

Isabelle/HOLCF — Higher-Order Logic of Computable Functions

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Contents

1	Porder: Partial orders	8
1.1	Type class for partial orders	8
1.2	Upper bounds	9
1.3	Least upper bounds	9
1.4	Countable chains	11
1.5	Finite chains	12
1.6	Directed sets	14
2	Pcpo: Classes cpo and pcpo	16
2.1	Complete partial orders	16
2.2	Pointed cpos	19
2.3	Chain-finite and flat cpos	21
3	Cont: Continuity and monotonicity	23
3.1	Definitions	23
3.2	$\text{monofun } f \wedge \text{contlub } f \equiv \text{cont } f$	24
3.3	Continuity simproc	26
3.4	Continuity of basic functions	26
3.5	Finite chains and flat pcpo	27
4	Adm: Admissibility and compactness	28
4.1	Definitions	28
4.2	Admissibility on chain-finite types	29
4.3	Admissibility of special formulae and propagation	29
4.4	Compactness	31
5	Pcpodef: Subtypes of pcpo	32
5.1	Proving a subtype is a partial order	33
5.2	Proving a subtype is finite	33
5.3	Proving a subtype is chain-finite	33

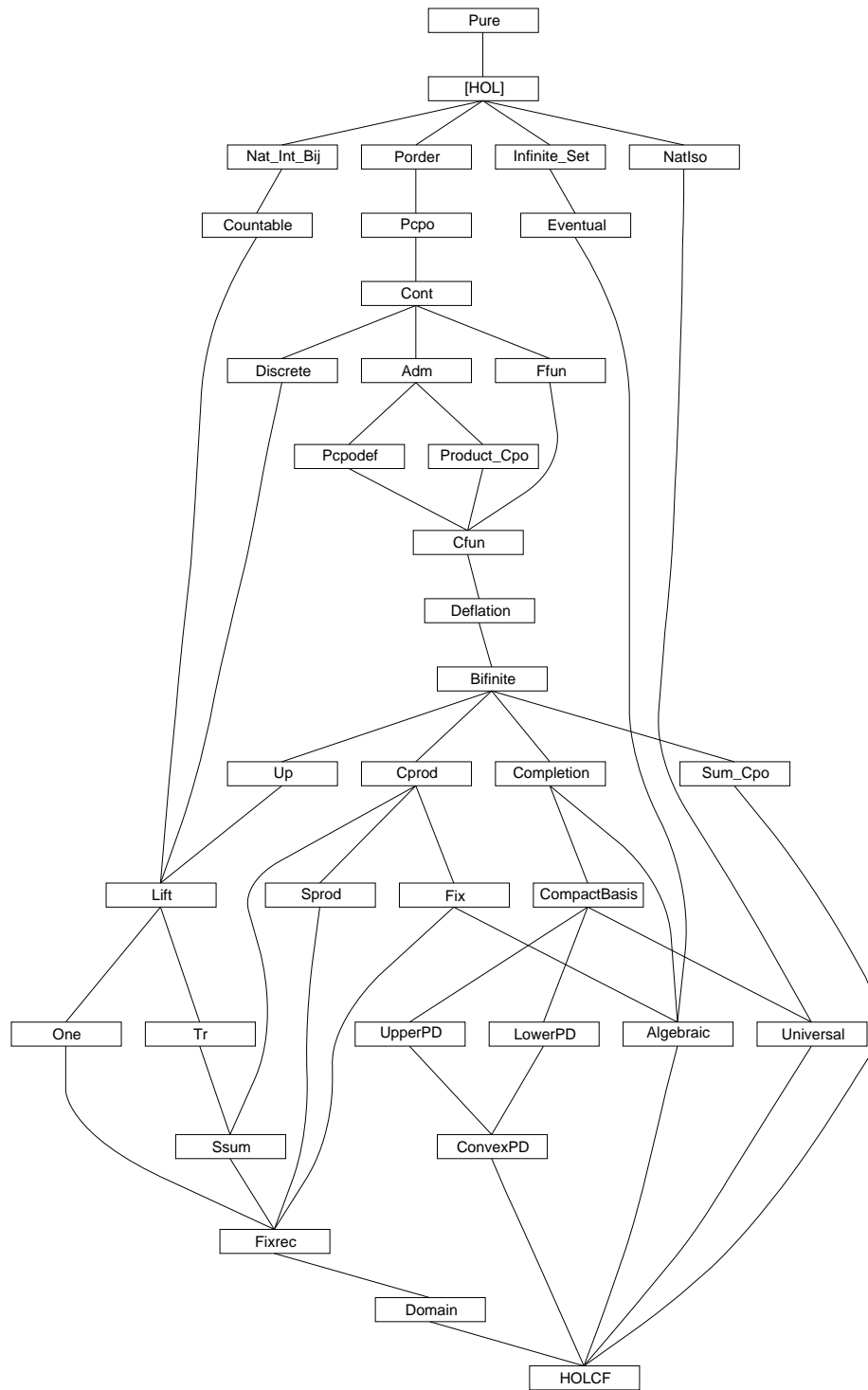
5.4	Proving a subtype is complete	34
5.4.1	Continuity of <i>Rep</i> and <i>Abs</i>	35
5.5	Proving subtype elements are compact	36
5.6	Proving a subtype is pointed	36
5.6.1	Strictness of <i>Rep</i> and <i>Abs</i>	37
5.7	Proving a subtype is flat	38
5.8	HOLCF type definition package	38
6	Ffun: Class instances for the full function space	38
6.1	Full function space is a partial order	38
6.2	Full function space is chain complete	39
6.3	Full function space is pointed	41
6.4	Propagation of monotonicity and continuity	42
7	Product-Cpo: The cpo of cartesian products	44
7.1	Type <i>unit</i> is a pcpo	44
7.2	Product type is a partial order	45
7.3	Monotonicity of $(-, -)$, <i>fst</i> , <i>snd</i>	45
7.4	Product type is a cpo	46
7.5	Product type is pointed	47
7.6	Continuity of $(-, -)$, <i>fst</i> , <i>snd</i>	47
7.7	Compactness and chain-finiteness	48
8	Cfun: The type of continuous functions	49
8.1	Definition of continuous function type	49
8.2	Syntax for continuous lambda abstraction	50
8.3	Continuous function space is pointed	51
8.4	Basic properties of continuous functions	51
8.5	Continuity of application	52
8.6	Continuity simplification procedure	55
8.7	Miscellaneous	56
8.8	Continuous injection-retraction pairs	56
8.9	Identity and composition	58
8.10	Strictified functions	59
8.11	Continuous let-bindings	60
9	Deflation: Continuous Deflations and Embedding-Projection Pairs	60
9.1	Continuous deflations	60
9.2	Deflations with finite range	62
9.3	Continuous embedding-projection pairs	63
9.4	Uniqueness of ep-pairs	66
9.5	Composing ep-pairs	67

10 Bifinite: Bifinite domains and approximation	68
10.1 Omega-profinite and bifinite domains	68
10.2 Instance for continuous function space	70
11 Cprod: The cpo of cartesian products	72
11.1 Type <i>unit</i> is a pcpo	72
11.2 Continuous versions of constants	72
11.3 Convert all lemmas to the continuous versions	73
11.4 Product type is a bifinite domain	75
12 Discrete: Discrete cpo types	75
12.1 Type <i>'a discr</i> is a discrete cpo	76
12.2 Type <i>'a discr</i> is a cpo	76
12.3 <i>undiscr</i>	77
13 Up: The type of lifted values	77
13.1 Definition of new type for lifting	77
13.2 Ordering on lifted cpo	78
13.3 Lifted cpo is a partial order	78
13.4 Lifted cpo is a cpo	78
13.5 Lifted cpo is pointed	80
13.6 Continuity of <i>Iup</i> and <i>Ifup</i>	81
13.7 Continuous versions of constants	81
13.8 Lifted cpo is a bifinite domain	83
14 Countable: Encoding (almost) everything into natural numbers	84
14.1 The class of countable types	84
14.2 Conversion functions	84
14.3 Countable types	84
14.4 The Rationals are Countably Infinite	87
15 Lift: Lifting types of class type to flat pcpo's	88
15.1 Lift as a datatype	89
15.2 Lift is flat	90
15.3 Further operations	90
15.4 Continuity Proofs for <i>flift1</i> , <i>flift2</i>	90
15.5 Lifted countable types are bifinite	92
16 Tr: The type of lifted booleans	93
16.1 Type definition and constructors	93
16.2 Case analysis	94
16.3 Boolean connectives	95
16.4 Rewriting of HOLCF operations to HOL functions	96
16.5 Compactness	97

17 Ssum: The type of strict sums	97
17.1 Definition of strict sum type	97
17.2 Definitions of constructors	97
17.3 Properties of <i>sinl</i> and <i>sinr</i>	98
17.4 Case analysis	100
17.5 Case analysis combinator	100
17.6 Strict sum preserves flatness	101
17.7 Strict sum is a bifinite domain	101
18 Sprod: The type of strict products	102
18.1 Definition of strict product type	102
18.2 Definitions of constants	102
18.3 Case analysis	103
18.4 Properties of <i>spair</i>	104
18.5 Properties of <i>sfst</i> and <i>ssnd</i>	104
18.6 Compactness	106
18.7 Properties of <i>ssplit</i>	106
18.8 Strict product preserves flatness	106
18.9 Strict product is a bifinite domain	106
19 One: The unit domain	107
20 Fix: Fixed point operator and admissibility	109
20.1 Iteration	109
20.2 Least fixed point operator	109
20.3 Fixed point induction	111
20.4 Recursive let bindings	112
20.5 Weak admissibility	113
21 Fixrec: Package for defining recursive functions in HOLCF	114
21.1 Maybe monad type	114
21.1.1 Monadic bind operator	115
21.1.2 Run operator	115
21.1.3 Monad plus operator	116
21.1.4 Fatbar combinator	116
21.2 Case branch combinator	117
21.2.1 Cases operator	117
21.3 Case syntax	118
21.4 Pattern combinators for data constructors	119
21.5 Wildcards, as-patterns, and lazy patterns	122
21.6 Match functions for built-in types	123
21.7 Mutual recursion	125
21.8 Initializing the fixrec package	125

22 Domain: Domain package	126
22.1 Continuous isomorphisms	126
22.2 Casedist	128
23 Completion: Defining bifinite domains by ideal completion	130
23.1 Ideals over a preorder	130
23.2 Lemmas about least upper bounds	133
23.3 Locale for ideal completion	133
23.4 Defining functions in terms of basis elements	135
23.5 Bifiniteness of ideal completions	137
24 CompactBasis: Compact bases of domains	139
24.1 Compact bases of bifinite domains	139
24.2 A compact basis for powerdomains	143
25 UpperPD: Upper powerdomain	145
25.1 Basis preorder	145
25.2 Type definition	147
25.3 Monadic unit and plus	149
25.4 Induction rules	152
25.5 Monadic bind	153
25.6 Map and join	154
26 LowerPD: Lower powerdomain	155
26.1 Basis preorder	155
26.2 Type definition	157
26.3 Monadic unit and plus	159
26.4 Induction rules	162
26.5 Monadic bind	163
26.6 Map and join	164
27 ConvexPD: Convex powerdomain	165
27.1 Basis preorder	165
27.2 Type definition	168
27.3 Monadic unit and plus	170
27.4 Induction rules	172
27.5 Monadic bind	173
27.6 Map and join	174
27.7 Conversions to other powerdomains	175
28 Infinite-Set: Infinite Sets and Related Concepts	178
28.1 Infinite Sets	178
28.2 Infinitely Many and Almost All	184
28.3 Enumeration of an Infinite Set	186
28.4 Miscellaneous	187

28.5	Lemmas about MOST	188
28.6	Eventually constant sequences	188
28.7	Limits of eventually constant sequences	189
29	Algebraic: Algebraic deflations	191
29.1	Constructing finite deflations by iteration	191
29.2	Type constructor for finite deflations	195
29.3	Take function for finite deflations	196
29.4	Defining algebraic deflations by ideal completion	197
29.5	Applying algebraic deflations	199
30	NatIso: Isomorphisms of the Natural Numbers	201
30.1	Isomorphism between naturals and sums of naturals	202
30.2	Isomorphism between naturals and pairs of naturals	202
30.2.1	Ordering properties	203
30.3	Isomorphism between naturals and finite sets of naturals	204
30.3.1	Preliminaries	204
30.3.2	From sets to naturals	204
30.3.3	From naturals to sets	205
30.3.4	Proof of isomorphism	205
30.3.5	Ordering properties	206
30.4	Basis datatype	206
30.5	Basis ordering	208
30.5.1	Generic take function	208
30.5.2	Take function for <i>ubasis</i>	210
30.6	Defining the universal domain by ideal completion	211
30.7	Universality of <i>udom</i>	213
30.7.1	Choosing a maximal element from a finite set	213
30.7.2	Rank of basis elements	215
30.7.3	Sequencing basis elements	218
30.7.4	Embedding and projection on basis elements	219
30.7.5	EP-pair from any bifinite domain into <i>udom</i>	223
31	Sum-Cpo: The cpo of disjoint sums	224
31.1	Ordering on type $'a + 'b$	224
31.2	Sum type is a complete partial order	225
31.3	Continuity of <i>Inl</i> , <i>Inr</i> , <i>sum-case</i>	226
31.4	Compactness and chain-finiteness	227
31.5	Sum type is a bifinite domain	228



1 Porder: Partial orders

```
theory Porder
imports Main
begin
```

1.1 Type class for partial orders

```
class sq-ord =
  fixes sq-le :: 'a ⇒ 'a ⇒ bool
```

```
notation
  sq-le (infixl << 55)
```

```
notation (xsymbols)
  sq-le (infixl ⊆ 55)
```

```
class po = sq-ord +
  assumes refl-less [iff]:  $x \subseteq x$ 
  assumes trans-less:  $\llbracket x \subseteq y; y \subseteq z \rrbracket \Longrightarrow x \subseteq z$ 
  assumes antisym-less:  $\llbracket x \subseteq y; y \subseteq x \rrbracket \Longrightarrow x = y$ 
```

minimal fixes least element

```
lemma minimal2UU[OF allI] :  $\forall x::'a::po. uu \subseteq x \Longrightarrow uu = (THE u. \forall y. u \subseteq y)$ 
by (blast intro: theI2 antisym-less)
```

the reverse law of anti-symmetry of $op \subseteq$

```
lemma antisym-less-inverse:  $(x::'a::po) = y \Longrightarrow x \subseteq y \wedge y \subseteq x$ 
by simp
```

```
lemma box-less:  $\llbracket (a::'a::po) \subseteq b; c \subseteq a; b \subseteq d \rrbracket \Longrightarrow c \subseteq d$ 
by (rule trans-less [OF trans-less])
```

```
lemma po-eq-conv:  $((x::'a::po) = y) = (x \subseteq y \wedge y \subseteq x)$ 
by (fast elim!: antisym-less-inverse intro!: antisym-less)
```

```
lemma rev-trans-less:  $\llbracket (y::'a::po) \subseteq z; x \subseteq y \rrbracket \Longrightarrow x \subseteq z$ 
by (rule trans-less)
```

```
lemma sq-ord-less-eq-trans:  $\llbracket a \subseteq b; b = c \rrbracket \Longrightarrow a \subseteq c$ 
by (rule subst)
```

```
lemma sq-ord-eq-less-trans:  $\llbracket a = b; b \subseteq c \rrbracket \Longrightarrow a \subseteq c$ 
by (rule ssubst)
```

```
lemmas HOLCF-trans-rules [trans] =
  trans-less
  antisym-less
```


sq-ord-less-eq-trans
sq-ord-eq-less-trans

1.2 Upper bounds

definition

is-ub :: [*'a set*, *'a::po*] \Rightarrow *bool* (**infixl** <| 55) **where**
 $(S <| x) = (\forall y. y \in S \longrightarrow y \sqsubseteq x)$

lemma *is-ubI*: $(\bigwedge x. x \in S \Longrightarrow x \sqsubseteq u) \Longrightarrow S <| u$
by (*simp add: is-ub-def*)

lemma *is-ubD*: $\llbracket S <| u; x \in S \rrbracket \Longrightarrow x \sqsubseteq u$
by (*simp add: is-ub-def*)

lemma *ub-imageI*: $(\bigwedge x. x \in S \Longrightarrow f x \sqsubseteq u) \Longrightarrow (\lambda x. f x) ' S <| u$
unfolding *is-ub-def* **by** *fast*

lemma *ub-imageD*: $\llbracket f ' S <| u; x \in S \rrbracket \Longrightarrow f x \sqsubseteq u$
unfolding *is-ub-def* **by** *fast*

lemma *ub-rangeI*: $(\bigwedge i. S i \sqsubseteq x) \Longrightarrow \text{range } S <| x$
unfolding *is-ub-def* **by** *fast*

lemma *ub-rangeD*: $\text{range } S <| x \Longrightarrow S i \sqsubseteq x$
unfolding *is-ub-def* **by** *fast*

lemma *is-ub-empty* [*simp*]: $\{\} <| u$
unfolding *is-ub-def* **by** *fast*

lemma *is-ub-insert* [*simp*]: $(\text{insert } x A) <| y = (x \sqsubseteq y \wedge A <| y)$
unfolding *is-ub-def* **by** *fast*

lemma *is-ub-upward*: $\llbracket S <| x; x \sqsubseteq y \rrbracket \Longrightarrow S <| y$
unfolding *is-ub-def* **by** (*fast intro: trans-less*)

1.3 Least upper bounds

definition

is-lub :: [*'a set*, *'a::po*] \Rightarrow *bool* (**infixl** <<| 55) **where**
 $(S <<| x) = (S <| x \wedge (\forall u. S <| u \longrightarrow x \sqsubseteq u))$

definition

lub :: *'a set* \Rightarrow *'a::po* **where**
 $\text{lub } S = (\text{THE } x. S <<| x)$

syntax

-BLub :: [*pttrn*, *'a set*, *'b*] \Rightarrow *'b* (*((3LUB -:-./ -) [0,0, 10] 10)*)

syntax (*xsymbols*)

$-BLub :: [pttrn, 'a\ set, 'b] \Rightarrow 'b\ ((\mathcal{B}\sqcup - \in - / -)\ [0,0, 10]\ 10)$

translations

$LUB\ x:A.\ t == CONST\ lub\ ((\%x.\ t)\ 'A)$

abbreviation

$Lub\ (\mathbf{binder}\ LUB\ 10)\ \mathbf{where}$

$LUB\ n.\ t\ n == lub\ (range\ t)$

notation (*xsymbols*)

$Lub\ (\mathbf{binder}\ \sqcup\ 10)$

access to some definition as inference rule

lemma *is-lubD1*: $S <<| x \Longrightarrow S <| x$

unfolding *is-lub-def* **by** *fast*

lemma *is-lub-lub*: $\llbracket S <<| x; S <| u \rrbracket \Longrightarrow x \sqsubseteq u$

unfolding *is-lub-def* **by** *fast*

lemma *is-lubI*: $\llbracket S <| x; \bigwedge u.\ S <| u \Longrightarrow x \sqsubseteq u \rrbracket \Longrightarrow S <<| x$

unfolding *is-lub-def* **by** *fast*

lubs are unique

lemma *unique-lub*: $\llbracket S <<| x; S <<| y \rrbracket \Longrightarrow x = y$

apply (*unfold is-lub-def is-ub-def*)

apply (*blast intro: antisym-less*)

done

technical lemmas about *lub* and *op <<|*

lemma *lubI*: $M <<| x \Longrightarrow M <<| lub\ M$

apply (*unfold lub-def*)

apply (*rule theI*)

apply *assumption*

apply (*erule (1) unique-lub*)

done

lemma *thelubI*: $M <<| l \Longrightarrow lub\ M = l$

by (*rule unique-lub [OF lubI]*)

lemma *is-lub-singleton*: $\{x\} <<| x$

by (*simp add: is-lub-def*)

lemma *lub-singleton [simp]*: $lub\ \{x\} = x$

by (*rule thelubI [OF is-lub-singleton]*)

lemma *is-lub-bin*: $x \sqsubseteq y \Longrightarrow \{x, y\} <<| y$

by (*simp add: is-lub-def*)

lemma *lub-bin*: $x \sqsubseteq y \Longrightarrow lub\ \{x, y\} = y$

by (rule is-lub-bin [THEN thelubI])

lemma is-lub-maximal: $\llbracket S <| x; x \in S \rrbracket \implies S <<| x$
by (erule is-lubI, erule (1) is-ubD)

lemma lub-maximal: $\llbracket S <| x; x \in S \rrbracket \implies \text{lub } S = x$
by (rule is-lub-maximal [THEN thelubI])

1.4 Countable chains

definition

— Here we use countable chains and I prefer to code them as functions!

$\text{chain} :: (\text{nat} \Rightarrow 'a::\text{po}) \Rightarrow \text{bool}$ **where**
 $\text{chain } Y = (\forall i. Y\ i \sqsubseteq Y\ (\text{Suc } i))$

lemma chainI: $(\bigwedge i. Y\ i \sqsubseteq Y\ (\text{Suc } i)) \implies \text{chain } Y$
unfolding chain-def **by** fast

lemma chainE: $\text{chain } Y \implies Y\ i \sqsubseteq Y\ (\text{Suc } i)$
unfolding chain-def **by** fast

chains are monotone functions

lemma chain-mono-less: $\llbracket \text{chain } Y; i < j \rrbracket \implies Y\ i \sqsubseteq Y\ j$
by (erule less-Suc-induct, erule chainE, erule trans-less)

lemma chain-mono: $\llbracket \text{chain } Y; i \leq j \rrbracket \implies Y\ i \sqsubseteq Y\ j$
by (cases $i = j$, simp, simp add: chain-mono-less)

lemma chain-shift: $\text{chain } Y \implies \text{chain } (\lambda i. Y\ (i + j))$
by (rule chainI, simp, erule chainE)

technical lemmas about (least) upper bounds of chains

lemma is-ub-lub: $\text{range } S <<| x \implies S\ i \sqsubseteq x$
by (rule is-lubD1 [THEN ub-rangeD])

lemma is-ub-range-shift:

$\text{chain } S \implies \text{range } (\lambda i. S\ (i + j)) <| x = \text{range } S <| x$
apply (rule iffI)
apply (rule ub-rangeI)
apply (rule-tac $y = S\ (i + j)$ in trans-less)
apply (erule chain-mono)
apply (rule le-add1)
apply (erule ub-rangeD)
apply (rule ub-rangeI)
apply (erule ub-rangeD)
done

lemma is-lub-range-shift:

$\text{chain } S \implies \text{range } (\lambda i. S\ (i + j)) <<| x = \text{range } S <<| x$

by (*simp add: is-lub-def is-ub-range-shift*)

the lub of a constant chain is the constant

lemma *chain-const* [*simp*]: *chain* ($\lambda i. c$)

by (*simp add: chainI*)

lemma *lub-const*: *range* ($\lambda x. c$) $<<|$ *c*

by (*blast dest: ub-rangeD intro: is-lubI ub-rangeI*)

lemma *thelub-const* [*simp*]: $(\bigsqcup i. c) = c$

by (*rule lub-const [THEN thelubI]*)

1.5 Finite chains

definition

— finite chains, needed for monotony of continuous functions

max-in-chain :: [*nat*, *nat* \Rightarrow '*a::po*] \Rightarrow *bool* **where**

max-in-chain *i C* = ($\forall j. i \leq j \longrightarrow C\ i = C\ j$)

definition

finite-chain :: (*nat* \Rightarrow '*a::po*) \Rightarrow *bool* **where**

finite-chain *C* = (*chain* *C* \wedge ($\exists i. \text{max-in-chain } i\ C$))

results about finite chains

lemma *max-in-chainI*: $(\bigwedge j. i \leq j \Longrightarrow Y\ i = Y\ j) \Longrightarrow \text{max-in-chain } i\ Y$

unfolding *max-in-chain-def* **by** *fast*

lemma *max-in-chainD*: $\llbracket \text{max-in-chain } i\ Y; i \leq j \rrbracket \Longrightarrow Y\ i = Y\ j$

unfolding *max-in-chain-def* **by** *fast*

lemma *finite-chainI*:

$\llbracket \text{chain } C; \text{max-in-chain } i\ C \rrbracket \Longrightarrow \text{finite-chain } C$

unfolding *finite-chain-def* **by** *fast*

lemma *finite-chainE*:

$\llbracket \text{finite-chain } C; \bigwedge i. \llbracket \text{chain } C; \text{max-in-chain } i\ C \rrbracket \Longrightarrow R \rrbracket \Longrightarrow R$

unfolding *finite-chain-def* **by** *fast*

lemma *lub-finch1*: $\llbracket \text{chain } C; \text{max-in-chain } i\ C \rrbracket \Longrightarrow \text{range } C <<| C\ i$

apply (*rule is-lubI*)

apply (*rule ub-rangeI, rename-tac j*)

apply (*rule-tac x=i and y=j in linorder-le-cases*)

apply (*drule (1) max-in-chainD, simp*)

apply (*erule (1) chain-mono*)

apply (*erule ub-rangeD*)

done

lemma *lub-finch2*:

finite-chain *C* $\Longrightarrow \text{range } C <<| C$ (*LEAST* *i. max-in-chain* *i C*)

```

apply (erule finite-chainE)
apply (erule LeastI2 [where  $Q = \lambda i. \text{range } C <<| C \ i$ ])
apply (erule (1) lub-finch1)
done

```

```

lemma finch-imp-finite-range:  $\text{finite-chain } Y \implies \text{finite } (\text{range } Y)$ 
apply (erule finite-chainE)
apply (rule-tac  $B = Y \text{ ‘ } \{..i\}$  in finite-subset)
apply (rule subsetI)
apply (erule rangeE, rename-tac j)
apply (rule-tac  $x=i$  and  $y=j$  in linorder-le-cases)
apply (subgoal-tac  $Y \ j = Y \ i$ , simp)
apply (simp add: max-in-chain-def)
apply simp
apply simp
done

```

```

lemma finite-range-has-max:
  fixes  $f :: \text{nat} \Rightarrow 'a$  and  $r :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ 
  assumes mono:  $\bigwedge i \ j. i \leq j \implies r \ (f \ i) \ (f \ j)$ 
  assumes finite-range:  $\text{finite } (\text{range } f)$ 
  shows  $\exists k. \forall i. r \ (f \ i) \ (f \ k)$ 
proof (intro exI allI)
  fix  $i :: \text{nat}$ 
  let  $?j = \text{LEAST } k. f \ k = f \ i$ 
  let  $?k = \text{Max } ((\lambda x. \text{LEAST } k. f \ k = x) \text{ ‘ } \text{range } f)$ 
  have  $?j \leq ?k$ 
  proof (rule Max-ge)
    show  $\text{finite } ((\lambda x. \text{LEAST } k. f \ k = x) \text{ ‘ } \text{range } f)$ 
    using finite-range by (rule finite-imageI)
    show  $?j \in ((\lambda x. \text{LEAST } k. f \ k = x) \text{ ‘ } \text{range } f)$ 
    by (intro imageI rangeI)
  qed
  hence  $r \ (f \ ?j) \ (f \ ?k)$ 
  by (rule mono)
  also have  $f \ ?j = f \ i$ 
  by (rule LeastI, rule refl)
  finally show  $r \ (f \ i) \ (f \ ?k)$  .
qed

```

```

lemma finite-range-imp-finch:
   $\llbracket \text{chain } Y; \text{finite } (\text{range } Y) \rrbracket \implies \text{finite-chain } Y$ 
apply (subgoal-tac  $\exists k. \forall i. Y \ i \sqsubseteq Y \ k$ )
apply (erule exE)
apply (rule finite-chainI, assumption)
apply (rule max-in-chainI)
apply (rule antisym-less)
apply (erule (1) chain-mono)
apply (erule spec)

```

```

apply (rule finite-range-has-max)
apply (erule (1) chain-mono)
apply assumption
done

```

```

lemma bin-chain:  $x \sqsubseteq y \implies \text{chain } (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$ 
by (rule chainI, simp)

```

```

lemma bin-chainmax:
   $x \sqsubseteq y \implies \text{max-in-chain } (\text{Suc } 0) (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$ 
unfolding max-in-chain-def by simp

```

```

lemma lub-bin-chain:
   $x \sqsubseteq y \implies \text{range } (\lambda i::\text{nat}. \text{if } i=0 \text{ then } x \text{ else } y) <<| y$ 
apply (frule bin-chain)
apply (drule bin-chainmax)
apply (drule (1) lub-finch1)
apply simp
done

```

the maximal element in a chain is its lub

```

lemma lub-chain-maxelem:  $\llbracket Y\ i = c; \forall i. Y\ i \sqsubseteq c \rrbracket \implies \text{lub } (\text{range } Y) = c$ 
by (blast dest: ub-rangeD intro: thelubI is-lubI ub-rangeI)

```

1.6 Directed sets

definition

```

directed :: 'a::po set  $\Rightarrow$  bool where
directed S = (( $\exists x. x \in S$ )  $\wedge$  ( $\forall x \in S. \forall y \in S. \exists z \in S. x \sqsubseteq z \wedge y \sqsubseteq z$ ))

```

```

lemma directedI:
  assumes 1:  $\exists z. z \in S$ 
  assumes 2:  $\bigwedge x\ y. \llbracket x \in S; y \in S \rrbracket \implies \exists z \in S. x \sqsubseteq z \wedge y \sqsubseteq z$ 
  shows directed S
unfolding directed-def using prems by fast

```

```

lemma directedD1: directed S  $\implies \exists z. z \in S$ 
unfolding directed-def by fast

```

```

lemma directedD2:  $\llbracket \text{directed } S; x \in S; y \in S \rrbracket \implies \exists z \in S. x \sqsubseteq z \wedge y \sqsubseteq z$ 
unfolding directed-def by fast

```

```

lemma directedE1:
  assumes S: directed S
  obtains z where  $z \in S$ 
by (insert directedD1 [OF S], fast)

```

```

lemma directedE2:
  assumes S: directed S

```

assumes $x: x \in S$ **and** $y: y \in S$
obtains z **where** $z \in S$ $x \sqsubseteq z$ $y \sqsubseteq z$
by (*insert directedD2 [OF S x y], fast*)

lemma *directed-finiteI*:

assumes $U: \bigwedge U. \llbracket \text{finite } U; U \subseteq S \rrbracket \implies \exists z \in S. U <| z$
shows *directed S*

proof (*rule directedI*)

have *finite* $\{\}$ **and** $\{\} \subseteq S$ **by** *simp-all*

hence $\exists z \in S. \{\} <| z$ **by** (*rule U*)

thus $\exists z. z \in S$ **by** *simp*

next

fix $x y$

assume $x \in S$ **and** $y \in S$

hence *finite* $\{x, y\}$ **and** $\{x, y\} \subseteq S$ **by** *simp-all*

hence $\exists z \in S. \{x, y\} <| z$ **by** (*rule U*)

thus $\exists z \in S. x \sqsubseteq z \wedge y \sqsubseteq z$ **by** *simp*

qed

lemma *directed-finiteD*:

assumes $S: \text{directed } S$

shows $\llbracket \text{finite } U; U \subseteq S \rrbracket \implies \exists z \in S. U <| z$

proof (*induct U set: finite*)

case *empty*

from S **have** $\exists z. z \in S$ **by** (*rule directedD1*)

thus $\exists z \in S. \{\} <| z$ **by** *simp*

next

case (*insert x F*)

from (*insert x F $\subseteq S$*)

have $xS: x \in S$ **and** $FS: F \subseteq S$ **by** *simp-all*

from FS **have** $\exists y \in S. F <| y$ **by** *fact*

then obtain y **where** $yS: y \in S$ **and** $Fy: F <| y$ **..**

obtain z **where** $zS: z \in S$ **and** $xz: x \sqsubseteq z$ **and** $yz: y \sqsubseteq z$

using $xS yS$ **by** (*rule directedE2*)

from $Fy yz$ **have** $F <| z$ **by** (*rule is-ub-upward*)

with xz **have** *insert x F* $<| z$ **by** *simp*

with zS **show** $\exists z \in S. \text{insert } x F <| z$ **..**

qed

lemma *not-directed-empty [simp]*: $\neg \text{directed } \{\}$

by (*rule notI, drule directedD1, simp*)

lemma *directed-singleton*: *directed* $\{x\}$

by (*rule directedI, auto*)

lemma *directed-bin*: $x \sqsubseteq y \implies \text{directed } \{x, y\}$

by (*rule directedI, auto*)

lemma *directed-chain*: *chain S* $\implies \text{directed } (\text{range } S)$

```

apply (rule directedI)
apply (rule-tac x=S 0 in exI, simp)
apply (clarify, rename-tac m n)
apply (rule-tac x=S (max m n) in bexI)
apply (simp add: chain-mono)
apply simp
done

end

```

2 Pcpo: Classes cpo and pcpo

```

theory Pcpo
imports Porder
begin

```

2.1 Complete partial orders

The class cpo of chain complete partial orders

```

class cpo = po +
  — class axiom:
  assumes cpo: chain S  $\implies \exists x :: 'a::po. \text{range } S <<| x$ 

```

in cpo's everthing equal to THE lub has lub properties for every chain

```

lemma cpo-lubI: chain (S::nat  $\Rightarrow$  'a::cpo)  $\implies \text{range } S <<| (\bigsqcup i. S i)$ 
by (fast dest: cpo elim: lubI)

```

```

lemma thelubE:  $\llbracket \text{chain } S; (\bigsqcup i. S i) = (l::'a::cpo) \rrbracket \implies \text{range } S <<| l$ 
by (blast dest: cpo intro: lubI)

```

Properties of the lub

```

lemma is-ub-the lub: chain (S::nat  $\Rightarrow$  'a::cpo)  $\implies S x \sqsubseteq (\bigsqcup i. S i)$ 
by (blast dest: cpo intro: lubI [THEN is-ub-lub])

```

```

lemma is-lub-the lub:
   $\llbracket \text{chain } (S::nat \Rightarrow 'a::cpo); \text{range } S <| x \rrbracket \implies (\bigsqcup i. S i) \sqsubseteq x$ 
by (blast dest: cpo intro: lubI [THEN is-lub-lub])

```

```

lemma lub-range-mono:
   $\llbracket \text{range } X \subseteq \text{range } Y; \text{chain } Y; \text{chain } (X::nat \Rightarrow 'a::cpo) \rrbracket$ 
   $\implies (\bigsqcup i. X i) \sqsubseteq (\bigsqcup i. Y i)$ 
apply (erule is-lub-the lub)
apply (rule ub-rangeI)
apply (subgoal-tac  $\exists j. X i = Y j$ )
apply clarsimp
apply (erule is-ub-the lub)
apply auto

```


done

lemma *lub-range-shift*:

$\text{chain } (Y :: \text{nat} \Rightarrow 'a :: \text{cpo}) \Rightarrow (\bigsqcup i. Y (i + j)) = (\bigsqcup i. Y i)$
 apply (rule antisym-less)
 apply (rule lub-range-mono)
 apply fast
 apply assumption
 apply (erule chain-shift)
 apply (rule is-lub-the lub)
 apply assumption
 apply (rule ub-rangeI)
 apply (rule-tac $y = Y (i + j)$ in trans-less)
 apply (erule chain-mono)
 apply (rule le-add1)
 apply (rule is-ub-the lub)
 apply (erule chain-shift)
 done

lemma *maxinch-is-the lub*:

$\text{chain } Y \Rightarrow \text{max-in-chain } i \ Y = ((\bigsqcup i. Y i) = ((Y i) :: 'a :: \text{cpo}))$
 apply (rule iffI)
 apply (fast intro!: the lubI lub-finch1)
 apply (unfold max-in-chain-def)
 apply (safe intro!: antisym-less)
 apply (fast elim!: chain-mono)
 apply (erule sym)
 apply (force elim!: is-ub-the lub)
 done

the \sqsubseteq relation between two chains is preserved by their lubs

lemma *lub-mono*:

$\llbracket \text{chain } (X :: \text{nat} \Rightarrow 'a :: \text{cpo}); \text{chain } Y; \bigwedge i. X i \sqsubseteq Y i \rrbracket$
 $\Rightarrow (\bigsqcup i. X i) \sqsubseteq (\bigsqcup i. Y i)$
 apply (erule is-lub-the lub)
 apply (rule ub-rangeI)
 apply (rule trans-less)
 apply (erule meta-spec)
 apply (erule is-ub-the lub)
 done

the $=$ relation between two chains is preserved by their lubs

lemma *lub-equal*:

$\llbracket \text{chain } (X :: \text{nat} \Rightarrow 'a :: \text{cpo}); \text{chain } Y; \forall k. X k = Y k \rrbracket$
 $\Rightarrow (\bigsqcup i. X i) = (\bigsqcup i. Y i)$
 by (simp only: expand-fun-eq [symmetric])

more results about mono and $=$ of lubs of chains

lemma *lub-mono2*:

```

  [[ $\exists j. \forall i > j. X\ i = Y\ i; \text{chain } (X :: \text{nat} \Rightarrow 'a :: \text{cpo}); \text{chain } Y$ ]]
     $\Rightarrow (\bigsqcup i. X\ i) \sqsubseteq (\bigsqcup i. Y\ i)$ 
  apply (erule exE)
  apply (subgoal-tac ( $\bigsqcup i. X\ (i + \text{Suc } j)$ )  $\sqsubseteq (\bigsqcup i. Y\ (i + \text{Suc } j))$ )
  apply (thin-tac  $\forall i > j. X\ i = Y\ i$ )
  apply (simp only: lub-range-shift)
  apply simp
  done

```

```

lemma lub-equal2:
  [[ $\exists j. \forall i > j. X\ i = Y\ i; \text{chain } (X :: \text{nat} \Rightarrow 'a :: \text{cpo}); \text{chain } Y$ ]]
     $\Rightarrow (\bigsqcup i. X\ i) = (\bigsqcup i. Y\ i)$ 
  by (blast intro: antisym-less lub-mono2 sym)

```

```

lemma lub-mono3:
  [[ $\text{chain } (Y :: \text{nat} \Rightarrow 'a :: \text{cpo}); \text{chain } X; \forall i. \exists j. Y\ i \sqsubseteq X\ j$ ]]
     $\Rightarrow (\bigsqcup i. Y\ i) \sqsubseteq (\bigsqcup i. X\ i)$ 
  apply (erule is-lub-the lub)
  apply (rule ub-rangeI)
  apply (erule allE)
  apply (erule exE)
  apply (erule trans-less)
  apply (erule is-ub-the lub)
  done

```

```

lemma ch2ch-lub:
  fixes  $Y :: \text{nat} \Rightarrow \text{nat} \Rightarrow 'a :: \text{cpo}$ 
  assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y\ i\ j)$ 
  assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y\ i\ j)$ 
  shows  $\text{chain } (\lambda i. \bigsqcup j. Y\ i\ j)$ 
  apply (rule chainI)
  apply (rule lub-mono [OF 2 2])
  apply (rule chainE [OF 1])
  done

```

```

lemma diag-lub:
  fixes  $Y :: \text{nat} \Rightarrow \text{nat} \Rightarrow 'a :: \text{cpo}$ 
  assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y\ i\ j)$ 
  assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y\ i\ j)$ 
  shows  $(\bigsqcup i. \bigsqcup j. Y\ i\ j) = (\bigsqcup i. Y\ i\ i)$ 
  proof (rule antisym-less)
    have 3:  $\text{chain } (\lambda i. Y\ i\ i)$ 
    apply (rule chainI)
    apply (rule trans-less)
    apply (rule chainE [OF 1])
    apply (rule chainE [OF 2])
    done
    have 4:  $\text{chain } (\lambda i. \bigsqcup j. Y\ i\ j)$ 
    by (rule ch2ch-lub [OF 1 2])

```

```

show ( $\sqcup i. \sqcup j. Y\ i\ j$ )  $\sqsubseteq$  ( $\sqcup i. Y\ i\ i$ )
  apply (rule is-lub-the lub [OF 4])
  apply (rule ub-rangeI)
  apply (rule lub-mono3 [rule-format, OF 2 3])
  apply (rule exI)
  apply (rule trans-less)
  apply (rule chain-mono [OF 1 le-maxI1])
  apply (rule chain-mono [OF 2 le-maxI2])
done
show ( $\sqcup i. Y\ i\ i$ )  $\sqsubseteq$  ( $\sqcup i. \sqcup j. Y\ i\ j$ )
  apply (rule lub-mono [OF 3 4])
  apply (rule is-ub-the lub [OF 2])
done
qed

```

```

lemma ex-lub:
  fixes Y :: nat  $\Rightarrow$  nat  $\Rightarrow$  'a::cpo
  assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y\ i\ j)$ 
  assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y\ i\ j)$ 
  shows ( $\sqcup i. \sqcup j. Y\ i\ j$ ) = ( $\sqcup j. \sqcup i. Y\ i\ j$ )
by (simp add: diag-lub 1 2)

```

2.2 Pointed cpos

The class pcpo of pointed cpos

```

class pcpo = cpo +
  assumes least:  $\exists x. \forall y. x \sqsubseteq y$ 

```

```

definition
  UU :: 'a::pcpo where
  UU = (THE x.  $\forall y. x \sqsubseteq y$ )

```

```

notation (xsymbols)
  UU ( $\perp$ )

```

derive the old rule minimal

```

lemma UU-least:  $\forall z. \perp \sqsubseteq z$ 
  apply (unfold UU-def)
  apply (rule theI')
  apply (rule ex-ex1I)
  apply (rule least)
  apply (blast intro: antisym-less)
done

```

```

lemma minimal [iff]:  $\perp \sqsubseteq x$ 
by (rule UU-least [THEN spec])

```

```

lemma UU-reorient: ( $\perp = x$ ) = ( $x = \perp$ )
by auto

```

```

ML <<
  local
    val meta-UU-reorient = thm UU-reorient RS eq-reflection;
    fun reorient-proc sg - (- $ t $ u) =
      case u of
        Const(Pcpo.UU, -) => NONE
      | Const(HOL.zero, -) => NONE
      | Const(HOL.one, -) => NONE
      | Const(Numeral.number-of, -) $ - => NONE
      | - => SOME meta-UU-reorient;
  in
    val UU-reorient-simproc =
      Simplifier.simproc (the-context ()) UU-reorient-simproc [UU=x] reorient-proc
    end;

    Addsimprocs [UU-reorient-simproc];
  >>

  useful lemmas about  $\perp$ 

  lemma less-UU-iff [simp]:  $(x \sqsubseteq \perp) = (x = \perp)$ 
  by (simp add: po-eq-conv)

  lemma eq-UU-iff:  $(x = \perp) = (x \sqsubseteq \perp)$ 
  by simp

  lemma UU-I:  $x \sqsubseteq \perp \implies x = \perp$ 
  by (subst eq-UU-iff)

  lemma not-less2not-eq:  $\neg (x :: 'a::po) \sqsubseteq y \implies x \neq y$ 
  by auto

  lemma chain-UU-I:  $\llbracket \text{chain } Y; (\bigsqcup i. Y\ i) = \perp \rrbracket \implies \forall i. Y\ i = \perp$ 
  apply (rule allI)
  apply (rule UU-I)
  apply (erule subst)
  apply (erule is-ub-the-lub)
  done

  lemma chain-UU-I-inverse:  $\forall i::nat. Y\ i = \perp \implies (\bigsqcup i. Y\ i) = \perp$ 
  apply (rule lub-chain-max-lem)
  apply (erule spec)
  apply simp
  done

  lemma chain-UU-I-inverse2:  $(\bigsqcup i. Y\ i) \neq \perp \implies \exists i::nat. Y\ i \neq \perp$ 
  by (blast intro: chain-UU-I-inverse)

  lemma notUU-I:  $\llbracket x \sqsubseteq y; x \neq \perp \rrbracket \implies y \neq \perp$ 

```

by (*blast intro: UU-I*)

lemma *chain-mono2*: $\llbracket \exists j. Y\ j \neq \perp; \text{chain } Y \rrbracket \implies \exists j. \forall i > j. Y\ i \neq \perp$
by (*blast dest: notUU-I chain-mono-less*)

2.3 Chain-finite and flat cpos

further useful classes for HOLCF domains

class *finite-po* = *finite* + *po*

class *chfin* = *po* +
assumes *chfin*: *chain* *Y* $\implies \exists n. \text{max-in-chain } n\ (Y :: \text{nat} \Rightarrow 'a::\text{po})$

class *flat* = *pcpo* +
assumes *ax-flat*: $(x :: 'a::\text{pcpo}) \sqsubseteq y \implies x = \perp \vee x = y$

finite partial orders are chain-finite

instance *finite-po* < *chfin*
apply *intro-classes*
apply (*drule finite-range-imp-finch*)
apply (*rule finite*)
apply (*simp add: finite-chain-def*)
done

some properties for *chfin* and *flat*

chfin types are *cpo*

instance *chfin* < *cpo*
apply *intro-classes*
apply (*frule chfin*)
apply (*blast intro: lub-finch1*)
done

flat types are *chfin*

instance *flat* < *chfin*
apply *intro-classes*
apply (*unfold max-in-chain-def*)
apply (*case-tac* $\forall i. Y\ i = \perp$)
apply *simp*
apply *simp*
apply (*erule exE*)
apply (*rule-tac* $x=i$ **in** *exI*)
apply *clarify*
apply (*blast dest: chain-mono ax-flat*)
done

flat subclass of *chfin*; *adm-flat* not needed

lemma *flat-less-iff*:

```

fixes  $x\ y :: 'a::flat$ 
shows  $(x \sqsubseteq y) = (x = \perp \vee x = y)$ 
by (safe dest!: ax-flat)

```

```

lemma flat-eq:  $(a::'a::flat) \neq \perp \implies a \sqsubseteq b = (a = b)$ 
by (safe dest!: ax-flat)

```

```

lemma chfin2finch:  $chain\ (Y::nat \Rightarrow 'a::chfin) \implies finite-chain\ Y$ 
by (simp add: chfin finite-chain-def)

```

Discrete cpos

```

class discrete-cpo = sq-ord +
  assumes discrete-cpo [simp]:  $x \sqsubseteq y \longleftrightarrow x = y$ 

```

```

subclass (in discrete-cpo) po
proof qed simp-all

```

In a discrete cpo, every chain is constant

```

lemma discrete-chain-const:
  assumes  $S: chain\ (S::nat \Rightarrow 'a::discrete-cpo)$ 
  shows  $\exists x. S = (\lambda i. x)$ 
proof (intro exI ext)
  fix  $i :: nat$ 
  have  $S\ 0 \sqsubseteq S\ i$  using  $S\ le0$  by (rule chain-mono)
  hence  $S\ 0 = S\ i$  by simp
  thus  $S\ i = S\ 0$  by (rule sym)
qed

```

```

instance discrete-cpo < cpo
proof
  fix  $S :: nat \Rightarrow 'a$ 
  assume  $S: chain\ S$ 
  hence  $\exists x. S = (\lambda i. x)$ 
  by (rule discrete-chain-const)
  thus  $\exists x. range\ S <<| x$ 
  by (fast intro: lub-const)
qed

```

lemmata for improved admissibility introduction rule

```

lemma infinite-chain-adm-lemma:
   $\llbracket chain\ Y; \forall i. P\ (Y\ i);$ 
   $\bigwedge Y. \llbracket chain\ Y; \forall i. P\ (Y\ i); \neg\ finite-chain\ Y \rrbracket \implies P\ (\bigsqcup i. Y\ i)$ 
   $\implies P\ (\bigsqcup i. Y\ i)$ 
apply (case-tac finite-chain Y)
prefer 2 apply fast
apply (unfold finite-chain-def)
apply safe
apply (rule lub-finch1 [THEN thelubI, THEN ssubst])
apply assumption

```

apply (*erule spec*)
done

lemma *increasing-chain-adm-lemma*:

$$\begin{aligned} & \llbracket \text{chain } Y; \forall i. P (Y i); \bigwedge Y. \llbracket \text{chain } Y; \forall i. P (Y i); \\ & \quad \forall i. \exists j > i. Y i \neq Y j \wedge Y i \sqsubseteq Y j \rrbracket \implies P (\bigsqcup i. Y i) \rrbracket \\ & \implies P (\bigsqcup i. Y i) \end{aligned}$$

apply (*erule infinite-chain-adm-lemma*)

apply *assumption*

apply (*erule thin-rl*)

apply (*unfold finite-chain-def*)

apply (*unfold max-in-chain-def*)

apply (*fast dest: le-imp-less-or-eq elim: chain-mono-less*)

done

end

3 Cont: Continuity and monotonicity

theory *Cont*

imports *Pcpo*

begin

Now we change the default class! From now on all untyped type variables are of default class *po*

defaultsort *po*

3.1 Definitions

definition

monofun :: (*'a* \Rightarrow *'b*) \Rightarrow *bool* — monotonicity **where**
monofun *f* = ($\forall x y. x \sqsubseteq y \longrightarrow f x \sqsubseteq f y$)

definition

contlub :: (*'a::cpo* \Rightarrow *'b::cpo*) \Rightarrow *bool* — first cont. def **where**
contlub *f* = ($\forall Y. \text{chain } Y \longrightarrow f (\bigsqcup i. Y i) = (\bigsqcup i. f (Y i))$)

definition

cont :: (*'a::cpo* \Rightarrow *'b::cpo*) \Rightarrow *bool* — secnd cont. def **where**
cont *f* = ($\forall Y. \text{chain } Y \longrightarrow \text{range } (\lambda i. f (Y i)) <<| f (\bigsqcup i. Y i)$)

lemma *contlubI*:

$$\llbracket \bigwedge Y. \text{chain } Y \implies f (\bigsqcup i. Y i) = (\bigsqcup i. f (Y i)) \rrbracket \implies \text{contlub } f$$

by (*simp add: contlub-def*)

lemma *contlubE*:

$$\llbracket \text{contlub } f; \text{chain } Y \rrbracket \implies f (\bigsqcup i. Y i) = (\bigsqcup i. f (Y i))$$

by (*simp add: contlub-def*)

lemma *contI*:

$\llbracket \bigwedge Y. \text{chain } Y \implies \text{range } (\lambda i. f (Y i)) <| f (\bigsqcup i. Y i) \rrbracket \implies \text{cont } f$
by (*simp add: cont-def*)

lemma *contE*:

$\llbracket \text{cont } f; \text{chain } Y \rrbracket \implies \text{range } (\lambda i. f (Y i)) <| f (\bigsqcup i. Y i)$
by (*simp add: cont-def*)

lemma *monofunI*:

$\llbracket \bigwedge x y. x \sqsubseteq y \implies f x \sqsubseteq f y \rrbracket \implies \text{monofun } f$
by (*simp add: monofun-def*)

lemma *monofunE*:

$\llbracket \text{monofun } f; x \sqsubseteq y \rrbracket \implies f x \sqsubseteq f y$
by (*simp add: monofun-def*)

3.2 $\text{monofun } f \wedge \text{contlub } f \equiv \text{cont } f$

monotone functions map chains to chains

lemma *ch2ch-monofun*: $\llbracket \text{monofun } f; \text{chain } Y \rrbracket \implies \text{chain } (\lambda i. f (Y i))$

apply (*rule chainI*)

apply (*erule monofunE*)

apply (*erule chainE*)

done

monotone functions map upper bound to upper bounds

lemma *ub2ub-monofun*:

$\llbracket \text{monofun } f; \text{range } Y <| u \rrbracket \implies \text{range } (\lambda i. f (Y i)) <| f u$

apply (*rule ub-rangeI*)

apply (*erule monofunE*)

apply (*erule ub-rangeD*)

done

left to right: $\text{monofun } f \wedge \text{contlub } f \implies \text{cont } f$

lemma *monocontlub2cont*: $\llbracket \text{monofun } f; \text{contlub } f \rrbracket \implies \text{cont } f$

apply (*rule contI*)

apply (*rule thelubE*)

apply (*erule (1) ch2ch-monofun*)

apply (*erule (1) contlubE [symmetric]*)

done

first a lemma about binary chains

lemma *binchain-cont*:

$\llbracket \text{cont } f; x \sqsubseteq y \rrbracket \implies \text{range } (\lambda i::\text{nat}. f (\text{if } i = 0 \text{ then } x \text{ else } y)) <| f y$

apply (*subgoal-tac* $f (\bigsqcup i::\text{nat}. \text{if } i = 0 \text{ then } x \text{ else } y) = f y$)

apply (*erule subst*)

apply (*erule contE*)


```

apply (erule bin-chain)
apply (rule-tac f=f in arg-cong)
apply (erule lub-bin-chain [THEN thelubI])
done

```

right to left: $\text{cont } f \implies \text{monofun } f \wedge \text{contlub } f$

part1: $\text{cont } f \implies \text{monofun } f$

```

lemma cont2mono:  $\text{cont } f \implies \text{monofun } f$ 
apply (rule monofunI)
apply (drule (1) binchain-cont)
apply (drule-tac i=0 in is-ub-lub)
apply simp
done

```

lemmas cont2monofunE = cont2mono [THEN monofunE]

lemmas ch2ch-cont = cont2mono [THEN ch2ch-monofun]

right to left: $\text{cont } f \implies \text{monofun } f \wedge \text{contlub } f$

part2: $\text{cont } f \implies \text{contlub } f$

```

lemma cont2contlub:  $\text{cont } f \implies \text{contlub } f$ 
apply (rule contlubI)
apply (rule thelubI [symmetric])
apply (erule (1) contE)
done

```

lemmas cont2contlubE = cont2contlub [THEN contlubE]

lemma contI2:

```

  assumes mono:  $\text{monofun } f$ 
  assumes less:  $\bigwedge Y. \llbracket \text{chain } Y; \text{chain } (\lambda i. f (Y i)) \rrbracket$ 
     $\implies f (\bigsqcup i. Y i) \sqsubseteq (\bigsqcup i. f (Y i))$ 
  shows  $\text{cont } f$ 
apply (rule monocontlub2cont)
apply (rule mono)
apply (rule contlubI)
apply (rule antisym-less)
apply (rule less, assumption)
apply (erule ch2ch-monofun [OF mono])
apply (rule is-lub-the lub)
apply (erule ch2ch-monofun [OF mono])
apply (rule ub2ub-monofun [OF mono])
apply (rule is-lubD1)
apply (erule cpo-lubI)
done

```

3.3 Continuity simproc

```
ML ⟨⟨
  structure Cont2ContData = NamedThmsFun
    ( val name = cont2cont val description = continuity intro rule )
  ⟩⟩
```

```
setup ⟨⟨ Cont2ContData.setup ⟩⟩
```

Given the term *cont f*, the procedure tries to construct the theorem *cont f* \equiv *True*. If this theorem cannot be completely solved by the introduction rules, then the procedure returns a conditional rewrite rule with the unsolved subgoals as premises.

```
setup ⟨⟨
  let
    fun solve-cont thy ss t =
      let
        val tr = instantiate' [] [SOME (cterm-of thy t)] Eq-TrueI;
        val rules = Cont2ContData.get (Simplifier.the-context ss);
        val tac = REPEAT-ALL-NEW (match-tac rules);
        in Option.map fst (Seq.pull (tac 1 tr)) end

    val proc =
      Simplifier.simproc @{theory} cont-proc [cont f] solve-cont;
  in
    Simplifier.map-simpset (fn ss => ss addsimprocs [proc])
  end
  ⟩⟩
```

3.4 Continuity of basic functions

The identity function is continuous

```
lemma cont-id [cont2cont]: cont (λx. x)
apply (rule contI)
apply (erule cpo-lubI)
done
```

constant functions are continuous

```
lemma cont-const [cont2cont]: cont (λx. c)
apply (rule contI)
apply (rule lub-const)
done
```

application of functions is continuous

```
lemma cont2cont-apply:
  fixes f :: 'a::cpo ⇒ 'b::cpo ⇒ 'c::cpo and t :: 'a ⇒ 'b
  assumes f1: ⋀y. cont (λx. f x y)
  assumes f2: ⋀x. cont (λy. f x y)
```

```

assumes  $t$ : cont ( $\lambda x. t\ x$ )
shows cont ( $\lambda x. (f\ x)\ (t\ x)$ )
proof (rule monocontlub2cont [OF monofunI contlubI])
  fix  $x\ y :: 'a$  assume  $x \sqsubseteq y$ 
  then show  $f\ x\ (t\ x) \sqsubseteq f\ y\ (t\ y)$ 
    by (auto intro: cont2monofunE [OF f1]
          cont2monofunE [OF f2]
          cont2monofunE [OF t]
          trans-less)
next
  fix  $Y :: \text{nat} \Rightarrow 'a$  assume chain  $Y$ 
  then show  $f\ (\bigsqcup i. Y\ i)\ (t\ (\bigsqcup i. Y\ i)) = (\bigsqcup i. f\ (Y\ i)\ (t\ (Y\ i)))$ 
    by (simp only: cont2contlubE [OF t] ch2ch-cont [OF t]
          cont2contlubE [OF f1] ch2ch-cont [OF f1]
          cont2contlubE [OF f2] ch2ch-cont [OF f2]
          diag-lub)

```

qed

lemma *cont2cont-compose*:
 $\llbracket \text{cont } c; \text{cont } (\lambda x. f\ x) \rrbracket \Longrightarrow \text{cont } (\lambda x. c\ (f\ x))$
by (rule *cont2cont-apply* [*OF* *cont-const*])

if-then-else is continuous

lemma *cont-if* [*simp*]:
 $\llbracket \text{cont } f; \text{cont } g \rrbracket \Longrightarrow \text{cont } (\lambda x. \text{if } b \text{ then } f\ x \text{ else } g\ x)$
by (*induct* b) *simp-all*

3.5 Finite chains and flat pcpos

monotone functions map finite chains to finite chains

lemma *monofun-finch2finch*:
 $\llbracket \text{monofun } f; \text{finite-chain } Y \rrbracket \Longrightarrow \text{finite-chain } (\lambda n. f\ (Y\ n))$
apply (*unfold finite-chain-def*)
apply (*simp add: ch2ch-monofun*)
apply (*force simp add: max-in-chain-def*)
done

The same holds for continuous functions

lemma *cont-finch2finch*:
 $\llbracket \text{cont } f; \text{finite-chain } Y \rrbracket \Longrightarrow \text{finite-chain } (\lambda n. f\ (Y\ n))$
by (rule *cont2mono* [*THEN* *monofun-finch2finch*])

lemma *chfindom-monofun2cont*: $\text{monofun } f \Longrightarrow \text{cont } (f :: 'a :: \text{chfin} \Rightarrow 'b :: \text{cpo})$
apply (rule *monocontlub2cont*)
apply *assumption*
apply (rule *contlubI*)
apply (*frule chfin2finch*)
apply (*clarsimp simp add: finite-chain-def*)

```

apply (subgoal-tac max-in-chain i ( $\lambda i. f (Y i)$ ))
apply (simp add: maxinch-is-thelub ch2ch-monofun)
apply (force simp add: max-in-chain-def)
done

```

some properties of flat

```

lemma flatdom-strict2mono:  $f \perp = \perp \implies \text{monofun } (f :: 'a :: \text{flat} \Rightarrow 'b :: \text{pcpo})$ 
apply (rule monofunI)
apply (drule ax-flat)
apply auto
done

```

```

lemma flatdom-strict2cont:  $f \perp = \perp \implies \text{cont } (f :: 'a :: \text{flat} \Rightarrow 'b :: \text{pcpo})$ 
by (rule flatdom-strict2mono [THEN chfindom-monofun2cont])

```

functions with discrete domain

```

lemma cont-discrete-cpo [simp]:  $\text{cont } (f :: 'a :: \text{discrete-cpo} \Rightarrow 'b :: \text{cpo})$ 
apply (rule contI)
apply (drule discrete-chain-const, clarify)
apply (simp add: lub-const)
done

```

end

4 Adm: Admissibility and compactness

```

theory Adm
imports Cont
begin

```

```

defaultsort cpo

```

4.1 Definitions

```

definition
  adm :: ('a :: cpo  $\Rightarrow$  bool)  $\Rightarrow$  bool where
  adm P = ( $\forall Y. \text{chain } Y \longrightarrow (\forall i. P (Y i)) \longrightarrow P (\bigsqcup i. Y i)$ )

```

```

lemma admI:
  ( $\bigwedge Y. \llbracket \text{chain } Y; \forall i. P (Y i) \rrbracket \implies P (\bigsqcup i. Y i) \implies \text{adm } P$ )
unfolding adm-def by fast

```

```

lemma admD:  $\llbracket \text{adm } P; \text{chain } Y; \bigwedge i. P (Y i) \rrbracket \implies P (\bigsqcup i. Y i)$ 
unfolding adm-def by fast

```

```

lemma admD2:  $\llbracket \text{adm } (\lambda x. \neg P x); \text{chain } Y; P (\bigsqcup i. Y i) \rrbracket \implies \exists i. P (Y i)$ 
unfolding adm-def by fast

```

lemma *triv-admI*: $\forall x. P\ x \implies \text{adm}\ P$
by (*rule admI, erule spec*)

improved admissibility introduction

lemma *admI2*:
 $(\bigwedge Y. \llbracket \text{chain}\ Y; \forall i. P\ (Y\ i); \forall i. \exists j > i. Y\ i \neq Y\ j \wedge Y\ i \sqsubseteq Y\ j \rrbracket \implies P\ (\bigsqcup i. Y\ i)) \implies \text{adm}\ P$
apply (*rule admI*)
apply (*erule (1) increasing-chain-adm-lemma*)
apply *fast*
done

4.2 Admissibility on chain-finite types

for chain-finite (easy) types every formula is admissible

lemma *adm-chfin*: $\text{adm}\ (P :: 'a :: \text{chfin} \Rightarrow \text{bool})$
by (*rule admI, frule chfin, auto simp add: maxinch-is-the lub*)

4.3 Admissibility of special formulae and propagation

lemma *adm-not-free*: $\text{adm}\ (\lambda x. t)$
by (*rule admI, simp*)

lemma *adm-conj*: $\llbracket \text{adm}\ P; \text{adm}\ Q \rrbracket \implies \text{adm}\ (\lambda x. P\ x \wedge Q\ x)$
by (*fast intro: admI elim: admD*)

lemma *adm-all*: $(\bigwedge y. \text{adm}\ (\lambda x. P\ x\ y)) \implies \text{adm}\ (\lambda x. \forall y. P\ x\ y)$
by (*fast intro: admI elim: admD*)

lemma *adm-ball*: $(\bigwedge y. y \in A \implies \text{adm}\ (\lambda x. P\ x\ y)) \implies \text{adm}\ (\lambda x. \forall y \in A. P\ x\ y)$
by (*fast intro: admI elim: admD*)

Admissibility for disjunction is hard to prove. It takes 5 Lemmas

lemma *adm-disj-lemma1*:
 $\llbracket \text{chain}\ (Y :: \text{nat} \Rightarrow 'a :: \text{cpo}); \forall i. \exists j \geq i. P\ (Y\ j) \rrbracket \implies \text{chain}\ (\lambda i. Y\ (\text{LEAST } j. i \leq j \wedge P\ (Y\ j)))$
apply (*rule chainI*)
apply (*erule chain-mono*)
apply (*rule Least-le*)
apply (*rule LeastI2-ex*)
apply *simp-all*
done

lemmas *adm-disj-lemma2* = *LeastI-ex* [*of* $\lambda j. i \leq j \wedge P\ (Y\ j)$, *standard*]

lemma *adm-disj-lemma3*:
 $\llbracket \text{chain}\ (Y :: \text{nat} \Rightarrow 'a :: \text{cpo}); \forall i. \exists j \geq i. P\ (Y\ j) \rrbracket \implies$

```

    ( $\sqcup i. Y i$ ) = ( $\sqcup i. Y (LEAST j. i \leq j \wedge P (Y j))$ )
  apply (frule (1) adm-disj-lemma1)
  apply (rule antisym-less)
  apply (rule lub-mono, assumption+)
  apply (erule chain-mono)
  apply (simp add: adm-disj-lemma2)
  apply (rule lub-range-mono, fast, assumption+)
done

```

```

lemma adm-disj-lemma4:
   $\llbracket adm P; chain Y; \forall i. \exists j \geq i. P (Y j) \rrbracket \implies P (\sqcup i. Y i)$ 
  apply (subst adm-disj-lemma3, assumption+)
  apply (erule admD)
  apply (simp add: adm-disj-lemma1)
  apply (simp add: adm-disj-lemma2)
done

```

```

lemma adm-disj-lemma5:
   $\forall n::nat. P n \vee Q n \implies (\forall i. \exists j \geq i. P j) \vee (\forall i. \exists j \geq i. Q j)$ 
  apply (erule contrapos-pp)
  apply (clarsimp, rename-tac a b)
  apply (rule-tac x=max a b in exI)
  apply simp
done

```

```

lemma adm-disj:  $\llbracket adm P; adm Q \rrbracket \implies adm (\lambda x. P x \vee Q x)$ 
  apply (rule admI)
  apply (erule adm-disj-lemma5 [THEN disjE])
  apply (erule (2) adm-disj-lemma4 [THEN disjI1])
  apply (erule (2) adm-disj-lemma4 [THEN disjI2])
done

```

```

lemma adm-imp:  $\llbracket adm (\lambda x. \neg P x); adm Q \rrbracket \implies adm (\lambda x. P x \longrightarrow Q x)$ 
  by (subst imp-conv-disj, rule adm-disj)

```

```

lemma adm-iff:
   $\llbracket adm (\lambda x. P x \longrightarrow Q x); adm (\lambda x. Q x \longrightarrow P x) \rrbracket$ 
   $\implies adm (\lambda x. P x = Q x)$ 
  by (subst iff-conv-conj-imp, rule adm-conj)

```

```

lemma adm-not-conj:
   $\llbracket adm (\lambda x. \neg P x); adm (\lambda x. \neg Q x) \rrbracket \implies adm (\lambda x. \neg (P x \wedge Q x))$ 
  by (simp add: adm-imp)

```

admissibility and continuity

```

lemma adm-less:  $\llbracket cont u; cont v \rrbracket \implies adm (\lambda x. u x \sqsubseteq v x)$ 
  apply (rule admI)
  apply (simp add: cont2contlubE)
  apply (rule lub-mono)

```

```

apply (erule (1) ch2ch-cont)
apply (erule (1) ch2ch-cont)
apply (erule spec)
done

```

```

lemma adm-eq:  $\llbracket \text{cont } u; \text{cont } v \rrbracket \implies \text{adm } (\lambda x. u \ x = v \ x)$ 
by (simp add: po-eq-conv adm-conj adm-less)

```

```

lemma adm-subst:  $\llbracket \text{cont } t; \text{adm } P \rrbracket \implies \text{adm } (\lambda x. P \ (t \ x))$ 
apply (rule admI)
apply (simp add: cont2contlubE)
apply (erule admD)
apply (erule (1) ch2ch-cont)
apply (erule spec)
done

```

```

lemma adm-not-less:  $\text{cont } t \implies \text{adm } (\lambda x. \neg t \ x \sqsubseteq u)$ 
apply (rule admI)
apply (drule-tac x=0 in spec)
apply (erule contrapos-nn)
apply (erule rev-trans-less)
apply (erule cont2mono [THEN monofunE])
apply (erule is-ub-thelub)
done

```

4.4 Compactness

definition

```

compact :: 'a::cpo  $\Rightarrow$  bool where
compact k = adm  $(\lambda x. \neg k \sqsubseteq x)$ 

```

```

lemma compactI:  $\text{adm } (\lambda x. \neg k \sqsubseteq x) \implies \text{compact } k$ 
unfolding compact-def .

