

Examples for program extraction in Higher-Order Logic

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1 Auxiliary lemmas used in program extraction examples

```
theory Util
imports Main
begin
```

Decidability of equality on natural numbers.

```
lemma nat-eq-dec:  $\bigwedge n::nat. m = n \vee m \neq n$ 
   $\langle proof \rangle$ 
```

Well-founded induction on natural numbers, derived using the standard structural induction rule.

```
lemma nat-wf-ind:
  assumes R:  $\bigwedge x::nat. (\bigwedge y. y < x \implies P\ y) \implies P\ x$ 
  shows  $P\ z$ 
   $\langle proof \rangle$ 
```

Bounded search for a natural number satisfying a decidable predicate.

```
lemma search:
  assumes dec:  $\bigwedge x::nat. P\ x \vee \neg P\ x$ 
  shows  $(\exists x < y. P\ x) \vee \neg (\exists x < y. P\ x)$ 
   $\langle proof \rangle$ 
```

```
end
```

2 Quotient and remainder

```
theory QuotRem
imports Util
begin
```

Derivation of quotient and remainder using program extraction.

```
theorem division:  $\exists r\ q. a = Suc\ b * q + r \wedge r \leq b$ 
   $\langle proof \rangle$ 
```

```
extract division
```

The program extracted from the above proof looks as follows

```
division  $\equiv$ 
 $\lambda x\ xa.$ 
  nat-induct-P x (0, 0)
  ( $\lambda a\ H.$  let (x, y) = H
    in case nat-eq-dec x xa of Left  $\Rightarrow$  (0, Suc y)
      | Right  $\Rightarrow$  (Suc x, y))
```

The corresponding correctness theorem is

$$a = \text{Suc } b * \text{snd } (\text{division } a \ b) + \text{fst } (\text{division } a \ b) \wedge \text{fst } (\text{division } a \ b) \leq b$$

lemma *division 9 2 = (0, 3) <proof>*

lemma *division 9 2 = (0, 3) <proof>*

end

3 Greatest common divisor

theory *Greatest-Common-Divisor*

imports *QuotRem*

begin

theorem *greatest-common-divisor:*

$$\bigwedge n::\text{nat}. \text{Suc } m < n \implies \exists k \ n1 \ m1. k * n1 = n \wedge k * m1 = \text{Suc } m \wedge \\ (\forall l \ l1 \ l2. l * l1 = n \longrightarrow l * l2 = \text{Suc } m \longrightarrow l \leq k) \\ \langle \text{proof} \rangle$$

extract *greatest-common-divisor*

The extracted program for computing the greatest common divisor is

greatest-common-divisor \equiv

$\lambda x. \text{nat-wf-ind-}P \ x$

$(\lambda x \ H2 \ xa.$

$\text{let } (xa, y) = \text{division } xa \ x$

$\text{in case } xa \text{ of } 0 \Rightarrow (\text{Suc } x, y, 1)$

$\mid \text{Suc } nat \Rightarrow$

$\text{let } (x, ya) = H2 \ nat \ (\text{Suc } x); (xa, ya) = ya$

$\text{in } (x, xa * y + ya, xa))$

instantiation *nat :: default*

begin

definition *default = (0::nat)*

instance *<proof>*

end

instantiation ** :: (default, default) default*

begin

definition *default = (default, default)*

instance *<proof>*

```

end

instantiation fun :: (type, default) default
begin

definition default = (λx. default)

instance ⟨proof⟩

end

consts-code
  default ((error default))

lemma greatest-common-divisor 7 12 = (4, 3, 2) ⟨proof⟩
lemma greatest-common-divisor 7 12 = (4, 3, 2) ⟨proof⟩

end

```

4 Warshall's algorithm

```

theory Warshall
imports Main
begin

```

Derivation of Warshall's algorithm using program extraction, based on Berger, Schwichtenberg and Seisenberger [1].

```

datatype b = T | F

```

```

primrec
  is-path' :: ('a ⇒ 'a ⇒ b) ⇒ 'a ⇒ 'a list ⇒ 'a ⇒ bool
where
  is-path' r x [] z = (r x z = T)
  | is-path' r x (y # ys) z = (r x y = T ∧ is-path' r y ys z)

```

```

definition
  is-path :: (nat ⇒ nat ⇒ b) ⇒ (nat * nat list * nat) ⇒
    nat ⇒ nat ⇒ nat ⇒ bool

```

```

where
  is-path r p i j k ⟷ fst p = j ∧ snd (snd p) = k ∧
    list-all (λx. x < i) (fst (snd p)) ∧
    is-path' r (fst p) (fst (snd p)) (snd (snd p))

```

```

definition
  conc :: ('a * 'a list * 'a) ⇒ ('a * 'a list * 'a) ⇒ ('a * 'a list * 'a)
where
  conc p q = (fst p, fst (snd p) @ fst q # fst (snd q), snd (snd q))

