

# The Supplemental Isabelle/HOL Library

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## 1 Implementation of Association Lists

```
theory AList
  imports Main
begin
```

```
context
begin
```

The operations preserve distinctness of keys and function *clearjunk* distributes over them. Since *clearjunk* enforces distinctness of keys it can be used to establish the invariant, e.g. for inductive proofs.

### 1.1 *update* and *updates*

```
qualified primrec update :: 'key ⇒ 'val ⇒ ('key × 'val) list ⇒ ('key × 'val) list
  where
```

```
  update k v [] = [(k, v)]
  | update k v (p # ps) = (if fst p = k then (k, v) # ps else p # update k v ps)
```

```
lemma update-conv': map-of (update k v al) = (map-of al)(k↦v)
  <proof>
```

```
corollary update-conv: map-of (update k v al) k' = ((map-of al)(k↦v)) k'
  <proof>
```

```
lemma dom-update: fst ` set (update k v al) = {k} ∪ fst ` set al
  <proof>
```

```
lemma update-keys:
  map fst (update k v al) =
    (if k ∈ set (map fst al) then map fst al else map fst al @ [k])
  <proof>
```

```
lemma distinct-update:
  assumes distinct (map fst al)
  shows distinct (map fst (update k v al))
  <proof>
```

```
lemma update-filter:
  a ≠ k ⇒ update k v [q←ps. fst q ≠ a] = [q←update k v ps. fst q ≠ a]
  <proof>
```

```
lemma update-triv: map-of al k = Some v ⇒ update k v al = al
  <proof>
```

```
lemma update-nonempty [simp]: update k v al ≠ []
  <proof>
```

**lemma** *update-eqD*:  $update\ k\ v\ al = update\ k\ v'\ al' \implies v = v'$   
 ⟨proof⟩

**lemma** *update-last* [simp]:  $update\ k\ v\ (update\ k\ v'\ al) = update\ k\ v\ al$   
 ⟨proof⟩

Note that the lists are not necessarily the same:  $update\ k\ v\ (update\ k'\ v'\ []) = [(k', v'), (k, v)]$  and  $update\ k'\ v'\ (update\ k\ v\ []) = [(k, v), (k', v')]$ .

**lemma** *update-swap*:  
 $k \neq k' \implies map-of\ (update\ k\ v\ (update\ k'\ v'\ al)) = map-of\ (update\ k'\ v'\ (update\ k\ v\ al))$   
 ⟨proof⟩

**lemma** *update-Some-unfold*:  
 $map-of\ (update\ k\ v\ al)\ x = Some\ y \iff$   
 $x = k \wedge v = y \vee x \neq k \wedge map-of\ al\ x = Some\ y$   
 ⟨proof⟩

**lemma** *image-update* [simp]:  $x \notin A \implies map-of\ (update\ x\ y\ al)\ `A = map-of\ al\ `A$   
 ⟨proof⟩ **definition** *updates* ::  
 $'key\ list \Rightarrow 'val\ list \Rightarrow ('key \times 'val)\ list \Rightarrow ('key \times 'val)\ list$   
**where**  $updates\ ks\ vs = fold\ (case-prod\ update)\ (zip\ ks\ vs)$

**lemma** *updates-simps* [simp]:  
 $updates\ []\ vs\ ps = ps$   
 $updates\ ks\ []\ ps = ps$   
 $updates\ (k\ \#\ ks)\ (v\ \#\ vs)\ ps = updates\ ks\ vs\ (update\ k\ v\ ps)$   
 ⟨proof⟩

**lemma** *updates-key-simp* [simp]:  
 $updates\ (k\ \#\ ks)\ vs\ ps =$   
 $(case\ vs\ of\ [] \Rightarrow ps \mid v\ \#\ vs \Rightarrow updates\ ks\ vs\ (update\ k\ v\ ps))$   
 ⟨proof⟩

**lemma** *updates-conv'*:  $map-of\ (updates\ ks\ vs\ al) = (map-of\ al)(ks[\mapsto]vs)$   
 ⟨proof⟩

**lemma** *updates-conv*:  $map-of\ (updates\ ks\ vs\ al)\ k = ((map-of\ al)(ks[\mapsto]vs))\ k$   
 ⟨proof⟩

**lemma** *distinct-updates*:  
**assumes**  $distinct\ (map\ fst\ al)$   
**shows**  $distinct\ (map\ fst\ (updates\ ks\ vs\ al))$   
 ⟨proof⟩

**lemma** *updates-append1* [simp]:  $size\ ks < size\ vs \implies$   
 $updates\ (ks@[k])\ vs\ al = update\ k\ (vs!\ size\ ks)\ (updates\ ks\ vs\ al)$   
 ⟨proof⟩

**lemma** *updates-list-update-drop* [simp]:  
 $size\ ks \leq i \implies i < size\ vs \implies$   
 $updates\ ks\ (vs[i:=v])\ al = updates\ ks\ vs\ al$   
 ⟨proof⟩

**lemma** *update-updates-conv-if*:  
 $map-of\ (updates\ xs\ ys\ (update\ x\ y\ al)) =$   
 $map-of$   
 $(if\ x \in set\ (take\ (length\ ys)\ xs)$   
 $then\ updates\ xs\ ys\ al$   
 $else\ (update\ x\ y\ (updates\ xs\ ys\ al)))$   
 ⟨proof⟩

**lemma** *updates-twist* [simp]:  
 $k \notin set\ ks \implies$   
 $map-of\ (updates\ ks\ vs\ (update\ k\ v\ al)) = map-of\ (update\ k\ v\ (updates\ ks\ vs\ al))$   
 ⟨proof⟩

**lemma** *updates-apply-notin* [simp]:  
 $k \notin set\ ks \implies map-of\ (updates\ ks\ vs\ al)\ k = map-of\ al\ k$   
 ⟨proof⟩

**lemma** *updates-append-drop* [simp]:  
 $size\ xs = size\ ys \implies updates\ (xs\ @\ zs)\ ys\ al = updates\ xs\ ys\ al$   
 ⟨proof⟩

**lemma** *updates-append2-drop* [simp]:  
 $size\ xs = size\ ys \implies updates\ xs\ (ys\ @\ zs)\ al = updates\ xs\ ys\ al$   
 ⟨proof⟩

## 1.2 delete

**qualified definition** *delete* :: 'key  $\Rightarrow$  ('key  $\times$  'val) list  $\Rightarrow$  ('key  $\times$  'val) list  
**where** *delete-eq*:  $delete\ k = filter\ (\lambda(k', -). k \neq k')$

**lemma** *delete-simps* [simp]:  
 $delete\ k\ [] = []$   
 $delete\ k\ (p \# ps) = (if\ fst\ p = k\ then\ delete\ k\ ps\ else\ p \# delete\ k\ ps)$   
 ⟨proof⟩

**lemma** *delete-conv'*:  $map-of\ (delete\ k\ al) = (map-of\ al)(k := None)$   
 ⟨proof⟩

**corollary** *delete-conv*:  $map-of\ (delete\ k\ al)\ k' = ((map-of\ al)(k := None))\ k'$   
 ⟨proof⟩

**lemma** *delete-keys*:  $map\ fst\ (delete\ k\ al) = removeAll\ k\ (map\ fst\ al)$   
 ⟨proof⟩

**lemma** *distinct-delete*:

**assumes** *distinct* (*map fst al*)  
**shows** *distinct* (*map fst (delete k al)*)  
 ⟨*proof*⟩

**lemma** *delete-id* [*simp*]:  $k \notin \text{fst } \text{'set } al \implies \text{delete } k \text{ } al = al$   
 ⟨*proof*⟩

**lemma** *delete-idem*:  $\text{delete } k \text{ } (\text{delete } k \text{ } al) = \text{delete } k \text{ } al$   
 ⟨*proof*⟩

**lemma** *map-of-delete* [*simp*]:  $k' \neq k \implies \text{map-of } (\text{delete } k \text{ } al) \text{ } k' = \text{map-of } al \text{ } k'$   
 ⟨*proof*⟩

**lemma** *delete-notin-dom*:  $k \notin \text{fst } \text{'set } (\text{delete } k \text{ } al)$   
 ⟨*proof*⟩

**lemma** *dom-delete-subset*:  $\text{fst } \text{'set } (\text{delete } k \text{ } al) \subseteq \text{fst } \text{'set } al$   
 ⟨*proof*⟩

**lemma** *delete-update-same*:  $\text{delete } k \text{ } (\text{update } k \text{ } v \text{ } al) = \text{delete } k \text{ } al$   
 ⟨*proof*⟩

**lemma** *delete-update*:  $k \neq l \implies \text{delete } l \text{ } (\text{update } k \text{ } v \text{ } al) = \text{update } k \text{ } v \text{ } (\text{delete } l \text{ } al)$   
 ⟨*proof*⟩

**lemma** *delete-twist*:  $\text{delete } x \text{ } (\text{delete } y \text{ } al) = \text{delete } y \text{ } (\text{delete } x \text{ } al)$   
 ⟨*proof*⟩

**lemma** *length-delete-le*:  $\text{length } (\text{delete } k \text{ } al) \leq \text{length } al$   
 ⟨*proof*⟩

### 1.3 *update-with-aux* and *delete-aux*

**qualified primrec** *update-with-aux* ::

$'val \Rightarrow 'key \Rightarrow ('val \Rightarrow 'val) \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$

**where**

$\text{update-with-aux } v \text{ } k \text{ } f \text{ } [] = [(k, f v)]$

$| \text{update-with-aux } v \text{ } k \text{ } f \text{ } (p \# ps) =$

$(\text{if } (\text{fst } p = k) \text{ then } (k, f (\text{snd } p)) \# ps \text{ else } p \# \text{update-with-aux } v \text{ } k \text{ } f \text{ } ps)$

The above *delete* traverses all the list even if it has found the key. This one does not have to keep going because it assumes the invariant that keys are distinct.

**qualified fun** *delete-aux* ::  $'key \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$

**where**

$\text{delete-aux } k \text{ } [] = []$

$| \text{delete-aux } k \text{ } ((k', v) \# xs) = (\text{if } k = k' \text{ then } xs \text{ else } (k', v) \# \text{delete-aux } k \text{ } xs)$

**lemma** *map-of-update-with-aux'*:

$$\begin{aligned} & \text{map-of } (\text{update-with-aux } v \ k \ f \ ps) \ k' = \\ & ((\text{map-of } ps)(k \mapsto (\text{case map-of } ps \ k \ \text{of } \text{None} \Rightarrow f \ v \ | \ \text{Some } v \Rightarrow f \ v))) \ k' \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *map-of-update-with-aux*:

$$\begin{aligned} & \text{map-of } (\text{update-with-aux } v \ k \ f \ ps) = \\ & (\text{map-of } ps)(k \mapsto (\text{case map-of } ps \ k \ \text{of } \text{None} \Rightarrow f \ v \ | \ \text{Some } v \Rightarrow f \ v)) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *dom-update-with-aux*:  $\text{fst } ' \ \text{set } (\text{update-with-aux } v \ k \ f \ ps) = \{k\} \cup \text{fst } ' \ \text{set } ps$

$\langle \text{proof} \rangle$

**lemma** *distinct-update-with-aux* [*simp*]:

$$\begin{aligned} & \text{distinct } (\text{map } \text{fst } (\text{update-with-aux } v \ k \ f \ ps)) = \text{distinct } (\text{map } \text{fst } ps) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *set-update-with-aux*:

$$\begin{aligned} & \text{distinct } (\text{map } \text{fst } xs) \Longrightarrow \\ & \text{set } (\text{update-with-aux } v \ k \ f \ xs) = \\ & (\text{set } xs - \{k\} \times \text{UNIV} \cup \{(k, f \ (\text{case map-of } xs \ k \ \text{of } \text{None} \Rightarrow v \ | \ \text{Some } v \Rightarrow v))\}) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *set-delete-aux*:  $\text{distinct } (\text{map } \text{fst } xs) \Longrightarrow \text{set } (\text{delete-aux } k \ xs) = \text{set } xs - \{k\} \times \text{UNIV}$

$\langle \text{proof} \rangle$

**lemma** *dom-delete-aux*:  $\text{distinct } (\text{map } \text{fst } ps) \Longrightarrow \text{fst } ' \ \text{set } (\text{delete-aux } k \ ps) = \text{fst } ' \ \text{set } ps - \{k\}$

$\langle \text{proof} \rangle$

**lemma** *distinct-delete-aux* [*simp*]:  $\text{distinct } (\text{map } \text{fst } ps) \Longrightarrow \text{distinct } (\text{map } \text{fst } (\text{delete-aux } k \ ps))$

$\langle \text{proof} \rangle$

**lemma** *map-of-delete-aux'*:

$$\begin{aligned} & \text{distinct } (\text{map } \text{fst } xs) \Longrightarrow \text{map-of } (\text{delete-aux } k \ xs) = (\text{map-of } xs)(k := \text{None}) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *map-of-delete-aux*:

$$\begin{aligned} & \text{distinct } (\text{map } \text{fst } xs) \Longrightarrow \text{map-of } (\text{delete-aux } k \ xs) \ k' = ((\text{map-of } xs)(k := \text{None})) \\ & \ k' \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *delete-aux-eq-Nil-conv*:  $\text{delete-aux } k \ ts = [] \longleftrightarrow ts = [] \vee (\exists v. ts = [(k, v)])$

*<proof>*

#### 1.4 restrict

**qualified definition**  $restrict :: 'key\ set \Rightarrow ('key \times 'val)\ list \Rightarrow ('key \times 'val)\ list$   
**where**  $restrict\text{-}eq: restrict\ A = filter\ (\lambda(k, v). k \in A)$

**lemma**  $restr\text{-}simps$  [simp]:

$restrict\ A\ [] = []$

$restrict\ A\ (p\#\!ps) = (if\ fst\ p \in A\ then\ p\ \#\! restrict\ A\ ps\ else\ restrict\ A\ ps)$

*<proof>*

**lemma**  $restr\text{-}conv'$ :  $map\text{-}of\ (restrict\ A\ al) = ((map\text{-}of\ al)|^{\!A})$

*<proof>*

**corollary**  $restr\text{-}conv$ :  $map\text{-}of\ (restrict\ A\ al)\ k = ((map\text{-}of\ al)|^{\!A})\ k$

*<proof>*

**lemma**  $distinct\text{-}restr$ :  $distinct\ (map\ fst\ al) \Longrightarrow distinct\ (map\ fst\ (restrict\ A\ al))$

*<proof>*

**lemma**  $restr\text{-}empty$  [simp]:

$restrict\ \{\}\ al = []$

$restrict\ A\ [] = []$

*<proof>*

**lemma**  $restr\text{-}in$  [simp]:  $x \in A \Longrightarrow map\text{-}of\ (restrict\ A\ al)\ x = map\text{-}of\ al\ x$

*<proof>*

**lemma**  $restr\text{-}out$  [simp]:  $x \notin A \Longrightarrow map\text{-}of\ (restrict\ A\ al)\ x = None$

*<proof>*

**lemma**  $dom\text{-}restr$  [simp]:  $fst\ ^{\!set}\ (restrict\ A\ al) = fst\ ^{\!set}\ al \cap A$

*<proof>*

**lemma**  $restr\text{-}upd\text{-}same$  [simp]:  $restrict\ (-\{x\})\ (update\ x\ y\ al) = restrict\ (-\{x\})\ al$

*<proof>*

**lemma**  $restr\text{-}restr$  [simp]:  $restrict\ A\ (restrict\ B\ al) = restrict\ (A \cap B)\ al$

*<proof>*

**lemma**  $restr\text{-}update$ [simp]:

$map\text{-}of\ (restrict\ D\ (update\ x\ y\ al)) =$

$map\text{-}of\ ((if\ x \in D\ then\ (update\ x\ y\ (restrict\ (D - \{x\})\ al))\ else\ restrict\ D\ al))$

*<proof>*

**lemma**  $restr\text{-}delete$  [simp]:

$delete\ x\ (restrict\ D\ al) = (if\ x \in D\ then\ restrict\ (D - \{x\})\ al\ else\ restrict\ D\ al)$

*<proof>*

**lemma** *update-restr*:

$\text{map-of } (\text{update } x \ y \ (\text{restrict } D \ al)) = \text{map-of } (\text{update } x \ y \ (\text{restrict } (D - \{x\}) \ al))$

*<proof>*

**lemma** *update-restr-conv* [*simp*]:

$x \in D \implies$

$\text{map-of } (\text{update } x \ y \ (\text{restrict } D \ al)) = \text{map-of } (\text{update } x \ y \ (\text{restrict } (D - \{x\}) \ al))$

*<proof>*

**lemma** *restr-updates* [*simp*]:

$\text{length } xs = \text{length } ys \implies \text{set } xs \subseteq D \implies$

$\text{map-of } (\text{restrict } D \ (\text{updates } xs \ ys \ al)) =$   
 $\text{map-of } (\text{updates } xs \ ys \ (\text{restrict } (D - \text{set } xs) \ al))$

*<proof>*

**lemma** *restr-delete-twist*:  $(\text{restrict } A \ (\text{delete } a \ ps)) = \text{delete } a \ (\text{restrict } A \ ps)$

*<proof>*

## 1.5 clearjunk

**qualified function** *clearjunk* ::  $('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$

**where**

$\text{clearjunk } [] = []$

|  $\text{clearjunk } (p \# ps) = p \# \text{clearjunk } (\text{delete } (\text{fst } p) \ ps)$

*<proof>*

**termination**

*<proof>*

**lemma** *map-of-clearjunk*:  $\text{map-of } (\text{clearjunk } al) = \text{map-of } al$

*<proof>*

**lemma** *clearjunk-keys-set*:  $\text{set } (\text{map } \text{fst } (\text{clearjunk } al)) = \text{set } (\text{map } \text{fst } al)$

*<proof>*

**lemma** *dom-clearjunk*:  $\text{fst } ' \text{set } (\text{clearjunk } al) = \text{fst } ' \text{set } al$

*<proof>*

**lemma** *distinct-clearjunk* [*simp*]:  $\text{distinct } (\text{map } \text{fst } (\text{clearjunk } al))$

*<proof>*

**lemma** *ran-clearjunk*:  $\text{ran } (\text{map-of } (\text{clearjunk } al)) = \text{ran } (\text{map-of } al)$

*<proof>*

**lemma** *ran-map-of*:  $\text{ran } (\text{map-of } al) = \text{snd } ' \text{set } (\text{clearjunk } al)$

*<proof>*



**lemma** *clearjunk-update*:  $\text{clearjunk } (\text{update } k \ v \ al) = \text{update } k \ v \ (\text{clearjunk } al)$   
 ⟨proof⟩

**lemma** *clearjunk-updates*:  $\text{clearjunk } (\text{updates } ks \ vs \ al) = \text{updates } ks \ vs \ (\text{clearjunk } al)$   
 ⟨proof⟩

**lemma** *clearjunk-delete*:  $\text{clearjunk } (\text{delete } x \ al) = \text{delete } x \ (\text{clearjunk } al)$   
 ⟨proof⟩

**lemma** *clearjunk-restrict*:  $\text{clearjunk } (\text{restrict } A \ al) = \text{restrict } A \ (\text{clearjunk } al)$   
 ⟨proof⟩

**lemma** *distinct-clearjunk-id* [simp]:  $\text{distinct } (\text{map } \text{fst } al) \implies \text{clearjunk } al = al$   
 ⟨proof⟩

**lemma** *clearjunk-idem*:  $\text{clearjunk } (\text{clearjunk } al) = \text{clearjunk } al$   
 ⟨proof⟩

**lemma** *length-clearjunk*:  $\text{length } (\text{clearjunk } al) \leq \text{length } al$   
 ⟨proof⟩

**lemma** *delete-map*:  
 assumes  $\bigwedge kv. \text{fst } (f \ kv) = \text{fst } kv$   
 shows  $\text{delete } k \ (\text{map } f \ ps) = \text{map } f \ (\text{delete } k \ ps)$   
 ⟨proof⟩

**lemma** *clearjunk-map*:  
 assumes  $\bigwedge kv. \text{fst } (f \ kv) = \text{fst } kv$   
 shows  $\text{clearjunk } (\text{map } f \ ps) = \text{map } f \ (\text{clearjunk } ps)$   
 ⟨proof⟩

## 1.6 map-ran

**definition** *map-ran* ::  $(\text{'key} \Rightarrow \text{'val} \Rightarrow \text{'val}) \Rightarrow (\text{'key} \times \text{'val}) \text{ list} \Rightarrow (\text{'key} \times \text{'val}) \text{ list}$   
 where  $\text{map-ran } f = \text{map } (\lambda(k, v). (k, f \ k \ v))$

**lemma** *map-ran-simps* [simp]:  
 $\text{map-ran } f \ [] = []$   
 $\text{map-ran } f \ ((k, v) \# ps) = (k, f \ k \ v) \# \text{map-ran } f \ ps$   
 ⟨proof⟩

**lemma** *dom-map-ran*:  $\text{fst } \text{'set } (\text{map-ran } f \ al) = \text{fst } \text{'set } al$   
 ⟨proof⟩

**lemma** *map-ran-conv*:  $\text{map-of } (\text{map-ran } f \ al) \ k = \text{map-option } (f \ k) \ (\text{map-of } al \ k)$   
 ⟨proof⟩

**lemma** *distinct-map-ran*:  $\text{distinct } (\text{map } \text{fst } al) \implies \text{distinct } (\text{map } \text{fst } (\text{map-ran } f al))$   
 ⟨proof⟩

**lemma** *map-ran-filter*:  $\text{map-ran } f [p \leftarrow ps. \text{fst } p \neq a] = [p \leftarrow \text{map-ran } f ps. \text{fst } p \neq a]$   
 ⟨proof⟩

**lemma** *clearjunk-map-ran*:  $\text{clearjunk } (\text{map-ran } f al) = \text{map-ran } f (\text{clearjunk } al)$   
 ⟨proof⟩

## 1.7 merge

**qualified definition** *merge* ::  $('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$   
 where  $\text{merge } qs ps = \text{foldr } (\lambda(k, v). \text{update } k v) ps qs$

**lemma** *merge-simps* [simp]:  
 $\text{merge } qs [] = qs$   
 $\text{merge } qs (p \# ps) = \text{update } (\text{fst } p) (\text{snd } p) (\text{merge } qs ps)$   
 ⟨proof⟩

**lemma** *merge-updates*:  $\text{merge } qs ps = \text{updates } (\text{rev } (\text{map } \text{fst } ps)) (\text{rev } (\text{map } \text{snd } ps)) qs$   
 ⟨proof⟩

**lemma** *dom-merge*:  $\text{fst } \text{' set } (\text{merge } xs ys) = \text{fst } \text{' set } xs \cup \text{fst } \text{' set } ys$   
 ⟨proof⟩

**lemma** *distinct-merge*:  $\text{distinct } (\text{map } \text{fst } xs) \implies \text{distinct } (\text{map } \text{fst } (\text{merge } xs ys))$   
 ⟨proof⟩

**lemma** *clearjunk-merge*:  $\text{clearjunk } (\text{merge } xs ys) = \text{merge } (\text{clearjunk } xs) ys$   
 ⟨proof⟩

**lemma** *merge-conv'*:  $\text{map-of } (\text{merge } xs ys) = \text{map-of } xs ++ \text{map-of } ys$   
 ⟨proof⟩

**corollary** *merge-conv*:  $\text{map-of } (\text{merge } xs ys) k = (\text{map-of } xs ++ \text{map-of } ys) k$   
 ⟨proof⟩

**lemma** *merge-empty*:  $\text{map-of } (\text{merge } [] ys) = \text{map-of } ys$   
 ⟨proof⟩

**lemma** *merge-assoc* [simp]:  $\text{map-of } (\text{merge } m1 (\text{merge } m2 m3)) = \text{map-of } (\text{merge } (\text{merge } m1 m2) m3)$   
 ⟨proof⟩

**lemma** *merge-Some-iff*:

$$\begin{aligned} \text{map-of } (\text{merge } m \ n) \ k = \text{Some } x &\longleftrightarrow \\ \text{map-of } n \ k = \text{Some } x \vee \text{map-of } n \ k = \text{None} \wedge \text{map-of } m \ k = \text{Some } x & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemmas** *merge-SomeD* [*dest!*] = *merge-Some-iff* [*THEN iffD1*]

**lemma** *merge-find-right* [*simp*]:  $\text{map-of } n \ k = \text{Some } v \implies \text{map-of } (\text{merge } m \ n) \ k = \text{Some } v$   
 $\langle \text{proof} \rangle$

**lemma** *merge-None* [*iff*]:  $(\text{map-of } (\text{merge } m \ n) \ k = \text{None}) = (\text{map-of } n \ k = \text{None} \wedge \text{map-of } m \ k = \text{None})$   
 $\langle \text{proof} \rangle$

**lemma** *merge-upd* [*simp*]:  $\text{map-of } (\text{merge } m \ (\text{update } k \ v \ n)) = \text{map-of } (\text{update } k \ v \ (\text{merge } m \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *merge-updatess* [*simp*]:  
 $\text{map-of } (\text{merge } m \ (\text{updates } xs \ ys \ n)) = \text{map-of } (\text{updates } xs \ ys \ (\text{merge } m \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *merge-append*:  $\text{map-of } (xs \ @ \ ys) = \text{map-of } (\text{merge } ys \ xs)$   
 $\langle \text{proof} \rangle$

## 1.8 compose

**qualified function** *compose* :: ('key × 'a) list ⇒ ('a × 'b) list ⇒ ('key × 'b) list  
**where**

$$\begin{aligned} \text{compose } [] \ ys &= [] \\ | \text{compose } (x \ # \ xs) \ ys &= \\ & \quad (\text{case } \text{map-of } ys \ (\text{snd } x) \ \text{of} \\ & \quad \quad \text{None} \Rightarrow \text{compose } (\text{delete } (\text{fst } x) \ xs) \ ys \\ & \quad | \text{Some } v \Rightarrow (\text{fst } x, v) \ # \ \text{compose } xs \ ys) \\ \langle \text{proof} \rangle & \end{aligned}$$

**termination**  
 $\langle \text{proof} \rangle$

**lemma** *compose-first-None* [*simp*]:  $\text{map-of } xs \ k = \text{None} \implies \text{map-of } (\text{compose } xs \ ys) \ k = \text{None}$   
 $\langle \text{proof} \rangle$

**lemma** *compose-conv*:  $\text{map-of } (\text{compose } xs \ ys) \ k = (\text{map-of } ys \ \circ_m \ \text{map-of } xs) \ k$   
 $\langle \text{proof} \rangle$

**lemma** *compose-conv'*:  $\text{map-of } (\text{compose } xs \ ys) = (\text{map-of } ys \ \circ_m \ \text{map-of } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *compose-first-Some* [simp]:  $\text{map-of } xs \ k = \text{Some } v \implies \text{map-of } (\text{compose } xs \ ys) \ k = \text{map-of } ys \ v$   
 ⟨proof⟩

**lemma** *dom-compose*:  $\text{fst } \text{'set } (\text{compose } xs \ ys) \subseteq \text{fst } \text{'set } xs$   
 ⟨proof⟩

**lemma** *distinct-compose*:  
**assumes** *distinct* ( $\text{map } \text{fst } xs$ )  
**shows** *distinct* ( $\text{map } \text{fst } (\text{compose } xs \ ys)$ )  
 ⟨proof⟩

**lemma** *compose-delete-twist*:  $\text{compose } (\text{delete } k \ xs) \ ys = \text{delete } k \ (\text{compose } xs \ ys)$   
 ⟨proof⟩

**lemma** *compose-clearjunk*:  $\text{compose } xs \ (\text{clearjunk } ys) = \text{compose } xs \ ys$   
 ⟨proof⟩

**lemma** *clearjunk-compose*:  $\text{clearjunk } (\text{compose } xs \ ys) = \text{compose } (\text{clearjunk } xs) \ ys$   
 ⟨proof⟩

**lemma** *compose-empty* [simp]:  $\text{compose } xs \ [] = []$   
 ⟨proof⟩

**lemma** *compose-Some-iff*:  
 $\text{map-of } (\text{compose } xs \ ys) \ k = \text{Some } v \iff$   
 $(\exists k'. \text{map-of } xs \ k = \text{Some } k' \wedge \text{map-of } ys \ k' = \text{Some } v)$   
 ⟨proof⟩

**lemma** *map-comp-None-iff*:  
 $\text{map-of } (\text{compose } xs \ ys) \ k = \text{None} \iff$   
 $(\text{map-of } xs \ k = \text{None} \vee (\exists k'. \text{map-of } xs \ k = \text{Some } k' \wedge \text{map-of } ys \ k' = \text{None}))$   
 ⟨proof⟩

## 1.9 map-entry

**qualified fun** *map-entry* ::  $\text{'key} \Rightarrow (\text{'val} \Rightarrow \text{'val}) \Rightarrow (\text{'key} \times \text{'val}) \text{ list} \Rightarrow (\text{'key} \times \text{'val}) \text{ list}$

**where**

$\text{map-entry } k \ f \ [] = []$   
 $|\ \text{map-entry } k \ f \ (p \ \# \ ps) =$   
 $(\text{if } \text{fst } p = k \ \text{then } (k, f \ (\text{snd } p)) \ \# \ ps \ \text{else } p \ \# \ \text{map-entry } k \ f \ ps)$

**lemma** *map-of-map-entry*:  
 $\text{map-of } (\text{map-entry } k \ f \ xs) =$   
 $(\text{map-of } xs)(k := \text{case } \text{map-of } xs \ k \ \text{of } \text{None} \Rightarrow \text{None} \ | \ \text{Some } v' \Rightarrow \text{Some } (f \ v'))$   
 ⟨proof⟩

**lemma** *dom-map-entry*:  $\text{fst } \text{'set } (\text{map-entry } k \ f \ xs) = \text{fst } \text{'set } xs$

*<proof>*

**lemma** *distinct-map-entry*:  
**assumes** *distinct (map fst xs)*  
**shows** *distinct (map fst (map-entry k f xs))*  
*<proof>*

### 1.10 map-default

**fun** *map-default* :: 'key  $\Rightarrow$  'val  $\Rightarrow$  ('val  $\Rightarrow$  'val)  $\Rightarrow$  ('key  $\times$  'val) list  $\Rightarrow$  ('key  $\times$  'val) list  
**where**  
*map-default k v f [] = [(k, v)]*  
*| map-default k v f (p # ps) =*  
*(if fst p = k then (k, f (snd p)) # ps else p # map-default k v f ps)*

**lemma** *map-of-map-default*:  
*map-of (map-default k v f xs) =*  
*(map-of xs)(k := case map-of xs k of None  $\Rightarrow$  Some v | Some v'  $\Rightarrow$  Some (f v'))*  
*<proof>*

**lemma** *dom-map-default*: *fst ` set (map-default k v f xs) = insert k (fst ` set xs)*  
*<proof>*

**lemma** *distinct-map-default*:  
**assumes** *distinct (map fst xs)*  
**shows** *distinct (map fst (map-default k v f xs))*  
*<proof>*

**end**

**end**

## 2 Pointwise instantiation of functions to algebra type classes

**theory** *Function-Algebras*  
**imports** *Main*  
**begin**

Pointwise operations

**instantiation** *fun* :: (*type*, *plus*) *plus*  
**begin**

**definition** *f + g = ( $\lambda x. f x + g x$ )*  
**instance** *<proof>*

**end**

**lemma** *plus-fun-apply* [*simp*]:  
 $(f + g) x = f x + g x$   
 ⟨*proof*⟩

**instantiation** *fun* :: (*type*, *zero*) *zero*  
**begin**

**definition**  $0 = (\lambda x. 0)$   
**instance** ⟨*proof*⟩

**end**

**lemma** *zero-fun-apply* [*simp*]:  
 $0 x = 0$   
 ⟨*proof*⟩

**instantiation** *fun* :: (*type*, *times*) *times*  
**begin**

**definition**  $f * g = (\lambda x. f x * g x)$   
**instance** ⟨*proof*⟩

**end**

**lemma** *times-fun-apply* [*simp*]:  
 $(f * g) x = f x * g x$   
 ⟨*proof*⟩

**instantiation** *fun* :: (*type*, *one*) *one*  
**begin**

**definition**  $1 = (\lambda x. 1)$   
**instance** ⟨*proof*⟩

**end**

**lemma** *one-fun-apply* [*simp*]:  
 $1 x = 1$   
 ⟨*proof*⟩

Additive structures

**instance** *fun* :: (*type*, *semigroup-add*) *semigroup-add*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *cancel-semigroup-add*) *cancel-semigroup-add*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *ab-semigroup-add*) *ab-semigroup-add*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *cancel-ab-semigroup-add*) *cancel-ab-semigroup-add*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *monoid-add*) *monoid-add*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *comm-monoid-add*) *comm-monoid-add*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *cancel-comm-monoid-add*) *cancel-comm-monoid-add* ⟨*proof*⟩

**instance** *fun* :: (*type*, *group-add*) *group-add*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *ab-group-add*) *ab-group-add*  
 ⟨*proof*⟩

Multiplicative structures

**instance** *fun* :: (*type*, *semigroup-mult*) *semigroup-mult*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *ab-semigroup-mult*) *ab-semigroup-mult*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *monoid-mult*) *monoid-mult*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *comm-monoid-mult*) *comm-monoid-mult*  
 ⟨*proof*⟩

Misc

**instance** *fun* :: (*type*, *Rings.dvd*) *Rings.dvd* ⟨*proof*⟩

**instance** *fun* :: (*type*, *mult-zero*) *mult-zero*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *zero-neq-one*) *zero-neq-one*  
 ⟨*proof*⟩

Ring structures

**instance** *fun* :: (*type*, *semiring*) *semiring*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *comm-semiring*) *comm-semiring*  
 ⟨*proof*⟩

**instance** *fun* :: (*type*, *semiring-0*) *semiring-0* ⟨*proof*⟩  
**instance** *fun* :: (*type*, *comm-semiring-0*) *comm-semiring-0* ⟨*proof*⟩  
**instance** *fun* :: (*type*, *semiring-0-cancel*) *semiring-0-cancel* ⟨*proof*⟩  
**instance** *fun* :: (*type*, *comm-semiring-0-cancel*) *comm-semiring-0-cancel* ⟨*proof*⟩  
**instance** *fun* :: (*type*, *semiring-1*) *semiring-1* ⟨*proof*⟩  
**lemma** *of-nat-fun*: *of-nat* *n* = ( $\lambda x::'a.$  *of-nat* *n*)  
 ⟨*proof*⟩  
**lemma** *of-nat-fun-apply* [*simp*]:  
   *of-nat* *n* *x* = *of-nat* *n*  
 ⟨*proof*⟩  
**instance** *fun* :: (*type*, *comm-semiring-1*) *comm-semiring-1* ⟨*proof*⟩  
**instance** *fun* :: (*type*, *semiring-1-cancel*) *semiring-1-cancel* ⟨*proof*⟩  
**instance** *fun* :: (*type*, *comm-semiring-1-cancel*) *comm-semiring-1-cancel*  
 ⟨*proof*⟩  
**instance** *fun* :: (*type*, *semiring-char-0*) *semiring-char-0*  
 ⟨*proof*⟩  
**instance** *fun* :: (*type*, *ring*) *ring* ⟨*proof*⟩  
**instance** *fun* :: (*type*, *comm-ring*) *comm-ring* ⟨*proof*⟩  
**instance** *fun* :: (*type*, *ring-1*) *ring-1* ⟨*proof*⟩  
**instance** *fun* :: (*type*, *comm-ring-1*) *comm-ring-1* ⟨*proof*⟩  
**instance** *fun* :: (*type*, *ring-char-0*) *ring-char-0* ⟨*proof*⟩  
   Ordered structures  
**instance** *fun* :: (*type*, *ordered-ab-semigroup-add*) *ordered-ab-semigroup-add*  
 ⟨*proof*⟩  
**instance** *fun* :: (*type*, *ordered-cancel-ab-semigroup-add*) *ordered-cancel-ab-semigroup-add*  
 ⟨*proof*⟩  
**instance** *fun* :: (*type*, *ordered-ab-semigroup-add-imp-le*) *ordered-ab-semigroup-add-imp-le*  
 ⟨*proof*⟩  
**instance** *fun* :: (*type*, *ordered-comm-monoid-add*) *ordered-comm-monoid-add* ⟨*proof*⟩



```
instance fun :: (type, ordered-cancel-comm-monoid-add) ordered-cancel-comm-monoid-add
⟨proof⟩
```

```
instance fun :: (type, ordered-ab-group-add) ordered-ab-group-add ⟨proof⟩
```

```
instance fun :: (type, ordered-semiring) ordered-semiring
⟨proof⟩
```

```
instance fun :: (type, dioid) dioid
⟨proof⟩
```

```
instance fun :: (type, ordered-comm-semiring) ordered-comm-semiring
⟨proof⟩
```

```
instance fun :: (type, ordered-cancel-semiring) ordered-cancel-semiring ⟨proof⟩
```

```
instance fun :: (type, ordered-cancel-comm-semiring) ordered-cancel-comm-semiring
⟨proof⟩
```

```
instance fun :: (type, ordered-ring) ordered-ring ⟨proof⟩
```

```
instance fun :: (type, ordered-comm-ring) ordered-comm-ring ⟨proof⟩
```

```
lemmas func-plus = plus-fun-def
```

```
lemmas func-zero = zero-fun-def
```

```
lemmas func-times = times-fun-def
```

```
lemmas func-one = one-fun-def
```

```
end
```

### 3 Algebraic operations on sets

```
theory Set-Algebras
```

```
  imports Main
```

```
begin
```

This library lifts operations like addition and multiplication to sets. It was designed to support asymptotic calculations. See the comments at the top of `Big0.thy`.

```
instantiation set :: (plus) plus
```

```
begin
```

```
definition plus-set :: 'a::plus set ⇒ 'a set ⇒ 'a set
```

```
  where set-plus-def: A + B = {c. ∃ a∈A. ∃ b∈B. c = a + b}
```

```
instance ⟨proof⟩
```

```
end
```

**instantiation** *set* :: (*times*) *times*

**begin**

**definition** *times-set* :: '*a*::*times set* ⇒ '*a set* ⇒ '*a set*

**where** *set-times-def*:  $A * B = \{c. \exists a \in A. \exists b \in B. c = a * b\}$

**instance** ⟨*proof*⟩

**end**

**instantiation** *set* :: (*zero*) *zero*

**begin**

**definition** *set-zero[simp]*: ( $0::'a::zero\ set$ ) =  $\{0\}$

**instance** ⟨*proof*⟩

**end**

**instantiation** *set* :: (*one*) *one*

**begin**

**definition** *set-one[simp]*: ( $1::'a::one\ set$ ) =  $\{1\}$

**instance** ⟨*proof*⟩

**end**

**definition** *elt-set-plus* :: '*a*::*plus* ⇒ '*a set* ⇒ '*a set* (**infixl** *+o* 70)

**where**  $a +_o B = \{c. \exists b \in B. c = a + b\}$

**definition** *elt-set-times* :: '*a*::*times* ⇒ '*a set* ⇒ '*a set* (**infixl** *\*o* 80)

**where**  $a *_o B = \{c. \exists b \in B. c = a * b\}$

**abbreviation** (*input*) *elt-set-eq* :: '*a* ⇒ '*a set* ⇒ *bool* (**infix** *=o* 50)

**where**  $x =_o A \equiv x \in A$

**instance** *set* :: (*semigroup-add*) *semigroup-add*

⟨*proof*⟩

**instance** *set* :: (*ab-semigroup-add*) *ab-semigroup-add*

⟨*proof*⟩

**instance** *set* :: (*monoid-add*) *monoid-add*

⟨*proof*⟩

**instance** *set* :: (*comm-monoid-add*) *comm-monoid-add*

⟨*proof*⟩

**instance** *set* :: (*semigroup-mult*) *semigroup-mult*  
 ⟨*proof*⟩

**instance** *set* :: (*ab-semigroup-mult*) *ab-semigroup-mult*  
 ⟨*proof*⟩

**instance** *set* :: (*monoid-mult*) *monoid-mult*  
 ⟨*proof*⟩

**instance** *set* :: (*comm-monoid-mult*) *comm-monoid-mult*  
 ⟨*proof*⟩

**lemma** *set-plus-intro* [*intro*]:  $a \in C \implies b \in D \implies a + b \in C + D$   
 ⟨*proof*⟩

**lemma** *set-plus-elim*:  
**assumes**  $x \in A + B$   
**obtains**  $a\ b$  **where**  $x = a + b$  **and**  $a \in A$  **and**  $b \in B$   
 ⟨*proof*⟩

**lemma** *set-plus-intro2* [*intro*]:  $b \in C \implies a + b \in a + o\ C$   
 ⟨*proof*⟩

**lemma** *set-plus-rearrange*:  $(a + o\ C) + (b + o\ D) = (a + b) + o\ (C + D)$   
**for**  $a\ b :: 'a::comm-monoid-add$   
 ⟨*proof*⟩

**lemma** *set-plus-rearrange2*:  $a + o\ (b + o\ C) = (a + b) + o\ C$   
**for**  $a\ b :: 'a::semigroup-add$   
 ⟨*proof*⟩

**lemma** *set-plus-rearrange3*:  $(a + o\ B) + C = a + o\ (B + C)$   
**for**  $a :: 'a::semigroup-add$   
 ⟨*proof*⟩

**theorem** *set-plus-rearrange4*:  $C + (a + o\ D) = a + o\ (C + D)$   
**for**  $a :: 'a::comm-monoid-add$   
 ⟨*proof*⟩

**lemmas** *set-plus-rearranges* = *set-plus-rearrange set-plus-rearrange2*  
*set-plus-rearrange3 set-plus-rearrange4*

**lemma** *set-plus-mono* [*intro!*]:  $C \subseteq D \implies a + o\ C \subseteq a + o\ D$   
 ⟨*proof*⟩

**lemma** *set-plus-mono2* [*intro*]:  $C \subseteq D \implies E \subseteq F \implies C + E \subseteq D + F$   
**for**  $C\ D\ E\ F :: 'a::plus\ set$   
 ⟨*proof*⟩

**lemma** *set-plus-mono3* [*intro*]:  $a \in C \implies a +_o D \subseteq C + D$   
 ⟨*proof*⟩

**lemma** *set-plus-mono4* [*intro*]:  $a \in C \implies a +_o D \subseteq D + C$   
**for**  $a :: 'a::comm-monoid-add$   
 ⟨*proof*⟩

**lemma** *set-plus-mono5*:  $a \in C \implies B \subseteq D \implies a +_o B \subseteq C + D$   
 ⟨*proof*⟩

**lemma** *set-plus-mono-b*:  $C \subseteq D \implies x \in a +_o C \implies x \in a +_o D$   
 ⟨*proof*⟩

**lemma** *set-plus-mono2-b*:  $C \subseteq D \implies E \subseteq F \implies x \in C + E \implies x \in D + F$   
 ⟨*proof*⟩

**lemma** *set-plus-mono3-b*:  $a \in C \implies x \in a +_o D \implies x \in C + D$   
 ⟨*proof*⟩

**lemma** *set-plus-mono4-b*:  $a \in C \implies x \in a +_o D \implies x \in D + C$   
**for**  $a x :: 'a::comm-monoid-add$   
 ⟨*proof*⟩

**lemma** *set-zero-plus* [*simp*]:  $0 +_o C = C$   
**for**  $C :: 'a::comm-monoid-add set$   
 ⟨*proof*⟩

**lemma** *set-zero-plus2*:  $0 \in A \implies B \subseteq A + B$   
**for**  $A B :: 'a::comm-monoid-add set$   
 ⟨*proof*⟩

**lemma** *set-plus-imp-minus*:  $a \in b +_o C \implies a - b \in C$   
**for**  $a b :: 'a::ab-group-add$   
 ⟨*proof*⟩

**lemma** *set-minus-imp-plus*:  $a - b \in C \implies a \in b +_o C$   
**for**  $a b :: 'a::ab-group-add$   
 ⟨*proof*⟩

**lemma** *set-minus-plus*:  $a - b \in C \iff a \in b +_o C$   
**for**  $a b :: 'a::ab-group-add$   
 ⟨*proof*⟩

**lemma** *set-times-intro* [*intro*]:  $a \in C \implies b \in D \implies a * b \in C * D$   
 ⟨*proof*⟩

**lemma** *set-times-elim*:  
**assumes**  $x \in A * B$

**obtains**  $a\ b$  **where**  $x = a * b$  **and**  $a \in A$  **and**  $b \in B$   
 ⟨proof⟩

**lemma** *set-times-intro2* [intro!]:  $b \in C \implies a * b \in a *o C$   
 ⟨proof⟩

**lemma** *set-times-rearrange*:  $(a *o C) * (b *o D) = (a * b) *o (C * D)$   
**for**  $a\ b :: 'a::comm-monoid-mult$   
 ⟨proof⟩

**lemma** *set-times-rearrange2*:  $a *o (b *o C) = (a * b) *o C$   
**for**  $a\ b :: 'a::semigroup-mult$   
 ⟨proof⟩

**lemma** *set-times-rearrange3*:  $(a *o B) * C = a *o (B * C)$   
**for**  $a :: 'a::semigroup-mult$   
 ⟨proof⟩

**theorem** *set-times-rearrange4*:  $C * (a *o D) = a *o (C * D)$   
**for**  $a :: 'a::comm-monoid-mult$   
 ⟨proof⟩

**lemmas** *set-times-rearranges = set-times-rearrange set-times-rearrange2 set-times-rearrange3 set-times-rearrange4*

**lemma** *set-times-mono* [intro]:  $C \subseteq D \implies a *o C \subseteq a *o D$   
 ⟨proof⟩

**lemma** *set-times-mono2* [intro]:  $C \subseteq D \implies E \subseteq F \implies C * E \subseteq D * F$   
**for**  $C\ D\ E\ F :: 'a::times\ set$   
 ⟨proof⟩

**lemma** *set-times-mono3* [intro]:  $a \in C \implies a *o D \subseteq C * D$   
 ⟨proof⟩

**lemma** *set-times-mono4* [intro]:  $a \in C \implies a *o D \subseteq D * C$   
**for**  $a :: 'a::comm-monoid-mult$   
 ⟨proof⟩

**lemma** *set-times-mono5*:  $a \in C \implies B \subseteq D \implies a *o B \subseteq C * D$   
 ⟨proof⟩

**lemma** *set-times-mono-b*:  $C \subseteq D \implies x \in a *o C \implies x \in a *o D$   
 ⟨proof⟩

**lemma** *set-times-mono2-b*:  $C \subseteq D \implies E \subseteq F \implies x \in C * E \implies x \in D * F$   
 ⟨proof⟩

**lemma** *set-times-mono3-b*:  $a \in C \implies x \in a *o D \implies x \in C * D$

*<proof>*

**lemma** *set-times-mono4-b*:  $a \in C \implies x \in a *o D \implies x \in D * C$   
**for**  $a x :: 'a::comm-monoid-mult$   
*<proof>*

**lemma** *set-one-times [simp]*:  $1 *o C = C$   
**for**  $C :: 'a::comm-monoid-mult set$   
*<proof>*

**lemma** *set-times-plus-distrib*:  $a *o (b +o C) = (a * b) +o (a *o C)$   
**for**  $a b :: 'a::semiring$   
*<proof>*

**lemma** *set-times-plus-distrib2*:  $a *o (B + C) = (a *o B) + (a *o C)$   
**for**  $a :: 'a::semiring$   
*<proof>*

**lemma** *set-times-plus-distrib3*:  $(a +o C) * D \subseteq a *o D + C * D$   
**for**  $a :: 'a::semiring$   
*<proof>*

**lemmas** *set-times-plus-distribs* =  
*set-times-plus-distrib*  
*set-times-plus-distrib2*

**lemma** *set-neg-intro*:  $a \in (- 1) *o C \implies - a \in C$   
**for**  $a :: 'a::ring-1$   
*<proof>*

**lemma** *set-neg-intro2*:  $a \in C \implies - a \in (- 1) *o C$   
**for**  $a :: 'a::ring-1$   
*<proof>*

**lemma** *set-plus-image*:  $S + T = (\lambda(x, y). x + y) ` (S \times T)$   
*<proof>*

**lemma** *set-times-image*:  $S * T = (\lambda(x, y). x * y) ` (S \times T)$   
*<proof>*

**lemma** *finite-set-plus*:  $finite\ s \implies finite\ t \implies finite\ (s + t)$   
*<proof>*

**lemma** *finite-set-times*:  $finite\ s \implies finite\ t \implies finite\ (s * t)$   
*<proof>*

**lemma** *set-sum-alt*:  
**assumes**  $fin: finite\ I$   
**shows**  $sum\ S\ I = \{sum\ s\ I \mid s. \forall i \in I. s\ i \in S\ i\}$

(is - = ?sum I)  
 ⟨proof⟩

**lemma** *sum-set-cond-linear*:

**fixes**  $f :: 'a::comm-monoid-add\ set \Rightarrow 'b::comm-monoid-add\ set$   
**assumes** [intro!]:  $\bigwedge A\ B. P\ A \Longrightarrow P\ B \Longrightarrow P\ (A + B)$   $P\ \{0\}$   
**and**  $f: \bigwedge A\ B. P\ A \Longrightarrow P\ B \Longrightarrow f\ (A + B) = f\ A + f\ B$   $f\ \{0\} = \{0\}$   
**assumes** all:  $\bigwedge i. i \in I \Longrightarrow P\ (S\ i)$   
**shows**  $f\ (sum\ S\ I) = sum\ (f \circ S)\ I$   
 ⟨proof⟩

**lemma** *sum-set-linear*:

**fixes**  $f :: 'a::comm-monoid-add\ set \Rightarrow 'b::comm-monoid-add\ set$   
**assumes**  $\bigwedge A\ B. f(A) + f(B) = f(A + B)$   $f\ \{0\} = \{0\}$   
**shows**  $f\ (sum\ S\ I) = sum\ (f \circ S)\ I$   
 ⟨proof⟩

**lemma** *set-times-Un-distrib*:

$A * (B \cup C) = A * B \cup A * C$   
 $(A \cup B) * C = A * C \cup B * C$   
 ⟨proof⟩

**lemma** *set-times-UNION-distrib*:

$A * UNION\ I\ M = (\bigcup i \in I. A * M\ i)$   
 $UNION\ I\ M * A = (\bigcup i \in I. M\ i * A)$   
 ⟨proof⟩

end

## 4 Big O notation

**theory** *BigO*

**imports**

*Complex-Main*  
*Function-Algebras*  
*Set-Algebras*

**begin**

This library is designed to support asymptotic “big O” calculations, i.e. reasoning with expressions of the form  $f = O(g)$  and  $f = g + O(h)$ . An earlier version of this library is described in detail in [1].

The main changes in this version are as follows:

- We have eliminated the  $O$  operator on sets. (Most uses of this seem to be inessential.)
- We no longer use  $+$  as output syntax for  $+o$
- Lemmas involving *sumr* have been replaced by more general lemmas involving ‘*sum*’.

- The library has been expanded, with e.g. support for expressions of the form  $f < g + O(h)$ .

Note also since the Big O library includes rules that demonstrate set inclusion, to use the automated reasoners effectively with the library one should redeclare the theorem *subsetI* as an intro rule, rather than as an *intro!* rule, for example, using **declare** *subsetI* [*del*, *intro*].

#### 4.1 Definitions

**definition** *bigo* :: ('a  $\Rightarrow$  'b::linordered-idom)  $\Rightarrow$  ('a  $\Rightarrow$  'b) set ((1O'(-)))  
**where**  $O(f:: 'a \Rightarrow 'b) = \{h. \exists c. \forall x. |h x| \leq c * |f x|\}$

**lemma** *bigo-pos-const*:

$(\exists c::'a::linordered-idom. \forall x. |h x| \leq c * |f x|) \longleftrightarrow$   
 $(\exists c. 0 < c \wedge (\forall x. |h x| \leq c * |f x|))$   
 $\langle proof \rangle$

**lemma** *bigo-alt-def*:  $O(f) = \{h. \exists c. 0 < c \wedge (\forall x. |h x| \leq c * |f x|)\}$   
 $\langle proof \rangle$

**lemma** *bigo-elt-subset* [*intro*]:  $f \in O(g) \Longrightarrow O(f) \subseteq O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-refl* [*intro*]:  $f \in O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-zero*:  $0 \in O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-zero2*:  $O(\lambda x. 0) = \{\lambda x. 0\}$   
 $\langle proof \rangle$

**lemma** *bigo-plus-self-subset* [*intro*]:  $O(f) + O(f) \subseteq O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-idemp* [*simp*]:  $O(f) + O(f) = O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-subset* [*intro*]:  $O(f + g) \subseteq O(f) + O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-subset2* [*intro*]:  $A \subseteq O(f) \Longrightarrow B \subseteq O(f) \Longrightarrow A + B \subseteq O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-eq*:  $\forall x. 0 \leq f x \Longrightarrow \forall x. 0 \leq g x \Longrightarrow O(f + g) = O(f) + O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-bounded-alt*:  $\forall x. 0 \leq f x \Longrightarrow \forall x. f x \leq c * g x \Longrightarrow f \in O(g)$



*<proof>*

**lemma** *bigO-bounded*:  $\forall x. 0 \leq f x \implies \forall x. f x \leq g x \implies f \in O(g)$   
*<proof>*

**lemma** *bigO-bounded2*:  $\forall x. lb x \leq f x \implies \forall x. f x \leq lb x + g x \implies f \in lb + o O(g)$   
*<proof>*

**lemma** *bigO-abs*:  $(\lambda x. |f x|) = o O(f)$   
*<proof>*

**lemma** *bigO-abs2*:  $f = o O(\lambda x. |f x|)$   
*<proof>*

**lemma** *bigO-abs3*:  $O(f) = O(\lambda x. |f x|)$   
*<proof>*

**lemma** *bigO-abs4*:  $f = o g + o O(h) \implies (\lambda x. |f x|) = o (\lambda x. |g x|) + o O(h)$   
*<proof>*

**lemma** *bigO-abs5*:  $f = o O(g) \implies (\lambda x. |f x|) = o O(g)$   
*<proof>*

**lemma** *bigO-elt-subset2* [intro]:  
**assumes** \*:  $f \in g + o O(h)$   
**shows**  $O(f) \subseteq O(g) + O(h)$   
*<proof>*

**lemma** *bigO-mult* [intro]:  $O(f) * O(g) \subseteq O(f * g)$   
*<proof>*

**lemma** *bigO-mult2* [intro]:  $f * o O(g) \subseteq O(f * g)$   
*<proof>*

**lemma** *bigO-mult3*:  $f \in O(h) \implies g \in O(j) \implies f * g \in O(h * j)$   
*<proof>*

**lemma** *bigO-mult4* [intro]:  $f \in k + o O(h) \implies g * f \in (g * k) + o O(g * h)$   
*<proof>*

**lemma** *bigO-mult5*:  
**fixes**  $f :: 'a \Rightarrow 'b :: \text{linordered-field}$   
**assumes**  $\forall x. f x \neq 0$   
**shows**  $O(f * g) \subseteq f * o O(g)$   
*<proof>*

**lemma** *bigO-mult6*:  $\forall x. f x \neq 0 \implies O(f * g) = f * o O(g)$   
**for**  $f :: 'a \Rightarrow 'b :: \text{linordered-field}$

*<proof>*

**lemma** *bigo-mult7*:  $\forall x. f x \neq 0 \implies O(f * g) \subseteq O(f) * O(g)$   
**for**  $f :: 'a \Rightarrow 'b::\text{linordered-field}$   
*<proof>*

**lemma** *bigo-mult8*:  $\forall x. f x \neq 0 \implies O(f * g) = O(f) * O(g)$   
**for**  $f :: 'a \Rightarrow 'b::\text{linordered-field}$   
*<proof>*

**lemma** *bigo-minus [intro]*:  $f \in O(g) \implies -f \in O(g)$   
*<proof>*

**lemma** *bigo-minus2*:  $f \in g +o O(h) \implies -f \in -g +o O(h)$   
*<proof>*

**lemma** *bigo-minus3*:  $O(-f) = O(f)$   
*<proof>*

**lemma** *bigo-plus-absorb-lemma1*:  
**assumes**  $*: f \in O(g)$   
**shows**  $f +o O(g) \subseteq O(g)$   
*<proof>*

**lemma** *bigo-plus-absorb-lemma2*:  
**assumes**  $*: f \in O(g)$   
**shows**  $O(g) \subseteq f +o O(g)$   
*<proof>*

**lemma** *bigo-plus-absorb [simp]*:  $f \in O(g) \implies f +o O(g) = O(g)$   
*<proof>*

**lemma** *bigo-plus-absorb2 [intro]*:  $f \in O(g) \implies A \subseteq O(g) \implies f +o A \subseteq O(g)$   
*<proof>*

**lemma** *bigo-add-commute-imp*:  $f \in g +o O(h) \implies g \in f +o O(h)$   
*<proof>*

**lemma** *bigo-add-commute*:  $f \in g +o O(h) \iff g \in f +o O(h)$   
*<proof>*

**lemma** *bigo-const1*:  $(\lambda x. c) \in O(\lambda x. 1)$   
*<proof>*

**lemma** *bigo-const2 [intro]*:  $O(\lambda x. c) \subseteq O(\lambda x. 1)$   
*<proof>*

**lemma** *bigo-const3*:  $c \neq 0 \implies (\lambda x. 1) \in O(\lambda x. c)$   
**for**  $c :: 'a::\text{linordered-field}$

*<proof>*

**lemma** *bigO-const4*:  $c \neq 0 \implies O(\lambda x. 1) \subseteq O(\lambda x. c)$   
**for**  $c :: 'a::\text{linordered-field}$   
*<proof>*

**lemma** *bigO-const [simp]*:  $c \neq 0 \implies O(\lambda x. c) = O(\lambda x. 1)$   
**for**  $c :: 'a::\text{linordered-field}$   
*<proof>*

**lemma** *bigO-const-mult1*:  $(\lambda x. c * f x) \in O(f)$   
*<proof>*

**lemma** *bigO-const-mult2*:  $O(\lambda x. c * f x) \subseteq O(f)$   
*<proof>*

**lemma** *bigO-const-mult3*:  $c \neq 0 \implies f \in O(\lambda x. c * f x)$   
**for**  $c :: 'a::\text{linordered-field}$   
*<proof>*

**lemma** *bigO-const-mult4*:  $c \neq 0 \implies O(f) \subseteq O(\lambda x. c * f x)$   
**for**  $c :: 'a::\text{linordered-field}$   
*<proof>*

**lemma** *bigO-const-mult [simp]*:  $c \neq 0 \implies O(\lambda x. c * f x) = O(f)$   
**for**  $c :: 'a::\text{linordered-field}$   
*<proof>*

**lemma** *bigO-const-mult5 [simp]*:  $c \neq 0 \implies (\lambda x. c) *o O(f) = O(f)$   
**for**  $c :: 'a::\text{linordered-field}$   
*<proof>*

**lemma** *bigO-const-mult6 [intro]*:  $(\lambda x. c) *o O(f) \subseteq O(f)$   
*<proof>*

**lemma** *bigO-const-mult7 [intro]*:  
**assumes**  $*: f =o O(g)$   
**shows**  $(\lambda x. c * f x) =o O(g)$   
*<proof>*

**lemma** *bigO-compose1*:  $f =o O(g) \implies (\lambda x. f (k x)) =o O(\lambda x. g (k x))$   
*<proof>*

**lemma** *bigO-compose2*:  $f =o g +o O(h) \implies (\lambda x. f (k x)) =o (\lambda x. g (k x)) +o O(\lambda x. h(k x))$   
*<proof>*

## 4.2 Sum

**lemma** *bigO-sum-main*:  $\forall x. \forall y \in A x. 0 \leq h x y \implies$   
 $\exists c. \forall x. \forall y \in A x. |f x y| \leq c * h x y \implies$   
 $(\lambda x. \sum y \in A x. f x y) =_o O(\lambda x. \sum y \in A x. h x y)$   
*<proof>*

**lemma** *bigO-sum1*:  $\forall x y. 0 \leq h x y \implies$   
 $\exists c. \forall x y. |f x y| \leq c * h x y \implies$   
 $(\lambda x. \sum y \in A x. f x y) =_o O(\lambda x. \sum y \in A x. h x y)$   
*<proof>*

**lemma** *bigO-sum2*:  $\forall y. 0 \leq h y \implies$   
 $\exists c. \forall y. |f y| \leq c * (h y) \implies$   
 $(\lambda x. \sum y \in A x. f y) =_o O(\lambda x. \sum y \in A x. h y)$   
*<proof>*

**lemma** *bigO-sum3*:  $f =_o O(h) \implies$   
 $(\lambda x. \sum y \in A x. l x y * f (k x y)) =_o O(\lambda x. \sum y \in A x. |l x y * h (k x y)|)$   
*<proof>*

**lemma** *bigO-sum4*:  $f =_o g +_o O(h) \implies$   
 $(\lambda x. \sum y \in A x. l x y * f (k x y)) =_o$   
 $(\lambda x. \sum y \in A x. l x y * g (k x y)) +_o$   
 $O(\lambda x. \sum y \in A x. |l x y * h (k x y)|)$   
*<proof>*

**lemma** *bigO-sum5*:  $f =_o O(h) \implies \forall x y. 0 \leq l x y \implies$   
 $\forall x. 0 \leq h x \implies$   
 $(\lambda x. \sum y \in A x. l x y * f (k x y)) =_o$   
 $O(\lambda x. \sum y \in A x. l x y * h (k x y))$   
*<proof>*

**lemma** *bigO-sum6*:  $f =_o g +_o O(h) \implies \forall x y. 0 \leq l x y \implies$   
 $\forall x. 0 \leq h x \implies$   
 $(\lambda x. \sum y \in A x. l x y * f (k x y)) =_o$   
 $(\lambda x. \sum y \in A x. l x y * g (k x y)) +_o$   
 $O(\lambda x. \sum y \in A x. l x y * h (k x y))$   
*<proof>*

## 4.3 Misc useful stuff

**lemma** *bigO-useful-intro*:  $A \subseteq O(f) \implies B \subseteq O(f) \implies A + B \subseteq O(f)$   
*<proof>*

**lemma** *bigO-useful-add*:  $f =_o O(h) \implies g =_o O(h) \implies f + g =_o O(h)$   
*<proof>*

**lemma** *bigO-useful-const-mult*:  $c \neq 0 \implies (\lambda x. c) * f =_o O(h) \implies f =_o O(h)$   
 for  $c :: 'a::linordered-field$

*<proof>*

**lemma** *bigo-fix*:  $(\lambda x::nat. f (x + 1)) =_o O(\lambda x. h (x + 1)) \implies f \ 0 = 0 \implies f =_o O(h)$   
*<proof>*

**lemma** *bigo-fix2*:  
 $(\lambda x. f ((x::nat) + 1)) =_o (\lambda x. g(x + 1)) +_o O(\lambda x. h(x + 1)) \implies$   
 $f \ 0 = g \ 0 \implies f =_o g +_o O(h)$   
*<proof>*

#### 4.4 Less than or equal to

**definition** *lesso* ::  $('a \Rightarrow 'b::linordered-idom) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$  (**infixl**  $<_o$  70)

**where**  $f <_o g = (\lambda x. \max (f \ x - g \ x) \ 0)$

**lemma** *bigo-lesseq1*:  $f =_o O(h) \implies \forall x. |g \ x| \leq |f \ x| \implies g =_o O(h)$   
*<proof>*

**lemma** *bigo-lesseq2*:  $f =_o O(h) \implies \forall x. |g \ x| \leq f \ x \implies g =_o O(h)$   
*<proof>*

**lemma** *bigo-lesseq3*:  $f =_o O(h) \implies \forall x. 0 \leq g \ x \implies \forall x. g \ x \leq f \ x \implies g =_o O(h)$   
*<proof>*

**lemma** *bigo-lesseq4*:  $f =_o O(h) \implies$   
 $\forall x. 0 \leq g \ x \implies \forall x. g \ x \leq |f \ x| \implies g =_o O(h)$   
*<proof>*

**lemma** *bigo-lesso1*:  $\forall x. f \ x \leq g \ x \implies f <_o g =_o O(h)$   
*<proof>*

**lemma** *bigo-lesso2*:  $f =_o g +_o O(h) \implies \forall x. 0 \leq k \ x \implies \forall x. k \ x \leq f \ x \implies k <_o g =_o O(h)$   
*<proof>*

**lemma** *bigo-lesso3*:  $f =_o g +_o O(h) \implies \forall x. 0 \leq k \ x \implies \forall x. g \ x \leq k \ x \implies f <_o k =_o O(h)$   
*<proof>*

**lemma** *bigo-lesso4*:  $f <_o g =_o O(k) \implies g =_o h +_o O(k) \implies f <_o h =_o O(k)$   
**for**  $k :: 'a \Rightarrow 'b::linordered-field$   
*<proof>*

**lemma** *bigo-lesso5*:  $f <_o g =_o O(h) \implies \exists C. \forall x. f \ x \leq g \ x + C * |h \ x|$   
*<proof>*

**lemma** *lesso-add*:  $f <_o g =_o O(h) \implies k <_o l =_o O(h) \implies (f + k) <_o (g + l) =_o O(h)$   
 ⟨proof⟩

**lemma** *bigO-LIMSEQ1*:  $f =_o O(g) \implies g \longrightarrow 0 \implies f \longrightarrow 0$   
**for**  $f\ g :: \text{nat} \Rightarrow \text{real}$   
 ⟨proof⟩

**lemma** *bigO-LIMSEQ2*:  $f =_o g +_o O(h) \implies h \longrightarrow 0 \implies f \longrightarrow a \implies g \longrightarrow a$   
**for**  $f\ g\ h :: \text{nat} \Rightarrow \text{real}$   
 ⟨proof⟩

**end**

## 5 The Field of Integers mod 2

**theory** *Bit*  
**imports** *Main*  
**begin**

### 5.1 Bits as a datatype

**typedef** *bit* = *UNIV* :: *bool set*  
**morphisms** *set Bit* ⟨proof⟩

**instantiation** *bit* :: {*zero, one*}  
**begin**

**definition** *zero-bit-def*:  $0 = \text{Bit False}$

**definition** *one-bit-def*:  $1 = \text{Bit True}$

**instance** ⟨proof⟩

**end**

**old-rep-datatype**  $0::\text{bit}\ 1::\text{bit}$   
 ⟨proof⟩

**lemma** *Bit-set-eq* [*simp*]:  $\text{Bit} (\text{set } b) = b$   
 ⟨proof⟩

**lemma** *set-Bit-eq* [*simp*]:  $\text{set} (\text{Bit } P) = P$   
 ⟨proof⟩

**lemma** *bit-eq-iff*:  $x = y \iff (\text{set } x \iff \text{set } y)$   
 ⟨proof⟩

**lemma** *Bit-inject* [simp]:  $\text{Bit } P = \text{Bit } Q \longleftrightarrow (P \longleftrightarrow Q)$   
 ⟨proof⟩

**lemma** *set* [iff]:  
 $\neg \text{set } 0$   
 $\text{set } 1$   
 ⟨proof⟩

**lemma** [code]:  
 $\text{set } 0 \longleftrightarrow \text{False}$   
 $\text{set } 1 \longleftrightarrow \text{True}$   
 ⟨proof⟩

**lemma** *set-iff*:  $\text{set } b \longleftrightarrow b = 1$   
 ⟨proof⟩

**lemma** *bit-eq-iff-set*:  
 $b = 0 \longleftrightarrow \neg \text{set } b$   
 $b = 1 \longleftrightarrow \text{set } b$   
 ⟨proof⟩

**lemma** *Bit* [simp, code]:  
 $\text{Bit } \text{False} = 0$   
 $\text{Bit } \text{True} = 1$   
 ⟨proof⟩

**lemma** *bit-not-0-iff* [iff]:  $x \neq 0 \longleftrightarrow x = 1$  **for**  $x :: \text{bit}$   
 ⟨proof⟩

**lemma** *bit-not-1-iff* [iff]:  $x \neq 1 \longleftrightarrow x = 0$  **for**  $x :: \text{bit}$   
 ⟨proof⟩

**lemma** [code]:  
 $\text{HOL.equal } 0 \ b \longleftrightarrow \neg \text{set } b$   
 $\text{HOL.equal } 1 \ b \longleftrightarrow \text{set } b$   
 ⟨proof⟩

## 5.2 Type *bit* forms a field

**instantiation** *bit* :: *field*  
**begin**

**definition** *plus-bit-def*:  $x + y = \text{case-bit } y \ (\text{case-bit } 1 \ 0 \ y) \ x$

**definition** *times-bit-def*:  $x * y = \text{case-bit } 0 \ y \ x$

**definition** *uminus-bit-def* [simp]:  $- x = x$  **for**  $x :: \text{bit}$

**definition** *minus-bit-def* [simp]:  $x - y = x + y$  **for**  $x \ y :: \text{bit}$

**definition** *inverse-bit-def* [*simp*]:  $\text{inverse } x = x \text{ for } x :: \text{bit}$

**definition** *divide-bit-def* [*simp*]:  $x \text{ div } y = x * y \text{ for } x y :: \text{bit}$

**lemmas** *field-bit-defs* =  
*plus-bit-def times-bit-def minus-bit-def uminus-bit-def*  
*divide-bit-def inverse-bit-def*

**instance**  
 ⟨*proof*⟩

**end**

**lemma** *bit-add-self*:  $x + x = 0 \text{ for } x :: \text{bit}$   
 ⟨*proof*⟩

**lemma** *bit-mult-eq-1-iff* [*simp*]:  $x * y = 1 \longleftrightarrow x = 1 \wedge y = 1 \text{ for } x y :: \text{bit}$   
 ⟨*proof*⟩

Not sure whether the next two should be simp rules.

**lemma** *bit-add-eq-0-iff*:  $x + y = 0 \longleftrightarrow x = y \text{ for } x y :: \text{bit}$   
 ⟨*proof*⟩

**lemma** *bit-add-eq-1-iff*:  $x + y = 1 \longleftrightarrow x \neq y \text{ for } x y :: \text{bit}$   
 ⟨*proof*⟩

### 5.3 Numerals at type *bit*

All numerals reduce to either 0 or 1.

**lemma** *bit-minus1* [*simp*]:  $- 1 = (1 :: \text{bit})$   
 ⟨*proof*⟩

**lemma** *bit-neg-numeral* [*simp*]:  $(- \text{ numeral } w :: \text{bit}) = \text{ numeral } w$   
 ⟨*proof*⟩

**lemma** *bit-numeral-even* [*simp*]:  $\text{numeral } (\text{Num.Bit0 } w) = (0 :: \text{bit})$   
 ⟨*proof*⟩

**lemma** *bit-numeral-odd* [*simp*]:  $\text{numeral } (\text{Num.Bit1 } w) = (1 :: \text{bit})$   
 ⟨*proof*⟩

### 5.4 Conversion from *bit*

**context** *zero-neq-one*  
**begin**

**definition** *of-bit* ::  $\text{bit} \Rightarrow 'a$   
 where *of-bit*  $b = \text{case-bit } 0 \ 1 \ b$



**lemma** *of-bit-eq* [*simp, code*]:

*of-bit 0 = 0*

*of-bit 1 = 1*

*<proof>*

**lemma** *of-bit-eq-iff*: *of-bit x = of-bit y  $\longleftrightarrow$  x = y*

*<proof>*

**end**

**lemma** (**in** *semiring-1*) *of-nat-of-bit-eq*: *of-nat (of-bit b) = of-bit b*

*<proof>*

**lemma** (**in** *ring-1*) *of-int-of-bit-eq*: *of-int (of-bit b) = of-bit b*

*<proof>*

**hide-const** (**open**) *set*

**end**

## 6 Axiomatic Declaration of Bounded Natural Functors

**theory** *BNF-Axiomatization*

**imports** *Main*

**keywords**

*bnf-axiomatization :: thy-decl*

**begin**

*<ML>*

**end**

## 7 Generalized Corecursor Sugar (corec and friends)

**theory** *BNF-Corec*

**imports** *Main*

**keywords**

*corec :: thy-decl* **and**

*corecursive :: thy-goal* **and**

*friend-of-corec :: thy-goal* **and**

*coinduction-upto :: thy-decl*

**begin**

**lemma** *obj-distinct-prems*:  $P \longrightarrow P \longrightarrow Q \Longrightarrow P \Longrightarrow Q$

*<proof>*

**lemma** *inject-refine*:  $g (f x) = x \implies g (f y) = y \implies f x = f y \longleftrightarrow x = y$   
 ⟨proof⟩

**lemma** *convol-apply*:  $\text{BNF-Def.convol } f g x = (f x, g x)$   
 ⟨proof⟩

**lemma** *Grp-UNIV-id*:  $\text{BNF-Def.Grp UNIV id} = (\text{op } =)$   
 ⟨proof⟩

**lemma** *sum-comp-cases*:  
 assumes  $f o \text{Inl} = g o \text{Inl}$  and  $f o \text{Inr} = g o \text{Inr}$   
 shows  $f = g$   
 ⟨proof⟩

**lemma** *case-sum-Inl-Inr-L*:  $\text{case-sum } (f o \text{Inl}) (f o \text{Inr}) = f$   
 ⟨proof⟩

**lemma** *eq-o-InrI*:  $\llbracket g o \text{Inl} = h; \text{case-sum } h f = g \rrbracket \implies f = g o \text{Inr}$   
 ⟨proof⟩

**lemma** *id-bnf-o*:  $\text{BNF-Composition.id-bnf} o f = f$   
 ⟨proof⟩

**lemma** *o-id-bnf*:  $f o \text{BNF-Composition.id-bnf} = f$   
 ⟨proof⟩

**lemma** *if-True-False*:  
 (if  $P$  then  $\text{True}$  else  $Q$ )  $\longleftrightarrow P \vee Q$   
 (if  $P$  then  $\text{False}$  else  $Q$ )  $\longleftrightarrow \neg P \wedge Q$   
 (if  $P$  then  $Q$  else  $\text{True}$ )  $\longleftrightarrow \neg P \vee Q$   
 (if  $P$  then  $Q$  else  $\text{False}$ )  $\longleftrightarrow P \wedge Q$   
 ⟨proof⟩

**lemma** *if-distrib-fun*: (if  $c$  then  $f$  else  $g$ )  $x = (\text{if } c \text{ then } f x \text{ else } g x)$   
 ⟨proof⟩

## 7.1 Coinduction

**lemma** *eq-comp-compI*:  $a o b = f o x \implies x o c = \text{id} \implies f = a o (b o c)$   
 ⟨proof⟩

**lemma** *self-bounded-weaken-left*:  $(a :: 'a :: \text{semilattice-inf}) \leq \text{inf } a b \implies a \leq b$   
 ⟨proof⟩

**lemma** *self-bounded-weaken-right*:  $(a :: 'a :: \text{semilattice-inf}) \leq \text{inf } b a \implies a \leq b$   
 ⟨proof⟩

**lemma** *symp-iff*:  $\text{symp } R \longleftrightarrow R = R^{\hat{\ }-1}$   
 ⟨proof⟩

**lemma** *equivp-inf*:  $\llbracket \text{equivp } R; \text{equivp } S \rrbracket \implies \text{equivp } (\text{inf } R \ S)$   
 ⟨proof⟩

**lemma** *vimage2p-rel-prod*:  
 $(\lambda x \ y. \text{rel-prod } R \ S \ (\text{BNF-Def.convolve } f1 \ g1 \ x) \ (\text{BNF-Def.convolve } f2 \ g2 \ y)) =$   
 $(\text{inf } (\text{BNF-Def.vimage2p } f1 \ f2 \ R) \ (\text{BNF-Def.vimage2p } g1 \ g2 \ S))$   
 ⟨proof⟩

**lemma** *predicate2I-obj*:  $(\forall x \ y. P \ x \ y \longrightarrow Q \ x \ y) \implies P \leq Q$   
 ⟨proof⟩

**lemma** *predicate2D-obj*:  $P \leq Q \implies P \ x \ y \longrightarrow Q \ x \ y$   
 ⟨proof⟩

**locale** *cong* =  
**fixes** *rel* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow 'b \Rightarrow \text{bool})$   
**and** *eval* ::  $'b \Rightarrow 'a$   
**and** *retr* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool})$   
**assumes** *rel-mono*:  $\bigwedge R \ S. R \leq S \implies \text{rel } R \leq \text{rel } S$   
**and** *equivp-retr*:  $\bigwedge R. \text{equivp } R \implies \text{equivp } (\text{retr } R)$   
**and** *retr-eval*:  $\bigwedge R \ x \ y. \llbracket (\text{rel-fun } (\text{rel } R) \ R) \ \text{eval } \text{eval}; \text{rel } (\text{inf } R \ (\text{retr } R)) \ x \ y \rrbracket$   
 $\implies$   
 $\text{retr } R \ (\text{eval } x) \ (\text{eval } y)$

**begin**

**definition** *cong* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **where**  
 $\text{cong } R \equiv \text{equivp } R \wedge (\text{rel-fun } (\text{rel } R) \ R) \ \text{eval } \text{eval}$

**lemma** *cong-retr*:  $\text{cong } R \implies \text{cong } (\text{inf } R \ (\text{retr } R))$   
 ⟨proof⟩

**lemma** *cong-equivp*:  $\text{cong } R \implies \text{equivp } R$   
 ⟨proof⟩

**definition** *gen-cong* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
 $\text{gen-cong } R \ j1 \ j2 \equiv \forall R'. R \leq R' \wedge \text{cong } R' \longrightarrow R' \ j1 \ j2$

**lemma** *gen-cong-reflp*[*intro*, *simp*]:  $x = y \implies \text{gen-cong } R \ x \ y$   
 ⟨proof⟩

**lemma** *gen-cong-symp*[*intro*]:  $\text{gen-cong } R \ x \ y \implies \text{gen-cong } R \ y \ x$   
 ⟨proof⟩

**lemma** *gen-cong-transp*[*intro*]:  $\text{gen-cong } R \ x \ y \implies \text{gen-cong } R \ y \ z \implies \text{gen-cong } R \ x \ z$   
 ⟨proof⟩

**lemma** *equivp-gen-cong*:  $\text{equivp } (\text{gen-cong } R)$

*<proof>*

**lemma** *leq-gen-cong*:  $R \leq \text{gen-cong } R$

*<proof>*

**lemmas** *imp-gen-cong*[*intro*] = *predicate2D*[*OF leq-gen-cong*]

**lemma** *gen-cong-minimal*:  $\llbracket R \leq R'; \text{cong } R \rrbracket \implies \text{gen-cong } R \leq R'$

*<proof>*

**lemma** *congdd-base-gen-congdd-base-aux*:

$\text{rel } (\text{gen-cong } R) \ x \ y \implies R \leq R' \implies \text{cong } R' \implies R' (\text{eval } x) (\text{eval } y)$

*<proof>*

**lemma** *cong-gen-cong*:  $\text{cong } (\text{gen-cong } R)$

*<proof>*

**lemma** *gen-cong-eval-rel-fun*:

$(\text{rel-fun } (\text{rel } (\text{gen-cong } R)) (\text{gen-cong } R)) \ \text{eval } \ \text{eval}$

*<proof>*

**lemma** *gen-cong-eval*:

$\text{rel } (\text{gen-cong } R) \ x \ y \implies \text{gen-cong } R \ (\text{eval } x) (\text{eval } y)$

*<proof>*

**lemma** *gen-cong-idem*:  $\text{gen-cong } (\text{gen-cong } R) = \text{gen-cong } R$

*<proof>*

**lemma** *gen-cong-rho*:

$\varrho = \text{eval } \circ \ f \implies \text{rel } (\text{gen-cong } R) \ (f \ x) \ (f \ y) \implies \text{gen-cong } R \ (\varrho \ x) \ (\varrho \ y)$

*<proof>*

**lemma** *coinduction*:

**assumes** *coind*:  $\forall R. R \leq \text{retr } R \longrightarrow R \leq \text{op} =$

**assumes** *cih*:  $R \leq \text{retr } (\text{gen-cong } R)$

**shows**  $R \leq \text{op} =$

*<proof>*

**end**

**lemma** *rel-sum-case-sum*:

$\text{rel-fun } (\text{rel-sum } R \ S) \ T \ (\text{case-sum } f1 \ g1) \ (\text{case-sum } f2 \ g2) = (\text{rel-fun } R \ T \ f1 \ f2) \wedge \text{rel-fun } S \ T \ g1 \ g2$

*<proof>*

**context**

**fixes** *rel eval rel' eval' retr emb*

**assumes** *base*:  $\text{cong } \text{rel } \text{eval } \text{retr}$

**and** *step*:  $\text{cong } \text{rel}' \ \text{eval}' \ \text{retr}$

**and** *emb*:  $\text{eval}' \ \circ \ \text{emb} = \text{eval}$

```

and emb-transfer: rel-fun (rel R) (rel' R) emb emb
begin

interpretation base: cong rel eval retr ⟨proof⟩
interpretation step: cong rel' eval' retr ⟨proof⟩

lemma gen-cong-emb: base.gen-cong R ≤ step.gen-cong R
⟨proof⟩

end

named-theorems friend-of-corec-simps

⟨ML⟩

end

```

## 8 Boolean Algebras

```

theory Boolean-Algebra
  imports Main
begin

locale boolean =
  fixes conj :: 'a ⇒ 'a ⇒ 'a (infixr  $\sqcap$  70)
    and disj :: 'a ⇒ 'a ⇒ 'a (infixr  $\sqcup$  65)
    and compl :: 'a ⇒ 'a ( $\sim$  - [81] 80)
    and zero :: 'a (0)
    and one :: 'a (1)
  assumes conj-assoc:  $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$ 
    and disj-assoc:  $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$ 
    and conj-commute:  $x \sqcap y = y \sqcap x$ 
    and disj-commute:  $x \sqcup y = y \sqcup x$ 
    and conj-disj-distrib:  $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$ 
    and disj-conj-distrib:  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$ 
    and conj-one-right [simp]:  $x \sqcap \mathbf{1} = x$ 
    and disj-zero-right [simp]:  $x \sqcup \mathbf{0} = x$ 
    and conj-cancel-right [simp]:  $x \sqcap \sim x = \mathbf{0}$ 
    and disj-cancel-right [simp]:  $x \sqcup \sim x = \mathbf{1}$ 
begin

sublocale conj: abel-semigroup conj
  ⟨proof⟩

sublocale disj: abel-semigroup disj
  ⟨proof⟩

lemmas conj-left-commute = conj.left-commute
lemmas disj-left-commute = disj.left-commute

```

**lemmas** *conj-ac* = *conj.assoc conj.commute conj.left-commute*

**lemmas** *disj-ac* = *disj.assoc disj.commute disj.left-commute*

**lemma** *dual: boolean disj conj compl one zero*

*<proof>*

## 8.1 Complement

**lemma** *complement-unique:*

**assumes** *1: a  $\sqcap$  x = 0*

**assumes** *2: a  $\sqcup$  x = 1*

**assumes** *3: a  $\sqcap$  y = 0*

**assumes** *4: a  $\sqcup$  y = 1*

**shows** *x = y*

*<proof>*

**lemma** *compl-unique: x  $\sqcap$  y = 0  $\implies$  x  $\sqcup$  y = 1  $\implies$   $\sim$  x = y*

*<proof>*

**lemma** *double-compl [simp]:  $\sim$  ( $\sim$  x) = x*

*<proof>*

**lemma** *compl-eq-compl-iff [simp]:  $\sim$  x =  $\sim$  y  $\iff$  x = y*

*<proof>*

## 8.2 Conjunction

**lemma** *conj-absorb [simp]: x  $\sqcap$  x = x*

*<proof>*

**lemma** *conj-zero-right [simp]: x  $\sqcap$  0 = 0*

*<proof>*

**lemma** *compl-one [simp]:  $\sim$  1 = 0*

*<proof>*

**lemma** *conj-zero-left [simp]: 0  $\sqcap$  x = 0*

*<proof>*

**lemma** *conj-one-left [simp]: 1  $\sqcap$  x = x*

*<proof>*

**lemma** *conj-cancel-left [simp]:  $\sim$  x  $\sqcap$  x = 0*

*<proof>*

**lemma** *conj-left-absorb [simp]: x  $\sqcap$  (x  $\sqcap$  y) = x  $\sqcap$  y*

*<proof>*

**lemma** *conj-disj-distrib2: (y  $\sqcup$  z)  $\sqcap$  x = (y  $\sqcap$  x)  $\sqcup$  (z  $\sqcap$  x)*

*<proof>*

**lemmas** *conj-disj-distrib* = *conj-disj-distrib conj-disj-distrib2*

### 8.3 Disjunction

**lemma** *disj-absorb* [*simp*]:  $x \sqcup x = x$   
*<proof>*

**lemma** *disj-one-right* [*simp*]:  $x \sqcup \mathbf{1} = \mathbf{1}$   
*<proof>*

**lemma** *compl-zero* [*simp*]:  $\sim \mathbf{0} = \mathbf{1}$   
*<proof>*

**lemma** *disj-zero-left* [*simp*]:  $\mathbf{0} \sqcup x = x$   
*<proof>*

**lemma** *disj-one-left* [*simp*]:  $\mathbf{1} \sqcup x = \mathbf{1}$   
*<proof>*

**lemma** *disj-cancel-left* [*simp*]:  $\sim x \sqcup x = \mathbf{1}$   
*<proof>*

**lemma** *disj-left-absorb* [*simp*]:  $x \sqcup (x \sqcup y) = x \sqcup y$   
*<proof>*

**lemma** *disj-conj-distrib2*:  $(y \sqcap z) \sqcup x = (y \sqcup x) \sqcap (z \sqcup x)$   
*<proof>*

**lemmas** *disj-conj-distrib* = *disj-conj-distrib disj-conj-distrib2*

### 8.4 De Morgan’s Laws

**lemma** *de-Morgan-conj* [*simp*]:  $\sim (x \sqcap y) = \sim x \sqcup \sim y$   
*<proof>*

**lemma** *de-Morgan-disj* [*simp*]:  $\sim (x \sqcup y) = \sim x \sqcap \sim y$   
*<proof>*

**end**

### 8.5 Symmetric Difference

**locale** *boolean-xor* = *boolean* +  
**fixes** *xor* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (**infixr**  $\oplus$  65)  
**assumes** *xor-def*:  $x \oplus y = (x \sqcap \sim y) \sqcup (\sim x \sqcap y)$   
**begin**

**sublocale** *xor*: *abel-semigroup xor*

*<proof>*

**lemmas** *xor-assoc* = *xor.assoc*

**lemmas** *xor-commute* = *xor.commute*

**lemmas** *xor-left-commute* = *xor.left-commute*

**lemmas** *xor-ac* = *xor.assoc xor.commute xor.left-commute*

**lemma** *xor-def2*:  $x \oplus y = (x \sqcup y) \sqcap (\sim x \sqcup \sim y)$

*<proof>*

**lemma** *xor-zero-right* [*simp*]:  $x \oplus \mathbf{0} = x$

*<proof>*

**lemma** *xor-zero-left* [*simp*]:  $\mathbf{0} \oplus x = x$

*<proof>*

**lemma** *xor-one-right* [*simp*]:  $x \oplus \mathbf{1} = \sim x$

*<proof>*

**lemma** *xor-one-left* [*simp*]:  $\mathbf{1} \oplus x = \sim x$

*<proof>*

**lemma** *xor-self* [*simp*]:  $x \oplus x = \mathbf{0}$

*<proof>*

**lemma** *xor-left-self* [*simp*]:  $x \oplus (x \oplus y) = y$

*<proof>*

**lemma** *xor-compl-left* [*simp*]:  $\sim x \oplus y = \sim (x \oplus y)$

*<proof>*

**lemma** *xor-compl-right* [*simp*]:  $x \oplus \sim y = \sim (x \oplus y)$

*<proof>*

**lemma** *xor-cancel-right*:  $x \oplus \sim x = \mathbf{1}$

*<proof>*

**lemma** *xor-cancel-left*:  $\sim x \oplus x = \mathbf{1}$

*<proof>*

**lemma** *conj-xor-distrib*:  $x \sqcap (y \oplus z) = (x \sqcap y) \oplus (x \sqcap z)$

*<proof>*

**lemma** *conj-xor-distrib2*:  $(y \oplus z) \sqcap x = (y \sqcap x) \oplus (z \sqcap x)$

*<proof>*

**lemmas** *conj-xor-distribs* = *conj-xor-distrib conj-xor-distrib2*



end

end

## 9 A general “while” combinator

**theory** *While-Combinator*

**imports** *Main*

**begin**

### 9.1 Partial version

**definition** *while-option* :: ('a ⇒ bool) ⇒ ('a ⇒ 'a) ⇒ 'a ⇒ 'a **option where**  
*while-option* b c s = (if (∃ k. ~ b ((c ^^ k) s))  
 then Some ((c ^^ (LEAST k. ~ b ((c ^^ k) s))) s)  
 else None)

**theorem** *while-option-unfold*[code]:

*while-option* b c s = (if b s then *while-option* b c (c s) else Some s)  
 ⟨proof⟩

**lemma** *while-option-stop2*:

*while-option* b c s = Some t ⇒ EX k. t = (c ^^ k) s ∧ ¬ b t  
 ⟨proof⟩

**lemma** *while-option-stop*: *while-option* b c s = Some t ⇒ ~ b t  
 ⟨proof⟩

**theorem** *while-option-rule*:

**assumes** *step*: !!s. P s ==> b s ==> P (c s)  
**and result**: *while-option* b c s = Some t  
**and init**: P s  
**shows** P t  
 ⟨proof⟩

**lemma** *funpow-commute*:

[[∀ k' < k. f (c ((c ^^ k') s)) = c' (f ((c ^^ k') s))] ⇒ f ((c ^^ k) s) = (c' ^^ k) (f s)  
 ⟨proof⟩

**lemma** *while-option-commute-invariant*:

**assumes** *Invariant*: ∧s. P s ⇒ b s ⇒ P (c s)  
**assumes** *TestCommute*: ∧s. P s ⇒ b s = b' (f s)  
**assumes** *BodyCommute*: ∧s. P s ⇒ b s ⇒ f (c s) = c' (f s)  
**assumes** *Initial*: P s  
**shows** *map-option* f (*while-option* b c s) = *while-option* b' c' (f s)  
 ⟨proof⟩

**lemma** *while-option-commute*:

**assumes** ∧s. b s = b' (f s) ∧s. [[b s]] ⇒ f (c s) = c' (f s)

**shows**  $\text{map-option } f \text{ (while-option } b \text{ } c \text{ } s) = \text{while-option } b' \text{ } c' \text{ } (f \text{ } s)$   
 ⟨proof⟩

## 9.2 Total version

**definition**  $\text{while} :: ('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$   
**where**  $\text{while } b \text{ } c \text{ } s = \text{the (while-option } b \text{ } c \text{ } s)$

**lemma** *while-unfold* [code]:  
 $\text{while } b \text{ } c \text{ } s = (\text{if } b \text{ } s \text{ then while } b \text{ } c \text{ } (c \text{ } s) \text{ else } s)$   
 ⟨proof⟩

**lemma** *def-while-unfold*:  
**assumes**  $f\text{def}: f == \text{while test do}$   
**shows**  $f \text{ } x = (\text{if test } x \text{ then } f(\text{do } x) \text{ else } x)$   
 ⟨proof⟩

The proof rule for *while*, where  $P$  is the invariant.

**theorem** *while-rule-lemma*:  
**assumes** *invariant*:  $!!s. P \text{ } s ==> b \text{ } s ==> P \text{ } (c \text{ } s)$   
**and** *terminate*:  $!!s. P \text{ } s ==> \neg b \text{ } s ==> Q \text{ } s$   
**and** *wf*:  $wf \text{ } \{(t, s). P \text{ } s \wedge b \text{ } s \wedge t = c \text{ } s\}$   
**shows**  $P \text{ } s \Longrightarrow Q \text{ } (\text{while } b \text{ } c \text{ } s)$   
 ⟨proof⟩

**theorem** *while-rule*:  
 $[[ P \text{ } s;$   
 $!!s. [[ P \text{ } s; b \text{ } s ]] ==> P \text{ } (c \text{ } s);$   
 $!!s. [[ P \text{ } s; \neg b \text{ } s ]] ==> Q \text{ } s;$   
 $wf \text{ } r;$   
 $!!s. [[ P \text{ } s; b \text{ } s ]] ==> (c \text{ } s, s) \in r ]] ==>$   
 $Q \text{ } (\text{while } b \text{ } c \text{ } s)$   
 ⟨proof⟩

Proving termination:

**theorem** *wf-while-option-Some*:  
**assumes** *wf*  $\{(t, s). (P \text{ } s \wedge b \text{ } s) \wedge t = c \text{ } s\}$   
**and**  $!!s. P \text{ } s \Longrightarrow b \text{ } s \Longrightarrow P(c \text{ } s)$  **and**  $P \text{ } s$   
**shows**  $EX \text{ } t. \text{while-option } b \text{ } c \text{ } s = \text{Some } t$   
 ⟨proof⟩

**lemma** *wf-rel-while-option-Some*:  
**assumes** *wf*:  $wf \text{ } R$   
**assumes** *smaller*:  $\bigwedge s. P \text{ } s \wedge b \text{ } s \Longrightarrow (c \text{ } s, s) \in R$   
**assumes** *inv*:  $\bigwedge s. P \text{ } s \wedge b \text{ } s \Longrightarrow P(c \text{ } s)$   
**assumes** *init*:  $P \text{ } s$   
**shows**  $\exists t. \text{while-option } b \text{ } c \text{ } s = \text{Some } t$   
 ⟨proof⟩

**theorem** *measure-while-option-Some*: **fixes**  $f :: 's \Rightarrow \text{nat}$

**shows**  $(!!s. P s \implies b s \implies P(c s) \wedge f(c s) < f s)$   
 $\implies P s \implies EX t. \text{while-option } b c s = \text{Some } t$   
 ⟨proof⟩

Kleene iteration starting from the empty set and assuming some finite bounding set:

**lemma** *while-option-finite-subset-Some*: **fixes**  $C :: 'a \text{ set}$   
**assumes** *mono*  $f$  **and**  $!!X. X \subseteq C \implies f X \subseteq C$  **and** *finite*  $C$   
**shows**  $\exists P. \text{while-option } (\lambda A. f A \neq A) f \{\} = \text{Some } P$   
 ⟨proof⟩

**lemma** *lfp-the-while-option*:  
**assumes** *mono*  $f$  **and**  $!!X. X \subseteq C \implies f X \subseteq C$  **and** *finite*  $C$   
**shows**  $\text{lfp } f = \text{the}(\text{while-option } (\lambda A. f A \neq A) f \{\})$   
 ⟨proof⟩

**lemma** *lfp-while*:  
**assumes** *mono*  $f$  **and**  $!!X. X \subseteq C \implies f X \subseteq C$  **and** *finite*  $C$   
**shows**  $\text{lfp } f = \text{while } (\lambda A. f A \neq A) f \{\}$   
 ⟨proof⟩

**lemma** *wf-finite-less*:  
**assumes** *finite*  $(C :: 'a::\text{order set})$   
**shows**  $wf \{(x, y). \{x, y\} \subseteq C \wedge x < y\}$   
 ⟨proof⟩

**lemma** *wf-finite-greater*:  
**assumes** *finite*  $(C :: 'a::\text{order set})$   
**shows**  $wf \{(x, y). \{x, y\} \subseteq C \wedge y < x\}$   
 ⟨proof⟩

**lemma** *while-option-finite-increasing-Some*:  
**fixes**  $f :: 'a::\text{order} \Rightarrow 'a$   
**assumes** *mono*  $f$  **and** *finite*  $(UNIV :: 'a \text{ set})$  **and**  $s \leq f s$   
**shows**  $\exists P. \text{while-option } (\lambda A. f A \neq A) f s = \text{Some } P$   
 ⟨proof⟩

**lemma** *lfp-the-while-option-lattice*:  
**fixes**  $f :: 'a::\text{complete-lattice} \Rightarrow 'a$   
**assumes** *mono*  $f$  **and** *finite*  $(UNIV :: 'a \text{ set})$   
**shows**  $\text{lfp } f = \text{the}(\text{while-option } (\lambda A. f A \neq A) f \text{bot})$   
 ⟨proof⟩

**lemma** *lfp-while-lattice*:  
**fixes**  $f :: 'a::\text{complete-lattice} \Rightarrow 'a$   
**assumes** *mono*  $f$  **and** *finite*  $(UNIV :: 'a \text{ set})$   
**shows**  $\text{lfp } f = \text{while } (\lambda A. f A \neq A) f \text{bot}$   
 ⟨proof⟩

**lemma** *while-option-finite-decreasing-Some*:  
**fixes**  $f :: 'a::order \Rightarrow 'a$   
**assumes** *mono f and finite (UNIV :: 'a set) and  $f s \leq s$*   
**shows**  $\exists P. \text{while-option } (\lambda A. f A \neq A) f s = \text{Some } P$   
*<proof>*

**lemma** *gfp-the-while-option-lattice*:  
**fixes**  $f :: 'a::complete-lattice \Rightarrow 'a$   
**assumes** *mono f and finite (UNIV :: 'a set)*  
**shows**  $\text{gfp } f = \text{the}(\text{while-option } (\lambda A. f A \neq A) f \text{ top})$   
*<proof>*

**lemma** *gfp-while-lattice*:  
**fixes**  $f :: 'a::complete-lattice \Rightarrow 'a$   
**assumes** *mono f and finite (UNIV :: 'a set)*  
**shows**  $\text{gfp } f = \text{while } (\lambda A. f A \neq A) f \text{ top}$   
*<proof>*

Computing the reflexive, transitive closure by iterating a successor function. Stops when an element is found that does not satisfy the test.

More refined (and hence more efficient) versions can be found in ITP 2011 paper by Nipkow (the theories are in the AFP entry *Flyspeck* by Nipkow) and the AFP article *Executable Transitive Closures* by René Thiemann.

**context**

**fixes**  $p :: 'a \Rightarrow \text{bool}$   
**and**  $f :: 'a \Rightarrow 'a \text{ list}$   
**and**  $x :: 'a$   
**begin**

**qualified fun** *rtrancl-while-test* ::  $'a \text{ list} \times 'a \text{ set} \Rightarrow \text{bool}$   
**where**  $\text{rtrancl-while-test } (ws, -) = (ws \neq [] \wedge p(\text{hd } ws))$

**qualified fun** *rtrancl-while-step* ::  $'a \text{ list} \times 'a \text{ set} \Rightarrow 'a \text{ list} \times 'a \text{ set}$   
**where**  $\text{rtrancl-while-step } (ws, Z) =$   
 $(\text{let } x = \text{hd } ws; \text{new} = \text{remdups } (\text{filter } (\lambda y. y \notin Z) (f x))$   
 $\text{in } (\text{new} @ \text{tl } ws, \text{set new} \cup Z))$

**definition** *rtrancl-while* ::  $('a \text{ list} * 'a \text{ set}) \text{ option}$   
**where**  $\text{rtrancl-while} = \text{while-option } \text{rtrancl-while-test } \text{rtrancl-while-step } ([x], \{x\})$

**qualified fun** *rtrancl-while-invariant* ::  $'a \text{ list} \times 'a \text{ set} \Rightarrow \text{bool}$   
**where**  $\text{rtrancl-while-invariant } (ws, Z) =$   
 $(x \in Z \wedge \text{set } ws \subseteq Z \wedge \text{distinct } ws \wedge \{(x, y). y \in \text{set}(f x)\} \text{ “ } (Z - \text{set } ws) \subseteq$   
 $Z \wedge$   
 $Z \subseteq \{(x, y). y \in \text{set}(f x)\} \hat{*} \text{ “ } \{x\} \wedge (\forall z \in Z - \text{set } ws. p z))$

**qualified lemma** *rtrancl-while-invariant*:  
**assumes** *inv: rtrancl-while-invariant st and test: rtrancl-while-test st*  
**shows**  $\text{rtrancl-while-invariant } (\text{rtrancl-while-step } st)$

*<proof>*

**lemma** *rtrancl-while-Some*: **assumes** *rtrancl-while = Some(ws,Z)*

**shows** *if ws = []*

*then Z = {(x,y). y ∈ set(f x)}<sup>\*</sup> “ {x} ∧ (∀ z∈Z. p z)*

*else ¬p(hd ws) ∧ hd ws ∈ {(x,y). y ∈ set(f x)}<sup>\*</sup> “ {x}*

*<proof>*

**lemma** *rtrancl-while-finite-Some*:

**assumes** *finite ({(x, y). y ∈ set (f x)}<sup>\*</sup> “ {x}) (is finite ?Cl)*

**shows** *∃ y. rtrancl-while = Some y*

*<proof>*

**end**

**end**

## 10 The Bourbaki-Witt tower construction for trans-finite iteration

**theory** *Bourbaki-Witt-Fixpoint*

**imports** *While-Combinator*

**begin**

**lemma** *ChainsI [intro?]*:

*(∧ a b. [ a ∈ Y; b ∈ Y ] ⇒ (a, b) ∈ r ∨ (b, a) ∈ r) ⇒ Y ∈ Chains r*

*<proof>*

**lemma** *in-Chains-subset*: *[ M ∈ Chains r; M' ⊆ M ] ⇒ M' ∈ Chains r*

*<proof>*

**lemma** *in-ChainsD*: *[ M ∈ Chains r; x ∈ M; y ∈ M ] ⇒ (x, y) ∈ r ∨ (y, x) ∈*

*r*

*<proof>*

**lemma** *Chains-FieldD*: *[ M ∈ Chains r; x ∈ M ] ⇒ x ∈ Field r*

*<proof>*

**lemma** *in-Chains-conv-chain*: *M ∈ Chains r ⇔ Complete-Partial-Order.chain*

*(λx y. (x, y) ∈ r) M*

*<proof>*

**lemma** *partial-order-on-trans*:

*[ partial-order-on A r; (x, y) ∈ r; (y, z) ∈ r ] ⇒ (x, z) ∈ r*

*<proof>*

**locale** *bourbaki-witt-fixpoint =*

**fixes** *lub :: 'a set ⇒ 'a*

**and**  $leq :: ('a \times 'a) \text{ set}$   
**and**  $f :: 'a \Rightarrow 'a$   
**assumes**  $po$ : *Partial-order leq*  
**and**  $lub\text{-least}$ :  $\llbracket M \in \text{Chains } leq; M \neq \{\}; \bigwedge x. x \in M \implies (x, z) \in leq \rrbracket \implies (lub\ M, z) \in leq$   
**and**  $lub\text{-upper}$ :  $\llbracket M \in \text{Chains } leq; x \in M \rrbracket \implies (x, lub\ M) \in leq$   
**and**  $lub\text{-in-Field}$ :  $\llbracket M \in \text{Chains } leq; M \neq \{\} \rrbracket \implies lub\ M \in \text{Field } leq$   
**and**  $increasing$ :  $\bigwedge x. x \in \text{Field } leq \implies (x, f\ x) \in leq$   
**begin**

**lemma**  $leq\text{-trans}$ :  $\llbracket (x, y) \in leq; (y, z) \in leq \rrbracket \implies (x, z) \in leq$   
 $\langle proof \rangle$

**lemma**  $leq\text{-refl}$ :  $x \in \text{Field } leq \implies (x, x) \in leq$   
 $\langle proof \rangle$

**lemma**  $leq\text{-antisym}$ :  $\llbracket (x, y) \in leq; (y, x) \in leq \rrbracket \implies x = y$   
 $\langle proof \rangle$

**inductive-set**  $iterates\text{-above} :: 'a \Rightarrow 'a \text{ set}$   
**for**  $a$   
**where**

$base$ :  $a \in iterates\text{-above } a$   
 $| step$ :  $x \in iterates\text{-above } a \implies f\ x \in iterates\text{-above } a$   
 $| Sup$ :  $\llbracket M \in \text{Chains } leq; M \neq \{\}; \bigwedge x. x \in M \implies x \in iterates\text{-above } a \rrbracket \implies lub\ M \in iterates\text{-above } a$

**definition**  $fixp\text{-above} :: 'a \Rightarrow 'a$   
**where**  $fixp\text{-above } a = (if\ a \in \text{Field } leq\ \text{then } lub\ (iterates\text{-above } a)\ \text{else } a)$

**lemma**  $fixp\text{-above-outside}$ :  $a \notin \text{Field } leq \implies fixp\text{-above } a = a$   
 $\langle proof \rangle$

**lemma**  $fixp\text{-above-inside}$ :  $a \in \text{Field } leq \implies fixp\text{-above } a = lub\ (iterates\text{-above } a)$   
 $\langle proof \rangle$

**context**  
**notes**  $leq\text{-refl}$  [*intro!*, *simp*]  
**and**  $base$  [*intro*]  
**and**  $step$  [*intro*]  
**and**  $Sup$  [*intro*]  
**and**  $leq\text{-trans}$  [*trans*]  
**begin**

**lemma**  $iterates\text{-above-le-f}$ :  $\llbracket x \in iterates\text{-above } a; a \in \text{Field } leq \rrbracket \implies (x, f\ x) \in leq$   
 $\langle proof \rangle$

**lemma**  $iterates\text{-above-Field}$ :  $\llbracket x \in iterates\text{-above } a; a \in \text{Field } leq \rrbracket \implies x \in \text{Field } leq$

*leq*  
 ⟨*proof*⟩

**lemma** *iterates-above-ge*:  
 assumes  $y: y \in \text{iterates-above } a$   
 and  $a: a \in \text{Field } \text{leq}$   
 shows  $(a, y) \in \text{leq}$   
 ⟨*proof*⟩

**lemma** *iterates-above-lub*:  
 assumes  $M: M \in \text{Chains } \text{leq}$   
 and *nempty*:  $M \neq \{\}$   
 and *upper*:  $\bigwedge y. y \in M \implies \exists z \in M. (y, z) \in \text{leq} \wedge z \in \text{iterates-above } a$   
 shows  $\text{lub } M \in \text{iterates-above } a$   
 ⟨*proof*⟩

**lemma** *iterates-above-successor*:  
 assumes  $y: y \in \text{iterates-above } a$   
 and  $a: a \in \text{Field } \text{leq}$   
 shows  $y = a \vee y \in \text{iterates-above } (f \ a)$   
 ⟨*proof*⟩

**lemma** *iterates-above-Sup-aux*:  
 assumes  $M: M \in \text{Chains } \text{leq}$   $M \neq \{\}$   
 and  $M': M' \in \text{Chains } \text{leq}$   $M' \neq \{\}$   
 and *comp*:  $\bigwedge x. x \in M \implies x \in \text{iterates-above } (\text{lub } M') \vee \text{lub } M' \in \text{iterates-above } x$   
 shows  $(\text{lub } M, \text{lub } M') \in \text{leq} \vee \text{lub } M \in \text{iterates-above } (\text{lub } M')$   
 ⟨*proof*⟩

**lemma** *iterates-above-triangle*:  
 assumes  $x: x \in \text{iterates-above } a$   
 and  $y: y \in \text{iterates-above } a$   
 and  $a: a \in \text{Field } \text{leq}$   
 shows  $x \in \text{iterates-above } y \vee y \in \text{iterates-above } x$   
 ⟨*proof*⟩

**lemma** *chain-iterates-above*:  
 assumes  $a: a \in \text{Field } \text{leq}$   
 shows  $\text{iterates-above } a \in \text{Chains } \text{leq}$  (is ?C ∈ -)  
 ⟨*proof*⟩

**lemma** *fixp-iterates-above*:  $\text{fixp-above } a \in \text{iterates-above } a$   
 ⟨*proof*⟩

**lemma** *fixp-above-Field*:  $a \in \text{Field } \text{leq} \implies \text{fixp-above } a \in \text{Field } \text{leq}$   
 ⟨*proof*⟩

**lemma** *fixp-above-unfold*:

**assumes**  $a: a \in \text{Field } \text{leq}$   
**shows**  $\text{fixp-above } a = f (\text{fixp-above } a)$  (**is**  $?a = f ?a$ )  
 $\langle \text{proof} \rangle$

**end**

**lemma**  $\text{fixp-above-induct}$  [ $\text{case-names adm base step}$ ]:  
**assumes**  $\text{adm}: \text{ccpo.admissible } \text{lub } (\lambda x y. (x, y) \in \text{leq}) P$   
**and**  $\text{base}: P a$   
**and**  $\text{step}: \bigwedge x. P x \implies P (f x)$   
**shows**  $P (\text{fixp-above } a)$   
 $\langle \text{proof} \rangle$

**end**

## 10.1 Connect with the while combinator for executability on chain-finite lattices.

**context**  $\text{bourbaki-witt-fixpoint}$  **begin**

**lemma**  $\text{in-Chains-finite}$ : — Translation from  $\llbracket \text{Complete-Partial-Order.chain } \text{op} \leq ?A; \text{finite } ?A; ?A \neq \{\} \rrbracket \implies \text{Sup } ?A \in ?A$ .  
**assumes**  $M \in \text{Chains } \text{leq}$   
**and**  $M \neq \{\}$   
**and**  $\text{finite } M$   
**shows**  $\text{lub } M \in M$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fun-pow-iterates-above}$ :  $(f \hat{\hat{}} k) a \in \text{iterates-above } a$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{chfin-iterates-above-fun-pow}$ :  
**assumes**  $x \in \text{iterates-above } a$   
**assumes**  $\forall M \in \text{Chains } \text{leq}. \text{finite } M$   
**shows**  $\exists j. x = (f \hat{\hat{}} j) a$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Chain-finite-iterates-above-fun-pow-iff}$ :  
**assumes**  $\forall M \in \text{Chains } \text{leq}. \text{finite } M$   
**shows**  $x \in \text{iterates-above } a \iff (\exists j. x = (f \hat{\hat{}} j) a)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fixp-above-Kleene-iter-ex}$ :  
**assumes**  $(\forall M \in \text{Chains } \text{leq}. \text{finite } M)$   
**obtains**  $k$  **where**  $\text{fixp-above } a = (f \hat{\hat{}} k) a$   
 $\langle \text{proof} \rangle$

**context**  $\text{fixes } a$  **assumes**  $a: a \in \text{Field } \text{leq}$  **begin**



**lemma** *funpow-Field-leq*:  $(f \hat{\hat{k}}) a \in \text{Field leq}$   
 ⟨proof⟩

**lemma** *funpow-prefix*:  $j < k \implies ((f \hat{\hat{j}}) a, (f \hat{\hat{k}}) a) \in \text{leq}$   
 ⟨proof⟩

**lemma** *funpow-suffix*:  $(f \hat{\hat{\text{Suc } k}}) a = (f \hat{\hat{k}}) a \implies ((f \hat{\hat{(j + k)}}) a, (f \hat{\hat{k}}) a) \in \text{leq}$   
 ⟨proof⟩

**lemma** *funpow-stability*:  $(f \hat{\hat{\text{Suc } k}}) a = (f \hat{\hat{k}}) a \implies ((f \hat{\hat{j}}) a, (f \hat{\hat{k}}) a) \in \text{leq}$   
 ⟨proof⟩

**lemma** *funpow-in-Chains*:  $\{(f \hat{\hat{k}}) a \mid k. \text{True}\} \in \text{Chains leq}$   
 ⟨proof⟩

**lemma** *fixp-above-Kleene-iter*:  
 assumes  $\forall M \in \text{Chains leq. finite } M$   
 assumes  $(f \hat{\hat{\text{Suc } k}}) a = (f \hat{\hat{k}}) a$   
 shows *fixp-above*  $a = (f \hat{\hat{k}}) a$   
 ⟨proof⟩

**context** assumes *chfn*:  $\forall M \in \text{Chains leq. finite } M$  **begin**

**lemma** *Chain-finite-wf*:  $\text{wf } \{(f \hat{\hat{(f \hat{\hat{k}}) a}}, (f \hat{\hat{k}}) a) \mid k. f \hat{\hat{(f \hat{\hat{k}}) a}} \neq (f \hat{\hat{k}}) a\}$   
 ⟨proof⟩

**lemma** *while-option-finite-increasing*:  $\exists P. \text{while-option } (\lambda A. f A \neq A) f a = \text{Some } P$   
 ⟨proof⟩

**lemma** *fixp-above-the-while-option*: *fixp-above*  $a = \text{the } (\text{while-option } (\lambda A. f A \neq A) f a)$   
 ⟨proof⟩

**lemma** *fixp-above-conv-while*: *fixp-above*  $a = \text{while } (\lambda A. f A \neq A) f a$   
 ⟨proof⟩

**end**

**end**

**end**

**lemma** *bourbaki-witt-fixpoint-complete-latticeI*:  
 fixes  $f :: 'a::\text{complete-lattice} \Rightarrow 'a$   
 assumes  $\bigwedge x. x \leq f x$

**shows** *bourbaki-witt-fixpoint*  $\text{Sup } \{(x, y). x \leq y\} f$   
 ⟨*proof*⟩

**end**

## 11 Order on characters

**theory** *Char-ord*

**imports** *Main*

**begin**

**instantiation** *char* :: *linorder*

**begin**

**definition**  $c1 \leq c2 \iff \text{nat-of-char } c1 \leq \text{nat-of-char } c2$

**definition**  $c1 < c2 \iff \text{nat-of-char } c1 < \text{nat-of-char } c2$

**instance**

⟨*proof*⟩

**end**

**lemma** *less-eq-char-simps*:

$0 \leq c$

$\text{Char } k \leq 0 \iff \text{numeral } k \text{ mod } 256 = (0 :: \text{nat})$

$\text{Char } k \leq \text{Char } l \iff \text{numeral } k \text{ mod } 256 \leq (\text{numeral } l \text{ mod } 256 :: \text{nat})$

**for**  $c :: \text{char}$

⟨*proof*⟩

**lemma** *less-char-simps*:

$\neg c < 0$

$0 < \text{Char } k \iff (0 :: \text{nat}) < \text{numeral } k \text{ mod } 256$

$\text{Char } k < \text{Char } l \iff \text{numeral } k \text{ mod } 256 < (\text{numeral } l \text{ mod } 256 :: \text{nat})$

**for**  $c :: \text{char}$

⟨*proof*⟩

**instantiation** *char* :: *distrib-lattice*

**begin**

**definition** (*inf* :: *char*  $\Rightarrow$  -) = *min*

**definition** (*sup* :: *char*  $\Rightarrow$  -) = *max*

**instance**

⟨*proof*⟩

**end**

**instantiation** *String.literal* :: *linorder*

**begin**

**context includes** *literal.lifting*  
**begin**

**lift-definition** *less-literal* :: *String.literal*  $\Rightarrow$  *String.literal*  $\Rightarrow$  *bool*  
**is** *ord.lexordp op* < *<proof>*

**lift-definition** *less-eq-literal* :: *String.literal*  $\Rightarrow$  *String.literal*  $\Rightarrow$  *bool*  
**is** *ord.lexordp-eq op* < *<proof>*

**instance**  
*<proof>*

**end**

**end**

**lemma** *less-literal-code* [*code*]:  
*op* < = ( $\lambda xs\ ys.$  *ord.lexordp op* < (*String.explode xs*) (*String.explode ys*))  
*<proof>*

**lemma** *less-eq-literal-code* [*code*]:  
*op*  $\leq$  = ( $\lambda xs\ ys.$  *ord.lexordp-eq op* < (*String.explode xs*) (*String.explode ys*))  
*<proof>*

**lifting-update** *literal.lifting*  
**lifting-forget** *literal.lifting*

**end**

**theory** *Code-Test*  
**imports** *Main*  
**keywords** *test-code* :: *diag*  
**begin**

### 11.1 YXML encoding for *term*

**datatype** (*plugins del: code size quickcheck*) *yxml-of-term* = *YXML*

**lemma** *yot-anything*:  $x = (y :: \textit{yxml-of-term})$   
*<proof>*

**definition** *yot-empty* :: *yxml-of-term* **where** [*code del*]: *yot-empty* = *YXML*

**definition** *yot-literal* :: *String.literal*  $\Rightarrow$  *yxml-of-term*

**where** [*code del*]: *yot-literal -* = *YXML*

**definition** *yot-append* :: *yxml-of-term*  $\Rightarrow$  *yxml-of-term*  $\Rightarrow$  *yxml-of-term*

**where** [*code del*]: *yot-append - -* = *YXML*

**definition** *yot-concat* :: *yxml-of-term list*  $\Rightarrow$  *yxml-of-term*

**where** [code del]: *yot-concat* - = *YXML*

Serialise *yxml-of-term* to native string of target language

**code-printing type-constructor** *yxml-of-term*

→ (*SML*) *string*

**and** (*OCaml*) *string*

**and** (*Haskell*) *String*

**and** (*Scala*) *String*

| **constant** *yot-empty*

→ (*SML*)

**and** (*OCaml*)

**and** (*Haskell*)

**and** (*Scala*)

| **constant** *yot-literal*

→ (*SML*) -

**and** (*OCaml*) -

**and** (*Haskell*) -

**and** (*Scala*) -

| **constant** *yot-append*

→ (*SML*) *String.concat* [(-), (-)]

**and** (*OCaml*) *String.concat* [(-); (-)]

**and** (*Haskell*) **infixr** 5 ++

**and** (*Scala*) **infixl** 5 +

| **constant** *yot-concat*

→ (*SML*) *String.concat*

**and** (*OCaml*) *String.concat*

**and** (*Haskell*) *Prelude.concat*

**and** (*Scala*) *-.mkString()*

Stripped-down implementations of Isabelle’s XML tree with YXML encoding as defined in `~/src/Pure/PIDE/xml.ML`, `~/src/Pure/PIDE/yxml.ML` sufficient to encode *term* as in `~/src/Pure/term_xml.ML`.

**datatype** (*plugins del: code size quickcheck*) *xml-tree* = *XML-Tree*

**lemma** *xml-tree-anything*:  $x = (y :: \text{xml-tree})$

*<proof>*

**context begin**

*<ML>*

**type-synonym** *attributes* = (*String.literal* × *String.literal*) *list*

**type-synonym** *body* = *xml-tree list*

**definition** *Elem* :: *String.literal* ⇒ *attributes* ⇒ *xml-tree list* ⇒ *xml-tree*

**where** [code del]: *Elem* - - - = *XML-Tree*

**definition** *Text* :: *String.literal* ⇒ *xml-tree*

**where** [code del]: *Text* - = *XML-Tree*

**definition** *node* :: *xml-tree list*  $\Rightarrow$  *xml-tree*  
**where** *node ts* = *Elem (STR "'')* [] *ts*

**definition** *tagged* :: *String.literal*  $\Rightarrow$  *String.literal option*  $\Rightarrow$  *xml-tree list*  $\Rightarrow$  *xml-tree*  
**where** *tagged tag x ts* = *Elem tag (case x of None*  $\Rightarrow$  [] | *Some x'*  $\Rightarrow$  [(*STR "'0''*, *x'*)]]) *ts*

**definition** *list* **where** *list f xs* = *map (node*  $\circ$  *f)* *xs*

**definition** *X* :: *yaml-of-term* **where** *X* = *yot-literal (STR [Char (num.Bit1 (num.Bit0 num.One))])*

**definition** *Y* :: *yaml-of-term* **where** *Y* = *yot-literal (STR [Char (num.Bit0 (num.Bit1 num.One))])*

**definition** *XY* :: *yaml-of-term* **where** *XY* = *yot-append X Y*

**definition** *XYX* :: *yaml-of-term* **where** *XYX* = *yot-append XY X*

**end**

**code-datatype** *xml.Elem xml.Text*

**definition** *yaml-string-of-xml-tree* :: *xml-tree*  $\Rightarrow$  *yaml-of-term*  $\Rightarrow$  *yaml-of-term*  
**where** [*code del*]: *yaml-string-of-xml-tree - -* = *YXML*

**lemma** *yaml-string-of-xml-tree-code* [*code*]:

*yaml-string-of-xml-tree (xml.Elem name atts ts) rest* =  
*yot-append xml.XY (*  
*yot-append (yot-literal name) (*  
*foldr* ( $\lambda(a, x)$  *rest.*  
*yot-append xml.Y (*  
*yot-append (yot-literal a) (*  
*yot-append (yot-literal (STR "'='')) (*  
*yot-append (yot-literal x) rest)))) *atts (*  
*foldr* *yaml-string-of-xml-tree ts (*  
*yot-append xml.XYX rest))))*  
*yaml-string-of-xml-tree (xml.Text s) rest* = *yot-append (yot-literal s) rest*  
*<proof>**

**definition** *yaml-string-of-body* :: *xml.body*  $\Rightarrow$  *yaml-of-term*

**where** *yaml-string-of-body ts* = *foldr* *yaml-string-of-xml-tree ts* *yot-empty*

Encoding *term* into XML trees as defined in `~/src/Pure/term_xml.ML`.

**definition** *xml-of-ty* :: *Typerep.typerep*  $\Rightarrow$  *xml.body*

**where** [*code del*]: *xml-of-ty -* = [*XML-Tree*]

**definition** *xml-of-term* :: *Code-Evaluation.term*  $\Rightarrow$  *xml.body*

**where** [*code del*]: *xml-of-term -* = [*XML-Tree*]

**lemma** *xml-of-ty-code* [*code*]:

*xml-of-ty (typerep.Typerep t args)* = [*xml.tagged (STR "'0'')* (*Some t*) (*xml.list*

*xml-of-typ args*]  
 ⟨*proof*⟩

**lemma** *xml-of-term-code* [*code*]:

*xml-of-term* (*Code-Evaluation.Const* *x ty*) = [*xml.tagged* (*STR "0"*) (*Some x*)  
 (*xml-of-typ ty*)]

*xml-of-term* (*Code-Evaluation.App* *t1 t2*) = [*xml.tagged* (*STR "5"*) *None* [*xml.node*  
 (*xml-of-term t1*), *xml.node* (*xml-of-term t2*)]]

*xml-of-term* (*Code-Evaluation.Abs* *x ty t*) = [*xml.tagged* (*STR "4"*) (*Some x*)  
 [*xml.node* (*xml-of-typ ty*), *xml.node* (*xml-of-term t*)]]

— **FIXME:** *Code-Evaluation.Free* is used only in *Quickcheck-Narrowing* to represent uninstantiated parameters in constructors. Here, we always translate them to **Free** variables.

*xml-of-term* (*Code-Evaluation.Free* *x ty*) = [*xml.tagged* (*STR "1"*) (*Some x*)  
 (*xml-of-typ ty*)]  
 ⟨*proof*⟩

**definition** *yxml-string-of-term* :: *Code-Evaluation.term* ⇒ *yxml-of-term*

**where** *yxml-string-of-term* = *yxml-string-of-body* ∘ *xml-of-term*

## 11.2 Test engine and drivers

⟨*ML*⟩

end

## 12 Pretty syntax for lattice operations

**theory** *Lattice-Syntax*

**imports** *HOL.Complete-Lattices*

**begin**

**notation**

*bot* ( $\perp$ ) **and**

*top* ( $\top$ ) **and**

*inf* (**infixl**  $\sqcap$  70) **and**

*sup* (**infixl**  $\sqcup$  65) **and**

*Inf* ( $\sqcap$ - [900] 900) **and**

*Sup* ( $\sqcup$ - [900] 900)

**syntax**

*-INF1* :: *pitrns* ⇒ 'b ⇒ 'b (( $\exists \sqcap$  -./ -) [0, 10] 10)

*-INF* :: *pitrn* ⇒ 'a set ⇒ 'b ⇒ 'b (( $\exists \sqcap$  -∈-./ -) [0, 0, 10] 10)

*-SUP1* :: *pitrns* ⇒ 'b ⇒ 'b (( $\exists \sqcup$  -./ -) [0, 10] 10)

*-SUP* :: *pitrn* ⇒ 'a set ⇒ 'b ⇒ 'b (( $\exists \sqcup$  -∈-./ -) [0, 0, 10] 10)

end

### 13 A combinator to build partial equivalence relations from a predicate and an equivalence relation

**theory** *Combine-PER*

**imports** *Main Lattice-Syntax*

**begin**

**definition** *combine-per* ::  $('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$   
**where** *combine-per*  $P R = (\lambda x y. P x \wedge P y) \sqcap R$

**lemma** *combine-per-simp* [*simp*]:

*combine-per*  $P R x y \longleftrightarrow P x \wedge P y \wedge x \approx y$  **for**  $R$  (**infixl**  $\approx 50$ )  
 ⟨*proof*⟩

**lemma** *combine-per-top* [*simp*]: *combine-per*  $\top R = R$

⟨*proof*⟩

**lemma** *combine-per-eq* [*simp*]: *combine-per*  $P \text{HOL.eq} = \text{HOL.eq} \sqcap (\lambda x y. P x)$

⟨*proof*⟩

**lemma** *symp-combine-per*: *symp*  $R \Longrightarrow \text{symp} (\text{combine-per } P R)$

⟨*proof*⟩

**lemma** *transp-combine-per*: *transp*  $R \Longrightarrow \text{transp} (\text{combine-per } P R)$

⟨*proof*⟩

**lemma** *combine-perI*:  $P x \Longrightarrow P y \Longrightarrow x \approx y \Longrightarrow \text{combine-per } P R x y$  **for**  $R$   
 (**infixl**  $\approx 50$ )

⟨*proof*⟩

**lemma** *symp-combine-per-symp*: *symp*  $R \Longrightarrow \text{symp} (\text{combine-per } P R)$

⟨*proof*⟩

**lemma** *transp-combine-per-transp*: *transp*  $R \Longrightarrow \text{transp} (\text{combine-per } P R)$

⟨*proof*⟩

**lemma** *equivp-combine-per-part-equivp* [*intro?*]:

**fixes**  $R$  (**infixl**  $\approx 50$ )

**assumes**  $\exists x. P x$  **and** *equivp*  $R$

**shows** *part-equivp*  $(\text{combine-per } P R)$

⟨*proof*⟩

**end**

## 14 Formalisation of chain-complete partial orders, continuity and admissibility

**theory** *Complete-Partial-Order2* **imports**

*Main Lattice-Syntax*

**begin**

**lemma** *chain-transfer* [*transfer-rule*]:

**includes** *lifting-syntax*

**shows**  $((A \text{====>} A \text{====>} \text{op} =) \text{====>} \text{rel-set } A \text{====>} \text{op} =) \text{Complete-Partial-Order.chain}$   
*Complete-Partial-Order.chain*

*<proof>*

**lemma** *linorder-chain* [*simp, intro!*]:

**fixes**  $Y :: - :: \text{linorder set}$

**shows** *Complete-Partial-Order.chain*  $\text{op} \leq Y$

*<proof>*

**lemma** *fun-lub-apply*:  $\bigwedge \text{Sup. fun-lub Sup } Y \ x = \text{Sup } ((\lambda f. f \ x) \ ' Y)$

*<proof>*

**lemma** *fun-lub-empty* [*simp*]: *fun-lub* *lub*  $\{\}$  =  $(\lambda -. \text{lub } \{\})$

*<proof>*

**lemma** *chain-fun-ordD*:

**assumes** *Complete-Partial-Order.chain*  $(\text{fun-ord } \text{le}) \ Y$

**shows** *Complete-Partial-Order.chain* *le*  $((\lambda f. f \ x) \ ' Y)$

*<proof>*

**lemma** *chain-Diff*:

*Complete-Partial-Order.chain* *ord*  $A$

$\implies \text{Complete-Partial-Order.chain ord } (A - B)$

*<proof>*

**lemma** *chain-rel-prodD1*:

*Complete-Partial-Order.chain*  $(\text{rel-prod } \text{orda } \text{ordb}) \ Y$

$\implies \text{Complete-Partial-Order.chain } \text{orda } (\text{fst } \ ' Y)$

*<proof>*

**lemma** *chain-rel-prodD2*:

*Complete-Partial-Order.chain*  $(\text{rel-prod } \text{orda } \text{ordb}) \ Y$

$\implies \text{Complete-Partial-Order.chain } \text{ordb } (\text{snd } \ ' Y)$

*<proof>*

**context** *ccpo* **begin**

**lemma** *ccpo-fun*: *class.ccpo*  $(\text{fun-lub } \text{Sup}) (\text{fun-ord } \text{op} \leq) (\text{mk-less } (\text{fun-ord } \text{op} \leq))$

*<proof>*



**lemma** *ccpo-Sup-below-iff*: *Complete-Partial-Order.chain op ≤ Y ⇒ Sup Y ≤ x ↔ (∀ y ∈ Y. y ≤ x)*  
 ⟨proof⟩

**lemma** *Sup-minus-bot*:  
**assumes** *chain*: *Complete-Partial-Order.chain op ≤ A*  
**shows**  $\sqcup(A - \{\sqcup\}) = \sqcup A$   
 (**is** ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *mono-lub*:  
**fixes** *le-b* (**infix**  $\sqsubseteq$  60)  
**assumes** *chain*: *Complete-Partial-Order.chain (fun-ord op ≤) Y*  
**and** *mono*:  $\bigwedge f. f \in Y \Rightarrow \text{monotone } le\text{-b } op \leq f$   
**shows** *monotone op*  $\sqsubseteq op \leq (fun\text{-lub } Sup Y)$   
 ⟨proof⟩

**context**  
**fixes** *le-b* (**infix**  $\sqsubseteq$  60) **and** *Y f*  
**assumes** *chain*: *Complete-Partial-Order.chain le-b Y*  
**and** *mono1*:  $\bigwedge y. y \in Y \Rightarrow \text{monotone } le\text{-b } op \leq (\lambda x. f x y)$   
**and** *mono2*:  $\bigwedge x a b. [x \in Y; a \sqsubseteq b; a \in Y; b \in Y] \Rightarrow f x a \leq f x b$   
**begin**

**lemma** *Sup-mono*:  
**assumes** *le*:  $x \sqsubseteq y$  **and** *x*:  $x \in Y$  **and** *y*:  $y \in Y$   
**shows**  $\sqcup(f x ' Y) \leq \sqcup(f y ' Y)$  (**is** -  $\leq$  ?rhs)  
 ⟨proof⟩

**lemma** *diag-Sup*:  $\sqcup((\lambda x. \sqcup(f x ' Y)) ' Y) = \sqcup((\lambda x. f x x) ' Y)$  (**is** ?lhs = ?rhs)  
 ⟨proof⟩

**end**

**lemma** *Sup-image-mono-le*:  
**fixes** *le-b* (**infix**  $\sqsubseteq$  60) **and** *Sup-b* ( $\bigvee$ - [900] 900)  
**assumes** *ccpo*: *class.ccpo Sup-b op*  $\sqsubseteq$  *lt-b*  
**assumes** *chain*: *Complete-Partial-Order.chain op*  $\sqsubseteq$  *Y*  
**and** *mono*:  $\bigwedge x y. [x \sqsubseteq y; x \in Y] \Rightarrow f x \leq f y$   
**shows** *Sup*  $(f ' Y) \leq f (\bigvee Y)$   
 ⟨proof⟩

**lemma** *swap-Sup*:  
**fixes** *le-b* (**infix**  $\sqsubseteq$  60)  
**assumes** *Y*: *Complete-Partial-Order.chain op*  $\sqsubseteq$  *Y*  
**and** *Z*: *Complete-Partial-Order.chain (fun-ord op ≤) Z*  
**and** *mono*:  $\bigwedge f. f \in Z \Rightarrow \text{monotone } op \sqsubseteq op \leq f$   
**shows**  $\sqcup((\lambda x. \sqcup(x ' Y)) ' Z) = \sqcup((\lambda x. \sqcup((\lambda f. f x) ' Z)) ' Y)$

(is ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *fixp-mono*:

assumes *fg*: *fun-ord op* ≤ *f g*  
 and *f*: *monotone op* ≤ *op* ≤ *f*  
 and *g*: *monotone op* ≤ *op* ≤ *g*  
 shows *ccpo-class.fixp f* ≤ *ccpo-class.fixp g*  
 ⟨proof⟩

**context** fixes *ordb* :: 'b ⇒ 'b ⇒ bool (infix ⊆ 60) **begin**

**lemma** *iterates-mono*:

assumes *f*: *f* ∈ *ccpo.iterates (fun-lub Sup) (fun-ord op ≤) F*  
 and *mono*:  $\bigwedge f. \text{monotone } op \sqsubseteq op \leq f \implies \text{monotone } op \sqsubseteq op \leq (F f)$   
 shows *monotone op* ⊆ *op* ≤ *f*  
 ⟨proof⟩

**lemma** *fixp-preserves-mono*:

assumes *mono*:  $\bigwedge x. \text{monotone } (fun\text{-ord } op \leq) op \leq (\lambda f. F f x)$   
 and *mono2*:  $\bigwedge f. \text{monotone } op \sqsubseteq op \leq f \implies \text{monotone } op \sqsubseteq op \leq (F f)$   
 shows *monotone op* ⊆ *op* ≤ (*ccpo.fixp (fun-lub Sup) (fun-ord op ≤) F*)  
 (is *monotone - - ?fixp*)  
 ⟨proof⟩

**end**

**end**

**lemma** *monotone2monotone*:

assumes *2*:  $\bigwedge x. \text{monotone } ordb\ ordc (\lambda y. f x y)$   
 and *t*: *monotone orda ordb* ( $\lambda x. t x$ )  
 and *1*:  $\bigwedge y. \text{monotone } orda\ ordc (\lambda x. f x y)$   
 and *trans*: *transp ordc*  
 shows *monotone orda ordc* ( $\lambda x. f x (t x)$ )  
 ⟨proof⟩

## 14.1 Continuity

**definition** *cont* :: ('a set ⇒ 'a) ⇒ ('a ⇒ 'a ⇒ bool) ⇒ ('b set ⇒ 'b) ⇒ ('b ⇒ 'b ⇒ bool) ⇒ ('a ⇒ 'b) ⇒ bool

**where**

*cont luba orda lubb ordb f*  $\longleftrightarrow$   
 ( $\forall Y. \text{Complete-Partial-Order.chain } orda\ Y \longrightarrow Y \neq \{\} \longrightarrow f (\text{luba } Y) = \text{lubb } (f \cdot Y)$ )

**definition** *mcont* :: ('a set ⇒ 'a) ⇒ ('a ⇒ 'a ⇒ bool) ⇒ ('b set ⇒ 'b) ⇒ ('b ⇒ 'b ⇒ bool) ⇒ ('a ⇒ 'b) ⇒ bool

**where**

$$\begin{aligned} & mcont\ luba\ orda\ lubb\ ordb\ f \longleftrightarrow \\ & monotone\ orda\ ordb\ f \wedge cont\ luba\ orda\ lubb\ ordb\ f \end{aligned}$$

### 14.1.1 Theorem collection *cont-intro*

**named-theorems** *cont-intro* continuity and admissibility intro rules  
 $\langle ML \rangle$

**lemmas** [*cont-intro*] =  
*call-mono*  
*let-mono*  
*if-mono*  
*option.const-mono*  
*tailrec.const-mono*  
*bind-mono*

**declare** *if-mono*[*simp*]

**lemma** *monotone-id'* [*cont-intro*]: *monotone ord ord* ( $\lambda x. x$ )  
 $\langle proof \rangle$

**lemma** *monotone-applyI*:  
*monotone orda ordb F*  $\implies$  *monotone (fun-ord orda) ordb* ( $\lambda f. F (f x)$ )  
 $\langle proof \rangle$

**lemma** *monotone-if-fun* [*partial-function-mono*]:  
 $\llbracket$  *monotone (fun-ord orda) (fun-ord ordb) F*; *monotone (fun-ord orda) (fun-ord ordb) G*  $\rrbracket$   
 $\implies$  *monotone (fun-ord orda) (fun-ord ordb)* ( $\lambda f n. \text{if } c\ n \text{ then } F\ f\ n \text{ else } G\ f\ n$ )  
 $\langle proof \rangle$

**lemma** *monotone-fun-apply-fun* [*partial-function-mono*]:  
*monotone (fun-ord (fun-ord ord)) (fun-ord ord)* ( $\lambda f n. f\ t\ (g\ n)$ )  
 $\langle proof \rangle$

**lemma** *monotone-fun-ord-apply*:  
*monotone orda (fun-ord ordb) f*  $\longleftrightarrow$  ( $\forall x. \text{monotone orda ordb } (\lambda y. f\ y\ x)$ )  
 $\langle proof \rangle$

**context** *preorder* **begin**

**lemma** *transp-le* [*simp, cont-intro*]: *transp op*  $\leq$   
 $\langle proof \rangle$

**lemma** *monotone-const* [*simp, cont-intro*]: *monotone ord op*  $\leq$  ( $\lambda-. c$ )  
 $\langle proof \rangle$

**end**

**lemma** *transp-le* [*cont-intro*, *simp*]:  
 $class.preorder\ ord\ (mk-less\ ord) \implies transp\ ord$   
 $\langle proof \rangle$

**context** *partial-function-definitions* **begin**

**declare** *const-mono* [*cont-intro*, *simp*]

**lemma** *transp-le* [*cont-intro*, *simp*]: *transp leq*  
 $\langle proof \rangle$

**lemma** *preorder* [*cont-intro*, *simp*]: *class.preorder leq (mk-less leq)*  
 $\langle proof \rangle$

**declare** *ccpo*[*cont-intro*, *simp*]

**end**

**lemma** *contI* [*intro?*]:  
 $(\bigwedge Y. \llbracket Complete-Partial-Order.chain\ orda\ Y; Y \neq \{\} \rrbracket \implies f\ (luba\ Y) = lubb\ (f\ ' Y))$   
 $\implies cont\ luba\ orda\ lubb\ ordb\ f$   
 $\langle proof \rangle$

**lemma** *contD*:  
 $\llbracket cont\ luba\ orda\ lubb\ ordb\ f; Complete-Partial-Order.chain\ orda\ Y; Y \neq \{\} \rrbracket$   
 $\implies f\ (luba\ Y) = lubb\ (f\ ' Y)$   
 $\langle proof \rangle$

**lemma** *cont-id* [*simp*, *cont-intro*]:  $\bigwedge Sup. cont\ Sup\ ord\ Sup\ ord\ id$   
 $\langle proof \rangle$

**lemma** *cont-id'* [*simp*, *cont-intro*]:  $\bigwedge Sup. cont\ Sup\ ord\ Sup\ ord\ (\lambda x. x)$   
 $\langle proof \rangle$

**lemma** *cont-applyI* [*cont-intro*]:  
**assumes** *cont*: *cont luba orda lubb ordb g*  
**shows** *cont (fun-lub luba) (fun-ord orda) lubb ordb ( $\lambda f. g\ (f\ x)$ )*  
 $\langle proof \rangle$

**lemma** *call-cont*: *cont (fun-lub lub) (fun-ord ord) lub ord ( $\lambda f. f\ t$ )*  
 $\langle proof \rangle$

**lemma** *cont-if* [*cont-intro*]:  
 $\llbracket cont\ luba\ orda\ lubb\ ordb\ f; cont\ luba\ orda\ lubb\ ordb\ g \rrbracket$   
 $\implies cont\ luba\ orda\ lubb\ ordb\ (\lambda x. if\ c\ then\ f\ x\ else\ g\ x)$   
 $\langle proof \rangle$

**lemma** *mcontI* [*intro?*]:

$\llbracket \text{monotone } \text{orda } \text{ordb } f; \text{cont } \text{luba } \text{orda } \text{lubb } \text{ordb } f \rrbracket \implies \text{mcont } \text{luba } \text{orda } \text{lubb } \text{ordb } f$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-mono*:  $\text{mcont } \text{luba } \text{orda } \text{lubb } \text{ordb } f \implies \text{monotone } \text{orda } \text{ordb } f$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-cont* [*simp*]:  $\text{mcont } \text{luba } \text{orda } \text{lubb } \text{ordb } f \implies \text{cont } \text{luba } \text{orda } \text{lubb } \text{ordb } f$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-monoD*:  
 $\llbracket \text{mcont } \text{luba } \text{orda } \text{lubb } \text{ordb } f; \text{orda } x y \rrbracket \implies \text{ordb } (f x) (f y)$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-contD*:  
 $\llbracket \text{mcont } \text{luba } \text{orda } \text{lubb } \text{ordb } f; \text{Complete-Partial-Order.chain } \text{orda } Y; Y \neq \{\} \rrbracket$   
 $\implies f (\text{luba } Y) = \text{lubb } (f \text{ ' } Y)$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-call* [*cont-intro*, *simp*]:  
 $\text{mcont } (\text{fun-lub } \text{lub}) (\text{fun-ord } \text{ord}) \text{lub } \text{ord } (\lambda f. f t)$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-id'* [*cont-intro*, *simp*]:  $\text{mcont } \text{lub } \text{ord } \text{lub } \text{ord } (\lambda x. x)$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-applyI*:  
 $\text{mcont } \text{luba } \text{orda } \text{lubb } \text{ordb } (\lambda x. F x) \implies \text{mcont } (\text{fun-lub } \text{luba}) (\text{fun-ord } \text{orda}) \text{lubb } \text{ordb } (\lambda f. F (f x))$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-if* [*cont-intro*, *simp*]:  
 $\llbracket \text{mcont } \text{luba } \text{orda } \text{lubb } \text{ordb } (\lambda x. f x); \text{mcont } \text{luba } \text{orda } \text{lubb } \text{ordb } (\lambda x. g x) \rrbracket$   
 $\implies \text{mcont } \text{luba } \text{orda } \text{lubb } \text{ordb } (\lambda x. \text{if } c \text{ then } f x \text{ else } g x)$   
 $\langle \text{proof} \rangle$

**lemma** *cont-fun-lub-apply*:  
 $\text{cont } \text{luba } \text{orda } (\text{fun-lub } \text{lubb}) (\text{fun-ord } \text{ordb}) f \longleftrightarrow (\forall x. \text{cont } \text{luba } \text{orda } \text{lubb } \text{ordb } (\lambda y. f y x))$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-fun-lub-apply*:  
 $\text{mcont } \text{luba } \text{orda } (\text{fun-lub } \text{lubb}) (\text{fun-ord } \text{ordb}) f \longleftrightarrow (\forall x. \text{mcont } \text{luba } \text{orda } \text{lubb } \text{ordb } (\lambda y. f y x))$   
 $\langle \text{proof} \rangle$

**context** *ccpo* **begin**

**lemma** *cont-const* [*simp*, *cont-intro*]: *cont luba orda Sup op*  $\leq$  ( $\lambda x. c$ )  
 ⟨*proof*⟩

**lemma** *mcont-const* [*cont-intro*, *simp*]:  
*mcont luba orda Sup op*  $\leq$  ( $\lambda x. c$ )  
 ⟨*proof*⟩

**lemma** *cont-apply*:  
**assumes** 2:  $\bigwedge x. \text{cont lubb ordb } Sup \text{ op} \leq (\lambda y. f \ x \ y)$   
**and** *t*: *cont luba orda lubb ordb* ( $\lambda x. t \ x$ )  
**and** 1:  $\bigwedge y. \text{cont luba orda } Sup \text{ op} \leq (\lambda x. f \ x \ y)$   
**and** *mono*: *monotone orda ordb* ( $\lambda x. t \ x$ )  
**and** *mono2*:  $\bigwedge x. \text{monotone ordb } op \leq (\lambda y. f \ x \ y)$   
**and** *mono1*:  $\bigwedge y. \text{monotone orda } op \leq (\lambda x. f \ x \ y)$   
**shows** *cont luba orda Sup op*  $\leq$  ( $\lambda x. f \ x \ (t \ x)$ )  
 ⟨*proof*⟩

**lemma** *mcont2mcont'*:  
 [  $\bigwedge x. \text{mcont lub' ord' } Sup \text{ op} \leq (\lambda y. f \ x \ y)$ ;  
 $\bigwedge y. \text{mcont lub ord } Sup \text{ op} \leq (\lambda x. f \ x \ y)$ ;  
*mcont lub ord lub' ord'* ( $\lambda y. t \ y$ ) ]  
 $\implies$  *mcont lub ord Sup op*  $\leq$  ( $\lambda x. f \ x \ (t \ x)$ )  
 ⟨*proof*⟩

**lemma** *mcont2mcont*:  
 [ *mcont lub' ord' Sup op*  $\leq$  ( $\lambda x. f \ x$ ); *mcont lub ord lub' ord'* ( $\lambda x. t \ x$ ) ]  
 $\implies$  *mcont lub ord Sup op*  $\leq$  ( $\lambda x. f \ (t \ x)$ )  
 ⟨*proof*⟩

**context**  
**fixes** *ord* :: 'b  $\Rightarrow$  'b  $\Rightarrow$  bool (**infix**  $\sqsubseteq$  60)  
**and** *lub* :: 'b set  $\Rightarrow$  'b ( $\bigvee$ - [900] 900)  
**begin**

**lemma** *cont-fun-lub-Sup*:  
**assumes** *chainM*: *Complete-Partial-Order.chain* (*fun-ord op*  $\leq$ ) *M*  
**and** *mcont* [*rule-format*]:  $\forall f \in M. \text{mcont lub } op \sqsubseteq Sup \text{ op} \leq f$   
**shows** *cont lub op*  $\sqsubseteq Sup \text{ op} \leq$  (*fun-lub Sup M*)  
 ⟨*proof*⟩

**lemma** *mcont-fun-lub-Sup*:  
 [ *Complete-Partial-Order.chain* (*fun-ord op*  $\leq$ ) *M*;  
 $\forall f \in M. \text{mcont lub ord } Sup \text{ op} \leq f$  ]  
 $\implies$  *mcont lub op*  $\sqsubseteq Sup \text{ op} \leq$  (*fun-lub Sup M*)  
 ⟨*proof*⟩

**lemma** *iterates-mcont*:  
**assumes** *f*: *f*  $\in$  *ccpo.iterates* (*fun-lub Sup*) (*fun-ord op*  $\leq$ ) *F*  
**and** *mono*:  $\bigwedge f. \text{mcont lub } op \sqsubseteq Sup \text{ op} \leq f \implies \text{mcont lub } op \sqsubseteq Sup \text{ op} \leq (F \ f)$

**shows**  $mcont\ lub\ op \sqsubseteq Sup\ op \leq f$   
 ⟨proof⟩

**lemma** *fixp-preserves-mcont*:

**assumes** *mono*:  $\bigwedge x. monotone\ (fun\text{-}ord\ op \leq)\ op \leq (\lambda f. F\ f\ x)$   
**and** *mcont*:  $\bigwedge f. mcont\ lub\ op \sqsubseteq Sup\ op \leq f \implies mcont\ lub\ op \sqsubseteq Sup\ op \leq (F\ f)$   
**shows**  $mcont\ lub\ op \sqsubseteq Sup\ op \leq (ccpo.fixp\ (fun\text{-}lub\ Sup)\ (fun\text{-}ord\ op \leq)\ F)$   
 (is *mcont* - - - ?*fixp*)  
 ⟨proof⟩

**end**

**context**

**fixes**  $F :: 'c \Rightarrow 'c$  **and**  $U :: 'c \Rightarrow 'b \Rightarrow 'a$  **and**  $C :: ('b \Rightarrow 'a) \Rightarrow 'c$  **and**  $f$   
**assumes** *mono*:  $\bigwedge x. monotone\ (fun\text{-}ord\ op \leq)\ op \leq (\lambda f. U\ (F\ (C\ f))\ x)$   
**and** *eq*:  $f \equiv C\ (ccpo.fixp\ (fun\text{-}lub\ Sup)\ (fun\text{-}ord\ op \leq)\ (\lambda f. U\ (F\ (C\ f))))$   
**and** *inverse*:  $\bigwedge f. U\ (C\ f) = f$   
**begin**

**lemma** *fixp-preserves-mono-uc*:

**assumes** *mono2*:  $\bigwedge f. monotone\ ord\ op \leq (U\ f) \implies monotone\ ord\ op \leq (U\ (F\ f))$   
**shows**  $monotone\ ord\ op \leq (U\ f)$   
 ⟨proof⟩

**lemma** *fixp-preserves-mcont-uc*:

**assumes** *mcont*:  $\bigwedge f. mcont\ lubb\ ordb\ Sup\ op \leq (U\ f) \implies mcont\ lubb\ ordb\ Sup\ op \leq (U\ (F\ f))$   
**shows**  $mcont\ lubb\ ordb\ Sup\ op \leq (U\ f)$   
 ⟨proof⟩

**end**

**lemmas** *fixp-preserves-mono1* = *fixp-preserves-mono-uc*[of  $\lambda x. x - \lambda x. x$ , *OF* - - *refl*]

**lemmas** *fixp-preserves-mono2* =  
*fixp-preserves-mono-uc*[of *case-prod* - *curry*, *unfolded case-prod-curry curry-case-prod*, *OF* - - *refl*]

**lemmas** *fixp-preserves-mono3* =  
*fixp-preserves-mono-uc*[of  $\lambda f. case\text{-}prod\ (case\text{-}prod\ f) - \lambda f. curry\ (curry\ f)$ , *unfolded case-prod-curry curry-case-prod*, *OF* - - *refl*]

**lemmas** *fixp-preserves-mono4* =  
*fixp-preserves-mono-uc*[of  $\lambda f. case\text{-}prod\ (case\text{-}prod\ (case\text{-}prod\ f)) - \lambda f. curry\ (curry\ (curry\ f))$ , *unfolded case-prod-curry curry-case-prod*, *OF* - - *refl*]

**lemmas** *fixp-preserves-mcont1* = *fixp-preserves-mcont-uc*[of  $\lambda x. x - \lambda x. x$ , *OF* - - *refl*]

**lemmas** *fixp-preserves-mcont2* =

*fixp-preserves-mcont-uc*[of *case-prod - curry*, *unfolded case-prod-curry curry-case-prod*, *OF - - refl*]

**lemmas** *fixp-preserves-mcont3* =

*fixp-preserves-mcont-uc*[of  $\lambda f. \text{case-prod } (\text{case-prod } f) - \lambda f. \text{curry } (\text{curry } f)$ , *unfolded case-prod-curry curry-case-prod*, *OF - - refl*]

**lemmas** *fixp-preserves-mcont4* =

*fixp-preserves-mcont-uc*[of  $\lambda f. \text{case-prod } (\text{case-prod } (\text{case-prod } f)) - \lambda f. \text{curry } (\text{curry } (\text{curry } f))$ , *unfolded case-prod-curry curry-case-prod*, *OF - - refl*]

**end**

**lemma** (in *preorder*) *monotone-if-bot*:

**fixes** *bot*

**assumes** *mono*:  $\bigwedge x y. \llbracket x \leq y; \neg (x \leq \text{bound}) \rrbracket \implies \text{ord } (f x) (f y)$

**and** *bot*:  $\bigwedge x. \neg x \leq \text{bound} \implies \text{ord } \text{bot } (f x) \text{ ord } \text{bot } \text{bot}$

**shows** *monotone op*  $\leq \text{ord } (\lambda x. \text{if } x \leq \text{bound} \text{ then } \text{bot} \text{ else } f x)$

*<proof>*

**lemma** (in *ccpo*) *mcont-if-bot*:

**fixes** *bot* **and** *lub* ( $\bigvee$ - [900] 900) **and** *ord* (**infix**  $\sqsubseteq$  60)

**assumes** *ccpo*: *class.ccpo lub op*  $\sqsubseteq$  *lt*

**and** *mono*:  $\bigwedge x y. \llbracket x \leq y; \neg x \leq \text{bound} \rrbracket \implies f x \sqsubseteq f y$

**and** *cont*:  $\bigwedge Y. \llbracket \text{Complete-Partial-Order.chain } \text{op} \leq Y; Y \neq \{\}; \bigwedge x. x \in Y \implies \neg x \leq \text{bound} \rrbracket \implies f (\bigsqcup Y) = \bigvee (f \text{ ` } Y)$

**and** *bot*:  $\bigwedge x. \neg x \leq \text{bound} \implies \text{bot} \sqsubseteq f x$

**shows** *mcont Sup op*  $\leq \text{lub } \text{op} \sqsubseteq (\lambda x. \text{if } x \leq \text{bound} \text{ then } \text{bot} \text{ else } f x)$  (**is mcont** - - - - ?*g*)

*<proof>*

**context** *partial-function-definitions* **begin**

**lemma** *mcont-const* [*cont-intro*, *simp*]:

*mcont luba orda lub leq* ( $\lambda x. c$ )

*<proof>*

**lemmas** [*cont-intro*, *simp*] =

*ccpo.cont-const*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemma** *mono2mono*:

**assumes** *monotone ordb leq* ( $\lambda y. f y$ ) *monotone orda ordb* ( $\lambda x. t x$ )

**shows** *monotone orda leq* ( $\lambda x. f (t x)$ )

*<proof>*

**lemmas** *mcont2mcont'* = *ccpo.mcont2mcont'*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *mcont2mcont* = *ccpo.mcont2mcont*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mono1* = *ccpo.fixp-preserves-mono1*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mono2* = *ccpo.fixp-preserves-mono2*[*OF Partial-Function.ccpo*[*OF*



*partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mono3* = *ccpo.fixp-preserves-mono3* [*OF Partial-Function.ccpo* [*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mono4* = *ccpo.fixp-preserves-mono4* [*OF Partial-Function.ccpo* [*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mcont1* = *ccpo.fixp-preserves-mcont1* [*OF Partial-Function.ccpo* [*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mcont2* = *ccpo.fixp-preserves-mcont2* [*OF Partial-Function.ccpo* [*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mcont3* = *ccpo.fixp-preserves-mcont3* [*OF Partial-Function.ccpo* [*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mcont4* = *ccpo.fixp-preserves-mcont4* [*OF Partial-Function.ccpo* [*OF partial-function-definitions-axioms*]]

**lemma** *monotone-if-bot*:

**fixes** *bot*

**assumes** *g*:  $\bigwedge x. g\ x = (\text{if } \text{leq } x\ \text{bound then } \text{bot else } f\ x)$

**and** *mono*:  $\bigwedge x\ y. [\text{leq } x\ y; \neg \text{leq } x\ \text{bound}] \implies \text{ord } (f\ x)\ (f\ y)$

**and** *bot*:  $\bigwedge x. \neg \text{leq } x\ \text{bound} \implies \text{ord } \text{bot } (f\ x)\ \text{ord } \text{bot } \text{bot}$

**shows** *monotone leq ord g*

*<proof>*

**lemma** *mcont-if-bot*:

**fixes** *bot*

**assumes** *ccpo*: *class.ccpo lub' ord (mk-less ord)*

**and** *bot*:  $\bigwedge x. \neg \text{leq } x\ \text{bound} \implies \text{ord } \text{bot } (f\ x)$

**and** *g*:  $\bigwedge x. g\ x = (\text{if } \text{leq } x\ \text{bound then } \text{bot else } f\ x)$

**and** *mono*:  $\bigwedge x\ y. [\text{leq } x\ y; \neg \text{leq } x\ \text{bound}] \implies \text{ord } (f\ x)\ (f\ y)$

**and** *cont*:  $\bigwedge Y. [\text{Complete-Partial-Order.chain leq } Y; Y \neq \{\}; \bigwedge x. x \in Y \implies \neg \text{leq } x\ \text{bound}] \implies f\ (\text{lub } Y) = \text{lub}'\ (f\ `Y)$

**shows** *mcont lub leq lub' ord g*

*<proof>*

**end**

## 14.2 Admissibility

**lemma** *admissible-subst*:

**assumes** *adm*: *ccpo.admissible luba orda*  $(\lambda x. P\ x)$

**and** *mcont*: *mcont lubb ordb luba orda f*

**shows** *ccpo.admissible lubb ordb*  $(\lambda x. P\ (f\ x))$

*<proof>*

**lemmas** [*simp, cont-intro*] =

*admissible-all*

*admissible-ball*

*admissible-const*

*admissible-conj*

**lemma** *admissible-disj'* [*simp, cont-intro*]:

[[ *class.ccpo lub ord (mk-less ord)*; *ccpo.admissible lub ord P*; *ccpo.admissible lub ord Q* ]]  
 $\implies$  *ccpo.admissible lub ord* ( $\lambda x. P x \vee Q x$ )  
 ⟨*proof*⟩

**lemma** *admissible-imp'* [*cont-intro*]:

[[ *class.ccpo lub ord (mk-less ord)*;  
*ccpo.admissible lub ord* ( $\lambda x. \neg P x$ );  
*ccpo.admissible lub ord* ( $\lambda x. Q x$ ) ]]  
 $\implies$  *ccpo.admissible lub ord* ( $\lambda x. P x \longrightarrow Q x$ )  
 ⟨*proof*⟩

**lemma** *admissible-imp* [*cont-intro*]:

( $Q \implies$  *ccpo.admissible lub ord* ( $\lambda x. P x$ ))  
 $\implies$  *ccpo.admissible lub ord* ( $\lambda x. Q \longrightarrow P x$ )  
 ⟨*proof*⟩

**lemma** *admissible-not-mem'* [*THEN admissible-subst, cont-intro, simp*]:

**shows** *admissible-not-mem*: *ccpo.admissible Union op*  $\subseteq$  ( $\lambda A. x \notin A$ )  
 ⟨*proof*⟩

**lemma** *admissible-eqI*:

**assumes** *f*: *cont luba orda lub ord* ( $\lambda x. f x$ )  
**and** *g*: *cont luba orda lub ord* ( $\lambda x. g x$ )  
**shows** *ccpo.admissible luba orda* ( $\lambda x. f x = g x$ )  
 ⟨*proof*⟩

**corollary** *admissible-eq-mcontI* [*cont-intro*]:

[[ *mcont luba orda lub ord* ( $\lambda x. f x$ );  
*mcont luba orda lub ord* ( $\lambda x. g x$ ) ]]  
 $\implies$  *ccpo.admissible luba orda* ( $\lambda x. f x = g x$ )  
 ⟨*proof*⟩

**lemma** *admissible-iff* [*cont-intro, simp*]:

[[ *ccpo.admissible lub ord* ( $\lambda x. P x \longrightarrow Q x$ ); *ccpo.admissible lub ord* ( $\lambda x. Q x \longrightarrow P x$ ) ]]  
 $\implies$  *ccpo.admissible lub ord* ( $\lambda x. P x \longleftrightarrow Q x$ )  
 ⟨*proof*⟩

**context** *ccpo begin*

**lemma** *admissible-leI*:

**assumes** *f*: *mcont luba orda Sup op*  $\leq$  ( $\lambda x. f x$ )  
**and** *g*: *mcont luba orda Sup op*  $\leq$  ( $\lambda x. g x$ )  
**shows** *ccpo.admissible luba orda* ( $\lambda x. f x \leq g x$ )  
 ⟨*proof*⟩

**end**

```

lemma admissible-leI:
  fixes ord (infix  $\sqsubseteq$  60) and lub ( $\bigvee$ - [900] 900)
  assumes class.ccpo lub op  $\sqsubseteq$  (mk-less op  $\sqsubseteq$ )
  and mcont luba orda lub op  $\sqsubseteq$  ( $\lambda x. f x$ )
  and mcont luba orda lub op  $\sqsubseteq$  ( $\lambda x. g x$ )
  shows ccpo.admissible luba orda ( $\lambda x. f x \sqsubseteq g x$ )
   $\langle$ proof $\rangle$ 

declare ccpo-class.admissible-leI[cont-intro]

context ccpo begin

lemma admissible-not-below: ccpo.admissible Sup op  $\leq$  ( $\lambda x. \neg op \leq x y$ )
   $\langle$ proof $\rangle$ 

end

lemma (in preorder) preorder [cont-intro, simp]: class.preorder op  $\leq$  (mk-less op
 $\leq$ )
   $\langle$ proof $\rangle$ 

context partial-function-definitions begin

lemmas [cont-intro, simp] =
  admissible-leI[OF Partial-Function.ccpo[OF partial-function-definitions-axioms]]
  ccpo.admissible-not-below[THEN admissible-subst, OF Partial-Function.ccpo[OF
partial-function-definitions-axioms]]

end

 $\langle$ ML $\rangle$ 

inductive compact :: ('a set  $\Rightarrow$  'a)  $\Rightarrow$  ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a  $\Rightarrow$  bool
  for lub ord x
  where compact:
    [ ccpo.admissible lub ord ( $\lambda y. \neg ord x y$ );
      ccpo.admissible lub ord ( $\lambda y. x \neq y$ ) ]
     $\Rightarrow$  compact lub ord x

 $\langle$ ML $\rangle$ 

context ccpo begin

lemma compactI:
  assumes ccpo.admissible Sup op  $\leq$  ( $\lambda y. \neg x \leq y$ )
  shows ccpo.compact Sup op  $\leq$  x
   $\langle$ proof $\rangle$ 

```

**lemma** *compact-bot*:

**assumes**  $x = \text{Sup } \{\}$

**shows**  $\text{ccpo.compact } \text{Sup } \text{op} \leq x$

*<proof>*

**end**

**lemma** *admissible-compact-neq'* [THEN *admissible-subst, cont-intro, simp*]:

**shows** *admissible-compact-neq*:  $\text{ccpo.compact } \text{lub } \text{ord } k \implies \text{ccpo.admissible } \text{lub } \text{ord } (\lambda x. k \neq x)$

*<proof>*

**lemma** *admissible-neq-compact'* [THEN *admissible-subst, cont-intro, simp*]:

**shows** *admissible-neq-compact*:  $\text{ccpo.compact } \text{lub } \text{ord } k \implies \text{ccpo.admissible } \text{lub } \text{ord } (\lambda x. x \neq k)$

*<proof>*

**context** *partial-function-definitions* **begin**

**lemmas** [*cont-intro, simp*] = *ccpo.compact-bot*[OF *Partial-Function.ccpo*][OF *partial-function-definitions-axiom*]

**end**

**context** *ccpo* **begin**

**lemma** *fixp-strong-induct*:

**assumes** [*cont-intro*]:  $\text{ccpo.admissible } \text{Sup } \text{op} \leq P$

**and** *mono*:  $\text{monotone } \text{op} \leq \text{op} \leq f$

**and** *bot*:  $P (\bigsqcup \{\})$

**and** *step*:  $\bigwedge x. \llbracket x \leq \text{ccpo-class.fixp } f; P x \rrbracket \implies P (f x)$

**shows**  $P (\text{ccpo-class.fixp } f)$

*<proof>*

**end**

**context** *partial-function-definitions* **begin**

**lemma** *fixp-strong-induct-uc*:

**fixes**  $F :: 'c \Rightarrow 'c$

**and**  $U :: 'c \Rightarrow 'b \Rightarrow 'a$

**and**  $C :: ('b \Rightarrow 'a) \Rightarrow 'c$

**and**  $P :: ('b \Rightarrow 'a) \Rightarrow \text{bool}$

**assumes** *mono*:  $\bigwedge x. \text{mono-body } (\lambda f. U (F (C f)) x)$

**and** *eq*:  $f \equiv C (\text{fixp-fun } (\lambda f. U (F (C f))))$

**and** *inverse*:  $\bigwedge f. U (C f) = f$

**and** *adm*:  $\text{ccpo.admissible } \text{lub-fun } \text{le-fun } P$

**and** *bot*:  $P (\lambda-. \text{lub } \{\})$

**and** *step*:  $\bigwedge f'. \llbracket P (U f'); \text{le-fun } (U f') (U f) \rrbracket \implies P (U (F f'))$

**shows**  $P (U f)$

*<proof>*

**end**

### 14.3 $op = as$ order

**definition**  $lub-singleton :: ('a\ set \Rightarrow 'a) \Rightarrow bool$   
**where**  $lub-singleton\ lub \longleftrightarrow (\forall a. lub\ \{a\} = a)$

**definition**  $the-Sup :: 'a\ set \Rightarrow 'a$   
**where**  $the-Sup\ A = (THE\ a. a \in A)$

**lemma**  $lub-singleton-the-Sup$  [*cont-intro, simp*]:  $lub-singleton\ the-Sup$   
*<proof>*

**lemma** (**in**  $ccpo$ )  $lub-singleton$ :  $lub-singleton\ Sup$   
*<proof>*

**lemma** (**in**  $partial-function-definitions$ )  $lub-singleton$  [*cont-intro, simp*]:  $lub-singleton\ lub$   
*<proof>*

**lemma**  $preorder-eq$  [*cont-intro, simp*]:  
 $class.preorder\ op = (mk-less\ op =)$   
*<proof>*

**lemma**  $monotone-eqI$  [*cont-intro*]:  
**assumes**  $class.preorder\ ord\ (mk-less\ ord)$   
**shows**  $monotone\ op = ord\ f$   
*<proof>*

**lemma**  $cont-eqI$  [*cont-intro*]:  
**fixes**  $f :: 'a \Rightarrow 'b$   
**assumes**  $lub-singleton\ lub$   
**shows**  $cont\ the-Sup\ op = lub\ ord\ f$   
*<proof>*

**lemma**  $mcont-eqI$  [*cont-intro, simp*]:  
 $\llbracket class.preorder\ ord\ (mk-less\ ord);\ lub-singleton\ lub \rrbracket$   
 $\Longrightarrow mcont\ the-Sup\ op = lub\ ord\ f$   
*<proof>*

### 14.4 $ccpo$ for products

**definition**  $prod-lub :: ('a\ set \Rightarrow 'a) \Rightarrow ('b\ set \Rightarrow 'b) \Rightarrow ('a \times 'b)\ set \Rightarrow 'a \times 'b$   
**where**  $prod-lub\ Sup-a\ Sup-b\ Y = (Sup-a\ (fst\ 'Y),\ Sup-b\ (snd\ 'Y))$

**lemma**  $lub-singleton-prod-lub$  [*cont-intro, simp*]:  
 $\llbracket lub-singleton\ lub_a;\ lub-singleton\ lub_b \rrbracket \Longrightarrow lub-singleton\ (prod-lub\ lub_a\ lub_b)$   
*<proof>*

**lemma** *prod-lub-empty* [*simp*]: *prod-lub luba lubb {} = (luba {}, lubb {})*  
 ⟨*proof*⟩

**lemma** *preorder-rel-prodI* [*cont-intro, simp*]:  
**assumes** *class.preorder orda (mk-less orda)*  
**and** *class.preorder ordb (mk-less ordb)*  
**shows** *class.preorder (rel-prod orda ordb) (mk-less (rel-prod orda ordb))*  
 ⟨*proof*⟩

**lemma** *order-rel-prodI*:  
**assumes** *a: class.order orda (mk-less orda)*  
**and** *b: class.order ordb (mk-less ordb)*  
**shows** *class.order (rel-prod orda ordb) (mk-less (rel-prod orda ordb))*  
*(is class.order ?ord ?ord')*  
 ⟨*proof*⟩

**lemma** *monotone-rel-prodI*:  
**assumes** *mono2:  $\bigwedge a. \text{monotone } ordb \text{ } ordc (\lambda b. f (a, b))$*   
**and** *mono1:  $\bigwedge b. \text{monotone } orda \text{ } ordc (\lambda a. f (a, b))$*   
**and** *a: class.preorder orda (mk-less orda)*  
**and** *b: class.preorder ordb (mk-less ordb)*  
**and** *c: class.preorder ordc (mk-less ordc)*  
**shows** *monotone (rel-prod orda ordb) ordc f*  
 ⟨*proof*⟩

**lemma** *monotone-rel-prodD1*:  
**assumes** *mono: monotone (rel-prod orda ordb) ordc f*  
**and** *preorder: class.preorder ordb (mk-less ordb)*  
**shows** *monotone orda ordc ( $\lambda a. f (a, b)$ )*  
 ⟨*proof*⟩

**lemma** *monotone-rel-prodD2*:  
**assumes** *mono: monotone (rel-prod orda ordb) ordc f*  
**and** *preorder: class.preorder orda (mk-less orda)*  
**shows** *monotone ordb ordc ( $\lambda b. f (a, b)$ )*  
 ⟨*proof*⟩

**lemma** *monotone-case-prodI*:  
 [  $\bigwedge a. \text{monotone } ordb \text{ } ordc (f a); \bigwedge b. \text{monotone } orda \text{ } ordc (\lambda a. f a b);$   
*class.preorder orda (mk-less orda); class.preorder ordb (mk-less ordb);*  
*class.preorder ordc (mk-less ordc) ]*  
 $\implies$  *monotone (rel-prod orda ordb) ordc (case-prod f)*  
 ⟨*proof*⟩

**lemma** *monotone-case-prodD1*:  
**assumes** *mono: monotone (rel-prod orda ordb) ordc (case-prod f)*  
**and** *preorder: class.preorder ordb (mk-less ordb)*  
**shows** *monotone orda ordc ( $\lambda a. f a b$ )*

*<proof>*

**lemma** *monotone-case-prodD2*:

**assumes** *mono*: *monotone (rel-prod orda ordb) ordc (case-prod f)*

**and** *preorder*: *class.preorder orda (mk-less orda)*

**shows** *monotone ordb ordc (f a)*

*<proof>*

**context**

**fixes** *orda ordb ordc*

**assumes** *a*: *class.preorder orda (mk-less orda)*

**and** *b*: *class.preorder ordb (mk-less ordb)*

**and** *c*: *class.preorder ordc (mk-less ordc)*

**begin**

**lemma** *monotone-rel-prod-iff*:

*monotone (rel-prod orda ordb) ordc f*  $\longleftrightarrow$

$(\forall a. \text{monotone ordb ordc } (\lambda b. f (a, b))) \wedge$

$(\forall b. \text{monotone orda ordc } (\lambda a. f (a, b)))$

*<proof>*

**lemma** *monotone-case-prod-iff [simp]*:

*monotone (rel-prod orda ordb) ordc (case-prod f)*  $\longleftrightarrow$

$(\forall a. \text{monotone ordb ordc } (f a)) \wedge (\forall b. \text{monotone orda ordc } (\lambda a. f a b))$

*<proof>*

**end**

**lemma** *monotone-case-prod-apply-iff*:

*monotone orda ordb*  $(\lambda x. (\text{case-prod } f x) y) \longleftrightarrow \text{monotone orda ordb } (\text{case-prod } (\lambda a b. f a b y))$

*<proof>*

**lemma** *monotone-case-prod-applyD*:

*monotone orda ordb*  $(\lambda x. (\text{case-prod } f x) y)$

$\implies \text{monotone orda ordb } (\text{case-prod } (\lambda a b. f a b y))$

*<proof>*

**lemma** *monotone-case-prod-applyI*:

*monotone orda ordb*  $(\text{case-prod } (\lambda a b. f a b y))$

$\implies \text{monotone orda ordb } (\lambda x. (\text{case-prod } f x) y)$

*<proof>*

**lemma** *cont-case-prod-apply-iff*:

*cont luba orda lubb ordb*  $(\lambda x. (\text{case-prod } f x) y) \longleftrightarrow \text{cont luba orda lubb ordb } (\text{case-prod } (\lambda a b. f a b y))$

*<proof>*

**lemma** *cont-case-prod-applyI*:

*cont luba orda lubb ordb (case-prod ( $\lambda a b. f a b y$ ))*  
 $\implies$  *cont luba orda lubb ordb ( $\lambda x. (case-prod f x) y$ )*  
 <proof>

**lemma** *cont-case-prod-applyD*:

*cont luba orda lubb ordb ( $\lambda x. (case-prod f x) y$ )*  
 $\implies$  *cont luba orda lubb ordb (case-prod ( $\lambda a b. f a b y$ ))*  
 <proof>

**lemma** *mcont-case-prod-apply-iff [simp]*:

*mcont luba orda lubb ordb ( $\lambda x. (case-prod f x) y$ )  $\longleftrightarrow$*   
*mcont luba orda lubb ordb (case-prod ( $\lambda a b. f a b y$ ))*  
 <proof>

**lemma** *cont-prodD1*:

**assumes** *cont: cont (prod-lub luba lubb) (rel-prod orda ordb) lubc ordc f*  
**and** *class.preorder orda (mk-less orda)*  
**and** *luba: lub-singleton luba*  
**shows** *cont lubb ordb lubc ordc ( $\lambda y. f (x, y)$ )*  
 <proof>

**lemma** *cont-prodD2*:

**assumes** *cont: cont (prod-lub luba lubb) (rel-prod orda ordb) lubc ordc f*  
**and** *class.preorder ordb (mk-less ordb)*  
**and** *lubb: lub-singleton lubb*  
**shows** *cont luba orda lubc ordc ( $\lambda x. f (x, y)$ )*  
 <proof>

**lemma** *cont-case-prodD1*:

**assumes** *cont (prod-lub luba lubb) (rel-prod orda ordb) lubc ordc (case-prod f)*  
**and** *class.preorder orda (mk-less orda)*  
**and** *lub-singleton luba*  
**shows** *cont lubb ordb lubc ordc (f x)*  
 <proof>

**lemma** *cont-case-prodD2*:

**assumes** *cont (prod-lub luba lubb) (rel-prod orda ordb) lubc ordc (case-prod f)*  
**and** *class.preorder ordb (mk-less ordb)*  
**and** *lub-singleton lubb*  
**shows** *cont luba orda lubc ordc ( $\lambda x. f x y$ )*  
 <proof>

**context** *ccpo* **begin**

**lemma** *cont-prodI*:

**assumes** *mono: monotone (rel-prod orda ordb) op  $\leq$  f*  
**and** *cont1:  $\bigwedge x. cont lubb ordb Sup op \leq (\lambda y. f (x, y))$*   
**and** *cont2:  $\bigwedge y. cont luba orda Sup op \leq (\lambda x. f (x, y))$*



**and** *class.preorder orda* (*mk-less orda*)  
**and** *class.preorder ordb* (*mk-less ordb*)  
**shows** *cont (prod-lub luba lubb) (rel-prod orda ordb) Sup op ≤ f*  
 ⟨*proof*⟩

**lemma** *cont-case-prodI*:

**assumes** *monotone (rel-prod orda ordb) op ≤ (case-prod f)*  
**and**  $\bigwedge x. \text{cont lubb ordb Sup op} \leq (\lambda y. f x y)$   
**and**  $\bigwedge y. \text{cont luba orda Sup op} \leq (\lambda x. f x y)$   
**and** *class.preorder orda* (*mk-less orda*)  
**and** *class.preorder ordb* (*mk-less ordb*)  
**shows** *cont (prod-lub luba lubb) (rel-prod orda ordb) Sup op ≤ (case-prod f)*  
 ⟨*proof*⟩

**lemma** *cont-case-prod-iff*:

$\llbracket \text{monotone (rel-prod orda ordb) op} \leq (\text{case-prod } f);$   
 $\text{class.preorder orda (mk-less orda); lub-singleton luba};$   
 $\text{class.preorder ordb (mk-less ordb); lub-singleton lubb} \rrbracket$   
 $\implies \text{cont (prod-lub luba lubb) (rel-prod orda ordb) Sup op} \leq (\text{case-prod } f) \iff$   
 $(\forall x. \text{cont lubb ordb Sup op} \leq (\lambda y. f x y)) \wedge (\forall y. \text{cont luba orda Sup op} \leq (\lambda x.$   
 $f x y))$   
 ⟨*proof*⟩

**end**

**context** *partial-function-definitions* **begin**

**lemma** *mono2mono2*:

**assumes** *f: monotone (rel-prod ordb ordc) leq (λ(x, y). f x y)*  
**and** *t: monotone orda ordb (λx. t x)*  
**and** *t': monotone orda ordc (λx. t' x)*  
**shows** *monotone orda leq (λx. f (t x) (t' x))*  
 ⟨*proof*⟩

**lemma** *cont-case-prodI [cont-intro]*:

$\llbracket \text{monotone (rel-prod orda ordb) leq (case-prod } f);$   
 $\bigwedge x. \text{cont lubb ordb lub leq} (\lambda y. f x y);$   
 $\bigwedge y. \text{cont luba orda lub leq} (\lambda x. f x y);$   
 $\text{class.preorder orda (mk-less orda);}$   
 $\text{class.preorder ordb (mk-less ordb)} \rrbracket$   
 $\implies \text{cont (prod-lub luba lubb) (rel-prod orda ordb) lub leq (case-prod } f)$   
 ⟨*proof*⟩

**lemma** *cont-case-prod-iff*:

$\llbracket \text{monotone (rel-prod orda ordb) leq (case-prod } f);$   
 $\text{class.preorder orda (mk-less orda); lub-singleton luba};$   
 $\text{class.preorder ordb (mk-less ordb); lub-singleton lubb} \rrbracket$   
 $\implies \text{cont (prod-lub luba lubb) (rel-prod orda ordb) lub leq (case-prod } f) \iff$   
 $(\forall x. \text{cont lubb ordb lub leq} (\lambda y. f x y)) \wedge (\forall y. \text{cont luba orda lub leq} (\lambda x. f x y))$

*<proof>*

**lemma** *mcont-case-prod-iff* [*simp*]:

[[ *class.preorder* *orda* (*mk-less orda*); *lub-singleton luba*;  
*class.preorder ordb* (*mk-less ordb*); *lub-singleton lubb* ]]  
 $\implies$  *mcont* (*prod-lub luba lubb*) (*rel-prod orda ordb*) *lub leq* (*case-prod f*)  $\longleftrightarrow$   
 $(\forall x. \text{mcont } lubb \text{ ordb } lub \text{ leq } (\lambda y. f \ x \ y)) \wedge (\forall y. \text{mcont } luba \ orda \ lub \ leq \ (\lambda x. f \ x \ y))$   
*<proof>*

**end**

**lemma** *mono2mono-case-prod* [*cont-intro*]:

**assumes**  $\bigwedge x \ y. \text{monotone } orda \ ordb \ (\lambda f. \text{pair } f \ x \ y)$   
**shows** *monotone orda ordb* ( $\lambda f. \text{case-prod } (\text{pair } f) \ x$ )  
*<proof>*

## 14.5 Complete lattices as ccpo

**context** *complete-lattice* **begin**

**lemma** *complete-lattice-ccpo*: *class.ccpo* *Sup op*  $\leq$  *op*  $<$   
*<proof>*

**lemma** *complete-lattice-ccpo'*: *class.ccpo* *Sup op*  $\leq$  (*mk-less op*  $\leq$ )  
*<proof>*

**lemma** *complete-lattice-partial-function-definitions*:

*partial-function-definitions op*  $\leq$  *Sup*  
*<proof>*

**lemma** *complete-lattice-partial-function-definitions-dual*:

*partial-function-definitions op*  $\geq$  *Inf*  
*<proof>*

**lemmas** [*cont-intro*, *simp*] =

*Partial-Function.ccpo*[*OF complete-lattice-partial-function-definitions*]  
*Partial-Function.ccpo*[*OF complete-lattice-partial-function-definitions-dual*]

**lemma** *mono2mono-inf*:

**assumes** *f*: *monotone ord op*  $\leq$  ( $\lambda x. f \ x$ )  
**and** *g*: *monotone ord op*  $\leq$  ( $\lambda x. g \ x$ )  
**shows** *monotone ord op*  $\leq$  ( $\lambda x. f \ x \sqcap g \ x$ )  
*<proof>*

**lemma** *mcont-const* [*simp*]: *mcont lub ord* *Sup op*  $\leq$  ( $\lambda \cdot. c$ )

*<proof>*

**lemma** *mono2mono-sup*:

**assumes**  $f$ : *monotone ord op*  $\leq (\lambda x. f x)$   
**and**  $g$ : *monotone ord op*  $\leq (\lambda x. g x)$   
**shows** *monotone ord op*  $\leq (\lambda x. f x \sqcup g x)$   
 $\langle$ *proof* $\rangle$

**lemma** *Sup-image-sup*:  
**assumes**  $Y \neq \{\}$   
**shows**  $\sqcup (op \sqcup x \text{ ' } Y) = x \sqcup \sqcup Y$   
 $\langle$ *proof* $\rangle$

**lemma** *mcont-sup1*: *mcont Sup op*  $\leq$  *Sup op*  $\leq (\lambda y. x \sqcup y)$   
 $\langle$ *proof* $\rangle$

**lemma** *mcont-sup2*: *mcont Sup op*  $\leq$  *Sup op*  $\leq (\lambda x. x \sqcup y)$   
 $\langle$ *proof* $\rangle$

**lemma** *mcont2mcont-sup* [*cont-intro*, *simp*]:  
 $\llbracket$  *mcont lub ord Sup op*  $\leq (\lambda x. f x)$ ;  
 $\quad$  *mcont lub ord Sup op*  $\leq (\lambda x. g x)$   $\rrbracket$   
 $\implies$  *mcont lub ord Sup op*  $\leq (\lambda x. f x \sqcup g x)$   
 $\langle$ *proof* $\rangle$

**end**

**lemmas** [*cont-intro*] = *admissible-leI*[*OF complete-lattice-ccpo*]

**context** *complete-distrib-lattice* **begin**

**lemma** *mcont-inf1*: *mcont Sup op*  $\leq$  *Sup op*  $\leq (\lambda y. x \sqcap y)$   
 $\langle$ *proof* $\rangle$

**lemma** *mcont-inf2*: *mcont Sup op*  $\leq$  *Sup op*  $\leq (\lambda x. x \sqcap y)$   
 $\langle$ *proof* $\rangle$

**lemma** *mcont2mcont-inf* [*cont-intro*, *simp*]:  
 $\llbracket$  *mcont lub ord Sup op*  $\leq (\lambda x. f x)$ ;  
 $\quad$  *mcont lub ord Sup op*  $\leq (\lambda x. g x)$   $\rrbracket$   
 $\implies$  *mcont lub ord Sup op*  $\leq (\lambda x. f x \sqcap g x)$   
 $\langle$ *proof* $\rangle$

**end**

**interpretation** *lfp*: *partial-function-definitions op*  $\leq :: - ::$  *complete-lattice*  $\implies -$   
 $\text{Sup}$   
 $\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**interpretation** *gfp*: *partial-function-definitions op*  $\geq :: - ::$  *complete-lattice*  $\implies -$

*Inf*  
 ⟨proof⟩

⟨ML⟩

**lemma** *insert-mono* [*partial-function-mono*]:

*monotone (fun-ord op ⊆) op ⊆ A ⇒ monotone (fun-ord op ⊆) op ⊆ (λy. insert x (A y))*  
 ⟨proof⟩

**lemma** *mono2mono-insert* [*THEN lfp.mono2mono, cont-intro, simp*]:

**shows** *monotone-insert: monotone op ⊆ op ⊆ (insert x)*  
 ⟨proof⟩

**lemma** *mcont2mcont-insert* [*THEN lfp.mcont2mcont, cont-intro, simp*]:

**shows** *mcont-insert: mcont Union op ⊆ Union op ⊆ (insert x)*  
 ⟨proof⟩

**lemma** *mono2mono-image* [*THEN lfp.mono2mono, cont-intro, simp*]:

**shows** *monotone-image: monotone op ⊆ op ⊆ (op ‘ f)*  
 ⟨proof⟩

**lemma** *cont-image: cont Union op ⊆ Union op ⊆ (op ‘ f)*

⟨proof⟩

**lemma** *mcont2mcont-image* [*THEN lfp.mcont2mcont, cont-intro, simp*]:

**shows** *mcont-image: mcont Union op ⊆ Union op ⊆ (op ‘ f)*  
 ⟨proof⟩

**context** *complete-lattice* **begin**

**lemma** *monotone-Sup* [*cont-intro, simp*]:

*monotone ord op ⊆ f ⇒ monotone ord op ≤ (λx. ⋒ f x)*  
 ⟨proof⟩

**lemma** *cont-Sup*:

**assumes** *cont lub ord Union op ⊆ f*  
**shows** *cont lub ord Sup op ≤ (λx. ⋒ f x)*  
 ⟨proof⟩

**lemma** *mcont-Sup: mcont lub ord Union op ⊆ f ⇒ mcont lub ord Sup op ≤ (λx.*

*⋒ f x)*

⟨proof⟩

**lemma** *monotone-SUP*:

*[[ monotone ord op ⊆ f; ∧y. monotone ord op ≤ (λx. g x y) ]]* ⇒ *monotone ord op ≤ (λx. ⋒ y∈f x. g x y)*  
 ⟨proof⟩

**lemma** *monotone-SUP2*:

$(\bigwedge y. y \in A \implies \text{monotone ord op} \leq (\lambda x. g x y)) \implies \text{monotone ord op} \leq (\lambda x. \bigsqcup_{y \in A}. g x y)$   
 <proof>

**lemma** *cont-SUP*:

**assumes**  $f: \text{mcont lub ord Union op} \subseteq f$   
**and**  $g: \bigwedge y. \text{mcont lub ord Sup op} \leq (\lambda x. g x y)$   
**shows**  $\text{cont lub ord Sup op} \leq (\lambda x. \bigsqcup_{y \in f x}. g x y)$   
 <proof>

**lemma** *mcont-SUP* [*cont-intro, simp*]:

$\llbracket \text{mcont lub ord Union op} \subseteq f; \bigwedge y. \text{mcont lub ord Sup op} \leq (\lambda x. g x y) \rrbracket$   
 $\implies \text{mcont lub ord Sup op} \leq (\lambda x. \bigsqcup_{y \in f x}. g x y)$   
 <proof>

**end**

**lemma** *admissible-Ball* [*cont-intro, simp*]:

$\llbracket \bigwedge x. \text{ccpo.admissible lub ord} (\lambda A. P A x);$   
 $\text{mcont lub ord Union op} \subseteq f;$   
 $\text{class.ccpo lub ord (mk-less ord)} \rrbracket$   
 $\implies \text{ccpo.admissible lub ord} (\lambda A. \forall x \in f A. P A x)$   
 <proof>

**lemma** *admissible-Bex*'[*THEN admissible-subst, cont-intro, simp*]:

**shows** *admissible-Bex*:  $\text{ccpo.admissible Union op} \subseteq (\lambda A. \exists x \in A. P x)$   
 <proof>

## 14.6 Parallel fixpoint induction

**context**

**fixes**  $\text{luba} :: 'a \text{ set} \Rightarrow 'a$   
**and**  $\text{orda} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$   
**and**  $\text{lubb} :: 'b \text{ set} \Rightarrow 'b$   
**and**  $\text{ordb} :: 'b \Rightarrow 'b \Rightarrow \text{bool}$   
**assumes**  $a: \text{class.ccpo luba orda (mk-less orda)}$   
**and**  $b: \text{class.ccpo lubb ordb (mk-less ordb)}$

**begin**

**interpretation**  $a: \text{ccpo luba orda mk-less orda}$  <proof>

**interpretation**  $b: \text{ccpo lubb ordb mk-less ordb}$  <proof>

**lemma** *ccpo-rel-prodI*:

$\text{class.ccpo (prod-lub luba lubb) (rel-prod orda ordb) (mk-less (rel-prod orda ordb))}$   
 (is  $\text{class.ccpo ?lub ?ord ?ord'}$ )  
 <proof>

**interpretation**  $ab: \text{ccpo prod-lub luba lubb rel-prod orda ordb mk-less (rel-prod orda$

*ordb*)  
 ⟨*proof*⟩

**lemma** *monotone-map-prod* [*simp*]:  
*monotone* (*rel-prod* *orda* *ordb*) (*rel-prod* *ordc* *ordd*) (*map-prod* *f* *g*)  $\longleftrightarrow$   
*monotone* *orda* *ordc* *f*  $\wedge$  *monotone* *ordb* *ordd* *g*  
 ⟨*proof*⟩

**lemma** *parallel-fixp-induct*:  
**assumes** *adm*: *ccpo.admissible* (*prod-lub* *luba* *lubb*) (*rel-prod* *orda* *ordb*) ( $\lambda x. P$   
 (*fst* *x*) (*snd* *x*))  
**and** *f*: *monotone* *orda* *orda* *f*  
**and** *g*: *monotone* *ordb* *ordb* *g*  
**and** *bot*:  $P$  (*luba* {}) (*lubb* {})  
**and** *step*:  $\bigwedge x y. P x y \implies P (f x) (g y)$   
**shows**  $P$  (*ccpo.fixp* *luba* *orda* *f*) (*ccpo.fixp* *lubb* *ordb* *g*)  
 ⟨*proof*⟩

**end**

**lemma** *parallel-fixp-induct-uc*:  
**assumes** *a*: *partial-function-definitions* *orda* *luba*  
**and** *b*: *partial-function-definitions* *ordb* *lubb*  
**and** *F*:  $\bigwedge x. \text{monotone} (\text{fun-ord } \text{orda}) \text{ orda } (\lambda f. U1 (F (C1 f)) x)$   
**and** *G*:  $\bigwedge y. \text{monotone} (\text{fun-ord } \text{ordb}) \text{ ordb } (\lambda g. U2 (G (C2 g)) y)$   
**and** *eq1*:  $f \equiv C1 (\text{ccpo.fixp } (\text{fun-lub } \text{luba}) (\text{fun-ord } \text{orda}) (\lambda f. U1 (F (C1 f))))$   
**and** *eq2*:  $g \equiv C2 (\text{ccpo.fixp } (\text{fun-lub } \text{lubb}) (\text{fun-ord } \text{ordb}) (\lambda g. U2 (G (C2 g))))$   
**and** *inverse*:  $\bigwedge f. U1 (C1 f) = f$   
**and** *inverse2*:  $\bigwedge g. U2 (C2 g) = g$   
**and** *adm*: *ccpo.admissible* (*prod-lub* (*fun-lub* *luba*) (*fun-lub* *lubb*)) (*rel-prod* (*fun-ord*  
*orda*) (*fun-ord* *ordb*)) ( $\lambda x. P$  (*fst* *x*) (*snd* *x*))  
**and** *bot*:  $P (\lambda-. \text{luba } \{\}) (\lambda-. \text{lubb } \{\})$   
**and** *step*:  $\bigwedge f g. P (U1 f) (U2 g) \implies P (U1 (F f)) (U2 (G g))$   
**shows**  $P (U1 f) (U2 g)$   
 ⟨*proof*⟩

**lemmas** *parallel-fixp-induct-1-1* = *parallel-fixp-induct-uc*[  
*of* - - -  $\lambda x. x - \lambda x. x \lambda x. x - \lambda x. x$ ,  
*OF* - - - - - *refl refl*]

**lemmas** *parallel-fixp-induct-2-2* = *parallel-fixp-induct-uc*[  
*of* - - - *case-prod* - *curry case-prod* - *curry*,  
**where**  $P = \lambda f g. P (\text{curry } f) (\text{curry } g)$ ,  
*unfolded case-prod-curry curry-case-prod curry-K*,  
*OF* - - - - - *refl refl*]  
**for** *P*

**lemma** *monotone-fst*: *monotone* (*rel-prod* *orda* *ordb*) *orda* *fst*  
 ⟨*proof*⟩

**lemma** *mcont-fst*: *mcont* (*prod-lub luba lubb*) (*rel-prod orda ordb*) *luba orda fst*  
 ⟨*proof*⟩

**lemma** *mcont2mcont-fst* [*cont-intro, simp*]:  
*mcont lub ord (prod-lub luba lubb) (rel-prod orda ordb) t*  
 $\implies$  *mcont lub ord luba orda* ( $\lambda x. \text{fst } (t x)$ )  
 ⟨*proof*⟩

**lemma** *monotone-snd*: *monotone* (*rel-prod orda ordb*) *ordb snd*  
 ⟨*proof*⟩

**lemma** *mcont-snd*: *mcont* (*prod-lub luba lubb*) (*rel-prod orda ordb*) *lubb ordb snd*  
 ⟨*proof*⟩

**lemma** *mcont2mcont-snd* [*cont-intro, simp*]:  
*mcont lub ord (prod-lub luba lubb) (rel-prod orda ordb) t*  
 $\implies$  *mcont lub ord lubb ordb* ( $\lambda x. \text{snd } (t x)$ )  
 ⟨*proof*⟩

**lemma** *monotone-Pair*:  
 [ *monotone ord orda f*; *monotone ord ordb g* ]  
 $\implies$  *monotone ord (rel-prod orda ordb)* ( $\lambda x. (f x, g x)$ )  
 ⟨*proof*⟩

**lemma** *cont-Pair*:  
 [ *cont lub ord luba orda f*; *cont lub ord lubb ordb g* ]  
 $\implies$  *cont lub ord (prod-lub luba lubb) (rel-prod orda ordb)* ( $\lambda x. (f x, g x)$ )  
 ⟨*proof*⟩

**lemma** *mcont-Pair*:  
 [ *mcont lub ord luba orda f*; *mcont lub ord lubb ordb g* ]  
 $\implies$  *mcont lub ord (prod-lub luba lubb) (rel-prod orda ordb)* ( $\lambda x. (f x, g x)$ )  
 ⟨*proof*⟩

**context** *partial-function-definitions* **begin**

Specialised versions of *mcont-call* for admissibility proofs for parallel  
 fixpoint inductions

**lemmas** *mcont-call-fst* [*cont-intro*] = *mcont-call*[*THEN mcont2mcont, OF mcont-fst*]  
**lemmas** *mcont-call-snd* [*cont-intro*] = *mcont-call*[*THEN mcont2mcont, OF mcont-snd*]  
**end**

**lemma** *map-option-mono* [*partial-function-mono*]:  
*mono-option B*  $\implies$  *mono-option* ( $\lambda f. \text{map-option } g (B f)$ )  
 ⟨*proof*⟩

**lemma** *compact-flat-lub* [*cont-intro*]: *ccpo.compact (flat-lub x) (flat-ord x) y*  
 ⟨*proof*⟩

end

## 15 Old Datatype package: constructing datatypes from Cartesian Products and Disjoint Sums

```
theory Old-Datatype
imports Main
keywords old-datatype :: thy-decl
begin
```

$\langle ML \rangle$

### 15.1 The datatype universe

**definition**  $Node = \{p. \exists f x k. p = (f :: nat \Rightarrow 'b + nat, x :: 'a + nat) \& f k = Inr 0\}$

```
typedef ('a, 'b) node = Node :: ((nat => 'b + nat) * ('a + nat)) set
morphisms Rep-Node Abs-Node
<proof>
```

Datatypes will be represented by sets of type *node*

```
type-synonym 'a item      = ('a, unit) node set
type-synonym ('a, 'b) dtree = ('a, 'b) node set
```

**definition**  $Push :: [('b + nat), nat \Rightarrow ('b + nat)] \Rightarrow (nat \Rightarrow ('b + nat))$

where  $Push == (\%b h. case-nat b h)$

**definition**  $Push-Node :: [('b + nat), ('a, 'b) node] \Rightarrow ('a, 'b) node$   
 where  $Push-Node == (\%n x. Abs-Node (apfst (Push n) (Rep-Node x)))$

**definition**  $Atom :: ('a + nat) \Rightarrow ('a, 'b) dtree$

where  $Atom == (\%x. \{Abs-Node(\%k. Inr 0, x)\})$

**definition**  $Scons :: [('a, 'b) dtree, ('a, 'b) dtree] \Rightarrow ('a, 'b) dtree$

where  $Scons M N == (Push-Node (Inr 1) ' M) Un (Push-Node (Inr (Suc 1)) ' N)$

**definition**  $Leaf :: 'a \Rightarrow ('a, 'b) dtree$

where  $Leaf == Atom o Inl$

**definition**  $Numb :: nat \Rightarrow ('a, 'b) dtree$

where  $Numb == Atom o Inr$



**definition**  $In0 :: ('a, 'b) dtree \Rightarrow ('a, 'b) dtree$   
**where**  $In0(M) == Scons (Numb 0) M$   
**definition**  $In1 :: ('a, 'b) dtree \Rightarrow ('a, 'b) dtree$   
**where**  $In1(M) == Scons (Numb 1) M$

**definition**  $Lim :: ('b \Rightarrow ('a, 'b) dtree) \Rightarrow ('a, 'b) dtree$   
**where**  $Lim f == \bigcup \{z. ? x. z = Push-Node (Inl x) (f x)\}$

**definition**  $ndepth :: ('a, 'b) node \Rightarrow nat$   
**where**  $ndepth(n) == (\% (f, x). LEAST k. f k = Inr 0) (Rep-Node n)$   
**definition**  $ntrunc :: [nat, ('a, 'b) dtree] \Rightarrow ('a, 'b) dtree$   
**where**  $ntrunc k N == \{n. n:N \ \& \ ndepth(n) < k\}$

**definition**  $uprod :: [(('a, 'b) dtree set, ('a, 'b) dtree set)] \Rightarrow ('a, 'b) dtree set$   
**where**  $uprod A B == UN x:A. UN y:B. \{ Scons x y \}$   
**definition**  $usum :: [(('a, 'b) dtree set, ('a, 'b) dtree set)] \Rightarrow ('a, 'b) dtree set$   
**where**  $usum A B == In0'A Un In1'B$

**definition**  $Split :: [[('a, 'b) dtree, ('a, 'b) dtree] \Rightarrow 'c, ('a, 'b) dtree] \Rightarrow 'c$   
**where**  $Split c M == THE u. EX x y. M = Scons x y \ \& \ u = c x y$

**definition**  $Case :: [[('a, 'b) dtree] \Rightarrow 'c, [(('a, 'b) dtree) \Rightarrow 'c, ('a, 'b) dtree] \Rightarrow 'c$   
**where**  $Case c d M == THE u. (EX x . M = In0(x) \ \& \ u = c(x)) \ | \ (EX y . M = In1(y) \ \& \ u = d(y))$

**definition**  $dprod :: [((('a, 'b) dtree * ('a, 'b) dtree) set, ((('a, 'b) dtree * ('a, 'b) dtree) set)]$   
 $\Rightarrow ((('a, 'b) dtree * ('a, 'b) dtree) set)$   
**where**  $dprod r s == UN (x,x'):r. UN (y,y'):s. \{ (Scons x y, Scons x' y') \}$

**definition**  $dsum :: [((('a, 'b) dtree * ('a, 'b) dtree) set, ((('a, 'b) dtree * ('a, 'b) dtree) set)]$   
 $\Rightarrow ((('a, 'b) dtree * ('a, 'b) dtree) set)$   
**where**  $dsum r s == (UN (x,x'):r. \{ (In0(x), In0(x')) \}) Un (UN (y,y'):s. \{ (In1(y), In1(y')) \})$

**lemma**  $apfst-convE$ :

$\llbracket q = apfst f p; \ !!x y. \llbracket p = (x,y); \ q = (f(x),y) \rrbracket \rrbracket \implies R$   
 $\llbracket \rrbracket \implies R$   
 $\langle proof \rangle$

**lemma** *Push-inject1*:  $Push\ i\ f = Push\ j\ g \implies i=j$   
 ⟨proof⟩

**lemma** *Push-inject2*:  $Push\ i\ f = Push\ j\ g \implies f=g$   
 ⟨proof⟩

**lemma** *Push-inject*:  
 $[ [ Push\ i\ f = Push\ j\ g; [ [ i=j; f=g ] ] \implies P ] ] \implies P$   
 ⟨proof⟩

**lemma** *Push-neq-K0*:  $Push\ (Inr\ (Suc\ k))\ f = (\%z.\ Inr\ 0) \implies P$   
 ⟨proof⟩

**lemmas** *Abs-Node-inj* = *Abs-Node-inject* [THEN [2] rev-iffD1]

**lemma** *Node-K0-I*:  $(\%k.\ Inr\ 0, a) : Node$   
 ⟨proof⟩

**lemma** *Node-Push-I*:  $p : Node \implies apfst\ (Push\ i)\ p : Node$   
 ⟨proof⟩

## 15.2 Freeness: Distinctness of Constructors

**lemma** *Scons-not-Atom* [iff]:  $Scons\ M\ N \neq Atom(a)$   
 ⟨proof⟩

**lemmas** *Atom-not-Scons* [iff] = *Scons-not-Atom* [THEN not-sym]

**lemma** *inj-Atom*:  $inj(Atom)$   
 ⟨proof⟩

**lemmas** *Atom-inject* = *inj-Atom* [THEN injD]

**lemma** *Atom-Atom-eq* [iff]:  $(Atom(a)=Atom(b)) = (a=b)$   
 ⟨proof⟩

**lemma** *inj-Leaf*:  $inj(Leaf)$   
 ⟨proof⟩

**lemmas** *Leaf-inject* [*dest!*] = *inj-Leaf* [*THEN injD*]

**lemma** *inj-Numb*: *inj(Numb)*  
 ⟨*proof*⟩

**lemmas** *Numb-inject* [*dest!*] = *inj-Numb* [*THEN injD*]

**lemma** *Push-Node-inject*:  
 [[ *Push-Node i m = Push-Node j n*; [[ *i=j*; *m=n* ]] ==> *P* ]]  
 ==> *P*  
 ⟨*proof*⟩

**lemma** *Scons-inject-lemma1*: *Scons M N <= Scons M' N' ==> M <= M'*  
 ⟨*proof*⟩

**lemma** *Scons-inject-lemma2*: *Scons M N <= Scons M' N' ==> N <= N'*  
 ⟨*proof*⟩

**lemma** *Scons-inject1*: *Scons M N = Scons M' N' ==> M = M'*  
 ⟨*proof*⟩

**lemma** *Scons-inject2*: *Scons M N = Scons M' N' ==> N = N'*  
 ⟨*proof*⟩

**lemma** *Scons-inject*:  
 [[ *Scons M N = Scons M' N'*; [[ *M = M'*; *N = N'* ]] ==> *P* ]] ==> *P*  
 ⟨*proof*⟩

**lemma** *Scons-Scons-eq* [*iff*]: (*Scons M N = Scons M' N'*) = (*M = M' & N = N'*)  
 ⟨*proof*⟩

**lemma** *Scons-not-Leaf* [*iff*]: *Scons M N ≠ Leaf(a)*  
 ⟨*proof*⟩

**lemmas** *Leaf-not-Scons* [*iff*] = *Scons-not-Leaf* [*THEN not-sym*]

**lemma** *Scons-not-Numb* [*iff*]: *Scons M N ≠ Numb(k)*

*<proof>*

**lemmas** *Numb-not-Scons* [iff] = *Scons-not-Numb* [THEN not-sym]

**lemma** *Leaf-not-Numb* [iff]:  $Leaf(a) \neq Numb(k)$   
*<proof>*

**lemmas** *Numb-not-Leaf* [iff] = *Leaf-not-Numb* [THEN not-sym]

**lemma** *ndepth-K0*:  $ndepth (Abs-Node(\%k. Inr 0, x)) = 0$   
*<proof>*

**lemma** *ndepth-Push-Node-aux*:  
 $case-nat (Inr (Suc i)) f k = Inr 0 \longrightarrow Suc(LEAST x. f x = Inr 0) \leq k$   
*<proof>*

**lemma** *ndepth-Push-Node*:  
 $ndepth (Push-Node (Inr (Suc i)) n) = Suc(ndepth(n))$   
*<proof>*

**lemma** *ntrunc-0* [simp]:  $ntrunc 0 M = \{\}$   
*<proof>*

**lemma** *ntrunc-Atom* [simp]:  $ntrunc (Suc k) (Atom a) = Atom(a)$   
*<proof>*

**lemma** *ntrunc-Leaf* [simp]:  $ntrunc (Suc k) (Leaf a) = Leaf(a)$   
*<proof>*

**lemma** *ntrunc-Numb* [simp]:  $ntrunc (Suc k) (Numb i) = Numb(i)$   
*<proof>*

**lemma** *ntrunc-Scons* [simp]:  
 $ntrunc (Suc k) (Scons M N) = Scons (ntrunc k M) (ntrunc k N)$   
*<proof>*

**lemma** *ntrunc-one-In0* [*simp*]:  $ntrunc (Suc\ 0) (In0\ M) = \{\}$   
*<proof>*

**lemma** *ntrunc-In0* [*simp*]:  $ntrunc (Suc(Suc\ k)) (In0\ M) = In0 (ntrunc (Suc\ k)\ M)$   
*<proof>*

**lemma** *ntrunc-one-In1* [*simp*]:  $ntrunc (Suc\ 0) (In1\ M) = \{\}$   
*<proof>*

**lemma** *ntrunc-In1* [*simp*]:  $ntrunc (Suc(Suc\ k)) (In1\ M) = In1 (ntrunc (Suc\ k)\ M)$   
*<proof>*

### 15.3 Set Constructions

**lemma** *uprodI* [*intro!*]:  $[[\ M:A;\ N:B\ ]] ==> Scons\ M\ N : uprod\ A\ B$   
*<proof>*

**lemma** *uprodE* [*elim!*]:  
 $[[\ c : uprod\ A\ B;$   
 $!!x\ y. [[\ x:A;\ y:B;\ c = Scons\ x\ y\ ]] ==> P$   
 $]] ==> P$   
*<proof>*

**lemma** *uprodE2*:  $[[\ Scons\ M\ N : uprod\ A\ B;\ [[\ M:A;\ N:B\ ]] ==> P\ ]] ==> P$   
*<proof>*

**lemma** *usum-In0I* [*intro*]:  $M:A ==> In0(M) : usum\ A\ B$   
*<proof>*

**lemma** *usum-In1I* [*intro*]:  $N:B ==> In1(N) : usum\ A\ B$   
*<proof>*

**lemma** *usumE* [*elim!*]:  
 $[[\ u : usum\ A\ B;$   
 $!!x. [[\ x:A;\ u=In0(x)\ ]] ==> P;$   
 $!!y. [[\ y:B;\ u=In1(y)\ ]] ==> P$   
 $]] ==> P$   
*<proof>*

**lemma** *In0-not-In1* [iff]:  $In0(M) \neq In1(N)$   
 ⟨proof⟩

**lemmas** *In1-not-In0* [iff] = *In0-not-In1* [THEN not-sym]

**lemma** *In0-inject*:  $In0(M) = In0(N) \implies M=N$   
 ⟨proof⟩

**lemma** *In1-inject*:  $In1(M) = In1(N) \implies M=N$   
 ⟨proof⟩

**lemma** *In0-eq* [iff]:  $(In0 M = In0 N) = (M=N)$   
 ⟨proof⟩

**lemma** *In1-eq* [iff]:  $(In1 M = In1 N) = (M=N)$   
 ⟨proof⟩

**lemma** *inj-In0*: *inj In0*  
 ⟨proof⟩

**lemma** *inj-In1*: *inj In1*  
 ⟨proof⟩

**lemma** *Lim-inject*:  $Lim f = Lim g \implies f = g$   
 ⟨proof⟩

**lemma** *ntrunc-subsetI*:  $ntrunc k M \leq M$   
 ⟨proof⟩

**lemma** *ntrunc-subsetD*:  $(!!k. ntrunc k M \leq N) \implies M \leq N$   
 ⟨proof⟩

**lemma** *ntrunc-equality*:  $(!!k. ntrunc k M = ntrunc k N) \implies M=N$   
 ⟨proof⟩

**lemma** *ntrunc-o-equality*:  
 $[!k. (ntrunc(k) o h1) = (ntrunc(k) o h2)] \implies h1=h2$   
 ⟨proof⟩

**lemma** *uprod-mono*:  $[[ A \leq A'; B \leq B' ]] \implies \text{uprod } A \ B \leq \text{uprod } A' \ B'$   
 $\langle \text{proof} \rangle$

**lemma** *usum-mono*:  $[[ A \leq A'; B \leq B' ]] \implies \text{usum } A \ B \leq \text{usum } A' \ B'$   
 $\langle \text{proof} \rangle$

**lemma** *Scons-mono*:  $[[ M \leq M'; N \leq N' ]] \implies \text{Scons } M \ N \leq \text{Scons } M' \ N'$   
 $\langle \text{proof} \rangle$

**lemma** *In0-mono*:  $M \leq N \implies \text{In0}(M) \leq \text{In0}(N)$   
 $\langle \text{proof} \rangle$

**lemma** *In1-mono*:  $M \leq N \implies \text{In1}(M) \leq \text{In1}(N)$   
 $\langle \text{proof} \rangle$

**lemma** *Split [simp]*:  $\text{Split } c \ (\text{Scons } M \ N) = c \ M \ N$   
 $\langle \text{proof} \rangle$

**lemma** *Case-In0 [simp]*:  $\text{Case } c \ d \ (\text{In0 } M) = c(M)$   
 $\langle \text{proof} \rangle$

**lemma** *Case-In1 [simp]*:  $\text{Case } c \ d \ (\text{In1 } N) = d(N)$   
 $\langle \text{proof} \rangle$

**lemma** *ntrunc-UN1*:  $\text{ntrunc } k \ (\text{UN } x. f(x)) = (\text{UN } x. \text{ntrunc } k \ (f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *Scons-UN1-x*:  $\text{Scons } (\text{UN } x. f \ x) \ M = (\text{UN } x. \text{Scons } (f \ x) \ M)$   
 $\langle \text{proof} \rangle$

**lemma** *Scons-UN1-y*:  $\text{Scons } M \ (\text{UN } x. f \ x) = (\text{UN } x. \text{Scons } M \ (f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *In0-UN1*:  $\text{In0}(\text{UN } x. f(x)) = (\text{UN } x. \text{In0}(f(x)))$   
 $\langle \text{proof} \rangle$

**lemma** *In1-UN1*:  $\text{In1}(\text{UN } x. f(x)) = (\text{UN } x. \text{In1}(f(x)))$   
 $\langle \text{proof} \rangle$

**lemma** *dprodI* [*intro!*]:

$\llbracket (M, M'):r; (N, N'):s \rrbracket \implies (Scons\ M\ N, Scons\ M'\ N') : dprod\ r\ s$   
 $\langle proof \rangle$

**lemma** *dprodE* [*elim!*]:

$\llbracket c : dprod\ r\ s; \\ !!x\ y\ x'\ y'. \llbracket (x, x') : r; (y, y') : s; \\ c = (Scons\ x\ y, Scons\ x'\ y') \rrbracket \implies P \rrbracket \implies P$   
 $\langle proof \rangle$

**lemma** *dsum-In0I* [*intro*]:  $(M, M'):r \implies (In0(M), In0(M')) : dsum\ r\ s$

$\langle proof \rangle$

**lemma** *dsum-In1I* [*intro*]:  $(N, N'):s \implies (In1(N), In1(N')) : dsum\ r\ s$

$\langle proof \rangle$

**lemma** *dsumE* [*elim!*]:

$\llbracket w : dsum\ r\ s; \\ !!x\ x'. \llbracket (x, x') : r; w = (In0(x), In0(x')) \rrbracket \implies P; \\ !!y\ y'. \llbracket (y, y') : s; w = (In1(y), In1(y')) \rrbracket \implies P \rrbracket \implies P$   
 $\langle proof \rangle$

**lemma** *dprod-mono*:  $\llbracket r \leq r'; s \leq s' \rrbracket \implies dprod\ r\ s \leq dprod\ r'\ s'$

$\langle proof \rangle$

**lemma** *dsum-mono*:  $\llbracket r \leq r'; s \leq s' \rrbracket \implies dsum\ r\ s \leq dsum\ r'\ s'$

$\langle proof \rangle$

**lemma** *dprod-Sigma*:  $(dprod\ (A \times B)\ (C \times D)) \leq (uprod\ A\ C) \times (uprod\ B\ D)$

$\langle proof \rangle$

**lemmas** *dprod-subset-Sigma* = *subset-trans* [*OF dprod-mono dprod-Sigma*]

**lemma** *dprod-subset-Sigma2*:

$(dprod\ (Sigma\ A\ B)\ (Sigma\ C\ D)) \leq Sigma\ (uprod\ A\ C)\ (Split\ (\%x\ y.\ uprod$



$(B\ x)\ (D\ y))$   
 $\langle proof \rangle$

**lemma** *dsum-Sigma*:  $(dsum\ (A\ \times\ B)\ (C\ \times\ D))\ \leq\ (usum\ A\ C)\ \times\ (usum\ B\ D)$   
 $\langle proof \rangle$

**lemmas** *dsum-subset-Sigma* = *subset-trans* [*OF dsum-mono dsum-Sigma*]

**lemma** *Domain-dprod* [*simp*]:  $Domain\ (dprod\ r\ s) = uprod\ (Domain\ r)\ (Domain\ s)$   
 $\langle proof \rangle$

**lemma** *Domain-dsum* [*simp*]:  $Domain\ (dsum\ r\ s) = usum\ (Domain\ r)\ (Domain\ s)$   
 $\langle proof \rangle$

hides popular names

**hide-type** (**open**) *node item*

**hide-const** (**open**) *Push Node Atom Leaf Numb Lim Split Case*

$\langle ML \rangle$

**end**

## 16 Bijections between natural numbers and other types

**theory** *Nat-Bijection*

**imports** *Main*

**begin**

### 16.1 Type $nat \times nat$

Triangle numbers: 0, 1, 3, 6, 10, 15, ...

