

# Isabelle/HOL — Higher-Order Logic

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## Contents

<b>1</b>	<b>HOL: The basis of Higher-Order Logic</b>	<b>11</b>
1.1	Primitive logic	11
1.1.1	Core syntax	11
1.1.2	Additional concrete syntax	12
1.1.3	Axioms and basic definitions	14
1.1.4	Generic classes and algebraic operations	15
1.2	Fundamental rules	17
1.2.1	Equality	17
1.2.2	Congruence rules for application	17
1.2.3	Equality of booleans – iff	18
1.2.4	True	18
1.2.5	Universal quantifier	18
1.2.6	False	19
1.2.7	Negation	19
1.2.8	Implication	20
1.2.9	Existential quantifier	20
1.2.10	Conjunction	21
1.2.11	Disjunction	21
1.2.12	Classical logic	22
1.2.13	Unique existence	22
1.2.14	THE: definite description operator	23
1.2.15	Classical intro rules for disjunction and existential quantifiers	24
1.2.16	Intuitionistic Reasoning	25
1.2.17	Atomizing meta-level connectives	26
1.2.18	Atomizing elimination rules	28
1.3	Package setup	28
1.3.1	Classical Reasoner setup	28
1.3.2	Simplifier	31
1.3.3	Generic cases and induction	40
1.3.4	Coherent logic	41

1.4	Other simple lemmas and lemma duplicates . . . . .	42
1.5	Basic ML bindings . . . . .	43
1.6	Code generator basics – see further theory <i>Code-Setup</i> . . . .	44
1.7	Nitpick hooks . . . . .	44
1.8	Legacy tactics and ML bindings . . . . .	45
<b>2</b>	<b>Code-Setup: Setup of code generators and related tools</b>	<b>46</b>
2.1	Generic code generator foundation . . . . .	46
2.2	Generic code generator preprocessor . . . . .	48
2.3	Generic code generator target languages . . . . .	48
2.4	SML code generator setup . . . . .	49
2.5	Evaluation and normalization by evaluation . . . . .	50
2.6	Quickcheck . . . . .	51
<b>3</b>	<b>Orderings: Abstract orderings</b>	<b>51</b>
3.1	Quasi orders . . . . .	51
3.2	Partial orders . . . . .	53
3.3	Linear (total) orders . . . . .	54
3.4	Reasoning tools setup . . . . .	56
3.5	Name duplicates . . . . .	62
3.6	Bounded quantifiers . . . . .	63
3.7	Transitivity reasoning . . . . .	65
3.8	Monotonicity, least value operator and min/max . . . . .	70
3.9	Top and bottom elements . . . . .	73
3.10	Dense orders . . . . .	73
3.11	Wellorders . . . . .	73
3.12	Order on bool . . . . .	74
3.13	Order on functions . . . . .	75
<b>4</b>	<b>Lattices: Abstract lattices</b>	<b>77</b>
4.1	Lattices . . . . .	77
4.1.1	Intro and elim rules . . . . .	78
4.1.2	Equational laws . . . . .	79
4.2	Distributive lattices . . . . .	81
4.3	Uniqueness of inf and sup . . . . .	82
4.4	<i>min/max</i> on linear orders as special case of <i>op</i> $\sqcap$ / <i>op</i> $\sqcup$ . . . .	82
4.5	Bool as lattice . . . . .	83
4.6	Fun as lattice . . . . .	83
<b>5</b>	<b>Set: Set theory for higher-order logic</b>	<b>84</b>
5.1	Basic syntax . . . . .	84
5.2	Additional concrete syntax . . . . .	87
5.2.1	Bounded quantifiers . . . . .	89
5.3	Rules and definitions . . . . .	91

5.4	Lemmas and proof tool setup . . . . .	93
5.4.1	Relating predicates and sets . . . . .	93
5.4.2	Bounded quantifiers . . . . .	93
5.4.3	Congruence rules . . . . .	95
5.4.4	Subsets . . . . .	95
5.4.5	Equality . . . . .	96
5.4.6	The universal set – UNIV . . . . .	97
5.4.7	The empty set . . . . .	97
5.4.8	The Powerset operator – Pow . . . . .	98
5.4.9	Set complement . . . . .	98
5.4.10	Binary union – Un . . . . .	99
5.4.11	Binary intersection – Int . . . . .	99
5.4.12	Set difference . . . . .	99
5.4.13	Augmenting a set – insert . . . . .	100
5.4.14	Singletons, using insert . . . . .	101
5.4.15	Unions of families . . . . .	101
5.4.16	Intersections of families . . . . .	102
5.4.17	Union . . . . .	102
5.4.18	Inter . . . . .	103
5.4.19	Set reasoning tools . . . . .	104
5.4.20	The “proper subset” relation . . . . .	105
5.5	Further set-theory lemmas . . . . .	106
5.5.1	Derived rules involving subsets. . . . .	106
5.5.2	Equalities involving union, intersection, inclusion, etc. . . . .	107
5.5.3	Monotonicity of various operations . . . . .	123
5.6	Inverse image of a function . . . . .	125
5.6.1	Basic rules . . . . .	125
5.6.2	Equations . . . . .	125
5.7	Getting the Contents of a Singleton Set . . . . .	127
5.8	Transitivity rules for calculational reasoning . . . . .	127
5.9	Least value operator . . . . .	127
5.10	Rudimentary code generation . . . . .	127
5.11	Complete lattices . . . . .	128
5.12	Bool as complete lattice . . . . .	130
5.13	Fun as complete lattice . . . . .	131
5.14	Set as lattice . . . . .	131
5.15	Misc theorem and ML bindings . . . . .	133
<b>6</b>	<b>Typedef: HOL type definitions</b>	<b>134</b>
<b>7</b>	<b>Fun: Notions about functions</b>	<b>136</b>
7.1	The Identity Function <i>id</i> . . . . .	137
7.2	The Composition Operator $f \circ g$ . . . . .	137
7.3	The Forward Composition Operator <i>fcomp</i> . . . . .	138

7.4	Injectivity and Surjectivity . . . . .	138
7.5	Function Updating . . . . .	143
7.6	<i>override-on</i> . . . . .	144
7.7	<i>swap</i> . . . . .	145
7.8	Proof tool setup . . . . .	146
7.9	Code generator setup . . . . .	147
<b>8</b>	<b>Sum-Type: The Disjoint Sum of Two Types</b>	<b>147</b>
8.1	Freeness Properties for <i>Inl</i> and <i>Inr</i> . . . . .	148
8.2	The Disjoint Sum of Sets . . . . .	149
8.3	The <i>Part</i> Primitive . . . . .	150
<b>9</b>	<b>Inductive: Knaster-Tarski Fixpoint Theorem and inductive definitions</b>	<b>152</b>
9.1	Least and greatest fixed points . . . . .	152
9.2	Proof of Knaster-Tarski Theorem using <i>lfp</i> . . . . .	152
9.3	General induction rules for least fixed points . . . . .	153
9.4	Proof of Knaster-Tarski Theorem using <i>gfp</i> . . . . .	154
9.5	Coinduction rules for greatest fixed points . . . . .	155
9.6	Even Stronger Coinduction Rule, by Martin Coen . . . . .	155
9.7	Inductive predicates and sets . . . . .	156
9.8	Inductive datatypes and primitive recursion . . . . .	158
<b>10</b>	<b>OrderedGroup: Ordered Groups</b>	<b>159</b>
10.1	Semigroups and Monoids . . . . .	159
10.2	Groups . . . . .	162
10.3	(Partially) Ordered Groups . . . . .	165
10.4	Support for reasoning about signs . . . . .	167
10.5	Lattice Ordered (Abelian) Groups . . . . .	177
10.6	Positive Part, Negative Part, Absolute Value . . . . .	179
10.7	Tools setup . . . . .	186
<b>11</b>	<b>Ring-and-Field: (Ordered) Rings and Fields</b>	<b>187</b>
11.1	Calculations with fractions . . . . .	215
11.1.1	Special Cancellation Simprules for Division . . . . .	216
11.2	Division and Unary Minus . . . . .	216
11.3	Ordered Fields . . . . .	216
11.4	Anti-Monotonicity of <i>inverse</i> . . . . .	218
11.5	Inverses and the Number One . . . . .	219
11.6	Simplification of Inequalities Involving Literal Divisors . . . . .	220
11.7	Field simplification . . . . .	223
11.8	Division and Signs . . . . .	223
11.9	Cancellation Laws for Division . . . . .	224
11.10	Division and the Number One . . . . .	225

11.11	Ordering Rules for Division . . . . .	225
11.12	Conditional Simplification Rules: No Case Splits . . . . .	227
11.13	Reasoning about inequalities with division . . . . .	228
11.14	Ordered Fields are Dense . . . . .	229
11.15	Absolute Value . . . . .	230
11.16	Bounds of products via negative and positive Part . . . . .	233
<b>12</b>	<b>Nat: Natural numbers</b>	<b>235</b>
12.1	Type <i>ind</i> . . . . .	235
12.2	Type <i>nat</i> . . . . .	235
12.3	Arithmetic operators . . . . .	237
12.3.1	Addition . . . . .	239
12.3.2	Difference . . . . .	240
12.3.3	Multiplication . . . . .	241
12.4	Orders on <i>nat</i> . . . . .	242
12.4.1	Operation definition . . . . .	242
12.4.2	Introduction properties . . . . .	244
12.4.3	Elimination properties . . . . .	244
12.4.4	Inductive (?) properties . . . . .	245
12.4.5	<i>min</i> and <i>max</i> . . . . .	248
12.4.6	Monotonicity of Addition . . . . .	249
12.4.7	Additional theorems about <i>op</i> $\leq$ . . . . .	250
12.4.8	More results about difference . . . . .	255
12.4.9	Monotonicity of Multiplication . . . . .	256
12.5	Embedding of the Naturals into any <i>semiring-1: of-nat</i> . . . . .	258
12.6	The Set of Natural Numbers . . . . .	260
12.7	Further Arithmetic Facts Concerning the Natural Numbers . . . . .	261
12.8	size of a datatype value . . . . .	265
<b>13</b>	<b>Product-Type: Cartesian products</b>	<b>265</b>
13.1	<i>bool</i> is a datatype . . . . .	266
13.2	Unit . . . . .	266
13.3	Pairs . . . . .	267
13.3.1	Product type, basic operations and concrete syntax . . . . .	267
13.3.2	Basic rules and proof tools . . . . .	271
13.3.3	<i>split</i> and <i>curry</i> . . . . .	272
13.4	Further cases/induct rules for tuples . . . . .	278
13.4.1	Derived operations . . . . .	278
13.4.2	Code generator setup . . . . .	283
13.5	Legacy bindings . . . . .	285
13.6	Further inductive packages . . . . .	287

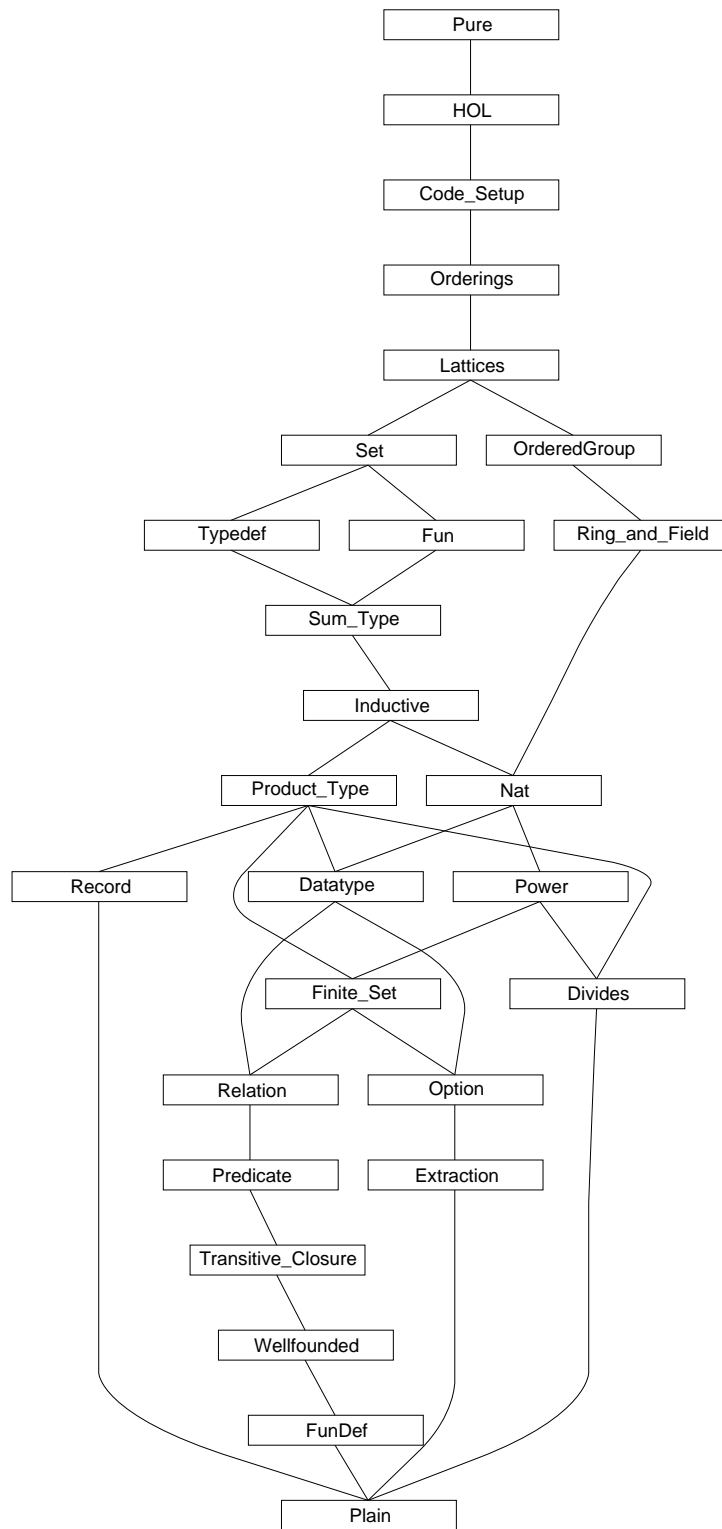
<b>14 Datatype: Analogues of the Cartesian Product and Disjoint Sum for Datatypes</b>	<b>288</b>
14.1 Freeness: Distinctness of Constructors . . . . .	290
14.2 Set Constructions . . . . .	294
<b>15 Datatypes</b>	<b>298</b>
15.1 Representing sums . . . . .	298
<b>16 Power: Exponentiation</b>	<b>299</b>
16.1 Powers for Arbitrary Monoids . . . . .	300
16.2 Exponentiation for the Natural Numbers . . . . .	307
<b>17 Finite-Set: Finite sets</b>	<b>308</b>
17.1 Definition and basic properties . . . . .	308
17.1.1 Finiteness and set theoretic constructions . . . . .	311
17.2 Class <i>finite</i> . . . . .	316
17.3 A fold functional for finite sets . . . . .	317
17.3.1 From <i>fold-graph</i> to <i>fold</i> . . . . .	318
17.3.2 The derived combinator <i>fold-image</i> . . . . .	322
17.4 Generalized summation over a set . . . . .	325
17.4.1 Properties in more restricted classes of structures . . . . .	331
17.5 Generalized product over a set . . . . .	339
17.5.1 Properties in more restricted classes of structures . . . . .	343
17.6 Finite cardinality . . . . .	345
17.6.1 Cardinality of unions . . . . .	349
17.6.2 Cardinality of image . . . . .	350
17.6.3 Cardinality of products . . . . .	351
17.6.4 Cardinality of sums . . . . .	351
17.6.5 Cardinality of the Powerset . . . . .	351
17.6.6 Relating injectivity and surjectivity . . . . .	352
17.7 A fold functional for non-empty sets . . . . .	353
17.7.1 Determinacy for <i>fold1Set</i> . . . . .	356
17.7.2 Lemmas about <i>fold1</i> . . . . .	357
17.7.3 Fold1 in lattices with <i>inf</i> and <i>sup</i> . . . . .	357
17.7.4 Fold1 in linear orders with <i>min</i> and <i>max</i> . . . . .	362
<b>18 Relation: Relations</b>	<b>370</b>
18.1 Definitions . . . . .	370
18.2 The identity relation . . . . .	371
18.3 Diagonal: identity over a set . . . . .	372
18.4 Composition of two relations . . . . .	372
18.5 Reflexivity . . . . .	373
18.6 Antisymmetry . . . . .	374
18.7 Symmetry . . . . .	374

18.8	Transitivity	375
18.9	Irreflexivity	375
18.10	Totality	375
18.11	Converse	375
18.12	Domain	377
18.13	Range	378
18.14	Field	379
18.15	Image of a set under a relation	379
18.16	Single valued relations	381
18.17	Graphs given by <i>Collect</i>	381
18.18	Inverse image	381
18.19	Finiteness	382
18.20	Version of <i>lfp-induct</i> for binary relations	382
<b>19</b>	<b>Predicate: Predicates as relations and enumerations</b>	<b>382</b>
19.1	Predicates as (complete) lattices	383
19.1.1	<i>op</i> $\sqcup$ on <i>bool</i>	383
19.1.2	Equality and Subsets	383
19.1.3	Top and bottom elements	383
19.1.4	The empty set	383
19.1.5	Binary union	384
19.1.6	Binary intersection	384
19.1.7	Unions of families	385
19.1.8	Intersections of families	386
19.2	Predicates as relations	387
19.2.1	Composition	387
19.2.2	Converse	387
19.2.3	Domain	388
19.2.4	Range	388
19.2.5	Inverse image	388
19.2.6	Powerset	389
19.2.7	Properties of relations	389
19.3	Predicates as enumerations	389
19.3.1	The type of predicate enumerations (a monad)	389
19.3.2	Derived operations	391
19.3.3	Implementation	392
<b>20</b>	<b>Transitive-Closure: Reflexive and Transitive closure of a relation</b>	<b>395</b>
20.1	Reflexive closure	396
20.2	Reflexive-transitive closure	396
20.3	Transitive closure	401
20.4	Setup of transitivity reasoner	408

<b>21 Wellfounded: Well-founded Recursion</b>	<b>409</b>
21.1 Basic Definitions . . . . .	409
21.2 Basic Results . . . . .	411
21.3 Well-Foundedness Results for Unions . . . . .	414
21.3.1 acyclic . . . . .	416
21.4 Well-Founded Recursion . . . . .	417
21.5 Code generator setup . . . . .	418
21.6 <i>nat</i> is well-founded . . . . .	418
21.7 Accessible Part . . . . .	419
21.8 Tools for building wellfounded relations . . . . .	422
21.9 Weakly decreasing sequences (w.r.t. some well-founded order) stabilize. . . . .	427
21.10 size of a datatype value . . . . .	427
<b>22 FunDef: Function Definitions and Termination Proofs</b>	<b>428</b>
22.1 Definitions with default value. . . . .	429
22.2 Measure Functions . . . . .	430
22.3 Congruence Rules . . . . .	431
22.4 Simp rules for termination proofs . . . . .	431
22.5 Decomposition . . . . .	432
22.6 Reduction Pairs . . . . .	432
22.7 Concrete orders for SCNP termination proofs . . . . .	432
22.8 Tool setup . . . . .	434
<b>23 Record: Extensible records with structural subtyping</b>	<b>435</b>
23.1 Concrete record syntax . . . . .	435
<b>24 Option: Datatype option</b>	<b>436</b>
24.0.1 Operations . . . . .	436
24.0.2 Code generator setup . . . . .	437
<b>25 Extraction: Program extraction for HOL</b>	<b>438</b>
25.1 Setup . . . . .	438
25.2 Type of extracted program . . . . .	439
25.3 Realizability . . . . .	440
25.4 Computational content of basic inference rules . . . . .	441
<b>26 Divides: The division operators <i>div</i> and <i>mod</i></b>	<b>447</b>
26.1 Syntactic division operations . . . . .	447
26.2 Abstract division in commutative semirings. . . . .	447
26.3 Division on <i>nat</i> . . . . .	455
26.3.1 Quotient . . . . .	459
26.3.2 Remainder . . . . .	460
26.3.3 Quotient and Remainder . . . . .	461



26.3.4	Cancellation of Common Factors in Division . . . . .	462
26.3.5	Further Facts about Quotient and Remainder . . . . .	462
26.3.6	The Divides Relation . . . . .	464
26.3.7	An “induction” law for modulus arithmetic. . . . .	468
<b>27</b>	<b>Plain: Plain HOL</b>	<b>470</b>



## 1 HOL: The basis of Higher-Order Logic

```

theory HOL
imports Pure
uses
  (Tools/hologic.ML)
  ~~ /src/Tools/IsaPlanner/zipper.ML
  ~~ /src/Tools/IsaPlanner/isand.ML
  ~~ /src/Tools/IsaPlanner/rw-tools.ML
  ~~ /src/Tools/IsaPlanner/rw-inst.ML
  ~~ /src/Tools/intuitionistic.ML
  ~~ /src/Tools/project-rule.ML
  ~~ /src/Provers/hypsubst.ML
  ~~ /src/Provers/splitter.ML
  ~~ /src/Provers/classical.ML
  ~~ /src/Provers/blast.ML
  ~~ /src/Provers/clasimp.ML
  ~~ /src/Tools/coherent.ML
  ~~ /src/Tools/eqsubst.ML
  ~~ /src/Provers/quantifier1.ML
  (Tools/simpdata.ML)
  ~~ /src/Tools/random-word.ML
  ~~ /src/Tools/atomize-elim.ML
  ~~ /src/Tools/induct.ML
  (~~ /src/Tools/induct-tacs.ML)
  ~~ /src/Tools/value.ML
  ~~ /src/Tools/code/code-name.ML
  ~~ /src/Tools/code/code-funcgr.ML
  ~~ /src/Tools/code/code-wellsorted.ML
  ~~ /src/Tools/code/code-thingol.ML
  ~~ /src/Tools/code/code-printer.ML
  ~~ /src/Tools/code/code-target.ML
  ~~ /src/Tools/code/code-ml.ML
  ~~ /src/Tools/code/code-haskell.ML
  ~~ /src/Tools/nbe.ML
  (Tools/recfun-codegen.ML)
begin

setup << Intuitionistic.method-setup iprover >>

1.1 Primitive logic

1.1.1 Core syntax

classes type
defaultsort type
setup << ObjectLogic.add-base-sort @{sort type} >>

arities
  fun :: (type, type) type

```

*itself* :: (type) type

**global**

**typeddecl** bool

**judgment**

*Trueprop* :: bool => prop ( (-) 5)

**consts**

*Not* :: bool => bool ( $\sim$  - [40] 40)

*True* :: bool

*False* :: bool

*The* :: ('a => bool) => 'a

*All* :: ('a => bool) => bool (**binder** ALL 10)

*Ex* :: ('a => bool) => bool (**binder** EX 10)

*Ex1* :: ('a => bool) => bool (**binder** EX! 10)

*Let* :: ['a, 'a => 'b] => 'b

*op* = :: ['a, 'a] => bool (**infixl** = 50)

*op* & :: [bool, bool] => bool (**infixr** & 35)

*op* | :: [bool, bool] => bool (**infixr** | 30)

*op* --> :: [bool, bool] => bool (**infixr** --> 25)

**local**

**consts**

*If* :: [bool, 'a, 'a] => 'a ((if (-)/ then (-)/ else (-)) 10)

### 1.1.2 Additional concrete syntax

**notation** (output)

*op* = (**infix** = 50)

**abbreviation**

*not-equal* :: ['a, 'a] => bool (**infixl** ~= 50) **where**

$x \text{ ~= } y == \sim (x = y)$

**notation** (output)

*not-equal* (**infix** ~= 50)

**notation** (xsymbols)

*Not* ( $\neg$  - [40] 40) **and**

*op* & (**infixr**  $\wedge$  35) **and**

*op* | (**infixr**  $\vee$  30) **and**

*op* --> (**infixr**  $\longrightarrow$  25) **and**

*not-equal* (**infix**  $\neq$  50)

**notation** (*HTML output*)

*Not* ( $\neg$  - [40] 40) **and**  
*op &* (**infixr**  $\wedge$  35) **and**  
*op |* (**infixr**  $\vee$  30) **and**  
*not-equal* (**infix**  $\neq$  50)

**abbreviation** (*iff*)

*iff* :: [bool, bool] => bool (**infixr**  $\longleftrightarrow$  25) **where**  
 $A \longleftrightarrow B == A = B$

**notation** (*xsymbols*)

*iff* (**infixr**  $\longleftrightarrow$  25)

**nonterminals**

*letbinds* *letbind*  
*case-syn* *cases-syn*

**syntax**

*-The* :: [pttrn, bool] => 'a ((3THE -./ -) [0, 10] 10)  
  
*-bind* :: [pttrn, 'a] => *letbind* ((2- =/ -) 10)  
:: *letbind* => *letbinds* (-)  
*-binds* :: [*letbind*, *letbinds*] => *letbinds* (-;/ -)  
*-Let* :: [*letbinds*, 'a] => 'a ((let (-)/ in (-)) 10)  
  
*-case-syntax*:: ['a, *cases-syn*] => 'b ((case - of / -) 10)  
*-case1* :: ['a, 'b] => *case-syn* ((2- =>/ -) 10)  
:: *case-syn* => *cases-syn* (-)  
*-case2* :: [*case-syn*, *cases-syn*] => *cases-syn* (-/ | -)

**translations**

*THE*  $x. P == The (\%x. P)$   
*-Let* (*-binds*  $b$   $bs$ )  $e == -Let\ b\ (-Let\ bs\ e)$   
 $let\ x = a\ in\ e == Let\ a\ (\%x. e)$

**print-translation**  $\ll$ 

(\* To avoid eta-contraction of body: \*)  
 $\ll (The, fn [Abs\ abs] =>$   
 $\quad let\ val\ (x, t) = atomic-abs-tr'\ abs$   
 $\quad in\ Syntax.const\ -The\ \$\ x\ \$\ t\ end)]$   
 $\gg$

**syntax** (*xsymbols*)

*-case1* :: ['a, 'b] => *case-syn* ((2- =>/ -) 10)

**notation** (*xsymbols*)

*All* (**binder**  $\forall$  10) **and**  
*Ex* (**binder**  $\exists$  10) **and**

*Ex1* (**binder**  $\exists!$  10)

**notation** (*HTML output*)

*All* (**binder**  $\forall$  10) and

*Ex* (**binder**  $\exists$  10) and

*Ex1* (**binder**  $\exists!$  10)

**notation** (*HOL*)

*All* (**binder**  $!$  10) and

*Ex* (**binder**  $?$  10) and

*Ex1* (**binder**  $?!$  10)

### 1.1.3 Axioms and basic definitions

**axioms**

*refl*:  $t = (t::'a)$

*subst*:  $s = t \implies P\ s \implies P\ t$

*ext*:  $(!!x::'a. (f\ x ::'b) = g\ x) \implies (\%x. f\ x) = (\%x. g\ x)$

— Extensionality is built into the meta-logic, and this rule expresses a related property. It is an eta-expanded version of the traditional rule, and similar to the ABS rule of HOL

*the-eq-trivial*:  $(THE\ x. x = a) = (a::'a)$

*impI*:  $(P \implies Q) \implies P \multimap Q$

*mp*:  $[P \multimap Q; P] \implies Q$

**defs**

*True-def*:  $True == ((\%x::bool. x) = (\%x. x))$

*All-def*:  $All(P) == (P = (\%x. True))$

*Ex-def*:  $Ex(P) == !Q. (!x. P\ x \multimap Q) \multimap Q$

*False-def*:  $False == (!P. P)$

*not-def*:  $\sim P == P \multimap False$

*and-def*:  $P \ \& \ Q == !R. (P \multimap Q \multimap R) \multimap R$

*or-def*:  $P \mid Q == !R. (P \multimap R) \multimap (Q \multimap R) \multimap R$

*Ex1-def*:  $Ex1(P) == ?\ x. P(x) \ \& \ (!\ y. P(y) \multimap y=x)$

**axioms**

*iff*:  $(P \multimap Q) \multimap (Q \multimap P) \multimap (P=Q)$

*True-or-False*:  $(P=True) \mid (P=False)$

**defs**

*Let-def*:  $Let\ s\ f == f(s)$

*if-def*:  $If\ P\ x\ y == THE\ z::'a. (P=True \multimap z=x) \ \& \ (P=False \multimap z=y)$

**finalconsts**

*op* =

*op* -->  
*The*

**axiomatization**

*undefined* :: 'a

**abbreviation** (*input*)

*arbitrary*  $\equiv$  *undefined*

#### 1.1.4 Generic classes and algebraic operations

**class** *default* =

**fixes** *default* :: 'a

**class** *zero* =

**fixes** *zero* :: 'a (0)

**class** *one* =

**fixes** *one* :: 'a (1)

**hide** (**open**) *const zero one*

**class** *plus* =

**fixes** *plus* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (**infixl** + 65)

**class** *minus* =

**fixes** *minus* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (**infixl** - 65)

**class** *uminus* =

**fixes** *uminus* :: 'a  $\Rightarrow$  'a (- - [81] 80)

**class** *times* =

**fixes** *times* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (**infixl** \* 70)

**class** *inverse* =

**fixes** *inverse* :: 'a  $\Rightarrow$  'a

**and** *divide* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (**infixl** ' / 70)

**class** *abs* =

**fixes** *abs* :: 'a  $\Rightarrow$  'a

**begin**

**notation** (*xsymbols*)

*abs* (|·|)

**notation** (*HTML output*)

*abs* (|·|)

**end**

```

class sgn =
  fixes sgn :: 'a ⇒ 'a

class ord =
  fixes less-eq :: 'a ⇒ 'a ⇒ bool
  and less :: 'a ⇒ 'a ⇒ bool
begin

notation
  less-eq (op ≤) and
  less-eq ((-/ ≤ -) [51, 51] 50) and
  less (op <) and
  less ((-/ < -) [51, 51] 50)

notation (xsymbols)
  less-eq (op ≤) and
  less-eq ((-/ ≤ -) [51, 51] 50)

notation (HTML output)
  less-eq (op ≤) and
  less-eq ((-/ ≤ -) [51, 51] 50)

abbreviation (input)
  greater-eq (infix ≥ 50) where
  x ≥ y ≡ y ≤ x

notation (input)
  greater-eq (infix ≥ 50)

abbreviation (input)
  greater (infix > 50) where
  x > y ≡ y < x

end

syntax
  -index1 :: index (1)
translations
  (index)1 => (index)◇

typed-print-translation ⟨⟨
  let
    fun tr' c = (c, fn show-sorts => fn T => fn ts =>
```

*if* (*not* *o* *null*) *ts* *orelse* *T* = *dummyT* *orelse* *not* (! *show-types*) *andalso* *can*  
*Term.dest-Type* *T* *then* *raise* *Match*  
*else* *Syntax.const* *Syntax.constrainC* \$ *Syntax.const* *c* \$ *Syntax.term-of-typ*  
*show-sorts* *T*);  
*in* *map* *tr'* [@{*const-syntax* *HOL.one*}, @{*const-syntax* *HOL.zero*}] *end*;



» — show types that are presumably too general

## 1.2 Fundamental rules

### 1.2.1 Equality

**lemma** *sym*:  $s = t \implies t = s$   
**by** (*erule subst*) (*rule refl*)

**lemma** *ssubst*:  $t = s \implies P\ s \implies P\ t$   
**by** (*drule sym*) (*erule subst*)

**lemma** *trans*:  $[| r=s; s=t |] \implies r=t$   
**by** (*erule subst*)

**lemma** *meta-eq-to-obj-eq*:  
**assumes** *meq*:  $A == B$   
**shows**  $A = B$   
**by** (*unfold meq*) (*rule refl*)

Useful with *erule* for proving equalities from known equalities.

**lemma** *box-equals*:  $[| a=b; a=c; b=d |] \implies c=d$   
**apply** (*rule trans*)  
**apply** (*rule trans*)  
**apply** (*rule sym*)  
**apply** *assumption*+  
**done**

For calculational reasoning:

**lemma** *forw-subst*:  $a = b \implies P\ b \implies P\ a$   
**by** (*rule ssubst*)

**lemma** *back-subst*:  $P\ a \implies a = b \implies P\ b$   
**by** (*rule subst*)

### 1.2.2 Congruence rules for application

**lemma** *fun-cong*:  $(f::'a \Rightarrow 'b) = g \implies f(x)=g(x)$   
**apply** (*erule subst*)  
**apply** (*rule refl*)  
**done**

**lemma** *arg-cong*:  $x=y \implies f(x)=f(y)$   
**apply** (*erule subst*)  
**apply** (*rule refl*)  
**done**

**lemma** *arg-cong2*:  $[| a = b; c = d |] \implies f\ a\ c = f\ b\ d$   
**apply** (*erule ssubst*)+

**apply** (*rule refl*)  
**done**

**lemma cong**:  $[[f = g; (x::'a) = y]] \implies f(x) = g(y)$   
**apply** (*erule subst*)  
**apply** (*rule refl*)  
**done**

### 1.2.3 Equality of booleans – iff

**lemma iffI**: **assumes**  $P \implies Q$  **and**  $Q \implies P$  **shows**  $P = Q$   
**by** (*iprover intro: iff [THEN mp, THEN mp] impI assms*)

**lemma iffD2**:  $[[P = Q; Q]] \implies P$   
**by** (*erule ssubst*)

**lemma rev-iffD2**:  $[[Q; P = Q]] \implies P$   
**by** (*erule iffD2*)

**lemma iffD1**:  $Q = P \implies Q \implies P$   
**by** (*drule sym*) (*rule iffD2*)

**lemma rev-iffD1**:  $Q \implies Q = P \implies P$   
**by** (*drule sym*) (*rule rev-iffD2*)

**lemma iffE**:  
**assumes** *major*:  $P = Q$   
**and** *minor*:  $[[P \longrightarrow Q; Q \longrightarrow P]] \implies R$   
**shows**  $R$   
**by** (*iprover intro: minor impI major [THEN iffD2] major [THEN iffD1]*)

### 1.2.4 True

**lemma TrueI**: *True*  
**unfolding** *True-def* **by** (*rule refl*)

**lemma eqTrueI**:  $P \implies P = \text{True}$   
**by** (*iprover intro: iffI TrueI*)

**lemma eqTrueE**:  $P = \text{True} \implies P$   
**by** (*erule iffD2*) (*rule TrueI*)

### 1.2.5 Universal quantifier

**lemma allI**: **assumes**  $!!x::'a. P(x)$  **shows**  $\text{ALL } x. P(x)$   
**unfolding** *All-def* **by** (*iprover intro: ext eqTrueI assms*)

**lemma spec**:  $\text{ALL } x::'a. P(x) \implies P(x)$   
**apply** (*unfold All-def*)  
**apply** (*rule eqTrueE*)

**apply** (*erule fun-cong*)  
**done**

**lemma** *allE*:  
**assumes** *major*:  $\text{ALL } x. P(x)$   
**and** *minor*:  $P(x) \implies R$   
**shows**  $R$   
**by** (*iprover intro: minor major [THEN spec]*)

**lemma** *all-dupE*:  
**assumes** *major*:  $\text{ALL } x. P(x)$   
**and** *minor*:  $[P(x); \text{ALL } x. P(x)] \implies R$   
**shows**  $R$   
**by** (*iprover intro: minor major major [THEN spec]*)

### 1.2.6 False

Depends upon *spec*; it is impossible to do propositional logic before quantifiers!

**lemma** *FalseE*:  $\text{False} \implies P$   
**apply** (*unfold False-def*)  
**apply** (*erule spec*)  
**done**

**lemma** *False-neq-True*:  $\text{False} = \text{True} \implies P$   
**by** (*erule eqTrueE [THEN FalseE]*)

### 1.2.7 Negation

**lemma** *notI*:  
**assumes**  $P \implies \text{False}$   
**shows**  $\sim P$   
**apply** (*unfold not-def*)  
**apply** (*iprover intro: impI assms*)  
**done**

**lemma** *False-not-True*:  $\text{False} \sim = \text{True}$   
**apply** (*rule notI*)  
**apply** (*erule False-neq-True*)  
**done**

**lemma** *True-not-False*:  $\text{True} \sim = \text{False}$   
**apply** (*rule notI*)  
**apply** (*drule sym*)  
**apply** (*erule False-neq-True*)  
**done**

**lemma** *notE*:  $[ \sim P; P ] \implies R$   
**apply** (*unfold not-def*)

```

apply (erule mp [THEN FalseE])
apply assumption
done

```

```

lemma notI2:  $(P \implies \neg Pa) \implies (P \implies Pa) \implies \neg P$ 
by (erule notE [THEN notI]) (erule meta-mp)

```

### 1.2.8 Implication

```

lemma impE:
  assumes  $P \longrightarrow Q$   $P$   $Q \implies R$ 
  shows  $R$ 
by (iprover intro: assms mp)

```

```

lemma rev-mp:  $[P; P \longrightarrow Q] \implies Q$ 
by (iprover intro: mp)

```

```

lemma contrapos-nn:
  assumes major:  $\sim Q$ 
  and minor:  $P \implies Q$ 
  shows  $\sim P$ 
by (iprover intro: notI minor major [THEN notE])

```

```

lemma contrapos-pn:
  assumes major:  $Q$ 
  and minor:  $P \implies \sim Q$ 
  shows  $\sim P$ 
by (iprover intro: notI minor major notE)

```

```

lemma not-sym:  $t \sim s \implies s \sim t$ 
by (erule contrapos-nn) (erule sym)

```

```

lemma eq-neq-eq-imp-neq:  $[x = a; a \sim b; b = y] \implies x \sim y$ 
by (erule subst, erule ssubst, assumption)

```

```

lemma rev-contrapos:
  assumes pq:  $P \implies Q$ 
  and nq:  $\sim Q$ 
  shows  $\sim P$ 
apply (rule nq [THEN contrapos-nn])
apply (erule pq)
done

```

### 1.2.9 Existential quantifier

```

lemma exI:  $P\ x \implies \exists x::'a. P\ x$ 
apply (unfold Ex-def)

```

```

apply (iprover intro: allI allE impI mp)
done

```

```

lemma exE:
  assumes major:  $EX\ x::'a.\ P(x)$ 
  and minor:  $!!x.\ P(x) ==> Q$ 
  shows  $Q$ 
apply (rule major [unfolded Ex-def, THEN spec, THEN mp])
apply (iprover intro: impI [THEN allI] minor)
done

```

### 1.2.10 Conjunction

```

lemma conjI:  $[P; Q] ==> P \& Q$ 
apply (unfold and-def)
apply (iprover intro: impI [THEN allI] mp)
done

```

```

lemma conjunct1:  $[P \& Q] ==> P$ 
apply (unfold and-def)
apply (iprover intro: impI dest: spec mp)
done

```

```

lemma conjunct2:  $[P \& Q] ==> Q$ 
apply (unfold and-def)
apply (iprover intro: impI dest: spec mp)
done

```

```

lemma conjE:
  assumes major:  $P \& Q$ 
  and minor:  $[P; Q] ==> R$ 
  shows  $R$ 
apply (rule minor)
apply (rule major [THEN conjunct1])
apply (rule major [THEN conjunct2])
done

```

```

lemma context-conjI:
  assumes  $P \implies Q$  shows  $P \& Q$ 
by (iprover intro: conjI assms)

```

### 1.2.11 Disjunction

```

lemma disjI1:  $P ==> P \vee Q$ 
apply (unfold or-def)
apply (iprover intro: allI impI mp)
done

```

```

lemma disjI2:  $Q ==> P \vee Q$ 
apply (unfold or-def)

```

```

apply (iprover intro: allI impI mp)
done

```

```

lemma disjE:
  assumes major:  $P \mid Q$ 
    and minorP:  $P \implies R$ 
    and minorQ:  $Q \implies R$ 
  shows R
by (iprover intro: minorP minorQ impI
      major [unfolded or-def, THEN spec, THEN mp, THEN mp])

```

### 1.2.12 Classical logic

```

lemma classical:
  assumes prem:  $\sim P \implies P$ 
  shows P
apply (rule True-or-False [THEN disjE, THEN eqTrueE])
apply assumption
apply (rule notI [THEN prem, THEN eqTrueI])
apply (erule subst)
apply assumption
done

```

```

lemmas ccontr = FalseE [THEN classical, standard]

```

```

lemma rev-notE:
  assumes premp: P
    and premnot:  $\sim R \implies \sim P$ 
  shows R
apply (rule ccontr)
apply (erule notE [OF premnot premp])
done

```

```

lemma notnotD:  $\sim\sim P \implies P$ 
apply (rule classical)
apply (erule notE)
apply assumption
done

```

```

lemma contrapos-pp:
  assumes p1: Q
    and p2:  $\sim P \implies \sim Q$ 
  shows P
by (iprover intro: classical p1 p2 notE)

```

### 1.2.13 Unique existence

```

lemma ex1I:

```

```

assumes  $P\ a\ !!x. P(x) ==> x=a$ 
shows  $EX!\ x. P(x)$ 
by (unfold Ex1-def, iprover intro: assms exI conjI allI impI)

```

Sometimes easier to use: the premises have no shared variables. Safe!

```

lemma ex-ex1I:
  assumes ex-prem:  $EX\ x. P(x)$ 
    and eq:  $!!x\ y. [P(x); P(y)] ==> x=y$ 
  shows  $EX!\ x. P(x)$ 
by (iprover intro: ex-prem [THEN exE] ex1I eq)

```

```

lemma ex1E:
  assumes major:  $EX!\ x. P(x)$ 
    and minor:  $!!x. [P(x); ALL\ y. P(y) --> y=x] ==> R$ 
  shows  $R$ 
apply (rule major [unfolded Ex1-def, THEN exE])
apply (erule conjE)
apply (iprover intro: minor)
done

```

```

lemma ex1-implies-ex:  $EX!\ x. P\ x ==> EX\ x. P\ x$ 
apply (erule ex1E)
apply (rule exI)
apply assumption
done

```

#### 1.2.14 THE: definite description operator

```

lemma the-equality:
  assumes prema:  $P\ a$ 
    and premx:  $!!x. P\ x ==> x=a$ 
  shows  $(THE\ x. P\ x) = a$ 
apply (rule trans [OF - the-eq-trivial])
apply (rule-tac f = The in arg-cong)
apply (rule ext)
apply (rule iffI)
  apply (erule premx)
apply (erule ssubst, rule prema)
done

```

```

lemma theI:
  assumes  $P\ a$  and  $!!x. P\ x ==> x=a$ 
  shows  $P\ (THE\ x. P\ x)$ 
by (iprover intro: assms the-equality [THEN ssubst])

```

```

lemma theI':  $EX!\ x. P\ x ==> P\ (THE\ x. P\ x)$ 
apply (erule ex1E)
apply (erule theI)
apply (erule allE)

```

```

apply (erule mp)
apply assumption
done

```

```

lemma theI2:
  assumes  $P\ a\ !!x. P\ x \implies x=a\ !!x. P\ x \implies Q\ x$ 
  shows  $Q\ (THE\ x. P\ x)$ 
by (iprover intro: assms theI)

```

```

lemma the1I2: assumes  $EX!\ x. P\ x \wedge x. P\ x \implies Q\ x$  shows  $Q\ (THE\ x. P\ x)$ 
by(iprover intro:assms(2) theI2[where  $P=P$  and  $Q=Q$ ] ex1E[OF assms(1)]
    elim:allE impE)

```

```

lemma the1-equality [elim?]: [ $EX!\ x. P\ x; P\ a$ ]  $\implies (THE\ x. P\ x) = a$ 
apply (rule the-equality)
apply assumption
apply (erule ex1E)
apply (erule all-dupE)
apply (erule mp)
apply assumption
apply (erule ssubst)
apply (erule allE)
apply (erule mp)
apply assumption
done

```

```

lemma the-sym-eq-trivial:  $(THE\ y. x=y) = x$ 
apply (rule the-equality)
apply (rule refl)
apply (erule sym)
done

```

### 1.2.15 Classical intro rules for disjunction and existential quantifiers

```

lemma disjCI:
  assumes  $\sim Q \implies P$  shows  $P \mid Q$ 
apply (rule classical)
apply (iprover intro: assms disjI1 disjI2 notI elim: notE)
done

```

```

lemma excluded-middle:  $\sim P \mid P$ 
by (iprover intro: disjCI)

```

case distinction as a natural deduction rule. Note that  $\neg P$  is the second case, not the first

```

lemma case-split [case-names True False]:
  assumes  $prem1: P \implies Q$ 

```



```

    and prem2:  $\sim P \implies Q$ 
  shows  $Q$ 
  apply (rule excluded-middle [THEN disjE])
  apply (erule prem2)
  apply (erule prem1)
done

```

```

lemma impCE:
  assumes major:  $P \dashv\dashv Q$ 
    and minor:  $\sim P \implies R \ Q \implies R$ 
  shows  $R$ 
  apply (rule excluded-middle [of P, THEN disjE])
  apply (iprover intro: minor major [THEN mp])+
done

```

```

lemma impCE':
  assumes major:  $P \dashv\dashv Q$ 
    and minor:  $Q \implies R \ \sim P \implies R$ 
  shows  $R$ 
  apply (rule excluded-middle [of P, THEN disjE])
  apply (iprover intro: minor major [THEN mp])+
done

```

```

lemma iffCE:
  assumes major:  $P = Q$ 
    and minor:  $[P; Q] \implies R \ [\sim P; \sim Q] \implies R$ 
  shows  $R$ 
  apply (rule major [THEN iffE])
  apply (iprover intro: minor elim: impCE notE)
done

```

```

lemma exCI:
  assumes ALL  $x. \sim P(x) \implies P(a)$ 
  shows EX  $x. P(x)$ 
  apply (rule ccontr)
  apply (iprover intro: asms exI allI notI notE [of  $\exists x. P x$ ])
done

```

### 1.2.16 Intuitionistic Reasoning

```

lemma impE':
  assumes 1:  $P \dashv\dashv Q$ 
    and 2:  $Q \implies R$ 
    and 3:  $P \dashv\dashv Q \implies P$ 
  shows  $R$ 
proof -

```

```

    from 3 and 1 have P .
    with 1 have Q by (rule impE)
    with 2 show R .
qed

```

```

lemma allE':
  assumes 1: ALL x. P x
    and 2: P x ==> ALL x. P x ==> Q
  shows Q
proof -
  from 1 have P x by (rule spec)
  from this and 1 show Q by (rule 2)
qed

```

```

lemma notE':
  assumes 1: ~ P
    and 2: ~ P ==> P
  shows R
proof -
  from 2 and 1 have P .
  with 1 show R by (rule notE)
qed

```

```

lemma TrueE: True ==> P ==> P .
lemma notFalseE: ~ False ==> P ==> P .

```

```

lemmas [Pure.elim!] = disjE iffE FalseE conjE exE TrueE notFalseE
and [Pure.intro!] = iffI conjI impI TrueI notI allI refl
and [Pure.elim 2] = allE notE' impE'
and [Pure.intro] = exI disjI2 disjI1

```

```

lemmas [trans] = trans
and [sym] = sym not-sym
and [Pure.elim?] = iffD1 iffD2 impE

```

```

use Tools/hologic.ML

```

### 1.2.17 Atomizing meta-level connectives

axiomatization where

*eq-reflection*:  $x = y \implies x \equiv y$

```

lemma atomize-all [atomize]: (!!x. P x) == Trueprop (ALL x. P x)

```

```

proof
  assume !!x. P x
  then show ALL x. P x ..
next
  assume ALL x. P x
  then show !!x. P x by (rule allE)

```

qed

```

lemma atomize-imp [atomize]: (A ==> B) == Trueprop (A --> B)
proof
  assume r: A ==> B
  show A --> B by (rule impI) (rule r)
next
  assume A --> B and A
  then show B by (rule mp)
qed

```

```

lemma atomize-not: (A ==> False) == Trueprop (~A)
proof
  assume r: A ==> False
  show ~A by (rule notI) (rule r)
next
  assume ~A and A
  then show False by (rule notE)
qed

```

```

lemma atomize-eq [atomize]: (x == y) == Trueprop (x = y)
proof
  assume x == y
  show x = y by (unfold (x == y)) (rule refl)
next
  assume x = y
  then show x == y by (rule eq-reflection)
qed

```

```

lemma atomize-conj [atomize]: (A &&& B) == Trueprop (A & B)
proof
  assume conj: A &&& B
  show A & B
  proof (rule conjI)
    from conj show A by (rule conjunctionD1)
    from conj show B by (rule conjunctionD2)
  qed
next
  assume conj: A & B
  show A &&& B
  proof -
    from conj show A ..
    from conj show B ..
  qed
qed

```

```

lemmas [symmetric, rulify] = atomize-all atomize-imp
and [symmetric, defn] = atomize-all atomize-imp atomize-eq

```

### 1.2.18 Atomizing elimination rules

**setup** *AtomizeElim.setup*

**lemma** *atomize-exL*[*atomize-elim*]:  $(!!x. P\ x ==> Q) == ((EX\ x. P\ x) ==> Q)$   
**by** *rule iprover+*

**lemma** *atomize-conjL*[*atomize-elim*]:  $(A ==> B ==> C) == (A \& B ==> C)$   
**by** *rule iprover+*

**lemma** *atomize-disjL*[*atomize-elim*]:  $((A ==> C) ==> (B ==> C) ==> C)$   
 $== ((A \mid B ==> C) ==> C)$   
**by** *rule iprover+*

**lemma** *atomize-elimL*[*atomize-elim*]:  $(!!B. (A ==> B) ==> B) == \text{Trueprop } A$   
**..**

## 1.3 Package setup

### 1.3.1 Classical Reasoner setup

**lemma** *imp-elim*:  $P \dashv\dashv Q ==> (\sim R ==> P) ==> (Q ==> R) ==> R$   
**by** (*rule classical*) *iprover*

**lemma** *swap*:  $\sim P ==> (\sim R ==> P) ==> R$   
**by** (*rule classical*) *iprover*

**lemma** *thin-refl*:  
 $\bigwedge X. \llbracket x=x; \text{PROP } W \rrbracket \implies \text{PROP } W$ .

**ML**  $\ll$   
`structure Hypsubst = HypsubstFun(  
 struct  
 structure Simplifier = Simplifier  
 val dest-eq = HOLogic.dest-eq  
 val dest-Trueprop = HOLogic.dest-Trueprop  
 val dest-imp = HOLogic.dest-imp  
 val eq-reflection = @{thm eq-reflection}  
 val rev-eq-reflection = @{thm meta-eq-to-obj-eq}  
 val imp-intr = @{thm impI}  
 val rev-mp = @{thm rev-mp}  
 val subst = @{thm subst}  
 val sym = @{thm sym}  
 val thin-refl = @{thm thin-refl};  
 val prop-subst = @{lemma PROP P t ==> PROP prop (x = t ==> PROP P  
x)  
by (unfold prop-def) (drule eq-reflection, unfold)}  
 end);  
open Hypsubst;`

```

structure Classical = ClassicalFun(
struct
  val imp-elim = @{thm imp-elim}
  val not-elim = @{thm notE}
  val swap = @{thm swap}
  val classical = @{thm classical}
  val sizef = Drule.size-of-thm
  val hyp-subst-tacs = [Hypsubst.hyp-subst-tac]
end);

structure BasicClassical: BASIC-CLASSICAL = Classical;
open BasicClassical;

ML-Antiquote.value claset
  (Scan.succeed Classical.local-claset-of (ML-Context.the-local-context ()));

structure ResAtpset = NamedThmsFun(val name = atp val description = ATP
rules);

structure ResBlacklist = NamedThmsFun(val name = noatp val description = the-
orems blacklisted for ATP);
>>

ResBlacklist holds theorems blacklisted to sledgehammer. These theorems
typically produce clauses that are prolific (match too many equality or mem-
bership literals) and relate to seldom-used facts. Some duplicate other rules.

setup <<
let
  (*prevent substitution on bool*)
  fun hyp-subst-tac' i thm = if i <= Thm.nprems-of thm andalso
    Term.exists-Const (fn (op =, Type (-, [T, -])) => T <> Type (bool, [])) | - =>
false)
    (nth (Thm.premis-of thm) (i - 1)) then Hypsubst.hyp-subst-tac i thm else
no-tac thm;
in
  Hypsubst.hypsubst-setup
  #> ContextRules.addSWrapper (fn tac => hyp-subst-tac' ORELSE' tac)
  #> Classical.setup
  #> ResAtpset.setup
  #> ResBlacklist.setup
end
>>

declare iffI [intro!]
  and notI [intro!]
  and impI [intro!]
  and disjCI [intro!]
  and conjI [intro!]
  and TrueI [intro!]

```

```

and refl [intro!]

declare iffCE [elim!]
  and FalseE [elim!]
  and impCE [elim!]
  and disjE [elim!]
  and conjE [elim!]
  and conjE [elim!]

declare ex-ex1I [intro!]
  and allI [intro!]
  and the-equality [intro]
  and exI [intro]

declare exE [elim!]
  allE [elim]

ML ⟨⟨ val HOL-cs = @{claset} ⟩⟩

lemma contrapos-np:  $\sim Q \implies (\sim P \implies Q) \implies P$ 
  apply (erule swap)
  apply (erule (1) meta-mp)
  done

declare ex-ex1I [rule del, intro! 2]
  and ex1I [intro]

lemmas [intro?] = ext
  and [elim?] = ex1-implies-ex

lemma alt-ex1E [elim!]:
  assumes major:  $\exists!x. P\ x$ 
  and prem:  $\bigwedge x. \llbracket P\ x; \forall y\ y'. P\ y \wedge P\ y' \longrightarrow y = y' \rrbracket \implies R$ 
  shows R
  apply (rule ex1E [OF major])
  apply (rule prem)
  apply (tactic ⟨⟨ ares-tac @{thms allI} 1 ⟩⟩)+
  apply (tactic ⟨⟨ etac (Classical.dup-elim @{thm allE}) 1 ⟩⟩)
  apply iprover
  done

ML ⟨⟨
  structure Blast = BlastFun
  (
    type claset = Classical.claset
    val equality-name = @{const-name op =}
    val not-name = @{const-name Not}
    val notE = @{thm notE}
  )
  ⟩⟩

```

```

val ccontr = @{thm ccontr}
val contr-tac = Classical.contr-tac
val dup-intr = Classical.dup-intr
val hyp-subst-tac = Hypsubst.blast-hyp-subst-tac
val rep-cs = Classical.rep-cs
val cla-modifiers = Classical.cla-modifiers
val cla-meth' = Classical.cla-meth'
);
val blast-tac = Blast.blast-tac;
>>

```

**setup** *Blast.setup*

### 1.3.2 Simplifier

**lemma** *eta-contract-eq*:  $(\%s. f\ s) = f \ ..$

**lemma** *simp-thms*:

```

shows not-not:  $(\sim \sim P) = P$ 
and Not-eq-iff:  $((\sim P) = (\sim Q)) = (P = Q)$ 
and
   $(P \sim = Q) = (P = (\sim Q))$ 
   $(P \mid \sim P) = \text{True} \quad (\sim P \mid P) = \text{True}$ 
   $(x = x) = \text{True}$ 
and not-True-eq-False:  $(\neg \text{True}) = \text{False}$ 
and not-False-eq-True:  $(\neg \text{False}) = \text{True}$ 
and
   $(\sim P) \sim = P \quad P \sim = (\sim P)$ 
   $(\text{True} = P) = P$ 
and eq-True:  $(P = \text{True}) = P$ 
and  $(\text{False} = P) = (\sim P)$ 
and eq-False:  $(P = \text{False}) = (\neg P)$ 
and
   $(\text{True} \dashrightarrow P) = P \quad (\text{False} \dashrightarrow P) = \text{True}$ 
   $(P \dashrightarrow \text{True}) = \text{True} \quad (P \dashrightarrow P) = \text{True}$ 
   $(P \dashrightarrow \text{False}) = (\sim P) \quad (P \dashrightarrow \sim P) = (\sim P)$ 
   $(P \ \& \ \text{True}) = P \quad (\text{True} \ \& \ P) = P$ 
   $(P \ \& \ \text{False}) = \text{False} \quad (\text{False} \ \& \ P) = \text{False}$ 
   $(P \ \& \ P) = P \quad (P \ \& \ (P \ \& \ Q)) = (P \ \& \ Q)$ 
   $(P \ \& \ \sim P) = \text{False} \quad (\sim P \ \& \ P) = \text{False}$ 
   $(P \mid \text{True}) = \text{True} \quad (\text{True} \mid P) = \text{True}$ 
   $(P \mid \text{False}) = P \quad (\text{False} \mid P) = P$ 
   $(P \mid P) = P \quad (P \mid (P \mid Q)) = (P \mid Q)$  and
   $(\text{ALL } x. P) = P \quad (\text{EX } x. P) = P \quad \text{EX } x. x=t \quad \text{EX } x. t=x$ 
  — needed for the one-point-rule quantifier simplification procs
  — essential for termination!! and
  !!P.  $(\text{EX } x. x=t \ \& \ P(x)) = P(t)$ 
  !!P.  $(\text{EX } x. t=x \ \& \ P(x)) = P(t)$ 
  !!P.  $(\text{ALL } x. x=t \dashrightarrow P(x)) = P(t)$ 

```

**!!P.**  $(\text{ALL } x. t=x \dashrightarrow P(x)) = P(t)$   
**by** *(blast, blast, blast, blast, blast, iprover+)*

**lemma** *disj-absorb*:  $(A \mid A) = A$   
**by** *blast*

**lemma** *disj-left-absorb*:  $(A \mid (A \mid B)) = (A \mid B)$   
**by** *blast*

**lemma** *conj-absorb*:  $(A \& A) = A$   
**by** *blast*

**lemma** *conj-left-absorb*:  $(A \& (A \& B)) = (A \& B)$   
**by** *blast*

**lemma** *eq-ac*:  
**shows** *eq-commute*:  $(a=b) = (b=a)$   
**and** *eq-left-commute*:  $(P=(Q=R)) = (Q=(P=R))$   
**and** *eq-assoc*:  $((P=Q)=R) = (P=(Q=R))$  **by** *(iprover, blast+)*  
**lemma** *neg-commute*:  $(a^\sim=b) = (b^\sim=a)$  **by** *iprover*

**lemma** *conj-comms*:  
**shows** *conj-commute*:  $(P\&Q) = (Q\&P)$   
**and** *conj-left-commute*:  $(P\&(Q\&R)) = (Q\&(P\&R))$  **by** *iprover+*  
**lemma** *conj-assoc*:  $((P\&Q)\&R) = (P\&(Q\&R))$  **by** *iprover*

**lemmas** *conj-ac = conj-commute conj-left-commute conj-assoc*

**lemma** *disj-comms*:  
**shows** *disj-commute*:  $(P\mid Q) = (Q\mid P)$   
**and** *disj-left-commute*:  $(P\mid(Q\mid R)) = (Q\mid(P\mid R))$  **by** *iprover+*  
**lemma** *disj-assoc*:  $((P\mid Q)\mid R) = (P\mid(Q\mid R))$  **by** *iprover*

**lemmas** *disj-ac = disj-commute disj-left-commute disj-assoc*

**lemma** *conj-disj-distribL*:  $(P\&(Q\mid R)) = (P\&Q \mid P\&R)$  **by** *iprover*

**lemma** *conj-disj-distribR*:  $((P\mid Q)\&R) = (P\&R \mid Q\&R)$  **by** *iprover*

**lemma** *disj-conj-distribL*:  $(P\mid(Q\&R)) = ((P\mid Q) \& (P\mid R))$  **by** *iprover*

**lemma** *disj-conj-distribR*:  $((P\&Q)\mid R) = ((P\&R) \& (Q\&R))$  **by** *iprover*

**lemma** *imp-conjR*:  $(P \dashrightarrow (Q\&R)) = ((P \dashrightarrow Q) \& (P \dashrightarrow R))$  **by** *iprover*

**lemma** *imp-conjL*:  $((P\&Q) \dashrightarrow R) = (P \dashrightarrow (Q \dashrightarrow R))$  **by** *iprover*

**lemma** *imp-disjL*:  $((P\mid Q) \dashrightarrow R) = ((P \dashrightarrow R) \& (Q \dashrightarrow R))$  **by** *iprover*

These two are specialized, but *imp-disj-not1* is useful in *Auth/Yahalom*.

**lemma** *imp-disj-not1*:  $(P \dashrightarrow Q \mid R) = (\sim Q \dashrightarrow P \dashrightarrow R)$  **by** *blast*

**lemma** *imp-disj-not2*:  $(P \dashrightarrow Q \mid R) = (\sim R \dashrightarrow P \dashrightarrow Q)$  **by** *blast*



**lemma** *imp-disj1*:  $((P \dashv\vdash Q) \mid R) = (P \dashv\vdash Q \mid R)$  **by** *blast*

**lemma** *imp-disj2*:  $(Q \mid (P \dashv\vdash R)) = (P \dashv\vdash Q \mid R)$  **by** *blast*

**lemma** *imp-cong*:  $(P = P') \implies (P' \implies (Q = Q')) \implies ((P \dashv\vdash Q) = (P' \dashv\vdash Q'))$

**by** *iprover*

**lemma** *de-Morgan-disj*:  $(\sim(P \mid Q)) = (\sim P \ \& \ \sim Q)$  **by** *iprover*

**lemma** *de-Morgan-conj*:  $(\sim(P \ \& \ Q)) = (\sim P \mid \sim Q)$  **by** *blast*

**lemma** *not-imp*:  $(\sim(P \dashv\vdash Q)) = (P \ \& \ \sim Q)$  **by** *blast*

**lemma** *not-iff*:  $(P \sim Q) = (P = (\sim Q))$  **by** *blast*

**lemma** *disj-not1*:  $(\sim P \mid Q) = (P \dashv\vdash Q)$  **by** *blast*

**lemma** *disj-not2*:  $(P \mid \sim Q) = (Q \dashv\vdash P)$  — changes orientation :-(  
**by** *blast*

**lemma** *imp-conv-disj*:  $(P \dashv\vdash Q) = ((\sim P) \mid Q)$  **by** *blast*

**lemma** *iff-conv-conj-imp*:  $(P = Q) = ((P \dashv\vdash Q) \ \& \ (Q \dashv\vdash P))$  **by** *iprover*

**lemma** *cases-simp*:  $((P \dashv\vdash Q) \ \& \ (\sim P \dashv\vdash Q)) = Q$

— Avoids duplication of subgoals after *split-if*, when the true and false

— cases boil down to the same thing.

**by** *blast*

**lemma** *not-all*:  $(\sim (! x. P(x))) = (? x. \sim P(x))$  **by** *blast*

**lemma** *imp-all*:  $((! x. P x) \dashv\vdash Q) = (? x. P x \dashv\vdash Q)$  **by** *blast*

**lemma** *not-ex*:  $(\sim (? x. P(x))) = (! x. \sim P(x))$  **by** *iprover*

**lemma** *imp-ex*:  $((? x. P x) \dashv\vdash Q) = (! x. P x \dashv\vdash Q)$  **by** *iprover*

**lemma** *all-not-ex*:  $(ALL x. P x) = (\sim (EX x. \sim P x))$  **by** *blast*

**declare** *All-def* [*noatp*]

**lemma** *ex-disj-distrib*:  $(? x. P(x) \mid Q(x)) = ((? x. P(x)) \mid (? x. Q(x)))$  **by** *iprover*

**lemma** *all-conj-distrib*:  $(! x. P(x) \ \& \ Q(x)) = ((! x. P(x)) \ \& \ (! x. Q(x)))$  **by** *iprover*

The  $\&$  congruence rule: not included by default! May slow rewrite proofs down by as much as 50%

**lemma** *conj-cong*:

$(P = P') \implies (P' \implies (Q = Q')) \implies ((P \ \& \ Q) = (P' \ \& \ Q'))$

**by** *iprover*

**lemma** *rev-conj-cong*:

$(Q = Q') \implies (Q' \implies (P = P')) \implies ((P \ \& \ Q) = (P' \ \& \ Q'))$

**by** *iprover*

The  $\mid$  congruence rule: not included by default!

**lemma** *disj-cong*:

$(P = P') \implies (\sim P' \implies (Q = Q')) \implies ((P \mid Q) = (P' \mid Q'))$

**by** *blast*

if-then-else rules

**lemma** *if-True*:  $(\text{if } \text{True} \text{ then } x \text{ else } y) = x$   
**by** (*unfold if-def*) *blast*

**lemma** *if-False*:  $(\text{if } \text{False} \text{ then } x \text{ else } y) = y$   
**by** (*unfold if-def*) *blast*

**lemma** *if-P*:  $P \implies (\text{if } P \text{ then } x \text{ else } y) = x$   
**by** (*unfold if-def*) *blast*

**lemma** *if-not-P*:  $\sim P \implies (\text{if } P \text{ then } x \text{ else } y) = y$   
**by** (*unfold if-def*) *blast*

**lemma** *split-if*:  $P (\text{if } Q \text{ then } x \text{ else } y) = ((Q \longrightarrow P(x)) \ \& \ (\sim Q \longrightarrow P(y)))$   
**apply** (*rule case-split* [*of Q*])  
**apply** (*simplesubst if-P*)  
**prefer** 3 **apply** (*simplesubst if-not-P*, *blast*+) **done**

**lemma** *split-if-asm*:  $P (\text{if } Q \text{ then } x \text{ else } y) = (\sim((Q \ \& \ \sim P \ x) \mid (\sim Q \ \& \ \sim P \ y)))$   
**by** (*simplesubst split-if*, *blast*)

**lemmas** *if-splits* [*noatp*] = *split-if split-if-asm*

**lemma** *if-cancel*:  $(\text{if } c \text{ then } x \text{ else } x) = x$   
**by** (*simplesubst split-if*, *blast*)

**lemma** *if-eq-cancel*:  $(\text{if } x = y \text{ then } y \text{ else } x) = x$   
**by** (*simplesubst split-if*, *blast*)

**lemma** *if-bool-eq-conj*:  $(\text{if } P \text{ then } Q \text{ else } R) = ((P \longrightarrow Q) \ \& \ (\sim P \longrightarrow R))$   
— This form is useful for expanding *ifs* on the RIGHT of the  $\implies$  symbol.  
**by** (*rule split-if*)

**lemma** *if-bool-eq-disj*:  $(\text{if } P \text{ then } Q \text{ else } R) = ((P \ \& \ Q) \mid (\sim P \ \& \ R))$   
— And this form is useful for expanding *ifs* on the LEFT.  
**apply** (*simplesubst split-if*, *blast*) **done**

**lemma** *Eq-TrueI*:  $P \implies P == \text{True}$  **by** (*unfold atomize-eq*) *iprover*

**lemma** *Eq-FalseI*:  $\sim P \implies P == \text{False}$  **by** (*unfold atomize-eq*) *iprover*

let rules for *simproc*

**lemma** *Let-folded*:  $f \ x \equiv g \ x \implies \text{Let } x \ f \equiv \text{Let } x \ g$   
**by** (*unfold Let-def*)

**lemma** *Let-unfold*:  $f\ x \equiv g \implies \text{Let } x\ f \equiv g$   
**by** (*unfold Let-def*)

The following copy of the implication operator is useful for fine-tuning congruence rules. It instructs the simplifier to simplify its premise.

**constdefs**

*simp-implies* :: [*prop*, *prop*] => *prop* (**infixr** =*simp=>* 1)  
[*code del*]: *simp-implies*  $\equiv$  *op* ==>

**lemma** *simp-impliesI*:

**assumes** *PQ*: (*PROP P*  $\implies$  *PROP Q*)  
**shows** *PROP P* =*simp=>* *PROP Q*  
**apply** (*unfold simp-implies-def*)  
**apply** (*rule PQ*)  
**apply** *assumption*  
**done**

**lemma** *simp-impliesE*:

**assumes** *PQ*: *PROP P* =*simp=>* *PROP Q*  
**and** *P*: *PROP P*  
**and** *QR*: *PROP Q*  $\implies$  *PROP R*  
**shows** *PROP R*  
**apply** (*rule QR*)  
**apply** (*rule PQ* [*unfolded simp-implies-def*])  
**apply** (*rule P*)  
**done**

**lemma** *simp-implies-cong*:

**assumes** *PP'*: *PROP P* == *PROP P'*  
**and** *P'QQ'*: *PROP P'* ==> (*PROP Q* == *PROP Q'*)  
**shows** (*PROP P* =*simp=>* *PROP Q*) == (*PROP P'* =*simp=>* *PROP Q'*)  
**proof** (*unfold simp-implies-def, rule equal-intr-rule*)  
**assume** *PQ*: *PROP P*  $\implies$  *PROP Q*  
**and** *P'*: *PROP P'*  
**from** *PP'* [*symmetric*] **and** *P'* **have** *PROP P*  
**by** (*rule equal-elim-rule1*)  
**then have** *PROP Q* **by** (*rule PQ*)  
**with** *P'QQ'* [*OF P'*] **show** *PROP Q'* **by** (*rule equal-elim-rule1*)  
**next**  
**assume** *P'Q'*: *PROP P'*  $\implies$  *PROP Q'*  
**and** *P*: *PROP P*  
**from** *PP'* **and** *P* **have** *P'*: *PROP P'* **by** (*rule equal-elim-rule1*)  
**then have** *PROP Q'* **by** (*rule P'Q'*)  
**with** *P'QQ'* [*OF P', symmetric*] **show** *PROP Q*  
**by** (*rule equal-elim-rule1*)  
**qed**

**lemma** *uncurry*:

**assumes**  $P \longrightarrow Q \longrightarrow R$

**shows**  $P \wedge Q \longrightarrow R$   
**using** *assms* **by** *blast*

**lemma** *iff-allI*:  
**assumes**  $\bigwedge x. P\ x = Q\ x$   
**shows**  $(\forall x. P\ x) = (\forall x. Q\ x)$   
**using** *assms* **by** *blast*

**lemma** *iff-exI*:  
**assumes**  $\bigwedge x. P\ x = Q\ x$   
**shows**  $(\exists x. P\ x) = (\exists x. Q\ x)$   
**using** *assms* **by** *blast*

**lemma** *all-comm*:  
 $(\forall x\ y. P\ x\ y) = (\forall y\ x. P\ x\ y)$   
**by** *blast*

**lemma** *ex-comm*:  
 $(\exists x\ y. P\ x\ y) = (\exists y\ x. P\ x\ y)$   
**by** *blast*

**use** *Tools/simpdata.ML*  
**ML**  $\ll$  *open Simpdata*  $\gg$

**setup**  $\ll$   
*Simplifier.method-setup Splitter.split-modifiers*  
 $\#>$  *Simplifier.map-simpset (K Simpdata.simpset-simprocs)*  
 $\#>$  *Splitter.setup*  
 $\#>$  *clasimp-setup*  
 $\#>$  *EqSubst.setup*  
 $\gg$

Simproc for proving  $(y = x) == \text{False}$  from premise  $\sim(x = y)$ :

**simproc-setup** *neq*  $(x = y) = \ll$  *fn*  $- =>$   
*let*  
 $\text{val neq-to-EQ-False} = @\{thm\ not\ sym\} RS @\{thm\ Eq\ FalseI\};$   
 $\text{fun is-neq eq lhs rhs thm} =$   
 $\text{(case Thm.prop-of thm of}$   
 $\text{ - } \$ (Not\ \$ (eq'\ \$ l'\ \$ r')) =>$   
 $\text{ Not = HOLogic.Not andalso eq' = eq andalso}$   
 $\text{ r' aconv lhs andalso l' aconv rhs}$   
 $\text{ | - => false);}$   
 $\text{fun proc ss ct} =$   
 $\text{(case Thm.term-of ct of}$   
 $\text{ eq } \$ lhs\ \$ rhs =>$   
 $\text{ (case find-first (is-neq eq lhs rhs) (Simplifier.premis-of-ss ss) of}$   
 $\text{ SOME thm => SOME (thm RS neq-to-EQ-False)}$   
 $\text{ | NONE => NONE)}$   
 $\text{ | - => NONE);}$

```

in proc end;
>>

```

```

simproc-setup let-simp (Let x f) = <<
let
  val (f-Let-unfold, x-Let-unfold) =
    let val [(- $ (f $ x) $ -)] = prems-of @{thm Let-unfold}
    in (cterm-of @{theory} f, cterm-of @{theory} x) end
  val (f-Let-folded, x-Let-folded) =
    let val [(- $ (f $ x) $ -)] = prems-of @{thm Let-folded}
    in (cterm-of @{theory} f, cterm-of @{theory} x) end;
  val g-Let-folded =
    let val [(- $ - $ (g $ -))] = prems-of @{thm Let-folded}
    in cterm-of @{theory} g end;
  fun count-loose (Bound i) k = if i >= k then 1 else 0
    | count-loose (s $ t) k = count-loose s k + count-loose t k
    | count-loose (Abs (-, -, t)) k = count-loose t (k + 1)
    | count-loose - - = 0;
  fun is-trivial-let (Const (@{const-name Let}, -) $ x $ t) =
    case t
    of Abs (-, -, t') => count-loose t' 0 <= 1
    | - => true;
in fn - => fn ss => fn ct => if is-trivial-let (Thm.term-of ct)
then SOME @{thm Let-def} (*no or one occurrence of bound variable*)
else let (*Norbert Schirmer's case*)
  val ctxt = Simplifier.the-context ss;
  val thy = ProofContext.theory-of ctxt;
  val t = Thm.term-of ct;
  val ([t'], ctxt') = Variable.import-terms false [t] ctxt;
in Option.map (hd o Variable.export ctxt' ctxt o single)
  (case t' of Const (@{const-name Let}, -) $ x $ f => (* x and f are already in
normal form *)
  if is-Free x orelse is-Bound x orelse is-Const x
  then SOME @{thm Let-def}
  else
  let
    val n = case f of (Abs (x, -, -)) => x | - => x;
    val cx = cterm-of thy x;
    val {T = xT, ...} = rep-cterm cx;
    val cf = cterm-of thy f;
    val fx-g = Simplifier.rewrite ss (Thm.capply cf cx);
    val (- $ - $ g) = prop-of fx-g;
    val g' = abstract-over (x, g);
  in (if (g aconv g')
    then
      let
        val rl =
          cterm-instantiate [(f-Let-unfold, cf), (x-Let-unfold, cx)] @{thm
Let-unfold});

```

```

      in SOME (rl OF [fx-g]) end
      else if Term.betapply (f, x) aconv g then NONE (*avoid identity
conversion*)
      else let
        val abs-g' = Abs (n,xT,g');
        val g'x = abs-g'$x;
        val g-g'x = symmetric (beta-conversion false (cterm-of thy g'x));
        val rl = cterm-instantiate
          [(f-Let-folded, cterm-of thy f), (x-Let-folded, cx),
           (g-Let-folded, cterm-of thy abs-g')]
          @ {thm Let-folded};
        in SOME (rl OF [transitive fx-g g-g'x])
        end)
      end
    | - => NONE)
  end
end >>

```

**lemma** *True-implies-equals*:  $(\text{True} \implies \text{PROP } P) \equiv \text{PROP } P$

**proof**

**assume**  $\text{True} \implies \text{PROP } P$

**from** *this* [OF TrueI] **show**  $\text{PROP } P$  .

**next**

**assume**  $\text{PROP } P$

**then show**  $\text{PROP } P$  .

**qed**

**lemma** *ex-simps*:

!!P Q.  $(\text{EX } x. P \ x \ \& \ Q) = ((\text{EX } x. P \ x) \ \& \ Q)$

!!P Q.  $(\text{EX } x. P \ \& \ Q \ x) = (P \ \& \ (\text{EX } x. Q \ x))$

!!P Q.  $(\text{EX } x. P \ x \ | \ Q) = ((\text{EX } x. P \ x) \ | \ Q)$

!!P Q.  $(\text{EX } x. P \ | \ Q \ x) = (P \ | \ (\text{EX } x. Q \ x))$

!!P Q.  $(\text{EX } x. P \ x \ \longrightarrow \ Q) = ((\text{ALL } x. P \ x) \ \longrightarrow \ Q)$

!!P Q.  $(\text{EX } x. P \ \longrightarrow \ Q \ x) = (P \ \longrightarrow \ (\text{EX } x. Q \ x))$

— Miniscoping: pushing in existential quantifiers.

**by** (*iprover* | *blast*)+

**lemma** *all-simps*:

!!P Q.  $(\text{ALL } x. P \ x \ \& \ Q) = ((\text{ALL } x. P \ x) \ \& \ Q)$

!!P Q.  $(\text{ALL } x. P \ \& \ Q \ x) = (P \ \& \ (\text{ALL } x. Q \ x))$

!!P Q.  $(\text{ALL } x. P \ x \ | \ Q) = ((\text{ALL } x. P \ x) \ | \ Q)$

!!P Q.  $(\text{ALL } x. P \ | \ Q \ x) = (P \ | \ (\text{ALL } x. Q \ x))$

!!P Q.  $(\text{ALL } x. P \ x \ \longrightarrow \ Q) = ((\text{EX } x. P \ x) \ \longrightarrow \ Q)$

!!P Q.  $(\text{ALL } x. P \ \longrightarrow \ Q \ x) = (P \ \longrightarrow \ (\text{ALL } x. Q \ x))$

— Miniscoping: pushing in universal quantifiers.

**by** (*iprover* | *blast*)+

**lemmas** [*simp*] =

*triv-forall-equality*

*True-implies-equals*  
*if-True*  
*if-False*  
*if-cancel*  
*if-eq-cancel*  
*imp-disjL*

*conj-assoc*  
*disj-assoc*  
*de-Morgan-conj*  
*de-Morgan-disj*  
*imp-disj1*  
*imp-disj2*  
*not-imp*  
*disj-not1*  
*not-all*  
*not-ex*  
*cases-simp*  
*the-eq-trivial*  
*the-sym-eq-trivial*  
*ex-simps*  
*all-simps*  
*simp-thms*

**lemmas** [*cong*] = *imp-cong simp-implies-cong*  
**lemmas** [*split*] = *split-if*

**ML**  $\ll \text{val HOL-ss} = @\{\text{simpset}\} \gg$

Simplifies  $x$  assuming  $c$  and  $y$  assuming  $\neg c$

**lemma** *if-cong*:  
**assumes**  $b = c$   
**and**  $c \implies x = u$   
**and**  $\neg c \implies y = v$   
**shows**  $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v)$   
**unfolding** *if-def* **using** *assms* **by** *simp*

Prevents simplification of  $x$  and  $y$ : faster and allows the execution of functional programs.

**lemma** *if-weak-cong* [*cong*]:  
**assumes**  $b = c$   
**shows**  $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } x \text{ else } y)$   
**using** *assms* **by** (*rule arg-cong*)

Prevents simplification of  $t$ : much faster

**lemma** *let-weak-cong*:  
**assumes**  $a = b$   
**shows**  $(\text{let } x = a \text{ in } t\ x) = (\text{let } x = b \text{ in } t\ x)$   
**using** *assms* **by** (*rule arg-cong*)

To tidy up the result of a simproc. Only the RHS will be simplified.

```
lemma eq-cong2:
  assumes  $u = u'$ 
  shows  $(t \equiv u) \equiv (t \equiv u')$ 
  using assms by simp
```

```
lemma if-distrib:
   $f \text{ (if } c \text{ then } x \text{ else } y) = (\text{if } c \text{ then } f\ x \text{ else } f\ y)$ 
  by simp
```

This lemma restricts the effect of the rewrite rule  $u=v$  to the left-hand side of an equality. Used in  $\{Integ, Real\}/simproc.ML$

```
lemma restrict-to-left:
  assumes  $x = y$ 
  shows  $(x = z) = (y = z)$ 
  using assms by simp
```

### 1.3.3 Generic cases and induction

Rule projections:

```
ML <<
  structure ProjectRule = ProjectRuleFun
  (
    val conjunct1 = @{thm conjunct1}
    val conjunct2 = @{thm conjunct2}
    val mp = @{thm mp}
  )
  >>
```

```
constdefs
  induct-forall where induct-forall  $P == \forall x. P\ x$ 
  induct-implies where induct-implies  $A\ B == A \longrightarrow B$ 
  induct-equal where induct-equal  $x\ y == x = y$ 
  induct-conj where induct-conj  $A\ B == A \wedge B$ 
```

```
lemma induct-forall-eq:  $(!!x. P\ x) == \text{Trueprop } (\text{induct-forall } (\lambda x. P\ x))$ 
  by (unfold atomize-all induct-forall-def)
```

```
lemma induct-implies-eq:  $(A ==> B) == \text{Trueprop } (\text{induct-implies } A\ B)$ 
  by (unfold atomize-imp induct-implies-def)
```

```
lemma induct-equal-eq:  $(x == y) == \text{Trueprop } (\text{induct-equal } x\ y)$ 
  by (unfold atomize-eq induct-equal-def)
```

```
lemma induct-conj-eq:  $(A \ \&\&\& \ B) == \text{Trueprop } (\text{induct-conj } A\ B)$ 
  by (unfold atomize-conj induct-conj-def)
```

```
lemmas induct-atomize = induct-forall-eq induct-implies-eq induct-equal-eq induct-conj-eq
```



**lemmas** *induct-rulify* [*symmetric, standard*] = *induct-atomize*

**lemmas** *induct-rulify-fallback* =

*induct-forall-def induct-implies-def induct-equal-def induct-conj-def*

**lemma** *induct-forall-conj*: *induct-forall* ( $\lambda x. \text{induct-conj } (A \ x) \ (B \ x)$ ) =  
*induct-conj* (*induct-forall* *A*) (*induct-forall* *B*)

**by** (*unfold induct-forall-def induct-conj-def*) *iprover*

**lemma** *induct-implies-conj*: *induct-implies* *C* (*induct-conj* *A* *B*) =  
*induct-conj* (*induct-implies* *C* *A*) (*induct-implies* *C* *B*)

**by** (*unfold induct-implies-def induct-conj-def*) *iprover*

**lemma** *induct-conj-curry*: (*induct-conj* *A* *B* ==> *PROP C*) == (*A* ==> *B* ==>  
*PROP C*)

**proof**

**assume** *r*: *induct-conj* *A* *B* ==> *PROP C* **and** *A* *B*

**show** *PROP C* **by** (*rule r*) (*simp add: induct-conj-def*  $\langle A \rangle \langle B \rangle$ )

**next**

**assume** *r*: *A* ==> *B* ==> *PROP C* **and** *induct-conj* *A* *B*

**show** *PROP C* **by** (*rule r*) (*simp-all add:*  $\langle \text{induct-conj } A \ B \rangle$  [*unfolded induct-conj-def*])

**qed**

**lemmas** *induct-conj* = *induct-forall-conj induct-implies-conj induct-conj-curry*

**hide** *const induct-forall induct-implies induct-equal induct-conj*

Method setup.

**ML**  $\ll$

*structure Induct = InductFun*

(

*val cases-default* =  $\@ \{ \text{thm case-split} \}$

*val atomize* =  $\@ \{ \text{thms induct-atomize} \}$

*val rulify* =  $\@ \{ \text{thms induct-rulify} \}$

*val rulify-fallback* =  $\@ \{ \text{thms induct-rulify-fallback} \}$

)

$\gg$

**setup** *Induct.setup*

**use**  $\sim \sim / \text{src} / \text{Tools} / \text{induct-tacs.ML}$

**setup** *InductTacs.setup*

### 1.3.4 Coherent logic

**ML**  $\ll$

*structure Coherent = CoherentFun*

(

*val atomize-elimL* =  $\@ \{ \text{thm atomize-elimL} \}$

```

val atomize-exL = @{thm atomize-exL}
val atomize-conjL = @{thm atomize-conjL}
val atomize-disjL = @{thm atomize-disjL}
val operator-names =
  [@{const-name op |}, @{const-name op &}, @{const-name Ex}]
);
>>

```

**setup** *Coherent.setup*

## 1.4 Other simple lemmas and lemma duplicates

**lemma** *Let-0* [*simp*]: *Let 0 f = f 0*  
**unfolding** *Let-def* ..

**lemma** *Let-1* [*simp*]: *Let 1 f = f 1*  
**unfolding** *Let-def* ..

**lemma** *ex1-eq* [*iff*]: *EX! x. x = t EX! x. t = x*  
**by** *blast+*

**lemma** *choice-eq*:  $(\text{ALL } x. \text{EX! } y. P \ x \ y) = (\text{EX! } f. \text{ALL } x. P \ x \ (f \ x))$   
**apply** (*rule iffI*)  
**apply** (*rule-tac a = %x. THE y. P x y in ex1I*)  
**apply** (*fast dest!: theI'*)  
**apply** (*fast intro: ext the1-equality [symmetric]*)  
**apply** (*erule ex1E*)  
**apply** (*rule allI*)  
**apply** (*rule ex1I*)  
**apply** (*erule spec*)  
**apply** (*erule-tac x = %z. if z = x then y else f z in allE*)  
**apply** (*erule impE*)  
**apply** (*rule allI*)  
**apply** (*case-tac xa = x*)  
**apply** (*drule-tac [3] x = x in fun-cong, simp-all*)  
**done**

**lemma** *mk-left-commute*:  
**fixes** *f* (**infix**  $\otimes$  60)  
**assumes** *a*:  $\bigwedge x \ y \ z. (x \otimes y) \otimes z = x \otimes (y \otimes z)$  **and**  
*c*:  $\bigwedge x \ y. x \otimes y = y \otimes x$   
**shows**  $x \otimes (y \otimes z) = y \otimes (x \otimes z)$   
**by** (*rule trans [OF trans [OF c a] arg-cong [OF c, of f y]]*)

**lemmas** *eq-sym-conv* = *eq-commute*

**lemma** *nnf-simps*:  
 $(\neg(P \wedge Q)) = (\neg P \vee \neg Q) \ (\neg(P \vee Q)) = (\neg P \wedge \neg Q) \ (P \longrightarrow Q) = (\neg P \vee Q)$

$$(P = Q) = ((P \wedge Q) \vee (\neg P \wedge \neg Q)) \quad (\neg(P = Q)) = ((P \wedge \neg Q) \vee (\neg P \wedge Q))$$

$$(\neg \neg(P)) = P$$

by blast+

## 1.5 Basic ML bindings

```

ML <<
val FalseE = @{thm FalseE}
val Let-def = @{thm Let-def}
val TrueI = @{thm TrueI}
val allE = @{thm allE}
val allI = @{thm allI}
val all-dupE = @{thm all-dupE}
val arg-cong = @{thm arg-cong}
val box-equals = @{thm box-equals}
val ccontr = @{thm ccontr}
val classical = @{thm classical}
val conjE = @{thm conjE}
val conjI = @{thm conjI}
val conjunct1 = @{thm conjunct1}
val conjunct2 = @{thm conjunct2}
val disjCI = @{thm disjCI}
val disjE = @{thm disjE}
val disjI1 = @{thm disjI1}
val disjI2 = @{thm disjI2}
val eq-reflection = @{thm eq-reflection}
val ex1E = @{thm ex1E}
val ex1I = @{thm ex1I}
val ex1-implies-ex = @{thm ex1-implies-ex}
val exE = @{thm exE}
val exI = @{thm exI}
val excluded-middle = @{thm excluded-middle}
val ext = @{thm ext}
val fun-cong = @{thm fun-cong}
val iffD1 = @{thm iffD1}
val iffD2 = @{thm iffD2}
val iffI = @{thm iffI}
val impE = @{thm impE}
val impI = @{thm impI}
val meta-eq-to-obj-eq = @{thm meta-eq-to-obj-eq}
val mp = @{thm mp}
val notE = @{thm notE}
val notI = @{thm notI}
val not-all = @{thm not-all}
val not-ex = @{thm not-ex}
val not-iff = @{thm not-iff}
val not-not = @{thm not-not}
val not-sym = @{thm not-sym}
val refl = @{thm refl}

```

```

val rev-mp = @{thm rev-mp}
val spec = @{thm spec}
val ssubst = @{thm ssubst}
val subst = @{thm subst}
val sym = @{thm sym}
val trans = @{thm trans}
>>

```

## 1.6 Code generator basics – see further theory *Code-Setup*

Equality

```

class eq =
  fixes eq :: 'a ⇒ 'a ⇒ bool
  assumes eq-equals: eq x y ⟷ x = y
begin

```

```

lemma eq: eq = (op =)
  by (rule ext eq-equals)+

```

```

lemma eq-refl: eq x x ⟷ True
  unfolding eq by rule+

```

end

Module setup

```

use Tools/recfun-codegen.ML

```

```

setup <<
  Code-ML.setup
  #> Code-Haskell.setup
  #> Nbe.setup
  #> Codegen.setup
  #> RecfunCodegen.setup
>>

```

## 1.7 Nitpick hooks

This will be relocated once Nitpick is moved to HOL.

```

ML <<
  structure Nitpick-Const-Def-Thms = NamedThmsFun
  (
    val name = nitpick-const-def
    val description = alternative definitions of constants as needed by Nitpick
  )
  structure Nitpick-Const-Simp-Thms = NamedThmsFun
  (
    val name = nitpick-const-simp
    val description = equational specification of constants as needed by Nitpick
  )

```

```

)
structure Nitpick-Const-Psimp-Thms = NamedThmsFun
(
  val name = nitpick-const-psimp
  val description = partial equational specification of constants as needed by Nitpick
)
structure Nitpick-Ind-Intro-Thms = NamedThmsFun
(
  val name = nitpick-ind-intro
  val description = introduction rules for (co)inductive predicates as needed by
Nitpick
)
)
)
setup << Nitpick-Const-Def-Thms.setup
  #> Nitpick-Const-Simp-Thms.setup
  #> Nitpick-Const-Psimp-Thms.setup
  #> Nitpick-Ind-Intro-Thms.setup >>

```

## 1.8 Legacy tactics and ML bindings

```

ML <<
fun strip-tac i = REPEAT (resolve-tac [impI, allI] i);

(* combination of (spec RS spec RS ...(j times) ... spec RS mp) *)
local
  fun wrong-prem (Const (All, -) $ (Abs (-, -, t))) = wrong-prem t
    | wrong-prem (Bound -) = true
    | wrong-prem - = false;
  val filter-right = filter (not o wrong-prem o HOLogic.dest-Trueprop o hd o Thm.premsof);
in
  fun smp i = funpow i (fn m => filter-right ([spec] RL m)) ([mp]);
  fun smp-tac j = EVERY [dresolve-tac (smp j), atac];
end;

val all-conj-distrib = thm all-conj-distrib;
val all-simps = thms all-simps;
val atomize-not = thm atomize-not;
val case-split = thm case-split;
val cases-simp = thm cases-simp;
val choice-eq = thm choice-eq;
val cong = thm cong;
val conj-comms = thms conj-comms;
val conj-cong = thm conj-cong;
val de-Morgan-conj = thm de-Morgan-conj;
val de-Morgan-disj = thm de-Morgan-disj;
val disj-assoc = thm disj-assoc;
val disj-comms = thms disj-comms;
val disj-cong = thm disj-cong;
val eq-ac = thms eq-ac;

```

```

val eq-cong2 = thm eq-cong2
val Eq-FalseI = thm Eq-FalseI;
val Eq-TrueI = thm Eq-TrueI;
val Ex1-def = thm Ex1-def
val ex-disj-distrib = thm ex-disj-distrib;
val ex-simps = thms ex-simps;
val if-cancel = thm if-cancel;
val if-eq-cancel = thm if-eq-cancel;
val if-False = thm if-False;
val iff-conv-conj-imp = thm iff-conv-conj-imp;
val iff = thm iff
val if-splits = thms if-splits;
val if-True = thm if-True;
val if-weak-cong = thm if-weak-cong
val imp-all = thm imp-all;
val imp-cong = thm imp-cong;
val imp-conjL = thm imp-conjL;
val imp-conjR = thm imp-conjR;
val imp-conv-disj = thm imp-conv-disj;
val simp-implies-def = thm simp-implies-def;
val simp-thms = thms simp-thms;
val split-if = thm split-if;
val the1-equality = thm the1-equality
val theI = thm theI
val theI' = thm theI'
val True-implies-equals = thm True-implies-equals;
val nnf-conv = Simplifier.rewrite (HOL-basic-ss addsimps simp-thms @ @{thms
nnf-simps})

>>

end

```

## 2 Code-Setup: Setup of code generators and related tools

```

theory Code-Setup
imports HOL
begin

```

### 2.1 Generic code generator foundation

Datatypes

```
code-datatype True False
```

```
code-datatype TYPE('a::{})
```

**code-datatype** *Trueprop prop*

Code equations

**lemma** [*code*]:  
**shows**  $(True \implies PROP\ P) \equiv PROP\ P$   
**and**  $(False \implies Q) \equiv Trueprop\ True$   
**and**  $(PROP\ P \implies True) \equiv Trueprop\ True$   
**and**  $(Q \implies False) \equiv Trueprop\ (\neg Q)$  **by** (*auto intro! equal-intr-rule*)

**lemma** [*code*]:  
**shows**  $False \wedge x \longleftrightarrow False$   
**and**  $True \wedge x \longleftrightarrow x$   
**and**  $x \wedge False \longleftrightarrow False$   
**and**  $x \wedge True \longleftrightarrow x$  **by** *simp-all*

**lemma** [*code*]:  
**shows**  $False \vee x \longleftrightarrow x$   
**and**  $True \vee x \longleftrightarrow True$   
**and**  $x \vee False \longleftrightarrow x$   
**and**  $x \vee True \longleftrightarrow True$  **by** *simp-all*

**lemma** [*code*]:  
**shows**  $\neg True \longleftrightarrow False$   
**and**  $\neg False \longleftrightarrow True$  **by** (*rule HOL.simp-thms*)**+**

**lemmas** [*code*] = *Let-def if-True if-False*

**lemmas** [*code, code unfold, symmetric, code post*] = *imp-conv-disj*

Equality

**context** *eq*  
**begin**

**lemma** *equals-eq* [*code inline, code*]:  $op = \equiv eq$   
**by** (*rule eq-reflection*) (*rule ext, rule ext, rule sym, rule eq-equals*)

**declare** *eq* [*code unfold, code inline del*]

**declare** *equals-eq* [*symmetric, code post*]

**end**

**declare** *simp-thms*(6) [*code nbe*]

**hide** (**open**) *const eq*  
**hide** *const eq*

**setup**  $\ll$   
*Code-Unit.add-const-alias @{thm equals-eq}*

»

Cases

**lemma** *Let-case-cert*:

**assumes**  $CASE \equiv (\lambda x. \text{Let } x \text{ } f)$

**shows**  $CASE \ x \equiv f \ x$

**using** *assms* **by** *simp-all*

**lemma** *If-case-cert*:

**assumes**  $CASE \equiv (\lambda b. \text{If } b \text{ } f \text{ } g)$

**shows**  $(CASE \ \text{True} \equiv f) \ \&\&\& \ (CASE \ \text{False} \equiv g)$

**using** *assms* **by** *simp-all*

**setup** «

*Code.add-case* @{*thm Let-case-cert*}

#> *Code.add-case* @{*thm If-case-cert*}

#> *Code.add-undefined* @{*const-name undefined*}

»

**code-abort** *undefined*

## 2.2 Generic code generator preprocessor

**setup** «

*Code.map-pre* (*K HOL-basic-ss*)

#> *Code.map-post* (*K HOL-basic-ss*)

»

## 2.3 Generic code generator target languages

type bool

**code-type** *bool*

(*SML bool*)

(*OCaml bool*)

(*Haskell Bool*)

**code-const** *True and False and Not and op & and op | and If*

(*SML true and false and not*

**and** **infixl** 1 *andalso* **and** **infixl** 0 *orelse*

**and** **!**(*if* (-)/ *then* (-)/ *else* (-)))

(*OCaml true and false and not*

**and** **infixl** 4 *&&* **and** **infixl** 2 *||*

**and** **!**(*if* (-)/ *then* (-)/ *else* (-)))

(*Haskell True and False and not*

**and** **infixl** 3 *&&* **and** **infixl** 2 *||*

**and** **!**(*if* (-)/ *then* (-)/ *else* (-)))

**code-reserved** *SML*

*bool true false not*



**code-reserved** *OCaml*  
*bool not*

using built-in Haskell equality

**code-class** *eq*  
*(Haskell Eq)*

**code-const** *eq-class.eq*  
*(Haskell infixl 4 ==)*

**code-const** *op =*  
*(Haskell infixl 4 ==)*

undefined

**code-const** *undefined*  
*(SML !(raise/ Fail/ undefined))*  
*(OCaml failwith/ undefined)*  
*(Haskell error/ undefined)*

## 2.4 SML code generator setup

**types-code**

*bool (bool)*  
**attach** (*term-of*)  $\ll$   
*fun term-of-bool b = if b then HLogic.true-const else HLogic.false-const;*  
 $\gg$   
**attach** (*test*)  $\ll$   
*fun gen-bool i =*  
*let val b = one-of [false, true]*  
*in (b, fn () => term-of-bool b) end;*  
 $\gg$   
*prop (bool)*  
**attach** (*term-of*)  $\ll$   
*fun term-of-prop b =*  
*HLogic.mk-Trueprop (if b then HLogic.true-const else HLogic.false-const);*  
 $\gg$

**consts-code**

*Trueprop ((-))*  
*True (true)*  
*False (false)*  
*Not (Bool.not)*  
*op | ((- orelse/ -))*  
*op & ((- andalso/ -))*  
*If ((if -/ then -/ else -))*

**setup**  $\ll$   
*let*

```

fun eq-codegen thy defs dep thyname b t gr =
  (case strip-comb t of
    (Const (op =, Type (-, [Type (fun, -), -])), -) => NONE
  | (Const (op =, -), [t, u]) =>
    let
      val (pt, gr') = Codegen.invoke-codegen thy defs dep thyname false t gr;
      val (pu, gr'') = Codegen.invoke-codegen thy defs dep thyname false u gr';
      val (-, gr''') = Codegen.invoke-tycodegen thy defs dep thyname false
        HLogic.boolT gr'';
    in
      SOME (Codegen.parens
        (Pretty.block [pt, Codegen.str =, Pretty.brk 1, pu]), gr''')
    end
  | (t as Const (op =, -), ts) => SOME (Codegen.invoke-codegen
    thy defs dep thyname b (Codegen.eta-expand t ts 2) gr)
  | - => NONE);

in
  Codegen.add-codegen eq-codegen eq-codegen
end
>>

```

## 2.5 Evaluation and normalization by evaluation

```

setup <<
  Value.add-evaluator (SML, Codegen.eval-term o ProofContext.theory-of)
>>

```

```

ML <<
  structure Eval-Method =
  struct

```

```

    val eval-ref : (unit -> bool) option ref = ref NONE;

```

```

  end;
>>

```

```

oracle eval-oracle = << fn ct =>
  let
    val thy = Thm.theory-of-cterm ct;
    val t = Thm.term-of ct;
    val dummy = @{cprop True};
  in case try HLogic.dest-Trueprop t
    of SOME t' => if Code-ML.eval-term
      (Eval-Method.eval-ref, Eval-Method.eval-ref) thy t' []
      then Thm.capply (Thm.capply @{cterm op ≡ :: prop ⇒ prop} ct)
        dummy
      else dummy
  end

```

```

    | NONE => dummy
  end
>>

ML <<
fun gen-eval-method conv ctxt = SIMPLE-METHOD'
  (CONVERSION (Conv.params-conv (~1) (K (Conv.concl-conv (~1) conv))
  ctxt)
  THEN' rtac TrueI)
>>

method-setup eval = << Scan.succeed (gen-eval-method eval-oracle) >>
  solve goal by evaluation

method-setup evaluation = << Scan.succeed (gen-eval-method Codegen.evaluation-conv)
>>
  solve goal by evaluation

method-setup normalization = <<
  Scan.succeed (K (SIMPLE-METHOD' (CONVERSION Nbe.norm-conv THEN'
  (fn k => TRY (rtac TrueI k)))))
>> solve goal by normalization

2.6 Quickcheck

setup <<
  Quickcheck.add-generator (SML, Codegen.test-term)
>>

quickcheck-params [size = 5, iterations = 50]

end

```

### 3 Orderings: Abstract orderings

```

theory Orderings
imports Code-Setup
uses ~~/src/Provers/order.ML
begin

```

#### 3.1 Quasi orders

```

class preorder = ord +
  assumes less-le-not-le:  $x < y \longleftrightarrow x \leq y \wedge \neg (y \leq x)$ 
  and order-refl [iff]:  $x \leq x$ 
  and order-trans:  $x \leq y \implies y \leq z \implies x \leq z$ 
begin

```

Reflexivity.

**lemma** *eq-refl*:  $x = y \implies x \leq y$

— This form is useful with the classical reasoner.

**by** (*erule ssubst*) (*rule order-refl*)

**lemma** *less-irrefl* [*iff*]:  $\neg x < x$

**by** (*simp add: less-le-not-le*)

**lemma** *less-imp-le*:  $x < y \implies x \leq y$

**unfolding** *less-le-not-le* **by** *blast*

Asymmetry.

**lemma** *less-not-sym*:  $x < y \implies \neg (y < x)$

**by** (*simp add: less-le-not-le*)

**lemma** *less-asym*:  $x < y \implies (\neg P \implies y < x) \implies P$

**by** (*drule less-not-sym, erule contrapos-mp*) *simp*

Transitivity.

**lemma** *less-trans*:  $x < y \implies y < z \implies x < z$

**by** (*auto simp add: less-le-not-le intro: order-trans*)

**lemma** *le-less-trans*:  $x \leq y \implies y < z \implies x < z$

**by** (*auto simp add: less-le-not-le intro: order-trans*)

**lemma** *less-le-trans*:  $x < y \implies y \leq z \implies x < z$

**by** (*auto simp add: less-le-not-le intro: order-trans*)

Useful for simplification, but too risky to include by default.

**lemma** *less-imp-not-less*:  $x < y \implies (\neg y < x) \longleftrightarrow \text{True}$

**by** (*blast elim: less-asym*)

**lemma** *less-imp-triv*:  $x < y \implies (y < x \longrightarrow P) \longleftrightarrow \text{True}$

**by** (*blast elim: less-asym*)

Transitivity rules for calculational reasoning

**lemma** *less-asym'*:  $a < b \implies b < a \implies P$

**by** (*rule less-asym*)

Dual order

**lemma** *dual-preorder*:

*preorder* (*op*  $\geq$ ) (*op*  $>$ )

**proof qed** (*auto simp add: less-le-not-le intro: order-trans*)

**end**

### 3.2 Partial orders

**class** *order* = *preorder* +  
**assumes** *antisym*:  $x \leq y \implies y \leq x \implies x = y$   
**begin**

Reflexivity.

**lemma** *less-le*:  $x < y \longleftrightarrow x \leq y \wedge x \neq y$   
**by** (*auto simp add: less-le-not-le intro: antisym*)

**lemma** *le-less*:  $x \leq y \longleftrightarrow x < y \vee x = y$   
 — NOT suitable for iff, since it can cause PROOF FAILED.  
**by** (*simp add: less-le*) *blast*

**lemma** *le-imp-less-or-eq*:  $x \leq y \implies x < y \vee x = y$   
**unfolding** *less-le* **by** *blast*

Useful for simplification, but too risky to include by default.

**lemma** *less-imp-not-eq*:  $x < y \implies (x = y) \longleftrightarrow \text{False}$   
**by** *auto*

**lemma** *less-imp-not-eq2*:  $x < y \implies (y = x) \longleftrightarrow \text{False}$   
**by** *auto*

Transitivity rules for calculational reasoning

**lemma** *neq-le-trans*:  $a \neq b \implies a \leq b \implies a < b$   
**by** (*simp add: less-le*)

**lemma** *le-neq-trans*:  $a \leq b \implies a \neq b \implies a < b$   
**by** (*simp add: less-le*)

Asymmetry.

**lemma** *eq-iff*:  $x = y \longleftrightarrow x \leq y \wedge y \leq x$   
**by** (*blast intro: antisym*)

**lemma** *antisym-conv*:  $y \leq x \implies x \leq y \longleftrightarrow x = y$   
**by** (*blast intro: antisym*)

**lemma** *less-imp-neq*:  $x < y \implies x \neq y$   
**by** (*erule contrapos-pn, erule subst, rule less-irrefl*)

Least value operator

**definition** (*in ord*)  
*Least* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a$  (**binder** *LEAST* 10) **where**  
*Least* *P* = (*THE* *x*.  $P\ x \wedge (\forall y. P\ y \longrightarrow x \leq y)$ )

**lemma** *Least-equality*:  
**assumes**  $P\ x$   
**and**  $\bigwedge y. P\ y \implies x \leq y$

**shows**  $\text{Least } P = x$   
**unfolding**  $\text{Least-def}$  **by** (rule the-equality)  
 (blast intro: assms antisym)+

**lemma**  $\text{LeastI2-order}$ :  
**assumes**  $P x$   
**and**  $\bigwedge y. P y \implies x \leq y$   
**and**  $\bigwedge x. P x \implies \forall y. P y \longrightarrow x \leq y \implies Q x$   
**shows**  $Q (\text{Least } P)$   
**unfolding**  $\text{Least-def}$  **by** (rule theI2)  
 (blast intro: assms antisym)+

Dual order

**lemma**  $\text{dual-order}$ :  
 $\text{order } (op \geq) (op >)$   
**by** (intro-locales, rule dual-preorder) (unfold-locales, rule antisym)  
**end**

### 3.3 Linear (total) orders

**class**  $\text{linorder} = \text{order} +$   
**assumes**  $\text{linear}: x \leq y \vee y \leq x$   
**begin**

**lemma**  $\text{less-linear}: x < y \vee x = y \vee y < x$   
**unfolding**  $\text{less-le}$  **using**  $\text{less-le linear}$  **by** blast

**lemma**  $\text{le-less-linear}: x \leq y \vee y < x$   
**by** (simp add: le-less less-linear)

**lemma**  $\text{le-cases}$  [case-names le ge]:  
 $(x \leq y \implies P) \implies (y \leq x \implies P) \implies P$   
**using**  $\text{linear}$  **by** blast

**lemma**  $\text{linorder-cases}$  [case-names less equal greater]:  
 $(x < y \implies P) \implies (x = y \implies P) \implies (y < x \implies P) \implies P$   
**using**  $\text{less-linear}$  **by** blast

**lemma**  $\text{not-less}: \neg x < y \longleftrightarrow y \leq x$   
**apply** (simp add: less-le)  
**using**  $\text{linear}$  **apply** (blast intro: antisym)  
**done**

**lemma**  $\text{not-less-iff-gr-or-eq}$ :  
 $\neg(x < y) \longleftrightarrow (x > y \mid x = y)$   
**apply** (simp add: not-less le-less)  
**apply** blast  
**done**

**lemma** *not-le*:  $\neg x \leq y \longleftrightarrow y < x$   
**apply** (*simp add: less-le*)  
**using** *linear* **apply** (*blast intro: antisym*)  
**done**

**lemma** *neq-iff*:  $x \neq y \longleftrightarrow x < y \vee y < x$   
**by** (*cut-tac x = x and y = y in less-linear, auto*)

**lemma** *neqE*:  $x \neq y \Longrightarrow (x < y \Longrightarrow R) \Longrightarrow (y < x \Longrightarrow R) \Longrightarrow R$   
**by** (*simp add: neq-iff*) *blast*

**lemma** *antisym-conv1*:  $\neg x < y \Longrightarrow x \leq y \longleftrightarrow x = y$   
**by** (*blast intro: antisym dest: not-less [THEN iffD1]*)

**lemma** *antisym-conv2*:  $x \leq y \Longrightarrow \neg x < y \longleftrightarrow x = y$   
**by** (*blast intro: antisym dest: not-less [THEN iffD1]*)

**lemma** *antisym-conv3*:  $\neg y < x \Longrightarrow \neg x < y \longleftrightarrow x = y$   
**by** (*blast intro: antisym dest: not-less [THEN iffD1]*)

**lemma** *leI*:  $\neg x < y \Longrightarrow y \leq x$   
**unfolding** *not-less* .

**lemma** *leD*:  $y \leq x \Longrightarrow \neg x < y$   
**unfolding** *not-less* .

**lemma** *not-leE*:  $\neg y \leq x \Longrightarrow x < y$   
**unfolding** *not-le* .

Dual order

**lemma** *dual-linorder*:  
*linorder* (*op*  $\geq$ ) (*op*  $>$ )  
**by** (*rule linorder.intro, rule dual-order*) (*unfold-locales, rule linear*)

min/max

**definition** (*in ord*) *min* ::  $'a \Rightarrow 'a \Rightarrow 'a$  **where**  
 $[code\ del]: min\ a\ b = (if\ a \leq b\ then\ a\ else\ b)$

**definition** (*in ord*) *max* ::  $'a \Rightarrow 'a \Rightarrow 'a$  **where**  
 $[code\ del]: max\ a\ b = (if\ a \leq b\ then\ b\ else\ a)$

**lemma** *min-le-iff-disj*:  
 $min\ x\ y \leq z \longleftrightarrow x \leq z \vee y \leq z$   
**unfolding** *min-def* **using** *linear* **by** (*auto intro: order-trans*)

**lemma** *le-max-iff-disj*:  
 $z \leq max\ x\ y \longleftrightarrow z \leq x \vee z \leq y$

**unfolding** *max-def* **using** *linear* **by** (*auto intro: order-trans*)

**lemma** *min-less-iff-disj*:

$\min x y < z \iff x < z \vee y < z$

**unfolding** *min-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *less-max-iff-disj*:

$z < \max x y \iff z < x \vee z < y$

**unfolding** *max-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *min-less-iff-conj* [*simp*]:

$z < \min x y \iff z < x \wedge z < y$

**unfolding** *min-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *max-less-iff-conj* [*simp*]:

$\max x y < z \iff x < z \wedge y < z$

**unfolding** *max-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *split-min* [*noatp*]:

$P (\min i j) \iff (i \leq j \longrightarrow P i) \wedge (\neg i \leq j \longrightarrow P j)$

**by** (*simp add: min-def*)

**lemma** *split-max* [*noatp*]:

$P (\max i j) \iff (i \leq j \longrightarrow P j) \wedge (\neg i \leq j \longrightarrow P i)$

**by** (*simp add: max-def*)

**end**

Explicit dictionaries for code generation

**lemma** *min-ord-min* [*code, code unfold, code inline del*]:

$\min = \text{ord.min } (op \leq)$

**by** (*rule ext*) + (*simp add: min-def ord.min-def*)

**declare** *ord.min-def* [*code*]

**lemma** *max-ord-max* [*code, code unfold, code inline del*]:

$\max = \text{ord.max } (op \leq)$

**by** (*rule ext*) + (*simp add: max-def ord.max-def*)

**declare** *ord.max-def* [*code*]

### 3.4 Reasoning tools setup

ML  $\ll$

*signature* *ORDERS* =

*sig*

*val* *print-structures*: *Proof.context*  $\rightarrow$  *unit*

*val* *setup*: *theory*  $\rightarrow$  *theory*



```

    val order-tac: thm list -> Proof.context -> int -> tactic
end;

structure Orders: ORDERS =
struct

(** Theory and context data **)

fun struct-eq ((s1: string, ts1), (s2, ts2)) =
  (s1 = s2) andalso eq-list (op aconv) (ts1, ts2);

structure Data = GenericDataFun
(
  type T = ((string * term list) * Order-Tac.less-arith) list;
  (* Order structures:
    identifier of the structure, list of operations and record of theorems
    needed to set up the transitivity reasoner,
    identifier and operations identify the structure uniquely. *)
  val empty = [];
  val extend = I;
  fun merge - = AList.join struct-eq (K fst);
);

fun print-structures ctxt =
  let
    val structs = Data.get (Context.Proof ctxt);
    fun pretty-term t = Pretty.block
      [Pretty.quote (Syntax.pretty-term ctxt t), Pretty.brk 1,
       Pretty.str ::, Pretty.brk 1,
       Pretty.quote (Syntax.pretty-typ ctxt (type-of t))];
    fun pretty-struct ((s, ts), _) = Pretty.block
      [Pretty.str s, Pretty.str :, Pretty.brk 1,
       Pretty.enclose ( ) (Pretty.breaks (map pretty-term ts))];
  in
    Pretty.writeln (Pretty.big-list Order structures: (map pretty-struct structs))
  end;

(** Method **)

fun struct-tac ((s, [eq, le, less]), thms) prems =
  let
    fun decomp thy (@{const Trueprop} $ t) =
      let
        fun excluded t =
          (* exclude numeric types: linear arithmetic subsumes transitivity *)
          let val T = type-of t
            in
              T = HOLogic.natT orelse T = HOLogic.intT orelse T = HOLogic.realT
            end
        end
      in
        decomp thy (t)
      end
  end

```

```

    end;
  fun rel (bin-op $ t1 $ t2) =
    if excluded t1 then NONE
    else if Pattern.matches thy (eq, bin-op) then SOME (t1, =, t2)
    else if Pattern.matches thy (le, bin-op) then SOME (t1, <=, t2)
    else if Pattern.matches thy (less, bin-op) then SOME (t1, <, t2)
    else NONE
  | rel - = NONE;
  fun dec (Const (@{const-name Not}, -) $ t) = (case rel t
    of NONE => NONE
    | SOME (t1, rel, t2) => SOME (t1, ~ ^ rel, t2))
    | dec x = rel x;
  in dec t end
  | decomp thy - = NONE;
in
  case s of
    order => Order-Tac.partial-tac decomp thms prems
  | linorder => Order-Tac.linear-tac decomp thms prems
  | - => error (Unknown kind of order ' ^ s ^ ' encountered in transitivity
    reasoner.)
  end

  fun order-tac prems ctxt =
    FIRST' (map (fn s => CHANGED o struct-tac s prems) (Data.get (Context.Proof
    ctxt)));

(** Attribute **)

  fun add-struct-thm s tag =
    Thm.declaration-attribute
      (fn thm => Data.map (AList.map-default struct-eq (s, Order-Tac.empty TrueI)
      (Order-Tac.update tag thm)));
  fun del-struct s =
    Thm.declaration-attribute
      (fn - => Data.map (AList.delete struct-eq s));

  val attrib-setup =
    Attrib.setup @{binding order}
      (Scan.lift ((Args.add -- Args.name >> (fn (-, s) => SOME s) || Args.del
    >> K NONE) --|
      Args.colon (* FIXME || Scan.succeed true *) ) -- Scan.lift Args.name --
      Scan.repeat Args.term
    >> (fn ((SOME tag, n), ts) => add-struct-thm (n, ts) tag
      | ((NONE, n), ts) => del-struct (n, ts)))
    theorems controlling transitivity reasoner;

(** Diagnostic command **)

```

```

val - =
  OuterSyntax.improper-command print-orders
    print order structures available to transitivity reasoner OuterKeyword.diag
    (Scan.succeed (Toplevel.no-timing o Toplevel.unknown-context o
      Toplevel.keep (print-structures o Toplevel.context-of)));

(** Setup **)

val setup =
  Method.setup @{binding order} (Scan.succeed (SIMPLE-METHOD' o order-tac
    []))
    transitivity reasoner #>
    attrib-setup;

end;

>>

setup Orders.setup

Declarations to set up transitivity reasoner of partial and linear orders.

context order
begin

declare less-irrefl [THEN notE, order add less-reflE: order op = :: 'a ⇒ 'a ⇒
  bool op <= op <]

declare order-refl [order add le-refl: order op = :: 'a => 'a => bool op <= op
  <]

declare less-imp-le [order add less-imp-le: order op = :: 'a => 'a => bool op <=
  op <]

declare antisym [order add eqI: order op = :: 'a => 'a => bool op <= op <]

declare eq-refl [order add eqD1: order op = :: 'a => 'a => bool op <= op <]

declare sym [THEN eq-refl, order add eqD2: order op = :: 'a => 'a => bool op
  <= op <]

declare less-trans [order add less-trans: order op = :: 'a => 'a => bool op <=
  op <]

declare less-le-trans [order add less-le-trans: order op = :: 'a => 'a => bool op
  <= op <]

```

```

declare le-less-trans [order add le-less-trans: order op = :: 'a => 'a => bool op
<= op <]

declare order-trans [order add le-trans: order op = :: 'a => 'a => bool op <=
op <]

declare le-neq-trans [order add le-neq-trans: order op = :: 'a => 'a => bool op
<= op <]

declare neq-le-trans [order add neq-le-trans: order op = :: 'a => 'a => bool op
<= op <]

declare less-imp-neq [order add less-imp-neq: order op = :: 'a => 'a => bool op
<= op <]

declare eq-neq-eq-imp-neq [order add eq-neq-eq-imp-neq: order op = :: 'a => 'a
=> bool op <= op <]

declare not-sym [order add not-sym: order op = :: 'a => 'a => bool op <= op
<]

end

context linorder
begin

declare [[order del: order op = :: 'a => 'a => bool op <= op <]]

declare less-irrefl [THEN notE, order add less-reflE: linorder op = :: 'a => 'a
=> bool op <= op <]

declare order-refl [order add le-refl: linorder op = :: 'a => 'a => bool op <= op
<]

declare less-imp-le [order add less-imp-le: linorder op = :: 'a => 'a => bool op
<= op <]

declare not-less [THEN iffD2, order add not-lessI: linorder op = :: 'a => 'a =>
bool op <= op <]

declare not-le [THEN iffD2, order add not-leI: linorder op = :: 'a => 'a => bool
op <= op <]

declare not-less [THEN iffD1, order add not-lessD: linorder op = :: 'a => 'a =>
bool op <= op <]

declare not-le [THEN iffD1, order add not-leD: linorder op = :: 'a => 'a =>
bool op <= op <]

```

```

declare antisym [order add eqI: linorder op = :: 'a => 'a => bool op <= op <]

declare eq-refl [order add eqD1: linorder op = :: 'a => 'a => bool op <= op <]

declare sym [THEN eq-refl, order add eqD2: linorder op = :: 'a => 'a => bool
op <= op <]

declare less-trans [order add less-trans: linorder op = :: 'a => 'a => bool op <=
op <]

declare less-le-trans [order add less-le-trans: linorder op = :: 'a => 'a => bool
op <= op <]

declare le-less-trans [order add le-less-trans: linorder op = :: 'a => 'a => bool
op <= op <]

declare order-trans [order add le-trans: linorder op = :: 'a => 'a => bool op <=
op <]

declare le-neq-trans [order add le-neq-trans: linorder op = :: 'a => 'a => bool op
<= op <]

declare neq-le-trans [order add neq-le-trans: linorder op = :: 'a => 'a => bool op
<= op <]

declare less-imp-neq [order add less-imp-neq: linorder op = :: 'a => 'a => bool
op <= op <]

declare eq-neq-eq-imp-neq [order add eq-neq-eq-imp-neq: linorder op = :: 'a => 'a
=> bool op <= op <]

declare not-sym [order add not-sym: linorder op = :: 'a => 'a => bool op <=
op <]

end

setup <<
  let

  fun prp t thm = (#prop (rep-thm thm) = t);

  fun prove-antisym-le sg ss ((le as Const(-,T)) $ r $ s) =
    let val prems = prems-of-ss ss;
      val less = Const (@{const-name less}, T);
      val t = HOLogic.mk-Trueprop(le $ s $ r);
    in case find-first (prp t) prems of
      NONE =>

```

```

    let val t = HOLogic.mk-Trueprop(HOLogic.Not $ (less $ r $ s))
    in case find-first (prp t) prems of
        NONE => NONE
      | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv1}))
    end
  | SOME thm => SOME(mk-meta-eq(thm RS @{thm order-class.antisym-conv}))
end
handle THM - => NONE;

fun prove-antisym-less sg ss (NotC $ ((less as Const(-,T)) $ r $ s)) =
  let val prems = prems-of-ss ss;
      val le = Const (@{const-name less-eq}, T);
      val t = HOLogic.mk-Trueprop(le $ r $ s);
  in case find-first (prp t) prems of
      NONE =>
        let val t = HOLogic.mk-Trueprop(NotC $ (less $ s $ r))
        in case find-first (prp t) prems of
            NONE => NONE
          | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv3}))
        end
      | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv2}))
    end
  handle THM - => NONE;

fun add-simprocs procs thy =
  Simplifier.map-simpset (fn ss => ss
    addsimprocs (map (fn (name, raw-ts, proc) =>
      Simplifier.simproc thy name raw-ts proc) procs)) thy;
fun add-solver name tac =
  Simplifier.map-simpset (fn ss => ss addSolver
    mk-solver' name (fn ss => tac (Simplifier.prems-of-ss ss) (Simplifier.the-context
ss)));

in
  add-simprocs [
    (antisym le, [(x::'a::order) <= y], prove-antisym-le),
    (antisym less, [~ (x::'a::linorder) < y], prove-antisym-less)
  ]
#> add-solver Transitivity Orders.order-tac
(* Adding the transitivity reasoners also as safe solvers showed a slight
speed up, but the reasoning strength appears to be not higher (at least
no breaking of additional proofs in the entire HOL distribution, as
of 5 March 2004, was observed). *)
end
>>

```

### 3.5 Name duplicates

lemmas order-less-le = less-le

```

lemmas order-eq-refl = preorder-class.eq-refl
lemmas order-less-irrefl = preorder-class.less-irrefl
lemmas order-le-less = order-class.le-less
lemmas order-le-imp-less-or-eq = order-class.le-imp-less-or-eq
lemmas order-less-imp-le = preorder-class.less-imp-le
lemmas order-less-imp-not-eq = order-class.less-imp-not-eq
lemmas order-less-imp-not-eq2 = order-class.less-imp-not-eq2
lemmas order-neq-le-trans = order-class.neq-le-trans
lemmas order-le-neq-trans = order-class.le-neq-trans

lemmas order-antisym = antisym
lemmas order-less-not-sym = preorder-class.less-not-sym
lemmas order-less-asy = preorder-class.less-asy
lemmas order-eq-iff = order-class.eq-iff
lemmas order-antisym-conv = order-class.antisym-conv
lemmas order-less-trans = preorder-class.less-trans
lemmas order-le-less-trans = preorder-class.le-less-trans
lemmas order-less-le-trans = preorder-class.less-le-trans
lemmas order-less-imp-not-less = preorder-class.less-imp-not-less
lemmas order-less-imp-triv = preorder-class.less-imp-triv
lemmas order-less-asy' = preorder-class.less-asy'

lemmas linorder-linear = linear
lemmas linorder-less-linear = linorder-class.less-linear
lemmas linorder-le-less-linear = linorder-class.le-less-linear
lemmas linorder-le-cases = linorder-class.le-cases
lemmas linorder-not-less = linorder-class.not-less
lemmas linorder-not-le = linorder-class.not-le
lemmas linorder-neq-iff = linorder-class.neq-iff
lemmas linorder-neqE = linorder-class.neqE
lemmas linorder-antisym-conv1 = linorder-class.antisym-conv1
lemmas linorder-antisym-conv2 = linorder-class.antisym-conv2
lemmas linorder-antisym-conv3 = linorder-class.antisym-conv3

```

### 3.6 Bounded quantifiers

#### syntax

```

-All-less :: [idt, 'a, bool] => bool  (( $\exists$ ALL -<./ -) [0, 0, 10] 10)
-Ex-less :: [idt, 'a, bool] => bool  (( $\exists$ EX -<./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool  (( $\exists$ ALL -<=./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool  (( $\exists$ EX -<=./ -) [0, 0, 10] 10)

-All-greater :: [idt, 'a, bool] => bool  (( $\exists$ ALL ->./ -) [0, 0, 10] 10)
-Ex-greater :: [idt, 'a, bool] => bool  (( $\exists$ EX ->./ -) [0, 0, 10] 10)
-All-greater-eq :: [idt, 'a, bool] => bool  (( $\exists$ ALL ->=./ -) [0, 0, 10] 10)
-Ex-greater-eq :: [idt, 'a, bool] => bool  (( $\exists$ EX ->=./ -) [0, 0, 10] 10)

```

#### syntax (xsymbols)

```

-All-less :: [idt, 'a, bool] => bool  (( $\exists$  $\forall$  -<./ -) [0, 0, 10] 10)

```

```

-Ex-less :: [idt, 'a, bool] => bool    (( $\exists \exists$  -<-./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool  (( $\exists \forall$  -≤-./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool   (( $\exists \exists$  -≤-./ -) [0, 0, 10] 10)

-All-greater :: [idt, 'a, bool] => bool  (( $\exists \forall$  ->-./ -) [0, 0, 10] 10)
-Ex-greater :: [idt, 'a, bool] => bool   (( $\exists \exists$  ->-./ -) [0, 0, 10] 10)
-All-greater-eq :: [idt, 'a, bool] => bool (( $\exists \forall$  -≥-./ -) [0, 0, 10] 10)
-Ex-greater-eq :: [idt, 'a, bool] => bool (( $\exists \exists$  -≥-./ -) [0, 0, 10] 10)

```

**syntax (HOL)**

```

-All-less :: [idt, 'a, bool] => bool    (( $\exists !$  -<-./ -) [0, 0, 10] 10)
-Ex-less :: [idt, 'a, bool] => bool     (( $\exists ?$  -<-./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool  (( $\exists !$  -<= -./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool   (( $\exists ?$  -<= -./ -) [0, 0, 10] 10)

```

**syntax (HTML output)**

```

-All-less :: [idt, 'a, bool] => bool    (( $\exists \forall$  -<-./ -) [0, 0, 10] 10)
-Ex-less :: [idt, 'a, bool] => bool     (( $\exists \exists$  -<-./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool  (( $\exists \forall$  -≤-./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool   (( $\exists \exists$  -≤-./ -) [0, 0, 10] 10)

-All-greater :: [idt, 'a, bool] => bool  (( $\exists \forall$  ->-./ -) [0, 0, 10] 10)
-Ex-greater :: [idt, 'a, bool] => bool   (( $\exists \exists$  ->-./ -) [0, 0, 10] 10)
-All-greater-eq :: [idt, 'a, bool] => bool (( $\exists \forall$  -≥-./ -) [0, 0, 10] 10)
-Ex-greater-eq :: [idt, 'a, bool] => bool (( $\exists \exists$  -≥-./ -) [0, 0, 10] 10)

```

**translations**

```

ALL x<y. P  =>  ALL x. x < y → P
EX x<y. P   =>  EX x. x < y ∧ P
ALL x<=y. P =>  ALL x. x <= y → P
EX x<=y. P  =>  EX x. x <= y ∧ P
ALL x>y. P  =>  ALL x. x > y → P
EX x>y. P   =>  EX x. x > y ∧ P
ALL x>=y. P =>  ALL x. x >= y → P
EX x>=y. P  =>  EX x. x >= y ∧ P

```

**print-translation**  $\ll$ 

let

```

val All-binder = Syntax.binder-name @{const-syntax All};
val Ex-binder  = Syntax.binder-name @{const-syntax Ex};
val impl = @{const-syntax op -->};
val conj  = @{const-syntax op &};
val less  = @{const-syntax less};
val less-eq = @{const-syntax less-eq};

```

val trans =

```

(((All-binder, impl, less), (-All-less, -All-greater)),
 ((All-binder, impl, less-eq), (-All-less-eq, -All-greater-eq)),
 ((Ex-binder, conj, less), (-Ex-less, -Ex-greater)),

```



```

((Ex-binder, conj, less-eq), (-Ex-less-eq, -Ex-greater-eq));

fun matches-bound v t =
  case t of (Const (-bound, -) $ Free (v', -)) => (v = v')
           | - => false
fun contains-var v = Term.exists-subterm (fn Free (x, -) => x = v | - => false)
fun mk v c n P = Syntax.const c $ Syntax.mark-bound v $ n $ P

fun tr' q = (q,
  fn [Const (-bound, -) $ Free (v, -), Const (c, -) $ (Const (d, -) $ t $ u) $ P]
=>
  (case AList.lookup (op =) trans (q, c, d) of
    NONE => raise Match
  | SOME (l, g) =>
    if matches-bound v t andalso not (contains-var v u) then mk v l u P
    else if matches-bound v u andalso not (contains-var v t) then mk v g t P
    else raise Match)
  | - => raise Match);
in [tr' All-binder, tr' Ex-binder] end
>>

```

### 3.7 Transitivity reasoning

**context** *ord*

**begin**

**lemma** *ord-le-eq-trans*:  $a \leq b \implies b = c \implies a \leq c$   
**by** (*rule subst*)

**lemma** *ord-eq-le-trans*:  $a = b \implies b \leq c \implies a \leq c$   
**by** (*rule ssubst*)

**lemma** *ord-less-eq-trans*:  $a < b \implies b = c \implies a < c$   
**by** (*rule subst*)

**lemma** *ord-eq-less-trans*:  $a = b \implies b < c \implies a < c$   
**by** (*rule ssubst*)

**end**

**lemma** *order-less-subst2*:  $(a::'a::order) < b \implies f b < (c::'c::order) \implies$   
 $(!!x y. x < y \implies f x < f y) \implies f a < c$

**proof** –

**assume**  $r$ :  $!!x y. x < y \implies f x < f y$   
**assume**  $a < b$  **hence**  $f a < f b$  **by** (*rule r*)  
**also assume**  $f b < c$   
**finally** (*order-less-trans*) **show** ?thesis .

**qed**

**lemma** *order-less-subst1*:  $(a::'a::order) < f\ b ==> (b::'b::order) < c ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> a < f\ c$

**proof** –

assume  $r: !!x\ y. x < y ==> f\ x < f\ y$

assume  $a < f\ b$

also assume  $b < c$  hence  $f\ b < f\ c$  by (rule  $r$ )

finally (order-less-trans) show ?thesis .

qed

**lemma** *order-le-less-subst2*:  $(a::'a::order) <= b ==> f\ b < (c::'c::order) ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> f\ a < c$

**proof** –

assume  $r: !!x\ y. x <= y ==> f\ x <= f\ y$

assume  $a <= b$  hence  $f\ a <= f\ b$  by (rule  $r$ )

also assume  $f\ b < c$

finally (order-le-less-trans) show ?thesis .

qed

**lemma** *order-le-less-subst1*:  $(a::'a::order) <= f\ b ==> (b::'b::order) < c ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> a < f\ c$

**proof** –

assume  $r: !!x\ y. x < y ==> f\ x < f\ y$

assume  $a <= f\ b$

also assume  $b < c$  hence  $f\ b < f\ c$  by (rule  $r$ )

finally (order-le-less-trans) show ?thesis .

qed

**lemma** *order-less-le-subst2*:  $(a::'a::order) < b ==> f\ b <= (c::'c::order) ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> f\ a < c$

**proof** –

assume  $r: !!x\ y. x < y ==> f\ x < f\ y$

assume  $a < b$  hence  $f\ a < f\ b$  by (rule  $r$ )

also assume  $f\ b <= c$

finally (order-less-le-trans) show ?thesis .

qed

**lemma** *order-less-le-subst1*:  $(a::'a::order) < f\ b ==> (b::'b::order) <= c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> a < f\ c$

**proof** –

assume  $r: !!x\ y. x <= y ==> f\ x <= f\ y$

assume  $a < f\ b$

also assume  $b <= c$  hence  $f\ b <= f\ c$  by (rule  $r$ )

finally (order-less-le-trans) show ?thesis .

qed

**lemma** *order-subst1*:  $(a::'a::order) <= f\ b ==> (b::'b::order) <= c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> a <= f\ c$

**proof** –

assume  $r: !!x\ y. x <= y ==> f\ x <= f\ y$

assume  $a \leq f b$   
 also assume  $b \leq c$  hence  $f b \leq f c$  by (rule  $r$ )  
 finally (order-trans) show ?thesis .  
 qed

**lemma** order-subst2:  $(a::'a::order) \leq b \implies f b \leq (c::'c::order) \implies$   
 $(!!x y. x \leq y \implies f x \leq f y) \implies f a \leq c$   
**proof** –  
 assume  $r: !!x y. x \leq y \implies f x \leq f y$   
 assume  $a \leq b$  hence  $f a \leq f b$  by (rule  $r$ )  
 also assume  $f b \leq c$   
 finally (order-trans) show ?thesis .  
 qed

**lemma** ord-le-eq-subst:  $a \leq b \implies f b = c \implies$   
 $(!!x y. x \leq y \implies f x \leq f y) \implies f a \leq c$   
**proof** –  
 assume  $r: !!x y. x \leq y \implies f x \leq f y$   
 assume  $a \leq b$  hence  $f a \leq f b$  by (rule  $r$ )  
 also assume  $f b = c$   
 finally (ord-le-eq-trans) show ?thesis .  
 qed

**lemma** ord-eq-le-subst:  $a = f b \implies b \leq c \implies$   
 $(!!x y. x \leq y \implies f x \leq f y) \implies a \leq f c$   
**proof** –  
 assume  $r: !!x y. x \leq y \implies f x \leq f y$   
 assume  $a = f b$   
 also assume  $b \leq c$  hence  $f b \leq f c$  by (rule  $r$ )  
 finally (ord-eq-le-trans) show ?thesis .  
 qed

**lemma** ord-less-eq-subst:  $a < b \implies f b = c \implies$   
 $(!!x y. x < y \implies f x < f y) \implies f a < c$   
**proof** –  
 assume  $r: !!x y. x < y \implies f x < f y$   
 assume  $a < b$  hence  $f a < f b$  by (rule  $r$ )  
 also assume  $f b = c$   
 finally (ord-less-eq-trans) show ?thesis .  
 qed

**lemma** ord-eq-less-subst:  $a = f b \implies b < c \implies$   
 $(!!x y. x < y \implies f x < f y) \implies a < f c$   
**proof** –  
 assume  $r: !!x y. x < y \implies f x < f y$   
 assume  $a = f b$   
 also assume  $b < c$  hence  $f b < f c$  by (rule  $r$ )  
 finally (ord-eq-less-trans) show ?thesis .  
 qed

Note that this list of rules is in reverse order of priorities.