```

theorem *is-path'-snoc* [simp]:

$$\bigwedge x. \text{is-path}' r x (ys @ [y]) z = (\text{is-path}' r x ys y \wedge r y z = T)$$

<proof>

theorem *list-all-scoc* [simp]: *list-all* *P* (*xs* @ [*x*]) = (*P* *x* \wedge *list-all* *P* *xs*)

<proof>

theorem *list-all-lemma*:

$$\text{list-all } P \text{ } xs \implies (\bigwedge x. P \text{ } x \implies Q \text{ } x) \implies \text{list-all } Q \text{ } xs$$

<proof>

theorem *lemma1*: $\bigwedge p. \text{is-path } r \text{ } p \text{ } i \text{ } j \text{ } k \implies \text{is-path } r \text{ } p \text{ } (\text{Suc } i) \text{ } j \text{ } k$

<proof>

theorem *lemma2*: $\bigwedge p. \text{is-path } r \text{ } p \text{ } 0 \text{ } j \text{ } k \implies r \text{ } j \text{ } k = T$

<proof>

theorem *is-path'-conc*: $\text{is-path}' r \text{ } j \text{ } xs \text{ } i \implies \text{is-path}' r \text{ } i \text{ } ys \text{ } k \implies$

$$\text{is-path}' r \text{ } j \text{ } (xs @ i \# ys) \text{ } k$$

<proof>

theorem *lemma3*:

$$\bigwedge p \text{ } q. \text{is-path } r \text{ } p \text{ } i \text{ } j \text{ } i \implies \text{is-path } r \text{ } q \text{ } i \text{ } i \text{ } k \implies$$

$$\text{is-path } r \text{ } (\text{conc } p \text{ } q) \text{ } (\text{Suc } i) \text{ } j \text{ } k$$

<proof>

theorem *lemma5*:

$$\bigwedge p. \text{is-path } r \text{ } p \text{ } (\text{Suc } i) \text{ } j \text{ } k \implies \sim \text{is-path } r \text{ } p \text{ } i \text{ } j \text{ } k \implies$$

$$(\exists q. \text{is-path } r \text{ } q \text{ } i \text{ } j \text{ } i) \wedge (\exists q'. \text{is-path } r \text{ } q' \text{ } i \text{ } i \text{ } k)$$

<proof>

theorem *lemma5'*:

$$\bigwedge p. \text{is-path } r \text{ } p \text{ } (\text{Suc } i) \text{ } j \text{ } k \implies \neg \text{is-path } r \text{ } p \text{ } i \text{ } j \text{ } k \implies$$

$$\neg (\forall q. \neg \text{is-path } r \text{ } q \text{ } i \text{ } j \text{ } i) \wedge \neg (\forall q'. \neg \text{is-path } r \text{ } q' \text{ } i \text{ } i \text{ } k)$$

<proof>

theorem *warshall*:

$$\bigwedge j \text{ } k. \neg (\exists p. \text{is-path } r \text{ } p \text{ } i \text{ } j \text{ } k) \vee (\exists p. \text{is-path } r \text{ } p \text{ } i \text{ } j \text{ } k)$$

<proof>

extract *warshall*

The program extracted from the above proof looks as follows

warshall \equiv

$\lambda x \text{ } xa \text{ } xb \text{ } xc.$

nat-induct-P *xa*

$(\lambda xa \text{ } xb. \text{case } x \text{ } xa \text{ } xb \text{ of } T \Rightarrow \text{Some } (xa, [], xb) \mid F \Rightarrow \text{None})$

$(\lambda x \text{ } H2 \text{ } xa \text{ } xb.$

```

      case H2 xa xb of
      None  $\Rightarrow$ 
        case H2 xa x of None  $\Rightarrow$  None
        | Some q  $\Rightarrow$ 
          case H2 x xb of None  $\Rightarrow$  None | Some qa  $\Rightarrow$  Some (conc q qa)
        | Some q  $\Rightarrow$  Some q)
    xb xc

```

The corresponding correctness theorem is

```

case warshall r i j k of None  $\Rightarrow \forall x. \neg \text{is-path } r \ x \ i \ j \ k$ 
| Some q  $\Rightarrow \text{is-path } r \ q \ i \ j \ k$ 

```

$\langle ML \rangle$

end

5 Combinator syntax for generic, open state monads (single threaded monads)

```

theory State-Monad
imports Main
begin

```

5.1 Motivation

The logic HOL has no notion of constructor classes, so it is not possible to model monads the Haskell way in full genericity in Isabelle/HOL.

However, this theory provides substantial support for a very common class of monads: *state monads* (or *single-threaded monads*, since a state is transformed single-threaded).

To enter from the Haskell world, http://www.engr.mun.ca/~theo/Misc/haskell_and_monads.htm makes a good motivating start. Here we just sketch briefly how those monads enter the game of Isabelle/HOL.