**definition** *triangle* ::  $nat \Rightarrow nat$   
**where** *triangle*  $n = (n * Suc\ n) \div 2$

**lemma** *triangle-0* [*simp*]:  $triangle\ 0 = 0$   
 $\langle proof \rangle$

**lemma** *triangle-Suc* [*simp*]:  $triangle\ (Suc\ n) = triangle\ n + Suc\ n$   
 $\langle proof \rangle$

**definition** *prod-encode* ::  $nat \times nat \Rightarrow nat$   
**where** *prod-encode* =  $(\lambda(m, n). triangle\ (m + n) + m)$

In this auxiliary function, *triangle*  $k + m$  is an invariant.

**fun** *prod-decode-aux* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\times$  *nat*

**where** *prod-decode-aux* *k m* =

(if  $m \leq k$  then  $(m, k - m)$  else *prod-decode-aux* (*Suc* *k*) ( $m - \text{Suc } k$ ))

**declare** *prod-decode-aux.simps* [*simp del*]

**definition** *prod-decode* :: *nat*  $\Rightarrow$  *nat*  $\times$  *nat*

**where** *prod-decode* = *prod-decode-aux* 0

**lemma** *prod-encode-prod-decode-aux*: *prod-encode* (*prod-decode-aux* *k m*) = *triangle*  $k + m$

*<proof>*

**lemma** *prod-decode-inverse* [*simp*]: *prod-encode* (*prod-decode* *n*) = *n*

*<proof>*

**lemma** *prod-decode-triangle-add*: *prod-decode* (*triangle*  $k + m$ ) = *prod-decode-aux*  $k m$

*<proof>*

**lemma** *prod-encode-inverse* [*simp*]: *prod-decode* (*prod-encode* *x*) = *x*

*<proof>*

**lemma** *inj-prod-encode*: *inj-on* *prod-encode* *A*

*<proof>*

**lemma** *inj-prod-decode*: *inj-on* *prod-decode* *A*

*<proof>*

**lemma** *surj-prod-encode*: *surj* *prod-encode*

*<proof>*

**lemma** *surj-prod-decode*: *surj* *prod-decode*

*<proof>*

**lemma** *bij-prod-encode*: *bij* *prod-encode*

*<proof>*

**lemma** *bij-prod-decode*: *bij* *prod-decode*

*<proof>*

**lemma** *prod-encode-eq*: *prod-encode* *x* = *prod-encode* *y*  $\longleftrightarrow$   $x = y$

*<proof>*

**lemma** *prod-decode-eq*: *prod-decode* *x* = *prod-decode* *y*  $\longleftrightarrow$   $x = y$

*<proof>*

Ordering properties

**lemma** *le-prod-encode-1*:  $a \leq \text{prod-encode } (a, b)$   
 ⟨proof⟩

**lemma** *le-prod-encode-2*:  $b \leq \text{prod-encode } (a, b)$   
 ⟨proof⟩

## 16.2 Type $\text{nat} + \text{nat}$

**definition** *sum-encode* ::  $\text{nat} + \text{nat} \Rightarrow \text{nat}$   
 where *sum-encode*  $x = (\text{case } x \text{ of } \text{Inl } a \Rightarrow 2 * a \mid \text{Inr } b \Rightarrow \text{Suc } (2 * b))$

**definition** *sum-decode* ::  $\text{nat} \Rightarrow \text{nat} + \text{nat}$   
 where *sum-decode*  $n = (\text{if even } n \text{ then } \text{Inl } (n \text{ div } 2) \text{ else } \text{Inr } (n \text{ div } 2))$

**lemma** *sum-encode-inverse* [*simp*]:  $\text{sum-decode } (\text{sum-encode } x) = x$   
 ⟨proof⟩

**lemma** *sum-decode-inverse* [*simp*]:  $\text{sum-encode } (\text{sum-decode } n) = n$   
 ⟨proof⟩

**lemma** *inj-sum-encode*: *inj-on sum-encode A*  
 ⟨proof⟩

**lemma** *inj-sum-decode*: *inj-on sum-decode A*  
 ⟨proof⟩

**lemma** *surj-sum-encode*: *surj sum-encode*  
 ⟨proof⟩

**lemma** *surj-sum-decode*: *surj sum-decode*  
 ⟨proof⟩

**lemma** *bij-sum-encode*: *bij sum-encode*  
 ⟨proof⟩

**lemma** *bij-sum-decode*: *bij sum-decode*  
 ⟨proof⟩

**lemma** *sum-encode-eq*:  $\text{sum-encode } x = \text{sum-encode } y \iff x = y$   
 ⟨proof⟩

**lemma** *sum-decode-eq*:  $\text{sum-decode } x = \text{sum-decode } y \iff x = y$   
 ⟨proof⟩

## 16.3 Type $\text{int}$

**definition** *int-encode* ::  $\text{int} \Rightarrow \text{nat}$   
 where *int-encode*  $i = \text{sum-encode } (\text{if } 0 \leq i \text{ then } \text{Inl } (\text{nat } i) \text{ else } \text{Inr } (\text{nat } (- i - 1)))$

**definition** *int-decode* :: *nat*  $\Rightarrow$  *int*

**where** *int-decode* *n* = (case *sum-decode* *n* of *Inl* *a*  $\Rightarrow$  *int* *a* | *Inr* *b*  $\Rightarrow$  - *int* *b* - 1)

**lemma** *int-encode-inverse* [*simp*]: *int-decode* (*int-encode* *x*) = *x*  
 ⟨*proof*⟩

**lemma** *int-decode-inverse* [*simp*]: *int-encode* (*int-decode* *n*) = *n*  
 ⟨*proof*⟩

**lemma** *inj-int-encode*: *inj-on int-encode* *A*  
 ⟨*proof*⟩

**lemma** *inj-int-decode*: *inj-on int-decode* *A*  
 ⟨*proof*⟩

**lemma** *surj-int-encode*: *surj int-encode*  
 ⟨*proof*⟩

**lemma** *surj-int-decode*: *surj int-decode*  
 ⟨*proof*⟩

**lemma** *bij-int-encode*: *bij int-encode*  
 ⟨*proof*⟩

**lemma** *bij-int-decode*: *bij int-decode*  
 ⟨*proof*⟩

**lemma** *int-encode-eq*: *int-encode* *x* = *int-encode* *y*  $\longleftrightarrow$  *x* = *y*  
 ⟨*proof*⟩

**lemma** *int-decode-eq*: *int-decode* *x* = *int-decode* *y*  $\longleftrightarrow$  *x* = *y*  
 ⟨*proof*⟩

## 16.4 Type *nat list*

**fun** *list-encode* :: *nat list*  $\Rightarrow$  *nat*

**where**

*list-encode* [] = 0

| *list-encode* (*x* # *xs*) = *Suc* (*prod-encode* (*x*, *list-encode* *xs*))

**function** *list-decode* :: *nat*  $\Rightarrow$  *nat list*

**where**

*list-decode* 0 = []

| *list-decode* (*Suc* *n*) = (case *prod-decode* *n* of (*x*, *y*)  $\Rightarrow$  *x* # *list-decode* *y*)

⟨*proof*⟩

**termination** *list-decode*

⟨*proof*⟩

**lemma** *list-encode-inverse* [simp]: *list-decode (list-encode x) = x*  
 ⟨proof⟩

**lemma** *list-decode-inverse* [simp]: *list-encode (list-decode n) = n*  
 ⟨proof⟩

**lemma** *inj-list-encode: inj-on list-encode A*  
 ⟨proof⟩

**lemma** *inj-list-decode: inj-on list-decode A*  
 ⟨proof⟩

**lemma** *surj-list-encode: surj list-encode*  
 ⟨proof⟩

**lemma** *surj-list-decode: surj list-decode*  
 ⟨proof⟩

**lemma** *bij-list-encode: bij list-encode*  
 ⟨proof⟩

**lemma** *bij-list-decode: bij list-decode*  
 ⟨proof⟩

**lemma** *list-encode-eq: list-encode x = list-encode y  $\longleftrightarrow$  x = y*  
 ⟨proof⟩

**lemma** *list-decode-eq: list-decode x = list-decode y  $\longleftrightarrow$  x = y*  
 ⟨proof⟩

## 16.5 Finite sets of naturals

### 16.5.1 Preliminaries

**lemma** *finite-vimage-Suc-iff: finite (Suc -‘ F)  $\longleftrightarrow$  finite F*  
 ⟨proof⟩

**lemma** *vimage-Suc-insert-0: Suc -‘ insert 0 A = Suc -‘ A*  
 ⟨proof⟩

**lemma** *vimage-Suc-insert-Suc: Suc -‘ insert (Suc n) A = insert n (Suc -‘ A)*  
 ⟨proof⟩

**lemma** *div2-even-ext-nat:*  
**fixes** *x y :: nat*  
**assumes** *x div 2 = y div 2*  
**and** *even x  $\longleftrightarrow$  even y*  
**shows** *x = y*  
 ⟨proof⟩

### 16.5.2 From sets to naturals

**definition** *set-encode* ::  $\text{nat set} \Rightarrow \text{nat}$   
**where** *set-encode* = *sum* (*op* ^ 2)

**lemma** *set-encode-empty* [*simp*]: *set-encode* {} = 0  
 ⟨*proof*⟩

**lemma** *set-encode-inf*:  $\neg \text{finite } A \Longrightarrow \text{set-encode } A = 0$   
 ⟨*proof*⟩

**lemma** *set-encode-insert* [*simp*]:  $\text{finite } A \Longrightarrow n \notin A \Longrightarrow \text{set-encode } (\text{insert } n \ A) = 2^n + \text{set-encode } A$   
 ⟨*proof*⟩

**lemma** *even-set-encode-iff*:  $\text{finite } A \Longrightarrow \text{even } (\text{set-encode } A) \longleftrightarrow 0 \notin A$   
 ⟨*proof*⟩

**lemma** *set-encode-vimage-Suc*:  $\text{set-encode } (\text{Suc } -' \ A) = \text{set-encode } A \ \text{div } 2$   
 ⟨*proof*⟩

**lemmas** *set-encode-div-2* = *set-encode-vimage-Suc* [*symmetric*]

### 16.5.3 From naturals to sets

**definition** *set-decode* ::  $\text{nat} \Rightarrow \text{nat set}$   
**where** *set-decode* *x* = {*n*. *odd* (*x* div 2 ^ *n*)}

**lemma** *set-decode-0* [*simp*]:  $0 \in \text{set-decode } x \longleftrightarrow \text{odd } x$   
 ⟨*proof*⟩

**lemma** *set-decode-Suc* [*simp*]:  $\text{Suc } n \in \text{set-decode } x \longleftrightarrow n \in \text{set-decode } (x \ \text{div } 2)$   
 ⟨*proof*⟩

**lemma** *set-decode-zero* [*simp*]: *set-decode* 0 = {}  
 ⟨*proof*⟩

**lemma** *set-decode-div-2*:  $\text{set-decode } (x \ \text{div } 2) = \text{Suc } -' \ \text{set-decode } x$   
 ⟨*proof*⟩

**lemma** *set-decode-plus-power-2*:  
 $n \notin \text{set-decode } z \Longrightarrow \text{set-decode } (2^n + z) = \text{insert } n \ (\text{set-decode } z)$   
 ⟨*proof*⟩

**lemma** *finite-set-decode* [*simp*]: *finite* (*set-decode* *n*)  
 ⟨*proof*⟩

### 16.5.4 Proof of isomorphism

**lemma** *set-decode-inverse* [*simp*]:  $\text{set-encode } (\text{set-decode } n) = n$

*<proof>*

**lemma** *set-encode-inverse* [simp]: *finite A*  $\implies$  *set-decode (set-encode A) = A*  
*<proof>*

**lemma** *inj-on-set-encode*: *inj-on set-encode (Collect finite)*  
*<proof>*

**lemma** *set-encode-eq*: *finite A*  $\implies$  *finite B*  $\implies$  *set-encode A = set-encode B*  $\longleftrightarrow$   
*A = B*  
*<proof>*

**lemma** *subset-decode-imp-le*:  
 assumes *set-decode m*  $\subseteq$  *set-decode n*  
 shows *m*  $\leq$  *n*  
*<proof>*

**end**

## 17 Encoding (almost) everything into natural numbers

**theory** *Countable*  
**imports** *Old-Datatype HOL.Rat Nat-Bijection*  
**begin**

### 17.1 The class of countable types

**class** *countable* =  
 assumes *ex-inj*:  $\exists$  *to-nat* :: *'a*  $\Rightarrow$  *nat*. *inj to-nat*

**lemma** *countable-classI*:  
 fixes *f* :: *'a*  $\Rightarrow$  *nat*  
 assumes  $\bigwedge x y. f x = f y \implies x = y$   
 shows *OFCLASS('a, countable-class)*  
*<proof>*

### 17.2 Conversion functions

**definition** *to-nat* :: *'a::countable*  $\Rightarrow$  *nat* **where**  
*to-nat* = (*SOME f. inj f*)

**definition** *from-nat* :: *nat*  $\Rightarrow$  *'a::countable* **where**  
*from-nat* = *inv (to-nat :: 'a*  $\Rightarrow$  *nat)*

**lemma** *inj-to-nat* [simp]: *inj to-nat*  
*<proof>*

**lemma** *inj-on-to-nat*[simp, intro]: *inj-on to-nat S*

*<proof>*

**lemma** *surj-from-nat* [*simp*]: *surj from-nat*  
*<proof>*

**lemma** *to-nat-split* [*simp*]: *to-nat x = to-nat y*  $\longleftrightarrow$  *x = y*  
*<proof>*

**lemma** *from-nat-to-nat* [*simp*]:  
*from-nat (to-nat x) = x*  
*<proof>*

### 17.3 Finite types are countable

**subclass** (in *finite*) *countable*  
*<proof>*

### 17.4 Automatically proving countability of old-style datatypes

**context**  
**begin**

**qualified inductive** *finite-item* :: 'a *Old-Datatype.item*  $\Rightarrow$  *bool* **where**  
*undefined: finite-item undefined*  
| *In0: finite-item x*  $\Rightarrow$  *finite-item (Old-Datatype.In0 x)*  
| *In1: finite-item x*  $\Rightarrow$  *finite-item (Old-Datatype.In1 x)*  
| *Leaf: finite-item (Old-Datatype.Leaf a)*  
| *Scons: [finite-item x; finite-item y]*  $\Rightarrow$  *finite-item (Old-Datatype.Scons x y)*

**qualified function** *nth-item* :: *nat*  $\Rightarrow$  ('a::*countable*) *Old-Datatype.item*  
**where**

*nth-item 0 = undefined*  
| *nth-item (Suc n) =*  
*(case sum-decode n of*  
  *Inl i*  $\Rightarrow$   
  *(case sum-decode i of*  
    *Inl j*  $\Rightarrow$  *Old-Datatype.In0 (nth-item j)*  
    | *Inr j*  $\Rightarrow$  *Old-Datatype.In1 (nth-item j)*)  
  | *Inr i*  $\Rightarrow$   
  *(case sum-decode i of*  
    *Inl j*  $\Rightarrow$  *Old-Datatype.Leaf (from-nat j)*  
    | *Inr j*  $\Rightarrow$   
    *(case prod-decode j of*  
      *(a, b)*  $\Rightarrow$  *Old-Datatype.Scons (nth-item a) (nth-item b))))*)  
*<proof>*

**lemma** *le-sum-encode-Inl*: *x*  $\leq$  *y*  $\Rightarrow$  *x*  $\leq$  *sum-encode (Inl y)*  
*<proof>*

**lemma** *le-sum-encode-Inr*: *x*  $\leq$  *y*  $\Rightarrow$  *x*  $\leq$  *sum-encode (Inr y)*



*<proof>* **termination**  
*<proof>*

**lemma** *nth-item-covers*: *finite-item x*  $\implies \exists n. \text{nth-item } n = x$   
*<proof>*

**theorem** *countable-datatype*:  
**fixes** *Rep* :: 'b  $\Rightarrow$  ('a::countable) *Old-Datatype.item*  
**fixes** *Abs* :: ('a::countable) *Old-Datatype.item*  $\Rightarrow$  'b  
**fixes** *rep-set* :: ('a::countable) *Old-Datatype.item*  $\Rightarrow$  bool  
**assumes** *type*: *type-definition Rep Abs (Collect rep-set)*  
**assumes** *finite-item*:  $\bigwedge x. \text{rep-set } x \implies \text{finite-item } x$   
**shows** *OFCLASS('b, countable-class)*  
*<proof>*

*<ML>*

**end**

## 17.5 Automatically proving countability of datatypes

*<ML>*

## 17.6 More Countable types

Naturals

**instance** *nat* :: *countable*  
*<proof>*

Pairs

**instance** *prod* :: (*countable, countable*) *countable*  
*<proof>*

Sums

**instance** *sum* :: (*countable, countable*) *countable*  
*<proof>*

Integers

**instance** *int* :: *countable*  
*<proof>*

Options

**instance** *option* :: (*countable*) *countable*  
*<proof>*

Lists

**instance** *list* :: (*countable*) *countable*  
*<proof>*

String literals

**instance** *String.literal* :: *countable*  
 ⟨*proof*⟩

Functions

**instance** *fun* :: (*finite*, *countable*) *countable*  
 ⟨*proof*⟩

Typereps

**instance** *typerep* :: *countable*  
 ⟨*proof*⟩

## 17.7 The rationals are countably infinite

**definition** *nat-to-rat-surj* :: *nat* ⇒ *rat* **where**  
*nat-to-rat-surj* *n* = (let (*a*, *b*) = *prod-decode* *n* in *Fract* (*int-decode* *a*) (*int-decode* *b*))

**lemma** *surj-nat-to-rat-surj*: *surj* *nat-to-rat-surj*  
 ⟨*proof*⟩

**lemma** *Rats-eq-range-nat-to-rat-surj*:  $\mathbb{Q} = \text{range } \textit{nat-to-rat-surj}$   
 ⟨*proof*⟩

**context** *field-char-0*  
**begin**

**lemma** *Rats-eq-range-of-rat-o-nat-to-rat-surj*:  
 $\mathbb{Q} = \text{range } (\textit{of-rat} \circ \textit{nat-to-rat-surj})$   
 ⟨*proof*⟩

**lemma** *surj-of-rat-nat-to-rat-surj*:  
 $r \in \mathbb{Q} \implies \exists n. r = \textit{of-rat} (\textit{nat-to-rat-surj } n)$   
 ⟨*proof*⟩

**end**

**instance** *rat* :: *countable*  
 ⟨*proof*⟩

**end**

## 18 Infinite Sets and Related Concepts

**theory** *Infinite-Set*  
**imports** *Main*  
**begin**

### 18.1 The set of natural numbers is infinite

**lemma** *infinite-nat-iff-unbounded-le*:  $\text{infinite } S \longleftrightarrow (\forall m. \exists n \geq m. n \in S)$   
**for**  $S :: \text{nat set}$   
*<proof>*

**lemma** *infinite-nat-iff-unbounded*:  $\text{infinite } S \longleftrightarrow (\forall m. \exists n > m. n \in S)$   
**for**  $S :: \text{nat set}$   
*<proof>*

**lemma** *finite-nat-iff-bounded*:  $\text{finite } S \longleftrightarrow (\exists k. S \subseteq \{..<k\})$   
**for**  $S :: \text{nat set}$   
*<proof>*

**lemma** *finite-nat-iff-bounded-le*:  $\text{finite } S \longleftrightarrow (\exists k. S \subseteq \{.. k\})$   
**for**  $S :: \text{nat set}$   
*<proof>*

**lemma** *finite-nat-bounded*:  $\text{finite } S \implies \exists k. S \subseteq \{..<k\}$   
**for**  $S :: \text{nat set}$   
*<proof>*

For a set of natural numbers to be infinite, it is enough to know that for any number larger than some  $k$ , there is some larger number that is an element of the set.

**lemma** *unbounded-k-infinite*:  $\forall m > k. \exists n > m. n \in S \implies \text{infinite } (S :: \text{nat set})$   
*<proof>*

**lemma** *nat-not-finite*:  $\text{finite } (UNIV :: \text{nat set}) \implies R$   
*<proof>*

**lemma** *range-inj-infinite*:  
**fixes**  $f :: \text{nat} \Rightarrow 'a$   
**assumes** *inj f*  
**shows** *infinite (range f)*  
*<proof>*

### 18.2 The set of integers is also infinite

**lemma** *infinite-int-iff-infinite-nat-abs*:  $\text{infinite } S \longleftrightarrow \text{infinite } ((\text{nat} \circ \text{abs}) ` S)$   
**for**  $S :: \text{int set}$   
*<proof>*

**proposition** *infinite-int-iff-unbounded-le*:  $\text{infinite } S \longleftrightarrow (\forall m. \exists n. |n| \geq m \wedge n \in S)$   
**for**  $S :: \text{int set}$   
*<proof>*

**proposition** *infinite-int-iff-unbounded*:  $\text{infinite } S \longleftrightarrow (\forall m. \exists n. |n| > m \wedge n \in S)$

**for**  $S :: \text{int set}$   
 $\langle \text{proof} \rangle$

**proposition** *finite-int-iff-bounded*:  $\text{finite } S \longleftrightarrow (\exists k. \text{abs } 'S \subseteq \{..<k\})$   
**for**  $S :: \text{int set}$   
 $\langle \text{proof} \rangle$

**proposition** *finite-int-iff-bounded-le*:  $\text{finite } S \longleftrightarrow (\exists k. \text{abs } 'S \subseteq \{.. k\})$   
**for**  $S :: \text{int set}$   
 $\langle \text{proof} \rangle$

### 18.3 Infinitely Many and Almost All

We often need to reason about the existence of infinitely many (resp., all but finitely many) objects satisfying some predicate, so we introduce corresponding binders and their proof rules.

**lemma** *not-INFM* [*simp*]:  $\neg (\text{INFM } x. P x) \longleftrightarrow (\text{MOST } x. \neg P x)$   
 $\langle \text{proof} \rangle$

**lemma** *not-MOST* [*simp*]:  $\neg (\text{MOST } x. P x) \longleftrightarrow (\text{INFM } x. \neg P x)$   
 $\langle \text{proof} \rangle$

**lemma** *INFM-const* [*simp*]:  $(\text{INFM } x::'a. P) \longleftrightarrow P \wedge \text{infinite } (\text{UNIV}::'a \text{ set})$   
 $\langle \text{proof} \rangle$

**lemma** *MOST-const* [*simp*]:  $(\text{MOST } x::'a. P) \longleftrightarrow P \vee \text{finite } (\text{UNIV}::'a \text{ set})$   
 $\langle \text{proof} \rangle$

**lemma** *INFM-imp-distrib*:  $(\text{INFM } x. P x \longrightarrow Q x) \longleftrightarrow ((\text{MOST } x. P x) \longrightarrow (\text{INFM } x. Q x))$   
 $\langle \text{proof} \rangle$

**lemma** *MOST-imp-iff*:  $\text{MOST } x. P x \Longrightarrow (\text{MOST } x. P x \longrightarrow Q x) \longleftrightarrow (\text{MOST } x. Q x)$   
 $\langle \text{proof} \rangle$

**lemma** *INFM-conjI*:  $\text{INFM } x. P x \Longrightarrow \text{MOST } x. Q x \Longrightarrow \text{INFM } x. P x \wedge Q x$   
 $\langle \text{proof} \rangle$

Properties of quantifiers with injective functions.

**lemma** *INFM-inj*:  $\text{INFM } x. P (f x) \Longrightarrow \text{inj } f \Longrightarrow \text{INFM } x. P x$   
 $\langle \text{proof} \rangle$

**lemma** *MOST-inj*:  $\text{MOST } x. P x \Longrightarrow \text{inj } f \Longrightarrow \text{MOST } x. P (f x)$   
 $\langle \text{proof} \rangle$

Properties of quantifiers with singletons.

**lemma** *not-INFM-eq* [*simp*]:  
 $\neg (\text{INFM } x. x = a)$

$\neg (\text{INF}M\ x.\ a = x)$   
 $\langle \text{proof} \rangle$

**lemma** *MOST- $\text{neq}$*  [*simp*]:  
 $\text{MOST}\ x.\ x \neq a$   
 $\text{MOST}\ x.\ a \neq x$   
 $\langle \text{proof} \rangle$

**lemma** *INF*M- $\text{neq}$  [*simp*]:  
 $(\text{INF}M\ x::'a.\ x \neq a) \longleftrightarrow \text{infinite}\ (\text{UNIV}::'a\ \text{set})$   
 $(\text{INF}M\ x::'a.\ a \neq x) \longleftrightarrow \text{infinite}\ (\text{UNIV}::'a\ \text{set})$   
 $\langle \text{proof} \rangle$

**lemma** *MOST- $\text{eq}$*  [*simp*]:  
 $(\text{MOST}\ x::'a.\ x = a) \longleftrightarrow \text{finite}\ (\text{UNIV}::'a\ \text{set})$   
 $(\text{MOST}\ x::'a.\ a = x) \longleftrightarrow \text{finite}\ (\text{UNIV}::'a\ \text{set})$   
 $\langle \text{proof} \rangle$

**lemma** *MOST- $\text{eq-imp}$* :  
 $\text{MOST}\ x.\ x = a \longrightarrow P\ x$   
 $\text{MOST}\ x.\ a = x \longrightarrow P\ x$   
 $\langle \text{proof} \rangle$

Properties of quantifiers over the naturals.

**lemma** *MOST- $\text{nat}$* :  $(\forall_{\infty} n.\ P\ n) \longleftrightarrow (\exists m.\ \forall n > m.\ P\ n)$   
**for**  $P :: \text{nat} \Rightarrow \text{bool}$   
 $\langle \text{proof} \rangle$

**lemma** *MOST- $\text{nat-le}$* :  $(\forall_{\infty} n.\ P\ n) \longleftrightarrow (\exists m.\ \forall n \geq m.\ P\ n)$   
**for**  $P :: \text{nat} \Rightarrow \text{bool}$   
 $\langle \text{proof} \rangle$

**lemma** *INF*M- $\text{nat}$ :  $(\exists_{\infty} n.\ P\ n) \longleftrightarrow (\forall m.\ \exists n > m.\ P\ n)$   
**for**  $P :: \text{nat} \Rightarrow \text{bool}$   
 $\langle \text{proof} \rangle$

**lemma** *INF*M- $\text{nat-le}$ :  $(\exists_{\infty} n.\ P\ n) \longleftrightarrow (\forall m.\ \exists n \geq m.\ P\ n)$   
**for**  $P :: \text{nat} \Rightarrow \text{bool}$   
 $\langle \text{proof} \rangle$

**lemma** *MOST- $\text{INF}$* M:  $\text{infinite}\ (\text{UNIV}::'a\ \text{set}) \Longrightarrow \text{MOST}\ x::'a.\ P\ x \Longrightarrow \text{INF}M\ x::'a.\ P\ x$   
 $\langle \text{proof} \rangle$

**lemma** *MOST- $\text{Suc-iff}$* :  $(\text{MOST}\ n.\ P\ (\text{Suc}\ n)) \longleftrightarrow (\text{MOST}\ n.\ P\ n)$   
 $\langle \text{proof} \rangle$

**lemma** *MOST- $\text{SucI}$* :  $\text{MOST}\ n.\ P\ n \Longrightarrow \text{MOST}\ n.\ P\ (\text{Suc}\ n)$   
**and** *MOST- $\text{SucD}$* :  $\text{MOST}\ n.\ P\ (\text{Suc}\ n) \Longrightarrow \text{MOST}\ n.\ P\ n$

$\langle \text{proof} \rangle$

**lemma** *MOST-ge-nat*:  $\text{MOST } n :: \text{nat. } m \leq n$   
 $\langle \text{proof} \rangle$

**lemma** *Inf-many-def*:  $\text{Inf-many } P \longleftrightarrow \text{infinite } \{x. P x\} \langle \text{proof} \rangle$

**lemma** *Alm-all-def*:  $\text{Alm-all } P \longleftrightarrow \neg (\text{INFM } x. \neg P x) \langle \text{proof} \rangle$

**lemma** *INFM-iff-infinite*:  $(\text{INFM } x. P x) \longleftrightarrow \text{infinite } \{x. P x\} \langle \text{proof} \rangle$

**lemma** *MOST-iff-cofinite*:  $(\text{MOST } x. P x) \longleftrightarrow \text{finite } \{x. \neg P x\} \langle \text{proof} \rangle$

**lemma** *INFM-EX*:  $(\exists_{\infty} x. P x) \implies (\exists x. P x) \langle \text{proof} \rangle$

**lemma** *ALL-MOST*:  $\forall x. P x \implies \forall_{\infty} x. P x \langle \text{proof} \rangle$

**lemma** *INFM-mono*:  $\exists_{\infty} x. P x \implies (\bigwedge x. P x \implies Q x) \implies \exists_{\infty} x. Q x \langle \text{proof} \rangle$

**lemma** *MOST-mono*:  $\forall_{\infty} x. P x \implies (\bigwedge x. P x \implies Q x) \implies \forall_{\infty} x. Q x \langle \text{proof} \rangle$

**lemma** *INFM-disj-distrib*:  $(\exists_{\infty} x. P x \vee Q x) \longleftrightarrow (\exists_{\infty} x. P x) \vee (\exists_{\infty} x. Q x)$   
 $\langle \text{proof} \rangle$

**lemma** *MOST-rev-mp*:  $\forall_{\infty} x. P x \implies \forall_{\infty} x. P x \longrightarrow Q x \implies \forall_{\infty} x. Q x \langle \text{proof} \rangle$

**lemma** *MOST-conj-distrib*:  $(\forall_{\infty} x. P x \wedge Q x) \longleftrightarrow (\forall_{\infty} x. P x) \wedge (\forall_{\infty} x. Q x)$   
 $\langle \text{proof} \rangle$

**lemma** *MOST-conjI*:  $\text{MOST } x. P x \implies \text{MOST } x. Q x \implies \text{MOST } x. P x \wedge Q x$   
 $\langle \text{proof} \rangle$

**lemma** *INFM-finite-Bex-distrib*:  $\text{finite } A \implies (\text{INFM } y. \exists x \in A. P x y) \longleftrightarrow (\exists x \in A. \text{INFM } y. P x y) \langle \text{proof} \rangle$

**lemma** *MOST-finite-Ball-distrib*:  $\text{finite } A \implies (\text{MOST } y. \forall x \in A. P x y) \longleftrightarrow (\forall x \in A. \text{MOST } y. P x y) \langle \text{proof} \rangle$

**lemma** *INFM-E*:  $\text{INFM } x. P x \implies (\bigwedge x. P x \implies \text{thesis}) \implies \text{thesis} \langle \text{proof} \rangle$

**lemma** *MOST-I*:  $(\bigwedge x. P x) \implies \text{MOST } x. P x \langle \text{proof} \rangle$

**lemmas** *MOST-iff-finiteNeg* = *MOST-iff-cofinite*

## 18.4 Enumeration of an Infinite Set

The set’s element type must be wellordered (e.g. the natural numbers).

Could be generalized to  $\text{enumerate}' S n = (\text{SOME } t. t \in s \wedge \text{finite } \{s \in S. s < t\} \wedge \text{card } \{s \in S. s < t\} = n)$ .

**primrec** (in wellorder)  $\text{enumerate} :: 'a \text{ set} \Rightarrow \text{nat} \Rightarrow 'a$

**where**

$\text{enumerate-0}$ :  $\text{enumerate } S 0 = (\text{LEAST } n. n \in S)$

|  $\text{enumerate-Suc}$ :  $\text{enumerate } S (\text{Suc } n) = \text{enumerate } (S - \{\text{LEAST } n. n \in S\})$   
 $n$

**lemma** *enumerate-Suc'*:  $\text{enumerate } S (\text{Suc } n) = \text{enumerate } (S - \{\text{enumerate } S 0\}) n$   
 $\langle \text{proof} \rangle$

**lemma** *enumerate-in-set*:  $\text{infinite } S \implies \text{enumerate } S n \in S$   
 $\langle \text{proof} \rangle$

**declare**  $\text{enumerate-0}$  [simp del]  $\text{enumerate-Suc}$  [simp del]

**lemma** *enumerate-step*:  $\text{infinite } S \implies \text{enumerate } S \ n < \text{enumerate } S \ (\text{Suc } n)$   
 ⟨proof⟩

**lemma** *enumerate-mono*:  $m < n \implies \text{infinite } S \implies \text{enumerate } S \ m < \text{enumerate } S \ n$   
 ⟨proof⟩

**lemma** *le-enumerate*:  
**assumes**  $S$ : *infinite*  $S$   
**shows**  $n \leq \text{enumerate } S \ n$   
 ⟨proof⟩

**lemma** *enumerate-Suc''*:  
**fixes**  $S$  :: 'a::wellorder set  
**assumes** *infinite*  $S$   
**shows**  $\text{enumerate } S \ (\text{Suc } n) = (\text{LEAST } s. s \in S \wedge \text{enumerate } S \ n < s)$   
 ⟨proof⟩

**lemma** *enumerate-Ex*:  
**fixes**  $S$  :: nat set  
**assumes**  $S$ : *infinite*  $S$   
**and**  $s$ :  $s \in S$   
**shows**  $\exists n. \text{enumerate } S \ n = s$   
 ⟨proof⟩

**lemma** *bij-enumerate*:  
**fixes**  $S$  :: nat set  
**assumes**  $S$ : *infinite*  $S$   
**shows** *bij-betw* (*enumerate*  $S$ ) *UNIV*  $S$   
 ⟨proof⟩

A pair of weird and wonderful lemmas from HOL Light.

**lemma** *finite-transitivity-chain*:  
**assumes** *finite*  $A$   
**and**  $R$ :  $\bigwedge x. \neg R \ x \ x \wedge x \ y \ z. \llbracket R \ x \ y; R \ y \ z \rrbracket \implies R \ x \ z$   
**and**  $A$ :  $\bigwedge x. x \in A \implies \exists y. y \in A \wedge R \ x \ y$   
**shows**  $A = \{\}$   
 ⟨proof⟩

**corollary** *Union-maximal-sets*:  
**assumes** *finite*  $\mathcal{F}$   
**shows**  $\bigcup \{T \in \mathcal{F}. \forall U \in \mathcal{F}. \neg T \subset U\} = \bigcup \mathcal{F}$   
 (is ?lhs = ?rhs)  
 ⟨proof⟩

end

## 19 Countable sets

```
theory Countable-Set
imports Countable Infinite-Set
begin
```

### 19.1 Predicate for countable sets

```
definition countable :: 'a set  $\Rightarrow$  bool where
  countable S  $\longleftrightarrow$  ( $\exists f :: 'a \Rightarrow$  nat. inj-on f S)
```

```
lemma countableE:
```

```
  assumes S: countable S obtains f :: 'a  $\Rightarrow$  nat where inj-on f S
   $\langle$ proof $\rangle$ 
```

```
lemma countableI: inj-on (f :: 'a  $\Rightarrow$  nat) S  $\Longrightarrow$  countable S
   $\langle$ proof $\rangle$ 
```

```
lemma countableI': inj-on (f :: 'a  $\Rightarrow$  'b::countable) S  $\Longrightarrow$  countable S
   $\langle$ proof $\rangle$ 
```

```
lemma countableE-bij:
```

```
  assumes S: countable S obtains f :: nat  $\Rightarrow$  'a and C :: nat set where bij-betw
  f C S
   $\langle$ proof $\rangle$ 
```

```
lemma countableI-bij: bij-betw f (C::nat set) S  $\Longrightarrow$  countable S
   $\langle$ proof $\rangle$ 
```

```
lemma countable-finite: finite S  $\Longrightarrow$  countable S
   $\langle$ proof $\rangle$ 
```

```
lemma countableI-bij1: bij-betw f A B  $\Longrightarrow$  countable A  $\Longrightarrow$  countable B
   $\langle$ proof $\rangle$ 
```

```
lemma countableI-bij2: bij-betw f B A  $\Longrightarrow$  countable A  $\Longrightarrow$  countable B
   $\langle$ proof $\rangle$ 
```

```
lemma countable-iff-bij[simp]: bij-betw f A B  $\Longrightarrow$  countable A  $\longleftrightarrow$  countable B
   $\langle$ proof $\rangle$ 
```

```
lemma countable-subset: A  $\subseteq$  B  $\Longrightarrow$  countable B  $\Longrightarrow$  countable A
   $\langle$ proof $\rangle$ 
```

```
lemma countableI-type[intro, simp]: countable (A:: 'a :: countable set)
   $\langle$ proof $\rangle$ 
```

### 19.2 Enumerate a countable set

```
lemma countableE-infinite:
```



**assumes** *countable S infinite S*  
**obtains**  $e :: 'a \Rightarrow \text{nat}$  **where** *bij-betw e S UNIV*  
 ⟨*proof*⟩

**lemma** *countable-enum-cases:*

**assumes** *countable S*  
**obtains**  $(\text{finite}) f :: 'a \Rightarrow \text{nat}$  **where** *finite S bij-betw f S \{.. $\text{card } S\}$*   
 |  $(\text{infinite}) f :: 'a \Rightarrow \text{nat}$  **where** *infinite S bij-betw f S UNIV*  
 ⟨*proof*⟩

**definition** *to-nat-on*  $:: 'a \text{ set} \Rightarrow 'a \Rightarrow \text{nat}$  **where**

*to-nat-on S = (SOME f. if finite S then bij-betw f S \{.. $\text{card } S\}$  else bij-betw f S UNIV)*

**definition** *from-nat-into*  $:: 'a \text{ set} \Rightarrow \text{nat} \Rightarrow 'a$  **where**

*from-nat-into S n = (if  $n \in \text{to-nat-on } S$  ' S then inv-into S (to-nat-on S) n else SOME s.  $s \in S$ )*

**lemma** *to-nat-on-finite:*  $\text{finite } S \Longrightarrow \text{bij-betw } (\text{to-nat-on } S) S \{.. $\text{card } S\}$$

⟨*proof*⟩

**lemma** *to-nat-on-infinite:*  $\text{countable } S \Longrightarrow \text{infinite } S \Longrightarrow \text{bij-betw } (\text{to-nat-on } S) S$

UNIV

⟨*proof*⟩

**lemma** *bij-betw-from-nat-into-finite:*  $\text{finite } S \Longrightarrow \text{bij-betw } (\text{from-nat-into } S) \{.. $\text{card } S\}$  S$

⟨*proof*⟩

**lemma** *bij-betw-from-nat-into:*  $\text{countable } S \Longrightarrow \text{infinite } S \Longrightarrow \text{bij-betw } (\text{from-nat-into } S) \text{ UNIV } S$

⟨*proof*⟩

**lemma** *countable-as-injective-image:*

**assumes** *countable A infinite A*  
**obtains**  $f :: \text{nat} \Rightarrow 'a$  **where**  $A = \text{range } f$  *inj f*  
 ⟨*proof*⟩

**lemma** *inj-on-to-nat-on[intro]:*  $\text{countable } A \Longrightarrow \text{inj-on } (\text{to-nat-on } A) A$

⟨*proof*⟩

**lemma** *to-nat-on-inj[simp]:*

$\text{countable } A \Longrightarrow a \in A \Longrightarrow b \in A \Longrightarrow \text{to-nat-on } A a = \text{to-nat-on } A b \longleftrightarrow a = b$

⟨*proof*⟩

**lemma** *from-nat-into-to-nat-on[simp]:*  $\text{countable } A \Longrightarrow a \in A \Longrightarrow \text{from-nat-into } A (\text{to-nat-on } A a) = a$

⟨*proof*⟩

**lemma** *subset-range-from-nat-into*:  $\text{countable } A \implies A \subseteq \text{range } (\text{from-nat-into } A)$   
 ⟨proof⟩

**lemma** *from-nat-into*:  $A \neq \{\}$   $\implies \text{from-nat-into } A \ n \in A$   
 ⟨proof⟩

**lemma** *range-from-nat-into-subset*:  $A \neq \{\}$   $\implies \text{range } (\text{from-nat-into } A) \subseteq A$   
 ⟨proof⟩

**lemma** *range-from-nat-into[simp]*:  $A \neq \{\}$   $\implies \text{countable } A \implies \text{range } (\text{from-nat-into } A) = A$   
 ⟨proof⟩

**lemma** *image-to-nat-on*:  $\text{countable } A \implies \text{infinite } A \implies \text{to-nat-on } A \text{ ‘ } A = \text{UNIV}$   
 ⟨proof⟩

**lemma** *to-nat-on-surj*:  $\text{countable } A \implies \text{infinite } A \implies \exists a \in A. \text{to-nat-on } A \ a = n$   
 ⟨proof⟩

**lemma** *to-nat-on-from-nat-into[simp]*:  $n \in \text{to-nat-on } A \text{ ‘ } A \implies \text{to-nat-on } A \ (\text{from-nat-into } A \ n) = n$   
 ⟨proof⟩

**lemma** *to-nat-on-from-nat-into-infinite[simp]*:  
 $\text{countable } A \implies \text{infinite } A \implies \text{to-nat-on } A \ (\text{from-nat-into } A \ n) = n$   
 ⟨proof⟩

**lemma** *from-nat-into-inj*:  
 $\text{countable } A \implies m \in \text{to-nat-on } A \text{ ‘ } A \implies n \in \text{to-nat-on } A \text{ ‘ } A \implies$   
 $\text{from-nat-into } A \ m = \text{from-nat-into } A \ n \iff m = n$   
 ⟨proof⟩

**lemma** *from-nat-into-inj-infinite[simp]*:  
 $\text{countable } A \implies \text{infinite } A \implies \text{from-nat-into } A \ m = \text{from-nat-into } A \ n \iff m$   
 $= n$   
 ⟨proof⟩

**lemma** *eq-from-nat-into-iff*:  
 $\text{countable } A \implies x \in A \implies i \in \text{to-nat-on } A \text{ ‘ } A \implies x = \text{from-nat-into } A \ i \iff$   
 $i = \text{to-nat-on } A \ x$   
 ⟨proof⟩

**lemma** *from-nat-into-surj*:  $\text{countable } A \implies a \in A \implies \exists n. \text{from-nat-into } A \ n = a$   
 ⟨proof⟩

**lemma** *from-nat-into-inject[simp]*:  
 $A \neq \{\} \implies \text{countable } A \implies B \neq \{\} \implies \text{countable } B \implies \text{from-nat-into } A =$

*from-nat-into*  $B \longleftrightarrow A = B$   
 ⟨proof⟩

**lemma** *inj-on-from-nat-into*: *inj-on from-nat-into* ( $\{A. A \neq \{\}\} \wedge \text{countable } A$ )  
 ⟨proof⟩

### 19.3 Closure properties of countability

**lemma** *countable-SIGMA*[*intro, simp*]:  
*countable*  $I \implies (\bigwedge i. i \in I \implies \text{countable } (A\ i)) \implies \text{countable } (\text{SIGMA } i : I. A\ i)$   
 ⟨proof⟩

**lemma** *countable-image*[*intro, simp*]:  
**assumes** *countable*  $A$   
**shows** *countable*  $(f\ 'A)$   
 ⟨proof⟩

**lemma** *countable-image-inj-on*: *countable*  $(f\ 'A) \implies \text{inj-on } f\ A \implies \text{countable } A$   
 ⟨proof⟩

**lemma** *countable-UN*[*intro, simp*]:  
**fixes**  $I :: 'i\ \text{set}$  **and**  $A :: 'i \Rightarrow 'a\ \text{set}$   
**assumes**  $I$ : *countable*  $I$   
**assumes**  $A$ :  $\bigwedge i. i \in I \implies \text{countable } (A\ i)$   
**shows** *countable*  $(\bigcup i \in I. A\ i)$   
 ⟨proof⟩

**lemma** *countable-Un*[*intro*]: *countable*  $A \implies \text{countable } B \implies \text{countable } (A \cup B)$   
 ⟨proof⟩

**lemma** *countable-Un-iff*[*simp*]: *countable*  $(A \cup B) \longleftrightarrow \text{countable } A \wedge \text{countable } B$   
 ⟨proof⟩

**lemma** *countable-Plus*[*intro, simp*]:  
*countable*  $A \implies \text{countable } B \implies \text{countable } (A <+> B)$   
 ⟨proof⟩

**lemma** *countable-empty*[*intro, simp*]: *countable*  $\{\}$   
 ⟨proof⟩

**lemma** *countable-insert*[*intro, simp*]: *countable*  $A \implies \text{countable } (\text{insert } a\ A)$   
 ⟨proof⟩

**lemma** *countable-Int1*[*intro, simp*]: *countable*  $A \implies \text{countable } (A \cap B)$   
 ⟨proof⟩

**lemma** *countable-Int2*[*intro, simp*]: *countable*  $B \implies \text{countable } (A \cap B)$

*<proof>*

**lemma** *countable-INT*[*intro, simp*]:  $i \in I \implies \text{countable } (A \ i) \implies \text{countable } (\bigcap_{i \in I}. A \ i)$   
*<proof>*

**lemma** *countable-Diff*[*intro, simp*]:  $\text{countable } A \implies \text{countable } (A - B)$   
*<proof>*

**lemma** *countable-insert-eq* [*simp*]:  $\text{countable } (\text{insert } x \ A) = \text{countable } A$   
*<proof>*

**lemma** *countable-vimage*:  $B \subseteq \text{range } f \implies \text{countable } (f^{-1} \ B) \implies \text{countable } B$   
*<proof>*

**lemma** *surj-countable-vimage*:  $\text{surj } f \implies \text{countable } (f^{-1} \ B) \implies \text{countable } B$   
*<proof>*

**lemma** *countable-Collect*[*simp*]:  $\text{countable } A \implies \text{countable } \{a \in A. \varphi \ a\}$   
*<proof>*

**lemma** *countable-Image*:  
**assumes**  $\bigwedge y. y \in Y \implies \text{countable } (X \ \{y\})$   
**assumes** *countable Y*  
**shows** *countable (X “ Y)*  
*<proof>*

**lemma** *countable-relpow*:  
**fixes**  $X :: 'a \ \text{rel}$   
**assumes** *Image-X:  $\bigwedge Y. \text{countable } Y \implies \text{countable } (X \ \{Y\})$*   
**assumes** *Y: countable Y*  
**shows** *countable ((X ^^ i) “ Y)*  
*<proof>*

**lemma** *countable-funpow*:  
**fixes**  $f :: 'a \ \text{set} \Rightarrow 'a \ \text{set}$   
**assumes**  $\bigwedge A. \text{countable } A \implies \text{countable } (f \ A)$   
**and** *countable A*  
**shows** *countable ((f ^^ n) A)*  
*<proof>*

**lemma** *countable-rtrancl*:  
 $(\bigwedge Y. \text{countable } Y \implies \text{countable } (X \ \{Y\})) \implies \text{countable } Y \implies \text{countable } (X^* \ \{Y\})$   
*<proof>*

**lemma** *countable-lists*[*intro, simp*]:  
**assumes** *A: countable A* **shows** *countable (lists A)*  
*<proof>*

**lemma** *Collect-finite-eq-lists*:  $\text{Collect finite} = \text{set } \text{'lists UNIV}$   
 ⟨proof⟩

**lemma** *countable-Collect-finite*:  $\text{countable } (\text{Collect } (\text{finite}::'a::\text{countable set} \Rightarrow \text{bool}))$   
 ⟨proof⟩

**lemma** *countable-int*:  $\text{countable } \mathbb{Z}$   
 ⟨proof⟩

**lemma** *countable-rat*:  $\text{countable } \mathbb{Q}$   
 ⟨proof⟩

**lemma** *Collect-finite-subset-eq-lists*:  $\{A. \text{finite } A \wedge A \subseteq T\} = \text{set } \text{'lists } T$   
 ⟨proof⟩

**lemma** *countable-Collect-finite-subset*:  
 $\text{countable } T \Longrightarrow \text{countable } \{A. \text{finite } A \wedge A \subseteq T\}$   
 ⟨proof⟩

**lemma** *countable-set-option* [simp]:  $\text{countable } (\text{set-option } x)$   
 ⟨proof⟩

## 19.4 Misc lemmas

**lemma** *countable-subset-image*:  
 $\text{countable } B \wedge B \subseteq (f \text{' } A) \longleftrightarrow (\exists A'. \text{countable } A' \wedge A' \subseteq A \wedge (B = f \text{' } A'))$   
 (is ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *infinite-countable-subset'*:  
**assumes**  $X$ : *infinite*  $X$  **shows**  $\exists C \subseteq X. \text{countable } C \wedge \text{infinite } C$   
 ⟨proof⟩

**lemma** *countable-all*:  
**assumes**  $S$ : *countable*  $S$   
**shows**  $(\forall s \in S. P s) \longleftrightarrow (\forall n::\text{nat}. \text{from-nat-into } S \ n \in S \longrightarrow P (\text{from-nat-into } S \ n))$   
 ⟨proof⟩

**lemma** *finite-sequence-to-countable-set*:  
**assumes** *countable*  $X$  **obtains**  $F$  **where**  $\bigwedge i. F \ i \subseteq X \ \bigwedge i. F \ i \subseteq F \ (\text{Suc } i) \ \bigwedge i. \text{finite } (F \ i) \ (\bigcup i. F \ i) = X$   
 ⟨proof⟩

**lemma** *transfer-countable*[transfer-rule]:  
 $\text{bi-unique } R \Longrightarrow \text{rel-fun } (\text{rel-set } R) \text{ op} = \text{countable countable}$   
 ⟨proof⟩

## 19.5 Uncountable

**abbreviation** *uncountable* **where**

*uncountable*  $A \equiv \neg$  *countable*  $A$

**lemma** *uncountable-def*: *uncountable*  $A \longleftrightarrow A \neq \{\}$   $\wedge \neg (\exists f::(\text{nat} \Rightarrow 'a). \text{range } f = A)$

*<proof>*

**lemma** *uncountable-bij-betw*: *bij-betw*  $f A B \Longrightarrow$  *uncountable*  $B \Longrightarrow$  *uncountable*  $A$

*<proof>*

**lemma** *uncountable-infinite*: *uncountable*  $A \Longrightarrow$  *infinite*  $A$

*<proof>*

**lemma** *uncountable-minus-countable*:

*uncountable*  $A \Longrightarrow$  *countable*  $B \Longrightarrow$  *uncountable*  $(A - B)$

*<proof>*

**lemma** *countable-Diff-eq [simp]*: *countable*  $(A - \{x\}) =$  *countable*  $A$

*<proof>*

**end**

## 20 Countable Complete Lattices

**theory** *Countable-Complete-Lattices*

**imports** *Main Countable-Set*

**begin**

**lemma** *UNIV-nat-eq*: *UNIV* = *insert 0 (range Suc)*

*<proof>*

**class** *countable-complete-lattice* = *lattice* + *Inf* + *Sup* + *bot* + *top* +

**assumes** *ccInf-lower*: *countable*  $A \Longrightarrow x \in A \Longrightarrow$  *Inf*  $A \leq x$

**assumes** *ccInf-greatest*: *countable*  $A \Longrightarrow (\bigwedge x. x \in A \Longrightarrow z \leq x) \Longrightarrow z \leq$  *Inf*  $A$

**assumes** *ccSup-upper*: *countable*  $A \Longrightarrow x \in A \Longrightarrow x \leq$  *Sup*  $A$

**assumes** *ccSup-least*: *countable*  $A \Longrightarrow (\bigwedge x. x \in A \Longrightarrow x \leq z) \Longrightarrow$  *Sup*  $A \leq z$

**assumes** *ccInf-empty [simp]*: *Inf*  $\{\}$  = *top*

**assumes** *ccSup-empty [simp]*: *Sup*  $\{\}$  = *bot*

**begin**

**subclass** *bounded-lattice*

*<proof>*

**lemma** *ccINF-lower*: *countable*  $A \Longrightarrow i \in A \Longrightarrow (INF i :A. f i) \leq f i$

*<proof>*

**lemma** *ccINF-greatest*:  $\text{countable } A \implies (\bigwedge i. i \in A \implies u \leq f i) \implies u \leq (\text{INF } i : A. f i)$

*<proof>*

**lemma** *ccSUP-upper*:  $\text{countable } A \implies i \in A \implies f i \leq (\text{SUP } i : A. f i)$

*<proof>*

**lemma** *ccSUP-least*:  $\text{countable } A \implies (\bigwedge i. i \in A \implies f i \leq u) \implies (\text{SUP } i : A. f i) \leq u$

*<proof>*

**lemma** *ccInf-lower2*:  $\text{countable } A \implies u \in A \implies u \leq v \implies \text{Inf } A \leq v$

*<proof>*

**lemma** *ccINF-lower2*:  $\text{countable } A \implies i \in A \implies f i \leq u \implies (\text{INF } i : A. f i) \leq u$

*<proof>*

**lemma** *ccSup-upper2*:  $\text{countable } A \implies u \in A \implies v \leq u \implies v \leq \text{Sup } A$

*<proof>*

**lemma** *ccSUP-upper2*:  $\text{countable } A \implies i \in A \implies u \leq f i \implies u \leq (\text{SUP } i : A. f i)$

*<proof>*

**lemma** *le-ccInf-iff*:  $\text{countable } A \implies b \leq \text{Inf } A \iff (\forall a \in A. b \leq a)$

*<proof>*

**lemma** *le-ccINF-iff*:  $\text{countable } A \implies u \leq (\text{INF } i : A. f i) \iff (\forall i \in A. u \leq f i)$

*<proof>*

**lemma** *ccSup-le-iff*:  $\text{countable } A \implies \text{Sup } A \leq b \iff (\forall a \in A. a \leq b)$

*<proof>*

**lemma** *ccSUP-le-iff*:  $\text{countable } A \implies (\text{SUP } i : A. f i) \leq u \iff (\forall i \in A. f i \leq u)$

*<proof>*

**lemma** *ccInf-insert [simp]*:  $\text{countable } A \implies \text{Inf } (\text{insert } a A) = \text{inf } a (\text{Inf } A)$

*<proof>*

**lemma** *ccINF-insert [simp]*:  $\text{countable } A \implies (\text{INF } x : \text{insert } a A. f x) = \text{inf } (f a) (\text{INFIMUM } A f)$

*<proof>*

**lemma** *ccSup-insert [simp]*:  $\text{countable } A \implies \text{Sup } (\text{insert } a A) = \text{sup } a (\text{Sup } A)$

*<proof>*

**lemma** *ccSUP-insert [simp]*:  $\text{countable } A \implies (\text{SUP } x : \text{insert } a A. f x) = \text{sup } (f a) (\text{SUPREMUM } A f)$

*<proof>*

**lemma** *ccINF-empty* [simp]:  $(\text{INF } x:\{\}. f x) = \text{top}$   
 ⟨proof⟩

**lemma** *ccSUP-empty* [simp]:  $(\text{SUP } x:\{\}. f x) = \text{bot}$   
 ⟨proof⟩

**lemma** *ccInf-superset-mono*:  $\text{countable } A \implies B \subseteq A \implies \text{Inf } A \leq \text{Inf } B$   
 ⟨proof⟩

**lemma** *ccSup-subset-mono*:  $\text{countable } B \implies A \subseteq B \implies \text{Sup } A \leq \text{Sup } B$   
 ⟨proof⟩

**lemma** *ccInf-mono*:  
 assumes [intro]: *countable B countable A*  
 assumes  $\bigwedge b. b \in B \implies \exists a \in A. a \leq b$   
 shows  $\text{Inf } A \leq \text{Inf } B$   
 ⟨proof⟩

**lemma** *ccINF-mono*:  
*countable A*  $\implies$  *countable B*  $\implies (\bigwedge m. m \in B \implies \exists n \in A. f n \leq g m) \implies (\text{INF } n:A. f n) \leq (\text{INF } n:B. g n)$   
 ⟨proof⟩

**lemma** *ccSup-mono*:  
 assumes [intro]: *countable B countable A*  
 assumes  $\bigwedge a. a \in A \implies \exists b \in B. a \leq b$   
 shows  $\text{Sup } A \leq \text{Sup } B$   
 ⟨proof⟩

**lemma** *ccSUP-mono*:  
*countable A*  $\implies$  *countable B*  $\implies (\bigwedge n. n \in A \implies \exists m \in B. f n \leq g m) \implies (\text{SUP } n:A. f n) \leq (\text{SUP } n:B. g n)$   
 ⟨proof⟩

**lemma** *ccINF-superset-mono*:  
*countable A*  $\implies B \subseteq A \implies (\bigwedge x. x \in B \implies f x \leq g x) \implies (\text{INF } x:A. f x) \leq (\text{INF } x:B. g x)$   
 ⟨proof⟩

**lemma** *ccSUP-subset-mono*:  
*countable B*  $\implies A \subseteq B \implies (\bigwedge x. x \in A \implies f x \leq g x) \implies (\text{SUP } x:A. f x) \leq (\text{SUP } x:B. g x)$   
 ⟨proof⟩

**lemma** *less-eq-ccInf-inter*:  $\text{countable } A \implies \text{countable } B \implies \text{sup } (\text{Inf } A) (\text{Inf } B) \leq \text{Inf } (A \cap B)$   
 ⟨proof⟩



**lemma** *ccSup-inter-less-eq*:  $\text{countable } A \implies \text{countable } B \implies \text{Sup } (A \cap B) \leq \text{inf } (\text{Sup } A) (\text{Sup } B)$   
 ⟨proof⟩

**lemma** *ccInf-union-distrib*:  $\text{countable } A \implies \text{countable } B \implies \text{Inf } (A \cup B) = \text{inf } (\text{Inf } A) (\text{Inf } B)$   
 ⟨proof⟩

**lemma** *ccINF-union*:  
 $\text{countable } A \implies \text{countable } B \implies (\text{INF } i:A \cup B. M i) = \text{inf } (\text{INF } i:A. M i) (\text{INF } i:B. M i)$   
 ⟨proof⟩

**lemma** *ccSup-union-distrib*:  $\text{countable } A \implies \text{countable } B \implies \text{Sup } (A \cup B) = \text{sup } (\text{Sup } A) (\text{Sup } B)$   
 ⟨proof⟩

**lemma** *ccSUP-union*:  
 $\text{countable } A \implies \text{countable } B \implies (\text{SUP } i:A \cup B. M i) = \text{sup } (\text{SUP } i:A. M i) (\text{SUP } i:B. M i)$   
 ⟨proof⟩

**lemma** *ccINF-inf-distrib*:  $\text{countable } A \implies \text{inf } (\text{INF } a:A. f a) (\text{INF } a:A. g a) = (\text{INF } a:A. \text{inf } (f a) (g a))$   
 ⟨proof⟩

**lemma** *ccSUP-sup-distrib*:  $\text{countable } A \implies \text{sup } (\text{SUP } a:A. f a) (\text{SUP } a:A. g a) = (\text{SUP } a:A. \text{sup } (f a) (g a))$   
 ⟨proof⟩

**lemma** *ccINF-const [simp]*:  $A \neq \{\}$   $\implies (\text{INF } i : A. f) = f$   
 ⟨proof⟩

**lemma** *ccSUP-const [simp]*:  $A \neq \{\}$   $\implies (\text{SUP } i : A. f) = f$   
 ⟨proof⟩

**lemma** *ccINF-top [simp]*:  $(\text{INF } x:A. \text{top}) = \text{top}$   
 ⟨proof⟩

**lemma** *ccSUP-bot [simp]*:  $(\text{SUP } x:A. \text{bot}) = \text{bot}$   
 ⟨proof⟩

**lemma** *ccINF-commute*:  $\text{countable } A \implies \text{countable } B \implies (\text{INF } i:A. \text{INF } j:B. f i j) = (\text{INF } j:B. \text{INF } i:A. f i j)$   
 ⟨proof⟩

**lemma** *ccSUP-commute*:  $\text{countable } A \implies \text{countable } B \implies (\text{SUP } i:A. \text{SUP } j:B. f i j) = (\text{SUP } j:B. \text{SUP } i:A. f i j)$

*<proof>*

**end**

**context**

**fixes**  $a :: 'a::\{\text{countable-complete-lattice, linorder}\}$

**begin**

**lemma** *less-ccSup-iff*:  $\text{countable } S \implies a < \text{Sup } S \longleftrightarrow (\exists x \in S. a < x)$

*<proof>*

**lemma** *less-ccSUP-iff*:  $\text{countable } A \implies a < (\text{SUP } i:A. f i) \longleftrightarrow (\exists x \in A. a < f x)$

*<proof>*

**lemma** *ccInf-less-iff*:  $\text{countable } S \implies \text{Inf } S < a \longleftrightarrow (\exists x \in S. x < a)$

*<proof>*

**lemma** *ccINF-less-iff*:  $\text{countable } A \implies (\text{INF } i:A. f i) < a \longleftrightarrow (\exists x \in A. f x < a)$

*<proof>*

**end**

**class** *countable-complete-distrib-lattice* = *countable-complete-lattice* +

**assumes** *sup-ccInf*:  $\text{countable } B \implies \text{sup } a (\text{Inf } B) = (\text{INF } b:B. \text{sup } a b)$

**assumes** *inf-ccSup*:  $\text{countable } B \implies \text{inf } a (\text{Sup } B) = (\text{SUP } b:B. \text{inf } a b)$

**begin**

**lemma** *sup-ccINF*:

$\text{countable } B \implies \text{sup } a (\text{INF } b:B. f b) = (\text{INF } b:B. \text{sup } a (f b))$

*<proof>*

**lemma** *inf-ccSUP*:

$\text{countable } B \implies \text{inf } a (\text{SUP } b:B. f b) = (\text{SUP } b:B. \text{inf } a (f b))$

*<proof>*

**subclass** *distrib-lattice*

*<proof>*

**lemma** *ccInf-sup*:

$\text{countable } B \implies \text{sup } (\text{Inf } B) a = (\text{INF } b:B. \text{sup } b a)$

*<proof>*

**lemma** *ccSup-inf*:

$\text{countable } B \implies \text{inf } (\text{Sup } B) a = (\text{SUP } b:B. \text{inf } b a)$

*<proof>*

**lemma** *ccINF-sup*:

$\text{countable } B \implies \text{sup } (\text{INF } b:B. f b) a = (\text{INF } b:B. \text{sup } (f b) a)$

*<proof>*

**lemma** *ccSUP-inf*:

*countable B*  $\implies \text{inf } (\text{SUP } b:B. f b) a = (\text{SUP } b:B. \text{inf } (f b) a)$   
 ⟨proof⟩

**lemma** *ccINF-sup-distrib2*:

*countable A*  $\implies \text{countable B} \implies \text{sup } (\text{INF } a:A. f a) (\text{INF } b:B. g b) = (\text{INF } a:A. \text{sup } (f a) (g b))$   
 ⟨proof⟩

**lemma** *ccSUP-inf-distrib2*:

*countable A*  $\implies \text{countable B} \implies \text{inf } (\text{SUP } a:A. f a) (\text{SUP } b:B. g b) = (\text{SUP } a:A. \text{inf } (\text{SUP } b:B. \text{inf } (f a) (g b)))$   
 ⟨proof⟩

**context**

**fixes** *f* :: 'a  $\Rightarrow$  'b::countable-complete-lattice

**assumes** *mono f*

**begin**

**lemma** *mono-ccInf*:

*countable A*  $\implies f (\text{Inf } A) \leq (\text{INF } x:A. f x)$   
 ⟨proof⟩

**lemma** *mono-ccSup*:

*countable A*  $\implies (\text{SUP } x:A. f x) \leq f (\text{Sup } A)$   
 ⟨proof⟩

**lemma** *mono-ccINF*:

*countable I*  $\implies f (\text{INF } i : I. A i) \leq (\text{INF } x : I. f (A x))$   
 ⟨proof⟩

**lemma** *mono-ccSUP*:

*countable I*  $\implies (\text{SUP } x : I. f (A x)) \leq f (\text{SUP } i : I. A i)$   
 ⟨proof⟩

**end**

**end**

### 20.0.1 Instances of countable complete lattices

**instance** *fun* :: (type, countable-complete-lattice) countable-complete-lattice  
 ⟨proof⟩

**subclass** (in *complete-lattice*) countable-complete-lattice  
 ⟨proof⟩

**subclass** (in *complete-distrib-lattice*) countable-complete-distrib-lattice

*<proof>*

**end**

## 21 Cardinal Notations

**theory** *Cardinal-Notations*

**imports** *Main*

**begin**

**notation**

*ordLeq2* (**infix**  $\leq_o$  50) **and**

*ordLeq3* (**infix**  $\leq_o$  50) **and**

*ordLess2* (**infix**  $<_o$  50) **and**

*ordIso2* (**infix**  $=_o$  50) **and**

*card-of* (|-|) **and**

*BNF-Cardinal-Arithmetic.csum* (**infixr**  $+_c$  65) **and**

*BNF-Cardinal-Arithmetic.cprod* (**infixr**  $*_c$  80) **and**

*BNF-Cardinal-Arithmetic.cexp* (**infixr**  $\hat{c}$  90)

**abbreviation** *cinfinite*  $\equiv$  *BNF-Cardinal-Arithmetic.cinfinite*

**abbreviation** *czero*  $\equiv$  *BNF-Cardinal-Arithmetic.czero*

**abbreviation** *cone*  $\equiv$  *BNF-Cardinal-Arithmetic.cone*

**abbreviation** *ctwo*  $\equiv$  *BNF-Cardinal-Arithmetic.ctwo*

**end**

## 22 Type of (at Most) Countable Sets

**theory** *Countable-Set-Type*

**imports** *Countable-Set Cardinal-Notations HOL.Conditionally-Complete-Lattices*

**begin**

### 22.1 Cardinal stuff

**lemma** *countable-card-of-nat*: *countable*  $A \longleftrightarrow |A| \leq_o |UNIV::nat\ set|$

*<proof>*

**lemma** *countable-card-le-natLeq*: *countable*  $A \longleftrightarrow |A| \leq_o natLeq$

*<proof>*

**lemma** *countable-or-card-of*:

**assumes** *countable*  $A$

**shows** (*finite*  $A \wedge |A| <_o |UNIV::nat\ set|$ )  $\vee$

(*infinite*  $A \wedge |A| =_o |UNIV::nat\ set|$ )

*<proof>*

**lemma** *countable-cases-card-of*[*elim*]:

```

assumes countable A
obtains (Fin) finite A |A| <o |UNIV::nat set|
          | (Inf) infinite A |A| =o |UNIV::nat set|
          ⟨proof⟩

```

```

lemma countable-or:
  countable A  $\implies$  ( $\exists f::'a \Rightarrow \text{nat. finite } A \wedge \text{inj-on } f A$ )  $\vee$  ( $\exists f::'a \Rightarrow \text{nat. infinite } A$ )
 $\wedge$  bij-betw f A UNIV
  ⟨proof⟩

```

```

lemma countable-cases[elim]:
  assumes countable A
  obtains (Fin) f :: 'a  $\Rightarrow$  nat where finite A inj-on f A
          | (Inf) f :: 'a  $\Rightarrow$  nat where infinite A bij-betw f A UNIV
          ⟨proof⟩

```

```

lemma countable-ordLeq:
assumes |A|  $\leq$ o |B| and countable B
shows countable A
  ⟨proof⟩

```

```

lemma countable-ordLess:
assumes AB: |A| <o |B| and B: countable B
shows countable A
  ⟨proof⟩

```

## 22.2 The type of countable sets

```

typedef 'a cset = {A :: 'a set. countable A} morphisms rcset acset
  ⟨proof⟩

```

```

setup-lifting type-definition-cset

```

```

declare
  rcset-inverse[simp]
  acset-inverse[Transfer.transferred, unfolded mem-Collect-eq, simp]
  acset-inject[Transfer.transferred, unfolded mem-Collect-eq, simp]
  rcset[Transfer.transferred, unfolded mem-Collect-eq, simp]

```

```

instantiation cset :: (type) {bounded-lattice-bot, distrib-lattice, minus}
begin

```

```

lift-definition bot-cset :: 'a cset is {} parametric empty-transfer ⟨proof⟩

```

```

lift-definition less-eq-cset :: 'a cset  $\Rightarrow$  'a cset  $\Rightarrow$  bool
  is subset-eq parametric subset-transfer ⟨proof⟩

```

```

definition less-cset :: 'a cset  $\Rightarrow$  'a cset  $\Rightarrow$  bool
where xs < ys  $\equiv$  xs  $\leq$  ys  $\wedge$  xs  $\neq$  (ys::'a cset)

```

**lemma** *less-cset-transfer*[*transfer-rule*]:

**includes** *lifting-syntax*

**assumes** [*transfer-rule*]: *bi-unique A*

**shows**  $((\text{pcr-cset } A) \text{ ==>} (\text{pcr-cset } A) \text{ ==>} \text{op } =) \text{op } \subset \text{op } <$   
 $\langle \text{proof} \rangle$

**lift-definition** *sup-cset* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$

**is** *union parametric union-transfer*  $\langle \text{proof} \rangle$

**lift-definition** *inf-cset* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$

**is** *inter parametric inter-transfer*  $\langle \text{proof} \rangle$

**lift-definition** *minus-cset* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$

**is** *minus parametric Diff-transfer*  $\langle \text{proof} \rangle$

**instance**  $\langle \text{proof} \rangle$

**end**

**abbreviation** *empty* ::  $'a \text{ cset}$  **where** *empty*  $\equiv \text{bot}$

**abbreviation** *csubset-eq* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow \text{bool}$  **where** *csubset-eq*  $xs \ ys \equiv xs \leq ys$

**abbreviation** *csubset* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow \text{bool}$  **where** *csubset*  $xs \ ys \equiv xs < ys$

**abbreviation** *cUn* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$  **where** *cUn*  $xs \ ys \equiv \text{sup } xs \ ys$

**abbreviation** *cInt* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$  **where** *cInt*  $xs \ ys \equiv \text{inf } xs \ ys$

**abbreviation** *cDiff* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$  **where** *cDiff*  $xs \ ys \equiv \text{minus } xs \ ys$

**lift-definition** *cin* ::  $'a \Rightarrow 'a \text{ cset} \Rightarrow \text{bool}$  **is** *op*  $\in$  **parametric** *member-transfer*  
 $\langle \text{proof} \rangle$

**lift-definition** *cinsert* ::  $'a \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$  **is** *insert parametric Lifting-Set.insert-transfer*  
 $\langle \text{proof} \rangle$

**abbreviation** *csingle* ::  $'a \Rightarrow 'a \text{ cset}$  **where** *csingle*  $x \equiv \text{cinsert } x \ \text{empty}$

**lift-definition** *cimage* ::  $('a \Rightarrow 'b) \Rightarrow 'a \text{ cset} \Rightarrow 'b \text{ cset}$  **is** *op* ‘ **parametric**  
*image-transfer*  
 $\langle \text{proof} \rangle$

**lift-definition** *cBall* ::  $'a \text{ cset} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **is** *Ball parametric Ball-transfer*  
 $\langle \text{proof} \rangle$

**lift-definition** *cBex* ::  $'a \text{ cset} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **is** *Bex parametric Bex-transfer*  
 $\langle \text{proof} \rangle$

**lift-definition** *cUNION* ::  $'a \text{ cset} \Rightarrow ('a \Rightarrow 'b \text{ cset}) \Rightarrow 'b \text{ cset}$   
**is** *UNION parametric UNION-transfer*  $\langle \text{proof} \rangle$

**definition** *cUnion* ::  $'a \text{ cset } \text{cset} \Rightarrow 'a \text{ cset}$  **where** *cUnion*  $A = \text{cUNION } A \ \text{id}$

**lemma** *Union-conv-UNION*:  $\bigcup A = \text{UNION } A \ \text{id}$   
 $\langle \text{proof} \rangle$

**lemma** *cUnion-transfer* [*transfer-rule*]:

*rel-fun (pcr-cset (pcr-cset A)) (pcr-cset A) Union cUnion*  
*<proof>*

**lemmas** *cset-eqI = set-eqI[Transfer.transferred]*  
**lemmas** *cset-eq-iff[no-atp] = set-eq-iff[Transfer.transferred]*  
**lemmas** *cBall[intro!] = ball[Transfer.transferred]*  
**lemmas** *cbspec[dest?] = bspec[Transfer.transferred]*  
**lemmas** *cBallE[elim] = ballE[Transfer.transferred]*  
**lemmas** *cBexI[intro] = bexI[Transfer.transferred]*  
**lemmas** *rev-cBexI[intro?] = rev-bexI[Transfer.transferred]*  
**lemmas** *cBexCI = bexCI[Transfer.transferred]*  
**lemmas** *cBexE[elim!] = bexE[Transfer.transferred]*  
**lemmas** *cBall-triv[simp] = ball-triv[Transfer.transferred]*  
**lemmas** *cBex-triv[simp] = bex-triv[Transfer.transferred]*  
**lemmas** *cBex-triv-one-point1[simp] = bex-triv-one-point1[Transfer.transferred]*  
**lemmas** *cBex-triv-one-point2[simp] = bex-triv-one-point2[Transfer.transferred]*  
**lemmas** *cBex-one-point1[simp] = bex-one-point1[Transfer.transferred]*  
**lemmas** *cBex-one-point2[simp] = bex-one-point2[Transfer.transferred]*  
**lemmas** *cBall-one-point1[simp] = ball-one-point1[Transfer.transferred]*  
**lemmas** *cBall-one-point2[simp] = ball-one-point2[Transfer.transferred]*  
**lemmas** *cBall-conj-distrib = ball-conj-distrib[Transfer.transferred]*  
**lemmas** *cBex-disj-distrib = bex-disj-distrib[Transfer.transferred]*  
**lemmas** *cBall-cong = ball-cong[Transfer.transferred]*  
**lemmas** *cBex-cong = bex-cong[Transfer.transferred]*  
**lemmas** *csubsetI[intro!] = subsetI[Transfer.transferred]*  
**lemmas** *csubsetD[elim, intro?] = subsetD[Transfer.transferred]*  
**lemmas** *rev-csubsetD[no-atp, intro?] = rev-subsetD[Transfer.transferred]*  
**lemmas** *csubsetCE[no-atp, elim] = subsetCE[Transfer.transferred]*  
**lemmas** *csubset-eq[no-atp] = subset-eq[Transfer.transferred]*  
**lemmas** *contra-csubsetD[no-atp] = contra-subsetD[Transfer.transferred]*  
**lemmas** *csubset-refl = subset-refl[Transfer.transferred]*  
**lemmas** *csubset-trans = subset-trans[Transfer.transferred]*  
**lemmas** *cset-rev-mp = set-rev-mp[Transfer.transferred]*  
**lemmas** *cset-mp = set-mp[Transfer.transferred]*  
**lemmas** *csubset-not-fsubset-eq[code] = subset-not-subset-eq[Transfer.transferred]*  
**lemmas** *eq-cmem-trans = eq-mem-trans[Transfer.transferred]*  
**lemmas** *csubset-antisym[intro!] = subset-antisym[Transfer.transferred]*  
**lemmas** *cequalityD1 = equalityD1[Transfer.transferred]*  
**lemmas** *cequalityD2 = equalityD2[Transfer.transferred]*  
**lemmas** *cequalityE = equalityE[Transfer.transferred]*  
**lemmas** *cequalityCE[elim] = equalityCE[Transfer.transferred]*  
**lemmas** *eqcset-imp-iff = eqset-imp-iff[Transfer.transferred]*  
**lemmas** *eqelem-imp-iff = eqelem-imp-iff[Transfer.transferred]*  
**lemmas** *cempty-iff[simp] = empty-iff[Transfer.transferred]*  
**lemmas** *cempty-fsubsetI[iff] = empty-subsetI[Transfer.transferred]*  
**lemmas** *equals-cemptyI = equalsOI[Transfer.transferred]*  
**lemmas** *equals-cemptyD = equalsOD[Transfer.transferred]*  
**lemmas** *cBall-cempty[simp] = ball-empty[Transfer.transferred]*  
**lemmas** *cBex-cempty[simp] = bex-empty[Transfer.transferred]*

**lemmas**  $cInt\text{-iff}[simp] = Int\text{-iff}[Transfer.transferred]$   
**lemmas**  $cIntI[intro!] = IntI[Transfer.transferred]$   
**lemmas**  $cIntD1 = IntD1[Transfer.transferred]$   
**lemmas**  $cIntD2 = IntD2[Transfer.transferred]$   
**lemmas**  $cIntE[elim!] = IntE[Transfer.transferred]$   
**lemmas**  $cUn\text{-iff}[simp] = Un\text{-iff}[Transfer.transferred]$   
**lemmas**  $cUnI1[elim?] = UnI1[Transfer.transferred]$   
**lemmas**  $cUnI2[elim?] = UnI2[Transfer.transferred]$   
**lemmas**  $cUnCI[intro!] = UnCI[Transfer.transferred]$   
**lemmas**  $cuUnE[elim!] = UnE[Transfer.transferred]$   
**lemmas**  $cDiff\text{-iff}[simp] = Diff\text{-iff}[Transfer.transferred]$   
**lemmas**  $cDiffI[intro!] = DiffI[Transfer.transferred]$   
**lemmas**  $cDiffD1 = DiffD1[Transfer.transferred]$   
**lemmas**  $cDiffD2 = DiffD2[Transfer.transferred]$   
**lemmas**  $cDiffE[elim!] = DiffE[Transfer.transferred]$   
**lemmas**  $cinsert\text{-iff}[simp] = insert\text{-iff}[Transfer.transferred]$   
**lemmas**  $cinsertI1 = insertI1[Transfer.transferred]$   
**lemmas**  $cinsertI2 = insertI2[Transfer.transferred]$   
**lemmas**  $cinsertE[elim!] = insertE[Transfer.transferred]$   
**lemmas**  $cinsertCI[intro!] = insertCI[Transfer.transferred]$   
**lemmas**  $csubset\text{-cinsert}\text{-iff} = subset\text{-insert}\text{-iff}[Transfer.transferred]$   
**lemmas**  $cinsert\text{-ident} = insert\text{-ident}[Transfer.transferred]$   
**lemmas**  $csingletonI[intro!,no-atp] = singletonI[Transfer.transferred]$   
**lemmas**  $csingletonD[dest!,no-atp] = singletonD[Transfer.transferred]$   
**lemmas**  $fsingletonE = csingletonD[elim-format]$   
**lemmas**  $csingleton\text{-iff} = singleton\text{-iff}[Transfer.transferred]$   
**lemmas**  $csingleton\text{-inject}[dest!] = singleton\text{-inject}[Transfer.transferred]$   
**lemmas**  $csingleton\text{-finsert}\text{-inj}\text{-eq}[iff,no-atp] = singleton\text{-insert}\text{-inj}\text{-eq}[Transfer.transferred]$   
**lemmas**  $csingleton\text{-finsert}\text{-inj}\text{-eq}'[iff,no-atp] = singleton\text{-insert}\text{-inj}\text{-eq}'[Transfer.transferred]$   
**lemmas**  $csubset\text{-csingleton}D = subset\text{-singleton}D[Transfer.transferred]$   
**lemmas**  $cDiff\text{-single}\text{-cinsert} = Diff\text{-single}\text{-insert}[Transfer.transferred]$   
**lemmas**  $cdoubleton\text{-eq}\text{-iff} = doubleton\text{-eq}\text{-iff}[Transfer.transferred]$   
**lemmas**  $cUn\text{-csingleton}\text{-iff} = Un\text{-singleton}\text{-iff}[Transfer.transferred]$   
**lemmas**  $csingleton\text{-cUn}\text{-iff} = singleton\text{-Un}\text{-iff}[Transfer.transferred]$   
**lemmas**  $cimage\text{-eq}I[simp,intro] = image\text{-eq}I[Transfer.transferred]$   
**lemmas**  $cimageI = imageI[Transfer.transferred]$   
**lemmas**  $rev\text{-cimage}\text{-eq}I = rev\text{-image}\text{-eq}I[Transfer.transferred]$   
**lemmas**  $cimageE[elim!] = imageE[Transfer.transferred]$   
**lemmas**  $Compr\text{-cimage}\text{-eq} = Compr\text{-image}\text{-eq}[Transfer.transferred]$   
**lemmas**  $cimage\text{-cUn} = image\text{-Un}[Transfer.transferred]$   
**lemmas**  $cimage\text{-iff} = image\text{-iff}[Transfer.transferred]$   
**lemmas**  $cimage\text{-csubset}\text{-iff}[no-atp] = image\text{-subset}\text{-iff}[Transfer.transferred]$   
**lemmas**  $cimage\text{-csubset}I = image\text{-subset}I[Transfer.transferred]$   
**lemmas**  $cimage\text{-ident}[simp] = image\text{-ident}[Transfer.transferred]$   
**lemmas**  $if\text{-split}\text{-cin}1 = if\text{-split}\text{-mem}1[Transfer.transferred]$   
**lemmas**  $if\text{-split}\text{-cin}2 = if\text{-split}\text{-mem}2[Transfer.transferred]$   
**lemmas**  $cpsubsetI[intro!,no-atp] = psubsetI[Transfer.transferred]$   
**lemmas**  $cpsubsetE[elim!,no-atp] = psubsetE[Transfer.transferred]$   
**lemmas**  $cpsubset\text{-finsert}\text{-iff} = psubset\text{-insert}\text{-iff}[Transfer.transferred]$



```

lemmas cpsubset-eq = psubset-eq[Transfer.transferred]
lemmas cpsubset-imp-fsubset = psubset-imp-subset[Transfer.transferred]
lemmas cpsubset-trans = psubset-trans[Transfer.transferred]
lemmas cpsubsetD = psubsetD[Transfer.transferred]
lemmas cpsubset-csubset-trans = psubset-subset-trans[Transfer.transferred]
lemmas csubset-cpsubset-trans = subset-psubset-trans[Transfer.transferred]
lemmas cpsubset-imp-ex-fmem = psubset-imp-ex-mem[Transfer.transferred]
lemmas csubset-cinsertI = subset-insertI[Transfer.transferred]
lemmas csubset-cinsertI2 = subset-insertI2[Transfer.transferred]
lemmas csubset-cinsert = subset-insert[Transfer.transferred]
lemmas cUn-upper1 = Un-upper1[Transfer.transferred]
lemmas cUn-upper2 = Un-upper2[Transfer.transferred]
lemmas cUn-least = Un-least[Transfer.transferred]
lemmas cInt-lower1 = Int-lower1[Transfer.transferred]
lemmas cInt-lower2 = Int-lower2[Transfer.transferred]
lemmas cInt-greatest = Int-greatest[Transfer.transferred]
lemmas cDiff-csubset = Diff-subset[Transfer.transferred]
lemmas cDiff-csubset-conv = Diff-subset-conv[Transfer.transferred]
lemmas csubset-cempty[simp] = subset-empty[Transfer.transferred]
lemmas not-cpsubset-cempty[iff] = not-psubset-empty[Transfer.transferred]
lemmas cinsert-is-cUn = insert-is-Un[Transfer.transferred]
lemmas cinsert-not-cempty[simp] = insert-not-empty[Transfer.transferred]
lemmas cempty-not-cinsert = empty-not-insert[Transfer.transferred]
lemmas cinsert-absorb = insert-absorb[Transfer.transferred]
lemmas cinsert-absorb2[simp] = insert-absorb2[Transfer.transferred]
lemmas cinsert-commute = insert-commute[Transfer.transferred]
lemmas cinsert-csubset[simp] = insert-subset[Transfer.transferred]
lemmas cinsert-cinter-cinsert[simp] = insert-inter-insert[Transfer.transferred]
lemmas cinsert-disjoint[simp,no-atp] = insert-disjoint[Transfer.transferred]
lemmas disjoint-cinsert[simp,no-atp] = disjoint-insert[Transfer.transferred]
lemmas cimage-cempty[simp] = image-empty[Transfer.transferred]
lemmas cimage-cinsert[simp] = image-insert[Transfer.transferred]
lemmas cimage-constant = image-constant[Transfer.transferred]
lemmas cimage-constant-conv = image-constant-conv[Transfer.transferred]
lemmas cimage-cimage = image-image[Transfer.transferred]
lemmas cinsert-cimage[simp] = insert-image[Transfer.transferred]
lemmas cimage-is-cempty[iff] = image-is-empty[Transfer.transferred]
lemmas cempty-is-cimage[iff] = empty-is-image[Transfer.transferred]
lemmas cimage-cong = image-cong[Transfer.transferred]
lemmas cimage-cInt-csubset = image-Int-subset[Transfer.transferred]
lemmas cimage-cDiff-csubset = image-diff-subset[Transfer.transferred]
lemmas cInt-absorb = Int-absorb[Transfer.transferred]
lemmas cInt-left-absorb = Int-left-absorb[Transfer.transferred]
lemmas cInt-commute = Int-commute[Transfer.transferred]
lemmas cInt-left-commute = Int-left-commute[Transfer.transferred]
lemmas cInt-assoc = Int-assoc[Transfer.transferred]
lemmas cInt-ac = Int-ac[Transfer.transferred]
lemmas cInt-absorb1 = Int-absorb1[Transfer.transferred]
lemmas cInt-absorb2 = Int-absorb2[Transfer.transferred]

```

**lemmas**  $cInt\text{-empty-left} = Int\text{-empty-left}[Transfer.transferred]$   
**lemmas**  $cInt\text{-empty-right} = Int\text{-empty-right}[Transfer.transferred]$   
**lemmas**  $disjoint\text{-iff-cnot-equal} = disjoint\text{-iff-not-equal}[Transfer.transferred]$   
**lemmas**  $cInt\text{-cUn-distrib} = Int\text{-Un-distrib}[Transfer.transferred]$   
**lemmas**  $cInt\text{-cUn-distrib2} = Int\text{-Un-distrib2}[Transfer.transferred]$   
**lemmas**  $cInt\text{-csubset-iff}[no-atp, simp] = Int\text{-subset-iff}[Transfer.transferred]$   
**lemmas**  $cUn\text{-absorb} = Un\text{-absorb}[Transfer.transferred]$   
**lemmas**  $cUn\text{-left-absorb} = Un\text{-left-absorb}[Transfer.transferred]$   
**lemmas**  $cUn\text{-commute} = Un\text{-commute}[Transfer.transferred]$   
**lemmas**  $cUn\text{-left-commute} = Un\text{-left-commute}[Transfer.transferred]$   
**lemmas**  $cUn\text{-assoc} = Un\text{-assoc}[Transfer.transferred]$   
**lemmas**  $cUn\text{-ac} = Un\text{-ac}[Transfer.transferred]$   
**lemmas**  $cUn\text{-absorb1} = Un\text{-absorb1}[Transfer.transferred]$   
**lemmas**  $cUn\text{-absorb2} = Un\text{-absorb2}[Transfer.transferred]$   
**lemmas**  $cUn\text{-empty-left} = Un\text{-empty-left}[Transfer.transferred]$   
**lemmas**  $cUn\text{-empty-right} = Un\text{-empty-right}[Transfer.transferred]$   
**lemmas**  $cUn\text{-cinsert-left}[simp] = Un\text{-insert-left}[Transfer.transferred]$   
**lemmas**  $cUn\text{-cinsert-right}[simp] = Un\text{-insert-right}[Transfer.transferred]$   
**lemmas**  $cInt\text{-cinsert-left} = Int\text{-insert-left}[Transfer.transferred]$   
**lemmas**  $cInt\text{-cinsert-left-if0}[simp] = Int\text{-insert-left-if0}[Transfer.transferred]$   
**lemmas**  $cInt\text{-cinsert-left-if1}[simp] = Int\text{-insert-left-if1}[Transfer.transferred]$   
**lemmas**  $cInt\text{-cinsert-right} = Int\text{-insert-right}[Transfer.transferred]$   
**lemmas**  $cInt\text{-cinsert-right-if0}[simp] = Int\text{-insert-right-if0}[Transfer.transferred]$   
**lemmas**  $cInt\text{-cinsert-right-if1}[simp] = Int\text{-insert-right-if1}[Transfer.transferred]$   
**lemmas**  $cUn\text{-cInt-distrib} = Un\text{-Int-distrib}[Transfer.transferred]$   
**lemmas**  $cUn\text{-cInt-distrib2} = Un\text{-Int-distrib2}[Transfer.transferred]$   
**lemmas**  $cUn\text{-cInt-crazy} = Un\text{-Int-crazy}[Transfer.transferred]$   
**lemmas**  $csubset\text{-cUn-eq} = subset\text{-Un-eq}[Transfer.transferred]$   
**lemmas**  $cUn\text{-empty}[iff] = Un\text{-empty}[Transfer.transferred]$   
**lemmas**  $cUn\text{-csubset-iff}[no-atp, simp] = Un\text{-subset-iff}[Transfer.transferred]$   
**lemmas**  $cUn\text{-cDiff-cInt} = Un\text{-Diff-Int}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-cInt2} = Diff\text{-Int2}[Transfer.transferred]$   
**lemmas**  $cUn\text{-cInt-assoc-eq} = Un\text{-Int-assoc-eq}[Transfer.transferred]$   
**lemmas**  $cBall\text{-cUn} = ball\text{-Un}[Transfer.transferred]$   
**lemmas**  $cBex\text{-cUn} = bex\text{-Un}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-eq-cempty-iff}[simp, no-atp] = Diff\text{-eq-empty-iff}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-cancel}[simp] = Diff\text{-cancel}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-idemp}[simp] = Diff\text{-idemp}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-triv} = Diff\text{-triv}[Transfer.transferred]$   
**lemmas**  $cempty\text{-cDiff}[simp] = empty\text{-Diff}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-cempty}[simp] = Diff\text{-empty}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-cinsert0}[simp, no-atp] = Diff\text{-insert0}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-cinsert} = Diff\text{-insert}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-cinsert2} = Diff\text{-insert2}[Transfer.transferred]$   
**lemmas**  $cinsert\text{-cDiff-if} = insert\text{-Diff-if}[Transfer.transferred]$   
**lemmas**  $cinsert\text{-cDiff1}[simp] = insert\text{-Diff1}[Transfer.transferred]$   
**lemmas**  $cinsert\text{-cDiff-single}[simp] = insert\text{-Diff-single}[Transfer.transferred]$   
**lemmas**  $cinsert\text{-cDiff} = insert\text{-Diff}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-cinsert-absorb} = Diff\text{-insert-absorb}[Transfer.transferred]$

**lemmas**  $cDiff\text{-disjoint}[simp] = Diff\text{-disjoint}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-partition} = Diff\text{-partition}[Transfer.transferred]$   
**lemmas**  $double\text{-cDiff} = double\text{-diff}[Transfer.transferred]$   
**lemmas**  $cUn\text{-cDiff}\text{-cancel}[simp] = Un\text{-Diff}\text{-cancel}[Transfer.transferred]$   
**lemmas**  $cUn\text{-cDiff}\text{-cancel2}[simp] = Un\text{-Diff}\text{-cancel2}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-cUn} = Diff\text{-Un}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-cInt} = Diff\text{-Int}[Transfer.transferred]$   
**lemmas**  $cUn\text{-cDiff} = Un\text{-Diff}[Transfer.transferred]$   
**lemmas**  $cInt\text{-cDiff} = Int\text{-Diff}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-cInt}\text{-distrib} = Diff\text{-Int}\text{-distrib}[Transfer.transferred]$   
**lemmas**  $cDiff\text{-cInt}\text{-distrib2} = Diff\text{-Int}\text{-distrib2}[Transfer.transferred]$   
**lemmas**  $cset\text{-eq}\text{-csubset} = set\text{-eq}\text{-subset}[Transfer.transferred]$   
**lemmas**  $csubset\text{-iff}[no-atp] = subset\text{-iff}[Transfer.transferred]$   
**lemmas**  $csubset\text{-iff}\text{-pfssubset}\text{-eq} = subset\text{-iff}\text{-psubset}\text{-eq}[Transfer.transferred]$   
**lemmas**  $all\text{-not}\text{-cin}\text{-conv}[simp] = all\text{-not}\text{-in}\text{-conv}[Transfer.transferred]$   
**lemmas**  $ex\text{-cin}\text{-conv} = ex\text{-in}\text{-conv}[Transfer.transferred]$   
**lemmas**  $cimage\text{-mono} = image\text{-mono}[Transfer.transferred]$   
**lemmas**  $cinsert\text{-mono} = insert\text{-mono}[Transfer.transferred]$   
**lemmas**  $cunion\text{-mono} = Un\text{-mono}[Transfer.transferred]$   
**lemmas**  $cinter\text{-mono} = Int\text{-mono}[Transfer.transferred]$   
**lemmas**  $cminus\text{-mono} = Diff\text{-mono}[Transfer.transferred]$   
**lemmas**  $cin\text{-mono} = in\text{-mono}[Transfer.transferred]$   
**lemmas**  $cLeast\text{-mono} = Least\text{-mono}[Transfer.transferred]$   
**lemmas**  $cequalityI = equalityI[Transfer.transferred]$   
**lemmas**  $cUN\text{-iff}[simp] = UN\text{-iff}[Transfer.transferred]$   
**lemmas**  $cUN\text{-I}[intro] = UN\text{-I}[Transfer.transferred]$   
**lemmas**  $cUN\text{-E}[elim!] = UN\text{-E}[Transfer.transferred]$   
**lemmas**  $cUN\text{-upper} = UN\text{-upper}[Transfer.transferred]$   
**lemmas**  $cUN\text{-least} = UN\text{-least}[Transfer.transferred]$   
**lemmas**  $cUN\text{-cinsert}\text{-distrib} = UN\text{-insert}\text{-distrib}[Transfer.transferred]$   
**lemmas**  $cUN\text{-empty}[simp] = UN\text{-empty}[Transfer.transferred]$   
**lemmas**  $cUN\text{-empty2}[simp] = UN\text{-empty2}[Transfer.transferred]$   
**lemmas**  $cUN\text{-absorb} = UN\text{-absorb}[Transfer.transferred]$   
**lemmas**  $cUN\text{-cinsert}[simp] = UN\text{-insert}[Transfer.transferred]$   
**lemmas**  $cUN\text{-cUn}[simp] = UN\text{-Un}[Transfer.transferred]$   
**lemmas**  $cUN\text{-cUN}\text{-flatten} = UN\text{-UN}\text{-flatten}[Transfer.transferred]$   
**lemmas**  $cUN\text{-csubset}\text{-iff} = UN\text{-subset}\text{-iff}[Transfer.transferred]$   
**lemmas**  $cUN\text{-constant}[simp] = UN\text{-constant}[Transfer.transferred]$   
**lemmas**  $cimage\text{-cUnion} = image\text{-Union}[Transfer.transferred]$   
**lemmas**  $cUNION\text{-cempty}\text{-conv}[simp] = UNION\text{-empty}\text{-conv}[Transfer.transferred]$   
**lemmas**  $cBall\text{-cUN} = ball\text{-UN}[Transfer.transferred]$   
**lemmas**  $cBex\text{-cUN} = bex\text{-UN}[Transfer.transferred]$   
**lemmas**  $cUn\text{-eq}\text{-cUN} = Un\text{-eq}\text{-UN}[Transfer.transferred]$   
**lemmas**  $cUN\text{-mono} = UN\text{-mono}[Transfer.transferred]$   
**lemmas**  $cimage\text{-cUN} = image\text{-UN}[Transfer.transferred]$   
**lemmas**  $cUN\text{-csingleton}[simp] = UN\text{-singleton}[Transfer.transferred]$

## 22.3 Additional lemmas

### 22.3.1 *empty*

**lemma** *emptyE* [*elim!*]:  $\text{cin } a \text{ empty} \implies P$  *<proof>*

### 22.3.2 *cinsert*

**lemma** *countable-insert-iff*:  $\text{countable } (\text{insert } x \ A) \iff \text{countable } A$   
*<proof>*

**lemma** *set-cinsert*:

**assumes**  $\text{cin } x \ A$

**obtains**  $B$  **where**  $A = \text{cinsert } x \ B$  **and**  $\neg \text{cin } x \ B$

*<proof>*

**lemma** *mk-disjoint-cinsert*:  $\text{cin } a \ A \implies \exists B. A = \text{cinsert } a \ B \wedge \neg \text{cin } a \ B$   
*<proof>*

### 22.3.3 *cimage*

**lemma** *subset-cimage-iff*:  $\text{csubset-eq } B \ (\text{cimage } f \ A) \iff (\exists AA. \text{csubset-eq } AA \ A \wedge B = \text{cimage } f \ AA)$   
*<proof>*

### 22.3.4 bounded quantification

**lemma** *cBex-simps* [*simp, no-atp*]:

$\bigwedge A \ P \ Q. \text{cBex } A \ (\lambda x. P \ x \wedge Q) = (\text{cBex } A \ P \wedge Q)$

$\bigwedge A \ P \ Q. \text{cBex } A \ (\lambda x. P \wedge Q \ x) = (P \wedge \text{cBex } A \ Q)$

$\bigwedge P. \text{cBex } \text{empty } P = \text{False}$

$\bigwedge a \ B \ P. \text{cBex } (\text{cinsert } a \ B) \ P = (P \ a \vee \text{cBex } B \ P)$

$\bigwedge A \ P \ f. \text{cBex } (\text{cimage } f \ A) \ P = \text{cBex } A \ (\lambda x. P \ (f \ x))$

$\bigwedge A \ P. (\neg \text{cBex } A \ P) = \text{cBall } A \ (\lambda x. \neg P \ x)$

*<proof>*

**lemma** *cBall-simps* [*simp, no-atp*]:

$\bigwedge A \ P \ Q. \text{cBall } A \ (\lambda x. P \ x \vee Q) = (\text{cBall } A \ P \vee Q)$

$\bigwedge A \ P \ Q. \text{cBall } A \ (\lambda x. P \vee Q \ x) = (P \vee \text{cBall } A \ Q)$

$\bigwedge A \ P \ Q. \text{cBall } A \ (\lambda x. P \longrightarrow Q \ x) = (P \longrightarrow \text{cBall } A \ Q)$

$\bigwedge A \ P \ Q. \text{cBall } A \ (\lambda x. P \ x \longrightarrow Q) = (\text{cBex } A \ P \longrightarrow Q)$

$\bigwedge P. \text{cBall } \text{empty } P = \text{True}$

$\bigwedge a \ B \ P. \text{cBall } (\text{cinsert } a \ B) \ P = (P \ a \wedge \text{cBall } B \ P)$

$\bigwedge A \ P \ f. \text{cBall } (\text{cimage } f \ A) \ P = \text{cBall } A \ (\lambda x. P \ (f \ x))$

$\bigwedge A \ P. (\neg \text{cBall } A \ P) = \text{cBex } A \ (\lambda x. \neg P \ x)$

*<proof>*

**lemma** *atomize-cBall*:

$(\bigwedge x. \text{cin } x \ A \implies P \ x) == \text{Trueprop } (\text{cBall } A \ (\lambda x. P \ x))$

*<proof>*

**22.3.5** *cUnion*

**lemma** *cUNION-cimage*:  $cUNION (cimage f A) g = cUNION A (g \circ f)$   
**including** *cset.lifting*  $\langle proof \rangle$

**22.4** Setup for Lifting/Transfer**22.4.1** Relator and predicator properties

**lift-definition** *rel-cset* ::  $('a \Rightarrow 'b \Rightarrow bool) \Rightarrow 'a \text{ cset} \Rightarrow 'b \text{ cset} \Rightarrow bool$   
**is rel-set parametric** *rel-set-transfer*  $\langle proof \rangle$

**lemma** *rel-cset-alt-def*:

$rel-cset R a b \iff$   
 $(\forall t \in rcset a. \exists u \in rcset b. R t u) \wedge$   
 $(\forall t \in rcset b. \exists u \in rcset a. R u t)$   
 $\langle proof \rangle$

**lemma** *rel-cset-iff*:

$rel-cset R a b \iff$   
 $(\forall t. cin t a \longrightarrow (\exists u. cin u b \wedge R t u)) \wedge$   
 $(\forall t. cin t b \longrightarrow (\exists u. cin u a \wedge R u t))$   
 $\langle proof \rangle$

**lemma** *rel-cset-cUNION*:

$\llbracket rel-cset Q A B; rel-fun Q (rel-cset R) f g \rrbracket$   
 $\implies rel-cset R (cUNION A f) (cUNION B g)$   
 $\langle proof \rangle$

**lemma** *rel-cset-csingle-iff* [*simp*]:  $rel-cset R (csingle x) (csingle y) \iff R x y$   
 $\langle proof \rangle$

**22.4.2** Transfer rules for the Transfer package

Unconditional transfer rules

**context includes** *lifting-syntax*  
**begin**

**lemmas** *empty-parametric* [*transfer-rule*] = *empty-transfer*[*Transfer.transferred*]

**lemma** *cinsert-parametric* [*transfer-rule*]:

$(A \implies rel-cset A \implies rel-cset A) cinsert cinsert$   
 $\langle proof \rangle$

**lemma** *cUn-parametric* [*transfer-rule*]:

$(rel-cset A \implies rel-cset A \implies rel-cset A) cUn cUn$   
 $\langle proof \rangle$

**lemma** *cUnion-parametric* [*transfer-rule*]:

$(rel-cset (rel-cset A) \implies rel-cset A) cUnion cUnion$

*<proof>*

**lemma** *cimage-parametric* [*transfer-rule*]:

$((A \text{====>} B) \text{====>} \text{rel-cset } A \text{====>} \text{rel-cset } B) \text{ cimage cimage}$   
*<proof>*

**lemma** *cBall-parametric* [*transfer-rule*]:

$(\text{rel-cset } A \text{====>} (A \text{====>} \text{op } =) \text{====>} \text{op } =) \text{ cBall cBall}$   
*<proof>*

**lemma** *cBex-parametric* [*transfer-rule*]:

$(\text{rel-cset } A \text{====>} (A \text{====>} \text{op } =) \text{====>} \text{op } =) \text{ cBex cBex}$   
*<proof>*

**lemma** *rel-cset-parametric* [*transfer-rule*]:

$((A \text{====>} B \text{====>} \text{op } =) \text{====>} \text{rel-cset } A \text{====>} \text{rel-cset } B \text{====>} \text{op } =)$   
*rel-cset rel-cset*  
*<proof>*

Rules requiring bi-unique, bi-total or right-total relations

**lemma** *cin-parametric* [*transfer-rule*]:

*bi-unique*  $A \implies (A \text{====>} \text{rel-cset } A \text{====>} \text{op } =) \text{ cin cin}$   
*<proof>*

**lemma** *cInt-parametric* [*transfer-rule*]:

*bi-unique*  $A \implies (\text{rel-cset } A \text{====>} \text{rel-cset } A \text{====>} \text{rel-cset } A) \text{ cInt cInt}$   
*<proof>*

**lemma** *cDiff-parametric* [*transfer-rule*]:

*bi-unique*  $A \implies (\text{rel-cset } A \text{====>} \text{rel-cset } A \text{====>} \text{rel-cset } A) \text{ cDiff cDiff}$   
*<proof>*

**lemma** *csubset-parametric* [*transfer-rule*]:

*bi-unique*  $A \implies (\text{rel-cset } A \text{====>} \text{rel-cset } A \text{====>} \text{op } =) \text{ csubset-eq csubset-eq}$   
*<proof>*

**end**

**lifting-update** *cset.lifting*

**lifting-forget** *cset.lifting*

## 22.5 Registration as BNF

**lemma** *card-of-countable-sets-range*:

**fixes**  $A :: 'a \text{ set}$

**shows**  $|\{X. X \subseteq A \wedge \text{countable } X \wedge X \neq \{\}\}| \leq o |\{f::\text{nat} \Rightarrow 'a. \text{range } f \subseteq A\}|$   
*<proof>*

**lemma** *card-of-countable-sets-Func*:

$|\{X. X \subseteq A \wedge \text{countable } X \wedge X \neq \{\}\}| \leq o |A| \hat{c} \text{ natLeq}$

*<proof>*

**lemma** *ordLeq-countable-subsets:*

$|A| \leq o |\{X. X \subseteq A \wedge \text{countable } X\}|$

*<proof>*

**lemma** *finite-countable-subset:*

$\text{finite } \{X. X \subseteq A \wedge \text{countable } X\} \longleftrightarrow \text{finite } A$

*<proof>*

**lemma** *rcset-to-rcset:*  $\text{countable } A \implies \text{rcset } (\text{the-inv rcset } A) = A$

**including** *cset.lifting*

*<proof>*

**lemma** *Collect-Int-Times:*  $\{(x, y). R x y\} \cap A \times B = \{(x, y). R x y \wedge x \in A \wedge y \in B\}$

*<proof>*

**lemma** *rel-cset-aux:*

$(\forall t \in \text{rcset } a. \exists u \in \text{rcset } b. R t u) \wedge (\forall t \in \text{rcset } b. \exists u \in \text{rcset } a. R u t) \longleftrightarrow$

$((\text{BNF-Def.Grp } \{x. \text{rcset } x \subseteq \{(a, b). R a b\}\} (\text{cimage fst}))^{-1-1} \text{ OO}$

$\text{BNF-Def.Grp } \{x. \text{rcset } x \subseteq \{(a, b). R a b\}\} (\text{cimage snd})) a b (\text{is ?L = ?R})$

*<proof>* **including** *cset.lifting*

*<proof>*

**bnf** *'a cset*

*map: cimage*

*sets: rcset*

*bd: natLeq*

*wits: empty*

*rel: rel-cset*

*<proof>* **including** *cset.lifting* *<proof>* **including** *cset.lifting* *<proof>*

**including** *cset.lifting* *<proof>*

**end**

## 23 Debugging facilities for code generated towards Isabelle/ML

**theory** *Debug*

**imports** *Main*

**begin**

**context**

**begin**

**qualified definition** *trace* :: *String.literal*  $\Rightarrow$  *unit* **where**

[simp]: trace s = ()

**qualified definition** tracing :: String.literal ⇒ 'a ⇒ 'a **where**  
 [simp]: tracing s = id

**lemma** [code]:  
 tracing s = (let u = trace s in id)  
 ⟨proof⟩ **definition** flush :: 'a ⇒ unit **where**  
 [simp]: flush x = ()

**qualified definition** flushing :: 'a ⇒ 'b ⇒ 'b **where**  
 [simp]: flushing x = id

**lemma** [code, code-unfold]:  
 flushing x = (let u = flush x in id)  
 ⟨proof⟩ **definition** timing :: String.literal ⇒ ('a ⇒ 'b) ⇒ 'a ⇒ 'b **where**  
 [simp]: timing s f x = f x

**end**

**code-printing**

**constant** Debug.trace ↪ (Eval) Output.tracing  
 | **constant** Debug.flush ↪ (Eval) Output.tracing/ (@{make'-string} -) — note  
 indirection via antiquotation  
 | **constant** Debug.timing ↪ (Eval) Timing.timeap'-msg

**code-reserved** Eval Output Timing

**end**

## 24 Sequence of Properties on Subsequences

**theory** Diagonal-Subsequence  
**imports** Complex-Main  
**begin**

**locale** subseqs =  
**fixes** P::nat⇒(nat⇒nat)⇒bool  
**assumes** ex-subseq:  $\bigwedge n s. \text{strict-mono } (s::\text{nat}\Rightarrow\text{nat}) \implies \exists r'. \text{strict-mono } r' \wedge P n (s \circ r')$   
**begin**

**definition** reduce **where** reduce s n = (SOME r'::nat⇒nat. strict-mono r' ∧ P n (s ∘ r'))

**lemma** subseq-reduce[intro, simp]:  
 strict-mono s  $\implies$  strict-mono (reduce s n)  
 ⟨proof⟩



**lemma** *reduce-holds*:

*strict-mono s*  $\implies P\ n\ (s\ o\ reduce\ s\ n)$

*<proof>*

**primrec** *seqseq* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat* **where**

*seqseq 0 = id*

| *seqseq (Suc n) = seqseq n o reduce (seqseq n) n*

**lemma** *subseq-seqseq*[*intro, simp*]: *strict-mono (seqseq n)*

*<proof>*

**lemma** *seqseq-holds*:

*P n (seqseq (Suc n))*

*<proof>*

**definition** *diagseq* :: *nat*  $\Rightarrow$  *nat* **where** *diagseq i = seqseq i i*

**lemma** *diagseq-mono*: *diagseq n < diagseq (Suc n)*

*<proof>*

**lemma** *subseq-diagseq*: *strict-mono diagseq*

*<proof>*

**primrec** *fold-reduce* **where**

*fold-reduce n 0 = id*

| *fold-reduce n (Suc k) = fold-reduce n k o reduce (seqseq (n + k)) (n + k)*

**lemma** *subseq-fold-reduce*[*intro, simp*]: *strict-mono (fold-reduce n k)*

*<proof>*

**lemma** *ex-subseq-reduce-index*: *seqseq (n + k) = seqseq n o fold-reduce n k*

*<proof>*

**lemma** *seqseq-fold-reduce*: *seqseq n = fold-reduce 0 n*

*<proof>*

**lemma** *diagseq-fold-reduce*: *diagseq n = fold-reduce 0 n n*

*<proof>*

**lemma** *fold-reduce-add*: *fold-reduce 0 (m + n) = fold-reduce 0 m o fold-reduce m n*

*<proof>*

**lemma** *diagseq-add*: *diagseq (k + n) = (seqseq k o (fold-reduce k n)) (k + n)*

*<proof>*

**lemma** *diagseq-sub*:

**assumes** *m*  $\leq$  *n* **shows** *diagseq n = (seqseq m o (fold-reduce m (n - m))) n*

*<proof>*

**lemma** *subseq-diagonal-rest*: *strict-mono*  $(\lambda x. \text{fold-reduce } k \ x \ (k + x))$   
 ⟨*proof*⟩

**lemma** *diagseq-seqseq*:  $\text{diagseq } o \ (op + k) = (\text{seqseq } k \ o \ (\lambda x. \text{fold-reduce } k \ x \ (k + x)))$   
 ⟨*proof*⟩

**lemma** *diagseq-holds*:  
**assumes** *subseq-stable*:  $\bigwedge r \ s \ n. \text{strict-mono } r \implies P \ n \ s \implies P \ n \ (s \ o \ r)$   
**shows**  $P \ k \ (\text{diagseq } o \ (op + (\text{Suc } k)))$   
 ⟨*proof*⟩

**end**

**end**

## 25 Partitions and Disjoint Sets

**theory** *Disjoint-Sets*

**imports** *Main*

**begin**

**lemma** *range-subsetD*:  $\text{range } f \subseteq B \implies f \ i \in B$   
 ⟨*proof*⟩

**lemma** *Int-Diff-disjoint*:  $A \cap B \cap (A - B) = \{\}$   
 ⟨*proof*⟩

**lemma** *Int-Diff-Un*:  $A \cap B \cup (A - B) = A$   
 ⟨*proof*⟩

**lemma** *mono-Un*:  $\text{mono } A \implies (\bigcup_{i \leq n}. A \ i) = A \ n$   
 ⟨*proof*⟩

**lemma** *disjnt-equiv-class*:  $\text{equiv } A \ r \implies \text{disjnt } (r^{-1}\{a\}) \ (r^{-1}\{b\}) \longleftrightarrow (a, b) \notin r$   
 ⟨*proof*⟩

### 25.1 Set of Disjoint Sets

**abbreviation** *disjoint* :: 'a set set  $\Rightarrow$  bool **where** *disjoint*  $\equiv$  *pairwise disjoint*

**lemma** *disjoint-def*:  $\text{disjoint } A \longleftrightarrow (\forall a \in A. \forall b \in A. a \neq b \longrightarrow a \cap b = \{\})$   
 ⟨*proof*⟩

**lemma** *disjointI*:  
 $(\bigwedge a \ b. a \in A \implies b \in A \implies a \neq b \implies a \cap b = \{\}) \implies \text{disjoint } A$   
 ⟨*proof*⟩

**lemma** *disjointD*:

$disjoint\ A \implies a \in A \implies b \in A \implies a \neq b \implies a \cap b = \{\}$

*<proof>*

**lemma** *disjoint-image*:  $inj\text{-on}\ f\ (\bigcup A) \implies disjoint\ A \implies disjoint\ (op\ 'f\ 'A)$

*<proof>*

**lemma** *assumes disjoint*  $(A \cup B)$

**shows** *disjoint-unionD1*: *disjoint A* **and** *disjoint-unionD2*: *disjoint B*

*<proof>*

**lemma** *disjoint-INT*:

**assumes** \*:  $\bigwedge i. i \in I \implies disjoint\ (F\ i)$

**shows** *disjoint*  $\{\bigcap i \in I. X\ i \mid X. \forall i \in I. X\ i \in F\ i\}$

*<proof>*

### 25.1.1 Family of Disjoint Sets

**definition** *disjoint-family-on* ::  $('i \Rightarrow 'a\ set) \Rightarrow 'i\ set \Rightarrow bool$  **where**

*disjoint-family-on*  $A\ S \iff (\forall m \in S. \forall n \in S. m \neq n \longrightarrow A\ m \cap A\ n = \{\})$

**abbreviation** *disjoint-family*  $A \equiv disjoint\text{-family-on}\ A\ UNIV$

**lemma** *disjoint-family-elem-disjnt*:

**assumes** *infinite A* *finite C*

**and** *df*: *disjoint-family-on B A*

**obtains** *x* **where**  $x \in A\ disjnt\ C\ (B\ x)$

*<proof>*

**lemma** *disjoint-family-onD*:

*disjoint-family-on A I*  $\implies i \in I \implies j \in I \implies i \neq j \implies A\ i \cap A\ j = \{\}$

*<proof>*

**lemma** *disjoint-family-subset*: *disjoint-family A*  $\implies (\bigwedge x. B\ x \subseteq A\ x) \implies disjoint\text{-family}\ B$

*<proof>*

**lemma** *disjoint-family-on-bisimulation*:

**assumes** *disjoint-family-on f S*

**and**  $\bigwedge n\ m. n \in S \implies m \in S \implies n \neq m \implies f\ n \cap f\ m = \{\} \implies g\ n \cap g\ m = \{\}$

**shows** *disjoint-family-on g S*

*<proof>*

**lemma** *disjoint-family-on-mono*:

$A \subseteq B \implies disjoint\text{-family-on}\ f\ B \implies disjoint\text{-family-on}\ f\ A$

*<proof>*

**lemma** *disjoint-family-Suc*:

$(\bigwedge n. A\ n \subseteq A\ (Suc\ n)) \implies disjoint\_family\ (\lambda i. A\ (Suc\ i) - A\ i)$   
 ⟨proof⟩

**lemma** *disjoint-family-on-disjoint-image*:  
*disjoint-family-on*  $A\ I \implies disjoint\ (A\ ' I)$   
 ⟨proof⟩

**lemma** *disjoint-family-on-vimageI*: *disjoint-family-on*  $F\ I \implies disjoint\_family\_on\ (\lambda i. f\ -' F\ i)\ I$   
 ⟨proof⟩

**lemma** *disjoint-image-disjoint-family-on*:  
 assumes  $d: disjoint\ (A\ ' I)$  and  $i: inj\_on\ A\ I$   
 shows *disjoint-family-on*  $A\ I$   
 ⟨proof⟩

**lemma** *disjoint-UN*:  
 assumes  $F: \bigwedge i. i \in I \implies disjoint\ (F\ i)$  and  $*$ : *disjoint-family-on*  $(\lambda i. \bigcup F\ i)\ I$   
 shows *disjoint*  $(\bigcup_{i \in I}. F\ i)$   
 ⟨proof⟩

**lemma** *distinct-list-bind*:  
 assumes *distinct*  $xs$   $\bigwedge x. x \in set\ xs \implies distinct\ (f\ x)$   
 $disjoint\_family\_on\ (set\ o\ f)\ (set\ xs)$   
 shows *distinct*  $(List.bind\ xs\ f)$   
 ⟨proof⟩

**lemma** *bij-betw-UNION-disjoint*:  
 assumes  $disj: disjoint\_family\_on\ A'\ I$   
 assumes  $bij: \bigwedge i. i \in I \implies bij\_betw\ f\ (A\ i)\ (A'\ i)$   
 shows *bij-betw*  $f\ (\bigcup_{i \in I}. A\ i)\ (\bigcup_{i \in I}. A'\ i)$   
 ⟨proof⟩

**lemma** *disjoint-union*: *disjoint*  $C \implies disjoint\ B \implies \bigcup C \cap \bigcup B = \{\} \implies disjoint\ (C \cup B)$   
 ⟨proof⟩

The union of an infinite disjoint family of non-empty sets is infinite.

**lemma** *infinite-disjoint-family-imp-infinite-UNION*:  
 assumes  $\neg finite\ A$   $\bigwedge x. x \in A \implies f\ x \neq \{\}$  *disjoint-family-on*  $f\ A$   
 shows  $\neg finite\ (UNION\ A\ f)$   
 ⟨proof⟩

## 25.2 Construct Disjoint Sequences

**definition** *disjointed* ::  $(nat \Rightarrow 'a\ set) \Rightarrow nat \Rightarrow 'a\ set$  **where**  
 $disjointed\ A\ n = A\ n - (\bigcup_{i \in \{0..<n\}}. A\ i)$

**lemma** *finite-UN-disjointed-eq*:  $(\bigcup_{i \in \{0..<n\}}. disjointed\ A\ i) = (\bigcup_{i \in \{0..<n\}}. A\ i)$

*<proof>*

**lemma** *UN-disjointed-eq*:  $(\bigcup i. \text{disjointed } A \ i) = (\bigcup i. A \ i)$   
*<proof>*

**lemma** *less-disjoint-disjointed*:  $m < n \implies \text{disjointed } A \ m \cap \text{disjointed } A \ n = \{\}$   
*<proof>*

**lemma** *disjoint-family-disjointed*: *disjoint-family* (*disjointed* *A*)  
*<proof>*

**lemma** *disjointed-subset*:  $\text{disjointed } A \ n \subseteq A \ n$   
*<proof>*

**lemma** *disjointed-0[simp]*:  $\text{disjointed } A \ 0 = A \ 0$   
*<proof>*

**lemma** *disjointed-mono*:  $\text{mono } A \implies \text{disjointed } A \ (\text{Suc } n) = A \ (\text{Suc } n) - A \ n$   
*<proof>*

### 25.3 Partitions

Partitions  $P$  of a set  $A$ . We explicitly disallow empty sets.

**definition** *partition-on* :: 'a set  $\Rightarrow$  'a set set  $\Rightarrow$  bool

**where**

*partition-on*  $A \ P \longleftrightarrow \bigcup P = A \wedge \text{disjoint } P \wedge \{\} \notin P$

**lemma** *partition-onI*:

$\bigcup P = A \implies (\bigwedge p \ q. p \in P \implies q \in P \implies p \neq q \implies \text{disjnt } p \ q) \implies \{\} \notin P$   
 $\implies \text{partition-on } A \ P$   
*<proof>*

**lemma** *partition-onD1*:  $\text{partition-on } A \ P \implies A = \bigcup P$   
*<proof>*

**lemma** *partition-onD2*:  $\text{partition-on } A \ P \implies \text{disjoint } P$   
*<proof>*

**lemma** *partition-onD3*:  $\text{partition-on } A \ P \implies \{\} \notin P$   
*<proof>*

### 25.4 Constructions of partitions

**lemma** *partition-on-empty*:  $\text{partition-on } \{\} \ P \longleftrightarrow P = \{\}$   
*<proof>*

**lemma** *partition-on-space*:  $A \neq \{\} \implies \text{partition-on } A \ \{A\}$   
*<proof>*

**lemma** *partition-on-singletons*: *partition-on*  $A$   $((\lambda x. \{x\}) \text{ ‘ } A)$   
 ⟨*proof*⟩

**lemma** *partition-on-transform*:

**assumes**  $P$ : *partition-on*  $A$   $P$   
**assumes**  $F$ -UN:  $\bigcup (F \text{ ‘ } P) = F (\bigcup P)$  **and**  $F$ -disjnt:  $\bigwedge p q. p \in P \implies q \in P$   
 $\implies$  *disjnt*  $p$   $q \implies$  *disjnt*  $(F p)$   $(F q)$   
**shows** *partition-on*  $(F A)$   $(F \text{ ‘ } P - \{\{\}\})$   
 ⟨*proof*⟩

**lemma** *partition-on-restrict*: *partition-on*  $A$   $P \implies$  *partition-on*  $(B \cap A)$   $(op \cap B \text{ ‘ } P - \{\{\}\})$   
 ⟨*proof*⟩

**lemma** *partition-on-vimage*: *partition-on*  $A$   $P \implies$  *partition-on*  $(f \text{ – ‘ } A)$   $(op \text{ – ‘ } f \text{ ‘ } P - \{\{\}\})$   
 ⟨*proof*⟩

**lemma** *partition-on-inj-image*:

**assumes**  $P$ : *partition-on*  $A$   $P$  **and**  $f$ : *inj-on*  $f$   $A$   
**shows** *partition-on*  $(f \text{ ‘ } A)$   $(op \text{ ‘ } f \text{ ‘ } P - \{\{\}\})$   
 ⟨*proof*⟩

## 25.5 Finiteness of partitions

**lemma** *finitely-many-partition-on*:

**assumes** *finite*  $A$   
**shows** *finite*  $\{P. \text{partition-on } A P\}$   
 ⟨*proof*⟩

**lemma** *finite-elements*: *finite*  $A \implies$  *partition-on*  $A$   $P \implies$  *finite*  $P$   
 ⟨*proof*⟩

## 25.6 Equivalence of partitions and equivalence classes

**lemma** *partition-on-quotient*:

**assumes**  $r$ : *equiv*  $A$   $r$   
**shows** *partition-on*  $A$   $(A // r)$   
 ⟨*proof*⟩

**lemma** *equiv-partition-on*:

**assumes**  $P$ : *partition-on*  $A$   $P$   
**shows** *equiv*  $A$   $\{(x, y). \exists p \in P. x \in p \wedge y \in p\}$   
 ⟨*proof*⟩

**lemma** *partition-on-eq-quotient*:

**assumes**  $P$ : *partition-on*  $A$   $P$   
**shows**  $A // \{(x, y). \exists p \in P. x \in p \wedge y \in p\} = P$   
 ⟨*proof*⟩

**lemma** *partition-on-alt*:  $\text{partition-on } A P \longleftrightarrow (\exists r. \text{equiv } A r \wedge P = A // r)$   
 ⟨*proof*⟩

**end**

## 26 Lists with elements distinct as canonical example for datatype invariants

**theory** *Dlist*  
**imports** *Main*  
**begin**

### 26.1 The type of distinct lists

**typedef** *'a dlist* = {*xs::'a list. distinct xs*}  
**morphisms** *list-of-dlist Abs-dlist*  
 ⟨*proof*⟩

**setup-lifting** *type-definition-dlist*

**lemma** *dlist-eq-iff*:  
 $dxs = dys \longleftrightarrow \text{list-of-dlist } dxs = \text{list-of-dlist } dys$   
 ⟨*proof*⟩

**lemma** *dlist-eqI*:  
 $\text{list-of-dlist } dxs = \text{list-of-dlist } dys \implies dxs = dys$   
 ⟨*proof*⟩

Formal, totalized constructor for *'a dlist*:

**definition** *Dlist* :: *'a list*  $\Rightarrow$  *'a dlist* **where**  
 $Dlist\ xs = Abs-dlist\ (remdups\ xs)$

**lemma** *distinct-list-of-dlist* [*simp, intro*]:  
 $distinct\ (\text{list-of-dlist } dxs)$   
 ⟨*proof*⟩

**lemma** *list-of-dlist-Dlist* [*simp*]:  
 $\text{list-of-dlist } (Dlist\ xs) = remdups\ xs$   
 ⟨*proof*⟩

**lemma** *remdups-list-of-dlist* [*simp*]:  
 $remdups\ (\text{list-of-dlist } dxs) = \text{list-of-dlist } dxs$   
 ⟨*proof*⟩

**lemma** *Dlist-list-of-dlist* [*simp, code abstype*]:  
 $Dlist\ (\text{list-of-dlist } dxs) = dxs$   
 ⟨*proof*⟩

Fundamental operations:

**context**  
**begin**

**qualified definition** *empty* :: 'a dlist **where**  
*empty* = Dlist []

**qualified definition** *insert* :: 'a ⇒ 'a dlist ⇒ 'a dlist **where**  
*insert* x dxs = Dlist (List.insert x (list-of-dlist dxs))

**qualified definition** *remove* :: 'a ⇒ 'a dlist ⇒ 'a dlist **where**  
*remove* x dxs = Dlist (remove1 x (list-of-dlist dxs))

**qualified definition** *map* :: ('a ⇒ 'b) ⇒ 'a dlist ⇒ 'b dlist **where**  
*map* f dxs = Dlist (remdups (List.map f (list-of-dlist dxs)))

**qualified definition** *filter* :: ('a ⇒ bool) ⇒ 'a dlist ⇒ 'a dlist **where**  
*filter* P dxs = Dlist (List.filter P (list-of-dlist dxs))

**qualified definition** *rotate* :: nat ⇒ 'a dlist ⇒ 'a dlist **where**  
*rotate* n dxs = Dlist (List.rotate n (list-of-dlist dxs))

**end**

Derived operations:

**context**  
**begin**

**qualified definition** *null* :: 'a dlist ⇒ bool **where**  
*null* dxs = List.null (list-of-dlist dxs)

**qualified definition** *member* :: 'a dlist ⇒ 'a ⇒ bool **where**  
*member* dxs = List.member (list-of-dlist dxs)

**qualified definition** *length* :: 'a dlist ⇒ nat **where**  
*length* dxs = List.length (list-of-dlist dxs)

**qualified definition** *fold* :: ('a ⇒ 'b ⇒ 'b) ⇒ 'a dlist ⇒ 'b ⇒ 'b **where**  
*fold* f dxs = List.fold f (list-of-dlist dxs)

**qualified definition** *foldr* :: ('a ⇒ 'b ⇒ 'b) ⇒ 'a dlist ⇒ 'b ⇒ 'b **where**  
*foldr* f dxs = List.foldr f (list-of-dlist dxs)

**end**

## 26.2 Executable version obeying invariant

**lemma** *list-of-dlist-empty* [simp, code abstract]:  
*list-of-dlist* Dlist.empty = []  
{proof}



**lemma** *list-of-dlist-insert* [*simp, code abstract*]:  
 $list\text{-of-dlist} (Dlist.insert\ x\ dxs) = List.insert\ x\ (list\text{-of-dlist}\ dxs)$   
 ⟨*proof*⟩

**lemma** *list-of-dlist-remove* [*simp, code abstract*]:  
 $list\text{-of-dlist} (Dlist.remove\ x\ dxs) = remove1\ x\ (list\text{-of-dlist}\ dxs)$   
 ⟨*proof*⟩

**lemma** *list-of-dlist-map* [*simp, code abstract*]:  
 $list\text{-of-dlist} (Dlist.map\ f\ dxs) = remdups\ (List.map\ f\ (list\text{-of-dlist}\ dxs))$   
 ⟨*proof*⟩

**lemma** *list-of-dlist-filter* [*simp, code abstract*]:  
 $list\text{-of-dlist} (Dlist.filter\ P\ dxs) = List.filter\ P\ (list\text{-of-dlist}\ dxs)$   
 ⟨*proof*⟩

**lemma** *list-of-dlist-rotate* [*simp, code abstract*]:  
 $list\text{-of-dlist} (Dlist.rotate\ n\ dxs) = List.rotate\ n\ (list\text{-of-dlist}\ dxs)$   
 ⟨*proof*⟩

Explicit executable conversion

**definition** *dlist-of-list* [*simp*]:  
 $dlist\text{-of-list} = Dlist$

**lemma** [*code abstract*]:  
 $list\text{-of-dlist} (dlist\text{-of-list}\ xs) = remdups\ xs$   
 ⟨*proof*⟩

Equality

**instantiation** *dlist* :: (*equal*) *equal*  
**begin**

**definition**  $HOL.equal\ dxs\ dys \longleftrightarrow HOL.equal\ (list\text{-of-dlist}\ dxs)\ (list\text{-of-dlist}\ dys)$

**instance**  
 ⟨*proof*⟩

**end**

**declare** *equal-dlist-def* [*code*]

**lemma** [*code nbe*]:  $HOL.equal\ (dxs :: 'a::equal\ dlist)\ dxs \longleftrightarrow True$   
 ⟨*proof*⟩

### 26.3 Induction principle and case distinction

**lemma** *dlist-induct* [*case-names empty insert, induct type: dlist*]:  
**assumes** *empty*:  $P\ Dlist.empty$   
**assumes** *insrt*:  $\bigwedge x\ dxs. \neg Dlist.member\ dxs\ x \implies P\ dxs \implies P\ (Dlist.insert\ x\ dxs)$

**shows**  $P\ dxs$   
 ⟨proof⟩

**lemma** *dlist-case* [*cases type: dlist*]:  
**obtains** (*empty*)  $dxs = Dlist.empty$   
 | (*insert*)  $x\ dys$  **where**  $\neg Dlist.member\ dys\ x$  **and**  $dxs = Dlist.insert\ x\ dys$   
 ⟨proof⟩

## 26.4 Functorial structure

**functor** *map*: *map*  
 ⟨proof⟩

## 26.5 Quickcheck generators

**quickcheck-generator** *dlist predicate: distinct constructors: Dlist.empty, Dlist.insert*

## 26.6 BNF instance

**context begin**

**qualified fun** *wpull* ::  $('a \times 'b)\ list \Rightarrow ('b \times 'c)\ list \Rightarrow ('a \times 'c)\ list$

**where**

$wpull\ []\ ys = []$   
 |  $wpull\ xs\ [] = []$   
 |  $wpull\ ((a, b) \# xs)\ ((b', c) \# ys) =$   
   (*if*  $b \in snd\ 'set\ xs$  *then*  
      $(a, the\ (map-of\ (rev\ ((b', c) \# ys))\ b)) \# wpull\ xs\ ((b', c) \# ys)$   
   *else if*  $b' \in fst\ 'set\ ys$  *then*  
      $(the\ (map-of\ (map\ prod.swap\ (rev\ ((a, b) \# xs)))\ b'), c) \# wpull\ ((a, b) \# xs)\ ys$   
   *else*  $(a, c) \# wpull\ xs\ ys$ )

**qualified lemma** *wpull-eq-Nil-iff* [*simp*]:  $wpull\ xs\ ys = [] \longleftrightarrow xs = [] \vee ys = []$

⟨proof⟩ **lemma** *wpull-induct*

[*consumes 1,*

*case-names Nil left[xs eq in-set IH] right[xs ys eq in-set IH] step[xs ys eq IH] ]:*

**assumes** *eq*:  $remdups\ (map\ snd\ xs) = remdups\ (map\ fst\ ys)$

**and** *Nil*:  $P\ []\ []$

**and** *left*:  $\bigwedge a\ b\ xs\ b'\ c\ ys.$

$\llbracket b \in snd\ 'set\ xs; remdups\ (map\ snd\ xs) = remdups\ (map\ fst\ ((b', c) \# ys));$   
 $(b, the\ (map-of\ (rev\ ((b', c) \# ys))\ b)) \in set\ ((b', c) \# ys); P\ xs\ ((b', c) \# ys) \rrbracket$   
 $\implies P\ ((a, b) \# xs)\ ((b', c) \# ys)$

**and** *right*:  $\bigwedge a\ b\ xs\ b'\ c\ ys.$

$\llbracket b \notin snd\ 'set\ xs; b' \in fst\ 'set\ ys;$   
 $remdups\ (map\ snd\ ((a, b) \# xs)) = remdups\ (map\ fst\ ys);$   
 $(the\ (map-of\ (map\ prod.swap\ (rev\ ((a, b) \# xs)))\ b'), b') \in set\ ((a, b) \# xs);$   
 $P\ ((a, b) \# xs)\ ys \rrbracket$   
 $\implies P\ ((a, b) \# xs)\ ((b', c) \# ys)$

```

and step:  $\bigwedge a b xs c ys.$ 
   $\llbracket b \notin \text{snd} \text{ ' set } xs; b \notin \text{fst} \text{ ' set } ys; \text{remdups} (\text{map } \text{snd } xs) = \text{remdups} (\text{map } \text{fst } ys);$ 
     $P \text{ xs } ys \rrbracket$ 
   $\implies P ((a, b) \# xs) ((b, c) \# ys)$ 
shows  $P \text{ xs } ys$ 
<proof> lemma set-wpull-subset:
  assumes  $\text{remdups} (\text{map } \text{snd } xs) = \text{remdups} (\text{map } \text{fst } ys)$ 
  shows  $\text{set} (\text{wpull } xs \text{ } ys) \subseteq \text{set } xs \text{ } O \text{ set } ys$ 
<proof> lemma set-fst-wpull:
  assumes  $\text{remdups} (\text{map } \text{snd } xs) = \text{remdups} (\text{map } \text{fst } ys)$ 
  shows  $\text{fst} \text{ ' set} (\text{wpull } xs \text{ } ys) = \text{fst} \text{ ' set } xs$ 
<proof> lemma set-snd-wpull:
  assumes  $\text{remdups} (\text{map } \text{snd } xs) = \text{remdups} (\text{map } \text{fst } ys)$ 
  shows  $\text{snd} \text{ ' set} (\text{wpull } xs \text{ } ys) = \text{snd} \text{ ' set } ys$ 
<proof> lemma wpull:
  assumes distinct xs
  and distinct ys
  and  $\text{set } xs \subseteq \{(x, y). R \ x \ y\}$ 
  and  $\text{set } ys \subseteq \{(x, y). S \ x \ y\}$ 
  and  $\text{eq}: \text{remdups} (\text{map } \text{snd } xs) = \text{remdups} (\text{map } \text{fst } ys)$ 
  shows  $\exists zs. \text{distinct } zs \wedge \text{set } zs \subseteq \{(x, y). (R \ O \ O \ S) \ x \ y\} \wedge$ 
     $\text{remdups} (\text{map } \text{fst } zs) = \text{remdups} (\text{map } \text{fst } xs) \wedge \text{remdups} (\text{map } \text{snd } zs) =$ 
     $\text{remdups} (\text{map } \text{snd } ys)$ 
<proof> lift-definition  $\text{set} :: 'a \ \text{dlist} \Rightarrow 'a \ \text{set}$  is  $\text{List.set}$  <proof> lemma map-transfer
[transfer-rule]:
   $(\text{rel-fun } op = (\text{rel-fun } (\text{pcr-dlist } op =) (\text{pcr-dlist } op =))) (\lambda f \ x. \text{remdups} (\text{List.map } f \ x)) \ \text{Dlist.map}$ 
<proof>

bnf 'a dlist
  map:  $\text{Dlist.map}$ 
  sets: set
  bd: natLeq
  wits:  $\text{Dlist.empty}$ 
<proof>

lifting-update  $\text{dlist.lifting}$ 
lifting-forget  $\text{dlist.lifting}$ 

end

end

theory Simps-Case-Conv
imports Main
keywords
  simps-of-case case-of-simps :: thy-decl

```

```

abbrevs
  simps-of-case =
  case-of-simps =
begin

  ⟨ML⟩

end

theory Extended
  imports Simps-Case-Conv
begin

  datatype 'a extended = Fin 'a | Pinf ( $\infty$ ) | Minf ( $-\infty$ )

  instantiation extended :: (order)order
begin

  fun less-eq-extended :: 'a extended  $\Rightarrow$  'a extended  $\Rightarrow$  bool where
    Fin  $x \leq$  Fin  $y = (x \leq y)$  |
     $- \leq$  Pinf = True |
    Minf  $\leq - =$  True |
    ( $::'a$  extended)  $\leq - =$  False

  case-of-simps less-eq-extended-case: less-eq-extended.simps

  definition less-extended :: 'a extended  $\Rightarrow$  'a extended  $\Rightarrow$  bool where
    ( $(x :: 'a$  extended)  $<$   $y$ ) =  $(x \leq y \ \& \ \neg y \leq x)$ 

  instance
    ⟨proof⟩

  end

  instance extended :: (linorder)linorder
    ⟨proof⟩

  lemma Minf-le[simp]: Minf  $\leq y$ 
    ⟨proof⟩
  lemma le-Pinf[simp]:  $x \leq$  Pinf
    ⟨proof⟩
  lemma le-Minf[simp]:  $x \leq$  Minf  $\longleftrightarrow x =$  Minf
    ⟨proof⟩
  lemma Pinf-le[simp]: Pinf  $\leq x \longleftrightarrow x =$  Pinf
    ⟨proof⟩

  lemma less-extended-simps[simp]:

```

```

Fin x < Fin y = (x < y)
Fin x < Pinf = True
Fin x < Minf = False
Pinf < h = False
Minf < Fin x = True
Minf < Pinf = True
l < Minf = False
⟨proof⟩

```

```

lemma min-extended-simps[simp]:
  min (Fin x) (Fin y) = Fin(min x y)
  min xx Pinf = xx
  min xx Minf = Minf
  min Pinf yy = yy
  min Minf yy = Minf
⟨proof⟩

```

```

lemma max-extended-simps[simp]:
  max (Fin x) (Fin y) = Fin(max x y)
  max xx Pinf = Pinf
  max xx Minf = xx
  max Pinf yy = Pinf
  max Minf yy = yy
⟨proof⟩

```

```

instantiation extended :: (zero)zero
begin
definition 0 = Fin(0::'a)
instance ⟨proof⟩
end

```

```

declare zero-extended-def[symmetric, code-post]

```

```

instantiation extended :: (one)one
begin
definition 1 = Fin(1::'a)
instance ⟨proof⟩
end

```

```

declare one-extended-def[symmetric, code-post]

```

```

instantiation extended :: (plus)plus
begin

```

The following definition of addition is totalized to make it associative and commutative. Normally the sum of plus and minus infinity is undefined.

```

fun plus-extended where
  Fin x + Fin y = Fin(x+y) |

```

$$\begin{aligned}
& Fin\ x + Pinf = Pinf \mid \\
& Pinf + Fin\ x = Pinf \mid \\
& Pinf + Pinf = Pinf \mid \\
& Minf + Fin\ y = Minf \mid \\
& Fin\ x + Minf = Minf \mid \\
& Minf + Minf = Minf \mid \\
& Minf + Pinf = Pinf \mid \\
& Pinf + Minf = Pinf
\end{aligned}$$

**case-of-simps** *plus-case: plus-extended.simps*

**instance**  $\langle proof \rangle$

**end**

**instance** *extended* :: (*ab-semigroup-add*)*ab-semigroup-add*  
 $\langle proof \rangle$

**instance** *extended* :: (*ordered-ab-semigroup-add*)*ordered-ab-semigroup-add*  
 $\langle proof \rangle$

**instance** *extended* :: (*comm-monoid-add*)*comm-monoid-add*  
 $\langle proof \rangle$

**instantiation** *extended* :: (*uminus*)*uminus*  
**begin**

**fun** *uminus-extended* **where**

$$\begin{aligned}
& - (Fin\ x) = Fin\ (-\ x) \mid \\
& - Pinf = Minf \mid \\
& - Minf = Pinf
\end{aligned}$$

**instance**  $\langle proof \rangle$

**end**

**instantiation** *extended* :: (*ab-group-add*)*minus*  
**begin**

**definition**  $x - y = x + -(y::'a\ extended)$

**instance**  $\langle proof \rangle$

**end**

**lemma** *minus-extended-simps*[*simp*]:

$$\begin{aligned}
& Fin\ x - Fin\ y = Fin\ (x - y) \\
& Fin\ x - Pinf = Minf \\
& Fin\ x - Minf = Pinf
\end{aligned}$$

```

Pinf - Fin y = Pinf
Pinf - Minf = Pinf
Minf - Fin y = Minf
Minf - Pinf = Minf
Minf - Minf = Pinf
Pinf - Pinf = Pinf
⟨proof⟩

```

Numerals:

```

instance extended :: ({ab-semigroup-add,one})numeral ⟨proof⟩

```

```

lemma Fin-numeral[code-post]: Fin(numeral w) = numeral w
⟨proof⟩

```

```

lemma Fin-neg-numeral[code-post]: Fin (- numeral w) = - numeral w
⟨proof⟩

```

```

instantiation extended :: (lattice)bounded-lattice
begin

```

```

definition bot = Minf
definition top = Pinf

```

```

fun inf-extended :: 'a extended ⇒ 'a extended ⇒ 'a extended where
inf-extended (Fin i) (Fin j) = Fin (inf i j) |
inf-extended a Minf = Minf |
inf-extended Minf a = Minf |
inf-extended Pinf a = a |
inf-extended a Pinf = a

```

```

fun sup-extended :: 'a extended ⇒ 'a extended ⇒ 'a extended where
sup-extended (Fin i) (Fin j) = Fin (sup i j) |
sup-extended a Pinf = Pinf |
sup-extended Pinf a = Pinf |
sup-extended Minf a = a |
sup-extended a Minf = a

```

```

case-of-simps inf-extended-case: inf-extended.simps
case-of-simps sup-extended-case: sup-extended.simps

```

```

instance
  ⟨proof⟩
end

```

```

end

```

## 27 Continuity and iterations

```
theory Order-Continuity
imports Complex-Main Countable-Complete-Lattices
begin
```

**lemma** *SUP-nat-binary*:

$(SUP\ n::nat.\ if\ n = 0\ then\ A\ else\ B) = (sup\ A\ B::'a::countable-complete-lattice)$   
 $\langle proof \rangle$

**lemma** *INF-nat-binary*:

$(INF\ n::nat.\ if\ n = 0\ then\ A\ else\ B) = (inf\ A\ B::'a::countable-complete-lattice)$   
 $\langle proof \rangle$

The name *continuous* is already taken in *Complex-Main*, so we use *sup-continuous* and *inf-continuous*. These names appear sometimes in literature and have the advantage that these names are duals.

**named-theorems** *order-continuous-intros*

### 27.1 Continuity for complete lattices

**definition**

$sup-continuous :: ('a::countable-complete-lattice \Rightarrow 'b::countable-complete-lattice)$   
 $\Rightarrow bool$

**where**

$sup-continuous\ F \iff (\forall M::nat \Rightarrow 'a.\ mono\ M \longrightarrow F\ (SUP\ i.\ M\ i) = (SUP\ i.\ F\ (M\ i)))$

**lemma** *sup-continuousD*:  $sup-continuous\ F \implies mono\ M \implies F\ (SUP\ i::nat.\ M\ i) = (SUP\ i.\ F\ (M\ i))$

$\langle proof \rangle$

**lemma** *sup-continuous-mono*:

**assumes**  $[simp]$ :  $sup-continuous\ F$  **shows**  $mono\ F$   
 $\langle proof \rangle$

**lemma**  $[order-continuous-intros]$ :

**shows** *sup-continuous-const*:  $sup-continuous\ (\lambda x.\ c)$

**and** *sup-continuous-id*:  $sup-continuous\ (\lambda x.\ x)$

**and** *sup-continuous-apply*:  $sup-continuous\ (\lambda f.\ f\ x)$

**and** *sup-continuous-fun*:  $(\bigwedge s.\ sup-continuous\ (\lambda x.\ P\ x\ s)) \implies sup-continuous$

$P$

**and** *sup-continuous-If*:  $sup-continuous\ F \implies sup-continuous\ G \implies sup-continuous$   
 $(\lambda f.\ if\ C\ then\ F\ f\ else\ G\ f)$

$\langle proof \rangle$

**lemma** *sup-continuous-compose*:



**assumes**  $f$ : *sup-continuous*  $f$  **and**  $g$ : *sup-continuous*  $g$   
**shows** *sup-continuous*  $(\lambda x. f (g x))$   
 $\langle$ *proof* $\rangle$

**lemma** *sup-continuous-sup*[*order-continuous-intros*]:  
*sup-continuous*  $f \implies$  *sup-continuous*  $g \implies$  *sup-continuous*  $(\lambda x. \text{sup } (f x) (g x))$   
 $\langle$ *proof* $\rangle$

**lemma** *sup-continuous-inf*[*order-continuous-intros*]:  
**fixes**  $P Q :: 'a :: \text{countable-complete-lattice} \Rightarrow 'b :: \text{countable-complete-distrib-lattice}$   
**assumes**  $P$ : *sup-continuous*  $P$  **and**  $Q$ : *sup-continuous*  $Q$   
**shows** *sup-continuous*  $(\lambda x. \text{inf } (P x) (Q x))$   
 $\langle$ *proof* $\rangle$

**lemma** *sup-continuous-and*[*order-continuous-intros*]:  
*sup-continuous*  $P \implies$  *sup-continuous*  $Q \implies$  *sup-continuous*  $(\lambda x. P x \wedge Q x)$   
 $\langle$ *proof* $\rangle$

**lemma** *sup-continuous-or*[*order-continuous-intros*]:  
*sup-continuous*  $P \implies$  *sup-continuous*  $Q \implies$  *sup-continuous*  $(\lambda x. P x \vee Q x)$   
 $\langle$ *proof* $\rangle$

**lemma** *sup-continuous-lfp*:  
**assumes** *sup-continuous*  $F$  **shows**  $\text{lfp } F = (\text{SUP } i. (F \hat{\wedge} i) \text{ bot})$  (**is**  $\text{lfp } F = ?U$ )  
 $\langle$ *proof* $\rangle$

**lemma** *lfp-transfer-bounded*:  
**assumes**  $P$ :  $P \text{ bot} \wedge x. P x \implies P (f x) \wedge M. (\wedge i. P (M i)) \implies P (\text{SUP } i :: \text{nat}. M i)$   
**assumes**  $\alpha$ :  $\wedge M. \text{mono } M \implies (\wedge i :: \text{nat}. P (M i)) \implies \alpha (\text{SUP } i. M i) = (\text{SUP } i. \alpha (M i))$   
**assumes**  $f$ : *sup-continuous*  $f$  **and**  $g$ : *sup-continuous*  $g$   
**assumes** [*simp*]:  $\wedge x. P x \implies x \leq \text{lfp } f \implies \alpha (f x) = g (\alpha x)$   
**assumes**  $g$ -*bound*:  $\wedge x. \alpha \text{ bot} \leq g x$   
**shows**  $\alpha (\text{lfp } f) = \text{lfp } g$   
 $\langle$ *proof* $\rangle$

**lemma** *lfp-transfer*:  
*sup-continuous*  $\alpha \implies$  *sup-continuous*  $f \implies$  *sup-continuous*  $g \implies$   
 $(\wedge x. \alpha \text{ bot} \leq g x) \implies (\wedge x. x \leq \text{lfp } f \implies \alpha (f x) = g (\alpha x)) \implies \alpha (\text{lfp } f) = \text{lfp } g$   
 $\langle$ *proof* $\rangle$

### definition

*inf-continuous* ::  $( 'a :: \text{countable-complete-lattice} \Rightarrow 'b :: \text{countable-complete-lattice} ) \Rightarrow \text{bool}$

**where**

*inf-continuous*  $F \longleftrightarrow (\forall M :: \text{nat} \Rightarrow 'a. \text{antimono } M \longrightarrow F (\text{INF } i. M i) = (\text{INF } i. F (M i)))$

**lemma** *inf-continuousD*:  $\text{inf-continuous } F \implies \text{antimono } M \implies F \text{ (INF } i :: \text{nat. } M \ i) = (\text{INF } i. F \ (M \ i))$   
 ⟨proof⟩

**lemma** *inf-continuous-mono*:  
 assumes [simp]:  $\text{inf-continuous } F$  **shows**  $\text{mono } F$   
 ⟨proof⟩

**lemma** [*order-continuous-intros*]:  
 shows *inf-continuous-const*:  $\text{inf-continuous } (\lambda x. c)$   
 and *inf-continuous-id*:  $\text{inf-continuous } (\lambda x. x)$   
 and *inf-continuous-apply*:  $\text{inf-continuous } (\lambda f. f \ x)$   
 and *inf-continuous-fun*:  $(\bigwedge s. \text{inf-continuous } (\lambda x. P \ x \ s)) \implies \text{inf-continuous } P$   
 and *inf-continuous-If*:  $\text{inf-continuous } F \implies \text{inf-continuous } G \implies \text{inf-continuous } (\lambda f. \text{if } C \ \text{then } F \ f \ \text{else } G \ f)$   
 ⟨proof⟩

**lemma** *inf-continuous-inf*[*order-continuous-intros*]:  
 $\text{inf-continuous } f \implies \text{inf-continuous } g \implies \text{inf-continuous } (\lambda x. \text{inf } (f \ x) \ (g \ x))$   
 ⟨proof⟩

**lemma** *inf-continuous-sup*[*order-continuous-intros*]:  
 fixes  $P \ Q :: 'a :: \text{countable-complete-lattice} \Rightarrow 'b :: \text{countable-complete-distrib-lattice}$   
 assumes  $P$ :  $\text{inf-continuous } P$  **and**  $Q$ :  $\text{inf-continuous } Q$   
 shows  $\text{inf-continuous } (\lambda x. \text{sup } (P \ x) \ (Q \ x))$   
 ⟨proof⟩

**lemma** *inf-continuous-and*[*order-continuous-intros*]:  
 $\text{inf-continuous } P \implies \text{inf-continuous } Q \implies \text{inf-continuous } (\lambda x. P \ x \ \wedge \ Q \ x)$   
 ⟨proof⟩

**lemma** *inf-continuous-or*[*order-continuous-intros*]:  
 $\text{inf-continuous } P \implies \text{inf-continuous } Q \implies \text{inf-continuous } (\lambda x. P \ x \ \vee \ Q \ x)$   
 ⟨proof⟩

**lemma** *inf-continuous-compose*:  
 assumes  $f$ :  $\text{inf-continuous } f$  **and**  $g$ :  $\text{inf-continuous } g$   
 shows  $\text{inf-continuous } (\lambda x. f \ (g \ x))$   
 ⟨proof⟩

**lemma** *inf-continuous-gfp*:  
 assumes  $\text{inf-continuous } F$  **shows**  $\text{gfp } F = (\text{INF } i. (F \ \wedge \ i) \ \text{top})$  (**is**  $\text{gfp } F = ?U$ )  
 ⟨proof⟩

**lemma** *gfp-transfer*:  
 assumes  $\alpha$ :  $\text{inf-continuous } \alpha$  **and**  $f$ :  $\text{inf-continuous } f$  **and**  $g$ :  $\text{inf-continuous } g$   
 assumes [simp]:  $\alpha \ \text{top} = \text{top} \ \wedge \ x. \alpha \ (f \ x) = g \ (\alpha \ x)$   
 shows  $\alpha \ (\text{gfp } f) = \text{gfp } g$

*<proof>*

**lemma** *gfp-transfer-bounded*:

**assumes**  $P: P (f \text{ top}) \wedge x. P x \implies P (f x) \wedge M. \text{antimono } M \implies (\bigwedge i. P (M i)) \implies P (INF i::nat. M i)$

**assumes**  $\alpha: \bigwedge M. \text{antimono } M \implies (\bigwedge i::nat. P (M i)) \implies \alpha (INF i. M i) = (INF i. \alpha (M i))$

**assumes**  $f: \text{inf-continuous } f$  **and**  $g: \text{inf-continuous } g$

**assumes**  $[simp]: \bigwedge x. P x \implies \alpha (f x) = g (\alpha x)$

**assumes**  $g\text{-bound}: \bigwedge x. g x \leq \alpha (f \text{ top})$

**shows**  $\alpha (gfp f) = gfp g$

*<proof>*

### 27.1.1 Least fixed points in countable complete lattices

**definition** (in *countable-complete-lattice*)  $cclfp :: ('a \Rightarrow 'a) \Rightarrow 'a$

**where**  $cclfp f = (SUP i. (f \hat{\hat{ }} i) \text{ bot})$

**lemma** *cclfp-unfold*:

**assumes**  $\text{sup-continuous } F$  **shows**  $cclfp F = F (cclfp F)$

*<proof>*

**lemma** *cclfp-lowerbound*: **assumes**  $f: \text{mono } f$  **and**  $A: f A \leq A$  **shows**  $cclfp f \leq A$

*<proof>*

**lemma** *cclfp-transfer*:

**assumes**  $\text{sup-continuous } \alpha$  **mono } f**

**assumes**  $\alpha \text{ bot} = \text{bot} \wedge x. \alpha (f x) = g (\alpha x)$

**shows**  $\alpha (cclfp f) = cclfp g$

*<proof>*

**end**

## 28 Extended natural numbers (i.e. with infinity)

**theory** *Extended-Nat*

**imports** *Main Countable Order-Continuity*

**begin**

**class** *infinity* =

**fixes**  $\text{infinity} :: 'a (\infty)$

**context**

**fixes**  $f :: \text{nat} \Rightarrow 'a::\{\text{canonically-ordered-monoid-add, linorder-topology, complete-linorder}\}$

**begin**

**lemma** *sums-SUP*[*simp, intro*]:  $f \text{ sums } (SUP n. \sum i < n. f i)$

*<proof>*

**lemma** *suminf-eq-SUP*:  $\text{suminf } f = (\text{SUP } n. \sum i < n. f \ i)$   
 ⟨*proof*⟩

**end**

## 28.1 Type definition

We extend the standard natural numbers by a special value indicating infinity.

**typedef** *enat* = *UNIV* :: *nat option set* ⟨*proof*⟩

TODO: introduce *enat* as coinductive datatype, *enat* is just *of-nat*

**definition** *enat* :: *nat*  $\Rightarrow$  *enat* **where**  
*enat* *n* = *Abs-enat* (*Some* *n*)

**instantiation** *enat* :: *infinity*  
**begin**

**definition**  $\infty$  = *Abs-enat* *None*  
**instance** ⟨*proof*⟩

**end**

**instance** *enat* :: *countable*  
 ⟨*proof*⟩

**old-rep-datatype** *enat*  $\infty$  :: *enat*  
 ⟨*proof*⟩

**declare** [[*coercion enat::nat $\Rightarrow$ enat*]]

**lemmas** *enat2-cases* = *enat.exhaust*[*case-product enat.exhaust*]

**lemmas** *enat3-cases* = *enat.exhaust*[*case-product enat.exhaust enat.exhaust*]

**lemma** *not-infinity-eq* [*iff*]:  $(x \neq \infty) = (\exists i. x = \text{enat } i)$   
 ⟨*proof*⟩

**lemma** *not-enat-eq* [*iff*]:  $(\forall y. x \neq \text{enat } y) = (x = \infty)$   
 ⟨*proof*⟩

**lemma** *enat-ex-split*:  $(\exists c::\text{enat}. P \ c) \longleftrightarrow P \ \infty \vee (\exists c::\text{nat}. P \ c)$   
 ⟨*proof*⟩

**primrec** *the-enat* :: *enat*  $\Rightarrow$  *nat*  
**where** *the-enat* (*enat* *n*) = *n*

## 28.2 Constructors and numbers

**instantiation** *enat* :: *zero-neq-one*

**begin**

**definition**

$0 = \text{enat } 0$

**definition**

$1 = \text{enat } 1$

**instance**

*<proof>*

**end**

**definition** *eSuc* :: *enat*  $\Rightarrow$  *enat* **where**

$e\text{Suc } i = (\text{case } i \text{ of } \text{enat } n \Rightarrow \text{enat } (\text{Suc } n) \mid \infty \Rightarrow \infty)$

**lemma** *enat-0* [*code-post*]: *enat*  $0 = 0$

*<proof>*

**lemma** *enat-1* [*code-post*]: *enat*  $1 = 1$

*<proof>*

**lemma** *enat-0-iff*: *enat*  $x = 0 \iff x = 0$   $0 = \text{enat } x \iff x = 0$

*<proof>*

**lemma** *enat-1-iff*: *enat*  $x = 1 \iff x = 1$   $1 = \text{enat } x \iff x = 1$

*<proof>*

**lemma** *one-eSuc*:  $1 = e\text{Suc } 0$

*<proof>*

**lemma** *infinity-ne-i0* [*simp*]:  $(\infty :: \text{enat}) \neq 0$

*<proof>*

**lemma** *i0-ne-infinity* [*simp*]:  $0 \neq (\infty :: \text{enat})$

*<proof>*

**lemma** *zero-one-enat-neq*:

$\neg 0 = (1 :: \text{enat})$

$\neg 1 = (0 :: \text{enat})$

*<proof>*

**lemma** *infinity-ne-i1* [*simp*]:  $(\infty :: \text{enat}) \neq 1$

*<proof>*

**lemma** *i1-ne-infinity* [*simp*]:  $1 \neq (\infty :: \text{enat})$

*<proof>*

**lemma** *eSuc-enat*:  $eSuc (enat\ n) = enat (Suc\ n)$   
 ⟨*proof*⟩

**lemma** *eSuc-infinity* [*simp*]:  $eSuc\ \infty = \infty$   
 ⟨*proof*⟩

**lemma** *eSuc-ne-0* [*simp*]:  $eSuc\ n \neq 0$   
 ⟨*proof*⟩

**lemma** *zero-ne-eSuc* [*simp*]:  $0 \neq eSuc\ n$   
 ⟨*proof*⟩

**lemma** *eSuc-inject* [*simp*]:  $eSuc\ m = eSuc\ n \longleftrightarrow m = n$   
 ⟨*proof*⟩

**lemma** *eSuc-enat-iff*:  $eSuc\ x = enat\ y \longleftrightarrow (\exists\ n.\ y = Suc\ n \wedge x = enat\ n)$   
 ⟨*proof*⟩

**lemma** *enat-eSuc-iff*:  $enat\ y = eSuc\ x \longleftrightarrow (\exists\ n.\ y = Suc\ n \wedge enat\ n = x)$   
 ⟨*proof*⟩

### 28.3 Addition

**instantiation** *enat* :: *comm-monoid-add*  
**begin**

**definition** [*nitpick-simp*]:  
 $m + n = (case\ m\ of\ \infty \Rightarrow \infty \mid enat\ m \Rightarrow (case\ n\ of\ \infty \Rightarrow \infty \mid enat\ n \Rightarrow enat\ (m + n)))$

**lemma** *plus-enat-simps* [*simp, code*]:  
**fixes**  $q :: enat$   
**shows**  $enat\ m + enat\ n = enat\ (m + n)$   
**and**  $\infty + q = \infty$   
**and**  $q + \infty = \infty$   
 ⟨*proof*⟩

**instance**  
 ⟨*proof*⟩

**end**

**lemma** *eSuc-plus-1*:  
 $eSuc\ n = n + 1$   
 ⟨*proof*⟩

**lemma** *plus-1-eSuc*:  
 $1 + q = eSuc\ q$

$q + 1 = eSuc\ q$   
 $\langle proof \rangle$

**lemma** *iadd-Suc*:  $eSuc\ m + n = eSuc\ (m + n)$   
 $\langle proof \rangle$

**lemma** *iadd-Suc-right*:  $m + eSuc\ n = eSuc\ (m + n)$   
 $\langle proof \rangle$

## 28.4 Multiplication

**instantiation** *enat* :: {*comm-semiring-1*, *semiring-no-zero-divisors*}  
**begin**

**definition** *times-enat-def* [*nitpick-simp*]:  
 $m * n = (case\ m\ of\ \infty \Rightarrow\ if\ n = 0\ then\ 0\ else\ \infty \mid enat\ m \Rightarrow$   
 $(case\ n\ of\ \infty \Rightarrow\ if\ m = 0\ then\ 0\ else\ \infty \mid enat\ n \Rightarrow\ enat\ (m * n)))$

**lemma** *times-enat-simps* [*simp*, *code*]:  
 $enat\ m * enat\ n = enat\ (m * n)$   
 $\infty * \infty = (\infty :: enat)$   
 $\infty * enat\ n = (if\ n = 0\ then\ 0\ else\ \infty)$   
 $enat\ m * \infty = (if\ m = 0\ then\ 0\ else\ \infty)$   
 $\langle proof \rangle$

**instance**  
 $\langle proof \rangle$

**end**

**lemma** *mult-eSuc*:  $eSuc\ m * n = n + m * n$   
 $\langle proof \rangle$

**lemma** *mult-eSuc-right*:  $m * eSuc\ n = m + m * n$   
 $\langle proof \rangle$

**lemma** *of-nat-eq-enat*:  $of\ nat\ n = enat\ n$   
 $\langle proof \rangle$

**instance** *enat* :: *semiring-char-0*  
 $\langle proof \rangle$

**lemma** *imult-is-infinity*:  $((a :: enat) * b = \infty) = (a = \infty \wedge b \neq 0 \vee b = \infty \wedge a \neq 0)$   
 $\langle proof \rangle$

## 28.5 Numerals

**lemma** *numeral-eq-enat*:  
 $numeral\ k = enat\ (numeral\ k)$

*<proof>*

**lemma** *enat-numeral* [*code-abbrev*]:

*enat* (numeral *k*) = numeral *k*

*<proof>*

**lemma** *infinity-ne-numeral* [*simp*]: ( $\infty::\text{enat}$ )  $\neq$  numeral *k*

*<proof>*

**lemma** *numeral-ne-infinity* [*simp*]: numeral *k*  $\neq$  ( $\infty::\text{enat}$ )

*<proof>*

**lemma** *eSuc-numeral* [*simp*]: *eSuc* (numeral *k*) = numeral (*k* + Num.One)

*<proof>*

## 28.6 Subtraction

**instantiation** *enat* :: minus

**begin**

**definition** *diff-enat-def*:

$a - b = (\text{case } a \text{ of } (\text{enat } x) \Rightarrow (\text{case } b \text{ of } (\text{enat } y) \Rightarrow \text{enat } (x - y) \mid \infty \Rightarrow 0) \mid \infty \Rightarrow \infty)$

**instance** *<proof>*

**end**

**lemma** *idiff-enat-enat* [*simp*, *code*]: *enat* *a* - *enat* *b* = *enat* (*a* - *b*)

*<proof>*

**lemma** *idiff-infinity* [*simp*, *code*]:  $\infty - n = (\infty::\text{enat})$

*<proof>*

**lemma** *idiff-infinity-right* [*simp*, *code*]: *enat* *a* -  $\infty = 0$

*<proof>*

**lemma** *idiff-0* [*simp*]: ( $0::\text{enat}$ ) - *n* = 0

*<proof>*

**lemmas** *idiff-enat-0* [*simp*] = *idiff-0* [*unfolded zero-enat-def*]

**lemma** *idiff-0-right* [*simp*]: (*n*::*enat*) - 0 = *n*

*<proof>*

**lemmas** *idiff-enat-0-right* [*simp*] = *idiff-0-right* [*unfolded zero-enat-def*]

**lemma** *idiff-self* [*simp*]:  $n \neq \infty \implies (n::\text{enat}) - n = 0$

*<proof>*



**lemma** *eSuc-minus-eSuc* [simp]:  $eSuc\ n - eSuc\ m = n - m$   
 ⟨proof⟩

**lemma** *eSuc-minus-1* [simp]:  $eSuc\ n - 1 = n$   
 ⟨proof⟩

## 28.7 Ordering

**instantiation** *enat* :: *linordered-ab-semigroup-add*  
**begin**

**definition** [nitpick-simp]:  
 $m \leq n = (\text{case } m \text{ of } enat\ m1 \Rightarrow (\text{case } n \text{ of } enat\ n1 \Rightarrow m1 \leq n1 \mid \infty \Rightarrow False) \mid \infty \Rightarrow True)$

**definition** [nitpick-simp]:  
 $m < n = (\text{case } m \text{ of } enat\ m1 \Rightarrow (\text{case } n \text{ of } enat\ n1 \Rightarrow m1 < n1 \mid \infty \Rightarrow True) \mid \infty \Rightarrow False)$

**lemma** *enat-ord-simps* [simp]:  
 $enat\ m \leq enat\ n \iff m \leq n$   
 $enat\ m < enat\ n \iff m < n$   
 $q \leq (\infty::enat)$   
 $q < (\infty::enat) \iff q \neq \infty$   
 $(\infty::enat) \leq q \iff q = \infty$   
 $(\infty::enat) < q \iff False$   
 ⟨proof⟩

**lemma** *numeral-le-enat-iff* [simp]:  
**shows**  $numeral\ m \leq enat\ n \iff numeral\ m \leq n$   
 ⟨proof⟩

**lemma** *numeral-less-enat-iff* [simp]:  
**shows**  $numeral\ m < enat\ n \iff numeral\ m < n$   
 ⟨proof⟩

**lemma** *enat-ord-code* [code]:  
 $enat\ m \leq enat\ n \iff m \leq n$   
 $enat\ m < enat\ n \iff m < n$   
 $q \leq (\infty::enat) \iff True$   
 $enat\ m < \infty \iff True$   
 $\infty \leq enat\ n \iff False$   
 $(\infty::enat) < q \iff False$   
 ⟨proof⟩

**instance**  
 ⟨proof⟩

**end**

**instance** *enat* :: *dioid*  
 ⟨*proof*⟩

**instance** *enat* :: {*linordered-nonzero-semiring*, *strict-ordered-comm-monoid-add*}  
 ⟨*proof*⟩

**lemma** *enat-ord-number* [*simp*]:  
 (*numeral m* :: *enat*) ≤ *numeral n* ↔ (*numeral m* :: *nat*) ≤ *numeral n*  
 (*numeral m* :: *enat*) < *numeral n* ↔ (*numeral m* :: *nat*) < *numeral n*  
 ⟨*proof*⟩

**lemma** *infinity-ileE* [*elim!*]:  $\infty \leq \text{enat } m \implies R$   
 ⟨*proof*⟩

**lemma** *infinity-ilessE* [*elim!*]:  $\infty < \text{enat } m \implies R$   
 ⟨*proof*⟩

**lemma** *eSuc-ile-mono* [*simp*]:  $e\text{Suc } n \leq e\text{Suc } m \longleftrightarrow n \leq m$   
 ⟨*proof*⟩

**lemma** *eSuc-mono* [*simp*]:  $e\text{Suc } n < e\text{Suc } m \longleftrightarrow n < m$   
 ⟨*proof*⟩

**lemma** *ile-eSuc* [*simp*]:  $n \leq e\text{Suc } n$   
 ⟨*proof*⟩

**lemma** *not-eSuc-ilei0* [*simp*]:  $\neg e\text{Suc } n \leq 0$   
 ⟨*proof*⟩

**lemma** *i0-iless-eSuc* [*simp*]:  $0 < e\text{Suc } n$   
 ⟨*proof*⟩

**lemma** *iless-eSuc0* [*simp*]:  $(n < e\text{Suc } 0) = (n = 0)$   
 ⟨*proof*⟩

**lemma** *ileI1*:  $m < n \implies e\text{Suc } m \leq n$   
 ⟨*proof*⟩

**lemma** *Suc-ile-eq*:  $\text{enat } (\text{Suc } m) \leq n \longleftrightarrow \text{enat } m < n$   
 ⟨*proof*⟩

**lemma** *iless-Suc-eq* [*simp*]:  $\text{enat } m < e\text{Suc } n \longleftrightarrow \text{enat } m \leq n$   
 ⟨*proof*⟩

**lemma** *imult-infinity*:  $(0 :: \text{enat}) < n \implies \infty * n = \infty$

*<proof>*

**lemma** *imult-infinity-right*:  $(0::\text{enat}) < n \implies n * \infty = \infty$   
*<proof>*

**lemma** *enat-0-less-mult-iff*:  $(0 < (m::\text{enat}) * n) = (0 < m \wedge 0 < n)$   
*<proof>*

**lemma** *mono-eSuc*: *mono eSuc*  
*<proof>*

**lemma** *min-enat-simps* [*simp*]:  
 $\text{min} (\text{enat } m) (\text{enat } n) = \text{enat} (\text{min } m \ n)$   
 $\text{min } q \ 0 = 0$   
 $\text{min } 0 \ q = 0$   
 $\text{min } q \ (\infty::\text{enat}) = q$   
 $\text{min } (\infty::\text{enat}) \ q = q$   
*<proof>*

**lemma** *max-enat-simps* [*simp*]:  
 $\text{max} (\text{enat } m) (\text{enat } n) = \text{enat} (\text{max } m \ n)$   
 $\text{max } q \ 0 = q$   
 $\text{max } 0 \ q = q$   
 $\text{max } q \ \infty = (\infty::\text{enat})$   
 $\text{max } \infty \ q = (\infty::\text{enat})$   
*<proof>*

**lemma** *enat-ile*:  $n \leq \text{enat } m \implies \exists k. n = \text{enat } k$   
*<proof>*

**lemma** *enat-iless*:  $n < \text{enat } m \implies \exists k. n = \text{enat } k$   
*<proof>*

**lemma** *iadd-le-enat-iff*:  
 $x + y \leq \text{enat } n \iff (\exists y' \ x'. x = \text{enat } x' \wedge y = \text{enat } y' \wedge x' + y' \leq n)$   
*<proof>*

**lemma** *chain-incr*:  $\forall i. \exists j. Y \ i < Y \ j \implies \exists j. \text{enat } k < Y \ j$   
*<proof>*

**lemma** *eSuc-max*:  $e\text{Suc} (\text{max } x \ y) = \text{max} (e\text{Suc } x) (e\text{Suc } y)$   
*<proof>*

**lemma** *eSuc-Max*:  
**assumes** *finite A A ≠ {}*  
**shows**  $e\text{Suc} (\text{Max } A) = \text{Max} (e\text{Suc } ` A)$   
*<proof>*

**instantiation** *enat* ::  $\{\text{order-bot}, \text{order-top}\}$

**begin**

**definition** *bot-enat* :: *enat* **where** *bot-enat* = 0

**definition** *top-enat* :: *enat* **where** *top-enat* =  $\infty$

**instance**

*<proof>*

**end**

**lemma** *finite-enat-bounded*:

**assumes** *le-fin*:  $\bigwedge y. y \in A \implies y \leq \text{enat } n$

**shows** *finite A*

*<proof>*

## 28.8 Cancellation simprocs

**lemma** *enat-add-left-cancel*:  $a + b = a + c \iff a = (\infty::\text{enat}) \vee b = c$

*<proof>*

**lemma** *enat-add-left-cancel-le*:  $a + b \leq a + c \iff a = (\infty::\text{enat}) \vee b \leq c$

*<proof>*

**lemma** *enat-add-left-cancel-less*:  $a + b < a + c \iff a \neq (\infty::\text{enat}) \wedge b < c$

*<proof>*

*<ML>*

TODO: add regression tests for these simprocs

TODO: add simprocs for combining and cancelling numerals

## 28.9 Well-ordering

**lemma** *less-enatE*:

$[[ n < \text{enat } m; !!k. n = \text{enat } k \implies k < m \implies P ]] \implies P$

*<proof>*

**lemma** *less-infinityE*:

$[[ n < \infty; !!k. n = \text{enat } k \implies P ]] \implies P$

*<proof>*

**lemma** *enat-less-induct*:

**assumes** *prem*:  $!!n. \forall m::\text{enat}. m < n \implies P m \implies P n$  **shows**  $P n$

*<proof>*

**instance** *enat* :: *wellorder*

*<proof>*

## 28.10 Complete Lattice

**instantiation** *enat* :: complete-lattice  
**begin**

**definition** *inf-enat* :: *enat*  $\Rightarrow$  *enat*  $\Rightarrow$  *enat* **where**  
*inf-enat* = *min*

**definition** *sup-enat* :: *enat*  $\Rightarrow$  *enat*  $\Rightarrow$  *enat* **where**  
*sup-enat* = *max*

**definition** *Inf-enat* :: *enat set*  $\Rightarrow$  *enat* **where**  
*Inf-enat* *A* = (if *A* = {} then  $\infty$  else (LEAST *x*. *x*  $\in$  *A*))

**definition** *Sup-enat* :: *enat set*  $\Rightarrow$  *enat* **where**  
*Sup-enat* *A* = (if *A* = {} then 0 else if finite *A* then Max *A* else  $\infty$ )

**instance**  
 <proof>  
**end**

**instance** *enat* :: complete-linorder <proof>

**lemma** *eSuc-Sup*:  $A \neq \{\}$   $\Longrightarrow$  *eSuc* (*Sup* *A*) = *Sup* (*eSuc* ‘ *A*)  
 <proof>

**lemma** *sup-continuous-eSuc*: *sup-continuous* *f*  $\Longrightarrow$  *sup-continuous* ( $\lambda x.$  *eSuc* (*f* *x*))  
 <proof>

## 28.11 Traditional theorem names

**lemmas** *enat-defs* = *zero-enat-def one-enat-def eSuc-def*  
*plus-enat-def less-eq-enat-def less-enat-def*

**lemma** *iadd-is-0*: (*m* + *n* = (0::*enat*)) = (*m* = 0  $\wedge$  *n* = 0)  
 <proof>

**lemma** *i0-lb* : (0::*enat*)  $\leq$  *n*  
 <proof>

**lemma** *ile0-eq*:  $n \leq (0::*enat*) \longleftrightarrow n = 0$   
 <proof>

**lemma** *not-iless0*:  $\neg n < (0::*enat*)$   
 <proof>

**lemma** *i0-less[simp]*:  $(0::*enat*) < n \longleftrightarrow n \neq 0$   
 <proof>

**lemma** *imult-is-0*:  $((m::*enat*) * n = 0) = (m = 0 \vee n = 0)$

⟨proof⟩

end

## 29 Liminf and Limsup on conditionally complete lattices

**theory** *Liminf-Limsup*  
**imports** *Complex-Main*  
**begin**

**lemma** (in *conditionally-complete-linorder*) *le-cSup-iff*:

**assumes**  $A \neq \{\}$  *bdd-above*  $A$

**shows**  $x \leq \text{Sup } A \longleftrightarrow (\forall y < x. \exists a \in A. y < a)$

⟨proof⟩

**lemma** (in *conditionally-complete-linorder*) *le-cSUP-iff*:

$A \neq \{\} \implies \text{bdd-above } (f' A) \implies x \leq \text{SUPRENUM } A f \longleftrightarrow (\forall y < x. \exists i \in A. y < f i)$

⟨proof⟩

**lemma** *le-cSup-iff-less*:

**fixes**  $x :: 'a :: \{\text{conditionally-complete-linorder, dense-linorder}\}$

**shows**  $A \neq \{\} \implies \text{bdd-above } (f' A) \implies x \leq (\text{SUP } i:A. f i) \longleftrightarrow (\forall y < x. \exists i \in A. y \leq f i)$

⟨proof⟩

**lemma** *le-Sup-iff-less*:

**fixes**  $x :: 'a :: \{\text{complete-linorder, dense-linorder}\}$

**shows**  $x \leq (\text{SUP } i:A. f i) \longleftrightarrow (\forall y < x. \exists i \in A. y \leq f i)$  (is ?lhs = ?rhs)

⟨proof⟩

**lemma** (in *conditionally-complete-linorder*) *cInf-le-iff*:

**assumes**  $A \neq \{\}$  *bdd-below*  $A$

**shows**  $\text{Inf } A \leq x \longleftrightarrow (\forall y > x. \exists a \in A. y > a)$

⟨proof⟩

**lemma** (in *conditionally-complete-linorder*) *cINF-le-iff*:

$A \neq \{\} \implies \text{bdd-below } (f' A) \implies \text{INFIMUM } A f \leq x \longleftrightarrow (\forall y > x. \exists i \in A. y > f i)$

⟨proof⟩

**lemma** *cInf-le-iff-less*:

**fixes**  $x :: 'a :: \{\text{conditionally-complete-linorder, dense-linorder}\}$

**shows**  $A \neq \{\} \implies \text{bdd-below } (f' A) \implies (\text{INF } i:A. f i) \leq x \longleftrightarrow (\forall y > x. \exists i \in A. f i \leq y)$

⟨proof⟩

**lemma** *Inf-le-iff-less*:

**fixes**  $x :: 'a :: \{complete-linorder, dense-linorder\}$   
**shows**  $(INF\ i:A. f\ i) \leq x \longleftrightarrow (\forall y > x. \exists i \in A. f\ i \leq y)$   
 $\langle proof \rangle$

**lemma** *SUP-pair*:

**fixes**  $f :: - \Rightarrow - \Rightarrow - :: complete-lattice$   
**shows**  $(SUP\ i : A. SUP\ j : B. f\ i\ j) = (SUP\ p : A \times B. f\ (fst\ p)\ (snd\ p))$   
 $\langle proof \rangle$

**lemma** *INF-pair*:

**fixes**  $f :: - \Rightarrow - \Rightarrow - :: complete-lattice$   
**shows**  $(INF\ i : A. INF\ j : B. f\ i\ j) = (INF\ p : A \times B. f\ (fst\ p)\ (snd\ p))$   
 $\langle proof \rangle$

### 29.0.1 *Liminf and Limsup*

**definition** *Liminf* ::  $'a\ filter \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b :: complete-lattice$  **where**  
 $Liminf\ F\ f = (SUP\ P:\{P. eventually\ P\ F\}. INF\ x:\{x. P\ x\}. f\ x)$

**definition** *Limsup* ::  $'a\ filter \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b :: complete-lattice$  **where**  
 $Limsup\ F\ f = (INF\ P:\{P. eventually\ P\ F\}. SUP\ x:\{x. P\ x\}. f\ x)$

**abbreviation**  $liminf \equiv Liminf\ sequentially$

**abbreviation**  $limsup \equiv Limsup\ sequentially$

**lemma** *Liminf-eqI*:

$(\bigwedge P. eventually\ P\ F \Longrightarrow INFIMUM\ (Collect\ P)\ f \leq x) \Longrightarrow$   
 $(\bigwedge y. (\bigwedge P. eventually\ P\ F \Longrightarrow INFIMUM\ (Collect\ P)\ f \leq y) \Longrightarrow x \leq y) \Longrightarrow$   
 $Liminf\ F\ f = x$   
 $\langle proof \rangle$

**lemma** *Limsup-eqI*:

$(\bigwedge P. eventually\ P\ F \Longrightarrow x \leq SUPREMUM\ (Collect\ P)\ f) \Longrightarrow$   
 $(\bigwedge y. (\bigwedge P. eventually\ P\ F \Longrightarrow y \leq SUPREMUM\ (Collect\ P)\ f) \Longrightarrow y \leq x)$   
 $\Longrightarrow Limsup\ F\ f = x$   
 $\langle proof \rangle$

**lemma** *liminf-SUP-INF*:  $liminf\ f = (SUP\ n. INF\ m:\{n..\}. f\ m)$   
 $\langle proof \rangle$

**lemma** *limsup-INF-SUP*:  $limsup\ f = (INF\ n. SUP\ m:\{n..\}. f\ m)$   
 $\langle proof \rangle$

**lemma** *Limsup-const*:

**assumes**  $ntriv: \neg\ trivial-limit\ F$   
**shows**  $Limsup\ F\ (\lambda x. c) = c$   
 $\langle proof \rangle$

**lemma** *Liminf-const*:

**assumes** *ntriv*:  $\neg$  *trivial-limit*  $F$

**shows**  $\text{Liminf } F (\lambda x. c) = c$

*<proof>*

**lemma** *Liminf-mono*:

**assumes** *ev*: *eventually*  $(\lambda x. f x \leq g x)$   $F$

**shows**  $\text{Liminf } F f \leq \text{Liminf } F g$

*<proof>*

**lemma** *Liminf-eq*:

**assumes** *eventually*  $(\lambda x. f x = g x)$   $F$

**shows**  $\text{Liminf } F f = \text{Liminf } F g$

*<proof>*

**lemma** *Limsup-mono*:

**assumes** *ev*: *eventually*  $(\lambda x. f x \leq g x)$   $F$

**shows**  $\text{Limsup } F f \leq \text{Limsup } F g$

*<proof>*

**lemma** *Limsup-eq*:

**assumes** *eventually*  $(\lambda x. f x = g x)$  *net*

**shows**  $\text{Limsup } \text{net } f = \text{Limsup } \text{net } g$

*<proof>*

**lemma** *Liminf-bot[simp]*:  $\text{Liminf } \text{bot } f = \text{top}$

*<proof>*

**lemma** *Limsup-bot[simp]*:  $\text{Limsup } \text{bot } f = \text{bot}$

*<proof>*

**lemma** *Liminf-le-Limsup*:

**assumes** *ntriv*:  $\neg$  *trivial-limit*  $F$

**shows**  $\text{Liminf } F f \leq \text{Limsup } F f$

*<proof>*

**lemma** *Liminf-bounded*:

**assumes** *le*: *eventually*  $(\lambda n. C \leq X n)$   $F$

**shows**  $C \leq \text{Liminf } F X$

*<proof>*

**lemma** *Limsup-bounded*:

**assumes** *le*: *eventually*  $(\lambda n. X n \leq C)$   $F$

**shows**  $\text{Limsup } F X \leq C$

*<proof>*

**lemma** *le-Limsup*:

**assumes**  $F: F \neq \text{bot}$  **and**  $x: \forall_F x \text{ in } F. l \leq f x$



**shows**  $l \leq \text{Limsup } F f$   
 ⟨proof⟩

**lemma** *Liminf-le*:

**assumes**  $F: F \neq \text{bot}$  **and**  $x: \forall_F x \text{ in } F. f x \leq l$   
**shows**  $\text{Liminf } F f \leq l$   
 ⟨proof⟩

**lemma** *le-Liminf-iff*:

**fixes**  $X :: - \Rightarrow - :: \text{complete-linorder}$   
**shows**  $C \leq \text{Liminf } F X \iff (\forall y < C. \text{eventually } (\lambda x. y < X x) F)$   
 ⟨proof⟩

**lemma** *Limsup-le-iff*:

**fixes**  $X :: - \Rightarrow - :: \text{complete-linorder}$   
**shows**  $C \geq \text{Limsup } F X \iff (\forall y > C. \text{eventually } (\lambda x. y > X x) F)$   
 ⟨proof⟩

**lemma** *less-LiminfD*:

$y < \text{Liminf } F (f :: - \Rightarrow 'a :: \text{complete-linorder}) \implies \text{eventually } (\lambda x. f x > y) F$   
 ⟨proof⟩

**lemma** *Limsup-lessD*:

$y > \text{Limsup } F (f :: - \Rightarrow 'a :: \text{complete-linorder}) \implies \text{eventually } (\lambda x. f x < y) F$   
 ⟨proof⟩

**lemma** *lim-imp-Liminf*:

**fixes**  $f :: 'a \Rightarrow - :: \{\text{complete-linorder}, \text{linorder-topology}\}$   
**assumes**  $\text{ntriv}: \neg \text{trivial-limit } F$   
**assumes**  $\text{lim}: (f \longrightarrow f0) F$   
**shows**  $\text{Liminf } F f = f0$   
 ⟨proof⟩

**lemma** *lim-imp-Limsup*:

**fixes**  $f :: 'a \Rightarrow - :: \{\text{complete-linorder}, \text{linorder-topology}\}$   
**assumes**  $\text{ntriv}: \neg \text{trivial-limit } F$   
**assumes**  $\text{lim}: (f \longrightarrow f0) F$   
**shows**  $\text{Limsup } F f = f0$   
 ⟨proof⟩

**lemma** *Liminf-eq-Limsup*:

**fixes**  $f0 :: 'a :: \{\text{complete-linorder}, \text{linorder-topology}\}$   
**assumes**  $\text{ntriv}: \neg \text{trivial-limit } F$   
**and**  $\text{lim}: \text{Liminf } F f = f0 \text{ Limsup } F f = f0$   
**shows**  $(f \longrightarrow f0) F$   
 ⟨proof⟩

**lemma** *tendsto-iff-Liminf-eq-Limsup*:

**fixes**  $f0 :: 'a :: \{\text{complete-linorder}, \text{linorder-topology}\}$

**shows**  $\neg \text{trivial-limit } F \implies (f \longrightarrow f0) F \iff (\text{Liminf } F f = f0 \wedge \text{Limsup } F f = f0)$   
 ⟨proof⟩

**lemma** *liminf-subseq-mono*:  
**fixes**  $X :: \text{nat} \Rightarrow 'a :: \text{complete-linorder}$   
**assumes** *strict-mono*  $r$   
**shows**  $\text{liminf } X \leq \text{liminf } (X \circ r)$   
 ⟨proof⟩

**lemma** *limsup-subseq-mono*:  
**fixes**  $X :: \text{nat} \Rightarrow 'a :: \text{complete-linorder}$   
**assumes** *strict-mono*  $r$   
**shows**  $\text{limsup } (X \circ r) \leq \text{limsup } X$   
 ⟨proof⟩

**lemma** *continuous-on-imp-continuous-within*:  
 $\text{continuous-on } s f \implies t \subseteq s \implies x \in s \implies \text{continuous (at } x \text{ within } t) f$   
 ⟨proof⟩

**lemma** *Liminf-compose-continuous-mono*:  
**fixes**  $f :: 'a::\{\text{complete-linorder, linorder-topology}\} \Rightarrow 'b::\{\text{complete-linorder, linorder-topology}\}$   
**assumes**  $c$ : *continuous-on UNIV*  $f$  **and**  $am$ : *mono*  $f$  **and**  $F$ :  $F \neq \text{bot}$   
**shows**  $\text{Liminf } F (\lambda n. f (g n)) = f (\text{Liminf } F g)$   
 ⟨proof⟩

**lemma** *Limsup-compose-continuous-mono*:  
**fixes**  $f :: 'a::\{\text{complete-linorder, linorder-topology}\} \Rightarrow 'b::\{\text{complete-linorder, linorder-topology}\}$   
**assumes**  $c$ : *continuous-on UNIV*  $f$  **and**  $am$ : *mono*  $f$  **and**  $F$ :  $F \neq \text{bot}$   
**shows**  $\text{Limsup } F (\lambda n. f (g n)) = f (\text{Limsup } F g)$   
 ⟨proof⟩

**lemma** *Liminf-compose-continuous-antimono*:  
**fixes**  $f :: 'a::\{\text{complete-linorder, linorder-topology}\} \Rightarrow 'b::\{\text{complete-linorder, linorder-topology}\}$   
**assumes**  $c$ : *continuous-on UNIV*  $f$   
**and**  $am$ : *antimono*  $f$   
**and**  $F$ :  $F \neq \text{bot}$   
**shows**  $\text{Liminf } F (\lambda n. f (g n)) = f (\text{Limsup } F g)$   
 ⟨proof⟩

**lemma** *Limsup-compose-continuous-antimono*:  
**fixes**  $f :: 'a::\{\text{complete-linorder, linorder-topology}\} \Rightarrow 'b::\{\text{complete-linorder, linorder-topology}\}$   
**assumes**  $c$ : *continuous-on UNIV*  $f$  **and**  $am$ : *antimono*  $f$  **and**  $F$ :  $F \neq \text{bot}$   
**shows**  $\text{Limsup } F (\lambda n. f (g n)) = f (\text{Liminf } F g)$   
 ⟨proof⟩

**lemma** *Liminf-filtermap-le*:  $\text{Liminf } (\text{filtermap } f F) g \leq \text{Liminf } F (\lambda x. g (f x))$   
 ⟨proof⟩

**lemma** *Limsup-filtermap-ge*:  $\text{Limsup } (\text{filtermap } f F) g \geq \text{Limsup } F (\lambda x. g (f x))$   
 ⟨proof⟩

**lemma** *Liminf-least*:  $(\bigwedge P. \text{eventually } P F \implies (\text{INF } x:\text{Collect } P. f x) \leq x) \implies \text{Liminf } F f \leq x$   
 ⟨proof⟩

**lemma** *Limsup-greatest*:  $(\bigwedge P. \text{eventually } P F \implies x \leq (\text{SUP } x:\text{Collect } P. f x)) \implies \text{Limsup } F f \geq x$   
 ⟨proof⟩

**lemma** *Liminf-filtermap-ge*:  $\text{inj } f \implies \text{Liminf } (\text{filtermap } f F) g \geq \text{Liminf } F (\lambda x. g (f x))$   
 ⟨proof⟩

**lemma** *Limsup-filtermap-le*:  $\text{inj } f \implies \text{Limsup } (\text{filtermap } f F) g \leq \text{Limsup } F (\lambda x. g (f x))$   
 ⟨proof⟩

**lemma** *Liminf-filtermap-eq*:  $\text{inj } f \implies \text{Liminf } (\text{filtermap } f F) g = \text{Liminf } F (\lambda x. g (f x))$   
 ⟨proof⟩

**lemma** *Limsup-filtermap-eq*:  $\text{inj } f \implies \text{Limsup } (\text{filtermap } f F) g = \text{Limsup } F (\lambda x. g (f x))$   
 ⟨proof⟩

## 29.1 More Limits

**lemma** *convergent-limsup-cl*:  
**fixes**  $X :: \text{nat} \Rightarrow 'a::\{\text{complete-linorder}, \text{linorder-topology}\}$   
**shows**  $\text{convergent } X \implies \text{limsup } X = \text{lim } X$   
 ⟨proof⟩

**lemma** *convergent-liminf-cl*:  
**fixes**  $X :: \text{nat} \Rightarrow 'a::\{\text{complete-linorder}, \text{linorder-topology}\}$   
**shows**  $\text{convergent } X \implies \text{liminf } X = \text{lim } X$   
 ⟨proof⟩

**lemma** *lim-increasing-cl*:  
**assumes**  $\bigwedge n m. n \geq m \implies f n \geq f m$   
**obtains**  $l$  **where**  $f \longrightarrow (l::'a::\{\text{complete-linorder}, \text{linorder-topology}\})$   
 ⟨proof⟩

**lemma** *lim-decreasing-cl*:  
**assumes**  $\bigwedge n m. n \geq m \implies f n \leq f m$

**obtains**  $l$  **where**  $f \longrightarrow (l::'a::\{\text{complete-linorder}, \text{linorder-topology}\})$   
 $\langle \text{proof} \rangle$

**lemma** *compact-complete-linorder*:

**fixes**  $X :: \text{nat} \Rightarrow 'a::\{\text{complete-linorder}, \text{linorder-topology}\}$   
**shows**  $\exists l r. \text{strict-mono } r \wedge (X \circ r) \longrightarrow l$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-Limsup*:

**fixes**  $f :: - \Rightarrow 'a :: \{\text{complete-linorder}, \text{linorder-topology}\}$   
**shows**  $F \neq \text{bot} \implies \text{Limsup } F f = \text{Liminf } F f \implies (f \longrightarrow \text{Limsup } F f) F$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-Liminf*:

**fixes**  $f :: - \Rightarrow 'a :: \{\text{complete-linorder}, \text{linorder-topology}\}$   
**shows**  $F \neq \text{bot} \implies \text{Limsup } F f = \text{Liminf } F f \implies (f \longrightarrow \text{Liminf } F f) F$   
 $\langle \text{proof} \rangle$

**end**

### 30 Extended real number line

**theory** *Extended-Real*

**imports** *Complex-Main Extended-Nat Liminf-Limsup*

**begin**

This should be part of *Extended-Nat* or *Order-Continuity*, but then the AFP-entry *Jinja-Thread* fails, as it does overload certain named from *Complex-Main*.

**lemma** *incseq-sumI2*:

**fixes**  $f :: 'i \Rightarrow \text{nat} \Rightarrow 'a::\text{ordered-comm-monoid-add}$   
**shows**  $(\bigwedge n. n \in A \implies \text{mono } (f n)) \implies \text{mono } (\lambda i. \sum_{n \in A} f n i)$   
 $\langle \text{proof} \rangle$

**lemma** *incseq-sumI*:

**fixes**  $f :: \text{nat} \Rightarrow 'a::\text{ordered-comm-monoid-add}$   
**assumes**  $\bigwedge i. 0 \leq f i$   
**shows**  $\text{incseq } (\lambda i. \text{sum } f \{..< i\})$   
 $\langle \text{proof} \rangle$

**lemma** *continuous-at-left-imp-sup-continuous*:

**fixes**  $f :: 'a::\{\text{complete-linorder}, \text{linorder-topology}\} \Rightarrow 'b::\{\text{complete-linorder}, \text{linorder-topology}\}$   
**assumes**  $\text{mono } f \wedge x. \text{continuous } (\text{at-left } x) f$   
**shows**  $\text{sup-continuous } f$   
 $\langle \text{proof} \rangle$

**lemma** *sup-continuous-at-left*:

**fixes**  $f :: 'a::\{\text{complete-linorder}, \text{linorder-topology}, \text{first-countable-topology}\} \Rightarrow$

```

    'b::{complete-linorder, linorder-topology}
assumes f: sup-continuous f
shows continuous (at-left x) f
⟨proof⟩

```

**lemma** *sup-continuous-iff-at-left*:

```

fixes f :: 'a::{complete-linorder, linorder-topology, first-countable-topology} ⇒
    'b::{complete-linorder, linorder-topology}
shows sup-continuous f ⟷ (∀ x. continuous (at-left x) f) ∧ mono f
⟨proof⟩

```

**lemma** *continuous-at-right-imp-inf-continuous*:

```

fixes f :: 'a::{complete-linorder, linorder-topology} ⇒ 'b::{complete-linorder,
linorder-topology}
assumes mono f ∧ x. continuous (at-right x) f
shows inf-continuous f
⟨proof⟩

```

**lemma** *inf-continuous-at-right*:

```

fixes f :: 'a::{complete-linorder, linorder-topology, first-countable-topology} ⇒
    'b::{complete-linorder, linorder-topology}
assumes f: inf-continuous f
shows continuous (at-right x) f
⟨proof⟩

```

**lemma** *inf-continuous-iff-at-right*:

```

fixes f :: 'a::{complete-linorder, linorder-topology, first-countable-topology} ⇒
    'b::{complete-linorder, linorder-topology}
shows inf-continuous f ⟷ (∀ x. continuous (at-right x) f) ∧ mono f
⟨proof⟩

```

**instantiation** *enat* :: linorder-topology

**begin**

**definition** *open-enat* :: enat set ⇒ bool **where**

```

    open-enat = generate-topology (range lessThan ∪ range greaterThan)

```

**instance**

```

    ⟨proof⟩

```

**end**

**lemma** *open-enat*: open {enat n}

```

⟨proof⟩

```

**lemma** *open-enat-iff*:

```

fixes A :: enat set

```

```

shows open A ⟷ (∞ ∈ A ⟶ (∃ n::nat. {n <..} ⊆ A))

```

```

⟨proof⟩

```

**lemma** *nhds-enat*: *nhds*  $x = (\text{if } x = \infty \text{ then } \text{INF } i. \text{principal } \{\text{enat } i..\} \text{ else principal } \{x\})$   
 $\langle \text{proof} \rangle$

**instance** *enat* :: *topological-comm-monoid-add*  
 $\langle \text{proof} \rangle$

For more lemmas about the extended real numbers see `~/src/HOL/Analysis/Extended_Real_Limits.thy`.