**lemmas** [*trans*] =  
*order-less-subst2*  
*order-less-subst1*  
*order-le-less-subst2*  
*order-le-less-subst1*  
*order-less-le-subst2*  
*order-less-le-subst1*  
*order-subst2*  
*order-subst1*  
*ord-le-eq-subst*  
*ord-eq-le-subst*  
*ord-less-eq-subst*  
*ord-eq-less-subst*  
*forw-subst*  
*back-subst*  
*rev-mp*  
*mp*

**lemmas** (**in** *order*) [*trans*] =  
*neq-le-trans*  
*le-neq-trans*

**lemmas** (**in** *preorder*) [*trans*] =  
*less-trans*  
*less-asym'*  
*le-less-trans*  
*less-le-trans*  
*order-trans*

**lemmas** (**in** *order*) [*trans*] =  
*antisym*

**lemmas** (**in** *ord*) [*trans*] =  
*ord-le-eq-trans*  
*ord-eq-le-trans*  
*ord-less-eq-trans*  
*ord-eq-less-trans*

**lemmas** [*trans*] =  
*trans*

**lemmas** *order-trans-rules* =  
*order-less-subst2*  
*order-less-subst1*  
*order-le-less-subst2*  
*order-le-less-subst1*  
*order-less-le-subst2*  
*order-less-le-subst1*

```

order-subst2
order-subst1
ord-le-eq-subst
ord-eq-le-subst
ord-less-eq-subst
ord-eq-less-subst
forw-subst
back-subst
rev-mp
mp
neq-le-trans
le-neq-trans
less-trans
less-asym'
le-less-trans
less-le-trans
order-trans
antisym
ord-le-eq-trans
ord-eq-le-trans
ord-less-eq-trans
ord-eq-less-trans
trans

```

These support proving chains of decreasing inequalities  $a \leq b \leq c \dots$  in Isar proofs.

**lemma** *xt1*:

```

a = b ==> b > c ==> a > c
a > b ==> b = c ==> a > c
a = b ==> b >= c ==> a >= c
a >= b ==> b = c ==> a >= c
(x::'a::order) >= y ==> y >= x ==> x = y
(x::'a::order) >= y ==> y >= z ==> x >= z
(x::'a::order) > y ==> y >= z ==> x > z
(x::'a::order) >= y ==> y > z ==> x > z
(a::'a::order) > b ==> b > a ==> P
(x::'a::order) > y ==> y > z ==> x > z
(a::'a::order) >= b ==> a ~ b ==> a > b
(a::'a::order) ~ b ==> a >= b ==> a > b
a = f b ==> b > c ==> (!!x y. x > y ==> f x > f y) ==> a > f c
a > b ==> f b = c ==> (!!x y. x > y ==> f x > f y) ==> f a > c
a = f b ==> b >= c ==> (!!x y. x >= y ==> f x >= f y) ==> a >= f c
a >= b ==> f b = c ==> (!!x y. x >= y ==> f x >= f y) ==> f a >= c
by auto

```

**lemma** *xt2*:

```

(a::'a::order) >= f b ==> b >= c ==> (!!x y. x >= y ==> f x >= f y) ==>
a >= f c
by (subgoal-tac f b >= f c, force, force)

```

**lemma** *xt3*:  $(a :: 'a :: \text{order}) \geq b \implies (f\ b :: 'b :: \text{order}) \geq c \implies$   
 $(!!x\ y. x \geq y \implies f\ x \geq f\ y) \implies f\ a \geq c$   
**by** (*subgoal-tac*  $f\ a \geq f\ b$ , *force*, *force*)

**lemma** *xt4*:  $(a :: 'a :: \text{order}) > f\ b \implies (b :: 'b :: \text{order}) \geq c \implies$   
 $(!!x\ y. x \geq y \implies f\ x \geq f\ y) \implies a > f\ c$   
**by** (*subgoal-tac*  $f\ b \geq f\ c$ , *force*, *force*)

**lemma** *xt5*:  $(a :: 'a :: \text{order}) > b \implies (f\ b :: 'b :: \text{order}) \geq c \implies$   
 $(!!x\ y. x > y \implies f\ x > f\ y) \implies f\ a > c$   
**by** (*subgoal-tac*  $f\ a > f\ b$ , *force*, *force*)

**lemma** *xt6*:  $(a :: 'a :: \text{order}) \geq f\ b \implies b > c \implies$   
 $(!!x\ y. x > y \implies f\ x > f\ y) \implies a > f\ c$   
**by** (*subgoal-tac*  $f\ b > f\ c$ , *force*, *force*)

**lemma** *xt7*:  $(a :: 'a :: \text{order}) \geq b \implies (f\ b :: 'b :: \text{order}) > c \implies$   
 $(!!x\ y. x \geq y \implies f\ x \geq f\ y) \implies f\ a > c$   
**by** (*subgoal-tac*  $f\ a \geq f\ b$ , *force*, *force*)

**lemma** *xt8*:  $(a :: 'a :: \text{order}) > f\ b \implies (b :: 'b :: \text{order}) > c \implies$   
 $(!!x\ y. x > y \implies f\ x > f\ y) \implies a > f\ c$   
**by** (*subgoal-tac*  $f\ b > f\ c$ , *force*, *force*)

**lemma** *xt9*:  $(a :: 'a :: \text{order}) > b \implies (f\ b :: 'b :: \text{order}) > c \implies$   
 $(!!x\ y. x > y \implies f\ x > f\ y) \implies f\ a > c$   
**by** (*subgoal-tac*  $f\ a > f\ b$ , *force*, *force*)

**lemmas** *xtrans* = *xt1 xt2 xt3 xt4 xt5 xt6 xt7 xt8 xt9*

### 3.8 Monotonicity, least value operator and min/max

**context** *order*

**begin**

**definition** *mono* ::  $('a \Rightarrow 'b :: \text{order}) \Rightarrow \text{bool}$  **where**  
 $\text{mono}\ f \longleftrightarrow (\forall x\ y. x \leq y \longrightarrow f\ x \leq f\ y)$

**lemma** *monoI* [*intro?*]:  
**fixes**  $f :: 'a \Rightarrow 'b :: \text{order}$   
**shows**  $(\bigwedge x\ y. x \leq y \implies f\ x \leq f\ y) \implies \text{mono}\ f$   
**unfolding** *mono-def* **by** *iprover*

**lemma** *monoD* [*dest?*]:  
**fixes**  $f :: 'a \Rightarrow 'b :: \text{order}$   
**shows**  $\text{mono}\ f \implies x \leq y \implies f\ x \leq f\ y$   
**unfolding** *mono-def* **by** *iprover*

**definition** *strict-mono* :: (*'a*  $\Rightarrow$  *'b::order*)  $\Rightarrow$  *bool* **where**  
*strict-mono* *f*  $\longleftrightarrow (\forall x\ y. x < y \longrightarrow f\ x < f\ y)$

**lemma** *strict-monoI* [*intro?*]:  
**assumes**  $\bigwedge x\ y. x < y \Longrightarrow f\ x < f\ y$   
**shows** *strict-mono* *f*  
**using** *assms* **unfolding** *strict-mono-def* **by** *auto*

**lemma** *strict-monoD* [*dest?*]:  
*strict-mono* *f*  $\Longrightarrow x < y \Longrightarrow f\ x < f\ y$   
**unfolding** *strict-mono-def* **by** *auto*

**lemma** *strict-mono-mono* [*dest?*]:  
**assumes** *strict-mono* *f*  
**shows** *mono* *f*  
**proof** (*rule monoI*)  
**fix** *x y*  
**assume**  $x \leq y$   
**show**  $f\ x \leq f\ y$   
**proof** (*cases*  $x = y$ )  
**case** *True* **then show** *?thesis* **by** *simp*  
**next**  
**case** *False* **with**  $\langle x \leq y \rangle$  **have**  $x < y$  **by** *simp*  
**with** *assms* *strict-monoD* **have**  $f\ x < f\ y$  **by** *auto*  
**then show** *?thesis* **by** *simp*  
**qed**  
**qed**  
**end**

**context** *linorder*  
**begin**

**lemma** *strict-mono-eq*:  
**assumes** *strict-mono* *f*  
**shows**  $f\ x = f\ y \longleftrightarrow x = y$   
**proof**  
**assume**  $f\ x = f\ y$   
**show**  $x = y$  **proof** (*cases*  $x\ y$  *rule: linorder-cases*)  
**case** *less* **with** *assms* *strict-monoD* **have**  $f\ x < f\ y$  **by** *auto*  
**with**  $\langle f\ x = f\ y \rangle$  **show** *?thesis* **by** *simp*  
**next**  
**case** *equal* **then show** *?thesis* .  
**next**  
**case** *greater* **with** *assms* *strict-monoD* **have**  $f\ y < f\ x$  **by** *auto*  
**with**  $\langle f\ x = f\ y \rangle$  **show** *?thesis* **by** *simp*  
**qed**  
**qed** *simp*

```

lemma strict-mono-less-eq:
  assumes strict-mono f
  shows  $f\ x \leq f\ y \iff x \leq y$ 
proof
  assume  $x \leq y$ 
  with assms strict-mono-mono monoD show  $f\ x \leq f\ y$  by auto
next
  assume  $f\ x \leq f\ y$ 
  show  $x \leq y$  proof (rule ccontr)
    assume  $\neg x \leq y$  then have  $y < x$  by simp
    with assms strict-monoD have  $f\ y < f\ x$  by auto
    with  $\langle f\ x \leq f\ y \rangle$  show False by simp
  qed
qed

lemma strict-mono-less:
  assumes strict-mono f
  shows  $f\ x < f\ y \iff x < y$ 
using assms
  by (auto simp add: less-le Orderings.less-le strict-mono-eq strict-mono-less-eq)

lemma min-of-mono:
  fixes  $f :: 'a \Rightarrow 'b::linorder$ 
  shows  $\text{mono } f \implies \min (f\ m) (f\ n) = f\ (\min\ m\ n)$ 
  by (auto simp: mono-def Orderings.min-def min-def intro: Orderings.antisym)

lemma max-of-mono:
  fixes  $f :: 'a \Rightarrow 'b::linorder$ 
  shows  $\text{mono } f \implies \max (f\ m) (f\ n) = f\ (\max\ m\ n)$ 
  by (auto simp: mono-def Orderings.max-def max-def intro: Orderings.antisym)

end

lemma min-leastL:  $(!!x. \text{least } \leq x) \implies \min\ \text{least } x = \text{least}$ 
by (simp add: min-def)

lemma max-leastL:  $(!!x. \text{least } \leq x) \implies \max\ \text{least } x = x$ 
by (simp add: max-def)

lemma min-leastR:  $(\bigwedge x::'a::order. \text{least } \leq x) \implies \min\ x\ \text{least} = \text{least}$ 
apply (simp add: min-def)
apply (blast intro: order-antisym)
done

lemma max-leastR:  $(\bigwedge x::'a::order. \text{least } \leq x) \implies \max\ x\ \text{least} = x$ 
apply (simp add: max-def)
apply (blast intro: order-antisym)
done

```



### 3.9 Top and bottom elements

```
class top = preorder +
  fixes top :: 'a
  assumes top-greatest [simp]:  $x \leq \text{top}$ 
```

```
class bot = preorder +
  fixes bot :: 'a
  assumes bot-least [simp]:  $\text{bot} \leq x$ 
```

### 3.10 Dense orders

```
class dense-linear-order = linorder +
  assumes gt-ex:  $\exists y. x < y$ 
  and lt-ex:  $\exists y. y < x$ 
  and dense:  $x < y \implies (\exists z. x < z \wedge z < y)$ 
```

### 3.11 Wellorders

```
class wellorder = linorder +
  assumes less-induct [case-names less]:  $(\bigwedge x. (\bigwedge y. y < x \implies P y) \implies P x) \implies P a$ 
begin
```

**lemma** *wellorder-Least-lemma*:

```
  fixes k :: 'a
  assumes P k
  shows  $P (\text{LEAST } x. P x)$  and  $(\text{LEAST } x. P x) \leq k$ 
proof -
  have  $P (\text{LEAST } x. P x) \wedge (\text{LEAST } x. P x) \leq k$ 
  using assms proof (induct k rule: less-induct)
    case (less x) then have  $P x$  by simp
  show ?case proof (rule classical)
    assume asm:  $\neg (P (\text{LEAST } a. P a) \wedge (\text{LEAST } a. P a) \leq x)$ 
    have  $\bigwedge y. P y \implies x \leq y$ 
    proof (rule classical)
      fix y
      assume  $P y$  and  $\neg x \leq y$ 
      with less have  $P (\text{LEAST } a. P a)$  and  $(\text{LEAST } a. P a) \leq y$ 
      by (auto simp add: not-le)
      with asm have  $x < (\text{LEAST } a. P a)$  and  $(\text{LEAST } a. P a) \leq y$ 
      by auto
      then show  $x \leq y$  by auto
    qed
  with  $\langle P x \rangle$  have Least:  $(\text{LEAST } a. P a) = x$ 
  by (rule Least-equality)
  with  $\langle P x \rangle$  show ?thesis by simp
qed
qed
then show  $P (\text{LEAST } x. P x)$  and  $(\text{LEAST } x. P x) \leq k$  by auto
```

qed

**lemmas** *LeastI* = *wellorder-Least-lemma*(1)

**lemmas** *Least-le* = *wellorder-Least-lemma*(2)

— The following 3 lemmas are due to Brian Huffman

**lemma** *LeastI-ex*:  $\exists x. P\ x \implies P\ (\text{Least } P)$

**by** (*erule exE*) (*erule LeastI*)

**lemma** *LeastI2*:

$P\ a \implies (\bigwedge x. P\ x \implies Q\ x) \implies Q\ (\text{Least } P)$

**by** (*blast intro: LeastI*)

**lemma** *LeastI2-ex*:

$\exists a. P\ a \implies (\bigwedge x. P\ x \implies Q\ x) \implies Q\ (\text{Least } P)$

**by** (*blast intro: LeastI-ex*)

**lemma** *not-less-Least*:  $k < (\text{LEAST } x. P\ x) \implies \neg P\ k$

**apply** (*simp* (*no-asm-use*) *add: not-le [symmetric]*)

**apply** (*erule contrapos-nn*)

**apply** (*erule Least-le*)

**done**

**end**

### 3.12 Order on bool

**instantiation** *bool* :: {*order*, *top*, *bot*}

**begin**

**definition**

*le-bool-def* [*code del*]:  $P \leq Q \longleftrightarrow P \longrightarrow Q$

**definition**

*less-bool-def* [*code del*]:  $(P::\text{bool}) < Q \longleftrightarrow \neg P \wedge Q$

**definition**

*top-bool-eq*: *top* = *True*

**definition**

*bot-bool-eq*: *bot* = *False*

**instance** **proof**

**qed** (*auto simp add: le-bool-def less-bool-def top-bool-eq bot-bool-eq*)

**end**

**lemma** *le-boolI*:  $(P \implies Q) \implies P \leq Q$

**by** (*simp add: le-bool-def*)

**lemma** *le-boolI'*:  $P \longrightarrow Q \Longrightarrow P \leq Q$   
**by** (*simp add: le-bool-def*)

**lemma** *le-boolE*:  $P \leq Q \Longrightarrow P \Longrightarrow (Q \Longrightarrow R) \Longrightarrow R$   
**by** (*simp add: le-bool-def*)

**lemma** *le-boolD*:  $P \leq Q \Longrightarrow P \longrightarrow Q$   
**by** (*simp add: le-bool-def*)

**lemma** [*code*]:  
 $False \leq b \longleftrightarrow True$   
 $True \leq b \longleftrightarrow b$   
 $False < b \longleftrightarrow b$   
 $True < b \longleftrightarrow False$   
**unfolding** *le-bool-def less-bool-def* **by** *simp-all*

### 3.13 Order on functions

**instantiation** *fun* :: (*type*, *ord*) *ord*  
**begin**

**definition**  
*le-fun-def* [*code del*]:  $f \leq g \longleftrightarrow (\forall x. f\ x \leq g\ x)$

**definition**  
*less-fun-def* [*code del*]:  $(f :: 'a \Rightarrow 'b) < g \longleftrightarrow f \leq g \wedge \neg (g \leq f)$

**instance** ..

**end**

**instance** *fun* :: (*type*, *preorder*) *preorder* **proof**  
**qed** (*auto simp add: le-fun-def less-fun-def*  
*intro: order-trans order-antisym intro!: ext*)

**instance** *fun* :: (*type*, *order*) *order* **proof**  
**qed** (*auto simp add: le-fun-def intro: order-antisym ext*)

**instantiation** *fun* :: (*type*, *top*) *top*  
**begin**

**definition**  
*top-fun-eq*:  $top = (\lambda x. top)$

**instance** **proof**  
**qed** (*simp add: top-fun-eq le-fun-def*)

**end**

**instantiation** *fun* :: (*type*, *bot*) *bot*  
**begin**

**definition**  
*bot-fun-eq*: *bot* = ( $\lambda x. bot$ )

**instance proof**  
**qed** (*simp add: bot-fun-eq le-fun-def*)

**end**

**lemma** *le-funI*:  $(\bigwedge x. f\ x \leq g\ x) \implies f \leq g$   
**unfolding** *le-fun-def* **by** *simp*

**lemma** *le-funE*:  $f \leq g \implies (f\ x \leq g\ x \implies P) \implies P$   
**unfolding** *le-fun-def* **by** *simp*

**lemma** *le-funD*:  $f \leq g \implies f\ x \leq g\ x$   
**unfolding** *le-fun-def* **by** *simp*

Handy introduction and elimination rules for  $\leq$  on unary and binary predicates

**lemma** *predicate1I*:  
**assumes** *PQ*:  $\bigwedge x. P\ x \implies Q\ x$   
**shows**  $P \leq Q$   
**apply** (*rule le-funI*)  
**apply** (*rule le-boolI*)  
**apply** (*rule PQ*)  
**apply** *assumption*  
**done**

**lemma** *predicate1D* [*Pure.dest*, *dest*]:  $P \leq Q \implies P\ x \implies Q\ x$   
**apply** (*erule le-funE*)  
**apply** (*erule le-boolE*)  
**apply** *assumption* +  
**done**

**lemma** *predicate2I* [*Pure.intro!*, *intro!*]:  
**assumes** *PQ*:  $\bigwedge x\ y. P\ x\ y \implies Q\ x\ y$   
**shows**  $P \leq Q$   
**apply** (*rule le-funI*) +  
**apply** (*rule le-boolI*)  
**apply** (*rule PQ*)  
**apply** *assumption*  
**done**

**lemma** *predicate2D* [*Pure.dest*, *dest*]:  $P \leq Q \implies P\ x\ y \implies Q\ x\ y$   
**apply** (*erule le-funE*) +

```

apply (erule le-boolE)
apply assumption+
done

lemma rev-predicate1D:  $P\ x ==> P\ <= Q ==> Q\ x$ 
  by (rule predicate1D)

lemma rev-predicate2D:  $P\ x\ y ==> P\ <= Q ==> Q\ x\ y$ 
  by (rule predicate2D)

end

```

## 4 Lattices: Abstract lattices

```

theory Lattices
imports Orderings
begin

```

### 4.1 Lattices

```

notation
  less-eq (infix  $\sqsubseteq$  50) and
  less (infix  $\sqsubset$  50)

class lower-semilattice = order +
  fixes inf :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\sqcap$  70)
  assumes inf-le1 [simp]:  $x\ \sqcap\ y\ \sqsubseteq x$ 
  and inf-le2 [simp]:  $x\ \sqcap\ y\ \sqsubseteq y$ 
  and inf-greatest:  $x\ \sqsubseteq y \Longrightarrow x\ \sqsubseteq z \Longrightarrow x\ \sqsubseteq y\ \sqcap\ z$ 

class upper-semilattice = order +
  fixes sup :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\sqcup$  65)
  assumes sup-ge1 [simp]:  $x\ \sqsubseteq x\ \sqcup\ y$ 
  and sup-ge2 [simp]:  $y\ \sqsubseteq x\ \sqcup\ y$ 
  and sup-least:  $y\ \sqsubseteq x \Longrightarrow z\ \sqsubseteq x \Longrightarrow y\ \sqcup\ z\ \sqsubseteq x$ 
begin

Dual lattice

lemma dual-lattice:
  lower-semilattice (op  $\geq$ ) (op  $>$ ) sup
by (rule lower-semilattice.intro, rule dual-order)
  (unfold-locales, simp-all add: sup-least)

end

class lattice = lower-semilattice + upper-semilattice

```

## 4.1.1 Intro and elim rules

**context** *lower-semilattice*

**begin**

**lemma** *le-infI1*[*intro*]:

assumes  $a \sqsubseteq x$

shows  $a \sqcap b \sqsubseteq x$

**proof** (*rule order-trans*)

from *assms* **show**  $a \sqsubseteq x$  .

**show**  $a \sqcap b \sqsubseteq a$  **by** *simp*

**qed**

**lemmas** (**in**  $-$ ) [*rule del*] = *le-infI1*

**lemma** *le-infI2*[*intro*]:

assumes  $b \sqsubseteq x$

shows  $a \sqcap b \sqsubseteq x$

**proof** (*rule order-trans*)

from *assms* **show**  $b \sqsubseteq x$  .

**show**  $a \sqcap b \sqsubseteq b$  **by** *simp*

**qed**

**lemmas** (**in**  $-$ ) [*rule del*] = *le-infI2*

**lemma** *le-infI*[*intro!*]:  $x \sqsubseteq a \implies x \sqsubseteq b \implies x \sqsubseteq a \sqcap b$

**by** (*blast intro: inf-greatest*)

**lemmas** (**in**  $-$ ) [*rule del*] = *le-infI*

**lemma** *le-infE* [*elim!*]:  $x \sqsubseteq a \sqcap b \implies (x \sqsubseteq a \implies x \sqsubseteq b \implies P) \implies P$

**by** (*blast intro: order-trans*)

**lemmas** (**in**  $-$ ) [*rule del*] = *le-infE*

**lemma** *le-inf-iff* [*simp*]:

$x \sqsubseteq y \sqcap z = (x \sqsubseteq y \wedge x \sqsubseteq z)$

**by** *blast*

**lemma** *le-iff-inf*:  $(x \sqsubseteq y) = (x \sqcap y = x)$

**by** (*blast intro: antisym dest: eq-iff [THEN iffD1]*)

**lemma** *mono-inf*:

**fixes**  $f :: 'a \Rightarrow 'b :: \text{lower-semilattice}$

**shows**  $\text{mono } f \implies f (A \sqcap B) \leq f A \sqcap f B$

**by** (*auto simp add: mono-def intro: Lattices.inf-greatest*)

**end**

**context** *upper-semilattice*

**begin**

**lemma** *le-supI1*[*intro*]:  $x \sqsubseteq a \implies x \sqsubseteq a \sqcup b$

**by** (*rule order-trans*) *auto*

```

lemmas (in -) [rule del] = le-supI1

lemma le-supI2[intro]:  $x \sqsubseteq b \implies x \sqsubseteq a \sqcup b$ 
  by (rule order-trans) auto
lemmas (in -) [rule del] = le-supI2

lemma le-supI[intro!]:  $a \sqsubseteq x \implies b \sqsubseteq x \implies a \sqcup b \sqsubseteq x$ 
  by (blast intro: sup-least)
lemmas (in -) [rule del] = le-supI

lemma le-supE[elim!]:  $a \sqcup b \sqsubseteq x \implies (a \sqsubseteq x \implies b \sqsubseteq x \implies P) \implies P$ 
  by (blast intro: order-trans)
lemmas (in -) [rule del] = le-supE

lemma ge-sup-conv[simp]:
   $x \sqcup y \sqsubseteq z = (x \sqsubseteq z \wedge y \sqsubseteq z)$ 
by blast

lemma le-iff-sup:  $(x \sqsubseteq y) = (x \sqcup y = y)$ 
  by (blast intro: antisym dest: eq-iff [THEN iffD1])

lemma mono-sup:
  fixes f :: 'a  $\Rightarrow$  'b::upper-semilattice
  shows mono f  $\implies f A \sqcup f B \leq f (A \sqcup B)$ 
  by (auto simp add: mono-def intro: Lattices.sup-least)

end

```

### 4.1.2 Equational laws

```

context lower-semilattice
begin

lemma inf-commute:  $(x \sqcap y) = (y \sqcap x)$ 
  by (blast intro: antisym)

lemma inf-assoc:  $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$ 
  by (blast intro: antisym)

lemma inf-idem[simp]:  $x \sqcap x = x$ 
  by (blast intro: antisym)

lemma inf-left-idem[simp]:  $x \sqcap (x \sqcap y) = x \sqcap y$ 
  by (blast intro: antisym)

lemma inf-absorb1:  $x \sqsubseteq y \implies x \sqcap y = x$ 
  by (blast intro: antisym)

lemma inf-absorb2:  $y \sqsubseteq x \implies x \sqcap y = y$ 

```

```

    by (blast intro: antisym)

lemma inf-left-commute:  $x \sqcap (y \sqcap z) = y \sqcap (x \sqcap z)$ 
  by (blast intro: antisym)

lemmas inf-ACI = inf-commute inf-assoc inf-left-commute inf-left-idem

end

context upper-semilattice
begin

lemma sup-commute:  $(x \sqcup y) = (y \sqcup x)$ 
  by (blast intro: antisym)

lemma sup-assoc:  $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$ 
  by (blast intro: antisym)

lemma sup-idem[simp]:  $x \sqcup x = x$ 
  by (blast intro: antisym)

lemma sup-left-idem[simp]:  $x \sqcup (x \sqcup y) = x \sqcup y$ 
  by (blast intro: antisym)

lemma sup-absorb1:  $y \sqsubseteq x \implies x \sqcup y = x$ 
  by (blast intro: antisym)

lemma sup-absorb2:  $x \sqsubseteq y \implies x \sqcup y = y$ 
  by (blast intro: antisym)

lemma sup-left-commute:  $x \sqcup (y \sqcup z) = y \sqcup (x \sqcup z)$ 
  by (blast intro: antisym)

lemmas sup-ACI = sup-commute sup-assoc sup-left-commute sup-left-idem

end

context lattice
begin

lemma inf-sup-absorb:  $x \sqcap (x \sqcup y) = x$ 
  by (blast intro: antisym inf-le1 inf-greatest sup-ge1)

lemma sup-inf-absorb:  $x \sqcup (x \sqcap y) = x$ 
  by (blast intro: antisym sup-ge1 sup-least inf-le1)

lemmas ACI = inf-ACI sup-ACI

```



**lemmas** *inf-sup-ord* = *inf-le1 inf-le2 sup-ge1 sup-ge2*

Towards distributivity

**lemma** *distrib-sup-le*:  $x \sqcup (y \sqcap z) \sqsubseteq (x \sqcup y) \sqcap (x \sqcup z)$   
**by** *blast*

**lemma** *distrib-inf-le*:  $(x \sqcap y) \sqcup (x \sqcap z) \sqsubseteq x \sqcap (y \sqcup z)$   
**by** *blast*

If you have one of them, you have them all.

**lemma** *distrib-imp1*:

**assumes** *D*:  $\forall x y z. x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$

**shows**  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

**proof**–

**have**  $x \sqcup (y \sqcap z) = (x \sqcup (x \sqcap z)) \sqcup (y \sqcap z)$  **by**(*simp add:sup-inf-absorb*)  
**also have**  $\dots = x \sqcup (z \sqcap (x \sqcup y))$  **by**(*simp add:D inf-commute sup-assoc*)  
**also have**  $\dots = ((x \sqcup y) \sqcap x) \sqcup ((x \sqcup y) \sqcap z)$   
**by**(*simp add:inf-sup-absorb inf-commute*)  
**also have**  $\dots = (x \sqcup y) \sqcap (x \sqcup z)$  **by**(*simp add:D*)  
**finally show** *?thesis* .

**qed**

**lemma** *distrib-imp2*:

**assumes** *D*:  $\forall x y z. x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

**shows**  $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$

**proof**–

**have**  $x \sqcap (y \sqcup z) = (x \sqcap (x \sqcup z)) \sqcap (y \sqcup z)$  **by**(*simp add:inf-sup-absorb*)  
**also have**  $\dots = x \sqcap (z \sqcup (x \sqcap y))$  **by**(*simp add:D sup-commute inf-assoc*)  
**also have**  $\dots = ((x \sqcap y) \sqcup x) \sqcap ((x \sqcap y) \sqcup z)$   
**by**(*simp add:sup-inf-absorb sup-commute*)  
**also have**  $\dots = (x \sqcap y) \sqcup (x \sqcap z)$  **by**(*simp add:D*)  
**finally show** *?thesis* .

**qed**

**lemma** *modular-le*:  $x \sqsubseteq z \implies x \sqcup (y \sqcap z) \sqsubseteq (x \sqcup y) \sqcap z$

**by** *blast*

**end**

## 4.2 Distributive lattices

**class** *distrib-lattice* = *lattice* +

**assumes** *sup-inf-distrib1*:  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

**context** *distrib-lattice*

**begin**

**lemma** *sup-inf-distrib2*:

$(y \sqcap z) \sqcup x = (y \sqcup x) \sqcap (z \sqcup x)$   
**by**(*simp add:ACI sup-inf-distrib1*)

**lemma** *inf-sup-distrib1*:  
 $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$   
**by**(*rule distrib-imp2[OF sup-inf-distrib1]*)

**lemma** *inf-sup-distrib2*:  
 $(y \sqcup z) \sqcap x = (y \sqcap x) \sqcup (z \sqcap x)$   
**by**(*simp add:ACI inf-sup-distrib1*)

**lemmas** *distrib* =  
*sup-inf-distrib1 sup-inf-distrib2 inf-sup-distrib1 inf-sup-distrib2*

**end**

### 4.3 Uniqueness of inf and sup

**lemma** (*in lower-semilattice*) *inf-unique*:  
**fixes** *f* (**infixl**  $\triangle$  70)  
**assumes** *le1*:  $\bigwedge x y. x \triangle y \leq x$  **and** *le2*:  $\bigwedge x y. x \triangle y \leq y$   
**and** *greatest*:  $\bigwedge x y z. x \leq y \implies x \leq z \implies x \leq y \triangle z$   
**shows**  $x \sqcap y = x \triangle y$   
**proof** (*rule antisym*)  
**show**  $x \triangle y \leq x \sqcap y$  **by** (*rule le-infI*) (*rule le1, rule le2*)  
**next**  
**have** *leI*:  $\bigwedge x y z. x \leq y \implies x \leq z \implies x \leq y \triangle z$  **by** (*blast intro: greatest*)  
**show**  $x \sqcap y \leq x \triangle y$  **by** (*rule leI*) *simp-all*  
**qed**

**lemma** (*in upper-semilattice*) *sup-unique*:  
**fixes** *f* (**infixl**  $\nabla$  70)  
**assumes** *ge1* [*simp*]:  $\bigwedge x y. x \leq x \nabla y$  **and** *ge2*:  $\bigwedge x y. y \leq x \nabla y$   
**and** *least*:  $\bigwedge x y z. y \leq x \implies z \leq x \implies y \nabla z \leq x$   
**shows**  $x \sqcup y = x \nabla y$   
**proof** (*rule antisym*)  
**show**  $x \sqcup y \leq x \nabla y$  **by** (*rule le-supI*) (*rule ge1, rule ge2*)  
**next**  
**have** *leI*:  $\bigwedge x y z. x \leq z \implies y \leq z \implies x \nabla y \leq z$  **by** (*blast intro: least*)  
**show**  $x \nabla y \leq x \sqcup y$  **by** (*rule leI*) *simp-all*  
**qed**

### 4.4 *min/max* on linear orders as special case of $op \sqcap / op \sqcup$

**lemma** (*in linorder*) *distrib-lattice-min-max*:  
*distrib-lattice* ( $op \leq$ ) ( $op <$ ) *min max*  
**proof**  
**have** *aux*:  $\bigwedge x y :: 'a. x < y \implies y \leq x \implies x = y$   
**by** (*auto simp add: less-le antisym*)  
**fix**  $x y z$

```

show  $\max x (\min y z) = \min (\max x y) (\max x z)$ 
unfolding min-def max-def
by auto
qed (auto simp add: min-def max-def not-le less-imp-le)

interpretation min-max: distrib-lattice op ≤ :: 'a::linorder ⇒ 'a ⇒ bool op <
min max
by (rule distrib-lattice-min-max)

lemma inf-min: inf = (min :: 'a::{lower-semilattice, linorder} ⇒ 'a ⇒ 'a)
by (rule ext)+ (auto intro: antisym)

lemma sup-max: sup = (max :: 'a::{upper-semilattice, linorder} ⇒ 'a ⇒ 'a)
by (rule ext)+ (auto intro: antisym)

lemmas le-maxI1 = min-max.sup-ge1
lemmas le-maxI2 = min-max.sup-ge2

lemmas max-ac = min-max.sup-assoc min-max.sup-commute
mk-left-commute [of max, OF min-max.sup-assoc min-max.sup-commute]

lemmas min-ac = min-max.inf-assoc min-max.inf-commute
mk-left-commute [of min, OF min-max.inf-assoc min-max.inf-commute]

Now we have inherited antisymmetry as an intro-rule on all linear orders.
This is a problem because it applies to bool, which is undesirable.

lemmas [rule del] = min-max.le-infI min-max.le-supI
min-max.le-supE min-max.le-infE min-max.le-supI1 min-max.le-supI2
min-max.le-infI1 min-max.le-infI2

```

## 4.5 Bool as lattice

```

instantiation bool :: distrib-lattice
begin

```

**definition**

```

inf-bool-eq: P ⊓ Q ⟷ P ∧ Q

```

**definition**

```

sup-bool-eq: P ⊔ Q ⟷ P ∨ Q

```

**instance**

```

by intro-classes (auto simp add: inf-bool-eq sup-bool-eq le-bool-def)

```

**end**

## 4.6 Fun as lattice

```

instantiation fun :: (type, lattice) lattice

```

**begin**

**definition**

*inf-fun-eq* [code del]:  $f \sqcap g = (\lambda x. f\ x \sqcap g\ x)$

**definition**

*sup-fun-eq* [code del]:  $f \sqcup g = (\lambda x. f\ x \sqcup g\ x)$

**instance**

**apply** *intro-classes*

**unfolding** *inf-fun-eq sup-fun-eq*

**apply** (*auto intro: le-funI*)

**apply** (*rule le-funI*)

**apply** (*auto dest: le-funD*)

**apply** (*rule le-funI*)

**apply** (*auto dest: le-funD*)

**done**

**end**

**instance** *fun* :: (*type*, *distrib-lattice*) *distrib-lattice*

**by** *default* (*auto simp add: inf-fun-eq sup-fun-eq sup-inf-distrib1*)

redundant bindings

**lemmas** *inf-aci* = *inf-ACI*

**lemmas** *sup-aci* = *sup-ACI*

**no-notation**

*less-eq* (**infix**  $\sqsubseteq$  50) **and**

*less* (**infix**  $\sqsubset$  50) **and**

*inf* (**infixl**  $\sqcap$  70) **and**

*sup* (**infixl**  $\sqcup$  65)

**end**

## 5 Set: Set theory for higher-order logic

**theory** *Set*

**imports** *Lattices*

**begin**

A set in HOL is simply a predicate.

### 5.1 Basic syntax

**global**

**types**  $'a \text{ set} = 'a \Rightarrow \text{bool}$

**consts**

$\text{Collect} \quad :: ('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ set} \quad \text{— comprehension}$   
 $\text{op} : \quad :: 'a \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \quad \text{— membership}$   
 $\text{insert} \quad :: 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set}$   
 $\text{Ball} \quad :: 'a \text{ set} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool} \quad \text{— bounded universal quantifiers}$   
  
 $\text{Bex} \quad :: 'a \text{ set} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool} \quad \text{— bounded existential}$   
 quantifiers  
 $\text{Bex1} \quad :: 'a \text{ set} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool} \quad \text{— bounded unique existential}$   
 quantifiers  
 $\text{Pow} \quad :: 'a \text{ set} \Rightarrow 'a \text{ set set} \quad \text{— powerset}$   
 $\text{image} \quad :: ('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set} \quad (\text{infixr } '90)$

**local**

**notation**

$\text{op} : (\text{op} :) \text{ and}$   
 $\text{op} : ((-/ : -) [50, 51] 50)$

**abbreviation**

$\text{not-mem } x \ A == \sim (x : A) \text{ — non-membership}$

**notation**

$\text{not-mem } (\text{op } \sim :) \text{ and}$   
 $\text{not-mem } ((-/ \sim : -) [50, 51] 50)$

**notation** (*xsymbols*)

$\text{op} : (\text{op } \in) \text{ and}$   
 $\text{op} : ((-/ \in -) [50, 51] 50) \text{ and}$   
 $\text{not-mem } (\text{op } \notin) \text{ and}$   
 $\text{not-mem } ((-/ \notin -) [50, 51] 50)$

**notation** (*HTML output*)

$\text{op} : (\text{op } \in) \text{ and}$   
 $\text{op} : ((-/ \in -) [50, 51] 50) \text{ and}$   
 $\text{not-mem } (\text{op } \notin) \text{ and}$   
 $\text{not-mem } ((-/ \notin -) [50, 51] 50)$

**syntax**

$@Coll \quad :: \text{pttrn} \Rightarrow \text{bool} \Rightarrow 'a \text{ set} \quad ((1\{-/-\}))$

**translations**

$\{x. P\} \quad == \text{Collect } (\%x. P)$

**definition**  $\text{empty} :: 'a \text{ set } (\{\})$  **where**

$\text{empty} \equiv \{x. \text{False}\}$

**definition**  $UNIV :: 'a \text{ set}$  **where**  
 $UNIV \equiv \{x. \text{True}\}$

**syntax**

$@Finset \quad :: \text{args} \Rightarrow 'a \text{ set} \quad (\{(-)\})$

**translations**

$\{x, xs\} \quad == \text{insert } x \ \{xs\}$   
 $\{x\} \quad == \text{insert } x \ \{\}$

**definition**  $Int :: 'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set}$  (**infixl**  $Int \ 70$ ) **where**  
 $A \ Int \ B \equiv \{x. x \in A \wedge x \in B\}$

**definition**  $Un :: 'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set}$  (**infixl**  $Un \ 65$ ) **where**  
 $A \ Un \ B \equiv \{x. x \in A \vee x \in B\}$

**notation** (*xsymbols*)

$Int$  (**infixl**  $\cap \ 70$ ) **and**  
 $Un$  (**infixl**  $\cup \ 65$ )

**notation** (*HTML output*)

$Int$  (**infixl**  $\cap \ 70$ ) **and**  
 $Un$  (**infixl**  $\cup \ 65$ )

**syntax**

$-Ball \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists ALL \text{ :-./ } -) [0, 0, 10] \ 10)$   
 $-Bex \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists EX \text{ :-./ } -) [0, 0, 10] \ 10)$   
 $-Bex1 \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists EX! \text{ :-./ } -) [0, 0, 10] \ 10)$   
 $-Bleast \quad :: \text{id} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow 'a \quad ((\exists LEAST \text{ :-./ } -) [0, 0, 10] \ 10)$

**syntax** (*HOL*)

$-Ball \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists! \text{ :-./ } -) [0, 0, 10] \ 10)$   
 $-Bex \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists? \text{ :-./ } -) [0, 0, 10] \ 10)$   
 $-Bex1 \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists?! \text{ :-./ } -) [0, 0, 10] \ 10)$

**syntax** (*xsymbols*)

$-Ball \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists \forall \text{ -}\in\text{./ } -) [0, 0, 10] \ 10)$   
 $-Bex \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists \exists \text{ -}\in\text{./ } -) [0, 0, 10] \ 10)$   
 $-Bex1 \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists \exists! \text{ -}\in\text{./ } -) [0, 0, 10] \ 10)$   
 $-Bleast \quad :: \text{id} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow 'a \quad ((\exists LEAST \text{ -}\in\text{./ } -) [0, 0, 10] \ 10)$

**syntax** (*HTML output*)

$-Ball \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists \forall \text{ -}\in\text{./ } -) [0, 0, 10] \ 10)$   
 $-Bex \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists \exists \text{ -}\in\text{./ } -) [0, 0, 10] \ 10)$   
 $-Bex1 \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool} \quad ((\exists \exists! \text{ -}\in\text{./ } -) [0, 0, 10] \ 10)$

**translations**

$ALL\ x:A. P == Ball\ A\ (\%x. P)$   
 $EX\ x:A. P == Bex\ A\ (\%x. P)$   
 $EX!\ x:A. P == Bex1\ A\ (\%x. P)$   
 $LEAST\ x:A. P => LEAST\ x. x:A \ \&\ P$

**definition** *INTER* :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'b set)  $\Rightarrow$  'b set **where**  
 $INTER\ A\ B \equiv \{y. \forall x \in A. y \in B\ x\}$

**definition** *UNION* :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'b set)  $\Rightarrow$  'b set **where**  
 $UNION\ A\ B \equiv \{y. \exists x \in A. y \in B\ x\}$

**definition** *Inter* :: 'a set set  $\Rightarrow$  'a set **where**  
 $Inter\ S \equiv INTER\ S\ (\lambda x. x)$

**definition** *Union* :: 'a set set  $\Rightarrow$  'a set **where**  
 $Union\ S \equiv UNION\ S\ (\lambda x. x)$

**notation** (*xsymbols*)  
 $Inter\ (\bigcap - [90] 90)$  **and**  
 $Union\ (\bigcup - [90] 90)$

## 5.2 Additional concrete syntax

### syntax

$@SetCompr :: 'a \Rightarrow idts \Rightarrow bool \Rightarrow 'a\ set \quad ((1\ \{-\ |/\ -/\ -\}))$   
 $@Collect :: idt \Rightarrow 'a\ set \Rightarrow bool \Rightarrow 'a\ set \quad ((1\ \{-\ :/\ -/\ -\}))$   
 $@INTER1 :: pptrns \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3INT\ -/\ -) [0, 10] 10)$   
 $@UNION1 :: pptrns \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3UN\ -/\ -) [0, 10] 10)$   
 $@INTER :: pptrn \Rightarrow 'a\ set \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3INT\ :-/\ -) [0, 10] 10)$   
 $@UNION :: pptrn \Rightarrow 'a\ set \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3UN\ :-/\ -) [0, 10] 10)$

### syntax (*xsymbols*)

$@Collect :: idt \Rightarrow 'a\ set \Rightarrow bool \Rightarrow 'a\ set \quad ((1\ \{-\ \in/\ -/\ -\}))$   
 $@INTER1 :: pptrns \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3\bigcap\ -/\ -) [0, 10] 10)$   
 $@UNION1 :: pptrns \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3\bigcup\ -/\ -) [0, 10] 10)$   
 $@INTER :: pptrn \Rightarrow 'a\ set \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3\bigcap\ -\in/\ -) [0, 10] 10)$   
 $@UNION :: pptrn \Rightarrow 'a\ set \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3\bigcup\ -\in/\ -) [0, 10] 10)$

### syntax (*latex output*)

$@INTER1 :: pptrns \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3\bigcap\ (00\ -)/\ -) [0, 10] 10)$   
 $@UNION1 :: pptrns \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3\bigcup\ (00\ -)/\ -) [0, 10] 10)$   
 $@INTER :: pptrn \Rightarrow 'a\ set \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3\bigcap\ (00\ -\in)/\ -) [0, 10] 10)$   
 $@UNION :: pptrn \Rightarrow 'a\ set \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((3\bigcup\ (00\ -\in)/\ -) [0, 10] 10)$

### translations

$\{x:A. P\} \Rightarrow \{x. x:A \ \&\ P\}$   
 $INT\ x\ y. B == INT\ x. INT\ y. B$

$$\begin{aligned}
INT\ x.\ B &== CONST\ INTER\ CONST\ UNIV\ (\%x.\ B) \\
INT\ x.\ B &== INT\ x:CONST\ UNIV.\ B \\
INT\ x:A.\ B &== CONST\ INTER\ A\ (\%x.\ B) \\
UN\ x\ y.\ B &== UN\ x.\ UN\ y.\ B \\
UN\ x.\ B &== CONST\ UNION\ CONST\ UNIV\ (\%x.\ B) \\
UN\ x.\ B &== UN\ x:CONST\ UNIV.\ B \\
UN\ x:A.\ B &== CONST\ UNION\ A\ (\%x.\ B)
\end{aligned}$$

Note the difference between ordinary xsymbol syntax of indexed unions and intersections (e.g.  $\bigcup_{a_1 \in A_1} B$ ) and their L<sup>A</sup>T<sub>E</sub>X rendition:  $\bigcup_{a_1 \in A_1} B$ . The former does not make the index expression a subscript of the union/intersection symbol because this leads to problems with nested subscripts in Proof General.

**abbreviation**

*subset* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*subset*  $\equiv$  less

**abbreviation**

*subset-eq* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*subset-eq*  $\equiv$  less-eq

**notation (output)**

*subset* (op <) **and**  
*subset* ((-/ < -) [50, 51] 50) **and**  
*subset-eq* (op <=) **and**  
*subset-eq* ((-/ <= -) [50, 51] 50)

**notation (xsymbols)**

*subset* (op  $\subset$ ) **and**  
*subset* ((-/  $\subset$  -) [50, 51] 50) **and**  
*subset-eq* (op  $\subseteq$ ) **and**  
*subset-eq* ((-/  $\subseteq$  -) [50, 51] 50)

**notation (HTML output)**

*subset* (op  $\subset$ ) **and**  
*subset* ((-/  $\subset$  -) [50, 51] 50) **and**  
*subset-eq* (op  $\subseteq$ ) **and**  
*subset-eq* ((-/  $\subseteq$  -) [50, 51] 50)

**abbreviation (input)**

*supset* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*supset*  $\equiv$  greater

**abbreviation (input)**

*supset-eq* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*supset-eq*  $\equiv$  greater-eq

**notation (xsymbols)**

*supset* (op  $\supset$ ) **and**



*supset*  $((-/ \supset -) [50, 51] 50)$  **and**  
*supset-eq*  $(op \supseteq)$  **and**  
*supset-eq*  $((-/ \supseteq -) [50, 51] 50)$

**abbreviation**

*range*  $:: ('a \Rightarrow 'b) \Rightarrow 'b$  **set where** — of function  
*range*  $f == f \text{ ' UNIV}$

**5.2.1 Bounded quantifiers****syntax (output)**

*-setlessAll*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists ALL \text{ -<-./ -}) [0, 0, 10] 10)$   
*-setlessEx*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists EX \text{ -<-./ -}) [0, 0, 10] 10)$   
*-setleAll*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists ALL \text{ -<=./ -}) [0, 0, 10] 10)$   
*-setleEx*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists EX \text{ -<=./ -}) [0, 0, 10] 10)$   
*-setleEx1*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists EX! \text{ -<=./ -}) [0, 0, 10] 10)$

**syntax (xsymbols)**

*-setlessAll*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists \forall \text{ -C-./ -}) [0, 0, 10] 10)$   
*-setlessEx*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists \exists \text{ -C-./ -}) [0, 0, 10] 10)$   
*-setleAll*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists \forall \text{ -C-./ -}) [0, 0, 10] 10)$   
*-setleEx*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists \exists \text{ -C-./ -}) [0, 0, 10] 10)$   
*-setleEx1*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists \exists! \text{ -C-./ -}) [0, 0, 10] 10)$

**syntax (HOL output)**

*-setlessAll*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists! \text{ -<-./ -}) [0, 0, 10] 10)$   
*-setlessEx*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists? \text{ -<-./ -}) [0, 0, 10] 10)$   
*-setleAll*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists! \text{ -<=./ -}) [0, 0, 10] 10)$   
*-setleEx*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists? \text{ -<=./ -}) [0, 0, 10] 10)$   
*-setleEx1*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists?! \text{ -<=./ -}) [0, 0, 10] 10)$

**syntax (HTML output)**

*-setlessAll*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists \forall \text{ -C-./ -}) [0, 0, 10] 10)$   
*-setlessEx*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists \exists \text{ -C-./ -}) [0, 0, 10] 10)$   
*-setleAll*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists \forall \text{ -C-./ -}) [0, 0, 10] 10)$   
*-setleEx*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists \exists \text{ -C-./ -}) [0, 0, 10] 10)$   
*-setleEx1*  $:: [idt, 'a, bool] \Rightarrow bool$   $((\exists \exists! \text{ -C-./ -}) [0, 0, 10] 10)$

**translations**

$\forall A \subset B. P \Rightarrow ALL A. A \subset B \rightarrow P$   
 $\exists A \subset B. P \Rightarrow EX A. A \subset B \ \& \ P$   
 $\forall A \subseteq B. P \Rightarrow ALL A. A \subseteq B \rightarrow P$   
 $\exists A \subseteq B. P \Rightarrow EX A. A \subseteq B \ \& \ P$   
 $\exists! A \subseteq B. P \Rightarrow EX! A. A \subseteq B \ \& \ P$

**print-translation**  $\ll$ 

*let*

*val* *Type* (*set-type*, *-*) =  $@\{typ \ 'a \ set\};$   
*val* *All-binder* = *Syntax.binder-name*  $@\{const-syntax \ All\};$

```

val Ex-binder = Syntax.binder-name @ {const-syntax Ex};
val impl = @ {const-syntax op -->};
val conj = @ {const-syntax op &};
val sbset = @ {const-syntax subset};
val sbset-eq = @ {const-syntax subset-eq};

val trans =
  [((All-binder, impl, sbset), -setlessAll),
   ((All-binder, impl, sbset-eq), -settleAll),
   ((Ex-binder, conj, sbset), -setlessEx),
   ((Ex-binder, conj, sbset-eq), -settleEx)];

fun mk v v' c n P =
  if v = v' andalso not (Term.exists-subterm (fn Free (x, -) => x = v | - =>
false) n)
  then Syntax.const c $ Syntax.mark-bound v' $ n $ P else raise Match;

fun tr' q = (q,
  fn [Const (-bound, -) $ Free (v, Type (T, -)), Const (c, -) $ (Const (d, -) $
(Const (-bound, -) $ Free (v', -)) $ n) $ P] =>
    if T = (set-type) then case AList.lookup (op =) trans (q, c, d)
      of NONE => raise Match
        | SOME l => mk v v' l n P
    else raise Match
  | - => raise Match);
in
  [tr' All-binder, tr' Ex-binder]
end
>>

Translate between  $\{e \mid x1 \dots xn. P\}$  and  $\{u. EX \ x1 \dots xn. u = e \ \& \ P\}$ ;  $\{y. EX \ x1 \dots xn. y = e \ \& \ P\}$  is only translated if  $[0..n]$  subset  $bvs(e)$ .

parse-translation <<
  let
    val ex-tr = snd (mk-binder-tr (EX , Ex));

    fun nvars (Const (-idts, -) $ - $ idts) = nvars idts + 1
      | nvars - = 1;

    fun setcompr-tr [e, idts, b] =
      let
        val eq = Syntax.const op = $ Bound (nvars idts) $ e;
        val P = Syntax.const op & $ eq $ b;
        val exP = ex-tr [idts, P];
      in Syntax.const Collect $ Term.absdummy (dummyT, exP) end;

  in [(@SetCompr, setcompr-tr)] end;
>>

```

```

print-translation ⟨⟨
  let
    fun btr' syn [A, Abs abs] =
      let val (x, t) = atomic-abs-tr' abs
      in Syntax.const syn $ x $ A $ t end
  in
    [(@{const-syntax Ball}, btr' -Ball), (@{const-syntax Bex}, btr' -Bex),
     (@{const-syntax UNION}, btr' @UNION), (@{const-syntax INTER}, btr' @INTER)]
  end
⟩⟩

```

```

print-translation ⟨⟨
  let
    val ex-tr' = snd (mk-binder-tr' (Ex, DUMMY));

    fun setcompr-tr' [Abs (abs as (-, -, P))] =
      let
        fun check (Const (Ex, -) $ Abs (-, -, P), n) = check (P, n + 1)
          | check (Const (op &, -) $ (Const (op =, -) $ Bound m $ e) $ P, n) =
              n > 0 andalso m = n andalso not (loose-bvar1 (P, n)) andalso
              ((0 upto (n - 1)) subset add-loose-bnos (e, 0, []))
          | check - = false

        fun tr' (- $ abs) =
          let val - $ idts $ (- $ (- $ - $ e) $ Q) = ex-tr' [abs]
          in Syntax.const @SetCompr $ e $ idts $ Q end;
      in if check (P, 0) then tr' P
        else let val (x as - $ Free(xN, -), t) = atomic-abs-tr' abs
          val M = Syntax.const @Coll $ x $ t
          in case t of
              Const(op &, -)
                $ (Const(op :-, -) $ (Const(-bound, -) $ Free(yN, -)) $ A)
                $ P =>
                if xN=yN then Syntax.const @Collect $ x $ A $ P else M
              | - => M
          end
        end;
      in [(Collect, setcompr-tr')] end;
    ⟩⟩

```

### 5.3 Rules and definitions

Isomorphisms between predicates and sets.

**defs**

*mem-def* [code]:  $x : S == S x$

*Collect-def* [code]:  $\text{Collect } P == P$

**defs**

*Ball-def:*      $Ball\ A\ P \quad ==\ ALL\ x.\ x:A \dashv\dashv P(x)$   
*Bex-def:*      $Bex\ A\ P \quad ==\ EX\ x.\ x:A \ \&\ P(x)$   
*Bex1-def:*     $Bex1\ A\ P \quad ==\ EX!\ x.\ x:A \ \&\ P(x)$

**instantiation** *fun* :: (*type*, *minus*) *minus*  
**begin**

**definition**

*fun-diff-def:*  $A - B = (\%x.\ A\ x - B\ x)$

**instance** ..

**end**

**instantiation** *bool* :: *minus*  
**begin**

**definition**

*bool-diff-def:*  $A - B = (A \ \&\ \sim B)$

**instance** ..

**end**

**instantiation** *fun* :: (*type*, *uminus*) *uminus*  
**begin**

**definition**

*fun-Compl-def:*  $-\ A = (\%x.\ -\ A\ x)$

**instance** ..

**end**

**instantiation** *bool* :: *uminus*  
**begin**

**definition**

*bool-Compl-def:*  $-\ A = (\sim A)$

**instance** ..

**end**

**defs**

*Pow-def:*      $Pow\ A \quad ==\ \{B.\ B \leq A\}$   
*insert-def:*    $insert\ a\ B \quad ==\ \{x.\ x=a\} \cup B$   
*image-def:*     $f^{\ast}A \quad ==\ \{y.\ EX\ x:A.\ y = f(x)\}$

## 5.4 Lemmas and proof tool setup

### 5.4.1 Relating predicates and sets

**lemma** *mem-Collect-eq* [*iff*]:  $(a : \{x. P(x)\}) = P(a)$   
**by** (*simp add: Collect-def mem-def*)

**lemma** *Collect-mem-eq* [*simp*]:  $\{x. x:A\} = A$   
**by** (*simp add: Collect-def mem-def*)

**lemma** *CollectI*:  $P(a) ==> a : \{x. P(x)\}$   
**by** *simp*

**lemma** *CollectD*:  $a : \{x. P(x)\} ==> P(a)$   
**by** *simp*

**lemma** *Collect-cong*:  $(!!x. P x = Q x) ==> \{x. P(x)\} = \{x. Q(x)\}$   
**by** *simp*

**lemmas** *CollectE* = *CollectD* [*elim-format*]

### 5.4.2 Bounded quantifiers

**lemma** *ballI* [*intro!*]:  $(!!x. x:A ==> P x) ==> ALL x:A. P x$   
**by** (*simp add: Ball-def*)

**lemmas** *strip* = *impI allI ballI*

**lemma** *bspec* [*dest?*]:  $ALL x:A. P x ==> x:A ==> P x$   
**by** (*simp add: Ball-def*)

**lemma** *ballE* [*elim*]:  $ALL x:A. P x ==> (P x ==> Q) ==> (x \sim: A ==> Q) ==> Q$   
**by** (*unfold Ball-def*) *blast*

**ML**  $\ll \text{bind-thm } (rev-ballE, \text{permute-prems } 1\ 1\ @\{thm\ ballE\}) \gg$

This tactic takes assumptions  $\forall x \in A. P x$  and  $a \in A$ ; creates assumption  $P a$ .

**ML**  $\ll$   
*fun ball-tac i = etac @\{thm ballE\} i THEN contr-tac (i + 1)*  
 $\gg$

Gives better instantiation for bound:

**declaration**  $\ll \text{fn } - ==>$   
*Classical.map-cs (fn cs ==> cs addbefore (bspec, datac @\{thm bspec\} 1))*  
 $\gg$

**lemma** *beXI* [*intro*]:  $P x ==> x:A ==> EX x:A. P x$

— Normally the best argument order:  $P\ x$  constrains the choice of  $x \in A$ .  
**by** (*unfold Bex-def*) *blast*

**lemma** *rev-bexI* [*intro?*]:  $x:A \implies P\ x \implies EX\ x:A. P\ x$   
 — The best argument order when there is only one  $x \in A$ .  
**by** (*unfold Bex-def*) *blast*

**lemma** *bexCI*:  $(ALL\ x:A. \sim P\ x \implies P\ a) \implies a:A \implies EX\ x:A. P\ x$   
**by** (*unfold Bex-def*) *blast*

**lemma** *bexE* [*elim!*]:  $EX\ x:A. P\ x \implies (!x. x:A \implies P\ x \implies Q) \implies Q$   
**by** (*unfold Bex-def*) *blast*

**lemma** *ball-triv* [*simp*]:  $(ALL\ x:A. P) = ((EX\ x. x:A) \longrightarrow P)$   
 — Trivial rewrite rule.  
**by** (*simp add: Ball-def*)

**lemma** *bex-triv* [*simp*]:  $(EX\ x:A. P) = ((EX\ x. x:A) \& P)$   
 — Dual form for existentials.  
**by** (*simp add: Bex-def*)

**lemma** *bex-triv-one-point1* [*simp*]:  $(EX\ x:A. x = a) = (a:A)$   
**by** *blast*

**lemma** *bex-triv-one-point2* [*simp*]:  $(EX\ x:A. a = x) = (a:A)$   
**by** *blast*

**lemma** *bex-one-point1* [*simp*]:  $(EX\ x:A. x = a \& P\ x) = (a:A \& P\ a)$   
**by** *blast*

**lemma** *bex-one-point2* [*simp*]:  $(EX\ x:A. a = x \& P\ x) = (a:A \& P\ a)$   
**by** *blast*

**lemma** *ball-one-point1* [*simp*]:  $(ALL\ x:A. x = a \longrightarrow P\ x) = (a:A \longrightarrow P\ a)$   
**by** *blast*

**lemma** *ball-one-point2* [*simp*]:  $(ALL\ x:A. a = x \longrightarrow P\ x) = (a:A \longrightarrow P\ a)$   
**by** *blast*

**ML**  $\ll$   
*local*  
*val* *unfold-bex-tac* = *unfold-tac* @{*thms Bex-def*};  
*fun* *prove-bex-tac* *ss* = *unfold-bex-tac* *ss* *THEN* *Quantifier1.prove-one-point-ex-tac*;  
*val* *rearrange-bex* = *Quantifier1.rearrange-bex* *prove-bex-tac*;  
  
*val* *unfold-ball-tac* = *unfold-tac* @{*thms Ball-def*};  
*fun* *prove-ball-tac* *ss* = *unfold-ball-tac* *ss* *THEN* *Quantifier1.prove-one-point-all-tac*;  
*val* *rearrange-ball* = *Quantifier1.rearrange-ball* *prove-ball-tac*;  
*in*

```

    val defBEX-regroup = Simplifier.simproc (the-context ())
      defined BEX [EX x:A. P x & Q x] rearrange-bex;
    val defBALL-regroup = Simplifier.simproc (the-context ())
      defined BALL [ALL x:A. P x --> Q x] rearrange-ball;
  end;

  Addsimprocs [defBALL-regroup, defBEX-regroup];
>>

```

### 5.4.3 Congruence rules

**lemma** *ball-cong*:

```

  A = B ==> (!x. x:B ==> P x = Q x) ==>
    (ALL x:A. P x) = (ALL x:B. Q x)
  by (simp add: Ball-def)

```

**lemma** *strong-ball-cong* [cong]:

```

  A = B ==> (!x. x:B =simp=> P x = Q x) ==>
    (ALL x:A. P x) = (ALL x:B. Q x)
  by (simp add: simp-implies-def Ball-def)

```

**lemma** *bex-cong*:

```

  A = B ==> (!x. x:B ==> P x = Q x) ==>
    (EX x:A. P x) = (EX x:B. Q x)
  by (simp add: Bex-def cong: conj-cong)

```

**lemma** *strong-bex-cong* [cong]:

```

  A = B ==> (!x. x:B =simp=> P x = Q x) ==>
    (EX x:A. P x) = (EX x:B. Q x)
  by (simp add: simp-implies-def Bex-def cong: conj-cong)

```

### 5.4.4 Subsets

**lemma** *subsetI* [atp,intro!]:  $(!x. x:A ==> x:B) ==> A \subseteq B$   
 by (auto simp add: mem-def intro: predicate1I)

Map the type *'a set ==> anything* to just *'a*; for overloading constants whose first argument has type *'a set*.

**lemma** *subsetD* [elim, intro?]:  $A \subseteq B ==> c \in A ==> c \in B$   
 — Rule in Modus Ponens style.  
 by (unfold mem-def) blast

**lemma** *rev-subsetD* [intro?]:  $c \in A ==> A \subseteq B ==> c \in B$   
 — The same, with reversed premises for use with *erule* – cf *rev-mp*.  
 by (rule subsetD)

Converts  $A \subseteq B$  to  $x \in A \implies x \in B$ .

**ML**  $\ll$

```

fun impOfSubs th = th RSN (2, @{thm rev-subsetD})
>>

```

```

lemma subsetCE [elim]:  $A \subseteq B \implies (c \notin A \implies P) \implies (c \in B \implies P)$ 
 $\implies P$ 
  — Classical elimination rule.
by (unfold mem-def) blast

```

```

lemma subset-eq:  $A \subseteq B = (\forall x \in A. x \in B)$  by blast

```

Takes assumptions  $A \subseteq B$ ;  $c \in A$  and creates the assumption  $c \in B$ .

```

ML <<
  fun set-mp-tac i = etac @{thm subsetCE} i THEN mp-tac i
>>

```

```

lemma contra-subsetD:  $A \subseteq B \implies c \notin B \implies c \notin A$ 
by blast

```

```

lemma subset-refl [simp,atp]:  $A \subseteq A$ 
by fast

```

```

lemma subset-trans:  $A \subseteq B \implies B \subseteq C \implies A \subseteq C$ 
by blast

```

#### 5.4.5 Equality

```

lemma set-ext: assumes prem:  $(!!x. (x:A) = (x:B))$  shows  $A = B$ 
apply (rule prem [THEN ext, THEN arg-cong, THEN box-equals])
apply (rule Collect-mem-eq)
apply (rule Collect-mem-eq)
done

```

```

lemma expand-set-eq:  $(A = B) = (ALL x. (x:A) = (x:B))$ 
by(auto intro:set-ext)

```

```

lemma subset-antisym [intro!]:  $A \subseteq B \implies B \subseteq A \implies A = B$ 
  — Anti-symmetry of the subset relation.
by (iprover intro: set-ext subsetD)

```

Equality rules from ZF set theory – are they appropriate here?

```

lemma equalityD1:  $A = B \implies A \subseteq B$ 
by (simp add: subset-refl)

```

```

lemma equalityD2:  $A = B \implies B \subseteq A$ 
by (simp add: subset-refl)

```

Be careful when adding this to the claset as *subset-empty* is in the simpset:  
 $A = \{\}$  goes to  $\{\} \subseteq A$  and  $A \subseteq \{\}$  and then back to  $A = \{\}$ !



**lemma** *equalityE*:  $A = B \implies (A \subseteq B \implies B \subseteq A \implies P) \implies P$   
**by** (*simp add: subset-refl*)

**lemma** *equalityCE* [*elim*]:  
 $A = B \implies (c \in A \implies c \in B \implies P) \implies (c \notin A \implies c \notin B \implies P)$   
 $\implies P$   
**by** *blast*

**lemma** *eqset-imp-iff*:  $A = B \implies (x : A) = (x : B)$   
**by** *simp*

**lemma** *eqelem-imp-iff*:  $x = y \implies (x : A) = (y : A)$   
**by** *simp*

#### 5.4.6 The universal set – UNIV

**lemma** *UNIV-I* [*simp*]:  $x : UNIV$   
**by** (*simp add: UNIV-def*)

**declare** *UNIV-I* [*intro*] — unsafe makes it less likely to cause problems

**lemma** *UNIV-witness* [*intro?*]:  $EX\ x. x : UNIV$   
**by** *simp*

**lemma** *subset-UNIV* [*simp*]:  $A \subseteq UNIV$   
**by** (*rule subsetI*) (*rule UNIV-I*)

Eta-contracting these two rules (to remove  $P$ ) causes them to be ignored because of their interaction with congruence rules.

**lemma** *ball-UNIV* [*simp*]:  $Ball\ UNIV\ P = All\ P$   
**by** (*simp add: Ball-def*)

**lemma** *bex-UNIV* [*simp*]:  $Bex\ UNIV\ P = Ex\ P$   
**by** (*simp add: Bex-def*)

**lemma** *UNIV-eq-I*:  $(\bigwedge x. x \in A) \implies UNIV = A$   
**by** *auto*

#### 5.4.7 The empty set

**lemma** *empty-iff* [*simp*]:  $(c : \{\}) = False$   
**by** (*simp add: empty-def*)

**lemma** *emptyE* [*elim!*]:  $a : \{\} \implies P$   
**by** *simp*

**lemma** *empty-subsetI* [*iff*]:  $\{\} \subseteq A$   
 — One effect is to delete the ASSUMPTION  $\{\} \subseteq A$   
**by** *blast*

**lemma** *equals0I*:  $(\forall y. y \in A \implies \text{False}) \implies A = \{\}$   
**by** *blast*

**lemma** *equals0D*:  $A = \{\} \implies a \notin A$   
 — Use for reasoning about disjointness:  $A \cap B = \{\}$   
**by** *blast*

**lemma** *ball-empty* [*simp*]:  $\text{Ball } \{\} P = \text{True}$   
**by** (*simp add: Ball-def*)

**lemma** *bex-empty* [*simp*]:  $\text{Bex } \{\} P = \text{False}$   
**by** (*simp add: Bex-def*)

**lemma** *UNIV-not-empty* [*iff*]:  $\text{UNIV} \sim = \{\}$   
**by** (*blast elim: equalityE*)

#### 5.4.8 The Powerset operator – Pow

**lemma** *Pow-iff* [*iff*]:  $(A \in \text{Pow } B) = (A \subseteq B)$   
**by** (*simp add: Pow-def*)

**lemma** *PowI*:  $A \subseteq B \implies A \in \text{Pow } B$   
**by** (*simp add: Pow-def*)

**lemma** *PowD*:  $A \in \text{Pow } B \implies A \subseteq B$   
**by** (*simp add: Pow-def*)

**lemma** *Pow-bottom*:  $\{\} \in \text{Pow } B$   
**by** *simp*

**lemma** *Pow-top*:  $A \in \text{Pow } A$   
**by** (*simp add: subset-refl*)

#### 5.4.9 Set complement

**lemma** *Compl-iff* [*simp*]:  $(c \in -A) = (c \notin A)$   
**by** (*simp add: mem-def fun-Compl-def bool-Compl-def*)

**lemma** *ComplI* [*intro!*]:  $(c \in A \implies \text{False}) \implies c \in -A$   
**by** (*unfold mem-def fun-Compl-def bool-Compl-def*) *blast*

This form, with negated conclusion, works well with the Classical prover. Negated assumptions behave like formulae on the right side of the notional turnstile ...

**lemma** *ComplD* [*dest!*]:  $c : -A \implies c \sim : A$   
**by** (*simp add: mem-def fun-Compl-def bool-Compl-def*)

**lemmas** *ComplE* = *ComplD* [*elim-format*]

**lemma** *Compl-eq*:  $- A = \{x. \sim x : A\}$  **by** *blast*

#### 5.4.10 Binary union – Un

**lemma** *Un-iff* [*simp*]:  $(c : A \text{ Un } B) = (c:A \mid c:B)$   
**by** (*unfold Un-def*) *blast*

**lemma** *UnI1* [*elim?*]:  $c:A \implies c : A \text{ Un } B$   
**by** *simp*

**lemma** *UnI2* [*elim?*]:  $c:B \implies c : A \text{ Un } B$   
**by** *simp*

Classical introduction rule: no commitment to  $A$  vs  $B$ .

**lemma** *UnCI* [*intro!*]:  $(c\sim:B \implies c:A) \implies c : A \text{ Un } B$   
**by** *auto*

**lemma** *UnE* [*elim!*]:  $c : A \text{ Un } B \implies (c:A \implies P) \implies (c:B \implies P) \implies P$   
**by** (*unfold Un-def*) *blast*

#### 5.4.11 Binary intersection – Int

**lemma** *Int-iff* [*simp*]:  $(c : A \text{ Int } B) = (c:A \ \& \ c:B)$   
**by** (*unfold Int-def*) *blast*

**lemma** *IntI* [*intro!*]:  $c:A \implies c:B \implies c : A \text{ Int } B$   
**by** *simp*

**lemma** *IntD1*:  $c : A \text{ Int } B \implies c:A$   
**by** *simp*

**lemma** *IntD2*:  $c : A \text{ Int } B \implies c:B$   
**by** *simp*

**lemma** *IntE* [*elim!*]:  $c : A \text{ Int } B \implies (c:A \implies c:B \implies P) \implies P$   
**by** *simp*

#### 5.4.12 Set difference

**lemma** *Diff-iff* [*simp*]:  $(c : A - B) = (c:A \ \& \ c\sim:B)$   
**by** (*simp add: mem-def fun-diff-def bool-diff-def*)

**lemma** *DiffI* [*intro!*]:  $c : A \implies c \sim : B \implies c : A - B$   
**by** *simp*

**lemma** *DiffD1*:  $c : A - B \implies c : A$   
**by** *simp*

**lemma** *DiffD2*:  $c : A - B \implies c : B \implies P$   
**by** *simp*

**lemma** *DiffE* [*elim!*]:  $c : A - B \implies (c:A \implies c^\sim:B \implies P) \implies P$   
**by** *simp*

**lemma** *set-diff-eq*:  $A - B = \{x. x : A \ \& \ \sim x : B\}$  **by** *blast*

**lemma** *Compl-eq-Diff-UNIV*:  $-A = (UNIV - A)$   
**by** *blast*

### 5.4.13 Augmenting a set – insert

**lemma** *insert-iff* [*simp*]:  $(a : \text{insert } b \ A) = (a = b \mid a:A)$   
**by** (*unfold insert-def*) *blast*

**lemma** *insertI1*:  $a : \text{insert } a \ B$   
**by** *simp*

**lemma** *insertI2*:  $a : B \implies a : \text{insert } b \ B$   
**by** *simp*

**lemma** *insertE* [*elim!*]:  $a : \text{insert } b \ A \implies (a = b \implies P) \implies (a:A \implies P) \implies P$   
**by** (*unfold insert-def*) *blast*

**lemma** *insertCI* [*intro!*]:  $(a^\sim:B \implies a = b) \implies a : \text{insert } b \ B$   
— Classical introduction rule.  
**by** *auto*

**lemma** *subset-insert-iff*:  $(A \subseteq \text{insert } x \ B) = (\text{if } x:A \text{ then } A - \{x\} \subseteq B \text{ else } A \subseteq B)$   
**by** *auto*

**lemma** *set-insert*:  
**assumes**  $x \in A$   
**obtains**  $B$  **where**  $A = \text{insert } x \ B$  **and**  $x \notin B$   
**proof**  
**from** *assms* **show**  $A = \text{insert } x \ (A - \{x\})$  **by** *blast*  
**next**  
**show**  $x \notin A - \{x\}$  **by** *blast*  
**qed**

**lemma** *insert-ident*:  $x \sim: A \implies x \sim: B \implies (\text{insert } x \ A = \text{insert } x \ B) = (A = B)$   
**by** *auto*

**5.4.14 Singletons, using insert**

**lemma** *singletonI* [*intro!*,*noatp*]:  $a : \{a\}$   
 — Redundant? But unlike *insertCI*, it proves the subgoal immediately!  
**by** (*rule insertI1*)

**lemma** *singletonD* [*dest!*,*noatp*]:  $b : \{a\} ==> b = a$   
**by** *blast*

**lemmas** *singletonE* = *singletonD* [*elim-format*]

**lemma** *singleton-iff*:  $(b : \{a\}) = (b = a)$   
**by** *blast*

**lemma** *singleton-inject* [*dest!*]:  $\{a\} = \{b\} ==> a = b$   
**by** *blast*

**lemma** *singleton-insert-inj-eq* [*iff*,*noatp*]:  
 $(\{b\} = \text{insert } a \ A) = (a = b \ \& \ A \subseteq \{b\})$   
**by** *blast*

**lemma** *singleton-insert-inj-eq'* [*iff*,*noatp*]:  
 $(\text{insert } a \ A = \{b\}) = (a = b \ \& \ A \subseteq \{b\})$   
**by** *blast*

**lemma** *subset-singletonD*:  $A \subseteq \{x\} ==> A = \{\} \mid A = \{x\}$   
**by** *fast*

**lemma** *singleton-conv* [*simp*]:  $\{x. x = a\} = \{a\}$   
**by** *blast*

**lemma** *singleton-conv2* [*simp*]:  $\{x. a = x\} = \{a\}$   
**by** *blast*

**lemma** *diff-single-insert*:  $A - \{x\} \subseteq B ==> x \in A ==> A \subseteq \text{insert } x \ B$   
**by** *blast*

**lemma** *doubleton-eq-iff*:  $(\{a,b\} = \{c,d\}) = (a=c \ \& \ b=d \mid a=d \ \& \ b=c)$   
**by** (*blast elim: equalityE*)

**5.4.15 Unions of families**

$\bigcup_{x:A. B \ x}$  is  $\bigcup B \ 'A$ .

**declare** *UNION-def* [*noatp*]

**lemma** *UN-iff* [*simp*]:  $(b : (\bigcup_{x:A. B \ x})) = (EX \ x:A. b : B \ x)$   
**by** (*unfold UNION-def*) *blast*

**lemma** *UN-I* [*intro*]:  $a:A ==> b : B \ a ==> b : (\bigcup_{x:A. B \ x})$

— The order of the premises presupposes that  $A$  is rigid;  $b$  may be flexible.  
**by** *auto*

**lemma** *UN-E* [*elim!*]:  $b : (UN\ x:A. B\ x) ==> (!!x. x:A ==> b: B\ x ==> R) ==> R$   
**by** (*unfold UNION-def*) *blast*

**lemma** *UN-cong* [*cong*]:  
 $A = B ==> (!!x. x:B ==> C\ x = D\ x) ==> (UN\ x:A. C\ x) = (UN\ x:B. D\ x)$   
**by** (*simp add: UNION-def*)

**lemma** *strong-UN-cong*:  
 $A = B ==> (!!x. x:B ==> C\ x = D\ x) ==> (UN\ x:A. C\ x) = (UN\ x:B. D\ x)$   
**by** (*simp add: UNION-def simp-implies-def*)

#### 5.4.16 Intersections of families

$INT\ x:A. B\ x$  is  $\bigcap B \text{ ‘ } A$ .

**lemma** *INT-iff* [*simp*]:  $(b: (INT\ x:A. B\ x)) = (ALL\ x:A. b: B\ x)$   
**by** (*unfold INTER-def*) *blast*

**lemma** *INT-I* [*intro!*]:  $(!!x. x:A ==> b: B\ x) ==> b : (INT\ x:A. B\ x)$   
**by** (*unfold INTER-def*) *blast*

**lemma** *INT-D* [*elim*]:  $b : (INT\ x:A. B\ x) ==> a:A ==> b: B\ a$   
**by** *auto*

**lemma** *INT-E* [*elim*]:  $b : (INT\ x:A. B\ x) ==> (b: B\ a ==> R) ==> (a \sim : A ==> R) ==> R$   
 — ”Classical” elimination – by the Excluded Middle on  $a \in A$ .  
**by** (*unfold INTER-def*) *blast*

**lemma** *INT-cong* [*cong*]:  
 $A = B ==> (!!x. x:B ==> C\ x = D\ x) ==> (INT\ x:A. C\ x) = (INT\ x:B. D\ x)$   
**by** (*simp add: INTER-def*)

#### 5.4.17 Union

**lemma** *Union-iff* [*simp, noatp*]:  $(A : Union\ C) = (EX\ X:C. A:X)$   
**by** (*unfold Union-def*) *blast*

**lemma** *UnionI* [*intro*]:  $X:C ==> A:X ==> A : Union\ C$   
 — The order of the premises presupposes that  $C$  is rigid;  $A$  may be flexible.  
**by** *auto*

**lemma** *UnionE* [*elim!*]:  $A : \text{Union } C \implies (!X. A:X \implies X:C \implies R) \implies R$   
**by** (*unfold Union-def*) *blast*

#### 5.4.18 Inter

**lemma** *Inter-iff* [*simp, noatp*]:  $(A : \text{Inter } C) = (\text{ALL } X:C. A:X)$   
**by** (*unfold Inter-def*) *blast*

**lemma** *InterI* [*intro!*]:  $(!X. X:C \implies A:X) \implies A : \text{Inter } C$   
**by** (*simp add: Inter-def*)

A “destruct” rule – every  $X$  in  $C$  contains  $A$  as an element, but  $A \in X$  can hold when  $X \in C$  does not! This rule is analogous to *spec*.

**lemma** *InterD* [*elim*]:  $A : \text{Inter } C \implies X:C \implies A:X$   
**by** *auto*

**lemma** *InterE* [*elim*]:  $A : \text{Inter } C \implies (X \sim C \implies R) \implies (A:X \implies R) \implies R$   
 — “Classical” elimination rule – does not require proving  $X \in C$ .  
**by** (*unfold Inter-def*) *blast*

Image of a set under a function. Frequently  $b$  does not have the syntactic form of  $f x$ .

**declare** *image-def* [*noatp*]

**lemma** *image-eqI* [*simp, intro*]:  $b = f x \implies x:A \implies b : f^{\cdot}A$   
**by** (*unfold image-def*) *blast*

**lemma** *imageI*:  $x : A \implies f x : f^{\cdot}A$   
**by** (*rule image-eqI*) (*rule refl*)

**lemma** *rev-image-eqI*:  $x:A \implies b = f x \implies b : f^{\cdot}A$   
 — This version’s more effective when we already have the required  $x$ .  
**by** (*unfold image-def*) *blast*

**lemma** *imageE* [*elim!*]:  
 $b : (\%x. f x)^{\cdot}A \implies (!x. b = f x \implies x:A \implies P) \implies P$   
 — The eta-expansion gives variable-name preservation.  
**by** (*unfold image-def*) *blast*

**lemma** *image-Un*:  $f^{\cdot}(A \text{ Un } B) = f^{\cdot}A \text{ Un } f^{\cdot}B$   
**by** *blast*

**lemma** *image-eq-UN*:  $f^{\cdot}A = (\text{UN } x:A. \{f x\})$   
**by** *blast*

**lemma** *image-iff*:  $(z : f^{\cdot}A) = (\text{EX } x:A. z = f x)$

by *blast*

**lemma** *image-subset-iff*:  $(f^{\circ}A \subseteq B) = (\forall x \in A. f\ x \in B)$   
 — This rewrite rule would confuse users if made default.  
 by *blast*

**lemma** *subset-image-iff*:  $(B \subseteq f^{\circ}A) = (EX\ AA. AA \subseteq A \ \& \ B = f^{\circ}AA)$   
 apply *safe*  
 prefer 2 apply *fast*  
 apply (rule-tac  $x = \{a. a : A \ \& \ f\ a : B\}$  in *exI*, *fast*)  
 done

**lemma** *image-subsetI*:  $(!!x. x \in A ==> f\ x \in B) ==> f^{\circ}A \subseteq B$   
 — Replaces the three steps *subsetI*, *imageE*, *hypsubst*, but breaks too many existing proofs.  
 by *blast*

Range of a function – just a translation for image!

**lemma** *range-eqI*:  $b = f\ x ==> b \in \text{range } f$   
 by *simp*

**lemma** *rangeI*:  $f\ x \in \text{range } f$   
 by *simp*

**lemma** *rangeE* [*elim?*]:  $b \in \text{range } (\lambda x. f\ x) ==> (!!x. b = f\ x ==> P) ==> P$   
 by *blast*

#### 5.4.19 Set reasoning tools

Rewrite rules for boolean case-splitting: faster than *split-if* [*split*].

**lemma** *split-if-eq1*:  $((\text{if } Q \text{ then } x \text{ else } y) = b) = ((Q \longrightarrow x = b) \ \& \ (\sim Q \longrightarrow y = b))$   
 by (rule *split-if*)

**lemma** *split-if-eq2*:  $(a = (\text{if } Q \text{ then } x \text{ else } y)) = ((Q \longrightarrow a = x) \ \& \ (\sim Q \longrightarrow a = y))$   
 by (rule *split-if*)

Split ifs on either side of the membership relation. Not for [*simp*] – can cause goals to blow up!

**lemma** *split-if-mem1*:  $((\text{if } Q \text{ then } x \text{ else } y) : b) = ((Q \longrightarrow x : b) \ \& \ (\sim Q \longrightarrow y : b))$   
 by (rule *split-if*)

**lemma** *split-if-mem2*:  $(a : (\text{if } Q \text{ then } x \text{ else } y)) = ((Q \longrightarrow a : x) \ \& \ (\sim Q \longrightarrow a : y))$   
 by (rule *split-if* [where  $P = \%S. a : S$ ])



**lemmas** *split-ifs* = *if-bool-eq-conj split-if-eq1 split-if-eq2 split-if-mem1 split-if-mem2*

```

ML <<
  val mksimps-pairs = [(@{const-name Ball}, @{thms bspec})] @ mksimps-pairs;
>>
declaration << fn - ==>
  Simplifier.map-ss (fn ss ==> ss setmksimps (mksimps mksimps-pairs))
>>

```

#### 5.4.20 The “proper subset” relation

**lemma** *psubsetI* [*intro!*,*noatp*]:  $A \subseteq B \implies A \neq B \implies A \subset B$   
**by** (*unfold less-le*) *blast*

**lemma** *psubsetE* [*elim!*,*noatp*]:  
 $[| A \subset B; [| A \subseteq B; \sim (B \subseteq A) |] \implies R |] \implies R$   
**by** (*unfold less-le*) *blast*

**lemma** *psubset-insert-iff*:  
 $(A \subset \text{insert } x \ B) = (\text{if } x \in B \text{ then } A \subset B \text{ else if } x \in A \text{ then } A - \{x\} \subset B \text{ else } A \subseteq B)$   
**by** (*auto simp add: less-le subset-insert-iff*)

**lemma** *psubset-eq*:  $(A \subset B) = (A \subseteq B \ \& \ A \neq B)$   
**by** (*simp only: less-le*)

**lemma** *psubset-imp-subset*:  $A \subset B \implies A \subseteq B$   
**by** (*simp add: psubset-eq*)

**lemma** *psubset-trans*:  $[| A \subset B; B \subset C |] \implies A \subset C$   
**apply** (*unfold less-le*)  
**apply** (*auto dest: subset-antisym*)  
**done**

**lemma** *psubsetD*:  $[| A \subset B; c \in A |] \implies c \in B$   
**apply** (*unfold less-le*)  
**apply** (*auto dest: subsetD*)  
**done**

**lemma** *psubset-subset-trans*:  $A \subset B \implies B \subseteq C \implies A \subset C$   
**by** (*auto simp add: psubset-eq*)

**lemma** *subset-psubset-trans*:  $A \subseteq B \implies B \subset C \implies A \subset C$   
**by** (*auto simp add: psubset-eq*)

**lemma** *psubset-imp-ex-mem*:  $A \subset B \implies \exists b. b \in (B - A)$   
**by** (*unfold less-le*) *blast*

**lemma** *atomize-ball*:

( $!!x. x \in A \implies P x$ ) == *Trueprop* ( $\forall x \in A. P x$ )  
**by** (*simp only: Ball-def atomize-all atomize-imp*)

**lemmas** [*symmetric, rulify*] = *atomize-ball*  
**and** [*symmetric, defn*] = *atomize-ball*

## 5.5 Further set-theory lemmas

### 5.5.1 Derived rules involving subsets.

*insert.*

**lemma** *subset-insertI*:  $B \subseteq \text{insert } a \ B$   
**by** (*rule subsetI*) (*erule insertI2*)

**lemma** *subset-insertI2*:  $A \subseteq B \implies A \subseteq \text{insert } b \ B$   
**by** *blast*

**lemma** *subset-insert*:  $x \notin A \implies (A \subseteq \text{insert } x \ B) = (A \subseteq B)$   
**by** *blast*

Big Union – least upper bound of a set.

**lemma** *Union-upper*:  $B \in A \implies B \subseteq \text{Union } A$   
**by** (*iprover intro: subsetI UnionI*)

**lemma** *Union-least*: ( $!!X. X \in A \implies X \subseteq C$ )  $\implies \text{Union } A \subseteq C$   
**by** (*iprover intro: subsetI elim: UnionE dest: subsetD*)

General union.

**lemma** *UN-upper*:  $a \in A \implies B \ a \subseteq (\bigcup_{x \in A} B \ x)$   
**by** *blast*

**lemma** *UN-least*: ( $!!x. x \in A \implies B \ x \subseteq C$ )  $\implies (\bigcup_{x \in A} B \ x) \subseteq C$   
**by** (*iprover intro: subsetI elim: UN-E dest: subsetD*)

Big Intersection – greatest lower bound of a set.

**lemma** *Inter-lower*:  $B \in A \implies \text{Inter } A \subseteq B$   
**by** *blast*

**lemma** *Inter-subset*:

( $!!X. X \in A \implies X \subseteq B; A \sim \{\}$ )  $\implies \bigcap A \subseteq B$   
**by** *blast*

**lemma** *Inter-greatest*: ( $!!X. X \in A \implies C \subseteq X$ )  $\implies C \subseteq \text{Inter } A$   
**by** (*iprover intro: InterI subsetI dest: subsetD*)

**lemma** *INT-lower*:  $a \in A \implies (\bigcap_{x \in A} B x) \subseteq B a$   
**by** *blast*

**lemma** *INT-greatest*:  $(\forall x. x \in A \implies C \subseteq B x) \implies C \subseteq (\bigcap_{x \in A} B x)$   
**by** (*iprover intro: INT-I subsetI dest: subsetD*)

Finite Union – the least upper bound of two sets.

**lemma** *Un-upper1*:  $A \subseteq A \cup B$   
**by** *blast*

**lemma** *Un-upper2*:  $B \subseteq A \cup B$   
**by** *blast*

**lemma** *Un-least*:  $A \subseteq C \implies B \subseteq C \implies A \cup B \subseteq C$   
**by** *blast*

Finite Intersection – the greatest lower bound of two sets.

**lemma** *Int-lower1*:  $A \cap B \subseteq A$   
**by** *blast*

**lemma** *Int-lower2*:  $A \cap B \subseteq B$   
**by** *blast*

**lemma** *Int-greatest*:  $C \subseteq A \implies C \subseteq B \implies C \subseteq A \cap B$   
**by** *blast*

Set difference.

**lemma** *Diff-subset*:  $A - B \subseteq A$   
**by** *blast*

**lemma** *Diff-subset-conv*:  $(A - B \subseteq C) = (A \subseteq B \cup C)$   
**by** *blast*

### 5.5.2 Equalities involving union, intersection, inclusion, etc.

$\{\}$ .

**lemma** *Collect-const* [*simp*]:  $\{s. P\} = (\text{if } P \text{ then } \text{UNIV} \text{ else } \{\})$   
— supersedes *Collect-False-empty*  
**by** *auto*

**lemma** *subset-empty* [*simp*]:  $(A \subseteq \{\}) = (A = \{\})$   
**by** *blast*

**lemma** *not-psubset-empty* [*iff*]:  $\neg (A < \{\})$   
**by** (*unfold less-le*) *blast*

**lemma** *Collect-empty-eq* [*simp*]:  $(\text{Collect } P = \{\}) = (\forall x. \neg P x)$

by *blast*

**lemma** *empty-Collect-eq* [*simp*]:  $(\{\} = \text{Collect } P) = (\forall x. \neg P x)$   
by *blast*

**lemma** *Collect-neg-eq*:  $\{x. \neg P x\} = - \{x. P x\}$   
by *blast*

**lemma** *Collect-disj-eq*:  $\{x. P x \mid Q x\} = \{x. P x\} \cup \{x. Q x\}$   
by *blast*

**lemma** *Collect-imp-eq*:  $\{x. P x \longrightarrow Q x\} = -\{x. P x\} \cup \{x. Q x\}$   
by *blast*

**lemma** *Collect-conj-eq*:  $\{x. P x \ \& \ Q x\} = \{x. P x\} \cap \{x. Q x\}$   
by *blast*

**lemma** *Collect-all-eq*:  $\{x. \forall y. P x y\} = (\bigcap y. \{x. P x y\})$   
by *blast*

**lemma** *Collect-ball-eq*:  $\{x. \forall y \in A. P x y\} = (\bigcap y \in A. \{x. P x y\})$   
by *blast*

**lemma** *Collect-ex-eq* [*noatp*]:  $\{x. \exists y. P x y\} = (\bigcup y. \{x. P x y\})$   
by *blast*

**lemma** *Collect-bex-eq* [*noatp*]:  $\{x. \exists y \in A. P x y\} = (\bigcup y \in A. \{x. P x y\})$   
by *blast*

*insert.*

**lemma** *insert-is-Un*:  $\text{insert } a \ A = \{a\} \ \text{Un } A$   
— NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a \ \{\}$   
by *blast*

**lemma** *insert-not-empty* [*simp*]:  $\text{insert } a \ A \neq \{\}$   
by *blast*

**lemmas** *empty-not-insert* = *insert-not-empty* [*symmetric, standard*]  
**declare** *empty-not-insert* [*simp*]

**lemma** *insert-absorb*:  $a \in A ==> \text{insert } a \ A = A$   
— [*simp*] causes recursive calls when there are nested inserts  
— with *quadratic* running time  
by *blast*

**lemma** *insert-absorb2* [*simp*]:  $\text{insert } x \ (\text{insert } x \ A) = \text{insert } x \ A$   
by *blast*

**lemma** *insert-commute*:  $\text{insert } x \ (\text{insert } y \ A) = \text{insert } y \ (\text{insert } x \ A)$

**by** *blast*

**lemma** *insert-subset* [*simp*]:  $(\text{insert } x \ A \subseteq B) = (x \in B \ \& \ A \subseteq B)$   
**by** *blast*

**lemma** *mk-disjoint-insert*:  $a \in A \implies \exists B. A = \text{insert } a \ B \ \& \ a \notin B$   
 — use new  $B$  rather than  $A - \{a\}$  to avoid infinite unfolding  
**apply** (*rule-tac*  $x = A - \{a\}$  **in** *exI*, *blast*)  
**done**

**lemma** *insert-Collect*:  $\text{insert } a \ (\text{Collect } P) = \{u. u \neq a \longrightarrow P \ u\}$   
**by** *auto*

**lemma** *UN-insert-distrib*:  $u \in A \implies (\bigcup_{x \in A. \text{insert } a \ (B \ x)) = \text{insert } a \ (\bigcup_{x \in A. B \ x})$   
**by** *blast*

**lemma** *insert-inter-insert*[*simp*]:  $\text{insert } a \ A \cap \text{insert } a \ B = \text{insert } a \ (A \cap B)$   
**by** *blast*

**lemma** *insert-disjoint* [*simp*,*noatp*]:  
 $(\text{insert } a \ A \cap B = \{\}) = (a \notin B \wedge A \cap B = \{\})$   
 $(\{\} = \text{insert } a \ A \cap B) = (a \notin B \wedge \{\} = A \cap B)$   
**by** *auto*

**lemma** *disjoint-insert* [*simp*,*noatp*]:  
 $(B \cap \text{insert } a \ A = \{\}) = (a \notin B \wedge B \cap A = \{\})$   
 $(\{\} = A \cap \text{insert } b \ B) = (b \notin A \wedge \{\} = A \cap B)$   
**by** *auto*

*image.*

**lemma** *image-empty* [*simp*]:  $f' \{\} = \{\}$   
**by** *blast*

**lemma** *image-insert* [*simp*]:  $f' \ (\text{insert } a \ B) = \text{insert } (f \ a) \ (f' B)$   
**by** *blast*

**lemma** *image-constant*:  $x \in A \implies (\lambda x. c)' A = \{c\}$   
**by** *auto*

**lemma** *image-constant-conv*:  $(\%x. c)' A = (\text{if } A = \{\} \text{ then } \{\} \text{ else } \{c\})$   
**by** *auto*

**lemma** *image-image*:  $f' (g' A) = (\lambda x. f \ (g \ x))' A$   
**by** *blast*

**lemma** *insert-image* [*simp*]:  $x \in A \implies \text{insert } (f \ x) \ (f' A) = f' A$   
**by** *blast*

**lemma** *image-is-empty* [iff]:  $(f^{\circ} A = \{\}) = (A = \{\})$   
**by** *blast*

**lemma** *image-Collect* [noatp]:  $f^{\circ} \{x. P\ x\} = \{f\ x \mid x. P\ x\}$   
 — NOT suitable as a default simp rule: the RHS isn’t simpler than the LHS, with its implicit quantifier and conjunction. Also image enjoys better equational properties than does the RHS.  
**by** *blast*

**lemma** *if-image-distrib* [simp]:  
 $(\lambda x. \text{if } P\ x \text{ then } f\ x \text{ else } g\ x)^{\circ} S$   
 $= (f^{\circ} (S \cap \{x. P\ x\})) \cup (g^{\circ} (S \cap \{x. \neg P\ x\}))$   
**by** (*auto simp add: image-def*)

**lemma** *image-cong*:  $M = N \implies (!x. x \in N \implies f\ x = g\ x) \implies f^{\circ} M = g^{\circ} N$   
**by** (*simp add: image-def*)

*range.*

**lemma** *full-SetCompr-eq* [noatp]:  $\{u. \exists x. u = f\ x\} = \text{range } f$   
**by** *auto*

**lemma** *range-composition*:  $\text{range } (\lambda x. f\ (g\ x)) = f^{\circ} \text{range } g$   
**by** (*subst image-image, simp*)

*Int*

**lemma** *Int-absorb* [simp]:  $A \cap A = A$   
**by** *blast*

**lemma** *Int-left-absorb*:  $A \cap (A \cap B) = A \cap B$   
**by** *blast*

**lemma** *Int-commute*:  $A \cap B = B \cap A$   
**by** *blast*

**lemma** *Int-left-commute*:  $A \cap (B \cap C) = B \cap (A \cap C)$   
**by** *blast*

**lemma** *Int-assoc*:  $(A \cap B) \cap C = A \cap (B \cap C)$   
**by** *blast*

**lemmas** *Int-ac = Int-assoc Int-left-absorb Int-commute Int-left-commute*  
 — Intersection is an AC-operator

**lemma** *Int-absorb1*:  $B \subseteq A \implies A \cap B = B$   
**by** *blast*

**lemma** *Int-absorb2*:  $A \subseteq B \implies A \cap B = A$

by *blast*

**lemma** *Int-empty-left* [*simp*]:  $\{\} \cap B = \{\}$   
by *blast*

**lemma** *Int-empty-right* [*simp*]:  $A \cap \{\} = \{\}$   
by *blast*

**lemma** *disjoint-eq-subset-Compl*:  $(A \cap B = \{\}) = (A \subseteq -B)$   
by *blast*

**lemma** *disjoint-iff-not-equal*:  $(A \cap B = \{\}) = (\forall x \in A. \forall y \in B. x \neq y)$   
by *blast*

**lemma** *Int-UNIV-left* [*simp*]:  $UNIV \cap B = B$   
by *blast*

**lemma** *Int-UNIV-right* [*simp*]:  $A \cap UNIV = A$   
by *blast*

**lemma** *Int-eq-Inter*:  $A \cap B = \bigcap \{A, B\}$   
by *blast*

**lemma** *Int-Un-distrib*:  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$   
by *blast*

**lemma** *Int-Un-distrib2*:  $(B \cup C) \cap A = (B \cap A) \cup (C \cap A)$   
by *blast*

**lemma** *Int-UNIV* [*simp, noatp*]:  $(A \cap B = UNIV) = (A = UNIV \ \& \ B = UNIV)$   
by *blast*

**lemma** *Int-subset-iff* [*simp*]:  $(C \subseteq A \cap B) = (C \subseteq A \ \& \ C \subseteq B)$   
by *blast*

**lemma** *Int-Collect*:  $(x \in A \cap \{x. P \ x\}) = (x \in A \ \& \ P \ x)$   
by *blast*

*Un.*

**lemma** *Un-absorb* [*simp*]:  $A \cup A = A$   
by *blast*

**lemma** *Un-left-absorb*:  $A \cup (A \cup B) = A \cup B$   
by *blast*

**lemma** *Un-commute*:  $A \cup B = B \cup A$   
by *blast*

**lemma** *Un-left-commute*:  $A \cup (B \cup C) = B \cup (A \cup C)$

by *blast*

**lemma** *Un-assoc*:  $(A \cup B) \cup C = A \cup (B \cup C)$   
by *blast*

**lemmas** *Un-ac = Un-assoc Un-left-absorb Un-commute Un-left-commute*  
— Union is an AC-operator

**lemma** *Un-absorb1*:  $A \subseteq B \implies A \cup B = B$   
by *blast*

**lemma** *Un-absorb2*:  $B \subseteq A \implies A \cup B = A$   
by *blast*

**lemma** *Un-empty-left [simp]*:  $\{\} \cup B = B$   
by *blast*

**lemma** *Un-empty-right [simp]*:  $A \cup \{\} = A$   
by *blast*

**lemma** *Un-UNIV-left [simp]*:  $UNIV \cup B = UNIV$   
by *blast*

**lemma** *Un-UNIV-right [simp]*:  $A \cup UNIV = UNIV$   
by *blast*

**lemma** *Un-eq-Union*:  $A \cup B = \bigcup \{A, B\}$   
by *blast*

**lemma** *Un-insert-left [simp]*:  $(\text{insert } a \ B) \cup C = \text{insert } a \ (B \cup C)$   
by *blast*

**lemma** *Un-insert-right [simp]*:  $A \cup (\text{insert } a \ B) = \text{insert } a \ (A \cup B)$   
by *blast*

**lemma** *Int-insert-left*:  
 $(\text{insert } a \ B) \cap C = (\text{if } a \in C \text{ then } \text{insert } a \ (B \cap C) \text{ else } B \cap C)$   
by *auto*

**lemma** *Int-insert-right*:  
 $A \cap (\text{insert } a \ B) = (\text{if } a \in A \text{ then } \text{insert } a \ (A \cap B) \text{ else } A \cap B)$   
by *auto*

**lemma** *Un-Int-distrib*:  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$   
by *blast*

**lemma** *Un-Int-distrib2*:  $(B \cap C) \cup A = (B \cup A) \cap (C \cup A)$   
by *blast*



**lemma** *Un-Int-crazy*:

$$(A \cap B) \cup (B \cap C) \cup (C \cap A) = (A \cup B) \cap (B \cup C) \cap (C \cup A)$$

**by** *blast*

**lemma** *subset-Un-eq*:  $(A \subseteq B) = (A \cup B = B)$

**by** *blast*

**lemma** *Un-empty [iff]*:  $(A \cup B = \{\}) = (A = \{\} \ \& \ B = \{\})$

**by** *blast*

**lemma** *Un-subset-iff [simp]*:  $(A \cup B \subseteq C) = (A \subseteq C \ \& \ B \subseteq C)$

**by** *blast*

**lemma** *Un-Diff-Int*:  $(A - B) \cup (A \cap B) = A$

**by** *blast*

**lemma** *Diff-Int2*:  $A \cap C - B \cap C = A \cap C - B$

**by** *blast*

Set complement

**lemma** *Compl-disjoint [simp]*:  $A \cap -A = \{\}$

**by** *blast*

**lemma** *Compl-disjoint2 [simp]*:  $-A \cap A = \{\}$

**by** *blast*

**lemma** *Compl-partition*:  $A \cup -A = UNIV$

**by** *blast*

**lemma** *Compl-partition2*:  $-A \cup A = UNIV$

**by** *blast*

**lemma** *double-complement [simp]*:  $-(-A) = (A::'a \text{ set})$

**by** *blast*

**lemma** *Compl-Un [simp]*:  $-(A \cup B) = (-A) \cap (-B)$

**by** *blast*

**lemma** *Compl-Int [simp]*:  $-(A \cap B) = (-A) \cup (-B)$

**by** *blast*

**lemma** *Compl-UN [simp]*:  $-(\bigcup x \in A. B \ x) = (\bigcap x \in A. -B \ x)$

**by** *blast*

**lemma** *Compl-INT [simp]*:  $-(\bigcap x \in A. B \ x) = (\bigcup x \in A. -B \ x)$

**by** *blast*

**lemma** *subset-Compl-self-eq*:  $(A \subseteq -A) = (A = \{\})$

**by** *blast*

**lemma** *Un-Int-assoc-eq*:  $((A \cap B) \cup C = A \cap (B \cup C)) = (C \subseteq A)$

— Halmos, Naive Set Theory, page 16.

**by** *blast*

**lemma** *Compl-UNIV-eq* [simp]:  $-UNIV = \{\}$

**by** *blast*

**lemma** *Compl-empty-eq* [simp]:  $-\{\} = UNIV$

**by** *blast*

**lemma** *Compl-subset-Compl-iff* [iff]:  $(-A \subseteq -B) = (B \subseteq A)$

**by** *blast*

**lemma** *Compl-eq-Compl-iff* [iff]:  $(-A = -B) = (A = (B::'a \text{ set}))$

**by** *blast*

*Union.*

**lemma** *Union-empty* [simp]:  $Union(\{\}) = \{\}$

**by** *blast*

**lemma** *Union-UNIV* [simp]:  $Union UNIV = UNIV$

**by** *blast*

**lemma** *Union-insert* [simp]:  $Union (\text{insert } a B) = a \cup \bigcup B$

**by** *blast*

**lemma** *Union-Un-distrib* [simp]:  $\bigcup (A \text{ Un } B) = \bigcup A \cup \bigcup B$

**by** *blast*

**lemma** *Union-Int-subset*:  $\bigcup (A \cap B) \subseteq \bigcup A \cap \bigcup B$

**by** *blast*

**lemma** *Union-empty-conv* [simp,noatp]:  $(\bigcup A = \{\}) = (\forall x \in A. x = \{\})$

**by** *blast*

**lemma** *empty-Union-conv* [simp,noatp]:  $(\{\} = \bigcup A) = (\forall x \in A. x = \{\})$

**by** *blast*

**lemma** *Union-disjoint*:  $(\bigcup C \cap A = \{\}) = (\forall B \in C. B \cap A = \{\})$

**by** *blast*

*Inter.*

**lemma** *Inter-empty* [simp]:  $\bigcap \{\} = UNIV$

**by** *blast*

**lemma** *Inter-UNIV* [simp]:  $\bigcap UNIV = \{\}$

**by** *blast*

**lemma** *Inter-insert* [simp]:  $\bigcap (\text{insert } a \ B) = a \cap \bigcap B$   
**by** *blast*

**lemma** *Inter-Un-subset*:  $\bigcap A \cup \bigcap B \subseteq \bigcap (A \cap B)$   
**by** *blast*

**lemma** *Inter-Un-distrib*:  $\bigcap (A \cup B) = \bigcap A \cap \bigcap B$   
**by** *blast*

**lemma** *Inter-UNIV-conv* [simp, noatp]:  
 $(\bigcap A = \text{UNIV}) = (\forall x \in A. x = \text{UNIV})$   
 $(\text{UNIV} = \bigcap A) = (\forall x \in A. x = \text{UNIV})$   
**by** *blast+*

*UN* and *INT*.

Basic identities:

**lemma** *UN-empty* [simp, noatp]:  $(\bigcup x \in \{\}. B \ x) = \{\}$   
**by** *blast*

**lemma** *UN-empty2* [simp]:  $(\bigcup x \in A. \{\}) = \{\}$   
**by** *blast*

**lemma** *UN-singleton* [simp]:  $(\bigcup x \in A. \{x\}) = A$   
**by** *blast*

**lemma** *UN-absorb*:  $k \in I ==> A \ k \cup (\bigcup i \in I. A \ i) = (\bigcup i \in I. A \ i)$   
**by** *auto*

**lemma** *INT-empty* [simp]:  $(\bigcap x \in \{\}. B \ x) = \text{UNIV}$   
**by** *blast*

**lemma** *INT-absorb*:  $k \in I ==> A \ k \cap (\bigcap i \in I. A \ i) = (\bigcap i \in I. A \ i)$   
**by** *blast*

**lemma** *UN-insert* [simp]:  $(\bigcup x \in \text{insert } a \ A. B \ x) = B \ a \cup \text{UNION } A \ B$   
**by** *blast*

**lemma** *UN-Un* [simp]:  $(\bigcup i \in A \cup B. M \ i) = (\bigcup i \in A. M \ i) \cup (\bigcup i \in B. M \ i)$   
**by** *blast*

**lemma** *UN-UN-flatten*:  $(\bigcup x \in (\bigcup y \in A. B \ y). C \ x) = (\bigcup y \in A. \bigcup x \in B \ y. C \ x)$   
**by** *blast*

**lemma** *UN-subset-iff*:  $((\bigcup i \in I. A \ i) \subseteq B) = (\forall i \in I. A \ i \subseteq B)$   
**by** *blast*

**lemma** *INT-subset-iff*:  $(B \subseteq (\bigcap i \in I. A \ i)) = (\forall i \in I. B \subseteq A \ i)$   
**by** *blast*

**lemma** *INT-insert* [simp]:  $(\bigcap x \in \text{insert } a \ A. B \ x) = B \ a \cap \text{INTER } A \ B$   
**by** *blast*

**lemma** *INT-Un*:  $(\bigcap i \in A \cup B. M \ i) = (\bigcap i \in A. M \ i) \cap (\bigcap i \in B. M \ i)$   
**by** *blast*

**lemma** *INT-insert-distrib*:  
 $u \in A ==> (\bigcap x \in A. \text{insert } a \ (B \ x)) = \text{insert } a \ (\bigcap x \in A. B \ x)$   
**by** *blast*

**lemma** *Union-image-eq* [simp]:  $\bigcup (B' A) = (\bigcup x \in A. B \ x)$   
**by** *blast*

**lemma** *image-Union*:  $f \ ' \ \bigcup S = (\bigcup x \in S. f \ ' \ x)$   
**by** *blast*

**lemma** *Inter-image-eq* [simp]:  $\bigcap (B' A) = (\bigcap x \in A. B \ x)$   
**by** *blast*

**lemma** *UN-constant* [simp]:  $(\bigcup y \in A. c) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } c)$   
**by** *auto*

**lemma** *INT-constant* [simp]:  $(\bigcap y \in A. c) = (\text{if } A = \{\} \text{ then } \text{UNIV} \text{ else } c)$   
**by** *auto*

**lemma** *UN-eq*:  $(\bigcup x \in A. B \ x) = \bigcup (\{Y. \exists x \in A. Y = B \ x\})$   
**by** *blast*

**lemma** *INT-eq*:  $(\bigcap x \in A. B \ x) = \bigcap (\{Y. \exists x \in A. Y = B \ x\})$   
 — Look: it has an *existential* quantifier  
**by** *blast*

**lemma** *UNION-empty-conv*[simp]:  
 $(\{\} = (\text{UN } x:A. B \ x)) = (\forall x \in A. B \ x = \{\})$   
 $((\text{UN } x:A. B \ x) = \{\}) = (\forall x \in A. B \ x = \{\})$   
**by** *blast+*

**lemma** *INTER-UNIV-conv*[simp]:  
 $(\text{UNIV} = (\text{INT } x:A. B \ x)) = (\forall x \in A. B \ x = \text{UNIV})$   
 $((\text{INT } x:A. B \ x) = \text{UNIV}) = (\forall x \in A. B \ x = \text{UNIV})$   
**by** *blast+*

Distributive laws:

**lemma** *Int-Union*:  $A \cap \bigcup B = (\bigcup C \in B. A \cap C)$   
**by** *blast*

**lemma** *Int-Union2*:  $\bigcup B \cap A = (\bigcup C \in B. C \cap A)$   
**by** *blast*

**lemma** *Un-Union-image*:  $(\bigcup_{x \in C}. A \ x \cup B \ x) = \bigcup (A' C) \cup \bigcup (B' C)$   
 — Devlin, Fundamentals of Contemporary Set Theory, page 12, exercise 5:  
 — Union of a family of unions  
**by** *blast*

**lemma** *UN-Un-distrib*:  $(\bigcup_{i \in I}. A \ i \cup B \ i) = (\bigcup_{i \in I}. A \ i) \cup (\bigcup_{i \in I}. B \ i)$   
 — Equivalent version  
**by** *blast*

**lemma** *Un-Inter*:  $A \cup \bigcap B = (\bigcap_{C \in B}. A \cup C)$   
**by** *blast*

**lemma** *Int-Inter-image*:  $(\bigcap_{x \in C}. A \ x \cap B \ x) = \bigcap (A' C) \cap \bigcap (B' C)$   
**by** *blast*

**lemma** *INT-Int-distrib*:  $(\bigcap_{i \in I}. A \ i \cap B \ i) = (\bigcap_{i \in I}. A \ i) \cap (\bigcap_{i \in I}. B \ i)$   
 — Equivalent version  
**by** *blast*

**lemma** *Int-UN-distrib*:  $B \cap (\bigcup_{i \in I}. A \ i) = (\bigcup_{i \in I}. B \cap A \ i)$   
 — Halmos, Naive Set Theory, page 35.  
**by** *blast*

**lemma** *Un-INT-distrib*:  $B \cup (\bigcap_{i \in I}. A \ i) = (\bigcap_{i \in I}. B \cup A \ i)$   
**by** *blast*

**lemma** *Int-UN-distrib2*:  $(\bigcup_{i \in I}. A \ i) \cap (\bigcup_{j \in J}. B \ j) = (\bigcup_{i \in I}. \bigcup_{j \in J}. A \ i \cap B \ j)$   
**by** *blast*

**lemma** *Un-INT-distrib2*:  $(\bigcap_{i \in I}. A \ i) \cup (\bigcap_{j \in J}. B \ j) = (\bigcap_{i \in I}. \bigcap_{j \in J}. A \ i \cup B \ j)$   
**by** *blast*

Bounded quantifiers.

The following are not added to the default simpset because (a) they duplicate the body and (b) there are no similar rules for *Int*.

**lemma** *ball-Un*:  $(\forall x \in A \cup B. P \ x) = ((\forall x \in A. P \ x) \ \& \ (\forall x \in B. P \ x))$   
**by** *blast*

**lemma** *bex-Un*:  $(\exists x \in A \cup B. P \ x) = ((\exists x \in A. P \ x) \ | \ (\exists x \in B. P \ x))$   
**by** *blast*

**lemma** *ball-UN*:  $(\forall z \in \text{UNION } A \ B. P \ z) = (\forall x \in A. \forall z \in B \ x. P \ z)$   
**by** *blast*

**lemma** *bex-UN*:  $(\exists z \in \text{UNION } A \ B. P \ z) = (\exists x \in A. \exists z \in B \ x. P \ z)$

**by** *blast*

Set difference.

**lemma** *Diff-eq*:  $A - B = A \cap (-B)$   
**by** *blast*

**lemma** *Diff-eq-empty-iff* [*simp, noatp*]:  $(A - B = \{\}) = (A \subseteq B)$   
**by** *blast*

**lemma** *Diff-cancel* [*simp*]:  $A - A = \{\}$   
**by** *blast*

**lemma** *Diff-idemp* [*simp*]:  $(A - B) - B = A - (B::'a \text{ set})$   
**by** *blast*

**lemma** *Diff-triv*:  $A \cap B = \{\} \implies A - B = A$   
**by** (*blast elim: equalityE*)

**lemma** *empty-Diff* [*simp*]:  $\{\} - A = \{\}$   
**by** *blast*

**lemma** *Diff-empty* [*simp*]:  $A - \{\} = A$   
**by** *blast*

**lemma** *Diff-UNIV* [*simp*]:  $A - \text{UNIV} = \{\}$   
**by** *blast*

**lemma** *Diff-insert0* [*simp, noatp*]:  $x \notin A \implies A - \text{insert } x B = A - B$   
**by** *blast*

**lemma** *Diff-insert*:  $A - \text{insert } a B = A - B - \{a\}$   
 — NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a 0$   
**by** *blast*

**lemma** *Diff-insert2*:  $A - \text{insert } a B = A - \{a\} - B$   
 — NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a 0$   
**by** *blast*

**lemma** *insert-Diff-if*:  $\text{insert } x A - B = (\text{if } x \in B \text{ then } A - B \text{ else } \text{insert } x (A - B))$   
**by** *auto*

**lemma** *insert-Diff1* [*simp*]:  $x \in B \implies \text{insert } x A - B = A - B$   
**by** *blast*

**lemma** *insert-Diff-single* [*simp*]:  $\text{insert } a (A - \{a\}) = \text{insert } a A$   
**by** *blast*

**lemma** *insert-Diff*:  $a \in A \implies \text{insert } a (A - \{a\}) = A$

by *blast*

**lemma** *Diff-insert-absorb*:  $x \notin A \implies (\text{insert } x \ A) - \{x\} = A$   
by *auto*

**lemma** *Diff-disjoint [simp]*:  $A \cap (B - A) = \{\}$   
by *blast*

**lemma** *Diff-partition*:  $A \subseteq B \implies A \cup (B - A) = B$   
by *blast*

**lemma** *double-diff*:  $A \subseteq B \implies B \subseteq C \implies B - (C - A) = A$   
by *blast*

**lemma** *Un-Diff-cancel [simp]*:  $A \cup (B - A) = A \cup B$   
by *blast*

**lemma** *Un-Diff-cancel2 [simp]*:  $(B - A) \cup A = B \cup A$   
by *blast*

**lemma** *Diff-Un*:  $A - (B \cup C) = (A - B) \cap (A - C)$   
by *blast*

**lemma** *Diff-Int*:  $A - (B \cap C) = (A - B) \cup (A - C)$   
by *blast*

**lemma** *Un-Diff*:  $(A \cup B) - C = (A - C) \cup (B - C)$   
by *blast*

**lemma** *Int-Diff*:  $(A \cap B) - C = A \cap (B - C)$   
by *blast*

**lemma** *Diff-Int-distrib*:  $C \cap (A - B) = (C \cap A) - (C \cap B)$   
by *blast*

**lemma** *Diff-Int-distrib2*:  $(A - B) \cap C = (A \cap C) - (B \cap C)$   
by *blast*

**lemma** *Diff-Compl [simp]*:  $A - (- B) = A \cap B$   
by *auto*

**lemma** *Compl-Diff-eq [simp]*:  $- (A - B) = -A \cup B$   
by *blast*

Quantification over type *bool*.

**lemma** *bool-induct*:  $P \ \text{True} \implies P \ \text{False} \implies P \ x$   
by (*cases x*) *auto*

**lemma** *all-bool-eq*:  $(\forall b. P \ b) \longleftrightarrow P \ \text{True} \wedge P \ \text{False}$

**by** (*auto intro: bool-induct*)

**lemma** *bool-contrapos*:  $P\ x \implies \neg P\ False \implies P\ True$   
**by** (*cases x*) *auto*

**lemma** *ex-bool-eq*:  $(\exists b. P\ b) \longleftrightarrow P\ True \vee P\ False$   
**by** (*auto intro: bool-contrapos*)

**lemma** *Un-eq-UN*:  $A \cup B = (\bigcup b. \text{if } b \text{ then } A \text{ else } B)$   
**by** (*auto simp add: split-if-mem2*)

**lemma** *UN-bool-eq*:  $(\bigcup b::\text{bool}. A\ b) = (A\ True \cup A\ False)$   
**by** (*auto intro: bool-contrapos*)

**lemma** *INT-bool-eq*:  $(\bigcap b::\text{bool}. A\ b) = (A\ True \cap A\ False)$   
**by** (*auto intro: bool-induct*)

*Pow*

**lemma** *Pow-empty* [*simp*]:  $Pow\ \{\} = \{\{\}\}$   
**by** (*auto simp add: Pow-def*)

**lemma** *Pow-insert*:  $Pow\ (\text{insert } a\ A) = Pow\ A \cup (\text{insert } a\ ` Pow\ A)$   
**by** (*blast intro: image-eqI [where ?x = u - \{a\}, standard]*)

**lemma** *Pow-Compl*:  $Pow\ (\neg A) = \{\neg B \mid B. A \in Pow\ B\}$   
**by** (*blast intro: exI [where ?x = \neg u, standard]*)

**lemma** *Pow-UNIV* [*simp*]:  $Pow\ UNIV = UNIV$   
**by** *blast*

**lemma** *Un-Pow-subset*:  $Pow\ A \cup Pow\ B \subseteq Pow\ (A \cup B)$   
**by** *blast*

**lemma** *UN-Pow-subset*:  $(\bigcup x \in A. Pow\ (B\ x)) \subseteq Pow\ (\bigcup x \in A. B\ x)$   
**by** *blast*

**lemma** *subset-Pow-Union*:  $A \subseteq Pow\ (\bigcup A)$   
**by** *blast*

**lemma** *Union-Pow-eq* [*simp*]:  $\bigcup (Pow\ A) = A$   
**by** *blast*

**lemma** *Pow-Int-eq* [*simp*]:  $Pow\ (A \cap B) = Pow\ A \cap Pow\ B$   
**by** *blast*

**lemma** *Pow-INT-eq*:  $Pow\ (\bigcap x \in A. B\ x) = (\bigcap x \in A. Pow\ (B\ x))$   
**by** *blast*

Miscellany.



**lemma** *set-eq-subset*:  $(A = B) = (A \subseteq B \ \& \ B \subseteq A)$   
**by** *blast*

**lemma** *subset-iff*:  $(A \subseteq B) = (\forall t. t \in A \longrightarrow t \in B)$   
**by** *blast*

**lemma** *subset-iff-psubset-eq*:  $(A \subseteq B) = ((A \subset B) \mid (A = B))$   
**by** (*unfold less-le*) *blast*

**lemma** *all-not-in-conv* [*simp*]:  $(\forall x. x \notin A) = (A = \{\})$   
**by** *blast*

**lemma** *ex-in-conv*:  $(\exists x. x \in A) = (A \neq \{\})$   
**by** *blast*

**lemma** *distinct-lemma*:  $f \ x \neq f \ y \implies x \neq y$   
**by** *iprover*

Miniscoping: pushing in quantifiers and big Unions and Intersections.

**lemma** *UN-simps* [*simp*]:

!!*a B C*.  $(UN \ x:C. \text{insert } a \ (B \ x)) = (\text{if } C=\{\} \text{ then } \{\} \text{ else insert } a \ (UN \ x:C. \ B \ x))$   
!!*A B C*.  $(UN \ x:C. \ A \ x \ Un \ B) = ((\text{if } C=\{\} \text{ then } \{\} \text{ else } (UN \ x:C. \ A \ x) \ Un \ B))$   
!!*A B C*.  $(UN \ x:C. \ A \ Un \ B \ x) = ((\text{if } C=\{\} \text{ then } \{\} \text{ else } A \ Un \ (UN \ x:C. \ B \ x)))$   
!!*A B C*.  $(UN \ x:C. \ A \ x \ Int \ B) = ((UN \ x:C. \ A \ x) \ Int \ B)$   
!!*A B C*.  $(UN \ x:C. \ A \ Int \ B \ x) = (A \ Int \ (UN \ x:C. \ B \ x))$   
!!*A B C*.  $(UN \ x:C. \ A \ x - B) = ((UN \ x:C. \ A \ x) - B)$   
!!*A B C*.  $(UN \ x:C. \ A - B \ x) = (A - (INT \ x:C. \ B \ x))$   
!!*A B*.  $(UN \ x: \text{Union } A. \ B \ x) = (UN \ y:A. \ UN \ x:y. \ B \ x)$   
!!*A B C*.  $(UN \ z: \text{UNION } A \ B. \ C \ z) = (UN \ x:A. \ UN \ z: \ B(x). \ C \ z)$   
!!*A B f*.  $(UN \ x:f \ A. \ B \ x) = (UN \ a:A. \ B \ (f \ a))$   
**by** *auto*

**lemma** *INT-simps* [*simp*]:

!!*A B C*.  $(INT \ x:C. \ A \ x \ Int \ B) = (\text{if } C=\{\} \text{ then } UNIV \text{ else } (INT \ x:C. \ A \ x) \ Int \ B)$   
!!*A B C*.  $(INT \ x:C. \ A \ Int \ B \ x) = (\text{if } C=\{\} \text{ then } UNIV \text{ else } A \ Int \ (INT \ x:C. \ B \ x))$   
!!*A B C*.  $(INT \ x:C. \ A \ x - B) = (\text{if } C=\{\} \text{ then } UNIV \text{ else } (INT \ x:C. \ A \ x) - B)$   
!!*A B C*.  $(INT \ x:C. \ A - B \ x) = (\text{if } C=\{\} \text{ then } UNIV \text{ else } A - (UN \ x:C. \ B \ x))$   
!!*a B C*.  $(INT \ x:C. \ \text{insert } a \ (B \ x)) = \text{insert } a \ (INT \ x:C. \ B \ x)$   
!!*A B C*.  $(INT \ x:C. \ A \ x \ Un \ B) = ((INT \ x:C. \ A \ x) \ Un \ B)$   
!!*A B C*.  $(INT \ x:C. \ A \ Un \ B \ x) = (A \ Un \ (INT \ x:C. \ B \ x))$   
!!*A B*.  $(INT \ x: \text{Union } A. \ B \ x) = (INT \ y:A. \ INT \ x:y. \ B \ x)$   
!!*A B C*.  $(INT \ z: \text{UNION } A \ B. \ C \ z) = (INT \ x:A. \ INT \ z: \ B(x). \ C \ z)$

$!!A B f. (INT x:f'A. B x) = (INT a:A. B (f a))$   
**by** *auto*

**lemma** *ball-simps* [*simp, noatp*]:

$!!A P Q. (ALL x:A. P x \mid Q) = ((ALL x:A. P x) \mid Q)$   
 $!!A P Q. (ALL x:A. P \mid Q x) = (P \mid (ALL x:A. Q x))$   
 $!!A P Q. (ALL x:A. P \dashv\vdash Q x) = (P \dashv\vdash (ALL x:A. Q x))$   
 $!!A P Q. (ALL x:A. P x \dashv\vdash Q) = ((EX x:A. P x) \dashv\vdash Q)$   
 $!!P. (ALL x:\{\}. P x) = True$   
 $!!P. (ALL x:UNIV. P x) = (ALL x. P x)$   
 $!!a B P. (ALL x:insert a B. P x) = (P a \& (ALL x:B. P x))$   
 $!!A P. (ALL x:Union A. P x) = (ALL y:A. ALL x:y. P x)$   
 $!!A B P. (ALL x: UNION A B. P x) = (ALL a:A. ALL x: B a. P x)$   
 $!!P Q. (ALL x:Collect Q. P x) = (ALL x. Q x \dashv\vdash P x)$   
 $!!A P f. (ALL x:f'A. P x) = (ALL x:A. P (f x))$   
 $!!A P. (\sim(ALL x:A. P x)) = (EX x:A. \sim P x)$   
**by** *auto*

**lemma** *bex-simps* [*simp, noatp*]:

$!!A P Q. (EX x:A. P x \& Q) = ((EX x:A. P x) \& Q)$   
 $!!A P Q. (EX x:A. P \& Q x) = (P \& (EX x:A. Q x))$   
 $!!P. (EX x:\{\}. P x) = False$   
 $!!P. (EX x:UNIV. P x) = (EX x. P x)$   
 $!!a B P. (EX x:insert a B. P x) = (P(a) \mid (EX x:B. P x))$   
 $!!A P. (EX x:Union A. P x) = (EX y:A. EX x:y. P x)$   
 $!!A B P. (EX x: UNION A B. P x) = (EX a:A. EX x:B a. P x)$   
 $!!P Q. (EX x:Collect Q. P x) = (EX x. Q x \& P x)$   
 $!!A P f. (EX x:f'A. P x) = (EX x:A. P (f x))$   
 $!!A P. (\sim(EX x:A. P x)) = (ALL x:A. \sim P x)$   
**by** *auto*

**lemma** *ball-conj-distrib*:

$(ALL x:A. P x \& Q x) = ((ALL x:A. P x) \& (ALL x:A. Q x))$   
**by** *blast*

**lemma** *bex-disj-distrib*:

$(EX x:A. P x \mid Q x) = ((EX x:A. P x) \mid (EX x:A. Q x))$   
**by** *blast*

Maxiscoping: pulling out big Unions and Intersections.

**lemma** *UN-extend-simps*:

$!!a B C. insert a (UN x:C. B x) = (if C=\{\} then \{a\} else (UN x:C. insert a (B x)))$   
 $!!A B C. (UN x:C. A x) Un B = (if C=\{\} then B else (UN x:C. A x Un B))$   
 $!!A B C. A Un (UN x:C. B x) = (if C=\{\} then A else (UN x:C. A Un B x))$   
 $!!A B C. ((UN x:C. A x) Int B) = (UN x:C. A x Int B)$   
 $!!A B C. (A Int (UN x:C. B x)) = (UN x:C. A Int B x)$   
 $!!A B C. ((UN x:C. A x) - B) = (UN x:C. A x - B)$   
 $!!A B C. (A - (INT x:C. B x)) = (UN x:C. A - B x)$

$!!A \ B. (UN \ y:A. UN \ x:y. B \ x) = (UN \ x: Union \ A. B \ x)$   
 $!!A \ B \ C. (UN \ x:A. UN \ z: B(x). C \ z) = (UN \ z: UNION \ A \ B. C \ z)$   
 $!!A \ B \ f. (UN \ a:A. B \ (f \ a)) = (UN \ x:f'A. B \ x)$   
**by** *auto*

**lemma** *INT-extend-simps*:

$!!A \ B \ C. (INT \ x:C. A \ x) \ Int \ B = (if \ C=\{\} \ then \ B \ else \ (INT \ x:C. A \ x \ Int \ B))$   
 $!!A \ B \ C. A \ Int \ (INT \ x:C. B \ x) = (if \ C=\{\} \ then \ A \ else \ (INT \ x:C. A \ Int \ B \ x))$   
 $!!A \ B \ C. (INT \ x:C. A \ x) - B = (if \ C=\{\} \ then \ UNIV - B \ else \ (INT \ x:C. A \ x - B))$   
 $!!A \ B \ C. A - (UN \ x:C. B \ x) = (if \ C=\{\} \ then \ A \ else \ (INT \ x:C. A - B \ x))$   
 $!!a \ B \ C. insert \ a \ (INT \ x:C. B \ x) = (INT \ x:C. insert \ a \ (B \ x))$   
 $!!A \ B \ C. ((INT \ x:C. A \ x) \ Un \ B) = (INT \ x:C. A \ x \ Un \ B)$   
 $!!A \ B \ C. A \ Un \ (INT \ x:C. B \ x) = (INT \ x:C. A \ Un \ B \ x)$   
 $!!A \ B. (INT \ y:A. INT \ x:y. B \ x) = (INT \ x: Union \ A. B \ x)$   
 $!!A \ B \ C. (INT \ x:A. INT \ z: B(x). C \ z) = (INT \ z: UNION \ A \ B. C \ z)$   
 $!!A \ B \ f. (INT \ a:A. B \ (f \ a)) = (INT \ x:f'A. B \ x)$   
**by** *auto*

### 5.5.3 Monotonicity of various operations

**lemma** *image-mono*:  $A \subseteq B \implies f'A \subseteq f'B$   
**by** *blast*

**lemma** *Pow-mono*:  $A \subseteq B \implies Pow \ A \subseteq Pow \ B$   
**by** *blast*

**lemma** *Union-mono*:  $A \subseteq B \implies \bigcup A \subseteq \bigcup B$   
**by** *blast*

**lemma** *Inter-anti-mono*:  $B \subseteq A \implies \bigcap A \subseteq \bigcap B$   
**by** *blast*

**lemma** *UN-mono*:  
 $A \subseteq B \implies (!!x. x \in A \implies f \ x \subseteq g \ x) \implies$   
 $(\bigcup_{x \in A. f \ x) \subseteq (\bigcup_{x \in B. g \ x})$   
**by** (*blast dest: subsetD*)

**lemma** *INT-anti-mono*:  
 $B \subseteq A \implies (!!x. x \in A \implies f \ x \subseteq g \ x) \implies$   
 $(\bigcap_{x \in A. f \ x) \subseteq (\bigcap_{x \in A. g \ x})$   
 — The last inclusion is POSITIVE!  
**by** (*blast dest: subsetD*)

**lemma** *insert-mono*:  $C \subseteq D \implies insert \ a \ C \subseteq insert \ a \ D$   
**by** *blast*

**lemma** *Un-mono*:  $A \subseteq C \implies B \subseteq D \implies A \cup B \subseteq C \cup D$   
**by** *blast*

**lemma** *Int-mono*:  $A \subseteq C \implies B \subseteq D \implies A \cap B \subseteq C \cap D$   
**by** *blast*

**lemma** *Diff-mono*:  $A \subseteq C \implies D \subseteq B \implies A - B \subseteq C - D$   
**by** *blast*

**lemma** *Compl-anti-mono*:  $A \subseteq B \implies -B \subseteq -A$   
**by** *blast*

Monotonicity of implications.