5.2 State transformations and combinators

We classify functions operating on states into two categories:

transformations with type signature $\sigma \Rightarrow \sigma'$, transforming a state.

“yielding” transformations with type signature $\sigma \Rightarrow \alpha \times \sigma'$, “yielding” a side result while transforming a state.

queries with type signature $\sigma \Rightarrow \alpha$, computing a result dependent on a state.

By convention we write σ for types representing states and $\alpha, \beta, \gamma, \dots$ for types representing side results. Type changes due to transformations are not excluded in our scenario.

We aim to assert that values of any state type σ are used in a single-threaded way: after application of a transformation on a value of type σ , the former value should not be used again. To achieve this, we use a set of monad combinators:

notation *fcomp* (**infixl** *o>* 60)
notation (*xsymbols*) *fcomp* (**infixl** *o>* 60)
notation *scomp* (**infixl** *o→* 60)
notation (*xsymbols*) *scomp* (**infixl** *o→* 60)

abbreviation (*input*)
return \equiv *Pair*

Given two transformations f and g , they may be directly composed using the *op o>* combinator, forming a forward composition: $(f\ o>\ g)\ s = f\ (g\ s)$.

After any yielding transformation, we bind the side result immediately using a lambda abstraction. This is the purpose of the *op o→* combinator: $(f\ o\rightarrow\ (\lambda x. g))\ s = (let\ (x, s') = f\ s\ in\ g\ s')$.

For queries, the existing *Let* is appropriate.

Naturally, a computation may yield a side result by pairing it to the state from the left; we introduce the suggestive abbreviation *Pair* for this purpose.

The most crucial distinction to Haskell is that we do not need to introduce distinguished type constructors for different kinds of state. This has two consequences:

- The monad model does not state anything about the kind of state; the model for the state is completely orthogonal and may be specified completely independently.
- There is no distinguished type constructor encapsulating away the state transformation, i.e. transformations may be applied directly without using any lifting or providing and dropping units (“open monad”).
- The type of states may change due to a transformation.

5.3 Monad laws

The common monadic laws hold and may also be used as normalization rules for monadic expressions:

lemmas *monad-simp* = *Pair-scomp scomp-Pair id-fcomp fcomp-id scomp-scomp scomp-fcomp fcomp-scomp fcomp-assoc*

Evaluation of monadic expressions by force:

lemmas *monad-collapse* = *monad-simp fcomp-apply scomp-apply split-beta*

5.4 Syntax

We provide a convenient do-notation for monadic expressions well-known from Haskell. *Let* is printed specially in do-expressions.

nonterminals *do-expr*

syntax

```
-do :: do-expr ⇒ 'a
  (do - done [12] 12)
-scomp :: pttrn ⇒ 'a ⇒ do-expr ⇒ do-expr
  (- <- -; // - [1000, 13, 12] 12)
-fcomp :: 'a ⇒ do-expr ⇒ do-expr
  (-; // - [13, 12] 12)
-let :: pttrn ⇒ 'a ⇒ do-expr ⇒ do-expr
  (let - = -; // - [1000, 13, 12] 12)
-done :: 'a ⇒ do-expr
  (- [12] 12)
```

syntax (*xsymbols*)

```
-scomp :: pttrn ⇒ 'a ⇒ do-expr ⇒ do-expr
  (- ← -; // - [1000, 13, 12] 12)
```

translations

```
-do f => f
-scomp x f g => f o→ (λx. g)
-fcomp f g => f o> g
-let x t f => CONST Let t (λx. f)
-done f => f
```

⟨ML⟩

For an example, see HOL/ex/Random.thy.

end

6 A HOL random engine

theory *Random*

imports *Code-Index*

begin

notation *fcomp* (**infixl** *o>* 60)

notation *scomp* (**infixl** *o→* 60)

6.1 Auxiliary functions

definition *inc-shift* :: *index* \Rightarrow *index* \Rightarrow *index* **where**

inc-shift *v* *k* = (if *v* = *k* then 1 else *k* + 1)

definition *minus-shift* :: *index* \Rightarrow *index* \Rightarrow *index* \Rightarrow *index* **where**

minus-shift *r* *k* *l* = (if *k* < *l* then *r* + *k* - *l* else *k* - *l*)

fun *log* :: *index* \Rightarrow *index* \Rightarrow *index* **where**

log *b* *i* = (if *b* \leq 1 \vee *i* < *b* then 1 else 1 + *log* *b* (*i* div *b*))