### 30.1 Definition and basic properties

**datatype** *ereal* = *ereal* *real* | *PInfty* | *MInfty*

**lemma** *ereal-cong*:  $x = y \implies \text{ereal } x = \text{ereal } y$   $\langle \text{proof} \rangle$

**instantiation** *ereal* :: *uminus*  
**begin**

**fun** *uminus-ereal* **where**  
 $- (\text{ereal } r) = \text{ereal } (- r)$   
 $| - \text{PInfty} = \text{MInfty}$   
 $| - \text{MInfty} = \text{PInfty}$

**instance**  $\langle \text{proof} \rangle$

**end**

**instantiation** *ereal* :: *infinity*  
**begin**

**definition**  $(\infty :: \text{ereal}) = \text{PInfty}$   
**instance**  $\langle \text{proof} \rangle$

**end**

**declare**  $[[\text{coercion } \text{ereal} :: \text{real} \Rightarrow \text{ereal}]]$

**lemma** *ereal-uminus-uminus*[*simp*]:  
**fixes**  $a :: \text{ereal}$   
**shows**  $- (- a) = a$   
 $\langle \text{proof} \rangle$

**lemma**  
**shows** *PInfty-eq-infinity*[*simp*]:  $\text{PInfty} = \infty$   
**and** *MInfty-eq-minfinity*[*simp*]:  $\text{MInfty} = - \infty$   
**and** *MInfty-neq-PInfty*[*simp*]:  $\infty \neq - (\infty :: \text{ereal}) - \infty \neq (\infty :: \text{ereal})$   
**and** *MInfty-neq-ereal*[*simp*]:  $\text{ereal } r \neq - \infty - \infty \neq \text{ereal } r$

**and** *PInfty-neq-ereal*[simp]:  $\text{ereal } r \neq \infty \ \infty \neq \text{ereal } r$   
**and** *PInfty-cases*[simp]:  $(\text{case } \infty \text{ of } \text{ereal } r \Rightarrow f \ r \mid \text{PInfty} \Rightarrow y \mid \text{MInfty} \Rightarrow z)$   
 $= y$   
**and** *MInfty-cases*[simp]:  $(\text{case } -\infty \text{ of } \text{ereal } r \Rightarrow f \ r \mid \text{PInfty} \Rightarrow y \mid \text{MInfty} \Rightarrow z) = z$   
 ⟨proof⟩

**declare**

*PInfty-eq-infinity*[code-post]  
*MInfty-eq-minfinity*[code-post]

**lemma** [code-unfold]:

$\infty = \text{PInfty}$   
 $-\text{PInfty} = \text{MInfty}$   
 ⟨proof⟩

**lemma** *inj-ereal*[simp]: *inj-on* *ereal* *A*

⟨proof⟩

**lemma** *ereal-cases*[cases type: *ereal*]:

**obtains**  $(\text{real}) \ r$  **where**  $x = \text{ereal } r$   
 $\mid (\text{PInf}) \ x = \infty$   
 $\mid (\text{MInf}) \ x = -\infty$   
 ⟨proof⟩

**lemmas** *ereal2-cases* = *ereal-cases*[case-product *ereal-cases*]

**lemmas** *ereal3-cases* = *ereal2-cases*[case-product *ereal-cases*]

**lemma** *ereal-all-split*:  $\bigwedge P. (\forall x::\text{ereal}. P \ x) \longleftrightarrow P \ \infty \wedge (\forall x. P \ (\text{ereal } x)) \wedge P \ (-\infty)$

⟨proof⟩

**lemma** *ereal-ex-split*:  $\bigwedge P. (\exists x::\text{ereal}. P \ x) \longleftrightarrow P \ \infty \vee (\exists x. P \ (\text{ereal } x)) \vee P \ (-\infty)$

⟨proof⟩

**lemma** *ereal-uminus-eq-iff*[simp]:

**fixes**  $a \ b :: \text{ereal}$   
**shows**  $-a = -b \longleftrightarrow a = b$   
 ⟨proof⟩

**function** *real-of-ereal* :: *ereal*  $\Rightarrow$  *real* **where**

*real-of-ereal*  $(\text{ereal } r) = r$   
 $\mid \text{real-of-ereal } \infty = 0$   
 $\mid \text{real-of-ereal } (-\infty) = 0$   
 ⟨proof⟩

**termination** ⟨proof⟩

**lemma** *real-of-ereal*[simp]:

*real-of-ereal*  $(- x :: \text{ereal}) = - (\text{real-of-ereal } x)$   
 $\langle \text{proof} \rangle$

**lemma** *range-ereal*[simp]:  $\text{range } \text{ereal} = \text{UNIV} - \{\infty, -\infty\}$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-range-uminus*[simp]:  $\text{range } \text{uminus} = (\text{UNIV} :: \text{ereal set})$   
 $\langle \text{proof} \rangle$

**instantiation** *ereal* :: *abs*  
**begin**

**function** *abs-ereal* **where**

$|\text{ereal } r| = \text{ereal } |r|$   
 $|-\infty| = (\infty :: \text{ereal})$   
 $|\infty| = (\infty :: \text{ereal})$   
 $\langle \text{proof} \rangle$

**termination**  $\langle \text{proof} \rangle$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *abs-eq-infinity-cases*[elim!]:  
**fixes**  $x :: \text{ereal}$   
**assumes**  $|x| = \infty$   
**obtains**  $x = \infty \mid x = -\infty$   
 $\langle \text{proof} \rangle$

**lemma** *abs-neq-infinity-cases*[elim!]:  
**fixes**  $x :: \text{ereal}$   
**assumes**  $|x| \neq \infty$   
**obtains**  $r$  **where**  $x = \text{ereal } r$   
 $\langle \text{proof} \rangle$

**lemma** *abs-ereal-uminus*[simp]:  
**fixes**  $x :: \text{ereal}$   
**shows**  $|- x| = |x|$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-infinity-cases*:  
**fixes**  $a :: \text{ereal}$   
**shows**  $a \neq \infty \implies a \neq -\infty \implies |a| \neq \infty$   
 $\langle \text{proof} \rangle$

### 30.1.1 Addition

**instantiation** *ereal* ::  $\{\text{one, comm-monoid-add, zero-neq-one}\}$   
**begin**



**definition**  $0 = \text{ereal } 0$

**definition**  $1 = \text{ereal } 1$

**function** *plus-ereal* **where**

$\text{ereal } r + \text{ereal } p = \text{ereal } (r + p)$

|  $\infty + a = (\infty::\text{ereal})$

|  $a + \infty = (\infty::\text{ereal})$

|  $\text{ereal } r + -\infty = -\infty$

|  $-\infty + \text{ereal } p = -(\infty::\text{ereal})$

|  $-\infty + -\infty = -(\infty::\text{ereal})$

$\langle \text{proof} \rangle$

**termination**  $\langle \text{proof} \rangle$

**lemma** *Infty-neq-0*[simp]:

$(\infty::\text{ereal}) \neq 0 \quad 0 \neq (\infty::\text{ereal})$

$-(\infty::\text{ereal}) \neq 0 \quad 0 \neq -(\infty::\text{ereal})$

$\langle \text{proof} \rangle$

**lemma** *ereal-eq-0*[simp]:

$\text{ereal } r = 0 \iff r = 0$

$0 = \text{ereal } r \iff r = 0$

$\langle \text{proof} \rangle$

**lemma** *ereal-eq-1*[simp]:

$\text{ereal } r = 1 \iff r = 1$

$1 = \text{ereal } r \iff r = 1$

$\langle \text{proof} \rangle$

**instance**

$\langle \text{proof} \rangle$

**end**

**lemma** *ereal-0-plus* [simp]:  $\text{ereal } 0 + x = x$

**and** *plus-ereal-0* [simp]:  $x + \text{ereal } 0 = x$

$\langle \text{proof} \rangle$

**instance** *ereal* :: *numeral*  $\langle \text{proof} \rangle$

**lemma** *real-of-ereal-0*[simp]:  $\text{real-of-ereal } (0::\text{ereal}) = 0$

$\langle \text{proof} \rangle$

**lemma** *abs-ereal-zero*[simp]:  $|0| = (0::\text{ereal})$

$\langle \text{proof} \rangle$

**lemma** *ereal-uminus-zero*[simp]:  $- 0 = (0::\text{ereal})$

$\langle \text{proof} \rangle$

**lemma** *ereal-uminus-zero-iff*[simp]:

**fixes**  $a :: \text{ereal}$

**shows**  $-a = 0 \longleftrightarrow a = 0$

*<proof>*

**lemma** *ereal-plus-eq-PIfty*[simp]:

**fixes**  $a b :: \text{ereal}$

**shows**  $a + b = \infty \longleftrightarrow a = \infty \vee b = \infty$

*<proof>*

**lemma** *ereal-plus-eq-MIfty*[simp]:

**fixes**  $a b :: \text{ereal}$

**shows**  $a + b = -\infty \longleftrightarrow (a = -\infty \vee b = -\infty) \wedge a \neq \infty \wedge b \neq \infty$

*<proof>*

**lemma** *ereal-add-cancel-left*:

**fixes**  $a b :: \text{ereal}$

**assumes**  $a \neq -\infty$

**shows**  $a + b = a + c \longleftrightarrow a = \infty \vee b = c$

*<proof>*

**lemma** *ereal-add-cancel-right*:

**fixes**  $a b :: \text{ereal}$

**assumes**  $a \neq -\infty$

**shows**  $b + a = c + a \longleftrightarrow a = \infty \vee b = c$

*<proof>*

**lemma** *ereal-real*:  $\text{ereal} (\text{real-of-ereal } x) = (\text{if } |x| = \infty \text{ then } 0 \text{ else } x)$

*<proof>*

**lemma** *real-of-ereal-add*:

**fixes**  $a b :: \text{ereal}$

**shows**  $\text{real-of-ereal} (a + b) =$

$(\text{if } (|a| = \infty) \wedge (|b| = \infty) \vee (|a| \neq \infty) \wedge (|b| \neq \infty) \text{ then } \text{real-of-ereal } a + \text{real-of-ereal } b \text{ else } 0)$

*<proof>*

### 30.1.2 Linear order on *ereal*

**instantiation**  $\text{ereal} :: \text{linorder}$

**begin**

**function** *less-ereal*

**where**

$\text{ereal } x < \text{ereal } y$	$\longleftrightarrow$	$x < y$
$(\infty :: \text{ereal}) < a$	$\longleftrightarrow$	<i>False</i>
$a < -(\infty :: \text{ereal})$	$\longleftrightarrow$	<i>False</i>
$\text{ereal } x < \infty$	$\longleftrightarrow$	<i>True</i>
$-\infty < \text{ereal } r$	$\longleftrightarrow$	<i>True</i>

|  $-\infty < (\infty::ereal) \longleftrightarrow True$   
 ⟨proof⟩  
**termination** ⟨proof⟩

**definition**  $x \leq (y::ereal) \longleftrightarrow x < y \vee x = y$

**lemma** *ereal-infity-less[simp]*:  
**fixes**  $x :: ereal$   
**shows**  $x < \infty \longleftrightarrow (x \neq \infty)$   
 $-\infty < x \longleftrightarrow (x \neq -\infty)$   
 ⟨proof⟩

**lemma** *ereal-infity-less-eq[simp]*:  
**fixes**  $x :: ereal$   
**shows**  $\infty \leq x \longleftrightarrow x = \infty$   
**and**  $x \leq -\infty \longleftrightarrow x = -\infty$   
 ⟨proof⟩

**lemma** *ereal-less[simp]*:  
 $ereal\ r < 0 \longleftrightarrow (r < 0)$   
 $0 < ereal\ r \longleftrightarrow (0 < r)$   
 $ereal\ r < 1 \longleftrightarrow (r < 1)$   
 $1 < ereal\ r \longleftrightarrow (1 < r)$   
 $0 < (\infty::ereal)$   
 $-(\infty::ereal) < 0$   
 ⟨proof⟩

**lemma** *ereal-less-eq[simp]*:  
 $x \leq (\infty::ereal)$   
 $-(\infty::ereal) \leq x$   
 $ereal\ r \leq ereal\ p \longleftrightarrow r \leq p$   
 $ereal\ r \leq 0 \longleftrightarrow r \leq 0$   
 $0 \leq ereal\ r \longleftrightarrow 0 \leq r$   
 $ereal\ r \leq 1 \longleftrightarrow r \leq 1$   
 $1 \leq ereal\ r \longleftrightarrow 1 \leq r$   
 ⟨proof⟩

**lemma** *ereal-infity-less-eq2*:  
 $a \leq b \implies a = \infty \implies b = (\infty::ereal)$   
 $a \leq b \implies b = -\infty \implies a = -(\infty::ereal)$   
 ⟨proof⟩

**instance**  
 ⟨proof⟩

**end**

**lemma** *ereal-dense2*:  $x < y \implies \exists z. x < ereal\ z \wedge ereal\ z < y$   
 ⟨proof⟩

**instance** *ereal* :: *dense-linorder*  
 ⟨*proof*⟩

**instance** *ereal* :: *ordered-comm-monoid-add*  
 ⟨*proof*⟩

**lemma** *ereal-one-not-less-zero-ereal*[*simp*]:  $\neg 1 < (0::ereal)$   
 ⟨*proof*⟩

**lemma** *real-of-ereal-positive-mono*:  
**fixes**  $x\ y :: ereal$   
**shows**  $0 \leq x \implies x \leq y \implies y \neq \infty \implies real\ of\ ereal\ x \leq real\ of\ ereal\ y$   
 ⟨*proof*⟩

**lemma** *ereal-MInfty-lessI*[*intro, simp*]:  
**fixes**  $a :: ereal$   
**shows**  $a \neq -\infty \implies -\infty < a$   
 ⟨*proof*⟩

**lemma** *ereal-less-PInfty*[*intro, simp*]:  
**fixes**  $a :: ereal$   
**shows**  $a \neq \infty \implies a < \infty$   
 ⟨*proof*⟩

**lemma** *ereal-less-ereal-Ex*:  
**fixes**  $a\ b :: ereal$   
**shows**  $x < ereal\ r \longleftrightarrow x = -\infty \vee (\exists p. p < r \wedge x = ereal\ p)$   
 ⟨*proof*⟩

**lemma** *less-PInf-Ex-of-nat*:  $x \neq \infty \longleftrightarrow (\exists n::nat. x < ereal\ (real\ n))$   
 ⟨*proof*⟩

**lemma** *ereal-add-mono*:  
**fixes**  $a\ b\ c\ d :: ereal$   
**assumes**  $a \leq b$   
**and**  $c \leq d$   
**shows**  $a + c \leq b + d$   
 ⟨*proof*⟩

**lemma** *ereal-minus-le-minus*[*simp*]:  
**fixes**  $a\ b :: ereal$   
**shows**  $-a \leq -b \longleftrightarrow b \leq a$   
 ⟨*proof*⟩

**lemma** *ereal-minus-less-minus*[*simp*]:  
**fixes**  $a\ b :: ereal$   
**shows**  $-a < -b \longleftrightarrow b < a$   
 ⟨*proof*⟩

**lemma** *ereal-le-real-iff*:

$$x \leq \text{real-of-ereal } y \longleftrightarrow (|y| \neq \infty \longrightarrow \text{ereal } x \leq y) \wedge (|y| = \infty \longrightarrow x \leq 0)$$

*<proof>*

**lemma** *real-le-ereal-iff*:

$$\text{real-of-ereal } y \leq x \longleftrightarrow (|y| \neq \infty \longrightarrow y \leq \text{ereal } x) \wedge (|y| = \infty \longrightarrow 0 \leq x)$$

*<proof>*

**lemma** *ereal-less-real-iff*:

$$x < \text{real-of-ereal } y \longleftrightarrow (|y| \neq \infty \longrightarrow \text{ereal } x < y) \wedge (|y| = \infty \longrightarrow x < 0)$$

*<proof>*

**lemma** *real-less-ereal-iff*:

$$\text{real-of-ereal } y < x \longleftrightarrow (|y| \neq \infty \longrightarrow y < \text{ereal } x) \wedge (|y| = \infty \longrightarrow 0 < x)$$

*<proof>*

**lemma** *real-of-ereal-pos*:

**fixes**  $x :: \text{ereal}$   
**shows**  $0 \leq x \implies 0 \leq \text{real-of-ereal } x$  *<proof>*

**lemmas** *real-of-ereal-ord-simps* =

$$\text{ereal-le-real-iff } \text{real-le-ereal-iff } \text{ereal-less-real-iff } \text{real-less-ereal-iff}$$

**lemma** *abs-ereal-ge0[simp]*:  $0 \leq x \implies |x :: \text{ereal}| = x$   
*<proof>*

**lemma** *abs-ereal-less0[simp]*:  $x < 0 \implies |x :: \text{ereal}| = -x$   
*<proof>*

**lemma** *abs-ereal-pos[simp]*:  $0 \leq |x :: \text{ereal}|$   
*<proof>*

**lemma** *ereal-abs-leI*:

**fixes**  $x y :: \text{ereal}$   
**shows**  $\llbracket x \leq y; -x \leq y \rrbracket \implies |x| \leq y$   
*<proof>*

**lemma** *real-of-ereal-le-0[simp]*:  $\text{real-of-ereal } (x :: \text{ereal}) \leq 0 \longleftrightarrow x \leq 0 \vee x = \infty$   
*<proof>*

**lemma** *abs-real-of-ereal[simp]*:  $|\text{real-of-ereal } (x :: \text{ereal})| = \text{real-of-ereal } |x|$   
*<proof>*

**lemma** *zero-less-real-of-ereal*:

**fixes**  $x :: \text{ereal}$   
**shows**  $0 < \text{real-of-ereal } x \longleftrightarrow 0 < x \wedge x \neq \infty$   
*<proof>*

**lemma** *ereal-0-le-uminus-iff* [simp]:

**fixes**  $a :: \text{ereal}$

**shows**  $0 \leq -a \longleftrightarrow a \leq 0$

*<proof>*

**lemma** *ereal-uminus-le-0-iff* [simp]:

**fixes**  $a :: \text{ereal}$

**shows**  $-a \leq 0 \longleftrightarrow 0 \leq a$

*<proof>*

**lemma** *ereal-add-strict-mono*:

**fixes**  $a b c d :: \text{ereal}$

**assumes**  $a \leq b$

**and**  $0 \leq a$

**and**  $a \neq \infty$

**and**  $c < d$

**shows**  $a + c < b + d$

*<proof>*

**lemma** *ereal-less-add*:

**fixes**  $a b c :: \text{ereal}$

**shows**  $|a| \neq \infty \implies c < b \implies a + c < a + b$

*<proof>*

**lemma** *ereal-add-nonneg-eq-0-iff*:

**fixes**  $a b :: \text{ereal}$

**shows**  $0 \leq a \implies 0 \leq b \implies a + b = 0 \longleftrightarrow a = 0 \wedge b = 0$

*<proof>*

**lemma** *ereal-uminus-eq-reorder*:  $-a = b \longleftrightarrow a = (-b :: \text{ereal})$

*<proof>*

**lemma** *ereal-uminus-less-reorder*:  $-a < b \longleftrightarrow -b < (a :: \text{ereal})$

*<proof>*

**lemma** *ereal-less-uminus-reorder*:  $a < -b \longleftrightarrow b < -(a :: \text{ereal})$

*<proof>*

**lemma** *ereal-uminus-le-reorder*:  $-a \leq b \longleftrightarrow -b \leq (a :: \text{ereal})$

*<proof>*

**lemmas** *ereal-uminus-reorder =*

*ereal-uminus-eq-reorder ereal-uminus-less-reorder ereal-uminus-le-reorder*

**lemma** *ereal-bot*:

**fixes**  $x :: \text{ereal}$

**assumes**  $\bigwedge B. x \leq \text{ereal } B$

**shows**  $x = -\infty$

*<proof>*

**lemma** *ereal-top*:

**fixes**  $x :: \text{ereal}$   
**assumes**  $\bigwedge B. x \geq \text{ereal } B$   
**shows**  $x = \infty$

*<proof>*

**lemma**

**shows** *ereal-max[simp]*:  $\text{ereal } (\max x y) = \max (\text{ereal } x) (\text{ereal } y)$   
**and** *ereal-min[simp]*:  $\text{ereal } (\min x y) = \min (\text{ereal } x) (\text{ereal } y)$

*<proof>*

**lemma** *ereal-max-0*:  $\max 0 (\text{ereal } r) = \text{ereal } (\max 0 r)$

*<proof>*

**lemma**

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**shows** *ereal-incseq-uminus[simp]*:  $\text{incseq } (\lambda x. - f x) \longleftrightarrow \text{decseq } f$   
**and** *ereal-decseq-uminus[simp]*:  $\text{decseq } (\lambda x. - f x) \longleftrightarrow \text{incseq } f$

*<proof>*

**lemma** *incseq-ereal*:  $\text{incseq } f \Longrightarrow \text{incseq } (\lambda x. \text{ereal } (f x))$

*<proof>*

**lemma** *ereal-add-nonneg-nonneg[simp]*:

**fixes**  $a b :: \text{ereal}$   
**shows**  $0 \leq a \Longrightarrow 0 \leq b \Longrightarrow 0 \leq a + b$

*<proof>*

**lemma** *sum-ereal[simp]*:  $(\sum x \in A. \text{ereal } (f x)) = \text{ereal } (\sum x \in A. f x)$

*<proof>*

**lemma** *sum-list-ereal [simp]*:  $\text{sum-list } (\text{map } (\lambda x. \text{ereal } (f x)) xs) = \text{ereal } (\text{sum-list } (\text{map } f xs))$

*<proof>*

**lemma** *sum-Pinfity*:

**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $(\sum x \in P. f x) = \infty \longleftrightarrow \text{finite } P \wedge (\exists i \in P. f i = \infty)$

*<proof>*

**lemma** *sum-Inf*:

**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $|\text{sum } f A| = \infty \longleftrightarrow \text{finite } A \wedge (\exists i \in A. |f i| = \infty)$

*<proof>*

**lemma** *sum-real-of-ereal*:

**fixes**  $f :: 'i \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge x. x \in S \Longrightarrow |f x| \neq \infty$

**shows**  $(\sum x \in S. \text{real-of-ereal } (f x)) = \text{real-of-ereal } (\text{sum } f S)$   
 ⟨proof⟩

**lemma** *sum-ereal-0*:

**fixes**  $f :: 'a \Rightarrow \text{ereal}$

**assumes** *finite A*

**and**  $\bigwedge i. i \in A \implies 0 \leq f i$

**shows**  $(\sum x \in A. f x) = 0 \iff (\forall i \in A. f i = 0)$   
 ⟨proof⟩

### 30.1.3 Multiplication

**instantiation** *ereal* :: {*comm-monoid-mult,sgn*}

**begin**

**function** *sgn-ereal* :: *ereal*  $\Rightarrow$  *ereal* **where**

$\text{sgn } (\text{ereal } r) = \text{ereal } (\text{sgn } r)$

|  $\text{sgn } (\infty :: \text{ereal}) = 1$

|  $\text{sgn } (-\infty :: \text{ereal}) = -1$

⟨proof⟩

**termination** ⟨proof⟩

**function** *times-ereal* **where**

$\text{ereal } r * \text{ereal } p = \text{ereal } (r * p)$

|  $\text{ereal } r * \infty = (\text{if } r = 0 \text{ then } 0 \text{ else if } r > 0 \text{ then } \infty \text{ else } -\infty)$

|  $\infty * \text{ereal } r = (\text{if } r = 0 \text{ then } 0 \text{ else if } r > 0 \text{ then } \infty \text{ else } -\infty)$

|  $\text{ereal } r * -\infty = (\text{if } r = 0 \text{ then } 0 \text{ else if } r > 0 \text{ then } -\infty \text{ else } \infty)$

|  $-\infty * \text{ereal } r = (\text{if } r = 0 \text{ then } 0 \text{ else if } r > 0 \text{ then } -\infty \text{ else } \infty)$

|  $(\infty :: \text{ereal}) * \infty = \infty$

|  $-\infty :: \text{ereal}) * \infty = -\infty$

|  $(\infty :: \text{ereal}) * -\infty = -\infty$

|  $-\infty :: \text{ereal}) * -\infty = \infty$

⟨proof⟩

**termination** ⟨proof⟩

**instance**

⟨proof⟩

**end**

**lemma** [*simp*]:

**shows** *ereal-1-times*:  $\text{ereal } 1 * x = x$

**and** *times-ereal-1*:  $x * \text{ereal } 1 = x$

⟨proof⟩

**lemma** *one-not-le-zero-ereal*[*simp*]:  $\neg (1 \leq (0 :: \text{ereal}))$

⟨proof⟩

**lemma** *real-ereal-1*[*simp*]:  $\text{real-of-ereal } (1 :: \text{ereal}) = 1$



*<proof>*

**lemma** *real-of-ereal-le-1*:

**fixes**  $a :: \text{ereal}$

**shows**  $a \leq 1 \implies \text{real-of-ereal } a \leq 1$

*<proof>*

**lemma** *abs-ereal-one[simp]*:  $|1| = (1::\text{ereal})$

*<proof>*

**lemma** *ereal-mult-zero[simp]*:

**fixes**  $a :: \text{ereal}$

**shows**  $a * 0 = 0$

*<proof>*

**lemma** *ereal-zero-mult[simp]*:

**fixes**  $a :: \text{ereal}$

**shows**  $0 * a = 0$

*<proof>*

**lemma** *ereal-m1-less-0[simp]*:  $-(1::\text{ereal}) < 0$

*<proof>*

**lemma** *ereal-times[simp]*:

$1 \neq (\infty::\text{ereal}) \quad (\infty::\text{ereal}) \neq 1$

$1 \neq -(\infty::\text{ereal}) \quad -(\infty::\text{ereal}) \neq 1$

*<proof>*

**lemma** *ereal-plus-1[simp]*:

$1 + \text{ereal } r = \text{ereal } (r + 1)$

$\text{ereal } r + 1 = \text{ereal } (r + 1)$

$1 + -(\infty::\text{ereal}) = -\infty$

$-(\infty::\text{ereal}) + 1 = -\infty$

*<proof>*

**lemma** *ereal-zero-times[simp]*:

**fixes**  $a \ b :: \text{ereal}$

**shows**  $a * b = 0 \iff a = 0 \vee b = 0$

*<proof>*

**lemma** *ereal-mult-eq-PIfty[simp]*:

$a * b = (\infty::\text{ereal}) \iff$

$(a = \infty \wedge b > 0) \vee (a > 0 \wedge b = \infty) \vee (a = -\infty \wedge b < 0) \vee (a < 0 \wedge b = -\infty)$

*<proof>*

**lemma** *ereal-mult-eq-MIfty[simp]*:

$a * b = -(\infty::\text{ereal}) \iff$

$(a = \infty \wedge b < 0) \vee (a < 0 \wedge b = \infty) \vee (a = -\infty \wedge b > 0) \vee (a > 0 \wedge b = -\infty)$

$-\infty$ )  
 ⟨proof⟩

**lemma** *ereal-abs-mult*:  $|x * y :: ereal| = |x| * |y|$   
 ⟨proof⟩

**lemma** *ereal-0-less-1[simp]*:  $0 < (1 :: ereal)$   
 ⟨proof⟩

**lemma** *ereal-mult-minus-left[simp]*:  
 fixes  $a b :: ereal$   
 shows  $-a * b = -(a * b)$   
 ⟨proof⟩

**lemma** *ereal-mult-minus-right[simp]*:  
 fixes  $a b :: ereal$   
 shows  $a * -b = -(a * b)$   
 ⟨proof⟩

**lemma** *ereal-mult-infity[simp]*:  
 $a * (\infty :: ereal) = (if\ a = 0\ then\ 0\ else\ if\ 0 < a\ then\ \infty\ else\ -\infty)$   
 ⟨proof⟩

**lemma** *ereal-infity-mult[simp]*:  
 $(\infty :: ereal) * a = (if\ a = 0\ then\ 0\ else\ if\ 0 < a\ then\ \infty\ else\ -\infty)$   
 ⟨proof⟩

**lemma** *ereal-mult-strict-right-mono*:  
 assumes  $a < b$   
 and  $0 < c$   
 and  $c < (\infty :: ereal)$   
 shows  $a * c < b * c$   
 ⟨proof⟩

**lemma** *ereal-mult-strict-left-mono*:  
 $a < b \implies 0 < c \implies c < (\infty :: ereal) \implies c * a < c * b$   
 ⟨proof⟩

**lemma** *ereal-mult-right-mono*:  
 fixes  $a b c :: ereal$   
 shows  $a \leq b \implies 0 \leq c \implies a * c \leq b * c$   
 ⟨proof⟩

**lemma** *ereal-mult-left-mono*:  
 fixes  $a b c :: ereal$   
 shows  $a \leq b \implies 0 \leq c \implies c * a \leq c * b$   
 ⟨proof⟩

**lemma** *zero-less-one-ereal[simp]*:  $0 \leq (1 :: ereal)$

*<proof>*

**lemma** *ereal-0-le-mult[simp]*:  $0 \leq a \implies 0 \leq b \implies 0 \leq a * (b :: \text{ereal})$   
*<proof>*

**lemma** *ereal-right-distrib*:

**fixes**  $r a b :: \text{ereal}$

**shows**  $0 \leq a \implies 0 \leq b \implies r * (a + b) = r * a + r * b$

*<proof>*

**lemma** *ereal-left-distrib*:

**fixes**  $r a b :: \text{ereal}$

**shows**  $0 \leq a \implies 0 \leq b \implies (a + b) * r = a * r + b * r$

*<proof>*

**lemma** *ereal-mult-le-0-iff*:

**fixes**  $a b :: \text{ereal}$

**shows**  $a * b \leq 0 \iff (0 \leq a \wedge b \leq 0) \vee (a \leq 0 \wedge 0 \leq b)$

*<proof>*

**lemma** *ereal-zero-le-0-iff*:

**fixes**  $a b :: \text{ereal}$

**shows**  $0 \leq a * b \iff (0 \leq a \wedge 0 \leq b) \vee (a \leq 0 \wedge b \leq 0)$

*<proof>*

**lemma** *ereal-mult-less-0-iff*:

**fixes**  $a b :: \text{ereal}$

**shows**  $a * b < 0 \iff (0 < a \wedge b < 0) \vee (a < 0 \wedge 0 < b)$

*<proof>*

**lemma** *ereal-zero-less-0-iff*:

**fixes**  $a b :: \text{ereal}$

**shows**  $0 < a * b \iff (0 < a \wedge 0 < b) \vee (a < 0 \wedge b < 0)$

*<proof>*

**lemma** *ereal-left-mult-cong*:

**fixes**  $a b c :: \text{ereal}$

**shows**  $c = d \implies (d \neq 0 \implies a = b) \implies a * c = b * d$

*<proof>*

**lemma** *ereal-right-mult-cong*:

**fixes**  $a b c :: \text{ereal}$

**shows**  $c = d \implies (d \neq 0 \implies a = b) \implies c * a = d * b$

*<proof>*

**lemma** *ereal-distrib*:

**fixes**  $a b c :: \text{ereal}$

**assumes**  $a \neq \infty \vee b \neq -\infty$

**and**  $a \neq -\infty \vee b \neq \infty$

**and**  $|c| \neq \infty$   
**shows**  $(a + b) * c = a * c + b * c$   
 $\langle proof \rangle$

**lemma** *numeral-eq-ereal* [*simp*]: *numeral*  $w = \text{ereal } (\text{numeral } w)$   
 $\langle proof \rangle$

**lemma** *distrib-left-ereal-nn*:  
 $c \geq 0 \implies (x + y) * \text{ereal } c = x * \text{ereal } c + y * \text{ereal } c$   
 $\langle proof \rangle$

**lemma** *sum-ereal-right-distrib*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $(\bigwedge i. i \in A \implies 0 \leq f i) \implies r * \text{sum } f A = (\sum n \in A. r * f n)$   
 $\langle proof \rangle$

**lemma** *sum-ereal-left-distrib*:  
 $(\bigwedge i. i \in A \implies 0 \leq f i) \implies \text{sum } f A * r = (\sum n \in A. f n * r :: \text{ereal})$   
 $\langle proof \rangle$

**lemma** *sum-distrib-right-ereal*:  
 $c \geq 0 \implies \text{sum } f A * \text{ereal } c = (\sum x \in A. f x * c :: \text{ereal})$   
 $\langle proof \rangle$

**lemma** *ereal-le-epsilon*:  
**fixes**  $x y :: \text{ereal}$   
**assumes**  $\forall e. 0 < e \longrightarrow x \leq y + e$   
**shows**  $x \leq y$   
 $\langle proof \rangle$

**lemma** *ereal-le-epsilon2*:  
**fixes**  $x y :: \text{ereal}$   
**assumes**  $\forall e. 0 < e \longrightarrow x \leq y + \text{ereal } e$   
**shows**  $x \leq y$   
 $\langle proof \rangle$

**lemma** *ereal-le-real*:  
**fixes**  $x y :: \text{ereal}$   
**assumes**  $\forall z. x \leq \text{ereal } z \longrightarrow y \leq \text{ereal } z$   
**shows**  $y \leq x$   
 $\langle proof \rangle$

**lemma** *prod-ereal-0*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $(\prod i \in A. f i) = 0 \iff \text{finite } A \wedge (\exists i \in A. f i = 0)$   
 $\langle proof \rangle$

**lemma** *prod-ereal-pos*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$

**assumes**  $pos: \bigwedge i. i \in I \implies 0 \leq f i$   
**shows**  $0 \leq (\prod_{i \in I}. f i)$   
 ⟨proof⟩

**lemma** *prod-PIInf*:  
**fixes**  $f :: 'a \Rightarrow ereal$   
**assumes**  $\bigwedge i. i \in I \implies 0 \leq f i$   
**shows**  $(\prod_{i \in I}. f i) = \infty \iff finite\ I \wedge (\exists i \in I. f i = \infty) \wedge (\forall i \in I. f i \neq 0)$   
 ⟨proof⟩

**lemma** *prod-ereal*:  $(\prod_{i \in A}. ereal\ (f\ i)) = ereal\ (prod\ f\ A)$   
 ⟨proof⟩

### 30.1.4 Power

**lemma** *ereal-power[simp]*:  $(ereal\ x) \wedge n = ereal\ (x \wedge n)$   
 ⟨proof⟩

**lemma** *ereal-power-PIInf[simp]*:  $(\infty :: ereal) \wedge n = (if\ n = 0\ then\ 1\ else\ \infty)$   
 ⟨proof⟩

**lemma** *ereal-power-uminus[simp]*:  
**fixes**  $x :: ereal$   
**shows**  $(-x) \wedge n = (if\ even\ n\ then\ x \wedge n\ else\ -(x \wedge n))$   
 ⟨proof⟩

**lemma** *ereal-power-numeral[simp]*:  
 $(numeral\ num :: ereal) \wedge n = ereal\ (numeral\ num \wedge n)$   
 ⟨proof⟩

**lemma** *zero-le-power-ereal[simp]*:  
**fixes**  $a :: ereal$   
**assumes**  $0 \leq a$   
**shows**  $0 \leq a \wedge n$   
 ⟨proof⟩

### 30.1.5 Subtraction

**lemma** *ereal-minus-minus-image[simp]*:  
**fixes**  $S :: ereal\ set$   
**shows**  $uminus\ ` uminus\ ` S = S$   
 ⟨proof⟩

**lemma** *ereal-uminus-lessThan[simp]*:  
**fixes**  $a :: ereal$   
**shows**  $uminus\ ` \{..<a\} = \{-a<..\}$   
 ⟨proof⟩

**lemma** *ereal-uminus-greaterThan[simp]*:  $uminus\ ` \{(a :: ereal)<..\} = \{..<-a\}$   
 ⟨proof⟩

**instantiation** *ereal* :: *minus*

**begin**

**definition**  $x - y = x + -(y::ereal)$

**instance**  $\langle proof \rangle$

**end**

**lemma** *ereal-minus[simp]*:

$ereal\ r -ereal\ p =ereal\ (r - p)$

$-\infty -ereal\ r =-\infty$

$ereal\ r -\infty =-\infty$

$(\infty::ereal) -x =\infty$

$-(\infty::ereal) -\infty =-\infty$

$x - -y =x + y$

$x - 0 =x$

$0 - x =-x$

$\langle proof \rangle$

**lemma** *ereal-x-minus-x[simp]*:  $x - x = (if\ |x| = \infty\ then\ \infty\ else\ 0::ereal)$

$\langle proof \rangle$

**lemma** *ereal-eq-minus-iff*:

**fixes**  $x\ y\ z ::ereal$

**shows**  $x = z - y \longleftrightarrow$

$(|y| \neq \infty \longrightarrow x + y = z) \wedge$

$(y = -\infty \longrightarrow x = \infty) \wedge$

$(y = \infty \longrightarrow z = \infty \longrightarrow x = \infty) \wedge$

$(y = \infty \longrightarrow z \neq \infty \longrightarrow x = -\infty)$

$\langle proof \rangle$

**lemma** *ereal-eq-minus*:

**fixes**  $x\ y\ z ::ereal$

**shows**  $|y| \neq \infty \implies x = z - y \longleftrightarrow x + y = z$

$\langle proof \rangle$

**lemma** *ereal-less-minus-iff*:

**fixes**  $x\ y\ z ::ereal$

**shows**  $x < z - y \longleftrightarrow$

$(y = \infty \longrightarrow z = \infty \wedge x \neq \infty) \wedge$

$(y = -\infty \longrightarrow x \neq \infty) \wedge$

$(|y| \neq \infty \longrightarrow x + y < z)$

$\langle proof \rangle$

**lemma** *ereal-less-minus*:

**fixes**  $x\ y\ z ::ereal$

**shows**  $|y| \neq \infty \implies x < z - y \longleftrightarrow x + y < z$

$\langle proof \rangle$

**lemma** *ereal-le-minus-iff*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $x \leq z - y \longleftrightarrow (y = \infty \longrightarrow z \neq \infty \longrightarrow x = -\infty) \wedge (|y| \neq \infty \longrightarrow x + y \leq z)$

*<proof>*

**lemma** *ereal-le-minus*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $|y| \neq \infty \implies x \leq z - y \longleftrightarrow x + y \leq z$

*<proof>*

**lemma** *ereal-minus-less-iff*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $x - y < z \longleftrightarrow y \neq -\infty \wedge (y = \infty \longrightarrow x \neq \infty \wedge z \neq -\infty) \wedge (y \neq \infty \longrightarrow x < z + y)$

*<proof>*

**lemma** *ereal-minus-less*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $|y| \neq \infty \implies x - y < z \longleftrightarrow x < z + y$

*<proof>*

**lemma** *ereal-minus-le-iff*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $x - y \leq z \longleftrightarrow$

$(y = -\infty \longrightarrow z = \infty) \wedge$

$(y = \infty \longrightarrow x = \infty \longrightarrow z = \infty) \wedge$

$(|y| \neq \infty \longrightarrow x \leq z + y)$

*<proof>*

**lemma** *ereal-minus-le*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $|y| \neq \infty \implies x - y \leq z \longleftrightarrow x \leq z + y$

*<proof>*

**lemma** *ereal-minus-eq-minus-iff*:

**fixes**  $a\ b\ c :: \text{ereal}$

**shows**  $a - b = a - c \longleftrightarrow$

$b = c \vee a = \infty \vee (a = -\infty \wedge b \neq -\infty \wedge c \neq -\infty)$

*<proof>*

**lemma** *ereal-add-le-add-iff*:

**fixes**  $a\ b\ c :: \text{ereal}$

**shows**  $c + a \leq c + b \longleftrightarrow$

$a \leq b \vee c = \infty \vee (c = -\infty \wedge a \neq \infty \wedge b \neq \infty)$

*<proof>*

**lemma** *ereal-add-le-add-iff2*:

**fixes**  $a\ b\ c :: \text{ereal}$   
**shows**  $a + c \leq b + c \longleftrightarrow a \leq b \vee c = \infty \vee (c = -\infty \wedge a \neq \infty \wedge b \neq \infty)$   
 ⟨proof⟩

**lemma** *ereal-mult-le-mult-iff*:  
**fixes**  $a\ b\ c :: \text{ereal}$   
**shows**  $|c| \neq \infty \implies c * a \leq c * b \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$   
 ⟨proof⟩

**lemma** *ereal-minus-mono*:  
**fixes**  $A\ B\ C\ D :: \text{ereal}$  **assumes**  $A \leq B\ D \leq C$   
**shows**  $A - C \leq B - D$   
 ⟨proof⟩

**lemma** *ereal-mono-minus-cancel*:  
**fixes**  $a\ b\ c :: \text{ereal}$   
**shows**  $c - a \leq c - b \implies 0 \leq c \implies c < \infty \implies b \leq a$   
 ⟨proof⟩

**lemma** *real-of-ereal-minus*:  
**fixes**  $a\ b :: \text{ereal}$   
**shows** *real-of-ereal*  $(a - b) = (\text{if } |a| = \infty \vee |b| = \infty \text{ then } 0 \text{ else } \text{real-of-ereal } a - \text{real-of-ereal } b)$   
 ⟨proof⟩

**lemma** *real-of-ereal-minus'*:  $|x| = \infty \longleftrightarrow |y| = \infty \implies \text{real-of-ereal } x - \text{real-of-ereal } y = \text{real-of-ereal } (x - y :: \text{ereal})$   
 ⟨proof⟩

**lemma** *ereal-diff-positive*:  
**fixes**  $a\ b :: \text{ereal}$  **shows**  $a \leq b \implies 0 \leq b - a$   
 ⟨proof⟩

**lemma** *ereal-between*:  
**fixes**  $x\ e :: \text{ereal}$   
**assumes**  $|x| \neq \infty$   
**and**  $0 < e$   
**shows**  $x - e < x$   
**and**  $x < x + e$   
 ⟨proof⟩

**lemma** *ereal-minus-eq-PIfty-iff*:  
**fixes**  $x\ y :: \text{ereal}$   
**shows**  $x - y = \infty \longleftrightarrow y = -\infty \vee x = \infty$   
 ⟨proof⟩

**lemma** *ereal-diff-add-eq-diff-diff-swap*:  
**fixes**  $x\ y\ z :: \text{ereal}$   
**shows**  $|y| \neq \infty \implies x - (y + z) = x - y - z$



⟨proof⟩

**lemma** *ereal-diff-add-assoc2*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $x + y - z = x - z + y$

⟨proof⟩

**lemma** *ereal-add-uminus-conv-diff*: **fixes**  $x\ y\ z :: \text{ereal}$  **shows**  $-x + y = y - x$

⟨proof⟩

**lemma** *ereal-minus-diff-eq*:

**fixes**  $x\ y :: \text{ereal}$

**shows**  $\llbracket x = \infty \longrightarrow y \neq \infty; x = -\infty \longrightarrow y \neq -\infty \rrbracket \implies -(x - y) = y - x$

⟨proof⟩

**lemma** *ediff-le-self* [*simp*]:  $x - y \leq (x :: \text{enat})$

⟨proof⟩

### 30.1.6 Division

**instantiation** *ereal* :: *inverse*

**begin**

**function** *inverse-ereal* **where**

*inverse* (*ereal*  $r$ ) = (if  $r = 0$  then  $\infty$  else *ereal* (*inverse*  $r$ ))

| *inverse* ( $\infty :: \text{ereal}$ ) = 0

| *inverse* ( $-\infty :: \text{ereal}$ ) = 0

⟨proof⟩

**termination** ⟨proof⟩

**definition**  $x \text{ div } y = x * \text{inverse } (y :: \text{ereal})$

**instance** ⟨proof⟩

**end**

**lemma** *real-of-ereal-inverse*[*simp*]:

**fixes**  $a :: \text{ereal}$

**shows** *real-of-ereal* (*inverse*  $a$ ) = 1 / *real-of-ereal*  $a$

⟨proof⟩

**lemma** *ereal-inverse*[*simp*]:

*inverse* ( $0 :: \text{ereal}$ ) =  $\infty$

*inverse* ( $1 :: \text{ereal}$ ) = 1

⟨proof⟩

**lemma** *ereal-divide*[*simp*]:

*ereal*  $r$  / *ereal*  $p$  = (if  $p = 0$  then *ereal*  $r * \infty$  else *ereal* ( $r / p$ ))

⟨proof⟩

**lemma** *ereal-divide-same*[simp]:

**fixes**  $x :: \text{ereal}$

**shows**  $x / x = (\text{if } |x| = \infty \vee x = 0 \text{ then } 0 \text{ else } 1)$

*<proof>*

**lemma** *ereal-inv-inv*[simp]:

**fixes**  $x :: \text{ereal}$

**shows**  $\text{inverse} (\text{inverse } x) = (\text{if } x \neq -\infty \text{ then } x \text{ else } \infty)$

*<proof>*

**lemma** *ereal-inverse-minus*[simp]:

**fixes**  $x :: \text{ereal}$

**shows**  $\text{inverse} (-x) = (\text{if } x = 0 \text{ then } \infty \text{ else } -\text{inverse } x)$

*<proof>*

**lemma** *ereal-uminus-divide*[simp]:

**fixes**  $x y :: \text{ereal}$

**shows**  $-x / y = -(x / y)$

*<proof>*

**lemma** *ereal-divide-Infty*[simp]:

**fixes**  $x :: \text{ereal}$

**shows**  $x / \infty = 0 \ x / -\infty = 0$

*<proof>*

**lemma** *ereal-divide-one*[simp]:  $x / 1 = (x :: \text{ereal})$

*<proof>*

**lemma** *ereal-divide-ereal*[simp]:  $\infty / \text{ereal } r = (\text{if } 0 \leq r \text{ then } \infty \text{ else } -\infty)$

*<proof>*

**lemma** *ereal-inverse-nonneg-iff*:  $0 \leq \text{inverse } (x :: \text{ereal}) \iff 0 \leq x \vee x = -\infty$

*<proof>*

**lemma** *inverse-ereal-ge0I*:  $0 \leq (x :: \text{ereal}) \implies 0 \leq \text{inverse } x$

*<proof>*

**lemma** *zero-le-divide-ereal*[simp]:

**fixes**  $a :: \text{ereal}$

**assumes**  $0 \leq a$

**and**  $0 \leq b$

**shows**  $0 \leq a / b$

*<proof>*

**lemma** *ereal-le-divide-pos*:

**fixes**  $x y z :: \text{ereal}$

**shows**  $x > 0 \implies x \neq \infty \implies y \leq z / x \iff x * y \leq z$

*<proof>*

**lemma** *ereal-divide-le-pos*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $x > 0 \implies x \neq \infty \implies z / x \leq y \longleftrightarrow z \leq x * y$

*<proof>*

**lemma** *ereal-le-divide-neg*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $x < 0 \implies x \neq -\infty \implies y \leq z / x \longleftrightarrow z \leq x * y$

*<proof>*

**lemma** *ereal-divide-le-neg*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $x < 0 \implies x \neq -\infty \implies z / x \leq y \longleftrightarrow x * y \leq z$

*<proof>*

**lemma** *ereal-inverse-antimono-strict*:

**fixes**  $x\ y :: \text{ereal}$

**shows**  $0 \leq x \implies x < y \implies \text{inverse } y < \text{inverse } x$

*<proof>*

**lemma** *ereal-inverse-antimono*:

**fixes**  $x\ y :: \text{ereal}$

**shows**  $0 \leq x \implies x \leq y \implies \text{inverse } y \leq \text{inverse } x$

*<proof>*

**lemma** *inverse-inverse-Pinfity-iff[simp]*:

**fixes**  $x :: \text{ereal}$

**shows**  $\text{inverse } x = \infty \longleftrightarrow x = 0$

*<proof>*

**lemma** *ereal-inverse-eq-0*:

**fixes**  $x :: \text{ereal}$

**shows**  $\text{inverse } x = 0 \longleftrightarrow x = \infty \vee x = -\infty$

*<proof>*

**lemma** *ereal-0-gt-inverse*:

**fixes**  $x :: \text{ereal}$

**shows**  $0 < \text{inverse } x \longleftrightarrow x \neq \infty \wedge 0 \leq x$

*<proof>*

**lemma** *ereal-inverse-le-0-iff*:

**fixes**  $x :: \text{ereal}$

**shows**  $\text{inverse } x \leq 0 \longleftrightarrow x < 0 \vee x = \infty$

*<proof>*

**lemma** *ereal-divide-eq-0-iff*:  $x / y = 0 \longleftrightarrow x = 0 \vee |y :: \text{ereal}| = \infty$

*<proof>*

**lemma** *ereal-mult-less-right*:

**fixes**  $a\ b\ c :: \text{ereal}$   
**assumes**  $b * a < c * a$   
**and**  $0 < a$   
**and**  $a < \infty$   
**shows**  $b < c$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-mult-divide*: **fixes**  $a\ b :: \text{ereal}$  **shows**  $0 < b \implies b < \infty \implies b * (a / b) = a$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-power-divide*:

**fixes**  $x\ y :: \text{ereal}$   
**shows**  $y \neq 0 \implies (x / y) ^ n = x ^ n / y ^ n$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-le-mult-one-interval*:

**fixes**  $x\ y :: \text{ereal}$   
**assumes**  $y: y \neq -\infty$   
**assumes**  $z: \bigwedge z. 0 < z \implies z < 1 \implies z * x \leq y$   
**shows**  $x \leq y$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-divide-right-mono[simp]*:

**fixes**  $x\ y\ z :: \text{ereal}$   
**assumes**  $x \leq y$   
**and**  $0 < z$   
**shows**  $x / z \leq y / z$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-divide-left-mono[simp]*:

**fixes**  $x\ y\ z :: \text{ereal}$   
**assumes**  $y \leq x$   
**and**  $0 < z$   
**and**  $0 < x * y$   
**shows**  $z / x \leq z / y$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-divide-zero-left[simp]*:

**fixes**  $a :: \text{ereal}$   
**shows**  $0 / a = 0$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-times-divide-eq-left[simp]*:

**fixes**  $a\ b\ c :: \text{ereal}$   
**shows**  $b / c * a = b * a / c$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-times-divide-eq*:  $a * (b / c :: \text{ereal}) = a * b / c$   
 ⟨proof⟩

**lemma** *ereal-inverse-real*:  $|z| \neq \infty \implies z \neq 0 \implies \text{ereal} (\text{inverse} (\text{real-of-ereal } z))$   
 $= \text{inverse } z$   
 ⟨proof⟩

**lemma** *ereal-inverse-mult*:  
 $a \neq 0 \implies b \neq 0 \implies \text{inverse} (a * (b :: \text{ereal})) = \text{inverse } a * \text{inverse } b$   
 ⟨proof⟩

### 30.2 Complete lattice

**instantiation** *ereal* :: *lattice*  
**begin**

**definition** [*simp*]:  $\text{sup } x \ y = (\text{max } x \ y :: \text{ereal})$

**definition** [*simp*]:  $\text{inf } x \ y = (\text{min } x \ y :: \text{ereal})$

**instance** ⟨proof⟩

**end**

**instantiation** *ereal* :: *complete-lattice*  
**begin**

**definition** *bot* =  $(-\infty :: \text{ereal})$

**definition** *top* =  $(\infty :: \text{ereal})$

**definition** *Sup*  $S = (\text{SOME } x :: \text{ereal}. (\forall y \in S. y \leq x) \wedge (\forall z. (\forall y \in S. y \leq z) \longrightarrow x \leq z))$

**definition** *Inf*  $S = (\text{SOME } x :: \text{ereal}. (\forall y \in S. x \leq y) \wedge (\forall z. (\forall y \in S. z \leq y) \longrightarrow z \leq x))$

**lemma** *ereal-complete-Sup*:

**fixes**  $S :: \text{ereal set}$

**shows**  $\exists x. (\forall y \in S. y \leq x) \wedge (\forall z. (\forall y \in S. y \leq z) \longrightarrow x \leq z)$   
 ⟨proof⟩

**lemma** *ereal-complete-uminus-eq*:

**fixes**  $S :: \text{ereal set}$

**shows**  $(\forall y \in \text{uminus } S. y \leq x) \wedge (\forall z. (\forall y \in \text{uminus } S. y \leq z) \longrightarrow x \leq z)$   
 $\longleftrightarrow (\forall y \in S. -x \leq y) \wedge (\forall z. (\forall y \in S. z \leq y) \longrightarrow z \leq -x)$

⟨proof⟩

**lemma** *ereal-complete-Inf*:

$\exists x. (\forall y \in S :: \text{ereal set}. x \leq y) \wedge (\forall z. (\forall y \in S. z \leq y) \longrightarrow z \leq x)$

⟨proof⟩

**instance**

*<proof>*

**end**

**instance** *ereal* :: *complete-linorder* *<proof>*

**instance** *ereal* :: *linear-continuum*

*<proof>*

### 30.2.1 Topological space

**instantiation** *ereal* :: *linear-continuum-topology*

**begin**

**definition** *open-ereal* :: *ereal set*  $\Rightarrow$  *bool* **where**

*open-ereal-generated*: *open-ereal* = *generate-topology* (*range lessThan*  $\cup$  *range greaterThan*)

**instance**

*<proof>*

**end**

**lemma** *continuous-on-ereal*[*continuous-intros*]:

**assumes** *f*: *continuous-on s f* **shows** *continuous-on s* ( $\lambda x. \text{ereal } (f x)$ )

*<proof>*

**lemma** *tendsto-ereal*[*tendsto-intros*, *simp*, *intro*]: ( $f \longrightarrow x$ ) *F*  $\Longrightarrow$  ( $(\lambda x. \text{ereal } (f x)) \longrightarrow \text{ereal } x$ ) *F*

*<proof>*

**lemma** *tendsto-uminus-ereal*[*tendsto-intros*, *simp*, *intro*]: ( $f \longrightarrow x$ ) *F*  $\Longrightarrow$  ( $(\lambda x. - f x :: \text{ereal}) \longrightarrow - x$ ) *F*

*<proof>*

**lemma** *at-infty-ereal-eq-at-top*: *at*  $\infty$  = *filtermap ereal at-top*

*<proof>*

**lemma** *ereal-Lim-uminus*: ( $f \longrightarrow f0$ ) *net*  $\longleftrightarrow$  ( $(\lambda x. - f x :: \text{ereal}) \longrightarrow - f0$ ) *net*

*<proof>*

**lemma** *ereal-divide-less-iff*:  $0 < (c :: \text{ereal}) \Longrightarrow c < \infty \Longrightarrow a / c < b \longleftrightarrow a < b * c$

*<proof>*

**lemma** *ereal-less-divide-iff*:  $0 < (c :: \text{ereal}) \Longrightarrow c < \infty \Longrightarrow a < b / c \longleftrightarrow a * c < b$

*<proof>*

**lemma** *tendsto-cmult-ereal*[*tendsto-intros, simp, intro*]:

**assumes**  $c: |c| \neq \infty$  **and**  $f: (f \longrightarrow x) F$  **shows**  $((\lambda x. c * f x :: \text{ereal}) \longrightarrow c * x) F$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-cmult-ereal-not-0*[*tendsto-intros, simp, intro*]:

**assumes**  $x \neq 0$  **and**  $f: (f \longrightarrow x) F$  **shows**  $((\lambda x. c * f x :: \text{ereal}) \longrightarrow c * x) F$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-cadd-ereal*[*tendsto-intros, simp, intro*]:

**assumes**  $c: y \neq -\infty$   $x \neq -\infty$  **and**  $f: (f \longrightarrow x) F$  **shows**  $((\lambda x. f x + y :: \text{ereal}) \longrightarrow x + y) F$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-add-left-ereal*[*tendsto-intros, simp, intro*]:

**assumes**  $c: |y| \neq \infty$  **and**  $f: (f \longrightarrow x) F$  **shows**  $((\lambda x. f x + y :: \text{ereal}) \longrightarrow x + y) F$   
 $\langle \text{proof} \rangle$

**lemma** *continuous-at-ereal*[*continuous-intros*]: *continuous*  $F f \implies \text{continuous } F (\lambda x. \text{ereal } (f x))$

$\langle \text{proof} \rangle$

**lemma** *ereal-Sup*:

**assumes**  $*$ :  $|SUP a:A. \text{ereal } a| \neq \infty$   
**shows**  $\text{ereal } (Sup A) = (SUP a:A. \text{ereal } a)$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-SUP*:  $|SUP a:A. \text{ereal } (f a)| \neq \infty \implies \text{ereal } (Sup a:A. f a) = (SUP a:A. \text{ereal } (f a))$

$\langle \text{proof} \rangle$

**lemma** *ereal-Inf*:

**assumes**  $*$ :  $|INF a:A. \text{ereal } a| \neq \infty$   
**shows**  $\text{ereal } (Inf A) = (INF a:A. \text{ereal } a)$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-Inf'*:

**assumes**  $*$ : *bdd-below*  $A$   $A \neq \{\}$   
**shows**  $\text{ereal } (Inf A) = (INF a:A. \text{ereal } a)$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-INF*:  $|INF a:A. \text{ereal } (f a)| \neq \infty \implies \text{ereal } (Inf a:A. f a) = (INF a:A. \text{ereal } (f a))$

$\langle \text{proof} \rangle$

**lemma** *ereal-Sup-uminus-image-eq*:  $Sup (\text{uminus } 'S :: \text{ereal set}) = - Inf S$

*<proof>*

**lemma** *ereal-SUP-uminus-eq*:

**fixes**  $f :: 'a \Rightarrow \text{ereal}$

**shows**  $(\text{SUP } x:S. \text{uminus } (f x)) = - (\text{INF } x:S. f x)$

*<proof>*

**lemma** *ereal-inj-on-uminus*[*intro, simp*]: *inj-on uminus* ( $A :: \text{ereal set}$ )

*<proof>*

**lemma** *ereal-Inf-uminus-image-eq*:  $\text{Inf } (\text{uminus } ` S :: \text{ereal set}) = - \text{Sup } S$

*<proof>*

**lemma** *ereal-INF-uminus-eq*:

**fixes**  $f :: 'a \Rightarrow \text{ereal}$

**shows**  $(\text{INF } x:S. - f x) = - (\text{SUP } x:S. f x)$

*<proof>*

**lemma** *ereal-SUP-uminus*:

**fixes**  $f :: 'a \Rightarrow \text{ereal}$

**shows**  $(\text{SUP } i : R. - f i) = - (\text{INF } i : R. f i)$

*<proof>*

**lemma** *ereal-SUP-not-infty*:

**fixes**  $f :: - \Rightarrow \text{ereal}$

**shows**  $A \neq \{\} \implies l \neq -\infty \implies u \neq \infty \implies \forall a \in A. l \leq f a \wedge f a \leq u \implies |\text{SUPRENUM } A f| \neq \infty$

*<proof>*

**lemma** *ereal-INF-not-infty*:

**fixes**  $f :: - \Rightarrow \text{ereal}$

**shows**  $A \neq \{\} \implies l \neq -\infty \implies u \neq \infty \implies \forall a \in A. l \leq f a \wedge f a \leq u \implies |\text{INFIMUM } A f| \neq \infty$

*<proof>*

**lemma** *ereal-image-uminus-shift*:

**fixes**  $X Y :: \text{ereal set}$

**shows**  $\text{uminus } ` X = Y \iff X = \text{uminus } ` Y$

*<proof>*

**lemma** *Sup-eq-MInfty*:

**fixes**  $S :: \text{ereal set}$

**shows**  $\text{Sup } S = -\infty \iff S = \{\} \vee S = \{-\infty\}$

*<proof>*

**lemma** *Inf-eq-PInfty*:

**fixes**  $S :: \text{ereal set}$

**shows**  $\text{Inf } S = \infty \iff S = \{\} \vee S = \{\infty\}$

*<proof>*



**lemma** *Inf-eq-MInfty*:

**fixes**  $S :: \text{ereal set}$

**shows**  $-\infty \in S \implies \text{Inf } S = -\infty$

*<proof>*

**lemma** *Sup-eq-PInfty*:

**fixes**  $S :: \text{ereal set}$

**shows**  $\infty \in S \implies \text{Sup } S = \infty$

*<proof>*

**lemma** *not-MInfty-nonneg[simp]*:  $0 \leq (x :: \text{ereal}) \implies x \neq -\infty$

*<proof>*

**lemma** *Sup-ereal-close*:

**fixes**  $e :: \text{ereal}$

**assumes**  $0 < e$

**and**  $S: |\text{Sup } S| \neq \infty \ S \neq \{\}$

**shows**  $\exists x \in S. \text{Sup } S - e < x$

*<proof>*

**lemma** *Inf-ereal-close*:

**fixes**  $e :: \text{ereal}$

**assumes**  $|\text{Inf } X| \neq \infty$

**and**  $0 < e$

**shows**  $\exists x \in X. x < \text{Inf } X + e$

*<proof>*

**lemma** *SUP-PInfty*:

$(\bigwedge n :: \text{nat}. \exists i \in A. \text{ereal } (\text{real } n) \leq f i) \implies (\text{SUP } i:A. f i :: \text{ereal}) = \infty$

*<proof>*

**lemma** *SUP-nat-Infty*:  $(\text{SUP } i :: \text{nat}. \text{ereal } (\text{real } i)) = \infty$

*<proof>*

**lemma** *SUP-ereal-add-left*:

**assumes**  $I \neq \{\}$   $c \neq -\infty$

**shows**  $(\text{SUP } i:I. f i + c :: \text{ereal}) = (\text{SUP } i:I. f i) + c$

*<proof>*

**lemma** *SUP-ereal-add-right*:

**fixes**  $c :: \text{ereal}$

**shows**  $I \neq \{\} \implies c \neq -\infty \implies (\text{SUP } i:I. c + f i) = c + (\text{SUP } i:I. f i)$

*<proof>*

**lemma** *SUP-ereal-minus-right*:

**assumes**  $I \neq \{\}$   $c \neq -\infty$

**shows**  $(\text{SUP } i:I. c - f i :: \text{ereal}) = c - (\text{INF } i:I. f i)$

*<proof>*

**lemma** *SUP-ereal-minus-left*:

**assumes**  $I \neq \{\}$   $c \neq \infty$

**shows**  $(\text{SUP } i:I. f\ i - c :: \text{ereal}) = (\text{SUP } i:I. f\ i) - c$

*<proof>*

**lemma** *INF-ereal-minus-right*:

**assumes**  $I \neq \{\}$  **and**  $|c| \neq \infty$

**shows**  $(\text{INF } i:I. c - f\ i) = c - (\text{SUP } i:I. f\ i :: \text{ereal})$

*<proof>*

**lemma** *SUP-ereal-le-addI*:

**fixes**  $f :: 'i \Rightarrow \text{ereal}$

**assumes**  $\bigwedge i. f\ i + y \leq z$  **and**  $y \neq -\infty$

**shows**  $\text{SUPREMUM UNIV } f + y \leq z$

*<proof>*

**lemma** *SUP-combine*:

**fixes**  $f :: 'a :: \text{semilattice-sup} \Rightarrow 'a :: \text{semilattice-sup} \Rightarrow 'b :: \text{complete-lattice}$

**assumes** *mono*:  $\bigwedge a\ b\ c\ d. a \leq b \implies c \leq d \implies f\ a\ c \leq f\ b\ d$

**shows**  $(\text{SUP } i:\text{UNIV}. \text{SUP } j:\text{UNIV}. f\ i\ j) = (\text{SUP } i. f\ i\ i)$

*<proof>*

**lemma** *SUP-ereal-add*:

**fixes**  $f\ g :: \text{nat} \Rightarrow \text{ereal}$

**assumes** *inc*:  $\text{incseq } f\ \text{incseq } g$

**and** *pos*:  $\bigwedge i. f\ i \neq -\infty \bigwedge i. g\ i \neq -\infty$

**shows**  $(\text{SUP } i. f\ i + g\ i) = \text{SUPREMUM UNIV } f + \text{SUPREMUM UNIV } g$

*<proof>*

**lemma** *INF-eq-minf*:  $(\text{INF } i:I. f\ i :: \text{ereal}) \neq -\infty \iff (\exists b > -\infty. \forall i \in I. b \leq f\ i)$

*<proof>*

**lemma** *INF-ereal-add-left*:

**assumes**  $I \neq \{\}$   $c \neq -\infty \bigwedge x. x \in I \implies 0 \leq f\ x$

**shows**  $(\text{INF } i:I. f\ i + c :: \text{ereal}) = (\text{INF } i:I. f\ i) + c$

*<proof>*

**lemma** *INF-ereal-add-right*:

**assumes**  $I \neq \{\}$   $c \neq -\infty \bigwedge x. x \in I \implies 0 \leq f\ x$

**shows**  $(\text{INF } i:I. c + f\ i :: \text{ereal}) = c + (\text{INF } i:I. f\ i)$

*<proof>*

**lemma** *INF-ereal-add-directed*:

**fixes**  $f\ g :: 'a \Rightarrow \text{ereal}$

**assumes** *nonneg*:  $\bigwedge i. i \in I \implies 0 \leq f\ i \bigwedge i. i \in I \implies 0 \leq g\ i$

**assumes** *directed*:  $\bigwedge i\ j. i \in I \implies j \in I \implies \exists k \in I. f\ i + g\ j \geq f\ k + g\ k$

**shows**  $(\text{INF } i:I. f\ i + g\ i) = (\text{INF } i:I. f\ i) + (\text{INF } i:I. g\ i)$

*<proof>*

**lemma** *INF-ereal-add:*

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\text{decseq } f \text{ decseq } g$   
**and**  $\text{fin}: \bigwedge i. f \ i \neq \infty \ \bigwedge i. g \ i \neq \infty$   
**shows**  $(\text{INF } i. f \ i + g \ i) = \text{INFIMUM UNIV } f + \text{INFIMUM UNIV } g$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-ereal-add-pos:*

**fixes**  $f \ g :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\text{inc}: \text{incseq } f \ \text{incseq } g$   
**and**  $\text{pos}: \bigwedge i. 0 \leq f \ i \ \bigwedge i. 0 \leq g \ i$   
**shows**  $(\text{SUP } i. f \ i + g \ i) = \text{SUPREMUM UNIV } f + \text{SUPREMUM UNIV } g$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-ereal-sum:*

**fixes**  $f \ g :: 'a \Rightarrow \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge n. n \in A \implies \text{incseq } (f \ n)$   
**and**  $\text{pos}: \bigwedge n \ i. n \in A \implies 0 \leq f \ n \ i$   
**shows**  $(\text{SUP } i. \sum_{n \in A}. f \ n \ i) = (\sum_{n \in A}. \text{SUPREMUM UNIV } (f \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-ereal-mult-left:*

**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**assumes**  $I \neq \{\}$   
**assumes**  $f: \bigwedge i. i \in I \implies 0 \leq f \ i$  **and**  $c: 0 \leq c$   
**shows**  $(\text{SUP } i:I. c * f \ i) = c * (\text{SUP } i:I. f \ i)$   
 $\langle \text{proof} \rangle$

**lemma** *countable-approach:*

**fixes**  $x :: \text{ereal}$   
**assumes**  $x \neq -\infty$   
**shows**  $\exists f. \text{incseq } f \ \wedge (\forall i::\text{nat}. f \ i < x) \ \wedge (f \ \longrightarrow \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-countable-SUP:*

**assumes**  $A \neq \{\}$   
**shows**  $\exists f::\text{nat} \Rightarrow \text{ereal}. \text{incseq } f \ \wedge \text{range } f \subseteq A \ \wedge \text{Sup } A = (\text{SUP } i. f \ i)$   
 $\langle \text{proof} \rangle$

**lemma** *Inf-countable-INF:*

**assumes**  $A \neq \{\}$  **shows**  $\exists f::\text{nat} \Rightarrow \text{ereal}. \text{decseq } f \ \wedge \text{range } f \subseteq A \ \wedge \text{Inf } A = (\text{INF } i. f \ i)$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-countable-SUP:*

$A \neq \{\} \implies \exists f::\text{nat} \Rightarrow \text{ereal}. \text{range } f \subseteq g'A \ \wedge \text{SUPREMUM } A \ g = \text{SUPREMUM UNIV } f$   
 $\langle \text{proof} \rangle$

### 30.3 Relation to *enat*

**definition** *ereal-of-enat*  $n = (\text{case } n \text{ of } \text{enat } n \Rightarrow \text{ereal } (\text{real } n) \mid \infty \Rightarrow \infty)$

**declare**  $[[\text{coercion } \text{ereal-of-enat} :: \text{enat} \Rightarrow \text{ereal}]]$

**declare**  $[[\text{coercion } (\lambda n. \text{ereal } (\text{real } n)) :: \text{nat} \Rightarrow \text{ereal}]]$

**lemma** *ereal-of-enat-simps*[*simp*]:

*ereal-of-enat* (*enat*  $n$ ) = *ereal*  $n$

*ereal-of-enat*  $\infty = \infty$

*<proof>*

**lemma** *ereal-of-enat-le-iff*[*simp*]: *ereal-of-enat*  $m \leq \text{ereal-of-enat } n \longleftrightarrow m \leq n$

*<proof>*

**lemma** *ereal-of-enat-less-iff*[*simp*]: *ereal-of-enat*  $m < \text{ereal-of-enat } n \longleftrightarrow m < n$

*<proof>*

**lemma** *numeral-le-ereal-of-enat-iff*[*simp*]: *numeral*  $m \leq \text{ereal-of-enat } n \longleftrightarrow \text{numeral } m \leq n$

*<proof>*

**lemma** *numeral-less-ereal-of-enat-iff*[*simp*]: *numeral*  $m < \text{ereal-of-enat } n \longleftrightarrow \text{numeral } m < n$

*<proof>*

**lemma** *ereal-of-enat-ge-zero-cancel-iff*[*simp*]:  $0 \leq \text{ereal-of-enat } n \longleftrightarrow 0 \leq n$

*<proof>*

**lemma** *ereal-of-enat-gt-zero-cancel-iff*[*simp*]:  $0 < \text{ereal-of-enat } n \longleftrightarrow 0 < n$

*<proof>*

**lemma** *ereal-of-enat-zero*[*simp*]: *ereal-of-enat*  $0 = 0$

*<proof>*

**lemma** *ereal-of-enat-inf*[*simp*]: *ereal-of-enat*  $n = \infty \longleftrightarrow n = \infty$

*<proof>*

**lemma** *ereal-of-enat-add*: *ereal-of-enat* ( $m + n$ ) = *ereal-of-enat*  $m + \text{ereal-of-enat } n$

*<proof>*

**lemma** *ereal-of-enat-sub*:

**assumes**  $n \leq m$

**shows** *ereal-of-enat* ( $m - n$ ) = *ereal-of-enat*  $m - \text{ereal-of-enat } n$

*<proof>*

**lemma** *ereal-of-enat-mult*:

*ereal-of-enat* ( $m * n$ ) = *ereal-of-enat*  $m * \text{ereal-of-enat } n$

*<proof>*

**lemmas** *ereal-of-enat-pushin* = *ereal-of-enat-add* *ereal-of-enat-sub* *ereal-of-enat-mult*

**lemmas** *ereal-of-enat-pushout* = *ereal-of-enat-pushin*[*symmetric*]

**lemma** *ereal-of-enat-nonneg*: *ereal-of-enat*  $n \geq 0$

*<proof>*

**lemma** *ereal-of-enat-Sup*:

**assumes**  $A \neq \{\}$  **shows** *ereal-of-enat* (*Sup*  $A$ ) = (*SUP*  $a : A. *ereal-of-enat*  $a$ )$

*<proof>*

**lemma** *ereal-of-enat-SUP*:

$A \neq \{\} \implies$  *ereal-of-enat* (*SUP*  $a:A.$   $f$   $a$ ) = (*SUP*  $a : A.$  *ereal-of-enat* ( $f$   $a$ ))

*<proof>*

### 30.4 Limits on *ereal*

**lemma** *open-PInfty*: *open*  $A \implies \infty \in A \implies (\exists x. \{ereal\ x <..\} \subseteq A)$

*<proof>*

**lemma** *open-MInfty*: *open*  $A \implies -\infty \in A \implies (\exists x. \{..<ereal\ x\} \subseteq A)$

*<proof>*

**lemma** *open-ereal-vimage*: *open*  $S \implies$  *open* (*ereal*  $-`$   $S$ )

*<proof>*

**lemma** *open-ereal*: *open*  $S \implies$  *open* (*ereal*  $`$   $S$ )

*<proof>*

**lemma** *eventually-finite*:

**fixes**  $x ::$  *ereal*

**assumes**  $|x| \neq \infty$  ( $f \longrightarrow x$ )  $F$

**shows** *eventually* ( $\lambda x. |f\ x| \neq \infty$ )  $F$

*<proof>*

**lemma** *open-ereal-def*:

*open*  $A \longleftrightarrow$  *open* (*ereal*  $-`$   $A$ )  $\wedge$  ( $\infty \in A \longrightarrow (\exists x. \{ereal\ x <..\} \subseteq A)$ )  $\wedge$  ( $-\infty \in A \longrightarrow (\exists x. \{..<ereal\ x\} \subseteq A)$ )

(**is** *open*  $A \longleftrightarrow$  *?rhs*)

*<proof>*

**lemma** *open-PInfty2*:

**assumes** *open*  $A$

**and**  $\infty \in A$

**obtains**  $x$  **where**  $\{ereal\ x <..\} \subseteq A$

*<proof>*

**lemma** *open-MInfty2*:

**assumes** *open A*  
**and**  $-\infty \in A$   
**obtains**  $x$  **where**  $\{..<ereal\ x\} \subseteq A$   
 $\langle proof \rangle$

**lemma** *ereal-openE*:

**assumes** *open A*  
**obtains**  $x\ y$  **where** *open (ereal - ' A)*  
**and**  $\infty \in A \implies \{ereal\ x<..\} \subseteq A$   
**and**  $-\infty \in A \implies \{..<ereal\ y\} \subseteq A$   
 $\langle proof \rangle$

**lemmas** *open-ereal-lessThan = open-lessThan[where 'a=ereal]*

**lemmas** *open-ereal-greaterThan = open-greaterThan[where 'a=ereal]*

**lemmas** *ereal-open-greaterThanLessThan = open-greaterThanLessThan[where 'a=ereal]*

**lemmas** *closed-ereal-atLeast = closed-atLeast[where 'a=ereal]*

**lemmas** *closed-ereal-atMost = closed-atMost[where 'a=ereal]*

**lemmas** *closed-ereal-atLeastAtMost = closed-atLeastAtMost[where 'a=ereal]*

**lemmas** *closed-ereal-singleton = closed-singleton[where 'a=ereal]*

**lemma** *ereal-open-cont-interval*:

**fixes**  $S :: \text{ereal set}$   
**assumes** *open S*  
**and**  $x \in S$   
**and**  $|x| \neq \infty$   
**obtains**  $e$  **where**  $e > 0$  **and**  $\{x-e <..< x+e\} \subseteq S$   
 $\langle proof \rangle$

**lemma** *ereal-open-cont-interval2*:

**fixes**  $S :: \text{ereal set}$   
**assumes** *open S*  
**and**  $x \in S$   
**and**  $x: |x| \neq \infty$   
**obtains**  $a\ b$  **where**  $a < x$  **and**  $x < b$  **and**  $\{a <..< b\} \subseteq S$   
 $\langle proof \rangle$

### 30.4.1 Convergent sequences

**lemma** *lim-real-of-ereal[simp]*:

**assumes**  $lim: (f \longrightarrow \text{ereal } x)$  *net*  
**shows**  $((\lambda x. \text{real-of-ereal } (f\ x)) \longrightarrow x)$  *net*  
 $\langle proof \rangle$

**lemma** *lim-ereal[simp]*:  $((\lambda n. \text{ereal } (f\ n)) \longrightarrow \text{ereal } x)$  *net*  $\longleftrightarrow (f \longrightarrow x)$  *net*

$\langle proof \rangle$

**lemma** *convergent-real-imp-convergent-ereal*:

**assumes** *convergent a*

**shows** *convergent*  $(\lambda n. \text{ereal } (a \ n))$  **and**  $\text{lim } (\lambda n. \text{ereal } (a \ n)) = \text{ereal } (\text{lim } a)$   
 ⟨proof⟩

**lemma** *tendsto-PInfy*:  $(f \longrightarrow \infty) F \longleftrightarrow (\forall r. \text{eventually } (\lambda x. \text{ereal } r < f \ x) F)$   
 ⟨proof⟩

**lemma** *tendsto-PInfy'*:  $(f \longrightarrow \infty) F = (\forall r > c. \text{eventually } (\lambda x. \text{ereal } r < f \ x) F)$   
 ⟨proof⟩

**lemma** *tendsto-PInfy-eq-at-top*:  
 $((\lambda z. \text{ereal } (f \ z)) \longrightarrow \infty) F \longleftrightarrow (\text{LIM } z \ F. f \ z \ :> \ \text{at-top})$   
 ⟨proof⟩

**lemma** *tendsto-MInfy*:  $(f \longrightarrow -\infty) F \longleftrightarrow (\forall r. \text{eventually } (\lambda x. f \ x < \text{ereal } r) F)$   
 ⟨proof⟩

**lemma** *tendsto-MInfy'*:  $(f \longrightarrow -\infty) F = (\forall r < c. \text{eventually } (\lambda x. \text{ereal } r > f \ x) F)$   
 ⟨proof⟩

**lemma** *Lim-PInfy*:  $f \longrightarrow \infty \longleftrightarrow (\forall B. \exists N. \forall n \geq N. f \ n \geq \text{ereal } B)$   
 ⟨proof⟩

**lemma** *Lim-MInfy*:  $f \longrightarrow -\infty \longleftrightarrow (\forall B. \exists N. \forall n \geq N. \text{ereal } B \geq f \ n)$   
 ⟨proof⟩

**lemma** *Lim-bounded-PInfy*:  $f \longrightarrow l \implies (\bigwedge n. f \ n \leq \text{ereal } B) \implies l \neq \infty$   
 ⟨proof⟩

**lemma** *Lim-bounded-MInfy*:  $f \longrightarrow l \implies (\bigwedge n. \text{ereal } B \leq f \ n) \implies l \neq -\infty$   
 ⟨proof⟩

**lemma** *tendsto-zero-erealI*:  
**assumes**  $\bigwedge e. e > 0 \implies \text{eventually } (\lambda x. |f \ x| < \text{ereal } e) F$   
**shows**  $(f \longrightarrow 0) F$   
 ⟨proof⟩

**lemma** *tendsto-explicit*:  
 $f \longrightarrow f0 \longleftrightarrow (\forall S. \text{open } S \longrightarrow f0 \in S \longrightarrow (\exists N. \forall n \geq N. f \ n \in S))$   
 ⟨proof⟩

**lemma** *Lim-bounded-PInfy2*:  $f \longrightarrow l \implies \forall n \geq N. f \ n \leq \text{ereal } B \implies l \neq \infty$   
 ⟨proof⟩

**lemma** *Lim-bounded-ereal*:  $f \longrightarrow (l :: 'a :: \text{linorder-topology}) \implies \forall n \geq M. f \ n \leq C \implies l \leq C$   
 ⟨proof⟩

**lemma** *Lim-bounded2-ereal*:

**assumes**  $lim:f \longrightarrow (l :: 'a::linorder-topology)$   
**and**  $ge: \forall n \geq N. f n \geq C$   
**shows**  $l \geq C$   
 $\langle proof \rangle$

**lemma** *real-of-ereal-mult[simp]*:

**fixes**  $a b :: ereal$   
**shows**  $real-of-ereal (a * b) = real-of-ereal a * real-of-ereal b$   
 $\langle proof \rangle$

**lemma** *real-of-ereal-eq-0*:

**fixes**  $x :: ereal$   
**shows**  $real-of-ereal x = 0 \longleftrightarrow x = \infty \vee x = -\infty \vee x = 0$   
 $\langle proof \rangle$

**lemma** *tendsto-ereal-realD*:

**fixes**  $f :: 'a \Rightarrow ereal$   
**assumes**  $x \neq 0$   
**and**  $tendsto: ((\lambda x. ereal (real-of-ereal (f x))) \longrightarrow x) net$   
**shows**  $(f \longrightarrow x) net$   
 $\langle proof \rangle$

**lemma** *tendsto-ereal-realI*:

**fixes**  $f :: 'a \Rightarrow ereal$   
**assumes**  $x: |x| \neq \infty$  **and**  $tendsto: (f \longrightarrow x) net$   
**shows**  $((\lambda x. ereal (real-of-ereal (f x))) \longrightarrow x) net$   
 $\langle proof \rangle$

**lemma** *ereal-mult-cancel-left*:

**fixes**  $a b c :: ereal$   
**shows**  $a * b = a * c \longleftrightarrow (|a| = \infty \wedge 0 < b * c) \vee a = 0 \vee b = c$   
 $\langle proof \rangle$

**lemma** *tendsto-add-ereal*:

**fixes**  $x y :: ereal$   
**assumes**  $x: |x| \neq \infty$  **and**  $y: |y| \neq \infty$   
**assumes**  $f: (f \longrightarrow x) F$  **and**  $g: (g \longrightarrow y) F$   
**shows**  $((\lambda x. f x + g x) \longrightarrow x + y) F$   
 $\langle proof \rangle$

**lemma** *tendsto-add-ereal-nonneg*:

**fixes**  $x y :: ereal$   
**assumes**  $x \neq -\infty$   $y \neq -\infty$   $(f \longrightarrow x) F$   $(g \longrightarrow y) F$   
**shows**  $((\lambda x. f x + g x) \longrightarrow x + y) F$   
 $\langle proof \rangle$

**lemma** *ereal-inj-affinity*:



**fixes**  $m\ t :: \text{ereal}$   
**assumes**  $|m| \neq \infty$   
**and**  $m \neq 0$   
**and**  $|t| \neq \infty$   
**shows**  $\text{inj-on } (\lambda x. m * x + t) A$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-PInfty-eq-plus[simp]}$ :  
**fixes**  $a\ b :: \text{ereal}$   
**shows**  $\infty = a + b \longleftrightarrow a = \infty \vee b = \infty$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-MInfty-eq-plus[simp]}$ :  
**fixes**  $a\ b :: \text{ereal}$   
**shows**  $-\infty = a + b \longleftrightarrow (a = -\infty \wedge b \neq \infty) \vee (b = -\infty \wedge a \neq \infty)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-less-divide-pos}$ :  
**fixes**  $x\ y :: \text{ereal}$   
**shows**  $x > 0 \implies x \neq \infty \implies y < z / x \longleftrightarrow x * y < z$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-divide-less-pos}$ :  
**fixes**  $x\ y\ z :: \text{ereal}$   
**shows**  $x > 0 \implies x \neq \infty \implies y / x < z \longleftrightarrow y < x * z$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-divide-eq}$ :  
**fixes**  $a\ b\ c :: \text{ereal}$   
**shows**  $b \neq 0 \implies |b| \neq \infty \implies a / b = c \longleftrightarrow a = b * c$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-inverse-not-MInfty[simp]}$ :  $\text{inverse } (a :: \text{ereal}) \neq -\infty$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-mult-m1[simp]}$ :  $x * \text{ereal } (-1) = -x$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-real'}$ :  
**assumes**  $|x| \neq \infty$   
**shows**  $\text{ereal } (\text{real-of-ereal } x) = x$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{real-ereal-id}$ :  $\text{real-of-ereal} \circ \text{ereal} = \text{id}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{open-image-ereal}$ :  $\text{open}(UNIV - \{ \infty, (-\infty :: \text{ereal}) \})$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-le-distrib*:

**fixes**  $a\ b\ c :: \text{ereal}$   
**shows**  $c * (a + b) \leq c * a + c * b$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-pos-distrib*:

**fixes**  $a\ b\ c :: \text{ereal}$   
**assumes**  $0 \leq c$   
**and**  $c \neq \infty$   
**shows**  $c * (a + b) = c * a + c * b$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-max-mono*:  $(a :: \text{ereal}) \leq b \implies c \leq d \implies \max a\ c \leq \max b\ d$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-max-least*:  $(a :: \text{ereal}) \leq x \implies c \leq x \implies \max a\ c \leq x$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-LimI-finite*:

**fixes**  $x :: \text{ereal}$   
**assumes**  $|x| \neq \infty$   
**and**  $\bigwedge r. 0 < r \implies \exists N. \forall n \geq N. u\ n < x + r \wedge x < u\ n + r$   
**shows**  $u \longrightarrow x$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-obtains-N*:

**assumes**  $f \longrightarrow f0$   
**assumes** *open*  $S$   
**and**  $f0 \in S$   
**obtains**  $N$  **where**  $\forall n \geq N. f\ n \in S$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-LimI-finite-iff*:

**fixes**  $x :: \text{ereal}$   
**assumes**  $|x| \neq \infty$   
**shows**  $u \longrightarrow x \iff (\forall r. 0 < r \longrightarrow (\exists N. \forall n \geq N. u\ n < x + r \wedge x < u\ n + r))$   
**(is ?lhs  $\iff$  ?rhs)**  
 $\langle \text{proof} \rangle$

**lemma** *ereal-Limsup-uminus*:

**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $\text{Limsup}\ \text{net}\ (\lambda x. - (f\ x)) = - \text{Liminf}\ \text{net}\ f$   
 $\langle \text{proof} \rangle$

**lemma** *liminf-bounded-iff*:

**fixes**  $x :: \text{nat} \Rightarrow \text{ereal}$   
**shows**  $C \leq \text{liminf}\ x \iff (\forall B < C. \exists N. \forall n \geq N. B < x\ n)$   
**(is ?lhs  $\iff$  ?rhs)**

$\langle proof \rangle$

**lemma** *Liminf-add-le*:

**fixes**  $f g :: - \Rightarrow ereal$

**assumes**  $F: F \neq bot$

**assumes**  $ev: eventually (\lambda x. 0 \leq f x) F eventually (\lambda x. 0 \leq g x) F$

**shows**  $Liminf F f + Liminf F g \leq Liminf F (\lambda x. f x + g x)$

$\langle proof \rangle$

**lemma** *Sup-ereal-mult-right'*:

**assumes**  $nonempty: Y \neq \{\}$

**and**  $x: x \geq 0$

**shows**  $(SUP i:Y. f i) * ereal x = (SUP i:Y. f i * ereal x) \text{ (is ?lhs = ?rhs)}$

$\langle proof \rangle$

**lemma** *Sup-ereal-mult-left'*:

$\llbracket Y \neq \{\}; x \geq 0 \rrbracket \Longrightarrow ereal x * (SUP i:Y. f i) = (SUP i:Y. ereal x * f i)$

$\langle proof \rangle$

**lemma** *sup-continuous-add[order-continuous-intros]*:

**fixes**  $f g :: 'a::complete-lattice \Rightarrow ereal$

**assumes**  $nn: \bigwedge x. 0 \leq f x \bigwedge x. 0 \leq g x$  **and**  $cont: sup\text{-}continuous f sup\text{-}continuous$

$g$

**shows**  $sup\text{-}continuous (\lambda x. f x + g x)$

$\langle proof \rangle$

**lemma** *sup-continuous-mult-right[order-continuous-intros]*:

$0 \leq c \Longrightarrow c < \infty \Longrightarrow sup\text{-}continuous f \Longrightarrow sup\text{-}continuous (\lambda x. f x * c :: ereal)$

$\langle proof \rangle$

**lemma** *sup-continuous-mult-left[order-continuous-intros]*:

$0 \leq c \Longrightarrow c < \infty \Longrightarrow sup\text{-}continuous f \Longrightarrow sup\text{-}continuous (\lambda x. c * f x :: ereal)$

$\langle proof \rangle$

**lemma** *sup-continuous-ereal-of-enat[order-continuous-intros]*:

**assumes**  $f: sup\text{-}continuous f$  **shows**  $sup\text{-}continuous (\lambda x. ereal\text{-}of\text{-}enat (f x))$

$\langle proof \rangle$

### 30.4.2 Sums

**lemma** *sums-ereal-positive*:

**fixes**  $f :: nat \Rightarrow ereal$

**assumes**  $\bigwedge i. 0 \leq f i$

**shows**  $f sums (SUP n. \sum i < n. f i)$

$\langle proof \rangle$

**lemma** *summable-ereal-pos*:

**fixes**  $f :: nat \Rightarrow ereal$

**assumes**  $\bigwedge i. 0 \leq f i$

**shows** *summable*  $f$   
 ⟨*proof*⟩

**lemma** *sums-ereal*:  $(\lambda x. \text{ereal } (f x)) \text{ sums } \text{ereal } x \longleftrightarrow f \text{ sums } x$   
 ⟨*proof*⟩

**lemma** *suminf-ereal-eq-SUP*:  
**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge i. 0 \leq f i$   
**shows**  $(\sum x. f x) = (\text{SUP } n. \sum i < n. f i)$   
 ⟨*proof*⟩

**lemma** *suminf-bound*:  
**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\forall N. (\sum n < N. f n) \leq x$   
**and**  $\text{pos}: \bigwedge n. 0 \leq f n$   
**shows**  $\text{suminf } f \leq x$   
 ⟨*proof*⟩

**lemma** *suminf-bound-add*:  
**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\forall N. (\sum n < N. f n) + y \leq x$   
**and**  $\text{pos}: \bigwedge n. 0 \leq f n$   
**and**  $y \neq -\infty$   
**shows**  $\text{suminf } f + y \leq x$   
 ⟨*proof*⟩

**lemma** *suminf-upper*:  
**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge n. 0 \leq f n$   
**shows**  $(\sum n < N. f n) \leq (\sum n. f n)$   
 ⟨*proof*⟩

**lemma** *suminf-0-le*:  
**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge n. 0 \leq f n$   
**shows**  $0 \leq (\sum n. f n)$   
 ⟨*proof*⟩

**lemma** *suminf-le-pos*:  
**fixes**  $f g :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge N. f N \leq g N$   
**and**  $\bigwedge N. 0 \leq f N$   
**shows**  $\text{suminf } f \leq \text{suminf } g$   
 ⟨*proof*⟩

**lemma** *suminf-half-series-ereal*:  $(\sum n. (1/2 :: \text{ereal}) ^ \text{Suc } n) = 1$   
 ⟨*proof*⟩

**lemma** *suminf-add-ereal*:

**fixes**  $f g :: \text{nat} \Rightarrow \text{ereal}$

**assumes**  $\bigwedge i. 0 \leq f i$

**and**  $\bigwedge i. 0 \leq g i$

**shows**  $(\sum i. f i + g i) = \text{suminf } f + \text{suminf } g$

*<proof>*

**lemma** *suminf-cmult-ereal*:

**fixes**  $f g :: \text{nat} \Rightarrow \text{ereal}$

**assumes**  $\bigwedge i. 0 \leq f i$

**and**  $0 \leq a$

**shows**  $(\sum i. a * f i) = a * \text{suminf } f$

*<proof>*

**lemma** *suminf-PInf*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$

**assumes**  $\bigwedge i. 0 \leq f i$

**and**  $\text{suminf } f \neq \infty$

**shows**  $f i \neq \infty$

*<proof>*

**lemma** *suminf-PInf-fun*:

**assumes**  $\bigwedge i. 0 \leq f i$

**and**  $\text{suminf } f \neq \infty$

**shows**  $\exists f'. f = (\lambda x. \text{ereal } (f' x))$

*<proof>*

**lemma** *summable-ereal*:

**assumes**  $\bigwedge i. 0 \leq f i$

**and**  $(\sum i. \text{ereal } (f i)) \neq \infty$

**shows** *summable*  $f$

*<proof>*

**lemma** *suminf-ereal*:

**assumes**  $\bigwedge i. 0 \leq f i$

**and**  $(\sum i. \text{ereal } (f i)) \neq \infty$

**shows**  $(\sum i. \text{ereal } (f i)) = \text{ereal } (\text{suminf } f)$

*<proof>*

**lemma** *suminf-ereal-minus*:

**fixes**  $f g :: \text{nat} \Rightarrow \text{ereal}$

**assumes** *ord*:  $\bigwedge i. g i \leq f i \wedge i. 0 \leq g i$

**and** *fin*:  $\text{suminf } f \neq \infty \wedge \text{suminf } g \neq \infty$

**shows**  $(\sum i. f i - g i) = \text{suminf } f - \text{suminf } g$

*<proof>*

**lemma** *suminf-ereal-PInf [simp]*:  $(\sum x. \infty :: \text{ereal}) = \infty$

*<proof>*

**lemma** *summable-real-of-ereal*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $f: \bigwedge i. 0 \leq f\ i$   
**and**  $fin: (\sum i. f\ i) \neq \infty$   
**shows** *summable*  $(\lambda i. \text{real-of-ereal}\ (f\ i))$   
 $\langle \text{proof} \rangle$

**lemma** *suminf-SUP-eq*:

**fixes**  $f :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge i. \text{incseq}\ (\lambda n. f\ n\ i)$   
**and**  $\bigwedge n\ i. 0 \leq f\ n\ i$   
**shows**  $(\sum i. \text{SUP}\ n. f\ n\ i) = (\text{SUP}\ n. \sum i. f\ n\ i)$   
 $\langle \text{proof} \rangle$

**lemma** *suminf-sum-ereal*:

**fixes**  $f :: - \Rightarrow - \Rightarrow \text{ereal}$   
**assumes** *nonneg*:  $\bigwedge i\ a. a \in A \implies 0 \leq f\ i\ a$   
**shows**  $(\sum i. \sum a \in A. f\ i\ a) = (\sum a \in A. \sum i. f\ i\ a)$   
 $\langle \text{proof} \rangle$

**lemma** *suminf-ereal-eq-0*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes** *nneg*:  $\bigwedge i. 0 \leq f\ i$   
**shows**  $(\sum i. f\ i) = 0 \iff (\forall i. f\ i = 0)$   
 $\langle \text{proof} \rangle$

**lemma** *suminf-ereal-offset-le*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $f: \bigwedge i. 0 \leq f\ i$   
**shows**  $(\sum i. f\ (i + k)) \leq \text{suminf}\ f$   
 $\langle \text{proof} \rangle$

**lemma** *sums-suminf-ereal*:  $f\ \text{sums}\ x \implies (\sum i. \text{ereal}\ (f\ i)) = \text{ereal}\ x$

$\langle \text{proof} \rangle$

**lemma** *suminf-ereal'*: *summable*  $f \implies (\sum i. \text{ereal}\ (f\ i)) = \text{ereal}\ (\sum i. f\ i)$

$\langle \text{proof} \rangle$

**lemma** *suminf-ereal-finite*: *summable*  $f \implies (\sum i. \text{ereal}\ (f\ i)) \neq \infty$

$\langle \text{proof} \rangle$

**lemma** *suminf-ereal-finite-neg*:

**assumes** *summable*  $f$   
**shows**  $(\sum x. \text{ereal}\ (f\ x)) \neq -\infty$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-ereal-add-directed*:

**fixes**  $f\ g :: 'a \Rightarrow \text{ereal}$   
**assumes** *nonneg*:  $\bigwedge i. i \in I \implies 0 \leq f\ i \wedge \bigwedge i. i \in I \implies 0 \leq g\ i$

**assumes** *directed*:  $\bigwedge i j. i \in I \implies j \in I \implies \exists k \in I. f i + g j \leq f k + g k$   
**shows**  $(\text{SUP } i:I. f i + g i) = (\text{SUP } i:I. f i) + (\text{SUP } i:I. g i)$   
 <proof>

**lemma** *SUP-ereal-sum-directed*:

**fixes**  $f g :: 'a \Rightarrow 'b \Rightarrow \text{ereal}$   
**assumes**  $I \neq \{\}$   
**assumes** *directed*:  $\bigwedge N i j. N \subseteq A \implies i \in I \implies j \in I \implies \exists k \in I. \forall n \in N. f n i \leq f n k \wedge f n j \leq f n k$   
**assumes** *nonneg*:  $\bigwedge n i. i \in I \implies n \in A \implies 0 \leq f n i$   
**shows**  $(\text{SUP } i:I. \sum n \in A. f n i) = (\sum n \in A. \text{SUP } i:I. f n i)$   
 <proof>

**lemma** *suminf-SUP-eq-directed*:

**fixes**  $f :: - \Rightarrow \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $I \neq \{\}$   
**assumes** *directed*:  $\bigwedge N i j. i \in I \implies j \in I \implies \text{finite } N \implies \exists k \in I. \forall n \in N. f i n \leq f k n \wedge f j n \leq f k n$   
**assumes** *nonneg*:  $\bigwedge n i. 0 \leq f n i$   
**shows**  $(\sum i. \text{SUP } n:I. f n i) = (\text{SUP } n:I. \sum i. f n i)$   
 <proof>

**lemma** *ereal-dense3*:

**fixes**  $x y :: \text{ereal}$   
**shows**  $x < y \implies \exists r :: \text{rat}. x < \text{real-of-rat } r \wedge \text{real-of-rat } r < y$   
 <proof>

**lemma** *continuous-within-ereal*[*intro, simp*]:  $x \in A \implies \text{continuous (at } x \text{ within } A) \text{ereal}$   
 <proof>

**lemma** *ereal-open-uminus*:

**fixes**  $S :: \text{ereal set}$   
**assumes** *open*  $S$   
**shows** *open*  $(\text{uminus } ' S)$   
 <proof>

**lemma** *ereal-uminus-complement*:

**fixes**  $S :: \text{ereal set}$   
**shows**  $\text{uminus } ' (- S) = - \text{uminus } ' S$   
 <proof>

**lemma** *ereal-closed-uminus*:

**fixes**  $S :: \text{ereal set}$   
**assumes** *closed*  $S$   
**shows** *closed*  $(\text{uminus } ' S)$   
 <proof>

**lemma** *ereal-open-affinity-pos*:

**fixes**  $S :: \text{ereal set}$   
**assumes**  $\text{open } S$   
**and**  $m: m \neq \infty \ 0 < m$   
**and**  $t: |t| \neq \infty$   
**shows**  $\text{open } ((\lambda x. m * x + t) ' S)$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-open-affinity*:  
**fixes**  $S :: \text{ereal set}$   
**assumes**  $\text{open } S$   
**and**  $m: |m| \neq \infty \ m \neq 0$   
**and**  $t: |t| \neq \infty$   
**shows**  $\text{open } ((\lambda x. m * x + t) ' S)$   
 $\langle \text{proof} \rangle$

**lemma** *open-uminus-iff*:  
**fixes**  $S :: \text{ereal set}$   
**shows**  $\text{open } (\text{uminus } ' S) \longleftrightarrow \text{open } S$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-Liminf-uminus*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $\text{Liminf } \text{net } (\lambda x. - (f x)) = - \text{Limsup } \text{net } f$   
 $\langle \text{proof} \rangle$

**lemma** *Liminf-PInfty*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**assumes**  $\neg \text{trivial-limit } \text{net}$   
**shows**  $(f \longrightarrow \infty) \text{net} \longleftrightarrow \text{Liminf } \text{net } f = \infty$   
 $\langle \text{proof} \rangle$

**lemma** *Limsup-MInfty*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**assumes**  $\neg \text{trivial-limit } \text{net}$   
**shows**  $(f \longrightarrow -\infty) \text{net} \longleftrightarrow \text{Limsup } \text{net } f = -\infty$   
 $\langle \text{proof} \rangle$

**lemma** *convergent-ereal*: — RENAME  
**fixes**  $X :: \text{nat} \Rightarrow 'a :: \{\text{complete-linorder}, \text{linorder-topology}\}$   
**shows**  $\text{convergent } X \longleftrightarrow \text{lmsup } X = \text{liminf } X$   
 $\langle \text{proof} \rangle$

**lemma** *lmsup-le-liminf-real*:  
**fixes**  $X :: \text{nat} \Rightarrow \text{real}$  **and**  $L :: \text{real}$   
**assumes**  $1: \text{lmsup } X \leq L$  **and**  $2: L \leq \text{liminf } X$   
**shows**  $X \longrightarrow L$   
 $\langle \text{proof} \rangle$

**lemma** *liminf-PInfty*:



**fixes**  $X :: \text{nat} \Rightarrow \text{ereal}$   
**shows**  $X \longrightarrow \infty \longleftrightarrow \text{liminf } X = \infty$   
 $\langle \text{proof} \rangle$

**lemma** *limsup-MInfy*:  
**fixes**  $X :: \text{nat} \Rightarrow \text{ereal}$   
**shows**  $X \longrightarrow -\infty \longleftrightarrow \text{limsup } X = -\infty$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-lim-mono*:  
**fixes**  $X Y :: \text{nat} \Rightarrow 'a::\text{linorder-topology}$   
**assumes**  $\bigwedge n. N \leq n \implies X n \leq Y n$   
**and**  $X \longrightarrow x$   
**and**  $Y \longrightarrow y$   
**shows**  $x \leq y$   
 $\langle \text{proof} \rangle$

**lemma** *incseq-le-ereal*:  
**fixes**  $X :: \text{nat} \Rightarrow 'a::\text{linorder-topology}$   
**assumes**  $\text{inc}: \text{incseq } X$   
**and**  $\text{lim}: X \longrightarrow L$   
**shows**  $X N \leq L$   
 $\langle \text{proof} \rangle$

**lemma** *decseq-ge-ereal*:  
**assumes**  $\text{dec}: \text{decseq } X$   
**and**  $\text{lim}: X \longrightarrow (L::'a::\text{linorder-topology})$   
**shows**  $X N \geq L$   
 $\langle \text{proof} \rangle$

**lemma** *bounded-abs*:  
**fixes**  $a :: \text{real}$   
**assumes**  $a \leq x$   
**and**  $x \leq b$   
**shows**  $|x| \leq \max |a| |b|$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-Sup-lim*:  
**fixes**  $a :: 'a::\{\text{complete-linorder}, \text{linorder-topology}\}$   
**assumes**  $\bigwedge n. b n \in s$   
**and**  $b \longrightarrow a$   
**shows**  $a \leq \text{Sup } s$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-Inf-lim*:  
**fixes**  $a :: 'a::\{\text{complete-linorder}, \text{linorder-topology}\}$   
**assumes**  $\bigwedge n. b n \in s$   
**and**  $b \longrightarrow a$   
**shows**  $\text{Inf } s \leq a$

*<proof>*

**lemma** *SUP-Lim-ereal*:

**fixes**  $X :: \text{nat} \Rightarrow 'a::\{\text{complete-linorder}, \text{linorder-topology}\}$

**assumes**  $\text{inc}: \text{incseq } X$

**and**  $l: X \longrightarrow l$

**shows**  $(\text{SUP } n. X n) = l$

*<proof>*

**lemma** *INF-Lim-ereal*:

**fixes**  $X :: \text{nat} \Rightarrow 'a::\{\text{complete-linorder}, \text{linorder-topology}\}$

**assumes**  $\text{dec}: \text{decseq } X$

**and**  $l: X \longrightarrow l$

**shows**  $(\text{INF } n. X n) = l$

*<proof>*

**lemma** *SUP-eq-LIMSEQ*:

**assumes**  $\text{mono } f$

**shows**  $(\text{SUP } n. \text{ereal } (f n)) = \text{ereal } x \iff f \longrightarrow x$

*<proof>*

**lemma** *liminf-ereal-cminus*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$

**assumes**  $c \neq -\infty$

**shows**  $\text{liminf } (\lambda x. c - f x) = c - \text{limsup } f$

*<proof>*

### 30.4.3 Continuity

**lemma** *continuous-at-of-ereal*:

$|x0 :: \text{ereal}| \neq \infty \implies \text{continuous } (\text{at } x0) \text{ real-of-ereal}$

*<proof>*

**lemma** *nhds-ereal*:  $\text{nhds } (\text{ereal } r) = \text{filtermap } \text{ereal } (\text{nhds } r)$

*<proof>*

**lemma** *at-ereal*:  $\text{at } (\text{ereal } r) = \text{filtermap } \text{ereal } (\text{at } r)$

*<proof>*

**lemma** *at-left-ereal*:  $\text{at-left } (\text{ereal } r) = \text{filtermap } \text{ereal } (\text{at-left } r)$

*<proof>*

**lemma** *at-right-ereal*:  $\text{at-right } (\text{ereal } r) = \text{filtermap } \text{ereal } (\text{at-right } r)$

*<proof>*

**lemma**

**shows** *at-left-PIInf*:  $\text{at-left } \infty = \text{filtermap } \text{ereal } \text{at-top}$

**and** *at-right-MInf*:  $\text{at-right } (-\infty) = \text{filtermap } \text{ereal } \text{at-bot}$

*<proof>*

**lemma** *ereal-tendsto-simps1*:

$((f \circ \text{real-of-ereal}) \longrightarrow y) (\text{at-left } (\text{ereal } x)) \longleftrightarrow (f \longrightarrow y) (\text{at-left } x)$   
 $((f \circ \text{real-of-ereal}) \longrightarrow y) (\text{at-right } (\text{ereal } x)) \longleftrightarrow (f \longrightarrow y) (\text{at-right } x)$   
 $((f \circ \text{real-of-ereal}) \longrightarrow y) (\text{at-left } (\infty::\text{ereal})) \longleftrightarrow (f \longrightarrow y) \text{at-top}$   
 $((f \circ \text{real-of-ereal}) \longrightarrow y) (\text{at-right } (-\infty::\text{ereal})) \longleftrightarrow (f \longrightarrow y) \text{at-bot}$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-tendsto-simps2*:

$((\text{ereal} \circ f) \longrightarrow \text{ereal } a) F \longleftrightarrow (f \longrightarrow a) F$   
 $((\text{ereal} \circ f) \longrightarrow \infty) F \longleftrightarrow (\text{LIM } x F. f x :> \text{at-top})$   
 $((\text{ereal} \circ f) \longrightarrow -\infty) F \longleftrightarrow (\text{LIM } x F. f x :> \text{at-bot})$   
 $\langle \text{proof} \rangle$

**lemma** *inverse-infity-ereal-tendsto-0*:  $\text{inverse } -\infty \rightarrow (0::\text{ereal})$

$\langle \text{proof} \rangle$

**lemma** *inverse-ereal-tendsto-pos*:

**fixes**  $x :: \text{ereal}$  **assumes**  $0 < x$   
**shows**  $\text{inverse } -x \rightarrow \text{inverse } x$

$\langle \text{proof} \rangle$

**lemma** *inverse-ereal-tendsto-at-right-0*:  $(\text{inverse } \longrightarrow \infty) (\text{at-right } (0::\text{ereal}))$

$\langle \text{proof} \rangle$

**lemmas** *ereal-tendsto-simps = ereal-tendsto-simps1 ereal-tendsto-simps2*

**lemma** *continuous-at-iff-ereal*:

**fixes**  $f :: 'a::t2\text{-space} \Rightarrow \text{real}$   
**shows**  $\text{continuous } (\text{at } x0 \text{ within } s) f \longleftrightarrow \text{continuous } (\text{at } x0 \text{ within } s) (\text{ereal} \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *continuous-on-iff-ereal*:

**fixes**  $f :: 'a::t2\text{-space} \Rightarrow \text{real}$   
**assumes**  $\text{open } A$   
**shows**  $\text{continuous-on } A f \longleftrightarrow \text{continuous-on } A (\text{ereal} \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *continuous-on-real*:  $\text{continuous-on } (\text{UNIV} - \{\infty, -\infty::\text{ereal}\}) \text{real-of-ereal}$

$\langle \text{proof} \rangle$

**lemma** *continuous-on-iff-real*:

**fixes**  $f :: 'a::t2\text{-space} \Rightarrow \text{ereal}$   
**assumes**  $*$ :  $\bigwedge x. x \in A \implies |f x| \neq \infty$   
**shows**  $\text{continuous-on } A f \longleftrightarrow \text{continuous-on } A (\text{real-of-ereal} \circ f)$

$\langle \text{proof} \rangle$

**lemma** *continuous-uminus-ereal* [*continuous-intros*]:  $\text{continuous-on } (A :: \text{ereal set})$

*uminus*

$\langle \text{proof} \rangle$

**lemma** *ereal-uminus-atMost* [*simp*]:  $\text{uminus } \{..(a::ereal)\} = \{-a..\}$   
 $\langle \text{proof} \rangle$

**lemma** *continuous-on-inverse-ereal* [*continuous-intros*]:  
 $\text{continuous-on } \{0::ereal ..\} \text{ inverse}$   
 $\langle \text{proof} \rangle$

**lemma** *continuous-inverse-ereal-nonpos*:  $\text{continuous-on } (\{..<0\} :: \text{ereal set}) \text{ inverse}$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-inverse-ereal*:  
**assumes**  $(f \longrightarrow (c :: \text{ereal})) F$   
**assumes** *eventually*  $(\lambda x. f x \geq 0) F$   
**shows**  $((\lambda x. \text{inverse } (f x)) \longrightarrow \text{inverse } c) F$   
 $\langle \text{proof} \rangle$

### 30.4.4 liminf and limsup

**lemma** *Limsup-ereal-mult-right*:  
**assumes**  $F \neq \text{bot } (c::\text{real}) \geq 0$   
**shows**  $\text{Limsup } F (\lambda n. f n * \text{ereal } c) = \text{Limsup } F f * \text{ereal } c$   
 $\langle \text{proof} \rangle$

**lemma** *Liminf-ereal-mult-right*:  
**assumes**  $F \neq \text{bot } (c::\text{real}) \geq 0$   
**shows**  $\text{Liminf } F (\lambda n. f n * \text{ereal } c) = \text{Liminf } F f * \text{ereal } c$   
 $\langle \text{proof} \rangle$

**lemma** *Limsup-ereal-mult-left*:  
**assumes**  $F \neq \text{bot } (c::\text{real}) \geq 0$   
**shows**  $\text{Limsup } F (\lambda n. \text{ereal } c * f n) = \text{ereal } c * \text{Limsup } F f$   
 $\langle \text{proof} \rangle$

**lemma** *limsup-ereal-mult-right*:  
 $(c::\text{real}) \geq 0 \implies \text{limsup } (\lambda n. f n * \text{ereal } c) = \text{limsup } f * \text{ereal } c$   
 $\langle \text{proof} \rangle$

**lemma** *limsup-ereal-mult-left*:  
 $(c::\text{real}) \geq 0 \implies \text{limsup } (\lambda n. \text{ereal } c * f n) = \text{ereal } c * \text{limsup } f$   
 $\langle \text{proof} \rangle$

**lemma** *Limsup-add-ereal-right*:  
 $F \neq \text{bot} \implies \text{abs } c \neq \infty \implies \text{Limsup } F (\lambda n. g n + (c :: \text{ereal})) = \text{Limsup } F g + c$   
 $\langle \text{proof} \rangle$

**lemma** *Limsup-add-ereal-left*:

$F \neq \text{bot} \implies \text{abs } c \neq \infty \implies \text{Limsup } F (\lambda n. (c :: \text{ereal}) + g n) = c + \text{Limsup } F g$   
 ⟨proof⟩

**lemma** *Liminf-add-ereal-right:*

$F \neq \text{bot} \implies \text{abs } c \neq \infty \implies \text{Liminf } F (\lambda n. g n + (c :: \text{ereal})) = \text{Liminf } F g + c$   
 ⟨proof⟩

**lemma** *Liminf-add-ereal-left:*

$F \neq \text{bot} \implies \text{abs } c \neq \infty \implies \text{Liminf } F (\lambda n. (c :: \text{ereal}) + g n) = c + \text{Liminf } F g$   
 ⟨proof⟩

**lemma**

**assumes**  $F \neq \text{bot}$

**assumes** *nonneg: eventually*  $(\lambda x. f x \geq (0 :: \text{ereal})) F$

**shows** *Liminf-inverse-ereal:*  $\text{Liminf } F (\lambda x. \text{inverse } (f x)) = \text{inverse } (\text{Limsup } F f)$

**and** *Limsup-inverse-ereal:*  $\text{Limsup } F (\lambda x. \text{inverse } (f x)) = \text{inverse } (\text{Liminf } F f)$

⟨proof⟩

**lemma** *ereal-diff-le-mono-left:*  $\llbracket x \leq z; 0 \leq y \rrbracket \implies x - y \leq (z :: \text{ereal})$   
 ⟨proof⟩

**lemma** *neg-0-less-iff-less-erea [simp]:*  $0 < - a \longleftrightarrow (a :: \text{ereal}) < 0$   
 ⟨proof⟩

**lemma** *not-inf-ereal:*  $|x| \neq \infty \longleftrightarrow (\exists x'. x = \text{ereal } x')$   
 ⟨proof⟩

**lemma** *neg-PIInf-trans:* **fixes**  $x y :: \text{ereal}$  **shows**  $\llbracket y \neq \infty; x \leq y \rrbracket \implies x \neq \infty$   
 ⟨proof⟩

**lemma** *mult-2-ereal:*  $\text{ereal } 2 * x = x + x$   
 ⟨proof⟩

**lemma** *ereal-diff-le-self:*  $0 \leq y \implies x - y \leq (x :: \text{ereal})$   
 ⟨proof⟩

**lemma** *ereal-le-add-self:*  $0 \leq y \implies x \leq x + (y :: \text{ereal})$   
 ⟨proof⟩

**lemma** *ereal-le-add-self2:*  $0 \leq y \implies x \leq y + (x :: \text{ereal})$   
 ⟨proof⟩

**lemma** *ereal-le-add-mono1:*  $\llbracket x \leq y; 0 \leq (z :: \text{ereal}) \rrbracket \implies x \leq y + z$   
 ⟨proof⟩

**lemma** *ereal-le-add-mono2:*  $\llbracket x \leq z; 0 \leq (y :: \text{ereal}) \rrbracket \implies x \leq y + z$

*<proof>*

**lemma** *ereal-diff-nonpos*:

**fixes**  $a\ b :: \text{ereal}$  **shows**  $\llbracket a \leq b; a = \infty \implies b \neq \infty; a = -\infty \implies b \neq -\infty \rrbracket$   
 $\implies a - b \leq 0$

*<proof>*

**lemma** *minus-ereal-0* [*simp*]:  $x - \text{ereal } 0 = x$

*<proof>*

**lemma** *ereal-diff-eq-0-iff*: **fixes**  $a\ b :: \text{ereal}$

**shows**  $(|a| = \infty \implies |b| \neq \infty) \implies a - b = 0 \longleftrightarrow a = b$

*<proof>*

**lemma** *SUP-ereal-eq-0-iff-nonneg*:

**fixes**  $f :: - \Rightarrow \text{ereal}$  **and**  $A$

**assumes** *nonneg*:  $\forall x \in A. f\ x \geq 0$

**and**  $A:A \neq \{\}$

**shows**  $(\text{SUP } x:A. f\ x) = 0 \longleftrightarrow (\forall x \in A. f\ x = 0)$  (**is** *?lhs*  $\longleftrightarrow$  *?rhs*)

*<proof>*

**lemma** *ereal-divide-le-posI*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $x > 0 \implies z \neq -\infty \implies z \leq x * y \implies z / x \leq y$

*<proof>*

**lemma** *add-diff-eq-ereal*: **fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $x + (y - z) = x + y - z$

*<proof>*

**lemma** *ereal-diff-gr0*:

**fixes**  $a\ b :: \text{ereal}$  **shows**  $a < b \implies 0 < b - a$

*<proof>*

**lemma** *ereal-minus-minus*: **fixes**  $x\ y\ z :: \text{ereal}$  **shows**

$(|y| = \infty \implies |z| \neq \infty) \implies x - (y - z) = x + z - y$

*<proof>*

**lemma** *diff-add-eq-ereal*: **fixes**  $a\ b\ c :: \text{ereal}$  **shows**  $a - b + c = a + c - b$

*<proof>*

**lemma** *diff-diff-commute-ereal*: **fixes**  $x\ y\ z :: \text{ereal}$  **shows**  $x - y - z = x - z - y$

*<proof>*

**lemma** *ereal-diff-eq-MInfty-iff*: **fixes**  $x\ y :: \text{ereal}$  **shows**  $x - y = -\infty \longleftrightarrow x = -\infty \wedge y \neq -\infty \vee y = \infty \wedge |x| \neq \infty$

*<proof>*

**lemma** *ereal-diff-add-inverse*: **fixes**  $x\ y :: \text{ereal}$  **shows**  $|x| \neq \infty \implies x + y - x = y$   
 ⟨*proof*⟩

**lemma** *tendsto-diff-ereal*:  
**fixes**  $x\ y :: \text{ereal}$   
**assumes**  $x: |x| \neq \infty$  **and**  $y: |y| \neq \infty$   
**assumes**  $f: (f \longrightarrow x) F$  **and**  $g: (g \longrightarrow y) F$   
**shows**  $((\lambda x. f\ x - g\ x) \longrightarrow x - y) F$   
 ⟨*proof*⟩

### 30.4.5 Tests for code generator

**value**  $-\infty :: \text{ereal}$   
**value**  $|-\infty| :: \text{ereal}$   
**value**  $4 + 5 / 4 - \text{ereal } 2 :: \text{ereal}$   
**value**  $\text{ereal } 3 < \infty$   
**value**  $\text{real-of-ereal } (\infty :: \text{ereal}) = 0$

**end**

## 31 Indicator Function

**theory** *Indicator-Function*  
**imports** *Complex-Main Disjoint-Sets*  
**begin**

**definition** *indicator*  $S\ x = (\text{if } x \in S \text{ then } 1 \text{ else } 0)$

**lemma** *indicator-simps*[*simp*]:  
 $x \in S \implies \text{indicator } S\ x = 1$   
 $x \notin S \implies \text{indicator } S\ x = 0$   
 ⟨*proof*⟩

**lemma** *indicator-pos-le*[*intro, simp*]:  $(0 :: 'a :: \text{linordered-semidom}) \leq \text{indicator } S\ x$   
**and** *indicator-le-1*[*intro, simp*]:  $\text{indicator } S\ x \leq (1 :: 'a :: \text{linordered-semidom})$   
 ⟨*proof*⟩

**lemma** *indicator-abs-le-1*:  $|\text{indicator } S\ x| \leq (1 :: 'a :: \text{linordered-idom})$   
 ⟨*proof*⟩

**lemma** *indicator-eq-0-iff*:  $\text{indicator } A\ x = (0 :: 'a :: \text{zero-neq-one}) \iff x \notin A$   
 ⟨*proof*⟩

**lemma** *indicator-eq-1-iff*:  $\text{indicator } A\ x = (1 :: 'a :: \text{zero-neq-one}) \iff x \in A$   
 ⟨*proof*⟩

**lemma** *indicator-UNIV* [*simp*]:  $\text{indicator } UNIV = (\lambda x. 1)$   
 ⟨*proof*⟩

**lemma** *indicator-leI*:

$(x \in A \implies y \in B) \implies (\text{indicator } A \ x :: 'a::\text{linordered-nonzero-semiring}) \leq \text{indicator } B \ y$   
 ⟨proof⟩

**lemma** *split-indicator*:  $P (\text{indicator } S \ x) \longleftrightarrow ((x \in S \longrightarrow P \ 1) \wedge (x \notin S \longrightarrow P \ 0))$   
 ⟨proof⟩

**lemma** *split-indicator-asm*:  $P (\text{indicator } S \ x) \longleftrightarrow (\neg (x \in S \wedge \neg P \ 1 \vee x \notin S \wedge \neg P \ 0))$   
 ⟨proof⟩

**lemma** *indicator-inter-arith*:  $\text{indicator } (A \cap B) \ x = \text{indicator } A \ x * (\text{indicator } B \ x :: 'a::\text{semiring-1})$   
 ⟨proof⟩

**lemma** *indicator-union-arith*:  
 $\text{indicator } (A \cup B) \ x = \text{indicator } A \ x + \text{indicator } B \ x - \text{indicator } A \ x * (\text{indicator } B \ x :: 'a::\text{ring-1})$   
 ⟨proof⟩

**lemma** *indicator-inter-min*:  $\text{indicator } (A \cap B) \ x = \min (\text{indicator } A \ x) (\text{indicator } B \ x :: 'a::\text{linordered-semidom})$   
**and** *indicator-union-max*:  $\text{indicator } (A \cup B) \ x = \max (\text{indicator } A \ x) (\text{indicator } B \ x :: 'a::\text{linordered-semidom})$   
 ⟨proof⟩

**lemma** *indicator-disj-union*:  
 $A \cap B = \{\} \implies \text{indicator } (A \cup B) \ x = (\text{indicator } A \ x + \text{indicator } B \ x :: 'a::\text{linordered-semidom})$   
 ⟨proof⟩

**lemma** *indicator-compl*:  $\text{indicator } (\neg A) \ x = 1 - (\text{indicator } A \ x :: 'a::\text{ring-1})$   
**and** *indicator-diff*:  $\text{indicator } (A - B) \ x = \text{indicator } A \ x * (1 - \text{indicator } B \ x :: 'a::\text{ring-1})$   
 ⟨proof⟩

**lemma** *indicator-times*:  
 $\text{indicator } (A \times B) \ x = \text{indicator } A \ (\text{fst } x) * (\text{indicator } B \ (\text{snd } x) :: 'a::\text{semiring-1})$   
 ⟨proof⟩

**lemma** *indicator-sum*:  
 $\text{indicator } (A \lt;+\gt B) \ x = (\text{case } x \text{ of } \text{Inl } x \Rightarrow \text{indicator } A \ x \mid \text{Inr } x \Rightarrow \text{indicator } B \ x)$   
 ⟨proof⟩

**lemma** *indicator-image*:  $\text{inj } f \implies \text{indicator } (f \ ' X) \ (f \ x) = (\text{indicator } X \ x :: \text{zero-neq-one})$



$\langle proof \rangle$

**lemma** *indicator-vimage*:  $indicator (f - 'A) x = indicator A (f x)$   
 $\langle proof \rangle$

**lemma**

**fixes**  $f :: 'a \Rightarrow 'b :: semiring-1$

**assumes** *finite A*

**shows** *sum-mult-indicator[simp]*:  $(\sum x \in A. f x * indicator B x) = (\sum x \in A \cap B. f x)$

**and** *sum-indicator-mult[simp]*:  $(\sum x \in A. indicator B x * f x) = (\sum x \in A \cap B. f x)$

$\langle proof \rangle$

**lemma** *sum-indicator-eq-card*:

**assumes** *finite A*

**shows**  $(\sum x \in A. indicator B x) = card (A Int B)$

$\langle proof \rangle$

**lemma** *sum-indicator-scaleR[simp]*:

*finite A*  $\implies$

$(\sum x \in A. indicator (B x) (g x) *R f x) = (\sum x \in \{x \in A. g x \in B x\}. f x :: 'a :: real-vector)$

$\langle proof \rangle$

**lemma** *LIMSEQ-indicator-incseq*:

**assumes** *incseq A*

**shows**  $(\lambda i. indicator (A i) x :: 'a :: \{topological-space, one, zero\}) \longrightarrow indicator (\bigcup i. A i) x$

$\langle proof \rangle$

**lemma** *LIMSEQ-indicator-UN*:

$(\lambda k. indicator (\bigcup i < k. A i) x :: 'a :: \{topological-space, one, zero\}) \longrightarrow indicator (\bigcup i. A i) x$

$\langle proof \rangle$

**lemma** *LIMSEQ-indicator-decseq*:

**assumes** *decseq A*

**shows**  $(\lambda i. indicator (A i) x :: 'a :: \{topological-space, one, zero\}) \longrightarrow indicator (\bigcap i. A i) x$

$\langle proof \rangle$

**lemma** *LIMSEQ-indicator-INT*:

$(\lambda k. indicator (\bigcap i < k. A i) x :: 'a :: \{topological-space, one, zero\}) \longrightarrow indicator (\bigcap i. A i) x$

$\langle proof \rangle$

**lemma** *indicator-add*:

$A \cap B = \{\} \implies (indicator A x :: monoid-add) + indicator B x = indicator (A$

$\cup B) x$   
 ⟨proof⟩

**lemma** *of-real-indicator*:  $of\text{-}real (indicator A x) = indicator A x$   
 ⟨proof⟩

**lemma** *real-of-nat-indicator*:  $real (indicator A x :: nat) = indicator A x$   
 ⟨proof⟩

**lemma** *abs-indicator*:  $|indicator A x :: 'a::linordered-idom| = indicator A x$   
 ⟨proof⟩

**lemma** *mult-indicator-subset*:  
 $A \subseteq B \implies indicator A x * indicator B x = (indicator A x :: 'a::comm-semiring-1)$   
 ⟨proof⟩

**lemma** *indicator-sums*:  
**assumes**  $\bigwedge i j. i \neq j \implies A i \cap A j = \{\}$   
**shows**  $(\lambda i. indicator (A i) x :: real) \text{ sums } indicator (\bigcup i. A i) x$   
 ⟨proof⟩

The indicator function of the union of a disjoint family of sets is the sum over all the individual indicators.

**lemma** *indicator-UN-disjoint*:  
 $finite A \implies disjoint\text{-}family\text{-}on f A \implies indicator (UNION A f) x = (\sum y \in A. indicator (f y) x)$   
 ⟨proof⟩

end

### 31.1 The type of non-negative extended real numbers

**theory** *Extended-Nonnegative-Real*  
**imports** *Extended-Real Indicator-Function*  
**begin**

**lemma** *ereal-ineq-diff-add*:  
**assumes**  $b \neq (-\infty :: ereal) \ a \geq b$   
**shows**  $a = b + (a - b)$   
 ⟨proof⟩

**lemma** *Limsup-const-add*:  
**fixes**  $c :: 'a::\{complete\text{-}linorder, linorder\text{-}topology, topological\text{-}monoid\text{-}add, ordered\text{-}ab\text{-}semigroup\text{-}add\}$   
**shows**  $F \neq bot \implies Limsup F (\lambda x. c + f x) = c + Limsup F f$   
 ⟨proof⟩

**lemma** *Liminf-const-add*:  
**fixes**  $c :: 'a::\{complete\text{-}linorder, linorder\text{-}topology, topological\text{-}monoid\text{-}add, ordered\text{-}ab\text{-}semigroup\text{-}add\}$   
**shows**  $F \neq bot \implies Liminf F (\lambda x. c + f x) = c + Liminf F f$

*<proof>*

**lemma** *Liminf-add-const*:

**fixes**  $c :: 'a :: \{complete-linorder, linorder-topology, topological-monoid-add, ordered-ab-semigroup-add\}$   
**shows**  $F \neq bot \implies Liminf F (\lambda x. f x + c) = Liminf F f + c$   
*<proof>*

**lemma** *sums-offset*:

**fixes**  $f g :: nat \Rightarrow 'a :: \{t2-space, topological-comm-monoid-add\}$   
**assumes**  $(\lambda n. f (n + i)) \text{ sums } l$  **shows**  $f \text{ sums } (l + (\sum j < i. f j))$   
*<proof>*

**lemma** *suminf-offset*:

**fixes**  $f g :: nat \Rightarrow 'a :: \{t2-space, topological-comm-monoid-add\}$   
**shows**  $summable (\lambda j. f (j + i)) \implies suminf f = (\sum j. f (j + i)) + (\sum j < i. f j)$   
*<proof>*

**lemma** *eventually-at-left-1*:  $(\bigwedge z :: real. 0 < z \implies z < 1 \implies P z) \implies \text{eventually } P \text{ (at-left 1)}$   
*<proof>*

**lemma** *mult-eq-1*:

**fixes**  $a b :: 'a :: \{ordered-semiring, comm-monoid-mult\}$   
**shows**  $0 \leq a \implies a \leq 1 \implies b \leq 1 \implies a * b = 1 \longleftrightarrow (a = 1 \wedge b = 1)$   
*<proof>*

**lemma** *ereal-add-diff-cancel*:

**fixes**  $a b :: ereal$   
**shows**  $|b| \neq \infty \implies (a + b) - b = a$   
*<proof>*

**lemma** *add-top*:

**fixes**  $x :: 'a :: \{order-top, ordered-comm-monoid-add\}$   
**shows**  $0 \leq x \implies x + top = top$   
*<proof>*

**lemma** *top-add*:

**fixes**  $x :: 'a :: \{order-top, ordered-comm-monoid-add\}$   
**shows**  $0 \leq x \implies top + x = top$   
*<proof>*

**lemma** *le-lfp*:  $mono f \implies x \leq lfp f \implies f x \leq lfp f$   
*<proof>*

**lemma** *lfp-transfer*:

**assumes**  $\alpha$ : *sup-continuous*  $\alpha$  **and**  $f$ : *sup-continuous*  $f$  **and**  $mg$ : *mono*  $g$   
**assumes**  $bot$ :  $\alpha bot \leq lfp g$  **and**  $eq$ :  $\bigwedge x. x \leq lfp f \implies \alpha (f x) = g (\alpha x)$   
**shows**  $\alpha (lfp f) = lfp g$   
*<proof>*

**lemma** *sup-continuous-applyD*:  $\text{sup-continuous } f \implies \text{sup-continuous } (\lambda x. f x h)$   
 ⟨proof⟩

**lemma** *sup-continuous-SUP*[*order-continuous-intros*]:  
**fixes**  $M :: - \Rightarrow - \Rightarrow 'a::\text{complete-lattice}$   
**assumes**  $M: \bigwedge i. i \in I \implies \text{sup-continuous } (M i)$   
**shows**  $\text{sup-continuous } (\text{SUP } i:I. M i)$   
 ⟨proof⟩

**lemma** *sup-continuous-apply-SUP*[*order-continuous-intros*]:  
**fixes**  $M :: - \Rightarrow - \Rightarrow 'a::\text{complete-lattice}$   
**shows**  $(\bigwedge i. i \in I \implies \text{sup-continuous } (M i)) \implies \text{sup-continuous } (\lambda x. \text{SUP } i:I. M i x)$   
 ⟨proof⟩

**lemma** *sup-continuous-lfp'*[*order-continuous-intros*]:  
**assumes** 1:  $\text{sup-continuous } f$   
**assumes** 2:  $\bigwedge g. \text{sup-continuous } g \implies \text{sup-continuous } (f g)$   
**shows**  $\text{sup-continuous } (\text{lfp } f)$   
 ⟨proof⟩

**lemma** *sup-continuous-lfp''*[*order-continuous-intros*]:  
**assumes** 1:  $\bigwedge s. \text{sup-continuous } (f s)$   
**assumes** 2:  $\bigwedge g. \text{sup-continuous } g \implies \text{sup-continuous } (\lambda s. f s (g s))$   
**shows**  $\text{sup-continuous } (\lambda x. \text{lfp } (f x))$   
 ⟨proof⟩

**lemma** *mono-INF-fun*:  
 $(\bigwedge x y. \text{mono } (F x y)) \implies \text{mono } (\lambda z x. \text{INF } y : X x. F x y z :: 'a :: \text{complete-lattice})$   
 ⟨proof⟩

**lemma** *continuous-on-max*:  
**fixes**  $f g :: 'a::\text{topological-space} \Rightarrow 'b::\text{linorder-topology}$   
**shows**  $\text{continuous-on } A f \implies \text{continuous-on } A g \implies \text{continuous-on } A (\lambda x. \text{max } (f x) (g x))$   
 ⟨proof⟩

**lemma** *continuous-on-cmult-ereal*:  
 $|c::\text{ereal}| \neq \infty \implies \text{continuous-on } A f \implies \text{continuous-on } A (\lambda x. c * f x)$   
 ⟨proof⟩

**context** *linordered-nonzero-semiring*  
**begin**

**lemma** *of-nat-nonneg* [*simp*]:  $0 \leq \text{of-nat } n$   
 ⟨proof⟩

**lemma** *of-nat-mono[simp]*:  $i \leq j \implies \text{of-nat } i \leq \text{of-nat } j$   
 ⟨proof⟩

**end**

**lemma** *real-of-nat-Sup*:  
**assumes**  $A \neq \{\}$  *bdd-above*  $A$   
**shows**  $\text{of-nat } (\text{Sup } A) = (\text{SUP } a:A. \text{of-nat } a :: \text{real})$   
 ⟨proof⟩

**lemma** *of-nat-less[simp]*:  
 $m < n \implies \text{of-nat } m < (\text{of-nat } n :: 'a :: \{\text{linordered-nonzero-semiring, semiring-char-0}\})$   
 ⟨proof⟩

**lemma** *of-nat-le-iff[simp]*:  
 $\text{of-nat } m \leq (\text{of-nat } n :: 'a :: \{\text{linordered-nonzero-semiring, semiring-char-0}\}) \iff$   
 $m \leq n$   
 ⟨proof⟩

**lemma** (**in** *complete-lattice*) *SUP-sup-const1*:  
 $I \neq \{\} \implies (\text{SUP } i:I. \text{sup } c (f i)) = \text{sup } c (\text{SUP } i:I. f i)$   
 ⟨proof⟩

**lemma** (**in** *complete-lattice*) *SUP-sup-const2*:  
 $I \neq \{\} \implies (\text{SUP } i:I. \text{sup } (f i) c) = \text{sup } (\text{SUP } i:I. f i) c$   
 ⟨proof⟩

**lemma** *one-less-of-natD*:  
 $(1 :: 'a :: \text{linordered-semidom}) < \text{of-nat } n \implies 1 < n$   
 ⟨proof⟩

**lemma** *sum-le-suminf*:  
**fixes**  $f :: \text{nat} \Rightarrow 'a :: \{\text{ordered-comm-monoid-add, linorder-topology}\}$   
**shows**  $\text{summable } f \implies \text{finite } I \implies \forall m \in - I. 0 \leq f m \implies \text{sum } f I \leq \text{suminf } f$   
 ⟨proof⟩

**lemma** *suminf-eq-SUP-real*:  
**assumes**  $X: \text{summable } X \wedge i. 0 \leq X i$  **shows**  $\text{suminf } X = (\text{SUP } i. \sum n < i. X n :: \text{real})$   
 ⟨proof⟩

## 31.2 Defining the extended non-negative reals

Basic definitions and type class setup

**typedef**  $\text{ennreal} = \{x :: \text{ereal}. 0 \leq x\}$   
**morphisms**  $\text{enn2ereal } \text{e2ennreal}'$   
 ⟨proof⟩

**definition**  $e2ennreal\ x = e2ennreal'\ (max\ 0\ x)$

**lemma**  $enn2ereal-range: e2ennreal\ ' \{0..\} = UNIV$   
 $\langle proof \rangle$

**lemma**  $type-definition-ennreal': type-definition\ enn2ereal\ e2ennreal\ \{x.\ 0 \leq x\}$   
 $\langle proof \rangle$

**setup-lifting**  $type-definition-ennreal'$

**declare**  $[[coercion\ e2ennreal]]$

**instantiation**  $ennreal :: complete-linorder$   
**begin**

**lift-definition**  $top-ennreal :: ennreal\ is\ top\ \langle proof \rangle$

**lift-definition**  $bot-ennreal :: ennreal\ is\ 0\ \langle proof \rangle$

**lift-definition**  $sup-ennreal :: ennreal \Rightarrow ennreal \Rightarrow ennreal\ is\ sup\ \langle proof \rangle$

**lift-definition**  $inf-ennreal :: ennreal \Rightarrow ennreal \Rightarrow ennreal\ is\ inf\ \langle proof \rangle$

**lift-definition**  $Inf-ennreal :: ennreal\ set \Rightarrow ennreal\ is\ Inf$   
 $\langle proof \rangle$

**lift-definition**  $Sup-ennreal :: ennreal\ set \Rightarrow ennreal\ is\ sup\ 0 \circ Sup$   
 $\langle proof \rangle$

**lift-definition**  $less-eq-ennreal :: ennreal \Rightarrow ennreal \Rightarrow bool\ is\ op \leq\ \langle proof \rangle$

**lift-definition**  $less-ennreal :: ennreal \Rightarrow ennreal \Rightarrow bool\ is\ op <\ \langle proof \rangle$

**instance**  
 $\langle proof \rangle$

**end**

**lemma**  $pcr-ennreal-enn2ereal[simp]: pcr-ennreal\ (enn2ereal\ x)\ x$   
 $\langle proof \rangle$

**lemma**  $rel-fun-eq-pcr-ennreal: rel-fun\ op = pcr-ennreal\ f\ g \longleftrightarrow f = enn2ereal \circ g$   
 $\langle proof \rangle$

**instantiation**  $ennreal :: infinity$   
**begin**

**definition**  $infinity-ennreal :: ennreal$

**where**

$[simp]: \infty = (top::ennreal)$

**instance**  $\langle proof \rangle$

**end**

**instantiation** *ennreal* :: {*semiring-1-no-zero-divisors*, *comm-semiring-1*}  
**begin**

**lift-definition** *one-ennreal* :: *ennreal* **is** 1 *<proof>*

**lift-definition** *zero-ennreal* :: *ennreal* **is** 0 *<proof>*

**lift-definition** *plus-ennreal* :: *ennreal*  $\Rightarrow$  *ennreal*  $\Rightarrow$  *ennreal* **is** *op* + *<proof>*

**lift-definition** *times-ennreal* :: *ennreal*  $\Rightarrow$  *ennreal*  $\Rightarrow$  *ennreal* **is** *op* \* *<proof>*

**instance**  
*<proof>*

**end**

**instantiation** *ennreal* :: *minus*  
**begin**

**lift-definition** *minus-ennreal* :: *ennreal*  $\Rightarrow$  *ennreal*  $\Rightarrow$  *ennreal* **is**  $\lambda a b. \max 0 (a - b)$   
*<proof>*

**instance** *<proof>*

**end**

**instance** *ennreal* :: *numeral* *<proof>*

**instantiation** *ennreal* :: *inverse*  
**begin**

**lift-definition** *inverse-ennreal* :: *ennreal*  $\Rightarrow$  *ennreal* **is** *inverse*  
*<proof>*

**definition** *divide-ennreal* :: *ennreal*  $\Rightarrow$  *ennreal*  $\Rightarrow$  *ennreal*  
**where**  $x \text{ div } y = x * \text{inverse } (y :: \text{ennreal})$

**instance** *<proof>*

**end**

**lemma** *ennreal-zero-less-one*:  $0 < (1 :: \text{ennreal})$  — TODO: remove  
*<proof>*

**instance** *ennreal* :: *dioid*  
*<proof>*

**instance** *ennreal* :: *ordered-comm-semiring*  
*<proof>*

**instance** *ennreal* :: *linordered-nonzero-semiring*  
 ⟨*proof*⟩

**instance** *ennreal* :: *strict-ordered-ab-semigroup-add*  
 ⟨*proof*⟩

**declare** [[*coercion of-nat* :: *nat*  $\Rightarrow$  *ennreal*]]

**lemma** *e2ennreal-neg*:  $x \leq 0 \implies e2ennreal\ x = 0$   
 ⟨*proof*⟩

**lemma** *e2ennreal-mono*:  $x \leq y \implies e2ennreal\ x \leq e2ennreal\ y$   
 ⟨*proof*⟩

**lemma** *enn2ereal-nonneg[simp]*:  $0 \leq enn2ereal\ x$   
 ⟨*proof*⟩

**lemma** *ereal-ennreal-cases*:  
**obtains** *b* **where**  $0 \leq a$   $a = enn2ereal\ b$  |  $a < 0$   
 ⟨*proof*⟩

**lemma** *rel-fun-liminf[transfer-rule]*: *rel-fun* (*rel-fun op* = *pcr-ennreal*) *pcr-ennreal*  
*liminf* *liminf*  
 ⟨*proof*⟩

**lemma** *rel-fun-limsup[transfer-rule]*: *rel-fun* (*rel-fun op* = *pcr-ennreal*) *pcr-ennreal*  
*limsup* *limsup*  
 ⟨*proof*⟩

**lemma** *sum-enn2ereal[simp]*:  $(\bigwedge i. i \in I \implies 0 \leq f\ i) \implies (\sum_{i \in I}. enn2ereal\ (f\ i)) = enn2ereal\ (sum\ f\ I)$   
 ⟨*proof*⟩

**lemma** *transfer-e2ennreal-sum [transfer-rule]*:  
*rel-fun* (*rel-fun op* = *pcr-ennreal*) (*rel-fun op* = *pcr-ennreal*) *sum* *sum*  
 ⟨*proof*⟩

**lemma** *enn2ereal-of-nat[simp]*: *enn2ereal* (*of-nat n*) = *ereal n*  
 ⟨*proof*⟩

**lemma** *enn2ereal-numeral[simp]*: *enn2ereal* (*numeral a*) = *numeral a*  
 ⟨*proof*⟩

**lemma** *transfer-numeral[transfer-rule]*: *pcr-ennreal* (*numeral a*) (*numeral a*)  
 ⟨*proof*⟩



### 31.3 Cancellation simprocs

**lemma** *ennreal-add-left-cancel*:  $a + b = a + c \longleftrightarrow a = (\infty::ennreal) \vee b = c$   
 ⟨*proof*⟩

**lemma** *ennreal-add-left-cancel-le*:  $a + b \leq a + c \longleftrightarrow a = (\infty::ennreal) \vee b \leq c$   
 ⟨*proof*⟩

**lemma** *ereal-add-left-cancel-less*:

**fixes**  $a\ b\ c :: \text{ereal}$

**shows**  $0 \leq a \implies 0 \leq b \implies a + b < a + c \longleftrightarrow a \neq \infty \wedge b < c$

⟨*proof*⟩

**lemma** *ennreal-add-left-cancel-less*:  $a + b < a + c \longleftrightarrow a \neq (\infty::ennreal) \wedge b < c$

⟨*proof*⟩

⟨*ML*⟩

### 31.4 Order with top

**lemma** *ennreal-zero-less-top[simp]*:  $0 < (\text{top}::ennreal)$   
 ⟨*proof*⟩

**lemma** *ennreal-one-less-top[simp]*:  $1 < (\text{top}::ennreal)$   
 ⟨*proof*⟩

**lemma** *ennreal-zero-neq-top[simp]*:  $0 \neq (\text{top}::ennreal)$   
 ⟨*proof*⟩

**lemma** *ennreal-top-neq-zero[simp]*:  $(\text{top}::ennreal) \neq 0$   
 ⟨*proof*⟩

**lemma** *ennreal-top-neq-one[simp]*:  $\text{top} \neq (1::ennreal)$   
 ⟨*proof*⟩

**lemma** *ennreal-one-neq-top[simp]*:  $1 \neq (\text{top}::ennreal)$   
 ⟨*proof*⟩

**lemma** *ennreal-add-less-top[simp]*:

**fixes**  $a\ b :: \text{ennreal}$

**shows**  $a + b < \text{top} \longleftrightarrow a < \text{top} \wedge b < \text{top}$

⟨*proof*⟩

**lemma** *ennreal-add-eq-top[simp]*:

**fixes**  $a\ b :: \text{ennreal}$

**shows**  $a + b = \text{top} \longleftrightarrow a = \text{top} \vee b = \text{top}$

⟨*proof*⟩

**lemma** *ennreal-sum-less-top[simp]*:

**fixes**  $f :: 'a \Rightarrow \text{ennreal}$   
**shows**  $\text{finite } I \implies (\sum i \in I. f i) < \text{top} \longleftrightarrow (\forall i \in I. f i < \text{top})$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-sum-eq-top*[simp]:  
**fixes**  $f :: 'a \Rightarrow \text{ennreal}$   
**shows**  $\text{finite } I \implies (\sum i \in I. f i) = \text{top} \longleftrightarrow (\exists i \in I. f i = \text{top})$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-mult-eq-top-iff*:  
**fixes**  $a b :: \text{ennreal}$   
**shows**  $a * b = \text{top} \longleftrightarrow (a = \text{top} \wedge b \neq 0) \vee (b = \text{top} \wedge a \neq 0)$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-top-eq-mult-iff*:  
**fixes**  $a b :: \text{ennreal}$   
**shows**  $\text{top} = a * b \longleftrightarrow (a = \text{top} \wedge b \neq 0) \vee (b = \text{top} \wedge a \neq 0)$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-mult-less-top*:  
**fixes**  $a b :: \text{ennreal}$   
**shows**  $a * b < \text{top} \longleftrightarrow (a = 0 \vee b = 0 \vee (a < \text{top} \wedge b < \text{top}))$   
 $\langle \text{proof} \rangle$

**lemma** *top-power-ennreal*:  $\text{top} ^ n = (\text{if } n = 0 \text{ then } 1 \text{ else } \text{top} :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-prod-eq-0*[simp]:  
**fixes**  $f :: 'a \Rightarrow \text{ennreal}$   
**shows**  $(\text{prod } f A = 0) = (\text{finite } A \wedge (\exists i \in A. f i = 0))$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-prod-eq-top*:  
**fixes**  $f :: 'a \Rightarrow \text{ennreal}$   
**shows**  $(\prod i \in I. f i) = \text{top} \longleftrightarrow (\text{finite } I \wedge ((\forall i \in I. f i \neq 0) \wedge (\exists i \in I. f i = \text{top})))$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-top-mult*:  $\text{top} * a = (\text{if } a = 0 \text{ then } 0 \text{ else } \text{top} :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-mult-top*:  $a * \text{top} = (\text{if } a = 0 \text{ then } 0 \text{ else } \text{top} :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *enn2ereal-eq-top-iff*[simp]:  $\text{enn2ereal } x = \infty \longleftrightarrow x = \text{top}$   
 $\langle \text{proof} \rangle$

**lemma** *enn2ereal-top*:  $\text{enn2ereal } \text{top} = \infty$   
 $\langle \text{proof} \rangle$

**lemma** *e2ennreal-infty*:  $e2ennreal \ \infty = top$   
 ⟨proof⟩

**lemma** *ennreal-top-minus[simp]*:  $top - x = (top::ennreal)$   
 ⟨proof⟩

**lemma** *minus-top-ennreal*:  $x - top = (if \ x = top \ then \ top \ else \ 0::ennreal)$   
 ⟨proof⟩

**lemma** *bot-ennreal*:  $bot = (0::ennreal)$   
 ⟨proof⟩

**lemma** *ennreal-of-nat-neq-top[simp]*:  $of-nat \ i \neq (top::ennreal)$   
 ⟨proof⟩

**lemma** *numeral-eq-of-nat*:  $(numeral \ a::ennreal) = of-nat \ (numeral \ a)$   
 ⟨proof⟩

**lemma** *of-nat-less-top*:  $of-nat \ i < (top::ennreal)$   
 ⟨proof⟩

**lemma** *top-neq-numeral[simp]*:  $top \neq (numeral \ i::ennreal)$   
 ⟨proof⟩

**lemma** *ennreal-numeral-less-top[simp]*:  $numeral \ i < (top::ennreal)$   
 ⟨proof⟩

**lemma** *ennreal-add-bot[simp]*:  $bot + x = (x::ennreal)$   
 ⟨proof⟩

**instance** *ennreal :: semiring-char-0*  
 ⟨proof⟩

### 31.5 Arithmetic

**lemma** *ennreal-minus-zero[simp]*:  $a - (0::ennreal) = a$   
 ⟨proof⟩

**lemma** *ennreal-add-diff-cancel-right[simp]*:  
**fixes**  $x \ y \ z :: ennreal$  **shows**  $y \neq top \implies (x + y) - y = x$   
 ⟨proof⟩

**lemma** *ennreal-add-diff-cancel-left[simp]*:  
**fixes**  $x \ y \ z :: ennreal$  **shows**  $y \neq top \implies (y + x) - y = x$   
 ⟨proof⟩

**lemma**  
**fixes**  $a \ b :: ennreal$   
**shows**  $a - b = 0 \implies a \leq b$

*<proof>*

**lemma** *ennreal-minus-cancel:*

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $c \neq \text{top} \implies a \leq c \implies b \leq c \implies c - a = c - b \implies a = b$

*<proof>*

**lemma** *sup-const-add-ennreal:*

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $\text{sup } (c + a)\ (c + b) = c + \text{sup } a\ b$

*<proof>*

**lemma** *ennreal-diff-add-assoc:*

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $a \leq b \implies c + b - a = c + (b - a)$

*<proof>*

**lemma** *mult-divide-eq-ennreal:*

**fixes**  $a\ b :: \text{ennreal}$

**shows**  $b \neq 0 \implies b \neq \text{top} \implies (a * b) / b = a$

*<proof>*

**lemma** *divide-mult-eq:*  $a \neq 0 \implies a \neq \infty \implies x * a / (b * a) = x / (b :: \text{ennreal})$

*<proof>*

**lemma** *ennreal-mult-divide-eq:*

**fixes**  $a\ b :: \text{ennreal}$

**shows**  $b \neq 0 \implies b \neq \text{top} \implies (a * b) / b = a$

*<proof>*

**lemma** *ennreal-add-diff-cancel:*

**fixes**  $a\ b :: \text{ennreal}$

**shows**  $b \neq \infty \implies (a + b) - b = a$

*<proof>*

**lemma** *ennreal-minus-eq-0:*

$a - b = 0 \implies a \leq (b :: \text{ennreal})$

*<proof>*

**lemma** *ennreal-mono-minus-cancel:*

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $a - b \leq a - c \implies a < \text{top} \implies b \leq a \implies c \leq a \implies c \leq b$

*<proof>*

**lemma** *ennreal-mono-minus:*

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $c \leq b \implies a - b \leq a - c$

*<proof>*

**lemma** *ennreal-minus-pos-iff*:

**fixes**  $a\ b :: \text{ennreal}$

**shows**  $a < \text{top} \vee b < \text{top} \implies 0 < a - b \implies b < a$

*<proof>*

**lemma** *ennreal-inverse-top[simp]*:  $\text{inverse } \text{top} = (0 :: \text{ennreal})$

*<proof>*

**lemma** *ennreal-inverse-zero[simp]*:  $\text{inverse } 0 = (\text{top} :: \text{ennreal})$

*<proof>*

**lemma** *ennreal-top-divide*:  $\text{top} / (x :: \text{ennreal}) = (\text{if } x = \text{top} \text{ then } 0 \text{ else } \text{top})$

*<proof>*

**lemma** *ennreal-zero-divide[simp]*:  $0 / (x :: \text{ennreal}) = 0$

*<proof>*

**lemma** *ennreal-divide-zero[simp]*:  $x / (0 :: \text{ennreal}) = (\text{if } x = 0 \text{ then } 0 \text{ else } \text{top})$

*<proof>*

**lemma** *ennreal-divide-top[simp]*:  $x / (\text{top} :: \text{ennreal}) = 0$

*<proof>*

**lemma** *ennreal-times-divide*:  $a * (b / c) = a * b / (c :: \text{ennreal})$

*<proof>*

**lemma** *ennreal-zero-less-divide*:  $0 < a / b \longleftrightarrow (0 < a \wedge b < (\text{top} :: \text{ennreal}))$

*<proof>*

**lemma** *divide-right-mono-ennreal*:

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $a \leq b \implies a / c \leq b / c$

*<proof>*

**lemma** *ennreal-mult-strict-right-mono*:  $(a :: \text{ennreal}) < c \implies 0 < b \implies b < \text{top}$

$\implies a * b < c * b$

*<proof>*

**lemma** *ennreal-indicator-less[simp]*:

$\text{indicator } A\ x \leq (\text{indicator } B\ x :: \text{ennreal}) \longleftrightarrow (x \in A \longrightarrow x \in B)$

*<proof>*

**lemma** *ennreal-inverse-positive*:  $0 < \text{inverse } x \longleftrightarrow (x :: \text{ennreal}) \neq \text{top}$

*<proof>*

**lemma** *ennreal-inverse-mult'*:  $((0 < b \vee a < \text{top}) \wedge (0 < a \vee b < \text{top})) \implies$

$\text{inverse } (a * b :: \text{ennreal}) = \text{inverse } a * \text{inverse } b$

*<proof>*

**lemma** *ennreal-inverse-mult*:  $a < \text{top} \implies b < \text{top} \implies \text{inverse } (a * b::\text{ennreal}) = \text{inverse } a * \text{inverse } b$

*<proof>*

**lemma** *ennreal-inverse-1[simp]*:  $\text{inverse } (1::\text{ennreal}) = 1$

*<proof>*

**lemma** *ennreal-inverse-eq-0-iff[simp]*:  $\text{inverse } (a::\text{ennreal}) = 0 \iff a = \text{top}$

*<proof>*

**lemma** *ennreal-inverse-eq-top-iff[simp]*:  $\text{inverse } (a::\text{ennreal}) = \text{top} \iff a = 0$

*<proof>*

**lemma** *ennreal-divide-eq-0-iff[simp]*:  $(a::\text{ennreal}) / b = 0 \iff (a = 0 \vee b = \text{top})$

*<proof>*

**lemma** *ennreal-divide-eq-top-iff*:  $(a::\text{ennreal}) / b = \text{top} \iff ((a \neq 0 \wedge b = 0) \vee (a = \text{top} \wedge b \neq \text{top}))$

*<proof>*

**lemma** *one-divide-one-divide-ennreal[simp]*:  $1 / (1 / c) = (c::\text{ennreal})$

**including** *ennreal.lifting*

*<proof>*

**lemma** *ennreal-mult-left-cong*:

$((a::\text{ennreal}) \neq 0 \implies b = c) \implies a * b = a * c$

*<proof>*

**lemma** *ennreal-mult-right-cong*:

$((a::\text{ennreal}) \neq 0 \implies b = c) \implies b * a = c * a$

*<proof>*

**lemma** *ennreal-zero-less-mult-iff*:  $0 < a * b \iff 0 < a \wedge 0 < (b::\text{ennreal})$

*<proof>*

**lemma** *less-diff-eq-ennreal*:

**fixes**  $a \ b \ c :: \text{ennreal}$

**shows**  $b < \text{top} \vee c < \text{top} \implies a < b - c \iff a + c < b$

*<proof>*

**lemma** *diff-add-cancel-ennreal*:

**fixes**  $a \ b :: \text{ennreal}$  **shows**  $a \leq b \implies b - a + a = b$

*<proof>*

**lemma** *ennreal-diff-self[simp]*:  $a \neq \text{top} \implies a - a = (0::\text{ennreal})$

*<proof>*

**lemma** *ennreal-minus-mono*:

**fixes**  $a \ b \ c :: \text{ennreal}$

**shows**  $a \leq c \implies d \leq b \implies a - b \leq c - d$   
 ⟨proof⟩

**lemma** *ennreal-minus-eq-top*[simp]:  $a - (b::ennreal) = top \iff a = top$   
 ⟨proof⟩

**lemma** *ennreal-divide-self*[simp]:  $a \neq 0 \implies a < top \implies a / a = (1::ennreal)$   
 ⟨proof⟩

### 31.6 Coercion from *real* to *ennreal*

**lift-definition** *ennreal* :: *real*  $\Rightarrow$  *ennreal* **is**  $sup\ 0 \circ ereal$   
 ⟨proof⟩

**declare** [[*coercion ennreal*]]

**lemma** *ennreal-cong*:  $x = y \implies ennreal\ x = ennreal\ y$  ⟨proof⟩

**lemma** *ennreal-cases*[cases type: *ennreal*]:  
**fixes**  $x :: ennreal$   
**obtains** (*real*)  $r :: real$  **where**  $0 \leq r$   $x = ennreal\ r \mid (top)\ x = top$   
 ⟨proof⟩

**lemmas** *ennreal2-cases* = *ennreal-cases*[case-product *ennreal-cases*]

**lemmas** *ennreal3-cases* = *ennreal-cases*[case-product *ennreal2-cases*]

**lemma** *ennreal-neq-top*[simp]:  $ennreal\ r \neq top$   
 ⟨proof⟩

**lemma** *top-neq-ennreal*[simp]:  $top \neq ennreal\ r$   
 ⟨proof⟩

**lemma** *ennreal-less-top*[simp]:  $ennreal\ x < top$   
 ⟨proof⟩

**lemma** *ennreal-neg*:  $x \leq 0 \implies ennreal\ x = 0$   
 ⟨proof⟩

**lemma** *ennreal-inj*[simp]:  
 $0 \leq a \implies 0 \leq b \implies ennreal\ a = ennreal\ b \iff a = b$   
 ⟨proof⟩

**lemma** *ennreal-le-iff*[simp]:  $0 \leq y \implies ennreal\ x \leq ennreal\ y \iff x \leq y$   
 ⟨proof⟩

**lemma** *le-ennreal-iff*:  $0 \leq r \implies x \leq ennreal\ r \iff (\exists q \geq 0. x = ennreal\ q \wedge q \leq r)$   
 ⟨proof⟩

**lemma** *ennreal-less-iff*:  $0 \leq r \implies \text{ennreal } r < \text{ennreal } q \iff r < q$   
 ⟨proof⟩

**lemma** *ennreal-eq-zero-iff[simp]*:  $0 \leq x \implies \text{ennreal } x = 0 \iff x = 0$   
 ⟨proof⟩

**lemma** *ennreal-less-zero-iff[simp]*:  $0 < \text{ennreal } x \iff 0 < x$   
 ⟨proof⟩

**lemma** *ennreal-lessI*:  $0 < q \implies r < q \implies \text{ennreal } r < \text{ennreal } q$   
 ⟨proof⟩

**lemma** *ennreal-leI*:  $x \leq y \implies \text{ennreal } x \leq \text{ennreal } y$   
 ⟨proof⟩

**lemma** *enn2ereal-ennreal[simp]*:  $0 \leq x \implies \text{enn2ereal } (\text{ennreal } x) = x$   
 ⟨proof⟩

**lemma** *e2ennreal-enn2ereal[simp]*:  $e2ennreal (\text{enn2ereal } x) = x$   
 ⟨proof⟩

**lemma** *ennreal-0[simp]*:  $\text{ennreal } 0 = 0$   
 ⟨proof⟩

**lemma** *ennreal-1[simp]*:  $\text{ennreal } 1 = 1$   
 ⟨proof⟩

**lemma** *ennreal-eq-0-iff*:  $\text{ennreal } x = 0 \iff x \leq 0$   
 ⟨proof⟩

**lemma** *ennreal-le-iff2*:  $\text{ennreal } x \leq \text{ennreal } y \iff ((0 \leq y \wedge x \leq y) \vee (x \leq 0 \wedge y \leq 0))$   
 ⟨proof⟩

**lemma** *ennreal-eq-1[simp]*:  $\text{ennreal } x = 1 \iff x = 1$   
 ⟨proof⟩

**lemma** *ennreal-le-1[simp]*:  $\text{ennreal } x \leq 1 \iff x \leq 1$   
 ⟨proof⟩

**lemma** *ennreal-ge-1[simp]*:  $\text{ennreal } x \geq 1 \iff x \geq 1$   
 ⟨proof⟩

**lemma** *one-less-ennreal[simp]*:  $1 < \text{ennreal } x \iff 1 < x$   
 ⟨proof⟩

**lemma** *ennreal-plus[simp]*:  
 $0 \leq a \implies 0 \leq b \implies \text{ennreal } (a + b) = \text{ennreal } a + \text{ennreal } b$   
 ⟨proof⟩



**lemma** *sum-ennreal[simp]*:  $(\bigwedge i. i \in I \implies 0 \leq f i) \implies (\sum_{i \in I}. \text{ennreal } (f i)) = \text{ennreal } (\text{sum } f I)$   
 ⟨proof⟩

**lemma** *sum-list-ennreal[simp]*:  
**assumes**  $\bigwedge x. x \in \text{set } xs \implies f x \geq 0$   
**shows**  $\text{sum-list } (\text{map } (\lambda x. \text{ennreal } (f x)) xs) = \text{ennreal } (\text{sum-list } (\text{map } f xs))$   
 ⟨proof⟩

**lemma** *ennreal-of-nat-eq-real-of-nat*:  $\text{of-nat } i = \text{ennreal } (\text{of-nat } i)$   
 ⟨proof⟩

**lemma** *of-nat-le-ennreal-iff[simp]*:  $0 \leq r \implies \text{of-nat } i \leq \text{ennreal } r \iff \text{of-nat } i \leq r$   
 ⟨proof⟩

**lemma** *ennreal-le-of-nat-iff[simp]*:  $\text{ennreal } r \leq \text{of-nat } i \iff r \leq \text{of-nat } i$   
 ⟨proof⟩

**lemma** *ennreal-indicator*:  $\text{ennreal } (\text{indicator } A x) = \text{indicator } A x$   
 ⟨proof⟩

**lemma** *ennreal-numeral[simp]*:  $\text{ennreal } (\text{numeral } n) = \text{numeral } n$   
 ⟨proof⟩

**lemma** *min-ennreal*:  $0 \leq x \implies 0 \leq y \implies \text{min } (\text{ennreal } x) (\text{ennreal } y) = \text{ennreal } (\text{min } x y)$   
 ⟨proof⟩

**lemma** *ennreal-half[simp]*:  $\text{ennreal } (1/2) = \text{inverse } 2$   
 ⟨proof⟩

**lemma** *ennreal-minus*:  $0 \leq q \implies \text{ennreal } r - \text{ennreal } q = \text{ennreal } (r - q)$   
 ⟨proof⟩

**lemma** *ennreal-minus-top[simp]*:  $\text{ennreal } a - \text{top} = 0$   
 ⟨proof⟩

**lemma** *ennreal-mult*:  $0 \leq a \implies 0 \leq b \implies \text{ennreal } (a * b) = \text{ennreal } a * \text{ennreal } b$   
 ⟨proof⟩

**lemma** *ennreal-mult'*:  $0 \leq a \implies \text{ennreal } (a * b) = \text{ennreal } a * \text{ennreal } b$   
 ⟨proof⟩

**lemma** *indicator-mult-ennreal*:  $\text{indicator } A x * \text{ennreal } r = \text{ennreal } (\text{indicator } A x * r)$   
 ⟨proof⟩

**lemma** *ennreal-mult'*:  $0 \leq b \implies \text{ennreal } (a * b) = \text{ennreal } a * \text{ennreal } b$   
 ⟨proof⟩

**lemma** *numeral-mult-ennreal*:  $0 \leq x \implies \text{numeral } b * \text{ennreal } x = \text{ennreal } (\text{numeral } b * x)$   
 ⟨proof⟩

**lemma** *ennreal-power*:  $0 \leq r \implies \text{ennreal } r \wedge n = \text{ennreal } (r \wedge n)$   
 ⟨proof⟩

**lemma** *power-eq-top-ennreal*:  $x \wedge n = \text{top} \iff (n \neq 0 \wedge (x :: \text{ennreal}) = \text{top})$   
 ⟨proof⟩

**lemma** *inverse-ennreal*:  $0 < r \implies \text{inverse } (\text{ennreal } r) = \text{ennreal } (\text{inverse } r)$   
 ⟨proof⟩

**lemma** *divide-ennreal*:  $0 \leq r \implies 0 < q \implies \text{ennreal } r / \text{ennreal } q = \text{ennreal } (r / q)$   
 ⟨proof⟩

**lemma** *ennreal-inverse-power*:  $\text{inverse } (x \wedge n :: \text{ennreal}) = \text{inverse } x \wedge n$   
 ⟨proof⟩

**lemma** *ennreal-divide-numeral*:  $0 \leq x \implies \text{ennreal } x / \text{numeral } b = \text{ennreal } (x / \text{numeral } b)$   
 ⟨proof⟩

**lemma** *prod-ennreal*:  $(\bigwedge i. i \in A \implies 0 \leq f i) \implies (\prod i \in A. \text{ennreal } (f i)) = \text{ennreal } (\text{prod } f A)$   
 ⟨proof⟩

**lemma** *mult-right-ennreal-cancel*:  $a * \text{ennreal } c = b * \text{ennreal } c \iff (a = b \vee c \leq 0)$   
 ⟨proof⟩

**lemma** *ennreal-le-epsilon*:  
 $(\bigwedge e :: \text{real}. y < \text{top} \implies 0 < e \implies x \leq y + \text{ennreal } e) \implies x \leq y$   
 ⟨proof⟩

**lemma** *ennreal-rat-dense*:  
**fixes**  $x y :: \text{ennreal}$   
**shows**  $x < y \implies \exists r :: \text{rat}. x < \text{real-of-rat } r \wedge \text{real-of-rat } r < y$   
 ⟨proof⟩

**lemma** *ennreal-Ex-less-of-nat*:  $(x :: \text{ennreal}) < \text{top} \implies \exists n. x < \text{of-nat } n$   
 ⟨proof⟩

### 31.7 Coercion from *ennreal* to *real*

**definition**  $enn2real\ x = real\text{-of-ereal}\ (enn2ereal\ x)$

**lemma**  $enn2real\text{-nonneg}[simp]: 0 \leq enn2real\ x$   
*<proof>*

**lemma**  $enn2real\text{-mono}: a \leq b \implies b < top \implies enn2real\ a \leq enn2real\ b$   
*<proof>*

**lemma**  $enn2real\text{-of-nat}[simp]: enn2real\ (of\text{-nat}\ n) = n$   
*<proof>*

**lemma**  $enn2real\text{-ennreal}[simp]: 0 \leq r \implies enn2real\ (ennreal\ r) = r$   
*<proof>*

**lemma**  $ennreal\text{-enn2real}[simp]: r < top \implies ennreal\ (enn2real\ r) = r$   
*<proof>*

**lemma**  $real\text{-of-ereal-enn2ereal}[simp]: real\text{-of-ereal}\ (enn2ereal\ x) = enn2real\ x$   
*<proof>*

**lemma**  $enn2real\text{-top}[simp]: enn2real\ top = 0$   
*<proof>*

**lemma**  $enn2real\text{-0}[simp]: enn2real\ 0 = 0$   
*<proof>*

**lemma**  $enn2real\text{-1}[simp]: enn2real\ 1 = 1$   
*<proof>*

**lemma**  $enn2real\text{-numeral}[simp]: enn2real\ (numeral\ n) = (numeral\ n)$   
*<proof>*

**lemma**  $enn2real\text{-mult}: enn2real\ (a * b) = enn2real\ a * enn2real\ b$   
*<proof>*

**lemma**  $enn2real\text{-leI}: 0 \leq B \implies x \leq ennreal\ B \implies enn2real\ x \leq B$   
*<proof>*

**lemma**  $enn2real\text{-positive-iff}: 0 < enn2real\ x \iff (0 < x \wedge x < top)$   
*<proof>*

**lemma**  $enn2real\text{-eq-1-iff}[simp]: enn2real\ x = 1 \iff x = 1$   
*<proof>*

### 31.8 Coercion from *enat* to *ennreal*

**definition**  $ennreal\text{-of-enat} :: enat \Rightarrow ennreal$   
**where**

$ennreal-of-enat\ n = (case\ n\ of\ \infty \Rightarrow top \mid enat\ n \Rightarrow of-nat\ n)$

**declare**  $[[coercion\ ennreal-of-enat]]$

**declare**  $[[coercion\ of-nat\ ::\ nat \Rightarrow ennreal]]$

**lemma**  $ennreal-of-enat-infty[simp]:\ ennreal-of-enat\ \infty = \infty$   
 $\langle proof \rangle$

**lemma**  $ennreal-of-enat-enat[simp]:\ ennreal-of-enat\ (enat\ n) = of-nat\ n$   
 $\langle proof \rangle$

**lemma**  $ennreal-of-enat-0[simp]:\ ennreal-of-enat\ 0 = 0$   
 $\langle proof \rangle$

**lemma**  $ennreal-of-enat-1[simp]:\ ennreal-of-enat\ 1 = 1$   
 $\langle proof \rangle$

**lemma**  $ennreal-top-neq-of-nat[simp]:\ (top::ennreal) \neq of-nat\ i$   
 $\langle proof \rangle$

**lemma**  $ennreal-of-enat-inj[simp]:\ ennreal-of-enat\ i = ennreal-of-enat\ j \longleftrightarrow i = j$   
 $\langle proof \rangle$

**lemma**  $ennreal-of-enat-le-iff[simp]:\ ennreal-of-enat\ m \leq ennreal-of-enat\ n \longleftrightarrow m \leq n$   
 $\langle proof \rangle$

**lemma**  $of-nat-less-ennreal-of-nat[simp]:\ of-nat\ n \leq ennreal-of-enat\ x \longleftrightarrow of-nat\ n \leq x$   
 $\langle proof \rangle$

**lemma**  $ennreal-of-enat-Sup: ennreal-of-enat\ (Sup\ X) = (SUP\ x:X.\ ennreal-of-enat\ x)$   
 $\langle proof \rangle$

**lemma**  $ennreal-of-enat-eSuc[simp]:\ ennreal-of-enat\ (eSuc\ x) = 1 + ennreal-of-enat\ x$   
 $\langle proof \rangle$

### 31.9 Topology on $ennreal$

**lemma**  $enn2ereal-Iio: enn2ereal -' \{..<a\} = (if\ 0 \leq a\ then\ \{..< e2ennreal\ a\} else\ \{\})$   
 $\langle proof \rangle$

**lemma**  $enn2ereal-Ioi: enn2ereal -' \{a <..\} = (if\ 0 \leq a\ then\ \{e2ennreal\ a <..\} else\ UNIV)$   
 $\langle proof \rangle$

**instantiation** *ennreal* :: *linear-continuum-topology*  
**begin**

**definition** *open-ennreal* :: *ennreal set*  $\Rightarrow$  *bool*  
**where** (*open* :: *ennreal set*  $\Rightarrow$  *bool*) = *generate-topology* (*range lessThan*  $\cup$  *range greaterThan*)

**instance**  
 $\langle$ *proof* $\rangle$

**end**

**lemma** *continuous-on-e2ennreal*: *continuous-on A e2ennreal*  
 $\langle$ *proof* $\rangle$

**lemma** *continuous-at-e2ennreal*: *continuous (at x within A) e2ennreal*  
 $\langle$ *proof* $\rangle$

**lemma** *continuous-on-enn2ereal*: *continuous-on UNIV enn2ereal*  
 $\langle$ *proof* $\rangle$

**lemma** *continuous-at-enn2ereal*: *continuous (at x within A) enn2ereal*  
 $\langle$ *proof* $\rangle$

**lemma** *sup-continuous-e2ennreal*[*order-continuous-intros*]:  
**assumes** *f*: *sup-continuous f* **shows** *sup-continuous* ( $\lambda x. e2ennreal (f x)$ )  
 $\langle$ *proof* $\rangle$

**lemma** *sup-continuous-enn2ereal*[*order-continuous-intros*]:  
**assumes** *f*: *sup-continuous f* **shows** *sup-continuous* ( $\lambda x. enn2ereal (f x)$ )  
 $\langle$ *proof* $\rangle$

**lemma** *sup-continuous-mult-left-ennreal'*:  
**fixes** *c* :: *ennreal*  
**shows** *sup-continuous* ( $\lambda x. c * x$ )  
 $\langle$ *proof* $\rangle$

**lemma** *sup-continuous-mult-left-ennreal*[*order-continuous-intros*]:  
*sup-continuous f*  $\Longrightarrow$  *sup-continuous* ( $\lambda x. c * f x$  :: *ennreal*)  
 $\langle$ *proof* $\rangle$

**lemma** *sup-continuous-mult-right-ennreal*[*order-continuous-intros*]:  
*sup-continuous f*  $\Longrightarrow$  *sup-continuous* ( $\lambda x. f x * c$  :: *ennreal*)  
 $\langle$ *proof* $\rangle$

**lemma** *sup-continuous-divide-ennreal*[*order-continuous-intros*]:  
**fixes** *f g* :: '*a*::*complete-lattice*  $\Rightarrow$  *ennreal*  
**shows** *sup-continuous f*  $\Longrightarrow$  *sup-continuous* ( $\lambda x. f x / c$ )  
 $\langle$ *proof* $\rangle$

**lemma** *transfer-enn2ereal-continuous-on* [*transfer-rule*]:  
 $\text{rel-fun } (op =) (\text{rel-fun } (\text{rel-fun } op = \text{pcr-ennreal}) op =) \text{ continuous-on continuous-on}$   
 ⟨*proof*⟩

**lemma** *transfer-sup-continuous*[*transfer-rule*]:  
 $(\text{rel-fun } (\text{rel-fun } (op =) \text{pcr-ennreal}) op =) \text{ sup-continuous sup-continuous}$   
 ⟨*proof*⟩

**lemma** *continuous-on-ennreal*[*tendsto-intros*]:  
 $\text{continuous-on } A f \implies \text{continuous-on } A (\lambda x. \text{ennreal } (f x))$   
 ⟨*proof*⟩

**lemma** *tendsto-ennrealD*:  
**assumes**  $\text{lim}: ((\lambda x. \text{ennreal } (f x)) \longrightarrow \text{ennreal } x) F$   
**assumes**  $*$ :  $\forall_F x \text{ in } F. 0 \leq f x \text{ and } x: 0 \leq x$   
**shows**  $(f \longrightarrow x) F$   
 ⟨*proof*⟩

**lemma** *tendsto-ennreal-iff*[*simp*]:  
 $\forall_F x \text{ in } F. 0 \leq f x \implies 0 \leq x \implies ((\lambda x. \text{ennreal } (f x)) \longrightarrow \text{ennreal } x) F \longleftrightarrow$   
 $(f \longrightarrow x) F$   
 ⟨*proof*⟩

**lemma** *tendsto-enn2ereal-iff*[*simp*]:  $((\lambda i. \text{enn2ereal } (f i)) \longrightarrow \text{enn2ereal } x) F$   
 $\longleftrightarrow (f \longrightarrow x) F$   
 ⟨*proof*⟩

**lemma** *continuous-on-add-ennreal*:  
**fixes**  $f g :: 'a::\text{topological-space} \Rightarrow \text{ennreal}$   
**shows**  $\text{continuous-on } A f \implies \text{continuous-on } A g \implies \text{continuous-on } A (\lambda x. f x$   
 $+ g x)$   
 ⟨*proof*⟩

**lemma** *continuous-on-inverse-ennreal*[*continuous-intros*]:  
**fixes**  $f :: 'a::\text{topological-space} \Rightarrow \text{ennreal}$   
**shows**  $\text{continuous-on } A f \implies \text{continuous-on } A (\lambda x. \text{inverse } (f x))$   
 ⟨*proof*⟩

**instance** *ennreal* :: *topological-comm-monoid-add*  
 ⟨*proof*⟩

**lemma** *sup-continuous-add-ennreal*[*order-continuous-intros*]:  
**fixes**  $f g :: 'a::\text{complete-lattice} \Rightarrow \text{ennreal}$   
**shows**  $\text{sup-continuous } f \implies \text{sup-continuous } g \implies \text{sup-continuous } (\lambda x. f x + g$   
 $x)$   
 ⟨*proof*⟩

**lemma** *ennreal-suminf-lessD*:  $(\sum i. f i :: \text{ennreal}) < x \implies f i < x$

*<proof>*

**lemma** *sums-ennreal[simp]*:  $(\bigwedge i. 0 \leq f\ i) \implies 0 \leq x \implies (\lambda i. \text{ennreal } (f\ i)) \text{ sums } \text{ennreal } x \longleftrightarrow f \text{ sums } x$   
*<proof>*

**lemma** *summable-suminf-not-top*:  $(\bigwedge i. 0 \leq f\ i) \implies (\sum i. \text{ennreal } (f\ i)) \neq \text{top} \implies \text{summable } f$   
*<proof>*

**lemma** *suminf-ennreal[simp]*:  
 $(\bigwedge i. 0 \leq f\ i) \implies (\sum i. \text{ennreal } (f\ i)) \neq \text{top} \implies (\sum i. \text{ennreal } (f\ i)) = \text{ennreal } (\sum i. f\ i)$   
*<proof>*

**lemma** *sums-enn2ereal[simp]*:  $(\lambda i. \text{enn2ereal } (f\ i)) \text{ sums } \text{enn2ereal } x \longleftrightarrow f \text{ sums } x$   
*<proof>*

**lemma** *suminf-enn2ereal[simp]*:  $(\sum i. \text{enn2ereal } (f\ i)) = \text{enn2ereal } (\text{suminf } f)$   
*<proof>*

**lemma** *transfer-e2ennreal-suminf [transfer-rule]*: *rel-fun (rel-fun op = pcr-ennreal) pcr-ennreal suminf suminf*  
*<proof>*

**lemma** *ennreal-suminf-cmult[simp]*:  $(\sum i. r * f\ i) = r * (\sum i. f\ i::\text{ennreal})$   
*<proof>*

**lemma** *ennreal-suminf-multc[simp]*:  $(\sum i. f\ i * r) = (\sum i. f\ i::\text{ennreal}) * r$   
*<proof>*

**lemma** *ennreal-suminf-divide[simp]*:  $(\sum i. f\ i / r) = (\sum i. f\ i::\text{ennreal}) / r$   
*<proof>*

**lemma** *ennreal-suminf-neq-top*:  $\text{summable } f \implies (\bigwedge i. 0 \leq f\ i) \implies (\sum i. \text{ennreal } (f\ i)) \neq \text{top}$   
*<proof>*

**lemma** *suminf-ennreal-eq*:  
 $(\bigwedge i. 0 \leq f\ i) \implies f \text{ sums } x \implies (\sum i. \text{ennreal } (f\ i)) = \text{ennreal } x$   
*<proof>*

**lemma** *ennreal-suminf-bound-add*:  
**fixes**  $f :: \text{nat} \Rightarrow \text{ennreal}$   
**shows**  $(\bigwedge N. (\sum n < N. f\ n) + y \leq x) \implies \text{suminf } f + y \leq x$   
*<proof>*

**lemma** *ennreal-suminf-SUP-eq-directed*:

**fixes**  $f :: 'a \Rightarrow \text{nat} \Rightarrow \text{ennreal}$   
**assumes**  $*$ :  $\bigwedge N i j. i \in I \Longrightarrow j \in I \Longrightarrow \text{finite } N \Longrightarrow \exists k \in I. \forall n \in N. f i n \leq f k n$   
 $n \wedge f j n \leq f k n$   
**shows**  $(\sum n. \text{SUP } i:I. f i n) = (\text{SUP } i:I. \sum n. f i n)$   
 $\langle \text{proof} \rangle$

**lemma** *INF-ennreal-add-const*:  
**fixes**  $f g :: \text{nat} \Rightarrow \text{ennreal}$   
**shows**  $(\text{INF } i. f i + c) = (\text{INF } i. f i) + c$   
 $\langle \text{proof} \rangle$

**lemma** *INF-ennreal-const-add*:  
**fixes**  $f g :: \text{nat} \Rightarrow \text{ennreal}$   
**shows**  $(\text{INF } i. c + f i) = c + (\text{INF } i. f i)$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-mult-left-ennreal*:  $c * (\text{SUP } i:I. f i) = (\text{SUP } i:I. c * f i :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-mult-right-ennreal*:  $(\text{SUP } i:I. f i) * c = (\text{SUP } i:I. f i * c :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-divide-ennreal*:  $(\text{SUP } i:I. f i) / c = (\text{SUP } i:I. f i / c :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-SUP-of-nat-eq-top*:  $(\text{SUP } x. \text{of-nat } x :: \text{ennreal}) = \text{top}$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-SUP-eq-top*:  
**fixes**  $f :: 'a \Rightarrow \text{ennreal}$   
**assumes**  $\bigwedge n. \exists i \in I. \text{of-nat } n \leq f i$   
**shows**  $(\text{SUP } i : I. f i) = \text{top}$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-INF-const-minus*:  
**fixes**  $f :: 'a \Rightarrow \text{ennreal}$   
**shows**  $I \neq \{\} \Longrightarrow (\text{SUP } x:I. c - f x) = c - (\text{INF } x:I. f x)$   
 $\langle \text{proof} \rangle$

**lemma** *of-nat-Sup-ennreal*:  
**assumes**  $A \neq \{\}$  *bdd-above*  $A$   
**shows**  $\text{of-nat } (\text{Sup } A) = (\text{SUP } a:A. \text{of-nat } a :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-tendsto-const-minus*:  
**fixes**  $g :: 'a \Rightarrow \text{ennreal}$   
**assumes**  $ae: \forall_F x \text{ in } F. g x \leq c$   
**assumes**  $g: ((\lambda x. c - g x) \longrightarrow 0) F$   
**shows**  $(g \longrightarrow c) F$



*<proof>*

**lemma** *ennreal-SUP-add*:

**fixes**  $f g :: \text{nat} \Rightarrow \text{ennreal}$

**shows**  $\text{incseq } f \Longrightarrow \text{incseq } g \Longrightarrow (\text{SUP } i. f \ i + g \ i) = \text{SUPREMUM UNIV } f + \text{SUPREMUM UNIV } g$

*<proof>*

**lemma** *ennreal-SUP-sum*:

**fixes**  $f :: 'a \Rightarrow \text{nat} \Rightarrow \text{ennreal}$

**shows**  $(\bigwedge i. i \in I \Longrightarrow \text{incseq } (f \ i)) \Longrightarrow (\text{SUP } n. \sum_{i \in I}. f \ i \ n) = (\sum_{i \in I}. \text{SUP } n. f \ i \ n)$

*<proof>*

**lemma** *ennreal-liminf-minus*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ennreal}$

**shows**  $(\bigwedge n. f \ n \leq c) \Longrightarrow \text{liminf } (\lambda n. c - f \ n) = c - \text{limsup } f$

*<proof>*

**lemma** *ennreal-continuous-on-cmult*:

$(c :: \text{ennreal}) < \text{top} \Longrightarrow \text{continuous-on } A \ f \Longrightarrow \text{continuous-on } A \ (\lambda x. c * f \ x)$

*<proof>*

**lemma** *ennreal-tendsto-cmult*:

$(c :: \text{ennreal}) < \text{top} \Longrightarrow (f \longrightarrow x) \ F \Longrightarrow ((\lambda x. c * f \ x) \longrightarrow c * x) \ F$

*<proof>*

**lemma** *tendsto-ennrealI[intro, simp]*:

$(f \longrightarrow x) \ F \Longrightarrow ((\lambda x. \text{ennreal } (f \ x)) \longrightarrow \text{ennreal } x) \ F$

*<proof>*

**lemma** *ennreal-suminf-minus*:

**fixes**  $f g :: \text{nat} \Rightarrow \text{ennreal}$

**shows**  $(\bigwedge i. g \ i \leq f \ i) \Longrightarrow \text{suminf } f \neq \text{top} \Longrightarrow \text{suminf } g \neq \text{top} \Longrightarrow (\sum i. f \ i - g \ i) = \text{suminf } f - \text{suminf } g$

*<proof>*

**lemma** *ennreal-Sup-countable-SUP*:

$A \neq \{\} \Longrightarrow \exists f :: \text{nat} \Rightarrow \text{ennreal}. \text{incseq } f \wedge \text{range } f \subseteq A \wedge \text{Sup } A = (\text{SUP } i. f \ i)$

*<proof>*

**lemma** *ennreal-Inf-countable-INF*:

$A \neq \{\} \Longrightarrow \exists f :: \text{nat} \Rightarrow \text{ennreal}. \text{decseq } f \wedge \text{range } f \subseteq A \wedge \text{Inf } A = (\text{INF } i. f \ i)$

**including** *ennreal.lifting*

*<proof>*

**lemma** *ennreal-SUP-countable-SUP*:

$A \neq \{\} \Longrightarrow \exists f :: \text{nat} \Rightarrow \text{ennreal}. \text{range } f \subseteq g \ 'A \wedge \text{SUPREMUM } A \ g = \text{SUPREMUM UNIV } f$

$\langle proof \rangle$

**lemma** *of-nat-tendsto-top-ennreal*:  $(\lambda n::nat. \text{of-nat } n :: \text{ennreal}) \longrightarrow \text{top}$   
 $\langle proof \rangle$

**lemma** *SUP-sup-continuous-ennreal*:  
**fixes**  $f :: \text{ennreal} \Rightarrow 'a::\text{complete-lattice}$   
**assumes**  $f: \text{sup-continuous } f$  **and**  $I \neq \{\}$   
**shows**  $(\text{SUP } i:I. f (g i)) = f (\text{SUP } i:I. g i)$   
 $\langle proof \rangle$

**lemma** *ennreal-suminf-SUP-eq*:  
**fixes**  $f :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{ennreal}$   
**shows**  $(\bigwedge i. \text{incseq } (\lambda n. f n i)) \implies (\sum i. \text{SUP } n. f n i) = (\text{SUP } n. \sum i. f n i)$   
 $\langle proof \rangle$

**lemma** *ennreal-SUP-add-left*:  
**fixes**  $c :: \text{ennreal}$   
**shows**  $I \neq \{\} \implies (\text{SUP } i:I. f i + c) = (\text{SUP } i:I. f i) + c$   
 $\langle proof \rangle$

**lemma** *ennreal-SUP-const-minus*:  
**fixes**  $f :: 'a \Rightarrow \text{ennreal}$   
**shows**  $I \neq \{\} \implies c < \text{top} \implies (\text{INF } x:I. c - f x) = c - (\text{SUP } x:I. f x)$   
 $\langle proof \rangle$

### 31.10 Approximation lemmas

**lemma** *INF-approx-ennreal*:  
**fixes**  $x::\text{ennreal}$  **and**  $e::\text{real}$   
**assumes**  $e > 0$   
**assumes**  $\text{INF}: x = (\text{INF } i : A. f i)$   
**assumes**  $x \neq \infty$   
**shows**  $\exists i \in A. f i < x + e$   
 $\langle proof \rangle$

**lemma** *SUP-approx-ennreal*:  
**fixes**  $x::\text{ennreal}$  **and**  $e::\text{real}$   
**assumes**  $e > 0$   $A \neq \{\}$   
**assumes**  $\text{SUP}: x = (\text{SUP } i : A. f i)$   
**assumes**  $x \neq \infty$   
**shows**  $\exists i \in A. x < f i + e$   
 $\langle proof \rangle$

**lemma** *ennreal-approx-SUP*:  
**fixes**  $x::\text{ennreal}$   
**assumes**  $f\text{-bound}: \bigwedge i. i \in A \implies f i \leq x$   
**assumes**  $\text{approx}: \bigwedge e. (e::\text{real}) > 0 \implies \exists i \in A. x \leq f i + e$   
**shows**  $x = (\text{SUP } i : A. f i)$

*<proof>*

**lemma** *ennreal-approx-INF*:

**fixes**  $x::ennreal$

**assumes** *f-bound*:  $\bigwedge i. i \in A \implies x \leq f i$

**assumes** *approx*:  $\bigwedge e. (e::real) > 0 \implies \exists i \in A. f i \leq x + e$

**shows**  $x = (INF i : A. f i)$

*<proof>*

**lemma** *ennreal-approx-unit*:

$(\bigwedge a::ennreal. 0 < a \implies a < 1 \implies a * z \leq y) \implies z \leq y$

*<proof>*

**lemma** *suminf-ennreal2*:

$(\bigwedge i. 0 \leq f i) \implies \text{summable } f \implies (\sum i. \text{ennreal } (f i)) = \text{ennreal } (\sum i. f i)$

*<proof>*

**lemma** *less-top-ennreal*:  $x < \text{top} \longleftrightarrow (\exists r \geq 0. x = \text{ennreal } r)$

*<proof>*

**lemma** *tendsto-top-iff-ennreal*:

**fixes**  $f :: 'a \Rightarrow \text{ennreal}$

**shows**  $(f \longrightarrow \text{top}) F \longleftrightarrow (\forall l \geq 0. \text{eventually } (\lambda x. \text{ennreal } l < f x) F)$

*<proof>*

**lemma** *ennreal-tendsto-top-eq-at-top*:

$((\lambda z. \text{ennreal } (f z)) \longrightarrow \text{top}) F \longleftrightarrow (LIM z F. f z :> \text{at-top})$

*<proof>*

**lemma** *tendsto-0-if-Limsup-eq-0-ennreal*:

**fixes**  $f :: - \Rightarrow \text{ennreal}$

**shows**  $Limsup F f = 0 \implies (f \longrightarrow 0) F$

*<proof>*

**lemma** *diff-le-self-ennreal[simp]*:  $a - b \leq (a::ennreal)$

*<proof>*

**lemma** *ennreal-ineq-diff-add*:  $b \leq a \implies a = b + (a - b::ennreal)$

*<proof>*

**lemma** *ennreal-mult-strict-left-mono*:  $(a::ennreal) < c \implies 0 < b \implies b < \text{top} \implies$

$b * a < b * c$

*<proof>*

**lemma** *ennreal-between*:  $0 < e \implies 0 < x \implies x < \text{top} \implies x - e < (x::ennreal)$

*<proof>*

**lemma** *minus-less-iff-ennreal*:  $b < \text{top} \implies b \leq a \implies a - b < c \longleftrightarrow a < c +$

$(b::ennreal)$

*<proof>*

**lemma** *tendsto-zero-ennreal*:

**assumes** *ev*:  $\bigwedge r. 0 < r \implies \forall_F x \text{ in } F. f x < \text{ennreal } r$

**shows**  $(f \longrightarrow 0) F$

*<proof>*

**lifting-update** *ennreal.lifting*

**lifting-forget** *ennreal.lifting*

### 31.11 *ennreal* theorems

**lemma** *neq-top-trans*: **fixes**  $x y :: \text{ennreal}$  **shows**  $\llbracket y \neq \text{top}; x \leq y \rrbracket \implies x \neq \text{top}$

*<proof>*

**lemma** *diff-diff-ennreal*: **fixes**  $a b :: \text{ennreal}$  **shows**  $a \leq b \implies b \neq \infty \implies b - (b - a) = a$

*<proof>*

**lemma** *ennreal-less-one-iff[simp]*:  $\text{ennreal } x < 1 \iff x < 1$

*<proof>*

**lemma** *SUP-const-minus-ennreal*:

**fixes**  $f :: 'a \Rightarrow \text{ennreal}$  **shows**  $I \neq \{\} \implies (\text{SUP } x:I. c - f x) = c - (\text{INF } x:I. f x)$

**including** *ennreal.lifting*

*<proof>*

**lemma** *zero-minus-ennreal[simp]*:  $0 - (a :: \text{ennreal}) = 0$

**including** *ennreal.lifting*

*<proof>*

**lemma** *diff-diff-commute-ennreal*:

**fixes**  $a b c :: \text{ennreal}$  **shows**  $a - b - c = a - c - b$

*<proof>*

**lemma** *diff-gr0-ennreal*:  $b < (a :: \text{ennreal}) \implies 0 < a - b$

**including** *ennreal.lifting* *<proof>*

**lemma** *divide-le-posI-ennreal*:

**fixes**  $x y z :: \text{ennreal}$

**shows**  $x > 0 \implies z \leq x * y \implies z / x \leq y$

*<proof>*

**lemma** *add-diff-eq-ennreal*:

**fixes**  $x y z :: \text{ennreal}$

**shows**  $z \leq y \implies x + (y - z) = x + y - z$

**including** *ennreal.lifting*

*<proof>*

**lemma** *add-diff-inverse-ennreal*:

**fixes**  $x\ y :: \text{ennreal}$  **shows**  $x \leq y \implies x + (y - x) = y$   
 ⟨*proof*⟩

**lemma** *add-diff-eq-iff-ennreal[simp]*:

**fixes**  $x\ y :: \text{ennreal}$  **shows**  $x + (y - x) = y \longleftrightarrow x \leq y$   
 ⟨*proof*⟩

**lemma** *add-diff-le-ennreal*:  $a + b - c \leq a + (b - c :: \text{ennreal})$

⟨*proof*⟩

**lemma** *diff-eq-0-ennreal*:  $a < \text{top} \implies a \leq b \implies a - b = (0 :: \text{ennreal})$

⟨*proof*⟩

**lemma** *diff-diff-ennreal'*: **fixes**  $x\ y\ z :: \text{ennreal}$  **shows**  $z \leq y \implies y - z \leq x \implies x - (y - z) = x + z - y$

⟨*proof*⟩

**lemma** *diff-diff-ennreal''*: **fixes**  $x\ y\ z :: \text{ennreal}$

**shows**  $z \leq y \implies x - (y - z) = (\text{if } y - z \leq x \text{ then } x + z - y \text{ else } 0)$

⟨*proof*⟩

**lemma** *power-less-top-ennreal*: **fixes**  $x :: \text{ennreal}$  **shows**  $x ^ n < \text{top} \longleftrightarrow x < \text{top} \vee n = 0$

⟨*proof*⟩

**lemma** *ennreal-divide-times*:  $(a / b) * c = a * (c / b :: \text{ennreal})$

⟨*proof*⟩

**lemma** *diff-less-top-ennreal*:  $a - b < \text{top} \longleftrightarrow a < (\text{top} :: \text{ennreal})$

⟨*proof*⟩

**lemma** *divide-less-ennreal*:  $b \neq 0 \implies b < \text{top} \implies a / b < c \longleftrightarrow a < (c * b :: \text{ennreal})$

⟨*proof*⟩

**lemma** *one-less-numeral[simp]*:  $1 < (\text{numeral } n :: \text{ennreal}) \longleftrightarrow (\text{num.One} < n)$

⟨*proof*⟩

**lemma** *divide-eq-1-ennreal*:  $a / b = (1 :: \text{ennreal}) \longleftrightarrow (b \neq \text{top} \wedge b \neq 0 \wedge b = a)$

⟨*proof*⟩

**lemma** *ennreal-mult-cancel-left*:  $(a * b = a * c) = (a = \text{top} \wedge b \neq 0 \wedge c \neq 0 \vee a = 0 \vee b = (c :: \text{ennreal}))$

⟨*proof*⟩

**lemma** *ennreal-minus-if*:  $\text{ennreal } a - \text{ennreal } b = \text{ennreal } (\text{if } 0 \leq b \text{ then } (\text{if } b \leq a \text{ then } a - b \text{ else } 0) \text{ else } a)$

*<proof>*

**lemma** *ennreal-plus-if*:  $\text{ennreal } a + \text{ennreal } b = \text{ennreal } (if\ 0 \leq a\ then\ (if\ 0 \leq b\ then\ a + b\ else\ a)\ else\ b)$

*<proof>*

**lemma** *power-le-one-iff*:  $0 \leq (a::\text{real}) \implies a \wedge n \leq 1 \iff (n = 0 \vee a \leq 1)$

*<proof>*

**lemma** *ennreal-diff-le-mono-left*:  $a \leq b \implies a - c \leq (b::\text{ennreal})$

*<proof>*

**lemma** *ennreal-minus-le-iff*:  $a - b \leq c \iff (a \leq b + (c::\text{ennreal}) \wedge (a = \text{top} \wedge b = \text{top} \longrightarrow c = \text{top}))$

*<proof>*

**lemma** *ennreal-le-minus-iff*:  $a \leq b - c \iff (a + c \leq (b::\text{ennreal}) \vee (a = 0 \wedge b \leq c))$

*<proof>*

**lemma** *diff-add-eq-diff-diff-swap-ennreal*:  $x - (y + z :: \text{ennreal}) = x - y - z$

*<proof>*

**lemma** *diff-add-assoc2-ennreal*:  $b \leq a \implies (a - b + c::\text{ennreal}) = a + c - b$

*<proof>*

**lemma** *diff-gt-0-iff-gt-ennreal*:  $0 < a - b \iff (a = \text{top} \wedge b = \text{top} \vee b < (a::\text{ennreal}))$

*<proof>*

**lemma** *diff-eq-0-iff-ennreal*:  $(a - b::\text{ennreal}) = 0 \iff (a < \text{top} \wedge a \leq b)$

*<proof>*

**lemma** *add-diff-self-ennreal*:  $a + (b - a::\text{ennreal}) = (if\ a \leq b\ then\ b\ else\ a)$

*<proof>*

**lemma** *diff-add-self-ennreal*:  $(b - a + a::\text{ennreal}) = (if\ a \leq b\ then\ b\ else\ a)$

*<proof>*

**lemma** *ennreal-minus-cancel-iff*:

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $a - b = a - c \iff (b = c \vee (a \leq b \wedge a \leq c) \vee a = \text{top})$

*<proof>*

**lemma** *SUP-diff-ennreal*:

$c < \text{top} \implies (\text{SUP } i:I. f\ i - c :: \text{ennreal}) = (\text{SUP } i:I. f\ i) - c$

*<proof>*

**lemma** *ennreal-SUP-add-right*:

**fixes**  $c :: \text{ennreal}$  **shows**  $I \neq \{\}$   $\implies c + (\text{SUP } i:I. f i) = (\text{SUP } i:I. c + f i)$   
 ⟨proof⟩

**lemma** *SUP-add-directed-ennreal*:

**fixes**  $f g :: - \Rightarrow \text{ennreal}$

**assumes** *directed*:  $\bigwedge i j. i \in I \implies j \in I \implies \exists k \in I. f i + g j \leq f k + g k$

**shows**  $(\text{SUP } i:I. f i + g i) = (\text{SUP } i:I. f i) + (\text{SUP } i:I. g i)$

⟨proof⟩

**lemma** *enn2real-eq-0-iff*:  $\text{enn2real } x = 0 \iff x = 0 \vee x = \text{top}$

⟨proof⟩

**lemma** (**in**  $-$ ) *continuous-on-diff-ereal*:

*continuous-on*  $A f \implies \text{continuous-on } A g \implies (\bigwedge x. x \in A \implies |f x| \neq \infty) \implies$

$(\bigwedge x. x \in A \implies |g x| \neq \infty) \implies \text{continuous-on } A (\lambda z. f z - g z :: \text{ereal})$

⟨proof⟩

**lemma** (**in**  $-$ ) *continuous-on-diff-ennreal*:

*continuous-on*  $A f \implies \text{continuous-on } A g \implies (\bigwedge x. x \in A \implies f x \neq \text{top}) \implies$

$(\bigwedge x. x \in A \implies g x \neq \text{top}) \implies \text{continuous-on } A (\lambda z. f z - g z :: \text{ennreal})$

**including** *ennreal.lifting*

⟨proof⟩

**lemma** (**in**  $-$ ) *tendsto-diff-ennreal*:

$(f \longrightarrow x) F \implies (g \longrightarrow y) F \implies x \neq \text{top} \implies y \neq \text{top} \implies ((\lambda z. f z - g z :: \text{ennreal}) \longrightarrow x - y) F$

⟨proof⟩

**end**

## 32 Type of finite sets defined as a subtype of sets

**theory** *FSet*

**imports** *Main Countable*

**begin**

### 32.1 Definition of the type

**typedef**  $'a \text{ fset} = \{A :: 'a \text{ set. finite } A\}$  **morphisms** *fset Abs-fset*

⟨proof⟩

**setup-lifting** *type-definition-fset*

### 32.2 Basic operations and type class instantiations

**instantiation** *fset* ::  $(\text{finite}) \text{ finite}$

**begin**

**instance** ⟨proof⟩

**end**

```

instantiation fset :: (type) {bounded-lattice-bot, distrib-lattice, minus}
begin

lift-definition bot-fset :: 'a fset is {} parametric empty-transfer ⟨proof⟩

lift-definition less-eq-fset :: 'a fset ⇒ 'a fset ⇒ bool is subset-eq parametric
subset-transfer
⟨proof⟩

definition less-fset :: 'a fset ⇒ 'a fset ⇒ bool where  $xs < ys \equiv xs \leq ys \wedge xs \neq$ 
 $(ys :: 'a \text{ fset})$ 

lemma less-fset-transfer[transfer-rule]:
includes lifting-syntax
assumes [transfer-rule]: bi-unique A
shows ((pcr-fset A) == => (pcr-fset A) == => op =) op ⊂ op <
⟨proof⟩

lift-definition sup-fset :: 'a fset ⇒ 'a fset ⇒ 'a fset is union parametric union-transfer
⟨proof⟩

lift-definition inf-fset :: 'a fset ⇒ 'a fset ⇒ 'a fset is inter parametric inter-transfer
⟨proof⟩

lift-definition minus-fset :: 'a fset ⇒ 'a fset ⇒ 'a fset is minus parametric
Diff-transfer
⟨proof⟩

instance
⟨proof⟩

end

abbreviation fempty :: 'a fset ({}|) where {}| ≡ bot
abbreviation fsubset-eq :: 'a fset ⇒ 'a fset ⇒ bool (infix |⊆| 50) where  $xs |⊆|$ 
 $ys \equiv xs \leq ys$ 
abbreviation fsubset :: 'a fset ⇒ 'a fset ⇒ bool (infix |⊂| 50) where  $xs |⊂|$ 
 $ys \equiv xs < ys$ 
abbreviation funion :: 'a fset ⇒ 'a fset ⇒ 'a fset (infixl |∪| 65) where  $xs |∪|$ 
 $ys \equiv \text{sup } xs \text{ } ys$ 
abbreviation finter :: 'a fset ⇒ 'a fset ⇒ 'a fset (infixl |∩| 65) where  $xs |∩|$ 
 $ys \equiv \text{inf } xs \text{ } ys$ 
abbreviation fminus :: 'a fset ⇒ 'a fset ⇒ 'a fset (infixl |-| 65) where  $xs |-|$ 
 $ys \equiv \text{minus } xs \text{ } ys$ 

instantiation fset :: (equal) equal
begin

```



**definition** *HOL.equal*  $A B \longleftrightarrow A \sqsubseteq B \wedge B \sqsubseteq A$

**instance**  $\langle \text{proof} \rangle$

**end**

**instantiation** *fset* :: (type) conditionally-complete-lattice

**begin**

**context includes** *lifting-syntax*

**begin**

**lemma** *right-total-Inf-fset-transfer*:

**assumes** [*transfer-rule*]: *bi-unique*  $A$  **and** [*transfer-rule*]: *right-total*  $A$

**shows** (*rel-set* (*rel-set*  $A$ ))  $\implies$  *rel-set*  $A$

( $\lambda S. \text{if finite } (\bigcap S \cap \text{Collect } (\text{Domainp } A)) \text{ then } \bigcap S \cap \text{Collect } (\text{Domainp } A)$   
else  $\{\}$ )

( $\lambda S. \text{if finite } (\text{Inf } S) \text{ then } \text{Inf } S \text{ else } \{\}$ )

$\langle \text{proof} \rangle$

**lemma** *Inf-fset-transfer*:

**assumes** [*transfer-rule*]: *bi-unique*  $A$  **and** [*transfer-rule*]: *bi-total*  $A$

**shows** (*rel-set* (*rel-set*  $A$ ))  $\implies$  *rel-set*  $A$  ( $\lambda A. \text{if finite } (\text{Inf } A) \text{ then } \text{Inf } A \text{ else } \{\}$ )

( $\lambda A. \text{if finite } (\text{Inf } A) \text{ then } \text{Inf } A \text{ else } \{\}$ )

$\langle \text{proof} \rangle$

**lift-definition** *Inf-fset* :: 'a fset set  $\Rightarrow$  'a fset **is**  $\lambda A. \text{if finite } (\text{Inf } A) \text{ then } \text{Inf } A$   
else  $\{\}$

**parametric** *right-total-Inf-fset-transfer* *Inf-fset-transfer*  $\langle \text{proof} \rangle$

**lemma** *Sup-fset-transfer*:

**assumes** [*transfer-rule*]: *bi-unique*  $A$

**shows** (*rel-set* (*rel-set*  $A$ ))  $\implies$  *rel-set*  $A$  ( $\lambda A. \text{if finite } (\text{Sup } A) \text{ then } \text{Sup } A$   
else  $\{\}$ )

( $\lambda A. \text{if finite } (\text{Sup } A) \text{ then } \text{Sup } A \text{ else } \{\}$ )  $\langle \text{proof} \rangle$

**lift-definition** *Sup-fset* :: 'a fset set  $\Rightarrow$  'a fset **is**  $\lambda A. \text{if finite } (\text{Sup } A) \text{ then } \text{Sup } A$   
else  $\{\}$

**parametric** *Sup-fset-transfer*  $\langle \text{proof} \rangle$

**lemma** *finite-Sup*:  $\exists z. \text{finite } z \wedge (\forall a. a \in X \longrightarrow a \leq z) \implies \text{finite } (\text{Sup } X)$

$\langle \text{proof} \rangle$

**lemma** *transfer-bdd-below*[*transfer-rule*]: (*rel-set* (*pcr-fset*  $op =$ ))  $\implies$   $op =$   
*bdd-below* *bdd-below*

$\langle \text{proof} \rangle$

**end**

**instance**

*<proof>*  
**end**

**instantiation** *fset* :: (*finite*) *complete-lattice*  
**begin**

**lift-definition** *top-fset* :: 'a *fset* **is** *UNIV* **parametric** *right-total-UNIV-transfer*  
*UNIV-transfer*  
*<proof>*

**instance**  
*<proof>*

**end**

**instantiation** *fset* :: (*finite*) *complete-boolean-algebra*  
**begin**

**lift-definition** *uminus-fset* :: 'a *fset*  $\Rightarrow$  'a *fset* **is** *uminus*  
**parametric** *right-total-Compl-transfer* *Compl-transfer* *<proof>*

**instance**  
*<proof>*

**end**

**abbreviation** *fUNIV* :: 'a::*finite* *fset* **where** *fUNIV*  $\equiv$  *top*  
**abbreviation** *fuminus* :: 'a::*finite* *fset*  $\Rightarrow$  'a *fset* (*|-* - [81] 80) **where** *|-* *x*  $\equiv$   
*uminus x*

**declare** *top-fset.rep-eq[simp]*

### 32.3 Other operations

**lift-definition** *finsert* :: 'a  $\Rightarrow$  'a *fset*  $\Rightarrow$  'a *fset* **is** *insert* **parametric** *Lifting-Set.insert-transfer*  
*<proof>*

**syntax**  
*-insert-fset* :: *args*  $\Rightarrow$  'a *fset* (*{|(-)|}*)

**translations**  
*{|x, xs|}*  $\equiv$  *CONST finsert x {|xs|}*  
*{|x|}*  $\equiv$  *CONST finsert x {|}|*

**lift-definition** *fmember* :: 'a  $\Rightarrow$  'a *fset*  $\Rightarrow$  *bool* (**infix** *| $\in$ |* 50) **is** *Set.member*  
**parametric** *member-transfer* *<proof>*

**abbreviation** *notin-fset* :: 'a  $\Rightarrow$  'a *fset*  $\Rightarrow$  *bool* (**infix** *| $\notin$ |* 50) **where** *x* *| $\notin$ |* *S*  $\equiv$   
 $\neg (x | \in | S)$

**context includes** *lifting-syntax*

**begin**

**lift-definition** *ffilter* :: ('a ⇒ bool) ⇒ 'a fset ⇒ 'a fset **is** *Set.filter*  
**parametric** *Lifting-Set.filter-transfer* ⟨proof⟩

**lift-definition** *fPow* :: 'a fset ⇒ 'a fset fset **is** *Pow* **parametric** *Pow-transfer*  
 ⟨proof⟩

**lift-definition** *fcard* :: 'a fset ⇒ nat **is** *card* **parametric** *card-transfer* ⟨proof⟩

**lift-definition** *fimage* :: ('a ⇒ 'b) ⇒ 'a fset ⇒ 'b fset (**infixr** |' 90) **is** *image*  
**parametric** *image-transfer* ⟨proof⟩

**lift-definition** *fthe-elem* :: 'a fset ⇒ 'a **is** *the-elem* ⟨proof⟩

**lift-definition** *fbind* :: 'a fset ⇒ ('a ⇒ 'b fset) ⇒ 'b fset **is** *Set.bind* **parametric**  
*bind-transfer*  
 ⟨proof⟩