**lemma** *in-mono*:  $A \subseteq B \implies x \in A \longrightarrow x \in B$   
**apply** (*rule impI*)  
**apply** (*erule subsetD, assumption*)  
**done**

**lemma** *conj-mono*:  $P1 \longrightarrow Q1 \implies P2 \longrightarrow Q2 \implies (P1 \ \& \ P2) \longrightarrow (Q1 \ \& \ Q2)$   
**by** *iprover*

**lemma** *disj-mono*:  $P1 \longrightarrow Q1 \implies P2 \longrightarrow Q2 \implies (P1 \ | \ P2) \longrightarrow (Q1 \ | \ Q2)$   
**by** *iprover*

**lemma** *imp-mono*:  $Q1 \longrightarrow P1 \implies P2 \longrightarrow Q2 \implies (P1 \longrightarrow P2) \longrightarrow (Q1 \longrightarrow Q2)$   
**by** *iprover*

**lemma** *imp-refl*:  $P \longrightarrow P \ ..$

**lemma** *ex-mono*:  $(!!x. P \ x \longrightarrow Q \ x) \implies (EX \ x. P \ x) \longrightarrow (EX \ x. Q \ x)$   
**by** *iprover*

**lemma** *all-mono*:  $(!!x. P \ x \longrightarrow Q \ x) \implies (ALL \ x. P \ x) \longrightarrow (ALL \ x. Q \ x)$   
**by** *iprover*

**lemma** *Collect-mono*:  $(!!x. P \ x \longrightarrow Q \ x) \implies Collect \ P \subseteq Collect \ Q$   
**by** *blast*

**lemma** *Int-Collect-mono*:  
 $A \subseteq B \implies (!!x. x \in A \implies P \ x \longrightarrow Q \ x) \implies A \cap Collect \ P \subseteq B \cap Collect \ Q$   
**by** *blast*

**lemmas** *basic-monos* =  
*subset-refl imp-refl disj-mono conj-mono*  
*ex-mono Collect-mono in-mono*

**lemma** *eq-to-mono*:  $a = b \implies c = d \implies b \longrightarrow d \implies a \longrightarrow c$

by *iprover*

**lemma** *eq-to-mono2*:  $a = b \implies c = d \implies \sim b \dashv\dashv \sim d \implies \sim a \dashv\dashv \sim c$   
by *iprover*

## 5.6 Inverse image of a function

**constdefs**

*vimage* ::  $('a \Rightarrow 'b) \Rightarrow 'b \text{ set} \Rightarrow 'a \text{ set}$     (**infixr**  $-'$  90)  
[*code del*]:  $f -' B == \{x. f\ x : B\}$

### 5.6.1 Basic rules

**lemma** *vimage-eq* [*simp*]:  $(a : f -' B) = (f\ a : B)$   
by (*unfold vimage-def*) *blast*

**lemma** *vimage-singleton-eq*:  $(a : f -' \{b\}) = (f\ a = b)$   
by *simp*

**lemma** *vimageI* [*intro*]:  $f\ a = b \implies b : B \implies a : f -' B$   
by (*unfold vimage-def*) *blast*

**lemma** *vimageI2*:  $f\ a : A \implies a : f -' A$   
by (*unfold vimage-def*) *fast*

**lemma** *vimageE* [*elim!*]:  $a : f -' B \implies (!x. f\ a = x \implies x : B \implies P) \implies P$   
by (*unfold vimage-def*) *blast*

**lemma** *vimageD*:  $a : f -' A \implies f\ a : A$   
by (*unfold vimage-def*) *fast*

### 5.6.2 Equations

**lemma** *vimage-empty* [*simp*]:  $f -' \{\} = \{\}$   
by *blast*

**lemma** *vimage-Compl*:  $f -' (-A) = -(f -' A)$   
by *blast*

**lemma** *vimage-Un* [*simp*]:  $f -' (A \text{ Un } B) = (f -' A) \text{ Un } (f -' B)$   
by *blast*

**lemma** *vimage-Int* [*simp*]:  $f -' (A \text{ Int } B) = (f -' A) \text{ Int } (f -' B)$   
by *fast*

**lemma** *vimage-Union*:  $f -' (\text{Union } A) = (\text{UN } X:A. f -' X)$   
by *blast*

**lemma** *vimage-UN*:  $f -' (\text{UN } x:A. B\ x) = (\text{UN } x:A. f -' B\ x)$

by *blast*

**lemma** *vimage-INT*:  $f - ' (INT\ x:A. B\ x) = (INT\ x:A. f - ' B\ x)$   
by *blast*

**lemma** *vimage-Collect-eq* [*simp*]:  $f - ' Collect\ P = \{y. P\ (f\ y)\}$   
by *blast*

**lemma** *vimage-Collect*:  $(!!x. P\ (f\ x) = Q\ x) ==> f - ' (Collect\ P) = Collect\ Q$   
by *blast*

**lemma** *vimage-insert*:  $f - ' (insert\ a\ B) = (f - '\{a\})\ Un\ (f - ' B)$   
— NOT suitable for rewriting because of the recurrence of  $\{a\}$ .  
by *blast*

**lemma** *vimage-Diff*:  $f - ' (A - B) = (f - ' A) - (f - ' B)$   
by *blast*

**lemma** *vimage-UNIV* [*simp*]:  $f - ' UNIV = UNIV$   
by *blast*

**lemma** *vimage-eq-UN*:  $f - ' B = (UN\ y: B. f - '\{y\})$   
— NOT suitable for rewriting  
by *blast*

**lemma** *vimage-mono*:  $A \subseteq B ==> f - ' A \subseteq f - ' B$   
— monotonicity  
by *blast*

**lemma** *vimage-image-eq* [*noatp*]:  $f - ' (f - ' A) = \{y. EX\ x:A. f\ x = f\ y\}$   
by (*blast intro: sym*)

**lemma** *image-vimage-subset*:  $f - ' (f - ' A) <= A$   
by *blast*

**lemma** *image-vimage-eq* [*simp*]:  $f - ' (f - ' A) = A\ Int\ range\ f$   
by *blast*

**lemma** *image-Int-subset*:  $f - ' (A\ Int\ B) <= f - ' A\ Int\ f - ' B$   
by *blast*

**lemma** *image-diff-subset*:  $f - ' A - f - ' B <= f - ' (A - B)$   
by *blast*

**lemma** *image-UN*:  $f - ' (UNION\ A\ B) = (UN\ x:A. (f - ' (B\ x)))$   
by *blast*

## 5.7 Getting the Contents of a Singleton Set

**definition** *contents* :: 'a set  $\Rightarrow$  'a **where**  
`[code del]: contents X = (THE x. X = {x})`

**lemma** *contents-eq* [simp]: *contents* {x} = x  
**by** (simp add: contents-def)

## 5.8 Transitivity rules for calculational reasoning

**lemma** *set-rev-mp*:  $x:A \Rightarrow A \subseteq B \Rightarrow x:B$   
**by** (rule subsetD)

**lemma** *set-mp*:  $A \subseteq B \Rightarrow x:A \Rightarrow x:B$   
**by** (rule subsetD)

**lemmas** *basic-trans-rules* [trans] =  
*order-trans-rules set-rev-mp set-mp*

## 5.9 Least value operator

**lemma** *Least-mono*:  
 $\text{mono } (f::'a::\text{order} \Rightarrow 'b::\text{order}) \Rightarrow EX\ x:S. ALL\ y:S. x \leq y$   
 $\Rightarrow (LEAST\ y. y : f\ 'S) = f\ (LEAST\ x. x : S)$   
— Courtesy of Stephan Merz  
**apply** *clarify*  
**apply** (erule-tac  $P = \%x. x : S$  in *LeastI2-order*, fast)  
**apply** (rule *LeastI2-order*)  
**apply** (auto elim: *monoD intro!: order-antisym*)  
**done**

## 5.10 Rudimentary code generation

**lemma** *empty-code* [code]: { }  $x \longleftrightarrow False$   
**unfolding** *empty-def Collect-def* ..

**lemma** *UNIV-code* [code]: *UNIV*  $x \longleftrightarrow True$   
**unfolding** *UNIV-def Collect-def* ..

**lemma** *insert-code* [code]: *insert* y A  $x \longleftrightarrow y = x \vee A\ x$   
**unfolding** *insert-def Collect-def mem-def Un-def* **by** auto

**lemma** *inter-code* [code]:  $(A \cap B)\ x \longleftrightarrow A\ x \wedge B\ x$   
**unfolding** *Int-def Collect-def mem-def* ..

**lemma** *union-code* [code]:  $(A \cup B)\ x \longleftrightarrow A\ x \vee B\ x$   
**unfolding** *Un-def Collect-def mem-def* ..

**lemma** *vimage-code* [code]:  $(f - 'A)\ x = A\ (f\ x)$   
**unfolding** *vimage-def Collect-def mem-def* ..

### 5.11 Complete lattices

**notation**

*less-eq* (**infix**  $\sqsubseteq$  50) and  
*less* (**infix**  $\sqsubset$  50) and  
*inf* (**infixl**  $\sqcap$  70) and  
*sup* (**infixl**  $\sqcup$  65)

**class** *complete-lattice* = *lattice* + *bot* + *top* +  
**fixes** *Inf* :: 'a set  $\Rightarrow$  'a ( $\sqcap$  - [900] 900)  
**and** *Sup* :: 'a set  $\Rightarrow$  'a ( $\sqcup$  - [900] 900)  
**assumes** *Inf-lower*:  $x \in A \Rightarrow \sqcap A \sqsubseteq x$   
**and** *Inf-greatest*:  $(\bigwedge x. x \in A \Rightarrow z \sqsubseteq x) \Rightarrow z \sqsubseteq \sqcap A$   
**assumes** *Sup-upper*:  $x \in A \Rightarrow x \sqsubseteq \sqcup A$   
**and** *Sup-least*:  $(\bigwedge x. x \in A \Rightarrow x \sqsubseteq z) \Rightarrow \sqcup A \sqsubseteq z$   
**begin**

**lemma** *Inf-Sup*:  $\sqcap A = \sqcup \{b. \forall a \in A. b \leq a\}$   
**by** (*auto intro: antisym Inf-lower Inf-greatest Sup-upper Sup-least*)

**lemma** *Sup-Inf*:  $\sqcup A = \sqcap \{b. \forall a \in A. a \leq b\}$   
**by** (*auto intro: antisym Inf-lower Inf-greatest Sup-upper Sup-least*)

**lemma** *Inf-Univ*:  $\sqcap UNIV = \sqcup \{\}$   
**unfolding** *Sup-Inf* **by** *auto*

**lemma** *Sup-Univ*:  $\sqcup UNIV = \sqcap \{\}$   
**unfolding** *Inf-Sup* **by** *auto*

**lemma** *Inf-insert*:  $\sqcap \text{insert } a \ A = a \sqcap \sqcap A$   
**by** (*auto intro: antisym Inf-greatest Inf-lower*)

**lemma** *Sup-insert*:  $\sqcup \text{insert } a \ A = a \sqcup \sqcup A$   
**by** (*auto intro: antisym Sup-least Sup-upper*)

**lemma** *Inf-singleton [simp]*:  
 $\sqcap \{a\} = a$   
**by** (*auto intro: antisym Inf-lower Inf-greatest*)

**lemma** *Sup-singleton [simp]*:  
 $\sqcup \{a\} = a$   
**by** (*auto intro: antisym Sup-upper Sup-least*)

**lemma** *Inf-insert-simp*:  
 $\sqcap \text{insert } a \ A = (\text{if } A = \{\} \text{ then } a \text{ else } a \sqcap \sqcap A)$   
**by** (*cases*  $A = \{\}$ ) (*simp-all, simp add: Inf-insert*)

**lemma** *Sup-insert-simp*:  
 $\sqcup \text{insert } a \ A = (\text{if } A = \{\} \text{ then } a \text{ else } a \sqcup \sqcup A)$   
**by** (*cases*  $A = \{\}$ ) (*simp-all, simp add: Sup-insert*)



**lemma** *Inf-binary*:

$\sqcap \{a, b\} = a \sqcap b$   
**by** (*simp add: Inf-insert-simp*)

**lemma** *Sup-binary*:

$\sqcup \{a, b\} = a \sqcup b$   
**by** (*simp add: Sup-insert-simp*)

**lemma** *bot-def*:

$\text{bot} = \sqcup \{\}$   
**by** (*auto intro: antisym Sup-least*)

**lemma** *top-def*:

$\text{top} = \sqcap \{\}$   
**by** (*auto intro: antisym Inf-greatest*)

**lemma** *sup-bot [simp]*:

$x \sqcup \text{bot} = x$   
**using** *bot-least [of x]* **by** (*simp add: le-iff-sup sup-commute*)

**lemma** *inf-top [simp]*:

$x \sqcap \text{top} = x$   
**using** *top-greatest [of x]* **by** (*simp add: le-iff-inf inf-commute*)

**definition** *SUPR* ::  $'b \text{ set} \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$  **where**

$\text{SUPR } A \ f == \sqcup (f \text{ ` } A)$

**definition** *INFI* ::  $'b \text{ set} \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$  **where**

$\text{INFI } A \ f == \sqcap (f \text{ ` } A)$

**end**

**syntax**

-*SUP1*    ::  $p\text{trns} \Rightarrow 'b \Rightarrow 'b$              $((\text{SUP} \text{ -./ -}) [0, 10] 10)$   
-*SUP*     ::  $p\text{trn} \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b$      $((\text{SUP} \text{ -./ -}) [0, 10] 10)$   
-*INF1*    ::  $p\text{trns} \Rightarrow 'b \Rightarrow 'b$              $((\text{INF} \text{ -./ -}) [0, 10] 10)$   
-*INF*     ::  $p\text{trn} \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b$      $((\text{INF} \text{ -./ -}) [0, 10] 10)$

**translations**

$\text{SUP } x \ y. B == \text{SUP } x. \text{SUP } y. B$   
 $\text{SUP } x. B == \text{CONST SUPR CONST UNIV } (\%x. B)$   
 $\text{SUP } x. B == \text{SUP } x:\text{CONST UNIV}. B$   
 $\text{SUP } x:A. B == \text{CONST SUPR } A (\%x. B)$   
 $\text{INF } x \ y. B == \text{INF } x. \text{INF } y. B$   
 $\text{INF } x. B == \text{CONST INFI CONST UNIV } (\%x. B)$   
 $\text{INF } x. B == \text{INF } x:\text{CONST UNIV}. B$   
 $\text{INF } x:A. B == \text{CONST INFI } A (\%x. B)$

```

print-translation ⟨⟨
  let
    fun btr' syn (A :: Abs abs :: ts) =
      let val (x,t) = atomic-abs-tr' abs
      in list-comb (Syntax.const syn $ x $ A $ t, ts) end
      val const-syntax-name = Sign.const-syntax-name @{theory} o fst o dest-Const
  in
    [(const-syntax-name @{term SUPR}, btr' -SUP), (const-syntax-name @{term INFI},
      btr' -INF)]
  end
  ⟩⟩

```

```

context complete-lattice
begin

```

```

lemma le-SUPI:  $i : A \implies M\ i \leq (SUP\ i:A.\ M\ i)$ 
by (auto simp add: SUPR-def intro: Sup-upper)

```

```

lemma SUP-leI:  $(\bigwedge i. i : A \implies M\ i \leq u) \implies (SUP\ i:A.\ M\ i) \leq u$ 
by (auto simp add: SUPR-def intro: Sup-least)

```

```

lemma INF-leI:  $i : A \implies (INF\ i:A.\ M\ i) \leq M\ i$ 
by (auto simp add: INFI-def intro: Inf-lower)

```

```

lemma le-INFI:  $(\bigwedge i. i : A \implies u \leq M\ i) \implies u \leq (INF\ i:A.\ M\ i)$ 
by (auto simp add: INFI-def intro: Inf-greatest)

```

```

lemma SUP-const[simp]:  $A \neq \{\} \implies (SUP\ i:A.\ M) = M$ 
by (auto intro: antisym SUP-leI le-SUPI)

```

```

lemma INF-const[simp]:  $A \neq \{\} \implies (INF\ i:A.\ M) = M$ 
by (auto intro: antisym INF-leI le-INFI)

```

```

end

```

## 5.12 Bool as complete lattice

```

instantiation bool :: complete-lattice
begin

```

```

definition
  Inf-bool-def:  $\bigcap A \longleftrightarrow (\forall x \in A. x)$ 

```

```

definition
  Sup-bool-def:  $\bigcup A \longleftrightarrow (\exists x \in A. x)$ 

```

```

instance
  by intro-classes (auto simp add: Inf-bool-def Sup-bool-def le-bool-def)

```

**end**

**lemma** *Inf-empty-bool* [*simp*]:  
 $\sqcap \{\}$   
**unfolding** *Inf-bool-def* **by** *auto*

**lemma** *not-Sup-empty-bool* [*simp*]:  
 $\neg \sqcup \{\}$   
**unfolding** *Sup-bool-def* **by** *auto*

### 5.13 Fun as complete lattice

**instantiation** *fun* :: (*type*, *complete-lattice*) *complete-lattice*  
**begin**

**definition**  
*Inf-fun-def* [*code del*]:  $\sqcap A = (\lambda x. \sqcap \{y. \exists f \in A. y = f x\})$

**definition**  
*Sup-fun-def* [*code del*]:  $\sqcup A = (\lambda x. \sqcup \{y. \exists f \in A. y = f x\})$

**instance**  
**by** *intro-classes*  
(*auto simp add: Inf-fun-def Sup-fun-def le-fun-def*  
*intro: Inf-lower Sup-upper Inf-greatest Sup-least*)

**end**

**lemma** *Inf-empty-fun*:  
 $\sqcap \{\} = (\lambda -. \sqcap \{\})$   
**by** *rule (auto simp add: Inf-fun-def)*

**lemma** *Sup-empty-fun*:  
 $\sqcup \{\} = (\lambda -. \sqcup \{\})$   
**by** *rule (auto simp add: Sup-fun-def)*

### 5.14 Set as lattice

**lemma** *inf-set-eq*:  $A \sqcap B = A \cap B$   
**apply** (*rule subset-antisym*)  
**apply** (*rule Int-greatest*)  
**apply** (*rule inf-le1*)  
**apply** (*rule inf-le2*)  
**apply** (*rule inf-greatest*)  
**apply** (*rule Int-lower1*)  
**apply** (*rule Int-lower2*)  
**done**

**lemma** *sup-set-eq*:  $A \sqcup B = A \cup B$

```

apply (rule subset-antisym)
apply (rule sup-least)
apply (rule Un-upper1)
apply (rule Un-upper2)
apply (rule Un-least)
apply (rule sup-ge1)
apply (rule sup-ge2)
done

lemma mono-Int:  $\text{mono } f \implies f (A \cap B) \subseteq f A \cap f B$ 
apply (fold inf-set-eq sup-set-eq)
apply (erule mono-inf)
done

lemma mono-Un:  $\text{mono } f \implies f A \cup f B \subseteq f (A \cup B)$ 
apply (fold inf-set-eq sup-set-eq)
apply (erule mono-sup)
done

lemma Inf-set-eq:  $\bigcap S = \bigcap S$ 
apply (rule subset-antisym)
apply (rule Inter-greatest)
apply (erule Inf-lower)
apply (rule Inf-greatest)
apply (erule Inter-lower)
done

lemma Sup-set-eq:  $\bigcup S = \bigcup S$ 
apply (rule subset-antisym)
apply (rule Sup-least)
apply (erule Union-upper)
apply (rule Union-least)
apply (erule Sup-upper)
done

lemma top-set-eq:  $\text{top} = \text{UNIV}$ 
by (iprover intro!: subset-antisym subset-UNIV top-greatest)

lemma bot-set-eq:  $\text{bot} = \{\}$ 
by (iprover intro!: subset-antisym empty-subsetI bot-least)

no-notation
  less-eq (infix  $\sqsubseteq$  50) and
  less (infix  $\sqsubset$  50) and
  inf (infixl  $\sqcap$  70) and
  sup (infixl  $\sqcup$  65) and
  Inf (infix  $\bigcap$  - [900] 900) and
  Sup (infix  $\bigcup$  - [900] 900)

```

### 5.15 Misc theorem and ML bindings

**lemmas** *equalityI* = *subset-antisym*

**lemmas** *mem-simps* =

*insert-iff empty-iff Un-iff Int-iff Compl-iff Diff-iff*  
*mem-Collect-eq UN-iff Union-iff INT-iff Inter-iff*

— Each of these has ALREADY been added [*simp*] above.

**ML**  $\langle\langle$

*val Ball-def* = @{*thm Ball-def*}  
*val Bex-def* = @{*thm Bex-def*}  
*val CollectD* = @{*thm CollectD*}  
*val CollectE* = @{*thm CollectE*}  
*val CollectI* = @{*thm CollectI*}  
*val Collect-conj-eq* = @{*thm Collect-conj-eq*}  
*val Collect-mem-eq* = @{*thm Collect-mem-eq*}  
*val IntD1* = @{*thm IntD1*}  
*val IntD2* = @{*thm IntD2*}  
*val IntE* = @{*thm IntE*}  
*val IntI* = @{*thm IntI*}  
*val Int-Collect* = @{*thm Int-Collect*}  
*val UNIV-I* = @{*thm UNIV-I*}  
*val UNIV-witness* = @{*thm UNIV-witness*}  
*val UnE* = @{*thm UnE*}  
*val UnI1* = @{*thm UnI1*}  
*val UnI2* = @{*thm UnI2*}  
*val ballE* = @{*thm ballE*}  
*val ballI* = @{*thm ballI*}  
*val bexCI* = @{*thm bexCI*}  
*val bexE* = @{*thm bexE*}  
*val bexI* = @{*thm bexI*}  
*val bex-triv* = @{*thm bex-triv*}  
*val bspec* = @{*thm bspec*}  
*val contra-subsetD* = @{*thm contra-subsetD*}  
*val distinct-lemma* = @{*thm distinct-lemma*}  
*val eq-to-mono* = @{*thm eq-to-mono*}  
*val eq-to-mono2* = @{*thm eq-to-mono2*}  
*val equalityCE* = @{*thm equalityCE*}  
*val equalityD1* = @{*thm equalityD1*}  
*val equalityD2* = @{*thm equalityD2*}  
*val equalityE* = @{*thm equalityE*}  
*val equalityI* = @{*thm equalityI*}  
*val imageE* = @{*thm imageE*}  
*val imageI* = @{*thm imageI*}  
*val image-Un* = @{*thm image-Un*}  
*val image-insert* = @{*thm image-insert*}  
*val insert-commute* = @{*thm insert-commute*}  
*val insert-iff* = @{*thm insert-iff*}  
*val mem-Collect-eq* = @{*thm mem-Collect-eq*}  
*val rangeE* = @{*thm rangeE*}

```

val rangeI = @{thm rangeI}
val range-eqI = @{thm range-eqI}
val subsetCE = @{thm subsetCE}
val subsetD = @{thm subsetD}
val subsetI = @{thm subsetI}
val subset-refl = @{thm subset-refl}
val subset-trans = @{thm subset-trans}
val vimageD = @{thm vimageD}
val vimageE = @{thm vimageE}
val vimageI = @{thm vimageI}
val vimageI2 = @{thm vimageI2}
val vimage-Collect = @{thm vimage-Collect}
val vimage-Int = @{thm vimage-Int}
val vimage-Un = @{thm vimage-Un}
>>

end

```

## 6 Typedef: HOL type definitions

```

theory Typedef
imports Set
uses
  (Tools/typedef-package.ML)
  (Tools/typecopy-package.ML)
  (Tools/typedef-codegen.ML)
begin

ML <<
  structure HOL = struct val thy = theory HOL end;
  >> — belongs to theory HOL

locale type-definition =
  fixes Rep and Abs and A
  assumes Rep: Rep x ∈ A
    and Rep-inverse: Abs (Rep x) = x
    and Abs-inverse: y ∈ A ==> Rep (Abs y) = y
  — This will be axiomatized for each typedef!
begin

lemma Rep-inject:
  (Rep x = Rep y) = (x = y)
proof
  assume Rep x = Rep y
  then have Abs (Rep x) = Abs (Rep y) by (simp only:)
  moreover have Abs (Rep x) = x by (rule Rep-inverse)
  moreover have Abs (Rep y) = y by (rule Rep-inverse)
  ultimately show x = y by simp

```

```

next
  assume  $x = y$ 
  thus  $Rep\ x = Rep\ y$  by (simp only:)
qed

lemma Abs-inject:
  assumes  $x: x \in A$  and  $y: y \in A$ 
  shows  $(Abs\ x = Abs\ y) = (x = y)$ 
proof
  assume  $Abs\ x = Abs\ y$ 
  then have  $Rep\ (Abs\ x) = Rep\ (Abs\ y)$  by (simp only:)
  moreover from  $x$  have  $Rep\ (Abs\ x) = x$  by (rule Abs-inverse)
  moreover from  $y$  have  $Rep\ (Abs\ y) = y$  by (rule Abs-inverse)
  ultimately show  $x = y$  by simp
next
  assume  $x = y$ 
  thus  $Abs\ x = Abs\ y$  by (simp only:)
qed

lemma Rep-cases [cases set]:
  assumes  $y: y \in A$ 
  and hyp:  $!!x. y = Rep\ x ==> P$ 
  shows  $P$ 
proof (rule hyp)
  from  $y$  have  $Rep\ (Abs\ y) = y$  by (rule Abs-inverse)
  thus  $y = Rep\ (Abs\ y) ..$ 
qed

lemma Abs-cases [cases type]:
  assumes  $r: !!y. x = Abs\ y ==> y \in A ==> P$ 
  shows  $P$ 
proof (rule r)
  have  $Abs\ (Rep\ x) = x$  by (rule Rep-inverse)
  thus  $x = Abs\ (Rep\ x) ..$ 
  show  $Rep\ x \in A$  by (rule Rep)
qed

lemma Rep-induct [induct set]:
  assumes  $y: y \in A$ 
  and hyp:  $!!x. P\ (Rep\ x)$ 
  shows  $P\ y$ 
proof -
  have  $P\ (Rep\ (Abs\ y))$  by (rule hyp)
  moreover from  $y$  have  $Rep\ (Abs\ y) = y$  by (rule Abs-inverse)
  ultimately show  $P\ y$  by simp
qed

lemma Abs-induct [induct type]:
  assumes  $r: !!y. y \in A ==> P\ (Abs\ y)$ 

```

```

  shows  $P\ x$ 
proof -
  have  $Rep\ x \in A$  by (rule Rep)
  then have  $P\ (Abs\ (Rep\ x))$  by (rule r)
  moreover have  $Abs\ (Rep\ x) = x$  by (rule Rep-inverse)
  ultimately show  $P\ x$  by simp
qed

lemma Rep-range: range Rep = A
proof
  show range Rep  $\leq$  A using Rep by (auto simp add: image-def)
  show A  $\leq$  range Rep
  proof
    fix x assume  $x : A$ 
    hence  $x = Rep\ (Abs\ x)$  by (rule Abs-inverse [symmetric])
    thus  $x : range\ Rep$  by (rule range-eqI)
  qed
qed

lemma Abs-image: Abs ‘ A = UNIV
proof
  show Abs ‘ A  $\leq$  UNIV by (rule subset-UNIV)
next
  show UNIV  $\leq$  Abs ‘ A
  proof
    fix x
    have  $x = Abs\ (Rep\ x)$  by (rule Rep-inverse [symmetric])
    moreover have  $Rep\ x : A$  by (rule Rep)
    ultimately show  $x : Abs\ ‘\ A$  by (rule image-eqI)
  qed
qed

end

use Tools/typedef-package.ML setup TypedefPackage.setup
use Tools/typecopy-package.ML setup TypecopyPackage.setup
use Tools/typedef-codegen.ML setup TypedefCodegen.setup

end

```

## 7 Fun: Notions about functions

```

theory Fun
imports Set
begin

```

As a simplification rule, it replaces all function equalities by first-order equalities.



```

lemma expand-fun-eq:  $f = g \longleftrightarrow (\forall x. f\ x = g\ x)$ 
apply (rule iffI)
apply (simp (no-asm-simp))
apply (rule ext)
apply (simp (no-asm-simp))
done

```

```

lemma apply-inverse:
 $f\ x = u \implies (\bigwedge x. P\ x \implies g\ (f\ x) = x) \implies P\ x \implies x = g\ u$ 
by auto

```

## 7.1 The Identity Function $id$

```

definition
 $id :: 'a \Rightarrow 'a$ 
where
 $id = (\lambda x. x)$ 

```

```

lemma id-apply [simp]:  $id\ x = x$ 
by (simp add: id-def)

```

```

lemma image-ident [simp]:  $(\%x. x) \text{ ` } Y = Y$ 
by blast

```

```

lemma image-id [simp]:  $id \text{ ` } Y = Y$ 
by (simp add: id-def)

```

```

lemma vimage-ident [simp]:  $(\%x. x) \text{ -` } Y = Y$ 
by blast

```

```

lemma vimage-id [simp]:  $id \text{ -` } A = A$ 
by (simp add: id-def)

```

## 7.2 The Composition Operator $f \circ g$

```

definition
 $comp :: ('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c$  (infixl o 55)
where
 $f \circ g = (\lambda x. f\ (g\ x))$ 

```

```

notation (xsymbols)
 $comp$  (infixl o 55)

```

```

notation (HTML output)
 $comp$  (infixl o 55)

```

compatibility

**lemmas**  $o\text{-def} = comp\text{-def}$

**lemma** *o-apply* [*simp*]:  $(f \circ g) x = f (g x)$   
**by** (*simp add: comp-def*)

**lemma** *o-assoc*:  $f \circ (g \circ h) = f \circ g \circ h$   
**by** (*simp add: comp-def*)

**lemma** *id-o* [*simp*]:  $id \circ g = g$   
**by** (*simp add: comp-def*)

**lemma** *o-id* [*simp*]:  $f \circ id = f$   
**by** (*simp add: comp-def*)

**lemma** *image-compose*:  $(f \circ g)^{\circ} r = f^{\circ}(g^{\circ} r)$   
**by** (*simp add: comp-def, blast*)

**lemma** *UN-o*:  $UNION A (g \circ f) = UNION (f^{\circ} A) g$   
**by** (*unfold comp-def, blast*)

### 7.3 The Forward Composition Operator *fcomp*

**definition**

*fcomp* ::  $('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'c$  (**infixl** *o>* 60)  
**where**  
 $f \circ> g = (\lambda x. g (f x))$

**lemma** *fcomp-apply*:  $(f \circ> g) x = g (f x)$   
**by** (*simp add: fcomp-def*)

**lemma** *fcomp-assoc*:  $(f \circ> g) \circ> h = f \circ> (g \circ> h)$   
**by** (*simp add: fcomp-def*)

**lemma** *id-fcomp* [*simp*]:  $id \circ> g = g$   
**by** (*simp add: fcomp-def*)

**lemma** *fcomp-id* [*simp*]:  $f \circ> id = f$   
**by** (*simp add: fcomp-def*)

**no-notation** *fcomp* (**infixl** *o>* 60)

### 7.4 Injectivity and Surjectivity

**constdefs**

*inj-on* ::  $['a \Rightarrow 'b, 'a \text{ set}] \Rightarrow bool$  — injective  
*inj-on* *f* *A* == ! *x*:*A*. ! *y*:*A*. *f*(*x*)=*f*(*y*)  $\longrightarrow x=y$

A common special case: functions injective over the entire domain type.

**abbreviation**

*inj* *f* == *inj-on* *f* *UNIV*

**definition**

$bij\_betw :: ('a \Rightarrow 'b) \Rightarrow 'a\ set \Rightarrow 'b\ set \Rightarrow bool$  **where** — bijective  
 $[code\ del]:\ bij\_betw\ f\ A\ B \iff inj\_on\ f\ A \ \&\ f\ 'A = B$

**consts**

$surj :: ('a \Rightarrow 'b) \Rightarrow bool$   
 $surj\ f == !\ y.\ \exists\ x.\ y=f(x)$

$bij :: ('a \Rightarrow 'b) \Rightarrow bool$   
 $bij\ f == inj\ f \ \&\ surj\ f$

**lemma** *injI*:

**assumes**  $\bigwedge x\ y.\ f\ x = f\ y \implies x = y$   
**shows**  $inj\ f$   
**using** *assms* **unfolding** *inj-on-def* **by** *auto*

For Proofs in *Tools/datatype-rep-proofs*

**lemma** *datatype-injI*:

$(!!\ x.\ ALL\ y.\ f(x) = f(y) \implies x=y) \implies inj(f)$   
**by** (*simp add: inj-on-def*)

**theorem** *range-ex1-eq*:  $inj\ f \implies b : range\ f = (EX!\ x.\ b = f\ x)$ 

**by** (*unfold inj-on-def, blast*)

**lemma** *injD*:  $[| inj(f); f(x) = f(y) |] \implies x=y$ 

**by** (*simp add: inj-on-def*)

**lemma** *inj-eq*:  $inj(f) \implies (f(x) = f(y)) = (x=y)$ 

**by** (*force simp add: inj-on-def*)

**lemma** *inj-on-id[*simp*]*:  $inj\_on\ id\ A$ 

**by** (*simp add: inj-on-def*)

**lemma** *inj-on-id2[*simp*]*:  $inj\_on\ (\%x.\ x)\ A$ 

**by** (*simp add: inj-on-def*)

**lemma** *surj-id[*simp*]*:  $surj\ id$ 

**by** (*simp add: surj-def*)

**lemma** *bij-id[*simp*]*:  $bij\ id$ 

**by** (*simp add: bij-def inj-on-id surj-id*)

**lemma** *inj-onI*:

$(!!\ x\ y.\ [| x:A;\ y:A;\ f(x) = f(y) |] \implies x=y) \implies inj\_on\ f\ A$   
**by** (*simp add: inj-on-def*)

**lemma** *inj-on-inverseI*:  $(!!x.\ x:A \implies g(f(x)) = x) \implies inj\_on\ f\ A$ 

**by** (*auto dest: arg-cong [of concl: g] simp add: inj-on-def*)

**lemma** *inj-onD*:  $[[ \text{inj-on } f \ A; \ f(x)=f(y); \ x:A; \ y:A \ ]]$   $\implies x=y$   
**by** (*unfold inj-on-def, blast*)

**lemma** *inj-on-iff*:  $[[ \text{inj-on } f \ A; \ x:A; \ y:A \ ]]$   $\implies (f(x)=f(y)) = (x=y)$   
**by** (*blast dest!: inj-onD*)

**lemma** *comp-inj-on*:  
 $[[ \text{inj-on } f \ A; \ \text{inj-on } g \ (f'A) \ ]]$   $\implies \text{inj-on } (g \circ f) \ A$   
**by** (*simp add: comp-def inj-on-def*)

**lemma** *inj-on-imageI*:  $\text{inj-on } (g \circ f) \ A \implies \text{inj-on } g \ (f' A)$   
**apply**(*simp add: inj-on-def image-def*)  
**apply** *blast*  
**done**

**lemma** *inj-on-image-iff*:  $[[ \text{ALL } x:A. \ \text{ALL } y:A. \ (g(f \ x) = g(f \ y)) = (g \ x = g \ y); \ \text{inj-on } f \ A \ ]]$   $\implies \text{inj-on } g \ (f' A) = \text{inj-on } g \ A$   
**apply**(*unfold inj-on-def*)  
**apply** *blast*  
**done**

**lemma** *inj-on-contrad*:  $[[ \text{inj-on } f \ A; \ \sim x=y; \ x:A; \ y:A \ ]]$   $\implies \sim f(x)=f(y)$   
**by** (*unfold inj-on-def, blast*)

**lemma** *inj-singleton*:  $\text{inj } (\%s. \ \{s\})$   
**by** (*simp add: inj-on-def*)

**lemma** *inj-on-empty[iff]*:  $\text{inj-on } f \ \{\}$   
**by**(*simp add: inj-on-def*)

**lemma** *subset-inj-on*:  $[[ \text{inj-on } f \ B; \ A \leq B \ ]]$   $\implies \text{inj-on } f \ A$   
**by** (*unfold inj-on-def, blast*)

**lemma** *inj-on-Un*:  
 $\text{inj-on } f \ (A \cup B) =$   
 $(\text{inj-on } f \ A \ \& \ \text{inj-on } f \ B \ \& \ f'(A-B) \ \text{Int } f'(B-A) = \{\})$   
**apply**(*unfold inj-on-def*)  
**apply** (*blast intro:sym*)  
**done**

**lemma** *inj-on-insert[iff]*:  
 $\text{inj-on } f \ (\text{insert } a \ A) = (\text{inj-on } f \ A \ \& \ f \ a \ \sim: f'(A-\{a\}))$   
**apply**(*unfold inj-on-def*)  
**apply** (*blast intro:sym*)  
**done**

**lemma** *inj-on-diff*:  $\text{inj-on } f \ A \implies \text{inj-on } f \ (A-B)$   
**apply**(*unfold inj-on-def*)

**apply** (*blast*)  
**done**

**lemma** *surjI*: ( $\forall x. g(f\ x) = x$ )  $\implies$  *surj* *g*  
**apply** (*simp add: surj-def*)  
**apply** (*blast intro: sym*)  
**done**

**lemma** *surj-range*: *surj* *f*  $\implies$  *range* *f* = *UNIV*  
**by** (*auto simp add: surj-def*)

**lemma** *surjD*: *surj* *f*  $\implies$   $\exists x. y = f\ x$   
**by** (*simp add: surj-def*)

**lemma** *surjE*: *surj* *f*  $\implies$  ( $\forall x. y = f\ x \implies C$ )  $\implies$  *C*  
**by** (*simp add: surj-def, blast*)

**lemma** *comp-surj*: [*surj* *f*; *surj* *g*]  $\implies$  *surj* (*g* *o* *f*)  
**apply** (*simp add: comp-def surj-def, clarify*)  
**apply** (*drule-tac x = y in spec, clarify*)  
**apply** (*drule-tac x = x in spec, blast*)  
**done**

**lemma** *bijI*: [*inj* *f*; *surj* *f*]  $\implies$  *bij* *f*  
**by** (*simp add: bij-def*)

**lemma** *bij-is-inj*: *bij* *f*  $\implies$  *inj* *f*  
**by** (*simp add: bij-def*)

**lemma** *bij-is-surj*: *bij* *f*  $\implies$  *surj* *f*  
**by** (*simp add: bij-def*)

**lemma** *bij-betw-imp-inj-on*: *bij-betw* *f* *A* *B*  $\implies$  *inj-on* *f* *A*  
**by** (*simp add: bij-betw-def*)

**lemma** *bij-betw-inv*: **assumes** *bij-betw* *f* *A* *B* **shows**  $\exists g. \text{bij-betw } g\ B\ A$   
**proof** –

**have** *i*: *inj-on* *f* *A* **and** *s*: *f* ‘ *A* = *B*  
    **using** *assms* **by** (*auto simp: bij-betw-def*)  
  **let**  $?P = \%b\ a. a:A \wedge f\ a = b$  **let**  $?g = \%b. \text{The } (?P\ b)$   
  { **fix** *a* *b* **assume** *P*:  $?P\ b\ a$   
    **hence** *ex1*:  $\exists a. ?P\ b\ a$  **using** *s* **unfolding** *image-def* **by** *blast*  
    **hence** *uex1*:  $\exists! a. ?P\ b\ a$  **by** (*blast dest: inj-onD[OF i]*)  
    **hence**  $?g\ b = a$  **using** *the1-equality*[*OF uex1, OF P*] *P* **by** *simp*  
  } **note** *g* = *this*  
  **have** *inj-on*  $?g\ B$   
  **proof** (*rule inj-onI*)  
    **fix** *x* *y* **assume** *x*:*B* *y*:*B*  $?g\ x = ?g\ y$   
    **from** *s*  $\langle x:B \rangle$  **obtain** *a1* **where** *a1*:  $?P\ x\ a1$  **unfolding** *image-def* **by** *blast*

```

    from  $s \langle y:B \rangle$  obtain  $a2$  where  $a2: ?P \ y \ a2$  unfolding image-def by blast
    from  $g[OF \ a1] \ a1 \ g[OF \ a2] \ a2 \ \langle ?g \ x = ?g \ y \rangle$  show  $x=y$  by simp
qed
moreover have  $?g \ ` \ B = A$ 
proof(auto simp: image-def)
  fix  $b$  assume  $b:B$ 
  with  $s$  obtain  $a$  where  $P: ?P \ b \ a$  unfolding image-def by blast
  thus  $?g \ b \in A$  using  $g[OF \ P]$  by auto
next
  fix  $a$  assume  $a:A$ 
  then obtain  $b$  where  $P: ?P \ b \ a$  using  $s$  unfolding image-def by blast
  then have  $b:B$  using  $s$  unfolding image-def by blast
  with  $g[OF \ P]$  show  $\exists b \in B. \ a = ?g \ b$  by blast
qed
ultimately show ?thesis by(auto simp: bij-betw-def)
qed

```

```

lemma surj-image-vimage-eq:  $\text{surj } f \implies f \ ` \ (f \ - \ A) = A$ 
by (simp add: surj-range)

```

```

lemma inj-vimage-image-eq:  $\text{inj } f \implies f \ - \ (f \ ` \ A) = A$ 
by (simp add: inj-on-def, blast)

```

```

lemma vimage-subsetD:  $\text{surj } f \implies f \ - \ B \leq A \implies B \leq f \ ` \ A$ 
apply (unfold surj-def)
apply (blast intro: sym)
done

```

```

lemma vimage-subsetI:  $\text{inj } f \implies B \leq f \ ` \ A \implies f \ - \ B \leq A$ 
by (unfold inj-on-def, blast)

```

```

lemma vimage-subset-eq:  $\text{bij } f \implies (f \ - \ B \leq A) = (B \leq f \ ` \ A)$ 
apply (unfold bij-def)
apply (blast del: subsetI intro: vimage-subsetI vimage-subsetD)
done

```

```

lemma inj-on-image-Int:
   $[\text{inj-on } f \ C; \ A \leq C; \ B \leq C] \implies f \ (A \ \text{Int } B) = f \ A \ \text{Int } f \ B$ 
apply (simp add: inj-on-def, blast)
done

```

```

lemma inj-on-image-set-diff:
   $[\text{inj-on } f \ C; \ A \leq C; \ B \leq C] \implies f \ (A - B) = f \ A - f \ B$ 
apply (simp add: inj-on-def, blast)
done

```

```

lemma image-Int:  $\text{inj } f \implies f \ (A \ \text{Int } B) = f \ A \ \text{Int } f \ B$ 
by (simp add: inj-on-def, blast)

```

**lemma** *image-set-diff*:  $\text{inj } f \implies f'(A-B) = f'A - f'B$   
**by** (*simp add: inj-on-def, blast*)

**lemma** *inj-image-mem-iff*:  $\text{inj } f \implies (f \ a : f'A) = (a : A)$   
**by** (*blast dest: injD*)

**lemma** *inj-image-subset-iff*:  $\text{inj } f \implies (f'A \leq f'B) = (A \leq B)$   
**by** (*simp add: inj-on-def, blast*)

**lemma** *inj-image-eq-iff*:  $\text{inj } f \implies (f'A = f'B) = (A = B)$   
**by** (*blast dest: injD*)

**lemma** *image-INT*:  

$$[\text{inj-on } f \ C; \ \text{ALL } x:A. \ B \ x \leq C; \ j:A] \implies f' \ (INTER \ A \ B) = (INT \ x:A. \ f' \ B \ x)$$
  
**apply** (*simp add: inj-on-def, blast*)  
**done**

**lemma** *bij-image-INT*:  $\text{bij } f \implies f' \ (INTER \ A \ B) = (INT \ x:A. \ f' \ B \ x)$   
**apply** (*simp add: bij-def*)  
**apply** (*simp add: inj-on-def surj-def, blast*)  
**done**

**lemma** *surj-Compl-image-subset*:  $\text{surj } f \implies \neg(f'A) \leq f'(\neg A)$   
**by** (*auto simp add: surj-def*)

**lemma** *inj-image-Compl-subset*:  $\text{inj } f \implies f'(\neg A) \leq \neg(f'A)$   
**by** (*auto simp add: inj-on-def*)

**lemma** *bij-image-Compl-eq*:  $\text{bij } f \implies f'(\neg A) = \neg(f'A)$   
**apply** (*simp add: bij-def*)  
**apply** (*rule equalityI*)  
**apply** (*simp-all (no-asm-simp) add: inj-image-Compl-subset surj-Compl-image-subset*)  
**done**

## 7.5 Function Updating

**constdefs**  

$$\text{fun-upd} :: ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b)$$

$$\text{fun-upd } f \ a \ b == \% x. \ \text{if } x=a \ \text{then } b \ \text{else } f \ x$$

**nonterminals**  
 $\text{updbinds upbind}$

**syntax**  

$$\text{-upbind} :: ['a, 'a] \Rightarrow \text{upbind} \quad ((2- := / -))$$

$$:: \text{upbind} \Rightarrow \text{updbinds} \quad (-)$$

$$\text{-updbinds} :: [\text{upbind}, \text{updbinds}] \Rightarrow \text{updbinds} \quad (-, / -)$$

$-Update :: [a, updbinds] \Rightarrow a \quad (-/'((-)') [1000,0] 900)$

#### translations

$-Update f (-updbinds b bs) == -Update (-Update f b) bs$   
 $f(x:=y) == fun-upd f x y$

**lemma** *fun-upd-idem-iff*:  $(f(x:=y) = f) = (f x = y)$

**apply** (*simp add: fun-upd-def, safe*)

**apply** (*erule subst*)

**apply** (*rule-tac [2] ext, auto*)

**done**

**lemmas** *fun-upd-idem = fun-upd-idem-iff [THEN iffD2, standard]*

**lemmas** *fun-upd-triv = refl [THEN fun-upd-idem]*

**declare** *fun-upd-triv [iff]*

**lemma** *fun-upd-apply [simp]*:  $(f(x:=y))z = (if z=x then y else f z)$

**by** (*simp add: fun-upd-def*)

**lemma** *fun-upd-same*:  $(f(x:=y)) x = y$

**by** *simp*

**lemma** *fun-upd-other*:  $z \sim x \Rightarrow (f(x:=y)) z = f z$

**by** *simp*

**lemma** *fun-upd-upd [simp]*:  $f(x:=y, x:=z) = f(x:=z)$

**by** (*simp add: expand-fun-eq*)

**lemma** *fun-upd-twist*:  $a \sim c \Rightarrow (m(a:=b))(c:=d) = (m(c:=d))(a:=b)$

**by** (*rule ext, auto*)

**lemma** *inj-on-fun-updI*:  $\llbracket inj-on f A; y \notin f'A \rrbracket \Longrightarrow inj-on (f(x:=y)) A$

**by** (*fastsimp simp: inj-on-def image-def*)

**lemma** *fun-upd-image*:

$f(x:=y) \text{ ` } A = (if x \in A then insert y (f \text{ ` } (A - \{x\})) else f \text{ ` } A)$

**by** *auto*

## 7.6 override-on

### definition

*override-on* ::  $(a \Rightarrow b) \Rightarrow (a \Rightarrow b) \Rightarrow a \text{ set} \Rightarrow a \Rightarrow b$

**where**



$\text{override-on } f \ g \ A = (\lambda a. \text{ if } a \in A \text{ then } g \ a \text{ else } f \ a)$

**lemma** *override-on-emptyset*[simp]:  $\text{override-on } f \ g \ \{\} = f$   
**by** (simp add: override-on-def)

**lemma** *override-on-apply-notin*[simp]:  $a \sim: A \implies (\text{override-on } f \ g \ A) \ a = f \ a$   
**by** (simp add: override-on-def)

**lemma** *override-on-apply-in*[simp]:  $a : A \implies (\text{override-on } f \ g \ A) \ a = g \ a$   
**by** (simp add: override-on-def)

## 7.7 swap

**definition**

$\text{swap} :: 'a \Rightarrow 'a \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$

**where**

$\text{swap } a \ b \ f = f \ (a := f \ b, b := f \ a)$

**lemma** *swap-self*:  $\text{swap } a \ a \ f = f$   
**by** (simp add: swap-def)

**lemma** *swap-commute*:  $\text{swap } a \ b \ f = \text{swap } b \ a \ f$   
**by** (rule ext, simp add: fun-upd-def swap-def)

**lemma** *swap-nilpotent* [simp]:  $\text{swap } a \ b \ (\text{swap } a \ b \ f) = f$   
**by** (rule ext, simp add: fun-upd-def swap-def)

**lemma** *inj-on-imp-inj-on-swap*:

$[[\text{inj-on } f \ A; a \in A; b \in A]] \implies \text{inj-on } (\text{swap } a \ b \ f) \ A$

**by** (simp add: inj-on-def swap-def, blast)

**lemma** *inj-on-swap-iff* [simp]:

**assumes**  $A: a \in A \ b \in A$  **shows**  $\text{inj-on } (\text{swap } a \ b \ f) \ A = \text{inj-on } f \ A$

**proof**

**assume**  $\text{inj-on } (\text{swap } a \ b \ f) \ A$

**with**  $A$  **have**  $\text{inj-on } (\text{swap } a \ b \ (\text{swap } a \ b \ f)) \ A$

**by** (iprover intro: inj-on-imp-inj-on-swap)

**thus**  $\text{inj-on } f \ A$  **by** simp

**next**

**assume**  $\text{inj-on } f \ A$

**with**  $A$  **show**  $\text{inj-on } (\text{swap } a \ b \ f) \ A$  **by** (iprover intro: inj-on-imp-inj-on-swap)

**qed**

**lemma** *surj-imp-surj-swap*:  $\text{surj } f \implies \text{surj } (\text{swap } a \ b \ f)$

**apply** (simp add: surj-def swap-def, clarify)

**apply** (case-tac  $y = f \ b$ , blast)

**apply** (case-tac  $y = f \ a$ , auto)

**done**

```

lemma surj-swap-iff [simp]: surj (swap a b f) = surj f
proof
  assume surj (swap a b f)
  hence surj (swap a b (swap a b f)) by (rule surj-imp-surj-swap)
  thus surj f by simp
next
  assume surj f
  thus surj (swap a b f) by (rule surj-imp-surj-swap)
qed

lemma bij-swap-iff: bij (swap a b f) = bij f
by (simp add: bij-def)

hide (open) const swap

```

## 7.8 Proof tool setup

```

simplifies terms of the form  $f(\dots, x := y, \dots, x := z, \dots)$  to  $f(\dots, x := z, \dots)$ 

simproc-setup fun-upd2 (f (v := w, x := y)) =  $\llcorner$  fn - =>
let
  fun gen-fun-upd NONE T - - = NONE
  | gen-fun-upd (SOME f) T x y = SOME (Const (@{const-name fun-upd}, T)
    $ f $ x $ y)
  fun dest-fun-T1 (Type (-, T :: Ts)) = T
  fun find-double (t as Const (@{const-name fun-upd}, T) $ f $ x $ y) =
    let
      fun find (Const (@{const-name fun-upd}, T) $ g $ v $ w) =
        if v aconv x then SOME g else gen-fun-upd (find g) T v w
        | find t = NONE
      in (dest-fun-T1 T, gen-fun-upd (find f) T x y) end

  fun proc ss ct =
    let
      val ctxt = Simplifier.the-context ss
      val t = Thm.term-of ct
    in
      case find-double t of
        (T, NONE) => NONE
      | (T, SOME rhs) =>
        SOME (Goal.prove ctxt [] [] (Logic.mk-equals (t, rhs))
          (fn - =>
            rtac eq-reflection 1 THEN
            rtac ext 1 THEN
            simp-tac (Simplifier.inherit-context ss @{simpset} 1))
          )
    end
in proc end
>>

```

## 7.9 Code generator setup

### types-code

```

  fun ((- --> / -))
attach (term-of) ⟨⟨
  fun term-of-fun-type - aT - bT - = Free (<function>, aT --> bT);
  ⟩⟩
attach (test) ⟨⟨
  fun gen-fun-type aF aT bG bT i =
    let
      val tab = ref [];
      fun mk-upd (x, (-, y)) t = Const (Fun.fun-upd,
        (aT --> bT) --> aT --> bT --> aT --> bT) $ t $ aF x $ y ()
    in
      (fn x =>
        case AList.lookup op = (!tab) x of
          NONE =>
            let val p as (y, -) = bG i
            in (tab := (x, p) :: !tab; y) end
          | SOME (y, -) => y,
        fn () => Basics.fold mk-upd (!tab) (Const (HOL.undefined, aT --> bT)))
      end;
    ⟩⟩

```

```

code-const op ◦
  (SML infixl 5 o)
  (Haskell infixr 9 .)

```

```

code-const id
  (Haskell id)

```

```

end

```

## 8 Sum-Type: The Disjoint Sum of Two Types

```

theory Sum-Type
imports Typedef Fun
begin

```

The representations of the two injections

```

constdefs
  Inl-Rep :: ['a, 'a, 'b, bool] => bool
  Inl-Rep == (%a. %x y p. x=a & p)

  Inr-Rep :: ['b, 'a, 'b, bool] => bool
  Inr-Rep == (%b. %x y p. y=b & ~p)

```

**global**

```
typedef (Sum)
  ('a, 'b) + (infixr + 10)
  = {f. (? a. f = Inl-Rep(a::'a)) | (? b. f = Inr-Rep(b::'b))}
by auto
```

**local**

abstract constants and syntax

**constdefs**

```
Inl :: 'a => 'a + 'b
Inl == (%a. Abs-Sum(Inl-Rep(a)))
```

```
Inr :: 'b => 'a + 'b
Inr == (%b. Abs-Sum(Inr-Rep(b)))
```

```
Plus :: ['a set, 'b set] => ('a + 'b) set      (infixr <+> 65)
A <+> B == (Inl'A) Un (Inr'B)
— disjoint sum for sets; the operator + is overloaded with wrong type!
```

```
Part :: ['a set, 'b => 'a] => 'a set
Part A h == A Int {x. ? z. x = h(z)}
— for selecting out the components of a mutually recursive definition
```

```
lemma Inl-RepI: Inl-Rep(a) : Sum
by (auto simp add: Sum-def)
```

```
lemma Inr-RepI: Inr-Rep(b) : Sum
by (auto simp add: Sum-def)
```

```
lemma inj-on-Abs-Sum: inj-on Abs-Sum Sum
apply (rule inj-on-inverseI)
apply (erule Abs-Sum-inverse)
done
```

## 8.1 Freeness Properties for *Inl* and *Inr*

Distinctness

```
lemma Inl-Rep-not-Inr-Rep: Inl-Rep(a) ~ = Inr-Rep(b)
by (auto simp add: Inl-Rep-def Inr-Rep-def expand-fun-eq)
```

```
lemma Inl-not-Inr [iff]: Inl(a) ~ = Inr(b)
apply (simp add: Inl-def Inr-def)
```

```

apply (rule inj-on-Abs-Sum [THEN inj-on-contrad])
apply (rule Inl-Rep-not-Inr-Rep)
apply (rule Inl-RepI)
apply (rule Inr-RepI)
done

```

```

lemmas Inr-not-Inl = Inl-not-Inr [THEN not-sym, standard]
declare Inr-not-Inl [iff]

```

```

lemmas Inl-neq-Inr = Inl-not-Inr [THEN notE, standard]
lemmas Inr-neq-Inl = sym [THEN Inl-neq-Inr, standard]

```

Injectiveness

```

lemma Inl-Rep-inject: Inl-Rep(a) = Inl-Rep(c) ==> a=c
by (auto simp add: Inl-Rep-def expand-fun-eq)

```

```

lemma Inr-Rep-inject: Inr-Rep(b) = Inr-Rep(d) ==> b=d
by (auto simp add: Inr-Rep-def expand-fun-eq)

```

```

lemma inj-Inl [simp]: inj-on Inl A
apply (simp add: Inl-def)
apply (rule inj-onI)
apply (erule inj-on-Abs-Sum [THEN inj-onD, THEN Inl-Rep-inject])
apply (rule Inl-RepI)
apply (rule Inl-RepI)
done

```

```

lemmas Inl-inject = inj-Inl [THEN injD, standard]

```

```

lemma inj-Inr [simp]: inj-on Inr A
apply (simp add: Inr-def)
apply (rule inj-onI)
apply (erule inj-on-Abs-Sum [THEN inj-onD, THEN Inr-Rep-inject])
apply (rule Inr-RepI)
apply (rule Inr-RepI)
done

```

```

lemmas Inr-inject = inj-Inr [THEN injD, standard]

```

```

lemma Inl-eq [iff]: (Inl(x)=Inl(y)) = (x=y)
by (blast dest!: Inl-inject)

```

```

lemma Inr-eq [iff]: (Inr(x)=Inr(y)) = (x=y)
by (blast dest!: Inr-inject)

```

## 8.2 The Disjoint Sum of Sets

```

lemma InlI [intro!]: a : A ==> Inl(a) : A <+> B
by (simp add: Plus-def)

```

**lemma** *InrI* [*intro!*]:  $b : B \implies \text{Inr}(b) : A <+> B$   
**by** (*simp add: Plus-def*)

**lemma** *PlusE* [*elim!*]:  

$$\begin{aligned} & [| u : A <+> B; \\ & \quad !!x. [| x:A; u=\text{Inl}(x) |] \implies P; \\ & \quad !!y. [| y:B; u=\text{Inr}(y) |] \implies P \\ & |] \implies P \end{aligned}$$
  
**by** (*auto simp add: Plus-def*)

Exhaustion rule for sums, a degenerate form of induction

**lemma** *sumE*:  

$$\begin{aligned} & [| !!x::'a. s = \text{Inl}(x) \implies P; !!y::'b. s = \text{Inr}(y) \implies P \\ & |] \implies P \end{aligned}$$
  
**apply** (*rule Abs-Sum-cases [of s]*)  
**apply** (*auto simp add: Sum-def Inl-def Inr-def*)  
**done**

**lemma** *UNIV-Plus-UNIV* [*simp*]:  $\text{UNIV} <+> \text{UNIV} = \text{UNIV}$   
**apply** (*rule set-ext*)  
**apply** (*rename-tac s*)  
**apply** (*rule-tac s=s in sumE*)  
**apply** *auto*  
**done**

### 8.3 The Part Primitive

**lemma** *Part-eqI* [*intro*]:  $[| a : A; a=h(b) |] \implies a : \text{Part } A \ h$   
**by** (*auto simp add: Part-def*)

**lemmas** *PartI* = *Part-eqI* [*OF - refl, standard*]

**lemma** *PartE* [*elim!*]:  $[| a : \text{Part } A \ h; !!z. [| a : A; a=h(z) |] \implies P |] \implies P$   
**by** (*auto simp add: Part-def*)

**lemma** *Part-subset*:  $\text{Part } A \ h \leq A$   
**by** (*auto simp add: Part-def*)

**lemma** *Part-mono*:  $A \leq B \implies \text{Part } A \ h \leq \text{Part } B \ h$   
**by** *blast*

**lemmas** *basic-monos* = *basic-monos Part-mono*

**lemma** *PartD1*:  $a : \text{Part } A \ h \implies a : A$

**by** (*simp add: Part-def*)

**lemma** *Part-id*:  $\text{Part } A \ (\%x. x) = A$   
**by** *blast*

**lemma** *Part-Int*:  $\text{Part } (A \text{ Int } B) \ h = (\text{Part } A \ h) \text{ Int } (\text{Part } B \ h)$   
**by** *blast*

**lemma** *Part-Collect*:  $\text{Part } (A \text{ Int } \{x. P \ x\}) \ h = (\text{Part } A \ h) \text{ Int } \{x. P \ x\}$   
**by** *blast*

**ML**

```

⟨⟨
  val Inl-RepI = thm Inl-RepI;
  val Inr-RepI = thm Inr-RepI;
  val inj-on-Abs-Sum = thm inj-on-Abs-Sum;
  val Inl-Rep-not-Inr-Rep = thm Inl-Rep-not-Inr-Rep;
  val Inl-not-Inr = thm Inl-not-Inr;
  val Inr-not-Inl = thm Inr-not-Inl;
  val Inl-neq-Inr = thm Inl-neq-Inr;
  val Inr-neq-Inl = thm Inr-neq-Inl;
  val Inl-Rep-inject = thm Inl-Rep-inject;
  val Inr-Rep-inject = thm Inr-Rep-inject;
  val inj-Inl = thm inj-Inl;
  val Inl-inject = thm Inl-inject;
  val inj-Inr = thm inj-Inr;
  val Inr-inject = thm Inr-inject;
  val Inl-eq = thm Inl-eq;
  val Inr-eq = thm Inr-eq;
  val InlI = thm InlI;
  val InrI = thm InrI;
  val PlusE = thm PlusE;
  val sumE = thm sumE;
  val Part-eqI = thm Part-eqI;
  val PartI = thm PartI;
  val PartE = thm PartE;
  val Part-subset = thm Part-subset;
  val Part-mono = thm Part-mono;
  val PartD1 = thm PartD1;
  val Part-id = thm Part-id;
  val Part-Int = thm Part-Int;
  val Part-Collect = thm Part-Collect;

  val basic-monos = thms basic-monos;
  ⟩⟩

```

**end**

## 9 Inductive: Knaster-Tarski Fixpoint Theorem and inductive definitions

```

theory Inductive
imports Lattices Sum-Type
uses
  (Tools/inductive-package.ML)
  Tools/dseq.ML
  (Tools/inductive-codegen.ML)
  (Tools/datatype-aux.ML)
  (Tools/datatype-prop.ML)
  (Tools/datatype-rep-proofs.ML)
  (Tools/datatype-abs-proofs.ML)
  (Tools/datatype-case.ML)
  (Tools/datatype-package.ML)
  (Tools/old-primrec-package.ML)
  (Tools/primrec-package.ML)
  (Tools/datatype-codegen.ML)
begin

```

### 9.1 Least and greatest fixed points

```

context complete-lattice
begin

```

```

definition
  lfp :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a where
  lfp f = Inf {u. f u  $\leq$  u} — least fixed point

```

```

definition
  gfp :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a where
  gfp f = Sup {u. u  $\leq$  f u} — greatest fixed point

```

### 9.2 Proof of Knaster-Tarski Theorem using *lfp*

*lfp f* is the least upper bound of the set  $\{u. f u \leq u\}$

```

lemma lfp-lowerbound: f A  $\leq$  A  $\implies$  lfp f  $\leq$  A
  by (auto simp add: lfp-def intro: Inf-lower)

```

```

lemma lfp-greatest: (!u. f u  $\leq$  u  $\implies$  A  $\leq$  u)  $\implies$  A  $\leq$  lfp f
  by (auto simp add: lfp-def intro: Inf-greatest)

```

**end**

```

lemma lfp-lemma2: mono f  $\implies$  f (lfp f)  $\leq$  lfp f
  by (iprover intro: lfp-greatest order-trans monoD lfp-lowerbound)

```

```

lemma lfp-lemma3: mono f  $\implies$  lfp f  $\leq$  f (lfp f)
  by (iprover intro: lfp-lemma2 monoD lfp-lowerbound)

```



**lemma** *lfp-unfold*:  $\text{mono } f \implies \text{lfp } f = f (\text{lfp } f)$   
**by** (*iprover* *intro*: *order-antisym* *lfp-lemma2* *lfp-lemma3*)

**lemma** *lfp-const*:  $\text{lfp } (\lambda x. t) = t$   
**by** (*rule* *lfp-unfold*) (*simp* *add:mono-def*)

### 9.3 General induction rules for least fixed points

**theorem** *lfp-induct*:  
**assumes** *mono*:  $\text{mono } f$  **and** *ind*:  $f (\inf (\text{lfp } f) P) \leq P$   
**shows**  $\text{lfp } f \leq P$   
**proof** –  
**have**  $\inf (\text{lfp } f) P \leq \text{lfp } f$  **by** (*rule* *inf-le1*)  
**with** *mono* **have**  $f (\inf (\text{lfp } f) P) \leq f (\text{lfp } f)$  **..**  
**also from** *mono* **have**  $f (\text{lfp } f) = \text{lfp } f$  **by** (*rule* *lfp-unfold* [*symmetric*])  
**finally have**  $f (\inf (\text{lfp } f) P) \leq \text{lfp } f$  .  
**from this and** *ind* **have**  $f (\inf (\text{lfp } f) P) \leq \inf (\text{lfp } f) P$  **by** (*rule* *le-infI*)  
**hence**  $\text{lfp } f \leq \inf (\text{lfp } f) P$  **by** (*rule* *lfp-lowerbound*)  
**also have**  $\inf (\text{lfp } f) P \leq P$  **by** (*rule* *inf-le2*)  
**finally show** *?thesis* .  
**qed**

**lemma** *lfp-induct-set*:  
**assumes** *lfp*:  $a: \text{lfp}(f)$   
**and** *mono*:  $\text{mono}(f)$   
**and** *indhyp*:  $\llbracket x: f(\text{lfp}(f) \text{ Int } \{x. P(x)\}) \rrbracket \implies P(x)$   
**shows**  $P(a)$   
**by** (*rule* *lfp-induct* [*THEN* *subsetD*, *THEN* *CollectD*, *OF* *mono - lfp*])  
*(auto simp: inf-set-eq intro: indhyp)*

**lemma** *lfp-ordinal-induct*:  
**fixes**  $f :: 'a::\text{complete-lattice} \Rightarrow 'a$   
**assumes** *mono*:  $\text{mono } f$   
**and** *P-f*:  $\bigwedge S. P S \implies P (f S)$   
**and** *P-Union*:  $\bigwedge M. \forall S \in M. P S \implies P (\text{Sup } M)$   
**shows**  $P (\text{lfp } f)$

**proof** –  
**let**  $?M = \{S. S \leq \text{lfp } f \wedge P S\}$   
**have**  $P (\text{Sup } ?M)$  **using** *P-Union* **by** *simp*  
**also have**  $\text{Sup } ?M = \text{lfp } f$   
**proof** (*rule antisym*)  
**show**  $\text{Sup } ?M \leq \text{lfp } f$  **by** (*blast* *intro*: *Sup-least*)  
**hence**  $f (\text{Sup } ?M) \leq f (\text{lfp } f)$  **by** (*rule* *mono* [*THEN* *monoD*])  
**hence**  $f (\text{Sup } ?M) \leq \text{lfp } f$  **using** *mono* [*THEN* *lfp-unfold*] **by** *simp*  
**hence**  $f (\text{Sup } ?M) \in ?M$  **using** *P-f* *P-Union* **by** *simp*  
**hence**  $f (\text{Sup } ?M) \leq \text{Sup } ?M$  **by** (*rule* *Sup-upper*)  
**thus**  $\text{lfp } f \leq \text{Sup } ?M$  **by** (*rule* *lfp-lowerbound*)  
**qed**

**finally show** *?thesis* .  
**qed**

**lemma** *lfp-ordinal-induct-set*:  
**assumes** *mono*: *mono f*  
**and** *P-f*:  $!!S. P\ S ==> P(f\ S)$   
**and** *P-Union*:  $!!M. !S:M. P\ S ==> P(\text{Union } M)$   
**shows**  $P(\text{lfp } f)$   
**using** *assms* **unfolding** *Sup-set-eq* [*symmetric*]  
**by** (*rule lfp-ordinal-induct* [**where**  $P=P$ ])

Definition forms of *lfp-unfold* and *lfp-induct*, to control unfolding

**lemma** *def-lfp-unfold*:  $[| h == \text{lfp}(f); \text{mono}(f) |] ==> h = f(h)$   
**by** (*auto intro!*: *lfp-unfold*)

**lemma** *def-lfp-induct*:  
 $[| A == \text{lfp}(f); \text{mono}(f);$   
 $f(\inf A\ P) \leq P$   
 $|] ==> A \leq P$   
**by** (*blast intro*: *lfp-induct*)

**lemma** *def-lfp-induct-set*:  
 $[| A == \text{lfp}(f); \text{mono}(f); a:A;$   
 $!!x. [| x: f(A\ \text{Int } \{x. P(x)\}) |] ==> P(x)$   
 $|] ==> P(a)$   
**by** (*blast intro*: *lfp-induct-set*)

**lemma** *lfp-mono*:  $(!!Z. f\ Z \leq g\ Z) ==> \text{lfp } f \leq \text{lfp } g$   
**by** (*rule lfp-lowerbound* [*THEN lfp-greatest*], *blast intro*: *order-trans*)

## 9.4 Proof of Knaster-Tarski Theorem using *gfp*

*gfp f* is the greatest lower bound of the set  $\{u. u \leq f\ u\}$

**lemma** *gfp-upperbound*:  $X \leq f\ X ==> X \leq \text{gfp } f$   
**by** (*auto simp add*: *gfp-def intro*: *Sup-upper*)

**lemma** *gfp-least*:  $(!!u. u \leq f\ u ==> u \leq X) ==> \text{gfp } f \leq X$   
**by** (*auto simp add*: *gfp-def intro*: *Sup-least*)

**lemma** *gfp-lemma2*:  $\text{mono } f ==> \text{gfp } f \leq f(\text{gfp } f)$   
**by** (*iprover intro*: *gfp-least order-trans monoD gfp-upperbound*)

**lemma** *gfp-lemma3*:  $\text{mono } f ==> f(\text{gfp } f) \leq \text{gfp } f$   
**by** (*iprover intro*: *gfp-lemma2 monoD gfp-upperbound*)

**lemma** *gfp-unfold*:  $\text{mono } f ==> \text{gfp } f = f(\text{gfp } f)$   
**by** (*iprover intro*: *order-antisym gfp-lemma2 gfp-lemma3*)

## 9.5 Coinduction rules for greatest fixed points

weak version

**lemma** *weak-coinduct*:  $[[ a : X; X \subseteq f(X) ]] \implies a : \text{gfp}(f)$   
**by** (*rule* *gfp-upperbound* [*THEN* *subsetD*], *auto*)

**lemma** *weak-coinduct-image*:  $!!X. [[ a : X; g'X \subseteq f(g'X) ]] \implies g a : \text{gfp } f$   
**apply** (*erule* *gfp-upperbound* [*THEN* *subsetD*])  
**apply** (*erule* *imageI*)  
**done**

**lemma** *coinduct-lemma*:  
 $[[ X \leq f(\sup X (\text{gfp } f)); \text{mono } f ]] \implies \sup X (\text{gfp } f) \leq f(\sup X (\text{gfp } f))$   
**apply** (*frule* *gfp-lemma2*)  
**apply** (*drule* *mono-sup*)  
**apply** (*rule* *le-supI*)  
**apply** *assumption*  
**apply** (*rule* *order-trans*)  
**apply** (*rule* *order-trans*)  
**apply** *assumption*  
**apply** (*rule* *sup-ge2*)  
**apply** *assumption*  
**done**

strong version, thanks to Coen and Frost

**lemma** *coinduct-set*:  $[[ \text{mono}(f); a : X; X \subseteq f(X \text{ Un } \text{gfp}(f)) ]] \implies a : \text{gfp}(f)$   
**by** (*blast intro: weak-coinduct* [*OF* - *coinduct-lemma*, *simplified sup-set-eq*])

**lemma** *coinduct*:  $[[ \text{mono}(f); X \leq f(\sup X (\text{gfp } f)) ]] \implies X \leq \text{gfp}(f)$   
**apply** (*rule* *order-trans*)  
**apply** (*rule* *sup-ge1*)  
**apply** (*erule* *gfp-upperbound* [*OF* *coinduct-lemma*])  
**apply** *assumption*  
**done**

**lemma** *gfp-fun-UnI2*:  $[[ \text{mono}(f); a : \text{gfp}(f) ]] \implies a : f(X \text{ Un } \text{gfp}(f))$   
**by** (*blast dest: gfp-lemma2 mono-Un*)

## 9.6 Even Stronger Coinduction Rule, by Martin Coen

Weakens the condition  $X \subseteq f X$  to one expressed using both *lfp* and *gfp*

**lemma** *coinduct3-mono-lemma*:  $\text{mono}(f) \implies \text{mono}(\%x. f(x) \text{ Un } X \text{ Un } B)$   
**by** (*iprover intro: subset-refl monoI Un-mono monoD*)

**lemma** *coinduct3-lemma*:  
 $[[ X \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f))); \text{mono}(f) ]] \implies \text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f)) \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f)))$   
**apply** (*rule* *subset-trans*)

```

apply (erule coinduct3-mono-lemma [THEN lfp-lemma3])
apply (rule Un-least [THEN Un-least])
apply (rule subset-refl, assumption)
apply (rule gfp-unfold [THEN equalityD1, THEN subset-trans], assumption)
apply (rule monoD [where f=f], assumption)
apply (subst coinduct3-mono-lemma [THEN lfp-unfold], auto)
done

```

```

lemma coinduct3:
  [| mono(f); a:X; X  $\subseteq$  f(lfp(%x. f(x) Un X Un gfp(f))) |] ==> a : gfp(f)
apply (rule coinduct3-lemma [THEN [2] weak-coinduct])
apply (rule coinduct3-mono-lemma [THEN lfp-unfold, THEN ssubst], auto)
done

```

Definition forms of *gfp-unfold* and *coinduct*, to control unfolding

```

lemma def-gfp-unfold: [| A==gfp(f); mono(f) |] ==> A = f(A)
by (auto intro!: gfp-unfold)

```

```

lemma def-coinduct:
  [| A==gfp(f); mono(f); X  $\leq$  f(sup X A) |] ==> X  $\leq$  A
by (iprover intro!: coinduct)

```

```

lemma def-coinduct-set:
  [| A==gfp(f); mono(f); a:X; X  $\subseteq$  f(X Un A) |] ==> a: A
by (auto intro!: coinduct-set)

```

```

lemma def-Collect-coinduct:
  [| A == gfp(%w. Collect(P(w))); mono(%w. Collect(P(w)));
    a: X; !!z. z: X ==> P (X Un A) z |] ==>
    a : A
apply (erule def-coinduct-set, auto)
done

```

```

lemma def-coinduct3:
  [| A==gfp(f); mono(f); a:X; X  $\subseteq$  f(lfp(%x. f(x) Un X Un A)) |] ==> a: A
by (auto intro!: coinduct3)

```

Monotonicity of *gfp*!

```

lemma gfp-mono: (!Z. f Z  $\leq$  g Z) ==> gfp f  $\leq$  gfp g
by (rule gfp-upperbound [THEN gfp-least], blast intro: order-trans)

```

## 9.7 Inductive predicates and sets

Inversion of injective functions.

```

constdefs
  myinv :: ('a ==> 'b) ==> ('b ==> 'a)
  myinv (f :: 'a ==> 'b) ==  $\lambda y.$  THE x. f x = y

```

**lemma** *myinv-f-f*:  $\text{inj } f \implies \text{myinv } f (f x) = x$

**proof** –

**assume** *inj f*

**hence**  $(\text{THE } x'. f x' = f x) = (\text{THE } x'. x' = x)$

**by** (*simp only: inj-eq*)

**also have**  $\dots = x$  **by** (*rule the-eq-trivial*)

**finally show** *?thesis* **by** (*unfold myinv-def*)

**qed**

**lemma** *f-myinv-f*:  $\text{inj } f \implies y \in \text{range } f \implies f (\text{myinv } f y) = y$

**proof** (*unfold myinv-def*)

**assume** *inj: inj f*

**assume**  $y \in \text{range } f$

**then obtain** *x* **where**  $y = f x$  ..

**hence**  $x: f x = y$  ..

**thus**  $f (\text{THE } x. f x = y) = y$

**proof** (*rule theI*)

**fix** *x'* **assume**  $f x' = y$

**with** *x* **have**  $f x' = f x$  **by** *simp*

**with** *inj* **show**  $x' = x$  **by** (*rule injD*)

**qed**

**qed**

**hide** *const myinv*

Package setup.

**theorems** *basic-monos* =

*subset-refl imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj*

*Collect-mono in-mono vimage-mono*

*imp-conv-disj not-not de-Morgan-disj de-Morgan-conj*

*not-all not-ex*

*Ball-def Bex-def*

*induct-rulify-fallback*

**ML**  $\langle\langle$

*val* *def-lfp-unfold* =  $\text{@}\{\text{thm } \text{def-lfp-unfold}\}$

*val* *def-gfp-unfold* =  $\text{@}\{\text{thm } \text{def-gfp-unfold}\}$

*val* *def-lfp-induct* =  $\text{@}\{\text{thm } \text{def-lfp-induct}\}$

*val* *def-coinduct* =  $\text{@}\{\text{thm } \text{def-coinduct}\}$

*val* *inf-bool-eq* =  $\text{@}\{\text{thm } \text{inf-bool-eq}\} \text{ RS } \text{@}\{\text{thm } \text{eq-reflection}\}$

*val* *inf-fun-eq* =  $\text{@}\{\text{thm } \text{inf-fun-eq}\} \text{ RS } \text{@}\{\text{thm } \text{eq-reflection}\}$

*val* *sup-bool-eq* =  $\text{@}\{\text{thm } \text{sup-bool-eq}\} \text{ RS } \text{@}\{\text{thm } \text{eq-reflection}\}$

*val* *sup-fun-eq* =  $\text{@}\{\text{thm } \text{sup-fun-eq}\} \text{ RS } \text{@}\{\text{thm } \text{eq-reflection}\}$

*val* *le-boolI* =  $\text{@}\{\text{thm } \text{le-boolI}\}$

*val* *le-boolI'* =  $\text{@}\{\text{thm } \text{le-boolI}'\}$

*val* *le-funI* =  $\text{@}\{\text{thm } \text{le-funI}\}$

*val* *le-boolE* =  $\text{@}\{\text{thm } \text{le-boolE}\}$

*val* *le-funE* =  $\text{@}\{\text{thm } \text{le-funE}\}$

```

val le-boolD = @{thm le-boolD}
val le-funD = @{thm le-funD}
val le-bool-def = @{thm le-bool-def} RS @{thm eq-reflection}
val le-fun-def = @{thm le-fun-def} RS @{thm eq-reflection}
>>

```

```

use Tools/inductive-package.ML
setup InductivePackage.setup

```

```

theorems [mono] =
  imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj
  imp-conv-disj not-not de-Morgan-disj de-Morgan-conj
  not-all not-ex
  Ball-def Bex-def
  induct-rulify-fallback

```

## 9.8 Inductive datatypes and primitive recursion

Package setup.

```

use Tools/datatype-aux.ML
use Tools/datatype-prop.ML
use Tools/datatype-rep-proofs.ML
use Tools/datatype-abs-proofs.ML
use Tools/datatype-case.ML
use Tools/datatype-package.ML
setup DatatypePackage.setup
use Tools/old-primrec-package.ML
use Tools/primrec-package.ML

```

```

use Tools/datatype-codegen.ML
setup DatatypeCodegen.setup

```

```

use Tools/inductive-codegen.ML
setup InductiveCodegen.setup

```

Lambda-abstractions with pattern matching:

```

syntax
  -lam-pats-syntax :: cases-syn => 'a => 'b          ((%-) 10)
syntax (xsymbols)
  -lam-pats-syntax :: cases-syn => 'a => 'b          ((λ-) 10)

```

```

parse-translation (advanced) <<
let
  fun fun-tr ctxt [cs] =
    let
      val x = Free (Name.variant (Term.add-free-names cs []) x, dummyT);
      val ft = DatatypeCase.case-tr true DatatypePackage.datatype-of-constr
                ctxt [x, cs]
    in lambda x ft end

```

```

in [(-lam-pats-syntax, fun-tr)] end
>>

end

```

## 10 OrderedGroup: Ordered Groups

```

theory OrderedGroup
imports Lattices
uses ~~/src/Provers/Arith/abel-cancel.ML
begin

```

The theory of partially ordered groups is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society 1979
- *Partially Ordered Algebraic Systems*, Pergamon Press 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer.

```

ML<<
structure AlgebraSimps =
  NamedThmsFun(val name = algebra-simps
                val description = algebra simplification rules);
>>

setup AlgebraSimps.setup

```

The rewrites accumulated in *algebra-simps* deal with the classical algebraic structures of groups, rings and family. They simplify terms by multiplying everything out (in case of a ring) and bringing sums and products into a canonical form (by ordered rewriting). As a result it decides group and ring equalities but also helps with inequalities.

Of course it also works for fields, but it knows nothing about multiplicative inverses or division. This is catered for by *field-simps*.

### 10.1 Semigroups and Monoids

```

class semigroup-add = plus +
  assumes add-assoc[algebra-simps]: (a + b) + c = a + (b + c)

class ab-semigroup-add = semigroup-add +

```

```

assumes add-commute[algebra-simps]:  $a + b = b + a$ 
begin

lemma add-left-commute[algebra-simps]:  $a + (b + c) = b + (a + c)$ 
by (rule mk-left-commute [of plus, OF add-assoc add-commute])

theorems add-ac = add-assoc add-commute add-left-commute

end

theorems add-ac = add-assoc add-commute add-left-commute

class semigroup-mult = times +
  assumes mult-assoc[algebra-simps]:  $(a * b) * c = a * (b * c)$ 

class ab-semigroup-mult = semigroup-mult +
  assumes mult-commute[algebra-simps]:  $a * b = b * a$ 
begin

lemma mult-left-commute[algebra-simps]:  $a * (b * c) = b * (a * c)$ 
by (rule mk-left-commute [of times, OF mult-assoc mult-commute])

theorems mult-ac = mult-assoc mult-commute mult-left-commute

end

theorems mult-ac = mult-assoc mult-commute mult-left-commute

class ab-semigroup-idem-mult = ab-semigroup-mult +
  assumes mult-idem[simp]:  $x * x = x$ 
begin

lemma mult-left-idem[simp]:  $x * (x * y) = x * y$ 
  unfolding mult-assoc [symmetric, of x] mult-idem ..

end

class monoid-add = zero + semigroup-add +
  assumes add-0-left [simp]:  $0 + a = a$ 
  and add-0-right [simp]:  $a + 0 = a$ 

lemma zero-reorient:  $0 = x \longleftrightarrow x = 0$ 
by (rule eq-commute)

class comm-monoid-add = zero + ab-semigroup-add +
  assumes add-0:  $0 + a = a$ 
begin

subclass monoid-add

```



```

proof qed (insert add-0, simp-all add: add-commute)

end

class monoid-mult = one + semigroup-mult +
  assumes mult-1-left [simp]:  $1 * a = a$ 
  assumes mult-1-right [simp]:  $a * 1 = a$ 

lemma one-reorient:  $1 = x \longleftrightarrow x = 1$ 
by (rule eq-commute)

class comm-monoid-mult = one + ab-semigroup-mult +
  assumes mult-1:  $1 * a = a$ 
begin

subclass monoid-mult
  proof qed (insert mult-1, simp-all add: mult-commute)

end

class cancel-semigroup-add = semigroup-add +
  assumes add-left-imp-eq:  $a + b = a + c \implies b = c$ 
  assumes add-right-imp-eq:  $b + a = c + a \implies b = c$ 
begin

lemma add-left-cancel [simp]:
   $a + b = a + c \longleftrightarrow b = c$ 
by (blast dest: add-left-imp-eq)

lemma add-right-cancel [simp]:
   $b + a = c + a \longleftrightarrow b = c$ 
by (blast dest: add-right-imp-eq)

end

class cancel-ab-semigroup-add = ab-semigroup-add +
  assumes add-imp-eq:  $a + b = a + c \implies b = c$ 
begin

subclass cancel-semigroup-add
proof
  fix a b c :: 'a
  assume a + b = a + c
  then show b = c by (rule add-imp-eq)
next
  fix a b c :: 'a
  assume b + a = c + a
  then have a + b = a + c by (simp only: add-commute)
  then show b = c by (rule add-imp-eq)

```

qed

end

class cancel-comm-monoid-add = cancel-ab-semigroup-add + comm-monoid-add

## 10.2 Groups

class group-add = minus + uminus + monoid-add +  
 assumes left-minus [simp]:  $- a + a = 0$   
 assumes diff-minus:  $a - b = a + (- b)$   
 begin

lemma minus-add-cancel:  $- a + (a + b) = b$   
 by (simp add: add-assoc[symmetric])

lemma minus-zero [simp]:  $- 0 = 0$   
 proof -  
 have  $- 0 = - 0 + (0 + 0)$  by (simp only: add-0-right)  
 also have  $\dots = 0$  by (rule minus-add-cancel)  
 finally show ?thesis .  
 qed

lemma minus-minus [simp]:  $- (- a) = a$   
 proof -  
 have  $- (- a) = - (- a) + (- a + a)$  by simp  
 also have  $\dots = a$  by (rule minus-add-cancel)  
 finally show ?thesis .  
 qed

lemma right-minus [simp]:  $a + - a = 0$   
 proof -  
 have  $a + - a = - (- a) + - a$  by simp  
 also have  $\dots = 0$  by (rule left-minus)  
 finally show ?thesis .  
 qed

lemma right-minus-eq:  $a - b = 0 \longleftrightarrow a = b$   
 proof  
 assume  $a - b = 0$   
 have  $a = (a - b) + b$  by (simp add: diff-minus add-assoc)  
 also have  $\dots = b$  using  $\langle a - b = 0 \rangle$  by simp  
 finally show  $a = b$  .  
 next  
 assume  $a = b$  thus  $a - b = 0$  by (simp add: diff-minus)  
 qed

lemma equals-zero-I:  
 assumes  $a + b = 0$  shows  $- a = b$

**proof** –  
 have  $- a = - a + (a + b)$  **using** *assms* **by** *simp*  
 also have  $\dots = b$  **by** (*simp add: add-assoc[symmetric]*)  
 finally **show** *?thesis* .  
**qed**

**lemma** *diff-self* [*simp*]:  $a - a = 0$   
**by** (*simp add: diff-minus*)

**lemma** *diff-0* [*simp*]:  $0 - a = - a$   
**by** (*simp add: diff-minus*)

**lemma** *diff-0-right* [*simp*]:  $a - 0 = a$   
**by** (*simp add: diff-minus*)

**lemma** *diff-minus-eq-add* [*simp*]:  $a - - b = a + b$   
**by** (*simp add: diff-minus*)

**lemma** *neg-equal-iff-equal* [*simp*]:  
 $- a = - b \longleftrightarrow a = b$

**proof**  
 assume  $- a = - b$   
 hence  $- (- a) = - (- b)$  **by** *simp*  
 thus  $a = b$  **by** *simp*  
**next**  
 assume  $a = b$   
 thus  $- a = - b$  **by** *simp*  
**qed**

**lemma** *neg-equal-0-iff-equal* [*simp*]:  
 $- a = 0 \longleftrightarrow a = 0$   
**by** (*subst neg-equal-iff-equal [symmetric], simp*)

**lemma** *neg-0-equal-iff-equal* [*simp*]:  
 $0 = - a \longleftrightarrow 0 = a$   
**by** (*subst neg-equal-iff-equal [symmetric], simp*)

The next two equations can make the simplifier loop!

**lemma** *equation-minus-iff*:  
 $a = - b \longleftrightarrow b = - a$   
**proof** –  
 have  $- (- a) = - b \longleftrightarrow - a = b$  **by** (*rule neg-equal-iff-equal*)  
 thus *?thesis* **by** (*simp add: eq-commute*)  
**qed**

**lemma** *minus-equation-iff*:  
 $- a = b \longleftrightarrow - b = a$   
**proof** –  
 have  $- a = - (- b) \longleftrightarrow a = - b$  **by** (*rule neg-equal-iff-equal*)

```

    thus ?thesis by (simp add: eq-commute)
qed

lemma diff-add-cancel:  $a - b + b = a$ 
by (simp add: diff-minus add-assoc)

lemma add-diff-cancel:  $a + b - b = a$ 
by (simp add: diff-minus add-assoc)

declare diff-minus[symmetric, algebra-simps]

lemma eq-neg-iff-add-eq-0:  $a = -b \longleftrightarrow a + b = 0$ 
proof
  assume  $a = -b$  then show  $a + b = 0$  by simp
next
  assume  $a + b = 0$ 
  moreover have  $a + (b + -b) = (a + b) + -b$ 
    by (simp only: add-assoc)
  ultimately show  $a = -b$  by simp
qed

end

class ab-group-add = minus + uminus + comm-monoid-add +
  assumes ab-left-minus:  $-a + a = 0$ 
  assumes ab-diff-minus:  $a - b = a + (-b)$ 
begin

subclass group-add
  proof qed (simp-all add: ab-left-minus ab-diff-minus)

subclass cancel-comm-monoid-add
proof
  fix  $a\ b\ c :: 'a$ 
  assume  $a + b = a + c$ 
  then have  $-a + a + b = -a + a + c$ 
    unfolding add-assoc by simp
  then show  $b = c$  by simp
qed

lemma uminus-add-conv-diff[algebra-simps]:
   $-a + b = b - a$ 
by (simp add: diff-minus add-commute)

lemma minus-add-distrib [simp]:
   $-(a + b) = -a + -b$ 
by (rule equals-zero-I) (simp add: add-ac)

lemma minus-diff-eq [simp]:

```

```

    - (a - b) = b - a
  by (simp add: diff-minus add-commute)

lemma add-diff-eq[algebra-simps]: a + (b - c) = (a + b) - c
by (simp add: diff-minus add-ac)

lemma diff-add-eq[algebra-simps]: (a - b) + c = (a + c) - b
by (simp add: diff-minus add-ac)

lemma diff-eq-eq[algebra-simps]: a - b = c  $\longleftrightarrow$  a = c + b
by (auto simp add: diff-minus add-assoc)

lemma eq-diff-eq[algebra-simps]: a = c - b  $\longleftrightarrow$  a + b = c
by (auto simp add: diff-minus add-assoc)

lemma diff-diff-eq[algebra-simps]: (a - b) - c = a - (b + c)
by (simp add: diff-minus add-ac)

lemma diff-diff-eq2[algebra-simps]: a - (b - c) = (a + c) - b
by (simp add: diff-minus add-ac)

lemma eq-iff-diff-eq-0: a = b  $\longleftrightarrow$  a - b = 0
by (simp add: algebra-simps)

lemma diff-eq-0-iff-eq [simp, noatp]: a - b = 0  $\longleftrightarrow$  a = b
by (simp add: algebra-simps)

end

```

### 10.3 (Partially) Ordered Groups

```

class pordered-ab-semigroup-add = order + ab-semigroup-add +
  assumes add-left-mono: a ≤ b  $\implies$  c + a ≤ c + b
begin

lemma add-right-mono:
  a ≤ b  $\implies$  a + c ≤ b + c
by (simp add: add-commute [of - c] add-left-mono)

non-strict, in both arguments

lemma add-mono:
  a ≤ b  $\implies$  c ≤ d  $\implies$  a + c ≤ b + d
  apply (erule add-right-mono [THEN order-trans])
  apply (simp add: add-commute add-left-mono)
  done

end

class pordered-cancel-ab-semigroup-add =

```

*pordered-ab-semigroup-add* + *cancel-ab-semigroup-add*  
**begin**

**lemma** *add-strict-left-mono*:  
 $a < b \implies c + a < c + b$   
**by** (*auto simp add: less-le add-left-mono*)

**lemma** *add-strict-right-mono*:  
 $a < b \implies a + c < b + c$   
**by** (*simp add: add-commute [of - c] add-strict-left-mono*)

Strict monotonicity in both arguments

**lemma** *add-strict-mono*:  
 $a < b \implies c < d \implies a + c < b + d$   
**apply** (*erule add-strict-right-mono [THEN less-trans]*)  
**apply** (*erule add-strict-left-mono*)  
**done**

**lemma** *add-less-le-mono*:  
 $a < b \implies c \leq d \implies a + c < b + d$   
**apply** (*erule add-strict-right-mono [THEN less-le-trans]*)  
**apply** (*erule add-left-mono*)  
**done**

**lemma** *add-le-less-mono*:  
 $a \leq b \implies c < d \implies a + c < b + d$   
**apply** (*erule add-right-mono [THEN le-less-trans]*)  
**apply** (*erule add-strict-left-mono*)  
**done**

**end**

**class** *pordered-ab-semigroup-add-imp-le* =  
*pordered-cancel-ab-semigroup-add* +  
**assumes** *add-le-imp-le-left*:  $c + a \leq c + b \implies a \leq b$   
**begin**

**lemma** *add-less-imp-less-left*:  
**assumes** *less*:  $c + a < c + b$  **shows**  $a < b$   
**proof** –  
**from** *less* **have** *le*:  $c + a \leq c + b$  **by** (*simp add: order-le-less*)  
**have**  $a \leq b$   
**apply** (*insert le*)  
**apply** (*drule add-le-imp-le-left*)  
**by** (*insert le, drule add-le-imp-le-left, assumption*)  
**moreover** **have**  $a \neq b$   
**proof** (*rule ccontr*)  
**assume**  $\sim(a \neq b)$   
**then** **have**  $a = b$  **by** *simp*

```

    then have  $c + a = c + b$  by simp
    with less show False by simp
  qed
  ultimately show  $a < b$  by (simp add: order-le-less)
qed

```

```

lemma add-less-imp-less-right:
   $a + c < b + c \implies a < b$ 
apply (rule add-less-imp-less-left [of c])
apply (simp add: add-commute)
done

```

```

lemma add-less-cancel-left [simp]:
   $c + a < c + b \iff a < b$ 
by (blast intro: add-less-imp-less-left add-strict-left-mono)

```

```

lemma add-less-cancel-right [simp]:
   $a + c < b + c \iff a < b$ 
by (blast intro: add-less-imp-less-right add-strict-right-mono)

```

```

lemma add-le-cancel-left [simp]:
   $c + a \leq c + b \iff a \leq b$ 
by (auto, drule add-le-imp-le-left, simp-all add: add-left-mono)

```

```

lemma add-le-cancel-right [simp]:
   $a + c \leq b + c \iff a \leq b$ 
by (simp add: add-commute [of a c] add-commute [of b c])

```

```

lemma add-le-imp-le-right:
   $a + c \leq b + c \implies a \leq b$ 
by simp

```

```

lemma max-add-distrib-left:
   $\max x y + z = \max (x + z) (y + z)$ 
unfolding max-def by auto

```

```

lemma min-add-distrib-left:
   $\min x y + z = \min (x + z) (y + z)$ 
unfolding min-def by auto

```

```

end

```

## 10.4 Support for reasoning about signs

```

class pordered-comm-monoid-add =
  pordered-cancel-ab-semigroup-add + comm-monoid-add
begin

```

```

lemma add-pos-nonneg:

```

```

    assumes  $0 < a$  and  $0 \leq b$  shows  $0 < a + b$ 
  proof -
    have  $0 + 0 < a + b$ 
      using assms by (rule add-less-le-mono)
    then show ?thesis by simp
  qed

```

```

lemma add-pos-pos:
  assumes  $0 < a$  and  $0 < b$  shows  $0 < a + b$ 
  by (rule add-pos-nonneg) (insert assms, auto)

```

```

lemma add-nonneg-pos:
  assumes  $0 \leq a$  and  $0 < b$  shows  $0 < a + b$ 
  proof -
    have  $0 + 0 < a + b$ 
      using assms by (rule add-le-less-mono)
    then show ?thesis by simp
  qed

```

```

lemma add-nonneg-nonneg:
  assumes  $0 \leq a$  and  $0 \leq b$  shows  $0 \leq a + b$ 
  proof -
    have  $0 + 0 \leq a + b$ 
      using assms by (rule add-mono)
    then show ?thesis by simp
  qed

```

```

lemma add-neg-nonpos:
  assumes  $a < 0$  and  $b \leq 0$  shows  $a + b < 0$ 
  proof -
    have  $a + b < 0 + 0$ 
      using assms by (rule add-less-le-mono)
    then show ?thesis by simp
  qed

```

```

lemma add-neg-neg:
  assumes  $a < 0$  and  $b < 0$  shows  $a + b < 0$ 
  by (rule add-neg-nonpos) (insert assms, auto)

```

```

lemma add-nonpos-neg:
  assumes  $a \leq 0$  and  $b < 0$  shows  $a + b < 0$ 
  proof -
    have  $a + b < 0 + 0$ 
      using assms by (rule add-le-less-mono)
    then show ?thesis by simp
  qed

```

```

lemma add-nonpos-nonpos:
  assumes  $a \leq 0$  and  $b \leq 0$  shows  $a + b \leq 0$ 

```



```

proof –
  have  $a + b \leq 0 + 0$ 
    using assms by (rule add-mono)
  then show ?thesis by simp
qed

lemmas add-sign-intros =
  add-pos-nonneg add-pos-pos add-nonneg-pos add-nonneg-nonneg
  add-neg-nonpos add-neg-neg add-nonpos-neg add-nonpos-nonpos

lemma add-nonneg-eq-0-iff:
  assumes  $x: 0 \leq x$  and  $y: 0 \leq y$ 
  shows  $x + y = 0 \longleftrightarrow x = 0 \wedge y = 0$ 
proof (intro iffI conjI)
  have  $x = x + 0$  by simp
  also have  $x + 0 \leq x + y$  using  $y$  by (rule add-left-mono)
  also assume  $x + y = 0$ 
  also have  $0 \leq x$  using  $x$  .
  finally show  $x = 0$  .
next
  have  $y = 0 + y$  by simp
  also have  $0 + y \leq x + y$  using  $x$  by (rule add-right-mono)
  also assume  $x + y = 0$ 
  also have  $0 \leq y$  using  $y$  .
  finally show  $y = 0$  .
next
  assume  $x = 0 \wedge y = 0$ 
  then show  $x + y = 0$  by simp
qed

end

class pordered-ab-group-add =
  ab-group-add + pordered-ab-semigroup-add
begin

subclass pordered-cancel-ab-semigroup-add ..

subclass pordered-ab-semigroup-add-imp-le
proof
  fix  $a\ b\ c :: 'a$ 
  assume  $c + a \leq c + b$ 
  hence  $(-c) + (c + a) \leq (-c) + (c + b)$  by (rule add-left-mono)
  hence  $((-c) + c) + a \leq ((-c) + c) + b$  by (simp only: add-assoc)
  thus  $a \leq b$  by simp
qed

subclass pordered-comm-monoid-add ..

```

**lemma** *max-diff-distrib-left*:

**shows**  $\max x y - z = \max (x - z) (y - z)$

**by** (*simp add: diff-minus, rule max-add-distrib-left*)

**lemma** *min-diff-distrib-left*:

**shows**  $\min x y - z = \min (x - z) (y - z)$

**by** (*simp add: diff-minus, rule min-add-distrib-left*)

**lemma** *le-imp-neg-le*:

**assumes**  $a \leq b$  **shows**  $-b \leq -a$

**proof** –

**have**  $-a + a \leq -a + b$  **using**  $\langle a \leq b \rangle$  **by** (*rule add-left-mono*)

**hence**  $0 \leq -a + b$  **by** *simp*

**hence**  $0 + (-b) \leq (-a + b) + (-b)$  **by** (*rule add-right-mono*)

**thus** *?thesis* **by** (*simp add: add-assoc*)

**qed**

**lemma** *neg-le-iff-le* [*simp*]:  $-b \leq -a \longleftrightarrow a \leq b$

**proof**

**assume**  $-b \leq -a$

**hence**  $-(-a) \leq -(-b)$  **by** (*rule le-imp-neg-le*)

**thus**  $a \leq b$  **by** *simp*

**next**

**assume**  $a \leq b$

**thus**  $-b \leq -a$  **by** (*rule le-imp-neg-le*)

**qed**

**lemma** *neg-le-0-iff-le* [*simp*]:  $-a \leq 0 \longleftrightarrow 0 \leq a$

**by** (*subst neg-le-iff-le [symmetric], simp*)

**lemma** *neg-0-le-iff-le* [*simp*]:  $0 \leq -a \longleftrightarrow a \leq 0$

**by** (*subst neg-le-iff-le [symmetric], simp*)

**lemma** *neg-less-iff-less* [*simp*]:  $-b < -a \longleftrightarrow a < b$

**by** (*force simp add: less-le*)

**lemma** *neg-less-0-iff-less* [*simp*]:  $-a < 0 \longleftrightarrow 0 < a$

**by** (*subst neg-less-iff-less [symmetric], simp*)

**lemma** *neg-0-less-iff-less* [*simp*]:  $0 < -a \longleftrightarrow a < 0$

**by** (*subst neg-less-iff-less [symmetric], simp*)

The next several equations can make the simplifier loop!

**lemma** *less-minus-iff*:  $a < -b \longleftrightarrow b < -a$

**proof** –

**have**  $(-(-a) < -b) = (b < -a)$  **by** (*rule neg-less-iff-less*)

**thus** *?thesis* **by** *simp*

**qed**

**lemma** *minus-less-iff*:  $- a < b \longleftrightarrow - b < a$

**proof** –

have  $(- a < - (-b)) = (- b < a)$  **by** (*rule neg-less-iff-less*)

thus *?thesis* **by** *simp*

**qed**

**lemma** *le-minus-iff*:  $a \leq - b \longleftrightarrow b \leq - a$

**proof** –

have *mm*:  $!! a (b::'a). (-(-a)) < -b \implies -(-b) < -a$  **by** (*simp only: minus-less-iff*)

have  $(- (- a) \leq -b) = (b \leq - a)$

apply (*auto simp only: le-less*)

apply (*drule mm*)

apply (*simp-all*)

apply (*drule mm[simplified], assumption*)

done

then show *?thesis* **by** *simp*

**qed**

**lemma** *minus-le-iff*:  $- a \leq b \longleftrightarrow - b \leq a$

**by** (*auto simp add: le-less minus-less-iff*)

**lemma** *less-iff-diff-less-0*:  $a < b \longleftrightarrow a - b < 0$

**proof** –

have  $(a < b) = (a + (- b) < b + (-b))$

by (*simp only: add-less-cancel-right*)

also have  $\dots = (a - b < 0)$  **by** (*simp add: diff-minus*)

finally show *?thesis* .

**qed**

**lemma** *diff-less-eq[algebra-simps]*:  $a - b < c \longleftrightarrow a < c + b$

apply (*subst less-iff-diff-less-0 [of a]*)

apply (*rule less-iff-diff-less-0 [of - c, THEN ssubst]*)

apply (*simp add: diff-minus add-ac*)

done

**lemma** *less-diff-eq[algebra-simps]*:  $a < c - b \longleftrightarrow a + b < c$

apply (*subst less-iff-diff-less-0 [of plus a b]*)

apply (*subst less-iff-diff-less-0 [of a]*)

apply (*simp add: diff-minus add-ac*)

done

**lemma** *diff-le-eq[algebra-simps]*:  $a - b \leq c \longleftrightarrow a \leq c + b$

**by** (*auto simp add: le-less diff-less-eq diff-add-cancel add-diff-cancel*)

**lemma** *le-diff-eq[algebra-simps]*:  $a \leq c - b \longleftrightarrow a + b \leq c$

**by** (*auto simp add: le-less less-diff-eq diff-add-cancel add-diff-cancel*)

**lemma** *le-iff-diff-le-0*:  $a \leq b \longleftrightarrow a - b \leq 0$

**by** (*simp add: algebra-simps*)

Legacy - use *algebra-simps*

**lemmas** *group-simps*[*noatp*] = *algebra-simps*

**end**

Legacy - use *algebra-simps*

**lemmas** *group-simps*[*noatp*] = *algebra-simps*

**class** *ordered-ab-semigroup-add* =  
     *linorder* + *pordered-ab-semigroup-add*

**class** *ordered-cancel-ab-semigroup-add* =  
     *linorder* + *pordered-cancel-ab-semigroup-add*  
**begin**

**subclass** *ordered-ab-semigroup-add* ..

**subclass** *pordered-ab-semigroup-add-imp-le*  
**proof**

**fix** *a b c* :: '*a*

**assume** *le*:  $c + a \leq c + b$

**show**  $a \leq b$

**proof** (*rule ccontr*)

**assume** *w*:  $\sim a \leq b$

**hence**  $b \leq a$  (*simp add: linorder-not-le*)

**hence** *le2*:  $c + b \leq c + a$  **by** (*rule add-left-mono*)

**have**  $a = b$

**apply** (*insert le*)

**apply** (*insert le2*)

**apply** (*drule antisym, simp-all*)

**done**

**with** *w* **show** *False*

**by** (*simp add: linorder-not-le [symmetric]*)

**qed**

**qed**

**end**

**class** *ordered-ab-group-add* =  
     *linorder* + *pordered-ab-group-add*  
**begin**

**subclass** *ordered-cancel-ab-semigroup-add* ..

**lemma** *neg-less-eq-nonneg*:

$-a \leq a \iff 0 \leq a$

**proof**

**assume** *A*:  $-a \leq a$  **show**  $0 \leq a$

**proof** (*rule classical*)

```

    assume  $\neg 0 \leq a$ 
    then have  $a < 0$  by auto
    with A have  $-a < 0$  by (rule le-less-trans)
    then show ?thesis by auto
  qed
next
  assume A:  $0 \leq a$  show  $-a \leq a$ 
  proof (rule order-trans)
    show  $-a \leq 0$  using A by (simp add: minus-le-iff)
  next
    show  $0 \leq a$  using A .
  qed
qed

lemma less-eq-neg-nonpos:
   $a \leq -a \longleftrightarrow a \leq 0$ 
proof
  assume A:  $a \leq -a$  show  $a \leq 0$ 
  proof (rule classical)
    assume  $\neg a \leq 0$ 
    then have  $0 < a$  by auto
    then have  $0 < -a$  using A by (rule less-le-trans)
    then show ?thesis by auto
  qed
next
  assume A:  $a \leq 0$  show  $a \leq -a$ 
  proof (rule order-trans)
    show  $0 \leq -a$  using A by (simp add: minus-le-iff)
  next
    show  $a \leq 0$  using A .
  qed
qed

lemma equal-neg-zero:
   $a = -a \longleftrightarrow a = 0$ 
proof
  assume  $a = 0$  then show  $a = -a$  by simp
next
  assume A:  $a = -a$  show  $a = 0$ 
  proof (cases  $0 \leq a$ )
    case True with A have  $0 \leq -a$  by auto
    with le-minus-iff have  $a \leq 0$  by simp
    with True show ?thesis by (auto intro: order-trans)
  next
    case False then have B:  $a \leq 0$  by auto
    with A have  $-a \leq 0$  by auto
    with B show ?thesis by (auto intro: order-trans)
  qed
qed

```

```

lemma neg-equal-zero:
  -  $a = a \longleftrightarrow a = 0$ 
  unfolding equal-neg-zero [symmetric] by auto

end

— FIXME localize the following

lemma add-increasing:
  fixes  $c :: 'a::\{pordered-ab-semigroup-add-imp-le, comm-monoid-add\}$ 
  shows  $[|0 \leq a; b \leq c|] \implies b \leq a + c$ 
by (insert add-mono [of 0 a b c], simp)

lemma add-increasing2:
  fixes  $c :: 'a::\{pordered-ab-semigroup-add-imp-le, comm-monoid-add\}$ 
  shows  $[|0 \leq c; b \leq a|] \implies b \leq a + c$ 
by (simp add:add-increasing add-commute[of a])

lemma add-strict-increasing:
  fixes  $c :: 'a::\{pordered-ab-semigroup-add-imp-le, comm-monoid-add\}$ 
  shows  $[|0 < a; b \leq c|] \implies b < a + c$ 
by (insert add-less-le-mono [of 0 a b c], simp)

lemma add-strict-increasing2:
  fixes  $c :: 'a::\{pordered-ab-semigroup-add-imp-le, comm-monoid-add\}$ 
  shows  $[|0 \leq a; b < c|] \implies b < a + c$ 
by (insert add-le-less-mono [of 0 a b c], simp)

class pordered-ab-group-add-abs = pordered-ab-group-add + abs +
  assumes abs-ge-zero [simp]:  $|a| \geq 0$ 
  and abs-ge-self:  $a \leq |a|$ 
  and abs-leI:  $a \leq b \implies -a \leq b \implies |a| \leq b$ 
  and abs-minus-cancel [simp]:  $|-a| = |a|$ 
  and abs-triangle-ineq:  $|a + b| \leq |a| + |b|$ 
begin

lemma abs-minus-le-zero:  $-|a| \leq 0$ 
  unfolding neg-le-0-iff-le by simp

lemma abs-of-nonneg [simp]:
  assumes nonneg:  $0 \leq a$  shows  $|a| = a$ 
proof (rule antisym)
  from nonneg le-imp-neg-le have  $-a \leq 0$  by simp
  from this nonneg have  $-a \leq a$  by (rule order-trans)
  then show  $|a| \leq a$  by (auto intro: abs-leI)
qed (rule abs-ge-self)

```

**lemma** *abs-idempotent* [*simp*]:  $||a|| = |a|$   
**by** (*rule antisym*)  
 (*auto intro!*: *abs-ge-self abs-leI order-trans [of uminus (abs a) zero abs a]*)

**lemma** *abs-eq-0* [*simp*]:  $|a| = 0 \longleftrightarrow a = 0$   
**proof** –  
**have**  $|a| = 0 \implies a = 0$   
**proof** (*rule antisym*)  
**assume** *zero*:  $|a| = 0$   
**with** *abs-ge-self* **show**  $a \leq 0$  **by** *auto*  
**from** *zero* **have**  $|-a| = 0$  **by** *simp*  
**with** *abs-ge-self [of uminus a]* **have**  $-a \leq 0$  **by** *auto*  
**with** *neg-le-0-iff-le* **show**  $0 \leq a$  **by** *auto*  
**qed**  
**then show** *?thesis* **by** *auto*  
**qed**

**lemma** *abs-zero* [*simp*]:  $|0| = 0$   
**by** *simp*

**lemma** *abs-0-eq* [*simp*, *noatp*]:  $0 = |a| \longleftrightarrow a = 0$   
**proof** –  
**have**  $0 = |a| \longleftrightarrow |a| = 0$  **by** (*simp only: eq-ac*)  
**thus** *?thesis* **by** *simp*  
**qed**

**lemma** *abs-le-zero-iff* [*simp*]:  $|a| \leq 0 \longleftrightarrow a = 0$   
**proof**  
**assume**  $|a| \leq 0$   
**then have**  $|a| = 0$  **by** (*rule antisym*) *simp*  
**thus**  $a = 0$  **by** *simp*  
**next**  
**assume**  $a = 0$   
**thus**  $|a| \leq 0$  **by** *simp*  
**qed**

**lemma** *zero-less-abs-iff* [*simp*]:  $0 < |a| \longleftrightarrow a \neq 0$   
**by** (*simp add: less-le*)

**lemma** *abs-not-less-zero* [*simp*]:  $\neg |a| < 0$   
**proof** –  
**have**  $a: \bigwedge x y. x \leq y \implies \neg y < x$  **by** *auto*  
**show** *?thesis* **by** (*simp add: a*)  
**qed**

**lemma** *abs-ge-minus-self*:  $-a \leq |a|$   
**proof** –  
**have**  $-a \leq |-a|$  **by** (*rule abs-ge-self*)  
**then show** *?thesis* **by** *simp*

qed

**lemma** *abs-minus-commute*:

$$|a - b| = |b - a|$$

**proof** -

**have**  $|a - b| = |-(a - b)|$  **by** (*simp only: abs-minus-cancel*)

**also have**  $\dots = |b - a|$  **by** *simp*

**finally show** *?thesis* .

qed

**lemma** *abs-of-pos*:  $0 < a \implies |a| = a$

**by** (*rule abs-of-nonneg, rule less-imp-le*)

**lemma** *abs-of-nonpos* [*simp*]:

**assumes**  $a \leq 0$  **shows**  $|a| = -a$

**proof** -

**let**  $?b = -a$

**have**  $-?b \leq 0 \implies |-?b| = -(-?b)$

**unfolding** *abs-minus-cancel* [*of ?b*]

**unfolding** *neg-le-0-iff-le* [*of ?b*]

**unfolding** *minus-minus* **by** (*erule abs-of-nonneg*)

**then show** *?thesis* **using** *assms* **by** *auto*

qed

**lemma** *abs-of-neg*:  $a < 0 \implies |a| = -a$

**by** (*rule abs-of-nonpos, rule less-imp-le*)

**lemma** *abs-le-D1*:  $|a| \leq b \implies a \leq b$

**by** (*insert abs-ge-self, blast intro: order-trans*)

**lemma** *abs-le-D2*:  $|a| \leq b \implies -a \leq b$

**by** (*insert abs-le-D1 [of uminus a], simp*)

**lemma** *abs-le-iff*:  $|a| \leq b \longleftrightarrow a \leq b \wedge -a \leq b$

**by** (*blast intro: abs-leI dest: abs-le-D1 abs-le-D2*)

**lemma** *abs-triangle-ineq2*:  $|a| - |b| \leq |a - b|$

**apply** (*simp add: algebra-simps*)

**apply** (*subgoal-tac abs a = abs (plus b (minus a b))*)

**apply** (*erule ssubst*)

**apply** (*rule abs-triangle-ineq*)

**apply** (*rule arg-cong[of - - abs]*)

**apply** (*simp add: algebra-simps*)

done

**lemma** *abs-triangle-ineq3*:  $||a| - |b|| \leq |a - b|$

**apply** (*subst abs-le-iff*)

**apply** *auto*

**apply** (*rule abs-triangle-ineq2*)



```

  apply (subst abs-minus-commute)
  apply (rule abs-triangle-ineq2)
done

```

```

lemma abs-triangle-ineq4:  $|a - b| \leq |a| + |b|$ 
proof -
  have  $\text{abs}(a - b) = \text{abs}(a + - b)$  by (subst diff-minus, rule refl)
  also have  $\dots \leq \text{abs } a + \text{abs } (- b)$  by (rule abs-triangle-ineq)
  finally show ?thesis by simp
qed

```

```

lemma abs-diff-triangle-ineq:  $|a + b - (c + d)| \leq |a - c| + |b - d|$ 
proof -
  have  $|a + b - (c + d)| = |(a - c) + (b - d)|$  by (simp add: diff-minus add-ac)
  also have  $\dots \leq |a - c| + |b - d|$  by (rule abs-triangle-ineq)
  finally show ?thesis .
qed

```

```

lemma abs-add-abs [simp]:
   $||a| + |b|| = |a| + |b|$  (is ?L = ?R)
proof (rule antisym)
  show  $?L \geq ?R$  by (rule abs-ge-self)
next
  have  $?L \leq ||a| + |b||$  by (rule abs-triangle-ineq)
  also have  $\dots = ?R$  by simp
  finally show  $?L \leq ?R$  .
qed

```

end

## 10.5 Lattice Ordered (Abelian) Groups

```

class lordered-ab-group-add-meet = pordered-ab-group-add + lower-semilattice
begin

```

```

lemma add-inf-distrib-left:
   $a + \inf b c = \inf (a + b) (a + c)$ 
apply (rule antisym)
apply (simp-all add: le-infI)
apply (rule add-le-imp-le-left [of uminus a])
apply (simp only: add-assoc [symmetric], simp)
apply rule
apply (rule add-le-imp-le-left [of a], simp only: add-assoc [symmetric], simp) +
done

```

```

lemma add-inf-distrib-right:
   $\inf a b + c = \inf (a + c) (b + c)$ 
proof -
  have  $c + \inf a b = \inf (c + a) (c + b)$  by (simp add: add-inf-distrib-left)

```

```

    thus ?thesis by (simp add: add-commute)
qed

end

class lordered-ab-group-add-join = pordered-ab-group-add + upper-semilattice
begin

lemma add-sup-distrib-left:
   $a + \sup b\ c = \sup (a + b)\ (a + c)$ 
  apply (rule antisym)
  apply (rule add-le-imp-le-left [of uminus a])
  apply (simp only: add-assoc[symmetric], simp)
  apply rule
  apply (rule add-le-imp-le-left [of a], simp only: add-assoc[symmetric], simp)+
  apply (rule le-supI)
  apply (simp-all)
done

lemma add-sup-distrib-right:
   $\sup a\ b + c = \sup (a+c)\ (b+c)$ 
proof -
  have  $c + \sup a\ b = \sup (c+a)\ (c+b)$  by (simp add: add-sup-distrib-left)
  thus ?thesis by (simp add: add-commute)
qed

end

class lordered-ab-group-add = pordered-ab-group-add + lattice
begin

subclass lordered-ab-group-add-meet ..
subclass lordered-ab-group-add-join ..

lemmas add-sup-inf-distribs = add-inf-distrib-right add-inf-distrib-left add-sup-distrib-right
add-sup-distrib-left

lemma inf-eq-neg-sup:  $\inf a\ b = - \sup (-a)\ (-b)$ 
proof (rule inf-unique)
  fix a b :: 'a
  show  $-\sup (-a)\ (-b) \leq a$ 
    by (rule add-le-imp-le-right [of - sup (uminus a) (uminus b)])
    (simp, simp add: add-sup-distrib-left)
next
  fix a b :: 'a
  show  $-\sup (-a)\ (-b) \leq b$ 
    by (rule add-le-imp-le-right [of - sup (uminus a) (uminus b)])
    (simp, simp add: add-sup-distrib-left)
next

```

```

fix a b c :: 'a
assume a ≤ b a ≤ c
then show a ≤ - sup (-b) (-c) by (subst neg-le-iff-le [symmetric])
  (simp add: le-supI)
qed

```

```

lemma sup-eq-neg-inf: sup a b = - inf (-a) (-b)
proof (rule sup-unique)
  fix a b :: 'a
  show a ≤ - inf (-a) (-b)
    by (rule add-le-imp-le-right [of - inf (uminus a) (uminus b)])
      (simp, simp add: add-inf-distrib-left)
next
  fix a b :: 'a
  show b ≤ - inf (-a) (-b)
    by (rule add-le-imp-le-right [of - inf (uminus a) (uminus b)])
      (simp, simp add: add-inf-distrib-left)
next
  fix a b c :: 'a
  assume a ≤ c b ≤ c
  then show - inf (-a) (-b) ≤ c by (subst neg-le-iff-le [symmetric])
    (simp add: le-infI)
qed

```

```

lemma neg-inf-eq-sup: - inf a b = sup (-a) (-b)
by (simp add: inf-eq-neg-sup)

```

```

lemma neg-sup-eq-inf: - sup a b = inf (-a) (-b)
by (simp add: sup-eq-neg-inf)

```

```

lemma add-eq-inf-sup: a + b = sup a b + inf a b
proof -
  have 0 = - inf 0 (a-b) + inf (a-b) 0 by (simp add: inf-commute)
  hence 0 = sup 0 (b-a) + inf (a-b) 0 by (simp add: inf-eq-neg-sup)
  hence 0 = (-a + sup a b) + (inf a b + (-b))
    by (simp add: add-sup-distrib-left add-inf-distrib-right)
      (simp add: algebra-simps)
  thus ?thesis by (simp add: algebra-simps)
qed

```

## 10.6 Positive Part, Negative Part, Absolute Value

**definition**

```

nprt :: 'a ⇒ 'a where
nprt x = inf x 0

```

**definition**

```

pprt :: 'a ⇒ 'a where
pprt x = sup x 0

```

**lemma** *pprt-neg*:  $pprt (- x) = - \text{nprrt } x$   
**proof** –  
 have  $sup (- x) 0 = sup (- x) (- 0)$  **unfolding** *minus-zero* ..  
 also have  $\dots = - \inf x 0$  **unfolding** *neg-inf-eq-sup* ..  
 finally have  $sup (- x) 0 = - \inf x 0$  .  
 then show *?thesis* **unfolding** *pprt-def nprrt-def* .  
**qed**

**lemma** *nprrt-neg*:  $nprrt (- x) = - \text{pprt } x$   
**proof** –  
 from *pprt-neg* have  $pprt (- (- x)) = - \text{nprrt } (- x)$  .  
 then have  $pprt x = - \text{nprrt } (- x)$  **by** *simp*  
 then show *?thesis* **by** *simp*  
**qed**

**lemma** *prts*:  $a = \text{pprt } a + \text{nprrt } a$   
**by** (*simp add: pprt-def nprrt-def add-eq-inf-sup[symmetric]*)

**lemma** *zero-le-pprt*[*simp*]:  $0 \leq \text{pprt } a$   
**by** (*simp add: pprt-def*)

**lemma** *nprrt-le-zero*[*simp*]:  $\text{nprrt } a \leq 0$   
**by** (*simp add: nprrt-def*)

**lemma** *le-eq-neg*:  $a \leq - b \longleftrightarrow a + b \leq 0$  (**is** *?l* = *?r*)  
**proof** –  
 have  $a: ?l \longrightarrow ?r$   
 apply (*auto*)  
 apply (*rule add-le-imp-le-right[of - uminus b -]*)  
 apply (*simp add: add-assoc*)  
 done  
 have  $b: ?r \longrightarrow ?l$   
 apply (*auto*)  
 apply (*rule add-le-imp-le-right[of - b -]*)  
 apply (*simp*)  
 done  
 from  $a b$  show *?thesis* **by** *blast*  
**qed**

**lemma** *pprt-0*[*simp*]:  $\text{pprt } 0 = 0$  **by** (*simp add: pprt-def*)  
**lemma** *nprrt-0*[*simp*]:  $\text{nprrt } 0 = 0$  **by** (*simp add: nprrt-def*)

**lemma** *pprt-eq-id* [*simp, noatp*]:  $0 \leq x \implies \text{pprt } x = x$   
**by** (*simp add: pprt-def le-iff-sup sup-ACI*)

**lemma** *nprrt-eq-id* [*simp, noatp*]:  $x \leq 0 \implies \text{nprrt } x = x$   
**by** (*simp add: nprrt-def le-iff-inf inf-ACI*)

**lemma** *pprt-eq-0* [*simp*, *noatp*]:  $x \leq 0 \implies \text{pprt } x = 0$   
**by** (*simp add: pprt-def le-iff-sup sup-ACI*)

**lemma** *nprrt-eq-0* [*simp*, *noatp*]:  $0 \leq x \implies \text{nprrt } x = 0$   
**by** (*simp add: nprrt-def le-iff-inf inf-ACI*)

**lemma** *sup-0-imp-0*:  $\text{sup } a \ (-a) = 0 \implies a = 0$

**proof** –

```
{
  fix a::'a
  assume hyp: sup a (-a) = 0
  hence sup a (-a) + a = a by (simp)
  hence sup (a+a) 0 = a by (simp add: add-sup-distrib-right)
  hence sup (a+a) 0 <= a by (simp)
  hence 0 <= a by (blast intro: order-trans inf-sup-ord)
}
note p = this
assume hyp:sup a (-a) = 0
hence hyp2:sup (-a) (-(-a)) = 0 by (simp add: sup-commute)
from p[OF hyp] p[OF hyp2] show a = 0 by simp
qed
```

**lemma** *inf-0-imp-0*:  $\text{inf } a \ (-a) = 0 \implies a = 0$

**apply** (*simp add: inf-eq-neg-sup*)  
**apply** (*simp add: sup-commute*)  
**apply** (*erule sup-0-imp-0*)  
**done**

**lemma** *inf-0-eq-0* [*simp*, *noatp*]:  $\text{inf } a \ (-a) = 0 \longleftrightarrow a = 0$   
**by** (*rule, erule inf-0-imp-0*) *simp*

**lemma** *sup-0-eq-0* [*simp*, *noatp*]:  $\text{sup } a \ (-a) = 0 \longleftrightarrow a = 0$   
**by** (*rule, erule sup-0-imp-0*) *simp*

**lemma** *zero-le-double-add-iff-zero-le-single-add* [*simp*]:

$0 \leq a + a \longleftrightarrow 0 \leq a$

**proof**

```
assume 0 <= a + a
hence a:inf (a+a) 0 = 0 by (simp add: le-iff-inf inf-commute)
have (inf a 0)+(inf a 0) = inf (inf (a+a) 0) a (is ?l=-)
  by (simp add: add-sup-inf-distrib inf-ACI)
hence ?l = 0 + inf a 0 by (simp add: a, simp add: inf-commute)
hence inf a 0 = 0 by (simp only: add-right-cancel)
then show 0 <= a by (simp add: le-iff-inf inf-commute)
```

**next**

```
assume a: 0 <= a
show 0 <= a + a by (simp add: add-mono[OF a a, simplified])
qed
```

**lemma** *double-zero*:  $a + a = 0 \longleftrightarrow a = 0$

**proof**

assume *assm*:  $a + a = 0$

then have  $a + a + - a = - a$  **by** *simp*

then have  $a + (a + - a) = - a$  **by** (*simp only: add-assoc*)

then have  $a: - a = a$  **by** *simp*

show  $a = 0$  **apply** (*rule antisym*)

**apply** (*unfold neg-le-iff-le [symmetric, of a]*)

**unfolding** *a* **apply** *simp*

**unfolding** *zero-le-double-add-iff-zero-le-single-add [symmetric, of a]*

**unfolding** *assm* **unfolding** *le-less* **apply** *simp-all* **done**

**next**

assume  $a = 0$  then show  $a + a = 0$  **by** *simp*

**qed**

**lemma** *zero-less-double-add-iff-zero-less-single-add*:

$0 < a + a \longleftrightarrow 0 < a$

**proof** (*cases a = 0*)

case *True* then show *?thesis* **by** *auto*

**next**

case *False* then show *?thesis*

**unfolding** *less-le* **apply** *simp* **apply** *rule*

**apply** *clarify*

**apply** *rule*

**apply** *assumption*

**apply** (*rule notI*)

**unfolding** *double-zero* [*symmetric, of a*] **apply** *simp*

**done**

**qed**

**lemma** *double-add-le-zero-iff-single-add-le-zero* [*simp*]:

$a + a \leq 0 \longleftrightarrow a \leq 0$

**proof** –

have  $a + a \leq 0 \longleftrightarrow 0 \leq - (a + a)$  **by** (*subst le-minus-iff, simp*)

moreover have  $\dots \longleftrightarrow a \leq 0$  **by** (*simp add: zero-le-double-add-iff-zero-le-single-add*)

ultimately show *?thesis* **by** *blast*

**qed**

**lemma** *double-add-less-zero-iff-single-less-zero* [*simp*]:

$a + a < 0 \longleftrightarrow a < 0$

**proof** –

have  $a + a < 0 \longleftrightarrow 0 < - (a + a)$  **by** (*subst less-minus-iff, simp*)

moreover have  $\dots \longleftrightarrow a < 0$  **by** (*simp add: zero-less-double-add-iff-zero-less-single-add*)

ultimately show *?thesis* **by** *blast*

**qed**

**declare** *neg-inf-eq-sup* [*simp*] *neg-sup-eq-inf* [*simp*]

**lemma** *le-minus-self-iff*:  $a \leq - a \longleftrightarrow a \leq 0$

**proof** –

**from** *add-le-cancel-left* [*of uminus a plus a a zero*]

**have**  $(a \leq -a) = (a+a \leq 0)$

**by** (*simp add: add-assoc[symmetric]*)

**thus** *?thesis* **by** *simp*

**qed**

**lemma** *minus-le-self-iff*:  $- a \leq a \longleftrightarrow 0 \leq a$

**proof** –

**from** *add-le-cancel-left* [*of uminus a zero plus a a*]

**have**  $(-a \leq a) = (0 \leq a+a)$

**by** (*simp add: add-assoc[symmetric]*)

**thus** *?thesis* **by** *simp*

**qed**

**lemma** *zero-le-iff-zero-nprt*:  $0 \leq a \longleftrightarrow \text{nprt } a = 0$

**by** (*simp add: le-iff-inf nprt-def inf-commute*)

**lemma** *le-zero-iff-zero-pprt*:  $a \leq 0 \longleftrightarrow \text{pprt } a = 0$

**by** (*simp add: le-iff-sup pprr-def sup-commute*)

**lemma** *le-zero-iff-pprr-id*:  $0 \leq a \longleftrightarrow \text{pprt } a = a$

**by** (*simp add: le-iff-sup pprr-def sup-commute*)

**lemma** *zero-le-iff-nprt-id*:  $a \leq 0 \longleftrightarrow \text{nprt } a = a$

**by** (*simp add: le-iff-inf nprt-def inf-commute*)

**lemma** *pprr-mono* [*simp, noatp*]:  $a \leq b \implies \text{pprt } a \leq \text{pprt } b$

**by** (*simp add: le-iff-sup pprr-def sup-ACI sup-assoc [symmetric, of a]*)

**lemma** *nprt-mono* [*simp, noatp*]:  $a \leq b \implies \text{nprt } a \leq \text{nprt } b$

**by** (*simp add: le-iff-inf nprt-def inf-ACI inf-assoc [symmetric, of a]*)

**end**

**lemmas** *add-sup-inf-distrib* = *add-inf-distrib-right add-inf-distrib-left add-sup-distrib-right add-sup-distrib-left*

**class** *lordered-ab-group-add-abs* = *lordered-ab-group-add* + *abs* +

**assumes** *abs-lattice*:  $|a| = \text{sup } a \ (-a)$

**begin**

**lemma** *abs-prts*:  $|a| = \text{pprt } a - \text{nprt } a$

**proof** –

**have**  $0 \leq |a|$

**proof** –

**have**  $a: a \leq |a|$  **and**  $b: -a \leq |a|$  **by** (*auto simp add: abs-lattice*)

**show** *?thesis* **by** (*rule add-mono [OF a b, simplified]*)

```

qed
then have  $0 \leq \sup a \ (-a)$  unfolding abs-lattice .
then have  $\sup (\sup a \ (-a)) \ 0 = \sup a \ (-a)$  by (rule sup-absorb1)
then show ?thesis
  by (simp add: add-sup-inf-distrib sup-ACI
      pprt-def nprrt-def diff-minus abs-lattice)
qed

subclass pordered-ab-group-add-abs
proof
  have abs-ge-zero [simp]:  $\bigwedge a. \ 0 \leq |a|$ 
  proof -
    fix a b
    have a:  $a \leq |a|$  and b:  $-a \leq |a|$  by (auto simp add: abs-lattice)
    show  $0 \leq |a|$  by (rule add-mono [OF a b, simplified])
  qed
  have abs-leI:  $\bigwedge a \ b. \ a \leq b \implies -a \leq b \implies |a| \leq b$ 
  by (simp add: abs-lattice le-supI)
  fix a b
  show  $0 \leq |a|$  by simp
  show  $a \leq |a|$ 
  by (auto simp add: abs-lattice)
  show  $|-a| = |a|$ 
  by (simp add: abs-lattice sup-commute)
  show  $a \leq b \implies -a \leq b \implies |a| \leq b$  by (fact abs-leI)
  show  $|a + b| \leq |a| + |b|$ 
  proof -
    have g:  $\text{abs } a + \text{abs } b = \sup (a+b) (\sup (-a-b) (\sup (-a+b) (a + (-b))))$ 
    (is  $\text{--sup } ?m \ ?n$ )
    by (simp add: abs-lattice add-sup-inf-distrib sup-ACI diff-minus)
    have a:  $a+b \leq \sup ?m \ ?n$  by (simp)
    have b:  $-a-b \leq ?n$  by (simp)
    have c:  $?n \leq \sup ?m \ ?n$  by (simp)
    from b c have d:  $-a-b \leq \sup ?m \ ?n$  by (rule order-trans)
    have e:  $-a-b = -(a+b)$  by (simp add: diff-minus)
    from a d e have abs(a+b):  $\leq \sup ?m \ ?n$ 
    by (drule-tac abs-leI, auto)
    with g[symmetric] show ?thesis by simp
  qed
qed

end

lemma sup-eq-if:
  fixes a :: 'a::{\iordered-ab-group-add, \linorder}
  shows  $\sup a \ (-a) = (\text{if } a < 0 \text{ then } -a \text{ else } a)$ 
proof -
  note add-le-cancel-right [of a a - a, symmetric, simplified]
  moreover note add-le-cancel-right [of -a a a, symmetric, simplified]

```



**then show** *?thesis* **by** (auto simp: sup-max max-def)  
**qed**

**lemma** *abs-if-lattice*:  
**fixes**  $a :: 'a :: \{\text{ordered-ab-group-add-abs, linorder}\}$   
**shows**  $|a| = (\text{if } a < 0 \text{ then } -a \text{ else } a)$   
**by** auto

Needed for abelian cancellation simprocs:

**lemma** *add-cancel-21*:  $((x :: 'a :: \text{ab-group-add}) + (y + z) = y + u) = (x + z = u)$   
**apply** (subst add-left-commute)  
**apply** (subst add-left-cancel)  
**apply** simp  
**done**

**lemma** *add-cancel-end*:  $(x + (y + z) = y) = (x = - (z :: 'a :: \text{ab-group-add}))$   
**apply** (subst add-cancel-21[of - - 0, simplified])  
**apply** (simp add: add-right-cancel[symmetric, of  $x - z$  z, simplified])  
**done**

**lemma** *less-eqI*:  $(x :: 'a :: \text{pordered-ab-group-add}) - y = x' - y' \implies (x < y) = (x' < y')$   
**by** (simp add: less-iff-diff-less-0[of  $x$   $y$ ] less-iff-diff-less-0[of  $x'$   $y'$ ])

**lemma** *le-eqI*:  $(x :: 'a :: \text{pordered-ab-group-add}) - y = x' - y' \implies (y \leq x) = (y' \leq x')$   
**apply** (simp add: le-iff-diff-le-0[of  $y$   $x$ ] le-iff-diff-le-0[of  $y'$   $x'$ ])  
**apply** (simp add: neg-le-iff-le[symmetric, of  $y - x$  0] neg-le-iff-le[symmetric, of  $y' - x'$  0])  
**done**

**lemma** *eq-eqI*:  $(x :: 'a :: \text{ab-group-add}) - y = x' - y' \implies (x = y) = (x' = y')$   
**by** (simp only: eq-iff-diff-eq-0[of  $x$   $y$ ] eq-iff-diff-eq-0[of  $x'$   $y'$ ])

**lemma** *diff-def*:  $(x :: 'a :: \text{ab-group-add}) - y == x + (-y)$   
**by** (simp add: diff-minus)

**lemma** *add-minus-cancel*:  $(a :: 'a :: \text{ab-group-add}) + (-a + b) = b$   
**by** (simp add: add-assoc[symmetric])

**lemma** *le-add-right-mono*:  
**assumes**  
 $a \leq b + (c :: 'a :: \text{pordered-ab-group-add})$   
 $c \leq d$   
**shows**  $a \leq b + d$   
**apply** (rule-tac order-trans[where  $y = b + c$ ])  
**apply** (simp-all add: prems)  
**done**

**lemma** *estimate-by-abs*:

$a + b \leq (c :: 'a :: \text{ordered-ab-group-add-abs}) \implies a \leq c + \text{abs } b$

**proof** –

assume  $a + b \leq c$

hence 2:  $a \leq c + (-b)$  **by** (*simp add: algebra-simps*)

have 3:  $(-b) \leq \text{abs } b$  **by** (*rule abs-ge-minus-self*)

show ?thesis **by** (*rule le-add-right-mono[OF 2 3]*)

**qed**

## 10.7 Tools setup

**lemma** *add-mono-thms-ordered-semiring* [*noatp*]:

**fixes**  $i\ j\ k :: 'a :: \text{pordered-ab-semigroup-add}$

**shows**  $i \leq j \wedge k \leq l \implies i + k \leq j + l$

and  $i = j \wedge k \leq l \implies i + k \leq j + l$

and  $i \leq j \wedge k = l \implies i + k \leq j + l$

and  $i = j \wedge k = l \implies i + k = j + l$

**by** (*rule add-mono, clarify+*)

**lemma** *add-mono-thms-ordered-field* [*noatp*]:

**fixes**  $i\ j\ k :: 'a :: \text{pordered-cancel-ab-semigroup-add}$

**shows**  $i < j \wedge k = l \implies i + k < j + l$

and  $i = j \wedge k < l \implies i + k < j + l$

and  $i < j \wedge k \leq l \implies i + k < j + l$

and  $i \leq j \wedge k < l \implies i + k < j + l$

and  $i < j \wedge k < l \implies i + k < j + l$

**by** (*auto intro: add-strict-right-mono add-strict-left-mono  
add-less-le-mono add-le-less-mono add-strict-mono*)

Simplification of  $x - y < (0 :: 'a)$ , etc.