```

```

lemma compactD:  $\text{compact } k \implies \text{adm } (\lambda x. \neg k \sqsubseteq x)$ 
unfolding compact-def .

```

lemma compactI2:

```

 $(\bigwedge Y. \llbracket \text{chain } Y; x \sqsubseteq (\bigsqcup i. Y \ i) \rrbracket \implies \exists i. x \sqsubseteq Y \ i) \implies \text{compact } x$ 
unfolding compact-def adm-def by fast

```

lemma compactD2:

```

 $\llbracket \text{compact } x; \text{chain } Y; x \sqsubseteq (\bigsqcup i. Y \ i) \rrbracket \implies \exists i. x \sqsubseteq Y \ i$ 
unfolding compact-def adm-def by fast

```

```

lemma compact-chfin [simp]: compact (x::'a::chfin)
by (rule compactI [OF adm-chfin])

```

```

lemma compact-imp-max-in-chain:

```

```

  [[chain Y; compact ( $\sqcup i. Y i$ )]  $\implies \exists i. \text{max-in-chain } i Y$ 
  apply (drule (1) compactD2, simp)
  apply (erule exE, rule-tac x=i in exI)
  apply (rule max-in-chainI)
  apply (rule antisym-less)
  apply (erule (1) chain-mono)
  apply (erule (1) trans-less [OF is-ub-the lub])
done

```

admissibility and compactness

lemma *adm-compact-not-less*: $\llbracket \text{compact } k; \text{cont } t \rrbracket \implies \text{adm } (\lambda x. \neg k \sqsubseteq t x)$
unfolding *compact-def* **by** (rule *adm-subst*)

lemma *adm-neq-compact*: $\llbracket \text{compact } k; \text{cont } t \rrbracket \implies \text{adm } (\lambda x. t x \neq k)$
by (simp add: *po-eq-conv adm-imp adm-not-less adm-compact-not-less*)

lemma *adm-compact-neq*: $\llbracket \text{compact } k; \text{cont } t \rrbracket \implies \text{adm } (\lambda x. k \neq t x)$
by (simp add: *po-eq-conv adm-imp adm-not-less adm-compact-not-less*)

lemma *compact-UU* [*simp, intro*]: *compact* \perp
by (rule *compactI*, simp add: *adm-not-free*)

lemma *adm-not-UU*: *cont* $t \implies \text{adm } (\lambda x. t x \neq \perp)$
by (simp add: *adm-neq-compact*)

Any upward-closed predicate is admissible.

lemma *adm-upward*:
 assumes $P: \bigwedge x y. \llbracket P x; x \sqsubseteq y \rrbracket \implies P y$
 shows *adm* P
by (rule *admI*, drule *spec*, erule P , erule *is-ub-the lub*)

lemmas *adm-lemmas* [*simp*] =
adm-not-free adm-conj adm-all adm-ball adm-disj adm-imp adm-iff
adm-less adm-eq adm-not-less
adm-compact-not-less adm-compact-neq adm-neq-compact adm-not-UU

end

5 Pcpcodef: Subtypes of pcpos

```

theory Pcpcodef
imports Adm
uses (Tools/pcpcodef-package.ML)
begin

```


5.1 Proving a subtype is a partial order

A subtype of a partial order is itself a partial order, if the ordering is defined in the standard way.

setup \ll *Sign.add-const-constraint* ($@\{\text{const-name } Porder.sq-le\}, NONE$) \gg

theorem *typedef-po*:

fixes *Abs* :: '*a*::*po* \Rightarrow '*b*::*type*
assumes *type*: *type-definition* *Rep* *Abs* *A*
and *less*: *op* $\sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
shows *OFCLASS*('b, *po-class*)
apply (*intro-classes*, *unfold less*)
apply (*rule refl-less*)
apply (*erule* (1) *trans-less*)
apply (*rule type-definition.Rep-inject* [*OF type*, *THEN iffD1*])
apply (*erule* (1) *antisym-less*)
done

setup \ll *Sign.add-const-constraint* ($@\{\text{const-name } Porder.sq-le\},$
SOME $@\{\text{typ } 'a::sq-ord \Rightarrow 'a::sq-ord \Rightarrow bool\}$) \gg

5.2 Proving a subtype is finite

lemma *typedef-finite-UNIV*:

fixes *Abs* :: '*a*::*type* \Rightarrow '*b*::*type*
assumes *type*: *type-definition* *Rep* *Abs* *A*
shows *finite A* \Longrightarrow *finite* (*UNIV* :: '*b* *set*)
proof –
assume *finite A*
hence *finite* (*Abs* 'A) **by** (*rule finite-imageI*)
thus *finite* (*UNIV* :: '*b* *set*)
by (*simp only: type-definition.Abs-image* [*OF type*])
qed

theorem *typedef-finite-po*:

fixes *Abs* :: '*a*::*finite-po* \Rightarrow '*b*::*po*
assumes *type*: *type-definition* *Rep* *Abs* *A*
shows *OFCLASS*('b, *finite-po-class*)
apply (*intro-classes*)
apply (*rule typedef-finite-UNIV* [*OF type*])
apply (*rule finite*)
done

5.3 Proving a subtype is chain-finite

lemma *monofun-Rep*:

assumes *less*: *op* $\sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
shows *monofun Rep*
by (*rule monofunI*, *unfold less*)

lemmas $ch2ch\text{-}Rep = ch2ch\text{-}monofun$ [*OF monofun-Rep*]
lemmas $ub2ub\text{-}Rep = ub2ub\text{-}monofun$ [*OF monofun-Rep*]

theorem *typedef-chfin*:
 fixes $Abs :: 'a::chfin \Rightarrow 'b::po$
 assumes *type*: *type-definition* *Rep* *Abs* *A*
 and *less*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
 shows *OFCLASS*('b, *chfin-class*)
 apply *intro-classes*
 apply (*drule* *ch2ch-Rep* [*OF less*])
 apply (*drule* *chfin*)
 apply (*unfold* *max-in-chain-def*)
 apply (*simp* *add*: *type-definition.Rep-inject* [*OF type*])
 done

5.4 Proving a subtype is complete

A subtype of a cpo is itself a cpo if the ordering is defined in the standard way, and the defining subset is closed with respect to limits of chains. A set is closed if and only if membership in the set is an admissible predicate.

lemma *Abs-inverse-lub-Rep*:
 fixes $Abs :: 'a::cpo \Rightarrow 'b::po$
 assumes *type*: *type-definition* *Rep* *Abs* *A*
 and *less*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
 and *adm*: $adm\ (\lambda x. x \in A)$
 shows $chain\ S \Longrightarrow Rep\ (Abs\ (\bigsqcup i. Rep\ (S\ i))) = (\bigsqcup i. Rep\ (S\ i))$
 apply (*rule* *type-definition.Abs-inverse* [*OF type*])
 apply (*erule* *admD* [*OF adm ch2ch-Rep* [*OF less*]])
 apply (*rule* *type-definition.Rep* [*OF type*])
 done

theorem *typedef-lub*:
 fixes $Abs :: 'a::cpo \Rightarrow 'b::po$
 assumes *type*: *type-definition* *Rep* *Abs* *A*
 and *less*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
 and *adm*: $adm\ (\lambda x. x \in A)$
 shows $chain\ S \Longrightarrow range\ S <<| Abs\ (\bigsqcup i. Rep\ (S\ i))$
 apply (*frule* *ch2ch-Rep* [*OF less*])
 apply (*rule* *is-lubI*)
 apply (*rule* *ub-rangeI*)
 apply (*simp* *only*: *less* *Abs-inverse-lub-Rep* [*OF type less adm*])
 apply (*erule* *is-ub-the lub*)
 apply (*simp* *only*: *less* *Abs-inverse-lub-Rep* [*OF type less adm*])
 apply (*erule* *is-lub-the lub*)
 apply (*erule* *ub2ub-Rep* [*OF less*])
 done

lemmas *typedef-the lub* = *typedef-lub* [*THEN the lubI, standard*]

theorem *typedef-cpo*:
 fixes *Abs* :: 'a::cpo \Rightarrow 'b::po
 assumes *type*: *type-definition* *Rep* *Abs* *A*
 and *less*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
 and *adm*: *adm* ($\lambda x. x \in A$)
 shows *OFCLASS*('b, *cpo-class*)
proof
 fix *S*::nat \Rightarrow 'b assume *chain* *S*
 hence *range* *S* $<<| Abs\ (\bigsqcup i. Rep\ (S\ i))$
 by (*rule typedef-lub* [*OF type less adm*])
 thus $\exists x. range\ S\ <<| x$..
qed

5.4.1 Continuity of *Rep* and *Abs*

For any sub-cpo, the *Rep* function is continuous.

theorem *typedef-cont-Rep*:
 fixes *Abs* :: 'a::cpo \Rightarrow 'b::cpo
 assumes *type*: *type-definition* *Rep* *Abs* *A*
 and *less*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
 and *adm*: *adm* ($\lambda x. x \in A$)
 shows *cont* *Rep*
apply (*rule contI*)
apply (*simp only*: *typedef-thelub* [*OF type less adm*])
apply (*simp only*: *Abs-inverse-lub-Rep* [*OF type less adm*])
apply (*rule cpo-lubI*)
apply (*erule ch2ch-Rep* [*OF less*])
done

For a sub-cpo, we can make the *Abs* function continuous only if we restrict its domain to the defining subset by composing it with another continuous function.

theorem *typedef-is-lubI*:
 assumes *less*: $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$
 shows *range* ($\lambda i. Rep\ (S\ i)$) $<<| Rep\ x \implies range\ S\ <<| x$
apply (*rule is-lubI*)
apply (*rule ub-rangeI*)
apply (*subst less*)
apply (*erule is-ub-lub*)
apply (*subst less*)
apply (*erule is-lub-lub*)
apply (*erule ub2ub-Rep* [*OF less*])
done

theorem *typedef-cont-Abs*:
 fixes *Abs* :: 'a::cpo \Rightarrow 'b::cpo
 fixes *f* :: 'c::cpo \Rightarrow 'a::cpo

```

assumes type: type-definition Rep Abs A
and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
and adm:  $adm\ (\lambda x. x \in A)$ 
and f-in-A:  $\bigwedge x. f\ x \in A$ 
and cont-f: cont f
shows cont  $(\lambda x. Abs\ (f\ x))$ 
apply (rule contI)
apply (rule typedef-is-lubI [OF less])
apply (simp only: type-definition.Abs-inverse [OF type f-in-A])
apply (erule cont-f [THEN contE])
done

```

5.5 Proving subtype elements are compact

```

theorem typedef-compact:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
  and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
  and adm:  $adm\ (\lambda x. x \in A)$ 
  shows compact  $(Rep\ k) \Longrightarrow compact\ k$ 
proof (unfold compact-def)
  have cont-Rep: cont Rep
  by (rule typedef-cont-Rep [OF type less adm])
  assume  $adm\ (\lambda x. \neg Rep\ k \sqsubseteq x)$ 
  with cont-Rep have  $adm\ (\lambda x. \neg Rep\ k \sqsubseteq Rep\ x)$  by (rule adm-subst)
  thus  $adm\ (\lambda x. \neg k \sqsubseteq x)$  by (unfold less)
qed

```

5.6 Proving a subtype is pointed

A subtype of a cpo has a least element if and only if the defining subset has a least element.

```

theorem typedef-pcpo-generic:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
  and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
  and z-in-A:  $z \in A$ 
  and z-least:  $\bigwedge x. x \in A \Longrightarrow z \sqsubseteq x$ 
  shows OFCLASS('b, pcpo-class)
apply (intro-classes)
apply (rule-tac  $x=Abs\ z$  in exI, rule allI)
apply (unfold less)
apply (subst type-definition.Abs-inverse [OF type z-in-A])
apply (rule z-least [OF type-definition.Rep [OF type]])
done

```

As a special case, a subtype of a pcpo has a least element if the defining subset contains \perp .

```

theorem typedef-pcpo:

```

```

fixes Abs :: 'a::pcpo  $\Rightarrow$  'b::cpo
assumes type: type-definition Rep Abs A
  and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
  and UU-in-A:  $\perp \in A$ 
shows OFCLASS('b, pcpo-class)
by (rule typedef-pcpo-generic [OF type less UU-in-A], rule minimal)

```

5.6.1 Strictness of Rep and Abs

For a sub-pcpo where \perp is a member of the defining subset, Rep and Abs are both strict.

```

theorem typedef-Abs-strict:
  assumes type: type-definition Rep Abs A
    and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and UU-in-A:  $\perp \in A$ 
  shows Abs  $\perp = \perp$ 
apply (rule UU-I, unfold less)
apply (simp add: type-definition.Abs-inverse [OF type UU-in-A])
done

```

```

theorem typedef-Rep-strict:
  assumes type: type-definition Rep Abs A
    and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and UU-in-A:  $\perp \in A$ 
  shows Rep  $\perp = \perp$ 
apply (rule typedef-Abs-strict [OF type less UU-in-A, THEN subst])
apply (rule type-definition.Abs-inverse [OF type UU-in-A])
done

```

```

theorem typedef-Abs-strict-iff:
  assumes type: type-definition Rep Abs A
    and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and UU-in-A:  $\perp \in A$ 
  shows  $x \in A \implies (\text{Abs } x = \perp) = (x = \perp)$ 
apply (rule typedef-Abs-strict [OF type less UU-in-A, THEN subst])
apply (simp add: type-definition.Abs-inject [OF type] UU-in-A)
done

```

```

theorem typedef-Rep-strict-iff:
  assumes type: type-definition Rep Abs A
    and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and UU-in-A:  $\perp \in A$ 
  shows  $(\text{Rep } x = \perp) = (x = \perp)$ 
apply (rule typedef-Rep-strict [OF type less UU-in-A, THEN subst])
apply (simp add: type-definition.Rep-inject [OF type])
done

```

```

theorem typedef-Abs-defined:
  assumes type: type-definition Rep Abs A

```

```

    and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
    and UU-in-A:  $\perp \in A$ 
    shows  $\llbracket x \neq \perp; x \in A \rrbracket \implies Abs\ x \neq \perp$ 
  by (simp add: typedef-Abs-strict-iff [OF type less UU-in-A])

```

```

theorem typedef-Rep-defined:
  assumes type: type-definition Rep Abs A
  and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
  and UU-in-A:  $\perp \in A$ 
  shows  $x \neq \perp \implies Rep\ x \neq \perp$ 
  by (simp add: typedef-Rep-strict-iff [OF type less UU-in-A])

```

5.7 Proving a subtype is flat

```

theorem typedef-flat:
  fixes Abs :: 'a::flat  $\Rightarrow$  'b::pcpo
  assumes type: type-definition Rep Abs A
  and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
  and UU-in-A:  $\perp \in A$ 
  shows OFCLASS('b, flat-class)
  apply (intro-classes)
  apply (unfold less)
  apply (simp add: type-definition.Rep-inject [OF type, symmetric])
  apply (simp add: typedef-Rep-strict [OF type less UU-in-A])
  apply (simp add: ax-flat)
done

```

5.8 HOLCF type definition package

```

use Tools/pcpodef-package.ML

```

```

end

```

6 Ffun: Class instances for the full function space

```

theory Ffun
imports Cont
begin

```

6.1 Full function space is a partial order

```

instantiation fun :: (type, sq-ord) sq-ord
begin

```

```

definition
  less-fun-def:  $(op \sqsubseteq) \equiv (\lambda f g. \forall x. f\ x \sqsubseteq g\ x)$ 

```

```

instance ..

```

end

```

instance fun :: (type, po) po
proof
  fix f :: 'a  $\Rightarrow$  'b
  show f  $\sqsubseteq$  f
    by (simp add: less-fun-def)
next
  fix f g :: 'a  $\Rightarrow$  'b
  assume f  $\sqsubseteq$  g and g  $\sqsubseteq$  f thus f = g
    by (simp add: less-fun-def expand-fun-eq antisym-less)
next
  fix f g h :: 'a  $\Rightarrow$  'b
  assume f  $\sqsubseteq$  g and g  $\sqsubseteq$  h thus f  $\sqsubseteq$  h
    unfolding less-fun-def by (fast elim: trans-less)
qed

```

make the symbol $<<$ accessible for type fun

```

lemma expand-fun-less: (f  $\sqsubseteq$  g) = ( $\forall x. f\ x \sqsubseteq g\ x$ )
by (simp add: less-fun-def)

```

```

lemma less-fun-ext: ( $\bigwedge x. f\ x \sqsubseteq g\ x$ )  $\implies$  f  $\sqsubseteq$  g
by (simp add: less-fun-def)

```

6.2 Full function space is chain complete

function application is monotone

```

lemma monofun-app: monofun ( $\lambda f. f\ x$ )
by (rule monofunI, simp add: less-fun-def)

```

chains of functions yield chains in the po range

```

lemma ch2ch-fun: chain S  $\implies$  chain ( $\lambda i. S\ i\ x$ )
by (simp add: chain-def less-fun-def)

```

```

lemma ch2ch-lambda: ( $\bigwedge x. \text{chain } (\lambda i. S\ i\ x)$ )  $\implies$  chain S
by (simp add: chain-def less-fun-def)

```

upper bounds of function chains yield upper bound in the po range

```

lemma ub2ub-fun:
  range S  $<|$  u  $\implies$  range ( $\lambda i. S\ i\ x$ )  $<|$  u x
by (auto simp add: is-ub-def less-fun-def)

```

Type 'a \Rightarrow 'b is chain complete

```

lemma is-lub-lambda:
  assumes f:  $\bigwedge x. \text{range } (\lambda i. Y\ i\ x) <<| f\ x$ 
  shows range Y  $<<|$  f
apply (rule is-lubI)
apply (rule ub-rangeI)

```

```

apply (rule less-fun-ext)
apply (rule is-ub-lub [OF f])
apply (rule less-fun-ext)
apply (rule is-lub-lub [OF f])
apply (erule ub2ub-fun)
done

```

```

lemma lub-fun:
  chain (S::nat  $\Rightarrow$  'a::type  $\Rightarrow$  'b::cpo)
     $\impl$  range S <<| ( $\lambda x. \bigsqcup i. S\ i\ x$ )
apply (rule is-lub-lambda)
apply (rule cpo-lubI)
apply (erule ch2ch-fun)
done

```

```

lemma thelub-fun:
  chain (S::nat  $\Rightarrow$  'a::type  $\Rightarrow$  'b::cpo)
     $\impl (\bigsqcup i. S\ i) = (\lambda x. \bigsqcup i. S\ i\ x)$ 
by (rule lub-fun [THEN thelubI])

```

```

lemma cpo-fun:
  chain (S::nat  $\Rightarrow$  'a::type  $\Rightarrow$  'b::cpo)  $\impl \exists x. \text{range } S <<| x$ 
by (rule exI, erule lub-fun)

```

```

instance fun :: (type, cpo) cpo
by intro-classes (rule cpo-fun)

```

```

instance fun :: (finite, finite-po) finite-po ..

```

```

instance fun :: (type, discrete-cpo) discrete-cpo
proof
  fix f g :: 'a  $\Rightarrow$  'b
  show f  $\sqsubseteq$  g  $\longleftrightarrow$  f = g
    unfolding expand-fun-less expand-fun-eq
    by simp
qed

```

chain-finite function spaces

```

lemma maxinch2maxinch-lambda:
  ( $\bigwedge x. \text{max-in-chain } n\ (\lambda i. S\ i\ x)$ )  $\impl \text{max-in-chain } n\ S$ 
unfolding max-in-chain-def expand-fun-eq by simp

```

```

lemma maxinch-mono:
   $\llbracket \text{max-in-chain } i\ Y; i \leq j \rrbracket \impl \text{max-in-chain } j\ Y$ 
unfolding max-in-chain-def
proof (intro allI impI)
  fix k
  assume Y:  $\forall n \geq i. Y\ i = Y\ n$ 
  assume ij:  $i \leq j$ 

```



```

assume  $jk: j \leq k$ 
from  $ij\ jk$  have  $ik: i \leq k$  by simp
from  $Y\ ij$  have  $Yij: Y\ i = Y\ j$  by simp
from  $Y\ ik$  have  $Yik: Y\ i = Y\ k$  by simp
from  $Yij\ Yik$  show  $Y\ j = Y\ k$  by auto
qed

```

```

instance fun :: (finite, chfin) chfin
proof
  fix  $Y :: \text{nat} \Rightarrow 'a \Rightarrow 'b$ 
  let  $?n = \lambda x. \text{LEAST } n. \text{max-in-chain } n\ (\lambda i. Y\ i\ x)$ 
  assume chain  $Y$ 
  hence  $\bigwedge x. \text{chain } (\lambda i. Y\ i\ x)$ 
    by (rule ch2ch-fun)
  hence  $\bigwedge x. \exists n. \text{max-in-chain } n\ (\lambda i. Y\ i\ x)$ 
    by (rule chfin)
  hence  $\bigwedge x. \text{max-in-chain } (?n\ x)\ (\lambda i. Y\ i\ x)$ 
    by (rule LeastI-ex)
  hence  $\bigwedge x. \text{max-in-chain } (\text{Max } (\text{range } ?n))\ (\lambda i. Y\ i\ x)$ 
    by (rule maxinch-mono [OF - Max-ge], simp-all)
  hence  $\text{max-in-chain } (\text{Max } (\text{range } ?n))\ Y$ 
    by (rule maxinch2maxinch-lambda)
  thus  $\exists n. \text{max-in-chain } n\ Y$  ..
qed

```

6.3 Full function space is pointed

```

lemma minimal-fun:  $(\lambda x. \perp) \sqsubseteq f$ 
by (simp add: less-fun-def)

```

```

lemma least-fun:  $\exists x::'a::\text{type} \Rightarrow 'b::\text{pcpo}. \forall y. x \sqsubseteq y$ 
apply (rule-tac  $x = \lambda x. \perp$  in exI)
apply (rule minimal-fun [THEN allI])
done

```

```

instance fun :: (type, pcpo) pcpo
by intro-classes (rule least-fun)

```

for compatibility with old HOLCF-Version

```

lemma inst-fun-pcpo:  $\perp = (\lambda x. \perp)$ 
by (rule minimal-fun [THEN UU-I, symmetric])

```

function application is strict in the left argument

```

lemma app-strict [simp]:  $\perp\ x = \perp$ 
by (simp add: inst-fun-pcpo)

```

The following results are about application for functions in $'a \Rightarrow 'b$

```

lemma monofun-fun-fun:  $f \sqsubseteq g \implies f\ x \sqsubseteq g\ x$ 
by (simp add: less-fun-def)

```

lemma *monofun-fun-arg*: $\llbracket \text{monofun } f; x \sqsubseteq y \rrbracket \implies f x \sqsubseteq f y$
by (*rule monofunE*)

lemma *monofun-fun*: $\llbracket \text{monofun } f; \text{monofun } g; f \sqsubseteq g; x \sqsubseteq y \rrbracket \implies f x \sqsubseteq g y$
by (*rule trans-less* [*OF monofun-fun-arg monofun-fun-fun*])

6.4 Propagation of monotonicity and continuity

the lub of a chain of monotone functions is monotone

lemma *monofun-lub-fun*:
 $\llbracket \text{chain } (F :: \text{nat} \Rightarrow 'a \Rightarrow 'b :: \text{cpo}); \forall i. \text{monofun } (F i) \rrbracket$
 $\implies \text{monofun } (\bigsqcup i. F i)$
apply (*rule monofunI*)
apply (*simp add: thelub-fun*)
apply (*rule lub-mono*)
apply (*erule ch2ch-fun*)
apply (*erule ch2ch-fun*)
apply (*simp add: monofunE*)
done

the lub of a chain of continuous functions is continuous

lemma *contlub-lub-fun*:
 $\llbracket \text{chain } F; \forall i. \text{cont } (F i) \rrbracket \implies \text{contlub } (\bigsqcup i. F i)$
apply (*rule contlubI*)
apply (*simp add: thelub-fun*)
apply (*simp add: cont2contlubE*)
apply (*rule ex-lub*)
apply (*erule ch2ch-fun*)
apply (*simp add: ch2ch-cont*)
done

lemma *cont-lub-fun*:
 $\llbracket \text{chain } F; \forall i. \text{cont } (F i) \rrbracket \implies \text{cont } (\bigsqcup i. F i)$
apply (*rule monocontlub2cont*)
apply (*erule monofun-lub-fun*)
apply (*simp add: cont2mono*)
apply (*erule (1) contlub-lub-fun*)
done

lemma *cont2cont-lub*:
 $\llbracket \text{chain } F; \bigwedge i. \text{cont } (F i) \rrbracket \implies \text{cont } (\lambda x. \bigsqcup i. F i x)$
by (*simp add: thelub-fun* [*symmetric*] *cont-lub-fun*)

lemma *mono2mono-fun*: $\text{monofun } f \implies \text{monofun } (\lambda x. f x y)$
apply (*rule monofunI*)
apply (*erule (1) monofun-fun-arg* [*THEN monofun-fun-fun*])
done

```

lemma cont2cont-fun: cont f  $\implies$  cont ( $\lambda x. f x y$ )
apply (rule monocontlub2cont)
apply (erule cont2mono [THEN mono2mono-fun])
apply (rule contlubI)
apply (simp add: cont2contlubE)
apply (simp add: thelub-fun ch2ch-cont)
done

```

Note $(\lambda x. \lambda y. f x y) = f$

```

lemma mono2mono-lambda:
  assumes f:  $\bigwedge y. \text{monofun } (\lambda x. f x y)$  shows monofun f
apply (rule monofunI)
apply (rule less-fun-ext)
apply (erule monofunE [OF f])
done

```

```

lemma cont2cont-lambda [simp]:
  assumes f:  $\bigwedge y. \text{cont } (\lambda x. f x y)$  shows cont f
apply (subgoal-tac monofun f)
apply (rule monocontlub2cont)
apply assumption
apply (rule contlubI)
apply (rule ext)
apply (simp add: thelub-fun ch2ch-monofun)
apply (erule cont2contlubE [OF f])
apply (simp add: mono2mono-lambda cont2mono f)
done

```

What D.A.Schmidt calls continuity of abstraction; never used here

```

lemma contlub-lambda:
  ( $\bigwedge x::'a::\text{type}. \text{chain } (\lambda i. S i x::'b::\text{cpo})$ )
   $\implies (\lambda x. \bigsqcup i. S i x) = (\bigsqcup i. (\lambda x. S i x))$ 
by (simp add: thelub-fun ch2ch-lambda)

```

```

lemma contlub-abstraction:
   $\llbracket \text{chain } Y; \forall y. \text{cont } (\lambda x. (c::'a::\text{cpo} \Rightarrow 'b::\text{type} \Rightarrow 'c::\text{cpo}) x y) \rrbracket \implies$ 
   $(\lambda y. \bigsqcup i. c (Y i) y) = (\bigsqcup i. (\lambda y. c (Y i) y))$ 
apply (rule thelub-fun [symmetric])
apply (simp add: ch2ch-cont)
done

```

```

lemma mono2mono-app:
   $\llbracket \text{monofun } f; \forall x. \text{monofun } (f x); \text{monofun } t \rrbracket \implies \text{monofun } (\lambda x. (f x) (t x))$ 
apply (rule monofunI)
apply (simp add: monofun-fun monofunE)
done

```

```

lemma cont2contlub-app:
   $\llbracket \text{cont } f; \forall x. \text{cont } (f x); \text{cont } t \rrbracket \implies \text{contlub } (\lambda x. (f x) (t x))$ 

```

```

apply (rule contlubI)
apply (subgoal-tac chain ( $\lambda i. f (Y i)$ ))
apply (subgoal-tac chain ( $\lambda i. t (Y i)$ ))
apply (simp add: cont2contlubE thelub-fun)
apply (rule diag-lub)
apply (erule ch2ch-fun)
apply (drule spec)
apply (erule (1) ch2ch-cont)
apply (erule (1) ch2ch-cont)
apply (erule (1) ch2ch-cont)
done

lemma cont2cont-app:
   $\llbracket \text{cont } f; \forall x. \text{cont } (f x); \text{cont } t \rrbracket \implies \text{cont } (\lambda x. (f x) (t x))$ 
by (blast intro: monocontlub2cont mono2mono-app cont2mono cont2contlub-app)

lemmas cont2cont-app2 = cont2cont-app [rule-format]

lemma cont2cont-app3:  $\llbracket \text{cont } f; \text{cont } t \rrbracket \implies \text{cont } (\lambda x. f (t x))$ 
by (rule cont2cont-app2 [OF cont-const])

end

```

7 Product-Cpo: The cpo of cartesian products

```

theory Product-Cpo
imports Adm
begin

```

```

defaultsort cpo

```

7.1 Type *unit* is a pcpo

```

instantiation unit :: sq-ord
begin

```

```

definition
  less-unit-def [simp]:  $x \sqsubseteq (y::\text{unit}) \equiv \text{True}$ 

```

```

instance ..
end

```

```

instance unit :: discrete-cpo
by intro-classes simp

```

```

instance unit :: finite-po ..

```

```
instance unit :: pcpo
by intro-classes simp
```

7.2 Product type is a partial order

```
instantiation * :: (sq-ord, sq-ord) sq-ord
begin
```

definition

less-cprod-def: $(op \sqsubseteq) \equiv \lambda p1\ p2. (fst\ p1 \sqsubseteq fst\ p2 \wedge snd\ p1 \sqsubseteq snd\ p2)$

```
instance ..
end
```

```
instance * :: (po, po) po
```

proof

```
fix x :: 'a × 'b
```

```
show x ⊆ x
```

```
unfolding less-cprod-def by simp
```

next

```
fix x y :: 'a × 'b
```

```
assume x ⊆ y y ⊆ x thus x = y
```

```
unfolding less-cprod-def Pair-fst-snd-eq
```

```
by (fast intro: antisym-less)
```

next

```
fix x y z :: 'a × 'b
```

```
assume x ⊆ y y ⊆ z thus x ⊆ z
```

```
unfolding less-cprod-def
```

```
by (fast intro: trans-less)
```

qed

7.3 Monotonicity of $(-, -)$, fst , snd

```
lemma prod-lessI: [fst p ⊆ fst q; snd p ⊆ snd q] ⇒ p ⊆ q
unfolding less-cprod-def by simp
```

```
lemma Pair-less-iff [simp]: (a, b) ⊆ (c, d) ⇔ a ⊆ c ∧ b ⊆ d
unfolding less-cprod-def by simp
```

Pair $(-, -)$ is monotone in both arguments

```
lemma monofun-pair1: monofun (λx. (x, y))
by (simp add: monofun-def)
```

```
lemma monofun-pair2: monofun (λy. (x, y))
by (simp add: monofun-def)
```

lemma *monofun-pair*:

```
[x1 ⊆ x2; y1 ⊆ y2] ⇒ (x1, y1) ⊆ (x2, y2)
```

```
by simp
```

fst and *snd* are monotone

lemma *monofun-fst*: *monofun fst*
by (*simp add: monofun-def less-cprod-def*)

lemma *monofun-snd*: *monofun snd*
by (*simp add: monofun-def less-cprod-def*)

7.4 Product type is a cpo

lemma *is-lub-Pair*:

$\llbracket \text{range } X <<| x; \text{range } Y <<| y \rrbracket \implies \text{range } (\lambda i. (X\ i, Y\ i)) <<| (x, y)$
apply (*rule is-lubI [OF ub-rangeI]*)
apply (*simp add: less-cprod-def is-ub-lub*)
apply (*frule ub2ub-monofun [OF monofun-fst]*)
apply (*drule ub2ub-monofun [OF monofun-snd]*)
apply (*simp add: less-cprod-def is-lub-lub*)
done

lemma *lub-cprod*:

fixes $S :: \text{nat} \Rightarrow ('a::\text{cpo} \times 'b::\text{cpo})$
assumes $S: \text{chain } S$
shows $\text{range } S <<| (\bigsqcup i. \text{fst } (S\ i), \bigsqcup i. \text{snd } (S\ i))$
proof –
have $\text{chain } (\lambda i. \text{fst } (S\ i))$
using *monofun-fst S* **by** (*rule ch2ch-monofun*)
hence $1: \text{range } (\lambda i. \text{fst } (S\ i)) <<| (\bigsqcup i. \text{fst } (S\ i))$
by (*rule cpo-lubI*)
have $\text{chain } (\lambda i. \text{snd } (S\ i))$
using *monofun-snd S* **by** (*rule ch2ch-monofun*)
hence $2: \text{range } (\lambda i. \text{snd } (S\ i)) <<| (\bigsqcup i. \text{snd } (S\ i))$
by (*rule cpo-lubI*)
show $\text{range } S <<| (\bigsqcup i. \text{fst } (S\ i), \bigsqcup i. \text{snd } (S\ i))$
using *is-lub-Pair [OF 1 2]* **by** *simp*
qed

lemma *thelub-cprod*:

$\text{chain } (S::\text{nat} \Rightarrow 'a::\text{cpo} \times 'b::\text{cpo})$
 $\implies (\bigsqcup i. S\ i) = (\bigsqcup i. \text{fst } (S\ i), \bigsqcup i. \text{snd } (S\ i))$
by (*rule lub-cprod [THEN thelubI]*)

instance $* :: (\text{cpo}, \text{cpo})\ \text{cpo}$

proof

fix $S :: \text{nat} \Rightarrow ('a \times 'b)$
assume $\text{chain } S$
hence $\text{range } S <<| (\bigsqcup i. \text{fst } (S\ i), \bigsqcup i. \text{snd } (S\ i))$
by (*rule lub-cprod*)
thus $\exists x. \text{range } S <<| x$..
qed

```

instance * :: (finite-po, finite-po) finite-po ..

instance * :: (discrete-cpo, discrete-cpo) discrete-cpo
proof
  fix x y :: 'a × 'b
  show x ⊆ y ⟷ x = y
    unfolding less-cprod-def Pair-fst-snd-eq
    by simp
qed

```

7.5 Product type is pointed

```

lemma minimal-cprod: (⊥, ⊥) ⊆ p
by (simp add: less-cprod-def)

```

```

instance * :: (pcpo, pcpo) pcpo
by intro-classes (fast intro: minimal-cprod)

```

```

lemma inst-cprod-pcpo: ⊥ = (⊥, ⊥)
by (rule minimal-cprod [THEN UU-I, symmetric])

```

```

lemma Pair-defined-iff [simp]: (x, y) = ⊥ ⟷ x = ⊥ ∧ y = ⊥
unfolding inst-cprod-pcpo by simp

```

```

lemma fst-strict [simp]: fst ⊥ = ⊥
unfolding inst-cprod-pcpo by (rule fst-conv)

```

```

lemma snd-strict [simp]: snd ⊥ = ⊥
unfolding inst-cprod-pcpo by (rule snd-conv)

```

```

lemma Pair-strict [simp]: (⊥, ⊥) = ⊥
by simp

```

```

lemma split-strict [simp]: split f ⊥ = f ⊥ ⊥
unfolding split-def by simp

```

7.6 Continuity of $(-, -)$, fst , snd

```

lemma cont-pair1: cont (λx. (x, y))
apply (rule contI)
apply (rule is-lub-Pair)
apply (erule cpo-lubI)
apply (rule lub-const)
done

```

```

lemma cont-pair2: cont (λy. (x, y))
apply (rule contI)
apply (rule is-lub-Pair)
apply (rule lub-const)
apply (erule cpo-lubI)

```

done

lemma *contlub-fst*: *contlub fst*
 apply (rule *contlubI*)
 apply (simp add: *thelub-cprod*)
 done

lemma *contlub-snd*: *contlub snd*
 apply (rule *contlubI*)
 apply (simp add: *thelub-cprod*)
 done

lemma *cont-fst*: *cont fst*
 apply (rule *monocontlub2cont*)
 apply (rule *monofun-fst*)
 apply (rule *contlub-fst*)
 done

lemma *cont-snd*: *cont snd*
 apply (rule *monocontlub2cont*)
 apply (rule *monofun-snd*)
 apply (rule *contlub-snd*)
 done

lemma *cont2cont-Pair* [*cont2cont*]:
 assumes *f*: *cont* ($\lambda x. f\ x$)
 assumes *g*: *cont* ($\lambda x. g\ x$)
 shows *cont* ($\lambda x. (f\ x, g\ x)$)
 apply (rule *cont2cont-apply* [*OF* - *cont-pair1 f*])
 apply (rule *cont2cont-apply* [*OF* - *cont-pair2 g*])
 apply (rule *cont-const*)
 done

lemmas *cont2cont-fst* [*cont2cont*] = *cont2cont-compose* [*OF cont-fst*]

lemmas *cont2cont-snd* [*cont2cont*] = *cont2cont-compose* [*OF cont-snd*]

7.7 Compactness and chain-finiteness

lemma *fst-less-iff*: $\text{fst } (x::'a \times 'b) \sqsubseteq y \longleftrightarrow x \sqsubseteq (y, \text{snd } x)$
 unfolding *less-cprod-def* by *simp*

lemma *snd-less-iff*: $\text{snd } (x::'a \times 'b) \sqsubseteq y = x \sqsubseteq (\text{fst } x, y)$
 unfolding *less-cprod-def* by *simp*

lemma *compact-fst*: $\text{compact } x \implies \text{compact } (\text{fst } x)$
 by (rule *compactI*, simp add: *fst-less-iff*)

lemma *compact-snd*: $\text{compact } x \implies \text{compact } (\text{snd } x)$

by (*rule compactI*, *simp add: snd-less-iff*)

lemma *compact-Pair*: $\llbracket \text{compact } x; \text{compact } y \rrbracket \implies \text{compact } (x, y)$
by (*rule compactI*, *simp add: less-cprod-def*)

lemma *compact-Pair-iff* [*simp*]: $\text{compact } (x, y) \iff \text{compact } x \wedge \text{compact } y$
apply (*safe intro!: compact-Pair*)
apply (*drule compact-fst, simp*)
apply (*drule compact-snd, simp*)
done

instance $*$:: (*chfin*, *chfin*) *chfin*
apply *intro-classes*
apply (*erule compact-imp-max-in-chain*)
apply (*case-tac* $\sqcup i. Y i$, *simp*)
done

end

8 Cfun: The type of continuous functions

theory *Cfun*
imports *Pcpodef Ffun Product-Cpo*
begin

defaultsort *cpo*

8.1 Definition of continuous function type

lemma *Ex-cont*: $\exists f. \text{cont } f$
by (*rule exI*, *rule cont-const*)

lemma *adm-cont*: *adm cont*
by (*rule admI*, *rule cont-lub-fun*)

cpodef (*CFun*) (*'a*, *'b*) \rightarrow (**infixr** \rightarrow 0) = $\{f :: 'a \Rightarrow 'b. \text{cont } f\}$
by (*simp-all add: Ex-cont adm-cont*)

syntax (*xsymbols*)
 \rightarrow :: [*type*, *type*] \Rightarrow *type* $((- \rightarrow / -) [1, 0] 0)$

notation
Rep-CFun $((-\$/-) [999, 1000] 999)$

notation (*xsymbols*)
Rep-CFun $((-\./-) [999, 1000] 999)$

notation (*HTML output*)

Rep-CFun ((-/-) [999,1000] 999)

8.2 Syntax for continuous lambda abstraction

syntax *-cabs* :: 'a

parse-translation ⟨
 (* rewrites (-cabs x t) => (Abs-CFun (%x. t)) *)
 [mk-binder-tr (-cabs, @{const-syntax Abs-CFun})];
 ⟩

To avoid eta-contraction of body:

typed-print-translation ⟨
 let
 fun cabs-tr' - - [Abs abs] = let
 val (x,t) = atomic-abs-tr' abs
 in Syntax.const -cabs \$ x \$ t end

 | cabs-tr' - T [t] = let
 val xT = domain-type (domain-type T);
 val abs' = (x,xT,(incr-boundvars 1 t)\$Bound 0);
 val (x,t') = atomic-abs-tr' abs';
 in Syntax.const -cabs \$ x \$ t' end;

 in [(@{const-syntax Abs-CFun}, cabs-tr')] end;
 ⟩

Syntax for nested abstractions

syntax

-Lambda :: [cargs, 'a] ⇒ logic ((\exists LAM -/-) [1000, 10] 10)

syntax (*xsymbols*)

-Lambda :: [cargs, 'a] ⇒ logic ((\exists Λ -/-) [1000, 10] 10)

parse-ast-translation ⟨

(* rewrites (LAM x y z. t) => (-cabs x (-cabs y (-cabs z t))) *)
 (* cf. Syntax.lambda-ast-tr from Syntax/syn-trans.ML *)
 let
 fun Lambda-ast-tr [pats, body] =
 Syntax.fold-ast-p -cabs (Syntax.unfold-ast -cargs pats, body)
 | Lambda-ast-tr asts = raise Syntax.AST (Lambda-ast-tr, asts);
 in [(-Lambda, Lambda-ast-tr)] end;
 ⟩

print-ast-translation ⟨

(* rewrites (-cabs x (-cabs y (-cabs z t))) => (LAM x y z. t) *)
 (* cf. Syntax.abs-ast-tr' from Syntax/syn-trans.ML *)
 let
 fun cabs-ast-tr' asts =

```

    (case Syntax.unfold-ast-p -cabs
      (Syntax.Appl (Syntax.Constant -cabs :: asts)) of
      ([], -) => raise Syntax.AST (cabs-ast-tr', asts)
    | (xs, body) => Syntax.Appl
      [Syntax.Constant -Lambda, Syntax.fold-ast -cargs xs, body]);
  in [(-cabs, cabs-ast-tr')] end;
>>

```

Dummy patterns for continuous abstraction

translations

$\Lambda \cdot. t \Rightarrow \text{CONST Abs-CFun } (\lambda \cdot. t)$

8.3 Continuous function space is pointed

lemma *UU-CFun*: $\perp \in \text{CFun}$

by (*simp add: CFun-def inst-fun-pcpo cont-const*)

instance $\rightarrow :: (\text{finite-po}, \text{finite-po}) \text{ finite-po}$

by (*rule typedef-finite-po [OF type-definition-CFun]*)

instance $\rightarrow :: (\text{finite-po}, \text{chfin}) \text{ chfin}$

by (*rule typedef-chfin [OF type-definition-CFun less-CFun-def]*)

instance $\rightarrow :: (\text{cpo}, \text{discrete-cpo}) \text{ discrete-cpo}$

by (*intro-classes (simp add: less-CFun-def Rep-CFun-inject)*)

instance $\rightarrow :: (\text{cpo}, \text{pcpo}) \text{ pcpo}$

by (*rule typedef-pcpo [OF type-definition-CFun less-CFun-def UU-CFun]*)

lemmas *Rep-CFun-strict* =

typedef-Rep-strict [OF type-definition-CFun less-CFun-def UU-CFun]

lemmas *Abs-CFun-strict* =

typedef-Abs-strict [OF type-definition-CFun less-CFun-def UU-CFun]

function application is strict in its first argument

lemma *Rep-CFun-strict1* [*simp*]: $\perp \cdot x = \perp$

by (*simp add: Rep-CFun-strict*)

for compatibility with old HOLCF-Version

lemma *inst-cfun-pcpo*: $\perp = (\Lambda x. \perp)$

by (*simp add: inst-fun-pcpo [symmetric] Abs-CFun-strict*)

8.4 Basic properties of continuous functions

Beta-equality for continuous functions

lemma *Abs-CFun-inverse2*: $\text{cont } f \implies \text{Rep-CFun } (\text{Abs-CFun } f) = f$

by (*simp add: Abs-CFun-inverse CFun-def*)

lemma *beta-cfun* [*simp*]: $\text{cont } f \implies (\Lambda x. f \cdot x) \cdot u = f \cdot u$
by (*simp add: Abs-CFun-inverse2*)

Eta-equality for continuous functions

lemma *eta-cfun*: $(\Lambda x. f \cdot x) = f$
by (*rule Rep-CFun-inverse*)

Extensionality for continuous functions

lemma *expand-cfun-eq*: $(f = g) = (\forall x. f \cdot x = g \cdot x)$
by (*simp add: Rep-CFun-inject [symmetric] expand-fun-eq*)

lemma *ext-cfun*: $(\bigwedge x. f \cdot x = g \cdot x) \implies f = g$
by (*simp add: expand-cfun-eq*)

Extensionality wrt. ordering for continuous functions

lemma *expand-cfun-less*: $f \sqsubseteq g = (\forall x. f \cdot x \sqsubseteq g \cdot x)$
by (*simp add: less-CFun-def expand-fun-less*)

lemma *less-cfun-ext*: $(\bigwedge x. f \cdot x \sqsubseteq g \cdot x) \implies f \sqsubseteq g$
by (*simp add: expand-cfun-less*)

Congruence for continuous function application

lemma *cfun-cong*: $\llbracket f = g; x = y \rrbracket \implies f \cdot x = g \cdot y$
by *simp*

lemma *cfun-fun-cong*: $f = g \implies f \cdot x = g \cdot x$
by *simp*

lemma *cfun-arg-cong*: $x = y \implies f \cdot x = f \cdot y$
by *simp*

8.5 Continuity of application

lemma *cont-Rep-CFun1*: $\text{cont } (\lambda f. f \cdot x)$
by (*rule cont-Rep-CFun [THEN cont2cont-fun]*)

lemma *cont-Rep-CFun2*: $\text{cont } (\lambda x. f \cdot x)$
apply (*cut-tac x=f in Rep-CFun*)
apply (*simp add: CFun-def*)
done

lemmas *monofun-Rep-CFun* = *cont-Rep-CFun* [*THEN cont2mono*]

lemmas *conthub-Rep-CFun* = *cont-Rep-CFun* [*THEN cont2conthub*]

lemmas *monofun-Rep-CFun1* = *cont-Rep-CFun1* [*THEN cont2mono, standard*]

lemmas *conthub-Rep-CFun1* = *cont-Rep-CFun1* [*THEN cont2conthub, standard*]

lemmas *monofun-Rep-CFun2* = *cont-Rep-CFun2* [*THEN cont2mono, standard*]

lemmas *conthub-Rep-CFun2* = *cont-Rep-CFun2* [*THEN cont2conthub, standard*]

contlub, cont properties of *Rep-CFun* in each argument

lemma *contlub-cfun-arg*: $\text{chain } Y \implies f \cdot (\bigsqcup i. Y i) = (\bigsqcup i. f \cdot (Y i))$
by (rule *contlub-Rep-CFun2* [THEN *contlubE*])

lemma *cont-cfun-arg*: $\text{chain } Y \implies \text{range } (\lambda i. f \cdot (Y i)) <<| f \cdot (\bigsqcup i. Y i)$
by (rule *cont-Rep-CFun2* [THEN *contE*])

lemma *contlub-cfun-fun*: $\text{chain } F \implies (\bigsqcup i. F i) \cdot x = (\bigsqcup i. F i \cdot x)$
by (rule *contlub-Rep-CFun1* [THEN *contlubE*])

lemma *cont-cfun-fun*: $\text{chain } F \implies \text{range } (\lambda i. F i \cdot x) <<| (\bigsqcup i. F i) \cdot x$
by (rule *cont-Rep-CFun1* [THEN *contE*])

monotonicity of application

lemma *monofun-cfun-fun*: $f \sqsubseteq g \implies f \cdot x \sqsubseteq g \cdot x$
by (simp add: *expand-cfun-less*)

lemma *monofun-cfun-arg*: $x \sqsubseteq y \implies f \cdot x \sqsubseteq f \cdot y$
by (rule *monofun-Rep-CFun2* [THEN *monofunE*])

lemma *monofun-cfun*: $\llbracket f \sqsubseteq g; x \sqsubseteq y \rrbracket \implies f \cdot x \sqsubseteq g \cdot y$
by (rule *trans-less* [OF *monofun-cfun-fun monofun-cfun-arg*])

ch2ch - rules for the type $'a \rightarrow 'b$

lemma *chain-monofun*: $\text{chain } Y \implies \text{chain } (\lambda i. f \cdot (Y i))$
by (erule *monofun-Rep-CFun2* [THEN *ch2ch-monofun*])

lemma *ch2ch-Rep-CFunR*: $\text{chain } Y \implies \text{chain } (\lambda i. f \cdot (Y i))$
by (rule *monofun-Rep-CFun2* [THEN *ch2ch-monofun*])

lemma *ch2ch-Rep-CFunL*: $\text{chain } F \implies \text{chain } (\lambda i. (F i) \cdot x)$
by (rule *monofun-Rep-CFun1* [THEN *ch2ch-monofun*])

lemma *ch2ch-Rep-CFun* [simp]:
 $\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies \text{chain } (\lambda i. (F i) \cdot (Y i))$
by (simp add: *chain-def monofun-cfun*)

lemma *ch2ch-LAM* [simp]:
 $\llbracket \bigwedge x. \text{chain } (\lambda i. S i x); \bigwedge i. \text{cont } (\lambda x. S i x) \rrbracket \implies \text{chain } (\lambda i. \bigwedge x. S i x)$
by (simp add: *chain-def expand-cfun-less*)

contlub, cont properties of *Rep-CFun* in both arguments

lemma *contlub-cfun*:
 $\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies (\bigsqcup i. F i) \cdot (\bigsqcup i. Y i) = (\bigsqcup i. F i \cdot (Y i))$
by (simp add: *contlub-cfun-fun contlub-cfun-arg diag-lub*)

lemma *cont-cfun*:
 $\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies \text{range } (\lambda i. F i \cdot (Y i)) <<| (\bigsqcup i. F i) \cdot (\bigsqcup i. Y i)$

```

apply (rule thelubE)
apply (simp only: ch2ch-Rep-CFun)
apply (simp only: contlub-cfun)
done

```

```

lemma contlub-LAM:
  
$$\llbracket \bigwedge x. \text{chain } (\lambda i. F\ i\ x); \bigwedge i. \text{cont } (\lambda x. F\ i\ x) \rrbracket$$


$$\implies (\bigwedge x. \bigsqcup i. F\ i\ x) = (\bigsqcup i. \bigwedge x. F\ i\ x)$$

apply (simp add: thelub-CFun)
apply (simp add: Abs-CFun-inverse2)
apply (simp add: thelub-fun ch2ch-lambda)
done

```

```

lemmas lub-distribs =
  contlub-cfun [symmetric]
  contlub-LAM [symmetric]

```

strictness

```

lemma strictI:  $f \cdot x = \perp \implies f \cdot \perp = \perp$ 
apply (rule UU-I)
apply (erule subst)
apply (rule minimal [THEN monofun-cfun-arg])
done

```

the lub of a chain of continous functions is monotone

```

lemma lub-cfun-mono:  $\text{chain } F \implies \text{monofun } (\lambda x. \bigsqcup i. F\ i \cdot x)$ 
apply (drule ch2ch-monofun [OF monofun-Rep-CFun])
apply (simp add: thelub-fun [symmetric])
apply (erule monofun-lub-fun)
apply (simp add: monofun-Rep-CFun2)
done

```

a lemma about the exchange of lubs for type $'a \rightarrow 'b$

```

lemma ex-lub-cfun:
  
$$\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies (\bigsqcup j. \bigsqcup i. F\ j \cdot (Y\ i)) = (\bigsqcup i. \bigsqcup j. F\ j \cdot (Y\ i))$$

by (simp add: diag-lub)

```

the lub of a chain of cont. functions is continuous

```

lemma cont-lub-cfun:  $\text{chain } F \implies \text{cont } (\lambda x. \bigsqcup i. F\ i \cdot x)$ 
apply (rule cont2cont-lub)
apply (erule monofun-Rep-CFun [THEN ch2ch-monofun])
apply (rule cont-Rep-CFun2)
done

```

type $'a \rightarrow 'b$ is chain complete

```

lemma lub-cfun:  $\text{chain } F \implies \text{range } F <<| (\bigwedge x. \bigsqcup i. F\ i \cdot x)$ 
by (simp only: contlub-cfun-fun [symmetric] eta-cfun thelubE)

```

lemma *thelub-cfun*: $\text{chain } F \implies (\bigsqcup i. F i) = (\Lambda x. \bigsqcup i. F i \cdot x)$
by (rule *lub-cfun* [THEN *thelubI*])

8.6 Continuity simplification procedure

cont2cont lemma for *Rep-CFun*

lemma *cont2cont-Rep-CFun* [*cont2cont*]:
assumes $f: \text{cont } (\lambda x. f x)$
assumes $t: \text{cont } (\lambda x. t x)$
shows $\text{cont } (\lambda x. (f x) \cdot (t x))$
proof –
have $\text{cont } (\lambda x. \text{Rep-CFun } (f x))$
using *cont-Rep-CFun f* **by** (rule *cont2cont-app3*)
thus $\text{cont } (\lambda x. (f x) \cdot (t x))$
using *cont-Rep-CFun2 t* **by** (rule *cont2cont-app2*)
qed

cont2mono Lemma for $\lambda x. \Lambda y. c1 x y$

lemma *cont2mono-LAM*:
 $\llbracket \Lambda x. \text{cont } (\lambda y. f x y); \Lambda y. \text{monofun } (\lambda x. f x y) \rrbracket$
 $\implies \text{monofun } (\lambda x. \Lambda y. f x y)$
unfolding *monofun-def expand-cfun-less* **by** *simp*

cont2cont Lemma for $\lambda x. \Lambda y. f x y$

Not suitable as a cont2cont rule, because on nested lambdas it causes exponential blow-up in the number of subgoals.

lemma *cont2cont-LAM*:
assumes $f1: \Lambda x. \text{cont } (\lambda y. f x y)$
assumes $f2: \Lambda y. \text{cont } (\lambda x. f x y)$
shows $\text{cont } (\lambda x. \Lambda y. f x y)$
proof (rule *cont-Abs-CFun*)
fix x
from $f1$ **show** $f x \in \text{CFun}$ **by** (*simp add: CFun-def*)
from $f2$ **show** $\text{cont } f$ **by** (rule *cont2cont-lambda*)
qed

This version does work as a cont2cont rule, since it has only a single subgoal.

lemma *cont2cont-LAM'* [*cont2cont*]:
fixes $f :: 'a::cpo \Rightarrow 'b::cpo \Rightarrow 'c::cpo$
assumes $f: \text{cont } (\lambda p. f (\text{fst } p) (\text{snd } p))$
shows $\text{cont } (\lambda x. \Lambda y. f x y)$
proof (rule *cont2cont-LAM*)
fix $x :: 'a$
have $\text{cont } (\lambda y. (x, y))$
by (rule *cont-pair2*)
with f **have** $\text{cont } (\lambda y. f (\text{fst } (x, y)) (\text{snd } (x, y)))$
by (rule *cont2cont-app3*)

```

thus cont ( $\lambda y. f\ x\ y$ )
  by (simp only: fst-conv snd-conv)
next
  fix y :: 'b
  have cont ( $\lambda x. (x, y)$ )
    by (rule cont-pair1)
  with f have cont ( $\lambda x. f\ (fst\ (x, y))\ (snd\ (x, y))$ )
    by (rule cont2cont-app3)
  thus cont ( $\lambda x. f\ x\ y$ )
    by (simp only: fst-conv snd-conv)
qed

```

```

lemma cont2cont-LAM-discrete [cont2cont]:
  ( $\bigwedge y :: 'a :: discrete-cpo. cont\ (\lambda x. f\ x\ y) \implies cont\ (\lambda x. \Lambda\ y. f\ x\ y)$ )
by (simp add: cont2cont-LAM)

```

```

lemmas cont-lemmas1 =
  cont-const cont-id cont-Rep-CFun2 cont2cont-Rep-CFun cont2cont-LAM

```

8.7 Miscellaneous

Monotonicity of *Abs-CFun*

```

lemma semi-monofun-Abs-CFun:
  [ $cont\ f; cont\ g; f \sqsubseteq g$ ]  $\implies Abs-CFun\ f \sqsubseteq Abs-CFun\ g$ 
by (simp add: less-CFun-def Abs-CFun-inverse2)

```

some lemmata for functions with flat/chfin domain/range types

```

lemma chfin-Rep-CFunR: chain ( $Y :: nat \implies 'a :: cpo \rightarrow 'b :: chfin$ )
   $\implies !s. ? n. (LUB\ i. Y\ i)\ \$s = Y\ n\ \$s$ 
apply (rule allI)
apply (subst contlub-cfun-fun)
apply assumption
apply (fast intro!: thelubI chfin lub-finch2 chfin2finch ch2ch-Rep-CFunL)
done

```

```

lemma adm-chfindom: adm ( $\lambda (u :: 'a :: cpo \rightarrow 'b :: chfin). P(u \cdot s)$ )
by (rule adm-subst, simp, rule adm-chfin)

```

8.8 Continuous injection-retraction pairs

Continuous retractions are strict.

```

lemma retraction-strict:
   $\forall x. f \cdot (g \cdot x) = x \implies f \cdot \perp = \perp$ 
apply (rule UU-I)
apply (drule-tac x =  $\perp$  in spec)
apply (erule subst)
apply (rule monofun-cfun-arg)
apply (rule minimal)

```


done

lemma *injection-eq*:

$\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x = g \cdot y) = (x = y)$
apply (*rule iffI*)
apply (*drule-tac f=f in cfun-arg-cong*)
apply *simp*
apply *simp*
done

lemma *injection-less*:

$\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x \sqsubseteq g \cdot y) = (x \sqsubseteq y)$
apply (*rule iffI*)
apply (*drule-tac f=f in monofun-cfun-arg*)
apply *simp*
apply (*erule monofun-cfun-arg*)
done

lemma *injection-defined-rev*:

$\llbracket \forall x. f \cdot (g \cdot x) = x; g \cdot z = \perp \rrbracket \implies z = \perp$
apply (*drule-tac f=f in cfun-arg-cong*)
apply (*simp add: retraction-strict*)
done

lemma *injection-defined*:

$\llbracket \forall x. f \cdot (g \cdot x) = x; z \neq \perp \rrbracket \implies g \cdot z \neq \perp$
by (*erule contrapos-nn, rule injection-defined-rev*)

propagation of flatness and chain-finiteness by retractions

lemma *chfin2chfin*:

$\forall y. (f :: 'a :: \text{chfin} \rightarrow 'b) \cdot (g \cdot y) = y$
 $\implies \forall Y :: \text{nat} \Rightarrow 'b. \text{chain } Y \longrightarrow (\exists n. \text{max-in-chain } n \ Y)$
apply *clarify*
apply (*drule-tac f=g in chain-monofun*)
apply (*drule chfin*)
apply (*unfold max-in-chain-def*)
apply (*simp add: injection-eq*)
done

lemma *flat2flat*:

$\forall y. (f :: 'a :: \text{flat} \rightarrow 'b :: \text{pcpo}) \cdot (g \cdot y) = y$
 $\implies \forall x y :: 'b. x \sqsubseteq y \longrightarrow x = \perp \vee x = y$
apply *clarify*
apply (*drule-tac f=g in monofun-cfun-arg*)
apply (*drule ax-flat*)
apply (*erule disjE*)
apply (*simp add: injection-defined-rev*)
apply (*simp add: injection-eq*)
done

a result about functions with flat codomain

lemma *flat-eqI*: $\llbracket (x :: 'a :: \text{flat}) \sqsubseteq y; x \neq \perp \rrbracket \implies x = y$
by (*drule ax-flat, simp*)

lemma *flat-codom*:

$f \cdot x = (c :: 'b :: \text{flat}) \implies f \cdot \perp = \perp \vee (\forall z. f \cdot z = c)$
apply (*case-tac f \cdot x = \perp*)
apply (*rule disjI1*)
apply (*rule UU-I*)
apply (*erule-tac t = \perp in subst*)
apply (*rule minimal [THEN monofun-cfun-arg]*)
apply *clarify*
apply (*rule-tac a = f \cdot \perp in refl [THEN box-equals]*)
apply (*erule minimal [THEN monofun-cfun-arg, THEN flat-eqI]*)
apply (*erule minimal [THEN monofun-cfun-arg, THEN flat-eqI]*)
done

8.9 Identity and composition

definition

$ID :: 'a \rightarrow 'a$ **where**
 $ID = (\Lambda x. x)$

definition

$cfcomp :: ('b \rightarrow 'c) \rightarrow ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'c$ **where**
 $oo\text{-def}: cfcomp = (\Lambda f g x. f \cdot (g \cdot x))$

abbreviation

$cfcomp\text{-syn} :: ['b \rightarrow 'c, 'a \rightarrow 'b] \Rightarrow 'a \rightarrow 'c$ (**infixr** *oo* 100) **where**
 $f \text{ oo } g == cfcomp \cdot f \cdot g$

lemma *ID1* [*simp*]: $ID \cdot x = x$
by (*simp add: ID-def*)

lemma *cfcomp1*: $(f \text{ oo } g) = (\Lambda x. f \cdot (g \cdot x))$
by (*simp add: oo-def*)

lemma *cfcomp2* [*simp*]: $(f \text{ oo } g) \cdot x = f \cdot (g \cdot x)$
by (*simp add: cfcomp1*)

lemma *cfcomp-LAM*: $cont\ g \implies f \text{ oo } (\Lambda x. g\ x) = (\Lambda x. f \cdot (g\ x))$
by (*simp add: cfcomp1*)

lemma *cfcomp-strict* [*simp*]: $\perp \text{ oo } f = \perp$
by (*simp add: expand-cfun-eq*)

Show that interpretation of (pcpo, $-->$) is a category. The class of objects is interpretation of syntactical class pcpo. The class of arrows between objects $'a$ and $'b$ is interpret. of $'a \rightarrow 'b$. The identity arrow is interpretation of *ID*.

