp, simp]
declare tok_act_def [THEN def_act_simp, simp]
declare ask_act_def [THEN def_act_simp, simp]

lemma Client_ok_iff [iff]:
  "(Client ok G) =
    (G ∈ preserves rel & G ∈ preserves ask & G ∈ preserves tok &
     Client ∈ Allowed G)"
  <proof>

Safety property 1: ask, rel are increasing

lemma increasing_ask_rel:
  "Client ∈ UNIV guarantees Increasing ask Int Increasing rel"
  <proof>

declare nth_append [simp] append_one_prefix [simp]

Safety property 2: the client never requests too many tokens. With no Substitution Axiom, we must prove the two invariants simultaneously.

lemma ask_bounded_lemma:
  "Client ok G
   ==> Client Join G ∈
     Always ({s. tok s ≤ NbT} Int
             {s. ∀elt ∈ set (ask s). elt ≤ NbT})"
  <proof>

export version, with no mention of tok in the postcondition, but unfortunately tok must be declared local.

lemma ask_bounded:
  "Client ∈ UNIV guarantees Always {s. ∀elt ∈ set (ask s). elt ≤ NbT}"
  <proof>

** Towards proving the liveness property **

lemma stable_rel_le_giv: "Client ∈ stable {s. rel s ≤ giv s}"
  <proof>

lemma Join_Stable_rel_le_giv:

```

```

    "[| Client Join G ∈ Increasing giv; G ∈ preserves rel |]
    ==> Client Join G ∈ Stable {s. rel s ≤ giv s}"
  <proof>

```

```

lemma Join_Always_rel_le_giv:
  "[| Client Join G ∈ Increasing giv; G ∈ preserves rel |]
  ==> Client Join G ∈ Always {s. rel s ≤ giv s}"
  <proof>

```

```

lemma transient_lemma:
  "Client ∈ transient {s. rel s = k & k < h & h ≤ giv s & h prefixGe ask s}"
  <proof>

```

```

lemma induct_lemma:
  "[| Client Join G ∈ Increasing giv; Client ok G |]
  ==> Client Join G ∈ {s. rel s = k & k < h & h ≤ giv s & h prefixGe ask s}
    LeadsTo {s. k < rel s & rel s ≤ giv s &
              h ≤ giv s & h prefixGe ask s}"
  <proof>

```

```

lemma rel_progress_lemma:
  "[| Client Join G ∈ Increasing giv; Client ok G |]
  ==> Client Join G ∈ {s. rel s < h & h ≤ giv s & h prefixGe ask s}
    LeadsTo {s. h ≤ rel s}"
  <proof>

```

```

lemma client_progress_lemma:
  "[| Client Join G ∈ Increasing giv; Client ok G |]
  ==> Client Join G ∈ {s. h ≤ giv s & h prefixGe ask s}
    LeadsTo {s. h ≤ rel s}"
  <proof>

```

Progress property: all tokens that are given will be released

```

lemma client_progress:
  "Client ∈
    Increasing giv guarantees
    (INT h. {s. h ≤ giv s & h prefixGe ask s} LeadsTo {s. h ≤ rel s})"
  <proof>

```

This shows that the Client won't alter other variables in any state that it is combined with

```

lemma client_preserves_dummy: "Client ∈ preserves dummy"
  <proof>

```

\* Obsolete lemmas from first version of the Client \*

```

lemma stable_size_rel_le_giv:
  "Client ∈ stable {s. size (rel s) ≤ size (giv s)}"
  <proof>

```

clients return the right number of tokens

```

lemma ok_guar_rel_prefix_giv:
  "Client  $\in$  Increasing giv guarantees Always {s. rel s  $\leq$  giv s}"
  <proof>

end

```