**lemmas** *diff-less-0-iff-less* [*simp, noatp*] = *less-iff-diff-less-0* [*symmetric*]

**lemmas** *diff-le-0-iff-le* [*simp, noatp*] = *le-iff-diff-le-0* [*symmetric*]

**ML**  $\langle\langle$

*structure* *ab-group-add-cancel* = *Abel-Cancel*

(

(\* *term order for abelian groups* \*)

*fun* *agrp-ord* (*Const* (*a*, *-*)) = *find-index* (*fn* *a'* => *a* = *a'*)

[*@{const-name HOL.zero}*, *@{const-name HOL.plus}*,

*@{const-name HOL.uminus}*, *@{const-name HOL.minus}*]

| *agrp-ord* - = *~1*;

*fun* *termless-agrp* (*a*, *b*) = (*TermOrd.term-lpo* *agrp-ord* (*a*, *b*) = *LESS*);

*local*

*val* *ac1* = *mk-meta-eq* *@{thm add-assoc}*;

*val* *ac2* = *mk-meta-eq* *@{thm add-commute}*;

```

val ac3 = mk-meta-eq @{thm add-left-commute};
fun solve-add-ac thy - (- $ (Const (@{const-name HOL.plus},-) $ - $ -) $ -) =
  SOME ac1
  | solve-add-ac thy - (- $ x $ (Const (@{const-name HOL.plus},-) $ y $ z)) =
    if termless-agrp (y, x) then SOME ac3 else NONE
  | solve-add-ac thy - (- $ x $ y) =
    if termless-agrp (y, x) then SOME ac2 else NONE
  | solve-add-ac thy - - = NONE
in
  val add-ac-proc = Simplifier.simproc (the-context ())
    add-ac-proc [x + y::'a::ab-semigroup-add] solve-add-ac;
end;

val eq-reflection = @{thm eq-reflection};

val T = @{typ 'a::ab-group-add};

val cancel-ss = HOL-basic-ss settermless termless-agrp
  addsimprocs [add-ac-proc] addsimps
  [@{thm add-0-left}, @{thm add-0-right}, @{thm diff-def},
   @{thm minus-add-distrib}, @{thm minus-minus}, @{thm minus-zero},
   @{thm right-minus}, @{thm left-minus}, @{thm add-minus-cancel},
   @{thm minus-add-cancel}];

val sum-pats = [@{cterm x + y::'a::ab-group-add}, @{cterm x - y::'a::ab-group-add}];

val eqI-rules = [@{thm less-eqI}, @{thm le-eqI}, @{thm eq-eqI}];

val dest-eqI =
  fst o HOLogic.dest-bin op = HOLogic.boolT o HOLogic.dest-Trueprop o concl-of;

);
>>

ML <<
  Addsimprocs [ab-group-add-cancel.sum-conv, ab-group-add-cancel.rel-conv];
>>

end

```

## 11 Ring-and-Field: (Ordered) Rings and Fields

```

theory Ring-and-Field
imports OrderedGroup
begin

```

The theory of partially ordered rings is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society 1979
- *Partially Ordered Algebraic Systems*, Pergamon Press 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer.

```
class semiring = ab-semigroup-add + semigroup-mult +
  assumes left-distrib[algebra-simps]: (a + b) * c = a * c + b * c
  assumes right-distrib[algebra-simps]: a * (b + c) = a * b + a * c
begin
```

For the *combine-numerals* simproc

```
lemma combine-common-factor:
  a * e + (b * e + c) = (a + b) * e + c
by (simp add: left-distrib add-ac)
```

end

```
class mult-zero = times + zero +
  assumes mult-zero-left [simp]: 0 * a = 0
  assumes mult-zero-right [simp]: a * 0 = 0
```

```
class semiring-0 = semiring + comm-monoid-add + mult-zero
```

```
class semiring-0-cancel = semiring + cancel-comm-monoid-add
begin
```

```
subclass semiring-0
```

```
proof
```

```
  fix a :: 'a
```

```
  have 0 * a + 0 * a = 0 * a + 0 by (simp add: left-distrib [symmetric])
```

```
  thus 0 * a = 0 by (simp only: add-left-cancel)
```

```
next
```

```
  fix a :: 'a
```

```
  have a * 0 + a * 0 = a * 0 + 0 by (simp add: right-distrib [symmetric])
```

```
  thus a * 0 = 0 by (simp only: add-left-cancel)
```

```
qed
```

end

```
class comm-semiring = ab-semigroup-add + ab-semigroup-mult +
  assumes distrib: (a + b) * c = a * c + b * c
begin
```

```

subclass semiring
proof
  fix a b c :: 'a
  show  $(a + b) * c = a * c + b * c$  by (simp add: distrib)
  have  $a * (b + c) = (b + c) * a$  by (simp add: mult-ac)
  also have  $\dots = b * a + c * a$  by (simp only: distrib)
  also have  $\dots = a * b + a * c$  by (simp add: mult-ac)
  finally show  $a * (b + c) = a * b + a * c$  by blast
qed

end

class comm-semiring-0 = comm-semiring + comm-monoid-add + mult-zero
begin

subclass semiring-0 ..

end

class comm-semiring-0-cancel = comm-semiring + cancel-comm-monoid-add
begin

subclass semiring-0-cancel ..

subclass comm-semiring-0 ..

end

class zero-neq-one = zero + one +
  assumes zero-neq-one [simp]:  $0 \neq 1$ 
begin

lemma one-neq-zero [simp]:  $1 \neq 0$ 
by (rule not-sym) (rule zero-neq-one)

end

class semiring-1 = zero-neq-one + semiring-0 + monoid-mult

Abstract divisibility

class dvd = times
begin

definition dvd :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infixl dvd 50) where
  [code del]:  $b \text{ dvd } a \iff (\exists k. a = b * k)$ 

lemma dvdI [intro?]:  $a = b * k \implies b \text{ dvd } a$ 
  unfolding dvd-def ..

```

**lemma** *dvdE* [*elim?*]:  $b \text{ dvd } a \implies (\bigwedge k. a = b * k \implies P) \implies P$   
**unfolding** *dvd-def* **by** *blast*

**end**

**class** *comm-semiring-1* = *zero-neq-one* + *comm-semiring-0* + *comm-monoid-mult*  
+ *dvd*

**begin**

**subclass** *semiring-1* ..

**lemma** *dvd-refl*[*simp*]:  $a \text{ dvd } a$   
**proof**  
  **show**  $a = a * 1$  **by** *simp*  
**qed**

**lemma** *dvd-trans*:  
  **assumes**  $a \text{ dvd } b$  **and**  $b \text{ dvd } c$   
  **shows**  $a \text{ dvd } c$   
**proof** –  
  **from** *assms* **obtain**  $v$  **where**  $b = a * v$  **by** (*auto elim!*: *dvdE*)  
  **moreover from** *assms* **obtain**  $w$  **where**  $c = b * w$  **by** (*auto elim!*: *dvdE*)  
  **ultimately have**  $c = a * (v * w)$  **by** (*simp add*: *mult-assoc*)  
  **then show** *?thesis* ..  
**qed**

**lemma** *dvd-0-left-iff* [*noatp*, *simp*]:  $0 \text{ dvd } a \iff a = 0$   
**by** (*auto intro*: *dvd-refl elim!*: *dvdE*)

**lemma** *dvd-0-right* [*iff*]:  $a \text{ dvd } 0$   
**proof**  
  **show**  $0 = a * 0$  **by** *simp*  
**qed**

**lemma** *one-dvd* [*simp*]:  $1 \text{ dvd } a$   
**by** (*auto intro!*: *dvdI*)

**lemma** *dvd-mult*[*simp*]:  $a \text{ dvd } c \implies a \text{ dvd } (b * c)$   
**by** (*auto intro!*: *mult-left-commute dvdI elim!*: *dvdE*)

**lemma** *dvd-mult2*[*simp*]:  $a \text{ dvd } b \implies a \text{ dvd } (b * c)$   
  **apply** (*subst mult-commute*)  
  **apply** (*erule dvd-mult*)  
  **done**

**lemma** *dvd-triv-right* [*simp*]:  $a \text{ dvd } b * a$   
**by** (*rule dvd-mult*) (*rule dvd-refl*)

**lemma** *dvd-triv-left* [*simp*]:  $a \text{ dvd } a * b$   
**by** (*rule dvd-mult2*) (*rule dvd-refl*)

**lemma** *mult-dvd-mono*:  
**assumes**  $a \text{ dvd } b$   
**and**  $c \text{ dvd } d$   
**shows**  $a * c \text{ dvd } b * d$   
**proof** –  
**from**  $\langle a \text{ dvd } b \rangle$  **obtain**  $b'$  **where**  $b = a * b' ..$   
**moreover from**  $\langle c \text{ dvd } d \rangle$  **obtain**  $d'$  **where**  $d = c * d' ..$   
**ultimately have**  $b * d = (a * c) * (b' * d')$  **by** (*simp add: mult-ac*)  
**then show** *?thesis* ..  
**qed**

**lemma** *dvd-mult-left*:  $a * b \text{ dvd } c \implies a \text{ dvd } c$   
**by** (*simp add: dvd-def mult-assoc, blast*)

**lemma** *dvd-mult-right*:  $a * b \text{ dvd } c \implies b \text{ dvd } c$   
**unfolding** *mult-ac* [*of a*] **by** (*rule dvd-mult-left*)

**lemma** *dvd-0-left*:  $0 \text{ dvd } a \implies a = 0$   
**by** *simp*

**lemma** *dvd-add*[*simp*]:  
**assumes**  $a \text{ dvd } b$  **and**  $a \text{ dvd } c$  **shows**  $a \text{ dvd } (b + c)$   
**proof** –  
**from**  $\langle a \text{ dvd } b \rangle$  **obtain**  $b'$  **where**  $b = a * b' ..$   
**moreover from**  $\langle a \text{ dvd } c \rangle$  **obtain**  $c'$  **where**  $c = a * c' ..$   
**ultimately have**  $b + c = a * (b' + c')$  **by** (*simp add: right-distrib*)  
**then show** *?thesis* ..  
**qed**  
**end**

**class** *no-zero-divisors* = *zero* + *times* +  
**assumes** *no-zero-divisors*:  $a \neq 0 \implies b \neq 0 \implies a * b \neq 0$

**class** *semiring-1-cancel* = *semiring* + *cancel-comm-monoid-add*  
+ *zero-neq-one* + *monoid-mult*  
**begin**

**subclass** *semiring-0-cancel* ..

**subclass** *semiring-1* ..

**end**

**class** *comm-semiring-1-cancel* = *comm-semiring* + *cancel-comm-monoid-add*

+ *zero-neq-one* + *comm-monoid-mult*  
**begin**

**subclass** *semiring-1-cancel* ..  
**subclass** *comm-semiring-0-cancel* ..  
**subclass** *comm-semiring-1* ..

**end**

**class** *ring* = *semiring* + *ab-group-add*  
**begin**

**subclass** *semiring-0-cancel* ..

Distribution rules

**lemma** *minus-mult-left*:  $-(a * b) = -a * b$   
**by** (*rule equals-zero-I*) (*simp add: left-distrib [symmetric]*)

**lemma** *minus-mult-right*:  $-(a * b) = a * -b$   
**by** (*rule equals-zero-I*) (*simp add: right-distrib [symmetric]*)

Extract signs from products

**lemmas** *mult-minus-left* [*simp, noatp*] = *minus-mult-left* [*symmetric*]  
**lemmas** *mult-minus-right* [*simp, noatp*] = *minus-mult-right* [*symmetric*]

**lemma** *minus-mult-minus* [*simp*]:  $-a * -b = a * b$   
**by** *simp*

**lemma** *minus-mult-commute*:  $-a * b = a * -b$   
**by** *simp*

**lemma** *right-diff-distrib*[*algebra-simps*]:  $a * (b - c) = a * b - a * c$   
**by** (*simp add: right-distrib diff-minus*)

**lemma** *left-diff-distrib*[*algebra-simps*]:  $(a - b) * c = a * c - b * c$   
**by** (*simp add: left-distrib diff-minus*)

**lemmas** *ring-distrib*s[*noatp*] =  
*right-distrib left-distrib left-diff-distrib right-diff-distrib*

Legacy - use *algebra-simps*

**lemmas** *ring-simps*[*noatp*] = *algebra-simps*

**lemma** *eq-add-iff1*:  
 $a * e + c = b * e + d \iff (a - b) * e + c = d$   
**by** (*simp add: algebra-simps*)

**lemma** *eq-add-iff2*:  
 $a * e + c = b * e + d \iff c = (b - a) * e + d$



```

by (simp add: algebra-simps)

end

lemmas ring-distrib[noatp] =
  right-distrib left-distrib left-diff-distrib right-diff-distrib

class comm-ring = comm-semiring + ab-group-add
begin

subclass ring ..
subclass comm-semiring-0-cancel ..

end

class ring-1 = ring + zero-neq-one + monoid-mult
begin

subclass semiring-1-cancel ..

end

class comm-ring-1 = comm-ring + zero-neq-one + comm-monoid-mult

begin

subclass ring-1 ..
subclass comm-semiring-1-cancel ..

lemma dvd-minus-iff [simp]:  $x \text{ dvd } - y \longleftrightarrow x \text{ dvd } y$ 
proof
  assume  $x \text{ dvd } - y$ 
  then have  $x \text{ dvd } - 1 * - y$  by (rule dvd-mult)
  then show  $x \text{ dvd } y$  by simp
next
  assume  $x \text{ dvd } y$ 
  then have  $x \text{ dvd } - 1 * y$  by (rule dvd-mult)
  then show  $x \text{ dvd } - y$  by simp
qed

lemma minus-dvd-iff [simp]:  $- x \text{ dvd } y \longleftrightarrow x \text{ dvd } y$ 
proof
  assume  $- x \text{ dvd } y$ 
  then obtain  $k$  where  $y = - x * k$  ..
  then have  $y = x * - k$  by simp
  then show  $x \text{ dvd } y$  ..
next
  assume  $x \text{ dvd } y$ 
  then obtain  $k$  where  $y = x * k$  ..

```

```

    then have  $y = -x * -k$  by simp
    then show  $-x \text{ dvd } y$  ..
qed

```

```

lemma dvd-diff[simp]:  $x \text{ dvd } y \implies x \text{ dvd } z \implies x \text{ dvd } (y - z)$ 
by (simp add: diff-minus dvd-minus-iff)

```

```

end

```

```

class ring-no-zero-divisors = ring + no-zero-divisors
begin

```

```

lemma mult-eq-0-iff [simp]:
  shows  $a * b = 0 \iff (a = 0 \vee b = 0)$ 
proof (cases  $a = 0 \vee b = 0$ )
  case False then have  $a \neq 0$  and  $b \neq 0$  by auto
  then show ?thesis using no-zero-divisors by simp
next
  case True then show ?thesis by auto
qed

```

Cancellation of equalities with a common factor

```

lemma mult-cancel-right [simp, noatp]:
   $a * c = b * c \iff c = 0 \vee a = b$ 
proof -
  have  $(a * c = b * c) \iff ((a - b) * c = 0)$ 
  by (simp add: algebra-simps right-minus-eq)
  thus ?thesis by (simp add: disj-commute right-minus-eq)
qed

```

```

lemma mult-cancel-left [simp, noatp]:
   $c * a = c * b \iff c = 0 \vee a = b$ 
proof -
  have  $(c * a = c * b) \iff (c * (a - b) = 0)$ 
  by (simp add: algebra-simps right-minus-eq)
  thus ?thesis by (simp add: right-minus-eq)
qed

```

```

end

```

```

class ring-1-no-zero-divisors = ring-1 + ring-no-zero-divisors
begin

```

```

lemma mult-cancel-right1 [simp]:
   $c = b * c \iff c = 0 \vee b = 1$ 
by (insert mult-cancel-right [of 1 c b], force)

```

```

lemma mult-cancel-right2 [simp]:
   $a * c = c \iff c = 0 \vee a = 1$ 

```

**by** (*insert mult-cancel-right* [*of a c 1*], *simp*)

**lemma** *mult-cancel-left1* [*simp*]:

$$c = c * b \longleftrightarrow c = 0 \vee b = 1$$

**by** (*insert mult-cancel-left* [*of c 1 b*], *force*)

**lemma** *mult-cancel-left2* [*simp*]:

$$c * a = c \longleftrightarrow c = 0 \vee a = 1$$

**by** (*insert mult-cancel-left* [*of c a 1*], *simp*)

**end**

**class** *idom* = *comm-ring-1* + *no-zero-divisors*

**begin**

**subclass** *ring-1-no-zero-divisors* ..

**lemma** *square-eq-iff*:  $a * a = b * b \longleftrightarrow (a = b \vee a = -b)$

**proof**

**assume**  $a * a = b * b$

**then have**  $(a - b) * (a + b) = 0$

**by** (*simp add: algebra-simps*)

**then show**  $a = b \vee a = -b$

**by** (*simp add: right-minus-eq eq-neg-iff-add-eq-0*)

**next**

**assume**  $a = b \vee a = -b$

**then show**  $a * a = b * b$  **by** *auto*

**qed**

**lemma** *dvd-mult-cancel-right* [*simp*]:

$$a * c \text{ dvd } b * c \longleftrightarrow c = 0 \vee a \text{ dvd } b$$

**proof** –

**have**  $a * c \text{ dvd } b * c \longleftrightarrow (\exists k. b * c = (a * k) * c)$

**unfolding** *dvd-def* **by** (*simp add: mult-ac*)

**also have**  $(\exists k. b * c = (a * k) * c) \longleftrightarrow c = 0 \vee a \text{ dvd } b$

**unfolding** *dvd-def* **by** *simp*

**finally show** *?thesis* .

**qed**

**lemma** *dvd-mult-cancel-left* [*simp*]:

$$c * a \text{ dvd } c * b \longleftrightarrow c = 0 \vee a \text{ dvd } b$$

**proof** –

**have**  $c * a \text{ dvd } c * b \longleftrightarrow (\exists k. b * c = (a * k) * c)$

**unfolding** *dvd-def* **by** (*simp add: mult-ac*)

**also have**  $(\exists k. b * c = (a * k) * c) \longleftrightarrow c = 0 \vee a \text{ dvd } b$

**unfolding** *dvd-def* **by** *simp*

**finally show** *?thesis* .

**qed**

**end**

**class** *division-ring* = *ring-1* + *inverse* +  
**assumes** *left-inverse* [*simp*]:  $a \neq 0 \implies \text{inverse } a * a = 1$   
**assumes** *right-inverse* [*simp*]:  $a \neq 0 \implies a * \text{inverse } a = 1$   
**begin**

**subclass** *ring-1-no-zero-divisors*

**proof**

**fix** *a b* :: 'a  
**assume** *a*:  $a \neq 0$  **and** *b*:  $b \neq 0$   
**show**  $a * b \neq 0$   
**proof**  
**assume** *ab*:  $a * b = 0$   
**hence**  $0 = \text{inverse } a * (a * b) * \text{inverse } b$  **by** *simp*  
**also have**  $\dots = (\text{inverse } a * a) * (b * \text{inverse } b)$   
**by** (*simp only: mult-assoc*)  
**also have**  $\dots = 1$  **using** *a b* **by** *simp*  
**finally show** *False* **by** *simp*  
**qed**  
**qed**

**lemma** *nonzero-imp-inverse-nonzero*:

$a \neq 0 \implies \text{inverse } a \neq 0$   
**proof**  
**assume** *ianz*:  $\text{inverse } a = 0$   
**assume**  $a \neq 0$   
**hence**  $1 = a * \text{inverse } a$  **by** *simp*  
**also have**  $\dots = 0$  **by** (*simp add: ianz*)  
**finally have**  $1 = 0$  .  
**thus** *False* **by** (*simp add: eq-commute*)  
**qed**

**lemma** *inverse-zero-imp-zero*:

$\text{inverse } a = 0 \implies a = 0$   
**apply** (*rule classical*)  
**apply** (*drule nonzero-imp-inverse-nonzero*)  
**apply** *auto*  
**done**

**lemma** *inverse-unique*:

**assumes** *ab*:  $a * b = 1$   
**shows**  $\text{inverse } a = b$   
**proof** –  
**have**  $a \neq 0$  **using** *ab* **by** (*cases a = 0*) *simp-all*  
**moreover have**  $\text{inverse } a * (a * b) = \text{inverse } a$  **by** (*simp add: ab*)  
**ultimately show** ?thesis **by** (*simp add: mult-assoc [symmetric]*)  
**qed**

**lemma** *nonzero-inverse-minus-eq*:

$a \neq 0 \implies \text{inverse } (-a) = - \text{inverse } a$

**by** (rule *inverse-unique*) *simp*

**lemma** *nonzero-inverse-inverse-eq*:

$a \neq 0 \implies \text{inverse } (\text{inverse } a) = a$

**by** (rule *inverse-unique*) *simp*

**lemma** *nonzero-inverse-eq-imp-eq*:

**assumes**  $\text{inverse } a = \text{inverse } b$  **and**  $a \neq 0$  **and**  $b \neq 0$

**shows**  $a = b$

**proof** –

**from**  $\langle \text{inverse } a = \text{inverse } b \rangle$

**have**  $\text{inverse } (\text{inverse } a) = \text{inverse } (\text{inverse } b)$  **by** (rule *arg-cong*)

**with**  $\langle a \neq 0 \rangle$  **and**  $\langle b \neq 0 \rangle$  **show**  $a = b$

**by** (*simp add: nonzero-inverse-inverse-eq*)

**qed**

**lemma** *inverse-1* [*simp*]:  $\text{inverse } 1 = 1$

**by** (rule *inverse-unique*) *simp*

**lemma** *nonzero-inverse-mult-distrib*:

**assumes**  $a \neq 0$  **and**  $b \neq 0$

**shows**  $\text{inverse } (a * b) = \text{inverse } b * \text{inverse } a$

**proof** –

**have**  $a * (b * \text{inverse } b) * \text{inverse } a = 1$  **using** *assms* **by** *simp*

**hence**  $a * b * (\text{inverse } b * \text{inverse } a) = 1$  **by** (*simp only: mult-assoc*)

**thus** *?thesis* **by** (rule *inverse-unique*)

**qed**

**lemma** *division-ring-inverse-add*:

$a \neq 0 \implies b \neq 0 \implies \text{inverse } a + \text{inverse } b = \text{inverse } a * (a + b) * \text{inverse } b$

**by** (*simp add: algebra-simps*)

**lemma** *division-ring-inverse-diff*:

$a \neq 0 \implies b \neq 0 \implies \text{inverse } a - \text{inverse } b = \text{inverse } a * (b - a) * \text{inverse } b$

**by** (*simp add: algebra-simps*)

**end**

**class** *field* = *comm-ring-1* + *inverse* +

**assumes** *field-inverse*:  $a \neq 0 \implies \text{inverse } a * a = 1$

**assumes** *divide-inverse*:  $a / b = a * \text{inverse } b$

**begin**

**subclass** *division-ring*

**proof**

**fix**  $a :: 'a$

**assume**  $a \neq 0$

```

thus inverse a * a = 1 by (rule field-inverse)
thus a * inverse a = 1 by (simp only: mult-commute)
qed

subclass idom ..

lemma right-inverse-eq: b ≠ 0 ⇒ a / b = 1 ↔ a = b
proof
  assume neq: b ≠ 0
  {
    hence a = (a / b) * b by (simp add: divide-inverse mult-ac)
    also assume a / b = 1
    finally show a = b by simp
  }
next
  assume a = b
  with neq show a / b = 1 by (simp add: divide-inverse)
qed

```

```

lemma nonzero-inverse-eq-divide: a ≠ 0 ⇒ inverse a = 1 / a
by (simp add: divide-inverse)

```

```

lemma divide-self [simp]: a ≠ 0 ⇒ a / a = 1
by (simp add: divide-inverse)

```

```

lemma divide-zero-left [simp]: 0 / a = 0
by (simp add: divide-inverse)

```

```

lemma inverse-eq-divide: inverse a = 1 / a
by (simp add: divide-inverse)

```

```

lemma add-divide-distrib: (a+b) / c = a/c + b/c
by (simp add: divide-inverse algebra-simps)

```

There is no slick version using division by zero.

```

lemma inverse-add:
  [| a ≠ 0; b ≠ 0 |]
  ==> inverse a + inverse b = (a + b) * inverse a * inverse b
by (simp add: division-ring-inverse-add mult-ac)

```

```

lemma nonzero-mult-divide-mult-cancel-left [simp, noatp]:
assumes [simp]: b≠0 and [simp]: c≠0 shows (c*a)/(c*b) = a/b
proof –
  have (c*a)/(c*b) = c * a * (inverse b * inverse c)
  by (simp add: divide-inverse nonzero-inverse-mult-distrib)
  also have ... = a * inverse b * (inverse c * c)
  by (simp only: mult-ac)
  also have ... = a * inverse b by simp
  finally show ?thesis by (simp add: divide-inverse)

```

qed

**lemma** *nonzero-mult-divide-mult-cancel-right* [*simp*, *noatp*]:  
 $\llbracket b \neq 0; c \neq 0 \rrbracket \implies (a * c) / (b * c) = a / b$   
**by** (*simp add: mult-commute [of - c]*)

**lemma** *divide-1* [*simp*]:  $a / 1 = a$   
**by** (*simp add: divide-inverse*)

**lemma** *times-divide-eq-right*:  $a * (b / c) = (a * b) / c$   
**by** (*simp add: divide-inverse mult-assoc*)

**lemma** *times-divide-eq-left*:  $(b / c) * a = (b * a) / c$   
**by** (*simp add: divide-inverse mult-ac*)

These are later declared as simp rules.

**lemmas** *times-divide-eq* [*noatp*] = *times-divide-eq-right times-divide-eq-left*

**lemma** *add-frac-eq*:  
**assumes**  $y \neq 0$  **and**  $z \neq 0$   
**shows**  $x / y + w / z = (x * z + w * y) / (y * z)$   
**proof** –  
**have**  $x / y + w / z = (x * z) / (y * z) + (y * w) / (y * z)$   
**using** *assms* **by** *simp*  
**also have**  $\dots = (x * z + y * w) / (y * z)$   
**by** (*simp only: add-divide-distrib*)  
**finally show** *?thesis*  
**by** (*simp only: mult-commute*)  
 qed

Special Cancellation Simprules for Division

**lemma** *nonzero-mult-divide-cancel-right* [*simp*, *noatp*]:  
 $b \neq 0 \implies a * b / b = a$   
**using** *nonzero-mult-divide-mult-cancel-right [of 1 b a]* **by** *simp*

**lemma** *nonzero-mult-divide-cancel-left* [*simp*, *noatp*]:  
 $a \neq 0 \implies a * b / a = b$   
**using** *nonzero-mult-divide-mult-cancel-left [of 1 a b]* **by** *simp*

**lemma** *nonzero-divide-mult-cancel-right* [*simp*, *noatp*]:  
 $\llbracket a \neq 0; b \neq 0 \rrbracket \implies b / (a * b) = 1 / a$   
**using** *nonzero-mult-divide-mult-cancel-right [of a b 1]* **by** *simp*

**lemma** *nonzero-divide-mult-cancel-left* [*simp*, *noatp*]:  
 $\llbracket a \neq 0; b \neq 0 \rrbracket \implies a / (a * b) = 1 / b$   
**using** *nonzero-mult-divide-mult-cancel-left [of b a 1]* **by** *simp*

**lemma** *nonzero-mult-divide-mult-cancel-left2* [*simp*, *noatp*]:  
 $\llbracket b \neq 0; c \neq 0 \rrbracket \implies (c * a) / (b * c) = a / b$

**using** *nonzero-mult-divide-mult-cancel-left* [of  $b\ c\ a$ ] **by** (*simp add: mult-ac*)

**lemma** *nonzero-mult-divide-mult-cancel-right2* [*simp, noatp*]:

$\llbracket b \neq 0; c \neq 0 \rrbracket \implies (a * c) / (c * b) = a / b$

**using** *nonzero-mult-divide-mult-cancel-right* [of  $b\ c\ a$ ] **by** (*simp add: mult-ac*)

**lemma** *minus-divide-left*:  $-(a / b) = (-a) / b$

**by** (*simp add: divide-inverse*)

**lemma** *nonzero-minus-divide-right*:  $b \neq 0 \implies -(a / b) = a / (-b)$

**by** (*simp add: divide-inverse nonzero-inverse-minus-eq*)

**lemma** *nonzero-minus-divide-divide*:  $b \neq 0 \implies (-a) / (-b) = a / b$

**by** (*simp add: divide-inverse nonzero-inverse-minus-eq*)

**lemma** *divide-minus-left* [*simp, noatp*]:  $(-a) / b = -(a / b)$

**by** (*simp add: divide-inverse*)

**lemma** *diff-divide-distrib*:  $(a - b) / c = a / c - b / c$

**by** (*simp add: diff-minus add-divide-distrib*)

**lemma** *add-divide-eq-iff*:

$z \neq 0 \implies x + y / z = (z * x + y) / z$

**by** (*simp add: add-divide-distrib*)

**lemma** *divide-add-eq-iff*:

$z \neq 0 \implies x / z + y = (x + z * y) / z$

**by** (*simp add: add-divide-distrib*)

**lemma** *diff-divide-eq-iff*:

$z \neq 0 \implies x - y / z = (z * x - y) / z$

**by** (*simp add: diff-divide-distrib*)

**lemma** *divide-diff-eq-iff*:

$z \neq 0 \implies x / z - y = (x - z * y) / z$

**by** (*simp add: diff-divide-distrib*)

**lemma** *nonzero-eq-divide-eq*:  $c \neq 0 \implies a = b / c \longleftrightarrow a * c = b$

**proof** –

**assume** [*simp*]:  $c \neq 0$

**have**  $a = b / c \longleftrightarrow a * c = (b / c) * c$  **by** *simp*

**also have**  $\dots \longleftrightarrow a * c = b$  **by** (*simp add: divide-inverse mult-assoc*)

**finally show** *?thesis* .

**qed**

**lemma** *nonzero-divide-eq-eq*:  $c \neq 0 \implies b / c = a \longleftrightarrow b = a * c$

**proof** –

**assume** [*simp*]:  $c \neq 0$

**have**  $b / c = a \longleftrightarrow (b / c) * c = a * c$  **by** *simp*



also have ...  $\longleftrightarrow b = a * c$  by (simp add: divide-inverse mult-assoc)  
 finally show ?thesis .

qed

lemma divide-eq-imp:  $c \neq 0 \implies b = a * c \implies b / c = a$   
 by simp

lemma eq-divide-imp:  $c \neq 0 \implies a * c = b \implies a = b / c$   
 by (erule subst, simp)

lemmas field-eq-simps[noatp] = algebra-simps

add-divide-eq-iff divide-add-eq-iff  
 diff-divide-eq-iff divide-diff-eq-iff

nonzero-eq-divide-eq nonzero-divide-eq-eq

An example:

lemma  $\llbracket a \neq b; c \neq d; e \neq f \rrbracket \implies ((a-b)*(c-d)*(e-f))/((c-d)*(e-f)*(a-b)) = 1$   
 apply(subgoal-tac (c-d)\*(e-f)\*(a-b)  $\neq 0$ )  
 apply(simp add:field-eq-simps)  
 apply(simp)  
 done

lemma diff-frac-eq:

$y \neq 0 \implies z \neq 0 \implies x / y - w / z = (x * z - w * y) / (y * z)$   
 by (simp add: field-eq-simps times-divide-eq)

lemma frac-eq-eq:

$y \neq 0 \implies z \neq 0 \implies (x / y = w / z) = (x * z = w * y)$   
 by (simp add: field-eq-simps times-divide-eq)

end

class division-by-zero = zero + inverse +  
 assumes inverse-zero [simp]:  $\text{inverse } 0 = 0$

lemma divide-zero [simp]:

$a / 0 = (0 :: 'a :: \{\text{field}, \text{division-by-zero}\})$   
 by (simp add: divide-inverse)

lemma divide-self-if [simp]:

$a / (a :: 'a :: \{\text{field}, \text{division-by-zero}\}) = (\text{if } a=0 \text{ then } 0 \text{ else } 1)$   
 by simp

class mult-mono = times + zero + ord +

assumes mult-left-mono:  $a \leq b \implies 0 \leq c \implies c * a \leq c * b$

assumes mult-right-mono:  $a \leq b \implies 0 \leq c \implies a * c \leq b * c$

```
class pordered-semiring = mult-mono + semiring-0 + pordered-ab-semigroup-add
```

```
begin
```

```
lemma mult-mono:
```

$$a \leq b \implies c \leq d \implies 0 \leq b \implies 0 \leq c \\ \implies a * c \leq b * d$$

```
apply (erule mult-right-mono [THEN order-trans], assumption)
```

```
apply (erule mult-left-mono, assumption)
```

```
done
```

```
lemma mult-mono':
```

$$a \leq b \implies c \leq d \implies 0 \leq a \implies 0 \leq c \\ \implies a * c \leq b * d$$

```
apply (rule mult-mono)
```

```
apply (fast intro: order-trans)+
```

```
done
```

```
end
```

```
class pordered-cancel-semiring = mult-mono + pordered-ab-semigroup-add  
+ semiring + cancel-comm-monoid-add
```

```
begin
```

```
subclass semiring-0-cancel ..
```

```
subclass pordered-semiring ..
```

```
lemma mult-nonneg-nonneg:  $0 \leq a \implies 0 \leq b \implies 0 \leq a * b$ 
```

```
using mult-left-mono [of zero b a] by simp
```

```
lemma mult-nonneg-nonpos:  $0 \leq a \implies b \leq 0 \implies a * b \leq 0$ 
```

```
using mult-left-mono [of b zero a] by simp
```

```
lemma mult-nonpos-nonneg:  $a \leq 0 \implies 0 \leq b \implies a * b \leq 0$ 
```

```
using mult-right-mono [of a zero b] by simp
```

Legacy - use *mult-nonpos-nonneg*

```
lemma mult-nonneg-nonpos2:  $0 \leq a \implies b \leq 0 \implies b * a \leq 0$ 
```

```
by (drule mult-right-mono [of b zero], auto)
```

```
lemma split-mult-neg-le:  $(0 \leq a \ \& \ b \leq 0) \mid (a \leq 0 \ \& \ 0 \leq b) \implies a * b \leq 0$ 
```

```
by (auto simp add: mult-nonneg-nonpos mult-nonneg-nonpos2)
```

```
end
```

```
class ordered-semiring = semiring + comm-monoid-add + ordered-cancel-ab-semigroup-add  
+ mult-mono
```

```
begin
```

**subclass** *pordered-cancel-semiring* ..

**subclass** *pordered-comm-monoid-add* ..

**lemma** *mult-left-less-imp-less*:

$$c * a < c * b \implies 0 \leq c \implies a < b$$

**by** (*force simp add: mult-left-mono not-le [symmetric]*)

**lemma** *mult-right-less-imp-less*:

$$a * c < b * c \implies 0 \leq c \implies a < b$$

**by** (*force simp add: mult-right-mono not-le [symmetric]*)

**end**

**class** *ordered-semiring-strict* = *semiring* + *comm-monoid-add* + *ordered-cancel-ab-semigroup-add* +

**assumes** *mult-strict-left-mono*:  $a < b \implies 0 < c \implies c * a < c * b$

**assumes** *mult-strict-right-mono*:  $a < b \implies 0 < c \implies a * c < b * c$

**begin**

**subclass** *semiring-0-cancel* ..

**subclass** *ordered-semiring*

**proof**

**fix**  $a\ b\ c :: 'a$

**assume**  $A$ :  $a \leq b\ 0 \leq c$

**from**  $A$  **show**  $c * a \leq c * b$

**unfolding** *le-less*

**using** *mult-strict-left-mono* **by** (*cases c = 0*) *auto*

**from**  $A$  **show**  $a * c \leq b * c$

**unfolding** *le-less*

**using** *mult-strict-right-mono* **by** (*cases c = 0*) *auto*

**qed**

**lemma** *mult-left-le-imp-le*:

$$c * a \leq c * b \implies 0 < c \implies a \leq b$$

**by** (*force simp add: mult-strict-left-mono -not-less [symmetric]*)

**lemma** *mult-right-le-imp-le*:

$$a * c \leq b * c \implies 0 < c \implies a \leq b$$

**by** (*force simp add: mult-strict-right-mono not-less [symmetric]*)

**lemma** *mult-pos-pos*:  $0 < a \implies 0 < b \implies 0 < a * b$

**using** *mult-strict-left-mono* [*of zero b a*] **by** *simp*

**lemma** *mult-pos-neg*:  $0 < a \implies b < 0 \implies a * b < 0$

**using** *mult-strict-left-mono* [*of b zero a*] **by** *simp*

**lemma** *mult-neg-pos*:  $a < 0 \implies 0 < b \implies a * b < 0$

**using** *mult-strict-right-mono* [of *a zero b*] **by** *simp*

Legacy - use *mult-neg-pos*

**lemma** *mult-pos-neg2*:  $0 < a \implies b < 0 \implies b * a < 0$   
**by** (*drule mult-strict-right-mono* [of *b zero*], *auto*)

**lemma** *zero-less-mult-pos*:  
 $0 < a * b \implies 0 < a \implies 0 < b$   
**apply** (*cases b≤0*)  
**apply** (*auto simp add: le-less not-less*)  
**apply** (*drule-tac mult-pos-neg* [of *a b*])  
**apply** (*auto dest: less-not-sym*)  
**done**

**lemma** *zero-less-mult-pos2*:  
 $0 < b * a \implies 0 < a \implies 0 < b$   
**apply** (*cases b≤0*)  
**apply** (*auto simp add: le-less not-less*)  
**apply** (*drule-tac mult-pos-neg2* [of *a b*])  
**apply** (*auto dest: less-not-sym*)  
**done**

Strict monotonicity in both arguments

**lemma** *mult-strict-mono*:  
**assumes**  $a < b$  **and**  $c < d$  **and**  $0 < b$  **and**  $0 \leq c$   
**shows**  $a * c < b * d$   
**using** *assms* **apply** (*cases c=0*)  
**apply** (*simp add: mult-pos-pos*)  
**apply** (*erule mult-strict-right-mono* [THEN *less-trans*])  
**apply** (*force simp add: le-less*)  
**apply** (*erule mult-strict-left-mono, assumption*)  
**done**

This weaker variant has more natural premises

**lemma** *mult-strict-mono'*:  
**assumes**  $a < b$  **and**  $c < d$  **and**  $0 \leq a$  **and**  $0 \leq c$   
**shows**  $a * c < b * d$   
**by** (*rule mult-strict-mono*) (*insert assms, auto*)

**lemma** *mult-less-le-imp-less*:  
**assumes**  $a < b$  **and**  $c \leq d$  **and**  $0 \leq a$  **and**  $0 < c$   
**shows**  $a * c < b * d$   
**using** *assms* **apply** (*subgoal-tac a \* c < b \* c*)  
**apply** (*erule less-le-trans*)  
**apply** (*erule mult-left-mono*)  
**apply** *simp*  
**apply** (*erule mult-strict-right-mono*)  
**apply** *assumption*  
**done**

**lemma** *mult-le-less-imp-less*:

assumes  $a \leq b$  and  $c < d$  and  $0 < a$  and  $0 \leq c$   
 shows  $a * c < b * d$   
 using *assms* **apply** (*subgoal-tac*  $a * c \leq b * c$ )  
**apply** (*erule le-less-trans*)  
**apply** (*erule mult-strict-left-mono*)  
**apply** *simp*  
**apply** (*erule mult-right-mono*)  
**apply** *simp*  
**done**

**lemma** *mult-less-imp-less-left*:

assumes *less*:  $c * a < c * b$  and *nonneg*:  $0 \leq c$   
 shows  $a < b$   
**proof** (*rule ccontr*)  
 assume  $\neg a < b$   
 hence  $b \leq a$  **by** (*simp add: linorder-not-less*)  
 hence  $c * b \leq c * a$  **using** *nonneg* **by** (*rule mult-left-mono*)  
 with *this* and *less* **show** *False* **by** (*simp add: not-less [symmetric]*)  
**qed**

**lemma** *mult-less-imp-less-right*:

assumes *less*:  $a * c < b * c$  and *nonneg*:  $0 \leq c$   
 shows  $a < b$   
**proof** (*rule ccontr*)  
 assume  $\neg a < b$   
 hence  $b \leq a$  **by** (*simp add: linorder-not-less*)  
 hence  $b * c \leq a * c$  **using** *nonneg* **by** (*rule mult-right-mono*)  
 with *this* and *less* **show** *False* **by** (*simp add: not-less [symmetric]*)  
**qed**

**end**

**class** *mult-mono1* = *times* + *zero* + *ord* +  
 assumes *mult-mono1*:  $a \leq b \implies 0 \leq c \implies c * a \leq c * b$

**class** *pordered-comm-semiring* = *comm-semiring-0*  
 + *pordered-ab-semigroup-add* + *mult-mono1*  
**begin**

**subclass** *pordered-semiring*

**proof**  
 fix  $a b c :: 'a$   
 assume  $a \leq b$   $0 \leq c$   
 thus  $c * a \leq c * b$  **by** (*rule mult-mono1*)  
 thus  $a * c \leq b * c$  **by** (*simp only: mult-commute*)  
**qed**

**end**

**class** *pordered-cancel-comm-semiring* = *comm-semiring-0-cancel*  
 + *pordered-ab-semigroup-add* + *mult-mono1*  
**begin**

**subclass** *pordered-comm-semiring* ..  
**subclass** *pordered-cancel-semiring* ..

**end**

**class** *ordered-comm-semiring-strict* = *comm-semiring-0* + *ordered-cancel-ab-semigroup-add*  
 +  
**assumes** *mult-strict-left-mono-comm*:  $a < b \implies 0 < c \implies c * a < c * b$   
**begin**

**subclass** *ordered-semiring-strict*  
**proof**  
**fix**  $a\ b\ c :: 'a$   
**assume**  $a < b\ 0 < c$   
**thus**  $c * a < c * b$  **by** (*rule mult-strict-left-mono-comm*)  
**thus**  $a * c < b * c$  **by** (*simp only: mult-commute*)  
**qed**

**subclass** *pordered-cancel-comm-semiring*  
**proof**  
**fix**  $a\ b\ c :: 'a$   
**assume**  $a \leq b\ 0 \leq c$   
**thus**  $c * a \leq c * b$   
**unfolding** *le-less*  
**using** *mult-strict-left-mono* **by** (*cases c = 0*) *auto*  
**qed**

**end**

**class** *pordered-ring* = *ring* + *pordered-cancel-semiring*  
**begin**

**subclass** *pordered-ab-group-add* ..

Legacy - use *algebra-simps*

**lemmas** *ring-simps*[*noatp*] = *algebra-simps*

**lemma** *less-add-iff1*:  
 $a * e + c < b * e + d \longleftrightarrow (a - b) * e + c < d$   
**by** (*simp add: algebra-simps*)

**lemma** *less-add-iff2*:  
 $a * e + c < b * e + d \longleftrightarrow c < (b - a) * e + d$

**by** (*simp add: algebra-simps*)

**lemma** *le-add-iff1*:

$$a * e + c \leq b * e + d \longleftrightarrow (a - b) * e + c \leq d$$

**by** (*simp add: algebra-simps*)

**lemma** *le-add-iff2*:

$$a * e + c \leq b * e + d \longleftrightarrow c \leq (b - a) * e + d$$

**by** (*simp add: algebra-simps*)

**lemma** *mult-left-mono-neg*:

$$b \leq a \implies c \leq 0 \implies c * a \leq c * b$$

**apply** (*drule mult-left-mono [of - - uminus c]*)

**apply** (*simp-all add: minus-mult-left [symmetric]*)

**done**

**lemma** *mult-right-mono-neg*:

$$b \leq a \implies c \leq 0 \implies a * c \leq b * c$$

**apply** (*drule mult-right-mono [of - - uminus c]*)

**apply** (*simp-all add: minus-mult-right [symmetric]*)

**done**

**lemma** *mult-nonpos-nonpos*:  $a \leq 0 \implies b \leq 0 \implies 0 \leq a * b$

**using** *mult-right-mono-neg [of a zero b]* **by** *simp*

**lemma** *split-mult-pos-le*:

$$(0 \leq a \wedge 0 \leq b) \vee (a \leq 0 \wedge b \leq 0) \implies 0 \leq a * b$$

**by** (*auto simp add: mult-nonneg-nonneg mult-nonpos-nonpos*)

**end**

**class** *abs-if* = *minus* + *uminus* + *ord* + *zero* + *abs* +

**assumes** *abs-if*:  $|a| = (\text{if } a < 0 \text{ then } -a \text{ else } a)$

**class** *sgn-if* = *minus* + *uminus* + *zero* + *one* + *ord* + *sgn* +

**assumes** *sgn-if*:  $\text{sgn } x = (\text{if } x = 0 \text{ then } 0 \text{ else if } 0 < x \text{ then } 1 \text{ else } -1)$

**lemma** (*in sgn-if*) *sgn0*[*simp*]:  $\text{sgn } 0 = 0$

**by**(*simp add:sgn-if*)

**class** *ordered-ring* = *ring* + *ordered-semiring*

+ *ordered-ab-group-add* + *abs-if*

**begin**

**subclass** *pordered-ring* ..

**subclass** *pordered-ab-group-add-abs*

**proof**

**fix** *a b*

```

  show  $|a + b| \leq |a| + |b|$ 
by (auto simp add: abs-if not-less neg-less-eq-nonneg less-eq-neg-nonpos)
  (auto simp del: minus-add-distrib simp add: minus-add-distrib [symmetric]
    neg-less-eq-nonneg less-eq-neg-nonpos, auto intro: add-nonneg-nonneg,
    auto intro!: less-imp-le add-neg-neg)
qed (auto simp add: abs-if less-eq-neg-nonpos neg-equal-zero)

end

```

```

class ordered-ring-strict = ring + ordered-semiring-strict
  + ordered-ab-group-add + abs-if
begin

```

```

subclass ordered-ring ..

```

```

lemma mult-strict-left-mono-neg:  $b < a \implies c < 0 \implies c * a < c * b$ 
using mult-strict-left-mono [of  $b$   $a - c$ ] by simp

```

```

lemma mult-strict-right-mono-neg:  $b < a \implies c < 0 \implies a * c < b * c$ 
using mult-strict-right-mono [of  $b$   $a - c$ ] by simp

```

```

lemma mult-neg-neg:  $a < 0 \implies b < 0 \implies 0 < a * b$ 
using mult-strict-right-mono-neg [of  $a$  zero  $b$ ] by simp

```

```

subclass ring-no-zero-divisors

```

```

proof
  fix a b
  assume  $a \neq 0$  then have  $A: a < 0 \vee 0 < a$  by (simp add: neq-iff)
  assume  $b \neq 0$  then have  $B: b < 0 \vee 0 < b$  by (simp add: neq-iff)
  have  $a * b < 0 \vee 0 < a * b$ 
  proof (cases  $a < 0$ )
    case True note  $A' = this$ 
    show ?thesis proof (cases  $b < 0$ )
      case True with  $A'$ 
        show ?thesis by (auto dest: mult-neg-neg)
    next
      case False with  $B$  have  $0 < b$  by auto
      with  $A'$  show ?thesis by (auto dest: mult-strict-right-mono)
    qed
  next
    case False with  $A$  have  $A': 0 < a$  by auto
    show ?thesis proof (cases  $b < 0$ )
      case True with  $A'$ 
        show ?thesis by (auto dest: mult-strict-right-mono-neg)
    next
      case False with  $B$  have  $0 < b$  by auto
      with  $A'$  show ?thesis by (auto dest: mult-pos-pos)
    qed
  qed

```



```

qed
then show  $a * b \neq 0$  by (simp add: neq-iff)
qed

```

```

lemma zero-less-mult-iff:
 $0 < a * b \longleftrightarrow 0 < a \wedge 0 < b \vee a < 0 \wedge b < 0$ 
apply (auto simp add: mult-pos-pos mult-neg-neg)
apply (simp-all add: not-less le-less)
apply (erule disjE) apply assumption defer
apply (erule disjE) defer apply (drule sym) apply simp
apply (erule disjE) defer apply (drule sym) apply simp
apply (erule disjE) apply assumption apply (drule sym) apply simp
apply (drule sym) apply simp
apply (blast dest: zero-less-mult-pos)
apply (blast dest: zero-less-mult-pos2)
done

```

```

lemma zero-le-mult-iff:
 $0 \leq a * b \longleftrightarrow 0 \leq a \wedge 0 \leq b \vee a \leq 0 \wedge b \leq 0$ 
by (auto simp add: eq-commute [of 0] le-less not-less zero-less-mult-iff)

```

```

lemma mult-less-0-iff:
 $a * b < 0 \longleftrightarrow 0 < a \wedge b < 0 \vee a < 0 \wedge 0 < b$ 
apply (insert zero-less-mult-iff [of  $-a$   $b$ ])
apply (force simp add: minus-mult-left[symmetric])
done

```

```

lemma mult-le-0-iff:
 $a * b \leq 0 \longleftrightarrow 0 \leq a \wedge b \leq 0 \vee a \leq 0 \wedge 0 \leq b$ 
apply (insert zero-le-mult-iff [of  $-a$   $b$ ])
apply (force simp add: minus-mult-left[symmetric])
done

```

```

lemma zero-le-square [simp]:  $0 \leq a * a$ 
by (simp add: zero-le-mult-iff linear)

```

```

lemma not-square-less-zero [simp]:  $\neg (a * a < 0)$ 
by (simp add: not-less)

```

Cancellation laws for  $c * a < c * b$  and  $a * c < b * c$ , also with the relations  $\leq$  and equality.

These “disjunction” versions produce two cases when the comparison is an assumption, but effectively four when the comparison is a goal.

```

lemma mult-less-cancel-right-disj:
 $a * c < b * c \longleftrightarrow 0 < c \wedge a < b \vee c < 0 \wedge b < a$ 
apply (cases  $c = 0$ )
apply (auto simp add: neq-iff mult-strict-right-mono
mult-strict-right-mono-neg)

```

```

apply (auto simp add: not-less
              not-le [symmetric, of a*c]
              not-le [symmetric, of a])
apply (erule-tac [!] notE)
apply (auto simp add: less-imp-le mult-right-mono
              mult-right-mono-neg)
done

lemma mult-less-cancel-left-disj:
   $c * a < c * b \longleftrightarrow 0 < c \wedge a < b \vee c < 0 \wedge b < a$ 
apply (cases c = 0)
apply (auto simp add: neg-iff mult-strict-left-mono
              mult-strict-left-mono-neg)
apply (auto simp add: not-less
              not-le [symmetric, of c*a]
              not-le [symmetric, of a])
apply (erule-tac [!] notE)
apply (auto simp add: less-imp-le mult-left-mono
              mult-left-mono-neg)
done

```

The “conjunction of implication” lemmas produce two cases when the comparison is a goal, but give four when the comparison is an assumption.

```

lemma mult-less-cancel-right:
   $a * c < b * c \longleftrightarrow (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$ 
using mult-less-cancel-right-disj [of a c b] by auto

```

```

lemma mult-less-cancel-left:
   $c * a < c * b \longleftrightarrow (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$ 
using mult-less-cancel-left-disj [of c a b] by auto

```

```

lemma mult-le-cancel-right:
   $a * c \leq b * c \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$ 
by (simp add: not-less [symmetric] mult-less-cancel-right-disj)

```

```

lemma mult-le-cancel-left:
   $c * a \leq c * b \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$ 
by (simp add: not-less [symmetric] mult-less-cancel-left-disj)

```

```

lemma mult-le-cancel-left-pos:
   $0 < c \implies c * a \leq c * b \longleftrightarrow a \leq b$ 
by (auto simp: mult-le-cancel-left)

```

```

lemma mult-le-cancel-left-neg:
   $c < 0 \implies c * a \leq c * b \longleftrightarrow b \leq a$ 
by (auto simp: mult-le-cancel-left)

```

```

lemma mult-less-cancel-left-pos:
   $0 < c \implies c * a < c * b \longleftrightarrow a < b$ 

```

**by** (*auto simp: mult-less-cancel-left*)

**lemma** *mult-less-cancel-left-neg*:

$c < 0 \implies c * a < c * b \longleftrightarrow b < a$

**by** (*auto simp: mult-less-cancel-left*)

**end**

Legacy - use *algebra-simps*

**lemmas** *ring-simps*[*noatp*] = *algebra-simps*

**lemmas** *mult-sign-intros* =

*mult-nonneg-nonneg mult-nonneg-nonpos*

*mult-nonpos-nonneg mult-nonpos-nonpos*

*mult-pos-pos mult-pos-neg*

*mult-neg-pos mult-neg-neg*

**class** *pordered-comm-ring* = *comm-ring* + *pordered-comm-semiring*

**begin**

**subclass** *pordered-ring* ..

**subclass** *pordered-cancel-comm-semiring* ..

**end**

**class** *ordered-semidom* = *comm-semiring-1-cancel* + *ordered-comm-semiring-strict*  
+

**assumes** *zero-less-one* [*simp*]:  $0 < 1$

**begin**

**lemma** *pos-add-strict*:

**shows**  $0 < a \implies b < c \implies b < a + c$

**using** *add-strict-mono* [*of zero a b c*] **by** *simp*

**lemma** *zero-le-one* [*simp*]:  $0 \leq 1$

**by** (*rule zero-less-one* [*THEN less-imp-le*])

**lemma** *not-one-le-zero* [*simp*]:  $\neg 1 \leq 0$

**by** (*simp add: not-le*)

**lemma** *not-one-less-zero* [*simp*]:  $\neg 1 < 0$

**by** (*simp add: not-less*)

**lemma** *less-1-mult*:

**assumes**  $1 < m$  **and**  $1 < n$

**shows**  $1 < m * n$

**using** *assms mult-strict-mono* [*of 1 m 1 n*]

**by** (*simp add: less-trans* [*OF zero-less-one*])

```

end

class ordered-idom = comm-ring-1 +
  ordered-comm-semiring-strict + ordered-ab-group-add +
  abs-if + sgn-if

begin

subclass ordered-ring-strict ..
subclass pordered-comm-ring ..
subclass idom ..

subclass ordered-semidom
proof
  have  $0 \leq 1 * 1$  by (rule zero-le-square)
  thus  $0 < 1$  by (simp add: le-less)
qed

lemma linorder-neqE-ordered-idom:
  assumes  $x \neq y$  obtains  $x < y \mid y < x$ 
  using assms by (rule neqE)

These cancellation simprules also produce two cases when the comparison
is a goal.

lemma mult-le-cancel-right1:
   $c \leq b * c \iff (0 < c \implies 1 \leq b) \wedge (c < 0 \implies b \leq 1)$ 
  by (insert mult-le-cancel-right [of 1 c b], simp)

lemma mult-le-cancel-right2:
   $a * c \leq c \iff (0 < c \implies a \leq 1) \wedge (c < 0 \implies 1 \leq a)$ 
  by (insert mult-le-cancel-right [of a c 1], simp)

lemma mult-le-cancel-left1:
   $c \leq c * b \iff (0 < c \implies 1 \leq b) \wedge (c < 0 \implies b \leq 1)$ 
  by (insert mult-le-cancel-left [of c 1 b], simp)

lemma mult-le-cancel-left2:
   $c * a \leq c \iff (0 < c \implies a \leq 1) \wedge (c < 0 \implies 1 \leq a)$ 
  by (insert mult-le-cancel-left [of c a 1], simp)

lemma mult-less-cancel-right1:
   $c < b * c \iff (0 \leq c \implies 1 < b) \wedge (c \leq 0 \implies b < 1)$ 
  by (insert mult-less-cancel-right [of 1 c b], simp)

lemma mult-less-cancel-right2:
   $a * c < c \iff (0 \leq c \implies a < 1) \wedge (c \leq 0 \implies 1 < a)$ 
  by (insert mult-less-cancel-right [of a c 1], simp)

```

**lemma** *mult-less-cancel-left1*:

$$c < c * b \longleftrightarrow (0 \leq c \longrightarrow 1 < b) \wedge (c \leq 0 \longrightarrow b < 1)$$

**by** (*insert mult-less-cancel-left [of c 1 b], simp*)

**lemma** *mult-less-cancel-left2*:

$$c * a < c \longleftrightarrow (0 \leq c \longrightarrow a < 1) \wedge (c \leq 0 \longrightarrow 1 < a)$$

**by** (*insert mult-less-cancel-left [of c a 1], simp*)

**lemma** *sgn-sgn [simp]*:

$$\text{sgn} (\text{sgn } a) = \text{sgn } a$$

**unfolding** *sgn-if* **by** *simp*

**lemma** *sgn-0-0*:

$$\text{sgn } a = 0 \longleftrightarrow a = 0$$

**unfolding** *sgn-if* **by** *simp*

**lemma** *sgn-1-pos*:

$$\text{sgn } a = 1 \longleftrightarrow a > 0$$

**unfolding** *sgn-if* **by** (*simp add: neg-equal-zero*)

**lemma** *sgn-1-neg*:

$$\text{sgn } a = -1 \longleftrightarrow a < 0$$

**unfolding** *sgn-if* **by** (*auto simp add: equal-neg-zero*)

**lemma** *sgn-pos [simp]*:

$$0 < a \implies \text{sgn } a = 1$$

**unfolding** *sgn-1-pos* .

**lemma** *sgn-neg [simp]*:

$$a < 0 \implies \text{sgn } a = -1$$

**unfolding** *sgn-1-neg* .

**lemma** *sgn-times*:

$$\text{sgn } (a * b) = \text{sgn } a * \text{sgn } b$$

**by** (*auto simp add: sgn-if zero-less-mult-iff*)

**lemma** *abs-sgn*:  $\text{abs } k = k * \text{sgn } k$

**unfolding** *sgn-if abs-if* **by** *auto*

**lemma** *sgn-greater [simp]*:

$$0 < \text{sgn } a \longleftrightarrow 0 < a$$

**unfolding** *sgn-if* **by** *auto*

**lemma** *sgn-less [simp]*:

$$\text{sgn } a < 0 \longleftrightarrow a < 0$$

**unfolding** *sgn-if* **by** *auto*

**lemma** *abs-dvd-iff [simp]*:  $(\text{abs } m) \text{ dvd } k \longleftrightarrow m \text{ dvd } k$

**by** (*simp add: abs-if*)

**lemma** *dvd-abs-iff* [simp]:  $m \text{ dvd } (\text{abs } k) \longleftrightarrow m \text{ dvd } k$   
**by** (simp add: abs-if)

**end**

**class** *ordered-field* = *field* + *ordered-idom*

Simprules for comparisons where common factors can be cancelled.

**lemmas** *mult-compare-simps*[noatp] =  
*mult-le-cancel-right mult-le-cancel-left*  
*mult-le-cancel-right1 mult-le-cancel-right2*  
*mult-le-cancel-left1 mult-le-cancel-left2*  
*mult-less-cancel-right mult-less-cancel-left*  
*mult-less-cancel-right1 mult-less-cancel-right2*  
*mult-less-cancel-left1 mult-less-cancel-left2*  
*mult-cancel-right mult-cancel-left*  
*mult-cancel-right1 mult-cancel-right2*  
*mult-cancel-left1 mult-cancel-left2*

— FIXME continue localization here

**lemma** *inverse-nonzero-iff-nonzero* [simp]:  
 $(\text{inverse } a = 0) = (a = (0::'a::\{\text{division-ring}, \text{division-by-zero}\}))$   
**by** (force dest: *inverse-zero-imp-zero*)

**lemma** *inverse-minus-eq* [simp]:  
 $\text{inverse}(-a) = -\text{inverse}(a::'a::\{\text{division-ring}, \text{division-by-zero}\})$

**proof** cases

**assume**  $a=0$  **thus** ?thesis **by** (simp add: *inverse-zero*)

**next**

**assume**  $a \neq 0$

**thus** ?thesis **by** (simp add: *nonzero-inverse-minus-eq*)

**qed**

**lemma** *inverse-eq-imp-eq*:  
 $\text{inverse } a = \text{inverse } b \implies a = (b::'a::\{\text{division-ring}, \text{division-by-zero}\})$   
**apply** (cases  $a=0 \mid b=0$ )  
**apply** (force dest!: *inverse-zero-imp-zero*  
simp add: *eq-commute* [of  $0::'a$ ])  
**apply** (force dest!: *nonzero-inverse-eq-imp-eq*)  
**done**

**lemma** *inverse-eq-iff-eq* [simp]:  
 $(\text{inverse } a = \text{inverse } b) = (a = (b::'a::\{\text{division-ring}, \text{division-by-zero}\}))$   
**by** (force dest!: *inverse-eq-imp-eq*)

**lemma** *inverse-inverse-eq* [simp]:  
 $\text{inverse}(\text{inverse } (a::'a::\{\text{division-ring}, \text{division-by-zero}\})) = a$

```

proof cases
  assume  $a=0$  thus ?thesis by simp
next
  assume  $a \neq 0$ 
  thus ?thesis by (simp add: nonzero-inverse-inverse-eq)
qed

```

This version builds in division by zero while also re-orienting the right-hand side.

```

lemma inverse-mult-distrib [simp]:
   $\text{inverse}(a*b) = \text{inverse}(a) * \text{inverse}(b::'a::\{\text{field}, \text{division-by-zero}\})$ 
proof cases
  assume  $a \neq 0 \ \& \ b \neq 0$ 
  thus ?thesis by (simp add: nonzero-inverse-mult-distrib mult-commute)
next
  assume  $\sim (a \neq 0 \ \& \ b \neq 0)$ 
  thus ?thesis by force
qed

```

```

lemma inverse-divide [simp]:
   $\text{inverse}(a/b) = b / (a::'a::\{\text{field}, \text{division-by-zero}\})$ 
by (simp add: divide-inverse mult-commute)

```

### 11.1 Calculations with fractions

There is a whole bunch of simp-rules just for class *field* but none for class *field* and *nonzero-divides* because the latter are covered by a simproc.

```

lemma mult-divide-mult-cancel-left:
   $c \neq 0 \implies (c*a) / (c*b) = a / (b::'a::\{\text{field}, \text{division-by-zero}\})$ 
apply (cases  $b = 0$ )
apply (simp-all add: nonzero-mult-divide-mult-cancel-left)
done

```

```

lemma mult-divide-mult-cancel-right:
   $c \neq 0 \implies (a*c) / (b*c) = a / (b::'a::\{\text{field}, \text{division-by-zero}\})$ 
apply (cases  $b = 0$ )
apply (simp-all add: nonzero-mult-divide-mult-cancel-right)
done

```

```

lemma divide-divide-eq-right [simp, noatp]:
   $a / (b/c) = (a*c) / (b::'a::\{\text{field}, \text{division-by-zero}\})$ 
by (simp add: divide-inverse mult-ac)

```

```

lemma divide-divide-eq-left [simp, noatp]:
   $(a / b) / (c::'a::\{\text{field}, \text{division-by-zero}\}) = a / (b*c)$ 
by (simp add: divide-inverse mult-assoc)

```

### 11.1.1 Special Cancellation Simprules for Division

**lemma** *mult-divide-mult-cancel-left-if* [*simp*, *noatp*]:  
**fixes**  $c :: 'a :: \{\text{field}, \text{division-by-zero}\}$   
**shows**  $(c*a) / (c*b) = (\text{if } c=0 \text{ then } 0 \text{ else } a/b)$   
**by** (*simp add: mult-divide-mult-cancel-left*)

### 11.2 Division and Unary Minus

**lemma** *minus-divide-right*:  $-(a/b) = a / -(b::'a::\{\text{field}, \text{division-by-zero}\})$   
**by** (*simp add: divide-inverse*)

**lemma** *divide-minus-right* [*simp*, *noatp*]:  
 $a / -(b::'a::\{\text{field}, \text{division-by-zero}\}) = -(a / b)$   
**by** (*simp add: divide-inverse*)

**lemma** *minus-divide-divide*:  
 $(-a)/(-b) = a / (b::'a::\{\text{field}, \text{division-by-zero}\})$   
**apply** (*cases b=0, simp*)  
**apply** (*simp add: nonzero-minus-divide-divide*)  
**done**

**lemma** *eq-divide-eq*:  
 $((a::'a::\{\text{field}, \text{division-by-zero}\}) = b/c) = (\text{if } c \neq 0 \text{ then } a*c = b \text{ else } a=0)$   
**by** (*simp add: nonzero-eq-divide-eq*)

**lemma** *divide-eq-eq*:  
 $(b/c = (a::'a::\{\text{field}, \text{division-by-zero}\})) = (\text{if } c \neq 0 \text{ then } b = a*c \text{ else } a=0)$   
**by** (*force simp add: nonzero-divide-eq-eq*)

### 11.3 Ordered Fields

**lemma** *positive-imp-inverse-positive*:  
**assumes**  $a\text{-gt-}0: 0 < a$  **shows**  $0 < \text{inverse } a$  ( $a::'a::\text{ordered-field}$ )  
**proof** –  
  **have**  $0 < a * \text{inverse } a$   
  **by** (*simp add: a-gt-0 [THEN order-less-imp-not-eq2] zero-less-one*)  
  **thus**  $0 < \text{inverse } a$   
  **by** (*simp add: a-gt-0 [THEN order-less-not-sym] zero-less-mult-iff*)  
**qed**

**lemma** *negative-imp-inverse-negative*:  
 $a < 0 ==> \text{inverse } a < (0::'a::\text{ordered-field})$   
**by** (*insert positive-imp-inverse-positive [of -a],*  
  *simp add: nonzero-inverse-minus-eq order-less-imp-not-eq*)

**lemma** *inverse-le-imp-le*:  
**assumes** *invle*:  $\text{inverse } a \leq \text{inverse } b$  **and** *apos*:  $0 < a$   
**shows**  $b \leq (a::'a::\text{ordered-field})$   
**proof** (*rule classical*)



```

assume  $\sim b \leq a$ 
hence  $a < b$  by (simp add: linorder-not-le)
hence  $bpos: 0 < b$  by (blast intro: apos order-less-trans)
hence  $a * \text{inverse } a \leq a * \text{inverse } b$ 
by (simp add: apos invle order-less-imp-le mult-left-mono)
hence  $(a * \text{inverse } a) * b \leq (a * \text{inverse } b) * b$ 
by (simp add: bpos order-less-imp-le mult-right-mono)
thus  $b \leq a$  by (simp add: mult-assoc apos bpos order-less-imp-not-eq2)
qed

```

```

lemma inverse-positive-imp-positive:
assumes inv-gt-0:  $0 < \text{inverse } a$  and nz:  $a \neq 0$ 
shows  $0 < (a::'a::\text{ordered-field})$ 
proof –
  have  $0 < \text{inverse } (\text{inverse } a)$ 
  using inv-gt-0 by (rule positive-imp-inverse-positive)
  thus  $0 < a$ 
  using nz by (simp add: nonzero-inverse-inverse-eq)
qed

```

```

lemma inverse-positive-iff-positive [simp]:
   $(0 < \text{inverse } a) = (0 < (a::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$ 
apply (cases  $a = 0$ , simp)
apply (blast intro: inverse-positive-imp-positive positive-imp-inverse-positive)
done

```

```

lemma inverse-negative-imp-negative:
assumes inv-less-0:  $\text{inverse } a < 0$  and nz:  $a \neq 0$ 
shows  $a < (0::'a::\text{ordered-field})$ 
proof –
  have  $\text{inverse } (\text{inverse } a) < 0$ 
  using inv-less-0 by (rule negative-imp-inverse-negative)
  thus  $a < 0$  using nz by (simp add: nonzero-inverse-inverse-eq)
qed

```

```

lemma inverse-negative-iff-negative [simp]:
   $(\text{inverse } a < 0) = (a < (0::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$ 
apply (cases  $a = 0$ , simp)
apply (blast intro: inverse-negative-imp-negative negative-imp-inverse-negative)
done

```

```

lemma inverse-nonnegative-iff-nonnegative [simp]:
   $(0 \leq \text{inverse } a) = (0 \leq (a::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$ 
by (simp add: linorder-not-less [symmetric])

```

```

lemma inverse-nonpositive-iff-nonpositive [simp]:
   $(\text{inverse } a \leq 0) = (a \leq (0::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$ 
by (simp add: linorder-not-less [symmetric])

```

**lemma** *ordered-field-no-lb*:  $\forall x. \exists y. y < (x::'a::\text{ordered-field})$   
**proof**  
 fix  $x::'a$   
 have  $m1: -(1::'a) < 0$  **by** *simp*  
 from *add-strict-right-mono*[*OF*  $m1$ , **where**  $c=x$ ]  
 have  $(-1) + x < x$  **by** *simp*  
 thus  $\exists y. y < x$  **by** *blast*  
**qed**

**lemma** *ordered-field-no-ub*:  $\forall x. \exists y. y > (x::'a::\text{ordered-field})$   
**proof**  
 fix  $x::'a$   
 have  $m1: (1::'a) > 0$  **by** *simp*  
 from *add-strict-right-mono*[*OF*  $m1$ , **where**  $c=x$ ]  
 have  $1 + x > x$  **by** *simp*  
 thus  $\exists y. y > x$  **by** *blast*  
**qed**

#### 11.4 Anti-Monotonicity of *inverse*

**lemma** *less-imp-inverse-less*:  
**assumes** *less*:  $a < b$  **and** *apos*:  $0 < a$   
**shows** *inverse*  $b < \text{inverse } a$  ( $a::'a::\text{ordered-field}$ )  
**proof** (*rule ccontr*)  
 assume  $\sim \text{inverse } b < \text{inverse } a$   
 hence  $\text{inverse } a \leq \text{inverse } b$  **by** (*simp add: linorder-not-less*)  
 hence  $\sim (a < b)$   
**by** (*simp add: linorder-not-less inverse-le-imp-le* [*OF* - *apos*])  
 thus *False* **by** (*rule notE* [*OF* - *less*])  
**qed**

**lemma** *inverse-less-imp-less*:  
 $[\text{inverse } a < \text{inverse } b; 0 < a] \implies b < (a::'a::\text{ordered-field})$   
**apply** (*simp add: order-less-le* [*of* *inverse*  $a$ ] *order-less-le* [*of*  $b$ ])  
**apply** (*force dest!: inverse-le-imp-le nonzero-inverse-eq-imp-eq*)  
**done**

Both premises are essential. Consider -1 and 1.

**lemma** *inverse-less-iff-less* [*simp, noatp*]:  
 $[\text{inverse } a < \text{inverse } b] \implies (b < (a::'a::\text{ordered-field}))$   
**by** (*blast intro: less-imp-inverse-less dest: inverse-less-imp-less*)

**lemma** *le-imp-inverse-le*:  
 $[a \leq b; 0 < a] \implies \text{inverse } b \leq \text{inverse } a$  ( $a::'a::\text{ordered-field}$ )  
**by** (*force simp add: order-le-less less-imp-inverse-less*)

**lemma** *inverse-le-iff-le* [*simp, noatp*]:  
 $[\text{inverse } a \leq \text{inverse } b] \implies (b \leq (a::'a::\text{ordered-field}))$   
**by** (*blast intro: le-imp-inverse-le dest: inverse-le-imp-le*)

These results refer to both operands being negative. The opposite-sign case is trivial, since inverse preserves signs.

**lemma** *inverse-le-imp-le-neg*:

```
[[inverse a ≤ inverse b; b < 0]] ==> b ≤ (a::'a::ordered-field)
apply (rule classical)
apply (subgoal-tac a < 0)
prefer 2 apply (force simp add: linorder-not-le intro: order-less-trans)
apply (insert inverse-le-imp-le [of -b -a])
apply (simp add: order-less-imp-not-eq nonzero-inverse-minus-eq)
done
```

**lemma** *less-imp-inverse-less-neg*:

```
[[a < b; b < 0]] ==> inverse b < inverse (a::'a::ordered-field)
apply (subgoal-tac a < 0)
prefer 2 apply (blast intro: order-less-trans)
apply (insert less-imp-inverse-less [of -b -a])
apply (simp add: order-less-imp-not-eq nonzero-inverse-minus-eq)
done
```

**lemma** *inverse-less-imp-less-neg*:

```
[[inverse a < inverse b; b < 0]] ==> b < (a::'a::ordered-field)
apply (rule classical)
apply (subgoal-tac a < 0)
prefer 2
apply (force simp add: linorder-not-less intro: order-le-less-trans)
apply (insert inverse-less-imp-less [of -b -a])
apply (simp add: order-less-imp-not-eq nonzero-inverse-minus-eq)
done
```

**lemma** *inverse-less-iff-less-neg* [simp,noatp]:

```
[[a < 0; b < 0]] ==> (inverse a < inverse b) = (b < (a::'a::ordered-field))
apply (insert inverse-less-iff-less [of -b -a])
apply (simp del: inverse-less-iff-less
      add: order-less-imp-not-eq nonzero-inverse-minus-eq)
done
```

**lemma** *le-imp-inverse-le-neg*:

```
[[a ≤ b; b < 0]] ==> inverse b ≤ inverse (a::'a::ordered-field)
by (force simp add: order-le-less less-imp-inverse-less-neg)
```

**lemma** *inverse-le-iff-le-neg* [simp,noatp]:

```
[[a < 0; b < 0]] ==> (inverse a ≤ inverse b) = (b ≤ (a::'a::ordered-field))
by (blast intro: le-imp-inverse-le-neg dest: inverse-le-imp-le-neg)
```

## 11.5 Inverses and the Number One

**lemma** *one-less-inverse-iff*:

```
(1 < inverse x) = (0 < x & x < (1::'a::{ordered-field,division-by-zero}))
proof cases
```

```

assume  $0 < x$ 
  with inverse-less-iff-less [OF zero-less-one, of  $x$ ]
    show ?thesis by simp
next
  assume notless:  $\sim (0 < x)$ 
  have  $\sim (1 < \text{inverse } x)$ 
  proof
    assume  $1 < \text{inverse } x$ 
    also with notless have  $\dots \leq 0$  by (simp add: linorder-not-less)
    also have  $\dots < 1$  by (rule zero-less-one)
    finally show False by auto
  qed
with notless show ?thesis by simp
qed

```

```

lemma inverse-eq-1-iff [simp]:
   $(\text{inverse } x = 1) = (x = (1::'a::\{\text{field}, \text{division-by-zero}\}))$ 
by (insert inverse-eq-iff-eq [of  $x$   $1$ ], simp)

```

```

lemma one-le-inverse-iff:
   $(1 \leq \text{inverse } x) = (0 < x \ \& \ x \leq (1::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$ 
by (force simp add: order-le-less one-less-inverse-iff zero-less-one
      eq-commute [of  $1$ ])

```

```

lemma inverse-less-1-iff:
   $(\text{inverse } x < 1) = (x \leq 0 \mid 1 < (x::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$ 
by (simp add: linorder-not-le [symmetric] one-le-inverse-iff)

```

```

lemma inverse-le-1-iff:
   $(\text{inverse } x \leq 1) = (x \leq 0 \mid 1 \leq (x::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$ 
by (simp add: linorder-not-less [symmetric] one-less-inverse-iff)

```

## 11.6 Simplification of Inequalities Involving Literal Divisors

```

lemma pos-le-divide-eq:  $0 < (c::'a::\text{ordered-field}) \implies (a \leq b/c) = (a*c \leq b)$ 

```

```

proof –
  assume less:  $0 < c$ 
  hence  $(a \leq b/c) = (a*c \leq (b/c)*c)$ 
  by (simp add: mult-le-cancel-right order-less-not-sym [OF less])
  also have  $\dots = (a*c \leq b)$ 
  by (simp add: order-less-imp-not-eq2 [OF less] divide-inverse mult-assoc)
  finally show ?thesis .
qed

```

```

lemma neg-le-divide-eq:  $c < (0::'a::\text{ordered-field}) \implies (a \leq b/c) = (b \leq a*c)$ 

```

```

proof –
  assume less:  $c < 0$ 
  hence  $(a \leq b/c) = ((b/c)*c \leq a*c)$ 
  by (simp add: mult-le-cancel-right order-less-not-sym [OF less])

```

also have ... = ( $b \leq a*c$ )  
 by (simp add: order-less-imp-not-eq [OF less] divide-inverse mult-assoc)  
 finally show ?thesis .  
 qed

**lemma** le-divide-eq:

( $a \leq b/c$ ) =  
 (if  $0 < c$  then  $a*c \leq b$   
   else if  $c < 0$  then  $b \leq a*c$   
   else  $a \leq (0::'a::\{\text{ordered-field}, \text{division-by-zero}\})$ )

apply (cases c=0, simp)

apply (force simp add: pos-le-divide-eq neg-le-divide-eq linorder-neq-iff)

done

**lemma** pos-divide-le-eq:  $0 < (c::'a::\text{ordered-field}) \implies (b/c \leq a) = (b \leq a*c)$

**proof** –

assume less:  $0 < c$

hence ( $b/c \leq a$ ) = ( $(b/c)*c \leq a*c$ )

by (simp add: mult-le-cancel-right order-less-not-sym [OF less])

also have ... = ( $b \leq a*c$ )

by (simp add: order-less-imp-not-eq2 [OF less] divide-inverse mult-assoc)

finally show ?thesis .

qed

**lemma** neg-divide-le-eq:  $c < (0::'a::\text{ordered-field}) \implies (b/c \leq a) = (a*c \leq b)$

**proof** –

assume less:  $c < 0$

hence ( $b/c \leq a$ ) = ( $a*c \leq (b/c)*c$ )

by (simp add: mult-le-cancel-right order-less-not-sym [OF less])

also have ... = ( $a*c \leq b$ )

by (simp add: order-less-imp-not-eq [OF less] divide-inverse mult-assoc)

finally show ?thesis .

qed

**lemma** divide-le-eq:

( $b/c \leq a$ ) =  
 (if  $0 < c$  then  $b \leq a*c$   
   else if  $c < 0$  then  $a*c \leq b$   
   else  $0 \leq (a::'a::\{\text{ordered-field}, \text{division-by-zero}\})$ )

apply (cases c=0, simp)

apply (force simp add: pos-divide-le-eq neg-divide-le-eq linorder-neq-iff)

done

**lemma** pos-less-divide-eq:

$0 < (c::'a::\text{ordered-field}) \implies (a < b/c) = (a*c < b)$

**proof** –

assume less:  $0 < c$

hence ( $a < b/c$ ) = ( $a*c < (b/c)*c$ )

by (simp add: mult-less-cancel-right-disj order-less-not-sym [OF less])

also have ... = ( $a * c < b$ )  
 by (simp add: order-less-imp-not-eq2 [OF less] divide-inverse mult-assoc)  
 finally show ?thesis .  
 qed

lemma neg-less-divide-eq:  
 $c < (0::'a::ordered-field) ==> (a < b/c) = (b < a * c)$   
 proof -  
 assume less:  $c < 0$   
 hence  $(a < b/c) = ((b/c) * c < a * c)$   
 by (simp add: mult-less-cancel-right-disj order-less-not-sym [OF less])  
 also have ... = ( $b < a * c$ )  
 by (simp add: order-less-imp-not-eq [OF less] divide-inverse mult-assoc)  
 finally show ?thesis .  
 qed

lemma less-divide-eq:  
 $(a < b/c) =$   
 $(if\ 0 < c\ then\ a * c < b$   
 $\quad\quad\quad else\ if\ c < 0\ then\ b < a * c$   
 $\quad\quad\quad else\ a < (0::'a::\{ordered-field, division-by-zero\}))$   
 apply (cases  $c=0$ , simp)  
 apply (force simp add: pos-less-divide-eq neg-less-divide-eq linorder-neq-iff)  
 done

lemma pos-divide-less-eq:  
 $0 < (c::'a::ordered-field) ==> (b/c < a) = (b < a * c)$   
 proof -  
 assume less:  $0 < c$   
 hence  $(b/c < a) = ((b/c) * c < a * c)$   
 by (simp add: mult-less-cancel-right-disj order-less-not-sym [OF less])  
 also have ... = ( $b < a * c$ )  
 by (simp add: order-less-imp-not-eq2 [OF less] divide-inverse mult-assoc)  
 finally show ?thesis .  
 qed

lemma neg-divide-less-eq:  
 $c < (0::'a::ordered-field) ==> (b/c < a) = (a * c < b)$   
 proof -  
 assume less:  $c < 0$   
 hence  $(b/c < a) = (a * c < (b/c) * c)$   
 by (simp add: mult-less-cancel-right-disj order-less-not-sym [OF less])  
 also have ... = ( $a * c < b$ )  
 by (simp add: order-less-imp-not-eq [OF less] divide-inverse mult-assoc)  
 finally show ?thesis .  
 qed

lemma divide-less-eq:  
 $(b/c < a) =$

```

    (if 0 < c then b < a*c
      else if c < 0 then a*c < b
      else 0 < (a::'a::{ordered-field,division-by-zero}))
apply (cases c=0, simp)
apply (force simp add: pos-divide-less-eq neg-divide-less-eq linorder-neq-iff)
done

```

### 11.7 Field simplification

Lemmas *field-simps* multiply with denominators in in(equations) if they can be proved to be non-zero (for equations) or positive/negative (for inequations). Can be too aggressive and is therefore separate from the more benign *algebra-simps*.

**lemmas** *field-simps*[noatp] = *field-eq-simps*

```

pos-divide-less-eq neg-divide-less-eq
pos-less-divide-eq neg-less-divide-eq
pos-divide-le-eq neg-divide-le-eq
pos-le-divide-eq neg-le-divide-eq

```

Lemmas *sign-simps* is a first attempt to automate proofs of positivity/negativity needed for *field-simps*. Have not added *sign-simps* to *field-simps* because the former can lead to case explosions.

**lemmas** *sign-simps*[noatp] = *group-simps*  
*zero-less-mult-iff mult-less-0-iff*

### 11.8 Division and Signs

**lemma** *zero-less-divide-iff*:

$((0::'a::{ordered-field,division-by-zero}) < a/b) = (0 < a \ \& \ 0 < b \mid a < 0 \ \& \ b < 0)$

**by** (simp add: divide-inverse zero-less-mult-iff)

**lemma** *divide-less-0-iff*:

$(a/b < (0::'a::{ordered-field,division-by-zero})) = (0 < a \ \& \ b < 0 \mid a < 0 \ \& \ 0 < b)$

**by** (simp add: divide-inverse mult-less-0-iff)

**lemma** *zero-le-divide-iff*:

$((0::'a::{ordered-field,division-by-zero}) \leq a/b) = (0 \leq a \ \& \ 0 \leq b \mid a \leq 0 \ \& \ b \leq 0)$

**by** (simp add: divide-inverse zero-le-mult-iff)

**lemma** *divide-le-0-iff*:

$(a/b \leq (0::'a::{ordered-field,division-by-zero})) = (0 \leq a \ \& \ b \leq 0 \mid a \leq 0 \ \& \ 0 \leq b)$

**by** (simp add: divide-inverse mult-le-0-iff)

**lemma** *divide-eq-0-iff* [simp,noatp]:  
 $(a/b = 0) = (a=0 \mid b=(0::'a::\{\text{field}, \text{division-by-zero}\}))$   
**by** (simp add: divide-inverse)

**lemma** *divide-pos-pos*:  
 $0 < (x::'a::\text{ordered-field}) \implies 0 < y \implies 0 < x / y$   
**by**(simp add:field-simps)

**lemma** *divide-nonneg-pos*:  
 $0 \leq (x::'a::\text{ordered-field}) \implies 0 < y \implies 0 \leq x / y$   
**by**(simp add:field-simps)

**lemma** *divide-neg-pos*:  
 $(x::'a::\text{ordered-field}) < 0 \implies 0 < y \implies x / y < 0$   
**by**(simp add:field-simps)

**lemma** *divide-nonpos-pos*:  
 $(x::'a::\text{ordered-field}) \leq 0 \implies 0 < y \implies x / y \leq 0$   
**by**(simp add:field-simps)

**lemma** *divide-pos-neg*:  
 $0 < (x::'a::\text{ordered-field}) \implies y < 0 \implies x / y < 0$   
**by**(simp add:field-simps)

**lemma** *divide-nonneg-neg*:  
 $0 \leq (x::'a::\text{ordered-field}) \implies y < 0 \implies x / y \leq 0$   
**by**(simp add:field-simps)

**lemma** *divide-neg-neg*:  
 $(x::'a::\text{ordered-field}) < 0 \implies y < 0 \implies 0 < x / y$   
**by**(simp add:field-simps)

**lemma** *divide-nonpos-neg*:  
 $(x::'a::\text{ordered-field}) \leq 0 \implies y < 0 \implies 0 \leq x / y$   
**by**(simp add:field-simps)

## 11.9 Cancellation Laws for Division

**lemma** *divide-cancel-right* [simp,noatp]:  
 $(a/c = b/c) = (c = 0 \mid a = (b::'a::\{\text{field}, \text{division-by-zero}\}))$   
**apply** (cases c=0, simp)  
**apply** (simp add: divide-inverse)  
**done**

**lemma** *divide-cancel-left* [simp,noatp]:  
 $(c/a = c/b) = (c = 0 \mid a = (b::'a::\{\text{field}, \text{division-by-zero}\}))$   
**apply** (cases c=0, simp)  
**apply** (simp add: divide-inverse)



done

### 11.10 Division and the Number One

Simplify expressions equated with 1

**lemma** *divide-eq-1-iff* [simp,noatp]:  
 $(a/b = 1) = (b \neq 0 \ \& \ a = (b::'a::\{\text{field}, \text{division-by-zero}\}))$   
**apply** (cases b=0, simp)  
**apply** (simp add: right-inverse-eq)  
**done**

**lemma** *one-eq-divide-iff* [simp,noatp]:  
 $(1 = a/b) = (b \neq 0 \ \& \ a = (b::'a::\{\text{field}, \text{division-by-zero}\}))$   
**by** (simp add: eq-commute [of 1])

**lemma** *zero-eq-1-divide-iff* [simp,noatp]:  
 $((0::'a::\{\text{ordered-field}, \text{division-by-zero}\}) = 1/a) = (a = 0)$   
**apply** (cases a=0, simp)  
**apply** (auto simp add: nonzero-eq-divide-eq)  
**done**

**lemma** *one-divide-eq-0-iff* [simp,noatp]:  
 $(1/a = (0::'a::\{\text{ordered-field}, \text{division-by-zero}\})) = (a = 0)$   
**apply** (cases a=0, simp)  
**apply** (insert zero-neq-one [THEN not-sym])  
**apply** (auto simp add: nonzero-divide-eq-eq)  
**done**

Simplify expressions such as  $0 < 1/x$  to  $0 < x$

**lemmas** *zero-less-divide-1-iff* = *zero-less-divide-iff* [of 1, simplified]  
**lemmas** *divide-less-0-1-iff* = *divide-less-0-iff* [of 1, simplified]  
**lemmas** *zero-le-divide-1-iff* = *zero-le-divide-iff* [of 1, simplified]  
**lemmas** *divide-le-0-1-iff* = *divide-le-0-iff* [of 1, simplified]

**declare** *zero-less-divide-1-iff* [simp,noatp]  
**declare** *divide-less-0-1-iff* [simp,noatp]  
**declare** *zero-le-divide-1-iff* [simp,noatp]  
**declare** *divide-le-0-1-iff* [simp,noatp]

### 11.11 Ordering Rules for Division

**lemma** *divide-strict-right-mono*:  
 $[|a < b; 0 < c|] ==> a / c < b / (c::'a::\text{ordered-field})$   
**by** (simp add: order-less-imp-not-eq2 divide-inverse mult-strict-right-mono  
positive-imp-inverse-positive)

**lemma** *divide-right-mono*:  
 $[|a \leq b; 0 \leq c|] ==> a / c \leq b / (c::'a::\{\text{ordered-field}, \text{division-by-zero}\})$   
**by** (force simp add: divide-strict-right-mono order-le-less)

```

lemma divide-right-mono-neg: (a::'a::{division-by-zero,ordered-field}) <= b
  ==> c <= 0 ==> b / c <= a / c
apply (drule divide-right-mono [of - - - c])
apply auto
done

```

```

lemma divide-strict-right-mono-neg:
  [|b < a; c < 0|] ==> a / c < b / (c::'a::ordered-field)
apply (drule divide-strict-right-mono [of - - - c], simp)
apply (simp add: order-less-imp-not-eq nonzero-minus-divide-right [symmetric])
done

```

The last premise ensures that  $a$  and  $b$  have the same sign

```

lemma divide-strict-left-mono:
  [|b < a; 0 < c; 0 < a*b|] ==> c / a < c / (b::'a::ordered-field)
by(auto simp: field-simps times-divide-eq zero-less-mult-iff mult-strict-right-mono)

```

```

lemma divide-left-mono:
  [|b ≤ a; 0 ≤ c; 0 < a*b|] ==> c / a ≤ c / (b::'a::ordered-field)
by(auto simp: field-simps times-divide-eq zero-less-mult-iff mult-right-mono)

```

```

lemma divide-left-mono-neg: (a::'a::{division-by-zero,ordered-field}) <= b
  ==> c <= 0 ==> 0 < a * b ==> c / a <= c / b
  apply (drule divide-left-mono [of - - - c])
  apply (auto simp add: mult-commute)
done

```

```

lemma divide-strict-left-mono-neg:
  [|a < b; c < 0; 0 < a*b|] ==> c / a < c / (b::'a::ordered-field)
by(auto simp: field-simps times-divide-eq zero-less-mult-iff mult-strict-right-mono-neg)

```

Simplify quotients that are compared with the value 1.

```

lemma le-divide-eq-1 [noatp]:
  fixes a :: 'a :: {ordered-field,division-by-zero}
  shows (1 ≤ b / a) = ((0 < a & a ≤ b) | (a < 0 & b ≤ a))
by (auto simp add: le-divide-eq)

```

```

lemma divide-le-eq-1 [noatp]:
  fixes a :: 'a :: {ordered-field,division-by-zero}
  shows (b / a ≤ 1) = ((0 < a & b ≤ a) | (a < 0 & a ≤ b) | a=0)
by (auto simp add: divide-le-eq)

```

```

lemma less-divide-eq-1 [noatp]:
  fixes a :: 'a :: {ordered-field,division-by-zero}
  shows (1 < b / a) = ((0 < a & a < b) | (a < 0 & b < a))
by (auto simp add: less-divide-eq)

```

```

lemma divide-less-eq-1 [noatp]:

```

**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $(b / a < 1) = ((0 < a \ \& \ b < a) \mid (a < 0 \ \& \ a < b) \mid a=0)$   
**by**  $(\text{auto simp add: divide-less-eq})$

### 11.12 Conditional Simplification Rules: No Case Splits

**lemma** *le-divide-eq-1-pos* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $0 < a \implies (1 \leq b/a) = (a \leq b)$   
**by**  $(\text{auto simp add: le-divide-eq})$

**lemma** *le-divide-eq-1-neg* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $a < 0 \implies (1 \leq b/a) = (b \leq a)$   
**by**  $(\text{auto simp add: le-divide-eq})$

**lemma** *divide-le-eq-1-pos* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $0 < a \implies (b/a \leq 1) = (b \leq a)$   
**by**  $(\text{auto simp add: divide-le-eq})$

**lemma** *divide-le-eq-1-neg* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $a < 0 \implies (b/a \leq 1) = (a \leq b)$   
**by**  $(\text{auto simp add: divide-le-eq})$

**lemma** *less-divide-eq-1-pos* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $0 < a \implies (1 < b/a) = (a < b)$   
**by**  $(\text{auto simp add: less-divide-eq})$

**lemma** *less-divide-eq-1-neg* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $a < 0 \implies (1 < b/a) = (b < a)$   
**by**  $(\text{auto simp add: less-divide-eq})$

**lemma** *divide-less-eq-1-pos* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $0 < a \implies (b/a < 1) = (b < a)$   
**by**  $(\text{auto simp add: divide-less-eq})$

**lemma** *divide-less-eq-1-neg* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $a < 0 \implies b/a < 1 \iff a < b$   
**by**  $(\text{auto simp add: divide-less-eq})$

**lemma** *eq-divide-eq-1* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $(1 = b/a) = ((a \neq 0 \ \& \ a = b))$

**by** (*auto simp add: eq-divide-eq*)

**lemma** *divide-eq-eq-1* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $(b/a = 1) = ((a \neq 0 \ \& \ a = b))$   
**by** (*auto simp add: divide-eq-eq*)

### 11.13 Reasoning about inequalities with division

**lemma** *mult-right-le-one-le*:  $0 \leq (x :: 'a :: \text{ordered-idom}) \implies 0 \leq y \implies y \leq 1 \implies x * y \leq x$   
**by** (*auto simp add: mult-compare-simps*)

**lemma** *mult-left-le-one-le*:  $0 \leq (x :: 'a :: \text{ordered-idom}) \implies 0 \leq y \implies y \leq 1 \implies y * x \leq x$   
**by** (*auto simp add: mult-compare-simps*)

**lemma** *mult-imp-div-pos-le*:  $0 < (y :: 'a :: \text{ordered-field}) \implies x \leq z * y \implies x / y \leq z$   
**by** (*subst pos-divide-le-eq, assumption+*)

**lemma** *mult-imp-le-div-pos*:  $0 < (y :: 'a :: \text{ordered-field}) \implies z * y \leq x \implies z \leq x / y$   
**by** (*simp add: field-simps*)

**lemma** *mult-imp-div-pos-less*:  $0 < (y :: 'a :: \text{ordered-field}) \implies x < z * y \implies x / y < z$   
**by** (*simp add: field-simps*)

**lemma** *mult-imp-less-div-pos*:  $0 < (y :: 'a :: \text{ordered-field}) \implies z * y < x \implies z < x / y$   
**by** (*simp add: field-simps*)

**lemma** *frac-le*:  $(0 :: 'a :: \text{ordered-field}) \leq x \implies x \leq y \implies 0 < w \implies w \leq z \implies x / z \leq y / w$   
**apply** (*rule mult-imp-div-pos-le*)  
**apply** *simp*  
**apply** (*subst times-divide-eq-left*)  
**apply** (*rule mult-imp-le-div-pos, assumption*)  
**apply** (*rule mult-mono*)  
**apply** *simp-all*  
**done**

**lemma** *frac-less*:  $(0 :: 'a :: \text{ordered-field}) \leq x \implies x < y \implies 0 < w \implies w \leq z \implies x / z < y / w$   
**apply** (*rule mult-imp-div-pos-less*)  
**apply** *simp*

```

apply (subst times-divide-eq-left)
apply (rule mult-imp-less-div-pos, assumption)
apply (erule mult-less-le-imp-less)
apply simp-all
done

lemma frac-less2: (0::'a::ordered-field) < x ==>
  x <= y ==> 0 < w ==> w < z ==> x / z < y / w
apply (rule mult-imp-div-pos-less)
apply simp-all
apply (subst times-divide-eq-left)
apply (rule mult-imp-less-div-pos, assumption)
apply (erule mult-le-less-imp-less)
apply simp-all
done

```

It’s not obvious whether these should be simprules or not. Their effect is to gather terms into one big fraction, like  $a*b*c / x*y*z$ . The rationale for that is unclear, but many proofs seem to need them.

```
declare times-divide-eq [simp]
```

### 11.14 Ordered Fields are Dense

```
context ordered-semidom
begin
```

```

lemma less-add-one:  $a < a + 1$ 
proof –
  have  $a + 0 < a + 1$ 
    by (blast intro: zero-less-one add-strict-left-mono)
  thus ?thesis by simp
qed

```

```

lemma zero-less-two:  $0 < 1 + 1$ 
by (blast intro: less-trans zero-less-one less-add-one)

```

```
end
```

```

lemma less-half-sum:  $a < b ==> a < (a+b) / (1+1::'a::ordered-field)$ 
by (simp add: field-simps zero-less-two)

```

```

lemma gt-half-sum:  $a < b ==> (a+b)/(1+1::'a::ordered-field) < b$ 
by (simp add: field-simps zero-less-two)

```

```

instance ordered-field < dense-linear-order
proof
  fix x y :: 'a
  have  $x < x + 1$  by simp
  then show  $\exists y. x < y$  ..

```

```

have  $x - 1 < x$  by simp
then show  $\exists y. y < x$  ..
show  $x < y \implies \exists z > x. z < y$  by (blast intro!: less-half-sum gt-half-sum)
qed

```

### 11.15 Absolute Value

```

context ordered-idom
begin

```

```

lemma mult-sgn-abs:  $\text{sgn } x * \text{abs } x = x$ 
  unfolding abs-if sgn-if by auto

```

```

end

```

```

lemma abs-one [simp]:  $\text{abs } 1 = (1 :: 'a :: \text{ordered-idom})$ 
by (simp add: abs-if zero-less-one [THEN order-less-not-sym])

```

```

class pordered-ring-abs = pordered-ring + pordered-ab-group-add-abs +
  assumes abs-eq-mult:
     $(0 \leq a \vee a \leq 0) \wedge (0 \leq b \vee b \leq 0) \implies |a * b| = |a| * |b|$ 

```

```

class lordered-ring = pordered-ring + lordered-ab-group-add-abs
begin

```

```

subclass lordered-ab-group-add-meet ..
subclass lordered-ab-group-add-join ..

```

```

end

```

```

lemma abs-le-mult:  $\text{abs } (a * b) \leq (\text{abs } a) * (\text{abs } (b :: 'a :: \text{lordered-ring}))$ 

```

```

proof -

```

```

  let  $?x = \text{pprt } a * \text{pprt } b - \text{pprt } a * \text{nprrt } b - \text{nprrt } a * \text{pprt } b + \text{nprrt } a * \text{nprrt } b$ 

```

```

  let  $?y = \text{pprt } a * \text{pprt } b + \text{pprt } a * \text{nprrt } b + \text{nprrt } a * \text{pprt } b + \text{nprrt } a * \text{nprrt } b$ 

```

```

  have  $a: (\text{abs } a) * (\text{abs } b) = ?x$ 

```

```

  by (simp only: abs-prts[of a] abs-prts[of b] algebra-simps)

```

```

  {

```

```

    fix  $u v :: 'a$ 

```

```

    have  $bh: \llbracket u = a; v = b \rrbracket \implies$ 

```

```

       $u * v = \text{pprt } a * \text{pprt } b + \text{pprt } a * \text{nprrt } b +$ 

```

```

         $\text{nprrt } a * \text{pprt } b + \text{nprrt } a * \text{nprrt } b$ 

```

```

    apply (subst prts[of u], subst prts[of v])

```

```

    apply (simp add: algebra-simps)

```

```

    done

```

```

  }

```

```

  note  $b = \text{this}[OF \text{ refl}[of a] \text{ refl}[of b]]$ 

```

```

  note  $\text{addm} = \text{add-mono}[of 0 :: 'a - 0 :: 'a, \text{simplified}]$ 

```

```

  note  $\text{addm2} = \text{add-mono}[of - 0 :: 'a - 0 :: 'a, \text{simplified}]$ 

```

```

have xy: - ?x <= ?y
  apply (simp)
  apply (rule-tac y=0::'a in order-trans)
  apply (rule addm2)
  apply (simp-all add: mult-nonneg-nonneg mult-nonpos-nonpos)
  apply (rule addm)
  apply (simp-all add: mult-nonneg-nonneg mult-nonpos-nonpos)
done
have yx: ?y <= ?x
  apply (simp add:diff-def)
  apply (rule-tac y=0 in order-trans)
  apply (rule addm2, (simp add: mult-nonneg-nonpos mult-nonneg-nonpos2)+)
  apply (rule addm, (simp add: mult-nonneg-nonpos mult-nonneg-nonpos2)+)
done
have i1: a*b <= abs a * abs b by (simp only: a b yx)
have i2: - (abs a * abs b) <= a*b by (simp only: a b xy)
show ?thesis
  apply (rule abs-leI)
  apply (simp add: i1)
  apply (simp add: i2[simplified minus-le-iff])
done
qed

instance lordered-ring  $\subseteq$  pordered-ring-abs
proof
  fix a b :: 'a:: lordered-ring
  assume (0  $\leq$  a  $\vee$  a  $\leq$  0)  $\wedge$  (0  $\leq$  b  $\vee$  b  $\leq$  0)
  show abs (a*b) = abs a * abs b
proof -
  have s: (0 <= a*b) | (a*b <= 0)
  apply (auto)
  apply (rule-tac split-mult-pos-le)
  apply (rule-tac contrapos-np[of a*b <= 0])
  apply (simp)
  apply (rule-tac split-mult-neg-le)
  apply (insert prems)
  apply (blast)
done
have mulprts: a * b = (pprt a + nprrt a) * (pprt b + nprrt b)
  by (simp add: prts[symmetric])
show ?thesis
proof cases
  assume 0 <= a * b
  then show ?thesis
    apply (simp-all add: mulprts abs-prts)
    apply (insert prems)
    apply (auto simp add:
      algebra-simps
      iffD1[OF zero-le-iff-zero-npr] iffD1[OF le-zero-iff-zero-pprt])

```

```

      iffD1[OF le-zero-iff-pprt-id] iffD1[OF zero-le-iff-nprt-id])
    apply (drule (1) mult-nonneg-nonpos[of a b], simp)
    apply (drule (1) mult-nonneg-nonpos2[of b a], simp)
  done
next
  assume  $\sim(0 \leq a * b)$ 
  with s have  $a * b \leq 0$  by simp
  then show ?thesis
    apply (simp-all add: mulprts abs-prts)
    apply (insert prems)
    apply (auto simp add: algebra-simps)
    apply (drule (1) mult-nonneg-nonneg[of a b], simp)
    apply (drule (1) mult-nonpos-nonpos[of a b], simp)
  done
qed
qed
qed

instance ordered-idom  $\subseteq$  pordered-ring-abs
by default (auto simp add: abs-if not-less
  equal-neg-zero neg-equal-zero mult-less-0-iff)

lemma abs-mult:  $\text{abs } (a * b) = \text{abs } a * \text{abs } (b::'a::\text{ordered-idom})$ 
by (simp add: abs-eq-mult linorder-linear)

lemma abs-mult-self:  $\text{abs } a * \text{abs } a = a * (a::'a::\text{ordered-idom})$ 
by (simp add: abs-if)

lemma nonzero-abs-inverse:
   $a \neq 0 \implies \text{abs } (\text{inverse } (a::'a::\text{ordered-field})) = \text{inverse } (\text{abs } a)$ 
apply (auto simp add: linorder-neq-iff abs-if nonzero-inverse-minus-eq
  negative-imp-inverse-negative)
apply (blast intro: positive-imp-inverse-positive elim: order-less-asm)
done

lemma abs-inverse [simp]:
   $\text{abs } (\text{inverse } (a::'a::\{\text{ordered-field}, \text{division-by-zero}\})) =$ 
   $\text{inverse } (\text{abs } a)$ 
apply (cases a=0, simp)
apply (simp add: nonzero-abs-inverse)
done

lemma nonzero-abs-divide:
   $b \neq 0 \implies \text{abs } (a / (b::'a::\text{ordered-field})) = \text{abs } a / \text{abs } b$ 
by (simp add: divide-inverse abs-mult nonzero-abs-inverse)

lemma abs-divide [simp]:
   $\text{abs } (a / (b::'a::\{\text{ordered-field}, \text{division-by-zero}\})) = \text{abs } a / \text{abs } b$ 
apply (cases b=0, simp)

```



**apply** (*simp add: nonzero-abs-divide*)  
**done**

**lemma** *abs-mult-less*:

$[| \text{abs } a < c; \text{abs } b < d |] \implies \text{abs } a * \text{abs } b < c * (d :: 'a :: \text{ordered-idom})$

**proof** –

**assume** *ac*:  $\text{abs } a < c$

**hence** *cpos*:  $0 < c$  **by** (*blast intro: order-le-less-trans abs-ge-zero*)

**assume**  $\text{abs } b < d$

**thus** *?thesis* **by** (*simp add: ac cpos mult-strict-mono*)

**qed**

**lemmas** *eq-minus-self-iff*[*noatp*] = *equal-neg-zero*

**lemma** *less-minus-self-iff*:  $(a < -a) = (a < (0 :: 'a :: \text{ordered-idom}))$

**unfolding** *order-less-le less-eq-neg-nonpos equal-neg-zero* ..

**lemma** *abs-less-iff*:  $(\text{abs } a < b) = (a < b \ \& \ -a < (b :: 'a :: \text{ordered-idom}))$

**apply** (*simp add: order-less-le abs-le-iff*)

**apply** (*auto simp add: abs-if neg-less-eq-nonneg less-eq-neg-nonpos*)

**done**

**lemma** *abs-mult-pos*:  $(0 :: 'a :: \text{ordered-idom}) \leq x \implies$

$(\text{abs } y) * x = \text{abs } (y * x)$

**apply** (*subst abs-mult*)

**apply** *simp*

**done**

**lemma** *abs-div-pos*:  $(0 :: 'a :: \{\text{division-by-zero}, \text{ordered-field}\}) < y \implies$

$\text{abs } x / y = \text{abs } (x / y)$

**apply** (*subst abs-divide*)

**apply** (*simp add: order-less-imp-le*)

**done**

## 11.16 Bounds of products via negative and positive Part

**lemma** *mult-le-prts*:

**assumes**

$a1 \leq (a :: 'a :: \text{lordered-ring})$

$a \leq a2$

$b1 \leq b$

$b \leq b2$

**shows**

$a * b \leq \text{pprt } a2 * \text{pprt } b2 + \text{pprt } a1 * \text{nprt } b2 + \text{nprt } a2 * \text{pprt } b1 + \text{nprt } a1$

$* \text{nprt } b1$

**proof** –

**have**  $a * b = (\text{pprt } a + \text{nprt } a) * (\text{pprt } b + \text{nprt } b)$

**apply** (*subst prts[symmetric]*) +

**apply** *simp*

```

done
then have  $a * b = \text{pprt } a * \text{pprt } b + \text{pprt } a * \text{nprrt } b + \text{nprrt } a * \text{pprt } b + \text{nprrt } a * \text{nprrt } b$ 
by (simp add: algebra-simps)
moreover have  $\text{pprt } a * \text{pprt } b \leq \text{pprt } a2 * \text{pprt } b2$ 
by (simp-all add: prems mult-mono)
moreover have  $\text{pprt } a * \text{nprrt } b \leq \text{pprt } a1 * \text{nprrt } b2$ 
proof -
  have  $\text{pprt } a * \text{nprrt } b \leq \text{pprt } a * \text{nprrt } b2$ 
  by (simp add: mult-left-mono prems)
  moreover have  $\text{pprt } a * \text{nprrt } b2 \leq \text{pprt } a1 * \text{nprrt } b2$ 
  by (simp add: mult-right-mono-neg prems)
  ultimately show ?thesis
  by simp
qed
moreover have  $\text{nprrt } a * \text{pprt } b \leq \text{nprrt } a2 * \text{pprt } b1$ 
proof -
  have  $\text{nprrt } a * \text{pprt } b \leq \text{nprrt } a2 * \text{pprt } b$ 
  by (simp add: mult-right-mono prems)
  moreover have  $\text{nprrt } a2 * \text{pprt } b \leq \text{nprrt } a2 * \text{pprt } b1$ 
  by (simp add: mult-left-mono-neg prems)
  ultimately show ?thesis
  by simp
qed
moreover have  $\text{nprrt } a * \text{nprrt } b \leq \text{nprrt } a1 * \text{nprrt } b1$ 
proof -
  have  $\text{nprrt } a * \text{nprrt } b \leq \text{nprrt } a * \text{nprrt } b1$ 
  by (simp add: mult-left-mono-neg prems)
  moreover have  $\text{nprrt } a * \text{nprrt } b1 \leq \text{nprrt } a1 * \text{nprrt } b1$ 
  by (simp add: mult-right-mono-neg prems)
  ultimately show ?thesis
  by simp
qed
ultimately show ?thesis
by - (rule add-mono | simp)+
qed

```

**lemma** *mult-ge-prts*:

```

assumes
   $a1 \leq (a::'a::\text{ordered-ring})$ 
   $a \leq a2$ 
   $b1 \leq b$ 
   $b \leq b2$ 
shows
   $a * b \geq \text{nprrt } a1 * \text{pprt } b2 + \text{nprrt } a2 * \text{nprrt } b2 + \text{pprt } a1 * \text{pprt } b1 + \text{pprt } a2 * \text{nprrt } b1$ 
proof -
  from prems have  $a1: -a2 \leq -a$  by auto
  from prems have  $a2: -a \leq -a1$  by auto

```

```

from mult-le-prts[of  $-a2 - a - a1\ b1\ b\ b2$ , OF  $a1\ a2$  prems(3) prems(4), simplified nprrt-neg pprrt-neg]
  have  $le: -(a * b) \leq -nprrt\ a1 * pprrt\ b2 + -nprrt\ a2 * nprrt\ b2 + -pprrt\ a1$ 
   $* pprrt\ b1 + -pprrt\ a2 * nprrt\ b1$  by simp
  then have  $-(-nprrt\ a1 * pprrt\ b2 + -nprrt\ a2 * nprrt\ b2 + -pprrt\ a1 * pprrt$ 
   $b1 + -pprrt\ a2 * nprrt\ b1) \leq a * b$ 
  by (simp only: minus-le-iff)
  then show ?thesis by simp
qed

end

```

## 12 Nat: Natural numbers

```

theory Nat
imports Inductive Ring-and-Field
uses
  ~~/src/Tools/rat.ML
  ~~/src/Provers/Arith/cancel-sums.ML
  Tools/arith-data.ML
  (Tools/nat-arith.ML)
  ~~/src/Provers/Arith/fast-lin-arith.ML
  (Tools/lin-arith.ML)
begin

```

### 12.1 Type *ind*

```
typedecl ind
```

**axiomatization**

```

  Zero-Rep :: ind and
  Suc-Rep :: ind => ind

```

**where**

```

  — the axiom of infinity in 2 parts
  inj-Suc-Rep:      inj Suc-Rep and
  Suc-Rep-not-Zero-Rep: Suc-Rep  $x \neq$  Zero-Rep

```

### 12.2 Type *nat*

Type definition

```
inductive Nat :: ind => bool
```

**where**

```

  Zero-RepI: Nat Zero-Rep
  | Suc-RepI: Nat i ==> Nat (Suc-Rep i)

```

**global**

```

typedef (open Nat)
  nat = Nat
  by (rule exI, unfold mem-def, rule Nat.Zero-RepI)

constdefs
  Suc :: nat => nat
  Suc-def: Suc == (%n. Abs-Nat (Suc-Rep (Rep-Nat n)))

local

instantiation nat :: zero
begin

definition Zero-nat-def [code del]:
  0 = Abs-Nat Zero-Rep

instance ..

end

lemma Suc-not-Zero: Suc m ≠ 0
  by (simp add: Zero-nat-def Suc-def Abs-Nat-inject [unfolded mem-def]
    Rep-Nat [unfolded mem-def] Suc-RepI Zero-RepI Suc-Rep-not-Zero-Rep [unfolded
    mem-def])

lemma Zero-not-Suc: 0 ≠ Suc m
  by (rule not-sym, rule Suc-not-Zero not-sym)

rep-datatype 0 :: nat Suc
  apply (unfold Zero-nat-def Suc-def)
  apply (rule Rep-Nat-inverse [THEN subst]) — types force good instantiation
  apply (erule Rep-Nat [unfolded mem-def, THEN Nat.induct])
  apply (iprover elim: Abs-Nat-inverse [unfolded mem-def, THEN subst])
  apply (simp-all add: Abs-Nat-inject [unfolded mem-def] Rep-Nat [unfolded
    mem-def]
    Suc-RepI Zero-RepI Suc-Rep-not-Zero-Rep [unfolded mem-def]
    Suc-Rep-not-Zero-Rep [unfolded mem-def, symmetric]
    inj-Suc-Rep [THEN inj-eq] Rep-Nat-inject)
  done

lemma nat-induct [case-names 0 Suc, induct type: nat]:
  — for backward compatibility – names of variables differ
  fixes n
  assumes P 0
  and  $\bigwedge n. P\ n \implies P\ (Suc\ n)$ 
  shows P n
  using assms by (rule nat.induct)

declare nat.exhaust [case-names 0 Suc, cases type: nat]

```

**lemmas** *nat-rec-0* = *nat.recs*(1)  
**and** *nat-rec-Suc* = *nat.recs*(2)

**lemmas** *nat-case-0* = *nat.cases*(1)  
**and** *nat-case-Suc* = *nat.cases*(2)

Injectiveness and distinctness lemmas

**lemma** *inj-Suc*[*simp*]: *inj-on Suc N*  
**by** (*simp add: inj-on-def*)

**lemma** *Suc-neq-Zero*: *Suc m = 0  $\implies$  R*  
**by** (*rule notE, rule Suc-not-Zero*)

**lemma** *Zero-neq-Suc*: *0 = Suc m  $\implies$  R*  
**by** (*rule Suc-neq-Zero, erule sym*)

**lemma** *Suc-inject*: *Suc x = Suc y  $\implies$  x = y*  
**by** (*rule inj-Suc [THEN injD]*)

**lemma** *n-not-Suc-n*: *n  $\neq$  Suc n*  
**by** (*induct n*) *simp-all*

**lemma** *Suc-n-not-n*: *Suc n  $\neq$  n*  
**by** (*rule not-sym, rule n-not-Suc-n*)

A special form of induction for reasoning about  $m < n$  and  $m - n$

**lemma** *diff-induct*: *(!!x. P x 0)  $\implies$  (!!y. P 0 (Suc y))  $\implies$*   
*(!!x y. P x y  $\implies$  P (Suc x) (Suc y))  $\implies$  P m n*  
**apply** (*rule-tac x = m in spec*)  
**apply** (*induct n*)  
**prefer** 2  
**apply** (*rule allI*)  
**apply** (*induct-tac x, iprover+*)  
**done**

### 12.3 Arithmetic operators

**instantiation** *nat* :: {*minus, comm-monoid-add*}  
**begin**

**primrec** *plus-nat*

**where**

*add-0*:  $0 + n = (n::nat)$   
| *add-Suc*:  $Suc\ m + n = Suc\ (m + n)$

**lemma** *add-0-right* [*simp*]: *m + 0 = (m::nat)*  
**by** (*induct m*) *simp-all*

**lemma** *add-Suc-right* [*simp*]:  $m + \text{Suc } n = \text{Suc } (m + n)$   
**by** (*induct m*) *simp-all*

**declare** *add-0* [*code*]

**lemma** *add-Suc-shift* [*code*]:  $\text{Suc } m + n = m + \text{Suc } n$   
**by** *simp*

**primrec** *minus-nat*

**where**

*diff-0*:  $m - 0 = (m::\text{nat})$   
| *diff-Suc*:  $m - \text{Suc } n = (\text{case } m - n \text{ of } 0 \Rightarrow 0 \mid \text{Suc } k \Rightarrow k)$

**declare** *diff-Suc* [*simp del*]

**declare** *diff-0* [*code*]

**lemma** *diff-0-eq-0* [*simp, code*]:  $0 - n = (0::\text{nat})$   
**by** (*induct n*) (*simp-all add: diff-Suc*)

**lemma** *diff-Suc-Suc* [*simp, code*]:  $\text{Suc } m - \text{Suc } n = m - n$   
**by** (*induct n*) (*simp-all add: diff-Suc*)

**instance** **proof**

**fix**  $n \ m \ q :: \text{nat}$

**show**  $(n + m) + q = n + (m + q)$  **by** (*induct n*) *simp-all*

**show**  $n + m = m + n$  **by** (*induct n*) *simp-all*

**show**  $0 + n = n$  **by** *simp*

**qed**

**end**

**instantiation** *nat* :: *comm-semiring-1-cancel*

**begin**

**definition**

*One-nat-def* [*simp*]:  $1 = \text{Suc } 0$

**primrec** *times-nat*

**where**

*mult-0*:  $0 * n = (0::\text{nat})$   
| *mult-Suc*:  $\text{Suc } m * n = n + (m * n)$

**lemma** *mult-0-right* [*simp*]:  $(m::\text{nat}) * 0 = 0$   
**by** (*induct m*) *simp-all*

**lemma** *mult-Suc-right* [*simp*]:  $m * \text{Suc } n = m + (m * n)$   
**by** (*induct m*) (*simp-all add: add-left-commute*)

**lemma** *add-mult-distrib*:  $(m + n) * k = (m * k) + ((n * k)::\text{nat})$

```

    by (induct m) (simp-all add: add-assoc)

instance proof
  fix n m q :: nat
  show 0 ≠ (1::nat) unfolding One-nat-def by simp
  show 1 * n = n unfolding One-nat-def by simp
  show n * m = m * n by (induct n) simp-all
  show (n * m) * q = n * (m * q) by (induct n) (simp-all add: add-mult-distrib)
  show (n + m) * q = n * q + m * q by (rule add-mult-distrib)
  assume n + m = n + q thus m = q by (induct n) simp-all
qed

end

```

### 12.3.1 Addition

**lemma** *nat-add-assoc*:  $(m + n) + k = m + ((n + k)::nat)$   
 by (rule add-assoc)

**lemma** *nat-add-commute*:  $m + n = n + (m::nat)$   
 by (rule add-commute)

**lemma** *nat-add-left-commute*:  $x + (y + z) = y + ((x + z)::nat)$   
 by (rule add-left-commute)

**lemma** *nat-add-left-cancel* [simp]:  $(k + m = k + n) = (m = (n::nat))$   
 by (rule add-left-cancel)

**lemma** *nat-add-right-cancel* [simp]:  $(m + k = n + k) = (m = (n::nat))$   
 by (rule add-right-cancel)

Reasoning about  $m + 0 = 0$ , etc.

**lemma** *add-is-0* [iff]:  
 fixes m n :: nat  
 shows  $(m + n = 0) = (m = 0 \ \& \ n = 0)$   
 by (cases m) simp-all

**lemma** *add-is-1*:  
 $(m + n = Suc\ 0) = (m = Suc\ 0 \ \& \ n = 0 \mid m = 0 \ \& \ n = Suc\ 0)$   
 by (cases m) simp-all

**lemma** *one-is-add*:  
 $(Suc\ 0 = m + n) = (m = Suc\ 0 \ \& \ n = 0 \mid m = 0 \ \& \ n = Suc\ 0)$   
 by (rule trans, rule eq-commute, rule add-is-1)

**lemma** *add-eq-self-zero*:  
 fixes m n :: nat  
 shows  $m + n = m \implies n = 0$   
 by (induct m) simp-all

```

lemma inj-on-add-nat [simp]: inj-on ( $\%n::nat. n+k$ ) N
  apply (induct k)
  apply simp
  apply (drule comp-inj-on [OF - inj-Suc])
  apply (simp add:o-def)
done

```

### 12.3.2 Difference

```

lemma diff-self-eq-0 [simp]:  $(m::nat) - m = 0$ 
  by (induct m) simp-all

```

```

lemma diff-diff-left:  $(i::nat) - j - k = i - (j + k)$ 
  by (induct i j rule: diff-induct) simp-all

```

```

lemma Suc-diff-diff [simp]:  $(Suc\ m - n) - Suc\ k = m - n - k$ 
  by (simp add: diff-diff-left)

```

```

lemma diff-commute:  $(i::nat) - j - k = i - k - j$ 
  by (simp add: diff-diff-left add-commute)

```

```

lemma diff-add-inverse:  $(n + m) - n = (m::nat)$ 
  by (induct n) simp-all

```

```

lemma diff-add-inverse2:  $(m + n) - n = (m::nat)$ 
  by (simp add: diff-add-inverse add-commute [of m n])

```

```

lemma diff-cancel:  $(k + m) - (k + n) = m - (n::nat)$ 
  by (induct k) simp-all

```

```

lemma diff-cancel2:  $(m + k) - (n + k) = m - (n::nat)$ 
  by (simp add: diff-cancel add-commute)

```

```

lemma diff-add-0:  $n - (n + m) = (0::nat)$ 
  by (induct n) simp-all

```

```

lemma diff-Suc-1 [simp]:  $Suc\ n - 1 = n$ 
  unfolding One-nat-def by simp

```

Difference distributes over multiplication

```

lemma diff-mult-distrib:  $((m::nat) - n) * k = (m * k) - (n * k)$ 
  by (induct m n rule: diff-induct) (simp-all add: diff-cancel)

```

```

lemma diff-mult-distrib2:  $k * ((m::nat) - n) = (k * m) - (k * n)$ 
  by (simp add: diff-mult-distrib mult-commute [of k])

```

— NOT added as rewrites, since sometimes they are used from right-to-left



### 12.3.3 Multiplication

**lemma** *nat-mult-assoc*:  $(m * n) * k = m * ((n * k)::nat)$   
**by** (*rule mult-assoc*)

**lemma** *nat-mult-commute*:  $m * n = n * (m::nat)$   
**by** (*rule mult-commute*)

**lemma** *add-mult-distrib2*:  $k * (m + n) = (k * m) + ((k * n)::nat)$   
**by** (*rule right-distrib*)

**lemma** *mult-is-0* [*simp*]:  $((m::nat) * n = 0) = (m = 0 \mid n = 0)$   
**by** (*induct m*) *auto*

**lemmas** *nat-distrib* =  
*add-mult-distrib add-mult-distrib2 diff-mult-distrib diff-mult-distrib2*

**lemma** *mult-eq-1-iff* [*simp*]:  $(m * n = Suc\ 0) = (m = Suc\ 0 \ \& \ n = Suc\ 0)$   
**apply** (*induct m*)  
**apply** *simp*  
**apply** (*induct n*)  
**apply** *auto*  
**done**

**lemma** *one-eq-mult-iff* [*simp, noatp*]:  $(Suc\ 0 = m * n) = (m = Suc\ 0 \ \& \ n = Suc\ 0)$   
**apply** (*rule trans*)  
**apply** (*rule-tac* [2] *mult-eq-1-iff, fastsimp*)  
**done**

**lemma** *nat-mult-eq-1-iff* [*simp*]:  $m * n = (1::nat) \longleftrightarrow m = 1 \ \wedge \ n = 1$   
**unfolding** *One-nat-def* **by** (*rule mult-eq-1-iff*)

**lemma** *nat-1-eq-mult-iff* [*simp*]:  $(1::nat) = m * n \longleftrightarrow m = 1 \ \wedge \ n = 1$   
**unfolding** *One-nat-def* **by** (*rule one-eq-mult-iff*)

**lemma** *mult-cancel1* [*simp*]:  $(k * m = k * n) = (m = n \mid (k = (0::nat)))$   
**proof** –  
**have**  $k \neq 0 \implies k * m = k * n \implies m = n$   
**proof** (*induct n arbitrary: m*)  
**case** 0 **then show**  $m = 0$  **by** *simp*  
**next**  
**case** (*Suc n*) **then show**  $m = Suc\ n$   
**by** (*cases m*) (*simp-all add: eq-commute [of 0]*)  
**qed**  
**then show** *?thesis* **by** *auto*  
**qed**

**lemma** *mult-cancel2* [*simp*]:  $(m * k = n * k) = (m = n \mid (k = (0::nat)))$   
**by** (*simp add: mult-commute*)

**lemma** *Suc-mult-cancel1*:  $(\text{Suc } k * m = \text{Suc } k * n) = (m = n)$   
**by** (*subst mult-cancel1*) *simp*

## 12.4 Orders on *nat*

### 12.4.1 Operation definition

**instantiation** *nat* :: *linorder*  
**begin**

**primrec** *less-eq-nat* **where**  
 $(0::\text{nat}) \leq n \longleftrightarrow \text{True}$   
 $|\text{Suc } m \leq n \longleftrightarrow (\text{case } n \text{ of } 0 \Rightarrow \text{False} \mid \text{Suc } n \Rightarrow m \leq n)$

**declare** *less-eq-nat.simps* [*simp del*]

**lemma** [*code*]:  $(0::\text{nat}) \leq n \longleftrightarrow \text{True}$  **by** (*simp add: less-eq-nat.simps*)

**lemma** *le0* [*iff*]:  $0 \leq (n::\text{nat})$  **by** (*simp add: less-eq-nat.simps*)

**definition** *less-nat* **where**  
 $\text{less-eq-Suc-le}: n < m \longleftrightarrow \text{Suc } n \leq m$

**lemma** *Suc-le-mono* [*iff*]:  $\text{Suc } n \leq \text{Suc } m \longleftrightarrow n \leq m$   
**by** (*simp add: less-eq-nat.simps(2)*)

**lemma** *Suc-le-eq* [*code*]:  $\text{Suc } m \leq n \longleftrightarrow m < n$   
**unfolding** *less-eq-Suc-le* ..

**lemma** *le-0-eq* [*iff*]:  $(n::\text{nat}) \leq 0 \longleftrightarrow n = 0$   
**by** (*induct n*) (*simp-all add: less-eq-nat.simps(2)*)

**lemma** *not-less0* [*iff*]:  $\neg n < (0::\text{nat})$   
**by** (*simp add: less-eq-Suc-le*)

**lemma** *less-nat-zero-code* [*code*]:  $n < (0::\text{nat}) \longleftrightarrow \text{False}$   
**by** *simp*

**lemma** *Suc-less-eq* [*iff*]:  $\text{Suc } m < \text{Suc } n \longleftrightarrow m < n$   
**by** (*simp add: less-eq-Suc-le*)

**lemma** *less-Suc-eq-le* [*code*]:  $m < \text{Suc } n \longleftrightarrow m \leq n$   
**by** (*simp add: less-eq-Suc-le*)

**lemma** *le-SucI*:  $m \leq n \Longrightarrow m \leq \text{Suc } n$   
**by** (*induct m arbitrary: n*)  
*(simp-all add: less-eq-nat.simps(2) split: nat.splits)*

**lemma** *Suc-leD*:  $\text{Suc } m \leq n \Longrightarrow m \leq n$   
**by** (*cases n*) (*auto intro: le-SucI*)

**lemma** *less-SucI*:  $m < n \implies m < \text{Suc } n$   
**by** (*simp add: less-eq-Suc-le*) (*erule Suc-leD*)

**lemma** *Suc-lessD*:  $\text{Suc } m < n \implies m < n$   
**by** (*simp add: less-eq-Suc-le*) (*erule Suc-leD*)

**instance**

**proof**

**fix**  $n\ m :: \text{nat}$

**show**  $n < m \longleftrightarrow n \leq m \wedge \neg m \leq n$

**proof** (*induct n arbitrary: m*)

**case** 0 **then show** ?case **by** (*cases m*) (*simp-all add: less-eq-Suc-le*)

**next**

**case** (*Suc n*) **then show** ?case **by** (*cases m*) (*simp-all add: less-eq-Suc-le*)

**qed**

**next**

**fix**  $n :: \text{nat}$  **show**  $n \leq n$  **by** (*induct n*) *simp-all*

**next**

**fix**  $n\ m :: \text{nat}$  **assume**  $n \leq m$  **and**  $m \leq n$

**then show**  $n = m$

**by** (*induct n arbitrary: m*)

(*simp-all add: less-eq-nat.simps(2) split: nat.splits*)

**next**

**fix**  $n\ m\ q :: \text{nat}$  **assume**  $n \leq m$  **and**  $m \leq q$

**then show**  $n \leq q$

**proof** (*induct n arbitrary: m q*)

**case** 0 **show** ?case **by** *simp*

**next**

**case** (*Suc n*) **then show** ?case

**by** (*simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits, clarify,*

*simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits, clarify,*

*simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits*)

**qed**

**next**

**fix**  $n\ m :: \text{nat}$  **show**  $n \leq m \vee m \leq n$

**by** (*induct n arbitrary: m*)

(*simp-all add: less-eq-nat.simps(2) split: nat.splits*)

**qed**

**end**

**instantiation**  $\text{nat} :: \text{bot}$

**begin**

**definition** *bot-nat*  $:: \text{nat}$  **where**

*bot-nat* = 0

**instance** **proof**

**qed** (*simp add: bot-nat-def*)

end

### 12.4.2 Introduction properties

**lemma** *lessI* [iff]:  $n < \text{Suc } n$   
 by (*simp add: less-Suc-eq-le*)

**lemma** *zero-less-Suc* [iff]:  $0 < \text{Suc } n$   
 by (*simp add: less-Suc-eq-le*)

### 12.4.3 Elimination properties

**lemma** *less-not-refl*:  $\sim n < (n::\text{nat})$   
 by (*rule order-less-irrefl*)

**lemma** *less-not-refl2*:  $n < m \implies m \neq (n::\text{nat})$   
 by (*rule not-sym*) (*rule less-imp-neq*)

**lemma** *less-not-refl3*:  $(s::\text{nat}) < t \implies s \neq t$   
 by (*rule less-imp-neq*)

**lemma** *less-irrefl-nat*:  $(n::\text{nat}) < n \implies R$   
 by (*rule notE*, *rule less-not-refl*)

**lemma** *less-zeroE*:  $(n::\text{nat}) < 0 \implies R$   
 by (*rule notE*) (*rule not-less0*)

**lemma** *less-Suc-eq*:  $(m < \text{Suc } n) = (m < n \mid m = n)$   
 unfolding *less-Suc-eq-le le-less* ..

**lemma** *less-Suc0* [iff]:  $(n < \text{Suc } 0) = (n = 0)$   
 by (*simp add: less-Suc-eq*)

**lemma** *less-one* [iff, noatp]:  $(n < (1::\text{nat})) = (n = 0)$   
 unfolding *One-nat-def* by (*rule less-Suc0*)

**lemma** *Suc-mono*:  $m < n \implies \text{Suc } m < \text{Suc } n$   
 by *simp*

”Less than” is antisymmetric, sort of

**lemma** *less-antisym*:  $\llbracket \neg n < m; n < \text{Suc } m \rrbracket \implies m = n$   
 unfolding *not-less less-Suc-eq-le* by (*rule antisym*)

**lemma** *nat-neq-iff*:  $((m::\text{nat}) \neq n) = (m < n \mid n < m)$   
 by (*rule linorder-neq-iff*)

**lemma** *nat-less-cases*: **assumes** *major*:  $(m::\text{nat}) < n \implies P \ n \ m$   
**and** *eqCase*:  $m = n \implies P \ n \ m$  **and** *lessCase*:  $n < m \implies P \ n \ m$   
**shows**  $P \ n \ m$

```

apply (rule less-linear [THEN disjE])
apply (erule-tac [2] disjE)
apply (erule lessCase)
apply (erule sym [THEN eqCase])
apply (erule major)
done

```

#### 12.4.4 Inductive (?) properties

**lemma** *Suc-lessI*:  $m < n \implies \text{Suc } m \neq n \implies \text{Suc } m < n$   
**unfolding** less-eq-Suc-le [of *m*] le-less **by** simp

**lemma** *lessE*:  
**assumes** major:  $i < k$   
**and** p1:  $k = \text{Suc } i \implies P$  **and** p2:  $\forall j. i < j \implies k = \text{Suc } j \implies P$   
**shows**  $P$   
**proof** –  
**from** major **have**  $\exists j. i \leq j \wedge k = \text{Suc } j$   
**unfolding** less-eq-Suc-le **by** (induct *k*) simp-all  
**then have**  $(\exists j. i < j \wedge k = \text{Suc } j) \vee k = \text{Suc } i$   
**by** (clarsimp simp add: less-le)  
**with** p1 p2 **show**  $P$  **by** auto  
**qed**

**lemma** *less-SucE*: **assumes** major:  $m < \text{Suc } n$   
**and** less:  $m < n \implies P$  **and** eq:  $m = n \implies P$  **shows**  $P$   
**apply** (rule major [THEN lessE])  
**apply** (rule eq, blast)  
**apply** (rule less, blast)  
**done**

**lemma** *Suc-lessE*: **assumes** major:  $\text{Suc } i < k$   
**and** minor:  $\forall j. i < j \implies k = \text{Suc } j \implies P$  **shows**  $P$   
**apply** (rule major [THEN lessE])  
**apply** (erule lessI [THEN minor])  
**apply** (erule Suc-lessD [THEN minor], assumption)  
**done**

**lemma** *Suc-less-SucD*:  $\text{Suc } m < \text{Suc } n \implies m < n$   
**by** simp

**lemma** *less-trans-Suc*:  
**assumes** le:  $i < j$  **shows**  $j < k \implies \text{Suc } i < k$   
**apply** (induct *k*, simp-all)  
**apply** (insert le)  
**apply** (simp add: less-Suc-eq)  
**apply** (blast dest: Suc-lessD)  
**done**

Can be used with *less-Suc-eq* to get  $n = m \vee n < m$

**lemma** *not-less-eq*:  $\neg m < n \longleftrightarrow n < \text{Suc } m$   
**unfolding** *not-less less-Suc-eq-le* ..