The composition of f and g is interpretation of oo .

lemma *ID2* [*simp*]: $f \text{ oo } ID = f$
by (*rule ext-cfun, simp*)

lemma *ID3* [*simp*]: $ID \text{ oo } f = f$
by (*rule ext-cfun, simp*)

lemma *assoc-oo*: $f \text{ oo } (g \text{ oo } h) = (f \text{ oo } g) \text{ oo } h$
by (*rule ext-cfun, simp*)

8.10 Strictified functions

defaultsort *pcpo*

definition

strictify :: $('a \rightarrow 'b) \rightarrow 'a \rightarrow 'b$ **where**
strictify = $(\lambda f x. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$

results about *strictify*

lemma *cont-strictify1*: $\text{cont } (\lambda f. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$
by (*simp add: cont-if*)

lemma *monofun-strictify2*: $\text{monofun } (\lambda x. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$
apply (*rule monofunI*)
apply (*auto simp add: monofun-cfun-arg*)
done

lemma *contlub-strictify2*: $\text{contlub } (\lambda x. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$
apply (*rule contlubI*)
apply (*case-tac* ($\bigsqcup i. Y i = \perp$)
apply (*drule* (1) *chain-UU-I*)
apply *simp*
apply (*simp del: if-image-distrib*)
apply (*simp only: contlub-cfun-arg*)
apply (*rule lub-equal2*)
apply (*rule chain-mono2* [*THEN exE*])
apply (*erule chain-UU-I-inverse2*)
apply (*assumption*)
apply (*rule-tac* $x=x$ **in** *exI*, *clarsimp*)
apply (*erule chain-monofun*)
apply (*erule monofun-strictify2* [*THEN ch2ch-monofun*])
done

lemmas *cont-strictify2* =
monocontlub2cont [*OF monofun-strictify2 contlub-strictify2, standard*]

lemma *strictify-conv-if*: $\text{strictify} \cdot f \cdot x = (\text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$
unfolding *strictify-def*

by (*simp add: cont-strictify1 cont-strictify2 cont2cont-LAM*)

lemma *strictify1* [*simp*]: *strictify.f*. \perp = \perp
by (*simp add: strictify-conv-if*)

lemma *strictify2* [*simp*]: $x \neq \perp \implies \text{strictify.f} \cdot x = f \cdot x$
by (*simp add: strictify-conv-if*)

8.11 Continuous let-bindings

definition

CLet :: $'a \rightarrow ('a \rightarrow 'b) \rightarrow 'b$ **where**
CLet = ($\Lambda s f. f \cdot s$)

syntax

-CLet :: [*letbinds*, $'a$] $\Rightarrow 'a$ (*(Let (-) / in (-)) 10*)

translations

-CLet (*-binds b bs*) *e* == *-CLet b (-CLet bs e)*
Let x = a in e == *CONST CLet.a.($\Lambda x. e$)*

end

9 Deflation: Continuous Deflations and Embedding-Projection Pairs

theory *Deflation*

imports *Cfun*

begin

defaultsort *cpo*

9.1 Continuous deflations

locale *deflation* =

fixes *d* :: $'a \rightarrow 'a$
assumes *idem*: $\bigwedge x. d \cdot (d \cdot x) = d \cdot x$
assumes *less*: $\bigwedge x. d \cdot x \sqsubseteq x$

begin

lemma *less-ID*: $d \sqsubseteq ID$

by (*rule less-cfun-ext, simp add: less*)

The set of fixed points is the same as the range.

lemma *fixes-eq-range*: $\{x. d \cdot x = x\} = \text{range } (\lambda x. d \cdot x)$

by (*auto simp add: eq-sym-conv idem*)

lemma *range-eq-fixes*: $\text{range } (\lambda x. d \cdot x) = \{x. d \cdot x = x\}$
by (*auto simp add: eq-sym-conv idem*)

The pointwise ordering on deflation functions coincides with the subset ordering of their sets of fixed-points.

lemma *lessI*:
assumes $f: \bigwedge x. d \cdot x = x \implies f \cdot x = x$ **shows** $d \sqsubseteq f$
proof (*rule less-cfun-ext*)
fix x
from *less* **have** $f \cdot (d \cdot x) \sqsubseteq f \cdot x$ **by** (*rule monofun-cfun-arg*)
also from *idem* **have** $f \cdot (d \cdot x) = d \cdot x$ **by** (*rule f*)
finally show $d \cdot x \sqsubseteq f \cdot x$.
qed

lemma *lessD*: $\llbracket f \sqsubseteq d; f \cdot x = x \rrbracket \implies d \cdot x = x$
proof (*rule antisym-less*)
from *less* **show** $d \cdot x \sqsubseteq x$.
next
assume $f \sqsubseteq d$
hence $f \cdot x \sqsubseteq d \cdot x$ **by** (*rule monofun-cfun-fun*)
also assume $f \cdot x = x$
finally show $x \sqsubseteq d \cdot x$.
qed

end

lemma *adm-deflation*: $\text{adm } (\lambda d. \text{deflation } d)$
by (*simp add: deflation-def*)

lemma *deflation-ID*: *deflation ID*
by (*simp add: deflation.intro*)

lemma *deflation-UU*: *deflation \perp*
by (*simp add: deflation.intro*)

lemma *deflation-less-iff*:
 $\llbracket \text{deflation } p; \text{deflation } q \rrbracket \implies p \sqsubseteq q \longleftrightarrow (\forall x. p \cdot x = x \longrightarrow q \cdot x = x)$
apply *safe*
apply (*simp add: deflation.lessD*)
apply (*simp add: deflation.lessI*)
done

The composition of two deflations is equal to the lesser of the two (if they are comparable).

lemma *deflation-less-comp1*:
assumes *deflation f*
assumes *deflation g*
shows $f \sqsubseteq g \implies f \cdot (g \cdot x) = f \cdot x$
proof (*rule antisym-less*)

```

interpret g: deflation g by fact
from g.less show  $f \cdot (g \cdot x) \sqsubseteq f \cdot x$  by (rule monofun-cfun-arg)
next
interpret f: deflation f by fact
assume  $f \sqsubseteq g$  hence  $f \cdot x \sqsubseteq g \cdot x$  by (rule monofun-cfun-fun)
hence  $f \cdot (f \cdot x) \sqsubseteq f \cdot (g \cdot x)$  by (rule monofun-cfun-arg)
also have  $f \cdot (f \cdot x) = f \cdot x$  by (rule f.idem)
finally show  $f \cdot x \sqsubseteq f \cdot (g \cdot x)$  .
qed

```

```

lemma deflation-less-comp2:
   $\llbracket \text{deflation } f; \text{ deflation } g; f \sqsubseteq g \rrbracket \implies g \cdot (f \cdot x) = f \cdot x$ 
by (simp only: deflation.lessD deflation.idem)

```

9.2 Deflations with finite range

```

lemma finite-range-imp-finite-fixes:
   $\text{finite } (\text{range } f) \implies \text{finite } \{x. f \cdot x = x\}$ 
proof -
  have  $\{x. f \cdot x = x\} \subseteq \text{range } f$ 
  by (clarify, erule subst, rule rangeI)
  moreover assume  $\text{finite } (\text{range } f)$ 
  ultimately show  $\text{finite } \{x. f \cdot x = x\}$ 
  by (rule finite-subset)
qed

```

```

locale finite-deflation = deflation +
  assumes finite-fixes:  $\text{finite } \{x. d \cdot x = x\}$ 
begin

```

```

lemma finite-range:  $\text{finite } (\text{range } (\lambda x. d \cdot x))$ 
by (simp add: range-eq-fixes finite-fixes)

```

```

lemma finite-image:  $\text{finite } ((\lambda x. d \cdot x) \cdot A)$ 
by (rule finite-subset [OF image-mono [OF subset-UNIV] finite-range])

```

```

lemma compact: compact (d · x)
proof (rule compactI2)
  fix Y :: nat  $\Rightarrow$  'a
  assume Y: chain Y
  have finite-chain  $(\lambda i. d \cdot (Y \ i))$ 
  proof (rule finite-range-imp-finch)
    show chain  $(\lambda i. d \cdot (Y \ i))$ 
    using Y by simp
  have range  $(\lambda i. d \cdot (Y \ i)) \subseteq \text{range } (\lambda x. d \cdot x)$ 
  by clarsimp
  thus finite (range  $(\lambda i. d \cdot (Y \ i))$ )
  using finite-range by (rule finite-subset)
qed

```

hence $\exists j. (\bigsqcup i. d \cdot (Y i)) = d \cdot (Y j)$
 by (*simp add: finite-chain-def maxinch-is-the lub Y*)
 then obtain j where $j: (\bigsqcup i. d \cdot (Y i)) = d \cdot (Y j) ..$

 assume $d \cdot x \sqsubseteq (\bigsqcup i. Y i)$
 hence $d \cdot (d \cdot x) \sqsubseteq d \cdot (\bigsqcup i. Y i)$
 by (*rule monofun-cfun-arg*)
 hence $d \cdot x \sqsubseteq (\bigsqcup i. d \cdot (Y i))$
 by (*simp add: contrub-cfun-arg Y idem*)
 hence $d \cdot x \sqsubseteq d \cdot (Y j)$
 using j by *simp*
 hence $d \cdot x \sqsubseteq Y j$
 using *less* by (*rule trans-less*)
 thus $\exists j. d \cdot x \sqsubseteq Y j ..$
 qed
 end

9.3 Continuous embedding-projection pairs

locale *ep-pair* =
 fixes $e :: 'a \rightarrow 'b$ and $p :: 'b \rightarrow 'a$
 assumes *e-inverse* [*simp*]: $\bigwedge x. p \cdot (e \cdot x) = x$
 and *e-p-less*: $\bigwedge y. e \cdot (p \cdot y) \sqsubseteq y$
 begin

 lemma *e-less-iff* [*simp*]: $e \cdot x \sqsubseteq e \cdot y \longleftrightarrow x \sqsubseteq y$
 proof
 assume $e \cdot x \sqsubseteq e \cdot y$
 hence $p \cdot (e \cdot x) \sqsubseteq p \cdot (e \cdot y)$ by (*rule monofun-cfun-arg*)
 thus $x \sqsubseteq y$ by *simp*
 next
 assume $x \sqsubseteq y$
 thus $e \cdot x \sqsubseteq e \cdot y$ by (*rule monofun-cfun-arg*)
 qed

 lemma *e-eq-iff* [*simp*]: $e \cdot x = e \cdot y \longleftrightarrow x = y$
 unfolding *po-eq-conv e-less-iff* ..

 lemma *p-eq-iff*:
 $\llbracket e \cdot (p \cdot x) = x; e \cdot (p \cdot y) = y \rrbracket \implies p \cdot x = p \cdot y \longleftrightarrow x = y$
 by (*safe, erule subst, erule subst, simp*)

 lemma *p-inverse*: $(\exists x. y = e \cdot x) = (e \cdot (p \cdot y) = y)$
 by (*auto, rule exI, erule sym*)

 lemma *e-less-iff-less-p*: $e \cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq p \cdot y$
 proof
 assume $e \cdot x \sqsubseteq y$

```

    then have  $p \cdot (e \cdot x) \sqsubseteq p \cdot y$  by (rule monofun-cfun-arg)
    then show  $x \sqsubseteq p \cdot y$  by simp
next
  assume  $x \sqsubseteq p \cdot y$ 
  then have  $e \cdot x \sqsubseteq e \cdot (p \cdot y)$  by (rule monofun-cfun-arg)
  then show  $e \cdot x \sqsubseteq y$  using  $e \cdot p$ -less by (rule trans-less)
qed

```

```

lemma compact-e-rev: compact (e · x)  $\implies$  compact x
proof –
  assume compact (e · x)
  hence adm ( $\lambda y. \neg e \cdot x \sqsubseteq y$ ) by (rule compactD)
  hence adm ( $\lambda y. \neg e \cdot x \sqsubseteq e \cdot y$ ) by (rule adm-subst [OF cont-Rep-CFun2])
  hence adm ( $\lambda y. \neg x \sqsubseteq y$ ) by simp
  thus compact x by (rule compactI)
qed

```

```

lemma compact-e: compact x  $\implies$  compact (e · x)
proof –
  assume compact x
  hence adm ( $\lambda y. \neg x \sqsubseteq y$ ) by (rule compactD)
  hence adm ( $\lambda y. \neg x \sqsubseteq p \cdot y$ ) by (rule adm-subst [OF cont-Rep-CFun2])
  hence adm ( $\lambda y. \neg e \cdot x \sqsubseteq y$ ) by (simp add: e-less-iff-less-p)
  thus compact (e · x) by (rule compactI)
qed

```

```

lemma compact-e-iff: compact (e · x)  $\longleftrightarrow$  compact x
by (rule iffI [OF compact-e-rev compact-e])

```

Deflations from ep-pairs

```

lemma deflation-e-p: deflation (e oo p)
by (simp add: deflation.intro e-p-less)

```

```

lemma deflation-e-d-p:
  assumes deflation d
  shows deflation (e oo d oo p)
proof
  interpret deflation d by fact
  fix x :: 'b
  show (e oo d oo p) · ((e oo d oo p) · x) = (e oo d oo p) · x
    by (simp add: idem)
  show (e oo d oo p) · x  $\sqsubseteq$  x
    by (simp add: e-less-iff-less-p less)
qed

```

```

lemma finite-deflation-e-d-p:
  assumes finite-deflation d
  shows finite-deflation (e oo d oo p)
proof

```



```

interpret finite-deflation d by fact
fix x :: 'b
show (e oo d oo p)·((e oo d oo p)·x) = (e oo d oo p)·x
  by (simp add: idem)
show (e oo d oo p)·x  $\sqsubseteq$  x
  by (simp add: e-less-iff-less-p less)
have finite (( $\lambda$ x. e·x) ‘ ( $\lambda$ x. d·x) ‘ range ( $\lambda$ x. p·x))
  by (simp add: finite-image)
hence finite (range ( $\lambda$ x. (e oo d oo p)·x))
  by (simp add: image-image)
thus finite {x. (e oo d oo p)·x = x}
  by (rule finite-range-imp-finite-fixes)
qed

```

```

lemma deflation-p-d-e:
  assumes deflation d
  assumes d:  $\bigwedge$ x. d·x  $\sqsubseteq$  e·(p·x)
  shows deflation (p oo d oo e)
proof –
  interpret d: deflation d by fact
  {
    fix x
    have d·(e·x)  $\sqsubseteq$  e·x
      by (rule d.less)
    hence p·(d·(e·x))  $\sqsubseteq$  p·(e·x)
      by (rule monofun-cfun-arg)
    hence (p oo d oo e)·x  $\sqsubseteq$  x
      by simp
  }
  note p-d-e-less = this
  show ?thesis
  proof
    fix x
    show (p oo d oo e)·x  $\sqsubseteq$  x
      by (rule p-d-e-less)
  next
    fix x
    show (p oo d oo e)·((p oo d oo e)·x) = (p oo d oo e)·x
      proof (rule antisym-less)
        show (p oo d oo e)·((p oo d oo e)·x)  $\sqsubseteq$  (p oo d oo e)·x
          by (rule p-d-e-less)
        have p·(d·(d·(d·(e·x))))  $\sqsubseteq$  p·(d·(e·(p·(d·(e·x)))))
          by (intro monofun-cfun-arg d)
        hence p·(d·(e·x))  $\sqsubseteq$  p·(d·(e·(p·(d·(e·x)))))
          by (simp only: d.idem)
        thus (p oo d oo e)·x  $\sqsubseteq$  (p oo d oo e)·((p oo d oo e)·x)
          by simp
      qed
  qed

```

qed

```

lemma finite-deflation-p-d-e:
  assumes finite-deflation d
  assumes d:  $\bigwedge x. d \cdot x \sqsubseteq e \cdot (p \cdot x)$ 
  shows finite-deflation (p oo d oo e)
proof -
  interpret d: finite-deflation d by fact
  show ?thesis
  proof (intro-locale)
    have deflation d ..
    thus deflation (p oo d oo e)
      using d by (rule deflation-p-d-e)
  next
    show finite-deflation-axioms (p oo d oo e)
  proof
    have finite (( $\lambda x. d \cdot x$ ) ‘ range ( $\lambda x. e \cdot x$ ))
      by (rule d.finite-image)
    hence finite (( $\lambda x. p \cdot x$ ) ‘ ( $\lambda x. d \cdot x$ ) ‘ range ( $\lambda x. e \cdot x$ ))
      by (rule finite-imageI)
    hence finite (range ( $\lambda x. (p \text{ oo } d \text{ oo } e) \cdot x$ ))
      by (simp add: image-image)
    thus finite {x. (p oo d oo e) · x = x}
      by (rule finite-range-imp-finite-fixes)
  qed
qed
qed
end

```

9.4 Uniqueness of ep-pairs

```

lemma ep-pair-unique-e-lemma:
  assumes ep-pair e1 p and ep-pair e2 p
  shows e1  $\sqsubseteq$  e2
proof (rule less-cfun-ext)
  interpret e1: ep-pair e1 p by fact
  interpret e2: ep-pair e2 p by fact
  fix x
  have e1 · (p · (e2 · x))  $\sqsubseteq$  e2 · x
    by (rule e1.e-p-less)
  thus e1 · x  $\sqsubseteq$  e2 · x
    by (simp only: e2.e-inverse)
qed

```

```

lemma ep-pair-unique-e:
   $\llbracket \text{ep-pair } e1 \text{ } p; \text{ ep-pair } e2 \text{ } p \rrbracket \implies e1 = e2$ 
by (fast intro: antisym-less elim: ep-pair-unique-e-lemma)

```

lemma *ep-pair-unique-p-lemma*:
 assumes *ep-pair* *e* *p1* and *ep-pair* *e* *p2*
 shows $p1 \sqsubseteq p2$
proof (*rule less-cfun-ext*)
 interpret *p1*: *ep-pair* *e* *p1* **by fact**
 interpret *p2*: *ep-pair* *e* *p2* **by fact**
 fix *x*
 have $e \cdot (p1 \cdot x) \sqsubseteq x$
 by (*rule p1.e-p-less*)
 hence $p2 \cdot (e \cdot (p1 \cdot x)) \sqsubseteq p2 \cdot x$
 by (*rule monofun-cfun-arg*)
 thus $p1 \cdot x \sqsubseteq p2 \cdot x$
 by (*simp only: p2.e-inverse*)
qed

lemma *ep-pair-unique-p*:
 $\llbracket ep\text{-}pair\ e\ p1;\ ep\text{-}pair\ e\ p2 \rrbracket \implies p1 = p2$
by (*fast intro: antisym-less elim: ep-pair-unique-p-lemma*)

9.5 Composing ep-pairs

lemma *ep-pair-ID-ID*: *ep-pair* *ID* *ID*
by *default simp-all*

lemma *ep-pair-comp*:
 assumes *ep-pair* *e1* *p1* and *ep-pair* *e2* *p2*
 shows *ep-pair* (*e2 oo e1*) (*p1 oo p2*)
proof
 interpret *ep1*: *ep-pair* *e1* *p1* **by fact**
 interpret *ep2*: *ep-pair* *e2* *p2* **by fact**
 fix *x y*
 show $(p1\ oo\ p2) \cdot ((e2\ oo\ e1) \cdot x) = x$
 by *simp*
 have $e1 \cdot (p1 \cdot (p2 \cdot y)) \sqsubseteq p2 \cdot y$
 by (*rule ep1.e-p-less*)
 hence $e2 \cdot (e1 \cdot (p1 \cdot (p2 \cdot y))) \sqsubseteq e2 \cdot (p2 \cdot y)$
 by (*rule monofun-cfun-arg*)
 also have $e2 \cdot (p2 \cdot y) \sqsubseteq y$
 by (*rule ep2.e-p-less*)
 finally show $(e2\ oo\ e1) \cdot ((p1\ oo\ p2) \cdot y) \sqsubseteq y$
 by *simp*
qed

locale *pcpo-ep-pair* = *ep-pair* +
 constrains *e* :: '*a*::*pcpo* \rightarrow '*b*::*pcpo*
 constrains *p* :: '*b*::*pcpo* \rightarrow '*a*::*pcpo*
begin

lemma *e-strict* [*simp*]: $e \cdot \perp = \perp$

proof –
 have $\perp \sqsubseteq p \cdot \perp$ **by** (rule *minimal*)
 hence $e \cdot \perp \sqsubseteq e \cdot (p \cdot \perp)$ **by** (rule *monofun-cfun-arg*)
 also have $e \cdot (p \cdot \perp) \sqsubseteq \perp$ **by** (rule *e-p-less*)
 finally show $e \cdot \perp = \perp$ **by** *simp*
qed

lemma *e-defined-iff* [*simp*]: $e \cdot x = \perp \longleftrightarrow x = \perp$
by (rule *e-eq-iff* [**where** $y = \perp$, *unfolded e-strict*])

lemma *e-defined*: $x \neq \perp \implies e \cdot x \neq \perp$
by *simp*

lemma *p-strict* [*simp*]: $p \cdot \perp = \perp$
by (rule *e-inverse* [**where** $x = \perp$, *unfolded e-strict*])

lemmas *stricts* = *e-strict p-strict*

end

end

10 Bifinite: Bifinite domains and approximation

theory *Bifinite*
imports *Deflation*
begin

10.1 Omega-profinite and bifinite domains

class *profinite* =
 fixes *approx* :: $\text{nat} \Rightarrow 'a \rightarrow 'a$
 assumes *chain-approx* [*simp*]: *chain approx*
 assumes *lub-approx-app* [*simp*]: $(\bigsqcup i. \text{approx } i \cdot x) = x$
 assumes *approx-idem*: $\text{approx } i \cdot (\text{approx } i \cdot x) = \text{approx } i \cdot x$
 assumes *finite-fixes-approx*: *finite* $\{x. \text{approx } i \cdot x = x\}$

class *bifinite* = *profinite* + *pcpo*

lemma *approx-less*: $\text{approx } i \cdot x \sqsubseteq x$
proof –
 have *chain* $(\lambda i. \text{approx } i \cdot x)$ **by** *simp*
 hence $\text{approx } i \cdot x \sqsubseteq (\bigsqcup i. \text{approx } i \cdot x)$ **by** (rule *is-ub-the-lub*)
 thus $\text{approx } i \cdot x \sqsubseteq x$ **by** *simp*
qed

lemma *finite-deflation-approx*: *finite-deflation* (*approx i*)
proof

```

fix x :: 'a
show approx i.(approx i.x) = approx i.x
  by (rule approx-idem)
show approx i.x  $\sqsubseteq$  x
  by (rule approx-less)
show finite {x. approx i.x = x}
  by (rule finite-fixes-approx)
qed

```

```

interpretation approx: finite-deflation approx i
by (rule finite-deflation-approx)

```

```

lemma (in deflation) deflation: deflation d ..

```

```

lemma deflation-approx: deflation (approx i)
by (rule approx.deflation)

```

```

lemma lub-approx [simp]: ( $\bigsqcup$  i. approx i) = ( $\bigwedge$  x. x)
by (rule ext-cfun, simp add: contrlub-cfun-fun)

```

```

lemma approx-strict [simp]: approx i. $\perp$  =  $\perp$ 
by (rule UU-I, rule approx-less)

```

```

lemma approx-approx1:
  i  $\leq$  j  $\implies$  approx i.(approx j.x) = approx i.x
apply (rule deflation-less-comp1 [OF deflation-approx deflation-approx])
apply (erule chain-mono [OF chain-approx])
done

```

```

lemma approx-approx2:
  j  $\leq$  i  $\implies$  approx i.(approx j.x) = approx j.x
apply (rule deflation-less-comp2 [OF deflation-approx deflation-approx])
apply (erule chain-mono [OF chain-approx])
done

```

```

lemma approx-approx [simp]:
  approx i.(approx j.x) = approx (min i j).x
apply (rule-tac x=i and y=j in linorder-le-cases)
apply (simp add: approx-approx1 min-def)
apply (simp add: approx-approx2 min-def)
done

```

```

lemma finite-image-approx: finite (( $\lambda$ x. approx n.x) ` A)
by (rule approx.finite-image)

```

```

lemma finite-range-approx: finite (range ( $\lambda$ x. approx i.x))
by (rule approx.finite-range)

```

```

lemma compact-approx [simp]: compact (approx n.x)

```

by (rule approx.compact)

lemma profinite-compact-eq-approx: compact $x \implies \exists i. \text{approx } i \cdot x = x$
by (rule admD2, simp-all)

lemma profinite-compact-iff: compact $x \iff (\exists n. \text{approx } n \cdot x = x)$
apply (rule iffI)
 apply (erule profinite-compact-eq-approx)
 apply (erule exE)
 apply (erule subst)
 apply (rule compact-approx)
done

lemma approx-induct:
 assumes adm: adm P and $P: \bigwedge n x. P (\text{approx } n \cdot x)$
 shows $P x$
proof –
 have $P (\bigsqcup n. \text{approx } n \cdot x)$
 by (rule admD [OF adm], simp, simp add: P)
 thus $P x$ by simp
qed

lemma profinite-less-ext: $(\bigwedge i. \text{approx } i \cdot x \sqsubseteq \text{approx } i \cdot y) \implies x \sqsubseteq y$
apply (subgoal-tac $(\bigsqcup i. \text{approx } i \cdot x) \sqsubseteq (\bigsqcup i. \text{approx } i \cdot y)$, simp)
apply (rule lub-mono, simp, simp, simp)
done

10.2 Instance for continuous function space

lemma finite-range-cfun-lemma:
 assumes $a: \text{finite } (\text{range } (\lambda x. a \cdot x))$
 assumes $b: \text{finite } (\text{range } (\lambda y. b \cdot y))$
 shows $\text{finite } (\text{range } (\lambda f. \bigwedge x. b \cdot (f \cdot (a \cdot x))))$ (is finite (range ?h))
proof (rule finite-imageD)
 let $?f = \lambda g. \text{range } (\lambda x. (a \cdot x, g \cdot x))$
 show finite $(?f \text{ ‘ range } ?h)$
 proof (rule finite-subset)
 let $?B = \text{Pow } (\text{range } (\lambda x. a \cdot x) \times \text{range } (\lambda y. b \cdot y))$
 show $?f \text{ ‘ range } ?h \subseteq ?B$
 by clarsimp
 show finite $?B$
 by (simp add: $a \ b$)
qed
show inj-on $?f$ (range ?h)
proof (rule inj-onI, rule ext-cfun, clarsimp)
 fix $x \ f \ g$
 assume $\text{range } (\lambda x. (a \cdot x, b \cdot (f \cdot (a \cdot x)))) = \text{range } (\lambda x. (a \cdot x, b \cdot (g \cdot (a \cdot x))))$
 hence $\text{range } (\lambda x. (a \cdot x, b \cdot (f \cdot (a \cdot x)))) \subseteq \text{range } (\lambda x. (a \cdot x, b \cdot (g \cdot (a \cdot x))))$
 by (rule equalityD1)

```

    hence  $(a \cdot x, b \cdot (f \cdot (a \cdot x))) \in \text{range } (\lambda x. (a \cdot x, b \cdot (g \cdot (a \cdot x))))$ 
    by (simp add: subset-eq)
    then obtain  $y$  where  $(a \cdot x, b \cdot (f \cdot (a \cdot x))) = (a \cdot y, b \cdot (g \cdot (a \cdot y)))$ 
    by (rule rangeE)
    thus  $b \cdot (f \cdot (a \cdot x)) = b \cdot (g \cdot (a \cdot x))$ 
    by clarsimp
  qed
qed

```

```

instantiation  $\rightarrow :: (\text{profinite}, \text{profinite}) \text{profinite}$ 
begin

```

```

definition

```

```

  approx-cfun-def:
  approx =  $(\lambda n. \Lambda f x. \text{approx } n \cdot (f \cdot (\text{approx } n \cdot x)))$ 

```

```

instance proof

```

```

  show chain (approx ::  $\text{nat} \Rightarrow ('a \rightarrow 'b) \rightarrow ('a \rightarrow 'b)$ )
    unfolding approx-cfun-def by simp

```

```

next

```

```

  fix  $x :: 'a \rightarrow 'b$ 
  show  $(\bigsqcup i. \text{approx } i \cdot x) = x$ 
    unfolding approx-cfun-def
    by (simp add: lub-distribs eta-cfun)

```

```

next

```

```

  fix  $i :: \text{nat}$  and  $x :: 'a \rightarrow 'b$ 
  show  $\text{approx } i \cdot (\text{approx } i \cdot x) = \text{approx } i \cdot x$ 
    unfolding approx-cfun-def by simp

```

```

next

```

```

  fix  $i :: \text{nat}$ 
  show finite  $\{x :: 'a \rightarrow 'b. \text{approx } i \cdot x = x\}$ 
    apply (rule finite-range-imp-finite-fixes)
    apply (simp add: approx-cfun-def)
    apply (intro finite-range-cfun-lemma finite-range-approx)
    done

```

```

qed

```

```

end

```

```

instance  $\rightarrow :: (\text{profinite}, \text{bifinite}) \text{bifinite} ..$ 

```

```

lemma approx-cfun:  $\text{approx } n \cdot f \cdot x = \text{approx } n \cdot (f \cdot (\text{approx } n \cdot x))$ 
by (simp add: approx-cfun-def)

```

```

end

```

11 Cprod: The cpo of cartesian products

```
theory Cprod
imports Bifinite
begin
```

```
defaultsort cpo
```

11.1 Type *unit* is a pcpo

definition

```
unit-when :: 'a → unit → 'a where
unit-when = (λ a -. a)
```

translations

```
Λ(). t == CONST unit-when·t
```

lemma *unit-when* [simp]: *unit-when*·*a*·*u* = *a*
by (*simp add: unit-when-def*)

11.2 Continuous versions of constants

definition

```
cpair :: 'a → 'b → ('a * 'b) — continuous pairing where
cpair = (λ x y. (x, y))
```

definition

```
cfst :: ('a * 'b) → 'a where
cfst = (λ p. fst p)
```

definition

```
csnd :: ('a * 'b) → 'b where
csnd = (λ p. snd p)
```

definition

```
csplit :: ('a → 'b → 'c) → ('a * 'b) → 'c where
csplit = (λ f p. f·(cfst·p)·(csnd·p))
```

syntax

```
-ctuple :: ['a, args] ⇒ 'a * 'b ((1<-/, ->))
```

syntax (*xsymbols*)

```
-ctuple :: ['a, args] ⇒ 'a * 'b ((1⟨-, / -⟩))
```

translations

```
⟨x, y, z⟩ == ⟨x, ⟨y, z⟩⟩
⟨x, y⟩ == CONST cpair·x·y
```

translations

```
Λ(CONST cpair·x·y). t == CONST csplit·(Λ x y. t)
```


11.3 Convert all lemmas to the continuous versions

lemma *cpair-eq-pair*: $\langle x, y \rangle = (x, y)$
by (*simp add: cpair-def cont-pair1 cont-pair2*)

lemma *pair-eq-cpair*: $(x, y) = \langle x, y \rangle$
by (*simp add: cpair-def cont-pair1 cont-pair2*)

lemma *inject-cpair*: $\langle a, b \rangle = \langle aa, ba \rangle \implies a = aa \wedge b = ba$
by (*simp add: cpair-eq-pair*)

lemma *cpair-eq [iff]*: $(\langle a, b \rangle = \langle a', b' \rangle) = (a = a' \wedge b = b')$
by (*simp add: cpair-eq-pair*)

lemma *cpair-less [iff]*: $(\langle a, b \rangle \sqsubseteq \langle a', b' \rangle) = (a \sqsubseteq a' \wedge b \sqsubseteq b')$
by (*simp add: cpair-eq-pair*)

lemma *cpair-defined-iff [iff]*: $(\langle x, y \rangle = \perp) = (x = \perp \wedge y = \perp)$
by (*simp add: cpair-eq-pair*)

lemma *cpair-strict [simp]*: $\langle \perp, \perp \rangle = \perp$
by *simp*

lemma *inst-cprod-pcpo2*: $\perp = \langle \perp, \perp \rangle$
by (*rule cpair-strict [symmetric]*)

lemma *defined-cpair-rev*:
 $\langle a, b \rangle = \perp \implies a = \perp \wedge b = \perp$
by *simp*

lemma *Exh-Cprod2*: $\exists a b. z = \langle a, b \rangle$
by (*simp add: cpair-eq-pair*)

lemma *cprodE*: $\llbracket \bigwedge x y. p = \langle x, y \rangle \implies Q \rrbracket \implies Q$
by (*cut-tac Exh-Cprod2, auto*)

lemma *cfst-cpair [simp]*: $cfst \cdot \langle x, y \rangle = x$
by (*simp add: cpair-eq-pair cfst-def cont-fst*)

lemma *csnd-cpair [simp]*: $csnd \cdot \langle x, y \rangle = y$
by (*simp add: cpair-eq-pair csnd-def cont-snd*)

lemma *cfst-strict [simp]*: $cfst \cdot \perp = \perp$
by (*simp add: cfst-def*)

lemma *csnd-strict [simp]*: $csnd \cdot \perp = \perp$
by (*simp add: csnd-def*)

lemma *cpair-cfst-csnd*: $\langle cfst \cdot p, csnd \cdot p \rangle = p$
by (*cases p rule: cprodE, simp*)

lemmas *surjective-pairing-Cprod2* = *cpair-cfst-csnd*

lemma *less-cprod*: $x \sqsubseteq y = (cfst \cdot x \sqsubseteq cfst \cdot y \wedge csnd \cdot x \sqsubseteq csnd \cdot y)$
by (*simp add: less-cprod-def cfst-def csnd-def cont-fst cont-snd*)

lemma *eq-cprod*: $(x = y) = (cfst \cdot x = cfst \cdot y \wedge csnd \cdot x = csnd \cdot y)$
by (*auto simp add: po-eq-conv less-cprod*)

lemma *cfst-less-iff*: $cfst \cdot x \sqsubseteq y = x \sqsubseteq \langle y, csnd \cdot x \rangle$
by (*simp add: less-cprod*)

lemma *csnd-less-iff*: $csnd \cdot x \sqsubseteq y = x \sqsubseteq \langle cfst \cdot x, y \rangle$
by (*simp add: less-cprod*)

lemma *compact-cfst*: $compact\ x \implies compact\ (cfst \cdot x)$
by (*rule compactI, simp add: cfst-less-iff*)

lemma *compact-csnd*: $compact\ x \implies compact\ (csnd \cdot x)$
by (*rule compactI, simp add: csnd-less-iff*)

lemma *compact-cpair*: $\llbracket compact\ x; compact\ y \rrbracket \implies compact\ \langle x, y \rangle$
by (*simp add: cpair-eq-pair*)

lemma *compact-cpair-iff* [*simp*]: $compact\ \langle x, y \rangle = (compact\ x \wedge compact\ y)$
by (*simp add: cpair-eq-pair*)

lemma *lub-cprod2*:
 $chain\ S \implies range\ S <| \langle \bigsqcup i. cfst \cdot (S\ i), \bigsqcup i. csnd \cdot (S\ i) \rangle$
apply (*simp add: cpair-eq-pair cfst-def csnd-def cont-fst cont-snd*)
apply (*erule lub-cprod*)
done

lemma *thelub-cprod2*:
 $chain\ S \implies (\bigsqcup i. S\ i) = \langle \bigsqcup i. cfst \cdot (S\ i), \bigsqcup i. csnd \cdot (S\ i) \rangle$
by (*rule lub-cprod2 [THEN thelubI]*)

lemma *csplit1* [*simp*]: $csplit \cdot f \cdot \perp = f \cdot \perp \cdot \perp$
by (*simp add: csplit-def*)

lemma *csplit2* [*simp*]: $csplit \cdot f \cdot \langle x, y \rangle = f \cdot x \cdot y$
by (*simp add: csplit-def*)

lemma *csplit3* [*simp*]: $csplit \cdot cpair \cdot z = z$
by (*simp add: csplit-def cpair-cfst-csnd*)

lemmas *Cprod-rews* = *cfst-cpair csnd-cpair csplit2*

11.4 Product type is a bifinite domain

instantiation $*$:: (*profinite*, *profinite*) *profinite*
begin

definition

approx-cprod-def:
 $\text{approx} = (\lambda n. \Lambda \langle x, y \rangle. \langle \text{approx } n \cdot x, \text{approx } n \cdot y \rangle)$

instance proof

fix $i :: \text{nat}$ **and** $x :: 'a \times 'b$
show $\text{chain } (\text{approx} :: \text{nat} \Rightarrow 'a \times 'b \rightarrow 'a \times 'b)$
 unfolding *approx-cprod-def* **by** *simp*
show $(\bigsqcup i. \text{approx } i \cdot x) = x$
 unfolding *approx-cprod-def*
 by (*simp add: lub-distribs eta-cfun*)
show $\text{approx } i \cdot (\text{approx } i \cdot x) = \text{approx } i \cdot x$
 unfolding *approx-cprod-def csplit-def* **by** *simp*
have $\{x :: 'a \times 'b. \text{approx } i \cdot x = x\} \subseteq$
 $\{x :: 'a. \text{approx } i \cdot x = x\} \times \{x :: 'b. \text{approx } i \cdot x = x\}$
 unfolding *approx-cprod-def*
 by (*clarsimp simp add: pair-eq-cpair*)
thus $\text{finite } \{x :: 'a \times 'b. \text{approx } i \cdot x = x\}$
 by (*rule finite-subset,*
 intro finite-cartesian-product finite-fixes-approx)

qed

end

instance $*$:: (*bifinite*, *bifinite*) *bifinite* ..

lemma *approx-cpair* [*simp*]:

$\text{approx } i \cdot \langle x, y \rangle = \langle \text{approx } i \cdot x, \text{approx } i \cdot y \rangle$

unfolding *approx-cprod-def* **by** *simp*

lemma *cfst-approx*: $\text{cfst} \cdot (\text{approx } i \cdot p) = \text{approx } i \cdot (\text{cfst} \cdot p)$

by (*cases p rule: cprodE, simp*)

lemma *csnd-approx*: $\text{csnd} \cdot (\text{approx } i \cdot p) = \text{approx } i \cdot (\text{csnd} \cdot p)$

by (*cases p rule: cprodE, simp*)

end

12 Discrete: Discrete cpo types

theory *Discrete*

imports *Cont*

begin

```
datatype 'a discr = Discr 'a :: type
```

12.1 Type 'a discr is a discrete cpo

```
instantiation discr :: (type) sq-ord
begin
```

```
definition
```

```
  less-discr-def:
  (op  $\sqsubseteq$  :: 'a discr  $\Rightarrow$  'a discr  $\Rightarrow$  bool) = (op =)
```

```
instance ..
end
```

```
instance discr :: (type) discrete-cpo
by intro-classes (simp add: less-discr-def)
```

```
lemma discr-less-eq [iff]: ((x::('a::type)discr) << y) = (x = y)
by simp
```

12.2 Type 'a discr is a cpo

```
lemma discr-chain0:
  !!S::nat=>('a::type)discr. chain S ==> S i = S 0
apply (unfold chain-def)
apply (induct-tac i)
apply (rule refl)
apply (erule subst)
apply (rule sym)
apply fast
done
```

```
lemma discr-chain-range0 [simp]:
  !!S::nat=>('a::type)discr. chain(S) ==> range(S) = {S 0}
by (fast elim: discr-chain0)
```

```
instance discr :: (finite) finite-po
proof
  have finite (Discr ' (UNIV :: 'a set))
    by (rule finite-imageI [OF finite])
  also have (Discr ' (UNIV :: 'a set)) = UNIV
    by (auto, case-tac x, auto)
  finally show finite (UNIV :: 'a discr set) .
qed
```

```
instance discr :: (type) chfin
apply intro-classes
apply (rule-tac x=0 in exI)
apply (unfold max-in-chain-def)
```

```

apply (clarify, erule discr-chain0 [symmetric])
done

```

12.3 *undiscr*

definition

```

undiscr :: ('a::type)discr ==> 'a where
undiscr x = (case x of Discr y ==> y)

```

lemma *undiscr-Discr* [*simp*]: *undiscr* (*Discr* x) = x
by (*simp* *add*: *undiscr-def*)

lemma *Discr-undiscr* [*simp*]: *Discr* (*undiscr* y) = y
by (*induct* y) *simp*

lemma *discr-chain-f-range0*:

```

!!S::nat==>('a::type)discr. chain(S) ==> range(%i. f(S i)) = {f(S 0)}
by (fast dest: discr-chain0 elim: arg-cong)

```

lemma *cont-discr* [*iff*]: *cont* (%x::('a::type)discr. f x)
by (*rule* *cont-discrete-cpo*)

end

13 Up: The type of lifted values

theory *Up*

imports *Bifinite*

begin

defaultsort *cpo*

13.1 Definition of new type for lifting

datatype 'a u = *Ibottom* | *Iup* 'a

syntax (*xsymbols*)

```

u :: type => type ((- $\perp$ ) [1000] 999)

```

consts

```

Ifup :: ('a -> 'b::pcpo) => 'a u => 'b

```

primrec

```

Ifup f Ibottom =  $\perp$ 
Ifup f (Iup x) = f·x

```

13.2 Ordering on lifted cpo

instantiation $u :: (cpo) \text{ sq-ord}$
begin

definition

less-up-def:
 $(op \sqsubseteq) \equiv (\lambda x y. \text{case } x \text{ of } Ibottom \Rightarrow \text{True} \mid Iup\ a \Rightarrow$
 $(\text{case } y \text{ of } Ibottom \Rightarrow \text{False} \mid Iup\ b \Rightarrow a \sqsubseteq b))$

instance ..
end

lemma *minimal-up* [iff]: $Ibottom \sqsubseteq z$
by (*simp add: less-up-def*)

lemma *not-Iup-less* [iff]: $\neg Iup\ x \sqsubseteq Ibottom$
by (*simp add: less-up-def*)

lemma *Iup-less* [iff]: $(Iup\ x \sqsubseteq Iup\ y) = (x \sqsubseteq y)$
by (*simp add: less-up-def*)

13.3 Lifted cpo is a partial order

instance $u :: (cpo) \text{ po}$

proof

fix $x :: 'a\ u$
show $x \sqsubseteq x$
unfolding *less-up-def* **by** (*simp split: u.split*)

next

fix $x\ y :: 'a\ u$
assume $x \sqsubseteq y\ y \sqsubseteq x$ **thus** $x = y$
unfolding *less-up-def*
by (*auto split: u.split-asm intro: antisym-less*)

next

fix $x\ y\ z :: 'a\ u$
assume $x \sqsubseteq y\ y \sqsubseteq z$ **thus** $x \sqsubseteq z$
unfolding *less-up-def*
by (*auto split: u.split-asm intro: trans-less*)

qed

lemma *u-UNIV*: $UNIV = \text{insert } Ibottom\ (\text{range } Iup)$
by (*auto, case-tac x, auto*)

instance $u :: (finite-po) \text{ finite-po}$
by (*intro-classes, simp add: u-UNIV*)

13.4 Lifted cpo is a cpo

lemma *is-lub-Iup*:

```

  range  $S <<| x \implies \text{range } (\lambda i. \text{Iup } (S \ i)) <<| \text{Iup } x$ 
apply (rule is-lubI)
apply (rule ub-rangeI)
apply (subst Iup-less)
apply (erule is-ub-lub)
apply (case-tac u)
apply (drule ub-rangeD)
apply simp
apply simp
apply (erule is-lub-lub)
apply (rule ub-rangeI)
apply (drule-tac  $i=i$  in ub-rangeD)
apply simp
done

```

Now some lemmas about chains of $'a_{\perp}$ elements

lemma up-lemma1: $z \neq \text{Ibottom} \implies \text{Iup } (\text{THE } a. \text{Iup } a = z) = z$
by (case-tac z, simp-all)

lemma up-lemma2:
 $\llbracket \text{chain } Y; Y \ j \neq \text{Ibottom} \rrbracket \implies Y \ (i + j) \neq \text{Ibottom}$
apply (erule contrapos-nn)
apply (drule-tac $i=j$ **and** $j=i + j$ **in** chain-mono)
apply (rule le-add2)
apply (case-tac $Y \ j$)
apply assumption
apply simp
done

lemma up-lemma3:
 $\llbracket \text{chain } Y; Y \ j \neq \text{Ibottom} \rrbracket \implies \text{Iup } (\text{THE } a. \text{Iup } a = Y \ (i + j)) = Y \ (i + j)$
by (rule up-lemma1 [OF up-lemma2])

lemma up-lemma4:
 $\llbracket \text{chain } Y; Y \ j \neq \text{Ibottom} \rrbracket \implies \text{chain } (\lambda i. \text{THE } a. \text{Iup } a = Y \ (i + j))$
apply (rule chainI)
apply (rule Iup-less [THEN iffD1])
apply (subst up-lemma3, assumption+)+
apply (simp add: chainE)
done

lemma up-lemma5:
 $\llbracket \text{chain } Y; Y \ j \neq \text{Ibottom} \rrbracket \implies$
 $(\lambda i. Y \ (i + j)) = (\lambda i. \text{Iup } (\text{THE } a. \text{Iup } a = Y \ (i + j)))$
by (rule ext, rule up-lemma3 [symmetric])

lemma up-lemma6:
 $\llbracket \text{chain } Y; Y \ j \neq \text{Ibottom} \rrbracket$
 $\implies \text{range } Y <<| \text{Iup } (\bigsqcup i. \text{THE } a. \text{Iup } a = Y \ (i + j))$

```

apply (rule-tac  $j1 = j$  in is-lub-range-shift [THEN iffD1])
apply assumption
apply (subst up-lemma5, assumption+)
apply (rule is-lub-Iup)
apply (rule cpo-lubI)
apply (erule (1) up-lemma4)
done

```

```

lemma up-chain-lemma:
  chain  $Y \implies$ 
    ( $\exists A. \text{chain } A \wedge (\bigsqcup i. Y\ i) = \text{Iup } (\bigsqcup i. A\ i) \wedge$ 
     ( $\exists j. \forall i. Y\ (i + j) = \text{Iup } (A\ i)) \vee (Y = (\lambda i. \text{Ibottom}))$ )
apply (rule disjCI)
apply (simp add: expand-fun-eq)
apply (erule exE, rename-tac  $j$ )
apply (rule-tac  $x = \lambda i. \text{THE } a. \text{Iup } a = Y\ (i + j)$  in exI)
apply (simp add: up-lemma4)
apply (simp add: up-lemma6 [THEN thelubI])
apply (rule-tac  $x = j$  in exI)
apply (simp add: up-lemma3)
done

```

```

lemma cpo-up: chain ( $Y :: \text{nat} \Rightarrow 'a\ u$ )  $\implies \exists x. \text{range } Y <<| x$ 
apply (frule up-chain-lemma, safe)
apply (rule-tac  $x = \text{Iup } (\bigsqcup i. A\ i)$  in exI)
apply (erule-tac  $j = j$  in is-lub-range-shift [THEN iffD1, standard])
apply (simp add: is-lub-Iup cpo-lubI)
apply (rule exI, rule lub-const)
done

```

```

instance  $u :: (\text{cpo})\ \text{cpo}$ 
by intro-classes (rule cpo-up)

```

13.5 Lifted cpo is pointed

```

lemma least-up:  $\exists x :: 'a\ u. \forall y. x \sqsubseteq y$ 
apply (rule-tac  $x = \text{Ibottom}$  in exI)
apply (rule minimal-up [THEN allI])
done

```

```

instance  $u :: (\text{cpo})\ \text{pcpo}$ 
by intro-classes (rule least-up)

```

for compatibility with old HOLCF-Version

```

lemma inst-up-pcpo:  $\perp = \text{Ibottom}$ 
by (rule minimal-up [THEN UU-I, symmetric])

```


13.6 Continuity of Iup and $Ifup$

continuity for Iup

```
lemma cont-Iup: cont Iup
apply (rule contI)
apply (rule is-lub-Iup)
apply (erule cpo-lubI)
done
```

continuity for $Ifup$

```
lemma cont-Ifup1: cont ( $\lambda f. Ifup f x$ )
by (induct x, simp-all)
```

```
lemma monofun-Ifup2: monofun ( $\lambda x. Ifup f x$ )
apply (rule monofunI)
apply (case-tac x, simp)
apply (case-tac y, simp)
apply (simp add: monofun-cfun-arg)
done
```

```
lemma cont-Ifup2: cont ( $\lambda x. Ifup f x$ )
apply (rule contI)
apply (erule up-chain-lemma, safe)
apply (rule-tac j=j in is-lub-range-shift [THEN iffD1, standard])
apply (erule monofun-Ifup2 [THEN ch2ch-monofun])
apply (simp add: cont-cfun-arg)
apply (simp add: lub-const)
done
```

13.7 Continuous versions of constants

definition

```
up :: 'a  $\rightarrow$  'a u where
up = ( $\Lambda x. Iup x$ )
```

definition

```
fup :: ('a  $\rightarrow$  'b::pcpo)  $\rightarrow$  'a u  $\rightarrow$  'b where
fup = ( $\Lambda f p. Ifup f p$ )
```

translations

```
case l of XCONST up.x  $\Rightarrow t ==$  CONST fup.( $\Lambda x. t$ ).l
 $\Lambda(XCONST up.x). t ==$  CONST fup.( $\Lambda x. t$ )
```

continuous versions of lemmas for $'a_{\perp}$

```
lemma Exh-Up:  $z = \perp \vee (\exists x. z = up.x)$ 
apply (induct z)
apply (simp add: inst-up-pcpo)
apply (simp add: up-def cont-Iup)
done
```

lemma *up-eq* [*simp*]: $(up \cdot x = up \cdot y) = (x = y)$
by (*simp add: up-def cont-Iup*)

lemma *up-inject*: $up \cdot x = up \cdot y \implies x = y$
by *simp*

lemma *up-defined* [*simp*]: $up \cdot x \neq \perp$
by (*simp add: up-def cont-Iup inst-up-pcpo*)

lemma *not-up-less-UU*: $\neg up \cdot x \sqsubseteq \perp$
by *simp*

lemma *up-less* [*simp*]: $(up \cdot x \sqsubseteq up \cdot y) = (x \sqsubseteq y)$
by (*simp add: up-def cont-Iup*)

lemma *upE* [*cases type: u*]: $\llbracket p = \perp \implies Q; \bigwedge x. p = up \cdot x \implies Q \rrbracket \implies Q$
apply (*cases p*)
apply (*simp add: inst-up-pcpo*)
apply (*simp add: up-def cont-Iup*)
done

lemma *up-induct* [*induct type: u*]: $\llbracket P \perp; \bigwedge x. P (up \cdot x) \rrbracket \implies P x$
by (*cases x, simp-all*)

lifting preserves chain-finiteness

lemma *up-chain-cases*:
 $chain\ Y \implies$
 $(\exists A. chain\ A \wedge (\bigsqcup i. Y\ i) = up \cdot (\bigsqcup i. A\ i) \wedge$
 $(\exists j. \forall i. Y\ (i + j) = up \cdot (A\ i))) \vee Y = (\lambda i. \perp)$
by (*simp add: inst-up-pcpo up-def cont-Iup up-chain-lemma*)

lemma *compact-up*: $compact\ x \implies compact\ (up \cdot x)$
apply (*rule compactI2*)
apply (*drule up-chain-cases, safe*)
apply (*drule (1) compactD2, simp*)
apply (*erule exE, rule-tac x=i + j in exI*)
apply *simp*
apply *simp*
done

lemma *compact-upD*: $compact\ (up \cdot x) \implies compact\ x$
unfolding *compact-def*
by (*drule adm-subst [OF cont-Rep-CFun2 [where f=up]], simp*)

lemma *compact-up-iff* [*simp*]: $compact\ (up \cdot x) = compact\ x$
by (*safe elim!: compact-up compact-upD*)

instance *u* :: (*chfin*) *chfin*

```

apply intro-classes
apply (erule compact-imp-max-in-chain)
apply (rule-tac p= $\sqcup$ i. Y i in upE, simp-all)
done

```

properties of fup

```

lemma fup1 [simp]: fup.f.⊥ = ⊥
by (simp add: fup-def cont-Ifup1 cont-Ifup2 inst-up-pcpo cont2cont-LAM)

```

```

lemma fup2 [simp]: fup.f.(up.x) = f.x
by (simp add: up-def fup-def cont-Iup cont-Ifup1 cont-Ifup2 cont2cont-LAM)

```

```

lemma fup3 [simp]: fup.up.x = x
by (cases x, simp-all)

```

13.8 Lifted cpo is a bifinite domain

```

instantiation u :: (profinite) bifinite
begin

```

definition

```

approx-up-def:
approx = (λn. fup.(λ x. up.(approx n.x)))

```

instance proof

```

fix i :: nat and x :: 'a u
show chain (approx :: nat ⇒ 'a u → 'a u)
  unfolding approx-up-def by simp
show ( $\sqcup$  i. approx i.x) = x
  unfolding approx-up-def
  by (simp add: lub-distribs eta-cfun)
show approx i.(approx i.x) = approx i.x
  unfolding approx-up-def
  by (induct x, simp, simp)
have  $\{x::'a u. \text{approx } i.x = x\} \subseteq$ 
  insert ⊥ ((λx. up.x) ` {x::'a. approx i.x = x})
  unfolding approx-up-def
  by (rule subsetI, case-tac x, simp-all)
thus finite {x::'a u. approx i.x = x}
  by (rule finite-subset, simp add: finite-fixes-approx)
qed

```

end

```

lemma approx-up [simp]: approx i.(up.x) = up.(approx i.x)
unfolding approx-up-def by simp

```

end

14 Countable: Encoding (almost) everything into natural numbers

```

theory Countable
imports
  ~~ /src/HOL/List
  ~~ /src/HOL/Hilbert-Choice
  ~~ /src/HOL/Nat-Int-Bij
  ~~ /src/HOL/Rational
  Main
begin

```

14.1 The class of countable types

```

class countable =
  assumes ex-inj:  $\exists \text{to-nat} :: 'a \Rightarrow \text{nat}. \text{inj to-nat}$ 

```

```

lemma countable-classI:
  fixes f ::  $'a \Rightarrow \text{nat}$ 
  assumes  $\bigwedge x y. f\ x = f\ y \implies x = y$ 
  shows OFCLASS('a, countable-class)
proof (intro-classes, rule exI)
  show inj f
  by (rule injI [OF assms]) assumption
qed

```

14.2 Conversion functions

```

definition to-nat ::  $'a::\text{countable} \Rightarrow \text{nat}$  where
  to-nat = (SOME f. inj f)

```

```

definition from-nat ::  $\text{nat} \Rightarrow 'a::\text{countable}$  where
  from-nat = inv (to-nat ::  $'a \Rightarrow \text{nat}$ )

```

```

lemma inj-to-nat [simp]: inj to-nat
  by (rule exE-some [OF ex-inj]) (simp add: to-nat-def)

```

```

lemma surj-from-nat [simp]: surj from-nat
  unfolding from-nat-def by (simp add: inj-imp-surj-inv)

```

```

lemma to-nat-split [simp]:  $\text{to-nat } x = \text{to-nat } y \longleftrightarrow x = y$ 
  using injD [OF inj-to-nat] by auto

```

```

lemma from-nat-to-nat [simp]:
   $\text{from-nat } (\text{to-nat } x) = x$ 
  by (simp add: from-nat-def)

```

14.3 Countable types

```

instance nat :: countable

```

```

by (rule countable-classI [of id]) simp

subclass (in finite) countable
proof
  have finite (UNIV::'a set) by (rule finite-UNIV)
  with finite-conv-nat-seg-image [of UNIV]
  obtain n and f :: nat  $\Rightarrow$  'a
    where UNIV = f ` {i. i < n} by auto
  then have surj f unfolding surj-def by auto
  then have inj (inv f) by (rule surj-imp-inj-inv)
  then show  $\exists$  to-nat :: 'a  $\Rightarrow$  nat. inj to-nat by (rule exI [of inj])
qed

```

Pairs

```

primrec sum :: nat  $\Rightarrow$  nat
where
  sum 0 = 0
| sum (Suc n) = Suc n + sum n

```

```

lemma sum-arith: sum n = n * Suc n div 2
  by (induct n) auto

```

```

lemma sum-mono: n  $\geq$  m  $\implies$  sum n  $\geq$  sum m
  by (induct n m rule: diff-induct) auto

```

definition

```

pair-encode = ( $\lambda(m, n).$  sum (m + n) + m)

```

```

lemma inj-pair-encode: inj pair-encode
  unfolding pair-encode-def
proof (rule injI, simp only: split-paired-all split-conv)
  fix a b c d
  assume eq: sum (a + b) + a = sum (c + d) + c
  have a + b = c + d  $\vee$  a + b  $\geq$  Suc (c + d)  $\vee$  c + d  $\geq$  Suc (a + b) by arith
  then
  show (a, b) = (c, d)
proof (elim disjE)
  assume sumeq: a + b = c + d
  then have a = c using eq by auto
  moreover from sumeq this have b = d by auto
  ultimately show ?thesis by simp
next
  assume a + b  $\geq$  Suc (c + d)
  from sum-mono[OF this] eq
  show ?thesis by auto
next
  assume c + d  $\geq$  Suc (a + b)
  from sum-mono[OF this] eq
  show ?thesis by auto

```



```

      | Some y  $\Rightarrow$  Suc (to-nat y)])
(auto split:option.splits)

```

Lists

```

lemma from-nat-to-nat-map [simp]: map from-nat (map to-nat xs) = xs
  by (simp add: comp-def map-compose [symmetric])

```

primrec

```

  list-encode :: 'a::countable list  $\Rightarrow$  nat
where
  list-encode [] = 0
  | list-encode (x#xs) = Suc (to-nat (x, list-encode xs))

```

instance list :: (countable) countable

proof (rule countable-classI [of list-encode])

```

  fix xs ys :: 'a list
  assume cenc: list-encode xs = list-encode ys
  then show xs = ys
  proof (induct xs arbitrary: ys)
    case (Nil ys)
    with cenc show ?case by (cases ys, auto)
  next
    case (Cons x xs' ys)
    thus ?case by (cases ys) auto
  qed
qed

```

Functions

instance fun :: (finite, countable) countable

proof

```

  obtain xs :: 'a list where xs: set xs = UNIV
  using finite-list [OF finite-UNIV] ..
  show  $\exists$  to-nat::('a  $\Rightarrow$  'b)  $\Rightarrow$  nat. inj to-nat
  proof
    show inj ( $\lambda f.$  to-nat (map f xs))
    by (rule injI, simp add: xs expand-fun-eq)
  qed
qed

```

14.4 The Rationals are Countably Infinite

definition nat-to-rat-surj :: nat \Rightarrow rat **where**

```

nat-to-rat-surj n = (let (a,b) = nat-to-nat2 n
  in Fract (nat-to-int-bij a) (nat-to-int-bij b))

```

lemma surj-nat-to-rat-surj: surj nat-to-rat-surj

unfolding surj-def

proof

```

  fix r::rat

```

```

show  $\exists n. r = \text{nat-to-rat-surj } n$ 
proof (cases  $r$ )
  fix  $i\ j$  assume  $[simp]: r = \text{Fract } i\ j$  and  $j \neq 0$ 
  have  $r = (\text{let } m = \text{inv nat-to-int-bij } i; n = \text{inv nat-to-int-bij } j$ 
    in  $\text{nat-to-rat-surj}(\text{nat2-to-nat } (m,n))$ )
    using  $\text{nat2-to-nat-inj surj-f-inv-f}[OF \text{ surj-nat-to-int-bij}]$ 
    by ( $\text{simp add: Let-def nat-to-rat-surj-def nat-to-nat2-def}$ )
  thus  $\exists n. r = \text{nat-to-rat-surj } n$  by ( $\text{auto simp: Let-def}$ )
qed
qed

```

```

lemma Rats-eq-range-nat-to-rat-surj:  $\mathbb{Q} = \text{range nat-to-rat-surj}$ 
by ( $\text{simp add: Rats-def surj-nat-to-rat-surj surj-range}$ )

```

```

context field-char-0
begin

```

```

lemma Rats-eq-range-of-rat-o-nat-to-rat-surj:
   $\mathbb{Q} = \text{range (of-rat o nat-to-rat-surj)}$ 
using surj-nat-to-rat-surj
by ( $\text{auto simp: Rats-def image-def surj-def}$ )
  ( $\text{blast intro: arg-cong[where } f = \text{of-rat}]$ )

```

```

lemma surj-of-rat-nat-to-rat-surj:
   $r \in \mathbb{Q} \implies \exists n. r = \text{of-rat}(\text{nat-to-rat-surj } n)$ 
by ( $\text{simp add: Rats-eq-range-of-rat-o-nat-to-rat-surj image-def}$ )

```

```

end

```

```

instance rat :: countable
proof
  show  $\exists \text{to-nat}::\text{rat} \Rightarrow \text{nat. inj to-nat}$ 
  proof
    have surj nat-to-rat-surj
    by (rule surj-nat-to-rat-surj)
    then show inj (inv nat-to-rat-surj)
    by (rule surj-imp-inj-inv)
  qed
qed
end

```

15 Lift: Lifting types of class type to flat pcpo’s

```

theory Lift
imports Discrete Up Countable
begin

```


defaultsort *type*

pcpodef 'a lift = UNIV :: 'a discr u set
by *simp-all*

instance lift :: (finite) finite-po
by (rule typedef-finite-po [OF type-definition-lift])

lemmas inst-lift-pcpo = Abs-lift-strict [symmetric]

definition

Def :: 'a \Rightarrow 'a lift **where**
Def x = Abs-lift (up.(Discr x))

15.1 Lift as a datatype

lemma lift-induct: $\llbracket P \perp; \bigwedge x. P (Def\ x) \rrbracket \Longrightarrow P\ y$
apply (induct y)
apply (rule-tac p=y in upE)
apply (simp add: Abs-lift-strict)
apply (case-tac x)
apply (simp add: Def-def)
done

rep-datatype $\perp :: 'a\ lift\ Def$
by (erule lift-induct) (simp-all add: Def-def Abs-lift-inject lift-def inst-lift-pcpo)

lemmas lift-distinct1 = lift.distinct(1)
lemmas lift-distinct2 = lift.distinct(2)
lemmas Def-not-UU = lift.distinct(2)
lemmas Def-inject = lift.inject

\perp and *Def*

lemma Lift-exhaust: $x = \perp \vee (\exists y. x = Def\ y)$
by (induct x) simp-all

lemma Lift-cases: $\llbracket x = \perp \Longrightarrow P; \exists a. x = Def\ a \Longrightarrow P \rrbracket \Longrightarrow P$
by (insert Lift-exhaust) blast

lemma not-Undef-is-Def: $(x \neq \perp) = (\exists y. x = Def\ y)$
by (cases x) simp-all

lemma lift-definedE: $\llbracket x \neq \perp; \bigwedge a. x = Def\ a \Longrightarrow R \rrbracket \Longrightarrow R$
by (cases x) simp-all

For $x \neq \perp$ in assumptions *defined* replaces x by *Def a* in conclusion.

method-setup *defined* = $\langle\langle$
 Scan.succeed (fn ctxt \Rightarrow SIMPLE-METHOD'
 (etac @{thm lift-definedE} THEN' asm-simp-tac (local-simpset-of ctxt)))

»

lemma *DefE*: $\text{Def } x = \perp \implies R$
by *simp*

lemma *DefE2*: $\llbracket x = \text{Def } s; x = \perp \rrbracket \implies R$
by *simp*

lemma *Def-inject-less-eq*: $\text{Def } x \sqsubseteq \text{Def } y \longleftrightarrow x = y$
by (*simp add: less-lift-def Def-def Abs-lift-inverse lift-def*)

lemma *Def-less-is-eq* [*simp*]: $\text{Def } x \sqsubseteq y \longleftrightarrow \text{Def } x = y$
by (*induct y, simp, simp add: Def-inject-less-eq*)