6.2 Random seeds

types *seed* = *index* \times *index*

primrec *next* :: *seed* \Rightarrow *index* \times *seed* **where**

next (*v*, *w*) = (let
k = *v* div 53668;
v' = *minus-shift* 2147483563 (40014 * (*v* mod 53668)) (*k* * 12211);
l = *w* div 52774;
w' = *minus-shift* 2147483399 (40692 * (*w* mod 52774)) (*l* * 3791);
z = *minus-shift* 2147483562 *v'* (*w'* + 1) + 1
in (*z*, (*v'*, *w'*)))

lemma *next-not-0*:

fst (*next* *s*) \neq 0

<proof>

primrec *seed-invariant* :: *seed* \Rightarrow *bool* **where**

seed-invariant (*v*, *w*) \longleftrightarrow 0 < *v* \wedge *v* < 9438322952 \wedge 0 < *w* \wedge *True*

lemma *if-same*: (if *b* then *f* *x* else *f* *y*) = *f* (if *b* then *x* else *y*)

<proof>

definition *split-seed* :: *seed* \Rightarrow *seed* \times *seed* **where**

split-seed *s* = (let
(*v*, *w*) = *s*;
(*v'*, *w'*) = *snd* (*next* *s*);
v'' = *inc-shift* 2147483562 *v*;
s'' = (*v''*, *w'*);
w'' = *inc-shift* 2147483398 *w*;
s''' = (*v'*, *w''*)
in (*s''*, *s'''*))

6.3 Base selectors

fun *iterate* :: *index* \Rightarrow ('*b* \Rightarrow '*a* \Rightarrow '*b* \times '*a*) \Rightarrow '*b* \Rightarrow '*a* \Rightarrow '*b* \times '*a* **where**

iterate *k* *f* *x* = (if *k* = 0 then *Pair* *x* else *f* *x* o \rightarrow *iterate* (*k* - 1) *f*)

definition *range* :: *index* \Rightarrow *seed* \Rightarrow *index* \times *seed* **where**

```

range k = iterate (log 2147483561 k)
  (λl. next o→ (λv. Pair (v + l * 2147483561))) 1
o→ (λv. Pair (v mod k))

```

```

lemma range:
  k > 0 ⇒ fst (range k s) < k
  ⟨proof⟩

```

```

definition select :: 'a list ⇒ seed ⇒ 'a × seed where
  select xs = range (Code-Index.of-nat (length xs))
  o→ (λk. Pair (nth xs (Code-Index.nat-of k)))

```

```

lemma select:
  assumes xs ≠ []
  shows fst (select xs s) ∈ set xs
  ⟨proof⟩

```

```

definition select-default :: index ⇒ 'a ⇒ 'a ⇒ seed ⇒ 'a × seed where
  [code del]: select-default k x y = range k
  o→ (λl. Pair (if l + 1 < k then x else y))

```

```

lemma select-default-zero:
  fst (select-default 0 x y s) = y
  ⟨proof⟩

```

```

lemma select-default-code [code]:
  select-default k x y = (if k = 0
    then range 1 o→ (λ-. Pair y)
    else range k o→ (λl. Pair (if l + 1 < k then x else y)))
  ⟨proof⟩

```

6.4 ML interface

```

⟨ML⟩

```

```

no-notation fcomp (infixl o> 60)
no-notation scomp (infixl o→ 60)

```

```

end

```

7 Higman's lemma

```

theory Higman
imports Main State-Monad Random
begin

```

Formalization by Stefan Berghofer and Monika Seisenberger, based on Co-

quand and Fridlender [2].

datatype *letter* = *A* | *B*

inductive *emb* :: *letter list* \Rightarrow *letter list* \Rightarrow *bool*

where

emb0 [*Pure.intro*]: *emb* [] *bs*
| *emb1* [*Pure.intro*]: *emb as bs* \Longrightarrow *emb as* (*b* # *bs*)
| *emb2* [*Pure.intro*]: *emb as bs* \Longrightarrow *emb* (*a* # *as*) (*a* # *bs*)

inductive *L* :: *letter list* \Rightarrow *letter list list* \Rightarrow *bool*

for *v* :: *letter list*

where

L0 [*Pure.intro*]: *emb w v* \Longrightarrow *L v* (*w* # *ws*)
| *L1* [*Pure.intro*]: *L v ws* \Longrightarrow *L v* (*w* # *ws*)

inductive *good* :: *letter list list* \Rightarrow *bool*

where

good0 [*Pure.intro*]: *L w ws* \Longrightarrow *good* (*w* # *ws*)
| *good1* [*Pure.intro*]: *good ws* \Longrightarrow *good* (*w* # *ws*)

inductive *R* :: *letter* \Rightarrow *letter list list* \Rightarrow *letter list list* \Rightarrow *bool*

for *a* :: *letter*

where

R0 [*Pure.intro*]: *R a* [] []
| *R1* [*Pure.intro*]: *R a vs ws* \Longrightarrow *R a* (*w* # *vs*) ((*a* # *w*) # *ws*)

inductive *T* :: *letter* \Rightarrow *letter list list* \Rightarrow *letter list list* \Rightarrow *bool*