**lemma** *not-less-eq-eq*:  $\neg m \leq n \longleftrightarrow \text{Suc } n \leq m$   
**unfolding** *not-le Suc-le-eq* ..

Properties of “less than or equal”

**lemma** *le-imp-less-Suc*:  $m \leq n \implies m < \text{Suc } n$   
**unfolding** *less-Suc-eq-le* .

**lemma** *Suc-n-not-le-n*:  $\sim \text{Suc } n \leq n$   
**unfolding** *not-le less-Suc-eq-le* ..

**lemma** *le-Suc-eq*:  $(m \leq \text{Suc } n) = (m \leq n \mid m = \text{Suc } n)$   
**by** (*simp add: less-Suc-eq-le [symmetric] less-Suc-eq*)

**lemma** *le-SucE*:  $m \leq \text{Suc } n \implies (m \leq n \implies R) \implies (m = \text{Suc } n \implies R)$   
 $\implies R$   
**by** (*drule le-Suc-eq [THEN iffD1], iprover+*)

**lemma** *Suc-leI*:  $m < n \implies \text{Suc}(m) \leq n$   
**unfolding** *Suc-le-eq* .

Stronger version of *Suc-leD*

**lemma** *Suc-le-lessD*:  $\text{Suc } m \leq n \implies m < n$   
**unfolding** *Suc-le-eq* .

**lemma** *less-imp-le-nat*:  $m < n \implies m \leq (n::\text{nat})$   
**unfolding** *less-eq-Suc-le* **by** (*rule Suc-leD*)

For instance,  $(\text{Suc } m < \text{Suc } n) = (\text{Suc } m \leq n) = (m < n)$

**lemmas** *le-simps* = *less-imp-le-nat less-Suc-eq-le Suc-le-eq*

Equivalence of  $m \leq n$  and  $m < n \vee m = n$

**lemma** *less-or-eq-imp-le*:  $m < n \mid m = n \implies m \leq (n::\text{nat})$   
**unfolding** *le-less* .

**lemma** *le-eq-less-or-eq*:  $(m \leq (n::\text{nat})) = (m < n \mid m = n)$   
**by** (*rule le-less*)

Useful with *blast*.

**lemma** *eq-imp-le*:  $(m::\text{nat}) = n \implies m \leq n$   
**by** *auto*

**lemma** *le-refl*:  $n \leq (n::\text{nat})$   
**by** *simp*

**lemma** *le-trans*:  $[[ i \leq j; j \leq k ]] \implies i \leq (k::\text{nat})$

**by** (*rule order-trans*)

**lemma** *le-anti-sym*:  $[[m \leq n; n \leq m]] \implies m = (n::nat)$   
**by** (*rule antisym*)

**lemma** *nat-less-le*:  $((m::nat) < n) = (m \leq n \ \& \ m \neq n)$   
**by** (*rule less-le*)

**lemma** *le-neq-implies-less*:  $(m::nat) \leq n \implies m \neq n \implies m < n$   
**unfolding** *less-le* ..

**lemma** *nat-le-linear*:  $(m::nat) \leq n \mid n \leq m$   
**by** (*rule linear*)

**lemmas** *linorder-neqE-nat* = *linorder-neqE* [**where** 'a = nat]

**lemma** *le-less-Suc-eq*:  $m \leq n \implies (n < Suc \ m) = (n = m)$   
**unfolding** *less-Suc-eq-le* **by** *auto*

**lemma** *not-less-less-Suc-eq*:  $\sim n < m \implies (n < Suc \ m) = (n = m)$   
**unfolding** *not-less* **by** (*rule le-less-Suc-eq*)

**lemmas** *not-less-simps* = *not-less-less-Suc-eq* *le-less-Suc-eq*

These two rules ease the use of primitive recursion. NOTE USE OF ==

**lemma** *def-nat-rec-0*:  $(!!n. f \ n == nat-rec \ c \ h \ n) \implies f \ 0 = c$   
**by** *simp*

**lemma** *def-nat-rec-Suc*:  $(!!n. f \ n == nat-rec \ c \ h \ n) \implies f \ (Suc \ n) = h \ n \ (f \ n)$   
**by** *simp*

**lemma** *not0-implies-Suc*:  $n \neq 0 \implies \exists m. n = Suc \ m$   
**by** (*cases n*) *simp-all*

**lemma** *gr0-implies-Suc*:  $n > 0 \implies \exists m. n = Suc \ m$   
**by** (*cases n*) *simp-all*

**lemma** *gr-implies-not0*: **fixes**  $n :: nat$  **shows**  $m < n \implies n \neq 0$   
**by** (*cases n*) *simp-all*

**lemma** *neq0-conv[iff]*: **fixes**  $n :: nat$  **shows**  $(n \neq 0) = (0 < n)$   
**by** (*cases n*) *simp-all*

This theorem is useful with *blast*

**lemma** *gr0I*:  $((n::nat) = 0 \implies False) \implies 0 < n$   
**by** (*rule neq0-conv[THEN iffD1]*, *iprover*)

**lemma** *gr0-conv-Suc*:  $(0 < n) = (\exists m. n = Suc \ m)$   
**by** (*fast intro: not0-implies-Suc*)

**lemma** *not-gr0* [*iff, noatp*]:  $!!n::nat. (\sim (0 < n)) = (n = 0)$   
**using** *neq0-conv* **by** *blast*

**lemma** *Suc-le-D*:  $(Suc\ n \leq m') ==> (?\ m. m' = Suc\ m)$   
**by** (*induct m'*) *simp-all*

Useful in certain inductive arguments

**lemma** *less-Suc-eq-0-disj*:  $(m < Suc\ n) = (m = 0 \mid (\exists j. m = Suc\ j \ \& \ j < n))$   
**by** (*cases m*) *simp-all*

#### 12.4.5 *min* and *max*

**lemma** *mono-Suc*: *mono Suc*  
**by** (*rule monoI*) *simp*

**lemma** *min-0L* [*simp*]:  $min\ 0\ n = (0::nat)$   
**by** (*rule min-leastL*) *simp*

**lemma** *min-0R* [*simp*]:  $min\ n\ 0 = (0::nat)$   
**by** (*rule min-leastR*) *simp*

**lemma** *min-Suc-Suc* [*simp*]:  $min\ (Suc\ m)\ (Suc\ n) = Suc\ (min\ m\ n)$   
**by** (*simp add: mono-Suc min-of-mono*)

**lemma** *min-Suc1*:  
 $min\ (Suc\ n)\ m = (case\ m\ of\ 0 ==> 0 \mid Suc\ m' ==> Suc\ (min\ n\ m'))$   
**by** (*simp split: nat.split*)

**lemma** *min-Suc2*:  
 $min\ m\ (Suc\ n) = (case\ m\ of\ 0 ==> 0 \mid Suc\ m' ==> Suc\ (min\ m'\ n))$   
**by** (*simp split: nat.split*)

**lemma** *max-0L* [*simp*]:  $max\ 0\ n = (n::nat)$   
**by** (*rule max-leastL*) *simp*

**lemma** *max-0R* [*simp*]:  $max\ n\ 0 = (n::nat)$   
**by** (*rule max-leastR*) *simp*

**lemma** *max-Suc-Suc* [*simp*]:  $max\ (Suc\ m)\ (Suc\ n) = Suc\ (max\ m\ n)$   
**by** (*simp add: mono-Suc max-of-mono*)

**lemma** *max-Suc1*:  
 $max\ (Suc\ n)\ m = (case\ m\ of\ 0 ==> Suc\ n \mid Suc\ m' ==> Suc\ (max\ n\ m'))$   
**by** (*simp split: nat.split*)

**lemma** *max-Suc2*:  
 $max\ m\ (Suc\ n) = (case\ m\ of\ 0 ==> Suc\ n \mid Suc\ m' ==> Suc\ (max\ m'\ n))$   
**by** (*simp split: nat.split*)



### 12.4.6 Monotonicity of Addition

**lemma** *Suc-pred* [simp]:  $n > 0 \implies \text{Suc } (n - \text{Suc } 0) = n$   
**by** (simp add: diff-Suc split: nat.split)

**lemma** *Suc-diff-1* [simp]:  $0 < n \implies \text{Suc } (n - 1) = n$   
**unfolding** *One-nat-def* **by** (rule *Suc-pred*)

**lemma** *nat-add-left-cancel-le* [simp]:  $(k + m \leq k + n) = (m \leq (n::\text{nat}))$   
**by** (induct k) simp-all

**lemma** *nat-add-left-cancel-less* [simp]:  $(k + m < k + n) = (m < (n::\text{nat}))$   
**by** (induct k) simp-all

**lemma** *add-gr-0* [iff]:  $!!m::\text{nat}. (m + n > 0) = (m > 0 \mid n > 0)$   
**by**(auto dest:gr0-implies-Suc)

strict, in 1st argument

**lemma** *add-less-mono1*:  $i < j \implies i + k < j + (k::\text{nat})$   
**by** (induct k) simp-all

strict, in both arguments

**lemma** *add-less-mono*:  $[[i < j; k < l]] \implies i + k < j + (l::\text{nat})$   
**apply** (rule *add-less-mono1* [THEN less-trans], assumption+)  
**apply** (induct j, simp-all)  
**done**

Deleted *less-natE*; use *less-imp-Suc-add RS exE*

**lemma** *less-imp-Suc-add*:  $m < n \implies (\exists k. n = \text{Suc } (m + k))$   
**apply** (induct n)  
**apply** (simp-all add: order-le-less)  
**apply** (blast elim!: less-SucE  
intro!: add-0-right [symmetric] add-Suc-right [symmetric])  
**done**

strict, in 1st argument; proof is by induction on  $k > 0$

**lemma** *mult-less-mono2*:  $(i::\text{nat}) < j \implies 0 < k \implies k * i < k * j$   
**apply**(auto simp: gr0-conv-Suc)  
**apply** (induct-tac m)  
**apply** (simp-all add: add-less-mono)  
**done**

The naturals form an ordered *comm-semiring-1-cancel*

**instance** *nat* :: *ordered-semidom*

**proof**

**fix** *i j k* :: *nat*

**show**  $0 < (1::\text{nat})$  **by** *simp*

**show**  $i \leq j \implies k + i \leq k + j$  **by** *simp*

**show**  $i < j \implies 0 < k \implies k * i < k * j$  **by** (simp add: mult-less-mono2)

qed

instance nat :: no-zero-divisors

proof

fix a::nat and b::nat show  $a \sim 0 \implies b \sim 0 \implies a * b \sim 0$  by auto

qed

lemma nat-mult-1:  $(1::nat) * n = n$

by simp

lemma nat-mult-1-right:  $n * (1::nat) = n$

by simp

#### 12.4.7 Additional theorems about $op \leq$

Complete induction, aka course-of-values induction

instance nat :: wellorder proof

fix P and n :: nat

assume step:  $\bigwedge n::nat. (\bigwedge m. m < n \implies P m) \implies P n$

have  $\bigwedge q. q \leq n \implies P q$

proof (induct n)

case (0 n)

have  $P 0$  by (rule step) auto

thus ?case using 0 by auto

next

case (Suc m n)

then have  $n \leq m \vee n = \text{Suc } m$  by (simp add: le-Suc-eq)

thus ?case

proof

assume  $n \leq m$  thus  $P n$  by (rule Suc(1))

next

assume n:  $n = \text{Suc } m$

show  $P n$

by (rule step) (rule Suc(1), simp add: n le-simps)

qed

qed

then show  $P n$  by auto

qed

lemma Least-Suc:

$[| P n; \sim P 0 |] \implies (\text{LEAST } n. P n) = \text{Suc } (\text{LEAST } m. P (\text{Suc } m))$

apply (case-tac n, auto)

apply (frule LeastI)

apply (drule-tac  $P = \%x. P (\text{Suc } x)$  in LeastI)

apply (subgoal-tac  $(\text{LEAST } x. P x) \leq \text{Suc } (\text{LEAST } x. P (\text{Suc } x))$ )

apply (erule-tac [2] Least-le)

apply (case-tac  $\text{LEAST } x. P x$ , auto)

apply (drule-tac  $P = \%x. P (\text{Suc } x)$  in Least-le)

apply (blast intro: order-antisym)

done

**lemma** *Least-Suc2*:

$[|P\ n;\ Q\ m;\ \sim P\ 0;\ !k.\ P\ (Suc\ k) = Q\ k|] ==> Least\ P = Suc\ (Least\ Q)$   
**apply** (*erule* (1) *Least-Suc* [*THEN* *ssubst*])  
**apply** *simp*  
**done**

**lemma** *ex-least-nat-le*:  $\neg P(0) \implies P(n::nat) \implies \exists k \leq n. (\forall i < k. \neg P\ i) \ \&\ P(k)$

**apply** (*cases* *n*)  
**apply** *blast*  
**apply** (*rule-tac*  $x=LEAST\ k.\ P(k)$  **in** *exI*)  
**apply** (*blast* *intro*: *Least-le* *dest*: *not-less-Least* *intro*: *LeastI-ex*)  
**done**

**lemma** *ex-least-nat-less*:  $\neg P(0) \implies P(n::nat) \implies \exists k < n. (\forall i \leq k. \neg P\ i) \ \&\ P(k+1)$

**unfolding** *One-nat-def*  
**apply** (*cases* *n*)  
**apply** *blast*  
**apply** (*frule* (1) *ex-least-nat-le*)  
**apply** (*erule* *exE*)  
**apply** (*case-tac* *k*)  
**apply** *simp*  
**apply** (*rename-tac* *k1*)  
**apply** (*rule-tac*  $x=k1$  **in** *exI*)  
**apply** (*auto* *simp* *add*: *less-eq-Suc-le*)  
**done**

**lemma** *nat-less-induct*:

**assumes**  $!!n. \forall m::nat. m < n \longrightarrow P\ m ==> P\ n$  **shows**  $P\ n$   
**using** *assms* *less-induct* **by** *blast*

**lemma** *measure-induct-rule* [*case-names* *less*]:

**fixes**  $f :: 'a \Rightarrow nat$   
**assumes** *step*:  $\bigwedge x. (\bigwedge y. f\ y < f\ x \implies P\ y) \implies P\ x$   
**shows**  $P\ a$   
**by** (*induct*  $m \equiv f\ a$  *arbitrary*: *a rule: less-induct*) (*auto* *intro*: *step*)

old style induction rules:

**lemma** *measure-induct*:

**fixes**  $f :: 'a \Rightarrow nat$   
**shows**  $(\bigwedge x. \forall y. f\ y < f\ x \longrightarrow P\ y \implies P\ x) \implies P\ a$   
**by** (*rule* *measure-induct-rule* [*of*  $f\ P\ a$ ]) *iprover*

**lemma** *full-nat-induct*:

**assumes** *step*:  $(!!n. (ALL\ m. Suc\ m \leq n \longrightarrow P\ m) ==> P\ n)$   
**shows**  $P\ n$   
**by** (*rule* *less-induct*) (*auto* *intro*: *step* *simp*:*le-simps*)

An induction rule for establishing binary relations

```

lemma less-Suc-induct:
  assumes less:  $i < j$ 
    and step:  $!!i. P\ i\ (Suc\ i)$ 
    and trans:  $!!i\ j\ k. P\ i\ j \implies P\ j\ k \implies P\ i\ k$ 
  shows  $P\ i\ j$ 
proof –
  from less obtain  $k$  where  $j = Suc(i+k)$  by (auto dest: less-imp-Suc-add)
  have  $P\ i\ (Suc\ (i + k))$ 
  proof (induct k)
    case 0
    show ?case by (simp add: step)
  next
    case (Suc k)
    thus ?case by (auto intro: assms)
  qed
  thus  $P\ i\ j$  by (simp add: j)
qed

```

The method of infinite descent, frequently used in number theory. Provided by Roelof Oosterhuis.  $P(n)$  is true for all  $n \in \mathbb{N}$  if

- case “0”: given  $n = 0$  prove  $P(n)$ ,
- case “smaller”: given  $n > 0$  and  $\neg P(n)$  prove there exists a smaller integer  $m$  such that  $\neg P(m)$ .

A compact version without explicit base case:

```

lemma infinite-descent:
   $\llbracket !!n::nat. \neg P\ n \implies \exists m < n. \neg P\ m \rrbracket \implies P\ n$ 
by (induct n rule: less-induct, auto)

```

```

lemma infinite-descent0[case-names 0 smaller]:
   $\llbracket P\ 0; !!n. n > 0 \implies \neg P\ n \implies (\exists m::nat. m < n \wedge \neg P\ m) \rrbracket \implies P\ n$ 
by (rule infinite-descent) (case-tac n > 0, auto)

```

Infinite descent using a mapping to  $\mathbb{N}$ :  $P(x)$  is true for all  $x \in D$  if there exists a  $V : D \rightarrow \mathbb{N}$  and

- case “0”: given  $V(x) = 0$  prove  $P(x)$ ,
- case “smaller”: given  $V(x) > 0$  and  $\neg P(x)$  prove there exists a  $y \in D$  such that  $V(y) < V(x)$  and  $\neg P(y)$ .

NB: the proof also shows how to use the previous lemma.

```

corollary infinite-descent0-measure [case-names 0 smaller]:
  assumes A0:  $!!x. V\ x = (0::nat) \implies P\ x$ 
    and A1:  $!!x. V\ x > 0 \implies \neg P\ x \implies (\exists y. V\ y < V\ x \wedge \neg P\ y)$ 
  shows  $P\ x$ 

```

**proof** –  
**obtain**  $n$  **where**  $n = V\ x$  **by** *auto*  
**moreover have**  $\bigwedge x. V\ x = n \implies P\ x$   
**proof** (*induct n rule: infinite-descent0*)  
**case**  $0$  — i.e.  $V(x) = 0$   
**with**  $A0$  **show**  $P\ x$  **by** *auto*  
**next** — now  $n > 0$  and  $P(x)$  does not hold for some  $x$  with  $V(x) = n$   
**case** (*smaller n*)  
**then obtain**  $x$  **where**  $vxn: V\ x = n$  **and**  $V\ x > 0 \wedge \neg P\ x$  **by** *auto*  
**with**  $A1$  **obtain**  $y$  **where**  $V\ y < V\ x \wedge \neg P\ y$  **by** *auto*  
**with**  $vxn$  **obtain**  $m$  **where**  $m = V\ y \wedge m < n \wedge \neg P\ y$  **by** *auto*  
**then show** *?case* **by** *auto*  
**qed**  
**ultimately show**  $P\ x$  **by** *auto*  
**qed**

Again, without explicit base case:

**lemma** *infinite-descent-measure*:  
**assumes**  $\forall x. \neg P\ x \implies \exists y. (V::'a \Rightarrow nat)\ y < V\ x \wedge \neg P\ y$  **shows**  $P\ x$   
**proof** –  
**from** *assms* **obtain**  $n$  **where**  $n = V\ x$  **by** *auto*  
**moreover have**  $\forall x. V\ x = n \implies P\ x$   
**proof** (*induct n rule: infinite-descent, auto*)  
**fix**  $x$  **assume**  $\neg P\ x$   
**with** *assms* **show**  $\exists m < V\ x. \exists y. V\ y = m \wedge \neg P\ y$  **by** *auto*  
**qed**  
**ultimately show**  $P\ x$  **by** *auto*  
**qed**

A [clumsy] way of lifting  $<$  monotonicity to  $\leq$  monotonicity

**lemma** *less-mono-imp-le-mono*:  
 $\llbracket \forall i\ j::nat. i < j \implies f\ i < f\ j; i \leq j \rrbracket \implies f\ i \leq ((f\ j)::nat)$   
**by** (*simp add: order-le-less*) (*blast*)

non-strict, in 1st argument

**lemma** *add-le-mono1*:  $i \leq j \implies i + k \leq j + (k::nat)$   
**by** (*rule add-right-mono*)

non-strict, in both arguments

**lemma** *add-le-mono*:  $\llbracket i \leq j; k \leq l \rrbracket \implies i + k \leq j + (l::nat)$   
**by** (*rule add-mono*)

**lemma** *le-add2*:  $n \leq ((m + n)::nat)$   
**by** (*insert add-right-mono [of 0 m n], simp*)

**lemma** *le-add1*:  $n \leq ((n + m)::nat)$   
**by** (*simp add: add-commute, rule le-add2*)

```

lemma less-add-Suc1:  $i < \text{Suc } (i + m)$ 
by (rule le-less-trans, rule le-add1, rule lessI)

lemma less-add-Suc2:  $i < \text{Suc } (m + i)$ 
by (rule le-less-trans, rule le-add2, rule lessI)

lemma less-iff-Suc-add:  $(m < n) = (\exists k. n = \text{Suc } (m + k))$ 
by (iprover intro!: less-add-Suc1 less-imp-Suc-add)

lemma trans-le-add1:  $(i::\text{nat}) \leq j \implies i \leq j + m$ 
by (rule le-trans, assumption, rule le-add1)

lemma trans-le-add2:  $(i::\text{nat}) \leq j \implies i \leq m + j$ 
by (rule le-trans, assumption, rule le-add2)

lemma trans-less-add1:  $(i::\text{nat}) < j \implies i < j + m$ 
by (rule less-le-trans, assumption, rule le-add1)

lemma trans-less-add2:  $(i::\text{nat}) < j \implies i < m + j$ 
by (rule less-le-trans, assumption, rule le-add2)

lemma add-lessD1:  $i + j < (k::\text{nat}) \implies i < k$ 
apply (rule le-less-trans [of - i+j])
apply (simp-all add: le-add1)
done

lemma not-add-less1 [iff]:  $\sim (i + j < (i::\text{nat}))$ 
apply (rule notI)
apply (drule add-lessD1)
apply (erule less-irrefl [THEN notE])
done

lemma not-add-less2 [iff]:  $\sim (j + i < (i::\text{nat}))$ 
by (simp add: add-commute)

lemma add-leD1:  $m + k \leq n \implies m \leq (n::\text{nat})$ 
apply (rule order-trans [of - m+k])
apply (simp-all add: le-add1)
done

lemma add-leD2:  $m + k \leq n \implies k \leq (n::\text{nat})$ 
apply (simp add: add-commute)
apply (erule add-leD1)
done

lemma add-leE:  $(m::\text{nat}) + k \leq n \implies (m \leq n \implies k \leq n \implies R) \implies R$ 
by (blast dest: add-leD1 add-leD2)

needs !!k for add-ac to work

```

**lemma** *less-add-eq-less*:  $!!k::nat. k < l ==> m + l = k + n ==> m < n$   
**by** (*force simp del: add-Suc-right*  
*simp add: less-iff-Suc-add add-Suc-right [symmetric] add-ac*)

#### 12.4.8 More results about difference

Addition is the inverse of subtraction: if  $n \leq m$  then  $n + (m - n) = m$ .

**lemma** *add-diff-inverse*:  $\sim m < n ==> n + (m - n) = (m::nat)$   
**by** (*induct m n rule: diff-induct simp-all*)

**lemma** *le-add-diff-inverse* [*simp*]:  $n \leq m ==> n + (m - n) = (m::nat)$   
**by** (*simp add: add-diff-inverse linorder-not-less*)

**lemma** *le-add-diff-inverse2* [*simp*]:  $n \leq m ==> (m - n) + n = (m::nat)$   
**by** (*simp add: add-commute*)

**lemma** *Suc-diff-le*:  $n \leq m ==> Suc\ m - n = Suc\ (m - n)$   
**by** (*induct m n rule: diff-induct simp-all*)

**lemma** *diff-less-Suc*:  $m - n < Suc\ m$   
**apply** (*induct m n rule: diff-induct*)  
**apply** (*erule-tac [3] less-SucE*)  
**apply** (*simp-all add: less-Suc-eq*)  
**done**

**lemma** *diff-le-self* [*simp*]:  $m - n \leq (m::nat)$   
**by** (*induct m n rule: diff-induct (simp-all add: le-SucI)*)

**lemma** *le-iff-add*:  $(m::nat) \leq n = (\exists k. n = m + k)$   
**by** (*auto simp: le-add1 dest!: le-add-diff-inverse sym [of - n]*)

**lemma** *less-imp-diff-less*:  $(j::nat) < k ==> j - n < k$   
**by** (*rule le-less-trans, rule diff-le-self*)

**lemma** *diff-Suc-less* [*simp*]:  $0 < n ==> n - Suc\ i < n$   
**by** (*cases n (auto simp add: le-simps)*)

**lemma** *diff-add-assoc*:  $k \leq (j::nat) ==> (i + j) - k = i + (j - k)$   
**by** (*induct j k rule: diff-induct simp-all*)

**lemma** *diff-add-assoc2*:  $k \leq (j::nat) ==> (j + i) - k = (j - k) + i$   
**by** (*simp add: add-commute diff-add-assoc*)

**lemma** *le-imp-diff-is-add*:  $i \leq (j::nat) ==> (j - i = k) = (j = k + i)$   
**by** (*auto simp add: diff-add-inverse2*)

**lemma** *diff-is-0-eq* [*simp*]:  $((m::nat) - n = 0) = (m \leq n)$   
**by** (*induct m n rule: diff-induct simp-all*)

**lemma** *diff-is-0-eq'* [simp]:  $m \leq n \implies (m::nat) - n = 0$   
**by** (rule iffD2, rule diff-is-0-eq)

**lemma** *zero-less-diff* [simp]:  $(0 < n - (m::nat)) = (m < n)$   
**by** (induct m n rule: diff-induct) simp-all

**lemma** *less-imp-add-positive*:  
**assumes**  $i < j$   
**shows**  $\exists k::nat. 0 < k \ \& \ i + k = j$   
**proof**  
**from** *assms* **show**  $0 < j - i \ \& \ i + (j - i) = j$   
**by** (simp add: order-less-imp-le)  
**qed**

a nice rewrite for bounded subtraction

**lemma** *nat-minus-add-max*:  
**fixes**  $n \ m :: nat$   
**shows**  $n - m + m = \max \ n \ m$   
**by** (simp add: max-def not-le order-less-imp-le)

**lemma** *nat-diff-split*:  
 $P(a - b::nat) = ((a < b \longrightarrow P \ 0) \ \& \ (ALL \ d. \ a = b + d \longrightarrow P \ d))$   
— elimination of  $-$  on *nat*  
**by** (cases  $a < b$ )  
(auto simp add: diff-is-0-eq [THEN iffD2] diff-add-inverse  
not-less le-less dest!: sym [of a] sym [of b] add-eq-self-zero)

**lemma** *nat-diff-split-asm*:  
 $P(a - b::nat) = (\sim (a < b \ \& \ \sim P \ 0 \mid (EX \ d. \ a = b + d \ \& \ \sim P \ d)))$   
— elimination of  $-$  on *nat* in assumptions  
**by** (auto split: nat-diff-split)

#### 12.4.9 Monotonicity of Multiplication

**lemma** *mult-le-mono1*:  $i \leq (j::nat) \implies i * k \leq j * k$   
**by** (simp add: mult-right-mono)

**lemma** *mult-le-mono2*:  $i \leq (j::nat) \implies k * i \leq k * j$   
**by** (simp add: mult-left-mono)

$\leq$  monotonicity, BOTH arguments

**lemma** *mult-le-mono*:  $i \leq (j::nat) \implies k \leq l \implies i * k \leq j * l$   
**by** (simp add: mult-mono)

**lemma** *mult-less-mono1*:  $(i::nat) < j \implies 0 < k \implies i * k < j * k$   
**by** (simp add: mult-strict-right-mono)

Differs from the standard *zero-less-mult-iff* in that there are no negative numbers.



```

lemma nat-0-less-mult-iff [simp]:  $(0 < (m::nat) * n) = (0 < m \ \& \ 0 < n)$ 
  apply (induct m)
  apply simp
  apply (case-tac n)
  apply simp-all
done

```

```

lemma one-le-mult-iff [simp]:  $(\text{Suc } 0 \leq m * n) = (\text{Suc } 0 \leq m \ \& \ \text{Suc } 0 \leq n)$ 
  apply (induct m)
  apply simp
  apply (case-tac n)
  apply simp-all
done

```

```

lemma mult-less-cancel2 [simp]:  $((m::nat) * k < n * k) = (0 < k \ \& \ m < n)$ 
  apply (safe intro!: mult-less-mono1)
  apply (case-tac k, auto)
  apply (simp del: le-0-eq add: linorder-not-le [symmetric])
  apply (blast intro: mult-le-mono1)
done

```

```

lemma mult-less-cancel1 [simp]:  $(k * (m::nat) < k * n) = (0 < k \ \& \ m < n)$ 
by (simp add: mult-commute [of k])

```

```

lemma mult-le-cancel1 [simp]:  $(k * (m::nat) \leq k * n) = (0 < k \ \longrightarrow m \leq n)$ 
by (simp add: linorder-not-less [symmetric], auto)

```

```

lemma mult-le-cancel2 [simp]:  $((m::nat) * k \leq n * k) = (0 < k \ \longrightarrow m \leq n)$ 
by (simp add: linorder-not-less [symmetric], auto)

```

```

lemma Suc-mult-less-cancel1:  $(\text{Suc } k * m < \text{Suc } k * n) = (m < n)$ 
by (subst mult-less-cancel1) simp

```

```

lemma Suc-mult-le-cancel1:  $(\text{Suc } k * m \leq \text{Suc } k * n) = (m \leq n)$ 
by (subst mult-le-cancel1) simp

```

```

lemma le-square:  $m \leq m * (m::nat)$ 
  by (cases m) (auto intro: le-add1)

```

```

lemma le-cube:  $m \leq m * (m * m)$ 
  by (cases m) (auto intro: le-add1)

```

Lemma for *gcd*

```

lemma mult-eq-self-implies-10:  $(m::nat) = m * n \implies n = 1 \mid m = 0$ 
  apply (drule sym)
  apply (rule disjCI)
  apply (rule nat-less-cases, erule-tac [2] -)
  apply (drule-tac [2] mult-less-mono2)
  apply (auto)

```

```

done

the lattice order on nat
instantiation nat :: distrib-lattice
begin

definition
  (inf :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat) = min

definition
  (sup :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat) = max

instance by intro-classes
  (auto simp add: inf-nat-def sup-nat-def max-def not-le min-def
   intro: order-less-imp-le antisym elim!: order-trans order-less-trans)

end

```

## 12.5 Embedding of the Naturals into any *semiring-1*: *of-nat*

```

context semiring-1
begin

primrec
  of-nat :: nat  $\Rightarrow$  'a
where
  of-nat-0:    of-nat 0 = 0
  | of-nat-Suc: of-nat (Suc m) = 1 + of-nat m

lemma of-nat-1 [simp]: of-nat 1 = 1
  unfolding One-nat-def by simp

lemma of-nat-add [simp]: of-nat (m + n) = of-nat m + of-nat n
  by (induct m) (simp-all add: add-ac)

lemma of-nat-mult: of-nat (m * n) = of-nat m * of-nat n
  by (induct m) (simp-all add: add-ac left-distrib)

primrec of-nat-aux :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  nat  $\Rightarrow$  'a  $\Rightarrow$  'a where
  of-nat-aux inc 0 i = i
  | of-nat-aux inc (Suc n) i = of-nat-aux inc n (inc i) — tail recursive

lemma of-nat-code [code, code unfold, code inline del]:
  of-nat n = of-nat-aux ( $\lambda i. i + 1$ ) n 0
proof (induct n)
  case 0 then show ?case by simp
next
  case (Suc n)
  have  $\bigwedge i. \textit{of-nat-aux} (\lambda i. i + 1) \textit{n} (i + 1) = \textit{of-nat-aux} (\lambda i. i + 1) \textit{n} i + 1$ 

```

```

    by (induct n) simp-all
  from this [of 0] have of-nat-aux ( $\lambda i. i + 1$ ) n 1 = of-nat-aux ( $\lambda i. i + 1$ ) n 0
+ 1
    by simp
  with Suc show ?case by (simp add: add-commute)
qed

end

```

Class for unital semirings with characteristic zero. Includes non-ordered rings like the complex numbers.

```

class semiring-char-0 = semiring-1 +
  assumes of-nat-eq-iff [simp]: of-nat m = of-nat n  $\longleftrightarrow$  m = n
begin

```

Special cases where either operand is zero

```

lemma of-nat-0-eq-iff [simp, noatp]: 0 = of-nat n  $\longleftrightarrow$  0 = n
  by (rule of-nat-eq-iff [of 0, simplified])

```

```

lemma of-nat-eq-0-iff [simp, noatp]: of-nat m = 0  $\longleftrightarrow$  m = 0
  by (rule of-nat-eq-iff [of - 0, simplified])

```

```

lemma inj-of-nat: inj of-nat
  by (simp add: inj-on-def)

```

```

end

```

```

context ordered-semidom
begin

```

```

lemma zero-le-imp-of-nat: 0  $\leq$  of-nat m
  apply (induct m, simp-all)
  apply (erule order-trans)
  apply (rule ord-le-eq-trans [OF - add-commute])
  apply (rule less-add-one [THEN less-imp-le])
  done

```

```

lemma less-imp-of-nat-less: m < n  $\implies$  of-nat m < of-nat n
  apply (induct m n rule: diff-induct, simp-all)
  apply (insert add-less-le-mono [OF zero-less-one zero-le-imp-of-nat], force)
  done

```

```

lemma of-nat-less-imp-less: of-nat m < of-nat n  $\implies$  m < n
  apply (induct m n rule: diff-induct, simp-all)
  apply (insert zero-le-imp-of-nat)
  apply (force simp add: not-less [symmetric])
  done

```

```

lemma of-nat-less-iff [simp]: of-nat m < of-nat n  $\longleftrightarrow$  m < n

```

**by** (*blast intro: of-nat-less-imp-less less-imp-of-nat-less*)

**lemma** *of-nat-le-iff* [*simp*]:  $of\text{-}nat\ m \leq of\text{-}nat\ n \longleftrightarrow m \leq n$   
**by** (*simp add: not-less [symmetric] linorder-not-less [symmetric]*)

Every *ordered-semidom* has characteristic zero.

**subclass** *semiring-char-0*  
**proof qed** (*simp add: eq-iff order-eq-iff*)

Special cases where either operand is zero

**lemma** *of-nat-0-le-iff* [*simp*]:  $0 \leq of\text{-}nat\ n$   
**by** (*rule of-nat-le-iff [of 0, simplified]*)

**lemma** *of-nat-le-0-iff* [*simp, noatp*]:  $of\text{-}nat\ m \leq 0 \longleftrightarrow m = 0$   
**by** (*rule of-nat-le-iff [of - 0, simplified]*)

**lemma** *of-nat-0-less-iff* [*simp*]:  $0 < of\text{-}nat\ n \longleftrightarrow 0 < n$   
**by** (*rule of-nat-less-iff [of 0, simplified]*)

**lemma** *of-nat-less-0-iff* [*simp*]:  $\neg of\text{-}nat\ m < 0$   
**by** (*rule of-nat-less-iff [of - 0, simplified]*)

**end**

**context** *ring-1*  
**begin**

**lemma** *of-nat-diff*:  $n \leq m \implies of\text{-}nat\ (m - n) = of\text{-}nat\ m - of\text{-}nat\ n$   
**by** (*simp add: algebra-simps of-nat-add [symmetric]*)

**end**

**context** *ordered-idom*  
**begin**

**lemma** *abs-of-nat* [*simp*]:  $|of\text{-}nat\ n| = of\text{-}nat\ n$   
**unfolding** *abs-if* **by** *auto*

**end**

**lemma** *of-nat-id* [*simp*]:  $of\text{-}nat\ n = n$   
**by** (*induct n*) (*auto simp add: One-nat-def*)

**lemma** *of-nat-eq-id* [*simp*]:  $of\text{-}nat = id$   
**by** (*auto simp add: expand-fun-eq*)

## 12.6 The Set of Natural Numbers

**context** *semiring-1*

**begin**

**definition**

*Nats* :: 'a set **where**  
 [code del]: *Nats* = range of-nat

**notation** (*xsymbols*)

*Nats* ( $\mathbb{N}$ )

**lemma** of-nat-in-Nats [simp]: of-nat  $n \in \mathbb{N}$   
 by (simp add: Nats-def)

**lemma** Nats-0 [simp]:  $0 \in \mathbb{N}$   
 apply (simp add: Nats-def)  
 apply (rule range-eqI)  
 apply (rule of-nat-0 [symmetric])  
 done

**lemma** Nats-1 [simp]:  $1 \in \mathbb{N}$   
 apply (simp add: Nats-def)  
 apply (rule range-eqI)  
 apply (rule of-nat-1 [symmetric])  
 done

**lemma** Nats-add [simp]:  $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a + b \in \mathbb{N}$   
 apply (auto simp add: Nats-def)  
 apply (rule range-eqI)  
 apply (rule of-nat-add [symmetric])  
 done

**lemma** Nats-mult [simp]:  $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a * b \in \mathbb{N}$   
 apply (auto simp add: Nats-def)  
 apply (rule range-eqI)  
 apply (rule of-nat-mult [symmetric])  
 done

**end**

## 12.7 Further Arithmetic Facts Concerning the Natural Numbers

**lemma** subst-equals:

assumes 1:  $t = s$  and 2:  $u = t$   
 shows  $u = s$   
 using 2 1 by (rule trans)

**setup** Arith-Data.setup

**use** Tools/nat-arith.ML

```

declaration  $\langle\langle$  K Nat-Arith.setup  $\rangle\rangle$ 

use Tools/lin-arith.ML
declaration  $\langle\langle$  K Lin-Arith.setup  $\rangle\rangle$ 

lemmas [arith-split] = nat-diff-split split-min split-max

context order
begin

lemma lift-Suc-mono-le:
  assumes mono:  $!!n. f\ n \leq f(\text{Suc } n)$  and  $n \leq n'$ 
  shows  $f\ n \leq f\ n'$ 
proof (cases  $n < n'$ )
  case True
  thus ?thesis
    by (induct  $n\ n'$  rule: less-Suc-induct[consumes 1]) (auto intro: mono)
qed (insert  $\langle n \leq n' \rangle$ , auto) — trivial for  $n = n'$ 

lemma lift-Suc-mono-less:
  assumes mono:  $!!n. f\ n < f(\text{Suc } n)$  and  $n < n'$ 
  shows  $f\ n < f\ n'$ 
using  $\langle n < n' \rangle$ 
by (induct  $n\ n'$  rule: less-Suc-induct[consumes 1]) (auto intro: mono)

lemma lift-Suc-mono-less-iff:
   $(!!n. f\ n < f(\text{Suc } n)) \implies f(n) < f(m) \longleftrightarrow n < m$ 
by(blast intro: less-asym' lift-Suc-mono-less[of f]
    dest: linorder-not-less[THEN iffD1] le-eq-less-or-eq[THEN iffD1])

end

lemma mono-iff-le-Suc:  $\text{mono } f = (\forall n. f\ n \leq f(\text{Suc } n))$ 
unfolding mono-def
by (auto intro: lift-Suc-mono-le[of f])

lemma mono-nat-linear-lb:
   $(!!m\ n::\text{nat}. m < n \implies f\ m < f\ n) \implies f(m)+k \leq f(m+k)$ 
apply(induct-tac k)
  apply simp
  apply(erule-tac  $x=m+n$  in meta-allE)
  apply(erule-tac  $x=\text{Suc}(m+n)$  in meta-allE)
  apply simp
done

Subtraction laws, mostly by Clemens Ballarin

lemma diff-less-mono:  $[| a < (b::\text{nat}); c \leq a |] \implies a - c < b - c$ 
by arith

```

**lemma** *less-diff-conv*:  $(i < j - k) = (i + k < (j :: nat))$   
**by** *arith*

**lemma** *le-diff-conv*:  $(j - k \leq (i :: nat)) = (j \leq i + k)$   
**by** *arith*

**lemma** *le-diff-conv2*:  $k \leq j \implies (i \leq j - k) = (i + k \leq (j :: nat))$   
**by** *arith*

**lemma** *diff-diff-cancel* [*simp*]:  $i \leq (n :: nat) \implies n - (n - i) = i$   
**by** *arith*

**lemma** *le-add-diff*:  $k \leq (n :: nat) \implies m \leq n + m - k$   
**by** *arith*

**lemma** *diff-less* [*simp*]:  $!!m :: nat. [0 < n; 0 < m] \implies m - n < m$   
**by** *arith*

Simplification of relational expressions involving subtraction

**lemma** *diff-diff-eq*:  $[k \leq m; k \leq (n :: nat)] \implies ((m - k) - (n - k)) = (m - n)$   
**by** (*simp split add: nat-diff-split*)

**lemma** *eq-diff-iff*:  $[k \leq m; k \leq (n :: nat)] \implies (m - k = n - k) = (m = n)$   
**by** (*auto split add: nat-diff-split*)

**lemma** *less-diff-iff*:  $[k \leq m; k \leq (n :: nat)] \implies (m - k < n - k) = (m < n)$   
**by** (*auto split add: nat-diff-split*)

**lemma** *le-diff-iff*:  $[k \leq m; k \leq (n :: nat)] \implies (m - k \leq n - k) = (m \leq n)$   
**by** (*auto split add: nat-diff-split*)

(Anti)Monotonicity of subtraction – by Stephan Merz

**lemma** *diff-le-mono*:  $m \leq (n :: nat) \implies (m - l) \leq (n - l)$   
**by** (*simp split add: nat-diff-split*)

**lemma** *diff-le-mono2*:  $m \leq (n :: nat) \implies (l - n) \leq (l - m)$   
**by** (*simp split add: nat-diff-split*)

**lemma** *diff-less-mono2*:  $[m < (n :: nat); m < l] \implies (l - n) < (l - m)$   
**by** (*simp split add: nat-diff-split*)

**lemma** *diffs0-imp-equal*:  $!!m :: nat. [m - n = 0; n - m = 0] \implies m = n$   
**by** (*simp split add: nat-diff-split*)

**lemma** *min-diff*:  $\min (m - (i :: nat)) (n - i) = \min m n - i$   
**unfolding** *min-def* **by** *auto*

**lemma** *inj-on-diff-nat*:

```

assumes k-le-n:  $\forall n \in N. k \leq (n::nat)$ 
shows inj-on  $(\lambda n. n - k) N$ 
proof (rule inj-onI)
  fix x y
  assume a:  $x \in N \ y \in N \ x - k = y - k$ 
  with k-le-n have  $x - k + k = y - k + k$  by auto
  with a k-le-n show  $x = y$  by auto
qed

```

Rewriting to pull differences out

```

lemma diff-diff-right [simp]:  $k \leq j \implies i - (j - k) = i + (k::nat) - j$ 
by arith

```

```

lemma diff-Suc-diff-eq1 [simp]:  $k \leq j \implies m - Suc (j - k) = m + k - Suc j$ 
by arith

```

```

lemma diff-Suc-diff-eq2 [simp]:  $k \leq j \implies Suc (j - k) - m = Suc j - (k + m)$ 
by arith

```

Lemmas for ex/Factorization

```

lemma one-less-mult:  $[| Suc\ 0 < n; Suc\ 0 < m |] \implies Suc\ 0 < m * n$ 
by (cases m) auto

```

```

lemma n-less-m-mult-n:  $[| Suc\ 0 < n; Suc\ 0 < m |] \implies n < m * n$ 
by (cases m) auto

```

```

lemma n-less-n-mult-m:  $[| Suc\ 0 < n; Suc\ 0 < m |] \implies n < n * m$ 
by (cases m) auto

```

Specialized induction principles that work ”backwards”:

```

lemma inc-induct[consumes 1, case-names base step]:

```

```

  assumes less:  $i \leq j$ 
  assumes base:  $P\ j$ 
  assumes step:  $!!i. [| i < j; P\ (Suc\ i) |] \implies P\ i$ 
  shows  $P\ i$ 
  using less

```

```

proof (induct d == j - i arbitrary: i)

```

```

  case (0 i)
  hence  $i = j$  by simp
  with base show ?case by simp

```

```

next

```

```

  case (Suc d i)
  hence  $i < j$   $P\ (Suc\ i)$ 
  by simp-all
  thus  $P\ i$  by (rule step)

```

```

qed

```

```

lemma strict-inc-induct[consumes 1, case-names base step]:

```

```

  assumes less:  $i < j$ 

```



```

assumes base:  $!!i. j = \text{Suc } i \implies P \ i$ 
assumes step:  $!!i. [i < j; P \ (\text{Suc } i)] \implies P \ i$ 
shows  $P \ i$ 
using less
proof (induct  $d == j - i - 1$  arbitrary:  $i$ )
  case ( $0 \ i$ )
    with  $\langle i < j \rangle$  have  $j = \text{Suc } i$  by simp
    with base show ?case by simp
  next
    case ( $\text{Suc } d \ i$ )
    hence  $i < j$   $P \ (\text{Suc } i)$ 
      by simp-all
    thus  $P \ i$  by (rule step)
qed

lemma zero-induct-lemma:  $P \ k \implies (!!n. P \ (\text{Suc } n) \implies P \ n) \implies P \ (k - i)$ 
  using inc-induct[of  $k - i \ k \ P$ , simplified] by blast

lemma zero-induct:  $P \ k \implies (!!n. P \ (\text{Suc } n) \implies P \ n) \implies P \ 0$ 
  using inc-induct[of  $0 \ k \ P$ ] by blast

lemma nat-not-singleton:  $(\forall x. x = (0::\text{nat})) = \text{False}$ 
  by auto

```

```

lemmas add-diff-assoc = diff-add-assoc [symmetric]
lemmas add-diff-assoc2 = diff-add-assoc2 [symmetric]
declare diff-diff-left [simp] add-diff-assoc [simp] add-diff-assoc2 [simp]

```

At present we prove no analogue of *not-less-Least* or *Least-Suc*, since there appears to be no need.

## 12.8 size of a datatype value

```

class size =
  fixes size :: 'a  $\Rightarrow$  nat — see further theory Wellfounded
end

```

## 13 Product-Type: Cartesian products

```

theory Product-Type
imports Inductive
uses
  (Tools/split-rule.ML)
  (Tools/inductive-set-package.ML)
  (Tools/inductive-realizer.ML)
  (Tools/datatype-realizer.ML)

```

**begin**

### 13.1 *bool* is a datatype

**rep-datatype** *True False* **by** (*auto intro: bool-induct*)

**declare** *case-split* [*cases type: bool*]  
 — prefer plain propositional version

**lemma**

**shows** [*code*]: *eq-class.eq False P*  $\longleftrightarrow \neg P$   
**and** [*code*]: *eq-class.eq True P*  $\longleftrightarrow P$   
**and** [*code*]: *eq-class.eq P False*  $\longleftrightarrow \neg P$   
**and** [*code*]: *eq-class.eq P True*  $\longleftrightarrow P$   
**and** [*code nbe*]: *eq-class.eq P P*  $\longleftrightarrow \text{True}$   
**by** (*simp-all add: eq*)

**code-const** *eq-class.eq* :: *bool*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool*  
 (*Haskell infixl 4 ==*)

**code-instance** *bool* :: *eq*  
 (*Haskell -*)

### 13.2 Unit

**typedef** *unit* = { *True* }

**proof**

**show** *True* : ?*unit* ..

**qed**

**definition**

*Unity* :: *unit*    (*'()*)

**where**

*()* = *Abs-unit True*

**lemma** *unit-eq* [*noatp*]: *u* = *()*

**by** (*induct u*) (*simp add: unit-def Unity-def*)

Simplification procedure for *unit-eq*. Cannot use this rule directly — it loops!

**ML**  $\langle\langle$

*val unit-eq-proc* =

*let val unit-meta-eq* = *mk-meta-eq* @{*thm unit-eq*} *in*

*Simplifier.simproc* @{*theory*} *unit-eq* [*x::unit*]

(*fn* -  $\Rightarrow$  *fn* -  $\Rightarrow$  *fn t*  $\Rightarrow$  *if* *HOLogic.is-unit t* *then NONE* *else SOME*

*unit-meta-eq*)

*end*;

*Addsimprocs* [*unit-eq-proc*];

»

**rep-datatype** () *by simp*

**lemma** *unit-all-eq1*: (!*x*::*unit*. *PROP P x*) == *PROP P* ()  
*by simp*

**lemma** *unit-all-eq2*: (!*x*::*unit*. *PROP P*) == *PROP P*  
*by (rule triv-forall-equality)*

This rewrite counters the effect of *unit-eq-proc* on %*u*::*unit*. *f u*, replacing it by *f* rather than by %*u*. *f* ().

**lemma** *unit-abs-eta-conv* [*simp, noatp*]: (%*u*::*unit*. *f* ()) = *f*  
*by (rule ext) simp*

code generator setup

**instance** *unit* :: *eq* ..

**lemma** [*code*]:  
*eq-class.eq (u::unit) v*  $\longleftrightarrow$  *True* **unfolding** *eq unit-eq* [*of u*] *unit-eq* [*of v*] **by**  
*rule+*

**code-type** *unit*  
 (*SML unit*)  
 (*OCaml unit*)  
 (*Haskell* ())

**code-instance** *unit* :: *eq*  
 (*Haskell* −)

**code-const** *eq-class.eq* :: *unit*  $\Rightarrow$  *unit*  $\Rightarrow$  *bool*  
 (*Haskell infixl 4 ==*)

**code-const** *Unity*  
 (*SML* ())  
 (*OCaml* ())  
 (*Haskell* ())

**code-reserved** *SML*  
*unit*

**code-reserved** *OCaml*  
*unit*

### 13.3 Pairs

#### 13.3.1 Product type, basic operations and concrete syntax definition

```

Pair-Rep :: 'a ⇒ 'b ⇒ 'a ⇒ 'b ⇒ bool
where
  Pair-Rep a b = (λx y. x = a ∧ y = b)

global

typedef (Prod)
  ('a, 'b) * (infixr * 20)
  = {f. ∃ a b. f = Pair-Rep (a::'a) (b::'b)}
proof
  fix a b show Pair-Rep a b ∈ ?Prod
  by rule+
qed

```

```

syntax (xsymbols)
  * :: [type, type] => type          ((- × / -) [21, 20] 20)
syntax (HTML output)
  * :: [type, type] => type          ((- × / -) [21, 20] 20)

```

```

consts
  Pair    :: 'a ⇒ 'b ⇒ 'a × 'b
  fst     :: 'a × 'b ⇒ 'a
  snd     :: 'a × 'b ⇒ 'b
  split   :: ('a ⇒ 'b ⇒ 'c) ⇒ 'a × 'b ⇒ 'c
  curry   :: ('a × 'b ⇒ 'c) ⇒ 'a ⇒ 'b ⇒ 'c

```

**local**

```

defs
  Pair-def:   Pair a b == Abs-Prod (Pair-Rep a b)
  fst-def:    fst p == THE a. EX b. p = Pair a b
  snd-def:    snd p == THE b. EX a. p = Pair a b
  split-def:  split == (%c p. c (fst p) (snd p))
  curry-def:  curry == (%c x y. c (Pair x y))

```

Patterns – extends pre-defined type *pttrn* used in abstractions.

**nonterminals**

*tuple-args patterns*

```

syntax
  -tuple      :: 'a => tuple-args => 'a * 'b          ((1'(-, / -)))
  -tuple-arg  :: 'a => tuple-args                      (-)
  -tuple-args :: 'a => tuple-args => tuple-args        (-, / -)
  -pattern    :: [pttrn, patterns] => pttrn           ('(-, / -'))
               :: pttrn => patterns                     (-)
  -patterns   :: [pttrn, patterns] => patterns        (-, / -)

```

**translations**

$(x, y) == \text{Pair } x \ y$

```

-tuple x (-tuple-args y z) == -tuple x (-tuple-arg (-tuple y z))
%(x,y,zs).b == split(%x (y,zs).b)
%(x,y).b == split(%x y. b)
-abs (Pair x y) t => %(x,y).t

```

```

print-translation <<
let fun split-tr' [Abs (x,T,t as (Abs abs))] =
  (* split (%x y. t) => %(x,y) t *)
  let val (y,t') = atomic-abs-tr' abs;
      val (x',t'') = atomic-abs-tr' (x,T,t');

  in Syntax.const -abs $ (Syntax.const -pattern $x'$y) $ t'' end
| split-tr' [Abs (x,T,(s as Const (split,-)$t))] =
  (* split (%x. (split (%y z. t))) => %(x,y,z). t *)
  let val (Const (-abs,-)$ (Const (-pattern,-)$y$z)$t') = split-tr' [t];
      val (x',t'') = atomic-abs-tr' (x,T,t');
  in Syntax.const -abs$
    (Syntax.const -pattern$x'$(Syntax.const -patterns$y$z))$t'' end
| split-tr' [Const (split,-)$t] =
  (* split (split (%x y z. t)) => %((x,y),z). t *)
  split-tr' [(split-tr' [t])] (* inner split-tr' creates next pattern *)
| split-tr' [Const (-abs,-)$x-y$(Abs abs)] =
  (* split (%pttrn z. t) => %(pttrn,z). t *)
  let val (z,t) = atomic-abs-tr' abs;
  in Syntax.const -abs $ (Syntax.const -pattern $x-y$z) $ t end
| split-tr' - = raise Match;
in [(split, split-tr')]
end
>>

```

```

typed-print-translation <<
let
  fun split-guess-names-tr' - T [Abs (x,-,Abs -)] = raise Match
  | split-guess-names-tr' - T [Abs (x,xT,t)] =
    (case (head-of t) of
      Const (split,-) => raise Match
    | - => let
        val (:::yT::-) = binder-types (domain-type T) handle Bind => raise
Match;

        val (y,t') = atomic-abs-tr' (y,yT,(incr-boundvars 1 t)$Bound 0);
        val (x',t'') = atomic-abs-tr' (x,xT,t');
        in Syntax.const -abs $ (Syntax.const -pattern $x'$y) $ t'' end)
  | split-guess-names-tr' - T [t] =
    (case (head-of t) of
      Const (split,-) => raise Match

```

```

      | - => let
        val (xT::yT::-) = binder-types (domain-type T) handle Bind =>
raise Match;
        val (y,t') =
          atomic-abs-tr' (y,yT,(incr-boundvars 2 t)$Bound 1$Bound 0);
        val (x',t'') = atomic-abs-tr' (x,xT,t');
        in Syntax.const -abs $ (Syntax.const -pattern $x'$y) $ t'' end)
      | split-guess-names-tr' - - = raise Match;
in [(split, split-guess-names-tr')]
end
>>

```

Towards a datatype declaration

```

lemma surj-pair [simp]: EX x y. p = (x, y)
  apply (unfold Pair-def)
  apply (rule Rep-Prod [unfolded Prod-def, THEN CollectE])
  apply (erule exE, erule exE, rule exI, rule exI)
  apply (rule Rep-Prod-inverse [symmetric, THEN trans])
  apply (erule arg-cong)
done

```

```

lemma PairE [cases type: *]:
  obtains x y where p = (x, y)
  using surj-pair [of p] by blast

```

```

lemma ProdI: Pair-Rep a b ∈ Prod
  unfolding Prod-def by rule+

```

```

lemma Pair-Rep-inject: Pair-Rep a b = Pair-Rep a' b' ⟹ a = a' ∧ b = b'
  unfolding Pair-Rep-def by (drule fun-cong, drule fun-cong) blast

```

```

lemma inj-on-Abs-Prod: inj-on Abs-Prod Prod
  apply (rule inj-on-inverseI)
  apply (erule Abs-Prod-inverse)
done

```

```

lemma Pair-inject:
  assumes (a, b) = (a', b')
  and a = a' ⟹ b = b' ⟹ R
  shows R
  apply (insert prems [unfolded Pair-def])
  apply (rule inj-on-Abs-Prod [THEN inj-onD, THEN Pair-Rep-inject, THEN
conjE])
  apply (assumption | rule ProdI)+
done

```

```

rep-datatype (prod) Pair
proof -
  fix P p

```

```

  assume  $\bigwedge x y. P (x, y)$ 
  then show  $P p$  by (cases p) simp
qed (auto elim: Pair-inject)

```

```

lemmas Pair-eq = prod.inject

```

```

lemma fst-conv [simp, code]: fst (a, b) = a
  unfolding fst-def by blast

```

```

lemma snd-conv [simp, code]: snd (a, b) = b
  unfolding snd-def by blast

```

### 13.3.2 Basic rules and proof tools

```

lemma fst-eqD: fst (x, y) = a ==> x = a
  by simp

```

```

lemma snd-eqD: snd (x, y) = a ==> y = a
  by simp

```

```

lemma pair-collapse [simp]: (fst p, snd p) = p
  by (cases p) simp

```

```

lemmas surjective-pairing = pair-collapse [symmetric]

```

```

lemma split-paired-all: (!!x. PROP P x) == (!!a b. PROP P (a, b))
proof
  fix a b
  assume !!x. PROP P x
  then show PROP P (a, b) .
next
  fix x
  assume !!a b. PROP P (a, b)
  from <PROP P (fst x, snd x)> show PROP P x by simp
qed

```

The rule *split-paired-all* does not work with the Simplifier because it also affects premises in congruence rules, where this can lead to premises of the form  $!!a b. \dots = ?P(a, b)$  which cannot be solved by reflexivity.

```

lemmas split-tupled-all = split-paired-all unit-all-eq2

```

```

ML <<
  (* replace parameters of product type by individual component parameters *)
  val safe-full-simp-tac = generic-simp-tac true (true, false, false);
  local (* filtering with exists-paired-all is an essential optimization *)
    fun exists-paired-all (Const (all, -) $ Abs (-, T, t)) =
      can HOLogic.dest-prod T orelse exists-paired-all t
    | exists-paired-all (t $ u) = exists-paired-all t orelse exists-paired-all u
    | exists-paired-all (Abs (-, -, t)) = exists-paired-all t

```

```

    | exists-paired-all - = false;
    val ss = HOL-basic-ss
    addsimps [@{thm split-paired-all}, @{thm unit-all-eq2}, @{thm unit-abs-eta-conv}]
    addsimprocs [unit-eq-proc];
  in
    val split-all-tac = SUBGOAL (fn (t, i) =>
      if exists-paired-all t then safe-full-simp-tac ss i else no-tac);
    val unsafe-split-all-tac = SUBGOAL (fn (t, i) =>
      if exists-paired-all t then full-simp-tac ss i else no-tac);
    fun split-all th =
      if exists-paired-all (Thm.prop-of th) then full-simplify ss th else th;
    end;
  >>

```

```

declaration << fn - =>
  Classical.map-cs (fn cs => cs addSbefore (split-all-tac, split-all-tac))
>>

```

**lemma** *split-paired-All* [simp]:  $(ALL\ x.\ P\ x) = (ALL\ a\ b.\ P\ (a,\ b))$   
 — [iff] is not a good idea because it makes *blast* loop  
 by *fast*

**lemma** *split-paired-Ex* [simp]:  $(EX\ x.\ P\ x) = (EX\ a\ b.\ P\ (a,\ b))$   
 by *fast*

**lemma** *Pair-fst-snd-eq*:  $s = t \longleftrightarrow fst\ s = fst\ t \wedge snd\ s = snd\ t$   
 by (cases *s*, cases *t*) *simp*

**lemma** *prod-eqI* [intro?]:  $fst\ p = fst\ q \implies snd\ p = snd\ q \implies p = q$   
 by (simp add: *Pair-fst-snd-eq*)

### 13.3.3 split and curry

**lemma** *split-conv* [simp, code]:  $split\ f\ (a,\ b) = f\ a\ b$   
 by (simp add: *split-def*)

**lemma** *curry-conv* [simp, code]:  $curry\ f\ a\ b = f\ (a,\ b)$   
 by (simp add: *curry-def*)

**lemmas** *split* = *split-conv* — for backwards compatibility

**lemma** *splitI*:  $f\ a\ b \implies split\ f\ (a,\ b)$   
 by (rule *split-conv* [THEN *iffD2*])

**lemma** *splitD*:  $split\ f\ (a,\ b) \implies f\ a\ b$   
 by (rule *split-conv* [THEN *iffD1*])

**lemma** *curryI* [intro!]:  $f\ (a,\ b) \implies curry\ f\ a\ b$   
 by (simp add: *curry-def*)



```

lemma curryD [dest!]: curry f a b  $\implies$  f (a, b)
  by (simp add: curry-def)

lemma curryE: curry f a b  $\implies$  (f (a, b)  $\implies$  Q)  $\implies$  Q
  by (simp add: curry-def)

lemma curry-split [simp]: curry (split f) = f
  by (simp add: curry-def split-def)

lemma split-curry [simp]: split (curry f) = f
  by (simp add: curry-def split-def)

lemma split-Pair [simp]: ( $\lambda(x, y). (x, y)$ ) = id
  by (simp add: split-def id-def)

lemma split-eta: ( $\lambda(x, y). f (x, y)$ ) = f
  — Subsumes the old split-Pair when f is the identity function.
  by (rule ext) auto

lemma split-comp: split (f  $\circ$  g) x = f (g (fst x)) (snd x)
  by (cases x) simp

lemma split-twice: split f (split g p) = split ( $\lambda x y. split f (g x y)$ ) p
  unfolding split-def ..

lemma split-paired-The: (THE x. P x) = (THE (a, b). P (a, b))
  — Can’t be added to simpset: loops!
  by (simp add: split-eta)

lemma The-split: The (split P) = (THE xy. P (fst xy) (snd xy))
  by (simp add: split-def)

lemma split-weak-cong: p = q  $\implies$  split c p = split c q
  — Prevents simplification of c: much faster
  by (erule arg-cong)

lemma cond-split-eta: ( $!!x y. f x y = g (x, y)$ )  $\implies$  ( $\%(x, y). f x y$ ) = g
  by (simp add: split-eta)

```

Simplification procedure for *cond-split-eta*. Using *split-eta* as a rewrite rule is not general enough, and using *cond-split-eta* directly would render some existing proofs very inefficient; similarly for *split-beta*.

ML  $\ll$

*local*

```

  val cond-split-eta-ss = HOL-basic-ss addsimps [thm cond-split-eta]
  fun Pair-pat k 0 (Bound m) = (m = k)
  |   Pair-pat k i (Const (Pair, -) $ Bound m $ t) = i > 0 andalso

```

```

      m = k+i andalso Pair-pat k (i-1) t
|   Pair-pat - - = false;
fun no-args k i (Abs (-, -, t)) = no-args (k+1) i t
|   no-args k i (t $ u) = no-args k i t andalso no-args k i u
|   no-args k i (Bound m) = m < k orelse m > k+i
|   no-args - - = true;
fun split-pat tp i (Abs (-, -, t)) = if tp 0 i t then SOME (i,t) else NONE
|   split-pat tp i (Const (split, -) $ Abs (-, -, t)) = split-pat tp (i+1) t
|   split-pat tp i - = NONE;
fun metaeq ss lhs rhs = mk-meta-eq (Goal.prove (Simplifier.the-context ss) [] []
  (HOLogic.mk-Trueprop (HOLogic.mk-eq (lhs, rhs)))
  (K (simp-tac (Simplifier.inherit-context ss cond-split-eta-ss) 1)));

fun beta-term-pat k i (Abs (-, -, t)) = beta-term-pat (k+1) i t
|   beta-term-pat k i (t $ u) = Pair-pat k i (t $ u) orelse
  (beta-term-pat k i t andalso beta-term-pat k i u)
|   beta-term-pat k i t = no-args k i t;
fun eta-term-pat k i (f $ arg) = no-args k i f andalso Pair-pat k i arg
|   eta-term-pat - - = false;
fun subst arg k i (Abs (x, T, t)) = Abs (x, T, subst arg (k+1) i t)
|   subst arg k i (t $ u) = if Pair-pat k i (t $ u) then incr-boundvars k arg
  else (subst arg k i t $ subst arg k i u)
|   subst arg k i t = t;
fun beta-proc ss (s as Const (split, -) $ Abs (-, -, t) $ arg) =
  (case split-pat beta-term-pat 1 t of
    SOME (i,f) => SOME (metaeq ss s (subst arg 0 i f))
  | NONE => NONE)
|   beta-proc - - = NONE;
fun eta-proc ss (s as Const (split, -) $ Abs (-, -, t)) =
  (case split-pat eta-term-pat 1 t of
    SOME (-,ft) => SOME (metaeq ss s (let val (f $ arg) = ft in f end))
  | NONE => NONE)
|   eta-proc - - = NONE;
in
  val split-beta-proc = Simplifier.simproc (the-context ()) split-beta [split f z] (K
beta-proc);
  val split-eta-proc = Simplifier.simproc (the-context ()) split-eta [split f] (K eta-proc);
end;

Addsimprocs [split-beta-proc, split-eta-proc];
>>

```

**lemma** *split-beta* [mono]:  $(\%(x, y). P\ x\ y)\ z = P\ (fst\ z)\ (snd\ z)$   
**by** (subst surjective-pairing, rule split-conv)

**lemma** *split-split* [noatp]:  $R(split\ c\ p) = (ALL\ x\ y. p = (x, y) \longrightarrow R(c\ x\ y))$   
— For use with *split* and the Simplifier.  
**by** (insert surj-pair [of p], clarify, simp)

*split-split* could be declared as [split] done after the Splitter has been speeded

up significantly; precompute the constants involved and don’t do anything unless the current goal contains one of those constants.

**lemma** *split-split-asm* [noatp]:  $R \text{ (split } c \text{ } p) = (\sim (EX \ x \ y. \ p = (x, y) \ \& \ (\sim R \ (c \ x \ y))))$   
**by** (*subst split-split, simp*)

*split* used as a logical connective or set former.

These rules are for use with *blast*; could instead call *simp* using *split* as rewrite.

**lemma** *splitI2*:  $!!p. [\![ \![a \ b. \ p = (a, b) \implies c \ a \ b] \!] \implies \text{split } c \ p]$   
**apply** (*simp only: split-tupled-all*)  
**apply** (*simp (no-asm-simp)*)  
**done**

**lemma** *splitI2'*:  $!!p. [\![ \![a \ b. \ (a, b) = p \implies c \ a \ b \ x] \!] \implies \text{split } c \ p \ x]$   
**apply** (*simp only: split-tupled-all*)  
**apply** (*simp (no-asm-simp)*)  
**done**

**lemma** *splitE*:  $\text{split } c \ p \implies (!x \ y. \ p = (x, y) \implies c \ x \ y \implies Q) \implies Q$   
**by** (*induct p*) (*auto simp add: split-def*)

**lemma** *splitE'*:  $\text{split } c \ p \ z \implies (!x \ y. \ p = (x, y) \implies c \ x \ y \ z \implies Q) \implies Q$   
**by** (*induct p*) (*auto simp add: split-def*)

**lemma** *splitE2*:  
 $[\![ \ Q \ (\text{split } P \ z); \ !x \ y. \ [z = (x, y); \ Q \ (P \ x \ y)] \implies R \ ] \implies R$   
**proof** –  
**assume** *q*:  $Q \ (\text{split } P \ z)$   
**assume** *r*:  $!x \ y. \ [z = (x, y); \ Q \ (P \ x \ y)] \implies R$   
**show** *R*  
**apply** (*rule r surjective-pairing*) +  
**apply** (*rule split-beta [THEN subst], rule q*)  
**done**  
**qed**

**lemma** *splitD'*:  $\text{split } R \ (a, b) \ c \implies R \ a \ b \ c$   
**by** *simp*

**lemma** *mem-splitI*:  $z: c \ a \ b \implies z: \text{split } c \ (a, b)$   
**by** *simp*

**lemma** *mem-splitI2*:  $!!p. [\![ \![a \ b. \ p = (a, b) \implies z: c \ a \ b] \!] \implies z: \text{split } c \ p]$   
**by** (*simp only: split-tupled-all, simp*)

**lemma** *mem-splitE*:  
**assumes** *major*:  $z: \text{split } c \ p$

```

and cases: !!x y. [| p = (x,y); z: c x y |] ==> Q
shows Q
by (rule major [unfolded split-def] cases surjective-pairing)+

declare mem-splitI2 [intro!] mem-splitI [intro!] splitI2' [intro!] splitI2 [intro!] splitI
[intro!]
declare mem-splitE [elim!] splitE' [elim!] splitE [elim!]

ML <<
  local (* filtering with exists-p-split is an essential optimization *)
    fun exists-p-split (Const (split,-) $ - $ (Const (Pair,-)$-$)) = true
      | exists-p-split (t $ u) = exists-p-split t orelse exists-p-split u
      | exists-p-split (Abs (-, -, t)) = exists-p-split t
      | exists-p-split - = false;
    val ss = HOL-basic-ss addsimps [thm split-conv];
  in
    val split-conv-tac = SUBGOAL (fn (t, i) =>
      if exists-p-split t then safe-full-simp-tac ss i else no-tac);
  end;
>>

declaration << fn - =>
  Classical.map-cs (fn cs => cs addSbefore (split-conv-tac, split-conv-tac))
>>

lemma split-eta-SetCompr [simp,noatp]: (%u. EX x y. u = (x, y) & P (x, y)) =
P
by (rule ext) fast

lemma split-eta-SetCompr2 [simp,noatp]: (%u. EX x y. u = (x, y) & P x y) =
split P
by (rule ext) fast

lemma split-part [simp]: (%(a,b). P & Q a b) = (%ab. P & split Q ab)
— Allows simplifications of nested splits in case of independent predicates.
by (rule ext) blast

lemma split-comp-eq:
  fixes f :: 'a => 'b => 'c and g :: 'd => 'a
  shows (%u. f (g (fst u)) (snd u)) = (split (%x. f (g x)))
  by (rule ext) auto

lemma pair-imageI [intro]: (a, b) : A ==> f a b : (%(a, b). f a b) ‘ A
apply (rule-tac x = (a, b) in image-eqI)
apply auto
done

```

**lemma** *The-split-eq* [*simp*]: (*THE* ( $x', y'$ ).  $x = x' \ \& \ y = y'$ ) = ( $x, y$ )  
**by** *blast*

Setup of internal *split-rule*.

**definition**

*internal-split* :: ( $'a \Rightarrow 'b \Rightarrow 'c$ )  $\Rightarrow 'a \times 'b \Rightarrow 'c$

**where**

*internal-split* == *split*

**lemma** *internal-split-conv*: *internal-split*  $c \ (a, b) = c \ a \ b$   
**by** (*simp only: internal-split-def split-conv*)

**hide** *const internal-split*

**use** *Tools/split-rule.ML*

**setup** *SplitRule.setup*

**lemmas** *prod-caseI* = *prod.cases* [*THEN iffD2, standard*]

**lemma** *prod-caseI2*:  $!!p. [\![\![a \ b. \ p = (a, b) \implies c \ a \ b]\!]\!] \implies \text{prod-case } c \ p$   
**by** *auto*

**lemma** *prod-caseI2'*:  $!!p. [\![\![a \ b. \ (a, b) = p \implies c \ a \ b \ x]\!]\!] \implies \text{prod-case } c \ p \ x$   
**by** (*auto simp: split-tupled-all*)

**lemma** *prod-caseE*:  $\text{prod-case } c \ p \implies (!!x \ y. \ p = (x, y) \implies c \ x \ y \implies Q)$   
 $\implies Q$   
**by** (*induct p*) *auto*

**lemma** *prod-caseE'*:  $\text{prod-case } c \ p \ z \implies (!!x \ y. \ p = (x, y) \implies c \ x \ y \ z \implies Q)$   
 $\implies Q$   
**by** (*induct p*) *auto*

**lemma** *prod-case-unfold*:  $\text{prod-case} = (\%c \ p. \ c \ (\text{fst } p) \ (\text{snd } p))$   
**by** (*simp add: expand-fun-eq*)

**declare** *prod-caseI2'* [*intro!*] *prod-caseI2* [*intro!*] *prod-caseI* [*intro!*]  
**declare** *prod-caseE'* [*elim!*] *prod-caseE* [*elim!*]

**lemma** *prod-case-split*:

*prod-case* = *split*

**by** (*auto simp add: expand-fun-eq*)

**lemma** *prod-case-beta*:

*prod-case*  $f \ p = f \ (\text{fst } p) \ (\text{snd } p)$

**unfolding** *prod-case-split split-beta* ..

### 13.4 Further cases/induct rules for tuples

**lemma** *prod-cases3* [*cases type*]:  
**obtains** (*fields*)  $a\ b\ c$  **where**  $y = (a, b, c)$   
**by** (*cases y, case-tac b*) *blast*

**lemma** *prod-induct3* [*case-names fields, induct type*]:  
 $(!!a\ b\ c. P\ (a, b, c)) \implies P\ x$   
**by** (*cases x*) *blast*

**lemma** *prod-cases4* [*cases type*]:  
**obtains** (*fields*)  $a\ b\ c\ d$  **where**  $y = (a, b, c, d)$   
**by** (*cases y, case-tac c*) *blast*

**lemma** *prod-induct4* [*case-names fields, induct type*]:  
 $(!!a\ b\ c\ d. P\ (a, b, c, d)) \implies P\ x$   
**by** (*cases x*) *blast*

**lemma** *prod-cases5* [*cases type*]:  
**obtains** (*fields*)  $a\ b\ c\ d\ e$  **where**  $y = (a, b, c, d, e)$   
**by** (*cases y, case-tac d*) *blast*

**lemma** *prod-induct5* [*case-names fields, induct type*]:  
 $(!!a\ b\ c\ d\ e. P\ (a, b, c, d, e)) \implies P\ x$   
**by** (*cases x*) *blast*

**lemma** *prod-cases6* [*cases type*]:  
**obtains** (*fields*)  $a\ b\ c\ d\ e\ f$  **where**  $y = (a, b, c, d, e, f)$   
**by** (*cases y, case-tac e*) *blast*

**lemma** *prod-induct6* [*case-names fields, induct type*]:  
 $(!!a\ b\ c\ d\ e\ f. P\ (a, b, c, d, e, f)) \implies P\ x$   
**by** (*cases x*) *blast*

**lemma** *prod-cases7* [*cases type*]:  
**obtains** (*fields*)  $a\ b\ c\ d\ e\ f\ g$  **where**  $y = (a, b, c, d, e, f, g)$   
**by** (*cases y, case-tac f*) *blast*

**lemma** *prod-induct7* [*case-names fields, induct type*]:  
 $(!!a\ b\ c\ d\ e\ f\ g. P\ (a, b, c, d, e, f, g)) \implies P\ x$   
**by** (*cases x*) *blast*

#### 13.4.1 Derived operations

The composition-uncurry combinator.

**notation** *fcomp* (**infixl**  $o > 60$ )

**definition**

$scomp :: ('a \Rightarrow 'b \times 'c) \Rightarrow ('b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'a \Rightarrow 'd$  (**infixl**  $o \rightarrow 60$ )

where

$f \circ \rightarrow g = (\lambda x. \text{split } g \ (f \ x))$

**lemma** *scomp-apply*:  $(f \circ \rightarrow g) \ x = \text{split } g \ (f \ x)$   
**by** (*simp add: scomp-def*)

**lemma** *Pair-scomp*:  $\text{Pair } x \circ \rightarrow f = f \ x$   
**by** (*simp add: expand-fun-eq scomp-apply*)

**lemma** *scomp-Pair*:  $x \circ \rightarrow \text{Pair} = x$   
**by** (*simp add: expand-fun-eq scomp-apply*)

**lemma** *scomp-scomp*:  $(f \circ \rightarrow g) \ o \rightarrow h = f \ o \rightarrow (\lambda x. g \ x \ o \rightarrow h)$   
**by** (*simp add: expand-fun-eq split-twice scomp-def*)

**lemma** *scomp-fcomp*:  $(f \circ \rightarrow g) \ o > h = f \ o \rightarrow (\lambda x. g \ x \ o > h)$   
**by** (*simp add: expand-fun-eq scomp-apply fcomp-def split-def*)

**lemma** *fcomp-scomp*:  $(f \ o > g) \ o \rightarrow h = f \ o > (g \ o \rightarrow h)$   
**by** (*simp add: expand-fun-eq scomp-apply fcomp-apply*)

**no-notation** *fcomp* (**infixl**  $o >$  60)

**no-notation** *scomp* (**infixl**  $o \rightarrow$  60)

*prod-fun* — action of the product functor upon functions.

**definition** *prod-fun* ::  $('a \Rightarrow 'c) \Rightarrow ('b \Rightarrow 'd) \Rightarrow 'a \times 'b \Rightarrow 'c \times 'd$  **where**  
 $[\text{code del}]: \text{prod-fun } f \ g = (\lambda(x, y). (f \ x, g \ y))$

**lemma** *prod-fun [simp, code]*:  $\text{prod-fun } f \ g \ (a, b) = (f \ a, g \ b)$   
**by** (*simp add: prod-fun-def*)

**lemma** *prod-fun-compose*:  $\text{prod-fun } (f1 \ o \ f2) \ (g1 \ o \ g2) = (\text{prod-fun } f1 \ g1 \ o \ \text{prod-fun } f2 \ g2)$   
**by** (*rule ext*) *auto*

**lemma** *prod-fun-ident [simp]*:  $\text{prod-fun } (\%x. x) \ (\%y. y) = (\%z. z)$   
**by** (*rule ext*) *auto*

**lemma** *prod-fun-imageI [intro]*:  $(a, b) : r \implies (f \ a, g \ b) : \text{prod-fun } f \ g \ 'r$   
**apply** (*rule image-eqI*)  
**apply** (*rule prod-fun [symmetric], assumption*)  
**done**

**lemma** *prod-fun-imageE [elim!]*:  
**assumes** *major*:  $c : (\text{prod-fun } f \ g) \ 'r$   
**and cases**:  $!!x \ y. [\ c=(f(x),g(y)); \ (x,y):r \ ] \implies P$   
**shows**  $P$   
**apply** (*rule major [THEN imageE]*)  
**apply** (*rule-tac p = x in PairE*)

```

apply (rule cases)
  apply (blast intro: prod-fun)
apply blast
done

```

**definition**

```

 $apfst :: ('a \Rightarrow 'c) \Rightarrow 'a \times 'b \Rightarrow 'c \times 'b$ 
where
  [code del]:  $apfst\ f = prod-fun\ f\ id$ 

```

**definition**

```

 $apsnd :: ('b \Rightarrow 'c) \Rightarrow 'a \times 'b \Rightarrow 'a \times 'c$ 
where
  [code del]:  $apsnd\ f = prod-fun\ id\ f$ 

```

**lemma** *apfst-conv* [*simp, code*]:

```

 $apfst\ f\ (x, y) = (f\ x, y)$ 
by (simp add: apfst-def)

```

**lemma** *upd-snd-conv* [*simp, code*]:

```

 $apsnd\ f\ (x, y) = (x, f\ y)$ 
by (simp add: apsnd-def)

```

Disjoint union of a family of sets – Sigma.

**definition** *Sigma* :: [*'a set, 'a => 'b set*] => (*'a × 'b*) *set* **where**  
*Sigma-def*:  $Sigma\ A\ B == \bigcup x:A. \bigcup y:B\ x. \{Pair\ x\ y\}$

**abbreviation**

```

 $Times :: ['a\ set, 'b\ set] \Rightarrow ('a * 'b)\ set$ 
  (infixr <*> 80) where
   $A\ <*>\ B == Sigma\ A\ (\%-. B)$ 

```

**notation** (*xsymbols*)

```

 $Times$  (infixr × 80)

```

**notation** (*HTML output*)

```

 $Times$  (infixr × 80)

```

**syntax**

```

@Sigma :: [pttrn, 'a set, 'b set] => ('a * 'b) set ((3SIGMA :-./ -) [0, 0, 10] 10)

```

**translations**

```

SIGMA  $x:A. B == Product-Type.Sigma\ A\ (\%x. B)$ 

```

**lemma** *SigmaI* [*intro!*]: [*a:A; b:B(a)*] ==> (*a,b*) : *Sigma A B*  
**by** (*unfold Sigma-def*) *blast*

**lemma** *SigmaE* [*elim!*]:

```

[c: Sigma A B;

```



$$\begin{aligned} & !!x\ y. [\![\ x:A;\ y:B(x);\ c=(x,y)\ ]\!] ==> P \\ & [\!] ==> P \end{aligned}$$

— The general elimination rule.

**by** (*unfold Sigma-def*) *blast*

Elimination of  $(a, b) \in A \times B$  – introduces no eigenvariables.

**lemma** *SigmaD1*:  $(a, b) : \text{Sigma } A\ B ==> a : A$   
**by** *blast*

**lemma** *SigmaD2*:  $(a, b) : \text{Sigma } A\ B ==> b : B\ a$   
**by** *blast*

**lemma** *SigmaE2*:  

$$\begin{aligned} & [\![\ (a, b) : \text{Sigma } A\ B; \\ & \quad [\![\ a:A;\ b:B(a)\ ]\!] ==> P \\ & \quad [\!] ==> P \end{aligned}$$
  
**by** *blast*

**lemma** *Sigma-cong*:  

$$\begin{aligned} & \llbracket A = B; !!x. x \in B \implies C\ x = D\ x \rrbracket \\ & \implies (\text{SIGMA } x: A. C\ x) = (\text{SIGMA } x: B. D\ x) \end{aligned}$$
  
**by** *auto*

**lemma** *Sigma-mono*:  $[\![\ A <= C; !!x. x:A ==> B\ x <= D\ x\ ]\!] ==> \text{Sigma } A\ B$   
 $<= \text{Sigma } C\ D$   
**by** *blast*

**lemma** *Sigma-empty1* [*simp*]:  $\text{Sigma } \{\} B = \{\}$   
**by** *blast*

**lemma** *Sigma-empty2* [*simp*]:  $A <*> \{\} = \{\}$   
**by** *blast*

**lemma** *UNIV-Times-UNIV* [*simp*]:  $\text{UNIV } <*> \text{UNIV} = \text{UNIV}$   
**by** *auto*

**lemma** *Compl-Times-UNIV1* [*simp*]:  $\neg (\text{UNIV } <*> A) = \text{UNIV } <*> (\neg A)$   
**by** *auto*

**lemma** *Compl-Times-UNIV2* [*simp*]:  $\neg (A <*> \text{UNIV}) = (\neg A) <*> \text{UNIV}$   
**by** *auto*

**lemma** *mem-Sigma-iff* [*iff*]:  $((a,b): \text{Sigma } A\ B) = (a:A \ \& \ b:B(a))$   
**by** *blast*

**lemma** *Times-subset-cancel2*:  $x:C ==> (A <*> C <= B <*> C) = (A <= B)$   
**by** *blast*

**lemma** *Times-eq-cancel2*:  $x:C ==> (A <*> C = B <*> C) = (A = B)$

**by** (*blast elim: equalityE*)

**lemma** *SetCompr-Sigma-eq*:

$\text{Collect } (\text{split } (\%x\ y.\ P\ x \ \&\ Q\ x\ y)) = (\text{SIGMA } x:\text{Collect } P.\ \text{Collect } (Q\ x))$

**by** *blast*

**lemma** *Collect-split [simp]*:  $\{(a,b).\ P\ a \ \&\ Q\ b\} = \text{Collect } P\ <*>\ \text{Collect } Q$

**by** *blast*

**lemma** *UN-Times-distrib*:

$(\text{UN } (a,b):(A\ <*>\ B).\ E\ a\ <*>\ F\ b) = (\text{UNION } A\ E)\ <*>\ (\text{UNION } B\ F)$

— Suggested by Pierre Chartier

**by** *blast*

**lemma** *split-paired-Ball-Sigma [simp,noatp]*:

$(\text{ALL } z:\text{Sigma } A\ B.\ P\ z) = (\text{ALL } x:A.\ \text{ALL } y:B\ x.\ P(x,y))$

**by** *blast*

**lemma** *split-paired-Bex-Sigma [simp,noatp]*:

$(\text{EX } z:\text{Sigma } A\ B.\ P\ z) = (\text{EX } x:A.\ \text{EX } y:B\ x.\ P(x,y))$

**by** *blast*

**lemma** *Sigma-Un-distrib1*:  $(\text{SIGMA } i:I\ \text{Un } J.\ C(i)) = (\text{SIGMA } i:I.\ C(i))\ \text{Un } (\text{SIGMA } j:J.\ C(j))$

**by** *blast*

**lemma** *Sigma-Un-distrib2*:  $(\text{SIGMA } i:I.\ A(i)\ \text{Un } B(i)) = (\text{SIGMA } i:I.\ A(i))\ \text{Un } (\text{SIGMA } i:I.\ B(i))$

**by** *blast*

**lemma** *Sigma-Int-distrib1*:  $(\text{SIGMA } i:I\ \text{Int } J.\ C(i)) = (\text{SIGMA } i:I.\ C(i))\ \text{Int } (\text{SIGMA } j:J.\ C(j))$

**by** *blast*

**lemma** *Sigma-Int-distrib2*:  $(\text{SIGMA } i:I.\ A(i)\ \text{Int } B(i)) = (\text{SIGMA } i:I.\ A(i))\ \text{Int } (\text{SIGMA } i:I.\ B(i))$

**by** *blast*

**lemma** *Sigma-Diff-distrib1*:  $(\text{SIGMA } i:I\ -\ J.\ C(i)) = (\text{SIGMA } i:I.\ C(i))\ -\ (\text{SIGMA } j:J.\ C(j))$

**by** *blast*

**lemma** *Sigma-Diff-distrib2*:  $(\text{SIGMA } i:I.\ A(i)\ -\ B(i)) = (\text{SIGMA } i:I.\ A(i))\ -\ (\text{SIGMA } i:I.\ B(i))$

**by** *blast*

**lemma** *Sigma-Union*:  $\text{Sigma } (\text{Union } X)\ B = (\text{UN } A:X.\ \text{Sigma } A\ B)$

**by** *blast*

Non-dependent versions are needed to avoid the need for higher-order match-

ing, especially when the rules are re-oriented.

**lemma** *Times-Un-distrib1*:  $(A \text{ Un } B) <*> C = (A <*> C) \text{ Un } (B <*> C)$   
**by** *blast*

**lemma** *Times-Int-distrib1*:  $(A \text{ Int } B) <*> C = (A <*> C) \text{ Int } (B <*> C)$   
**by** *blast*

**lemma** *Times-Diff-distrib1*:  $(A - B) <*> C = (A <*> C) - (B <*> C)$   
**by** *blast*

**lemma** *insert-times-insert[simp]*:  
 $\text{insert } a \ A \times \text{insert } b \ B =$   
 $\text{insert } (a,b) \ (A \times \text{insert } b \ B \cup \text{insert } a \ A \times B)$   
**by** *blast*

### 13.4.2 Code generator setup

**instance**  $*$  ::  $(eq, eq) \ eq \ ..$

**lemma** *[code]*:  
 $eq\text{-class}.eq \ (x1::'a::eq, y1::'b::eq) \ (x2, y2) \longleftrightarrow x1 = x2 \wedge y1 = y2$  **by** *(simp add: eq)*

**lemma** *split-case-cert*:  
**assumes**  $CASE \equiv \text{split } f$   
**shows**  $CASE \ (a, b) \equiv f \ a \ b$   
**using** *assms* **by** *simp*

**setup**  $\langle\langle$   
 $\text{Code.add-case } @\{thm \ \text{split-case-cert}\}$   
 $\rangle\rangle$

**code-type**  $*$   
 $(SML \ \text{infix } 2 \ *)$   
 $(OCaml \ \text{infix } 2 \ *)$   
 $(Haskell \ !((-), / \ (-)))$

**code-instance**  $*$  ::  $eq$   
 $(Haskell \ -)$

**code-const**  $eq\text{-class}.eq :: 'a::eq \times 'b::eq \Rightarrow 'a \times 'b \Rightarrow bool$   
 $(Haskell \ \text{infixl } 4 \ ==)$

**code-const** *Pair*  
 $(SML \ !((-), / \ (-)))$   
 $(OCaml \ !((-), / \ (-)))$   
 $(Haskell \ !((-), / \ (-)))$

**code-const** *fst* and *snd*

(*Haskell fst and snd*)

### types-code

```
*      ((- */ -))
attach (term-of) ⟨⟨
fun term-of-id-42 aF aT bF bT (x, y) = HOLogic.pair-const aT bT $ aF x $ bF
y;
⟨⟨
attach (test) ⟨⟨
fun gen-id-42 aG aT bG bT i =
  let
    val (x, t) = aG i;
    val (y, u) = bG i
    in ((x, y), fn () => HOLogic.pair-const aT bT $ t () $ u ()) end;
⟨⟨
```

### consts-code

*Pair* ((-, / -))

### setup ⟨⟨

*let*

```
fun strip-abs-split 0 t = ([], t)
| strip-abs-split i (Abs (s, T, t)) =
  let
    val s' = Codegen.new-name t s;
    val v = Free (s', T)
    in apfst (cons v) (strip-abs-split (i-1) (subst-bound (v, t))) end
| strip-abs-split i (u as Const (split, -) $ t) = (case strip-abs-split (i+1) t of
  (v :: v' :: vs, u) => (HOLogic.mk-prod (v, v') :: vs, u)
  | - => ([], u))
| strip-abs-split i t =
  strip-abs-split i (Abs (x, hd (binder-types (fastype-of t)), t $ Bound 0));
```

```
fun let-codegen thy defs dep thynome brack t gr = (case strip-comb t of
  (t1 as Const (Let, -), t2 :: t3 :: ts) =>
  let
    fun dest-let (l as Const (Let, -) $ t $ u) =
      (case strip-abs-split 1 u of
        ([p], u') => apfst (cons (p, t)) (dest-let u')
        | - => ([], l))
    | dest-let t = ([], t);
    fun mk-code (l, r) gr =
      let
        val (pl, gr1) = Codegen.invoke-codegen thy defs dep thynome false l gr;
        val (pr, gr2) = Codegen.invoke-codegen thy defs dep thynome false r gr1;
        in ((pl, pr), gr2) end
    in case dest-let (t1 $ t2 $ t3) of
```

```

    ([], -) => NONE
  | (ps, u) =>
    let
      val (qs, gr1) = fold-map mk-code ps gr;
      val (pu, gr2) = Codegen.invoke-codegen thy defs dep thyname false u gr1;
      val (pargs, gr3) = fold-map
        (Codegen.invoke-codegen thy defs dep thyname true) ts gr2
    in
      SOME (Codegen.mk-app brack
        (Pretty.blk (0, [Codegen.str let , Pretty.blk (0, List.concat
          (separate [Codegen.str ;, Pretty.brk 1] (map (fn (pl, pr) =>
            [Pretty.block [Codegen.str val , pl, Codegen.str =,
              Pretty.brk 1, pr]]) qs))),
          Pretty.brk 1, Codegen.str in , pu,
          Pretty.brk 1, Codegen.str end])) pargs, gr3)
    end
  end
  | - => NONE);

fun split-codegen thy defs dep thyname brack t gr = (case strip-comb t of
  (t1 as Const (split, -), t2 :: ts) =>
    let
      val ([p], u) = strip-abs-split 1 (t1 $ t2);
      val (q, gr1) = Codegen.invoke-codegen thy defs dep thyname false p gr;
      val (pu, gr2) = Codegen.invoke-codegen thy defs dep thyname false u gr1;
      val (pargs, gr3) = fold-map
        (Codegen.invoke-codegen thy defs dep thyname true) ts gr2
    in
      SOME (Codegen.mk-app brack
        (Pretty.block [Codegen.str (fn , q, Codegen.str =>,
          Pretty.brk 1, pu, Codegen.str )]) pargs, gr2)
    end
  | - => NONE);

in

  Codegen.add-codegen let-codegen let-codegen
  #> Codegen.add-codegen split-codegen split-codegen

end
>>

```

### 13.5 Legacy bindings

```

ML <<
  val Collect-split = thm Collect-split;
  val Compl-Times-UNIV1 = thm Compl-Times-UNIV1;
  val Compl-Times-UNIV2 = thm Compl-Times-UNIV2;
  val PairE = thm PairE;

```

```

val Pair-Rep-inject = thm Pair-Rep-inject;
val Pair-def = thm Pair-def;
val Pair-eq = @{thm prod.inject};
val Pair-fst-snd-eq = thm Pair-fst-snd-eq;
val ProdI = thm ProdI;
val SetCompr-Sigma-eq = thm SetCompr-Sigma-eq;
val SigmaD1 = thm SigmaD1;
val SigmaD2 = thm SigmaD2;
val SigmaE = thm SigmaE;
val SigmaE2 = thm SigmaE2;
val SigmaI = thm SigmaI;
val Sigma-Diff-distrib1 = thm Sigma-Diff-distrib1;
val Sigma-Diff-distrib2 = thm Sigma-Diff-distrib2;
val Sigma-Int-distrib1 = thm Sigma-Int-distrib1;
val Sigma-Int-distrib2 = thm Sigma-Int-distrib2;
val Sigma-Un-distrib1 = thm Sigma-Un-distrib1;
val Sigma-Un-distrib2 = thm Sigma-Un-distrib2;
val Sigma-Union = thm Sigma-Union;
val Sigma-def = thm Sigma-def;
val Sigma-empty1 = thm Sigma-empty1;
val Sigma-empty2 = thm Sigma-empty2;
val Sigma-mono = thm Sigma-mono;
val The-split = thm The-split;
val The-split-eq = thm The-split-eq;
val The-split-eq = thm The-split-eq;
val Times-Diff-distrib1 = thm Times-Diff-distrib1;
val Times-Int-distrib1 = thm Times-Int-distrib1;
val Times-Un-distrib1 = thm Times-Un-distrib1;
val Times-eq-cancel2 = thm Times-eq-cancel2;
val Times-subset-cancel2 = thm Times-subset-cancel2;
val UNIV-Times-UNIV = thm UNIV-Times-UNIV;
val UN-Times-distrib = thm UN-Times-distrib;
val Unity-def = thm Unity-def;
val cond-split-eta = thm cond-split-eta;
val fst-conv = thm fst-conv;
val fst-def = thm fst-def;
val fst-eqD = thm fst-eqD;
val inj-on-Abs-Prod = thm inj-on-Abs-Prod;
val mem-Sigma-iff = thm mem-Sigma-iff;
val mem-splitE = thm mem-splitE;
val mem-splitI = thm mem-splitI;
val mem-splitI2 = thm mem-splitI2;
val prod-eqI = thm prod-eqI;
val prod-fun = thm prod-fun;
val prod-fun-compose = thm prod-fun-compose;
val prod-fun-def = thm prod-fun-def;
val prod-fun-ident = thm prod-fun-ident;
val prod-fun-imageE = thm prod-fun-imageE;
val prod-fun-imageI = thm prod-fun-imageI;

```

```

val prod-induct = thm prod.induct;
val snd-conv = thm snd-conv;
val snd-def = thm snd-def;
val snd-eqD = thm snd-eqD;
val split = thm split;
val splitD = thm splitD;
val splitD' = thm splitD';
val splitE = thm splitE;
val splitE' = thm splitE';
val splitE2 = thm splitE2;
val splitI = thm splitI;
val splitI2 = thm splitI2;
val splitI2' = thm splitI2';
val split-beta = thm split-beta;
val split-conv = thm split-conv;
val split-def = thm split-def;
val split-eta = thm split-eta;
val split-eta-SetCompr = thm split-eta-SetCompr;
val split-eta-SetCompr2 = thm split-eta-SetCompr2;
val split-paired-All = thm split-paired-All;
val split-paired-Ball-Sigma = thm split-paired-Ball-Sigma;
val split-paired-Bex-Sigma = thm split-paired-Bex-Sigma;
val split-paired-Ex = thm split-paired-Ex;
val split-paired-The = thm split-paired-The;
val split-paired-all = thm split-paired-all;
val split-part = thm split-part;
val split-split = thm split-split;
val split-split-asm = thm split-split-asm;
val split-tupled-all = thm split-tupled-all;
val split-weak-cong = thm split-weak-cong;
val surj-pair = thm surj-pair;
val surjective-pairing = thm surjective-pairing;
val unit-abs-eta-conv = thm unit-abs-eta-conv;
val unit-all-eq1 = thm unit-all-eq1;
val unit-all-eq2 = thm unit-all-eq2;
val unit-eq = thm unit-eq;
>>

```

### 13.6 Further inductive packages

```

use Tools/inductive-realizer.ML
setup InductiveRealizer.setup

```

```

use Tools/inductive-set-package.ML
setup InductiveSetPackage.setup

```

```

use Tools/datatype-realizer.ML
setup DatatypeRealizer.setup

```

end

## 14 Datatype: Analogues of the Cartesian Product and Disjoint Sum for Datatypes

**theory** *Datatype*

**imports** *Nat Product-Type*

**begin**

**typedef** (*Node*)

$(\text{'a}, \text{'b}) \text{ node} = \{p. \text{EX } f \ x \ k. p = (f::\text{nat} \Rightarrow \text{'b} + \text{nat}, x::\text{'a} + \text{nat}) \ \& \ f \ k = \text{Inr } 0\}$   
 — it is a subtype of  $(\text{nat} \Rightarrow \text{'b} + \text{nat}) * (\text{'a} + \text{nat})$

**by** *auto*

Datatypes will be represented by sets of type *node*

**types** *'a item* =  $(\text{'a}, \text{unit}) \text{ node set}$

$(\text{'a}, \text{'b}) \text{ dtree} = (\text{'a}, \text{'b}) \text{ node set}$

**consts**

*Push* ::  $[(\text{'b} + \text{nat}), \text{nat} \Rightarrow (\text{'b} + \text{nat})] \Rightarrow (\text{nat} \Rightarrow (\text{'b} + \text{nat}))$

*Push-Node* ::  $[(\text{'b} + \text{nat}), (\text{'a}, \text{'b}) \text{ node}] \Rightarrow (\text{'a}, \text{'b}) \text{ node}$

*ndepth* ::  $(\text{'a}, \text{'b}) \text{ node} \Rightarrow \text{nat}$

*Atom* ::  $(\text{'a} + \text{nat}) \Rightarrow (\text{'a}, \text{'b}) \text{ dtree}$

*Leaf* ::  $\text{'a} \Rightarrow (\text{'a}, \text{'b}) \text{ dtree}$

*Numb* ::  $\text{nat} \Rightarrow (\text{'a}, \text{'b}) \text{ dtree}$

*Scons* ::  $[(\text{'a}, \text{'b}) \text{ dtree}, (\text{'a}, \text{'b}) \text{ dtree}] \Rightarrow (\text{'a}, \text{'b}) \text{ dtree}$

*In0* ::  $(\text{'a}, \text{'b}) \text{ dtree} \Rightarrow (\text{'a}, \text{'b}) \text{ dtree}$

*In1* ::  $(\text{'a}, \text{'b}) \text{ dtree} \Rightarrow (\text{'a}, \text{'b}) \text{ dtree}$

*Lim* ::  $(\text{'b} \Rightarrow (\text{'a}, \text{'b}) \text{ dtree}) \Rightarrow (\text{'a}, \text{'b}) \text{ dtree}$

*ntrunc* ::  $[\text{nat}, (\text{'a}, \text{'b}) \text{ dtree}] \Rightarrow (\text{'a}, \text{'b}) \text{ dtree}$

*uprod* ::  $[(\text{'a}, \text{'b}) \text{ dtree set}, (\text{'a}, \text{'b}) \text{ dtree set}] \Rightarrow (\text{'a}, \text{'b}) \text{ dtree set}$

*usum* ::  $[(\text{'a}, \text{'b}) \text{ dtree set}, (\text{'a}, \text{'b}) \text{ dtree set}] \Rightarrow (\text{'a}, \text{'b}) \text{ dtree set}$

*Split* ::  $[[(\text{'a}, \text{'b}) \text{ dtree}, (\text{'a}, \text{'b}) \text{ dtree}] \Rightarrow \text{'c}, (\text{'a}, \text{'b}) \text{ dtree}] \Rightarrow \text{'c}$

*Case* ::  $[[(\text{'a}, \text{'b}) \text{ dtree}] \Rightarrow \text{'c}, [(\text{'a}, \text{'b}) \text{ dtree}] \Rightarrow \text{'c}, (\text{'a}, \text{'b}) \text{ dtree}] \Rightarrow \text{'c}$

*dprod* ::  $[((\text{'a}, \text{'b}) \text{ dtree} * (\text{'a}, \text{'b}) \text{ dtree})\text{set}, ((\text{'a}, \text{'b}) \text{ dtree} * (\text{'a}, \text{'b}) \text{ dtree})\text{set}]$   
 $\Rightarrow ((\text{'a}, \text{'b}) \text{ dtree} * (\text{'a}, \text{'b}) \text{ dtree})\text{set}$

*dsum* ::  $[((\text{'a}, \text{'b}) \text{ dtree} * (\text{'a}, \text{'b}) \text{ dtree})\text{set}, ((\text{'a}, \text{'b}) \text{ dtree} * (\text{'a}, \text{'b}) \text{ dtree})\text{set}]$   
 $\Rightarrow ((\text{'a}, \text{'b}) \text{ dtree} * (\text{'a}, \text{'b}) \text{ dtree})\text{set}$

**defs**



*Push-Node-def:*  $Push\text{-}Node == (\%n\ x.\ Abs\text{-}Node\ (apfst\ (Push\ n)\ (Rep\text{-}Node\ x)))$

*Push-def:*  $Push == (\%b\ h.\ nat\text{-}case\ b\ h)$

*Atom-def:*  $Atom == (\%x.\ \{Abs\text{-}Node((\%k.\ Inr\ 0,\ x))\})$   
*Scons-def:*  $Scons\ M\ N == (Push\text{-}Node\ (Inr\ 1)\ 'M)\ Un\ (Push\text{-}Node\ (Inr\ (Suc\ 1))\ 'N)$

*Leaf-def:*  $Leaf == Atom\ o\ Inl$   
*Numb-def:*  $Numb == Atom\ o\ Inr$

*In0-def:*  $In0(M) == Scons\ (Numb\ 0)\ M$   
*In1-def:*  $In1(M) == Scons\ (Numb\ 1)\ M$

*Lim-def:*  $Lim\ f == Union\ \{z.\ ?\ x.\ z = Push\text{-}Node\ (Inl\ x)\ ' (f\ x)\}$

*ndepth-def:*  $ndepth(n) == (\%(f,x).\ LEAST\ k.\ f\ k = Inr\ 0)\ (Rep\text{-}Node\ n)$   
*ntrunc-def:*  $ntrunc\ k\ N == \{n.\ n:N\ \&\ ndepth(n) < k\}$

*uprod-def:*  $uprod\ A\ B == UN\ x:A.\ UN\ y:B.\ \{Scons\ x\ y\}$   
*usum-def:*  $usum\ A\ B == In0'A\ Un\ In1'B$

*Split-def:*  $Split\ c\ M == THE\ u.\ EX\ x\ y.\ M = Scons\ x\ y\ \&\ u = c\ x\ y$

*Case-def:*  $Case\ c\ d\ M == THE\ u.\ (EX\ x.\ M = In0(x)\ \&\ u = c(x))$   
 $\quad\quad\quad | (EX\ y.\ M = In1(y)\ \&\ u = d(y))$

*dprod-def:*  $dprod\ r\ s == UN\ (x,x'):r.\ UN\ (y,y'):s.\ \{(Scons\ x\ y,\ Scons\ x'\ y')\}$

*dsum-def:*  $dsum\ r\ s == (UN\ (x,x'):r.\ \{(In0(x),In0(x'))\})\ Un$   
 $\quad\quad\quad (UN\ (y,y'):s.\ \{(In1(y),In1(y'))\})$

**lemma** *apfst-convE*:

```

  [| q = apfst f p; !!x y. [| p = (x,y); q = (f(x),y) |] ==> R
  |] ==> R
by (force simp add: apfst-def)

```

**lemma** *Push-inject1*:  $Push\ i\ f = Push\ j\ g \implies i=j$

```

apply (simp add: Push-def expand-fun-eq)
apply (drule-tac x=0 in spec, simp)
done

```

**lemma** *Push-inject2*:  $Push\ i\ f = Push\ j\ g \implies f=g$

```

apply (auto simp add: Push-def expand-fun-eq)
apply (drule-tac x=Suc x in spec, simp)
done

```

**lemma** *Push-inject*:

```

  [| Push i f = Push j g; [| i=j; f=g |] ==> P |] ==> P
by (blast dest: Push-inject1 Push-inject2)

```

**lemma** *Push-neq-K0*:  $Push\ (Inr\ (Suc\ k))\ f = (\%z.\ Inr\ 0) \implies P$

```

by (auto simp add: Push-def expand-fun-eq split: nat.split-asm)

```

**lemmas** *Abs-Node-inj* = *Abs-Node-inject* [THEN [2] rev-iffD1, standard]

**lemma** *Node-K0-I*:  $(\%k.\ Inr\ 0, a) : Node$

```

by (simp add: Node-def)

```

**lemma** *Node-Push-I*:  $p : Node \implies apfst\ (Push\ i)\ p : Node$

```

apply (simp add: Node-def Push-def)
apply (fast intro!: apfst-conv nat-case-Suc [THEN trans])
done

```

## 14.1 Freeness: Distinctness of Constructors

**lemma** *Scons-not-Atom* [iff]:  $Scons\ M\ N \neq Atom(a)$

```

apply (simp add: Atom-def Scons-def Push-Node-def One-nat-def)

```

```

apply (blast intro: Node-K0-I Rep-Node [THEN Node-Push-I]

```

```

  dest!: Abs-Node-inj

```

```

  elim!: apfst-convE sym [THEN Push-neq-K0])

```

```

done

```

**lemmas** *Atom-not-Scons* [iff] = *Scons-not-Atom* [THEN not-sym, standard]

```

lemma inj-Atom: inj(Atom)
apply (simp add: Atom-def)
apply (blast intro!: inj-onI Node-K0-I dest!: Abs-Node-inj)
done
lemmas Atom-inject = inj-Atom [THEN injD, standard]

```

```

lemma Atom-Atom-eq [iff]: (Atom(a)=Atom(b)) = (a=b)
by (blast dest!: Atom-inject)

```

```

lemma inj-Leaf: inj(Leaf)
apply (simp add: Leaf-def o-def)
apply (rule inj-onI)
apply (erule Atom-inject [THEN Inl-inject])
done

```

```

lemmas Leaf-inject [dest!] = inj-Leaf [THEN injD, standard]

```

```

lemma inj-Numb: inj(Numb)
apply (simp add: Numb-def o-def)
apply (rule inj-onI)
apply (erule Atom-inject [THEN Inr-inject])
done

```

```

lemmas Numb-inject [dest!] = inj-Numb [THEN injD, standard]

```

```

lemma Push-Node-inject:
  [| Push-Node i m =Push-Node j n; [| i=j; m=n |] ==> P
  |] ==> P
apply (simp add: Push-Node-def)
apply (erule Abs-Node-inj [THEN apfst-convE])
apply (rule Rep-Node [THEN Node-Push-I])+
apply (erule sym [THEN apfst-convE])
apply (blast intro: Rep-Node-inject [THEN iffD1] trans sym elim!: Push-inject)
done

```

```

lemma Scons-inject-lemma1: Scons M N <= Scons M' N' ==> M <= M'
apply (simp add: Scons-def One-nat-def)
apply (blast dest!: Push-Node-inject)
done

```

**lemma** *Scons-inject-lemma2*:  $Scons\ M\ N \leq Scons\ M'\ N' \implies N \leq N'$   
**apply** (*simp add: Scons-def One-nat-def*)  
**apply** (*blast dest!: Push-Node-inject*)  
**done**

**lemma** *Scons-inject1*:  $Scons\ M\ N = Scons\ M'\ N' \implies M = M'$   
**apply** (*erule equalityE*)  
**apply** (*iprover intro: equalityI Scons-inject-lemma1*)  
**done**

**lemma** *Scons-inject2*:  $Scons\ M\ N = Scons\ M'\ N' \implies N = N'$   
**apply** (*erule equalityE*)  
**apply** (*iprover intro: equalityI Scons-inject-lemma2*)  
**done**

**lemma** *Scons-inject*:  
 $[[\ Scons\ M\ N = Scons\ M'\ N';\ [\ M = M';\ N = N'\ ]\ ] \implies P\ ] \implies P$   
**by** (*iprover dest: Scons-inject1 Scons-inject2*)

**lemma** *Scons-Scons-eq [iff]*:  $(Scons\ M\ N = Scons\ M'\ N') = (M = M' \ \&\ N = N')$   
**by** (*blast elim!: Scons-inject*)

**lemma** *Scons-not-Leaf [iff]*:  $Scons\ M\ N \neq Leaf(a)$   
**by** (*simp add: Leaf-def o-def Scons-not-Atom*)

**lemmas** *Leaf-not-Scons [iff] = Scons-not-Leaf [THEN not-sym, standard]*

**lemma** *Scons-not-Numb [iff]*:  $Scons\ M\ N \neq Numb(k)$   
**by** (*simp add: Numb-def o-def Scons-not-Atom*)

**lemmas** *Numb-not-Scons [iff] = Scons-not-Numb [THEN not-sym, standard]*

**lemma** *Leaf-not-Numb [iff]*:  $Leaf(a) \neq Numb(k)$   
**by** (*simp add: Leaf-def Numb-def*)

**lemmas** *Numb-not-Leaf [iff] = Leaf-not-Numb [THEN not-sym, standard]*

**lemma** *ndepth-K0*:  $\text{ndepth } (\text{Abs-Node}(\%k. \text{Inr } 0, x)) = 0$   
**by** (*simp add: ndepth-def Node-K0-I [THEN Abs-Node-inverse] Least-equality*)

**lemma** *ndepth-Push-Node-aux*:  
 $\text{nat-case } (\text{Inr } (\text{Suc } i)) f k = \text{Inr } 0 \dashrightarrow \text{Suc}(\text{LEAST } x. f x = \text{Inr } 0) \leq k$   
**apply** (*induct-tac k, auto*)  
**apply** (*erule Least-le*)  
**done**

**lemma** *ndepth-Push-Node*:  
 $\text{ndepth } (\text{Push-Node } (\text{Inr } (\text{Suc } i)) n) = \text{Suc}(\text{ndepth}(n))$   
**apply** (*insert Rep-Node [of n, unfolded Node-def]*)  
**apply** (*auto simp add: ndepth-def Push-Node-def*  
 $\text{Rep-Node [THEN Node-Push-I, THEN Abs-Node-inverse]}$ )  
**apply** (*rule Least-equality*)  
**apply** (*auto simp add: Push-def ndepth-Push-Node-aux*)  
**apply** (*erule LeastI*)  
**done**

**lemma** *ntrunc-0* [*simp*]:  $\text{ntrunc } 0 M = \{\}$   
**by** (*simp add: ntrunc-def*)

**lemma** *ntrunc-Atom* [*simp*]:  $\text{ntrunc } (\text{Suc } k) (\text{Atom } a) = \text{Atom}(a)$   
**by** (*auto simp add: Atom-def ntrunc-def ndepth-K0*)

**lemma** *ntrunc-Leaf* [*simp*]:  $\text{ntrunc } (\text{Suc } k) (\text{Leaf } a) = \text{Leaf}(a)$   
**by** (*simp add: Leaf-def o-def ntrunc-Atom*)

**lemma** *ntrunc-Numb* [*simp*]:  $\text{ntrunc } (\text{Suc } k) (\text{Numb } i) = \text{Numb}(i)$   
**by** (*simp add: Numb-def o-def ntrunc-Atom*)

**lemma** *ntrunc-Scons* [*simp*]:  
 $\text{ntrunc } (\text{Suc } k) (\text{Scons } M N) = \text{Scons } (\text{ntrunc } k M) (\text{ntrunc } k N)$   
**by** (*auto simp add: Scons-def ntrunc-def One-nat-def ndepth-Push-Node*)

**lemma** *ntrunc-one-In0* [*simp*]:  $\text{ntrunc } (\text{Suc } 0) (\text{In0 } M) = \{\}$   
**apply** (*simp add: In0-def*)  
**apply** (*simp add: Scons-def*)  
**done**

**lemma** *ntrunc-In0* [*simp*]:  $\text{ntrunc } (\text{Suc}(\text{Suc } k)) (\text{In0 } M) = \text{In0 } (\text{ntrunc } (\text{Suc } k))$

$M$ )  
**by** (*simp add: In0-def*)

**lemma** *ntrunc-one-In1* [*simp*]:  $\text{ntrunc } (\text{Suc } 0) (\text{In1 } M) = \{\}$   
**apply** (*simp add: In1-def*)  
**apply** (*simp add: Scons-def*)  
**done**

**lemma** *ntrunc-In1* [*simp*]:  $\text{ntrunc } (\text{Suc}(\text{Suc } k)) (\text{In1 } M) = \text{In1 } (\text{ntrunc } (\text{Suc } k) M)$   
**by** (*simp add: In1-def*)

## 14.2 Set Constructions

**lemma** *uprodI* [*intro!*]:  $[\![ M:A; N:B ]\!] \implies \text{Scons } M N : \text{uprod } A B$   
**by** (*simp add: uprod-def*)

**lemma** *uprodE* [*elim!*]:  
 $[\![ c : \text{uprod } A B;$   
 $\quad !!x y. [\![ x:A; y:B; c = \text{Scons } x y ]\!] \implies P$   
 $\quad ]\!] \implies P$   
**by** (*auto simp add: uprod-def*)

**lemma** *uprodE2*:  $[\![ \text{Scons } M N : \text{uprod } A B; [\![ M:A; N:B ]\!] \implies P ]\!] \implies P$   
**by** (*auto simp add: uprod-def*)

**lemma** *usum-In0I* [*intro*]:  $M:A \implies \text{In0}(M) : \text{usum } A B$   
**by** (*simp add: usum-def*)

**lemma** *usum-In1I* [*intro*]:  $N:B \implies \text{In1}(N) : \text{usum } A B$   
**by** (*simp add: usum-def*)

**lemma** *usumE* [*elim!*]:  
 $[\![ u : \text{usum } A B;$   
 $\quad !!x. [\![ x:A; u=\text{In0}(x) ]\!] \implies P;$   
 $\quad !!y. [\![ y:B; u=\text{In1}(y) ]\!] \implies P$   
 $\quad ]\!] \implies P$   
**by** (*auto simp add: usum-def*)

**lemma** *In0-not-In1* [*iff*]:  $\text{In0}(M) \neq \text{In1}(N)$

**by** (*auto simp add: In0-def In1-def One-nat-def*)

**lemmas** *In1-not-In0* [iff] = *In0-not-In1* [THEN *not-sym*, *standard*]

**lemma** *In0-inject*:  $\text{In0}(M) = \text{In0}(N) \implies M=N$   
**by** (*simp add: In0-def*)

**lemma** *In1-inject*:  $\text{In1}(M) = \text{In1}(N) \implies M=N$   
**by** (*simp add: In1-def*)

**lemma** *In0-eq* [iff]:  $(\text{In0 } M = \text{In0 } N) = (M=N)$   
**by** (*blast dest!: In0-inject*)

**lemma** *In1-eq* [iff]:  $(\text{In1 } M = \text{In1 } N) = (M=N)$   
**by** (*blast dest!: In1-inject*)

**lemma** *inj-In0*: *inj In0*  
**by** (*blast intro!: inj-onI*)

**lemma** *inj-In1*: *inj In1*  
**by** (*blast intro!: inj-onI*)

**lemma** *Lim-inject*:  $\text{Lim } f = \text{Lim } g \implies f = g$   
**apply** (*simp add: Lim-def*)  
**apply** (*rule ext*)  
**apply** (*blast elim!: Push-Node-inject*)  
**done**

**lemma** *ntrunc-subsetI*:  $\text{ntrunc } k M \leq M$   
**by** (*auto simp add: ntrunc-def*)

**lemma** *ntrunc-subsetD*:  $(!!k. \text{ntrunc } k M \leq N) \implies M \leq N$   
**by** (*auto simp add: ntrunc-def*)

**lemma** *ntrunc-equality*:  $(!!k. \text{ntrunc } k M = \text{ntrunc } k N) \implies M=N$   
**apply** (*rule equalityI*)  
**apply** (*rule-tac* [!] *ntrunc-subsetD*)  
**apply** (*rule-tac* [!] *ntrunc-subsetI* [THEN [2] *subset-trans*], *auto*)  
**done**

**lemma** *ntrunc-o-equality*:  
 $[!k. (\text{ntrunc}(k) \circ h1) = (\text{ntrunc}(k) \circ h2)] \implies h1=h2$

```

apply (rule ntrunc-equality [THEN ext])
apply (simp add: expand-fun-eq)
done

```

```

lemma uprod-mono: [| A<=A'; B<=B' |] ==> uprod A B <= uprod A' B'
by (simp add: uprod-def, blast)

```

```

lemma usum-mono: [| A<=A'; B<=B' |] ==> usum A B <= usum A' B'
by (simp add: usum-def, blast)

```

```

lemma Scons-mono: [| M<=M'; N<=N' |] ==> Scons M N <= Scons M' N'
by (simp add: Scons-def, blast)

```

```

lemma In0-mono: M<=N ==> In0(M) <= In0(N)
by (simp add: In0-def subset-refl Scons-mono)

```

```

lemma In1-mono: M<=N ==> In1(M) <= In1(N)
by (simp add: In1-def subset-refl Scons-mono)

```

```

lemma Split [simp]: Split c (Scons M N) = c M N
by (simp add: Split-def)

```

```

lemma Case-In0 [simp]: Case c d (In0 M) = c(M)
by (simp add: Case-def)

```

```

lemma Case-In1 [simp]: Case c d (In1 N) = d(N)
by (simp add: Case-def)

```

```

lemma ntrunc-UN1: ntrunc k (UN x. f(x)) = (UN x. ntrunc k (f x))
by (simp add: ntrunc-def, blast)

```

```

lemma Scons-UN1-x: Scons (UN x. f x) M = (UN x. Scons (f x) M)
by (simp add: Scons-def, blast)

```

```

lemma Scons-UN1-y: Scons M (UN x. f x) = (UN x. Scons M (f x))
by (simp add: Scons-def, blast)

```

```

lemma In0-UN1: In0(UN x. f(x)) = (UN x. In0(f(x)))
by (simp add: In0-def Scons-UN1-y)

```



**lemma** *In1-UN1*:  $\text{In1}(\text{UN } x. f(x)) = (\text{UN } x. \text{In1}(f(x)))$   
**by** (*simp add: In1-def Scons-UN1-y*)

**lemma** *dprodI* [*intro!*]:  
 $\llbracket (M, M'):r; (N, N'):s \rrbracket \implies (\text{Scons } M \ N, \text{Scons } M' \ N') : \text{dprod } r \ s$   
**by** (*auto simp add: dprod-def*)

**lemma** *dprodE* [*elim!*]:  
 $\llbracket c : \text{dprod } r \ s; \text{!!}x \ y \ x' \ y'. \llbracket (x, x') : r; (y, y') : s; c = (\text{Scons } x \ y, \text{Scons } x' \ y') \rrbracket \implies P$   
 $\llbracket \rrbracket \implies P$   
**by** (*auto simp add: dprod-def*)

**lemma** *dsum-In0I* [*intro*]:  $(M, M'):r \implies (\text{In0}(M), \text{In0}(M')) : \text{dsum } r \ s$   
**by** (*auto simp add: dsum-def*)

**lemma** *dsum-In1I* [*intro*]:  $(N, N'):s \implies (\text{In1}(N), \text{In1}(N')) : \text{dsum } r \ s$   
**by** (*auto simp add: dsum-def*)

**lemma** *dsumE* [*elim!*]:  
 $\llbracket w : \text{dsum } r \ s; \text{!!}x \ x'. \llbracket (x, x') : r; w = (\text{In0}(x), \text{In0}(x')) \rrbracket \implies P; \text{!!}y \ y'. \llbracket (y, y') : s; w = (\text{In1}(y), \text{In1}(y')) \rrbracket \implies P$   
 $\llbracket \rrbracket \implies P$   
**by** (*auto simp add: dsum-def*)

**lemma** *dprod-mono*:  $\llbracket r \leq r'; s \leq s' \rrbracket \implies \text{dprod } r \ s \leq \text{dprod } r' \ s'$   
**by** *blast*

**lemma** *dsum-mono*:  $\llbracket r \leq r'; s \leq s' \rrbracket \implies \text{dsum } r \ s \leq \text{dsum } r' \ s'$   
**by** *blast*

**lemma** *dprod-Sigma*:  $(\text{dprod } (A \lt*> B) (C \lt*> D)) \leq (\text{uprod } A \ C) \lt*> (\text{uprod } B \ D)$

by *blast*

**lemmas** *dprod-subset-Sigma* = *subset-trans* [*OF dprod-mono dprod-Sigma, standard*]

**lemma** *dprod-subset-Sigma2*:  
 (*dprod* (*Sigma A B*) (*Sigma C D*)) <=  
*Sigma* (*uprod A C*) (*Split* ( $\%x\ y.$  *uprod* (*B x*) (*D y*)))  
 by *auto*

**lemma** *dsum-Sigma*: (*dsum* (*A <\*> B*) (*C <\*> D*)) <= (*usum A C*) <\*> (*usum B D*)  
 by *blast*

**lemmas** *dsum-subset-Sigma* = *subset-trans* [*OF dsum-mono dsum-Sigma, standard*]

hides popular names

**hide** (**open**) *type node item*

**hide** (**open**) *const Push Node Atom Leaf Numb Lim Split Case*

## 15 Datatypes

### 15.1 Representing sums

**rep-datatype** (*sum*) *Inl Inr*

**proof** —

**fix** *P*  
**fix** *s* :: '*a* + '*b*  
**assume** *x*:  $\bigwedge x::'a.$  *P* (*Inl x*) **and** *y*:  $\bigwedge y::'b.$  *P* (*Inr y*)  
**then show** *P s* **by** (*auto intro: sumE* [*of s*])  
**qed** *simp-all*

**lemma** *sum-case-KK*[*simp*]: *sum-case* ( $\%x.$  *a*) ( $\%x.$  *a*) = ( $\%x.$  *a*)  
**by** (*rule ext*) (*simp split: sum.split*)

**lemma** *surjective-sum*: *sum-case* ( $\%x::'a.$  *f* (*Inl x*)) ( $\%y::'b.$  *f* (*Inr y*)) *s* = *f*(*s*)  
**apply** (*rule-tac s = s in sumE*)  
**apply** (*erule ssubst*)  
**apply** (*rule sum.cases*(1))  
**apply** (*erule ssubst*)  
**apply** (*rule sum.cases*(2))  
**done**

**lemma** *sum-case-weak-cong*: *s* = *t* ==> *sum-case f g s* = *sum-case f g t*  
 — Prevents simplification of *f* and *g*: much faster.  
**by** *simp*

**lemma** *sum-case-inject*:

*sum-case f1 f2 = sum-case g1 g2 ==> (f1 = g1 ==> f2 = g2 ==> P) ==> P*

**proof** –

**assume** *a*: *sum-case f1 f2 = sum-case g1 g2*

**assume** *r*: *f1 = g1 ==> f2 = g2 ==> P*

**show** *P*

**apply** (*rule r*)

**apply** (*rule ext*)

**apply** (*cut-tac x = Inl x in a [THEN fun-cong], simp*)

**apply** (*rule ext*)

**apply** (*cut-tac x = Inr x in a [THEN fun-cong], simp*)

**done**

**qed**

**constdefs**

*Suml* :: (*'a* => *'c*) => *'a* + *'b* => *'c*

*Suml* == (%*f*. *sum-case f undefined*)

*Sumr* :: (*'b* => *'c*) => *'a* + *'b* => *'c*

*Sumr* == *sum-case undefined*

**lemma** *Suml-inject*: *Suml f = Suml g ==> f = g*

**by** (*unfold Suml-def*) (*erule sum-case-inject*)

**lemma** *Sumr-inject*: *Sumr f = Sumr g ==> f = g*

**by** (*unfold Sumr-def*) (*erule sum-case-inject*)

**primrec** *Projl* :: *'a* + *'b* => *'a*

**where** *Projl-Inl*: *Projl (Inl x) = x*

**primrec** *Projr* :: *'a* + *'b* => *'b*

**where** *Projr-Inr*: *Projr (Inr x) = x*

**hide** (**open**) *const Suml Sumr Projl Projr*

**end**

## 16 Power: Exponentiation

**theory** *Power*

**imports** *Nat*

**begin**

**class** *power* =

**fixes** *power* :: *'a* => *nat* => *'a* (**infixr** ^ 80)

### 16.1 Powers for Arbitrary Monoids

```
class recpower = monoid-mult + power +
  assumes power-0 [simp]:  $a ^ 0 = 1$ 
  assumes power-Suc [simp]:  $a ^ \text{Suc } n = a * (a ^ n)$ 
```

```
lemma power-0-Suc [simp]:  $(0::'a::\{\text{recpower}, \text{semiring-0}\}) ^ (\text{Suc } n) = 0$ 
  by simp
```

It looks plausible as a simprule, but its effect can be strange.

```
lemma power-0-left:  $0 ^ n = (\text{if } n=0 \text{ then } 1 \text{ else } (0::'a::\{\text{recpower}, \text{semiring-0}\}))$ 
  by (induct n) simp-all
```

```
lemma power-one [simp]:  $1 ^ n = (1::'a::\text{recpower})$ 
  by (induct n) simp-all
```

```
lemma power-one-right [simp]:  $(a::'a::\text{recpower}) ^ 1 = a$ 
  unfolding One-nat-def by simp
```

```
lemma power-commutes:  $(a::'a::\text{recpower}) ^ n * a = a * a ^ n$ 
  by (induct n) (simp-all add: mult-assoc)
```

```
lemma power-Suc2:  $(a::'a::\text{recpower}) ^ \text{Suc } n = a ^ n * a$ 
  by (simp add: power-commutes)
```

```
lemma power-add:  $(a::'a::\text{recpower}) ^ (m+n) = (a ^ m) * (a ^ n)$ 
  by (induct m) (simp-all add: mult-ac)
```

```
lemma power-mult:  $(a::'a::\text{recpower}) ^ (m*n) = (a ^ m) ^ n$ 
  by (induct n) (simp-all add: power-add)
```

```
lemma power-mult-distrib:  $((a::'a::\{\text{recpower}, \text{comm-monoid-mult}\}) * b) ^ n =$ 
 $(a ^ n) * (b ^ n)$ 
  by (induct n) (simp-all add: mult-ac)
```

```
lemma zero-less-power[simp]:
   $0 < (a::'a::\{\text{ordered-semidom}, \text{recpower}\}) ==> 0 < a ^ n$ 
  by (induct n) (simp-all add: mult-pos-pos)
```

```
lemma zero-le-power[simp]:
   $0 \leq (a::'a::\{\text{ordered-semidom}, \text{recpower}\}) ==> 0 \leq a ^ n$ 
  by (induct n) (simp-all add: mult-nonneg-nonneg)
```

```
lemma one-le-power[simp]:
   $1 \leq (a::'a::\{\text{ordered-semidom}, \text{recpower}\}) ==> 1 \leq a ^ n$ 
  apply (induct n)
  apply simp-all
  apply (rule order-trans [OF - mult-mono [of 1 - 1]])
  apply (simp-all add: order-trans [OF zero-le-one])
  done
```

```

lemma gt1-imp-ge0:  $1 < a \implies 0 \leq (a::'a::\text{ordered-semidom})$ 
  by (simp add: order-trans [OF zero-le-one order-less-imp-le])

lemma power-gt1-lemma:
  assumes gt1:  $1 < (a::'a::\{\text{ordered-semidom}, \text{recpower}\})$ 
  shows  $1 < a * a^n$ 
proof –
  have  $1 * 1 < a * 1$  using gt1 by simp
  also have  $\dots \leq a * a^n$  using gt1
    by (simp only: mult-mono gt1-imp-ge0 one-le-power order-less-imp-le
      zero-le-one order-refl)
  finally show ?thesis by simp
qed

lemma one-less-power[simp]:
   $\llbracket 1 < (a::'a::\{\text{ordered-semidom}, \text{recpower}\}); 0 < n \rrbracket \implies 1 < a^n$ 
by (cases n, simp-all add: power-gt1-lemma)

lemma power-gt1:
   $1 < (a::'a::\{\text{ordered-semidom}, \text{recpower}\}) \implies 1 < a^{(\text{Suc } n)}$ 
by (simp add: power-gt1-lemma)

lemma power-le-imp-le-exp:
  assumes gt1:  $(1::'a::\{\text{recpower}, \text{ordered-semidom}\}) < a$ 
  shows  $!!n. a^m \leq a^n \implies m \leq n$ 
proof (induct m)
  case 0
  show ?case by simp
next
  case (Suc m)
  show ?case
  proof (cases n)
  case 0
  from prems have  $a * a^m \leq 1$  by simp
  with gt1 show ?thesis
    by (force simp only: power-gt1-lemma
      linorder-not-less [symmetric])
  next
  case (Suc n)
  from prems show ?thesis
    by (force dest: mult-left-le-imp-le
      simp add: order-less-trans [OF zero-less-one gt1])
  qed
qed

```

Surely we can strengthen this? It holds for  $0 < a < 1$  too.

```

lemma power-inject-exp [simp]:
   $1 < (a::'a::\{\text{ordered-semidom}, \text{recpower}\}) \implies (a^m = a^n) = (m=n)$ 

```

**by** (*force simp add: order-antisym power-le-imp-le-exp*)

Can relax the first premise to  $(0::'a) < a$  in the case of the natural numbers.