**lift-definition** *ffUnion* :: 'a fset fset ⇒ 'a fset **is** *Union* **parametric** *Union-transfer*  
 ⟨proof⟩

**lift-definition** *fBall* :: 'a fset ⇒ ('a ⇒ bool) ⇒ bool **is** *Ball* **parametric** *Ball-transfer*  
 ⟨proof⟩

**lift-definition** *fBex* :: 'a fset ⇒ ('a ⇒ bool) ⇒ bool **is** *Bex* **parametric** *Bex-transfer*  
 ⟨proof⟩

**lift-definition** *ffold* :: ('a ⇒ 'b ⇒ 'b) ⇒ 'b ⇒ 'a fset ⇒ 'b **is** *Finite-Set.fold*  
 ⟨proof⟩

**lift-definition** *fset-of-list* :: 'a list ⇒ 'a fset **is** *set* ⟨proof⟩

### 32.4 Transferred lemmas from Set.thy

**lemmas** *fset-eqI* = *set-eqI*[*Transfer.transferred*]

**lemmas** *fset-eq-iff*[*no-atp*] = *set-eq-iff*[*Transfer.transferred*]

**lemmas** *fBall*[*intro!*] = *ballI*[*Transfer.transferred*]

**lemmas** *fbspec*[*dest?*] = *bspec*[*Transfer.transferred*]

**lemmas** *fBallE*[*elim*] = *ballE*[*Transfer.transferred*]

**lemmas** *fBexI*[*intro*] = *bexI*[*Transfer.transferred*]

**lemmas** *rev-fBexI*[*intro?*] = *rev-bexI*[*Transfer.transferred*]

**lemmas** *fBexCI* = *bexCI*[*Transfer.transferred*]

**lemmas** *fBexE*[*elim!*] = *bexE*[*Transfer.transferred*]

**lemmas** *fBall-triv*[*simp*] = *ball-triv*[*Transfer.transferred*]

**lemmas** *fBex-triv*[*simp*] = *bex-triv*[*Transfer.transferred*]

**lemmas** *fBex-triv-one-point1*[*simp*] = *bex-triv-one-point1*[*Transfer.transferred*]

**lemmas** *fBex-triv-one-point2*[*simp*] = *bex-triv-one-point2*[*Transfer.transferred*]

**lemmas**  $fBex\text{-}one\text{-}point1[simp] = bex\text{-}one\text{-}point1[Transfer.transferred]$   
**lemmas**  $fBex\text{-}one\text{-}point2[simp] = bex\text{-}one\text{-}point2[Transfer.transferred]$   
**lemmas**  $fBall\text{-}one\text{-}point1[simp] = ball\text{-}one\text{-}point1[Transfer.transferred]$   
**lemmas**  $fBall\text{-}one\text{-}point2[simp] = ball\text{-}one\text{-}point2[Transfer.transferred]$   
**lemmas**  $fBall\text{-}conj\text{-}distrib = ball\text{-}conj\text{-}distrib[Transfer.transferred]$   
**lemmas**  $fBex\text{-}disj\text{-}distrib = bex\text{-}disj\text{-}distrib[Transfer.transferred]$   
**lemmas**  $fBall\text{-}cong[fundef\text{-}cong] = ball\text{-}cong[Transfer.transferred]$   
**lemmas**  $fBex\text{-}cong[fundef\text{-}cong] = bex\text{-}cong[Transfer.transferred]$   
**lemmas**  $fsubsetI[intro!] = subsetI[Transfer.transferred]$   
**lemmas**  $fsubsetD[elim, intro?] = subsetD[Transfer.transferred]$   
**lemmas**  $rev\text{-}fsubsetD[no\text{-}atp, intro?] = rev\text{-}subsetD[Transfer.transferred]$   
**lemmas**  $fsubsetCE[no\text{-}atp, elim] = subsetCE[Transfer.transferred]$   
**lemmas**  $fsubset\text{-}eq[no\text{-}atp] = subset\text{-}eq[Transfer.transferred]$   
**lemmas**  $contra\text{-}fsubsetD[no\text{-}atp] = contra\text{-}subsetD[Transfer.transferred]$   
**lemmas**  $fsubset\text{-}refl = subset\text{-}refl[Transfer.transferred]$   
**lemmas**  $fsubset\text{-}trans = subset\text{-}trans[Transfer.transferred]$   
**lemmas**  $fset\text{-}rev\text{-}mp = set\text{-}rev\text{-}mp[Transfer.transferred]$   
**lemmas**  $fset\text{-}mp = set\text{-}mp[Transfer.transferred]$   
**lemmas**  $fsubset\text{-}not\text{-}fsubset\text{-}eq[code] = subset\text{-}not\text{-}subset\text{-}eq[Transfer.transferred]$   
**lemmas**  $eq\text{-}fmem\text{-}trans = eq\text{-}mem\text{-}trans[Transfer.transferred]$   
**lemmas**  $fsubset\text{-}antisym[intro!] = subset\text{-}antisym[Transfer.transferred]$   
**lemmas**  $fequalityD1 = equalityD1[Transfer.transferred]$   
**lemmas**  $fequalityD2 = equalityD2[Transfer.transferred]$   
**lemmas**  $fequalityE = equalityE[Transfer.transferred]$   
**lemmas**  $fequalityCE[elim] = equalityCE[Transfer.transferred]$   
**lemmas**  $eqfset\text{-}imp\text{-}iff = eqset\text{-}imp\text{-}iff[Transfer.transferred]$   
**lemmas**  $eqfelem\text{-}imp\text{-}iff = eqelem\text{-}imp\text{-}iff[Transfer.transferred]$   
**lemmas**  $fempty\text{-}iff[simp] = empty\text{-}iff[Transfer.transferred]$   
**lemmas**  $fempty\text{-}fsubsetI[iff] = empty\text{-}subsetI[Transfer.transferred]$   
**lemmas**  $equalsffemptyI = equals0I[Transfer.transferred]$   
**lemmas**  $equalsffemptyD = equals0D[Transfer.transferred]$   
**lemmas**  $fBall\text{-}fempty[simp] = ball\text{-}empty[Transfer.transferred]$   
**lemmas**  $fBex\text{-}fempty[simp] = bex\text{-}empty[Transfer.transferred]$   
**lemmas**  $fPow\text{-}iff[iff] = Pow\text{-}iff[Transfer.transferred]$   
**lemmas**  $fPowI = PowI[Transfer.transferred]$   
**lemmas**  $fPowD = PowD[Transfer.transferred]$   
**lemmas**  $fPow\text{-}bottom = Pow\text{-}bottom[Transfer.transferred]$   
**lemmas**  $fPow\text{-}top = Pow\text{-}top[Transfer.transferred]$   
**lemmas**  $fPow\text{-}not\text{-}fempty = Pow\text{-}not\text{-}empty[Transfer.transferred]$   
**lemmas**  $finter\text{-}iff[simp] = Int\text{-}iff[Transfer.transferred]$   
**lemmas**  $finterI[intro!] = IntI[Transfer.transferred]$   
**lemmas**  $finterD1 = IntD1[Transfer.transferred]$   
**lemmas**  $finterD2 = IntD2[Transfer.transferred]$   
**lemmas**  $finterE[elim!] = IntE[Transfer.transferred]$   
**lemmas**  $funion\text{-}iff[simp] = Un\text{-}iff[Transfer.transferred]$   
**lemmas**  $funionI1[elim?] = UnI1[Transfer.transferred]$   
**lemmas**  $funionI2[elim?] = UnI2[Transfer.transferred]$   
**lemmas**  $funionCI[intro!] = UnCI[Transfer.transferred]$   
**lemmas**  $funionE[elim!] = UnE[Transfer.transferred]$

**lemmas**  $fminus\text{-iff}[simp] = Diff\text{-iff}[Transfer.transferred]$   
**lemmas**  $fminusI[intro!] = DiffI[Transfer.transferred]$   
**lemmas**  $fminusD1 = DiffD1[Transfer.transferred]$   
**lemmas**  $fminusD2 = DiffD2[Transfer.transferred]$   
**lemmas**  $fminusE[elim!] = DiffE[Transfer.transferred]$   
**lemmas**  $finsert\text{-iff}[simp] = insert\text{-iff}[Transfer.transferred]$   
**lemmas**  $finsertI1 = insertI1[Transfer.transferred]$   
**lemmas**  $finsertI2 = insertI2[Transfer.transferred]$   
**lemmas**  $finsertE[elim!] = insertE[Transfer.transferred]$   
**lemmas**  $finsertCI[intro!] = insertCI[Transfer.transferred]$   
**lemmas**  $fsubset\text{-finsert}\text{-iff} = subset\text{-insert}\text{-iff}[Transfer.transferred]$   
**lemmas**  $finsert\text{-ident} = insert\text{-ident}[Transfer.transferred]$   
**lemmas**  $fsingletonI[intro!,no-atp] = singletonI[Transfer.transferred]$   
**lemmas**  $fsingletonD[dest!,no-atp] = singletonD[Transfer.transferred]$   
**lemmas**  $fsingleton\text{-iff} = singleton\text{-iff}[Transfer.transferred]$   
**lemmas**  $fsingleton\text{-inject}[dest!] = singleton\text{-inject}[Transfer.transferred]$   
**lemmas**  $fsingleton\text{-finsert}\text{-inj}\text{-eq}[iff,no-atp] = singleton\text{-insert}\text{-inj}\text{-eq}[Transfer.transferred]$   
**lemmas**  $fsingleton\text{-finsert}\text{-inj}\text{-eq}'[iff,no-atp] = singleton\text{-insert}\text{-inj}\text{-eq}'[Transfer.transferred]$   
**lemmas**  $fsubset\text{-fsingleton}D = subset\text{-singleton}D[Transfer.transferred]$   
**lemmas**  $fminus\text{-single}\text{-finsert} = Diff\text{-single}\text{-insert}[Transfer.transferred]$   
**lemmas**  $fdoubleton\text{-eq}\text{-iff} = doubleton\text{-eq}\text{-iff}[Transfer.transferred]$   
**lemmas**  $funion\text{-fsingleton}\text{-iff} = Un\text{-singleton}\text{-iff}[Transfer.transferred]$   
**lemmas**  $fsingleton\text{-funion}\text{-iff} = singleton\text{-Un}\text{-iff}[Transfer.transferred]$   
**lemmas**  $fimage\text{-eq}I[simp, intro] = image\text{-eq}I[Transfer.transferred]$   
**lemmas**  $fimageI = imageI[Transfer.transferred]$   
**lemmas**  $rev\text{-fimage}\text{-eq}I = rev\text{-image}\text{-eq}I[Transfer.transferred]$   
**lemmas**  $fimageE[elim!] = imageE[Transfer.transferred]$   
**lemmas**  $Compr\text{-fimage}\text{-eq} = Compr\text{-image}\text{-eq}[Transfer.transferred]$   
**lemmas**  $fimage\text{-funion} = image\text{-Un}[Transfer.transferred]$   
**lemmas**  $fimage\text{-iff} = image\text{-iff}[Transfer.transferred]$   
**lemmas**  $fimage\text{-fsubset}\text{-iff}[no-atp] = image\text{-subset}\text{-iff}[Transfer.transferred]$   
**lemmas**  $fimage\text{-fsubset}I = image\text{-subset}I[Transfer.transferred]$   
**lemmas**  $fimage\text{-ident}[simp] = image\text{-ident}[Transfer.transferred]$   
**lemmas**  $if\text{-split}\text{-fmem}1 = if\text{-split}\text{-mem}1[Transfer.transferred]$   
**lemmas**  $if\text{-split}\text{-fmem}2 = if\text{-split}\text{-mem}2[Transfer.transferred]$   
**lemmas**  $pfssubsetI[intro!,no-atp] = psubsetI[Transfer.transferred]$   
**lemmas**  $pfssubsetE[elim!,no-atp] = psubsetE[Transfer.transferred]$   
**lemmas**  $pfssubset\text{-finsert}\text{-iff} = psubset\text{-insert}\text{-iff}[Transfer.transferred]$   
**lemmas**  $pfssubset\text{-eq} = psubset\text{-eq}[Transfer.transferred]$   
**lemmas**  $pfssubset\text{-imp}\text{-fsubset} = psubset\text{-imp}\text{-subset}[Transfer.transferred]$   
**lemmas**  $pfssubset\text{-trans} = psubset\text{-trans}[Transfer.transferred]$   
**lemmas**  $pfssubsetD = psubsetD[Transfer.transferred]$   
**lemmas**  $pfssubset\text{-fsubset}\text{-trans} = psubset\text{-subset}\text{-trans}[Transfer.transferred]$   
**lemmas**  $fsubset\text{-pfssubset}\text{-trans} = subset\text{-psubset}\text{-trans}[Transfer.transferred]$   
**lemmas**  $pfssubset\text{-imp}\text{-ex}\text{-fmem} = psubset\text{-imp}\text{-ex}\text{-mem}[Transfer.transferred]$   
**lemmas**  $fimage\text{-fPow}\text{-mono} = image\text{-Pow}\text{-mono}[Transfer.transferred]$   
**lemmas**  $fimage\text{-fPow}\text{-surj} = image\text{-Pow}\text{-surj}[Transfer.transferred]$   
**lemmas**  $fsubset\text{-finsert}I = subset\text{-insert}I[Transfer.transferred]$   
**lemmas**  $fsubset\text{-finsert}I2 = subset\text{-insert}I2[Transfer.transferred]$

**lemmas** *fsubset-finsert* = *subset-insert*[*Transfer.transferred*]  
**lemmas** *funion-upper1* = *Un-upper1*[*Transfer.transferred*]  
**lemmas** *funion-upper2* = *Un-upper2*[*Transfer.transferred*]  
**lemmas** *funion-least* = *Un-least*[*Transfer.transferred*]  
**lemmas** *finter-lower1* = *Int-lower1*[*Transfer.transferred*]  
**lemmas** *finter-lower2* = *Int-lower2*[*Transfer.transferred*]  
**lemmas** *finter-greatest* = *Int-greatest*[*Transfer.transferred*]  
**lemmas** *fminus-fsubset* = *Diff-subset*[*Transfer.transferred*]  
**lemmas** *fminus-fsubset-conv* = *Diff-subset-conv*[*Transfer.transferred*]  
**lemmas** *fsubset-fempty[simp]* = *subset-empty*[*Transfer.transferred*]  
**lemmas** *not-pfsubset-fempty[iff]* = *not-psubset-empty*[*Transfer.transferred*]  
**lemmas** *finsert-is-funion* = *insert-is-Un*[*Transfer.transferred*]  
**lemmas** *finsert-not-fempty[simp]* = *insert-not-empty*[*Transfer.transferred*]  
**lemmas** *fempty-not-finsert* = *empty-not-insert*[*Transfer.transferred*]  
**lemmas** *finsert-absorb* = *insert-absorb*[*Transfer.transferred*]  
**lemmas** *finsert-absorb2[simp]* = *insert-absorb2*[*Transfer.transferred*]  
**lemmas** *finsert-commute* = *insert-commute*[*Transfer.transferred*]  
**lemmas** *finsert-fsubset[simp]* = *insert-subset*[*Transfer.transferred*]  
**lemmas** *finsert-inter-finsert[simp]* = *insert-inter-insert*[*Transfer.transferred*]  
**lemmas** *finsert-disjoint[simp,no-atp]* = *insert-disjoint*[*Transfer.transferred*]  
**lemmas** *disjoint-finsert[simp,no-atp]* = *disjoint-insert*[*Transfer.transferred*]  
**lemmas** *fimage-fempty[simp]* = *image-empty*[*Transfer.transferred*]  
**lemmas** *fimage-finsert[simp]* = *image-insert*[*Transfer.transferred*]  
**lemmas** *fimage-constant* = *image-constant*[*Transfer.transferred*]  
**lemmas** *fimage-constant-conv* = *image-constant-conv*[*Transfer.transferred*]  
**lemmas** *fimage-fimage* = *image-image*[*Transfer.transferred*]  
**lemmas** *finsert-fimage[simp]* = *insert-image*[*Transfer.transferred*]  
**lemmas** *fimage-is-fempty[iff]* = *image-is-empty*[*Transfer.transferred*]  
**lemmas** *fempty-is-fimage[iff]* = *empty-is-image*[*Transfer.transferred*]  
**lemmas** *fimage-cong* = *image-cong*[*Transfer.transferred*]  
**lemmas** *fimage-finter-fsubset* = *image-Int-subset*[*Transfer.transferred*]  
**lemmas** *fimage-fminus-fsubset* = *image-diff-subset*[*Transfer.transferred*]  
**lemmas** *finter-absorb* = *Int-absorb*[*Transfer.transferred*]  
**lemmas** *finter-left-absorb* = *Int-left-absorb*[*Transfer.transferred*]  
**lemmas** *finter-commute* = *Int-commute*[*Transfer.transferred*]  
**lemmas** *finter-left-commute* = *Int-left-commute*[*Transfer.transferred*]  
**lemmas** *finter-assoc* = *Int-assoc*[*Transfer.transferred*]  
**lemmas** *finter-ac* = *Int-ac*[*Transfer.transferred*]  
**lemmas** *finter-absorb1* = *Int-absorb1*[*Transfer.transferred*]  
**lemmas** *finter-absorb2* = *Int-absorb2*[*Transfer.transferred*]  
**lemmas** *finter-fempty-left* = *Int-empty-left*[*Transfer.transferred*]  
**lemmas** *finter-fempty-right* = *Int-empty-right*[*Transfer.transferred*]  
**lemmas** *disjoint-iff-fnot-equal* = *disjoint-iff-not-equal*[*Transfer.transferred*]  
**lemmas** *finter-funion-distrib* = *Int-Un-distrib*[*Transfer.transferred*]  
**lemmas** *finter-funion-distrib2* = *Int-Un-distrib2*[*Transfer.transferred*]  
**lemmas** *finter-fsubset-iff[no-atp, simp]* = *Int-subset-iff*[*Transfer.transferred*]  
**lemmas** *funion-absorb* = *Un-absorb*[*Transfer.transferred*]  
**lemmas** *funion-left-absorb* = *Un-left-absorb*[*Transfer.transferred*]  
**lemmas** *funion-commute* = *Un-commute*[*Transfer.transferred*]

**lemmas** *funion-left-commute* = *Un-left-commute*[*Transfer.transferred*]  
**lemmas** *funion-assoc* = *Un-assoc*[*Transfer.transferred*]  
**lemmas** *funion-ac* = *Un-ac*[*Transfer.transferred*]  
**lemmas** *funion-absorb1* = *Un-absorb1*[*Transfer.transferred*]  
**lemmas** *funion-absorb2* = *Un-absorb2*[*Transfer.transferred*]  
**lemmas** *funion-fempty-left* = *Un-empty-left*[*Transfer.transferred*]  
**lemmas** *funion-fempty-right* = *Un-empty-right*[*Transfer.transferred*]  
**lemmas** *funion-finsert-left[simp]* = *Un-insert-left*[*Transfer.transferred*]  
**lemmas** *funion-finsert-right[simp]* = *Un-insert-right*[*Transfer.transferred*]  
**lemmas** *finter-finsert-left* = *Int-insert-left*[*Transfer.transferred*]  
**lemmas** *finter-finsert-left-iffempty[simp]* = *Int-insert-left-if0*[*Transfer.transferred*]  
**lemmas** *finter-finsert-left-if1[simp]* = *Int-insert-left-if1*[*Transfer.transferred*]  
**lemmas** *finter-finsert-right* = *Int-insert-right*[*Transfer.transferred*]  
**lemmas** *finter-finsert-right-iffempty[simp]* = *Int-insert-right-if0*[*Transfer.transferred*]  
**lemmas** *finter-finsert-right-if1[simp]* = *Int-insert-right-if1*[*Transfer.transferred*]  
**lemmas** *funion-finter-distrib* = *Un-Int-distrib*[*Transfer.transferred*]  
**lemmas** *funion-finter-distrib2* = *Un-Int-distrib2*[*Transfer.transferred*]  
**lemmas** *funion-finter-crazy* = *Un-Int-crazy*[*Transfer.transferred*]  
**lemmas** *fsubset-funion-eq* = *subset-Un-eq*[*Transfer.transferred*]  
**lemmas** *funion-fempty[iff]* = *Un-empty*[*Transfer.transferred*]  
**lemmas** *funion-fsubset-iff[no-atp, simp]* = *Un-subset-iff*[*Transfer.transferred*]  
**lemmas** *funion-fminus-finter* = *Un-Diff-Int*[*Transfer.transferred*]  
**lemmas** *fminus-finter2* = *Diff-Int2*[*Transfer.transferred*]  
**lemmas** *funion-finter-assoc-eq* = *Un-Int-assoc-eq*[*Transfer.transferred*]  
**lemmas** *fBall-funion* = *ball-Un*[*Transfer.transferred*]  
**lemmas** *fBex-funion* = *bex-Un*[*Transfer.transferred*]  
**lemmas** *fminus-eq-fempty-iff[simp, no-atp]* = *Diff-eq-empty-iff*[*Transfer.transferred*]  
**lemmas** *fminus-cancel[simp]* = *Diff-cancel*[*Transfer.transferred*]  
**lemmas** *fminus-idemp[simp]* = *Diff-idemp*[*Transfer.transferred*]  
**lemmas** *fminus-triv* = *Diff-triv*[*Transfer.transferred*]  
**lemmas** *fempty-fminus[simp]* = *empty-Diff*[*Transfer.transferred*]  
**lemmas** *fminus-fempty[simp]* = *Diff-empty*[*Transfer.transferred*]  
**lemmas** *fminus-finsertffempty[simp, no-atp]* = *Diff-insert0*[*Transfer.transferred*]  
**lemmas** *fminus-finsert* = *Diff-insert*[*Transfer.transferred*]  
**lemmas** *fminus-finsert2* = *Diff-insert2*[*Transfer.transferred*]  
**lemmas** *finsert-fminus-if* = *insert-Diff-if*[*Transfer.transferred*]  
**lemmas** *finsert-fminus1[simp]* = *insert-Diff1*[*Transfer.transferred*]  
**lemmas** *finsert-fminus-single[simp]* = *insert-Diff-single*[*Transfer.transferred*]  
**lemmas** *finsert-fminus* = *insert-Diff*[*Transfer.transferred*]  
**lemmas** *fminus-finsert-absorb* = *Diff-insert-absorb*[*Transfer.transferred*]  
**lemmas** *fminus-disjoint[simp]* = *Diff-disjoint*[*Transfer.transferred*]  
**lemmas** *fminus-partition* = *Diff-partition*[*Transfer.transferred*]  
**lemmas** *double-fminus* = *double-diff*[*Transfer.transferred*]  
**lemmas** *funion-fminus-cancel[simp]* = *Un-Diff-cancel*[*Transfer.transferred*]  
**lemmas** *funion-fminus-cancel2[simp]* = *Un-Diff-cancel2*[*Transfer.transferred*]  
**lemmas** *fminus-funion* = *Diff-Un*[*Transfer.transferred*]  
**lemmas** *fminus-finter* = *Diff-Int*[*Transfer.transferred*]  
**lemmas** *funion-fminus* = *Un-Diff*[*Transfer.transferred*]  
**lemmas** *finter-fminus* = *Int-Diff*[*Transfer.transferred*]

**lemmas** *fminus-finter-distrib* = *Diff-Int-distrib*[*Transfer.transferred*]  
**lemmas** *fminus-finter-distrib2* = *Diff-Int-distrib2*[*Transfer.transferred*]  
**lemmas** *fUNIV-bool*[*no-atp*] = *UNIV-bool*[*Transfer.transferred*]  
**lemmas** *fPow-fempty*[*simp*] = *Pow-empty*[*Transfer.transferred*]  
**lemmas** *fPow-finsert* = *Pow-insert*[*Transfer.transferred*]  
**lemmas** *funion-fPow-fsubset* = *Un-Pow-subset*[*Transfer.transferred*]  
**lemmas** *fPow-finter-eq*[*simp*] = *Pow-Int-eq*[*Transfer.transferred*]  
**lemmas** *fset-eq-fsubset* = *set-eq-subset*[*Transfer.transferred*]  
**lemmas** *fsubset-iff*[*no-atp*] = *subset-iff*[*Transfer.transferred*]  
**lemmas** *fsubset-iff-pfsubset-eq* = *subset-iff-psubset-eq*[*Transfer.transferred*]  
**lemmas** *all-not-fin-conv*[*simp*] = *all-not-in-conv*[*Transfer.transferred*]  
**lemmas** *ex-fin-conv* = *ex-in-conv*[*Transfer.transferred*]  
**lemmas** *fimage-mono* = *image-mono*[*Transfer.transferred*]  
**lemmas** *fPow-mono* = *Pow-mono*[*Transfer.transferred*]  
**lemmas** *finsert-mono* = *insert-mono*[*Transfer.transferred*]  
**lemmas** *funion-mono* = *Un-mono*[*Transfer.transferred*]  
**lemmas** *finter-mono* = *Int-mono*[*Transfer.transferred*]  
**lemmas** *fminus-mono* = *Diff-mono*[*Transfer.transferred*]  
**lemmas** *fin-mono* = *in-mono*[*Transfer.transferred*]  
**lemmas** *fthe-felem-eq*[*simp*] = *the-elem-eq*[*Transfer.transferred*]  
**lemmas** *fLeast-mono* = *Least-mono*[*Transfer.transferred*]  
**lemmas** *fbind-fbind* = *bind-bind*[*Transfer.transferred*]  
**lemmas** *fempty-fbind*[*simp*] = *empty-bind*[*Transfer.transferred*]  
**lemmas** *nonfempty-fbind-const* = *nonempty-bind-const*[*Transfer.transferred*]  
**lemmas** *fbind-const* = *bind-const*[*Transfer.transferred*]  
**lemmas** *ffmember-filter*[*simp*] = *member-filter*[*Transfer.transferred*]  
**lemmas** *fequalityI* = *equalityI*[*Transfer.transferred*]  
**lemmas** *fset-of-list-simps*[*simp*] = *set-simps*[*Transfer.transferred*]  
**lemmas** *fset-of-list-append*[*simp*] = *set-append*[*Transfer.transferred*]  
**lemmas** *fset-of-list-rev*[*simp*] = *set-rev*[*Transfer.transferred*]  
**lemmas** *fset-of-list-map*[*simp*] = *set-map*[*Transfer.transferred*]

## 32.5 Additional lemmas

### 32.5.1 *ffUnion*

**lemmas** *ffUnion-funion-distrib*[*simp*] = *Union-Un-distrib*[*Transfer.transferred*]

### 32.5.2 *fbind*

**lemma** *fbind-cong*[*fundef-cong*]:  $A = B \implies (\bigwedge x. x \in B \implies f x = g x) \implies fbind A f = fbind B g$   
*<proof>*

### 32.5.3 *fsingleton*

**lemmas** *fsingletonE* = *fsingletonD* [*elim-format*]



**32.5.4** *fempty*

**lemma** *fempty-ffilter*[simp]:  $\text{ffilter } (\lambda-. \text{False}) A = \{\|\}$   
 ⟨proof⟩

**lemma** *femptyE* [elim!]:  $a \in \{\|\} \implies P$   
 ⟨proof⟩

**32.5.5** *fset*

**lemmas** *fset-simps*[simp] = *bot-fset.rep-eq* *finset.rep-eq*

**lemma** *finite-fset* [simp]:  
 shows *finite* (*fset* *S*)  
 ⟨proof⟩

**lemmas** *fset-cong* = *fset-inject*

**lemma** *filter-fset* [simp]:  
 shows *fset* (*ffilter* *P* *xs*) = *Collect* *P*  $\cap$  *fset* *xs*  
 ⟨proof⟩

**lemma** *notin-fset*:  $x \notin S \longleftrightarrow x \notin \text{fset } S$  ⟨proof⟩

**lemmas** *inter-fset*[simp] = *inf-fset.rep-eq*

**lemmas** *union-fset*[simp] = *sup-fset.rep-eq*

**lemmas** *minus-fset*[simp] = *minus-fset.rep-eq*

**32.5.6** *ffilter*

**lemma** *subset-ffilter*:  
 $\text{ffilter } P A \subseteq \text{ffilter } Q A = (\forall x. x \in A \longrightarrow P x \longrightarrow Q x)$   
 ⟨proof⟩

**lemma** *eq-ffilter*:  
 $(\text{ffilter } P A = \text{ffilter } Q A) = (\forall x. x \in A \longrightarrow P x = Q x)$   
 ⟨proof⟩

**lemma** *pfssubset-ffilter*:  
 $(\bigwedge x. x \in A \implies P x \implies Q x) \implies (\text{ffilter } P A \subseteq \text{ffilter } Q A)$   
 ⟨proof⟩

**32.5.7** *fset-of-list*

**lemma** *fset-of-list-filter*[simp]:  
 $\text{fset-of-list } (\text{filter } P \text{ } xs) = \text{ffilter } P (\text{fset-of-list } xs)$

*<proof>*

**lemma** *fset-of-list-subset*[*intro*]:

$set\ xs \subseteq set\ ys \implies fset\text{-of-list}\ xs \mid\subseteq\ fset\text{-of-list}\ ys$

*<proof>*

**lemma** *fset-of-list-elem*:  $(x \mid\in\ fset\text{-of-list}\ xs) \longleftrightarrow (x \in set\ xs)$

*<proof>*

### 32.5.8 *finsert*

**lemma** *set-finsert*:

**assumes**  $x \mid\in\ A$

**obtains**  $B$  **where**  $A = finsert\ x\ B$  **and**  $x \mid\notin\ B$

*<proof>*

**lemma** *mk-disjoint-finsert*:  $a \mid\in\ A \implies \exists B. A = finsert\ a\ B \wedge a \mid\notin\ B$

*<proof>*

**lemma** *finsert-eq-iff*:

**assumes**  $a \mid\notin\ A$  **and**  $b \mid\notin\ B$

**shows**  $(finsert\ a\ A = finsert\ b\ B) =$

$(if\ a = b\ then\ A = B\ else\ \exists C. A = finsert\ b\ C \wedge b \mid\notin\ C \wedge B = finsert\ a\ C$

$\wedge a \mid\notin\ C)$

*<proof>*

### 32.5.9 *fimage*

**lemma** *subset-fimage-iff*:  $(B \mid\subseteq\ f\mid' A) = (\exists AA. AA \mid\subseteq\ A \wedge B = f\mid' AA)$

*<proof>*

### 32.5.10 bounded quantification

**lemma** *bex-simps* [*simp, no-atp*]:

$\bigwedge A\ P\ Q. fBex\ A\ (\lambda x. P\ x \wedge Q) = (fBex\ A\ P \wedge Q)$

$\bigwedge A\ P\ Q. fBex\ A\ (\lambda x. P \wedge Q\ x) = (P \wedge fBex\ A\ Q)$

$\bigwedge P. fBex\ \{\mid\} P = False$

$\bigwedge a\ B\ P. fBex\ (finsert\ a\ B)\ P = (P\ a \vee fBex\ B\ P)$

$\bigwedge A\ P\ f. fBex\ (f\mid' A)\ P = fBex\ A\ (\lambda x. P\ (f\ x))$

$\bigwedge A\ P. (\neg fBex\ A\ P) = fBall\ A\ (\lambda x. \neg P\ x)$

*<proof>*

**lemma** *ball-simps* [*simp, no-atp*]:

$\bigwedge A\ P\ Q. fBall\ A\ (\lambda x. P\ x \vee Q) = (fBall\ A\ P \vee Q)$

$\bigwedge A\ P\ Q. fBall\ A\ (\lambda x. P \vee Q\ x) = (P \vee fBall\ A\ Q)$

$\bigwedge A\ P\ Q. fBall\ A\ (\lambda x. P \longrightarrow Q\ x) = (P \longrightarrow fBall\ A\ Q)$

$\bigwedge A\ P\ Q. fBall\ A\ (\lambda x. P\ x \longrightarrow Q) = (fBex\ A\ P \longrightarrow Q)$

$\bigwedge P. fBall\ \{\mid\} P = True$

$\bigwedge a\ B\ P. fBall\ (finsert\ a\ B)\ P = (P\ a \wedge fBall\ B\ P)$

$\bigwedge A\ P\ f. fBall\ (f\mid' A)\ P = fBall\ A\ (\lambda x. P\ (f\ x))$

$\bigwedge A P. (\neg fBall A P) = fBex A (\lambda x. \neg P x)$   
 ⟨proof⟩

**lemma** *atomize-fBall*:

$(\bigwedge x. x \in A \implies P x) \implies Trueprop (fBall A (\lambda x. P x))$   
 ⟨proof⟩

**lemma** *fBall-mono*[*mono*]:  $P \leq Q \implies fBall S P \leq fBall S Q$   
 ⟨proof⟩

**end**

### 32.5.11 *fcard*

**lemma** *fcard-fempty*:

$fcard \{\|\} = 0$   
 ⟨proof⟩

**lemma** *fcard-finsert-disjoint*:

$x \notin A \implies fcard (finsert x A) = Suc (fcard A)$   
 ⟨proof⟩

**lemma** *fcard-finsert-if*:

$fcard (finsert x A) = (if x \in A then fcard A else Suc (fcard A))$   
 ⟨proof⟩

**lemma** *fcard-0-eq* [*simp*, *no-atp*]:

$fcard A = 0 \iff A = \{\|\}$   
 ⟨proof⟩

**lemma** *fcard-Suc-fminus1*:

$x \in A \implies Suc (fcard (A \|- \{x\})) = fcard A$   
 ⟨proof⟩

**lemma** *fcard-fminus-fsingleton*:

$x \in A \implies fcard (A \|- \{x\}) = fcard A - 1$   
 ⟨proof⟩

**lemma** *fcard-fminus-fsingleton-if*:

$fcard (A \|- \{x\}) = (if x \in A then fcard A - 1 else fcard A)$   
 ⟨proof⟩

**lemma** *fcard-fminus-finsert*[*simp*]:

**assumes**  $a \in A$  **and**  $a \notin B$   
**shows**  $fcard (A \|- finsert a B) = fcard (A \|- B) - 1$   
 ⟨proof⟩

**lemma** *fcard-finsert*:  $fcard (finsert x A) = Suc (fcard (A \|- \{x\}))$

*<proof>*

**lemma** *fcard-finsert-le*:  $fcard\ A \leq fcard\ (finsert\ x\ A)$   
*<proof>*

**lemma** *fcard-mono*:  
 $A \mid\subseteq\ B \implies fcard\ A \leq fcard\ B$   
*<proof>*

**lemma** *fcard-seteq*:  $A \mid\subseteq\ B \implies fcard\ B \leq fcard\ A \implies A = B$   
*<proof>*

**lemma** *pfssubset-fcard-mono*:  $A \mid\subset\ B \implies fcard\ A < fcard\ B$   
*<proof>*

**lemma** *fcard-funion-finter*:  
 $fcard\ A + fcard\ B = fcard\ (A \mid\cup\ B) + fcard\ (A \mid\cap\ B)$   
*<proof>*

**lemma** *fcard-funion-disjoint*:  
 $A \mid\cap\ B = \{\mid\} \implies fcard\ (A \mid\cup\ B) = fcard\ A + fcard\ B$   
*<proof>*

**lemma** *fcard-funion-fsubset*:  
 $B \mid\subseteq\ A \implies fcard\ (A \mid\mid\ B) = fcard\ A - fcard\ B$   
*<proof>*

**lemma** *diff-fcard-le-fcard-fminus*:  
 $fcard\ A - fcard\ B \leq fcard\ (A \mid\mid\ B)$   
*<proof>*

**lemma** *fcard-fminus1-less*:  $x \mid\in\ A \implies fcard\ (A \mid\mid\ \{|x\}) < fcard\ A$   
*<proof>*

**lemma** *fcard-fminus2-less*:  
 $x \mid\in\ A \implies y \mid\in\ A \implies fcard\ (A \mid\mid\ \{|x\} \mid\mid\ \{|y\}) < fcard\ A$   
*<proof>*

**lemma** *fcard-fminus1-le*:  $fcard\ (A \mid\mid\ \{|x\}) \leq fcard\ A$   
*<proof>*

**lemma** *fcard-pfssubset*:  $A \mid\subseteq\ B \implies fcard\ A < fcard\ B \implies A < B$   
*<proof>*

### 32.5.12 *ffold*

**context** *comp-fun-commute*

**begin**

**lemmas** *ffold-empty[simp]* = *fold-empty[Transfer.transferred]*

**lemma** *ffold-finsert [simp]*:

**assumes**  $x \notin A$

**shows**  $\text{ffold } f \ z \ (\text{finsert } x \ A) = f \ x \ (\text{ffold } f \ z \ A)$

*<proof>*

**lemma** *ffold-fun-left-comm*:

$f \ x \ (\text{ffold } f \ z \ A) = \text{ffold } f \ (f \ x \ z) \ A$

*<proof>*

**lemma** *ffold-finsert2*:

$x \notin A \implies \text{ffold } f \ z \ (\text{finsert } x \ A) = \text{ffold } f \ (f \ x \ z) \ A$

*<proof>*

**lemma** *ffold-rec*:

**assumes**  $x \in A$

**shows**  $\text{ffold } f \ z \ A = f \ x \ (\text{ffold } f \ z \ (A \ -| \ \{x\}))$

*<proof>*

**lemma** *ffold-finsert-remove*:

$\text{ffold } f \ z \ (\text{finsert } x \ A) = f \ x \ (\text{ffold } f \ z \ (A \ -| \ \{x\}))$

*<proof>*

**end**

**lemma** *ffold-fimage*:

**assumes** *inj-on*  $g \ (fset \ A)$

**shows**  $\text{ffold } f \ z \ (g \ `| \ A) = \text{ffold } (f \ o \ g) \ z \ A$

*<proof>*

**lemma** *ffold-cong*:

**assumes** *comp-fun-commute*  $f \ \text{comp-fun-commute} \ g$

$\bigwedge x. x \in A \implies f \ x = g \ x$

**and**  $s = t$  **and**  $A = B$

**shows**  $\text{ffold } f \ s \ A = \text{ffold } g \ t \ B$

*<proof>*

**context** *comp-fun-idem*

**begin**

**lemma** *ffold-finsert-idem*:

$\text{ffold } f \ z \ (\text{finsert } x \ A) = f \ x \ (\text{ffold } f \ z \ A)$

*<proof>*

**declare** *ffold-finsert [simp del]* *ffold-finsert-idem [simp]*

**lemma** *ffold-finsert-idem2*:

$\text{ffold } f \ z \ (\text{finsert } x \ A) = \text{ffold } f \ (f \ x \ z) \ A$

*<proof>*

**end**

### 32.5.13 Group operations

**locale** *comm-monoid-fset* = *comm-monoid*  
**begin**

**sublocale** *set*: *comm-monoid-set*  $\langle$ *proof* $\rangle$

**lift-definition**  $F :: ('b \Rightarrow 'a) \Rightarrow 'b \text{ fset} \Rightarrow 'a \text{ is set.F}$   $\langle$ *proof* $\rangle$

**lemmas** *cong*[*fundef-cong*] = *set.cong*[*Transfer.transferred*]

**lemma** *strong-cong*[*cong*]:  
**assumes**  $A = B \wedge x. x \in B \Rightarrow \text{simp} \Rightarrow g\ x = h\ x$   
**shows**  $F\ g\ A = F\ h\ B$   
 $\langle$ *proof* $\rangle$

**end**

**context** *comm-monoid-add* **begin**

**sublocale** *fsum*: *comm-monoid-fset plus 0*  
**defines** *fsum* = *fsum.F*  
**rewrites** *comm-monoid-set.F plus 0 = sum*  
 $\langle$ *proof* $\rangle$

**end**

### 32.5.14 Semilattice operations

**locale** *semilattice-fset* = *semilattice*  
**begin**

**sublocale** *set*: *semilattice-set*  $\langle$ *proof* $\rangle$

**lift-definition**  $F :: 'a \text{ fset} \Rightarrow 'a \text{ is set.F}$   $\langle$ *proof* $\rangle$

**lemma** *eq-fold*:  $F\ (\text{finsert}\ x\ A) = \text{ffold}\ f\ x\ A$   
 $\langle$ *proof* $\rangle$

**lemma** *singleton* [*simp*]:  $F\ \{|x|\} = x$   
 $\langle$ *proof* $\rangle$

**lemma** *insert-not-elem*:  $x \notin A \Rightarrow A \neq \{||\} \Rightarrow F\ (\text{finsert}\ x\ A) = x * F\ A$   
 $\langle$ *proof* $\rangle$

**lemma** *in-idem*:  $x \in A \Rightarrow x * F\ A = F\ A$   
 $\langle$ *proof* $\rangle$

**lemma** *insert [simp]*:  $A \neq \{\mid\} \implies F (\text{insert } x \ A) = x * F \ A$   
 ⟨*proof*⟩

**end**

**locale** *semilattice-order-fset* = *binary?*: *semilattice-order* + *semilattice-fset*  
**begin**

**end**

**context** *linorder* **begin**

**sublocale** *fMin*: *semilattice-order-fset* *min* *less-eq* *less*

**defines**  $fMin = fMin.F$

**rewrites** *semilattice-set.F* *min* = *Min*

⟨*proof*⟩

**sublocale** *fMax*: *semilattice-order-fset* *max* *greater-eq* *greater*

**defines**  $fMax = fMax.F$

**rewrites** *semilattice-set.F* *max* = *Max*

⟨*proof*⟩

**end**

**lemma** *mono-fMax-commute*:  $\text{mono } f \implies A \neq \{\mid\} \implies f (fMax \ A) = fMax (f \ |' \ A)$

⟨*proof*⟩

**lemma** *mono-fMin-commute*:  $\text{mono } f \implies A \neq \{\mid\} \implies f (fMin \ A) = fMin (f \ |' \ A)$

⟨*proof*⟩

**lemma** *fMax-in[simp]*:  $A \neq \{\mid\} \implies fMax \ A \ | \in \ A$

⟨*proof*⟩

**lemma** *fMin-in[simp]*:  $A \neq \{\mid\} \implies fMin \ A \ | \in \ A$

⟨*proof*⟩

**lemma** *fMax-ge[simp]*:  $x \ | \in \ A \implies x \leq fMax \ A$

⟨*proof*⟩

**lemma** *fMin-le[simp]*:  $x \ | \in \ A \implies fMin \ A \leq x$

⟨*proof*⟩

**lemma** *fMax-eqI*:  $(\bigwedge y. y \ | \in \ A \implies y \leq x) \implies x \ | \in \ A \implies fMax \ A = x$

⟨*proof*⟩

**lemma** *fMin-eqI*:  $(\bigwedge y. y \ | \in \ A \implies x \leq y) \implies x \ | \in \ A \implies fMin \ A = x$

*<proof>*

**lemma** *fMax-finsert[simp]*:  $fMax (finsert\ x\ A) = (if\ A = \{\}\ then\ x\ else\ max\ x\ (fMax\ A))$   
*<proof>*

**lemma** *fMin-finsert[simp]*:  $fMin (finsert\ x\ A) = (if\ A = \{\}\ then\ x\ else\ min\ x\ (fMin\ A))$   
*<proof>*

**context** *linorder begin*

**lemma** *fset-linorder-max-induct[case-names fempty finsert]*:  
**assumes**  $P\ \{\}$   
**and**  $\bigwedge x\ S. [\forall y. y \in S \longrightarrow y < x; P\ S] \Longrightarrow P\ (finsert\ x\ S)$   
**shows**  $P\ S$   
*<proof>*

**lemma** *fset-linorder-min-induct[case-names fempty finsert]*:  
**assumes**  $P\ \{\}$   
**and**  $\bigwedge x\ S. [\forall y. y \in S \longrightarrow y > x; P\ S] \Longrightarrow P\ (finsert\ x\ S)$   
**shows**  $P\ S$   
*<proof>*

**end**

## 32.6 Choice in fsets

**lemma** *fset-choice*:  
**assumes**  $\forall x. x \in A \longrightarrow (\exists y. P\ x\ y)$   
**shows**  $\exists f. \forall x. x \in A \longrightarrow P\ x\ (f\ x)$   
*<proof>*

## 32.7 Induction and Cases rules for fsets

**lemma** *fset-exhaust [case-names empty insert, cases type: fset]*:  
**assumes** *fempty-case*:  $S = \{\} \Longrightarrow P$   
**and** *finsert-case*:  $\bigwedge x\ S'. S = finsert\ x\ S' \Longrightarrow P$   
**shows**  $P$   
*<proof>*

**lemma** *fset-induct [case-names empty insert]*:  
**assumes** *fempty-case*:  $P\ \{\}$   
**and** *finsert-case*:  $\bigwedge x\ S. P\ S \Longrightarrow P\ (finsert\ x\ S)$   
**shows**  $P\ S$   
*<proof>*

**lemma** *fset-induct-stronger [case-names empty insert, induct type: fset]*:  
**assumes** *empty-fset-case*:  $P\ \{\}$   
**and** *insert-fset-case*:  $\bigwedge x\ S. [x \notin S; P\ S] \Longrightarrow P\ (finsert\ x\ S)$



**shows**  $P S$   
 $\langle proof \rangle$

**lemma** *fset-card-induct*:

**assumes** *empty-fset-case*:  $P \{\|\}$   
**and** *card-fset-Suc-case*:  $\bigwedge S T. \text{Suc } (fcard S) = (fcard T) \implies P S \implies P T$   
**shows**  $P S$   
 $\langle proof \rangle$

**lemma** *fset-strong-cases*:

**obtains**  $xs = \{\|\}$   
 $| ys x$  **where**  $x \notin ys$  **and**  $xs = \text{finsert } x ys$   
 $\langle proof \rangle$

**lemma** *fset-induct2*:

$P \{\|\} \{\|\} \implies$   
 $(\bigwedge x xs. x \notin xs \implies P (\text{finsert } x xs) \{\|\}) \implies$   
 $(\bigwedge y ys. y \notin ys \implies P \{\|\} (\text{finsert } y ys)) \implies$   
 $(\bigwedge x xs y ys. \llbracket P xs ys; x \notin xs; y \notin ys \rrbracket \implies P (\text{finsert } x xs) (\text{finsert } y ys)) \implies$   
 $P xs a ys a$   
 $\langle proof \rangle$

## 32.8 Setup for Lifting/Transfer

### 32.8.1 Relator and predicator properties

**lift-definition** *rel-fset* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \text{ fset} \Rightarrow 'b \text{ fset} \Rightarrow \text{bool}$  **is** *rel-set*  
**parametric** *rel-set-transfer*  $\langle proof \rangle$

**lemma** *rel-fset-alt-def*:  $\text{rel-fset } R = (\lambda A B. (\forall x. \exists y. x \in A \longrightarrow y \in B \wedge R x y) \wedge (\forall y. \exists x. y \in B \longrightarrow x \in A \wedge R x y))$   
 $\langle proof \rangle$

**lemma** *finite-rel-set*:

**assumes** *fin*:  $\text{finite } X \text{ finite } Z$   
**assumes** *R-S*:  $\text{rel-set } (R \text{ OO } S) X Z$   
**shows**  $\exists Y. \text{finite } Y \wedge \text{rel-set } R X Y \wedge \text{rel-set } S Y Z$   
 $\langle proof \rangle$

### 32.8.2 Transfer rules for the Transfer package

Unconditional transfer rules

**context includes** *lifting-syntax*  
**begin**

**lemmas** *fempty-transfer* [*transfer-rule*] = *empty-transfer*[*Transfer.transferred*]

**lemma** *finsert-transfer* [*transfer-rule*]:

$(A \implies \text{rel-fset } A \implies \text{rel-fset } A) \text{ finsert finsert}$

$\langle proof \rangle$

**lemma** *funion-transfer* [transfer-rule]:  
 $(rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ A)\ funion\ funion$   
 $\langle proof \rangle$

**lemma** *ffUnion-transfer* [transfer-rule]:  
 $(rel\text{-}fset\ (rel\text{-}fset\ A)\ ==\!>\ rel\text{-}fset\ A)\ ffUnion\ ffUnion$   
 $\langle proof \rangle$

**lemma** *fimage-transfer* [transfer-rule]:  
 $((A\ ==\!>\ B)\ ==\!>\ rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ B)\ fimage\ fimage$   
 $\langle proof \rangle$

**lemma** *fBall-transfer* [transfer-rule]:  
 $(rel\text{-}fset\ A\ ==\!>\ (A\ ==\!>\ op\ =)\ ==\!>\ op\ =)\ fBall\ fBall$   
 $\langle proof \rangle$

**lemma** *fBex-transfer* [transfer-rule]:  
 $(rel\text{-}fset\ A\ ==\!>\ (A\ ==\!>\ op\ =)\ ==\!>\ op\ =)\ fBex\ fBex$   
 $\langle proof \rangle$

**lemma** *fPow-transfer* [transfer-rule]:  
 $(rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ (rel\text{-}fset\ A))\ fPow\ fPow$   
 $\langle proof \rangle$

**lemma** *rel-fset-transfer* [transfer-rule]:  
 $((A\ ==\!>\ B\ ==\!>\ op\ =)\ ==\!>\ rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ B\ ==\!>\ op\ =)$   
 $\quad rel\text{-}fset\ rel\text{-}fset$   
 $\langle proof \rangle$

**lemma** *bind-transfer* [transfer-rule]:  
 $(rel\text{-}fset\ A\ ==\!>\ (A\ ==\!>\ rel\text{-}fset\ B)\ ==\!>\ rel\text{-}fset\ B)\ fbind\ fbind$   
 $\langle proof \rangle$

Rules requiring bi-unique, bi-total or right-total relations

**lemma** *fmember-transfer* [transfer-rule]:  
**assumes** *bi-unique*  $A$   
**shows**  $(A\ ==\!>\ rel\text{-}fset\ A\ ==\!>\ op\ =)\ (op\ |\in|)\ (op\ |\in|)$   
 $\langle proof \rangle$

**lemma** *finter-transfer* [transfer-rule]:  
**assumes** *bi-unique*  $A$   
**shows**  $(rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ A)\ finter\ finter$   
 $\langle proof \rangle$

**lemma** *fminus-transfer* [transfer-rule]:  
**assumes** *bi-unique*  $A$

**shows**  $(rel\text{-}fset\ A \implies rel\text{-}fset\ A \implies rel\text{-}fset\ A)$   $(op\ |-|)$   $(op\ |-|)$   
 $\langle proof \rangle$

**lemma** *fsubset-transfer* [*transfer-rule*]:

**assumes** *bi-unique A*

**shows**  $(rel\text{-}fset\ A \implies rel\text{-}fset\ A \implies op\ =)$   $(op\ |\subseteq|)$   $(op\ |\subseteq|)$   
 $\langle proof \rangle$

**lemma** *fSup-transfer* [*transfer-rule*]:

*bi-unique A*  $\implies (rel\text{-}set\ (rel\text{-}fset\ A) \implies rel\text{-}fset\ A)$  *Sup Sup*  
 $\langle proof \rangle$

**lemma** *fInf-transfer* [*transfer-rule*]:

**assumes** *bi-unique A* **and** *bi-total A*

**shows**  $(rel\text{-}set\ (rel\text{-}fset\ A) \implies rel\text{-}fset\ A)$  *Inf Inf*  
 $\langle proof \rangle$

**lemma** *ffilter-transfer* [*transfer-rule*]:

**assumes** *bi-unique A*

**shows**  $((A \implies op\ =) \implies rel\text{-}fset\ A \implies rel\text{-}fset\ A)$  *ffilter ffilter*  
 $\langle proof \rangle$

**lemma** *card-transfer* [*transfer-rule*]:

*bi-unique A*  $\implies (rel\text{-}fset\ A \implies op\ =)$  *fcard fcard*  
 $\langle proof \rangle$

**end**

**lifting-update** *fset.lifting*

**lifting-forget** *fset.lifting*

## 32.9 BNF setup

**context**

**includes** *fset.lifting*

**begin**

**lemma** *rel-fset-alt*:

$rel\text{-}fset\ R\ a\ b \iff (\forall t \in fset\ a. \exists u \in fset\ b. R\ t\ u) \wedge (\forall t \in fset\ b. \exists u \in fset\ a. R\ u\ t)$   
 $\langle proof \rangle$

**lemma** *fset-to-fset*: *finite A*  $\implies fset\ (the\text{-}inv\ fset\ A) = A$

$\langle proof \rangle$

**lemma** *rel-fset-aux*:

$(\forall t \in fset\ a. \exists u \in fset\ b. R\ t\ u) \wedge (\forall u \in fset\ b. \exists t \in fset\ a. R\ t\ u) \iff$

$((BNF-Def.Grp \{a. fset\ a \subseteq \{(a, b). R\ a\ b\}\} (fimage\ fst))^{-1-1} OO$   
 $BNF-Def.Grp \{a. fset\ a \subseteq \{(a, b). R\ a\ b\}\} (fimage\ snd))\ a\ b\ (is\ ?L = ?R)$   
 <proof>

**bnf** 'a fset  
 map: fimage  
 sets: fset  
 bd: natLeq  
 wits: {||}  
 rel: rel-fset  
 <proof>

**lemma** rel-fset-fset: rel-set  $\chi$  (fset A1) (fset A2) = rel-fset  $\chi$  A1 A2  
 <proof>

**end**

**lemmas** [simp] = fset.map-comp fset.map-id fset.set-map

### 32.10 Size setup

**context** includes fset.lifting **begin**

**lift-definition** size-fset :: ('a  $\Rightarrow$  nat)  $\Rightarrow$  'a fset  $\Rightarrow$  nat **is**  $\lambda f. sum\ (Suc\ o\ f)$  <proof>  
**end**

**instantiation** fset :: (type) size **begin**

**definition** size-fset **where**

size-fset-overloaded-def: size-fset = FSet.size-fset ( $\lambda-. 0$ )

**instance** <proof>

**end**

**lemmas** size-fset-simps[simp] =

size-fset-def [THEN meta-eq-to-obj-eq, THEN fun-cong, THEN fun-cong,  
 unfolded map-fun-def comp-def id-apply]

**lemmas** size-fset-overloaded-simps[simp] =

size-fset-simps [of  $\lambda-. 0$ , unfolded add-0-left add-0-right,  
 folded size-fset-overloaded-def]

**lemma** fset-size-o-map: inj  $f \Longrightarrow size-fset\ g\ o\ fimage\ f = size-fset\ (g\ o\ f)$   
 <proof>

**including** fset.lifting <proof>

<ML>

**lifting-update** fset.lifting

**lifting-forget** fset.lifting

### 32.11 Advanced relator customization

**lemma** *rel-set-rel-sum*[simp]:  
 $rel\text{-}set\ (rel\text{-}sum\ \chi\ \varphi)\ A1\ A2\ \longleftrightarrow$   
 $rel\text{-}set\ \chi\ (Inl\ \text{-}'\ A1)\ (Inl\ \text{-}'\ A2)\ \wedge\ rel\text{-}set\ \varphi\ (Inr\ \text{-}'\ A1)\ (Inr\ \text{-}'\ A2)$   
**(is** ?L  $\longleftrightarrow$  ?Rl  $\wedge$  ?Rr)  
 ⟨proof⟩

#### 32.11.1 Countability

**lemma** *exists-fset-of-list*:  $\exists xs.\ fset\text{-}of\text{-}list\ xs = S$   
**including** *fset.lifting*  
 ⟨proof⟩

**lemma** *fset-of-list-surj*[simp, intro]: *surj fset-of-list*  
 ⟨proof⟩

**instance** *fset* :: (countable) countable  
 ⟨proof⟩

### 32.12 Quickcheck setup

Setup adapted from sets.

**notation** *Quickcheck-Exhaustive.orelse* (**infixr** *orelse* 55)

**definition** (**in** *term-syntax*) [*code-unfold*]:  
 $valterm\text{-}femptyset = Code\text{-}Evaluation.valtermify\ (\{\|\}) :: ('a :: typerep)\ fset)$

**definition** (**in** *term-syntax*) [*code-unfold*]:  
 $valtermify\text{-}finsert\ x\ s = Code\text{-}Evaluation.valtermify\ finsert\ \{\cdot\}\ (x :: ('a :: typerep$   
 $*\ -))\ \{\cdot\}\ s$

**instantiation** *fset* :: (exhaustive) exhaustive  
**begin**

**fun** *exhaustive-fset* **where**  
 $exhaustive\text{-}fset\ f\ i = (if\ i = 0\ then\ None\ else\ (f\ \{\|\})\ orelse\ exhaustive\text{-}fset\ (\lambda A.\ f\ A$   
 $orelse\ Quickcheck\text{-}Exhaustive.exhaustive\ (\lambda x.\ if\ x\ |\in|\ A\ then\ None\ else\ f\ (finsert\ x$   
 $A))\ (i - 1))\ (i - 1))$

**instance** ⟨proof⟩

**end**

**instantiation** *fset* :: (full-exhaustive) full-exhaustive  
**begin**

**fun** *full-exhaustive-fset* **where**  
 $full\text{-}exhaustive\text{-}fset\ f\ i = (if\ i = 0\ then\ None\ else\ (f\ valterm\text{-}femptyset\ orelse$   
 $full\text{-}exhaustive\text{-}fset\ (\lambda A.\ f\ A\ orelse\ Quickcheck\text{-}Exhaustive.full\text{-}exhaustive\ (\lambda x.\ if$

```
fst x |∈| fst A then None else f (valtermify-finsert x A) (i - 1) (i - 1)))
```

```
instance ⟨proof⟩
```

```
end
```

```
no-notation Quickcheck-Exhaustive.orelse (infixr orelse 55)
```

```
notation scomp (infixl o→ 60)
```

```
instantiation fset :: (random) random
begin
```

```
fun random-aux-fset :: natural ⇒ natural ⇒ natural × natural ⇒ ('a fset × (unit
⇒ term)) × natural × natural where
random-aux-fset 0 j = Quickcheck-Random.collapse (Random.select-weight [(1,
Pair valterm-femptyset)]) |
random-aux-fset (Code-Numeral.Suc i) j =
  Quickcheck-Random.collapse (Random.select-weight
    [(1, Pair valterm-femptyset),
     (Code-Numeral.Suc i,
      Quickcheck-Random.random j o→ (λx. random-aux-fset i j o→ (λs. Pair
(valtermify-finsert x s))))]))
```

```
lemma [code]:
```

```
  random-aux-fset i j =
    Quickcheck-Random.collapse (Random.select-weight [(1, Pair valterm-femptyset),
      (i, Quickcheck-Random.random j o→ (λx. random-aux-fset (i - 1) j o→ (λs.
Pair (valtermify-finsert x s))))]))
  ⟨proof⟩
```

```
definition random-fset i = random-aux-fset i i
```

```
instance ⟨proof⟩
```

```
end
```

```
no-notation scomp (infixl o→ 60)
```

```
end
```

### 33 Type of finite maps defined as a subtype of maps

```
theory Finite-Map
  imports FSet AList
begin
```

### 33.1 Auxiliary constants and lemmas over *map*

context includes *lifting-syntax* begin

**abbreviation** *rel-map* :: ('b  $\Rightarrow$  'c  $\Rightarrow$  bool)  $\Rightarrow$  ('a  $\rightarrow$  'b)  $\Rightarrow$  ('a  $\rightarrow$  'c)  $\Rightarrow$  bool **where**  
*rel-map* f  $\equiv$  op =  $\implies$  rel-option f

**lemma** *map-empty-transfer*[*transfer-rule*]: *rel-map* A *Map.empty* *Map.empty*  
 ⟨*proof*⟩

**lemma** *ran-transfer*[*transfer-rule*]: (*rel-map* A  $\implies$  rel-set A) *ran* *ran*  
 ⟨*proof*⟩

**lemma** *ran-alt-def*: *ran* m = (*the*  $\circ$  m) ‘*dom* m  
 ⟨*proof*⟩

**lemma** *dom-transfer*[*transfer-rule*]: (*rel-map* A  $\implies$  op =) *dom* *dom*  
 ⟨*proof*⟩

**definition** *map-upd* :: 'a  $\Rightarrow$  'b  $\Rightarrow$  ('a  $\rightarrow$  'b)  $\Rightarrow$  ('a  $\rightarrow$  'b) **where**  
*map-upd* k v m = m(k  $\mapsto$  v)

**lemma** *map-upd-transfer*[*transfer-rule*]:  
 (op =  $\implies$  A  $\implies$  rel-map A  $\implies$  rel-map A) *map-upd* *map-upd*  
 ⟨*proof*⟩

**definition** *map-filter* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  ('a  $\rightarrow$  'b)  $\Rightarrow$  ('a  $\rightarrow$  'b) **where**  
*map-filter* P m = ( $\lambda$ x. if P x then m x else None)

**lemma** *map-filter-map-of*[*simp*]: *map-filter* P (*map-of* m) = *map-of* [(k, -)  $\leftarrow$  m.  
 P k]  
 ⟨*proof*⟩

**lemma** *map-filter-transfer*[*transfer-rule*]:  
 (op =  $\implies$  rel-map A  $\implies$  rel-map A) *map-filter* *map-filter*  
 ⟨*proof*⟩

**lemma** *map-filter-finite*[*intro*]:  
 assumes *finite* (*dom* m)  
 shows *finite* (*dom* (*map-filter* P m))  
 ⟨*proof*⟩

**definition** *map-drop* :: 'a  $\Rightarrow$  ('a  $\rightarrow$  'b)  $\Rightarrow$  ('a  $\rightarrow$  'b) **where**  
*map-drop* a = *map-filter* ( $\lambda$ a'. a'  $\neq$  a)

**lemma** *map-drop-transfer*[*transfer-rule*]:  
 (op =  $\implies$  rel-map A  $\implies$  rel-map A) *map-drop* *map-drop*  
 ⟨*proof*⟩

**definition** *map-drop-set* :: 'a set  $\Rightarrow$  ('a  $\rightarrow$  'b)  $\Rightarrow$  ('a  $\rightarrow$  'b) **where**

*map-drop-set*  $A = \text{map-filter } (\lambda a. a \notin A)$

**lemma** *map-drop-set-transfer*[*transfer-rule*]:

$(op = \implies \text{rel-map } A \implies \text{rel-map } A) \text{ map-drop-set map-drop-set}$   
 $\langle \text{proof} \rangle$

**definition** *map-restrict-set* ::  $'a \text{ set} \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b)$  **where**

*map-restrict-set*  $A = \text{map-filter } (\lambda a. a \in A)$

**lemma** *map-restrict-set-transfer*[*transfer-rule*]:

$(op = \implies \text{rel-map } A \implies \text{rel-map } A) \text{ map-restrict-set map-restrict-set}$   
 $\langle \text{proof} \rangle$

**lemma** *map-add-transfer*[*transfer-rule*]:

$(\text{rel-map } A \implies \text{rel-map } A \implies \text{rel-map } A) op ++ op ++$   
 $\langle \text{proof} \rangle$

**definition** *map-pred* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \rightarrow 'b) \Rightarrow \text{bool}$  **where**

*map-pred*  $P m \longleftrightarrow (\forall x. \text{case } m \text{ of } \text{None} \Rightarrow \text{True} \mid \text{Some } y \Rightarrow P \ x \ y)$

**lemma** *map-pred-transfer*[*transfer-rule*]:

$((op = \implies A \implies op =) \implies \text{rel-map } A \implies op =) \text{ map-pred}$   
 $\text{map-pred}$   
 $\langle \text{proof} \rangle$

**definition** *rel-map-on-set* ::  $'a \text{ set} \Rightarrow ('b \Rightarrow 'c \Rightarrow \text{bool}) \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'c)$   
 $\Rightarrow \text{bool}$  **where**

*rel-map-on-set*  $S P = \text{eq-onp } (\lambda x. x \in S) \implies \text{rel-option } P$

**lemma** *map-of-transfer*[*transfer-rule*]:

**includes** *lifting-syntax*

**shows**  $(\text{list-all2 } (\text{rel-prod } op = A) \implies \text{rel-map } A) \text{ map-of map-of}$   
 $\langle \text{proof} \rangle$

**definition** *set-of-map* ::  $('a \rightarrow 'b) \Rightarrow ('a \times 'b) \text{ set}$  **where**

*set-of-map*  $m = \{(k, v) \mid k \ v. m \ k = \text{Some } v\}$

**lemma** *set-of-map-alt-def*: *set-of-map*  $m = (\lambda k. (k, \text{the } (m \ k))) \text{ ` dom } m$

$\langle \text{proof} \rangle$

**lemma** *set-of-map-finite*: *finite*  $(\text{dom } m) \implies \text{finite } (\text{set-of-map } m)$

$\langle \text{proof} \rangle$

**lemma** *set-of-map-inj*: *inj* *set-of-map*

$\langle \text{proof} \rangle$

**end**



### 33.2 Abstract characterisation

**typedef** (*'a*, *'b*) *fmap* = {*m*. *finite* (*dom* *m*)} :: (*'a* → *'b*) *set*  
**morphisms** *fmlookup* *Abs-fmap*  
*<proof>*

**setup-lifting** *type-definition-fmap*

**lemma** *fmlookup-finite*[*intro*, *simp*]: *finite* (*dom* (*fmlookup* *m*))  
*<proof>*

**lemma** *fmap-ext*:  
**assumes**  $\bigwedge x. \text{fmlookup } m \ x = \text{fmlookup } n \ x$   
**shows**  $m = n$   
*<proof>*

### 33.3 Operations

**context**  
**includes** *fset.lifting*  
**begin**

**lift-definition** *fmran* :: (*'a*, *'b*) *fmap* ⇒ *'b* *fset*  
**is** *ran*  
**parametric** *ran-transfer*  
*<proof>*

**lemma** *fmlookup-ran-iff*:  $y \in | \text{fmran } m \iff (\exists x. \text{fmlookup } m \ x = \text{Some } y)$   
*<proof>*

**lemma** *fmranI*:  $\text{fmlookup } m \ x = \text{Some } y \implies y \in | \text{fmran } m$  *<proof>*

**lemma** *fmranE*[*elim*]:  
**assumes**  $y \in | \text{fmran } m$   
**obtains**  $x$  **where**  $\text{fmlookup } m \ x = \text{Some } y$   
*<proof>*

**lift-definition** *fmdom* :: (*'a*, *'b*) *fmap* ⇒ *'a* *fset*  
**is** *dom*  
**parametric** *dom-transfer*  
*<proof>*

**lemma** *fmlookup-dom-iff*:  $x \in | \text{fmdom } m \iff (\exists a. \text{fmlookup } m \ x = \text{Some } a)$   
*<proof>*

**lemma** *fmdom-notI*:  $\text{fmlookup } m \ x = \text{None} \implies x \notin | \text{fmdom } m$  *<proof>*

**lemma** *fmdomI*:  $\text{fmlookup } m \ x = \text{Some } y \implies x \in | \text{fmdom } m$  *<proof>*

**lemma** *fmdom-notD*[*dest*]:  $x \notin | \text{fmdom } m \implies \text{fmlookup } m \ x = \text{None}$  *<proof>*

**lemma** *fmdomE*[*elim*]:

**assumes**  $x \in | \text{fmdom } m$   
**obtains**  $y$  **where**  $\text{fmlookup } m \ x = \text{Some } y$   
 $\langle \text{proof} \rangle$

**lift-definition**  $\text{fmdom}' :: ('a, 'b) \text{fmap} \Rightarrow 'a \text{ set}$   
**is**  $\text{dom}$   
**parametric**  $\text{dom-transfer}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fmlookup-dom}'\text{-iff}: x \in \text{fmdom}' \ m \longleftrightarrow (\exists a. \text{fmlookup } m \ x = \text{Some } a)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fmdom}'\text{-notI}: \text{fmlookup } m \ x = \text{None} \Longrightarrow x \notin \text{fmdom}' \ m \ \langle \text{proof} \rangle$

**lemma**  $\text{fmdom}'\text{I}: \text{fmlookup } m \ x = \text{Some } y \Longrightarrow x \in \text{fmdom}' \ m \ \langle \text{proof} \rangle$

**lemma**  $\text{fmdom}'\text{-notD}[dest]: x \notin \text{fmdom}' \ m \Longrightarrow \text{fmlookup } m \ x = \text{None} \ \langle \text{proof} \rangle$

**lemma**  $\text{fmdom}'\text{E}[elim]:$   
**assumes**  $x \in \text{fmdom}' \ m$   
**obtains**  $x \ y$  **where**  $\text{fmlookup } m \ x = \text{Some } y$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fmdom}'\text{-alt-def}: \text{fmdom}' \ m = \text{fset } (\text{fmdom } m)$   
 $\langle \text{proof} \rangle$

**lift-definition**  $\text{fmempty} :: ('a, 'b) \text{fmap}$   
**is**  $\text{Map.empty}$   
**parametric**  $\text{map-empty-transfer}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fmempty-lookup}[simp]: \text{fmlookup } \text{fmempty} \ x = \text{None}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fmdom-empty}[simp]: \text{fmdom } \text{fmempty} = \{\}\ \langle \text{proof} \rangle$

**lemma**  $\text{fmdom}'\text{-empty}[simp]: \text{fmdom}' \ \text{fmempty} = \{\}\ \langle \text{proof} \rangle$

**lemma**  $\text{fmran-empty}[simp]: \text{fmran } \text{fmempty} = \text{fempty} \ \langle \text{proof} \rangle$

**lift-definition**  $\text{fmupd} :: 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{fmap} \Rightarrow ('a, 'b) \text{fmap}$   
**is**  $\text{map-upd}$   
**parametric**  $\text{map-upd-transfer}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fmupd-lookup}[simp]: \text{fmlookup } (\text{fmupd } a \ b \ m) \ a' = (\text{if } a = a' \text{ then } \text{Some } b \text{ else } \text{fmlookup } m \ a')$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fmdom-fmupd}[simp]: \text{fmdom } (\text{fmupd } a \ b \ m) = \text{finsert } a \ (\text{fmdom } m) \ \langle \text{proof} \rangle$

**lemma**  $\text{fmdom}'\text{-fmupd}[simp]: \text{fmdom}' \ (\text{fmupd } a \ b \ m) = \text{insert } a \ (\text{fmdom}' \ m)$   
 $\langle \text{proof} \rangle$

**lift-definition**  $fmfilter :: ('a \Rightarrow bool) \Rightarrow ('a, 'b) fmap \Rightarrow ('a, 'b) fmap$   
**is** *map-filter*  
**parametric** *map-filter-transfer*  
 $\langle proof \rangle$

**lemma**  $fmdom-filter[simp]: fmdom (fmfilter P m) = ffilter P (fmdom m)$   
 $\langle proof \rangle$

**lemma**  $fmdom'-filter[simp]: fmdom' (fmfilter P m) = Set.filter P (fmdom' m)$   
 $\langle proof \rangle$

**lemma**  $fmlookup-filter[simp]: fmlookup (fmfilter P m) x = (if P x then fmlookup m x else None)$   
 $\langle proof \rangle$

**lemma**  $fmfilter-empty[simp]: fmfilter P fmempty = fmempty$   
 $\langle proof \rangle$

**lemma**  $fmfilter-true[simp]:$   
**assumes**  $\bigwedge x y. fmlookup m x = Some y \Longrightarrow P x$   
**shows**  $fmfilter P m = m$   
 $\langle proof \rangle$

**lemma**  $fmfilter-false[simp]:$   
**assumes**  $\bigwedge x y. fmlookup m x = Some y \Longrightarrow \neg P x$   
**shows**  $fmfilter P m = fmempty$   
 $\langle proof \rangle$

**lemma**  $fmfilter-comp[simp]: fmfilter P (fmfilter Q m) = fmfilter (\lambda x. P x \wedge Q x) m$   
 $\langle proof \rangle$

**lemma**  $fmfilter-comm: fmfilter P (fmfilter Q m) = fmfilter Q (fmfilter P m)$   
 $\langle proof \rangle$

**lemma**  $fmfilter-cong[cong]:$   
**assumes**  $\bigwedge x y. fmlookup m x = Some y \Longrightarrow P x = Q x$   
**shows**  $fmfilter P m = fmfilter Q m$   
 $\langle proof \rangle$

**lemma**  $fmfilter-cong'[undef-cong]:$   
**assumes**  $\bigwedge x. x \in fmdom' m \Longrightarrow P x = Q x$   
**shows**  $fmfilter P m = fmfilter Q m$   
 $\langle proof \rangle$

**lemma**  $fmfilter-upd[simp]:$   
 $fmfilter P (fmupd x y m) = (if P x then fmupd x y (fmfilter P m) else fmfilter P m)$   
 $\langle proof \rangle$

**lift-definition**  $fmdrop :: 'a \Rightarrow ('a, 'b) fmap \Rightarrow ('a, 'b) fmap$   
**is** *map-drop*  
**parametric** *map-drop-transfer*  
 $\langle proof \rangle$

**lemma**  $fmdrop-lookup[simp]: fmllookup (fmdrop a m) a = None$   
 $\langle proof \rangle$

**lift-definition**  $fmdrop-set :: 'a set \Rightarrow ('a, 'b) fmap \Rightarrow ('a, 'b) fmap$   
**is** *map-drop-set*  
**parametric** *map-drop-set-transfer*  
 $\langle proof \rangle$

**lift-definition**  $fmdrop-fset :: 'a fset \Rightarrow ('a, 'b) fmap \Rightarrow ('a, 'b) fmap$   
**is** *map-drop-set*  
**parametric** *map-drop-set-transfer*  
 $\langle proof \rangle$

**lift-definition**  $fmrestrict-set :: 'a set \Rightarrow ('a, 'b) fmap \Rightarrow ('a, 'b) fmap$   
**is** *map-restrict-set*  
**parametric** *map-restrict-set-transfer*  
 $\langle proof \rangle$

**lift-definition**  $fmrestrict-fset :: 'a fset \Rightarrow ('a, 'b) fmap \Rightarrow ('a, 'b) fmap$   
**is** *map-restrict-set*  
**parametric** *map-restrict-set-transfer*  
 $\langle proof \rangle$

**lemma** *fmfilter-alt-defs:*  
 $fmdrop a = fmfiter (\lambda a'. a' \neq a)$   
 $fmdrop-set A = fmfiter (\lambda a. a \notin A)$   
 $fmdrop-fset B = fmfiter (\lambda a. a \notin B)$   
 $fmrestrict-set A = fmfiter (\lambda a. a \in A)$   
 $fmrestrict-fset B = fmfiter (\lambda a. a \in B)$   
 $\langle proof \rangle$

**lemma**  $fmdom-drop[simp]: fmdom (fmdrop a m) = fmdom m - \{a\} \langle proof \rangle$

**lemma**  $fmdom'-drop[simp]: fmdom' (fmdrop a m) = fmdom' m - \{a\} \langle proof \rangle$

**lemma**  $fmdom'-drop-set[simp]: fmdom' (fmdrop-set A m) = fmdom' m - A \langle proof \rangle$

**lemma**  $fmdom-drop-fset[simp]: fmdom (fmdrop-fset A m) = fmdom m - A \langle proof \rangle$

**lemma**  $fmdom'-restrict-set: fmdom' (fmrestrict-set A m) \subseteq A \langle proof \rangle$

**lemma**  $fmdom-restrict-fset: fmdom (fmrestrict-fset A m) \subseteq A \langle proof \rangle$

**lemma**  $fmdom'-drop-fset[simp]: fmdom' (fmdrop-fset A m) = fmdom' m - fset A$   
 $\langle proof \rangle$

**lemma**  $fmdom'-restrict-fset: fmdom' (fmrestrict-fset A m) \subseteq fset A$   
 $\langle proof \rangle$

**lemma** *fmlookup-drop[simp]*:

*fmlookup (fmdrop a m) x = (if x ≠ a then fmlookup m x else None)*  
 ⟨proof⟩

**lemma** *fmlookup-drop-set[simp]*:

*fmlookup (fmdrop-set A m) x = (if x ∉ A then fmlookup m x else None)*  
 ⟨proof⟩

**lemma** *fmlookup-drop-fset[simp]*:

*fmlookup (fmdrop-fset A m) x = (if x |∉| A then fmlookup m x else None)*  
 ⟨proof⟩

**lemma** *fmlookup-restrict-set[simp]*:

*fmlookup (fmrestrict-set A m) x = (if x ∈ A then fmlookup m x else None)*  
 ⟨proof⟩

**lemma** *fmlookup-restrict-fset[simp]*:

*fmlookup (fmrestrict-fset A m) x = (if x |∈| A then fmlookup m x else None)*  
 ⟨proof⟩

**lemma** *fmrestrict-set-dom[simp]*: *fmrestrict-set (fmdom' m) m = m*

⟨proof⟩

**lemma** *fmrestrict-fset-dom[simp]*: *fmrestrict-fset (fmdom m) m = m*

⟨proof⟩

**lemma** *fmdrop-empty[simp]*: *fmdrop a fmempty = fmempty*

⟨proof⟩

**lemma** *fmdrop-set-empty[simp]*: *fmdrop-set A fmempty = fmempty*

⟨proof⟩

**lemma** *fmdrop-fset-empty[simp]*: *fmdrop-fset A fmempty = fmempty*

⟨proof⟩

**lemma** *fmrestrict-set-empty[simp]*: *fmrestrict-set A fmempty = fmempty*

⟨proof⟩

**lemma** *fmrestrict-fset-empty[simp]*: *fmrestrict-fset A fmempty = fmempty*

⟨proof⟩

**lemma** *fmdrop-set-null[simp]*: *fmdrop-set {} m = m*

⟨proof⟩

**lemma** *fmdrop-fset-null[simp]*: *fmdrop-fset {||} m = m*

⟨proof⟩

**lemma** *fmdrop-set-single[simp]*: *fmdrop-set {a} m = fmdrop a m*

*<proof>*

**lemma** *fmdrop-fset-single[simp]*: *fmdrop-fset*  $\{ |a| \}$  *m* = *fmdrop a m*  
*<proof>*

**lemma** *fmrestrict-set-null[simp]*: *fmrestrict-set*  $\{ \}$  *m* = *fmempty*  
*<proof>*

**lemma** *fmrestrict-fset-null[simp]*: *fmrestrict-fset*  $\{ || \}$  *m* = *fmempty*  
*<proof>*

**lemma** *fmdrop-comm*: *fmdrop a (fmdrop b m)* = *fmdrop b (fmdrop a m)*  
*<proof>*

**lemma** *fmdrop-set-insert[simp]*: *fmdrop-set (insert x S) m* = *fmdrop x (fmdrop-set S m)*  
*<proof>*

**lemma** *fmdrop-fset-insert[simp]*: *fmdrop-fset (finsert x S) m* = *fmdrop x (fmdrop-fset S m)*  
*<proof>*

**lift-definition** *fmadd* ::  $('a, 'b) \text{ fmap} \Rightarrow ('a, 'b) \text{ fmap} \Rightarrow ('a, 'b) \text{ fmap}$  (**infixl**  $++_f$  100)  
**is** *map-add*  
**parametric** *map-add-transfer*  
*<proof>*

**lemma** *fmlookup-add[simp]*:  
*fmlookup (m ++<sub>f</sub> n) x* = (if  $x \in | \text{fmdom } n$  then *fmlookup n x* else *fmlookup m x*)  
*<proof>*

**lemma** *fmdom-add[simp]*: *fmdom (m ++<sub>f</sub> n)* = *fmdom m*  $\cup$  *fmdom n* *<proof>*

**lemma** *fmdom'-add[simp]*: *fmdom' (m ++<sub>f</sub> n)* = *fmdom' m*  $\cup$  *fmdom' n* *<proof>*

**lemma** *fmadd-drop-left-dom*: *fmdrop-fset (fmdom n) m ++<sub>f</sub> n* = *m ++<sub>f</sub> n*  
*<proof>*

**lemma** *fmadd-restrict-right-dom*: *fmrestrict-fset (fmdom n) (m ++<sub>f</sub> n)* = *n*  
*<proof>*

**lemma** *fmfilter-add-distrib[simp]*: *fmfilter P (m ++<sub>f</sub> n)* = *fmfilter P m ++<sub>f</sub> fmfilter P n*  
*<proof>*

**lemma** *fmdrop-add-distrib[simp]*: *fmdrop a (m ++<sub>f</sub> n)* = *fmdrop a m ++<sub>f</sub> fmdrop a n*  
*<proof>*

**lemma** *fmdrop-set-add-distrib[simp]*:  $fmdrop\text{-set } A (m ++_f n) = fmdrop\text{-set } A m ++_f fmdrop\text{-set } A n$   
 ⟨proof⟩

**lemma** *fmdrop-fset-add-distrib[simp]*:  $fmdrop\text{-fset } A (m ++_f n) = fmdrop\text{-fset } A m ++_f fmdrop\text{-fset } A n$   
 ⟨proof⟩

**lemma** *fmrestrict-set-add-distrib[simp]*:  
 $fmrestrict\text{-set } A (m ++_f n) = fmrestrict\text{-set } A m ++_f fmrestrict\text{-set } A n$   
 ⟨proof⟩

**lemma** *fmrestrict-fset-add-distrib[simp]*:  
 $fmrestrict\text{-fset } A (m ++_f n) = fmrestrict\text{-fset } A m ++_f fmrestrict\text{-fset } A n$   
 ⟨proof⟩

**lemma** *fmadd-empty[simp]*:  $fmempty ++_f m = m m ++_f fmempty = m$   
 ⟨proof⟩

**lemma** *fmadd-idempotent[simp]*:  $m ++_f m = m$   
 ⟨proof⟩

**lemma** *fmadd-assoc[simp]*:  $m ++_f (n ++_f p) = m ++_f n ++_f p$   
 ⟨proof⟩

**lemma** *fmadd-fmupd[simp]*:  $m ++_f fmupd a b n = fmupd a b (m ++_f n)$   
 ⟨proof⟩

**lift-definition** *fmpr* ::  $('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a, 'b) \text{ fmap} \Rightarrow bool$   
 is *map-pred*  
**parametric** *map-pred-transfer*  
 ⟨proof⟩

**lemma** *fmprI[intro]*:  
 assumes  $\bigwedge x y. fmlookup m x = Some y \Longrightarrow P x y$   
 shows *fmpr*  $P m$   
 ⟨proof⟩

**lemma** *fmprD[dest]*:  $fmpr P m \Longrightarrow fmlookup m x = Some y \Longrightarrow P x y$   
 ⟨proof⟩

**lemma** *fmpr-iff*:  $fmpr P m \longleftrightarrow (\forall x y. fmlookup m x = Some y \longrightarrow P x y)$   
 ⟨proof⟩

**lemma** *fmpr-alt-def*:  $fmpr P m \longleftrightarrow fBall (fmdom m) (\lambda x. P x (the (fmlookup m x)))$   
 ⟨proof⟩

**lemma** *fmpruned-empty*[intro!, simp]: *fmpruned P fmempty*  
 ⟨proof⟩

**lemma** *fmpruned-upd*[intro]: *fmpruned P m*  $\implies$  *P x y*  $\implies$  *fmpruned P (fmupd x y m)*  
 ⟨proof⟩

**lemma** *fmpruned-updD*[dest]: *fmpruned P (fmupd x y m)*  $\implies$  *P x y*  
 ⟨proof⟩

**lemma** *fmpruned-add*[intro]: *fmpruned P m*  $\implies$  *fmpruned P n*  $\implies$  *fmpruned P (m ++<sub>f</sub> n)*  
 ⟨proof⟩

**lemma** *fmpruned-filter*[intro]: *fmpruned P m*  $\implies$  *fmpruned P (fmfilter Q m)*  
 ⟨proof⟩

**lemma** *fmpruned-drop*[intro]: *fmpruned P m*  $\implies$  *fmpruned P (fmdrop a m)*  
 ⟨proof⟩

**lemma** *fmpruned-drop-set*[intro]: *fmpruned P m*  $\implies$  *fmpruned P (fmdrop-set A m)*  
 ⟨proof⟩

**lemma** *fmpruned-drop-fset*[intro]: *fmpruned P m*  $\implies$  *fmpruned P (fmdrop-fset A m)*  
 ⟨proof⟩

**lemma** *fmpruned-restrict-set*[intro]: *fmpruned P m*  $\implies$  *fmpruned P (fmrestrict-set A m)*  
 ⟨proof⟩

**lemma** *fmpruned-restrict-fset*[intro]: *fmpruned P m*  $\implies$  *fmpruned P (fmrestrict-fset A m)*  
 ⟨proof⟩

**lemma** *fmpruned-cases*[consumes 1]:  
**assumes** *fmpruned P m*  
**obtains** *(none) fmlookup m x = None* | *(some) y where fmlookup m x = Some y P x y*  
 ⟨proof⟩

**lift-definition** *fmsubset* :: *('a, 'b) fmap*  $\Rightarrow$  *('a, 'b) fmap*  $\Rightarrow$  *bool* (**infix**  $\subseteq_f$  50)  
**is** *map-le*  
 ⟨proof⟩

**lemma** *fmsubset-alt-def*: *m*  $\subseteq_f$  *n*  $\iff$  *fmpruned (λk v. fmlookup n k = Some v) m*  
 ⟨proof⟩

**lemma** *fmsubset-pred*: *fmpruned P m*  $\implies$  *n*  $\subseteq_f$  *m*  $\implies$  *fmpruned P n*  
 ⟨proof⟩

**lemma** *fmsubset-filter-mono*: *m*  $\subseteq_f$  *n*  $\implies$  *fmfilter P m*  $\subseteq_f$  *fmfilter P n*  
 ⟨proof⟩



**lemma** *fmsubset-drop-mono*:  $m \subseteq_f n \implies \text{fmdrop } a \ m \subseteq_f \text{fmdrop } a \ n$   
 ⟨proof⟩

**lemma** *fmsubset-drop-set-mono*:  $m \subseteq_f n \implies \text{fmdrop-set } A \ m \subseteq_f \text{fmdrop-set } A \ n$   
 ⟨proof⟩

**lemma** *fmsubset-drop-fset-mono*:  $m \subseteq_f n \implies \text{fmdrop-fset } A \ m \subseteq_f \text{fmdrop-fset } A \ n$   
 ⟨proof⟩

**lemma** *fmsubset-restrict-set-mono*:  $m \subseteq_f n \implies \text{fmrestrict-set } A \ m \subseteq_f \text{fmrestrict-set } A \ n$   
 ⟨proof⟩

**lemma** *fmsubset-restrict-fset-mono*:  $m \subseteq_f n \implies \text{fmrestrict-fset } A \ m \subseteq_f \text{fmrestrict-fset } A \ n$   
 ⟨proof⟩

**lift-definition** *fset-of-fmap* ::  $('a, 'b) \text{fmap} \Rightarrow ('a \times 'b) \text{fset}$  **is** *set-of-map*  
 ⟨proof⟩

**lemma** *fset-of-fmap-inj*[*intro, simp*]: *inj fset-of-fmap*  
 ⟨proof⟩

**lemma** *fset-of-fmap-iff*[*simp*]:  $(a, b) \in | \text{fset-of-fmap } m \iff \text{fmlookup } m \ a = \text{Some } b$   
 ⟨proof⟩

**lemma** *fset-of-fmap-iff'*[*simp*]:  $(a, b) \in \text{fset } (\text{fset-of-fmap } m) \iff \text{fmlookup } m \ a = \text{Some } b$   
 ⟨proof⟩

**lift-definition** *fmap-of-list* ::  $('a \times 'b) \text{list} \Rightarrow ('a, 'b) \text{fmap}$   
**is** *map-of*  
**parametric** *map-of-transfer*  
 ⟨proof⟩

**lemma** *fmap-of-list-simps*[*simp*]:  
 $\text{fmap-of-list } [] = \text{fmempty}$   
 $\text{fmap-of-list } ((k, v) \# kus) = \text{fmupd } k \ v \ (\text{fmap-of-list } kus)$   
 ⟨proof⟩

**lemma** *fmap-of-list-app*[*simp*]:  $\text{fmap-of-list } (xs @ ys) = \text{fmap-of-list } ys \ ++_f \text{fmap-of-list } xs$   
 ⟨proof⟩

**lemma** *fmupd-alt-def*:  $\text{fmupd } k \ v \ m = m \ ++_f \text{fmap-of-list } [(k, v)]$   
 ⟨proof⟩

**lemma** *fmpred-of-list*[*intro*]:

**assumes**  $\bigwedge k v. (k, v) \in \text{set } xs \implies P k v$   
**shows** *fmpred*  $P$  (*fmap-of-list*  $xs$ )

*<proof>*

**lemma** *fmap-of-list-SomeD*: *fmllookup* (*fmap-of-list*  $xs$ )  $k = \text{Some } v \implies (k, v) \in \text{set } xs$

*<proof>*

**lemma** *fmdom-fmap-of-list*[*simp*]: *fmdom* (*fmap-of-list*  $xs$ ) = *fset-of-list* (*map fst*  $xs$ )

*<proof>*

**lift-definition** *fmrel-on-fset* ::  $'a \text{ fset} \Rightarrow ('b \Rightarrow 'c \Rightarrow \text{bool}) \Rightarrow ('a, 'b) \text{ fmap} \Rightarrow ('a, 'c) \text{ fmap} \Rightarrow \text{bool}$

**is** *rel-map-on-set*

*<proof>*

**lemma** *fmrel-on-fset-alt-def*: *fmrel-on-fset*  $S P m n \longleftrightarrow \text{fBall } S (\lambda x. \text{rel-option } P (\text{fmllookup } m x) (\text{fmllookup } n x))$

*<proof>*

**lemma** *fmrel-on-fsetI*[*intro*]:

**assumes**  $\bigwedge x. x \in S \implies \text{rel-option } P (\text{fmllookup } m x) (\text{fmllookup } n x)$   
**shows** *fmrel-on-fset*  $S P m n$

*<proof>*

**lemma** *fmrel-on-fset-mono*[*mono*]:  $R \leq Q \implies \text{fmrel-on-fset } S R \leq \text{fmrel-on-fset } S Q$

*<proof>*

**lemma** *fmrel-on-fsetD*:  $x \in S \implies \text{fmrel-on-fset } S P m n \implies \text{rel-option } P (\text{fmllookup } m x) (\text{fmllookup } n x)$

*<proof>*

**lemma** *fmrel-on-fsubset*: *fmrel-on-fset*  $S R m n \implies T \sqsubseteq S \implies \text{fmrel-on-fset } T R m n$

*<proof>*

**lemma** *fmrel-on-fset-unionI*:

*fmrel-on-fset*  $A R m n \implies \text{fmrel-on-fset } B R m n \implies \text{fmrel-on-fset } (A \cup B) R m n$

*<proof>*

**lemma** *fmrel-on-fset-updateI*:

**assumes** *fmrel-on-fset*  $S P m n P v_1 v_2$

**shows** *fmrel-on-fset* (*finsert*  $k S$ )  $P (\text{fmupd } k v_1 m) (\text{fmupd } k v_2 n)$

*<proof>*

**end**

### 33.4 BNF setup

```
lift-bnf ('a, fmran': 'b) fmap [wits: Map.empty]
  for map: fmmmap
    rel: fmrel
  ⟨proof⟩
```

```
declare fmap.pred-mono[mono]
```

```
context includes lifting-syntax begin
```

```
lemma fmmmap-transfer[transfer-rule]:
  (op = ====> pcr-fmap op = op = ====> pcr-fmap op = op =) (λf. op ∘
  (map-option f)) fmmmap
  ⟨proof⟩
```

```
lemma fmran'-transfer[transfer-rule]:
  (pcr-fmap op = op = ====> op =) (λx. UNION (range x) set-option) fmran'
  ⟨proof⟩
```

```
lemma fmrel-transfer[transfer-rule]:
  (op = ====> pcr-fmap op = op = ====> pcr-fmap op = op = ====> op =)
  rel-map fmrel
  ⟨proof⟩
```

**end**

```
lemma fmran'-alt-def: fmran' m = fset (fmran m)
including fset.lifting
  ⟨proof⟩
```

```
lemma fmlookup-ran'-iff: y ∈ fmran' m ↔ (∃ x. fmlookup m x = Some y)
  ⟨proof⟩
```

```
lemma fmran'I: fmlookup m x = Some y ⇒ y ∈ fmran' m ⟨proof⟩
```

```
lemma fmran'E[elim]:
  assumes y ∈ fmran' m
  obtains x where fmlookup m x = Some y
  ⟨proof⟩
```

```
lemma fmrel-iff: fmrel R m n ↔ (∀ x. rel-option R (fmlookup m x) (fmlookup n
  x))
  ⟨proof⟩
```

**lemma** *fmrelI*[intro]:

**assumes**  $\bigwedge x. \text{rel-option } R \text{ (fmlookup } m \ x) \text{ (fmlookup } n \ x)$

**shows** *fmrel*  $R \ m \ n$

*<proof>*

**lemma** *fmrel-upd*[intro]: *fmrel*  $P \ m \ n \implies P \ x \ y \implies \text{fmrel } P \text{ (fmupd } k \ x \ m) \text{ (fmupd } k \ y \ n)$

*<proof>*

**lemma** *fmrelD*[dest]: *fmrel*  $P \ m \ n \implies \text{rel-option } P \text{ (fmlookup } m \ x) \text{ (fmlookup } n \ x)$

*<proof>*

**lemma** *fmrel-addI*[intro]:

**assumes** *fmrel*  $P \ m \ n$  *fmrel*  $P \ a \ b$

**shows** *fmrel*  $P \ (m \ ++_f \ a) \ (n \ ++_f \ b)$

*<proof>*

**lemma** *fmrel-cases*[consumes 1]:

**assumes** *fmrel*  $P \ m \ n$

**obtains** *(none)* *fmlookup*  $m \ x = \text{None}$  *fmlookup*  $n \ x = \text{None}$

| *(some)*  $a \ b$  **where** *fmlookup*  $m \ x = \text{Some } a$  *fmlookup*  $n \ x = \text{Some } b$   $P \ a \ b$

*<proof>*

**lemma** *fmrel-filter*[intro]: *fmrel*  $P \ m \ n \implies \text{fmrel } P \text{ (fmfilter } Q \ m) \text{ (fmfilter } Q \ n)$

*<proof>*

**lemma** *fmrel-drop*[intro]: *fmrel*  $P \ m \ n \implies \text{fmrel } P \text{ (fmdrop } a \ m) \text{ (fmdrop } a \ n)$

*<proof>*

**lemma** *fmrel-drop-set*[intro]: *fmrel*  $P \ m \ n \implies \text{fmrel } P \text{ (fmdrop-set } A \ m) \text{ (fmdrop-set } A \ n)$

*<proof>*

**lemma** *fmrel-drop-fset*[intro]: *fmrel*  $P \ m \ n \implies \text{fmrel } P \text{ (fmdrop-fset } A \ m) \text{ (fmdrop-fset } A \ n)$

*<proof>*

**lemma** *fmrel-restrict-set*[intro]: *fmrel*  $P \ m \ n \implies \text{fmrel } P \text{ (fmrestrict-set } A \ m) \text{ (fmrestrict-set } A \ n)$

*<proof>*

**lemma** *fmrel-restrict-fset*[intro]: *fmrel*  $P \ m \ n \implies \text{fmrel } P \text{ (fmrestrict-fset } A \ m) \text{ (fmrestrict-fset } A \ n)$

*<proof>*

**lemma** *fmrel-on-fset-fmrel-restrict*:

*fmrel-on-fset*  $S \ P \ m \ n \iff \text{fmrel } P \text{ (fmrestrict-fset } S \ m) \text{ (fmrestrict-fset } S \ n)$

*<proof>*

**lemma** *fmrel-on-fset-refl-strong*:

**assumes**  $\bigwedge x y. x \in S \implies \text{fmlookup } m \ x = \text{Some } y \implies P \ y \ y$   
**shows** *fmrel-on-fset*  $S \ P \ m \ m$

*<proof>*

**lemma** *fmrel-on-fset-addI*:

**assumes** *fmrel-on-fset*  $S \ P \ m \ n$  *fmrel-on-fset*  $S \ P \ a \ b$   
**shows** *fmrel-on-fset*  $S \ P \ (m \ ++_f \ a) \ (n \ ++_f \ b)$

*<proof>*

**lemma** *fmrel-fmdom-eq*:

**assumes** *fmrel*  $P \ x \ y$   
**shows** *fmdom*  $x = \text{fmdom } y$

*<proof>*

**lemma** *fmrel-fmdom'-eq*: *fmrel*  $P \ x \ y \implies \text{fmdom}' \ x = \text{fmdom}' \ y$

*<proof>*

**lemma** *fmrel-rel-fmran*:

**assumes** *fmrel*  $P \ x \ y$   
**shows** *rel-fset*  $P \ (\text{fmran } x) \ (\text{fmran } y)$

*<proof>*

**lemma** *fmrel-rel-fmran'*: *fmrel*  $P \ x \ y \implies \text{rel-set } P \ (\text{fmran}' \ x) \ (\text{fmran}' \ y)$

*<proof>*

**lemma** *pred-fmap-fmpred[simp]*: *pred-fmap*  $P = \text{fmpred } (\lambda-. \ P)$

*<proof>*

**including** *fset.lifting*

*<proof>*

**lemma** *pred-fmap-id[simp]*: *pred-fmap*  $\text{id } (\text{fmmap } f \ m) \longleftrightarrow \text{pred-fmap } f \ m$

*<proof>*

**lemma** *pred-fmapD*: *pred-fmap*  $P \ m \implies x \in \text{fmran } m \implies P \ x$

*<proof>*

**lemma** *fmlookup-map[simp]*: *fmlookup*  $(\text{fmmap } f \ m) \ x = \text{map-option } f \ (\text{fmlookup } m \ x)$

*<proof>*

**lemma** *fmpred-map[simp]*: *fmpred*  $P \ (\text{fmmap } f \ m) \longleftrightarrow \text{fmpred } (\lambda k \ v. \ P \ k \ (f \ v)) \ m$

*<proof>*

**lemma** *fmpred-id[simp]*: *fmpred*  $(\lambda-. \ \text{id}) \ (\text{fmmap } f \ m) \longleftrightarrow \text{fmpred } (\lambda-. \ f) \ m$

*<proof>*

**lemma** *fmmmap-add[simp]*:  $fmmmap\ f\ (m\ ++_f\ n) = fmmmap\ f\ m\ ++_f\ fmmmap\ f\ n$   
 ⟨proof⟩

**lemma** *fmmmap-empty[simp]*:  $fmmmap\ f\ fmempty = fmempty$   
 ⟨proof⟩

**lemma** *fmdom-map[simp]*:  $fmdom\ (fmmmap\ f\ m) = fmdom\ m$   
**including** *fset.lifting*  
 ⟨proof⟩

**lemma** *fmdom'-map[simp]*:  $fmdom'\ (fmmmap\ f\ m) = fmdom'\ m$   
 ⟨proof⟩

**lemma** *fmran-fmmmap[simp]*:  $fmran\ (fmmmap\ f\ m) = f\ |\cdot| fmran\ m$   
**including** *fset.lifting*  
 ⟨proof⟩

**lemma** *fmran'-fmmmap[simp]*:  $fmran'\ (fmmmap\ f\ m) = f\ \cdot fmran'\ m$   
 ⟨proof⟩

**lemma** *fmfilter-fmmmap[simp]*:  $fmfilter\ P\ (fmmmap\ f\ m) = fmmmap\ f\ (fmfilter\ P\ m)$   
 ⟨proof⟩

**lemma** *fmdrop-fmmmap[simp]*:  $fmdrop\ a\ (fmmmap\ f\ m) = fmmmap\ f\ (fmdrop\ a\ m)$   
 ⟨proof⟩

**lemma** *fmdrop-set-fmmmap[simp]*:  $fmdrop\ set\ A\ (fmmmap\ f\ m) = fmmmap\ f\ (fmdrop\ set\ A\ m)$  ⟨proof⟩

**lemma** *fmdrop-fset-fmmmap[simp]*:  $fmdrop\ fset\ A\ (fmmmap\ f\ m) = fmmmap\ f\ (fmdrop\ fset\ A\ m)$  ⟨proof⟩

**lemma** *fmrestrict-set-fmmmap[simp]*:  $fmrestrict\ set\ A\ (fmmmap\ f\ m) = fmmmap\ f\ (fmrestrict\ set\ A\ m)$  ⟨proof⟩

**lemma** *fmrestrict-fset-fmmmap[simp]*:  $fmrestrict\ fset\ A\ (fmmmap\ f\ m) = fmmmap\ f\ (fmrestrict\ fset\ A\ m)$  ⟨proof⟩

**lemma** *fmmmap-subset[intro]*:  $m \subseteq_f n \implies fmmmap\ f\ m \subseteq_f fmmmap\ f\ n$   
 ⟨proof⟩

**lemma** *fmmmap-fset-of-fmap*:  $fset\ of\ fmap\ (fmmmap\ f\ m) = (\lambda(k, v). (k, f\ v))\ |\cdot| fset\ of\ fmap\ m$

**including** *fset.lifting*  
 ⟨proof⟩

### 33.5 size setup

**definition** *size-fmap* ::  $('a \Rightarrow nat) \Rightarrow ('b \Rightarrow nat) \Rightarrow ('a, 'b)\ fmap \Rightarrow nat$  **where**  
 [simp]:  $size\ fmap\ f\ g\ m = size\ fset\ (\lambda(a, b). f\ a + g\ b)\ (fset\ of\ fmap\ m)$

**instantiation** *fmap* ::  $(type, type)\ size\ begin$

**definition** *size-fmap* **where**

*size-fmap-overloaded-def*:  $\text{size-fmap} = \text{Finite-Map.size-fmap } (\lambda-. 0) (\lambda-. 0)$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *size-fmap-overloaded-simps*[*simp*]:  $\text{size } x = \text{size } (\text{fset-of-fmap } x)$   
 $\langle \text{proof} \rangle$

**lemma** *fmap-size-o-map*:  $\text{inj } h \implies \text{size-fmap } f \ g \circ \text{fmmap } h = \text{size-fmap } f \ (g \circ h)$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

### 33.6 Additional operations

**lift-definition** *fmmap-keys* ::  $( 'a \Rightarrow 'b \Rightarrow 'c ) \Rightarrow ( 'a, 'b ) \text{fmap} \Rightarrow ( 'a, 'c ) \text{fmap}$  **is**  
 $\lambda f \ m \ a. \text{map-option } (f \ a) \ (m \ a)$   
 $\langle \text{proof} \rangle$

**lemma** *fmpred-fmmap-keys*[*simp*]:  $\text{fmpred } P \ (\text{fmmap-keys } f \ m) = \text{fmpred } (\lambda a \ b. P \ a \ (f \ a \ b)) \ m$   
 $\langle \text{proof} \rangle$

**lemma** *fmdom-fmmap-keys*[*simp*]:  $\text{fmdom } (\text{fmmap-keys } f \ m) = \text{fmdom } m$   
**including** *fset.lifting*  
 $\langle \text{proof} \rangle$

**lemma** *fmlookup-fmmap-keys*[*simp*]:  $\text{fmlookup } (\text{fmmap-keys } f \ m) \ x = \text{map-option } (f \ x) \ (\text{fmlookup } m \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *fmfilter-fmmap-keys*[*simp*]:  $\text{fmfilter } P \ (\text{fmmap-keys } f \ m) = \text{fmmap-keys } f \ (\text{fmfilter } P \ m)$   
 $\langle \text{proof} \rangle$

**lemma** *fmdrop-fmmap-keys*[*simp*]:  $\text{fmdrop } a \ (\text{fmmap-keys } f \ m) = \text{fmmap-keys } f \ (\text{fmdrop } a \ m)$   
 $\langle \text{proof} \rangle$

**lemma** *fmdrop-set-fmmap-keys*[*simp*]:  $\text{fmdrop-set } A \ (\text{fmmap-keys } f \ m) = \text{fmmap-keys } f \ (\text{fmdrop-set } A \ m)$   
 $\langle \text{proof} \rangle$

**lemma** *fmdrop-fset-fmmap-keys*[*simp*]:  $\text{fmdrop-fset } A \ (\text{fmmap-keys } f \ m) = \text{fmmap-keys } f \ (\text{fmdrop-fset } A \ m)$   
 $\langle \text{proof} \rangle$

**lemma** *fmrestrict-set-fmmap-keys*[*simp*]: *fmrestrict-set* *A* (*fmmap-keys* *f* *m*) = *fmmap-keys* *f* (*fmrestrict-set* *A* *m*)  
 ⟨*proof*⟩

**lemma** *fmrestrict-fset-fmmap-keys*[*simp*]: *fmrestrict-fset* *A* (*fmmap-keys* *f* *m*) = *fmmap-keys* *f* (*fmrestrict-fset* *A* *m*)  
 ⟨*proof*⟩

**lemma** *fmmap-keys-subset*[*intro*]:  $m \subseteq_f n \implies \text{fmmap-keys } f \ m \subseteq_f \text{fmmap-keys } f \ n$   
 ⟨*proof*⟩

### 33.7 Lifting/transfer setup

**context includes** *lifting-syntax* **begin**

**lemma** *fmempty-transfer*[*simp*, *intro*, *transfer-rule*]: *fmrel* *P* *fmempty* *fmempty*  
 ⟨*proof*⟩

**lemma** *fmadd-transfer*[*transfer-rule*]:  
 (*fmrel* *P*  $\implies$  *fmrel* *P*  $\implies$  *fmrel* *P*) *fmadd* *fmadd*  
 ⟨*proof*⟩

**lemma** *fmupd-transfer*[*transfer-rule*]:  
 (*op* =  $\implies$  *P*  $\implies$  *fmrel* *P*  $\implies$  *fmrel* *P*) *fmupd* *fmupd*  
 ⟨*proof*⟩

**end**

### 33.8 View as datatype

**lemma** *fmap-distinct*[*simp*]:  
*fmempty*  $\neq$  *fmupd* *k* *v* *m*  
*fmupd* *k* *v* *m*  $\neq$  *fmempty*  
 ⟨*proof*⟩

**lifting-update** *fmap.lifting*

**lemma** *fmap-exhaust*[*case-names* *fmempty* *fmupd*, *cases type*: *fmap*]:  
**assumes** *fmempty*:  $m = \text{fmempty} \implies P$   
**assumes** *fmupd*:  $\bigwedge x \ y \ m'. m = \text{fmupd } x \ y \ m' \implies x \notin \text{fndom } m' \implies P$   
**shows** *P*  
 ⟨*proof*⟩ **including** *fmap.lifting* *fset.lifting*  
 ⟨*proof*⟩

**lemma** *fmap-induct*[*case-names* *fmempty* *fmupd*, *induct type*: *fmap*]:  
**assumes** *P* *fmempty*  
**assumes** ( $\bigwedge x \ y \ m. P \ m \implies \text{fmlookup } m \ x = \text{None} \implies P \ (\text{fmupd } x \ y \ m)$ )  
**shows** *P* *m*



⟨proof⟩

### 33.9 Code setup

**instantiation** *fmap* :: (*type*, *equal*) *equal* **begin**

**definition** *equal-fmap* ≡ *fmrel HOL.equal*

**instance** ⟨*proof*⟩

**end**

**lemma** *fBall-alt-def*:  $fBall\ S\ P \longleftrightarrow (\forall x. x \in S \longrightarrow P\ x)$   
 ⟨*proof*⟩

**lemma** *fmrel-code*:

$fmrel\ R\ m\ n \longleftrightarrow$   
 $fBall\ (fmdom\ m)\ (\lambda x. rel\ option\ R\ (fmlookup\ m\ x)\ (fmlookup\ n\ x)) \wedge$   
 $fBall\ (fmdom\ n)\ (\lambda x. rel\ option\ R\ (fmlookup\ m\ x)\ (fmlookup\ n\ x))$   
 ⟨*proof*⟩

**lemmas** [*code*] =

*fmrel-code*  
*fmran'-alt-def*  
*fmdom'-alt-def*  
*fmfilter-alt-defs*  
*pred-fmap-fmpred*  
*fmsubset-alt-def*  
*fmupd-alt-def*  
*fmrel-on-fset-alt-def*  
*fmpred-alt-def*

**code-datatype** *fmap-of-list*

**quickcheck-generator** *fmap* *constructors*: *fmap-of-list*

**context** **includes** *fset.lifting* **begin**

**lemma** *fmlookup-of-list*[*code*]:  $fmlookup\ (fmap\ of\ list\ m) = map\ of\ m$   
 ⟨*proof*⟩

**lemma** *fmempty-of-list*[*code*]:  $fmempty = fmap\ of\ list\ []$   
 ⟨*proof*⟩

**lemma** *fmran-of-list*[*code*]:  $fmran\ (fmap\ of\ list\ m) = snd\ |\cdot| fset\ of\ list\ (AList.clearjunk\ m)$   
 ⟨*proof*⟩

**lemma** *fmdom-of-list*[*code*]:  $fmdom\ (fmap\ of\ list\ m) = fst\ |\cdot| fset\ of\ list\ m$

*<proof>*

**lemma** *fmfilter-of-list*[code]: *fmfilter*  $P$  (*fmap-of-list*  $m$ ) = *fmap-of-list* (*filter* ( $\lambda(k, -). P\ k$ )  $m$ )  
*<proof>*

**lemma** *fmadd-of-list*[code]: *fmap-of-list*  $m$  ++<sub>*f*</sub> *fmap-of-list*  $n$  = *fmap-of-list* (*AList.merge*  $m$   $n$ )  
*<proof>*

**lemma** *fmmmap-of-list*[code]: *fmmmap*  $f$  (*fmap-of-list*  $m$ ) = *fmap-of-list* (*map* (*apsnd*  $f$ )  $m$ )  
*<proof>*

**lemma** *fmmmap-keys-of-list*[code]: *fmmmap-keys*  $f$  (*fmap-of-list*  $m$ ) = *fmap-of-list* (*map* ( $\lambda(a, b). (a, f\ a\ b)$ )  $m$ )  
*<proof>*

**end**

### 33.10 Instances

**lemma** *exists-map-of*:  
*assumes* *finite* (*dom*  $m$ ) **shows**  $\exists xs. \text{map-of } xs = m$   
*<proof>*

**lemma** *exists-fmap-of-list*:  $\exists xs. \text{fmap-of-list } xs = m$   
*<proof>*

**lemma** *fmap-of-list-surj*[*simp, intro*]: *surj* *fmap-of-list*  
*<proof>*

**instance** *fmap* :: (*countable, countable*) *countable*  
*<proof>*

**instance** *fmap* :: (*finite, finite*) *finite*  
*<proof>*

**lifting-update** *fmap.lifting*

**lifting-forget** *fmap.lifting*

**end**

## 34 Logarithm of Natural Numbers

**theory** *Log-Nat*  
**imports** *Complex-Main*  
**begin**

**definition** *floorlog* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat* **where**  
*floorlog* *b a* = (if *a* > 0  $\wedge$  *b* > 1 then *nat*  $\lfloor \log b a \rfloor + 1$  else 0)

**lemma** *floorlog-mono*:  $x \leq y \implies \text{floorlog } b x \leq \text{floorlog } b y$   
 <proof>

**lemma** *floorlog-bounds*:  
**assumes**  $x > 0 \ b > 1$   
**shows**  $b^\wedge (\text{floorlog } b x - 1) \leq x \wedge x < b^\wedge (\text{floorlog } b x)$   
 <proof>

**lemma** *floorlog-power[simp]*:  
**assumes**  $a > 0 \ b > 1$   
**shows**  $\text{floorlog } b (a * b^\wedge c) = \text{floorlog } b a + c$   
 <proof>

**lemma** *floor-log-add-eqI*:  
**fixes**  $a::\text{nat}$  **and**  $b::\text{nat}$  **and**  $r::\text{real}$   
**assumes**  $b > 1 \ a \geq 1 \ 0 \leq r \ r < 1$   
**shows**  $\lfloor \log b (a + r) \rfloor = \lfloor \log b a \rfloor$   
 <proof>

**lemma** *divide-nat-diff-div-nat-less-one*:  
**fixes**  $x b::\text{nat}$  **shows**  $x / b - x \text{ div } b < 1$   
 <proof>

**lemma** *floor-log-div*:  
**fixes**  $b x :: \text{nat}$  **assumes**  $b > 1 \ x > 0 \ x \text{ div } b > 0$   
**shows**  $\lfloor \log b x \rfloor = \lfloor \log b (x \text{ div } b) \rfloor + 1$   
 <proof>

**lemma** *compute-floorlog[code]*:  
 $\text{floorlog } b x = (\text{if } x > 0 \wedge b > 1 \text{ then } \text{floorlog } b (x \text{ div } b) + 1 \text{ else } 0)$   
 <proof>

**lemma** *floor-log-eq-if*:  
**fixes**  $b x y :: \text{nat}$   
**assumes**  $x \text{ div } b = y \text{ div } b \ b > 1 \ x > 0 \ x \text{ div } b \geq 1$   
**shows**  $\text{floor}(\log b x) = \text{floor}(\log b y)$   
 <proof>

**lemma** *floorlog-eq-if*:  
**fixes**  $b x y :: \text{nat}$   
**assumes**  $x \text{ div } b = y \text{ div } b \ b > 1 \ x > 0 \ x \text{ div } b \geq 1$   
**shows**  $\text{floorlog } b x = \text{floorlog } b y$   
 <proof>

**definition** *bitlen* :: *int*  $\Rightarrow$  *int* **where** *bitlen* *a* = *floorlog* 2 (*nat* *a*)

**lemma** *bitlen-alt-def*:  $\text{bitlen } a = (\text{if } a > 0 \text{ then } \lfloor \log 2 a \rfloor + 1 \text{ else } 0)$   
 ⟨*proof*⟩

**lemma** *bitlen-nonneg*:  $0 \leq \text{bitlen } x$   
 ⟨*proof*⟩

**lemma** *bitlen-bounds*:  
**assumes**  $x > 0$   
**shows**  $2^{\text{nat } (\text{bitlen } x - 1)} \leq x \wedge x < 2^{\text{nat } (\text{bitlen } x)}$   
 ⟨*proof*⟩

**lemma** *bitlen-pow2[simp]*:  
**assumes**  $b > 0$   
**shows**  $\text{bitlen } (b * 2^c) = \text{bitlen } b + c$   
 ⟨*proof*⟩

**lemma** *compute-bitlen[code]*:  
 $\text{bitlen } x = (\text{if } x > 0 \text{ then } \text{bitlen } (x \text{ div } 2) + 1 \text{ else } 0)$   
 ⟨*proof*⟩

**lemma** *bitlen-eq-zero-iff*:  $\text{bitlen } x = 0 \longleftrightarrow x \leq 0$   
 ⟨*proof*⟩

**lemma** *bitlen-div*:  
**assumes**  $0 < m$   
**shows**  $1 \leq \text{real-of-int } m / 2^{\text{nat } (\text{bitlen } m - 1)}$   
**and**  $\text{real-of-int } m / 2^{\text{nat } (\text{bitlen } m - 1)} < 2$   
 ⟨*proof*⟩

end

## 35 Various algebraic structures combined with a lattice

**theory** *Lattice-Algebras*  
**imports** *Complex-Main*  
**begin**

**class** *semilattice-inf-ab-group-add* = *ordered-ab-group-add* + *semilattice-inf*  
**begin**

**lemma** *add-inf-distrib-left*:  $a + \text{inf } b \ c = \text{inf } (a + b) \ (a + c)$   
 ⟨*proof*⟩

**lemma** *add-inf-distrib-right*:  $\text{inf } a \ b + c = \text{inf } (a + c) \ (b + c)$   
 ⟨*proof*⟩

**end**

**class** *semilattice-sup-ab-group-add* = *ordered-ab-group-add* + *semilattice-sup*  
**begin**

**lemma** *add-sup-distrib-left*:  $a + \sup b c = \sup (a + b) (a + c)$   
 ⟨*proof*⟩

**lemma** *add-sup-distrib-right*:  $\sup a b + c = \sup (a + c) (b + c)$   
 ⟨*proof*⟩

**end**

**class** *lattice-ab-group-add* = *ordered-ab-group-add* + *lattice*  
**begin**

**subclass** *semilattice-inf-ab-group-add* ⟨*proof*⟩

**subclass** *semilattice-sup-ab-group-add* ⟨*proof*⟩

**lemmas** *add-sup-inf-distrib* =  
*add-inf-distrib-right add-inf-distrib-left add-sup-distrib-right add-sup-distrib-left*

**lemma** *inf-eq-neg-sup*:  $\inf a b = - \sup (- a) (- b)$   
 ⟨*proof*⟩

**lemma** *sup-eq-neg-inf*:  $\sup a b = - \inf (- a) (- b)$   
 ⟨*proof*⟩

**lemma** *neg-inf-eq-sup*:  $- \inf a b = \sup (- a) (- b)$   
 ⟨*proof*⟩

**lemma** *diff-inf-eq-sup*:  $a - \inf b c = a + \sup (- b) (- c)$   
 ⟨*proof*⟩

**lemma** *neg-sup-eq-inf*:  $- \sup a b = \inf (- a) (- b)$   
 ⟨*proof*⟩

**lemma** *diff-sup-eq-inf*:  $a - \sup b c = a + \inf (- b) (- c)$   
 ⟨*proof*⟩

**lemma** *add-eq-inf-sup*:  $a + b = \sup a b + \inf a b$   
 ⟨*proof*⟩

### 35.1 Positive Part, Negative Part, Absolute Value

**definition** *nppt* ::  $'a \Rightarrow 'a$   
 where *nppt*  $x = \inf x 0$

**definition** *pprt* ::  $'a \Rightarrow 'a$

**where**  $pprt\ x = sup\ x\ 0$

**lemma** *pprt-neg*:  $pprt\ (-\ x) = -\ nprt\ x$   
 $\langle proof \rangle$

**lemma** *nprt-neg*:  $nprt\ (-\ x) = -\ pprt\ x$   
 $\langle proof \rangle$

**lemma** *prts*:  $a = pprt\ a + nprt\ a$   
 $\langle proof \rangle$

**lemma** *zero-le-pprt[simp]*:  $0 \leq pprt\ a$   
 $\langle proof \rangle$

**lemma** *nprt-le-zero[simp]*:  $nprt\ a \leq 0$   
 $\langle proof \rangle$

**lemma** *le-eq-neg*:  $a \leq -\ b \longleftrightarrow a + b \leq 0$   
 (is ?lhs = ?rhs)  
 $\langle proof \rangle$

**lemma** *pprt-0[simp]*:  $pprt\ 0 = 0$   $\langle proof \rangle$

**lemma** *nprt-0[simp]*:  $nprt\ 0 = 0$   $\langle proof \rangle$

**lemma** *pprt-eq-id [simp, no-atp]*:  $0 \leq x \implies pprt\ x = x$   
 $\langle proof \rangle$

**lemma** *nprt-eq-id [simp, no-atp]*:  $x \leq 0 \implies nprt\ x = x$   
 $\langle proof \rangle$

**lemma** *pprt-eq-0 [simp, no-atp]*:  $x \leq 0 \implies pprt\ x = 0$   
 $\langle proof \rangle$

**lemma** *nprt-eq-0 [simp, no-atp]*:  $0 \leq x \implies nprt\ x = 0$   
 $\langle proof \rangle$

**lemma** *sup-0-imp-0*:  
**assumes**  $sup\ a\ (-\ a) = 0$   
**shows**  $a = 0$   
 $\langle proof \rangle$

**lemma** *inf-0-imp-0*:  $inf\ a\ (-\ a) = 0 \implies a = 0$   
 $\langle proof \rangle$

**lemma** *inf-0-eq-0 [simp, no-atp]*:  $inf\ a\ (-\ a) = 0 \longleftrightarrow a = 0$   
 $\langle proof \rangle$

**lemma** *sup-0-eq-0 [simp, no-atp]*:  $sup\ a\ (-\ a) = 0 \longleftrightarrow a = 0$   
 $\langle proof \rangle$

**lemma** *zero-le-double-add-iff-zero-le-single-add* [simp]:  $0 \leq a + a \longleftrightarrow 0 \leq a$   
 (is ?lhs  $\longleftrightarrow$  ?rhs)  
 ⟨proof⟩

**lemma** *double-zero* [simp]:  $a + a = 0 \longleftrightarrow a = 0$   
 ⟨proof⟩

**lemma** *zero-less-double-add-iff-zero-less-single-add* [simp]:  $0 < a + a \longleftrightarrow 0 < a$   
 ⟨proof⟩

**lemma** *double-add-le-zero-iff-single-add-le-zero* [simp]:  $a + a \leq 0 \longleftrightarrow a \leq 0$   
 ⟨proof⟩

**lemma** *double-add-less-zero-iff-single-less-zero* [simp]:  $a + a < 0 \longleftrightarrow a < 0$   
 ⟨proof⟩

**declare** *neg-inf-eq-sup* [simp]  
**and** *neg-sup-eq-inf* [simp]  
**and** *diff-inf-eq-sup* [simp]  
**and** *diff-sup-eq-inf* [simp]

**lemma** *le-minus-self-iff*:  $a \leq -a \longleftrightarrow a \leq 0$   
 ⟨proof⟩

**lemma** *minus-le-self-iff*:  $-a \leq a \longleftrightarrow 0 \leq a$   
 ⟨proof⟩

**lemma** *zero-le-iff-zero-nprt*:  $0 \leq a \longleftrightarrow \text{nprt } a = 0$   
 ⟨proof⟩

**lemma** *le-zero-iff-zero-pprt*:  $a \leq 0 \longleftrightarrow \text{pprt } a = 0$   
 ⟨proof⟩

**lemma** *le-zero-iff-pprt-id*:  $0 \leq a \longleftrightarrow \text{pprt } a = a$   
 ⟨proof⟩

**lemma** *zero-le-iff-nprt-id*:  $a \leq 0 \longleftrightarrow \text{nprt } a = a$   
 ⟨proof⟩

**lemma** *pprt-mono* [simp, no-atp]:  $a \leq b \implies \text{pprt } a \leq \text{pprt } b$   
 ⟨proof⟩

**lemma** *nprt-mono* [simp, no-atp]:  $a \leq b \implies \text{nprt } a \leq \text{nprt } b$   
 ⟨proof⟩

**end**

**lemmas** *add-sup-inf-distrib* =

*add-inf-distrib-right add-inf-distrib-left add-sup-distrib-right add-sup-distrib-left*

**class** *lattice-ab-group-add-abs* = *lattice-ab-group-add* + *abs* +  
**assumes** *abs-lattice*:  $|a| = \text{sup } a \ (- a)$   
**begin**

**lemma** *abs-prts*:  $|a| = \text{pprt } a \ - \ \text{nprt } a$   
 $\langle \text{proof} \rangle$

**subclass** *ordered-ab-group-add-abs*  
 $\langle \text{proof} \rangle$

**end**

**lemma** *sup-eq-if*:  
**fixes**  $a :: 'a :: \{ \text{lattice-ab-group-add}, \text{linorder} \}$   
**shows**  $\text{sup } a \ (- a) = (\text{if } a < 0 \text{ then } - a \ \text{else } a)$   
 $\langle \text{proof} \rangle$

**lemma** *abs-if-lattice*:  
**fixes**  $a :: 'a :: \{ \text{lattice-ab-group-add-abs}, \text{linorder} \}$   
**shows**  $|a| = (\text{if } a < 0 \text{ then } - a \ \text{else } a)$   
 $\langle \text{proof} \rangle$

**lemma** *estimate-by-abs*:  
**fixes**  $a \ b \ c :: 'a :: \text{lattice-ab-group-add-abs}$   
**assumes**  $a + b \leq c$   
**shows**  $a \leq c + |b|$   
 $\langle \text{proof} \rangle$

**class** *lattice-ring* = *ordered-ring* + *lattice-ab-group-add-abs*  
**begin**

**subclass** *semilattice-inf-ab-group-add*  $\langle \text{proof} \rangle$   
**subclass** *semilattice-sup-ab-group-add*  $\langle \text{proof} \rangle$

**end**

**lemma** *abs-le-mult*:  
**fixes**  $a \ b :: 'a :: \text{lattice-ring}$   
**shows**  $|a * b| \leq |a| * |b|$   
 $\langle \text{proof} \rangle$

**instance** *lattice-ring*  $\subseteq$  *ordered-ring-abs*  
 $\langle \text{proof} \rangle$

**lemma** *mult-le-prts*:  
**fixes**  $a \ b :: 'a :: \text{lattice-ring}$



```

assumes  $a1 \leq a$ 
and  $a \leq a2$ 
and  $b1 \leq b$ 
and  $b \leq b2$ 
shows  $a * b \leq$ 
   $pprt\ a2 * pprt\ b2 + pprt\ a1 * nprt\ b2 + nprt\ a2 * pprt\ b1 + nprt\ a1 * nprt$ 
 $b1$ 
<proof>

```

```

lemma mult-ge-prts:
fixes  $a\ b :: 'a::lattice-ring$ 
assumes  $a1 \leq a$ 
and  $a \leq a2$ 
and  $b1 \leq b$ 
and  $b \leq b2$ 
shows  $a * b \geq$ 
   $nprt\ a1 * pprt\ b2 + nprt\ a2 * nprt\ b2 + pprt\ a1 * pprt\ b1 + pprt\ a2 * nprt$ 
 $b1$ 
<proof>

```

```

instance int :: lattice-ring
<proof>

```

```

instance real :: lattice-ring
<proof>

```

```

end

```

## 36 Floating-Point Numbers

```

theory Float
imports Log-Nat Lattice-Algebras
begin

```

```

definition float =  $\{m * 2^{\text{powr } e} \mid (m :: \text{int}) (e :: \text{int}). \text{True}\}$ 

```

```

typedef float = float
morphisms real-of-float float-of
<proof>

```

```

setup-lifting type-definition-float

```

```

declare real-of-float [code-unfold]

```

```

lemmas float-of-inject[simp]

```

```

declare [[coercion real-of-float :: float  $\Rightarrow$  real]]

```

```

lemma real-of-float-eq:  $f1 = f2 \iff \text{real-of-float } f1 = \text{real-of-float } f2$  for  $f1\ f2 ::$ 

```

*float*  
 ⟨*proof*⟩

**declare** *real-of-float-inverse*[*simp*] *float-of-inverse* [*simp*]  
**declare** *real-of-float* [*simp*]

### 36.1 Real operations preserving the representation as floating point number

**lemma** *floatI*:  $m * 2^{\text{powr } e} = x \implies x \in \text{float}$  **for**  $m \ e :: \text{int}$   
 ⟨*proof*⟩

**lemma** *zero-float*[*simp*]:  $0 \in \text{float}$   
 ⟨*proof*⟩

**lemma** *one-float*[*simp*]:  $1 \in \text{float}$   
 ⟨*proof*⟩

**lemma** *numeral-float*[*simp*]: *numeral*  $i \in \text{float}$   
 ⟨*proof*⟩

**lemma** *neg-numeral-float*[*simp*]:  $- \text{numeral } i \in \text{float}$   
 ⟨*proof*⟩

**lemma** *real-of-int-float*[*simp*]: *real-of-int*  $x \in \text{float}$  **for**  $x :: \text{int}$   
 ⟨*proof*⟩

**lemma** *real-of-nat-float*[*simp*]: *real*  $x \in \text{float}$  **for**  $x :: \text{nat}$   
 ⟨*proof*⟩

**lemma** *two-powr-int-float*[*simp*]:  $2^{\text{powr } ( \text{real-of-int } i )} \in \text{float}$  **for**  $i :: \text{int}$   
 ⟨*proof*⟩

**lemma** *two-powr-nat-float*[*simp*]:  $2^{\text{powr } ( \text{real } i )} \in \text{float}$  **for**  $i :: \text{nat}$   
 ⟨*proof*⟩

**lemma** *two-powr-minus-int-float*[*simp*]:  $2^{\text{powr } - ( \text{real-of-int } i )} \in \text{float}$  **for**  $i :: \text{int}$   
 ⟨*proof*⟩

**lemma** *two-powr-minus-nat-float*[*simp*]:  $2^{\text{powr } - ( \text{real } i )} \in \text{float}$  **for**  $i :: \text{nat}$   
 ⟨*proof*⟩

**lemma** *two-powr-numeral-float*[*simp*]:  $2^{\text{powr } \text{numeral } i} \in \text{float}$   
 ⟨*proof*⟩

**lemma** *two-powr-neg-numeral-float*[*simp*]:  $2^{\text{powr } - \text{numeral } i} \in \text{float}$   
 ⟨*proof*⟩

**lemma** *two-pow-float*[*simp*]:  $2^n \in \text{float}$

*<proof>*

**lemma** *plus-float[simp]*:  $r \in \text{float} \implies p \in \text{float} \implies r + p \in \text{float}$   
*<proof>*

**lemma** *uminus-float[simp]*:  $x \in \text{float} \implies -x \in \text{float}$   
*<proof>*

**lemma** *times-float[simp]*:  $x \in \text{float} \implies y \in \text{float} \implies x * y \in \text{float}$   
*<proof>*

**lemma** *minus-float[simp]*:  $x \in \text{float} \implies y \in \text{float} \implies x - y \in \text{float}$   
*<proof>*

**lemma** *abs-float[simp]*:  $x \in \text{float} \implies |x| \in \text{float}$   
*<proof>*

**lemma** *sgn-of-float[simp]*:  $x \in \text{float} \implies \text{sgn } x \in \text{float}$   
*<proof>*

**lemma** *div-power-2-float[simp]*:  $x \in \text{float} \implies x / 2^d \in \text{float}$   
*<proof>*

**lemma** *div-power-2-int-float[simp]*:  $x \in \text{float} \implies x / (2::\text{int})^d \in \text{float}$   
*<proof>*

**lemma** *div-numeral-Bit0-float[simp]*:  
**assumes**  $x / \text{numeral } n \in \text{float}$   
**shows**  $x / (\text{numeral } (\text{Num.Bit0 } n)) \in \text{float}$   
*<proof>*

**lemma** *div-neg-numeral-Bit0-float[simp]*:  
**assumes**  $x / \text{numeral } n \in \text{float}$   
**shows**  $x / (- \text{numeral } (\text{Num.Bit0 } n)) \in \text{float}$   
*<proof>*

**lemma** *power-float[simp]*:  
**assumes**  $a \in \text{float}$   
**shows**  $a ^ b \in \text{float}$   
*<proof>*

**lift-definition** *Float* ::  $\text{int} \Rightarrow \text{int} \Rightarrow \text{float}$  **is**  $\lambda(m::\text{int}) (e::\text{int}). m * 2 \text{ powr } e$   
*<proof>*

**declare** *Float.rep-eq[simp]*

**code-datatype** *Float*

**lemma** *compute-real-of-float[code]*:

*real-of-float* (*Float m e*) = (if  $e \geq 0$  then  $m * 2^{\text{nat } e}$  else  $m / 2^{\text{nat } (-e)}$ )  
 ⟨*proof*⟩

### 36.2 Arithmetic operations on floating point numbers

**instantiation** *float* :: {*ring-1, linorder, linordered-ring, linordered-idom, numeral, equal*}  
**begin**

**lift-definition** *zero-float* :: *float* **is** 0 ⟨*proof*⟩  
**declare** *zero-float.rep-eq*[*simp*]

**lift-definition** *one-float* :: *float* **is** 1 ⟨*proof*⟩  
**declare** *one-float.rep-eq*[*simp*]

**lift-definition** *plus-float* :: *float*  $\Rightarrow$  *float*  $\Rightarrow$  *float* **is** *op* + ⟨*proof*⟩  
**declare** *plus-float.rep-eq*[*simp*]

**lift-definition** *times-float* :: *float*  $\Rightarrow$  *float*  $\Rightarrow$  *float* **is** *op* \* ⟨*proof*⟩  
**declare** *times-float.rep-eq*[*simp*]

**lift-definition** *minus-float* :: *float*  $\Rightarrow$  *float*  $\Rightarrow$  *float* **is** *op* - ⟨*proof*⟩  
**declare** *minus-float.rep-eq*[*simp*]

**lift-definition** *uminus-float* :: *float*  $\Rightarrow$  *float* **is** *uminus* ⟨*proof*⟩  
**declare** *uminus-float.rep-eq*[*simp*]

**lift-definition** *abs-float* :: *float*  $\Rightarrow$  *float* **is** *abs* ⟨*proof*⟩  
**declare** *abs-float.rep-eq*[*simp*]

**lift-definition** *sgn-float* :: *float*  $\Rightarrow$  *float* **is** *sgn* ⟨*proof*⟩  
**declare** *sgn-float.rep-eq*[*simp*]

**lift-definition** *equal-float* :: *float*  $\Rightarrow$  *float*  $\Rightarrow$  *bool* **is** *op* = :: *real*  $\Rightarrow$  *real*  $\Rightarrow$  *bool*  
 ⟨*proof*⟩

**lift-definition** *less-eq-float* :: *float*  $\Rightarrow$  *float*  $\Rightarrow$  *bool* **is** *op*  $\leq$  ⟨*proof*⟩  
**declare** *less-eq-float.rep-eq*[*simp*]

**lift-definition** *less-float* :: *float*  $\Rightarrow$  *float*  $\Rightarrow$  *bool* **is** *op* < ⟨*proof*⟩  
**declare** *less-float.rep-eq*[*simp*]

**instance**  
 ⟨*proof*⟩

**end**

**lemma** *real-of-float* [*simp*]: *real-of-float* (*of-nat n*) = *of-nat n*  
 ⟨*proof*⟩

**lemma** *real-of-float-of-int-eq* [simp]: *real-of-float (of-int z) = of-int z*  
 ⟨proof⟩

**lemma** *Float-0-eq-0* [simp]: *Float 0 e = 0*  
 ⟨proof⟩

**lemma** *real-of-float-power* [simp]: *real-of-float (f<sup>n</sup>) = real-of-float f<sup>n</sup> for f :: float*  
 ⟨proof⟩

**lemma** *real-of-float-min*: *real-of-float (min x y) = min (real-of-float x) (real-of-float y)*  
**and** *real-of-float-max*: *real-of-float (max x y) = max (real-of-float x) (real-of-float y)*  
**for** *x y :: float*  
 ⟨proof⟩

**instance** *float :: unbounded-dense-linorder*  
 ⟨proof⟩

**instantiation** *float :: lattice-ab-group-add*  
**begin**

**definition** *inf-float :: float ⇒ float ⇒ float*  
**where** *inf-float a b = min a b*

**definition** *sup-float :: float ⇒ float ⇒ float*  
**where** *sup-float a b = max a b*

**instance**  
 ⟨proof⟩

**end**

**lemma** *float-numeral* [simp]: *real-of-float (numeral x :: float) = numeral x*  
 ⟨proof⟩

**lemma** *transfer-numeral* [transfer-rule]:  
*rel-fun (op =) pcr-float (numeral :: - ⇒ real) (numeral :: - ⇒ float)*  
 ⟨proof⟩

**lemma** *float-neg-numeral* [simp]: *real-of-float (− numeral x :: float) = − numeral x*  
 ⟨proof⟩

**lemma** *transfer-neg-numeral* [transfer-rule]:  
*rel-fun (op =) pcr-float (− numeral :: - ⇒ real) (− numeral :: - ⇒ float)*  
 ⟨proof⟩

**lemma** *float-of-numeral* [simp]: *numeral k = float-of (numeral k)*

**and** *float-of-neg-numeral*[simp]:  $- \text{numeral } k = \text{float-of } (- \text{numeral } k)$   
 ⟨*proof*⟩

### 36.3 Quickcheck

**instantiation** *float* :: *exhaustive*  
**begin**

**definition** *exhaustive-float* **where**

*exhaustive-float* *f* *d* =  
*Quickcheck-Exhaustive.exhaustive* ( $\lambda x. \text{Quickcheck-Exhaustive.exhaustive } (\lambda y. f (\text{Float } x \ y)) \ d)$  *d*

**instance** ⟨*proof*⟩

**end**

**definition** (**in** *term-syntax*) [*code-unfold*]:

*valtermify-float* *x* *y* = *Code-Evaluation.valtermify* *Float* {·} *x* {·} *y*

**instantiation** *float* :: *full-exhaustive*  
**begin**

**definition**

*full-exhaustive-float* *f* *d* =  
*Quickcheck-Exhaustive.full-exhaustive*  
 ( $\lambda x. \text{Quickcheck-Exhaustive.full-exhaustive } (\lambda y. f (\text{valtermify-float } x \ y)) \ d)$  *d*

**instance** ⟨*proof*⟩

**end**

**instantiation** *float* :: *random*  
**begin**

**definition** *Quickcheck-Random.random* *i* =

*scomp* (*Quickcheck-Random.random* ( $2 \wedge \text{nat-of-natural } i$ ))  
 ( $\lambda \text{man. } \text{scomp } (\text{Quickcheck-Random.random } i) (\lambda \text{exp. } \text{Pair } (\text{valtermify-float } \text{man } \text{exp}))$ )

**instance** ⟨*proof*⟩

**end**

### 36.4 Represent floats as unique mantissa and exponent

**lemma** *int-induct-abs*[*case-names less*]:

**fixes** *j* :: *int*

**assumes** *H*:  $\bigwedge n. (\bigwedge i. |i| < |n| \implies P \ i) \implies P \ n$

**shows** *P* *j*

*<proof>*

**lemma** *int-cancel-factors*:

**fixes**  $n :: int$

**assumes**  $1 < r$

**shows**  $n = 0 \vee (\exists k i. n = k * r ^ i \wedge \neg r \text{ dvd } k)$

*<proof>*

**lemma** *mult-powr-eq-mult-powr-iff-asym*:

**fixes**  $m1\ m2\ e1\ e2 :: int$

**assumes**  $m1: \neg 2 \text{ dvd } m1$

**and**  $e1 \leq e2$

**shows**  $m1 * 2 \text{ powr } e1 = m2 * 2 \text{ powr } e2 \longleftrightarrow m1 = m2 \wedge e1 = e2$

**(is ?lhs  $\longleftrightarrow$  ?rhs)**

*<proof>*

**lemma** *mult-powr-eq-mult-powr-iff*:

$\neg 2 \text{ dvd } m1 \implies \neg 2 \text{ dvd } m2 \implies m1 * 2 \text{ powr } e1 = m2 * 2 \text{ powr } e2 \longleftrightarrow m1 = m2 \wedge e1 = e2$

**for**  $m1\ m2\ e1\ e2 :: int$

*<proof>*

**lemma** *floatE-normed*:

**assumes**  $x: x \in \text{float}$

**obtains** *(zero)*  $x = 0$

| *(powr)*  $m\ e :: int$  **where**  $x = m * 2 \text{ powr } e \wedge \neg 2 \text{ dvd } m\ x \neq 0$

*<proof>*

**lemma** *float-normed-cases*:

**fixes**  $f :: float$

**obtains** *(zero)*  $f = 0$

| *(powr)*  $m\ e :: int$  **where**  $\text{real-of-float } f = m * 2 \text{ powr } e \wedge \neg 2 \text{ dvd } m\ f \neq 0$

*<proof>*

**definition** *mantissa*  $:: float \Rightarrow int$

**where** *mantissa*  $f =$

$\text{fst } (\text{SOME } p :: int \times int. (f = 0 \wedge \text{fst } p = 0 \wedge \text{snd } p = 0) \vee$

$(f \neq 0 \wedge \text{real-of-float } f = \text{real-of-int } (\text{fst } p) * 2 \text{ powr } \text{real-of-int } (\text{snd } p) \wedge \neg 2 \text{ dvd } \text{fst } p))$

**definition** *exponent*  $:: float \Rightarrow int$

**where** *exponent*  $f =$

$\text{snd } (\text{SOME } p :: int \times int. (f = 0 \wedge \text{fst } p = 0 \wedge \text{snd } p = 0) \vee$

$(f \neq 0 \wedge \text{real-of-float } f = \text{real-of-int } (\text{fst } p) * 2 \text{ powr } \text{real-of-int } (\text{snd } p) \wedge \neg 2 \text{ dvd } \text{fst } p))$

**lemma** *exponent-0[simp]*:  $\text{exponent } (\text{float-of } 0) = 0$  **(is ?E)**

**and** *mantissa-0[simp]*:  $\text{mantissa } (\text{float-of } 0) = 0$  **(is ?M)**

*<proof>*

**lemma** *mantissa-exponent*:  $\text{real-of-float } f = \text{mantissa } f * 2 \text{ powr } \text{exponent } f$  (**is** ?E)

**and** *mantissa-not-dvd*:  $f \neq (\text{float-of } 0) \implies \neg 2 \text{ dvd } \text{mantissa } f$  (**is** -  $\implies$  ?D)  
 ⟨proof⟩

**lemma** *mantissa-noteq-0*:  $f \neq \text{float-of } 0 \implies \text{mantissa } f \neq 0$   
 ⟨proof⟩

**lemma**

**fixes**  $m e :: \text{int}$

**defines**  $f \equiv \text{float-of } (m * 2 \text{ powr } e)$

**assumes** *dvd*:  $\neg 2 \text{ dvd } m$

**shows** *mantissa-float*:  $\text{mantissa } f = m$  (**is** ?M)

**and** *exponent-float*:  $m \neq 0 \implies \text{exponent } f = e$  (**is** -  $\implies$  ?E)

⟨proof⟩

### 36.5 Compute arithmetic operations

**lemma** *Float-mantissa-exponent*:  $\text{Float } (\text{mantissa } f) (\text{exponent } f) = f$   
 ⟨proof⟩

**lemma** *Float-cases* [*cases type: float*]:

**fixes**  $f :: \text{float}$

**obtains**  $(\text{Float}) m e :: \text{int}$  **where**  $f = \text{Float } m e$

⟨proof⟩

**lemma** *denormalize-shift*:

**assumes** *f-def*:  $f \equiv \text{Float } m e$

**and** *not-0*:  $f \neq \text{float-of } 0$

**obtains**  $i$  **where**  $m = \text{mantissa } f * 2 ^ i$   $e = \text{exponent } f - i$

⟨proof⟩

**context**

**begin**

**qualified lemma** *compute-float-zero*[*code-unfold, code*]:  $0 = \text{Float } 0 0$

⟨proof⟩ **lemma** *compute-float-one*[*code-unfold, code*]:  $1 = \text{Float } 1 0$

⟨proof⟩

**lift-definition** *normfloat* ::  $\text{float} \Rightarrow \text{float}$  **is**  $\lambda x. x$  ⟨proof⟩

**lemma** *normfloat-id*[*simp*]:  $\text{normfloat } x = x$  ⟨proof⟩ **lemma** *compute-normfloat*[*code*]:

$\text{normfloat } (\text{Float } m e) =$

(if  $m \bmod 2 = 0 \wedge m \neq 0$  then  $\text{normfloat } (\text{Float } (m \text{ div } 2) (e + 1))$ )

else if  $m = 0$  then  $0$  else  $\text{Float } m e$ )

⟨proof⟩ **lemma** *compute-float-numeral*[*code-abbrev*]:  $\text{Float } (\text{numeral } k) 0 = \text{numeral } k$

⟨proof⟩ **lemma** *compute-float-neg-numeral*[*code-abbrev*]:  $\text{Float } (- \text{numeral } k) 0 = - \text{numeral } k$



⟨proof⟩ **lemma** *compute-float-uminus*[code]:  $- \text{Float } m1 \ e1 = \text{Float } (- m1) \ e1$   
 ⟨proof⟩ **lemma** *compute-float-times*[code]:  $\text{Float } m1 \ e1 * \text{Float } m2 \ e2 = \text{Float } (m1 * m2) (e1 + e2)$   
 ⟨proof⟩ **lemma** *compute-float-plus*[code]:  
 $\text{Float } m1 \ e1 + \text{Float } m2 \ e2 =$   
 (if  $m1 = 0$  then  $\text{Float } m2 \ e2$   
 else if  $m2 = 0$  then  $\text{Float } m1 \ e1$   
 else if  $e1 \leq e2$  then  $\text{Float } (m1 + m2 * 2^{\text{nat } (e2 - e1)}) \ e1$   
 else  $\text{Float } (m2 + m1 * 2^{\text{nat } (e1 - e2)}) \ e2$ )  
 ⟨proof⟩ **lemma** *compute-float-minus*[code]:  $f - g = f + (-g)$  **for**  $f \ g :: \text{float}$   
 ⟨proof⟩ **lemma** *compute-float-sgn*[code]:  
 $\text{sgn } (\text{Float } m1 \ e1) = (\text{if } 0 < m1 \ \text{then } 1 \ \text{else if } m1 < 0 \ \text{then } -1 \ \text{else } 0)$   
 ⟨proof⟩

**lift-definition** *is-float-pos* ::  $\text{float} \Rightarrow \text{bool}$  **is**  $op < 0 :: \text{real} \Rightarrow \text{bool}$  ⟨proof⟩ **lemma**  
*compute-is-float-pos*[code]:  $\text{is-float-pos } (\text{Float } m \ e) \longleftrightarrow 0 < m$   
 ⟨proof⟩

**lift-definition** *is-float-nonneg* ::  $\text{float} \Rightarrow \text{bool}$  **is**  $op \leq 0 :: \text{real} \Rightarrow \text{bool}$  ⟨proof⟩ **lemma**  
*compute-is-float-nonneg*[code]:  $\text{is-float-nonneg } (\text{Float } m \ e) \longleftrightarrow 0 \leq m$   
 ⟨proof⟩

**lift-definition** *is-float-zero* ::  $\text{float} \Rightarrow \text{bool}$  **is**  $op = 0 :: \text{real} \Rightarrow \text{bool}$  ⟨proof⟩ **lemma**  
*compute-is-float-zero*[code]:  $\text{is-float-zero } (\text{Float } m \ e) \longleftrightarrow 0 = m$   
 ⟨proof⟩ **lemma** *compute-float-abs*[code]:  $|\text{Float } m \ e| = \text{Float } |m| \ e$   
 ⟨proof⟩ **lemma** *compute-float-eq*[code]:  $\text{equal-class.equal } f \ g = \text{is-float-zero } (f - g)$   
 ⟨proof⟩

end

### 36.6 Lemmas for types *real*, *nat*, *int*

**lemmas** *real-of-ints* =  
*of-int-add*  
*of-int-minus*  
*of-int-diff*  
*of-int-mult*  
*of-int-power*  
*of-int-numeral of-int-neg-numeral*

**lemmas** *int-of-reals* = *real-of-ints*[*symmetric*]

### 36.7 Rounding Real Numbers

**definition** *round-down* ::  $\text{int} \Rightarrow \text{real} \Rightarrow \text{real}$   
**where**  $\text{round-down } prec \ x = \lfloor x * 2^{\text{powr } prec} \rfloor * 2^{\text{powr } -prec}$

**definition** *round-up* ::  $\text{int} \Rightarrow \text{real} \Rightarrow \text{real}$   
**where**  $\text{round-up } prec \ x = \lceil x * 2^{\text{powr } prec} \rceil * 2^{\text{powr } -prec}$

**lemma** *round-down-float[simp]*: *round-down prec x ∈ float*  
 ⟨proof⟩

**lemma** *round-up-float[simp]*: *round-up prec x ∈ float*  
 ⟨proof⟩

**lemma** *round-up*:  $x \leq \text{round-up prec } x$   
 ⟨proof⟩

**lemma** *round-down*: *round-down prec x ≤ x*  
 ⟨proof⟩

**lemma** *round-up-0[simp]*: *round-up p 0 = 0*  
 ⟨proof⟩

**lemma** *round-down-0[simp]*: *round-down p 0 = 0*  
 ⟨proof⟩

**lemma** *round-up-diff-round-down*: *round-up prec x − round-down prec x ≤ 2<sup>power</sup> − prec*  
 ⟨proof⟩

**lemma** *round-down-shift*: *round-down p (x \* 2<sup>power</sup> k) = 2<sup>power</sup> k \* round-down (p + k) x*  
 ⟨proof⟩

**lemma** *round-up-shift*: *round-up p (x \* 2<sup>power</sup> k) = 2<sup>power</sup> k \* round-up (p + k) x*  
 ⟨proof⟩

**lemma** *round-up-uminus-eq*: *round-up p (−x) = − round-down p x*  
**and** *round-down-uminus-eq*: *round-down p (−x) = − round-up p x*  
 ⟨proof⟩

**lemma** *round-up-mono*:  $x \leq y \implies \text{round-up } p \ x \leq \text{round-up } p \ y$   
 ⟨proof⟩

**lemma** *round-up-le1*:  
**assumes**  $x \leq 1$   $\text{prec} \geq 0$   
**shows** *round-up prec x ≤ 1*  
 ⟨proof⟩

**lemma** *round-up-less1*:  
**assumes**  $x < 1 / 2^p$   $p > 0$   
**shows** *round-up p x < 1*  
 ⟨proof⟩

**lemma** *round-down-ge1*:

**assumes**  $x: x \geq 1$   
**assumes**  $prec: p \geq -\log 2 x$   
**shows**  $1 \leq \text{round-down } p x$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{round-up-le0}: x \leq 0 \implies \text{round-up } p x \leq 0$   
 $\langle \text{proof} \rangle$

### 36.8 Rounding Floats

**definition**  $\text{div-twoPow} :: \text{int} \Rightarrow \text{nat} \Rightarrow \text{int}$   
**where**  $[\text{simp}]: \text{div-twoPow } x n = x \text{ div } (2 \wedge n)$

**definition**  $\text{mod-twoPow} :: \text{int} \Rightarrow \text{nat} \Rightarrow \text{int}$   
**where**  $[\text{simp}]: \text{mod-twoPow } x n = x \text{ mod } (2 \wedge n)$

**lemma**  $\text{compute-div-twoPow}[\text{code}]:$   
 $\text{div-twoPow } x n = (\text{if } x = 0 \vee x = -1 \vee n = 0 \text{ then } x \text{ else } \text{div-twoPow } (x \text{ div } 2)$   
 $(n - 1))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{compute-mod-twoPow}[\text{code}]:$   
 $\text{mod-twoPow } x n = (\text{if } n = 0 \text{ then } 0 \text{ else } x \text{ mod } 2 + 2 * \text{mod-twoPow } (x \text{ div } 2)$   
 $(n - 1))$   
 $\langle \text{proof} \rangle$

**lift-definition**  $\text{float-up} :: \text{int} \Rightarrow \text{float} \Rightarrow \text{float}$  **is**  $\text{round-up}$   $\langle \text{proof} \rangle$   
**declare**  $\text{float-up.rep-eq}[\text{simp}]$

**lemma**  $\text{round-up-correct}: \text{round-up } e f - f \in \{0..2 \text{ powr } -e\}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{float-up-correct}: \text{real-of-float } (\text{float-up } e f) - \text{real-of-float } f \in \{0..2 \text{ powr } -e\}$   
 $\langle \text{proof} \rangle$

**lift-definition**  $\text{float-down} :: \text{int} \Rightarrow \text{float} \Rightarrow \text{float}$  **is**  $\text{round-down}$   $\langle \text{proof} \rangle$   
**declare**  $\text{float-down.rep-eq}[\text{simp}]$

**lemma**  $\text{round-down-correct}: f - (\text{round-down } e f) \in \{0..2 \text{ powr } -e\}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{float-down-correct}: \text{real-of-float } f - \text{real-of-float } (\text{float-down } e f) \in \{0..2 \text{ powr } -e\}$   
 $\langle \text{proof} \rangle$

**context**  
**begin**

**qualified lemma** *compute-float-down*[code]:

*float-down*  $p$  (*Float*  $m$   $e$ ) =  
 (if  $p + e < 0$  then *Float* (*div-two*pow  $m$  (*nat*  $-(p + e)$ )))  $(-p)$  else *Float*  $m$   
 $e$ )  
 ⟨*proof*⟩

**lemma** *abs-round-down-le*:  $|f - (\text{round-down } e f)| \leq 2^{\text{powr } -e}$   
 ⟨*proof*⟩

**lemma** *abs-round-up-le*:  $|f - (\text{round-up } e f)| \leq 2^{\text{powr } -e}$   
 ⟨*proof*⟩

**lemma** *round-down-nonneg*:  $0 \leq s \implies 0 \leq \text{round-down } p s$   
 ⟨*proof*⟩

**lemma** *ceil-divide-floor-conv*:

**assumes**  $b \neq 0$   
**shows**  $\lceil \text{real-of-int } a / \text{real-of-int } b \rceil =$   
 (if  $b \text{ dvd } a$  then  $a \text{ div } b$  else  $\lfloor \text{real-of-int } a / \text{real-of-int } b \rfloor + 1$ )  
 ⟨*proof*⟩ **lemma** *compute-float-up*[code]: *float-up*  $p$   $x = - \text{float-down } p (-x)$   
 ⟨*proof*⟩

**end**

**lemma** *bitlen-Float*:

**fixes**  $m$   $e$   
**defines**  $f \equiv \text{Float } m$   $e$   
**shows**  $\text{bitlen } |\text{mantissa } f| + \text{exponent } f = (\text{if } m = 0 \text{ then } 0 \text{ else } \text{bitlen } |m| + e)$   
 ⟨*proof*⟩

**lemma** *float-gt1-scale*:

**assumes**  $1 \leq \text{Float } m$   $e$   
**shows**  $0 \leq e + (\text{bitlen } m - 1)$   
 ⟨*proof*⟩

## 36.9 Truncating Real Numbers

**definition** *truncate-down*::*nat*  $\Rightarrow$  *real*  $\Rightarrow$  *real*

**where** *truncate-down*  $\text{prec } x = \text{round-down } (\text{prec} - \lfloor \log 2 |x| \rfloor) x$

**lemma** *truncate-down*: *truncate-down*  $\text{prec } x \leq x$   
 ⟨*proof*⟩

**lemma** *truncate-down-le*:  $x \leq y \implies \text{truncate-down } \text{prec } x \leq y$   
 ⟨*proof*⟩

**lemma** *truncate-down-zero*[simp]: *truncate-down*  $\text{prec } 0 = 0$   
 ⟨*proof*⟩

**lemma** *truncate-down-float[simp]*: *truncate-down p x*  $\in$  *float*  
 ⟨*proof*⟩

**definition** *truncate-up::nat*  $\Rightarrow$  *real*  $\Rightarrow$  *real*  
**where** *truncate-up prec x* = *round-up (prec - [log 2 |x|]) x*

**lemma** *truncate-up*:  $x \leq$  *truncate-up prec x*  
 ⟨*proof*⟩

**lemma** *truncate-up-le*:  $x \leq y \implies x \leq$  *truncate-up prec y*  
 ⟨*proof*⟩

**lemma** *truncate-up-zero[simp]*: *truncate-up prec 0* = 0  
 ⟨*proof*⟩

**lemma** *truncate-up-uminus-eq*: *truncate-up prec (-x)* = - *truncate-down prec x*  
**and** *truncate-down-uminus-eq*: *truncate-down prec (-x)* = - *truncate-up prec x*  
 ⟨*proof*⟩

**lemma** *truncate-up-float[simp]*: *truncate-up p x*  $\in$  *float*  
 ⟨*proof*⟩

**lemma** *mult-powr-eq*:  $0 < b \implies b \neq 1 \implies 0 < x \implies x * b$  *powr y* = *b powr (y + log b x)*  
 ⟨*proof*⟩

**lemma** *truncate-down-pos*:  
**assumes**  $x > 0$   
**shows** *truncate-down p x*  $> 0$   
 ⟨*proof*⟩

**lemma** *truncate-down-nonneg*:  $0 \leq y \implies 0 \leq$  *truncate-down prec y*  
 ⟨*proof*⟩

**lemma** *truncate-down-ge1*:  $1 \leq x \implies 1 \leq$  *truncate-down p x*  
 ⟨*proof*⟩

**lemma** *truncate-up-nonpos*:  $x \leq 0 \implies$  *truncate-up prec x*  $\leq 0$   
 ⟨*proof*⟩

**lemma** *truncate-up-le1*:  
**assumes**  $x \leq 1$   
**shows** *truncate-up p x*  $\leq 1$   
 ⟨*proof*⟩

**lemma** *truncate-down-shift-int*:  
*truncate-down p (x \* 2 powr real-of-int k)* = *truncate-down p x \* 2 powr k*  
 ⟨*proof*⟩

**lemma** *truncate-down-shift-nat*:  $\text{truncate-down } p (x * 2^{\text{powr } \text{real } k}) = \text{truncate-down } p x * 2^{\text{powr } k}$   
 ⟨proof⟩

**lemma** *truncate-up-shift-int*:  $\text{truncate-up } p (x * 2^{\text{powr } \text{real-of-int } k}) = \text{truncate-up } p x * 2^{\text{powr } k}$   
 ⟨proof⟩

**lemma** *truncate-up-shift-nat*:  $\text{truncate-up } p (x * 2^{\text{powr } \text{real } k}) = \text{truncate-up } p x * 2^{\text{powr } k}$   
 ⟨proof⟩

### 36.10 Truncating Floats

**lift-definition** *float-round-up* ::  $\text{nat} \Rightarrow \text{float} \Rightarrow \text{float}$  **is** *truncate-up*  
 ⟨proof⟩

**lemma** *float-round-up*:  $\text{real-of-float } x \leq \text{real-of-float } (\text{float-round-up } \text{prec } x)$   
 ⟨proof⟩

**lemma** *float-round-up-zero[simp]*:  $\text{float-round-up } \text{prec } 0 = 0$   
 ⟨proof⟩

**lift-definition** *float-round-down* ::  $\text{nat} \Rightarrow \text{float} \Rightarrow \text{float}$  **is** *truncate-down*  
 ⟨proof⟩

**lemma** *float-round-down*:  $\text{real-of-float } (\text{float-round-down } \text{prec } x) \leq \text{real-of-float } x$   
 ⟨proof⟩

**lemma** *float-round-down-zero[simp]*:  $\text{float-round-down } \text{prec } 0 = 0$   
 ⟨proof⟩

**lemmas** *float-round-up-le* = *order-trans[OF float-round-up]*  
**and** *float-round-down-le* = *order-trans[OF float-round-down]*

**lemma** *minus-float-round-up-eq*:  $-\text{float-round-up } \text{prec } x = \text{float-round-down } \text{prec } (-x)$   
**and** *minus-float-round-down-eq*:  $-\text{float-round-down } \text{prec } x = \text{float-round-up } \text{prec } (-x)$   
 ⟨proof⟩

**context**  
**begin**

**qualified lemma** *compute-float-round-down[code]*:  
 $\text{float-round-down } \text{prec } (\text{Float } m \ e) =$   
 (let  $d = \text{bitlen } |m| - \text{int } \text{prec} - 1$  in  
 if  $0 < d$  then  $\text{Float } (\text{div-two } m \ (\text{nat } d)) (e + d)$

```

    else Float m e)
  ⟨proof⟩ lemma compute-float-round-up[code]:
  float-round-up prec x = - float-round-down prec (-x)
  ⟨proof⟩

```

**end**

### 36.11 Approximation of positive rationals

```

lemma div-mult-twopow-eq: a div ((2::nat) ^ n) div b = a div (b * 2 ^ n) for a
b :: nat
  ⟨proof⟩

```

```

lemma real-div-nat-eq-floor-of-divide: a div b = real-of-int [a / b] for a b :: nat
  ⟨proof⟩

```

```

definition rat-precision prec x y =
  (let d = bitlen x - bitlen y
   in int prec - d + (if Float (abs x) 0 < Float (abs y) d then 1 else 0))

```

```

lemma floor-log-divide-eq:
  assumes i > 0 j > 0 p > 1
  shows [log p (i / j)] = floor (log p i) - floor (log p j) -
    (if i ≥ j * p powr (floor (log p i) - floor (log p j)) then 0 else 1)
  ⟨proof⟩

```

```

lemma truncate-down-rat-precision:
  truncate-down prec (real x / real y) = round-down (rat-precision prec x y) (real
x / real y)
and truncate-up-rat-precision:
  truncate-up prec (real x / real y) = round-up (rat-precision prec x y) (real x /
real y)
  ⟨proof⟩

```

```

lift-definition lapprox-posrat :: nat ⇒ nat ⇒ nat ⇒ float
is λprec (x::nat) (y::nat). truncate-down prec (x / y)
  ⟨proof⟩

```

**context**

**begin**

```

qualified lemma compute-lapprox-posrat[code]:
  lapprox-posrat prec x y =
  (let
    l = rat-precision prec x y;
    d = if 0 ≤ l then x * 2 ^ nat l div y else x div 2 ^ nat (- l) div y
  in normfloat (Float d (- l)))
  ⟨proof⟩

```

**end**

**lift-definition** *rapprox-posrat* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *float*  
**is**  $\lambda prec (x::nat) (y::nat). truncate-up\ prec\ (x / y)$   
 $\langle proof \rangle$

**context**

**begin**

**qualified lemma** *compute-rapprox-posrat*[*code*]:

**fixes** *prec x y*  
**defines**  $l \equiv rat-precision\ prec\ x\ y$   
**shows** *rapprox-posrat prec x y* =  
 $(let$   
 $l = l;$   
 $(r, s) = if\ 0 \leq l\ then\ (x * 2^{nat\ l}, y)\ else\ (x, y * 2^{nat\ (-l)});$   
 $d = r\ div\ s;$   
 $m = r\ mod\ s$   
 $in\ normfloat\ (Float\ (d + (if\ m = 0 \vee y = 0\ then\ 0\ else\ 1))\ (-l)))$   
 $\langle proof \rangle$

**end**

**lemma** *rat-precision-pos*:

**assumes**  $0 \leq x$   
**and**  $0 < y$   
**and**  $2 * x < y$   
**shows** *rat-precision n (int x) (int y) > 0*  
 $\langle proof \rangle$

**lemma** *rapprox-posrat-less1*:

$0 \leq x \implies 0 < y \implies 2 * x < y \implies real-of-float\ (rapprox-posrat\ n\ x\ y) < 1$   
 $\langle proof \rangle$

**lift-definition** *lapprox-rat* :: *nat*  $\Rightarrow$  *int*  $\Rightarrow$  *int*  $\Rightarrow$  *float* **is**

$\lambda prec (x::int) (y::int). truncate-down\ prec\ (x / y)$   
 $\langle proof \rangle$

**context**

**begin**

**qualified lemma** *compute-lapprox-rat*[*code*]:

*lapprox-rat prec x y* =  
 $(if\ y = 0\ then\ 0$   
 $else\ if\ 0 \leq x\ then$   
 $(if\ 0 < y\ then\ lapprox-posrat\ prec\ (nat\ x)\ (nat\ y)$   
 $else\ -\ (rapprox-posrat\ prec\ (nat\ x)\ (nat\ (-y))))$   
 $else$   
 $(if\ 0 < y$



$\text{then } - (\text{rapprox-posrat prec } (\text{nat } (-x)) (\text{nat } y))$   
 $\text{else lapprox-posrat prec } (\text{nat } (-x)) (\text{nat } (-y))$   
 $\langle \text{proof} \rangle$

**lift-definition**  $\text{rapprox-rat} :: \text{nat} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{float}$  **is**  
 $\lambda \text{prec } (x :: \text{int}) (y :: \text{int}). \text{truncate-up prec } (x / y)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rapprox-rat} = \text{rapprox-posrat}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lapprox-rat} = \text{lapprox-posrat}$   
 $\langle \text{proof} \rangle$  **lemma**  $\text{compute-rapprox-rat}[\text{code}]$ :  
 $\text{rapprox-rat prec } x \ y = - \text{lapprox-rat prec } (-x) \ y$   
 $\langle \text{proof} \rangle$  **lemma**  $\text{compute-truncate-down}[\text{code}]$ :  
 $\text{truncate-down } p \ (\text{Ratreal } r) = (\text{let } (a, b) = \text{quotient-of } r \text{ in lapprox-rat } p \ a \ b)$   
 $\langle \text{proof} \rangle$  **lemma**  $\text{compute-truncate-up}[\text{code}]$ :  
 $\text{truncate-up } p \ (\text{Ratreal } r) = (\text{let } (a, b) = \text{quotient-of } r \text{ in rapprox-rat } p \ a \ b)$   
 $\langle \text{proof} \rangle$

**end**

### 36.12 Division

**definition**  $\text{real-divl prec } a \ b = \text{truncate-down prec } (a / b)$

**definition**  $\text{real-divr prec } a \ b = \text{truncate-up prec } (a / b)$

**lift-definition**  $\text{float-divl} :: \text{nat} \Rightarrow \text{float} \Rightarrow \text{float} \Rightarrow \text{float}$  **is**  $\text{real-divl}$   
 $\langle \text{proof} \rangle$

**context**  
**begin**

**qualified lemma**  $\text{compute-float-divl}[\text{code}]$ :  
 $\text{float-divl prec } (\text{Float } m1 \ s1) (\text{Float } m2 \ s2) = \text{lapprox-rat prec } m1 \ m2 \ * \ \text{Float } 1$   
 $(s1 - s2)$   
 $\langle \text{proof} \rangle$

**lift-definition**  $\text{float-divr} :: \text{nat} \Rightarrow \text{float} \Rightarrow \text{float} \Rightarrow \text{float}$  **is**  $\text{real-divr}$   
 $\langle \text{proof} \rangle$  **lemma**  $\text{compute-float-divr}[\text{code}]$ :  
 $\text{float-divr prec } x \ y = - \text{float-divl prec } (-x) \ y$   
 $\langle \text{proof} \rangle$

**end**

### 36.13 Approximate Power

**lemma**  $\text{div2-less-self}[\text{termination-simp}]$ :  $\text{odd } n \implies n \ \text{div } 2 < n$  **for**  $n :: \text{nat}$   
 $\langle \text{proof} \rangle$

**fun** *power-down* :: *nat* ⇒ *real* ⇒ *nat* ⇒ *real*

**where**

*power-down* *p* *x* 0 = 1  
| *power-down* *p* *x* (*Suc* *n*) =  
  (if odd *n* then *truncate-down* (*Suc* *p*) ((*power-down* *p* *x* (*Suc* *n* *div* 2))<sup>2</sup>)  
  else *truncate-down* (*Suc* *p*) (*x* \* *power-down* *p* *x* *n*))

**fun** *power-up* :: *nat* ⇒ *real* ⇒ *nat* ⇒ *real*

**where**

*power-up* *p* *x* 0 = 1  
| *power-up* *p* *x* (*Suc* *n*) =  
  (if odd *n* then *truncate-up* *p* ((*power-up* *p* *x* (*Suc* *n* *div* 2))<sup>2</sup>)  
  else *truncate-up* *p* (*x* \* *power-up* *p* *x* *n*))

**lift-definition** *power-up-fl* :: *nat* ⇒ *float* ⇒ *nat* ⇒ *float* **is** *power-up*

⟨*proof*⟩

**lift-definition** *power-down-fl* :: *nat* ⇒ *float* ⇒ *nat* ⇒ *float* **is** *power-down*

⟨*proof*⟩

**lemma** *power-float-transfer*[*transfer-rule*]:

(*rel-fun* *pcr-float* (*rel-fun* *op* = *pcr-float*)) *op* ^ *op* ^  
⟨*proof*⟩

**lemma** *compute-power-up-fl*[*code*]:

*power-up-fl* *p* *x* 0 = 1  
*power-up-fl* *p* *x* (*Suc* *n*) =  
  (if odd *n* then *float-round-up* *p* ((*power-up-fl* *p* *x* (*Suc* *n* *div* 2))<sup>2</sup>)  
  else *float-round-up* *p* (*x* \* *power-up-fl* *p* *x* *n*))

**and** *compute-power-down-fl*[*code*]:

*power-down-fl* *p* *x* 0 = 1  
*power-down-fl* *p* *x* (*Suc* *n*) =  
  (if odd *n* then *float-round-down* (*Suc* *p*) ((*power-down-fl* *p* *x* (*Suc* *n* *div* 2))<sup>2</sup>)  
  else *float-round-down* (*Suc* *p*) (*x* \* *power-down-fl* *p* *x* *n*))

⟨*proof*⟩

**lemma** *power-down-pos*: 0 < *x* ⇒ 0 < *power-down* *p* *x* *n*

⟨*proof*⟩

**lemma** *power-down-nonneg*: 0 ≤ *x* ⇒ 0 ≤ *power-down* *p* *x* *n*

⟨*proof*⟩

**lemma** *power-down*: 0 ≤ *x* ⇒ *power-down* *p* *x* *n* ≤ *x* ^ *n*

⟨*proof*⟩

**lemma** *power-up*: 0 ≤ *x* ⇒ *x* ^ *n* ≤ *power-up* *p* *x* *n*

⟨*proof*⟩

**lemmas** *power-up-le* = *order-trans*[*OF* - *power-up*]  
**and** *power-up-less* = *less-le-trans*[*OF* - *power-up*]  
**and** *power-down-le* = *order-trans*[*OF* *power-down*]

**lemma** *power-down-fl*:  $0 \leq x \implies \text{power-down-fl } p \ x \ n \leq x \wedge n$   
 ⟨*proof*⟩

**lemma** *power-up-fl*:  $0 \leq x \implies x \wedge n \leq \text{power-up-fl } p \ x \ n$   
 ⟨*proof*⟩

**lemma** *real-power-up-fl*: *real-of-float* (*power-up-fl* *p* *x* *n*) = *power-up* *p* *x* *n*  
 ⟨*proof*⟩

**lemma** *real-power-down-fl*: *real-of-float* (*power-down-fl* *p* *x* *n*) = *power-down* *p* *x* *n*  
 ⟨*proof*⟩

### 36.14 Approximate Addition

**definition** *plus-down* *prec* *x* *y* = *truncate-down* *prec* (*x* + *y*)

**definition** *plus-up* *prec* *x* *y* = *truncate-up* *prec* (*x* + *y*)

**lemma** *float-plus-down-float*[*intro*, *simp*]:  $x \in \text{float} \implies y \in \text{float} \implies \text{plus-down } p \ x \ y \in \text{float}$   
 ⟨*proof*⟩

**lemma** *float-plus-up-float*[*intro*, *simp*]:  $x \in \text{float} \implies y \in \text{float} \implies \text{plus-up } p \ x \ y \in \text{float}$   
 ⟨*proof*⟩

**lift-definition** *float-plus-down* :: *nat*  $\Rightarrow$  *float*  $\Rightarrow$  *float*  $\Rightarrow$  *float* **is** *plus-down* ⟨*proof*⟩

**lift-definition** *float-plus-up* :: *nat*  $\Rightarrow$  *float*  $\Rightarrow$  *float*  $\Rightarrow$  *float* **is** *plus-up* ⟨*proof*⟩

**lemma** *plus-down*: *plus-down* *prec* *x* *y*  $\leq x + y$   
**and** *plus-up*:  $x + y \leq \text{plus-up } \text{prec } x \ y$   
 ⟨*proof*⟩

**lemma** *float-plus-down*: *real-of-float* (*float-plus-down* *prec* *x* *y*)  $\leq x + y$   
**and** *float-plus-up*:  $x + y \leq \text{real-of-float } (\text{float-plus-up } \text{prec } x \ y)$   
 ⟨*proof*⟩

**lemmas** *plus-down-le* = *order-trans*[*OF* *plus-down*]  
**and** *plus-up-le* = *order-trans*[*OF* - *plus-up*]  
**and** *float-plus-down-le* = *order-trans*[*OF* *float-plus-down*]  
**and** *float-plus-up-le* = *order-trans*[*OF* - *float-plus-up*]

**lemma** *compute-plus-up*[*code*]: *plus-up* *p* *x* *y* = - *plus-down* *p* (-*x*) (-*y*)

⟨proof⟩

**lemma** *truncate-down-log2-eqI*:

**assumes**  $\lfloor \log 2 |x| \rfloor = \lfloor \log 2 |y| \rfloor$

**assumes**  $\lfloor x * 2^{\text{powr } (p - \lfloor \log 2 |x| \rfloor)} \rfloor = \lfloor y * 2^{\text{powr } (p - \lfloor \log 2 |x| \rfloor)} \rfloor$

**shows** *truncate-down p x = truncate-down p y*

⟨proof⟩

**lemma** *sum-neq-zeroI*:

$|a| \geq k \implies |b| < k \implies a + b \neq 0$

$|a| > k \implies |b| \leq k \implies a + b \neq 0$

**for**  $a k :: \text{real}$

⟨proof⟩

**lemma** *abs-real-le-2-powr-bitlen[simp]*:  $|real-of-int m2| < 2^{\text{powr } real-of-int (bitlen |m2|)}$

⟨proof⟩

**lemma** *floor-sum-times-2-powr-sgn-eq*:

**fixes**  $ai p q :: \text{int}$

**and**  $a b :: \text{real}$

**assumes**  $a * 2^{\text{powr } p} = ai$

**and** *b-le-1*:  $|b * 2^{\text{powr } (p + 1)}| \leq 1$

**and** *leq*:  $q \leq p$

**shows**  $\lfloor (a + b) * 2^{\text{powr } q} \rfloor = \lfloor (2 * ai + \text{sgn } b) * 2^{\text{powr } (q - p - 1)} \rfloor$

⟨proof⟩

**lemma** *log2-abs-int-add-less-half-sgn-eq*:

**fixes**  $ai :: \text{int}$

**and**  $b :: \text{real}$

**assumes**  $|b| \leq 1/2$

**and**  $ai \neq 0$

**shows**  $\lfloor \log 2 |real-of-int ai + b| \rfloor = \lfloor \log 2 |ai + \text{sgn } b / 2| \rfloor$

⟨proof⟩

**context**

**begin**

**qualified lemma** *compute-far-float-plus-down*:

**fixes**  $m1 e1 m2 e2 :: \text{int}$

**and**  $p :: \text{nat}$

**defines**  $k1 \equiv \text{Suc } p - \text{nat } (\text{bitlen } |m1|)$

**assumes** *H*:  $\text{bitlen } |m2| \leq e1 - e2 - k1 - 2$   $m1 \neq 0$   $m2 \neq 0$   $e1 \geq e2$

**shows** *float-plus-down p (Float m1 e1) (Float m2 e2) =*

*float-round-down p (Float (m1 \* 2<sup>^(Suc (Suc k1))</sup>) + sgn m2) (e1 - int k1 - 2))*

⟨proof⟩

**lemma** *compute-float-plus-down-naive[code]*: *float-plus-down p x y = float-round-down*

$p (x + y)$   
 ⟨proof⟩ **lemma** *compute-float-plus-down*[code]:  
**fixes**  $p::nat$  **and**  $m1\ e1\ m2\ e2::int$   
**shows** *float-plus-down*  $p$  (*Float*  $m1\ e1$ ) (*Float*  $m2\ e2$ ) =  
 (if  $m1 = 0$  then *float-round-down*  $p$  (*Float*  $m2\ e2$ )  
 else if  $m2 = 0$  then *float-round-down*  $p$  (*Float*  $m1\ e1$ )  
 else  
 (if  $e1 \geq e2$  then  
 (let  $k1 = Suc\ p - nat\ (bitlen\ |m1|)$  in  
 if  $bitlen\ |m2| > e1 - e2 - k1 - 2$   
 then *float-round-down*  $p$  ((*Float*  $m1\ e1$ ) + (*Float*  $m2\ e2$ ))  
 else *float-round-down*  $p$  (*Float* ( $m1 * 2 ^ (Suc\ (Suc\ k1)) + sgn\ m2$ ) ( $e1$   
 -  $int\ k1 - 2$ )))  
 else *float-plus-down*  $p$  (*Float*  $m2\ e2$ ) (*Float*  $m1\ e1$ )))  
 ⟨proof⟩ **lemma** *compute-float-plus-up*[code]: *float-plus-up*  $p\ x\ y = -\ float-plus-down$   
 $p\ (-x)\ (-y)$   
 ⟨proof⟩

**lemma** *mantissa-zero*[simp]: *mantissa*  $0 = 0$   
 ⟨proof⟩ **lemma** *compute-float-less*[code]:  $a < b \longleftrightarrow is\_float\_pos\ (float-plus-down$   
 $0\ b\ (-\ a))$   
 ⟨proof⟩ **lemma** *compute-float-le*[code]:  $a \leq b \longleftrightarrow is\_float\_nonneg\ (float-plus-down$   
 $0\ b\ (-\ a))$   
 ⟨proof⟩

end

### 36.15 Lemmas needed by Approximate

**lemma** *Float-num*[simp]:  
*real-of-float* (*Float*  $1\ 0$ ) =  $1$   
*real-of-float* (*Float*  $1\ 1$ ) =  $2$   
*real-of-float* (*Float*  $1\ 2$ ) =  $4$   
*real-of-float* (*Float*  $1\ (-\ 1)$ ) =  $1/2$   
*real-of-float* (*Float*  $1\ (-\ 2)$ ) =  $1/4$   
*real-of-float* (*Float*  $1\ (-\ 3)$ ) =  $1/8$   
*real-of-float* (*Float*  $(-\ 1)\ 0$ ) =  $-1$   
*real-of-float* (*Float* (*numeral*  $n$ )  $0$ ) = *numeral*  $n$   
*real-of-float* (*Float*  $(-\ numeral\ n)\ 0$ ) =  $- numeral\ n$   
 ⟨proof⟩

**lemma** *real-of-Float-int*[simp]: *real-of-float* (*Float*  $n\ 0$ ) = *real*  $n$   
 ⟨proof⟩

**lemma** *float-zero*[simp]: *real-of-float* (*Float*  $0\ e$ ) =  $0$   
 ⟨proof⟩

**lemma** *abs-div-2-less*:  $a \neq 0 \implies a \neq -1 \implies |(a::int)\ div\ 2| < |a|$   
 ⟨proof⟩

**lemma** *lapprox-rat*: *real-of-float (lapprox-rat prec x y) ≤ real-of-int x / real-of-int y*  
 ⟨proof⟩

**lemma** *mult-div-le*:  
**fixes** *a b :: int*  
**assumes** *b > 0*  
**shows** *a ≥ b \* (a div b)*  
 ⟨proof⟩

**lemma** *lapprox-rat-nonneg*:  
**assumes** *0 ≤ x and 0 ≤ y*  
**shows** *0 ≤ real-of-float (lapprox-rat n x y)*  
 ⟨proof⟩

**lemma** *rapprox-rat*: *real-of-int x / real-of-int y ≤ real-of-float (rapprox-rat prec x y)*  
 ⟨proof⟩

**lemma** *rapprox-rat-le1*:  
**assumes** *0 ≤ x 0 < y x ≤ y*  
**shows** *real-of-float (rapprox-rat n x y) ≤ 1*  
 ⟨proof⟩

**lemma** *rapprox-rat-nonneg-nonpos*: *0 ≤ x ⇒ y ≤ 0 ⇒ real-of-float (rapprox-rat n x y) ≤ 0*  
 ⟨proof⟩

**lemma** *rapprox-rat-nonpos-nonneg*: *x ≤ 0 ⇒ 0 ≤ y ⇒ real-of-float (rapprox-rat n x y) ≤ 0*  
 ⟨proof⟩

**lemma** *real-divl*: *real-divl prec x y ≤ x / y*  
 ⟨proof⟩

**lemma** *real-divr*: *x / y ≤ real-divr prec x y*  
 ⟨proof⟩

**lemma** *float-divl*: *real-of-float (float-divl prec x y) ≤ x / y*  
 ⟨proof⟩

**lemma** *real-divl-lower-bound*: *0 ≤ x ⇒ 0 ≤ y ⇒ 0 ≤ real-divl prec x y*  
 ⟨proof⟩

**lemma** *float-divl-lower-bound*: *0 ≤ x ⇒ 0 ≤ y ⇒ 0 ≤ real-of-float (float-divl prec x y)*  
 ⟨proof⟩

**lemma** *exponent-1*:  $\text{exponent } 1 = 0$   
 ⟨*proof*⟩

**lemma** *mantissa-1*:  $\text{mantissa } 1 = 1$   
 ⟨*proof*⟩

**lemma** *bitlen-1*:  $\text{bitlen } 1 = 1$   
 ⟨*proof*⟩

**lemma** *mantissa-eq-zero-iff*:  $\text{mantissa } x = 0 \iff x = 0$   
 (is ?lhs  $\iff$  ?rhs)  
 ⟨*proof*⟩

**lemma** *float-upper-bound*:  $x \leq 2^{\text{powr } (\text{bitlen } | \text{mantissa } x| + \text{exponent } x)}$   
 ⟨*proof*⟩

**lemma** *real-divl-pos-less1-bound*:  
 assumes  $0 < x \leq 1$   
 shows  $1 \leq \text{real-divl } \text{prec } 1 \ x$   
 ⟨*proof*⟩

**lemma** *float-divl-pos-less1-bound*:  
 $0 < \text{real-of-float } x \implies \text{real-of-float } x \leq 1 \implies \text{prec} \geq 1 \implies$   
 $1 \leq \text{real-of-float } (\text{float-divl } \text{prec } 1 \ x)$   
 ⟨*proof*⟩

**lemma** *float-divr*:  $\text{real-of-float } x / \text{real-of-float } y \leq \text{real-of-float } (\text{float-divr } \text{prec } x \ y)$   
 ⟨*proof*⟩

**lemma** *real-divr-pos-less1-lower-bound*:  
 assumes  $0 < x$   
 and  $x \leq 1$   
 shows  $1 \leq \text{real-divr } \text{prec } 1 \ x$   
 ⟨*proof*⟩

**lemma** *float-divr-pos-less1-lower-bound*:  $0 < x \implies x \leq 1 \implies 1 \leq \text{float-divr } \text{prec } 1 \ x$   
 ⟨*proof*⟩

**lemma** *real-divr-nonpos-pos-upper-bound*:  $x \leq 0 \implies 0 \leq y \implies \text{real-divr } \text{prec } x \ y \leq 0$   
 ⟨*proof*⟩

**lemma** *float-divr-nonpos-pos-upper-bound*:  
 $\text{real-of-float } x \leq 0 \implies 0 \leq \text{real-of-float } y \implies \text{real-of-float } (\text{float-divr } \text{prec } x \ y) \leq 0$   
 ⟨*proof*⟩

**lemma** *real-divr-nonneg-neg-upper-bound*:  $0 \leq x \implies y \leq 0 \implies \text{real-divr prec } x \ y \leq 0$   
 ⟨proof⟩

**lemma** *float-divr-nonneg-neg-upper-bound*:  
 $0 \leq \text{real-of-float } x \implies \text{real-of-float } y \leq 0 \implies \text{real-of-float } (\text{float-divr prec } x \ y) \leq 0$   
 ⟨proof⟩

**lemma** *truncate-up-nonneg-mono*:  
 assumes  $0 \leq x \ x \leq y$   
 shows  $\text{truncate-up prec } x \leq \text{truncate-up prec } y$   
 ⟨proof⟩

**lemma** *truncate-up-switch-sign-mono*:  
 assumes  $x \leq 0 \ 0 \leq y$   
 shows  $\text{truncate-up prec } x \leq \text{truncate-up prec } y$   
 ⟨proof⟩

**lemma** *truncate-down-switch-sign-mono*:  
 assumes  $x \leq 0$   
 and  $0 \leq y$   
 and  $x \leq y$   
 shows  $\text{truncate-down prec } x \leq \text{truncate-down prec } y$   
 ⟨proof⟩

**lemma** *truncate-down-nonneg-mono*:  
 assumes  $0 \leq x \ x \leq y$   
 shows  $\text{truncate-down prec } x \leq \text{truncate-down prec } y$   
 ⟨proof⟩

**lemma** *truncate-down-eq-truncate-up*:  $\text{truncate-down } p \ x = - \text{truncate-up } p \ (-x)$   
 and *truncate-up-eq-truncate-down*:  $\text{truncate-up } p \ x = - \text{truncate-down } p \ (-x)$   
 ⟨proof⟩

**lemma** *truncate-down-mono*:  $x \leq y \implies \text{truncate-down } p \ x \leq \text{truncate-down } p \ y$   
 ⟨proof⟩

**lemma** *truncate-up-mono*:  $x \leq y \implies \text{truncate-up } p \ x \leq \text{truncate-up } p \ y$   
 ⟨proof⟩

**lemma** *Float-le-zero-iff*:  $\text{Float } a \ b \leq 0 \iff a \leq 0$   
 ⟨proof⟩

**lemma** *real-of-float-pprt[simp]*:  
 fixes  $a :: \text{float}$   
 shows  $\text{real-of-float } (\text{pprt } a) = \text{pprt } (\text{real-of-float } a)$   
 ⟨proof⟩



```

lemma real-of-float-nprt[simp]:
  fixes a :: float
  shows real-of-float (nprt a) = nprt (real-of-float a)
  ⟨proof⟩

context
begin

lift-definition int-floor-fl :: float ⇒ int is floor ⟨proof⟩ lemma compute-int-floor-fl[code]:
  int-floor-fl (Float m e) = (if 0 ≤ e then m * 2 ^ nat e else m div (2 ^ (nat (-e))))
  ⟨proof⟩

lift-definition floor-fl :: float ⇒ float is λx. real-of-int [i>x]
  ⟨proof⟩ lemma compute-floor-fl[code]:
  floor-fl (Float m e) = (if 0 ≤ e then Float m e else Float (m div (2 ^ (nat (-e))))
  0)
  ⟨proof⟩

end

lemma floor-fl: real-of-float (floor-fl x) ≤ real-of-float x
  ⟨proof⟩

lemma int-floor-fl: real-of-int (int-floor-fl x) ≤ real-of-float x
  ⟨proof⟩

lemma floor-pos-exp: exponent (floor-fl x) ≥ 0
  ⟨proof⟩

lemma compute-mantissa[code]:
  mantissa (Float m e) =
  (if m = 0 then 0 else if 2 dvd m then mantissa (normfloat (Float m e)) else m)
  ⟨proof⟩

lemma compute-exponent[code]:
  exponent (Float m e) =
  (if m = 0 then 0 else if 2 dvd m then exponent (normfloat (Float m e)) else e)
  ⟨proof⟩

end

```

## 37 Pi and Function Sets

```

theory FuncSet
  imports HOL.Hilbert-Choice Main
  abbrevs PiE = PiE
  PIE = ΠE
begin

```

**definition**  $Pi :: 'a \text{ set} \Rightarrow ('a \Rightarrow 'b \text{ set}) \Rightarrow ('a \Rightarrow 'b) \text{ set}$   
**where**  $Pi A B = \{f. \forall x. x \in A \longrightarrow f x \in B x\}$

**definition**  $extensional :: 'a \text{ set} \Rightarrow ('a \Rightarrow 'b) \text{ set}$   
**where**  $extensional A = \{f. \forall x. x \notin A \longrightarrow f x = \text{undefined}\}$

**definition**  $restrict :: ('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow 'a \Rightarrow 'b$   
**where**  $restrict f A = (\lambda x. \text{if } x \in A \text{ then } f x \text{ else } \text{undefined})$

**abbreviation**  $funcset :: 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow ('a \Rightarrow 'b) \text{ set}$  (**infixr**  $\rightarrow 60$ )  
**where**  $A \rightarrow B \equiv Pi A (\lambda \cdot. B)$

**syntax**

- $Pi :: ptnr \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow ('a \Rightarrow 'b) \text{ set}$  ( $(\exists \Pi \text{ -}\in\text{-./ -}) 10$ )

- $lam :: ptnr \Rightarrow 'a \text{ set} \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$  ( $(\exists \lambda \text{ -}\in\text{-./ -}) [0,0,3] 3$ )

**translations**

$\Pi x \in A. B \equiv CONST Pi A (\lambda x. B)$

$\lambda x \in A. f \equiv CONST restrict (\lambda x. f) A$

**definition**  $compose :: 'a \text{ set} \Rightarrow ('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'c)$   
**where**  $compose A g f = (\lambda x \in A. g (f x))$

### 37.1 Basic Properties of $Pi$

**lemma**  $Pi\text{-I}[intro!]: (\bigwedge x. x \in A \Longrightarrow f x \in B x) \Longrightarrow f \in Pi A B$   
 $\langle proof \rangle$

**lemma**  $Pi\text{-I}'[simp]: (\bigwedge x. x \in A \longrightarrow f x \in B x) \Longrightarrow f \in Pi A B$   
 $\langle proof \rangle$

**lemma**  $funcsetI: (\bigwedge x. x \in A \Longrightarrow f x \in B) \Longrightarrow f \in A \rightarrow B$   
 $\langle proof \rangle$

**lemma**  $Pi\text{-mem}: f \in Pi A B \Longrightarrow x \in A \Longrightarrow f x \in B x$   
 $\langle proof \rangle$

**lemma**  $Pi\text{-iff}: f \in Pi I X \longleftrightarrow (\forall i \in I. f i \in X i)$   
 $\langle proof \rangle$

**lemma**  $PiE [elim]: f \in Pi A B \Longrightarrow (f x \in B x \Longrightarrow Q) \Longrightarrow (x \notin A \Longrightarrow Q) \Longrightarrow Q$   
 $\langle proof \rangle$

**lemma**  $Pi\text{-cong}: (\bigwedge w. w \in A \Longrightarrow f w = g w) \Longrightarrow f \in Pi A B \longleftrightarrow g \in Pi A B$   
 $\langle proof \rangle$

**lemma**  $funcset\text{-id} [simp]: (\lambda x. x) \in A \rightarrow A$   
 $\langle proof \rangle$

**lemma** *funcset-mem*:  $f \in A \rightarrow B \implies x \in A \implies f x \in B$   
 ⟨proof⟩

**lemma** *funcset-image*:  $f \in A \rightarrow B \implies f ' A \subseteq B$   
 ⟨proof⟩

**lemma** *image-subset-iff-funcset*:  $F ' A \subseteq B \longleftrightarrow F \in A \rightarrow B$   
 ⟨proof⟩

**lemma** *Pi-eq-empty[simp]*:  $(\Pi x \in A. B x) = \{\} \longleftrightarrow (\exists x \in A. B x = \{\})$   
 ⟨proof⟩

**lemma** *Pi-empty [simp]*:  $\Pi \{\} B = UNIV$   
 ⟨proof⟩

**lemma** *Pi-Int*:  $\Pi I E \cap \Pi I F = (\Pi i \in I. E i \cap F i)$   
 ⟨proof⟩

**lemma** *Pi-UN*:  
 fixes  $A :: nat \Rightarrow 'a \text{ set}$   
 assumes *finite I*  
 and *mono*:  $\bigwedge i n m. i \in I \implies n \leq m \implies A n i \subseteq A m i$   
 shows  $(\bigcup n. \Pi I (A n)) = (\Pi i \in I. \bigcup n. A n i)$   
 ⟨proof⟩

**lemma** *Pi-UNIV [simp]*:  $A \rightarrow UNIV = UNIV$   
 ⟨proof⟩

Covariance of Pi-sets in their second argument

**lemma** *Pi-mono*:  $(\bigwedge x. x \in A \implies B x \subseteq C x) \implies \Pi A B \subseteq \Pi A C$   
 ⟨proof⟩

Contravariance of Pi-sets in their first argument

**lemma** *Pi-anti-mono*:  $A' \subseteq A \implies \Pi A B \subseteq \Pi A' B$   
 ⟨proof⟩

**lemma** *prod-final*:  
 assumes  $1: fst \circ f \in \Pi A B$   
 and  $2: snd \circ f \in \Pi A C$   
 shows  $f \in (\Pi z \in A. B z \times C z)$   
 ⟨proof⟩

**lemma** *Pi-split-domain[simp]*:  $x \in \Pi (I \cup J) X \longleftrightarrow x \in \Pi I X \wedge x \in \Pi J X$   
 ⟨proof⟩

**lemma** *Pi-split-insert-domain[simp]*:  $x \in \Pi (\text{insert } i I) X \longleftrightarrow x \in \Pi I X \wedge x i \in X i$   
 ⟨proof⟩

**lemma** *Pi-cancel-fupd-range[simp]*:  $i \notin I \implies x \in \text{Pi } I (B(i := b)) \longleftrightarrow x \in \text{Pi } I B$

*<proof>*

**lemma** *Pi-cancel-fupd[simp]*:  $i \notin I \implies x(i := a) \in \text{Pi } I B \longleftrightarrow x \in \text{Pi } I B$

*<proof>*

**lemma** *Pi-fupd-iff*:  $i \in I \implies f \in \text{Pi } I (B(i := A)) \longleftrightarrow f \in \text{Pi } (I - \{i\}) B \wedge f i \in A$

*<proof>*

### 37.2 Composition With a Restricted Domain: *compose*

**lemma** *funcset-compose*:  $f \in A \rightarrow B \implies g \in B \rightarrow C \implies \text{compose } A g f \in A \rightarrow C$

*<proof>*

**lemma** *compose-assoc*:

**assumes**  $f \in A \rightarrow B$

**and**  $g \in B \rightarrow C$

**and**  $h \in C \rightarrow D$

**shows**  $\text{compose } A h (\text{compose } A g f) = \text{compose } A (\text{compose } B h g) f$

*<proof>*

**lemma** *compose-eq*:  $x \in A \implies \text{compose } A g f x = g (f x)$

*<proof>*

**lemma** *surj-compose*:  $f \text{ ' } A = B \implies g \text{ ' } B = C \implies \text{compose } A g f \text{ ' } A = C$

*<proof>*

### 37.3 Bounded Abstraction: *restrict*

**lemma** *restrict-cong*:  $I = J \implies (\bigwedge i. i \in J = \text{simp} \implies f i = g i) \implies \text{restrict } f I = \text{restrict } g J$

*<proof>*

**lemma** *restrict-in-funcset*:  $(\bigwedge x. x \in A \implies f x \in B) \implies (\lambda x \in A. f x) \in A \rightarrow B$

*<proof>*

**lemma** *restrictI[intro!]*:  $(\bigwedge x. x \in A \implies f x \in B x) \implies (\lambda x \in A. f x) \in \text{Pi } A B$

*<proof>*

**lemma** *restrict-apply[simp]*:  $(\lambda y \in A. f y) x = (\text{if } x \in A \text{ then } f x \text{ else undefined})$

*<proof>*

**lemma** *restrict-apply'*:  $x \in A \implies (\lambda y \in A. f y) x = f x$

*<proof>*

**lemma** *restrict-ext*:  $(\bigwedge x. x \in A \implies f x = g x) \implies (\lambda x \in A. f x) = (\lambda x \in A. g x)$

*<proof>*

**lemma** *restrict-UNIV*:  $restrict\ f\ UNIV = f$   
 ⟨proof⟩

**lemma** *inj-on-restrict-eq* [simp]:  $inj\text{-}on\ (restrict\ f\ A)\ A = inj\text{-}on\ f\ A$   
 ⟨proof⟩

**lemma** *Id-compose*:  $f \in A \rightarrow B \implies f \in extensional\ A \implies compose\ A\ (\lambda y \in B. y)$   
 $f = f$   
 ⟨proof⟩

**lemma** *compose-Id*:  $g \in A \rightarrow B \implies g \in extensional\ A \implies compose\ A\ g\ (\lambda x \in A. x) = g$   
 ⟨proof⟩

**lemma** *image-restrict-eq* [simp]:  $(restrict\ f\ A)\ `A = f\ `A$   
 ⟨proof⟩

**lemma** *restrict-restrict*[simp]:  $restrict\ (restrict\ f\ A)\ B = restrict\ f\ (A \cap B)$   
 ⟨proof⟩

**lemma** *restrict-fupd*[simp]:  $i \notin I \implies restrict\ (f\ (i := x))\ I = restrict\ f\ I$   
 ⟨proof⟩

**lemma** *restrict-upd*[simp]:  $i \notin I \implies (restrict\ f\ I)(i := y) = restrict\ (f\ (i := y))$   
 (insert  $i\ I$ )  
 ⟨proof⟩

**lemma** *restrict-Pi-cancel*:  $restrict\ x\ I \in Pi\ I\ A \longleftrightarrow x \in Pi\ I\ A$   
 ⟨proof⟩

### 37.4 Bijections Between Sets

The definition of *bij-betw* is in *Fun.thy*, but most of the theorems belong here, or need at least *Hilbert-Choice*.

**lemma** *bij-betwI*:  
 assumes  $f \in A \rightarrow B$   
 and  $g \in B \rightarrow A$   
 and  $g \circ f: \bigwedge x. x \in A \implies g\ (f\ x) = x$   
 and  $f \circ g: \bigwedge y. y \in B \implies f\ (g\ y) = y$   
 shows  $bij\text{-}betw\ f\ A\ B$   
 ⟨proof⟩

**lemma** *bij-betw-imp-funcset*:  $bij\text{-}betw\ f\ A\ B \implies f \in A \rightarrow B$   
 ⟨proof⟩

**lemma** *inj-on-compose*:  $bij\text{-}betw\ f\ A\ B \implies inj\text{-}on\ g\ B \implies inj\text{-}on\ (compose\ A\ g\ f)\ A$   
 ⟨proof⟩

**lemma** *bij-betw-compose*:  $\text{bij-betw } f A B \implies \text{bij-betw } g B C \implies \text{bij-betw } (\text{compose } A g f) A C$   
 ⟨proof⟩

**lemma** *bij-betw-restrict-eq* [simp]:  $\text{bij-betw } (\text{restrict } f A) A B = \text{bij-betw } f A B$   
 ⟨proof⟩

### 37.5 Extensionality

**lemma** *extensional-empty*[simp]:  $\text{extensional } \{\} = \{\lambda x. \text{undefined}\}$   
 ⟨proof⟩

**lemma** *extensional-arb*:  $f \in \text{extensional } A \implies x \notin A \implies f x = \text{undefined}$   
 ⟨proof⟩

**lemma** *restrict-extensional* [simp]:  $\text{restrict } f A \in \text{extensional } A$   
 ⟨proof⟩

**lemma** *compose-extensional* [simp]:  $\text{compose } A f g \in \text{extensional } A$   
 ⟨proof⟩

**lemma** *extensionalityI*:  
 assumes  $f \in \text{extensional } A$   
 and  $g \in \text{extensional } A$   
 and  $\bigwedge x. x \in A \implies f x = g x$   
 shows  $f = g$   
 ⟨proof⟩

**lemma** *extensional-restrict*:  $f \in \text{extensional } A \implies \text{restrict } f A = f$   
 ⟨proof⟩

**lemma** *extensional-subset*:  $f \in \text{extensional } A \implies A \subseteq B \implies f \in \text{extensional } B$   
 ⟨proof⟩

**lemma** *inv-into-funcset*:  $f \text{ ' } A = B \implies (\lambda x \in B. \text{inv-into } A f x) \in B \rightarrow A$   
 ⟨proof⟩

**lemma** *compose-inv-into-id*:  $\text{bij-betw } f A B \implies \text{compose } A (\lambda y \in B. \text{inv-into } A f y) f = (\lambda x \in A. x)$   
 ⟨proof⟩

**lemma** *compose-id-inv-into*:  $f \text{ ' } A = B \implies \text{compose } B f (\lambda y \in B. \text{inv-into } A f y) = (\lambda x \in B. x)$   
 ⟨proof⟩

**lemma** *extensional-insert*[intro, simp]:  
 assumes  $a \in \text{extensional } (\text{insert } i I)$   
 shows  $a(i := b) \in \text{extensional } (\text{insert } i I)$

*<proof>*

**lemma** *extensional-Int[simp]*: *extensional I*  $\cap$  *extensional I'* = *extensional (I*  $\cap$  *I')*

*<proof>*

**lemma** *extensional-UNIV[simp]*: *extensional UNIV* = *UNIV*

*<proof>*

**lemma** *restrict-extensional-sub[intro]*:  $A \subseteq B \implies \text{restrict } f \ A \in \text{extensional } B$

*<proof>*

**lemma** *extensional-insert-undefined[intro, simp]*:

$a \in \text{extensional (insert } i \ I) \implies a(i := \text{undefined}) \in \text{extensional } I$

*<proof>*

**lemma** *extensional-insert-cancel[intro, simp]*:

$a \in \text{extensional } I \implies a \in \text{extensional (insert } i \ I)$

*<proof>*

### 37.6 Cardinality

**lemma** *card-inj*:  $f \in A \rightarrow B \implies \text{inj-on } f \ A \implies \text{finite } B \implies \text{card } A \leq \text{card } B$

*<proof>*

**lemma** *card-bij*:

**assumes**  $f \in A \rightarrow B$  *inj-on*  $f \ A$

**and**  $g \in B \rightarrow A$  *inj-on*  $g \ B$

**and** *finite*  $A$  *finite*  $B$

**shows**  $\text{card } A = \text{card } B$

*<proof>*

### 37.7 Extensional Function Spaces

**definition** *PiE* :: *'a set*  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b set*)  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b*) *set*

**where**  $\text{PiE } S \ T = \text{Pi } S \ T \cap \text{extensional } S$

**abbreviation**  $\text{Pi}_E \ A \ B \equiv \text{PiE } A \ B$

**syntax**

$\text{-PiE} :: \text{pttrn} \Rightarrow \text{'a set} \Rightarrow \text{'b set} \Rightarrow (\text{'a} \Rightarrow \text{'b}) \text{ set} \ ((\exists \text{Pi}_E \ \text{-}\in\ \text{-}) \ 10)$

**translations**

$\text{Pi}_E \ x \in A. \ B \equiv \text{CONST } \text{Pi}_E \ A \ (\lambda x. \ B)$

**abbreviation** *extensional-funcset* :: *'a set*  $\Rightarrow$  *'b set*  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b*) *set* (**infixr**  $\rightarrow_E$  60)

**where**  $A \rightarrow_E B \equiv (\text{Pi}_E \ i \in A. \ B)$

**lemma** *extensional-funcset-def*: *extensional-funcset S T* =  $(S \rightarrow T) \cap \text{extensional } S$

*<proof>*

**lemma** *PiE-empty-domain[simp]*:  $Pi_E \{\} T = \{\lambda x. undefined\}$   
*<proof>*

**lemma** *PiE-UNIV-domain*:  $Pi_E UNIV T = Pi UNIV T$   
*<proof>*

**lemma** *PiE-empty-range[simp]*:  $i \in I \implies F i = \{\} \implies (\Pi_E i \in I. F i) = \{\}$   
*<proof>*

**lemma** *PiE-eq-empty-iff*:  $Pi_E I F = \{\} \longleftrightarrow (\exists i \in I. F i = \{\})$   
*<proof>*

**lemma** *PiE-arb*:  $f \in Pi_E S T \implies x \notin S \implies f x = undefined$   
*<proof>*

**lemma** *PiE-mem*:  $f \in Pi_E S T \implies x \in S \implies f x \in T x$   
*<proof>*

**lemma** *PiE-fun-upd*:  $y \in T x \implies f \in Pi_E S T \implies f(x := y) \in Pi_E (insert x S) T$   
*<proof>*

**lemma** *fun-upd-in-PiE*:  $x \notin S \implies f \in Pi_E (insert x S) T \implies f(x := undefined) \in Pi_E S T$   
*<proof>*

**lemma** *PiE-insert-eq*:  $Pi_E (insert x S) T = (\lambda(y, g). g(x := y)) ' (T x \times Pi_E S T)$   
*<proof>*

**lemma** *PiE-Int*:  $Pi_E I A \cap Pi_E I B = Pi_E I (\lambda x. A x \cap B x)$   
*<proof>*

**lemma** *PiE-cong*:  $(\bigwedge i. i \in I \implies A i = B i) \implies Pi_E I A = Pi_E I B$   
*<proof>*

**lemma** *PiE-E [elim]*:  
**assumes**  $f \in Pi_E A B$   
**obtains**  $x \in A$  **and**  $f x \in B x$   
 |  $x \notin A$  **and**  $f x = undefined$   
*<proof>*

**lemma** *PiE-I[intro!]*:  
 $(\bigwedge x. x \in A \implies f x \in B x) \implies (\bigwedge x. x \notin A \implies f x = undefined) \implies f \in Pi_E A B$   
*<proof>*



**lemma** *PiE-mono*:  $(\bigwedge x. x \in A \implies B x \subseteq C x) \implies \text{Pi}_E A B \subseteq \text{Pi}_E A C$   
 ⟨proof⟩

**lemma** *PiE-iff*:  $f \in \text{Pi}_E I X \iff (\forall i \in I. f i \in X i) \wedge f \in \text{extensional } I$   
 ⟨proof⟩

**lemma** *PiE-restrict[simp]*:  $f \in \text{Pi}_E A B \implies \text{restrict } f A = f$   
 ⟨proof⟩

**lemma** *restrict-PiE[simp]*:  $\text{restrict } f I \in \text{Pi}_E I S \iff f \in \text{Pi } I S$   
 ⟨proof⟩

**lemma** *PiE-eq-subset*:  
 assumes *ne*:  $\bigwedge i. i \in I \implies F i \neq \{\}$   $\bigwedge i. i \in I \implies F' i \neq \{\}$   
 and *eq*:  $\text{Pi}_E I F = \text{Pi}_E I F'$   
 and  $i \in I$   
 shows  $F i \subseteq F' i$   
 ⟨proof⟩

**lemma** *PiE-eq-iff-not-empty*:  
 assumes *ne*:  $\bigwedge i. i \in I \implies F i \neq \{\}$   $\bigwedge i. i \in I \implies F' i \neq \{\}$   
 shows  $\text{Pi}_E I F = \text{Pi}_E I F' \iff (\forall i \in I. F i = F' i)$   
 ⟨proof⟩

**lemma** *PiE-eq-iff*:  
 $\text{Pi}_E I F = \text{Pi}_E I F' \iff (\forall i \in I. F i = F' i) \vee ((\exists i \in I. F i = \{\}) \wedge (\exists i \in I. F' i = \{\}))$   
 ⟨proof⟩

**lemma** *extensional-funcset-fun-upd-restricts-rangeI*:  
 $\forall y \in S. f x \neq f y \implies f \in (\text{insert } x S) \rightarrow_E T \implies f(x := \text{undefined}) \in S \rightarrow_E (T - \{f x\})$   
 ⟨proof⟩

**lemma** *extensional-funcset-fun-upd-extends-rangeI*:  
 assumes  $a \in T$   $f \in S \rightarrow_E (T - \{a\})$   
 shows  $f(x := a) \in \text{insert } x S \rightarrow_E T$   
 ⟨proof⟩

### 37.7.1 Injective Extensional Function Spaces

**lemma** *extensional-funcset-fun-upd-inj-onI*:  
 assumes  $f \in S \rightarrow_E (T - \{a\})$   
 and *inj-on*  $f S$   
 shows *inj-on*  $(f(x := a)) S$   
 ⟨proof⟩

**lemma** *extensional-funcset-extend-domain-inj-on-eq*:  
 assumes  $x \notin S$

**shows**  $\{f. f \in (\text{insert } x \ S) \rightarrow_E T \wedge \text{inj-on } f (\text{insert } x \ S)\} =$   
 $(\lambda(y, g). g(x:=y)) \cdot \{(y, g). y \in T \wedge g \in S \rightarrow_E (T - \{y\}) \wedge \text{inj-on } g \ S\}$   
 $\langle \text{proof} \rangle$

**lemma** *extensional-funcset-extend-domain-inj-onI*:

**assumes**  $x \notin S$

**shows**  $\text{inj-on } (\lambda(y, g). g(x := y)) \{(y, g). y \in T \wedge g \in S \rightarrow_E (T - \{y\}) \wedge$   
 $\text{inj-on } g \ S\}$   
 $\langle \text{proof} \rangle$

### 37.7.2 Cardinality

**lemma** *finite-PiE*:  $\text{finite } S \implies (\bigwedge i. i \in S \implies \text{finite } (T \ i)) \implies \text{finite } (\prod_E i \in S. T \ i)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-combinator*:  $x \notin S \implies \text{inj-on } (\lambda(y, g). g(x := y)) (T \ x \times \text{Pi}_E \ S \ T)$   
 $\langle \text{proof} \rangle$

**lemma** *card-PiE*:  $\text{finite } S \implies \text{card } (\prod_E i \in S. T \ i) = (\prod_{i \in S}. \text{card } (T \ i))$   
 $\langle \text{proof} \rangle$

**end**

## 38 Pointwise instantiation of functions to division

**theory** *Function-Division*

**imports** *Function-Algebras*

**begin**

### 38.1 Syntactic with division

**instantiation** *fun* ::  $(\text{type}, \text{inverse}) \text{ inverse}$   
**begin**

**definition**  $\text{inverse } f = \text{inverse} \circ f$

**definition**  $f \ \text{div} \ g = (\lambda x. f \ x \ / \ g \ x)$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *inverse-fun-apply* [*simp*]:

$\text{inverse } f \ x = \text{inverse } (f \ x)$

$\langle \text{proof} \rangle$

**lemma** *divide-fun-apply* [*simp*]:

$(f \ / \ g) \ x = f \ x \ / \ g \ x$

*<proof>*

Unfortunately, we cannot lift this operations to algebraic type classes for division: being different from the constant zero function  $f \neq (0::'a)$  is too weak as precondition. So we must introduce our own set of lemmas.