for *a* :: *letter*

where

T0 [*Pure.intro*]: *a* \neq *b* \Longrightarrow *R b ws zs* \Longrightarrow *T a* (*w* # *zs*) ((*a* # *w*) # *zs*)
| *T1* [*Pure.intro*]: *T a ws zs* \Longrightarrow *T a* (*w* # *ws*) ((*a* # *w*) # *zs*)
| *T2* [*Pure.intro*]: *a* \neq *b* \Longrightarrow *T a ws zs* \Longrightarrow *T a ws* ((*b* # *w*) # *zs*)

inductive *bar* :: *letter list list* \Rightarrow *bool*

where

bar1 [*Pure.intro*]: *good ws* \Longrightarrow *bar ws*
| *bar2* [*Pure.intro*]: ($\bigwedge w. \text{bar } (w \# ws)$) \Longrightarrow *bar ws*

theorem *prop1*: *bar* ([] # *ws*) $\langle \text{proof} \rangle$

theorem *lemma1*: *L as ws* \Longrightarrow *L* (*a* # *as*) *ws*
 $\langle \text{proof} \rangle$

lemma *lemma2'*: *R a vs ws* \Longrightarrow *L as vs* \Longrightarrow *L* (*a* # *as*) *ws*
 $\langle \text{proof} \rangle$

lemma *lemma2*: *R a vs ws* \Longrightarrow *good vs* \Longrightarrow *good ws*
 $\langle \text{proof} \rangle$

lemma *lemma3'*: $T\ a\ ws\ ws \implies L\ as\ vs \implies L\ (a\ \# \ as)\ ws$
 $\langle proof \rangle$

lemma *lemma3*: $T\ a\ ws\ zs \implies good\ ws \implies good\ zs$
 $\langle proof \rangle$

lemma *lemma4*: $R\ a\ ws\ zs \implies ws \neq [] \implies T\ a\ ws\ zs$
 $\langle proof \rangle$

lemma *letter-neg*: $(a::letter) \neq b \implies c \neq a \implies c = b$
 $\langle proof \rangle$

lemma *letter-eq-dec*: $(a::letter) = b \vee a \neq b$
 $\langle proof \rangle$

theorem *prop2*:
assumes *ab*: $a \neq b$ **and** *bar*: $bar\ xs$
shows $\bigwedge ys\ zs. bar\ ys \implies T\ a\ xs\ zs \implies T\ b\ ys\ zs \implies bar\ zs$ $\langle proof \rangle$

theorem *prop3*:
assumes *bar*: $bar\ xs$
shows $\bigwedge zs. xs \neq [] \implies R\ a\ xs\ zs \implies bar\ zs$ $\langle proof \rangle$

theorem *higman*: $bar\ []$
 $\langle proof \rangle$

primrec
is-prefix :: $'a\ list \Rightarrow (nat \Rightarrow 'a) \Rightarrow bool$
where
is-prefix [] *f* = *True*
| *is-prefix* ($x\ \#\ xs$) *f* = $(x = f\ (length\ xs) \wedge is_prefix\ xs\ f)$

theorem *L-idx*:
assumes *L*: $L\ w\ ws$
shows $is_prefix\ ws\ f \implies \exists i. emb\ (f\ i)\ w \wedge i < length\ ws$ $\langle proof \rangle$

theorem *good-idx*:
assumes *good*: $good\ ws$
shows $is_prefix\ ws\ f \implies \exists i\ j. emb\ (f\ i)\ (f\ j) \wedge i < j$ $\langle proof \rangle$

theorem *bar-idx*:
assumes *bar*: $bar\ ws$
shows $is_prefix\ ws\ f \implies \exists i\ j. emb\ (f\ i)\ (f\ j) \wedge i < j$ $\langle proof \rangle$

Strong version: yields indices of words that can be embedded into each other.

theorem *higman-idx*: $\exists (i::nat)\ j. emb\ (f\ i)\ (f\ j) \wedge i < j$
 $\langle proof \rangle$

Weak version: only yield sequence containing words that can be embedded into each other.

theorem *good-prefix-lemma*:

assumes *bar*: *bar ws*

shows *is-prefix ws f* $\implies \exists vs. is-prefix\ vs\ f \wedge good\ vs\ \langle proof \rangle$

theorem *good-prefix*: $\exists vs. is-prefix\ vs\ f \wedge good\ vs\ \langle proof \rangle$

7.1 Extracting the program

declare *R.induct* [*ind-realizer*]

declare *T.induct* [*ind-realizer*]

declare *L.induct* [*ind-realizer*]

declare *good.induct* [*ind-realizer*]

declare *bar.induct* [*ind-realizer*]

extract *higman-idx*

Program extracted from the proof of *higman-idx*:

higman-idx $\equiv \lambda x. bar-idx\ x\ higman$

Corresponding correctness theorem:

emb (*f* (*fst* (*higman-idx f*))) (*f* (*snd* (*higman-idx f*))) \wedge
fst (*higman-idx f*) $<$ *snd* (*higman-idx f*)