15.2 Lift is flat

instance *lift* :: (*type*) *flat*
proof
fix *x y* :: '*a lift*
assume $x \sqsubseteq y$ **thus** $x = \perp \vee x = y$
by (*induct x*) *auto*
qed

Two specific lemmas for the combination of LCF and HOL terms.

lemma *cont-Rep-CFun-app* [*simp*]: $\llbracket \text{cont } g; \text{cont } f \rrbracket \implies \text{cont}(\lambda x. ((f x) \cdot (g x)) s)$
by (*rule cont2cont-Rep-CFun [THEN cont2cont-fun]*)

lemma *cont-Rep-CFun-app-app* [*simp*]: $\llbracket \text{cont } g; \text{cont } f \rrbracket \implies \text{cont}(\lambda x. ((f x) \cdot (g x)) s t)$
by (*rule cont-Rep-CFun-app [THEN cont2cont-fun]*)

15.3 Further operations

definition
flift1 :: (*'a* \Rightarrow '*b::pcpo*) \Rightarrow (*'a lift* \rightarrow '*b*) (**binder** *FLIFT* 10) **where**
flift1 = ($\lambda f. (\Lambda x. \text{lift-case } \perp f x)$)

definition
flift2 :: (*'a* \Rightarrow '*b*) \Rightarrow (*'a lift* \rightarrow '*b lift*) **where**
flift2 *f* = (*FLIFT* *x. Def* (*f* *x*))

15.4 Continuity Proofs for flift1, flift2

Need the instance of *flat*.

lemma *cont-lift-case1*: $\text{cont } (\lambda f. \text{lift-case } a f x)$
apply (*induct x*)
apply *simp*
apply *simp*

apply (rule cont-id [THEN cont2cont-fun])
done

lemma cont-lift-case2: cont ($\lambda x. \text{lift-case } \perp f x$)
apply (rule flatdom-strict2cont)
apply simp
done

lemma cont-flift1: cont flift1
unfolding flift1-def
apply (rule cont2cont-LAM)
apply (rule cont-lift-case2)
apply (rule cont-lift-case1)
done

lemma FLIFT-mono:
 $(\bigwedge x. f x \sqsubseteq g x) \implies (FLIFT x. f x) \sqsubseteq (FLIFT x. g x)$
apply (rule monofunE [where f=flift1])
apply (rule cont2mono [OF cont-flift1])
apply (simp add: less-fun-ext)
done

lemma cont2cont-flift1 [simp]:
 $\llbracket \bigwedge y. \text{cont } (\lambda x. f x y) \rrbracket \implies \text{cont } (\lambda x. FLIFT y. f x y)$
apply (rule cont-flift1 [THEN cont2cont-app3])
apply simp
done

lemma cont2cont-lift-case [simp]:
 $\llbracket \bigwedge y. \text{cont } (\lambda x. f x y); \text{cont } g \rrbracket \implies \text{cont } (\lambda x. \text{lift-case } UU (f x) (g x))$
apply (subgoal-tac cont ($\lambda x. (FLIFT y. f x y) \cdot (g x)$))
apply (simp add: flift1-def cont-lift-case2)
apply simp
done

rewrites for flift1, flift2

lemma flift1-Def [simp]: flift1 $f \cdot (\text{Def } x) = (f x)$
by (simp add: flift1-def cont-lift-case2)

lemma flift2-Def [simp]: flift2 $f \cdot (\text{Def } x) = \text{Def } (f x)$
by (simp add: flift2-def)

lemma flift1-strict [simp]: flift1 $f \cdot \perp = \perp$
by (simp add: flift1-def cont-lift-case2)

lemma flift2-strict [simp]: flift2 $f \cdot \perp = \perp$
by (simp add: flift2-def)

lemma flift2-defined [simp]: $x \neq \perp \implies (\text{flift2 } f) \cdot x \neq \perp$

by (*erule lift-definedE*, *simp*)

lemma *flift2-defined-iff* [*simp*]: (*flift2 f.x* = \perp) = (*x* = \perp)
by (*cases x*, *simp-all*)

Extension of *cont-tac* and installation of simplifier.

lemmas *cont-lemmas-ext* =
cont2cont-flift1 cont2cont-lift-case cont2cont-lambda
cont-Rep-CFun-app cont-Rep-CFun-app-app cont-if

ML \ll
local
val cont-lemmas2 = *thms cont-lemmas1 @ thms cont-lemmas-ext*;
val flift1-def = *thm flift1-def*;
in

fun cont-tac i = *resolve-tac cont-lemmas2 i*;
fun cont-tacR i = *REPEAT (cont-tac i)*;

fun cont-tacRs ss i =
simp-tac ss i THEN
REPEAT (cont-tac i)
end;
 \gg

15.5 Lifted countable types are bifinite

instantiation *lift* :: (*countable*) *bifinite*
begin

definition

approx-lift-def:
approx = (λn . *FLIFT x. if to-nat x* < *n* *then Def x* *else* \perp)

instance proof

fix *x* :: '*a lift*
show *chain* (*approx* :: *nat* \Rightarrow '*a lift* \rightarrow '*a lift*)
unfolding *approx-lift-def*
by (*rule chainI*, *simp add: FLIFT-mono*)

next

fix *x* :: '*a lift*
show ($\bigsqcup i$. *approx i.x*) = *x*
unfolding *approx-lift-def*
apply (*cases x*, *simp*)
apply (*rule thelubI*)
apply (*rule is-lubI*)
apply (*rule ub-rangeI*, *simp*)
apply (*drule ub-rangeD*)
apply (*erule rev-trans-less*)

```

    apply simp
    apply (rule lessI)
    done
next
  fix i :: nat and x :: 'a lift
  show approx i.(approx i.x) = approx i.x
    unfolding approx-lift-def
    by (cases x, simp, simp)
next
  fix i :: nat
  show finite {x::'a lift. approx i.x = x}
  proof (rule finite-subset)
    let ?S = insert ( $\perp$ ::'a lift) (Def ‘to-nat -‘ {..i})
    show {x::'a lift. approx i.x = x}  $\subseteq$  ?S
      unfolding approx-lift-def
      by (rule subsetI, case-tac x, simp, simp split: split-if-asm)
    show finite ?S
      by (simp add: finite-vimageI)
  qed
qed
end
end

```

16 Tr: The type of lifted booleans

```

theory Tr
imports Lift
begin

```

16.1 Type definition and constructors

```

types
  tr = bool lift

translations
  tr <= (type) bool lift

definition
  TT :: tr where
  TT = Def True

```

```

definition
  FF :: tr where
  FF = Def False

```

Exhaustion and Elimination for type *tr*

lemma *Exh-tr*: $t = \perp \vee t = TT \vee t = FF$
unfolding *FF-def TT-def* **by** (*induct t*) *auto*

lemma *trE*: $\llbracket p = \perp \implies Q; p = TT \implies Q; p = FF \implies Q \rrbracket \implies Q$
unfolding *FF-def TT-def* **by** (*induct p*) *auto*

lemma *tr-induct*: $\llbracket P \perp; P TT; P FF \rrbracket \implies P x$
by (*cases x rule: trE*) *simp-all*

distinctness for type *tr*

lemma *dist-less-tr* [*simp*]:
 $\neg TT \sqsubseteq \perp \neg FF \sqsubseteq \perp \neg TT \sqsubseteq FF \neg FF \sqsubseteq TT$
unfolding *TT-def FF-def* **by** *simp-all*

lemma *dist-eq-tr* [*simp*]:
 $TT \neq \perp FF \neq \perp TT \neq FF \perp \neq TT \perp \neq FF FF \neq TT$
unfolding *TT-def FF-def* **by** *simp-all*

lemma *TT-less-iff* [*simp*]: $TT \sqsubseteq x \longleftrightarrow x = TT$
by (*induct x rule: tr-induct*) *simp-all*

lemma *FF-less-iff* [*simp*]: $FF \sqsubseteq x \longleftrightarrow x = FF$
by (*induct x rule: tr-induct*) *simp-all*

lemma *not-less-TT-iff* [*simp*]: $\neg (x \sqsubseteq TT) \longleftrightarrow x = FF$
by (*induct x rule: tr-induct*) *simp-all*

lemma *not-less-FF-iff* [*simp*]: $\neg (x \sqsubseteq FF) \longleftrightarrow x = TT$
by (*induct x rule: tr-induct*) *simp-all*

16.2 Case analysis

defaultsort *pcpo*

definition

trifte :: $'c \rightarrow 'c \rightarrow tr \rightarrow 'c$ **where**
ifte-def: *trifte* = ($\Lambda t e. FLIFT b. if b then t else e$)

abbreviation

cifte-syn :: $[tr, 'c, 'c] \Rightarrow 'c$ ($((\exists If -/ (then -/ else -) fi) 60)$ **where**
 $If b then e1 else e2 fi == trifte.e1.e2.b$

translations

$\Lambda (XCONST TT). t == CONST trifte.t.\perp$
 $\Lambda (XCONST FF). t == CONST trifte.\perp.t$

lemma *ifte-thms* [*simp*]:

If \perp *then* *e1* *else* *e2* *fi* = \perp
If *FF* *then* *e1* *else* *e2* *fi* = *e2*
If *TT* *then* *e1* *else* *e2* *fi* = *e1*

by (*simp-all add: ifte-def TT-def FF-def*)

16.3 Boolean connectives

definition

trand :: $tr \rightarrow tr \rightarrow tr$ **where**
andalso-def: *trand* = $(\Lambda x y. \text{If } x \text{ then } y \text{ else } FF \text{ fi})$

abbreviation

andalso-syn :: $tr \Rightarrow tr \Rightarrow tr$ (*- andalso - [36,35] 35*) **where**
x andalso y == *trand*.*x*.*y*

definition

tror :: $tr \rightarrow tr \rightarrow tr$ **where**
orelse-def: *tror* = $(\Lambda x y. \text{If } x \text{ then } TT \text{ else } y \text{ fi})$

abbreviation

orelse-syn :: $tr \Rightarrow tr \Rightarrow tr$ (*- orelse - [31,30] 30*) **where**
x orelse y == *tror*.*x*.*y*

definition

neg :: $tr \rightarrow tr$ **where**
neg = *flift2 Not*

definition

If2 :: $[tr, 'c, 'c] \Rightarrow 'c$ **where**
If2 Q x y = $(\text{If } Q \text{ then } x \text{ else } y \text{ fi})$

tactic for tr-thms with case split

lemmas *tr-defs* = *andalso-def orelse-def neg-def ifte-def TT-def FF-def*

lemmas about andalso, orelse, neg and if

lemma *andalso-thms [simp]*:

$(TT \text{ andalso } y) = y$
 $(FF \text{ andalso } y) = FF$
 $(\perp \text{ andalso } y) = \perp$
 $(y \text{ andalso } TT) = y$
 $(y \text{ andalso } y) = y$

apply (*unfold andalso-def, simp-all*)

apply (*cases y rule: trE, simp-all*)

apply (*cases y rule: trE, simp-all*)

done

lemma *orelse-thms [simp]*:

$(TT \text{ orelse } y) = TT$
 $(FF \text{ orelse } y) = y$
 $(\perp \text{ orelse } y) = \perp$
 $(y \text{ orelse } FF) = y$
 $(y \text{ orelse } y) = y$

apply (*unfold orelse-def, simp-all*)

apply (*cases y rule: trE, simp-all*)

apply (*cases y rule: trE, simp-all*)
done

lemma *neg-thms* [*simp*]:
 $neg \cdot TT = FF$
 $neg \cdot FF = TT$
 $neg \cdot \perp = \perp$
by (*simp-all add: neg-def TT-def FF-def*)

split-tac for If via If2 because the constant has to be a constant

lemma *split-If2*:
 $P (If2\ Q\ x\ y) = ((Q = \perp \longrightarrow P\ \perp) \wedge (Q = TT \longrightarrow P\ x) \wedge (Q = FF \longrightarrow P\ y))$
apply (*unfold If2-def*)
apply (*rule-tac p = Q in trE*)
apply (*simp-all*)
done

ML $\langle\langle$
 $val\ split-If-tac =$
 $\quad simp-tac\ (HOL-basic-ss\ addsimps\ [@\{thm\ If2-def\}\ RS\ sym])$
 $\quad THEN'\ (split-tac\ [@\{thm\ split-If2\}])$
 $\rangle\rangle$

16.4 Rewriting of HOLCF operations to HOL functions

lemma *andalso-or*:
 $t \neq \perp \implies ((t\ andalso\ s) = FF) = (t = FF \vee s = FF)$
apply (*rule-tac p = t in trE*)
apply *simp-all*
done

lemma *andalso-and*:
 $t \neq \perp \implies ((t\ andalso\ s) \neq FF) = (t \neq FF \wedge s \neq FF)$
apply (*rule-tac p = t in trE*)
apply *simp-all*
done

lemma *Def-bool1* [*simp*]: $(Def\ x \neq FF) = x$
by (*simp add: FF-def*)

lemma *Def-bool2* [*simp*]: $(Def\ x = FF) = (\neg x)$
by (*simp add: FF-def*)

lemma *Def-bool3* [*simp*]: $(Def\ x = TT) = x$
by (*simp add: TT-def*)

lemma *Def-bool4* [*simp*]: $(Def\ x \neq TT) = (\neg x)$
by (*simp add: TT-def*)


```

lemma If-and-if:
  (If Def P then A else B fi) = (if P then A else B)
apply (rule-tac p = Def P in trE)
apply (auto simp add: TT-def[symmetric] FF-def[symmetric])
done

```

16.5 Compactness

```

lemma compact-TT: compact TT
by (rule compact-chfin)

```

```

lemma compact-FF: compact FF
by (rule compact-chfin)

```

```

end

```

17 Ssum: The type of strict sums

```

theory Ssum
imports Cprod Tr
begin

```

```

defaultsort pcpo

```

17.1 Definition of strict sum type

```

pcpodef (Ssum) ('a, 'b) ++ (infixr ++ 10) =
  {p :: tr × ('a × 'b).
    (cfst·p ⊆ TT ⟷ csnd·(csnd·p) = ⊥) ∧
    (cfst·p ⊆ FF ⟷ cfst·(csnd·p) = ⊥)}
by simp-all

```

```

instance ++ :: ({finite-po,pcpo}, {finite-po,pcpo}) finite-po
by (rule typedef-finite-po [OF type-definition-Ssum])

```

```

instance ++ :: ({chfin,pcpo}, {chfin,pcpo}) chfin
by (rule typedef-chfin [OF type-definition-Ssum less-Ssum-def])

```

```

syntax (xsymbols)
  ++ :: [type, type] => type      ((- ⊕/ -) [21, 20] 20)
syntax (HTML output)
  ++ :: [type, type] => type      ((- ⊕/ -) [21, 20] 20)

```

17.2 Definitions of constructors

```

definition
  sinl :: 'a → ('a ++ 'b) where

```

$$\text{sinl} = (\Lambda a. \text{Abs-Ssum } \langle \text{strictify} \cdot (\Lambda -. TT) \cdot a, a, \perp \rangle)$$

definition

$$\begin{aligned} \text{sinr} &:: 'b \rightarrow ('a \rightarrow 'b) \text{ where} \\ \text{sinr} &= (\Lambda b. \text{Abs-Ssum } \langle \text{strictify} \cdot (\Lambda -. FF) \cdot b, \perp, b \rangle) \end{aligned}$$

lemma *sinl-Ssum*: $\langle \text{strictify} \cdot (\Lambda -. TT) \cdot a, a, \perp \rangle \in \text{Ssum}$
by (*simp add: Ssum-def strictify-conv-if*)

lemma *sinr-Ssum*: $\langle \text{strictify} \cdot (\Lambda -. FF) \cdot b, \perp, b \rangle \in \text{Ssum}$
by (*simp add: Ssum-def strictify-conv-if*)

lemma *sinl-Abs-Ssum*: $\text{sinl} \cdot a = \text{Abs-Ssum } \langle \text{strictify} \cdot (\Lambda -. TT) \cdot a, a, \perp \rangle$
by (*unfold sinl-def, simp add: cont-Abs-Ssum sinl-Ssum*)

lemma *sinr-Abs-Ssum*: $\text{sinr} \cdot b = \text{Abs-Ssum } \langle \text{strictify} \cdot (\Lambda -. FF) \cdot b, \perp, b \rangle$
by (*unfold sinr-def, simp add: cont-Abs-Ssum sinr-Ssum*)

lemma *Rep-Ssum-sinl*: $\text{Rep-Ssum } (\text{sinl} \cdot a) = \langle \text{strictify} \cdot (\Lambda -. TT) \cdot a, a, \perp \rangle$
by (*simp add: sinl-Abs-Ssum Abs-Ssum-inverse sinl-Ssum*)

lemma *Rep-Ssum-sinr*: $\text{Rep-Ssum } (\text{sinr} \cdot b) = \langle \text{strictify} \cdot (\Lambda -. FF) \cdot b, \perp, b \rangle$
by (*simp add: sinr-Abs-Ssum Abs-Ssum-inverse sinr-Ssum*)

17.3 Properties of *sinl* and *sinr*

Ordering

lemma *sinl-less [simp]*: $(\text{sinl} \cdot x \sqsubseteq \text{sinl} \cdot y) = (x \sqsubseteq y)$
by (*simp add: less-Ssum-def Rep-Ssum-sinl strictify-conv-if*)

lemma *sinr-less [simp]*: $(\text{sinr} \cdot x \sqsubseteq \text{sinr} \cdot y) = (x \sqsubseteq y)$
by (*simp add: less-Ssum-def Rep-Ssum-sinr strictify-conv-if*)

lemma *sinl-less-sinr [simp]*: $(\text{sinl} \cdot x \sqsubseteq \text{sinr} \cdot y) = (x = \perp)$
by (*simp add: less-Ssum-def Rep-Ssum-sinl Rep-Ssum-sinr strictify-conv-if*)

lemma *sinr-less-sinl [simp]*: $(\text{sinr} \cdot x \sqsubseteq \text{sinl} \cdot y) = (x = \perp)$
by (*simp add: less-Ssum-def Rep-Ssum-sinl Rep-Ssum-sinr strictify-conv-if*)

Equality

lemma *sinl-eq [simp]*: $(\text{sinl} \cdot x = \text{sinl} \cdot y) = (x = y)$
by (*simp add: po-eq-conv*)

lemma *sinr-eq [simp]*: $(\text{sinr} \cdot x = \text{sinr} \cdot y) = (x = y)$
by (*simp add: po-eq-conv*)

lemma *sinl-eq-sinr [simp]*: $(\text{sinl} \cdot x = \text{sinr} \cdot y) = (x = \perp \wedge y = \perp)$
by (*subst po-eq-conv, simp*)

lemma *sinr-eq-sinl* [*simp*]: $(\text{sinr} \cdot x = \text{sinl} \cdot y) = (x = \perp \wedge y = \perp)$
by (*subst po-eq-conv*, *simp*)

lemma *sinl-inject*: $\text{sinl} \cdot x = \text{sinl} \cdot y \implies x = y$
by (*rule sinl-eq [THEN iffD1]*)

lemma *sinr-inject*: $\text{sinr} \cdot x = \text{sinr} \cdot y \implies x = y$
by (*rule sinr-eq [THEN iffD1]*)

Strictness

lemma *sinl-strict* [*simp*]: $\text{sinl} \cdot \perp = \perp$
by (*simp add: sinl-Abs-Ssum Abs-Ssum-strict*)

lemma *sinr-strict* [*simp*]: $\text{sinr} \cdot \perp = \perp$
by (*simp add: sinr-Abs-Ssum Abs-Ssum-strict*)

lemma *sinl-defined-iff* [*simp*]: $(\text{sinl} \cdot x = \perp) = (x = \perp)$
by (*cut-tac sinl-eq [of x \perp], simp*)

lemma *sinr-defined-iff* [*simp*]: $(\text{sinr} \cdot x = \perp) = (x = \perp)$
by (*cut-tac sinr-eq [of x \perp], simp*)

lemma *sinl-defined* [*intro!*]: $x \neq \perp \implies \text{sinl} \cdot x \neq \perp$
by *simp*

lemma *sinr-defined* [*intro!*]: $x \neq \perp \implies \text{sinr} \cdot x \neq \perp$
by *simp*

Compactness

lemma *compact-sinl*: $\text{compact } x \implies \text{compact } (\text{sinl} \cdot x)$
by (*rule compact-Ssum*, *simp add: Rep-Ssum-sinl strictify-conv-if*)

lemma *compact-sinr*: $\text{compact } x \implies \text{compact } (\text{sinr} \cdot x)$
by (*rule compact-Ssum*, *simp add: Rep-Ssum-sinr strictify-conv-if*)

lemma *compact-sinlD*: $\text{compact } (\text{sinl} \cdot x) \implies \text{compact } x$
unfolding *compact-def*
by (*drule adm-subst [OF cont-Rep-CFun2 [where f=sinl]], simp*)

lemma *compact-sinrD*: $\text{compact } (\text{sinr} \cdot x) \implies \text{compact } x$
unfolding *compact-def*
by (*drule adm-subst [OF cont-Rep-CFun2 [where f=sinr]], simp*)

lemma *compact-sinl-iff* [*simp*]: $\text{compact } (\text{sinl} \cdot x) = \text{compact } x$
by (*safe elim!: compact-sinl compact-sinlD*)

lemma *compact-sinr-iff* [*simp*]: $\text{compact } (\text{sinr} \cdot x) = \text{compact } x$
by (*safe elim!: compact-sinr compact-sinrD*)

17.4 Case analysis

lemma *Exh-Ssum*:

$z = \perp \vee (\exists a. z = \text{sinl} \cdot a \wedge a \neq \perp) \vee (\exists b. z = \text{sinr} \cdot b \wedge b \neq \perp)$
apply (*rule-tac* $x=z$ **in** *Abs-Ssum-induct*)
apply (*rule-tac* $p=y$ **in** *cprodE*, *rename-tac* $t\ x$)
apply (*rule-tac* $p=x$ **in** *cprodE*, *rename-tac* $a\ b$)
apply (*rule-tac* $p=t$ **in** *trE*)
apply (*rule* *disjI1*)
apply (*simp* *add*: *Ssum-def* *cpair-strict* *Abs-Ssum-strict*)
apply (*rule* *disjI2*, *rule* *disjI1*, *rule-tac* $x=a$ **in** *exI*)
apply (*simp* *add*: *sinl-Abs-Ssum* *Ssum-def*)
apply (*rule* *disjI2*, *rule* *disjI2*, *rule-tac* $x=b$ **in** *exI*)
apply (*simp* *add*: *sinr-Abs-Ssum* *Ssum-def*)
done

lemma *ssumE* [*cases type*: ++]:

$\llbracket p = \perp \implies Q; \wedge x. \llbracket p = \text{sinl} \cdot x; x \neq \perp \rrbracket \implies Q; \wedge y. \llbracket p = \text{sinr} \cdot y; y \neq \perp \rrbracket \implies Q \rrbracket \implies Q$
by (*cut-tac* $z=p$ **in** *Exh-Ssum*, *auto*)

lemma *ssum-induct* [*induct type*: ++]:

$\llbracket P\ \perp; \wedge x. x \neq \perp \implies P\ (\text{sinl} \cdot x); \wedge y. y \neq \perp \implies P\ (\text{sinr} \cdot y) \rrbracket \implies P\ x$
by (*cases* x , *simp-all*)

lemma *ssumE2*:

$\llbracket \wedge x. p = \text{sinl} \cdot x \implies Q; \wedge y. p = \text{sinr} \cdot y \implies Q \rrbracket \implies Q$
by (*cases* p , *simp* *only*: *sinl-strict* [*symmetric*], *simp*, *simp*)

lemma *less-sinlD*: $p \sqsubseteq \text{sinl} \cdot x \implies \exists y. p = \text{sinl} \cdot y \wedge y \sqsubseteq x$
by (*cases* p , *rule-tac* $x=\perp$ **in** *exI*, *simp-all*)

lemma *less-sinrD*: $p \sqsubseteq \text{sinr} \cdot x \implies \exists y. p = \text{sinr} \cdot y \wedge y \sqsubseteq x$
by (*cases* p , *rule-tac* $x=\perp$ **in** *exI*, *simp-all*)

17.5 Case analysis combinator

definition

$\text{sscase} :: ('a \rightarrow 'c) \rightarrow ('b \rightarrow 'c) \rightarrow ('a ++ 'b) \rightarrow 'c$ **where**
 $\text{sscase} = (\Lambda\ f\ g\ s. (\Lambda\ <t, x, y>. \text{If } t \text{ then } f \cdot x \text{ else } g \cdot y\ \text{fi}) \cdot (\text{Rep-Ssum } s))$

translations

case s *of* *XCONST* $\text{sinl} \cdot x \Rightarrow t1$ | *XCONST* $\text{sinr} \cdot y \Rightarrow t2$ == *CONST* $\text{sscase} \cdot (\Lambda\ x. t1) \cdot (\Lambda\ y. t2) \cdot s$

translations

$\Lambda(\text{XCONST } \text{sinl} \cdot x). t == \text{CONST } \text{sscase} \cdot (\Lambda\ x. t) \cdot \perp$

$$\Lambda(XCONST \text{ sinr} \cdot y). t == CONST \text{ sscase} \cdot \perp \cdot (\Lambda y. t)$$

lemma *beta-sscase*:

$$\text{sscase} \cdot f \cdot g \cdot s = (\Lambda < t, x, y >. \text{If } t \text{ then } f \cdot x \text{ else } g \cdot y \text{ fi}) \cdot (\text{Rep-Ssum } s)$$

unfolding *sscase-def* **by** (*simp add: cont-Rep-Ssum cont2cont-LAM*)

lemma *sscase1* [*simp*]: $\text{sscase} \cdot f \cdot g \cdot \perp = \perp$

unfolding *beta-sscase* **by** (*simp add: Rep-Ssum-strict*)

lemma *sscase2* [*simp*]: $x \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = f \cdot x$

unfolding *beta-sscase* **by** (*simp add: Rep-Ssum-sinl*)

lemma *sscase3* [*simp*]: $y \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (\text{sinr} \cdot y) = g \cdot y$

unfolding *beta-sscase* **by** (*simp add: Rep-Ssum-sinr*)

lemma *sscase4* [*simp*]: $\text{sscase} \cdot \text{sinl} \cdot \text{sinr} \cdot z = z$

by (*cases z, simp-all*)

17.6 Strict sum preserves flatness

instance $++ :: (\text{flat}, \text{flat}) \text{ flat}$

apply (*intro-classes, clarify*)

apply (*rule-tac p=x in ssumE, simp*)

apply (*rule-tac p=y in ssumE, simp-all add: flat-less-iff*)

apply (*rule-tac p=y in ssumE, simp-all add: flat-less-iff*)

done

17.7 Strict sum is a bifinite domain

instantiation $++ :: (\text{bifinite}, \text{bifinite}) \text{ bifinite}$

begin

definition

approx-ssum-def:

$$\text{approx} = (\lambda n. \text{sscase} \cdot (\Lambda x. \text{sinl} \cdot (\text{approx } n \cdot x)) \cdot (\Lambda y. \text{sinr} \cdot (\text{approx } n \cdot y)))$$

lemma *approx-sinl* [*simp*]: $\text{approx } i \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (\text{approx } i \cdot x)$

unfolding *approx-ssum-def* **by** (*cases x = \perp simp-all*)

lemma *approx-sinr* [*simp*]: $\text{approx } i \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (\text{approx } i \cdot x)$

unfolding *approx-ssum-def* **by** (*cases x = \perp simp-all*)

instance *proof*

fix $i :: \text{nat}$ **and** $x :: 'a \oplus 'b$

show *chain* ($\text{approx} :: \text{nat} \Rightarrow 'a \oplus 'b \rightarrow 'a \oplus 'b$)

unfolding *approx-ssum-def* **by** *simp*

show $(\bigsqcup i. \text{approx } i \cdot x) = x$

unfolding *approx-ssum-def*

by (*simp add: lub-distribs eta-cfun*)

show $\text{approx } i \cdot (\text{approx } i \cdot x) = \text{approx } i \cdot x$

```

    by (cases x, simp add: approx-ssum-def, simp, simp)
  have {x::'a  $\oplus$  'b. approx i·x = x}  $\subseteq$ 
    ( $\lambda x. \text{sinl} \cdot x$ ) ‘ {x. approx i·x = x}  $\cup$ 
    ( $\lambda x. \text{sinr} \cdot x$ ) ‘ {x. approx i·x = x}
  by (rule subsetI, case-tac x rule: ssumE2, simp, simp)
  thus finite {x::'a  $\oplus$  'b. approx i·x = x}
  by (rule finite-subset,
      intro finite-UnI finite-imageI finite-fixes-approx)
qed

end

end

```

18 Sprod: The type of strict products

```

theory Sprod
imports Cprod
begin

```

```

defaultsort pcpo

```

18.1 Definition of strict product type

```

pcpodef (Sprod) ('a, 'b) ** (infixr ** 20) =
  {p::'a  $\times$  'b. p =  $\perp$   $\vee$  (cfst·p  $\neq$   $\perp$   $\wedge$  csnd·p  $\neq$   $\perp$ )}
by simp-all

instance ** :: ({finite-po,pcpo}, {finite-po,pcpo}) finite-po
by (rule typedef-finite-po [OF type-definition-Sprod])

instance ** :: ({chfin,pcpo}, {chfin,pcpo}) chfin
by (rule typedef-chfin [OF type-definition-Sprod less-Sprod-def])

syntax (xsymbols)
  ** :: [type, type] => type      ((-  $\otimes$  / -) [21,20] 20)
syntax (HTML output)
  ** :: [type, type] => type      ((-  $\otimes$  / -) [21,20] 20)

lemma spair-lemma:
  <strictify·( $\Lambda$  b. a)·b, strictify·( $\Lambda$  a. b)·a>  $\in$  Sprod
by (simp add: Sprod-def strictify-conv-if)

```

18.2 Definitions of constants

```

definition
  sfst :: ('a ** 'b)  $\rightarrow$  'a where
  sfst = ( $\Lambda$  p. cfst·(Rep-Sprod p))

```

definition

$ssnd :: ('a ** 'b) \rightarrow 'b$ **where**
 $ssnd = (\Lambda p. csnd.(Rep-Sprod\ p))$

definition

$spair :: 'a \rightarrow 'b \rightarrow ('a ** 'b)$ **where**
 $spair = (\Lambda a\ b. Abs-Sprod$
 $\quad <strictify.(\Lambda b. a).b, strictify.(\Lambda a. b).a>)$

definition

$ssplit :: ('a \rightarrow 'b \rightarrow 'c) \rightarrow ('a ** 'b) \rightarrow 'c$ **where**
 $ssplit = (\Lambda f. strictify.(\Lambda p. f.(sfst.p).(ssnd.p)))$

syntax

$@stuple :: ['a, args] \Rightarrow 'a ** 'b \ ((1'(-,/ -:')))$

translations

$(:x, y, z:) == (:x, (:y, z:))$
 $(:x, y:) == CONST\ spair.x.y$

translations

$\Lambda(CONST\ spair.x.y). t == CONST\ ssplit.(\Lambda x\ y. t)$

18.3 Case analysis**lemma Rep-Sprod-spair:**

$Rep-Sprod\ (:a, b:) = <strictify.(\Lambda b. a).b, strictify.(\Lambda a. b).a>$

unfolding spair-def

by (*simp add: cont-Abs-Sprod Abs-Sprod-inverse spair-lemma*)

lemmas Rep-Sprod-simps =

$Rep-Sprod-inject\ [symmetric]\ less-Sprod-def$
 $Rep-Sprod-strict\ Rep-Sprod-spair$

lemma Exh-Sprod:

$z = \perp \vee (\exists a\ b. z = (:a, b:) \wedge a \neq \perp \wedge b \neq \perp)$

apply (*insert Rep-Sprod [of z]*)

apply (*simp add: Rep-Sprod-simps eq-cprod*)

apply (*simp add: Sprod-def*)

apply (*erule disjE, simp*)

apply (*simp add: strictify-conv-if*)

apply fast

done

lemma sprodE [cases type: **]:

$\llbracket p = \perp \implies Q; \bigwedge x\ y. \llbracket p = (:x, y:) \rrbracket; x \neq \perp; y \neq \perp \rrbracket \implies Q \rrbracket \implies Q$

by (*cut-tac z=p in Exh-Sprod, auto*)

lemma sprod-induct [induct type: **]:

$\llbracket P \perp; \bigwedge x y. \llbracket x \neq \perp; y \neq \perp \rrbracket \implies P (:x, y:) \rrbracket \implies P x$
by (*cases x, simp-all*)

18.4 Properties of *spair*

lemma *spair-strict1* [*simp*]: $(:\perp, y:) = \perp$
by (*simp add: Rep-Sprod-simps strictify-conv-if*)

lemma *spair-strict2* [*simp*]: $(:x, \perp:) = \perp$
by (*simp add: Rep-Sprod-simps strictify-conv-if*)

lemma *spair-strict-iff* [*simp*]: $((:x, y:) = \perp) = (x = \perp \vee y = \perp)$
by (*simp add: Rep-Sprod-simps strictify-conv-if*)

lemma *spair-less-iff*:
 $((:a, b:) \sqsubseteq (:c, d:)) = (a = \perp \vee b = \perp \vee (a \sqsubseteq c \wedge b \sqsubseteq d))$
by (*simp add: Rep-Sprod-simps strictify-conv-if*)

lemma *spair-eq-iff*:
 $((:a, b:) = (:c, d:)) =$
 $(a = c \wedge b = d \vee (a = \perp \vee b = \perp) \wedge (c = \perp \vee d = \perp))$
by (*simp add: Rep-Sprod-simps strictify-conv-if*)

lemma *spair-strict*: $x = \perp \vee y = \perp \implies (:x, y:) = \perp$
by *simp*

lemma *spair-strict-rev*: $(:x, y:) \neq \perp \implies x \neq \perp \wedge y \neq \perp$
by *simp*

lemma *spair-defined*: $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (:x, y:) \neq \perp$
by *simp*

lemma *spair-defined-rev*: $(:x, y:) = \perp \implies x = \perp \vee y = \perp$
by *simp*

lemma *spair-eq*:
 $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies ((:x, y:) = (:a, b:)) = (x = a \wedge y = b)$
by (*simp add: spair-eq-iff*)

lemma *spair-inject*:
 $\llbracket x \neq \perp; y \neq \perp; (:x, y:) = (:a, b:) \rrbracket \implies x = a \wedge y = b$
by (*rule spair-eq [THEN iffD1]*)

lemma *inst-sprod-pcpo2*: $UU = (:UU, UU:)$
by *simp*

18.5 Properties of *sfst* and *ssnd*

lemma *sfst-strict* [*simp*]: $sfst.\perp = \perp$
by (*simp add: sfst-def cont-Rep-Sprod Rep-Sprod-strict*)

lemma *ssnd-strict* [*simp*]: $ssnd.\perp = \perp$
by (*simp add: ssnd-def cont-Rep-Sprod Rep-Sprod-strict*)

lemma *sfst-spair* [*simp*]: $y \neq \perp \implies sfst.(x, y) = x$
by (*simp add: sfst-def cont-Rep-Sprod Rep-Sprod-spair*)

lemma *ssnd-spair* [*simp*]: $x \neq \perp \implies ssnd.(x, y) = y$
by (*simp add: ssnd-def cont-Rep-Sprod Rep-Sprod-spair*)

lemma *sfst-defined-iff* [*simp*]: $(sfst.p = \perp) = (p = \perp)$
by (*cases p, simp-all*)

lemma *ssnd-defined-iff* [*simp*]: $(ssnd.p = \perp) = (p = \perp)$
by (*cases p, simp-all*)

lemma *sfst-defined*: $p \neq \perp \implies sfst.p \neq \perp$
by *simp*

lemma *ssnd-defined*: $p \neq \perp \implies ssnd.p \neq \perp$
by *simp*

lemma *surjective-pairing-Sprod2*: $(sfst.p, ssnd.p) = p$
by (*cases p, simp-all*)

lemma *less-sprod*: $x \sqsubseteq y = (sfst.x \sqsubseteq sfst.y \wedge ssnd.x \sqsubseteq ssnd.y)$
apply (*simp add: less-Sprod-def sfst-def ssnd-def cont-Rep-Sprod*)
apply (*rule less-cprod*)
done

lemma *eq-sprod*: $(x = y) = (sfst.x = sfst.y \wedge ssnd.x = ssnd.y)$
by (*auto simp add: po-eq-conv less-sprod*)

lemma *spair-less*:
 $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (x, y) \sqsubseteq (a, b) = (x \sqsubseteq a \wedge y \sqsubseteq b)$
apply (*cases a = \perp , simp*)
apply (*cases b = \perp , simp*)
apply (*simp add: less-sprod*)
done

lemma *sfst-less-iff*: $sfst.x \sqsubseteq y = x \sqsubseteq (y, ssnd.x)$
apply (*cases x = \perp , simp, cases y = \perp , simp*)
apply (*simp add: less-sprod*)
done

lemma *ssnd-less-iff*: $ssnd.x \sqsubseteq y = x \sqsubseteq (sfst.x, y)$
apply (*cases x = \perp , simp, cases y = \perp , simp*)
apply (*simp add: less-sprod*)
done

18.6 Compactness

lemma *compact-sfst*: $\text{compact } x \implies \text{compact } (\text{sfst} \cdot x)$
by (rule *compactI*, simp add: *sfst-less-iff*)

lemma *compact-ssnd*: $\text{compact } x \implies \text{compact } (\text{ssnd} \cdot x)$
by (rule *compactI*, simp add: *ssnd-less-iff*)

lemma *compact-spair*: $\llbracket \text{compact } x; \text{compact } y \rrbracket \implies \text{compact } (:x, y:)$
by (rule *compact-Sprod*, simp add: *Rep-Sprod-spair strictify-conv-if*)

lemma *compact-spair-iff*:
 $\text{compact } (:x, y:) = (x = \perp \vee y = \perp \vee (\text{compact } x \wedge \text{compact } y))$
apply (safe elim!: *compact-spair*)
apply (drule *compact-sfst*, simp)
apply (drule *compact-ssnd*, simp)
apply simp
apply simp
done

18.7 Properties of *ssplit*

lemma *ssplit1* [simp]: $\text{ssplit} \cdot f \cdot \perp = \perp$
by (simp add: *ssplit-def*)

lemma *ssplit2* [simp]: $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies \text{ssplit} \cdot f \cdot (:x, y:) = f \cdot x \cdot y$
by (simp add: *ssplit-def*)

lemma *ssplit3* [simp]: $\text{ssplit} \cdot \text{spair} \cdot z = z$
by (cases *z*, simp-all)

18.8 Strict product preserves flatness

instance **** :: (*flat*, *flat*) *flat*
proof
 fix *x y* :: '*a* \otimes '*b*
 assume $x \sqsubseteq y$ **thus** $x = \perp \vee x = y$
apply (induct *x*, simp)
apply (induct *y*, simp)
apply (simp add: *spair-less-iff flat-less-iff*)
done
qed

18.9 Strict product is a bifinite domain

instantiation **** :: (*bifinite*, *bifinite*) *bifinite*
begin

definition
approx-sprod-def:

$$\text{approx} = (\lambda n. \Lambda(x, y). (: \text{approx } n \cdot x, \text{approx } n \cdot y))$$

instance proof

```

fix i :: nat and x :: 'a ⊗ 'b
show chain (approx :: nat ⇒ 'a ⊗ 'b → 'a ⊗ 'b)
  unfolding approx-sprod-def by simp
show (⋒ i. approx i · x) = x
  unfolding approx-sprod-def
  by (simp add: lub-distrib eta-cfun)
show approx i · (approx i · x) = approx i · x
  unfolding approx-sprod-def
  by (simp add: ssplit-def strictify-conv-if)
have Rep-Sprod ‘ {x :: 'a ⊗ 'b. approx i · x = x} ⊆ {x. approx i · x = x}
  unfolding approx-sprod-def
  apply (clarify, case-tac x)
  apply (simp add: Rep-Sprod-strict)
  apply (simp add: Rep-Sprod-spair spair-eq-iff)
  done
hence finite (Rep-Sprod ‘ {x :: 'a ⊗ 'b. approx i · x = x})
  using finite-fixes-approx by (rule finite-subset)
thus finite {x :: 'a ⊗ 'b. approx i · x = x}
  by (rule finite-imageD, simp add: inj-on-def Rep-Sprod-inject)
qed

```

end

```

lemma approx-spair [simp]:
  approx i · (:x, y) = (:approx i · x, approx i · y)
unfolding approx-sprod-def
by (simp add: ssplit-def strictify-conv-if)

```

end

19 One: The unit domain

theory One

imports Lift

begin

types one = unit lift

translations

one <= (type) unit lift

definition

ONE :: one

where

ONE == Def ()

Exhaustion and Elimination for type *one*

lemma *Exh-one*: $t = \perp \vee t = ONE$

unfolding *ONE-def* **by** (*induct t*) *simp-all*

lemma *oneE*: $\llbracket p = \perp \implies Q; p = ONE \implies Q \rrbracket \implies Q$

unfolding *ONE-def* **by** (*induct p*) *simp-all*

lemma *one-induct*: $\llbracket P \perp; P ONE \rrbracket \implies P x$

by (*cases x rule: oneE*) *simp-all*

lemma *dist-less-one* [*simp*]: $\neg ONE \sqsubseteq \perp$

unfolding *ONE-def* **by** *simp*

lemma *less-ONE* [*simp*]: $x \sqsubseteq ONE$

by (*induct x rule: one-induct*) *simp-all*

lemma *ONE-less-iff* [*simp*]: $ONE \sqsubseteq x \longleftrightarrow x = ONE$

by (*induct x rule: one-induct*) *simp-all*

lemma *dist-eq-one* [*simp*]: $ONE \neq \perp \perp \neq ONE$

unfolding *ONE-def* **by** *simp-all*

lemma *one-neq-iffs* [*simp*]:

$x \neq ONE \longleftrightarrow x = \perp$

$ONE \neq x \longleftrightarrow x = \perp$

$x \neq \perp \longleftrightarrow x = ONE$

$\perp \neq x \longleftrightarrow x = ONE$

by (*induct x rule: one-induct*) *simp-all*

lemma *compact-ONE*: *compact ONE*

by (*rule compact-chfin*)

Case analysis function for type *one*

definition

one-when :: $'a::pcpo \rightarrow one \rightarrow 'a$ **where**

one-when = $(\Lambda a. \text{strictify} \cdot (\Lambda \cdot. a))$

translations

case x of XCONST ONE \Rightarrow t == CONST one-when.t.x

$\Lambda (XCONST ONE). t == CONST one-when.t$

lemma *one-when1* [*simp*]: $(\text{case } \perp \text{ of } ONE \Rightarrow t) = \perp$

by (*simp add: one-when-def*)

lemma *one-when2* [*simp*]: $(\text{case } ONE \text{ of } ONE \Rightarrow t) = t$

by (*simp add: one-when-def*)

lemma *one-when3* [*simp*]: $(\text{case } x \text{ of } ONE \Rightarrow ONE) = x$

by (*induct x rule: one-induct*) *simp-all*

end

20 Fix: Fixed point operator and admissibility

```
theory Fix
imports Cfun Cprod
begin
```

```
defaultsort pcpo
```

20.1 Iteration

```
consts
  iterate :: nat  $\Rightarrow$  ('a::cpo  $\rightarrow$  'a)  $\rightarrow$  ('a  $\rightarrow$  'a)
```

```
primrec
  iterate 0 = ( $\Lambda$  F x. x)
  iterate (Suc n) = ( $\Lambda$  F x. F.(iterate n.F.x))
```

Derive inductive properties of iterate from primitive recursion

```
lemma iterate-0 [simp]: iterate 0.F.x = x
by simp
```

```
lemma iterate-Suc [simp]: iterate (Suc n).F.x = F.(iterate n.F.x)
by simp
```

```
declare iterate.simps [simp del]
```

```
lemma iterate-Suc2: iterate (Suc n).F.x = iterate n.F.(F.x)
by (induct n) simp-all
```

```
lemma iterate-iterate:
  iterate m.F.(iterate n.F.x) = iterate (m + n).F.x
by (induct m) simp-all
```

The sequence of function iterations is a chain. This property is essential since monotonicity of iterate makes no sense.

```
lemma chain-iterate2:  $x \sqsubseteq F.x \implies \text{chain } (\lambda i. \text{iterate } i.F.x)$ 
by (rule chainI, induct-tac i, auto elim: monofun-cfun-arg)
```

```
lemma chain-iterate [simp]:  $\text{chain } (\lambda i. \text{iterate } i.F.\perp)$ 
by (rule chain-iterate2 [OF minimal])
```

20.2 Least fixed point operator

```
definition
```

$fix :: ('a \rightarrow 'a) \rightarrow 'a$ **where**
 $fix = (\Lambda F. \bigsqcup i. \text{iterate } i \cdot F \cdot \perp)$

Binder syntax for fix

abbreviation

$fix\text{-}syn :: ('a \Rightarrow 'a) \Rightarrow 'a$ (**binder** FIX 10) **where**
 $fix\text{-}syn (\lambda x. f\ x) \equiv fix \cdot (\Lambda x. f\ x)$

notation ($xsymbols$)

$fix\text{-}syn$ (**binder** μ 10)

Properties of fix

direct connection between fix and iteration

lemma $fix\text{-}def2$: $fix \cdot F = (\bigsqcup i. \text{iterate } i \cdot F \cdot \perp)$
apply ($unfold\ fix\text{-}def$)
apply ($rule\ beta\text{-}cfun$)
apply ($rule\ cont2cont\text{-}lub$)
apply ($rule\ ch2ch\text{-}lambda$)
apply ($rule\ chain\text{-}iterate$)
apply $simp$
done

Kleene’s fixed point theorems for continuous functions in pointed omega cpo’s

lemma $fix\text{-}eq$: $fix \cdot F = F \cdot (fix \cdot F)$
apply ($simp\ add$: $fix\text{-}def2$)
apply ($subst\ lub\text{-}range\text{-}shift$ [$of - 1$, $symmetric$])
apply ($rule\ chain\text{-}iterate$)
apply ($subst\ contlub\text{-}cfun\text{-}arg$)
apply ($rule\ chain\text{-}iterate$)
apply $simp$
done

lemma $fix\text{-}least\text{-}less$: $F \cdot x \sqsubseteq x \implies fix \cdot F \sqsubseteq x$
apply ($simp\ add$: $fix\text{-}def2$)
apply ($rule\ is\text{-}lub\text{-}thelub$)
apply ($rule\ chain\text{-}iterate$)
apply ($rule\ ub\text{-}rangeI$)
apply ($induct\text{-}tac\ i$)
apply $simp$
apply $simp$
apply ($erule\ rev\text{-}trans\text{-}less$)
apply ($erule\ monofun\text{-}cfun\text{-}arg$)
done

lemma $fix\text{-}least$: $F \cdot x = x \implies fix \cdot F \sqsubseteq x$
by ($rule\ fix\text{-}least\text{-}less$, $simp$)

lemma *fix-eq1*:
 assumes *fixed*: $F \cdot x = x$ and *least*: $\bigwedge z. F \cdot z = z \implies x \sqsubseteq z$
 shows $\text{fix} \cdot F = x$
 apply (rule *antisym-less*)
 apply (rule *fix-least* [OF *fixed*])
 apply (rule *least* [OF *fix-eq* [symmetric]])
 done

lemma *fix-eq2*: $f \equiv \text{fix} \cdot F \implies f = F \cdot f$
 by (simp add: *fix-eq* [symmetric])

lemma *fix-eq3*: $f \equiv \text{fix} \cdot F \implies f \cdot x = F \cdot f \cdot x$
 by (erule *fix-eq2* [THEN *cfun-fun-cong*])

lemma *fix-eq4*: $f = \text{fix} \cdot F \implies f = F \cdot f$
 apply (erule *ssubst*)
 apply (rule *fix-eq*)
 done

lemma *fix-eq5*: $f = \text{fix} \cdot F \implies f \cdot x = F \cdot f \cdot x$
 by (erule *fix-eq4* [THEN *cfun-fun-cong*])

strictness of *fix*

lemma *fix-defined-iff*: $(\text{fix} \cdot F = \perp) = (F \cdot \perp = \perp)$
 apply (rule *iffI*)
 apply (erule *subst*)
 apply (rule *fix-eq* [symmetric])
 apply (erule *fix-least* [THEN *UU-I*])
 done

lemma *fix-strict*: $F \cdot \perp = \perp \implies \text{fix} \cdot F = \perp$
 by (simp add: *fix-defined-iff*)

lemma *fix-defined*: $F \cdot \perp \neq \perp \implies \text{fix} \cdot F \neq \perp$
 by (simp add: *fix-defined-iff*)

fix applied to identity and constant functions

lemma *fix-id*: $(\mu x. x) = \perp$
 by (simp add: *fix-strict*)

lemma *fix-const*: $(\mu x. c) = c$
 by (subst *fix-eq*, simp)

20.3 Fixed point induction

lemma *fix-ind*: $\llbracket \text{adm } P; P \perp; \bigwedge x. P x \implies P (F \cdot x) \rrbracket \implies P (\text{fix} \cdot F)$
 unfolding *fix-def2*
 apply (erule *admD*)
 apply (rule *chain-iterate*)

apply (*rule nat-induct, simp-all*)
done

lemma *def-fix-ind*:

$\llbracket f \equiv \text{fix} \cdot F; \text{adm } P; P \perp; \bigwedge x. P x \implies P (F \cdot x) \rrbracket \implies P f$
by (*simp add: fix-ind*)

lemma *fix-ind2*:

assumes *adm*: *adm P*
assumes *0*: $P \perp$ **and** *1*: $P (F \cdot \perp)$
assumes *step*: $\bigwedge x. \llbracket P x; P (F \cdot x) \rrbracket \implies P (F \cdot (F \cdot x))$
shows $P (\text{fix} \cdot F)$
unfolding *fix-def2*
apply (*rule admD [OF adm chain-iterate]*)
apply (*rule nat-less-induct*)
apply (*case-tac n*)
apply (*simp add: 0*)
apply (*case-tac nat*)
apply (*simp add: 1*)
apply (*frule-tac x=nat in spec*)
apply (*simp add: step*)
done

20.4 Recursive let bindings

definition

$CLetrec :: ('a \rightarrow 'a \times 'b) \rightarrow 'b$ **where**
 $CLetrec = (\Lambda F. \text{csnd} \cdot (F \cdot (\mu x. \text{cfst} \cdot (F \cdot x))))$

nonterminals

recbinds recbindt recbind

syntax

$\text{-recbind} :: ['a, 'a] \Rightarrow \text{recbind} \quad ((2- = / -) 10)$
 $\quad \quad \quad :: \text{recbind} \Rightarrow \text{recbindt} \quad (-)$
 $\text{-recbindt} :: [\text{recbind}, \text{recbindt}] \Rightarrow \text{recbindt} \quad (-, / -)$
 $\quad \quad \quad :: \text{recbindt} \Rightarrow \text{recbinds} \quad (-)$
 $\text{-recbinds} :: [\text{recbindt}, \text{recbinds}] \Rightarrow \text{recbinds} \quad (-, / -)$
 $\text{-Letrec} :: [\text{recbinds}, 'a] \Rightarrow 'a \quad ((\text{Letrec } (-) / \text{ in } (-)) 10)$

translations

$(\text{recbindt}) x = a, \langle y, ys \rangle = \langle b, bs \rangle == (\text{recbindt}) \langle x, y, ys \rangle = \langle a, b, bs \rangle$
 $(\text{recbindt}) x = a, y = b == (\text{recbindt}) \langle x, y \rangle = \langle a, b \rangle$

translations

$\text{-Letrec } (\text{-recbinds } b \text{ bs}) e == \text{-Letrec } b \text{ } (\text{-Letrec } bs \text{ } e)$
 $\text{Letrec } xs = a \text{ in } \langle e, es \rangle == \text{CONST } CLetrec \cdot (\Lambda xs. \langle a, e, es \rangle)$
 $\text{Letrec } xs = a \text{ in } e == \text{CONST } CLetrec \cdot (\Lambda xs. \langle a, e \rangle)$

Bekic’s Theorem: Simultaneous fixed points over pairs can be written in

terms of separate fixed points.

lemma *fix-cprod*:

$$\begin{aligned} & \text{fix} \cdot (F :: 'a \times 'b \rightarrow 'a \times 'b) = \\ & \langle \mu x. \text{cfst} \cdot (F \cdot \langle x, \mu y. \text{csnd} \cdot (F \cdot \langle x, y \rangle) \rangle), \\ & \quad \mu y. \text{csnd} \cdot (F \cdot \langle \mu x. \text{cfst} \cdot (F \cdot \langle x, \mu y. \text{csnd} \cdot (F \cdot \langle x, y \rangle) \rangle), y \rangle) \rangle \\ & \text{(is } \text{fix} \cdot F = \langle ?x, ?y \rangle) \end{aligned}$$

proof (*rule fix-eqI*)

have 1: $\text{cfst} \cdot (F \cdot \langle ?x, ?y \rangle) = ?x$
by (*rule trans* [*symmetric*, *OF fix-eq*], *simp*)
have 2: $\text{csnd} \cdot (F \cdot \langle ?x, ?y \rangle) = ?y$
by (*rule trans* [*symmetric*, *OF fix-eq*], *simp*)
from 1 2 **show** $F \cdot \langle ?x, ?y \rangle = \langle ?x, ?y \rangle$ **by** (*simp add: eq-cprod*)

next

fix *z* **assume** $F \cdot z = z$
then obtain *x y* **where** $z = \langle x, y \rangle$ **by** (*rule-tac p=z in cprodE*)
from $F \cdot z = z$ **have** $F \cdot x = \text{cfst} \cdot (F \cdot \langle x, y \rangle) = x$ **by** *simp*
from $F \cdot z = z$ **have** $F \cdot y = \text{csnd} \cdot (F \cdot \langle x, y \rangle) = y$ **by** *simp*
let $?y1 = \mu y. \text{csnd} \cdot (F \cdot \langle x, y \rangle)$
have $?y1 \sqsubseteq y$ **by** (*rule fix-least*, *simp add: F-y*)
hence $\text{cfst} \cdot (F \cdot \langle x, ?y1 \rangle) \sqsubseteq \text{cfst} \cdot (F \cdot \langle x, y \rangle)$ **by** (*simp add: monofun-cfun*)
hence $\text{cfst} \cdot (F \cdot \langle x, ?y1 \rangle) \sqsubseteq x$ **using** $F \cdot x$ **by** *simp*
hence 1: $?x \sqsubseteq x$ **by** (*simp add: fix-least-less*)
hence $\text{csnd} \cdot (F \cdot \langle ?x, y \rangle) \sqsubseteq \text{csnd} \cdot (F \cdot \langle x, y \rangle)$ **by** (*simp add: monofun-cfun*)
hence $\text{csnd} \cdot (F \cdot \langle ?x, y \rangle) \sqsubseteq y$ **using** $F \cdot y$ **by** *simp*
hence 2: $?y \sqsubseteq y$ **by** (*simp add: fix-least-less*)
show $\langle ?x, ?y \rangle \sqsubseteq z$ **using** z 1 2 **by** *simp*

qed

20.5 Weak admissibility

definition

$$\begin{aligned} & \text{adm}w :: ('a \Rightarrow \text{bool}) \Rightarrow \text{bool} \text{ where} \\ & \text{adm}w P = (\forall F. (\forall n. P (\text{iterate } n \cdot F \cdot \perp)) \longrightarrow P (\bigsqcup i. \text{iterate } i \cdot F \cdot \perp)) \end{aligned}$$

an admissible formula is also weak admissible

lemma *adm-impl-admw*: $\text{adm } P \Longrightarrow \text{adm}w P$

apply (*unfold admw-def*)

apply (*intro strip*)

apply (*erule admD*)

apply (*rule chain-iterate*)

apply (*erule spec*)

done

computational induction for weak admissible formulae

lemma *wfix-ind*: $\llbracket \text{adm}w P; \forall n. P (\text{iterate } n \cdot F \cdot \perp) \rrbracket \Longrightarrow P (\text{fix} \cdot F)$

by (*simp add: fix-def2 admw-def*)

lemma *def-wfix-ind*:

$$\llbracket f \equiv \text{fix} \cdot F; \text{adm}w P; \forall n. P (\text{iterate } n \cdot F \cdot \perp) \rrbracket \Longrightarrow P f$$

```

by (simp, rule wfix-ind)

end

```

21 Fixrec: Package for defining recursive functions in HOLCF

```

theory Fixrec
imports Sprod Ssum Up One Tr Fix
uses (Tools/fixrec-package.ML)
begin

```

21.1 Maybe monad type

```

defaultsort cpo

```

```

pcpodef (open) 'a maybe = UNIV::(one ++ 'a u) set
by simp-all

```

definition

```

fail :: 'a maybe where
fail = Abs-maybe (sinl·ONE)

```

definition

```

return :: 'a → 'a maybe where
return = (λ x. Abs-maybe (sinr·(up·x)))

```

definition

```

maybe-when :: 'b → ('a → 'b) → 'a maybe → 'b::pcpo where
maybe-when = (λ f r m. sscase·(λ x. f)·(fup·r)·(Rep-maybe m))

```

lemma maybeE:

```

[[p = ⊥ ⇒ Q; p = fail ⇒ Q; ∧x. p = return·x ⇒ Q]] ⇒ Q
apply (unfold fail-def return-def)
apply (cases p, rename-tac r)
apply (rule-tac p=r in ssumE, simp add: Abs-maybe-strict)
apply (rule-tac p=x in oneE, simp, simp)
apply (rule-tac p=y in upE, simp, simp add: cont-Abs-maybe)
done

```

```

lemma return-defined [simp]: return·x ≠ ⊥
by (simp add: return-def cont-Abs-maybe Abs-maybe-defined)

```

```

lemma fail-defined [simp]: fail ≠ ⊥
by (simp add: fail-def Abs-maybe-defined)

```

```

lemma return-eq [simp]: (return·x = return·y) = (x = y)

```

by (*simp add: return-def cont-Abs-maybe Abs-maybe-inject*)

lemma *return-neq-fail* [*simp*]:

return.x \neq *fail* *fail* \neq *return.x*

by (*simp-all add: return-def fail-def cont-Abs-maybe Abs-maybe-inject*)

lemma *maybe-when-rews* [*simp*]:

maybe-when.f.r. $\perp = \perp$

maybe-when.f.r. *fail* = *f*

maybe-when.f.r.(*return.x*) = *r.x*

by (*simp-all add: return-def fail-def maybe-when-def cont-Rep-maybe
cont2cont-LAM
cont-Abs-maybe Abs-maybe-inverse Rep-maybe-strict*)

translations

case m of XCONST fail \Rightarrow *t1* | *XCONST return.x* \Rightarrow *t2*
 \Rightarrow *CONST maybe-when.t1.(Λ x. t2).m*

21.1.1 Monadic bind operator

definition

bind :: '*a* *maybe* \rightarrow ('*a* \rightarrow '*b* *maybe*) \rightarrow '*b* *maybe* **where**

bind = (Λ *m f. case m of fail* \Rightarrow *fail* | *return.x* \Rightarrow *f.x*)

monad laws

lemma *bind-strict* [*simp*]: *bind.* \perp .*f* = \perp

by (*simp add: bind-def*)

lemma *bind-fail* [*simp*]: *bind.**fail.f* = *fail*

by (*simp add: bind-def*)

lemma *left-unit* [*simp*]: *bind.*(*return.a*).*k* = *k.a*

by (*simp add: bind-def*)

lemma *right-unit* [*simp*]: *bind.m.**return* = *m*

by (*rule-tac p=m in maybeE, simp-all*)

lemma *bind-assoc*:

bind.(*bind.m.k*).*h* = *bind.m.*(Λ *a. bind.*(*k.a*).*h*)

by (*rule-tac p=m in maybeE, simp-all*)

21.1.2 Run operator

definition

run :: '*a* *maybe* \rightarrow '*a*::*pcpo* **where**

run = *maybe-when.* \perp .*ID*

rewrite rules for run

lemma *run-strict* [*simp*]: *run.* \perp = \perp

by (*simp add: run-def*)

lemma *run-fail* [*simp*]: *run.fail* = \perp
by (*simp add: run-def*)

lemma *run-return* [*simp*]: *run.(return.x)* = *x*
by (*simp add: run-def*)

21.1.3 Monad plus operator

definition

mplus :: 'a maybe \rightarrow 'a maybe \rightarrow 'a maybe **where**
mplus = (Λ *m1 m2*. *case m1 of fail* \Rightarrow *m2* | *return.x* \Rightarrow *m1*)

abbreviation

mplus-syn :: ['a maybe, 'a maybe] \Rightarrow 'a maybe (**infixr** +++ 65) **where**
m1 +++ *m2* == *mplus.m1.m2*

rewrite rules for *mplus*

lemma *mplus-strict* [*simp*]: \perp +++ *m* = \perp
by (*simp add: mplus-def*)

lemma *mplus-fail* [*simp*]: *fail* +++ *m* = *m*
by (*simp add: mplus-def*)

lemma *mplus-return* [*simp*]: *return.x* +++ *m* = *return.x*
by (*simp add: mplus-def*)

lemma *mplus-fail2* [*simp*]: *m* +++ *fail* = *m*
by (*rule-tac p=m in maybeE, simp-all*)

lemma *mplus-assoc*: (*x* +++ *y*) +++ *z* = *x* +++ (*y* +++ *z*)
by (*rule-tac p=x in maybeE, simp-all*)

21.1.4 Fatbar combinator

definition

fatbar :: ('a \rightarrow 'b maybe) \rightarrow ('a \rightarrow 'b maybe) \rightarrow ('a \rightarrow 'b maybe) **where**
fatbar = (Λ *a b x*. *a.x* +++ *b.x*)

abbreviation

fatbar-syn :: ['a \rightarrow 'b maybe, 'a \rightarrow 'b maybe] \Rightarrow 'a \rightarrow 'b maybe (**infixr** || 60)
where
m1 || *m2* == *fatbar.m1.m2*

lemma *fatbar1*: *m.x* = \perp \Rightarrow (*m* || *ms*).*x* = \perp
by (*simp add: fatbar-def*)

lemma *fatbar2*: *m.x* = *fail* \Rightarrow (*m* || *ms*).*x* = *ms.x*
by (*simp add: fatbar-def*)

lemma *fatbar3*: $m \cdot x = \text{return} \cdot y \implies (m \parallel ms) \cdot x = \text{return} \cdot y$
by (*simp add: fatbar-def*)

lemmas *fatbar-simps* = *fatbar1 fatbar2 fatbar3*

lemma *run-fatbar1*: $m \cdot x = \perp \implies \text{run} \cdot ((m \parallel ms) \cdot x) = \perp$
by (*simp add: fatbar-def*)

lemma *run-fatbar2*: $m \cdot x = \text{fail} \implies \text{run} \cdot ((m \parallel ms) \cdot x) = \text{run} \cdot (ms \cdot x)$
by (*simp add: fatbar-def*)

lemma *run-fatbar3*: $m \cdot x = \text{return} \cdot y \implies \text{run} \cdot ((m \parallel ms) \cdot x) = y$
by (*simp add: fatbar-def*)

lemmas *run-fatbar-simps* [*simp*] = *run-fatbar1 run-fatbar2 run-fatbar3*

21.2 Case branch combinator

definition

branch :: $('a \rightarrow 'b \text{ maybe}) \Rightarrow ('b \rightarrow 'c) \rightarrow ('a \rightarrow 'c \text{ maybe})$ **where**
branch $p \equiv \Lambda \ r \ x. \text{bind} \cdot (p \cdot x) \cdot (\Lambda \ y. \text{return} \cdot (r \cdot y))$

lemma *branch-rews*:

$p \cdot x = \perp \implies \text{branch } p \cdot r \cdot x = \perp$

$p \cdot x = \text{fail} \implies \text{branch } p \cdot r \cdot x = \text{fail}$

$p \cdot x = \text{return} \cdot y \implies \text{branch } p \cdot r \cdot x = \text{return} \cdot (r \cdot y)$

by (*simp-all add: branch-def*)

lemma *branch-return* [*simp*]: $\text{branch } \text{return} \cdot r \cdot x = \text{return} \cdot (r \cdot x)$
by (*simp add: branch-def*)