**abbreviation** *zero-free* :: ('b  $\Rightarrow$  'a::field)  $\Rightarrow$  bool **where**  
*zero-free* f  $\equiv \neg (\exists x. f x = 0)$

**lemma** *fun-left-inverse*:  
**fixes** f :: 'b  $\Rightarrow$  'a::field  
**shows** *zero-free* f  $\Longrightarrow$  *inverse* f \* f = 1  
*<proof>*

**lemma** *fun-right-inverse*:  
**fixes** f :: 'b  $\Rightarrow$  'a::field  
**shows** *zero-free* f  $\Longrightarrow$  f \* *inverse* f = 1  
*<proof>*

**lemma** *fun-divide-inverse*:  
**fixes** f g :: 'b  $\Rightarrow$  'a::field  
**shows** f / g = f \* *inverse* g  
*<proof>*

Feel free to extend this.

Another possibility would be a reformulation of the division type classes to use a *zero-free* predicate rather than a direct  $a \neq (0::'a)$  condition.

**end**

## 39 Preorders with explicit equivalence relation

**theory** *Preorder*  
**imports** *HOL.Orderings*  
**begin**

**class** *preorder-equiv* = *preorder*  
**begin**

**definition** *equiv* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool  
**where** *equiv* x y  $\longleftrightarrow x \leq y \wedge y \leq x$

**notation**  
*equiv* (*op*  $\approx$ ) **and**  
*equiv* ((-/  $\approx$  -) [*51*, *51*] *50*)

**lemma** *refl* [*iff*]:  $x \approx x$   
*<proof>*

**lemma** *trans*:  $x \approx y \Longrightarrow y \approx z \Longrightarrow x \approx z$

*<proof>*

**lemma antisym:**  $x \leq y \implies y \leq x \implies x \approx y$   
*<proof>*

**lemma less-le:**  $x < y \iff x \leq y \wedge \neg x \approx y$   
*<proof>*

**lemma le-less:**  $x \leq y \iff x < y \vee x \approx y$   
*<proof>*

**lemma le-imp-less-or-eq:**  $x \leq y \implies x < y \vee x \approx y$   
*<proof>*

**lemma less-imp-not-eq:**  $x < y \implies x \approx y \iff \text{False}$   
*<proof>*

**lemma less-imp-not-eq2:**  $x < y \implies y \approx x \iff \text{False}$   
*<proof>*

**lemma neq-le-trans:**  $\neg a \approx b \implies a \leq b \implies a < b$   
*<proof>*

**lemma le-neq-trans:**  $a \leq b \implies \neg a \approx b \implies a < b$   
*<proof>*

**lemma antisym-conv:**  $y \leq x \implies x \leq y \iff x \approx y$   
*<proof>*

end

end

## 40 Common discrete functions

**theory** *Discrete*  
**imports** *Complex-Main*  
**begin**

### 40.1 Discrete logarithm

**context**  
**begin**

**qualified fun** *log* :: *nat*  $\Rightarrow$  *nat*  
**where** [*simp del*]: *log* *n* = (*if* *n* < 2 *then* 0 *else* *Suc* (*log* (*n* *div* 2)))

**lemma** *log-induct* [*consumes 1, case-names one double*]:  
**fixes** *n* :: *nat*

**assumes**  $n > 0$   
**assumes**  $one: P\ 1$   
**assumes**  $double: \bigwedge n. n \geq 2 \implies P\ (n\ \text{div}\ 2) \implies P\ n$   
**shows**  $P\ n$   
 ⟨*proof*⟩

**lemma** *log-zero* [*simp*]:  $\log\ 0 = 0$   
 ⟨*proof*⟩

**lemma** *log-one* [*simp*]:  $\log\ 1 = 0$   
 ⟨*proof*⟩

**lemma** *log-Suc-zero* [*simp*]:  $\log\ (\text{Suc}\ 0) = 0$   
 ⟨*proof*⟩

**lemma** *log-rec*:  $n \geq 2 \implies \log\ n = \text{Suc}\ (\log\ (n\ \text{div}\ 2))$   
 ⟨*proof*⟩

**lemma** *log-twice* [*simp*]:  $n \neq 0 \implies \log\ (2 * n) = \text{Suc}\ (\log\ n)$   
 ⟨*proof*⟩

**lemma** *log-half* [*simp*]:  $\log\ (n\ \text{div}\ 2) = \log\ n - 1$   
 ⟨*proof*⟩

**lemma** *log-exp* [*simp*]:  $\log\ (2 \wedge n) = n$   
 ⟨*proof*⟩

**lemma** *log-mono*: *mono* *log*  
 ⟨*proof*⟩

**lemma** *log-exp2-le*:  
**assumes**  $n > 0$   
**shows**  $2 \wedge \log\ n \leq n$   
 ⟨*proof*⟩

**lemma** *log-exp2-gt*:  $2 * 2 \wedge \log\ n > n$   
 ⟨*proof*⟩

**lemma** *log-exp2-ge*:  $2 * 2 \wedge \log\ n \geq n$   
 ⟨*proof*⟩

**lemma** *log-le-iff*:  $m \leq n \implies \log\ m \leq \log\ n$   
 ⟨*proof*⟩

**lemma** *log-eqI*:  
**assumes**  $n > 0\ 2^k \leq n\ n < 2 * 2^k$   
**shows**  $\log\ n = k$   
 ⟨*proof*⟩

**lemma** *log-altdef*:  $\log n = (\text{if } n = 0 \text{ then } 0 \text{ else } \text{nat } \lfloor \text{Transcendental.log } 2 \text{ (real-of-nat } n) \rfloor)$   
 ⟨*proof*⟩

## 40.2 Discrete square root

**qualified definition** *sqrt* ::  $\text{nat} \Rightarrow \text{nat}$   
 where  $\text{sqrt } n = \text{Max } \{m. m^2 \leq n\}$

**lemma** *sqrt-aux*:  
 fixes  $n :: \text{nat}$   
 shows *finite*  $\{m. m^2 \leq n\}$  and  $\{m. m^2 \leq n\} \neq \{\}$   
 ⟨*proof*⟩

**lemma** *sqrt-unique*:  
 assumes  $m^2 \leq n < (\text{Suc } m)^2$   
 shows  $\text{Discrete.sqrt } n = m$   
 ⟨*proof*⟩

**lemma** *sqrt-code*[*code*]:  $\text{sqrt } n = \text{Max } (\text{Set.filter } (\lambda m. m^2 \leq n) \{0..n\})$   
 ⟨*proof*⟩

**lemma** *sqrt-inverse-power2* [*simp*]:  $\text{sqrt } (n^2) = n$   
 ⟨*proof*⟩

**lemma** *sqrt-zero* [*simp*]:  $\text{sqrt } 0 = 0$   
 ⟨*proof*⟩

**lemma** *sqrt-one* [*simp*]:  $\text{sqrt } 1 = 1$   
 ⟨*proof*⟩

**lemma** *mono-sqrt*: *mono sqrt*  
 ⟨*proof*⟩

**lemma** *mono-sqrt'*:  $m \leq n \implies \text{Discrete.sqrt } m \leq \text{Discrete.sqrt } n$   
 ⟨*proof*⟩

**lemma** *sqrt-greater-zero-iff* [*simp*]:  $\text{sqrt } n > 0 \iff n > 0$   
 ⟨*proof*⟩

**lemma** *sqrt-power2-le* [*simp*]:  $(\text{sqrt } n)^2 \leq n$   
 ⟨*proof*⟩

**lemma** *sqrt-le*:  $\text{sqrt } n \leq n$   
 ⟨*proof*⟩

Additional facts about the discrete square root, thanks to Julian Bien-darra, Manuel Eberl

**lemma** *Suc-sqrt-power2-gt*:  $n < (\text{Suc } (\text{Discrete.sqrt } n))^2$

*<proof>*

**lemma** *le-sqrt-iff*:  $x \leq \text{Discrete.sqrt } y \longleftrightarrow x^2 \leq y$   
*<proof>*

**lemma** *le-sqrtI*:  $x^2 \leq y \implies x \leq \text{Discrete.sqrt } y$   
*<proof>*

**lemma** *sqrt-le-iff*:  $\text{Discrete.sqrt } y \leq x \longleftrightarrow (\forall z. z^2 \leq y \longrightarrow z \leq x)$   
*<proof>*

**lemma** *sqrt-leI*:  
 $(\bigwedge z. z^2 \leq y \implies z \leq x) \implies \text{Discrete.sqrt } y \leq x$   
*<proof>*

**lemma** *sqrt-Suc*:  
 $\text{Discrete.sqrt } (\text{Suc } n) = (\text{if } \exists m. \text{Suc } n = m^2 \text{ then } \text{Suc } (\text{Discrete.sqrt } n) \text{ else } \text{Discrete.sqrt } n)$   
*<proof>*

**end**

**end**

## 41 Comparing growth of functions on natural numbers by a preorder relation

**theory** *Function-Growth*  
**imports** *Main Preorder Discrete*  
**begin**

**context** *linorder*  
**begin**

**lemma** *mono-invE*:  
**fixes**  $f :: 'a \Rightarrow 'b::\text{order}$   
**assumes** *mono f*  
**assumes**  $f x < f y$   
**obtains**  $x < y$   
*<proof>*

**end**

**lemma** (**in** *semidom-divide*) *power-diff*:  
**fixes**  $a :: 'a$   
**assumes**  $a \neq 0$

**assumes**  $m \geq n$   
**shows**  $a \wedge (m - n) = (a \wedge m) \text{ div } (a \wedge n)$   
 ⟨*proof*⟩

### 41.1 Motivation

When comparing growth of functions in computer science, it is common to adhere on Landau Symbols (“O-Notation”). However these come at the cost of notational oddities, particularly writing  $f = O(g)$  for  $f \in O(g)$  etc.

Here we suggest a different way, following Hardy (G. H. Hardy and J. E. Littlewood, Some problems of Diophantine approximation, Acta Mathematica 37 (1914), p. 225). We establish a quasi order relation  $\lesssim$  on functions such that  $f \lesssim g \iff f \in O(g)$ . From a didactic point of view, this does not only avoid the notational oddities mentioned above but also emphasizes the key insight of a growth hierarchy of functions:  $(\lambda n. 0) \lesssim (\lambda n. k) \lesssim \text{Discrete.log} \lesssim \text{Discrete.sqrt} \lesssim \text{id} \lesssim \dots$

### 41.2 Model

Our growth functions are of type  $\mathbb{N} \Rightarrow \mathbb{N}$ . This is different to the usual conventions for Landau symbols for which  $\mathbb{R} \Rightarrow \mathbb{R}$  would be appropriate, but we argue that  $\mathbb{R} \Rightarrow \mathbb{R}$  is more appropriate for analysis, whereas our setting is discrete.

Note that we also restrict the additional coefficients to  $\mathbb{N}$ , something we discuss at the particular definitions.

### 41.3 The $\lesssim$ relation

**definition** *less-eq-fun* ::  $(\text{nat} \Rightarrow \text{nat}) \Rightarrow (\text{nat} \Rightarrow \text{nat}) \Rightarrow \text{bool}$  (**infix**  $\lesssim$  50)

**where**

$$f \lesssim g \iff (\exists c > 0. \exists n. \forall m > n. f m \leq c * g m)$$

This yields  $f \lesssim g \iff f \in O(g)$ . Note that  $c$  is restricted to  $\mathbb{N}$ . This does not pose any problems since if  $f \in O(g)$  holds for a  $c \in \mathbb{R}$ , it also holds for  $\lceil c \rceil \in \mathbb{N}$  by transitivity.

**lemma** *less-eq-funI* [*intro?*]:

**assumes**  $\exists c > 0. \exists n. \forall m > n. f m \leq c * g m$

**shows**  $f \lesssim g$

⟨*proof*⟩

**lemma** *not-less-eq-funI*:

**assumes**  $\bigwedge c n. c > 0 \implies \exists m > n. c * g m < f m$

**shows**  $\neg f \lesssim g$

⟨*proof*⟩

**lemma** *less-eq-funE* [*elim?*]:



**assumes**  $f \lesssim g$   
**obtains**  $n\ c$  **where**  $c > 0$  **and**  $\bigwedge m. m > n \implies f\ m \leq c * g\ m$   
 ⟨proof⟩

**lemma** *not-less-eq-funE*:  
**assumes**  $\neg f \lesssim g$  **and**  $c > 0$   
**obtains**  $m$  **where**  $m > n$  **and**  $c * g\ m < f\ m$   
 ⟨proof⟩

#### 41.4 The $\cong$ relation, the equivalence relation induced by $\lesssim$

**definition** *equiv-fun* ::  $(nat \Rightarrow nat) \Rightarrow (nat \Rightarrow nat) \Rightarrow bool$  (**infix**  $\cong$  50)  
**where**

$f \cong g \iff$   
 $(\exists c_1 > 0. \exists c_2 > 0. \exists n. \forall m > n. f\ m \leq c_1 * g\ m \wedge g\ m \leq c_2 * f\ m)$

This yields  $f \cong g \iff f \in \Theta(g)$ . Concerning  $c_1$  and  $c_2$  restricted to  $nat$ , see note above on  $(\lesssim)$ .

**lemma** *equiv-funI*:  
**assumes**  $\exists c_1 > 0. \exists c_2 > 0. \exists n. \forall m > n. f\ m \leq c_1 * g\ m \wedge g\ m \leq c_2 * f\ m$   
**shows**  $f \cong g$   
 ⟨proof⟩

**lemma** *not-equiv-funI*:  
**assumes**  $\bigwedge c_1\ c_2\ n. c_1 > 0 \implies c_2 > 0 \implies$   
 $\exists m > n. c_1 * f\ m < g\ m \vee c_2 * g\ m < f\ m$   
**shows**  $\neg f \cong g$   
 ⟨proof⟩

**lemma** *equiv-funE*:  
**assumes**  $f \cong g$   
**obtains**  $n\ c_1\ c_2$  **where**  $c_1 > 0$  **and**  $c_2 > 0$   
**and**  $\bigwedge m. m > n \implies f\ m \leq c_1 * g\ m \wedge g\ m \leq c_2 * f\ m$   
 ⟨proof⟩

**lemma** *not-equiv-funE*:  
**fixes**  $n\ c_1\ c_2$   
**assumes**  $\neg f \cong g$  **and**  $c_1 > 0$  **and**  $c_2 > 0$   
**obtains**  $m$  **where**  $m > n$   
**and**  $c_1 * f\ m < g\ m \vee c_2 * g\ m < f\ m$   
 ⟨proof⟩

#### 41.5 The $\prec$ relation, the strict part of $\lesssim$

**definition** *less-fun* ::  $(nat \Rightarrow nat) \Rightarrow (nat \Rightarrow nat) \Rightarrow bool$  (**infix**  $\prec$  50)  
**where**

$f \prec g \iff f \lesssim g \wedge \neg g \lesssim f$

**lemma** *less-funI*:  
**assumes**  $\exists c > 0. \exists n. \forall m > n. f\ m \leq c * g\ m$

**and**  $\bigwedge c n. c > 0 \implies \exists m > n. c * f m < g m$   
**shows**  $f \prec g$   
 ⟨proof⟩

**lemma** *not-less-funI*:

**assumes**  $\bigwedge c n. c > 0 \implies \exists m > n. c * g m < f m$   
**and**  $\exists c > 0. \exists n. \forall m > n. g m \leq c * f m$   
**shows**  $\neg f \prec g$   
 ⟨proof⟩

**lemma** *less-funE* [*elim?*]:

**assumes**  $f \prec g$   
**obtains**  $n c$  **where**  $c > 0$  **and**  $\bigwedge m. m > n \implies f m \leq c * g m$   
**and**  $\bigwedge c n. c > 0 \implies \exists m > n. c * f m < g m$   
 ⟨proof⟩

**lemma** *not-less-funE*:

**assumes**  $\neg f \prec g$  **and**  $c > 0$   
**obtains**  $m$  **where**  $m > n$  **and**  $c * g m < f m$   
 |  $d q$  **where**  $\bigwedge m. d > 0 \implies m > q \implies g q \leq d * f q$   
 ⟨proof⟩

I did not find a proof for  $f \prec g \iff f \in o(g)$ . Maybe this only holds if  $f$  and/or  $g$  are of a certain class of functions. However  $f \in o(g) \implies f \prec g$  is provable, and this yields a handy introduction rule.

Note that D. Knuth ignores  $o$  altogether. So what ...

Something still has to be said about the coefficient  $c$  in the definition of  $(\prec)$ . In the typical definition of  $o$ , it occurs on the *right* hand side of the  $(>)$ . The reason is that the situation is dual to the definition of  $O$ : the definition works since  $c$  may become arbitrary small. Since this is not possible within  $\mathbb{N}$ , we push the coefficient to the left hand side instead such that it may become arbitrary big instead.

**lemma** *less-fun-strongI*:

**assumes**  $\bigwedge c. c > 0 \implies \exists n. \forall m > n. c * f m < g m$   
**shows**  $f \prec g$   
 ⟨proof⟩

## 41.6 $\lesssim$ is a preorder

This yields all lemmas relating  $\lesssim$ ,  $\prec$  and  $\cong$ .

**interpretation** *fun-order*: *preorder-equiv less-eq-fun less-fun*

**rewrites** *fun-order.equiv = equiv-fun*  
 ⟨proof⟩

**declare** *fun-order.antisym* [*intro?*]

### 41.7 Simple examples

Most of these are left as constructive exercises for the reader. Note that additional preconditions to the functions may be necessary. The list here is by no means to be intended as complete construction set for typical functions, here surely something has to be added yet.

$$(\lambda n. f n + k) \cong f$$

**lemma** *equiv-fun-mono-const*:

**assumes** *mono f* **and**  $\exists n. f n > 0$

**shows**  $(\lambda n. f n + k) \cong f$

*<proof>*

**lemma**

**assumes** *strict-mono f*

**shows**  $(\lambda n. f n + k) \cong f$

*<proof>*

**lemma**

$(\lambda n. Suc k * f n) \cong f$

*<proof>*

**lemma**

$f \lesssim (\lambda n. f n + g n)$

*<proof>*

**lemma**

$(\lambda-. 0) \prec (\lambda n. Suc k)$

*<proof>*

**lemma**

$(\lambda-. k) \prec Discrete.log$

*<proof>*

$$Discrete.log \prec Discrete.sqrt$$

**lemma**

$Discrete.sqrt \prec id$

*<proof>*

**lemma**

$id \prec (\lambda n. n^2)$

*<proof>*

**lemma**

$(\lambda n. n \wedge k) \prec (\lambda n. n \wedge Suc k)$

*<proof>*

$$(\lambda n. n^k) \prec op \wedge 2$$

**end**

## 42 Lexical order on functions

```
theory Fun-Lexorder
imports Main
begin
```

```
definition less-fun :: ('a::linorder  $\Rightarrow$  'b::linorder)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool
where
```

```
less-fun f g  $\longleftrightarrow$  ( $\exists k. f k < g k \wedge (\forall k' < k. f k' = g k')$ )
```

```
lemma less-funI:
```

```
assumes  $\exists k. f k < g k \wedge (\forall k' < k. f k' = g k')$ 
```

```
shows less-fun f g
```

```
 $\langle$ proof $\rangle$ 
```

```
lemma less-funE:
```

```
assumes less-fun f g
```

```
obtains k where  $f k < g k$  and  $\wedge k'. k' < k \implies f k' = g k'$ 
```

```
 $\langle$ proof $\rangle$ 
```

```
lemma less-fun-asym:
```

```
assumes less-fun f g
```

```
shows  $\neg$  less-fun g f
```

```
 $\langle$ proof $\rangle$ 
```

```
lemma less-fun-irrefl:
```

```
 $\neg$  less-fun f f
```

```
 $\langle$ proof $\rangle$ 
```

```
lemma less-fun-trans:
```

```
assumes less-fun f g and less-fun g h
```

```
shows less-fun f h
```

```
 $\langle$ proof $\rangle$ 
```

```
lemma order-less-fun:
```

```
class.order ( $\lambda f g. \text{less-fun } f g \vee f = g$ ) less-fun
```

```
 $\langle$ proof $\rangle$ 
```

```
lemma less-fun-trichotomy:
```

```
assumes finite  $\{k. f k \neq g k\}$ 
```

```
shows less-fun f g  $\vee f = g \vee$  less-fun g f
```

```
 $\langle$ proof $\rangle$ 
```

```
end
```

## 43 The ‘going\_to’ filter

```
theory Going-To-Filter
imports Complex-Main
```

**begin**

**definition** *going-to-within* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'b filter  $\Rightarrow$  'a set  $\Rightarrow$  'a filter  
 ((-)/ *going'-to* (-)/ *within* (-) [1000,60,60] 60) **where**  
*f going-to F within A* = *inf* (*filtercomap* f F) (*principal* A)

**abbreviation** *going-to* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'b filter  $\Rightarrow$  'a filter  
 (**infix** *going'-to* 60)  
**where** *f going-to F*  $\equiv$  *f going-to F within UNIV*

The ‘going-to’ filter is, in a sense, the opposite of *filtermap*. It corresponds to the intuition of, given a function  $f : A \rightarrow B$  and a filter  $F$  on the range of  $B$ , looking at such values of  $x$  that  $f(x)$  approaches  $F$ . This can be written as *f going-to F*.

A classic example is the *at-infinity* filter, which describes the neighbourhood of infinity (i. e. all values sufficiently far away from the zero). This can also be written as *norm going-to at-top*.

Additionally, the ‘going-to’ filter can be restricted with an optional ‘within’ parameter. For instance, if one would want to consider the filter of complex numbers near infinity that do not lie on the negative real line, one could write *cmod going-to at-top within - complex-of-real ‘{..0}*’.

A third, less mathematical example lies in the complexity analysis of algorithms. Suppose we wanted to say that an algorithm on lists takes  $O(n^2)$  time where  $n$  is the length of the input list. We can write this using the Landau symbols from the AFP, where the underlying filter is *length going-to sequentially*. If, on the other hand, we want to look the complexity of the algorithm on sorted lists, we could use the filter *length going-to sequentially within {xs. sorted xs}*.

**lemma** *going-to-def*: *f going-to F* = *filtercomap* f F  
 ⟨*proof*⟩

**lemma** *eventually-going-toI* [*intro*]:  
**assumes** *eventually P F*  
**shows** *eventually* ( $\lambda x. P (f x)$ ) (*f going-to F*)  
 ⟨*proof*⟩

**lemma** *filterlim-going-toI-weak* [*intro*]: *filterlim* f F (*f going-to F within A*)  
 ⟨*proof*⟩

**lemma** *going-to-mono*:  $F \leq G \implies A \subseteq B \implies f \text{ going-to } F \text{ within } A \leq f \text{ going-to } G \text{ within } B$   
 ⟨*proof*⟩

**lemma** *going-to-inf*:  
*f going-to* (*inf* F G) *within A* = *inf* (*f going-to F within A*) (*f going-to G within A*)  
 ⟨*proof*⟩

**lemma** *going-to-sup*:

*f going-to (sup F G) within A ≥ sup (f going-to F within A) (f going-to G within A)*  
 ⟨proof⟩

**lemma** *going-to-top [simp]*: *f going-to top within A = principal A*

⟨proof⟩

**lemma** *going-to-bot [simp]*: *f going-to bot within A = bot*

⟨proof⟩

**lemma** *going-to-principal*:

*f going-to principal A within B = principal (f -‘ A ∩ B)*  
 ⟨proof⟩

**lemma** *going-to-within-empty [simp]*: *f going-to F within {} = bot*

⟨proof⟩

**lemma** *going-to-within-union [simp]*:

*f going-to F within (A ∪ B) = sup (f going-to F within A) (f going-to F within B)*  
 ⟨proof⟩

**lemma** *eventually-going-to-at-top-linorder*:

**fixes** *f :: 'a ⇒ 'b :: linorder*

**shows** *eventually P (f going-to at-top within A) ⟷ (∃ C. ∀ x ∈ A. f x ≥ C ⟶ P x)*

⟨proof⟩

**lemma** *eventually-going-to-at-bot-linorder*:

**fixes** *f :: 'a ⇒ 'b :: linorder*

**shows** *eventually P (f going-to at-bot within A) ⟷ (∃ C. ∀ x ∈ A. f x ≤ C ⟶ P x)*

⟨proof⟩

**lemma** *eventually-going-to-at-top-dense*:

**fixes** *f :: 'a ⇒ 'b :: {linorder, no-top}*

**shows** *eventually P (f going-to at-top within A) ⟷ (∃ C. ∀ x ∈ A. f x > C ⟶ P x)*

⟨proof⟩

**lemma** *eventually-going-to-at-bot-dense*:

**fixes** *f :: 'a ⇒ 'b :: {linorder, no-bot}*

**shows** *eventually P (f going-to at-bot within A) ⟷ (∃ C. ∀ x ∈ A. f x < C ⟶ P x)*

⟨proof⟩

**lemma** *eventually-going-to-nhds*:

*eventually P (f going-to nhds a within A)  $\longleftrightarrow$*   
*( $\exists S. \text{open } S \wedge a \in S \wedge (\forall x \in A. f x \in S \longrightarrow P x)$ )*  
 *$\langle \text{proof} \rangle$*

**lemma** *eventually-going-to-at:*

*eventually P (f going-to (at a within B) within A)  $\longleftrightarrow$*   
*( $\exists S. \text{open } S \wedge a \in S \wedge (\forall x \in A. f x \in B \cap S - \{a\} \longrightarrow P x)$ )*  
 *$\langle \text{proof} \rangle$*

**lemma** *norm-going-to-at-top-eq: norm going-to at-top = at-infinity*

*$\langle \text{proof} \rangle$*

**lemmas** *at-infinity-altdef = norm-going-to-at-top-eq [symmetric]*

**end**

## 44 Big sum and product over function bodies

**theory** *Groups-Big-Fun*

**imports**

*Main*

**begin**

### 44.1 Abstract product

**locale** *comm-monoid-fun = comm-monoid*

**begin**

**definition** *G :: ('b  $\Rightarrow$  'a)  $\Rightarrow$  'a*

**where**

*expand-set: G g = comm-monoid-set.F f 1 g {a. g a  $\neq$  1}*

**interpretation** *F: comm-monoid-set f 1*

*$\langle \text{proof} \rangle$*

**lemma** *expand-superset:*

**assumes** *finite A and {a. g a  $\neq$  1}  $\subseteq$  A*

**shows** *G g = F.F g A*

*$\langle \text{proof} \rangle$*

**lemma** *conditionalize:*

**assumes** *finite A*

**shows** *F.F g A = G ( $\lambda a. \text{if } a \in A \text{ then } g a \text{ else } 1$ )*

*$\langle \text{proof} \rangle$*

**lemma** *neutral [simp]:*

*G ( $\lambda a. 1$ ) = 1*

*$\langle \text{proof} \rangle$*

**lemma** *update* [*simp*]:  
 assumes *finite*  $\{a. g a \neq \mathbf{1}\}$   
 assumes  $g a = \mathbf{1}$   
 shows  $G (g(a := b)) = b * G g$   
 ⟨*proof*⟩

**lemma** *infinite* [*simp*]:  
 $\neg \text{finite } \{a. g a \neq \mathbf{1}\} \implies G g = \mathbf{1}$   
 ⟨*proof*⟩

**lemma** *cong*:  
 assumes  $\bigwedge a. g a = h a$   
 shows  $G g = G h$   
 ⟨*proof*⟩

**lemma** *strong-cong* [*cong*]:  
 assumes  $\bigwedge a. g a = h a$   
 shows  $G (\lambda a. g a) = G (\lambda a. h a)$   
 ⟨*proof*⟩

**lemma** *not-neutral-obtains-not-neutral*:  
 assumes  $G g \neq \mathbf{1}$   
 obtains *a* where  $g a \neq \mathbf{1}$   
 ⟨*proof*⟩

**lemma** *reindex-cong*:  
 assumes *bij* *l*  
 assumes  $g \circ l = h$   
 shows  $G g = G h$   
 ⟨*proof*⟩

**lemma** *distrib*:  
 assumes *finite*  $\{a. g a \neq \mathbf{1}\}$  and *finite*  $\{a. h a \neq \mathbf{1}\}$   
 shows  $G (\lambda a. g a * h a) = G g * G h$   
 ⟨*proof*⟩

**lemma** *commute*:  
 assumes *finite* *C*  
 assumes *subset*:  $\{a. \exists b. g a b \neq \mathbf{1}\} \times \{b. \exists a. g a b \neq \mathbf{1}\} \subseteq C$  (*is*  $?A \times ?B \subseteq C$ )  
 shows  $G (\lambda a. G (g a)) = G (\lambda b. G (\lambda a. g a b))$   
 ⟨*proof*⟩

**lemma** *cartesian-product*:  
 assumes *finite* *C*  
 assumes *subset*:  $\{a. \exists b. g a b \neq \mathbf{1}\} \times \{b. \exists a. g a b \neq \mathbf{1}\} \subseteq C$  (*is*  $?A \times ?B \subseteq C$ )  
 shows  $G (\lambda a. G (g a)) = G (\lambda(a, b). g a b)$   
 ⟨*proof*⟩



**lemma** *cartesian-product2*:

**assumes** *fin*: *finite D*

**assumes** *subset*:  $\{(a, b). \exists c. g\ a\ b\ c \neq \mathbf{1}\} \times \{c. \exists a\ b. g\ a\ b\ c \neq \mathbf{1}\} \subseteq D$  (is  $?AB \times ?C \subseteq D$ )

**shows**  $G(\lambda(a, b). G(g\ a\ b)) = G(\lambda(a, b, c). g\ a\ b\ c)$

*<proof>*

**lemma** *delta [simp]*:

$G(\lambda b. \text{if } b = a \text{ then } g\ b \text{ else } \mathbf{1}) = g\ a$

*<proof>*

**lemma** *delta' [simp]*:

$G(\lambda b. \text{if } a = b \text{ then } g\ b \text{ else } \mathbf{1}) = g\ a$

*<proof>*

**end**

## 44.2 Concrete sum

**context** *comm-monoid-add*

**begin**

**sublocale** *Sum-any*: *comm-monoid-fun plus 0*

**defines** *Sum-any* = *Sum-any.G*

**rewrites** *comm-monoid-set.F plus 0 = sum*

*<proof>*

**end**

**syntax** (*ASCII*)

*-Sum-any* :: *pttrn*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a::comm-monoid-add* ((*3SUM* -. -) [0, 10] 10)

**syntax**

*-Sum-any* :: *pttrn*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a::comm-monoid-add* ((*3Σ* -. -) [0, 10] 10)

**translations**

$\sum a. b \Rightarrow \text{CONST } \textit{Sum-any} (\lambda a. b)$

**lemma** *Sum-any-left-distrib*:

**fixes** *r* :: *'a* :: *semiring-0*

**assumes** *finite*  $\{a. g\ a \neq 0\}$

**shows** *Sum-any*  $g * r = (\sum n. g\ n * r)$

*<proof>*

**lemma** *Sum-any-right-distrib*:

**fixes** *r* :: *'a* :: *semiring-0*

**assumes** *finite*  $\{a. g\ a \neq 0\}$

**shows**  $r * \textit{Sum-any} g = (\sum n. r * g\ n)$

*<proof>*

**lemma** *Sum-any-product*:

**fixes**  $f\ g :: 'b \Rightarrow 'a :: \text{semiring-0}$   
**assumes**  $\text{finite } \{a. f\ a \neq 0\}$  **and**  $\text{finite } \{b. g\ b \neq 0\}$   
**shows**  $\text{Sum-any } f * \text{Sum-any } g = (\sum a. \sum b. f\ a * g\ b)$   
 $\langle \text{proof} \rangle$

**lemma** *Sum-any-eq-zero-iff* [*simp*]:

**fixes**  $f :: 'a \Rightarrow \text{nat}$   
**assumes**  $\text{finite } \{a. f\ a \neq 0\}$   
**shows**  $\text{Sum-any } f = 0 \longleftrightarrow f = (\lambda -. 0)$   
 $\langle \text{proof} \rangle$

### 44.3 Concrete product

**context** *comm-monoid-mult*

**begin**

**sublocale** *Prod-any: comm-monoid-fun times 1*

**defines**  $\text{Prod-any} = \text{Prod-any}.G$

**rewrites** *comm-monoid-set.F times 1 = prod*

$\langle \text{proof} \rangle$

**end**

**syntax** (*ASCII*)

$-\text{Prod-any} :: \text{pttrn} \Rightarrow 'a \Rightarrow 'a :: \text{comm-monoid-mult} \ ((\exists \text{PROD } -. ) [0, 10] 10)$

**syntax**

$-\text{Prod-any} :: \text{pttrn} \Rightarrow 'a \Rightarrow 'a :: \text{comm-monoid-mult} \ ((\exists \prod -. ) [0, 10] 10)$

**translations**

$\prod a. b == \text{CONST } \text{Prod-any} (\lambda a. b)$

**lemma** *Prod-any-zero*:

**fixes**  $f :: 'b \Rightarrow 'a :: \text{comm-semiring-1}$   
**assumes**  $\text{finite } \{a. f\ a \neq 1\}$   
**assumes**  $f\ a = 0$   
**shows**  $(\prod a. f\ a) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *Prod-any-not-zero*:

**fixes**  $f :: 'b \Rightarrow 'a :: \text{comm-semiring-1}$   
**assumes**  $\text{finite } \{a. f\ a \neq 1\}$   
**assumes**  $(\prod a. f\ a) \neq 0$   
**shows**  $f\ a \neq 0$   
 $\langle \text{proof} \rangle$

**lemma** *power-Sum-any*:

**assumes**  $\text{finite } \{a. f\ a \neq 0\}$   
**shows**  $c \wedge (\sum a. f\ a) = (\prod a. c \wedge f\ a)$   
 $\langle \text{proof} \rangle$

end

## 45 Immutable Arrays with Code Generation

**theory** *IArray*  
**imports** *Main*  
**begin**

Immutable arrays are lists wrapped up in an additional constructor. There are no update operations. Hence code generation can safely implement this type by efficient target language arrays. Currently only SML is provided. Should be extended to other target languages and more operations.

Note that arrays cannot be printed directly but only by turning them into lists first. Arrays could be converted back into lists for printing if they were wrapped up in an additional constructor.

**context**  
**begin**

**datatype** *'a iarray* = *IArray 'a list*

**qualified primrec** *list-of* :: *'a iarray*  $\Rightarrow$  *'a list* **where**  
*list-of* (*IArray xs*) = *xs*

**qualified definition** *of-fun* :: (*nat*  $\Rightarrow$  *'a*)  $\Rightarrow$  *nat*  $\Rightarrow$  *'a iarray* **where**  
 $[simp]:$  *of-fun* *f n* = *IArray (map f [0..*n*])*

**qualified definition** *sub* :: *'a iarray*  $\Rightarrow$  *nat*  $\Rightarrow$  *'a* (**infixl** !! 100) **where**  
 $[simp]:$  *as* !! *n* = *IArray.list-of as* ! *n*

**qualified definition** *length* :: *'a iarray*  $\Rightarrow$  *nat* **where**  
 $[simp]:$  *length as* = *List.length (IArray.list-of as)*

**qualified fun** *all* :: (*'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'a iarray*  $\Rightarrow$  *bool* **where**  
*all p (IArray as)* = (*ALL a : set as. p a*)

**qualified fun** *exists* :: (*'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'a iarray*  $\Rightarrow$  *bool* **where**  
*exists p (IArray as)* = (*EX a : set as. p a*)

**lemma** *list-of-code* [*code*]:  
*IArray.list-of as* = *map* ( $\lambda n. as$  !! *n*) [*0* ..< *IArray.length as*]  
 $\langle$ *proof* $\rangle$

end

## 45.1 Code Generation

code-reserved *SML Vector*

code-printing

```

type-constructor iarray  $\rightarrow$  (SML) - Vector.vector
| constant IArray  $\rightarrow$  (SML) Vector.fromList
| constant IArray.all  $\rightarrow$  (SML) Vector.all
| constant IArray.exists  $\rightarrow$  (SML) Vector.exists

```

```

lemma [code]:
  size (as :: 'a iarray) = Suc (length (IArray.list-of as))
  <proof>

```

```

lemma [code]:
  size-iarray f as = Suc (size-list f (IArray.list-of as))
  <proof>

```

```

lemma [code]:
  rec-iarray f as = f (IArray.list-of as)
  <proof>

```

```

lemma [code]:
  case-iarray f as = f (IArray.list-of as)
  <proof>

```

```

lemma [code]:
  set-iarray as = set (IArray.list-of as)
  <proof>

```

```

lemma [code]:
  map-iarray f as = IArray (map f (IArray.list-of as))
  <proof>

```

```

lemma [code]:
  rel-iarray r as bs = list-all2 r (IArray.list-of as) (IArray.list-of bs)
  <proof>

```

```

lemma [code]:
  HOL.equal as bs  $\longleftrightarrow$  HOL.equal (IArray.list-of as) (IArray.list-of bs)
  <proof>

```

context

begin

```

qualified primrec tabulate :: integer  $\times$  (integer  $\Rightarrow$  'a)  $\Rightarrow$  'a iarray where
  tabulate (n, f) = IArray (map (f  $\circ$  integer-of-nat) [0..nat-of-integer n])

```

end

```

lemma [code]:
  IArray.of-fun f n = IArray.tabulate (integer-of-nat n, f ∘ nat-of-integer)
  ⟨proof⟩

code-printing
  constant IArray.tabulate → (SML) Vector.tabulate

context
begin

qualified primrec sub' :: 'a iarray × integer ⇒ 'a where
  [code del]: sub' (as, n) = IArray.list-of as ! nat-of-integer n

end

lemma [code]:
  IArray.sub' (IArray as, n) = as ! nat-of-integer n
  ⟨proof⟩

lemma [code]:
  as !! n = IArray.sub' (as, integer-of-nat n)
  ⟨proof⟩

code-printing
  constant IArray.sub' → (SML) Vector.sub

context
begin

qualified definition length' :: 'a iarray ⇒ integer where
  [code del, simp]: length' as = integer-of-nat (List.length (IArray.list-of as))

end

lemma [code]:
  IArray.length' (IArray as) = integer-of-nat (List.length as)
  ⟨proof⟩

lemma [code]:
  IArray.length as = nat-of-integer (IArray.length' as)
  ⟨proof⟩

context term-syntax
begin

lemma [code]:
  Code-Evaluation.term-of (as :: 'a::typerep iarray) =
    Code-Evaluation.Const (STR "IArray.iarray.IArray") (TYPEREP('a list ⇒
    'a iarray)) <·> (Code-Evaluation.term-of (IArray.list-of as))

```

*<proof>*

**end**

**code-printing**

**constant** *IArray.length' → (SML) Vector.length*

**end**

**theory** *Lattice-Constructions*

**imports** *Main*

**begin**

## 45.2 Values extended by a bottom element

**datatype** *'a bot = Value 'a | Bot*

**instantiation** *bot :: (preorder) preorder*

**begin**

**definition** *less-eq-bot where*

$x \leq y \longleftrightarrow (\text{case } x \text{ of Bot} \Rightarrow \text{True} \mid \text{Value } x \Rightarrow (\text{case } y \text{ of Bot} \Rightarrow \text{False} \mid \text{Value } y \Rightarrow x \leq y))$

**definition** *less-bot where*

$x < y \longleftrightarrow (\text{case } y \text{ of Bot} \Rightarrow \text{False} \mid \text{Value } y \Rightarrow (\text{case } x \text{ of Bot} \Rightarrow \text{True} \mid \text{Value } x \Rightarrow x < y))$

**lemma** *less-eq-bot-Bot [simp]: Bot ≤ x*

*<proof>*

**lemma** *less-eq-bot-Bot-code [code]: Bot ≤ x ↔ True*

*<proof>*

**lemma** *less-eq-bot-Bot-is-Bot: x ≤ Bot ⇒ x = Bot*

*<proof>*

**lemma** *less-eq-bot-Value-Bot [simp, code]: Value x ≤ Bot ↔ False*

*<proof>*

**lemma** *less-eq-bot-Value [simp, code]: Value x ≤ Value y ↔ x ≤ y*

*<proof>*

**lemma** *less-bot-Bot [simp, code]: x < Bot ↔ False*

*<proof>*

**lemma** *less-bot-Bot-is-Value: Bot < x ⇒ ∃z. x = Value z*

*<proof>*

```

lemma less-bot-Bot-Value [simp]: Bot < Value x
  ⟨proof⟩

lemma less-bot-Bot-Value-code [code]: Bot < Value x  $\longleftrightarrow$  True
  ⟨proof⟩

lemma less-bot-Value [simp, code]: Value x < Value y  $\longleftrightarrow$  x < y
  ⟨proof⟩

instance
  ⟨proof⟩

end

instance bot :: (order) order
  ⟨proof⟩

instance bot :: (linorder) linorder
  ⟨proof⟩

instantiation bot :: (order) bot
begin
  definition bot = Bot
  instance ⟨proof⟩
end

instantiation bot :: (top) top
begin
  definition top = Value top
  instance ⟨proof⟩
end

instantiation bot :: (semilattice-inf) semilattice-inf
begin

definition inf-bot
where
  inf x y =
    (case x of
      Bot  $\Rightarrow$  Bot
    | Value v  $\Rightarrow$ 
      (case y of
        Bot  $\Rightarrow$  Bot
      | Value v'  $\Rightarrow$  Value (inf v v')))

instance
  ⟨proof⟩

```

**end**

**instantiation** *bot* :: (*semilattice-sup*) *semilattice-sup*  
**begin**

**definition** *sup-bot*

**where**

$$\begin{aligned} \text{sup } x \ y = & \\ & (\text{case } x \ \text{of} \\ & \quad \text{Bot} \Rightarrow y \\ & | \ \text{Value } v \Rightarrow \\ & \quad (\text{case } y \ \text{of} \\ & \quad \quad \text{Bot} \Rightarrow x \\ & \quad | \ \text{Value } v' \Rightarrow \text{Value } (\text{sup } v \ v')) \end{aligned}$$

**instance**

*<proof>*

**end**

**instance** *bot* :: (*lattice*) *bounded-lattice-bot*  
*<proof>*

### 45.3 Values extended by a top element

**datatype** *'a top* = *Value 'a* | *Top*

**instantiation** *top* :: (*preorder*) *preorder*  
**begin**

**definition** *less-eq-top* **where**

$$x \leq y \iff (\text{case } y \ \text{of } \text{Top} \Rightarrow \text{True} \mid \text{Value } y \Rightarrow (\text{case } x \ \text{of } \text{Top} \Rightarrow \text{False} \mid \text{Value } x \Rightarrow x \leq y))$$

**definition** *less-top* **where**

$$x < y \iff (\text{case } x \ \text{of } \text{Top} \Rightarrow \text{False} \mid \text{Value } x \Rightarrow (\text{case } y \ \text{of } \text{Top} \Rightarrow \text{True} \mid \text{Value } y \Rightarrow x < y))$$

**lemma** *less-eq-top-Top* [*simp*]:  $x \leq \text{Top}$   
*<proof>*

**lemma** *less-eq-top-Top-code* [*code*]:  $x \leq \text{Top} \iff \text{True}$   
*<proof>*

**lemma** *less-eq-top-is-Top*:  $\text{Top} \leq x \implies x = \text{Top}$   
*<proof>*

**lemma** *less-eq-top-Top-Value* [*simp*, *code*]:  $\text{Top} \leq \text{Value } x \iff \text{False}$   
*<proof>*



**lemma** *less-eq-top-Value-Value* [*simp, code*]:  $\text{Value } x \leq \text{Value } y \longleftrightarrow x \leq y$   
 ⟨*proof*⟩

**lemma** *less-top-Top* [*simp, code*]:  $\text{Top} < x \longleftrightarrow \text{False}$   
 ⟨*proof*⟩

**lemma** *less-top-Top-is-Value*:  $x < \text{Top} \implies \exists z. x = \text{Value } z$   
 ⟨*proof*⟩

**lemma** *less-top-Value-Top* [*simp*]:  $\text{Value } x < \text{Top}$   
 ⟨*proof*⟩

**lemma** *less-top-Value-Top-code* [*code*]:  $\text{Value } x < \text{Top} \longleftrightarrow \text{True}$   
 ⟨*proof*⟩

**lemma** *less-top-Value* [*simp, code*]:  $\text{Value } x < \text{Value } y \longleftrightarrow x < y$   
 ⟨*proof*⟩

**instance**  
 ⟨*proof*⟩

**end**

**instance** *top* :: (*order*) *order*  
 ⟨*proof*⟩

**instance** *top* :: (*linorder*) *linorder*  
 ⟨*proof*⟩

**instantiation** *top* :: (*order*) *top*  
**begin**  
**definition** *top* = *Top*  
**instance** ⟨*proof*⟩  
**end**

**instantiation** *top* :: (*bot*) *bot*  
**begin**  
**definition** *bot* = *Value bot*  
**instance** ⟨*proof*⟩  
**end**

**instantiation** *top* :: (*semilattice-inf*) *semilattice-inf*  
**begin**

**definition** *inf-top*  
**where**  
*inf* *x y* =  
 (*case x of*

```

    Top ⇒ y
  | Value v ⇒
    (case y of
      Top ⇒ x
    | Value v' ⇒ Value (inf v v'))

```

**instance***⟨proof⟩***end****instantiation** *top* :: (*semilattice-sup*) *semilattice-sup***begin****definition** *sup-top***where**

```

    sup x y =
    (case x of
      Top ⇒ Top
    | Value v ⇒
      (case y of
        Top ⇒ Top
      | Value v' ⇒ Value (sup v v'))

```

**instance***⟨proof⟩***end****instance** *top* :: (*lattice*) *bounded-lattice-top**⟨proof⟩*

#### 45.4 Values extended by a top and a bottom element

**datatype** *'a flat-complete-lattice* = Value *'a* | Bot | Top**instantiation** *flat-complete-lattice* :: (*type*) *order***begin****definition** *less-eq-flat-complete-lattice***where**

```

    x ≤ y ≡
    (case x of
      Bot ⇒ True
    | Value v1 ⇒
      (case y of
        Bot ⇒ False
      | Value v2 ⇒ v1 = v2
      | Top ⇒ True)

```

|  $Top \Rightarrow y = Top$ )

**definition** *less-flat-complete-lattice*

**where**

$x < y =$   
 (case  $x$  of  
    $Bot \Rightarrow y \neq Bot$   
   |  $Value\ v1 \Rightarrow y = Top$   
   |  $Top \Rightarrow False$ )

**lemma** [*simp*]:  $Bot \leq y$   
 ⟨*proof*⟩

**lemma** [*simp*]:  $y \leq Top$   
 ⟨*proof*⟩

**lemma** *greater-than-two-values*:

**assumes**  $a \neq b$   $Value\ a \leq z$   $Value\ b \leq z$   
**shows**  $z = Top$   
 ⟨*proof*⟩

**lemma** *lesser-than-two-values*:

**assumes**  $a \neq b$   $z \leq Value\ a$   $z \leq Value\ b$   
**shows**  $z = Bot$   
 ⟨*proof*⟩

**instance**  
 ⟨*proof*⟩

**end**

**instantiation** *flat-complete-lattice* :: (type) *bot*

**begin**

**definition**  $bot = Bot$

**instance** ⟨*proof*⟩

**end**

**instantiation** *flat-complete-lattice* :: (type) *top*

**begin**

**definition**  $top = Top$

**instance** ⟨*proof*⟩

**end**

**instantiation** *flat-complete-lattice* :: (type) *lattice*

**begin**

**definition** *inf-flat-complete-lattice*

**where**

$inf\ x\ y =$

```

(case x of
  Bot ⇒ Bot
| Value v1 ⇒
  (case y of
    Bot ⇒ Bot
  | Value v2 ⇒ if v1 = v2 then x else Bot
  | Top ⇒ x)
| Top ⇒ y)

```

**definition** *sup-flat-complete-lattice*

**where**

```

sup x y =
  (case x of
    Bot ⇒ y
  | Value v1 ⇒
    (case y of
      Bot ⇒ x
    | Value v2 ⇒ if v1 = v2 then x else Top
    | Top ⇒ Top)
  | Top ⇒ Top)

```

**instance**

*<proof>*

**end**

**instantiation** *flat-complete-lattice* :: (type) complete-lattice

**begin**

**definition** *Sup-flat-complete-lattice*

**where**

```

Sup A =
  (if A = {} ∨ A = {Bot} then Bot
   else if ∃ v. A - {Bot} = {Value v} then Value (THE v. A - {Bot} = {Value
v})
   else Top)

```

**definition** *Inf-flat-complete-lattice*

**where**

```

Inf A =
  (if A = {} ∨ A = {Top} then Top
   else if ∃ v. A - {Top} = {Value v} then Value (THE v. A - {Top} = {Value
v})
   else Bot)

```

**instance**

*<proof>*

**end**

end

## 46 Infinite Streams

**theory** *Stream*  
**imports** *Nat-Bijection*  
**begin**

**codatatype** (*sset*: 'a) *stream* =  
*SCons* (*shd*: 'a) (*stl*: 'a *stream*) (**infixr** ## 65)  
**for**  
*map*: *smap*  
*rel*: *stream-all2*

**context**  
**begin**

**qualified definition** *smember* :: 'a ⇒ 'a *stream* ⇒ bool **where**  
[*code-abbrev*]: *smember* *x s* ↔ *x* ∈ *sset s*

**lemma** *smember-code*[*code*, *simp*]: *smember* *x (y ## s)* = (if *x* = *y* then True  
else *smember* *x s*)  
⟨*proof*⟩

end

**lemmas** *smap-simps*[*simp*] = *stream.map-sel*  
**lemmas** *shd-sset* = *stream.set-sel*(1)  
**lemmas** *stl-sset* = *stream.set-sel*(2)

**theorem** *sset-induct*[*consumes* 1, *case-names* *shd stl*, *induct* *set*: *sset*]:  
**assumes** *y* ∈ *sset s* **and**  $\bigwedge s. P$  (*shd* *s*) *s* **and**  $\bigwedge s y. \llbracket y \in sset$  (*stl* *s*); *P* *y* (*stl*  
*s*)  $\rrbracket \implies P$  *y s*  
**shows** *P* *y s*  
⟨*proof*⟩

**lemma** *smap-ctr*: *smap* *f s* = *x ## s'* ↔ *f* (*shd* *s*) = *x* ∧ *smap* *f* (*stl* *s*) = *s'*  
⟨*proof*⟩

### 46.1 prepend list to stream

**primrec** *shift* :: 'a *list* ⇒ 'a *stream* ⇒ 'a *stream* (**infixr** @- 65) **where**  
*shift* [] *s* = *s*  
| *shift* (*x # xs*) *s* = *x ## shift* *xs s*

**lemma** *smap-shift*[*simp*]: *smap* *f* (*xs* @- *s*) = *map* *f* *xs* @- *smap* *f* *s*  
⟨*proof*⟩

**lemma** *shift-append*[simp]:  $(xs @ ys) @- s = xs @- ys @- s$   
 ⟨proof⟩

**lemma** *shift-simps*[simp]:  
 $shd (xs @- s) = (if xs = [] then shd s else hd xs)$   
 $stl (xs @- s) = (if xs = [] then stl s else tl xs @- s)$   
 ⟨proof⟩

**lemma** *sset-shift*[simp]:  $sset (xs @- s) = set xs \cup sset s$   
 ⟨proof⟩

**lemma** *shift-left-inj*[simp]:  $xs @- s1 = xs @- s2 \longleftrightarrow s1 = s2$   
 ⟨proof⟩

## 46.2 set of streams with elements in some fixed set

**context**

**notes** [[*inductive-internals*]]

**begin**

**coinductive-set**

*streams* :: 'a set  $\Rightarrow$  'a stream set

**for** *A* :: 'a set

**where**

*Stream*[*intro!*, *simp*, *no-atp*]:  $\llbracket a \in A; s \in streams A \rrbracket \Longrightarrow a \## s \in streams A$

**end**

**lemma** *in-streams*:  $stl s \in streams S \Longrightarrow shd s \in S \Longrightarrow s \in streams S$   
 ⟨proof⟩

**lemma** *streamsE*:  $s \in streams A \Longrightarrow (shd s \in A \Longrightarrow stl s \in streams A \Longrightarrow P) \Longrightarrow P$   
 ⟨proof⟩

**lemma** *Stream-image*:  $x \## y \in (op \## x') ' Y \longleftrightarrow x = x' \wedge y \in Y$   
 ⟨proof⟩

**lemma** *shift-streams*:  $\llbracket w \in lists A; s \in streams A \rrbracket \Longrightarrow w @- s \in streams A$   
 ⟨proof⟩

**lemma** *streams-Stream*:  $x \## s \in streams A \longleftrightarrow x \in A \wedge s \in streams A$   
 ⟨proof⟩

**lemma** *streams-stl*:  $s \in streams A \Longrightarrow stl s \in streams A$   
 ⟨proof⟩

**lemma** *streams-shd*:  $s \in streams A \Longrightarrow shd s \in A$

$\langle proof \rangle$

**lemma** *sset-streams*:

**assumes**  $sset\ s \subseteq A$

**shows**  $s \in streams\ A$

$\langle proof \rangle$

**lemma** *streams-sset*:

**assumes**  $s \in streams\ A$

**shows**  $sset\ s \subseteq A$

$\langle proof \rangle$

**lemma** *streams-iff-sset*:  $s \in streams\ A \longleftrightarrow sset\ s \subseteq A$

$\langle proof \rangle$

**lemma** *streams-mono*:  $s \in streams\ A \implies A \subseteq B \implies s \in streams\ B$

$\langle proof \rangle$

**lemma** *streams-mono2*:  $S \subseteq T \implies streams\ S \subseteq streams\ T$

$\langle proof \rangle$

**lemma** *smap-streams*:  $s \in streams\ A \implies (\bigwedge x. x \in A \implies f\ x \in B) \implies smap\ f\ s \in streams\ B$

$\langle proof \rangle$

**lemma** *streams-empty*:  $streams\ \{\} = \{\}$

$\langle proof \rangle$

**lemma** *streams-UNIV[simp]*:  $streams\ UNIV = UNIV$

$\langle proof \rangle$

### 46.3 nth, take, drop for streams

**primrec** *snth* :: 'a stream  $\Rightarrow$  nat  $\Rightarrow$  'a (infixl !! 100) **where**

$s\ !!\ 0 = shd\ s$

|  $s\ !!\ Suc\ n = stl\ s\ !!\ n$

**lemma** *snth-Stream*:  $(x\ \#\#\ s)\ !!\ Suc\ i = s\ !!\ i$

$\langle proof \rangle$

**lemma** *snth-smap[simp]*:  $smap\ f\ s\ !!\ n = f\ (s\ !!\ n)$

$\langle proof \rangle$

**lemma** *shift-snth-less[simp]*:  $p < length\ xs \implies (xs\ @-\ s)\ !!\ p = xs\ !\ p$

$\langle proof \rangle$

**lemma** *shift-snth-ge[simp]*:  $p \geq length\ xs \implies (xs\ @-\ s)\ !!\ p = s\ !!\ (p - length\ xs)$

$\langle proof \rangle$

**lemma** *shift-snth*:  $(xs \text{ @- } s) !! n = (\text{if } n < \text{length } xs \text{ then } xs ! n \text{ else } s !! (n - \text{length } xs))$   
 ⟨proof⟩

**lemma** *snth-sset[simp]*:  $s !! n \in \text{sset } s$   
 ⟨proof⟩

**lemma** *sset-range*:  $\text{sset } s = \text{range } (\text{snth } s)$   
 ⟨proof⟩

**lemma** *streams-iff-snth*:  $s \in \text{streams } X \iff (\forall n. s !! n \in X)$   
 ⟨proof⟩

**lemma** *snth-in*:  $s \in \text{streams } X \implies s !! n \in X$   
 ⟨proof⟩

**primrec** *stake* ::  $\text{nat} \Rightarrow 'a \text{ stream} \Rightarrow 'a \text{ list}$  **where**  
 $\text{stake } 0 \ s = []$   
 $|\ \text{stake } (\text{Suc } n) \ s = \text{shd } s \ \# \ \text{stake } n \ (\text{stl } s)$

**lemma** *length-stake[simp]*:  $\text{length } (\text{stake } n \ s) = n$   
 ⟨proof⟩

**lemma** *stake-smap[simp]*:  $\text{stake } n \ (\text{smap } f \ s) = \text{map } f \ (\text{stake } n \ s)$   
 ⟨proof⟩

**lemma** *take-stake*:  $\text{take } n \ (\text{stake } m \ s) = \text{stake } (\text{min } n \ m) \ s$   
 ⟨proof⟩

**primrec** *sdrop* ::  $\text{nat} \Rightarrow 'a \text{ stream} \Rightarrow 'a \text{ stream}$  **where**  
 $\text{sdrop } 0 \ s = s$   
 $|\ \text{sdrop } (\text{Suc } n) \ s = \text{sdrop } n \ (\text{stl } s)$

**lemma** *sdrop-simps[simp]*:  
 $\text{shd } (\text{sdrop } n \ s) = s !! n \ \text{stl } (\text{sdrop } n \ s) = \text{sdrop } (\text{Suc } n) \ s$   
 ⟨proof⟩

**lemma** *sdrop-smap[simp]*:  $\text{sdrop } n \ (\text{smap } f \ s) = \text{smap } f \ (\text{sdrop } n \ s)$   
 ⟨proof⟩

**lemma** *sdrop-stl*:  $\text{sdrop } n \ (\text{stl } s) = \text{stl } (\text{sdrop } n \ s)$   
 ⟨proof⟩

**lemma** *drop-stake*:  $\text{drop } n \ (\text{stake } m \ s) = \text{stake } (m - n) \ (\text{sdrop } n \ s)$   
 ⟨proof⟩

**lemma** *stake-sdrop*:  $\text{stake } n \ s \ \text{@-} \ \text{sdrop } n \ s = s$   
 ⟨proof⟩



**lemma** *id-stake-snth-sdrop*:

$s = \text{stake } i \ s \ @- \ s \ !! \ i \ \#\# \ \text{sdrop } (\text{Suc } i) \ s$   
 ⟨proof⟩

**lemma** *smap-alt*:  $\text{smap } f \ s = s' \longleftrightarrow (\forall n. f \ (s \ !! \ n) = s' \ !! \ n) \ (\text{is } ?L = ?R)$   
 ⟨proof⟩

**lemma** *stake-invert-Nil[iff]*:  $\text{stake } n \ s = [] \longleftrightarrow n = 0$   
 ⟨proof⟩

**lemma** *sdrop-shift*:  $\text{sdrop } i \ (w \ @- \ s) = \text{drop } i \ w \ @- \ \text{sdrop } (i - \text{length } w) \ s$   
 ⟨proof⟩

**lemma** *stake-shift*:  $\text{stake } i \ (w \ @- \ s) = \text{take } i \ w \ @ \ \text{stake } (i - \text{length } w) \ s$   
 ⟨proof⟩

**lemma** *stake-add[simp]*:  $\text{stake } m \ s \ @ \ \text{stake } n \ (\text{sdrop } m \ s) = \text{stake } (m + n) \ s$   
 ⟨proof⟩

**lemma** *sdrop-add[simp]*:  $\text{sdrop } n \ (\text{sdrop } m \ s) = \text{sdrop } (m + n) \ s$   
 ⟨proof⟩

**lemma** *sdrop-snth*:  $\text{sdrop } n \ s \ !! \ m = s \ !! \ (n + m)$   
 ⟨proof⟩

**partial-function** (*tailrec*) *sdrop-while* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a \ \text{stream} \Rightarrow 'a \ \text{stream}$   
**where**

$\text{sdrop-while } P \ s = (\text{if } P \ (\text{shd } s) \ \text{then } \text{sdrop-while } P \ (\text{stl } s) \ \text{else } s)$

**lemma** *sdrop-while-SCons[code]*:

$\text{sdrop-while } P \ (a \ \#\# \ s) = (\text{if } P \ a \ \text{then } \text{sdrop-while } P \ s \ \text{else } a \ \#\# \ s)$   
 ⟨proof⟩

**lemma** *sdrop-while-sdrop-LEAST*:

**assumes**  $\exists n. P \ (s \ !! \ n)$

**shows**  $\text{sdrop-while } (\text{Not } o \ P) \ s = \text{sdrop } (\text{LEAST } n. P \ (s \ !! \ n)) \ s$   
 ⟨proof⟩

**primcorec** *sfilter* **where**

$\text{shd } (\text{sfilter } P \ s) = \text{shd } (\text{sdrop-while } (\text{Not } o \ P) \ s)$

$| \ \text{stl } (\text{sfilter } P \ s) = \text{sfilter } P \ (\text{stl } (\text{sdrop-while } (\text{Not } o \ P) \ s))$

**lemma** *sfilter-Stream*:  $\text{sfilter } P \ (x \ \#\# \ s) = (\text{if } P \ x \ \text{then } x \ \#\# \ \text{sfilter } P \ s \ \text{else } \text{sfilter } P \ s)$   
 ⟨proof⟩

#### 46.4 unary predicates lifted to streams

**definition** *stream-all*  $P s = (\forall p. P (s !! p))$

**lemma** *stream-all-iff*[*iff*]: *stream-all*  $P s \longleftrightarrow \text{Ball } (sset\ s) P$   
 ⟨*proof*⟩

**lemma** *stream-all-shift*[*simp*]: *stream-all*  $P (xs @- s) = (\text{list-all } P\ xs \wedge \text{stream-all } P\ s)$   
 ⟨*proof*⟩

**lemma** *stream-all-Stream*: *stream-all*  $P (x \#\# X) \longleftrightarrow P\ x \wedge \text{stream-all } P\ X$   
 ⟨*proof*⟩

#### 46.5 recurring stream out of a list

**primcorec** *cycle* :: 'a list  $\Rightarrow$  'a stream **where**

*shd* (*cycle*  $xs$ ) = *hd*  $xs$

| *stl* (*cycle*  $xs$ ) = *cycle* (*tl*  $xs @ [hd\ xs]$ )

**lemma** *cycle-decomp*:  $u \neq [] \Longrightarrow \text{cycle } u = u @- \text{cycle } u$   
 ⟨*proof*⟩

**lemma** *cycle-Cons*[*code*]: *cycle* ( $x \#\ xs$ ) =  $x \#\# \text{cycle } (xs @ [x])$   
 ⟨*proof*⟩

**lemma** *cycle-rotated*:  $\llbracket v \neq []; \text{cycle } u = v @- s \rrbracket \Longrightarrow \text{cycle } (tl\ u @ [hd\ u]) = tl\ v @- s$   
 ⟨*proof*⟩

**lemma** *stake-append*: *stake*  $n (u @- s) = \text{take } (\min (\text{length } u)\ n) u @ \text{stake } (n - \text{length } u) s$   
 ⟨*proof*⟩

**lemma** *stake-cycle-le*[*simp*]:  
**assumes**  $u \neq []\ n < \text{length } u$   
**shows** *stake*  $n (\text{cycle } u) = \text{take } n\ u$   
 ⟨*proof*⟩

**lemma** *stake-cycle-eq*[*simp*]:  $u \neq [] \Longrightarrow \text{stake } (\text{length } u) (\text{cycle } u) = u$   
 ⟨*proof*⟩

**lemma** *sdrop-cycle-eq*[*simp*]:  $u \neq [] \Longrightarrow \text{sdrop } (\text{length } u) (\text{cycle } u) = \text{cycle } u$   
 ⟨*proof*⟩

**lemma** *stake-cycle-eq-mod-0*[*simp*]:  $\llbracket u \neq []; n \bmod \text{length } u = 0 \rrbracket \Longrightarrow \text{stake } n (\text{cycle } u) = \text{concat } (\text{replicate } (n \text{ div } \text{length } u) u)$   
 ⟨*proof*⟩

**lemma** *sdrop-cycle-eq-mod-0*[*simp*]:  $\llbracket u \neq []; n \bmod \text{length } u = 0 \rrbracket \Longrightarrow$

$sdrop\ n\ (cycle\ u) = cycle\ u$   
 ⟨proof⟩

**lemma** *stake-cycle*:  $u \neq [] \implies$   
 $stake\ n\ (cycle\ u) = concat\ (replicate\ (n\ div\ length\ u)\ u)\ @\ take\ (n\ mod\ length\ u)\ u$   
 ⟨proof⟩

**lemma** *sdrop-cycle*:  $u \neq [] \implies sdrop\ n\ (cycle\ u) = cycle\ (rotate\ (n\ mod\ length\ u)\ u)$   
 ⟨proof⟩

**lemma** *sset-cycle[simp]*:  
**assumes**  $xs \neq []$   
**shows**  $sset\ (cycle\ xs) = set\ xs$   
 ⟨proof⟩

## 46.6 iterated application of a function

**primcorec** *siterate* **where**  
 $shd\ (siterate\ f\ x) = x$   
 $|\ stl\ (siterate\ f\ x) = siterate\ f\ (f\ x)$

**lemma** *stake-Suc*:  $stake\ (Suc\ n)\ s = stake\ n\ s\ @\ [s\ !!\ n]$   
 ⟨proof⟩

**lemma** *snth-siterate[simp]*:  $siterate\ f\ x\ !!\ n = (f\ ^\wedge\ n)\ x$   
 ⟨proof⟩

**lemma** *sdrop-siterate[simp]*:  $sdrop\ n\ (siterate\ f\ x) = siterate\ f\ ((f\ ^\wedge\ n)\ x)$   
 ⟨proof⟩

**lemma** *stake-siterate[simp]*:  $stake\ n\ (siterate\ f\ x) = map\ (\lambda n. (f\ ^\wedge\ n)\ x)\ [0\ ..<\ n]$   
 ⟨proof⟩

**lemma** *sset-siterate*:  $sset\ (siterate\ f\ x) = \{(f\ ^\wedge\ n)\ x\ |\ n.\ True\}$   
 ⟨proof⟩

**lemma** *smap-siterate*:  $smap\ f\ (siterate\ f\ x) = siterate\ f\ (f\ x)$   
 ⟨proof⟩

## 46.7 stream repeating a single element

**abbreviation**  $sconst \equiv siterate\ id$

**lemma** *shift-replicate-sconst[simp]*:  $replicate\ n\ x\ @-\ sconst\ x = sconst\ x$   
 ⟨proof⟩

**lemma** *sset-sconst[simp]*:  $sset\ (sconst\ x) = \{x\}$   
 ⟨proof⟩

**lemma** *sconst-alt*:  $s = \text{sconst } x \longleftrightarrow \text{sset } s = \{x\}$   
 ⟨proof⟩

**lemma** *sconst-cycle*:  $\text{sconst } x = \text{cycle } [x]$   
 ⟨proof⟩

**lemma** *smap-sconst*:  $\text{smap } f (\text{sconst } x) = \text{sconst } (f x)$   
 ⟨proof⟩

**lemma** *sconst-streams*:  $x \in A \implies \text{sconst } x \in \text{streams } A$   
 ⟨proof⟩

**lemma** *streams-empty-iff*:  $\text{streams } S = \{\} \longleftrightarrow S = \{\}$   
 ⟨proof⟩

## 46.8 stream of natural numbers

**abbreviation** *fromN*  $\equiv \text{siterate } \text{Suc}$

**abbreviation** *nats*  $\equiv \text{fromN } 0$

**lemma** *sset-fromN[simp]*:  $\text{sset } (\text{fromN } n) = \{n ..\}$   
 ⟨proof⟩

**lemma** *stream-smap-fromN*:  $s = \text{smap } (\lambda j. \text{let } i = j - n \text{ in } s !! i) (\text{fromN } n)$   
 ⟨proof⟩

**lemma** *stream-smap-nats*:  $s = \text{smap } (\text{snth } s) \text{ nats}$   
 ⟨proof⟩

## 46.9 flatten a stream of lists

**primcorec** *flat* **where**

$\text{shd } (\text{flat } ws) = \text{hd } (\text{shd } ws)$   
 $|\ \text{stl } (\text{flat } ws) = \text{flat } (\text{if } \text{tl } (\text{shd } ws) = [] \text{ then } \text{stl } ws \text{ else } \text{tl } (\text{shd } ws) \#\# \text{stl } ws)$

**lemma** *flat-Cons[simp, code]*:  $\text{flat } ((x \# xs) \#\# ws) = x \#\# \text{flat } (\text{if } xs = [] \text{ then } ws \text{ else } xs \#\# ws)$   
 ⟨proof⟩

**lemma** *flat-Stream[simp]*:  $xs \neq [] \implies \text{flat } (xs \#\# ws) = xs @- \text{flat } ws$   
 ⟨proof⟩

**lemma** *flat-unfold*:  $\text{shd } ws \neq [] \implies \text{flat } ws = \text{shd } ws @- \text{flat } (\text{stl } ws)$   
 ⟨proof⟩

**lemma** *flat-snth*:  $\forall xs \in \text{sset } s. xs \neq [] \implies \text{flat } s !! n = (\text{if } n < \text{length } (\text{shd } s) \text{ then } \text{shd } s ! n \text{ else } \text{flat } (\text{stl } s) !! (n - \text{length } (\text{shd } s)))$   
 ⟨proof⟩

**lemma** *sset-flat[simp]*:  $\forall xs \in sset\ s. xs \neq [] \implies$   
 $sset\ (flat\ s) = (\bigcup xs \in sset\ s. set\ xs)$  (is ?P  $\implies$  ?L = ?R)  
 ⟨proof⟩

#### 46.10 merge a stream of streams

**definition** *smerge* :: 'a stream stream  $\Rightarrow$  'a stream **where**

$smerge\ ss = flat\ (smap\ (\lambda n. map\ (\lambda s. s\ !!\ n)\ (stake\ (Suc\ n)\ ss))\ @\ stake\ n\ (ss\ !!\ n))\ nats$

**lemma** *stake-nth[simp]*:  $m < n \implies stake\ n\ s\ !\ m = s\ !!\ m$   
 ⟨proof⟩

**lemma** *snth-sset-smerge*:  $ss\ !!\ n\ !!\ m \in sset\ (smerge\ ss)$   
 ⟨proof⟩

**lemma** *sset-smerge*:  $sset\ (smerge\ ss) = UNION\ (sset\ ss)\ sset$   
 ⟨proof⟩

#### 46.11 product of two streams

**definition** *sproduct* :: 'a stream  $\Rightarrow$  'b stream  $\Rightarrow$  ('a  $\times$  'b) stream **where**

$sproduct\ s1\ s2 = smerge\ (smap\ (\lambda x. smap\ (Pair\ x)\ s2)\ s1)$

**lemma** *sset-sproduct*:  $sset\ (sproduct\ s1\ s2) = sset\ s1 \times sset\ s2$   
 ⟨proof⟩

#### 46.12 interleave two streams

**primcorec** *sinterleave* **where**

$shd\ (sinterleave\ s1\ s2) = shd\ s1$

|  $stl\ (sinterleave\ s1\ s2) = sinterleave\ s2\ (stl\ s1)$

**lemma** *sinterleave-code[code]*:

$sinterleave\ (x\ \#\#\ s1)\ s2 = x\ \#\#\ sinterleave\ s2\ s1$

⟨proof⟩

**lemma** *sinterleave-snth[simp]*:

$even\ n \implies sinterleave\ s1\ s2\ !!\ n = s1\ !!\ (n\ div\ 2)$

$odd\ n \implies sinterleave\ s1\ s2\ !!\ n = s2\ !!\ (n\ div\ 2)$

⟨proof⟩

**lemma** *sset-sinterleave*:  $sset\ (sinterleave\ s1\ s2) = sset\ s1 \cup sset\ s2$   
 ⟨proof⟩

#### 46.13 zip

**primcorec** *szip* **where**

$shd\ (szip\ s1\ s2) = (shd\ s1, shd\ s2)$

|  $stl (szip\ s1\ s2) = szip (stl\ s1) (stl\ s2)$

**lemma** *szip-unfold*[code]:  $szip (a\ \#\#\ s1) (b\ \#\#\ s2) = (a, b)\ \#\#\ (szip\ s1\ s2)$   
 ⟨proof⟩

**lemma** *snth-szip*[simp]:  $szip\ s1\ s2\ !!\ n = (s1\ !!\ n, s2\ !!\ n)$   
 ⟨proof⟩

**lemma** *stake-szip*[simp]:  
 $stake\ n (szip\ s1\ s2) = zip (stake\ n\ s1) (stake\ n\ s2)$   
 ⟨proof⟩

**lemma** *sdrop-szip*[simp]:  $sdrop\ n (szip\ s1\ s2) = szip (sdrop\ n\ s1) (sdrop\ n\ s2)$   
 ⟨proof⟩

**lemma** *smap-szip-fst*:  
 $smap (\lambda x. f (fst\ x)) (szip\ s1\ s2) = smap\ f\ s1$   
 ⟨proof⟩

**lemma** *smap-szip-snd*:  
 $smap (\lambda x. g (snd\ x)) (szip\ s1\ s2) = smap\ g\ s2$   
 ⟨proof⟩

#### 46.14 zip via function

**primcorec** *smap2* **where**  
 $shd (smap2\ f\ s1\ s2) = f (shd\ s1) (shd\ s2)$   
 |  $stl (smap2\ f\ s1\ s2) = smap2\ f (stl\ s1) (stl\ s2)$

**lemma** *smap2-unfold*[code]:  
 $smap2\ f (a\ \#\#\ s1) (b\ \#\#\ s2) = f\ a\ b\ \#\#\ (smap2\ f\ s1\ s2)$   
 ⟨proof⟩

**lemma** *smap2-szip*:  
 $smap2\ f\ s1\ s2 = smap (case-prod\ f) (szip\ s1\ s2)$   
 ⟨proof⟩

**lemma** *smap-smap2*[simp]:  
 $smap\ f (smap2\ g\ s1\ s2) = smap2 (\lambda x\ y. f (g\ x\ y))\ s1\ s2$   
 ⟨proof⟩

**lemma** *smap2-alt*:  
 $(smap2\ f\ s1\ s2 = s) = (\forall n. f (s1\ !!\ n) (s2\ !!\ n) = s\ !!\ n)$   
 ⟨proof⟩

**lemma** *snth-smap2*[simp]:  
 $smap2\ f\ s1\ s2\ !!\ n = f (s1\ !!\ n) (s2\ !!\ n)$   
 ⟨proof⟩

**lemma** *stake-smap2*[simp]:  
 $stake\ n\ (smap2\ f\ s1\ s2) = map\ (case\ prod\ f)\ (zip\ (stake\ n\ s1)\ (stake\ n\ s2))$   
 ⟨proof⟩

**lemma** *sdrop-smap2*[simp]:  
 $sdrop\ n\ (smap2\ f\ s1\ s2) = smap2\ f\ (sdrop\ n\ s1)\ (sdrop\ n\ s2)$   
 ⟨proof⟩

end

## 47 List prefixes, suffixes, and homeomorphic embedding

**theory** *Sublist*  
**imports** *Main*  
**begin**

### 47.1 Prefix order on lists

**definition** *prefix* :: 'a list ⇒ 'a list ⇒ bool  
 where  $prefix\ xs\ ys \longleftrightarrow (\exists\ zs.\ ys = xs\ @\ zs)$

**definition** *strict-prefix* :: 'a list ⇒ 'a list ⇒ bool  
 where  $strict\ prefix\ xs\ ys \longleftrightarrow prefix\ xs\ ys \wedge xs \neq ys$

**interpretation** *prefix-order*: order *prefix* *strict-prefix*  
 ⟨proof⟩

**interpretation** *prefix-bot*: order-bot Nil *prefix* *strict-prefix*  
 ⟨proof⟩

**lemma** *prefixI* [intro?]:  $ys = xs\ @\ zs \implies prefix\ xs\ ys$   
 ⟨proof⟩

**lemma** *prefixE* [elim?]:  
 assumes *prefix* *xs* *ys*  
 obtains *zs* where  $ys = xs\ @\ zs$   
 ⟨proof⟩

**lemma** *strict-prefixI'* [intro?]:  $ys = xs\ @\ z\ \# \ zs \implies strict\ prefix\ xs\ ys$   
 ⟨proof⟩

**lemma** *strict-prefixE'* [elim?]:  
 assumes *strict-prefix* *xs* *ys*  
 obtains *z* *zs* where  $ys = xs\ @\ z\ \# \ zs$   
 ⟨proof⟩

**lemma** *strict-prefixI* [*intro?*]:  $\text{prefix } xs \ ys \implies xs \neq ys \implies \text{strict-prefix } xs \ ys$   
 ⟨*proof*⟩

**lemma** *strict-prefixE* [*elim?*]:  
 fixes  $xs \ ys :: 'a \ \text{list}$   
 assumes *strict-prefix*  $xs \ ys$   
 obtains *prefix*  $xs \ ys$  and  $xs \neq ys$   
 ⟨*proof*⟩

## 47.2 Basic properties of prefixes

**theorem** *Nil-prefix* [*simp*]:  $\text{prefix } [] \ xs$   
 ⟨*proof*⟩

**theorem** *prefix-Nil* [*simp*]:  $(\text{prefix } xs \ []) = (xs = [])$   
 ⟨*proof*⟩

**lemma** *prefix-snoc* [*simp*]:  $\text{prefix } xs \ (ys \ @ \ [y]) \longleftrightarrow xs = ys \ @ \ [y] \vee \text{prefix } xs \ ys$   
 ⟨*proof*⟩

**lemma** *Cons-prefix-Cons* [*simp*]:  $\text{prefix } (x \ # \ xs) \ (y \ # \ ys) = (x = y \wedge \text{prefix } xs \ ys)$   
 ⟨*proof*⟩

**lemma** *prefix-code* [*code*]:  
 $\text{prefix } [] \ xs \longleftrightarrow \text{True}$   
 $\text{prefix } (x \ # \ xs) \ [] \longleftrightarrow \text{False}$   
 $\text{prefix } (x \ # \ xs) \ (y \ # \ ys) \longleftrightarrow x = y \wedge \text{prefix } xs \ ys$   
 ⟨*proof*⟩

**lemma** *same-prefix-prefix* [*simp*]:  $\text{prefix } (xs \ @ \ ys) \ (xs \ @ \ zs) = \text{prefix } ys \ zs$   
 ⟨*proof*⟩

**lemma** *same-prefix-nil* [*simp*]:  $\text{prefix } (xs \ @ \ ys) \ xs = (ys = [])$   
 ⟨*proof*⟩

**lemma** *prefix-prefix* [*simp*]:  $\text{prefix } xs \ ys \implies \text{prefix } xs \ (ys \ @ \ zs)$   
 ⟨*proof*⟩

**lemma** *append-prefixD*:  $\text{prefix } (xs \ @ \ ys) \ zs \implies \text{prefix } xs \ zs$   
 ⟨*proof*⟩

**theorem** *prefix-Cons*:  $\text{prefix } xs \ (y \ # \ ys) = (xs = [] \vee (\exists zs. xs = y \ # \ zs \wedge \text{prefix } zs \ ys))$   
 ⟨*proof*⟩

**theorem** *prefix-append*:  
 $\text{prefix } xs \ (ys \ @ \ zs) = (\text{prefix } xs \ ys \vee (\exists us. xs = ys \ @ \ us \wedge \text{prefix } us \ zs))$



*<proof>*

**lemma** *append-one-prefix*:

$prefix\ xs\ ys \implies length\ xs < length\ ys \implies prefix\ (xs\ @\ [ys\ !\ length\ xs])\ ys$   
*<proof>*

**theorem** *prefix-length-le*:  $prefix\ xs\ ys \implies length\ xs \leq length\ ys$

*<proof>*

**lemma** *prefix-same-cases*:

$prefix\ (xs_1::'a\ list)\ ys \implies prefix\ xs_2\ ys \implies prefix\ xs_1\ xs_2 \vee prefix\ xs_2\ xs_1$   
*<proof>*

**lemma** *prefix-length-prefix*:

$prefix\ ps\ xs \implies prefix\ qs\ xs \implies length\ ps \leq length\ qs \implies prefix\ ps\ qs$   
*<proof>*

**lemma** *set-mono-prefix*:  $prefix\ xs\ ys \implies set\ xs \subseteq set\ ys$

*<proof>*

**lemma** *take-is-prefix*:  $prefix\ (take\ n\ xs)\ xs$

*<proof>*

**lemma** *prefixeq-butlast*:  $prefix\ (butlast\ xs)\ xs$

*<proof>*

**lemma** *map-prefixI*:  $prefix\ xs\ ys \implies prefix\ (map\ f\ xs)\ (map\ f\ ys)$

*<proof>*

**lemma** *prefix-length-less*:  $strict-prefix\ xs\ ys \implies length\ xs < length\ ys$

*<proof>*

**lemma** *prefix-snocD*:  $prefix\ (xs@[x])\ ys \implies strict-prefix\ xs\ ys$

*<proof>*

**lemma** *strict-prefix-simps* [*simp*, *code*]:

$strict-prefix\ xs\ [] \longleftrightarrow False$

$strict-prefix\ []\ (x\ \# \ xs) \longleftrightarrow True$

$strict-prefix\ (x\ \# \ xs)\ (y\ \# \ ys) \longleftrightarrow x = y \wedge strict-prefix\ xs\ ys$

*<proof>*

**lemma** *take-strict-prefix*:  $strict-prefix\ xs\ ys \implies strict-prefix\ (take\ n\ xs)\ ys$

*<proof>*

**lemma** *not-prefix-cases*:

**assumes** *pf*:  $\neg prefix\ ps\ ls$

**obtains**

(*c1*)  $ps \neq []$  **and**  $ls = []$

| (*c2*)  $a\ as\ xs$  **where**  $ps = a\ \# \ as$  **and**  $ls = x\ \# \ xs$  **and**  $x = a$  **and**  $\neg prefix\ as$

*xs*  
 | (c3) *a as x xs* **where** *ps = a#as* **and** *ls = x#xs* **and** *x ≠ a*  
 ⟨proof⟩

**lemma** *not-prefix-induct* [consumes 1, case-names Nil Neq Eq]:

**assumes** *np*:  $\neg$  *prefix ps ls*  
**and** *base*:  $\bigwedge x xs. P (x\#xs)$  []  
**and** *r1*:  $\bigwedge x xs y ys. x \neq y \implies P (x\#xs) (y\#ys)$   
**and** *r2*:  $\bigwedge x xs y ys. [x = y; \neg \text{prefix } xs \text{ } ys; P \text{ } xs \text{ } ys] \implies P (x\#xs) (y\#ys)$   
**shows** *P ps ls* ⟨proof⟩

### 47.3 Prefixes

**primrec** *prefixes* **where**

*prefixes* [] = [[]] |  
*prefixes* (x#xs) = [] # map (op # x) (*prefixes* xs)

**lemma** *in-set-prefixes[simp]*: *xs* ∈ *set (prefixes ys)*  $\longleftrightarrow$  *prefix xs ys*  
 ⟨proof⟩

**lemma** *length-prefixes[simp]*: *length (prefixes xs)* = *length xs*+1  
 ⟨proof⟩

**lemma** *distinct-prefixes [intro]*: *distinct (prefixes xs)*  
 ⟨proof⟩

**lemma** *prefixes-snoc [simp]*: *prefixes (xs@[x])* = *prefixes xs* @ [*xs*@[*x*]]  
 ⟨proof⟩

**lemma** *prefixes-not-Nil [simp]*: *prefixes xs* ≠ []  
 ⟨proof⟩

**lemma** *hd-prefixes [simp]*: *hd (prefixes xs)* = []  
 ⟨proof⟩

**lemma** *last-prefixes [simp]*: *last (prefixes xs)* = *xs*  
 ⟨proof⟩

**lemma** *prefixes-append*:

*prefixes (xs @ ys)* = *prefixes xs* @ map ( $\lambda ys'. xs @ ys'$ ) (*tl (prefixes ys)*)  
 ⟨proof⟩

**lemma** *prefixes-eq-snoc*:

*prefixes ys* = *xs* @ [*x*]  $\longleftrightarrow$   
 (*ys* = []  $\wedge$  *xs* = []  $\vee$  ( $\exists z zs. ys = zs@[z] \wedge xs = \text{prefixes } zs$ ))  $\wedge$  *x* = *ys*  
 ⟨proof⟩

**lemma** *prefixes-tailrec [code]*:

*prefixes xs* = *rev (snd (foldl ( $\lambda (acc1, acc2) x. (x\#acc1, rev (x\#acc1)\#acc2)$ ))*

$([], []))$   
 $\langle proof \rangle$

**lemma** *set-prefixes-eq*:  $set (prefixes\ xs) = \{ys.\ prefix\ ys\ xs\}$   
 $\langle proof \rangle$

**lemma** *card-set-prefixes [simp]*:  $card (set (prefixes\ xs)) = Suc (length\ xs)$   
 $\langle proof \rangle$

**lemma** *set-prefixes-append*:  
 $set (prefixes (xs @ ys)) = set (prefixes\ xs) \cup \{xs @ ys' \mid ys' \in set (prefixes\ ys)\}$   
 $\langle proof \rangle$

#### 47.4 Longest Common Prefix

**definition** *Longest-common-prefix* :: 'a list set  $\Rightarrow$  'a list **where**  
*Longest-common-prefix*  $L = (ARG-MAX\ length\ ps.\ \forall xs \in L.\ prefix\ ps\ xs)$

**lemma** *Longest-common-prefix-ex*:  $L \neq \{\} \implies$   
 $\exists ps.\ (\forall xs \in L.\ prefix\ ps\ xs) \wedge (\forall qs.\ (\forall xs \in L.\ prefix\ qs\ xs) \longrightarrow size\ qs \leq size\ ps)$   
**(is -  $\implies \exists ps.\ ?P\ L\ ps$ )**  
 $\langle proof \rangle$

**lemma** *Longest-common-prefix-unique*:  $L \neq \{\} \implies$   
 $\exists! ps.\ (\forall xs \in L.\ prefix\ ps\ xs) \wedge (\forall qs.\ (\forall xs \in L.\ prefix\ qs\ xs) \longrightarrow size\ qs \leq size\ ps)$   
 $\langle proof \rangle$

**lemma** *Longest-common-prefix-eq*:  
 $\llbracket L \neq \{\}; \forall xs \in L.\ prefix\ ps\ xs;$   
 $\forall qs.\ (\forall xs \in L.\ prefix\ qs\ xs) \longrightarrow size\ qs \leq size\ ps \rrbracket$   
 $\implies Longest-common-prefix\ L = ps$   
 $\langle proof \rangle$

**lemma** *Longest-common-prefix-prefix*:  
 $xs \in L \implies prefix (Longest-common-prefix\ L)\ xs$   
 $\langle proof \rangle$

**lemma** *Longest-common-prefix-longest*:  
 $L \neq \{\} \implies \forall xs \in L.\ prefix\ ps\ xs \implies length\ ps \leq length (Longest-common-prefix\ L)$   
 $\langle proof \rangle$

**lemma** *Longest-common-prefix-max-prefix*:  
 $L \neq \{\} \implies \forall xs \in L.\ prefix\ ps\ xs \implies prefix\ ps (Longest-common-prefix\ L)$   
 $\langle proof \rangle$

**lemma** *Longest-common-prefix-Nil*:  $[] \in L \implies \text{Longest-common-prefix } L = []$   
 ⟨proof⟩

**lemma** *Longest-common-prefix-image-Cons*:  $L \neq \{\}$   $\implies$   
 $\text{Longest-common-prefix } (op \# x \text{ ' } L) = x \# \text{Longest-common-prefix } L$   
 ⟨proof⟩

**lemma** *Longest-common-prefix-eq-Cons*: **assumes**  $L \neq \{\}$   $[] \notin L \ \forall xs \in L. \text{hd } xs = x$

**shows**  $\text{Longest-common-prefix } L = x \# \text{Longest-common-prefix } \{ys. x \# ys \in L\}$   
 ⟨proof⟩

**lemma** *Longest-common-prefix-eq-Nil*:

$[x \# ys \in L; y \# zs \in L; x \neq y] \implies \text{Longest-common-prefix } L = []$   
 ⟨proof⟩

**fun** *longest-common-prefix* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list **where**  
 $\text{longest-common-prefix } (x \# xs) (y \# ys) =$   
 (if  $x=y$  then  $x \# \text{longest-common-prefix } xs \text{ } ys$  else  $[]$ ) |  
 $\text{longest-common-prefix } - - = []$

**lemma** *longest-common-prefix-prefix1*:  
 $\text{prefix } (\text{longest-common-prefix } xs \text{ } ys) \ xs$   
 ⟨proof⟩

**lemma** *longest-common-prefix-prefix2*:  
 $\text{prefix } (\text{longest-common-prefix } xs \text{ } ys) \ ys$   
 ⟨proof⟩

**lemma** *longest-common-prefix-max-prefix*:  
 $[ \text{prefix } ps \ xs; \text{prefix } ps \ ys ]$   
 $\implies \text{prefix } ps \ (\text{longest-common-prefix } xs \ \text{ } ys)$   
 ⟨proof⟩

## 47.5 Parallel lists

**definition** *parallel* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool (**infixl**  $\parallel$  50)  
**where**  $(xs \parallel ys) = (\neg \text{prefix } xs \ ys \ \wedge \ \neg \text{prefix } ys \ xs)$

**lemma** *parallelI* [intro]:  $\neg \text{prefix } xs \ ys \implies \neg \text{prefix } ys \ xs \implies xs \parallel ys$   
 ⟨proof⟩

**lemma** *parallelE* [elim]:  
**assumes**  $xs \parallel ys$   
**obtains**  $\neg \text{prefix } xs \ ys \ \wedge \ \neg \text{prefix } ys \ xs$   
 ⟨proof⟩

**theorem** *prefix-cases*:

**obtains** *prefix xs ys | strict-prefix ys xs | xs || ys*  
 ⟨proof⟩

**theorem** *parallel-decomp*:

$xs \parallel ys \implies \exists as\ b\ bs\ c\ cs. b \neq c \wedge xs = as @ b \# bs \wedge ys = as @ c \# cs$   
 ⟨proof⟩

**lemma** *parallel-append*:  $a \parallel b \implies a @ c \parallel b @ d$   
 ⟨proof⟩

**lemma** *parallel-appendI*:  $xs \parallel ys \implies x = xs @ xs' \implies y = ys @ ys' \implies x \parallel y$   
 ⟨proof⟩

**lemma** *parallel-commute*:  $a \parallel b \longleftrightarrow b \parallel a$   
 ⟨proof⟩

## 47.6 Suffix order on lists

**definition** *suffix* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool  
 where *suffix xs ys* =  $(\exists zs. ys = zs @ xs)$

**definition** *strict-suffix* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool  
 where *strict-suffix xs ys*  $\longleftrightarrow$  *suffix xs ys*  $\wedge$   $xs \neq ys$

**interpretation** *suffix-order*: *order suffix strict-suffix*  
 ⟨proof⟩

**interpretation** *suffix-bot*: *order-bot Nil suffix strict-suffix*  
 ⟨proof⟩

**lemma** *suffixI* [*intro?*]:  $ys = zs @ xs \implies \text{suffix } xs\ ys$   
 ⟨proof⟩

**lemma** *suffixE* [*elim?*]:  
 assumes *suffix xs ys*  
 obtains *zs* where  $ys = zs @ xs$   
 ⟨proof⟩

**lemma** *suffix-tl* [*simp*]: *suffix (tl xs) xs*  
 ⟨proof⟩

**lemma** *strict-suffix-tl* [*simp*]:  $xs \neq [] \implies \text{strict-suffix } (tl\ xs)\ xs$   
 ⟨proof⟩

**lemma** *Nil-suffix* [*simp*]: *suffix [] xs*  
 ⟨proof⟩

**lemma** *suffix-Nil* [*simp*]:  $(\text{suffix } xs\ []) = (xs = [])$   
 ⟨proof⟩

**lemma** *suffix-ConsI*:  $\text{suffix } xs \ ys \implies \text{suffix } xs \ (y \# \ ys)$   
 ⟨proof⟩

**lemma** *suffix-ConsD*:  $\text{suffix } (x \# \ xs) \ ys \implies \text{suffix } xs \ ys$   
 ⟨proof⟩

**lemma** *suffix-appendI*:  $\text{suffix } xs \ ys \implies \text{suffix } xs \ (zs \ @ \ ys)$   
 ⟨proof⟩

**lemma** *suffix-appendD*:  $\text{suffix } (zs \ @ \ xs) \ ys \implies \text{suffix } xs \ ys$   
 ⟨proof⟩

**lemma** *strict-suffix-set-subset*:  $\text{strict-suffix } xs \ ys \implies \text{set } xs \subseteq \text{set } ys$   
 ⟨proof⟩

**lemma** *suffix-set-subset*:  $\text{suffix } xs \ ys \implies \text{set } xs \subseteq \text{set } ys$   
 ⟨proof⟩

**lemma** *suffix-ConsD2*:  $\text{suffix } (x \# \ xs) \ (y \# \ ys) \implies \text{suffix } xs \ ys$   
 ⟨proof⟩

**lemma** *suffix-to-prefix* [code]:  $\text{suffix } xs \ ys \longleftrightarrow \text{prefix } (\text{rev } xs) \ (\text{rev } ys)$   
 ⟨proof⟩

**lemma** *strict-suffix-to-prefix* [code]:  $\text{strict-suffix } xs \ ys \longleftrightarrow \text{strict-prefix } (\text{rev } xs) \ (\text{rev } ys)$   
 ⟨proof⟩

**lemma** *distinct-suffix*:  $\text{distinct } ys \implies \text{suffix } xs \ ys \implies \text{distinct } xs$   
 ⟨proof⟩

**lemma** *suffix-map*:  $\text{suffix } xs \ ys \implies \text{suffix } (\text{map } f \ xs) \ (\text{map } f \ ys)$   
 ⟨proof⟩

**lemma** *suffix-drop*:  $\text{suffix } (\text{drop } n \ as) \ as$   
 ⟨proof⟩

**lemma** *suffix-take*:  $\text{suffix } xs \ ys \implies ys = \text{take } (\text{length } ys - \text{length } xs) \ ys \ @ \ xs$   
 ⟨proof⟩

**lemma** *strict-suffix-reflcp-conv*:  $\text{strict-suffix}^{==} = \text{suffix}$   
 ⟨proof⟩

**lemma** *suffix-lists*:  $\text{suffix } xs \ ys \implies ys \in \text{lists } A \implies xs \in \text{lists } A$   
 ⟨proof⟩

**lemma** *suffix-snoc* [simp]:  $\text{suffix } xs \ (ys \ @ \ [y]) \longleftrightarrow xs = [] \vee (\exists zs. xs = zs \ @ \ [y] \wedge \text{suffix } zs \ ys)$

*<proof>*

**lemma** *snoc-suffix-snoc* [*simp*]:  $\text{suffix } (xs \text{ @ } [x]) (ys \text{ @ } [y]) = (x = y \wedge \text{suffix } xs \text{ } ys)$   
*<proof>*

**lemma** *same-suffix-suffix* [*simp*]:  $\text{suffix } (ys \text{ @ } xs) (zs \text{ @ } xs) = \text{suffix } ys \text{ } zs$   
*<proof>*

**lemma** *same-suffix-nil* [*simp*]:  $\text{suffix } (ys \text{ @ } xs) \text{ } xs = (ys = [])$   
*<proof>*

**theorem** *suffix-Cons*:  $\text{suffix } xs \text{ } (y \# ys) \longleftrightarrow xs = y \# ys \vee \text{suffix } xs \text{ } ys$   
*<proof>*

**theorem** *suffix-append*:  
 $\text{suffix } xs \text{ } (ys \text{ @ } zs) \longleftrightarrow \text{suffix } xs \text{ } zs \vee (\exists xs'. xs = xs' \text{ @ } zs \wedge \text{suffix } xs' \text{ } ys)$   
*<proof>*

**theorem** *suffix-length-le*:  $\text{suffix } xs \text{ } ys \implies \text{length } xs \leq \text{length } ys$   
*<proof>*

**lemma** *suffix-same-cases*:  
 $\text{suffix } (xs_1 :: 'a \text{ list}) \text{ } ys \implies \text{suffix } xs_2 \text{ } ys \implies \text{suffix } xs_1 \text{ } xs_2 \vee \text{suffix } xs_2 \text{ } xs_1$   
*<proof>*

**lemma** *suffix-length-suffix*:  
 $\text{suffix } ps \text{ } xs \implies \text{suffix } qs \text{ } xs \implies \text{length } ps \leq \text{length } qs \implies \text{suffix } ps \text{ } qs$   
*<proof>*

**lemma** *suffix-length-less*:  $\text{strict-suffix } xs \text{ } ys \implies \text{length } xs < \text{length } ys$   
*<proof>*

**lemma** *suffix-ConsD'*:  $\text{suffix } (x \# xs) \text{ } ys \implies \text{strict-suffix } xs \text{ } ys$   
*<proof>*

**lemma** *drop-strict-suffix*:  $\text{strict-suffix } xs \text{ } ys \implies \text{strict-suffix } (\text{drop } n \text{ } xs) \text{ } ys$   
*<proof>*

**lemma** *not-suffix-cases*:  
**assumes** *pf*:  $\neg \text{suffix } ps \text{ } ls$   
**obtains**  
 (c1)  $ps \neq []$  **and**  $ls = []$   
 | (c2)  $a \text{ as } x \text{ xs}$  **where**  $ps = as \text{ @ } [a]$  **and**  $ls = xs \text{ @ } [x]$  **and**  $x = a$  **and**  $\neg \text{suffix } as \text{ } xs$   
 | (c3)  $a \text{ as } x \text{ xs}$  **where**  $ps = as \text{ @ } [a]$  **and**  $ls = xs \text{ @ } [x]$  **and**  $x \neq a$   
*<proof>*

**lemma** *not-suffix-induct* [*consumes 1, case-names Nil Neq Eq*]:

**assumes**  $np: \neg \text{suffix } ps \text{ } ls$   
**and**  $base: \bigwedge x \text{ } xs. P (xs@[x]) []$   
**and**  $r1: \bigwedge x \text{ } xs \text{ } y \text{ } ys. x \neq y \implies P (xs@[x]) (ys@[y])$   
**and**  $r2: \bigwedge x \text{ } xs \text{ } y \text{ } ys. [x = y; \neg \text{suffix } xs \text{ } ys; P \text{ } xs \text{ } ys] \implies P (xs@[x]) (ys@[y])$   
**shows**  $P \text{ } ps \text{ } ls$  *<proof>*

**lemma** *parallelD1*:  $x \parallel y \implies \neg \text{prefix } x \text{ } y$   
*<proof>*

**lemma** *parallelD2*:  $x \parallel y \implies \neg \text{prefix } y \text{ } x$   
*<proof>*

**lemma** *parallel-Nil1* [*simp*]:  $\neg x \parallel []$   
*<proof>*

**lemma** *parallel-Nil2* [*simp*]:  $\neg [] \parallel x$   
*<proof>*

**lemma** *Cons-parallelI1*:  $a \neq b \implies a \# as \parallel b \# bs$   
*<proof>*

**lemma** *Cons-parallelI2*:  $[a = b; as \parallel bs] \implies a \# as \parallel b \# bs$   
*<proof>*

**lemma** *not-equal-is-parallel*:  
**assumes**  $neq: xs \neq ys$   
**and**  $len: \text{length } xs = \text{length } ys$   
**shows**  $xs \parallel ys$   
*<proof>*

## 47.7 Suffixes

**primrec** *suffixes* **where**  
 $\text{suffixes } [] = [[]]$   
 $|\ \text{suffixes } (x\#xs) = \text{suffixes } xs \ @ \ [x \# xs]$

**lemma** *in-set-suffixes* [*simp*]:  $xs \in \text{set } (\text{suffixes } ys) \longleftrightarrow \text{suffix } xs \text{ } ys$   
*<proof>*

**lemma** *distinct-suffixes* [*intro*]:  $\text{distinct } (\text{suffixes } xs)$   
*<proof>*

**lemma** *length-suffixes* [*simp*]:  $\text{length } (\text{suffixes } xs) = \text{Suc } (\text{length } xs)$   
*<proof>*

**lemma** *suffixes-snoc* [*simp*]:  $\text{suffixes } (xs \ @ \ [x]) = [] \ # \ \text{map } (\lambda ys. ys \ @ \ [x]) \ (\text{suffixes } xs)$   
*<proof>*



**lemma** *suffixes-not-Nil* [*simp*]:  $\text{suffixes } xs \neq []$   
 ⟨*proof*⟩

**lemma** *hd-suffixes* [*simp*]:  $\text{hd } (\text{suffixes } xs) = []$   
 ⟨*proof*⟩

**lemma** *last-suffixes* [*simp*]:  $\text{last } (\text{suffixes } xs) = xs$   
 ⟨*proof*⟩

**lemma** *suffixes-append*:  
 $\text{suffixes } (xs @ ys) = \text{suffixes } ys @ \text{map } (\lambda xs'. xs' @ ys) (\text{tl } (\text{suffixes } xs))$   
 ⟨*proof*⟩

**lemma** *suffixes-eq-snoc*:  
 $\text{suffixes } ys = xs @ [x] \longleftrightarrow$   
 $(ys = [] \wedge xs = [] \vee (\exists z zs. ys = z \# zs \wedge xs = \text{suffixes } zs)) \wedge x = ys$   
 ⟨*proof*⟩

**lemma** *suffixes-tailrec* [*code*]:  
 $\text{suffixes } xs = \text{rev } (\text{snd } (\text{foldl } (\lambda (acc1, acc2) x. (x \# acc1, (x \# acc1) \# acc2)) ([], []))$   
 $(\text{rev } xs))$   
 ⟨*proof*⟩

**lemma** *set-suffixes-eq*:  $\text{set } (\text{suffixes } xs) = \{ys. \text{suffix } ys \text{ } xs\}$   
 ⟨*proof*⟩

**lemma** *card-set-suffixes* [*simp*]:  $\text{card } (\text{set } (\text{suffixes } xs)) = \text{Suc } (\text{length } xs)$   
 ⟨*proof*⟩

**lemma** *set-suffixes-append*:  
 $\text{set } (\text{suffixes } (xs @ ys)) = \text{set } (\text{suffixes } ys) \cup \{xs' @ ys \mid xs' \in \text{set } (\text{suffixes } xs)\}$   
 ⟨*proof*⟩

**lemma** *suffixes-conv-prefixes*:  $\text{suffixes } xs = \text{map } \text{rev } (\text{prefixes } (\text{rev } xs))$   
 ⟨*proof*⟩

**lemma** *prefixes-conv-suffixes*:  $\text{prefixes } xs = \text{map } \text{rev } (\text{suffixes } (\text{rev } xs))$   
 ⟨*proof*⟩

**lemma** *prefixes-rev*:  $\text{prefixes } (\text{rev } xs) = \text{map } \text{rev } (\text{suffixes } xs)$   
 ⟨*proof*⟩

**lemma** *suffixes-rev*:  $\text{suffixes } (\text{rev } xs) = \text{map } \text{rev } (\text{prefixes } xs)$   
 ⟨*proof*⟩

## 47.8 Homeomorphic embedding on lists

**inductive** *list-emb* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ 'a list ⇒ bool

**for** *P* :: ('a ⇒ 'a ⇒ bool)

**where**

*list-emb-Nil* [*intro*, *simp*]: *list-emb P [] ys*

| *list-emb-Cons* [*intro*] : *list-emb P xs ys ⇒ list-emb P xs (y#ys)*

| *list-emb-Cons2* [*intro*]: *P x y ⇒ list-emb P xs ys ⇒ list-emb P (x#xs) (y#ys)*

**lemma** *list-emb-mono*:

**assumes**  $\bigwedge x y. P x y \longrightarrow Q x y$

**shows** *list-emb P xs ys ⇒ list-emb Q xs ys*

*<proof>*

**lemma** *list-emb-Nil2* [*simp*]:

**assumes** *list-emb P xs []* **shows** *xs = []*

*<proof>*

**lemma** *list-emb-reft*:

**assumes**  $\bigwedge x. x \in \text{set } xs \Longrightarrow P x x$

**shows** *list-emb P xs xs*

*<proof>*

**lemma** *list-emb-Cons-Nil* [*simp*]: *list-emb P (x#xs) [] = False*

*<proof>*

**lemma** *list-emb-append2* [*intro*]: *list-emb P xs ys ⇒ list-emb P xs (zs @ ys)*

*<proof>*

**lemma** *list-emb-prefix* [*intro*]:

**assumes** *list-emb P xs ys* **shows** *list-emb P xs (ys @ zs)*

*<proof>*

**lemma** *list-emb-ConsD*:

**assumes** *list-emb P (x#xs) ys*

**shows**  $\exists us v vs. ys = us @ v \# vs \wedge P x v \wedge \text{list-emb } P \text{ xs } vs$

*<proof>*

**lemma** *list-emb-appendD*:

**assumes** *list-emb P (xs @ ys) zs*

**shows**  $\exists us vs. zs = us @ vs \wedge \text{list-emb } P \text{ xs } us \wedge \text{list-emb } P \text{ ys } vs$

*<proof>*

**lemma** *list-emb-strict-suffix*:

**assumes** *list-emb P xs ys* **and** *strict-suffix ys zs*

**shows** *list-emb P xs zs*

*<proof>*

**lemma** *list-emb-suffix*:

**assumes** *list-emb P xs ys* **and** *suffix ys zs*

**shows** *list-emb*  $P$   $xs$   $zs$   
 ⟨*proof*⟩

**lemma** *list-emb-length*: *list-emb*  $P$   $xs$   $ys$   $\implies$   $\text{length } xs \leq \text{length } ys$   
 ⟨*proof*⟩

**lemma** *list-emb-trans*:  
**assumes**  $\bigwedge x y z. \llbracket x \in \text{set } xs; y \in \text{set } ys; z \in \text{set } zs; P x y; P y z \rrbracket \implies P x z$   
**shows**  $\llbracket \text{list-emb } P xs ys; \text{list-emb } P ys zs \rrbracket \implies \text{list-emb } P xs zs$   
 ⟨*proof*⟩

**lemma** *list-emb-set*:  
**assumes** *list-emb*  $P$   $xs$   $ys$  **and**  $x \in \text{set } xs$   
**obtains**  $y$  **where**  $y \in \text{set } ys$  **and**  $P x y$   
 ⟨*proof*⟩

**lemma** *list-emb-Cons-iff1* [*simp*]:  
**assumes**  $P x y$   
**shows**  $\text{list-emb } P (x\#xs) (y\#ys) \longleftrightarrow \text{list-emb } P xs ys$   
 ⟨*proof*⟩

**lemma** *list-emb-Cons-iff2* [*simp*]:  
**assumes**  $\neg P x y$   
**shows**  $\text{list-emb } P (x\#xs) (y\#ys) \longleftrightarrow \text{list-emb } P (x\#xs) ys$   
 ⟨*proof*⟩

**lemma** *list-emb-code* [*code*]:  
 $\text{list-emb } P [] ys \longleftrightarrow \text{True}$   
 $\text{list-emb } P (x\#xs) [] \longleftrightarrow \text{False}$   
 $\text{list-emb } P (x\#xs) (y\#ys) \longleftrightarrow (\text{if } P x y \text{ then } \text{list-emb } P xs ys \text{ else } \text{list-emb } P (x\#xs) ys)$   
 ⟨*proof*⟩

## 47.9 Subsequences (special case of homeomorphic embedding)

**abbreviation** *subseq* ::  $'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$   
**where**  $\text{subseq } xs ys \equiv \text{list-emb } (op =) xs ys$

**definition** *strict-subseq* **where**  $\text{strict-subseq } xs ys \longleftrightarrow xs \neq ys \wedge \text{subseq } xs ys$

**lemma** *subseq-Cons2*:  $\text{subseq } xs ys \implies \text{subseq } (x\#xs) (x\#ys)$  ⟨*proof*⟩

**lemma** *subseq-same-length*:  
**assumes**  $\text{subseq } xs ys$  **and**  $\text{length } xs = \text{length } ys$  **shows**  $xs = ys$   
 ⟨*proof*⟩

**lemma** *not-subseq-length* [*simp*]:  $\text{length } ys < \text{length } xs \implies \neg \text{subseq } xs ys$   
 ⟨*proof*⟩

**lemma** *subseq-Cons'*:  $\text{subseq } (x\#xs) \text{ } ys \implies \text{subseq } xs \text{ } ys$   
 ⟨proof⟩

**lemma** *subseq-Cons2'*:  
**assumes**  $\text{subseq } (x\#xs) \text{ } (x\#ys)$  **shows**  $\text{subseq } xs \text{ } ys$   
 ⟨proof⟩

**lemma** *subseq-Cons2-neg*:  
**assumes**  $\text{subseq } (x\#xs) \text{ } (y\#ys)$   
**shows**  $x \neq y \implies \text{subseq } (x\#xs) \text{ } ys$   
 ⟨proof⟩

**lemma** *subseq-Cons2-iff* [simp]:  
 $\text{subseq } (x\#xs) \text{ } (y\#ys) = (\text{if } x = y \text{ then } \text{subseq } xs \text{ } ys \text{ else } \text{subseq } (x\#xs) \text{ } ys)$   
 ⟨proof⟩

**lemma** *subseq-append'*:  $\text{subseq } (zs @ xs) \text{ } (zs @ ys) \longleftrightarrow \text{subseq } xs \text{ } ys$   
 ⟨proof⟩

**interpretation** *subseq-order*: *order subseq strict-subseq*  
 ⟨proof⟩

**lemma** *in-set-subseqs* [simp]:  $xs \in \text{set } (\text{subseqs } ys) \longleftrightarrow \text{subseq } xs \text{ } ys$   
 ⟨proof⟩

**lemma** *set-subseqs-eq*:  $\text{set } (\text{subseqs } ys) = \{xs. \text{subseq } xs \text{ } ys\}$   
 ⟨proof⟩

**lemma** *subseq-append-le-same-iff*:  $\text{subseq } (xs @ ys) \text{ } ys \longleftrightarrow xs = []$   
 ⟨proof⟩

**lemma** *subseq-singleton-left*:  $\text{subseq } [x] \text{ } ys \longleftrightarrow x \in \text{set } ys$   
 ⟨proof⟩

**lemma** *list-emb-append-mono*:  
 $[[ \text{list-emb } P \text{ } xs \text{ } xs'; \text{list-emb } P \text{ } ys \text{ } ys' ]] \implies \text{list-emb } P \text{ } (xs@ys) \text{ } (xs'\@ys')$   
 ⟨proof⟩

**lemma** *prefix-imp-subseq* [intro]:  $\text{prefix } xs \text{ } ys \implies \text{subseq } xs \text{ } ys$   
 ⟨proof⟩

**lemma** *suffix-imp-subseq* [intro]:  $\text{suffix } xs \text{ } ys \implies \text{subseq } xs \text{ } ys$   
 ⟨proof⟩

## 47.10 Appending elements

**lemma** *subseq-append* [simp]:  
 $\text{subseq } (xs @ zs) \text{ } (ys @ zs) \longleftrightarrow \text{subseq } xs \text{ } ys \text{ (is } ?l = ?r)$

*<proof>*

**lemma** *subseq-append-iff*:

$subseq\ xs\ (ys\ @\ zs) \longleftrightarrow (\exists\ xs1\ xs2. xs = xs1\ @\ xs2 \wedge subseq\ xs1\ ys \wedge subseq\ xs2\ zs)$

(**is** *?lhs = ?rhs*)

*<proof>*

**lemma** *subseq-appendE* [*case-names append*]:

**assumes** *subseq xs (ys @ zs)*

**obtains** *xs1 xs2 where xs = xs1 @ xs2 subseq xs1 ys subseq xs2 zs*

*<proof>*

**lemma** *subseq-drop-many*:  $subseq\ xs\ ys \implies subseq\ xs\ (zs\ @\ ys)$

*<proof>*

**lemma** *subseq-rev-drop-many*:  $subseq\ xs\ ys \implies subseq\ xs\ (ys\ @\ zs)$

*<proof>*

## 47.11 Relation to standard list operations

**lemma** *subseq-map*:

**assumes** *subseq xs ys* **shows**  $subseq\ (map\ f\ xs)\ (map\ f\ ys)$

*<proof>*

**lemma** *subseq-filter-left* [*simp*]:  $subseq\ (filter\ P\ xs)\ xs$

*<proof>*

**lemma** *subseq-filter* [*simp*]:

**assumes** *subseq xs ys* **shows**  $subseq\ (filter\ P\ xs)\ (filter\ P\ ys)$

*<proof>*

**lemma** *subseq-conv-nths*:

$subseq\ xs\ ys \longleftrightarrow (\exists\ N. xs = nth\ ys\ N)$  (**is** *?L = ?R*)

*<proof>*

## 47.12 Contiguous sublists

**definition** *sublist* :: *'a list*  $\Rightarrow$  *'a list*  $\Rightarrow$  *bool* **where**

$sublist\ xs\ ys = (\exists\ ps\ ss. ys = ps\ @\ xs\ @\ ss)$

**definition** *strict-sublist* :: *'a list*  $\Rightarrow$  *'a list*  $\Rightarrow$  *bool* **where**

$strict-sublist\ xs\ ys \longleftrightarrow sublist\ xs\ ys \wedge xs \neq ys$

**interpretation** *sublist-order*: *order sublist strict-sublist*

*<proof>*

**lemma** *sublist-Nil-left* [*simp, intro*]:  $sublist\ []\ ys$

*<proof>*

**lemma** *sublist-Cons-Nil* [*simp*]:  $\neg \text{sublist } (x \# xs) []$   
 ⟨*proof*⟩

**lemma** *sublist-Nil-right* [*simp*]:  $\text{sublist } xs [] \longleftrightarrow xs = []$   
 ⟨*proof*⟩

**lemma** *sublist-appendI* [*simp, intro*]:  $\text{sublist } xs (ps @ xs @ ss)$   
 ⟨*proof*⟩

**lemma** *sublist-append-leftI* [*simp, intro*]:  $\text{sublist } xs (ps @ xs)$   
 ⟨*proof*⟩

**lemma** *sublist-append-rightI* [*simp, intro*]:  $\text{sublist } xs (xs @ ss)$   
 ⟨*proof*⟩

**lemma** *sublist-altdef*:  $\text{sublist } xs ys \longleftrightarrow (\exists ys'. \text{prefix } ys' ys \wedge \text{suffix } xs ys')$   
 ⟨*proof*⟩

**lemma** *sublist-altdef'*:  $\text{sublist } xs ys \longleftrightarrow (\exists ys'. \text{suffix } ys' ys \wedge \text{prefix } xs ys')$   
 ⟨*proof*⟩

**lemma** *sublist-Cons-right*:  $\text{sublist } xs (y \# ys) \longleftrightarrow \text{prefix } xs (y \# ys) \vee \text{sublist } xs$   
 $ys$   
 ⟨*proof*⟩

**lemma** *sublist-code* [*code*]:  
 $\text{sublist } [] ys \longleftrightarrow \text{True}$   
 $\text{sublist } (x \# xs) [] \longleftrightarrow \text{False}$   
 $\text{sublist } (x \# xs) (y \# ys) \longleftrightarrow \text{prefix } (x \# xs) (y \# ys) \vee \text{sublist } (x \# xs) ys$   
 ⟨*proof*⟩

**lemma** *sublist-append*:  
 $\text{sublist } xs (ys @ zs) \longleftrightarrow$   
 $\text{sublist } xs ys \vee \text{sublist } xs zs \vee (\exists xs1 xs2. xs = xs1 @ xs2 \wedge \text{suffix } xs1 ys \wedge$   
 $\text{prefix } xs2 zs)$   
 ⟨*proof*⟩

**primrec** *sublists* :: 'a list  $\Rightarrow$  'a list list **where**  
 $\text{sublists } [] = [[]]$   
 $|\ \text{sublists } (x \# xs) = \text{sublists } xs @ \text{map } (op \# x) (\text{prefixes } xs)$

**lemma** *in-set-sublists* [*simp*]:  $xs \in \text{set } (\text{sublists } ys) \longleftrightarrow \text{sublist } xs ys$   
 ⟨*proof*⟩

**lemma** *set-sublists-eq*:  $\text{set } (\text{sublists } xs) = \{ys. \text{sublist } ys xs\}$   
 ⟨*proof*⟩

**lemma** *length-sublists* [*simp*]:  $\text{length } (\text{sublists } xs) = \text{Suc } (\text{length } xs * \text{Suc } (\text{length } xs))$

*xs*) *div* 2)  
 ⟨*proof*⟩

**lemma** *sublist-length-le*: *sublist xs ys*  $\implies$  *length xs*  $\leq$  *length ys*  
 ⟨*proof*⟩

**lemma** *set-mono-sublist*: *sublist xs ys*  $\implies$  *set xs*  $\subseteq$  *set ys*  
 ⟨*proof*⟩

**lemma** *prefix-imp-sublist* [*simp*, *intro*]: *prefix xs ys*  $\implies$  *sublist xs ys*  
 ⟨*proof*⟩

**lemma** *suffix-imp-sublist* [*simp*, *intro*]: *suffix xs ys*  $\implies$  *sublist xs ys*  
 ⟨*proof*⟩

**lemma** *sublist-take* [*simp*, *intro*]: *sublist (take n xs) xs*  
 ⟨*proof*⟩

**lemma** *sublist-drop* [*simp*, *intro*]: *sublist (drop n xs) xs*  
 ⟨*proof*⟩

**lemma** *sublist-tl* [*simp*, *intro*]: *sublist (tl xs) xs*  
 ⟨*proof*⟩

**lemma** *sublist-butlast* [*simp*, *intro*]: *sublist (butlast xs) xs*  
 ⟨*proof*⟩

**lemma** *sublist-rev* [*simp*]: *sublist (rev xs) (rev ys)* = *sublist xs ys*  
 ⟨*proof*⟩

**lemma** *sublist-rev-left*: *sublist (rev xs) ys* = *sublist xs (rev ys)*  
 ⟨*proof*⟩

**lemma** *sublist-rev-right*: *sublist xs (rev ys)* = *sublist (rev xs) ys*  
 ⟨*proof*⟩

**lemma** *snoc-sublist-snoc*:  
*sublist (xs @ [x]) (ys @ [y])*  $\longleftrightarrow$   
 (*x = y*  $\wedge$  *suffix xs ys*  $\vee$  *sublist (xs @ [x]) ys*)  
 ⟨*proof*⟩

**lemma** *sublist-snoc*:  
*sublist xs (ys @ [y])*  $\longleftrightarrow$  *suffix xs (ys @ [y])*  $\vee$  *sublist xs ys*  
 ⟨*proof*⟩

**lemma** *sublist-imp-subseq* [*intro*]: *sublist xs ys*  $\implies$  *subseq xs ys*  
 ⟨*proof*⟩

## 47.13 Parametricity

context includes *lifting-syntax*  
begin

**private lemma** *prefix-primrec*:

$prefix = rec\text{-list } (\lambda xs. True) (\lambda x xs xsa ys.$   
 $case\ ys\ of\ [] \Rightarrow False \mid y \# ys \Rightarrow x = y \wedge xsa\ ys)$

*<proof>* **lemma** *sublist-primrec*:

$sublist = (\lambda xs\ ys. rec\text{-list } (\lambda xs. xs = []) (\lambda y\ ys\ ysa\ xs. prefix\ xs\ (y \# ys) \vee ysa$   
 $xs) ys xs)$

*<proof>* **lemma** *list-emb-primrec*:

$list\text{-emb} = (\lambda uu\ uua\ uuaa. rec\text{-list } (\lambda P\ xs. List.null\ xs) (\lambda y\ ys\ ysa\ P\ xs. case\ xs$   
 $of\ [] \Rightarrow True$

$\mid x \# xs \Rightarrow if\ P\ x\ y\ then\ ysa\ P\ xs\ else\ ysa\ P\ (x \# xs))\ uuaa\ uu\ uua)$

*<proof>*

**lemma** *prefix-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *bi-unique A*

**shows**  $(list\text{-all2 } A \implies list\text{-all2 } A \implies op =) prefix\ prefix$

*<proof>*

**lemma** *suffix-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *bi-unique A*

**shows**  $(list\text{-all2 } A \implies list\text{-all2 } A \implies op =) suffix\ suffix$

*<proof>*

**lemma** *sublist-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *bi-unique A*

**shows**  $(list\text{-all2 } A \implies list\text{-all2 } A \implies op =) sublist\ sublist$

*<proof>*

**lemma** *parallel-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *bi-unique A*

**shows**  $(list\text{-all2 } A \implies list\text{-all2 } A \implies op =) parallel\ parallel$

*<proof>*

**lemma** *list-emb-transfer* [*transfer-rule*]:

$((A \implies A \implies op =) \implies list\text{-all2 } A \implies list\text{-all2 } A \implies op =)$

*list-emb list-emb*

*<proof>*

**lemma** *strict-prefix-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *bi-unique A*

**shows**  $(list\text{-all2 } A \implies list\text{-all2 } A \implies op =) strict\text{-prefix } strict\text{-prefix}$

*<proof>*

**lemma** *strict-suffix-transfer* [*transfer-rule*]:



**assumes** [*transfer-rule*]: *bi-unique A*  
**shows** (*list-all2 A ===> list-all2 A ===> op =*) *strict-suffix strict-suffix*  
 ⟨*proof*⟩

**lemma** *strict-subseq-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows** (*list-all2 A ===> list-all2 A ===> op =*) *strict-subseq strict-subseq*  
 ⟨*proof*⟩

**lemma** *strict-sublist-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows** (*list-all2 A ===> list-all2 A ===> op =*) *strict-sublist strict-sublist*  
 ⟨*proof*⟩

**lemma** *prefixes-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows** (*list-all2 A ===> list-all2 (list-all2 A)*) *prefixes prefixes*  
 ⟨*proof*⟩

**lemma** *suffixes-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows** (*list-all2 A ===> list-all2 (list-all2 A)*) *suffixes suffixes*  
 ⟨*proof*⟩

**lemma** *sublists-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows** (*list-all2 A ===> list-all2 (list-all2 A)*) *sublists sublists*  
 ⟨*proof*⟩

**end**

**end**

## 48 Linear Temporal Logic on Streams

**theory** *Linear-Temporal-Logic-on-Streams*  
**imports** *Stream Sublist Extended-Nat Infinite-Set*  
**begin**

## 49 Preliminaries

**lemma** *shift-prefix*:  
**assumes** *xl @- xs = yl @- ys* **and** *length xl ≤ length yl*  
**shows** *prefix xl yl*  
 ⟨*proof*⟩

**lemma** *shift-prefix-cases*:  
**assumes** *xl @- xs = yl @- ys*

**shows**  $\text{prefix } xl \ yl \vee \text{prefix } yl \ xl$   
 ⟨*proof*⟩

## 50 Linear temporal logic

**abbreviation** (*input*) *IMPL* (**infix** *impl* 60)  
**where**  $\varphi \text{ impl } \psi \equiv \lambda \text{ xs. } \varphi \text{ xs} \longrightarrow \psi \text{ xs}$

**abbreviation** (*input*) *OR* (**infix** *or* 60)  
**where**  $\varphi \text{ or } \psi \equiv \lambda \text{ xs. } \varphi \text{ xs} \vee \psi \text{ xs}$

**abbreviation** (*input*) *AND* (**infix** *aand* 60)  
**where**  $\varphi \text{ aand } \psi \equiv \lambda \text{ xs. } \varphi \text{ xs} \wedge \psi \text{ xs}$

**abbreviation** (*input*) *not*  $\varphi \equiv \lambda \text{ xs. } \neg \varphi \text{ xs}$

**abbreviation** (*input*) *true*  $\equiv \lambda \text{ xs. True}$

**abbreviation** (*input*) *false*  $\equiv \lambda \text{ xs. False}$

**lemma** *impl-not-or*:  $\varphi \text{ impl } \psi = (\text{not } \varphi) \text{ or } \psi$   
 ⟨*proof*⟩

**lemma** *not-or*:  $\text{not } (\varphi \text{ or } \psi) = (\text{not } \varphi) \text{ aand } (\text{not } \psi)$   
 ⟨*proof*⟩

**lemma** *not-aand*:  $\text{not } (\varphi \text{ aand } \psi) = (\text{not } \varphi) \text{ or } (\text{not } \psi)$   
 ⟨*proof*⟩

**lemma** *non-not[simp]*:  $\text{not } (\text{not } \varphi) = \varphi$  ⟨*proof*⟩

**fun** *holds* **where**  $\text{holds } P \text{ xs} \longleftrightarrow P (\text{shd } \text{xs})$   
**fun** *next* **where**  $\text{next } \varphi \text{ xs} = \varphi (\text{stl } \text{xs})$

**definition** *HLD*  $s = \text{holds } (\lambda x. x \in s)$

**abbreviation** *HLD-next* (**infixr**  $\cdot$  65) **where**  
 $s \cdot P \equiv \text{HLD } s \text{ aand next } P$

**context**

**notes** [[*inductive-internals*]]

**begin**

**inductive** *ev* **for**  $\varphi$  **where**

*base*:  $\varphi \text{ xs} \implies \text{ev } \varphi \text{ xs}$

|

*step*:  $\text{ev } \varphi (\text{stl } \text{xs}) \implies \text{ev } \varphi \text{ xs}$

**coinductive** *alw* for  $\varphi$  where

*alw*:  $\llbracket \varphi \text{ } xs; \text{ } alw \text{ } \varphi \text{ } (stl \text{ } xs) \rrbracket \Longrightarrow alw \text{ } \varphi \text{ } xs$

**coinductive** *UNTIL* (**infix until 60**) for  $\varphi \text{ } \psi$  where

*base*:  $\psi \text{ } xs \Longrightarrow (\varphi \text{ } until \text{ } \psi) \text{ } xs$

|

*step*:  $\llbracket \varphi \text{ } xs; (\varphi \text{ } until \text{ } \psi) \text{ } (stl \text{ } xs) \rrbracket \Longrightarrow (\varphi \text{ } until \text{ } \psi) \text{ } xs$

**end**

**lemma** *holds-mono*:

**assumes** *holds*: *holds*  $P \text{ } xs$  **and**  $0$ :  $\bigwedge x. P \text{ } x \Longrightarrow Q \text{ } x$

**shows** *holds*  $Q \text{ } xs$

*<proof>*

**lemma** *holds-aand*:

*(holds*  $P \text{ } aand \text{ } holds \text{ } Q)$  *steps*  $\longleftrightarrow holds \text{ } (\lambda \text{ } step. P \text{ } step \wedge Q \text{ } step)$  *steps* *<proof>*

**lemma** *HLD-iff*: *HLD*  $s \text{ } \omega \longleftrightarrow shd \text{ } \omega \in s$

*<proof>*

**lemma** *HLD-Stream[simp]*: *HLD*  $X \text{ } (x \text{ } \#\#\text{ } \omega) \longleftrightarrow x \in X$

*<proof>*

**lemma** *next-mono*:

**assumes** *next*: *next*  $\varphi \text{ } xs$  **and**  $0$ :  $\bigwedge xs. \varphi \text{ } xs \Longrightarrow \psi \text{ } xs$

**shows** *next*  $\psi \text{ } xs$

*<proof>*

**declare** *ev.intros*[*intro*]

**declare** *alw.cases*[*elim*]

**lemma** *ev-induct-strong*[*consumes 1, case-names base step*]:

$ev \text{ } \varphi \text{ } x \Longrightarrow (\bigwedge xs. \varphi \text{ } xs \Longrightarrow P \text{ } xs) \Longrightarrow (\bigwedge xs. ev \text{ } \varphi \text{ } (stl \text{ } xs) \Longrightarrow \neg \varphi \text{ } xs \Longrightarrow P \text{ } (stl \text{ } xs)) \Longrightarrow P \text{ } xs \Longrightarrow P \text{ } x$

*<proof>*

**lemma** *alw-coinduct*[*consumes 1, case-names alw stl*]:

$X \text{ } x \Longrightarrow (\bigwedge x. X \text{ } x \Longrightarrow \varphi \text{ } x) \Longrightarrow (\bigwedge x. X \text{ } x \Longrightarrow \neg alw \text{ } \varphi \text{ } (stl \text{ } x) \Longrightarrow X \text{ } (stl \text{ } x)) \Longrightarrow alw \text{ } \varphi \text{ } x$

*<proof>*

**lemma** *ev-mono*:

**assumes** *ev*: *ev*  $\varphi \text{ } xs$  **and**  $0$ :  $\bigwedge xs. \varphi \text{ } xs \Longrightarrow \psi \text{ } xs$

**shows** *ev*  $\psi \text{ } xs$

*<proof>*

**lemma** *alw-mono*:

**assumes**  $alw: alw \varphi xs$  **and**  $0: \bigwedge xs. \varphi xs \implies \psi xs$   
**shows**  $alw \psi xs$   
 $\langle proof \rangle$

**lemma** *until-monoL*:  
**assumes** *until*:  $(\varphi 1 \text{ until } \psi) xs$  **and**  $0: \bigwedge xs. \varphi 1 xs \implies \varphi 2 xs$   
**shows**  $(\varphi 2 \text{ until } \psi) xs$   
 $\langle proof \rangle$

**lemma** *until-monoR*:  
**assumes** *until*:  $(\varphi \text{ until } \psi 1) xs$  **and**  $0: \bigwedge xs. \psi 1 xs \implies \psi 2 xs$   
**shows**  $(\varphi \text{ until } \psi 2) xs$   
 $\langle proof \rangle$

**lemma** *until-mono*:  
**assumes** *until*:  $(\varphi 1 \text{ until } \psi 1) xs$  **and**  
 $0: \bigwedge xs. \varphi 1 xs \implies \varphi 2 xs \wedge xs. \psi 1 xs \implies \psi 2 xs$   
**shows**  $(\varphi 2 \text{ until } \psi 2) xs$   
 $\langle proof \rangle$

**lemma** *until-false*:  $\varphi \text{ until false} = alw \varphi$   
 $\langle proof \rangle$

**lemma** *ev-nxt*:  $ev \varphi = (\varphi \text{ or } nxt (ev \varphi))$   
 $\langle proof \rangle$

**lemma** *alw-nxt*:  $alw \varphi = (\varphi \text{ aand } nxt (alw \varphi))$   
 $\langle proof \rangle$

**lemma** *ev-ev[simp]*:  $ev (ev \varphi) = ev \varphi$   
 $\langle proof \rangle$

**lemma** *alw-alw[simp]*:  $alw (alw \varphi) = alw \varphi$   
 $\langle proof \rangle$

**lemma** *ev-shift*:  
**assumes**  $ev \varphi xs$   
**shows**  $ev \varphi (xl @- xs)$   
 $\langle proof \rangle$

**lemma** *ev-imp-shift*:  
**assumes**  $ev \varphi xs$  **shows**  $\exists xl xs2. xs = xl @- xs2 \wedge \varphi xs2$   
 $\langle proof \rangle$

**lemma** *alw-ev-shift*:  $alw \varphi xs1 \implies ev (alw \varphi) (xl @- xs1)$   
 $\langle proof \rangle$

**lemma** *alw-shift*:  
**assumes**  $alw \varphi (xl @- xs)$

**shows**  $alw \ \varphi \ xs$   
 $\langle proof \rangle$

**lemma**  $ev\text{-}ex\text{-}next$ :  
**assumes**  $ev \ \varphi \ xs$   
**shows**  $\exists n. (next \ \hat{\hat{}} \ n) \ \varphi \ xs$   
 $\langle proof \rangle$

**lemma**  $alw\text{-}sdrop$ :  
**assumes**  $alw \ \varphi \ xs$  **shows**  $alw \ \varphi \ (sdrop \ n \ xs)$   
 $\langle proof \rangle$

**lemma**  $next\text{-}sdrop$ :  $(next \ \hat{\hat{}} \ n) \ \varphi \ xs \longleftrightarrow \varphi \ (sdrop \ n \ xs)$   
 $\langle proof \rangle$

**definition**  $wait \ \varphi \ xs \equiv LEAST \ n. (next \ \hat{\hat{}} \ n) \ \varphi \ xs$

**lemma**  $next\text{-}wait$ :  
**assumes**  $ev \ \varphi \ xs$  **shows**  $(next \ \hat{\hat{}} \ (wait \ \varphi \ xs)) \ \varphi \ xs$   
 $\langle proof \rangle$

**lemma**  $next\text{-}wait\text{-}least$ :  
**assumes**  $ev$ :  $ev \ \varphi \ xs$  **and**  $next$ :  $(next \ \hat{\hat{}} \ n) \ \varphi \ xs$  **shows**  $wait \ \varphi \ xs \leq n$   
 $\langle proof \rangle$

**lemma**  $sdrop\text{-}wait$ :  
**assumes**  $ev \ \varphi \ xs$  **shows**  $\varphi \ (sdrop \ (wait \ \varphi \ xs) \ xs)$   
 $\langle proof \rangle$

**lemma**  $sdrop\text{-}wait\text{-}least$ :  
**assumes**  $ev$ :  $ev \ \varphi \ xs$  **and**  $next$ :  $\varphi \ (sdrop \ n \ xs)$  **shows**  $wait \ \varphi \ xs \leq n$   
 $\langle proof \rangle$

**lemma**  $next\text{-}ev$ :  $(next \ \hat{\hat{}} \ n) \ \varphi \ xs \implies ev \ \varphi \ xs$   
 $\langle proof \rangle$

**lemma**  $not\text{-}ev$ :  $not \ (ev \ \varphi) = alw \ (not \ \varphi)$   
 $\langle proof \rangle$

**lemma**  $not\text{-}alw$ :  $not \ (alw \ \varphi) = ev \ (not \ \varphi)$   
 $\langle proof \rangle$

**lemma**  $not\text{-}ev\text{-}not[simp]$ :  $not \ (ev \ (not \ \varphi)) = alw \ \varphi$   
 $\langle proof \rangle$

**lemma**  $not\text{-}alw\text{-}not[simp]$ :  $not \ (alw \ (not \ \varphi)) = ev \ \varphi$   
 $\langle proof \rangle$

**lemma**  $alw\text{-}ev\text{-}sdrop$ :

**assumes**  $alw (ev \varphi) (sdrop\ m\ xs)$   
**shows**  $alw (ev \varphi) xs$   
 $\langle proof \rangle$

**lemma** *ev-alw-imp-alw-ev*:  
**assumes**  $ev (alw \varphi) xs$  **shows**  $alw (ev \varphi) xs$   
 $\langle proof \rangle$

**lemma** *alw-aand*:  $alw (\varphi\ aand\ \psi) = alw\ \varphi\ aand\ alw\ \psi$   
 $\langle proof \rangle$

**lemma** *ev-or*:  $ev (\varphi\ or\ \psi) = ev\ \varphi\ or\ ev\ \psi$   
 $\langle proof \rangle$

**lemma** *ev-alw-aand*:  
**assumes**  $\varphi: ev (alw \varphi) xs$  **and**  $\psi: ev (alw \psi) xs$   
**shows**  $ev (alw (\varphi\ aand\ \psi)) xs$   
 $\langle proof \rangle$

**lemma** *ev-alw-alw-impl*:  
**assumes**  $ev (alw \varphi) xs$  **and**  $alw (alw \varphi\ impl\ ev\ \psi) xs$   
**shows**  $ev\ \psi\ xs$   
 $\langle proof \rangle$

**lemma** *ev-alw-stl[simp]*:  $ev (alw \varphi) (stl\ x) \longleftrightarrow ev (alw \varphi) x$   
 $\langle proof \rangle$

**lemma** *alw-alw-impl-ev*:  
 $alw (alw \varphi\ impl\ ev\ \psi) = (ev (alw \varphi)\ impl\ alw (ev\ \psi))$  (**is**  $?A = ?B$ )  
 $\langle proof \rangle$

**lemma** *ev-alw-impl*:  
**assumes**  $ev\ \varphi\ xs$  **and**  $alw (\varphi\ impl\ \psi) xs$  **shows**  $ev\ \psi\ xs$   
 $\langle proof \rangle$

**lemma** *ev-alw-impl-ev*:  
**assumes**  $ev\ \varphi\ xs$  **and**  $alw (\varphi\ impl\ ev\ \psi) xs$  **shows**  $ev\ \psi\ xs$   
 $\langle proof \rangle$

**lemma** *alw-mp*:  
**assumes**  $alw\ \varphi\ xs$  **and**  $alw (\varphi\ impl\ \psi) xs$   
**shows**  $alw\ \psi\ xs$   
 $\langle proof \rangle$

**lemma** *all-imp-alw*:  
**assumes**  $\bigwedge xs. \varphi\ xs$  **shows**  $alw\ \varphi\ xs$   
 $\langle proof \rangle$

**lemma** *alw-impl-ev-alw*:

**assumes**  $alw (\varphi \text{ impl } ev \psi) xs$   
**shows**  $alw (ev \varphi \text{ impl } ev \psi) xs$   
 $\langle proof \rangle$

**lemma** *ev-holds-sset*:  
 $ev (\text{holds } P) xs \longleftrightarrow (\exists x \in sset\ xs. P\ x)$  (**is**  $?L \longleftrightarrow ?R$ )  
 $\langle proof \rangle$

**lemma** *alw-invar*:  
**assumes**  $\varphi xs$  **and**  $alw (\varphi \text{ impl } next\ \varphi) xs$   
**shows**  $alw\ \varphi\ xs$   
 $\langle proof \rangle$

**lemma** *variance*:  
**assumes**  $1: \varphi xs$  **and**  $2: alw (\varphi \text{ impl } (\psi \text{ or } next\ \varphi)) xs$   
**shows**  $(alw\ \varphi \text{ or } ev\ \psi) xs$   
 $\langle proof \rangle$

**lemma** *ev-alw-imp-next*:  
**assumes**  $e: ev\ \varphi\ xs$  **and**  $a: alw (\varphi \text{ impl } (next\ \varphi)) xs$   
**shows**  $ev (alw\ \varphi) xs$   
 $\langle proof \rangle$

**inductive** *ev-at* ::  $('a\ stream \Rightarrow bool) \Rightarrow nat \Rightarrow 'a\ stream \Rightarrow bool$  **for**  $P :: 'a\ stream \Rightarrow bool$  **where**  
*base*:  $P\ \omega \Longrightarrow ev\text{-at}\ P\ 0\ \omega$   
*step*:  $\neg P\ \omega \Longrightarrow ev\text{-at}\ P\ n\ (stl\ \omega) \Longrightarrow ev\text{-at}\ P\ (Suc\ n)\ \omega$

**inductive-simps** *ev-at-0*[simp]:  $ev\text{-at}\ P\ 0\ \omega$   
**inductive-simps** *ev-at-Suc*[simp]:  $ev\text{-at}\ P\ (Suc\ n)\ \omega$

**lemma** *ev-at-imp-snth*:  $ev\text{-at}\ P\ n\ \omega \Longrightarrow P\ (sdrop\ n\ \omega)$   
 $\langle proof \rangle$

**lemma** *ev-at-HLD-imp-snth*:  $ev\text{-at}\ (HLD\ X)\ n\ \omega \Longrightarrow \omega !! n \in X$   
 $\langle proof \rangle$

**lemma** *ev-at-HLD-single-imp-snth*:  $ev\text{-at}\ (HLD\ \{x\})\ n\ \omega \Longrightarrow \omega !! n = x$   
 $\langle proof \rangle$

**lemma** *ev-at-unique*:  $ev\text{-at}\ P\ n\ \omega \Longrightarrow ev\text{-at}\ P\ m\ \omega \Longrightarrow n = m$   
 $\langle proof \rangle$

**lemma** *ev-iff-ev-at*:  $ev\ P\ \omega \longleftrightarrow (\exists n. ev\text{-at}\ P\ n\ \omega)$   
 $\langle proof \rangle$

**lemma** *ev-at-shift*:  $ev\text{-at}\ (HLD\ X)\ i\ (stake\ (Suc\ i)\ \omega @-\ \omega' :: 's\ stream) \longleftrightarrow$

*ev-at (HLD X) i ω*  
 ⟨proof⟩

**lemma** *ev-iff-ev-at-unique*:  $ev P ω \longleftrightarrow (\exists! n. ev-at P n ω)$   
 ⟨proof⟩

**lemma** *alw-HLD-iff-streams*:  $alw (HLD X) ω \longleftrightarrow ω \in streams X$   
 ⟨proof⟩

**lemma** *not-HLD*:  $not (HLD X) = HLD (- X)$   
 ⟨proof⟩

**lemma** *not-alw-iff*:  $\neg (alw P ω) \longleftrightarrow ev (not P) ω$   
 ⟨proof⟩

**lemma** *not-ev-iff*:  $\neg (ev P ω) \longleftrightarrow alw (not P) ω$   
 ⟨proof⟩

**lemma** *ev-Stream*:  $ev P (x \#\# s) \longleftrightarrow P (x \#\# s) \vee ev P s$   
 ⟨proof⟩

**lemma** *alw-ev-imp-ev-alw*:  
**assumes**  $alw (ev P) ω$  **shows**  $ev (P \text{ and } alw (ev P)) ω$   
 ⟨proof⟩

**lemma** *ev-False*:  $ev (\lambda x. False) ω \longleftrightarrow False$   
 ⟨proof⟩

**lemma** *alw-False*:  $alw (\lambda x. False) ω \longleftrightarrow False$   
 ⟨proof⟩

**lemma** *ev-iff-sdrop*:  $ev P ω \longleftrightarrow (\exists m. P (sdrop m ω))$   
 ⟨proof⟩

**lemma** *alw-iff-sdrop*:  $alw P ω \longleftrightarrow (\forall m. P (sdrop m ω))$   
 ⟨proof⟩

**lemma** *infinite-iff-alw-ev*:  $infinite \{m. P (sdrop m ω)\} \longleftrightarrow alw (ev P) ω$   
 ⟨proof⟩

**lemma** *alw-inv*:  
**assumes**  $stl: \bigwedge s. f (stl s) = stl (f s)$   
**shows**  $alw P (f s) \longleftrightarrow alw (\lambda x. P (f x)) s$   
 ⟨proof⟩

**lemma** *ev-inv*:  
**assumes**  $stl: \bigwedge s. f (stl s) = stl (f s)$   
**shows**  $ev P (f s) \longleftrightarrow ev (\lambda x. P (f x)) s$   
 ⟨proof⟩



**lemma** *alw-smap*:  $alw P (smap f s) \longleftrightarrow alw (\lambda x. P (smap f x)) s$   
 ⟨proof⟩

**lemma** *ev-smap*:  $ev P (smap f s) \longleftrightarrow ev (\lambda x. P (smap f x)) s$   
 ⟨proof⟩

**lemma** *alw-cong*:  
**assumes**  $P: alw P \omega$  **and**  $eq: \bigwedge \omega. P \omega \implies Q1 \omega \longleftrightarrow Q2 \omega$   
**shows**  $alw Q1 \omega \longleftrightarrow alw Q2 \omega$   
 ⟨proof⟩

**lemma** *ev-cong*:  
**assumes**  $P: alw P \omega$  **and**  $eq: \bigwedge \omega. P \omega \implies Q1 \omega \longleftrightarrow Q2 \omega$   
**shows**  $ev Q1 \omega \longleftrightarrow ev Q2 \omega$   
 ⟨proof⟩

**lemma** *alwD*:  $alw P x \implies P x$   
 ⟨proof⟩

**lemma** *alw-alwD*:  $alw P \omega \implies alw (alw P) \omega$   
 ⟨proof⟩

**lemma** *alw-ev-stl*:  $alw (ev P) (stl \omega) \longleftrightarrow alw (ev P) \omega$   
 ⟨proof⟩

**lemma** *holds-Stream*:  $holds P (x \#\# s) \longleftrightarrow P x$   
 ⟨proof⟩

**lemma** *holds-eq1[simp]*:  $holds (op = x) = HLD \{x\}$   
 ⟨proof⟩

**lemma** *holds-eq2[simp]*:  $holds (\lambda y. y = x) = HLD \{x\}$   
 ⟨proof⟩

**lemma** *not-holds-eq[simp]*:  $holds (- op = x) = not (HLD \{x\})$   
 ⟨proof⟩

Strong until

**context**

**notes** [[*inductive-internals*]]

**begin**

**inductive** *suntil* (**infix** *suntil* 60) **for**  $\varphi \psi$  **where**

*base*:  $\psi \omega \implies (\varphi \text{ suntil } \psi) \omega$

| *step*:  $\varphi \omega \implies (\varphi \text{ suntil } \psi) (stl \omega) \implies (\varphi \text{ suntil } \psi) \omega$

**inductive-simps** *suntil-Stream*:  $(\varphi \text{ suntil } \psi) (x \#\# s)$

**end**

**lemma** *suntil-induct-strong*[*consumes 1, case-names base step*]:

$$\begin{aligned} & (\varphi \text{ suntil } \psi) x \implies \\ & \quad (\bigwedge \omega. \psi \omega \implies P \omega) \implies \\ & \quad (\bigwedge \omega. \varphi \omega \implies \neg \psi \omega \implies (\varphi \text{ suntil } \psi) (\text{stl } \omega) \implies P (\text{stl } \omega) \implies P \omega) \implies P x \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *ev-suntil*:  $(\varphi \text{ suntil } \psi) \omega \implies \text{ev } \psi \omega$

$\langle \text{proof} \rangle$

**lemma** *suntil-inv*:

**assumes** *stl*:  $\bigwedge s. f (\text{stl } s) = \text{stl } (f s)$

**shows**  $(P \text{ suntil } Q) (f s) \longleftrightarrow ((\lambda x. P (f x)) \text{ suntil } (\lambda x. Q (f x))) s$

$\langle \text{proof} \rangle$

**lemma** *suntil-smap*:  $(P \text{ suntil } Q) (\text{smap } f s) \longleftrightarrow ((\lambda x. P (\text{smap } f x)) \text{ suntil } (\lambda x. Q (\text{smap } f x))) s$

$\langle \text{proof} \rangle$

**lemma** *hld-smap*:  $\text{HLD } x (\text{smap } f s) = \text{holds } (\lambda y. f y \in x) s$

$\langle \text{proof} \rangle$

**lemma** *suntil-mono*:

**assumes** *eq*:  $\bigwedge \omega. P \omega \implies Q1 \omega \implies Q2 \omega \bigwedge \omega. P \omega \implies R1 \omega \implies R2 \omega$

**assumes** *\**:  $(Q1 \text{ suntil } R1) \omega \text{ alw } P \omega$  **shows**  $(Q2 \text{ suntil } R2) \omega$

$\langle \text{proof} \rangle$

**lemma** *suntil-cong*:

$\text{alw } P \omega \implies (\bigwedge \omega. P \omega \implies Q1 \omega \longleftrightarrow Q2 \omega) \implies (\bigwedge \omega. P \omega \implies R1 \omega \longleftrightarrow R2 \omega) \implies$

$(Q1 \text{ suntil } R1) \omega \longleftrightarrow (Q2 \text{ suntil } R2) \omega$

$\langle \text{proof} \rangle$

**lemma** *ev-suntil-iff*:  $\text{ev } (P \text{ suntil } Q) \omega \longleftrightarrow \text{ev } Q \omega$

$\langle \text{proof} \rangle$

**lemma** *true-suntil*:  $((\lambda -. \text{True}) \text{ suntil } P) = \text{ev } P$

$\langle \text{proof} \rangle$

**lemma** *suntil-lfp*:  $(\varphi \text{ suntil } \psi) = \text{lfp } (\lambda P s. \psi s \vee (\varphi s \wedge P (\text{stl } s)))$

$\langle \text{proof} \rangle$

**lemma** *sfilter-P[simp]*:  $P (\text{shd } s) \implies \text{sfilter } P s = \text{shd } s \#\#\text{sfilter } P (\text{stl } s)$

$\langle \text{proof} \rangle$

**lemma** *sfilter-not-P[simp]*:  $\neg P (\text{shd } s) \implies \text{sfilter } P s = \text{sfilter } P (\text{stl } s)$

$\langle \text{proof} \rangle$

**lemma** *sfilter-eq*:

**assumes**  $ev$  ( $holds\ P$ )  $s$

**shows**  $sfilter\ P\ s = x\ \#\#\ s' \longleftrightarrow$

$P\ x \wedge (not\ (holds\ P)\ suntil\ (HLD\ \{x\}\ aand\ next\ (\lambda s. sfilter\ P\ s = s')))\ s$

$\langle proof \rangle$

**lemma** *sfilter-streams*:

$alw\ (ev\ (holds\ P))\ \omega \implies \omega \in streams\ A \implies sfilter\ P\ \omega \in streams\ \{x \in A. P\ x\}$

$\langle proof \rangle$

**lemma** *alw-sfilter*:

**assumes**  $*$ :  $alw\ (ev\ (holds\ P))\ s$

**shows**  $alw\ Q\ (sfilter\ P\ s) \longleftrightarrow alw\ (\lambda x. Q\ (sfilter\ P\ x))\ s$

$\langle proof \rangle$

**lemma** *ev-sfilter*:

**assumes**  $*$ :  $alw\ (ev\ (holds\ P))\ s$

**shows**  $ev\ Q\ (sfilter\ P\ s) \longleftrightarrow ev\ (\lambda x. Q\ (sfilter\ P\ x))\ s$

$\langle proof \rangle$

**lemma** *holds-sfilter*:

**assumes**  $ev\ (holds\ Q)\ s$  **shows**  $holds\ P\ (sfilter\ Q\ s) \longleftrightarrow (not\ (holds\ Q)\ suntil\ (holds\ (Q\ aand\ P)))\ s$

$\langle proof \rangle$

**lemma** *suntil-aand-next*:

$(\varphi\ suntil\ (\varphi\ aand\ next\ \psi))\ \omega \longleftrightarrow (\varphi\ aand\ next\ (\varphi\ suntil\ \psi))\ \omega$

$\langle proof \rangle$

**lemma** *alw-sconst*:  $alw\ P\ (sconst\ x) \longleftrightarrow P\ (sconst\ x)$

$\langle proof \rangle$

**lemma** *ev-sconst*:  $ev\ P\ (sconst\ x) \longleftrightarrow P\ (sconst\ x)$

$\langle proof \rangle$

**lemma** *suntil-sconst*:  $(\varphi\ suntil\ \psi)\ (sconst\ x) \longleftrightarrow \psi\ (sconst\ x)$

$\langle proof \rangle$

**lemma** *hld-smap'*:  $HLD\ x\ (smap\ f\ s) = HLD\ (f\ -'x)\ s$

$\langle proof \rangle$

**lemma** *pigeonhole-stream*:

**assumes**  $alw\ (HLD\ s)\ \omega$

**assumes**  $finite\ s$

**shows**  $\exists x \in s. alw\ (ev\ (HLD\ \{x\}))\ \omega$

$\langle proof \rangle$

**lemma** *ev-eq-suntil*:  $ev\ P\ \omega \longleftrightarrow (not\ P\ suntil\ P)\ \omega$

$\langle proof \rangle$

end

## 51 Lists as vectors

**theory** *ListVector*  
**imports** *HOL.List Main*  
**begin**

A vector-space like structure of lists and arithmetic operations on them. Is only a vector space if restricted to lists of the same length.

Multiplication with a scalar:

**abbreviation** *scale* :: (*'a::times*)  $\Rightarrow$  *'a list*  $\Rightarrow$  *'a list* (**infix**  $*_s$  70)  
**where**  $x *_s xs \equiv \text{map } (op * x) xs$

**lemma** *scale1[simp]*: ( $1::'a::monoid-mult$ )  $*_s xs = xs$   
 $\langle proof \rangle$

### 51.1 + and -

**fun** *zipwith0* :: (*'a::zero*  $\Rightarrow$  *'b::zero*  $\Rightarrow$  *'c*)  $\Rightarrow$  *'a list*  $\Rightarrow$  *'b list*  $\Rightarrow$  *'c list*  
**where**  
*zipwith0* *f* [] [] = [] |  
*zipwith0* *f* (*x#xs*) (*y#ys*) = *f x y* # *zipwith0 f xs ys* |  
*zipwith0* *f* (*x#xs*) [] = *f x 0* # *zipwith0 f xs []* |  
*zipwith0* *f* [] (*y#ys*) = *f 0 y* # *zipwith0 f [] ys*

**instantiation** *list* :: (*{zero, plus}*) *plus*  
**begin**

**definition**  
*list-add-def*:  $op + = \text{zipwith0 } (op +)$

**instance**  $\langle proof \rangle$

end

**instantiation** *list* :: (*{zero, uminus}*) *uminus*  
**begin**

**definition**  
*list-uminus-def*:  $uminus = \text{map } uminus$

**instance**  $\langle proof \rangle$

end

**instantiation** *list* :: (*{zero, minus}*) *minus*

**begin**

**definition**

*list-diff-def*:  $op - = zipwith0 (op -)$

**instance**  $\langle proof \rangle$

**end**

**lemma** *zipwith0-Nil[simp]*:  $zipwith0 f [] ys = map (f 0) ys$   
 $\langle proof \rangle$

**lemma** *list-add-Nil[simp]*:  $[] + xs = (xs :: 'a :: monoid-add list)$   
 $\langle proof \rangle$

**lemma** *list-add-Nil2[simp]*:  $xs + [] = (xs :: 'a :: monoid-add list)$   
 $\langle proof \rangle$

**lemma** *list-add-Cons[simp]*:  $(x \# xs) + (y \# ys) = (x + y) \# (xs + ys)$   
 $\langle proof \rangle$

**lemma** *list-diff-Nil[simp]*:  $[] - xs = -(xs :: 'a :: group-add list)$   
 $\langle proof \rangle$

**lemma** *list-diff-Nil2[simp]*:  $xs - [] = (xs :: 'a :: group-add list)$   
 $\langle proof \rangle$

**lemma** *list-diff-Cons-Cons[simp]*:  $(x \# xs) - (y \# ys) = (x - y) \# (xs - ys)$   
 $\langle proof \rangle$

**lemma** *list-uminus-Cons[simp]*:  $-(x \# xs) = (-x) \# (-xs)$   
 $\langle proof \rangle$

**lemma** *self-list-diff*:

$xs - xs = replicate (length(xs :: 'a :: group-add list)) 0$   
 $\langle proof \rangle$

**lemma** *list-add-assoc*: **fixes**  $xs :: 'a :: monoid-add list$

**shows**  $(xs + ys) + zs = xs + (ys + zs)$   
 $\langle proof \rangle$

## 51.2 Inner product

**definition** *iproduct* ::  $'a :: ring list \Rightarrow 'a list \Rightarrow 'a (\langle -, - \rangle)$  **where**  
 $\langle xs, ys \rangle = (\sum (x, y) \leftarrow zip xs ys. x * y)$

**lemma** *iproduct-Nil[simp]*:  $\langle [], ys \rangle = 0$   
 $\langle proof \rangle$

**lemma** *iprod-Nil2[simp]*:  $\langle xs, [] \rangle = 0$   
 $\langle proof \rangle$

**lemma** *iprod-Cons[simp]*:  $\langle x \# xs, y \# ys \rangle = x * y + \langle xs, ys \rangle$   
 $\langle proof \rangle$

**lemma** *iprod0-if-coeffs0*:  $\forall c \in set\ cs. c = 0 \implies \langle cs, xs \rangle = 0$   
 $\langle proof \rangle$

**lemma** *iprod-uminus[simp]*:  $\langle -xs, ys \rangle = -\langle xs, ys \rangle$   
 $\langle proof \rangle$

**lemma** *iprod-left-add-distrib*:  $\langle xs + ys, zs \rangle = \langle xs, zs \rangle + \langle ys, zs \rangle$   
 $\langle proof \rangle$

**lemma** *iprod-left-diff-distrib*:  $\langle xs - ys, zs \rangle = \langle xs, zs \rangle - \langle ys, zs \rangle$   
 $\langle proof \rangle$

**lemma** *iprod-assoc*:  $\langle x *_s xs, ys \rangle = x * \langle xs, ys \rangle$   
 $\langle proof \rangle$

end

## 52 Definitions of Least Upper Bounds and Greatest Lower Bounds

**theory** *Lub-Glb*  
**imports** *Complex-Main*  
**begin**

Thanks to suggestions by James Margetson

**definition** *setle* ::  $'a\ set \Rightarrow 'a::ord \Rightarrow bool$  (**infixl**  $*\leq$  70)  
**where**  $S * \leq x = (ALL\ y: S. y \leq x)$

**definition** *setge* ::  $'a::ord \Rightarrow 'a\ set \Rightarrow bool$  (**infixl**  $\leq*$  70)  
**where**  $x \leq* S = (ALL\ y: S. x \leq y)$

### 52.1 Rules for the Relations $*\leq$ and $\leq*$

**lemma** *setleI*:  $ALL\ y: S. y \leq x \implies S * \leq x$   
 $\langle proof \rangle$

**lemma** *setleD*:  $S * \leq x \implies y: S \implies y \leq x$   
 $\langle proof \rangle$

**lemma** *setgeI*:  $ALL\ y: S. x \leq y \implies x \leq* S$   
 $\langle proof \rangle$

**lemma** *setgeD*:  $x \leq_* S \implies y: S \implies x \leq y$   
 ⟨*proof*⟩

**definition** *leastP* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a::\text{ord} \Rightarrow \text{bool}$   
 where  $\text{leastP } P \ x = (P \ x \wedge x \leq_* \text{Collect } P)$

**definition** *isUb* ::  $'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow 'a::\text{ord} \Rightarrow \text{bool}$   
 where  $\text{isUb } R \ S \ x = (S \ * \leq \ x \wedge x: R)$

**definition** *isLub* ::  $'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow 'a::\text{ord} \Rightarrow \text{bool}$   
 where  $\text{isLub } R \ S \ x = \text{leastP } (\text{isUb } R \ S) \ x$

**definition** *ubs* ::  $'a \text{ set} \Rightarrow 'a::\text{ord} \text{ set} \Rightarrow 'a \text{ set}$   
 where  $\text{ubs } R \ S = \text{Collect } (\text{isUb } R \ S)$

## 52.2 Rules about the Operators *leastP*, *ub* and *lub*

**lemma** *leastPD1*:  $\text{leastP } P \ x \implies P \ x$   
 ⟨*proof*⟩

**lemma** *leastPD2*:  $\text{leastP } P \ x \implies x \leq_* \text{Collect } P$   
 ⟨*proof*⟩

**lemma** *leastPD3*:  $\text{leastP } P \ x \implies y: \text{Collect } P \implies x \leq y$   
 ⟨*proof*⟩

**lemma** *isLubD1*:  $\text{isLub } R \ S \ x \implies S \ * \leq \ x$   
 ⟨*proof*⟩

**lemma** *isLubD1a*:  $\text{isLub } R \ S \ x \implies x: R$   
 ⟨*proof*⟩

**lemma** *isLub-isUb*:  $\text{isLub } R \ S \ x \implies \text{isUb } R \ S \ x$   
 ⟨*proof*⟩

**lemma** *isLubD2*:  $\text{isLub } R \ S \ x \implies y: S \implies y \leq x$   
 ⟨*proof*⟩

**lemma** *isLubD3*:  $\text{isLub } R \ S \ x \implies \text{leastP } (\text{isUb } R \ S) \ x$   
 ⟨*proof*⟩

**lemma** *isLubI1*:  $\text{leastP}(\text{isUb } R \ S) \ x \implies \text{isLub } R \ S \ x$   
 ⟨*proof*⟩

**lemma** *isLubI2*:  $\text{isUb } R \ S \ x \implies x \leq_* \text{Collect } (\text{isUb } R \ S) \implies \text{isLub } R \ S \ x$   
 ⟨*proof*⟩

**lemma** *isUbD*:  $\text{isUb } R \ S \ x \implies y: S \implies y \leq x$

*<proof>*

**lemma** *isUbd2*:  $isUb\ R\ S\ x \implies S\ *<= x$   
*<proof>*

**lemma** *isUbd2a*:  $isUb\ R\ S\ x \implies x: R$   
*<proof>*

**lemma** *isUbdI*:  $S\ *<= x \implies x: R \implies isUb\ R\ S\ x$   
*<proof>*

**lemma** *isLub-le-isUb*:  $isLub\ R\ S\ x \implies isUb\ R\ S\ y \implies x \leq y$   
*<proof>*

**lemma** *isLub-ubs*:  $isLub\ R\ S\ x \implies x <=*\ ubs\ R\ S$   
*<proof>*

**lemma** *isLub-unique*:  $[| isLub\ R\ S\ x; isLub\ R\ S\ y |] \implies x = (y::'a::linorder)$   
*<proof>*

**lemma** *isUb-UNIV-I*:  $(\bigwedge y. y \in S \implies y \leq u) \implies isUb\ UNIV\ S\ u$   
*<proof>*

**definition** *greatestP* ::  $('a \Rightarrow bool) \Rightarrow 'a::ord \Rightarrow bool$   
**where** *greatestP*  $P\ x = (P\ x \wedge Collect\ P\ *<= x)$

**definition** *isLb* ::  $'a\ set \Rightarrow 'a\ set \Rightarrow 'a::ord \Rightarrow bool$   
**where** *isLb*  $R\ S\ x = (x <=* S \wedge x: R)$

**definition** *isGlb* ::  $'a\ set \Rightarrow 'a\ set \Rightarrow 'a::ord \Rightarrow bool$   
**where** *isGlb*  $R\ S\ x = greatestP\ (isLb\ R\ S)\ x$

**definition** *lbs* ::  $'a\ set \Rightarrow 'a::ord\ set \Rightarrow 'a\ set$   
**where** *lbs*  $R\ S = Collect\ (isLb\ R\ S)$

### 52.3 Rules about the Operators *greatestP*, *isLb* and *isGlb*

**lemma** *greatestPD1*:  $greatestP\ P\ x \implies P\ x$   
*<proof>*

**lemma** *greatestPD2*:  $greatestP\ P\ x \implies Collect\ P\ *<= x$   
*<proof>*

**lemma** *greatestPD3*:  $greatestP\ P\ x \implies y: Collect\ P \implies x \geq y$   
*<proof>*

**lemma** *isGlbD1*:  $isGlb\ R\ S\ x \implies x <=* S$   
*<proof>*



**lemma** *isGlbD1a*:  $isGlb\ R\ S\ x \implies x: R$   
 ⟨proof⟩

**lemma** *isGlb-isLb*:  $isGlb\ R\ S\ x \implies isLb\ R\ S\ x$   
 ⟨proof⟩

**lemma** *isGlbD2*:  $isGlb\ R\ S\ x \implies y : S \implies y \geq x$   
 ⟨proof⟩

**lemma** *isGlbD3*:  $isGlb\ R\ S\ x \implies greatestP\ (isLb\ R\ S)\ x$   
 ⟨proof⟩

**lemma** *isGlbI1*:  $greatestP\ (isLb\ R\ S)\ x \implies isGlb\ R\ S\ x$   
 ⟨proof⟩

**lemma** *isGlbI2*:  $isLb\ R\ S\ x \implies Collect\ (isLb\ R\ S)\ *<= x \implies isGlb\ R\ S\ x$   
 ⟨proof⟩

**lemma** *isLbD*:  $isLb\ R\ S\ x \implies y : S \implies y \geq x$   
 ⟨proof⟩

**lemma** *isLbD2*:  $isLb\ R\ S\ x \implies x <=* S$   
 ⟨proof⟩

**lemma** *isLbD2a*:  $isLb\ R\ S\ x \implies x: R$   
 ⟨proof⟩

**lemma** *isLbI*:  $x <=* S \implies x: R \implies isLb\ R\ S\ x$   
 ⟨proof⟩

**lemma** *isGlb-le-isLb*:  $isGlb\ R\ S\ x \implies isLb\ R\ S\ y \implies x \geq y$   
 ⟨proof⟩

**lemma** *isGlb-ubs*:  $isGlb\ R\ S\ x \implies lbs\ R\ S\ *<= x$   
 ⟨proof⟩

**lemma** *isGlb-unique*:  $[\ [ isGlb\ R\ S\ x; isGlb\ R\ S\ y ] ] \implies x = (y::'a::linorder)$   
 ⟨proof⟩

**lemma** *bdd-above-settle*:  $bdd-above\ A \longleftrightarrow (\exists a. A *<= a)$   
 ⟨proof⟩

**lemma** *bdd-below-setge*:  $bdd-below\ A \longleftrightarrow (\exists a. a <=* A)$   
 ⟨proof⟩

**lemma** *isLub-cSup*:

$(S::'a :: conditionally-complete-lattice\ set) \neq \{\} \implies (\exists b. S *<= b) \implies isLub\ UNIV\ S\ (Sup\ S)$

*<proof>*

**lemma** *isGlb-cInf*:

$(S::'a :: \text{conditionally-complete-lattice set}) \neq \{\} \implies (\exists b. b \leq_* S) \implies \text{isGlb UNIV } S \text{ (Inf } S)$   
*<proof>*

**lemma** *cSup-le*:  $(S::'a :: \text{conditionally-complete-lattice set}) \neq \{\} \implies S \leq_* b \implies \text{Sup } S \leq b$   
*<proof>*

**lemma** *cInf-ge*:  $(S::'a :: \text{conditionally-complete-lattice set}) \neq \{\} \implies b \leq_* S \implies \text{Inf } S \geq b$   
*<proof>*

**lemma** *cSup-bounds*:

**fixes**  $S :: 'a :: \text{conditionally-complete-lattice set}$

**shows**  $S \neq \{\} \implies a \leq_* S \implies S \leq_* b \implies a \leq \text{Sup } S \wedge \text{Sup } S \leq b$   
*<proof>*

**lemma** *cSup-unique*:  $(S::'a :: \{\text{conditionally-complete-linorder, no-bot}\} \text{ set}) \leq_* b \implies (\forall b' < b. \exists x \in S. b' < x) \implies \text{Sup } S = b$   
*<proof>*

**lemma** *cInf-unique*:  $b \leq_* (S::'a :: \{\text{conditionally-complete-linorder, no-top}\} \text{ set}) \implies (\forall b' > b. \exists x \in S. b' > x) \implies \text{Inf } S = b$   
*<proof>*

Use completeness of reals (supremum property) to show that any bounded sequence has a least upper bound

**lemma** *reals-complete*:  $\exists X. X \in S \implies \exists Y. \text{isUb (UNIV::real set) } S Y \implies \exists t. \text{isLub (UNIV::real set) } S t$   
*<proof>*

**lemma** *Bseq-isUb*:  $\bigwedge X :: \text{nat} \Rightarrow \text{real}. \text{Bseq } X \implies \exists U. \text{isUb (UNIV::real set) } \{x. \exists n. X n = x\} U$   
*<proof>*

**lemma** *Bseq-isLub*:  $\bigwedge X :: \text{nat} \Rightarrow \text{real}. \text{Bseq } X \implies \exists U. \text{isLub (UNIV::real set) } \{x. \exists n. X n = x\} U$   
*<proof>*

**lemma** *isLub-mono-imp-LIMSEQ*:

**fixes**  $X :: \text{nat} \Rightarrow \text{real}$

**assumes**  $u: \text{isLub UNIV } \{x. \exists n. X n = x\} u$

**assumes**  $X: \forall m n. m \leq n \longrightarrow X m \leq X n$

**shows**  $X \longrightarrow u$

*<proof>*

**lemmas** *real-isGlb-unique* = *isGlb-unique*[**where** 'a=*real*]

**lemma** *real-le-inf-subset*:  $t \neq \{\}$   $\implies t \subseteq s \implies \exists b. b <=* s \implies \text{Inf } s \leq \text{Inf } (t::\text{real set})$   
 ⟨*proof*⟩

**lemma** *real-ge-sup-subset*:  $t \neq \{\}$   $\implies t \subseteq s \implies \exists b. s *<= b \implies \text{Sup } s \geq \text{Sup } (t::\text{real set})$   
 ⟨*proof*⟩

**end**

## 53 An abstract view on maps for code generation.

**theory** *Mapping*  
**imports** *Main*  
**begin**

### 53.1 Parametricity transfer rules

**lemma** *map-of-foldr*:  $\text{map-of } xs = \text{foldr } (\lambda(k, v) m. m(k \mapsto v)) \text{ } xs \text{ Map.empty}$   
 ⟨*proof*⟩

**context includes** *lifting-syntax*  
**begin**

**lemma** *empty-parametric*:  $(A \text{ ===> } \text{rel-option } B) \text{ Map.empty Map.empty}$   
 ⟨*proof*⟩

**lemma** *lookup-parametric*:  $((A \text{ ===> } B) \text{ ===> } A \text{ ===> } B) (\lambda m k. m k) (\lambda m k. m k)$   
 ⟨*proof*⟩

**lemma** *update-parametric*:  
**assumes** [*transfer-rule*]: *bi-unique* *A*  
**shows**  $(A \text{ ===> } B \text{ ===> } (A \text{ ===> } \text{rel-option } B) \text{ ===> } A \text{ ===> } \text{rel-option } B)$   
 $(\lambda k v m. m(k \mapsto v)) (\lambda k v m. m(k \mapsto v))$   
 ⟨*proof*⟩

**lemma** *delete-parametric*:  
**assumes** [*transfer-rule*]: *bi-unique* *A*  
**shows**  $(A \text{ ===> } (A \text{ ===> } \text{rel-option } B) \text{ ===> } A \text{ ===> } \text{rel-option } B)$   
 $(\lambda k m. m(k := \text{None})) (\lambda k m. m(k := \text{None}))$   
 ⟨*proof*⟩

**lemma** *is-none-parametric* [*transfer-rule*]:  
 $(\text{rel-option } A \text{ ===> } \text{HOL.eq}) \text{ Option.is-none Option.is-none}$   
 ⟨*proof*⟩

**lemma** *dom-parametric*:

**assumes** [*transfer-rule*]: *bi-total A*

**shows**  $((A \text{====>} \text{rel-option } B) \text{====>} \text{rel-set } A) \text{ dom dom}$

*<proof>*

**lemma** *map-of-parametric* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *bi-unique R1*

**shows**  $(\text{list-all2 } (\text{rel-prod } R1 \ R2) \text{====>} R1 \text{====>} \text{rel-option } R2) \text{ map-of}$   
*map-of*

*<proof>*

**lemma** *map-entry-parametric* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *bi-unique A*

**shows**  $(A \text{====>} (B \text{====>} B) \text{====>} (A \text{====>} \text{rel-option } B) \text{====>} A$   
 $\text{====>} \text{rel-option } B)$

$(\lambda k \ f \ m. (\text{case } m \ k \ \text{of } \text{None} \Rightarrow m$

$\mid \text{Some } v \Rightarrow m \ (k \mapsto (f \ v)))) (\lambda k \ f \ m. (\text{case } m \ k \ \text{of } \text{None} \Rightarrow m$

$\mid \text{Some } v \Rightarrow m \ (k \mapsto (f \ v))))$

*<proof>*

**lemma** *tabulate-parametric*:

**assumes** [*transfer-rule*]: *bi-unique A*

**shows**  $(\text{list-all2 } A \text{====>} (A \text{====>} B) \text{====>} A \text{====>} \text{rel-option } B)$

$(\lambda ks \ f. (\text{map-of } (\text{map } (\lambda k. (k, f \ k)) \ ks))) (\lambda ks \ f. (\text{map-of } (\text{map } (\lambda k. (k, f \ k))$   
 $ks)))$

*<proof>*

**lemma** *bulkload-parametric*:

$(\text{list-all2 } A \text{====>} \text{HOL.eq} \text{====>} \text{rel-option } A)$

$(\lambda xs \ k. \text{if } k < \text{length } xs \ \text{then } \text{Some } (xs \ ! \ k) \ \text{else } \text{None})$

$(\lambda xs \ k. \text{if } k < \text{length } xs \ \text{then } \text{Some } (xs \ ! \ k) \ \text{else } \text{None})$

*<proof>*

**lemma** *map-parametric*:

$((A \text{====>} B) \text{====>} (C \text{====>} D) \text{====>} (B \text{====>} \text{rel-option } C) \text{====>} A$   
 $\text{====>} \text{rel-option } D)$

$(\lambda f \ g \ m. (\text{map-option } g \circ m \circ f)) (\lambda f \ g \ m. (\text{map-option } g \circ m \circ f))$

*<proof>*

**lemma** *combine-with-key-parametric*:

$((A \text{====>} B \text{====>} B \text{====>} B) \text{====>} (A \text{====>} \text{rel-option } B) \text{====>} (A$   
 $\text{====>} \text{rel-option } B) \text{====>}$

$(A \text{====>} \text{rel-option } B)) (\lambda f \ m1 \ m2 \ x. \text{combine-options } (f \ x) \ (m1 \ x) \ (m2 \ x))$

$(\lambda f \ m1 \ m2 \ x. \text{combine-options } (f \ x) \ (m1 \ x) \ (m2 \ x))$

*<proof>*

**lemma** *combine-parametric*:

$((B \text{====>} B \text{====>} B) \text{====>} (A \text{====>} \text{rel-option } B) \text{====>} (A \text{====>}$

```

rel-option B) ===>
  (A ===> rel-option B)) (λf m1 m2 x. combine-options f (m1 x) (m2 x))
  (λf m1 m2 x. combine-options f (m1 x) (m2 x))
  ⟨proof⟩

```

**end**

## 53.2 Type definition and primitive operations

```

typedef ('a, 'b) mapping = UNIV :: ('a → 'b) set
  morphisms rep Mapping ⟨proof⟩

```

**setup-lifting** type-definition-mapping

```

lift-definition empty :: ('a, 'b) mapping
  is Map.empty parametric empty-parametric ⟨proof⟩

```

```

lift-definition lookup :: ('a, 'b) mapping ⇒ 'a ⇒ 'b option
  is λm k. m k parametric lookup-parametric ⟨proof⟩

```

**definition** lookup-default d m k = (case Mapping.lookup m k of None ⇒ d | Some v ⇒ v)

```

lemma [code abstract]:
  lookup (Mapping f) = f
  ⟨proof⟩

```

```

lift-definition update :: 'a ⇒ 'b ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping
  is λk v m. m(k ↦ v) parametric update-parametric ⟨proof⟩

```

```

lift-definition delete :: 'a ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping
  is λk m. m(k := None) parametric delete-parametric ⟨proof⟩

```

```

lift-definition filter :: ('a ⇒ 'b ⇒ bool) ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping
  is λP m k. case m k of None ⇒ None | Some v ⇒ if P k v then Some v else
  None ⟨proof⟩

```

```

lift-definition keys :: ('a, 'b) mapping ⇒ 'a set
  is dom parametric dom-parametric ⟨proof⟩

```

```

lift-definition tabulate :: 'a list ⇒ ('a ⇒ 'b) ⇒ ('a, 'b) mapping
  is λks f. (map-of (List.map (λk. (k, f k)) ks)) parametric tabulate-parametric
  ⟨proof⟩

```

```

lift-definition bulkload :: 'a list ⇒ (nat, 'a) mapping
  is λxs k. if k < length xs then Some (xs ! k) else None parametric bulkload-parametric
  ⟨proof⟩

```

```

lift-definition map :: ('c ⇒ 'a) ⇒ ('b ⇒ 'd) ⇒ ('a, 'b) mapping ⇒ ('c, 'd)

```

*mapping*

**is**  $\lambda f g m. (\text{map-option } g \circ m \circ f)$  **parametric** *map-parametric*  $\langle \text{proof} \rangle$

**lift-definition** *map-values* ::  $('c \Rightarrow 'a \Rightarrow 'b) \Rightarrow ('c, 'a) \text{ mapping} \Rightarrow ('c, 'b) \text{ mapping}$   
**is**  $\lambda f m x. \text{map-option } (f x) (m x)$   $\langle \text{proof} \rangle$

**lift-definition** *combine-with-key* ::

$('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$   
**is**  $\lambda f m1 m2 x. \text{combine-options } (f x) (m1 x) (m2 x)$  **parametric** *combine-with-key-parametric*  
 $\langle \text{proof} \rangle$

**lift-definition** *combine* ::

$('b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$   
**is**  $\lambda f m1 m2 x. \text{combine-options } f (m1 x) (m2 x)$  **parametric** *combine-parametric*  
 $\langle \text{proof} \rangle$

**definition** *All-mapping*  $m P \longleftrightarrow$

$(\forall x. \text{case } \text{Mapping.lookup } m x \text{ of } \text{None} \Rightarrow \text{True} \mid \text{Some } y \Rightarrow P x y)$

**declare**  $[[\text{code drop: map}]]$

### 53.3 Functorial structure

**functor** *map*: *map*

$\langle \text{proof} \rangle$

### 53.4 Derived operations

**definition** *ordered-keys* ::  $('a::\text{linorder}, 'b) \text{ mapping} \Rightarrow 'a \text{ list}$

**where** *ordered-keys*  $m = (\text{if finite } (\text{keys } m) \text{ then sorted-list-of-set } (\text{keys } m) \text{ else } [])$

**definition** *is-empty* ::  $('a, 'b) \text{ mapping} \Rightarrow \text{bool}$

**where** *is-empty*  $m \longleftrightarrow \text{keys } m = \{\}$

**definition** *size* ::  $('a, 'b) \text{ mapping} \Rightarrow \text{nat}$

**where** *size*  $m = (\text{if finite } (\text{keys } m) \text{ then card } (\text{keys } m) \text{ else } 0)$

**definition** *replace* ::  $'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$

**where** *replace*  $k v m = (\text{if } k \in \text{keys } m \text{ then update } k v m \text{ else } m)$

**definition** *default* ::  $'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$

**where** *default*  $k v m = (\text{if } k \in \text{keys } m \text{ then } m \text{ else update } k v m)$

Manual derivation of transfer rule is non-trivial

**lift-definition** *map-entry* ::  $'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$   
**is**

$\lambda k f m.$

$(\text{case } m \text{ k of}$   
 $\text{None} \Rightarrow m$

| *Some*  $v \Rightarrow m (k \mapsto (f v))$ ) **parametric** *map-entry-parametric*  $\langle proof \rangle$

**lemma** *map-entry-code* [*code*]:

*map-entry*  $k f m =$   
 (case *lookup*  $m k$  of  
   *None*  $\Rightarrow m$   
   | *Some*  $v \Rightarrow \text{update } k (f v) m$ )  
 $\langle proof \rangle$

**definition** *map-default* ::  $'a \Rightarrow 'b \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$

where *map-default*  $k v f m = \text{map-entry } k f (\text{default } k v m)$

**definition** *of-alist* ::  $('k \times 'v) \text{ list} \Rightarrow ('k, 'v) \text{ mapping}$

where *of-alist*  $xs = \text{foldr } (\lambda(k, v) m. \text{update } k v m) xs \text{ empty}$

**instantiation** *mapping* ::  $(\text{type}, \text{type}) \text{ equal}$

**begin**

**definition** *HOL.equal*  $m1 m2 \longleftrightarrow (\forall k. \text{lookup } m1 k = \text{lookup } m2 k)$

**instance**

$\langle proof \rangle$

**end**

**context includes** *lifting-syntax*

**begin**

**lemma** [*transfer-rule*]:

**assumes** [*transfer-rule*]: *bi-total*  $A$

**and** [*transfer-rule*]: *bi-unique*  $B$

**shows** (*pcr-mapping*  $A B \implies \text{pcr-mapping } A B \implies \text{op} =$ ) *HOL.eq* *HOL.equal*

$\langle proof \rangle$

**lemma** *of-alist-transfer* [*transfer-rule*]:

**assumes** [*transfer-rule*]: *bi-unique*  $R1$

**shows** (*list-all2* (*rel-prod*  $R1 R2$ )  $\implies \text{pcr-mapping } R1 R2$ ) *map-of of-alist*

$\langle proof \rangle$

**end**

## 53.5 Properties

**lemma** *mapping-eqI*:  $(\bigwedge x. \text{lookup } m x = \text{lookup } m' x) \implies m = m'$

$\langle proof \rangle$

**lemma** *mapping-eqI'*:

**assumes**  $\bigwedge x. x \in \text{Mapping.keys } m \implies \text{Mapping.lookup-default } d m x = \text{Map-}$

*ping.lookup-default*  $d\ m'\ x$   
**and** *Mapping.keys*  $m = \text{Mapping.keys } m'$   
**shows**  $m = m'$   
 ⟨*proof*⟩

**lemma** *lookup-update*: *lookup* (*update*  $k\ v\ m$ )  $k = \text{Some } v$   
 ⟨*proof*⟩

**lemma** *lookup-update-neq*:  $k \neq k' \implies \text{lookup } (\text{update } k\ v\ m)\ k' = \text{lookup } m\ k'$   
 ⟨*proof*⟩

**lemma** *lookup-update'*: *Mapping.lookup* (*update*  $k\ v\ m$ )  $k' = (\text{if } k = k' \text{ then } \text{Some } v \text{ else } \text{lookup } m\ k')$   
 ⟨*proof*⟩

**lemma** *lookup-empty*: *lookup empty*  $k = \text{None}$   
 ⟨*proof*⟩

**lemma** *lookup-filter*:  
*lookup* (*filter*  $P\ m$ )  $k =$   
 (*case lookup*  $m\ k$  of  
    $\text{None} \Rightarrow \text{None}$   
    $| \text{Some } v \Rightarrow \text{if } P\ k\ v \text{ then } \text{Some } v \text{ else } \text{None}$ )  
 ⟨*proof*⟩

**lemma** *lookup-map-values*: *lookup* (*map-values*  $f\ m$ )  $k = \text{map-option } (f\ k)\ (\text{lookup } m\ k)$   
 ⟨*proof*⟩

**lemma** *lookup-default-empty*: *lookup-default*  $d\ \text{empty}$   $k = d$   
 ⟨*proof*⟩

**lemma** *lookup-default-update*: *lookup-default*  $d\ (\text{update } k\ v\ m)$   $k = v$   
 ⟨*proof*⟩

**lemma** *lookup-default-update-neq*:  
 $k \neq k' \implies \text{lookup-default } d\ (\text{update } k\ v\ m)\ k' = \text{lookup-default } d\ m\ k'$   
 ⟨*proof*⟩

**lemma** *lookup-default-update'*:  
*lookup-default*  $d\ (\text{update } k\ v\ m)$   $k' = (\text{if } k = k' \text{ then } v \text{ else } \text{lookup-default } d\ m\ k')$   
 ⟨*proof*⟩

**lemma** *lookup-default-filter*:  
*lookup-default*  $d\ (\text{filter } P\ m)$   $k =$   
 (*if*  $P\ k\ (\text{lookup-default } d\ m\ k)$  *then* *lookup-default*  $d\ m\ k$  *else*  $d$ )  
 ⟨*proof*⟩

**lemma** *lookup-default-map-values*:



*lookup-default*  $(f\ k\ d)\ (map-values\ f\ m)\ k = f\ k\ (lookup-default\ d\ m\ k)$   
 ⟨proof⟩

**lemma** *lookup-combine-with-key*:

*Mapping.lookup*  $(combine-with-key\ f\ m1\ m2)\ x =$   
*combine-options*  $(f\ x)\ (Mapping.lookup\ m1\ x)\ (Mapping.lookup\ m2\ x)$   
 ⟨proof⟩

**lemma** *combine-altdef*:  $combine\ f\ m1\ m2 = combine-with-key\ (\lambda-. f)\ m1\ m2$

⟨proof⟩

**lemma** *lookup-combine*:

*Mapping.lookup*  $(combine\ f\ m1\ m2)\ x =$   
*combine-options*  $f\ (Mapping.lookup\ m1\ x)\ (Mapping.lookup\ m2\ x)$   
 ⟨proof⟩

**lemma** *lookup-default-neutral-combine-with-key*:

**assumes**  $\bigwedge x. f\ k\ d\ x = x \wedge x. f\ k\ x\ d = x$   
**shows** *Mapping.lookup-default*  $d\ (combine-with-key\ f\ m1\ m2)\ k =$   
 $f\ k\ (Mapping.lookup-default\ d\ m1\ k)\ (Mapping.lookup-default\ d\ m2\ k)$   
 ⟨proof⟩

**lemma** *lookup-default-neutral-combine*:

**assumes**  $\bigwedge x. f\ d\ x = x \wedge x. f\ x\ d = x$   
**shows** *Mapping.lookup-default*  $d\ (combine\ f\ m1\ m2)\ x =$   
 $f\ (Mapping.lookup-default\ d\ m1\ x)\ (Mapping.lookup-default\ d\ m2\ x)$   
 ⟨proof⟩

**lemma** *lookup-map-entry*:  $lookup\ (map-entry\ x\ f\ m)\ x = map-option\ f\ (lookup\ m\ x)$

⟨proof⟩

**lemma** *lookup-map-entry-neq*:  $x \neq y \implies lookup\ (map-entry\ x\ f\ m)\ y = lookup\ m\ y$

⟨proof⟩

**lemma** *lookup-map-entry'*:

*lookup*  $(map-entry\ x\ f\ m)\ y =$   
 $(if\ x = y\ then\ map-option\ f\ (lookup\ m\ y)\ else\ lookup\ m\ y)$   
 ⟨proof⟩

**lemma** *lookup-default*:  $lookup\ (default\ x\ d\ m)\ x = Some\ (lookup-default\ d\ m\ x)$

⟨proof⟩

**lemma** *lookup-default-neq*:  $x \neq y \implies lookup\ (default\ x\ d\ m)\ y = lookup\ m\ y$

⟨proof⟩

**lemma** *lookup-default'*:

*lookup*  $(default\ x\ d\ m)\ y =$

(if  $x = y$  then  $\text{Some} (\text{lookup-default } d \ m \ x)$  else  $\text{lookup } m \ y$ )  
 ⟨proof⟩

**lemma** *lookup-map-default*:  $\text{lookup} (\text{map-default } x \ d \ f \ m) \ x = \text{Some} (f (\text{lookup-default } d \ m \ x))$   
 ⟨proof⟩

**lemma** *lookup-map-default-neg*:  $x \neq y \implies \text{lookup} (\text{map-default } x \ d \ f \ m) \ y = \text{lookup } m \ y$   
 ⟨proof⟩

**lemma** *lookup-map-default'*:  
 $\text{lookup} (\text{map-default } x \ d \ f \ m) \ y =$   
 (if  $x = y$  then  $\text{Some} (f (\text{lookup-default } d \ m \ x))$  else  $\text{lookup } m \ y$ )  
 ⟨proof⟩

**lemma** *lookup-tabulate*:  
**assumes** *distinct xs*  
**shows**  $\text{Mapping.lookup} (\text{Mapping.tabulate } xs \ f) \ x = (\text{if } x \in \text{set } xs \text{ then } \text{Some} (f \ x) \text{ else } \text{None})$   
 ⟨proof⟩

**lemma** *lookup-of-alist*:  $\text{Mapping.lookup} (\text{Mapping.of-alist } xs) \ k = \text{map-of } xs \ k$   
 ⟨proof⟩

**lemma** *keys-is-none-rep* [code-unfold]:  $k \in \text{keys } m \iff \neg (\text{Option.is-none} (\text{lookup } m \ k))$   
 ⟨proof⟩

**lemma** *update-update*:  
 $\text{update } k \ v (\text{update } k \ w \ m) = \text{update } k \ v \ m$   
 $k \neq l \implies \text{update } k \ v (\text{update } l \ w \ m) = \text{update } l \ w (\text{update } k \ v \ m)$   
 ⟨proof⟩

**lemma** *update-delete* [simp]:  $\text{update } k \ v (\text{delete } k \ m) = \text{update } k \ v \ m$   
 ⟨proof⟩

**lemma** *delete-update*:  
 $\text{delete } k (\text{update } k \ v \ m) = \text{delete } k \ m$   
 $k \neq l \implies \text{delete } k (\text{update } l \ v \ m) = \text{update } l \ v (\text{delete } k \ m)$   
 ⟨proof⟩

**lemma** *delete-empty* [simp]:  $\text{delete } k \ \text{empty} = \text{empty}$   
 ⟨proof⟩

**lemma** *replace-update*:  
 $k \notin \text{keys } m \implies \text{replace } k \ v \ m = m$   
 $k \in \text{keys } m \implies \text{replace } k \ v \ m = \text{update } k \ v \ m$   
 ⟨proof⟩

**lemma** *map-values-update*:  $\text{map-values } f (\text{update } k \ v \ m) = \text{update } k \ (f \ k \ v) (\text{map-values } f \ m)$

*<proof>*

**lemma** *size-mono*:  $\text{finite } (\text{keys } m') \implies \text{keys } m \subseteq \text{keys } m' \implies \text{size } m \leq \text{size } m'$

*<proof>*

**lemma** *size-empty* [*simp*]:  $\text{size } \text{empty} = 0$

*<proof>*

**lemma** *size-update*:

$\text{finite } (\text{keys } m) \implies \text{size } (\text{update } k \ v \ m) =$   
*(if*  $k \in \text{keys } m$  *then*  $\text{size } m$  *else*  $\text{Suc } (\text{size } m)$ *)*

*<proof>*

**lemma** *size-delete*:  $\text{size } (\text{delete } k \ m) = (\text{if } k \in \text{keys } m \text{ then } \text{size } m - 1 \text{ else } \text{size } m)$

*<proof>*

**lemma** *size-tabulate* [*simp*]:  $\text{size } (\text{tabulate } ks \ f) = \text{length } (\text{remdups } ks)$

*<proof>*

**lemma** *keys-filter*:  $\text{keys } (\text{filter } P \ m) \subseteq \text{keys } m$

*<proof>*

**lemma** *size-filter*:  $\text{finite } (\text{keys } m) \implies \text{size } (\text{filter } P \ m) \leq \text{size } m$

*<proof>*

**lemma** *bulkload-tabulate*:  $\text{bulkload } xs = \text{tabulate } [0..<\text{length } xs] \ (\text{nth } xs)$

*<proof>*

**lemma** *is-empty-empty* [*simp*]:  $\text{is-empty } \text{empty}$

*<proof>*

**lemma** *is-empty-update* [*simp*]:  $\neg \text{is-empty } (\text{update } k \ v \ m)$

*<proof>*

**lemma** *is-empty-delete*:  $\text{is-empty } (\text{delete } k \ m) \longleftrightarrow \text{is-empty } m \vee \text{keys } m = \{k\}$

*<proof>*

**lemma** *is-empty-replace* [*simp*]:  $\text{is-empty } (\text{replace } k \ v \ m) \longleftrightarrow \text{is-empty } m$

*<proof>*

**lemma** *is-empty-default* [*simp*]:  $\neg \text{is-empty } (\text{default } k \ v \ m)$

*<proof>*

**lemma** *is-empty-map-entry* [*simp*]:  $\text{is-empty } (\text{map-entry } k \ f \ m) \longleftrightarrow \text{is-empty } m$

*<proof>*

**lemma** *is-empty-map-values* [*simp*]:  $is\_empty (map\_values f m) \longleftrightarrow is\_empty m$   
 ⟨*proof*⟩

**lemma** *is-empty-map-default* [*simp*]:  $\neg is\_empty (map\_default k v f m)$   
 ⟨*proof*⟩

**lemma** *keys-dom-lookup*:  $keys m = dom (Mapping.lookup m)$   
 ⟨*proof*⟩

**lemma** *keys-empty* [*simp*]:  $keys empty = \{\}$   
 ⟨*proof*⟩

**lemma** *keys-update* [*simp*]:  $keys (update k v m) = insert k (keys m)$   
 ⟨*proof*⟩

**lemma** *keys-delete* [*simp*]:  $keys (delete k m) = keys m - \{k\}$   
 ⟨*proof*⟩

**lemma** *keys-replace* [*simp*]:  $keys (replace k v m) = keys m$   
 ⟨*proof*⟩

**lemma** *keys-default* [*simp*]:  $keys (default k v m) = insert k (keys m)$   
 ⟨*proof*⟩

**lemma** *keys-map-entry* [*simp*]:  $keys (map\_entry k f m) = keys m$   
 ⟨*proof*⟩

**lemma** *keys-map-default* [*simp*]:  $keys (map\_default k v f m) = insert k (keys m)$   
 ⟨*proof*⟩

**lemma** *keys-map-values* [*simp*]:  $keys (map\_values f m) = keys m$   
 ⟨*proof*⟩

**lemma** *keys-combine-with-key* [*simp*]:  
 $Mapping.keys (combine\_with\_key f m1 m2) = Mapping.keys m1 \cup Mapping.keys m2$   
 ⟨*proof*⟩

**lemma** *keys-combine* [*simp*]:  $Mapping.keys (combine f m1 m2) = Mapping.keys m1 \cup Mapping.keys m2$   
 ⟨*proof*⟩

**lemma** *keys-tabulate* [*simp*]:  $keys (tabulate ks f) = set ks$   
 ⟨*proof*⟩

**lemma** *keys-of-alist* [*simp*]:  $keys (of\_alist xs) = set (List.map fst xs)$   
 ⟨*proof*⟩

**lemma** *keys-bulkload* [simp]:  $keys (bulkload\ xs) = \{0..<length\ xs\}$   
 ⟨proof⟩

**lemma** *distinct-ordered-keys* [simp]:  $distinct (ordered-keys\ m)$   
 ⟨proof⟩

**lemma** *ordered-keys-infinite* [simp]:  $\neg finite (keys\ m) \implies ordered-keys\ m = []$   
 ⟨proof⟩

**lemma** *ordered-keys-empty* [simp]:  $ordered-keys\ empty = []$   
 ⟨proof⟩

**lemma** *ordered-keys-update* [simp]:  
 $k \in keys\ m \implies ordered-keys (update\ k\ v\ m) = ordered-keys\ m$   
 $finite (keys\ m) \implies k \notin keys\ m \implies$   
 $ordered-keys (update\ k\ v\ m) = insert\ k (ordered-keys\ m)$   
 ⟨proof⟩

**lemma** *ordered-keys-delete* [simp]:  $ordered-keys (delete\ k\ m) = remove1\ k (ordered-keys\ m)$   
 ⟨proof⟩

**lemma** *ordered-keys-replace* [simp]:  $ordered-keys (replace\ k\ v\ m) = ordered-keys\ m$   
 ⟨proof⟩

**lemma** *ordered-keys-default* [simp]:  
 $k \in keys\ m \implies ordered-keys (default\ k\ v\ m) = ordered-keys\ m$   
 $finite (keys\ m) \implies k \notin keys\ m \implies ordered-keys (default\ k\ v\ m) = insert\ k$   
 $(ordered-keys\ m)$   
 ⟨proof⟩

**lemma** *ordered-keys-map-entry* [simp]:  $ordered-keys (map-entry\ k\ f\ m) = ordered-keys\ m$   
 ⟨proof⟩

**lemma** *ordered-keys-map-default* [simp]:  
 $k \in keys\ m \implies ordered-keys (map-default\ k\ v\ f\ m) = ordered-keys\ m$   
 $finite (keys\ m) \implies k \notin keys\ m \implies ordered-keys (map-default\ k\ v\ f\ m) = insert\ k$   
 $(ordered-keys\ m)$   
 ⟨proof⟩

**lemma** *ordered-keys-tabulate* [simp]:  $ordered-keys (tabulate\ ks\ f) = sort (remdups\ ks)$   
 ⟨proof⟩

**lemma** *ordered-keys-bulkload* [simp]:  $ordered-keys (bulkload\ ks) = [0..<length\ ks]$   
 ⟨proof⟩

**lemma** *tabulate-fold*:  $tabulate\ xs\ f = fold (\lambda k\ m. update\ k (f\ k)\ m) xs\ empty$

⟨proof⟩

**lemma** *All-mapping-mono*:

$(\bigwedge k v. k \in \text{keys } m \implies P k v \implies Q k v) \implies \text{All-mapping } m P \implies \text{All-mapping } m Q$

⟨proof⟩

**lemma** *All-mapping-empty [simp]*: *All-mapping Mapping.empty P*

⟨proof⟩

**lemma** *All-mapping-update-iff*:

$\text{All-mapping } (\text{Mapping.update } k v m) P \longleftrightarrow P k v \wedge \text{All-mapping } m (\lambda k' v'. k = k' \vee P k' v')$

⟨proof⟩

**lemma** *All-mapping-update*:

$P k v \implies \text{All-mapping } m (\lambda k' v'. k = k' \vee P k' v') \implies \text{All-mapping } (\text{Mapping.update } k v m) P$

⟨proof⟩

**lemma** *All-mapping-filter-iff*: *All-mapping (filter P m) Q*  $\longleftrightarrow$  *All-mapping m*  $(\lambda k v. P k v \longrightarrow Q k v)$

⟨proof⟩

**lemma** *All-mapping-filter*: *All-mapping m Q*  $\implies$  *All-mapping (filter P m) Q*

⟨proof⟩

**lemma** *All-mapping-map-values*: *All-mapping (map-values f m) P*  $\longleftrightarrow$  *All-mapping m*  $(\lambda k v. P k (f k v))$

⟨proof⟩

**lemma** *All-mapping-tabulate*:  $(\forall x \in \text{set } xs. P x (f x)) \implies \text{All-mapping } (\text{Mapping.tabulate } xs f) P$

⟨proof⟩

**lemma** *All-mapping-alist*:

$(\bigwedge k v. (k, v) \in \text{set } xs \implies P k v) \implies \text{All-mapping } (\text{Mapping.of-alist } xs) P$

⟨proof⟩

**lemma** *combine-empty [simp]*: *combine f Mapping.empty y = y* *combine f y Mapping.empty = y*

⟨proof⟩

**lemma** (*in abel-semigroup*) *comm-monoid-set-combine*: *comm-monoid-set (combine f) Mapping.empty*

⟨proof⟩

**locale** *combine-mapping-abel-semigroup = abel-semigroup*

**begin**

**sublocale** *combine: comm-monoid-set combine f Mapping.empty*  
 ⟨*proof*⟩

**lemma** *fold-combine-code:*  
 $combine.F\ g\ (set\ xs) = foldr\ (\lambda x.\ combine\ f\ (g\ x))\ (remdups\ xs)\ Mapping.empty$   
 ⟨*proof*⟩

**lemma** *keys-fold-combine: finite A  $\implies$  Mapping.keys (combine.F g A) = ( $\bigcup_{x \in A} Mapping.keys (g\ x)$ )*  
 ⟨*proof*⟩

**end**

### 53.6 Code generator setup

**hide-const** (**open**) *empty is-empty rep lookup lookup-default filter update delete ordered-keys*  
*keys size replace default map-entry map-default tabulate bulkload map map-values combine of-alist*

**end**

## 54 Adhoc overloading of constants based on their types

**theory** *Adhoc-Overloading*  
**imports** *Pure*  
**keywords**  
*adhoc-overloading no-adhoc-overloading :: thy-decl*  
**begin**

⟨*ML*⟩

**end**

## 55 Monad notation for arbitrary types

**theory** *Monad-Syntax*  
**imports** *Main  $\sim\sim$  /src/Tools/Adhoc-Overloading*  
**begin**

We provide a convenient *do*-notation for monadic expressions well-known from Haskell. *Let* is printed specially in *do*-expressions.