Program extracted from the proof of *higman*:

higman \equiv
bar2 [] (*list-rec* (*prop1* [])) ($\lambda a\ w\ H. prop3\ a\ [a\ \# w]\ H\ (R1\ []\ []\ w\ R0))$)

Program extracted from the proof of *prop1*:

prop1 \equiv
 $\lambda x. bar2\ ([\]\ \# x)\ (\lambda w. bar1\ (w\ \# [\]\ \# x)\ (good0\ w\ ([\]\ \# x)\ (L0\ []\ x)))$

Program extracted from the proof of *prop2*:

prop2 \equiv
 $\lambda x\ xa\ xb\ xc\ H.$
 $barT-rec\ (\lambda ws\ xa\ xb\ xc\ H\ Ha\ Hb. bar1\ xc\ (lemma3\ x\ Ha\ xa))$
 $(\lambda ws\ xb\ r\ xc\ xd\ H.$
 $barT-rec\ (\lambda ws\ x\ xb\ H\ Ha. bar1\ xb\ (lemma3\ xa\ Ha\ x))$
 $(\lambda wsa\ xb\ ra\ xc\ H\ Ha.$
 $bar2\ xc$
 $(list-case\ (prop1\ xc)$
 $(\lambda a\ list.$
 $case\ letter-eq-dec\ a\ x\ of$

$$\begin{aligned}
& \text{Left} \Rightarrow \\
& \quad r \text{ list } wsa \ ((x \# \text{list}) \# xc) \ (bar2 \ wsa \ xb) \\
& \quad \quad (T1 \ ws \ xc \ \text{list} \ H) \ (T2 \ x \ wsa \ xc \ \text{list} \ Ha) \\
& | \text{Right} \Rightarrow \\
& \quad ra \ \text{list} \ ((xa \# \text{list}) \# xc) \ (T2 \ xa \ ws \ xc \ \text{list} \ H) \\
& \quad \quad (T1 \ wsa \ xc \ \text{list} \ Ha))) \\
& \quad H \ xd) \\
& H \ xb \ xc
\end{aligned}$$

Program extracted from the proof of *prop3*:

```

prop3 ≡
λx xa H.
  barT-rec (λws xa xb H. bar1 xb (lemma2 x H xa))
    (λws xa r xb H.
      bar2 xb
        (list-rec (prop1 xb)
          (λa w Ha.
            case letter-eq-dec a x of
              Left ⇒ r w ((x # w) # xb) (R1 ws xb w H)
            | Right ⇒
              prop2 a x ws ((a # w) # xb) Ha (bar2 ws xa)
              (T0 x ws xb w H) (T2 a ws xb w (lemma4 x H))))))
    H xa

```

7.2 Some examples

instantiation *LT* and *TT* :: *default*
begin

definition *default* = *L0* [] []

definition *default* = *T0 A* [] [] *R0*

instance ⟨*proof*⟩

end

function *mk-word-aux* :: *nat* ⇒ *seed* ⇒ *letter list* × *seed* **where**

```

mk-word-aux k = (do
  i ← range 10;
  (if i > 7 ∧ k > 2 ∨ k > 1000 then return []
  else do
    let l = (if i mod 2 = 0 then A else B);
    ls ← mk-word-aux (Suc k);
    return (l # ls)
  done)
done)
⟨proof⟩

```

termination $\langle proof \rangle$

definition $mk\text{-}word :: seed \Rightarrow letter\ list \times seed$ **where**
 $mk\text{-}word = mk\text{-}word\text{-}aux\ 0$

primrec $mk\text{-}word\text{-}s :: nat \Rightarrow seed \Rightarrow letter\ list \times seed$ **where**
 $mk\text{-}word\text{-}s\ 0 = mk\text{-}word$
 | $mk\text{-}word\text{-}s\ (Suc\ n) = (do$
 $\ - \leftarrow mk\text{-}word;$
 $mk\text{-}word\text{-}s\ n$
 $done)$

definition $g1 :: nat \Rightarrow letter\ list$ **where**
 $g1\ s = fst\ (mk\text{-}word\text{-}s\ s\ (20000,\ 1))$

definition $g2 :: nat \Rightarrow letter\ list$ **where**
 $g2\ s = fst\ (mk\text{-}word\text{-}s\ s\ (50000,\ 1))$

fun $f1 :: nat \Rightarrow letter\ list$ **where**
 $f1\ 0 = [A,\ A]$
 | $f1\ (Suc\ 0) = [B]$
 | $f1\ (Suc\ (Suc\ 0)) = [A,\ B]$
 | $f1\ - = []$

fun $f2 :: nat \Rightarrow letter\ list$ **where**
 $f2\ 0 = [A,\ A]$
 | $f2\ (Suc\ 0) = [B]$
 | $f2\ (Suc\ (Suc\ 0)) = [B,\ A]$
 | $f2\ - = []$

$\langle ML \rangle$

code-module *Higman*
contains
 $higman = higman\text{-}idx$

$\langle ML \rangle$

end

8 The pigeonhole principle

theory *Pigeonhole*
imports *Util Efficient-Nat*
begin

We formalize two proofs of the pigeonhole principle, which lead to extracted programs of quite different complexity. The original formalization of these

proofs in NUPRL is due to Aleksey Nogin [3].