21.2.1 Cases operator

definition

cases :: $'a \text{ maybe} \rightarrow 'a :: \text{pcpo}$ **where**

cases = *maybe-when* · $\perp \cdot \text{ID}$

rewrite rules for cases

lemma *cases-strict* [*simp*]: $\text{cases} \cdot \perp = \perp$
by (*simp add: cases-def*)

lemma *cases-fail* [*simp*]: $\text{cases} \cdot \text{fail} = \perp$
by (*simp add: cases-def*)

lemma *cases-return* [*simp*]: $\text{cases} \cdot (\text{return} \cdot x) = x$
by (*simp add: cases-def*)

21.3 Case syntax

nonterminals

Case-syn Cases-syn

syntax

-*Case-syntax* :: [*a*, *Cases-syn*] => '*b* ((*Case* - *of* / -) 10)
 -*Case1* :: [*a*, '*b*] => *Case-syn* ((2- => / -) 10)
 :: *Case-syn* => *Cases-syn* (-)
 -*Case2* :: [*Case-syn*, *Cases-syn*] => *Cases-syn* (- / | -)

syntax (*xsymbols*)

-*Case1* :: [*a*, '*b*] => *Case-syn* ((2- => / -) 10)

translations

-*Case-syntax* *x ms* == *CONST Fixrec.cases*·(*ms*·*x*)
 -*Case2* *m ms* == *m* || *ms*

Parsing Case expressions

syntax

-*pat* :: '*a*
 -*variable* :: '*a*
 -*noargs* :: '*a*

translations

-*Case1* *p r* => *CONST branch* (-*pat* *p*)·(-*variable* *p r*)
 -*variable* (-*args* *x y*) *r* => *CONST csplit*·(-*variable* *x* (-*variable* *y r*))
 -*variable* -*noargs* *r* => *CONST unit-when*·*r*

parse-translation <<

(* rewrites (-*pat* *x*) => (*return*) *)
 (* rewrites (-*variable* *x t*) => (*Abs-CFun* (%*x*. *t*)) *)
 [(-*pat*, *K* (*Syntax.const* *Fixrec.return*)),
 mk-binder-tr (-*variable*, *Abs-CFun*);
 >>

Printing Case expressions

syntax

-*match* :: '*a*

print-translation <<

let
 fun *dest-LAM* (*Const* (@{*const-syntax Rep-CFun*},-) \$ *Const* (@{*const-syntax*
unit-when},-) \$ *t*) =
 (*Syntax.const* -*noargs*, *t*)
 | *dest-LAM* (*Const* (@{*const-syntax Rep-CFun*},-) \$ *Const* (@{*const-syntax*
csplit},-) \$ *t*) =
 let
 val (*v1*, *t1*) = *dest-LAM* *t*;
 val (*v2*, *t2*) = *dest-LAM* *t1*;

```

    in (Syntax.const -args $ v1 $ v2, t2) end
  | dest-LAM (Const (@{const-syntax Abs-CFun},-) $ t) =
    let
      val abs = case t of Abs abs => abs
      | - => (x, dummyT, incr-boundvars 1 t $ Bound 0);
      val (x, t') = atomic-abs-tr' abs;
    in (Syntax.const -variable $ x, t') end
  | dest-LAM - = raise Match; (* too few vars: abort translation *)

  fun Case1-tr' [Const(@{const-syntax branch},-) $ p, r] =
    let val (v, t) = dest-LAM r;
    in Syntax.const -Case1 $ (Syntax.const -match $ p $ v) $ t end;

  in [(@{const-syntax Rep-CFun}, Case1-tr')] end;
>>

```

translations

$x \leq \text{-match Fixrec.return (-variable } x)$

21.4 Pattern combinators for data constructors

types $('a, 'b) \text{ pat} = 'a \rightarrow 'b \text{ maybe}$

definition

$\text{cpair-pat} :: ('a, 'c) \text{ pat} \Rightarrow ('b, 'd) \text{ pat} \Rightarrow ('a \times 'b, 'c \times 'd) \text{ pat}$ **where**
 $\text{cpair-pat } p1 \text{ } p2 = (\Lambda \langle x, y \rangle.$
 $\text{bind} \cdot (p1 \cdot x) \cdot (\Lambda a. \text{bind} \cdot (p2 \cdot y) \cdot (\Lambda b. \text{return} \cdot \langle a, b \rangle)))$

definition

$\text{spair-pat} ::$
 $('a, 'c) \text{ pat} \Rightarrow ('b, 'd) \text{ pat} \Rightarrow ('a::\text{pcpo} \otimes 'b::\text{pcpo}, 'c \times 'd) \text{ pat}$ **where**
 $\text{spair-pat } p1 \text{ } p2 = (\Lambda (:x, y). \text{cpair-pat } p1 \text{ } p2 \cdot \langle x, y \rangle)$

definition

$\text{sinl-pat} :: ('a, 'c) \text{ pat} \Rightarrow ('a::\text{pcpo} \oplus 'b::\text{pcpo}, 'c) \text{ pat}$ **where**
 $\text{sinl-pat } p = \text{sscase} \cdot p \cdot (\Lambda x. \text{fail})$

definition

$\text{sinr-pat} :: ('b, 'c) \text{ pat} \Rightarrow ('a::\text{pcpo} \oplus 'b::\text{pcpo}, 'c) \text{ pat}$ **where**
 $\text{sinr-pat } p = \text{sscase} \cdot (\Lambda x. \text{fail}) \cdot p$

definition

$\text{up-pat} :: ('a, 'b) \text{ pat} \Rightarrow ('a \text{ u}, 'b) \text{ pat}$ **where**
 $\text{up-pat } p = \text{fup} \cdot p$

definition

$\text{TT-pat} :: (tr, unit) \text{ pat}$ **where**
 $\text{TT-pat} = (\Lambda b. \text{If } b \text{ then return} \cdot () \text{ else fail fi})$

definition

FF-pat :: (*tr*, *unit*) *pat* **where**
FF-pat = (Λ *b*. If *b* then fail else return.() *fi*)

definition

ONE-pat :: (*one*, *unit*) *pat* **where**
ONE-pat = (Λ *ONE*. return.())

Parse translations (patterns)

translations

-pat (*XCONST* *cpair*.*x*.*y*) => *CONST* *cpair-pat* (*-pat* *x*) (*-pat* *y*)
-pat (*XCONST* *spair*.*x*.*y*) => *CONST* *spair-pat* (*-pat* *x*) (*-pat* *y*)
-pat (*XCONST* *sinl*.*x*) => *CONST* *sinl-pat* (*-pat* *x*)
-pat (*XCONST* *sinr*.*x*) => *CONST* *sinr-pat* (*-pat* *x*)
-pat (*XCONST* *up*.*x*) => *CONST* *up-pat* (*-pat* *x*)
-pat (*XCONST* *TT*) => *CONST* *TT-pat*
-pat (*XCONST* *FF*) => *CONST* *FF-pat*
-pat (*XCONST* *ONE*) => *CONST* *ONE-pat*

CONST version is also needed for constructors with special syntax

translations

-pat (*CONST* *cpair*.*x*.*y*) => *CONST* *cpair-pat* (*-pat* *x*) (*-pat* *y*)
-pat (*CONST* *spair*.*x*.*y*) => *CONST* *spair-pat* (*-pat* *x*) (*-pat* *y*)

Parse translations (variables)

translations

-variable (*XCONST* *cpair*.*x*.*y*) *r* => *-variable* (*-args* *x* *y*) *r*
-variable (*XCONST* *spair*.*x*.*y*) *r* => *-variable* (*-args* *x* *y*) *r*
-variable (*XCONST* *sinl*.*x*) *r* => *-variable* *x* *r*
-variable (*XCONST* *sinr*.*x*) *r* => *-variable* *x* *r*
-variable (*XCONST* *up*.*x*) *r* => *-variable* *x* *r*
-variable (*XCONST* *TT*) *r* => *-variable* *-noargs* *r*
-variable (*XCONST* *FF*) *r* => *-variable* *-noargs* *r*
-variable (*XCONST* *ONE*) *r* => *-variable* *-noargs* *r*

translations

-variable (*CONST* *cpair*.*x*.*y*) *r* => *-variable* (*-args* *x* *y*) *r*
-variable (*CONST* *spair*.*x*.*y*) *r* => *-variable* (*-args* *x* *y*) *r*

Print translations

translations

CONST *cpair*.(*-match* *p1* *v1*).(*-match* *p2* *v2*)
 <= *-match* (*CONST* *cpair-pat* *p1* *p2*) (*-args* *v1* *v2*)
CONST *spair*.(*-match* *p1* *v1*).(*-match* *p2* *v2*)
 <= *-match* (*CONST* *spair-pat* *p1* *p2*) (*-args* *v1* *v2*)
CONST *sinl*.(*-match* *p1* *v1*) <= *-match* (*CONST* *sinl-pat* *p1*) *v1*
CONST *sinr*.(*-match* *p1* *v1*) <= *-match* (*CONST* *sinr-pat* *p1*) *v1*
CONST *up*.(*-match* *p1* *v1*) <= *-match* (*CONST* *up-pat* *p1*) *v1*

$CONST\ TT \leq -match\ (CONST\ TT-pat)\ -noargs$
 $CONST\ FF \leq -match\ (CONST\ FF-pat)\ -noargs$
 $CONST\ ONE \leq -match\ (CONST\ ONE-pat)\ -noargs$

lemma *cpair-pat1*:

$branch\ p.r.x = \perp \implies branch\ (cpair-pat\ p\ q).(csplit.r).\langle x, y \rangle = \perp$
apply (*simp add: branch-def cpair-pat-def*)
apply (*rule-tac p=p.x in maybeE, simp-all*)
done

lemma *cpair-pat2*:

$branch\ p.r.x = fail \implies branch\ (cpair-pat\ p\ q).(csplit.r).\langle x, y \rangle = fail$
apply (*simp add: branch-def cpair-pat-def*)
apply (*rule-tac p=p.x in maybeE, simp-all*)
done

lemma *cpair-pat3*:

$branch\ p.r.x = return.s \implies$
 $branch\ (cpair-pat\ p\ q).(csplit.r).\langle x, y \rangle = branch\ q.s.y$
apply (*simp add: branch-def cpair-pat-def*)
apply (*rule-tac p=p.x in maybeE, simp-all*)
apply (*rule-tac p=q.y in maybeE, simp-all*)
done

lemmas *cpair-pat [simp]* =

cpair-pat1 cpair-pat2 cpair-pat3

lemma *spair-pat [simp]*:

$branch\ (spair-pat\ p1\ p2).r.\perp = \perp$
 $\llbracket x \neq \perp; y \neq \perp \rrbracket$
 $\implies branch\ (spair-pat\ p1\ p2).r.(:x, y:) =$
 $branch\ (cpair-pat\ p1\ p2).r.\langle x, y \rangle$
by (*simp-all add: branch-def spair-pat-def*)

lemma *sinl-pat [simp]*:

$branch\ (sinl-pat\ p).r.\perp = \perp$
 $x \neq \perp \implies branch\ (sinl-pat\ p).r.(sinl.x) = branch\ p.r.x$
 $y \neq \perp \implies branch\ (sinl-pat\ p).r.(sinr.y) = fail$
by (*simp-all add: branch-def sinl-pat-def*)

lemma *sinr-pat [simp]*:

$branch\ (sinr-pat\ p).r.\perp = \perp$
 $x \neq \perp \implies branch\ (sinr-pat\ p).r.(sinl.x) = fail$
 $y \neq \perp \implies branch\ (sinr-pat\ p).r.(sinr.y) = branch\ p.r.y$
by (*simp-all add: branch-def sinr-pat-def*)

lemma *up-pat [simp]*:

$branch\ (up-pat\ p).r.\perp = \perp$
 $branch\ (up-pat\ p).r.(up.x) = branch\ p.r.x$

by (*simp-all add: branch-def up-pat-def*)

lemma *TT-pat [simp]*:

branch TT-pat.(unit-when.r).⊥ = ⊥
branch TT-pat.(unit-when.r).TT = return.r
branch TT-pat.(unit-when.r).FF = fail

by (*simp-all add: branch-def TT-pat-def*)

lemma *FF-pat [simp]*:

branch FF-pat.(unit-when.r).⊥ = ⊥
branch FF-pat.(unit-when.r).TT = fail
branch FF-pat.(unit-when.r).FF = return.r

by (*simp-all add: branch-def FF-pat-def*)

lemma *ONE-pat [simp]*:

branch ONE-pat.(unit-when.r).⊥ = ⊥
branch ONE-pat.(unit-when.r).ONE = return.r

by (*simp-all add: branch-def ONE-pat-def*)

21.5 Wildcards, as-patterns, and lazy patterns

syntax

-as-pat :: [*idt*, '*a*] ⇒ '*a* (**infixr as 10**)
-lazy-pat :: '*a* ⇒ '*a* (*~* - [1000] 1000)

definition

wild-pat :: '*a* → unit maybe **where**
wild-pat = (Λ *x*. return.())

definition

as-pat :: ('*a* → '*b* maybe) ⇒ ('*a* → ('*a* × '*b*) maybe) **where**
as-pat *p* = (Λ *x*. bind.(*p*.*x*). (Λ *a*. return.⟨*x*, *a*⟩))

definition

lazy-pat :: ('*a* → '*b*::pcpo maybe) ⇒ ('*a* → '*b* maybe) **where**
lazy-pat *p* = (Λ *x*. return.(cases.(*p*.*x*)))

Parse translations (patterns)

translations

-pat - => *CONST wild-pat*
-pat (*-as-pat* *x y*) => *CONST as-pat* (*-pat* *y*)
-pat (*-lazy-pat* *x*) => *CONST lazy-pat* (*-pat* *x*)

Parse translations (variables)

translations

-variable - *r* => *-variable -noargs r*
-variable (*-as-pat* *x y*) *r* => *-variable* (*-args* *x y*) *r*
-variable (*-lazy-pat* *x*) *r* => *-variable* *x r*

Print translations

translations

- \leq -match (CONST wild-pat) -noargs
 -as-pat x (-match p v) \leq -match (CONST as-pat p) (-args (-variable x) v)
 -lazy-pat (-match p v) \leq -match (CONST lazy-pat p) v

Lazy patterns in lambda abstractions

translations

-cabs (-lazy-pat p) r == CONST Fixrec.cases oo (-Case1 (-lazy-pat p) r)

lemma wild-pat [simp]: branch wild-pat.(unit-when. r). x = return. r
by (simp add: branch-def wild-pat-def)

lemma as-pat [simp]:

branch (as-pat p). (csplit. r). x = branch p . (r . x). x

apply (simp add: branch-def as-pat-def)

apply (rule-tac $p=p$. x in maybeE, simp-all)

done

lemma lazy-pat [simp]:

branch p . r . x = $\perp \implies$ branch (lazy-pat p). r . x = return.(r . \perp)

branch p . r . x = fail \implies branch (lazy-pat p). r . x = return.(r . \perp)

branch p . r . x = return. $s \implies$ branch (lazy-pat p). r . x = return. s

apply (simp-all add: branch-def lazy-pat-def)

apply (rule-tac [!] $p=p$. x in maybeE, simp-all)

done

21.6 Match functions for built-in types

defaultsort pcpo

definition

match-UU :: 'a \rightarrow unit maybe **where**

match-UU = (Λ x . fail)

definition

match-cpair :: 'a::cpo \times 'b::cpo \rightarrow ('a \times 'b) maybe **where**

match-cpair = csplit.(Λ x y . return.< x , y >)

definition

match-spair :: 'a \otimes 'b \rightarrow ('a \times 'b) maybe **where**

match-spair = ssplit.(Λ x y . return.< x , y >)

definition

match-sinl :: 'a \oplus 'b \rightarrow 'a maybe **where**

match-sinl = sscase.return.(Λ y . fail)

definition

match-sinr :: 'a \oplus 'b \rightarrow 'b maybe **where**

match-sinr = sscase.(Λ x . fail).return

definition

$match\text{-}up :: 'a::cpo \rightarrow 'a \text{ maybe where}$
 $match\text{-}up = fup \cdot return$

definition

$match\text{-}ONE :: one \rightarrow unit \text{ maybe where}$
 $match\text{-}ONE = (\Lambda \text{ ONE. return} \cdot ())$

definition

$match\text{-}TT :: tr \rightarrow unit \text{ maybe where}$
 $match\text{-}TT = (\Lambda b. \text{ If } b \text{ then return} \cdot () \text{ else fail } fi)$

definition

$match\text{-}FF :: tr \rightarrow unit \text{ maybe where}$
 $match\text{-}FF = (\Lambda b. \text{ If } b \text{ then fail else return} \cdot () \text{ fi})$

lemma $match\text{-}UU\text{-simps}$ [simp]:

$match\text{-}UU \cdot x = fail$

by (simp add: match-UU-def)

lemma $match\text{-}cpair\text{-simps}$ [simp]:

$match\text{-}cpair \cdot \langle x, y \rangle = return \cdot \langle x, y \rangle$

by (simp add: match-cpair-def)

lemma $match\text{-}spair\text{-simps}$ [simp]:

$\llbracket x \neq \perp; y \neq \perp \rrbracket \implies match\text{-}spair \cdot (:x, y:) = return \cdot \langle x, y \rangle$

$match\text{-}spair \cdot \perp = \perp$

by (simp-all add: match-spair-def)

lemma $match\text{-}sinl\text{-simps}$ [simp]:

$x \neq \perp \implies match\text{-}sinl \cdot (sinl \cdot x) = return \cdot x$

$x \neq \perp \implies match\text{-}sinl \cdot (sinr \cdot x) = fail$

$match\text{-}sinl \cdot \perp = \perp$

by (simp-all add: match-sinl-def)

lemma $match\text{-}sinr\text{-simps}$ [simp]:

$x \neq \perp \implies match\text{-}sinr \cdot (sinr \cdot x) = return \cdot x$

$x \neq \perp \implies match\text{-}sinr \cdot (sinl \cdot x) = fail$

$match\text{-}sinr \cdot \perp = \perp$

by (simp-all add: match-sinr-def)

lemma $match\text{-}up\text{-simps}$ [simp]:

$match\text{-}up \cdot (up \cdot x) = return \cdot x$

$match\text{-}up \cdot \perp = \perp$

by (simp-all add: match-up-def)

lemma $match\text{-}ONE\text{-simps}$ [simp]:

$match\text{-}ONE \cdot ONE = return \cdot ()$

match-ONE. $\perp = \perp$
by (*simp-all add: match-ONE-def*)

lemma *match-TT-simps* [*simp*]:
match-TT.*TT* = *return*.()
match-TT.*FF* = *fail*
match-TT. $\perp = \perp$
by (*simp-all add: match-TT-def*)

lemma *match-FF-simps* [*simp*]:
match-FF.*FF* = *return*.()
match-FF.*TT* = *fail*
match-FF. $\perp = \perp$
by (*simp-all add: match-FF-def*)

21.7 Mutual recursion

The following rules are used to prove unfolding theorems from fixed-point definitions of mutually recursive functions.

lemma *cpair-equalI*: $\llbracket x \equiv \text{cfst} \cdot p; y \equiv \text{csnd} \cdot p \rrbracket \implies \langle x, y \rangle \equiv p$
by (*simp add: surjective-pairing-Cprod2*)

lemma *cpair-eqD1*: $\langle x, y \rangle = \langle x', y' \rangle \implies x = x'$
by *simp*

lemma *cpair-eqD2*: $\langle x, y \rangle = \langle x', y' \rangle \implies y = y'$
by *simp*

lemma for proving rewrite rules

lemma *ssubst-lhs*: $\llbracket t = s; P \ s = Q \rrbracket \implies P \ t = Q$
by *simp*

21.8 Initializing the fixrec package

use *Tools/fixrec-package.ML*

setup \ll *FixrecPackage.setup* \gg

setup \ll
FixrecPackage.add-matchers
 [(@{const-name up}, @{const-name match-up}),
 (@{const-name sinl}, @{const-name match-sinl}),
 (@{const-name sinr}, @{const-name match-sinr}),
 (@{const-name spair}, @{const-name match-spair}),
 (@{const-name cpair}, @{const-name match-cpair}),
 (@{const-name ONE}, @{const-name match-ONE}),
 (@{const-name TT}, @{const-name match-TT}),
 (@{const-name FF}, @{const-name match-FF})]
 \gg

```

hide (open) const return bind fail run cases

end

```

22 Domain: Domain package

```

theory Domain
imports Ssum Sprod Up One Tr Fixrec
begin

```

```

defaultsort pcpo

```

22.1 Continuous isomorphisms

A locale for continuous isomorphisms

```

locale iso =
  fixes abs :: 'a → 'b
  fixes rep :: 'b → 'a
  assumes abs-iso [simp]: rep·(abs·x) = x
  assumes rep-iso [simp]: abs·(rep·y) = y
begin

lemma swap: iso rep abs
  by (rule iso.intro [OF rep-iso abs-iso])

lemma abs-less: (abs·x ⊆ abs·y) = (x ⊆ y)
proof
  assume abs·x ⊆ abs·y
  then have rep·(abs·x) ⊆ rep·(abs·y) by (rule monofun-cfun-arg)
  then show x ⊆ y by simp
next
  assume x ⊆ y
  then show abs·x ⊆ abs·y by (rule monofun-cfun-arg)
qed

lemma rep-less: (rep·x ⊆ rep·y) = (x ⊆ y)
  by (rule iso.abs-less [OF swap])

lemma abs-eq: (abs·x = abs·y) = (x = y)
  by (simp add: po-eq-conv abs-less)

lemma rep-eq: (rep·x = rep·y) = (x = y)
  by (rule iso.abs-eq [OF swap])

lemma abs-strict: abs·⊥ = ⊥
proof –

```

have $\perp \sqsubseteq \text{rep}.\perp$..
then have $\text{abs}.\perp \sqsubseteq \text{abs}.\text{rep}.\perp$ **by** (rule monofun-cfun-arg)
then have $\text{abs}.\perp \sqsubseteq \perp$ **by** simp
then show ?thesis **by** (rule UU-I)
qed

lemma rep-strict: $\text{rep}.\perp = \perp$
by (rule iso.abs-strict [OF swap])

lemma abs-defin': $\text{abs}.x = \perp \implies x = \perp$
proof –
have $x = \text{rep}.\text{abs}.x$ **by** simp
also assume $\text{abs}.x = \perp$
also note rep-strict
finally show $x = \perp$.
qed

lemma rep-defin': $\text{rep}.z = \perp \implies z = \perp$
by (rule iso.abs-defin' [OF swap])

lemma abs-defined: $z \neq \perp \implies \text{abs}.z \neq \perp$
by (erule contrapos-nn, erule abs-defin')

lemma rep-defined: $z \neq \perp \implies \text{rep}.z \neq \perp$
by (rule iso.abs-defined [OF iso.swap]) (rule iso-axioms)

lemma abs-defined-iff: $(\text{abs}.x = \perp) = (x = \perp)$
by (auto elim: abs-defin' intro: abs-strict)

lemma rep-defined-iff: $(\text{rep}.x = \perp) = (x = \perp)$
by (rule iso.abs-defined-iff [OF iso.swap]) (rule iso-axioms)

lemma (in iso) compact-abs-rev: $\text{compact} (\text{abs}.x) \implies \text{compact } x$
proof (unfold compact-def)
assume adm $(\lambda y. \neg \text{abs}.x \sqsubseteq y)$
with cont-Rep-CFun2
have adm $(\lambda y. \neg \text{abs}.x \sqsubseteq \text{abs}.y)$ **by** (rule adm-subst)
then show adm $(\lambda y. \neg x \sqsubseteq y)$ **using** abs-less **by** simp
qed

lemma compact-rep-rev: $\text{compact} (\text{rep}.x) \implies \text{compact } x$
by (rule iso.compact-abs-rev [OF iso.swap]) (rule iso-axioms)

lemma compact-abs: $\text{compact } x \implies \text{compact} (\text{abs}.x)$
by (rule compact-rep-rev) simp

lemma compact-rep: $\text{compact } x \implies \text{compact} (\text{rep}.x)$
by (rule iso.compact-abs [OF iso.swap]) (rule iso-axioms)

```

lemma iso-swap:  $(x = \text{abs}.y) = (\text{rep}.x = y)$ 
proof
  assume  $x = \text{abs}.y$ 
  then have  $\text{rep}.x = \text{rep}.(\text{abs}.y)$  by simp
  then show  $\text{rep}.x = y$  by simp
next
  assume  $\text{rep}.x = y$ 
  then have  $\text{abs}.(\text{rep}.x) = \text{abs}.y$  by simp
  then show  $x = \text{abs}.y$  by simp
qed

end

```

22.2 Casedist

```

lemma ex-one-defined-iff:
   $(\exists x. P\ x \wedge x \neq \perp) = P\ \text{ONE}$ 
apply safe
apply (rule-tac  $p=x$  in oneE)
apply simp
apply simp
apply force
done

lemma ex-up-defined-iff:
   $(\exists x. P\ x \wedge x \neq \perp) = (\exists x. P\ (\text{up}.x))$ 
apply safe
apply (rule-tac  $p=x$  in upE)
apply simp
apply fast
apply (force intro!: up-defined)
done

```

```

lemma ex-sprod-defined-iff:
   $(\exists y. P\ y \wedge y \neq \perp) =$ 
   $(\exists x\ y. (P\ (:x, y:) \wedge x \neq \perp) \wedge y \neq \perp)$ 
apply safe
apply (rule-tac  $p=y$  in sprodE)
apply simp
apply fast
apply (force intro!: spair-defined)
done

```

```

lemma ex-sprod-up-defined-iff:
   $(\exists y. P\ y \wedge y \neq \perp) =$ 
   $(\exists x\ y. P\ (: \text{up}.x, y:) \wedge y \neq \perp)$ 
apply safe
apply (rule-tac  $p=y$  in sprodE)
apply simp

```



```

apply (rule-tac p=x in upE)
apply simp
apply fast
apply (force intro!: spair-defined)
done

```

```

lemma ex-ssum-defined-iff:
  ( $\exists x. P\ x \wedge x \neq \perp$ ) =
  ( $(\exists x. P\ (\text{sinl}\cdot x) \wedge x \neq \perp) \vee$ 
    $(\exists x. P\ (\text{sinr}\cdot x) \wedge x \neq \perp)$ )
apply (rule iffI)
apply (erule exE)
apply (erule conjE)
apply (rule-tac p=x in ssumE)
apply simp
apply (rule disjI1, fast)
apply (rule disjI2, fast)
apply (erule disjE)
apply force
apply force
done

```

```

lemma exh-start:  $p = \perp \vee (\exists x. p = x \wedge x \neq \perp)$ 
by auto

```

```

lemmas ex-defined-iffs =
  ex-ssum-defined-iff
  ex-sprod-up-defined-iff
  ex-sprod-defined-iff
  ex-up-defined-iff
  ex-one-defined-iff

```

Rules for turning exh into casedist

```

lemma exh-casedist0:  $\llbracket R; R \Longrightarrow P \rrbracket \Longrightarrow P$ 
by auto

```

```

lemma exh-casedist1:  $((P \vee Q \Longrightarrow R) \Longrightarrow S) \equiv (\llbracket P \Longrightarrow R; Q \Longrightarrow R \rrbracket \Longrightarrow S)$ 
by rule auto

```

```

lemma exh-casedist2:  $(\exists x. P\ x \Longrightarrow Q) \equiv (\bigwedge x. P\ x \Longrightarrow Q)$ 
by rule auto

```

```

lemma exh-casedist3:  $(P \wedge Q \Longrightarrow R) \equiv (P \Longrightarrow Q \Longrightarrow R)$ 
by rule auto

```

```

lemmas exh-casedists = exh-casedist1 exh-casedist2 exh-casedist3

```

```

end

```

23 Completion: Defining bifinite domains by ideal completion

```
theory Completion
imports Bifinite
begin
```

23.1 Ideals over a preorder

```
locale preorder =
  fixes r :: 'a::type  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\preceq$  50)
  assumes r-refl:  $x \preceq x$ 
  assumes r-trans:  $\llbracket x \preceq y; y \preceq z \rrbracket \Longrightarrow x \preceq z$ 
begin
```

definition

```
ideal :: 'a set  $\Rightarrow$  bool where
ideal A = (( $\exists x. x \in A$ )  $\wedge$  ( $\forall x \in A. \forall y \in A. \exists z \in A. x \preceq z \wedge y \preceq z$ )  $\wedge$ 
( $\forall x y. x \preceq y \longrightarrow y \in A \longrightarrow x \in A$ ))
```

lemma idealI:

```
assumes  $\exists x. x \in A$ 
assumes  $\bigwedge x y. \llbracket x \in A; y \in A \rrbracket \Longrightarrow \exists z \in A. x \preceq z \wedge y \preceq z$ 
assumes  $\bigwedge x y. \llbracket x \preceq y; y \in A \rrbracket \Longrightarrow x \in A$ 
shows ideal A
unfolding ideal-def using prems by fast
```

lemma idealD1:

```
ideal A  $\Longrightarrow \exists x. x \in A$ 
unfolding ideal-def by fast
```

lemma idealD2:

```
 $\llbracket \text{ideal } A; x \in A; y \in A \rrbracket \Longrightarrow \exists z \in A. x \preceq z \wedge y \preceq z$ 
unfolding ideal-def by fast
```

lemma idealD3:

```
 $\llbracket \text{ideal } A; x \preceq y; y \in A \rrbracket \Longrightarrow x \in A$ 
unfolding ideal-def by fast
```

lemma ideal-directed-finite:

```
assumes A: ideal A
shows  $\llbracket \text{finite } U; U \subseteq A \rrbracket \Longrightarrow \exists z \in A. \forall x \in U. x \preceq z$ 
apply (induct U set: finite)
apply (simp add: idealD1 [OF A])
apply (simp, clarify, rename-tac y)
apply (drule (1) idealD2 [OF A])
apply (clarify, erule-tac x=z in rev-bexI)
apply (fast intro: r-trans)
done
```

```

lemma ideal-principal: ideal {x. x  $\preceq$  z}
apply (rule idealI)
apply (rule-tac x=z in exI)
apply (fast intro: r-refl)
apply (rule-tac x=z in bexI, fast)
apply (fast intro: r-refl)
apply (fast intro: r-trans)
done

```

```

lemma ex-ideal:  $\exists A. \text{ideal } A$ 
by (rule exI, rule ideal-principal)

```

```

lemma directed-image-ideal:
  assumes A: ideal A
  assumes f:  $\bigwedge x y. x \preceq y \implies f x \sqsubseteq f y$ 
  shows directed (f ‘ A)
apply (rule directedI)
apply (cut-tac idealD1 [OF A], fast)
apply (clarify, rename-tac a b)
apply (drule (1) idealD2 [OF A])
apply (clarify, rename-tac c)
apply (rule-tac x=f c in rev-bexI)
apply (erule imageI)
apply (simp add: f)
done

```

```

lemma lub-image-principal:
  assumes f:  $\bigwedge x y. x \preceq y \implies f x \sqsubseteq f y$ 
  shows  $(\bigsqcup x \in \{x. x \preceq y\}. f x) = f y$ 
apply (rule thelubI)
apply (rule is-lub-maximal)
apply (rule ub-imageI)
apply (simp add: f)
apply (rule imageI)
apply (simp add: r-refl)
done

```

The set of ideals is a cpo

```

lemma ideal-UN:
  fixes A :: nat  $\Rightarrow$  'a set
  assumes ideal-A:  $\bigwedge i. \text{ideal } (A i)$ 
  assumes chain-A:  $\bigwedge i j. i \leq j \implies A i \subseteq A j$ 
  shows ideal  $(\bigcup i. A i)$ 
apply (rule idealI)
  apply (cut-tac idealD1 [OF ideal-A], fast)
  apply (clarify, rename-tac i j)
  apply (drule subsetD [OF chain-A [OF le-maxI1]])
  apply (drule subsetD [OF chain-A [OF le-maxI2]])

```

```

apply (drule (1) idealD2 [OF ideal-A])
apply blast
apply clarify
apply (drule (1) idealD3 [OF ideal-A])
apply fast
done

```

```

lemma typedef-ideal-po:
  fixes Abs :: 'a set  $\Rightarrow$  'b::sq-ord
  assumes type: type-definition Rep Abs {S. ideal S}
  assumes less:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow \text{Rep } x \subseteq \text{Rep } y$ 
  shows OFCLASS('b, po-class)
  apply (intro-classes, unfold less)
  apply (rule subset-refl)
  apply (erule (1) subset-trans)
  apply (rule type-definition.Rep-inject [OF type, THEN iffD1])
  apply (erule (1) subset-antisym)
done

```

```

lemma
  fixes Abs :: 'a set  $\Rightarrow$  'b::po
  assumes type: type-definition Rep Abs {S. ideal S}
  assumes less:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow \text{Rep } x \subseteq \text{Rep } y$ 
  assumes S: chain S
  shows typedef-ideal-lub: range S <<| Abs ( $\bigcup i. \text{Rep } (S i)$ )
    and typedef-ideal-rep-contrub:  $\text{Rep } (\bigsqcup i. S i) = (\bigcup i. \text{Rep } (S i))$ 
proof –
  have 1: ideal ( $\bigcup i. \text{Rep } (S i)$ )
    apply (rule ideal-UN)
    apply (rule type-definition.Rep [OF type, unfolded mem-Collect-eq])
    apply (subst less [symmetric])
    apply (erule chain-mono [OF S])
    done
  hence 2:  $\text{Rep } (\text{Abs } (\bigcup i. \text{Rep } (S i))) = (\bigcup i. \text{Rep } (S i))$ 
    by (simp add: type-definition.Abs-inverse [OF type])
  show 3: range S <<| Abs ( $\bigcup i. \text{Rep } (S i)$ )
    apply (rule is-lubI)
    apply (rule is-ubI)
    apply (simp add: less 2, fast)
    apply (simp add: less 2 is-ub-def, fast)
    done
  hence 4:  $(\bigsqcup i. S i) = \text{Abs } (\bigcup i. \text{Rep } (S i))$ 
    by (rule thelubI)
  show 5:  $\text{Rep } (\bigsqcup i. S i) = (\bigcup i. \text{Rep } (S i))$ 
    by (simp add: 4 2)
qed

```

```

lemma typedef-ideal-cpo:
  fixes Abs :: 'a set  $\Rightarrow$  'b::po

```

```

assumes type: type-definition Rep Abs {S. ideal S}
assumes less:  $\bigwedge x y. x \sqsubseteq y \iff \text{Rep } x \subseteq \text{Rep } y$ 
shows OFCLASS('b, cpo-class)
by (default, rule exI, erule typedef-ideal-lub [OF type less])

```

end

```

interpretation sq-le: preorder sq-le :: 'a::po  $\Rightarrow$  'a  $\Rightarrow$  bool
apply unfold-locales
apply (rule refl-less)
apply (erule (1) trans-less)
done

```

23.2 Lemmas about least upper bounds

```

lemma finite-directed-contains-lub:
   $\llbracket \text{finite } S; \text{ directed } S \rrbracket \implies \exists u \in S. S <<| u$ 
apply (drule (1) directed-finiteD, rule subset-refl)
apply (erule bexE)
apply (rule rev-bexI, assumption)
apply (erule (1) is-lub-maximal)
done

```

```

lemma lub-finite-directed-in-self:
   $\llbracket \text{finite } S; \text{ directed } S \rrbracket \implies \text{lub } S \in S$ 
apply (drule (1) finite-directed-contains-lub, clarify)
apply (drule thelubI, simp)
done

```

```

lemma finite-directed-has-lub:  $\llbracket \text{finite } S; \text{ directed } S \rrbracket \implies \exists u. S <<| u$ 
by (drule (1) finite-directed-contains-lub, fast)

```

```

lemma is-ub-the lub0:  $\llbracket \exists u. S <<| u; x \in S \rrbracket \implies x \sqsubseteq \text{lub } S$ 
apply (erule exE, drule lubI)
apply (drule is-lubD1)
apply (erule (1) is-ubD)
done

```

```

lemma is-lub-the lub0:  $\llbracket \exists u. S <<| u; S <| x \rrbracket \implies \text{lub } S \sqsubseteq x$ 
by (erule exE, drule lubI, erule is-lub-lub)

```

23.3 Locale for ideal completion

```

locale basis-take = preorder +
  fixes take :: nat  $\Rightarrow$  'a::type  $\Rightarrow$  'a
  assumes take-less: take n a  $\preceq$  a
  assumes take-take: take n (take n a) = take n a
  assumes take-mono:  $a \preceq b \implies \text{take } n \ a \preceq \text{take } n \ b$ 
  assumes take-chain: take n a  $\preceq$  take (Suc n) a
  assumes finite-range-take: finite (range (take n))

```

assumes *take-covers*: $\exists n. \text{take } n \ a = a$
begin

lemma *take-chain-less*: $m < n \implies \text{take } m \ a \preceq \text{take } n \ a$
by (*erule less-Suc-induct*, *rule take-chain*, *erule (1) r-trans*)

lemma *take-chain-le*: $m \leq n \implies \text{take } m \ a \preceq \text{take } n \ a$
by (*cases* $m = n$, *simp add: r-refl*, *simp add: take-chain-less*)

end

locale *ideal-completion* = *basis-take* +
fixes *principal* :: $'a::\text{type} \Rightarrow 'b::\text{cpo}$
fixes *rep* :: $'b::\text{cpo} \Rightarrow 'a::\text{type set}$
assumes *ideal-rep*: $\bigwedge x. \text{preorder.ideal } r \ (\text{rep } x)$
assumes *rep-contrub*: $\bigwedge Y. \text{chain } Y \implies \text{rep } (\bigsqcup i. Y \ i) = (\bigcup i. \text{rep } (Y \ i))$
assumes *rep-principal*: $\bigwedge a. \text{rep } (\text{principal } a) = \{b. b \preceq a\}$
assumes *subset-repD*: $\bigwedge x \ y. \text{rep } x \subseteq \text{rep } y \implies x \sqsubseteq y$
begin

lemma *finite-take-rep*: *finite* (*take* n ‘ *rep* x)
by (*rule finite-subset* [*OF image-mono* [*OF subset-UNIV*] *finite-range-take*])

lemma *rep-mono*: $x \sqsubseteq y \implies \text{rep } x \subseteq \text{rep } y$
apply (*frule bin-chain*)
apply (*drule rep-contrub*)
apply (*simp only: thelubI* [*OF lub-bin-chain*])
apply (*rule subsetI*, *rule UN-I* [**where** $a=0$], *simp-all*)
done

lemma *less-def*: $x \sqsubseteq y \longleftrightarrow \text{rep } x \subseteq \text{rep } y$
by (*rule iffI* [*OF rep-mono subset-repD*])

lemma *rep-eq*: $\text{rep } x = \{a. \text{principal } a \sqsubseteq x\}$
unfolding *less-def rep-principal*
apply *safe*
apply (*erule (1) idealD3* [*OF ideal-rep*])
apply (*erule subsetD*, *simp add: r-refl*)
done

lemma *mem-rep-iff-principal-less*: $a \in \text{rep } x \longleftrightarrow \text{principal } a \sqsubseteq x$
by (*simp add: rep-eq*)

lemma *principal-less-iff-mem-rep*: $\text{principal } a \sqsubseteq x \longleftrightarrow a \in \text{rep } x$
by (*simp add: rep-eq*)

lemma *principal-less-iff* [*simp*]: $\text{principal } a \sqsubseteq \text{principal } b \longleftrightarrow a \preceq b$
by (*simp add: principal-less-iff-mem-rep rep-principal*)

lemma *principal-eq-iff*: $\text{principal } a = \text{principal } b \iff a \preceq b \wedge b \preceq a$
unfolding *po-eq-conv* [where 'a='b] *principal-less-iff* ..

lemma *repD*: $a \in \text{rep } x \implies \text{principal } a \sqsubseteq x$
by (*simp add: rep-eq*)

lemma *principal-mono*: $a \preceq b \implies \text{principal } a \sqsubseteq \text{principal } b$
by (*simp only: principal-less-iff*)

lemma *lessI*: $(\bigwedge a. \text{principal } a \sqsubseteq x \implies \text{principal } a \sqsubseteq u) \implies x \sqsubseteq u$
unfolding *principal-less-iff-mem-rep*
by (*simp add: less-def subset-eq*)

lemma *lub-principal-rep*: $\text{principal } \text{'rep } x <<| x$
apply (*rule is-lubI*)
apply (*rule ub-imageI*)
apply (*erule repD*)
apply (*subst less-def*)
apply (*rule subsetI*)
apply (*drule (1) ub-imageD*)
apply (*simp add: rep-eq*)
done

23.4 Defining functions in terms of basis elements

definition
basis-fun :: $('a::\text{type} \Rightarrow 'c::\text{cpo}) \Rightarrow 'b \rightarrow 'c$ **where**
basis-fun = $(\lambda f. (\bigwedge x. \text{lub } (f \text{'rep } x)))$

lemma *basis-fun-lemma0*:
fixes $f :: 'a::\text{type} \Rightarrow 'c::\text{cpo}$
assumes *f-mono*: $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
shows $\exists u. f \text{'take } i \text{'rep } x <<| u$
apply (*rule finite-directed-has-lub*)
apply (*rule finite-imageI*)
apply (*rule finite-take-rep*)
apply (*subst image-image*)
apply (*rule directed-image-ideal*)
apply (*rule ideal-rep*)
apply (*rule f-mono*)
apply (*erule take-mono*)
done

lemma *basis-fun-lemma1*:
fixes $f :: 'a::\text{type} \Rightarrow 'c::\text{cpo}$
assumes *f-mono*: $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
shows *chain* $(\lambda i. \text{lub } (f \text{'take } i \text{'rep } x))$
apply (*rule chainI*)
apply (*rule is-lub-the lub0*)

```

  apply (rule basis-fun-lemma0, erule f-mono)
  apply (rule is-ubI, clarsimp, rename-tac a)
  apply (rule trans-less [OF f-mono [OF take-chain]])
  apply (rule is-ub-the lub0)
  apply (rule basis-fun-lemma0, erule f-mono)
  apply simp
done

```

```

lemma basis-fun-lemma2:
  fixes f :: 'a::type  $\Rightarrow$  'c::cpo
  assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 
  shows  $f \text{ ' rep } x <<| (\bigsqcup i. \text{ lub } (f \text{ ' take } i \text{ ' rep } x))$ 
  apply (rule is-lubI)
  apply (rule ub-imageI, rename-tac a)
  apply (cut-tac a=a in take-covers, erule exE, rename-tac i)
  apply (erule subst)
  apply (rule rev-trans-less)
  apply (rule-tac x=i in is-ub-the lub)
  apply (rule basis-fun-lemma1, erule f-mono)
  apply (rule is-ub-the lub0)
  apply (rule basis-fun-lemma0, erule f-mono)
  apply simp
  apply (rule is-lub-the lub [OF - ub-rangeI])
  apply (rule basis-fun-lemma1, erule f-mono)
  apply (rule is-lub-the lub0)
  apply (rule basis-fun-lemma0, erule f-mono)
  apply (rule is-ubI, clarsimp, rename-tac a)
  apply (rule trans-less [OF f-mono [OF take-less]])
  apply (erule (1) ub-imageD)
done

```

```

lemma basis-fun-lemma:
  fixes f :: 'a::type  $\Rightarrow$  'c::cpo
  assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 
  shows  $\exists u. f \text{ ' rep } x <<| u$ 
  by (rule exI, rule basis-fun-lemma2, erule f-mono)

```

```

lemma basis-fun-beta:
  fixes f :: 'a::type  $\Rightarrow$  'c::cpo
  assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 
  shows basis-fun  $f \cdot x = \text{ lub } (f \text{ ' rep } x)$ 
  unfolding basis-fun-def
  proof (rule beta-cfun)
    have lub:  $\bigwedge x. \exists u. f \text{ ' rep } x <<| u$ 
    using f-mono by (rule basis-fun-lemma)
    show cont: cont  $(\lambda x. \text{ lub } (f \text{ ' rep } x))$ 
    apply (rule contI2)
    apply (rule monofunI)
    apply (rule is-lub-the lub0 [OF lub ub-imageI])
  qed

```



```

    apply (rule is-ub-the lub0 [OF lub imageI])
    apply (erule (1) subsetD [OF rep-mono])
    apply (rule is-lub-the lub0 [OF lub ub-imageI])
    apply (simp add: rep-contrub, clarify)
    apply (erule rev-trans-less [OF is-ub-the lub])
    apply (erule is-ub-the lub0 [OF lub imageI])
  done
qed

```

```

lemma basis-fun-principal:
  fixes f :: 'a::type  $\Rightarrow$  'c::cpo
  assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 
  shows basis-fun f.(principal a) = f a
  apply (subst basis-fun-beta, erule f-mono)
  apply (subst rep-principal)
  apply (rule lub-image-principal, erule f-mono)
  done

```

```

lemma basis-fun-mono:
  assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 
  assumes g-mono:  $\bigwedge a b. a \preceq b \implies g a \sqsubseteq g b$ 
  assumes less:  $\bigwedge a. f a \sqsubseteq g a$ 
  shows basis-fun f  $\sqsubseteq$  basis-fun g
  apply (rule less-cfun-ext)
  apply (simp only: basis-fun-beta f-mono g-mono)
  apply (rule is-lub-the lub0)
  apply (rule basis-fun-lemma, erule f-mono)
  apply (rule ub-imageI, rename-tac a)
  apply (rule trans-less [OF less])
  apply (rule is-ub-the lub0)
  apply (rule basis-fun-lemma, erule g-mono)
  apply (erule imageI)
  done

```

```

lemma compact-principal [simp]: compact (principal a)
by (rule compactI2, simp add: principal-less-iff-mem-rep rep-contrub)

```

23.5 Bifiniteness of ideal completions

definition

```

completion-approx :: nat  $\Rightarrow$  'b  $\rightarrow$  'b where
completion-approx = ( $\lambda i. \text{basis-fun } (\lambda a. \text{principal } (\text{take } i a))$ )

```

lemma completion-approx-beta:

```

completion-approx i.x = ( $\bigsqcup_{a \in \text{rep } x} \text{principal } (\text{take } i a)$ )

```

unfolding completion-approx-def

```

by (simp add: basis-fun-beta principal-mono take-mono)

```

lemma completion-approx-principal:

```

  completion-approx i.(principal a) = principal (take i a)
unfolding completion-approx-def
by (simp add: basis-fun-principal principal-mono take-mono)

```

```

lemma chain-completion-approx: chain completion-approx
unfolding completion-approx-def
apply (rule chainI)
apply (rule basis-fun-mono)
apply (erule principal-mono [OF take-mono])
apply (erule principal-mono [OF take-mono])
apply (rule principal-mono [OF take-chain])
done

```

```

lemma lub-completion-approx: ( $\bigsqcup i.$  completion-approx i.x) = x
unfolding completion-approx-beta
apply (subst image-image [where f=principal, symmetric])
apply (rule unique-lub [OF - lub-principal-rep])
apply (rule basis-fun-lemma2, erule principal-mono)
done

```

```

lemma completion-approx-eq-principal:
   $\exists a \in \text{rep } x. \text{ completion-approx } i.x = \text{principal } (\text{take } i \ a)$ 
unfolding completion-approx-beta
apply (subst image-image [where f=principal, symmetric])
apply (subgoal-tac finite (principal ‘ take i ‘ rep x))
apply (subgoal-tac directed (principal ‘ take i ‘ rep x))
  apply (drule (1) lub-finite-directed-in-self, fast)
apply (subst image-image)
apply (rule directed-image-ideal)
apply (rule ideal-rep)
apply (erule principal-mono [OF take-mono])
apply (rule finite-imageI)
apply (rule finite-take-rep)
done

```

```

lemma completion-approx-idem:
  completion-approx i.(completion-approx i.x) = completion-approx i.x
using completion-approx-eq-principal [where i=i and x=x]
by (auto simp add: completion-approx-principal take-take)

```

```

lemma finite-fixes-completion-approx:
  finite {x. completion-approx i.x = x} (is finite ?S)
apply (subgoal-tac ?S  $\subseteq$  principal ‘ range (take i))
apply (erule finite-subset)
apply (rule finite-imageI)
apply (rule finite-range-take)
apply (clarify, erule subst)
apply (cut-tac x=x and i=i in completion-approx-eq-principal)
apply fast

```

done

```

lemma principal-induct:
  assumes adm: adm P
  assumes P:  $\bigwedge a. P$  (principal a)
  shows P x
  apply (subgoal-tac P ( $\bigsqcup i. completion\text{-}approx\ i \cdot x$ ))
  apply (simp add: lub-completion-approx)
  apply (rule admD [OF adm])
  apply (simp add: chain-completion-approx)
  apply (cut-tac x=x and i=i in completion-approx-eq-principal)
  apply (clarify, simp add: P)
done

```

```

lemma principal-induct2:
   $\llbracket \bigwedge y. adm\ (\lambda x. P\ x\ y); \bigwedge x. adm\ (\lambda y. P\ x\ y);$ 
 $\bigwedge a\ b. P$  (principal a) (principal b)  $\rrbracket \implies P\ x\ y$ 
  apply (rule-tac x=y in spec)
  apply (rule-tac x=x in principal-induct, simp)
  apply (rule allI, rename-tac y)
  apply (rule-tac x=y in principal-induct, simp)
  apply simp
done

```

```

lemma compact-imp-principal: compact x  $\implies \exists a. x = principal\ a$ 
  apply (drule adm-compact-neq [OF - cont-id])
  apply (drule admD2 [where Y= $\lambda n. completion\text{-}approx\ n \cdot x$ ])
  apply (simp add: chain-completion-approx)
  apply (simp add: lub-completion-approx)
  apply (erule exE, erule ssubst)
  apply (cut-tac i=i and x=x in completion-approx-eq-principal)
  apply (clarify, erule exI)
done

```

end

end

24 CompactBasis: Compact bases of domains

```

theory CompactBasis
imports Completion
begin

```

24.1 Compact bases of bifinite domains

```

defaultsort profinite

```

```

typedef (open) 'a compact-basis = {x::'a::profinite. compact x}
by (fast intro: compact-approx)

```

```

lemma compact-Rep-compact-basis: compact (Rep-compact-basis a)
by (rule Rep-compact-basis [unfolded mem-Collect-eq])

```

```

instantiation compact-basis :: (profinite) sq-ord
begin

```

```

definition
  compact-le-def:
    (op  $\sqsubseteq$ )  $\equiv (\lambda x y. \text{Rep-compact-basis } x \sqsubseteq \text{Rep-compact-basis } y)$ 

```

```

instance ..

```

```

end

```

```

instance compact-basis :: (profinite) po
by (rule typedef-po
    [OF type-definition-compact-basis compact-le-def])

```

Take function for compact basis

```

definition
  compact-take :: nat  $\Rightarrow$  'a compact-basis  $\Rightarrow$  'a compact-basis where
  compact-take = ( $\lambda n a. \text{Abs-compact-basis } (\text{approx } n \cdot (\text{Rep-compact-basis } a))$ )

```

```

lemma Rep-compact-take:
  Rep-compact-basis (compact-take n a) = approx n  $\cdot$  (Rep-compact-basis a)
unfolding compact-take-def
by (simp add: Abs-compact-basis-inverse)

```

```

lemmas approx-Rep-compact-basis = Rep-compact-take [symmetric]

```

```

interpretation compact-basis:
  basis-take sq-le compact-take

```

```

proof
  fix n :: nat and a :: 'a compact-basis
  show compact-take n a  $\sqsubseteq$  a
    unfolding compact-le-def
    by (simp add: Rep-compact-take approx-less)
next
  fix n :: nat and a :: 'a compact-basis
  show compact-take n (compact-take n a) = compact-take n a
    by (simp add: Rep-compact-basis-inject [symmetric] Rep-compact-take)
next
  fix n :: nat and a b :: 'a compact-basis
  assume a  $\sqsubseteq$  b thus compact-take n a  $\sqsubseteq$  compact-take n b
    unfolding compact-le-def Rep-compact-take
    by (rule monofun-cfun-arg)

```

```

next
  fix n :: nat and a :: 'a compact-basis
  show  $\bigwedge n a. \text{compact-take } n a \sqsubseteq \text{compact-take } (\text{Suc } n) a$ 
    unfolding compact-le-def Rep-compact-take
    by (rule chainE, simp)
next
  fix n :: nat
  show finite (range (compact-take n))
    apply (rule finite-imageD [where f=Rep-compact-basis])
    apply (rule finite-subset [where B=range ( $\lambda x. \text{approx } n \cdot x$ )])
    apply (clarsimp simp add: Rep-compact-take)
    apply (rule finite-range-approx)
    apply (rule inj-onI, simp add: Rep-compact-basis-inject)
    done
next
  fix a :: 'a compact-basis
  show  $\exists n. \text{compact-take } n a = a$ 
    apply (simp add: Rep-compact-basis-inject [symmetric])
    apply (simp add: Rep-compact-take)
    apply (rule profinite-compact-eq-approx)
    apply (rule compact-Rep-compact-basis)
    done
qed

```

Ideal completion

definition

```

approximants :: 'a  $\Rightarrow$  'a compact-basis set where
approximants = ( $\lambda x. \{a. \text{Rep-compact-basis } a \sqsubseteq x\}$ )

```

interpretation compact-basis:

ideal-completion sq-le compact-take Rep-compact-basis approximants

proof

```

fix w :: 'a
show preorder.ideal sq-le (approximants w)
proof (rule sq-le.idealI)
  show  $\exists x. x \in \text{approximants } w$ 
    unfolding approximants-def
    apply (rule-tac x=Abs-compact-basis (approx 0  $\cdot$  w) in exI)
    apply (simp add: Abs-compact-basis-inverse approx-less)
    done

```

next

```

fix x y :: 'a compact-basis
assume x  $\in$  approximants w y  $\in$  approximants w
thus  $\exists z \in \text{approximants } w. x \sqsubseteq z \wedge y \sqsubseteq z$ 
  unfolding approximants-def
  apply simp
  apply (cut-tac a=x in compact-Rep-compact-basis)
  apply (cut-tac a=y in compact-Rep-compact-basis)
  apply (drule profinite-compact-eq-approx)

```

```

    apply (drule profinite-compact-eq-approx)
    apply (clarify, rename-tac i j)
    apply (rule-tac x=Abs-compact-basis (approx (max i j)·w) in exI)
    apply (simp add: compact-le-def)
    apply (simp add: Abs-compact-basis-inverse approx-less)
    apply (erule subst, erule subst)
    apply (simp add: monofun-cfun chain-mono [OF chain-approx])
    done
  next
    fix x y :: 'a compact-basis
    assume x  $\sqsubseteq$  y y  $\in$  approximants w thus x  $\in$  approximants w
    unfolding approximants-def
    apply simp
    apply (simp add: compact-le-def)
    apply (erule (1) trans-less)
    done
  qed
next
  fix Y :: nat  $\Rightarrow$  'a
  assume Y: chain Y
  show approximants ( $\bigsqcup$  i. Y i) = ( $\bigcup$  i. approximants (Y i))
    unfolding approximants-def
    apply safe
    apply (simp add: compactD2 [OF compact-Rep-compact-basis Y])
    apply (erule trans-less, rule is-ub-thelub [OF Y])
    done
next
  fix a :: 'a compact-basis
  show approximants (Rep-compact-basis a) = {b. b  $\sqsubseteq$  a}
    unfolding approximants-def compact-le-def ..
next
  fix x y :: 'a
  assume approximants x  $\subseteq$  approximants y thus x  $\sqsubseteq$  y
    apply (subgoal-tac ( $\bigsqcup$  i. approx i·x)  $\sqsubseteq$  y, simp)
    apply (rule admD, simp, simp)
    apply (drule-tac c=Abs-compact-basis (approx i·x) in subsetD)
    apply (simp add: approximants-def Abs-compact-basis-inverse approx-less)
    apply (simp add: approximants-def Abs-compact-basis-inverse)
    done
  qed

```

minimal compact element

definition

compact-bot :: 'a::bifinite compact-basis **where**
compact-bot = Abs-compact-basis \perp

lemma *Rep-compact-bot*: *Rep-compact-basis compact-bot* = \perp

unfolding *compact-bot-def* **by** (simp add: Abs-compact-basis-inverse)

lemma *compact-bot-minimal* [simp]: *compact-bot* \sqsubseteq *a*
unfolding *compact-le-def Rep-compact-bot* **by** *simp*

24.2 A compact basis for powerdomains

typedef 'a *pd-basis* =
 {*S*::'a::profinite compact-basis set. finite *S* \wedge *S* \neq {}}
by (rule-tac *x*={arbitrary} **in** *exI*, *simp*)

lemma *finite-Rep-pd-basis* [simp]: finite (*Rep-pd-basis* *u*)
by (insert *Rep-pd-basis* [of *u*, unfolded *pd-basis-def*]) *simp*

lemma *Rep-pd-basis-nonempty* [simp]: *Rep-pd-basis* *u* \neq {}
by (insert *Rep-pd-basis* [of *u*, unfolded *pd-basis-def*]) *simp*

unit and plus

definition
PDUnit :: 'a compact-basis \Rightarrow 'a *pd-basis* **where**
PDUnit = (λx . *Abs-pd-basis* {*x*})

definition
PDPlus :: 'a *pd-basis* \Rightarrow 'a *pd-basis* \Rightarrow 'a *pd-basis* **where**
PDPlus *t u* = *Abs-pd-basis* (*Rep-pd-basis* *t* \cup *Rep-pd-basis* *u*)

lemma *Rep-PDUnit*:
Rep-pd-basis (*PDUnit* *x*) = {*x*}
unfolding *PDUnit-def* **by** (rule *Abs-pd-basis-inverse*) (*simp add: pd-basis-def*)

lemma *Rep-PDPlus*:
Rep-pd-basis (*PDPlus* *u v*) = *Rep-pd-basis* *u* \cup *Rep-pd-basis* *v*
unfolding *PDPlus-def* **by** (rule *Abs-pd-basis-inverse*) (*simp add: pd-basis-def*)

lemma *PDUnit-inject* [simp]: (*PDUnit* *a* = *PDUnit* *b*) = (*a* = *b*)
unfolding *Rep-pd-basis-inject* [symmetric] *Rep-PDUnit* **by** *simp*

lemma *PDPlus-assoc*: *PDPlus* (*PDPlus* *t u*) *v* = *PDPlus* *t* (*PDPlus* *u v*)
unfolding *Rep-pd-basis-inject* [symmetric] *Rep-PDPlus* **by** (rule *Un-assoc*)

lemma *PDPlus-commute*: *PDPlus* *t u* = *PDPlus* *u t*
unfolding *Rep-pd-basis-inject* [symmetric] *Rep-PDPlus* **by** (rule *Un-commute*)

lemma *PDPlus-absorb*: *PDPlus* *t t* = *t*
unfolding *Rep-pd-basis-inject* [symmetric] *Rep-PDPlus* **by** (rule *Un-absorb*)

lemma *pd-basis-induct1*:
 assumes *PDUnit*: $\bigwedge a. P$ (*PDUnit* *a*)
 assumes *PDPlus*: $\bigwedge a t. P$ *t* \Longrightarrow *P* (*PDPlus* (*PDUnit* *a*) *t*)
 shows *P* *x*
apply (*induct* *x*, *unfold* *pd-basis-def*, *clarify*)

```

apply (erule (1) finite-ne-induct)
apply (cut-tac a=x in PDUnit)
apply (simp add: PDUnit-def)
apply (drule-tac a=x in PDPlus)
apply (simp add: PDUnit-def PDPlus-def Abs-pd-basis-inverse [unfolded pd-basis-def])
done

```

```

lemma pd-basis-induct:
  assumes PDUnit:  $\bigwedge a. P \ (PDUnit \ a)$ 
  assumes PDPlus:  $\bigwedge t \ u. \llbracket P \ t; \ P \ u \rrbracket \implies P \ (PDPlus \ t \ u)$ 
  shows  $P \ x$ 
apply (induct x rule: pd-basis-induct1)
apply (rule PDUnit, erule PDPlus [OF PDUnit])
done

```

fold-pd

definition

```

fold-pd ::
  ( $'a \text{ compact-basis} \Rightarrow 'b::\text{type} \Rightarrow ('b \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \text{ pd-basis} \Rightarrow 'b$ )
  where fold-pd g f t = fold1 f (g ‘ Rep-pd-basis t)

```

```

lemma fold-pd-PDUnit:
  assumes ab-semigroup-idem-mult f
  shows fold-pd g f (PDUnit x) = g x
unfolding fold-pd-def Rep-PDUnit by simp

```

```

lemma fold-pd-PDPlus:
  assumes ab-semigroup-idem-mult f
  shows fold-pd g f (PDPlus t u) = f (fold-pd g f t) (fold-pd g f u)
proof –
  interpret ab-semigroup-idem-mult f by fact
  show ?thesis unfolding fold-pd-def Rep-PDPlus by (simp add: image-Un.fold1-Un2)
qed

```

Take function for powerdomain basis

definition

```

pd-take ::  $\text{nat} \Rightarrow 'a \text{ pd-basis} \Rightarrow 'a \text{ pd-basis}$  where
  pd-take n = ( $\lambda t. \text{Abs-pd-basis} \ (\text{compact-take } n \ ' \text{Rep-pd-basis } t)$ )

```

```

lemma Rep-pd-take:
   $\text{Rep-pd-basis} \ (\text{pd-take } n \ t) = \text{compact-take } n \ ' \text{Rep-pd-basis } t$ 
unfolding pd-take-def
apply (rule Abs-pd-basis-inverse)
apply (simp add: pd-basis-def)
done

```

```

lemma pd-take-simps [simp]:
  pd-take n (PDUnit a) = PDUnit (compact-take n a)
  pd-take n (PDPlus t u) = PDPlus (pd-take n t) (pd-take n u)

```



```

apply (simp-all add: Rep-pd-basis-inject [symmetric])
apply (simp-all add: Rep-pd-take Rep-PDUnit Rep-PDPlus image-Un)
done

```

```

lemma pd-take-idem: pd-take n (pd-take n t) = pd-take n t
apply (induct t rule: pd-basis-induct)
apply (simp add: compact-basis.take-take)
apply simp
done

```

```

lemma finite-range-pd-take: finite (range (pd-take n))
apply (rule finite-imageD [where f=Rep-pd-basis])
apply (rule finite-subset [where B=Pow (range (compact-take n))])
apply (clarsimp simp add: Rep-pd-take)
apply (simp add: compact-basis.finite-range-take)
apply (rule inj-onI, simp add: Rep-pd-basis-inject)
done

```

```

lemma pd-take-covers:  $\exists n. \text{pd-take } n \ t = t$ 
apply (subgoal-tac  $\exists n. \forall m \geq n. \text{pd-take } m \ t = t$ , fast)
apply (induct t rule: pd-basis-induct)
apply (cut-tac a=a in compact-basis.take-covers)
apply (clarify, rule-tac x=n in exI)
apply (clarify, simp)
apply (rule antisym-less)
apply (rule compact-basis.take-less)
apply (drule-tac a=a in compact-basis.take-chain-le)
apply simp
apply (clarify, rename-tac i j)
apply (rule-tac x=max i j in exI, simp)
done

```

end

25 UpperPD: Upper powerdomain

```

theory UpperPD
imports CompactBasis
begin

```

25.1 Basis preorder

definition

```

upper-le :: 'a pd-basis  $\Rightarrow$  'a pd-basis  $\Rightarrow$  bool (infix  $\leq^\#$  50) where
upper-le = ( $\lambda u \ v. \forall y \in \text{Rep-pd-basis } v. \exists x \in \text{Rep-pd-basis } u. x \sqsubseteq y$ )

```

```

lemma upper-le-refl [simp]:  $t \leq^\# t$ 
unfolding upper-le-def by fast