**consts**  
 $bind :: ['a, 'b \Rightarrow 'c] \Rightarrow 'd$  (**infixr**  $\gg=$  54)

**notation** (*ASCII*)

*bind* (**infix** >>= 54)

**abbreviation** (*do-notation*)

*bind-do* :: [*'a*, *'b* ⇒ *'c*] ⇒ *'d*

**where** *bind-do* ≡ *bind*

**notation** (**output**)

*bind-do* (**infix** ≫= 54)

**notation** (*ASCII output*)

*bind-do* (**infix** >>= 54)

**nonterminal** *do-binds* and *do-bind*

**syntax**

*-do-block* :: *do-binds* ⇒ *'a* (*do* { //(2 -) // } [12] 62)

*-do-bind* :: [*pttrn*, *'a*] ⇒ *do-bind* ((2- <- / -) 13)

*-do-let* :: [*pttrn*, *'a*] ⇒ *do-bind* ((2let - = / -) [1000, 13] 13)

*-do-then* :: *'a* ⇒ *do-bind* (- [14] 13)

*-do-final* :: *'a* ⇒ *do-binds* (-)

*-do-cons* :: [*do-bind*, *do-binds*] ⇒ *do-binds* (-; / - [13, 12] 12)

*-thenM* :: [*'a*, *'b*] ⇒ *'c* (**infix** ≫= 54)

**syntax** (*ASCII*)

*-do-bind* :: [*pttrn*, *'a*] ⇒ *do-bind* ((2- <- / -) 13)

*-thenM* :: [*'a*, *'b*] ⇒ *'c* (**infix** >> 54)

**translations**

*-do-block* (*-do-cons* (*-do-then* *t*) (*-do-final* *e*))

⇒ *CONST bind-do t* (*λ*-. *e*)

*-do-block* (*-do-cons* (*-do-bind* *p t*) (*-do-final* *e*))

⇒ *CONST bind-do t* (*λp*. *e*)

*-do-block* (*-do-cons* (*-do-let* *p t*) *bs*)

⇒ *let p = t in -do-block bs*

*-do-block* (*-do-cons* *b* (*-do-cons* *c cs*))

⇒ *-do-block* (*-do-cons* *b* (*-do-final* (*-do-block* (*-do-cons* *c cs*))))

*-do-cons* (*-do-let* *p t*) (*-do-final* *s*)

⇒ *-do-final* (*let p = t in s*)

*-do-block* (*-do-final* *e*) → *e*

(*m* ≫= *n*) → (*m* ≫= (*λ*-. *n*))

**adhoc-overloading**

*bind Set.bind Predicate.bind Option.bind List.bind*

**end**



## 56 Less common functions on lists

```
theory More-List
imports Main
begin
```

**definition** *strip-while* :: ('a ⇒ bool) ⇒ 'a list ⇒ 'a list  
**where**

$$\text{strip-while } P = \text{rev} \circ \text{dropWhile } P \circ \text{rev}$$

**lemma** *strip-while-rev* [simp]:  
 $\text{strip-while } P (\text{rev } xs) = \text{rev } (\text{dropWhile } P \ xs)$   
 ⟨proof⟩

**lemma** *strip-while-Nil* [simp]:  
 $\text{strip-while } P \ [] = []$   
 ⟨proof⟩

**lemma** *strip-while-append* [simp]:  
 $\neg P \ x \implies \text{strip-while } P \ (xs \ @ \ [x]) = xs \ @ \ [x]$   
 ⟨proof⟩

**lemma** *strip-while-append-rec* [simp]:  
 $P \ x \implies \text{strip-while } P \ (xs \ @ \ [x]) = \text{strip-while } P \ xs$   
 ⟨proof⟩

**lemma** *strip-while-Cons* [simp]:  
 $\neg P \ x \implies \text{strip-while } P \ (x \ # \ xs) = x \ # \ \text{strip-while } P \ xs$   
 ⟨proof⟩

**lemma** *strip-while-eq-Nil* [simp]:  
 $\text{strip-while } P \ xs = [] \longleftrightarrow (\forall x \in \text{set } xs. P \ x)$   
 ⟨proof⟩

**lemma** *strip-while-eq-Cons-rec*:  
 $\text{strip-while } P \ (x \ # \ xs) = x \ # \ \text{strip-while } P \ xs \longleftrightarrow \neg (P \ x \wedge (\forall x \in \text{set } xs. P \ x))$   
 ⟨proof⟩

**lemma** *split-strip-while-append*:  
**fixes**  $xs :: 'a \ \text{list}$   
**obtains**  $ys \ zs :: 'a \ \text{list}$   
**where**  $\text{strip-while } P \ xs = ys$  **and**  $\forall x \in \text{set } zs. P \ x$  **and**  $xs = ys \ @ \ zs$   
 ⟨proof⟩

**lemma** *strip-while-snoc* [simp]:  
 $\text{strip-while } P \ (xs \ @ \ [x]) = (\text{if } P \ x \ \text{then } \text{strip-while } P \ xs \ \text{else } xs \ @ \ [x])$   
 ⟨proof⟩

**lemma** *strip-while-map*:

*strip-while*  $P$  (*map*  $f$   $xs$ ) = *map*  $f$  (*strip-while* ( $P \circ f$ )  $xs$ )  
 ⟨*proof*⟩

**lemma** *strip-while-dropWhile-commute*:

*strip-while*  $P$  (*dropWhile*  $Q$   $xs$ ) = *dropWhile*  $Q$  (*strip-while*  $P$   $xs$ )  
 ⟨*proof*⟩

**lemma** *dropWhile-strip-while-commute*:

*dropWhile*  $P$  (*strip-while*  $Q$   $xs$ ) = *strip-while*  $Q$  (*dropWhile*  $P$   $xs$ )  
 ⟨*proof*⟩

**definition** *no-leading* :: ('a ⇒ bool) ⇒ 'a list ⇒ bool

**where**

*no-leading*  $P$   $xs$   $\longleftrightarrow$  ( $xs \neq [] \longrightarrow \neg P$  (*hd*  $xs$ ))

**lemma** *no-leading-Nil* [*simp*, *intro!*]:

*no-leading*  $P$  []  
 ⟨*proof*⟩

**lemma** *no-leading-Cons* [*simp*, *intro!*]:

*no-leading*  $P$  ( $x \# xs$ )  $\longleftrightarrow$   $\neg P$   $x$   
 ⟨*proof*⟩

**lemma** *no-leading-append* [*simp*]:

*no-leading*  $P$  ( $xs @ ys$ )  $\longleftrightarrow$  *no-leading*  $P$   $xs$   $\wedge$  ( $xs = [] \longrightarrow$  *no-leading*  $P$   $ys$ )  
 ⟨*proof*⟩

**lemma** *no-leading-dropWhile* [*simp*]:

*no-leading*  $P$  (*dropWhile*  $P$   $xs$ )  
 ⟨*proof*⟩

**lemma** *dropWhile-eq-obtain-leading*:

**assumes** *dropWhile*  $P$   $xs = ys$

**obtains**  $zs$  **where**  $xs = zs @ ys$  **and**  $\bigwedge z. z \in \text{set } zs \implies P z$  **and** *no-leading*  $P$   $ys$

⟨*proof*⟩

**lemma** *dropWhile-idem-iff*:

*dropWhile*  $P$   $xs = xs \longleftrightarrow$  *no-leading*  $P$   $xs$   
 ⟨*proof*⟩

**abbreviation** *no-trailing* :: ('a ⇒ bool) ⇒ 'a list ⇒ bool

**where**

*no-trailing*  $P$   $xs \equiv$  *no-leading*  $P$  (*rev*  $xs$ )

**lemma** *no-trailing-unfold*:

*no-trailing*  $P$   $xs \longleftrightarrow$  ( $xs \neq [] \longrightarrow \neg P$  (*last*  $xs$ ))

*<proof>*

**lemma** *no-trailing-Nil* [*simp, intro!*]:

*no-trailing*  $P \ []$

*<proof>*

**lemma** *no-trailing-Cons* [*simp*]:

*no-trailing*  $P (x \# xs) \longleftrightarrow \text{no-trailing } P \ xs \wedge (xs = [] \longrightarrow \neg P \ x)$

*<proof>*

**lemma** *no-trailing-append*:

*no-trailing*  $P (xs \ @ \ ys) \longleftrightarrow \text{no-trailing } P \ ys \wedge (ys = [] \longrightarrow \text{no-trailing } P \ xs)$

*<proof>*

**lemma** *no-trailing-append-Cons* [*simp*]:

*no-trailing*  $P (xs \ @ \ y \ # \ ys) \longleftrightarrow \text{no-trailing } P (y \ # \ ys)$

*<proof>*

**lemma** *no-trailing-strip-while* [*simp*]:

*no-trailing*  $P (\text{strip-while } P \ xs)$

*<proof>*

**lemma** *strip-while-idem* [*simp*]:

*no-trailing*  $P \ xs \implies \text{strip-while } P \ xs = xs$

*<proof>*

**lemma** *strip-while-eq-obtain-trailing*:

**assumes** *strip-while*  $P \ xs = ys$

**obtains** *zs* **where**  $xs = ys \ @ \ zs$  **and**  $\bigwedge z. z \in \text{set } zs \implies P \ z$  **and** *no-trailing*  $P \ ys$

*<proof>*

**lemma** *strip-while-idem-iff*:

*strip-while*  $P \ xs = xs \longleftrightarrow \text{no-trailing } P \ xs$

*<proof>*

**lemma** *no-trailing-map*:

*no-trailing*  $P (\text{map } f \ xs) \longleftrightarrow \text{no-trailing } (P \circ f) \ xs$

*<proof>*

**lemma** *no-trailing-drop* [*simp*]:

*no-trailing*  $P (\text{drop } n \ xs)$  **if** *no-trailing*  $P \ xs$

*<proof>*

**lemma** *no-trailing-upt* [*simp*]:

*no-trailing*  $P [n..<m]$   $\longleftrightarrow (n < m \longrightarrow \neg P (m - 1))$

*<proof>*

**definition** *nth-default* :: 'a ⇒ 'a list ⇒ nat ⇒ 'a

**where**

*nth-default dflt xs n* = (if  $n < \text{length } xs$  then  $xs ! n$  else *dflt*)

**lemma** *nth-default-nth*:

$n < \text{length } xs \implies \text{nth-default } dflt \text{ } xs \ n = xs ! n$   
 ⟨proof⟩

**lemma** *nth-default-beyond*:

$\text{length } xs \leq n \implies \text{nth-default } dflt \text{ } xs \ n = dflt$   
 ⟨proof⟩

**lemma** *nth-default-Nil* [*simp*]:

*nth-default dflt [] n* = *dflt*  
 ⟨proof⟩

**lemma** *nth-default-Cons*:

*nth-default dflt (x # xs) n* = (case  $n$  of  $0 \Rightarrow x \mid \text{Suc } n' \Rightarrow \text{nth-default } dflt \text{ } xs \ n'$ )  
 ⟨proof⟩

**lemma** *nth-default-Cons-0* [*simp*]:

*nth-default dflt (x # xs) 0* = *x*  
 ⟨proof⟩

**lemma** *nth-default-Cons-Suc* [*simp*]:

*nth-default dflt (x # xs) (Suc n)* = *nth-default dflt xs n*  
 ⟨proof⟩

**lemma** *nth-default-replicate-dflt* [*simp*]:

*nth-default dflt (replicate n dflt) m* = *dflt*  
 ⟨proof⟩

**lemma** *nth-default-append*:

*nth-default dflt (xs @ ys) n* =  
 (if  $n < \text{length } xs$  then  $nth \ xs \ n$  else *nth-default dflt ys (n - length xs)*)  
 ⟨proof⟩

**lemma** *nth-default-append-trailing* [*simp*]:

*nth-default dflt (xs @ replicate n dflt)* = *nth-default dflt xs*  
 ⟨proof⟩

**lemma** *nth-default-snoc-default* [*simp*]:

*nth-default dflt (xs @ [dflt])* = *nth-default dflt xs*  
 ⟨proof⟩

**lemma** *nth-default-eq-dflt-iff*:

$\text{nth-default } dflt \text{ } xs \ k = dflt \iff (k < \text{length } xs \implies xs ! k = dflt)$   
 ⟨proof⟩

**lemma** *in-enumerate-iff-nth-default-eq*:

$x \neq \text{dflt} \implies (n, x) \in \text{set } (\text{enumerate } 0 \text{ } xs) \iff \text{nth-default dflt } xs \ n = x$   
 ⟨proof⟩

**lemma** *last-conv-nth-default*:

**assumes**  $xs \neq []$   
**shows**  $\text{last } xs = \text{nth-default dflt } xs \ (\text{length } xs - 1)$   
 ⟨proof⟩

**lemma** *nth-default-map-eq*:

$f \ \text{dflt}' = \text{dflt} \implies \text{nth-default dflt } (\text{map } f \ xs) \ n = f \ (\text{nth-default dflt}' \ xs \ n)$   
 ⟨proof⟩

**lemma** *finite-nth-default-neq-default [simp]*:

$\text{finite } \{k. \text{nth-default dflt } xs \ k \neq \text{dflt}\}$   
 ⟨proof⟩

**lemma** *sorted-list-of-set-nth-default*:

$\text{sorted-list-of-set } \{k. \text{nth-default dflt } xs \ k \neq \text{dflt}\} = \text{map fst } (\text{filter } (\lambda(-, x). x \neq \text{dflt}) \ (\text{enumerate } 0 \text{ } xs))$   
 ⟨proof⟩

**lemma** *map-nth-default*:

$\text{map } (\text{nth-default } x \ xs) \ [0..<\text{length } xs] = xs$   
 ⟨proof⟩

**lemma** *range-nth-default [simp]*:

$\text{range } (\text{nth-default dflt } xs) = \text{insert dflt } (\text{set } xs)$   
 ⟨proof⟩

**lemma** *nth-strip-while*:

**assumes**  $n < \text{length } (\text{strip-while } P \ xs)$   
**shows**  $\text{strip-while } P \ xs \ ! \ n = xs \ ! \ n$   
 ⟨proof⟩

**lemma** *length-strip-while-le*:

$\text{length } (\text{strip-while } P \ xs) \leq \text{length } xs$   
 ⟨proof⟩

**lemma** *nth-default-strip-while-dflt [simp]*:

$\text{nth-default dflt } (\text{strip-while } (\text{op} = \text{dflt}) \ xs) = \text{nth-default dflt } xs$   
 ⟨proof⟩

**lemma** *nth-default-eq-iff*:

$\text{nth-default dflt } xs = \text{nth-default dflt } ys$   
 $\iff \text{strip-while } (\text{HOL.eq dflt}) \ xs = \text{strip-while } (\text{HOL.eq dflt}) \ ys \ (\text{is } ?P \ \iff ?Q)$   
 ⟨proof⟩

**end**

**theory** *Cancellation*  
**imports** *Main*  
**begin**

**named-theorems** *cancellation-simproc-pre* *⟨These theorems are here to normalise the term. Special handling of constructors should be here. Remark that only the simproc @{term NO-MATCH} is also included.⟩*

**named-theorems** *cancellation-simproc-post* *⟨These theorems are here to normalise the term, after the cancellation simproc. Normalisation of ⟨iterate-add⟩ back to the normale representation should be put here.⟩*

**named-theorems** *cancellation-simproc-eq-elim* *⟨These theorems are here to help deriving contradiction (e.g., ⟨Suc - = 0⟩).⟩*

**definition** *iterate-add* :: *⟨nat ⇒ 'a::cancel-comm-monoid-add ⇒ 'a⟩* **where**  
*⟨iterate-add n a = ((op + a) ^^ n) 0⟩*

**lemma** *iterate-add-simps[simp]*:  
*⟨iterate-add 0 a = 0⟩*  
*⟨iterate-add (Suc n) a = a + iterate-add n a⟩*  
*⟨proof⟩*

**lemma** *iterate-add-empty[simp]*: *⟨iterate-add n 0 = 0⟩*  
*⟨proof⟩*

**lemma** *iterate-add-distrib[simp]*: *⟨iterate-add (m+n) a = iterate-add m a + iterate-add n a⟩*  
*⟨proof⟩*

**lemma** *iterate-add-Numeral1*: *⟨iterate-add n Numeral1 = of-nat n⟩*  
*⟨proof⟩*

**lemma** *iterate-add-1*: *⟨iterate-add n 1 = of-nat n⟩*  
*⟨proof⟩*

**lemma** *iterate-add-eq-add-iff1*:  
*⟨i ≤ j ⇒ (iterate-add j u + m = iterate-add i u + n) = (iterate-add (j - i) u + m = n)⟩*  
*⟨proof⟩*

**lemma** *iterate-add-eq-add-iff2*:

$\langle i \leq j \implies (\text{iterate-add } i \ u + m = \text{iterate-add } j \ u + n) = (m = \text{iterate-add } (j - i) \ u + n) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *iterate-add-less-iff1*:

$j \leq (i::\text{nat}) \implies (\text{iterate-add } i \ (u::'a :: \{\text{cancel-comm-monoid-add, ordered-ab-semigroup-add-imp-le}\}) + m < \text{iterate-add } j \ u + n) = (\text{iterate-add } (i-j) \ u + m < n)$   
 $\langle \text{proof} \rangle$

**lemma** *iterate-add-less-iff2*:

$i \leq (j::\text{nat}) \implies (\text{iterate-add } i \ (u::'a :: \{\text{cancel-comm-monoid-add, ordered-ab-semigroup-add-imp-le}\}) + m < \text{iterate-add } j \ u + n) = (m < \text{iterate-add } (j - i) \ u + n)$   
 $\langle \text{proof} \rangle$

**lemma** *iterate-add-less-eq-iff1*:

$j \leq (i::\text{nat}) \implies (\text{iterate-add } i \ (u::'a :: \{\text{cancel-comm-monoid-add, ordered-ab-semigroup-add-imp-le}\}) + m \leq \text{iterate-add } j \ u + n) = (\text{iterate-add } (i-j) \ u + m \leq n)$   
 $\langle \text{proof} \rangle$

**lemma** *iterate-add-less-eq-iff2*:

$i \leq (j::\text{nat}) \implies (\text{iterate-add } i \ (u::'a :: \{\text{cancel-comm-monoid-add, ordered-ab-semigroup-add-imp-le}\}) + m \leq \text{iterate-add } j \ u + n) = (m \leq \text{iterate-add } (j - i) \ u + n)$   
 $\langle \text{proof} \rangle$

**lemma** *iterate-add-add-eq1*:

$j \leq (i::\text{nat}) \implies ((\text{iterate-add } i \ u + m) - (\text{iterate-add } j \ u + n)) = ((\text{iterate-add } (i-j) \ u + m) - n)$   
 $\langle \text{proof} \rangle$

**lemma** *iterate-add-diff-add-eq2*:

$i \leq (j::\text{nat}) \implies ((\text{iterate-add } i \ u + m) - (\text{iterate-add } j \ u + n)) = (m - (\text{iterate-add } (j-i) \ u + n))$   
 $\langle \text{proof} \rangle$

## 56.1 Simproc Set-Up

$\langle ML \rangle$

end

## 57 (Finite) Multisets

**theory** *Multiset*  
**imports** *Cancellation*  
**begin**

### 57.1 The type of multisets

**definition** *multiset* =  $\{f :: 'a \Rightarrow \text{nat. finite } \{x. f \ x > 0\}\}$

**typedef** *'a multiset = multiset* :: (*'a*  $\Rightarrow$  *nat*) *set*  
**morphisms** *count Abs-multiset*  
 $\langle$ *proof* $\rangle$

**setup-lifting** *type-definition-multiset*

**lemma** *multiset-eq-iff*:  $M = N \longleftrightarrow (\forall a. \text{count } M \ a = \text{count } N \ a)$   
 $\langle$ *proof* $\rangle$

**lemma** *multiset-eqI*:  $(\bigwedge x. \text{count } A \ x = \text{count } B \ x) \Longrightarrow A = B$   
 $\langle$ *proof* $\rangle$

Preservation of the representing set *multiset*.

**lemma** *const0-in-multiset*:  $(\lambda a. 0) \in \text{multiset}$   
 $\langle$ *proof* $\rangle$

**lemma** *only1-in-multiset*:  $(\lambda b. \text{if } b = a \text{ then } n \text{ else } 0) \in \text{multiset}$   
 $\langle$ *proof* $\rangle$

**lemma** *union-preserves-multiset*:  $M \in \text{multiset} \Longrightarrow N \in \text{multiset} \Longrightarrow (\lambda a. M \ a + N \ a) \in \text{multiset}$   
 $\langle$ *proof* $\rangle$

**lemma** *diff-preserves-multiset*:  
**assumes**  $M \in \text{multiset}$   
**shows**  $(\lambda a. M \ a - N \ a) \in \text{multiset}$   
 $\langle$ *proof* $\rangle$

**lemma** *filter-preserves-multiset*:  
**assumes**  $M \in \text{multiset}$   
**shows**  $(\lambda x. \text{if } P \ x \text{ then } M \ x \text{ else } 0) \in \text{multiset}$   
 $\langle$ *proof* $\rangle$

**lemmas** *in-multiset = const0-in-multiset only1-in-multiset*  
*union-preserves-multiset diff-preserves-multiset filter-preserves-multiset*

## 57.2 Representing multisets

Multiset enumeration

**instantiation** *multiset* :: (*type*) *cancel-comm-monoid-add*  
**begin**

**lift-definition** *zero-multiset* :: *'a multiset* **is**  $\lambda a. 0$   
 $\langle$ *proof* $\rangle$

**abbreviation** *Mempty* :: *'a multiset* ( $\{\#\}$ ) **where**  
 $Mempty \equiv 0$



**lift-definition** *plus-multiset* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset **is**  $\lambda M N.$   
 $(\lambda a. M a + N a)$   
 $\langle proof \rangle$

**lift-definition** *minus-multiset* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset **is**  $\lambda M$   
 $N. \lambda a. M a - N a$   
 $\langle proof \rangle$

**instance**  
 $\langle proof \rangle$

**end**

**context**  
**begin**

**qualified definition** *is-empty* :: 'a multiset  $\Rightarrow$  bool **where**  
 $[code-abbrev]: is-empty A \longleftrightarrow A = \{\#\}$

**end**

**lemma** *add-mset-in-multiset*:  
**assumes**  $M: \langle M \in multiset \rangle$   
**shows**  $\langle (\lambda b. if b = a then Suc (M b) else M b) \in multiset \rangle$   
 $\langle proof \rangle$

**lift-definition** *add-mset* :: 'a  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset **is**  
 $\lambda a M b. if b = a then Suc (M b) else M b$   
 $\langle proof \rangle$

**syntax**  
 $-multiset :: args \Rightarrow 'a multiset \quad (\{\#(-)\#\})$

**translations**  
 $\{\#x, xs\# \} == CONST add-mset x \{\#xs\# \}$   
 $\{\#x\# \} == CONST add-mset x \{\#\}$

**lemma** *count-empty* [simp]:  $count \{\#\} a = 0$   
 $\langle proof \rangle$

**lemma** *count-add-mset* [simp]:  
 $count (add-mset b A) a = (if b = a then Suc (count A a) else count A a)$   
 $\langle proof \rangle$

**lemma** *count-single*:  $count \{\#b\# \} a = (if b = a then 1 else 0)$   
 $\langle proof \rangle$

**lemma**  
 $add-mset-not-empty$  [simp]:  $\langle add-mset a A \neq \{\#\# \} \rangle$  **and**  
 $empty-not-add-mset$  [simp]:  $\{\#\# \} \neq add-mset a A$

*<proof>*

**lemma** *add-mset-add-mset-same-iff* [*simp*]:  
 $add-mset\ a\ A = add-mset\ a\ B \longleftrightarrow A = B$   
*<proof>*

**lemma** *add-mset-commute*:  
 $add-mset\ x\ (add-mset\ y\ M) = add-mset\ y\ (add-mset\ x\ M)$   
*<proof>*

## 57.3 Basic operations

### 57.3.1 Conversion to set and membership

**definition** *set-mset* :: *'a multiset*  $\Rightarrow$  *'a set*  
**where** *set-mset*  $M = \{x. count\ M\ x > 0\}$

**abbreviation** *Melem* :: *'a*  $\Rightarrow$  *'a multiset*  $\Rightarrow$  *bool*  
**where** *Melem*  $a\ M \equiv a \in set-mset\ M$

**notation**  
*Melem* (*op*  $\in\ \#$ ) **and**  
*Melem* (*(-/*  $\in\ \#$  *-)* [*51*, *51*] *50*)

**notation** (*ASCII*)  
*Melem* (*op*  $:\#$ ) **and**  
*Melem* (*(-/*  $:\#$  *-)* [*51*, *51*] *50*)

**abbreviation** *not-Melem* :: *'a*  $\Rightarrow$  *'a multiset*  $\Rightarrow$  *bool*  
**where** *not-Melem*  $a\ M \equiv a \notin set-mset\ M$

**notation**  
*not-Melem* (*op*  $\notin\ \#$ ) **and**  
*not-Melem* (*(-/*  $\notin\ \#$  *-)* [*51*, *51*] *50*)

**notation** (*ASCII*)  
*not-Melem* (*op*  $\sim\ :\#$ ) **and**  
*not-Melem* (*(-/*  $\sim\ :\#$  *-)* [*51*, *51*] *50*)

**context**  
**begin**

**qualified abbreviation** *Ball* :: *'a multiset*  $\Rightarrow$  (*'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *bool*  
**where** *Ball*  $M \equiv Set.Ball\ (set-mset\ M)$

**qualified abbreviation** *Bex* :: *'a multiset*  $\Rightarrow$  (*'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *bool*  
**where** *Bex*  $M \equiv Set.Bex\ (set-mset\ M)$

**end**

**syntax**

-MBall     :: *pttrn* ⇒ 'a set ⇒ bool ⇒ bool     ((∃∀-∈#-./ -) [0, 0, 10] 10)  
 -MBex     :: *pttrn* ⇒ 'a set ⇒ bool ⇒ bool     ((∃∃-∈#-./ -) [0, 0, 10] 10)

**syntax** (ASCII)

-MBall     :: *pttrn* ⇒ 'a set ⇒ bool ⇒ bool     ((∃∀-: #-./ -) [0, 0, 10] 10)  
 -MBex     :: *pttrn* ⇒ 'a set ⇒ bool ⇒ bool     ((∃∃-: #-./ -) [0, 0, 10] 10)

**translations**

∀  $x \in \#A$ .  $P \Rightarrow \text{CONST Multiset.Ball } A (\lambda x. P)$   
 ∃  $x \in \#A$ .  $P \Rightarrow \text{CONST Multiset.Bex } A (\lambda x. P)$

**lemma** *count-eq-zero-iff*:

*count*  $M$   $x = 0 \longleftrightarrow x \notin \# M$   
 ⟨*proof*⟩

**lemma** *not-in-iff*:

$x \notin \# M \longleftrightarrow \text{count } M$   $x = 0$   
 ⟨*proof*⟩

**lemma** *count-greater-zero-iff* [*simp*]:

*count*  $M$   $x > 0 \longleftrightarrow x \in \# M$   
 ⟨*proof*⟩

**lemma** *count-inI*:

**assumes** *count*  $M$   $x = 0 \Longrightarrow \text{False}$   
**shows**  $x \in \# M$   
 ⟨*proof*⟩

**lemma** *in-countE*:

**assumes**  $x \in \# M$   
**obtains**  $n$  **where** *count*  $M$   $x = \text{Suc } n$   
 ⟨*proof*⟩

**lemma** *count-greater-eq-Suc-zero-iff* [*simp*]:

*count*  $M$   $x \geq \text{Suc } 0 \longleftrightarrow x \in \# M$   
 ⟨*proof*⟩

**lemma** *count-greater-eq-one-iff* [*simp*]:

*count*  $M$   $x \geq 1 \longleftrightarrow x \in \# M$   
 ⟨*proof*⟩

**lemma** *set-mset-empty* [*simp*]:

*set-mset*  $\{\#\} = \{\}$   
 ⟨*proof*⟩

**lemma** *set-mset-single*:

*set-mset*  $\{\#b\#} = \{b\}$   
 ⟨*proof*⟩

**lemma** *set-mset-eq-empty-iff* [simp]:

$set\text{-}mset\ M = \{\} \longleftrightarrow M = \{\#\}$   
 ⟨proof⟩

**lemma** *finite-set-mset* [iff]:

$finite\ (set\text{-}mset\ M)$   
 ⟨proof⟩

**lemma** *set-mset-add-mset-insert* [simp]:  $\langle set\text{-}mset\ (add\text{-}mset\ a\ A) = insert\ a\ (set\text{-}mset\ A) \rangle$

⟨proof⟩

**lemma** *multiset-nonemptyE* [elim]:

**assumes**  $A \neq \{\#\}$   
**obtains**  $x$  **where**  $x \in\# A$   
 ⟨proof⟩

### 57.3.2 Union

**lemma** *count-union* [simp]:

$count\ (M + N)\ a = count\ M\ a + count\ N\ a$   
 ⟨proof⟩

**lemma** *set-mset-union* [simp]:

$set\text{-}mset\ (M + N) = set\text{-}mset\ M \cup set\text{-}mset\ N$   
 ⟨proof⟩

**lemma** *union-mset-add-mset-left* [simp]:

$add\text{-}mset\ a\ A + B = add\text{-}mset\ a\ (A + B)$   
 ⟨proof⟩

**lemma** *union-mset-add-mset-right* [simp]:

$A + add\text{-}mset\ a\ B = add\text{-}mset\ a\ (A + B)$   
 ⟨proof⟩

**lemma** *add-mset-add-single*:  $\langle add\text{-}mset\ a\ A = A + \{\#a\#\} \rangle$

⟨proof⟩

### 57.3.3 Difference

**instance** *multiset* :: (type) *comm-monoid-diff*

⟨proof⟩

**lemma** *count-diff* [simp]:

$count\ (M - N)\ a = count\ M\ a - count\ N\ a$   
 ⟨proof⟩

**lemma** *add-mset-diff-bothsides*:

$\langle add\text{-}mset\ a\ M - add\text{-}mset\ a\ A = M - A \rangle$

*<proof>*

**lemma** *in-diff-count*:

$a \in\# M - N \longleftrightarrow \text{count } N a < \text{count } M a$

*<proof>*

**lemma** *count-in-diffI*:

**assumes**  $\bigwedge n. \text{count } N x = n + \text{count } M x \implies \text{False}$

**shows**  $x \in\# M - N$

*<proof>*

**lemma** *in-diff-countE*:

**assumes**  $x \in\# M - N$

**obtains**  $n$  **where**  $\text{count } M x = \text{Suc } n + \text{count } N x$

*<proof>*

**lemma** *in-diffD*:

**assumes**  $a \in\# M - N$

**shows**  $a \in\# M$

*<proof>*

**lemma** *set-mset-diff*:

$\text{set-mset } (M - N) = \{a. \text{count } N a < \text{count } M a\}$

*<proof>*

**lemma** *diff-empty [simp]*:  $M - \{\#\} = M \wedge \{\#\} - M = \{\#\}$

*<proof>*

**lemma** *diff-cancel*:  $A - A = \{\#\}$

*<proof>*

**lemma** *diff-union-cancelR*:  $M + N - N = (M :: 'a \text{ multiset})$

*<proof>*

**lemma** *diff-union-cancelL*:  $N + M - N = (M :: 'a \text{ multiset})$

*<proof>*

**lemma** *diff-right-commute*:

**fixes**  $M N Q :: 'a \text{ multiset}$

**shows**  $M - N - Q = M - Q - N$

*<proof>*

**lemma** *diff-add*:

**fixes**  $M N Q :: 'a \text{ multiset}$

**shows**  $M - (N + Q) = M - N - Q$

*<proof>*

**lemma** *insert-DiffM [simp]*:  $x \in\# M \implies \text{add-mset } x (M - \{\#x\}) = M$

*<proof>*

**lemma** *insert-DiffM2*:  $x \in\# M \implies (M - \{\#x\}) + \{\#x\} = M$   
 ⟨proof⟩

**lemma** *diff-union-swap*:  $a \neq b \implies \text{add-mset } b (M - \{\#a\}) = \text{add-mset } b M - \{\#a\}$   
 ⟨proof⟩

**lemma** *diff-add-mset-swap* [*simp*]:  $b \notin\# A \implies \text{add-mset } b M - A = \text{add-mset } b (M - A)$   
 ⟨proof⟩

**lemma** *diff-union-swap2* [*simp*]:  $y \in\# M \implies \text{add-mset } x M - \{\#y\} = \text{add-mset } x (M - \{\#y\})$   
 ⟨proof⟩

**lemma** *diff-diff-add-mset* [*simp*]:  $(M::'a \text{ multiset}) - N - P = M - (N + P)$   
 ⟨proof⟩

**lemma** *diff-union-single-conv*:  
 $a \in\# J \implies I + J - \{\#a\} = I + (J - \{\#a\})$   
 ⟨proof⟩

**lemma** *mset-add* [*elim?*]:  
 assumes  $a \in\# A$   
 obtains  $B$  where  $A = \text{add-mset } a B$   
 ⟨proof⟩

**lemma** *union-iff*:  
 $a \in\# A + B \iff a \in\# A \vee a \in\# B$   
 ⟨proof⟩

### 57.3.4 Min and Max

**abbreviation** *Min-mset* ::  $'a::\text{linorder multiset} \Rightarrow 'a$  where  
 $\text{Min-mset } m \equiv \text{Min } (\text{set-mset } m)$

**abbreviation** *Max-mset* ::  $'a::\text{linorder multiset} \Rightarrow 'a$  where  
 $\text{Max-mset } m \equiv \text{Max } (\text{set-mset } m)$

### 57.3.5 Equality of multisets

**lemma** *single-eq-single* [*simp*]:  $\{\#a\} = \{\#b\} \iff a = b$   
 ⟨proof⟩

**lemma** *union-eq-empty* [*iff*]:  $M + N = \{\#\} \iff M = \{\#\} \wedge N = \{\#\}$   
 ⟨proof⟩

**lemma** *empty-eq-union* [*iff*]:  $\{\#\} = M + N \iff M = \{\#\} \wedge N = \{\#\}$   
 ⟨proof⟩

**lemma** *multi-self-add-other-not-self* [simp]:  $M = \text{add-mset } x \ M \longleftrightarrow \text{False}$   
 ⟨proof⟩

**lemma** *add-mset-remove-trivial* [simp]:  $\langle \text{add-mset } x \ M - \{x\} = M \rangle$   
 ⟨proof⟩

**lemma** *diff-single-trivial*:  $\neg x \in \# \ M \implies M - \{x\} = M$   
 ⟨proof⟩

**lemma** *diff-single-eq-union*:  $x \in \# \ M \implies M - \{x\} = N \longleftrightarrow M = \text{add-mset } x \ N$   
 ⟨proof⟩

**lemma** *union-single-eq-diff*:  $\text{add-mset } x \ M = N \implies M = N - \{x\}$   
 ⟨proof⟩

**lemma** *union-single-eq-member*:  $\text{add-mset } x \ M = N \implies x \in \# \ N$   
 ⟨proof⟩

**lemma** *add-mset-remove-trivial-If*:  
 $\text{add-mset } a \ (N - \{a\}) = (\text{if } a \in \# \ N \text{ then } N \text{ else } \text{add-mset } a \ N)$   
 ⟨proof⟩

**lemma** *add-mset-remove-trivial-eq*:  $\langle N = \text{add-mset } a \ (N - \{a\}) \longleftrightarrow a \in \# \ N \rangle$   
 ⟨proof⟩

**lemma** *union-is-single*:  
 $M + N = \{a\} \longleftrightarrow M = \{a\} \wedge N = \{\#\} \vee M = \{\#\} \wedge N = \{a\}$   
 (is ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *single-is-union*:  $\{a\} = M + N \longleftrightarrow \{a\} = M \wedge N = \{\#\} \vee M = \{\#\} \wedge \{a\} = N$   
 ⟨proof⟩

**lemma** *add-eq-conv-diff*:  
 $\text{add-mset } a \ M = \text{add-mset } b \ N \longleftrightarrow M = N \wedge a = b \vee M = \text{add-mset } b \ (N - \{b\}) \wedge N = \text{add-mset } a \ (M - \{a\})$   
 (is ?lhs  $\longleftrightarrow$  ?rhs)

⟨proof⟩

**lemma** *add-mset-eq-single* [iff]:  $\text{add-mset } b \ M = \{a\} \longleftrightarrow b = a \wedge M = \{\#\}$   
 ⟨proof⟩

**lemma** *single-eq-add-mset* [iff]:  $\{a\} = \text{add-mset } b \ M \longleftrightarrow b = a \wedge M = \{\#\}$   
 ⟨proof⟩

**lemma** *insert-noteq-member*:

**assumes** *BC*:  $\text{add-mset } b \ B = \text{add-mset } c \ C$

**and** *bnotc*:  $b \neq c$

**shows**  $c \in\# \ B$

*<proof>*

**lemma** *add-eq-conv-ex*:

$(\text{add-mset } a \ M = \text{add-mset } b \ N) =$

$(M = N \wedge a = b \vee (\exists K. M = \text{add-mset } b \ K \wedge N = \text{add-mset } a \ K))$

*<proof>*

**lemma** *multi-member-split*:  $x \in\# \ M \implies \exists A. M = \text{add-mset } x \ A$

*<proof>*

**lemma** *multiset-add-sub-el-shuffle*:

**assumes**  $c \in\# \ B$

**and**  $b \neq c$

**shows**  $\text{add-mset } b \ (B - \{\#c\}) = \text{add-mset } b \ B - \{\#c\}$

*<proof>*

**lemma** *add-mset-eq-singleton-iff*[*iff*]:

$\text{add-mset } x \ M = \{\#y\} \longleftrightarrow M = \{\#\} \wedge x = y$

*<proof>*

### 57.3.6 Pointwise ordering induced by count

**definition** *subseteq-mset* ::  $'a \ \text{multiset} \Rightarrow 'a \ \text{multiset} \Rightarrow \text{bool}$  (**infix**  $\subseteq\#$  50)

**where**  $A \subseteq\# \ B \longleftrightarrow (\forall a. \text{count } A \ a \leq \text{count } B \ a)$

**definition** *subset-mset* ::  $'a \ \text{multiset} \Rightarrow 'a \ \text{multiset} \Rightarrow \text{bool}$  (**infix**  $\subset\#$  50)

**where**  $A \subset\# \ B \longleftrightarrow A \subseteq\# \ B \wedge A \neq B$

**abbreviation** (*input*) *supseteq-mset* ::  $'a \ \text{multiset} \Rightarrow 'a \ \text{multiset} \Rightarrow \text{bool}$  (**infix**  $\supseteq\#$  50)

**where**  $\text{supseteq-mset } A \ B \equiv B \subseteq\# \ A$

**abbreviation** (*input*) *supset-mset* ::  $'a \ \text{multiset} \Rightarrow 'a \ \text{multiset} \Rightarrow \text{bool}$  (**infix**  $\supset\#$  50)

**where**  $\text{supset-mset } A \ B \equiv B \subset\# \ A$

**notation** (*input*)

*subseq-mset* (**infix**  $\leq\#$  50) **and**

*supseq-mset* (**infix**  $\geq\#$  50)

**notation** (*ASCII*)

*subseq-mset* (**infix**  $\leq\#$  50) **and**

*subset-mset* (**infix**  $<\#$  50) **and**

*supseq-mset* (**infix**  $\geq\#$  50) **and**



*supset-mset* (**infix**  $>\#$  50)

**interpretation** *subset-mset*: *ordered-ab-semigroup-add-imp-le*  $op + op - op \subseteq\#$   
 $op \subset\#$   
 $\langle proof \rangle$

**interpretation** *subset-mset*: *ordered-ab-semigroup-monoid-add-imp-le*  $op + 0 op$   
 $- op \subseteq\# op \subset\#$   
 $\langle proof \rangle$

**lemma** *mset-subset-eqI*:  
 $(\bigwedge a. count A a \leq count B a) \implies A \subseteq\# B$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-count*:  
 $A \subseteq\# B \implies count A a \leq count B a$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-exists-conv*:  $(A::'a multiset) \subseteq\# B \longleftrightarrow (\exists C. B = A + C)$   
 $\langle proof \rangle$

**interpretation** *subset-mset*: *ordered-cancel-comm-monoid-diff*  $op + 0 op \subseteq\# op$   
 $\subset\# op -$   
 $\langle proof \rangle$

**declare** *subset-mset.add-diff-assoc*[simp] *subset-mset.add-diff-assoc2*[simp]

**lemma** *mset-subset-eq-mono-add-right-cancel*:  $(A::'a multiset) + C \subseteq\# B + C$   
 $\longleftrightarrow A \subseteq\# B$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-mono-add-left-cancel*:  $C + (A::'a multiset) \subseteq\# C + B \longleftrightarrow$   
 $A \subseteq\# B$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-mono-add*:  $(A::'a multiset) \subseteq\# B \implies C \subseteq\# D \implies A +$   
 $C \subseteq\# B + D$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-add-left*:  $(A::'a multiset) \subseteq\# A + B$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-add-right*:  $B \subseteq\# (A::'a multiset) + B$   
 $\langle proof \rangle$

**lemma** *single-subset-iff* [simp]:  
 $\{\#a\# \} \subseteq\# M \longleftrightarrow a \in\# M$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-single*:  $a \in\# B \implies \{\#a\# \} \subseteq\# B$   
 ⟨proof⟩

**lemma** *mset-subset-eq-add-mset-cancel*:  $\langle \text{add-mset } a A \subseteq\# \text{ add-mset } a B \longleftrightarrow A \subseteq\# B \rangle$   
 ⟨proof⟩

**lemma** *multiset-diff-union-assoc*:  
**fixes**  $A B C D :: 'a \text{ multiset}$   
**shows**  $C \subseteq\# B \implies A + B - C = A + (B - C)$   
 ⟨proof⟩

**lemma** *mset-subset-eq-multiset-union-diff-commute*:  
**fixes**  $A B C D :: 'a \text{ multiset}$   
**shows**  $B \subseteq\# A \implies A - B + C = A + C - B$   
 ⟨proof⟩

**lemma** *diff-subset-eq-self[simp]*:  
 $(M :: 'a \text{ multiset}) - N \subseteq\# M$   
 ⟨proof⟩

**lemma** *mset-subset-eqD*:  
**assumes**  $A \subseteq\# B$  **and**  $x \in\# A$   
**shows**  $x \in\# B$   
 ⟨proof⟩

**lemma** *mset-subsetD*:  
 $A \subset\# B \implies x \in\# A \implies x \in\# B$   
 ⟨proof⟩

**lemma** *set-mset-mono*:  
 $A \subseteq\# B \implies \text{set-mset } A \subseteq \text{set-mset } B$   
 ⟨proof⟩

**lemma** *mset-subset-eq-insertD*:  
 $\text{add-mset } x A \subseteq\# B \implies x \in\# B \wedge A \subset\# B$   
 ⟨proof⟩

**lemma** *mset-subset-insertD*:  
 $\text{add-mset } x A \subset\# B \implies x \in\# B \wedge A \subset\# B$   
 ⟨proof⟩

**lemma** *mset-subset-of-empty[simp]*:  $A \subset\# \{\#\} \longleftrightarrow \text{False}$   
 ⟨proof⟩

**lemma** *empty-subset-add-mset[simp]*:  $\{\#\} \subset\# \text{add-mset } x M$   
 ⟨proof⟩

**lemma** *empty-le*:  $\{\#\} \subseteq\# A$

*<proof>*

**lemma** *insert-subset-eq-iff*:

$add\text{-}mset\ a\ A \subseteq\# B \longleftrightarrow a \in\# B \wedge A \subseteq\# B - \{\#a\#}$   
*<proof>*

**lemma** *insert-union-subset-iff*:

$add\text{-}mset\ a\ A \subset\# B \longleftrightarrow a \in\# B \wedge A \subset\# B - \{\#a\#}$   
*<proof>*

**lemma** *subset-eq-diff-conv*:

$A - C \subseteq\# B \longleftrightarrow A \subseteq\# B + C$   
*<proof>*

**lemma** *multi-psub-of-add-self* [*simp*]:  $A \subset\# add\text{-}mset\ x\ A$

*<proof>*

**lemma** *multi-psub-self*:  $A \subset\# A = False$

*<proof>*

**lemma** *mset-subset-add-mset* [*simp*]:  $add\text{-}mset\ x\ N \subset\# add\text{-}mset\ x\ M \longleftrightarrow N \subset\# M$

*<proof>*

**lemma** *mset-subset-diff-self*:  $c \in\# B \implies B - \{\#c\#} \subset\# B$

*<proof>*

**lemma** *Diff-eq-empty-iff-mset*:  $A - B = \{\#\} \longleftrightarrow A \subseteq\# B$

*<proof>*

**lemma** *add-mset-subseteq-single-iff* [*iff*]:  $add\text{-}mset\ a\ M \subseteq\# \{\#b\#} \longleftrightarrow M = \{\#\} \wedge a = b$

*<proof>*

### 57.3.7 Intersection and bounded union

**definition** *inf-subset-mset* ::  $'a\ multiset \Rightarrow 'a\ multiset \Rightarrow 'a\ multiset$  (**infixl**  $\cap\#$  70) **where**

*multiset-inter-def*:  $inf\text{-}subset\text{-}mset\ A\ B = A - (A - B)$

**interpretation** *subset-mset*: *semilattice-inf* *inf-subset-mset* *op*  $\subseteq\#$  *op*  $\subset\#$

*<proof>*

**definition** *sup-subset-mset* ::  $'a\ multiset \Rightarrow 'a\ multiset \Rightarrow 'a\ multiset$  (**infixl**  $\cup\#$  70)

**where** *sup-subset-mset*  $A\ B = A + (B - A)$  — FIXME irregular fact name

**interpretation** *subset-mset*: *semilattice-sup* *sup-subset-mset* *op*  $\subseteq\#$  *op*  $\subset\#$

*<proof>*

**interpretation** *subset-mset: bounded-lattice-bot op*  $\cap\#$  *op*  $\subseteq\#$  *op*  $\subset\#$   
 $op \cup\# \{\#\}$   
 $\langle proof \rangle$

### 57.3.8 Additional intersection facts

**lemma** *multiset-inter-count* [*simp*]:  
**fixes**  $A B :: 'a \text{ multiset}$   
**shows**  $count (A \cap\# B) x = \min (count A x) (count B x)$   
 $\langle proof \rangle$

**lemma** *set-mset-inter* [*simp*]:  
 $set-mset (A \cap\# B) = set-mset A \cap set-mset B$   
 $\langle proof \rangle$

**lemma** *diff-intersect-left-idem* [*simp*]:  
 $M - M \cap\# N = M - N$   
 $\langle proof \rangle$

**lemma** *diff-intersect-right-idem* [*simp*]:  
 $M - N \cap\# M = M - N$   
 $\langle proof \rangle$

**lemma** *multiset-inter-single*[*simp*]:  $a \neq b \implies \{\#a\# \} \cap\# \{\#b\# \} = \{\#\}$   
 $\langle proof \rangle$

**lemma** *multiset-union-diff-commute*:  
**assumes**  $B \cap\# C = \{\#\}$   
**shows**  $A + B - C = A - C + B$   
 $\langle proof \rangle$

**lemma** *disjunct-not-in*:  
 $A \cap\# B = \{\#\} \longleftrightarrow (\forall a. a \notin\# A \vee a \notin\# B)$  (**is**  $?P \longleftrightarrow ?Q$ )  
 $\langle proof \rangle$

**lemma** *inter-mset-empty-distrib-right*:  $A \cap\# (B + C) = \{\#\} \longleftrightarrow A \cap\# B = \{\#\} \wedge A \cap\# C = \{\#\}$   
 $\langle proof \rangle$

**lemma** *inter-mset-empty-distrib-left*:  $(A + B) \cap\# C = \{\#\} \longleftrightarrow A \cap\# C = \{\#\} \wedge B \cap\# C = \{\#\}$   
 $\langle proof \rangle$

**lemma** *add-mset-inter-add-mset*[*simp*]:  
 $add-mset a A \cap\# add-mset a B = add-mset a (A \cap\# B)$   
 $\langle proof \rangle$

**lemma** *add-mset-disjoint* [*simp*]:

$$\begin{aligned} \text{add-mset } a \ A \ \cap\# \ B = \{\#\} &\longleftrightarrow a \notin\# \ B \wedge A \ \cap\# \ B = \{\#\} \\ \{\#\} = \text{add-mset } a \ A \ \cap\# \ B &\longleftrightarrow a \notin\# \ B \wedge \{\#\} = A \ \cap\# \ B \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *disjoint-add-mset* [*simp*]:

$$\begin{aligned} B \ \cap\# \ \text{add-mset } a \ A = \{\#\} &\longleftrightarrow a \notin\# \ B \wedge B \ \cap\# \ A = \{\#\} \\ \{\#\} = A \ \cap\# \ \text{add-mset } b \ B &\longleftrightarrow b \notin\# \ A \wedge \{\#\} = A \ \cap\# \ B \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *inter-add-left1*:  $\neg x \in\# \ N \implies (\text{add-mset } x \ M) \ \cap\# \ N = M \ \cap\# \ N$   
 $\langle \text{proof} \rangle$

**lemma** *inter-add-left2*:  $x \in\# \ N \implies (\text{add-mset } x \ M) \ \cap\# \ N = \text{add-mset } x \ (M \ \cap\# \ (N - \{\#x\}))$   
 $\langle \text{proof} \rangle$

**lemma** *inter-add-right1*:  $\neg x \in\# \ N \implies N \ \cap\# \ (\text{add-mset } x \ M) = N \ \cap\# \ M$   
 $\langle \text{proof} \rangle$

**lemma** *inter-add-right2*:  $x \in\# \ N \implies N \ \cap\# \ (\text{add-mset } x \ M) = \text{add-mset } x \ ((N - \{\#x\}) \ \cap\# \ M)$   
 $\langle \text{proof} \rangle$

**lemma** *disjunct-set-mset-diff*:

**assumes**  $M \ \cap\# \ N = \{\#\}$   
**shows**  $\text{set-mset } (M - N) = \text{set-mset } M$   
 $\langle \text{proof} \rangle$

**lemma** *at-most-one-mset-mset-diff*:

**assumes**  $a \notin\# \ M - \{\#a\}$   
**shows**  $\text{set-mset } (M - \{\#a\}) = \text{set-mset } M - \{a\}$   
 $\langle \text{proof} \rangle$

**lemma** *more-than-one-mset-mset-diff*:

**assumes**  $a \in\# \ M - \{\#a\}$   
**shows**  $\text{set-mset } (M - \{\#a\}) = \text{set-mset } M$   
 $\langle \text{proof} \rangle$

**lemma** *inter-iff*:

$$a \in\# \ A \ \cap\# \ B \longleftrightarrow a \in\# \ A \wedge a \in\# \ B$$
 $\langle \text{proof} \rangle$ 

**lemma** *inter-union-distrib-left*:

$$A \ \cap\# \ B + C = (A + C) \ \cap\# \ (B + C)$$
 $\langle \text{proof} \rangle$ 

**lemma** *inter-union-distrib-right*:

$$C + A \ \cap\# \ B = (C + A) \ \cap\# \ (C + B)$$
 $\langle \text{proof} \rangle$

**lemma** *inter-subset-eq-union*:

$$A \cap\# B \subseteq\# A + B$$

*<proof>*

### 57.3.9 Additional bounded union facts

**lemma** *sup-subset-mset-count* [*simp*]: — FIXME irregular fact name

$$\text{count } (A \cup\# B) x = \max (\text{count } A x) (\text{count } B x)$$

*<proof>*

**lemma** *set-mset-sup* [*simp*]:

$$\text{set-mset } (A \cup\# B) = \text{set-mset } A \cup \text{set-mset } B$$

*<proof>*

**lemma** *sup-union-left1* [*simp*]:  $\neg x \in\# N \implies (\text{add-mset } x M) \cup\# N = \text{add-mset } x (M \cup\# N)$

*<proof>*

**lemma** *sup-union-left2*:  $x \in\# N \implies (\text{add-mset } x M) \cup\# N = \text{add-mset } x (M \cup\# (N - \{\#x\}))$

*<proof>*

**lemma** *sup-union-right1* [*simp*]:  $\neg x \in\# N \implies N \cup\# (\text{add-mset } x M) = \text{add-mset } x (N \cup\# M)$

*<proof>*

**lemma** *sup-union-right2*:  $x \in\# N \implies N \cup\# (\text{add-mset } x M) = \text{add-mset } x ((N - \{\#x\}) \cup\# M)$

*<proof>*

**lemma** *sup-union-distrib-left*:

$$A \cup\# B + C = (A + C) \cup\# (B + C)$$

*<proof>*

**lemma** *union-sup-distrib-right*:

$$C + A \cup\# B = (C + A) \cup\# (C + B)$$

*<proof>*

**lemma** *union-diff-inter-eq-sup*:

$$A + B - A \cap\# B = A \cup\# B$$

*<proof>*

**lemma** *union-diff-sup-eq-inter*:

$$A + B - A \cup\# B = A \cap\# B$$

*<proof>*

**lemma** *add-mset-union*:

$$\langle \text{add-mset } a A \cup\# \text{add-mset } a B = \text{add-mset } a (A \cup\# B) \rangle$$

*<proof>*

#### 57.4 Replicate and repeat operations

**definition** *replicate-mset* ::  $\text{nat} \Rightarrow 'a \Rightarrow 'a \text{ multiset}$  **where**  
*replicate-mset*  $n\ x = (\text{add-mset}\ x\ \wedge\wedge\ n)\ \{\#\}$

**lemma** *replicate-mset-0[simp]*: *replicate-mset*  $0\ x = \{\#\}$   
*<proof>*

**lemma** *replicate-mset-Suc [simp]*: *replicate-mset*  $(\text{Suc}\ n)\ x = \text{add-mset}\ x\ (\text{replicate-mset}\ n\ x)$   
*<proof>*

**lemma** *count-replicate-mset[simp]*: *count*  $(\text{replicate-mset}\ n\ x)\ y = (\text{if}\ y = x\ \text{then}\ n\ \text{else}\ 0)$   
*<proof>*

**fun** *repeat-mset* ::  $\text{nat} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$  **where**  
*repeat-mset*  $0\ - = \{\#\}$  |  
*repeat-mset*  $(\text{Suc}\ n)\ A = A + \text{repeat-mset}\ n\ A$

**lemma** *count-repeat-mset [simp]*: *count*  $(\text{repeat-mset}\ i\ A)\ a = i * \text{count}\ A\ a$   
*<proof>*

**lemma** *repeat-mset-right [simp]*: *repeat-mset*  $a\ (\text{repeat-mset}\ b\ A) = \text{repeat-mset}\ (a * b)\ A$   
*<proof>*

**lemma** *left-diff-repeat-mset-distrib'*: *repeat-mset*  $(i - j)\ u = \text{repeat-mset}\ i\ u - \text{repeat-mset}\ j\ u$   
*<proof>*

**lemma** *left-add-mult-distrib-mset*:  
*repeat-mset*  $i\ u + (\text{repeat-mset}\ j\ u + k) = \text{repeat-mset}\ (i+j)\ u + k$   
*<proof>*

**lemma** *repeat-mset-distrib*:  
*repeat-mset*  $(m + n)\ A = \text{repeat-mset}\ m\ A + \text{repeat-mset}\ n\ A$   
*<proof>*

**lemma** *repeat-mset-distrib2[simp]*:  
*repeat-mset*  $n\ (A + B) = \text{repeat-mset}\ n\ A + \text{repeat-mset}\ n\ B$   
*<proof>*

**lemma** *repeat-mset-replicate-mset[simp]*:  
*repeat-mset*  $n\ \{\#a\#\} = \text{replicate-mset}\ n\ a$   
*<proof>*

**lemma** *repeat-mset-distrib-add-mset*[simp]:  
 $\text{repeat-mset } n \ (\text{add-mset } a \ A) = \text{replicate-mset } n \ a + \text{repeat-mset } n \ A$   
 ⟨proof⟩

**lemma** *repeat-mset-empty*[simp]:  $\text{repeat-mset } n \ \{\#\} = \{\#\}$   
 ⟨proof⟩

### 57.4.1 Simprocs

**lemma** *repeat-mset-iterate-add*:  $\langle \text{repeat-mset } n \ M = \text{iterate-add } n \ M \rangle$   
 ⟨proof⟩

**lemma** *mset-subseteq-add-iff1*:  
 $j \leq (i::\text{nat}) \implies (\text{repeat-mset } i \ u + m \subseteq\# \text{repeat-mset } j \ u + n) = (\text{repeat-mset } (i-j) \ u + m \subseteq\# n)$   
 ⟨proof⟩

**lemma** *mset-subseteq-add-iff2*:  
 $i \leq (j::\text{nat}) \implies (\text{repeat-mset } i \ u + m \subseteq\# \text{repeat-mset } j \ u + n) = (m \subseteq\# \text{repeat-mset } (j-i) \ u + n)$   
 ⟨proof⟩

**lemma** *mset-subset-add-iff1*:  
 $j \leq (i::\text{nat}) \implies (\text{repeat-mset } i \ u + m \subset\# \text{repeat-mset } j \ u + n) = (\text{repeat-mset } (i-j) \ u + m \subset\# n)$   
 ⟨proof⟩

**lemma** *mset-subset-add-iff2*:  
 $i \leq (j::\text{nat}) \implies (\text{repeat-mset } i \ u + m \subset\# \text{repeat-mset } j \ u + n) = (m \subset\# \text{repeat-mset } (j-i) \ u + n)$   
 ⟨proof⟩

⟨ML⟩

**lemma** *add-mset-replicate-mset-safe*[cancelation-simproc-pre]:  $\langle \text{NO-MATCH } \{\#\} \ M \implies \text{add-mset } a \ M = \{\#a\# \} + M \rangle$   
 ⟨proof⟩

**declare** *repeat-mset-iterate-add*[cancelation-simproc-pre]

**declare** *iterate-add-distrib*[cancelation-simproc-pre]

**declare** *repeat-mset-iterate-add*[symmetric, cancelation-simproc-post]

**declare** *add-mset-not-empty*[cancelation-simproc-eq-elim]

*empty-not-add-mset*[cancelation-simproc-eq-elim]

*subset-mset.le-zero-eq*[cancelation-simproc-eq-elim]

*empty-not-add-mset*[cancelation-simproc-eq-elim]

*add-mset-not-empty*[cancelation-simproc-eq-elim]

*subset-mset.le-zero-eq*[cancelation-simproc-eq-elim]



*le-zero-eq*[*cancelation-simproc-eq-elim*]

⟨*ML*⟩

### 57.4.2 Conditionally complete lattice

**instantiation** *multiset* :: (type) *Inf*  
**begin**

**lift-definition** *Inf-multiset* :: 'a multiset set  $\Rightarrow$  'a multiset **is**

$\lambda A i.$  if  $A = \{\}$  then 0 else *Inf* (( $\lambda f.$  f *i*) 'A)  
⟨*proof*⟩

**instance** ⟨*proof*⟩

**end**

**lemma** *Inf-multiset-empty*: *Inf*  $\{\}$  =  $\{\#\}$   
⟨*proof*⟩

**lemma** *count-Inf-multiset-nonempty*:  $A \neq \{\} \Longrightarrow \text{count } (\text{Inf } A) x = \text{Inf } ((\lambda X. \text{count } X x) 'A)$   
⟨*proof*⟩

**instantiation** *multiset* :: (type) *Sup*  
**begin**

**definition** *Sup-multiset* :: 'a multiset set  $\Rightarrow$  'a multiset **where**

*Sup-multiset*  $A = (\text{if } A \neq \{\} \wedge \text{subset-mset.bdd-above } A \text{ then } \text{Abs-multiset } (\lambda i. \text{Sup } ((\lambda X. \text{count } X i) 'A)) \text{ else } \{\#\})$

**lemma** *Sup-multiset-empty*: *Sup*  $\{\}$  =  $\{\#\}$   
⟨*proof*⟩

**lemma** *Sup-multiset-unbounded*:  $\neg \text{subset-mset.bdd-above } A \Longrightarrow \text{Sup } A = \{\#\}$   
⟨*proof*⟩

**instance** ⟨*proof*⟩

**end**

**lemma** *bdd-above-multiset-imp-bdd-above-count*:

**assumes** *subset-mset.bdd-above* ( $A :: 'a \text{ multiset set}$ )

**shows** *bdd-above* (( $\lambda X. \text{count } X x$ ) 'A)

⟨*proof*⟩

**lemma** *bdd-above-multiset-imp-finite-support*:

**assumes**  $A \neq \{\}$  *subset-mset.bdd-above* ( $A :: 'a$  multiset set)  
**shows**  $\text{finite } (\bigcup X \in A. \{x. \text{count } X \ x > 0\})$   
 ⟨*proof*⟩

**lemma** *Sup-multiset-in-multiset*:  
**assumes**  $A \neq \{\}$  *subset-mset.bdd-above*  $A$   
**shows**  $(\lambda i. \text{SUP } X:A. \text{count } X \ i) \in \text{multiset}$   
 ⟨*proof*⟩

**lemma** *count-Sup-multiset-nonempty*:  
**assumes**  $A \neq \{\}$  *subset-mset.bdd-above*  $A$   
**shows**  $\text{count } (\text{Sup } A) \ x = (\text{SUP } X:A. \text{count } X \ x)$   
 ⟨*proof*⟩

**interpretation** *subset-mset: conditionally-complete-lattice*  $\text{Inf } \text{Sup } \text{op } \cap\# \ \text{op } \subseteq\#$   
 $\text{op } \subset\# \ \text{op } \cup\#$   
 ⟨*proof*⟩

**lemma** *set-mset-Inf*:  
**assumes**  $A \neq \{\}$   
**shows**  $\text{set-mset } (\text{Inf } A) = (\bigcap X \in A. \text{set-mset } X)$   
 ⟨*proof*⟩

**lemma** *in-Inf-multiset-iff*:  
**assumes**  $A \neq \{\}$   
**shows**  $x \in\# \ \text{Inf } A \longleftrightarrow (\forall X \in A. x \in\# \ X)$   
 ⟨*proof*⟩

**lemma** *in-Inf-multisetD*:  $x \in\# \ \text{Inf } A \implies X \in A \implies x \in\# \ X$   
 ⟨*proof*⟩

**lemma** *set-mset-Sup*:  
**assumes** *subset-mset.bdd-above*  $A$   
**shows**  $\text{set-mset } (\text{Sup } A) = (\bigcup X \in A. \text{set-mset } X)$   
 ⟨*proof*⟩

**lemma** *in-Sup-multiset-iff*:  
**assumes** *subset-mset.bdd-above*  $A$   
**shows**  $x \in\# \ \text{Sup } A \longleftrightarrow (\exists X \in A. x \in\# \ X)$   
 ⟨*proof*⟩

**lemma** *in-Sup-multisetD*:  
**assumes**  $x \in\# \ \text{Sup } A$   
**shows**  $\exists X \in A. x \in\# \ X$   
 ⟨*proof*⟩

**interpretation** *subset-mset: distrib-lattice*  $\text{op } \cap\# \ \text{op } \subseteq\# \ \text{op } \subset\# \ \text{op } \cup\#$   
 ⟨*proof*⟩

**57.4.3 Filter (with comprehension syntax)**

Multiset comprehension

**lift-definition** *filter-mset* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset  
**is**  $\lambda P M. \lambda x. \text{if } P x \text{ then } M x \text{ else } 0$   
 <proof>

**syntax** (ASCII)
$$\text{-MCollect} :: \text{pttrn} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ multiset} \quad ((1\{\#\text{-}:\#\text{-}/\text{-}\#\})$$
**syntax**

$$\text{-MCollect} :: \text{pttrn} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ multiset} \quad ((1\{\#\text{-}\in\#\text{-}/\text{-}\#\})$$
**translations**

$$\{\#\text{x} \in\# M. P\#\} == \text{CONST } \text{filter-mset } (\lambda x. P) M$$
**lemma** *count-filter-mset* [simp]:
$$\text{count } (\text{filter-mset } P M) a = (\text{if } P a \text{ then } \text{count } M a \text{ else } 0)$$

&lt;proof&gt;

**lemma** *set-mset-filter* [simp]:
$$\text{set-mset } (\text{filter-mset } P M) = \{a \in \text{set-mset } M. P a\}$$

&lt;proof&gt;

**lemma** *filter-empty-mset* [simp]: *filter-mset* P {#} = {#}

&lt;proof&gt;

**lemma** *filter-single-mset*: *filter-mset* P {#x#} = (if P x then {#x#} else {#})

&lt;proof&gt;

**lemma** *filter-union-mset* [simp]: *filter-mset* P (M + N) = *filter-mset* P M + *filter-mset* P N

&lt;proof&gt;

**lemma** *filter-diff-mset* [simp]: *filter-mset* P (M - N) = *filter-mset* P M - *filter-mset* P N

&lt;proof&gt;

**lemma** *filter-inter-mset* [simp]: *filter-mset* P (M  $\cap$ # N) = *filter-mset* P M  $\cap$ # *filter-mset* P N

&lt;proof&gt;

**lemma** *filter-sup-mset*[simp]: *filter-mset* P (A  $\cup$ # B) = *filter-mset* P A  $\cup$ # *filter-mset* P B

&lt;proof&gt;

**lemma** *filter-mset-add-mset* [simp]:
$$\text{filter-mset } P (\text{add-mset } x A) =$$

$$(\text{if } P x \text{ then } \text{add-mset } x (\text{filter-mset } P A) \text{ else } \text{filter-mset } P A)$$

&lt;proof&gt;

**lemma** *multiset-filter-subset[simp]*:  $\text{filter-mset } f \ M \subseteq\# \ M$   
 ⟨proof⟩

**lemma** *multiset-filter-mono*:  
**assumes**  $A \subseteq\# \ B$   
**shows**  $\text{filter-mset } f \ A \subseteq\# \ \text{filter-mset } f \ B$   
 ⟨proof⟩

**lemma** *filter-mset-eq-conv*:  
 $\text{filter-mset } P \ M = N \longleftrightarrow N \subseteq\# \ M \wedge (\forall b \in\# \ N. P \ b) \wedge (\forall a \in\# \ M - N. \neg P \ a)$   
**(is**  $?P \longleftrightarrow ?Q)$   
 ⟨proof⟩

**lemma** *filter-filter-mset*:  $\text{filter-mset } P \ (\text{filter-mset } Q \ M) = \{\#x \in\# \ M. Q \ x \wedge P \ x\# \}$   
 ⟨proof⟩

**lemma**  
 $\text{filter-mset-True[simp]}$ :  $\{\#y \in\# \ M. \text{True}\# \} = M$  **and**  
 $\text{filter-mset-False[simp]}$ :  $\{\#y \in\# \ M. \text{False}\# \} = \{\#\}$   
 ⟨proof⟩

#### 57.4.4 Size

**definition** *wcount where*  $wcount \ f \ M = (\lambda x. \text{count } M \ x * \text{Suc } (f \ x))$

**lemma** *wcount-union*:  $wcount \ f \ (M + N) \ a = wcount \ f \ M \ a + wcount \ f \ N \ a$   
 ⟨proof⟩

**lemma** *wcount-add-mset*:  
 $wcount \ f \ (\text{add-mset } x \ M) \ a = (\text{if } x = a \ \text{then } \text{Suc } (f \ a) \ \text{else } 0) + wcount \ f \ M \ a$   
 ⟨proof⟩

**definition** *size-multiset* ::  $('a \Rightarrow \text{nat}) \Rightarrow 'a \ \text{multiset} \Rightarrow \text{nat}$  **where**  
 $\text{size-multiset } f \ M = \text{sum } (wcount \ f \ M) \ (\text{set-mset } M)$

**lemmas** *size-multiset-eq* = *size-multiset-def[unfolded wcount-def]*

**instantiation** *multiset* ::  $(\text{type}) \ \text{size}$   
**begin**

**definition** *size-multiset where*  
 $\text{size-multiset-overloaded-def}$ :  $\text{size-multiset} = \text{Multiset.size-multiset } (\lambda -. 0)$   
**instance** ⟨proof⟩

**end**

**lemmas** *size-multiset-overloaded-eq* =  
 $\text{size-multiset-overloaded-def}[\text{THEN fun-cong, unfolded size-multiset-eq, simplified}]$

**lemma** *size-multiset-empty* [simp]:  $\text{size-multiset } f \ \{\#\} = 0$   
 ⟨proof⟩

**lemma** *size-empty* [simp]:  $\text{size } \{\#\} = 0$   
 ⟨proof⟩

**lemma** *size-multiset-single* :  $\text{size-multiset } f \ \{\#b\# \} = \text{Suc } (f \ b)$   
 ⟨proof⟩

**lemma** *size-single*:  $\text{size } \{\#b\# \} = 1$   
 ⟨proof⟩

**lemma** *sum-wcount-Int*:  
 $\text{finite } A \implies \text{sum } (\text{wcount } f \ N) \ (A \cap \text{set-mset } N) = \text{sum } (\text{wcount } f \ N) \ A$   
 ⟨proof⟩

**lemma** *size-multiset-union* [simp]:  
 $\text{size-multiset } f \ (M + N::'a \ \text{multiset}) = \text{size-multiset } f \ M + \text{size-multiset } f \ N$   
 ⟨proof⟩

**lemma** *size-multiset-add-mset* [simp]:  
 $\text{size-multiset } f \ (\text{add-mset } a \ M) = \text{Suc } (f \ a) + \text{size-multiset } f \ M$   
 ⟨proof⟩

**lemma** *size-add-mset* [simp]:  $\text{size } (\text{add-mset } a \ A) = \text{Suc } (\text{size } A)$   
 ⟨proof⟩

**lemma** *size-union* [simp]:  $\text{size } (M + N::'a \ \text{multiset}) = \text{size } M + \text{size } N$   
 ⟨proof⟩

**lemma** *size-multiset-eq-0-iff-empty* [iff]:  
 $\text{size-multiset } f \ M = 0 \iff M = \{\#\}$   
 ⟨proof⟩

**lemma** *size-eq-0-iff-empty* [iff]:  $(\text{size } M = 0) = (M = \{\#\})$   
 ⟨proof⟩

**lemma** *nonempty-has-size*:  $(S \neq \{\#\}) = (0 < \text{size } S)$   
 ⟨proof⟩

**lemma** *size-eq-Suc-imp-elem*:  $\text{size } M = \text{Suc } n \implies \exists a. a \in\# \ M$   
 ⟨proof⟩

**lemma** *size-eq-Suc-imp-eq-union*:  
**assumes**  $\text{size } M = \text{Suc } n$   
**shows**  $\exists a \ N. M = \text{add-mset } a \ N$   
 ⟨proof⟩

**lemma** *size-mset-mono*:  
**fixes**  $A B :: 'a \text{ multiset}$   
**assumes**  $A \subseteq\# B$   
**shows**  $\text{size } A \leq \text{size } B$   
 $\langle \text{proof} \rangle$

**lemma** *size-filter-mset-lesseq[simp]*:  $\text{size } (\text{filter-mset } f M) \leq \text{size } M$   
 $\langle \text{proof} \rangle$

**lemma** *size-Diff-submset*:  
 $M \subseteq\# M' \implies \text{size } (M' - M) = \text{size } M' - \text{size } (M :: 'a \text{ multiset})$   
 $\langle \text{proof} \rangle$

## 57.5 Induction and case splits

**theorem** *multiset-induct* [*case-names empty add, induct type: multiset*]:  
**assumes** *empty*:  $P \{\#\}$   
**assumes** *add*:  $\bigwedge x M. P M \implies P (\text{add-mset } x M)$   
**shows**  $P M$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-induct-min* [*case-names empty add*]:  
**fixes**  $M :: 'a :: \text{linorder multiset}$   
**assumes**  
*empty*:  $P \{\#\}$  **and**  
*add*:  $\bigwedge x M. P M \implies (\forall y \in\# M. y \geq x) \implies P (\text{add-mset } x M)$   
**shows**  $P M$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-induct-max* [*case-names empty add*]:  
**fixes**  $M :: 'a :: \text{linorder multiset}$   
**assumes**  
*empty*:  $P \{\#\}$  **and**  
*add*:  $\bigwedge x M. P M \implies (\forall y \in\# M. y \leq x) \implies P (\text{add-mset } x M)$   
**shows**  $P M$   
 $\langle \text{proof} \rangle$

**lemma** *multi-nonempty-split*:  $M \neq \{\#\} \implies \exists A a. M = \text{add-mset } a A$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-cases* [*cases type*]:  
**obtains** (*empty*)  $M = \{\#\}$   
| (*add*)  $x N$  **where**  $M = \text{add-mset } x N$   
 $\langle \text{proof} \rangle$

**lemma** *multi-drop-mem-not-eq*:  $c \in\# B \implies B - \{\#c\} \neq B$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-partition*:  $M = \{\#x \in\# M. P x\} + \{\#x \in\# M. \neg P x\}$

*<proof>*

**lemma** *mset-subset-size*:  $A \subset\# B \implies \text{size } A < \text{size } B$   
*<proof>*

**lemma** *size-1-singleton-mset*:  $\text{size } M = 1 \implies \exists a. M = \{\#a\# \}$   
*<proof>*

### 57.5.1 Strong induction and subset induction for multisets

Well-foundedness of strict subset relation

**lemma** *wf-subset-mset-rel*:  $wf \{(M, N :: 'a \text{ multiset}). M \subset\# N\}$   
*<proof>*

**lemma** *full-multiset-induct* [*case-names less*]:  
**assumes** *ih*:  $\bigwedge B. \forall (A :: 'a \text{ multiset}). A \subset\# B \longrightarrow P A \implies P B$   
**shows**  $P B$   
*<proof>*

**lemma** *multi-subset-induct* [*consumes 2, case-names empty add*]:  
**assumes**  $F \subseteq\# A$   
**and** *empty*:  $P \{\#\}$   
**and** *insert*:  $\bigwedge a F. a \in\# A \implies P F \implies P (\text{add-mset } a F)$   
**shows**  $P F$   
*<proof>*

### 57.6 The fold combinator

**definition** *fold-mset* ::  $('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'a \text{ multiset} \Rightarrow 'b$   
**where**

$\text{fold-mset } f s M = \text{Finite-Set.fold } (\lambda x. f x \hat{\hat{}} \text{count } M x) s (\text{set-mset } M)$

**lemma** *fold-mset-empty* [*simp*]:  $\text{fold-mset } f s \{\#\} = s$   
*<proof>*

**context** *comp-fun-commute*  
**begin**

**lemma** *fold-mset-add-mset* [*simp*]:  $\text{fold-mset } f s (\text{add-mset } x M) = f x (\text{fold-mset } f s M)$   
*<proof>*

**corollary** *fold-mset-single*:  $\text{fold-mset } f s \{\#x\# \} = f x s$   
*<proof>*

**lemma** *fold-mset-fun-left-comm*:  $f x (\text{fold-mset } f s M) = \text{fold-mset } f (f x s) M$   
*<proof>*

**lemma** *fold-mset-union* [*simp*]:  $fold\text{-}mset\ f\ s\ (M + N) = fold\text{-}mset\ f\ (fold\text{-}mset\ f\ s\ M)\ N$   
 ⟨*proof*⟩

**lemma** *fold-mset-fusion*:

**assumes** *comp-fun-commute* *g*

**and**  $*$ :  $\bigwedge x\ y. h\ (g\ x\ y) = f\ x\ (h\ y)$

**shows**  $h\ (fold\text{-}mset\ g\ w\ A) = fold\text{-}mset\ f\ (h\ w)\ A$   
 ⟨*proof*⟩

**end**

**lemma** *union-fold-mset-add-mset*:  $A + B = fold\text{-}mset\ add\text{-}mset\ A\ B$   
 ⟨*proof*⟩

A note on code generation: When defining some function containing a subterm *fold-mset* *F*, code generation is not automatic. When interpreting locale *left-commutative* with *F*, the would be code thms for *fold-mset* become thms like  $fold\text{-}mset\ F\ z\ \{\#\} = z$  where *F* is not a pattern but contains defined symbols, i.e. is not a code thm. Hence a separate constant with its own code thms needs to be introduced for *F*. See the image operator below.

## 57.7 Image

**definition** *image-mset* ::  $('a \Rightarrow 'b) \Rightarrow 'a\ multiset \Rightarrow 'b\ multiset$  **where**  
 $image\text{-}mset\ f = fold\text{-}mset\ (add\text{-}mset\ \circ\ f)\ \{\#\}$

**lemma** *comp-fun-commute-mset-image*: *comp-fun-commute*  $(add\text{-}mset\ \circ\ f)$   
 ⟨*proof*⟩

**lemma** *image-mset-empty* [*simp*]:  $image\text{-}mset\ f\ \{\#\} = \{\#\}$   
 ⟨*proof*⟩

**lemma** *image-mset-single*:  $image\text{-}mset\ f\ \{\#x\#\} = \{\#f\ x\#\}$   
 ⟨*proof*⟩

**lemma** *image-mset-union* [*simp*]:  $image\text{-}mset\ f\ (M + N) = image\text{-}mset\ f\ M + image\text{-}mset\ f\ N$   
 ⟨*proof*⟩

**corollary** *image-mset-add-mset* [*simp*]:

$image\text{-}mset\ f\ (add\text{-}mset\ a\ M) = add\text{-}mset\ (f\ a)\ (image\text{-}mset\ f\ M)$   
 ⟨*proof*⟩

**lemma** *set-image-mset* [*simp*]:  $set\text{-}mset\ (image\text{-}mset\ f\ M) = image\ f\ (set\text{-}mset\ M)$   
 ⟨*proof*⟩

**lemma** *size-image-mset* [*simp*]:  $size\ (image\text{-}mset\ f\ M) = size\ M$



*<proof>*

**lemma** *image-mset-is-empty-iff* [simp]:  $image\text{-}mset\ f\ M = \{\#\} \longleftrightarrow M = \{\#\}$   
*<proof>*

**lemma** *image-mset-If*:  
 $image\text{-}mset\ (\lambda x. \text{if } P\ x \text{ then } f\ x \text{ else } g\ x)\ A =$   
 $image\text{-}mset\ f\ (filter\text{-}mset\ P\ A) + image\text{-}mset\ g\ (filter\text{-}mset\ (\lambda x. \neg P\ x)\ A)$   
*<proof>*

**lemma** *image-mset-Diff*:  
**assumes**  $B \subseteq\# A$   
**shows**  $image\text{-}mset\ f\ (A - B) = image\text{-}mset\ f\ A - image\text{-}mset\ f\ B$   
*<proof>*

**lemma** *count-image-mset*:  $count\ (image\text{-}mset\ f\ A)\ x = (\sum y \in f^{-1}\{x\} \cap set\text{-}mset\ A. count\ A\ y)$   
*<proof>*

**lemma** *image-mset-subseteq-mono*:  $A \subseteq\# B \implies image\text{-}mset\ f\ A \subseteq\# image\text{-}mset\ f\ B$   
*<proof>*

**lemma** *image-mset-subset-mono*:  $M \subset\# N \implies image\text{-}mset\ f\ M \subset\# image\text{-}mset\ f\ N$   
*<proof>*

**syntax** (ASCII)

*-comprehension-mset* ::  $'a \Rightarrow 'b \Rightarrow 'b\ multiset \Rightarrow 'a\ multiset\ ((\{\#\}/. \cdot \cdot \#\ -\#\}))$

**syntax**

*-comprehension-mset* ::  $'a \Rightarrow 'b \Rightarrow 'b\ multiset \Rightarrow 'a\ multiset\ ((\{\#\}/. \cdot \in\#\ -\#\}))$

**translations**

$\{\#e. x \in\# M\#\} \equiv CONST\ image\text{-}mset\ (\lambda x. e)\ M$

**syntax** (ASCII)

*-comprehension-mset'* ::  $'a \Rightarrow 'b \Rightarrow 'b\ multiset \Rightarrow bool \Rightarrow 'a\ multiset\ ((\{\#\}/. | \cdot \cdot \#\ -\#\}))$

**syntax**

*-comprehension-mset'* ::  $'a \Rightarrow 'b \Rightarrow 'b\ multiset \Rightarrow bool \Rightarrow 'a\ multiset\ ((\{\#\}/. | \cdot \in\#\ -\#\}))$

**translations**

$\{\#e | x \in\# M. P\#\} \rightarrow \{\#e. x \in\# \{\#x \in\# M. P\#\}\#\}$

This allows to write not just filters like  $\{\#x \in\# M. x < c\#\}$  but also images like  $\{\#x + x. x \in\# M\#\}$  and  $\{\#x + x | x \in\# M. x < c\#\}$ , where the latter is currently displayed as  $\{\#x + x. x \in\# \{\#x \in\# M. x < c\#\}\#\}$ .

**lemma** *in-image-mset*:  $y \in\# \{\#f\ x. x \in\# M\#\} \longleftrightarrow y \in f^{-1}\ set\text{-}mset\ M$   
*<proof>*

**functor** *image-mset*: *image-mset*  
 ⟨*proof*⟩

**declare**

*image-mset.id* [*simp*]  
*image-mset.identity* [*simp*]

**lemma** *image-mset-id*[*simp*]: *image-mset id x = x*  
 ⟨*proof*⟩

**lemma** *image-mset-cong*:  $(\bigwedge x. x \in\# M \implies f x = g x) \implies \{\#f x. x \in\# M\# \} = \{\#g x. x \in\# M\# \}$   
 ⟨*proof*⟩

**lemma** *image-mset-cong-pair*:

$(\forall x y. (x, y) \in\# M \longrightarrow f x y = g x y) \implies \{\#f x y. (x, y) \in\# M\# \} = \{\#g x y. (x, y) \in\# M\# \}$   
 ⟨*proof*⟩

**lemma** *image-mset-const-eq*:

$\{\#c. a \in\# M\# \} = \text{replicate-mset } (\text{size } M) c$   
 ⟨*proof*⟩

## 57.8 Further conversions

**primrec** *mset* :: 'a list  $\Rightarrow$  'a multiset **where**

*mset* [] = {#} |  
*mset* (a # x) = *add-mset* a (*mset* x)

**lemma** *in-multiset-in-set*:

$x \in\# \text{mset } xs \longleftrightarrow x \in \text{set } xs$   
 ⟨*proof*⟩

**lemma** *count-mset*:

$\text{count } (\text{mset } xs) x = \text{length } (\text{filter } (\lambda y. x = y) xs)$   
 ⟨*proof*⟩

**lemma** *mset-zero-iff*[*simp*]:  $(\text{mset } x = \{\#\}) = (x = [])$   
 ⟨*proof*⟩

**lemma** *mset-zero-iff-right*[*simp*]:  $(\{\#\} = \text{mset } x) = (x = [])$   
 ⟨*proof*⟩

**lemma** *count-mset-gt-0*:  $x \in \text{set } xs \implies \text{count } (\text{mset } xs) x > 0$   
 ⟨*proof*⟩

**lemma** *count-mset-0-iff* [*simp*]:  $\text{count } (\text{mset } xs) x = 0 \longleftrightarrow x \notin \text{set } xs$   
 ⟨*proof*⟩

**lemma** *mset-single-iff* [*iff*]:  $mset\ xs = \{\#x\# \} \longleftrightarrow xs = [x]$   
 ⟨*proof*⟩

**lemma** *mset-single-iff-right* [*iff*]:  $\{\#x\# \} = mset\ xs \longleftrightarrow xs = [x]$   
 ⟨*proof*⟩

**lemma** *set-mset-mset* [*simp*]:  $set\ mset\ (mset\ xs) = set\ xs$   
 ⟨*proof*⟩

**lemma** *set-mset-comp-mset* [*simp*]:  $set\ mset \circ mset = set$   
 ⟨*proof*⟩

**lemma** *size-mset* [*simp*]:  $size\ (mset\ xs) = length\ xs$   
 ⟨*proof*⟩

**lemma** *mset-append* [*simp*]:  $mset\ (xs\ @\ ys) = mset\ xs + mset\ ys$   
 ⟨*proof*⟩

**lemma** *mset-filter*:  $mset\ (filter\ P\ xs) = \{\#x \in \# mset\ xs. P\ x\ \#\}$   
 ⟨*proof*⟩

**lemma** *mset-rev* [*simp*]:  
 $mset\ (rev\ xs) = mset\ xs$   
 ⟨*proof*⟩

**lemma** *surj-mset*: *surj* *mset*  
 ⟨*proof*⟩

**lemma** *distinct-count-atmost-1*:  
 $distinct\ x = (\forall a. count\ (mset\ x)\ a = (if\ a \in set\ x\ then\ 1\ else\ 0))$   
 ⟨*proof*⟩

**lemma** *mset-eq-setD*:  
**assumes**  $mset\ xs = mset\ ys$   
**shows**  $set\ xs = set\ ys$   
 ⟨*proof*⟩

**lemma** *set-eq-iff-mset-eq-distinct*:  
 $distinct\ x \implies distinct\ y \implies$   
 $(set\ x = set\ y) = (mset\ x = mset\ y)$   
 ⟨*proof*⟩

**lemma** *set-eq-iff-mset-remdups-eq*:  
 $(set\ x = set\ y) = (mset\ (remdups\ x) = mset\ (remdups\ y))$   
 ⟨*proof*⟩

**lemma** *mset-compl-union* [*simp*]:  $mset\ [x \leftarrow xs. P\ x] + mset\ [x \leftarrow xs. \neg P\ x] = mset\ xs$   
 ⟨*proof*⟩

**lemma** *nth-mem-mset*:  $i < \text{length } ls \implies (ls ! i) \in\# \text{ mset } ls$   
 ⟨proof⟩

**lemma** *mset-remove1[simp]*:  $\text{mset } (\text{remove1 } a \text{ } xs) = \text{mset } xs - \{\#a\#$   
 ⟨proof⟩

**lemma** *mset-eq-length*:  
**assumes**  $\text{mset } xs = \text{mset } ys$   
**shows**  $\text{length } xs = \text{length } ys$   
 ⟨proof⟩

**lemma** *mset-eq-length-filter*:  
**assumes**  $\text{mset } xs = \text{mset } ys$   
**shows**  $\text{length } (\text{filter } (\lambda x. z = x) \text{ } xs) = \text{length } (\text{filter } (\lambda y. z = y) \text{ } ys)$   
 ⟨proof⟩

**lemma** *fold-multiset-equiv*:  
**assumes**  $f: \bigwedge x y. x \in \text{set } xs \implies y \in \text{set } xs \implies f x \circ f y = f y \circ f x$   
**and** *equiv*:  $\text{mset } xs = \text{mset } ys$   
**shows**  $\text{List.fold } f \text{ } xs = \text{List.fold } f \text{ } ys$   
 ⟨proof⟩

**lemma** *mset-shuffle*:  $zs \in \text{shuffle } xs \text{ } ys \implies \text{mset } zs = \text{mset } xs + \text{mset } ys$   
 ⟨proof⟩

**lemma** *mset-insort [simp]*:  $\text{mset } (\text{insort } x \text{ } xs) = \text{add-mset } x \text{ } (\text{mset } xs)$   
 ⟨proof⟩

**lemma** *mset-map[simp]*:  $\text{mset } (\text{map } f \text{ } xs) = \text{image-mset } f \text{ } (\text{mset } xs)$   
 ⟨proof⟩

**global-interpretation** *mset-set*: *folding*  $\text{add-mset } \{\#\}$   
**defines**  $\text{mset-set} = \text{folding.F } \text{add-mset } \{\#\}$   
 ⟨proof⟩

**lemma** *sum-multiset-singleton [simp]*:  $\text{sum } (\lambda n. \{\#n\#) \text{ } A = \text{mset-set } A$   
 ⟨proof⟩

**lemma** *count-mset-set [simp]*:  
 $\text{finite } A \implies x \in A \implies \text{count } (\text{mset-set } A) \text{ } x = 1 \text{ (is PROP ?P)}$   
 $\neg \text{finite } A \implies \text{count } (\text{mset-set } A) \text{ } x = 0 \text{ (is PROP ?Q)}$   
 $x \notin A \implies \text{count } (\text{mset-set } A) \text{ } x = 0 \text{ (is PROP ?R)}$   
 ⟨proof⟩

**lemma** *elem-mset-set[simp, intro]*:  $\text{finite } A \implies x \in\# \text{ mset-set } A \iff x \in A$   
 ⟨proof⟩

**lemma** *mset-set-Union*:

$finite\ A \implies finite\ B \implies A \cap B = \{\} \implies mset\text{-}set\ (A \cup B) = mset\text{-}set\ A + mset\text{-}set\ B$   
 ⟨proof⟩

**lemma** *filter-mset-mset-set* [simp]:  
 $finite\ A \implies filter\text{-}mset\ P\ (mset\text{-}set\ A) = mset\text{-}set\ \{x \in A. P\ x\}$   
 ⟨proof⟩

**lemma** *mset-set-Diff*:  
**assumes**  $finite\ A\ B \subseteq A$   
**shows**  $mset\text{-}set\ (A - B) = mset\text{-}set\ A - mset\text{-}set\ B$   
 ⟨proof⟩

**lemma** *mset-set-set: distinct xs*  $\implies mset\text{-}set\ (set\ xs) = mset\ xs$   
 ⟨proof⟩

**lemma** *count-mset-set'*:  $count\ (mset\text{-}set\ A)\ x = (if\ finite\ A \wedge x \in A\ then\ 1\ else\ 0)$   
 ⟨proof⟩

**lemma** *subset-imp-msubset-mset-set*:  
**assumes**  $A \subseteq B\ finite\ B$   
**shows**  $mset\text{-}set\ A \subseteq\# mset\text{-}set\ B$   
 ⟨proof⟩

**lemma** *mset-set-set-mset-msubset*:  $mset\text{-}set\ (set\text{-}mset\ A) \subseteq\# A$   
 ⟨proof⟩

**context** *linorder*  
**begin**

**definition** *sorted-list-of-multiset* ::  $'a\ multiset \Rightarrow 'a\ list$   
**where**

$sorted\text{-}list\text{-}of\text{-}multiset\ M = fold\text{-}mset\ insert\ []\ M$

**lemma** *sorted-list-of-multiset-empty* [simp]:  
 $sorted\text{-}list\text{-}of\text{-}multiset\ \{\#\} = []$   
 ⟨proof⟩

**lemma** *sorted-list-of-multiset-singleton* [simp]:  
 $sorted\text{-}list\text{-}of\text{-}multiset\ \{\#x\#\} = [x]$   
 ⟨proof⟩

**lemma** *sorted-list-of-multiset-insert* [simp]:  
 $sorted\text{-}list\text{-}of\text{-}multiset\ (add\text{-}mset\ x\ M) = List.\text{insert}\ x\ (sorted\text{-}list\text{-}of\text{-}multiset\ M)$   
 ⟨proof⟩

**end**

**lemma** *mset-sorted-list-of-multiset*[simp]:  $mset (sorted-list-of-multiset M) = M$   
 ⟨proof⟩

**lemma** *sorted-list-of-multiset-mset*[simp]:  $sorted-list-of-multiset (mset xs) = sort\ xs$   
 ⟨proof⟩

**lemma** *finite-set-mset-mset-set*[simp]:  $finite\ A \implies set-mset (mset-set\ A) = A$   
 ⟨proof⟩

**lemma** *mset-set-empty-iff*:  $mset-set\ A = \{\#\} \longleftrightarrow A = \{\} \vee infinite\ A$   
 ⟨proof⟩

**lemma** *infinite-set-mset-mset-set*:  $\neg\ finite\ A \implies set-mset (mset-set\ A) = \{\}$   
 ⟨proof⟩

**lemma** *set-sorted-list-of-multiset* [simp]:  
 $set (sorted-list-of-multiset\ M) = set-mset\ M$   
 ⟨proof⟩

**lemma** *sorted-list-of-mset-set* [simp]:  
 $sorted-list-of-multiset (mset-set\ A) = sorted-list-of-set\ A$   
 ⟨proof⟩

**lemma** *mset-upt* [simp]:  $mset [m..<n] = mset-set\ \{m..<n\}$   
 ⟨proof⟩

**lemma** *image-mset-map-of*:  
 $distinct (map\ fst\ xs) \implies \{\#the (map-of\ xs\ i). i \in \# mset (map\ fst\ xs)\#\} = mset (map\ snd\ xs)$   
 ⟨proof⟩

**lemma** *msubset-mset-set-iff*[simp]:  
**assumes**  $finite\ A\ finite\ B$   
**shows**  $mset-set\ A \subseteq \# mset-set\ B \longleftrightarrow A \subseteq B$   
 ⟨proof⟩

**lemma** *mset-set-eq-iff*[simp]:  
**assumes**  $finite\ A\ finite\ B$   
**shows**  $mset-set\ A = mset-set\ B \longleftrightarrow A = B$   
 ⟨proof⟩

**lemma** *image-mset-mset-set*:  
**assumes**  $inj-on\ f\ A$   
**shows**  $image-mset\ f (mset-set\ A) = mset-set (f\ 'A)$   
 ⟨proof⟩

### 57.9 More properties of the replicate and repeat operations

**lemma** *in-replicate-mset*[simp]:  $x \in\# \text{ replicate-mset } n \ y \longleftrightarrow n > 0 \wedge x = y$   
 ⟨proof⟩

**lemma** *set-mset-replicate-mset-subset*[simp]:  $\text{set-mset } (\text{replicate-mset } n \ x) = (\text{if } n = 0 \text{ then } \{\} \text{ else } \{x\})$   
 ⟨proof⟩

**lemma** *size-replicate-mset*[simp]:  $\text{size } (\text{replicate-mset } n \ M) = n$   
 ⟨proof⟩

**lemma** *count-le-replicate-mset-subset-eq*:  $n \leq \text{count } M \ x \longleftrightarrow \text{replicate-mset } n \ x \subseteq\# \ M$   
 ⟨proof⟩

**lemma** *filter-eq-replicate-mset*:  $\{\#y \in\# \ D. \ y = x\# \} = \text{replicate-mset } (\text{count } D \ x) \ x$   
 ⟨proof⟩

**lemma** *replicate-count-mset-eq-filter-eq*:  $\text{replicate } (\text{count } (\text{mset } xs) \ k) \ k = \text{filter } (\text{HOL.eq } k) \ xs$   
 ⟨proof⟩

**lemma** *replicate-mset-eq-empty-iff* [simp]:  $\text{replicate-mset } n \ a = \{\#\} \longleftrightarrow n = 0$   
 ⟨proof⟩

**lemma** *replicate-mset-eq-iff*:  
 $\text{replicate-mset } m \ a = \text{replicate-mset } n \ b \longleftrightarrow m = 0 \wedge n = 0 \vee m = n \wedge a = b$   
 ⟨proof⟩

**lemma** *repeat-mset-cancel1*:  $\text{repeat-mset } a \ A = \text{repeat-mset } a \ B \longleftrightarrow A = B \vee a = 0$   
 ⟨proof⟩

**lemma** *repeat-mset-cancel2*:  $\text{repeat-mset } a \ A = \text{repeat-mset } b \ A \longleftrightarrow a = b \vee A = \{\#\}$   
 ⟨proof⟩

**lemma** *repeat-mset-eq-empty-iff*:  $\text{repeat-mset } n \ A = \{\#\} \longleftrightarrow n = 0 \vee A = \{\#\}$   
 ⟨proof⟩

**lemma** *image-replicate-mset* [simp]:  
 $\text{image-mset } f \ (\text{replicate-mset } n \ a) = \text{replicate-mset } n \ (f \ a)$   
 ⟨proof⟩

### 57.10 Big operators

**locale** *comm-monoid-mset* = *comm-monoid*  
**begin**

**interpretation** *comp-fun-commute* *f*

*<proof>*

**interpretation** *comp?*: *comp-fun-commute* *f*  $\circ$  *g*

*<proof>*

**context**

**begin**

**definition** *F* :: 'a multiset  $\Rightarrow$  'a

where *eq-fold*:  $F\ M = \text{fold-mset } f\ \mathbf{1}\ M$

**lemma** *empty* [*simp*]:  $F\ \{\#\} = \mathbf{1}$

*<proof>*

**lemma** *singleton* [*simp*]:  $F\ \{\#x\# \} = x$

*<proof>*

**lemma** *union* [*simp*]:  $F\ (M + N) = F\ M * F\ N$

*<proof>*

**lemma** *add-mset* [*simp*]:  $F\ (\text{add-mset } x\ N) = x * F\ N$

*<proof>*

**lemma** *insert* [*simp*]:

**shows**  $F\ (\text{image-mset } g\ (\text{add-mset } x\ A)) = g\ x * F\ (\text{image-mset } g\ A)$

*<proof>*

**lemma** *remove*:

**assumes**  $x \in\# A$

**shows**  $F\ A = x * F\ (A - \{\#x\# \})$

*<proof>*

**lemma** *neutral*:

$\forall x \in\# A. x = \mathbf{1} \implies F\ A = \mathbf{1}$

*<proof>*

**lemma** *neutral-const* [*simp*]:

$F\ (\text{image-mset } (\lambda-. \mathbf{1})\ A) = \mathbf{1}$

*<proof>* **lemma** *F-image-mset-product*:

$F\ \{\#g\ x\ j * F\ \{\#g\ i\ j. i \in\# A\#\}. j \in\# B\#\} =$

$F\ (\text{image-mset } (g\ x)\ B) * F\ \{\#F\ \{\#g\ i\ j. i \in\# A\#\}. j \in\# B\#\}$

*<proof>*

**lemma** *commute*:

$F\ (\text{image-mset } (\lambda i. F\ (\text{image-mset } (g\ i)\ B))\ A) =$

$F\ (\text{image-mset } (\lambda j. F\ (\text{image-mset } (\lambda i. g\ i\ j)\ A))\ B)$

*<proof>*



**lemma** *distrib*:  $F (\text{image-mset } (\lambda x. g x * h x) A) = F (\text{image-mset } g A) * F (\text{image-mset } h A)$   
 ⟨proof⟩

**lemma** *union-disjoint*:

$A \cap \# B = \{\#\} \implies F (\text{image-mset } g (A \cup \# B)) = F (\text{image-mset } g A) * F (\text{image-mset } g B)$   
 ⟨proof⟩

**end**  
**end**

**lemma** *comp-fun-commute-plus-mset*[*simp*]: *comp-fun-commute* (*op* + :: 'a multi-set  $\Rightarrow$  -  $\Rightarrow$  -)  
 ⟨proof⟩

**declare** *comp-fun-commute.fold-mset-add-mset*[*OF comp-fun-commute-plus-mset, simp*]

**lemma** *in-mset-fold-plus-iff*[*iff*]:  $x \in \# \text{fold-mset } (\text{op } +) M NN \longleftrightarrow x \in \# M \vee (\exists N. N \in \# NN \wedge x \in \# N)$   
 ⟨proof⟩

**context** *comm-monoid-add*  
**begin**

**sublocale** *sum-mset*: *comm-monoid-mset plus 0*  
**defines** *sum-mset* = *sum-mset.F* ⟨proof⟩

**lemma** (**in** *semiring-1*) *sum-mset-replicate-mset* [*simp*]:  
 $\text{sum-mset } (\text{replicate-mset } n a) = \text{of-nat } n * a$   
 ⟨proof⟩

**lemma** *sum-unfold-sum-mset*:  
 $\text{sum } f A = \text{sum-mset } (\text{image-mset } f (\text{mset-set } A))$   
 ⟨proof⟩

**lemma** *sum-mset-delta*:  $\text{sum-mset } (\text{image-mset } (\lambda x. \text{if } x = y \text{ then } c \text{ else } 0) A) = c * \text{count } A y$   
 ⟨proof⟩

**lemma** *sum-mset-delta'*:  $\text{sum-mset } (\text{image-mset } (\lambda x. \text{if } y = x \text{ then } c \text{ else } 0) A) = c * \text{count } A y$   
 ⟨proof⟩

**end**

**lemma** *of-nat-sum-mset* [*simp*]:

*of-nat* (*sum-mset*  $M$ ) = *sum-mset* (*image-mset of-nat*  $M$ )  
 ⟨*proof*⟩

**lemma** *sum-mset-0-iff* [*simp*]:  
*sum-mset*  $M$  = ( $0 :: 'a :: \text{canonically-ordered-monoid-add}$ )  
 $\longleftrightarrow (\forall x \in \text{set-mset } M. x = 0)$   
 ⟨*proof*⟩

**lemma** *sum-mset-diff*:  
**fixes**  $M N :: ('a :: \text{ordered-cancel-comm-monoid-diff}) \text{ multiset}$   
**shows**  $N \subseteq\# M \implies \text{sum-mset } (M - N) = \text{sum-mset } M - \text{sum-mset } N$   
 ⟨*proof*⟩

**lemma** *size-eq-sum-mset*: *size*  $M$  = *sum-mset* (*image-mset* ( $\lambda\cdot. 1$ )  $M$ )  
 ⟨*proof*⟩

**lemma** *size-mset-set* [*simp*]: *size* (*mset-set*  $A$ ) = *card*  $A$   
 ⟨*proof*⟩

**lemma** *sum-mset-sum-list*: *sum-mset* (*mset*  $xs$ ) = *sum-list*  $xs$   
 ⟨*proof*⟩

**syntax** (*ASCII*)

*-sum-mset-image* :: *pttrn*  $\Rightarrow$  *'b set*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a::comm-monoid-add* (( $\exists \text{SUM } \cdot \# \cdot$  -)  
 -) [ $0, 51, 10$ ]  $10$ )

**syntax**

*-sum-mset-image* :: *pttrn*  $\Rightarrow$  *'b set*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a::comm-monoid-add* (( $\exists \sum \cdot \in \# \cdot$  -)  
 -) [ $0, 51, 10$ ]  $10$ )

**translations**

$\sum i \in\# A. b \equiv \text{CONST } \text{sum-mset } (\text{CONST } \text{image-mset } (\lambda i. b) A)$

**lemma** *sum-mset-distrib-left*:

**fixes**  $f :: 'a \Rightarrow 'b :: \text{semiring-0}$   
**shows**  $c * (\sum x \in\# M. f x) = (\sum x \in\# M. c * f(x))$   
 ⟨*proof*⟩

**lemma** *sum-mset-distrib-right*:

**fixes**  $f :: 'a \Rightarrow 'b :: \text{semiring-0}$   
**shows**  $(\sum b \in\# B. f b) * a = (\sum b \in\# B. f b * a)$   
 ⟨*proof*⟩

**lemma** *sum-mset-constant* [*simp*]:

**fixes**  $y :: 'b :: \text{semiring-1}$   
**shows**  $\langle (\sum x \in\# A. y) = \text{of-nat } (\text{size } A) * y \rangle$   
 ⟨*proof*⟩

**lemma** (**in** *ordered-comm-monoid-add*) *sum-mset-mono*:

**assumes**  $\bigwedge i. i \in\# K \implies f i \leq g i$   
**shows**  $\text{sum-mset } (\text{image-mset } f K) \leq \text{sum-mset } (\text{image-mset } g K)$

*<proof>*

**lemma** *sum-mset-product*:

**fixes**  $f :: 'a::\{\text{comm-monoid-add,times}\} \Rightarrow 'b::\text{semiring-0}$

**shows**  $(\sum i \in\# A. f i) * (\sum i \in\# B. g i) = (\sum i \in\# A. \sum j \in\# B. f i * g j)$

*<proof>*

**abbreviation** *Union-mset*  $:: 'a \text{ multiset multiset} \Rightarrow 'a \text{ multiset } (\bigcup\# \text{ [900] } 900)$

**where**  $\bigcup\# MM \equiv \text{sum-mset } MM$  — FIXME ambiguous notation – could likewise refer to  $\bigsqcup\#$

**lemma** *set-mset-Union-mset[simp]*:  $\text{set-mset } (\bigcup\# MM) = (\bigcup M \in \text{set-mset } MM. \text{set-mset } M)$

*<proof>*

**lemma** *in-Union-mset-iff[iff]*:  $x \in\# \bigcup\# MM \longleftrightarrow (\exists M. M \in\# MM \wedge x \in\# M)$

*<proof>*

**lemma** *count-sum*:

$\text{count } (\text{sum } f A) x = \text{sum } (\lambda a. \text{count } (f a) x) A$

*<proof>*

**lemma** *sum-eq-empty-iff*:

**assumes** *finite*  $A$

**shows**  $\text{sum } f A = \{\#\} \longleftrightarrow (\forall a \in A. f a = \{\#\})$

*<proof>*

**lemma** *Union-mset-empty-conv[simp]*:  $\bigcup\# M = \{\#\} \longleftrightarrow (\forall i \in\# M. i = \{\#\})$

*<proof>*

**context** *comm-monoid-mult*

**begin**

**sublocale** *prod-mset: comm-monoid-mset times 1*

**defines**  $\text{prod-mset} = \text{prod-mset.F}$  *<proof>*

**lemma** *prod-mset-empty*:

$\text{prod-mset } \{\#\} = 1$

*<proof>*

**lemma** *prod-mset-singleton*:

$\text{prod-mset } \{\#x\# \} = x$

*<proof>*

**lemma** *prod-mset-Un*:

$\text{prod-mset } (A + B) = \text{prod-mset } A * \text{prod-mset } B$

*<proof>*

**lemma** *prod-mset-replicate-mset* [*simp*]:  
 $\text{prod-mset } (\text{replicate-mset } n \ a) = a \wedge n$   
 ⟨*proof*⟩

**lemma** *prod-unfold-prod-mset*:  
 $\text{prod } f \ A = \text{prod-mset } (\text{image-mset } f \ (\text{mset-set } A))$   
 ⟨*proof*⟩

**lemma** *prod-mset-multiplicity*:  
 $\text{prod-mset } M = \text{prod } (\lambda x. x \wedge \text{count } M \ x) \ (\text{set-mset } M)$   
 ⟨*proof*⟩

**lemma** *prod-mset-delta*:  $\text{prod-mset } (\text{image-mset } (\lambda x. \text{if } x = y \text{ then } c \text{ else } 1) \ A) = c \wedge \text{count } A \ y$   
 ⟨*proof*⟩

**lemma** *prod-mset-delta'*:  $\text{prod-mset } (\text{image-mset } (\lambda x. \text{if } y = x \text{ then } c \text{ else } 1) \ A) = c \wedge \text{count } A \ y$   
 ⟨*proof*⟩

**end**

**syntax** (*ASCII*)

*-prod-mset-image* :: *pttrn*  $\Rightarrow$  'b *set*  $\Rightarrow$  'a  $\Rightarrow$  'a::*comm-monoid-mult* ((*3PROD* -:#-. -) [0, 51, 10] 10)

**syntax**

*-prod-mset-image* :: *pttrn*  $\Rightarrow$  'b *set*  $\Rightarrow$  'a  $\Rightarrow$  'a::*comm-monoid-mult* ((*3II* -:#-. -) [0, 51, 10] 10)

**translations**

$\text{II } i \in\# \ A. \ b \ \equiv \ \text{CONST } \text{prod-mset } (\text{CONST } \text{image-mset } (\lambda i. \ b) \ A)$

**lemma** *prod-mset-constant* [*simp*]:  $(\text{II } - \in\# \ A. \ c) = c \wedge \text{size } A$   
 ⟨*proof*⟩

**lemma** (**in** *comm-monoid-mult*) *prod-mset-subset-imp-dvd*:  
**assumes**  $A \subseteq\# \ B$   
**shows**  $\text{prod-mset } A \ \text{dvd } \text{prod-mset } B$   
 ⟨*proof*⟩

**lemma** (**in** *comm-monoid-mult*) *dvd-prod-mset*:  
**assumes**  $x \in\# \ A$   
**shows**  $x \ \text{dvd } \text{prod-mset } A$   
 ⟨*proof*⟩

**lemma** (**in** *semidom*) *prod-mset-zero-iff* [*iff*]:  
 $\text{prod-mset } A = 0 \iff 0 \in\# \ A$   
 ⟨*proof*⟩

**lemma** (**in** *semidom-divide*) *prod-mset-diff*:

**assumes**  $B \subseteq\# A$  **and**  $0 \notin\# B$   
**shows**  $\text{prod-mset } (A - B) = \text{prod-mset } A \text{ div prod-mset } B$   
 ⟨proof⟩

**lemma** (in *semidom-divide*) *prod-mset-minus*:  
**assumes**  $a \in\# A$  **and**  $a \neq 0$   
**shows**  $\text{prod-mset } (A - \{\#a\}) = \text{prod-mset } A \text{ div } a$   
 ⟨proof⟩

**lemma** (in *algebraic-semidom*) *is-unit-prod-mset-iff*:  
 $\text{is-unit } (\text{prod-mset } A) \longleftrightarrow (\forall x \in\# A. \text{is-unit } x)$   
 ⟨proof⟩

**lemma** (in *normalization-semidom*) *normalize-prod-mset*:  
 $\text{normalize } (\text{prod-mset } A) = \text{prod-mset } (\text{image-mset } \text{normalize } A)$   
 ⟨proof⟩

**lemma** (in *normalization-semidom*) *normalized-prod-msetI*:  
**assumes**  $\bigwedge a. a \in\# A \implies \text{normalize } a = a$   
**shows**  $\text{normalize } (\text{prod-mset } A) = \text{prod-mset } A$   
 ⟨proof⟩

**lemma** *prod-mset-prod-list*:  $\text{prod-mset } (\text{mset } xs) = \text{prod-list } xs$   
 ⟨proof⟩

## 57.11 Alternative representations

### 57.11.1 Lists

**context** *linorder*  
**begin**

**lemma** *mset-insort [simp]*:  
 $\text{mset } (\text{insort-key } k \ x \ xs) = \text{add-mset } x \ (\text{mset } xs)$   
 ⟨proof⟩

**lemma** *mset-sort [simp]*:  
 $\text{mset } (\text{sort-key } k \ xs) = \text{mset } xs$   
 ⟨proof⟩

This lemma shows which properties suffice to show that a function  $f$  with  $f \ xs = \ ys$  behaves like `sort`.

**lemma** *properties-for-sort-key*:  
**assumes**  $\text{mset } ys = \text{mset } xs$   
**and**  $\bigwedge k. k \in \text{set } ys \implies \text{filter } (\lambda x. f \ k = f \ x) \ ys = \text{filter } (\lambda x. f \ k = f \ x) \ xs$   
**and**  $\text{sorted } (\text{map } f \ ys)$   
**shows**  $\text{sort-key } f \ xs = \ ys$   
 ⟨proof⟩

**lemma** *properties-for-sort*:

**assumes** *multiset*:  $mset\ ys = mset\ xs$   
**and** *sorted ys*  
**shows**  $sort\ xs = ys$   
 $\langle proof \rangle$

**lemma** *sort-key-inj-key-eq*:  
**assumes** *mset-equal*:  $mset\ xs = mset\ ys$   
**and** *inj-on f (set xs)*  
**and** *sorted (map f ys)*  
**shows**  $sort\ key\ f\ xs = ys$   
 $\langle proof \rangle$

**lemma** *sort-key-eq-sort-key*:  
**assumes**  $mset\ xs = mset\ ys$   
**and** *inj-on f (set xs)*  
**shows**  $sort\ key\ f\ xs = sort\ key\ f\ ys$   
 $\langle proof \rangle$

**lemma** *sort-key-by-quicksort*:  
 $sort\ key\ f\ xs = sort\ key\ f\ [x \leftarrow xs. f\ x < f\ (xs\ !\ (length\ xs\ div\ 2))]$   
 $\quad @\ [x \leftarrow xs. f\ x = f\ (xs\ !\ (length\ xs\ div\ 2))]$   
 $\quad @\ sort\ key\ f\ [x \leftarrow xs. f\ x > f\ (xs\ !\ (length\ xs\ div\ 2))]$  (**is**  $sort\ key\ f\ ?lhs = ?rhs$ )  
 $\langle proof \rangle$

**lemma** *sort-by-quicksort*:  
 $sort\ xs = sort\ [x \leftarrow xs. x < xs\ !\ (length\ xs\ div\ 2)]$   
 $\quad @\ [x \leftarrow xs. x = xs\ !\ (length\ xs\ div\ 2)]$   
 $\quad @\ sort\ [x \leftarrow xs. x > xs\ !\ (length\ xs\ div\ 2)]$  (**is**  $sort\ ?lhs = ?rhs$ )  
 $\langle proof \rangle$

A stable parametrized quicksort

**definition** *part* ::  $('b \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'b\ list \Rightarrow 'b\ list \times 'b\ list \times 'b\ list$  **where**  
 $part\ f\ pivot\ xs = ([x \leftarrow xs. f\ x < pivot], [x \leftarrow xs. f\ x = pivot], [x \leftarrow xs. pivot < f\ x])$

**lemma** *part-code* [code]:  
 $part\ f\ pivot\ [] = ([], [], [])$   
 $part\ f\ pivot\ (x \# xs) = (let\ (lts, eqs, gts) = part\ f\ pivot\ xs; x' = f\ x\ in$   
 $\quad if\ x' < pivot\ then\ (x \# lts, eqs, gts)$   
 $\quad else\ if\ x' > pivot\ then\ (lts, eqs, x \# gts)$   
 $\quad else\ (lts, x \# eqs, gts))$   
 $\langle proof \rangle$

**lemma** *sort-key-by-quicksort-code* [code]:  
 $sort\ key\ f\ xs =$   
 $\quad (case\ xs\ of$   
 $\quad \quad [] \Rightarrow []$   
 $\quad \quad | [x] \Rightarrow xs$   
 $\quad \quad | [x, y] \Rightarrow (if\ f\ x \leq f\ y\ then\ xs\ else\ [y, x])$

| -  $\Rightarrow$   
 let  $(lts, eqs, gts) = \text{part } f (f (xs ! (\text{length } xs \text{ div } 2))) xs$   
 in  $\text{sort-key } f lts @ eqs @ \text{sort-key } f gts$   
 $\langle \text{proof} \rangle$

**end**

**hide-const (open) part**

**lemma** *mset-remdups-subset-eq*:  $mset (\text{remdups } xs) \subseteq\# mset xs$   
 $\langle \text{proof} \rangle$

**lemma** *mset-update*:  
 $i < \text{length } ls \Rightarrow mset (ls[i := v]) = \text{add-mset } v (mset ls - \{\#ls ! i\#})$   
 $\langle \text{proof} \rangle$

**lemma** *mset-swap*:  
 $i < \text{length } ls \Rightarrow j < \text{length } ls \Rightarrow$   
 $mset (ls[j := ls ! i, i := ls ! j]) = mset ls$   
 $\langle \text{proof} \rangle$

## 57.12 The multiset order

### 57.12.1 Well-foundedness

**definition** *mult1* ::  $('a \times 'a)$  set  $\Rightarrow ('a \text{ multiset} \times 'a \text{ multiset})$  set **where**  
 $\text{mult1 } r = \{(N, M). \exists a MO K. M = \text{add-mset } a MO \wedge N = MO + K \wedge$   
 $(\forall b. b \in\# K \longrightarrow (b, a) \in r)\}$

**definition** *mult* ::  $('a \times 'a)$  set  $\Rightarrow ('a \text{ multiset} \times 'a \text{ multiset})$  set **where**  
 $\text{mult } r = (\text{mult1 } r)^+$

**lemma** *mult1I*:  
**assumes**  $M = \text{add-mset } a MO$  **and**  $N = MO + K$  **and**  $\bigwedge b. b \in\# K \Rightarrow (b, a) \in r$   
 $\in r$   
**shows**  $(N, M) \in \text{mult1 } r$   
 $\langle \text{proof} \rangle$

**lemma** *mult1E*:  
**assumes**  $(N, M) \in \text{mult1 } r$   
**obtains**  $a MO K$  **where**  $M = \text{add-mset } a MO$   $N = MO + K$   $\bigwedge b. b \in\# K \Rightarrow$   
 $(b, a) \in r$   
 $\langle \text{proof} \rangle$

**lemma** *mono-mult1*:  
**assumes**  $r \subseteq r'$  **shows**  $\text{mult1 } r \subseteq \text{mult1 } r'$   
 $\langle \text{proof} \rangle$

**lemma** *mono-mult*:  
**assumes**  $r \subseteq r'$  **shows**  $\text{mult } r \subseteq \text{mult } r'$

*<proof>*

**lemma** *not-less-empty* [iff]:  $(M, \{\#\}) \notin \text{mult1 } r$   
*<proof>*

**lemma** *less-add*:

**assumes**  $\text{mult1}: (N, \text{add-mset } a \ M0) \in \text{mult1 } r$

**shows**

$(\exists M. (M, M0) \in \text{mult1 } r \wedge N = \text{add-mset } a \ M) \vee$

$(\exists K. (\forall b. b \in \# \ K \longrightarrow (b, a) \in r) \wedge N = M0 + K)$

*<proof>*

**lemma** *all-accessible*:

**assumes**  $\text{wf } r$

**shows**  $\forall M. M \in \text{Wellfounded.acc } (\text{mult1 } r)$

*<proof>*

**theorem** *wf-mult1*:  $\text{wf } r \implies \text{wf } (\text{mult1 } r)$

*<proof>*

**theorem** *wf-mult*:  $\text{wf } r \implies \text{wf } (\text{mult } r)$

*<proof>*

### 57.12.2 Closure-free presentation

One direction.

**lemma** *mult-implies-one-step*:

**assumes**

*trans*:  $\text{trans } r$  **and**

*MN*:  $(M, N) \in \text{mult } r$

**shows**  $\exists I \ J \ K. N = I + J \wedge M = I + K \wedge J \neq \{\#\} \wedge (\forall k \in \text{set-mset } K. \exists j$   
 $\in \text{set-mset } J. (k, j) \in r)$

*<proof>*

**lemma** *one-step-implies-mult*:

**assumes**

$J \neq \{\#\}$  **and**

$\forall k \in \text{set-mset } K. \exists j \in \text{set-mset } J. (k, j) \in r$

**shows**  $(I + K, I + J) \in \text{mult } r$

*<proof>*

**lemma** *subset-implies-mult*:

**assumes** *sub*:  $A \subset \# \ B$

**shows**  $(A, B) \in \text{mult } r$

*<proof>*

### 57.13 The multiset extension is cancellative for multiset union

**lemma** *mult-cancel*:



**assumes** *trans s and irrefl s*  
**shows**  $(X + Z, Y + Z) \in \text{mult } s \longleftrightarrow (X, Y) \in \text{mult } s$  (**is** ?L  $\longleftrightarrow$  ?R)  
 ⟨proof⟩

**lemmas** *mult-cancel-add-mset =*  
*mult-cancel[of - - {#-#}], unfolded union-mset-add-mset-right add.comm-neutral]*

**lemma** *mult-cancel-max:*

**assumes** *trans s and irrefl s*  
**shows**  $(X, Y) \in \text{mult } s \longleftrightarrow (X - X \cap\# Y, Y - X \cap\# Y) \in \text{mult } s$  (**is** ?L  $\longleftrightarrow$  ?R)  
 ⟨proof⟩

### 57.14 Quasi-executable version of the multiset extension

Predicate variants of *mult* and the reflexive closure of *mult*, which are executable whenever the given predicate *P* is. Together with the standard code equations for  $op \cap\#$  and  $op -$  this should yield quadratic (with respect to calls to *P*) implementations of *multp* and *multeqp*.

**definition** *multp* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool}$  **where**  
*multp* *P* *N* *M* =  
 (let  $Z = M \cap\# N$ ;  $X = M - Z$  in  
 $X \neq \{\#\} \wedge (\text{let } Y = N - Z \text{ in } (\forall y \in \text{set-mset } Y. \exists x \in \text{set-mset } X. P \ y \ x)))$ )

**definition** *multeqp* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool}$  **where**  
*multeqp* *P* *N* *M* =  
 (let  $Z = M \cap\# N$ ;  $X = M - Z$ ;  $Y = N - Z$  in  
 $(\forall y \in \text{set-mset } Y. \exists x \in \text{set-mset } X. P \ y \ x))$ )

**lemma** *multp-iff:*

**assumes** *irrefl R and trans R and [simp]:  $\bigwedge x \ y. P \ x \ y \longleftrightarrow (x, y) \in R$*   
**shows**  $\text{multp } P \ N \ M \longleftrightarrow (N, M) \in \text{mult } R$  (**is** ?L  $\longleftrightarrow$  ?R)  
 ⟨proof⟩

**lemma** *multeqp-iff:*

**assumes** *irrefl R and trans R and  $\bigwedge x \ y. P \ x \ y \longleftrightarrow (x, y) \in R$*   
**shows**  $\text{multeqp } P \ N \ M \longleftrightarrow (N, M) \in (\text{mult } R)^=$   
 ⟨proof⟩

#### 57.14.1 Partial-order properties

**lemma** (**in** *preorder*) *mult1-lessE:*

**assumes**  $(N, M) \in \text{mult1 } \{(a, b). a < b\}$   
**obtains** *a* *M0* *K* **where**  $M = \text{add-mset } a \ M0 \ N = M0 + K$   
 $a \notin\# K \ \bigwedge b. b \in\# K \implies b < a$   
 ⟨proof⟩

**instantiation** *multiset* :: (*preorder*) *order*  
**begin**

**definition** *less-multiset* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool  
**where**  $M' < M \iff (M', M) \in \text{mult } \{(x', x). x' < x\}$

**definition** *less-eq-multiset* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool  
**where** *less-eq-multiset*  $M' M \iff M' < M \vee M' = M$

**instance**

*<proof>*

**end** — FIXME avoid junk stemming from type class interpretation

**lemma** *mset-le-irrefl* [*elim!*]:

**fixes**  $M :: 'a::\text{preorder multiset}$

**shows**  $M < M \implies R$

*<proof>*

### 57.14.2 Monotonicity of multiset union

**lemma** *mult1-union*:  $(B, D) \in \text{mult1 } r \implies (C + B, C + D) \in \text{mult1 } r$   
*<proof>*

**lemma** *union-le-mono2*:  $B < D \implies C + B < C + (D::'a::\text{preorder multiset})$   
*<proof>*

**lemma** *union-le-mono1*:  $B < D \implies B + C < D + (C::'a::\text{preorder multiset})$   
*<proof>*

**lemma** *union-less-mono*:

**fixes**  $A B C D :: 'a::\text{preorder multiset}$

**shows**  $A < C \implies B < D \implies A + B < C + D$

*<proof>*

**instantiation** *multiset* :: (*preorder*) *ordered-ab-semigroup-add*

**begin**

**instance**

*<proof>*

**end**

### 57.14.3 Termination proofs with multiset orders

**lemma** *multi-member-skip*:  $x \in\# XS \implies x \in\# \{\# y \#\} + XS$   
**and** *multi-member-this*:  $x \in\# \{\# x \#\} + XS$   
**and** *multi-member-last*:  $x \in\# \{\# x \#\}$   
*<proof>*

**definition** *ms-strict* = *mult pair-less*

**definition** *ms-weak* = *ms-strict*  $\cup$  *Id*

**lemma** *ms-reduction-pair*: *reduction-pair* (*ms-strict*, *ms-weak*)  
*<proof>*

**lemma** *smsI*:

$(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \implies (Z + A, Z + B) \in \text{ms-strict}$   
 ⟨proof⟩

**lemma** *wmsI*:

$(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \vee A = \{\#\} \wedge B = \{\#\}$   
 $\implies (Z + A, Z + B) \in \text{ms-weak}$   
 ⟨proof⟩

**inductive** *pw-leq*

**where**

*pw-leq-empty*:  $\text{pw-leq } \{\#\} \{\#\}$   
 | *pw-leq-step*:  $\llbracket (x, y) \in \text{pair-leq}; \text{pw-leq } X \ Y \rrbracket \implies \text{pw-leq } (\{\#x\# \} + X) (\{\#y\# \} + Y)$

**lemma** *pw-leq-lstep*:

$(x, y) \in \text{pair-leq} \implies \text{pw-leq } \{\#x\# \} \{\#y\# \}$   
 ⟨proof⟩

**lemma** *pw-leq-split*:

**assumes** *pw-leq*  $X \ Y$   
**shows**  $\exists A \ B \ Z. X = A + Z \wedge Y = B + Z \wedge ((\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \vee (B = \{\#\} \wedge A = \{\#\}))$   
 ⟨proof⟩

**lemma**

**assumes** *pwleq*:  $\text{pw-leq } Z \ Z'$   
**shows** *ms-strictI*:  $(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \implies (Z + A, Z' + B) \in \text{ms-strict}$   
**and** *ms-weakI1*:  $(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \implies (Z + A, Z' + B) \in \text{ms-weak}$   
**and** *ms-weakI2*:  $(Z + \{\#\}, Z' + \{\#\}) \in \text{ms-weak}$   
 ⟨proof⟩

**lemma** *empty-neutral*:  $\{\#\} + x = x \ x + \{\#\} = x$

**and** *nonempty-plus*:  $\{\# \ x \ \#\} + rs \neq \{\#\}$

**and** *nonempty-single*:  $\{\# \ x \ \#\} \neq \{\#\}$

⟨proof⟩

⟨ML⟩

### 57.15 Legacy theorem bindings

**lemmas** *multi-count-eq = multiset-eq-iff* [*symmetric*]

**lemma** *union-commute*:  $M + N = N + (M::'a \text{ multiset})$

⟨proof⟩

**lemma** *union-assoc*:  $(M + N) + K = M + (N + (K::'a\ multiset))$   
 ⟨proof⟩

**lemma** *union-lcomm*:  $M + (N + K) = N + (M + (K::'a\ multiset))$   
 ⟨proof⟩

**lemmas** *union-ac = union-assoc union-commute union-lcomm add-mset-commute*

**lemma** *union-right-cancel*:  $M + K = N + K \longleftrightarrow M = (N::'a\ multiset)$   
 ⟨proof⟩

**lemma** *union-left-cancel*:  $K + M = K + N \longleftrightarrow M = (N::'a\ multiset)$   
 ⟨proof⟩

**lemma** *multi-union-self-other-eq*:  $(A::'a\ multiset) + X = A + Y \implies X = Y$   
 ⟨proof⟩

**lemma** *mset-subset-trans*:  $(M::'a\ multiset) \subset\# K \implies K \subset\# N \implies M \subset\# N$   
 ⟨proof⟩

**lemma** *multiset-inter-commute*:  $A \cap\# B = B \cap\# A$   
 ⟨proof⟩

**lemma** *multiset-inter-assoc*:  $A \cap\# (B \cap\# C) = A \cap\# B \cap\# C$   
 ⟨proof⟩

**lemma** *multiset-inter-left-commute*:  $A \cap\# (B \cap\# C) = B \cap\# (A \cap\# C)$   
 ⟨proof⟩

**lemmas** *multiset-inter-ac =*  
*multiset-inter-commute*  
*multiset-inter-assoc*  
*multiset-inter-left-commute*

**lemma** *mset-le-not-refl*:  $\neg M < (M::'a::preorder\ multiset)$   
 ⟨proof⟩

**lemma** *mset-le-trans*:  $K < M \implies M < N \implies K < (N::'a::preorder\ multiset)$   
 ⟨proof⟩

**lemma** *mset-le-not-sym*:  $M < N \implies \neg N < (M::'a::preorder\ multiset)$   
 ⟨proof⟩

**lemma** *mset-le-asym*:  $M < N \implies (\neg P \implies N < (M::'a::preorder\ multiset)) \implies P$   
 ⟨proof⟩

⟨ML⟩

**57.16 Naive implementation using lists****code-datatype** *mset***lemma** [*code*]:  $\{\#\} = \text{mset } []$   
*<proof>***lemma** [*code*]:  $\text{add-mset } x (\text{mset } xs) = \text{mset } (x \# xs)$   
*<proof>***lemma** [*code*]:  $\text{Multiset.is-empty } (\text{mset } xs) \longleftrightarrow \text{List.null } xs$   
*<proof>***lemma** *union-code* [*code*]:  $\text{mset } xs + \text{mset } ys = \text{mset } (xs @ ys)$   
*<proof>***lemma** [*code*]:  $\text{image-mset } f (\text{mset } xs) = \text{mset } (\text{map } f xs)$   
*<proof>***lemma** [*code*]:  $\text{filter-mset } f (\text{mset } xs) = \text{mset } (\text{filter } f xs)$   
*<proof>***lemma** [*code*]:  $\text{mset } xs - \text{mset } ys = \text{mset } (\text{fold } \text{remove1 } ys xs)$   
*<proof>***lemma** [*code*]:  
 $\text{mset } xs \cap \# \text{mset } ys =$   
 $\text{mset } (\text{snd } (\text{fold } (\lambda x (ys, zs).$   
 $\text{if } x \in \text{set } ys \text{ then } (\text{remove1 } x ys, x \# zs) \text{ else } (ys, zs)) xs (ys, [])))$   
*<proof>***lemma** [*code*]:  
 $\text{mset } xs \cup \# \text{mset } ys =$   
 $\text{mset } (\text{case-prod } \text{append } (\text{fold } (\lambda x (ys, zs). (\text{remove1 } x ys, x \# zs)) xs (ys, [])))$   
*<proof>***declare** *in-multiset-in-set* [*code-unfold*]**lemma** [*code*]:  $\text{count } (\text{mset } xs) x = \text{fold } (\lambda y. \text{if } x = y \text{ then } \text{Suc} \text{ else } \text{id}) xs 0$   
*<proof>***declare** *set-mset-mset* [*code*]**declare** *sorted-list-of-multiset-mset* [*code*]**lemma** [*code*]: — not very efficient, but representation-ignorant!  
 $\text{mset-set } A = \text{mset } (\text{sorted-list-of-set } A)$   
*<proof>***declare** *size-mset* [*code*]

```

fun subset-eq-mset-impl :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool option where
  subset-eq-mset-impl [] ys = Some (ys  $\neq$  [])
| subset-eq-mset-impl (Cons x xs) ys = (case List.extract (op = x) ys of
  None  $\Rightarrow$  None
  | Some (ys1, -, ys2)  $\Rightarrow$  subset-eq-mset-impl xs (ys1 @ ys2))

```

```

lemma subset-eq-mset-impl: (subset-eq-mset-impl xs ys = None  $\longleftrightarrow$   $\neg$  mset xs
 $\subseteq$ # mset ys)  $\wedge$ 
  (subset-eq-mset-impl xs ys = Some True  $\longleftrightarrow$  mset xs  $\subset$ # mset ys)  $\wedge$ 
  (subset-eq-mset-impl xs ys = Some False  $\longrightarrow$  mset xs = mset ys)
<proof>

```

```

lemma [code]: mset xs  $\subseteq$ # mset ys  $\longleftrightarrow$  subset-eq-mset-impl xs ys  $\neq$  None
<proof>

```

```

lemma [code]: mset xs  $\subset$ # mset ys  $\longleftrightarrow$  subset-eq-mset-impl xs ys = Some True
<proof>

```

```

instantiation multiset :: (equal) equal
begin

```

```

definition

```

```

  [code del]: HOL.equal A (B :: 'a multiset)  $\longleftrightarrow$  A = B

```

```

lemma [code]: HOL.equal (mset xs) (mset ys)  $\longleftrightarrow$  subset-eq-mset-impl xs ys =
Some False
<proof>

```

```

instance
<proof>

```

```

end

```

```

declare sum-mset-sum-list [code]

```

```

lemma [code]: prod-mset (mset xs) = fold times xs 1
<proof>

```

Exercise for the casual reader: add implementations for  $op \leq$  and  $op <$  (multiset order).

Quickcheck generators

```

definition (in term-syntax)

```

```

  msetify :: 'a::typerep list  $\times$  (unit  $\Rightarrow$  Code-Evaluation.term)

```

```

   $\Rightarrow$  'a multiset  $\times$  (unit  $\Rightarrow$  Code-Evaluation.term) where

```

```

  [code-unfold]: msetify xs = Code-Evaluation.valtermify mset {·} xs

```

```

notation fcomp (infixl  $\circ>$  60)

```

```

notation scomp (infixl  $\circ\rightarrow$  60)

```

**instantiation** *multiset* :: (*random*) *random*  
**begin**

**definition**

*Quickcheck-Random.random i* = *Quickcheck-Random.random i*  $\circ\rightarrow$  ( $\lambda xs.$  *Pair (msetify xs)*)

**instance**  $\langle proof \rangle$

**end**

**no-notation** *fcomp* (**infixl**  $\circ>$  60)  
**no-notation** *scomp* (**infixl**  $\circ\rightarrow$  60)

**instantiation** *multiset* :: (*full-exhaustive*) *full-exhaustive*  
**begin**

**definition** *full-exhaustive-multiset* :: (*a multiset*  $\times$  (*unit*  $\Rightarrow$  *term*)  $\Rightarrow$  (*bool*  $\times$  *term list*) *option*)  $\Rightarrow$  *natural*  $\Rightarrow$  (*bool*  $\times$  *term list*) *option*

**where**

*full-exhaustive-multiset f i* = *Quickcheck-Exhaustive.full-exhaustive* ( $\lambda xs.$  *f (msetify xs)*) *i*

**instance**  $\langle proof \rangle$

**end**

**hide-const** (**open**) *msetify*

## 57.17 BNF setup

**definition** *rel-mset* **where**

*rel-mset R X Y*  $\longleftrightarrow$  ( $\exists xs ys.$  *mset xs* = *X*  $\wedge$  *mset ys* = *Y*  $\wedge$  *list-all2 R xs ys*)

**lemma** *mset-zip-take-Cons-drop-twice*:

**assumes** *length xs* = *length ys*  $j \leq$  *length xs*

**shows** *mset (zip (take j xs @ x # drop j xs) (take j ys @ y # drop j ys))* =  
*add-mset (x,y) (mset (zip xs ys))*

$\langle proof \rangle$

**lemma** *ex-mset-zip-left*:

**assumes** *length xs* = *length ys* *mset xs'* = *mset xs*

**shows**  $\exists ys'. \text{length } ys' = \text{length } xs' \wedge \text{mset } (\text{zip } xs' \text{ } ys') = \text{mset } (\text{zip } xs \text{ } ys)$

$\langle proof \rangle$

**lemma** *list-all2-reorder-left-invariance*:

**assumes** *rel: list-all2 R xs ys* **and** *ms-x: mset xs' = mset xs*

**shows**  $\exists ys'. \text{list-all2 } R \text{ } xs' \text{ } ys' \wedge \text{mset } ys' = \text{mset } ys$

$\langle proof \rangle$

**lemma** *ex-mset*:  $\exists xs. \text{mset } xs = X$   
 ⟨*proof*⟩

**inductive** *pred-mset* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a multiset  $\Rightarrow$  bool  
**where**  
*pred-mset* P {#}  
 |  $\llbracket P \ a; \text{pred-mset } P \ M \rrbracket \Longrightarrow \text{pred-mset } P \ (\text{add-mset } a \ M)$

**bnf** 'a multiset  
*map*: image-mset  
*sets*: set-mset  
*bd*: natLeq  
*wits*: {#}  
*rel*: rel-mset  
*pred*: pred-mset  
 ⟨*proof*⟩

**inductive** *rel-mset'*  
**where**  
*Zero*[intro]: *rel-mset'* R {#} {#}  
 | *Plus*[intro]:  $\llbracket R \ a \ b; \text{rel-mset}' \ R \ M \ N \rrbracket \Longrightarrow \text{rel-mset}' \ R \ (\text{add-mset } a \ M) \ (\text{add-mset } b \ N)$

**lemma** *rel-mset-Zero*: *rel-mset* R {#} {#}  
 ⟨*proof*⟩

**declare** *multiset.count*[simp]  
**declare** *Abs-multiset-inverse*[simp]  
**declare** *multiset.count-inverse*[simp]  
**declare** *union-preserves-multiset*[simp]

**lemma** *rel-mset-Plus*:  
**assumes** *ab*: *R* a b  
**and** *MN*: *rel-mset* R M N  
**shows** *rel-mset* R (add-mset a M) (add-mset b N)  
 ⟨*proof*⟩

**lemma** *rel-mset'-imp-rel-mset*: *rel-mset'* R M N  $\Longrightarrow$  *rel-mset* R M N  
 ⟨*proof*⟩

**lemma** *rel-mset-size*: *rel-mset* R M N  $\Longrightarrow$  size M = size N  
 ⟨*proof*⟩

**lemma** *multiset-induct2*[case-names empty addL addR]:  
**assumes** *empty*: P {#} {#}  
**and** *addL*:  $\bigwedge a \ M \ N. P \ M \ N \Longrightarrow P \ (\text{add-mset } a \ M) \ N$   
**and** *addR*:  $\bigwedge a \ M \ N. P \ M \ N \Longrightarrow P \ M \ (\text{add-mset } a \ N)$   
**shows** P M N



⟨proof⟩

**lemma** *multiset-induct2-size*[consumes 1, case-names empty add]:

**assumes**  $c: \text{size } M = \text{size } N$

**and empty:**  $P \{\#\} \{\#\}$

**and add:**  $\bigwedge a b M N a b. P M N \implies P (\text{add-mset } a M) (\text{add-mset } b N)$

**shows**  $P M N$

⟨proof⟩

**lemma** *msed-map-invL*:

**assumes**  $\text{image-mset } f (\text{add-mset } a M) = N$

**shows**  $\exists N1. N = \text{add-mset } (f a) N1 \wedge \text{image-mset } f M = N1$

⟨proof⟩

**lemma** *msed-map-invR*:

**assumes**  $\text{image-mset } f M = \text{add-mset } b N$

**shows**  $\exists M1 a. M = \text{add-mset } a M1 \wedge f a = b \wedge \text{image-mset } f M1 = N$

⟨proof⟩

**lemma** *msed-rel-invL*:

**assumes**  $\text{rel-mset } R (\text{add-mset } a M) N$

**shows**  $\exists N1 b. N = \text{add-mset } b N1 \wedge R a b \wedge \text{rel-mset } R M N1$

⟨proof⟩

**lemma** *msed-rel-invR*:

**assumes**  $\text{rel-mset } R M (\text{add-mset } b N)$

**shows**  $\exists M1 a. M = \text{add-mset } a M1 \wedge R a b \wedge \text{rel-mset } R M1 N$

⟨proof⟩

**lemma** *rel-mset-imp-rel-mset'*:

**assumes**  $\text{rel-mset } R M N$

**shows**  $\text{rel-mset}' R M N$

⟨proof⟩

**lemma** *rel-mset-rel-mset'*:  $\text{rel-mset } R M N = \text{rel-mset}' R M N$

⟨proof⟩

The main end product for *rel-mset*: inductive characterization:

**lemmas** *rel-mset-induct*[case-names empty add, induct pred: *rel-mset*] =  
*rel-mset'.induct*[unfolded *rel-mset-rel-mset'*[symmetric]]

## 57.18 Size setup

**lemma** *multiset-size-o-map*:  $\text{size-multiset } g \circ \text{image-mset } f = \text{size-multiset } (g \circ f)$

⟨proof⟩

⟨ML⟩

**57.19 Lemmas about Size**

**lemma** *size-mset-SucE*:  $size\ A = Suc\ n \implies (\bigwedge a\ B.\ A = \{\#a\# \} + B \implies size\ B = n \implies P) \implies P$   
 ⟨proof⟩

**lemma** *size-Suc-Diff1*:  $x \in\# M \implies Suc\ (size\ (M - \{\#x\# \})) = size\ M$   
 ⟨proof⟩

**lemma** *size-Diff-singleton*:  $x \in\# M \implies size\ (M - \{\#x\# \}) = size\ M - 1$   
 ⟨proof⟩

**lemma** *size-Diff-singleton-if*:  $size\ (A - \{\#x\# \}) = (if\ x \in\# A\ then\ size\ A - 1\ else\ size\ A)$   
 ⟨proof⟩

**lemma** *size-Un-Int*:  $size\ A + size\ B = size\ (A \cup\# B) + size\ (A \cap\# B)$   
 ⟨proof⟩

**lemma** *size-Un-disjoint*:  $A \cap\# B = \{\#\} \implies size\ (A \cup\# B) = size\ A + size\ B$   
 ⟨proof⟩

**lemma** *size-Diff-subset-Int*:  $size\ (M - M') = size\ M - size\ (M \cap\# M')$   
 ⟨proof⟩

**lemma** *diff-size-le-size-Diff*:  $size\ (M :: -\ multiset) - size\ M' \leq size\ (M - M')$   
 ⟨proof⟩

**lemma** *size-Diff1-less*:  $x \in\# M \implies size\ (M - \{\#x\# \}) < size\ M$   
 ⟨proof⟩

**lemma** *size-Diff2-less*:  $x \in\# M \implies y \in\# M \implies size\ (M - \{\#x\# \} - \{\#y\# \}) < size\ M$   
 ⟨proof⟩

**lemma** *size-Diff1-le*:  $size\ (M - \{\#x\# \}) \leq size\ M$   
 ⟨proof⟩

**lemma** *size-psubset*:  $M \subseteq\# M' \implies size\ M < size\ M' \implies M \subset\# M'$   
 ⟨proof⟩

**hide-const** (open) *wcount*

end

**58 More Theorems about the Multiset Order**

**theory** *Multiset-Order*  
**imports** *Multiset*

begin

## 58.1 Alternative Characterizations

context *preorder*

begin

**lemma** *order-mult: class.order*

$(\lambda M N. (M, N) \in \text{mult } \{(x, y). x < y\} \vee M = N)$

$(\lambda M N. (M, N) \in \text{mult } \{(x, y). x < y\})$

(is *class.order ?le ?less*)

$\langle \text{proof} \rangle$

The Dershowitz–Manna ordering:

**definition** *less-multiset<sub>DM</sub> where*

*less-multiset<sub>DM</sub> M N*  $\longleftrightarrow$

$(\exists X Y. X \neq \{\#\} \wedge X \subseteq\# N \wedge M = (N - X) + Y \wedge (\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge k < a)))$

The Huet–Oppen ordering:

**definition** *less-multiset<sub>HO</sub> where*

*less-multiset<sub>HO</sub> M N*  $\longleftrightarrow M \neq N \wedge (\forall y. \text{count } N y < \text{count } M y \longrightarrow (\exists x. y < x \wedge \text{count } M x < \text{count } N x))$

**lemma** *mult-imp-less-multiset<sub>HO</sub>:*

$(M, N) \in \text{mult } \{(x, y). x < y\} \implies \text{less-multiset}_{HO} M N$

$\langle \text{proof} \rangle$

**lemma** *less-multiset<sub>DM</sub>-imp-mult:*

*less-multiset<sub>DM</sub> M N*  $\implies (M, N) \in \text{mult } \{(x, y). x < y\}$

$\langle \text{proof} \rangle$

**lemma** *less-multiset<sub>HO</sub>-imp-less-multiset<sub>DM</sub>: less-multiset<sub>HO</sub> M N*  $\implies \text{less-multiset}_{DM} M N$

$\langle \text{proof} \rangle$

**lemma** *mult-less-multiset<sub>DM</sub>: (M, N) ∈ mult {(x, y). x < y}*  $\longleftrightarrow \text{less-multiset}_{DM} M N$

$\langle \text{proof} \rangle$

**lemma** *mult-less-multiset<sub>HO</sub>: (M, N) ∈ mult {(x, y). x < y}*  $\longleftrightarrow \text{less-multiset}_{HO} M N$

$\langle \text{proof} \rangle$

**lemmas** *mult<sub>DM</sub> = mult-less-multiset<sub>DM</sub>[unfolded less-multiset<sub>DM</sub>-def]*

**lemmas** *mult<sub>HO</sub> = mult-less-multiset<sub>HO</sub>[unfolded less-multiset<sub>HO</sub>-def]*

end

**lemma** *less-multiset-less-multiset<sub>HO</sub>:*

$M < N \iff \text{less-multiset}_{HO} M N$   
 ⟨proof⟩

**lemmas**  $\text{less-multiset}_{DM} = \text{mult}_{DM}[\text{folded less-multiset-def}]$

**lemmas**  $\text{less-multiset}_{HO} = \text{mult}_{HO}[\text{folded less-multiset-def}]$

**lemma** *subset-eq-imp-le-multiset*:

**shows**  $M \subseteq\# N \implies M \leq N$   
 ⟨proof⟩

**lemma** *le-multiset-right-total*:

**shows**  $M < \text{add-mset } x M$   
 ⟨proof⟩

**lemma** *less-eq-multiset-empty-left[simp]*:

**shows**  $\{\#\} \leq M$   
 ⟨proof⟩

**lemma** *ex-gt-imp-less-multiset*:  $(\exists y. y \in\# N \wedge (\forall x. x \in\# M \longrightarrow x < y)) \implies M < N$

⟨proof⟩

**lemma** *less-eq-multiset-empty-right[simp]*:

$M \neq \{\#\} \implies \neg M \leq \{\#\}$   
 ⟨proof⟩

**lemma** *le-multiset-empty-left[simp]*:  $M \neq \{\#\} \implies \{\#\} < M$

⟨proof⟩

**lemma** *le-multiset-empty-right[simp]*:  $\neg M < \{\#\}$

⟨proof⟩

**lemma** *union-le-diff-plus*:  $P \subseteq\# M \implies N < P \implies M - P + N < M$

⟨proof⟩

**instantiation** *multiset* :: (preorder) ordered-ab-semigroup-monoid-add-imp-le

**begin**

**lemma** *less-eq-multiset<sub>HO</sub>*:

$M \leq N \iff (\forall y. \text{count } N y < \text{count } M y \longrightarrow (\exists x. y < x \wedge \text{count } M x < \text{count } N x))$

⟨proof⟩

**instance** ⟨proof⟩

**lemma**

**fixes**  $M N :: 'a \text{ multiset}$

**shows**

*less-eq-multiset-plus-left*:  $N \leq (M + N)$  **and**

*less-eq-multiset-plus-right*:  $M \leq (M + N)$   
 ⟨proof⟩

**lemma**

**fixes**  $M N :: 'a \text{ multiset}$

**shows**

*le-multiset-plus-left-nonempty*:  $M \neq \{\#\} \implies N < M + N$  **and**

*le-multiset-plus-right-nonempty*:  $N \neq \{\#\} \implies M < M + N$

⟨proof⟩

**end**

**lemma** *all-lt-Max-imp-lt-mset*:  $N \neq \{\#\} \implies (\forall a \in\# M. a < \text{Max} (\text{set-mset } N)) \implies M < N$

⟨proof⟩

**lemma** *lt-imp-ex-count-lt*:  $M < N \implies \exists y. \text{count } M y < \text{count } N y$

⟨proof⟩

**lemma** *subset-imp-less-mset*:  $A \subset\# B \implies A < B$

⟨proof⟩

**lemma** *image-mset-strict-mono*:

**assumes**

*mono-f*:  $\forall x \in \text{set-mset } M. \forall y \in \text{set-mset } N. x < y \longrightarrow f x < f y$  **and**

*less*:  $M < N$

**shows**  $\text{image-mset } f M < \text{image-mset } f N$

⟨proof⟩

**lemma** *image-mset-mono*:

**assumes**

*mono-f*:  $\forall x \in \text{set-mset } M. \forall y \in \text{set-mset } N. x < y \longrightarrow f x < f y$  **and**

*less*:  $M \leq N$

**shows**  $\text{image-mset } f M \leq \text{image-mset } f N$

⟨proof⟩

**lemma** *mset-lt-single-right-iff[simp]*:  $M < \{\#y\# \} \longleftrightarrow (\forall x \in\# M. x < y)$  **for**  $y$

$:: 'a::\text{linorder}$

⟨proof⟩

**lemma** *mset-le-single-right-iff[simp]*:

$M \leq \{\#y\# \} \longleftrightarrow M = \{\#y\# \} \vee (\forall x \in\# M. x < y)$  **for**  $y :: 'a::\text{linorder}$

⟨proof⟩

## 58.2 Simprocs

**lemma** *mset-le-add-iff1*:

$j \leq (i::\text{nat}) \implies (\text{repeat-mset } i u + m \leq \text{repeat-mset } j u + n) = (\text{repeat-mset } (i-j) u + m \leq n)$

⟨proof⟩

**lemma** *mset-le-add-iff2*:

$i \leq (j::nat) \implies (repeat\text{-}mset\ i\ u + m \leq repeat\text{-}mset\ j\ u + n) = (m \leq repeat\text{-}mset\ (j-i)\ u + n)$

⟨proof⟩

⟨ML⟩

### 58.3 Additional facts and instantiations

**lemma** *ex-gt-count-imp-le-multiset*:

$(\forall y :: 'a :: order. y \in\# M + N \longrightarrow y \leq x) \implies count\ M\ x < count\ N\ x \implies M < N$

⟨proof⟩

**lemma** *mset-lt-single-iff*[*iff*]:  $\{\#x\# \} < \{\#y\# \} \longleftrightarrow x < y$

⟨proof⟩

**lemma** *mset-le-single-iff*[*iff*]:  $\{\#x\# \} \leq \{\#y\# \} \longleftrightarrow x \leq y$  **for**  $x\ y :: 'a::order$

⟨proof⟩

**instance** *multiset* :: (*linorder*) *linordered-cancel-ab-semigroup-add*

⟨proof⟩

**lemma** *less-eq-multiset-total*:

**fixes**  $M\ N :: 'a :: linorder\ multiset$

**shows**  $\neg M \leq N \implies N \leq M$

⟨proof⟩

**instantiation** *multiset* :: (*wellorder*) *wellorder*

**begin**

**lemma** *wf-less-multiset*: *wf*  $\{(M :: 'a\ multiset, N). M < N\}$

⟨proof⟩

**instance** ⟨proof⟩

**end**

**instantiation** *multiset* :: (*preorder*) *order-bot*

**begin**

**definition** *bot-multiset* :: '*a multiset* **where** *bot-multiset* =  $\{\#\}$

**instance** ⟨proof⟩

**end**

**instance** *multiset* :: (*preorder*) *no-top*  
 ⟨*proof*⟩

**instance** *multiset* :: (*preorder*) *ordered-cancel-comm-monoid-add*  
 ⟨*proof*⟩

**instantiation** *multiset* :: (*linorder*) *distrib-lattice*  
**begin**

**definition** *inf-multiset* :: 'a *multiset* ⇒ 'a *multiset* ⇒ 'a *multiset* **where**  
*inf-multiset* A B = (if A < B then A else B)

**definition** *sup-multiset* :: 'a *multiset* ⇒ 'a *multiset* ⇒ 'a *multiset* **where**  
*sup-multiset* A B = (if B > A then B else A)

**instance**  
 ⟨*proof*⟩

**end**

**end**

## 59 Permutations, both general and specifically on finite sets.

**theory** *Permutations*  
**imports** *Multiset Disjoint-Sets*  
**begin**

### 59.1 Transpositions

**lemma** *swap-id-idempotent* [*simp*]: *Fun.swap* a b *id* ∘ *Fun.swap* a b *id* = *id*  
 ⟨*proof*⟩

**lemma** *inv-swap-id*: *inv* (*Fun.swap* a b *id*) = *Fun.swap* a b *id*  
 ⟨*proof*⟩

**lemma** *swap-id-eq*: *Fun.swap* a b *id* x = (if x = a then b else if x = b then a else x)  
 ⟨*proof*⟩

**lemma** *bij-inv-eq-iff*: *bij* p ⇒ x = *inv* p y ⇔ p x = y  
 ⟨*proof*⟩

**lemma** *bij-swap-comp*:  
**assumes** *bij* p  
**shows** *Fun.swap* a b *id* ∘ p = *Fun.swap* (*inv* p a) (*inv* p b) p  
 ⟨*proof*⟩

**lemma** *bij-swap-compose-bij*:  
**assumes** *bij p*  
**shows** *bij (Fun.swap a b id ∘ p)*  
 ⟨*proof*⟩

## 59.2 Basic consequences of the definition

**definition** *permutes* (**infixr** *permutes* 41)  
**where**  $(p \text{ permutes } S) \longleftrightarrow (\forall x. x \notin S \longrightarrow p x = x) \wedge (\forall y. \exists!x. p x = y)$

**lemma** *permutes-in-image*:  $p \text{ permutes } S \Longrightarrow p x \in S \longleftrightarrow x \in S$   
 ⟨*proof*⟩

**lemma** *permutes-not-in*:  $f \text{ permutes } S \Longrightarrow x \notin S \Longrightarrow f x = x$   
 ⟨*proof*⟩

**lemma** *permutes-image*:  $p \text{ permutes } S \Longrightarrow p ` S = S$   
 ⟨*proof*⟩

**lemma** *permutes-inj*:  $p \text{ permutes } S \Longrightarrow \text{inj } p$   
 ⟨*proof*⟩

**lemma** *permutes-inj-on*:  $f \text{ permutes } S \Longrightarrow \text{inj-on } f A$   
 ⟨*proof*⟩

**lemma** *permutes-surj*:  $p \text{ permutes } s \Longrightarrow \text{surj } p$   
 ⟨*proof*⟩

**lemma** *permutes-bij*:  $p \text{ permutes } s \Longrightarrow \text{bij } p$   
 ⟨*proof*⟩

**lemma** *permutes-imp-bij*:  $p \text{ permutes } S \Longrightarrow \text{bij-betw } p S S$   
 ⟨*proof*⟩

**lemma** *bij-imp-permutes*:  $\text{bij-betw } p S S \Longrightarrow (\bigwedge x. x \notin S \Longrightarrow p x = x) \Longrightarrow p \text{ permutes } S$   
 ⟨*proof*⟩

**lemma** *permutes-inv-o*:  
**assumes** *permutes: p permutes S*  
**shows**  $p \circ \text{inv } p = \text{id}$   
**and**  $\text{inv } p \circ p = \text{id}$   
 ⟨*proof*⟩

**lemma** *permutes-inverses*:  
**fixes**  $p :: 'a \Rightarrow 'a$   
**assumes** *permutes: p permutes S*  
**shows**  $p (\text{inv } p x) = x$



**and**  $inv\ p\ (p\ x) = x$   
 ⟨proof⟩

**lemma** *permutes-subset*:  $p\ permutes\ S \implies S \subseteq T \implies p\ permutes\ T$   
 ⟨proof⟩

**lemma** *permutes-empty[simp]*:  $p\ permutes\ \{\} \longleftrightarrow p = id$   
 ⟨proof⟩

**lemma** *permutes-sing[simp]*:  $p\ permutes\ \{a\} \longleftrightarrow p = id$   
 ⟨proof⟩

**lemma** *permutes-univ*:  $p\ permutes\ UNIV \longleftrightarrow (\forall y. \exists!x. p\ x = y)$   
 ⟨proof⟩

**lemma** *permutes-inv-eq*:  $p\ permutes\ S \implies inv\ p\ y = x \longleftrightarrow p\ x = y$   
 ⟨proof⟩

**lemma** *permutes-swap-id*:  $a \in S \implies b \in S \implies Fun.swap\ a\ b\ id\ permutes\ S$   
 ⟨proof⟩

**lemma** *permutes-superset*:  $p\ permutes\ S \implies (\forall x \in S - T. p\ x = x) \implies p\ permutes\ T$   
 ⟨proof⟩

**lemma** *permutes-bij-inv-into*:

**fixes**  $A :: 'a\ set$

**and**  $B :: 'b\ set$

**assumes**  $p\ permutes\ A$

**and** *bij-betw*  $f\ A\ B$

**shows**  $(\lambda x. if\ x \in B\ then\ f\ (p\ (inv-into\ A\ f\ x))\ else\ x)\ permutes\ B$

⟨proof⟩

**lemma** *permutes-image-mset*:

**assumes**  $p\ permutes\ A$

**shows**  $image-mset\ p\ (mset-set\ A) = mset-set\ A$

⟨proof⟩

**lemma** *permutes-implies-image-mset-eq*:

**assumes**  $p\ permutes\ A \wedge x. x \in A \implies f\ x = f'\ (p\ x)$

**shows**  $image-mset\ f'\ (mset-set\ A) = image-mset\ f\ (mset-set\ A)$

⟨proof⟩

### 59.3 Group properties

**lemma** *permutes-id*:  $id\ permutes\ S$

⟨proof⟩

**lemma** *permutates-compose*:  $p$  *permutates*  $S \implies q$  *permutates*  $S \implies q \circ p$  *permutates*  $S$   
 ⟨*proof*⟩

**lemma** *permutates-inv*:  
**assumes**  $p$  *permutates*  $S$   
**shows** *inv*  $p$  *permutates*  $S$   
 ⟨*proof*⟩

**lemma** *permutates-inv-inv*:  
**assumes**  $p$  *permutates*  $S$   
**shows** *inv* (*inv*  $p$ ) =  $p$   
 ⟨*proof*⟩

**lemma** *permutates-invI*:  
**assumes** *perm*:  $p$  *permutates*  $S$   
**and** *inv*:  $\bigwedge x. x \in S \implies p' (p x) = x$   
**and** *outside*:  $\bigwedge x. x \notin S \implies p' x = x$   
**shows** *inv*  $p = p'$   
 ⟨*proof*⟩

**lemma** *permutates-vimage*:  $f$  *permutates*  $A \implies f^{-1} A = A$   
 ⟨*proof*⟩

## 59.4 Mapping permutations with bijections

**lemma** *bij-betw-permutations*:  
**assumes** *bij-betw*  $f A B$   
**shows** *bij-betw* ( $\lambda \pi x. \text{if } x \in B \text{ then } f (\pi (\text{inv-into } A f x)) \text{ else } x$ )  
 $\{\pi. \pi \text{ permutates } A\} \{\pi. \pi \text{ permutates } B\}$  (**is** *bij-betw* ? $f$  - -)  
 ⟨*proof*⟩

**lemma** *bij-betw-derangements*:  
**assumes** *bij-betw*  $f A B$   
**shows** *bij-betw* ( $\lambda \pi x. \text{if } x \in B \text{ then } f (\pi (\text{inv-into } A f x)) \text{ else } x$ )  
 $\{\pi. \pi \text{ permutates } A \wedge (\forall x \in A. \pi x \neq x)\} \{\pi. \pi \text{ permutates } B \wedge (\forall x \in B. \pi$   
 $x \neq x)\}$  (**is** *bij-betw* ? $f$  - -)  
 ⟨*proof*⟩

## 59.5 The number of permutations on a finite set

**lemma** *permutates-insert-lemma*:  
**assumes**  $p$  *permutates* (*insert*  $a S$ )  
**shows** *Fun.swap*  $a (p a) \text{id} \circ p$  *permutates*  $S$   
 ⟨*proof*⟩

**lemma** *permutates-insert*:  $\{p. p \text{ permutates } (\text{insert } a S)\} =$   
 $(\lambda (b, p). \text{Fun.swap } a b \text{id} \circ p) \text{ ` } \{(b, p). b \in \text{insert } a S \wedge p \in \{p. p \text{ permutates}$   
 $S\}\}$   
 ⟨*proof*⟩

**lemma** *card-permutations*:  
**assumes** *card*  $S = n$   
**and** *finite*  $S$   
**shows** *card*  $\{p. p \text{ permutes } S\} = \text{fact } n$   
*<proof>*

**lemma** *finite-permutations*:  
**assumes** *finite*  $S$   
**shows** *finite*  $\{p. p \text{ permutes } S\}$   
*<proof>*

## 59.6 Permutations of index set for iterated operations

**lemma** (*in comm-monoid-set*) *permute*:  
**assumes**  $p \text{ permutes } S$   
**shows**  $F\ g\ S = F\ (g \circ p)\ S$   
*<proof>*

## 59.7 Various combinations of transpositions with 2, 1 and 0 common elements

**lemma** *swap-id-common*:  $a \neq c \implies b \neq c \implies$   
 $\text{Fun.swap } a\ b\ \text{id} \circ \text{Fun.swap } a\ c\ \text{id} = \text{Fun.swap } b\ c\ \text{id} \circ \text{Fun.swap } a\ b\ \text{id}$   
*<proof>*

**lemma** *swap-id-common'*:  $a \neq b \implies a \neq c \implies$   
 $\text{Fun.swap } a\ c\ \text{id} \circ \text{Fun.swap } b\ c\ \text{id} = \text{Fun.swap } b\ c\ \text{id} \circ \text{Fun.swap } a\ b\ \text{id}$   
*<proof>*

**lemma** *swap-id-independent*:  $a \neq c \implies a \neq d \implies b \neq c \implies b \neq d \implies$   
 $\text{Fun.swap } a\ b\ \text{id} \circ \text{Fun.swap } c\ d\ \text{id} = \text{Fun.swap } c\ d\ \text{id} \circ \text{Fun.swap } a\ b\ \text{id}$   
*<proof>*

## 59.8 Permutations as transposition sequences

**inductive** *swapidseq* ::  $\text{nat} \Rightarrow ('a \Rightarrow 'a) \Rightarrow \text{bool}$   
**where**  
*id[simp]*: *swapidseq* 0 *id*  
| *comp-Suc*: *swapidseq*  $n\ p \implies a \neq b \implies \text{swapidseq } (\text{Suc } n)\ (\text{Fun.swap } a\ b\ \text{id} \circ p)$

**declare** *id[unfolded id-def, simp]*

**definition** *permutation*  $p \longleftrightarrow (\exists n. \text{swapidseq } n\ p)$

## 59.9 Some closure properties of the set of permutations, with lengths

**lemma** *permutation-id[simp]*: *permutation* *id*

*<proof>*

**declare** *permutation-id*[*unfolded id-def*, *simp*]

**lemma** *swapidseq-swap*: *swapidseq* (if  $a = b$  then 0 else 1) (*Fun.swap a b id*)  
*<proof>*

**lemma** *permutation-swap-id*: *permutation* (*Fun.swap a b id*)  
*<proof>*

**lemma** *swapidseq-comp-add*: *swapidseq n p*  $\implies$  *swapidseq m q*  $\implies$  *swapidseq* ( $n + m$ ) ( $p \circ q$ )  
*<proof>*

**lemma** *permutation-compose*: *permutation p*  $\implies$  *permutation q*  $\implies$  *permutation* ( $p \circ q$ )  
*<proof>*

**lemma** *swapidseq-endswap*: *swapidseq n p*  $\implies$   $a \neq b \implies$  *swapidseq* (*Suc n*) ( $p \circ$   
*Fun.swap a b id*)  
*<proof>*

**lemma** *swapidseq-inverse-exists*: *swapidseq n p*  $\implies$   $\exists q.$  *swapidseq n q*  $\wedge$   $p \circ q =$   
 $id \wedge q \circ p = id$   
*<proof>*

**lemma** *swapidseq-inverse*:  
**assumes** *swapidseq n p*  
**shows** *swapidseq n* (*inv p*)  
*<proof>*

**lemma** *permutation-inverse*: *permutation p*  $\implies$  *permutation* (*inv p*)  
*<proof>*

## 59.10 The identity map only has even transposition sequences

**lemma** *symmetry-lemma*:

**assumes**  $\bigwedge a b c d. P a b c d \implies P a b d c$   
**and**  $\bigwedge a b c d. a \neq b \implies c \neq d \implies$   
 $a = c \wedge b = d \vee a = c \wedge b \neq d \vee a \neq c \wedge b = d \vee a \neq c \wedge a \neq d \wedge b \neq c$   
 $\wedge b \neq d \implies$   
 $P a b c d$   
**shows**  $\bigwedge a b c d. a \neq b \longrightarrow c \neq d \longrightarrow P a b c d$   
*<proof>*

**lemma** *swap-general*:  $a \neq b \implies c \neq d \implies$   
 $Fun.swap a b id \circ Fun.swap c d id = id \vee$   
 $(\exists x y z. x \neq a \wedge y \neq a \wedge z \neq a \wedge x \neq y \wedge$

$Fun.swap\ a\ b\ id \circ Fun.swap\ c\ d\ id = Fun.swap\ x\ y\ id \circ Fun.swap\ a\ z\ id$   
 ⟨proof⟩

**lemma** *swapidseq-id-iff*[simp]:  $swapidseq\ 0\ p \longleftrightarrow p = id$   
 ⟨proof⟩

**lemma** *swapidseq-cases*:  $swapidseq\ n\ p \longleftrightarrow$   
 $n = 0 \wedge p = id \vee (\exists a\ b\ q\ m. n = Suc\ m \wedge p = Fun.swap\ a\ b\ id \circ q \wedge$   
 $swapidseq\ m\ q \wedge a \neq b)$   
 ⟨proof⟩

**lemma** *fixing-swapidseq-decrease*:  
 assumes  $swapidseq\ n\ p$   
 and  $a \neq b$   
 and  $(Fun.swap\ a\ b\ id \circ p)\ a = a$   
 shows  $n \neq 0 \wedge swapidseq\ (n - 1)\ (Fun.swap\ a\ b\ id \circ p)$   
 ⟨proof⟩

**lemma** *swapidseq-identity-even*:  
 assumes  $swapidseq\ n\ (id :: 'a \Rightarrow 'a)$   
 shows  $even\ n$   
 ⟨proof⟩

### 59.11 Therefore we have a welldefined notion of parity

**definition**  $evenperm\ p = even\ (SOME\ n. swapidseq\ n\ p)$

**lemma** *swapidseq-even-even*:  
 assumes  $m: swapidseq\ m\ p$   
 and  $n: swapidseq\ n\ p$   
 shows  $even\ m \longleftrightarrow even\ n$   
 ⟨proof⟩

**lemma** *evenperm-unique*:  
 assumes  $p: swapidseq\ n\ p$   
 and  $n: even\ n = b$   
 shows  $evenperm\ p = b$   
 ⟨proof⟩

### 59.12 And it has the expected composition properties

**lemma** *evenperm-id*[simp]:  $evenperm\ id = True$   
 ⟨proof⟩

**lemma** *evenperm-swap*:  $evenperm\ (Fun.swap\ a\ b\ id) = (a = b)$   
 ⟨proof⟩

**lemma** *evenperm-comp*:  
 assumes  $permutation\ p\ permutation\ q$   
 shows  $evenperm\ (p \circ q) \longleftrightarrow evenperm\ p = evenperm\ q$

*<proof>*

**lemma** *evenperm-inv*:

**assumes** *permutation p*

**shows**  $\text{evenperm } (\text{inv } p) = \text{evenperm } p$

*<proof>*

### 59.13 A more abstract characterization of permutations

**lemma** *bij-iff*:  $\text{bij } f \longleftrightarrow (\forall x. \exists!y. f y = x)$

*<proof>*

**lemma** *permutation-bijective*:

**assumes** *permutation p*

**shows** *bij p*

*<proof>*

**lemma** *permutation-finite-support*:

**assumes** *permutation p*

**shows** *finite*  $\{x. p x \neq x\}$

*<proof>*

**lemma** *permutation-lemma*:

**assumes** *finite S*

**and** *bij p*

**and**  $\forall x. x \notin S \longrightarrow p x = x$

**shows** *permutation p*

*<proof>*

**lemma** *permutation*:  $\text{permutation } p \longleftrightarrow \text{bij } p \wedge \text{finite } \{x. p x \neq x\}$

(**is** *?lhs*  $\longleftrightarrow$  *?b*  $\wedge$  *?f*)

*<proof>*

**lemma** *permutation-inverse-works*:

**assumes** *permutation p*

**shows**  $\text{inv } p \circ p = \text{id}$

**and**  $p \circ \text{inv } p = \text{id}$

*<proof>*

**lemma** *permutation-inverse-compose*:

**assumes** *p: permutation p*

**and** *q: permutation q*

**shows**  $\text{inv } (p \circ q) = \text{inv } q \circ \text{inv } p$

*<proof>*

### 59.14 Relation to *permutes*

**lemma** *permutation-permutes*:  $\text{permutation } p \longleftrightarrow (\exists S. \text{finite } S \wedge p \text{ permutes } S)$

*<proof>*

**59.15 Hence a sort of induction principle composing by swaps**

**lemma** *permutes-induct*:  $\text{finite } S \implies P \text{ id} \implies$   
 $(\bigwedge a b p. a \in S \implies b \in S \implies P p \implies P p \implies \text{permutation } p \implies P (\text{Fun.swap } a b \text{ id} \circ p)) \implies$   
 $(\bigwedge p. p \text{ permutes } S \implies P p)$   
 ⟨proof⟩

**59.16 Sign of a permutation as a real number**

**definition** *sign*  $p = (\text{if evenperm } p \text{ then } (1::\text{int}) \text{ else } -1)$

**lemma** *sign-nz*:  $\text{sign } p \neq 0$   
 ⟨proof⟩

**lemma** *sign-id*:  $\text{sign id} = 1$   
 ⟨proof⟩

**lemma** *sign-inverse*:  $\text{permutation } p \implies \text{sign } (\text{inv } p) = \text{sign } p$   
 ⟨proof⟩

**lemma** *sign-compose*:  $\text{permutation } p \implies \text{permutation } q \implies \text{sign } (p \circ q) = \text{sign } p * \text{sign } q$   
 ⟨proof⟩

**lemma** *sign-swap-id*:  $\text{sign } (\text{Fun.swap } a b \text{ id}) = (\text{if } a = b \text{ then } 1 \text{ else } -1)$   
 ⟨proof⟩

**lemma** *sign-idempotent*:  $\text{sign } p * \text{sign } p = 1$   
 ⟨proof⟩

**59.17 Permuting a list**

This function permutes a list by applying a permutation to the indices.

**definition** *permute-list* ::  $(\text{nat} \Rightarrow \text{nat}) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$   
**where** *permute-list*  $f \text{ xs} = \text{map } (\lambda i. \text{xs } ! (f i)) [0..<\text{length } \text{xs}]$

**lemma** *permute-list-map*:  
**assumes**  $f \text{ permutes } \{..<\text{length } \text{xs}\}$   
**shows**  $\text{permute-list } f (\text{map } g \text{ xs}) = \text{map } g (\text{permute-list } f \text{ xs})$   
 ⟨proof⟩

**lemma** *permute-list-nth*:  
**assumes**  $f \text{ permutes } \{..<\text{length } \text{xs}\} \ i < \text{length } \text{xs}$   
**shows**  $\text{permute-list } f \text{ xs } ! i = \text{xs } ! f i$   
 ⟨proof⟩

**lemma** *permute-list-Nil* [*simp*]:  $\text{permute-list } f [] = []$   
 ⟨proof⟩

**lemma** *length-permute-list* [*simp*]:  $\text{length } (\text{permute-list } f \text{ } xs) = \text{length } xs$   
 ⟨*proof*⟩

**lemma** *permute-list-compose*:  
**assumes**  $g$  permutes  $\{..<\text{length } xs\}$   
**shows**  $\text{permute-list } (f \circ g) \text{ } xs = \text{permute-list } g \text{ } (\text{permute-list } f \text{ } xs)$   
 ⟨*proof*⟩

**lemma** *permute-list-ident* [*simp*]:  $\text{permute-list } (\lambda x. x) \text{ } xs = xs$   
 ⟨*proof*⟩

**lemma** *permute-list-id* [*simp*]:  $\text{permute-list } \text{id} \text{ } xs = xs$   
 ⟨*proof*⟩

**lemma** *mset-permute-list* [*simp*]:  
**fixes**  $xs :: 'a \text{ list}$   
**assumes**  $f$  permutes  $\{..<\text{length } xs\}$   
**shows**  $\text{mset } (\text{permute-list } f \text{ } xs) = \text{mset } xs$   
 ⟨*proof*⟩

**lemma** *set-permute-list* [*simp*]:  
**assumes**  $f$  permutes  $\{..<\text{length } xs\}$   
**shows**  $\text{set } (\text{permute-list } f \text{ } xs) = \text{set } xs$   
 ⟨*proof*⟩

**lemma** *distinct-permute-list* [*simp*]:  
**assumes**  $f$  permutes  $\{..<\text{length } xs\}$   
**shows**  $\text{distinct } (\text{permute-list } f \text{ } xs) = \text{distinct } xs$   
 ⟨*proof*⟩

**lemma** *permute-list-zip*:  
**assumes**  $f$  permutes  $A$   $A = \{..<\text{length } xs\}$   
**assumes** [*simp*]:  $\text{length } xs = \text{length } ys$   
**shows**  $\text{permute-list } f \text{ } (\text{zip } xs \text{ } ys) = \text{zip } (\text{permute-list } f \text{ } xs) \text{ } (\text{permute-list } f \text{ } ys)$   
 ⟨*proof*⟩

**lemma** *map-of-permute*:  
**assumes**  $\sigma$  permutes  $\text{fst } ' \text{ set } xs$   
**shows**  $\text{map-of } xs \circ \sigma = \text{map-of } (\text{map } (\lambda(x,y). (\text{inv } \sigma \text{ } x, y)) \text{ } xs)$   
 (**is**  $- = \text{map-of } (\text{map } ?f \text{ } -)$ )  
 ⟨*proof*⟩

## 59.18 More lemmas about permutations

The following few lemmas were contributed by Lukas Bulwahn.

**lemma** *count-image-mset-eq-card-vimage*:  
**assumes**  $\text{finite } A$   
**shows**  $\text{count } (\text{image-mset } f \text{ } (\text{mset-set } A)) \text{ } b = \text{card } \{a \in A. f \text{ } a = b\}$   
 ⟨*proof*⟩



**lemma** *image-mset-eq-implies-permutes*:

**fixes**  $f :: 'a \Rightarrow 'b$

**assumes** *finite A*

**and** *mset-eq: image-mset f (mset-set A) = image-mset f' (mset-set A)*

**obtains**  $p$  **where**  $p$  *permutes A* **and**  $\forall x \in A. f x = f' (p x)$

*<proof>*

**lemma** *mset-set-upto-eq-mset-upto*:  $mset-set \{..<n\} = mset [0..<n]$

*<proof>*

**lemma** *mset-eq-permutation*:

**fixes**  $xs\ ys :: 'a\ list$

**assumes** *mset-eq: mset xs = mset ys*

**obtains**  $p$  **where**  $p$  *permutes  $\{..<length\ ys\}$*  *permute-list p ys = xs*

*<proof>*

**lemma** *permutes-natset-le*:

**fixes**  $S :: 'a::wellorder\ set$

**assumes**  $p$  *permutes S*

**and**  $\forall i \in S. p\ i \leq i$

**shows**  $p = id$

*<proof>*

**lemma** *permutes-natset-ge*:

**fixes**  $S :: 'a::wellorder\ set$

**assumes**  $p$ :  $p$  *permutes S*

**and**  $le$ :  $\forall i \in S. p\ i \geq i$

**shows**  $p = id$

*<proof>*

**lemma** *image-inverse-permutations*:  $\{inv\ p \mid p. p\ permutes\ S\} = \{p. p\ permutes\ S\}$

*<proof>*

**lemma** *image-compose-permutations-left*:

**assumes**  $q$  *permutes S*

**shows**  $\{q \circ p \mid p. p\ permutes\ S\} = \{p. p\ permutes\ S\}$

*<proof>*

**lemma** *image-compose-permutations-right*:

**assumes**  $q$  *permutes S*

**shows**  $\{p \circ q \mid p. p\ permutes\ S\} = \{p. p\ permutes\ S\}$

*<proof>*

**lemma** *permutes-in-seg*:  $p\ permutes\ \{1\ ..n\} \implies i \in \{1..n\} \implies 1 \leq p\ i \wedge p\ i \leq n$

*<proof>*

**lemma** *sum-permutations-inverse*:  $sum\ f\ \{p.\ p\ \text{permutes}\ S\} = sum\ (\lambda p.\ f(\text{inv}\ p))\ \{p.\ p\ \text{permutes}\ S\}$   
*(is ?lhs = ?rhs)*  
*<proof>*

**lemma** *setum-permutations-compose-left*:  
**assumes**  $q: q\ \text{permutes}\ S$   
**shows**  $sum\ f\ \{p.\ p\ \text{permutes}\ S\} = sum\ (\lambda p.\ f(q \circ p))\ \{p.\ p\ \text{permutes}\ S\}$   
*(is ?lhs = ?rhs)*  
*<proof>*

**lemma** *sum-permutations-compose-right*:  
**assumes**  $q: q\ \text{permutes}\ S$   
**shows**  $sum\ f\ \{p.\ p\ \text{permutes}\ S\} = sum\ (\lambda p.\ f(p \circ q))\ \{p.\ p\ \text{permutes}\ S\}$   
*(is ?lhs = ?rhs)*  
*<proof>*

### 59.19 Sum over a set of permutations (could generalize to iteration)

**lemma** *sum-over-permutations-insert*:  
**assumes**  $fS: \text{finite}\ S$   
**and**  $aS: a \notin S$   
**shows**  $sum\ f\ \{p.\ p\ \text{permutes}\ (\text{insert}\ a\ S)\} = sum\ (\lambda b.\ sum\ (\lambda q.\ f\ (\text{Fun.swap}\ a\ b\ \text{id} \circ q))\ \{p.\ p\ \text{permutes}\ S\})\ (\text{insert}\ a\ S)$   
*<proof>*

### 59.20 Constructing permutations from association lists

**definition** *list-permutes* ::  $('a \times 'a)\ \text{list} \Rightarrow 'a\ \text{set} \Rightarrow \text{bool}$   
**where**  $list\text{-permutes}\ xs\ A \iff$   
 $set\ (\text{map}\ \text{fst}\ xs) \subseteq A \wedge$   
 $set\ (\text{map}\ \text{snd}\ xs) = set\ (\text{map}\ \text{fst}\ xs) \wedge$   
 $distinct\ (\text{map}\ \text{fst}\ xs) \wedge$   
 $distinct\ (\text{map}\ \text{snd}\ xs)$

**lemma** *list-permutesI* [*simp*]:  
**assumes**  $set\ (\text{map}\ \text{fst}\ xs) \subseteq A\ set\ (\text{map}\ \text{snd}\ xs) = set\ (\text{map}\ \text{fst}\ xs)\ distinct\ (\text{map}\ \text{fst}\ xs)$   
**shows**  $list\text{-permutes}\ xs\ A$   
*<proof>*

**definition** *permutation-of-list* ::  $('a \times 'a)\ \text{list} \Rightarrow 'a \Rightarrow 'a$   
**where**  $permutation\text{-of}\text{-list}\ xs\ x = (\text{case}\ \text{map}\text{-of}\ xs\ \text{of}\ \text{None} \Rightarrow x \mid \text{Some}\ y \Rightarrow y)$

**lemma** *permutation-of-list-Cons*:  
 $permutation\text{-of}\text{-list}\ ((x, y) \# xs)\ x' = (\text{if}\ x = x'\ \text{then}\ y\ \text{else}\ permutation\text{-of}\text{-list}\ xs\ x')$

$xs\ x'$   
 ⟨proof⟩

**fun** *inverse-permutation-of-list* ::  $('a \times 'a)\ list \Rightarrow 'a \Rightarrow 'a$   
**where**  
   *inverse-permutation-of-list* []  $x = x$   
   | *inverse-permutation-of-list*  $((y, x') \# xs)$   $x =$   
     *(if*  $x = x'$  *then*  $y$  *else* *inverse-permutation-of-list*  $xs\ x)$

**declare** *inverse-permutation-of-list.simps* [*simp del*]

**lemma** *inj-on-map-of*:  
**assumes** *distinct* (*map snd xs*)  
**shows** *inj-on* (*map-of xs*) (*set* (*map fst xs*))  
 ⟨proof⟩

**lemma** *inj-on-the*:  $None \notin A \Longrightarrow$  *inj-on the*  $A$   
 ⟨proof⟩

**lemma** *inj-on-map-of'*:  
**assumes** *distinct* (*map snd xs*)  
**shows** *inj-on* (*the*  $\circ$  *map-of xs*) (*set* (*map fst xs*))  
 ⟨proof⟩

**lemma** *image-map-of*:  
**assumes** *distinct* (*map fst xs*)  
**shows** *map-of xs*  $' set$  (*map fst xs*) = *Some*  $' set$  (*map snd xs*)  
 ⟨proof⟩

**lemma** *the-Some-image* [*simp*]: *the*  $' Some$   $' A = A$   
 ⟨proof⟩

**lemma** *image-map-of'*:  
**assumes** *distinct* (*map fst xs*)  
**shows** (*the*  $\circ$  *map-of xs*)  $' set$  (*map fst xs*) = *set* (*map snd xs*)  
 ⟨proof⟩

**lemma** *permutation-of-list-permutes* [*simp*]:  
**assumes** *list-permutes xs A*  
**shows** *permutation-of-list xs permutes A*  
   (**is**  $?f$  *permutes* -)  
 ⟨proof⟩

**lemma** *eval-permutation-of-list* [*simp*]:  
*permutation-of-list* []  $x = x$   
 $x = x' \Longrightarrow$  *permutation-of-list*  $((x', y) \# xs)$   $x = y$   
 $x \neq x' \Longrightarrow$  *permutation-of-list*  $((x', y') \# xs)$   $x =$  *permutation-of-list*  $xs\ x$   
 ⟨proof⟩

**lemma** *eval-inverse-permutation-of-list* [simp]:

*inverse-permutation-of-list* []  $x = x$   
 $x = x' \implies \text{inverse-permutation-of-list } ((y, x') \# xs) x = y$   
 $x \neq x' \implies \text{inverse-permutation-of-list } ((y', x') \# xs) x = \text{inverse-permutation-of-list } xs x$   
 ⟨proof⟩

**lemma** *permutation-of-list-id*:  $x \notin \text{set } (\text{map fst } xs) \implies \text{permutation-of-list } xs x = x$   
 ⟨proof⟩

**lemma** *permutation-of-list-unique'*:  
 $\text{distinct } (\text{map fst } xs) \implies (x, y) \in \text{set } xs \implies \text{permutation-of-list } xs x = y$   
 ⟨proof⟩

**lemma** *permutation-of-list-unique*:  
 $\text{list-permutes } xs A \implies (x, y) \in \text{set } xs \implies \text{permutation-of-list } xs x = y$   
 ⟨proof⟩

**lemma** *inverse-permutation-of-list-id*:  
 $x \notin \text{set } (\text{map snd } xs) \implies \text{inverse-permutation-of-list } xs x = x$   
 ⟨proof⟩

**lemma** *inverse-permutation-of-list-unique'*:  
 $\text{distinct } (\text{map snd } xs) \implies (x, y) \in \text{set } xs \implies \text{inverse-permutation-of-list } xs y = x$   
 ⟨proof⟩

**lemma** *inverse-permutation-of-list-unique*:  
 $\text{list-permutes } xs A \implies (x, y) \in \text{set } xs \implies \text{inverse-permutation-of-list } xs y = x$   
 ⟨proof⟩

**lemma** *inverse-permutation-of-list-correct*:  
**fixes**  $A :: 'a \text{ set}$   
**assumes**  $\text{list-permutes } xs A$   
**shows**  $\text{inverse-permutation-of-list } xs = \text{inv } (\text{permutation-of-list } xs)$   
 ⟨proof⟩

end

## 60 Permutations of a Multiset

**theory** *Multiset-Permutations*

**imports**

*Complex-Main*

*Multiset*

*Permutations*

**begin**

**lemma** *mset-tl*:  $xs \neq [] \implies mset (tl\ xs) = mset\ xs - \{\#hd\ xs\#\}$   
 ⟨proof⟩

**lemma** *mset-set-image-inj*:  
**assumes** *inj-on f A*  
**shows**  $mset\text{-}set\ (f\ `A) = image\text{-}mset\ f\ (mset\text{-}set\ A)$   
 ⟨proof⟩

**lemma** *multiset-remove-induct* [*case-names empty remove*]:  
**assumes**  $P\ \{\#\} \wedge A.\ A \neq \{\#\} \implies (\bigwedge x.\ x \in\# A \implies P\ (A - \{\#x\#\})) \implies P\ A$   
**shows**  $P\ A$   
 ⟨proof⟩

**lemma** *map-list-bind*:  $map\ g\ (List.\text{bind}\ xs\ f) = List.\text{bind}\ xs\ (map\ g\ \circ\ f)$   
 ⟨proof⟩

**lemma** *mset-eq-mset-set-imp-distinct*:  
 $finite\ A \implies mset\text{-}set\ A = mset\ xs \implies distinct\ xs$   
 ⟨proof⟩

## 60.1 Permutations of a multiset

**definition** *permutations-of-multiset* :: 'a multiset  $\Rightarrow$  'a list set **where**  
 $permutations\text{-}of\text{-}multiset\ A = \{xs.\ mset\ xs = A\}$

**lemma** *permutations-of-multisetI*:  $mset\ xs = A \implies xs \in permutations\text{-}of\text{-}multiset\ A$   
 ⟨proof⟩

**lemma** *permutations-of-multisetD*:  $xs \in permutations\text{-}of\text{-}multiset\ A \implies mset\ xs = A$   
 ⟨proof⟩

**lemma** *permutations-of-multiset-Cons-iff*:  
 $x \# xs \in permutations\text{-}of\text{-}multiset\ A \iff x \in\# A \wedge xs \in permutations\text{-}of\text{-}multiset\ (A - \{\#x\#\})$   
 ⟨proof⟩

**lemma** *permutations-of-multiset-empty* [*simp*]:  $permutations\text{-}of\text{-}multiset\ \{\#\} = \{[]\}$   
 ⟨proof⟩

**lemma** *permutations-of-multiset-nonempty*:  
**assumes** *nonempty*:  $A \neq \{\#\}$   
**shows**  $permutations\text{-}of\text{-}multiset\ A = (\bigcup_{x \in\# A} (op\ \#\ x)\ `permutations\text{-}of\text{-}multiset\ (A - \{\#x\#\}))$   
 (is - = ?rhs)

*<proof>*

**lemma** *permutations-of-multiset-singleton* [*simp*]: *permutations-of-multiset*  $\{\#x\# \}$   
 $= \{[x]\}$   
*<proof>*

**lemma** *permutations-of-multiset-doubleton*:  
*permutations-of-multiset*  $\{\#x,y\# \} = \{[x,y], [y,x]\}$   
*<proof>*

**lemma** *rev-permutations-of-multiset* [*simp*]:  
 $\text{rev } \text{'permutations-of-multiset } A = \text{permutations-of-multiset } A$   
*<proof>*

**lemma** *length-finite-permutations-of-multiset*:  
 $xs \in \text{permutations-of-multiset } A \implies \text{length } xs = \text{size } A$   
*<proof>*

**lemma** *permutations-of-multiset-lists*: *permutations-of-multiset*  $A \subseteq \text{lists } (\text{set-mset } A)$   
*<proof>*

**lemma** *finite-permutations-of-multiset* [*simp*]: *finite* (*permutations-of-multiset*  $A$ )  
*<proof>*

**lemma** *permutations-of-multiset-not-empty* [*simp*]: *permutations-of-multiset*  $A \neq \{\}$   
*<proof>*

**lemma** *permutations-of-multiset-image*:  
*permutations-of-multiset* (*image-mset*  $f A$ ) = *map*  $f$  ‘ *permutations-of-multiset*  $A$   
*<proof>*

## 60.2 Cardinality of permutations

In this section, we prove some basic facts about the number of permutations of a multiset.

**context**  
**begin**

**private lemma** *multiset-prod-fact-insert*:  
 $(\prod_{y \in \text{set-mset } (A + \{\#x\# \})} \text{fact } (\text{count } (A + \{\#x\# \}) y)) =$   
 $(\text{count } A x + 1) * (\prod_{y \in \text{set-mset } A} \text{fact } (\text{count } A y))$   
*<proof>* **lemma** *multiset-prod-fact-remove*:  
 $x \in \# A \implies (\prod_{y \in \text{set-mset } A} \text{fact } (\text{count } A y)) =$   
 $\text{count } A x * (\prod_{y \in \text{set-mset } (A - \{\#x\# \})} \text{fact } (\text{count } (A - \{\#x\# \})$   
 $y))$   
*<proof>*

**lemma** *card-permutations-of-multiset-aux*:

$\text{card} (\text{permutations-of-multiset } A) * (\prod_{x \in \text{set-mset } A} \text{fact} (\text{count } A \ x)) = \text{fact} (\text{size } A)$   
 ⟨proof⟩

**theorem** *card-permutations-of-multiset*:

$\text{card} (\text{permutations-of-multiset } A) = \text{fact} (\text{size } A) \text{ div } (\prod_{x \in \text{set-mset } A} \text{fact} (\text{count } A \ x))$   
 $(\prod_{x \in \text{set-mset } A} \text{fact} (\text{count } A \ x) :: \text{nat}) \text{ dvd } \text{fact} (\text{size } A)$   
 ⟨proof⟩

**lemma** *card-permutations-of-multiset-insert-aux*:

$\text{card} (\text{permutations-of-multiset } (A + \{\#x\})) * (\text{count } A \ x + 1) =$   
 $(\text{size } A + 1) * \text{card} (\text{permutations-of-multiset } A)$   
 ⟨proof⟩

**lemma** *card-permutations-of-multiset-remove-aux*:

**assumes**  $x \in \# A$   
**shows**  $\text{card} (\text{permutations-of-multiset } A) * \text{count } A \ x =$   
 $\text{size } A * \text{card} (\text{permutations-of-multiset } (A - \{\#x\}))$   
 ⟨proof⟩

**lemma** *real-card-permutations-of-multiset-remove*:

**assumes**  $x \in \# A$   
**shows**  $\text{real} (\text{card} (\text{permutations-of-multiset } (A - \{\#x\}))) =$   
 $\text{real} (\text{card} (\text{permutations-of-multiset } A) * \text{count } A \ x) / \text{real} (\text{size } A)$   
 ⟨proof⟩

**lemma** *real-card-permutations-of-multiset-remove'*:

**assumes**  $x \in \# A$   
**shows**  $\text{real} (\text{card} (\text{permutations-of-multiset } A)) =$   
 $\text{real} (\text{size } A * \text{card} (\text{permutations-of-multiset } (A - \{\#x\}))) / \text{real} (\text{count } A \ x)$   
 ⟨proof⟩

end

### 60.3 Permutations of a set

**definition** *permutations-of-set* :: 'a set  $\Rightarrow$  'a list set **where**  
 $\text{permutations-of-set } A = \{xs. \text{set } xs = A \wedge \text{distinct } xs\}$

**lemma** *permutations-of-set-altdef*:

$\text{finite } A \Longrightarrow \text{permutations-of-set } A = \text{permutations-of-multiset} (\text{mset-set } A)$   
 ⟨proof⟩

**lemma** *permutations-of-setI* [intro]:

**assumes**  $\text{set } xs = A \ \text{distinct } xs$   
**shows**  $xs \in \text{permutations-of-set } A$

*<proof>*

**lemma** *permutations-of-setD*:

**assumes**  $xs \in \text{permutations-of-set } A$

**shows**  $\text{set } xs = A \text{ distinct } xs$

*<proof>*

**lemma** *permutations-of-set-lists*:  $\text{permutations-of-set } A \subseteq \text{lists } A$

*<proof>*

**lemma** *permutations-of-set-empty* [simp]:  $\text{permutations-of-set } \{\} = \{\{\}\}$

*<proof>*

**lemma** *UN-set-permutations-of-set* [simp]:

$\text{finite } A \implies (\bigcup xs \in \text{permutations-of-set } A. \text{set } xs) = A$

*<proof>*

**lemma** *permutations-of-set-infinite*:

$\neg \text{finite } A \implies \text{permutations-of-set } A = \{\}$

*<proof>*

**lemma** *permutations-of-set-nonempty*:

$A \neq \{\} \implies \text{permutations-of-set } A =$

$(\bigcup x \in A. (\lambda xs. x \# xs) \text{ 'permutations-of-set } (A - \{x\}))$

*<proof>*

**lemma** *permutations-of-set-singleton* [simp]:  $\text{permutations-of-set } \{x\} = \{\{x\}\}$

*<proof>*

**lemma** *permutations-of-set-doubleton*:

$x \neq y \implies \text{permutations-of-set } \{x,y\} = \{\{x,y\}, \{y,x\}\}$

*<proof>*

**lemma** *rev-permutations-of-set* [simp]:

$\text{rev 'permutations-of-set } A = \text{permutations-of-set } A$

*<proof>*

**lemma** *length-finite-permutations-of-set*:

$xs \in \text{permutations-of-set } A \implies \text{length } xs = \text{card } A$

*<proof>*

**lemma** *finite-permutations-of-set* [simp]:  $\text{finite } (\text{permutations-of-set } A)$

*<proof>*

**lemma** *permutations-of-set-empty-iff* [simp]:

$\text{permutations-of-set } A = \{\} \iff \neg \text{finite } A$

*<proof>*

**lemma** *card-permutations-of-set* [simp]:



*finite*  $A \implies \text{card} (\text{permutations-of-set } A) = \text{fact} (\text{card } A)$   
 ⟨proof⟩

**lemma** *permutations-of-set-image-inj*:

**assumes** *inj*: *inj-on*  $f$   $A$

**shows**  $\text{permutations-of-set } (f \text{ ‘ } A) = \text{map } f \text{ ‘ permutations-of-set } A$   
 ⟨proof⟩

**lemma** *permutations-of-set-image-permutes*:

$\sigma$  *permutes*  $A \implies \text{map } \sigma \text{ ‘ permutations-of-set } A = \text{permutations-of-set } A$   
 ⟨proof⟩

## 60.4 Code generation

First, we give code an implementation for permutations of lists.

**declare** *length-remove1* [*termination-simp*]

**fun** *permutations-of-list-impl* **where**

*permutations-of-list-impl*  $xs = (\text{if } xs = [] \text{ then } [[]] \text{ else}$   
 $\text{List.bind } (\text{remdups } xs) (\lambda x. \text{map } (op \# x) (\text{permutations-of-list-impl } (\text{remove1 } x \text{ } xs))))$

**fun** *permutations-of-list-impl-aux* **where**

*permutations-of-list-impl-aux* *acc*  $xs = (\text{if } xs = [] \text{ then } [acc] \text{ else}$   
 $\text{List.bind } (\text{remdups } xs) (\lambda x. \text{permutations-of-list-impl-aux } (x\#acc) (\text{remove1 } x \text{ } xs))))$

**declare** *permutations-of-list-impl-aux.simps* [*simp del*]

**declare** *permutations-of-list-impl.simps* [*simp del*]

**lemma** *permutations-of-list-impl-Nil* [*simp*]:

*permutations-of-list-impl*  $[] = [[]]$   
 ⟨proof⟩

**lemma** *permutations-of-list-impl-nonempty*:

$xs \neq [] \implies \text{permutations-of-list-impl } xs =$   
 $\text{List.bind } (\text{remdups } xs) (\lambda x. \text{map } (op \# x) (\text{permutations-of-list-impl } (\text{remove1 } x \text{ } xs))))$   
 ⟨proof⟩

**lemma** *set-permutations-of-list-impl*:

*set* (*permutations-of-list-impl*  $xs$ ) = *permutations-of-multiset* (*mset*  $xs$ )  
 ⟨proof⟩

**lemma** *distinct-permutations-of-list-impl*:

*distinct* (*permutations-of-list-impl*  $xs$ )  
 ⟨proof⟩

**lemma** *permutations-of-list-impl-aux-correct*:

```

permutations-of-list-impl-aux acc xs =
  map (λxs. rev xs @ acc) (permutations-of-list-impl xs)
⟨proof⟩

```

**lemma** *permutations-of-list-impl-aux-correct*:  
*permutations-of-list-impl-aux* [] xs = map rev (permutations-of-list-impl xs)  
 ⟨proof⟩

**lemma** *distinct-permutations-of-list-impl-aux*:  
 distinct (permutations-of-list-impl-aux acc xs)  
 ⟨proof⟩

**lemma** *set-permutations-of-list-impl-aux*:  
 set (permutations-of-list-impl-aux [] xs) = permutations-of-multiset (mset xs)  
 ⟨proof⟩

**declare** *set-permutations-of-list-impl-aux* [symmetric, code]

**value** [code] *permutations-of-multiset* {#1,2,3,4::int#}

Now we turn to permutations of sets. We define an auxiliary version with an accumulator to avoid having to map over the results.

```

function permutations-of-set-aux where
  permutations-of-set-aux acc A =
    (if ¬finite A then {} else if A = {} then {acc} else
     (⋃ x∈A. permutations-of-set-aux (x#acc) (A - {x})))
⟨proof⟩
termination ⟨proof⟩

```

**lemma** *permutations-of-set-aux-altdef*:  
*permutations-of-set-aux* acc A = (λxs. rev xs @ acc) ‘permutations-of-set A  
 ⟨proof⟩

**declare** *permutations-of-set-aux.simps* [simp del]

**lemma** *permutations-of-set-aux-correct*:  
*permutations-of-set-aux* [] A = permutations-of-set A  
 ⟨proof⟩

In another refinement step, we define a version on lists.

**declare** *length-remove1* [termination-simp]

```

fun permutations-of-set-aux-list where
  permutations-of-set-aux-list acc xs =
    (if xs = [] then [acc] else
     List.bind xs (λx. permutations-of-set-aux-list (x#acc) (List.remove1 x xs)))

```

**definition** *permutations-of-set-list* **where**  
*permutations-of-set-list* xs = *permutations-of-set-aux-list* [] xs

**declare** *permutations-of-set-aux-list.simps* [*simp del*]

**lemma** *permutations-of-set-aux-list-refine*:

**assumes** *distinct xs*

**shows**  $\text{set } (\text{permutations-of-set-aux-list } \text{acc } xs) = \text{permutations-of-set-aux } \text{acc } (\text{set } xs)$

*<proof>*

The permutation lists contain no duplicates if the inputs contain no duplicates. Therefore, these functions can easily be used when working with a representation of sets by distinct lists. The same approach should generalise to any kind of set implementation that supports a monadic bind operation, and since the results are disjoint, merging should be cheap.

**lemma** *distinct-permutations-of-set-aux-list*:

$\text{distinct } xs \implies \text{distinct } (\text{permutations-of-set-aux-list } \text{acc } xs)$

*<proof>*

**lemma** *distinct-permutations-of-set-list*:

$\text{distinct } xs \implies \text{distinct } (\text{permutations-of-set-list } xs)$

*<proof>*

**lemma** *permutations-of-list*:

$\text{permutations-of-set } (\text{set } xs) = \text{set } (\text{permutations-of-set-list } (\text{remdups } xs))$

*<proof>*

**lemma** *permutations-of-list-code* [*code*]:

$\text{permutations-of-set } (\text{set } xs) = \text{set } (\text{permutations-of-set-list } (\text{remdups } xs))$

$\text{permutations-of-set } (\text{List.co} \text{set } xs) =$

$\text{Code.abort } (\text{STR } \text{"Permutation of set complement not supported"})$

$(\lambda \cdot \text{permutations-of-set } (\text{List.co} \text{set } xs))$

*<proof>*

**value** [*code*] *permutations-of-set* (*set "abcd"*)

**end**

## 61 Non-negative, non-positive integers and reals

**theory** *Nonpos-Ints*

**imports** *Complex-Main*

**begin**

### 61.1 Non-positive integers

The set of non-positive integers on a ring. (in analogy to the set of non-negative integers  $\mathbb{N}$ ) This is useful e.g. for the Gamma function.