This proof yields a polynomial program.

theorem *pigeonhole*:

$\bigwedge f. (\bigwedge i. i \leq \text{Suc } n \implies f\ i \leq n) \implies \exists i\ j. i \leq \text{Suc } n \wedge j < i \wedge f\ i = f\ j$
 $\langle \text{proof} \rangle$

The following proof, although quite elegant from a mathematical point of view, leads to an exponential program:

theorem *pigeonhole-slow*:

$\bigwedge f. (\bigwedge i. i \leq \text{Suc } n \implies f\ i \leq n) \implies \exists i\ j. i \leq \text{Suc } n \wedge j < i \wedge f\ i = f\ j$
 $\langle \text{proof} \rangle$

extract *pigeonhole pigeonhole-slow*

The programs extracted from the above proofs look as follows:

pigeonhole \equiv
 $\lambda x. \text{nat-induct-}P\ x\ (\lambda x. (\text{Suc } 0, 0))$
 $(\lambda x\ H2\ xa.$
 $\quad \text{nat-induct-}P\ (\text{Suc } (\text{Suc } x))\ \text{default}$
 $\quad (\lambda x\ H2.$
 $\quad \quad \text{case search } (\text{Suc } x)\ (\lambda xb. \text{nat-eq-dec } (xa\ (\text{Suc } x))\ (xa\ xb))\ \text{of}$
 $\quad \quad \text{None} \Rightarrow \text{let } (x, y) = H2\ \text{in } (x, y) \mid \text{Some } p \Rightarrow (\text{Suc } x, p)))$

pigeonhole-slow \equiv
 $\lambda x. \text{nat-induct-}P\ x\ (\lambda x. (\text{Suc } 0, 0))$
 $(\lambda x\ H2\ xa.$
 $\quad \text{case search } (\text{Suc } (\text{Suc } x))$
 $\quad \quad (\lambda xb. \text{nat-eq-dec } (xa\ (\text{Suc } (\text{Suc } x)))\ (xa\ xb))\ \text{of}$
 $\quad \text{None} \Rightarrow$
 $\quad \quad \text{let } (x, y) =$
 $\quad \quad \quad H2\ (\lambda i. \text{if } xa\ i = \text{Suc } x \text{ then } xa\ (\text{Suc } (\text{Suc } x)) \text{ else } xa\ i)$
 $\quad \quad \text{in } (x, y)$
 $\quad \mid \text{Some } p \Rightarrow (\text{Suc } (\text{Suc } x), p))$

The program for searching for an element in an array is

search \equiv
 $\lambda x\ H. \text{nat-induct-}P\ x\ \text{None}$
 $(\lambda y\ Ha.$
 $\quad \text{case } Ha\ \text{of } \text{None} \Rightarrow \text{case } H\ y\ \text{of } \text{Left} \Rightarrow \text{Some } y \mid \text{Right} \Rightarrow \text{None}$
 $\quad \mid \text{Some } p \Rightarrow \text{Some } p)$

The correctness statement for *pigeonhole* is

$(\bigwedge i. i \leq \text{Suc } n \implies f\ i \leq n) \implies$
 $\text{fst } (\text{pigeonhole } n\ f) \leq \text{Suc } n \wedge$
 $\text{snd } (\text{pigeonhole } n\ f) < \text{fst } (\text{pigeonhole } n\ f) \wedge$
 $f\ (\text{fst } (\text{pigeonhole } n\ f)) = f\ (\text{snd } (\text{pigeonhole } n\ f))$

In order to analyze the speed of the above programs, we generate ML code from them.

```

instantiation nat :: default
begin

definition default = (0::nat)

instance ⟨proof⟩

end

instantiation * :: (default, default) default
begin

definition default = (default, default)

instance ⟨proof⟩

end

consts-code
  default :: nat ({* 0::nat *})
  default :: nat × nat ({* (0::nat, 0::nat) *})

definition
  test n u = pigeonhole n (λm. m - 1)
definition
  test' n u = pigeonhole-slow n (λm. m - 1)
definition
  test'' u = pigeonhole 8 (op ! [0, 1, 2, 3, 4, 5, 6, 3, 7, 8])

code-module PH
contains
  test = test
  test' = test'
  test'' = test''

⟨ML⟩

end

```

9 Euclid's theorem

```

theory Euclid
imports ~~/src/HOL/NumberTheory/Factorization Util Efficient-Nat
begin

```

A constructive version of the proof of Euclid's theorem by Markus Wenzel

and Freek Wiedijk [4].