**lemma** *power-less-imp-less-exp*:

$[[ (1::'a::\{\text{recpower, ordered-semidom}\}) < a; a^m < a^n ]] ==> m < n$

**by** (*simp add: order-less-le [of m n] order-less-le [of a^m a^n]*  
*power-le-imp-le-exp*)

**lemma** *power-mono*:

$[[ a \leq b; (0::'a::\{\text{recpower, ordered-semidom}\}) \leq a ]] ==> a^n \leq b^n$

**apply** (*induct n*)

**apply** *simp-all*

**apply** (*auto intro: mult-mono order-trans [of 0 a b]*)

**done**

**lemma** *power-strict-mono* [*rule-format*]:

$[[ a < b; (0::'a::\{\text{recpower, ordered-semidom}\}) \leq a ]] ==>$

$0 < n \longrightarrow a^n < b^n$

**apply** (*induct n*)

**apply** (*auto simp add: mult-strict-mono order-le-less-trans [of 0 a b]*)

**done**

**lemma** *power-eq-0-iff* [*simp*]:

$(a^n = 0) \longleftrightarrow$

$(a = (0::'a::\{\text{mult-zero, zero-neq-one, no-zero-divisors, recpower}\}) \ \& \ n \neq 0)$

**apply** (*induct n*)

**apply** (*auto simp add: no-zero-divisors*)

**done**

**lemma** *field-power-not-zero*:

$a \neq (0::'a::\{\text{ring-1-no-zero-divisors, recpower}\}) ==> a^n \neq 0$

**by** *force*

**lemma** *nonzero-power-inverse*:

**fixes**  $a :: 'a::\{\text{division-ring, recpower}\}$

**shows**  $a \neq 0 ==> \text{inverse } (a^n) = (\text{inverse } a)^n$

**apply** (*induct n*)

**apply** (*auto simp add: nonzero-inverse-mult-distrib power-commutes*)

**done**

Perhaps these should be simprules.

**lemma** *power-inverse*:

**fixes**  $a :: 'a::\{\text{division-ring, division-by-zero, recpower}\}$

**shows**  $\text{inverse } (a^n) = (\text{inverse } a)^n$

**apply** (*cases a = 0*)

**apply** (*simp add: power-0-left*)

**apply** (*simp add: nonzero-power-inverse*)

done

**lemma** *power-one-over*:  $1 / (a :: 'a :: \{\text{field}, \text{division-by-zero}, \text{recpower}\})^n =$   
 $(1 / a)^n$   
**apply** (*simp add: divide-inverse*)  
**apply** (*rule power-inverse*)  
**done**

**lemma** *nonzero-power-divide*:  
 $b \neq 0 \implies (a/b)^n = ((a :: 'a :: \{\text{field}, \text{recpower}\})^n) / (b^n)$   
**by** (*simp add: divide-inverse power-mult-distrib nonzero-power-inverse*)

**lemma** *power-divide*:  
 $(a/b)^n = ((a :: 'a :: \{\text{field}, \text{division-by-zero}, \text{recpower}\})^n) / b^n$   
**apply** (*case-tac b=0, simp add: power-0-left*)  
**apply** (*rule nonzero-power-divide*)  
**apply** *assumption*  
**done**

**lemma** *power-abs*:  $\text{abs}(a^n) = \text{abs}(a :: 'a :: \{\text{ordered-idom}, \text{recpower}\})^n$   
**apply** (*induct n*)  
**apply** (*auto simp add: abs-mult*)  
**done**

**lemma** *abs-power-minus* [*simp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-idom}, \text{recpower}\}$  **shows**  $\text{abs}((-a)^n) = \text{abs}(a^n)$   
**by** (*simp add: abs-minus-cancel power-abs*)

**lemma** *zero-less-power-abs-iff* [*simp, noatp*]:  
 $(0 < (\text{abs } a)^n) = (a \neq (0 :: 'a :: \{\text{ordered-idom}, \text{recpower}\}) \mid n=0)$   
**proof** (*induct n*)  
**case** 0  
**show** ?case **by** *simp*  
**next**  
**case** (*Suc n*)  
**show** ?case **by** (*auto simp add: prems zero-less-mult-iff*)  
**qed**

**lemma** *zero-le-power-abs* [*simp*]:  
 $(0 :: 'a :: \{\text{ordered-idom}, \text{recpower}\}) \leq (\text{abs } a)^n$   
**by** (*rule zero-le-power [OF abs-ge-zero]*)

**lemma** *power-minus*:  $(-a)^n = (-1)^n * (a :: 'a :: \{\text{ring-1}, \text{recpower}\})^n$   
**proof** (*induct n*)  
**case** 0 **show** ?case **by** *simp*  
**next**  
**case** (*Suc n*) **then show** ?case  
**by** (*simp del: power-Suc add: power-Suc2 mult-assoc*)  
**qed**

Lemma for *power-strict-decreasing*

**lemma** *power-Suc-less*:

$[[ (0 :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}) < a; a < 1 ]]$   
 $\implies a * a^n < a^n$

**apply** (*induct n*)

**apply** (*auto simp add: mult-strict-left-mono*)

**done**

**lemma** *power-strict-decreasing*:

$[[ n < N; 0 < a; a < (1 :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}) ]]$   
 $\implies a^N < a^n$

**apply** (*erule rev-mp*)

**apply** (*induct N*)

**apply** (*auto simp add: power-Suc-less less-Suc-eq*)

**apply** (*rename-tac m*)

**apply** (*subgoal-tac a \* a^m < 1 \* a^n, simp*)

**apply** (*rule mult-strict-mono*)

**apply** (*auto simp add: order-less-imp-le*)

**done**

Proof resembles that of *power-strict-decreasing*

**lemma** *power-decreasing*:

$[[ n \leq N; 0 \leq a; a \leq (1 :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}) ]]$   
 $\implies a^N \leq a^n$

**apply** (*erule rev-mp*)

**apply** (*induct N*)

**apply** (*auto simp add: le-Suc-eq*)

**apply** (*rename-tac m*)

**apply** (*subgoal-tac a \* a^m \leq 1 \* a^n, simp*)

**apply** (*rule mult-mono*)

**apply** *auto*

**done**

**lemma** *power-Suc-less-one*:

$[[ 0 < a; a < (1 :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}) ]] \implies a^{\text{Suc } n} < 1$

**apply** (*insert power-strict-decreasing [of 0 Suc n a], simp*)

**done**

Proof again resembles that of *power-strict-decreasing*

**lemma** *power-increasing*:

$[[ n \leq N; (1 :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}) \leq a ]] \implies a^n \leq a^N$

**apply** (*erule rev-mp*)

**apply** (*induct N*)

**apply** (*auto simp add: le-Suc-eq*)

**apply** (*rename-tac m*)

**apply** (*subgoal-tac 1 \* a^n \leq a \* a^m, simp*)

**apply** (*rule mult-mono*)

**apply** (*auto simp add: order-trans [OF zero-le-one]*)

**done**



Lemma for *power-strict-increasing*

**lemma** *power-less-power-Suc*:

( $1 :: 'a :: \{\text{ordered-semidom}, \text{recpower}\} < a \implies a^n < a * a^n$ )  
**apply** (*induct*  $n$ )  
**apply** (*auto simp add: mult-strict-left-mono order-less-trans [OF zero-less-one]*)  
**done**

**lemma** *power-strict-increasing*:

( $[n < N; (1 :: 'a :: \{\text{ordered-semidom}, \text{recpower}\} < a)] \implies a^n < a^N$ )  
**apply** (*erule rev-mp*)  
**apply** (*induct*  $N$ )  
**apply** (*auto simp add: power-less-power-Suc less-Suc-eq*)  
**apply** (*rename-tac*  $m$ )  
**apply** (*subgoal-tac*  $1 * a^n < a * a^m$ , *simp*)  
**apply** (*rule mult-strict-mono*)  
**apply** (*auto simp add: order-less-trans [OF zero-less-one] order-less-imp-le*)  
**done**

**lemma** *power-increasing-iff [simp]*:

$1 < (b :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}) \implies (b^x \leq b^y) = (x \leq y)$   
**by** (*blast intro: power-le-imp-le-exp power-increasing order-less-imp-le*)

**lemma** *power-strict-increasing-iff [simp]*:

$1 < (b :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}) \implies (b^x < b^y) = (x < y)$   
**by** (*blast intro: power-less-imp-less-exp power-strict-increasing*)

**lemma** *power-le-imp-le-base*:

**assumes**  $le: a^{\text{Suc } n} \leq b^{\text{Suc } n}$   
**and**  $ynonneg: (0 :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}) \leq b$   
**shows**  $a \leq b$   
**proof** (*rule ccontr*)  
**assume**  $\sim a \leq b$   
**then have**  $b < a$  **by** (*simp only: linorder-not-le*)  
**then have**  $b^{\text{Suc } n} < a^{\text{Suc } n}$   
**by** (*simp only: prems power-strict-mono*)  
**from**  $le$  **and this** **show** *False*  
**by** (*simp add: linorder-not-less [symmetric]*)  
**qed**

**lemma** *power-less-imp-less-base*:

**fixes**  $a b :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}$   
**assumes**  $less: a^n < b^n$   
**assumes**  $nonneg: 0 \leq b$   
**shows**  $a < b$   
**proof** (*rule contrapos-pp [OF less]*)  
**assume**  $\sim a < b$   
**hence**  $b \leq a$  **by** (*simp only: linorder-not-less*)  
**hence**  $b^n \leq a^n$  **using**  $nonneg$  **by** (*rule power-mono*)  
**thus**  $\sim a^n < b^n$  **by** (*simp only: linorder-not-less*)

qed

**lemma** *power-inject-base*:

$\llbracket a \wedge \text{Suc } n = b \wedge \text{Suc } n; 0 \leq a; 0 \leq b \rrbracket$   
 $\implies a = (b :: 'a :: \{\text{ordered-semidom}, \text{recpower}\})$

**by** (*blast intro: power-le-imp-le-base order-antisym order-eq-refl sym*)

**lemma** *power-eq-imp-eq-base*:

**fixes**  $a \ b :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}$

**shows**  $\llbracket a \wedge n = b \wedge n; 0 \leq a; 0 \leq b; 0 < n \rrbracket \implies a = b$

**by** (*cases n, simp-all del: power-Suc, rule power-inject-base*)

The divides relation

**lemma** *le-imp-power-dvd*:

**fixes**  $a :: 'a :: \{\text{comm-semiring-1}, \text{recpower}\}$

**assumes**  $m \leq n$  **shows**  $a^m \text{ dvd } a^n$

**proof**

**have**  $a^n = a^{(m + (n - m))}$

**using**  $\langle m \leq n \rangle$  **by** *simp*

**also have**  $\dots = a^m * a^{(n - m)}$

**by** (*rule power-add*)

**finally show**  $a^n = a^m * a^{(n - m)}$  .

qed

**lemma** *power-le-dvd*:

**fixes**  $a \ b :: 'a :: \{\text{comm-semiring-1}, \text{recpower}\}$

**shows**  $a^n \text{ dvd } b \implies m \leq n \implies a^m \text{ dvd } b$

**by** (*rule dvd-trans [OF le-imp-power-dvd]*)

**lemma** *dvd-power-same*:

$(x :: 'a :: \{\text{comm-semiring-1}, \text{recpower}\}) \text{ dvd } y \implies x^n \text{ dvd } y^n$

**by** (*induct n (auto simp add: mult-dvd-mono)*)

**lemma** *dvd-power-le*:

$(x :: 'a :: \{\text{comm-semiring-1}, \text{recpower}\}) \text{ dvd } y \implies m \geq n \implies x^n \text{ dvd } y^m$

**by** (*rule power-le-dvd [OF dvd-power-same]*)

**lemma** *dvd-power [simp]*:

$n > 0 \mid (x :: 'a :: \{\text{comm-semiring-1}, \text{recpower}\}) = 1 \implies x \text{ dvd } x^n$

**apply** (*erule disjE*)

**apply** (*subgoal-tac x ^ n = x^(Suc (n - 1))*)

**apply** (*erule ssubst*)

**apply** (*subst power-Suc*)

**apply** *auto*

**done**

## 16.2 Exponentiation for the Natural Numbers

**instantiation** *nat* :: *recpower*  
**begin**

**primrec** *power-nat* **where**  
 $p \wedge 0 = (1::nat)$   
 $| p \wedge (Suc\ n) = (p::nat) * (p \wedge n)$

**instance** **proof**  
**fix** *z n* :: *nat*  
**show**  $z \wedge 0 = 1$  **by** *simp*  
**show**  $z \wedge (Suc\ n) = z * (z \wedge n)$  **by** *simp*  
**qed**

**declare** *power-nat.simps* [*simp del*]

**end**

**lemma** *of-nat-power*:  
 $of\_nat\ (m \wedge n) = (of\_nat\ m::'a::\{semiring-1,recpower\}) \wedge n$   
**by** (*induct n, simp-all add: of-nat-mult*)

**lemma** *nat-one-le-power* [*simp*]:  $Suc\ 0 \leq i \implies Suc\ 0 \leq i \wedge n$   
**by** (*rule one-le-power [of i n, unfolded One-nat-def]*)

**lemma** *nat-zero-less-power-iff* [*simp*]:  $(x \wedge n > 0) = (x > (0::nat) \mid n=0)$   
**by** (*induct n, auto*)

**lemma** *nat-power-eq-Suc-0-iff* [*simp*]:  
 $((x::nat) \wedge m = Suc\ 0) = (m = 0 \mid x = Suc\ 0)$   
**by** (*induct-tac m, auto*)

**lemma** *power-Suc-0* [*simp*]:  $(Suc\ 0) \wedge n = Suc\ 0$   
**by** *simp*

Valid for the naturals, but what if  $0 < i < 1$ ? Premises cannot be weakened:  
 consider the case where  $i = (0::'a)$ ,  $m = (1::'a)$  and  $n = (0::'a)$ .

**lemma** *nat-power-less-imp-less*:  
**assumes** *nonneg*:  $0 < (i::nat)$   
**assumes** *less*:  $i \wedge m < i \wedge n$   
**shows**  $m < n$   
**proof** (*cases i = 1*)  
**case** *True* **with** *less power-one* [**where**  $'a = nat$ ] **show** *?thesis* **by** *simp*  
**next**  
**case** *False* **with** *nonneg* **have**  $1 < i$  **by** *auto*  
**from** *power-strict-increasing-iff* [*OF this*] *less* **show** *?thesis* ..  
**qed**

**lemma** *power-diff*:

```

assumes nz:  $a \sim = 0$ 
shows  $n \leq m \implies (a :: 'a :: \{\text{recpower}, \text{field}\}) \wedge (m - n) = (a^m) / (a^n)$ 
by (induct m n rule: diff-induct)
      (simp-all add: nonzero-mult-divide-cancel-left nz)

end

```

## 17 Finite-Set: Finite sets

```

theory Finite-Set
imports Nat Product-Type Power
begin

```

### 17.1 Definition and basic properties

```

inductive finite :: 'a set => bool
```

**where**

```

  emptyI [simp, intro!]: finite {}
  | insertI [simp, intro!]: finite A ==> finite (insert a A)
```

**lemma** *ex-new-if-finite*: — does not depend on def of finite at all

```

assumes  $\neg \text{finite } (\text{UNIV} :: 'a \text{ set})$  and finite A
shows  $\exists a :: 'a. a \notin A$ 

```

**proof** —

```

from assms have  $A \neq \text{UNIV}$  by blast
thus ?thesis by blast

```

**qed**

**lemma** *finite-induct* [*case-names empty insert, induct set: finite*]:

```

  finite F ==>
     $P \{ \} \implies (!x F. \text{finite } F \implies x \notin F \implies P F \implies P (\text{insert } x F)) \implies$ 
     $P F$ 

```

— Discharging  $x \notin F$  entails extra work.

**proof** —

```

assume  $P \{ \}$  and
  insert:  $!x F. \text{finite } F \implies x \notin F \implies P F \implies P (\text{insert } x F)$ 
assume finite F
thus  $P F$ 
proof induct
  show  $P \{ \}$  by fact
  fix x F assume F: finite F and P:  $P F$ 
  show  $P (\text{insert } x F)$ 
  proof cases
    assume  $x \in F$ 
    hence  $\text{insert } x F = F$  by (rule insert-absorb)
    with P show ?thesis by (simp only.)
  next
    assume  $x \notin F$ 

```

```

    from  $F$  this  $P$  show ?thesis by (rule insert)
  qed
qed
qed

```

**lemma** *finite-ne-induct* [*case-names singleton insert, consumes 2*]:

**assumes** *fin*: *finite*  $F$  **shows**  $F \neq \{\}$   $\implies$

$\llbracket \bigwedge x. P\{x\};$   
 $\bigwedge x F. \llbracket \text{finite } F; F \neq \{\}; x \notin F; P F \rrbracket \implies P (\text{insert } x F) \rrbracket$   
 $\implies P F$

**using** *fin*

**proof** *induct*

**case** *empty* **thus** ?*case* **by** *simp*

**next**

**case** (*insert*  $x F$ )

**show** ?*case*

**proof** *cases*

**assume**  $F = \{\}$

**thus** ?*thesis* **using**  $\langle P \{x\} \rangle$  **by** *simp*

**next**

**assume**  $F \neq \{\}$

**thus** ?*thesis* **using** *insert* **by** *blast*

**qed**

**qed**

**lemma** *finite-subset-induct* [*consumes 2, case-names empty insert*]:

**assumes** *finite*  $F$  **and**  $F \subseteq A$

**and** *empty*:  $P \{\}$

**and** *insert*:  $\forall a F. \text{finite } F \implies a \in A \implies a \notin F \implies P F \implies P (\text{insert } a F)$

**shows**  $P F$

**proof**  $-$

**from**  $\langle \text{finite } F \rangle$  **and**  $\langle F \subseteq A \rangle$

**show** ?*thesis*

**proof** *induct*

**show**  $P \{\}$  **by** *fact*

**next**

**fix**  $x F$

**assume** *finite*  $F$  **and**  $x \notin F$  **and**

$P: F \subseteq A \implies P F$  **and**  $i: \text{insert } x F \subseteq A$

**show**  $P (\text{insert } x F)$

**proof** (*rule insert*)

**from**  $i$  **show**  $x \in A$  **by** *blast*

**from**  $i$  **have**  $F \subseteq A$  **by** *blast*

**with**  $P$  **show**  $P F$  .

**show** *finite*  $F$  **by** *fact*

**show**  $x \notin F$  **by** *fact*

**qed**

**qed**

qed

A finite choice principle. Does not need the SOME choice operator.

**lemma** *finite-set-choice*:

$finite\ A \implies ALL\ x:A. (EX\ y. P\ x\ y) \implies EX\ f. ALL\ x:A. P\ x\ (f\ x)$

**proof** (*induct set: finite*)

**case** *empty* **thus** ?case **by** *simp*

**next**

**case** (*insert a A*)

**then** **obtain** *f b* **where**  $f: ALL\ x:A. P\ x\ (f\ x)$  **and**  $ab: P\ a\ b$  **by** *auto*

**show** ?case (**is**  $EX\ f. ?P\ f$ )

**proof**

**show**  $?P(\%x. \text{if } x = a \text{ then } b \text{ else } f\ x)$  **using** *f ab* **by** *auto*

qed

qed

Finite sets are the images of initial segments of natural numbers:

**lemma** *finite-imp-nat-seg-image-inj-on*:

**assumes** *fin: finite A*

**shows**  $\exists\ (n::nat)\ f. A = f\ ' \{i. i < n\} \ \&\ inj\ on\ f\ \{i. i < n\}$

**using** *fin*

**proof** *induct*

**case** *empty*

**show** ?case

**proof** **show**  $\exists\ f. \{\} = f\ ' \{i::nat. i < 0\} \ \&\ inj\ on\ f\ \{i. i < 0\}$  **by** *simp*

qed

**next**

**case** (*insert a A*)

**have** *notinA*:  $a \notin A$  **by** *fact*

**from** *insert.hyps* **obtain** *n f*

**where**  $A = f\ ' \{i::nat. i < n\} \ inj\ on\ f\ \{i. i < n\}$  **by** *blast*

**hence**  $insert\ a\ A = f(n:=a)\ ' \{i. i < Suc\ n\}$

$inj\ on\ (f(n:=a))\ \{i. i < Suc\ n\}$  **using** *notinA*

**by** (*auto simp add: image-def Ball-def inj-on-def less-Suc-eq*)

**thus** ?case **by** *blast*

qed

**lemma** *nat-seg-image-imp-finite*:

$!!f\ A. A = f\ ' \{i::nat. i < n\} \implies finite\ A$

**proof** (*induct n*)

**case** 0 **thus** ?case **by** *simp*

**next**

**case** (*Suc n*)

**let** ?B =  $f\ ' \{i. i < n\}$

**have** *finB*: *finite* ?B **by** (*rule Suc.hyps[OF refl]*)

**show** ?case

**proof** *cases*

**assume**  $\exists\ k < n. f\ n = f\ k$

**hence**  $A = ?B$  **using** *Suc.prem*s **by** (*auto simp: less-Suc-eq*)

```

    thus ?thesis using finB by simp
  next
    assume  $\neg(\exists k < n. f\ n = f\ k)$ 
    hence  $A = \text{insert } (f\ n) \ ?B$  using Suc.prem by (auto simp: less-Suc-eq)
    thus ?thesis using finB by simp
  qed
qed

```

**lemma** *finite-conv-nat-seg-image*:  
 $\text{finite } A = (\exists (n::\text{nat})\ f. A = f\ ' \{i::\text{nat}. i < n\})$   
 by (blast intro: nat-seg-image-imp-finite dest: finite-imp-nat-seg-image-inj-on)

**lemma** *finite-Collect-less-nat[iff]*:  $\text{finite}\{n::\text{nat}. n < k\}$   
 by (fastsimp simp: finite-conv-nat-seg-image)

### 17.1.1 Finiteness and set theoretic constructions

**lemma** *finite-UnI*:  $\text{finite } F \implies \text{finite } G \implies \text{finite } (F \text{ Un } G)$   
 by (induct set: finite) simp-all

**lemma** *finite-subset*:  $A \subseteq B \implies \text{finite } B \implies \text{finite } A$   
 — Every subset of a finite set is finite.

**proof** —  
 assume finite B  
 thus !!A.  $A \subseteq B \implies \text{finite } A$   
**proof** induct  
 case empty  
 thus ?case by simp  
 next  
 case (insert x F A)  
 have  $A \subseteq \text{insert } x\ F$  and  $r: A - \{x\} \subseteq F \implies \text{finite } (A - \{x\})$  by fact+  
 show finite A  
**proof** cases  
 assume  $x: x \in A$   
 with A have  $A - \{x\} \subseteq F$  by (simp add: subset-insert-iff)  
 with r have finite (A - {x}) .  
 hence finite (insert x (A - {x})) ..  
 also have  $\text{insert } x\ (A - \{x\}) = A$  using x by (rule insert-Diff)  
 finally show ?thesis .  
 next  
 show  $A \subseteq F \implies ?thesis$  by fact  
 assume  $x \notin A$   
 with A show  $A \subseteq F$  by (simp add: subset-insert-iff)  
 qed  
 qed  
 qed

**lemma** *finite-Un [iff]*:  $\text{finite } (F \text{ Un } G) = (\text{finite } F \ \& \ \text{finite } G)$   
 by (blast intro: finite-subset [of - X Un Y, standard] finite-UnI)

**lemma** *finite-Collect-disjI* [*simp*]:

$\text{finite}\{x. P\ x \mid Q\ x\} = (\text{finite}\{x. P\ x\} \ \& \ \text{finite}\{x. Q\ x\})$

**by** (*simp add: Collect-disj-eq*)

**lemma** *finite-Int* [*simp, intro*]:  $\text{finite}\ F \mid \text{finite}\ G \implies \text{finite}\ (F \text{ Int } G)$

— The converse obviously fails.

**by** (*blast intro: finite-subset*)

**lemma** *finite-Collect-conjI* [*simp, intro*]:

$\text{finite}\{x. P\ x\} \mid \text{finite}\{x. Q\ x\} \implies \text{finite}\{x. P\ x \ \& \ Q\ x\}$

— The converse obviously fails.

**by** (*simp add: Collect-conj-eq*)

**lemma** *finite-Collect-le-nat* [*iff*]:  $\text{finite}\{n::\text{nat}. n \leq k\}$

**by** (*simp add: le-eq-less-or-eq*)

**lemma** *finite-insert* [*simp*]:  $\text{finite}\ (\text{insert}\ a\ A) = \text{finite}\ A$

**apply** (*subst insert-is-Un*)

**apply** (*simp only: finite-Un, blast*)

**done**

**lemma** *finite-Union* [*simp, intro*]:

$\llbracket \text{finite}\ A; \forall M. M \in A \implies \text{finite}\ M \rrbracket \implies \text{finite}(\bigcup A)$

**by** (*induct rule:finite-induct*) *simp-all*

**lemma** *finite-empty-induct*:

**assumes** *finite A*

**and** *P A*

**and**  $\forall a\ A. \text{finite}\ A \implies a:A \implies P\ A \implies P\ (A - \{a\})$

**shows**  $P\ \{\}$

**proof** —

**have**  $P\ (A - A)$

**proof** —

{

**fix**  $c\ b :: 'a\ \text{set}$

**assume**  $c: \text{finite}\ c$  **and**  $b: \text{finite}\ b$

**and**  $P1: P\ b$  **and**  $P2: \forall x\ y. \text{finite}\ y \implies x \in y \implies P\ y \implies P\ (y - \{x\})$

{ $x\}$ )

**have**  $c \subseteq b \implies P\ (b - c)$

**using**  $c$

**proof** *induct*

**case** *empty*

**from**  $P1$  **show** ?*case* **by** *simp*

**next**

**case** (*insert x F*)

**have**  $P\ (b - F - \{x\})$

**proof** (*rule P2*)

**from** -  $b$  **show**  $\text{finite}\ (b - F)$  **by** (*rule finite-subset*) *blast*



```

      from insert show  $x \in b - F$  by simp
      from insert show  $P (b - F)$  by simp
    qed
    also have  $b - F - \{x\} = b - \text{insert } x F$  by (rule Diff-insert [symmetric])
    finally show ?case .
  qed
}
then show ?thesis by this (simp-all add: assms)
qed
then show ?thesis by simp
qed

```

**lemma** *finite-Diff* [simp]:  $\text{finite } A \implies \text{finite } (A - B)$   
**by** (rule Diff-subset [THEN finite-subset])

**lemma** *finite-Diff2* [simp]:  
 assumes  $\text{finite } B$  shows  $\text{finite } (A - B) = \text{finite } A$   
**proof** –  
 have  $\text{finite } A \iff \text{finite}((A-B) \cup (A \cap B))$  by (simp add: Un-Diff-Int)  
 also have  $\dots \iff \text{finite}(A-B)$  using  $\langle \text{finite } B \rangle$  by (simp)  
 finally show ?thesis ..  
**qed**

**lemma** *finite-compl*[simp]:  
 $\text{finite}(A::'a \text{ set}) \implies \text{finite}(-A) = \text{finite}(\text{UNIV}::'a \text{ set})$   
**by**(simp add: Compl-eq-Diff-UNIV)

**lemma** *finite-Collect-not*[simp]:  
 $\text{finite}\{x::'a. P\ x\} \implies \text{finite}\{x. \sim P\ x\} = \text{finite}(\text{UNIV}::'a \text{ set})$   
**by**(simp add: Collect-neg-eq)

**lemma** *finite-Diff-insert* [iff]:  $\text{finite } (A - \text{insert } a B) = \text{finite } (A - B)$   
**apply** (subst Diff-insert)  
**apply** (case-tac  $a : A - B$ )  
**apply** (rule finite-insert [symmetric, THEN trans])  
**apply** (subst insert-Diff, simp-all)  
**done**

Image and Inverse Image over Finite Sets

**lemma** *finite-imageI*[simp]:  $\text{finite } F \implies \text{finite } (h \cdot F)$   
 — The image of a finite set is finite.  
**by** (induct set: finite) simp-all

**lemma** *finite-surj*:  $\text{finite } A \implies B \leq f \cdot A \implies \text{finite } B$   
**apply** (frule finite-imageI)  
**apply** (erule finite-subset, assumption)  
**done**

**lemma** *finite-range-imageI*:

```

  finite (range g) ==> finite (range (%x. f (g x)))
  apply (drule finite-imageI, simp add: range-composition)
  done

```

**lemma** *finite-imageD*:  $\text{finite } (f^{\circ} A) \implies \text{inj-on } f \ A \implies \text{finite } A$

**proof** –

```

  have aux: !!A. finite (A - {}) = finite A by simp
  fix B :: 'a set
  assume finite B
  thus !!A. f^{\circ} A = B ==> inj-on f A ==> finite A
  apply induct
  apply simp
  apply (subgoal-tac EX y:A. f y = x & F = f^{\circ} (A - {y}))
  apply clarify
  apply (simp (no-asm-use) add: inj-on-def)
  apply (blast dest!: aux [THEN iffD1], atomize)
  apply (erule-tac V = ALL A. ?PP (A) in thin-rl)
  apply (frule subsetD [OF equalityD2 insertI1], clarify)
  apply (rule-tac x = xa in bexI)
  apply (simp-all add: inj-on-image-set-diff)
  done
qed (rule refl)

```

**lemma** *inj-vimage-singleton*:  $\text{inj } f \implies f^{-1}\{a\} \subseteq \{\text{THE } x. f \ x = a\}$

— The inverse image of a singleton under an injective function is included in a singleton.

```

  apply (auto simp add: inj-on-def)
  apply (blast intro: the-equality [symmetric])
  done

```

**lemma** *finite-vimageI*:  $[[\text{finite } F; \text{inj } h]] \implies \text{finite } (h^{-1} F)$

— The inverse image of a finite set under an injective function is finite.

```

  apply (induct set: finite)
  apply simp-all
  apply (subst vimage-insert)
  apply (simp add: finite-Un finite-subset [OF inj-vimage-singleton])
  done

```

The finite UNION of finite sets

**lemma** *finite-UN-I*:  $\text{finite } A \implies (!!a. a:A \implies \text{finite } (B \ a)) \implies \text{finite } (\bigcup_{a:A. B \ a})$

**by** (induct set: finite) simp-all

Strengthen RHS to  $(\forall x \in A. \text{finite } (B \ x)) \wedge \text{finite } \{x \in A. B \ x \neq \{\}\}$ ?

We’d need to prove  $\text{finite } C \implies \forall A \ B. \bigcup A \ B \subseteq C \longrightarrow \text{finite } \{x \in A. B \ x \neq \{\}\}$  by induction.

**lemma** *finite-UN* [simp]:

$\text{finite } A \implies \text{finite } (\bigcup A \ B) = (\text{ALL } x:A. \text{finite } (B \ x))$

**by** (*blast intro: finite-UN-I finite-subset*)

**lemma** *finite-Collect-bex*[*simp*]:  $\text{finite } A \implies$   
 $\text{finite}\{x. \text{EX } y:A. Q \ x \ y\} = (\text{ALL } y:A. \text{finite}\{x. Q \ x \ y\})$   
**apply**(*subgoal-tac*  $\{x. \text{EX } y:A. Q \ x \ y\} = \text{UNION } A \ (\%y. \{x. Q \ x \ y\})$ )  
**apply** *auto*  
**done**

**lemma** *finite-Collect-bounded-ex*[*simp*]:  $\text{finite}\{y. P \ y\} \implies$   
 $\text{finite}\{x. \text{EX } y. P \ y \ \& \ Q \ x \ y\} = (\text{ALL } y. P \ y \longrightarrow \text{finite}\{x. Q \ x \ y\})$   
**apply**(*subgoal-tac*  $\{x. \text{EX } y. P \ y \ \& \ Q \ x \ y\} = \text{UNION } \{y. P \ y\} \ (\%y. \{x. Q \ x \ y\})$ )  
**apply** *auto*  
**done**

**lemma** *finite-Plus*:  $[\text{finite } A; \text{finite } B] \implies \text{finite } (A <+> B)$   
**by** (*simp add: Plus-def*)

Sigma of finite sets

**lemma** *finite-SigmaI* [*simp*]:  
 $\text{finite } A \implies (!a. a:A \implies \text{finite } (B \ a)) \implies \text{finite } (\text{SIGMA } a:A. B \ a)$   
**by** (*unfold Sigma-def*) (*blast intro!: finite-UN-I*)

**lemma** *finite-cartesian-product*:  $[\text{finite } A; \text{finite } B] \implies$   
 $\text{finite } (A <*> B)$   
**by** (*rule finite-SigmaI*)

**lemma** *finite-Prod-UNIV*:  
 $\text{finite } (\text{UNIV}::'a \ \text{set}) \implies \text{finite } (\text{UNIV}::'b \ \text{set}) \implies \text{finite } (\text{UNIV}::('a * 'b) \ \text{set})$   
**apply** (*subgoal-tac*  $(\text{UNIV}::('a * 'b) \ \text{set}) = \text{Sigma } \text{UNIV } (\%x. \text{UNIV})$ )  
**apply** (*erule ssubst*)  
**apply** (*erule finite-SigmaI, auto*)  
**done**

**lemma** *finite-cartesian-productD1*:  
 $[\text{finite } (A <*> B); B \neq \{\}] \implies \text{finite } A$   
**apply** (*auto simp add: finite-conv-nat-seg-image*)  
**apply** (*drule-tac*  $x=n$  **in** *spec*)  
**apply** (*drule-tac*  $x=\text{fst } o \ f$  **in** *spec*)  
**apply** (*auto simp add: o-def*)  
**prefer** 2 **apply** (*force dest!: equalityD2*)  
**apply** (*drule equalityD1*)  
**apply** (*rename-tac*  $y \ x$ )  
**apply** (*subgoal-tac*  $\exists k. k < n \ \& \ f \ k = (x,y)$ )  
**prefer** 2 **apply** *force*  
**apply** *clarify*  
**apply** (*rule-tac*  $x=k$  **in** *image-eqI, auto*)  
**done**

```

lemma finite-cartesian-productD2:
  [| finite ( $A <*> B$ );  $A \neq \{\}$  |] ==> finite B
apply (auto simp add: finite-conv-nat-seg-image)
apply (drule-tac x=n in spec)
apply (drule-tac x=snd o f in spec)
apply (auto simp add: o-def)
prefer 2 apply (force dest!: equalityD2)
apply (drule equalityD1)
apply (rename-tac x y)
apply (subgoal-tac  $\exists k. k < n \ \& \ f \ k = (x,y)$ )
prefer 2 apply force
apply clarify
apply (rule-tac x=k in image-eqI, auto)
done

```

The powerset of a finite set

```

lemma finite-Pow-iff [iff]: finite (Pow A) = finite A
proof
  assume finite (Pow A)
  with - have finite ((%x. {x}) ‘ A) by (rule finite-subset blast)
  thus finite A by (rule finite-imageD [unfolded inj-on-def] simp)
next
  assume finite A
  thus finite (Pow A)
  by induct (simp-all add: finite-UnI finite-imageI Pow-insert)
qed

```

```

lemma finite-Collect-subsets[simp,intro]: finite A ==> finite {B. B  $\subseteq$  A}
by(simp add: Pow-def[symmetric])

```

```

lemma finite-UnionD: finite( $\bigcup A$ ) ==> finite A
by(blast intro: finite-subset[OF subset-Pow-Union])

```

## 17.2 Class *finite*

```

setup  $\langle\langle$  Sign.add-path finite  $\rangle\rangle$  — FIXME: name tweaking
class finite =
  assumes finite-UNIV: finite (UNIV :: 'a set)
setup  $\langle\langle$  Sign.parent-path  $\rangle\rangle$ 
hide const finite

```

```

context finite
begin

```

```

lemma finite [simp]: finite (A :: 'a set)
  by (rule subset-UNIV finite-UNIV finite-subset)+

```

**end**

**lemma** *UNIV-unit* [noatp]:  
 $UNIV = \{()\}$  **by** *auto*

**instance** *unit* :: *finite*  
**by** *default (simp add: UNIV-unit)*

**lemma** *UNIV-bool* [noatp]:  
 $UNIV = \{False, True\}$  **by** *auto*

**instance** *bool* :: *finite*  
**by** *default (simp add: UNIV-bool)*

**instance**  $*$  :: (*finite*, *finite*) *finite*  
**by** *default (simp only: UNIV-Times-UNIV [symmetric] finite-cartesian-product finite)*

**lemma** *inj-graph*: *inj* (%*f*.  $\{(x, y). y = f\ x\}$ )  
**by** (*rule inj-onI, auto simp add: expand-set-eq expand-fun-eq*)

**instance** *fun* :: (*finite*, *finite*) *finite*  
**proof**  
  **show** *finite* (*UNIV* :: ('*a* => '*b*) *set*)  
  **proof** (*rule finite-imageD*)  
    **let** ?*graph* = %*f*::'*a* => '*b*.  $\{(x, y). y = f\ x\}$   
    **have** *range* ?*graph*  $\subseteq$  *Pow* *UNIV* **by** *simp*  
    **moreover** **have** *finite* (*Pow* (*UNIV* :: ('*a* \* '*b*) *set*))  
      **by** (*simp only: finite-Pow-iff finite*)  
    **ultimately** **show** *finite* (*range* ?*graph*)  
      **by** (*rule finite-subset*)  
    **show** *inj* ?*graph* **by** (*rule inj-graph*)  
  **qed**  
**qed**

**instance**  $+$  :: (*finite*, *finite*) *finite*  
**by** *default (simp only: UNIV-Plus-UNIV [symmetric] finite-Plus finite)*

### 17.3 A fold functional for finite sets

The intended behaviour is  $fold\ f\ z\ \{x_1, \dots, x_n\} = f\ x_1\ (\dots (f\ x_n\ z)\dots)$  if *f* is “left-commutative”:

**locale** *fun-left-comm* =  
  **fixes** *f* :: '*a*  $\Rightarrow$  '*b*  $\Rightarrow$  '*b*  
  **assumes** *fun-left-comm*:  $f\ x\ (f\ y\ z) = f\ y\ (f\ x\ z)$   
**begin**

On a functional level it looks much nicer:

**lemma** *fun-comp-comm*:  $f\ x\ \circ\ f\ y = f\ y\ \circ\ f\ x$

by (simp add: fun-left-comm expand-fun-eq)

end

**inductive** fold-graph :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'b  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  bool  
**for** f :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b **and** z :: 'b **where**  
 emptyI [intro]: fold-graph f z {} z |  
 insertI [intro]:  $x \notin A \implies \text{fold-graph } f z A y$   
 $\implies \text{fold-graph } f z (\text{insert } x A) (f x y)$

**inductive-cases** empty-fold-graphE [elim!]: fold-graph f z {} x

**definition** fold :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'b  $\Rightarrow$  'a set  $\Rightarrow$  'b **where**  
 [code del]: fold f z A = (THE y. fold-graph f z A y)

A tempting alternative for the definiens is *if finite A then THE y. fold-graph f z A y else e*. It allows the removal of finiteness assumptions from the theorems *fold-comm*, *fold-reindex* and *fold-distrib*. The proofs become ugly. It is not worth the effort. (???)

**lemma** Diff1-fold-graph:

fold-graph f z (A - {x}) y  $\implies x \in A \implies \text{fold-graph } f z A (f x y)$   
**by** (erule insert-Diff [THEN subst], rule fold-graph.intros, auto)

**lemma** fold-graph-imp-finite: fold-graph f z A x  $\implies$  finite A

**by** (induct set: fold-graph) auto

**lemma** finite-imp-fold-graph: finite A  $\implies \exists x. \text{fold-graph } f z A x$

**by** (induct set: finite) auto

### 17.3.1 From fold-graph to fold

**lemma** image-less-Suc:  $h \text{ ' } \{i. i < \text{Suc } m\} = \text{insert } (h m) (h \text{ ' } \{i. i < m\})$   
**by** (auto simp add: less-Suc-eq)

**lemma** insert-image-inj-on-eq:

$[[\text{insert } (h m) A = h \text{ ' } \{i. i < \text{Suc } m\}; h m \notin A;$   
 $\text{inj-on } h \{i. i < \text{Suc } m\}]]$   
 $\implies A = h \text{ ' } \{i. i < m\}$

**apply** (auto simp add: image-less-Suc inj-on-def)

**apply** (blast intro: less-trans)

**done**

**lemma** insert-inj-onE:

**assumes** aA:  $\text{insert } a A = h \text{ ' } \{i::\text{nat}. i < n\}$  **and** anot:  $a \notin A$

**and** inj-on:  $\text{inj-on } h \{i::\text{nat}. i < n\}$

**shows**  $\exists hm m. \text{inj-on } hm \{i::\text{nat}. i < m\} \ \& \ A = hm \text{ ' } \{i. i < m\} \ \& \ m < n$

**proof** (cases n)

**case 0** **thus** ?thesis **using** aA **by** auto

**next**

```

case (Suc m)
have nSuc:  $n = \text{Suc } m$  by fact
have mlessn:  $m < n$  by (simp add: nSuc)
from aA obtain k where hkeq:  $h \ k = a$  and klessn:  $k < n$  by (blast elim!:
equalityE)
let ?hm = Fun.swap k m h
have inj-hm: inj-on ?hm  $\{i. i < n\}$  using klessn mlessn
by (simp add: inj-on-swap-iff inj-on)
show ?thesis
proof (intro exI conjI)
show inj-on ?hm  $\{i. i < m\}$  using inj-hm
by (auto simp add: nSuc less-Suc-eq intro: subset-inj-on)
show  $m < n$  by (rule mlessn)
show  $A = ?hm \text{ ` } \{i. i < m\}$ 
proof (rule insert-image-inj-on-eq)
show inj-on (Fun.swap k m h)  $\{i. i < \text{Suc } m\}$  using inj-hm nSuc by simp
show ?hm  $m \notin A$  by (simp add: swap-def hkeq anot)
show insert (?hm m)  $A = ?hm \text{ ` } \{i. i < \text{Suc } m\}$ 
using aA hkeq nSuc klessn
by (auto simp add: swap-def image-less-Suc fun-upd-image
less-Suc-eq inj-on-image-set-diff [OF inj-on])

qed
qed
qed

context fun-left-comm
begin

lemma fold-graph-determ-aux:
 $A = h \text{ ` } \{i::\text{nat}. i < n\} \implies \text{inj-on } h \ \{i. i < n\}$ 
 $\implies \text{fold-graph } f \ z \ A \ x \implies \text{fold-graph } f \ z \ A \ x'$ 
 $\implies x' = x$ 
proof (induct n arbitrary:  $A \ x \ x' \ h$  rule: less-induct)
case (less n)
have IH:  $\bigwedge m \ h \ A \ x \ x'. m < n \implies A = h \text{ ` } \{i. i < m\}$ 
 $\implies \text{inj-on } h \ \{i. i < m\} \implies \text{fold-graph } f \ z \ A \ x$ 
 $\implies \text{fold-graph } f \ z \ A \ x' \implies x' = x$  by fact
have Afoldx:  $\text{fold-graph } f \ z \ A \ x$  and Afoldx':  $\text{fold-graph } f \ z \ A \ x'$ 
and A:  $A = h \text{ ` } \{i. i < n\}$  and injh: inj-on  $h \ \{i. i < n\}$  by fact+
show ?case
proof (rule fold-graph.cases [OF Afoldx])
assume  $A = \{\}$  and  $x = z$ 
with Afoldx' show  $x' = x$  by auto
next
fix B b u
assume AbB:  $A = \text{insert } b \ B$  and x:  $x = f \ b \ u$ 
and notinB:  $b \notin B$  and Bu:  $\text{fold-graph } f \ z \ B \ u$ 
show  $x' = x$ 
proof (rule fold-graph.cases [OF Afoldx'])

```

```

    assume  $A = \{\}$  and  $x' = z$ 
    with  $AbB$  show  $x' = x$  by blast
next
fix  $C\ c\ v$ 
assume  $AcC$ :  $A = \text{insert } c\ C$  and  $x'$ :  $x' = f\ c\ v$ 
  and  $\text{notin}C$ :  $c \notin C$  and  $Cv$ :  $\text{fold-graph } f\ z\ C\ v$ 
from  $A\ AbB$  have  $Beq$ :  $\text{insert } b\ B = h'\{i. i < n\}$  by simp
from  $\text{insert-inj-onE}$  [OF  $Beq\ \text{notin}B\ \text{inh}$ ]
obtain  $hB\ mB$  where  $\text{inj-on}B$ :  $\text{inj-on } hB\ \{i. i < mB\}$ 
  and  $Beq$ :  $B = hB\ '\{i. i < mB\}$  and  $\text{less}B$ :  $mB < n$  by auto
from  $A\ AcC$  have  $Ceq$ :  $\text{insert } c\ C = h'\{i. i < n\}$  by simp
from  $\text{insert-inj-onE}$  [OF  $Ceq\ \text{notin}C\ \text{inh}$ ]
obtain  $hC\ mC$  where  $\text{inj-on}C$ :  $\text{inj-on } hC\ \{i. i < mC\}$ 
  and  $Ceq$ :  $C = hC\ '\{i. i < mC\}$  and  $\text{less}C$ :  $mC < n$  by auto
show  $x' = x$ 
proof cases
  assume  $b = c$ 
  then moreover have  $B = C$  using  $AbB\ AcC\ \text{notin}B\ \text{notin}C$  by auto
  ultimately show ?thesis using  $Bu\ Cv\ x\ x'\ IH$  [OF  $\text{less}C\ Ceq\ \text{inj-on}C$ ]
    by auto
next
assume  $\text{diff}$ :  $b \neq c$ 
let  $?D = B - \{c\}$ 
have  $B$ :  $B = \text{insert } c\ ?D$  and  $C$ :  $C = \text{insert } b\ ?D$ 
  using  $AbB\ AcC\ \text{notin}B\ \text{notin}C\ \text{diff}$  by (blast elim!:equalityE)+
have  $\text{finite } A$  by (rule fold-graph-imp-finite [OF  $A\text{fold}x$ ])
with  $AbB$  have  $\text{finite } ?D$  by simp
then obtain  $d$  where  $D\text{fold}d$ :  $\text{fold-graph } f\ z\ ?D\ d$ 
  using  $\text{finite-imp-fold-graph}$  by iprover
moreover have  $\text{cin}B$ :  $c \in B$  using  $B$  by auto
ultimately have  $\text{fold-graph } f\ z\ B\ (f\ c\ d)$  by (rule Diff1-fold-graph)
hence  $f\ c\ d = u$  by (rule IH [OF  $\text{less}B\ Beq\ \text{inj-on}B\ Bu$ ])
moreover have  $f\ b\ d = v$ 
proof (rule IH [OF  $\text{less}C\ Ceq\ \text{inj-on}C\ Cv$ ])
  show  $\text{fold-graph } f\ z\ C\ (f\ b\ d)$  using  $C\ \text{notin}B\ D\text{fold}d$  by fastsimp
qed
ultimately show ?thesis
  using  $\text{fun-left-comm}$  [of  $c\ b$ ]  $x\ x'$  by (auto simp add: o-def)
qed
qed
qed
qed

lemma fold-graph-determ:
  fold-graph  $f\ z\ A\ x \implies \text{fold-graph } f\ z\ A\ y \implies y = x$ 
apply (frule fold-graph-imp-finite [THEN finite-imp-nat-seg-image-inj-on])
apply (blast intro: fold-graph-determ-aux [rule-format])
done

```



**lemma** *fold-equality*:  
 $\text{fold-graph } f \ z \ A \ y \implies \text{fold } f \ z \ A = y$   
**by** (*unfold fold-def*) (*blast intro: fold-graph-determ*)

The base case for *fold*:

**lemma** (*in -*) *fold-empty* [*simp*]:  $\text{fold } f \ z \ \{\} = z$   
**by** (*unfold fold-def*) *blast*

The various recursion equations for *fold*:

**lemma** *fold-insert-aux*:  $x \notin A$   
 $\implies \text{fold-graph } f \ z \ (\text{insert } x \ A) \ v \longleftrightarrow$   
 $(\exists y. \text{fold-graph } f \ z \ A \ y \wedge v = f \ x \ y)$   
**apply** *auto*  
**apply** (*rule-tac*  $A1 = A$  **and**  $f1 = f$  **in** *finite-imp-fold-graph* [*THEN exE*])  
**apply** (*fastsimp dest: fold-graph-imp-finite*)  
**apply** (*blast intro: fold-graph-determ*)  
**done**

**lemma** *fold-insert* [*simp*]:  
 $\text{finite } A \implies x \notin A \implies \text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ A)$   
**apply** (*simp add: fold-def fold-insert-aux*)  
**apply** (*rule the-equality*)  
**apply** (*auto intro: finite-imp-fold-graph*  
*cong add: conj-cong simp add: fold-def[symmetric] fold-equality*)  
**done**

**lemma** *fold-fun-comm*:  
 $\text{finite } A \implies f \ x \ (\text{fold } f \ z \ A) = \text{fold } f \ (f \ x \ z) \ A$   
**proof** (*induct rule: finite-induct*)  
**case empty then show** *?case* **by** *simp*  
**next**  
**case** (*insert y A*) **then show** *?case*  
**by** (*simp add: fun-left-comm[of x]*)  
**qed**

**lemma** *fold-insert2*:  
 $\text{finite } A \implies x \notin A \implies \text{fold } f \ z \ (\text{insert } x \ A) = \text{fold } f \ (f \ x \ z) \ A$   
**by** (*simp add: fold-insert fold-fun-comm*)

**lemma** *fold-rec*:  
**assumes** *finite A* **and**  $x \in A$   
**shows**  $\text{fold } f \ z \ A = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$   
**proof** -  
**have**  $A: A = \text{insert } x \ (A - \{x\})$  **using**  $\langle x \in A \rangle$  **by** *blast*  
**then have**  $\text{fold } f \ z \ A = \text{fold } f \ z \ (\text{insert } x \ (A - \{x\}))$  **by** *simp*  
**also have**  $\dots = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$   
**by** (*rule fold-insert*) (*simp add: finite A*)  
**finally show** *?thesis* .  
**qed**

```

lemma fold-insert-remove:
  assumes finite A
  shows  $\text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$ 
proof –
  from  $\langle \text{finite } A \rangle$  have finite (insert x A) by auto
  moreover have  $x \in \text{insert } x \ A$  by auto
  ultimately have  $\text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ (\text{insert } x \ A - \{x\}))$ 
    by (rule fold-rec)
  then show ?thesis by simp
qed

end

```

A simplified version for idempotent functions:

```

locale fun-left-comm-idem = fun-left-comm +
  assumes fun-left-idem:  $f \ x \ (f \ x \ z) = f \ x \ z$ 
begin

```

The nice version:

```

lemma fun-comp-idem :  $f \ x \ o \ f \ x = f \ x$ 
by (simp add: fun-left-idem expand-fun-eq)

lemma fold-insert-idem:
  assumes fin: finite A
  shows  $\text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ A)$ 
proof cases
  assume  $x \in A$ 
  then obtain B where  $A = \text{insert } x \ B$  and  $x \notin B$  by (rule set-insert)
  then show ?thesis using assms by (simp add: fun-left-idem)
next
  assume  $x \notin A$  then show ?thesis using assms by simp
qed

```

```

declare fold-insert[simp del] fold-insert-idem[simp]

```

```

lemma fold-insert-idem2:
   $\text{finite } A \implies \text{fold } f \ z \ (\text{insert } x \ A) = \text{fold } f \ (f \ x \ z) \ A$ 
by(simp add: fold-fun-comm)

```

**end**

### 17.3.2 The derived combinator *fold-image*

```

definition fold-image ::  $('b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'a \ \text{set} \Rightarrow 'b$ 
where  $\text{fold-image } f \ g = \text{fold } (\%x \ y. f \ (g \ x) \ y)$ 

```

```

lemma fold-image-empty[simp]:  $\text{fold-image } f \ g \ z \ \{\} = z$ 
by(simp add: fold-image-def)

```

**context** *ab-semigroup-mult*  
**begin**

**lemma** *fold-image-insert[simp]*:  
**assumes** *finite A* **and**  $a \notin A$   
**shows** *fold-image times g z (insert a A) = g a \* (fold-image times g z A)*  
**proof** –  
   **interpret** *I: fun-left-comm*  $\%x\ y. (g\ x) * y$   
   **by** *unfold-locales (simp add: mult-ac)*  
   **show** *?thesis* **using** *assms* **by** *(simp add: fold-image-def I.fold-insert)*  
**qed**

**lemma** *fold-image-reindex*:  
**assumes** *fin: finite A*  
**shows** *inj-on h A  $\implies$  fold-image times g z (h `A) = fold-image times (g o h) z A*  
**using** *fin* **apply** *induct*  
   **apply** *simp*  
   **apply** *simp*  
**done**

**lemma** *fold-image-cong*:  
   *finite A  $\implies$*   
   *(!!x. x:A ==> g x = h x) ==> fold-image times g z A = fold-image times h z A*  
**apply** *(subgoal-tac ALL C. C <= A --> (ALL x:C. g x = h x) --> fold-image times g z C = fold-image times h z C)*  
   **apply** *simp*  
   **apply** *(erule finite-induct, simp)*  
   **apply** *(simp add: subset-insert-iff, clarify)*  
   **apply** *(subgoal-tac finite C)*  
   **prefer** 2 **apply** *(blast dest: finite-subset [COMP swap-prems-rl])*  
   **apply** *(subgoal-tac C = insert x (C - {x}))*  
   **prefer** 2 **apply** *blast*  
   **apply** *(erule ssubst)*  
   **apply** *(erule spec)*  
   **apply** *(erule (1) notE impE)*  
   **apply** *(simp add: Ball-def del: insert-Diff-single)*  
**done**

**end**

**context** *comm-monoid-mult*  
**begin**

**lemma** *fold-image-Un-Int*:

```

finite A ==> finite B ==>
  fold-image times g 1 A * fold-image times g 1 B =
  fold-image times g 1 (A Un B) * fold-image times g 1 (A Int B)
by (induct set: finite)
  (auto simp add: mult-ac insert-absorb Int-insert-left)

```

**corollary** *fold-Un-disjoint:*

```

finite A ==> finite B ==> A Int B = {} ==>
  fold-image times g 1 (A Un B) =
  fold-image times g 1 A * fold-image times g 1 B
by (simp add: fold-image-Un-Int)

```

**lemma** *fold-image-UN-disjoint:*

```

[[ finite I; ALL i:I. finite (A i);
  ALL i:I. ALL j:I. i ≠ j --> A i Int A j = {} ]]
==> fold-image times g 1 (UNION I A) =
  fold-image times (%i. fold-image times g 1 (A i)) 1 I
apply (induct set: finite, simp, atomize)
apply (subgoal-tac ALL i:F. x ≠ i)
prefer 2 apply blast
apply (subgoal-tac A x Int UNION F A = {})
prefer 2 apply blast
apply (simp add: fold-Un-disjoint)
done

```

**lemma** *fold-image-Sigma:*  $\text{finite } A \implies \text{ALL } x:A. \text{finite } (B x) \implies$

```

  fold-image times (%x. fold-image times (g x) 1 (B x)) 1 A =
  fold-image times (split g) 1 (SIGMA x:A. B x)
apply (subst Sigma-def)
apply (subst fold-image-UN-disjoint, assumption, simp)
  apply blast
apply (erule fold-image-cong)
apply (subst fold-image-UN-disjoint, simp, simp)
  apply blast
apply simp
done

```

**lemma** *fold-image-distrib:*  $\text{finite } A \implies$

```

  fold-image times (%x. g x * h x) 1 A =
  fold-image times g 1 A * fold-image times h 1 A
by (erule finite-induct) (simp-all add: mult-ac)

```

**lemma** *fold-image-related:*

```

assumes Re: R e e
and Rop: ∀ x1 y1 x2 y2. R x1 x2 ∧ R y1 y2 ⟶ R (x1 * y1) (x2 * y2)
and fS: finite S and Rfg: ∀ x∈S. R (h x) (g x)
shows R (fold-image (op *) h e S) (fold-image (op *) g e S)
using fS by (rule finite-subset-induct) (insert assms, auto)

```

**lemma** *fold-image-eq-general*:

**assumes** *fS*: *finite S*

**and** *h*:  $\forall y \in S'. \exists! x. x \in S \wedge h(x) = y$

**and** *f12*:  $\forall x \in S. h\ x \in S' \wedge f2(h\ x) = f1\ x$

**shows** *fold-image* (*op* \*) *f1* *e S* = *fold-image* (*op* \*) *f2* *e S'*

**proof** –

**from** *h f12* **have** *hS*:  $h\ ` S = S'$  **by** *auto*

**{fix** *x y* **assume** *H*:  $x \in S\ y \in S\ h\ x = h\ y$

**from** *f12 h H* **have**  $x = y$  **by** *auto* **}**

**hence** *hinj*: *inj-on* *h S* **unfolding** *inj-on-def Ex1-def* **by** *blast*

**from** *f12* **have** *th*:  $\bigwedge x. x \in S \implies (f2 \circ h)\ x = f1\ x$  **by** *auto*

**from** *hS* **have** *fold-image* (*op* \*) *f2* *e S'* = *fold-image* (*op* \*) *f2* *e* ( $h\ ` S$ ) **by**

*simp*

**also** **have**  $\dots = \text{fold-image } (op\ *)\ (f2\ o\ h)\ e\ S$

**using** *fold-image-reindex*[*OF fS hinj*, *of f2 e*].

**also** **have**  $\dots = \text{fold-image } (op\ *)\ f1\ e\ S$  **using** *th fold-image-cong*[*OF fS*, *of f2 o h f1 e*]

**by** *blast*

**finally** **show** *?thesis* ..

**qed**

**lemma** *fold-image-eq-general-inverses*:

**assumes** *fS*: *finite S*

**and** *kh*:  $\bigwedge y. y \in T \implies k\ y \in S \wedge h\ (k\ y) = y$

**and** *hk*:  $\bigwedge x. x \in S \implies h\ x \in T \wedge k\ (h\ x) = x \wedge g\ (h\ x) = f\ x$

**shows** *fold-image* (*op* \*) *f* *e S* = *fold-image* (*op* \*) *g* *e T*

**apply** (*rule fold-image-eq-general*[*OF fS*, *of T h g f e*])

**apply** (*rule ballI*)

**apply** (*frule kh*)

**apply** (*rule ex1I*[])

**apply** *blast*

**apply** *clarsimp*

**apply** (*drule hk*) **apply** *simp*

**apply** (*rule sym*)

**apply** (*erule conjunct1*[*OF conjunct2*[*OF hk*]])

**apply** (*rule ballI*)

**apply** (*drule hk*)

**apply** *blast*

**done**

**end**

## 17.4 Generalized summation over a set

**interpretation** *comm-monoid-add*: *comm-monoid-mult* *0::'a::comm-monoid-add*  
*op* +

**proof** **qed** (*auto intro: add-assoc add-commute*)

**definition**  $setsum :: ('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow 'b::comm-monoid-add$   
**where**  $setsum\ f\ A == \text{if finite } A \text{ then fold-image } (op\ +)\ f\ 0\ A \text{ else } 0$

**abbreviation**

$Setsum\ (\sum - [1000]\ 999) \text{ where}$   
 $\sum A == setsum\ (\%x. x)\ A$

Now: lot's of fancy syntax. First,  $setsum\ (\lambda x. e)\ A$  is written  $\sum x \in A. e$ .

**syntax**

$-setsum :: pttrn \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b::comm-monoid-add\ ((\mathcal{S}SUM\ -::-.)\ [0, 51, 10]\ 10)$

**syntax** (*xsymbols*)

$-setsum :: pttrn \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b::comm-monoid-add\ ((\mathcal{S}\sum\ -\in-.)\ [0, 51, 10]\ 10)$

**syntax** (*HTML output*)

$-setsum :: pttrn \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b::comm-monoid-add\ ((\mathcal{S}\sum\ -\in-.)\ [0, 51, 10]\ 10)$

**translations** — Beware of argument permutation!

$SUM\ i:A. b == CONST\ setsum\ (\%i. b)\ A$   
 $\sum i \in A. b == CONST\ setsum\ (\%i. b)\ A$

Instead of  $\sum x \in \{x. P\}. e$  we introduce the shorter  $\sum x | P. e$ .

**syntax**

$-qsetsum :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a\ ((\mathcal{S}SUM\ -\ |/\ -/)\ [0,0,10]\ 10)$

**syntax** (*xsymbols*)

$-qsetsum :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a\ ((\mathcal{S}\sum\ -\ |(-)/)\ [0,0,10]\ 10)$

**syntax** (*HTML output*)

$-qsetsum :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a\ ((\mathcal{S}\sum\ -\ |(-)/)\ [0,0,10]\ 10)$

**translations**

$SUM\ x|P. t \Rightarrow CONST\ setsum\ (\%x. t)\ \{x. P\}$   
 $\sum x | P. t \Rightarrow CONST\ setsum\ (\%x. t)\ \{x. P\}$

**print-translation**  $\ll$

*let*

$fun\ setsum-tr'\ [Abs(x, Tx, t), Const\ (Collect, -)\ \$\ Abs(y, Ty, P)] =$

$\text{if } x <> y \text{ then raise Match}$

$\text{else let val } x' = Syntax.mark-bound\ x$

$\text{val } t' = subst-bound(x', t)$

$\text{val } P' = subst-bound(x', P)$

$\text{in } Syntax.const\ -qsetsum\ \$\ Syntax.mark-bound\ x\ \$\ P'\ \$\ t' \text{ end}$

*in*  $[(setsum, setsum-tr')]$  *end*

$\gg$

**lemma**  $setsum-empty\ [simp]: setsum\ f\ \{\} = 0$

**by** ( $simp\ add: setsum-def$ )

**lemma** *setsum-insert* [simp]:

*finite F ==> a ∉ F ==> setsum f (insert a F) = f a + setsum f F*  
**by** (simp add: setsum-def)

**lemma** *setsum-infinitesimal* [simp]:  $\sim \text{finite } A \implies \text{setsum } f \ A = 0$

**by** (simp add: setsum-def)

**lemma** *setsum-reindex*:

*inj-on f B ==> setsum h (f ` B) = setsum (h ∘ f) B*  
**by**(auto simp add: setsum-def comm-monoid-add.fold-image-reindex dest!:finite-imageD)

**lemma** *setsum-reindex-id*:

*inj-on f B ==> setsum f B = setsum id (f ` B)*  
**by** (auto simp add: setsum-reindex)

**lemma** *setsum-reindex-nonzero*:

**assumes** *fS*: *finite S*  
**and** *nz*:  $\bigwedge x y. x \in S \implies y \in S \implies x \neq y \implies f x = f y \implies h (f x) = 0$   
**shows** *setsum h (f ` S) = setsum (h ∘ f) S*

**using** *nz*

**proof**(*induct rule: finite-induct[OF fS]*)

**case 1 thus** ?*case* **by** *simp*

**next**

**case** (2 *x F*)

{**assume** *fxF*: *f x ∈ f ` F* **hence**  $\exists y \in F. f y = f x$  **by** *auto*  
**then obtain** *y* **where** *y*: *y ∈ F f x = f y* **by** *auto*  
**from** 2.*hyps y* **have** *xy*: *x ≠ y* **by** *auto*

**from** 2.*prems*[*of x y*] 2.*hyps xy y* **have** *h0*: *h (f x) = 0* **by** *simp*  
**have** *setsum h (f ` insert x F) = setsum h (f ` F)* **using** *fxF* **by** *auto*  
**also have** ... = *setsum (h ∘ f) (insert x F)*  
**unfolding** *setsum-insert*[*OF*  $\langle \text{finite } F \rangle \langle x \notin F \rangle$ ]  
**using** *h0*  
**apply** *simp*  
**apply** (*rule* 2.*hyps*(3))  
**apply** (*rule-tac y=y in* 2.*prems*)  
**apply** *simp-all*  
**done**

**finally have** ?*case* .}

**moreover**

{**assume** *fxF*: *f x ∉ f ` F*  
**have** *setsum h (f ` insert x F) = h (f x) + setsum h (f ` F)*  
**using** *fxF* 2.*hyps* **by** *simp*  
**also have** ... = *setsum (h ∘ f) (insert x F)*  
**unfolding** *setsum-insert*[*OF*  $\langle \text{finite } F \rangle \langle x \notin F \rangle$ ]  
**apply** *simp*  
**apply** (*rule cong*[*OF refl*[*of op + (h (f x))*]]])  
**apply** (*rule* 2.*hyps*(3))  
**apply** (*rule-tac y=y in* 2.*prems*)

```

    apply simp-all
  done
  finally have ?case .}
  ultimately show ?case by blast
qed

```

**lemma** *setsum-cong*:

$A = B \implies (\!\! \lambda x. x:B \implies f\ x = g\ x) \implies \text{setsum } f\ A = \text{setsum } g\ B$   
**by** (*fastsimp simp: setsum-def intro: comm-monoid-add.fold-image-cong*)

**lemma** *strong-setsum-cong*[*cong*]:

$A = B \implies (\!\! \lambda x. x:B \implies f\ x = g\ x) \implies \text{setsum } (\!\! \lambda x. f\ x)\ A = \text{setsum } (\!\! \lambda x. g\ x)\ B$   
**by** (*fastsimp simp: simp-implies-def setsum-def intro: comm-monoid-add.fold-image-cong*)

**lemma** *setsum-cong2*:  $\llbracket \bigwedge x. x \in A \implies f\ x = g\ x \rrbracket \implies \text{setsum } f\ A = \text{setsum } g\ A$   
**by** (*rule setsum-cong[OF refl], auto*)

**lemma** *setsum-reindex-cong*:

$\llbracket \text{inj-on } f\ A; B = f\ ` A; \!\! \lambda a. a:A \implies g\ a = h\ (f\ a) \rrbracket \implies \text{setsum } h\ B = \text{setsum } g\ A$   
**by** (*simp add: setsum-reindex cong: setsum-cong*)

**lemma** *setsum-0*[*simp*]:  $\text{setsum } (\!\! \lambda i. 0)\ A = 0$   
**apply** (*clarsimp simp: setsum-def*)  
**apply** (*erule finite-induct, auto*)  
**done**

**lemma** *setsum-0'*:  $\text{ALL } a:A. f\ a = 0 \implies \text{setsum } f\ A = 0$   
**by** (*simp add: setsum-cong*)

**lemma** *setsum-Un-Int*:  $\text{finite } A \implies \text{finite } B \implies \text{setsum } g\ (A \cup B) + \text{setsum } g\ (A \cap B) = \text{setsum } g\ A + \text{setsum } g\ B$   
 — The reversed orientation looks more natural, but LOOPS as a simp rule!  
**by** (*simp add: setsum-def comm-monoid-add.fold-image-Un-Int [symmetric]*)

**lemma** *setsum-Un-disjoint*:  $\text{finite } A \implies \text{finite } B \implies A \cap B = \{\} \implies \text{setsum } g\ (A \cup B) = \text{setsum } g\ A + \text{setsum } g\ B$   
**by** (*subst setsum-Un-Int [symmetric], auto*)

**lemma** *setsum-mono-zero-left*:

**assumes** *fT*:  $\text{finite } T$  **and** *ST*:  $S \subseteq T$   
**and** *z*:  $\forall i \in T - S. f\ i = 0$   
**shows**  $\text{setsum } f\ S = \text{setsum } f\ T$   
**proof** —  
**have** *eq*:  $T = S \cup (T - S)$  **using** *ST* **by** *blast*  
**have** *d*:  $S \cap (T - S) = \{\}$  **using** *ST* **by** *blast*  
**from** *fT ST* **have** *f*:  $\text{finite } S \text{ finite } (T - S)$  **by** (*auto intro: finite-subset*)



**show** *?thesis*  
**by** (*simp add: setsum-Un-disjoint*[*OF f d, unfolded eq[symmetric]*] *setsum-0'*[*OF z*])  
**qed**

**lemma** *setsum-mono-zero-right*:

*finite T  $\implies S \subseteq T \implies \forall i \in T - S. f i = 0 \implies \text{setsum } f T = \text{setsum } f S$*   
**by**(*blast intro!: setsum-mono-zero-left[symmetric]*)

**lemma** *setsum-mono-zero-cong-left*:

**assumes** *fT: finite T and ST: S  $\subseteq$  T*  
**and** *z:  $\forall i \in T - S. g i = 0$*   
**and** *fg:  $\bigwedge x. x \in S \implies f x = g x$*   
**shows** *setsum f S = setsum g T*

**proof**–

**have** *eq: T = S  $\cup$  (T - S) using ST by blast*  
**have** *d: S  $\cap$  (T - S) = {} using ST by blast*  
**from** *fT ST have f: finite S finite (T - S) by (auto intro: finite-subset)*  
**show** *?thesis*  
**using** *fg by (simp add: setsum-Un-disjoint*[*OF f d, unfolded eq[symmetric]*] *setsum-0'*[*OF z*])  
**qed**

**lemma** *setsum-mono-zero-cong-right*:

**assumes** *fT: finite T and ST: S  $\subseteq$  T*  
**and** *z:  $\forall i \in T - S. f i = 0$*   
**and** *fg:  $\bigwedge x. x \in S \implies f x = g x$*   
**shows** *setsum f T = setsum g S*  
**using** *setsum-mono-zero-cong-left*[*OF fT ST z*] *fg[symmetric]* **by** *auto*

**lemma** *setsum-delta*:

**assumes** *fS: finite S*  
**shows** *setsum ( $\lambda k. \text{if } k=a \text{ then } b \text{ } k \text{ else } 0$ ) S = (if } a \in S \text{ then } b \text{ } a \text{ else } 0)*

**proof**–

**let** *?f = ( $\lambda k. \text{if } k=a \text{ then } b \text{ } k \text{ else } 0$ )*  
**{assume** *a: a  $\notin$  S*  
**hence**  *$\forall k \in S. ?f k = 0$  by simp*  
**hence** *?thesis using a by simp}*  
**moreover**  
**{assume** *a: a  $\in$  S*  
**let** *?A = S - {a}*  
**let** *?B = {a}*  
**have** *eq: S = ?A  $\cup$  ?B using a by blast*  
**have** *dj: ?A  $\cap$  ?B = {} by simp*  
**from** *fS have fAB: finite ?A finite ?B by auto*  
**have** *setsum ?f S = setsum ?f ?A + setsum ?f ?B*  
**using** *setsum-Un-disjoint*[*OF fAB dj, of ?f, unfolded eq[symmetric]*]  
**by** *simp*  
**then have** *?thesis using a by simp}*

**ultimately show** *?thesis* **by** *blast*  
**qed**

**lemma** *setsum-delta'*:

**assumes** *fS*: *finite S* **shows**  
 $\text{setsum } (\lambda k. \text{ if } a = k \text{ then } b \ k \text{ else } 0) \ S =$   
 $(\text{if } a \in S \text{ then } b \ a \text{ else } 0)$   
**using** *setsum-delta*[*OF fS*, *of a b*, *symmetric*]  
**by** (*auto intro: setsum-cong*)

**lemma** *setsum-restrict-set*:

**assumes** *fA*: *finite A*  
**shows**  $\text{setsum } f \ (A \cap B) = \text{setsum } (\lambda x. \text{ if } x \in B \text{ then } f \ x \text{ else } 0) \ A$   
**proof**–  
**from** *fA* **have** *fab*: *finite (A ∩ B)* **by** *auto*  
**have** *aba*:  $A \cap B \subseteq A$  **by** *blast*  
**let** *?g* =  $\lambda x. \text{ if } x \in A \cap B \text{ then } f \ x \text{ else } 0$   
**from** *setsum-mono-zero-left*[*OF fA aba*, *of ?g*]  
**show** *?thesis* **by** *simp*  
**qed**

**lemma** *setsum-cases*:

**assumes** *fA*: *finite A*  
**shows**  $\text{setsum } (\lambda x. \text{ if } x \in B \text{ then } f \ x \text{ else } g \ x) \ A =$   
 $\text{setsum } f \ (A \cap B) + \text{setsum } g \ (A \cap -B)$   
**proof**–  
**have** *a*:  $A = A \cap B \cup A \cap -B \ (A \cap B) \cap (A \cap -B) = \{\}$   
**by** *blast+*  
**from** *fA*  
**have** *f*: *finite (A ∩ B)* *finite (A ∩ -B)* **by** *auto*  
**let** *?g* =  $\lambda x. \text{ if } x \in B \text{ then } f \ x \text{ else } g \ x$   
**from** *setsum-Un-disjoint*[*OF f a(2)*, *of ?g*] *a(1)*  
**show** *?thesis* **by** *simp*  
**qed**

**lemma** *setsum-UN-disjoint*:

$\text{finite } I \implies (\text{ALL } i:I. \text{ finite } (A \ i)) \implies$   
 $(\text{ALL } i:I. \text{ ALL } j:I. i \neq j \implies A \ i \ \text{Int } A \ j = \{\}) \implies$   
 $\text{setsum } f \ (\text{UNION } I \ A) = (\sum i \in I. \text{ setsum } f \ (A \ i))$   
**by**(*simp add: setsum-def comm-monoid-add.fold-image-UN-disjoint cong: setsum-cong*)

No need to assume that  $C$  is finite. If infinite, the rhs is directly 0, and  $\bigcup C$  is also infinite, hence the lhs is also 0.

**lemma** *setsum-Union-disjoint*:

$\llbracket (\text{ALL } A:C. \text{ finite } A);$   
 $(\text{ALL } A:C. \text{ ALL } B:C. A \neq B \implies A \ \text{Int } B = \{\}) \rrbracket$   
 $\implies \text{setsum } f \ (\text{Union } C) = \text{setsum } (\text{setsum } f) \ C$   
**apply** (*cases finite C*)

```

prefer 2 apply (force dest: finite-UnionD simp add: setsum-def)
apply (erule setsum-UN-disjoint [of C id f])
apply (unfold Union-def id-def, assumption+)
done

```

```

lemma setsum-Sigma: finite A ==> ALL x:A. finite (B x) ==>
  (∑ x∈A. (∑ y∈B x. f x y)) = (∑ (x,y)∈(SIGMA x:A. B x). f x y)
by(simp add:setsum-def comm-monoid-add.fold-image-Sigma split-def cong:setsum-cong)

```

Here we can eliminate the finiteness assumptions, by cases.

```

lemma setsum-cartesian-product:
  (∑ x∈A. (∑ y∈B. f x y)) = (∑ (x,y) ∈ A <*> B. f x y)
apply (cases finite A)
apply (cases finite B)
apply (simp add: setsum-Sigma)
apply (cases A={}, simp)
apply (simp)
apply (auto simp add: setsum-def
  dest: finite-cartesian-productD1 finite-cartesian-productD2)
done

```

```

lemma setsum-addf: setsum (%x. f x + g x) A = (setsum f A + setsum g A)
by(simp add:setsum-def comm-monoid-add.fold-image-distrib)

```

#### 17.4.1 Properties in more restricted classes of structures

```

lemma setsum-SucD: setsum f A = Suc n ==> EX a:A. 0 < f a
apply (case-tac finite A)
prefer 2 apply (simp add: setsum-def)
apply (erule rev-mp)
apply (erule finite-induct, auto)
done

```

```

lemma setsum-eq-0-iff [simp]:
  finite F ==> (setsum f F = 0) = (ALL a:F. f a = (0::nat))
by (induct set: finite) auto

```

```

lemma setsum-eq-Suc0-iff: finite A ==>
  (setsum f A = Suc 0) = (EX a:A. f a = Suc 0 & (ALL b:A. a≠b → f b = 0))
apply(erule finite-induct)
apply (auto simp add:add-is-1)
done

```

```

lemmas setsum-eq-1-iff = setsum-eq-Suc0-iff[simplified One-nat-def[symmetric]]

```

```

lemma setsum-Un-nat: finite A ==> finite B ==>
  (setsum f (A Un B) :: nat) = setsum f A + setsum f B - setsum f (A Int B)
  — For the natural numbers, we have subtraction.

```

**by** (*subst setsum-Un-Int [symmetric], auto simp add: algebra-simps*)

**lemma** *setsum-Un: finite A ==> finite B ==>*

*(setsum f (A Un B) :: 'a :: ab-group-add) =*  
*setsum f A + setsum f B - setsum f (A Int B)*

**by** (*subst setsum-Un-Int [symmetric], auto simp add: algebra-simps*)

**lemma** (*in comm-monoid-mult*) *fold-image-1: finite S ==> ( $\forall x \in S. f x = 1$ ) ==>*  
*fold-image op \* f 1 S = 1*

**apply** (*induct set: finite*)

**apply** *simp by (auto simp add: fold-image-insert)*

**lemma** (*in comm-monoid-mult*) *fold-image-Un-one:*

**assumes** *fS: finite S and fT: finite T*

**and** *I0:  $\forall x \in S \cap T. f x = 1$*

**shows** *fold-image (op \*) f 1 (S  $\cup$  T) = fold-image (op \*) f 1 S \* fold-image (op \*) f 1 T*

**proof**–

**have** *fold-image op \* f 1 (S  $\cap$  T) = 1*

**apply** (*rule fold-image-1*)

**using** *fS fT I0 by auto*

**with** *fold-image-Un-Int[OF fS fT]* **show** *?thesis by simp*

**qed**

**lemma** *setsum-eq-general-reverses:*

**assumes** *fS: finite S and fT: finite T*

**and** *kh:  $\bigwedge y. y \in T \implies k y \in S \wedge h (k y) = y$*

**and** *hk:  $\bigwedge x. x \in S \implies h x \in T \wedge k (h x) = x \wedge g (h x) = f x$*

**shows** *setsum f S = setsum g T*

**apply** (*simp add: setsum-def fS fT*)

**apply** (*rule comm-monoid-add.fold-image-eq-general-inverses[OF fS]*)

**apply** (*erule kh*)

**apply** (*erule hk*)

**done**

**lemma** *setsum-Un-zero:*

**assumes** *fS: finite S and fT: finite T*

**and** *I0:  $\forall x \in S \cap T. f x = 0$*

**shows** *setsum f (S  $\cup$  T) = setsum f S + setsum f T*

**using** *fS fT*

**apply** (*simp add: setsum-def*)

**apply** (*rule comm-monoid-add.fold-image-Un-one*)

**using** *I0 by auto*

**lemma** *setsum-UNION-zero:*

**assumes** *fS: finite S and fSS:  $\forall T \in S. finite T$*

```

and f0:  $\bigwedge T1\ T2\ x.\ T1 \in S \implies T2 \in S \implies T1 \neq T2 \implies x \in T1 \implies x \in T2$ 
 $\implies f\ x = 0$ 
shows setsum f ( $\bigcup S$ ) = setsum ( $\lambda T.\ \text{setsum } f\ T$ ) S
using fSS f0
proof(induct rule: finite-induct[OF fS])
  case 1 thus ?case by simp
next
  case (2 T F)
  then have fTF: finite T  $\forall T \in F.$  finite T finite F and TF:  $T \notin F$ 
    and H: setsum f ( $\bigcup F$ ) = setsum (setsum f) F by (auto simp add: finite-insert)
  from fTF have fUF: finite ( $\bigcup F$ ) by (auto intro: finite-Union)
  from 2.prem1 TF fTF
  show ?case
    by (auto simp add: H[symmetric] intro: setsum-Un-zero[OF fTF(1) fUF, of f])
qed

```

```

lemma setsum-diff1-nat: (setsum f (A - {a}) :: nat) =
  (if a:A then setsum f A - f a else setsum f A)
apply (case-tac finite A)
prefer 2 apply (simp add: setsum-def)
apply (erule finite-induct)
apply (auto simp add: insert-Diff-if)
apply (drule-tac a = a in mk-disjoint-insert, auto)
done

```

```

lemma setsum-diff1: finite A  $\implies$ 
  (setsum f (A - {a}) :: ('a::ab-group-add)) =
  (if a:A then setsum f A - f a else setsum f A)
by (erule finite-induct) (auto simp add: insert-Diff-if)

```

```

lemma setsum-diff1 '[rule-format]:
  finite A  $\implies a \in A \implies (\sum x \in A. f\ x) = f\ a + (\sum x \in (A - \{a\}). f\ x)$ 
apply (erule finite-induct[where F=A and P=% A. ( $a \in A \implies (\sum x \in A. f\ x) = f\ a + (\sum x \in (A - \{a\}). f\ x)$ )])
apply (auto simp add: insert-Diff-if add-ac)
done

```

```

lemma setsum-diff-nat:
assumes finite B and  $B \subseteq A$ 
shows (setsum f (A - B) :: nat) = (setsum f A) - (setsum f B)
using assms
proof induct
  show setsum f (A - {}) = (setsum f A) - (setsum f {}) by simp
next
  fix F x assume finF: finite F and xnotinF:  $x \notin F$ 

```

```

    and  $xFinA$ :  $insert\ x\ F \subseteq A$ 
    and  $IH$ :  $F \subseteq A \implies setsum\ f\ (A - F) = setsum\ f\ A - setsum\ f\ F$ 
    from  $xnotinF\ xFinA$  have  $xinAF$ :  $x \in (A - F)$  by simp
    from  $xinAF$  have  $A$ :  $setsum\ f\ ((A - F) - \{x\}) = setsum\ f\ (A - F) - f\ x$ 
      by (simp add: setsum-diff1-nat)
    from  $xFinA$  have  $F \subseteq A$  by simp
    with  $IH$  have  $setsum\ f\ (A - F) = setsum\ f\ A - setsum\ f\ F$  by simp
    with  $A$  have  $B$ :  $setsum\ f\ ((A - F) - \{x\}) = setsum\ f\ A - setsum\ f\ F - f\ x$ 
      by simp
    from  $xnotinF$  have  $A - insert\ x\ F = (A - F) - \{x\}$  by auto
    with  $B$  have  $C$ :  $setsum\ f\ (A - insert\ x\ F) = setsum\ f\ A - setsum\ f\ F - f\ x$ 
      by simp
    from  $finF\ xnotinF$  have  $setsum\ f\ (insert\ x\ F) = setsum\ f\ F + f\ x$  by simp
    with  $C$  have  $setsum\ f\ (A - insert\ x\ F) = setsum\ f\ A - setsum\ f\ (insert\ x\ F)$ 
      by simp
    thus  $setsum\ f\ (A - insert\ x\ F) = setsum\ f\ A - setsum\ f\ (insert\ x\ F)$  by simp
  qed

```

lemma *setsum-diff*:

```

    assumes  $le$ :  $finite\ A\ B \subseteq A$ 
    shows  $setsum\ f\ (A - B) = setsum\ f\ A - ((setsum\ f\ B)::('a::ab-group-add))$ 
  proof -
    from  $le$  have  $finiteB$ :  $finite\ B$  using finite-subset by auto
    show ?thesis using  $finiteB\ le$ 
    proof induct
      case empty
      thus ?case by auto
    next
      case ( $insert\ x\ F$ )
      thus ?case using  $le\ finiteB$ 
      by (simp add: Diff-insert[where  $a=x$  and  $B=F$ ] setsum-diff1 insert-absorb)
    qed
  qed

```

lemma *setsum-mono*:

```

    assumes  $le$ :  $\bigwedge i. i \in K \implies f\ (i::'a) \leq ((g\ i)::('b::\{comm-monoid-add, pordered-ab-semigroup-add\}))$ 
    shows  $(\sum i \in K. f\ i) \leq (\sum i \in K. g\ i)$ 
  proof (cases  $finite\ K$ )
    case True
    thus ?thesis using  $le$ 
    proof induct
      case empty
      thus ?case by simp
    next
      case insert
      thus ?case using add-mono by fastsimp
    qed
  next
    case False

```

```

thus ?thesis
  by (simp add: setsum-def)
qed

```

```

lemma setsum-strict-mono:
  fixes f :: 'a  $\Rightarrow$  'b::{pordered-cancel-ab-semigroup-add,comm-monoid-add}
  assumes finite A A  $\neq$  {}
  and !!x. x:A  $\implies$  f x < g x
  shows setsum f A < setsum g A
  using prems
proof (induct rule: finite-ne-induct)
  case singleton thus ?case by simp
next
  case insert thus ?case by (auto simp: add-strict-mono)
qed

```

```

lemma setsum-negf:
  setsum (%x. - (f x)::'a::ab-group-add) A = - setsum f A
proof (cases finite A)
  case True thus ?thesis by (induct set: finite) auto
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-subtractf:
  setsum (%x. ((f x)::'a::ab-group-add) - g x) A =
    setsum f A - setsum g A
proof (cases finite A)
  case True thus ?thesis by (simp add: diff-minus setsum-addf setsum-negf)
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-nonneg:
  assumes nn:  $\forall x \in A. (0::'a::\{pordered-ab-semigroup-add,comm-monoid-add\}) \leq$ 
    f x
  shows 0  $\leq$  setsum f A
proof (cases finite A)
  case True thus ?thesis using nn
  proof induct
    case empty then show ?case by simp
  next
    case (insert x F)
    then have 0 + 0  $\leq$  f x + setsum f F by (blast intro: add-mono)
    with insert show ?case by simp
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-nonpos:
  assumes np:  $\forall x \in A. f\ x \leq (0 :: 'a :: \{pordered-ab-semigroup-add, comm-monoid-add\})$ 
  shows  $setsum\ f\ A \leq 0$ 
proof (cases finite A)
  case True thus ?thesis using np
  proof induct
    case empty then show ?case by simp
  next
    case (insert x F)
    then have  $f\ x + setsum\ f\ F \leq 0 + 0$  by (blast intro: add-mono)
    with insert show ?case by simp
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-mono2:
  fixes f :: 'a  $\Rightarrow$  'b ::  $\{pordered-ab-semigroup-add-imp-le, comm-monoid-add\}$ 
  assumes fin: finite B and sub:  $A \subseteq B$  and nn:  $\bigwedge b. b \in B - A \implies 0 \leq f\ b$ 
  shows  $setsum\ f\ A \leq setsum\ f\ B$ 
proof -
  have  $setsum\ f\ A \leq setsum\ f\ A + setsum\ f\ (B - A)$ 
    by (simp add: add-increasing2[OF setsum-nonneg] nn Ball-def)
  also have  $\dots = setsum\ f\ (A \cup (B - A))$  using fin finite-subset[OF sub fin]
    by (simp add: setsum-Un-disjoint del: Un-Diff-cancel)
  also have  $A \cup (B - A) = B$  using sub by blast
  finally show ?thesis .
qed

lemma setsum-mono3: finite B  $\implies A \leq B \implies$ 
  ALL x: B - A.
   $0 \leq ((f\ x) :: 'a :: \{comm-monoid-add, pordered-ab-semigroup-add\}) \implies$ 
   $setsum\ f\ A \leq setsum\ f\ B$ 
  apply (subgoal-tac setsum f B = setsum f A + setsum f (B - A))
  apply (erule ssubst)
  apply (subgoal-tac setsum f A + 0 <= setsum f A + setsum f (B - A))
  apply simp
  apply (rule add-left-mono)
  apply (erule setsum-nonneg)
  apply (subst setsum-Un-disjoint [THEN sym])
  apply (erule finite-subset, assumption)
  apply (rule finite-subset)
  prefer 2
  apply assumption
  apply auto
  apply (rule setsum-cong)
  apply auto
done

```



```

lemma setsum-right-distrib:
  fixes  $f :: 'a \Rightarrow ('b::\text{semiring-0})$ 
  shows  $r * \text{setsum } f \ A = \text{setsum } (\%n. r * f \ n) \ A$ 
proof (cases finite A)
  case True
  thus ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A) thus ?case by (simp add: right-distrib)
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-left-distrib:
   $\text{setsum } f \ A * (r::'a::\text{semiring-0}) = (\sum n \in A. f \ n * r)$ 
proof (cases finite A)
  case True
  then show ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A) thus ?case by (simp add: left-distrib)
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-divide-distrib:
   $\text{setsum } f \ A / (r::'a::\text{field}) = (\sum n \in A. f \ n / r)$ 
proof (cases finite A)
  case True
  then show ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A) thus ?case by (simp add: add-divide-distrib)
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-abs[iff]:
  fixes  $f :: 'a \Rightarrow ('b::\text{pordered-ab-group-add-abs})$ 
  shows  $\text{abs } (\text{setsum } f \ A) \leq \text{setsum } (\%i. \text{abs}(f \ i)) \ A$ 
proof (cases finite A)
  case True

```

```

thus ?thesis
proof induct
  case empty thus ?case by simp
next
  case (insert x A)
  thus ?case by (auto intro: abs-triangle-ineq order-trans)
qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-abs-ge-zero[iff]:
  fixes f :: 'a => ('b::pordered-ab-group-add-abs)
  shows  $0 \leq \text{setsum } (\%i. \text{abs}(f\ i))\ A$ 
proof (cases finite A)
  case True
  thus ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A) thus ?case by (auto simp: add-nonneg-nonneg)
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma abs-setsum-abs[simp]:
  fixes f :: 'a => ('b::pordered-ab-group-add-abs)
  shows  $\text{abs } (\sum a \in A. \text{abs}(f\ a)) = (\sum a \in A. \text{abs}(f\ a))$ 
proof (cases finite A)
  case True
  thus ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert a A)
    hence  $|\sum a \in \text{insert } a\ A. |f\ a|| = ||f\ a| + (\sum a \in A. |f\ a|)|$  by simp
    also have  $\dots = ||f\ a| + |\sum a \in A. |f\ a||$  using insert by simp
    also have  $\dots = |f\ a| + |\sum a \in A. |f\ a||$ 
    by (simp del: abs-of-nonneg)
    also have  $\dots = (\sum a \in \text{insert } a\ A. |f\ a|)$  using insert by simp
    finally show ?case .
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

Commuting outer and inner summation

**lemma** *swap-inj-on*:

*inj-on* ( $\%(i, j). (j, i)$ ) ( $A \times B$ )  
**by** (*unfold inj-on-def*) *fast*

**lemma** *swap-product*:

( $\%(i, j). (j, i)$ )  $\text{'}$  ( $A \times B$ ) =  $B \times A$   
**by** (*simp add: split-def image-def*) *blast*

**lemma** *setsum-commute*:

( $\sum_{i \in A}. \sum_{j \in B}. f\ i\ j$ ) = ( $\sum_{j \in B}. \sum_{i \in A}. f\ i\ j$ )

**proof** (*simp add: setsum-cartesian-product*)

**have** ( $\sum (x, y) \in A <*> B. f\ x\ y$ ) =  
 ( $\sum (y, x) \in \%(i, j). (j, i) \text{'}$  ( $A \times B$ )).  $f\ x\ y$ )  
 (**is** ?s = -)

**apply** (*simp add: setsum-reindex* [**where**  $f = \%(i, j). (j, i)$ ] *swap-inj-on*)

**apply** (*simp add: split-def*)

**done**

**also have** ... = ( $\sum (y, x) \in B \times A. f\ x\ y$ )

(**is** - = ?t)

**apply** (*simp add: swap-product*)

**done**

**finally show** ?s = ?t .

**qed**

**lemma** *setsum-product*:

**fixes**  $f :: 'a \Rightarrow ('b :: \text{semiring-0})$

**shows**  $\text{setsum } f\ A * \text{setsum } g\ B = (\sum_{i \in A}. \sum_{j \in B}. f\ i * g\ j)$

**by** (*simp add: setsum-right-distrib setsum-left-distrib*) (*rule setsum-commute*)

## 17.5 Generalized product over a set

**definition** *setprod* :: ( $'a \Rightarrow 'b$ )  $\Rightarrow 'a\ \text{set} \Rightarrow 'b :: \text{comm-monoid-mult}$

**where** *setprod*  $f\ A == \text{if finite } A \text{ then fold-image } (op\ *)\ f\ 1\ A \text{ else } 1$

**abbreviation**

*Setprod* ( $\prod - [1000] 999$ ) **where**

$\prod A == \text{setprod } (\%x. x)\ A$

**syntax**

*-setprod* ::  $pttrn \Rightarrow 'a\ \text{set} \Rightarrow 'b \Rightarrow 'b :: \text{comm-monoid-mult}$  ((*3PROD* -::-. -) [0, 51, 10] 10)

**syntax** (*xsymbols*)

*-setprod* ::  $pttrn \Rightarrow 'a\ \text{set} \Rightarrow 'b \Rightarrow 'b :: \text{comm-monoid-mult}$  ((*3* $\prod$  - $\in$ -. -) [0, 51, 10] 10)

**syntax** (*HTML output*)

*-setprod* ::  $pttrn \Rightarrow 'a\ \text{set} \Rightarrow 'b \Rightarrow 'b :: \text{comm-monoid-mult}$  ((*3* $\prod$  - $\in$ -. -) [0, 51, 10] 10)

**translations** — Beware of argument permutation!

*PROD*  $i:A. b == \text{CONST } \text{setprod } (\%i. b)\ A$

$$\prod_{i \in A}. b == \text{CONST setprod } (\%i. b) A$$

Instead of  $\prod x \in \{x. P\}. e$  we introduce the shorter  $\prod x | P. e$ .

**syntax**

$$\text{-qsetprod} :: \text{pttrn} \Rightarrow \text{bool} \Rightarrow 'a \Rightarrow 'a \ ((\exists \text{PROD} - | / - / -) [0,0,10] 10)$$

**syntax** (*xsymbols*)

$$\text{-qsetprod} :: \text{pttrn} \Rightarrow \text{bool} \Rightarrow 'a \Rightarrow 'a \ ((\exists \prod - | (-) / -) [0,0,10] 10)$$

**syntax** (*HTML output*)

$$\text{-qsetprod} :: \text{pttrn} \Rightarrow \text{bool} \Rightarrow 'a \Rightarrow 'a \ ((\exists \prod - | (-) / -) [0,0,10] 10)$$

**translations**

$$\text{PROD } x | P. t \Rightarrow \text{CONST setprod } (\%x. t) \{x. P\}$$

$$\prod x | P. t \Rightarrow \text{CONST setprod } (\%x. t) \{x. P\}$$

**lemma** *setprod-empty* [*simp*]:  $\text{setprod } f \ \{\} = 1$

**by** (*auto simp add: setprod-def*)

**lemma** *setprod-insert* [*simp*]:  $[\mid \text{finite } A; a \notin A \mid] \Rightarrow$

$$\text{setprod } f \ (\text{insert } a \ A) = f \ a * \text{setprod } f \ A$$

**by** (*simp add: setprod-def*)

**lemma** *setprod-infinite* [*simp*]:  $\sim \text{finite } A \Rightarrow \text{setprod } f \ A = 1$

**by** (*simp add: setprod-def*)

**lemma** *setprod-reindex*:

$$\text{inj-on } f \ B \Rightarrow \text{setprod } h \ (f \ ' B) = \text{setprod } (h \circ f) \ B$$

**by**(*auto simp: setprod-def fold-image-reindex dest!:finite-imageD*)

**lemma** *setprod-reindex-id*:  $\text{inj-on } f \ B \Rightarrow \text{setprod } f \ B = \text{setprod } \text{id} \ (f \ ' B)$

**by** (*auto simp add: setprod-reindex*)

**lemma** *setprod-cong*:

$$A = B \Rightarrow (!x. x:B \Rightarrow f \ x = g \ x) \Rightarrow \text{setprod } f \ A = \text{setprod } g \ B$$

**by**(*fastsimp simp: setprod-def intro: fold-image-cong*)

**lemma** *strong-setprod-cong*[*cong*]:

$$A = B \Rightarrow (!x. x:B \Rightarrow f \ x = g \ x) \Rightarrow \text{setprod } f \ A = \text{setprod } g \ B$$

**by**(*fastsimp simp: simp-implies-def setprod-def intro: fold-image-cong*)

**lemma** *setprod-reindex-cong*:  $\text{inj-on } f \ A \Rightarrow$

$$B = f \ ' A \Rightarrow g = h \circ f \Rightarrow \text{setprod } h \ B = \text{setprod } g \ A$$

**by** (*frule setprod-reindex, simp*)

**lemma** *strong-setprod-reindex-cong*: **assumes** *i*:  $\text{inj-on } f \ A$

**and** *B*:  $B = f \ ' A$  **and** *eq*:  $\bigwedge x. x \in A \Rightarrow g \ x = (h \circ f) \ x$

**shows**  $\text{setprod } h \ B = \text{setprod } g \ A$

**proof**–

**have**  $\text{setprod } h \ B = \text{setprod } (h \circ f) \ A$

```

    by (simp add: B setprod-reindex[OF i, of h])
  then show ?thesis apply simp
    apply (rule setprod-cong)
    apply simp
    by (simp add: eq)
qed

```

```

lemma setprod-Un-one:
  assumes fS: finite S and fT: finite T
  and I0:  $\forall x \in S \cap T. f x = 1$ 
  shows setprod f (S  $\cup$  T) = setprod f S * setprod f T
  using fS fT
  apply (simp add: setprod-def)
  apply (rule fold-image-Un-one)
  using I0 by auto

```

```

lemma setprod-1: setprod (%i. 1) A = 1
  apply (case-tac finite A)
  apply (erule finite-induct, auto simp add: mult-ac)
  done

```

```

lemma setprod-1': ALL a:F. f a = 1 ==> setprod f F = 1
  apply (subgoal-tac setprod f F = setprod (%x. 1) F)
  apply (erule ssubst, rule setprod-1)
  apply (rule setprod-cong, auto)
  done

```

```

lemma setprod-Un-Int: finite A ==> finite B
  ==> setprod g (A Un B) * setprod g (A Int B) = setprod g A * setprod g B
  by (simp add: setprod-def fold-image-Un-Int[symmetric])

```

```

lemma setprod-Un-disjoint: finite A ==> finite B
  ==> A Int B = {} ==> setprod g (A Un B) = setprod g A * setprod g B
  by (subst setprod-Un-Int [symmetric], auto)

```

```

lemma setprod-mono-one-left:
  assumes fT: finite T and ST: S  $\subseteq$  T
  and z:  $\forall i \in T - S. f i = 1$ 
  shows setprod f S = setprod f T
proof -
  have eq: T = S  $\cup$  (T - S) using ST by blast
  have d: S  $\cap$  (T - S) = {} using ST by blast
  from fT ST have f: finite S finite (T - S) by (auto intro: finite-subset)
  show ?thesis
    by (simp add: setprod-Un-disjoint[OF f d, unfolded eq[symmetric]] setprod-1'[OF z])
qed

```

**lemmas** *setprod-mono-one-right* = *setprod-mono-one-left* [THEN *sym*]

**lemma** *setprod-delta*:

**assumes** *fS*: *finite S*

**shows** *setprod* ( $\lambda k. \text{if } k=a \text{ then } b \ k \text{ else } 1$ ) *S* = (*if* *a* ∈ *S* *then* *b a* *else* 1)

**proof**–

**let** *?f* = ( $\lambda k. \text{if } k=a \text{ then } b \ k \text{ else } 1$ )

{**assume** *a*: *a* ∉ *S*

**hence**  $\forall k \in S. ?f \ k = 1$  **by** *simp*

**hence** *?thesis* **using** *a* **by** (*simp add: setprod-1 cong add: setprod-cong*) }

**moreover**

{**assume** *a*: *a* ∈ *S*

**let** *?A* = *S* − {*a*}

**let** *?B* = {*a*}

**have** *eq*: *S* = *?A* ∪ *?B* **using** *a* **by** *blast*

**have** *dj*: *?A* ∩ *?B* = {} **by** *simp*

**from** *fS* **have** *fAB*: *finite ?A finite ?B* **by** *auto*

**have** *fA1*: *setprod ?f ?A* = 1 **apply** (*rule setprod-1'*) **by** *auto*

**have** *setprod ?f ?A \* setprod ?f ?B* = *setprod ?f S*

**using** *setprod-Un-disjoint*[*OF fAB dj, of ?f, unfolded eq[symmetric]*]

**by** *simp*

**then have** *?thesis* **using** *a* **by** (*simp add: fA1 cong add: setprod-cong cong*

*del: if-weak-cong*)}

**ultimately show** *?thesis* **by** *blast*

**qed**

**lemma** *setprod-delta'*:

**assumes** *fS*: *finite S* **shows**

*setprod* ( $\lambda k. \text{if } a = k \text{ then } b \ k \text{ else } 1$ ) *S* =

(*if* *a* ∈ *S* *then* *b a* *else* 1)

**using** *setprod-delta*[*OF fS, of a b, symmetric*]

**by** (*auto intro: setprod-cong*)

**lemma** *setprod-UN-disjoint*:

*finite I* ==> (*ALL i:I. finite (A i)*) ==>

(*ALL i:I. ALL j:I. i* ≠ *j* --> *A i Int A j* = {}) ==>

*setprod f (UNION I A)* = *setprod (%i. setprod f (A i)) I*

**by**(*simp add: setprod-def fold-image-UN-disjoint cong: setprod-cong*)

**lemma** *setprod-Union-disjoint*:

[| (*ALL A:C. finite A*);

(*ALL A:C. ALL B:C. A* ≠ *B* --> *A Int B* = {}) |]

==> *setprod f (Union C)* = *setprod (setprod f) C*

**apply** (*cases finite C*)

**prefer** 2 **apply** (*force dest: finite-UnionD simp add: setprod-def*)

**apply** (*frule setprod-UN-disjoint [of C id f]*)

**apply** (*unfold Union-def id-def, assumption+*)

**done**

**lemma** *setprod-Sigma*:  $\text{finite } A \implies \text{ALL } x:A. \text{finite } (B \ x) \implies$   
 $(\prod x \in A. (\prod y \in B \ x. f \ x \ y)) =$   
 $(\prod (x,y) \in (\text{SIGMA } x:A. B \ x). f \ x \ y)$   
**by** (*simp add: setprod-def fold-image-Sigma split-def cong: setprod-cong*)

Here we can eliminate the finiteness assumptions, by cases.

**lemma** *setprod-cartesian-product*:  
 $(\prod x \in A. (\prod y \in B. f \ x \ y)) = (\prod (x,y) \in (A \ltimes B). f \ x \ y)$   
**apply** (*cases finite A*)  
**apply** (*cases finite B*)  
**apply** (*simp add: setprod-Sigma*)  
**apply** (*cases A = {}*, *simp*)  
**apply** (*simp add: setprod-1*)  
**apply** (*auto simp add: setprod-def*  
*dest: finite-cartesian-productD1 finite-cartesian-productD2*)  
**done**

**lemma** *setprod-timesf*:  
 $\text{setprod } (\%x. f \ x \ * \ g \ x) \ A = (\text{setprod } f \ A \ * \ \text{setprod } g \ A)$   
**by** (*simp add: setprod-def fold-image-distrib*)

### 17.5.1 Properties in more restricted classes of structures

**lemma** *setprod-eq-1-iff* [*simp*]:  
 $\text{finite } F \implies (\text{setprod } f \ F = 1) = (\text{ALL } a:F. f \ a = (1::\text{nat}))$   
**by** (*induct set: finite*) *auto*

**lemma** *setprod-zero*:  
 $\text{finite } A \implies \text{EX } x: A. f \ x = (0::'a::\text{comm-semiring-1}) \implies \text{setprod } f \ A = 0$   
**apply** (*induct set: finite, force, clarsimp*)  
**apply** (*erule disjE, auto*)  
**done**

**lemma** *setprod-nonneg* [*rule-format*]:  
 $(\text{ALL } x: A. (0::'a::\text{ordered-semidom}) \leq f \ x) \implies 0 \leq \text{setprod } f \ A$   
**by** (*cases finite A, induct set: finite, simp-all add: mult-nonneg-nonneg*)

**lemma** *setprod-pos* [*rule-format*]:  $(\text{ALL } x: A. (0::'a::\text{ordered-semidom}) < f \ x)$   
 $\implies 0 < \text{setprod } f \ A$   
**by** (*cases finite A, induct set: finite, simp-all add: mult-pos-pos*)

**lemma** *setprod-zero-iff* [*simp*]:  $\text{finite } A \implies$   
 $(\text{setprod } f \ A = (0::'a::\{\text{comm-semiring-1}, \text{no-zero-divisors}\})) =$   
 $(\text{EX } x: A. f \ x = 0)$   
**by** (*erule finite-induct, auto simp: no-zero-divisors*)

**lemma** *setprod-pos-nat*:  
 $\text{finite } S \implies (\text{ALL } x : S. f \ x > (0::\text{nat})) \implies \text{setprod } f \ S > 0$

**using** *setprod-zero-iff* **by**(*simp del:neq0-conv add:neq0-conv[symmetric]*)

**lemma** *setprod-pos-nat-iff*[*simp*]:

*finite S ==> (setprod f S > 0) = (ALL x : S. f x > (0::nat))*

**using** *setprod-zero-iff* **by**(*simp del:neq0-conv add:neq0-conv[symmetric]*)

**lemma** *setprod-Un*: *finite A ==> finite B ==> (ALL x: A Int B. f x ≠ 0) ==>*

*(setprod f (A Un B) :: 'a :: {field})*

*= setprod f A \* setprod f B / setprod f (A Int B)*

**by** (*subst setprod-Un-Int [symmetric], auto*)

**lemma** *setprod-diff1*: *finite A ==> f a ≠ 0 ==>*

*(setprod f (A - {a}) :: 'a :: {field}) =*

*(if a:A then setprod f A / f a else setprod f A)*

**by** (*erule finite-induct*) (*auto simp add: insert-Diff-if*)

**lemma** *setprod-inversef*: *finite A ==>*

*ALL x: A. f x ≠ (0::'a::{field,division-by-zero}) ==>*

*setprod (inverse ∘ f) A = inverse (setprod f A)*

**by** (*erule finite-induct*) *auto*

**lemma** *setprod-dividef*:

*[finite A;*

*∀ x ∈ A. g x ≠ (0::'a::{field,division-by-zero})]*

*==> setprod (%x. f x / g x) A = setprod f A / setprod g A*

**apply** (*subgoal-tac*

*setprod (%x. f x / g x) A = setprod (%x. f x \* (inverse ∘ g) x) A*)

**apply** (*erule ssubst*)

**apply** (*subst divide-inverse*)

**apply** (*subst setprod-timesf*)

**apply** (*subst setprod-inversef, assumption+, rule refl*)

**apply** (*rule setprod-cong, rule refl*)

**apply** (*subst divide-inverse, auto*)

**done**

**lemma** *setprod-dvd-setprod* [*rule-format*]:

*(ALL x : A. f x dvd g x) ⟶ setprod f A dvd setprod g A*

**apply** (*cases finite A*)

**apply** (*induct set: finite*)

**apply** (*auto simp add: dvd-def*)

**apply** (*rule-tac x = k \* ka in exI*)

**apply** (*simp add: algebra-simps*)

**done**

**lemma** *setprod-dvd-setprod-subset*:

*finite B ⟹ A ≤ B ⟹ setprod f A dvd setprod f B*

**apply** (*subgoal-tac setprod f B = setprod f A \* setprod f (B - A)*)

**apply** (*unfold dvd-def, blast*)

**apply** (*subst setprod-Un-disjoint [symmetric]*)



```

apply (auto elim: finite-subset intro: setprod-cong)
done

```

```

lemma setprod-dvd-setprod-subset2:
  finite B  $\implies$  A  $\leq$  B  $\implies$  ALL x : A. (f x :: 'a :: comm-semiring-1) dvd g x  $\implies$ 
    setprod f A dvd setprod g B
apply (rule dvd-trans)
apply (rule setprod-dvd-setprod, erule (1) bspec)
apply (erule (1) setprod-dvd-setprod-subset)
done

```

```

lemma dvd-setprod: finite A  $\implies$  i:A  $\implies$ 
  (f i :: 'a :: comm-semiring-1) dvd setprod f A
by (induct set: finite) (auto intro: dvd-mult)

```

```

lemma dvd-setsum [rule-format]: (ALL i : A. d dvd f i)  $\longrightarrow$ 
  (d :: 'a :: comm-semiring-1) dvd (SUM x : A. f x)
apply (cases finite A)
apply (induct set: finite)
apply auto
done

```

## 17.6 Finite cardinality

This definition, although traditional, is ugly to work with:  $\text{card } A == \text{LEAST } n. \text{EX } f. A = \{f \ i \mid i. i < n\}$ . But now that we have *setsum* things are easy:

```

definition card :: 'a set  $\Rightarrow$  nat
where card A = setsum ( $\lambda x. 1$ ) A

```

```

lemma card-empty [simp]: card {} = 0
by (simp add: card-def)

```

```

lemma card-infinite [simp]:  $\sim$  finite A  $\implies$  card A = 0
by (simp add: card-def)

```

```

lemma card-eq-setsum: card A = setsum (%x. 1) A
by (simp add: card-def)

```

```

lemma card-insert-disjoint [simp]:
  finite A  $\implies$  x  $\notin$  A  $\implies$  card (insert x A) = Suc(card A)
by(simp add: card-def)

```

```

lemma card-insert-if:
  finite A  $\implies$  card (insert x A) = (if x:A then card A else Suc(card(A)))
by (simp add: insert-absorb)

```

```

lemma card-0-eq [simp,noatp]: finite A  $\implies$  (card A = 0) = (A = {})
apply auto

```

**apply** (*drule-tac*  $a = x$  **in** *mk-disjoint-insert*, *clarify*, *auto*)  
**done**

**lemma** *card-eq-0-iff*:  $(\text{card } A = 0) = (A = \{\} \mid \sim \text{finite } A)$   
**by** *auto*

**lemma** *card-Suc-Diff1*:  $\text{finite } A \implies x: A \implies \text{Suc } (\text{card } (A - \{x\})) = \text{card } A$   
**apply**(*rule-tac*  $t = A$  **in** *insert-Diff* [*THEN subst*], *assumption*)  
**apply**(*simp del:insert-Diff-single*)  
**done**

**lemma** *card-Diff-singleton*:  
 $\text{finite } A \implies x: A \implies \text{card } (A - \{x\}) = \text{card } A - 1$   
**by** (*simp add: card-Suc-Diff1 [symmetric]*)

**lemma** *card-Diff-singleton-if*:  
 $\text{finite } A \implies \text{card } (A - \{x\}) = (\text{if } x : A \text{ then } \text{card } A - 1 \text{ else } \text{card } A)$   
**by** (*simp add: card-Diff-singleton*)

**lemma** *card-Diff-insert*[*simp*]:  
**assumes** *finite*  $A$  **and**  $a:A$  **and**  $a \sim: B$   
**shows**  $\text{card}(A - \text{insert } a \ B) = \text{card}(A - B) - 1$   
**proof** –  
   **have**  $A - \text{insert } a \ B = (A - B) - \{a\}$  **using** *assms* **by** *blast*  
   **then show** *?thesis* **using** *assms* **by**(*simp add:card-Diff-singleton*)  
**qed**

**lemma** *card-insert*:  $\text{finite } A \implies \text{card } (\text{insert } x \ A) = \text{Suc } (\text{card } (A - \{x\}))$   
**by** (*simp add: card-insert-if card-Suc-Diff1 del:card-Diff-insert*)

**lemma** *card-insert-le*:  $\text{finite } A \implies \text{card } A \leq \text{card } (\text{insert } x \ A)$   
**by** (*simp add: card-insert-if*)

**lemma** *card-mono*:  $\llbracket \text{finite } B; A \subseteq B \rrbracket \implies \text{card } A \leq \text{card } B$   
**by** (*simp add: card-def setsum-mono2*)

**lemma** *card-seteq*:  $\text{finite } B \implies (!A. A \leq B \implies \text{card } B \leq \text{card } A \implies A = B)$   
**apply** (*induct set: finite, simp, clarify*)  
**apply** (*subgoal-tac*  $\text{finite } A \ \& \ A - \{x\} \leq F$ )  
   **prefer** 2 **apply** (*blast intro: finite-subset, atomize*)  
**apply** (*drule-tac*  $x = A - \{x\}$  **in** *spec*)  
**apply** (*simp add: card-Diff-singleton-if split add: split-if-asm*)  
**apply** (*case-tac*  $\text{card } A$ , *auto*)  
**done**

**lemma** *psubset-card-mono*:  $\text{finite } B \implies A < B \implies \text{card } A < \text{card } B$   
**apply** (*simp add: psubset-eq linorder-not-le [symmetric]*)

**apply** (*blast dest: card-seteq*)  
**done**

**lemma** *card-Un-Int: finite A ==> finite B*  
 $\implies \text{card } A + \text{card } B = \text{card } (A \text{ Un } B) + \text{card } (A \text{ Int } B)$   
**by**(*simp add:card-def setsum-Un-Int*)

**lemma** *card-Un-disjoint: finite A ==> finite B*  
 $\implies A \text{ Int } B = \{\} \implies \text{card } (A \text{ Un } B) = \text{card } A + \text{card } B$   
**by** (*simp add: card-Un-Int*)

**lemma** *card-Diff-subset:*  
 $\text{finite } B \implies B \leq A \implies \text{card } (A - B) = \text{card } A - \text{card } B$   
**by**(*simp add:card-def setsum-diff-nat*)

**lemma** *card-Diff1-less: finite A ==> x: A ==> card (A - {x}) < card A*  
**apply** (*rule Suc-less-SucD*)  
**apply** (*simp add: card-Suc-Diff1 del:card-Diff-insert*)  
**done**

**lemma** *card-Diff2-less:*  
 $\text{finite } A \implies x: A \implies y: A \implies \text{card } (A - \{x\} - \{y\}) < \text{card } A$   
**apply** (*case-tac x = y*)  
**apply** (*simp add: card-Diff1-less del:card-Diff-insert*)  
**apply** (*rule less-trans*)  
**prefer 2 apply** (*auto intro!: card-Diff1-less simp del:card-Diff-insert*)  
**done**

**lemma** *card-Diff1-le: finite A ==> card (A - {x}) <= card A*  
**apply** (*case-tac x : A*)  
**apply** (*simp-all add: card-Diff1-less less-imp-le*)  
**done**

**lemma** *card-psubset: finite B ==> A ⊆ B ==> card A < card B ==> A < B*  
**by** (*erule psubsetI, blast*)

**lemma** *insert-partition:*  
 $\llbracket x \notin F; \forall c1 \in \text{insert } x \text{ } F. \forall c2 \in \text{insert } x \text{ } F. c1 \neq c2 \longrightarrow c1 \cap c2 = \{\} \rrbracket$   
 $\implies x \cap \bigcup F = \{\}$   
**by** *auto*

main cardinality theorem

**lemma** *card-partition [rule-format]:*  
 $\text{finite } C \implies$   
 $\text{finite } (\bigcup C) \dashrightarrow$   
 $(\forall c \in C. \text{card } c = k) \dashrightarrow$   
 $(\forall c1 \in C. \forall c2 \in C. c1 \neq c2 \dashrightarrow c1 \cap c2 = \{\}) \dashrightarrow$   
 $k * \text{card}(C) = \text{card } (\bigcup C)$   
**apply** (*erule finite-induct, simp*)

```

apply (simp add: card-insert-disjoint card-Un-disjoint insert-partition
         finite-subset [of -  $\cup$  (insert  $x$   $F$ )])
done

```

The form of a finite set of given cardinality

```

lemma card-eq-SucD:
assumes card  $A = \text{Suc } k$ 
shows  $\exists b \in B. A = \text{insert } b \ B \ \& \ b \notin B \ \& \ \text{card } B = k \ \& \ (k=0 \longrightarrow B=\{\})$ 
proof -
  have fin: finite  $A$  using assms by (auto intro: ccontr)
  moreover have card  $A \neq 0$  using assms by auto
  ultimately obtain  $b$  where  $b \in A$  by auto
  show ?thesis
proof (intro exI conjI)
  show  $A = \text{insert } b \ (A - \{b\})$  using  $b$  by blast
  show  $b \notin A - \{b\}$  by blast
  show card  $(A - \{b\}) = k$  and  $k = 0 \longrightarrow A - \{b\} = \{\}$ 
    using assms  $b$  fin by (fastsimp dest: mk-disjoint-insert)+
qed
qed

```

```

lemma card-Suc-eq:
  (card  $A = \text{Suc } k =$ 
    ( $\exists b \in B. A = \text{insert } b \ B \ \& \ b \notin B \ \& \ \text{card } B = k \ \& \ (k=0 \longrightarrow B=\{\})$ ))
apply (rule iffI)
apply (erule card-eq-SucD)
apply (auto)
apply (subst card-insert)
apply (auto intro: ccontr)
done

```

```

lemma setsum-constant [simp]:  $(\sum x \in A. y) = \text{of-nat}(\text{card } A) * y$ 
apply (cases finite  $A$ )
apply (erule finite-induct)
apply (auto simp add: algebra-simps)
done

```

```

lemma setprod-constant: finite  $A \implies (\prod x \in A. (y :: 'a :: \{\text{recpower, comm-monoid-mult}\}))$ 
  =  $y^{\text{card } A}$ 
apply (erule finite-induct)
apply (auto simp add: power-Suc)
done

```

```

lemma setprod-gen-delta:
  assumes fS: finite  $S$ 
  shows setprod  $(\lambda k. \text{if } k=a \text{ then } b \ k \text{ else } c) \ S = (\text{if } a \in S \text{ then } (b \ a :: 'a :: \{\text{comm-monoid-mult,}$ 
     $\text{recpower}\}) * c^{\text{card } S - 1} \text{ else } c^{\text{card } S})$ 
proof -
  let ?f =  $(\lambda k. \text{if } k=a \text{ then } b \ k \text{ else } c)$ 

```

```

{assume a: a ∉ S
  hence ∀ k ∈ S. ?f k = c by simp
  hence ?thesis using a setprod-constant[OF fS, of c] by (simp add: setprod-1
  cong add: setprod-cong) }
moreover
{assume a: a ∈ S
  let ?A = S - {a}
  let ?B = {a}
  have eq: S = ?A ∪ ?B using a by blast
  have dj: ?A ∩ ?B = {} by simp
  from fS have fAB: finite ?A finite ?B by auto
  have fA0:setprod ?f ?A = setprod (λi. c) ?A
    apply (rule setprod-cong) by auto
  have cA: card ?A = card S - 1 using fS a by auto
  have fA1: setprod ?f ?A = c ^ card ?A unfolding fA0 apply (rule setprod-constant)
using fS by auto
  have setprod ?f ?A * setprod ?f ?B = setprod ?f S
    using setprod-Un-disjoint[OF fAB dj, of ?f, unfolded eq[symmetric]]
    by simp
  then have ?thesis using a cA
    by (simp add: fA1 ring-simps cong add: setprod-cong cong del: if-weak-cong)}
ultimately show ?thesis by blast
qed

```

**lemma** *setsum-bounded*:

```

assumes le: ∧i. i ∈ A ⇒ f i ≤ (K::'a::{semiring-1, pordered-ab-semigroup-add})
shows setsum f A ≤ of-nat(card A) * K
proof (cases finite A)
  case True
  thus ?thesis using le setsum-mono[where K=A and g = %x. K] by simp
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

### 17.6.1 Cardinality of unions

**lemma** *card-UN-disjoint*:

```

finite I ==> (ALL i:I. finite (A i)) ==>
  (ALL i:I. ALL j:I. i ≠ j --> A i Int A j = {})
==> card (UNION I A) = (∑ i ∈ I. card(A i))
apply (simp add: card-def del: setsum-constant)
apply (subgoal-tac
  setsum (%i. card (A i)) I = setsum (%i. (setsum (%x. 1) (A i))) I)
apply (simp add: setsum-UN-disjoint del: setsum-constant)
apply (simp cong: setsum-cong)
done

```

**lemma** *card-Union-disjoint*:

```

finite C ==> (ALL A:C. finite A) ==>
  (ALL A:C. ALL B:C. A ≠ B --> A Int B = {})
==> card (Union C) = setsum card C
apply (frule card-UN-disjoint [of C id])
apply (unfold Union-def id-def, assumption+)
done

```

### 17.6.2 Cardinality of image

The image of a finite set can be expressed using *fold-image*.

```

lemma image-eq-fold-image:
  finite A ==> f ‘ A = fold-image (op Un) (%x. {f x}) {} A
proof (induct rule: finite-induct)
  case empty then show ?case by simp
next
  interpret ab-semigroup-mult op Un
  proof qed auto
  case insert
  then show ?case by simp
qed

```

```

lemma card-image-le: finite A ==> card (f ‘ A) ≤ card A
apply (induct set: finite)
  apply simp
apply (simp add: le-SucI finite-imageI card-insert-if)
done

```

```

lemma card-image: inj-on f A ==> card (f ‘ A) = card A
by (simp add: card-def setsum-reindex o-def del: setsum-constant)

```

```

lemma endo-inj-surj: finite A ==> f ‘ A ⊆ A ==> inj-on f A ==> f ‘ A = A
by (simp add: card-seteq card-image)

```

```

lemma eq-card-imp-inj-on:
  [| finite A; card (f ‘ A) = card A |] ==> inj-on f A
apply (induct rule: finite-induct)
  apply simp
  apply (frule card-image-le [where f = f])
  apply (simp add: card-insert-if split: if-splits)
done

```

```

lemma inj-on-iff-eq-card:
  finite A ==> inj-on f A = (card (f ‘ A) = card A)
by (blast intro: card-image eq-card-imp-inj-on)

```

```

lemma card-inj-on-le:
  [| inj-on f A; f ‘ A ⊆ B; finite B |] ==> card A ≤ card B
apply (subgoal-tac finite A)

```

```

apply (force intro: card-mono simp add: card-image [symmetric])
apply (blast intro: finite-imageD dest: finite-subset)
done

```

```

lemma card-bij-eq:
  [| inj-on f A; f ‘ A ⊆ B; inj-on g B; g ‘ B ⊆ A;
    finite A; finite B |] ==> card A = card B
by (auto intro: le-anti-sym card-inj-on-le)

```

### 17.6.3 Cardinality of products

```

lemma card-SigmaI [simp]:
  [| finite A; ALL a:A. finite (B a) |]
  ==> card (SIGMA x: A. B x) = (∑ a∈A. card (B a))
by (simp add: card-def setsum-Sigma del: setsum-constant)

```

```

lemma card-cartesian-product: card (A <*> B) = card(A) * card(B)
apply (cases finite A)
apply (cases finite B)
apply (auto simp add: card-eq-0-iff
  dest: finite-cartesian-productD1 finite-cartesian-productD2)
done

```

```

lemma card-cartesian-product-singleton: card({x} <*> A) = card(A)
by (simp add: card-cartesian-product)

```

### 17.6.4 Cardinality of sums

```

lemma card-Plus:
  assumes finite A and finite B
  shows card (A <+> B) = card A + card B
proof –
  have Inl‘A ∩ Inr‘B = {} by fast
  with assms show ?thesis
  unfolding Plus-def
  by (simp add: card-Un-disjoint card-image)
qed

```

### 17.6.5 Cardinality of the Powerset

```

lemma card-Pow: finite A ==> card (Pow A) = Suc (Suc 0) ^ card A
apply (induct set: finite)
apply (simp-all add: Pow-insert)
apply (subst card-Un-disjoint, blast)
apply (blast intro: finite-imageI, blast)
apply (subgoal-tac inj-on (insert x) (Pow F))
apply (simp add: card-image Pow-insert)
apply (unfold inj-on-def)
apply (blast elim!: equalityE)
done

```

Relates to equivalence classes. Based on a theorem of F. Kammüller.

```

lemma dvd-partition:
  finite (Union C) ==>
    ALL c : C. k dvd card c ==>
      (ALL c1: C. ALL c2: C. c1 ≠ c2 --> c1 Int c2 = {}) ==>
        k dvd card (Union C)
apply(frule finite-UnionD)
apply(rotate-tac -1)
apply (induct set: finite, simp-all, clarify)
apply (subst card-Un-disjoint)
  apply (auto simp add: dvd-add disjoint-eq-subset-Compl)
done

```

### 17.6.6 Relating injectivity and surjectivity

```

lemma finite-surj-inj: finite(A) ==> A <= f'A ==> inj-on f A
apply(rule eq-card-imp-inj-on, assumption)
apply(frule finite-imageI)
apply(drule (1) card-seteq)
  apply(erule card-image-le)
apply simp
done

```

```

lemma finite-UNIV-surj-inj: fixes f :: 'a => 'a
shows finite(UNIV::'a set) ==> surj f ==> inj f
by (blast intro: finite-surj-inj subset-UNIV dest:surj-range)

```

```

lemma finite-UNIV-inj-surj: fixes f :: 'a => 'a
shows finite(UNIV::'a set) ==> inj f ==> surj f
by(fastsimp simp:surj-def dest!: endo-inj-surj)

```

```

corollary infinite-UNIV-nat: ~finite(UNIV::nat set)
proof
  assume finite(UNIV::nat set)
  with finite-UNIV-inj-surj[of Suc]
  show False by simp (blast dest: Suc-neq-Zero surjD)
qed

```

```

lemma infinite-UNIV-char-0:
  ¬ finite (UNIV::'a::semiring-char-0 set)
proof
  assume finite (UNIV::'a set)
  with subset-UNIV have finite (range of-nat::'a set)
    by (rule finite-subset)
  moreover have inj (of-nat::nat => 'a)
    by (simp add: inj-on-def)
  ultimately have finite (UNIV::nat set)
    by (rule finite-imageD)
  then show False

```



by (*simp add: infinite-UNIV-nat*)  
qed

## 17.7 A fold functional for non-empty sets

Does not require start value.

**inductive**

*fold1Set* :: (*'a* => *'a* => *'a*) => *'a set* => *'a* => *bool*  
for *f* :: *'a* => *'a* => *'a*

**where**

*fold1Set-insertI* [*intro*]:  
[[ *fold-graph f a A x*; *a* ∉ *A* ]] ==> *fold1Set f (insert a A) x*

**constdefs**

*fold1* :: (*'a* => *'a* => *'a*) => *'a set* => *'a*  
*fold1 f A* == *THE x. fold1Set f A x*

**lemma** *fold1Set-nonempty*:

*fold1Set f A x* ==> *A* ≠ {}

by(*erule fold1Set.cases, simp-all*)

**inductive-cases** *empty-fold1SetE* [*elim!*]: *fold1Set f {} x*

**inductive-cases** *insert-fold1SetE* [*elim!*]: *fold1Set f (insert a X) x*

**lemma** *fold1Set-sing* [*iff*]: (*fold1Set f {a} b*) = (*a* = *b*)

by (*blast intro: fold-graph.intros elim: fold-graph.cases*)

**lemma** *fold1-singleton* [*simp*]: *fold1 f {a} = a*

by (*unfold fold1-def*) *blast*

**lemma** *finite-nonempty-imp-fold1Set*:

[[ *finite A*; *A* ≠ {} ]] ==> *EX x. fold1Set f A x*

**apply** (*induct A rule: finite-induct*)

**apply** (*auto dest: finite-imp-fold-graph [of - f]*)

**done**

First, some lemmas about *fold-graph*.

**context** *ab-semigroup-mult*

**begin**

**lemma** *fun-left-comm*: *fun-left-comm*(*op* \*)

by *unfold-locales (simp add: mult-ac)*

**lemma** *fold-graph-insert-swap*:

**assumes** *fold: fold-graph times (b::'a) A y* **and** *b* ∉ *A*

**shows** *fold-graph times z (insert b A) (z \* y)*

**proof** —

```

interpret fun-left-comm op *::'a ⇒ 'a ⇒ 'a by (rule fun-left-comm)
from assms show ?thesis
proof (induct rule: fold-graph.induct)
  case emptyI thus ?case by (force simp add: fold-insert-aux mult-commute)
next
  case (insertI x A y)
    have fold-graph times z (insert x (insert b A)) (x * (z * y))
      using insertI by force — how does id get unfolded?
    thus ?case by (simp add: insert-commute mult-ac)
qed
qed

```

```

lemma fold-graph-permute-diff:
  assumes fold: fold-graph times b A x
  shows !!a. [a ∈ A; b ∉ A] ⇒ fold-graph times a (insert b (A - {a})) x
  using fold
  proof (induct rule: fold-graph.induct)
    case emptyI thus ?case by simp
  next
    case (insertI x A y)
      have a = x ∨ a ∈ A using insertI by simp
      thus ?case
      proof
        assume a = x
        with insertI show ?thesis
          by (simp add: id-def [symmetric], blast intro: fold-graph-insert-swap)
      next
        assume ainA: a ∈ A
        hence fold-graph times a (insert x (insert b (A - {a}))) (x * y)
          using insertI by force
        moreover
          have insert x (insert b (A - {a})) = insert b (insert x A - {a})
            using ainA insertI by blast
        ultimately show ?thesis by simp
      qed
    qed
  qed

```

```

lemma fold1-eq-fold:
  assumes finite A a ∉ A shows fold1 times (insert a A) = fold times a A
  proof -
    interpret fun-left-comm op *::'a ⇒ 'a ⇒ 'a by (rule fun-left-comm)
    from assms show ?thesis
    apply (simp add: fold1-def fold-def)
    apply (rule the-equality)
    apply (best intro: fold-graph-determ theI dest: finite-imp-fold-graph [of - times])
    apply (rule sym, clarify)
    apply (case-tac Aa=A)
    apply (best intro: the-equality fold-graph-determ)
    apply (subgoal-tac fold-graph times a A x)

```

```

  apply (best intro: the-equality fold-graph-determ)
  apply (subgoal-tac insert aa ( $Aa - \{a\} = A$ ))
  prefer 2 apply (blast elim: equalityE)
  apply (auto dest: fold-graph-permute-diff [where a=a])
done
qed

```

```

lemma nonempty-iff: ( $A \neq \{\}$ ) = ( $\exists x B. A = \text{insert } x B \ \& \ x \notin B$ )
  apply safe
  apply simp
  apply (drule-tac x=x in spec)
  apply (drule-tac x=A- $\{x\}$  in spec, auto)
done

```

```

lemma fold1-insert:
  assumes nonempty:  $A \neq \{\}$  and A: finite A  $x \notin A$ 
  shows fold1 times (insert x A) =  $x * \text{fold1 times } A$ 
  proof -
    interpret fun-left-comm op *::'a  $\Rightarrow$  'a  $\Rightarrow$  'a by (rule fun-left-comm)
    from nonempty obtain a A' where  $A = \text{insert } a A' \ \& \ a \sim: A'$ 
    by (auto simp add: nonempty-iff)
    with A show ?thesis
    by (simp add: insert-commute [of x] fold1-eq-fold eq-commute)
  qed
end

```

```

context ab-semigroup-idem-mult
begin

```

```

lemma fun-left-comm-idem: fun-left-comm-idem(op *)
  apply unfold-locales
  apply (simp add: mult-ac)
  apply (simp add: mult-idem mult-assoc[symmetric])
done

```

```

lemma fold1-insert-idem [simp]:
  assumes nonempty:  $A \neq \{\}$  and A: finite A
  shows fold1 times (insert x A) =  $x * \text{fold1 times } A$ 
  proof -
    interpret fun-left-comm-idem op *::'a  $\Rightarrow$  'a  $\Rightarrow$  'a
    by (rule fun-left-comm-idem)
    from nonempty obtain a A' where  $A' : A = \text{insert } a A' \ \& \ a \sim: A'$ 
    by (auto simp add: nonempty-iff)
    show ?thesis
  proof cases
    assume a = x
    thus ?thesis

```

```

proof cases
  assume  $A' = \{\}$ 
  with prems show ?thesis by (simp add: mult-idem)
next
  assume  $A' \neq \{\}$ 
  with prems show ?thesis
    by (simp add: fold1-insert mult-assoc [symmetric] mult-idem)
qed
next
  assume  $a \neq x$ 
  with prems show ?thesis
    by (simp add: insert-commute fold1-eq-fold fold-insert-idem)
qed
qed

lemma hom-fold1-commute:
assumes hom:  $\forall x y. h (x * y) = h x * h y$ 
and  $N$ : finite  $N$   $N \neq \{\}$  shows  $h (fold1 \text{ times } N) = fold1 \text{ times } (h \text{ ' } N)$ 
using  $N$  proof (induct rule: finite-ne-induct)
  case singleton thus ?case by simp
next
  case (insert  $n$   $N$ )
  then have  $h (fold1 \text{ times } (insert \ n \ N)) = h (n * fold1 \text{ times } N)$  by simp
  also have  $\dots = h \ n * h (fold1 \text{ times } N)$  by (rule hom)
  also have  $h (fold1 \text{ times } N) = fold1 \text{ times } (h \text{ ' } N)$  by (rule insert)
  also have  $times (h \ n) \dots = fold1 \text{ times } (insert (h \ n) (h \text{ ' } N))$ 
    using insert by (simp)
  also have  $insert (h \ n) (h \text{ ' } N) = h \text{ ' } insert \ n \ N$  by simp
  finally show ?case .
qed

end

```

Now the recursion rules for definitions:

```

lemma fold1-singleton-def:  $g = fold1 \ f \implies g \ \{\ a \} = a$ 
by (simp add: fold1-singleton)

```

```

lemma (in ab-semigroup-mult) fold1-insert-def:
   $\llbracket g = fold1 \text{ times}; \text{finite } A; x \notin A; A \neq \{\} \rrbracket \implies g (insert \ x \ A) = x * g \ A$ 
by (simp add: fold1-insert)

```

```

lemma (in ab-semigroup-idem-mult) fold1-insert-idem-def:
   $\llbracket g = fold1 \text{ times}; \text{finite } A; A \neq \{\} \rrbracket \implies g (insert \ x \ A) = x * g \ A$ 
by simp

```

### 17.7.1 Determinacy for fold1Set

```

declare
  empty-fold-graphE [rule del] fold-graph.intros [rule del]

```

*empty-fold1SetE* [rule *del*] *insert-fold1SetE* [rule *del*]  
 — No more proofs involve these relations.