**definition** *nonpos-Ints* ( $\mathbb{Z}_{\leq 0}$ ) **where**  $\mathbb{Z}_{\leq 0} = \{\text{of-int } n \mid n. n \leq 0\}$

**lemma** *zero-in-nonpos-Ints* [*simp,intro*]:  $0 \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *neg-one-in-nonpos-Ints* [*simp,intro*]:  $-1 \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *neg-numeral-in-nonpos-Ints* [*simp,intro*]:  $-\text{numeral } n \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *one-notin-nonpos-Ints* [*simp*]:  $(1 :: 'a :: \text{ring-char-0}) \notin \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *numeral-notin-nonpos-Ints* [*simp*]:  $(\text{numeral } n :: 'a :: \text{ring-char-0}) \notin \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *minus-of-nat-in-nonpos-Ints* [*simp, intro*]:  $-\text{of-nat } n \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *of-nat-in-nonpos-Ints-iff*:  $(\text{of-nat } n :: 'a :: \{\text{ring-1,ring-char-0}\}) \in \mathbf{Z}_{\leq 0}$   
 $\longleftrightarrow n = 0$   
 ⟨*proof*⟩

**lemma** *nonpos-Ints-of-int*:  $n \leq 0 \implies \text{of-int } n \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-IntsI*:  
 $x \in \mathbf{Z} \implies x \leq 0 \implies (x :: 'a :: \text{linordered-idom}) \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Ints-subset-Ints*:  $\mathbf{Z}_{\leq 0} \subseteq \mathbf{Z}$   
 ⟨*proof*⟩

**lemma** *nonpos-Ints-nonpos* [*dest*]:  $x \in \mathbf{Z}_{\leq 0} \implies x \leq (0 :: 'a :: \text{linordered-idom})$   
 ⟨*proof*⟩

**lemma** *nonpos-Ints-Int* [*dest*]:  $x \in \mathbf{Z}_{\leq 0} \implies x \in \mathbf{Z}$   
 ⟨*proof*⟩

**lemma** *nonpos-Ints-cases*:  
**assumes**  $x \in \mathbf{Z}_{\leq 0}$   
**obtains**  $n$  **where**  $x = \text{of-int } n$   $n \leq 0$   
 ⟨*proof*⟩

**lemma** *nonpos-Ints-cases'*:  
**assumes**  $x \in \mathbf{Z}_{\leq 0}$   
**obtains**  $n$  **where**  $x = -\text{of-nat } n$   
 ⟨*proof*⟩

**lemma** *of-real-in-nonpos-Ints-iff*:  $(\text{of-real } x :: 'a :: \text{real-algebra-1}) \in \mathbf{Z}_{\leq 0} \longleftrightarrow x \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-altdef*:  $\mathbf{Z}_{\leq 0} = \{n \in \mathbf{Z}. (n :: 'a :: \text{linordered-idom}) \leq 0\}$   
 ⟨proof⟩

**lemma** *uminus-in-Nats-iff*:  $-x \in \mathbf{N} \longleftrightarrow x \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *uminus-in-nonpos-Ints-iff*:  $-x \in \mathbf{Z}_{\leq 0} \longleftrightarrow x \in \mathbf{N}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-mult*:  $x \in \mathbf{Z}_{\leq 0} \Longrightarrow y \in \mathbf{Z}_{\leq 0} \Longrightarrow x * y \in \mathbf{N}$   
 ⟨proof⟩

**lemma** *Nats-mult-nonpos-Ints*:  $x \in \mathbf{N} \Longrightarrow y \in \mathbf{Z}_{\leq 0} \Longrightarrow x * y \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-mult-Nats*:  
 $x \in \mathbf{Z}_{\leq 0} \Longrightarrow y \in \mathbf{N} \Longrightarrow x * y \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-add*:  
 $x \in \mathbf{Z}_{\leq 0} \Longrightarrow y \in \mathbf{Z}_{\leq 0} \Longrightarrow x + y \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-diff-Nats*:  
 $x \in \mathbf{Z}_{\leq 0} \Longrightarrow y \in \mathbf{N} \Longrightarrow x - y \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *Nats-diff-nonpos-Ints*:  
 $x \in \mathbf{N} \Longrightarrow y \in \mathbf{Z}_{\leq 0} \Longrightarrow x - y \in \mathbf{N}$   
 ⟨proof⟩

**lemma** *plus-of-nat-eq-0-imp*:  $z + \text{of-nat } n = 0 \Longrightarrow z \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

## 61.2 Non-negative reals

**definition** *nonneg-Reals* ::  $'a :: \text{real-algebra-1}$  set  $(\mathbf{R}_{\geq 0})$   
 where  $\mathbf{R}_{\geq 0} = \{\text{of-real } r \mid r. r \geq 0\}$

**lemma** *nonneg-Reals-of-real-iff* [simp]:  $\text{of-real } r \in \mathbf{R}_{\geq 0} \longleftrightarrow r \geq 0$   
 ⟨proof⟩

**lemma** *nonneg-Reals-subset-Reals*:  $\mathbf{R}_{\geq 0} \subseteq \mathbf{R}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-Real* [*dest*]:  $x \in \mathbb{R}_{\geq 0} \implies x \in \mathbb{R}$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-of-nat-I* [*simp*]: *of-nat*  $n \in \mathbb{R}_{\geq 0}$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-cases*:  
**assumes**  $x \in \mathbb{R}_{\geq 0}$   
**obtains**  $r$  **where**  $x = \text{of-real } r$   $r \geq 0$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-zero-I* [*simp*]:  $0 \in \mathbb{R}_{\geq 0}$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-one-I* [*simp*]:  $1 \in \mathbb{R}_{\geq 0}$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-minus-one-I* [*simp*]:  $-1 \notin \mathbb{R}_{\geq 0}$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-numeral-I* [*simp*]: *numeral*  $w \in \mathbb{R}_{\geq 0}$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-minus-numeral-I* [*simp*]:  $- \text{numeral } w \notin \mathbb{R}_{\geq 0}$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-add-I* [*simp*]:  $\llbracket a \in \mathbb{R}_{\geq 0}; b \in \mathbb{R}_{\geq 0} \rrbracket \implies a + b \in \mathbb{R}_{\geq 0}$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-mult-I* [*simp*]:  $\llbracket a \in \mathbb{R}_{\geq 0}; b \in \mathbb{R}_{\geq 0} \rrbracket \implies a * b \in \mathbb{R}_{\geq 0}$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-inverse-I* [*simp*]:  
**fixes**  $a :: 'a::\text{real-div-algebra}$   
**shows**  $a \in \mathbb{R}_{\geq 0} \implies \text{inverse } a \in \mathbb{R}_{\geq 0}$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-divide-I* [*simp*]:  
**fixes**  $a :: 'a::\text{real-div-algebra}$   
**shows**  $\llbracket a \in \mathbb{R}_{\geq 0}; b \in \mathbb{R}_{\geq 0} \rrbracket \implies a / b \in \mathbb{R}_{\geq 0}$   
 ⟨*proof*⟩

**lemma** *nonneg-Reals-pow-I* [*simp*]:  $a \in \mathbb{R}_{\geq 0} \implies a^n \in \mathbb{R}_{\geq 0}$   
 ⟨*proof*⟩

**lemma** *complex-nonneg-Reals-iff*:  $z \in \mathbb{R}_{\geq 0} \iff \text{Re } z \geq 0 \wedge \text{Im } z = 0$   
 ⟨*proof*⟩

**lemma** *ii-not-nonneg-Reals* [*iff*]:  $i \notin \mathbb{R}_{\geq 0}$

*<proof>*

### 61.3 Non-positive reals

**definition** *nonpos-Reals* :: 'a::real-algebra-1 set ( $\mathbb{R}_{\leq 0}$ )  
 where  $\mathbb{R}_{\leq 0} = \{\text{of-real } r \mid r. r \leq 0\}$

**lemma** *nonpos-Reals-of-real-iff* [simp]: *of-real*  $r \in \mathbb{R}_{\leq 0} \longleftrightarrow r \leq 0$   
*<proof>*

**lemma** *nonpos-Reals-subset-Reals*:  $\mathbb{R}_{\leq 0} \subseteq \mathbb{R}$   
*<proof>*

**lemma** *nonpos-Ints-subset-nonpos-Reals*:  $\mathbb{Z}_{\leq 0} \subseteq \mathbb{R}_{\leq 0}$   
*<proof>*

**lemma** *nonpos-Reals-of-nat-iff* [simp]: *of-nat*  $n \in \mathbb{R}_{\leq 0} \longleftrightarrow n=0$   
*<proof>*

**lemma** *nonpos-Reals-Real* [dest]:  $x \in \mathbb{R}_{\leq 0} \Longrightarrow x \in \mathbb{R}$   
*<proof>*

**lemma** *nonpos-Reals-cases*:  
 assumes  $x \in \mathbb{R}_{\leq 0}$   
 obtains  $r$  where  $x = \text{of-real } r$   $r \leq 0$   
*<proof>*

**lemma** *uminus-nonneg-Reals-iff* [simp]:  $-x \in \mathbb{R}_{\geq 0} \longleftrightarrow x \in \mathbb{R}_{\leq 0}$   
*<proof>*

**lemma** *uminus-nonpos-Reals-iff* [simp]:  $-x \in \mathbb{R}_{\leq 0} \longleftrightarrow x \in \mathbb{R}_{\geq 0}$   
*<proof>*

**lemma** *nonpos-Reals-zero-I* [simp]:  $0 \in \mathbb{R}_{\leq 0}$   
*<proof>*

**lemma** *nonpos-Reals-one-I* [simp]:  $1 \notin \mathbb{R}_{\leq 0}$   
*<proof>*

**lemma** *nonpos-Reals-numeral-I* [simp]: *numeral*  $w \notin \mathbb{R}_{\leq 0}$   
*<proof>*

**lemma** *nonpos-Reals-add-I* [simp]:  $\llbracket a \in \mathbb{R}_{\leq 0}; b \in \mathbb{R}_{\leq 0} \rrbracket \Longrightarrow a + b \in \mathbb{R}_{\leq 0}$   
*<proof>*

**lemma** *nonpos-Reals-mult-I1*:  $\llbracket a \in \mathbb{R}_{\geq 0}; b \in \mathbb{R}_{\leq 0} \rrbracket \Longrightarrow a * b \in \mathbb{R}_{\leq 0}$   
*<proof>*

**lemma** *nonpos-Reals-mult-I2*:  $\llbracket a \in \mathbb{R}_{\leq 0}; b \in \mathbb{R}_{\geq 0} \rrbracket \Longrightarrow a * b \in \mathbb{R}_{\leq 0}$

*<proof>*

**lemma** *nonpos-Reals-mult-of-nat-iff*:

**fixes**  $a :: 'a :: \text{real-div-algebra}$  **shows**  $a * \text{of-nat } n \in \mathbb{R}_{\leq 0} \longleftrightarrow a \in \mathbb{R}_{\leq 0} \vee n=0$

*<proof>*

**lemma** *nonpos-Reals-inverse-I*:

**fixes**  $a :: 'a :: \text{real-div-algebra}$

**shows**  $a \in \mathbb{R}_{\leq 0} \implies \text{inverse } a \in \mathbb{R}_{\leq 0}$

*<proof>*

**lemma** *nonpos-Reals-divide-I1*:

**fixes**  $a :: 'a :: \text{real-div-algebra}$

**shows**  $\llbracket a \in \mathbb{R}_{\geq 0}; b \in \mathbb{R}_{\leq 0} \rrbracket \implies a / b \in \mathbb{R}_{\leq 0}$

*<proof>*

**lemma** *nonpos-Reals-divide-I2*:

**fixes**  $a :: 'a :: \text{real-div-algebra}$

**shows**  $\llbracket a \in \mathbb{R}_{\leq 0}; b \in \mathbb{R}_{\geq 0} \rrbracket \implies a / b \in \mathbb{R}_{\leq 0}$

*<proof>*

**lemma** *nonpos-Reals-divide-of-nat-iff*:

**fixes**  $a :: 'a :: \text{real-div-algebra}$  **shows**  $a / \text{of-nat } n \in \mathbb{R}_{\leq 0} \longleftrightarrow a \in \mathbb{R}_{\leq 0} \vee n=0$

*<proof>*

**lemma** *nonpos-Reals-pow-I*:  $\llbracket a \in \mathbb{R}_{\leq 0}; \text{odd } n \rrbracket \implies a^n \in \mathbb{R}_{\leq 0}$

*<proof>*

**lemma** *complex-nonpos-Reals-iff*:  $z \in \mathbb{R}_{\leq 0} \longleftrightarrow \text{Re } z \leq 0 \wedge \text{Im } z = 0$

*<proof>*

**lemma** *ii-not-nonpos-Reals [iff]*:  $i \notin \mathbb{R}_{\leq 0}$

*<proof>*

end

## 62 A generic phantom type

**theory** *Phantom-Type*

**imports** *Main*

**begin**

**datatype** ( $'a, 'b$ ) *phantom* = *phantom* (*of-phantom*:  $'b$ )

**lemma** *type-definition-phantom'*: *type-definition of-phantom phantom UNIV*

*<proof>*

**lemma** *phantom-comp-of-phantom [simp]*: *phantom*  $\circ$  *of-phantom* = *id*

**and** *of-phantom-comp-phantom [simp]*: *of-phantom*  $\circ$  *phantom* = *id*



⟨proof⟩

**syntax** *-Phantom* :: type ⇒ logic ((1Phantom/(1'(-'))))

**translations**

*Phantom*('t) ⇒ CONST *phantom* :: - ⇒ ('t, -) *phantom*

⟨ML⟩

**lemma** *of-phantom-inject* [simp]:

*of-phantom* x = *of-phantom* y ⇔ x = y

⟨proof⟩

**end**

## 63 Cardinality of types

**theory** *Cardinality*

**imports** *Phantom-Type*

**begin**

### 63.1 Preliminary lemmas

**lemma** (in *type-definition*) *univ*:

UNIV = Abs 'A

⟨proof⟩

**lemma** (in *type-definition*) *card*: card (UNIV :: 'b set) = card A

⟨proof⟩

**lemma** *finite-range-Some*: finite (range (Some :: 'a ⇒ 'a option)) = finite (UNIV :: 'a set)

⟨proof⟩

**lemma** *infinite-literal*: ¬ finite (UNIV :: String.literal set)

⟨proof⟩

### 63.2 Cardinalities of types

**syntax** *-type-card* :: type ⇒ nat ((1CARD/(1'(-'))))

**translations** *CARD*('t) ⇒ CONST *card* (CONST UNIV :: 't set)

⟨ML⟩

**lemma** *card-prod* [simp]: *CARD*('a × 'b) = *CARD*('a) \* *CARD*('b)

⟨proof⟩

**lemma** *card-UNIV-sum*: *CARD*('a + 'b) = (if *CARD*('a) ≠ 0 ∧ *CARD*('b) ≠ 0 then *CARD*('a) + *CARD*('b) else 0)

*<proof>*

**lemma** *card-sum* [*simp*]:  $CARD('a + 'b) = CARD('a::finite) + CARD('b::finite)$   
*<proof>*

**lemma** *card-UNIV-option*:  $CARD('a option) = (if\ CARD('a) = 0\ then\ 0\ else\ CARD('a) + 1)$   
*<proof>*

**lemma** *card-option* [*simp*]:  $CARD('a option) = Suc\ CARD('a::finite)$   
*<proof>*

**lemma** *card-UNIV-set*:  $CARD('a set) = (if\ CARD('a) = 0\ then\ 0\ else\ 2 \wedge CARD('a))$   
*<proof>*

**lemma** *card-set* [*simp*]:  $CARD('a set) = 2 \wedge CARD('a::finite)$   
*<proof>*

**lemma** *card-nat* [*simp*]:  $CARD(nat) = 0$   
*<proof>*

**lemma** *card-fun*:  $CARD('a \Rightarrow 'b) = (if\ CARD('a) \neq 0 \wedge CARD('b) \neq 0 \vee\ CARD('b) = 1\ then\ CARD('b) \wedge CARD('a)\ else\ 0)$   
*<proof>*

**corollary** *finite-UNIV-fun*:  
 $finite\ (UNIV :: ('a \Rightarrow 'b)\ set) \longleftrightarrow$   
 $finite\ (UNIV :: 'a\ set) \wedge finite\ (UNIV :: 'b\ set) \vee CARD('b) = 1$   
*(is ?lhs  $\longleftrightarrow$  ?rhs)*  
*<proof>*

**lemma** *card-literal*:  $CARD(String.literal) = 0$   
*<proof>*

### 63.3 Classes with at least 1 and 2

Class *finite* already captures ”at least 1”

**lemma** *zero-less-card-finite* [*simp*]:  $0 < CARD('a::finite)$   
*<proof>*

**lemma** *one-le-card-finite* [*simp*]:  $Suc\ 0 \leq CARD('a::finite)$   
*<proof>*

Class for cardinality ”at least 2”

**class** *card2* = *finite* +  
**assumes** *two-le-card*:  $2 \leq CARD('a)$

**lemma** *one-less-card*:  $Suc\ 0 < CARD('a::card2)$   
*<proof>*

**lemma** *one-less-int-card*:  $1 < \text{int } \text{CARD}('a::\text{card}2)$   
 ⟨*proof*⟩

### 63.4 A type class for deciding finiteness of types

**type-synonym** *'a finite-UNIV* = (*'a*, *bool*) *phantom*

**class** *finite-UNIV* =  
**fixes** *finite-UNIV* :: (*'a*, *bool*) *phantom*  
**assumes** *finite-UNIV*: *finite-UNIV* = *Phantom('a) (finite (UNIV :: 'a set))*

**lemma** *finite-UNIV-code* [*code-unfold*]:  
*finite (UNIV :: 'a :: finite-UNIV set)*  
 $\longleftrightarrow$  *of-phantom (finite-UNIV :: 'a finite-UNIV)*  
 ⟨*proof*⟩

### 63.5 A type class for computing the cardinality of types

**definition** *is-list-UNIV* :: *'a list*  $\Rightarrow$  *bool*  
**where** *is-list-UNIV xs* = (*let c = CARD('a) in if c = 0 then False else size (remdups xs) = c*)

**lemma** *is-list-UNIV-iff*: *is-list-UNIV xs*  $\longleftrightarrow$  *set xs = UNIV*  
 ⟨*proof*⟩

**type-synonym** *'a card-UNIV* = (*'a*, *nat*) *phantom*

**class** *card-UNIV* = *finite-UNIV* +  
**fixes** *card-UNIV* :: *'a card-UNIV*  
**assumes** *card-UNIV*: *card-UNIV* = *Phantom('a) CARD('a)*

### 63.6 Instantiations for *card-UNIV*

**instantiation** *nat* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(nat) False*  
**definition** *card-UNIV* = *Phantom(nat) 0*  
**instance** ⟨*proof*⟩  
**end**

**instantiation** *int* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(int) False*  
**definition** *card-UNIV* = *Phantom(int) 0*  
**instance** ⟨*proof*⟩  
**end**

**instantiation** *natural* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(natural) False*  
**definition** *card-UNIV* = *Phantom(natural) 0*  
**instance**

*<proof>*  
**end**

**instantiation** *integer* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(integer) False*  
**definition** *card-UNIV* = *Phantom(integer) 0*  
**instance**  
*<proof>*  
**end**

**instantiation** *list* :: (*type*) *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom('a list) False*  
**definition** *card-UNIV* = *Phantom('a list) 0*  
**instance** *<proof>*  
**end**

**instantiation** *unit* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(unit) True*  
**definition** *card-UNIV* = *Phantom(unit) 1*  
**instance** *<proof>*  
**end**

**instantiation** *bool* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(bool) True*  
**definition** *card-UNIV* = *Phantom(bool) 2*  
**instance** *<proof>*  
**end**

**instantiation** *char* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(char) True*  
**definition** *card-UNIV* = *Phantom(char) 256*  
**instance** *<proof>*  
**end**

**instantiation** *prod* :: (*finite-UNIV*, *finite-UNIV*) *finite-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom('a × 'b*  
*(of-phantom (finite-UNIV :: 'a finite-UNIV) ∧ of-phantom (finite-UNIV :: 'b*  
*finite-UNIV))*  
**instance** *<proof>*  
**end**

**instantiation** *prod* :: (*card-UNIV*, *card-UNIV*) *card-UNIV* **begin**  
**definition** *card-UNIV* = *Phantom('a × 'b*  
*(of-phantom (card-UNIV :: 'a card-UNIV) \* of-phantom (card-UNIV :: 'b card-UNIV))*  
**instance** *<proof>*  
**end**

**instantiation** *sum* :: (*finite-UNIV*, *finite-UNIV*) *finite-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom('a + 'b*

(*of-phantom* (*finite-UNIV* :: 'a *finite-UNIV*)  $\wedge$  *of-phantom* (*finite-UNIV* :: 'b *finite-UNIV*))

**instance**

*<proof>*

**end**

**instantiation** *sum* :: (*card-UNIV*, *card-UNIV*) *card-UNIV* **begin**

**definition** *card-UNIV* = *Phantom*('a + 'b)

(*let* *ca* = *of-phantom* (*card-UNIV* :: 'a *card-UNIV*);

*cb* = *of-phantom* (*card-UNIV* :: 'b *card-UNIV*)

*in if* *ca*  $\neq$  0  $\wedge$  *cb*  $\neq$  0 *then* *ca* + *cb* *else* 0)

**instance** *<proof>*

**end**

**instantiation** *fun* :: (*finite-UNIV*, *card-UNIV*) *finite-UNIV* **begin**

**definition** *finite-UNIV* = *Phantom*('a  $\Rightarrow$  'b)

(*let* *cb* = *of-phantom* (*card-UNIV* :: 'b *card-UNIV*)

*in* *cb* = 1  $\vee$  *of-phantom* (*finite-UNIV* :: 'a *finite-UNIV*)  $\wedge$  *cb*  $\neq$  0)

**instance**

*<proof>*

**end**

**instantiation** *fun* :: (*card-UNIV*, *card-UNIV*) *card-UNIV* **begin**

**definition** *card-UNIV* = *Phantom*('a  $\Rightarrow$  'b)

(*let* *ca* = *of-phantom* (*card-UNIV* :: 'a *card-UNIV*);

*cb* = *of-phantom* (*card-UNIV* :: 'b *card-UNIV*)

*in if* *ca*  $\neq$  0  $\wedge$  *cb*  $\neq$  0  $\vee$  *cb* = 1 *then* *cb*  $\wedge$  *ca* *else* 0)

**instance** *<proof>*

**end**

**instantiation** *option* :: (*finite-UNIV*) *finite-UNIV* **begin**

**definition** *finite-UNIV* = *Phantom*('a *option*) (*of-phantom* (*finite-UNIV* :: 'a *finite-UNIV*))

**instance** *<proof>*

**end**

**instantiation** *option* :: (*card-UNIV*) *card-UNIV* **begin**

**definition** *card-UNIV* = *Phantom*('a *option*)

(*let* *c* = *of-phantom* (*card-UNIV* :: 'a *card-UNIV*) *in if* *c*  $\neq$  0 *then* *Suc* *c* *else* 0)

**instance** *<proof>*

**end**

**instantiation** *String.literal* :: *card-UNIV* **begin**

**definition** *finite-UNIV* = *Phantom*(*String.literal*) *False*

**definition** *card-UNIV* = *Phantom*(*String.literal*) 0

**instance**

*<proof>*

**end**

**instantiation** *set* :: (*finite-UNIV*) *finite-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom('a set)* (*of-phantom (finite-UNIV :: 'a finite-UNIV)*)  
**instance** *<proof>*  
**end**

**instantiation** *set* :: (*card-UNIV*) *card-UNIV* **begin**  
**definition** *card-UNIV* = *Phantom('a set)*  
*(let c = of-phantom (card-UNIV :: 'a card-UNIV) in if c = 0 then 0 else 2 ^ c)*  
**instance** *<proof>*  
**end**

**lemma** *UNIV-finite-1: UNIV = set [finite-1.a<sub>1</sub>]*  
*<proof>*

**lemma** *UNIV-finite-2: UNIV = set [finite-2.a<sub>1</sub>, finite-2.a<sub>2</sub>]*  
*<proof>*

**lemma** *UNIV-finite-3: UNIV = set [finite-3.a<sub>1</sub>, finite-3.a<sub>2</sub>, finite-3.a<sub>3</sub>]*  
*<proof>*

**lemma** *UNIV-finite-4: UNIV = set [finite-4.a<sub>1</sub>, finite-4.a<sub>2</sub>, finite-4.a<sub>3</sub>, finite-4.a<sub>4</sub>]*  
*<proof>*

**lemma** *UNIV-finite-5:*  
*UNIV = set [finite-5.a<sub>1</sub>, finite-5.a<sub>2</sub>, finite-5.a<sub>3</sub>, finite-5.a<sub>4</sub>, finite-5.a<sub>5</sub>]*  
*<proof>*

**instantiation** *Enum.finite-1* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(Enum.finite-1)* *True*  
**definition** *card-UNIV* = *Phantom(Enum.finite-1)* *1*  
**instance**  
*<proof>*  
**end**

**instantiation** *Enum.finite-2* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(Enum.finite-2)* *True*  
**definition** *card-UNIV* = *Phantom(Enum.finite-2)* *2*  
**instance**  
*<proof>*  
**end**

**instantiation** *Enum.finite-3* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(Enum.finite-3)* *True*  
**definition** *card-UNIV* = *Phantom(Enum.finite-3)* *3*  
**instance**  
*<proof>*  
**end**

**instantiation** *Enum.finite-4* :: *card-UNIV* **begin**

**definition** *finite-UNIV* = *Phantom(Enum.finite-4) True*

**definition** *card-UNIV* = *Phantom(Enum.finite-4) 4*

**instance**

*<proof>*

**end**

**instantiation** *Enum.finite-5 :: card-UNIV begin*

**definition** *finite-UNIV* = *Phantom(Enum.finite-5) True*

**definition** *card-UNIV* = *Phantom(Enum.finite-5) 5*

**instance**

*<proof>*

**end**

### 63.7 Code setup for sets

Implement *CARD('a)* via *card-UNIV-class.card-UNIV* and provide implementations for *finite*, *card*, *op*  $\subseteq$ , and *op*  $=$  if the calling context already provides *finite-UNIV* and *card-UNIV* instances. If we implemented the latter always via *card-UNIV-class.card-UNIV*, we would require instances of essentially all element types, i.e., a lot of instantiation proofs and – at run time – possibly slow dictionary constructions.

**context**

**begin**

**qualified definition** *card-UNIV' :: 'a card-UNIV*

**where** [*code del*]: *card-UNIV' = Phantom('a) CARD('a)*

**lemma** *CARD-code* [*code-unfold*]:

*CARD('a) = of-phantom (card-UNIV' :: 'a card-UNIV)*

*<proof>*

**lemma** *card-UNIV'-code* [*code*]:

*card-UNIV' = card-UNIV*

*<proof>*

**end**

**lemma** *card-Compl*:

*finite A  $\implies$  card (- A) = card (UNIV :: 'a set) - card (A :: 'a set)*

*<proof>*

**context fixes** *xs :: 'a :: finite-UNIV list*

**begin**

**qualified definition** *finite' :: 'a set  $\implies$  bool*

**where** [*simp, code del, code-abbrev*]: *finite' = finite*

**lemma** *finite'-code* [*code*]:

```

    finite' (set xs)  $\longleftrightarrow$  True
    finite' (List.coset xs)  $\longleftrightarrow$  of-phantom (finite-UNIV :: 'a finite-UNIV)
  <proof>

```

**end**

```

context fixes xs :: 'a :: card-UNIV list
begin

```

```

qualified definition card' :: 'a set  $\Rightarrow$  nat
where [simp, code del, code-abbrev]: card' = card

```

```

lemma card'-code [code]:
  card' (set xs) = length (remdups xs)
  card' (List.coset xs) = of-phantom (card-UNIV :: 'a card-UNIV) - length (remdups
  xs)
  <proof> definition subset' :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool
where [simp, code del, code-abbrev]: subset' = op  $\subseteq$ 

```

```

lemma subset'-code [code]:
  subset' A (List.coset ys)  $\longleftrightarrow$  ( $\forall y \in$  set ys.  $y \notin$  A)
  subset' (set ys) B  $\longleftrightarrow$  ( $\forall y \in$  set ys.  $y \in$  B)
  subset' (List.coset xs) (set ys)  $\longleftrightarrow$  (let n = CARD('a) in  $n > 0 \wedge$  card(set (xs
  @ ys)) = n)
  <proof> definition eq-set :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool
where [simp, code del, code-abbrev]: eq-set = op =

```

```

lemma eq-set-code [code]:
  fixes ys
  defines rhs  $\equiv$ 
    let n = CARD('a)
    in if n = 0 then False else
      let xs' = remdups xs; ys' = remdups ys
      in length xs' + length ys' = n  $\wedge$  ( $\forall x \in$  set xs'.  $x \notin$  set ys')  $\wedge$  ( $\forall y \in$  set ys'.
  y  $\notin$  set xs')
  shows eq-set (List.coset xs) (set ys)  $\longleftrightarrow$  rhs
  and eq-set (set ys) (List.coset xs)  $\longleftrightarrow$  rhs
  and eq-set (set xs) (set ys)  $\longleftrightarrow$  ( $\forall x \in$  set xs.  $x \in$  set ys)  $\wedge$  ( $\forall y \in$  set ys.  $y \in$ 
  set xs)
  and eq-set (List.coset xs) (List.coset ys)  $\longleftrightarrow$  ( $\forall x \in$  set xs.  $x \in$  set ys)  $\wedge$  ( $\forall y \in$ 
  set ys.  $y \in$  set xs)
  <proof>

```

**end**

Provide more informative exceptions than Match for non-rewritten cases. If generated code raises one these exceptions, then a code equation calls the mentioned operator for an element type that is not an instance of *card-UNIV* and is therefore not implemented via *card-UNIV-class.card-UNIV*. Con-



strain the element type with sort *card-UNIV* to change this.

```

lemma card-coset-error [code]:
  card (List.coset xs) =
    Code.abort (STR "card (List.coset -) requires type class instance card-UNIV")
      ( $\lambda$ -. card (List.coset xs))
  <proof>

lemma coset-subseteq-set-code [code]:
  List.coset xs  $\subseteq$  set ys  $\longleftrightarrow$ 
  (if xs = []  $\wedge$  ys = [] then False
   else Code.abort
    (STR "subset-eq (List.coset -) (List.set -) requires type class instance card-UNIV")
    ( $\lambda$ -. List.coset xs  $\subseteq$  set ys))
  <proof>

notepad begin — test code setup
  <proof>
end

end

```

## 64 Numeral Syntax for Types

```

theory Numeral-Type
imports Cardinality
begin

```

### 64.1 Numeral Types

```

typedef num0 = UNIV :: nat set <proof>
typedef num1 = UNIV :: unit set <proof>

typedef 'a bit0 = {0 ..< 2 * int CARD('a::finite)}
  <proof>

typedef 'a bit1 = {0 ..< 1 + 2 * int CARD('a::finite)}
  <proof>

lemma card-num0 [simp]: CARD (num0) = 0
  <proof>

lemma infinite-num0:  $\neg$  finite (UNIV :: num0 set)
  <proof>

lemma card-num1 [simp]: CARD(num1) = 1
  <proof>

lemma card-bit0 [simp]: CARD('a bit0) = 2 * CARD('a::finite)
  <proof>

```

**lemma** *card-bit1* [*simp*]:  $CARD('a \text{ bit1}) = Suc (2 * CARD('a::finite))$   
 ⟨*proof*⟩

**instance** *num1* :: *finite*  
 ⟨*proof*⟩

**instance** *bit0* :: (*finite*) *card2*  
 ⟨*proof*⟩

**instance** *bit1* :: (*finite*) *card2*  
 ⟨*proof*⟩

## 64.2 Locales for modular arithmetic subtypes

**locale** *mod-type* =  
**fixes** *n* :: *int*  
**and** *Rep* :: '*a*::{*zero,one,plus,times,uminus,minus*} ⇒ *int*  
**and** *Abs* :: *int* ⇒ '*a*::{*zero,one,plus,times,uminus,minus*}  
**assumes** *type*: *type-definition Rep Abs* {*0..<n*}  
**and** *size1*:  $1 < n$   
**and** *zero-def*:  $0 = Abs\ 0$   
**and** *one-def*:  $1 = Abs\ 1$   
**and** *add-def*:  $x + y = Abs ((Rep\ x + Rep\ y) \bmod\ n)$   
**and** *mult-def*:  $x * y = Abs ((Rep\ x * Rep\ y) \bmod\ n)$   
**and** *diff-def*:  $x - y = Abs ((Rep\ x - Rep\ y) \bmod\ n)$   
**and** *minus-def*:  $-x = Abs ((- Rep\ x) \bmod\ n)$   
**begin**

**lemma** *size0*:  $0 < n$   
 ⟨*proof*⟩

**lemmas** *definitions* =  
*zero-def one-def add-def mult-def minus-def diff-def*

**lemma** *Rep-less-n*:  $Rep\ x < n$   
 ⟨*proof*⟩

**lemma** *Rep-le-n*:  $Rep\ x \leq n$   
 ⟨*proof*⟩

**lemma** *Rep-inject-sym*:  $x = y \iff Rep\ x = Rep\ y$   
 ⟨*proof*⟩

**lemma** *Rep-inverse*:  $Abs (Rep\ x) = x$   
 ⟨*proof*⟩

**lemma** *Abs-inverse*:  $m \in \{0..<n\} \implies Rep (Abs\ m) = m$   
 ⟨*proof*⟩

**lemma** *Rep-Abs-mod*:  $\text{Rep } (\text{Abs } (m \text{ mod } n)) = m \text{ mod } n$   
 ⟨proof⟩

**lemma** *Rep-Abs-0*:  $\text{Rep } (\text{Abs } 0) = 0$   
 ⟨proof⟩

**lemma** *Rep-0*:  $\text{Rep } 0 = 0$   
 ⟨proof⟩

**lemma** *Rep-Abs-1*:  $\text{Rep } (\text{Abs } 1) = 1$   
 ⟨proof⟩

**lemma** *Rep-1*:  $\text{Rep } 1 = 1$   
 ⟨proof⟩

**lemma** *Rep-mod*:  $\text{Rep } x \text{ mod } n = \text{Rep } x$   
 ⟨proof⟩

**lemmas** *Rep-simps* =  
*Rep-inject-sym Rep-inverse Rep-Abs-mod Rep-mod Rep-Abs-0 Rep-Abs-1*

**lemma** *comm-ring-1*: *OFCLASS('a, comm-ring-1-class)*  
 ⟨proof⟩

**end**

**locale** *mod-ring* = *mod-type n Rep Abs*  
**for**  $n :: \text{int}$   
**and**  $\text{Rep} :: 'a :: \{\text{comm-ring-1}\} \Rightarrow \text{int}$   
**and**  $\text{Abs} :: \text{int} \Rightarrow 'a :: \{\text{comm-ring-1}\}$   
**begin**

**lemma** *of-nat-eq*:  $\text{of-nat } k = \text{Abs } (\text{int } k \text{ mod } n)$   
 ⟨proof⟩

**lemma** *of-int-eq*:  $\text{of-int } z = \text{Abs } (z \text{ mod } n)$   
 ⟨proof⟩

**lemma** *Rep-numeral*:  
 $\text{Rep } (\text{numeral } w) = \text{numeral } w \text{ mod } n$   
 ⟨proof⟩

**lemma** *iszero-numeral*:  
 $\text{iszero } (\text{numeral } w :: 'a) \longleftrightarrow \text{numeral } w \text{ mod } n = 0$   
 ⟨proof⟩

**lemma** *cases*:  
**assumes**  $1: \bigwedge z. \llbracket (x :: 'a) = \text{of-int } z; 0 \leq z; z < n \rrbracket \Longrightarrow P$

**shows**  $P$   
 $\langle proof \rangle$

**lemma** *induct*:

$(\bigwedge z. [0 \leq z; z < n] \implies P (of-int z)) \implies P (x::'a)$   
 $\langle proof \rangle$

**end**

### 64.3 Ring class instances

Unfortunately *ring-1* instance is not possible for *num1*, since 0 and 1 are not distinct.

**instantiation** *num1* :: {*comm-ring, comm-monoid-mult, numeral*}  
**begin**

**lemma** *num1-eq-iff*:  $(x::num1) = (y::num1) \longleftrightarrow True$   
 $\langle proof \rangle$

**instance**  
 $\langle proof \rangle$

**end**

**instantiation**

*bit0* and *bit1* :: (*finite*) {*zero, one, plus, times, uminus, minus*}  
**begin**

**definition** *Abs-bit0'* ::  $int \Rightarrow 'a \text{ bit0}$  **where**  
 $Abs-bit0' x = Abs-bit0 (x \bmod int \text{ CARD}('a \text{ bit0}))$

**definition** *Abs-bit1'* ::  $int \Rightarrow 'a \text{ bit1}$  **where**  
 $Abs-bit1' x = Abs-bit1 (x \bmod int \text{ CARD}('a \text{ bit1}))$

**definition**  $0 = Abs-bit0 0$

**definition**  $1 = Abs-bit0 1$

**definition**  $x + y = Abs-bit0' (Rep-bit0 x + Rep-bit0 y)$

**definition**  $x * y = Abs-bit0' (Rep-bit0 x * Rep-bit0 y)$

**definition**  $x - y = Abs-bit0' (Rep-bit0 x - Rep-bit0 y)$

**definition**  $- x = Abs-bit0' (- Rep-bit0 x)$

**definition**  $0 = Abs-bit1 0$

**definition**  $1 = Abs-bit1 1$

**definition**  $x + y = Abs-bit1' (Rep-bit1 x + Rep-bit1 y)$

**definition**  $x * y = Abs-bit1' (Rep-bit1 x * Rep-bit1 y)$

**definition**  $x - y = Abs-bit1' (Rep-bit1 x - Rep-bit1 y)$

**definition**  $- x = Abs-bit1' (- Rep-bit1 x)$

**instance**  $\langle proof \rangle$

**end**

**interpretation** *bit0*:

*mod-type int CARD('a::finite bit0)*  
*Rep-bit0 :: 'a::finite bit0 ⇒ int*  
*Abs-bit0 :: int ⇒ 'a::finite bit0*

*<proof>*

**interpretation** *bit1*:

*mod-type int CARD('a::finite bit1)*  
*Rep-bit1 :: 'a::finite bit1 ⇒ int*  
*Abs-bit1 :: int ⇒ 'a::finite bit1*

*<proof>*

**instance** *bit0* :: (*finite*) *comm-ring-1*

*<proof>*

**instance** *bit1* :: (*finite*) *comm-ring-1*

*<proof>*

**interpretation** *bit0*:

*mod-ring int CARD('a::finite bit0)*  
*Rep-bit0 :: 'a::finite bit0 ⇒ int*  
*Abs-bit0 :: int ⇒ 'a::finite bit0*

*<proof>*

**interpretation** *bit1*:

*mod-ring int CARD('a::finite bit1)*  
*Rep-bit1 :: 'a::finite bit1 ⇒ int*  
*Abs-bit1 :: int ⇒ 'a::finite bit1*

*<proof>*

Set up cases, induction, and arithmetic

**lemmas** *bit0-cases* [*case-names of-int*, *cases type: bit0*] = *bit0.cases*

**lemmas** *bit1-cases* [*case-names of-int*, *cases type: bit1*] = *bit1.cases*

**lemmas** *bit0-induct* [*case-names of-int*, *induct type: bit0*] = *bit0.induct*

**lemmas** *bit1-induct* [*case-names of-int*, *induct type: bit1*] = *bit1.induct*

**lemmas** *bit0-iszero-numeral* [*simp*] = *bit0.iszero-numeral*

**lemmas** *bit1-iszero-numeral* [*simp*] = *bit1.iszero-numeral*

**lemmas** [*simp*] = *eq-numeral-iff-iszero* [**where** *'a='a bit0*] **for** *dummy :: 'a::finite*

**lemmas** [*simp*] = *eq-numeral-iff-iszero* [**where** *'a='a bit1*] **for** *dummy :: 'a::finite*

## 64.4 Order instances

**instantiation** *bit0* and *bit1* :: (*finite*) *linorder* **begin**

**definition**  $a < b \longleftrightarrow \text{Rep-bit0 } a < \text{Rep-bit0 } b$

**definition**  $a \leq b \iff \text{Rep-bit0 } a \leq \text{Rep-bit0 } b$

**definition**  $a < b \iff \text{Rep-bit1 } a < \text{Rep-bit1 } b$

**definition**  $a \leq b \iff \text{Rep-bit1 } a \leq \text{Rep-bit1 } b$

**instance**

*<proof>*

**end**

**lemma** (in *preorder*) *tranclp-less*:  $op <^{++} = op <$

*<proof>*

**instance** *bit0* and *bit1* :: (*finite*) *wellorder*

*<proof>*

## 64.5 Code setup and type classes for code generation

Code setup for *num0* and *num1*

**definition** *Num0* :: *num0* where *Num0* = *Abs-num0 0*

**code-datatype** *Num0*

**instantiation** *num0* :: *equal* **begin**

**definition** *equal-num0* :: *num0*  $\Rightarrow$  *num0*  $\Rightarrow$  *bool*

where *equal-num0* = *op* =

**instance** *<proof>*

**end**

**lemma** *equal-num0-code* [*code*]:

*equal-class.equal Num0 Num0* = *True*

*<proof>*

**code-datatype** *1* :: *num1*

**instantiation** *num1* :: *equal* **begin**

**definition** *equal-num1* :: *num1*  $\Rightarrow$  *num1*  $\Rightarrow$  *bool*

where *equal-num1* = *op* =

**instance** *<proof>*

**end**

**lemma** *equal-num1-code* [*code*]:

*equal-class.equal (1 :: num1) 1* = *True*

*<proof>*

**instantiation** *num1* :: *enum* **begin**

**definition** *enum-class.enum* = [*1 :: num1*]

**definition** *enum-class.enum-all* *P* = *P (1 :: num1)*

**definition** *enum-class.enum-ex* *P* = *P (1 :: num1)*

**instance**

*<proof>*

**end**

```

instantiation num0 and num1 :: card-UNIV begin
definition finite-UNIV = Phantom(num0) False
definition card-UNIV = Phantom(num0) 0
definition finite-UNIV = Phantom(num1) True
definition card-UNIV = Phantom(num1) 1
instance
  ⟨proof⟩
end

  Code setup for 'a bit0 and 'a bit1

declare
  bit0.Rep-inverse[code abstype]
  bit0.Rep-0[code abstract]
  bit0.Rep-1[code abstract]

lemma Abs-bit0'-code [code abstract]:
  Rep-bit0 (Abs-bit0' x :: 'a :: finite bit0) = x mod int (CARD('a bit0))
  ⟨proof⟩

lemma inj-on-Abs-bit0:
  inj-on (Abs-bit0 :: int ⇒ 'a bit0) {0..<2 * int CARD('a :: finite)}
  ⟨proof⟩

declare
  bit1.Rep-inverse[code abstype]
  bit1.Rep-0[code abstract]
  bit1.Rep-1[code abstract]

lemma Abs-bit1'-code [code abstract]:
  Rep-bit1 (Abs-bit1' x :: 'a :: finite bit1) = x mod int (CARD('a bit1))
  ⟨proof⟩

lemma inj-on-Abs-bit1:
  inj-on (Abs-bit1 :: int ⇒ 'a bit1) {0..<1 + 2 * int CARD('a :: finite)}
  ⟨proof⟩

instantiation bit0 and bit1 :: (finite) equal begin

definition equal-class.equal x y ⇔ Rep-bit0 x = Rep-bit0 y
definition equal-class.equal x y ⇔ Rep-bit1 x = Rep-bit1 y

instance
  ⟨proof⟩

end

instantiation bit0 :: (finite) enum begin
definition (enum-class.enum :: 'a bit0 list) = map (Abs-bit0' ◦ int) (upt 0 (CARD('a

```

*bit0*)))

**definition** *enum-class.enum-all*  $P = (\forall b :: 'a \text{ bit0} \in \text{set } \text{enum-class.enum}. P \ b)$

**definition** *enum-class.enum-ex*  $P = (\exists b :: 'a \text{ bit0} \in \text{set } \text{enum-class.enum}. P \ b)$

**instance**

*<proof>*

**end**

**instantiation** *bit1* :: (*finite*) *enum* **begin**

**definition** (*enum-class.enum* :: 'a *bit1* *list*) = *map* (*Abs-bit1* ' *o int*) (*upt* 0 (*CARD*('a *bit1*)))

**definition** *enum-class.enum-all*  $P = (\forall b :: 'a \text{ bit1} \in \text{set } \text{enum-class.enum}. P \ b)$

**definition** *enum-class.enum-ex*  $P = (\exists b :: 'a \text{ bit1} \in \text{set } \text{enum-class.enum}. P \ b)$

**instance**

*<proof>*

**end**

**instantiation** *bit0* **and** *bit1* :: (*finite*) *finite-UNIV* **begin**

**definition** *finite-UNIV* = *Phantom*('a *bit0*) *True*

**definition** *finite-UNIV* = *Phantom*('a *bit1*) *True*

**instance** *<proof>*

**end**

**instantiation** *bit0* **and** *bit1* :: (*{finite,card-UNIV}*) *card-UNIV* **begin**

**definition** *card-UNIV* = *Phantom*('a *bit0*) (*2 \* of-phantom* (*card-UNIV* :: 'a *card-UNIV*))

**definition** *card-UNIV* = *Phantom*('a *bit1*) (*1 + 2 \* of-phantom* (*card-UNIV* :: 'a *card-UNIV*))

**instance** *<proof>*

**end**

## 64.6 Syntax

**syntax**

-*NumeralType* :: *num-token* => *type* (-)

-*NumeralType0* :: *type* (0)

-*NumeralType1* :: *type* (1)

**translations**

(*type*) 1 == (*type*) *num1*

(*type*) 0 == (*type*) *num0*

*<ML>*

## 64.7 Examples

**lemma** *CARD*(0) = 0 *<proof>*



**lemma**  $CARD(17) = 17$  *<proof>*  
**lemma**  $8 * 11 \wedge 3 - 6 = (2::5)$  *<proof>*

**end**

## 65 $\omega$ -words

**theory** *Omega-Words-Fun*

**imports** *Infinite-Set*

**begin**

Note: This theory is based on Stefan Merz’s work.

Automata recognize languages, which are sets of words. For the theory of  $\omega$ -automata, we are mostly interested in  $\omega$ -words, but it is sometimes useful to reason about finite words, too. We are modeling finite words as lists; this lets us benefit from the existing library. Other formalizations could be investigated, such as representing words as functions whose domains are initial intervals of the natural numbers.

### 65.1 Type declaration and elementary operations

We represent  $\omega$ -words as functions from the natural numbers to the alphabet type. Other possible formalizations include a coinductive definition or a uniform encoding of finite and infinite words, as studied by Müller et al.

**type-synonym**

$'a \text{ word} = \text{nat} \Rightarrow 'a$

We can prefix a finite word to an  $\omega$ -word, and a way to obtain an  $\omega$ -word from a finite, non-empty word is by  $\omega$ -iteration.

**definition**

$\text{conc} :: ['a \text{ list}, 'a \text{ word}] \Rightarrow 'a \text{ word}$  (**infixr**  $\wedge$  65)  
**where**  $w \wedge x == \lambda n. \text{if } n < \text{length } w \text{ then } w!n \text{ else } x (n - \text{length } w)$

**definition**

$\text{iter} :: 'a \text{ list} \Rightarrow 'a \text{ word}$  ( $(-\omega)$  [1000])  
**where**  $\text{iter } w == \text{if } w = [] \text{ then undefined else } (\lambda n. w!(n \bmod (\text{length } w)))$

**lemma** *conc-empty[simp]*:  $[] \wedge w = w$

*<proof>*

**lemma** *conc-fst[simp]*:  $n < \text{length } w \Longrightarrow (w \wedge x) n = w!n$

*<proof>*

**lemma** *conc-snd[simp]*:  $\neg(n < \text{length } w) \Longrightarrow (w \wedge x) n = x (n - \text{length } w)$

*<proof>*

**lemma** *iter-nth* [simp]:  $0 < \text{length } w \implies w^\omega n = w!(n \bmod (\text{length } w))$   
 ⟨proof⟩

**lemma** *conc-conc*[simp]:  $u \frown v \frown w = (u @ v) \frown w$  (is ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *range-conc*[simp]:  $\text{range } (w_1 \frown w_2) = \text{set } w_1 \cup \text{range } w_2$   
 ⟨proof⟩

**lemma** *iter-unroll*:  $0 < \text{length } w \implies w^\omega = w \frown w^\omega$   
 ⟨proof⟩

## 65.2 Subsequence, Prefix, and Suffix

**definition** *suffix* ::  $[\text{nat}, 'a \text{ word}] \Rightarrow 'a \text{ word}$   
 where  $\text{suffix } k \ x \equiv \lambda n. \ x \ (k+n)$

**definition** *subsequence* ::  $'a \text{ word} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ list}$  (- [- → -] 900)  
 where  $\text{subsequence } w \ i \ j \equiv \text{map } w \ [i..<j]$

**abbreviation** *prefix* ::  $\text{nat} \Rightarrow 'a \text{ word} \Rightarrow 'a \text{ list}$   
 where  $\text{prefix } n \ w \equiv \text{subsequence } w \ 0 \ n$

**lemma** *suffix-nth* [simp]:  $(\text{suffix } k \ x) \ n = x \ (k+n)$   
 ⟨proof⟩

**lemma** *suffix-0* [simp]:  $\text{suffix } 0 \ x = x$   
 ⟨proof⟩

**lemma** *suffix-suffix* [simp]:  $\text{suffix } m \ (\text{suffix } k \ x) = \text{suffix } (k+m) \ x$   
 ⟨proof⟩

**lemma** *subsequence-append*:  $\text{prefix } (i + j) \ w = \text{prefix } i \ w @ (w \ [i \rightarrow i + j])$   
 ⟨proof⟩

**lemma** *subsequence-drop*[simp]:  $\text{drop } i \ (w \ [j \rightarrow k]) = w \ [j + i \rightarrow k]$   
 ⟨proof⟩

**lemma** *subsequence-empty*[simp]:  $w \ [i \rightarrow j] = [] \iff j \leq i$   
 ⟨proof⟩

**lemma** *subsequence-length*[simp]:  $\text{length } (\text{subsequence } w \ i \ j) = j - i$   
 ⟨proof⟩

**lemma** *subsequence-nth*[simp]:  $k < j - i \implies (w \ [i \rightarrow j]) ! k = w \ (i + k)$   
 ⟨proof⟩

**lemma** *subseq-to-zero*[simp]:  $w[i \rightarrow 0] = []$

*<proof>*

**lemma** *subseq-to-smaller*[simp]:  $i \geq j \implies w[i \rightarrow j] = []$   
*<proof>*

**lemma** *subseq-to-Suc*[simp]:  $i \leq j \implies w [i \rightarrow \text{Suc } j] = w [i \rightarrow j] @ [w j]$   
*<proof>*

**lemma** *subsequence-singleton*[simp]:  $w [i \rightarrow \text{Suc } i] = [w i]$   
*<proof>*

**lemma** *subsequence-prefix-suffix*:  $\text{prefix } (j - i) (\text{suffix } i w) = w [i \rightarrow j]$   
*<proof>*

**lemma** *prefix-suffix*:  $x = \text{prefix } n x \frown (\text{suffix } n x)$   
*<proof>*

**declare** *prefix-suffix*[symmetric, simp]

**lemma** *word-split*: **obtains**  $v_1 v_2$  **where**  $v = v_1 \frown v_2$  *length*  $v_1 = k$   
*<proof>*

**lemma** *set-subsequence*[simp]:  $\text{set } (w[i \rightarrow j]) = w'\{i..<j\}$   
*<proof>*

**lemma** *subsequence-take*[simp]:  $\text{take } i (w [j \rightarrow k]) = w [j \rightarrow \min (j + i) k]$   
*<proof>*

**lemma** *subsequence-shift*[simp]:  $(\text{suffix } i w) [j \rightarrow k] = w [i + j \rightarrow i + k]$   
*<proof>*

**lemma** *suffix-subseq-join*[simp]:  $i \leq j \implies v [i \rightarrow j] \frown \text{suffix } j v = \text{suffix } i v$   
*<proof>*

**lemma** *prefix-conc-fst*[simp]:  
**assumes**  $j \leq \text{length } w$   
**shows**  $\text{prefix } j (w \frown w') = \text{take } j w$   
*<proof>*

**lemma** *prefix-conc-snd*[simp]:  
**assumes**  $n \geq \text{length } u$   
**shows**  $\text{prefix } n (u \frown v) = u @ \text{prefix } (n - \text{length } u) v$   
*<proof>*

**lemma** *prefix-conc-length*[simp]:  $\text{prefix } (\text{length } w) (w \frown w') = w$   
*<proof>*

**lemma** *suffix-conc-fst*[simp]:  
**assumes**  $n \leq \text{length } u$   
**shows**  $\text{suffix } n (u \frown v) = \text{drop } n u \frown v$   
 ⟨proof⟩

**lemma** *suffix-conc-snd*[simp]:  
**assumes**  $n \geq \text{length } u$   
**shows**  $\text{suffix } n (u \frown v) = \text{suffix } (n - \text{length } u) v$   
 ⟨proof⟩

**lemma** *suffix-conc-length*[simp]:  $\text{suffix } (\text{length } w) (w \frown w') = w'$   
 ⟨proof⟩

**lemma** *concat-eq*[iff]:  
**assumes**  $\text{length } v_1 = \text{length } v_2$   
**shows**  $v_1 \frown u_1 = v_2 \frown u_2 \longleftrightarrow v_1 = v_2 \wedge u_1 = u_2$   
 (is ?lhs  $\longleftrightarrow$  ?rhs)  
 ⟨proof⟩

**lemma** *same-concat-eq*[iff]:  $u \frown v = u \frown w \longleftrightarrow v = w$   
 ⟨proof⟩

**lemma** *comp-concat*[simp]:  $f \circ u \frown v = \text{map } f u \frown (f \circ v)$   
 ⟨proof⟩

### 65.3 Prepending

**primrec** *build* :: 'a  $\Rightarrow$  'a word  $\Rightarrow$  'a word (infixr ## 65)  
**where** (a ## w) 0 = a | (a ## w) (Suc i) = w i

**lemma** *build-eq*[iff]:  $a_1 \## w_1 = a_2 \## w_2 \longleftrightarrow a_1 = a_2 \wedge w_1 = w_2$   
 ⟨proof⟩

**lemma** *build-cons*[simp]:  $(a \# u) \frown v = a \## u \frown v$   
 ⟨proof⟩

**lemma** *build-append*[simp]:  $(w @ a \# u) \frown v = w \frown a \## u \frown v$   
 ⟨proof⟩

**lemma** *build-first*[simp]:  $w 0 \## \text{suffix } (Suc 0) w = w$   
 ⟨proof⟩

**lemma** *build-split*[intro]:  $w = w 0 \## \text{suffix } 1 w$   
 ⟨proof⟩

**lemma** *build-range*[simp]:  $\text{range } (a \## w) = \text{insert } a (\text{range } w)$   
 ⟨proof⟩

**lemma** *suffix-singleton-suffix[simp]*:  $w \# \# \text{suffix } (Suc \ i) \ w = \text{suffix } i \ w$   
 ⟨proof⟩

Find the first occurrence of a letter from a given set

**lemma** *word-first-split-set*:  
**assumes**  $A \cap \text{range } w \neq \{\}$   
**obtains**  $u \ a \ v$  **where**  $w = u \frown [a] \frown v \ A \cap \text{set } u = \{\}$   $a \in A$   
 ⟨proof⟩

## 65.4 The limit set of an $\omega$ -word

The limit set (also called infinity set) of an  $\omega$ -word is the set of letters that appear infinitely often in the word. This set plays an important role in defining acceptance conditions of  $\omega$ -automata.

**definition** *limit* :: ‘a word  $\Rightarrow$  ‘a set  
**where**  $\text{limit } x \equiv \{a . \exists_{\infty} n . x \ n = a\}$

**lemma** *limit-iff-frequent*:  $a \in \text{limit } x \longleftrightarrow (\exists_{\infty} n . x \ n = a)$   
 ⟨proof⟩

The following is a different way to define the limit, using the reverse image, making the laws about reverse image applicable to the limit set. (Might want to change the definition above?)

**lemma** *limit-vimage*:  $(a \in \text{limit } x) = \text{infinite } (x \text{ - ‘ } \{a\})$   
 ⟨proof⟩

**lemma** *two-in-limit-iff*:  
 $(\{a, b\} \subseteq \text{limit } x) =$   
 $((\exists n . x \ n = a) \wedge (\forall n . x \ n = a \longrightarrow (\exists m > n . x \ m = b)) \wedge (\forall m . x \ m = b \longrightarrow$   
 $(\exists n > m . x \ n = a)))$   
**(is ?lhs = (?r1  $\wedge$  ?r2  $\wedge$  ?r3))**  
 ⟨proof⟩

For  $\omega$ -words over a finite alphabet, the limit set is non-empty. Moreover, from some position onward, any such word contains only letters from its limit set.

**lemma** *limit-nonempty*:  
**assumes** *fin*:  $\text{finite } (\text{range } x)$   
**shows**  $\exists a . a \in \text{limit } x$   
 ⟨proof⟩

**lemmas** *limit-nonemptyE* = *limit-nonempty[THEN exE]*

**lemma** *limit-inter-INF*:  
**assumes** *hyp*:  $\text{limit } w \cap S \neq \{\}$   
**shows**  $\exists_{\infty} n . w \ n \in S$   
 ⟨proof⟩

The reverse implication is true only if  $S$  is finite.

**lemma** *INF-limit-inter*:

**assumes** *hyp*:  $\exists_{\infty} n. w n \in S$

**and** *fin*: *finite* ( $S \cap \text{range } w$ )

**shows**  $\exists a. a \in \text{limit } w \cap S$

*<proof>*

**lemma** *fin-ex-inf-eq-limit*: *finite*  $A \implies (\exists_{\infty} i. w i \in A) \longleftrightarrow \text{limit } w \cap A \neq \{\}$

*<proof>*

**lemma** *limit-in-range-suffix*: *limit*  $x \subseteq \text{range } (\text{suffix } k x)$

*<proof>*

**lemma** *limit-in-range*: *limit*  $r \subseteq \text{range } r$

*<proof>*

**lemmas** *limit-in-range-suffixD* = *limit-in-range-suffix*[*THEN subsetD*]

**lemma** *limit-subset*: *limit*  $f \subseteq f' \{n..\}$

*<proof>*

**theorem** *limit-is-suffix*:

**assumes** *fin*: *finite* (*range*  $x$ )

**shows**  $\exists k. \text{limit } x = \text{range } (\text{suffix } k x)$

*<proof>*

**lemmas** *limit-is-suffixE* = *limit-is-suffix*[*THEN exE*]

The limit set enjoys some simple algebraic laws with respect to concatenation, suffixes, iteration, and renaming.

**theorem** *limit-conc* [*simp*]: *limit* ( $w \frown x$ ) = *limit*  $x$

*<proof>*

**theorem** *limit-suffix* [*simp*]: *limit* (*suffix*  $n x$ ) = *limit*  $x$

*<proof>*

**theorem** *limit-iter* [*simp*]:

**assumes** *nempty*:  $0 < \text{length } w$

**shows** *limit*  $w^{\omega}$  = *set*  $w$

*<proof>*

**lemma** *limit-o* [*simp*]:

**assumes** *a*:  $a \in \text{limit } w$

**shows**  $f a \in \text{limit } (f \circ w)$

*<proof>*

The converse relation is not true in general:  $f(a)$  can be in the limit of  $f \circ w$  even though  $a$  is not in the limit of  $w$ . However, *limit* commutes with renaming if the function is injective. More generally, if  $f(a)$  is the image of only finitely many elements, some of these must be in the limit of  $w$ .

**lemma** *limit-o-inv*:  
**assumes** *fin*: *finite* ( $f -' \{x\}$ )  
**and**  $x \in \text{limit } (f \circ w)$   
**shows**  $\exists a \in (f -' \{x\}). a \in \text{limit } w$   
 $\langle \text{proof} \rangle$

**theorem** *limit-inj [simp]*:  
**assumes** *inj*: *inj*  $f$   
**shows**  $\text{limit } (f \circ w) = f -' (\text{limit } w)$   
 $\langle \text{proof} \rangle$

**lemma** *limit-inter-empty*:  
**assumes** *fin*: *finite* (*range*  $w$ )  
**assumes** *hyp*:  $\text{limit } w \cap S = \{\}$   
**shows**  $\forall \infty n. w \ n \notin S$   
 $\langle \text{proof} \rangle$

If the limit is the suffix of the sequence’s range, we may increase the suffix index arbitrarily

**lemma** *limit-range-suffix-incr*:  
**assumes**  $\text{limit } r = \text{range } (\text{suffix } i \ r)$   
**assumes**  $j \geq i$   
**shows**  $\text{limit } r = \text{range } (\text{suffix } j \ r)$   
**(is ?lhs = ?rhs)**  
 $\langle \text{proof} \rangle$

For two finite sequences, we can find a common suffix index such that the limits can be represented as these suffixes’ ranges.

**lemma** *common-range-limit*:  
**assumes** *finite* (*range*  $x$ )  
**and** *finite* (*range*  $y$ )  
**obtains**  $i$  **where**  $\text{limit } x = \text{range } (\text{suffix } i \ x)$   
**and**  $\text{limit } y = \text{range } (\text{suffix } i \ y)$   
 $\langle \text{proof} \rangle$

## 65.5 Index sequences and piecewise definitions

A word can be defined piecewise: given a sequence of words  $w_0, w_1, \dots$  and a strictly increasing sequence of integers  $i_0, i_1, \dots$  where  $i_0 = 0$ , a single word is obtained by concatenating subwords of the  $w_n$  as given by the integers: the resulting word is

$$(w_0)_{i_0} \dots (w_0)_{i_1-1} (w_1)_{i_1} \dots (w_1)_{i_2-1} \dots$$

We prepare the field by proving some trivial facts about such sequences of indexes.

**definition** *idx-sequence* :: *nat word*  $\Rightarrow$  *bool*  
**where** *idx-sequence*  $idx \equiv (idx \ 0 = 0) \wedge (\forall n. idx \ n < idx \ (\text{Suc } n))$

**lemma** *idx-sequence-less*:  
**assumes** *iseq*: *idx-sequence idx*  
**shows**  $idx\ n < idx\ (Suc(n+k))$   
*<proof>*

**lemma** *idx-sequence-inj*:  
**assumes** *iseq*: *idx-sequence idx*  
**and** *eq*:  $idx\ m = idx\ n$   
**shows**  $m = n$   
*<proof>*

**lemma** *idx-sequence-mono*:  
**assumes** *iseq*: *idx-sequence idx*  
**and** *m*:  $m \leq n$   
**shows**  $idx\ m \leq idx\ n$   
*<proof>*

Given an index sequence, every natural number is contained in the interval defined by two adjacent indexes, and in fact this interval is determined uniquely.

**lemma** *idx-sequence-idx*:  
**assumes** *idx-sequence idx*  
**shows**  $idx\ k \in \{idx\ k ..< idx\ (Suc\ k)\}$   
*<proof>*

**lemma** *idx-sequence-interval*:  
**assumes** *iseq*: *idx-sequence idx*  
**shows**  $\exists k. n \in \{idx\ k ..< idx\ (Suc\ k)\}$   
**(is ?P n is  $\exists k. ?in\ n\ k$ )**  
*<proof>*

**lemma** *idx-sequence-interval-unique*:  
**assumes** *iseq*: *idx-sequence idx*  
**and** *k*:  $n \in \{idx\ k ..< idx\ (Suc\ k)\}$   
**and** *m*:  $n \in \{idx\ m ..< idx\ (Suc\ m)\}$   
**shows**  $k = m$   
*<proof>*

**lemma** *idx-sequence-unique-interval*:  
**assumes** *iseq*: *idx-sequence idx*  
**shows**  $\exists! k. n \in \{idx\ k ..< idx\ (Suc\ k)\}$   
*<proof>*

Now we can define the piecewise construction of a word using an index sequence.

**definition** *merge* :: 'a word word  $\Rightarrow$  nat word  $\Rightarrow$  'a word  
**where** *merge ws idx*  $\equiv \lambda n. let\ i = THE\ i. n \in \{idx\ i ..< idx\ (Suc\ i)\}$  in *ws i n*



**lemma** *merge*:

**assumes** *idx*: *idx-sequence idx*  
**and** *n*:  $n \in \{\text{idx } i \dots < \text{idx } (\text{Suc } i)\}$   
**shows** *merge ws idx n = ws i n*  
*<proof>*

**lemma** *merge0*:

**assumes** *idx*: *idx-sequence idx*  
**shows** *merge ws idx 0 = ws 0 0*  
*<proof>*

**lemma** *merge-Suc*:

**assumes** *idx*: *idx-sequence idx*  
**and** *n*:  $n \in \{\text{idx } i \dots < \text{idx } (\text{Suc } i)\}$   
**shows** *merge ws idx (Suc n) = (if Suc n = idx (Suc i) then ws (Suc i) else ws i) (Suc n)*  
*<proof>*

**end**

## 66 Combinator syntax for generic, open state monads (single-threaded monads)

**theory** *Open-State-Syntax*  
**imports** *Main*  
**begin**

### 66.1 Motivation

The logic HOL has no notion of constructor classes, so it is not possible to model monads the Haskell way in full genericity in Isabelle/HOL.

However, this theory provides substantial support for a very common class of monads: *state monads* (or *single-threaded monads*, since a state is transformed single-threadedly).

To enter from the Haskell world, [http://www.engr.mun.ca/~theo/Misc/haskell\\_and\\_monads.htm](http://www.engr.mun.ca/~theo/Misc/haskell_and_monads.htm) makes a good motivating start. Here we just sketch briefly how those monads enter the game of Isabelle/HOL.

### 66.2 State transformations and combinators

We classify functions operating on states into two categories:

**transformations** with type signature  $\sigma \Rightarrow \sigma'$ , transforming a state.

**“yielding” transformations** with type signature  $\sigma \Rightarrow \alpha \times \sigma'$ , “yielding” a side result while transforming a state.

**queries** with type signature  $\sigma \Rightarrow \alpha$ , computing a result dependent on a state.

By convention we write  $\sigma$  for types representing states and  $\alpha, \beta, \gamma, \dots$  for types representing side results. Type changes due to transformations are not excluded in our scenario.

We aim to assert that values of any state type  $\sigma$  are used in a single-threaded way: after application of a transformation on a value of type  $\sigma$ , the former value should not be used again. To achieve this, we use a set of monad combinators:

**notation** *fcomp* (**infixl**  $\circ >$  60)

**notation** *scomp* (**infixl**  $\circ \rightarrow$  60)

Given two transformations  $f$  and  $g$ , they may be directly composed using the *op*  $\circ >$  combinator, forming a forward composition:  $(f \circ > g) s = f (g s)$ .

After any yielding transformation, we bind the side result immediately using a lambda abstraction. This is the purpose of the *op*  $\circ \rightarrow$  combinator:  $(f \circ \rightarrow (\lambda x. g)) s = (let (x, s') = f s in g s')$ .

For queries, the existing *Let* is appropriate.

Naturally, a computation may yield a side result by pairing it to the state from the left; we introduce the suggestive abbreviation *return* for this purpose.

The most crucial distinction to Haskell is that we do not need to introduce distinguished type constructors for different kinds of state. This has two consequences:

- The monad model does not state anything about the kind of state; the model for the state is completely orthogonal and may be specified completely independently.
- There is no distinguished type constructor encapsulating away the state transformation, i.e. transformations may be applied directly without using any lifting or providing and dropping units (“open monad”).
- The type of states may change due to a transformation.

### 66.3 Monad laws

The common monadic laws hold and may also be used as normalization rules for monadic expressions:

**lemmas** *monad-simp* = *Pair-scomp scomp-Pair id-fcomp fcomp-id scomp-scomp scomp-fcomp fcomp-scomp fcomp-assoc*

Evaluation of monadic expressions by force:

**lemmas** *monad-collapse* = *monad-simp fcomp-apply scomp-apply split-beta*

## 66.4 Do-syntax

nonterminal *sdo-binds* and *sdo-bind*

**syntax**

```
-sdo-block :: sdo-binds ⇒ 'a (exec {//(2 -)//} [12] 62)
-sdo-bind  :: [pttrn, 'a] ⇒ sdo-bind ((- ←/ -) 13)
-sdo-let   :: [pttrn, 'a] ⇒ sdo-bind ((2let - =/ -) [1000, 13] 13)
-sdo-then  :: 'a ⇒ sdo-bind (- [14] 13)
-sdo-final :: 'a ⇒ sdo-binds (-)
-sdo-cons  :: [sdo-bind, sdo-binds] ⇒ sdo-binds (-;/- [13, 12] 12)
```

**syntax (ASCII)**

```
-sdo-bind :: [pttrn, 'a] ⇒ sdo-bind ((- <-/ -) 13)
```

**translations**

```
-sdo-block (-sdo-cons (-sdo-bind p t) (-sdo-final e))
  == CONST scomp t (λp. e)
-sdo-block (-sdo-cons (-sdo-then t) (-sdo-final e))
  => CONST fcomp t e
-sdo-final (-sdo-block (-sdo-cons (-sdo-then t) (-sdo-final e)))
  <= -sdo-final (CONST fcomp t e)
-sdo-block (-sdo-cons (-sdo-then t) e)
  <= CONST fcomp t (-sdo-block e)
-sdo-block (-sdo-cons (-sdo-let p t) bs)
  == let p = t in -sdo-block bs
-sdo-block (-sdo-cons b (-sdo-cons c cs))
  == -sdo-block (-sdo-cons b (-sdo-final (-sdo-block (-sdo-cons c cs))))
-sdo-cons (-sdo-let p t) (-sdo-final s)
  == -sdo-final (let p = t in s)
-sdo-block (-sdo-final e) => e
```

For an example, see `~/src/HOL/Proofs/Extraction/Higman_Extraction.thy`.

end

## 67 Canonical order on option type

**theory** *Option-ord*

**imports** *HOL.Option Main*

**begin**

**notation**

```
bot (⊥) and
top (⊤) and
inf (infixl ⊓ 70) and
sup (infixl ⊔ 65) and
Inf (⊓- [900] 900) and
Sup (⊔- [900] 900)
```

**syntax**

$-INF1 \quad :: \text{pttrns} \Rightarrow 'b \Rightarrow 'b \quad ((\exists \square \_ \_ / \_) [0, 10] 10)$   
 $-INF \quad \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b \quad ((\exists \square \_ \_ \in \_ / \_) [0, 0, 10] 10)$   
 $-SUP1 \quad :: \text{pttrns} \Rightarrow 'b \Rightarrow 'b \quad ((\exists \sqcup \_ \_ / \_) [0, 10] 10)$   
 $-SUP \quad \quad :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b \quad ((\exists \sqcup \_ \_ \in \_ / \_) [0, 0, 10] 10)$

**instantiation** *option* :: (*preorder*) *preorder*  
**begin**

**definition** *less-eq-option* **where**

$x \leq y \longleftrightarrow (\text{case } x \text{ of } \text{None} \Rightarrow \text{True} \mid \text{Some } x \Rightarrow (\text{case } y \text{ of } \text{None} \Rightarrow \text{False} \mid \text{Some } y \Rightarrow x \leq y))$

**definition** *less-option* **where**

$x < y \longleftrightarrow (\text{case } y \text{ of } \text{None} \Rightarrow \text{False} \mid \text{Some } y \Rightarrow (\text{case } x \text{ of } \text{None} \Rightarrow \text{True} \mid \text{Some } x \Rightarrow x < y))$

**lemma** *less-eq-option-None* [*simp*]:  $\text{None} \leq x$   
 ⟨*proof*⟩

**lemma** *less-eq-option-None-code* [*code*]:  $\text{None} \leq x \longleftrightarrow \text{True}$   
 ⟨*proof*⟩

**lemma** *less-eq-option-None-is-None*:  $x \leq \text{None} \Longrightarrow x = \text{None}$   
 ⟨*proof*⟩

**lemma** *less-eq-option-Some-None* [*simp*, *code*]:  $\text{Some } x \leq \text{None} \longleftrightarrow \text{False}$   
 ⟨*proof*⟩

**lemma** *less-eq-option-Some* [*simp*, *code*]:  $\text{Some } x \leq \text{Some } y \longleftrightarrow x \leq y$   
 ⟨*proof*⟩

**lemma** *less-option-None* [*simp*, *code*]:  $x < \text{None} \longleftrightarrow \text{False}$   
 ⟨*proof*⟩

**lemma** *less-option-None-is-Some*:  $\text{None} < x \Longrightarrow \exists z. x = \text{Some } z$   
 ⟨*proof*⟩

**lemma** *less-option-None-Some* [*simp*]:  $\text{None} < \text{Some } x$   
 ⟨*proof*⟩

**lemma** *less-option-None-Some-code* [*code*]:  $\text{None} < \text{Some } x \longleftrightarrow \text{True}$   
 ⟨*proof*⟩

**lemma** *less-option-Some* [*simp*, *code*]:  $\text{Some } x < \text{Some } y \longleftrightarrow x < y$   
 ⟨*proof*⟩

**instance**

```

    <proof>

end

instance option :: (order) order
  <proof>

instance option :: (linorder) linorder
  <proof>

instantiation option :: (order) order-bot
begin

definition bot-option where  $\perp = \text{None}$ 

instance
  <proof>

end

instantiation option :: (order-top) order-top
begin

definition top-option where  $\top = \text{Some } \top$ 

instance
  <proof>

end

instance option :: (wellorder) wellorder
  <proof>

instantiation option :: (inf) inf
begin

definition inf-option where
   $x \sqcap y = (\text{case } x \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } x \Rightarrow (\text{case } y \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } y \Rightarrow \text{Some } (x \sqcap y)))$ 

lemma inf-None-1 [simp, code]:  $\text{None} \sqcap y = \text{None}$ 
  <proof>

lemma inf-None-2 [simp, code]:  $x \sqcap \text{None} = \text{None}$ 
  <proof>

lemma inf-Some [simp, code]:  $\text{Some } x \sqcap \text{Some } y = \text{Some } (x \sqcap y)$ 
  <proof>

```

**instance**  $\langle proof \rangle$

**end**

**instantiation**  $option :: (sup) sup$   
**begin**

**definition**  $sup-option$  **where**

$x \sqcup y = (case\ x\ of\ None \Rightarrow y \mid Some\ x' \Rightarrow (case\ y\ of\ None \Rightarrow x \mid Some\ y \Rightarrow Some\ (x' \sqcup y)))$

**lemma**  $sup-None-1$  [*simp, code*]:  $None \sqcup y = y$   
 $\langle proof \rangle$

**lemma**  $sup-None-2$  [*simp, code*]:  $x \sqcup None = x$   
 $\langle proof \rangle$

**lemma**  $sup-Some$  [*simp, code*]:  $Some\ x \sqcup Some\ y = Some\ (x \sqcup y)$   
 $\langle proof \rangle$

**instance**  $\langle proof \rangle$

**end**

**instance**  $option :: (semilattice-inf) semilattice-inf$   
 $\langle proof \rangle$

**instance**  $option :: (semilattice-sup) semilattice-sup$   
 $\langle proof \rangle$

**instance**  $option :: (lattice) lattice \langle proof \rangle$

**instance**  $option :: (lattice) bounded-lattice-bot \langle proof \rangle$

**instance**  $option :: (bounded-lattice-top) bounded-lattice-top \langle proof \rangle$

**instance**  $option :: (bounded-lattice-top) bounded-lattice \langle proof \rangle$

**instance**  $option :: (distrib-lattice) distrib-lattice$   
 $\langle proof \rangle$

**instantiation**  $option :: (complete-lattice) complete-lattice$   
**begin**

**definition**  $Inf-option :: 'a\ option\ set \Rightarrow 'a\ option$  **where**

$\sqcap A = (if\ None \in A\ then\ None\ else\ Some\ (\sqcap\ Option.these\ A))$

**lemma**  $None-in-Inf$  [*simp*]:  $None \in A \Longrightarrow \sqcap A = None$   
 $\langle proof \rangle$

**definition** *Sup-option* :: 'a option set  $\Rightarrow$  'a option **where**

$\sqcup A = (\text{if } A = \{\} \vee A = \{\text{None}\} \text{ then None else Some } (\sqcup \text{Option.these } A))$

**lemma** *empty-Sup* [simp]:  $\sqcup \{\} = \text{None}$

*<proof>*

**lemma** *singleton-None-Sup* [simp]:  $\sqcup \{\text{None}\} = \text{None}$

*<proof>*

**instance**

*<proof>*

**end**

**lemma** *Some-Inf*:

$\text{Some } (\prod A) = \prod (\text{Some } 'A)$

*<proof>*

**lemma** *Some-Sup*:

$A \neq \{\} \Longrightarrow \text{Some } (\sqcup A) = \sqcup (\text{Some } 'A)$

*<proof>*

**lemma** *Some-INF*:

$\text{Some } (\prod_{x \in A}. f x) = (\prod_{x \in A}. \text{Some } (f x))$

*<proof>*

**lemma** *Some-SUP*:

$A \neq \{\} \Longrightarrow \text{Some } (\sqcup_{x \in A}. f x) = (\sqcup_{x \in A}. \text{Some } (f x))$

*<proof>*

**instance** *option* :: (complete-distrib-lattice) complete-distrib-lattice

*<proof>*

**instance** *option* :: (complete-linorder) complete-linorder *<proof>*

**no-notation**

*bot* ( $\perp$ ) **and**

*top* ( $\top$ ) **and**

*inf* (**infixl**  $\sqcap$  70) **and**

*sup* (**infixl**  $\sqcup$  65) **and**

*Inf* ( $\prod$ - [900] 900) **and**

*Sup* ( $\sqcup$ - [900] 900)

**no-syntax**

*-INF1* :: *pttrns*  $\Rightarrow$  'b  $\Rightarrow$  'b  $((\exists \prod \text{-./ -}) [0, 10] 10)$

*-INF* :: *pttrn*  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b  $((\exists \prod \text{-\in-./ -}) [0, 0, 10] 10)$

*-SUP1* :: *pttrns*  $\Rightarrow$  'b  $\Rightarrow$  'b  $((\exists \sqcup \text{-./ -}) [0, 10] 10)$

*-SUP*     :: *pttrn*  $\Rightarrow$  'a *set*  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\exists \square$ )- $\in$ -./ -) [0, 0, 10] 10)

end

## 68 Futures and parallel lists for code generated towards Isabelle/ML

**theory** *Parallel*  
**imports** *Main*  
**begin**

### 68.1 Futures

**datatype** 'a *future* = *fork unit*  $\Rightarrow$  'a

**primrec** *join* :: 'a *future*  $\Rightarrow$  'a **where**  
*join* (*fork f*) = *f* ()

**lemma** *future-eqI* [*intro!*]:  
**assumes** *join f* = *join g*  
**shows** *f* = *g*  
 <*proof*>

#### code-printing

**type-constructor** *future*  $\rightarrow$  (*Eval*) - *future*  
| **constant** *fork*  $\rightarrow$  (*Eval*) *Future.fork*  
| **constant** *join*  $\rightarrow$  (*Eval*) *Future.join*

**code-reserved** *Eval Future future*

### 68.2 Parallel lists

**definition** *map* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a *list*  $\Rightarrow$  'b *list* **where**  
 [*simp*]: *map* = *List.map*

**definition** *forall* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a *list*  $\Rightarrow$  bool **where**  
*forall* = *list-all*

**lemma** *forall-all* [*simp*]:  
*forall P xs*  $\longleftrightarrow$  ( $\forall x \in \text{set } xs. P x$ )  
 <*proof*>

**definition** *exists* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a *list*  $\Rightarrow$  bool **where**  
*exists* = *list-ex*

**lemma** *exists-ex* [*simp*]:  
*exists P xs*  $\longleftrightarrow$  ( $\exists x \in \text{set } xs. P x$ )  
 <*proof*>



**code-printing**

```

constant map  $\rightarrow$  (Eval) Par'-List.map
| constant forall  $\rightarrow$  (Eval) Par'-List.forall
| constant exists  $\rightarrow$  (Eval) Par'-List.exists

```

```

code-reserved Eval Par-List

```

```

hide-const (open) fork join map exists forall

```

```

end

```

## 69 Input syntax for pattern aliases (or “as-patterns” in Haskell)

```

theory Pattern-Aliases

```

```

imports Main

```

```

begin

```

Most functional languages (Haskell, ML, Scala) support aliases in patterns. This allows to refer to a subpattern with a variable name. This theory implements this using a check phase. It works well for function definitions (see usage below). All features are packed into a **bundle**.

The following caveats should be kept in mind:

- The translation expects a term of the form  $f\ x\ y = rhs$ , where  $x$  and  $y$  are patterns that may contain aliases. The result of the translation is a nested *Let*-expression on the right hand side. The code generator *does not* print Isabelle pattern aliases to target language pattern aliases.
- The translation does not process nested equalities; only the top-level equality is translated.
- Terms that do not adhere to the above shape may either stay untranslated or produce an error message. The **fun** command will complain if pattern aliases are left untranslated. In particular, there are no checks whether the patterns are wellformed or linear.
- The corresponding uncheck phase attempts to reverse the translation (no guarantee). The additionally introduced variables are bound using a “fake quantifier” that does not appear in the output.
- To obtain reasonable induction principles in function definitions, the bundle also declares a custom congruence rule for *Let* that only affects **fun**. This congruence rule might lead to an explosion in term size (although that is rare)! In some circumstances (using *let* to destructure tuples), the internal construction of functions stumbles over this rule and prints an error. To mitigate this, either

- activate the bundle locally (**context includes ... begin**) or
  - rewrite the *let*-expression to use *case*: *let*  $(a, b) = x$  *in*  $(b, a)$  becomes *case*  $x$  *of*  $(a, b) \Rightarrow (b, a)$ .
- The bundle also adds the *Let*  $?s ?f \equiv ?f ?s$  rule to the simpset.

### 69.1 Definition

**consts**

*as* ::  $'a \Rightarrow 'a \Rightarrow 'a$   
*fake-quant* ::  $('a \Rightarrow prop) \Rightarrow prop$

**lemma** *let-cong-unfolding*:  $M = N \Longrightarrow f N = g N \Longrightarrow Let M f = Let N g$   
 ⟨*proof*⟩

**translations**  $P <= CONST$  *fake-quant*  $(\lambda x. P)$

⟨*ML*⟩

**bundle** *pattern-aliases* **begin**

**notation** *as* (**infix** =: 1)

⟨*ML*⟩

**declare** *let-cong-unfolding* [*fundef-cong*]

**declare** *Let-def* [*simp*]

**end**

**hide-const** *as*

**hide-const** *fake-quant*

### 69.2 Usage

**context includes** *pattern-aliases* **begin**

Not very useful for plain definitions, but works anyway.

**private definition** *test-1*  $x (y =: z) = y + z$

**lemma** *test-1*  $x y = y + y$

⟨*proof*⟩

Very useful for function definitions.

**private fun** *test-2* **where**

*test-2*  $(y \# (y' \# ys =: x') =: x) = x @ x' @ x' |$

*test-2* - = []

**lemma** *test-2*  $(y \# y' \# ys) = (y \# y' \# ys) @ (y' \# ys) @ (y' \# ys)$   
 ⟨*proof*⟩

⟨*ML*⟩

**end**

**end**

## 70 Periodic Functions

**theory** *Periodic-Fun*

**imports** *Complex-Main*

**begin**

A locale for periodic functions. The idea is that one proves  $f(x + p) = f(x)$  for some period  $p$  and gets derived results like  $f(x - p) = f(x)$  and  $f(x + 2p) = f(x)$  for free.

$g$  and  $gm$  are “plus/minus  $k$  periods” functions.  $g1$  and  $gn1$  are “plus/minus one period” functions. This is useful e.g. if the period is one; the lemmas one gets are then  $f(x + (1::'b)) = f x$  instead of  $f(x + (1::'b) * (1::'b)) = f x$  etc.

**locale** *periodic-fun* =

**fixes**  $f :: ('a :: \{ring-1\}) \Rightarrow 'b$  **and**  $g gm :: 'a \Rightarrow 'a \Rightarrow 'a$  **and**  $g1 gn1 :: 'a \Rightarrow 'a$

**assumes** *plus-1*:  $f (g1 x) = f x$

**assumes** *periodic-arg-plus-0*:  $g x 0 = x$

**assumes** *periodic-arg-plus-distrib*:  $g x (of-int (m + n)) = g (g x (of-int n)) (of-int m)$

**assumes** *plus-1-eq*:  $g x 1 = g1 x$  **and** *minus-1-eq*:  $g x (-1) = gn1 x$

**and** *minus-eq*:  $g x (-y) = gm x y$

**begin**

**lemma** *plus-of-nat*:  $f (g x (of-nat n)) = f x$   
 ⟨*proof*⟩

**lemma** *minus-of-nat*:  $f (gm x (of-nat n)) = f x$   
 ⟨*proof*⟩

**lemma** *plus-of-int*:  $f (g x (of-int n)) = f x$   
 ⟨*proof*⟩

**lemma** *minus-of-int*:  $f (gm x (of-int n)) = f x$   
 ⟨*proof*⟩

**lemma** *plus-numeral*:  $f (g x (numeral n)) = f x$   
 ⟨*proof*⟩

**lemma** *minus-numeral*:  $f (gm\ x\ (numeral\ n)) = f\ x$   
 ⟨proof⟩

**lemma** *minus-1*:  $f (gn1\ x) = f\ x$   
 ⟨proof⟩

**lemmas** *periodic-simps* = *plus-of-nat minus-of-nat plus-of-int minus-of-int*  
*plus-numeral minus-numeral plus-1 minus-1*

**end**

Specialised case of the *periodic-fun* locale for periods that are not 1.  
 Gives lemmas  $f (x - period) = f\ x$  etc.

**locale** *periodic-fun-simple* =  
**fixes**  $f :: ('a :: \{ring-1\}) \Rightarrow 'b$  **and**  $period :: 'a$   
**assumes** *plus-period*:  $f (x + period) = f\ x$   
**begin**  
**sublocale** *periodic-fun*  $f\ \lambda z\ x. z + x * period\ \lambda z\ x. z - x * period$   
 $\lambda z. z + period\ \lambda z. z - period$   
 ⟨proof⟩  
**end**

Specialised case of the *periodic-fun* locale for period 1. Gives lemmas  $f$   
 $(x - (1::'b)) = f\ x$  etc.

**locale** *periodic-fun-simple'* =  
**fixes**  $f :: ('a :: \{ring-1\}) \Rightarrow 'b$   
**assumes** *plus-period*:  $f (x + 1) = f\ x$   
**begin**  
**sublocale** *periodic-fun*  $f\ \lambda z\ x. z + x\ \lambda z\ x. z - x\ \lambda z. z + 1\ \lambda z. z - 1$   
 ⟨proof⟩

**lemma** *of-nat*:  $f (of-nat\ n) = f\ 0$  ⟨proof⟩  
**lemma** *uminus-of-nat*:  $f (-of-nat\ n) = f\ 0$  ⟨proof⟩  
**lemma** *of-int*:  $f (of-int\ n) = f\ 0$  ⟨proof⟩  
**lemma** *uminus-of-int*:  $f (-of-int\ n) = f\ 0$  ⟨proof⟩  
**lemma** *of-numeral*:  $f (numeral\ n) = f\ 0$  ⟨proof⟩  
**lemma** *of-neg-numeral*:  $f (-numeral\ n) = f\ 0$  ⟨proof⟩  
**lemma** *of-1*:  $f\ 1 = f\ 0$  ⟨proof⟩  
**lemma** *of-neg-1*:  $f (-1) = f\ 0$  ⟨proof⟩

**lemmas** *periodic-simps'* =  
*of-nat uminus-of-nat of-int uminus-of-int of-numeral of-neg-numeral of-1 of-neg-1*

**end**

**lemma** *sin-plus-pi*:  $\sin ((z :: 'a :: \{real-normed-field,banach\}) + of-real\ pi) = -$   
 $\sin\ z$   
 ⟨proof⟩

**lemma** *cos-plus-pi*:  $\cos ((z :: 'a :: \{\text{real-normed-field}, \text{banach}\}) + \text{of-real pi}) = -\cos z$   
 <proof>

**interpretation** *sin*: *periodic-fun-simple sin 2 \* of-real pi :: 'a :: {real-normed-field, banach}*  
 <proof>

**interpretation** *cos*: *periodic-fun-simple cos 2 \* of-real pi :: 'a :: {real-normed-field, banach}*  
 <proof>

**interpretation** *tan*: *periodic-fun-simple tan 2 \* of-real pi :: 'a :: {real-normed-field, banach}*  
 <proof>

**interpretation** *cot*: *periodic-fun-simple cot 2 \* of-real pi :: 'a :: {real-normed-field, banach}*  
 <proof>

**end**

## 71 Permutations as abstract type

**theory** *Perm*  
**imports** *Main*  
**begin**

This theory introduces basics about permutations, i.e. almost everywhere fix bijections. But it is by no means complete. Grievously missing are cycles since these would require more elaboration, e.g. the concept of distinct lists equivalent under rotation, which maybe would also deserve its own theory. But see theory *src/HOL/ex/Perm-Fragments.thy* for fragments on that.

### 71.1 Abstract type of permutations

**typedef** *'a perm* =  $\{f :: 'a \Rightarrow 'a. \text{bij } f \wedge \text{finite } \{a. f a \neq a\}\}$   
**morphisms** *apply Perm*  
 <proof>

**setup-lifting** *type-definition-perm*

**notation** *apply* (**infixl** <\$> 999)  
**no-notation** *apply* (**op** <\$>)

**lemma** *bij-apply [simp]*:  
*bij (apply f)*  
 <proof>

**lemma** *perm-eqI*:  
**assumes**  $\bigwedge a. f \langle \$ \rangle a = g \langle \$ \rangle a$

**shows**  $f = g$   
 $\langle proof \rangle$

**lemma** *perm-eq-iff*:  
 $f = g \longleftrightarrow (\forall a. f \langle \$ \rangle a = g \langle \$ \rangle a)$   
 $\langle proof \rangle$

**lemma** *apply-inj*:  
 $f \langle \$ \rangle a = f \langle \$ \rangle b \longleftrightarrow a = b$   
 $\langle proof \rangle$

**lift-definition** *affected* :: 'a perm  $\Rightarrow$  'a set  
**is**  $\lambda f. \{a. f a \neq a\}$   $\langle proof \rangle$

**lemma** *in-affected*:  
 $a \in affected f \longleftrightarrow f \langle \$ \rangle a \neq a$   
 $\langle proof \rangle$

**lemma** *finite-affected* [*simp*]:  
 $finite (affected f)$   
 $\langle proof \rangle$

**lemma** *apply-affected* [*simp*]:  
 $f \langle \$ \rangle a \in affected f \longleftrightarrow a \in affected f$   
 $\langle proof \rangle$

**lemma** *card-affected-not-one*:  
 $card (affected f) \neq 1$   
 $\langle proof \rangle$

## 71.2 Identity, composition and inversion

**instantiation** *Perm.perm* :: (type) {*monoid-mult*, *inverse*}  
**begin**

**lift-definition** *one-perm* :: 'a perm  
**is** *id*  
 $\langle proof \rangle$

**lemma** *apply-one* [*simp*]:  
 $apply 1 = id$   
 $\langle proof \rangle$

**lemma** *affected-one* [*simp*]:  
 $affected 1 = \{\}$   
 $\langle proof \rangle$

**lemma** *affected-empty-iff* [*simp*]:  
 $affected f = \{\} \longleftrightarrow f = 1$

*<proof>*

**lift-definition** *times-perm* :: 'a perm  $\Rightarrow$  'a perm  $\Rightarrow$  'a perm  
**is comp**  
*<proof>*

**lemma** *apply-times*:  
 $apply (f * g) = apply f \circ apply g$   
*<proof>*

**lemma** *apply-sequence*:  
 $f \langle \$ \rangle (g \langle \$ \rangle a) = apply (f * g) a$   
*<proof>*

**lemma** *affected-times* [*simp*]:  
 $affected (f * g) \subseteq affected f \cup affected g$   
*<proof>*

**lift-definition** *inverse-perm* :: 'a perm  $\Rightarrow$  'a perm  
**is inv**  
*<proof>*

**instance**  
*<proof>*

**end**

**lemma** *apply-inverse*:  
 $apply (inverse f) = inv (apply f)$   
*<proof>*

**lemma** *affected-inverse* [*simp*]:  
 $affected (inverse f) = affected f$   
*<proof>*

**global-interpretation** *perm: group times 1*::'a perm inverse  
*<proof>*

**declare** *perm.inverse-distrib-swap* [*simp*]

**lemma** *perm-mult-commute*:  
**assumes**  $affected f \cap affected g = \{\}$   
**shows**  $g * f = f * g$   
*<proof>*

**lemma** *apply-power*:  
 $apply (f ^ n) = apply f ^ n$   
*<proof>*

**lemma** *perm-power-inverse*:  
 $inverse\ f\ \wedge\ n = inverse\ ((f :: 'a\ perm)\ \wedge\ n)$   
 ⟨proof⟩

### 71.3 Orbit and order of elements

**definition** *orbit* :: 'a perm  $\Rightarrow$  'a  $\Rightarrow$  'a set  
**where**

$orbit\ f\ a = range\ (\lambda n. (f\ \wedge\ n)\ \langle \$ \rangle\ a)$

**lemma** *in-orbitI*:  
**assumes**  $(f\ \wedge\ n)\ \langle \$ \rangle\ a = b$   
**shows**  $b \in orbit\ f\ a$   
 ⟨proof⟩

**lemma** *apply-power-self-in-orbit* [*simp*]:  
 $(f\ \wedge\ n)\ \langle \$ \rangle\ a \in orbit\ f\ a$   
 ⟨proof⟩

**lemma** *in-orbit-self* [*simp*]:  
 $a \in orbit\ f\ a$   
 ⟨proof⟩

**lemma** *apply-self-in-orbit* [*simp*]:  
 $f\ \langle \$ \rangle\ a \in orbit\ f\ a$   
 ⟨proof⟩

**lemma** *orbit-not-empty* [*simp*]:  
 $orbit\ f\ a \neq \{\}$   
 ⟨proof⟩

**lemma** *not-in-affected-iff-orbit-eq-singleton*:  
 $a \notin affected\ f \longleftrightarrow orbit\ f\ a = \{a\}$  (**is**  $?P \longleftrightarrow ?Q$ )  
 ⟨proof⟩

**definition** *order* :: 'a perm  $\Rightarrow$  'a  $\Rightarrow$  nat  
**where**  
 $order\ f = card \circ orbit\ f$

**lemma** *orbit-subset-eq-affected*:  
**assumes**  $a \in affected\ f$   
**shows**  $orbit\ f\ a \subseteq affected\ f$   
 ⟨proof⟩

**lemma** *finite-orbit* [*simp*]:  
 $finite\ (orbit\ f\ a)$   
 ⟨proof⟩

**lemma** *orbit-1* [*simp*]:



*orbit 1 a = {a}*  
 ⟨proof⟩

**lemma** *order-1 [simp]:*  
*order 1 a = 1*  
 ⟨proof⟩

**lemma** *card-orbit-eq [simp]:*  
*card (orbit f a) = order f a*  
 ⟨proof⟩

**lemma** *order-greater-zero [simp]:*  
*order f a > 0*  
 ⟨proof⟩

**lemma** *order-eq-one-iff:*  
*order f a = Suc 0  $\longleftrightarrow$  a  $\notin$  affected f (is ?P  $\longleftrightarrow$  ?Q)*  
 ⟨proof⟩

**lemma** *order-greater-eq-two-iff:*  
*order f a  $\geq$  2  $\longleftrightarrow$  a  $\in$  affected f*  
 ⟨proof⟩

**lemma** *order-less-eq-affected:*  
**assumes** *f  $\neq$  1*  
**shows** *order f a  $\leq$  card (affected f)*  
 ⟨proof⟩

**lemma** *affected-order-greater-eq-two:*  
**assumes** *a  $\in$  affected f*  
**shows** *order f a  $\geq$  2*  
 ⟨proof⟩

**lemma** *order-witness-unfold:*  
**assumes** *n > 0 and (f ^ n) (\$) a = a*  
**shows** *order f a = card (( $\lambda$ m. (f ^ m) (\$) a) ‘ {0.. $n$ })*  
 ⟨proof⟩

**lemma** *inj-on-apply-range:*  
*inj-on ( $\lambda$ m. (f ^ m) (\$) a) {.. $order f a$ }*  
 ⟨proof⟩

**lemma** *orbit-unfold-image:*  
*orbit f a = ( $\lambda$ n. (f ^ n) (\$) a) ‘ {.. $order f a$ } (is - = ?A)*  
 ⟨proof⟩

**lemma** *in-orbitE:*  
**assumes** *b  $\in$  orbit f a*  
**obtains** *n where b = (f ^ n) (\$) a and n < order f a*

$\langle \text{proof} \rangle$

**lemma** *apply-power-order* [*simp*]:

$(f \wedge \text{order } f \ a) \langle \$ \rangle a = a$

$\langle \text{proof} \rangle$

**lemma** *apply-power-left-mult-order* [*simp*]:

$(f \wedge (n * \text{order } f \ a)) \langle \$ \rangle a = a$

$\langle \text{proof} \rangle$

**lemma** *apply-power-right-mult-order* [*simp*]:

$(f \wedge (\text{order } f \ a * n)) \langle \$ \rangle a = a$

$\langle \text{proof} \rangle$

**lemma** *apply-power-mod-order-eq* [*simp*]:

$(f \wedge (n \text{ mod } \text{order } f \ a)) \langle \$ \rangle a = (f \wedge n) \langle \$ \rangle a$

$\langle \text{proof} \rangle$

**lemma** *apply-power-eq-iff*:

$(f \wedge m) \langle \$ \rangle a = (f \wedge n) \langle \$ \rangle a \longleftrightarrow m \text{ mod } \text{order } f \ a = n \text{ mod } \text{order } f \ a$  (**is** ?P  
 $\longleftrightarrow$  ?Q)

$\langle \text{proof} \rangle$

**lemma** *apply-inverse-eq-apply-power-order-minus-one*:

$(\text{inverse } f) \langle \$ \rangle a = (f \wedge (\text{order } f \ a - 1)) \langle \$ \rangle a$

$\langle \text{proof} \rangle$

**lemma** *apply-inverse-self-in-orbit* [*simp*]:

$(\text{inverse } f) \langle \$ \rangle a \in \text{orbit } f \ a$

$\langle \text{proof} \rangle$

**lemma** *apply-inverse-power-eq*:

$(\text{inverse } (f \wedge n)) \langle \$ \rangle a = (f \wedge (\text{order } f \ a - n \text{ mod } \text{order } f \ a)) \langle \$ \rangle a$

$\langle \text{proof} \rangle$

**lemma** *apply-power-eq-self-iff*:

$(f \wedge n) \langle \$ \rangle a = a \longleftrightarrow \text{order } f \ a \ \text{dvd } n$

$\langle \text{proof} \rangle$

**lemma** *orbit-equiv*:

**assumes**  $b \in \text{orbit } f \ a$

**shows**  $\text{orbit } f \ b = \text{orbit } f \ a$  (**is** ?B = ?A)

$\langle \text{proof} \rangle$

**lemma** *orbit-apply* [*simp*]:

$\text{orbit } f \ (f \langle \$ \rangle a) = \text{orbit } f \ a$

$\langle \text{proof} \rangle$

**lemma** *order-apply* [*simp*]:

$order\ f\ (f\ \$)\ a = order\ f\ a$   
 $\langle proof \rangle$

**lemma** *orbit-apply-inverse* [simp]:  
 $orbit\ f\ (inverse\ f\ \$)\ a = orbit\ f\ a$   
 $\langle proof \rangle$

**lemma** *order-apply-inverse* [simp]:  
 $order\ f\ (inverse\ f\ \$)\ a = order\ f\ a$   
 $\langle proof \rangle$

**lemma** *orbit-apply-power* [simp]:  
 $orbit\ f\ ((f\ \wedge\ n)\ \$)\ a = orbit\ f\ a$   
 $\langle proof \rangle$

**lemma** *order-apply-power* [simp]:  
 $order\ f\ ((f\ \wedge\ n)\ \$)\ a = order\ f\ a$   
 $\langle proof \rangle$

**lemma** *orbit-inverse* [simp]:  
 $orbit\ (inverse\ f) = orbit\ f$   
 $\langle proof \rangle$

**lemma** *order-inverse* [simp]:  
 $order\ (inverse\ f) = order\ f$   
 $\langle proof \rangle$

**lemma** *orbit-disjoint*:  
**assumes**  $orbit\ f\ a \neq orbit\ f\ b$   
**shows**  $orbit\ f\ a \cap orbit\ f\ b = \{\}$   
 $\langle proof \rangle$

## 71.4 Swaps

**lift-definition** *swap* ::  $'a \Rightarrow 'a \Rightarrow 'a\ perm\ (\langle \leftrightarrow \rangle)$   
**is**  $\lambda a\ b.\ Fun.swap\ a\ b\ id$   
 $\langle proof \rangle$

**lemma** *apply-swap-simp* [simp]:  
 $\langle a \leftrightarrow b \rangle\ \$)\ a = b$   
 $\langle a \leftrightarrow b \rangle\ \$)\ b = a$   
 $\langle proof \rangle$

**lemma** *apply-swap-same* [simp]:  
 $c \neq a \implies c \neq b \implies \langle a \leftrightarrow b \rangle\ \$)\ c = c$   
 $\langle proof \rangle$

**lemma** *apply-swap-eq-iff* [simp]:  
 $\langle a \leftrightarrow b \rangle\ \$)\ c = a \iff c = b$

$\langle a \leftrightarrow b \rangle \langle \$ \rangle c = b \longleftrightarrow c = a$   
 $\langle proof \rangle$

**lemma** *swap-1* [*simp*]:

$\langle a \leftrightarrow a \rangle = 1$   
 $\langle proof \rangle$

**lemma** *swap-sym*:

$\langle b \leftrightarrow a \rangle = \langle a \leftrightarrow b \rangle$   
 $\langle proof \rangle$

**lemma** *swap-self* [*simp*]:

$\langle a \leftrightarrow b \rangle * \langle a \leftrightarrow b \rangle = 1$   
 $\langle proof \rangle$

**lemma** *affected-swap*:

$a \neq b \implies affected \langle a \leftrightarrow b \rangle = \{a, b\}$   
 $\langle proof \rangle$

**lemma** *inverse-swap* [*simp*]:

$inverse \langle a \leftrightarrow b \rangle = \langle a \leftrightarrow b \rangle$   
 $\langle proof \rangle$

## 71.5 Permutations specified by cycles

**fun** *cycle* :: 'a list  $\Rightarrow$  'a perm ( $\langle \langle - \rangle \rangle$ )

**where**

$\langle [] \rangle = 1$   
 $| \langle [a] \rangle = 1$   
 $| \langle a \# b \# as \rangle = \langle a \# as \rangle * \langle a \leftrightarrow b \rangle$

We do not continue and restrict ourselves to syntax from here. See also introductory note.

## 71.6 Syntax

**bundle** *no-permutation-syntax*

**begin**

**no-notation** *swap* ( $\langle \langle - \leftrightarrow - \rangle \rangle$ )  
**no-notation** *cycle* ( $\langle \langle - \rangle \rangle$ )  
**no-notation** *apply* (**infixl**  $\langle \$ \rangle$  999)

**end**

**bundle** *permutation-syntax*

**begin**

**notation** *swap* ( $\langle \langle - \leftrightarrow - \rangle \rangle$ )  
**notation** *cycle* ( $\langle \langle - \rangle \rangle$ )  
**notation** *apply* (**infixl**  $\langle \$ \rangle$  999)  
**no-notation** *apply* (*op*  $\langle \$ \rangle$ )

**end**

**unbundle** *no-permutation-syntax*

**end**

## 72 Permutations

**theory** *Permutation*

**imports** *Multiset*

**begin**

**inductive** *perm* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool (- <~~> - [50, 50] 50)

**where**

*Nil* [*intro!*]: [] <~~> []  
 | *swap* [*intro!*]: y # x # l <~~> x # y # l  
 | *Cons* [*intro!*]: xs <~~> ys  $\implies$  z # xs <~~> z # ys  
 | *trans* [*intro*]: xs <~~> ys  $\implies$  ys <~~> zs  $\implies$  xs <~~> zs

**proposition** *perm-refl* [*iff*]: l <~~> l

*<proof>*

### 72.1 Some examples of rule induction on permutations

**proposition** *xperm-empty-imp*: [] <~~> ys  $\implies$  ys = []

*<proof>*

This more general theorem is easier to understand!

**proposition** *perm-length*: xs <~~> ys  $\implies$  length xs = length ys

*<proof>*

**proposition** *perm-empty-imp*: [] <~~> xs  $\implies$  xs = []

*<proof>*

**proposition** *perm-sym*: xs <~~> ys  $\implies$  ys <~~> xs

*<proof>*

### 72.2 Ways of making new permutations

We can insert the head anywhere in the list.

**proposition** *perm-append-Cons*: a # xs @ ys <~~> xs @ a # ys

*<proof>*

**proposition** *perm-append-swap*: xs @ ys <~~> ys @ xs

*<proof>*

**proposition** *perm-append-single*: a # xs <~~> xs @ [a]

*<proof>*

**proposition** *perm-rev*:  $rev\ xs <\sim\sim> xs$   
 ⟨proof⟩

**proposition** *perm-append1*:  $xs <\sim\sim> ys \implies l @ xs <\sim\sim> l @ ys$   
 ⟨proof⟩

**proposition** *perm-append2*:  $xs <\sim\sim> ys \implies xs @ l <\sim\sim> ys @ l$   
 ⟨proof⟩

### 72.3 Further results

**proposition** *perm-empty* [iff]:  $[] <\sim\sim> xs \longleftrightarrow xs = []$   
 ⟨proof⟩

**proposition** *perm-empty2* [iff]:  $xs <\sim\sim> [] \longleftrightarrow xs = []$   
 ⟨proof⟩

**proposition** *perm-sing-imp*:  $ys <\sim\sim> xs \implies xs = [y] \implies ys = [y]$   
 ⟨proof⟩

**proposition** *perm-sing-eq* [iff]:  $ys <\sim\sim> [y] \longleftrightarrow ys = [y]$   
 ⟨proof⟩

**proposition** *perm-sing-eq2* [iff]:  $[y] <\sim\sim> ys \longleftrightarrow ys = [y]$   
 ⟨proof⟩

### 72.4 Removing elements

**proposition** *perm-remove*:  $x \in set\ ys \implies ys <\sim\sim> x \# remove1\ x\ ys$   
 ⟨proof⟩

Congruence rule

**proposition** *perm-remove-perm*:  $xs <\sim\sim> ys \implies remove1\ z\ xs <\sim\sim> remove1\ z\ ys$   
 ⟨proof⟩

**proposition** *remove-hd* [simp]:  $remove1\ z\ (z \# xs) = xs$   
 ⟨proof⟩

**proposition** *cons-perm-imp-perm*:  $z \# xs <\sim\sim> z \# ys \implies xs <\sim\sim> ys$   
 ⟨proof⟩

**proposition** *cons-perm-eq* [iff]:  $z \# xs <\sim\sim> z \# ys \longleftrightarrow xs <\sim\sim> ys$   
 ⟨proof⟩

**proposition** *append-perm-imp-perm*:  $zs @ xs <\sim\sim> zs @ ys \implies xs <\sim\sim> ys$   
 ⟨proof⟩

**proposition** *perm-append1-eq* [iff]:  $zs @ xs <\sim\sim> zs @ ys \longleftrightarrow xs <\sim\sim> ys$

*<proof>*

**proposition** *perm-append2-eq [iff]*:  $xs @ zs <^{\sim\sim}> ys @ zs \longleftrightarrow xs <^{\sim\sim}> ys$   
*<proof>*

**theorem** *mset-eq-perm*:  $mset\ xs = mset\ ys \longleftrightarrow xs <^{\sim\sim}> ys$   
*<proof>*

**proposition** *mset-le-perm-append*:  $mset\ xs \subseteq\# mset\ ys \longleftrightarrow (\exists\ zs.\ xs @ zs <^{\sim\sim}> ys)$   
*<proof>*

**proposition** *perm-set-eq*:  $xs <^{\sim\sim}> ys \implies set\ xs = set\ ys$   
*<proof>*

**proposition** *perm-distinct-iff*:  $xs <^{\sim\sim}> ys \implies distinct\ xs = distinct\ ys$   
*<proof>*

**theorem** *eq-set-perm-remdups*:  $set\ xs = set\ ys \implies remdups\ xs <^{\sim\sim}> remdups\ ys$   
*<proof>*

**proposition** *perm-remdups-iff-eq-set*:  $remdups\ x <^{\sim\sim}> remdups\ y \longleftrightarrow set\ x = set\ y$   
*<proof>*

**theorem** *permutation-Ex-bij*:

**assumes**  $xs <^{\sim\sim}> ys$

**shows**  $\exists f.\ bij\ betw\ f\ \{..\<length\ xs\}\ \{..\<length\ ys\} \wedge (\forall i < length\ xs.\ xs\ !\ i = ys\ !\ (f\ i))$

*<proof>*

**proposition** *perm-finite*:  $finite\ \{B.\ B <^{\sim\sim}> A\}$   
*<proof>*

**proposition** *perm-swap*:

**assumes**  $i < length\ xs\ j < length\ xs$

**shows**  $xs[i := xs\ !\ j,\ j := xs\ !\ i] <^{\sim\sim}> xs$

*<proof>*

end

## 73 Additive group operations on product types

**theory** *Product-Plus*

**imports** *Main*

**begin**

### 73.1 Operations

**instantiation** *prod* :: (*zero*, *zero*) *zero*  
**begin**

**definition** *zero-prod-def*:  $0 = (0, 0)$

**instance**  $\langle$ *proof* $\rangle$   
**end**

**instantiation** *prod* :: (*plus*, *plus*) *plus*  
**begin**

**definition** *plus-prod-def*:  
 $x + y = (fst\ x + fst\ y, snd\ x + snd\ y)$

**instance**  $\langle$ *proof* $\rangle$   
**end**

**instantiation** *prod* :: (*minus*, *minus*) *minus*  
**begin**

**definition** *minus-prod-def*:  
 $x - y = (fst\ x - fst\ y, snd\ x - snd\ y)$

**instance**  $\langle$ *proof* $\rangle$   
**end**

**instantiation** *prod* :: (*uminus*, *uminus*) *uminus*  
**begin**

**definition** *uminus-prod-def*:  
 $- x = (-\ fst\ x, -\ snd\ x)$

**instance**  $\langle$ *proof* $\rangle$   
**end**

**lemma** *fst-zero* [*simp*]:  $fst\ 0 = 0$   
 $\langle$ *proof* $\rangle$

**lemma** *snd-zero* [*simp*]:  $snd\ 0 = 0$   
 $\langle$ *proof* $\rangle$

**lemma** *fst-add* [*simp*]:  $fst\ (x + y) = fst\ x + fst\ y$   
 $\langle$ *proof* $\rangle$

**lemma** *snd-add* [*simp*]:  $snd\ (x + y) = snd\ x + snd\ y$   
 $\langle$ *proof* $\rangle$

**lemma** *fst-diff* [*simp*]:  $fst\ (x - y) = fst\ x - fst\ y$



*<proof>*

**lemma** *snd-diff* [*simp*]:  $snd (x - y) = snd x - snd y$   
*<proof>*

**lemma** *fst-uminus* [*simp*]:  $fst (- x) = - fst x$   
*<proof>*

**lemma** *snd-uminus* [*simp*]:  $snd (- x) = - snd x$   
*<proof>*

**lemma** *add-Pair* [*simp*]:  $(a, b) + (c, d) = (a + c, b + d)$   
*<proof>*

**lemma** *diff-Pair* [*simp*]:  $(a, b) - (c, d) = (a - c, b - d)$   
*<proof>*

**lemma** *uminus-Pair* [*simp, code*]:  $-(a, b) = (- a, - b)$   
*<proof>*

## 73.2 Class instances

**instance** *prod* :: (*semigroup-add, semigroup-add*) *semigroup-add*  
*<proof>*

**instance** *prod* :: (*ab-semigroup-add, ab-semigroup-add*) *ab-semigroup-add*  
*<proof>*

**instance** *prod* :: (*monoid-add, monoid-add*) *monoid-add*  
*<proof>*

**instance** *prod* :: (*comm-monoid-add, comm-monoid-add*) *comm-monoid-add*  
*<proof>*

**instance** *prod* :: (*cancel-semigroup-add, cancel-semigroup-add*) *cancel-semigroup-add*  
*<proof>*

**instance** *prod* :: (*cancel-ab-semigroup-add, cancel-ab-semigroup-add*) *cancel-ab-semigroup-add*  
*<proof>*

**instance** *prod* :: (*cancel-comm-monoid-add, cancel-comm-monoid-add*) *cancel-comm-monoid-add*  
*<proof>*

**instance** *prod* :: (*group-add, group-add*) *group-add*  
*<proof>*

**instance** *prod* :: (*ab-group-add, ab-group-add*) *ab-group-add*  
*<proof>*

**lemma** *fst-sum*:  $\text{fst } (\sum x \in A. f x) = (\sum x \in A. \text{fst } (f x))$   
 ⟨*proof*⟩

**lemma** *snd-sum*:  $\text{snd } (\sum x \in A. f x) = (\sum x \in A. \text{snd } (f x))$   
 ⟨*proof*⟩

**lemma** *sum-prod*:  $(\sum x \in A. (f x, g x)) = (\sum x \in A. f x, \sum x \in A. g x)$   
 ⟨*proof*⟩

**end**

## 74 Roots of real quadratics

**theory** *Quadratic-Discriminant*  
**imports** *Complex-Main*  
**begin**

**definition** *discrim* ::  $\text{real} \Rightarrow \text{real} \Rightarrow \text{real} \Rightarrow \text{real}$   
**where**  $\text{discrim } a b c \equiv b^2 - 4 * a * c$

**lemma** *complete-square*:  
**fixes**  $a b c x :: \text{real}$   
**assumes**  $a \neq 0$   
**shows**  $a * x^2 + b * x + c = 0 \longleftrightarrow (2 * a * x + b)^2 = \text{discrim } a b c$   
 ⟨*proof*⟩

**lemma** *discriminant-negative*:  
**fixes**  $a b c x :: \text{real}$   
**assumes**  $a \neq 0$   
**and**  $\text{discrim } a b c < 0$   
**shows**  $a * x^2 + b * x + c \neq 0$   
 ⟨*proof*⟩

**lemma** *plus-or-minus-sqrt*:  
**fixes**  $x y :: \text{real}$   
**assumes**  $y \geq 0$   
**shows**  $x^2 = y \longleftrightarrow x = \text{sqrt } y \vee x = - \text{sqrt } y$   
 ⟨*proof*⟩

**lemma** *divide-non-zero*:  
**fixes**  $x y z :: \text{real}$   
**assumes**  $x \neq 0$   
**shows**  $x * y = z \longleftrightarrow y = z / x$   
 ⟨*proof*⟩

**lemma** *discriminant-nonneg*:  
**fixes**  $a b c x :: \text{real}$   
**assumes**  $a \neq 0$   
**and**  $\text{discrim } a b c \geq 0$

```

shows  $a * x^2 + b * x + c = 0 \longleftrightarrow$ 
   $x = (-b + \text{sqrt}(\text{discrim } a \ b \ c)) / (2 * a) \vee$ 
   $x = (-b - \text{sqrt}(\text{discrim } a \ b \ c)) / (2 * a)$ 
<proof>

```

**lemma** *discriminant-zero*:

```

fixes  $a \ b \ c \ x :: \text{real}$ 
assumes  $a \neq 0$ 
  and  $\text{discrim } a \ b \ c = 0$ 
shows  $a * x^2 + b * x + c = 0 \longleftrightarrow x = -b / (2 * a)$ 
<proof>

```

**theorem** *discriminant-iff*:

```

fixes  $a \ b \ c \ x :: \text{real}$ 
assumes  $a \neq 0$ 
shows  $a * x^2 + b * x + c = 0 \longleftrightarrow$ 
   $\text{discrim } a \ b \ c \geq 0 \wedge$ 
   $(x = (-b + \text{sqrt}(\text{discrim } a \ b \ c)) / (2 * a) \vee$ 
   $x = (-b - \text{sqrt}(\text{discrim } a \ b \ c)) / (2 * a))$ 
<proof>

```

**lemma** *discriminant-nonneg-ex*:

```

fixes  $a \ b \ c :: \text{real}$ 
assumes  $a \neq 0$ 
  and  $\text{discrim } a \ b \ c \geq 0$ 
shows  $\exists x. a * x^2 + b * x + c = 0$ 
<proof>

```

**lemma** *discriminant-pos-ex*:

```

fixes  $a \ b \ c :: \text{real}$ 
assumes  $a \neq 0$ 
  and  $\text{discrim } a \ b \ c > 0$ 
shows  $\exists x \ y. x \neq y \wedge a * x^2 + b * x + c = 0 \wedge a * y^2 + b * y + c = 0$ 
<proof>

```

**lemma** *discriminant-pos-distinct*:

```

fixes  $a \ b \ c \ x :: \text{real}$ 
assumes  $a \neq 0$ 
  and  $\text{discrim } a \ b \ c > 0$ 
shows  $\exists y. x \neq y \wedge a * y^2 + b * y + c = 0$ 
<proof>

```

**end**

## 75 Pretty syntax for Quotient operations

```

theory Quotient-Syntax
imports Main
begin

```

**notation**

*rel-conj* (**infixr** *OOO* 75) and  
*map-fun* (**infixr** *---->* 55) and  
*rel-fun* (**infixr** *====>* 55)

**end**

## 76 Quotient infrastructure for the set type

**theory** *Quotient-Set*  
**imports** *Quotient-Syntax*  
**begin**

### 76.1 Contravariant set map (*vimage*) and set relator, rules for the Quotient package

**definition** *rel-vset*  $R\ xs\ ys \equiv \forall x\ y. R\ x\ y \longrightarrow x \in xs \longleftrightarrow y \in ys$

**lemma** *rel-vset-eq* [*id-simps*]:

*rel-vset* *op* = = *op* =  
 ⟨*proof*⟩

**lemma** *rel-vset-equivp*:

**assumes** *e*: *equivp* *R*  
**shows** *rel-vset* *R* *xs* *ys*  $\longleftrightarrow xs = ys \wedge (\forall x\ y. x \in xs \longrightarrow R\ x\ y \longrightarrow y \in ys)$   
 ⟨*proof*⟩

**lemma** *set-quotient* [*quot-thm*]:

**assumes** *Quotient3* *R* *Abs* *Rep*  
**shows** *Quotient3* (*rel-vset* *R*) (*vimage* *Rep*) (*vimage* *Abs*)  
 ⟨*proof*⟩

**declare** [[*mapQ3* *set* = (*rel-vset*, *set-quotient*)]]

**lemma** *empty-set-rsp*[*quot-respect*]:

*rel-vset* *R* {} {}  
 ⟨*proof*⟩

**lemma** *collect-rsp*[*quot-respect*]:

**assumes** *Quotient3* *R* *Abs* *Rep*  
**shows** ((*R* *====>* *op* =) *====>* *rel-vset* *R*) *Collect* *Collect*  
 ⟨*proof*⟩

**lemma** *collect-prs*[*quot-preserve*]:

**assumes** *Quotient3* *R* *Abs* *Rep*  
**shows** ((*Abs* *---->* *id*) *---->* *op* -‘ *Rep*) *Collect* = *Collect*  
 ⟨*proof*⟩

```

lemma union-rsp[quot-respect]:
  assumes Quotient3 R Abs Rep
  shows (rel-vset R  $\implies$  rel-vset R  $\implies$  rel-vset R) op  $\cup$  op  $\cup$ 
  <proof>

lemma union-prs[quot-preserve]:
  assumes Quotient3 R Abs Rep
  shows (op -‘ Abs  $\dashrightarrow$  op -‘ Abs  $\dashrightarrow$  op -‘ Rep) op  $\cup$  = op  $\cup$ 
  <proof>

lemma diff-rsp[quot-respect]:
  assumes Quotient3 R Abs Rep
  shows (rel-vset R  $\implies$  rel-vset R  $\implies$  rel-vset R) op - op -
  <proof>

lemma diff-prs[quot-preserve]:
  assumes Quotient3 R Abs Rep
  shows (op -‘ Abs  $\dashrightarrow$  op -‘ Abs  $\dashrightarrow$  op -‘ Rep) op - = op -
  <proof>

lemma inter-rsp[quot-respect]:
  assumes Quotient3 R Abs Rep
  shows (rel-vset R  $\implies$  rel-vset R  $\implies$  rel-vset R) op  $\cap$  op  $\cap$ 
  <proof>

lemma inter-prs[quot-preserve]:
  assumes Quotient3 R Abs Rep
  shows (op -‘ Abs  $\dashrightarrow$  op -‘ Abs  $\dashrightarrow$  op -‘ Rep) op  $\cap$  = op  $\cap$ 
  <proof>

lemma mem-prs[quot-preserve]:
  assumes Quotient3 R Abs Rep
  shows (Rep  $\dashrightarrow$  op -‘ Abs  $\dashrightarrow$  id) op  $\in$  = op  $\in$ 
  <proof>

lemma mem-rsp[quot-respect]:
  shows (R  $\implies$  rel-vset R  $\implies$  op =) op  $\in$  op  $\in$ 
  <proof>

end

```

## 77 Quotient infrastructure for the product type

```

theory Quotient-Product
imports Quotient-Syntax
begin

```

### 77.1 Rules for the Quotient package

**lemma** *map-prod-id* [*id-simps*]:  
**shows** *map-prod id id = id*  
 ⟨*proof*⟩

**lemma** *rel-prod-eq* [*id-simps*]:  
**shows** *rel-prod (op =) (op =) = (op =)*  
 ⟨*proof*⟩

**lemma** *prod-equivp* [*quot-equiv*]:  
**assumes** *equivp R1*  
**assumes** *equivp R2*  
**shows** *equivp (rel-prod R1 R2)*  
 ⟨*proof*⟩

**lemma** *prod-quotient* [*quot-thm*]:  
**assumes** *Quotient3 R1 Abs1 Rep1*  
**assumes** *Quotient3 R2 Abs2 Rep2*  
**shows** *Quotient3 (rel-prod R1 R2) (map-prod Abs1 Abs2) (map-prod Rep1 Rep2)*  
 ⟨*proof*⟩

**declare** [[*mapQ3 prod = (rel-prod, prod-quotient)*]]

**lemma** *Pair-rsp* [*quot-respect*]:  
**assumes** *q1: Quotient3 R1 Abs1 Rep1*  
**assumes** *q2: Quotient3 R2 Abs2 Rep2*  
**shows** *(R1 ===> R2 ===> rel-prod R1 R2) Pair Pair*  
 ⟨*proof*⟩

**lemma** *Pair-prs* [*quot-preserve*]:  
**assumes** *q1: Quotient3 R1 Abs1 Rep1*  
**assumes** *q2: Quotient3 R2 Abs2 Rep2*  
**shows** *(Rep1 ----> Rep2 ----> (map-prod Abs1 Abs2)) Pair = Pair*  
 ⟨*proof*⟩

**lemma** *fst-rsp* [*quot-respect*]:  
**assumes** *Quotient3 R1 Abs1 Rep1*  
**assumes** *Quotient3 R2 Abs2 Rep2*  
**shows** *(rel-prod R1 R2 ===> R1) fst fst*  
 ⟨*proof*⟩

**lemma** *fst-prs* [*quot-preserve*]:  
**assumes** *q1: Quotient3 R1 Abs1 Rep1*  
**assumes** *q2: Quotient3 R2 Abs2 Rep2*  
**shows** *(map-prod Rep1 Rep2 ----> Abs1) fst = fst*  
 ⟨*proof*⟩

**lemma** *snd-rsp* [*quot-respect*]:  
**assumes** *Quotient3 R1 Abs1 Rep1*

```

assumes Quotient3 R2 Abs2 Rep2
shows (rel-prod R1 R2  $\implies$  R2) snd snd
  <proof>

```

```

lemma snd-prs [quot-preserve]:
assumes q1: Quotient3 R1 Abs1 Rep1
assumes q2: Quotient3 R2 Abs2 Rep2
shows (map-prod Rep1 Rep2  $\dashrightarrow$  Abs2) snd = snd
  <proof>

```

```

lemma case-prod-rsp [quot-respect]:
shows ((R1  $\implies$  R2  $\implies$  (op =))  $\implies$  (rel-prod R1 R2)  $\implies$  (op
=)) case-prod case-prod
  <proof>

```

```

lemma split-prs [quot-preserve]:
assumes q1: Quotient3 R1 Abs1 Rep1
and q2: Quotient3 R2 Abs2 Rep2
shows (((Abs1  $\dashrightarrow$  Abs2  $\dashrightarrow$  id)  $\dashrightarrow$  map-prod Rep1 Rep2  $\dashrightarrow$ 
id) case-prod) = case-prod
  <proof>

```

```

lemma [quot-respect]:
shows ((R2  $\implies$  R2  $\implies$  op =)  $\implies$  (R1  $\implies$  R1  $\implies$  op =)
 $\implies$ 
rel-prod R2 R1  $\implies$  rel-prod R2 R1  $\implies$  op =) rel-prod rel-prod
  <proof>

```

```

lemma [quot-preserve]:
assumes q1: Quotient3 R1 abs1 rep1
and q2: Quotient3 R2 abs2 rep2
shows ((abs1  $\dashrightarrow$  abs1  $\dashrightarrow$  id)  $\dashrightarrow$  (abs2  $\dashrightarrow$  abs2  $\dashrightarrow$  id)
 $\dashrightarrow$ 
map-prod rep1 rep2  $\dashrightarrow$  map-prod rep1 rep2  $\dashrightarrow$  id) rel-prod = rel-prod
  <proof>

```

```

lemma [quot-preserve]:
shows(rel-prod ((rep1  $\dashrightarrow$  rep1  $\dashrightarrow$  id) R1) ((rep2  $\dashrightarrow$  rep2  $\dashrightarrow$ 
id) R2)
(l1, l2) (r1, r2)) = (R1 (rep1 l1) (rep1 r1)  $\wedge$  R2 (rep2 l2) (rep2 r2))
  <proof>

```

```

declare prod.inject[quot-preserve]

```

```

end

```

## 78 Quotient infrastructure for the option type

```

theory Quotient-Option

```

```
imports Quotient-Syntax
begin
```

## 78.1 Rules for the Quotient package

**lemma** *rel-option-map1*:

```
rel-option R (map-option f x) y  $\longleftrightarrow$  rel-option ( $\lambda x. R (f x)$ ) x y
<proof>
```

**lemma** *rel-option-map2*:

```
rel-option R x (map-option f y)  $\longleftrightarrow$  rel-option ( $\lambda x y. R x (f y)$ ) x y
<proof>
```

**declare**

```
map-option.id [id-simps]
option.rel-eq [id-simps]
```

**lemma** *reflp-rel-option*:

```
reflp R  $\implies$  reflp (rel-option R)
<proof>
```

**lemma** *option-symp*:

```
symp R  $\implies$  symp (rel-option R)
<proof>
```

**lemma** *option-transp*:

```
transp R  $\implies$  transp (rel-option R)
<proof>
```

**lemma** *option-equivp* [quot-equiv]:

```
equivp R  $\implies$  equivp (rel-option R)
<proof>
```

**lemma** *option-quotient* [quot-thm]:

```
assumes Quotient3 R Abs Rep
shows Quotient3 (rel-option R) (map-option Abs) (map-option Rep)
<proof>
```

**declare** [[mapQ3 option = (rel-option, option-quotient)]]

**lemma** *option-None-rsp* [quot-respect]:

```
assumes q: Quotient3 R Abs Rep
shows rel-option R None None
<proof>
```

**lemma** *option-Some-rsp* [quot-respect]:

```
assumes q: Quotient3 R Abs Rep
shows (R  $\implies$  rel-option R) Some Some
<proof>
```



**lemma** *option-None-prs* [quot-preserve]:

**assumes**  $q: \text{Quotient3 } R \text{ Abs Rep}$

**shows**  $\text{map-option Abs None} = \text{None}$

$\langle \text{proof} \rangle$

**lemma** *option-Some-prs* [quot-preserve]:

**assumes**  $q: \text{Quotient3 } R \text{ Abs Rep}$

**shows**  $(\text{Rep} \dashrightarrow \text{map-option Abs}) \text{ Some} = \text{Some}$

$\langle \text{proof} \rangle$

**end**

## 79 Quotient infrastructure for the list type

**theory** *Quotient-List*

**imports** *Quotient-Set Quotient-Product Quotient-Option*

**begin**

### 79.1 Rules for the Quotient package

**lemma** *map-id* [id-simps]:

$\text{map id} = \text{id}$

$\langle \text{proof} \rangle$

**lemma** *list-all2-eq* [id-simps]:

$\text{list-all2 } (op =) = (op =)$

$\langle \text{proof} \rangle$

**lemma** *reflp-list-all2*:

**assumes**  $\text{reflp } R$

**shows**  $\text{reflp } (\text{list-all2 } R)$

$\langle \text{proof} \rangle$

**lemma** *list-symp*:

**assumes**  $\text{symp } R$

**shows**  $\text{symp } (\text{list-all2 } R)$

$\langle \text{proof} \rangle$

**lemma** *list-transp*:

**assumes**  $\text{transp } R$

**shows**  $\text{transp } (\text{list-all2 } R)$

$\langle \text{proof} \rangle$

**lemma** *list-equivp* [quot-equiv]:

$\text{equivp } R \implies \text{equivp } (\text{list-all2 } R)$

$\langle \text{proof} \rangle$

**lemma** *list-quotient3* [quot-thm]:

**assumes** *Quotient3 R Abs Rep*  
**shows** *Quotient3 (list-all2 R) (map Abs) (map Rep)*  
 ⟨*proof*⟩

**declare** [[*mapQ3 list = (list-all2, list-quotient3)*]]

**lemma** *cons-prs [quot-preserve]*:  
**assumes** *q: Quotient3 R Abs Rep*  
**shows**  $(Rep \dashrightarrow (map\ Rep) \dashrightarrow (map\ Abs)) (op\ \#) = (op\ \#)$   
 ⟨*proof*⟩

**lemma** *cons-rsp [quot-respect]*:  
**assumes** *q: Quotient3 R Abs Rep*  
**shows**  $(R \equiv \Rightarrow list\text{-all2}\ R \equiv \Rightarrow list\text{-all2}\ R) (op\ \#) (op\ \#)$   
 ⟨*proof*⟩

**lemma** *nil-prs [quot-preserve]*:  
**assumes** *q: Quotient3 R Abs Rep*  
**shows**  $map\ Abs\ [] = []$   
 ⟨*proof*⟩

**lemma** *nil-rsp [quot-respect]*:  
**assumes** *q: Quotient3 R Abs Rep*  
**shows**  $list\text{-all2}\ R\ []\ []$   
 ⟨*proof*⟩

**lemma** *map-prs-aux*:  
**assumes** *a: Quotient3 R1 abs1 rep1*  
**and** *b: Quotient3 R2 abs2 rep2*  
**shows**  $(map\ abs2) (map\ ((abs1 \dashrightarrow rep2)\ f) (map\ rep1\ l)) = map\ f\ l$   
 ⟨*proof*⟩

**lemma** *map-prs [quot-preserve]*:  
**assumes** *a: Quotient3 R1 abs1 rep1*  
**and** *b: Quotient3 R2 abs2 rep2*  
**shows**  $((abs1 \dashrightarrow rep2) \dashrightarrow (map\ rep1) \dashrightarrow (map\ abs2))\ map = map$   
**and**  $((abs1 \dashrightarrow id) \dashrightarrow map\ rep1 \dashrightarrow id)\ map = map$   
 ⟨*proof*⟩

**lemma** *map-rsp [quot-respect]*:  
**assumes** *q1: Quotient3 R1 Abs1 Rep1*  
**and** *q2: Quotient3 R2 Abs2 Rep2*  
**shows**  $((R1 \equiv \Rightarrow R2) \equiv \Rightarrow (list\text{-all2}\ R1) \equiv \Rightarrow list\text{-all2}\ R2)\ map\ map$   
**and**  $((R1 \equiv \Rightarrow op\ =) \equiv \Rightarrow (list\text{-all2}\ R1) \equiv \Rightarrow op\ =)\ map\ map$   
 ⟨*proof*⟩

**lemma** *foldr-prs-aux*:  
**assumes** *a: Quotient3 R1 abs1 rep1*  
**and** *b: Quotient3 R2 abs2 rep2*

**shows**  $abs2$  (foldr (( $abs1 \dashrightarrow abs2 \dashrightarrow rep2$ )  $f$ ) (map  $rep1$   $l$ ) ( $rep2$   $e$ ))  
 = foldr  $f$   $l$   $e$   
 ⟨proof⟩

**lemma** *foldr-prs* [quot-preserve]:

**assumes**  $a$ : Quotient3  $R1$   $abs1$   $rep1$   
**and**  $b$ : Quotient3  $R2$   $abs2$   $rep2$   
**shows** (( $abs1 \dashrightarrow abs2 \dashrightarrow rep2$ )  $\dashrightarrow$  (map  $rep1$ )  $\dashrightarrow$   $rep2 \dashrightarrow$   
 $abs2$ ) foldr = foldr  
 ⟨proof⟩

**lemma** *foldl-prs-aux*:

**assumes**  $a$ : Quotient3  $R1$   $abs1$   $rep1$   
**and**  $b$ : Quotient3  $R2$   $abs2$   $rep2$   
**shows**  $abs1$  (foldl (( $abs1 \dashrightarrow abs2 \dashrightarrow rep1$ )  $f$ ) ( $rep1$   $e$ ) (map  $rep2$   $l$ ))  
 = foldl  $f$   $e$   $l$   
 ⟨proof⟩

**lemma** *foldl-prs* [quot-preserve]:

**assumes**  $a$ : Quotient3  $R1$   $abs1$   $rep1$   
**and**  $b$ : Quotient3  $R2$   $abs2$   $rep2$   
**shows** (( $abs1 \dashrightarrow abs2 \dashrightarrow rep1$ )  $\dashrightarrow$   $rep1 \dashrightarrow$  (map  $rep2$ )  $\dashrightarrow$   
 $abs1$ ) foldl = foldl  
 ⟨proof⟩

**lemma** *foldl-rsp*[quot-respect]:

**assumes**  $q1$ : Quotient3  $R1$   $Abs1$   $Rep1$   
**and**  $q2$ : Quotient3  $R2$   $Abs2$   $Rep2$   
**shows** (( $R1 \equiv \equiv R2 \equiv \equiv R1$ )  $\equiv \equiv$   $R1 \equiv \equiv$  list-all2  $R2 \equiv \equiv$   $R1$ )  
 foldl foldl  
 ⟨proof⟩

**lemma** *foldr-rsp*[quot-respect]:

**assumes**  $q1$ : Quotient3  $R1$   $Abs1$   $Rep1$   
**and**  $q2$ : Quotient3  $R2$   $Abs2$   $Rep2$   
**shows** (( $R1 \equiv \equiv R2 \equiv \equiv R2$ )  $\equiv \equiv$  list-all2  $R1 \equiv \equiv$   $R2 \equiv \equiv$   $R2$ )  
 foldr foldr  
 ⟨proof⟩

**lemma** *list-all2-rsp*:

**assumes**  $r$ :  $\forall x y. R x y \longrightarrow (\forall a b. R a b \longrightarrow S x a = T y b)$   
**and**  $l1$ : list-all2  $R x y$   
**and**  $l2$ : list-all2  $R a b$   
**shows** list-all2  $S x a = list-all2 T y b$   
 ⟨proof⟩

**lemma** [quot-respect]:

(( $R \equiv \equiv R \equiv \equiv op =$ )  $\equiv \equiv$  list-all2  $R \equiv \equiv$  list-all2  $R \equiv \equiv$   $op =$ )  
 list-all2 list-all2

*<proof>*

**lemma** [*quot-preserve*]:

**assumes** *a*: *Quotient3* *R* *abs1* *rep1*

**shows**  $((\text{abs1} \text{ ----> } \text{abs1} \text{ ----> } \text{id}) \text{ ----> } \text{map rep1} \text{ ----> } \text{map rep1} \text{ ----> } \text{id}) \text{ list-all2} = \text{list-all2}$

*<proof>*

**lemma** [*quot-preserve*]:

**assumes** *a*: *Quotient3* *R* *abs1* *rep1*

**shows**  $(\text{list-all2} ((\text{rep1} \text{ ----> } \text{rep1} \text{ ----> } \text{id}) \text{ R}) \text{ l } \text{m}) = (\text{l} = \text{m})$

*<proof>*

**lemma** *list-all2-find-element*:

**assumes** *a*:  $x \in \text{set } a$

**and** *b*: *list-all2* *R* *a* *b*

**shows**  $\exists y. (y \in \text{set } b \wedge R \text{ x } y)$

*<proof>*

**lemma** *list-all2-refl*:

**assumes** *a*:  $\bigwedge x y. R \text{ x } y = (R \text{ x} = R \text{ y})$

**shows** *list-all2* *R* *x* *x*

*<proof>*

end

## 80 Quotient infrastructure for the sum type

**theory** *Quotient-Sum*

**imports** *Quotient-Syntax*

**begin**

### 80.1 Rules for the Quotient package

**lemma** *rel-sum-map1*:

$\text{rel-sum } R1 \text{ R2 } (\text{map-sum } f1 \text{ f2 } x) \text{ y} \longleftrightarrow \text{rel-sum } (\lambda x. R1 (f1 \text{ x})) (\lambda x. R2 (f2 \text{ x})) \text{ x } y$

*<proof>*

**lemma** *rel-sum-map2*:

$\text{rel-sum } R1 \text{ R2 } x (\text{map-sum } f1 \text{ f2 } y) \longleftrightarrow \text{rel-sum } (\lambda x y. R1 \text{ x } (f1 \text{ y})) (\lambda x y. R2 \text{ x } (f2 \text{ y})) \text{ x } y$

*<proof>*

**lemma** *map-sum-id* [*id-simps*]:

*map-sum* *id* *id* = *id*

*<proof>*

**lemma** *rel-sum-eq* [*id-simps*]:

*rel-sum* (*op* =) (*op* =) = (*op* =)  
 ⟨*proof*⟩

**lemma** *reflp-rel-sum*:

*reflp* *R1*  $\implies$  *reflp* *R2*  $\implies$  *reflp* (*rel-sum* *R1* *R2*)  
 ⟨*proof*⟩

**lemma** *sum-symp*:

*symp* *R1*  $\implies$  *symp* *R2*  $\implies$  *symp* (*rel-sum* *R1* *R2*)  
 ⟨*proof*⟩

**lemma** *sum-transp*:

*transp* *R1*  $\implies$  *transp* *R2*  $\implies$  *transp* (*rel-sum* *R1* *R2*)  
 ⟨*proof*⟩

**lemma** *sum-equivp* [*quot-equiv*]:

*equivp* *R1*  $\implies$  *equivp* *R2*  $\implies$  *equivp* (*rel-sum* *R1* *R2*)  
 ⟨*proof*⟩

**lemma** *sum-quotient* [*quot-thm*]:

**assumes** *q1*: *Quotient3* *R1* *Abs1* *Rep1*  
**assumes** *q2*: *Quotient3* *R2* *Abs2* *Rep2*  
**shows** *Quotient3* (*rel-sum* *R1* *R2*) (*map-sum* *Abs1* *Abs2*) (*map-sum* *Rep1* *Rep2*)  
 ⟨*proof*⟩

**declare** [[*mapQ3* *sum* = (*rel-sum*, *sum-quotient*)]]

**lemma** *sum-Inl-rsp* [*quot-respect*]:

**assumes** *q1*: *Quotient3* *R1* *Abs1* *Rep1*  
**assumes** *q2*: *Quotient3* *R2* *Abs2* *Rep2*  
**shows** (*R1*  $\implies$  *rel-sum* *R1* *R2*) *Inl* *Inl*  
 ⟨*proof*⟩

**lemma** *sum-Inr-rsp* [*quot-respect*]:

**assumes** *q1*: *Quotient3* *R1* *Abs1* *Rep1*  
**assumes** *q2*: *Quotient3* *R2* *Abs2* *Rep2*  
**shows** (*R2*  $\implies$  *rel-sum* *R1* *R2*) *Inr* *Inr*  
 ⟨*proof*⟩

**lemma** *sum-Inl-prs* [*quot-preserve*]:

**assumes** *q1*: *Quotient3* *R1* *Abs1* *Rep1*  
**assumes** *q2*: *Quotient3* *R2* *Abs2* *Rep2*  
**shows** (*Rep1*  $\implies$  *map-sum* *Abs1* *Abs2*) *Inl* = *Inl*  
 ⟨*proof*⟩

**lemma** *sum-Inr-prs* [*quot-preserve*]:

**assumes** *q1*: *Quotient3* *R1* *Abs1* *Rep1*  
**assumes** *q2*: *Quotient3* *R2* *Abs2* *Rep2*  
**shows** (*Rep2*  $\implies$  *map-sum* *Abs1* *Abs2*) *Inr* = *Inr*

*<proof>*

**end**

## 81 Quotient types

**theory** *Quotient-Type*

**imports** *Main*

**begin**

We introduce the notion of quotient types over equivalence relations via type classes.

### 81.1 Equivalence relations and quotient types

Type class *equiv* models equivalence relations  $\sim :: 'a \Rightarrow 'a \Rightarrow bool$ .

**class** *equiv* =

**fixes** *equiv* ::  $'a \Rightarrow 'a \Rightarrow bool$  (**infixl**  $\sim$  50)

**class** *equiv* = *equiv* +

**assumes** *equiv-refl* [*intro*]:  $x \sim x$

**and** *equiv-trans* [*trans*]:  $x \sim y \Longrightarrow y \sim z \Longrightarrow x \sim z$

**and** *equiv-sym* [*sym*]:  $x \sim y \Longrightarrow y \sim x$

**begin**

**lemma** *equiv-not-sym* [*sym*]:  $\neg x \sim y \Longrightarrow \neg y \sim x$

*<proof>*

**lemma** *not-equiv-trans1* [*trans*]:  $\neg x \sim y \Longrightarrow y \sim z \Longrightarrow \neg x \sim z$

*<proof>*

**lemma** *not-equiv-trans2* [*trans*]:  $x \sim y \Longrightarrow \neg y \sim z \Longrightarrow \neg x \sim z$

*<proof>*

**end**

The quotient type  $'a \text{ quot}$  consists of all *equivalence classes* over elements of the base type  $'a$ .

**definition** (**in** *equiv*) *quot* =  $\{\{x. a \sim x\} \mid a. True\}$

**typedef** (**overloaded**)  $'a \text{ quot} = \text{quot} :: 'a::\text{equiv set set}$

*<proof>*

**lemma** *quotI* [*intro*]:  $\{x. a \sim x\} \in \text{quot}$

*<proof>*

**lemma** *quotE* [*elim*]:

**assumes**  $R \in \text{quot}$

**obtains  $a$  where**  $R = \{x. a \sim x\}$   
 ⟨*proof*⟩

Abstracted equivalence classes are the canonical representation of elements of a quotient type.

**definition**  $class :: 'a::equiv \Rightarrow 'a\ quot\ ([-])$   
**where**  $[a] = Abs-quot\ \{x. a \sim x\}$

**theorem**  $quot-exhaust: \exists a. A = [a]$   
 ⟨*proof*⟩

**lemma**  $quot-cases\ [cases\ type:\ quot]:$   
**obtains  $a$  where**  $A = [a]$   
 ⟨*proof*⟩

## 81.2 Equality on quotients

Equality of canonical quotient elements coincides with the original relation.

**theorem**  $quot-equality\ [iff?]: [a] = [b] \longleftrightarrow a \sim b$   
 ⟨*proof*⟩

## 81.3 Picking representing elements

**definition**  $pick :: 'a::equiv\ quot \Rightarrow 'a$   
**where**  $pick\ A = (SOME\ a. A = [a])$

**theorem**  $pick-equiv\ [intro]: pick\ [a] \sim a$   
 ⟨*proof*⟩

**theorem**  $pick-inverse\ [intro]: [pick\ A] = A$   
 ⟨*proof*⟩

The following rules support canonical function definitions on quotient types (with up to two arguments). Note that the stripped-down version without additional conditions is sufficient most of the time.

**theorem**  $quot-cond-function:$   
**assumes**  $eq: \bigwedge X\ Y. P\ X\ Y \Longrightarrow f\ X\ Y \equiv g\ (pick\ X)\ (pick\ Y)$   
**and**  $cong: \bigwedge x\ x'\ y\ y'. [x] = [x'] \Longrightarrow [y] = [y']$   
 $\Longrightarrow P\ [x]\ [y] \Longrightarrow P\ [x']\ [y'] \Longrightarrow g\ x\ y = g\ x'\ y'$   
**and**  $P: P\ [a]\ [b]$   
**shows**  $f\ [a]\ [b] = g\ a\ b$   
 ⟨*proof*⟩

**theorem**  $quot-function:$   
**assumes**  $\bigwedge X\ Y. f\ X\ Y \equiv g\ (pick\ X)\ (pick\ Y)$   
**and**  $\bigwedge x\ x'\ y\ y'. [x] = [x'] \Longrightarrow [y] = [y'] \Longrightarrow g\ x\ y = g\ x'\ y'$   
**shows**  $f\ [a]\ [b] = g\ a\ b$   
 ⟨*proof*⟩

**theorem** *quot-function'*:

$$(\bigwedge X Y. f X Y \equiv g (\text{pick } X) (\text{pick } Y)) \implies$$

$$(\bigwedge x x' y y'. x \sim x' \implies y \sim y' \implies g x y = g x' y') \implies$$

$$f [a] [b] = g a b$$

*<proof>*

**end**

## 82 Ramsey’s Theorem

**theory** *Ramsey*

**imports** *Infinite-Set*

**begin**

### 82.1 Finite Ramsey theorem(s)

To distinguish the finite and infinite ones, lower and upper case names are used.

This is the most basic version in terms of cliques and independent sets, i.e. the version for graphs and 2 colours.

**definition** *clique*  $V E \longleftrightarrow (\forall v \in V. \forall w \in V. v \neq w \longrightarrow \{v, w\} \in E)$

**definition** *indep*  $V E \longleftrightarrow (\forall v \in V. \forall w \in V. v \neq w \longrightarrow \{v, w\} \notin E)$

**lemma** *ramsey2*:

$$\exists r \geq 1. \forall (V :: 'a \text{ set}) (E :: 'a \text{ set set}). \text{finite } V \wedge \text{card } V \geq r \longrightarrow$$

$$(\exists R \subseteq V. \text{card } R = m \wedge \text{clique } R E \vee \text{card } R = n \wedge \text{indep } R E)$$

(is  $\exists r \geq 1. ?R m n r$ )

*<proof>*

### 82.2 Preliminaries

#### 82.2.1 “Axiom” of Dependent Choice

**primrec** *choice* ::  $('a \Rightarrow \text{bool}) \Rightarrow ('a \times 'a) \text{ set} \Rightarrow \text{nat} \Rightarrow 'a$

**where** — An integer-indexed chain of choices

*choice-0*:  $\text{choice } P r 0 = (\text{SOME } x. P x)$

| *choice-Suc*:  $\text{choice } P r (\text{Suc } n) = (\text{SOME } y. P y \wedge (\text{choice } P r n, y) \in r)$

**lemma** *choice-n*:

**assumes** *P0*:  $P x0$

**and** *Pstep*:  $\bigwedge x. P x \implies \exists y. P y \wedge (x, y) \in r$

**shows**  $P (\text{choice } P r n)$

*<proof>*

**lemma** *dependent-choice*:

**assumes** *trans*:  $\text{trans } r$

**and** *P0*:  $P x0$

**and** *Pstep*:  $\bigwedge x. P x \implies \exists y. P y \wedge (x, y) \in r$



**obtains**  $f :: nat \Rightarrow 'a$  **where**  $\bigwedge n. P (f n)$  **and**  $\bigwedge n m. n < m \implies (f n, f m) \in r$   
 ⟨proof⟩

### 82.2.2 Partitions of a Set

**definition**  $part :: nat \Rightarrow nat \Rightarrow 'a set \Rightarrow ('a set \Rightarrow nat) \Rightarrow bool$

— the function  $f$  partitions the  $r$ -subsets of the typically infinite set  $Y$  into  $s$  distinct categories.

**where**  $part\ r\ s\ Y\ f \longleftrightarrow (\forall X. X \subseteq Y \wedge finite\ X \wedge card\ X = r \longrightarrow f\ X < s)$

For induction, we decrease the value of  $r$  in partitions.

**lemma** *part-Suc-imp-part*:

$\llbracket infinite\ Y; part\ (Suc\ r)\ s\ Y\ f; y \in Y \rrbracket \implies part\ r\ s\ (Y - \{y\})\ (\lambda u. f\ (insert\ y\ u))$   
 ⟨proof⟩

**lemma** *part-subset*:  $part\ r\ s\ YY\ f \implies Y \subseteq YY \implies part\ r\ s\ Y\ f$

⟨proof⟩

### 82.3 Ramsey’s Theorem: Infinitary Version

**lemma** *Ramsey-induction*:

**fixes**  $s\ r :: nat$

**and**  $YY :: 'a set$

**and**  $f :: 'a set \Rightarrow nat$

**assumes**  $infinite\ YY\ part\ r\ s\ YY\ f$

**shows**  $\exists Y' t'. Y' \subseteq YY \wedge infinite\ Y' \wedge t' < s \wedge (\forall X. X \subseteq Y' \wedge finite\ X \wedge card\ X = r \longrightarrow f\ X = t')$

⟨proof⟩

**theorem** *Ramsey*:

**fixes**  $s\ r :: nat$

**and**  $Z :: 'a set$

**and**  $f :: 'a set \Rightarrow nat$

**shows**

$\llbracket infinite\ Z; \forall X. X \subseteq Z \wedge finite\ X \wedge card\ X = r \longrightarrow f\ X < s \rrbracket$

$\implies \exists Y t. Y \subseteq Z \wedge infinite\ Y \wedge t < s$

$\wedge (\forall X. X \subseteq Y \wedge finite\ X \wedge card\ X = r \longrightarrow f\ X = t)$

⟨proof⟩

**corollary** *Ramsey2*:

**fixes**  $s :: nat$

**and**  $Z :: 'a set$

**and**  $f :: 'a set \Rightarrow nat$

**assumes**  $infZ: infinite\ Z$

**and**  $part: \forall x \in Z. \forall y \in Z. x \neq y \longrightarrow f\ \{x, y\} < s$

**shows**  $\exists Y t. Y \subseteq Z \wedge \text{infinite } Y \wedge t < s \wedge (\forall x \in Y. \forall y \in Y. x \neq y \longrightarrow f \{x, y\} = t)$   
 <proof>

## 82.4 Disjunctive Well-Foundedness

An application of Ramsey’s theorem to program termination. See [2].

**definition** *disj-wf* ::  $('a \times 'a) \text{ set} \Rightarrow \text{bool}$   
**where** *disj-wf*  $r \longleftrightarrow (\exists T. \exists n::\text{nat}. (\forall i < n. \text{wf } (T i)) \wedge r = (\bigcup i < n. T i))$

**definition** *transition-idx* ::  $(\text{nat} \Rightarrow 'a) \Rightarrow (\text{nat} \Rightarrow ('a \times 'a) \text{ set}) \Rightarrow \text{nat set} \Rightarrow \text{nat}$   
**where** *transition-idx*  $s T A = (\text{LEAST } k. \exists i j. A = \{i, j\} \wedge i < j \wedge (s j, s i) \in T k)$

**lemma** *transition-idx-less*:  
**assumes**  $i < j (s j, s i) \in T k k < n$   
**shows** *transition-idx*  $s T \{i, j\} < n$   
 <proof>

**lemma** *transition-idx-in*:  
**assumes**  $i < j (s j, s i) \in T k$   
**shows**  $(s j, s i) \in T (\text{transition-idx } s T \{i, j\})$   
 <proof>

To be equal to the union of some well-founded relations is equivalent to being the subset of such a union.

**lemma** *disj-wf*: *disj-wf*  $r \longleftrightarrow (\exists T. \exists n::\text{nat}. (\forall i < n. \text{wf}(T i)) \wedge r \subseteq (\bigcup i < n. T i))$   
 <proof>

**theorem** *trans-disj-wf-implies-wf*:  
**assumes** *trans*  $r$   
**and** *disj-wf*  $r$   
**shows** *wf*  $r$   
 <proof>

**end**

## 83 Generic reflection and reification

**theory** *Reflection*  
**imports** *Main*  
**begin**  
 <ML>  
**end**

```

theory Rewrite
imports Main
begin

consts rewrite-HOLE :: 'a::{} (≡)

lemma eta-expand:
  fixes f :: 'a::{} ⇒ 'b::{}
  shows f ≡ λx. f x ⟨proof⟩

lemma rewr-imp:
  assumes PROP A ≡ PROP B
  shows (PROP A ⇒ PROP C) ≡ (PROP B ⇒ PROP C)
  ⟨proof⟩

lemma imp-cong-eq:
  (PROP A ⇒ (PROP B ⇒ PROP C) ≡ (PROP B' ⇒ PROP C')) ≡
  ((PROP B ⇒ PROP A ⇒ PROP C) ≡ (PROP B' ⇒ PROP A ⇒ PROP
  C'))
  ⟨proof⟩

⟨ML⟩

end

```

## 84 Assigning lengths to types by type classes

```

theory Type-Length
imports Numeral-Type
begin

```

The aim of this is to allow any type as index type, but to provide a default instantiation for numeral types. This independence requires some duplication with the definitions in `Numeral_Type.thy`.

```

class len0 =
  fixes len-of :: 'a itself ⇒ nat

syntax -type-length :: type ⇒ nat ((1LENGTH/(1'(-))))

translations LENGTH('a) ↦
  CONST len-of (CONST Pure.type :: 'a itself)

```

⟨ML⟩

Some theorems are only true on words with length greater 0.

```

class len = len0 +
  assumes len-gt-0 [iff]: 0 < LENGTH('a)

```

```

instantiation num0 and num1 :: len0
begin

definition len-num0: len-of (- :: num0 itself) = 0
definition len-num1: len-of (- :: num1 itself) = 1

instance ⟨proof⟩

end

instantiation bit0 and bit1 :: (len0) len0
begin

definition len-bit0: len-of (- :: 'a::len0 bit0 itself) = 2 * LENGTH('a)
definition len-bit1: len-of (- :: 'a::len0 bit1 itself) = 2 * LENGTH('a) + 1

instance ⟨proof⟩

end

lemmas len-of-numeral-defs [simp] = len-num0 len-num1 len-bit0 len-bit1

instance num1 :: len
  ⟨proof⟩
instance bit0 :: (len) len
  ⟨proof⟩
instance bit1 :: (len0) len
  ⟨proof⟩

end

```

## 85 Saturated arithmetic

```

theory Saturated
imports Numeral-Type Type-Length
begin

```

### 85.1 The type of saturated naturals

```

typedef (overloaded) ('a::len) sat = {.. len-of TYPE('a)}
morphisms nat-of Abs-sat
  ⟨proof⟩

lemma sat-eqI:
  nat-of m = nat-of n  $\implies$  m = n
  ⟨proof⟩

lemma sat-eq-iff:

```

$m = n \longleftrightarrow \text{nat-of } m = \text{nat-of } n$   
 ⟨proof⟩

**lemma** *Abs-sat-nat-of* [code abstype]:

$\text{Abs-sat } (\text{nat-of } n) = n$   
 ⟨proof⟩

**definition** *Abs-sat'* ::  $\text{nat} \Rightarrow 'a::\text{len } \text{sat}$  **where**

$\text{Abs-sat}' n = \text{Abs-sat } (\text{min } (\text{len-of } \text{TYPE}('a)) n)$

**lemma** *nat-of-Abs-sat'* [simp]:

$\text{nat-of } (\text{Abs-sat}' n :: ('a::\text{len}) \text{sat}) = \text{min } (\text{len-of } \text{TYPE}('a)) n$   
 ⟨proof⟩

**lemma** *nat-of-le-len-of* [simp]:

$\text{nat-of } (n :: ('a::\text{len}) \text{sat}) \leq \text{len-of } \text{TYPE}('a)$   
 ⟨proof⟩

**lemma** *min-len-of-nat-of* [simp]:

$\text{min } (\text{len-of } \text{TYPE}('a)) (\text{nat-of } (n::('a::\text{len}) \text{sat})) = \text{nat-of } n$   
 ⟨proof⟩

**lemma** *min-nat-of-len-of* [simp]:

$\text{min } (\text{nat-of } (n::('a::\text{len}) \text{sat})) (\text{len-of } \text{TYPE}('a)) = \text{nat-of } n$   
 ⟨proof⟩

**lemma** *Abs-sat'-nat-of* [simp]:

$\text{Abs-sat}' (\text{nat-of } n) = n$   
 ⟨proof⟩

**instantiation** *sat* ::  $(\text{len}) \text{linorder}$

**begin**

**definition**

*less-eq-sat-def*:  $x \leq y \longleftrightarrow \text{nat-of } x \leq \text{nat-of } y$

**definition**

*less-sat-def*:  $x < y \longleftrightarrow \text{nat-of } x < \text{nat-of } y$

**instance**

⟨proof⟩

**end**

**instantiation** *sat* ::  $(\text{len}) \{ \text{minus}, \text{comm-semiring-1} \}$

**begin**

**definition**

$0 = \text{Abs-sat}' 0$

**definition**

$$1 = \text{Abs-sat}' 1$$

**lemma** *nat-of-zero-sat* [*simp*, *code abstract*]:

$$\text{nat-of } 0 = 0$$

*<proof>*

**lemma** *nat-of-one-sat* [*simp*, *code abstract*]:

$$\text{nat-of } 1 = \text{min } 1 (\text{len-of TYPE}('a))$$

*<proof>*

**definition**

$$x + y = \text{Abs-sat}' (\text{nat-of } x + \text{nat-of } y)$$

**lemma** *nat-of-plus-sat* [*simp*, *code abstract*]:

$$\text{nat-of } (x + y) = \text{min } (\text{nat-of } x + \text{nat-of } y) (\text{len-of TYPE}('a))$$

*<proof>*

**definition**

$$x - y = \text{Abs-sat}' (\text{nat-of } x - \text{nat-of } y)$$

**lemma** *nat-of-minus-sat* [*simp*, *code abstract*]:

$$\text{nat-of } (x - y) = \text{nat-of } x - \text{nat-of } y$$

*<proof>*

**definition**

$$x * y = \text{Abs-sat}' (\text{nat-of } x * \text{nat-of } y)$$

**lemma** *nat-of-times-sat* [*simp*, *code abstract*]:

$$\text{nat-of } (x * y) = \text{min } (\text{nat-of } x * \text{nat-of } y) (\text{len-of TYPE}('a))$$

*<proof>*

**instance**

*<proof>*

**end**

**instantiation** *sat* :: (*len*) *ordered-comm-semiring*

**begin**

**instance**

*<proof>*

**end**

**lemma** *Abs-sat'-eq-of-nat*: *Abs-sat'* *n* = *of-nat* *n*

*<proof>*

**abbreviation**  $Sat :: nat \Rightarrow 'a::len\ sat$  **where**  
 $Sat \equiv of\text{-}nat$

**lemma**  $nat\text{-}of\text{-}Sat$  [*simp*]:  
 $nat\text{-}of (Sat\ n :: ('a::len)\ sat) = min (len\text{-}of\ TYPE('a))\ n$   
 $\langle proof \rangle$

**lemma** [*code-abbrev*]:  
 $of\text{-}nat (numeral\ k) = (numeral\ k :: 'a::len\ sat)$   
 $\langle proof \rangle$

**context**  
**begin**

**qualified definition**  $sat\text{-}of\text{-}nat :: nat \Rightarrow ('a::len)\ sat$   
**where** [*code-abbrev*]:  $sat\text{-}of\text{-}nat = of\text{-}nat$

**lemma** [*code abstract*]:  
 $nat\text{-}of (sat\text{-}of\text{-}nat\ n :: ('a::len)\ sat) = min (len\text{-}of\ TYPE('a))\ n$   
 $\langle proof \rangle$

**end**

**instance**  $sat :: (len)\ finite$   
 $\langle proof \rangle$

**instantiation**  $sat :: (len)\ equal$   
**begin**

**definition**  $HOL.equal\ A\ B \longleftrightarrow nat\text{-}of\ A = nat\text{-}of\ B$

**instance**  
 $\langle proof \rangle$

**end**

**instantiation**  $sat :: (len)\ \{bounded\text{-}lattice,\ distrib\text{-}lattice\}$   
**begin**

**definition**  $(inf :: 'a\ sat \Rightarrow 'a\ sat \Rightarrow 'a\ sat) = min$

**definition**  $(sup :: 'a\ sat \Rightarrow 'a\ sat \Rightarrow 'a\ sat) = max$

**definition**  $bot = (0 :: 'a\ sat)$

**definition**  $top = Sat (len\text{-}of\ TYPE('a))$

**instance**  
 $\langle proof \rangle$

**end**

```

instantiation sat :: (len) {Inf, Sup}
begin

definition Inf = (semilattice-neutr-set.F min top :: 'a sat set ⇒ 'a sat)
definition Sup = (semilattice-neutr-set.F max bot :: 'a sat set ⇒ 'a sat)

instance ⟨proof⟩

end

interpretation Inf-sat: semilattice-neutr-set min top :: 'a::len sat
  rewrites semilattice-neutr-set.F min (top :: 'a sat) = Inf
  ⟨proof⟩

interpretation Sup-sat: semilattice-neutr-set max bot :: 'a::len sat
  rewrites semilattice-neutr-set.F max (bot :: 'a sat) = Sup
  ⟨proof⟩

instance sat :: (len) complete-lattice
  ⟨proof⟩

end

```

## 86 State monad

```

theory State-Monad
imports Monad-Syntax
begin

datatype ('s, 'a) state = State (run-state: 's ⇒ ('a × 's))

lemma set-state-iff:  $x \in \text{set-state } m \iff (\exists s s'. \text{run-state } m s = (x, s'))$ 
  ⟨proof⟩

lemma pred-stateI[intro]:
  assumes  $\bigwedge a s s'. \text{run-state } m s = (a, s') \implies P a$ 
  shows pred-state P m
  ⟨proof⟩

lemma pred-stateD[dest]:
  assumes pred-state P m run-state m s = (a, s')
  shows P a
  ⟨proof⟩

lemma pred-state-run-state: pred-state P m  $\implies P (\text{fst } (\text{run-state } m s))$ 
  ⟨proof⟩

definition state-io-rel :: ('s ⇒ 's ⇒ bool) ⇒ ('s, 'a) state ⇒ bool where
  state-io-rel P m = ( $\forall s. P s (\text{snd } (\text{run-state } m s))$ )

```



**lemma** *state-io-relI*[intro]:  
 assumes  $\bigwedge a s s'. \text{run-state } m s = (a, s') \implies P s s'$   
 shows *state-io-rel*  $P m$   
 ⟨proof⟩

**lemma** *state-io-relD*[dest]:  
 assumes *state-io-rel*  $P m$   $\text{run-state } m s = (a, s')$   
 shows  $P s s'$   
 ⟨proof⟩

**lemma** *state-io-rel-mono*[mono]:  $P \leq Q \implies \text{state-io-rel } P \leq \text{state-io-rel } Q$   
 ⟨proof⟩

**lemma** *state-ext*:  
 assumes  $\bigwedge s. \text{run-state } m s = \text{run-state } n s$   
 shows  $m = n$   
 ⟨proof⟩

**context begin**

**qualified definition** *return* ::  $'a \Rightarrow ('s, 'a) \text{ state}$  **where**  
*return*  $a = \text{State } (\text{Pair } a)$

**lemma** *run-state-return*[simp]:  $\text{run-state } (\text{return } x) s = (x, s)$   
 ⟨proof⟩ **definition** *ap* ::  $('s, 'a \Rightarrow 'b) \text{ state} \Rightarrow ('s, 'a) \text{ state} \Rightarrow ('s, 'b) \text{ state}$  **where**  
*ap*  $f x = \text{State } (\lambda s. \text{case run-state } f s \text{ of } (g, s') \Rightarrow \text{case run-state } x s' \text{ of } (y, s'') \Rightarrow (g y, s''))$

**qualified definition** *bind* ::  $('s, 'a) \text{ state} \Rightarrow ('a \Rightarrow ('s, 'b) \text{ state}) \Rightarrow ('s, 'b) \text{ state}$   
**where**  
*bind*  $x f = \text{State } (\lambda s. \text{case run-state } x s \text{ of } (a, s') \Rightarrow \text{run-state } (f a) s')$

**adhoc-overloading** *Monad-Syntax.bind* *bind*

**lemma** *bind-left-identity*[simp]:  $\text{bind } (\text{return } a) f = f a$   
 ⟨proof⟩

**lemma** *bind-right-identity*[simp]:  $\text{bind } m \text{return} = m$   
 ⟨proof⟩

**lemma** *bind-assoc*[simp]:  $\text{bind } (\text{bind } m f) g = \text{bind } m (\lambda x. \text{bind } (f x) g)$   
 ⟨proof⟩

**lemma** *bind-predI*[intro]:  
 assumes *pred-state*  $(\lambda x. \text{pred-state } P (f x)) m$   
 shows *pred-state*  $P (\text{bind } m f)$   
 ⟨proof⟩ **definition** *get* ::  $('s, 's) \text{ state}$  **where**  
*get* =  $\text{State } (\lambda s. (s, s))$

**qualified definition**  $set :: 's \Rightarrow ('s, unit) \text{ state}$  **where**  
 $set\ s' = State\ (\lambda-. ((), s'))$

**lemma**  $get\ set[simp]$ :  $bind\ get\ set = return\ ()$   
 $\langle proof \rangle$

**lemma**  $set\ set[simp]$ :  $bind\ (set\ s)\ (\lambda-. set\ s') = set\ s'$   
 $\langle proof \rangle$

**lemma**  $get\ bind\ set[simp]$ :  $bind\ get\ (\lambda s. bind\ (set\ s)\ (f\ s)) = bind\ get\ (\lambda s. f\ s\ ())$   
 $\langle proof \rangle$

**lemma**  $get\ const[simp]$ :  $bind\ get\ (\lambda-. m) = m$   
 $\langle proof \rangle$

**fun**  $traverse\ list :: ('a \Rightarrow ('b, 'c) \text{ state}) \Rightarrow 'a \text{ list} \Rightarrow ('b, 'c \text{ list}) \text{ state}$  **where**  
 $traverse\ list - [] = return\ []$  |  
 $traverse\ list\ f\ (x \# xs) = do\ \{$   
 $\quad x \leftarrow f\ x;$   
 $\quad xs \leftarrow traverse\ list\ f\ xs;$   
 $\quad return\ (x \# xs)$   
 $\}$

**lemma**  $traverse\ list\ app[simp]$ :  $traverse\ list\ f\ (xs\ @\ ys) = do\ \{$   
 $\quad xs \leftarrow traverse\ list\ f\ xs;$   
 $\quad ys \leftarrow traverse\ list\ f\ ys;$   
 $\quad return\ (xs\ @\ ys)$   
 $\}$   
 $\langle proof \rangle$

**lemma**  $traverse\ comp[simp]$ :  $traverse\ list\ (g \circ f)\ xs = traverse\ list\ g\ (map\ f\ xs)$   
 $\langle proof \rangle$

**abbreviation**  $mono\ state :: ('s::preorder, 'a) \text{ state} \Rightarrow bool$  **where**  
 $mono\ state \equiv state\ io\ rel\ (op \leq)$

**abbreviation**  $strict\ mono\ state :: ('s::preorder, 'a) \text{ state} \Rightarrow bool$  **where**  
 $strict\ mono\ state \equiv state\ io\ rel\ (op <)$

**corollary**  $strict\ mono\ implies\ mono$ :  $strict\ mono\ state\ m \implies mono\ state\ m$   
 $\langle proof \rangle$

**lemma**  $return\ mono[simp, intro]$ :  $mono\ state\ (return\ x)$   
 $\langle proof \rangle$

**lemma**  $get\ mono[simp, intro]$ :  $mono\ state\ get$   
 $\langle proof \rangle$

**lemma** *put-mono*:

**assumes**  $\bigwedge x. s' \geq x$

**shows** *mono-state* (*set*  $s'$ )

*<proof>*

**lemma** *map-mono[intro]*: *mono-state*  $m \implies$  *mono-state* (*map-state*  $f$   $m$ )

*<proof>*

**lemma** *map-strict-mono[intro]*: *strict-mono-state*  $m \implies$  *strict-mono-state* (*map-state*  $f$   $m$ )

*<proof>*

**lemma** *bind-mono-strong*:

**assumes** *mono-state*  $m$

**assumes**  $\bigwedge x s s'. \text{run-state } m s = (x, s') \implies$  *mono-state* ( $f x$ )

**shows** *mono-state* (*bind*  $m$   $f$ )

*<proof>*

**lemma** *bind-strict-mono-strong1*:

**assumes** *mono-state*  $m$

**assumes**  $\bigwedge x s s'. \text{run-state } m s = (x, s') \implies$  *strict-mono-state* ( $f x$ )

**shows** *strict-mono-state* (*bind*  $m$   $f$ )

*<proof>*

**lemma** *bind-strict-mono-strong2*:

**assumes** *strict-mono-state*  $m$

**assumes**  $\bigwedge x s s'. \text{run-state } m s = (x, s') \implies$  *mono-state* ( $f x$ )

**shows** *strict-mono-state* (*bind*  $m$   $f$ )

*<proof>*

**corollary** *bind-strict-mono-strong*:

**assumes** *strict-mono-state*  $m$

**assumes**  $\bigwedge x s s'. \text{run-state } m s = (x, s') \implies$  *strict-mono-state* ( $f x$ )

**shows** *strict-mono-state* (*bind*  $m$   $f$ )

*<proof>* **definition** *update*  $:: ('s \Rightarrow 's) \Rightarrow ('s, \text{unit}) \text{ state where}$

*update*  $f = \text{bind get (set } \circ f)$

**lemma** *update-id[simp]*: *update*  $(\lambda x. x) = \text{return } ()$

*<proof>*

**lemma** *update-comp[simp]*: *bind* (*update*  $f$ )  $(\lambda-. \text{update } g) = \text{update } (g \circ f)$

*<proof>*

**lemma** *set-update[simp]*: *bind* (*set*  $s$ )  $(\lambda-. \text{update } f) = \text{set } (f s)$

*<proof>*

**lemma** *set-bind-update[simp]*: *bind* (*set*  $s$ )  $(\lambda-. \text{bind (update } f) g) = \text{bind (set (f$

$s)) g$

*<proof>*

```

lemma update-mono:
  assumes  $\bigwedge x. x \leq f x$ 
  shows mono-state (update f)
   $\langle$ proof $\rangle$ 

lemma update-strict-mono:
  assumes  $\bigwedge x. x < f x$ 
  shows strict-mono-state (update f)
   $\langle$ proof $\rangle$ 

end

end

```

## 87 Stirling numbers of first and second kind

```

theory Stirling
imports Main
begin

```

### 87.1 Stirling numbers of the second kind

```

fun Stirling :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat
  where
    Stirling 0 0 = 1
  | Stirling 0 (Suc k) = 0
  | Stirling (Suc n) 0 = 0
  | Stirling (Suc n) (Suc k) = Suc k * Stirling n (Suc k) + Stirling n k

lemma Stirling-1 [simp]: Stirling (Suc n) (Suc 0) = 1
   $\langle$ proof $\rangle$ 

lemma Stirling-less [simp]:  $n < k \implies$  Stirling n k = 0
   $\langle$ proof $\rangle$ 

lemma Stirling-same [simp]: Stirling n n = 1
   $\langle$ proof $\rangle$ 

lemma Stirling-2-2: Stirling (Suc (Suc n)) (Suc (Suc 0)) =  $2^{\text{Suc } n} - 1$ 
   $\langle$ proof $\rangle$ 

lemma Stirling-2: Stirling (Suc n) (Suc (Suc 0)) =  $2^n - 1$ 
   $\langle$ proof $\rangle$ 

```

### 87.2 Stirling numbers of the first kind

```

fun stirling :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat
  where

```

$$\begin{array}{l}
\text{stirling } 0 \ 0 = 1 \\
| \text{stirling } 0 \ (\text{Suc } k) = 0 \\
| \text{stirling } (\text{Suc } n) \ 0 = 0 \\
| \text{stirling } (\text{Suc } n) \ (\text{Suc } k) = n * \text{stirling } n \ (\text{Suc } k) + \text{stirling } n \ k
\end{array}$$

**lemma** *stirling-0* [simp]:  $n > 0 \implies \text{stirling } n \ 0 = 0$   
 ⟨proof⟩

**lemma** *stirling-less* [simp]:  $n < k \implies \text{stirling } n \ k = 0$   
 ⟨proof⟩

**lemma** *stirling-same* [simp]:  $\text{stirling } n \ n = 1$   
 ⟨proof⟩

**lemma** *stirling-Suc-n-1*:  $\text{stirling } (\text{Suc } n) \ (\text{Suc } 0) = \text{fact } n$   
 ⟨proof⟩

**lemma** *stirling-Suc-n-n*:  $\text{stirling } (\text{Suc } n) \ n = \text{Suc } n \ \text{choose } 2$   
 ⟨proof⟩

**lemma** *stirling-Suc-n-2*:  
 assumes  $n \geq \text{Suc } 0$   
 shows  $\text{stirling } (\text{Suc } n) \ 2 = (\sum k=1..n. \text{fact } n \ \text{div } k)$   
 ⟨proof⟩

**lemma** *of-nat-stirling-Suc-n-2*:  
 assumes  $n \geq \text{Suc } 0$   
 shows  $(\text{of-nat } (\text{stirling } (\text{Suc } n) \ 2) :: 'a :: \text{field-char-0}) = \text{fact } n * (\sum k=1..n. (1 / \text{of-nat } k))$   
 ⟨proof⟩

**lemma** *sum-stirling*:  $(\sum k \leq n. \text{stirling } n \ k) = \text{fact } n$   
 ⟨proof⟩

**lemma** *stirling-pochhammer*:  
 $(\sum k \leq n. \text{of-nat } (\text{stirling } n \ k) * x ^ k) = (\text{pochhammer } x \ n :: 'a :: \text{comm-semiring-1})$   
 ⟨proof⟩

A row of the Stirling number triangle

**definition** *stirling-row* ::  $\text{nat} \Rightarrow \text{nat list}$   
 where  $\text{stirling-row } n = [\text{stirling } n \ k. \ k \leftarrow [0..<\text{Suc } n]]$

**lemma** *nth-stirling-row*:  $k \leq n \implies \text{stirling-row } n \ ! \ k = \text{stirling } n \ k$   
 ⟨proof⟩

**lemma** *length-stirling-row* [simp]:  $\text{length } (\text{stirling-row } n) = \text{Suc } n$   
 ⟨proof⟩

**lemma** *stirling-row-nonempty* [simp]:  $\text{stirling-row } n \neq []$

*<proof>*

**lemma** *list-ext*:

**assumes**  $length\ xs = length\ ys$

**assumes**  $\bigwedge i. i < length\ xs \implies xs\ !\ i = ys\ !\ i$

**shows**  $xs = ys$

*<proof>*

### 87.2.1 Efficient code

Naively using the defining equations of the Stirling numbers of the first kind to compute them leads to exponential run time due to repeated computations. We can use memoisation to compute them row by row without repeating computations, at the cost of computing a few unneeded values.

As a bonus, this is very efficient for applications where an entire row of Stirling numbers is needed.

**definition** *zip-with-prev* ::  $('a \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'a\ list \Rightarrow 'b\ list$

**where**  $zip\text{-with-prev}\ f\ x\ xs = map\ (\lambda(x,y). f\ x\ y)\ (zip\ (x\ \#)\ xs)\ xs$

**lemma** *zip-with-prev-altdef*:

$zip\text{-with-prev}\ f\ x\ xs =$

$(if\ xs = []\ then\ []\ else\ f\ x\ (hd\ xs)\ \# [f\ (xs!\ i)\ (xs!\ (i+1)).\ i \leftarrow [0..<length\ xs - 1]])$

*<proof>*

**primrec** *stirling-row-aux*

**where**

$stirling\text{-row-aux}\ n\ y\ [] = [1]$

$|\ stirling\text{-row-aux}\ n\ y\ (x\ \#\ xs) = (y + n * x)\ \#\ stirling\text{-row-aux}\ n\ x\ xs$

**lemma** *stirling-row-aux-correct*:

$stirling\text{-row-aux}\ n\ y\ xs = zip\text{-with-prev}\ (\lambda a\ b. a + n * b)\ y\ xs\ @ [1]$

*<proof>*

**lemma** *stirling-row-code* [code]:

$stirling\text{-row}\ 0 = [1]$

$stirling\text{-row}\ (Suc\ n) = stirling\text{-row-aux}\ n\ 0\ (stirling\text{-row}\ n)$

*<proof>*

**lemma** *stirling-code* [code]:

$stirling\ n\ k =$

$(if\ k = 0\ then\ (if\ n = 0\ then\ 1\ else\ 0)$

$\ else\ if\ k > n\ then\ 0$

$\ else\ if\ k = n\ then\ 1$

$\ else\ stirling\text{-row}\ n\ !\ k)$

*<proof>*

end

## 88 A decision procedure for universal multivariate real arithmetic with addition, multiplication and ordering using semidefinite programming

```
theory Sum-of-Squares
imports Complex-Main
begin
```

$\langle ML \rangle$

end

## 89 A table-based implementation of the reflexive transitive closure

```
theory Transitive-Closure-Table
imports Main
begin
```

```
inductive rtrancl-path :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a  $\Rightarrow$  'a list  $\Rightarrow$  'a  $\Rightarrow$  bool
  for r :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
```

where

```
  base: rtrancl-path r x [] x
| step: r x y  $\Longrightarrow$  rtrancl-path r y ys z  $\Longrightarrow$  rtrancl-path r x (y # ys) z
```

```
lemma rtranclp-eq-rtrancl-path: r** x y  $\longleftrightarrow$  ( $\exists$  xs. rtrancl-path r x xs y)
 $\langle$ proof $\rangle$ 
```

```
lemma rtrancl-path-trans:
```

```
  assumes xy: rtrancl-path r x xs y
  and yz: rtrancl-path r y ys z
  shows rtrancl-path r x (xs @ ys) z  $\langle$ proof $\rangle$ 
```

```
lemma rtrancl-path-appendE:
```

```
  assumes xz: rtrancl-path r x (xs @ y # ys) z
  obtains rtrancl-path r x (xs @ [y]) y and rtrancl-path r y ys z
 $\langle$ proof $\rangle$ 
```

```
lemma rtrancl-path-distinct:
```

```
  assumes xy: rtrancl-path r x xs y
  obtains xs' where rtrancl-path r x xs' y and distinct (x # xs') and set xs'  $\subseteq$ 
  set xs
```

*<proof>*

**inductive** *rtrancl-tab* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ 'a ⇒ 'a ⇒ bool  
**for** *r* :: 'a ⇒ 'a ⇒ bool

**where**

*base*: *rtrancl-tab* *r* *xs* *x* *x*

| *step*:  $x \notin \text{set } xs \implies r \ x \ y \implies \text{rtrancl-tab } r \ (x \# \ xs) \ y \ z \implies \text{rtrancl-tab } r \ xs \ x \ z$

**lemma** *rtrancl-path-imp-rtrancl-tab*:

**assumes** *path*: *rtrancl-path* *r* *x* *xs* *y*

**and** *x*: *distinct* (*x* # *xs*)

**and** *ys*:  $(\{x\} \cup \text{set } xs) \cap \text{set } ys = \{\}$

**shows** *rtrancl-tab* *r* *ys* *x* *y*

*<proof>*

**lemma** *rtrancl-tab-imp-rtrancl-path*:

**assumes** *tab*: *rtrancl-tab* *r* *ys* *x* *y*

**obtains** *xs* **where** *rtrancl-path* *r* *x* *xs* *y*

*<proof>*

**lemma** *rtranclp-eq-rtrancl-tab-nil*:  $r^{**} \ x \ y \longleftrightarrow \text{rtrancl-tab } r \ [] \ x \ y$

*<proof>*

**declare** *rtranclp-rtrancl-eq* [*code del*]

**declare** *rtranclp-eq-rtrancl-tab-nil* [*THEN iffD2, code-pred-intro*]

**code-pred** *rtranclp*

*<proof>*

**lemma** *rtrancl-path-Range*:  $\llbracket \text{rtrancl-path } R \ x \ xs \ y; z \in \text{set } xs \rrbracket \implies \text{Rangep } R \ z$

*<proof>*

**lemma** *rtrancl-path-Range-end*:  $\llbracket \text{rtrancl-path } R \ x \ xs \ y; xs \neq [] \rrbracket \implies \text{Rangep } R \ y$

*<proof>*

**lemma** *rtrancl-path-nth*:

$\llbracket \text{rtrancl-path } R \ x \ xs \ y; i < \text{length } xs \rrbracket \implies R \ ((x \# \ xs) ! i) \ (xs ! i)$

*<proof>*

**lemma** *rtrancl-path-last*:  $\llbracket \text{rtrancl-path } R \ x \ xs \ y; xs \neq [] \rrbracket \implies \text{last } xs = y$

*<proof>*

**lemma** *rtrancl-path-mono*:

$\llbracket \text{rtrancl-path } R \ x \ p \ y; \bigwedge x \ y. R \ x \ y \implies S \ x \ y \rrbracket \implies \text{rtrancl-path } S \ x \ p \ y$

*<proof>*

**end**



## 90 Binary Tree

```

theory Tree
imports Main
begin

datatype 'a tree =
  Leaf ⟨⟩ |
  Node 'a tree (root-val: 'a) 'a tree ((1⟨-,/ -,/ -⟩))
datatype-compact tree

```

Can be seen as counting the number of leaves rather than nodes:

```

definition size1 :: 'a tree ⇒ nat where
size1 t = size t + 1

```

```

fun subtrees :: 'a tree ⇒ 'a tree set where
subtrees ⟨⟩ = {⟨⟩} |
subtrees ⟨l, a, r⟩ = insert ⟨l, a, r⟩ (subtrees l ∪ subtrees r)

```

```

fun mirror :: 'a tree ⇒ 'a tree where
mirror ⟨⟩ = Leaf |
mirror ⟨l,x,r⟩ = ⟨mirror r, x, mirror l⟩

```

```

class height = fixes height :: 'a ⇒ nat

```

```

instantiation tree :: (type)height
begin

```

```

fun height-tree :: 'a tree => nat where
height Leaf = 0 |
height (Node t1 a t2) = max (height t1) (height t2) + 1

```

```

instance ⟨proof⟩

```

```

end

```

```

fun min-height :: 'a tree ⇒ nat where
min-height Leaf = 0 |
min-height (Node l - r) = min (min-height l) (min-height r) + 1

```

```

fun complete :: 'a tree ⇒ bool where
complete Leaf = True |
complete (Node l x r) = (complete l ∧ complete r ∧ height l = height r)

```

```

definition balanced :: 'a tree ⇒ bool where
balanced t = (height t - min-height t ≤ 1)

```

Weight balanced:

```

fun wbalanced :: 'a tree ⇒ bool where
wbalanced Leaf = True |

```

$wbalanced (Node\ l\ x\ r) = (abs(int(size\ l) - int(size\ r)) \leq 1 \wedge wbalanced\ l \wedge wbalanced\ r)$

Internal path length:

**fun** *ipl* :: 'a tree  $\Rightarrow$  nat **where**  
*ipl* Leaf = 0 |  
*ipl* (Node l - r) = *ipl* l + size l + *ipl* r + size r

**fun** *preorder* :: 'a tree  $\Rightarrow$  'a list **where**  
*preorder*  $\langle \rangle$  = [] |  
*preorder*  $\langle l, x, r \rangle$  = x # *preorder* l @ *preorder* r

**fun** *inorder* :: 'a tree  $\Rightarrow$  'a list **where**  
*inorder*  $\langle \rangle$  = [] |  
*inorder*  $\langle l, x, r \rangle$  = *inorder* l @ [x] @ *inorder* r

A linear version avoiding append:

**fun** *inorder2* :: 'a tree  $\Rightarrow$  'a list  $\Rightarrow$  'a list **where**  
*inorder2*  $\langle \rangle$  xs = xs |  
*inorder2*  $\langle l, x, r \rangle$  xs = *inorder2* l (x # *inorder2* r xs)

**fun** *postorder* :: 'a tree  $\Rightarrow$  'a list **where**  
*postorder*  $\langle \rangle$  = [] |  
*postorder*  $\langle l, x, r \rangle$  = *postorder* l @ *postorder* r @ [x]

Binary Search Tree:

**fun** *bst-wrt* :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a tree  $\Rightarrow$  bool **where**  
*bst-wrt* P  $\langle \rangle$   $\longleftrightarrow$  True |  
*bst-wrt* P  $\langle l, a, r \rangle$   $\longleftrightarrow$   
*bst-wrt* P l  $\wedge$  *bst-wrt* P r  $\wedge$  ( $\forall x \in set-tree\ l. P\ x\ a$ )  $\wedge$  ( $\forall x \in set-tree\ r. P\ a\ x$ )

**abbreviation** *bst* :: ('a::linorder) tree  $\Rightarrow$  bool **where**  
*bst*  $\equiv$  *bst-wrt* (op <)

**fun** (in *linorder*) *heap* :: 'a tree  $\Rightarrow$  bool **where**  
*heap* Leaf = True |  
*heap* (Node l m r) =  
(*heap* l  $\wedge$  *heap* r  $\wedge$  ( $\forall x \in set-tree\ l \cup set-tree\ r. m \leq x$ ))

### 90.1 map-tree

**lemma** *eq-map-tree-Leaf[simp]*: *map-tree* f t = Leaf  $\longleftrightarrow$  t = Leaf  
 $\langle proof \rangle$

**lemma** *eq-Leaf-map-tree[simp]*: Leaf = *map-tree* f t  $\longleftrightarrow$  t = Leaf  
 $\langle proof \rangle$

### 90.2 size

**lemma** *size1-simps[simp]*:

$size1 \langle \rangle = 1$   
 $size1 \langle l, x, r \rangle = size1 l + size1 r$   
 ⟨proof⟩

**lemma** *size1-ge0[simp]*:  $0 < size1 t$   
 ⟨proof⟩

**lemma** *eq-size-0[simp]*:  $size t = 0 \longleftrightarrow t = Leaf$   
 ⟨proof⟩

**lemma** *eq-0-size[simp]*:  $0 = size t \longleftrightarrow t = Leaf$   
 ⟨proof⟩

**lemma** *neq-Leaf-iff*:  $(t \neq \langle \rangle) = (\exists l a r. t = \langle l, a, r \rangle)$   
 ⟨proof⟩

**lemma** *size-map-tree[simp]*:  $size (map-tree f t) = size t$   
 ⟨proof⟩

**lemma** *size1-map-tree[simp]*:  $size1 (map-tree f t) = size1 t$   
 ⟨proof⟩

### 90.3 set-tree

**lemma** *eq-set-tree-empty[simp]*:  $set-tree t = \{\} \longleftrightarrow t = Leaf$   
 ⟨proof⟩

**lemma** *eq-empty-set-tree[simp]*:  $\{\} = set-tree t \longleftrightarrow t = Leaf$   
 ⟨proof⟩

**lemma** *finite-set-tree[simp]*:  $finite(set-tree t)$   
 ⟨proof⟩

### 90.4 subtrees

**lemma** *neq-subtrees-empty[simp]*:  $subtrees t \neq \{\}$   
 ⟨proof⟩

**lemma** *neq-empty-subtrees[simp]*:  $\{\} \neq subtrees t$   
 ⟨proof⟩

**lemma** *set-treeE*:  $a \in set-tree t \implies \exists l r. \langle l, a, r \rangle \in subtrees t$   
 ⟨proof⟩

**lemma** *Node-notin-subtrees-if[simp]*:  $a \notin set-tree t \implies Node l a r \notin subtrees t$   
 ⟨proof⟩

**lemma** *in-set-tree-if*:  $\langle l, a, r \rangle \in subtrees t \implies a \in set-tree t$   
 ⟨proof⟩

**90.5** *height and min-height*

**lemma** *eq-height-0[simp]*:  $\text{height } t = 0 \longleftrightarrow t = \text{Leaf}$   
 ⟨proof⟩

**lemma** *eq-0-height[simp]*:  $0 = \text{height } t \longleftrightarrow t = \text{Leaf}$   
 ⟨proof⟩

**lemma** *height-map-tree[simp]*:  $\text{height } (\text{map-tree } f \ t) = \text{height } t$   
 ⟨proof⟩

**lemma** *height-le-size-tree*:  $\text{height } t \leq \text{size } (t::'a \ \text{tree})$   
 ⟨proof⟩

**lemma** *size1-height*:  $\text{size1 } t \leq 2 \wedge \text{height } (t::'a \ \text{tree})$   
 ⟨proof⟩

**corollary** *size-height*:  $\text{size } t \leq 2 \wedge \text{height } (t::'a \ \text{tree}) - 1$   
 ⟨proof⟩

**lemma** *height-subtrees*:  $s \in \text{subtrees } t \implies \text{height } s \leq \text{height } t$   
 ⟨proof⟩

**lemma** *min-height-le-height*:  $\text{min-height } t \leq \text{height } t$   
 ⟨proof⟩

**lemma** *min-height-map-tree[simp]*:  $\text{min-height } (\text{map-tree } f \ t) = \text{min-height } t$   
 ⟨proof⟩

**lemma** *min-height-size1*:  $2 \wedge \text{min-height } t \leq \text{size1 } t$   
 ⟨proof⟩

**90.6** *complete*

**lemma** *complete-iff-height*:  $\text{complete } t \longleftrightarrow (\text{min-height } t = \text{height } t)$   
 ⟨proof⟩

**lemma** *size1-if-complete*:  $\text{complete } t \implies \text{size1 } t = 2 \wedge \text{height } t$   
 ⟨proof⟩

**lemma** *size-if-complete*:  $\text{complete } t \implies \text{size } t = 2 \wedge \text{height } t - 1$   
 ⟨proof⟩

**lemma** *complete-if-size1-height*:  $\text{size1 } t = 2 \wedge \text{height } t \implies \text{complete } t$   
 ⟨proof⟩

The following proof involves  $\geq / >$  chains rather than the standard  $\leq / <$  chains. To chain the elements together the transitivity rules *xtrans* are used.

**lemma** *complete-if-size1-min-height*:  $\text{size1 } t = 2 \wedge \text{min-height } t \implies \text{complete } t$

*<proof>*

**lemma** *complete-iff-size1*:  $complete\ t \longleftrightarrow size1\ t = 2^{\wedge} height\ t$   
*<proof>*

Better bounds for incomplete trees:

**lemma** *size1-height-if-incomplete*:  
 $\neg complete\ t \implies size1\ t < 2^{\wedge} height\ t$   
*<proof>*

**lemma** *min-height-size1-if-incomplete*:  
 $\neg complete\ t \implies 2^{\wedge} min-height\ t < size1\ t$   
*<proof>*

### 90.7 *balanced*

**lemma** *balanced-subtreeL*:  $balanced\ (Node\ l\ x\ r) \implies balanced\ l$   
*<proof>*

**lemma** *balanced-subtreeR*:  $balanced\ (Node\ l\ x\ r) \implies balanced\ r$   
*<proof>*

**lemma** *balanced-subtrees*:  $\llbracket balanced\ t; s \in subtrees\ t \rrbracket \implies balanced\ s$   
*<proof>*

Balanced trees have optimal height:

**lemma** *balanced-optimal*:  
**fixes**  $t :: 'a\ tree$  **and**  $t' :: 'b\ tree$   
**assumes**  $balanced\ t$   $size\ t \leq size\ t'$  **shows**  $height\ t \leq height\ t'$   
*<proof>*

### 90.8 *wbalanced*

**lemma** *wbalanced-subtrees*:  $\llbracket wbalanced\ t; s \in subtrees\ t \rrbracket \implies wbalanced\ s$   
*<proof>*

### 90.9 *ipl*

The internal path length of a tree:

**lemma** *ipl-if-complete-int*:  
 $complete\ t \implies int(ipl\ t) = (int(height\ t) - 2) * 2^{\wedge}(height\ t) + 2$   
*<proof>*

### 90.10 List of entries

**lemma** *eq-inorder-Nil[simp]*:  $inorder\ t = [] \longleftrightarrow t = Leaf$   
*<proof>*

**lemma** *eq-Nil-inorder[simp]*:  $[] = inorder\ t \longleftrightarrow t = Leaf$

*<proof>*

**lemma** *set-inorder[simp]: set (inorder t) = set-tree t*  
*<proof>*

**lemma** *set-preorder[simp]: set (preorder t) = set-tree t*  
*<proof>*

**lemma** *set-postorder[simp]: set (postorder t) = set-tree t*  
*<proof>*

**lemma** *length-preorder[simp]: length (preorder t) = size t*  
*<proof>*

**lemma** *length-inorder[simp]: length (inorder t) = size t*  
*<proof>*

**lemma** *length-postorder[simp]: length (postorder t) = size t*  
*<proof>*

**lemma** *preorder-map: preorder (map-tree f t) = map f (preorder t)*  
*<proof>*

**lemma** *inorder-map: inorder (map-tree f t) = map f (inorder t)*  
*<proof>*

**lemma** *postorder-map: postorder (map-tree f t) = map f (postorder t)*  
*<proof>*

**lemma** *inorder2-inorder: inorder2 t xs = inorder t @ xs*  
*<proof>*

## 90.11 Binary Search Tree

**lemma** *bst-wrt-mono: ( $\bigwedge x y. P x y \implies Q x y$ )  $\implies$  bst-wrt P t  $\implies$  bst-wrt Q t*  
*<proof>*

**lemma** *bst-wrt-le-if-bst: bst t  $\implies$  bst-wrt (op  $\leq$ ) t*  
*<proof>*

**lemma** *bst-wrt-le-iff-sorted: bst-wrt (op  $\leq$ ) t  $\longleftrightarrow$  sorted (inorder t)*  
*<proof>*

**lemma** *bst-iff-sorted-wrt-less: bst t  $\longleftrightarrow$  sorted-wrt (op  $<$ ) (inorder t)*  
*<proof>*

**90.12** *heap*

**90.13** *mirror*

**lemma** *mirror-Leaf[simp]*:  $\text{mirror } t = \langle \rangle \longleftrightarrow t = \langle \rangle$   
*<proof>*

**lemma** *Leaf-mirror[simp]*:  $\langle \rangle = \text{mirror } t \longleftrightarrow t = \langle \rangle$   
*<proof>*

**lemma** *size-mirror[simp]*:  $\text{size}(\text{mirror } t) = \text{size } t$   
*<proof>*

**lemma** *size1-mirror[simp]*:  $\text{size1}(\text{mirror } t) = \text{size1 } t$   
*<proof>*

**lemma** *height-mirror[simp]*:  $\text{height}(\text{mirror } t) = \text{height } t$   
*<proof>*

**lemma** *inorder-mirror*:  $\text{inorder}(\text{mirror } t) = \text{rev}(\text{inorder } t)$   
*<proof>*

**lemma** *map-mirror*:  $\text{map-tree } f (\text{mirror } t) = \text{mirror } (\text{map-tree } f t)$   
*<proof>*

**lemma** *mirror-mirror[simp]*:  $\text{mirror}(\text{mirror } t) = t$   
*<proof>*

**end**

## 91 Multiset of Elements of Binary Tree

**theory** *Tree-Multiset*  
**imports** *Multiset Tree*  
**begin**

Kept separate from theory *Tree* to avoid importing all of theory *Multiset* into *Tree*. Should be merged if *Multiset* ever becomes part of *Main*.

**fun** *mset-tree* :: 'a tree  $\Rightarrow$  'a multiset **where**  
*mset-tree Leaf* = {#} |  
*mset-tree (Node l a r)* = {#a#} + *mset-tree l* + *mset-tree r*

**fun** *subtrees-mset* :: 'a tree  $\Rightarrow$  'a tree multiset **where**  
*subtrees-mset Leaf* = {#Leaf#} |  
*subtrees-mset (Node l x r)* = *add-mset (Node l x r) (subtrees-mset l + subtrees-mset r)*

**lemma** *mset-tree-empty-iff[simp]*:  $\text{mset-tree } t = \{\#\} \longleftrightarrow t = \text{Leaf}$

⟨proof⟩

**lemma** *set-mset-tree[simp]*:  $set\text{-}mset (mset\text{-}tree\ t) = set\text{-}tree\ t$   
 ⟨proof⟩

**lemma** *size-mset-tree[simp]*:  $size(mset\text{-}tree\ t) = size\ t$   
 ⟨proof⟩

**lemma** *mset-map-tree*:  $mset\text{-}tree (map\text{-}tree\ f\ t) = image\text{-}mset\ f (mset\text{-}tree\ t)$   
 ⟨proof⟩

**lemma** *mset-iff-set-tree*:  $x \in\# mset\text{-}tree\ t \longleftrightarrow x \in set\text{-}tree\ t$   
 ⟨proof⟩

**lemma** *mset-preorder[simp]*:  $mset (preorder\ t) = mset\text{-}tree\ t$   
 ⟨proof⟩

**lemma** *mset-inorder[simp]*:  $mset (inorder\ t) = mset\text{-}tree\ t$   
 ⟨proof⟩

**lemma** *map-mirror*:  $mset\text{-}tree (mirror\ t) = mset\text{-}tree\ t$   
 ⟨proof⟩

**lemma** *in-subtrees-mset-iff[simp]*:  $s \in\# subtrees\text{-}mset\ t \longleftrightarrow s \in subtrees\ t$   
 ⟨proof⟩

**end**

**theory** *Tree-Real*

**imports**

*Complex-Main*

*Tree*

**begin**

This theory is separate from *Tree* because the former is discrete and builds on *Main* whereas this theory builds on *Complex-Main*.

**lemma** *size1-height-log*:  $\log\ 2 (size1\ t) \leq height\ t$   
 ⟨proof⟩

**lemma** *min-height-size1-log*:  $min\text{-}height\ t \leq \log\ 2 (size1\ t)$   
 ⟨proof⟩

**lemma** *size1-log-if-complete*:  $complete\ t \implies height\ t = \log\ 2 (size1\ t)$   
 ⟨proof⟩

**lemma** *min-height-size1-log-if-incomplete*:  
 $\neg complete\ t \implies min\text{-}height\ t < \log\ 2 (size1\ t)$   
 ⟨proof⟩



**lemma** *min-height-balanced*: **assumes** *balanced t*  
**shows**  $\text{min-height } t = \text{nat}(\text{floor}(\log 2 (\text{size1 } t)))$   
 $\langle \text{proof} \rangle$

**lemma** *height-balanced*: **assumes** *balanced t*  
**shows**  $\text{height } t = \text{nat}(\text{ceiling}(\log 2 (\text{size1 } t)))$   
 $\langle \text{proof} \rangle$

**lemma** *balanced-Node-if-wbal1*:  
**assumes** *balanced l balanced r size l = size r + 1*  
**shows** *balanced ⟨l, x, r⟩*  
 $\langle \text{proof} \rangle$

**lemma** *balanced-sym*: *balanced ⟨l, x, r⟩  $\implies$  balanced ⟨r, y, l⟩*  
 $\langle \text{proof} \rangle$

**lemma** *balanced-Node-if-wbal2*:  
**assumes** *balanced l balanced r abs(int(size l) - int(size r))  $\leq$  1*  
**shows** *balanced ⟨l, x, r⟩*  
 $\langle \text{proof} \rangle$

**lemma** *balanced-if-wbalanced*: *wbalanced t  $\implies$  balanced t*  
 $\langle \text{proof} \rangle$

**end**

## 92 Unordered pairs

**theory** *Uprod* **imports** *Main* **begin**

**typedef**  $(\text{'a}, \text{'b})$  *commute* =  $\{f :: \text{'a} \Rightarrow \text{'a} \Rightarrow \text{'b}. \forall x y. f x y = f y x\}$   
**morphisms** *apply-commute* *Abs-commute*  
 $\langle \text{proof} \rangle$

**setup-lifting** *type-definition-commute*

**lemma** *apply-commute-commute*: *apply-commute f x y = apply-commute f y x*  
 $\langle \text{proof} \rangle$

**context** **includes** *lifting-syntax* **begin**

**lift-definition** *rel-commute* ::  $(\text{'a} \Rightarrow \text{'b} \Rightarrow \text{bool}) \Rightarrow (\text{'c} \Rightarrow \text{'d} \Rightarrow \text{bool}) \Rightarrow (\text{'a}, \text{'c})$   
 $\text{commute} \Rightarrow (\text{'b}, \text{'d}) \text{commute} \Rightarrow \text{bool}$   
**is**  $\lambda A B. A \implies B \implies A \implies B$   $\langle \text{proof} \rangle$

**end**

**definition**  $eq\text{-upair} :: ('a \times 'a) \Rightarrow ('a \times 'a) \Rightarrow bool$   
**where**  $eq\text{-upair} = (\lambda(a, b) (c, d). a = c \wedge b = d \vee a = d \wedge b = c)$

**lemma**  $eq\text{-upair-simps}$  [simp]:  
 $eq\text{-upair} (a, b) (c, d) \longleftrightarrow a = c \wedge b = d \vee a = d \wedge b = c$   
 ⟨proof⟩

**lemma**  $equivp\text{-eq-upair}$ :  $equivp\ eq\text{-upair}$   
 ⟨proof⟩

**quotient-type**  $'a\ uprod = 'a \times 'a / eq\text{-upair}$  ⟨proof⟩

**lift-definition**  $Upair :: 'a \Rightarrow 'a \Rightarrow 'a\ uprod$  **is**  $Pair$  **parametric**  $Pair\text{-transfer}$ [of  $A\ A$  for  $A$ ] ⟨proof⟩

**lemma**  $uprod\text{-exhaust}$  [case-names  $Upair$ , cases type:  $uprod$ ]:  
**obtains**  $a\ b$  **where**  $x = Upair\ a\ b$   
 ⟨proof⟩

**lemma**  $Upair\text{-inject}$  [simp]:  $Upair\ a\ b = Upair\ c\ d \longleftrightarrow a = c \wedge b = d \vee a = d \wedge b = c$   
 ⟨proof⟩

**code-datatype**  $Upair$

**lift-definition**  $case\text{-uprod} :: ('a, 'b)\ commute \Rightarrow 'a\ uprod \Rightarrow 'b$  **is**  $case\text{-prod}$   
**parametric**  $case\text{-prod-transfer}$ [of  $A\ A$  for  $A$ ] ⟨proof⟩

**lemma**  $case\text{-uprod-simps}$  [simp, code]:  $case\text{-uprod}\ f\ (Upair\ x\ y) = apply\text{-commute}\ f\ x\ y$   
 ⟨proof⟩

**lemma**  $uprod\text{-split}$ :  $P\ (case\text{-uprod}\ f\ x) \longleftrightarrow (\forall a\ b. x = Upair\ a\ b \longrightarrow P\ (apply\text{-commute}\ f\ a\ b))$   
 ⟨proof⟩

**lemma**  $uprod\text{-split-asm}$ :  $P\ (case\text{-uprod}\ f\ x) \longleftrightarrow \neg (\exists a\ b. x = Upair\ a\ b \wedge \neg P\ (apply\text{-commute}\ f\ a\ b))$   
 ⟨proof⟩

**lift-definition**  $not\text{-equal} :: ('a, bool)\ commute$  **is**  $op \neq$  ⟨proof⟩

**lemma**  $apply\text{-not-equal}$  [simp]:  $apply\text{-commute}\ not\text{-equal}\ x\ y \longleftrightarrow x \neq y$   
 ⟨proof⟩

**definition**  $proper\text{-uprod} :: 'a\ uprod \Rightarrow bool$   
**where**  $proper\text{-uprod} = case\text{-uprod}\ not\text{-equal}$

**lemma**  $proper\text{-uprod-simps}$  [simp, code]:  $proper\text{-uprod}\ (Upair\ x\ y) \longleftrightarrow x \neq y$

*<proof>*

**context includes** *lifting-syntax* **begin**

**private lemma** *set-uprod-parametric'*:

$(rel\text{-}prod\ A\ A\ ==\Rightarrow\ rel\text{-}set\ A)\ (\lambda(a, b). \{a, b\})\ (\lambda(a, b). \{a, b\})$   
*<proof>*

**lift-definition** *set-uprod* :: *'a uprod*  $\Rightarrow$  *'a set is*  $\lambda(a, b). \{a, b\}$

**parametric** *set-uprod-parametric'* *<proof>*

**lemma** *set-uprod-simps* [*simp, code*]: *set-uprod* (*Upair* *x y*) = {*x, y*}

*<proof>*

**lemma** *finite-set-uprod* [*simp*]: *finite* (*set-uprod* *x*)

*<proof>* **lemma** *map-uprod-parametric'*:

$((A\ ==\Rightarrow\ B)\ ==\Rightarrow\ rel\text{-}prod\ A\ A\ ==\Rightarrow\ rel\text{-}prod\ B\ B)\ (\lambda f. map\text{-}prod\ f\ f)$   
 $(\lambda f. map\text{-}prod\ f\ f)$

*<proof>*

**lift-definition** *map-uprod* :: (*'a*  $\Rightarrow$  *'b*)  $\Rightarrow$  *'a uprod*  $\Rightarrow$  *'b uprod is*  $\lambda f. map\text{-}prod\ f\ f$

**parametric** *map-uprod-parametric'* *<proof>*

**lemma** *map-uprod-simps* [*simp, code*]: *map-uprod* *f* (*Upair* *x y*) = *Upair* (*f* *x*) (*f* *y*)

*<proof>* **lemma** *rel-uprod-transfer'*:

$((A\ ==\Rightarrow\ B)\ ==\Rightarrow\ op\ =)\ ==\Rightarrow\ rel\text{-}prod\ A\ A\ ==\Rightarrow\ rel\text{-}prod\ B\ B\ ==\Rightarrow\ op\ =)$

$(\lambda R\ (a, b)\ (c, d). R\ a\ c\ \wedge\ R\ b\ d\ \vee\ R\ a\ d\ \wedge\ R\ b\ c)\ (\lambda R\ (a, b)\ (c, d). R\ a\ c\ \wedge\ R\ b\ d\ \vee\ R\ a\ d\ \wedge\ R\ b\ c)$

*<proof>*

**lift-definition** *rel-uprod* :: (*'a*  $\Rightarrow$  *'b*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'a uprod*  $\Rightarrow$  *'b uprod*  $\Rightarrow$  *bool*

**is**  $\lambda R\ (a, b)\ (c, d). R\ a\ c\ \wedge\ R\ b\ d\ \vee\ R\ a\ d\ \wedge\ R\ b\ c$  **parametric** *rel-uprod-transfer'*

*<proof>*

**lemma** *rel-uprod-simps* [*simp, code*]:

*rel-uprod* *R* (*Upair* *a b*) (*Upair* *c d*)  $\longleftrightarrow R\ a\ c\ \wedge\ R\ b\ d\ \vee\ R\ a\ d\ \wedge\ R\ b\ c$

*<proof>*

**lemma** *Upair-parametric* [*transfer-rule*]: ( $A\ ==\Rightarrow\ A\ ==\Rightarrow\ rel\text{-}uprod\ A$ ) *Upair*

*Upair*

*<proof>*

**lemma** *case-uprod-parametric* [*transfer-rule*]:

$(rel\text{-}commute\ A\ B\ ==\Rightarrow\ rel\text{-}uprod\ A\ ==\Rightarrow\ B)\ case\text{-}uprod\ case\text{-}uprod$

*<proof>*

**end**

**bnf** *uprod*: 'a *uprod*  
*map*: map-*uprod*  
*sets*: set-*uprod*  
*bd*: natLeq  
*rel*: rel-*uprod*  
 ⟨*proof*⟩

**lemma** *pred-uprod-code* [*simp*, *code*]: *pred-uprod* *P* (*Upair* *x y*)  $\longleftrightarrow$  *P* *x*  $\wedge$  *P* *y*  
 ⟨*proof*⟩

**instantiation** *uprod* :: (*equal*) *equal* **begin**

**definition** *equal-uprod* :: 'a *uprod*  $\Rightarrow$  'a *uprod*  $\Rightarrow$  bool  
**where** *equal-uprod* = *op* =

**lemma** *equal-uprod-code* [*code*]:  
*HOL.equal* (*Upair* *x y*) (*Upair* *z u*)  $\longleftrightarrow$  *x* = *z*  $\wedge$  *y* = *u*  $\vee$  *x* = *u*  $\wedge$  *y* = *z*  
 ⟨*proof*⟩

**instance** ⟨*proof*⟩  
**end**

**quickcheck-generator** *uprod* *constructors*: *Upair*

**lemma** *UNIV-uprod*: *UNIV* = ( $\lambda x.$  *Upair* *x x*) ‘ *UNIV*  $\cup$  ( $\lambda(x, y).$  *Upair* *x y*) ‘  
*Sigma* *UNIV* ( $\lambda x.$  *UNIV* - {*x*})  
 ⟨*proof*⟩

**context** **begin**

**private lift-definition** *upair-inv* :: 'a *uprod*  $\Rightarrow$  'a  
**is**  $\lambda(x, y).$  if *x* = *y* then *x* else undefined ⟨*proof*⟩

**lemma** *finite-UNIV-prod* [*simp*]:  
*finite* (*UNIV* :: 'a *uprod* set)  $\longleftrightarrow$  *finite* (*UNIV* :: 'a set) (**is** ?*lhs* = ?*rhs*)  
 ⟨*proof*⟩

**end**

**lemma** *card-UNIV-uprod*:

*card* (*UNIV* :: 'a *uprod* set) = *card* (*UNIV* :: 'a set) \* (*card* (*UNIV* :: 'a set) +  
 1) *div* 2  
 (**is** ?*UPROD* = ?*A* \* - *div* -)  
 ⟨*proof*⟩

**end**

## 93 Pointwise order on product types

```
theory Product-Order
imports Product-Plus
begin
```

### 93.1 Pointwise ordering

```
instantiation prod :: (ord, ord) ord
begin
```

**definition**

$$x \leq y \longleftrightarrow \text{fst } x \leq \text{fst } y \wedge \text{snd } x \leq \text{snd } y$$

**definition**

$$(x::'a \times 'b) < y \longleftrightarrow x \leq y \wedge \neg y \leq x$$

```
instance <proof>
```

```
end
```

```
lemma fst-mono:  $x \leq y \implies \text{fst } x \leq \text{fst } y$ 
<proof>
```

```
lemma snd-mono:  $x \leq y \implies \text{snd } x \leq \text{snd } y$ 
<proof>
```

```
lemma Pair-mono:  $x \leq x' \implies y \leq y' \implies (x, y) \leq (x', y')$ 
<proof>
```

```
lemma Pair-le [simp]:  $(a, b) \leq (c, d) \longleftrightarrow a \leq c \wedge b \leq d$ 
<proof>
```

```
instance prod :: (preorder, preorder) preorder
<proof>
```

```
instance prod :: (order, order) order
<proof>
```

### 93.2 Binary infimum and supremum

```
instantiation prod :: (inf, inf) inf
begin
```

**definition**  $\text{inf } x \ y = (\text{inf } (\text{fst } x) \ (\text{fst } y), \text{inf } (\text{snd } x) \ (\text{snd } y))$

```
lemma inf-Pair-Pair [simp]:  $\text{inf } (a, b) \ (c, d) = (\text{inf } a \ c, \text{inf } b \ d)$ 
<proof>
```

```
lemma fst-inf [simp]:  $\text{fst } (\text{inf } x \ y) = \text{inf } (\text{fst } x) \ (\text{fst } y)$ 
```

*<proof>*

**lemma** *snd-inf* [*simp*]:  $snd (inf x y) = inf (snd x) (snd y)$   
*<proof>*

**instance** *<proof>*

**end**

**instance** *prod* :: (*semilattice-inf*, *semilattice-inf*) *semilattice-inf*  
*<proof>*

**instantiation** *prod* :: (*sup*, *sup*) *sup*  
**begin**

**definition**

$sup x y = (sup (fst x) (fst y), sup (snd x) (snd y))$

**lemma** *sup-Pair-Pair* [*simp*]:  $sup (a, b) (c, d) = (sup a c, sup b d)$   
*<proof>*

**lemma** *fst-sup* [*simp*]:  $fst (sup x y) = sup (fst x) (fst y)$   
*<proof>*

**lemma** *snd-sup* [*simp*]:  $snd (sup x y) = sup (snd x) (snd y)$   
*<proof>*

**instance** *<proof>*

**end**

**instance** *prod* :: (*semilattice-sup*, *semilattice-sup*) *semilattice-sup*  
*<proof>*

**instance** *prod* :: (*lattice*, *lattice*) *lattice* *<proof>*

**instance** *prod* :: (*distrib-lattice*, *distrib-lattice*) *distrib-lattice*  
*<proof>*

### 93.3 Top and bottom elements

**instantiation** *prod* :: (*top*, *top*) *top*  
**begin**

**definition**

$top = (top, top)$

**instance** *<proof>*

**end**

**lemma** *fst-top* [*simp*]: *fst top = top*  
 ⟨*proof*⟩

**lemma** *snd-top* [*simp*]: *snd top = top*  
 ⟨*proof*⟩

**lemma** *Pair-top-top*: *(top, top) = top*  
 ⟨*proof*⟩

**instance** *prod* :: (*order-top*, *order-top*) *order-top*  
 ⟨*proof*⟩

**instantiation** *prod* :: (*bot*, *bot*) *bot*  
**begin**

**definition**  
*bot* = (*bot*, *bot*)

**instance** ⟨*proof*⟩

**end**

**lemma** *fst-bot* [*simp*]: *fst bot = bot*  
 ⟨*proof*⟩

**lemma** *snd-bot* [*simp*]: *snd bot = bot*  
 ⟨*proof*⟩

**lemma** *Pair-bot-bot*: *(bot, bot) = bot*  
 ⟨*proof*⟩

**instance** *prod* :: (*order-bot*, *order-bot*) *order-bot*  
 ⟨*proof*⟩

**instance** *prod* :: (*bounded-lattice*, *bounded-lattice*) *bounded-lattice* ⟨*proof*⟩

**instance** *prod* :: (*boolean-algebra*, *boolean-algebra*) *boolean-algebra*  
 ⟨*proof*⟩

### 93.4 Complete lattice operations

**instantiation** *prod* :: (*Inf*, *Inf*) *Inf*  
**begin**

**definition** *Inf A* = (*INF x:A. fst x*, *INF x:A. snd x*)

```

instance ⟨proof⟩

end

instantiation prod :: (Sup, Sup) Sup
begin

definition Sup A = (SUP x:A. fst x, SUP x:A. snd x)

instance ⟨proof⟩

end

instance prod :: (conditionally-complete-lattice, conditionally-complete-lattice)
  conditionally-complete-lattice
  ⟨proof⟩

instance prod :: (complete-lattice, complete-lattice) complete-lattice
  ⟨proof⟩

lemma fst-Sup: fst (Sup A) = (SUP x:A. fst x)
  ⟨proof⟩

lemma snd-Sup: snd (Sup A) = (SUP x:A. snd x)
  ⟨proof⟩

lemma fst-Inf: fst (Inf A) = (INF x:A. fst x)
  ⟨proof⟩

lemma snd-Inf: snd (Inf A) = (INF x:A. snd x)
  ⟨proof⟩

lemma fst-SUP: fst (SUP x:A. f x) = (SUP x:A. fst (f x))
  ⟨proof⟩

lemma snd-SUP: snd (SUP x:A. f x) = (SUP x:A. snd (f x))
  ⟨proof⟩

lemma fst-INF: fst (INF x:A. f x) = (INF x:A. fst (f x))
  ⟨proof⟩

lemma snd-INF: snd (INF x:A. f x) = (INF x:A. snd (f x))
  ⟨proof⟩

lemma SUP-Pair: (SUP x:A. (f x, g x)) = (SUP x:A. f x, SUP x:A. g x)
  ⟨proof⟩

lemma INF-Pair: (INF x:A. (f x, g x)) = (INF x:A. f x, INF x:A. g x)
  ⟨proof⟩

```



Alternative formulations for set infima and suprema over the product of two complete lattices:

**lemma** *INF-prod-alt-def*:

$INFIMUM A f = (INFIMUM A (fst o f), INFIMUM A (snd o f))$   
 $\langle proof \rangle$

**lemma** *SUP-prod-alt-def*:

$SUPREMUM A f = (SUPREMUM A (fst o f), SUPREMUM A (snd o f))$   
 $\langle proof \rangle$

### 93.5 Complete distributive lattices

**instance** *prod* :: (complete-distrib-lattice, complete-distrib-lattice) complete-distrib-lattice  
 $\langle proof \rangle$

### 93.6 Bekic’s Theorem

Simultaneous fixed points over pairs can be written in terms of separate fixed points. Transliterated from HOLCF.Fix by Peter Gammie

**lemma** *lfp-prod*:

**fixes**  $F :: 'a::complete-lattice \times 'b::complete-lattice \Rightarrow 'a \times 'b$   
**assumes** *mono F*  
**shows**  $lfp F = (lfp (\lambda x. fst (F (x, lfp (\lambda y. snd (F (x, y)))))),$   
 $(lfp (\lambda y. snd (F (lfp (\lambda x. fst (F (x, lfp (\lambda y. snd (F (x, y)))))), y))))$   
**(is**  $lfp F = (?x, ?y)$   
 $\langle proof \rangle$

**lemma** *gfp-prod*:

**fixes**  $F :: 'a::complete-lattice \times 'b::complete-lattice \Rightarrow 'a \times 'b$   
**assumes** *mono F*  
**shows**  $gfp F = (gfp (\lambda x. fst (F (x, gfp (\lambda y. snd (F (x, y)))))),$   
 $(gfp (\lambda y. snd (F (gfp (\lambda x. fst (F (x, gfp (\lambda y. snd (F (x, y)))))), y))))$   
**(is**  $gfp F = (?x, ?y)$   
 $\langle proof \rangle$

**end**

**theory** *Finite-Lattice*  
**imports** *Product-Order*  
**begin**

A non-empty finite lattice is a complete lattice. Since types are never empty in Isabelle/HOL, a type of classes *finite* and *lattice* should also have class *complete-lattice*. A type class is defined that extends classes *finite* and *lattice* with the operators *bot*, *top*, *Inf*, and *Sup*, along with assumptions that

define these operators in terms of the ones of classes *finite* and *lattice*. The resulting class is a subclass of *complete-lattice*.

```

class finite-lattice-complete = finite + lattice + bot + top + Inf + Sup +
  assumes bot-def: bot = Inf-fin UNIV
  assumes top-def: top = Sup-fin UNIV
  assumes Inf-def: Inf A = Finite-Set.fold inf top A
  assumes Sup-def: Sup A = Finite-Set.fold sup bot A

```

The definitional assumptions on the operators *bot* and *top* of class *finite-lattice-complete* ensure that they yield bottom and top.