```

```

lemma upper-le-trans:  $\llbracket t \leq^\# u; u \leq^\# v \rrbracket \implies t \leq^\# v$ 
unfolding upper-le-def
apply (rule ballI)
apply (drule (1) bspec, erule bexE)
apply (drule (1) bspec, erule bexE)
apply (erule rev-beXI)
apply (erule (1) trans-less)
done

interpretation upper-le: preorder upper-le
by (rule preorder.intro, rule upper-le-refl, rule upper-le-trans)

lemma upper-le-minimal [simp]: PDUnit compact-bot  $\leq^\# t$ 
unfolding upper-le-def Rep-PDUnit by simp

lemma PDUnit-upper-mono:  $x \sqsubseteq y \implies \text{PDUnit } x \leq^\# \text{PDUnit } y$ 
unfolding upper-le-def Rep-PDUnit by simp

lemma PDPlus-upper-mono:  $\llbracket s \leq^\# t; u \leq^\# v \rrbracket \implies \text{PDPlus } s \ u \leq^\# \text{PDPlus } t \ v$ 
unfolding upper-le-def Rep-PDPlus by fast

lemma PDPlus-upper-less:  $\text{PDPlus } t \ u \leq^\# t$ 
unfolding upper-le-def Rep-PDPlus by fast

lemma upper-le-PDUnit-PDUnit-iff [simp]:
   $(\text{PDUnit } a \leq^\# \text{PDUnit } b) = a \sqsubseteq b$ 
unfolding upper-le-def Rep-PDUnit by fast

lemma upper-le-PDPlus-PDUnit-iff:
   $(\text{PDPlus } t \ u \leq^\# \text{PDUnit } a) = (t \leq^\# \text{PDUnit } a \vee u \leq^\# \text{PDUnit } a)$ 
unfolding upper-le-def Rep-PDPlus Rep-PDUnit by fast

lemma upper-le-PDPlus-iff:  $(t \leq^\# \text{PDPlus } u \ v) = (t \leq^\# u \wedge t \leq^\# v)$ 
unfolding upper-le-def Rep-PDPlus by fast

lemma upper-le-induct [induct set: upper-le]:
  assumes le:  $t \leq^\# u$ 
  assumes 1:  $\bigwedge a \ b. a \sqsubseteq b \implies P (\text{PDUnit } a) (\text{PDUnit } b)$ 
  assumes 2:  $\bigwedge t \ u \ a. P \ t (\text{PDUnit } a) \implies P (\text{PDPlus } t \ u) (\text{PDUnit } a)$ 
  assumes 3:  $\bigwedge t \ u \ v. \llbracket P \ t \ u; P \ t \ v \rrbracket \implies P \ t (\text{PDPlus } u \ v)$ 
  shows  $P \ t \ u$ 
using le apply (induct u arbitrary: t rule: pd-basis-induct)
apply (erule rev-mp)
apply (induct-tac t rule: pd-basis-induct)
apply (simp add: 1)
apply (simp add: upper-le-PDPlus-PDUnit-iff)
apply (simp add: 2)
apply (subst PDPlus-commute)

```

```

apply (simp add: 2)
apply (simp add: upper-le-PDPlus-iff 3)
done

```

```

lemma pd-take-upper-chain:
   $pd\text{-}take\ n\ t \leq_{\#} pd\text{-}take\ (Suc\ n)\ t$ 
apply (induct t rule: pd-basis-induct)
apply (simp add: compact-basis.take-chain)
apply (simp add: PDPlus-upper-mono)
done

```

```

lemma pd-take-upper-le:  $pd\text{-}take\ i\ t \leq_{\#} t$ 
apply (induct t rule: pd-basis-induct)
apply (simp add: compact-basis.take-less)
apply (simp add: PDPlus-upper-mono)
done

```

```

lemma pd-take-upper-mono:
   $t \leq_{\#} u \implies pd\text{-}take\ n\ t \leq_{\#} pd\text{-}take\ n\ u$ 
apply (erule upper-le-induct)
apply (simp add: compact-basis.take-mono)
apply (simp add: upper-le-PDPlus-PDUnit-iff)
apply (simp add: upper-le-PDPlus-iff)
done

```

25.2 Type definition

```

typedef (open) 'a upper-pd =
  {S::'a pd-basis set. upper-le.ideal S}
by (fast intro: upper-le.ideal-principal)

```

```

instantiation upper-pd :: (profinite) sq-ord
begin

```

```

definition
   $x \sqsubseteq y \longleftrightarrow Rep\text{-}upper\text{-}pd\ x \subseteq Rep\text{-}upper\text{-}pd\ y$ 

```

```

instance ..
end

```

```

instance upper-pd :: (profinite) po
by (rule upper-le.typedef-ideal-po
  [OF type-definition-upper-pd sq-le-upper-pd-def])

```

```

instance upper-pd :: (profinite) cpo
by (rule upper-le.typedef-ideal-cpo
  [OF type-definition-upper-pd sq-le-upper-pd-def])

```

```

lemma Rep-upper-pd-lub:

```

chain $Y \implies \text{Rep-upper-pd } (\bigsqcup i. Y\ i) = (\bigcup i. \text{Rep-upper-pd } (Y\ i))$
by (*rule* *upper-le.typedef-ideal-rep-contlub*
[OF type-definition-upper-pd sq-le-upper-pd-def])

lemma *ideal-Rep-upper-pd*: *upper-le.ideal* (*Rep-upper-pd xs*)
by (*rule* *Rep-upper-pd [unfolded mem-Collect-eq]*)

definition

upper-principal :: 'a *pd-basis* \Rightarrow 'a *upper-pd* **where**
upper-principal $t = \text{Abs-upper-pd } \{u. u \leq_{\#} t\}$

lemma *Rep-upper-principal*:

Rep-upper-pd (*upper-principal* t) = $\{u. u \leq_{\#} t\}$

unfolding *upper-principal-def*

by (*simp* *add*: *Abs-upper-pd-inverse upper-le.ideal-principal*)

interpretation *upper-pd*:

ideal-completion upper-le pd-take upper-principal Rep-upper-pd

apply *unfold-locales*

apply (*rule* *pd-take-upper-le*)

apply (*rule* *pd-take-idem*)

apply (*erule* *pd-take-upper-mono*)

apply (*rule* *pd-take-upper-chain*)

apply (*rule* *finite-range-pd-take*)

apply (*rule* *pd-take-covers*)

apply (*rule* *ideal-Rep-upper-pd*)

apply (*erule* *Rep-upper-pd-lub*)

apply (*rule* *Rep-upper-principal*)

apply (*simp* *only*: *sq-le-upper-pd-def*)

done

Upper powerdomain is pointed

lemma *upper-pd-minimal*: *upper-principal* (*PDUnit compact-bot*) \sqsubseteq *ys*

by (*induct* *ys* *rule*: *upper-pd.principal-induct, simp, simp*)

instance *upper-pd* :: (*bifinite*) *pcpo*

by *intro-classes* (*fast intro*: *upper-pd-minimal*)

lemma *inst-upper-pd-pcpo*: $\perp = \text{upper-principal } (\text{PDUnit compact-bot})$

by (*rule* *upper-pd-minimal [THEN UU-I, symmetric]*)

Upper powerdomain is profinite

instantiation *upper-pd* :: (*profinite*) *profinite*

begin

definition

approx-upper-pd-def: *approx* = *upper-pd.completion-approx*

instance

```

apply (intro-classes, unfold approx-upper-pd-def)
apply (rule upper-pd.chain-completion-approx)
apply (rule upper-pd.lub-completion-approx)
apply (rule upper-pd.completion-approx-idem)
apply (rule upper-pd.finite-fixes-completion-approx)
done

end

instance upper-pd :: (bifinite) bifinite ..

lemma approx-upper-principal [simp]:
  approx n.(upper-principal t) = upper-principal (pd-take n t)
unfolding approx-upper-pd-def
by (rule upper-pd.completion-approx-principal)

lemma approx-eq-upper-principal:
   $\exists t \in \text{Rep-upper-pd } xs. \text{ approx } n.xs = \text{upper-principal } (\text{pd-take } n t)$ 
unfolding approx-upper-pd-def
by (rule upper-pd.completion-approx-eq-principal)

```

25.3 Monadic unit and plus

definition

```

upper-unit :: 'a  $\rightarrow$  'a upper-pd where
upper-unit = compact-basis.basis-fun ( $\lambda a. \text{upper-principal } (\text{PDUUnit } a)$ )

```

definition

```

upper-plus :: 'a upper-pd  $\rightarrow$  'a upper-pd  $\rightarrow$  'a upper-pd where
upper-plus = upper-pd.basis-fun ( $\lambda t. \text{upper-pd.basis-fun } (\lambda u. \text{upper-principal } (\text{PDPlus } t u))$ )

```

abbreviation

```

upper-add :: 'a upper-pd  $\Rightarrow$  'a upper-pd  $\Rightarrow$  'a upper-pd
(infixl + $\#$  65) where
xs + $\#$  ys == upper-plus.xs.ys

```

syntax

```

-upper-pd :: args  $\Rightarrow$  'a upper-pd ({-} $\#$ )

```

translations

```

{x, xs} $\#$  == {x} $\#$  + $\#$  {xs} $\#$ 
{x} $\#$  == CONST upper-unit.x

```

lemma upper-unit-Rep-compact-basis [simp]:

```

{Rep-compact-basis a} $\#$  = upper-principal (PDUUnit a)
unfolding upper-unit-def
by (simp add: compact-basis.basis-fun-principal PDUUnit-upper-mono)

```

lemma *upper-plus-principal* [simp]:
 $upper-principal\ t +\# upper-principal\ u = upper-principal\ (PDPlus\ t\ u)$
unfolding *upper-plus-def*
by (simp add: upper-pd.basis-fun-principal
upper-pd.basis-fun-mono PDPlus-upper-mono)

lemma *approx-upper-unit* [simp]:
 $approx\ n \cdot \{x\} \# = \{approx\ n \cdot x\} \#$
apply (induct x rule: compact-basis.principal-induct, simp)
apply (simp add: approx-Rep-compact-basis)
done

lemma *approx-upper-plus* [simp]:
 $approx\ n \cdot (xs +\# ys) = (approx\ n \cdot xs) +\# (approx\ n \cdot ys)$
by (induct xs ys rule: upper-pd.principal-induct2, simp, simp, simp)

lemma *upper-plus-assoc*: $(xs +\# ys) +\# zs = xs +\# (ys +\# zs)$
apply (induct xs ys arbitrary: zs rule: upper-pd.principal-induct2, simp, simp)
apply (rule-tac x=zs in upper-pd.principal-induct, simp)
apply (simp add: PDPlus-assoc)
done

lemma *upper-plus-commute*: $xs +\# ys = ys +\# xs$
apply (induct xs ys rule: upper-pd.principal-induct2, simp, simp)
apply (simp add: PDPlus-commute)
done

lemma *upper-plus-absorb* [simp]: $xs +\# xs = xs$
apply (induct xs rule: upper-pd.principal-induct, simp)
apply (simp add: PDPlus-absorb)
done

lemma *upper-plus-left-commute*: $xs +\# (ys +\# zs) = ys +\# (xs +\# zs)$
by (rule mk-left-commute [of op +\#, OF upper-plus-assoc upper-plus-commute])

lemma *upper-plus-left-absorb* [simp]: $xs +\# (xs +\# ys) = xs +\# ys$
by (simp only: upper-plus-assoc [symmetric] upper-plus-absorb)

Useful for *simp* add: *upper-plus-ac*

lemmas *upper-plus-ac* =
upper-plus-assoc upper-plus-commute upper-plus-left-commute

Useful for *simp* only: *upper-plus-aci*

lemmas *upper-plus-aci* =
upper-plus-ac upper-plus-absorb upper-plus-left-absorb

lemma *upper-plus-less1*: $xs +\# ys \sqsubseteq xs$
apply (induct xs ys rule: upper-pd.principal-induct2, simp, simp)
apply (simp add: PDPlus-upper-less)

done

lemma *upper-plus-less2*: $xs +\# ys \sqsubseteq ys$
by (*subst upper-plus-commute*, *rule upper-plus-less1*)

lemma *upper-plus-greatest*: $\llbracket xs \sqsubseteq ys; xs \sqsubseteq zs \rrbracket \implies xs \sqsubseteq ys +\# zs$
apply (*subst upper-plus-absorb [of xs, symmetric]*)
apply (*erule (1) monofun-cfun [OF monofun-cfun-arg]*)
done

lemma *upper-less-plus-iff*:
 $xs \sqsubseteq ys +\# zs \longleftrightarrow xs \sqsubseteq ys \wedge xs \sqsubseteq zs$
apply *safe*
apply (*erule trans-less [OF - upper-plus-less1]*)
apply (*erule trans-less [OF - upper-plus-less2]*)
apply (*erule (1) upper-plus-greatest*)
done

lemma *upper-plus-less-unit-iff*:
 $xs +\# ys \sqsubseteq \{z\}\# \longleftrightarrow xs \sqsubseteq \{z\}\# \vee ys \sqsubseteq \{z\}\#$
apply (*rule iffI*)
apply (*subgoal-tac*
 $\text{adm } (\lambda f. f \cdot xs \sqsubseteq f \cdot \{z\}\# \vee f \cdot ys \sqsubseteq f \cdot \{z\}\#)$
apply (*drule admD*, *rule chain-approx*)
apply (*drule-tac f=approx i in monofun-cfun-arg*)
apply (*cut-tac x=approx i.xs in upper-pd.compact-imp-principal, simp*)
apply (*cut-tac x=approx i.ys in upper-pd.compact-imp-principal, simp*)
apply (*cut-tac x=approx i.z in compact-basis.compact-imp-principal, simp*)
apply (*clarify, simp add: upper-le-PDPlus-PDUnit-iff*)
apply *simp*
apply *simp*
apply (*erule disjE*)
apply (*erule trans-less [OF upper-plus-less1]*)
apply (*erule trans-less [OF upper-plus-less2]*)
done

lemma *upper-unit-less-iff [simp]*: $\{x\}\# \sqsubseteq \{y\}\# \longleftrightarrow x \sqsubseteq y$
apply (*rule iffI*)
apply (*rule profinite-less-ext*)
apply (*drule-tac f=approx i in monofun-cfun-arg, simp*)
apply (*cut-tac x=approx i.x in compact-basis.compact-imp-principal, simp*)
apply (*cut-tac x=approx i.y in compact-basis.compact-imp-principal, simp*)
apply *clarsimp*
apply (*erule monofun-cfun-arg*)
done

lemmas *upper-pd-less-simps* =
upper-unit-less-iff
upper-less-plus-iff

upper-plus-less-unit-iff

lemma *upper-unit-eq-iff* [simp]: $\{x\}^\# = \{y\}^\# \longleftrightarrow x = y$
unfolding *po-eq-conv* **by** *simp*

lemma *upper-unit-strict* [simp]: $\{\perp\}^\# = \perp$
unfolding *inst-upper-pd-pcpo Rep-compact-bot [symmetric]* **by** *simp*

lemma *upper-plus-strict1* [simp]: $\perp +^\# ys = \perp$
by (*rule UU-I, rule upper-plus-less1*)

lemma *upper-plus-strict2* [simp]: $xs +^\# \perp = \perp$
by (*rule UU-I, rule upper-plus-less2*)

lemma *upper-unit-strict-iff* [simp]: $\{x\}^\# = \perp \longleftrightarrow x = \perp$
unfolding *upper-unit-strict [symmetric]* **by** (*rule upper-unit-eq-iff*)

lemma *upper-plus-strict-iff* [simp]:
 $xs +^\# ys = \perp \longleftrightarrow xs = \perp \vee ys = \perp$
apply (*rule iffI*)
apply (*erule rev-mp*)
apply (*rule upper-pd.principal-induct2 [where x=xs and y=ys], simp, simp*)
apply (*simp add: inst-upper-pd-pcpo upper-pd.principal-eq-iff*
upper-le-PDPlus-PDUnit-iff)
apply *auto*
done

lemma *compact-upper-unit-iff* [simp]: $\text{compact } \{x\}^\# \longleftrightarrow \text{compact } x$
unfolding *profinite-compact-iff* **by** *simp*

lemma *compact-upper-plus* [simp]:
 $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \Longrightarrow \text{compact } (xs +^\# ys)$
by (*auto dest!: upper-pd.compact-imp-principal*)

25.4 Induction rules

lemma *upper-pd-induct1*:
assumes *P: adm P*
assumes *unit*: $\bigwedge x. P \{x\}^\#$
assumes *insert*: $\bigwedge x ys. \llbracket P \{x\}^\#; P ys \rrbracket \Longrightarrow P (\{x\}^\# +^\# ys)$
shows $P (xs::'a \text{ upper-pd})$
apply (*induct xs rule: upper-pd.principal-induct, rule P*)
apply (*induct-tac a rule: pd-basis-induct1*)
apply (*simp only: upper-unit-Rep-compact-basis [symmetric]*)
apply (*rule unit*)
apply (*simp only: upper-unit-Rep-compact-basis [symmetric]*
upper-plus-principal [symmetric])
apply (*erule insert [OF unit]*)
done


```

lemma upper-pd-induct:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}^\#$ 
  assumes plus:  $\bigwedge xs \ ys. \llbracket P \ xs; \ P \ ys \rrbracket \implies P \ (xs \ +^\# \ ys)$ 
  shows  $P \ (xs :: 'a \ upper-pd)$ 
apply (induct xs rule: upper-pd.principal-induct, rule P)
apply (induct-tac a rule: pd-basis-induct)
apply (simp only: upper-unit-Rep-compact-basis [symmetric] unit)
apply (simp only: upper-plus-principal [symmetric] plus)
done

```

25.5 Monadic bind

definition

```

upper-bind-basis ::
  'a pd-basis  $\Rightarrow$  ('a  $\rightarrow$  'b upper-pd)  $\rightarrow$  'b upper-pd where
upper-bind-basis = fold-pd
  ( $\lambda a. \Lambda f. f \cdot (Rep-compact-basis \ a)$ )
  ( $\lambda x \ y. \Lambda f. x \cdot f \ +^\# \ y \cdot f$ )

```

lemma *ACI-upper-bind*:

```

  ab-semigroup-idem-mult ( $\lambda x \ y. \Lambda f. x \cdot f \ +^\# \ y \cdot f$ )
apply unfold-locales
apply (simp add: upper-plus-assoc)
apply (simp add: upper-plus-commute)
apply (simp add: eta-cfun)
done

```

lemma *upper-bind-basis-simps* [*simp*]:

```

  upper-bind-basis (PDUnit a) =
    ( $\Lambda f. f \cdot (Rep-compact-basis \ a)$ )
  upper-bind-basis (PDPlus t u) =
    ( $\Lambda f. upper-bind-basis \ t \cdot f \ +^\# \ upper-bind-basis \ u \cdot f$ )
unfolding upper-bind-basis-def
apply –
apply (rule fold-pd-PDUnit [OF ACI-upper-bind])
apply (rule fold-pd-PDPlus [OF ACI-upper-bind])
done

```

lemma *upper-bind-basis-mono*:

```

   $t \leq^\# u \implies upper-bind-basis \ t \sqsubseteq upper-bind-basis \ u$ 
unfolding expand-cfun-less
apply (erule upper-le-induct, safe)
apply (simp add: monofun-cfun)
apply (simp add: trans-less [OF upper-plus-less1])
apply (simp add: upper-less-plus-iff)
done

```

definition

$upper-bind :: 'a \text{ upper-pd} \rightarrow ('a \rightarrow 'b \text{ upper-pd}) \rightarrow 'b \text{ upper-pd}$ **where**
 $upper-bind = upper-pd.basis-fun \text{ upper-bind-basis}$

lemma $upper-bind-principal$ [simp]:

$upper-bind.(upper-principal \ t) = upper-bind-basis \ t$

unfolding $upper-bind-def$

apply (rule $upper-pd.basis-fun-principal$)

apply (erule $upper-bind-basis-mono$)

done

lemma $upper-bind-unit$ [simp]:

$upper-bind.\{x\}\sharp.f = f.x$

by (induct x rule: $compact-basis.principal-induct$, simp, simp)

lemma $upper-bind-plus$ [simp]:

$upper-bind.(xs +\sharp ys).f = upper-bind.xs.f +\sharp upper-bind.ys.f$

by (induct $xs \ ys$ rule: $upper-pd.principal-induct2$, simp, simp, simp)

lemma $upper-bind-strict$ [simp]: $upper-bind.\perp.f = f.\perp$

unfolding $upper-unit-strict$ [symmetric] **by** (rule $upper-bind-unit$)

25.6 Map and join

definition

$upper-map :: ('a \rightarrow 'b) \rightarrow 'a \text{ upper-pd} \rightarrow 'b \text{ upper-pd}$ **where**
 $upper-map = (\Lambda \ f \ xs. \ upper-bind.xs.(\Lambda \ x. \ \{f.x\}\sharp))$

definition

$upper-join :: 'a \text{ upper-pd} \text{ upper-pd} \rightarrow 'a \text{ upper-pd}$ **where**
 $upper-join = (\Lambda \ xss. \ upper-bind.xss.(\Lambda \ xs. \ xs))$

lemma $upper-map-unit$ [simp]:

$upper-map.f.\{x\}\sharp = \{f.x\}\sharp$

unfolding $upper-map-def$ **by** simp

lemma $upper-map-plus$ [simp]:

$upper-map.f.(xs +\sharp ys) = upper-map.f.xs +\sharp upper-map.f.ys$

unfolding $upper-map-def$ **by** simp

lemma $upper-join-unit$ [simp]:

$upper-join.\{xs\}\sharp = xs$

unfolding $upper-join-def$ **by** simp

lemma $upper-join-plus$ [simp]:

$upper-join.(xss +\sharp yss) = upper-join.xss +\sharp upper-join.yss$

unfolding $upper-join-def$ **by** simp

lemma $upper-map-ident$: $upper-map.(\Lambda \ x. \ x).xs = xs$

by (induct *xs* rule: upper-pd-induct, simp-all)

lemma upper-map-map:

$upper-map.f \cdot (upper-map.g \cdot xs) = upper-map.(\Lambda x. f \cdot (g \cdot x)) \cdot xs$

by (induct *xs* rule: upper-pd-induct, simp-all)

lemma upper-join-map-unit:

$upper-join \cdot (upper-map.upper-unit \cdot xs) = xs$

by (induct *xs* rule: upper-pd-induct, simp-all)

lemma upper-join-map-join:

$upper-join \cdot (upper-map.upper-join \cdot xsss) = upper-join \cdot (upper-join \cdot xsss)$

by (induct *xsss* rule: upper-pd-induct, simp-all)

lemma upper-join-map-map:

$upper-join \cdot (upper-map \cdot (upper-map.f) \cdot xss) =$

$upper-map.f \cdot (upper-join \cdot xss)$

by (induct *xss* rule: upper-pd-induct, simp-all)

lemma upper-map-approx: $upper-map.(approx\ n) \cdot xs = approx\ n \cdot xs$

by (induct *xs* rule: upper-pd-induct, simp-all)

end

26 LowerPD: Lower powerdomain

theory LowerPD

imports CompactBasis

begin

26.1 Basis preorder

definition

$lower-le :: 'a\ pd-basis \Rightarrow 'a\ pd-basis \Rightarrow bool$ (**infix** \leq_b 50) **where**

$lower-le = (\lambda u\ v. \forall x \in Rep-pd-basis\ u. \exists y \in Rep-pd-basis\ v. x \sqsubseteq y)$

lemma lower-le-refl [simp]: $t \leq_b t$

unfolding lower-le-def **by** fast

lemma lower-le-trans: $\llbracket t \leq_b u; u \leq_b v \rrbracket \Longrightarrow t \leq_b v$

unfolding lower-le-def

apply (rule ballI)

apply (drule (1) bspec, erule bexE)

apply (drule (1) bspec, erule bexE)

apply (erule rev-bexI)

apply (erule (1) trans-less)

done

interpretation *lower-le*: *preorder lower-le*
by (*rule preorder.intro*, *rule lower-le-refl*, *rule lower-le-trans*)

lemma *lower-le-minimal* [*simp*]: *PDUnit compact-bot* \leq_b *t*
unfolding *lower-le-def Rep-PDUnit*
by (*simp*, *rule Rep-pd-basis-nonempty* [*folded ex-in-conv*])

lemma *PDUnit-lower-mono*: $x \sqsubseteq y \implies PDUnit\ x \leq_b PDUnit\ y$
unfolding *lower-le-def Rep-PDUnit* **by** *fast*

lemma *PDPlus-lower-mono*: $\llbracket s \leq_b t; u \leq_b v \rrbracket \implies PDPlus\ s\ u \leq_b PDPlus\ t\ v$
unfolding *lower-le-def Rep-PDPlus* **by** *fast*

lemma *PDPlus-lower-less*: $t \leq_b PDPlus\ t\ u$
unfolding *lower-le-def Rep-PDPlus* **by** *fast*

lemma *lower-le-PDUnit-PDUnit-iff* [*simp*]:
 $(PDUnit\ a \leq_b PDUnit\ b) = a \sqsubseteq b$
unfolding *lower-le-def Rep-PDUnit* **by** *fast*

lemma *lower-le-PDUnit-PDPlus-iff*:
 $(PDUnit\ a \leq_b PDPlus\ t\ u) = (PDUnit\ a \leq_b t \vee PDUnit\ a \leq_b u)$
unfolding *lower-le-def Rep-PDPlus Rep-PDUnit* **by** *fast*

lemma *lower-le-PDPlus-iff*: $(PDPlus\ t\ u \leq_b v) = (t \leq_b v \wedge u \leq_b v)$
unfolding *lower-le-def Rep-PDPlus* **by** *fast*

lemma *lower-le-induct* [*induct set: lower-le*]:
assumes *le*: $t \leq_b u$
assumes 1: $\bigwedge a\ b. a \sqsubseteq b \implies P\ (PDUnit\ a)\ (PDUnit\ b)$
assumes 2: $\bigwedge t\ u\ a. P\ (PDUnit\ a)\ t \implies P\ (PDUnit\ a)\ (PDPlus\ t\ u)$
assumes 3: $\bigwedge t\ u\ v. \llbracket P\ t\ v; P\ u\ v \rrbracket \implies P\ (PDPlus\ t\ u)\ v$
shows $P\ t\ u$
using *le*
apply (*induct t arbitrary: u rule: pd-basis-induct*)
apply (*erule rev-mp*)
apply (*induct-tac u rule: pd-basis-induct*)
apply (*simp add: 1*)
apply (*simp add: lower-le-PDUnit-PDPlus-iff*)
apply (*simp add: 2*)
apply (*subst PDPlus-commute*)
apply (*simp add: 2*)
apply (*simp add: lower-le-PDPlus-iff 3*)
done

lemma *pd-take-lower-chain*:
 $pd\ take\ n\ t \leq_b pd\ take\ (Suc\ n)\ t$
apply (*induct t rule: pd-basis-induct*)
apply (*simp add: compact-basis.take-chain*)

apply (*simp add: PDPlus-lower-mono*)
done

lemma *pd-take-lower-le*: *pd-take i t ≤_b t*
apply (*induct t rule: pd-basis-induct*)
apply (*simp add: compact-basis.take-less*)
apply (*simp add: PDPlus-lower-mono*)
done

lemma *pd-take-lower-mono*:
 $t \leq_b u \implies \text{pd-take } n \ t \leq_b \text{pd-take } n \ u$
apply (*erule lower-le-induct*)
apply (*simp add: compact-basis.take-mono*)
apply (*simp add: lower-le-PDUnit-PDPlus-iff*)
apply (*simp add: lower-le-PDPlus-iff*)
done

26.2 Type definition

typedef (**open**) *'a lower-pd* =
 {*S::'a pd-basis set. lower-le.ideal S*}
by (*fast intro: lower-le.ideal-principal*)

instantiation *lower-pd* :: (*profinite*) *sq-ord*
begin

definition
 $x \sqsubseteq y \longleftrightarrow \text{Rep-lower-pd } x \subseteq \text{Rep-lower-pd } y$

instance ..
end

instance *lower-pd* :: (*profinite*) *po*
by (*rule lower-le.typedef-ideal-po*
 [*OF type-definition-lower-pd sq-le-lower-pd-def*])

instance *lower-pd* :: (*profinite*) *cpo*
by (*rule lower-le.typedef-ideal-cpo*
 [*OF type-definition-lower-pd sq-le-lower-pd-def*])

lemma *Rep-lower-pd-lub*:
 $\text{chain } Y \implies \text{Rep-lower-pd } (\bigsqcup i. Y \ i) = (\bigcup i. \text{Rep-lower-pd } (Y \ i))$
by (*rule lower-le.typedef-ideal-rep-contlub*
 [*OF type-definition-lower-pd sq-le-lower-pd-def*])

lemma *ideal-Rep-lower-pd*: *lower-le.ideal (Rep-lower-pd xs)*
by (*rule Rep-lower-pd [unfolded mem-Collect-eq]*)

definition

lower-principal :: 'a pd-basis \Rightarrow 'a lower-pd **where**
lower-principal t = Abs-lower-pd {u. u \leq_b t}

lemma Rep-lower-principal:

Rep-lower-pd (lower-principal t) = {u. u \leq_b t}

unfolding lower-principal-def

by (simp add: Abs-lower-pd-inverse lower-le.ideal-principal)

interpretation lower-pd:

ideal-completion lower-le pd-take lower-principal Rep-lower-pd

apply unfold-locales

apply (rule pd-take-lower-le)

apply (rule pd-take-idem)

apply (erule pd-take-lower-mono)

apply (rule pd-take-lower-chain)

apply (rule finite-range-pd-take)

apply (rule pd-take-covers)

apply (rule ideal-Rep-lower-pd)

apply (erule Rep-lower-pd-lub)

apply (rule Rep-lower-principal)

apply (simp only: sq-le-lower-pd-def)

done

Lower powerdomain is pointed

lemma lower-pd-minimal: lower-principal (PDUnit compact-bot) \sqsubseteq ys

by (induct ys rule: lower-pd.principal-induct, simp, simp)

instance lower-pd :: (bifinite) pcpo

by intro-classes (fast intro: lower-pd-minimal)

lemma inst-lower-pd-pcpo: \perp = lower-principal (PDUnit compact-bot)

by (rule lower-pd-minimal [THEN UU-I, symmetric])

Lower powerdomain is profinite

instantiation lower-pd :: (profinite) profinite

begin

definition

approx-lower-pd-def: approx = lower-pd.completion-approx

instance

apply (intro-classes, unfold approx-lower-pd-def)

apply (rule lower-pd.chain-completion-approx)

apply (rule lower-pd.lub-completion-approx)

apply (rule lower-pd.completion-approx-idem)

apply (rule lower-pd.finite-fixes-completion-approx)

done

end

instance *lower-pd* :: (*bifinite*) *bifinite* ..

lemma *approx-lower-principal* [*simp*]:
 $\text{approx } n \cdot (\text{lower-principal } t) = \text{lower-principal } (\text{pd-take } n \ t)$
unfolding *approx-lower-pd-def*
by (rule *lower-pd.completion-approx-principal*)

lemma *approx-eq-lower-principal*:
 $\exists t \in \text{Rep-lower-pd } xs. \text{approx } n \cdot xs = \text{lower-principal } (\text{pd-take } n \ t)$
unfolding *approx-lower-pd-def*
by (rule *lower-pd.completion-approx-eq-principal*)

26.3 Monadic unit and plus

definition

lower-unit :: 'a \rightarrow 'a *lower-pd* **where**
lower-unit = *compact-basis.basis-fun* ($\lambda a. \text{lower-principal } (\text{PDUnit } a)$)

definition

lower-plus :: 'a *lower-pd* \rightarrow 'a *lower-pd* \rightarrow 'a *lower-pd* **where**
lower-plus = *lower-pd.basis-fun* ($\lambda t. \text{lower-pd.basis-fun } (\lambda u. \text{lower-principal } (\text{PDPlus } t \ u))$)

abbreviation

lower-add :: 'a *lower-pd* \Rightarrow 'a *lower-pd* \Rightarrow 'a *lower-pd*
(infixl +b 65) **where**
 $xs \ +b \ ys == \text{lower-plus} \cdot xs \cdot ys$

syntax

-lower-pd :: args \Rightarrow 'a *lower-pd* ({-}b)

translations

$\{x, xs\}b == \{x\}b \ +b \ \{xs\}b$
 $\{x\}b == \text{CONST } \text{lower-unit} \cdot x$

lemma *lower-unit-Rep-compact-basis* [*simp*]:
 $\{\text{Rep-compact-basis } a\}b = \text{lower-principal } (\text{PDUnit } a)$
unfolding *lower-unit-def*
by (*simp add: compact-basis.basis-fun-principal PDUnit-lower-mono*)

lemma *lower-plus-principal* [*simp*]:
 $\text{lower-principal } t \ +b \ \text{lower-principal } u = \text{lower-principal } (\text{PDPlus } t \ u)$
unfolding *lower-plus-def*
by (*simp add: lower-pd.basis-fun-principal lower-pd.basis-fun-mono PDPlus-lower-mono*)

lemma *approx-lower-unit* [*simp*]:
 $\text{approx } n \cdot \{x\}b = \{\text{approx } n \cdot x\}b$

```

apply (induct  $x$  rule: compact-basis.principal-induct, simp)
apply (simp add: approx-Rep-compact-basis)
done

```

```

lemma approx-lower-plus [simp]:
  approx  $n \cdot (xs +\flat ys)$  = (approx  $n \cdot xs$ ) +\flat (approx  $n \cdot ys$ )
by (induct  $xs$   $ys$  rule: lower-pd.principal-induct2, simp, simp, simp)

```

```

lemma lower-plus-assoc:  $(xs +\flat ys) +\flat zs = xs +\flat (ys +\flat zs)$ 
apply (induct  $xs$   $ys$  arbitrary:  $zs$  rule: lower-pd.principal-induct2, simp, simp)
apply (rule-tac  $x=zs$  in lower-pd.principal-induct, simp)
apply (simp add: PDPlus-assoc)
done

```

```

lemma lower-plus-commute:  $xs +\flat ys = ys +\flat xs$ 
apply (induct  $xs$   $ys$  rule: lower-pd.principal-induct2, simp, simp)
apply (simp add: PDPlus-commute)
done

```

```

lemma lower-plus-absorb [simp]:  $xs +\flat xs = xs$ 
apply (induct  $xs$  rule: lower-pd.principal-induct, simp)
apply (simp add: PDPlus-absorb)
done

```

```

lemma lower-plus-left-commute:  $xs +\flat (ys +\flat zs) = ys +\flat (xs +\flat zs)$ 
by (rule mk-left-commute [of  $op +\flat$ , OF lower-plus-assoc lower-plus-commute])

```

```

lemma lower-plus-left-absorb [simp]:  $xs +\flat (xs +\flat ys) = xs +\flat ys$ 
by (simp only: lower-plus-assoc [symmetric] lower-plus-absorb)

```

Useful for *simp add*: lower-plus-ac

```

lemmas lower-plus-ac =
  lower-plus-assoc lower-plus-commute lower-plus-left-commute

```

Useful for *simp only*: lower-plus-aci

```

lemmas lower-plus-aci =
  lower-plus-ac lower-plus-absorb lower-plus-left-absorb

```

```

lemma lower-plus-less1:  $xs \sqsubseteq xs +\flat ys$ 
apply (induct  $xs$   $ys$  rule: lower-pd.principal-induct2, simp, simp)
apply (simp add: PDPlus-lower-less)
done

```

```

lemma lower-plus-less2:  $ys \sqsubseteq xs +\flat ys$ 
by (subst lower-plus-commute, rule lower-plus-less1)

```

```

lemma lower-plus-least:  $\llbracket xs \sqsubseteq zs; ys \sqsubseteq zs \rrbracket \implies xs +\flat ys \sqsubseteq zs$ 
apply (subst lower-plus-absorb [of  $zs$ , symmetric])
apply (erule (1) monofun-cfun [OF monofun-cfun-arg])

```


done

lemma *lower-plus-less-iff*:

$$xs +\flat ys \sqsubseteq zs \iff xs \sqsubseteq zs \wedge ys \sqsubseteq zs$$

apply *safe*

apply (*erule trans-less* [*OF lower-plus-less1*])

apply (*erule trans-less* [*OF lower-plus-less2*])

apply (*erule* (*1*) *lower-plus-least*)

done

lemma *lower-unit-less-plus-iff*:

$$\{x\}\flat \sqsubseteq ys +\flat zs \iff \{x\}\flat \sqsubseteq ys \vee \{x\}\flat \sqsubseteq zs$$

apply (*rule iffI*)

apply (*subgoal-tac*

$$adm (\lambda f. f \cdot \{x\}\flat \sqsubseteq f \cdot ys \vee f \cdot \{x\}\flat \sqsubseteq f \cdot zs))$$

apply (*drule admD*, *rule chain-approx*)

apply (*drule-tac* *f=approx i* **in** *monofun-cfun-arg*)

apply (*cut-tac* *x=approx i.x* **in** *compact-basis.compact-imp-principal*, *simp*)

apply (*cut-tac* *x=approx i.y* **in** *lower-pd.compact-imp-principal*, *simp*)

apply (*cut-tac* *x=approx i.zs* **in** *lower-pd.compact-imp-principal*, *simp*)

apply (*clarify*, *simp add: lower-le-PDUnit-PDPlus-iff*)

apply *simp*

apply *simp*

apply (*erule disjE*)

apply (*erule trans-less* [*OF - lower-plus-less1*])

apply (*erule trans-less* [*OF - lower-plus-less2*])

done

lemma *lower-unit-less-iff* [*simp*]: $\{x\}\flat \sqsubseteq \{y\}\flat \iff x \sqsubseteq y$

apply (*rule iffI*)

apply (*rule profinite-less-ext*)

apply (*drule-tac* *f=approx i* **in** *monofun-cfun-arg*, *simp*)

apply (*cut-tac* *x=approx i.x* **in** *compact-basis.compact-imp-principal*, *simp*)

apply (*cut-tac* *x=approx i.y* **in** *compact-basis.compact-imp-principal*, *simp*)

apply *clarsimp*

apply (*erule monofun-cfun-arg*)

done

lemmas *lower-pd-less-simps* =

lower-unit-less-iff

lower-plus-less-iff

lower-unit-less-plus-iff

lemma *lower-unit-eq-iff* [*simp*]: $\{x\}\flat = \{y\}\flat \iff x = y$

by (*simp add: po-eq-conv*)

lemma *lower-unit-strict* [*simp*]: $\{\perp\}\flat = \perp$

unfolding *inst-lower-pd-pcpo Rep-compact-bot* [*symmetric*] **by** *simp*

lemma *lower-unit-strict-iff* [simp]: $\{x\}^\flat = \perp \longleftrightarrow x = \perp$
unfolding *lower-unit-strict* [symmetric] **by** (rule *lower-unit-eq-iff*)

lemma *lower-plus-strict-iff* [simp]:
 $xs +^\flat ys = \perp \longleftrightarrow xs = \perp \wedge ys = \perp$
apply *safe*
apply (rule *UU-I*, erule *subst*, rule *lower-plus-less1*)
apply (rule *UU-I*, erule *subst*, rule *lower-plus-less2*)
apply (rule *lower-plus-absorb*)
done

lemma *lower-plus-strict1* [simp]: $\perp +^\flat ys = ys$
apply (rule *antisym-less* [*OF* - *lower-plus-less2*])
apply (simp add: *lower-plus-least*)
done

lemma *lower-plus-strict2* [simp]: $xs +^\flat \perp = xs$
apply (rule *antisym-less* [*OF* - *lower-plus-less1*])
apply (simp add: *lower-plus-least*)
done

lemma *compact-lower-unit-iff* [simp]: $\text{compact } \{x\}^\flat \longleftrightarrow \text{compact } x$
unfolding *profinite-compact-iff* **by** *simp*

lemma *compact-lower-plus* [simp]:
 $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \Longrightarrow \text{compact } (xs +^\flat ys)$
by (auto dest!: *lower-pd.compact-imp-principal*)

26.4 Induction rules

lemma *lower-pd-induct1*:
assumes *P*: *adm P*
assumes *unit*: $\bigwedge x. P \{x\}^\flat$
assumes *insert*:
 $\bigwedge x \text{ } ys. \llbracket P \{x\}^\flat; P \text{ } ys \rrbracket \Longrightarrow P (\{x\}^\flat +^\flat ys)$
shows *P* (*xs*:*'a lower-pd*)
apply (induct *xs* rule: *lower-pd.principal-induct*, rule *P*)
apply (induct-tac *a* rule: *pd-basis-induct1*)
apply (simp only: *lower-unit-Rep-compact-basis* [symmetric])
apply (rule *unit*)
apply (simp only: *lower-unit-Rep-compact-basis* [symmetric]
lower-plus-principal [symmetric])
apply (erule *insert* [*OF unit*])
done

lemma *lower-pd-induct*:
assumes *P*: *adm P*
assumes *unit*: $\bigwedge x. P \{x\}^\flat$
assumes *plus*: $\bigwedge xs \text{ } ys. \llbracket P \text{ } xs; P \text{ } ys \rrbracket \Longrightarrow P (xs +^\flat ys)$

```

shows  $P$  ( $xs :: 'a$  lower-pd)
apply (induct  $xs$  rule: lower-pd.principal-induct, rule  $P$ )
apply (induct-tac  $a$  rule: pd-basis-induct)
apply (simp only: lower-unit-Rep-compact-basis [symmetric] unit)
apply (simp only: lower-plus-principal [symmetric] plus)
done

```

26.5 Monadic bind

definition

```

lower-bind-basis ::
  'a pd-basis  $\Rightarrow$  ('a  $\rightarrow$  'b lower-pd)  $\rightarrow$  'b lower-pd where
lower-bind-basis = fold-pd
  ( $\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
  ( $\lambda x y. \Lambda f. x \cdot f +\flat y \cdot f$ )

```

lemma ACI-lower-bind:

```

  ab-semigroup-idem-mult ( $\lambda x y. \Lambda f. x \cdot f +\flat y \cdot f$ )
apply unfold-locales
apply (simp add: lower-plus-assoc)
apply (simp add: lower-plus-commute)
apply (simp add: eta-cfun)
done

```

lemma lower-bind-basis-simps [simp]:

```

  lower-bind-basis (PDUnit  $a$ ) =
    ( $\Lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
  lower-bind-basis (PDPlus  $t u$ ) =
    ( $\Lambda f. \text{lower-bind-basis } t \cdot f +\flat \text{lower-bind-basis } u \cdot f$ )
unfolding lower-bind-basis-def
apply –
apply (rule fold-pd-PDUnit [OF ACI-lower-bind])
apply (rule fold-pd-PDPlus [OF ACI-lower-bind])
done

```

lemma lower-bind-basis-mono:

```

   $t \leq\flat u \implies \text{lower-bind-basis } t \sqsubseteq \text{lower-bind-basis } u$ 
unfolding expand-cfun-less
apply (erule lower-le-induct, safe)
apply (simp add: monofun-cfun)
apply (simp add: rev-trans-less [OF lower-plus-less1])
apply (simp add: lower-plus-less-iff)
done

```

definition

```

lower-bind :: 'a lower-pd  $\rightarrow$  ('a  $\rightarrow$  'b lower-pd)  $\rightarrow$  'b lower-pd where
lower-bind = lower-pd.basis-fun lower-bind-basis

```

lemma lower-bind-principal [simp]:

$lower_bind.(lower_principal\ t) = lower_bind_basis\ t$
unfolding *lower-bind-def*
apply (rule *lower-pd.basis-fun-principal*)
apply (erule *lower-bind-basis-mono*)
done

lemma *lower-bind-unit* [*simp*]:
 $lower_bind.\{x\}b.f = f.x$
by (induct *x* rule: *compact-basis.principal-induct*, *simp*, *simp*)

lemma *lower-bind-plus* [*simp*]:
 $lower_bind.(xs +b\ ys).f = lower_bind.xs.f +b\ lower_bind.ys.f$
by (induct *xs* *ys* rule: *lower-pd.principal-induct2*, *simp*, *simp*, *simp*)

lemma *lower-bind-strict* [*simp*]: $lower_bind.\perp.f = f.\perp$
unfolding *lower-unit-strict* [*symmetric*] **by** (rule *lower-bind-unit*)

26.6 Map and join

definition
 $lower_map :: ('a \rightarrow 'b) \rightarrow 'a\ lower_pd \rightarrow 'b\ lower_pd$ **where**
 $lower_map = (\Lambda\ f\ xs.\ lower_bind.xs.(\Lambda\ x.\ \{f.x\}b))$

definition
 $lower_join :: 'a\ lower_pd\ lower_pd \rightarrow 'a\ lower_pd$ **where**
 $lower_join = (\Lambda\ xss.\ lower_bind.xss.(\Lambda\ xs.\ xs))$

lemma *lower-map-unit* [*simp*]:
 $lower_map.f.\{x\}b = \{f.x\}b$
unfolding *lower-map-def* **by** *simp*

lemma *lower-map-plus* [*simp*]:
 $lower_map.f.(xs +b\ ys) = lower_map.f.xs +b\ lower_map.f.ys$
unfolding *lower-map-def* **by** *simp*

lemma *lower-join-unit* [*simp*]:
 $lower_join.\{xs\}b = xs$
unfolding *lower-join-def* **by** *simp*

lemma *lower-join-plus* [*simp*]:
 $lower_join.(xss +b\ yss) = lower_join.xss +b\ lower_join.yss$
unfolding *lower-join-def* **by** *simp*

lemma *lower-map-ident*: $lower_map.(\Lambda\ x.\ x).xs = xs$
by (induct *xs* rule: *lower-pd-induct*, *simp-all*)

lemma *lower-map-map*:
 $lower_map.f.(lower_map.g.xs) = lower_map.(\Lambda\ x.\ f.(g.x)).xs$
by (induct *xs* rule: *lower-pd-induct*, *simp-all*)

lemma *lower-join-map-unit*:

lower-join·(*lower-map*·*lower-unit*·*xs*) = *xs*

by (*induct xs* rule: *lower-pd-induct*, *simp-all*)

lemma *lower-join-map-join*:

lower-join·(*lower-map*·*lower-join*·*xsss*) = *lower-join*·(*lower-join*·*xsss*)

by (*induct xsss* rule: *lower-pd-induct*, *simp-all*)

lemma *lower-join-map-map*:

lower-join·(*lower-map*·(*lower-map*·*f*)·*xss*) =

lower-map·*f*·(*lower-join*·*xss*)

by (*induct xss* rule: *lower-pd-induct*, *simp-all*)

lemma *lower-map-approx*: *lower-map*·(*approx n*)·*xs* = *approx n*·*xs*

by (*induct xs* rule: *lower-pd-induct*, *simp-all*)

end

27 ConvexPD: Convex powerdomain

theory *ConvexPD*

imports *UpperPD LowerPD*

begin

27.1 Basis preorder

definition

convex-le :: 'a *pd-basis* \Rightarrow 'a *pd-basis* \Rightarrow bool (**infix** $\leq_{\mathfrak{h}}$ 50) **where**

convex-le = ($\lambda u v. u \leq_{\mathfrak{h}} v \wedge u \leq_{\mathfrak{b}} v$)

lemma *convex-le-refl* [*simp*]: $t \leq_{\mathfrak{h}} t$

unfolding *convex-le-def* **by** (*fast intro*: *upper-le-refl lower-le-refl*)

lemma *convex-le-trans*: $\llbracket t \leq_{\mathfrak{h}} u; u \leq_{\mathfrak{h}} v \rrbracket \Longrightarrow t \leq_{\mathfrak{h}} v$

unfolding *convex-le-def* **by** (*fast intro*: *upper-le-trans lower-le-trans*)

interpretation *convex-le*: *preorder convex-le*

by (*rule preorder.intro*, *rule convex-le-refl*, *rule convex-le-trans*)

lemma *upper-le-minimal* [*simp*]: *PDUnit compact-bot* $\leq_{\mathfrak{h}} t$

unfolding *convex-le-def Rep-PDUnit* **by** *simp*

lemma *PDUnit-convex-mono*: $x \sqsubseteq y \Longrightarrow \text{PDUnit } x \leq_{\mathfrak{h}} \text{PDUnit } y$

unfolding *convex-le-def* **by** (*fast intro*: *PDUnit-upper-mono PDUnit-lower-mono*)

lemma *PDPlus-convex-mono*: $\llbracket s \leq_{\mathfrak{h}} t; u \leq_{\mathfrak{h}} v \rrbracket \Longrightarrow \text{PDPlus } s \ u \leq_{\mathfrak{h}} \text{PDPlus } t \ v$

unfolding *convex-le-def* **by** (*fast intro*: *PDPlus-upper-mono PDPlus-lower-mono*)

lemma *convex-le-PDUnit-PDUnit-iff* [simp]:

$$(PDUnit\ a \leq_{\mathfrak{h}} PDUnit\ b) = a \sqsubseteq b$$

unfolding *convex-le-def upper-le-def lower-le-def Rep-PDUnit* **by** *fast*

lemma *convex-le-PDUnit-lemma1*:

$$(PDUnit\ a \leq_{\mathfrak{h}} t) = (\forall b \in Rep\text{-}pd\text{-}basis\ t. a \sqsubseteq b)$$

unfolding *convex-le-def upper-le-def lower-le-def Rep-PDUnit*

using *Rep-pd-basis-nonempty* [of *t*, folded *ex-in-conv*] **by** *fast*

lemma *convex-le-PDUnit-PDPlus-iff* [simp]:

$$(PDUnit\ a \leq_{\mathfrak{h}} PDPlus\ t\ u) = (PDUnit\ a \leq_{\mathfrak{h}} t \wedge PDUnit\ a \leq_{\mathfrak{h}} u)$$

unfolding *convex-le-PDUnit-lemma1 Rep-PDPlus* **by** *fast*

lemma *convex-le-PDUnit-lemma2*:

$$(t \leq_{\mathfrak{h}} PDUnit\ b) = (\forall a \in Rep\text{-}pd\text{-}basis\ t. a \sqsubseteq b)$$

unfolding *convex-le-def upper-le-def lower-le-def Rep-PDUnit*

using *Rep-pd-basis-nonempty* [of *t*, folded *ex-in-conv*] **by** *fast*

lemma *convex-le-PDPlus-PDUnit-iff* [simp]:

$$(PDPlus\ t\ u \leq_{\mathfrak{h}} PDUnit\ a) = (t \leq_{\mathfrak{h}} PDUnit\ a \wedge u \leq_{\mathfrak{h}} PDUnit\ a)$$

unfolding *convex-le-PDUnit-lemma2 Rep-PDPlus* **by** *fast*

lemma *convex-le-PDPlus-lemma*:

assumes *z*: *PDPlus t u ≤_h z*

shows $\exists v\ w. z = PDPlus\ v\ w \wedge t \leq_{\mathfrak{h}} v \wedge u \leq_{\mathfrak{h}} w$

proof (*intro exI conjI*)

let *?A* = $\{b \in Rep\text{-}pd\text{-}basis\ z. \exists a \in Rep\text{-}pd\text{-}basis\ t. a \sqsubseteq b\}$

let *?B* = $\{b \in Rep\text{-}pd\text{-}basis\ z. \exists a \in Rep\text{-}pd\text{-}basis\ u. a \sqsubseteq b\}$

let *?v* = *Abs-pd-basis ?A*

let *?w* = *Abs-pd-basis ?B*

have *Rep-v*: *Rep-pd-basis ?v = ?A*

apply (*rule Abs-pd-basis-inverse*)

apply (*rule Rep-pd-basis-nonempty* [of *t*, folded *ex-in-conv*, *THEN exE*])

apply (*cut-tac z, simp only: convex-le-def lower-le-def, clarify*)

apply (*drule-tac x=x in bspec, simp add: Rep-PDPlus, erule bexE*)

apply (*simp add: pd-basis-def*)

apply *fast*

done

have *Rep-w*: *Rep-pd-basis ?w = ?B*

apply (*rule Abs-pd-basis-inverse*)

apply (*rule Rep-pd-basis-nonempty* [of *u*, folded *ex-in-conv*, *THEN exE*])

apply (*cut-tac z, simp only: convex-le-def lower-le-def, clarify*)

apply (*drule-tac x=x in bspec, simp add: Rep-PDPlus, erule bexE*)

apply (*simp add: pd-basis-def*)

apply *fast*

done

show *z = PDPlus ?v ?w*

apply (*insert z*)

```

apply (simp add: convex-le-def, erule conjE)
apply (simp add: Rep-pd-basis-inject [symmetric] Rep-PDPlus)
apply (simp add: Rep-v Rep-w)
apply (rule equalityI)
apply (rule subsetI)
apply (simp only: upper-le-def)
apply (drule (1) bspec, erule bexE)
apply (simp add: Rep-PDPlus)
apply fast
apply fast
done
show  $t \leq_{\mathfrak{h}} ?v \ u \leq_{\mathfrak{h}} ?w$ 
apply (insert z)
apply (simp-all add: convex-le-def upper-le-def lower-le-def Rep-PDPlus Rep-v
Rep-w)
apply fast+
done
qed

```

```

lemma convex-le-induct [induct set: convex-le]:
  assumes le:  $t \leq_{\mathfrak{h}} u$ 
  assumes 2:  $\bigwedge t \ u \ v. \llbracket P \ t \ u; P \ u \ v \rrbracket \implies P \ t \ v$ 
  assumes 3:  $\bigwedge a \ b. \ a \sqsubseteq b \implies P \ (PDUnit \ a) \ (PDUnit \ b)$ 
  assumes 4:  $\bigwedge t \ u \ v \ w. \llbracket P \ t \ v; P \ u \ w \rrbracket \implies P \ (PDPlus \ t \ u) \ (PDPlus \ v \ w)$ 
  shows  $P \ t \ u$ 
using le apply (induct t arbitrary: u rule: pd-basis-induct)
apply (erule rev-mp)
apply (induct-tac u rule: pd-basis-induct1)
apply (simp add: 3)
apply (simp, clarify, rename-tac a b t)
apply (subgoal-tac  $P \ (PDPlus \ (PDUnit \ a) \ (PDUnit \ a)) \ (PDPlus \ (PDUnit \ b) \ t)$ )
apply (simp add: PDPlus-absorb)
apply (erule (1) 4 [OF 3])
apply (drule convex-le-PDPlus-lemma, clarify)
apply (simp add: 4)
done

```

```

lemma pd-take-convex-chain:
   $pd\text{-}take \ n \ t \leq_{\mathfrak{h}} pd\text{-}take \ (Suc \ n) \ t$ 
apply (induct t rule: pd-basis-induct)
apply (simp add: compact-basis.take-chain)
apply (simp add: PDPlus-convex-mono)
done

```

```

lemma pd-take-convex-le:  $pd\text{-}take \ i \ t \leq_{\mathfrak{h}} t$ 
apply (induct t rule: pd-basis-induct)
apply (simp add: compact-basis.take-less)
apply (simp add: PDPlus-convex-mono)
done

```

```

lemma pd-take-convex-mono:
   $t \leq_{\mathfrak{h}} u \implies \text{pd-take } n \ t \leq_{\mathfrak{h}} \text{pd-take } n \ u$ 
apply (erule convex-le-induct)
apply (erule (1) convex-le-trans)
apply (simp add: compact-basis.take-mono)
apply (simp add: PDPlus-convex-mono)
done

```

27.2 Type definition

```

typedef (open) 'a convex-pd =
  {S::'a pd-basis set. convex-le.ideal S}
by (fast intro: convex-le.ideal-principal)

```

```

instantiation convex-pd :: (profinite) sq-ord
begin

```

```

definition
   $x \sqsubseteq y \iff \text{Rep-convex-pd } x \subseteq \text{Rep-convex-pd } y$ 

```

```

instance ..
end

```

```

instance convex-pd :: (profinite) po
by (rule convex-le.typedef-ideal-po
    [OF type-definition-convex-pd sq-le-convex-pd-def])

```

```

instance convex-pd :: (profinite) cpo
by (rule convex-le.typedef-ideal-cpo
    [OF type-definition-convex-pd sq-le-convex-pd-def])

```

```

lemma Rep-convex-pd-lub:
   $\text{chain } Y \implies \text{Rep-convex-pd } (\bigsqcup i. Y \ i) = (\bigsqcup i. \text{Rep-convex-pd } (Y \ i))$ 
by (rule convex-le.typedef-ideal-rep-contlub
    [OF type-definition-convex-pd sq-le-convex-pd-def])

```

```

lemma ideal-Rep-convex-pd: convex-le.ideal (Rep-convex-pd xs)
by (rule Rep-convex-pd [unfolded mem-Collect-eq])

```

```

definition
  convex-principal :: 'a pd-basis  $\Rightarrow$  'a convex-pd where
  convex-principal t = Abs-convex-pd {u. u  $\leq_{\mathfrak{h}}$  t}

```

```

lemma Rep-convex-principal:
   $\text{Rep-convex-pd } (\text{convex-principal } t) = \{u. u \leq_{\mathfrak{h}} t\}$ 
unfolding convex-principal-def
by (simp add: Abs-convex-pd-inverse convex-le.ideal-principal)

```



```

interpretation convex-pd:
  ideal-completion convex-le pd-take convex-principal Rep-convex-pd
apply unfold-locales
apply (rule pd-take-convex-le)
apply (rule pd-take-idem)
apply (erule pd-take-convex-mono)
apply (rule pd-take-convex-chain)
apply (rule finite-range-pd-take)
apply (rule pd-take-covers)
apply (rule ideal-Rep-convex-pd)
apply (erule Rep-convex-pd-lub)
apply (rule Rep-convex-principal)
apply (simp only: sq-le-convex-pd-def)
done

```

Convex powerdomain is pointed

```

lemma convex-pd-minimal: convex-principal (PDUUnit compact-bot)  $\sqsubseteq$  ys
by (induct ys rule: convex-pd.principal-induct, simp, simp)

```

```

instance convex-pd :: (bifinite) pcpo
by intro-classes (fast intro: convex-pd-minimal)

```

```

lemma inst-convex-pd-pcpo:  $\perp =$  convex-principal (PDUUnit compact-bot)
by (rule convex-pd-minimal [THEN UU-I, symmetric])

```

Convex powerdomain is profinite

```

instantiation convex-pd :: (profinite) profinite
begin

```

```

definition
  approx-convex-pd-def: approx = convex-pd.completion-approx

```

```

instance
apply (intro-classes, unfold approx-convex-pd-def)
apply (rule convex-pd.chain-completion-approx)
apply (rule convex-pd.lub-completion-approx)
apply (rule convex-pd.completion-approx-idem)
apply (rule convex-pd.finite-fixes-completion-approx)
done

```

```

end

```

```

instance convex-pd :: (bifinite) bifinite ..