lemma *prime-eq*: $\text{prime } p = (1 < p \wedge (\forall m. m \text{ dvd } p \longrightarrow 1 < m \longrightarrow m = p))$
 $\langle \text{proof} \rangle$

lemma *prime-eq'*: $\text{prime } p = (1 < p \wedge (\forall m k. p = m * k \longrightarrow 1 < m \longrightarrow m = p))$
 $\langle \text{proof} \rangle$

lemma *factor-greater-one1*: $n = m * k \implies m < n \implies k < n \implies \text{Suc } 0 < m$
 $\langle \text{proof} \rangle$

lemma *factor-greater-one2*: $n = m * k \implies m < n \implies k < n \implies \text{Suc } 0 < k$
 $\langle \text{proof} \rangle$

lemma *not-prime-ex-mk*:
assumes $n: \text{Suc } 0 < n$
shows $(\exists m k. \text{Suc } 0 < m \wedge \text{Suc } 0 < k \wedge m < n \wedge k < n \wedge n = m * k) \vee$
 $\text{prime } n$
 $\langle \text{proof} \rangle$

lemma *factor-exists*: $\text{Suc } 0 < n \implies (\exists l. \text{primel } l \wedge \text{prod } l = n)$
 $\langle \text{proof} \rangle$

lemma *dvd-prod [iff]*: $n \text{ dvd } \text{prod } (n \# ns)$
 $\langle \text{proof} \rangle$

primrec *fact* :: $\text{nat} \Rightarrow \text{nat}$ $((!) [1000] 999)$
where

$0! = 1$
 $| (\text{Suc } n)! = n! * \text{Suc } n$

lemma *fact-greater-0 [iff]*: $0 < n!$
 $\langle \text{proof} \rangle$

lemma *dvd-factorial*: $0 < m \implies m \leq n \implies m \text{ dvd } n!$
 $\langle \text{proof} \rangle$

lemma *prime-factor-exists*:
assumes $N: (1::\text{nat}) < n$
shows $\exists p. \text{prime } p \wedge p \text{ dvd } n$
 $\langle \text{proof} \rangle$

Euclid's theorem: there are infinitely many primes.

lemma *Euclid*: $\exists p. \text{prime } p \wedge n < p$
 $\langle \text{proof} \rangle$

extract *Euclid*

The program extracted from the proof of Euclid's theorem looks as follows.

Euclid $\equiv \lambda x. \text{prime-factor-exists } (x! + 1)$

The program corresponding to the proof of the factorization theorem is

```
factor-exists  $\equiv$ 
 $\lambda x. \text{nat-wf-ind-}P \ x$ 
  ( $\lambda x \ H2.$ 
    case not-prime-ex-mk  $x$  of None  $\Rightarrow [x]$ 
    | Some  $p \Rightarrow \text{let } (x, y) = p \text{ in split-primel } (H2 \ x) (H2 \ y))$ 
```

```
instantiation nat :: default
begin
```

```
definition default = (0::nat)
```

```
instance <proof>
```

```
end
```

```
instantiation list :: (type) default
begin
```

```
definition default = []
```

```
instance <proof>
```

```
end
```

```
consts-code
```

```
  default ((error default))
```

```
lemma factor-exists 1007 = [53, 19] <proof>
```

```
lemma factor-exists 1007 = [53, 19] <proof>
```

```
lemma factor-exists 567 = [7, 3, 3, 3, 3] <proof>
```

```
lemma factor-exists 567 = [7, 3, 3, 3, 3] <proof>
```

```
lemma factor-exists 345 = [23, 5, 3] <proof>
```

```
lemma factor-exists 345 = [23, 5, 3] <proof>
```

```
lemma factor-exists 999 = [37, 3, 3, 3] <proof>
```

```
lemma factor-exists 999 = [37, 3, 3, 3] <proof>
```

```
lemma factor-exists 876 = [73, 3, 2, 2] <proof>
```

```
lemma factor-exists 876 = [73, 3, 2, 2] <proof>
```

```
primrec iterate :: nat  $\Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a \text{ list}$  where
```

```
  iterate 0  $f \ x = []$ 
```

```
  | iterate (Suc  $n$ )  $f \ x = (\text{let } y = f \ x \text{ in } y \ \# \text{ iterate } n \ f \ y)$ 
```

lemma *iterate 4 Euclid 0 = [2, 3, 7, 71] <proof>*
lemma *iterate 4 Euclid 0 = [2, 3, 7, 71] <proof>*

end

References

- [1] U. Berger, H. Schwichtenberg, and M. Seisenberger. The Warshall algorithm and Dickson’s lemma: Two examples of realistic program extraction. *Journal of Automated Reasoning*, 26:205–221, 2001.
- [2] T. Coquand and D. Fridlender. A proof of Higman’s lemma by structural induction. Technical report, Chalmers University, November 1993.
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- [4] M. Wenzel and F. Wiedijk. A comparison of the mathematical proof languages Mizar and Isar. *Journal of Automated Reasoning*, 29(3-4):389–411, 2002.