### 17.7.2 Lemmas about *fold1*

**context** *ab-semigroup-mult*  
**begin**

**lemma** *fold1-Un*:  
**assumes** *A*: *finite A*  $A \neq \{\}$   
**shows**  $\text{finite } B \implies B \neq \{\} \implies A \text{ Int } B = \{\} \implies$   
 $\text{fold1 times } (A \text{ Un } B) = \text{fold1 times } A * \text{fold1 times } B$   
**using** *A* **by** (*induct rule: finite-ne-induct*)  
*(simp-all add: fold1-insert mult-assoc)*

**lemma** *fold1-in*:  
**assumes** *A*: *finite (A)*  $A \neq \{\}$  **and** *elem*:  $\bigwedge x y. x * y \in \{x, y\}$   
**shows**  $\text{fold1 times } A \in A$   
**using** *A*  
**proof** (*induct rule: finite-ne-induct*)  
**case** *singleton* **thus** ?*case* **by** *simp*  
**next**  
**case** *insert* **thus** ?*case* **using** *elem* **by** (*force simp add: fold1-insert*)  
**qed**  
**end**

**lemma** (*in ab-semigroup-idem-mult*) *fold1-Un2*:  
**assumes** *A*: *finite A*  $A \neq \{\}$   
**shows**  $\text{finite } B \implies B \neq \{\} \implies$   
 $\text{fold1 times } (A \text{ Un } B) = \text{fold1 times } A * \text{fold1 times } B$   
**using** *A*  
**proof** (*induct rule: finite-ne-induct*)  
**case** *singleton* **thus** ?*case* **by** *simp*  
**next**  
**case** *insert* **thus** ?*case* **by** (*simp add: mult-assoc*)  
**qed**

### 17.7.3 Fold1 in lattices with *inf* and *sup*

As an application of *fold1* we define infimum and supremum in (not necessarily complete!) lattices over (non-empty) sets by means of *fold1*.

**context** *lower-semilattice*  
**begin**

**lemma** *ab-semigroup-idem-mult-inf*:  
*ab-semigroup-idem-mult inf*  
**proof** **qed** (*rule inf-assoc inf-commute inf-idem*)+

```

lemma below-fold1-iff:
  assumes finite A  $A \neq \{\}$ 
  shows  $x \leq \text{fold1 inf } A \iff (\forall a \in A. x \leq a)$ 
proof –
  interpret ab-semigroup-idem-mult inf
  by (rule ab-semigroup-idem-mult-inf)
  show ?thesis using assms by (induct rule: finite-ne-induct) simp-all
qed

lemma fold1-belowI:
  assumes finite A
  and  $a \in A$ 
  shows  $\text{fold1 inf } A \leq a$ 
proof –
  from assms have  $A \neq \{\}$  by auto
  from  $\langle \text{finite } A \rangle \langle A \neq \{\} \rangle \langle a \in A \rangle$  show ?thesis
  proof (induct rule: finite-ne-induct)
    case singleton thus ?case by simp
  next
    interpret ab-semigroup-idem-mult inf
    by (rule ab-semigroup-idem-mult-inf)
    case (insert x F)
    from insert(5) have  $a = x \vee a \in F$  by simp
    thus ?case
  proof
    assume  $a = x$  thus ?thesis using insert
    by (simp add: mult-ac)
  next
    assume  $a \in F$ 
    hence bel: fold1 inf F ≤ a by (rule insert)
    have  $\text{inf } (\text{fold1 inf } (\text{insert } x F)) a = \text{inf } x (\text{inf } (\text{fold1 inf } F) a)$ 
    using insert by (simp add: mult-ac)
    also have  $\text{inf } (\text{fold1 inf } F) a = \text{fold1 inf } F$ 
    using bel by (auto intro: antisym)
    also have  $\text{inf } x \dots = \text{fold1 inf } (\text{insert } x F)$ 
    using insert by (simp add: mult-ac)
    finally have aux: inf (fold1 inf (insert x F)) a = fold1 inf (insert x F) .
    moreover have  $\text{inf } (\text{fold1 inf } (\text{insert } x F)) a \leq a$  by simp
    ultimately show ?thesis by simp
  qed
qed
qed

end

lemma (in upper-semilattice) ab-semigroup-idem-mult-sup:
  ab-semigroup-idem-mult sup
  by (rule lower-semilattice.ab-semigroup-idem-mult-inf)
  (rule dual-lattice)

```

**context** *lattice*

**begin**

**definition**

*Inf-fin* :: 'a set  $\Rightarrow$  'a ( $\bigcap_{fin}$  [900] 900)

**where**

*Inf-fin* = *fold1 inf*

**definition**

*Sup-fin* :: 'a set  $\Rightarrow$  'a ( $\bigcup_{fin}$  [900] 900)

**where**

*Sup-fin* = *fold1 sup*

**lemma** *Inf-le-Sup* [*simp*]:  $\llbracket \text{finite } A; A \neq \{\} \rrbracket \Longrightarrow \bigcap_{fin} A \leq \bigcup_{fin} A$

**apply**(*unfold Sup-fin-def Inf-fin-def*)

**apply**(*subgoal-tac EX a. a:A*)

**prefer** 2 **apply** *blast*

**apply**(*erule exE*)

**apply**(*rule order-trans*)

**apply**(*erule (1) fold1-belowI*)

**apply**(*erule (1) lower-semilattice.fold1-belowI [OF dual-lattice]*)

**done**

**lemma** *sup-Inf-absorb* [*simp*]:

*finite A*  $\Longrightarrow a \in A \Longrightarrow \text{sup } a (\bigcap_{fin} A) = a$

**apply**(*subst sup-commute*)

**apply**(*simp add: Inf-fin-def sup-absorb2 fold1-belowI*)

**done**

**lemma** *inf-Sup-absorb* [*simp*]:

*finite A*  $\Longrightarrow a \in A \Longrightarrow \text{inf } a (\bigcup_{fin} A) = a$

**by** (*simp add: Sup-fin-def inf-absorb1*

*lower-semilattice.fold1-belowI [OF dual-lattice]*)

**end**

**context** *distrib-lattice*

**begin**

**lemma** *sup-Inf1-distrib*:

**assumes** *finite A*

**and** *A*  $\neq \{\}$

**shows** *sup x* ( $\bigcap_{fin} A$ ) =  $\bigcap_{fin} \{\text{sup } x \ a \mid a. a \in A\}$

**proof** –

**interpret** *ab-semigroup-idem-mult inf*

**by** (*rule ab-semigroup-idem-mult-inf*)

**from** *assms* **show** *?thesis*

**by** (*simp add: Inf-fin-def image-def*)

$hom-fold1-commute$  [where  $h=sup\ x$ ,  $OF\ sup-inf-distrib1$ ])  
 (rule  $arg-cong$  [where  $f=fold1\ inf$ ],  $blast$ )  
**qed**

**lemma**  $sup-Inf2-distrib$ :  
 assumes  $A: finite\ A\ A \neq \{\}$  and  $B: finite\ B\ B \neq \{\}$   
 shows  $sup\ (\bigcap_{fin} A)\ (\bigcap_{fin} B) = \bigcap_{fin} \{sup\ a\ b \mid a. a \in A \wedge b \in B\}$   
**using**  $A$  **proof** (induct rule:  $finite-ne-induct$ )  
 case  $singleton$  **thus** ?case  
 by (simp add:  $sup-Inf1-distrib$  [OF  $B$ ]  $fold1-singleton-def$  [OF  $Inf-fin-def$ ])  
**next**  
 interpret  $ab-semigroup-idem-mult\ inf$   
 by (rule  $ab-semigroup-idem-mult-inf$ )  
 case (insert  $x\ A$ )  
 have  $finB: finite\ \{sup\ x\ b \mid b. b \in B\}$   
 by (rule  $finite-surj$  [where  $f = sup\ x$ ,  $OF\ B(1)$ ],  $auto$ )  
 have  $finAB: finite\ \{sup\ a\ b \mid a. a \in A \wedge b \in B\}$   
**proof** –  
 have  $\{sup\ a\ b \mid a. a \in A \wedge b \in B\} = (UN\ a:A.\ UN\ b:B.\ \{sup\ a\ b\})$   
 by  $blast$   
**thus** ?thesis **by** (simp add:  $insert(1)\ B(1)$ )  
**qed**  
 have  $ne: \{sup\ a\ b \mid a. a \in A \wedge b \in B\} \neq \{\}$  **using**  $insert\ B$  **by**  $blast$   
 have  $sup\ (\bigcap_{fin} (insert\ x\ A))\ (\bigcap_{fin} B) = sup\ (inf\ x\ (\bigcap_{fin} A))\ (\bigcap_{fin} B)$   
**using**  $insert$  **by** (simp add:  $fold1-insert-idem-def$  [OF  $Inf-fin-def$ ])  
 also have  $\dots = inf\ (sup\ x\ (\bigcap_{fin} B))\ (sup\ (\bigcap_{fin} A)\ (\bigcap_{fin} B))$  **by** (rule  $sup-inf-distrib2$ )  
 also have  $\dots = inf\ (\bigcap_{fin} \{sup\ x\ b \mid b. b \in B\})\ (\bigcap_{fin} \{sup\ a\ b \mid a. a \in A \wedge b \in B\})$   
**using**  $insert$  **by** (simp add:  $sup-Inf1-distrib$  [OF  $B$ ])  
 also have  $\dots = \bigcap_{fin} (\{sup\ x\ b \mid b. b \in B\} \cup \{sup\ a\ b \mid a. a \in A \wedge b \in B\})$   
 (is  $- = \bigcap_{fin} ?M$ )  
**using**  $B\ insert$   
**by** (simp add:  $Inf-fin-def\ fold1-Un2$  [OF  $finB - finAB\ ne$ ])  
 also have  $?M = \{sup\ a\ b \mid a. a \in insert\ x\ A \wedge b \in B\}$   
**by**  $blast$   
**finally show** ?case .  
**qed**

**lemma**  $inf-Sup1-distrib$ :  
 assumes  $finite\ A$  and  $A \neq \{\}$   
 shows  $inf\ x\ (\bigcup_{fin} A) = \bigcup_{fin} \{inf\ x\ a \mid a. a \in A\}$   
**proof** –  
 interpret  $ab-semigroup-idem-mult\ sup$   
 by (rule  $ab-semigroup-idem-mult-sup$ )  
**from**  $assms$  **show** ?thesis  
**by** (simp add:  $Sup-fin-def\ image-def\ hom-fold1-commute$  [where  $h=inf\ x$ ,  $OF\ inf-sup-distrib1$ ])  
 (rule  $arg-cong$  [where  $f=fold1\ sup$ ],  $blast$ )  
**qed**



```

lemma inf-Sup2-distrib:
  assumes A: finite A A  $\neq \{\}$  and B: finite B B  $\neq \{\}$ 
  shows  $\inf (\bigsqcup_{fin} A) (\bigsqcup_{fin} B) = \bigsqcup_{fin} \{ \inf a \ b \mid a \ b. \ a \in A \wedge b \in B \}$ 
using A proof (induct rule: finite-ne-induct)
  case singleton thus ?case
    by (simp add: inf-Sup1-distrib [OF B] fold1-singleton-def [OF Sup-fin-def])
next
  case (insert x A)
  have finB: finite  $\{ \inf x \ b \mid b. \ b \in B \}$ 
    by (rule finite-surj [where  $f = \%b. \inf x \ b$ , OF B(1)], auto)
  have finAB: finite  $\{ \inf a \ b \mid a \ b. \ a \in A \wedge b \in B \}$ 
proof –
  have  $\{ \inf a \ b \mid a \ b. \ a \in A \wedge b \in B \} = (UN \ a:A. \ UN \ b:B. \ \{ \inf a \ b \})$ 
    by blast
  thus ?thesis by (simp add: insert(1) B(1))
qed
have ne:  $\{ \inf a \ b \mid a \ b. \ a \in A \wedge b \in B \} \neq \{\}$  using insert B by blast
interpret ab-semigroup-idem-mult sup
  by (rule ab-semigroup-idem-mult-sup)
have  $\inf (\bigsqcup_{fin} (\text{insert } x \ A)) (\bigsqcup_{fin} B) = \inf (\sup x (\bigsqcup_{fin} A)) (\bigsqcup_{fin} B)$ 
  using insert by (simp add: fold1-insert-idem-def [OF Sup-fin-def])
also have  $\dots = \sup (\inf x (\bigsqcup_{fin} B)) (\inf (\bigsqcup_{fin} A) (\bigsqcup_{fin} B))$  by (rule inf-sup-distrib2)
also have  $\dots = \sup (\bigsqcup_{fin} \{ \inf x \ b \mid b. \ b \in B \}) (\bigsqcup_{fin} \{ \inf a \ b \mid a \ b. \ a \in A \wedge b \in B \})$ 
using insert by (simp add: inf-Sup1-distrib [OF B])
also have  $\dots = \bigsqcup_{fin} (\{ \inf x \ b \mid b. \ b \in B \} \cup \{ \inf a \ b \mid a \ b. \ a \in A \wedge b \in B \})$ 
  (is  $= \bigsqcup_{fin} ?M$ )
  using B insert
  by (simp add: Sup-fin-def fold1-Un2 [OF finB - finAB ne])
also have  $?M = \{ \inf a \ b \mid a \ b. \ a \in \text{insert } x \ A \wedge b \in B \}$ 
  by blast
finally show ?case .
qed

```

**end**

**context** *complete-lattice*  
**begin**

Coincidence on finite sets in complete lattices:

```

lemma Inf-fin-Inf:
  assumes finite A and A  $\neq \{\}$ 
  shows  $\sqcap_{fin} A = \text{Inf } A$ 
proof –
  interpret ab-semigroup-idem-mult inf
  by (rule ab-semigroup-idem-mult-inf)
from assms show ?thesis
unfolding Inf-fin-def by (induct A set: finite)

```

```

      (simp-all add: Inf-insert-simp)
qed

lemma Sup-fin-Sup:
  assumes finite A and A ≠ {}
  shows  $\bigsqcup_{fin} A = Sup\ A$ 
proof -
  interpret ab-semigroup-idem-mult sup
  by (rule ab-semigroup-idem-mult-sup)
  from assms show ?thesis
  unfolding Sup-fin-def by (induct A set: finite)
    (simp-all add: Sup-insert-simp)
qed

end

```

#### 17.7.4 Fold1 in linear orders with *min* and *max*

As an application of *fold1* we define minimum and maximum in (not necessarily complete!) linear orders over (non-empty) sets by means of *fold1*.

```

context linorder
begin

```

```

lemma ab-semigroup-idem-mult-min:
  ab-semigroup-idem-mult min
  proof qed (auto simp add: min-def)

```

```

lemma ab-semigroup-idem-mult-max:
  ab-semigroup-idem-mult max
  proof qed (auto simp add: max-def)

```

```

lemma min-lattice:
  lower-semilattice (op ≤) (op <) min
  proof qed (auto simp add: min-def)

```

```

lemma max-lattice:
  lower-semilattice (op ≥) (op >) max
  proof qed (auto simp add: max-def)

```

```

lemma dual-max:
  ord.max (op ≥) = min
  by (auto simp add: ord.max-def-raw min-def-raw expand-fun-eq)

```

```

lemma dual-min:
  ord.min (op ≥) = max
  by (auto simp add: ord.min-def-raw max-def-raw expand-fun-eq)

```

```

lemma strict-below-fold1-iff:
  assumes finite A and A ≠ {}

```

```

shows  $x < \text{fold1 min } A \longleftrightarrow (\forall a \in A. x < a)$ 
proof -
  interpret ab-semigroup-idem-mult min
    by (rule ab-semigroup-idem-mult-min)
  from assms show ?thesis
    by (induct rule: finite-ne-induct)
      (simp-all add: fold1-insert)
qed

lemma fold1-below-iff:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\text{fold1 min } A \leq x \longleftrightarrow (\exists a \in A. a \leq x)$ 
proof -
  interpret ab-semigroup-idem-mult min
    by (rule ab-semigroup-idem-mult-min)
  from assms show ?thesis
    by (induct rule: finite-ne-induct)
      (simp-all add: fold1-insert min-le-iff-disj)
qed

lemma fold1-strict-below-iff:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\text{fold1 min } A < x \longleftrightarrow (\exists a \in A. a < x)$ 
proof -
  interpret ab-semigroup-idem-mult min
    by (rule ab-semigroup-idem-mult-min)
  from assms show ?thesis
    by (induct rule: finite-ne-induct)
      (simp-all add: fold1-insert min-less-iff-disj)
qed

lemma fold1-antimono:
  assumes  $A \neq \{\}$  and  $A \subseteq B$  and finite B
  shows  $\text{fold1 min } B \leq \text{fold1 min } A$ 
proof cases
  assume  $A = B$  thus ?thesis by simp
next
  interpret ab-semigroup-idem-mult min
    by (rule ab-semigroup-idem-mult-min)
  assume  $A \neq B$ 
  have B:  $B = A \cup (B - A)$  using  $\langle A \subseteq B \rangle$  by blast
  have  $\text{fold1 min } B = \text{fold1 min } (A \cup (B - A))$  by (subst B)(rule refl)
  also have  $\dots = \min (\text{fold1 min } A) (\text{fold1 min } (B - A))$ 
  proof -
    have finite A by (rule finite-subset[OF  $\langle A \subseteq B \rangle$   $\langle \text{finite } B \rangle$ ])
    moreover have finite(B-A) by (rule finite-Diff[OF  $\langle \text{finite } B \rangle$ ])
    moreover have  $(B - A) \neq \{\}$  using prems by blast
    moreover have  $A \text{ Int } (B - A) = \{\}$  using prems by blast
    ultimately show ?thesis using  $\langle A \neq \{\} \rangle$  by (rule-tac fold1-Un)
  qed

```

qed  
 also have  $\dots \leq \text{fold1 min } A$  by (simp add: min-le-iff-disj)  
 finally show ?thesis .  
 qed

**definition**  
 $\text{Min} :: 'a \text{ set} \Rightarrow 'a$   
**where**  
 $\text{Min} = \text{fold1 min}$

**definition**  
 $\text{Max} :: 'a \text{ set} \Rightarrow 'a$   
**where**  
 $\text{Max} = \text{fold1 max}$

**lemmas**  $\text{Min-singleton [simp]} = \text{fold1-singleton-def [OF Min-def]}$   
**lemmas**  $\text{Max-singleton [simp]} = \text{fold1-singleton-def [OF Max-def]}$

**lemma**  $\text{Min-insert [simp]}$ :  
 assumes  $\text{finite } A$  and  $A \neq \{\}$   
 shows  $\text{Min (insert } x \text{ } A) = \text{min } x \text{ (Min } A)$   
**proof** –  
 interpret  $\text{ab-semigroup-idem-mult min}$   
 by (rule  $\text{ab-semigroup-idem-mult-min}$ )  
 from  $\text{assms}$  show ?thesis by (rule  $\text{fold1-insert-idem-def [OF Min-def]}$ )  
 qed

**lemma**  $\text{Max-insert [simp]}$ :  
 assumes  $\text{finite } A$  and  $A \neq \{\}$   
 shows  $\text{Max (insert } x \text{ } A) = \text{max } x \text{ (Max } A)$   
**proof** –  
 interpret  $\text{ab-semigroup-idem-mult max}$   
 by (rule  $\text{ab-semigroup-idem-mult-max}$ )  
 from  $\text{assms}$  show ?thesis by (rule  $\text{fold1-insert-idem-def [OF Max-def]}$ )  
 qed

**lemma**  $\text{Min-in [simp]}$ :  
 assumes  $\text{finite } A$  and  $A \neq \{\}$   
 shows  $\text{Min } A \in A$   
**proof** –  
 interpret  $\text{ab-semigroup-idem-mult min}$   
 by (rule  $\text{ab-semigroup-idem-mult-min}$ )  
 from  $\text{assms}$   $\text{fold1-in}$  show ?thesis by (fastsimp simp:  $\text{Min-def min-def}$ )  
 qed

**lemma**  $\text{Max-in [simp]}$ :  
 assumes  $\text{finite } A$  and  $A \neq \{\}$   
 shows  $\text{Max } A \in A$   
**proof** –

```

interpret ab-semigroup-idem-mult max
  by (rule ab-semigroup-idem-mult-max)
from assms fold1-in [of A] show ?thesis by (fastsimp simp: Max-def max-def)
qed

```

```

lemma Min-Un:
  assumes finite A and A ≠ {} and finite B and B ≠ {}
  shows Min (A ∪ B) = min (Min A) (Min B)
proof -
  interpret ab-semigroup-idem-mult min
    by (rule ab-semigroup-idem-mult-min)
  from assms show ?thesis
    by (simp add: Min-def fold1-Un2)
qed

```

```

lemma Max-Un:
  assumes finite A and A ≠ {} and finite B and B ≠ {}
  shows Max (A ∪ B) = max (Max A) (Max B)
proof -
  interpret ab-semigroup-idem-mult max
    by (rule ab-semigroup-idem-mult-max)
  from assms show ?thesis
    by (simp add: Max-def fold1-Un2)
qed

```

```

lemma hom-Min-commute:
  assumes  $\bigwedge x y. h (\min x y) = \min (h x) (h y)$ 
  and finite N and N ≠ {}
  shows h (Min N) = Min (h ` N)
proof -
  interpret ab-semigroup-idem-mult min
    by (rule ab-semigroup-idem-mult-min)
  from assms show ?thesis
    by (simp add: Min-def hom-fold1-commute)
qed

```

```

lemma hom-Max-commute:
  assumes  $\bigwedge x y. h (\max x y) = \max (h x) (h y)$ 
  and finite N and N ≠ {}
  shows h (Max N) = Max (h ` N)
proof -
  interpret ab-semigroup-idem-mult max
    by (rule ab-semigroup-idem-mult-max)
  from assms show ?thesis
    by (simp add: Max-def hom-fold1-commute [of h])
qed

```

```

lemma Min-le [simp]:
  assumes finite A and x ∈ A

```

shows  $\text{Min } A \leq x$   
**proof** –  
 interpret *lower-semilattice*  $op \leq op < min$   
 by (rule *min-lattice*)  
 from *assms* **show** ?thesis **by** (simp add: *Min-def fold1-belowI*)  
**qed**

**lemma** *Max-ge [simp]*:  
 assumes *finite A* and  $x \in A$   
 shows  $x \leq \text{Max } A$   
**proof** –  
 interpret *lower-semilattice*  $op \geq op > max$   
 by (rule *max-lattice*)  
 from *assms* **show** ?thesis **by** (simp add: *Max-def fold1-belowI*)  
**qed**

**lemma** *Min-ge-iff [simp, noatp]*:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $x \leq \text{Min } A \longleftrightarrow (\forall a \in A. x \leq a)$   
**proof** –  
 interpret *lower-semilattice*  $op \leq op < min$   
 by (rule *min-lattice*)  
 from *assms* **show** ?thesis **by** (simp add: *Min-def below-fold1-iff*)  
**qed**

**lemma** *Max-le-iff [simp, noatp]*:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Max } A \leq x \longleftrightarrow (\forall a \in A. a \leq x)$   
**proof** –  
 interpret *lower-semilattice*  $op \geq op > max$   
 by (rule *max-lattice*)  
 from *assms* **show** ?thesis **by** (simp add: *Max-def below-fold1-iff*)  
**qed**

**lemma** *Min-gr-iff [simp, noatp]*:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $x < \text{Min } A \longleftrightarrow (\forall a \in A. x < a)$   
**proof** –  
 interpret *lower-semilattice*  $op \leq op < min$   
 by (rule *min-lattice*)  
 from *assms* **show** ?thesis **by** (simp add: *Min-def strict-below-fold1-iff*)  
**qed**

**lemma** *Max-less-iff [simp, noatp]*:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Max } A < x \longleftrightarrow (\forall a \in A. a < x)$   
**proof** –  
 note  $\text{Max} = \text{Max-def}$   
 interpret *linorder*  $op \geq op >$

by (rule dual-linorder)  
 from *assms* show ?thesis  
 by (simp add: Max strict-below-fold1-iff [folded dual-max])  
 qed

lemma *Min-le-iff* [noatp]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Min } A \leq x \longleftrightarrow (\exists a \in A. a \leq x)$   
 proof –  
 interpret lower-semilattice  $op \leq op < min$   
 by (rule min-lattice)  
 from *assms* show ?thesis  
 by (simp add: Min-def fold1-below-iff)  
 qed

lemma *Max-ge-iff* [noatp]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $x \leq \text{Max } A \longleftrightarrow (\exists a \in A. x \leq a)$   
 proof –  
 note  $\text{Max} = \text{Max-def}$   
 interpret linorder  $op \geq op >$   
 by (rule dual-linorder)  
 from *assms* show ?thesis  
 by (simp add: Max fold1-below-iff [folded dual-max])  
 qed

lemma *Min-less-iff* [noatp]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Min } A < x \longleftrightarrow (\exists a \in A. a < x)$   
 proof –  
 interpret lower-semilattice  $op \leq op < min$   
 by (rule min-lattice)  
 from *assms* show ?thesis  
 by (simp add: Min-def fold1-strict-below-iff)  
 qed

lemma *Max-gr-iff* [noatp]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $x < \text{Max } A \longleftrightarrow (\exists a \in A. x < a)$   
 proof –  
 note  $\text{Max} = \text{Max-def}$   
 interpret linorder  $op \geq op >$   
 by (rule dual-linorder)  
 from *assms* show ?thesis  
 by (simp add: Max fold1-strict-below-iff [folded dual-max])  
 qed

lemma *Min-eqI*:  
 assumes *finite A*

```

    assumes  $\bigwedge y. y \in A \implies y \geq x$ 
    and  $x \in A$ 
    shows  $\text{Min } A = x$ 
  proof (rule antisym)
    from  $\langle x \in A \rangle$  have  $A \neq \{\}$  by auto
    with assms show  $\text{Min } A \geq x$  by simp
  next
    from assms show  $x \geq \text{Min } A$  by simp
qed

```

```

lemma Max-eqI:
  assumes finite A
  assumes  $\bigwedge y. y \in A \implies y \leq x$ 
  and  $x \in A$ 
  shows  $\text{Max } A = x$ 
  proof (rule antisym)
    from  $\langle x \in A \rangle$  have  $A \neq \{\}$  by auto
    with assms show  $\text{Max } A \leq x$  by simp
  next
    from assms show  $x \leq \text{Max } A$  by simp
qed

```

```

lemma Min-antimono:
  assumes  $M \subseteq N$  and  $M \neq \{\}$  and finite N
  shows  $\text{Min } N \leq \text{Min } M$ 
  proof -
    interpret distrib-lattice op  $\leq$  op  $<$  min max
    by (rule distrib-lattice-min-max)
    from assms show ?thesis by (simp add: Min-def fold1-antimono)
  qed

```

```

lemma Max-mono:
  assumes  $M \subseteq N$  and  $M \neq \{\}$  and finite N
  shows  $\text{Max } M \leq \text{Max } N$ 
  proof -
    note Max = Max-def
    interpret linorder op  $\geq$  op  $>$ 
    by (rule dual-linorder)
    from assms show ?thesis
    by (simp add: Max fold1-antimono [folded dual-max])
  qed

```

```

lemma finite-linorder-induct[consumes 1, case-names empty insert]:
  finite A  $\implies P \{\} \implies$ 
  (!A b. finite A  $\implies \text{ALL } a:A. a < b \implies P A \implies P(\text{insert } b A)$ )
   $\implies P A$ 
  proof (induct A rule: measure-induct-rule[where f=card])
    fix A :: 'a set
    assume IH: !! B. card B < card A  $\implies$  finite B  $\implies P \{\} \implies$ 

```



```

      (!!A b. finite A  $\implies$  ( $\forall a \in A. a < b$ )  $\implies$  P A  $\implies$  P (insert b A))
       $\implies$  P B
    and finite A and P {}
    and step: !!A b.  $\llbracket$ finite A;  $\forall a \in A. a < b$ ; P A $\rrbracket \implies$  P (insert b A)
    show P A
    proof (cases A = {})
      assume A = {} thus P A using <P {}> by simp
    next
      let ?B = A - {Max A} let ?A = insert (Max A) ?B
      assume A  $\neq$  {}
      with <finite A> have Max A : A by auto
      hence A: ?A = A using insert-Diff-single insert-absorb by auto
      note card-Diff1-less[OF <finite A> <Max A : A>]
      moreover have finite ?B using <finite A> by simp
      ultimately have P ?B using <P {}> step IH by blast
      moreover have  $\forall a \in ?B. a < \text{Max } A$ 
        using Max-ge [OF <finite A>] by fastsimp
      ultimately show P A
        using A insert-Diff-single step[OF <finite ?B>] by fastsimp
    qed
  qed
end

context ordered-ab-semigroup-add
begin

lemma add-Min-commute:
  fixes k
  assumes finite N and N  $\neq$  {}
  shows k + Min N = Min {k + m | m. m  $\in$  N}
proof -
  have  $\bigwedge x y. k + \min x y = \min (k + x) (k + y)$ 
    by (simp add: min-def not-le)
    (blast intro: antisym less-imp-le add-left-mono)
  with assms show ?thesis
    using hom-Min-commute [of plus k N]
    by simp (blast intro: arg-cong [where f = Min])
qed

lemma add-Max-commute:
  fixes k
  assumes finite N and N  $\neq$  {}
  shows k + Max N = Max {k + m | m. m  $\in$  N}
proof -
  have  $\bigwedge x y. k + \max x y = \max (k + x) (k + y)$ 
    by (simp add: max-def not-le)
    (blast intro: antisym less-imp-le add-left-mono)
  with assms show ?thesis

```

```

    using hom-Max-commute [of plus k N]
    by simp (blast intro: arg-cong [where f = Max])
qed

end

end

```

## 18 Relation: Relations

```

theory Relation
imports Datatype Finite-Set
begin

```

### 18.1 Definitions

#### definition

```

converse :: ('a * 'b) set => ('b * 'a) set
((-1) [1000] 999) where
-1 == {(y, x). (x, y) : r}

```

#### notation (*xsymbols*)

```

converse ((-1) [1000] 999)

```

#### definition

```

rel-comp :: [('b * 'c) set, ('a * 'b) set] => ('a * 'c) set
(infixr O 75) where
r O s == {(x,z). EX y. (x, y) : s & (y, z) : r}

```

#### definition

```

Image :: [('a * 'b) set, 'a set] => 'b set
(infixl “ 90) where
r “ s == {y. EX x:s. (x,y):r}

```

#### definition

```

Id :: ('a * 'a) set where — the identity relation
Id == {p. EX x. p = (x,x)}

```

#### definition

```

Id-on :: 'a set => ('a * 'a) set where — diagonal: identity over a set
Id-on A ==  $\bigcup_{x \in A} \{(x,x)\}$ 

```

#### definition

```

Domain :: ('a * 'b) set => 'a set where
Domain r == {x. EX y. (x,y):r}

```

#### definition

```

Range :: ('a * 'b) set => 'b set where

```

$\text{Range } r == \text{Domain}(r^{-1})$

**definition**

$\text{Field} :: ('a * 'a) \text{ set} \Rightarrow 'a \text{ set} \text{ where}$   
 $\text{Field } r == \text{Domain } r \cup \text{Range } r$

**definition**

$\text{refl-on} :: ['a \text{ set}, ('a * 'a) \text{ set}] \Rightarrow \text{bool} \text{ where}$  — reflexivity over a set  
 $\text{refl-on } A \ r == r \subseteq A \times A \ \& \ (\text{ALL } x: A. (x, x) : r)$

**abbreviation**

$\text{refl} :: ('a * 'a) \text{ set} \Rightarrow \text{bool} \text{ where}$  — reflexivity over a type  
 $\text{refl} == \text{refl-on UNIV}$

**definition**

$\text{sym} :: ('a * 'a) \text{ set} \Rightarrow \text{bool} \text{ where}$  — symmetry predicate  
 $\text{sym } r == \text{ALL } x \ y. (x, y) : r \longrightarrow (y, x) : r$

**definition**

$\text{antisym} :: ('a * 'a) \text{ set} \Rightarrow \text{bool} \text{ where}$  — antisymmetry predicate  
 $\text{antisym } r == \text{ALL } x \ y. (x, y) : r \longrightarrow (y, x) : r \longrightarrow x = y$

**definition**

$\text{trans} :: ('a * 'a) \text{ set} \Rightarrow \text{bool} \text{ where}$  — transitivity predicate  
 $\text{trans } r == (\text{ALL } x \ y \ z. (x, y) : r \longrightarrow (y, z) : r \longrightarrow (x, z) : r)$

**definition**

$\text{irrefl} :: ('a * 'a) \text{ set} \Rightarrow \text{bool} \text{ where}$   
 $\text{irrefl } r \equiv \forall x. (x, x) \notin r$

**definition**

$\text{total-on} :: 'a \text{ set} \Rightarrow ('a * 'a) \text{ set} \Rightarrow \text{bool} \text{ where}$   
 $\text{total-on } A \ r \equiv \forall x \in A. \forall y \in A. x \neq y \longrightarrow (x, y) \in r \vee (y, x) \in r$

**abbreviation**  $\text{total} \equiv \text{total-on UNIV}$

**definition**

$\text{single-valued} :: ('a * 'b) \text{ set} \Rightarrow \text{bool} \text{ where}$   
 $\text{single-valued } r == \text{ALL } x \ y. (x, y) : r \longrightarrow (\text{ALL } z. (x, z) : r \longrightarrow y = z)$

**definition**

$\text{inv-image} :: ('b * 'b) \text{ set} \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a * 'a) \text{ set} \text{ where}$   
 $\text{inv-image } r \ f == \{(x, y). (f \ x, f \ y) : r\}$

## 18.2 The identity relation

**lemma**  $\text{IdI}$  [intro]:  $(a, a) : \text{Id}$   
**by** (*simp add: Id-def*)

**lemma** *IdE* [*elim!*]:  $p : Id \implies (!!x. p = (x, x) \implies P) \implies P$   
**by** (*unfold Id-def*) (*iprover elim: CollectE*)

**lemma** *pair-in-Id-conv* [*iff*]:  $((a, b) : Id) = (a = b)$   
**by** (*unfold Id-def*) *blast*

**lemma** *refl-Id*: *refl Id*  
**by** (*simp add: refl-on-def*)

**lemma** *antisym-Id*: *antisym Id*  
 — A strange result, since *Id* is also symmetric.  
**by** (*simp add: antisym-def*)

**lemma** *sym-Id*: *sym Id*  
**by** (*simp add: sym-def*)

**lemma** *trans-Id*: *trans Id*  
**by** (*simp add: trans-def*)

### 18.3 Diagonal: identity over a set

**lemma** *Id-on-empty* [*simp*]:  $Id-on \{\} = \{\}$   
**by** (*simp add: Id-on-def*)

**lemma** *Id-on-eqI*:  $a = b \implies a : A \implies (a, b) : Id-on A$   
**by** (*simp add: Id-on-def*)

**lemma** *Id-onI* [*intro!, noatp*]:  $a : A \implies (a, a) : Id-on A$   
**by** (*rule Id-on-eqI*) (*rule refl*)

**lemma** *Id-onE* [*elim!*]:  
 $c : Id-on A \implies (!!x. x : A \implies c = (x, x) \implies P) \implies P$   
 — The general elimination rule.  
**by** (*unfold Id-on-def*) (*iprover elim!: UN-E singletonE*)

**lemma** *Id-on-iff*:  $((x, y) : Id-on A) = (x = y \ \& \ x : A)$   
**by** *blast*

**lemma** *Id-on-subset-Times*:  $Id-on A \subseteq A \times A$   
**by** *blast*

### 18.4 Composition of two relations

**lemma** *rel-compI* [*intro*]:  
 $(a, b) : s \implies (b, c) : r \implies (a, c) : r \ O \ s$   
**by** (*unfold rel-comp-def*) *blast*

**lemma** *rel-compE* [*elim!*]:  $xz : r \ O \ s \implies$   
 $(!!x \ y \ z. xz = (x, z) \implies (x, y) : s \implies (y, z) : r \implies P) \implies P$   
**by** (*unfold rel-comp-def*) (*iprover elim!: CollectE splitE exE conjE*)

**lemma** *rel-compEpair*:

$(a, c) : r \ O \ s \implies (!y. (a, y) : s \implies (y, c) : r \implies P) \implies P$   
**by** (*iprover elim: rel-compE Pair-inject ssubst*)

**lemma** *R-O-Id* [*simp*]:  $R \ O \ Id = R$   
**by** *fast*

**lemma** *Id-O-R* [*simp*]:  $Id \ O \ R = R$   
**by** *fast*

**lemma** *rel-comp-empty1* [*simp*]:  $\{\} \ O \ R = \{\}$   
**by** *blast*

**lemma** *rel-comp-empty2* [*simp*]:  $R \ O \ \{\} = \{\}$   
**by** *blast*

**lemma** *O-assoc*:  $(R \ O \ S) \ O \ T = R \ O \ (S \ O \ T)$   
**by** *blast*

**lemma** *trans-O-subset*:  $\text{trans } r \implies r \ O \ r \subseteq r$   
**by** (*unfold trans-def*) *blast*

**lemma** *rel-comp-mono*:  $r' \subseteq r \implies s' \subseteq s \implies (r' \ O \ s') \subseteq (r \ O \ s)$   
**by** *blast*

**lemma** *rel-comp-subset-Sigma*:

$s \subseteq A \times B \implies r \subseteq B \times C \implies (r \ O \ s) \subseteq A \times C$   
**by** *blast*

**lemma** *rel-comp-distrib* [*simp*]:  $R \ O \ (S \cup T) = (R \ O \ S) \cup (R \ O \ T)$   
**by** *auto*

**lemma** *rel-comp-distrib2* [*simp*]:  $(S \cup T) \ O \ R = (S \ O \ R) \cup (T \ O \ R)$   
**by** *auto*

## 18.5 Reflexivity

**lemma** *refl-onI*:  $r \subseteq A \times A \implies (!x. x : A \implies (x, x) : r) \implies \text{refl-on } A \ r$   
**by** (*unfold refl-on-def*) (*iprover intro!: ballI*)

**lemma** *refl-onD*:  $\text{refl-on } A \ r \implies a : A \implies (a, a) : r$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-onD1*:  $\text{refl-on } A \ r \implies (x, y) : r \implies x : A$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-onD2*:  $\text{refl-on } A \ r \implies (x, y) : r \implies y : A$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-on-Int*:  $\text{refl-on } A \ r \implies \text{refl-on } B \ s \implies \text{refl-on } (A \cap B) \ (r \cap s)$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-on-Un*:  $\text{refl-on } A \ r \implies \text{refl-on } B \ s \implies \text{refl-on } (A \cup B) \ (r \cup s)$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-on-INTER*:  
 $\text{ALL } x:S. \text{refl-on } (A \ x) \ (r \ x) \implies \text{refl-on } (\text{INTER } S \ A) \ (\text{INTER } S \ r)$   
**by** (*unfold refl-on-def*) *fast*

**lemma** *refl-on-UNION*:  
 $\text{ALL } x:S. \text{refl-on } (A \ x) \ (r \ x) \implies \text{refl-on } (\text{UNION } S \ A) \ (\text{UNION } S \ r)$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-on-empty[simp]*:  $\text{refl-on } \{\} \ \{\}$   
**by**(*simp add:refl-on-def*)

**lemma** *refl-on-Id-on*:  $\text{refl-on } A \ (\text{Id-on } A)$   
**by** (*rule refl-onI [OF Id-on-subset-Times Id-onI]*)

## 18.6 Antisymmetry

**lemma** *antisymI*:  
 $(!!x \ y. (x, y) : r \implies (y, x) : r \implies x=y) \implies \text{antisym } r$   
**by** (*unfold antisym-def*) *iprover*

**lemma** *antisymD*:  $\text{antisym } r \implies (a, b) : r \implies (b, a) : r \implies a = b$   
**by** (*unfold antisym-def*) *iprover*

**lemma** *antisym-subset*:  $r \subseteq s \implies \text{antisym } s \implies \text{antisym } r$   
**by** (*unfold antisym-def*) *blast*

**lemma** *antisym-empty [simp]*:  $\text{antisym } \{\}$   
**by** (*unfold antisym-def*) *blast*

**lemma** *antisym-Id-on [simp]*:  $\text{antisym } (\text{Id-on } A)$   
**by** (*unfold antisym-def*) *blast*

## 18.7 Symmetry

**lemma** *symI*:  $(!!a \ b. (a, b) : r \implies (b, a) : r) \implies \text{sym } r$   
**by** (*unfold sym-def*) *iprover*

**lemma** *symD*:  $\text{sym } r \implies (a, b) : r \implies (b, a) : r$   
**by** (*unfold sym-def, blast*)

**lemma** *sym-Int*:  $\text{sym } r \implies \text{sym } s \implies \text{sym } (r \cap s)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-Un*:  $\text{sym } r \implies \text{sym } s \implies \text{sym } (r \cup s)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-INTER*:  $\text{ALL } x:S. \text{sym } (r \ x) \implies \text{sym } (\text{INTER } S \ r)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-UNION*:  $\text{ALL } x:S. \text{sym } (r \ x) \implies \text{sym } (\text{UNION } S \ r)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-Id-on* [*simp*]:  $\text{sym } (\text{Id-on } A)$   
**by** (*rule symI*) *clarify*

## 18.8 Transitivity

**lemma** *transI*:  
 $(!!x \ y \ z. (x, y) : r \implies (y, z) : r \implies (x, z) : r) \implies \text{trans } r$   
**by** (*unfold trans-def*) *iprover*

**lemma** *transD*:  $\text{trans } r \implies (a, b) : r \implies (b, c) : r \implies (a, c) : r$   
**by** (*unfold trans-def*) *iprover*

**lemma** *trans-Int*:  $\text{trans } r \implies \text{trans } s \implies \text{trans } (r \cap s)$   
**by** (*fast intro: transI elim: transD*)

**lemma** *trans-INTER*:  $\text{ALL } x:S. \text{trans } (r \ x) \implies \text{trans } (\text{INTER } S \ r)$   
**by** (*fast intro: transI elim: transD*)

**lemma** *trans-Id-on* [*simp*]:  $\text{trans } (\text{Id-on } A)$   
**by** (*fast intro: transI elim: transD*)

**lemma** *trans-diff-Id*:  $\text{trans } r \implies \text{antisym } r \implies \text{trans } (r - \text{Id})$   
**unfolding** *antisym-def trans-def* **by** *blast*

## 18.9 Irreflexivity

**lemma** *irrefl-diff-Id* [*simp*]:  $\text{irrefl}(r - \text{Id})$   
**by** (*simp add: irrefl-def*)

## 18.10 Totality

**lemma** *total-on-empty* [*simp*]:  $\text{total-on } \{\} \ r$   
**by** (*simp add: total-on-def*)

**lemma** *total-on-diff-Id* [*simp*]:  $\text{total-on } A \ (r - \text{Id}) = \text{total-on } A \ r$   
**by** (*simp add: total-on-def*)

## 18.11 Converse

**lemma** *converse-iff* [*iff*]:  $((a, b) : r^{-1}) = ((b, a) : r)$   
**by** (*simp add: converse-def*)

**lemma** *converseI[sym]*:  $(a, b) : r \implies (b, a) : r^{-1}$   
**by** (*simp add: converse-def*)

**lemma** *converseD[sym]*:  $(a, b) : r^{-1} \implies (b, a) : r$   
**by** (*simp add: converse-def*)

**lemma** *converseE [elim!]*:  
 $yx : r^{-1} \implies (!x y. yx = (y, x) \implies (x, y) : r \implies P) \implies P$   
 — More general than *converseD*, as it “splits” the member of the relation.  
**by** (*unfold converse-def*) (*iprover elim!: CollectE splitE bexE*)

**lemma** *converse-converse [simp]*:  $(r^{-1})^{-1} = r$   
**by** (*unfold converse-def*) *blast*

**lemma** *converse-rel-comp*:  $(r \circ s)^{-1} = s^{-1} \circ r^{-1}$   
**by** *blast*

**lemma** *converse-Int*:  $(r \cap s)^{-1} = r^{-1} \cap s^{-1}$   
**by** *blast*

**lemma** *converse-Un*:  $(r \cup s)^{-1} = r^{-1} \cup s^{-1}$   
**by** *blast*

**lemma** *converse-INTER*:  $(\text{INTER } S \ r)^{-1} = (\text{INT } x:S. (r \ x)^{-1})$   
**by** *fast*

**lemma** *converse-UNION*:  $(\text{UNION } S \ r)^{-1} = (\text{UN } x:S. (r \ x)^{-1})$   
**by** *blast*

**lemma** *converse-Id [simp]*:  $\text{Id}^{-1} = \text{Id}$   
**by** *blast*

**lemma** *converse-Id-on [simp]*:  $(\text{Id-on } A)^{-1} = \text{Id-on } A$   
**by** *blast*

**lemma** *refl-on-converse [simp]*:  $\text{refl-on } A \ (\text{converse } r) = \text{refl-on } A \ r$   
**by** (*unfold refl-on-def*) *auto*

**lemma** *sym-converse [simp]*:  $\text{sym} \ (\text{converse } r) = \text{sym } r$   
**by** (*unfold sym-def*) *blast*

**lemma** *antisym-converse [simp]*:  $\text{antisym} \ (\text{converse } r) = \text{antisym } r$   
**by** (*unfold antisym-def*) *blast*

**lemma** *trans-converse [simp]*:  $\text{trans} \ (\text{converse } r) = \text{trans } r$   
**by** (*unfold trans-def*) *blast*

**lemma** *sym-conv-converse-eq*:  $\text{sym } r = (r^{-1} = r)$



**by** (*unfold sym-def*) *fast*

**lemma** *sym-Un-converse*:  $\text{sym } (r \cup r^{-1})$   
**by** (*unfold sym-def*) *blast*

**lemma** *sym-Int-converse*:  $\text{sym } (r \cap r^{-1})$   
**by** (*unfold sym-def*) *blast*

**lemma** *total-on-converse*[*simp*]:  $\text{total-on } A \ (r^{-1}) = \text{total-on } A \ r$   
**by** (*auto simp: total-on-def*)

## 18.12 Domain

**declare** *Domain-def* [*noatp*]

**lemma** *Domain-iff*:  $(a : \text{Domain } r) = (\exists y. (a, y) : r)$   
**by** (*unfold Domain-def*) *blast*

**lemma** *DomainI* [*intro*]:  $(a, b) : r \implies a : \text{Domain } r$   
**by** (*iprover intro!: iffD2 [OF Domain-iff]*)

**lemma** *DomainE* [*elim!*]:  
 $a : \text{Domain } r \implies (!y. (a, y) : r \implies P) \implies P$   
**by** (*iprover dest!: iffD1 [OF Domain-iff]*)

**lemma** *Domain-empty* [*simp*]:  $\text{Domain } \{\} = \{\}$   
**by** *blast*

**lemma** *Domain-insert*:  $\text{Domain } (\text{insert } (a, b) \ r) = \text{insert } a \ (\text{Domain } r)$   
**by** *blast*

**lemma** *Domain-Id* [*simp*]:  $\text{Domain } \text{Id} = \text{UNIV}$   
**by** *blast*

**lemma** *Domain-Id-on* [*simp*]:  $\text{Domain } (\text{Id-on } A) = A$   
**by** *blast*

**lemma** *Domain-Un-eq*:  $\text{Domain}(A \cup B) = \text{Domain}(A) \cup \text{Domain}(B)$   
**by** *blast*

**lemma** *Domain-Int-subset*:  $\text{Domain}(A \cap B) \subseteq \text{Domain}(A) \cap \text{Domain}(B)$   
**by** *blast*

**lemma** *Domain-Diff-subset*:  $\text{Domain}(A) - \text{Domain}(B) \subseteq \text{Domain}(A - B)$   
**by** *blast*

**lemma** *Domain-Union*:  $\text{Domain } (\text{Union } S) = (\bigcup A \in S. \text{Domain } A)$   
**by** *blast*

**lemma** *Domain-converse* [simp]:  $\text{Domain}(r^{-1}) = \text{Range } r$   
**by** (auto simp: Range-def)

**lemma** *Domain-mono*:  $r \subseteq s \implies \text{Domain } r \subseteq \text{Domain } s$   
**by** blast

**lemma** *fst-eq-Domain*:  $\text{fst } R = \text{Domain } R$   
**by** (auto intro!: image-eqI)

**lemma** *Domain-dprod* [simp]:  $\text{Domain } (\text{dprod } r \ s) = \text{uprod } (\text{Domain } r) (\text{Domain } s)$   
**by** auto

**lemma** *Domain-dsum* [simp]:  $\text{Domain } (\text{dsum } r \ s) = \text{usum } (\text{Domain } r) (\text{Domain } s)$   
**by** auto

### 18.13 Range

**lemma** *Range-iff*:  $(a : \text{Range } r) = (\exists y. (y, a) : r)$   
**by** (simp add: Domain-def Range-def)

**lemma** *RangeI* [intro]:  $(a, b) : r \implies b : \text{Range } r$   
**by** (unfold Range-def) (iprover intro!: converseI DomainI)

**lemma** *RangeE* [elim!]:  $b : \text{Range } r \implies (!x. (x, b) : r \implies P) \implies P$   
**by** (unfold Range-def) (iprover elim!: DomainE dest!: converseD)

**lemma** *Range-empty* [simp]:  $\text{Range } \{\} = \{\}$   
**by** blast

**lemma** *Range-insert*:  $\text{Range } (\text{insert } (a, b) \ r) = \text{insert } b \ (\text{Range } r)$   
**by** blast

**lemma** *Range-Id* [simp]:  $\text{Range } \text{Id} = \text{UNIV}$   
**by** blast

**lemma** *Range-Id-on* [simp]:  $\text{Range } (\text{Id-on } A) = A$   
**by** auto

**lemma** *Range-Un-eq*:  $\text{Range}(A \cup B) = \text{Range}(A) \cup \text{Range}(B)$   
**by** blast

**lemma** *Range-Int-subset*:  $\text{Range}(A \cap B) \subseteq \text{Range}(A) \cap \text{Range}(B)$   
**by** blast

**lemma** *Range-Diff-subset*:  $\text{Range}(A) - \text{Range}(B) \subseteq \text{Range}(A - B)$   
**by** blast

**lemma** *Range-Union*:  $\text{Range } (\text{Union } S) = (\bigcup A \in S. \text{Range } A)$   
**by** *blast*

**lemma** *Range-converse[simp]*:  $\text{Range}(r^{-1}) = \text{Domain } r$   
**by** *blast*

**lemma** *snd-eq-Range*:  $\text{snd } \text{‘} R = \text{Range } R$   
**by** *(auto intro!: image-eqI)*

### 18.14 Field

**lemma** *mono-Field*:  $r \subseteq s \implies \text{Field } r \subseteq \text{Field } s$   
**by** *(auto simp: Field-def Domain-def Range-def)*

**lemma** *Field-empty[simp]*:  $\text{Field } \{\} = \{\}$   
**by** *(auto simp: Field-def)*

**lemma** *Field-insert[simp]*:  $\text{Field } (\text{insert } (a, b) r) = \{a, b\} \cup \text{Field } r$   
**by** *(auto simp: Field-def)*

**lemma** *Field-Un[simp]*:  $\text{Field } (r \cup s) = \text{Field } r \cup \text{Field } s$   
**by** *(auto simp: Field-def)*

**lemma** *Field-Union[simp]*:  $\text{Field } (\bigcup R) = \bigcup (\text{Field } \text{‘} R)$   
**by** *(auto simp: Field-def)*

**lemma** *Field-converse[simp]*:  $\text{Field}(r^{-1}) = \text{Field } r$   
**by** *(auto simp: Field-def)*

### 18.15 Image of a set under a relation

**declare** *Image-def* [noatp]

**lemma** *Image-iff*:  $(b : r \text{‘‘} A) = (\exists x : A. (x, b) : r)$   
**by** *(simp add: Image-def)*

**lemma** *Image-singleton*:  $r \text{‘‘} \{a\} = \{b. (a, b) : r\}$   
**by** *(simp add: Image-def)*

**lemma** *Image-singleton-iff [iff]*:  $(b : r \text{‘‘} \{a\}) = ((a, b) : r)$   
**by** *(rule Image-iff [THEN trans]) simp*

**lemma** *ImageI [intro, noatp]*:  $(a, b) : r \implies a : A \implies b : r \text{‘‘} A$   
**by** *(unfold Image-def) blast*

**lemma** *ImageE [elim!]*:  
 $b : r \text{‘‘} A \implies (!x. (x, b) : r \implies x : A \implies P) \implies P$   
**by** *(unfold Image-def) (iprover elim!: CollectE bexE)*

**lemma** *rev-ImageI*:  $a : A \implies (a, b) : r \implies b : r \text{‘‘} A$

— This version’s more effective when we already have the required  $a$   
**by** *blast*

**lemma** *Image-empty* [*simp*]:  $R “ \{\} = \{\}$   
**by** *blast*

**lemma** *Image-Id* [*simp*]:  $Id “ A = A$   
**by** *blast*

**lemma** *Image-Id-on* [*simp*]:  $Id-on A “ B = A \cap B$   
**by** *blast*

**lemma** *Image-Int-subset*:  $R “ (A \cap B) \subseteq R “ A \cap R “ B$   
**by** *blast*

**lemma** *Image-Int-eq*:  
 $single-valued (converse R) ==> R “ (A \cap B) = R “ A \cap R “ B$   
**by** (*simp add: single-valued-def, blast*)

**lemma** *Image-Un*:  $R “ (A \cup B) = R “ A \cup R “ B$   
**by** *blast*

**lemma** *Un-Image*:  $(R \cup S) “ A = R “ A \cup S “ A$   
**by** *blast*

**lemma** *Image-subset*:  $r \subseteq A \times B ==> r “ C \subseteq B$   
**by** (*iprover intro!: subsetI elim!: ImageE dest!: subsetD SigmaD2*)

**lemma** *Image-eq-UN*:  $r “ B = (\bigcup y \in B. r “ \{y\})$   
 — NOT suitable for rewriting  
**by** *blast*

**lemma** *Image-mono*:  $r' \subseteq r ==> A' \subseteq A ==> (r' “ A') \subseteq (r “ A)$   
**by** *blast*

**lemma** *Image-UN*:  $(r “ (UNION A B)) = (\bigcup x \in A. r “ (B x))$   
**by** *blast*

**lemma** *Image-INT-subset*:  $(r “ INTER A B) \subseteq (\bigcap x \in A. r “ (B x))$   
**by** *blast*

Converse inclusion requires some assumptions

**lemma** *Image-INT-eq*:  
 $[single-valued (r^{-1}); A \neq \{\}] ==> r “ INTER A B = (\bigcap x \in A. r “ B x)$   
**apply** (*rule equalityI*)  
**apply** (*rule Image-INT-subset*)  
**apply** (*simp add: single-valued-def, blast*)  
**done**

**lemma** *Image-subset-eq*:  $(r \text{ “} A \subseteq B \text{”} = (A \subseteq - ((r \hat{-} 1) \text{ “} (-B)))$   
**by** *blast*

### 18.16 Single valued relations

**lemma** *single-valuedI*:

$ALL\ x\ y.\ (x,y):r \dashrightarrow (ALL\ z.\ (x,z):r \dashrightarrow y=z) \implies \text{single-valued } r$   
**by** (*unfold single-valued-def*)

**lemma** *single-valuedD*:

$\text{single-valued } r \implies (x, y) : r \implies (x, z) : r \implies y = z$   
**by** (*simp add: single-valued-def*)

**lemma** *single-valued-rel-comp*:

$\text{single-valued } r \implies \text{single-valued } s \implies \text{single-valued } (r \circ s)$   
**by** (*unfold single-valued-def*) *blast*

**lemma** *single-valued-subset*:

$r \subseteq s \implies \text{single-valued } s \implies \text{single-valued } r$   
**by** (*unfold single-valued-def*) *blast*

**lemma** *single-valued-Id* [*simp*]: *single-valued Id*

**by** (*unfold single-valued-def*) *blast*

**lemma** *single-valued-Id-on* [*simp*]: *single-valued (Id-on A)*

**by** (*unfold single-valued-def*) *blast*

### 18.17 Graphs given by Collect

**lemma** *Domain-Collect-split* [*simp*]:  $\text{Domain}\{(x,y). P\ x\ y\} = \{x. EX\ y. P\ x\ y\}$

**by** *auto*

**lemma** *Range-Collect-split* [*simp*]:  $\text{Range}\{(x,y). P\ x\ y\} = \{y. EX\ x. P\ x\ y\}$

**by** *auto*

**lemma** *Image-Collect-split* [*simp*]:  $\{(x,y). P\ x\ y\} \text{ “} A = \{y. EX\ x:A. P\ x\ y\}$

**by** *auto*

### 18.18 Inverse image

**lemma** *sym-inv-image*:  $\text{sym } r \implies \text{sym } (\text{inv-image } r\ f)$

**by** (*unfold sym-def inv-image-def*) *blast*

**lemma** *trans-inv-image*:  $\text{trans } r \implies \text{trans } (\text{inv-image } r\ f)$

**apply** (*unfold trans-def inv-image-def*)

**apply** (*simp (no-asm)*)

**apply** *blast*

**done**

### 18.19 Finiteness

```

lemma finite-converse [iff]: finite ( $r^{-1}$ ) = finite r
  apply (subgoal-tac  $r^{-1} = (\% (x,y). (y,x)) 'r$ )
  apply simp
  apply (rule iffI)
  apply (erule finite-imageD [unfolded inj-on-def])
  apply (simp split add: split-split)
  apply (erule finite-imageI)
  apply (simp add: converse-def image-def, auto)
  apply (rule beqI)
  prefer 2 apply assumption
  apply simp
  done

```

**Finiteness of transitive closure** (Thanks to Sidi Ehmedy)

```

lemma finite-Field: finite r ==> finite (Field r)
  — A finite relation has a finite field (= domain  $\cup$  range).
  apply (induct set: finite)
  apply (auto simp add: Field-def Domain-insert Range-insert)
  done

```

### 18.20 Version of *lfp-induct* for binary relations

```

lemmas lfp-induct2 =
  lfp-induct-set [of (a, b), split-format (complete)]

end

```

## 19 Predicate: Predicates as relations and enumerations

```

theory Predicate
imports Inductive Relation
begin

```

```

notation
  inf (infixl  $\sqcap$  70) and
  sup (infixl  $\sqcup$  65) and
  Inf ( $\sqcap$  - [900] 900) and
  Sup ( $\sqcup$  - [900] 900) and
  top ( $\top$ ) and
  bot ( $\perp$ )

```

## 19.1 Predicates as (complete) lattices

### 19.1.1 $op \sqcup$ on $bool$

**lemma** *sup-boolI1*:

$$P \implies P \sqcup Q$$

**by** (*simp add: sup-bool-eq*)

**lemma** *sup-boolI2*:

$$Q \implies P \sqcup Q$$

**by** (*simp add: sup-bool-eq*)

**lemma** *sup-boolE*:

$$P \sqcup Q \implies (P \implies R) \implies (Q \implies R) \implies R$$

**by** (*auto simp add: sup-bool-eq*)

### 19.1.2 Equality and Subsets

**lemma** *pred-equals-eq*:  $((\lambda x. x \in R) = (\lambda x. x \in S)) = (R = S)$

**by** (*simp add: mem-def*)

**lemma** *pred-equals-eq2* [*pred-set-conv*]:  $((\lambda x y. (x, y) \in R) = (\lambda x y. (x, y) \in S)) = (R = S)$

**by** (*simp add: expand-fun-eq mem-def*)

**lemma** *pred-subset-eq*:  $((\lambda x. x \in R) \leq (\lambda x. x \in S)) = (R \leq S)$

**by** (*simp add: mem-def*)

**lemma** *pred-subset-eq2* [*pred-set-conv*]:  $((\lambda x y. (x, y) \in R) \leq (\lambda x y. (x, y) \in S)) = (R \leq S)$

**by** *fast*

### 19.1.3 Top and bottom elements

**lemma** *top1I* [*intro!*]:  $top\ x$

**by** (*simp add: top-fun-eq top-bool-eq*)

**lemma** *top2I* [*intro!*]:  $top\ x\ y$

**by** (*simp add: top-fun-eq top-bool-eq*)

**lemma** *bot1E* [*elim!*]:  $bot\ x \implies P$

**by** (*simp add: bot-fun-eq bot-bool-eq*)

**lemma** *bot2E* [*elim!*]:  $bot\ x\ y \implies P$

**by** (*simp add: bot-fun-eq bot-bool-eq*)

### 19.1.4 The empty set

**lemma** *bot-empty-eq*:  $bot = (\lambda x. x \in \{\})$

**by** (*auto simp add: expand-fun-eq*)

**lemma** *bot-empty-eq2*:  $\text{bot} = (\lambda x y. (x, y) \in \{\})$   
**by** (*auto simp add: expand-fun-eq*)

### 19.1.5 Binary union

**lemma** *sup1-iff* [*simp*]:  $\text{sup } A B x \longleftrightarrow A x \mid B x$   
**by** (*simp add: sup-fun-eq sup-bool-eq*)

**lemma** *sup2-iff* [*simp*]:  $\text{sup } A B x y \longleftrightarrow A x y \mid B x y$   
**by** (*simp add: sup-fun-eq sup-bool-eq*)

**lemma** *sup-Un-eq* [*pred-set-conv*]:  $\text{sup } (\lambda x. x \in R) (\lambda x. x \in S) = (\lambda x. x \in R \cup S)$   
**by** (*simp add: expand-fun-eq*)

**lemma** *sup-Un-eq2* [*pred-set-conv*]:  $\text{sup } (\lambda x y. (x, y) \in R) (\lambda x y. (x, y) \in S) = (\lambda x y. (x, y) \in R \cup S)$   
**by** (*simp add: expand-fun-eq*)

**lemma** *sup1I1* [*elim?*]:  $A x \Longrightarrow \text{sup } A B x$   
**by** *simp*

**lemma** *sup2I1* [*elim?*]:  $A x y \Longrightarrow \text{sup } A B x y$   
**by** *simp*

**lemma** *sup1I2* [*elim?*]:  $B x \Longrightarrow \text{sup } A B x$   
**by** *simp*

**lemma** *sup2I2* [*elim?*]:  $B x y \Longrightarrow \text{sup } A B x y$   
**by** *simp*

Classical introduction rule: no commitment to  $A$  vs  $B$ .

**lemma** *sup1CI* [*intro!*]:  $(\sim B x \Longrightarrow A x) \Longrightarrow \text{sup } A B x$   
**by** *auto*

**lemma** *sup2CI* [*intro!*]:  $(\sim B x y \Longrightarrow A x y) \Longrightarrow \text{sup } A B x y$   
**by** *auto*

**lemma** *sup1E* [*elim!*]:  $\text{sup } A B x \Longrightarrow (A x \Longrightarrow P) \Longrightarrow (B x \Longrightarrow P) \Longrightarrow P$   
**by** *simp iprover*

**lemma** *sup2E* [*elim!*]:  $\text{sup } A B x y \Longrightarrow (A x y \Longrightarrow P) \Longrightarrow (B x y \Longrightarrow P) \Longrightarrow P$   
**by** *simp iprover*

### 19.1.6 Binary intersection

**lemma** *inf1-iff* [*simp*]:  $\text{inf } A B x \longleftrightarrow A x \wedge B x$



**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf2-iff* [*simp*]:  $\inf A B x y \longleftrightarrow A x y \wedge B x y$   
**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf-Int-eq* [*pred-set-conv*]:  $\inf (\lambda x. x \in R) (\lambda x. x \in S) = (\lambda x. x \in R \cap S)$   
**by** (*simp add: expand-fun-eq*)

**lemma** *inf-Int-eq2* [*pred-set-conv*]:  $\inf (\lambda x y. (x, y) \in R) (\lambda x y. (x, y) \in S) =$   
 $(\lambda x y. (x, y) \in R \cap S)$   
**by** (*simp add: expand-fun-eq*)

**lemma** *inf1I* [*intro!*]:  $A x \implies B x \implies \inf A B x$   
**by** *simp*

**lemma** *inf2I* [*intro!*]:  $A x y \implies B x y \implies \inf A B x y$   
**by** *simp*

**lemma** *inf1D1*:  $\inf A B x \implies A x$   
**by** *simp*

**lemma** *inf2D1*:  $\inf A B x y \implies A x y$   
**by** *simp*

**lemma** *inf1D2*:  $\inf A B x \implies B x$   
**by** *simp*

**lemma** *inf2D2*:  $\inf A B x y \implies B x y$   
**by** *simp*

**lemma** *inf1E* [*elim!*]:  $\inf A B x \implies (A x \implies B x \implies P) \implies P$   
**by** *simp*

**lemma** *inf2E* [*elim!*]:  $\inf A B x y \implies (A x y \implies B x y \implies P) \implies P$   
**by** *simp*

### 19.1.7 Unions of families

**lemma** *SUP1-iff* [*simp*]:  $(\text{SUP } x:A. B x) b = (\text{EX } x:A. B x b)$   
**by** (*simp add: SUPR-def Sup-fun-def Sup-bool-def*) *blast*

**lemma** *SUP2-iff* [*simp*]:  $(\text{SUP } x:A. B x) b c = (\text{EX } x:A. B x b c)$   
**by** (*simp add: SUPR-def Sup-fun-def Sup-bool-def*) *blast*

**lemma** *SUP1-I* [*intro*]:  $a : A \implies B a b \implies (\text{SUP } x:A. B x) b$   
**by** *auto*

**lemma** *SUP2-I* [*intro*]:  $a : A \implies B a b c \implies (\text{SUP } x:A. B x) b c$   
**by** *auto*

**lemma** *SUP1-E* [*elim!*]:  $(\text{SUP } x:A. B \ x) \ b \implies (!x. x : A \implies B \ x \ b \implies R) \implies R$   
**by** *auto*

**lemma** *SUP2-E* [*elim!*]:  $(\text{SUP } x:A. B \ x) \ b \ c \implies (!x. x : A \implies B \ x \ b \ c \implies R) \implies R$   
**by** *auto*

**lemma** *SUP-UN-eq*:  $(\text{SUP } i. (\lambda x. x \in r \ i)) = (\lambda x. x \in (\text{UN } i. r \ i))$   
**by** (*simp add: expand-fun-eq*)

**lemma** *SUP-UN-eq2*:  $(\text{SUP } i. (\lambda x \ y. (x, y) \in r \ i)) = (\lambda x \ y. (x, y) \in (\text{UN } i. r \ i))$   
**by** (*simp add: expand-fun-eq*)

### 19.1.8 Intersections of families

**lemma** *INF1-iff* [*simp*]:  $(\text{INF } x:A. B \ x) \ b = (\text{ALL } x:A. B \ x \ b)$   
**by** (*simp add: INFI-def Inf-fun-def Inf-bool-def*) *blast*

**lemma** *INF2-iff* [*simp*]:  $(\text{INF } x:A. B \ x) \ b \ c = (\text{ALL } x:A. B \ x \ b \ c)$   
**by** (*simp add: INFI-def Inf-fun-def Inf-bool-def*) *blast*

**lemma** *INF1-I* [*intro!*]:  $(!x. x : A \implies B \ x \ b) \implies (\text{INF } x:A. B \ x) \ b$   
**by** *auto*

**lemma** *INF2-I* [*intro!*]:  $(!x. x : A \implies B \ x \ b \ c) \implies (\text{INF } x:A. B \ x) \ b \ c$   
**by** *auto*

**lemma** *INF1-D* [*elim*]:  $(\text{INF } x:A. B \ x) \ b \implies a : A \implies B \ a \ b$   
**by** *auto*

**lemma** *INF2-D* [*elim*]:  $(\text{INF } x:A. B \ x) \ b \ c \implies a : A \implies B \ a \ b \ c$   
**by** *auto*

**lemma** *INF1-E* [*elim*]:  $(\text{INF } x:A. B \ x) \ b \implies (B \ a \ b \implies R) \implies (a \sim: A \implies R) \implies R$   
**by** *auto*

**lemma** *INF2-E* [*elim*]:  $(\text{INF } x:A. B \ x) \ b \ c \implies (B \ a \ b \ c \implies R) \implies (a \sim: A \implies R) \implies R$   
**by** *auto*

**lemma** *INF-INT-eq*:  $(\text{INF } i. (\lambda x. x \in r \ i)) = (\lambda x. x \in (\text{INT } i. r \ i))$   
**by** (*simp add: expand-fun-eq*)

**lemma** *INF-INT-eq2*:  $(\text{INF } i. (\lambda x \ y. (x, y) \in r \ i)) = (\lambda x \ y. (x, y) \in (\text{INT } i. r \ i))$   
**by** (*simp add: expand-fun-eq*)

## 19.2 Predicates as relations

### 19.2.1 Composition

**inductive**

*pred-comp* :: [*'b* => *'c* => bool, *'a* => *'b* => bool] => *'a* => *'c* => bool  
(**infixr** *OO* 75)

**for** *r* :: *'b* => *'c* => bool **and** *s* :: *'a* => *'b* => bool

**where**

*pred-compI* [*intro*]: *s a b* ==> *r b c* ==> (*r OO s*) *a c*

**inductive-cases** *pred-compE* [*elim!*]: (*r OO s*) *a c*

**lemma** *pred-comp-rel-comp-eq* [*pred-set-conv*]:

(( $\lambda x y. (x, y) \in r$ ) *OO* ( $\lambda x y. (x, y) \in s$ )) = ( $\lambda x y. (x, y) \in r \ O \ s$ )

**by** (*auto simp add: expand-fun-eq elim: pred-compE*)

### 19.2.2 Converse

**inductive**

*conversep* :: (*'a* => *'b* => bool) => *'b* => *'a* => bool  
( $(\hat{\ } \text{---} 1)$  [1000] 1000)

**for** *r* :: *'a* => *'b* => bool

**where**

*conversepI*: *r a b* ==>  $r \hat{\ } \text{---} 1 \ b \ a$

**notation** (*xsymbols*)

*conversep* ( $(\hat{\ } \text{---} 1)$  [1000] 1000)

**lemma** *conversepD*:

**assumes** *ab*:  $r \hat{\ } \text{---} 1 \ a \ b$

**shows** *r b a* **using** *ab*

**by** *cases simp*

**lemma** *conversep-iff* [*iff*]:  $r \hat{\ } \text{---} 1 \ a \ b = r \ b \ a$

**by** (*iprover intro: conversepI dest: conversepD*)

**lemma** *conversep-converse-eq* [*pred-set-conv*]:

( $\lambda x y. (x, y) \in r$ )  $\hat{\ } \text{---} 1 = (\lambda x y. (x, y) \in r \hat{\ } \text{---} 1)$

**by** (*auto simp add: expand-fun-eq*)

**lemma** *conversep-conversep* [*simp*]:  $(r \hat{\ } \text{---} 1) \hat{\ } \text{---} 1 = r$

**by** (*iprover intro: order-antisym conversepI dest: conversepD*)

**lemma** *converse-pred-comp*:  $(r \ OO \ s) \hat{\ } \text{---} 1 = s \hat{\ } \text{---} 1 \ OO \ r \hat{\ } \text{---} 1$

**by** (*iprover intro: order-antisym conversepI pred-compI*

*elim: pred-compE dest: conversepD*)

**lemma** *converse-meet*:  $(\inf r \ s) \hat{\ } \text{---} 1 = \inf r \hat{\ } \text{---} 1 \ s \hat{\ } \text{---} 1$

**by** (*simp add: inf-fun-eq inf-bool-eq*)

(*iprover intro: conversepI ext dest: conversepD*)

**lemma** *converse-join*: ( $\sup r s$ )<sup>^--1</sup> =  $\sup r$ <sup>^--1</sup>  $s$ <sup>^--1</sup>  
**by** (*simp add: sup-fun-eq sup-bool-eq*)  
(*iprover intro: conversepI ext dest: conversepD*)

**lemma** *conversep-noteq* [*simp*]: ( $op \sim =$ )<sup>^--1</sup> =  $op \sim =$   
**by** (*auto simp add: expand-fun-eq*)

**lemma** *conversep-eq* [*simp*]: ( $op =$ )<sup>^--1</sup> =  $op =$   
**by** (*auto simp add: expand-fun-eq*)

### 19.2.3 Domain

**inductive**

*DomainP* :: ( $'a \Rightarrow 'b \Rightarrow bool$ )  $\Rightarrow 'a \Rightarrow bool$   
**for**  $r :: 'a \Rightarrow 'b \Rightarrow bool$

**where**

*DomainPI* [*intro*]:  $r a b \Rightarrow DomainP r a$

**inductive-cases** *DomainPE* [*elim!*]: *DomainP*  $r a$

**lemma** *DomainP-Domain-eq* [*pred-set-conv*]: *DomainP* ( $\lambda x y. (x, y) \in r$ ) = ( $\lambda x. x \in Domain r$ )  
**by** (*blast intro!: Orderings.order-antisym predicate1I*)

### 19.2.4 Range

**inductive**

*RangeP* :: ( $'a \Rightarrow 'b \Rightarrow bool$ )  $\Rightarrow 'b \Rightarrow bool$   
**for**  $r :: 'a \Rightarrow 'b \Rightarrow bool$

**where**

*RangePI* [*intro*]:  $r a b \Rightarrow RangeP r b$

**inductive-cases** *RangePE* [*elim!*]: *RangeP*  $r b$

**lemma** *RangeP-Range-eq* [*pred-set-conv*]: *RangeP* ( $\lambda x y. (x, y) \in r$ ) = ( $\lambda x. x \in Range r$ )  
**by** (*blast intro!: Orderings.order-antisym predicate1I*)

### 19.2.5 Inverse image

**definition**

*inv-imagep* :: ( $'b \Rightarrow 'b \Rightarrow bool$ )  $\Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$  **where**  
*inv-imagep*  $r f == \%x y. r (f x) (f y)$

**lemma** [*pred-set-conv*]: *inv-imagep* ( $\lambda x y. (x, y) \in r$ )  $f = (\lambda x y. (x, y) \in inv-image r f)$   
**by** (*simp add: inv-image-def inv-imagep-def*)

**lemma** *in-inv-imagep* [*simp*]: *inv-imagep* *r* *f* *x* *y* = *r* (*f* *x*) (*f* *y*)  
**by** (*simp* *add*: *inv-imagep-def*)

### 19.2.6 Powerset

**definition** *Powp* :: ('*a*  $\Rightarrow$  *bool*)  $\Rightarrow$  '*a* *set*  $\Rightarrow$  *bool* **where**  
*Powp* *A* ==  $\lambda B. \forall x \in B. A\ x$

**lemma** *Powp-Pow-eq* [*pred-set-conv*]: *Powp* ( $\lambda x. x \in A$ ) = ( $\lambda x. x \in Pow\ A$ )  
**by** (*auto* *simp* *add*: *Powp-def* *expand-fun-eq*)

**lemmas** *Powp-mono* [*mono*] = *Pow-mono* [*to-pred* *pred-subset-eq*]

### 19.2.7 Properties of relations

**abbreviation** *antisymP* :: ('*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *bool* **where**  
*antisymP* *r* == *antisym* {(*x*, *y*). *r* *x* *y*}

**abbreviation** *transP* :: ('*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *bool* **where**  
*transP* *r* == *trans* {(*x*, *y*). *r* *x* *y*}

**abbreviation** *single-valuedP* :: ('*a*  $\Rightarrow$  '*b*  $\Rightarrow$  *bool*)  $\Rightarrow$  *bool* **where**  
*single-valuedP* *r* == *single-valued* {(*x*, *y*). *r* *x* *y*}

## 19.3 Predicates as enumerations

### 19.3.1 The type of predicate enumerations (a monad)

**datatype** '*a* *pred* = *Pred* '*a*  $\Rightarrow$  *bool*

**primrec** *eval* :: '*a* *pred*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool* **where**  
*eval-pred*: *eval* (*Pred* *f*) = *f*

**lemma** *Pred-eval* [*simp*]:  
*Pred* (*eval* *x*) = *x*  
**by** (*cases* *x*) *simp*

**lemma** *eval-inject*: *eval* *x* = *eval* *y*  $\longleftrightarrow$  *x* = *y*  
**by** (*cases* *x*) *auto*

**definition** *single* :: '*a*  $\Rightarrow$  '*a* *pred* **where**  
*single* *x* = *Pred* ((*op* =) *x*)

**definition** *bind* :: '*a* *pred*  $\Rightarrow$  ('*a*  $\Rightarrow$  '*b* *pred*)  $\Rightarrow$  '*b* *pred* (**infixl**  $\gg$  = 70) **where**  
*P*  $\gg$  = *f* = *Pred* ( $\lambda x. (\exists y. \text{eval } P\ y \wedge \text{eval } (f\ y)\ x)$ )

**instantiation** *pred* :: (*type*) *complete-lattice*  
**begin**

**definition**

$$P \leq Q \longleftrightarrow \text{eval } P \leq \text{eval } Q$$

**definition**

$$P < Q \longleftrightarrow \text{eval } P < \text{eval } Q$$

**definition**

$$\perp = \text{Pred } \perp$$

**definition**

$$\top = \text{Pred } \top$$

**definition**

$$P \sqcap Q = \text{Pred } (\text{eval } P \sqcap \text{eval } Q)$$

**definition**

$$P \sqcup Q = \text{Pred } (\text{eval } P \sqcup \text{eval } Q)$$

**definition**

$$\prod A = \text{Pred } (\text{INFI } A \text{ eval})$$

**definition**

$$\bigsqcup A = \text{Pred } (\text{SUPR } A \text{ eval})$$

**instance by default**

(*auto simp add: less-eq-pred-def less-pred-def*  
*inf-pred-def sup-pred-def bot-pred-def top-pred-def*  
*Inf-pred-def Sup-pred-def,*  
*auto simp add: le-fun-def less-fun-def le-bool-def less-bool-def*  
*eval-inject mem-def*)

**end**

**lemma bind-bind:**

( $P \gg= Q \gg= R = P \gg= (\lambda x. Q \ x \gg= R)$ )  
**by** (*auto simp add: bind-def expand-fun-eq*)

**lemma bind-single:**

$P \gg= \text{single} = P$   
**by** (*simp add: bind-def single-def*)

**lemma single-bind:**

$\text{single } x \gg= P = P \ x$   
**by** (*simp add: bind-def single-def*)

**lemma bottom-bind:**

$\perp \gg= P = \perp$   
**by** (*auto simp add: bot-pred-def bind-def expand-fun-eq*)

**lemma sup-bind:**

$(P \sqcup Q) \gg= R = P \gg= R \sqcup Q \gg= R$   
**by** (*auto simp add: bind-def sup-pred-def expand-fun-eq*)

**lemma** *Sup-bind*:  $(\sqcup A \gg= f) = \sqcup ((\lambda x. x \gg= f) \text{ ` } A)$   
**by** (*auto simp add: bind-def Sup-pred-def expand-fun-eq*)

**lemma** *pred-iffI*:  
**assumes**  $\bigwedge x. \text{eval } A \ x \Longrightarrow \text{eval } B \ x$   
**and**  $\bigwedge x. \text{eval } B \ x \Longrightarrow \text{eval } A \ x$   
**shows**  $A = B$   
**proof** –  
**from** *assms* **have**  $\bigwedge x. \text{eval } A \ x \longleftrightarrow \text{eval } B \ x$  **by** *blast*  
**then show** *?thesis* **by** (*cases A, cases B*) (*simp add: expand-fun-eq*)  
**qed**

**lemma** *singleI*:  $\text{eval } (\text{single } x) \ x$   
**unfolding** *single-def* **by** *simp*

**lemma** *singleI-unit*:  $\text{eval } (\text{single } ()) \ x$   
**by** *simp (rule singleI)*

**lemma** *singleE*:  $\text{eval } (\text{single } x) \ y \Longrightarrow (y = x \Longrightarrow P) \Longrightarrow P$   
**unfolding** *single-def* **by** *simp*

**lemma** *singleE'*:  $\text{eval } (\text{single } x) \ y \Longrightarrow (x = y \Longrightarrow P) \Longrightarrow P$   
**by** (*erule singleE*) *simp*

**lemma** *bindI*:  $\text{eval } P \ x \Longrightarrow \text{eval } (Q \ x) \ y \Longrightarrow \text{eval } (P \gg= Q) \ y$   
**unfolding** *bind-def* **by** *auto*

**lemma** *bindE*:  $\text{eval } (R \gg= Q) \ y \Longrightarrow (\bigwedge x. \text{eval } R \ x \Longrightarrow \text{eval } (Q \ x) \ y \Longrightarrow P) \Longrightarrow P$   
**unfolding** *bind-def* **by** *auto*

**lemma** *botE*:  $\text{eval } \perp \ x \Longrightarrow P$   
**unfolding** *bot-pred-def* **by** *auto*

**lemma** *supI1*:  $\text{eval } A \ x \Longrightarrow \text{eval } (A \sqcup B) \ x$   
**unfolding** *sup-pred-def* **by** *simp*

**lemma** *supI2*:  $\text{eval } B \ x \Longrightarrow \text{eval } (A \sqcup B) \ x$   
**unfolding** *sup-pred-def* **by** *simp*

**lemma** *supE*:  $\text{eval } (A \sqcup B) \ x \Longrightarrow (\text{eval } A \ x \Longrightarrow P) \Longrightarrow (\text{eval } B \ x \Longrightarrow P) \Longrightarrow P$   
**unfolding** *sup-pred-def* **by** *auto*

### 19.3.2 Derived operations

**definition** *if-pred* :: *bool*  $\Rightarrow$  *unit pred* **where**

*if-pred-eq*:  $\text{if-pred } b = (\text{if } b \text{ then single } () \text{ else } \perp)$

**definition** *not-pred* ::  $\text{unit pred} \Rightarrow \text{unit pred}$  **where**  
*not-pred-eq*:  $\text{not-pred } P = (\text{if eval } P () \text{ then } \perp \text{ else single } ())$

**lemma** *if-predI*:  $P \Rightarrow \text{eval } (\text{if-pred } P) ()$   
**unfolding** *if-pred-eq* **by** (*auto intro: singleI*)

**lemma** *if-predE*:  $\text{eval } (\text{if-pred } b) x \Rightarrow (b \Rightarrow x = () \Rightarrow P) \Rightarrow P$   
**unfolding** *if-pred-eq* **by** (*cases b*) (*auto elim: botE*)

**lemma** *not-predI*:  $\neg P \Rightarrow \text{eval } (\text{not-pred } (\text{Pred } (\lambda u. P))) ()$   
**unfolding** *not-pred-eq eval-pred* **by** (*auto intro: singleI*)

**lemma** *not-predI'*:  $\neg \text{eval } P () \Rightarrow \text{eval } (\text{not-pred } P) ()$   
**unfolding** *not-pred-eq* **by** (*auto intro: singleI*)

**lemma** *not-predE*:  $\text{eval } (\text{not-pred } (\text{Pred } (\lambda u. P))) x \Rightarrow (\neg P \Rightarrow \text{thesis}) \Rightarrow \text{thesis}$   
**unfolding** *not-pred-eq*  
**by** (*auto split: split-if-asm elim: botE*)

**lemma** *not-predE'*:  $\text{eval } (\text{not-pred } P) x \Rightarrow (\neg \text{eval } P x \Rightarrow \text{thesis}) \Rightarrow \text{thesis}$   
**unfolding** *not-pred-eq*  
**by** (*auto split: split-if-asm elim: botE*)

### 19.3.3 Implementation

**datatype** *'a seq* = *Empty* | *Insert 'a 'a pred* | *Join 'a pred 'a seq*

**primrec** *pred-of-seq* ::  $'a \text{ seq} \Rightarrow 'a \text{ pred}$  **where**  
*pred-of-seq Empty* =  $\perp$   
| *pred-of-seq (Insert x P)* =  $\text{single } x \sqcup P$   
| *pred-of-seq (Join P xq)* =  $P \sqcup \text{pred-of-seq } xq$

**definition** *Seq* ::  $(\text{unit} \Rightarrow 'a \text{ seq}) \Rightarrow 'a \text{ pred}$  **where**  
*Seq f* = *pred-of-seq (f ())*

**code-datatype** *Seq*

**primrec** *member* ::  $'a \text{ seq} \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
*member Empty x*  $\longleftrightarrow \text{False}$   
| *member (Insert y P) x*  $\longleftrightarrow x = y \vee \text{eval } P x$   
| *member (Join P xq) x*  $\longleftrightarrow \text{eval } P x \vee \text{member } xq x$

**lemma** *eval-member*:  
*member xq* = *eval (pred-of-seq xq)*

**proof** (*induct xq*)  
**case Empty** **show** ?case



```

  by (auto simp add: expand-fun-eq elim: botE)
next
  case Insert show ?case
  by (auto simp add: expand-fun-eq elim: supE singleE intro: supI1 supI2 singleI)
next
  case Join then show ?case
  by (auto simp add: expand-fun-eq elim: supE intro: supI1 supI2)
qed

```

```

lemma eval-code [code]: eval (Seq f) = member (f ())
  unfolding Seq-def by (rule sym, rule eval-member)

```

```

lemma single-code [code]:
  single x = Seq ( $\lambda u. \text{Insert } x \perp$ )
  unfolding Seq-def by simp

```

```

primrec apply :: ('a  $\Rightarrow$  'b Predicate.pred)  $\Rightarrow$  'a seq  $\Rightarrow$  'b seq where
  apply f Empty = Empty
| apply f (Insert x P) = Join (f x) (Join (P  $\gg$  f) Empty)
| apply f (Join P xq) = Join (P  $\gg$  f) (apply f xq)

```

```

lemma apply-bind:
  pred-of-seq (apply f xq) = pred-of-seq xq  $\gg$  f
proof (induct xq)
  case Empty show ?case
  by (simp add: bottom-bind)
next
  case Insert show ?case
  by (simp add: single-bind sup-bind)
next
  case Join then show ?case
  by (simp add: sup-bind)
qed

```

```

lemma bind-code [code]:
  Seq g  $\gg$  f = Seq ( $\lambda u. \text{apply } f (g ())$ )
  unfolding Seq-def by (rule sym, rule apply-bind)

```

```

lemma bot-set-code [code]:
   $\perp$  = Seq ( $\lambda u. \text{Empty}$ )
  unfolding Seq-def by simp

```

```

primrec adjunct :: 'a pred  $\Rightarrow$  'a seq  $\Rightarrow$  'a seq where
  adjunct P Empty = Join P Empty
| adjunct P (Insert x Q) = Insert x (Q  $\sqcup$  P)
| adjunct P (Join Q xq) = Join Q (adjunct P xq)

```

```

lemma adjunct-sup:
  pred-of-seq (adjunct P xq) = P  $\sqcup$  pred-of-seq xq

```

```

by (induct xq) (simp-all add: sup-assoc sup-commute sup-left-commute)

lemma sup-code [code]:
  Seq f  $\sqcup$  Seq g = Seq ( $\lambda u.$  case f ())
    of Empty  $\Rightarrow$  g ()
    | Insert x P  $\Rightarrow$  Insert x (P  $\sqcup$  Seq g)
    | Join P xq  $\Rightarrow$  adjunct (Seq g) (Join P xq)
proof (cases f ())
  case Empty
  thus ?thesis
    unfolding Seq-def by (simp add: sup-commute [of  $\perp$ ] sup-bot)
next
  case Insert
  thus ?thesis
    unfolding Seq-def by (simp add: sup-assoc)
next
  case Join
  thus ?thesis
    unfolding Seq-def
    by (simp add: adjunct-sup sup-assoc sup-commute sup-left-commute)
qed

```

```

primrec contained :: 'a seq  $\Rightarrow$  'a pred  $\Rightarrow$  bool where
  contained Empty Q  $\longleftrightarrow$  True
  | contained (Insert x P) Q  $\longleftrightarrow$  eval Q x  $\wedge$  P  $\leq$  Q
  | contained (Join P xq) Q  $\longleftrightarrow$  P  $\leq$  Q  $\wedge$  contained xq Q

```

```

lemma single-less-eq-eval:
  single x  $\leq$  P  $\longleftrightarrow$  eval P x
by (auto simp add: single-def less-eq-pred-def mem-def)

```

```

lemma contained-less-eq:
  contained xq Q  $\longleftrightarrow$  pred-of-seq xq  $\leq$  Q
by (induct xq) (simp-all add: single-less-eq-eval)

```

```

lemma less-eq-pred-code [code]:
  Seq f  $\leq$  Q = (case f ())
    of Empty  $\Rightarrow$  True
    | Insert x P  $\Rightarrow$  eval Q x  $\wedge$  P  $\leq$  Q
    | Join P xq  $\Rightarrow$  P  $\leq$  Q  $\wedge$  contained xq Q)
by (cases f ())
  (simp-all add: Seq-def single-less-eq-eval contained-less-eq)

```

```

lemma eq-pred-code [code]:
  fixes P Q :: 'a::eq pred
  shows eq-class.eq P Q  $\longleftrightarrow$  P  $\leq$  Q  $\wedge$  Q  $\leq$  P
  unfolding eq by auto

```

```

lemma [code]:

```

```

    pred-case  $f P = f \text{ (eval } P)$ 
    by (cases  $P$ ) simp

lemma [code]:
  pred-rec  $f P = f \text{ (eval } P)$ 
  by (cases  $P$ ) simp

no-notation
  inf (infixl  $\sqcap$  70) and
  sup (infixl  $\sqcup$  65) and
  Inf ( $\sqcap$  - [900] 900) and
  Sup ( $\sqcup$  - [900] 900) and
  top ( $\top$ ) and
  bot ( $\perp$ ) and
  bind (infixl  $\gg=$  70)

hide (open) type pred seq
hide (open) const Pred eval single bind if-pred not-pred
  Empty Insert Join Seq member pred-of-seq apply adjunct

end

```

## 20 Transitive-Closure: Reflexive and Transitive closure of a relation

```

theory Transitive-Closure
imports Predicate
uses ~~/src/Provers/trancl.ML
begin

```

*rtrancl* is reflexive/transitive closure, *trancl* is transitive closure, *reflcl* is reflexive closure.

These postfix operators have *maximum priority*, forcing their operands to be atomic.

**inductive-set**

```

  rtrancl :: ('a  $\times$  'a) set  $\Rightarrow$  ('a  $\times$  'a) set  (( $\cdot^*$ ) [1000] 999)
  for r :: ('a  $\times$  'a) set

```

**where**

```

  rtrancl-refl [intro!, Pure.intro!, simp]: (a, a) :  $r^*$ 
  | rtrancl-into-rtrancl [Pure.intro]: (a, b) :  $r^*$   $\Rightarrow$  (b, c) :  $r \Rightarrow$  (a, c) :  $r^*$ 

```

**inductive-set**

```

  trancl :: ('a  $\times$  'a) set  $\Rightarrow$  ('a  $\times$  'a) set  (( $\cdot^+$ ) [1000] 999)
  for r :: ('a  $\times$  'a) set

```

**where**

```

  r-into-trancl [intro, Pure.intro]: (a, b) :  $r \Rightarrow$  (a, b) :  $r^+$ 
  | trancl-into-trancl [Pure.intro]: (a, b) :  $r^+$   $\Rightarrow$  (b, c) :  $r \Rightarrow$  (a, c) :  $r^+$ 

```

**notation**

*rtranclp*  $((-^{**}) [1000] 1000)$  **and**  
*tranclp*  $((-^{++}) [1000] 1000)$

**abbreviation**

*reflclp*  $:: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool} \quad ((-^{\hat{}}==) [1000] 1000)$

**where**

$r^{\hat{}}== == \sup r \text{ op} =$

**abbreviation**

*reflcl*  $:: ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \quad ((-^{\hat{}}=) [1000] 999)$  **where**  
 $r^{\hat{}}= == r \cup Id$

**notation** (*xsymbols*)

*rtranclp*  $((-^{**}) [1000] 1000)$  **and**  
*tranclp*  $((-^{++}) [1000] 1000)$  **and**  
*reflclp*  $((-^{==}) [1000] 1000)$  **and**  
*rtrancl*  $((-^{*}) [1000] 999)$  **and**  
*trancl*  $((-^{+}) [1000] 999)$  **and**  
*reflcl*  $((-^{=}) [1000] 999)$

**notation** (*HTML output*)

*rtranclp*  $((-^{**}) [1000] 1000)$  **and**  
*tranclp*  $((-^{++}) [1000] 1000)$  **and**  
*reflclp*  $((-^{==}) [1000] 1000)$  **and**  
*rtrancl*  $((-^{*}) [1000] 999)$  **and**  
*trancl*  $((-^{+}) [1000] 999)$  **and**  
*reflcl*  $((-^{=}) [1000] 999)$

**20.1 Reflexive closure**

**lemma** *refl-reflcl[simp]*:  $\text{refl}(r^{\hat{}}=)$   
**by** (*simp add: refl-on-def*)

**lemma** *antisym-reflcl[simp]*:  $\text{antisym}(r^{\hat{}}=) = \text{antisym } r$   
**by** (*simp add: antisym-def*)

**lemma** *trans-reflclI[simp]*:  $\text{trans } r \Longrightarrow \text{trans}(r^{\hat{}}=)$   
**unfolding** *trans-def* **by** *blast*

**20.2 Reflexive-transitive closure**

**lemma** *reflcl-set-eq [pred-set-conv]*:  $(\sup (\lambda x y. (x, y) \in r) \text{ op } =) = (\lambda x y. (x, y) \in r \text{ Un } Id)$   
**by** (*simp add: expand-fun-eq*)

**lemma** *r-into-rtrancl [intro]*:  $!!p. p \in r \Longrightarrow p \in r^{\hat{*}}$   
 — *rtrancl* of *r* contains *r*  
**apply** (*simp only: split-tupled-all*)

```

apply (erule rtrancl-refl [THEN rtrancl-into-rtrancl])
done

lemma r-into-rtranclp [intro]:  $r\ x\ y \implies r^{**}\ x\ y$ 
  — rtrancl of r contains r
  by (erule rtranclp.rtrancl-refl [THEN rtranclp.rtrancl-into-rtrancl])

lemma rtranclp-mono:  $r \leq s \implies r^{**} \leq s^{**}$ 
  — monotonicity of rtrancl
  apply (rule predicate2I)
  apply (erule rtranclp.induct)
  apply (rule-tac [2] rtranclp.rtrancl-into-rtrancl, blast+)
done

lemmas rtrancl-mono = rtranclp-mono [to-set]

theorem rtranclp-induct [consumes 1, case-names base step, induct set: rtranclp]:
  assumes  $a: r^{**}\ a\ b$ 
  and cases:  $P\ a\ !!y\ z. [\ [r^{**}\ a\ y; r\ y\ z; P\ y\ ] \implies P\ z$ 
  shows  $P\ b$ 
proof —
  from  $a$  have  $a = a \dashrightarrow P\ b$ 
  by (induct % $x\ y. x = a \dashrightarrow P\ y\ a\ b$ ) (iprover intro: cases)+
  then show ?thesis by iprover
qed

lemmas rtrancl-induct [induct set: rtrancl] = rtranclp-induct [to-set]

lemmas rtranclp-induct2 =
  rtranclp-induct[of - ( $ax, ay$ ) ( $bx, by$ ), split-rule,
    consumes 1, case-names refl step]

lemmas rtrancl-induct2 =
  rtrancl-induct[of ( $ax, ay$ ) ( $bx, by$ ), split-format (complete),
    consumes 1, case-names refl step]

lemma refl-rtrancl: refl ( $r^{**}$ )
by (unfold refl-on-def) fast

Transitivity of transitive closure.

lemma trans-rtrancl: trans ( $r^{**}$ )
proof (rule transI)
  fix  $x\ y\ z$ 
  assume  $(x, y) \in r^{**}$ 
  assume  $(y, z) \in r^{**}$ 
  then show  $(x, z) \in r^{**}$ 
  proof induct
    case base
    show  $(x, y) \in r^{**}$  by fact

```

```

next
  case (step u v)
  from  $\langle (x, u) \in r^* \rangle$  and  $\langle (u, v) \in r \rangle$ 
  show  $\langle (x, v) \in r^* \rangle$  ..
qed
qed

```

lemmas *rtrancl-trans* = *trans-rtrancl* [THEN *transD*, *standard*]

```

lemma rtranclp-trans:
  assumes  $xy: r^{**} x y$ 
  and  $yz: r^{**} y z$ 
  shows  $r^{**} x z$  using yz xy
  by induct iprover+

```

```

lemma rtranclE [cases set: rtrancl]:
  assumes major:  $(a::'a, b) : r^*$ 
  obtains
    (base)  $a = b$ 
  | (step)  $y$  where  $(a, y) : r^*$  and  $(y, b) : r$ 
  — elimination of rtrancl – by induction on a special formula
  apply (subgoal-tac ( $a::'a = b$  |  $(EX y. (a, y) : r^* \ \& \ (y, b) : r)$ ))
  apply (rule-tac [2] major [THEN rtrancl-induct])
  prefer 2 apply blast
  prefer 2 apply blast
  apply (erule asm-rl exE disjE conjE base step)+
  done

```

```

lemma rtrancl-Int-subset: [ $Id \subseteq s; r \ O \ (r^* \cap s) \subseteq s$ ] ==>  $r^* \subseteq s$ 
  apply (rule subsetI)
  apply (rule-tac  $p=x$  in PairE, clarify)
  apply (erule rtrancl-induct, auto)
  done

```

```

lemma converse-rtranclp-into-rtranclp:
   $r \ a \ b \implies r^{**} b \ c \implies r^{**} a \ c$ 
  by (rule rtranclp-trans) iprover+

```

lemmas *converse-rtrancl-into-rtrancl* = *converse-rtranclp-into-rtranclp* [to-set]

More  $r^*$  equations and inclusions.

```

lemma rtranclp-idemp [simp]:  $(r^{**})^{**} = r^{**}$ 
  apply (auto intro!: order-antisym)
  apply (erule rtranclp-induct)
  apply (rule rtranclp.rtrancl-refl)
  apply (blast intro: rtranclp-trans)
  done

```

lemmas *rtrancl-idemp* [simp] = *rtranclp-idemp* [to-set]

```

lemma rtrancl-idemp-self-comp [simp]:  $R^* \circ R^* = R^*$ 
  apply (rule set-ext)
  apply (simp only: split-tupled-all)
  apply (blast intro: rtrancl-trans)
  done

lemma rtrancl-subset-rtrancl:  $r \subseteq s^* \implies r^* \subseteq s^*$ 
  apply (drule rtrancl-mono)
  apply simp
  done

lemma rtranclp-subset:  $R \leq S \implies S \leq R^{**} \implies S^{**} = R^{**}$ 
  apply (drule rtranclp-mono)
  apply (drule rtranclp-mono)
  apply simp
  done

lemmas rtrancl-subset = rtranclp-subset [to-set]

lemma rtranclp-sup-rtranclp:  $(\sup (R^{**}) (S^{**}))^{**} = (\sup R S)^{**}$ 
  by (blast intro!: rtranclp-subset intro: rtranclp-mono [THEN predicate2D])

lemmas rtrancl-Un-rtrancl = rtranclp-sup-rtranclp [to-set]

lemma rtranclp-reflcl [simp]:  $(R^{\hat{=}})^{**} = R^{**}$ 
  by (blast intro!: rtranclp-subset)

lemmas rtrancl-reflcl [simp] = rtranclp-reflcl [to-set]

lemma rtrancl-r-diff-Id:  $(r - Id)^* = r^*$ 
  apply (rule sym)
  apply (rule rtrancl-subset, blast, clarify)
  apply (rename-tac a b)
  apply (case-tac a = b)
  apply blast
  apply (blast intro!: r-into-rtrancl)
  done

lemma rtranclp-r-diff-Id:  $(\inf r \text{ op } \sim)^{**} = r^{**}$ 
  apply (rule sym)
  apply (rule rtranclp-subset)
  apply blast+
  done

theorem rtranclp-converseD:
  assumes  $r: (r^{\hat{-}} - 1)^{**} x y$ 
  shows  $r^{**} y x$ 
proof -

```

```

from  $r$  show ?thesis
  by induct (iprover intro: rtranclp-trans dest!: conversepD)+
qed

lemmas rtrancl-converseD = rtranclp-converseD [to-set]

theorem rtranclp-converseI:
  assumes  $r^{**} y x$ 
  shows  $(r^{--1})^{**} x y$ 
  using assms
  by induct (iprover intro: rtranclp-trans conversepI)+

lemmas rtrancl-converseI = rtranclp-converseI [to-set]

lemma rtrancl-converse:  $(r^{--1})^{**} = (r^{*})^{--1}$ 
  by (fast dest!: rtrancl-converseD intro!: rtrancl-converseI)

lemma sym-rtrancl:  $\text{sym } r \implies \text{sym } (r^{*})$ 
  by (simp only: sym-conv-converse-eq rtrancl-converse [symmetric])

theorem converse-rtranclp-induct[consumes 1]:
  assumes major:  $r^{**} a b$ 
  and cases:  $P b !!y z. [r y z; r^{**} z b; P z] \implies P y$ 
  shows  $P a$ 
  using rtranclp-converseI [OF major]
  by induct (iprover intro: cases dest!: conversepD rtranclp-converseD)+

lemmas converse-rtrancl-induct = converse-rtranclp-induct [to-set]

lemmas converse-rtranclp-induct2 =
  converse-rtranclp-induct [of - (ax,ay) (bx,by), split-rule,
    consumes 1, case-names refl step]

lemmas converse-rtrancl-induct2 =
  converse-rtrancl-induct [of (ax,ay) (bx,by), split-format (complete),
    consumes 1, case-names refl step]

lemma converse-rtranclpE:
  assumes major:  $r^{**} x z$ 
  and cases:  $x=z \implies P$ 
  !!y.  $[r x y; r^{**} y z] \implies P$ 
  shows  $P$ 
  apply (subgoal-tac x = z | (EX y. r x y & r^{**} y z))
  apply (rule-tac [2] major [THEN converse-rtranclp-induct])
  prefer 2 apply iprover
  prefer 2 apply iprover
  apply (erule asm-rl exE disjE conjE cases) +
done

```



**lemmas** *converse-rtranclE* = *converse-rtranclpE* [to-set]

**lemmas** *converse-rtranclpE2* = *converse-rtranclpE* [of - (*xa,xb*) (*za,zb*), *split-rule*]

**lemmas** *converse-rtranclE2* = *converse-rtranclE* [of (*xa,xb*) (*za,zb*), *split-rule*]

**lemma** *r-comp-rtrancl-eq*:  $r \circ r^* = r^* \circ r$   
**by** (*blast elim: rtranclE converse-rtranclE*  
*intro: rtrancl-into-rtrancl converse-rtrancl-into-rtrancl*)

**lemma** *rtrancl-unfold*:  $r^* = Id \cup r \circ r^*$   
**by** (*auto intro: rtrancl-into-rtrancl elim: rtranclE*)

### 20.3 Transitive closure

**lemma** *trancl-mono*:  $!!p. p \in r^+ \implies r \subseteq s \implies p \in s^+$   
**apply** (*simp add: split-tupled-all*)  
**apply** (*erule trancl.induct*)  
**apply** (*iprover dest: subsetD*)  
**done**

**lemma** *r-into-trancl'*:  $!!p. p : r \implies p : r^+$   
**by** (*simp only: split-tupled-all*) (*erule r-into-trancl*)

Conversions between *trancl* and *rtrancl*.

**lemma** *tranclp-into-rtranclp*:  $r^{++} a b \implies r^{**} a b$   
**by** (*erule tranclp.induct*) *iprover*+

**lemmas** *trancl-into-rtrancl* = *tranclp-into-rtranclp* [to-set]

**lemma** *rtranclp-into-tranclp1*: **assumes**  $r: r^{**} a b$   
**shows**  $!!c. r b c \implies r^{++} a c$  **using**  $r$   
**by** *induct iprover*+

**lemmas** *rtrancl-into-trancl1* = *rtranclp-into-tranclp1* [to-set]

**lemma** *rtranclp-into-tranclp2*:  $[| r a b; r^{**} b c |] \implies r^{++} a c$   
— intro rule from  $r$  and *rtrancl*  
**apply** (*erule rtranclp.cases*)  
**apply** *iprover*  
**apply** (*rule rtranclp-trans [THEN rtranclp-into-tranclp1]*)  
**apply** (*simp | rule r-into-rtranclp*)  
**done**

**lemmas** *rtrancl-into-trancl2* = *rtranclp-into-tranclp2* [to-set]

Nice induction rule for *trancl*

**lemma** *tranclp-induct* [*consumes 1, case-names base step, induct pred: tranclp*]:  
**assumes**  $r^{++} a b$

```

and cases: !!y. r a y ==> P y
  !!y z. r^++ a y ==> r y z ==> P y ==> P z
shows P b
proof -
  from (r^++ a b) have a = a --> P b
  by (induct %x y. x = a --> P y a b) (iprover intro: cases)+
  then show ?thesis by iprover
qed

```

```

lemmas trancl-induct [induct set: trancl] = tranclp-induct [to-set]

```

```

lemmas tranclp-induct2 =
  tranclp-induct [of - (ax,ay) (bx,by), split-rule,
    consumes 1, case-names base step]

```

```

lemmas trancl-induct2 =
  trancl-induct [of (ax,ay) (bx,by), split-format (complete),
    consumes 1, case-names base step]

```

```

lemma tranclp-trans-induct:
  assumes major: r^++ x y
  and cases: !!x y. r x y ==> P x y
  !!x y z. [| r^++ x y; P x y; r^++ y z; P y z |] ==> P x z
shows P x y
  — Another induction rule for trancl, incorporating transitivity
  by (iprover intro: major [THEN tranclp-induct] cases)

```

```

lemmas trancl-trans-induct = tranclp-trans-induct [to-set]

```

```

lemma tranclE [cases set: trancl]:
  assumes (a, b) : r^+
  obtains
    (base) (a, b) : r
  | (step) c where (a, c) : r^+ and (c, b) : r
  using assms by cases simp-all

```

```

lemma trancl-Int-subset: [| r ⊆ s; r O (r^+ ∩ s) ⊆ s |] ==> r^+ ⊆ s
  apply (rule subsetI)
  apply (rule-tac p = x in PairE)
  apply clarify
  apply (erule trancl-induct)
  apply auto
done

```

```

lemma trancl-unfold: r^+ = r Un r O r^+
  by (auto intro: trancl-into-trancl elim: tranclE)

```

Transitivity of  $r^+$

```

lemma trans-trancl [simp]: trans (r^+)

```

```

proof (rule transI)
  fix x y z
  assume  $(x, y) \in r^+$ 
  assume  $(y, z) \in r^+$ 
  then show  $(x, z) \in r^+$ 
  proof induct
    case (base u)
    from  $\langle (x, y) \in r^+ \rangle$  and  $\langle (y, u) \in r \rangle$ 
    show  $(x, u) \in r^+ ..$ 
  next
    case (step u v)
    from  $\langle (x, u) \in r^+ \rangle$  and  $\langle (u, v) \in r \rangle$ 
    show  $(x, v) \in r^+ ..$ 
  qed
qed

```

**lemmas** trancl-trans = trans-trancl [THEN transD, standard]

```

lemma tranclp-trans:
  assumes xy:  $r^{++} x y$ 
  and yz:  $r^{++} y z$ 
  shows  $r^{++} x z$  using yz xy
  by induct iprover+

```

```

lemma trancl-id [simp]: trans r  $\implies r^+ = r$ 
  apply auto
  apply (erule trancl-induct)
  apply assumption
  apply (unfold trans-def)
  apply blast
  done

```

```

lemma rtranclp-tranclp-tranclp:
  assumes  $r^{**} x y$ 
  shows  $!!z. r^{++} y z \implies r^{++} x z$  using assms
  by induct (iprover intro: tranclp-trans)+

```

**lemmas** rtrancl-trancl-trancl = rtranclp-tranclp-tranclp [to-set]

```

lemma tranclp-into-tranclp2:  $r a b \implies r^{++} b c \implies r^{++} a c$ 
  by (erule tranclp-trans [OF tranclp.r-into-trancl])

```

**lemmas** trancl-into-trancl2 = tranclp-into-tranclp2 [to-set]

```

lemma trancl-insert:
   $(\text{insert } (y, x) r)^+ = r^+ \cup \{(a, b). (a, y) \in r^* \wedge (x, b) \in r^*\}$ 
  — primitive recursion for trancl over finite relations
  apply (rule equalityI)
  apply (rule subsetI)

```

```

apply (simp only: split-tupled-all)
apply (erule trancl-induct, blast)
apply (blast intro: rtrancl-into-trancl1 trancl-into-rtrancl r-into-trancl trancl-trans)
apply (rule subsetI)
apply (blast intro: trancl-mono rtrancl-mono
  [THEN [2] rev-subsetD] rtrancl-trancl-trancl rtrancl-into-trancl2)
done

lemma tranclp-converseI:  $(r^{++})^{--1} x y \implies (r^{--1})^{++} x y$ 
apply (erule conversepD)
apply (erule tranclp-induct)
apply (iprover intro: conversepI tranclp-trans)+
done

lemmas trancl-converseI = tranclp-converseI [to-set]

lemma tranclp-converseD:  $(r^{--1})^{++} x y \implies (r^{++})^{--1} x y$ 
apply (rule conversepI)
apply (erule tranclp-induct)
apply (iprover dest: conversepD intro: tranclp-trans)+
done

lemmas trancl-converseD = tranclp-converseD [to-set]

lemma tranclp-converse:  $(r^{--1})^{++} = (r^{++})^{--1}$ 
by (fastsimp simp add: expand-fun-eq
  intro!: tranclp-converseI dest!: tranclp-converseD)

lemmas trancl-converse = tranclp-converse [to-set]

lemma sym-trancl:  $\text{sym } r \implies \text{sym } (r^{+})$ 
by (simp only: sym-conv-converse-eq trancl-converse [symmetric])

lemma converse-tranclp-induct:
assumes major:  $r^{++} a b$ 
and cases:  $!!y. r y b \implies P(y)$ 
!!y z. [ r y z; r^{++} z b; P(z) ] \implies P(y)
shows  $P a$ 
apply (rule tranclp-induct [OF tranclp-converseI, OF conversepI, OF major])
apply (rule cases)
apply (erule conversepD)
apply (blast intro: prems dest!: tranclp-converseD conversepD)
done

lemmas converse-trancl-induct = converse-tranclp-induct [to-set]

lemma tranclpD:  $R^{++} x y \implies \exists x z. R x z \wedge R^{**} z y$ 
apply (erule converse-tranclp-induct)
apply auto

```

```

apply (blast intro: rtranclp-trans)
done

lemmas tranclD = tranclpD [to-set]

lemma tranclD2:
   $(x, y) \in R^+ \implies \exists z. (x, z) \in R^* \wedge (z, y) \in R$ 
by (blast elim: tranclE intro: trancl-into-rtrancl)

lemma irrefl-tranclI:  $r^+ - 1 \cap r^* = \{\}$   $\implies (x, x) \notin r^+$ 
by (blast elim: tranclE dest: trancl-into-rtrancl)

lemma irrefl-trancl-rD:  $\forall x. (x, x) \notin r^+ \implies (x, y) \in r \implies x \neq y$ 
by (blast dest: r-into-trancl)

lemma trancl-subset-Sigma-aux:
   $(a, b) \in r^* \implies r \subseteq A \times A \implies a = b \vee a \in A$ 
by (induct rule: rtrancl-induct) auto

lemma trancl-subset-Sigma:  $r \subseteq A \times A \implies r^+ \subseteq A \times A$ 
apply (rule subsetI)
apply (simp only: split-tupled-all)
apply (erule tranclE)
apply (blast dest!: trancl-into-rtrancl trancl-subset-Sigma-aux)+
done

lemma reflcl-tranclp [simp]:  $(r^{++})^+ = r^{**}$ 
apply (safe intro!: order-antisym)
apply (erule tranclp-into-rtranclp)
apply (blast elim: rtranclp.cases dest: rtranclp-into-tranclp1)
done

lemmas reflcl-trancl [simp] = reflcl-tranclp [to-set]

lemma trancl-reflcl [simp]:  $(r^+)^+ = r^*$ 
apply safe
apply (drule trancl-into-rtrancl, simp)
apply (erule rtranclE, safe)
apply (rule r-into-trancl, simp)
apply (rule rtrancl-into-trancl1)
apply (erule rtrancl-reflcl [THEN equalityD2, THEN subsetD], fast)
done

lemma trancl-empty [simp]:  $\{\}^+ = \{\}$ 
by (auto elim: trancl-induct)

lemma rtrancl-empty [simp]:  $\{\}^* = Id$ 
by (rule subst [OF reflcl-trancl]) simp

```

**lemma** *rtranclpD*:  $R^{**} a b \implies a = b \vee a \neq b \wedge R^{++} a b$   
**by** (*force simp add: reflcl-tranclp [symmetric] simp del: reflcl-tranclp*)

**lemmas** *rtranclD* = *rtranclpD* [*to-set*]

**lemma** *rtrancl-eq-or-trancl*:  
 $(x,y) \in R^* = (x=y \vee x \neq y \wedge (x,y) \in R^+)$   
**by** (*fast elim: trancl-into-rtrancl dest: rtranclD*)

*Domain and Range*

**lemma** *Domain-rtrancl* [*simp*]:  $\text{Domain } (R^*) = \text{UNIV}$   
**by** *blast*

**lemma** *Range-rtrancl* [*simp*]:  $\text{Range } (R^*) = \text{UNIV}$   
**by** *blast*

**lemma** *rtrancl-Un-subset*:  $(R^* \cup S^*) \subseteq (R \cup S)^*$   
**by** (*rule rtrancl-Un-rtrancl [THEN subst]*) *fast*

**lemma** *in-rtrancl-UnI*:  $x \in R^* \vee x \in S^* \implies x \in (R \cup S)^*$   
**by** (*blast intro: subsetD [OF rtrancl-Un-subset]*)

**lemma** *trancl-domain* [*simp*]:  $\text{Domain } (r^+) = \text{Domain } r$   
**by** (*unfold Domain-def*) (*blast dest: tranclD*)

**lemma** *trancl-range* [*simp*]:  $\text{Range } (r^+) = \text{Range } r$   
**unfolding** *Range-def* **by** (*simp add: trancl-converse [symmetric]*)

**lemma** *Not-Domain-rtrancl*:  
 $x \sim: \text{Domain } R \implies ((x, y) : R^*) = (x = y)$   
**apply** *auto*  
**apply** (*erule rev-mp*)  
**apply** (*erule rtrancl-induct*)  
**apply** *auto*  
**done**

**lemma** *trancl-subset-Field2*:  $r^+ \leq \text{Field } r \times \text{Field } r$   
**apply** *clarify*  
**apply** (*erule trancl-induct*)  
**apply** (*auto simp add: Field-def*)  
**done**

**lemma** *finite-trancl*:  $\text{finite } (r^+) = \text{finite } r$   
**apply** *auto*  
**prefer** 2  
**apply** (*rule trancl-subset-Field2 [THEN finite-subset]*)  
**apply** (*rule finite-SigmaI*)  
**prefer** 3  
**apply** (*blast intro: r-into-trancl' finite-subset*)

```

apply (auto simp add: finite-Field)
done

```

More about converse *rtrancl* and *trancl*, should be merged with main body.

```

lemma single-valued-confluent:
  [| single-valued r; (x,y) ∈ r^*; (x,z) ∈ r^* |]
  ==> (y,z) ∈ r^* ∨ (z,y) ∈ r^*
apply (erule rtrancl-induct)
apply simp
apply (erule disjE)
apply (blast elim: converse-rtranclE dest: single-valuedD)
apply (blast intro: rtrancl-trans)
done

```

```

lemma r-r-into-trancl: (a, b) ∈ R ==> (b, c) ∈ R ==> (a, c) ∈ R^+
by (fast intro: trancl-trans)

```

```

lemma trancl-into-trancl [rule-format]:
  (a, b) ∈ r^+ ==> (b, c) ∈ r --> (a, c) ∈ r^+
apply (erule trancl-induct)
apply (fast intro: r-r-into-trancl)
apply (fast intro: r-r-into-trancl trancl-trans)
done

```

```

lemma tranclp-rtranclp-tranclp:
  r^{++} a b ==> r^{**} b c ==> r^{++} a c
apply (drule tranclpD)
apply (elim exE conjE)
apply (drule rtranclp-trans, assumption)
apply (drule rtranclp-into-tranclp2, assumption, assumption)
done

```

```

lemmas trancl-rtrancl-trancl = tranclp-rtranclp-tranclp [to-set]

```

```

lemmas transitive-closure-trans [trans] =
  r-r-into-trancl trancl-trans rtrancl-trans
  trancl.trancl-into-trancl trancl-into-trancl2
  rtrancl.rtrancl-into-rtrancl converse-rtrancl-into-rtrancl
  rtrancl-trancl-trancl trancl-rtrancl-trancl

```

```

lemmas transitive-closurep-trans' [trans] =
  tranclp-trans rtranclp-trans
  tranclp.trancl-into-trancl tranclp-into-tranclp2
  rtranclp.rtrancl-into-rtrancl converse-rtranclp-into-rtranclp
  rtranclp-tranclp-tranclp tranclp-rtranclp-tranclp

```

```

declare trancl-into-rtrancl [elim]

```

## 20.4 Setup of transitivity reasoner

ML  $\ll$

```

structure Tranc1-Tac = Tranc1-Tac-Fun (
  struct
    val r-into-tranc1 = @{thm tranc1.r-into-tranc1};
    val tranc1-trans  = @{thm tranc1-trans};
    val rtranc1-refl  = @{thm rtranc1.rtranc1-refl};
    val r-into-rtranc1 = @{thm r-into-rtranc1};
    val tranc1-into-rtranc1 = @{thm tranc1-into-rtranc1};
    val rtranc1-tranc1-tranc1 = @{thm rtranc1-tranc1-tranc1};
    val tranc1-rtranc1-tranc1 = @{thm tranc1-rtranc1-tranc1};
    val rtranc1-trans = @{thm rtranc1-trans};

    fun decomp (@{const Trueprop} $ t) =
      let fun dec (Const (op :, -) $ (Const (Pair, -) $ a $ b) $ rel) =
          let fun decr (Const (Transitive-Closure.rtranc1, -) $ r) = (r,r*)
              | decr (Const (Transitive-Closure.tranc1, -) $ r) = (r,r+)
              | decr r = (r,r);
          val (rel,r) = decr (Envir.beta-eta-contract rel);
          in SOME (a,b,rel,r) end
        | dec - = NONE
      in dec t end
      | decomp - = NONE;

  end);

structure Tranc1p-Tac = Tranc1-Tac-Fun (
  struct
    val r-into-tranc1 = @{thm tranc1p.r-into-tranc1};
    val tranc1-trans  = @{thm tranc1p-trans};
    val rtranc1-refl  = @{thm rtranc1p.rtranc1-refl};
    val r-into-rtranc1 = @{thm r-into-rtranc1p};
    val tranc1-into-rtranc1 = @{thm tranc1p-into-rtranc1p};
    val rtranc1-tranc1-tranc1 = @{thm rtranc1p-tranc1p-tranc1p};
    val tranc1-rtranc1-tranc1 = @{thm tranc1p-rtranc1p-tranc1p};
    val rtranc1-trans = @{thm rtranc1p-trans};

    fun decomp (@{const Trueprop} $ t) =
      let fun dec (rel $ a $ b) =
          let fun decr (Const (Transitive-Closure.rtranc1p, -) $ r) = (r,r*)
              | decr (Const (Transitive-Closure.tranc1p, -) $ r) = (r,r+)
              | decr r = (r,r);
          val (rel,r) = decr rel;
          in SOME (a, b, rel, r) end
        | dec - = NONE
      in dec t end
      | decomp - = NONE;

  end);

```



```

    end);
  >>

declaration << fn - =>
  Simplifier.map-ss (fn ss => ss
    addSolver (mk-solver Tranc1 (fn - => Tranc1-Tac.tranc1-tac))
    addSolver (mk-solver Rtranc1 (fn - => Tranc1-Tac.rtranc1-tac))
    addSolver (mk-solver Tranc1p (fn - => Tranc1p-Tac.tranc1-tac))
    addSolver (mk-solver Rtranc1p (fn - => Tranc1p-Tac.rtranc1-tac)))
  >>

method-setup tranc1 =
  << Scan.succeed (K (SIMPLE-METHOD' Tranc1-Tac.tranc1-tac)) >>
  << simple transitivity reasoner >>
method-setup rtranc1 =
  << Scan.succeed (K (SIMPLE-METHOD' Tranc1-Tac.rtranc1-tac)) >>
  << simple transitivity reasoner >>
method-setup tranc1p =
  << Scan.succeed (K (SIMPLE-METHOD' Tranc1p-Tac.tranc1-tac)) >>
  << simple transitivity reasoner (predicate version) >>
method-setup rtranc1p =
  << Scan.succeed (K (SIMPLE-METHOD' Tranc1p-Tac.rtranc1-tac)) >>
  << simple transitivity reasoner (predicate version) >>

end

```

## 21 Wellfounded: Well-founded Recursion

```

theory Wellfounded
imports Finite-Set Transitive-Closure Nat
uses (Tools/function-package/size.ML)
begin

```

### 21.1 Basic Definitions

```

inductive
  wfrec-rel :: ('a * 'a) set => (('a => 'b) => 'a => 'b) => 'a => 'b => bool
  for R :: ('a * 'a) set
  and F :: ('a => 'b) => 'a => 'b
  where
    wfrecI: ALL z. (z, x) : R --> wfrec-rel R F z (g z) ==>
      wfrec-rel R F x (F g x)

constdefs
  wf :: ('a * 'a) set => bool
  wf(r) == (!P. (!x. (!y. (y,x):r --> P(y)) --> P(x)) --> (!x. P(x)))

```

$wfP :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow bool$   
 $wfP\ r == wf\ \{(x, y). r\ x\ y\}$

$acyclic :: ('a * 'a) set \Rightarrow bool$   
 $acyclic\ r == !x. (x, x) \sim: r^+ +$

$cut :: ('a \Rightarrow 'b) \Rightarrow ('a * 'a) set \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b$   
 $cut\ f\ r\ x == (\%y. \text{if } (y, x):r \text{ then } f\ y \text{ else undefined})$

$adm\text{-}wf :: ('a * 'a) set \Rightarrow (('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b) \Rightarrow bool$   
 $adm\text{-}wf\ R\ F == ALL\ f\ g\ x.$   
 $(ALL\ z. (z, x) : R \dashrightarrow f\ z = g\ z) \dashrightarrow F\ f\ x = F\ g\ x$

$wfrec :: ('a * 'a) set \Rightarrow (('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$   
 $[code\ del]: wfrec\ R\ F == \%x. THE\ y. wfrec\text{-}rel\ R\ (\%f\ x. F\ (cut\ f\ R\ x)\ x)\ x\ y$

**abbreviation**  $acyclicP :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow bool$  **where**  
 $acyclicP\ r == acyclic\ \{(x, y). r\ x\ y\}$

**lemma**  $wfP\text{-}wf\text{-}eq$   $[pred\text{-}set\text{-}conv]: wfP\ (\lambda x\ y. (x, y) \in r) = wf\ r$   
**by**  $(simp\ add: wfP\text{-}def)$

**lemma**  $wfUNIVI$ :  
 $(!!P\ x. (ALL\ x. (ALL\ y. (y, x) : r \dashrightarrow P(y)) \dashrightarrow P(x)) \implies P(x)) \implies$   
 $wf(r)$   
**unfolding**  $wf\text{-}def$  **by**  $blast$

**lemmas**  $wfPUNIVI = wfUNIVI$   $[to\text{-}pred]$

Restriction to domain  $A$  and range  $B$ . If  $r$  is well-founded over their intersection, then  $wf\ r$

**lemma**  $wfI$ :  
 $[| r \subseteq A \lt * B;$   
 $!!x\ P. [| \forall y. (y, x) : r \dashrightarrow P\ y) \dashrightarrow P\ x; x : A; x : B |] \implies P\ x |]$   
 $\implies wf\ r$   
**unfolding**  $wf\text{-}def$  **by**  $blast$

**lemma**  $wf\text{-}induct$ :  
 $[| wf(r);$   
 $!!x. [| ALL\ y. (y, x) : r \dashrightarrow P(y) |] \implies P(x)$   
 $|] \implies P(a)$   
**unfolding**  $wf\text{-}def$  **by**  $blast$

**lemmas**  $wfP\text{-}induct = wf\text{-}induct$   $[to\text{-}pred]$

**lemmas**  $wf\text{-}induct\text{-}rule = wf\text{-}induct$   $[rule\text{-}format, \text{consumes } 1, \text{case-names less,}$   
 $induct\ set: wf]$

**lemmas** *wfP-induct-rule* = *wf-induct-rule* [*to-pred*, *induct set*: *wfP*]

**lemma** *wf-not-sym*: *wf r ==> (a, x) : r ==> (x, a) ~: r*  
**by** (*induct a arbitrary: x set: wf*) *blast*

**lemmas** *wf-asym* = *wf-not-sym* [*elim-format*]

**lemma** *wf-not-refl* [*simp*]: *wf r ==> (a, a) ~: r*  
**by** (*blast elim: wf-asym*)

**lemmas** *wf-irrefl* = *wf-not-refl* [*elim-format*]

**lemma** *wf-wellorderI*:  
**assumes** *wf*: *wf {(x::'a::ord, y). x < y}*  
**assumes** *lin*: *OFCLASS('a::ord, linorder-class)*  
**shows** *OFCLASS('a::ord, wellorder-class)*  
**using** *lin* **by** (*rule wellorder-class.intro*)  
*(blast intro: wellorder-axioms.intro wf-induct-rule [OF wf])*

**lemma** (*in wellorder*) *wf*:  
*wf {(x, y). x < y}*  
**unfolding** *wf-def* **by** (*blast intro: less-induct*)

## 21.2 Basic Results

transitive closure of a well-founded relation is well-founded!