```

```

lemma approx-convex-principal [simp]:
  approx n.(convex-principal t) = convex-principal (pd-take n t)
unfolding approx-convex-pd-def
by (rule convex-pd.completion-approx-principal)

```

lemma *approx-eq-convex-principal*:

$\exists t \in \text{Rep-convex-pd } xs. \text{ approx } n \cdot xs = \text{convex-principal } (\text{pd-take } n \ t)$

unfolding *approx-convex-pd-def*

by (*rule convex-pd.completion-approx-eq-principal*)

27.3 Monadic unit and plus

definition

convex-unit :: 'a \rightarrow 'a *convex-pd* **where**

convex-unit = *compact-basis.basis-fun* ($\lambda a. \text{convex-principal } (\text{PDUnit } a)$)

definition

convex-plus :: 'a *convex-pd* \rightarrow 'a *convex-pd* \rightarrow 'a *convex-pd* **where**

convex-plus = *convex-pd.basis-fun* ($\lambda t. \text{convex-pd.basis-fun } (\lambda u.$

convex-principal (*PDPlus* *t u*)))

abbreviation

convex-add :: 'a *convex-pd* \Rightarrow 'a *convex-pd* \Rightarrow 'a *convex-pd*

(**infixl** $+\mathfrak{h}$ 65) **where**

xs $+\mathfrak{h}$ *ys* == *convex-plus* *xs* *ys*

syntax

-convex-pd :: *args* \Rightarrow 'a *convex-pd* ($\{-\}\mathfrak{h}$)

translations

$\{x, xs\}\mathfrak{h} == \{x\}\mathfrak{h} +\mathfrak{h} \{xs\}\mathfrak{h}$

$\{x\}\mathfrak{h} == \text{CONST } \text{convex-unit} \cdot x$

lemma *convex-unit-Rep-compact-basis* [*simp*]:

$\{\text{Rep-compact-basis } a\}\mathfrak{h} = \text{convex-principal } (\text{PDUnit } a)$

unfolding *convex-unit-def*

by (*simp add: compact-basis.basis-fun-principal PDUnit-convex-mono*)

lemma *convex-plus-principal* [*simp*]:

$\text{convex-principal } t +\mathfrak{h} \text{convex-principal } u = \text{convex-principal } (\text{PDPlus } t \ u)$

unfolding *convex-plus-def*

by (*simp add: convex-pd.basis-fun-principal*

convex-pd.basis-fun-mono PDPlus-convex-mono)

lemma *approx-convex-unit* [*simp*]:

$\text{approx } n \cdot \{x\}\mathfrak{h} = \{\text{approx } n \cdot x\}\mathfrak{h}$

apply (*induct x rule: compact-basis.principal-induct, simp*)

apply (*simp add: approx-Rep-compact-basis*)

done

lemma *approx-convex-plus* [*simp*]:

$\text{approx } n \cdot (xs +\mathfrak{h} ys) = \text{approx } n \cdot xs +\mathfrak{h} \text{approx } n \cdot ys$

by (*induct xs ys rule: convex-pd.principal-induct2, simp, simp, simp*)

lemma *convex-plus-assoc*:

$$(xs +\dagger ys) +\dagger zs = xs +\dagger (ys +\dagger zs)$$

apply (*induct* *xs ys arbitrary: zs rule: convex-pd.principal-induct2, simp, simp*)

apply (*rule-tac* *x=zs in convex-pd.principal-induct, simp*)

apply (*simp add: PDPlus-assoc*)

done

lemma *convex-plus-commute*: $xs +\dagger ys = ys +\dagger xs$

apply (*induct xs ys rule: convex-pd.principal-induct2, simp, simp*)

apply (*simp add: PDPlus-commute*)

done

lemma *convex-plus-absorb [simp]*: $xs +\dagger xs = xs$

apply (*induct xs rule: convex-pd.principal-induct, simp*)

apply (*simp add: PDPlus-absorb*)

done

lemma *convex-plus-left-commute*: $xs +\dagger (ys +\dagger zs) = ys +\dagger (xs +\dagger zs)$

by (*rule mk-left-commute*

[*of op +\dagger, OF convex-plus-assoc convex-plus-commute*])

lemma *convex-plus-left-absorb [simp]*: $xs +\dagger (xs +\dagger ys) = xs +\dagger ys$

by (*simp only: convex-plus-assoc [symmetric] convex-plus-absorb*)

Useful for *simp add: convex-plus-ac*

lemmas *convex-plus-ac* =

convex-plus-assoc convex-plus-commute convex-plus-left-commute

Useful for *simp only: convex-plus-aci*

lemmas *convex-plus-aci* =

convex-plus-ac convex-plus-absorb convex-plus-left-absorb

lemma *convex-unit-less-plus-iff [simp]*:

$$\{x\} \dagger \sqsubseteq ys +\dagger zs \longleftrightarrow \{x\} \dagger \sqsubseteq ys \wedge \{x\} \dagger \sqsubseteq zs$$

apply (*rule iffI*)

apply (*subgoal-tac*

$$adm (\lambda f. f \cdot \{x\} \dagger \sqsubseteq f \cdot ys \wedge f \cdot \{x\} \dagger \sqsubseteq f \cdot zs))$$

apply (*drule admD, rule chain-approx*)

apply (*drule-tac f=approx i in monofun-cfun-arg*)

apply (*cut-tac x=approx i.x in compact-basis.compact-imp-principal, simp*)

apply (*cut-tac x=approx i.y in convex-pd.compact-imp-principal, simp*)

apply (*cut-tac x=approx i.zs in convex-pd.compact-imp-principal, simp*)

apply (*clarify, simp*)

apply *simp*

apply *simp*

apply (*erule conjE*)

apply (*subst convex-plus-absorb [of {x} \dagger, symmetric]*)

apply (*erule (1) monofun-cfun [OF monofun-cfun-arg]*)

done

```

lemma convex-plus-less-unit-iff [simp]:
   $xs +\sqcup ys \sqsubseteq \{z\} \iff xs \sqsubseteq \{z\} \wedge ys \sqsubseteq \{z\}$ 
apply (rule iffI)
apply (subgoal-tac
  adm ( $\lambda f. f \cdot xs \sqsubseteq f \cdot \{z\} \wedge f \cdot ys \sqsubseteq f \cdot \{z\}$ ))
apply (drule admD, rule chain-approx)
apply (drule-tac  $f = \text{approx } i$  in monofun-cfun-arg)
apply (cut-tac  $x = \text{approx } i \cdot xs$  in convex-pd.compact-imp-principal, simp)
apply (cut-tac  $x = \text{approx } i \cdot ys$  in convex-pd.compact-imp-principal, simp)
apply (cut-tac  $x = \text{approx } i \cdot z$  in compact-basis.compact-imp-principal, simp)
apply (clarify, simp)
apply simp
apply simp
apply (erule conjE)
apply (subst convex-plus-absorb [of  $\{z\}$ , symmetric])
apply (erule (1) monofun-cfun [OF monofun-cfun-arg])
done

```

```

lemma convex-unit-less-iff [simp]:  $\{x\} \sqsubseteq \{y\} \iff x \sqsubseteq y$ 
apply (rule iffI)
apply (rule profinite-less-ext)
apply (drule-tac  $f = \text{approx } i$  in monofun-cfun-arg, simp)
apply (cut-tac  $x = \text{approx } i \cdot x$  in compact-basis.compact-imp-principal, simp)
apply (cut-tac  $x = \text{approx } i \cdot y$  in compact-basis.compact-imp-principal, simp)
apply clarsimp
apply (erule monofun-cfun-arg)
done

```

```

lemma convex-unit-eq-iff [simp]:  $\{x\} = \{y\} \iff x = y$ 
unfolding po-eq-conv by simp

```

```

lemma convex-unit-strict [simp]:  $\{\perp\} = \perp$ 
unfolding inst-convex-pd-pcpo Rep-compact-bot [symmetric] by simp

```

```

lemma convex-unit-strict-iff [simp]:  $\{x\} = \perp \iff x = \perp$ 
unfolding convex-unit-strict [symmetric] by (rule convex-unit-eq-iff)

```

```

lemma compact-convex-unit-iff [simp]:
   $\text{compact } \{x\} \iff \text{compact } x$ 
unfolding profinite-compact-iff by simp

```

```

lemma compact-convex-plus [simp]:
   $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs +\sqcup ys)$ 
by (auto dest!: convex-pd.compact-imp-principal)

```

27.4 Induction rules

```

lemma convex-pd-induct1:

```

```

assumes  $P$ :  $\text{adm } P$ 
assumes  $\text{unit}$ :  $\bigwedge x. P \{x\} \dagger$ 
assumes  $\text{insert}$ :  $\bigwedge x \text{ } ys. \llbracket P \{x\} \dagger; P \text{ } ys \rrbracket \implies P (\{x\} \dagger + \dagger ys)$ 
shows  $P (xs :: 'a \text{ } \text{convex-pd})$ 
apply ( $\text{induct } xs \text{ rule: } \text{convex-pd.principal-induct, rule } P$ )
apply ( $\text{induct-tac } a \text{ rule: } \text{pd-basis-induct1}$ )
apply ( $\text{simp only: } \text{convex-unit-Rep-compact-basis [symmetric]}$ )
apply ( $\text{rule unit}$ )
apply ( $\text{simp only: } \text{convex-unit-Rep-compact-basis [symmetric]}$ 
          $\text{convex-plus-principal [symmetric]}$ )
apply ( $\text{erule insert [OF unit]}$ )
done

lemma  $\text{convex-pd-induct}$ :
  assumes  $P$ :  $\text{adm } P$ 
  assumes  $\text{unit}$ :  $\bigwedge x. P \{x\} \dagger$ 
  assumes  $\text{plus}$ :  $\bigwedge xs \text{ } ys. \llbracket P \text{ } xs; P \text{ } ys \rrbracket \implies P (xs + \dagger ys)$ 
  shows  $P (xs :: 'a \text{ } \text{convex-pd})$ 
apply ( $\text{induct } xs \text{ rule: } \text{convex-pd.principal-induct, rule } P$ )
apply ( $\text{induct-tac } a \text{ rule: } \text{pd-basis-induct}$ )
apply ( $\text{simp only: } \text{convex-unit-Rep-compact-basis [symmetric]} \text{ } \text{unit}$ )
apply ( $\text{simp only: } \text{convex-plus-principal [symmetric]} \text{ } \text{plus}$ )
done

```

27.5 Monadic bind

definition

```

 $\text{convex-bind-basis} ::$ 
 $'a \text{ } \text{pd-basis} \implies ('a \rightarrow 'b \text{ } \text{convex-pd}) \rightarrow 'b \text{ } \text{convex-pd}$  where
 $\text{convex-bind-basis} = \text{fold-pd}$ 
  ( $\lambda a. \lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
  ( $\lambda x \text{ } y. \lambda f. x \cdot f + \dagger y \cdot f$ )

```

lemma ACI-convex-bind :

```

   $\text{ab-semigroup-idem-mult } (\lambda x \text{ } y. \lambda f. x \cdot f + \dagger y \cdot f)$ 
apply  $\text{unfold-locales}$ 
apply ( $\text{simp add: } \text{convex-plus-assoc}$ )
apply ( $\text{simp add: } \text{convex-plus-commute}$ )
apply ( $\text{simp add: } \text{eta-cfun}$ )
done

```

lemma $\text{convex-bind-basis-simps [simp]}$:

```

   $\text{convex-bind-basis } (\text{PDUnit } a) =$ 
    ( $\lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
   $\text{convex-bind-basis } (\text{PDPlus } t \text{ } u) =$ 
    ( $\lambda f. \text{convex-bind-basis } t \cdot f + \dagger \text{convex-bind-basis } u \cdot f$ )
unfolding  $\text{convex-bind-basis-def}$ 
apply —
apply ( $\text{rule fold-pd-PDUnit [OF ACI-convex-bind]}$ )

```

apply (rule fold-pd-PDPlus [OF ACI-convex-bind])
done

lemma monofun-LAM:

$\llbracket \text{cont } f; \text{cont } g; \bigwedge x. f\ x \sqsubseteq g\ x \rrbracket \implies (\bigwedge x. f\ x) \sqsubseteq (\bigwedge x. g\ x)$
by (simp add: expand-cfun-less)

lemma convex-bind-basis-mono:

$t \leq_{\mathbb{H}} u \implies \text{convex-bind-basis } t \sqsubseteq \text{convex-bind-basis } u$
apply (erule convex-le-induct)
apply (erule (1) trans-less)
apply (simp add: monofun-LAM monofun-cfun)
apply (simp add: monofun-LAM monofun-cfun)
done

definition

$\text{convex-bind} :: 'a \text{ convex-pd} \rightarrow ('a \rightarrow 'b \text{ convex-pd}) \rightarrow 'b \text{ convex-pd}$ **where**
 $\text{convex-bind} = \text{convex-pd.basis-fun convex-bind-basis}$

lemma convex-bind-principal [simp]:

$\text{convex-bind} \cdot (\text{convex-principal } t) = \text{convex-bind-basis } t$
unfolding convex-bind-def
apply (rule convex-pd.basis-fun-principal)
apply (erule convex-bind-basis-mono)
done

lemma convex-bind-unit [simp]:

$\text{convex-bind} \cdot \{x\}_{\mathbb{H}} \cdot f = f \cdot x$
by (induct x rule: compact-basis.principal-induct, simp, simp)

lemma convex-bind-plus [simp]:

$\text{convex-bind} \cdot (xs +_{\mathbb{H}} ys) \cdot f = \text{convex-bind} \cdot xs \cdot f +_{\mathbb{H}} \text{convex-bind} \cdot ys \cdot f$
by (induct xs ys rule: convex-pd.principal-induct2, simp, simp, simp)

lemma convex-bind-strict [simp]: $\text{convex-bind} \cdot \perp \cdot f = f \cdot \perp$

unfolding convex-unit-strict [symmetric] **by** (rule convex-bind-unit)

27.6 Map and join

definition

$\text{convex-map} :: ('a \rightarrow 'b) \rightarrow 'a \text{ convex-pd} \rightarrow 'b \text{ convex-pd}$ **where**
 $\text{convex-map} = (\bigwedge f\ xs. \text{convex-bind} \cdot xs \cdot (\bigwedge x. \{f \cdot x\}_{\mathbb{H}}))$

definition

$\text{convex-join} :: 'a \text{ convex-pd convex-pd} \rightarrow 'a \text{ convex-pd}$ **where**
 $\text{convex-join} = (\bigwedge xss. \text{convex-bind} \cdot xss \cdot (\bigwedge xs. xs))$

lemma convex-map-unit [simp]:

$\text{convex-map} \cdot f \cdot (\text{convex-unit} \cdot x) = \text{convex-unit} \cdot (f \cdot x)$

unfolding *convex-map-def* **by** *simp*

lemma *convex-map-plus* [*simp*]:

$$\text{convex-map} \cdot f \cdot (xs +\# ys) = \text{convex-map} \cdot f \cdot xs +\# \text{convex-map} \cdot f \cdot ys$$

unfolding *convex-map-def* **by** *simp*

lemma *convex-join-unit* [*simp*]:

$$\text{convex-join} \cdot \{xs\} \# = xs$$

unfolding *convex-join-def* **by** *simp*

lemma *convex-join-plus* [*simp*]:

$$\text{convex-join} \cdot (xss +\# yss) = \text{convex-join} \cdot xss +\# \text{convex-join} \cdot yss$$

unfolding *convex-join-def* **by** *simp*

lemma *convex-map-ident*: $\text{convex-map} \cdot (\Lambda x. x) \cdot xs = xs$

by (*induct xs rule: convex-pd-induct, simp-all*)

lemma *convex-map-map*:

$$\text{convex-map} \cdot f \cdot (\text{convex-map} \cdot g \cdot xs) = \text{convex-map} \cdot (\Lambda x. f \cdot (g \cdot x)) \cdot xs$$

by (*induct xs rule: convex-pd-induct, simp-all*)

lemma *convex-join-map-unit*:

$$\text{convex-join} \cdot (\text{convex-map} \cdot \text{convex-unit} \cdot xs) = xs$$

by (*induct xs rule: convex-pd-induct, simp-all*)

lemma *convex-join-map-join*:

$$\text{convex-join} \cdot (\text{convex-map} \cdot \text{convex-join} \cdot xsss) = \text{convex-join} \cdot (\text{convex-join} \cdot xsss)$$

by (*induct xsss rule: convex-pd-induct, simp-all*)

lemma *convex-join-map-map*:

$$\text{convex-join} \cdot (\text{convex-map} \cdot (\text{convex-map} \cdot f) \cdot xss) =$$

$$\text{convex-map} \cdot f \cdot (\text{convex-join} \cdot xss)$$

by (*induct xss rule: convex-pd-induct, simp-all*)

lemma *convex-map-approx*: $\text{convex-map} \cdot (\text{approx } n) \cdot xs = \text{approx } n \cdot xs$

by (*induct xs rule: convex-pd-induct, simp-all*)

27.7 Conversions to other powerdomains

Convex to upper

lemma *convex-le-imp-upper-le*: $t \leq\# u \implies t \leq^\# u$

unfolding *convex-le-def* **by** *simp*

definition

convex-to-upper :: 'a convex-pd \rightarrow 'a upper-pd **where**

convex-to-upper = *convex-pd.basis-fun upper-principal*

lemma *convex-to-upper-principal* [*simp*]:

$$\text{convex-to-upper} \cdot (\text{convex-principal } t) = \text{upper-principal } t$$

```

unfolding convex-to-upper-def
apply (rule convex-pd.basis-fun-principal)
apply (rule upper-pd.principal-mono)
apply (erule convex-le-imp-upper-le)
done

```

```

lemma convex-to-upper-unit [simp]:
  convex-to-upper. $\{x\}\sharp = \{x\}\sharp$ 
by (induct x rule: compact-basis.principal-induct, simp, simp)

```

```

lemma convex-to-upper-plus [simp]:
  convex-to-upper. $(xs +\sharp ys) = convex-to-upper.xs +\sharp convex-to-upper.ys$ 
by (induct xs ys rule: convex-pd.principal-induct2, simp, simp, simp)

```

```

lemma approx-convex-to-upper:
  approx i.(convex-to-upper.xs) = convex-to-upper.(approx i.xs)
by (induct xs rule: convex-pd-induct, simp, simp, simp)

```

```

lemma convex-to-upper-bind [simp]:
  convex-to-upper.(convex-bind.xs.f) =
    upper-bind.(convex-to-upper.xs).(convex-to-upper oo f)
by (induct xs rule: convex-pd-induct, simp, simp, simp)

```

```

lemma convex-to-upper-map [simp]:
  convex-to-upper.(convex-map.f.xs) = upper-map.f.(convex-to-upper.xs)
by (simp add: convex-map-def upper-map-def cfcomp-LAM)

```

```

lemma convex-to-upper-join [simp]:
  convex-to-upper.(convex-join.xss) =
    upper-bind.(convex-to-upper.xss).convex-to-upper
by (simp add: convex-join-def upper-join-def cfcomp-LAM eta-cfun)

```

Convex to lower

```

lemma convex-le-imp-lower-le:  $t \leq\sharp u \implies t \leq\flat u$ 
unfolding convex-le-def by simp

```

```

definition
  convex-to-lower :: 'a convex-pd  $\rightarrow$  'a lower-pd where
    convex-to-lower = convex-pd.basis-fun lower-principal

```

```

lemma convex-to-lower-principal [simp]:
  convex-to-lower.(convex-principal t) = lower-principal t
unfolding convex-to-lower-def
apply (rule convex-pd.basis-fun-principal)
apply (rule lower-pd.principal-mono)
apply (erule convex-le-imp-lower-le)
done

```

```

lemma convex-to-lower-unit [simp]:

```


$\text{convex-to-lower} \cdot \{x\} \Downarrow = \{x\} \Downarrow$
by (induct x rule: compact-basis.principal-induct, simp, simp)

lemma convex-to-lower-plus [simp]:
 $\text{convex-to-lower} \cdot (xs + \Downarrow ys) = \text{convex-to-lower} \cdot xs + \Downarrow \text{convex-to-lower} \cdot ys$
by (induct xs ys rule: convex-pd.principal-induct2, simp, simp, simp)

lemma approx-convex-to-lower:
 $\text{approx } i \cdot (\text{convex-to-lower} \cdot xs) = \text{convex-to-lower} \cdot (\text{approx } i \cdot xs)$
by (induct xs rule: convex-pd-induct, simp, simp, simp)

lemma convex-to-lower-bind [simp]:
 $\text{convex-to-lower} \cdot (\text{convex-bind} \cdot xs \cdot f) =$
 $\text{lower-bind} \cdot (\text{convex-to-lower} \cdot xs) \cdot (\text{convex-to-lower } oo f)$
by (induct xs rule: convex-pd-induct, simp, simp, simp)

lemma convex-to-lower-map [simp]:
 $\text{convex-to-lower} \cdot (\text{convex-map} \cdot f \cdot xs) = \text{lower-map} \cdot f \cdot (\text{convex-to-lower} \cdot xs)$
by (simp add: convex-map-def lower-map-def cfcomp-LAM)

lemma convex-to-lower-join [simp]:
 $\text{convex-to-lower} \cdot (\text{convex-join} \cdot xss) =$
 $\text{lower-bind} \cdot (\text{convex-to-lower} \cdot xss) \cdot \text{convex-to-lower}$
by (simp add: convex-join-def lower-join-def cfcomp-LAM eta-cfun)

Ordering property

lemma convex-pd-less-iff:
 $(xs \sqsubseteq ys) =$
 $(\text{convex-to-upper} \cdot xs \sqsubseteq \text{convex-to-upper} \cdot ys \wedge$
 $\text{convex-to-lower} \cdot xs \sqsubseteq \text{convex-to-lower} \cdot ys)$
apply (safe elim!: monofun-cfun-arg)
apply (rule profinite-less-ext)
apply (drule-tac $f = \text{approx } i$ in monofun-cfun-arg)
apply (drule-tac $f = \text{approx } i$ in monofun-cfun-arg)
apply (cut-tac $x = \text{approx } i \cdot xs$ in convex-pd.compact-imp-principal, simp)
apply (cut-tac $x = \text{approx } i \cdot ys$ in convex-pd.compact-imp-principal, simp)
apply clarify
apply (simp add: approx-convex-to-upper approx-convex-to-lower convex-le-def)
done

lemmas convex-plus-less-plus-iff =
 $\text{convex-pd-less-iff}$ [where $xs = xs + \Downarrow ys$ and $ys = zs + \Downarrow ws$, standard]

lemmas convex-pd-less-simps =
 $\text{convex-unit-less-plus-iff}$
 $\text{convex-plus-less-unit-iff}$
 $\text{convex-plus-less-plus-iff}$
 $\text{convex-unit-less-iff}$
 $\text{convex-to-upper-unit}$

```

    convex-to-upper-plus
    convex-to-lower-unit
    convex-to-lower-plus
    upper-pd-less-simps
    lower-pd-less-simps

end

```

28 Infinite-Set: Infinite Sets and Related Concepts

```

theory Infinite-Set
imports Main
begin

```

28.1 Infinite Sets

Some elementary facts about infinite sets, mostly by Stefan Merz. Beware! Because “infinite” merely abbreviates a negation, these lemmas may not work well with *blast*.

```

abbreviation
  infinite :: 'a set  $\Rightarrow$  bool where
  infinite S ==  $\neg$  finite S

```

Infinite sets are non-empty, and if we remove some elements from an infinite set, the result is still infinite.

```

lemma infinite-imp-nonempty: infinite S ==> S  $\neq$  {}
  by auto

```

```

lemma infinite-remove:
  infinite S  $\implies$  infinite (S - {a})
  by simp

```

```

lemma Diff-infinite-finite:
  assumes T: finite T and S: infinite S
  shows infinite (S - T)
  using T
proof induct
  from S
  show infinite (S - {}) by auto
next
  fix T x
  assume ih: infinite (S - T)
  have S - (insert x T) = (S - T) - {x}
    by (rule Diff-insert)
  with ih

```

```

  show infinite ( $S - (\text{insert } x \ T)$ )
    by (simp add: infinite-remove)
qed

```

```

lemma Un-infinite: infinite  $S \implies \text{infinite } (S \cup T)$ 
  by simp

```

```

lemma infinite-super:
  assumes  $T: S \subseteq T$  and  $S: \text{infinite } S$ 
  shows infinite  $T$ 
proof
  assume finite  $T$ 
  with  $T$  have finite  $S$  by (simp add: finite-subset)
  with  $S$  show False by simp
qed

```

As a concrete example, we prove that the set of natural numbers is infinite.

```

lemma finite-nat-bounded:
  assumes  $S: \text{finite } (S::\text{nat set})$ 
  shows  $\exists k. S \subseteq \{.. $k$ \}$  (is  $\exists k. ?\text{bounded } S \ k$ )
using  $S$ 
proof induct
  have  $?\text{bounded } \{\} \ 0$  by simp
  then show  $\exists k. ?\text{bounded } \{\} \ k \ ..$ 
next
  fix  $S \ x$ 
  assume  $\exists k. ?\text{bounded } S \ k$ 
  then obtain  $k$  where  $k: ?\text{bounded } S \ k \ ..$ 
  show  $\exists k. ?\text{bounded } (\text{insert } x \ S) \ k$ 
  proof (cases  $x < k$ )
    case True
    with  $k$  show  $?\text{thesis}$  by auto
  next
    case False
    with  $k$  have  $?\text{bounded } S \ (\text{Suc } x)$  by auto
    then show  $?\text{thesis}$  by auto
  qed
qed

```

```

lemma finite-nat-iff-bounded:
   $\text{finite } (S::\text{nat set}) = (\exists k. S \subseteq \{.. $k$ \})$  (is  $?\text{lhs} = ?\text{rhs}$ )
proof
  assume  $?\text{lhs}$ 
  then show  $?\text{rhs}$  by (rule finite-nat-bounded)
next
  assume  $?\text{rhs}$ 
  then obtain  $k$  where  $S \subseteq \{.. $k$ \} \ ..$ 
  then show finite  $S$ 
    by (rule finite-subset) simp

```

qed

lemma *finite-nat-iff-bounded-le*:

$\text{finite } (S::\text{nat set}) = (\exists k. S \subseteq \{..k\})$ (is ?lhs = ?rhs)

proof

assume ?lhs

then obtain k where $S \subseteq \{..<k\}$

by (blast dest: finite-nat-bounded)

then have $S \subseteq \{..k\}$ by auto

then show ?rhs ..

next

assume ?rhs

then obtain k where $S \subseteq \{..k\}$..

then show *finite* S

by (rule finite-subset) simp

qed

lemma *infinite-nat-iff-unbounded*:

$\text{infinite } (S::\text{nat set}) = (\forall m. \exists n. m < n \wedge n \in S)$

(is ?lhs = ?rhs)

proof

assume ?lhs

show ?rhs

proof (rule ccontr)

assume $\neg ?rhs$

then obtain m where $m: \forall n. m < n \longrightarrow n \notin S$ by blast

then have $S \subseteq \{..m\}$

by (auto simp add: sym [OF linorder-not-less])

with $\langle ?lhs \rangle$ show *False*

by (simp add: finite-nat-iff-bounded-le)

qed

next

assume ?rhs

show ?lhs

proof

assume *finite* S

then obtain m where $S \subseteq \{..m\}$

by (auto simp add: finite-nat-iff-bounded-le)

then have $\forall n. m < n \longrightarrow n \notin S$ by auto

with $\langle ?rhs \rangle$ show *False* by blast

qed

qed

lemma *infinite-nat-iff-unbounded-le*:

$\text{infinite } (S::\text{nat set}) = (\forall m. \exists n. m \leq n \wedge n \in S)$

(is ?lhs = ?rhs)

proof

assume ?lhs

show ?rhs

```

proof
  fix  $m$ 
  from  $\langle ?lhs \rangle$  obtain  $n$  where  $m < n \wedge n \in S$ 
  by (auto simp add: infinite-nat-iff-unbounded)
  then have  $m \leq n \wedge n \in S$  by simp
  then show  $\exists n. m \leq n \wedge n \in S$  ..
qed
next
  assume  $?rhs$ 
  show  $?lhs$ 
  proof (auto simp add: infinite-nat-iff-unbounded)
    fix  $m$ 
    from  $\langle ?rhs \rangle$  obtain  $n$  where  $Suc\ m \leq n \wedge n \in S$ 
    by blast
    then have  $m < n \wedge n \in S$  by simp
    then show  $\exists n. m < n \wedge n \in S$  ..
  qed
qed

```

For a set of natural numbers to be infinite, it is enough to know that for any number larger than some k , there is some larger number that is an element of the set.

lemma *unbounded-k-infinite*:

assumes $k: \forall m. k < m \longrightarrow (\exists n. m < n \wedge n \in S)$

shows *infinite* ($S :: nat\ set$)

proof –

```

{
  fix  $m$  have  $\exists n. m < n \wedge n \in S$ 
  proof (cases k < m)
    case True
    with  $k$  show  $?thesis$  by blast
  next
    case False
    from  $k$  obtain  $n$  where  $Suc\ k < n \wedge n \in S$  by auto
    with False have  $m < n \wedge n \in S$  by auto
    then show  $?thesis$  ..
  qed
}
then show  $?thesis$ 
by (auto simp add: infinite-nat-iff-unbounded)
qed

```

lemma *nat-infinite [simp]*: *infinite* ($UNIV :: nat\ set$)

by (*auto simp add: infinite-nat-iff-unbounded*)

lemma *nat-not-finite [elim]*: *finite* ($UNIV :: nat\ set$) $\implies R$

by *simp*

Every infinite set contains a countable subset. More precisely we show that

a set S is infinite if and only if there exists an injective function from the naturals into S .

lemma *range-inj-infinite*:

inj ($f::nat \Rightarrow 'a$) \implies *infinite* (*range* f)

proof

assume *finite* (*range* f) **and** *inj* f

then have *finite* ($UNIV::nat$ *set*)

by (*rule finite-imageD*)

then show *False* **by** *simp*

qed

lemma *int-infinite* [*simp*]:

shows *infinite* ($UNIV::int$ *set*)

proof –

from *inj-int* **have** *infinite* (*range int*) **by** (*rule range-inj-infinite*)

moreover

have *range int* \subseteq ($UNIV::int$ *set*) **by** *simp*

ultimately show *infinite* ($UNIV::int$ *set*) **by** (*simp add: infinite-super*)

qed

The “only if” direction is harder because it requires the construction of a sequence of pairwise different elements of an infinite set S . The idea is to construct a sequence of non-empty and infinite subsets of S obtained by successively removing elements of S .

lemma *linorder-injI*:

assumes *hyp*: $\forall x y. x < (y::'a::linorder) \implies f x \neq f y$

shows *inj* f

proof (*rule inj-onI*)

fix $x y$

assume *f-eq*: $f x = f y$

show $x = y$

proof (*rule linorder-cases*)

assume $x < y$

with *hyp* **have** $f x \neq f y$ **by** *blast*

with *f-eq* **show** *?thesis* **by** *simp*

next

assume $x = y$

then show *?thesis* .

next

assume $y < x$

with *hyp* **have** $f y \neq f x$ **by** *blast*

with *f-eq* **show** *?thesis* **by** *simp*

qed

qed

lemma *infinite-countable-subset*:

assumes *inf*: *infinite* ($S::'a$ *set*)

shows $\exists f. \text{inj } (f::nat \Rightarrow 'a) \wedge \text{range } f \subseteq S$

```

proof –
  def Sseq  $\equiv$  nat-rec S ( $\lambda n$  T. T – {SOME e. e  $\in$  T})
  def pick  $\equiv$   $\lambda n$ . (SOME e. e  $\in$  Sseq n)
  have Sseq-inf:  $\bigwedge n$ . infinite (Sseq n)
  proof –
    fix n
    show infinite (Sseq n)
    proof (induct n)
      from inf show infinite (Sseq 0)
      by (simp add: Sseq-def)
    next
      fix n
      assume infinite (Sseq n) then show infinite (Sseq (Suc n))
      by (simp add: Sseq-def infinite-remove)
    qed
  qed
  have Sseq-S:  $\bigwedge n$ . Sseq n  $\subseteq$  S
  proof –
    fix n
    show Sseq n  $\subseteq$  S
    by (induct n) (auto simp add: Sseq-def)
  qed
  have Sseq-pick:  $\bigwedge n$ . pick n  $\in$  Sseq n
  proof –
    fix n
    show pick n  $\in$  Sseq n
    proof (unfold pick-def, rule someI-ex)
      from Sseq-inf have infinite (Sseq n) .
      then have Sseq n  $\neq$  {} by auto
      then show  $\exists x$ . x  $\in$  Sseq n by auto
    qed
  qed
  with Sseq-S have rng: range pick  $\subseteq$  S
  by auto
  have pick-Sseq-gt:  $\bigwedge n$  m. pick n  $\notin$  Sseq (n + Suc m)
  proof –
    fix n m
    show pick n  $\notin$  Sseq (n + Suc m)
    by (induct m) (auto simp add: Sseq-def pick-def)
  qed
  have pick-pick:  $\bigwedge n$  m. pick n  $\neq$  pick (n + Suc m)
  proof –
    fix n m
    from Sseq-pick have pick (n + Suc m)  $\in$  Sseq (n + Suc m) .
    moreover from pick-Sseq-gt
    have pick n  $\notin$  Sseq (n + Suc m) .
    ultimately show pick n  $\neq$  pick (n + Suc m)
    by auto
  qed

```

```

have inj: inj pick
proof (rule linorder-injI)
  fix i j :: nat
  assume i < j
  show pick i ≠ pick j
  proof
    assume eq: pick i = pick j
    from ⟨i < j⟩ obtain k where j = i + Suc k
    by (auto simp add: less-iff-Suc-add)
    with pick-pick have pick i ≠ pick j by simp
    with eq show False by simp
  qed
qed
from rng inj show ?thesis by auto
qed

```

lemma *infinite-iff-countable-subset*:
 $\text{infinite } S = (\exists f. \text{inj } (f::\text{nat} \Rightarrow 'a) \wedge \text{range } f \subseteq S)$
by (auto simp add: infinite-countable-subset range-inj-infinite infinite-super)

For any function with infinite domain and finite range there is some element that is the image of infinitely many domain elements. In particular, any infinite sequence of elements from a finite set contains some element that occurs infinitely often.

lemma *inf-img-fin-dom*:
assumes *img: finite (f'A) and dom: infinite A*
shows $\exists y \in f'A. \text{infinite } (f - \{y\})$
proof (rule ccontr)
assume $\neg ?thesis$
with *img* **have** *finite (UN y:f'A. f - {y})* **by** (blast intro: finite-UN-I)
moreover **have** $A \subseteq (UN y:f'A. f - \{y\})$ **by** auto
moreover **note** *dom*
ultimately **show** False **by** (simp add: infinite-super)
qed

lemma *inf-img-fin-domE*:
assumes *finite (f'A) and infinite A*
obtains *y* **where** $y \in f'A$ **and** *infinite (f - {y})*
using *assms* **by** (blast dest: inf-img-fin-dom)

28.2 Infinitely Many and Almost All

We often need to reason about the existence of infinitely many (resp., all but finitely many) objects satisfying some predicate, so we introduce corresponding binders and their proof rules.

definition

Inf-many :: $('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$ (**binder** *INFM* 10) **where**
 $\text{Inf-many } P = \text{infinite } \{x. P\ x\}$

definition

$Alm-all :: ('a \Rightarrow bool) \Rightarrow bool$ (**binder** *MOST* 10) **where**
 $Alm-all\ P = (\neg (INFM\ x. \neg P\ x))$

notation (*xsymbols*)

$Inf-many$ (**binder** \exists_∞ 10) **and**
 $Alm-all$ (**binder** \forall_∞ 10)

notation (*HTML output*)

$Inf-many$ (**binder** \exists_∞ 10) **and**
 $Alm-all$ (**binder** \forall_∞ 10)

lemma *INFM-EX*:

$(\exists_\infty x. P\ x) \Longrightarrow (\exists x. P\ x)$

unfolding *Inf-many-def*

proof (*rule ccontr*)

assume *inf*: *infinite* $\{x. P\ x\}$

assume $\neg ?thesis$ **then have** $\{x. P\ x\} = \{\}$ **by** *simp*

then have *finite* $\{x. P\ x\}$ **by** *simp*

with inf **show** *False* **by** *simp*

qed

lemma *MOST-iff-finiteNeg*: $(\forall_\infty x. P\ x) = finite\ \{x. \neg P\ x\}$

by (*simp add: Alm-all-def Inf-many-def*)

lemma *ALL-MOST*: $\forall x. P\ x \Longrightarrow \forall_\infty x. P\ x$

by (*simp add: MOST-iff-finiteNeg*)

lemma *INFM-mono*:

assumes *inf*: $\exists_\infty x. P\ x$ **and** *q*: $\bigwedge x. P\ x \Longrightarrow Q\ x$

shows $\exists_\infty x. Q\ x$

proof –

from inf **have** *infinite* $\{x. P\ x\}$ **unfolding** *Inf-many-def* .

moreover from q **have** $\{x. P\ x\} \subseteq \{x. Q\ x\}$ **by** *auto*

ultimately show *?thesis*

by (*simp add: Inf-many-def infinite-super*)

qed

lemma *MOST-mono*: $\forall_\infty x. P\ x \Longrightarrow (\bigwedge x. P\ x \Longrightarrow Q\ x) \Longrightarrow \forall_\infty x. Q\ x$

unfolding *Alm-all-def* **by** (*blast intro: INFM-mono*)

lemma *INFM-disj-distrib*:

$(\exists_\infty x. P\ x \vee Q\ x) \longleftrightarrow (\exists_\infty x. P\ x) \vee (\exists_\infty x. Q\ x)$

unfolding *Inf-many-def* **by** (*simp add: Collect-disj-eq*)

lemma *MOST-conj-distrib*:

$(\forall_\infty x. P\ x \wedge Q\ x) \longleftrightarrow (\forall_\infty x. P\ x) \wedge (\forall_\infty x. Q\ x)$

unfolding *Alm-all-def* **by** (*simp add: INFM-disj-distrib del: disj-not1*)

lemma *MOST-rev-mp*:

assumes $\forall_{\infty} x. P\ x$ and $\forall_{\infty} x. P\ x \longrightarrow Q\ x$

shows $\forall_{\infty} x. Q\ x$

proof –

have $\forall_{\infty} x. P\ x \wedge (P\ x \longrightarrow Q\ x)$

using *prems* by (*simp add: MOST-conj-distrib*)

thus ?thesis by (*rule MOST-mono simp*)

qed

lemma *not-INFM [simp]*: $\neg (INFM\ x. P\ x) \longleftrightarrow (MOST\ x. \neg P\ x)$

unfolding *Alm-all-def not-not ..*

lemma *not-MOST [simp]*: $\neg (MOST\ x. P\ x) \longleftrightarrow (INFM\ x. \neg P\ x)$

unfolding *Alm-all-def not-not ..*

lemma *INFM-const [simp]*: $(INFM\ x::'a. P) \longleftrightarrow P \wedge infinite\ (UNIV::'a\ set)$

unfolding *Inf-many-def by simp*

lemma *MOST-const [simp]*: $(MOST\ x::'a. P) \longleftrightarrow P \vee finite\ (UNIV::'a\ set)$

unfolding *Alm-all-def by simp*

lemma *INFM-nat*: $(\exists_{\infty} n. P\ (n::nat)) = (\forall m. \exists n. m < n \wedge P\ n)$

by (*simp add: Inf-many-def infinite-nat-iff-unbounded*)

lemma *INFM-nat-le*: $(\exists_{\infty} n. P\ (n::nat)) = (\forall m. \exists n. m \leq n \wedge P\ n)$

by (*simp add: Inf-many-def infinite-nat-iff-unbounded-le*)

lemma *MOST-nat*: $(\forall_{\infty} n. P\ (n::nat)) = (\exists m. \forall n. m < n \longrightarrow P\ n)$

by (*simp add: Alm-all-def INFM-nat*)

lemma *MOST-nat-le*: $(\forall_{\infty} n. P\ (n::nat)) = (\exists m. \forall n. m \leq n \longrightarrow P\ n)$

by (*simp add: Alm-all-def INFM-nat-le*)

28.3 Enumeration of an Infinite Set

The set’s element type must be wellordered (e.g. the natural numbers).

consts

enumerate :: *'a::wellorder set* \Rightarrow (*nat* \Rightarrow *'a::wellorder*)

primrec

enumerate-0: *enumerate S 0* = (*LEAST n. n* \in *S*)

enumerate-Suc: *enumerate S (Suc n)* = *enumerate (S - {LEAST n. n* \in *S}) n*

lemma *enumerate-Suc'*:

enumerate S (Suc n) = *enumerate (S - {enumerate S 0}) n*

by *simp*

lemma *enumerate-in-set*: *infinite S* \Longrightarrow *enumerate S n* : *S*

apply (*induct n arbitrary: S*)

```

apply (fastsimp intro: LeastI dest!: infinite-imp-nonempty)
apply simp
apply (metis Collect-def Collect-mem-eq DiffE infinite-remove)
done

declare enumerate-0 [simp del] enumerate-Suc [simp del]

lemma enumerate-step: infinite S  $\implies$  enumerate S n < enumerate S (Suc n)
  apply (induct n arbitrary: S)
  apply (rule order-le-neq-trans)
  apply (simp add: enumerate-0 Least-le enumerate-in-set)
  apply (simp only: enumerate-Suc')
  apply (subgoal-tac enumerate (S - {enumerate S 0}) 0 : S - {enumerate S 0})
  apply (blast intro: sym)
  apply (simp add: enumerate-in-set del: Diff-iff)
  apply (simp add: enumerate-Suc')
  done

lemma enumerate-mono: m < n  $\implies$  infinite S  $\implies$  enumerate S m < enumerate S n
  apply (erule less-Suc-induct)
  apply (auto intro: enumerate-step)
  done

```

28.4 Miscellaneous

A few trivial lemmas about sets that contain at most one element. These simplify the reasoning about deterministic automata.

definition

```

atmost-one :: 'a set  $\Rightarrow$  bool where
atmost-one S = ( $\forall x y. x \in S \wedge y \in S \longrightarrow x = y$ )

```

```

lemma atmost-one-empty: S = {}  $\implies$  atmost-one S
  by (simp add: atmost-one-def)

```

```

lemma atmost-one-singleton: S = {x}  $\implies$  atmost-one S
  by (simp add: atmost-one-def)

```

```

lemma atmost-one-unique [elim]: atmost-one S  $\implies$  x  $\in$  S  $\implies$  y  $\in$  S  $\implies$  y = x
  by (simp add: atmost-one-def)

```

end

```

theory Eventual
imports Infinite-Set
begin

```

28.5 Lemmas about MOST

lemma *MOST-INFM*:

assumes *inf*: *infinite* (*UNIV*::'a set)
shows *MOST* *x*::'a. *P* *x* \implies *INFM* *x*::'a. *P* *x*
unfolding *Alm-all-def* *Inf-many-def*
apply (*auto simp add: Collect-neg-eq*)
apply (*drule* (1) *finite-UnI*)
apply (*simp add: Compl-partition2 inf*)
done

lemma *MOST-comp*: $\llbracket \text{inj } f; \text{MOST } x. P \ x \rrbracket \implies \text{MOST } x. P \ (f \ x)$
unfolding *MOST-iff-finiteNeg*
by (*drule* (1) *finite-vimageI*, *simp*)

lemma *INFM-comp*: $\llbracket \text{inj } f; \text{INFM } x. P \ (f \ x) \rrbracket \implies \text{INFM } x. P \ x$
unfolding *Inf-many-def*
by (*clarify*, *drule* (1) *finite-vimageI*, *simp*)

lemma *MOST-SucI*: *MOST* *n*. *P* *n* \implies *MOST* *n*. *P* (*Suc* *n*)
by (*rule* *MOST-comp* [*OF inj-Suc*])

lemma *MOST-SucD*: *MOST* *n*. *P* (*Suc* *n*) \implies *MOST* *n*. *P* *n*
unfolding *MOST-nat*
apply (*clarify*, *rule-tac* *x=Suc m in exI*, *clarify*)
apply (*erule Suc-lessE*, *simp*)
done

lemma *MOST-Suc-iff*: (*MOST* *n*. *P* (*Suc* *n*)) \longleftrightarrow (*MOST* *n*. *P* *n*)
by (*rule iffI* [*OF MOST-SucD MOST-SucI*])

lemma *INFM-finite-Bex-distrib*:

finite *A* \implies (*INFM* *y*. $\exists x \in A. P \ x \ y$) \longleftrightarrow ($\exists x \in A. \text{INFM } y. P \ x \ y$)
by (*induct set: finite, simp, simp add: INFM-disj-distrib*)

lemma *MOST-finite-Ball-distrib*:

finite *A* \implies (*MOST* *y*. $\forall x \in A. P \ x \ y$) \longleftrightarrow ($\forall x \in A. \text{MOST } y. P \ x \ y$)
by (*induct set: finite, simp, simp add: MOST-conj-distrib*)

lemma *MOST-ge-nat*: *MOST* *n*::nat. *m* \leq *n*
unfolding *MOST-nat-le* **by** *fast*

28.6 Eventually constant sequences

definition

eventually-constant :: (nat \Rightarrow 'a) \Rightarrow bool

where

eventually-constant *S* = ($\exists x. \text{MOST } i. S \ i = x$)

lemma *eventually-constant-MOST-MOST*:

$eventually\text{-}constant\ S \longleftrightarrow (MOST\ m.\ MOST\ n.\ S\ n = S\ m)$
unfolding $eventually\text{-}constant\text{-}def\ MOST\text{-}nat$
apply $safe$
apply $(rule\text{-}tac\ x=m\ \text{in}\ exI,\ clarify)$
apply $(rule\text{-}tac\ x=m\ \text{in}\ exI,\ clarify)$
apply $simp$
apply $fast$
done

lemma $eventually\text{-}constantI$: $MOST\ i.\ S\ i = x \implies eventually\text{-}constant\ S$
unfolding $eventually\text{-}constant\text{-}def$ **by** $fast$

lemma $eventually\text{-}constant\text{-}comp$:
 $eventually\text{-}constant\ (\lambda i.\ S\ i) \implies eventually\text{-}constant\ (\lambda i.\ f\ (S\ i))$
unfolding $eventually\text{-}constant\text{-}def$
apply $(erule\ exE,\ rule\text{-}tac\ x=f\ x\ \text{in}\ exI)$
apply $(erule\ MOST\text{-}mono,\ simp)$
done

lemma $eventually\text{-}constant\text{-}Suc\text{-}iff$:
 $eventually\text{-}constant\ (\lambda i.\ S\ (Suc\ i)) \longleftrightarrow eventually\text{-}constant\ (\lambda i.\ S\ i)$
unfolding $eventually\text{-}constant\text{-}def$
by $(subst\ MOST\text{-}Suc\text{-}iff,\ rule\ refl)$

lemma $eventually\text{-}constant\text{-}SucD$:
 $eventually\text{-}constant\ (\lambda i.\ S\ (Suc\ i)) \implies eventually\text{-}constant\ (\lambda i.\ S\ i)$
by $(rule\ eventually\text{-}constant\text{-}Suc\text{-}iff\ [THEN\ iffD1])$

28.7 Limits of eventually constant sequences

definition
 $eventual :: (nat \Rightarrow 'a) \Rightarrow 'a\ \text{where}$
 $eventual\ S = (THE\ x.\ MOST\ i.\ S\ i = x)$

lemma $eventual\text{-}eqI$: $MOST\ i.\ S\ i = x \implies eventual\ S = x$
unfolding $eventual\text{-}def$
apply $(rule\ the\text{-}equality,\ assumption)$
apply $(rename\text{-}tac\ y)$
apply $(subgoal\text{-}tac\ MOST\ i::nat.\ y = x,\ simp)$
apply $(erule\ MOST\text{-}rev\text{-}mp)$
apply $(erule\ MOST\text{-}rev\text{-}mp)$
apply $simp$
done

lemma $MOST\text{-}eq\text{-}eventual$:
 $eventually\text{-}constant\ S \implies MOST\ i.\ S\ i = eventual\ S$
unfolding $eventually\text{-}constant\text{-}def$
by $(erule\ exE,\ simp\ add:\ eventual\text{-}eqI)$

lemma *eventual-mem-range*:

eventually-constant $S \implies \text{eventual } S \in \text{range } S$
apply (*drule* *MOST-eq-eventual*)
apply (*simp only*: *MOST-nat-le*, *clarify*)
apply (*drule spec*, *drule mp*, *rule order-refl*)
apply (*erule range-eqI* [*OF sym*])
done

lemma *eventually-constant-MOST-iff*:

assumes S : *eventually-constant* S
shows $(\text{MOST } n. P (S \ n)) \longleftrightarrow P (\text{eventual } S)$
apply (*subgoal-tac* $(\text{MOST } n. P (S \ n)) \longleftrightarrow (\text{MOST } n::\text{nat}. P (\text{eventual } S))$)
apply *simp*
apply (*rule iffI*)
apply (*rule MOST-rev-mp* [*OF MOST-eq-eventual* [*OF S*]])
apply (*erule MOST-mono*, *force*)
apply (*rule MOST-rev-mp* [*OF MOST-eq-eventual* [*OF S*]])
apply (*erule MOST-mono*, *simp*)
done

lemma *MOST-eventual*:

$\llbracket \text{eventually-constant } S; \text{MOST } n. P (S \ n) \rrbracket \implies P (\text{eventual } S)$
proof –
assume *eventually-constant* S
hence $\text{MOST } n. S \ n = \text{eventual } S$
by (*rule MOST-eq-eventual*)
moreover assume $\text{MOST } n. P (S \ n)$
ultimately have $\text{MOST } n. S \ n = \text{eventual } S \wedge P (S \ n)$
by (*rule MOST-conj-distrib* [*THEN iffD2*, *OF conjI*])
hence $\text{MOST } n::\text{nat}. P (\text{eventual } S)$
by (*rule MOST-mono*) *auto*
thus *?thesis* **by** *simp*
qed

lemma *eventually-constant-MOST-Suc-eq*:

eventually-constant $S \implies \text{MOST } n. S (\text{Suc } n) = S \ n$
apply (*drule MOST-eq-eventual*)
apply (*frule MOST-Suc-iff* [*THEN iffD2*])
apply (*erule MOST-rev-mp*)
apply (*erule MOST-rev-mp*)
apply *simp*
done

lemma *eventual-comp*:

eventually-constant $S \implies \text{eventual } (\lambda i. f (S \ i)) = f (\text{eventual } (\lambda i. S \ i))$
apply (*rule eventual-eqI*)
apply (*rule MOST-mono*)
apply (*erule MOST-eq-eventual*)
apply *simp*

done

end

29 Algebraic: Algebraic deflations

```
theory Algebraic
imports Completion Fix Eventual
begin
```

29.1 Constructing finite deflations by iteration

```
lemma finite-deflation-imp-deflation:
  finite-deflation d  $\implies$  deflation d
unfolding finite-deflation-def by simp
```

```
lemma le-Suc-induct:
  assumes le:  $i \leq j$ 
  assumes step:  $\bigwedge i. P\ i\ (Suc\ i)$ 
  assumes refl:  $\bigwedge i. P\ i\ i$ 
  assumes trans:  $\bigwedge i\ j\ k. \llbracket P\ i\ j; P\ j\ k \rrbracket \implies P\ i\ k$ 
  shows  $P\ i\ j$ 
proof (cases  $i = j$ )
  assume  $i = j$ 
  thus  $P\ i\ j$  by (simp add: refl)
next
  assume  $i \neq j$ 
  with le have  $i < j$  by simp
  thus  $P\ i\ j$  using step trans by (rule less-Suc-induct)
qed
```

A pre-deflation is like a deflation, but not idempotent.

```
locale pre-deflation =
  fixes f :: 'a  $\rightarrow$  'a::cpo
  assumes less:  $\bigwedge x. f \cdot x \sqsubseteq x$ 
  assumes finite-range: finite (range ( $\lambda x. f \cdot x$ ))
begin
```

```
lemma iterate-less: iterate i f  $\cdot$  x  $\sqsubseteq$  x
by (induct i, simp-all add: trans-less [OF less])
```

```
lemma iterate-fixed: f  $\cdot$  x = x  $\implies$  iterate i f  $\cdot$  x = x
by (induct i, simp-all)
```

```
lemma antichain-iterate-app:  $i \leq j \implies$  iterate j f  $\cdot$  x  $\sqsubseteq$  iterate i f  $\cdot$  x
apply (erule le-Suc-induct)
apply (simp add: less)
apply (rule refl-less)
```

apply (*erule* (1) *trans-less*)
done

lemma *finite-range-iterate-app*: *finite* (*range* ($\lambda i. \text{iterate } i \cdot f \cdot x$))
proof (*rule finite-subset*)
show *range* ($\lambda i. \text{iterate } i \cdot f \cdot x$) \subseteq *insert* *x* (*range* ($\lambda x. f \cdot x$))
by (*clarify*, *case-tac i*, *simp-all*)
show *finite* (*insert* *x* (*range* ($\lambda x. f \cdot x$)))
by (*simp add: finite-range*)
qed

lemma *eventually-constant-iterate-app*:
eventually-constant ($\lambda i. \text{iterate } i \cdot f \cdot x$)
unfolding *eventually-constant-def MOST-nat-le*
proof –
let $?Y = \lambda i. \text{iterate } i \cdot f \cdot x$
have $\exists j. \forall k. ?Y j \sqsubseteq ?Y k$
apply (*rule finite-range-has-max*)
apply (*erule antichain-iterate-app*)
apply (*rule finite-range-iterate-app*)
done
then obtain *j* **where** $j: \bigwedge k. ?Y j \sqsubseteq ?Y k$ **by** *fast*
show $\exists z m. \forall n \geq m. ?Y n = z$
proof (*intro exI allI impI*)
fix *k*
assume $j \leq k$
hence $?Y k \sqsubseteq ?Y j$ **by** (*rule antichain-iterate-app*)
also have $?Y j \sqsubseteq ?Y k$ **by** (*rule j*)
finally show $?Y k = ?Y j$.
qed
qed

lemma *eventually-constant-iterate*:
eventually-constant ($\lambda n. \text{iterate } n \cdot f$)
proof –
have $\forall y \in \text{range } (\lambda x. f \cdot x). \text{eventually-constant } (\lambda i. \text{iterate } i \cdot f \cdot y)$
by (*simp add: eventually-constant-iterate-app*)
hence $\forall y \in \text{range } (\lambda x. f \cdot x). \text{MOST } i. \text{MOST } j. \text{iterate } j \cdot f \cdot y = \text{iterate } i \cdot f \cdot y$
unfolding *eventually-constant-MOST-MOST* .
hence $\text{MOST } i. \text{MOST } j. \forall y \in \text{range } (\lambda x. f \cdot x). \text{iterate } j \cdot f \cdot y = \text{iterate } i \cdot f \cdot y$
by (*simp only: MOST-finite-Ball-distrib [OF finite-range]*)
hence $\text{MOST } i. \text{MOST } j. \forall x. \text{iterate } j \cdot f \cdot (f \cdot x) = \text{iterate } i \cdot f \cdot (f \cdot x)$
by *simp*
hence $\text{MOST } i. \text{MOST } j. \forall x. \text{iterate } (\text{Suc } j) \cdot f \cdot x = \text{iterate } (\text{Suc } i) \cdot f \cdot x$
by (*simp only: iterate-Suc2*)
hence $\text{MOST } i. \text{MOST } j. \text{iterate } (\text{Suc } j) \cdot f = \text{iterate } (\text{Suc } i) \cdot f$
by (*simp only: expand-cfun-eq*)
hence *eventually-constant* ($\lambda i. \text{iterate } (\text{Suc } i) \cdot f$)
unfolding *eventually-constant-MOST-MOST* .

thus eventually-constant ($\lambda i. \text{iterate } i.f$)
 by (rule eventually-constant-SucD)
 qed

abbreviation

$d :: 'a \rightarrow 'a$

where

$d \equiv \text{eventual } (\lambda n. \text{iterate } n.f)$

lemma MOST-d: $\text{MOST } n. P (\text{iterate } n.f) \implies P d$
 using eventually-constant-iterate **by** (rule MOST-eventual)

lemma f-d: $f.(d.x) = d.x$
apply (rule MOST-d)
apply (subst iterate-Suc [symmetric])
apply (rule eventually-constant-MOST-Suc-eq)
apply (rule eventually-constant-iterate-app)
done

lemma d-fixed-iff: $d.x = x \longleftrightarrow f.x = x$

proof

assume $d.x = x$
 with f-d [where $x=x$]
 show $f.x = x$ **by** simp

next

assume $f: f.x = x$
 have $\forall n. \text{iterate } n.f.x = x$
 by (rule allI, rule nat.induct, simp, simp add: f)
 hence $\text{MOST } n. \text{iterate } n.f.x = x$
 by (rule ALL-MOST)
 thus $d.x = x$
 by (rule MOST-d)

qed

lemma finite-deflation-d: *finite-deflation* d

proof

fix $x :: 'a$
 have $d \in \text{range } (\lambda n. \text{iterate } n.f)$
 using eventually-constant-iterate
 by (rule eventual-mem-range)
 then obtain n where $n: d = \text{iterate } n.f$..
 have $\text{iterate } n.f.(d.x) = d.x$
 using f-d **by** (rule iterate-fixed)
 thus $d.(d.x) = d.x$
 by (simp add: n)

next

fix $x :: 'a$
 show $d.x \sqsubseteq x$
 by (rule MOST-d, simp add: iterate-less)

```

next
  from finite-range
  have finite  $\{x. f \cdot x = x\}$ 
    by (rule finite-range-imp-finite-fixes)
  thus finite  $\{x. d \cdot x = x\}$ 
    by (simp add: d-fixed-iff)
qed

end

lemma pre-deflation-d-f:
  assumes finite-deflation d
  assumes f:  $\bigwedge x. f \cdot x \sqsubseteq x$ 
  shows pre-deflation (d oo f)
proof
  interpret d: finite-deflation d by fact
  fix x
  show  $\bigwedge x. (d \text{ oo } f) \cdot x \sqsubseteq x$ 
    by (simp, rule trans-less [OF d.less f])
  show finite (range ( $\lambda x. (d \text{ oo } f) \cdot x$ ))
    by (rule finite-subset [OF - d.finite-range], auto)
qed

lemma eventual-iterate-oo-fixed-iff:
  assumes finite-deflation d
  assumes f:  $\bigwedge x. f \cdot x \sqsubseteq x$ 
  shows eventual ( $\lambda n. \text{iterate } n \cdot (d \text{ oo } f) \cdot x = x \longleftrightarrow d \cdot x = x \wedge f \cdot x = x$ )
proof -
  interpret d: finite-deflation d by fact
  let ?e = d oo f
  interpret e: pre-deflation d oo f
    using  $\langle \text{finite-deflation } d \rangle f$ 
    by (rule pre-deflation-d-f)
  let ?g = eventual ( $\lambda n. \text{iterate } n \cdot ?e$ )
  show ?thesis
    apply (subst e.d-fixed-iff)
    apply simp
    apply safe
    apply (erule subst)
    apply (rule d.idem)
    apply (rule antisym-less)
    apply (rule f)
    apply (erule subst, rule d.less)
    apply simp
  done
qed

```

29.2 Type constructor for finite deflations

defaultsort *profinite*

typedef (**open**) *'a fin-defl* = {*d::'a* \rightarrow *'a. finite-deflation d*}
by (*fast intro: finite-deflation-approx*)

instantiation *fin-defl* :: (*profinite*) *sq-ord*
begin

definition

sq-le-fin-defl-def:
 $op \sqsubseteq \equiv \lambda x y. Rep-fin-defl\ x \sqsubseteq Rep-fin-defl\ y$

instance ..
end

instance *fin-defl* :: (*profinite*) *po*
by (*rule typedef-po [OF type-definition-fin-defl sq-le-fin-defl-def]*)

lemma *finite-deflation-Rep-fin-defl*: *finite-deflation (Rep-fin-defl d)*
using *Rep-fin-defl* **by** *simp*

interpretation *Rep-fin-defl*: *finite-deflation Rep-fin-defl d*
by (*rule finite-deflation-Rep-fin-defl*)

lemma *fin-defl-lessI*:
 $(\bigwedge x. Rep-fin-defl\ a \cdot x = x \implies Rep-fin-defl\ b \cdot x = x) \implies a \sqsubseteq b$
unfolding *sq-le-fin-defl-def*
by (*rule Rep-fin-defl.lessI*)

lemma *fin-defl-lessD*:
 $\llbracket a \sqsubseteq b; Rep-fin-defl\ a \cdot x = x \rrbracket \implies Rep-fin-defl\ b \cdot x = x$
unfolding *sq-le-fin-defl-def*
by (*rule Rep-fin-defl.lessD*)

lemma *fin-defl-eqI*:
 $(\bigwedge x. Rep-fin-defl\ a \cdot x = x \longleftrightarrow Rep-fin-defl\ b \cdot x = x) \implies a = b$
apply (*rule antisym-less*)
apply (*rule fin-defl-lessI, simp*)
apply (*rule fin-defl-lessI, simp*)
done

lemma *Abs-fin-defl-mono*:
 $\llbracket finite-deflation\ a; finite-deflation\ b; a \sqsubseteq b \rrbracket$
 $\implies Abs-fin-defl\ a \sqsubseteq Abs-fin-defl\ b$
unfolding *sq-le-fin-defl-def*
by (*simp add: Abs-fin-defl-inverse*)

29.3 Take function for finite deflations

definition

$fd\text{-}take :: nat \Rightarrow 'a \text{ fin-defl} \Rightarrow 'a \text{ fin-defl}$

where

$fd\text{-}take\ i\ d = Abs\text{-}fin\text{-}defl\ (eventual\ (\lambda n. \text{iterate}\ n \cdot (\text{approx}\ i\ oo\ Rep\text{-}fin\text{-}defl\ d)))$

lemma $Rep\text{-}fin\text{-}defl\text{-}fd\text{-}take$:

$Rep\text{-}fin\text{-}defl\ (fd\text{-}take\ i\ d) =$
 $eventual\ (\lambda n. \text{iterate}\ n \cdot (\text{approx}\ i\ oo\ Rep\text{-}fin\text{-}defl\ d))$

unfolding $fd\text{-}take\text{-}def$

apply (rule $Abs\text{-}fin\text{-}defl\text{-}inverse$ [unfolded mem-Collect-eq])

apply (rule $pre\text{-}deflation.\text{finite-deflation-d}$)

apply (rule $pre\text{-}deflation\text{-}d\text{-}f$)

apply (rule $finite\text{-}deflation\text{-}approx$)

apply (rule $Rep\text{-}fin\text{-}defl.\text{less}$)

done

lemma $fd\text{-}take\text{-}fixed\text{-}iff$:

$Rep\text{-}fin\text{-}defl\ (fd\text{-}take\ i\ d) \cdot x = x \longleftrightarrow$
 $approx\ i \cdot x = x \wedge Rep\text{-}fin\text{-}defl\ d \cdot x = x$

unfolding $Rep\text{-}fin\text{-}defl\text{-}fd\text{-}take$

by (rule $eventual\text{-}iterate\text{-}oo\text{-}fixed\text{-}iff$
 $[OF\ finite\text{-}deflation\text{-}approx\ Rep\text{-}fin\text{-}defl.\text{less}]$)

lemma $fd\text{-}take\text{-}less$: $fd\text{-}take\ n\ d \sqsubseteq d$

apply (rule $fin\text{-}defl\text{-}lessI$)

apply (simp add: $fd\text{-}take\text{-}fixed\text{-}iff$)

done

lemma $fd\text{-}take\text{-}idem$: $fd\text{-}take\ n\ (fd\text{-}take\ n\ d) = fd\text{-}take\ n\ d$

apply (rule $fin\text{-}defl\text{-}eqI$)

apply (simp add: $fd\text{-}take\text{-}fixed\text{-}iff$)

done

lemma $fd\text{-}take\text{-}mono$: $a \sqsubseteq b \implies fd\text{-}take\ n\ a \sqsubseteq fd\text{-}take\ n\ b$

apply (rule $fin\text{-}defl\text{-}lessI$)

apply (simp add: $fd\text{-}take\text{-}fixed\text{-}iff$)

apply (simp add: $fin\text{-}defl\text{-}lessD$)

done

lemma $approx\text{-}fixed\text{-}le\text{-}lemma$: $\llbracket i \leq j; approx\ i \cdot x = x \rrbracket \implies approx\ j \cdot x = x$

by (erule $subst$, simp add: $min\text{-}def$)

lemma $fd\text{-}take\text{-}chain$: $m \leq n \implies fd\text{-}take\ m\ a \sqsubseteq fd\text{-}take\ n\ a$

apply (rule $fin\text{-}defl\text{-}lessI$)

apply (simp add: $fd\text{-}take\text{-}fixed\text{-}iff$)

apply (simp add: $approx\text{-}fixed\text{-}le\text{-}lemma$)

done

```

lemma finite-range-fd-take: finite (range (fd-take n))
apply (rule finite-imageD [where f= $\lambda a. \{x. \text{Rep-fin-defl } a \cdot x = x\}$ ])
apply (rule finite-subset [where B=Pow  $\{x. \text{approx } n \cdot x = x\}$ ])
apply (clarify, simp add: fd-take-fixed-iff)
apply (simp add: finite-fixes-approx)
apply (rule inj-onI, clarify)
apply (simp add: expand-set-eq fin-defl-eqI)
done

lemma fd-take-covers:  $\exists n. \text{fd-take } n \ a = a$ 
apply (rule-tac x=
  Max ( $(\lambda x. \text{LEAST } n. \text{approx } n \cdot x = x) \text{ ‘ } \{x. \text{Rep-fin-defl } a \cdot x = x\}$ ) in exI)
apply (rule antisym-less)
apply (rule fd-take-less)
apply (rule fin-defl-lessI)
apply (simp add: fd-take-fixed-iff)
apply (rule approx-fixed-le-lemma)
apply (rule Max-ge)
apply (rule finite-imageI)
apply (rule Rep-fin-defl.finite-fixes)
apply (rule imageI)
apply (erule CollectI)
apply (rule LeastI-ex)
apply (rule profinite-compact-eq-approx)
apply (erule subst)
apply (rule Rep-fin-defl.compact)
done

interpretation fin-defl: basis-take sq-le fd-take
apply default
apply (rule fd-take-less)
apply (rule fd-take-idem)
apply (erule fd-take-mono)
apply (rule fd-take-chain, simp)
apply (rule finite-range-fd-take)
apply (rule fd-take-covers)
done

```

29.4 Defining algebraic deflations by ideal completion

```

typedef (open) 'a alg-defl =
  {S::'a fin-defl set. sq-le.ideal S}
by (fast intro: sq-le.ideal-principal)

instantiation alg-defl :: (profinite) sq-ord
begin

definition
   $x \sqsubseteq y \longleftrightarrow \text{Rep-alg-defl } x \subseteq \text{Rep-alg-defl } y$ 

```

instance ..
end

instance *alg-defl* :: (*profinite*) *po*
by (*rule sq-le.typedef-ideal-po*
[*OF type-definition-alg-defl sq-le-alg-defl-def*])

instance *alg-defl* :: (*profinite*) *cpo*
by (*rule sq-le.typedef-ideal-cpo*
[*OF type-definition-alg-defl sq-le-alg-defl-def*])

lemma *Rep-alg-defl-lub*:
 $\text{chain } Y \implies \text{Rep-alg-defl } (\bigsqcup i. Y i) = (\bigcup i. \text{Rep-alg-defl } (Y i))$
by (*rule sq-le.typedef-ideal-rep-conthub*
[*OF type-definition-alg-defl sq-le-alg-defl-def*])

lemma *ideal-Rep-alg-defl*: *sq-le.ideal* (*Rep-alg-defl xs*)
by (*rule Rep-alg-defl [unfolded mem-Collect-eq]*)

definition
alg-defl-principal :: 'a *fin-defl* \Rightarrow 'a *alg-defl* **where**
alg-defl-principal t = *Abs-alg-defl* {*u. u* \sqsubseteq *t*}

lemma *Rep-alg-defl-principal*:
 $\text{Rep-alg-defl } (\text{alg-defl-principal } t) = \{u. u \sqsubseteq t\}$
unfolding *alg-defl-principal-def*
by (*simp add: Abs-alg-defl-inverse sq-le.ideal-principal*)

interpretation *alg-defl*:
ideal-completion sq-le fd-take alg-defl-principal Rep-alg-defl
apply *default*
apply (*rule ideal-Rep-alg-defl*)
apply (*erule Rep-alg-defl-lub*)
apply (*rule Rep-alg-defl-principal*)
apply (*simp only: sq-le-alg-defl-def*)
done

Algebraic deflations are pointed

lemma *finite-deflation-UU*: *finite-deflation* \perp
by *default simp-all*

lemma *alg-defl-minimal*:
 $\text{alg-defl-principal } (\text{Abs-fin-defl } \perp) \sqsubseteq x$
apply (*induct x rule: alg-defl.principal-induct, simp*)
apply (*rule alg-defl.principal-mono*)
apply (*induct-tac a*)
apply (*rule Abs-fin-defl-mono*)
apply (*rule finite-deflation-UU*)

```

apply simp
apply (rule minimal)
done

```

```

instance alg-defl :: (bifinite) pcpo
by intro-classes (fast intro: alg-defl-minimal)

```

```

lemma inst-alg-defl-pcpo:  $\perp = \text{alg-defl-principal } (\text{Abs-fin-defl } \perp)$ 
by (rule alg-defl-minimal [THEN UU-I, symmetric])

```

Algebraic deflations are profinite

```

instantiation alg-defl :: (profinite) profinite
begin

```

definition

```

approx-alg-defl-def: approx = alg-defl.completion-approx

```

```

instance
apply (intro-classes, unfold approx-alg-defl-def)
apply (rule alg-defl.chain-completion-approx)
apply (rule alg-defl.lub-completion-approx)
apply (rule alg-defl.completion-approx-idem)
apply (rule alg-defl.finite-fixes-completion-approx)
done

```

end

```

instance alg-defl :: (bifinite) bifinite ..