**lemma** *finite-lattice-complete-bot-least*:  $(\text{bot} :: 'a :: \text{finite-lattice-complete}) \leq x$   
 ⟨proof⟩

**instance** *finite-lattice-complete*  $\subseteq$  *order-bot*  
 ⟨proof⟩

**lemma** *finite-lattice-complete-top-greatest*:  $(\text{top} :: 'a :: \text{finite-lattice-complete}) \geq x$   
 ⟨proof⟩

**instance** *finite-lattice-complete*  $\subseteq$  *order-top*  
 ⟨proof⟩

**instance** *finite-lattice-complete*  $\subseteq$  *bounded-lattice* ⟨proof⟩

The definitional assumptions on the operators *Inf* and *Sup* of class *finite-lattice-complete* ensure that they yield infimum and supremum.

**lemma** *finite-lattice-complete-Inf-empty*:  $\text{Inf } \{\} = (\text{top} :: 'a :: \text{finite-lattice-complete})$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Sup-empty*:  $\text{Sup } \{\} = (\text{bot} :: 'a :: \text{finite-lattice-complete})$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Inf-insert*:  
**fixes**  $A :: 'a :: \text{finite-lattice-complete}$  set  
**shows**  $\text{Inf } (\text{insert } x A) = \text{inf } x (\text{Inf } A)$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Sup-insert*:  
**fixes**  $A :: 'a :: \text{finite-lattice-complete}$  set  
**shows**  $\text{Sup } (\text{insert } x A) = \text{sup } x (\text{Sup } A)$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Inf-lower*:  
 $(x :: 'a :: \text{finite-lattice-complete}) \in A \implies \text{Inf } A \leq x$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Inf-greatest*:  
 $\forall x :: 'a :: \text{finite-lattice-complete} \in A. z \leq x \implies z \leq \text{Inf } A$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Sup-upper*:  
 $(x :: 'a :: \text{finite-lattice-complete}) \in A \implies \text{Sup } A \geq x$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Sup-least*:  
 $\forall x :: 'a :: \text{finite-lattice-complete} \in A. z \geq x \implies z \geq \text{Sup } A$   
 ⟨proof⟩

**instance** *finite-lattice-complete*  $\subseteq$  *complete-lattice*  
 ⟨proof⟩

The product of two finite lattices is already a finite lattice.

**lemma** *finite-bot-prod*:  
 $(\text{bot} :: ('a :: \text{finite-lattice-complete} \times 'b :: \text{finite-lattice-complete})) =$   
 $\text{Inf-fin UNIV}$   
 ⟨proof⟩

**lemma** *finite-top-prod*:  
 $(\text{top} :: ('a :: \text{finite-lattice-complete} \times 'b :: \text{finite-lattice-complete})) =$   
 $\text{Sup-fin UNIV}$   
 ⟨proof⟩

**lemma** *finite-Inf-prod*:  
 $\text{Inf}(A :: ('a :: \text{finite-lattice-complete} \times 'b :: \text{finite-lattice-complete}) \text{ set}) =$   
 $\text{Finite-Set.fold inf top } A$   
 ⟨proof⟩

**lemma** *finite-Sup-prod*:  
 $\text{Sup}(A :: ('a :: \text{finite-lattice-complete} \times 'b :: \text{finite-lattice-complete}) \text{ set}) =$   
 $\text{Finite-Set.fold sup bot } A$   
 ⟨proof⟩

**instance** *prod* ::  $(\text{finite-lattice-complete}, \text{finite-lattice-complete})$  *finite-lattice-complete*  
 ⟨proof⟩

Functions with a finite domain and with a finite lattice as codomain already form a finite lattice.

**lemma** *finite-bot-fun*:  $(\text{bot} :: ('a :: \text{finite} \Rightarrow 'b :: \text{finite-lattice-complete})) = \text{Inf-fin UNIV}$   
 ⟨proof⟩

**lemma** *finite-top-fun*:  $(\text{top} :: ('a :: \text{finite} \Rightarrow 'b :: \text{finite-lattice-complete})) = \text{Sup-fin UNIV}$   
 ⟨proof⟩

**lemma** *finite-Inf-fun*:  
 $\text{Inf}(A :: ('a :: \text{finite} \Rightarrow 'b :: \text{finite-lattice-complete}) \text{ set}) =$   
 $\text{Finite-Set.fold inf top } A$

*<proof>*

**lemma** *finite-Sup-fun*:

*Sup (A::('a::finite  $\Rightarrow$  'b::finite-lattice-complete) set) =*  
*Finite-Set.fold sup bot A*

*<proof>*

**instance** *fun* :: (*finite*, *finite-lattice-complete*) *finite-lattice-complete*

*<proof>*

### 93.7 Finite Distributive Lattices

A finite distributive lattice is a complete lattice whose *inf* and *sup* operators distribute over *Sup* and *Inf*.

**class** *finite-distrib-lattice-complete* =  
*distrib-lattice + finite-lattice-complete*

**lemma** *finite-distrib-lattice-complete-sup-Inf*:

*sup (x::'a::finite-distrib-lattice-complete) (Inf A) = (INF y:A. sup x y)*

*<proof>*

**lemma** *finite-distrib-lattice-complete-inf-Sup*:

*inf (x::'a::finite-distrib-lattice-complete) (Sup A) = (SUP y:A. inf x y)*

*<proof>*

**instance** *finite-distrib-lattice-complete*  $\subseteq$  *complete-distrib-lattice*

*<proof>*

The product of two finite distributive lattices is already a finite distributive lattice.

**instance** *prod* ::

(*finite-distrib-lattice-complete*, *finite-distrib-lattice-complete*)  
*finite-distrib-lattice-complete*

*<proof>*

Functions with a finite domain and with a finite distributive lattice as codomain already form a finite distributive lattice.

**instance** *fun* ::

(*finite*, *finite-distrib-lattice-complete*) *finite-distrib-lattice-complete*

*<proof>*

### 93.8 Linear Orders

A linear order is a distributive lattice. A type class is defined that extends class *linorder* with the operators *inf* and *sup*, along with assumptions that define these operators in terms of the ones of class *linorder*. The resulting class is a subclass of *distrib-lattice*.

**class** *linorder-lattice* = *linorder + inf + sup +*

**assumes** *inf-def*:  $\text{inf } x \ y = (\text{if } x \leq y \text{ then } x \text{ else } y)$   
**assumes** *sup-def*:  $\text{sup } x \ y = (\text{if } x \geq y \text{ then } x \text{ else } y)$

The definitional assumptions on the operators *inf* and *sup* of class *linorder-lattice* ensure that they yield infimum and supremum and that they distribute over each other.

**lemma** *linorder-lattice-inf-le1*:  $\text{inf } (x::'a::\text{linorder-lattice}) \ y \leq x$   
 ⟨*proof*⟩

**lemma** *linorder-lattice-inf-le2*:  $\text{inf } (x::'a::\text{linorder-lattice}) \ y \leq y$   
 ⟨*proof*⟩

**lemma** *linorder-lattice-inf-greatest*:  
 $(x::'a::\text{linorder-lattice}) \leq y \implies x \leq z \implies x \leq \text{inf } y \ z$   
 ⟨*proof*⟩

**lemma** *linorder-lattice-sup-ge1*:  $\text{sup } (x::'a::\text{linorder-lattice}) \ y \geq x$   
 ⟨*proof*⟩

**lemma** *linorder-lattice-sup-ge2*:  $\text{sup } (x::'a::\text{linorder-lattice}) \ y \geq y$   
 ⟨*proof*⟩

**lemma** *linorder-lattice-sup-least*:  
 $(x::'a::\text{linorder-lattice}) \geq y \implies x \geq z \implies x \geq \text{sup } y \ z$   
 ⟨*proof*⟩

**lemma** *linorder-lattice-sup-inf-distrib1*:  
 $\text{sup } (x::'a::\text{linorder-lattice}) \ (\text{inf } y \ z) = \text{inf } (\text{sup } x \ y) \ (\text{sup } x \ z)$   
 ⟨*proof*⟩

**instance** *linorder-lattice*  $\subseteq$  *distrib-lattice*  
 ⟨*proof*⟩

### 93.9 Finite Linear Orders

A (non-empty) finite linear order is a complete linear order.

**class** *finite-linorder-complete* = *linorder-lattice* + *finite-lattice-complete*

**instance** *finite-linorder-complete*  $\subseteq$  *complete-linorder* ⟨*proof*⟩

A (non-empty) finite linear order is a complete lattice whose *inf* and *sup* operators distribute over *Sup* and *Inf*.

**instance** *finite-linorder-complete*  $\subseteq$  *finite-distrib-lattice-complete* ⟨*proof*⟩

**end**

## 94 Lexicographic order on lists

**theory** *List-lexord*

**imports** *Main*

**begin**

**instantiation** *list* :: (*ord*) *ord*

**begin**

**definition**

*list-less-def*:  $xs < ys \longleftrightarrow (xs, ys) \in \text{lexord } \{(u, v). u < v\}$

**definition**

*list-le-def*:  $(xs :: - \text{list}) \leq ys \longleftrightarrow xs < ys \vee xs = ys$

**instance**  $\langle \text{proof} \rangle$

**end**

**instance** *list* :: (*order*) *order*

$\langle \text{proof} \rangle$

**instance** *list* :: (*linorder*) *linorder*

$\langle \text{proof} \rangle$

**instantiation** *list* :: (*linorder*) *distrib-lattice*

**begin**

**definition** (*inf* :: 'a *list*  $\Rightarrow$  -) = *min*

**definition** (*sup* :: 'a *list*  $\Rightarrow$  -) = *max*

**instance**

$\langle \text{proof} \rangle$

**end**

**lemma** *not-less-Nil* [*simp*]:  $\neg x < []$

$\langle \text{proof} \rangle$

**lemma** *Nil-less-Cons* [*simp*]:  $[] < a \# x$

$\langle \text{proof} \rangle$

**lemma** *Cons-less-Cons* [*simp*]:  $a \# x < b \# y \longleftrightarrow a < b \vee a = b \wedge x < y$

$\langle \text{proof} \rangle$

**lemma** *le-Nil* [*simp*]:  $x \leq [] \longleftrightarrow x = []$

$\langle \text{proof} \rangle$

**lemma** *Nil-le-Cons* [*simp*]:  $[] \leq x$   
 ⟨*proof*⟩

**lemma** *Cons-le-Cons* [*simp*]:  $a \# x \leq b \# y \longleftrightarrow a < b \vee a = b \wedge x \leq y$   
 ⟨*proof*⟩

**instantiation** *list* :: (*order*) *order-bot*  
**begin**

**definition** *bot* = []

**instance**  
 ⟨*proof*⟩

**end**

**lemma** *less-list-code* [*code*]:  
 $x < ([] :: 'a :: \{equal, order\} list) \longleftrightarrow False$   
 $[] < (x :: 'a :: \{equal, order\}) \# xs \longleftrightarrow True$   
 $(x :: 'a :: \{equal, order\}) \# xs < y \# ys \longleftrightarrow x < y \vee x = y \wedge xs < ys$   
 ⟨*proof*⟩

**lemma** *less-eq-list-code* [*code*]:  
 $x \# xs \leq ([] :: 'a :: \{equal, order\} list) \longleftrightarrow False$   
 $[] \leq (x :: 'a :: \{equal, order\} list) \longleftrightarrow True$   
 $(x :: 'a :: \{equal, order\}) \# xs \leq y \# ys \longleftrightarrow x < y \vee x = y \wedge xs \leq ys$   
 ⟨*proof*⟩

**end**

## 95 Prefix order on lists as order class instance

**theory** *Prefix-Order*  
**imports** *Sublist*  
**begin**

**instantiation** *list* :: (*type*) *order*  
**begin**

**definition**  $xs \leq ys \equiv prefix\ xs\ ys$  **for**  $xs\ ys :: 'a\ list$

**definition**  $xs < ys \equiv xs \leq ys \wedge \neg (ys \leq xs)$  **for**  $xs\ ys :: 'a\ list$

**instance**  
 ⟨*proof*⟩

**end**

**lemma** *less-list-def'*:  $xs < ys \longleftrightarrow strict-prefix\ xs\ ys$  **for**  $xs\ ys :: 'a\ list$   
 ⟨*proof*⟩

```

lemmas prefixI [intro?] = prefixI [folded less-eq-list-def]
lemmas prefixE [elim?] = prefixE [folded less-eq-list-def]
lemmas strict-prefixI' [intro?] = strict-prefixI' [folded less-list-def]
lemmas strict-prefixE' [elim?] = strict-prefixE' [folded less-list-def]
lemmas strict-prefixI [intro?] = strict-prefixI [folded less-list-def]
lemmas strict-prefixE [elim?] = strict-prefixE [folded less-list-def]
lemmas Nil-prefix [iff] = Nil-prefix [folded less-eq-list-def]
lemmas prefix-Nil [simp] = prefix-Nil [folded less-eq-list-def]
lemmas prefix-snoc [simp] = prefix-snoc [folded less-eq-list-def]
lemmas Cons-prefix-Cons [simp] = Cons-prefix-Cons [folded less-eq-list-def]
lemmas same-prefix-prefix [simp] = same-prefix-prefix [folded less-eq-list-def]
lemmas same-prefix-nil [iff] = same-prefix-nil [folded less-eq-list-def]
lemmas prefix-prefix [simp] = prefix-prefix [folded less-eq-list-def]
lemmas prefix-Cons = prefix-Cons [folded less-eq-list-def]
lemmas prefix-length-le = prefix-length-le [folded less-eq-list-def]
lemmas strict-prefix-simps [simp, code] = strict-prefix-simps [folded less-list-def]
lemmas not-prefix-induct [consumes 1, case-names Nil Neq Eq] =
  not-prefix-induct [folded less-eq-list-def]

```

**end**

## 96 Lexicographic order on product types

```

theory Product-Lexorder
imports Main
begin

```

```

instantiation prod :: (ord, ord) ord
begin

```

**definition**

$$x \leq y \longleftrightarrow \text{fst } x < \text{fst } y \vee \text{fst } x \leq \text{fst } y \wedge \text{snd } x \leq \text{snd } y$$

**definition**

$$x < y \longleftrightarrow \text{fst } x < \text{fst } y \vee \text{fst } x \leq \text{fst } y \wedge \text{snd } x < \text{snd } y$$

```

instance <proof>

```

**end**

**lemma** less-eq-prod-simp [simp, code]:

$$(x1, y1) \leq (x2, y2) \longleftrightarrow x1 < x2 \vee x1 \leq x2 \wedge y1 \leq y2$$

<proof>

**lemma** less-prod-simp [simp, code]:

$$(x1, y1) < (x2, y2) \longleftrightarrow x1 < x2 \vee x1 \leq x2 \wedge y1 < y2$$

<proof>



A stronger version for partial orders.

**lemma** *less-prod-def'*:

**fixes**  $x\ y :: 'a::order \times 'b::ord$

**shows**  $x < y \iff fst\ x < fst\ y \vee fst\ x = fst\ y \wedge snd\ x < snd\ y$

$\langle proof \rangle$

**instance**  $prod :: (preorder, preorder)\ preorder$

$\langle proof \rangle$

**instance**  $prod :: (order, order)\ order$

$\langle proof \rangle$

**instance**  $prod :: (linorder, linorder)\ linorder$

$\langle proof \rangle$

**instantiation**  $prod :: (linorder, linorder)\ distrib-lattice$

**begin**

**definition**

$(inf :: 'a \times 'b \Rightarrow - \Rightarrow -) = min$

**definition**

$(sup :: 'a \times 'b \Rightarrow - \Rightarrow -) = max$

**instance**

$\langle proof \rangle$

**end**

**instantiation**  $prod :: (bot, bot)\ bot$

**begin**

**definition**

$bot = (bot, bot)$

**instance**  $\langle proof \rangle$

**end**

**instance**  $prod :: (order-bot, order-bot)\ order-bot$

$\langle proof \rangle$

**instantiation**  $prod :: (top, top)\ top$

**begin**

**definition**

$top = (top, top)$

**instance**  $\langle proof \rangle$

**end**

**instance** *prod* :: (*order-top*, *order-top*) *order-top*  
 ⟨*proof*⟩

**instance** *prod* :: (*wellorder*, *wellorder*) *wellorder*  
 ⟨*proof*⟩

Legacy lemma bindings

**lemmas** *prod-le-def* = *less-eq-prod-def*

**lemmas** *prod-less-def* = *less-prod-def*

**lemmas** *prod-less-eq* = *less-prod-def'*

**end**

## 97 Subsequence Ordering

**theory** *Subseq-Order*

**imports** *Sublist*

**begin**

This theory defines subsequence ordering on lists. A list *ys* is a subsequence of a list *xs*, iff one obtains *ys* by erasing some elements from *xs*.

### 97.1 Definitions and basic lemmas

**instantiation** *list* :: (*type*) *ord*

**begin**

**definition**  $xs \leq ys \iff \text{subseq } xs \ ys$  **for**  $xs \ ys :: 'a \ \text{list}$

**definition**  $xs < ys \iff xs \leq ys \wedge \neg ys \leq xs$  **for**  $xs \ ys :: 'a \ \text{list}$

**instance** ⟨*proof*⟩

**end**

**instance** *list* :: (*type*) *order*

⟨*proof*⟩

**lemmas** *less-eq-list-induct* [*consumes 1*, *case-names empty drop take*] =

*list-emb.induct* [*of op =*, *folded less-eq-list-def*]

**lemmas** *less-eq-list-drop* = *list-emb.list-emb-Cons* [*of op =*, *folded less-eq-list-def*]

**lemmas** *le-list-Cons2-iff* [*simp*, *code*] = *subseq-Cons2-iff* [*folded less-eq-list-def*]

**lemmas** *le-list-map* = *subseq-map* [*folded less-eq-list-def*]

**lemmas** *le-list-filter* = *subseq-filter* [*folded less-eq-list-def*]

**lemmas** *le-list-length* = *list-emb-length* [*of op =*, *folded less-eq-list-def*]

**lemma** *less-list-length*:  $xs < ys \implies \text{length } xs < \text{length } ys$

*<proof>*

**lemma** *less-list-empty* [*simp*]:  $[] < xs \longleftrightarrow xs \neq []$   
*<proof>*

**lemma** *less-list-below-empty* [*simp*]:  $xs < [] \longleftrightarrow False$   
*<proof>*

**lemma** *less-list-drop*:  $xs < ys \implies xs < x \# ys$   
*<proof>*

**lemma** *less-list-take-iff*:  $x \# xs < x \# ys \longleftrightarrow xs < ys$   
*<proof>*

**lemma** *less-list-drop-many*:  $xs < ys \implies xs < zs @ ys$   
*<proof>*

**lemma** *less-list-take-many-iff*:  $zs @ xs < zs @ ys \longleftrightarrow xs < ys$   
*<proof>*

**lemma** *less-list-rev-take*:  $xs @ zs < ys @ zs \longleftrightarrow xs < ys$   
*<proof>*

end

## 98 Implementation of mappings with Association Lists

**theory** *AList-Mapping*  
**imports** *AList Mapping*  
**begin**

**lift-definition** *Mapping* ::  $('a \times 'b) \text{ list} \Rightarrow ('a, 'b) \text{ mapping}$  **is** *map-of* *<proof>*

**code-datatype** *Mapping*

**lemma** *lookup-Mapping* [*simp*, *code*]:  $Mapping.lookup (Mapping xs) = map-of xs$   
*<proof>*

**lemma** *keys-Mapping* [*simp*, *code*]:  $Mapping.keys (Mapping xs) = set (map fst xs)$   
*<proof>*

**lemma** *empty-Mapping* [*code*]:  $Mapping.empty = Mapping []$   
*<proof>*

**lemma** *is-empty-Mapping* [*code*]:  $Mapping.is-empty (Mapping xs) \longleftrightarrow List.null xs$   
*<proof>*

**lemma** *update-Mapping* [code]:  $\text{Mapping.update } k \ v \ (\text{Mapping } xs) = \text{Mapping } (\text{AList.update } k \ v \ xs)$   
 ⟨proof⟩

**lemma** *delete-Mapping* [code]:  $\text{Mapping.delete } k \ (\text{Mapping } xs) = \text{Mapping } (\text{AList.delete } k \ xs)$   
 ⟨proof⟩

**lemma** *ordered-keys-Mapping* [code]:  
 $\text{Mapping.ordered-keys } (\text{Mapping } xs) = \text{sort } (\text{remdups } (\text{map } \text{fst } xs))$   
 ⟨proof⟩

**lemma** *size-Mapping* [code]:  $\text{Mapping.size } (\text{Mapping } xs) = \text{length } (\text{remdups } (\text{map } \text{fst } xs))$   
 ⟨proof⟩

**lemma** *tabulate-Mapping* [code]:  $\text{Mapping.tabulate } ks \ f = \text{Mapping } (\text{map } (\lambda k. (k, f \ k)) \ ks)$   
 ⟨proof⟩

**lemma** *bulkload-Mapping* [code]:  
 $\text{Mapping.bulkload } vs = \text{Mapping } (\text{map } (\lambda n. (n, vs \ ! \ n)) \ [0..<\text{length } vs])$   
 ⟨proof⟩

**lemma** *equal-Mapping* [code]:  
 $\text{HOL.equal } (\text{Mapping } xs) \ (\text{Mapping } ys) \longleftrightarrow$   
 (let  $ks = \text{map } \text{fst } xs$ ;  $ls = \text{map } \text{fst } ys$   
 in  $(\forall l \in \text{set } ls. l \in \text{set } ks) \wedge (\forall k \in \text{set } ks. k \in \text{set } ls \wedge \text{map-of } xs \ k = \text{map-of } ys \ k)$ )  
 ⟨proof⟩

**lemma** *map-values-Mapping* [code]:  
 $\text{Mapping.map-values } f \ (\text{Mapping } xs) = \text{Mapping } (\text{map } (\lambda(x,y). (x, f \ x \ y)) \ xs)$   
**for**  $f :: 'c \Rightarrow 'a \Rightarrow 'b$  **and**  $xs :: ('c \times 'a)$  list  
 ⟨proof⟩

**lemma** *combine-with-key-code* [code]:  
 $\text{Mapping.combine-with-key } f \ (\text{Mapping } xs) \ (\text{Mapping } ys) =$   
 $\text{Mapping.tabulate } (\text{remdups } (\text{map } \text{fst } xs \ @ \ \text{map } \text{fst } ys))$   
 $(\lambda x. \text{the } (\text{combine-options } (f \ x) \ (\text{map-of } xs \ x) \ (\text{map-of } ys \ x)))$   
 ⟨proof⟩

**lemma** *combine-code* [code]:  
 $\text{Mapping.combine } f \ (\text{Mapping } xs) \ (\text{Mapping } ys) =$   
 $\text{Mapping.tabulate } (\text{remdups } (\text{map } \text{fst } xs \ @ \ \text{map } \text{fst } ys))$   
 $(\lambda x. \text{the } (\text{combine-options } f \ (\text{map-of } xs \ x) \ (\text{map-of } ys \ x)))$   
 ⟨proof⟩

**lemma** *map-of-filter-distinct*:

**assumes** *distinct* (*map fst xs*)

**shows** *map-of* (*filter P xs*) *x* =

(*case map-of xs x of*

*None*  $\Rightarrow$  *None*

| *Some y*  $\Rightarrow$  *if P (x,y) then Some y else None*)

*<proof>*

**lemma** *filter-Mapping* [*code*]:

*Mapping.filter P (Mapping xs) = Mapping (filter ( $\lambda(k,v).$  *P k v*) (*AList.clearjunk xs*))*

*<proof>*

**lemma** [*code nbe*]: *HOL.equal* (*x :: ('a, 'b) mapping*) *x*  $\longleftrightarrow$  *True*

*<proof>*

**end**

## 99 Avoidance of pattern matching on natural numbers

**theory** *Code-Abstract-Nat*

**imports** *Main*

**begin**

When natural numbers are implemented in another than the conventional inductive *0/Suc* representation, it is necessary to avoid all pattern matching on natural numbers altogether. This is accomplished by this theory (up to a certain extent).

### 99.1 Case analysis

Case analysis on natural numbers is rephrased using a conditional expression:

**lemma** [*code, code-unfold*]:

*case-nat* = ( $\lambda f g n.$  *if n = 0 then f else g (n - 1)*)

*<proof>*

### 99.2 Preprocessors

The term *Suc n* is no longer a valid pattern. Therefore, all occurrences of this term in a position where a pattern is expected (i.e. on the left-hand side of a code equation) must be eliminated. This can be accomplished – as far as possible – by applying the following transformation rule:

**lemma** *Suc-if-eq*:

**assumes**  $\bigwedge n. f (Suc\ n) \equiv h\ n$

```

assumes  $f\ 0 \equiv g$ 
shows  $f\ n \equiv \text{if } n = 0 \text{ then } g \text{ else } h\ (n - 1)$ 
  <proof>

```

The rule above is built into a preprocessor that is plugged into the code generator.

```
<ML>
```

```
end
```

## 100 Implementation of natural numbers as binary numerals

```

theory Code-Binary-Nat
imports Code-Abstract-Nat
begin

```

When generating code for functions on natural numbers, the canonical representation using  $0$  and  $Suc$  is unsuitable for computations involving large numbers. This theory refines the representation of natural numbers for code generation to use binary numerals, which do not grow linear in size but logarithmic.

### 100.1 Representation

```
code-datatype  $0::nat$  nat-of-num
```

```

lemma [code]:
   $num\-of\-nat\ 0 = Num.One$ 
   $num\-of\-nat\ (nat\-of\-num\ k) = k$ 
  <proof>

```

```

lemma [code]:
   $(1::nat) = Numeral1$ 
  <proof>

```

```

lemma [code-abbrev]:  $Numeral1 = (1::nat)$ 
  <proof>

```

```

lemma [code]:
   $Suc\ n = n + 1$ 
  <proof>

```

### 100.2 Basic arithmetic

```

context
begin

```

**declare** [[code drop: plus :: nat ⇒ -]]

**lemma** plus-nat-code [code]:

nat-of-num k + nat-of-num l = nat-of-num (k + l)  
 m + 0 = (m::nat)  
 0 + n = (n::nat)  
 ⟨proof⟩

Bounded subtraction needs some auxiliary

**qualified definition** dup :: nat ⇒ nat **where**

dup n = n + n

**lemma** dup-code [code]:

dup 0 = 0  
 dup (nat-of-num k) = nat-of-num (Num.Bit0 k)  
 ⟨proof⟩ **definition** sub :: num ⇒ num ⇒ nat option **where**  
 sub k l = (if k ≥ l then Some (numeral k - numeral l) else None)

**lemma** sub-code [code]:

sub Num.One Num.One = Some 0  
 sub (Num.Bit0 m) Num.One = Some (nat-of-num (Num.BitM m))  
 sub (Num.Bit1 m) Num.One = Some (nat-of-num (Num.Bit0 m))  
 sub Num.One (Num.Bit0 n) = None  
 sub Num.One (Num.Bit1 n) = None  
 sub (Num.Bit0 m) (Num.Bit0 n) = map-option dup (sub m n)  
 sub (Num.Bit1 m) (Num.Bit1 n) = map-option dup (sub m n)  
 sub (Num.Bit1 m) (Num.Bit0 n) = map-option (λq. dup q + 1) (sub m n)  
 sub (Num.Bit0 m) (Num.Bit1 n) = (case sub m n of None ⇒ None  
 | Some q ⇒ if q = 0 then None else Some (dup q - 1))  
 ⟨proof⟩

**declare** [[code drop: minus :: nat ⇒ -]]

**lemma** minus-nat-code [code]:

nat-of-num k - nat-of-num l = (case sub k l of None ⇒ 0 | Some j ⇒ j)  
 m - 0 = (m::nat)  
 0 - n = (0::nat)  
 ⟨proof⟩

**declare** [[code drop: times :: nat ⇒ -]]

**lemma** times-nat-code [code]:

nat-of-num k \* nat-of-num l = nat-of-num (k \* l)  
 m \* 0 = (0::nat)  
 0 \* n = (0::nat)  
 ⟨proof⟩

**declare** [[code drop: HOL.equal :: nat ⇒ -]]

```

lemma equal-nat-code [code]:
  HOL.equal 0 (0::nat)  $\longleftrightarrow$  True
  HOL.equal 0 (nat-of-num l)  $\longleftrightarrow$  False
  HOL.equal (nat-of-num k) 0  $\longleftrightarrow$  False
  HOL.equal (nat-of-num k) (nat-of-num l)  $\longleftrightarrow$  HOL.equal k l
  ⟨proof⟩

lemma equal-nat-refl [code nbe]:
  HOL.equal (n::nat) n  $\longleftrightarrow$  True
  ⟨proof⟩

declare [[code drop: less-eq :: nat  $\Rightarrow$  -]]

lemma less-eq-nat-code [code]:
  0  $\leq$  (n::nat)  $\longleftrightarrow$  True
  nat-of-num k  $\leq$  0  $\longleftrightarrow$  False
  nat-of-num k  $\leq$  nat-of-num l  $\longleftrightarrow$  k  $\leq$  l
  ⟨proof⟩

declare [[code drop: less :: nat  $\Rightarrow$  -]]

lemma less-nat-code [code]:
  (m::nat) < 0  $\longleftrightarrow$  False
  0 < nat-of-num l  $\longleftrightarrow$  True
  nat-of-num k < nat-of-num l  $\longleftrightarrow$  k < l
  ⟨proof⟩

declare [[code drop: Divides.divmod-nat]]

lemma divmod-nat-code [code]:
  Divides.divmod-nat (nat-of-num k) (nat-of-num l) = divmod k l
  Divides.divmod-nat m 0 = (0, m)
  Divides.divmod-nat 0 n = (0, 0)
  ⟨proof⟩

end

100.3 Conversions

declare [[code drop: of-nat]]

lemma of-nat-code [code]:
  of-nat 0 = 0
  of-nat (nat-of-num k) = numeral k
  ⟨proof⟩

code-identifier
code-module Code-Binary-Nat  $\rightarrow$ 

```



(SML) *Arith* and (OCaml) *Arith* and (Haskell) *Arith*

end

## 101 Code generation of pretty characters (and strings)

**theory** *Code-Char*  
**imports** *Main Char-ord*  
**begin**

**code-printing**

**type-constructor** *char*  $\rightarrow$   
 (SML) *char*  
 and (OCaml) *char*  
 and (Haskell) *Prelude.Char*  
 and (Scala) *Char*

$\langle ML \rangle$

**code-printing**

**constant** *integer-of-char*  $\rightarrow$   
 (SML)  $!(IntInf.fromInt \circ Char.ord)$   
 and (OCaml) *Big'-int.big'-int'-of'-int* (*Char.code* -)  
 and (Haskell) *Prelude.toInteger* (*Prelude.fromEnum* (- :: *Prelude.Char*))  
 and (Scala) *BigInt(-.toInt)*  
| **constant** *char-of-integer*  $\rightarrow$   
 (SML)  $!(Char.chr \circ IntInf.toInt)$   
 and (OCaml) *Char.chr* (*Big'-int.int'-of'-big'-int* -)  
 and (Haskell)  $!(let \text{chr } k \mid (0 \leq k \ \&\& \ k < 256) = \text{Prelude.toEnum } k :: \text{Prelude.Char in } \text{chr} \ . \ \text{Prelude.fromInteger})$   
 and (Scala)  $!(k: \text{BigInt}) \Rightarrow \text{if } (\text{BigInt}(0) \leq k \ \&\& \ k < \text{BigInt}(256)) \ k.\text{charValue} \ \text{else } \text{sys.error}(\text{character value out of range})$   
| **class-instance** *char* :: *equal*  $\rightarrow$   
 (Haskell) -  
| **constant** *HOL.equal* :: *char*  $\Rightarrow$  *char*  $\Rightarrow$  *bool*  $\rightarrow$   
 (SML)  $!((- : \text{char}) = -)$   
 and (OCaml)  $!((- : \text{char}) = -)$   
 and (Haskell) **infix** 4 ==  
 and (Scala) **infixl** 5 ==  
| **constant** *Code-Evaluation.term-of* :: *char*  $\Rightarrow$  *term*  $\rightarrow$   
 (*Eval*) *HOLogic.mk'-char/* (*IntInf.fromInt/* (*Char.ord/* -))

**code-reserved** *SML*

*char*

**code-reserved** *OCaml*

*char*

**code-reserved** *Scala*

*char*

**code-reserved** *SML String*

**code-printing**

```

constant String.implode →
  (SML) String.implode
  and (OCaml) !(let l = - in let res = String.create (List.length l) in let rec imp
i = function | [] → res | c :: l → String.set res i c; imp (i + 1) l in imp 0 l)
  and (Haskell) -
  and (Scala) !(++/-)
| constant String.explode →
  (SML) String.explode
  and (OCaml) !(let s = - in let rec exp i l = if i < 0 then l else exp (i - 1)
(String.get s i :: l) in exp (String.length s - 1) [])
  and (Haskell) -
  and (Scala) !(-.toList)

```

**code-printing**

```

constant Orderings.less-eq :: char ⇒ char ⇒ bool →
  (SML) !((- : char) <= -)
  and (OCaml) !((- : char) <= -)
  and (Haskell) infix 4 <=
  and (Scala) infixl 4 <=
  and (Eval) infixl 6 <=
| constant Orderings.less :: char ⇒ char ⇒ bool →
  (SML) !((- : char) < -)
  and (OCaml) !((- : char) < -)
  and (Haskell) infix 4 <
  and (Scala) infixl 4 <
  and (Eval) infixl 6 <
| constant Orderings.less-eq :: String.literal ⇒ String.literal ⇒ bool →
  (SML) !((- : string) <= -)
  and (OCaml) !((- : string) <= -)
  — Order operations for String.literal work in Haskell only if no type class
instance needs to be generated, because String = [Char] in Haskell and char list
need not have the same order as String.literal.
  and (Haskell) infix 4 <=
  and (Scala) infixl 4 <=
  and (Eval) infixl 6 <=
| constant Orderings.less :: String.literal ⇒ String.literal ⇒ bool →
  (SML) !((- : string) < -)
  and (OCaml) !((- : string) < -)
  and (Haskell) infix 4 <
  and (Scala) infixl 4 <
  and (Eval) infixl 6 <

```

**end**

## 102 Code generation of prolog programs

```
theory Code-Prolog
imports Main
keywords values-prolog :: diag
begin
```

⟨ML⟩

## 103 Setup for Numerals

⟨ML⟩

end

## 104 Implementation of integer numbers by target-language integers

```
theory Code-Target-Int
imports Main
begin
```

```
code-datatype int-of-integer
```

```
declare [[code drop: integer-of-int]]
```

```
context
includes integer.lifting
begin
```

```
lemma [code]:
  integer-of-int (int-of-integer k) = k
  ⟨proof⟩
```

```
lemma [code]:
  Int.Pos = int-of-integer ∘ integer-of-num
  ⟨proof⟩
```

```
lemma [code]:
  Int.Neg = int-of-integer ∘ uminus ∘ integer-of-num
  ⟨proof⟩
```

```
lemma [code-abbrev]:
  int-of-integer (numeral k) = Int.Pos k
  ⟨proof⟩
```

```
lemma [code-abbrev]:
  int-of-integer (− numeral k) = Int.Neg k
```

*<proof>*

**context**

**begin**

**qualified definition** *positive* :: *num* ⇒ *int*  
**where** [*simp*]: *positive* = *numeral*

**qualified definition** *negative* :: *num* ⇒ *int*  
**where** [*simp*]: *negative* = *uminus* ◦ *numeral*

**lemma** [*code-computation-unfold*]:  
*numeral* = *positive*  
*Int.Pos* = *positive*  
*Int.Neg* = *negative*  
*<proof>*

**end**

**lemma** [*code, symmetric, code-post*]:  
 $0 = \text{int-of-integer } 0$   
*<proof>*

**lemma** [*code, symmetric, code-post*]:  
 $1 = \text{int-of-integer } 1$   
*<proof>*

**lemma** [*code-post*]:  
 $\text{int-of-integer } (- 1) = - 1$   
*<proof>*

**lemma** [*code*]:  
 $k + l = \text{int-of-integer } (\text{of-int } k + \text{of-int } l)$   
*<proof>*

**lemma** [*code*]:  
 $- k = \text{int-of-integer } (- \text{of-int } k)$   
*<proof>*

**lemma** [*code*]:  
 $k - l = \text{int-of-integer } (\text{of-int } k - \text{of-int } l)$   
*<proof>*

**lemma** [*code*]:  
 $\text{Int.dup } k = \text{int-of-integer } (\text{Code-Numeral.dup } (\text{of-int } k))$   
*<proof>*

**declare** [[*code drop: Int.sub*]]

```

lemma [code]:
   $k * l = \text{int-of-integer } (\text{of-int } k * \text{of-int } l)$ 
  ⟨proof⟩

lemma [code]:
   $k \text{ div } l = \text{int-of-integer } (\text{of-int } k \text{ div } \text{of-int } l)$ 
  ⟨proof⟩

lemma [code]:
   $k \text{ mod } l = \text{int-of-integer } (\text{of-int } k \text{ mod } \text{of-int } l)$ 
  ⟨proof⟩

lemma [code]:
   $\text{divmod } m \ n = \text{map-prod int-of-integer int-of-integer } (\text{divmod } m \ n)$ 
  ⟨proof⟩

lemma [code]:
   $\text{HOL.equal } k \ l = \text{HOL.equal } (\text{of-int } k :: \text{integer}) (\text{of-int } l)$ 
  ⟨proof⟩

lemma [code]:
   $k \leq l \iff (\text{of-int } k :: \text{integer}) \leq \text{of-int } l$ 
  ⟨proof⟩

lemma [code]:
   $k < l \iff (\text{of-int } k :: \text{integer}) < \text{of-int } l$ 
  ⟨proof⟩

declare [[code drop: gcd :: int ⇒ - lcm :: int ⇒ -]]

lemma gcd-int-of-integer [code]:
   $\text{gcd } (\text{int-of-integer } x) (\text{int-of-integer } y) = \text{int-of-integer } (\text{gcd } x \ y)$ 
  ⟨proof⟩

lemma lcm-int-of-integer [code]:
   $\text{lcm } (\text{int-of-integer } x) (\text{int-of-integer } y) = \text{int-of-integer } (\text{lcm } x \ y)$ 
  ⟨proof⟩

end

lemma (in ring-1) of-int-code-if:
   $\text{of-int } k = (\text{if } k = 0 \text{ then } 0$ 
     $\text{else if } k < 0 \text{ then } - \text{of-int } (- k)$ 
     $\text{else let}$ 
       $l = 2 * \text{of-int } (k \text{ div } 2);$ 
       $j = k \text{ mod } 2$ 
       $\text{in if } j = 0 \text{ then } l \text{ else } l + 1)$ 
  ⟨proof⟩

```

```

declare of-int-code-if [code]

lemma [code]:
  nat = nat-of-integer ◦ of-int
  including integer.lifting ⟨proof⟩

code-identifier
code-module Code-Target-Int →
  (SML) Arith and (OCaml) Arith and (Haskell) Arith

end

```

```

theory Code-Real-Approx-By-Float
imports Complex-Main Code-Target-Int
begin

```

**WARNING!** This theory implements mathematical reals by machine reals (floats). This is inconsistent. See the proof of False at the end of the theory, where an equality on mathematical reals is (incorrectly) disproved by mapping it to machine reals.

The **value** command cannot display real results yet.

The only legitimate use of this theory is as a tool for code generation purposes.

```

code-printing
type-constructor real →
  (SML) real
  and (OCaml) float

```

```

code-printing
constant Ratreal →
  (SML) error/ Bad constant: Ratreal

```

```

code-printing
constant 0 :: real →
  (SML) 0.0
  and (OCaml) 0.0

```

```

code-printing
constant 1 :: real →
  (SML) 1.0
  and (OCaml) 1.0

```

```

code-printing
constant HOL.equal :: real ⇒ real ⇒ bool →
  (SML) Real.== ((-), (-))
  and (OCaml) Pervasives.(=)

```

```

code-printing

```

```

constant Orderings.less-eq :: real ⇒ real ⇒ bool →
  (SML) Real.<= ((-), (-))
  and (OCaml) Pervasives.<=)

```

**code-printing**

```

constant Orderings.less :: real ⇒ real ⇒ bool →
  (SML) Real.< ((-), (-))
  and (OCaml) Pervasives.<)

```

**code-printing**

```

constant op + :: real ⇒ real ⇒ real →
  (SML) Real.+ ((-), (-))
  and (OCaml) Pervasives.( +. )

```

**code-printing**

```

constant op * :: real ⇒ real ⇒ real →
  (SML) Real.* ((-), (-))
  and (OCaml) Pervasives.( *. )

```

**code-printing**

```

constant op - :: real ⇒ real ⇒ real →
  (SML) Real.- ((-), (-))
  and (OCaml) Pervasives.( -. )

```

**code-printing**

```

constant uminus :: real ⇒ real →
  (SML) Real.~
  and (OCaml) Pervasives.( ~-. )

```

**code-printing**

```

constant op / :: real ⇒ real ⇒ real →
  (SML) Real.'/ ((-), (-))
  and (OCaml) Pervasives.( '/. )

```

**code-printing**

```

constant HOL.equal :: real ⇒ real ⇒ bool →
  (SML) Real.== ((-:real), (-))

```

**code-printing**

```

constant sqrt :: real ⇒ real →
  (SML) Math.sqrt
  and (OCaml) Pervasives.sqrt
declare sqrt-def[code del]

```

**context**

```

begin

```

```

qualified definition real-exp :: real ⇒ real
  where real-exp = exp

```

```

lemma exp-eq-real-exp [code-unfold]: exp = real-exp
  ⟨proof⟩

end

code-printing
  constant Code-Real-Approx-By-Float.real-exp →
    (SML) Math.exp
    and (OCaml) Pervasives.exp
declare Code-Real-Approx-By-Float.real-exp-def[code del]
declare exp-def[code del]

code-printing
  constant ln →
    (SML) Math.ln
    and (OCaml) Pervasives.ln
declare ln-real-def[code del]

code-printing
  constant cos →
    (SML) Math.cos
    and (OCaml) Pervasives.cos
declare cos-def[code del]

code-printing
  constant sin →
    (SML) Math.sin
    and (OCaml) Pervasives.sin
declare sin-def[code del]

code-printing
  constant pi →
    (SML) Math.pi
    and (OCaml) Pervasives.pi
declare pi-def[code del]

code-printing
  constant arctan →
    (SML) Math.atan
    and (OCaml) Pervasives.atan
declare arctan-def[code del]

code-printing
  constant arccos →
    (SML) Math.scos
    and (OCaml) Pervasives.acos
declare arccos-def[code del]

```



**code-printing**

```

constant arcsin  $\rightarrow$ 
  (SML) Math.asin
  and (OCaml) Pervasives.asin
declare arcsin-def[code del]

```

```

definition real-of-integer :: integer  $\Rightarrow$  real
  where real-of-integer = of-int  $\circ$  int-of-integer

```

**code-printing**

```

constant real-of-integer  $\rightarrow$ 
  (SML) Real.fromInt
  and (OCaml) Pervasives.float (Big'-int.int'-of'-big'-int (-))

```

**context**

```

begin

```

```

qualified definition real-of-int :: int  $\Rightarrow$  real
  where [code-abbrev]: real-of-int = of-int

```

```

lemma [code]: real-of-int = real-of-integer  $\circ$  integer-of-int
   $\langle$ proof $\rangle$ 

```

```

lemma [code-unfold del]:  $0 \equiv$  (of-rat  $0$  :: real)
   $\langle$ proof $\rangle$ 

```

```

lemma [code-unfold del]:  $1 \equiv$  (of-rat  $1$  :: real)
   $\langle$ proof $\rangle$ 

```

```

lemma [code-unfold del]: numeral  $k \equiv$  (of-rat (numeral  $k$ ) :: real)
   $\langle$ proof $\rangle$ 

```

```

lemma [code-unfold del]:  $-$  numeral  $k \equiv$  (of-rat ( $-$  numeral  $k$ ) :: real)
   $\langle$ proof $\rangle$ 

```

```

end

```

**code-printing**

```

constant Ratreal  $\rightarrow$  (SML)

```

```

definition Realfract :: int  $\Rightarrow$  int  $\Rightarrow$  real
  where Realfract  $p$   $q$  = of-int  $p$  / of-int  $q$ 

```

```

code-datatype Realfract

```

**code-printing**

```

constant Realfract  $\rightarrow$  (SML) Real.fromInt -/ '/' / Real.fromInt -

```

```

lemma [code]: Ratreal  $r$  = case-prod Realfract (quotient-of  $r$ )

```

*<proof>*

**declare** [[*code drop: HOL.equal :: real ⇒ real ⇒ bool*  
*plus :: real ⇒ real ⇒ real*  
*uminus :: real ⇒ real*  
*minus :: real ⇒ real ⇒ real*  
*times :: real ⇒ real ⇒ real*  
*divide :: real ⇒ real ⇒ real*]]

**lemma** [*code*]: *inverse r = 1 / r for r :: real*  
*<proof>*

**notepad**  
**begin**  
*<proof>*  
**end**

**end**

## 105 Implementation of natural numbers by target-language integers

**theory** *Code-Target-Nat*  
**imports** *Code-Abstract-Nat*  
**begin**

### 105.1 Implementation for *nat*

**context**  
**includes** *natural.lifting integer.lifting*  
**begin**

**lift-definition** *Nat :: integer ⇒ nat*  
**is** *nat*  
*<proof>*

**lemma** [*code-post*]:  
*Nat 0 = 0*  
*Nat 1 = 1*  
*Nat (numeral k) = numeral k*  
*<proof>*

**lemma** [*code-abbrev*]:  
*integer-of-nat = of-nat*  
*<proof>*

**lemma** [*code-unfold*]:  
*Int.nat (int-of-integer k) = nat-of-integer k*

*<proof>*

**lemma** [*code abstype*]:  
*Code-Target-Nat.Nat (integer-of-nat n) = n*  
*<proof>*

**lemma** [*code abstract*]:  
*integer-of-nat (nat-of-integer k) = max 0 k*  
*<proof>*

**lemma** [*code-abbrev*]:  
*nat-of-integer (numeral k) = nat-of-num k*  
*<proof>*

**context**  
**begin**

**qualified definition** *natural* :: *num* ⇒ *nat*  
**where** [*simp*]: *natural = nat-of-num*

**lemma** [*code-computation-unfold*]:  
*numeral = natural*  
*nat-of-num = natural*  
*<proof>*

**end**

**lemma** [*code abstract*]:  
*integer-of-nat (nat-of-num n) = integer-of-num n*  
*<proof>*

**lemma** [*code abstract*]:  
*integer-of-nat 0 = 0*  
*<proof>*

**lemma** [*code abstract*]:  
*integer-of-nat 1 = 1*  
*<proof>*

**lemma** [*code*]:  
*Suc n = n + 1*  
*<proof>*

**lemma** [*code abstract*]:  
*integer-of-nat (m + n) = of-nat m + of-nat n*  
*<proof>*

**lemma** [*code abstract*]:  
*integer-of-nat (m - n) = max 0 (of-nat m - of-nat n)*

*<proof>*

**lemma** [*code abstract*]:

*integer-of-nat (m \* n) = of-nat m \* of-nat n*  
*<proof>*

**lemma** [*code abstract*]:

*integer-of-nat (m div n) = of-nat m div of-nat n*  
*<proof>*

**lemma** [*code abstract*]:

*integer-of-nat (m mod n) = of-nat m mod of-nat n*  
*<proof>*

**lemma** [*code*]:

*Divides.divmod-nat m n = (m div n, m mod n)*  
*<proof>*

**lemma** [*code*]:

*divmod m n = map-prod nat-of-integer nat-of-integer (divmod m n)*  
*<proof>*

**lemma** [*code*]:

*HOL.equal m n = HOL.equal (of-nat m :: integer) (of-nat n)*  
*<proof>*

**lemma** [*code*]:

*m ≤ n ↔ (of-nat m :: integer) ≤ of-nat n*  
*<proof>*

**lemma** [*code*]:

*m < n ↔ (of-nat m :: integer) < of-nat n*  
*<proof>*

**lemma** *num-of-nat-code* [*code*]:

*num-of-nat = num-of-integer ◦ of-nat*  
*<proof>*

**end**

**lemma** (*in semiring-1*) *of-nat-code-if*:

*of-nat n = (if n = 0 then 0*  
*else let*  
*(m, q) = Divides.divmod-nat n 2;*  
*m' = 2 \* of-nat m*  
*in if q = 0 then m' else m' + 1)*  
*<proof>*

**declare** *of-nat-code-if* [*code*]

**definition** *int-of-nat* :: *nat*  $\Rightarrow$  *int* **where**  
 [*code-abbrev*]: *int-of-nat* = *of-nat*

**lemma** [*code*]:  
*int-of-nat* *n* = *int-of-integer* (*of-nat* *n*)  
 ⟨*proof*⟩

**lemma** [*code abstract*]:  
*integer-of-nat* (*nat* *k*) = *max* 0 (*integer-of-int* *k*)  
**including** *integer.lifting* ⟨*proof*⟩

**lemma** *term-of-nat-code* [*code*]:  
 — Use *nat-of-integer* in term reconstruction instead of *Code-Target-Nat.Nat* such  
 that reconstructed terms can be fed back to the code generator  
*term-of-class.term-of* *n* =  
*Code-Evaluation.App*  
 (*Code-Evaluation.Const* (*STR* “*Code-Numeral.nat-of-integer*”)  
 (*typerep.Typerep* (*STR* “*fun*”)  
 [*typerep.Typerep* (*STR* “*Code-Numeral.integer*”) []],  
*typerep.Typerep* (*STR* “*Nat.nat*”) []]))  
 (*term-of-class.term-of* (*integer-of-nat* *n*))  
 ⟨*proof*⟩

**lemma** *nat-of-integer-code-post* [*code-post*]:  
*nat-of-integer* 0 = 0  
*nat-of-integer* 1 = 1  
*nat-of-integer* (*numeral* *k*) = *numeral* *k*  
**including** *integer.lifting* ⟨*proof*⟩

**code-identifier**

**code-module** *Code-Target-Nat*  $\rightarrow$   
 (*SML*) *Arith* **and** (*OCaml*) *Arith* **and** (*Haskell*) *Arith*

**end**

## 106 Implementation of natural and integer numbers by target-language integers

**theory** *Code-Target-Numeral*  
**imports** *Code-Target-Int* *Code-Target-Nat*  
**begin**

**end**

## 107 Abstract type of association lists with unique keys

```
theory DAList
imports AList
begin
```

This was based on some existing fragments in the AFP-Collection framework.

### 107.1 Preliminaries

```
lemma distinct-map-fst-filter:
  distinct (map fst xs)  $\implies$  distinct (map fst (List.filter P xs))
  <proof>
```

### 107.2 Type ('key, 'value) alist

```
typedef ('key, 'value) alist = {xs :: ('key  $\times$  'value) list. (distinct  $\circ$  map fst) xs}
morphisms impl-of AList
<proof>
```

```
setup-lifting type-definition-alist
```

```
lemma alist-ext: impl-of xs = impl-of ys  $\implies$  xs = ys
  <proof>
```

```
lemma alist-eq-iff: xs = ys  $\iff$  impl-of xs = impl-of ys
  <proof>
```

```
lemma impl-of-distinct [simp, intro]: distinct (map fst (impl-of xs))
  <proof>
```

```
lemma Alist-impl-of [code abstype]: Alist (impl-of xs) = xs
  <proof>
```

### 107.3 Primitive operations

```
lift-definition lookup :: ('key, 'value) alist  $\Rightarrow$  'key  $\Rightarrow$  'value option is map-of
  <proof>
```

```
lift-definition empty :: ('key, 'value) alist is []
  <proof>
```

```
lift-definition update :: 'key  $\Rightarrow$  'value  $\Rightarrow$  ('key, 'value) alist  $\Rightarrow$  ('key, 'value) alist
  is AList.update
  <proof>
```

**lift-definition** *delete* :: 'key  $\Rightarrow$  ('key, 'value) alist  $\Rightarrow$  ('key, 'value) alist  
**is** *AList.delete*  
 ⟨proof⟩

**lift-definition** *map-entry* ::  
 'key  $\Rightarrow$  ('value  $\Rightarrow$  'value)  $\Rightarrow$  ('key, 'value) alist  $\Rightarrow$  ('key, 'value) alist  
**is** *AList.map-entry*  
 ⟨proof⟩

**lift-definition** *filter* :: ('key  $\times$  'value  $\Rightarrow$  bool)  $\Rightarrow$  ('key, 'value) alist  $\Rightarrow$  ('key, 'value) alist  
**is** *List.filter*  
 ⟨proof⟩

**lift-definition** *map-default* ::  
 'key  $\Rightarrow$  'value  $\Rightarrow$  ('value  $\Rightarrow$  'value)  $\Rightarrow$  ('key, 'value) alist  $\Rightarrow$  ('key, 'value) alist  
**is** *AList.map-default*  
 ⟨proof⟩

## 107.4 Abstract operation properties

**lemma** *lookup-empty* [simp]: *lookup empty k = None*  
 ⟨proof⟩

**lemma** *lookup-update*:  
*lookup (update k1 v xs) k2 = (if k1 = k2 then Some v else lookup xs k2)*  
 ⟨proof⟩

**lemma** *lookup-update-eq* [simp]:  
*k1 = k2  $\implies$  lookup (update k1 v xs) k2 = Some v*  
 ⟨proof⟩

**lemma** *lookup-update-neq* [simp]:  
*k1  $\neq$  k2  $\implies$  lookup (update k1 v xs) k2 = lookup xs k2*  
 ⟨proof⟩

**lemma** *update-update-eq* [simp]:  
*k1 = k2  $\implies$  update k2 v2 (update k1 v1 xs) = update k2 v2 xs*  
 ⟨proof⟩

**lemma** *lookup-delete* [simp]: *lookup (delete k al) = (lookup al)(k := None)*  
 ⟨proof⟩

## 107.5 Further operations

### 107.5.1 Equality

**instantiation** *alist* :: (equal, equal) equal  
**begin**

**definition** *HOL.equal* (*xs* :: ('a, 'b) *alist*) *ys* == *impl-of xs = impl-of ys*

**instance**

⟨*proof*⟩

**end**

### 107.5.2 Size

**instantiation** *alist* :: (*type*, *type*) *size*

**begin**

**definition** *size* (*al* :: ('a, 'b) *alist*) = *length (impl-of al)*

**instance** ⟨*proof*⟩

**end**

### 107.6 Quickcheck generators

**notation** *fcomp* (**infixl**  $\circ > 60$ )

**notation** *scomp* (**infixl**  $\circ \rightarrow 60$ )

**definition** (**in** *term-syntax*)

*valterm-empty* :: ('key :: *typerep*, 'value :: *typerep*) *alist* × (*unit* ⇒ *Code-Evaluation.term*)

**where** *valterm-empty* = *Code-Evaluation.valtermify empty*

**definition** (**in** *term-syntax*)

*valterm-update* :: 'key :: *typerep* × (*unit* ⇒ *Code-Evaluation.term*) ⇒

'value :: *typerep* × (*unit* ⇒ *Code-Evaluation.term*) ⇒

('key, 'value) *alist* × (*unit* ⇒ *Code-Evaluation.term*) ⇒

('key, 'value) *alist* × (*unit* ⇒ *Code-Evaluation.term*) **where**

[*code-unfold*]: *valterm-update k v a* = *Code-Evaluation.valtermify update {·} k {·}*  
*v {·} a*

**fun** (**in** *term-syntax*) *random-aux-alist*

**where**

*random-aux-alist i j* =

(*if i = 0 then Pair valterm-empty*

*else Quickcheck-Random.collapse*

(*Random.select-weight*

[(*i*, *Quickcheck-Random.random j*  $\circ \rightarrow$  ( $\lambda k$ . *Quickcheck-Random.random j*

$\circ \rightarrow$

( $\lambda v$ . *random-aux-alist (i - 1) j*  $\circ \rightarrow$  ( $\lambda a$ . *Pair (valterm-update k v a)*))),

(*1*, *Pair valterm-empty*)]))

**instantiation** *alist* :: (*random*, *random*) *random*

**begin**

**definition** *random-alist*



**where**

*random-alist i = random-aux-alist i i*

**instance**  $\langle proof \rangle$

**end**

**no-notation** *fcomp* (**infixl**  $\circ >$  60)

**no-notation** *scomp* (**infixl**  $\circ \rightarrow$  60)

**instantiation** *alist* :: (*exhaustive, exhaustive*) *exhaustive*  
**begin**

**fun** *exhaustive-alist* ::

$((\text{'a}, \text{'b}) \text{ alist} \Rightarrow (\text{bool} \times \text{term list}) \text{ option}) \Rightarrow \text{natural} \Rightarrow (\text{bool} \times \text{term list}) \text{ option}$

**where**

*exhaustive-alist f i =*

*(if i = 0 then None*

*else*

*case f empty of*

*Some ts  $\Rightarrow$  Some ts*

*| None  $\Rightarrow$*

*exhaustive-alist*

*( $\lambda a.$  Quickcheck-Exhaustive.exhaustive*

*( $\lambda k.$  Quickcheck-Exhaustive.exhaustive ( $\lambda v.$  f (update k v a)) (i - 1))*

*(i - 1))*

*(i - 1))*

**instance**  $\langle proof \rangle$

**end**

**instantiation** *alist* :: (*full-exhaustive, full-exhaustive*) *full-exhaustive*  
**begin**

**fun** *full-exhaustive-alist* ::

$((\text{'a}, \text{'b}) \text{ alist} \times (\text{unit} \Rightarrow \text{term}) \Rightarrow (\text{bool} \times \text{term list}) \text{ option}) \Rightarrow \text{natural} \Rightarrow$

$(\text{bool} \times \text{term list}) \text{ option}$

**where**

*full-exhaustive-alist f i =*

*(if i = 0 then None*

*else*

*case f valterm-empty of*

*Some ts  $\Rightarrow$  Some ts*

*| None  $\Rightarrow$*

*full-exhaustive-alist*

*( $\lambda a.$*

*Quickcheck-Exhaustive.full-exhaustive*

*( $\lambda k.$  Quickcheck-Exhaustive.full-exhaustive ( $\lambda v.$  f (valterm-update k v*

```
a)) (i - 1))
      (i - 1))
      (i - 1))
```

```
instance <proof>
```

```
end
```

## 108 alist is a BNF

```
lift-bnf (dead 'k, set: 'v) alist [wits: [] :: ('k × 'v) list] for map: map rel: rel
  <proof>
```

```
hide-const valterm-empty valterm-update random-aux-alist
```

```
hide-fact (open) lookup-def empty-def update-def delete-def map-entry-def filter-def
map-default-def
```

```
hide-const (open) impl-of lookup empty update delete map-entry filter map-default
map set rel
```

```
end
```

## 109 Multisets partially implemented by association lists

```
theory DAList-Multiset
imports Multiset DAList
begin
```

Delete preexisting code equations

```
declare [[code drop: {#} Multiset.is-empty add-mset
plus :: 'a multiset ⇒ - minus :: 'a multiset ⇒ -
inf-subset-mset sup-subset-mset image-mset filter-mset count
size :: - multiset ⇒ nat sum-mset prod-mset
set-mset sorted-list-of-multiset subset-mset subseteq-mset
equal-multiset-inst.equal-multiset]]
```

Raw operations on lists

```
definition join-raw ::
  ('key ⇒ 'val × 'val ⇒ 'val) ⇒
  ('key × 'val) list ⇒ ('key × 'val) list ⇒ ('key × 'val) list
  where join-raw f xs ys = foldr (λ(k, v). map-default k v (λv'. f k (v', v))) ys xs
```

```
lemma join-raw-Nil [simp]: join-raw f xs [] = xs
  <proof>
```

```
lemma join-raw-Cons [simp]:
  join-raw f xs ((k, v) # ys) = map-default k v (λv'. f k (v', v)) (join-raw f xs ys)
```

*<proof>*

**lemma** *map-of-join-raw*:

**assumes** *distinct (map fst ys)*

**shows** *map-of (join-raw f xs ys) x =*

*(case map-of xs x of*

*None  $\Rightarrow$  map-of ys x*

*| Some v  $\Rightarrow$  (case map-of ys x of None  $\Rightarrow$  Some v | Some v'  $\Rightarrow$  Some (f x (v, v'))))*

*<proof>*

**lemma** *distinct-join-raw*:

**assumes** *distinct (map fst xs)*

**shows** *distinct (map fst (join-raw f xs ys))*

*<proof>*

**definition** *subtract-entries-raw xs ys = foldr ( $\lambda(k, v). AList.map-entry k (\lambda v'. v' - v)$ ) ys xs*

**lemma** *map-of-subtract-entries-raw*:

**assumes** *distinct (map fst ys)*

**shows** *map-of (subtract-entries-raw xs ys) x =*

*(case map-of xs x of*

*None  $\Rightarrow$  None*

*| Some v  $\Rightarrow$  (case map-of ys x of None  $\Rightarrow$  Some v | Some v'  $\Rightarrow$  Some (v - v'))*

*<proof>*

**lemma** *distinct-subtract-entries-raw*:

**assumes** *distinct (map fst xs)*

**shows** *distinct (map fst (subtract-entries-raw xs ys))*

*<proof>*

Operations on alists with distinct keys

**lift-definition** *join :: ('a  $\Rightarrow$  'b  $\times$  'b  $\Rightarrow$  'b)  $\Rightarrow$  ('a, 'b) alist  $\Rightarrow$  ('a, 'b) alist  $\Rightarrow$  ('a, 'b) alist*

**is** *join-raw*

*<proof>*

**lift-definition** *subtract-entries :: ('a, ('b :: minus)) alist  $\Rightarrow$  ('a, 'b) alist  $\Rightarrow$  ('a, 'b) alist*

**is** *subtract-entries-raw*

*<proof>*

Implementing multisets by means of association lists

**definition** *count-of :: ('a  $\times$  nat) list  $\Rightarrow$  'a  $\Rightarrow$  nat*

**where** *count-of xs x = (case map-of xs x of None  $\Rightarrow$  0 | Some n  $\Rightarrow$  n)*

**lemma** *count-of-multiset: count-of xs  $\in$  multiset*

*<proof>*

**lemma** *count-simps* [simp]:

*count-of* [] = ( $\lambda$ -. 0)

*count-of* (( $x$ ,  $n$ ) #  $xs$ ) = ( $\lambda y$ . if  $x = y$  then  $n$  else *count-of*  $xs$   $y$ )

*<proof>*

**lemma** *count-of-empty*:  $x \notin \text{fst } \text{' set } xs \implies \text{count-of } xs\ x = 0$

*<proof>*

**lemma** *count-of-filter*: *count-of* (*List.filter* ( $P \circ \text{fst}$ )  $xs$ )  $x$  = (if  $P\ x$  then *count-of*  $xs\ x$  else 0)

*<proof>*

**lemma** *count-of-map-default* [simp]:

*count-of* (*map-default*  $x\ b$  ( $\lambda x$ .  $x + b$ )  $xs$ )  $y$  =

(if  $x = y$  then *count-of*  $xs\ x + b$  else *count-of*  $xs\ y$ )

*<proof>*

**lemma** *count-of-join-raw*:

*distinct* (*map fst*  $ys$ )  $\implies$

*count-of*  $xs\ x + \text{count-of } ys\ x = \text{count-of } (\text{join-raw } (\lambda x\ (x, y). x + y)\ xs\ ys)\ x$

*<proof>*

**lemma** *count-of-subtract-entries-raw*:

*distinct* (*map fst*  $ys$ )  $\implies$

*count-of*  $xs\ x - \text{count-of } ys\ x = \text{count-of } (\text{subtract-entries-raw } xs\ ys)\ x$

*<proof>*

Code equations for multiset operations

**definition** *Bag* :: ( $'a$ , *nat*) *alist*  $\implies$   $'a$  *multiset*

**where** *Bag*  $xs = \text{Abs-multiset } (\text{count-of } (\text{DAList.impl-of } xs))$

**code-datatype** *Bag*

**lemma** *count-Bag* [simp, code]: *count* (*Bag*  $xs$ ) = *count-of* (*DAList.impl-of*  $xs$ )

*<proof>*

**lemma** *Mempty-Bag* [code]: {#} = *Bag* (*DAList.empty*)

*<proof>*

**lift-definition** *is-empty-Bag-impl* :: ( $'a$ , *nat*) *alist*  $\implies$  *bool* **is**

$\lambda xs$ . *list-all* ( $\lambda x$ . *snd*  $x = 0$ )  $xs$  *<proof>*

**lemma** *is-empty-Bag* [code]: *Multiset.is-empty* (*Bag*  $xs$ )  $\longleftrightarrow$  *is-empty-Bag-impl*  $xs$

*<proof>*

**lemma** *union-Bag* [code]: *Bag*  $xs + \text{Bag } ys = \text{Bag } (\text{join } (\lambda x\ (n1, n2). n1 + n2)\ xs\ ys)$

*<proof>*

**lemma** *add-mset-Bag* [code]:  $\text{add-mset } x \ (\text{Bag } xs) =$   
 $\text{Bag } (\text{join } (\lambda x \ (n1, n2). n1 + n2) \ (\text{DAList.update } x \ 1 \ \text{DAList.empty}) \ xs)$   
 ⟨proof⟩

**lemma** *minus-Bag* [code]:  $\text{Bag } xs - \text{Bag } ys = \text{Bag } (\text{subtract-entries } xs \ ys)$   
 ⟨proof⟩

**lemma** *filter-Bag* [code]:  $\text{filter-mset } P \ (\text{Bag } xs) = \text{Bag } (\text{DAList.filter } (P \circ \text{fst}) \ xs)$   
 ⟨proof⟩

**lemma** *mset-eq* [code]:  $\text{HOL.equal } (m1 :: 'a :: \text{equal multiset}) \ m2 \longleftrightarrow m1 \subseteq\# \ m2 \wedge$   
 $m2 \subseteq\# \ m1$   
 ⟨proof⟩

By default the code for  $<$  is  $(xs < ys) = (xs \leq ys \wedge xs \neq ys)$ . With equality implemented by  $\leq$ , this leads to three calls of  $\leq$ . Here is a more efficient version:

**lemma** *mset-less*[code]:  $xs \subset\# \ (ys :: 'a \ \text{multiset}) \longleftrightarrow xs \subseteq\# \ ys \wedge \neg \ ys \subseteq\# \ xs$   
 ⟨proof⟩

**lemma** *mset-less-eq-Bag0*:

$\text{Bag } xs \subseteq\# \ A \longleftrightarrow (\forall (x, n) \in \text{set } (\text{DAList.impl-of } xs). \ \text{count-of } (\text{DAList.impl-of } xs) \ x \leq \text{count } A \ x)$   
 (is ?lhs  $\longleftrightarrow$  ?rhs)  
 ⟨proof⟩

**lemma** *mset-less-eq-Bag* [code]:

$\text{Bag } xs \subseteq\# \ (A :: 'a \ \text{multiset}) \longleftrightarrow (\forall (x, n) \in \text{set } (\text{DAList.impl-of } xs). \ n \leq \text{count } A \ x)$   
 ⟨proof⟩

**declare** *multiset-inter-def* [code]

**declare** *sup-subset-mset-def* [code]

**declare** *mset.simps* [code]

**fun** *fold-impl* ::  $('a \Rightarrow \text{nat} \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow ('a \times \text{nat}) \ \text{list} \Rightarrow 'b$

**where**

$\text{fold-impl } fn \ e \ ((a, n) \# \ ms) = (\text{fold-impl } fn \ ((fn \ a \ n) \ e) \ ms)$   
 $|\ \text{fold-impl } fn \ e \ [] = e$

**context**

**begin**

**qualified definition** *fold* ::  $('a \Rightarrow \text{nat} \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow ('a, \text{nat}) \ \text{alist} \Rightarrow 'b$

**where**  $\text{fold } f \ e \ al = \text{fold-impl } f \ e \ (\text{DAList.impl-of } al)$

**end**

**context** *comp-fun-commute*  
**begin**

**lemma** *DAList-Multiset-fold*:  
**assumes**  $fn: \bigwedge a n x. fn\ a\ n\ x = (f\ a\ \hat{\wedge}\ n)\ x$   
**shows**  $fold\ mset\ f\ e\ (Bag\ al) = DAList\ Multiset.\ fold\ fn\ e\ al$   
 $\langle proof \rangle$

**end**

**context**  
**begin**

**private lift-definition** *single-alist-entry* ::  $'a \Rightarrow 'b \Rightarrow ('a, 'b)\ alist$  **is**  $\lambda a b. [(a, b)]$   
 $\langle proof \rangle$

**lemma** *image-mset-Bag* [code]:  
 $image\ mset\ f\ (Bag\ ms) =$   
 $DAList\ Multiset.\ fold\ (\lambda a n m. Bag\ (single\ alist\ entry\ (f\ a)\ n) + m)\ \{\#\}\ ms$   
 $\langle proof \rangle$

**end**

**lemma** *sum-mset-Bag*[code]:  $sum\ mset\ (Bag\ ms) = DAList\ Multiset.\ fold\ (\lambda a n. ((op + a)\ \hat{\wedge}\ n))\ 0\ ms$   
 $\langle proof \rangle$

**lemma** *prod-mset-Bag*[code]:  $prod\ mset\ (Bag\ ms) = DAList\ Multiset.\ fold\ (\lambda a n. ((op * a)\ \hat{\wedge}\ n))\ 1\ ms$   
 $\langle proof \rangle$

**lemma** *size-fold*:  $size\ A = fold\ mset\ (\lambda -. Suc)\ 0\ A$  (**is**  $- = fold\ mset\ ?f\ -$ )  
 $\langle proof \rangle$

**lemma** *size-Bag*[code]:  $size\ (Bag\ ms) = DAList\ Multiset.\ fold\ (\lambda a n. op + n)\ 0\ ms$   
 $\langle proof \rangle$

**lemma** *set-mset-fold*:  $set\ mset\ A = fold\ mset\ insert\ \{\}\ A$  (**is**  $- = fold\ mset\ ?f\ -$ )  
 $\langle proof \rangle$

**lemma** *set-mset-Bag*[code]:  
 $set\ mset\ (Bag\ ms) = DAList\ Multiset.\ fold\ (\lambda a n. (if\ n = 0\ then\ (\lambda m. m)\ else$

```
insert a)) {} ms
  <proof>
```

```
instantiation multiset :: (exhaustive) exhaustive
begin
```

```
definition exhaustive-multiset ::
  ('a multiset  $\Rightarrow$  (bool  $\times$  term list) option)  $\Rightarrow$  natural  $\Rightarrow$  (bool  $\times$  term list) option
  where exhaustive-multiset f i = Quickcheck-Exhaustive.exhaustive ( $\lambda$ xs. f (Bag
  xs)) i
```

```
instance <proof>
```

```
end
```

```
end
```

## 110 Implementation of Red-Black Trees

```
theory RBT-Impl
imports Main
begin
```

For applications, you should use theory *RBT* which defines an abstract type of red-black tree obeying the invariant.

### 110.1 Datatype of RB trees

```
datatype color = R | B
datatype ('a, 'b) rbt = Empty | Branch color ('a, 'b) rbt 'a 'b ('a, 'b) rbt
```

```
lemma rbt-cases:
  obtains (Empty) t = Empty
  | (Red) l k v r where t = Branch R l k v r
  | (Black) l k v r where t = Branch B l k v r
  <proof>
```

### 110.2 Tree properties

#### 110.2.1 Content of a tree

```
primrec entries :: ('a, 'b) rbt  $\Rightarrow$  ('a  $\times$  'b) list
where
  entries Empty = []
  | entries (Branch - l k v r) = entries l @ (k,v) # entries r
```

```
abbreviation (input) entry-in-tree :: 'a  $\Rightarrow$  'b  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  bool
where
```

$entry\text{-in-tree } k \ v \ t \equiv (k, v) \in set \ (entries \ t)$

**definition**  $keys :: ('a, 'b) \text{ rbt} \Rightarrow 'a \text{ list}$  **where**  
 $keys \ t = map \ fst \ (entries \ t)$

**lemma**  $keys\text{-simps}$  [*simp*, *code*]:  
 $keys \ Empty = []$   
 $keys \ (Branch \ c \ l \ k \ v \ r) = keys \ l \ @ \ k \ \# \ keys \ r$   
*<proof>*

**lemma**  $entry\text{-in-tree-}keys$ :  
**assumes**  $(k, v) \in set \ (entries \ t)$   
**shows**  $k \in set \ (keys \ t)$   
*<proof>*

**lemma**  $keys\text{-entries}$ :  
 $k \in set \ (keys \ t) \longleftrightarrow (\exists \ v. (k, v) \in set \ (entries \ t))$   
*<proof>*

**lemma**  $non\text{-empty-rbt-}keys$ :  
 $t \neq \text{rbt.Empty} \Longrightarrow keys \ t \neq []$   
*<proof>*

## 110.2.2 Search tree properties

**context**  $ord \ begin$

**definition**  $rbt\text{-less} :: 'a \Rightarrow ('a, 'b) \text{ rbt} \Rightarrow bool$   
**where**  
 $rbt\text{-less-prop}: rbt\text{-less} \ k \ t \longleftrightarrow (\forall \ x \in set \ (keys \ t). \ x < k)$

**abbreviation**  $rbt\text{-less-symbol}$  (**infix**  $|\ll 50$ )  
**where**  $t \ |\ll \ x \equiv rbt\text{-less} \ x \ t$

**definition**  $rbt\text{-greater} :: 'a \Rightarrow ('a, 'b) \text{ rbt} \Rightarrow bool$  (**infix**  $\ll| 50$ )  
**where**  
 $rbt\text{-greater-prop}: rbt\text{-greater} \ k \ t = (\forall \ x \in set \ (keys \ t). \ k < x)$

**lemma**  $rbt\text{-less-simps}$  [*simp*]:  
 $Empty \ |\ll \ k = True$   
 $Branch \ c \ lt \ kt \ v \ rt \ |\ll \ k \longleftrightarrow kt < k \wedge lt \ |\ll \ k \wedge rt \ |\ll \ k$   
*<proof>*

**lemma**  $rbt\text{-greater-simps}$  [*simp*]:  
 $k \ll| \ Empty = True$   
 $k \ll| \ (Branch \ c \ lt \ kt \ v \ rt) \longleftrightarrow k < kt \wedge k \ll| \ lt \wedge k \ll| \ rt$   
*<proof>*

**lemmas**  $rbt\text{-ord-props} = rbt\text{-less-prop} \ rbt\text{-greater-prop}$



**lemmas** *rbt-greater-nit* = *rbt-greater-prop entry-in-tree-keys*

**lemmas** *rbt-less-nit* = *rbt-less-prop entry-in-tree-keys*

**lemma** (*in order*)

**shows** *rbt-less-eq-trans*:  $l \ll u \implies u \leq v \implies l \ll v$

**and** *rbt-less-trans*:  $t \ll x \implies x < y \implies t \ll y$

**and** *rbt-greater-eq-trans*:  $u \leq v \implies v \ll r \implies u \ll r$

**and** *rbt-greater-trans*:  $x < y \implies y \ll t \implies x \ll t$

*<proof>*

**primrec** *rbt-sorted* :: (*'a, 'b*) *rbt*  $\Rightarrow$  *bool*

**where**

*rbt-sorted Empty* = *True*

| *rbt-sorted (Branch c l k v r)* =  $(l \ll k \wedge k \ll r \wedge \text{rbt-sorted } l \wedge \text{rbt-sorted } r)$

**end**

**context** *linorder begin*

**lemma** *rbt-sorted-entries*:

*rbt-sorted t*  $\implies$  *List.sorted (map fst (entries t))*

*<proof>*

**lemma** *distinct-entries*:

*rbt-sorted t*  $\implies$  *distinct (map fst (entries t))*

*<proof>*

**lemma** *distinct-keys*:

*rbt-sorted t*  $\implies$  *distinct (keys t)*

*<proof>*

### 110.2.3 Tree lookup

**primrec** (*in ord*) *rbt-lookup* :: (*'a, 'b*) *rbt*  $\Rightarrow$  *'a*  $\rightarrow$  *'b*

**where**

*rbt-lookup Empty k* = *None*

| *rbt-lookup (Branch - l x y r) k* =

(*if k < x then rbt-lookup l k else if x < k then rbt-lookup r k else Some y*)

**lemma** *rbt-lookup-keys*: *rbt-sorted t*  $\implies$  *dom (rbt-lookup t)* = *set (keys t)*

*<proof>*

**lemma** *dom-rbt-lookup-Branch*:

*rbt-sorted (Branch c t1 k v t2)*  $\implies$

*dom (rbt-lookup (Branch c t1 k v t2))*

= *Set.insert k (dom (rbt-lookup t1)  $\cup$  dom (rbt-lookup t2))*

*<proof>*

**lemma** *finite-dom-rbt-lookup* [*simp, intro!*]: *finite (dom (rbt-lookup t))*  
 ⟨*proof*⟩

**end**

**context** *ord* **begin**

**lemma** *rbt-lookup-rbt-less*[*simp*]:  $t \ll k \implies \text{rbt-lookup } t \ k = \text{None}$   
 ⟨*proof*⟩

**lemma** *rbt-lookup-rbt-greater*[*simp*]:  $k \ll t \implies \text{rbt-lookup } t \ k = \text{None}$   
 ⟨*proof*⟩

**lemma** *rbt-lookup-Empty*:  $\text{rbt-lookup } \text{Empty} = \text{empty}$   
 ⟨*proof*⟩

**end**

**context** *linorder* **begin**

**lemma** *map-of-entries*:  
 $\text{rbt-sorted } t \implies \text{map-of } (\text{entries } t) = \text{rbt-lookup } t$   
 ⟨*proof*⟩

**lemma** *rbt-lookup-in-tree*:  $\text{rbt-sorted } t \implies \text{rbt-lookup } t \ k = \text{Some } v \iff (k, v) \in \text{set } (\text{entries } t)$   
 ⟨*proof*⟩

**lemma** *set-entries-inject*:  
**assumes** *rbt-sorted*:  $\text{rbt-sorted } t1 \ \text{rbt-sorted } t2$   
**shows**  $\text{set } (\text{entries } t1) = \text{set } (\text{entries } t2) \iff \text{entries } t1 = \text{entries } t2$   
 ⟨*proof*⟩

**lemma** *entries-eqI*:  
**assumes** *rbt-sorted*:  $\text{rbt-sorted } t1 \ \text{rbt-sorted } t2$   
**assumes** *rbt-lookup*:  $\text{rbt-lookup } t1 = \text{rbt-lookup } t2$   
**shows**  $\text{entries } t1 = \text{entries } t2$   
 ⟨*proof*⟩

**lemma** *entries-rbt-lookup*:  
**assumes** *rbt-sorted*  $t1 \ \text{rbt-sorted } t2$   
**shows**  $\text{entries } t1 = \text{entries } t2 \iff \text{rbt-lookup } t1 = \text{rbt-lookup } t2$   
 ⟨*proof*⟩

**lemma** *rbt-lookup-from-in-tree*:  
**assumes** *rbt-sorted*  $t1 \ \text{rbt-sorted } t2$   
**and**  $\bigwedge v. (k, v) \in \text{set } (\text{entries } t1) \iff (k, v) \in \text{set } (\text{entries } t2)$   
**shows**  $\text{rbt-lookup } t1 \ k = \text{rbt-lookup } t2 \ k$   
 ⟨*proof*⟩

end

### 110.2.4 Red-black properties

**primrec** *color-of* :: ('a, 'b) rbt  $\Rightarrow$  color  
**where**

*color-of* Empty = B  
 | *color-of* (Branch c - - -) = c

**primrec** *bheight* :: ('a, 'b) rbt  $\Rightarrow$  nat

**where**

*bheight* Empty = 0  
 | *bheight* (Branch c lt k v rt) = (if c = B then Suc (*bheight* lt) else *bheight* lt)

**primrec** *inv1* :: ('a, 'b) rbt  $\Rightarrow$  bool

**where**

*inv1* Empty = True  
 | *inv1* (Branch c lt k v rt)  $\longleftrightarrow$  *inv1* lt  $\wedge$  *inv1* rt  $\wedge$  (c = B  $\vee$  *color-of* lt = B  $\wedge$  *color-of* rt = B)

**primrec** *inv1l* :: ('a, 'b) rbt  $\Rightarrow$  bool — Weaker version

**where**

*inv1l* Empty = True  
 | *inv1l* (Branch c l k v r) = (*inv1* l  $\wedge$  *inv1* r)

**lemma** [*simp*]: *inv1* t  $\Longrightarrow$  *inv1l* t *<proof>*

**primrec** *inv2* :: ('a, 'b) rbt  $\Rightarrow$  bool

**where**

*inv2* Empty = True  
 | *inv2* (Branch c lt k v rt) = (*inv2* lt  $\wedge$  *inv2* rt  $\wedge$  *bheight* lt = *bheight* rt)

**context** *ord* **begin**

**definition** *is-rbt* :: ('a, 'b) rbt  $\Rightarrow$  bool **where**

*is-rbt* t  $\longleftrightarrow$  *inv1* t  $\wedge$  *inv2* t  $\wedge$  *color-of* t = B  $\wedge$  *rbt-sorted* t

**lemma** *is-rbt-rbt-sorted* [*simp*]:

*is-rbt* t  $\Longrightarrow$  *rbt-sorted* t *<proof>*

**theorem** *Empty-is-rbt* [*simp*]:

*is-rbt* Empty *<proof>*

end

### 110.3 Insertion

The function definitions are based on the book by Okasaki.

**fun**

$balance :: ('a,'b) rbt \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a,'b) rbt \Rightarrow ('a,'b) rbt$

**where**

$balance (Branch R a w x b) s t (Branch R c y z d) = Branch R (Branch B a w x b) s t (Branch B c y z d) |$

$balance (Branch R (Branch R a w x b) s t c) y z d = Branch R (Branch B a w x b) s t (Branch B c y z d) |$

$balance (Branch R a w x (Branch R b s t c)) y z d = Branch R (Branch B a w x b) s t (Branch B c y z d) |$

$balance a w x (Branch R b s t (Branch R c y z d)) = Branch R (Branch B a w x b) s t (Branch B c y z d) |$

$balance a w x (Branch R (Branch R b s t c) y z d) = Branch R (Branch B a w x b) s t (Branch B c y z d) |$

$balance a s t b = Branch B a s t b$

**lemma** *balance-inv1*:  $\llbracket inv1 l; inv1 r \rrbracket \Longrightarrow inv1 (balance l k v r)$

*<proof>*

**lemma** *balance-bheight*:  $bheight l = bheight r \Longrightarrow bheight (balance l k v r) = Suc (bheight l)$

*<proof>*

**lemma** *balance-inv2*:

**assumes**  $inv2 l inv2 r bheight l = bheight r$

**shows**  $inv2 (balance l k v r)$

*<proof>*

**context** *ord begin*

**lemma** *balance-rbt-greater[simp]*:  $(v \ll | balance a k x b) = (v \ll | a \wedge v \ll | b \wedge v < k)$

*<proof>*

**lemma** *balance-rbt-less[simp]*:  $(balance a k x b | \ll v) = (a | \ll v \wedge b | \ll v \wedge k < v)$

*<proof>*

**end**

**lemma** (**in** *linorder*) *balance-rbt-sorted*:

**fixes**  $k :: 'a$

**assumes**  $rbt-sorted l rbt-sorted r l | \ll k k \ll | r$

**shows**  $rbt-sorted (balance l k v r)$

*<proof>*

**lemma** *entries-balance [simp]*:

$entries (balance l k v r) = entries l @ (k, v) \# entries r$

*<proof>*

**lemma** *keys-balance [simp]*:

$keys (balance l k v r) = keys l @ k \# keys r$

*<proof>*

**lemma** *balance-in-tree*:

*entry-in-tree*  $k\ x$  (*balance*  $l\ v\ y\ r$ )  $\longleftrightarrow$  *entry-in-tree*  $k\ x\ l \vee k = v \wedge x = y \vee$   
*entry-in-tree*  $k\ x\ r$   
*<proof>*

**lemma** (*in linorder*) *rbt-lookup-balance*[*simp*]:

**fixes**  $k :: 'a$

**assumes** *rbt-sorted*  $l$  *rbt-sorted*  $r\ l \ll k k \ll r$

**shows** *rbt-lookup* (*balance*  $l\ k\ v\ r$ )  $x =$  *rbt-lookup* (*Branch*  $B\ l\ k\ v\ r$ )  $x$   
*<proof>*

**primrec** *paint* :: *color*  $\Rightarrow$  ( $'a, 'b$ ) *rbt*  $\Rightarrow$  ( $'a, 'b$ ) *rbt*

**where**

*paint*  $c$  *Empty* = *Empty*  
 $|$  *paint*  $c$  (*Branch* -  $l\ k\ v\ r$ ) = *Branch*  $c\ l\ k\ v\ r$

**lemma** *paint-inv1l*[*simp*]: *inv1l*  $t \Longrightarrow$  *inv1l* (*paint*  $c\ t$ ) *<proof>*

**lemma** *paint-inv1*[*simp*]: *inv1*  $t \Longrightarrow$  *inv1* (*paint*  $B\ t$ ) *<proof>*

**lemma** *paint-inv2*[*simp*]: *inv2*  $t \Longrightarrow$  *inv2* (*paint*  $c\ t$ ) *<proof>*

**lemma** *paint-color-of*[*simp*]: *color-of* (*paint*  $B\ t$ ) =  $B$  *<proof>*

**lemma** *paint-in-tree*[*simp*]: *entry-in-tree*  $k\ x$  (*paint*  $c\ t$ ) = *entry-in-tree*  $k\ x\ t$  *<proof>*

**context** *ord* **begin**

**lemma** *paint-rbt-sorted*[*simp*]: *rbt-sorted*  $t \Longrightarrow$  *rbt-sorted* (*paint*  $c\ t$ ) *<proof>*

**lemma** *paint-rbt-lookup*[*simp*]: *rbt-lookup* (*paint*  $c\ t$ ) = *rbt-lookup*  $t$  *<proof>*

**lemma** *paint-rbt-greater*[*simp*]: ( $v \ll$  *paint*  $c\ t$ ) = ( $v \ll$   $t$ ) *<proof>*

**lemma** *paint-rbt-less*[*simp*]: (*paint*  $c\ t \ll$   $v$ ) = ( $t \ll$   $v$ ) *<proof>*

**fun**

*rbt-ins* :: ( $'a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b$ )  $\Rightarrow$   $'a \Rightarrow 'b \Rightarrow$  ( $'a, 'b$ ) *rbt*  $\Rightarrow$  ( $'a, 'b$ ) *rbt*

**where**

*rbt-ins*  $f\ k\ v$  *Empty* = *Branch*  $R$  *Empty*  $k\ v$  *Empty*  $|$   
*rbt-ins*  $f\ k\ v$  (*Branch*  $B\ l\ x\ y\ r$ ) = (*if*  $k < x$  *then* *balance* (*rbt-ins*  $f\ k\ v\ l$ )  $x\ y\ r$   
*else if*  $k > x$  *then* *balance*  $l\ x\ y$  (*rbt-ins*  $f\ k\ v\ r$ )  
*else* *Branch*  $B\ l\ x$  ( $f\ k\ y\ v$ )  $r$ )  $|$   
*rbt-ins*  $f\ k\ v$  (*Branch*  $R\ l\ x\ y\ r$ ) = (*if*  $k < x$  *then* *Branch*  $R$  (*rbt-ins*  $f\ k\ v\ l$ )  $x\ y\ r$   
*else if*  $k > x$  *then* *Branch*  $R\ l\ x\ y$  (*rbt-ins*  $f\ k\ v\ r$ )  
*else* *Branch*  $R\ l\ x$  ( $f\ k\ y\ v$ )  $r$ )

**lemma** *ins-inv1-inv2*:

**assumes** *inv1*  $t$  *inv2*  $t$

**shows** *inv2* (*rbt-ins*  $f\ k\ x\ t$ ) *bheight* (*rbt-ins*  $f\ k\ x\ t$ ) = *bheight*  $t$

*color-of*  $t = B \Longrightarrow$  *inv1* (*rbt-ins*  $f\ k\ x\ t$ ) *inv1* (*rbt-ins*  $f\ k\ x\ t$ )

*<proof>*

**end**

**context** *linorder* **begin**

**lemma** *ins-rbt-greater*[*simp*]:  $(v \ll | \text{rbt-ins } f (k :: 'a) x t) = (v \ll | t \wedge k > v)$   
 ⟨*proof*⟩

**lemma** *ins-rbt-less*[*simp*]:  $(\text{rbt-ins } f k x t | \ll v) = (t | \ll v \wedge k < v)$   
 ⟨*proof*⟩

**lemma** *ins-rbt-sorted*[*simp*]:  $\text{rbt-sorted } t \implies \text{rbt-sorted } (\text{rbt-ins } f k x t)$   
 ⟨*proof*⟩

**lemma** *keys-ins*:  $\text{set } (\text{keys } (\text{rbt-ins } f k v t)) = \{ k \} \cup \text{set } (\text{keys } t)$   
 ⟨*proof*⟩

**lemma** *rbt-lookup-ins*:

**fixes**  $k :: 'a$

**assumes** *rbt-sorted*  $t$

**shows**  $\text{rbt-lookup } (\text{rbt-ins } f k v t) x = ((\text{rbt-lookup } t)(k | \rightarrow \text{case } \text{rbt-lookup } t k$   
*of None*  $\Rightarrow v$

$| \text{Some } w \Rightarrow f k w v)) x$

⟨*proof*⟩

**end**

**context** *ord* **begin**

**definition** *rbt-insert-with-key* ::  $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{rbt} \Rightarrow$   
 $('a, 'b) \text{rbt}$

**where** *rbt-insert-with-key*  $f k v t = \text{paint } B (\text{rbt-ins } f k v t)$

**definition** *rbt-insertw-def*: *rbt-insert-with*  $f = \text{rbt-insert-with-key } (\lambda \cdot. f)$

**definition** *rbt-insert* ::  $'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{rbt} \Rightarrow ('a, 'b) \text{rbt}$  **where**  
*rbt-insert* = *rbt-insert-with-key*  $(\lambda \cdot. \text{nv. nv})$

**end**

**context** *linorder* **begin**

**lemma** *rbt-insertwk-rbt-sorted*:  $\text{rbt-sorted } t \implies \text{rbt-sorted } (\text{rbt-insert-with-key } f (k$   
 $:: 'a) x t)$   
 ⟨*proof*⟩

**theorem** *rbt-insertwk-is-rbt*:

**assumes** *inv*: *is-rbt*  $t$

**shows** *is-rbt*  $(\text{rbt-insert-with-key } f k x t)$   
 ⟨*proof*⟩

**lemma** *rbt-lookup-rbt-insertwk*:

**assumes** *rbt-sorted*  $t$

**shows**  $\text{rbt-lookup } (\text{rbt-insert-with-key } f \ k \ v \ t) \ x = ((\text{rbt-lookup } t)(k \ | \rightarrow \ \text{case}$   
 $\text{rbt-lookup } t \ k \ \text{of } \text{None} \Rightarrow v$

$| \text{Some } w \Rightarrow f \ k \ w \ v)) \ x$

$\langle \text{proof} \rangle$

**lemma**  $\text{rbt-insertw-rbt-sorted}: \text{rbt-sorted } t \Longrightarrow \text{rbt-sorted } (\text{rbt-insert-with } f \ k \ v \ t)$

$\langle \text{proof} \rangle$

**theorem**  $\text{rbt-insertw-is-rbt}: \text{is-rbt } t \Longrightarrow \text{is-rbt } (\text{rbt-insert-with } f \ k \ v \ t)$

$\langle \text{proof} \rangle$

**lemma**  $\text{rbt-lookup-rbt-insertw}:$

$\text{is-rbt } t \Longrightarrow$

$\text{rbt-lookup } (\text{rbt-insert-with } f \ k \ v \ t) =$

$(\text{rbt-lookup } t)(k \mapsto (\text{if } k \in \text{dom } (\text{rbt-lookup } t) \ \text{then } f \ (\text{the } (\text{rbt-lookup } t \ k)) \ v$   
 $\text{else } v))$

$\langle \text{proof} \rangle$

**lemma**  $\text{rbt-insert-rbt-sorted}: \text{rbt-sorted } t \Longrightarrow \text{rbt-sorted } (\text{rbt-insert } k \ v \ t)$

$\langle \text{proof} \rangle$

**theorem**  $\text{rbt-insert-is-rbt} \ [\text{simp}]: \text{is-rbt } t \Longrightarrow \text{is-rbt } (\text{rbt-insert } k \ v \ t)$

$\langle \text{proof} \rangle$

**lemma**  $\text{rbt-lookup-rbt-insert}: \text{is-rbt } t \Longrightarrow \text{rbt-lookup } (\text{rbt-insert } k \ v \ t) = (\text{rbt-lookup}$   
 $t)(k \mapsto v)$

$\langle \text{proof} \rangle$

**end**

## 110.4 Deletion

**lemma**  $\text{bheight-paintR}'[\text{simp}]: \text{color-of } t = B \Longrightarrow \text{bheight } (\text{paint } R \ t) = \text{bheight } t$   
 $- 1$

$\langle \text{proof} \rangle$

The function definitions are based on the Haskell code by Stefan Kahrs at <http://www.cs.ukc.ac.uk/people/staff/smk/redblack/rb.html>.

**fun**

$\text{balance-left} :: ('a, 'b) \text{rbt} \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{rbt} \Rightarrow ('a, 'b) \text{rbt}$

**where**

$\text{balance-left } (\text{Branch } R \ a \ k \ x \ b) \ s \ y \ c = \text{Branch } R \ (\text{Branch } B \ a \ k \ x \ b) \ s \ y \ c \ |$

$\text{balance-left } \text{bl } k \ x \ (\text{Branch } B \ a \ s \ y \ b) = \text{balance } \text{bl } k \ x \ (\text{Branch } R \ a \ s \ y \ b) \ |$

$\text{balance-left } \text{bl } k \ x \ (\text{Branch } R \ (\text{Branch } B \ a \ s \ y \ b) \ t \ z \ c) = \text{Branch } R \ (\text{Branch } B \ \text{bl}$   
 $k \ x \ a) \ s \ y \ (\text{balance } b \ t \ z \ (\text{paint } R \ c)) \ |$

$\text{balance-left } t \ k \ x \ s = \text{Empty}$

**lemma**  $\text{balance-left-inv2-with-inv1}:$

**assumes**  $\text{inv2 } lt \ \text{inv2 } rt \ \text{bheight } lt + 1 = \text{bheight } rt \ \text{inv1 } rt$

**shows**  $\text{bheight } (\text{balance-left } lt \ k \ v \ rt) = \text{bheight } lt + 1$

**and**  $\text{inv2 } (\text{balance-left } lt \ k \ v \ rt)$

*<proof>*

**lemma** *balance-left-inv2-app*:

**assumes** *inv2 lt inv2 rt bheight lt + 1 = bheight rt color-of rt = B*

**shows** *inv2 (balance-left lt k v rt)*

*bheight (balance-left lt k v rt) = bheight rt*

*<proof>*

**lemma** *balance-left-inv1*:  $\llbracket \text{inv1 } l \text{ a}; \text{ inv1 } b; \text{ color-of } b = B \rrbracket \implies \text{inv1 } (\text{balance-left } a \text{ k } x \text{ b})$

*<proof>*

**lemma** *balance-left-inv1l*:  $\llbracket \text{inv1 } l \text{ lt}; \text{ inv1 } rt \rrbracket \implies \text{inv1 } (\text{balance-left } lt \text{ k } x \text{ rt})$

*<proof>*

**lemma** (in *linorder*) *balance-left-rbt-sorted*:

$\llbracket \text{rbt-sorted } l; \text{ rbt-sorted } r; \text{ rbt-less } k \text{ l}; k \ll | r \rrbracket \implies \text{rbt-sorted } (\text{balance-left } l \text{ k } v \text{ r})$

*<proof>*

**context** *order begin*

**lemma** *balance-left-rbt-greater*:

**fixes** *k :: 'a*

**assumes** *k <<| a k <<| b k < x*

**shows** *k <<| balance-left a x t b*

*<proof>*

**lemma** *balance-left-rbt-less*:

**fixes** *k :: 'a*

**assumes** *a << k b << k x < k*

**shows** *balance-left a x t b << k*

*<proof>*

**end**

**lemma** *balance-left-in-tree*:

**assumes** *inv1 l inv1 r bheight l + 1 = bheight r*

**shows** *entry-in-tree k v (balance-left l a b r) = (entry-in-tree k v l  $\vee$  k = a  $\wedge$  v = b  $\vee$  entry-in-tree k v r)*

*<proof>*

**fun**

*balance-right :: ('a,'b) rbt  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  ('a,'b) rbt  $\Rightarrow$  ('a,'b) rbt*

**where**

*balance-right a k x (Branch R b s y c) = Branch R a k x (Branch B b s y c) |*

*balance-right (Branch B a k x b) s y bl = balance (Branch R a k x b) s y bl |*

*balance-right (Branch R a k x (Branch B b s y c)) t z bl = Branch R (balance (paint R a) k x b) s y (Branch B c t z bl) |*



*balance-right t k x s = Empty*

**lemma** *balance-right-inv2-with-inv1*:

**assumes** *inv2 lt inv2 rt bheight lt = bheight rt + 1 inv1 lt*

**shows** *inv2 (balance-right lt k v rt)  $\wedge$  bheight (balance-right lt k v rt) = bheight lt*  
*<proof>*

**lemma** *balance-right-inv1*:  $\llbracket \text{inv1 } a; \text{inv1l } b; \text{color-of } a = B \rrbracket \implies \text{inv1 (balance-right } a \text{ k x b)}$

*<proof>*

**lemma** *balance-right-inv1l*:  $\llbracket \text{inv1 lt; inv1l rt} \rrbracket \implies \text{inv1l (balance-right lt k x rt)}$

*<proof>*

**lemma** (in *linorder*) *balance-right-rbt-sorted*:

$\llbracket \text{rbt-sorted } l; \text{rbt-sorted } r; \text{rbt-less } k \text{ l}; k \ll | r \rrbracket \implies \text{rbt-sorted (balance-right l k v r)}$

*<proof>*

**context** *order begin*

**lemma** *balance-right-rbt-greater*:

**fixes** *k :: 'a*

**assumes** *k  $\ll$  | a k  $\ll$  | b k < x*

**shows** *k  $\ll$  | balance-right a x t b*

*<proof>*

**lemma** *balance-right-rbt-less*:

**fixes** *k :: 'a*

**assumes** *a  $\ll$  k b  $\ll$  k x < k*

**shows** *balance-right a x t b  $\ll$  k*

*<proof>*

**end**

**lemma** *balance-right-in-tree*:

**assumes** *inv1 l inv1l r bheight l = bheight r + 1 inv2 l inv2 r*

**shows** *entry-in-tree x y (balance-right l k v r) = (entry-in-tree x y l  $\vee$  x = k  $\wedge$  y = v  $\vee$  entry-in-tree x y r)*

*<proof>*

**fun**

*combine :: ('a,'b) rbt  $\Rightarrow$  ('a,'b) rbt  $\Rightarrow$  ('a,'b) rbt*

**where**

*combine Empty x = x*

*| combine x Empty = x*

*| combine (Branch R a k x b) (Branch R c s y d) = (case (combine b c) of  
 Branch R b2 t z c2  $\Rightarrow$  (Branch R (Branch R a k x*

$b2) t z (Branch R c2 s y d) |$   
 $bc \Rightarrow Branch R a k x (Branch R bc s y d)$   
 $| combine (Branch B a k x b) (Branch B c s y d) = (case (combine b c) of$   
 $Branch R b2 t z c2 \Rightarrow Branch R (Branch B a k x b2)$   
 $t z (Branch B c2 s y d) |$   
 $bc \Rightarrow balance-left a k x (Branch B bc s y d))$   
 $| combine a (Branch R b k x c) = Branch R (combine a b) k x c$   
 $| combine (Branch R a k x b) c = Branch R a k x (combine b c)$

**lemma** *combine-inv2*:

**assumes** *inv2 lt inv2 rt bheight lt = bheight rt*

**shows** *bheight (combine lt rt) = bheight lt inv2 (combine lt rt)*

*<proof>*

**lemma** *combine-inv1*:

**assumes** *inv1 lt inv1 rt*

**shows** *color-of lt = B  $\implies$  color-of rt = B  $\implies$  inv1 (combine lt rt)*

*inv1l (combine lt rt)*

*<proof>*

**context** *linorder begin*

**lemma** *combine-rbt-greater[simp]*:

**fixes** *k :: 'a*

**assumes** *k <| l k <| r*

**shows** *k <| combine l r*

*<proof>*

**lemma** *combine-rbt-less[simp]*:

**fixes** *k :: 'a*

**assumes** *l |< k r |< k*

**shows** *combine l r |< k*

*<proof>*

**lemma** *combine-rbt-sorted*:

**fixes** *k :: 'a*

**assumes** *rbt-sorted l rbt-sorted r l |< k k <| r*

**shows** *rbt-sorted (combine l r)*

*<proof>*

**end**

**lemma** *combine-in-tree*:

**assumes** *inv2 l inv2 r bheight l = bheight r inv1 l inv1 r*

**shows** *entry-in-tree k v (combine l r) = (entry-in-tree k v l  $\vee$  entry-in-tree k v r)*

*<proof>*

**context** *ord begin*

**fun**

*rbt-del-from-left* :: 'a ⇒ ('a,'b) rbt ⇒ 'a ⇒ 'b ⇒ ('a,'b) rbt ⇒ ('a,'b) rbt **and**  
*rbt-del-from-right* :: 'a ⇒ ('a,'b) rbt ⇒ 'a ⇒ 'b ⇒ ('a,'b) rbt ⇒ ('a,'b) rbt **and**  
*rbt-del* :: 'a ⇒ ('a,'b) rbt ⇒ ('a,'b) rbt

**where**

*rbt-del* *x Empty* = *Empty* |  
*rbt-del* *x (Branch c a y s b)* =  
 (if *x < y* then *rbt-del-from-left* *x a y s b*  
 else (if *x > y* then *rbt-del-from-right* *x a y s b* else combine *a b*)) |  
*rbt-del-from-left* *x (Branch B lt z v rt) y s b* = *balance-left* (*rbt-del* *x (Branch B*  
*lt z v rt)*) *y s b* |  
*rbt-del-from-left* *x a y s b* = *Branch R* (*rbt-del* *x a*) *y s b* |  
*rbt-del-from-right* *x a y s (Branch B lt z v rt)* = *balance-right* *a y s (rbt-del* *x*  
*(Branch B lt z v rt))* |  
*rbt-del-from-right* *x a y s b* = *Branch R* *a y s (rbt-del* *x b)*

**end****context** *linorder* **begin****lemma****assumes** *inv2 lt inv1 lt***shows**

[[*inv2 rt; bheight lt = bheight rt; inv1 rt*]] ⇒  
*inv2 (rbt-del-from-left* *x lt k v rt)* ∧  
*bheight (rbt-del-from-left* *x lt k v rt)* = *bheight lt* ∧  
(*color-of lt = B* ∧ *color-of rt = B* ∧ *inv1 (rbt-del-from-left* *x lt k v rt)* ∨  
(*color-of lt ≠ B* ∨ *color-of rt ≠ B*) ∧ *inv1l (rbt-del-from-left* *x lt k v rt)*)  
**and** [[*inv2 rt; bheight lt = bheight rt; inv1 rt*]] ⇒  
*inv2 (rbt-del-from-right* *x lt k v rt)* ∧  
*bheight (rbt-del-from-right* *x lt k v rt)* = *bheight lt* ∧  
(*color-of lt = B* ∧ *color-of rt = B* ∧ *inv1 (rbt-del-from-right* *x lt k v rt)* ∨  
(*color-of lt ≠ B* ∨ *color-of rt ≠ B*) ∧ *inv1l (rbt-del-from-right* *x lt k v rt)*)  
**and** *rbt-del-inv1-inv2: inv2 (rbt-del* *x lt)* ∧ (*color-of lt = R* ∧ *bheight (rbt-del* *x*  
*lt)* = *bheight lt* ∧ *inv1 (rbt-del* *x lt)*)  
 ∨ *color-of lt = B* ∧ *bheight (rbt-del* *x lt)* = *bheight lt - 1* ∧ *inv1l (rbt-del* *x lt)*)  
 ⟨*proof*⟩

**lemma**

*rbt-del-from-left-rbt-less*: [[*lt |<< v; rt |<< v; k < v*]] ⇒ *rbt-del-from-left* *x lt k y*  
*rt |<< v*

**and** *rbt-del-from-right-rbt-less*: [[*lt |<< v; rt |<< v; k < v*]] ⇒ *rbt-del-from-right* *x*  
*lt k y rt |<< v*

**and** *rbt-del-rbt-less*: *lt |<< v* ⇒ *rbt-del* *x lt |<< v*  
 ⟨*proof*⟩

**lemma** *rbt-del-from-left-rbt-greater*: [[*v << lt; v << rt; k > v*]] ⇒ *v << rbt-del-from-left*  
*x lt k y rt*

**and** *rbt-del-from-right-rbt-greater*:  $\llbracket v \ll | lt; v \ll | rt; k > v \rrbracket \implies v \ll | \text{rbt-del-from-right } x \text{ } lt \text{ } k \text{ } y \text{ } rt$

**and** *rbt-del-rbt-greater*:  $v \ll | lt \implies v \ll | \text{rbt-del } x \text{ } lt$   
 $\langle \text{proof} \rangle$

**lemma**  $\llbracket \text{rbt-sorted } lt; \text{rbt-sorted } rt; lt \ll k; k \ll | rt \rrbracket \implies \text{rbt-sorted } (\text{rbt-del-from-left } x \text{ } lt \text{ } k \text{ } y \text{ } rt)$

**and**  $\llbracket \text{rbt-sorted } lt; \text{rbt-sorted } rt; lt \ll k; k \ll | rt \rrbracket \implies \text{rbt-sorted } (\text{rbt-del-from-right } x \text{ } lt \text{ } k \text{ } y \text{ } rt)$

**and** *rbt-del-rbt-sorted*:  $\text{rbt-sorted } lt \implies \text{rbt-sorted } (\text{rbt-del } x \text{ } lt)$   
 $\langle \text{proof} \rangle$

**lemma**  $\llbracket \text{rbt-sorted } lt; \text{rbt-sorted } rt; lt \ll kt; kt \ll | rt; \text{inv1 } lt; \text{inv1 } rt; \text{inv2 } lt; \text{inv2 } rt; \text{bheight } lt = \text{bheight } rt; x < kt \rrbracket \implies \text{entry-in-tree } k \text{ } v (\text{rbt-del-from-left } x \text{ } lt \text{ } kt \text{ } y \text{ } rt) = (\text{False} \vee (x \neq k \wedge \text{entry-in-tree } k \text{ } v (\text{Branch } c \text{ } lt \text{ } kt \text{ } y \text{ } rt)))$

**and**  $\llbracket \text{rbt-sorted } lt; \text{rbt-sorted } rt; lt \ll kt; kt \ll | rt; \text{inv1 } lt; \text{inv1 } rt; \text{inv2 } lt; \text{inv2 } rt; \text{bheight } lt = \text{bheight } rt; x > kt \rrbracket \implies \text{entry-in-tree } k \text{ } v (\text{rbt-del-from-right } x \text{ } lt \text{ } kt \text{ } y \text{ } rt) = (\text{False} \vee (x \neq k \wedge \text{entry-in-tree } k \text{ } v (\text{Branch } c \text{ } lt \text{ } kt \text{ } y \text{ } rt)))$

**and** *rbt-del-in-tree*:  $\llbracket \text{rbt-sorted } t; \text{inv1 } t; \text{inv2 } t \rrbracket \implies \text{entry-in-tree } k \text{ } v (\text{rbt-del } x \text{ } t) = (\text{False} \vee (x \neq k \wedge \text{entry-in-tree } k \text{ } v \text{ } t))$   
 $\langle \text{proof} \rangle$

**definition** (*in ord*) *rbt-delete where*

*rbt-delete*  $k \text{ } t = \text{paint } B (\text{rbt-del } k \text{ } t)$

**theorem** *rbt-delete-is-rbt* [*simp*]: **assumes** *is-rbt*  $t$  **shows** *is-rbt*  $(\text{rbt-delete } k \text{ } t)$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-delete-in-tree*:

**assumes** *is-rbt*  $t$

**shows**  $\text{entry-in-tree } k \text{ } v (\text{rbt-delete } x \text{ } t) = (x \neq k \wedge \text{entry-in-tree } k \text{ } v \text{ } t)$

$\langle \text{proof} \rangle$

**lemma** *rbt-lookup-rbt-delete*:

**assumes** *is-rbt*: *is-rbt*  $t$

**shows**  $\text{rbt-lookup } (\text{rbt-delete } k \text{ } t) = (\text{rbt-lookup } t) |'(-\{k\})$

$\langle \text{proof} \rangle$

**end**

## 110.5 Modifying existing entries

**context** *ord* **begin**

**primrec**

*rbt-map-entry*  $:: 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{rbt} \Rightarrow ('a, 'b) \text{rbt}$

**where**

*rbt-map-entry*  $k \text{ } f \text{ } \text{Empty} = \text{Empty}$

$| \text{rbt-map-entry } k \text{ } f (\text{Branch } c \text{ } lt \text{ } x \text{ } v \text{ } rt) =$

(if  $k < x$  then Branch  $c$  (rbt-map-entry  $k$   $f$   $lt$ )  $x$   $v$   $rt$   
 else if  $k > x$  then (Branch  $c$   $lt$   $x$   $v$  (rbt-map-entry  $k$   $f$   $rt$ ))  
 else Branch  $c$   $lt$   $x$  ( $f$   $v$ )  $rt$ )

**lemma** *rbt-map-entry-color-of*: color-of (rbt-map-entry  $k$   $f$   $t$ ) = color-of  $t$  *<proof>*  
**lemma** *rbt-map-entry-inv1*: inv1 (rbt-map-entry  $k$   $f$   $t$ ) = inv1  $t$  *<proof>*  
**lemma** *rbt-map-entry-inv2*: inv2 (rbt-map-entry  $k$   $f$   $t$ ) = inv2  $t$  bheight (rbt-map-entry  
 $k$   $f$   $t$ ) = bheight  $t$  *<proof>*  
**lemma** *rbt-map-entry-rbt-greater*: rbt-greater  $a$  (rbt-map-entry  $k$   $f$   $t$ ) = rbt-greater  
 $a$   $t$  *<proof>*  
**lemma** *rbt-map-entry-rbt-less*: rbt-less  $a$  (rbt-map-entry  $k$   $f$   $t$ ) = rbt-less  $a$   $t$  *<proof>*  
**lemma** *rbt-map-entry-rbt-sorted*: rbt-sorted (rbt-map-entry  $k$   $f$   $t$ ) = rbt-sorted  $t$   
*<proof>*

**theorem** *rbt-map-entry-is-rbt [simp]*: is-rbt (rbt-map-entry  $k$   $f$   $t$ ) = is-rbt  $t$   
*<proof>*

**end**

**theorem** (in *linorder*) *rbt-lookup-rbt-map-entry*:  
 rbt-lookup (rbt-map-entry  $k$   $f$   $t$ ) = (rbt-lookup  $t$ )( $k$  := map-option  $f$  (rbt-lookup  $t$   
 $k$ ))  
*<proof>*

## 110.6 Mapping all entries

**primrec**

$map$  :: ( $'a \Rightarrow 'b \Rightarrow 'c$ )  $\Rightarrow$  ( $'a$ ,  $'b$ ) rbt  $\Rightarrow$  ( $'a$ ,  $'c$ ) rbt

**where**

$map$   $f$  Empty = Empty

|  $map$   $f$  (Branch  $c$   $lt$   $k$   $v$   $rt$ ) = Branch  $c$  ( $map$   $f$   $lt$ )  $k$  ( $f$   $k$   $v$ ) ( $map$   $f$   $rt$ )

**lemma** *map-entries [simp]*: entries ( $map$   $f$   $t$ ) = List.map ( $\lambda(k, v). (k, f$   $k$   $v$ ))  
 (entries  $t$ )  
*<proof>*

**lemma** *map-keys [simp]*: keys ( $map$   $f$   $t$ ) = keys  $t$  *<proof>*

**lemma** *map-color-of*: color-of ( $map$   $f$   $t$ ) = color-of  $t$  *<proof>*

**lemma** *map-inv1*: inv1 ( $map$   $f$   $t$ ) = inv1  $t$  *<proof>*

**lemma** *map-inv2*: inv2 ( $map$   $f$   $t$ ) = inv2  $t$  bheight ( $map$   $f$   $t$ ) = bheight  $t$  *<proof>*

**context** *ord* **begin**

**lemma** *map-rbt-greater*: rbt-greater  $k$  ( $map$   $f$   $t$ ) = rbt-greater  $k$   $t$  *<proof>*

**lemma** *map-rbt-less*: rbt-less  $k$  ( $map$   $f$   $t$ ) = rbt-less  $k$   $t$  *<proof>*

**lemma** *map-rbt-sorted*: rbt-sorted ( $map$   $f$   $t$ ) = rbt-sorted  $t$  *<proof>*

**theorem** *map-is-rbt [simp]*: is-rbt ( $map$   $f$   $t$ ) = is-rbt  $t$   
*<proof>*

**end**

**theorem** (in *linorder*) *rbt-lookup-map*:  $\text{rbt-lookup } (\text{map } f \ t) \ x = \text{map-option } (f \ x)$   
 $(\text{rbt-lookup } t \ x)$   
 ⟨*proof*⟩

**hide-const** (open) *map*

## 110.7 Folding over entries

**definition** *fold* ::  $('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a, 'b) \text{ rbt} \Rightarrow 'c \Rightarrow 'c$  **where**  
 $\text{fold } f \ t = \text{List.fold } (\text{case-prod } f) \ (\text{entries } t)$

**lemma** *fold-simps* [*simp*]:  
 $\text{fold } f \ \text{Empty} = \text{id}$   
 $\text{fold } f \ (\text{Branch } c \ lt \ k \ v \ rt) = \text{fold } f \ rt \circ f \ k \ v \circ \text{fold } f \ lt$   
 ⟨*proof*⟩

**lemma** *fold-code* [*code*]:  
 $\text{fold } f \ \text{Empty} \ x = x$   
 $\text{fold } f \ (\text{Branch } c \ lt \ k \ v \ rt) \ x = \text{fold } f \ rt \ (f \ k \ v \ (\text{fold } f \ lt \ x))$   
 ⟨*proof*⟩

**fun** *foldi* ::  $('c \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a :: \text{linorder}, 'b) \text{ rbt} \Rightarrow 'c \Rightarrow 'c$

**where**  
 $\text{foldi } c \ f \ \text{Empty} \ s = s \mid$   
 $\text{foldi } c \ f \ (\text{Branch } col \ l \ k \ v \ r) \ s = ($   
   if  $(c \ s)$  then  
     let  $s' = \text{foldi } c \ f \ l \ s$  in  
     if  $(c \ s')$  then  
        $\text{foldi } c \ f \ r \ (f \ k \ v \ s')$   
     else  $s'$   
   else  
      $s$   
 )

## 110.8 Bulkloading a tree

**definition** (in *ord*) *rbt-bulkload* ::  $('a \times 'b) \text{ list} \Rightarrow ('a, 'b) \text{ rbt}$  **where**  
 $\text{rbt-bulkload } xs = \text{foldr } (\lambda(k, v). \text{rbt-insert } k \ v) \ xs \ \text{Empty}$

**context** *linorder* **begin**

**lemma** *rbt-bulkload-is-rbt* [*simp*, *intro*]:  
 $\text{is-rbt } (\text{rbt-bulkload } xs)$   
 ⟨*proof*⟩

**lemma** *rbt-lookup-rbt-bulkload*:  
 $rbt\_lookup (rbt\_bulkload\ xs) = map\_of\ xs$   
 ⟨proof⟩

**end**

## 110.9 Building a RBT from a sorted list

These functions have been adapted from Andrew W. Appel, Efficient Verified Red-Black Trees (September 2011)

**fun** *rbtreeify-f* ::  $nat \Rightarrow ('a \times 'b)\ list \Rightarrow ('a, 'b)\ rbt \times ('a \times 'b)\ list$   
**and** *rbtreeify-g* ::  $nat \Rightarrow ('a \times 'b)\ list \Rightarrow ('a, 'b)\ rbt \times ('a \times 'b)\ list$   
**where**

*rbtreeify-f* *n* *kvs* =  
 (if  $n = 0$  then (*Empty*, *kvs*)  
 else if  $n = 1$  then  
   case *kvs* of (*k*, *v*) # *kvs'*  $\Rightarrow$  (*Branch R Empty k v Empty*, *kvs'*)  
 else if  $(n \bmod 2 = 0)$  then  
   case *rbtreeify-f*  $(n \text{ div } 2)$  *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
     *apfst* (*Branch B t1 k v*) (*rbtreeify-g*  $(n \text{ div } 2)$  *kvs'*)  
   else case *rbtreeify-f*  $(n \text{ div } 2)$  *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
     *apfst* (*Branch B t1 k v*) (*rbtreeify-f*  $(n \text{ div } 2)$  *kvs'*)

| *rbtreeify-g* *n* *kvs* =  
 (if  $n = 0 \vee n = 1$  then (*Empty*, *kvs*)  
 else if  $n \bmod 2 = 0$  then  
   case *rbtreeify-g*  $(n \text{ div } 2)$  *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
     *apfst* (*Branch B t1 k v*) (*rbtreeify-g*  $(n \text{ div } 2)$  *kvs'*)  
   else case *rbtreeify-f*  $(n \text{ div } 2)$  *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
     *apfst* (*Branch B t1 k v*) (*rbtreeify-g*  $(n \text{ div } 2)$  *kvs'*)

**definition** *rbtreeify* ::  $('a \times 'b)\ list \Rightarrow ('a, 'b)\ rbt$   
**where** *rbtreeify* *kvs* = *fst* (*rbtreeify-g* (*Suc* (*length* *kvs*)) *kvs*)

**declare** *rbtreeify-f.simps* [*simp del*] *rbtreeify-g.simps* [*simp del*]

**lemma** *rbtreeify-f-code* [*code*]:  
*rbtreeify-f* *n* *kvs* =  
 (if  $n = 0$  then (*Empty*, *kvs*)  
 else if  $n = 1$  then  
   case *kvs* of (*k*, *v*) # *kvs'*  $\Rightarrow$   
     (*Branch R Empty k v Empty*, *kvs'*)  
 else let  $(n', r) = Divides.divmod\ nat\ n\ 2$  in  
   if  $r = 0$  then  
     case *rbtreeify-f*  $n'$  *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
       *apfst* (*Branch B t1 k v*) (*rbtreeify-g*  $n'$  *kvs'*)  
     else case *rbtreeify-f*  $n'$  *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
       *apfst* (*Branch B t1 k v*) (*rbtreeify-f*  $n'$  *kvs'*)

⟨proof⟩

**lemma** *rbtreeify-g-code* [code]:

```
rbtreeify-g n kvs =
  (if n = 0 ∨ n = 1 then (Empty, kvs)
   else let (n', r) = Divides.divmod-nat n 2 in
        if r = 0 then
          case rbtreeify-g n' kvs of (t1, (k, v) # kvs') ⇒
            apfst (Branch B t1 k v) (rbtreeify-g n' kvs')
          else case rbtreeify-f n' kvs of (t1, (k, v) # kvs') ⇒
            apfst (Branch B t1 k v) (rbtreeify-g n' kvs'))
```

⟨proof⟩

**lemma** *Suc-double-half*:  $Suc (2 * n) div 2 = n$

⟨proof⟩

**lemma** *div2-plus-div2*:  $n div 2 + n div 2 = (n :: nat) - n mod 2$

⟨proof⟩

**lemma** *rbtreeify-f-rec-aux-lemma*:

```
[[k - n div 2 = Suc k'; n ≤ k; n mod 2 = Suc 0]]
⇒ k' - n div 2 = k - n
```

⟨proof⟩

**lemma** *rbtreeify-f-simps*:

```
rbtreeify-f 0 kvs = (Empty, kvs)
rbtreeify-f (Suc 0) ((k, v) # kvs) =
  (Branch R Empty k v Empty, kvs)
0 < n ⇒ rbtreeify-f (2 * n) kvs =
  (case rbtreeify-f n kvs of (t1, (k, v) # kvs') ⇒
    apfst (Branch B t1 k v) (rbtreeify-g n kvs'))
0 < n ⇒ rbtreeify-f (Suc (2 * n)) kvs =
  (case rbtreeify-f n kvs of (t1, (k, v) # kvs') ⇒
    apfst (Branch B t1 k v) (rbtreeify-f n kvs'))
```

⟨proof⟩

**lemma** *rbtreeify-g-simps*:

```
rbtreeify-g 0 kvs = (Empty, kvs)
rbtreeify-g (Suc 0) kvs = (Empty, kvs)
0 < n ⇒ rbtreeify-g (2 * n) kvs =
  (case rbtreeify-g n kvs of (t1, (k, v) # kvs') ⇒
    apfst (Branch B t1 k v) (rbtreeify-g n kvs'))
0 < n ⇒ rbtreeify-g (Suc (2 * n)) kvs =
  (case rbtreeify-f n kvs of (t1, (k, v) # kvs') ⇒
    apfst (Branch B t1 k v) (rbtreeify-g n kvs'))
```

⟨proof⟩

**declare** *rbtreeify-f-simps*[simp] *rbtreeify-g-simps*[simp]



**lemma** *length-rbtreeify-f*:  $n \leq \text{length } kvs$   
 $\implies \text{length } (\text{snd } (\text{rbtreeify-f } n \ kvs)) = \text{length } kvs - n$   
**and** *length-rbtreeify-g*:  $\llbracket 0 < n; n \leq \text{Suc } (\text{length } kvs) \rrbracket$   
 $\implies \text{length } (\text{snd } (\text{rbtreeify-g } n \ kvs)) = \text{Suc } (\text{length } kvs) - n$   
 ⟨proof⟩

**lemma** *rbtreeify-induct* [consumes 1, case-names f-0 f-1 f-even f-odd g-0 g-1 g-even g-odd]:

**fixes**  $P \ Q$   
**defines**  $f0 == (\bigwedge kvs. P \ 0 \ kvs)$   
**and**  $f1 == (\bigwedge k \ v \ kvs. P \ (\text{Suc } 0) \ ((k, v) \# \ kvs))$   
**and**  $feven ==$   
 $(\bigwedge n \ kvs \ t \ k \ v \ kvs'. \llbracket n > 0; n \leq \text{length } kvs; P \ n \ kvs;$   
 $\text{rbtreeify-f } n \ kvs = (t, (k, v) \# \ kvs'); n \leq \text{Suc } (\text{length } kvs'); Q \ n \ kvs' \rrbracket$   
 $\implies P \ (2 * n) \ kvs)$   
**and**  $fodd ==$   
 $(\bigwedge n \ kvs \ t \ k \ v \ kvs'. \llbracket n > 0; n \leq \text{length } kvs; P \ n \ kvs;$   
 $\text{rbtreeify-f } n \ kvs = (t, (k, v) \# \ kvs'); n \leq \text{length } kvs'; P \ n \ kvs' \rrbracket$   
 $\implies P \ (\text{Suc } (2 * n)) \ kvs)$   
**and**  $g0 == (\bigwedge kvs. Q \ 0 \ kvs)$   
**and**  $g1 == (\bigwedge kvs. Q \ (\text{Suc } 0) \ kvs)$   
**and**  $geven ==$   
 $(\bigwedge n \ kvs \ t \ k \ v \ kvs'. \llbracket n > 0; n \leq \text{Suc } (\text{length } kvs); Q \ n \ kvs;$   
 $\text{rbtreeify-g } n \ kvs = (t, (k, v) \# \ kvs'); n \leq \text{Suc } (\text{length } kvs'); Q \ n \ kvs' \rrbracket$   
 $\implies Q \ (2 * n) \ kvs)$   
**and**  $godd ==$   
 $(\bigwedge n \ kvs \ t \ k \ v \ kvs'. \llbracket n > 0; n \leq \text{length } kvs; P \ n \ kvs;$   
 $\text{rbtreeify-f } n \ kvs = (t, (k, v) \# \ kvs'); n \leq \text{Suc } (\text{length } kvs'); Q \ n \ kvs' \rrbracket$   
 $\implies Q \ (\text{Suc } (2 * n)) \ kvs)$   
**shows**  $\llbracket n \leq \text{length } kvs;$   
 $\text{PROP } f0; \text{PROP } f1; \text{PROP } feven; \text{PROP } fodd;$   
 $\text{PROP } g0; \text{PROP } g1; \text{PROP } geven; \text{PROP } godd \rrbracket$   
 $\implies P \ n \ kvs$   
**and**  $\llbracket n \leq \text{Suc } (\text{length } kvs);$   
 $\text{PROP } f0; \text{PROP } f1; \text{PROP } feven; \text{PROP } fodd;$   
 $\text{PROP } g0; \text{PROP } g1; \text{PROP } geven; \text{PROP } godd \rrbracket$   
 $\implies Q \ n \ kvs$   
 ⟨proof⟩

**lemma** *inv1-rbtreeify-f*:  $n \leq \text{length } kvs$   
 $\implies \text{inv1 } (\text{fst } (\text{rbtreeify-f } n \ kvs))$   
**and** *inv1-rbtreeify-g*:  $n \leq \text{Suc } (\text{length } kvs)$   
 $\implies \text{inv1 } (\text{fst } (\text{rbtreeify-g } n \ kvs))$   
 ⟨proof⟩

**fun** *plog2* ::  $\text{nat} \Rightarrow \text{nat}$   
**where**  $\text{plog2 } n = (\text{if } n \leq 1 \text{ then } 0 \text{ else } \text{plog2 } (n \ \text{div } 2) + 1)$

**declare** *plog2.simps* [simp del]

**lemma** *plog2-simps* [*simp*]:  
 $plog2\ 0 = 0$   $plog2\ (Suc\ 0) = 0$   
 $0 < n \implies plog2\ (2 * n) = 1 + plog2\ n$   
 $0 < n \implies plog2\ (Suc\ (2 * n)) = 1 + plog2\ n$   
 ⟨*proof*⟩

**lemma** *bheight-rbtreeify-f*:  $n \leq length\ kvs$   
 $\implies bheight\ (fst\ (rbtreeify-f\ n\ kvs)) = plog2\ n$   
**and** *bheight-rbtreeify-g*:  $n \leq Suc\ (length\ kvs)$   
 $\implies bheight\ (fst\ (rbtreeify-g\ n\ kvs)) = plog2\ n$   
 ⟨*proof*⟩

**lemma** *bheight-rbtreeify-f-eq-plog2I*:  
 $\llbracket rbtreeify-f\ n\ kvs = (t, kvs^{\wedge});\ n \leq length\ kvs \rrbracket$   
 $\implies bheight\ t = plog2\ n$   
 ⟨*proof*⟩

**lemma** *bheight-rbtreeify-g-eq-plog2I*:  
 $\llbracket rbtreeify-g\ n\ kvs = (t, kvs^{\wedge});\ n \leq Suc\ (length\ kvs) \rrbracket$   
 $\implies bheight\ t = plog2\ n$   
 ⟨*proof*⟩

**hide-const** (**open**) *plog2*

**lemma** *inv2-rbtreeify-f*:  $n \leq length\ kvs$   
 $\implies inv2\ (fst\ (rbtreeify-f\ n\ kvs))$   
**and** *inv2-rbtreeify-g*:  $n \leq Suc\ (length\ kvs)$   
 $\implies inv2\ (fst\ (rbtreeify-g\ n\ kvs))$   
 ⟨*proof*⟩

**lemma**  $n \leq length\ kvs \implies True$   
**and** *color-of-rbtreeify-g*:  
 $\llbracket n \leq Suc\ (length\ kvs); 0 < n \rrbracket$   
 $\implies color-of\ (fst\ (rbtreeify-g\ n\ kvs)) = B$   
 ⟨*proof*⟩

**lemma** *entries-rbtreeify-f-append*:  
 $n \leq length\ kvs$   
 $\implies entries\ (fst\ (rbtreeify-f\ n\ kvs))\ @\ snd\ (rbtreeify-f\ n\ kvs) = kvs$   
**and** *entries-rbtreeify-g-append*:  
 $n \leq Suc\ (length\ kvs)$   
 $\implies entries\ (fst\ (rbtreeify-g\ n\ kvs))\ @\ snd\ (rbtreeify-g\ n\ kvs) = kvs$   
 ⟨*proof*⟩

**lemma** *length-entries-rbtreeify-f*:  
 $n \leq length\ kvs \implies length\ (entries\ (fst\ (rbtreeify-f\ n\ kvs))) = n$   
**and** *length-entries-rbtreeify-g*:  
 $n \leq Suc\ (length\ kvs) \implies length\ (entries\ (fst\ (rbtreeify-g\ n\ kvs))) = n - 1$

*<proof>*

**lemma** *rbtreeify-f-conv-drop*:

$$n \leq \text{length } kvs \implies \text{snd } (\text{rbtreeify-f } n \text{ } kvs) = \text{drop } n \text{ } kvs$$

*<proof>*

**lemma** *rbtreeify-g-conv-drop*:

$$n \leq \text{Suc } (\text{length } kvs) \implies \text{snd } (\text{rbtreeify-g } n \text{ } kvs) = \text{drop } (n - 1) \text{ } kvs$$

*<proof>*

**lemma** *entries-rbtreeify-f [simp]*:

$$n \leq \text{length } kvs \implies \text{entries } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs)) = \text{take } n \text{ } kvs$$

*<proof>*

**lemma** *entries-rbtreeify-g [simp]*:

$$n \leq \text{Suc } (\text{length } kvs) \implies \text{entries } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) = \text{take } (n - 1) \text{ } kvs$$

*<proof>*

**lemma** *keys-rbtreeify-f [simp]*:  $n \leq \text{length } kvs$

$$\implies \text{keys } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs)) = \text{take } n \text{ } (\text{map } \text{fst } kvs)$$

*<proof>*

**lemma** *keys-rbtreeify-g [simp]*:  $n \leq \text{Suc } (\text{length } kvs)$

$$\implies \text{keys } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) = \text{take } (n - 1) \text{ } (\text{map } \text{fst } kvs)$$

*<proof>*

**lemma** *rbtreeify-fD*:

$$\llbracket \text{rbtreeify-f } n \text{ } kvs = (t, kvs'); n \leq \text{length } kvs \rrbracket \\ \implies \text{entries } t = \text{take } n \text{ } kvs \wedge kvs' = \text{drop } n \text{ } kvs$$

*<proof>*

**lemma** *rbtreeify-gD*:

$$\llbracket \text{rbtreeify-g } n \text{ } kvs = (t, kvs'); n \leq \text{Suc } (\text{length } kvs) \rrbracket \\ \implies \text{entries } t = \text{take } (n - 1) \text{ } kvs \wedge kvs' = \text{drop } (n - 1) \text{ } kvs$$

*<proof>*

**lemma** *entries-rbtreeify [simp]*:  $\text{entries } (\text{rbtreeify } kvs) = kvs$

*<proof>*

**context** *linorder begin*

**lemma** *rbt-sorted-rbtreeify-f*:

$$\llbracket n \leq \text{length } kvs; \text{sorted } (\text{map } \text{fst } kvs); \text{distinct } (\text{map } \text{fst } kvs) \rrbracket \\ \implies \text{rbt-sorted } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs))$$

**and** *rbt-sorted-rbtreeify-g*:

$$\llbracket n \leq \text{Suc } (\text{length } kvs); \text{sorted } (\text{map } \text{fst } kvs); \text{distinct } (\text{map } \text{fst } kvs) \rrbracket \\ \implies \text{rbt-sorted } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs))$$

*<proof>*

**lemma** *rbt-sorted-rbtreeify*:

$\llbracket \text{sorted } (\text{map } \text{fst } \text{kvs}); \text{distinct } (\text{map } \text{fst } \text{kvs}) \rrbracket \implies \text{rbt-sorted } (\text{rbtreeify } \text{kvs})$   
 $\langle \text{proof} \rangle$

**lemma** *is-rbt-rbtreeify*:

$\llbracket \text{sorted } (\text{map } \text{fst } \text{kvs}); \text{distinct } (\text{map } \text{fst } \text{kvs}) \rrbracket$   
 $\implies \text{is-rbt } (\text{rbtreeify } \text{kvs})$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-lookup-rbtreeify*:

$\llbracket \text{sorted } (\text{map } \text{fst } \text{kvs}); \text{distinct } (\text{map } \text{fst } \text{kvs}) \rrbracket \implies$   
 $\text{rbt-lookup } (\text{rbtreeify } \text{kvs}) = \text{map-of } \text{kvs}$   
 $\langle \text{proof} \rangle$

**end**

Functions to compare the height of two rbt trees, taken from Andrew W. Appel, Efficient Verified Red-Black Trees (September 2011)

**fun** *skip-red* :: ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt

**where**

$\text{skip-red } (\text{Branch } \text{color.R } l \ k \ v \ r) = l$   
 $|\ \text{skip-red } t = t$

**definition** *skip-black* :: ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt

**where**

$\text{skip-black } t = (\text{let } t' = \text{skip-red } t \text{ in case } t' \text{ of Branch color.B } l \ k \ v \ r \Rightarrow l \ | \ - \Rightarrow t')$

**datatype** *compare* = *LT* | *GT* | *EQ*

**partial-function** (*tailrec*) *compare-height* :: ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  *compare*

**where**

$\text{compare-height } \text{sx } s \ t \ \text{tx} =$   
 $(\text{case } (\text{skip-red } \text{sx}, \text{skip-red } s, \text{skip-red } t, \text{skip-red } \text{tx}) \text{ of}$   
 $\quad (\text{Branch } - \ \text{sx}' \ - \ - \ -, \text{Branch } - \ s' \ - \ - \ -, \text{Branch } - \ t' \ - \ - \ -, \text{Branch } - \ \text{tx}' \ - \ - \ -) \Rightarrow$   
 $\quad \text{compare-height } (\text{skip-black } \text{sx}') \ s' \ t' \ (\text{skip-black } \text{tx}')$   
 $\quad | \ (-, \text{rbt.Empty}, -, \text{Branch } - \ - \ - \ -) \Rightarrow \text{LT}$   
 $\quad | \ (\text{Branch } - \ - \ - \ -, -, \text{rbt.Empty}, -) \Rightarrow \text{GT}$   
 $\quad | \ (\text{Branch } - \ \text{sx}' \ - \ - \ -, \text{Branch } - \ s' \ - \ - \ -, \text{Branch } - \ t' \ - \ - \ -, \text{rbt.Empty}) \Rightarrow$   
 $\quad \text{compare-height } (\text{skip-black } \text{sx}') \ s' \ t' \ \text{rbt.Empty}$   
 $\quad | \ (\text{rbt.Empty}, \text{Branch } - \ s' \ - \ - \ -, \text{Branch } - \ t' \ - \ - \ -, \text{Branch } - \ \text{tx}' \ - \ - \ -) \Rightarrow$   
 $\quad \text{compare-height } \text{rbt.Empty } s' \ t' \ (\text{skip-black } \text{tx}')$   
 $\quad | \ - \Rightarrow \text{EQ})$

**declare** *compare-height.simps* [*code*]

**hide-type** (**open**) *compare*

**hide-const** (**open**)

*compare-height skip-black skip-red LT GT EQ case-compare rec-compare*

*Abs-compare Rep-compare*

**hide-fact** (**open**)

*Abs-compare-cases Abs-compare-induct Abs-compare-inject Abs-compare-inverse*

*Rep-compare Rep-compare-cases Rep-compare-induct Rep-compare-inject Rep-compare-inverse*

*compare.simps compare.exhaust compare.induct compare.rec compare.simps*

*compare.size compare.case-cong compare.case-cong-weak compare.case*

*compare.nchotomy compare.split compare.split-asm compare.eq.refl compare.eq.simps*

*equal-compare-def*

*skip-red.simps skip-red.cases skip-red.induct*

*skip-black-def*

*compare-height.simps*

## 110.10 union and intersection of sorted associative lists

**context** *ord* **begin**

**function** *sunion-with* :: (*'a*  $\Rightarrow$  *'b*  $\Rightarrow$  *'b*  $\Rightarrow$  *'b*)  $\Rightarrow$  (*'a*  $\times$  *'b*) *list*  $\Rightarrow$  (*'a*  $\times$  *'b*) *list*  $\Rightarrow$  (*'a*  $\times$  *'b*) *list*

**where**

*sunion-with* *f* ((*k*, *v*) # *as*) ((*k'*, *v'*) # *bs*) =

(if *k* > *k'* then (*k'*, *v'*) # *sunion-with* *f* ((*k*, *v*) # *as*) *bs*

else if *k* < *k'* then (*k*, *v*) # *sunion-with* *f* *as* ((*k'*, *v'*) # *bs*)

else (*k*, *f* *k* *v* *v'*) # *sunion-with* *f* *as* *bs*)

| *sunion-with* *f* [] *bs* = *bs*

| *sunion-with* *f* *as* [] = *as*

*<proof>*

**termination** *<proof>*

**function** *sinter-with* :: (*'a*  $\Rightarrow$  *'b*  $\Rightarrow$  *'b*  $\Rightarrow$  *'b*)  $\Rightarrow$  (*'a*  $\times$  *'b*) *list*  $\Rightarrow$  (*'a*  $\times$  *'b*) *list*  $\Rightarrow$  (*'a*  $\times$  *'b*) *list*

**where**

*sinter-with* *f* ((*k*, *v*) # *as*) ((*k'*, *v'*) # *bs*) =

(if *k* > *k'* then *sinter-with* *f* ((*k*, *v*) # *as*) *bs*

else if *k* < *k'* then *sinter-with* *f* *as* ((*k'*, *v'*) # *bs*)

else (*k*, *f* *k* *v* *v'*) # *sinter-with* *f* *as* *bs*)

| *sinter-with* *f* [] - = []

| *sinter-with* *f* - [] = []

*<proof>*

**termination** *<proof>*

**end**

**declare** *ord.sunion-with.simps* [*code*] *ord.sinter-with.simps* [*code*]

**context** *linorder* **begin**

**lemma** *set-fst-sunion-with*:

$set (map\ fst\ (sunion\ with\ f\ xs\ ys)) = set (map\ fst\ xs) \cup set (map\ fst\ ys)$   
 ⟨proof⟩

**lemma** *sorted-sunion-with* [simp]:  
 $\llbracket sorted (map\ fst\ xs); sorted (map\ fst\ ys) \rrbracket$   
 $\implies sorted (map\ fst\ (sunion\ with\ f\ xs\ ys))$   
 ⟨proof⟩

**lemma** *distinct-sunion-with* [simp]:  
 $\llbracket distinct (map\ fst\ xs); distinct (map\ fst\ ys); sorted (map\ fst\ xs); sorted (map\ fst\ ys) \rrbracket$   
 $\implies distinct (map\ fst\ (sunion\ with\ f\ xs\ ys))$   
 ⟨proof⟩

**lemma** *map-of-sunion-with*:  
 $\llbracket sorted (map\ fst\ xs); sorted (map\ fst\ ys) \rrbracket$   
 $\implies map\ of\ (sunion\ with\ f\ xs\ ys)\ k =$   
 (case map-of xs k of None  $\implies$  map-of ys k  
 | Some v  $\implies$  case map-of ys k of None  $\implies$  Some v  
 | Some w  $\implies$  Some (f k v w))  
 ⟨proof⟩

**lemma** *set-fst-sinter-with* [simp]:  
 $\llbracket sorted (map\ fst\ xs); sorted (map\ fst\ ys) \rrbracket$   
 $\implies set (map\ fst\ (sinter\ with\ f\ xs\ ys)) = set (map\ fst\ xs) \cap set (map\ fst\ ys)$   
 ⟨proof⟩

**lemma** *set-fst-sinter-with-subset1*:  
 $set (map\ fst\ (sinter\ with\ f\ xs\ ys)) \subseteq set (map\ fst\ xs)$   
 ⟨proof⟩

**lemma** *set-fst-sinter-with-subset2*:  
 $set (map\ fst\ (sinter\ with\ f\ xs\ ys)) \subseteq set (map\ fst\ ys)$   
 ⟨proof⟩

**lemma** *sorted-sinter-with* [simp]:  
 $\llbracket sorted (map\ fst\ xs); sorted (map\ fst\ ys) \rrbracket$   
 $\implies sorted (map\ fst\ (sinter\ with\ f\ xs\ ys))$   
 ⟨proof⟩

**lemma** *distinct-sinter-with* [simp]:  
 $\llbracket distinct (map\ fst\ xs); distinct (map\ fst\ ys) \rrbracket$   
 $\implies distinct (map\ fst\ (sinter\ with\ f\ xs\ ys))$   
 ⟨proof⟩

**lemma** *map-of-sinter-with*:  
 $\llbracket sorted (map\ fst\ xs); sorted (map\ fst\ ys) \rrbracket$   
 $\implies map\ of\ (sinter\ with\ f\ xs\ ys)\ k =$   
 (case map-of xs k of None  $\implies$  None | Some v  $\implies$  map-option (f k v) (map-of ys

$k$ ))  
 $\langle proof \rangle$

**end**

**lemma** *distinct-map-of-rev*:  $distinct (map fst xs) \implies map-of (rev xs) = map-of xs$   
 $\langle proof \rangle$

**lemma** *map-map-filter*:  
 $map f (List.map-filter g xs) = List.map-filter (map-option f \circ g) xs$   
 $\langle proof \rangle$

**lemma** *map-filter-map-option-const*:  
 $List.map-filter (\lambda x. map-option (\lambda y. f x) (g (f x))) xs = filter (\lambda x. g x \neq None) (map f xs)$   
 $\langle proof \rangle$

**lemma** *set-map-filter*:  $set (List.map-filter P xs) = the ' (P ' set xs - \{None\})$   
 $\langle proof \rangle$

**context** *ord* **begin**

**definition** *rbt-union-with-key* ::  $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt$

**where**

$rbt-union-with-key f t1 t2 =$   
 $(case RBT-Impl.compare-height t1 t1 t2 t2$   
 $of compare.EQ \Rightarrow rbtreeify (sunion-with f (entries t1) (entries t2))$   
 $| compare.LT \Rightarrow fold (rbt-insert-with-key (\lambda k v w. f k w v)) t1 t2$   
 $| compare.GT \Rightarrow fold (rbt-insert-with-key f) t2 t1)$

**definition** *rbt-union-with where*

$rbt-union-with f = rbt-union-with-key (\lambda-. f)$

**definition** *rbt-union where*

$rbt-union = rbt-union-with-key (\% - - rv. rv)$

**definition** *rbt-inter-with-key* ::  $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt$

**where**

$rbt-inter-with-key f t1 t2 =$   
 $(case RBT-Impl.compare-height t1 t1 t2 t2$   
 $of compare.EQ \Rightarrow rbtreeify (sinter-with f (entries t1) (entries t2))$   
 $| compare.LT \Rightarrow rbtreeify (List.map-filter (\lambda(k, v). map-option (\lambda w. (k, f k w v)) (rbt-lookup t2 k)) (entries t1))$   
 $| compare.GT \Rightarrow rbtreeify (List.map-filter (\lambda(k, v). map-option (\lambda w. (k, f k w v)) (rbt-lookup t1 k)) (entries t2)))$

**definition** *rbt-inter-with* **where**

*rbt-inter-with*  $f = \text{rbt-inter-with-key } (\lambda\cdot. f)$

**definition** *rbt-inter* **where**

*rbt-inter*  $= \text{rbt-inter-with-key } (\lambda\cdot - \text{rv. rv})$

**end**

**context** *linorder* **begin**

**lemma** *rbt-sorted-entries-right-unique*:

$\llbracket (k, v) \in \text{set } (\text{entries } t); (k, v') \in \text{set } (\text{entries } t);$   
 $\text{rbt-sorted } t \rrbracket \implies v = v'$

$\langle \text{proof} \rangle$

**lemma** *rbt-sorted-fold-rbt-insertwk*:

$\text{rbt-sorted } t \implies \text{rbt-sorted } (\text{List.fold } (\lambda(k, v). \text{rbt-insert-with-key } f \ k \ v) \ \text{xs } t)$

$\langle \text{proof} \rangle$

**lemma** *is-rbt-fold-rbt-insertwk*:

**assumes** *is-rbt*  $t1$

**shows** *is-rbt*  $(\text{fold } (\text{rbt-insert-with-key } f) \ t2 \ t1)$

$\langle \text{proof} \rangle$

**lemma** *rbt-lookup-fold-rbt-insertwk*:

**assumes**  $t1: \text{rbt-sorted } t1$  **and**  $t2: \text{rbt-sorted } t2$

**shows**  $\text{rbt-lookup } (\text{fold } (\text{rbt-insert-with-key } f) \ t1 \ t2) \ k =$   
 $(\text{case } \text{rbt-lookup } t1 \ k \ \text{of } \text{None} \Rightarrow \text{rbt-lookup } t2 \ k$   
 $\quad | \text{Some } v \Rightarrow \text{case } \text{rbt-lookup } t2 \ k \ \text{of } \text{None} \Rightarrow \text{Some } v$   
 $\quad | \text{Some } w \Rightarrow \text{Some } (f \ k \ w))$

$\langle \text{proof} \rangle$

**lemma** *is-rbt-rbt-unionwk* [*simp*]:

$\llbracket \text{is-rbt } t1; \text{is-rbt } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-union-with-key } f \ t1 \ t2)$

$\langle \text{proof} \rangle$

**lemma** *rbt-lookup-rbt-unionwk*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket$

$\implies \text{rbt-lookup } (\text{rbt-union-with-key } f \ t1 \ t2) \ k =$

$(\text{case } \text{rbt-lookup } t1 \ k \ \text{of } \text{None} \Rightarrow \text{rbt-lookup } t2 \ k$

$\quad | \text{Some } v \Rightarrow \text{case } \text{rbt-lookup } t2 \ k \ \text{of } \text{None} \Rightarrow \text{Some } v$

$\quad | \text{Some } w \Rightarrow \text{Some } (f \ k \ v \ w))$

$\langle \text{proof} \rangle$

**lemma** *rbt-unionw-is-rbt*:  $\llbracket \text{is-rbt } lt; \text{is-rbt } rt \rrbracket \implies \text{is-rbt } (\text{rbt-union-with } f \ lt \ rt)$

$\langle \text{proof} \rangle$

**lemma** *rbt-union-is-rbt*:  $\llbracket \text{is-rbt } lt; \text{is-rbt } rt \rrbracket \implies \text{is-rbt } (\text{rbt-union } lt \ rt)$

$\langle \text{proof} \rangle$



**lemma** *rbt-lookup-rbt-union*:

$\llbracket \text{rbt-sorted } s; \text{rbt-sorted } t \rrbracket \implies$   
 $\text{rbt-lookup } (\text{rbt-union } s \ t) = \text{rbt-lookup } s \ ++ \ \text{rbt-lookup } t$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-interuk-is-rbt* [*simp*]:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-inter-with-key } f \ t1 \ t2)$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-interw-is-rbt*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-inter-with } f \ t1 \ t2)$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-inter-is-rbt*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-inter } t1 \ t2)$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-lookup-rbt-interuk*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket$   
 $\implies \text{rbt-lookup } (\text{rbt-inter-with-key } f \ t1 \ t2) \ k =$   
 $(\text{case } \text{rbt-lookup } t1 \ k \ \text{of } \text{None} \Rightarrow \text{None}$   
 $\quad | \ \text{Some } v \Rightarrow \text{case } \text{rbt-lookup } t2 \ k \ \text{of } \text{None} \Rightarrow \text{None}$   
 $\quad \quad | \ \text{Some } w \Rightarrow \text{Some } (f \ k \ v \ w))$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-lookup-rbt-inter*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket$   
 $\implies \text{rbt-lookup } (\text{rbt-inter } t1 \ t2) = \text{rbt-lookup } t2 \ |' \ \text{dom } (\text{rbt-lookup } t1)$   
 $\langle \text{proof} \rangle$

**end**

## 110.11 Code generator setup

**lemmas** [*code*] =

*ord.rbt-less-prop*  
*ord.rbt-greater-prop*  
*ord.rbt-sorted.simps*  
*ord.rbt-lookup.simps*  
*ord.is-rbt-def*  
*ord.rbt-ins.simps*  
*ord.rbt-insert-with-key-def*  
*ord.rbt-insertw-def*  
*ord.rbt-insert-def*  
*ord.rbt-del-from-left.simps*  
*ord.rbt-del-from-right.simps*  
*ord.rbt-del.simps*  
*ord.rbt-delete-def*

```

ord.sunion-with.simps
ord.sinter-with.simps
ord.rbt-union-with-key-def
ord.rbt-union-with-def
ord.rbt-union-def
ord.rbt-inter-with-key-def
ord.rbt-inter-with-def
ord.rbt-inter-def
ord.rbt-map-entry.simps
ord.rbt-bulkload-def

```

More efficient implementations for *entries* and *keys*

**definition** *gen-entries* ::

$((\text{'a} \times \text{'b}) \times (\text{'a}, \text{'b}) \text{ rbt}) \text{ list} \Rightarrow (\text{'a}, \text{'b}) \text{ rbt} \Rightarrow (\text{'a} \times \text{'b}) \text{ list}$

**where**

$\text{gen-entries kvs } t = \text{entries } t @ \text{concat } (\text{map } (\lambda(kv, t). kv \# \text{entries } t) \text{ kvs})$

**lemma** *gen-entries-simps* [*simp*, *code*]:

$\text{gen-entries } [] \text{ Empty} = []$

$\text{gen-entries } ((kv, t) \# \text{kvs}) \text{ Empty} = kv \# \text{gen-entries kvs } t$

$\text{gen-entries kvs } (\text{Branch } c \ l \ k \ v \ r) = \text{gen-entries } (((k, v), r) \# \text{kvs}) \ l$

$\langle \text{proof} \rangle$

**lemma** *entries-code* [*code*]:

$\text{entries} = \text{gen-entries } []$

$\langle \text{proof} \rangle$

**definition** *gen-keys* ::  $(\text{'a} \times (\text{'a}, \text{'b}) \text{ rbt}) \text{ list} \Rightarrow (\text{'a}, \text{'b}) \text{ rbt} \Rightarrow \text{'a} \text{ list}$

**where**  $\text{gen-keys kts } t = \text{RBT-Impl.keys } t @ \text{concat } (\text{List.map } (\lambda(k, t). k \# \text{keys } t) \text{ kts})$

**lemma** *gen-keys-simps* [*simp*, *code*]:

$\text{gen-keys } [] \text{ Empty} = []$

$\text{gen-keys } ((k, t) \# \text{kts}) \text{ Empty} = k \# \text{gen-keys kts } t$

$\text{gen-keys kts } (\text{Branch } c \ l \ k \ v \ r) = \text{gen-keys } ((k, r) \# \text{kts}) \ l$

$\langle \text{proof} \rangle$

**lemma** *keys-code* [*code*]:

$\text{keys} = \text{gen-keys } []$

$\langle \text{proof} \rangle$

Restore original type constraints for constants

$\langle \text{ML} \rangle$

**hide-const** (**open**) *R B Empty entries keys fold gen-keys gen-entries*

**end**

## 111 Abstract type of RBT trees

```
theory RBT
imports Main RBT-Impl
begin
```

### 111.1 Type definition

```
typedef (overloaded) ('a, 'b) rbt = {t :: ('a::linorder, 'b) RBT-Impl.rbt. is-rbt t}
```

```
  morphisms impl-of RBT
  <proof>
```

```
lemma rbt-eq-iff:
  t1 = t2  $\longleftrightarrow$  impl-of t1 = impl-of t2
  <proof>
```

```
lemma rbt-eqI:
  impl-of t1 = impl-of t2  $\implies$  t1 = t2
  <proof>
```

```
lemma is-rbt-impl-of [simp, intro]:
  is-rbt (impl-of t)
  <proof>
```

```
lemma RBT-impl-of [simp, code abstype]:
  RBT (impl-of t) = t
  <proof>
```

### 111.2 Primitive operations

```
setup-lifting type-definition-rbt
```

```
lift-definition lookup :: ('a::linorder, 'b) rbt  $\Rightarrow$  'a  $\rightarrow$  'b is rbt-lookup <proof>
```

```
lift-definition empty :: ('a::linorder, 'b) rbt is RBT-Impl.Empty
  <proof>
```

```
lift-definition insert :: 'a::linorder  $\Rightarrow$  'b  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt is rbt-insert
  <proof>
```

```
lift-definition delete :: 'a::linorder  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt is rbt-delete
  <proof>
```

```
lift-definition entries :: ('a::linorder, 'b) rbt  $\Rightarrow$  ('a  $\times$  'b) list is RBT-Impl.entries
  <proof>
```

```
lift-definition keys :: ('a::linorder, 'b) rbt  $\Rightarrow$  'a list is RBT-Impl.keys <proof>
```

**lift-definition** *bulkload* :: ('a::linorder × 'b) list ⇒ ('a, 'b) rbt **is** *rbt-bulkload*  
 ⟨proof⟩

**lift-definition** *map-entry* :: 'a ⇒ ('b ⇒ 'b) ⇒ ('a::linorder, 'b) rbt ⇒ ('a, 'b) rbt  
**is** *rbt-map-entry*  
 ⟨proof⟩

**lift-definition** *map* :: ('a ⇒ 'b ⇒ 'c) ⇒ ('a::linorder, 'b) rbt ⇒ ('a, 'c) rbt **is**  
*RBT-Impl.map*  
 ⟨proof⟩

**lift-definition** *fold* :: ('a ⇒ 'b ⇒ 'c ⇒ 'c) ⇒ ('a::linorder, 'b) rbt ⇒ 'c ⇒ 'c **is**  
*RBT-Impl.fold* ⟨proof⟩

**lift-definition** *union* :: ('a::linorder, 'b) rbt ⇒ ('a, 'b) rbt ⇒ ('a, 'b) rbt **is**  
*rbt-union*  
 ⟨proof⟩

**lift-definition** *foldi* :: ('c ⇒ bool) ⇒ ('a ⇒ 'b ⇒ 'c ⇒ 'c) ⇒ ('a :: linorder, 'b)  
 rbt ⇒ 'c ⇒ 'c  
**is** *RBT-Impl.foldi* ⟨proof⟩

**lift-definition** *combine-with-key* :: ('a ⇒ 'b ⇒ 'b ⇒ 'b) ⇒ ('a::linorder, 'b) rbt  
 ⇒ ('a, 'b) rbt ⇒ ('a, 'b) rbt  
**is** *RBT-Impl.rbt-union-with-key* ⟨proof⟩

**lift-definition** *combine* :: ('b ⇒ 'b ⇒ 'b) ⇒ ('a::linorder, 'b) rbt ⇒ ('a, 'b) rbt  
 ⇒ ('a, 'b) rbt  
**is** *RBT-Impl.rbt-union-with* ⟨proof⟩

### 111.3 Derived operations

**definition** *is-empty* :: ('a::linorder, 'b) rbt ⇒ bool **where**  
 [code]: *is-empty* t = (case *impl-of* t of *RBT-Impl.Empty* ⇒ True | - ⇒ False)

**definition** *filter* :: ('a ⇒ 'b ⇒ bool) ⇒ ('a::linorder, 'b) rbt ⇒ ('a, 'b) rbt **where**  
 [code]: *filter* P t = fold (λk v t. if P k v then insert k v t else t) t empty

### 111.4 Abstract lookup properties

**lemma** *lookup-RBT*:  
*is-rbt* t ⇒ lookup (RBT t) = rbt-lookup t  
 ⟨proof⟩

**lemma** *lookup-impl-of*:  
 rbt-lookup (*impl-of* t) = lookup t  
 ⟨proof⟩

**lemma** *entries-impl-of*:

$RBT-Impl.entries (impl-of t) = entries t$   
 ⟨proof⟩

**lemma** *keys-impl-of*:  
 $RBT-Impl.keys (impl-of t) = keys t$   
 ⟨proof⟩

**lemma** *lookup-keys*:  
 $dom (lookup t) = set (keys t)$   
 ⟨proof⟩

**lemma** *lookup-empty* [simp]:  
 $lookup empty = Map.empty$   
 ⟨proof⟩

**lemma** *lookup-insert* [simp]:  
 $lookup (insert k v t) = (lookup t)(k \mapsto v)$   
 ⟨proof⟩

**lemma** *lookup-delete* [simp]:  
 $lookup (delete k t) = (lookup t)(k := None)$   
 ⟨proof⟩

**lemma** *map-of-entries* [simp]:  
 $map-of (entries t) = lookup t$   
 ⟨proof⟩

**lemma** *entries-lookup*:  
 $entries t1 = entries t2 \iff lookup t1 = lookup t2$   
 ⟨proof⟩

**lemma** *lookup-bulkload* [simp]:  
 $lookup (bulkload xs) = map-of xs$   
 ⟨proof⟩

**lemma** *lookup-map-entry* [simp]:  
 $lookup (map-entry k f t) = (lookup t)(k := map-option f (lookup t k))$   
 ⟨proof⟩

**lemma** *lookup-map* [simp]:  
 $lookup (map f t) k = map-option (f k) (lookup t k)$   
 ⟨proof⟩

**lemma** *lookup-combine-with-key* [simp]:  
 $lookup (combine-with-key f t1 t2) k = combine-options (f k) (lookup t1 k) (lookup t2 k)$   
 ⟨proof⟩

**lemma** *combine-altdef*:  $combine f t1 t2 = combine-with-key (\lambda-. f) t1 t2$

*<proof>*

**lemma** *lookup-combine* [*simp*]:

*lookup (combine f t1 t2) k = combine-options f (lookup t1 k) (lookup t2 k)*

*<proof>*

**lemma** *fold-fold*:

*fold f t = List.fold (case-prod f) (entries t)*

*<proof>*

**lemma** *impl-of-empty*:

*impl-of empty = RBT-Impl.Empty*

*<proof>*

**lemma** *is-empty-empty* [*simp*]:

*is-empty t  $\longleftrightarrow$  t = empty*

*<proof>*

**lemma** *RBT-lookup-empty* [*simp*]:

*rbt-lookup t = Map.empty  $\longleftrightarrow$  t = RBT-Impl.Empty*

*<proof>*

**lemma** *lookup-empty-empty* [*simp*]:

*lookup t = Map.empty  $\longleftrightarrow$  t = empty*

*<proof>*

**lemma** *sorted-keys* [*iff*]:

*sorted (keys t)*

*<proof>*

**lemma** *distinct-keys* [*iff*]:

*distinct (keys t)*

*<proof>*

**lemma** *finite-dom-lookup* [*simp, intro!*]: *finite (dom (lookup t))*

*<proof>*

**lemma** *lookup-union*: *lookup (union s t) = lookup s ++ lookup t*

*<proof>*

**lemma** *lookup-in-tree*: *(lookup t k = Some v) = ((k, v)  $\in$  set (entries t))*

*<proof>*

**lemma** *keys-entries*: *(k  $\in$  set (keys t)) = ( $\exists v. (k, v) \in$  set (entries t))*

*<proof>*

**lemma** *fold-def-alt*:

*fold f t = List.fold (case-prod f) (entries t)*

*<proof>*

**lemma** *distinct-entries*: *distinct (List.map fst (entries t))*  
 ⟨*proof*⟩

**lemma** *non-empty-keys*:  $t \neq \text{empty} \implies \text{keys } t \neq []$   
 ⟨*proof*⟩

**lemma** *keys-def-alt*:  
*keys t = List.map fst (entries t)*  
 ⟨*proof*⟩

**context**  
**begin**

**private lemma** *lookup-filter-aux*:  
**assumes** *distinct (List.map fst xs)*  
**shows** *lookup (List.fold ( $\lambda(k, v) t. \text{if } P \text{ } k \text{ } v \text{ then insert } k \text{ } v \text{ } t \text{ else } t$ ) xs t) k =*  
     *(case map-of xs k of*  
       *None  $\implies$  lookup t k*  
       *| Some v  $\implies$  if P k v then Some v else lookup t k)*  
 ⟨*proof*⟩

**lemma** *lookup-filter*:  
*lookup (filter P t) k =*  
     *(case lookup t k of None  $\implies$  None | Some v  $\implies$  if P k v then Some v else None)*  
 ⟨*proof*⟩

**end**

## 111.5 Quickcheck generators

**quickcheck-generator** *rbt predicate: is-rbt constructors: empty, insert*

## 111.6 Hide implementation details

**lifting-update** *rbt.lifting*  
**lifting-forget** *rbt.lifting*

**hide-const** (**open**) *impl-of empty lookup keys entries bulkload delete map fold*  
*union insert map-entry foldi*

*is-empty filter*

**hide-fact** (**open**) *empty-def lookup-def keys-def entries-def bulkload-def delete-def*  
*map-def fold-def*

*union-def insert-def map-entry-def foldi-def is-empty-def filter-def*

**end**





Builds a tree from a key-value list.

$RBT.map\text{-}entry:: 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) RBT.rbt \Rightarrow ('a, 'b) RBT.rbt$

Maps a single entry in a tree.

$RBT.map:: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'b) RBT.rbt \Rightarrow ('a, 'c) RBT.rbt$

Maps all values in a tree.  $O(n)$

$RBT.fold:: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a, 'b) RBT.rbt \Rightarrow 'c \Rightarrow 'c$

Folds over all entries in a tree.  $O(n)$

### 112.3 Invariant preservation

$is\text{-}rbt\ rbt.Empty$	$(Empty\text{-}is\text{-}rbt)$
$is\text{-}rbt\ ?t \Longrightarrow is\text{-}rbt\ (rbt\text{-}insert\ ?k\ ?v\ ?t)$	$(rbt\text{-}insert\text{-}is\text{-}rbt)$
$is\text{-}rbt\ ?t \Longrightarrow is\text{-}rbt\ (rbt\text{-}delete\ ?k\ ?t)$	$(delete\text{-}is\text{-}rbt)$
$is\text{-}rbt\ (rbt\text{-}bulkload\ ?xs)$	$(bulkload\text{-}is\text{-}rbt)$
$is\text{-}rbt\ (rbt\text{-}map\text{-}entry\ ?k\ ?f\ ?t) = is\text{-}rbt\ ?t$	$(map\text{-}entry\text{-}is\text{-}rbt)$
$is\text{-}rbt\ (RBT\text{-}Impl.map\ ?f\ ?t) = is\text{-}rbt\ ?t$	$(map\text{-}is\text{-}rbt)$
$\llbracket is\text{-}rbt\ ?lt; is\text{-}rbt\ ?rt \rrbracket \Longrightarrow is\text{-}rbt\ (rbt\text{-}union\ ?lt\ ?rt)$	$(union\text{-}is\text{-}rbt)$

### 112.4 Map Semantics

lookup-empty

$Mapping.lookup\ Mapping.empty\ ?k = None$

lookup-insert

$RBT.lookup\ (RBT.insert\ ?k\ ?v\ ?t) = RBT.lookup\ ?t\ (?k \mapsto ?v)$

lookup-delete

$RBT.lookup\ (RBT.delete\ ?k\ ?t) = (RBT.lookup\ ?t)\ (?k := None)$

lookup-bulkload

$RBT.lookup\ (RBT.bulkload\ ?xs) = map\text{-}of\ ?xs$

lookup-map

$RBT.lookup\ (RBT.map\ ?f\ ?t)\ ?k = map\text{-}option\ (?f\ ?k)\ (RBT.lookup\ ?t\ ?k)$

**end**

## 113 Implementation of sets using RBT trees

```
theory RBT-Set
imports RBT Product-Lexorder
begin
```

## 114 Definition of code datatype constructors

```
definition Set :: ('a::linorder, unit) rbt  $\Rightarrow$  'a set
  where Set t = {x . RBT.lookup t x = Some ()}
```

```
definition Coset :: ('a::linorder, unit) rbt  $\Rightarrow$  'a set
  where [simp]: Coset t = - Set t
```

## 115 Deletion of already existing code equations

```
declare [[code drop: Set.empty Set.is-empty uminus-set-inst.uminus-set
  Set.member Set.insert Set.remove UNIV Set.filter image
  Set.subset-eq Ball Bex can-select Set.union minus-set-inst.minus-set Set.inter
  card the-elem Pow sum prod Product-Type.product Id-on
  Image trancl relcomp wf Min Inf-fin Max Sup-fin
  (Inf :: 'a set set  $\Rightarrow$  'a set) (Sup :: 'a set set  $\Rightarrow$  'a set)
  sorted-list-of-set List.map-project List.Bleast]]
```

## 116 Lemmas

### 116.1 Auxiliary lemmas

```
lemma [simp]: x  $\neq$  Some ()  $\longleftrightarrow$  x = None
<proof>
```

```
lemma Set-set-keys: Set x = dom (RBT.lookup x)
<proof>
```

```
lemma finite-Set [simp, intro!]: finite (Set x)
<proof>
```

```
lemma set-keys: Set t = set(RBT.keys t)
<proof>
```

### 116.2 fold and filter

```
lemma finite-fold-rbt-fold-eq:
  assumes comp-fun-commute f
  shows Finite-Set.fold f A (set (RBT.entries t)) = RBT.fold (curry f) t A
<proof>
```

```
definition fold-keys :: ('a :: linorder  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  ('a, -) rbt  $\Rightarrow$  'b  $\Rightarrow$  'b
```

**where**  $[code-unfold]:fold-keys\ f\ t\ A = RBT.fold\ (\lambda k - t. f\ k\ t)\ t\ A$

**lemma** *fold-keys-def-alt*:

$fold-keys\ f\ t\ s = List.fold\ f\ (RBT.keys\ t)\ s$   
 $\langle proof \rangle$

**lemma** *finite-fold-fold-keys*:

**assumes** *comp-fun-commute*  $f$   
**shows**  $Finite-Set.fold\ f\ A\ (Set\ t) = fold-keys\ f\ t\ A$   
 $\langle proof \rangle$

**definition** *rbt-filter*  $:: ('a :: linorder \Rightarrow bool) \Rightarrow ('a, 'b)\ rbt \Rightarrow 'a\ set$  **where**  
 $rbt-filter\ P\ t = RBT.fold\ (\lambda k - A'.\ if\ P\ k\ then\ Set.insert\ k\ A'\ else\ A')\ t\ \{\}$

**lemma** *Set-filter-rbt-filter*:

$Set.filter\ P\ (Set\ t) = rbt-filter\ P\ t$   
 $\langle proof \rangle$

### 116.3 foldi and Ball

**lemma** *Ball-False*:  $RBT-Impl.fold\ (\lambda k\ v\ s. s \wedge P\ k)\ t\ False = False$   
 $\langle proof \rangle$

**lemma** *rbt-foldi-fold-conj*:

$RBT-Impl.foldi\ (\lambda s. s = True)\ (\lambda k\ v\ s. s \wedge P\ k)\ t\ val = RBT-Impl.fold\ (\lambda k\ v$   
 $s. s \wedge P\ k)\ t\ val$   
 $\langle proof \rangle$

**lemma** *foldi-fold-conj*:  $RBT.foldi\ (\lambda s. s = True)\ (\lambda k\ v\ s. s \wedge P\ k)\ t\ val = fold-keys$   
 $(\lambda k\ s. s \wedge P\ k)\ t\ val$   
 $\langle proof \rangle$  **including** *rbt.lifting*  $\langle proof \rangle$

### 116.4 foldi and Bex

**lemma** *Bex-True*:  $RBT-Impl.fold\ (\lambda k\ v\ s. s \vee P\ k)\ t\ True = True$   
 $\langle proof \rangle$

**lemma** *rbt-foldi-fold-disj*:

$RBT-Impl.foldi\ (\lambda s. s = False)\ (\lambda k\ v\ s. s \vee P\ k)\ t\ val = RBT-Impl.fold\ (\lambda k\ v$   
 $s. s \vee P\ k)\ t\ val$   
 $\langle proof \rangle$

**lemma** *foldi-fold-disj*:  $RBT.foldi\ (\lambda s. s = False)\ (\lambda k\ v\ s. s \vee P\ k)\ t\ val = fold-keys$   
 $(\lambda k\ s. s \vee P\ k)\ t\ val$   
 $\langle proof \rangle$  **including** *rbt.lifting*  $\langle proof \rangle$

### 116.5 folding over non empty trees and selecting the minimal and maximal element

**definition** *rbt-fold1-keys* :: ('a ⇒ 'a ⇒ 'a) ⇒ ('a::linorder, 'b) RBT-Impl.rbt ⇒ 'a  
 where *rbt-fold1-keys* f t = List.fold f (tl(RBT-Impl.keys t)) (hd(RBT-Impl.keys t))

**definition** *rbt-min* :: ('a::linorder, unit) RBT-Impl.rbt ⇒ 'a  
 where *rbt-min* t = *rbt-fold1-keys* min t

**lemma** *key-le-right*: *rbt-sorted* (Branch c lt k v rt) ⇒ (∧x. x ∈ set (RBT-Impl.keys rt) ⇒ k ≤ x)  
 ⟨proof⟩

**lemma** *left-le-key*: *rbt-sorted* (Branch c lt k v rt) ⇒ (∧x. x ∈ set (RBT-Impl.keys lt) ⇒ x ≤ k)  
 ⟨proof⟩

**lemma** *fold-min-triv*:  
 fixes k :: - :: linorder  
 shows (∀x ∈ set xs. k ≤ x) ⇒ List.fold min xs k = k  
 ⟨proof⟩

**lemma** *rbt-min-simps*:  
*is-rbt* (Branch c RBT-Impl.Empty k v rt) ⇒ *rbt-min* (Branch c RBT-Impl.Empty k v rt) = k  
 ⟨proof⟩

**fun** *rbt-min-opt* **where**  
*rbt-min-opt* (Branch c RBT-Impl.Empty k v rt) = k |  
*rbt-min-opt* (Branch c (Branch lc llc lk lv lrt) k v rt) = *rbt-min-opt* (Branch lc llc lk lv lrt)

**lemma** *rbt-min-opt-Branch*:  
 t1 ≠ *rbt.Empty* ⇒ *rbt-min-opt* (Branch c t1 k () t2) = *rbt-min-opt* t1  
 ⟨proof⟩

**lemma** *rbt-min-opt-induct* [case-names empty left-empty left-non-empty]:  
 fixes t :: ('a :: linorder, unit) RBT-Impl.rbt  
 assumes P *rbt.Empty*  
 assumes ∧color t1 a b t2. P t1 ⇒ P t2 ⇒ t1 = *rbt.Empty* ⇒ P (Branch color t1 a b t2)  
 assumes ∧color t1 a b t2. P t1 ⇒ P t2 ⇒ t1 ≠ *rbt.Empty* ⇒ P (Branch color t1 a b t2)  
 shows P t  
 ⟨proof⟩

**lemma** *rbt-min-opt-in-set*:  
**fixes**  $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$   
**assumes**  $t \neq \text{rbt.Empty}$   
**shows**  $\text{rbt-min-opt } t \in \text{set } (\text{RBT-Impl.keys } t)$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-min-opt-is-min*:  
**fixes**  $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$   
**assumes**  $\text{rbt-sorted } t$   
**assumes**  $t \neq \text{rbt.Empty}$   
**shows**  $\bigwedge y. y \in \text{set } (\text{RBT-Impl.keys } t) \implies y \geq \text{rbt-min-opt } t$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-min-eq-rbt-min-opt*:  
**assumes**  $t \neq \text{RBT-Impl.Empty}$   
**assumes**  $\text{is-rbt } t$   
**shows**  $\text{rbt-min } t = \text{rbt-min-opt } t$   
 $\langle \text{proof} \rangle$

**definition** *rbt-max*  $:: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt} \Rightarrow 'a$   
**where**  $\text{rbt-max } t = \text{rbt-fold1-keys max } t$

**lemma** *fold-max-triv*:  
**fixes**  $k :: - :: \text{linorder}$   
**shows**  $(\forall x \in \text{set } xs. x \leq k) \implies \text{List.fold max } xs \ k = k$   
 $\langle \text{proof} \rangle$

**lemma** *fold-max-rev-eq*:  
**fixes**  $xs :: ('a :: \text{linorder}) \text{list}$   
**assumes**  $xs \neq []$   
**shows**  $\text{List.fold max } (\text{tl } xs) (\text{hd } xs) = \text{List.fold max } (\text{tl } (\text{rev } xs)) (\text{hd } (\text{rev } xs))$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-max-simps*:  
**assumes**  $\text{is-rbt } (\text{Branch } c \ \text{lt } k \ v \ \text{RBT-Impl.Empty})$   
**shows**  $\text{rbt-max } (\text{Branch } c \ \text{lt } k \ v \ \text{RBT-Impl.Empty}) = k$   
 $\langle \text{proof} \rangle$

**fun** *rbt-max-opt* **where**  
 $\text{rbt-max-opt } (\text{Branch } c \ \text{lt } k \ v \ \text{RBT-Impl.Empty}) = k \ |$   
 $\text{rbt-max-opt } (\text{Branch } c \ \text{lt } k \ v \ (\text{Branch } \text{rc } \ \text{rlc } \ \text{rk } \ \text{rv } \ \text{rrt})) = \text{rbt-max-opt } (\text{Branch } \text{rc}$   
 $\ \text{rlc } \ \text{rk } \ \text{rv } \ \text{rrt})$

**lemma** *rbt-max-opt-Branch*:  
 $t2 \neq \text{rbt.Empty} \implies \text{rbt-max-opt } (\text{Branch } c \ \text{t1 } k \ () \ t2) = \text{rbt-max-opt } t2$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-max-opt-induct* [*case-names empty right-empty right-non-empty*]:  
**fixes**  $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$   
**assumes**  $P \text{rbt.Empty}$   
**assumes**  $\bigwedge \text{color } t1 \ a \ b \ t2. P \ t1 \implies P \ t2 \implies t2 = \text{rbt.Empty} \implies P \ (\text{Branch } \text{color } t1 \ a \ b \ t2)$   
**assumes**  $\bigwedge \text{color } t1 \ a \ b \ t2. P \ t1 \implies P \ t2 \implies t2 \neq \text{rbt.Empty} \implies P \ (\text{Branch } \text{color } t1 \ a \ b \ t2)$   
**shows**  $P \ t$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-max-opt-in-set*:  
**fixes**  $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$   
**assumes**  $t \neq \text{rbt.Empty}$   
**shows**  $\text{rbt-max-opt } t \in \text{set } (\text{RBT-Impl.keys } t)$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-max-opt-is-max*:  
**fixes**  $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$   
**assumes**  $\text{rbt-sorted } t$   
**assumes**  $t \neq \text{rbt.Empty}$   
**shows**  $\bigwedge y. y \in \text{set } (\text{RBT-Impl.keys } t) \implies y \leq \text{rbt-max-opt } t$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-max-eq-rbt-max-opt*:  
**assumes**  $t \neq \text{RBT-Impl.Empty}$   
**assumes**  $\text{is-rbt } t$   
**shows**  $\text{rbt-max } t = \text{rbt-max-opt } t$   
 $\langle \text{proof} \rangle$

**context includes** *rbt.lifting begin*  
**lift-definition** *fold1-keys*  $:: ('a \Rightarrow 'a \Rightarrow 'a) \Rightarrow ('a :: \text{linorder}, 'b) \text{rbt} \Rightarrow 'a$   
**is** *rbt-fold1-keys*  $\langle \text{proof} \rangle$

**lemma** *fold1-keys-def-alt*:  
 $\text{fold1-keys } f \ t = \text{List.fold } f \ (\text{tl } (\text{RBT.keys } t)) \ (\text{hd } (\text{RBT.keys } t))$   
 $\langle \text{proof} \rangle$

**lemma** *finite-fold1-fold1-keys*:  
**assumes**  $\text{semilattice } f$   
**assumes**  $\neg \text{RBT.is-empty } t$   
**shows**  $\text{semilattice-set.F } f \ (\text{Set } t) = \text{fold1-keys } f \ t$   
 $\langle \text{proof} \rangle$

**lift-definition** *r-min*  $:: ('a :: \text{linorder}, \text{unit}) \text{rbt} \Rightarrow 'a$  **is** *rbt-min*  $\langle \text{proof} \rangle$

**lift-definition** *r-min-opt* :: ('a :: linorder, unit) rbt  $\Rightarrow$  'a is rbt-min-opt <proof>

**lemma** *r-min-alt-def*: *r-min t = fold1-keys min t*  
<proof>

**lemma** *r-min-eq-r-min-opt*:  
**assumes**  $\neg$  (RBT.is-empty t)  
**shows** *r-min t = r-min-opt t*  
 <proof>

**lemma** *fold-keys-min-top-eq*:  
**fixes** *t* :: ('a::{linorder,bounded-lattice-top}, unit) rbt  
**assumes**  $\neg$  (RBT.is-empty t)  
**shows** *fold-keys min t top = fold1-keys min t*  
 <proof>

**lift-definition** *r-max* :: ('a :: linorder, unit) rbt  $\Rightarrow$  'a is rbt-max <proof>

**lift-definition** *r-max-opt* :: ('a :: linorder, unit) rbt  $\Rightarrow$  'a is rbt-max-opt <proof>

**lemma** *r-max-alt-def*: *r-max t = fold1-keys max t*  
<proof>

**lemma** *r-max-eq-r-max-opt*:  
**assumes**  $\neg$  (RBT.is-empty t)  
**shows** *r-max t = r-max-opt t*  
 <proof>

**lemma** *fold-keys-max-bot-eq*:  
**fixes** *t* :: ('a::{linorder,bounded-lattice-bot}, unit) rbt  
**assumes**  $\neg$  (RBT.is-empty t)  
**shows** *fold-keys max t bot = fold1-keys max t*  
 <proof>

end

## 117 Code equations

**code-datatype** *Set Coset*

**declare** *list.set[code]*

**lemma** *empty-Set [code]*:  
*Set.empty = Set RBT.empty*  
 <proof>

**lemma** *UNIV-Coset* [code]:

$UNIV = Coset\ RBT.empty$

*<proof>*

**lemma** *is-empty-Set* [code]:

$Set.is-empty\ (Set\ t) = RBT.is-empty\ t$

*<proof>*

**lemma** *compl-code* [code]:

–  $Set\ xs = Coset\ xs$

–  $Coset\ xs = Set\ xs$

*<proof>*

**lemma** *member-code* [code]:

$x \in (Set\ t) = (RBT.lookup\ t\ x = Some\ ())$

$x \in (Coset\ t) = (RBT.lookup\ t\ x = None)$

*<proof>*

**lemma** *insert-code* [code]:

$Set.insert\ x\ (Set\ t) = Set\ (RBT.insert\ x\ ()\ t)$

$Set.insert\ x\ (Coset\ t) = Coset\ (RBT.delete\ x\ t)$

*<proof>*

**lemma** *remove-code* [code]:

$Set.remove\ x\ (Set\ t) = Set\ (RBT.delete\ x\ t)$

$Set.remove\ x\ (Coset\ t) = Coset\ (RBT.insert\ x\ ()\ t)$

*<proof>*

**lemma** *union-Set* [code]:

$Set\ t \cup A = fold-keys\ Set.insert\ t\ A$

*<proof>*

**lemma** *inter-Set* [code]:

$A \cap Set\ t = rbt-filter\ (\lambda k. k \in A)\ t$

*<proof>*

**lemma** *minus-Set* [code]:

$A - Set\ t = fold-keys\ Set.remove\ t\ A$

*<proof>*

**lemma** *union-Coset* [code]:

$Coset\ t \cup A = -\ rbt-filter\ (\lambda k. k \notin A)\ t$

*<proof>*

**lemma** *union-Set-Set* [code]:

$Set\ t1 \cup Set\ t2 = Set\ (RBT.union\ t1\ t2)$

*<proof>*

**lemma** *inter-Coset* [code]:



$A \cap \text{Coset } t = \text{fold-keys } \text{Set.remove } t \ A$   
 ⟨proof⟩

**lemma** *inter-Coset-Coset* [code]:  
 $\text{Coset } t1 \cap \text{Coset } t2 = \text{Coset } (\text{RBT.union } t1 \ t2)$   
 ⟨proof⟩

**lemma** *minus-Coset* [code]:  
 $A - \text{Coset } t = \text{rbt-filter } (\lambda k. k \in A) \ t$   
 ⟨proof⟩

**lemma** *filter-Set* [code]:  
 $\text{Set.filter } P \ (\text{Set } t) = (\text{rbt-filter } P \ t)$   
 ⟨proof⟩

**lemma** *image-Set* [code]:  
 $\text{image } f \ (\text{Set } t) = \text{fold-keys } (\lambda k \ A. \ \text{Set.insert } (f \ k) \ A) \ t \ \{\}$   
 ⟨proof⟩

**lemma** *Ball-Set* [code]:  
 $\text{Ball } (\text{Set } t) \ P \longleftrightarrow \text{RBT.foldi } (\lambda s. s = \text{True}) \ (\lambda k \ v \ s. s \wedge P \ k) \ t \ \text{True}$   
 ⟨proof⟩

**lemma** *Bex-Set* [code]:  
 $\text{Bex } (\text{Set } t) \ P \longleftrightarrow \text{RBT.foldi } (\lambda s. s = \text{False}) \ (\lambda k \ v \ s. s \vee P \ k) \ t \ \text{False}$   
 ⟨proof⟩

**lemma** *subset-code* [code]:  
 $\text{Set } t \leq B \longleftrightarrow (\forall x \in \text{Set } t. x \in B)$   
 $A \leq \text{Coset } t \longleftrightarrow (\forall y \in \text{Set } t. y \notin A)$   
 ⟨proof⟩

**lemma** *subset-Coset-empty-Set-empty* [code]:  
 $\text{Coset } t1 \leq \text{Set } t2 \longleftrightarrow (\text{case } (\text{RBT.impl-of } t1, \text{RBT.impl-of } t2) \text{ of}$   
 $(\text{rbt.Empty}, \text{rbt.Empty}) \Rightarrow \text{False} \mid$   
 $(-, -) \Rightarrow \text{Code.abort } (\text{STR } \text{"non-empty-trees"}) \ (\lambda -. \text{Coset } t1 \leq \text{Set } t2))$   
 ⟨proof⟩

A frequent case – avoid intermediate sets

**lemma** [code-unfold]:  
 $\text{Set } t1 \subseteq \text{Set } t2 \longleftrightarrow \text{RBT.foldi } (\lambda s. s = \text{True}) \ (\lambda k \ v \ s. s \wedge k \in \text{Set } t2) \ t1 \ \text{True}$   
 ⟨proof⟩

**lemma** *card-Set* [code]:  
 $\text{card } (\text{Set } t) = \text{fold-keys } (\lambda n. n + 1) \ t \ 0$   
 ⟨proof⟩

**lemma** *sum-Set* [code]:  
 $\text{sum } f \ (\text{Set } xs) = \text{fold-keys } (\text{plus } o \ f) \ xs \ 0$

⟨proof⟩

**lemma** *the-elem-set* [code]:

**fixes**  $t :: ('a :: \text{linorder}, \text{unit}) \text{rbt}$

**shows**  $\text{the-elem} (\text{Set } t) = (\text{case } \text{RBT.impl-of } t \text{ of}$

$(\text{Branch } \text{RBT-Impl.B } \text{RBT-Impl.Empty } x \ () \ \text{RBT-Impl.Empty}) \Rightarrow x$

$| \_ \Rightarrow \text{Code.abort } (\text{STR } \text{"not-a-singleton-tree"}) (\lambda \_. \text{the-elem } (\text{Set } t))$ )

⟨proof⟩

**lemma** *Pow-Set* [code]:  $\text{Pow} (\text{Set } t) = \text{fold-keys } (\lambda x \ A. \ A \cup \text{Set.insert } x \ A) \ t \ \{\{\}\}$

⟨proof⟩

**lemma** *product-Set* [code]:

$\text{Product-Type.product} (\text{Set } t1) (\text{Set } t2) =$

$\text{fold-keys } (\lambda x \ A. \ \text{fold-keys } (\lambda y. \ \text{Set.insert } (x, y)) \ t2 \ A) \ t1 \ \{\}$

⟨proof⟩

**lemma** *Id-on-Set* [code]:  $\text{Id-on} (\text{Set } t) = \text{fold-keys } (\lambda x. \ \text{Set.insert } (x, x)) \ t \ \{\}$

⟨proof⟩

**lemma** *Image-Set* [code]:

$(\text{Set } t) \ \text{" } S = \text{fold-keys } (\lambda(x,y) \ A. \ \text{if } x \in S \ \text{then } \text{Set.insert } y \ A \ \text{else } A) \ t \ \{\}$

⟨proof⟩

**lemma** *trancl-set-ntrancl* [code]:

$\text{trancl} (\text{Set } t) = \text{ntrancl} (\text{card} (\text{Set } t) - 1) (\text{Set } t)$

⟨proof⟩

**lemma** *relcomp-Set*[code]:

$(\text{Set } t1) \ O \ (\text{Set } t2) = \text{fold-keys}$

$(\lambda(x,y) \ A. \ \text{fold-keys } (\lambda(w,z) \ A'. \ \text{if } y = w \ \text{then } \text{Set.insert } (x,z) \ A' \ \text{else } A') \ t2 \ A) \ t1 \ \{\}$

⟨proof⟩

**lemma** *wf-set* [code]:

$\text{wf} (\text{Set } t) = \text{acyclic} (\text{Set } t)$

⟨proof⟩

**lemma** *Min-fin-set-fold* [code]:

$\text{Min} (\text{Set } t) =$

$(\text{if } \text{RBT.is-empty } t$

$\text{then } \text{Code.abort } (\text{STR } \text{"not-non-empty-tree"}) (\lambda \_. \text{Min} (\text{Set } t))$

$\text{else } \text{r-min-opt } t)$

⟨proof⟩

**lemma** *Inf-fin-set-fold* [code]:

$\text{Inf-fin} (\text{Set } t) = \text{Min} (\text{Set } t)$

⟨proof⟩

**lemma** *Inf-Set-fold*:

**fixes**  $t :: ('a :: \{\text{linorder}, \text{complete-lattice}\}, \text{unit}) \text{rbt}$   
**shows**  $\text{Inf} (\text{Set } t) = (\text{if } \text{RBT.is-empty } t \text{ then } \text{top} \text{ else } \text{r-min-opt } t)$   
 $\langle \text{proof} \rangle$

**lemma** *Max-fin-set-fold* [code]:

$\text{Max} (\text{Set } t) =$   
 $(\text{if } \text{RBT.is-empty } t$   
 $\text{ then } \text{Code.abort} (\text{STR } \text{"not-non-empty-tree"}) (\lambda \cdot \text{Max} (\text{Set } t))$   
 $\text{ else } \text{r-max-opt } t)$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-fin-set-fold* [code]:

$\text{Sup-fin} (\text{Set } t) = \text{Max} (\text{Set } t)$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-Set-fold*:

**fixes**  $t :: ('a :: \{\text{linorder}, \text{complete-lattice}\}, \text{unit}) \text{rbt}$   
**shows**  $\text{Sup} (\text{Set } t) = (\text{if } \text{RBT.is-empty } t \text{ then } \text{bot} \text{ else } \text{r-max-opt } t)$   
 $\langle \text{proof} \rangle$

**context**

**begin**

**qualified definition**  $\text{Inf}' :: 'a :: \{\text{linorder}, \text{complete-lattice}\} \text{set} \Rightarrow 'a$   
**where** [code-abbrev]:  $\text{Inf}' = \text{Inf}$

**lemma** *Inf'-Set-fold* [code]:

$\text{Inf}' (\text{Set } t) = (\text{if } \text{RBT.is-empty } t \text{ then } \text{top} \text{ else } \text{r-min-opt } t)$   
 $\langle \text{proof} \rangle$  **definition**  $\text{Sup}' :: 'a :: \{\text{linorder}, \text{complete-lattice}\} \text{set} \Rightarrow 'a$   
**where** [code-abbrev]:  $\text{Sup}' = \text{Sup}$

**lemma** *Sup'-Set-fold* [code]:

$\text{Sup}' (\text{Set } t) = (\text{if } \text{RBT.is-empty } t \text{ then } \text{bot} \text{ else } \text{r-max-opt } t)$   
 $\langle \text{proof} \rangle$

**lemma** [code drop: *Gcd-fin*, code]:

$\text{Gcd}_{\text{fin}} (\text{Set } t) = \text{fold-keys } \text{gcd } t (0 :: 'a :: \{\text{semiring-gcd}, \text{linorder}\})$   
 $\langle \text{proof} \rangle$

**lemma** [code drop: *Gcd* :: -  $\Rightarrow$  *nat*, code]:

$\text{Gcd} (\text{Set } t) = (\text{Gcd}_{\text{fin}} (\text{Set } t) :: \text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** [code drop: *Gcd* :: -  $\Rightarrow$  *int*, code]:

$\text{Gcd} (\text{Set } t) = (\text{Gcd}_{\text{fin}} (\text{Set } t) :: \text{int})$   
 $\langle \text{proof} \rangle$

**lemma** [*code drop: Lcm-fin,code*]:  
 $Lcm_{fin} (Set\ t) = fold-keys\ lcm\ t\ (1::'a::\{semiring-gcd,\ linorder\})$   
 ⟨*proof*⟩ **definition**  $Lcm' :: 'a :: semiring-Gcd\ set \Rightarrow 'a$   
**where** [*code-abbrev*]:  $Lcm' = Lcm$

**lemma** [*code drop: Lcm :: -  $\Rightarrow$  nat, code*]:  
 $Lcm (Set\ t) = (Lcm_{fin} (Set\ t) :: nat)$   
 ⟨*proof*⟩

**lemma** [*code drop: Lcm :: -  $\Rightarrow$  int, code*]:  
 $Lcm (Set\ t) = (Lcm_{fin} (Set\ t) :: int)$   
 ⟨*proof*⟩

**end**

**lemma** *sorted-list-set*[*code*]:  $sorted-list-of-set (Set\ t) = RBT.keys\ t$   
 ⟨*proof*⟩

**lemma** *Bleat-code* [*code*]:  
 $Bleat (Set\ t)\ P =$   
 (case  $List.filter\ P (RBT.keys\ t)$  of  
 $x \# xs \Rightarrow x$   
 $|\ [] \Rightarrow abort-Bleat (Set\ t)\ P$ )  
 ⟨*proof*⟩

**hide-const** (**open**)  $RBT-Set.Set\ RBT-Set.Coset$

**end**

⟨*ML*⟩

**theory** *Predicate-Compile-Alternative-Defs*  
**imports** *Main*  
**begin**

## 118 Common constants

**declare** *HOL.if-bool-eq-disj*[*code-pred-inline*]

**declare** *bool-diff-def*[*code-pred-inline*]  
**declare** *inf-bool-def*[*abs-def, code-pred-inline*]  
**declare** *less-bool-def*[*abs-def, code-pred-inline*]  
**declare** *le-bool-def*[*abs-def, code-pred-inline*]

**lemma** *min-bool-eq* [*code-pred-inline*]:  $(min :: bool \Rightarrow bool \Rightarrow bool) == (op\ \&)$   
 ⟨*proof*⟩

**lemma** [*code-pred-inline*]:

$((A::bool) \sim = (B::bool)) = ((A \& \sim B) \mid (B \& \sim A))$   
 $\langle proof \rangle$

$\langle ML \rangle$

## 119 Pairs

$\langle ML \rangle$

## 120 Filters

$\langle ML \rangle$

## 121 Bounded quantifiers

**declare** *Ball-def*[code-pred-inline]

**declare** *Bex-def*[code-pred-inline]

## 122 Operations on Predicates

**lemma** *Diff*[code-pred-inline]:

$(A - B) = (\%x. A x \wedge \neg B x)$

$\langle proof \rangle$

**lemma** *subset-eq*[code-pred-inline]:

$(P :: 'a \Rightarrow bool) < (Q :: 'a \Rightarrow bool) == ((\exists x. Q x \wedge (\neg P x)) \wedge (\forall x. P x \longrightarrow Q x))$

$\langle proof \rangle$

**lemma** *set-equality*[code-pred-inline]:

$A = B \longleftrightarrow (\forall x. A x \longrightarrow B x) \wedge (\forall x. B x \longrightarrow A x)$

$\langle proof \rangle$

## 123 Setup for Numerals

$\langle ML \rangle$

## 124 Arithmetic operations

### 124.1 Arithmetic on naturals and integers

**definition** *plus-eq-nat* ::  $nat \Rightarrow nat \Rightarrow nat \Rightarrow bool$

**where**

$plus-eq-nat\ x\ y\ z = (x + y = z)$

**definition** *minus-eq-nat* ::  $nat \Rightarrow nat \Rightarrow nat \Rightarrow bool$

**where**

$minus\text{-}eq\text{-}nat\ x\ y\ z = (x - y = z)$

**definition**  $plus\text{-}eq\text{-}int :: int \Rightarrow int \Rightarrow int \Rightarrow bool$

**where**

$plus\text{-}eq\text{-}int\ x\ y\ z = (x + y = z)$

**definition**  $minus\text{-}eq\text{-}int :: int \Rightarrow int \Rightarrow int \Rightarrow bool$

**where**

$minus\text{-}eq\text{-}int\ x\ y\ z = (x - y = z)$

**definition**  $subtract$

**where**

$[code\text{-}unfold]:\ subtract\ x\ y = y - x$

$\langle ML \rangle$

## 124.2 Inductive definitions for ordering on naturals

**inductive**  $less\text{-}nat$

**where**

$less\text{-}nat\ 0\ (Suc\ y)$

$| less\text{-}nat\ x\ y ==> less\text{-}nat\ (Suc\ x)\ (Suc\ y)$

**lemma**  $less\text{-}nat[code\text{-}pred\text{-}inline]:$

$x < y = less\text{-}nat\ x\ y$

$\langle proof \rangle$

**inductive**  $less\text{-}eq\text{-}nat$

**where**

$less\text{-}eq\text{-}nat\ 0\ y$

$| less\text{-}eq\text{-}nat\ x\ y ==> less\text{-}eq\text{-}nat\ (Suc\ x)\ (Suc\ y)$

**lemma**  $[code\text{-}pred\text{-}inline]:$

$x \leq y = less\text{-}eq\text{-}nat\ x\ y$

$\langle proof \rangle$

## 125 Alternative list definitions

### 125.1 Alternative rules for $length$

**definition**  $size\text{-}list' :: 'a\ list \Rightarrow nat$

**where**  $size\text{-}list' = size$

**lemma**  $size\text{-}list'\text{-}simps:$

$size\text{-}list'\ [] = 0$

$size\text{-}list'\ (x \# xs) = Suc\ (size\text{-}list'\ xs)$

$\langle proof \rangle$

```
declare size-list'-simps[code-pred-def]
declare size-list'-def[symmetric, code-pred-inline]
```

### 125.2 Alternative rules for *list-all2*

```
lemma list-all2-NilI [code-pred-intro]: list-all2 P [] []
⟨proof⟩
```

```
lemma list-all2-ConsI [code-pred-intro]: list-all2 P xs ys ==> P x y ==> list-all2
P (x#xs) (y#ys)
⟨proof⟩
```

```
code-pred [skip-proof] list-all2
⟨proof⟩
```

### 125.3 Alternative rules for membership in lists

```
declare in-set-member[code-pred-inline]
```

```
lemma member-intros [code-pred-intro]:
  List.member (x#xs) x
  List.member xs x ==> List.member (y#xs) x
⟨proof⟩
```

```
code-pred List.member
⟨proof⟩
```

```
code-identifier constant member-i-i
  → (SML) List.member-i-i
  and (OCaml) List.member-i-i
  and (Haskell) List.member-i-i
  and (Scala) List.member-i-i
```

```
code-identifier constant member-i-o
  → (SML) List.member-i-o
  and (OCaml) List.member-i-o
  and (Haskell) List.member-i-o
  and (Scala) List.member-i-o
```

## 126 Setup for *String.literal*

```
⟨ML⟩
```

## 127 Simplification rules for optimisation

```
lemma [code-pred-simp]:  $\neg$  False == True
⟨proof⟩
```

**lemma** *[code-pred-simp]*:  $\neg \text{True} == \text{False}$   
 $\langle \text{proof} \rangle$

**lemma** *less-nat-k-0 [code-pred-simp]*:  $\text{less-nat } k \ 0 == \text{False}$   
 $\langle \text{proof} \rangle$

**end**

## 128 A Prototype of Quickcheck based on the Predicate Compiler

**theory** *Predicate-Compile-Quickcheck*  
**imports** *Predicate-Compile-Alternative-Defs*  
**begin**

$\langle \text{ML} \rangle$

**end**

## 129 TFL: recursive function definitions

**theory** *Old-Recdef*  
**imports** *Main*  
**keywords**  
*recdef :: thy-decl and*  
*permissive congs hints*  
**begin**

### 129.1 Lemmas for TFL

**lemma** *tfl-wf-induct*:  $\text{ALL } R. \text{wf } R \longrightarrow$   
 $(\text{ALL } P. (\text{ALL } x. (\text{ALL } y. (y,x):R \longrightarrow P \ y) \longrightarrow P \ x) \longrightarrow (\text{ALL } x. P$   
 $x))$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-cut-def*:  $\text{cut } f \ r \ x \equiv (\lambda y. \text{if } (y,x) \in r \text{ then } f \ y \text{ else undefined})$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-cut-apply*:  $\text{ALL } f \ R. (x,a):R \longrightarrow (\text{cut } f \ R \ a)(x) = f(x)$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-wfrec*:  
 $\text{ALL } M \ R \ f. (f=\text{wfrec } R \ M) \longrightarrow \text{wf } R \longrightarrow (\text{ALL } x. f \ x = M (\text{cut } f \ R \ x) \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-eq-True*:  $(x = \text{True}) \longrightarrow x$   
 $\langle \text{proof} \rangle$



**lemma** *tfl-rev-eq-mp*:  $(x = y) \dashrightarrow y \dashrightarrow x$   
 ⟨*proof*⟩

**lemma** *tfl-simp-thm*:  $(x \dashrightarrow y) \dashrightarrow (x = x') \dashrightarrow (x' \dashrightarrow y)$   
 ⟨*proof*⟩

**lemma** *tfl-P-imp-P-iff-True*:  $P \implies P = \text{True}$   
 ⟨*proof*⟩

**lemma** *tfl-imp-trans*:  $(A \dashrightarrow B) \implies (B \dashrightarrow C) \implies (A \dashrightarrow C)$   
 ⟨*proof*⟩

**lemma** *tfl-disj-assoc*:  $(a \vee b) \vee c \implies a \vee (b \vee c)$   
 ⟨*proof*⟩

**lemma** *tfl-disjE*:  $P \vee Q \implies P \dashrightarrow R \implies Q \dashrightarrow R \implies R$   
 ⟨*proof*⟩

**lemma** *tfl-exE*:  $\exists x. P x \implies \forall x. P x \dashrightarrow Q \implies Q$   
 ⟨*proof*⟩

⟨*ML*⟩

## 129.2 Rule setup

**lemmas** [*recdef-simp*] =  
*inv-image-def*  
*measure-def*  
*lex-prod-def*  
*same-fst-def*  
*less-Suc-eq* [*THEN iffD2*]

**lemmas** [*recdef-cong*] =  
*if-cong* *let-cong* *image-cong* *INF-cong* *SUP-cong* *bex-cong* *ball-cong* *imp-cong*  
*map-cong* *filter-cong* *takeWhile-cong* *dropWhile-cong* *foldl-cong* *foldr-cong*

**lemmas** [*recdef-wf*] =  
*wf-trancl*  
*wf-less-than*  
*wf-lex-prod*  
*wf-inv-image*  
*wf-measure*  
*wf-measures*  
*wf-pred-nat*  
*wf-same-fst*  
*wf-empty*

**end**

## 130 Refute

```

theory Refute
imports Main
keywords
  refute :: diag and
  refute-params :: thy-decl
begin

```

⟨ML⟩

### refute-params

```

[itself = 1,
 minsize = 1,
 maxsize = 8,
 maxvars = 10000,
 maxtime = 60,
 satsolver = auto,
 no-assms = false]

```

```

(*) ----- *)
(*) REFUTE *)
(*) *)
(*) We use a SAT solver to search for a (finite) model that refutes a given *)
(*) HOL formula. *)
(*) ----- *)

```

```

(*) ----- *)
(*) NOTE *)
(*) *)
(*) I strongly recommend that you install a stand-alone SAT solver if you *)
(*) want to use 'refute'. For details see 'HOL/Tools/sat_solver.ML'. If you *)
(*) have installed (a supported version of) zChaff, simply set 'ZCHAFF_HOME' *)
(*) in 'etc/settings'. *)
(*) ----- *)

```

```

(*) ----- *)
(*) USAGE *)
(*) *)
(*) See the file 'HOL/ex/Refute_Examples.thy' for examples. The supported *)
(*) parameters are explained below. *)
(*) ----- *)

```

```

(*) ----- *)
(*) CURRENT LIMITATIONS *)
(*) *)
(*) 'refute' currently accepts formulas of higher-order predicate logic (with *)
(*) equality), including free/bound/schematic variables, lambda abstractions, *)
(*) sets and set membership, "arbitrary", "The", "Eps", records and *)

```

```

(* inductively defined sets. Constants are unfolded automatically, and sort *)
(* axioms are added as well. Other, user-asserted axioms however are      *)
(* ignored. Inductive datatypes and recursive functions are supported, but *)
(* may lead to spurious countermodels.                                    *)
(*                                                                         *)
(* The (space) complexity of the algorithm is non-elementary.              *)
(*                                                                         *)
(* Schematic type variables are not supported.                            *)
(* ----- *)

(* ----- *)
(* PARAMETERS                                                              *)
(* The following global parameters are currently supported (and required,  *)
(* except for "expect"):                                                 *)
(* Name           Type           Description                               *)
(* "minsize"      int            Only search for models with size at least *)
(*                'minsize'.                                           *)
(* "maxsize"      int            If >0, only search for models with size at most *)
(*                'maxsize'.                                           *)
(* "maxvars"      int            If >0, use at most 'maxvars' boolean variables *)
(*                when transforming the term into a propositional        *)
(*                formula.                                              *)
(* "maxtime"      int            If >0, terminate after at most 'maxtime' seconds. *)
(*                This value is ignored under some ML compilers.        *)
(* "satsolver"    string         Name of the SAT solver to be used.      *)
(* "no_assms"     bool           If "true", assumptions in structured proofs are *)
(*                not considered.                                       *)
(* "expect"       string         Expected result ("genuine", "potential", "none", or *)
(*                "unknown").                                           *)
(* The size of particular types can be specified in the form type=size  *)
(* (where 'type' is a string, and 'size' is an int). Examples:         *)
(* "'a'=1                                                *)
(* "List.list "=2                                         *)
(* ----- *)

(* ----- *)
(* FILES                                                                    *)
(* HOL/Tools/prop_logic.ML          Propositional logic                 *)
(* HOL/Tools/sat_solver.ML          SAT solvers                         *)
(* HOL/Tools/refute.ML              Translation HOL -> propositional logic and *)
(*                Boolean assignment -> HOL model                       *)
(* HOL/Refute.thy                   This file: loads the ML files, basic setup, *)
(*                documentation                                          *)
(* HOL/SAT.thy                       Sets default parameters            *)

```

```
(* HOL/ex/Refute_Examples.thy  Examples *)  
(* ----- *)
```

end

## References

- [1] J. Avigad and K. Donnelly. Formalizing O notation in Isabelle/HOL. In D. Basin and M. Rusiowitch, editors, *Automated Reasoning: second international conference, IJCAR 2004*, pages 357–371. Springer, 2004.
- [2] A. Podelski and A. Rybalchenko. Transition invariants. In *19th Annual IEEE Symposium on Logic in Computer Science (LICS'04)*, pages 32–41, 2004.