**lemma** *wf-trancl*:  
**assumes** *wf r*  
**shows** *wf (r<sup>+</sup>)*  
**proof** –  
 {  
**fix** *P* **and** *x*  
**assume** *induct-step*: *!!x. (!!y. (y, x) : r<sup>+</sup> ==> P y) ==> P x*  
**have** *P x*  
**proof** (*rule induct-step*)  
**fix** *y* **assume** *(y, x) : r<sup>+</sup>*  
**with** *(wf r)* **show** *P y*  
**proof** (*induct x arbitrary: y*)  
**case** (*less x*)  
**note** *hyp* = *( $\bigwedge x' y'. (x', x) : r ==> (y', x') : r<sup>+</sup> ==> P y'$ )*  
**from** *(y, x) : r<sup>+</sup>* **show** *P y*  
**proof** *cases*  
**case** *base*  
**show** *P y*  
**proof** (*rule induct-step*)  
**fix** *y'* **assume** *(y', y) : r<sup>+</sup>*  
**with** *(y, x) : r* **show** *P y'* **by** (*rule hyp [of y y']*)  
 }

```

      qed
    next
      case step
      then obtain  $x'$  where  $(x', x) : r$  and  $(y, x') : r^+ +$  by simp
      then show  $P y$  by (rule hyp [of  $x' y$ ])
    qed
  qed
  qed
} then show ?thesis unfolding wf-def by blast
qed

```

lemmas  $wfP\text{-}trancl = wf\text{-}trancl$  [to-pred]

```

lemma wf-converse-trancl:  $wf (r^+ - 1) ==> wf ((r^+)^+ - 1)$ 
  apply (subst trancl-converse [symmetric])
  apply (erule wf-trancl)
  done

```

Minimal-element characterization of well-foundedness

```

lemma wf-eq-minimal:  $wf r = (\forall Q. x \in Q \longrightarrow (\exists z \in Q. \forall y. (y, z) \in r \longrightarrow y \notin Q))$ 

```

proof (intro iffI strip)

fix  $Q :: 'a \text{ set}$  and  $x$

assume  $wf r$  and  $x \in Q$

then show  $\exists z \in Q. \forall y. (y, z) \in r \longrightarrow y \notin Q$

unfolding wf-def

by (blast dest: spec [of  $\lambda x. x \in Q \longrightarrow (\exists z \in Q. \forall y. (y, z) \in r \longrightarrow y \notin Q)$ ])

next

assume  $1: \forall Q. x \in Q \longrightarrow (\exists z \in Q. \forall y. (y, z) \in r \longrightarrow y \notin Q)$

show  $wf r$

proof (rule wfUNIVI)

fix  $P :: 'a \Rightarrow \text{bool}$  and  $x$

assume  $2: \forall x. (\forall y. (y, x) \in r \longrightarrow P y) \longrightarrow P x$

let  $?Q = \{x. \neg P x\}$

have  $x \in ?Q \longrightarrow (\exists z \in ?Q. \forall y. (y, z) \in r \longrightarrow y \notin ?Q)$

by (rule 1 [THEN spec, THEN spec])

then have  $\neg P x \longrightarrow (\exists z. \neg P z \wedge (\forall y. (y, z) \in r \longrightarrow P y))$  by simp

with 2 have  $\neg P x \longrightarrow (\exists z. \neg P z \wedge P z)$  by fast

then show  $P x$  by simp

qed

qed

lemma wfE-min:

assumes  $wf R$  and  $x \in Q$

obtains  $z$  where  $z \in Q \wedge \forall y. (y, z) \in R \implies y \notin Q$

using assms unfolding wf-eq-minimal by blast

lemma wfI-min:

$(\wedge x Q. x \in Q \implies \exists z \in Q. \forall y. (y, z) \in R \longrightarrow y \notin Q)$

$\Rightarrow wf\ R$   
**unfolding** *wf-eq-minimal* **by** *blast*

**lemmas** *wfP-eq-minimal* = *wf-eq-minimal* [*to-pred*]

Well-foundedness of subsets

**lemma** *wf-subset*: [| *wf*(*r*); *p* <= *r* |] ==> *wf*(*p*)  
**apply** (*simp* (*no-asm-use*) *add*: *wf-eq-minimal*)  
**apply** *fast*  
**done**

**lemmas** *wfP-subset* = *wf-subset* [*to-pred*]

Well-foundedness of the empty relation

**lemma** *wf-empty* [*iff*]: *wf*({})  
**by** (*simp* *add*: *wf-def*)

**lemmas** *wfP-empty* [*iff*] =  
*wf-empty* [*to-pred* *bot-empty-eq2*, *simplified* *bot-fun-eq* *bot-bool-eq*]

**lemma** *wf-Int1*: *wf* *r* ==> *wf* (*r* *Int* *r'*)  
**apply** (*erule* *wf-subset*)  
**apply** (*rule* *Int-lower1*)  
**done**

**lemma** *wf-Int2*: *wf* *r* ==> *wf* (*r'* *Int* *r*)  
**apply** (*erule* *wf-subset*)  
**apply** (*rule* *Int-lower2*)  
**done**

Well-foundedness of insert

**lemma** *wf-insert* [*iff*]: *wf*(*insert* (*y*,*x*) *r*) = (*wf*(*r*) & (*x*,*y*) ~: *r*^\*)  
**apply** (*rule* *iffI*)  
**apply** (*blast* *elim*: *wf-trancl* [*THEN* *wf-irrefl*]  
*intro*: *rtrancl-into-trancl1* *wf-subset*  
*rtrancl-mono* [*THEN* [*2*] *rev-subsetD*])  
**apply** (*simp* *add*: *wf-eq-minimal*, *safe*)  
**apply** (*rule* *allE*, *assumption*, *erule* *impE*, *blast*)  
**apply** (*erule* *bexE*)  
**apply** (*rename-tac* *a*, *case-tac* *a* = *x*)  
**prefer** 2  
**apply** *blast*  
**apply** (*case-tac* *y*:*Q*)  
**prefer** 2 **apply** *blast*  
**apply** (*rule-tac* *x* = {*z*. *z*:*Q* & (*z*,*y*) : *r*^\*} **in** *allE*)  
**apply** *assumption*  
**apply** (*erule-tac* *V* = *ALL* *Q*. (*EX* *x*. *x* : *Q*) --> ?*P* *Q* **in** *thin-rl*)  
— essential for speed

Blast with new substOccur fails

```
apply (fast intro: converse-rtrancl-into-rtrancl)
done
```

Well-foundedness of image

```
lemma wf-prod-fun-image: [| wf r; inj f |] ==> wf(prod-fun f f ‘ r)
apply (simp only: wf-eq-minimal, clarify)
apply (case-tac EX p. f p : Q)
apply (erule-tac x = {p. f p : Q} in allE)
apply (fast dest: inj-onD, blast)
done
```

### 21.3 Well-Foundedness Results for Unions

**lemma** *wf-union-compatible*:

```
  assumes wf R wf S
  assumes S O R ⊆ R
  shows wf (R ∪ S)
proof (rule wfI-min)
  fix x :: 'a and Q
  let ?Q' = {x ∈ Q. ∀ y. (y, x) ∈ R ⟶ y ∉ Q}
  assume x ∈ Q
  obtain a where a ∈ ?Q'
    by (rule wfE-min [OF ⟨wf R⟩ ⟨x ∈ Q⟩]) blast
  with ⟨wf S⟩
  obtain z where z ∈ ?Q' and zmin: ∧ y. (y, z) ∈ S ⟹ y ∉ ?Q' by (erule wfE-min)
  {
    fix y assume (y, z) ∈ S
    then have y ∉ ?Q' by (rule zmin)

    have y ∉ Q
    proof
      assume y ∈ Q
      with ⟨y ∉ ?Q'⟩
      obtain w where (w, y) ∈ R and w ∈ Q by auto
      from ⟨(w, y) ∈ R⟩ ⟨(y, z) ∈ S⟩ have (w, z) ∈ S O R by (rule rel-compI)
      with ⟨S O R ⊆ R⟩ have (w, z) ∈ R ..
      with ⟨z ∈ ?Q'⟩ have w ∉ Q by blast
      with ⟨w ∈ Q⟩ show False by contradiction
    qed
  }
  with ⟨z ∈ ?Q'⟩ show  $\exists z \in Q. \forall y. (y, z) \in R \cup S \longrightarrow y \notin Q$  by blast
qed
```

Well-foundedness of indexed union with disjoint domains and ranges

```
lemma wf-UN: [| ALL i:I. wf(r i);
  ALL i:I. ALL j:I. r i ~ = r j ⟶ Domain(r i) Int Range(r j) = {}
|] ==> wf(UN i:I. r i)
```

```

apply (simp only: wf-eq-minimal, clarify)
apply (rename-tac A a, case-tac EX i:I. EX a:A. EX b:A. (b,a) : r i)
prefer 2
apply force
apply clarify
apply (drule bspec, assumption)
apply (erule-tac x={a. a:A & (EX b:A. (b,a) : r i) } in allE)
apply (blast elim!: allE)
done

lemmas wfP-SUP = wf-UN [where I=UNIV and r=λi. {(x, y). r i x y},
to-pred SUP-UN-eq2 bot-empty-eq pred-equals-eq, simplified, standard]

lemma wf-Union:
  [| ALL r:R. wf r;
    ALL r:R. ALL s:R. r ~ = s --> Domain r Int Range s = {}
  |] ==> wf(Union R)
apply (simp add: Union-def)
apply (blast intro: wf-UN)
done

lemma wf-Un:
  [| wf r; wf s; Domain r Int Range s = {} |] ==> wf(r Un s)
using wf-union-compatible[of s r]
by (auto simp: Un-ac)

lemma wf-union-merge:
  wf (R ∪ S) = wf (R O R ∪ R O S ∪ S) (is wf ?A = wf ?B)
proof
  assume wf ?A
  with wf-trancl have wfT: wf (?A ^+).
  moreover have ?B ⊆ ?A ^+
    by (subst trancl-unfold, subst trancl-unfold) blast
  ultimately show wf ?B by (rule wf-subset)
next
  assume wf ?B

  show wf ?A
  proof (rule wfI-min)
    fix Q :: 'a set and x
    assume x ∈ Q

    with ⟨wf ?B⟩
    obtain z where z ∈ Q and ∧y. (y, z) ∈ ?B ⟹ y ∉ Q
      by (erule wfE-min)
    then have A1: ∧y. (y, z) ∈ R O R ⟹ y ∉ Q
      and A2: ∧y. (y, z) ∈ R O S ⟹ y ∉ Q
      and A3: ∧y. (y, z) ∈ S ⟹ y ∉ Q

```

```

by auto

show  $\exists z \in Q. \forall y. (y, z) \in ?A \longrightarrow y \notin Q$ 
proof (cases  $\forall y. (y, z) \in R \longrightarrow y \notin Q$ )
  case True
    with  $\langle z \in Q \rangle A3$  show ?thesis by blast
  next
    case False
    then obtain  $z'$  where  $z' \in Q \ (z', z) \in R$  by blast

    have  $\forall y. (y, z') \in ?A \longrightarrow y \notin Q$ 
    proof (intro allI impI)
      fix y assume  $(y, z') \in ?A$ 
      then show  $y \notin Q$ 
      proof
        assume  $(y, z') \in R$ 
        then have  $(y, z) \in R \ O \ R$  using  $\langle (z', z) \in R \rangle ..$ 
        with A1 show  $y \notin Q$  .
      next
        assume  $(y, z') \in S$ 
        then have  $(y, z) \in R \ O \ S$  using  $\langle (z', z) \in R \rangle ..$ 
        with A2 show  $y \notin Q$  .
      qed
    qed
  with  $\langle z' \in Q \rangle$  show ?thesis ..
qed
qed
qed
qed

```

**lemma** *wf-comp-self*:  $wf\ R = wf\ (R \ O \ R)$  — special case  
 by (rule *wf-union-merge* [where  $S = \{\}$ , simplified])

### 21.3.1 acyclic

**lemma** *acyclicI*:  $ALL\ x. (x, x) \sim: r^+ \implies acyclic\ r$   
 by (simp add: *acyclic-def*)

**lemma** *wf-acyclic*:  $wf\ r \implies acyclic\ r$   
**apply** (simp add: *acyclic-def*)  
**apply** (blast elim: *wf-trancl* [THEN *wf-irrefl*])  
**done**

**lemmas** *wfP-acyclicP* = *wf-acyclic* [to-pred]

**lemma** *acyclic-insert* [iff]:  
 $acyclic(insert\ (y,x)\ r) = (acyclic\ r \ \& \ (x,y) \sim: r^+)$   
**apply** (simp add: *acyclic-def trancl-insert*)  
**apply** (blast intro: *rtrancl-trans*)  
**done**



**lemma** *acyclic-converse* [iff]:  $acyclic(r^{-1}) = acyclic\ r$   
**by** (*simp add: acyclic-def trancl-converse*)

**lemmas** *acyclicP-converse* [iff] = *acyclic-converse* [to-pred]

**lemma** *acyclic-impl-antisym-rtrancl*:  $acyclic\ r \impl antisym(r^*)$   
**apply** (*simp add: acyclic-def antisym-def*)  
**apply** (*blast elim: rtranclE intro: rtrancl-into-trancl1 rtrancl-trancl-trancl*)  
**done**

**lemma** *acyclic-subset*:  $[| acyclic\ s; r \leq s |] \impl acyclic\ r$   
**apply** (*simp add: acyclic-def*)  
**apply** (*blast intro: trancl-mono*)  
**done**

Wellfoundedness of finite acyclic relations

**lemma** *finite-acyclic-wf* [rule-format]:  $finite\ r \impl acyclic\ r \dashv\vdash wf\ r$   
**apply** (*erule finite-induct, blast*)  
**apply** (*simp (no-asm-simp) only: split-tupled-all*)  
**apply** *simp*  
**done**

**lemma** *finite-acyclic-wf-converse*:  $[| finite\ r; acyclic\ r |] \impl wf\ (r^{-1})$   
**apply** (*erule finite-converse [THEN iffD2, THEN finite-acyclic-wf]*)  
**apply** (*erule acyclic-converse [THEN iffD2]*)  
**done**

**lemma** *wf-iff-acyclic-if-finite*:  $finite\ r \impl wf\ r = acyclic\ r$   
**by** (*blast intro: finite-acyclic-wf wf-acyclic*)

## 21.4 Well-Founded Recursion

cut

**lemma** *cuts-eq*:  $(cut\ f\ r\ x = cut\ g\ r\ x) = (ALL\ y. (y,x):r \dashv\vdash f(y)=g(y))$   
**by** (*simp add: expand-fun-eq cut-def*)

**lemma** *cut-apply*:  $(x,a):r \impl (cut\ f\ r\ a)(x) = f(x)$   
**by** (*simp add: cut-def*)

Inductive characterization of wfrec combinator; for details see: John Harrison, “Inductive definitions: automation and application”

**lemma** *wfrec-unique*:  $[| adm-wf\ R\ F; wf\ R |] \impl EX! y. wfrec-rel\ R\ F\ x\ y$   
**apply** (*simp add: adm-wf-def*)  
**apply** (*erule-tac a=x in wf-induct*)  
**apply** (*rule ex1I*)

```

apply (rule-tac g = %x. THE y. wfrec-rel R F x y in wfrec-rel.wfrecI)
apply (fast dest!: theI')
apply (erule wfrec-rel.cases, simp)
apply (erule allE, erule allE, erule allE, erule mp)
apply (fast intro: the-equality [symmetric])
done

```

```

lemma adm-lemma: adm-wf R (%f x. F (cut f R x) x)
apply (simp add: adm-wf-def)
apply (intro strip)
apply (rule cuts-eq [THEN iffD2, THEN subst], assumption)
apply (rule refl)
done

```

```

lemma wfrec: wf(r) ==> wfrec r H a = H (cut (wfrec r H) r a) a
apply (simp add: wfrec-def)
apply (rule adm-lemma [THEN wfrec-unique, THEN the1-equality], assumption)
apply (rule wfrec-rel.wfrecI)
apply (intro strip)
apply (erule adm-lemma [THEN wfrec-unique, THEN theI'])
done

```

## 21.5 Code generator setup

```

consts-code
  wfrec  ((<module>wfrec?)
attach <<
  fun wfrec f x = f (wfrec f) x;
  >>

```

## 21.6 nat is well-founded

```

lemma less-nat-rel: op < = (λm n. n = Suc m) ^++
proof (rule ext, rule ext, rule iffI)
  fix n m :: nat
  assume m < n
  then show (λm n. n = Suc m) ^++ m n
  proof (induct n)
    case 0 then show ?case by auto
  next
    case (Suc n) then show ?case
      by (auto simp add: less-Suc-eq-le le-less intro: tranclp.trancl-into-trancl)
  qed
next
  fix n m :: nat
  assume (λm n. n = Suc m) ^++ m n
  then show m < n
    by (induct n)
      (simp-all add: less-Suc-eq-le reflexive le-less)
  qed

```

**definition**

$pred\text{-}nat :: (nat * nat) \text{ set}$  **where**  
 $pred\text{-}nat = \{(m, n). n = Suc\ m\}$

**definition**

$less\text{-}than :: (nat * nat) \text{ set}$  **where**  
 $less\text{-}than = pred\text{-}nat^+ +$

**lemma**  $less\text{-}eq: (m, n) \in pred\text{-}nat^+ \longleftrightarrow m < n$   
**unfolding**  $less\text{-}nat\text{-}rel\ pred\text{-}nat\text{-}def\ trancl\text{-}def$  **by** *simp*

**lemma**  $pred\text{-}nat\text{-}trancl\text{-}eq\text{-}le:$   
 $(m, n) \in pred\text{-}nat^* \longleftrightarrow m \leq n$   
**unfolding**  $less\text{-}eq\ rtrancl\text{-}eq\text{-}or\text{-}trancl$  **by** *auto*

**lemma**  $wf\text{-}pred\text{-}nat: wf\ pred\text{-}nat$   
**apply** (*unfold wf-def pred-nat-def, clarify*)  
**apply** (*induct-tac x, blast+*)  
**done**

**lemma**  $wf\text{-}less\text{-}than\ [iff]: wf\ less\text{-}than$   
**by** (*simp add: less-than-def wf-pred-nat [THEN wf-trancl]*)

**lemma**  $trans\text{-}less\text{-}than\ [iff]: trans\ less\text{-}than$   
**by** (*simp add: less-than-def trans-trancl*)

**lemma**  $less\text{-}than\text{-}iff\ [iff]: ((x, y): less\text{-}than) = (x < y)$   
**by** (*simp add: less-than-def less-eq*)

**lemma**  $wf\text{-}less: wf\ \{(x, y::nat). x < y\}$   
**using**  $wf\text{-}less\text{-}than$  **by** (*simp add: less-than-def less-eq [symmetric]*)

## 21.7 Accessible Part

Inductive definition of the accessible part  $acc\ r$  of a relation; see also [?].

**inductive-set**

$acc :: ('a * 'a) \text{ set} \Rightarrow 'a \text{ set}$   
**for**  $r :: ('a * 'a) \text{ set}$   
**where**  
 $accI: (!y. (y, x) : r \Rightarrow y : acc\ r) \Rightarrow x : acc\ r$

**abbreviation**

$termip :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow bool$  **where**  
 $termip\ r == accp\ (r^{-1-1})$

**abbreviation**

$termi :: ('a * 'a) \text{ set} \Rightarrow 'a \text{ set}$  **where**  
 $termi\ r == acc\ (r^{-1})$

**lemmas** *accpI* = *accp.accI*

Induction rules

**theorem** *accp-induct*:

**assumes** *major*: *accp r a*  
  **assumes** *hyp*:  $\forall x. \text{accp } r \ x \implies \forall y. r \ y \ x \implies P \ y \implies P \ x$   
  **shows** *P a*  
  **apply** (*rule major [THEN accp.induct]*)  
  **apply** (*rule hyp*)  
  **apply** (*rule accp.accI*)  
  **apply** *fast*  
  **apply** *fast*  
  **done**

**theorems** *accp-induct-rule* = *accp-induct* [*rule-format*, *induct set: accp*]

**theorem** *accp-downward*:  $\text{accp } r \ b \implies r \ a \ b \implies \text{accp } r \ a$

**apply** (*erule accp.cases*)  
  **apply** *fast*  
  **done**

**lemma** *not-accp-down*:

**assumes** *na*:  $\neg \text{accp } R \ x$   
  **obtains** *z* **where** *R z x* **and**  $\neg \text{accp } R \ z$   
**proof** –  
  **assume** *a*:  $\bigwedge z. \llbracket R \ z \ x; \neg \text{accp } R \ z \rrbracket \implies \text{thesis}$

**show** *thesis*

**proof** (*cases*  $\forall z. R \ z \ x \longrightarrow \text{accp } R \ z$ )  
  **case** *True*  
  **hence**  $\bigwedge z. R \ z \ x \implies \text{accp } R \ z$  **by** *auto*  
  **hence** *accp R x*  
  **by** (*rule accp.accI*)  
  **with** *na* **show** *thesis* ..

**next**

**case** *False* **then obtain** *z* **where** *R z x* **and**  $\neg \text{accp } R \ z$   
  **by** *auto*  
  **with** *a* **show** *thesis* .

**qed**

**qed**

**lemma** *accp-downwards-aux*:  $r^{**} \ b \ a \implies \text{accp } r \ a \implies \text{accp } r \ b$

**apply** (*erule rtranclp-induct*)  
  **apply** *blast*  
  **apply** (*blast dest: accp-downward*)  
  **done**

**theorem** *accp-downwards*:  $\text{accp } r \ a \implies r^{**} \ b \ a \implies \text{accp } r \ b$

```

apply (blast dest: accp-downwards-aux)
done

theorem accp-wfPI:  $\forall x. \text{accp } r \ x ==> \text{wfP } r$ 
  apply (rule wfPUNIVI)
  apply (induct-tac P x rule: accp-induct)
  apply blast
  apply blast
  done

theorem accp-wfPD:  $\text{wfP } r ==> \text{accp } r \ x$ 
  apply (erule wfP-induct-rule)
  apply (rule accp.accI)
  apply blast
  done

theorem wfP-accp-iff:  $\text{wfP } r = (\forall x. \text{accp } r \ x)$ 
  apply (blast intro: accp-wfPI dest: accp-wfPD)
  done

```

Smaller relations have bigger accessible parts:

```

lemma accp-subset:
  assumes sub:  $R1 \leq R2$ 
  shows  $\text{accp } R2 \leq \text{accp } R1$ 
proof (rule predicate1I)
  fix x assume  $\text{accp } R2 \ x$ 
  then show  $\text{accp } R1 \ x$ 
  proof (induct x)
    fix x
    assume ih:  $\bigwedge y. R2 \ y \ x \implies \text{accp } R1 \ y$ 
    with sub show  $\text{accp } R1 \ x$ 
    by (blast intro: accp.accI)
  qed
qed

```

This is a generalized induction theorem that works on subsets of the accessible part.

```

lemma accp-subset-induct:
  assumes subset:  $D \leq \text{accp } R$ 
  and dcl:  $\bigwedge x \ z. \llbracket D \ x; R \ z \ x \rrbracket \implies D \ z$ 
  and Dx:  $D \ x$ 
  and istep:  $\bigwedge x. \llbracket D \ x; (\bigwedge z. R \ z \ x \implies P \ z) \rrbracket \implies P \ x$ 
  shows  $P \ x$ 
proof –
  from subset and  $\langle D \ x \rangle$ 
  have  $\text{accp } R \ x \ ..$ 
  then show  $P \ x$  using  $\langle D \ x \rangle$ 
  proof (induct x)
    fix x

```

```

    assume  $D\ x$ 
    and  $\bigwedge y. R\ y\ x \implies D\ y \implies P\ y$ 
    with dcl and istep show  $P\ x$  by blast
qed
qed

```

Set versions of the above theorems

```
lemmas acc-induct = accp-induct [to-set]
```

```
lemmas acc-induct-rule = acc-induct [rule-format, induct set: acc]
```

```
lemmas acc-downward = accp-downward [to-set]
```

```
lemmas not-acc-down = not-accp-down [to-set]
```

```
lemmas acc-downwards-aux = accp-downwards-aux [to-set]
```

```
lemmas acc-downwards = accp-downwards [to-set]
```

```
lemmas acc-wfI = accp-wfPI [to-set]
```

```
lemmas acc-wfD = accp-wfPD [to-set]
```

```
lemmas wf-acc-iff = wfP-accp-iff [to-set]
```

```
lemmas acc-subset = accp-subset [to-set pred-subset-eq]
```

```
lemmas acc-subset-induct = accp-subset-induct [to-set pred-subset-eq]
```

## 21.8 Tools for building wellfounded relations

Inverse Image

```

lemma wf-inv-image [simp,intro!]:  $wf(r) \implies wf(inv\_image\ r\ (f::'a \implies 'b))$ 
apply (simp (no-asm-use) add: inv-image-def wf-eq-minimal)
apply clarify
apply (subgoal-tac  $EX\ (w::'b) . w : \{w. EX\ (x::'a) . x : Q \ \&\ (f\ x = w)\}$ )
prefer 2 apply (blast del: allE)
apply (erule allE)
apply (erule (1) notE impE)
apply blast
done

```

```

lemma in-inv-image[simp]:  $((x,y) : inv\_image\ r\ f) = ((f\ x, f\ y) : r)$ 
  by (auto simp:inv-image-def)

```

Measure functions into *nat*

```

definition measure ::  $('a \implies nat) \implies ('a * 'a)_{set}$ 
where measure == inv-image less-than

```

**lemma** *in-measure*[*simp*]:  $((x, y) : \text{measure } f) = (f \ x < f \ y)$   
**by** (*simp add:measure-def*)

**lemma** *wf-measure* [*iff*]:  $\text{wf } (\text{measure } f)$   
**apply** (*unfold measure-def*)  
**apply** (*rule wf-less-than [THEN wf-inv-image]*)  
**done**

Lexicographic combinations

**definition**

*lex-prod* ::  $[(a' *' a) \text{ set}, (b' *' b) \text{ set}] \Rightarrow ((a' *' b) * (a *' b)) \text{ set}$   
 (**infixr** *<\*lex\*>* 80)

**where**

$ra <*lex*> rb == \{((a, b), (a', b')). (a, a') : ra \mid a = a' \ \& \ (b, b') : rb\}$

**lemma** *wf-lex-prod* [*intro!*]:  $[\text{wf}(ra); \text{wf}(rb)] \implies \text{wf}(ra <*lex*> rb)$   
**apply** (*unfold wf-def lex-prod-def*)  
**apply** (*rule allI, rule impI*)  
**apply** (*simp (no-asm-use) only: split-paired-All*)  
**apply** (*drule spec, erule mp*)  
**apply** (*rule allI, rule impI*)  
**apply** (*drule spec, erule mp, blast*)  
**done**

**lemma** *in-lex-prod*[*simp*]:  
 $((a, b), (a', b')) : r <*lex*> s \iff ((a, a') : r \vee (a = a' \wedge (b, b') : s))$   
**by** (*auto simp:lex-prod-def*)

*op <\*lex\*>* preserves transitivity

**lemma** *trans-lex-prod* [*intro!*]:  
 $[\text{trans } R1; \text{trans } R2] \implies \text{trans } (R1 <*lex*> R2)$   
**by** (*unfold trans-def lex-prod-def, blast*)

lexicographic combinations with measure functions

**definition**

*mlex-prod* ::  $(a \Rightarrow \text{nat}) \Rightarrow (a \times a) \text{ set} \Rightarrow (a \times a) \text{ set}$  (**infixr** *<\*mlex\*>* 80)

**where**

$f <*mlex*> R = \text{inv-image } (\text{less-than } <*lex*> R) (\%x. (f \ x, x))$

**lemma** *wf-mlex*:  $\text{wf } R \implies \text{wf } (f <*mlex*> R)$   
**unfolding** *mlex-prod-def*  
**by** *auto*

**lemma** *mlex-less*:  $f \ x < f \ y \implies (x, y) \in f <*mlex*> R$   
**unfolding** *mlex-prod-def* **by** *simp*

**lemma** *mlex-leq*:  $f \ x \leq f \ y \implies (x, y) \in R \implies (x, y) \in f <*mlex*> R$   
**unfolding** *mlex-prod-def* **by** *auto*

proper subset relation on finite sets

**definition** *finite-psubset* :: ('a set \* 'a set) set  
**where** *finite-psubset* == {(A,B). A < B & finite B}

**lemma** *wf-finite-psubset[simp]*: wf(*finite-psubset*)  
**apply** (*unfold finite-psubset-def*)  
**apply** (*rule wf-measure [THEN wf-subset]*)  
**apply** (*simp add: measure-def inv-image-def less-than-def less-eq*)  
**apply** (*fast elim!: psubset-card-mono*)  
**done**

**lemma** *trans-finite-psubset*: *trans finite-psubset*  
**by** (*simp add: finite-psubset-def less-le trans-def, blast*)

**lemma** *in-finite-psubset[simp]*: (A, B) ∈ *finite-psubset* = (A < B & finite B)  
**unfolding** *finite-psubset-def* **by** *auto*

max- and min-extension of order to finite sets

**inductive-set** *max-ext* :: ('a × 'a) set ⇒ ('a set × 'a set) set  
**for** *R* :: ('a × 'a) set  
**where**

*max-extI[intro]*: *finite X* ⇒ *finite Y* ⇒ *Y* ≠ {} ⇒ (∧*x*. *x* ∈ *X* ⇒ ∃*y* ∈ *Y*.  
(*x*, *y*) ∈ *R*) ⇒ (*X*, *Y*) ∈ *max-ext R*

**lemma** *max-ext-wf*:

**assumes** *wf*: wf *r*

**shows** wf (*max-ext r*)

**proof** (*rule acc-wfI, intro allI*)

**fix** *M* **show** *M* ∈ acc (*max-ext r*) (**is** - ∈ ?*W*)

**proof** *cases*

**assume** *finite M*

**thus** ?*thesis*

**proof** (*induct M*)

**show** {} ∈ ?*W*

**by** (*rule accI*) (*auto elim: max-ext.cases*)

**next**

**fix** *M a* **assume** *M* ∈ ?*W* *finite M*

**with** *wf* **show** *insert a M* ∈ ?*W*

**proof** (*induct arbitrary: M*)

**fix** *M a*

**assume** *M* ∈ ?*W* **and** [*intro*]: *finite M*

**assume** *hyp*: ∧*b M*. (*b*, *a*) ∈ *r* ⇒ *M* ∈ ?*W* ⇒ *finite M* ⇒ *insert b M*

∈ ?*W*

{

**fix** *N M* :: 'a set

**assume** *finite N* *finite M*

**then**

**have** [ *M* ∈ ?*W* ; (∧*y*. *y* ∈ *N* ⇒ (*y*, *a*) ∈ *r*) ] ⇒ *N* ∪ *M* ∈ ?*W*

**by** (*induct N arbitrary: M*) (*auto simp: hyp*)



```

}
note add-less = this

show insert a M ∈ ?W
proof (rule accI)
  fix N assume Nless: (N, insert a M) ∈ max-ext r
  hence asm1:  $\bigwedge x. x \in N \implies (x, a) \in r \vee (\exists y \in M. (x, y) \in r)$ 
    by (auto elim!: max-ext.cases)

  let ?N1 = { n ∈ N. (n, a) ∈ r }
  let ?N2 = { n ∈ N. (n, a) ∉ r }
  have N: ?N1 ∪ ?N2 = N by (rule set-ext) auto
  from Nless have finite N by (auto elim: max-ext.cases)
  then have finites: finite ?N1 finite ?N2 by auto

  have ?N2 ∈ ?W
  proof cases
    assume [simp]: M = {}
    have Mw: {} ∈ ?W by (rule accI) (auto elim: max-ext.cases)

    from asm1 have ?N2 = {} by auto
    with Mw show ?N2 ∈ ?W by (simp only:)
  next
    assume M ≠ {}
    have N2: (?N2, M) ∈ max-ext r
      by (rule max-extI[OF - - ⟨M ≠ {}⟩]) (insert asm1, auto intro: finites)

    with ⟨M ∈ ?W⟩ show ?N2 ∈ ?W by (rule acc-downward)
  qed
  with finites have ?N1 ∪ ?N2 ∈ ?W
    by (rule add-less) simp
  then show N ∈ ?W by (simp only: N)
qed
qed
qed
next
  assume [simp]: ¬ finite M
  show ?thesis
    by (rule accI) (auto elim: max-ext.cases)
qed
qed

lemma max-ext-additive:
  (A, B) ∈ max-ext R  $\implies$  (C, D) ∈ max-ext R  $\implies$ 
  (A ∪ C, B ∪ D) ∈ max-ext R
  by (force elim!: max-ext.cases)

definition

```

$min-ext :: ('a \times 'a) set \Rightarrow ('a set \times 'a set) set$   
**where**  
 $[code del]: min-ext\ r = \{(X, Y) \mid X\ Y.\ X \neq \{\}\ \wedge\ (\forall y \in Y.\ (\exists x \in X.\ (x, y) \in r))\}$

**lemma** *min-ext-wf*:  
**assumes** *wf r*  
**shows** *wf (min-ext r)*  
**proof** (*rule wfI-min*)  
**fix** *Q* :: *'a set set*  
**fix** *x*  
**assume** *nonempty*:  $x \in Q$   
**show**  $\exists m \in Q.\ (\forall n.\ (n, m) \in min-ext\ r \longrightarrow n \notin Q)$   
**proof** *cases*  
**assume**  $Q = \{\{\}\}$  **thus** *?thesis* **by** (*simp add: min-ext-def*)  
**next**  
**assume**  $Q \neq \{\{\}\}$   
**with** *nonempty*  
**obtain** *e x* **where**  $x \in Q\ e \in x$  **by** *force*  
**then have**  $eU: e \in \bigcup Q$  **by** *auto*  
**with**  $\langle wf\ r \rangle$   
**obtain** *z* **where**  $z: z \in \bigcup Q \wedge y.\ (y, z) \in r \Longrightarrow y \notin \bigcup Q$   
**by** (*erule wfE-min*)  
**from** *z* **obtain** *m* **where**  $m \in Q\ z \in m$  **by** *auto*  
**from**  $\langle m \in Q \rangle$   
**show** *?thesis*  
**proof** (*rule, intro bexI allI impI*)  
**fix** *n*  
**assume** *smaller*:  $(n, m) \in min-ext\ r$   
**with**  $\langle z \in m \rangle$  **obtain** *y* **where**  $y: y \in n\ (y, z) \in r$  **by** (*auto simp: min-ext-def*)  
**then show**  $n \notin Q$  **using**  $z(2)$  **by** *auto*  
**qed**  
**qed**  
**qed**

Wellfoundedness of *same-fst*

**definition**

$same-fst :: ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow ('b * 'b) set) \Rightarrow (('a * 'b) * ('a * 'b)) set$   
**where**

$same-fst\ P\ R == \{((x', y'), (x, y)) \mid x' = x \ \&\ P\ x \ \&\ (y', y) : R\ x\}$

— For *rec-def* declarations where the first *n* parameters stay unchanged in the recursive call.

**lemma** *same-fstI* [*intro!*]:

$[| P\ x; (y', y) : R\ x |] \Longrightarrow ((x, y'), (x, y)) : same-fst\ P\ R$

**by** (*simp add: same-fst-def*)

**lemma** *wf-same-fst*:

**assumes** *prem*:  $(!!x.\ P\ x \Longrightarrow wf\ (R\ x))$

```

  shows wf (same-fst P R)
apply (simp cong del: imp-cong add: wf-def same-fst-def)
apply (intro strip)
apply (rename-tac a b)
apply (case-tac wf (R a))
  apply (erule-tac a = b in wf-induct, blast)
apply (blast intro: prem)
done

```

## 21.9 Weakly decreasing sequences (w.r.t. some well-founded order) stabilize.

This material does not appear to be used any longer.

```

lemma sequence-trans: [| ALL i. (f (Suc i), f i) : r^* |] ==> (f (i+k), f i) : r^*
by (induct k) (auto intro: rtrancl-trans)

```

```

lemma wf-weak-decr-stable:
  assumes as: ALL i. (f (Suc i), f i) : r^* wf (r^+)
  shows EX i. ALL k. f (i+k) = f i
proof -
  have lem: !!x. [| ALL i. (f (Suc i), f i) : r^*; wf (r^+) |]
    ==> ALL m. f m = x --> (EX i. ALL k. f (m+i+k) = f (m+i))
  apply (erule wf-induct, clarify)
  apply (case-tac EX j. (f (m+j), f m) : r^+)
  apply clarify
  apply (subgoal-tac EX i. ALL k. f ((m+j) + i+k) = f ((m+j) + i))
  apply clarify
  apply (rule-tac x = j+i in exI)
  apply (simp add: add-ac, blast)
  apply (rule-tac x = 0 in exI, clarsimp)
  apply (drule-tac i = m and k = k in sequence-trans)
  apply (blast elim: rtranclE dest: rtrancl-into-trancl1)
done

```

```

from lem[OF as, THEN spec, of 0, simplified]
show ?thesis by auto
qed

```

```

lemma weak-decr-stable:
  ALL i. f (Suc i) <= ((f i)::nat) ==> EX i. ALL k. f (i+k) = f i
apply (rule-tac r = pred-nat in wf-weak-decr-stable)
apply (simp add: pred-nat-trancl-eq-le)
apply (intro wf-trancl wf-pred-nat)
done

```

## 21.10 size of a datatype value

```

use Tools/function-package/size.ML

```

```

setup Size.setup

lemma size-bool [code]:
  size (b::bool) = 0 by (cases b) auto

lemma nat-size [simp, code]: size (n::nat) = n
  by (induct n) simp-all

declare prod.size [noatp]

lemma [code]:
  size (P :: 'a Predicate.pred) = 0 by (cases P) simp

lemma [code]:
  pred-size f P = 0 by (cases P) simp

end

```

## 22 FunDef: Function Definitions and Termination Proofs

```

theory FunDef
imports Wellfounded
uses
  Tools/prop-logic.ML
  Tools/sat-solver.ML
  (Tools/function-package/fundef-lib.ML)
  (Tools/function-package/fundef-common.ML)
  (Tools/function-package/inductive-wrap.ML)
  (Tools/function-package/context-tree.ML)
  (Tools/function-package/fundef-core.ML)
  (Tools/function-package/sum-tree.ML)
  (Tools/function-package/mutual.ML)
  (Tools/function-package/pattern-split.ML)
  (Tools/function-package/fundef-package.ML)
  (Tools/function-package/auto-term.ML)
  (Tools/function-package/measure-functions.ML)
  (Tools/function-package/lexicographic-order.ML)
  (Tools/function-package/fundef-datatype.ML)
  (Tools/function-package/induction-scheme.ML)
  (Tools/function-package/termination.ML)
  (Tools/function-package/decompose.ML)
  (Tools/function-package/descent.ML)
  (Tools/function-package/scnp-solve.ML)
  (Tools/function-package/scnp-reconstruct.ML)
begin

```

## 22.1 Definitions with default value.

### definition

$THE\text{-}default :: 'a \Rightarrow ('a \Rightarrow bool) \Rightarrow 'a$  **where**  
 $THE\text{-}default\ d\ P = (if\ (\exists!x. P\ x)\ then\ (THE\ x. P\ x)\ else\ d)$

**lemma**  $THE\text{-}defaultI'$ :  $\exists!x. P\ x \Longrightarrow P\ (THE\text{-}default\ d\ P)$   
**by** (*simp add: theI' THE-default-def*)

**lemma**  $THE\text{-}default1\text{-equality}$ :

$\llbracket \exists!x. P\ x; P\ a \rrbracket \Longrightarrow THE\text{-}default\ d\ P = a$   
**by** (*simp add: the1-equality THE-default-def*)

**lemma**  $THE\text{-}default\text{-none}$ :

$\neg(\exists!x. P\ x) \Longrightarrow THE\text{-}default\ d\ P = d$   
**by** (*simp add: THE-default-def*)

**lemma**  $fundef\text{-}ex1\text{-existence}$ :

**assumes**  $f\text{-def}$ :  $f == (\lambda x::'a. THE\text{-}default\ (d\ x)\ (\lambda y. G\ x\ y))$   
**assumes**  $ex1$ :  $\exists!y. G\ x\ y$   
**shows**  $G\ x\ (f\ x)$   
**apply** (*simp only: f-def*)  
**apply** (*rule THE-defaultI'*)  
**apply** (*rule ex1*)  
**done**

**lemma**  $fundef\text{-}ex1\text{-uniqueness}$ :

**assumes**  $f\text{-def}$ :  $f == (\lambda x::'a. THE\text{-}default\ (d\ x)\ (\lambda y. G\ x\ y))$   
**assumes**  $ex1$ :  $\exists!y. G\ x\ y$   
**assumes**  $elm$ :  $G\ x\ (h\ x)$   
**shows**  $h\ x = f\ x$   
**apply** (*simp only: f-def*)  
**apply** (*rule THE-default1-equality [symmetric]*)  
**apply** (*rule ex1*)  
**apply** (*rule elm*)  
**done**

**lemma**  $fundef\text{-}ex1\text{-iff}$ :

**assumes**  $f\text{-def}$ :  $f == (\lambda x::'a. THE\text{-}default\ (d\ x)\ (\lambda y. G\ x\ y))$   
**assumes**  $ex1$ :  $\exists!y. G\ x\ y$   
**shows**  $(G\ x\ y) = (f\ x = y)$   
**apply** (*auto simp: ex1 f-def THE-default1-equality*)  
**apply** (*rule THE-defaultI'*)  
**apply** (*rule ex1*)  
**done**

**lemma**  $fundef\text{-default-value}$ :

**assumes**  $f\text{-def}$ :  $f == (\lambda x::'a. THE\text{-}default\ (d\ x)\ (\lambda y. G\ x\ y))$   
**assumes**  $graph$ :  $\bigwedge x\ y. G\ x\ y \Longrightarrow D\ x$

```

assumes  $\neg D\ x$ 
shows  $f\ x = d\ x$ 
proof -
  have  $\neg(\exists y. G\ x\ y)$ 
  proof
    assume  $\exists y. G\ x\ y$ 
    hence  $D\ x$  using graph ..
    with  $\neg D\ x$  show False ..
  qed
hence  $\neg(\exists!y. G\ x\ y)$  by blast

```

```

thus ?thesis
  unfolding f-def
  by (rule THE-default-none)
qed

```

```

definition in-rel-def[simp]:
  in-rel  $R\ x\ y == (x, y) \in R$ 

```

```

lemma wf-in-rel:
   $wf\ R \implies wfP\ (in-rel\ R)$ 
by (simp add: wfP-def)

```

```

use Tools/function-package/fundef-lib.ML
use Tools/function-package/fundef-common.ML
use Tools/function-package/inductive-wrap.ML
use Tools/function-package/context-tree.ML
use Tools/function-package/fundef-core.ML
use Tools/function-package/sum-tree.ML
use Tools/function-package/mutual.ML
use Tools/function-package/pattern-split.ML
use Tools/function-package/auto-term.ML
use Tools/function-package/fundef-package.ML
use Tools/function-package/fundef-datatype.ML
use Tools/function-package/induction-scheme.ML

```

```

setup ⟨⟨
  FundefPackage.setup
  #> FundefDatatype.setup
  #> InductionScheme.setup
  ⟩⟩

```

## 22.2 Measure Functions

```

inductive is-measure ::  $('a \Rightarrow nat) \Rightarrow bool$ 
where is-measure-trivial: is-measure  $f$ 

```

```

use Tools/function-package/measure-functions.ML
setup MeasureFunctions.setup

```

**lemma** *measure-size*[*measure-function*]: *is-measure size*  
**by** (*rule is-measure-trivial*)

**lemma** *measure-fst*[*measure-function*]: *is-measure f*  $\implies$  *is-measure* ( $\lambda p. f (fst p)$ )  
**by** (*rule is-measure-trivial*)

**lemma** *measure-snd*[*measure-function*]: *is-measure f*  $\implies$  *is-measure* ( $\lambda p. f (snd p)$ )  
**by** (*rule is-measure-trivial*)

**use** *Tools/function-package/lexicographic-order.ML*  
**setup** *LexicographicOrder.setup*

### 22.3 Congruence Rules

**lemma** *let-cong* [*fundef-cong*]:  
 $M = N \implies (\bigwedge x. x = N \implies f x = g x) \implies Let M f = Let N g$   
**unfolding** *Let-def* **by** *blast*

**lemmas** [*fundef-cong*] =  
*if-cong image-cong INT-cong UN-cong*  
*bex-cong ball-cong imp-cong*

**lemma** *split-cong* [*fundef-cong*]:  
 $(\bigwedge x y. (x, y) = q \implies f x y = g x y) \implies p = q$   
 $\implies split f p = split g q$   
**by** (*auto simp: split-def*)

**lemma** *comp-cong* [*fundef-cong*]:  
 $f (g x) = f' (g' x') \implies (f o g) x = (f' o g') x'$   
**unfolding** *o-apply* .

### 22.4 Simp rules for termination proofs

**lemma** *termination-basic-simps*[*termination-simp*]:  
 $x < (y::nat) \implies x < y + z$   
 $x < z \implies x < y + z$   
 $x \leq y \implies x \leq y + (z::nat)$   
 $x \leq z \implies x \leq y + (z::nat)$   
 $x < y \implies x \leq (y::nat)$   
**by** *arith+*

**declare** *le-imp-less-Suc*[*termination-simp*]

**lemma** *prod-size-simp*[*termination-simp*]:  
 $prod-size f g p = f (fst p) + g (snd p) + Suc 0$   
**by** (*induct p*) *auto*

## 22.5 Decomposition

**lemma** *less-by-empty*:

$$A = \{\} \implies A \subseteq B$$

**and** *union-comp-emptyL*:

$$\llbracket A \ O \ C = \{\}; B \ O \ C = \{\} \rrbracket \implies (A \cup B) \ O \ C = \{\}$$

**and** *union-comp-emptyR*:

$$\llbracket A \ O \ B = \{\}; A \ O \ C = \{\} \rrbracket \implies A \ O \ (B \cup C) = \{\}$$

**and** *wf-no-loop*:

$$R \ O \ R = \{\} \implies wf \ R$$

**by** (*auto simp add: wf-comp-self*[of  $R$ ])

## 22.6 Reduction Pairs

**definition**

$$reduction\text{-}pair \ P = (wf \ (fst \ P) \wedge snd \ P \ O \ fst \ P \subseteq fst \ P)$$

**lemma** *reduction-pairI*[*intro*]:  $wf \ R \implies S \ O \ R \subseteq R \implies reduction\text{-}pair \ (R, S)$

**unfolding** *reduction-pair-def* **by** *auto*

**lemma** *reduction-pair-lemma*:

**assumes**  $rp$ : *reduction-pair*  $P$

**assumes**  $R \subseteq fst \ P$

**assumes**  $S \subseteq snd \ P$

**assumes**  $wf \ S$

**shows**  $wf \ (R \cup S)$

**proof** –

**from**  $rp \ \langle S \subseteq snd \ P \rangle$  **have**  $wf \ (fst \ P) \ S \ O \ fst \ P \subseteq fst \ P$

**unfolding** *reduction-pair-def* **by** *auto*

**with**  $\langle wf \ S \rangle$  **have**  $wf \ (fst \ P \cup S)$

**by** (*auto intro: wf-union-compatible*)

**moreover from**  $\langle R \subseteq fst \ P \rangle$  **have**  $R \cup S \subseteq fst \ P \cup S$  **by** *auto*

**ultimately show** *?thesis* **by** (*rule wf-subset*)

**qed**

**definition**

$$rp\text{-}inv\text{-}image = (\lambda(R,S) \ f. (inv\text{-}image \ R \ f, inv\text{-}image \ S \ f))$$

**lemma** *rp-inv-image-rp*:

$$reduction\text{-}pair \ P \implies reduction\text{-}pair \ (rp\text{-}inv\text{-}image \ P \ f)$$

**unfolding** *reduction-pair-def rp-inv-image-def split-def*

**by** *force*

## 22.7 Concrete orders for SCNP termination proofs

**definition** *pair-less* = *less-than*  $\langle *lex* \rangle$  *less-than*

**definition** [*code del*]: *pair-leq* = *pair-less*  $\hat{=}$

**definition** *max-strict* = *max-ext* *pair-less*

**definition** [*code del*]: *max-weak* = *max-ext* *pair-leq*  $\cup \{(\{\}, \{\})\}$

**definition** [*code del*]: *min-strict* = *min-ext* *pair-less*



**definition** `[code del]: min-weak = min-ext pair-leq  $\cup \{(\{\}, \{\})\}$`

**lemma** `wf-pair-less[simp]: wf pair-less`  
**by** `(auto simp: pair-less-def)`

Introduction rules for *pair-less/pair-leq*

**lemma** `pair-leqI1:  $a < b \implies ((a, s), (b, t)) \in \text{pair-leq}$`   
**and** `pair-leqI2:  $a \leq b \implies s \leq t \implies ((a, s), (b, t)) \in \text{pair-leq}$`   
**and** `pair-lessI1:  $a < b \implies ((a, s), (b, t)) \in \text{pair-less}$`   
**and** `pair-lessI2:  $a \leq b \implies s < t \implies ((a, s), (b, t)) \in \text{pair-less}$`   
**unfolding** `pair-leq-def pair-less-def` **by** `auto`

Introduction rules for *max*

**lemma** `smax-emptyI:`  
`finite Y  $\implies Y \neq \{\} \implies (\{\}, Y) \in \text{max-strict}$`   
**and** `smax-insertI:`  
 `$\llbracket y \in Y; (x, y) \in \text{pair-less}; (X, Y) \in \text{max-strict} \rrbracket \implies (\text{insert } x \ X, Y) \in \text{max-strict}$`   
**and** `wmax-emptyI:`  
`finite X  $\implies (\{\}, X) \in \text{max-weak}$`   
**and** `wmax-insertI:`  
 `$\llbracket y \in YS; (x, y) \in \text{pair-leq}; (XS, YS) \in \text{max-weak} \rrbracket \implies (\text{insert } x \ XS, YS) \in \text{max-weak}$`   
**unfolding** `max-strict-def max-weak-def` **by** `(auto elim!: max-ext.cases)`

Introduction rules for *min*

**lemma** `smin-emptyI:`  
 `$X \neq \{\} \implies (X, \{\}) \in \text{min-strict}$`   
**and** `smin-insertI:`  
 `$\llbracket x \in XS; (x, y) \in \text{pair-less}; (XS, YS) \in \text{min-strict} \rrbracket \implies (XS, \text{insert } y \ YS) \in \text{min-strict}$`   
**and** `wmin-emptyI:`  
 `$(X, \{\}) \in \text{min-weak}$`   
**and** `wmin-insertI:`  
 `$\llbracket x \in XS; (x, y) \in \text{pair-leq}; (XS, YS) \in \text{min-weak} \rrbracket \implies (XS, \text{insert } y \ YS) \in \text{min-weak}$`   
**by** `(auto simp: min-strict-def min-weak-def min-ext-def)`

Reduction Pairs

**lemma** `max-ext-compat:`  
**assumes** `S O R  $\subseteq$  R`  
**shows**  `$(\text{max-ext } S \cup \{(\{\}, \{\})\}) O \text{max-ext } R \subseteq \text{max-ext } R$`   
**using** `assms`  
**apply** `auto`  
**apply** `(elim max-ext.cases)`  
**apply** `rule`  
**apply** `auto[3]`  
**apply** `(drule-tac x=xa in meta-spec)`  
**apply** `simp`

```

apply (erule bexE)
apply (drule-tac x=xb in meta-spec)
by auto

lemma max-rpair-set: reduction-pair (max-strict, max-weak)
  unfolding max-strict-def max-weak-def
apply (intro reduction-pairI max-ext-wf)
apply simp
apply (rule max-ext-compat)
by (auto simp: pair-less-def pair-leq-def)

lemma min-ext-compat:
  assumes S O R  $\subseteq R$ 
  shows (min-ext S  $\cup \{(\{\}, \{\})\}$ ) O min-ext R  $\subseteq$  min-ext R
using assms
apply (auto simp: min-ext-def)
apply (drule-tac x=ya in bspec, assumption)
apply (erule bexE)
apply (drule-tac x=xc in bspec)
apply assumption
by auto

lemma min-rpair-set: reduction-pair (min-strict, min-weak)
  unfolding min-strict-def min-weak-def
apply (intro reduction-pairI min-ext-wf)
apply simp
apply (rule min-ext-compat)
by (auto simp: pair-less-def pair-leq-def)

```

## 22.8 Tool setup

```

use Tools/function-package/termination.ML
use Tools/function-package/decompose.ML
use Tools/function-package/descent.ML
use Tools/function-package/scnp-solve.ML
use Tools/function-package/scnp-reconstruct.ML

setup  $\ll$  ScnpReconstruct.setup  $\gg$ 

ML-val — setup inactive
 $\ll$ 
  Context.theory-map (FundefCommon.set-termination-prover (ScnpReconstruct.decomp-scnp
    [ScnpSolve.MAX, ScnpSolve.MIN, ScnpSolve.MS]))
 $\gg$ 

end

```

## 23 Record: Extensible records with structural subtyping

```

theory Record
imports Product-Type
uses (Tools/record-package.ML)
begin

```

```

lemma prop-subst:  $s = t \implies PROP\ P\ t \implies PROP\ P\ s$ 
by simp

```

```

lemma rec-UNIV-I:  $\bigwedge x. x \in UNIV \equiv True$ 
by simp

```

```

lemma rec-True-simp:  $(True \implies PROP\ P) \equiv PROP\ P$ 
by simp

```

```

lemma K-record-comp:  $(\lambda x. c) \circ f = (\lambda x. c)$ 
by (simp add: comp-def)

```

### 23.1 Concrete record syntax

**nonterminals**

*ident field-type field-types field fields update updates*

**syntax**

```

-constify      ::  $id \Rightarrow ident$                 (-)
-constify      ::  $longid \Rightarrow ident$              (-)

-field-type     ::  $[ident, type] \Rightarrow field-type$    ((2- ::/ -))
               ::  $field-type \Rightarrow field-types$      (-)
-field-types    ::  $[field-type, field-types] \Rightarrow field-types$  (-,/ -)
-record-type    ::  $field-types \Rightarrow type$           ((3'(| - |'))
-record-type-scheme ::  $[field-types, type] \Rightarrow type$  ((3'(| -,/ (2... ::/ -) |'))

-field          ::  $[ident, 'a] \Rightarrow field$           ((2- =/ -))
               ::  $field \Rightarrow fields$               (-)
-fields         ::  $[field, fields] \Rightarrow fields$     (-,/ -)
-record         ::  $fields \Rightarrow 'a$                  ((3'(| - |'))
-record-scheme  ::  $[fields, 'a] \Rightarrow 'a$            ((3'(| -,/ (2... =/ -) |'))

-update-name    ::  $idt$ 
-update         ::  $[ident, 'a] \Rightarrow update$         ((2- :=/ -))
               ::  $update \Rightarrow updates$             (-)
-updates        ::  $[update, updates] \Rightarrow updates$  (-,/ -)
-record-update  ::  $['a, updates] \Rightarrow 'b$           (-/(3'(| - |')) [900,0] 900)

```

**syntax** (*xsymbols*)

```

-record-type    ::  $field-types \Rightarrow type$           ((3(|-)))
-record-type-scheme ::  $[field-types, type] \Rightarrow type$  ((3(|-,/ (2... ::/ -)|))

```

```

-record          :: fields => 'a
-record-scheme   :: [fields, 'a] => 'a
-record-update    :: ['a, updates] => 'b

((3(|-|)))
((3(|-,/ (2... =/ -)|)))
(-/(3(|-|)) [900,0] 900)

use Tools/record-package.ML
setup RecordPackage.setup

end

```

## 24 Option: Datatype option

```

theory Option
imports Datatype Finite-Set
begin

```

```

datatype 'a option = None | Some 'a

```

```

lemma not-None-eq [iff]: (x ~ = None) = (EX y. x = Some y)
  by (induct x) auto

```

```

lemma not-Some-eq [iff]: (ALL y. x ~ = Some y) = (x = None)
  by (induct x) auto

```

Although it may appear that both of these equalities are helpful only when applied to assumptions, in practice it seems better to give them the uniform iff attribute.

```

lemma option-caseE:
  assumes c: (case x of None => P | Some y => Q y)
  obtains
    (None) x = None and P
  | (Some) y where x = Some y and Q y
  using c by (cases x) simp-all

```

```

lemma insert-None-conv-UNIV: insert None (range Some) = UNIV
  by (rule set-ext, case-tac x) auto

```

```

instance option :: (finite) finite proof
qed (simp add: insert-None-conv-UNIV [symmetric])

```

```

lemma inj-Some [simp]: inj-on Some A
  by (rule inj-onI) simp

```

### 24.0.1 Operations

```

primrec the :: 'a option => 'a where
the (Some x) = x

```

```

primrec set :: 'a option => 'a set where

```

```

set None = {} |
set (Some x) = {x}

```

```

lemma ospec [dest]: (ALL x:set A. P x) ==> A = Some x ==> P x
by simp

```

```

declaration << fn - ==>
  Classical.map-cs (fn cs ==> cs addSD2 (ospec, thm ospec))
>>

```

```

lemma elem-set [iff]: (x : set xo) = (xo = Some x)
by (cases xo) auto

```

```

lemma set-empty-eq [simp]: (set xo = {}) = (xo = None)
by (cases xo) auto

```

```

definition
  map :: ('a => 'b) => 'a option => 'b option
where
  [code del]: map = (%f y. case y of None ==> None | Some x ==> Some (f x))

```

```

lemma option-map-None [simp, code]: map f None = None
by (simp add: map-def)

```

```

lemma option-map-Some [simp, code]: map f (Some x) = Some (f x)
by (simp add: map-def)

```

```

lemma option-map-is-None [iff]:
  (map f opt = None) = (opt = None)
by (simp add: map-def split add: option.split)

```

```

lemma option-map-eq-Some [iff]:
  (map f xo = Some y) = (EX z. xo = Some z & f z = y)
by (simp add: map-def split add: option.split)

```

```

lemma option-map-comp:
  map f (map g opt) = map (f o g) opt
by (simp add: map-def split add: option.split)

```

```

lemma option-map-o-sum-case [simp]:
  map f o sum-case g h = sum-case (map f o g) (map f o h)
by (rule ext) (simp split: sum.split)

```

```

hide (open) const set map

```

## 24.0.2 Code generator setup

```

definition

```

```

is-none :: 'a option ⇒ bool where
is-none-none [code post, symmetric, code inline]: is-none x ⟷ x = None

lemma is-none-code [code]:
  shows is-none None ⟷ True
  and is-none (Some x) ⟷ False
  unfolding is-none-none [symmetric] by simp-all

hide (open) const is-none

code-type option
  (SML - option)
  (OCaml - option)
  (Haskell Maybe -)

code-const None and Some
  (SML NONE and SOME)
  (OCaml None and Some -)
  (Haskell Nothing and Just)

code-instance option :: eq
  (Haskell -)

code-const eq-class.eq :: 'a::eq option ⇒ 'a option ⇒ bool
  (Haskell infixl 4 ==)

code-reserved SML
  option NONE SOME

code-reserved OCaml
  option None Some

end

```

## 25 Extraction: Program extraction for HOL

```

theory Extraction
imports Option
uses Tools/rewrite-hol-proof.ML
begin

```

### 25.1 Setup

```

setup ⟨⟨
  let
  fun realizes-set-proc (Const (realizes, Type (fun, [Type (Null, []), -])) $ r $
    (Const (op :, -) $ x $ S)) = (case strip-comb S of
    (Var (ixn, U), ts) => SOME (list-comb (Var (ixn, U), ts @ [x]))

```

```

| (Free (s, U), ts) => SOME (list-comb (Free (s, U), ts @ [x]))
| - => NONE)
| realizes-set-proc (Const (realizes, Type (fun, [T, -])) $ r $
  (Const (op :, -) $ x $ S)) = (case strip-comb S of
  (Var (ixn, U), ts) => SOME (list-comb (Var (ixn, T --> U), r :: ts @
[x]))
| (Free (s, U), ts) => SOME (list-comb (Free (s, T --> U), r :: ts @ [x]))
| - => NONE)
| realizes-set-proc - = NONE;

in
  Extraction.add-types
    [(bool, ([], NONE))] #>
  Extraction.set-preprocessor (fn thy =>
    Proofterm.rewrite-proof-notypes
      ([], RewriteHOLProof.elim-cong :: ProofRewriteRules.rprocs true) o
    Proofterm.rewrite-proof thy
      (RewriteHOLProof.rews, ProofRewriteRules.rprocs true) o
    ProofRewriteRules.elim-vars (curry Const @{const-name default}))
end
>>

```

**lemmas** [extraction-expand] =  
 meta-spec atomize-eq atomize-all atomize-imp atomize-conj  
 allE rev-mp conjE Eq-TrueI Eq-FalseI eqTrueI eqTrueE eq-cong2  
 notE' impE' impE iffE imp-cong simp-thms eq-True eq-False  
 induct-forall-eq induct-implies-eq induct-equal-eq induct-conj-eq  
 induct-forall-def induct-implies-def induct-equal-def induct-conj-def  
 induct-atomize induct-rulify induct-rulify-fallback  
 True-implies-equals TrueE

**datatype** *sumbool* = *Left* | *Right*

## 25.2 Type of extracted program

### extract-type

*typeof* (Trueprop *P*)  $\equiv$  *typeof* *P*

*typeof* *P*  $\equiv$  *Type* (*TYPE*(*Null*))  $\implies$  *typeof* *Q*  $\equiv$  *Type* (*TYPE*('Q))  $\implies$   
*typeof* (*P*  $\longrightarrow$  *Q*)  $\equiv$  *Type* (*TYPE*('Q))

*typeof* *Q*  $\equiv$  *Type* (*TYPE*(*Null*))  $\implies$  *typeof* (*P*  $\longrightarrow$  *Q*)  $\equiv$  *Type* (*TYPE*(*Null*))

*typeof* *P*  $\equiv$  *Type* (*TYPE*('P))  $\implies$  *typeof* *Q*  $\equiv$  *Type* (*TYPE*('Q))  $\implies$   
*typeof* (*P*  $\longrightarrow$  *Q*)  $\equiv$  *Type* (*TYPE*('P  $\Rightarrow$  'Q))

( $\lambda x.$  *typeof* (*P* *x*))  $\equiv$  ( $\lambda x.$  *Type* (*TYPE*(*Null*)))  $\implies$   
*typeof* ( $\forall x.$  *P* *x*)  $\equiv$  *Type* (*TYPE*(*Null*))

$$\begin{aligned}
& (\lambda x. \text{typeof } (P \ x)) \equiv (\lambda x. \text{Type } (\text{TYPE}('P))) \implies \\
& \quad \text{typeof } (\forall x::'a. P \ x) \equiv \text{Type } (\text{TYPE}('a \Rightarrow 'P)) \\
& (\lambda x. \text{typeof } (P \ x)) \equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& \quad \text{typeof } (\exists x::'a. P \ x) \equiv \text{Type } (\text{TYPE}('a)) \\
& (\lambda x. \text{typeof } (P \ x)) \equiv (\lambda x. \text{Type } (\text{TYPE}('P))) \implies \\
& \quad \text{typeof } (\exists x::'a. P \ x) \equiv \text{Type } (\text{TYPE}('a \times 'P)) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \quad \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}(\text{sumbool})) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\
& \quad \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}('Q \text{ option})) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \quad \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}('P \text{ option})) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\
& \quad \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}('P + 'Q)) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\
& \quad \text{typeof } (P \wedge Q) \equiv \text{Type } (\text{TYPE}('Q)) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \quad \text{typeof } (P \wedge Q) \equiv \text{Type } (\text{TYPE}('P)) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\
& \quad \text{typeof } (P \wedge Q) \equiv \text{Type } (\text{TYPE}('P \times 'Q)) \\
& \text{typeof } (P = Q) \equiv \text{typeof } ((P \longrightarrow Q) \wedge (Q \longrightarrow P)) \\
& \text{typeof } (x \in P) \equiv \text{typeof } P
\end{aligned}$$

### 25.3 Realizability

#### realizability

$$\begin{aligned}
& (\text{realizes } t \ (\text{Trueprop } P)) \equiv (\text{Trueprop } (\text{realizes } t \ P)) \\
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& \quad (\text{realizes } t \ (P \longrightarrow Q)) \equiv (\text{realizes } \text{Null } P \longrightarrow \text{realizes } t \ Q) \\
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}('P))) \implies \\
& \quad (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& \quad (\text{realizes } t \ (P \longrightarrow Q)) \equiv (\forall x::'P. \text{realizes } x \ P \longrightarrow \text{realizes } \text{Null } Q) \\
& (\text{realizes } t \ (P \longrightarrow Q)) \equiv (\forall x. \text{realizes } x \ P \longrightarrow \text{realizes } (t \ x) \ Q) \\
& (\lambda x. \text{typeof } (P \ x)) \equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies
\end{aligned}$$



$$\begin{aligned}
& (\text{realizes } t \ (\forall x. P \ x)) \equiv (\forall x. \text{realizes } \text{Null} \ (P \ x)) \\
& (\text{realizes } t \ (\forall x. P \ x)) \equiv (\forall x. \text{realizes } (t \ x) \ (P \ x)) \\
& (\lambda x. \text{typeof} \ (P \ x)) \equiv (\lambda x. \text{Type} \ (\text{TYPE}(\text{Null}))) \implies \\
& \quad (\text{realizes } t \ (\exists x. P \ x)) \equiv (\text{realizes } \text{Null} \ (P \ t)) \\
& (\text{realizes } t \ (\exists x. P \ x)) \equiv (\text{realizes } (\text{snd } t) \ (P \ (\text{fst } t))) \\
& (\text{typeof } P) \equiv (\text{Type} \ (\text{TYPE}(\text{Null}))) \implies \\
& (\text{typeof } Q) \equiv (\text{Type} \ (\text{TYPE}(\text{Null}))) \implies \\
& \quad (\text{realizes } t \ (P \vee Q)) \equiv \\
& \quad (\text{case } t \text{ of } \text{Left} \Rightarrow \text{realizes } \text{Null } P \mid \text{Right} \Rightarrow \text{realizes } \text{Null } Q) \\
& (\text{typeof } P) \equiv (\text{Type} \ (\text{TYPE}(\text{Null}))) \implies \\
& \quad (\text{realizes } t \ (P \vee Q)) \equiv \\
& \quad (\text{case } t \text{ of } \text{None} \Rightarrow \text{realizes } \text{Null } P \mid \text{Some } q \Rightarrow \text{realizes } q \ Q) \\
& (\text{typeof } Q) \equiv (\text{Type} \ (\text{TYPE}(\text{Null}))) \implies \\
& \quad (\text{realizes } t \ (P \vee Q)) \equiv \\
& \quad (\text{case } t \text{ of } \text{None} \Rightarrow \text{realizes } \text{Null } Q \mid \text{Some } p \Rightarrow \text{realizes } p \ P) \\
& (\text{realizes } t \ (P \vee Q)) \equiv \\
& \quad (\text{case } t \text{ of } \text{Inl } p \Rightarrow \text{realizes } p \ P \mid \text{Inr } q \Rightarrow \text{realizes } q \ Q) \\
& (\text{typeof } P) \equiv (\text{Type} \ (\text{TYPE}(\text{Null}))) \implies \\
& \quad (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } \text{Null } P \wedge \text{realizes } t \ Q) \\
& (\text{typeof } Q) \equiv (\text{Type} \ (\text{TYPE}(\text{Null}))) \implies \\
& \quad (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } t \ P \wedge \text{realizes } \text{Null } Q) \\
& (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } (\text{fst } t) \ P \wedge \text{realizes } (\text{snd } t) \ Q) \\
& \text{typeof } P \equiv \text{Type} \ (\text{TYPE}(\text{Null})) \implies \\
& \quad \text{realizes } t \ (\neg P) \equiv \neg \text{realizes } \text{Null } P \\
& \text{typeof } P \equiv \text{Type} \ (\text{TYPE}('P)) \implies \\
& \quad \text{realizes } t \ (\neg P) \equiv (\forall x::'P. \neg \text{realizes } x \ P) \\
& \text{typeof } (P::\text{bool}) \equiv \text{Type} \ (\text{TYPE}(\text{Null})) \implies \\
& \text{typeof } Q \equiv \text{Type} \ (\text{TYPE}(\text{Null})) \implies \\
& \quad \text{realizes } t \ (P = Q) \equiv \text{realizes } \text{Null } P = \text{realizes } \text{Null } Q \\
& (\text{realizes } t \ (P = Q)) \equiv (\text{realizes } t \ ((P \longrightarrow Q) \wedge (Q \longrightarrow P)))
\end{aligned}$$

## 25.4 Computational content of basic inference rules

**theorem** *disjE-realizer*:

**assumes**  $r$ :  $\text{case } x \text{ of } \text{Inl } p \Rightarrow P \ p \mid \text{Inr } q \Rightarrow Q \ q$

and  $r1: \bigwedge p. P\ p \implies R\ (f\ p)$  and  $r2: \bigwedge q. Q\ q \implies R\ (g\ q)$   
 shows  $R\ (\text{case } x \text{ of } Inl\ p \Rightarrow f\ p \mid Inr\ q \Rightarrow g\ q)$   
**proof** (cases  $x$ )  
   case  $Inl$   
     with  $r$  show ?thesis by simp (rule  $r1$ )  
 next  
   case  $Inr$   
     with  $r$  show ?thesis by simp (rule  $r2$ )  
**qed**

**theorem** *disjE-realizer2*:  
 assumes  $r: \text{case } x \text{ of } None \Rightarrow P \mid Some\ q \Rightarrow Q\ q$   
 and  $r1: P \implies R\ f$  and  $r2: \bigwedge q. Q\ q \implies R\ (g\ q)$   
 shows  $R\ (\text{case } x \text{ of } None \Rightarrow f \mid Some\ q \Rightarrow g\ q)$   
**proof** (cases  $x$ )  
   case  $None$   
     with  $r$  show ?thesis by simp (rule  $r1$ )  
 next  
   case  $Some$   
     with  $r$  show ?thesis by simp (rule  $r2$ )  
**qed**

**theorem** *disjE-realizer3*:  
 assumes  $r: \text{case } x \text{ of } Left \Rightarrow P \mid Right \Rightarrow Q$   
 and  $r1: P \implies R\ f$  and  $r2: Q \implies R\ g$   
 shows  $R\ (\text{case } x \text{ of } Left \Rightarrow f \mid Right \Rightarrow g)$   
**proof** (cases  $x$ )  
   case  $Left$   
     with  $r$  show ?thesis by simp (rule  $r1$ )  
 next  
   case  $Right$   
     with  $r$  show ?thesis by simp (rule  $r2$ )  
**qed**

**theorem** *conjI-realizer*:  
 $P\ p \implies Q\ q \implies P\ (fst\ (p, q)) \wedge Q\ (snd\ (p, q))$   
 by simp

**theorem** *exI-realizer*:  
 $P\ y\ x \implies P\ (snd\ (x, y))\ (fst\ (x, y))$  by simp

**theorem** *exE-realizer*:  $P\ (snd\ p)\ (fst\ p) \implies$   
 $(\bigwedge x\ y. P\ y\ x \implies Q\ (f\ x\ y)) \implies Q\ (\text{let } (x, y) = p \text{ in } f\ x\ y)$   
 by (cases  $p$ ) (simp add: Let-def)

**theorem** *exE-realizer'*:  $P\ (snd\ p)\ (fst\ p) \implies$   
 $(\bigwedge x\ y. P\ y\ x \implies Q) \implies Q$  by (cases  $p$ ) simp

**setup**  $\ll$

*Sign.add-const-constraint* (@{const-name default}, SOME @{typ 'a::type})  
 >>

**realizers**

*impI* (*P*, *Q*):  $\lambda pq. pq$   
 $\Lambda P Q pq (h: -). allI \cdot - \cdot (\Lambda x. impI \cdot - \cdot - \cdot (h \cdot x))$

*impI* (*P*): *Null*  
 $\Lambda P Q (h: -). allI \cdot - \cdot (\Lambda x. impI \cdot - \cdot - \cdot (h \cdot x))$

*impI* (*Q*):  $\lambda q. q \Lambda P Q q. impI \cdot - \cdot -$

*impI*: *Null impI*

*mp* (*P*, *Q*):  $\lambda pq. pq$   
 $\Lambda P Q pq (h: -) p. mp \cdot - \cdot - \cdot (spec \cdot - \cdot p \cdot h)$

*mp* (*P*): *Null*  
 $\Lambda P Q (h: -) p. mp \cdot - \cdot - \cdot (spec \cdot - \cdot p \cdot h)$

*mp* (*Q*):  $\lambda q. q \Lambda P Q q. mp \cdot - \cdot -$

*mp*: *Null mp*

*allI* (*P*):  $\lambda p. p \Lambda P p. allI \cdot -$

*allI*: *Null allI*

*spec* (*P*):  $\lambda x p. p x \Lambda P x p. spec \cdot - \cdot x$

*spec*: *Null spec*

*exI* (*P*):  $\lambda x p. (x, p) \Lambda P x p. exI\text{-realizer} \cdot P \cdot p \cdot x$

*exI*:  $\lambda x. x \Lambda P x (h: -). h$

*exE* (*P*, *Q*):  $\lambda p pq. let (x, y) = p in pq x y$   
 $\Lambda P Q p (h: -) pq. exE\text{-realizer} \cdot P \cdot p \cdot Q \cdot pq \cdot h$

*exE* (*P*): *Null*  
 $\Lambda P Q p. exE\text{-realizer}' \cdot - \cdot - \cdot -$

*exE* (*Q*):  $\lambda x pq. pq x$   
 $\Lambda P Q x (h1: -) pq (h2: -). h2 \cdot x \cdot h1$

*exE*: *Null*  
 $\Lambda P Q x (h1: -) (h2: -). h2 \cdot x \cdot h1$

*conjI* (*P*, *Q*): *Pair*

$$\Lambda P Q p (h: -) q. \text{conjI-realizer} \cdot P \cdot p \cdot Q \cdot q \cdot h$$

$$\text{conjI} (P): \lambda p. p$$

$$\Lambda P Q p. \text{conjI} \cdot - \cdot - \cdot -$$

$$\text{conjI} (Q): \lambda q. q$$

$$\Lambda P Q (h: -) q. \text{conjI} \cdot - \cdot - \cdot - \cdot h$$

$$\text{conjI}: \text{Null conjI}$$

$$\text{conjunct1} (P, Q): \text{fst}$$

$$\Lambda P Q pq. \text{conjunct1} \cdot - \cdot - \cdot -$$

$$\text{conjunct1} (P): \lambda p. p$$

$$\Lambda P Q p. \text{conjunct1} \cdot - \cdot - \cdot -$$

$$\text{conjunct1} (Q): \text{Null}$$

$$\Lambda P Q q. \text{conjunct1} \cdot - \cdot - \cdot -$$

$$\text{conjunct1}: \text{Null conjunct1}$$

$$\text{conjunct2} (P, Q): \text{snd}$$

$$\Lambda P Q pq. \text{conjunct2} \cdot - \cdot - \cdot -$$

$$\text{conjunct2} (P): \text{Null}$$

$$\Lambda P Q p. \text{conjunct2} \cdot - \cdot - \cdot -$$

$$\text{conjunct2} (Q): \lambda p. p$$

$$\Lambda P Q p. \text{conjunct2} \cdot - \cdot - \cdot -$$

$$\text{conjunct2}: \text{Null conjunct2}$$

$$\text{disjI1} (P, Q): \text{Inl}$$

$$\Lambda P Q p. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{sum.cases-1} \cdot P \cdot - \cdot p)$$

$$\text{disjI1} (P): \text{Some}$$

$$\Lambda P Q p. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{option.cases-2} \cdot - \cdot P \cdot p)$$

$$\text{disjI1} (Q): \text{None}$$

$$\Lambda P Q. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{option.cases-1} \cdot - \cdot -)$$

$$\text{disjI1}: \text{Left}$$

$$\Lambda P Q. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{sumbool.cases-1} \cdot - \cdot -)$$

$$\text{disjI2} (P, Q): \text{Inr}$$

$$\Lambda Q P q. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{sum.cases-2} \cdot - \cdot Q \cdot q)$$

$$\text{disjI2} (P): \text{None}$$

$$\Lambda Q P. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{option.cases-1} \cdot - \cdot -)$$

$disjI2 (Q): Some$   
 $\Lambda Q P q. iffD2 \cdot \cdot \cdot \cdot (option.cases-2 \cdot \cdot \cdot Q \cdot q)$

$disjI2: Right$   
 $\Lambda Q P. iffD2 \cdot \cdot \cdot \cdot (sumbool.cases-2 \cdot \cdot \cdot \cdot)$

$disjE (P, Q, R): \lambda pq pr qr.$   
 $(case pq of Inl p \Rightarrow pr p \mid Inr q \Rightarrow qr q)$   
 $\Lambda P Q R pq (h1: -) pr (h2: -) qr.$   
 $disjE-realizer \cdot \cdot \cdot \cdot pq \cdot R \cdot pr \cdot qr \cdot h1 \cdot h2$

$disjE (Q, R): \lambda pq pr qr.$   
 $(case pq of None \Rightarrow pr \mid Some q \Rightarrow qr q)$   
 $\Lambda P Q R pq (h1: -) pr (h2: -) qr.$   
 $disjE-realizer2 \cdot \cdot \cdot \cdot pq \cdot R \cdot pr \cdot qr \cdot h1 \cdot h2$

$disjE (P, R): \lambda pq pr qr.$   
 $(case pq of None \Rightarrow qr \mid Some p \Rightarrow pr p)$   
 $\Lambda P Q R pq (h1: -) pr (h2: -) qr (h3: -).$   
 $disjE-realizer2 \cdot \cdot \cdot \cdot pq \cdot R \cdot qr \cdot pr \cdot h1 \cdot h3 \cdot h2$

$disjE (R): \lambda pq pr qr.$   
 $(case pq of Left \Rightarrow pr \mid Right \Rightarrow qr)$   
 $\Lambda P Q R pq (h1: -) pr (h2: -) qr.$   
 $disjE-realizer3 \cdot \cdot \cdot \cdot pq \cdot R \cdot pr \cdot qr \cdot h1 \cdot h2$

$disjE (P, Q): Null$   
 $\Lambda P Q R pq. disjE-realizer \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot \cdot$

$disjE (Q): Null$   
 $\Lambda P Q R pq. disjE-realizer2 \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot \cdot$

$disjE (P): Null$   
 $\Lambda P Q R pq (h1: -) (h2: -) (h3: -).$   
 $disjE-realizer2 \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot \cdot h1 \cdot h3 \cdot h2$

$disjE: Null$   
 $\Lambda P Q R pq. disjE-realizer3 \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot \cdot$

$FalseE (P): default$   
 $\Lambda P. FalseE \cdot \cdot$

$FalseE: Null FalseE$

$notI (P): Null$   
 $\Lambda P (h: -). allI \cdot \cdot \cdot (\Lambda x. notI \cdot \cdot \cdot (h \cdot x))$

$notI: Null notI$

*notE* (*P*, *R*):  $\lambda p. \text{default}$   
 $\Lambda P R (h: -) p. \text{notE} \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot h)$

*notE* (*P*): *Null*  
 $\Lambda P R (h: -) p. \text{notE} \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot h)$

*notE* (*R*): *default*  
 $\Lambda P R. \text{notE} \cdot - \cdot -$

*notE*: *Null notE*

*subst* (*P*):  $\lambda s \ t \ ps. ps$   
 $\Lambda s \ t \ P (h: -) ps. \text{subst} \cdot s \cdot t \cdot P \ ps \cdot h$

*subst*: *Null subst*

*iffD1* (*P*, *Q*): *fst*  
 $\Lambda Q \ P \ pq (h: -) p.$   
 $mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot (\text{conjunct1} \cdot - \cdot - \cdot h))$

*iffD1* (*P*):  $\lambda p. p$   
 $\Lambda Q \ P \ p (h: -). mp \cdot - \cdot - \cdot (\text{conjunct1} \cdot - \cdot - \cdot h)$

*iffD1* (*Q*): *Null*  
 $\Lambda Q \ P \ q1 (h: -) q2.$   
 $mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot q2 \cdot (\text{conjunct1} \cdot - \cdot - \cdot h))$

*iffD1*: *Null iffD1*

*iffD2* (*P*, *Q*): *snd*  
 $\Lambda P \ Q \ pq (h: -) q.$   
 $mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot q \cdot (\text{conjunct2} \cdot - \cdot - \cdot h))$

*iffD2* (*P*):  $\lambda p. p$   
 $\Lambda P \ Q \ p (h: -). mp \cdot - \cdot - \cdot (\text{conjunct2} \cdot - \cdot - \cdot h)$

*iffD2* (*Q*): *Null*  
 $\Lambda P \ Q \ q1 (h: -) q2.$   
 $mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot q2 \cdot (\text{conjunct2} \cdot - \cdot - \cdot h))$

*iffD2*: *Null iffD2*

*iffI* (*P*, *Q*): *Pair*  
 $\Lambda P \ Q \ pq (h1 : -) qp (h2 : -). \text{conjI-realizer} \cdot$   
 $(\lambda pq. \forall x. P \ x \longrightarrow Q \ (pq \ x)) \cdot pq \cdot$   
 $(\lambda qp. \forall x. Q \ x \longrightarrow P \ (qp \ x)) \cdot qp \cdot$   
 $(\text{allI} \cdot - \cdot (\Lambda x. \text{impI} \cdot - \cdot - \cdot (h1 \cdot x))) \cdot$   
 $(\text{allI} \cdot - \cdot (\Lambda x. \text{impI} \cdot - \cdot - \cdot (h2 \cdot x)))$

```

iffI (P): λp. p
  Λ P Q (h1 : -) p (h2 : -). conjI . . . . .
    (allI . . . (Λ x. impI . . . . . (h1 · x))) .
    (impI . . . . . h2)

iffI (Q): λq. q
  Λ P Q q (h1 : -) (h2 : -). conjI . . . . .
    (impI . . . . . h1) .
    (allI . . . (Λ x. impI . . . . . (h2 · x)))

iffI: Null iffI

setup ⟨⟨
  Sign.add-const-constraint (@{const-name default}, SOME @{typ 'a::default})
⟩⟩

end

```

## 26 Divides: The division operators div and mod

```

theory Divides
imports Nat Power Product-Type
uses ~~/src/Provers/Arith/cancel-div-mod.ML
begin

```

### 26.1 Syntactic division operations

```

class div = dvd +
  fixes div :: 'a ⇒ 'a ⇒ 'a (infixl div 70)
  and mod :: 'a ⇒ 'a ⇒ 'a (infixl mod 70)

```

### 26.2 Abstract division in commutative semirings.

```

class semiring-div = comm-semiring-1-cancel + div +
  assumes mod-div-equality: a div b * b + a mod b = a
  and div-by-0 [simp]: a div 0 = 0
  and div-0 [simp]: 0 div a = 0
  and div-mult-self1 [simp]: b ≠ 0 ⇒ (a + c * b) div b = c + a div b
begin

```

*op div and op mod*

```

lemma mod-div-equality2: b * (a div b) + a mod b = a
  unfolding mult-commute [of b]
  by (rule mod-div-equality)

```

```

lemma mod-div-equality':  $a \bmod b + a \operatorname{div} b * b = a$ 
  using mod-div-equality [of a b]
  by (simp only: add-ac)

lemma div-mod-equality:  $((a \operatorname{div} b) * b + a \bmod b) + c = a + c$ 
by (simp add: mod-div-equality)

lemma div-mod-equality2:  $(b * (a \operatorname{div} b) + a \bmod b) + c = a + c$ 
by (simp add: mod-div-equality2)

lemma mod-by-0 [simp]:  $a \bmod 0 = a$ 
using mod-div-equality [of a zero] by simp

lemma mod-0 [simp]:  $0 \bmod a = 0$ 
using mod-div-equality [of zero a] div-0 by simp

lemma div-mult-self2 [simp]:
  assumes  $b \neq 0$ 
  shows  $(a + b * c) \operatorname{div} b = c + a \operatorname{div} b$ 
  using assms div-mult-self1 [of b a c] by (simp add: mult-commute)

lemma mod-mult-self1 [simp]:  $(a + c * b) \bmod b = a \bmod b$ 
proof (cases b = 0)
  case True then show ?thesis by simp
next
  case False
  have  $a + c * b = (a + c * b) \operatorname{div} b * b + (a + c * b) \bmod b$ 
  by (simp add: mod-div-equality)
  also from False div-mult-self1 [of b a c] have
     $\dots = (c + a \operatorname{div} b) * b + (a + c * b) \bmod b$ 
  by (simp add: algebra-simps)
  finally have  $a = a \operatorname{div} b * b + (a + c * b) \bmod b$ 
  by (simp add: add-commute [of a] add-assoc left-distrib)
  then have  $a \operatorname{div} b * b + (a + c * b) \bmod b = a \operatorname{div} b * b + a \bmod b$ 
  by (simp add: mod-div-equality)
  then show ?thesis by simp
qed

lemma mod-mult-self2 [simp]:  $(a + b * c) \bmod b = a \bmod b$ 
by (simp add: mult-commute [of b])

lemma div-mult-self1-is-id [simp]:  $b \neq 0 \implies b * a \operatorname{div} b = a$ 
using div-mult-self2 [of b 0 a] by simp

lemma div-mult-self2-is-id [simp]:  $b \neq 0 \implies a * b \operatorname{div} b = a$ 
using div-mult-self1 [of b 0 a] by simp

lemma mod-mult-self1-is-0 [simp]:  $b * a \bmod b = 0$ 
using mod-mult-self2 [of 0 b a] by simp

```



**lemma** *mod-mult-self2-is-0* [*simp*]:  $a * b \bmod b = 0$   
**using** *mod-mult-self1* [*of 0 a b*] **by** *simp*

**lemma** *div-by-1* [*simp*]:  $a \operatorname{div} 1 = a$   
**using** *div-mult-self2-is-id* [*of 1 a*] *zero-neq-one* **by** *simp*

**lemma** *mod-by-1* [*simp*]:  $a \bmod 1 = 0$   
**proof** –  
**from** *mod-div-equality* [*of a one*] *div-by-1* **have**  $a + a \bmod 1 = a$  **by** *simp*  
**then have**  $a + a \bmod 1 = a + 0$  **by** *simp*  
**then show** *?thesis* **by** (*rule add-left-imp-eq*)  
**qed**

**lemma** *mod-self* [*simp*]:  $a \bmod a = 0$   
**using** *mod-mult-self2-is-0* [*of 1*] **by** *simp*

**lemma** *div-self* [*simp*]:  $a \neq 0 \implies a \operatorname{div} a = 1$   
**using** *div-mult-self2-is-id* [*of - 1*] **by** *simp*

**lemma** *div-add-self1* [*simp*]:  
**assumes**  $b \neq 0$   
**shows**  $(b + a) \operatorname{div} b = a \operatorname{div} b + 1$   
**using** *assms div-mult-self1* [*of b a 1*] **by** (*simp add: add-commute*)

**lemma** *div-add-self2* [*simp*]:  
**assumes**  $b \neq 0$   
**shows**  $(a + b) \operatorname{div} b = a \operatorname{div} b + 1$   
**using** *assms div-add-self1* [*of b a*] **by** (*simp add: add-commute*)

**lemma** *mod-add-self1* [*simp*]:  
 $(b + a) \bmod b = a \bmod b$   
**using** *mod-mult-self1* [*of a 1 b*] **by** (*simp add: add-commute*)

**lemma** *mod-add-self2* [*simp*]:  
 $(a + b) \bmod b = a \bmod b$   
**using** *mod-mult-self1* [*of a 1 b*] **by** *simp*

**lemma** *mod-div-decomp*:  
**fixes**  $a b$   
**obtains**  $q r$  **where**  $q = a \operatorname{div} b$  **and**  $r = a \bmod b$   
**and**  $a = q * b + r$   
**proof** –  
**from** *mod-div-equality* **have**  $a = a \operatorname{div} b * b + a \bmod b$  **by** *simp*  
**moreover have**  $a \operatorname{div} b = a \operatorname{div} b$  **..**  
**moreover have**  $a \bmod b = a \bmod b$  **..**  
**note that ultimately show** *thesis* **by** *blast*  
**qed**

**lemma** *dvd-eq-mod-eq-0* [code unfold]:  $a \text{ dvd } b \iff b \text{ mod } a = 0$

**proof**

**assume**  $b \text{ mod } a = 0$

**with** *mod-div-equality* [of  $b \ a$ ] **have**  $b \text{ div } a * a = b$  **by** *simp*

**then have**  $b = a * (b \text{ div } a)$  **unfolding** *mult-commute* ..

**then have**  $\exists c. b = a * c$  ..

**then show**  $a \text{ dvd } b$  **unfolding** *dvd-def* .

**next**

**assume**  $a \text{ dvd } b$

**then have**  $\exists c. b = a * c$  **unfolding** *dvd-def* .

**then obtain**  $c$  **where**  $b = a * c$  ..

**then have**  $b \text{ mod } a = a * c \text{ mod } a$  **by** *simp*

**then have**  $b \text{ mod } a = c * a \text{ mod } a$  **by** (*simp add: mult-commute*)

**then show**  $b \text{ mod } a = 0$  **by** *simp*

**qed**

**lemma** *mod-div-trivial* [*simp*]:  $a \text{ mod } b \text{ div } b = 0$

**proof** (*cases*  $b = 0$ )

**assume**  $b = 0$

**thus** *?thesis* **by** *simp*

**next**

**assume**  $b \neq 0$

**hence**  $a \text{ div } b + a \text{ mod } b \text{ div } b = (a \text{ mod } b + a \text{ div } b * b) \text{ div } b$

**by** (*rule div-mult-self1* [*symmetric*])

**also have**  $\dots = a \text{ div } b$

**by** (*simp only: mod-div-equality'*)

**also have**  $\dots = a \text{ div } b + 0$

**by** *simp*

**finally show** *?thesis*

**by** (*rule add-left-imp-eq*)

**qed**

**lemma** *mod-mod-trivial* [*simp*]:  $a \text{ mod } b \text{ mod } b = a \text{ mod } b$

**proof** –

**have**  $a \text{ mod } b \text{ mod } b = (a \text{ mod } b + a \text{ div } b * b) \text{ mod } b$

**by** (*simp only: mod-mult-self1*)

**also have**  $\dots = a \text{ mod } b$

**by** (*simp only: mod-div-equality'*)

**finally show** *?thesis* .

**qed**

**lemma** *dvd-imp-mod-0*:  $a \text{ dvd } b \implies b \text{ mod } a = 0$

**by** (*rule dvd-eq-mod-eq-0* [*THEN iffD1*])

**lemma** *dvd-div-mult-self*:  $a \text{ dvd } b \implies (b \text{ div } a) * a = b$

**by** (*subst* (2) *mod-div-equality* [of  $b \ a$ , *symmetric*]) (*simp add: dvd-imp-mod-0*)

**lemma** *dvd-div-mult*:  $a \text{ dvd } b \implies (b \text{ div } a) * c = b * c \text{ div } a$

**apply** (*cases*  $a = 0$ )

```

apply simp
apply (auto simp: dvd-def mult-assoc)
done

```

```

lemma div-dvd-div[simp]:
   $a \text{ dvd } b \implies a \text{ dvd } c \implies (b \text{ div } a \text{ dvd } c \text{ div } a) = (b \text{ dvd } c)$ 
apply (cases a = 0)
apply simp
apply (unfold dvd-def)
apply auto
apply (blast intro:mult-assoc[symmetric])
apply (fastsimp simp add: mult-assoc)
done

```

```

lemma dvd-mod-imp-dvd:  $[[k \text{ dvd } m \text{ mod } n; k \text{ dvd } n]] \implies k \text{ dvd } m$ 
apply (subgoal-tac k dvd (m div n) * n + m mod n)
apply (simp add: mod-div-equality)
apply (simp only: dvd-add dvd-mult)
done

```

Addition respects modular equivalence.

```

lemma mod-add-left-eq:  $(a + b) \text{ mod } c = (a \text{ mod } c + b) \text{ mod } c$ 
proof –
  have  $(a + b) \text{ mod } c = (a \text{ div } c * c + a \text{ mod } c + b) \text{ mod } c$ 
    by (simp only: mod-div-equality)
  also have  $\dots = (a \text{ mod } c + b + a \text{ div } c * c) \text{ mod } c$ 
    by (simp only: add-ac)
  also have  $\dots = (a \text{ mod } c + b) \text{ mod } c$ 
    by (rule mod-mult-self1)
  finally show ?thesis .
qed

```

```

lemma mod-add-right-eq:  $(a + b) \text{ mod } c = (a + b \text{ mod } c) \text{ mod } c$ 
proof –
  have  $(a + b) \text{ mod } c = (a + (b \text{ div } c * c + b \text{ mod } c)) \text{ mod } c$ 
    by (simp only: mod-div-equality)
  also have  $\dots = (a + b \text{ mod } c + b \text{ div } c * c) \text{ mod } c$ 
    by (simp only: add-ac)
  also have  $\dots = (a + b \text{ mod } c) \text{ mod } c$ 
    by (rule mod-mult-self1)
  finally show ?thesis .
qed

```

```

lemma mod-add-eq:  $(a + b) \text{ mod } c = (a \text{ mod } c + b \text{ mod } c) \text{ mod } c$ 
by (rule trans [OF mod-add-left-eq mod-add-right-eq])

```

```

lemma mod-add-cong:
  assumes  $a \text{ mod } c = a' \text{ mod } c$ 
  assumes  $b \text{ mod } c = b' \text{ mod } c$ 

```

```

  shows  $(a + b) \bmod c = (a' + b') \bmod c$ 
proof -
  have  $(a \bmod c + b \bmod c) \bmod c = (a' \bmod c + b' \bmod c) \bmod c$ 
    unfolding assms ..
  thus ?thesis
    by (simp only: mod-add-eq [symmetric])
qed

```

```

lemma div-add[simp]:  $z \text{ dvd } x \implies z \text{ dvd } y$ 
 $\implies (x + y) \text{ div } z = x \text{ div } z + y \text{ div } z$ 
by (cases  $z=0$ , simp, unfold dvd-def, auto simp add: algebra-simps)

```

Multiplication respects modular equivalence.

```

lemma mod-mult-left-eq:  $(a * b) \bmod c = ((a \bmod c) * b) \bmod c$ 
proof -
  have  $(a * b) \bmod c = ((a \text{ div } c * c + a \bmod c) * b) \bmod c$ 
    by (simp only: mod-div-equality)
  also have  $\dots = (a \bmod c * b + a \text{ div } c * b * c) \bmod c$ 
    by (simp only: algebra-simps)
  also have  $\dots = (a \bmod c * b) \bmod c$ 
    by (rule mod-mult-self1)
  finally show ?thesis .
qed

```

```

lemma mod-mult-right-eq:  $(a * b) \bmod c = (a * (b \bmod c)) \bmod c$ 
proof -
  have  $(a * b) \bmod c = (a * (b \text{ div } c * c + b \bmod c)) \bmod c$ 
    by (simp only: mod-div-equality)
  also have  $\dots = (a * (b \bmod c) + a * (b \text{ div } c) * c) \bmod c$ 
    by (simp only: algebra-simps)
  also have  $\dots = (a * (b \bmod c)) \bmod c$ 
    by (rule mod-mult-self1)
  finally show ?thesis .
qed

```

```

lemma mod-mult-eq:  $(a * b) \bmod c = ((a \bmod c) * (b \bmod c)) \bmod c$ 
by (rule trans [OF mod-mult-left-eq mod-mult-right-eq])

```

```

lemma mod-mult-cong:
  assumes  $a \bmod c = a' \bmod c$ 
  assumes  $b \bmod c = b' \bmod c$ 
  shows  $(a * b) \bmod c = (a' * b') \bmod c$ 
proof -
  have  $(a \bmod c * (b \bmod c)) \bmod c = (a' \bmod c * (b' \bmod c)) \bmod c$ 
    unfolding assms ..
  thus ?thesis
    by (simp only: mod-mult-eq [symmetric])
qed

```

```

lemma mod-mod-cancel:
  assumes  $c \text{ dvd } b$ 
  shows  $a \text{ mod } b \text{ mod } c = a \text{ mod } c$ 
proof –
  from  $\langle c \text{ dvd } b \rangle$  obtain  $k$  where  $b = c * k$ 
  by (rule dvdE)
  have  $a \text{ mod } b \text{ mod } c = a \text{ mod } (c * k) \text{ mod } c$ 
  by (simp only:  $\langle b = c * k \rangle$ )
  also have  $\dots = (a \text{ mod } (c * k) + a \text{ div } (c * k) * k * c) \text{ mod } c$ 
  by (simp only: mod-mult-self1)
  also have  $\dots = (a \text{ div } (c * k) * (c * k) + a \text{ mod } (c * k)) \text{ mod } c$ 
  by (simp only: add-ac mult-ac)
  also have  $\dots = a \text{ mod } c$ 
  by (simp only: mod-div-equality)
  finally show ?thesis .
qed

end

lemma div-mult-div-if-dvd:  $(y::'a::\{\text{semiring-div}, \text{no-zero-divisors}\}) \text{ dvd } x \implies$ 
 $z \text{ dvd } w \implies (x \text{ div } y) * (w \text{ div } z) = (x * w) \text{ div } (y * z)$ 
unfolding dvd-def
apply clarify
apply (case-tac  $y = 0$ )
apply simp
apply (case-tac  $z = 0$ )
apply simp
apply (simp add: algebra-simps)
apply (subst mult-assoc [symmetric])
apply (simp add: no-zero-divisors)
done

lemma div-power:  $(y::'a::\{\text{semiring-div}, \text{no-zero-divisors}, \text{recpower}\}) \text{ dvd } x \implies$ 
 $(x \text{ div } y)^n = x^n \text{ div } y^n$ 
apply (induct n)
apply simp
apply (simp add: div-mult-div-if-dvd dvd-power-same)
done

```

```

class ring-div = semiring-div + comm-ring-1
begin

```

Negation respects modular equivalence.

```

lemma mod-minus-eq:  $(- a) \text{ mod } b = (- (a \text{ mod } b)) \text{ mod } b$ 
proof –
  have  $(- a) \text{ mod } b = (- (a \text{ div } b * b + a \text{ mod } b)) \text{ mod } b$ 
  by (simp only: mod-div-equality)
  also have  $\dots = (- (a \text{ mod } b) + - (a \text{ div } b) * b) \text{ mod } b$ 

```

```

    by (simp only: minus-add-distrib minus-mult-left add-ac)
  also have  $\dots = (- (a \bmod b)) \bmod b$ 
    by (rule mod-mult-self1)
  finally show ?thesis .
qed

```

```

lemma mod-minus-cong:
  assumes  $a \bmod b = a' \bmod b$ 
  shows  $(- a) \bmod b = (- a') \bmod b$ 
proof -
  have  $(- (a \bmod b)) \bmod b = (- (a' \bmod b)) \bmod b$ 
    unfolding assms ..
  thus ?thesis
    by (simp only: mod-minus-eq [symmetric])
qed

```

Subtraction respects modular equivalence.

```

lemma mod-diff-left-eq:  $(a - b) \bmod c = (a \bmod c - b) \bmod c$ 
  unfolding diff-minus
  by (intro mod-add-cong mod-minus-cong) simp-all

```

```

lemma mod-diff-right-eq:  $(a - b) \bmod c = (a - b \bmod c) \bmod c$ 
  unfolding diff-minus
  by (intro mod-add-cong mod-minus-cong) simp-all

```

```

lemma mod-diff-eq:  $(a - b) \bmod c = (a \bmod c - b \bmod c) \bmod c$ 
  unfolding diff-minus
  by (intro mod-add-cong mod-minus-cong) simp-all

```

```

lemma mod-diff-cong:
  assumes  $a \bmod c = a' \bmod c$ 
  assumes  $b \bmod c = b' \bmod c$ 
  shows  $(a - b) \bmod c = (a' - b') \bmod c$ 
  unfolding diff-minus using assms
  by (intro mod-add-cong mod-minus-cong)

```

```

lemma dvd-neg-div:  $y \text{ dvd } x \implies -x \text{ div } y = - (x \text{ div } y)$ 
  apply (case-tac  $y = 0$ ) apply simp
  apply (auto simp add: dvd-def)
  apply (subgoal-tac  $-(y * k) = y * -k$ )
    apply (erule ssubst)
    apply (erule div-mult-self1-is-id)
  apply simp
done

```

```

lemma dvd-div-neg:  $y \text{ dvd } x \implies x \text{ div } -y = - (x \text{ div } y)$ 
  apply (case-tac  $y = 0$ ) apply simp
  apply (auto simp add: dvd-def)
  apply (subgoal-tac  $y * k = -y * -k$ )

```

```

apply (erule ssubst)
apply (rule div-mult-self1-is-id)
apply simp
apply simp
done

end

```

### 26.3 Division on *nat*

We define *op div* and *op mod* on *nat* by means of a characteristic relation with two input arguments *m*, *n* and two output arguments *q*(uotient) and *r*(emainder).

**definition** *divmod-rel* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**  
*divmod-rel m n q r*  $\longleftrightarrow m = q * n + r \wedge$  (if *n* > 0 then  $0 \leq r \wedge r < n$  else *q* = 0)

*divmod-rel* is total:

```

lemma divmod-rel-ex:
  obtains q r where divmod-rel m n q r
proof (cases n = 0)
  case True with that show thesis
    by (auto simp add: divmod-rel-def)
next
  case False
  have  $\exists q r. m = q * n + r \wedge r < n$ 
  proof (induct m)
    case 0 with  $\langle n \neq 0 \rangle$ 
    have  $(0::nat) = 0 * n + 0 \wedge 0 < n$  by simp
    then show ?case by blast
  next
    case (Suc m) then obtain q' r'
      where m:  $m = q' * n + r'$  and n:  $r' < n$  by auto
    then show ?case proof (cases Suc r' < n)
      case True
      from m n have Suc m =  $q' * n + \text{Suc } r'$  by simp
      with True show ?thesis by blast
    next
      case False then have  $n \leq \text{Suc } r'$  by auto
      moreover from n have  $\text{Suc } r' \leq n$  by auto
      ultimately have  $n = \text{Suc } r'$  by auto
      with m have Suc m =  $\text{Suc } q' * n + 0$  by simp
      with  $\langle n \neq 0 \rangle$  show ?thesis by blast
    qed
  qed
with that show thesis
  using  $\langle n \neq 0 \rangle$  by (auto simp add: divmod-rel-def)
qed

```

*divmod-rel* is injective:

```

lemma divmod-rel-unique-div:
  assumes divmod-rel m n q r
    and divmod-rel m n q' r'
  shows  $q = q'$ 
proof (cases  $n = 0$ )
  case True with assms show ?thesis
    by (simp add: divmod-rel-def)
next
  case False
  have aux:  $\bigwedge q\ r\ q'\ r'.\ q' * n + r' = q * n + r \implies r < n \implies q' \leq (q::nat)$ 
  apply (rule leI)
  apply (subst less-iff-Suc-add)
  apply (auto simp add: add-mult-distrib)
  done
  from  $\langle n \neq 0 \rangle$  assms show ?thesis
    by (auto simp add: divmod-rel-def
      intro: order-antisym dest: aux sym)
qed

```

```

lemma divmod-rel-unique-mod:
  assumes divmod-rel m n q r
    and divmod-rel m n q' r'
  shows  $r = r'$ 
proof –
  from assms have  $q = q'$  by (rule divmod-rel-unique-div)
  with assms show ?thesis by (simp add: divmod-rel-def)
qed

```

We instantiate divisibility on the natural numbers by means of *divmod-rel*:

```

instantiation nat :: semiring-div
begin

```

```

definition divmod :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\times$  nat where
  [code del]: divmod m n = (THE (q, r). divmod-rel m n q r)

```

```

definition div-nat where
  m div n = fst (divmod m n)

```

```

definition mod-nat where
  m mod n = snd (divmod m n)

```

```

lemma divmod-div-mod:
  divmod m n = (m div n, m mod n)
  unfolding div-nat-def mod-nat-def by simp

```

```

lemma divmod-eq:
  assumes divmod-rel m n q r
  shows divmod m n = (q, r)

```



**using** *assms* **by** (*auto simp add: divmod-def*  
*dest: divmod-rel-unique-div divmod-rel-unique-mod*)

**lemma** *div-eq*:  
**assumes** *divmod-rel m n q r*  
**shows**  $m \text{ div } n = q$   
**using** *assms* **by** (*auto dest: divmod-eq simp add: div-nat-def*)

**lemma** *mod-eq*:  
**assumes** *divmod-rel m n q r*  
**shows**  $m \text{ mod } n = r$   
**using** *assms* **by** (*auto dest: divmod-eq simp add: mod-nat-def*)

**lemma** *divmod-rel: divmod-rel m n (m div n) (m mod n)*  
**proof** –  
**from** *divmod-rel-ex*  
**obtain**  $q \ r$  **where** *rel: divmod-rel m n q r* .  
**moreover with** *div-eq mod-eq* **have**  $m \text{ div } n = q$  **and**  $m \text{ mod } n = r$   
**by** *simp-all*  
**ultimately show** *?thesis* **by** *simp*  
**qed**

**lemma** *divmod-zero*:  
 $\text{divmod } m \ 0 = (0, m)$   
**proof** –  
**from** *divmod-rel [of m 0]* **show** *?thesis*  
**unfolding** *divmod-div-mod divmod-rel-def* **by** *simp*  
**qed**

**lemma** *divmod-base*:  
**assumes**  $m < n$   
**shows**  $\text{divmod } m \ n = (0, m)$   
**proof** –  
**from** *divmod-rel [of m n]* **show** *?thesis*  
**unfolding** *divmod-div-mod divmod-rel-def*  
**using** *assms* **by** (*cases m div n = 0*)  
*(auto simp add: gr0-conv-Suc [of m div n])*  
**qed**

**lemma** *divmod-step*:  
**assumes**  $0 < n$  **and**  $n \leq m$   
**shows**  $\text{divmod } m \ n = (\text{Suc } ((m - n) \text{ div } n), (m - n) \text{ mod } n)$   
**proof** –  
**from** *divmod-rel* **have** *divmod-m-n: divmod-rel m n (m div n) (m mod n)* .  
**with** *assms* **have** *m-div-n: m div n  $\geq$  1*  
**by** (*cases m div n*) (*auto simp add: divmod-rel-def*)  
**from** *assms divmod-m-n* **have** *divmod-rel (m - n) n (m div n - Suc 0) (m mod n)*  
**by** (*cases m div n*) (*auto simp add: divmod-rel-def*)

```

with divmod-eq have divmod ( $m - n$ )  $n = (m \text{ div } n - \text{Suc } 0, m \text{ mod } n)$  by
simp
moreover from divmod-div-mod have divmod ( $m - n$ )  $n = ((m - n) \text{ div } n,$ 
 $(m - n) \text{ mod } n)$  .
ultimately have  $m \text{ div } n = \text{Suc } ((m - n) \text{ div } n)$ 
and  $m \text{ mod } n = (m - n) \text{ mod } n$  using m-div-n by simp-all
then show ?thesis using divmod-div-mod by simp
qed

```

The “recursion” equations for *op div* and *op mod*

```

lemma div-less [simp]:
  fixes  $m\ n :: \text{nat}$ 
  assumes  $m < n$ 
  shows  $m \text{ div } n = 0$ 
  using assms divmod-base divmod-div-mod by simp

```

```

lemma le-div-geq:
  fixes  $m\ n :: \text{nat}$ 
  assumes  $0 < n$  and  $n \leq m$ 
  shows  $m \text{ div } n = \text{Suc } ((m - n) \text{ div } n)$ 
  using assms divmod-step divmod-div-mod by simp

```

```

lemma mod-less [simp]:
  fixes  $m\ n :: \text{nat}$ 
  assumes  $m < n$ 
  shows  $m \text{ mod } n = m$ 
  using assms divmod-base divmod-div-mod by simp

```

```

lemma le-mod-geq:
  fixes  $m\ n :: \text{nat}$ 
  assumes  $n \leq m$ 
  shows  $m \text{ mod } n = (m - n) \text{ mod } n$ 
  using assms divmod-step divmod-div-mod by (cases  $n = 0$ ) simp-all

```

**instance proof**

```

  fix  $m\ n :: \text{nat}$  show  $m \text{ div } n * n + m \text{ mod } n = m$ 
    using divmod-rel [of  $m\ n$ ] by (simp add: divmod-rel-def)
next
  fix  $n :: \text{nat}$  show  $n \text{ div } 0 = 0$ 
    using divmod-zero divmod-div-mod [of  $n\ 0$ ] by simp
next
  fix  $n :: \text{nat}$  show  $0 \text{ div } n = 0$ 
    using divmod-rel [of  $0\ n$ ] by (cases  $n$ ) (simp-all add: divmod-rel-def)
next
  fix  $m\ n\ q :: \text{nat}$  assume  $n \neq 0$  then show  $(q + m * n) \text{ div } n = m + q \text{ div } n$ 
    by (induct  $m$ ) (simp-all add: le-div-geq)
qed

```

**end**

Simproc for cancelling *op div* and *op mod*

```

ML <<
  structure CancelDivModData =
  struct

    val div-name = @{const-name div};
    val mod-name = @{const-name mod};
    val mk-binop = HOLogic.mk-binop;
    val mk-sum = Nat-Arith.mk-sum;
    val dest-sum = Nat-Arith.dest-sum;

    (*logic*)

    val div-mod-egs = map mk-meta-eq [@{thm div-mod-equality}, @{thm div-mod-equality2}]

    val trans = trans

    val prove-eq-sums =
      let val_simps = @{thm add-0} :: @{thm add-0-right} :: @{thms add-ac}
      in Arith-Data.prove-conv2 all-tac (Arith-Data.simp-all-tac_simps) end;

  end;

  structure CancelDivMod = CancelDivModFun(CancelDivModData);

  val cancel-div-mod-proc = Simplifier.simproc (the-context ())
    cancel-div-mod [(m::nat) + n] (K CancelDivMod.proc);

  Addsimprocs[cancel-div-mod-proc];
>>

```

code generator setup

```

lemma divmod-if [code]: divmod m n = (if n = 0 ∨ m < n then (0, m) else
  let (q, r) = divmod (m - n) n in (Suc q, r))
by (simp add: divmod-zero divmod-base divmod-step)
    (simp add: divmod-div-mod)

```

**code-modulename** *SML*

*Divides Nat*

**code-modulename** *OCaml*

*Divides Nat*

**code-modulename** *Haskell*

*Divides Nat*

### 26.3.1 Quotient

**lemma** div-geq:  $0 < n \implies \neg m < n \implies m \text{ div } n = \text{Suc } ((m - n) \text{ div } n)$

by (simp add: le-div-geq linorder-not-less)

**lemma** *div-if*:  $0 < n \implies m \text{ div } n = (\text{if } m < n \text{ then } 0 \text{ else } \text{Suc } ((m - n) \text{ div } n))$   
 by (simp add: div-geq)

**lemma** *div-mult-self-is-m* [simp]:  $0 < n \implies (m * n) \text{ div } n = (m :: \text{nat})$   
 by simp

**lemma** *div-mult-self1-is-m* [simp]:  $0 < n \implies (n * m) \text{ div } n = (m :: \text{nat})$   
 by simp

### 26.3.2 Remainder

**lemma** *mod-less-divisor* [simp]:  
 fixes  $m \ n :: \text{nat}$   
 assumes  $n > 0$   
 shows  $m \text{ mod } n < (n :: \text{nat})$   
 using assms divmod-rel unfolding divmod-rel-def by auto

**lemma** *mod-less-eq-dividend* [simp]:  
 fixes  $m \ n :: \text{nat}$   
 shows  $m \text{ mod } n \leq m$   
**proof** (rule add-leD2)  
 from mod-div-equality have  $m \text{ div } n * n + m \text{ mod } n = m$  .  
 then show  $m \text{ div } n * n + m \text{ mod } n \leq m$  by auto  
**qed**

**lemma** *mod-geq*:  $\neg m < (n :: \text{nat}) \implies m \text{ mod } n = (m - n) \text{ mod } n$   
 by (simp add: le-mod-geq linorder-not-less)

**lemma** *mod-if*:  $m \text{ mod } (n :: \text{nat}) = (\text{if } m < n \text{ then } m \text{ else } (m - n) \text{ mod } n)$   
 by (simp add: le-mod-geq)

**lemma** *mod-1* [simp]:  $m \text{ mod } \text{Suc } 0 = 0$   
 by (induct m) (simp-all add: mod-geq)

**lemma** *mod-mult-distrib*:  $(m \text{ mod } n) * (k :: \text{nat}) = (m * k) \text{ mod } (n * k)$   
 apply (cases  $n = 0$ , simp)  
 apply (cases  $k = 0$ , simp)  
 apply (induct m rule: nat-less-induct)  
 apply (subst mod-if, simp)  
 apply (simp add: mod-geq diff-mult-distrib)  
 done

**lemma** *mod-mult-distrib2*:  $(k :: \text{nat}) * (m \text{ mod } n) = (k * m) \text{ mod } (k * n)$   
 by (simp add: mult-commute [of k] mod-mult-distrib)

**lemma** *mult-div-cancel*:  $(n :: \text{nat}) * (m \text{ div } n) = m - (m \text{ mod } n)$

by (cut-tac  $a = m$  and  $b = n$  in mod-div-equality2, arith)

lemma mod-le-divisor[simp]:  $0 < n \implies m \bmod n \leq (n::nat)$   
 apply (drule mod-less-divisor [where  $m = m$ ])  
 apply simp  
 done

### 26.3.3 Quotient and Remainder

lemma divmod-rel-mult1-eq:  
 [| divmod-rel  $b \ c \ q \ r$ ;  $c > 0$  |]  
 $\implies$  divmod-rel  $(a*b) \ c \ (a*q + a*r \text{ div } c) \ (a*r \bmod c)$   
 by (auto simp add: split-ifs divmod-rel-def algebra-simps)

lemma div-mult1-eq:  $(a*b) \text{ div } c = a*(b \text{ div } c) + a*(b \bmod c) \text{ div } (c::nat)$   
 apply (cases  $c = 0$ , simp)  
 apply (blast intro: divmod-rel [THEN divmod-rel-mult1-eq, THEN div-eq])  
 done

lemma divmod-rel-add1-eq:  
 [| divmod-rel  $a \ c \ aq \ ar$ ; divmod-rel  $b \ c \ bq \ br$ ;  $c > 0$  |]  
 $\implies$  divmod-rel  $(a + b) \ c \ (aq + bq + (ar+br) \text{ div } c) \ ((ar + br) \bmod c)$   
 by (auto simp add: split-ifs divmod-rel-def algebra-simps)

lemma div-add1-eq:  
 $(a+b) \text{ div } (c::nat) = a \text{ div } c + b \text{ div } c + ((a \bmod c + b \bmod c) \text{ div } c)$   
 apply (cases  $c = 0$ , simp)  
 apply (blast intro: divmod-rel-add1-eq [THEN div-eq] divmod-rel)  
 done

lemma mod-lemma: [|  $(0::nat) < c$ ;  $r < b$  |]  $\implies b * (q \bmod c) + r < b * c$   
 apply (cut-tac  $m = q$  and  $n = c$  in mod-less-divisor)  
 apply (drule-tac [2]  $m = q \bmod c$  in less-imp-Suc-add, auto)  
 apply (erule-tac  $P = \%x. ?lhs < ?rhs \ x$  in ssubst)  
 apply (simp add: add-mult-distrib2)  
 done

lemma divmod-rel-mult2-eq: [| divmod-rel  $a \ b \ q \ r$ ;  $0 < b$ ;  $0 < c$  |]  
 $\implies$  divmod-rel  $a \ (b*c) \ (q \text{ div } c) \ (b*(q \bmod c) + r)$   
 by (auto simp add: mult-ac divmod-rel-def add-mult-distrib2 [symmetric] mod-lemma)

lemma div-mult2-eq:  $a \text{ div } (b*c) = (a \text{ div } b) \text{ div } (c::nat)$   
 apply (cases  $b = 0$ , simp)  
 apply (cases  $c = 0$ , simp)  
 apply (force simp add: divmod-rel [THEN divmod-rel-mult2-eq, THEN div-eq])  
 done

lemma mod-mult2-eq:  $a \bmod (b*c) = b*(a \text{ div } b \bmod c) + a \bmod (b::nat)$

```

apply (cases b = 0, simp)
apply (cases c = 0, simp)
apply (auto simp add: mult-commute divmod-rel [THEN divmod-rel-mult2-eq,
THEN mod-eq])
done

```

### 26.3.4 Cancellation of Common Factors in Division

**lemma** *div-mult-mult-lemma*:

```

  [| (0::nat) < b; 0 < c |] ==> (c*a) div (c*b) = a div b
by (auto simp add: div-mult2-eq)

```

**lemma** *div-mult-mult1* [simp]:  $(0::nat) < c \implies (c*a) \text{ div } (c*b) = a \text{ div } b$

```

apply (cases b = 0)
apply (auto simp add: linorder-neg-iff [of b] div-mult-mult-lemma)
done

```

**lemma** *div-mult-mult2* [simp]:  $(0::nat) < c \implies (a*c) \text{ div } (b*c) = a \text{ div } b$

```

apply (drule div-mult-mult1)
apply (auto simp add: mult-commute)
done

```

### 26.3.5 Further Facts about Quotient and Remainder

**lemma** *div-1* [simp]:  $m \text{ div } \text{Suc } 0 = m$

**by** (induct m) (simp-all add: div-geq)

**lemma** *div-le-mono* [rule-format]:

$\forall m::nat. m \leq n \longrightarrow (m \text{ div } k) \leq (n \text{ div } k)$

```

apply (case-tac k=0, simp)
apply (induct n rule: nat-less-induct, clarify)
apply (case-tac n<k)

```

**apply** *simp*

**apply** (case-tac m<k)

**apply** *simp*

```

apply (simp add: div-geq diff-le-mono)
done

```

**lemma** *div-le-mono2*:  $!!m::nat. [| 0 < m; m \leq n |] \implies (k \text{ div } n) \leq (k \text{ div } m)$

```

apply (subgoal-tac 0<n)
prefer 2 apply simp
apply (induct-tac k rule: nat-less-induct)
apply (rename-tac k)

```

```

apply (case-tac  $k < n$ , simp)
apply (subgoal-tac  $\sim (k < m)$  )
  prefer 2 apply simp
apply (simp add: div-geq)
apply (subgoal-tac  $(k - n) \text{ div } n \leq (k - m) \text{ div } n$ )
  prefer 2
  apply (blast intro: div-le-mono diff-le-mono2)
apply (rule le-trans, simp)
apply (simp)
done

```

```

lemma div-le-dividend [simp]:  $m \text{ div } n \leq (m::\text{nat})$ 
apply (case-tac  $n=0$ , simp)
apply (subgoal-tac  $m \text{ div } n \leq m \text{ div } 1$ , simp)
apply (rule div-le-mono2)
apply (simp-all (no-asm-simp))
done

```

```

lemma div-less-dividend [rule-format]:
  !! $n::\text{nat}$ .  $1 < n \implies 0 < m \dashv\vdash m \text{ div } n < m$ 
apply (induct-tac  $m$  rule: nat-less-induct)
apply (rename-tac  $m$ )
apply (case-tac  $m < n$ , simp)
apply (subgoal-tac  $0 < n$ )
  prefer 2 apply simp
apply (simp add: div-geq)
apply (case-tac  $n < m$ )
  apply (subgoal-tac  $(m - n) \text{ div } n < (m - n)$  )
    apply (rule impI less-trans-Suc)+
apply assumption
  apply (simp-all)
done

```

```

lemma nat-div-eq-0 [simp]:  $(n::\text{nat}) > 0 \implies ((m \text{ div } n) = 0) = (m < n)$ 
by(auto, subst mod-div-equality [of  $m$   $n$ , symmetric], auto)

```

```

lemma nat-div-gt-0 [simp]:  $(n::\text{nat}) > 0 \implies ((m \text{ div } n) > 0) = (m \geq n)$ 
by (subst neq0-conv [symmetric], auto)

```

```

declare div-less-dividend [simp]

```

A fact for the mutilated chess board

```

lemma mod-Suc:  $\text{Suc}(m) \text{ mod } n = (\text{if } \text{Suc}(m \text{ mod } n) = n \text{ then } 0 \text{ else } \text{Suc}(m \text{ mod } n))$ 
apply (case-tac  $n=0$ , simp)
apply (induct  $m$  rule: nat-less-induct)
apply (case-tac  $\text{Suc } (na) < n$ )

```

**apply** (*frule lessI [THEN less-trans], simp add: less-not-refl3*)

**apply** (*simp add: linorder-not-less le-Suc-eq mod-geq*)

**apply** (*auto simp add: Suc-diff-le le-mod-geq*)

**done**

### 26.3.6 The Divides Relation

**lemma** *dvd-1-left* [*iff*]: *Suc 0 dvd k*

**unfolding** *dvd-def* **by** *simp*

**lemma** *dvd-1-iff-1* [*simp*]:  $(m \text{ dvd } \text{Suc } 0) = (m = \text{Suc } 0)$

**by** (*simp add: dvd-def*)

**lemma** *nat-dvd-1-iff-1* [*simp*]:  $m \text{ dvd } (1::\text{nat}) \longleftrightarrow m = 1$

**by** (*simp add: dvd-def*)

**lemma** *dvd-anti-sym*:  $[[m \text{ dvd } n; n \text{ dvd } m]] \implies m = (n::\text{nat})$

**unfolding** *dvd-def*

**by** (*force dest: mult-eq-self-implies-10 simp add: mult-assoc mult-eq-1-iff*)

*op dvd* is a partial order

**interpretation** *dvd*: *order op dvd  $\lambda n m :: \text{nat}. n \text{ dvd } m \wedge \neg m \text{ dvd } n$*

**proof** **qed** (*auto intro: dvd-refl dvd-trans dvd-anti-sym*)

**lemma** *nat-dvd-diff* [*simp*]:  $[[k \text{ dvd } m; k \text{ dvd } n]] \implies k \text{ dvd } (m - n :: \text{nat})$

**unfolding** *dvd-def*

**by** (*blast intro: diff-mult-distrib2 [symmetric]*)

**lemma** *dvd-diffD*:  $[[k \text{ dvd } m - n; k \text{ dvd } n; n \leq m]] \implies k \text{ dvd } (m::\text{nat})$

**apply** (*erule linorder-not-less [THEN iffD2, THEN add-diff-inverse, THEN subst]*)

**apply** (*blast intro: dvd-add*)

**done**

**lemma** *dvd-diffD1*:  $[[k \text{ dvd } m - n; k \text{ dvd } m; n \leq m]] \implies k \text{ dvd } (n::\text{nat})$

**by** (*drule-tac m = m in nat-dvd-diff, auto*)

**lemma** *dvd-reduce*:  $(k \text{ dvd } n + k) = (k \text{ dvd } (n::\text{nat}))$

**apply** (*rule iffI*)

**apply** (*erule-tac [2] dvd-add*)

**apply** (*rule-tac [2] dvd-refl*)

**apply** (*subgoal-tac n = (n+k) - k*)

**prefer 2 apply simp**

**apply** (*erule ssubst*)

**apply** (*erule nat-dvd-diff*)

**apply** (*rule dvd-refl*)

**done**



```

lemma dvd-mod: !!n::nat. [| f dvd m; f dvd n |] ==> f dvd m mod n
  unfolding dvd-def
  apply (case-tac n = 0, auto)
  apply (blast intro: mod-mult-distrib2 [symmetric])
  done

```

```

lemma dvd-mod-iff: k dvd n ==> ((k::nat) dvd m mod n) = (k dvd m)
by (blast intro: dvd-mod-imp-dvd dvd-mod)

```

```

lemma dvd-mult-cancel: !!k::nat. [| k*m dvd k*n; 0<k |] ==> m dvd n
  unfolding dvd-def
  apply (erule exE)
  apply (simp add: mult-ac)
  done

```

```

lemma dvd-mult-cancel1: 0<m ==> (m*n dvd m) = (n = (1::nat))
  apply auto
  apply (subgoal-tac m*n dvd m*1)
  apply (drule dvd-mult-cancel, auto)
  done

```

```

lemma dvd-mult-cancel2: 0<m ==> (n*m dvd m) = (n = (1::nat))
  apply (subst mult-commute)
  apply (erule dvd-mult-cancel1)
  done

```

```

lemma dvd-imp-le: [| k dvd n; 0 < n |] ==> k ≤ (n::nat)
by (auto elim!: dvdE) (auto simp add: gr0-conv-Suc)

```

```

lemma nat-dvd-not-less: (0::nat) < m ==> m < n ==> ¬ n dvd m
by (auto elim!: dvdE) (auto simp add: gr0-conv-Suc)

```

```

lemma dvd-mult-div-cancel: n dvd m ==> n * (m div n) = (m::nat)
  apply (subgoal-tac m mod n = 0)
  apply (simp add: mult-div-cancel)
  apply (simp only: dvd-eq-mod-eq-0)
  done

```

```

lemma nat-zero-less-power-iff [simp]: (x^n > 0) = (x > (0::nat) | n=0)
  by (induct n) auto

```

```

lemma power-dvd-imp-le: [| i^m dvd i^n; (1::nat) < i |] ==> m ≤ n
  apply (rule power-le-imp-le-exp, assumption)
  apply (erule dvd-imp-le, simp)
  done

```

```

lemma mod-eq-0-iff: (m mod d = 0) = (∃ q::nat. m = d*q)
by (auto simp add: dvd-eq-mod-eq-0 [symmetric] dvd-def)

```

**lemmas** *mod-eq-0D* [*dest!*] = *mod-eq-0-iff* [*THEN iffD1*]

**lemma** *mod-eqD*:  $(m \bmod d = r) ==> \exists q::nat. m = r + q*d$   
**apply** (*cut-tac a = m in mod-div-equality*)  
**apply** (*simp only: add-ac*)  
**apply** (*blast intro: sym*)  
**done**

**lemma** *split-div*:

$P(n \text{ div } k :: nat) =$   
 $((k = 0 \longrightarrow P\ 0) \wedge (k \neq 0 \longrightarrow (!i. !j < k. n = k*i + j \longrightarrow P\ i)))$   
 $(\text{is } ?P = ?Q \text{ is } - = (- \wedge (- \longrightarrow ?R)))$

**proof**

**assume**  $P: ?P$

**show**  $?Q$

**proof** (*cases*)

**assume**  $k = 0$

**with**  $P$  **show**  $?Q$  **by** *simp*

**next**

**assume** *not0*:  $k \neq 0$

**thus**  $?Q$

**proof** (*simp, intro allI impI*)

**fix**  $i\ j$

**assume**  $n: n = k*i + j$  **and**  $j: j < k$

**show**  $P\ i$

**proof** (*cases*)

**assume**  $i = 0$

**with**  $n\ j\ P$  **show**  $P\ i$  **by** *simp*

**next**

**assume**  $i \neq 0$

**with** *not0*  $n\ j\ P$  **show**  $P\ i$  **by** (*simp add: add-ac*)

**qed**

**qed**

**qed**

**next**

**assume**  $Q: ?Q$

**show**  $?P$

**proof** (*cases*)

**assume**  $k = 0$

**with**  $Q$  **show**  $?P$  **by** *simp*

**next**

**assume** *not0*:  $k \neq 0$

**with**  $Q$  **have**  $R: ?R$  **by** *simp*

**from** *not0*  $R$  [*THEN spec, of n div k, THEN spec, of n mod k*]

**show**  $?P$  **by** *simp*

**qed**

**qed**

**lemma** *split-div-lemma*:

**assumes**  $0 < n$

**shows**  $n * q \leq m \wedge m < n * \text{Suc } q \longleftrightarrow q = ((m::\text{nat}) \text{ div } n) \text{ (is } ?lhs \longleftrightarrow ?rhs)$

**proof**

**assume**  $?rhs$

**with** *mult-div-cancel* **have**  $nq: n * q = m - (m \bmod n)$  **by** *simp*

**then have**  $A: n * q \leq m$  **by** *simp*

**have**  $n - (m \bmod n) > 0$  **using** *mod-less-divisor assms* **by** *auto*

**then have**  $m < m + (n - (m \bmod n))$  **by** *simp*

**then have**  $m < n + (m - (m \bmod n))$  **by** *simp*

**with**  $nq$  **have**  $m < n + n * q$  **by** *simp*

**then have**  $B: m < n * \text{Suc } q$  **by** *simp*

**from**  $A \ B$  **show**  $?lhs$  **..**

**next**

**assume**  $P: ?lhs$

**then have** *divmod-rel*  $m \ n \ q \ (m - n * q)$

**unfolding** *divmod-rel-def* **by** (*auto simp add: mult-ac*)

**then show**  $?rhs$  **using** *divmod-rel* **by** (*rule divmod-rel-unique-div*)

**qed**

**theorem** *split-div'*:

$P \ ((m::\text{nat}) \text{ div } n) = ((n = 0 \wedge P \ 0) \vee$

$(\exists q. (n * q \leq m \wedge m < n * (\text{Suc } q)) \wedge P \ q))$

**apply** (*case-tac*  $0 < n$ )

**apply** (*simp only: add: split-div-lemma*)

**apply** *simp-all*

**done**

**lemma** *split-mod*:

$P(n \bmod k :: \text{nat}) =$

$((k = 0 \longrightarrow P \ n) \wedge (k \neq 0 \longrightarrow (!i. !j < k. n = k*i + j \longrightarrow P \ j)))$

$(\text{is } ?P = ?Q \text{ is } - = (- \wedge (- \longrightarrow ?R)))$

**proof**

**assume**  $P: ?P$

**show**  $?Q$

**proof** (*cases*)

**assume**  $k = 0$

**with**  $P$  **show**  $?Q$  **by** *simp*

**next**

**assume** *not0*:  $k \neq 0$

**thus**  $?Q$

**proof** (*simp, intro allI impI*)

**fix**  $i \ j$

**assume**  $n = k*i + j \ j < k$

**thus**  $P \ j$  **using** *not0 P* **by** (*simp add: add-ac mult-ac*)

**qed**

**qed**

**next**

**assume**  $Q: ?Q$

```

show ?P
proof (cases)
  assume  $k = 0$ 
  with  $Q$  show ?P by simp
next
  assume  $not0: k \neq 0$ 
  with  $Q$  have  $R: ?R$  by simp
  from  $not0$   $R$  [THEN spec, of  $n \text{ div } k$ , THEN spec, of  $n \text{ mod } k$ ]
  show ?P by simp
qed
qed

```

```

theorem mod-div-equality':  $(m::nat) \text{ mod } n = m - (m \text{ div } n) * n$ 
  apply (rule-tac  $P \Rightarrow \%x. m \text{ mod } n = x - (m \text{ div } n) * n$  in
    subst [OF mod-div-equality [of - n]])
  apply arith
done

```

```

lemma div-mod-equality':
  fixes  $m n :: nat$ 
  shows  $m \text{ div } n * n = m - m \text{ mod } n$ 
proof -
  have  $m \text{ mod } n \leq m \text{ mod } n ..$ 
  from div-mod-equality have
     $m \text{ div } n * n + m \text{ mod } n - m \text{ mod } n = m - m \text{ mod } n$  by simp
  with diff-add-assoc [OF  $\langle m \text{ mod } n \leq m \text{ mod } n \rangle$ , of  $m \text{ div } n * n$ ] have
     $m \text{ div } n * n + (m \text{ mod } n - m \text{ mod } n) = m - m \text{ mod } n$ 
  by simp
  then show ?thesis by simp
qed

```

### 26.3.7 An “induction” law for modulus arithmetic.

```

lemma mod-induct-0:
  assumes step:  $\forall i < p. P i \longrightarrow P ((\text{Suc } i) \text{ mod } p)$ 
  and base:  $P i$  and  $i: i < p$ 
  shows  $P 0$ 
proof (rule ccontr)
  assume contra:  $\neg(P 0)$ 
  from  $i$  have  $p: 0 < p$  by simp
  have  $\forall k. 0 < k \longrightarrow \neg P (p-k)$  (is  $\forall k. ?A k$ )
  proof
    fix  $k$ 
    show ?A  $k$ 
    proof (induct  $k$ )
      show ?A  $0$  by simp — by contradiction
    next
      fix  $n$ 
      assume ih: ?A  $n$ 

```

```

show ?A (Suc n)
proof (clarsimp)
  assume y: P (p - Suc n)
  have n: Suc n < p
  proof (rule ccontr)
    assume ¬(Suc n < p)
    hence p - Suc n = 0
    by simp
  with y contra show False
  by simp
qed
hence n2: Suc (p - Suc n) = p - n by arith
from p have p - Suc n < p by arith
with y step have z: P ((Suc (p - Suc n)) mod p)
  by blast
show False
proof (cases n=0)
  case True
    with z n2 contra show ?thesis by simp
  next
    case False
    with p have p - n < p by arith
    with z n2 False ih show ?thesis by simp
  qed
qed
qed
qed
moreover
from i obtain k where 0 < k ∧ i + k = p
  by (blast dest: less-imp-add-positive)
hence 0 < k ∧ i = p - k by auto
moreover
note base
ultimately
show False by blast
qed

lemma mod-induct:
  assumes step: ∀ i < p. P i ⟶ P ((Suc i) mod p)
  and base: P i and i: i < p and j: j < p
  shows P j
proof -
  have ∀ j < p. P j
  proof
    fix j
    show j < p ⟶ P j (is ?A j)
    proof (induct j)
      from step base i show ?A 0
      by (auto elim: mod-induct-0)
    qed
  qed

```

```

next
  fix k
  assume ih: ?A k
  show ?A (Suc k)
  proof
    assume suc: Suc k < p
    hence k: k < p by simp
    with ih have P k ..
    with step k have P (Suc k mod p)
      by blast
    moreover
    from suc have Suc k mod p = Suc k
      by simp
    ultimately
    show P (Suc k) by simp
  qed
qed
qed
with j show ?thesis by blast
qed

end

```

## 27 Plain: Plain HOL

```

theory Plain
imports Datatype FunDef Record Extraction Divides
begin

```

Plain bootstrap of fundamental HOL tools and packages; does not include *Hilbert-Choice*.

```
ML << path-add ~/src/HOL/Library >>
```

```
end
```

## References