```

```

lemma approx-alg-defl-principal [simp]:
  approx  $n \cdot (\text{alg-defl-principal } t) = \text{alg-defl-principal } (\text{fd-take } n \ t)$ 
unfolding approx-alg-defl-def
by (rule alg-defl.completion-approx-principal)

```

```

lemma approx-eq-alg-defl-principal:
   $\exists t \in \text{Rep-alg-defl } xs. \text{approx } n \cdot xs = \text{alg-defl-principal } (\text{fd-take } n \ t)$ 
unfolding approx-alg-defl-def
by (rule alg-defl.completion-approx-eq-principal)

```

29.5 Applying algebraic deflations

definition

```

cast :: 'a alg-defl  $\rightarrow$  'a  $\rightarrow$  'a

```

where

```

cast = alg-defl.basis-fun Rep-fin-defl

```

lemma *cast-alg-defl-principal*:

```

cast  $\cdot (\text{alg-defl-principal } a) = \text{Rep-fin-defl } a$ 

```

unfolding *cast-def*

```

apply (rule alg-defl.basis-fun-principal)
apply (simp only: sq-le-fin-defl-def)
done

```

```

lemma deflation-cast: deflation (cast·d)
apply (induct d rule: alg-defl.principal-induct)
apply (rule adm-subst [OF - adm-deflation], simp)
apply (simp add: cast-alg-defl-principal)
apply (rule finite-deflation-imp-deflation)
apply (rule finite-deflation-Rep-fin-defl)
done

```

```

lemma finite-deflation-cast:
  compact d  $\implies$  finite-deflation (cast·d)
apply (drule alg-defl.compact-imp-principal, clarify)
apply (simp add: cast-alg-defl-principal)
apply (rule finite-deflation-Rep-fin-defl)
done

```

```

interpretation cast: deflation cast·d
by (rule deflation-cast)

```

```

lemma cast.( $\bigsqcup$  i. alg-defl-principal (Abs-fin-defl (approx i)))·x = x
apply (subst contlub-cfun-arg)
apply (rule chainI)
apply (rule alg-defl.principal-mono)
apply (rule Abs-fin-defl-mono)
apply (rule finite-deflation-approx)
apply (rule finite-deflation-approx)
apply (rule chainE)
apply (rule chain-approx)
apply (simp add: cast-alg-defl-principal Abs-fin-defl-inverse finite-deflation-approx)
done

```

This lemma says that if we have an ep-pair from a bifinite domain into a universal domain, then $e \circ p$ is an algebraic deflation.

```

lemma
  assumes ep-pair e p
  constrains e :: 'a::profinite  $\rightarrow$  'b::profinite
  shows  $\exists d. \text{cast} \cdot d = e \circ p$ 
proof
  interpret ep-pair e p by fact
  let ?a =  $\lambda i. e \circ \text{approx } i \circ p$ 
  have a:  $\bigwedge i. \text{finite-deflation } (?a \ i)$ 
    apply (rule finite-deflation-e-d-p)
    apply (rule finite-deflation-approx)
    done
  let ?d =  $\bigsqcup i. \text{alg-defl-principal } (\text{Abs-fin-defl } (?a \ i))$ 
  show cast·?d = e  $\circ$  p

```



```

    apply (subst contlub-cfun-arg)
    apply (rule chainI)
    apply (rule alg-defl.principal-mono)
    apply (rule Abs-fin-defl-mono [OF a a])
    apply (rule chainE, simp)
    apply (subst cast-alg-defl-principal)
    apply (simp add: Abs-fin-defl-inverse a)
    apply (simp add: expand-cfun-eq lub-distrib)
  done

```

qed

This lemma says that if we have an ep-pair from a cpo into a bifinite domain, and $e \circ p$ is an algebraic deflation, then the cpo is bifinite.

lemma

```

  assumes ep-pair e p
  constrains e :: 'a::cpo → 'b::profinite
  assumes d:  $\bigwedge x. \text{cast} \cdot d \cdot x = e \cdot (p \cdot x)$ 
  obtains a :: nat  $\Rightarrow$  'a  $\rightarrow$  'a where
     $\bigwedge i. \text{finite-deflation } (a \ i)$ 
     $(\bigsqcup i. a \ i) = ID$ 

```

proof

```

  interpret ep-pair e p by fact
  let ?a =  $\lambda i. p \circ \text{cast} \cdot (\text{approx } i \cdot d) \circ e$ 
  show  $\bigwedge i. \text{finite-deflation } (?a \ i)$ 
    apply (rule finite-deflation-p-d-e)
    apply (rule finite-deflation-cast)
    apply (rule compact-approx)
    apply (rule sq-ord-less-eq-trans [OF - d])
    apply (rule monofun-cfun-fun)
    apply (rule monofun-cfun-arg)
    apply (rule approx-less)
  done
  show  $(\bigsqcup i. ?a \ i) = ID$ 
    apply (rule ext-cfun, simp)
    apply (simp add: lub-distrib)
    apply (simp add: d)
  done

```

qed

end

30 NatIso: Isomorphisms of the Natural Numbers

```

theory NatIso
imports Parity
begin

```

30.1 Isomorphism between naturals and sums of naturals

primrec

$sum2nat :: nat + nat \Rightarrow nat$

where

$sum2nat (Inl a) = a + a$

| $sum2nat (Inr b) = Suc (b + b)$

primrec

$nat2sum :: nat \Rightarrow nat + nat$

where

$nat2sum 0 = Inl 0$

| $nat2sum (Suc n) = (case nat2sum n of$
 $Inl a \Rightarrow Inr a \mid Inr b \Rightarrow Inl (Suc b))$

lemma $nat2sum\text{-}sum2nat$ [simp]: $nat2sum (sum2nat x) = x$

by (induct x, rule-tac [!] nat.induct, simp-all)

lemma $sum2nat\text{-}nat2sum$ [simp]: $sum2nat (nat2sum n) = n$

by (induct n, auto split: sum.split)

lemma $inj\text{-}sum2nat$: $inj\ sum2nat$

by (rule inj-on-inverseI, rule nat2sum-sum2nat)

lemma $sum2nat\text{-}eq\text{-}iff$ [simp]: $sum2nat\ x = sum2nat\ y \longleftrightarrow x = y$

by (rule inj-sum2nat [THEN inj-eq])

lemma $inj\text{-}nat2sum$: $inj\ nat2sum$

by (rule inj-on-inverseI, rule sum2nat-nat2sum)

lemma $nat2sum\text{-}eq\text{-}iff$ [simp]: $nat2sum\ x = nat2sum\ y \longleftrightarrow x = y$

by (rule inj-nat2sum [THEN inj-eq])

declare $sum2nat.simps$ [simp del]

declare $nat2sum.simps$ [simp del]

30.2 Isomorphism between naturals and pairs of naturals

function

$prod2nat :: nat \times nat \Rightarrow nat$

where

$prod2nat (0, 0) = 0$

| $prod2nat (0, Suc n) = Suc (prod2nat (n, 0))$

| $prod2nat (Suc m, n) = Suc (prod2nat (m, Suc n))$

by pat-completeness auto

termination by (relation

$inv\text{-}image\ (measure\ id\ <*\text{lex}*\ >\ measure\ id)\ (\lambda(x, y). (x+y, x)))\ auto$

primrec

```

nat2prod :: nat ⇒ nat × nat
where
  nat2prod 0 = (0, 0)
| nat2prod (Suc x) =
  (case nat2prod x of (m, n) ⇒
   (case n of 0 ⇒ (0, Suc m) | Suc n ⇒ (Suc m, n)))

```

lemma *nat2prod-prod2nat [simp]: nat2prod (prod2nat x) = x*
by (induct x, rule prod2nat.induct, simp-all)

lemma *prod2nat-nat2prod [simp]: prod2nat (nat2prod n) = n*
by (induct n, auto split: prod.split nat.split)

lemma *inj-prod2nat: inj prod2nat*
by (rule inj-on-inverseI, rule nat2prod-prod2nat)

lemma *prod2nat-eq-iff [simp]: prod2nat x = prod2nat y ⟷ x = y*
by (rule inj-prod2nat [THEN inj-eq])

lemma *inj-nat2prod: inj nat2prod*
by (rule inj-on-inverseI, rule prod2nat-nat2prod)

lemma *nat2prod-eq-iff [simp]: nat2prod x = nat2prod y ⟷ x = y*
by (rule inj-nat2prod [THEN inj-eq])

30.2.1 Ordering properties

lemma *fst-snd-le-prod2nat: fst x ≤ prod2nat x ∧ snd x ≤ prod2nat x*
by (induct x rule: prod2nat.induct) auto

lemma *fst-le-prod2nat: fst x ≤ prod2nat x*
by (rule fst-snd-le-prod2nat [THEN conjunct1])

lemma *snd-le-prod2nat: snd x ≤ prod2nat x*
by (rule fst-snd-le-prod2nat [THEN conjunct2])

lemma *le-prod2nat-1: a ≤ prod2nat (a, b)*
using *fst-le-prod2nat [where x=(a, b)] by simp*

lemma *le-prod2nat-2: b ≤ prod2nat (a, b)*
using *snd-le-prod2nat [where x=(a, b)] by simp*

declare *prod2nat.simps [simp del]*
declare *nat2prod.simps [simp del]*

30.3 Isomorphism between naturals and finite sets of naturals

30.3.1 Preliminaries

lemma *finite-vimage-Suc-iff*: $\text{finite } (\text{Suc } -' F) \longleftrightarrow \text{finite } F$
apply (*safe intro!*: *finite-vimageI inj-Suc*)
apply (*rule finite-subset* [**where** $B = \text{insert } 0 (\text{Suc } -' \text{Suc } -' F)$])
apply (*rule subsetI, case-tac x, simp, simp*)
apply (*rule finite-insert* [*THEN iffD2*])
apply (*erule finite-imageI*)
done

lemma *vimage-Suc-insert-0*: $\text{Suc } -' \text{insert } 0 A = \text{Suc } -' A$
by *auto*

lemma *vimage-Suc-insert-Suc*:
 $\text{Suc } -' \text{insert } (\text{Suc } n) A = \text{insert } n (\text{Suc } -' A)$
by *auto*

lemma *even-nat-Suc-div-2*: $\text{even } x \implies \text{Suc } x \text{ div } 2 = x \text{ div } 2$
by (*simp only: numeral-2-eq-2 even-nat-plus-one-div-two*)

lemma *div2-even-ext-nat*:
 $\llbracket x \text{ div } 2 = y \text{ div } 2; \text{even } x = \text{even } y \rrbracket \implies (x :: \text{nat}) = y$
apply (*rule mod-div-equality* [*of x 2, THEN subst*])
apply (*rule mod-div-equality* [*of y 2, THEN subst*])
apply (*case-tac even x*)
apply (*simp add: numeral-2-eq-2 even-nat-equiv-def*)
apply (*simp add: numeral-2-eq-2 odd-nat-equiv-def*)
done

30.3.2 From sets to naturals

definition

set2nat :: $\text{nat set} \Rightarrow \text{nat}$ **where**
 $\text{set2nat} = \text{setsum } (op \ ^2)$

lemma *set2nat-empty* [*simp*]: $\text{set2nat } \{\} = 0$
by (*simp add: set2nat-def*)

lemma *set2nat-insert* [*simp*]:
 $\llbracket \text{finite } A; n \notin A \rrbracket \implies \text{set2nat } (\text{insert } n A) = 2^n + \text{set2nat } A$
by (*simp add: set2nat-def*)

lemma *even-set2nat-iff*: $\text{finite } A \implies \text{even } (\text{set2nat } A) = (0 \notin A)$
by (*unfold set2nat-def, erule finite-induct, auto*)

lemma *set2nat-vimage-Suc*: $\text{set2nat } (\text{Suc } -' A) = \text{set2nat } A \text{ div } 2$
apply (*case-tac finite A*)

```

apply (erule finite-induct, simp)
apply (case-tac x)
apply (simp add: even-nat-Suc-div-2 even-set2nat-iff vimage-Suc-insert-0)
apply (simp add: finite-vimageI add-commute vimage-Suc-insert-Suc)
apply (simp add: set2nat-def finite-vimage-Suc-iff)
done

```

```

lemmas set2nat-div-2 = set2nat-vimage-Suc [symmetric]

```

30.3.3 From naturals to sets

definition

```

nat2set :: nat  $\Rightarrow$  nat set where
nat2set x = {n. odd (x div 2 ^ n)}

```

```

lemma nat2set-0 [simp]: 0  $\in$  nat2set x  $\longleftrightarrow$  odd x
by (simp add: nat2set-def)

```

```

lemma nat2set-Suc [simp]:
  Suc n  $\in$  nat2set x  $\longleftrightarrow$  n  $\in$  nat2set (x div 2)
by (simp add: nat2set-def div-mult2-eq)

```

```

lemma nat2set-zero [simp]: nat2set 0 = {}
by (simp add: nat2set-def)

```

```

lemma nat2set-div-2: nat2set (x div 2) = Suc -‘ nat2set x
by auto

```

```

lemma nat2set-plus-power-2:
  n  $\notin$  nat2set z  $\implies$  nat2set (2 ^ n + z) = insert n (nat2set z)
apply (induct n arbitrary: z, simp-all)
apply (rule set-ext, induct-tac x, simp, simp add: even-nat-Suc-div-2)
apply (rule set-ext, induct-tac x, simp, simp add: add-commute)
done

```

```

lemma finite-nat2set [simp]: finite (nat2set n)
apply (induct n rule: nat-less-induct)
apply (case-tac n = 0, simp)
apply (drule-tac x=n div 2 in spec, simp)
apply (simp add: nat2set-div-2)
apply (simp add: finite-vimage-Suc-iff)
done

```

30.3.4 Proof of isomorphism

```

lemma set2nat-nat2set [simp]: set2nat (nat2set n) = n
apply (induct n rule: nat-less-induct)
apply (case-tac n = 0, simp)
apply (drule-tac x=n div 2 in spec, simp)
apply (simp add: nat2set-div-2 set2nat-vimage-Suc)

```

```

apply (erule div2-even-ext-nat)
apply (simp add: even-set2nat-iff)
done

```

```

lemma nat2set-set2nat [simp]: finite A  $\implies$  nat2set (set2nat A) = A
apply (erule finite-induct, simp-all)
apply (simp add: nat2set-plus-power-2)
done

```

```

lemma inj-nat2set: inj nat2set
by (rule inj-on-inverseI, rule set2nat-nat2set)

```

```

lemma nat2set-eq-iff [simp]: nat2set x = nat2set y  $\longleftrightarrow$  x = y
by (rule inj-eq [OF inj-nat2set])

```

```

lemma inj-on-set2nat: inj-on set2nat (Collect finite)
by (rule inj-on-inverseI [where g=nat2set], simp)

```

```

lemma set2nat-eq-iff [simp]:
   $\llbracket \text{finite } A; \text{finite } B \rrbracket \implies \text{set2nat } A = \text{set2nat } B \longleftrightarrow A = B$ 
by (rule iffI, simp add: inj-onD [OF inj-on-set2nat], simp)

```

30.3.5 Ordering properties

```

lemma nat-less-power2:  $n < 2^n$ 
by (induct n) simp-all

```

```

lemma less-set2nat:  $\llbracket \text{finite } A; x \in A \rrbracket \implies x < \text{set2nat } A$ 
unfolding set2nat-def
apply (rule order-less-le-trans [where y=setsum (op ^ 2) {x}])
apply (simp add: nat-less-power2)
apply (erule setsum-mono2, simp, simp)
done

```

```

end

```

```

theory Universal
imports CompactBasis NatIso
begin

```

30.4 Basis datatype

```

types ubasis = nat

```

```

definition

```

```

  node :: nat  $\Rightarrow$  ubasis  $\Rightarrow$  ubasis set  $\Rightarrow$  ubasis

```

```

where

```

```

  node i a S = Suc (prod2nat (i, prod2nat (a, set2nat S)))

```

lemma *node-not-0* [*simp*]: *node i a S* \neq 0
unfolding *node-def* **by** *simp*

lemma *node-gt-0* [*simp*]: 0 < *node i a S*
unfolding *node-def* **by** *simp*

lemma *node-inject* [*simp*]:
 $\llbracket \text{finite } S; \text{finite } T \rrbracket$
 $\implies \text{node } i \ a \ S = \text{node } j \ b \ T \longleftrightarrow i = j \wedge a = b \wedge S = T$
unfolding *node-def* **by** *simp*

lemma *node-gt0*: *i* < *node i a S*
unfolding *node-def less-Suc-eq-le*
by (rule *le-prod2nat-1*)

lemma *node-gt1*: *a* < *node i a S*
unfolding *node-def less-Suc-eq-le*
by (rule *order-trans* [*OF le-prod2nat-1 le-prod2nat-2*])

lemma *nat-less-power2*: $n < 2^n$
by (induct *n*) *simp-all*

lemma *node-gt2*: $\llbracket \text{finite } S; b \in S \rrbracket \implies b < \text{node } i \ a \ S$
unfolding *node-def less-Suc-eq-le set2nat-def*
apply (rule *order-trans* [*OF - le-prod2nat-2*])
apply (rule *order-trans* [*OF - le-prod2nat-2*])
apply (rule *order-trans* [**where** $y = \text{setsum } (op \ ^2) \ \{b\}$])
apply (*simp add: nat-less-power2* [*THEN order-less-imp-le*])
apply (erule *setsum-mono2*, *simp*, *simp*)
done

lemma *eq-prod2nat-pairI*:
 $\llbracket \text{fst } (\text{nat2prod } x) = a; \text{snd } (\text{nat2prod } x) = b \rrbracket \implies x = \text{prod2nat } (a, b)$
by (erule *subst*, erule *subst*, *simp*)

lemma *node-cases*:
assumes 1: $x = 0 \implies P$
assumes 2: $\bigwedge i \ a \ S. \llbracket \text{finite } S; x = \text{node } i \ a \ S \rrbracket \implies P$
shows *P*
apply (*cases x*)
apply (erule 1)
apply (rule 2)
apply (rule *finite-nat2set*)
apply (*simp add: node-def*)
apply (rule *eq-prod2nat-pairI* [*OF refl*])
apply (rule *eq-prod2nat-pairI* [*OF refl refl*])
done

lemma *node-induct*:

```

assumes 1:  $P\ 0$ 
assumes 2:  $\bigwedge i\ a\ S. \llbracket P\ a; \text{finite } S; \forall b \in S. P\ b \rrbracket \implies P\ (\text{node } i\ a\ S)$ 
shows  $P\ x$ 
apply (induct  $x$  rule: nat-less-induct)
apply (case-tac  $n$  rule: node-cases)
apply (simp add: 1)
apply (simp add: 2 node-gt1 node-gt2)
done

```

30.5 Basis ordering

inductive

ubasis-le :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$

where

ubasis-le-refl: *ubasis-le* $a\ a$

| *ubasis-le-trans*:

$\llbracket \text{ubasis-le } a\ b; \text{ubasis-le } b\ c \rrbracket \implies \text{ubasis-le } a\ c$

| *ubasis-le-lower*:

$\text{finite } S \implies \text{ubasis-le } a\ (\text{node } i\ a\ S)$

| *ubasis-le-upper*:

$\llbracket \text{finite } S; b \in S; \text{ubasis-le } a\ b \rrbracket \implies \text{ubasis-le } (\text{node } i\ a\ S)\ b$

lemma *ubasis-le-minimal*: *ubasis-le* $0\ x$

apply (*induct* x *rule*: *node-induct*)

apply (*rule* *ubasis-le-refl*)

apply (*erule* *ubasis-le-trans*)

apply (*erule* *ubasis-le-lower*)

done

30.5.1 Generic take function

function

ubasis-until :: $(\text{ubasis} \Rightarrow \text{bool}) \Rightarrow \text{ubasis} \Rightarrow \text{ubasis}$

where

ubasis-until $P\ 0 = 0$

| $\text{finite } S \implies \text{ubasis-until } P\ (\text{node } i\ a\ S) =$

(*if* $P\ (\text{node } i\ a\ S)$ *then* $\text{node } i\ a\ S$ *else* *ubasis-until* $P\ a$)

apply *clarify*

apply (*rule-tac* $x=b$ **in** *node-cases*)

apply *simp*

apply *simp*

apply *fast*

apply *simp*

apply *simp*

apply *simp*

done

termination *ubasis-until*

apply (*relation* *measure* *snd*)

apply (*rule* *wf-measure*)

apply (*simp add: node-gt1*)
done

lemma *ubasis-until*: $P\ 0 \implies P\ (\text{ubasis-until}\ P\ x)$
by (*induct x rule: node-induct*) *simp-all*

lemma *ubasis-until'*: $0 < \text{ubasis-until}\ P\ x \implies P\ (\text{ubasis-until}\ P\ x)$
by (*induct x rule: node-induct*) *auto*

lemma *ubasis-until-same*: $P\ x \implies \text{ubasis-until}\ P\ x = x$
by (*induct x rule: node-induct*) *simp-all*

lemma *ubasis-until-idem*:
 $P\ 0 \implies \text{ubasis-until}\ P\ (\text{ubasis-until}\ P\ x) = \text{ubasis-until}\ P\ x$
by (*rule ubasis-until-same [OF ubasis-until]*)

lemma *ubasis-until-0*:
 $\forall x. x \neq 0 \longrightarrow \neg P\ x \implies \text{ubasis-until}\ P\ x = 0$
by (*induct x rule: node-induct*) *simp-all*

lemma *ubasis-until-less*: *ubasis-le* (*ubasis-until* *P* *x*) *x*
apply (*induct x rule: node-induct*)
apply (*simp add: ubasis-le-refl*)
apply (*simp add: ubasis-le-refl*)
apply (*rule impI*)
apply (*erule ubasis-le-trans*)
apply (*erule ubasis-le-lower*)
done

lemma *ubasis-until-chain*:
assumes $PQ: \bigwedge x. P\ x \implies Q\ x$
shows *ubasis-le* (*ubasis-until* *P* *x*) (*ubasis-until* *Q* *x*)
apply (*induct x rule: node-induct*)
apply (*simp add: ubasis-le-refl*)
apply (*simp add: ubasis-le-refl*)
apply (*simp add: PQ*)
apply *clarify*
apply (*rule ubasis-le-trans*)
apply (*rule ubasis-until-less*)
apply (*erule ubasis-le-lower*)
done

lemma *ubasis-until-mono*:
assumes $\bigwedge i\ a\ S\ b. \llbracket \text{finite } S; P\ (\text{node } i\ a\ S); b \in S; \text{ubasis-le } a\ b \rrbracket \implies P\ b$
shows *ubasis-le* *a* *b* $\implies \text{ubasis-le}\ (\text{ubasis-until}\ P\ a)\ (\text{ubasis-until}\ P\ b)$
proof (*induct set: ubasis-le*)
case (*ubasis-le-refl* *a*) **show** ?*case* **by** (*rule ubasis-le.ubasis-le-refl*)
next
case (*ubasis-le-trans* *a* *b* *c*) **thus** ?*case* **by** — (*rule ubasis-le.ubasis-le-trans*)

```

next
  case (ubasis-le-lower S a i) thus ?case
    apply (clarsimp simp add: ubasis-le-refl)
    apply (rule ubasis-le-trans [OF ubasis-until-less])
    apply (erule ubasis-le.ubasis-le-lower)
    done
next
  case (ubasis-le-upper S b a i) thus ?case
    apply clarsimp
    apply (subst ubasis-until-same)
    apply (erule (3) prems)
    apply (erule (2) ubasis-le.ubasis-le-upper)
    done
qed

```

```

lemma finite-range-ubasis-until:
  finite {x. P x}  $\implies$  finite (range (ubasis-until P))
apply (rule finite-subset [where B=insert 0 {x. P x}])
apply (clarsimp simp add: ubasis-until')
apply simp
done

```

30.5.2 Take function for *ubasis*

```

definition
  ubasis-take :: nat  $\Rightarrow$  ubasis  $\Rightarrow$  ubasis
where
  ubasis-take n = ubasis-until ( $\lambda x. x \leq n$ )

```

```

lemma ubasis-take-le: ubasis-take n x  $\leq$  n
unfolding ubasis-take-def by (rule ubasis-until, rule le0)

```

```

lemma ubasis-take-same: x  $\leq$  n  $\implies$  ubasis-take n x = x
unfolding ubasis-take-def by (rule ubasis-until-same)

```

```

lemma ubasis-take-idem: ubasis-take n (ubasis-take n x) = ubasis-take n x
by (rule ubasis-take-same [OF ubasis-take-le])

```

```

lemma ubasis-take-0 [simp]: ubasis-take 0 x = 0
unfolding ubasis-take-def by (simp add: ubasis-until-0)

```

```

lemma ubasis-take-less: ubasis-le (ubasis-take n x) x
unfolding ubasis-take-def by (rule ubasis-until-less)

```

```

lemma ubasis-take-chain: ubasis-le (ubasis-take n x) (ubasis-take (Suc n) x)
unfolding ubasis-take-def by (rule ubasis-until-chain) simp

```

```

lemma ubasis-take-mono:
  assumes ubasis-le x y

```

```

  shows ubasis-le (ubasis-take n x) (ubasis-take n y)
unfolding ubasis-take-def
apply (rule ubasis-until-mono [OF - prems])
apply (frule (2) order-less-le-trans [OF node-gt2])
apply (erule order-less-imp-le)
done

lemma finite-range-ubasis-take: finite (range (ubasis-take n))
apply (rule finite-subset [where B={..n}])
apply (simp add: subset-eq ubasis-take-le)
apply simp
done

lemma ubasis-take-covers:  $\exists n. \text{ubasis-take } n \ x = x$ 
apply (rule exI [where x=x])
apply (simp add: ubasis-take-same)
done

interpretation udom: preorder ubasis-le
apply default
apply (rule ubasis-le-refl)
apply (erule (1) ubasis-le-trans)
done

interpretation udom: basis-take ubasis-le ubasis-take
apply default
apply (rule ubasis-take-less)
apply (rule ubasis-take-idem)
apply (erule ubasis-take-mono)
apply (rule ubasis-take-chain)
apply (rule finite-range-ubasis-take)
apply (rule ubasis-take-covers)
done

```

30.6 Defining the universal domain by ideal completion

```

typedef (open) udom = {S. udom.ideal S}
by (fast intro: udom.ideal-principal)

```

```

instantiation udom :: sq-ord
begin

```

```

definition
   $x \sqsubseteq y \longleftrightarrow \text{Rep-udom } x \subseteq \text{Rep-udom } y$ 

```

```

instance ..
end

```

```

instance udom :: po

```

```

by (rule udom.typedef-ideal-po
    [OF type-definition-udom sq-le-udom-def])

instance udom :: cpo
by (rule udom.typedef-ideal-cpo
    [OF type-definition-udom sq-le-udom-def])

lemma Rep-udom-lub:
  chain Y  $\implies$  Rep-udom ( $\bigsqcup$  i. Y i) = ( $\bigcup$  i. Rep-udom (Y i))
by (rule udom.typedef-ideal-rep-contrlub
    [OF type-definition-udom sq-le-udom-def])

lemma ideal-Rep-udom: udom.ideal (Rep-udom xs)
by (rule Rep-udom [unfolded mem-Collect-eq])

definition
  udom-principal :: nat  $\Rightarrow$  udom where
    udom-principal t = Abs-udom {u. ubasis-le u t}

lemma Rep-udom-principal:
  Rep-udom (udom-principal t) = {u. ubasis-le u t}
unfolding udom-principal-def
by (simp add: Abs-udom-inverse udom.ideal-principal)

interpretation udom:
  ideal-completion ubasis-le ubasis-take udom-principal Rep-udom
apply unfold-locales
apply (rule ideal-Rep-udom)
apply (erule Rep-udom-lub)
apply (rule Rep-udom-principal)
apply (simp only: sq-le-udom-def)
done

Universal domain is pointed

lemma udom-minimal: udom-principal 0  $\sqsubseteq$  x
apply (induct x rule: udom.principal-induct)
apply (simp, simp add: ubasis-le-minimal)
done

instance udom :: pcpo
by intro-classes (fast intro: udom-minimal)

lemma inst-udom-pcpo:  $\perp$  = udom-principal 0
by (rule udom-minimal [THEN UU-I, symmetric])

Universal domain is bifinite

instantiation udom :: bifinite
begin

```

definition

approx-udom-def: $\text{approx} = \text{udom.completion-approx}$

instance

apply (*intro-classes*, *unfold approx-udom-def*)
apply (*rule udom.chain-completion-approx*)
apply (*rule udom.lub-completion-approx*)
apply (*rule udom.completion-approx-idem*)
apply (*rule udom.finite-fixes-completion-approx*)
done

end**lemma** *approx-udom-principal* [*simp*]:

$\text{approx } n \cdot (\text{udom-principal } x) = \text{udom-principal } (\text{ubasis-take } n \ x)$

unfolding *approx-udom-def*

by (*rule udom.completion-approx-principal*)

lemma *approx-eq-udom-principal*:

$\exists a \in \text{Rep-udom } x. \text{approx } n \cdot x = \text{udom-principal } (\text{ubasis-take } n \ a)$

unfolding *approx-udom-def*

by (*rule udom.completion-approx-eq-principal*)

30.7 Universality of *udom*

defaultsort *bifinite*

30.7.1 Choosing a maximal element from a finite set**lemma** *finite-has-maximal*:

fixes $A :: 'a::\text{po set}$

shows $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \exists x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y$

proof (*induct rule: finite-ne-induct*)

case (*singleton x*)

show *?case* **by** *simp*

next

case (*insert a A*)

from $\langle \exists x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y \rangle$

obtain x **where** $x: x \in A$

and $x\text{-eq}: \bigwedge y. \llbracket y \in A; x \sqsubseteq y \rrbracket \implies x = y$ **by** *fast*

show *?case*

proof (*intro bexI ballI impI*)

fix y

assume $y \in \text{insert } a \ A$ **and** (*if* $x \sqsubseteq a$ *then* a *else* x) $\sqsubseteq y$

thus (*if* $x \sqsubseteq a$ *then* a *else* x) $= y$

apply *auto*

apply (*frule (1) trans-less*)

apply (*frule (1) x-eq*)

apply (*rule antisym-less, assumption*)

apply *simp*

```

    apply (erule (1) x-eq)
  done
next
  show (if  $x \sqsubseteq a$  then  $a$  else  $x$ )  $\in$  insert  $a$   $A$ 
    by (simp add:  $x$ )
qed
qed

definition
  choose :: 'a compact-basis set  $\Rightarrow$  'a compact-basis
where
  choose  $A = (\text{SOME } x. x \in \{x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y\})$ 

lemma choose-lemma:
   $\llbracket \text{finite } A; A \neq \{\} \rrbracket \Longrightarrow \text{choose } A \in \{x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y\}$ 
unfolding choose-def
apply (rule someI-ex)
apply (frule (1) finite-has-maximal, fast)
done

lemma maximal-choose:
   $\llbracket \text{finite } A; y \in A; \text{choose } A \sqsubseteq y \rrbracket \Longrightarrow \text{choose } A = y$ 
apply (cases  $A = \{\}$ , simp)
apply (frule (1) choose-lemma, simp)
done

lemma choose-in:  $\llbracket \text{finite } A; A \neq \{\} \rrbracket \Longrightarrow \text{choose } A \in A$ 
by (frule (1) choose-lemma, simp)

function
  choose-pos :: 'a compact-basis set  $\Rightarrow$  'a compact-basis  $\Rightarrow$  nat
where
  choose-pos  $A$   $x =$ 
    (if  $\text{finite } A \wedge x \in A \wedge x \neq \text{choose } A$ 
     then  $\text{Suc } (\text{choose-pos } (A - \{\text{choose } A\}) x)$  else 0)
by auto

termination choose-pos
apply (relation measure (card  $\circ$  fst), simp)
apply clarsimp
apply (rule card-Diff1-less)
apply assumption
apply (erule choose-in)
apply clarsimp
done

declare choose-pos.simps [simp del]

lemma choose-pos-choose:  $\text{finite } A \Longrightarrow \text{choose-pos } A (\text{choose } A) = 0$ 

```

by (simp add: choose-pos.simps)

lemma inj-on-choose-pos [OF refl]:

$\llbracket \text{card } A = n; \text{ finite } A \rrbracket \implies \text{inj-on } (\text{choose-pos } A) \ A$
 apply (induct n arbitrary: A)
 apply simp
 apply (case-tac A = {}, simp)
 apply (frule (1) choose-in)
 apply (rule inj-onI)
 apply (drule-tac x=A - {choose A} in meta-spec, simp)
 apply (simp add: choose-pos.simps)
 apply (simp split: split-if-asm)
 apply (erule (1) inj-onD, simp, simp)
 done

lemma choose-pos-bounded [OF refl]:

$\llbracket \text{card } A = n; \text{ finite } A; x \in A \rrbracket \implies \text{choose-pos } A \ x < n$
 apply (induct n arbitrary: A)
 apply simp
 apply (case-tac A = {}, simp)
 apply (frule (1) choose-in)
 apply (subst choose-pos.simps)
 apply simp
 done

lemma choose-pos-lessD:

$\llbracket \text{choose-pos } A \ x < \text{choose-pos } A \ y; \text{ finite } A; x \in A; y \in A \rrbracket \implies \neg x \sqsubseteq y$
 apply (induct A x arbitrary: y rule: choose-pos.induct)
 apply simp
 apply (case-tac x = choose A)
 apply simp
 apply (rule notI)
 apply (frule (2) maximal-choose)
 apply simp
 apply (case-tac y = choose A)
 apply (simp add: choose-pos-choose)
 apply (drule-tac x=y in meta-spec)
 apply simp
 apply (erule meta-mp)
 apply (simp add: choose-pos.simps)
 done

30.7.2 Rank of basis elements

primrec

 cb-take :: nat \Rightarrow 'a compact-basis \Rightarrow 'a compact-basis

where

 cb-take 0 = (λx . compact-bot)

| cb-take (Suc n) = compact-take n

```

lemma cb-take-covers:  $\exists n. \text{cb-take } n \ x = x$ 
apply (rule exE [OF compact-basis.take-covers [where  $a=x$ ]])
apply (rename-tac n, rule-tac  $x=\text{Suc } n$  in exI, simp)
done

```

```

lemma cb-take-less:  $\text{cb-take } n \ x \sqsubseteq x$ 
by (cases n, simp, simp add: compact-basis.take-less)

```

```

lemma cb-take-idem:  $\text{cb-take } n \ (\text{cb-take } n \ x) = \text{cb-take } n \ x$ 
by (cases n, simp, simp add: compact-basis.take-take)

```

```

lemma cb-take-mono:  $x \sqsubseteq y \implies \text{cb-take } n \ x \sqsubseteq \text{cb-take } n \ y$ 
by (cases n, simp, simp add: compact-basis.take-mono)

```

```

lemma cb-take-chain-le:  $m \leq n \implies \text{cb-take } m \ x \sqsubseteq \text{cb-take } n \ x$ 
apply (cases m, simp)
apply (cases n, simp)
apply (simp add: compact-basis.take-chain-le)
done

```

```

lemma range-const:  $\text{range } (\lambda x. c) = \{c\}$ 
by auto

```

```

lemma finite-range-cb-take: finite (range (cb-take n))
apply (cases n)
apply (simp add: range-const)
apply (simp add: compact-basis.finite-range-take)
done

```

definition

```

  rank :: 'a compact-basis  $\Rightarrow$  nat
where
  rank x = (LEAST n. cb-take n x = x)

```

```

lemma compact-approx-rank: cb-take (rank x) x = x
unfolding rank-def
apply (rule LeastI-ex)
apply (rule cb-take-covers)
done

```

```

lemma rank-leD:  $\text{rank } x \leq n \implies \text{cb-take } n \ x = x$ 
apply (rule antisym-less [OF cb-take-less])
apply (subst compact-approx-rank [symmetric])
apply (erule cb-take-chain-le)
done

```

```

lemma rank-leI:  $\text{cb-take } n \ x = x \implies \text{rank } x \leq n$ 
unfolding rank-def by (rule Least-le)

```


lemma *rank-le-iff*: $\text{rank } x \leq n \longleftrightarrow \text{cb-take } n \ x = x$
by (rule *iffI* [OF *rank-leD* *rank-leI*])

lemma *rank-compact-bot* [*simp*]: $\text{rank compact-bot} = 0$
using *rank-leI* [of 0 *compact-bot*] **by** *simp*

lemma *rank-eq-0-iff* [*simp*]: $\text{rank } x = 0 \longleftrightarrow x = \text{compact-bot}$
using *rank-le-iff* [of x 0] **by** *auto*

definition

rank-le :: 'a compact-basis \Rightarrow 'a compact-basis set

where

rank-le $x = \{y. \text{rank } y \leq \text{rank } x\}$

definition

rank-lt :: 'a compact-basis \Rightarrow 'a compact-basis set

where

rank-lt $x = \{y. \text{rank } y < \text{rank } x\}$

definition

rank-eq :: 'a compact-basis \Rightarrow 'a compact-basis set

where

rank-eq $x = \{y. \text{rank } y = \text{rank } x\}$

lemma *rank-eq-cong*: $\text{rank } x = \text{rank } y \Longrightarrow \text{rank-eq } x = \text{rank-eq } y$
unfolding *rank-eq-def* **by** *simp*

lemma *rank-lt-cong*: $\text{rank } x = \text{rank } y \Longrightarrow \text{rank-lt } x = \text{rank-lt } y$
unfolding *rank-lt-def* **by** *simp*

lemma *rank-eq-subset*: $\text{rank-eq } x \subseteq \text{rank-le } x$
unfolding *rank-eq-def* *rank-le-def* **by** *auto*

lemma *rank-lt-subset*: $\text{rank-lt } x \subseteq \text{rank-le } x$
unfolding *rank-lt-def* *rank-le-def* **by** *auto*

lemma *finite-rank-le*: *finite* (*rank-le* x)
unfolding *rank-le-def*
apply (rule *finite-subset* [where $B = \text{range } (\text{cb-take } (\text{rank } x))$])
apply *clarify*
apply (rule *range-eqI*)
apply (erule *rank-leD* [*symmetric*])
apply (rule *finite-range-cb-take*)
done

lemma *finite-rank-eq*: *finite* (*rank-eq* x)
by (rule *finite-subset* [OF *rank-eq-subset* *finite-rank-le*])

lemma *finite-rank-lt*: *finite* (*rank-lt* *x*)
by (*rule* *finite-subset* [*OF* *rank-lt-subset* *finite-rank-le*])

lemma *rank-lt-Int-rank-eq*: *rank-lt* *x* \cap *rank-eq* *x* = {}
unfolding *rank-lt-def* *rank-eq-def* *rank-le-def* **by** *auto*

lemma *rank-lt-Un-rank-eq*: *rank-lt* *x* \cup *rank-eq* *x* = *rank-le* *x*
unfolding *rank-lt-def* *rank-eq-def* *rank-le-def* **by** *auto*

30.7.3 Sequencing basis elements

definition

place :: 'a compact-basis \Rightarrow nat

where

place *x* = *card* (*rank-lt* *x*) + *choose-pos* (*rank-eq* *x*) *x*

lemma *place-bounded*: *place* *x* < *card* (*rank-le* *x*)

unfolding *place-def*

apply (*rule* *ord-less-eq-trans*)
apply (*rule* *add-strict-left-mono*)
apply (*rule* *choose-pos-bounded*)
apply (*rule* *finite-rank-eq*)
apply (*simp* *add*: *rank-eq-def*)
apply (*subst* *card-Un-disjoint* [*symmetric*])
apply (*rule* *finite-rank-lt*)
apply (*rule* *finite-rank-eq*)
apply (*rule* *rank-lt-Int-rank-eq*)
apply (*simp* *add*: *rank-lt-Un-rank-eq*)
done

lemma *place-ge*: *card* (*rank-lt* *x*) \leq *place* *x*

unfolding *place-def* **by** *simp*

lemma *place-rank-mono*:

fixes *x y* :: 'a compact-basis

shows *rank* *x* < *rank* *y* \implies *place* *x* < *place* *y*

apply (*rule* *less-le-trans* [*OF* *place-bounded*])

apply (*rule* *order-trans* [*OF* - *place-ge*])

apply (*rule* *card-mono*)

apply (*rule* *finite-rank-lt*)

apply (*simp* *add*: *rank-le-def* *rank-lt-def* *subset-eq*)

done

lemma *place-eqD*: *place* *x* = *place* *y* \implies *x* = *y*

apply (*rule* *linorder-cases* [**where** *x*=*rank* *x* **and** *y*=*rank* *y*])

apply (*drule* *place-rank-mono*, *simp*)

apply (*simp* *add*: *place-def*)

apply (*rule* *inj-on-choose-pos* [**where** *A*=*rank-eq* *x*, *THEN* *inj-onD*])

apply (*rule* *finite-rank-eq*)

```

    apply (simp cong: rank-lt-cong rank-eq-cong)
    apply (simp add: rank-eq-def)
    apply (simp add: rank-eq-def)
    apply (drule place-rank-mono, simp)
done

```

```

lemma inj-place: inj place
by (rule inj-onI, erule place-eqD)

```

30.7.4 Embedding and projection on basis elements

definition

```

sub :: 'a compact-basis  $\Rightarrow$  'a compact-basis
where
  sub x = (case rank x of 0  $\Rightarrow$  compact-bot | Suc k  $\Rightarrow$  cb-take k x)

```

```

lemma rank-sub-less: x  $\neq$  compact-bot  $\implies$  rank (sub x) < rank x
unfolding sub-def
apply (cases rank x, simp)
apply (simp add: less-Suc-eq-le)
apply (rule rank-leI)
apply (rule cb-take-idem)
done

```

```

lemma place-sub-less: x  $\neq$  compact-bot  $\implies$  place (sub x) < place x
apply (rule place-rank-mono)
apply (erule rank-sub-less)
done

```

```

lemma sub-below: sub x  $\sqsubseteq$  x
unfolding sub-def by (cases rank x, simp-all add: cb-take-less)

```

```

lemma rank-less-imp-below-sub:  $\llbracket x \sqsubseteq y; \text{rank } x < \text{rank } y \rrbracket \implies x \sqsubseteq \text{sub } y$ 
unfolding sub-def
apply (cases rank y, simp)
apply (simp add: less-Suc-eq-le)
apply (subgoal-tac cb-take nat x  $\sqsubseteq$  cb-take nat y)
apply (simp add: rank-leD)
apply (erule cb-take-mono)
done

```

function

```

basis-emb :: 'a compact-basis  $\Rightarrow$  ubasis
where
  basis-emb x = (if x = compact-bot then 0 else
    node (place x) (basis-emb (sub x))
    (basis-emb ‘ {y. place y < place x  $\wedge$  x  $\sqsubseteq$  y}))
by auto

```

```

termination basis-emb
  apply (relation measure place, simp)
  apply (simp add: place-sub-less)
  apply simp
  done

declare basis-emb.simps [simp del]

lemma basis-emb-compact-bot [simp]: basis-emb compact-bot = 0
by (simp add: basis-emb.simps)

lemma fin1: finite {y. place y < place x ∧ x ⊆ y}
  apply (subst Collect-conj-eq)
  apply (rule finite-Int)
  apply (rule disjI1)
  apply (subgoal-tac finite (place - ' {n. n < place x}), simp)
  apply (rule finite-vimageI [OF - inj-place])
  apply (simp add: lessThan-def [symmetric])
  done

lemma fin2: finite (basis-emb - ' {y. place y < place x ∧ x ⊆ y})
by (rule finite-imageI [OF fin1])

lemma rank-place-mono:
  [[place x < place y; x ⊆ y]] ⇒ rank x < rank y
  apply (rule linorder-cases, assumption)
  apply (simp add: place-def cong: rank-lt-cong rank-eq-cong)
  apply (drule choose-pos-lessD)
  apply (rule finite-rank-eq)
  apply (simp add: rank-eq-def)
  apply (simp add: rank-eq-def)
  apply simp
  apply (drule place-rank-mono, simp)
  done

lemma basis-emb-mono:
  x ⊆ y ⇒ ubasis-le (basis-emb x) (basis-emb y)
proof (induct n ≡ max (place x) (place y) arbitrary: x y rule: less-induct)
  case (less n)
  hence IH:
    ∧(a::'a compact-basis) b.
      [[max (place a) (place b) < max (place x) (place y); a ⊆ b]]
      ⇒ ubasis-le (basis-emb a) (basis-emb b)
  by simp
  show ?case proof (rule linorder-cases)
    assume place x < place y
    then have rank x < rank y
      using ⟨x ⊆ y⟩ by (rule rank-place-mono)
    with ⟨place x < place y⟩ show ?case

```

```

    apply (case-tac y = compact-bot, simp)
    apply (simp add: basis-emb.simps [of y])
    apply (rule ubasis-le-trans [OF - ubasis-le-lower [OF fin2]])
    apply (rule IH)
    apply (simp add: less-max-iff-disj)
    apply (erule place-sub-less)
    apply (erule rank-less-imp-below-sub [OF ⟨x ⊆ y⟩])
    done
  next
    assume place x = place y
    hence x = y by (rule place-eqD)
    thus ?case by (simp add: ubasis-le-refl)
  next
    assume place x > place y
    with ⟨x ⊆ y⟩ show ?case
      apply (case-tac x = compact-bot, simp add: ubasis-le-minimal)
      apply (simp add: basis-emb.simps [of x])
      apply (rule ubasis-le-upper [OF fin2], simp)
      apply (rule IH)
      apply (simp add: less-max-iff-disj)
      apply (erule place-sub-less)
      apply (erule rev-trans-less)
      apply (rule sub-below)
      done
    qed
  qed

lemma inj-basis-emb: inj basis-emb
  apply (rule inj-onI)
  apply (case-tac x = compact-bot)
  apply (case-tac [!] y = compact-bot)
  apply simp
  apply (simp add: basis-emb.simps)
  apply (simp add: basis-emb.simps)
  apply (simp add: basis-emb.simps)
  apply (simp add: fin2 inj-eq [OF inj-place])
done

definition
  basis-prj :: ubasis ⇒ 'a compact-basis
where
  basis-prj x = inv basis-emb
    (ubasis-until (λx. x ∈ range (basis-emb :: 'a compact-basis ⇒ ubasis)) x)

lemma basis-prj-basis-emb: ∧x. basis-prj (basis-emb x) = x
unfolding basis-prj-def
  apply (subst ubasis-until-same)
  apply (rule rangeI)
  apply (rule inv-f-f)

```

apply (*rule inj-basis-emb*)
done

lemma *basis-prj-node*:
 $\llbracket \text{finite } S; \text{ node } i \text{ a } S \notin \text{range } (\text{basis-emb} :: 'a \text{ compact-basis} \Rightarrow \text{nat}) \rrbracket$
 $\implies \text{basis-prj } (\text{node } i \text{ a } S) = (\text{basis-prj } a :: 'a \text{ compact-basis})$
unfolding *basis-prj-def* **by** *simp*

lemma *basis-prj-0*: *basis-prj 0 = compact-bot*
apply (*subst basis-emb-compact-bot [symmetric]*)
apply (*rule basis-prj-basis-emb*)
done

lemma *node-eq-basis-emb-iff*:
 $\text{finite } S \implies \text{node } i \text{ a } S = \text{basis-emb } x \longleftrightarrow$
 $x \neq \text{compact-bot} \wedge i = \text{place } x \wedge a = \text{basis-emb } (\text{sub } x) \wedge$
 $S = \text{basis-emb } \{y. \text{place } y < \text{place } x \wedge x \sqsubseteq y\}$
apply (*cases x = compact-bot, simp*)
apply (*simp add: basis-emb.simps [of x]*)
apply (*simp add: fin2*)
done

lemma *basis-prj-mono*: *ubasis-le a b \implies basis-prj a \sqsubseteq basis-prj b*
proof (*induct a b rule: ubasis-le.induct*)
case (*ubasis-le-refl a*) **show** ?*case* **by** (*rule refl-less*)
next
case (*ubasis-le-trans a b c*) **thus** ?*case* **by** – (*rule trans-less*)
next
case (*ubasis-le-lower S a i*) **thus** ?*case*
apply (*cases node i a S \in range (basis-emb :: 'a compact-basis \Rightarrow nat)*)
apply (*erule rangeE, rename-tac x*)
apply (*simp add: basis-prj-basis-emb*)
apply (*simp add: node-eq-basis-emb-iff*)
apply (*simp add: basis-prj-basis-emb*)
apply (*rule sub-below*)
apply (*simp add: basis-prj-node*)
done
next
case (*ubasis-le-upper S b a i*) **thus** ?*case*
apply (*cases node i a S \in range (basis-emb :: 'a compact-basis \Rightarrow nat)*)
apply (*erule rangeE, rename-tac x*)
apply (*simp add: basis-prj-basis-emb*)
apply (*clarsimp simp add: node-eq-basis-emb-iff*)
apply (*simp add: basis-prj-basis-emb*)
apply (*simp add: basis-prj-node*)
done
qed

lemma *basis-emb-prj-less*: *ubasis-le (basis-emb (basis-prj x)) x*

```

unfolding basis-prj-def
  apply (subst f-inv-f [where  $f = \text{basis-emb}$ ])
  apply (rule ubasis-until)
  apply (rule range-eqI [where  $x = \text{compact-bot}$ ])
  apply simp
  apply (rule ubasis-until-less)
done

```

```

hide (open) const
  node
  choose
  choose-pos
  place
  sub

```

30.7.5 EP-pair from any bifinite domain into *udom*

definition

$\text{udom-emb} :: 'a::\text{bifinite} \rightarrow \text{udom}$

where

$\text{udom-emb} = \text{compact-basis.basis-fun } (\lambda x. \text{udom-principal } (\text{basis-emb } x))$

definition

$\text{udom-prj} :: \text{udom} \rightarrow 'a::\text{bifinite}$

where

$\text{udom-prj} = \text{udom.basis-fun } (\lambda x. \text{Rep-compact-basis } (\text{basis-prj } x))$

lemma *udom-emb-principal*:

$\text{udom-emb} \cdot (\text{Rep-compact-basis } x) = \text{udom-principal } (\text{basis-emb } x)$

unfolding *udom-emb-def*

apply (*rule compact-basis.basis-fun-principal*)

apply (*rule udom.principal-mono*)

apply (*erule basis-emb-mono*)

done

lemma *udom-prj-principal*:

$\text{udom-prj} \cdot (\text{udom-principal } x) = \text{Rep-compact-basis } (\text{basis-prj } x)$

unfolding *udom-prj-def*

apply (*rule udom.basis-fun-principal*)

apply (*rule compact-basis.principal-mono*)

apply (*erule basis-prj-mono*)

done

lemma *ep-pair-udom*: *ep-pair udom-emb udom-prj*

apply *default*

apply (*rule compact-basis.principal-induct, simp*)

apply (*simp add: udom-emb-principal udom-prj-principal*)

apply (*simp add: basis-prj-basis-emb*)

apply (*rule udom.principal-induct, simp*)

```

apply (simp add: udom-emb-principal udom-prj-principal)
apply (rule basis-emb-prj-less)
done

end

```

31 Sum-Cpo: The cpo of disjoint sums

```

theory Sum-Cpo
imports Bifinite
begin

```

31.1 Ordering on type 'a + 'b

```

instantiation + :: (sq-ord, sq-ord) sq-ord
begin

```

definition

```

less-sum-def:  $x \sqsubseteq y \equiv \text{case } x \text{ of}$ 
   $\text{Inl } a \Rightarrow (\text{case } y \text{ of } \text{Inl } b \Rightarrow a \sqsubseteq b \mid \text{Inr } b \Rightarrow \text{False}) \mid$ 
   $\text{Inr } a \Rightarrow (\text{case } y \text{ of } \text{Inl } b \Rightarrow \text{False} \mid \text{Inr } b \Rightarrow a \sqsubseteq b)$ 

```

```

instance ..
end

```

```

lemma Inl-less-iff [simp]:  $\text{Inl } x \sqsubseteq \text{Inl } y = x \sqsubseteq y$ 
unfolding less-sum-def by simp

```

```

lemma Inr-less-iff [simp]:  $\text{Inr } x \sqsubseteq \text{Inr } y = x \sqsubseteq y$ 
unfolding less-sum-def by simp

```

```

lemma Inl-less-Inr [simp]:  $\neg \text{Inl } x \sqsubseteq \text{Inr } y$ 
unfolding less-sum-def by simp

```

```

lemma Inr-less-Inl [simp]:  $\neg \text{Inr } x \sqsubseteq \text{Inl } y$ 
unfolding less-sum-def by simp

```

```

lemma Inl-mono:  $x \sqsubseteq y \Longrightarrow \text{Inl } x \sqsubseteq \text{Inl } y$ 
by simp

```

```

lemma Inr-mono:  $x \sqsubseteq y \Longrightarrow \text{Inr } x \sqsubseteq \text{Inr } y$ 
by simp

```

```

lemma Inl-lessE:  $\llbracket \text{Inl } a \sqsubseteq x; \bigwedge b. \llbracket x = \text{Inl } b; a \sqsubseteq b \rrbracket \Longrightarrow R \rrbracket \Longrightarrow R$ 
by (cases x, simp-all)

```

```

lemma Inr-lessE:  $\llbracket \text{Inr } a \sqsubseteq x; \bigwedge b. \llbracket x = \text{Inr } b; a \sqsubseteq b \rrbracket \Longrightarrow R \rrbracket \Longrightarrow R$ 
by (cases x, simp-all)

```


lemmas *sum-less-elim*s = *Inl-lessE* *Inr-lessE*

lemma *sum-less-cases*:

$\llbracket x \sqsubseteq y; \bigwedge a b. \llbracket x = \text{Inl } a; y = \text{Inl } b; a \sqsubseteq b \rrbracket \implies R;$
 $\bigwedge a b. \llbracket x = \text{Inr } a; y = \text{Inr } b; a \sqsubseteq b \rrbracket \implies R \rrbracket \implies R$

by (*cases* *x*, *safe elim!*: *sum-less-elim*s, *auto*)

31.2 Sum type is a complete partial order

instance $+$:: (*po*, *po*) *po*

proof

fix *x* :: '*a* + '*b*

show $x \sqsubseteq x$

by (*induct* *x*, *simp-all*)

next

fix *x y* :: '*a* + '*b*

assume $x \sqsubseteq y$ **and** $y \sqsubseteq x$ **thus** $x = y$

by (*induct* *x*, *auto elim!*: *sum-less-elim*s *intro*: *antisym-less*)

next

fix *x y z* :: '*a* + '*b*

assume $x \sqsubseteq y$ **and** $y \sqsubseteq z$ **thus** $x \sqsubseteq z$

by (*induct* *x*, *auto elim!*: *sum-less-elim*s *intro*: *trans-less*)

qed

lemma *monofun-inv-Inl*: *monofun* ($\lambda p. \text{THE } a. p = \text{Inl } a$)

by (*rule monofunI*, *erule sum-less-cases*, *simp-all*)

lemma *monofun-inv-Inr*: *monofun* ($\lambda p. \text{THE } b. p = \text{Inr } b$)

by (*rule monofunI*, *erule sum-less-cases*, *simp-all*)

lemma *sum-chain-cases*:

assumes *Y*: *chain* *Y*

assumes *A*: $\bigwedge A. \llbracket \text{chain } A; Y = (\lambda i. \text{Inl } (A \ i)) \rrbracket \implies R$

assumes *B*: $\bigwedge B. \llbracket \text{chain } B; Y = (\lambda i. \text{Inr } (B \ i)) \rrbracket \implies R$

shows *R*

apply (*cases* *Y* 0)

apply (*rule* *A*)

apply (*rule* *ch2ch-monofun* [*OF monofun-inv-Inl* *Y*])

apply (*rule* *ext*)

apply (*cut-tac* *j=i* **in** *chain-mono* [*OF* *Y le0*], *simp*)

apply (*erule Inl-lessE*, *simp*)

apply (*rule* *B*)

apply (*rule* *ch2ch-monofun* [*OF monofun-inv-Inr* *Y*])

apply (*rule* *ext*)

apply (*cut-tac* *j=i* **in** *chain-mono* [*OF* *Y le0*], *simp*)

apply (*erule Inr-lessE*, *simp*)

done

```

lemma is-lub-Inl: range  $S \ll x \implies \text{range } (\lambda i. \text{Inl } (S i)) \ll \text{Inl } x$ 
apply (rule is-lubI)
apply (rule ub-rangeI)
apply (simp add: is-ub-lub)
apply (frule ub-rangeD [where i=arbitrary])
apply (erule Inl-lessE, simp)
apply (erule is-lub-lub)
apply (rule ub-rangeI)
apply (drule ub-rangeD, simp)
done

```

```

lemma is-lub-Inr: range  $S \ll x \implies \text{range } (\lambda i. \text{Inr } (S i)) \ll \text{Inr } x$ 
apply (rule is-lubI)
apply (rule ub-rangeI)
apply (simp add: is-ub-lub)
apply (frule ub-rangeD [where i=arbitrary])
apply (erule Inr-lessE, simp)
apply (erule is-lub-lub)
apply (rule ub-rangeI)
apply (drule ub-rangeD, simp)
done

```

```

instance + :: (cpo, cpo) cpo
apply intro-classes
apply (erule sum-chain-cases, safe)
apply (rule exI)
apply (rule is-lub-Inl)
apply (erule cpo-lubI)
apply (rule exI)
apply (rule is-lub-Inr)
apply (erule cpo-lubI)
done

```

31.3 Continuity of *Inl*, *Inr*, *sum-case*

```

lemma cont2cont-Inl [simp]: cont  $f \implies \text{cont } (\lambda x. \text{Inl } (f x))$ 
by (fast intro: contI is-lub-Inl elim: contE)

```

```

lemma cont2cont-Inr [simp]: cont  $f \implies \text{cont } (\lambda x. \text{Inr } (f x))$ 
by (fast intro: contI is-lub-Inr elim: contE)

```

```

lemma cont-Inl: cont Inl
by (rule cont2cont-Inl [OF cont-id])

```

```

lemma cont-Inr: cont Inr
by (rule cont2cont-Inr [OF cont-id])

```

```

lemmas ch2ch-Inl [simp] = ch2ch-cont [OF cont-Inl]
lemmas ch2ch-Inr [simp] = ch2ch-cont [OF cont-Inr]

lemmas lub-Inl = cont2contlubE [OF cont-Inl, symmetric]
lemmas lub-Inr = cont2contlubE [OF cont-Inr, symmetric]

lemma cont-sum-case1:
  assumes f:  $\bigwedge a. \text{cont } (\lambda x. f\ x\ a)$ 
  assumes g:  $\bigwedge b. \text{cont } (\lambda x. g\ x\ b)$ 
  shows cont  $(\lambda x. \text{case } y \text{ of } \text{Inl } a \Rightarrow f\ x\ a \mid \text{Inr } b \Rightarrow g\ x\ b)$ 
by (induct y, simp add: f, simp add: g)

lemma cont-sum-case2:  $\llbracket \text{cont } f; \text{cont } g \rrbracket \Longrightarrow \text{cont } (\text{sum-case } f\ g)$ 
apply (rule contI)
apply (erule sum-chain-cases)
apply (simp add: cont2contlubE [OF cont-Inl, symmetric] contE)
apply (simp add: cont2contlubE [OF cont-Inr, symmetric] contE)
done

lemma cont2cont-sum-case [simp]:
  assumes f1:  $\bigwedge a. \text{cont } (\lambda x. f\ x\ a)$  and f2:  $\bigwedge x. \text{cont } (\lambda a. f\ x\ a)$ 
  assumes g1:  $\bigwedge b. \text{cont } (\lambda x. g\ x\ b)$  and g2:  $\bigwedge x. \text{cont } (\lambda b. g\ x\ b)$ 
  assumes h: cont  $(\lambda x. h\ x)$ 
  shows cont  $(\lambda x. \text{case } h\ x \text{ of } \text{Inl } a \Rightarrow f\ x\ a \mid \text{Inr } b \Rightarrow g\ x\ b)$ 
apply (rule cont2cont-app2 [OF cont2cont-lambda - h])
apply (rule cont-sum-case1 [OF f1 g1])
apply (rule cont-sum-case2 [OF f2 g2])
done

31.4 Compactness and chain-finiteness

lemma compact-Inl: compact a  $\Longrightarrow$  compact (Inl a)
apply (rule compactI2)
apply (erule sum-chain-cases, safe)
apply (simp add: lub-Inl)
apply (erule (2) compactD2)
apply (simp add: lub-Inr)
done

lemma compact-Inr: compact a  $\Longrightarrow$  compact (Inr a)
apply (rule compactI2)
apply (erule sum-chain-cases, safe)
apply (simp add: lub-Inl)
apply (simp add: lub-Inr)
apply (erule (2) compactD2)
done

lemma compact-Inl-rev: compact (Inl a)  $\Longrightarrow$  compact a
unfolding compact-def

```

by (*drule adm-subst* [*OF cont-Inl*], *simp*)

lemma *compact-Inr-rev*: *compact (Inr a) \implies compact a*
unfolding *compact-def*
by (*drule adm-subst* [*OF cont-Inr*], *simp*)

lemma *compact-Inl-iff* [*simp*]: *compact (Inl a) = compact a*
by (*safe elim!*: *compact-Inl compact-Inl-rev*)

lemma *compact-Inr-iff* [*simp*]: *compact (Inr a) = compact a*
by (*safe elim!*: *compact-Inr compact-Inr-rev*)

instance $+$:: (*chfin*, *chfin*) *chfin*
apply *intro-classes*
apply (*erule compact-imp-max-in-chain*)
apply (*case-tac* \sqcup *i. Y i, simp-all*)
done

instance $+$:: (*finite-po*, *finite-po*) *finite-po* ..

instance $+$:: (*discrete-cpo*, *discrete-cpo*) *discrete-cpo*
by *intro-classes* (*simp add: less-sum-def split: sum.split*)

31.5 Sum type is a bifinite domain

instantiation $+$:: (*profinite*, *profinite*) *profinite*
begin

definition

approx-sum-def: *approx* =
 $(\lambda n. \Lambda x. \text{case } x \text{ of } \text{Inl } a \Rightarrow \text{Inl } (\text{approx } n \cdot a) \mid \text{Inr } b \Rightarrow \text{Inr } (\text{approx } n \cdot b))$

lemma *approx-Inl* [*simp*]: *approx n · (Inl x) = Inl (approx n · x)*
unfolding *approx-sum-def* **by** *simp*

lemma *approx-Inr* [*simp*]: *approx n · (Inr x) = Inr (approx n · x)*
unfolding *approx-sum-def* **by** *simp*

instance proof

fix *i* :: *nat* **and** *x* :: '*a* + '*b*
show *chain* (*approx* :: *nat* \Rightarrow '*a* + '*b* \rightarrow '*a* + '*b*)
unfolding *approx-sum-def*
by (*rule ch2ch-LAM*, *case-tac x*, *simp-all*)
show $(\sqcup i. \text{approx } i \cdot x) = x$
by (*induct x*, *simp-all add: lub-Inl lub-Inr*)
show *approx i · (approx i · x) = approx i · x*
by (*induct x*, *simp-all*)
have $\{x :: 'a + 'b. \text{approx } i \cdot x = x\} \subseteq$
 $\{x :: 'a. \text{approx } i \cdot x = x\} <+> \{x :: 'b. \text{approx } i \cdot x = x\}$

```

    by (rule subsetI, case-tac x, simp-all add: InlI InrI)
  thus finite {x::'a + 'b. approx i.x = x}
    by (rule finite-subset,
        intro finite-Plus finite-fixes-approx)
qed

end

end

```

```

theory HOLCF
imports
  Domain ConvexPD Algebraic Universal Sum-Cpo Main
uses
  holcf-logic.ML
  Tools/cont-consts.ML
  Tools/cont-proc.ML
  Tools/domain/domain-library.ML
  Tools/domain/domain-syntax.ML
  Tools/domain/domain-axioms.ML
  Tools/domain/domain-theorems.ML
  Tools/domain/domain-extender.ML
  Tools/adm-tac.ML
begin

defaultsort pcpo

declaration << fn - =>
  Simplifier.map-ss (fn simpset => simpset addSolver
    (mk-solver' adm-tac (fn ss =>
      Adm.adm-tac (Simplifier.the-context ss)
        (cut-facts-tac (Simplifier.prem-ss ss) THEN' cont-tacRs ss)))));
  >>

end

```