

Hoare Logic for Parallel Programs

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Abstract

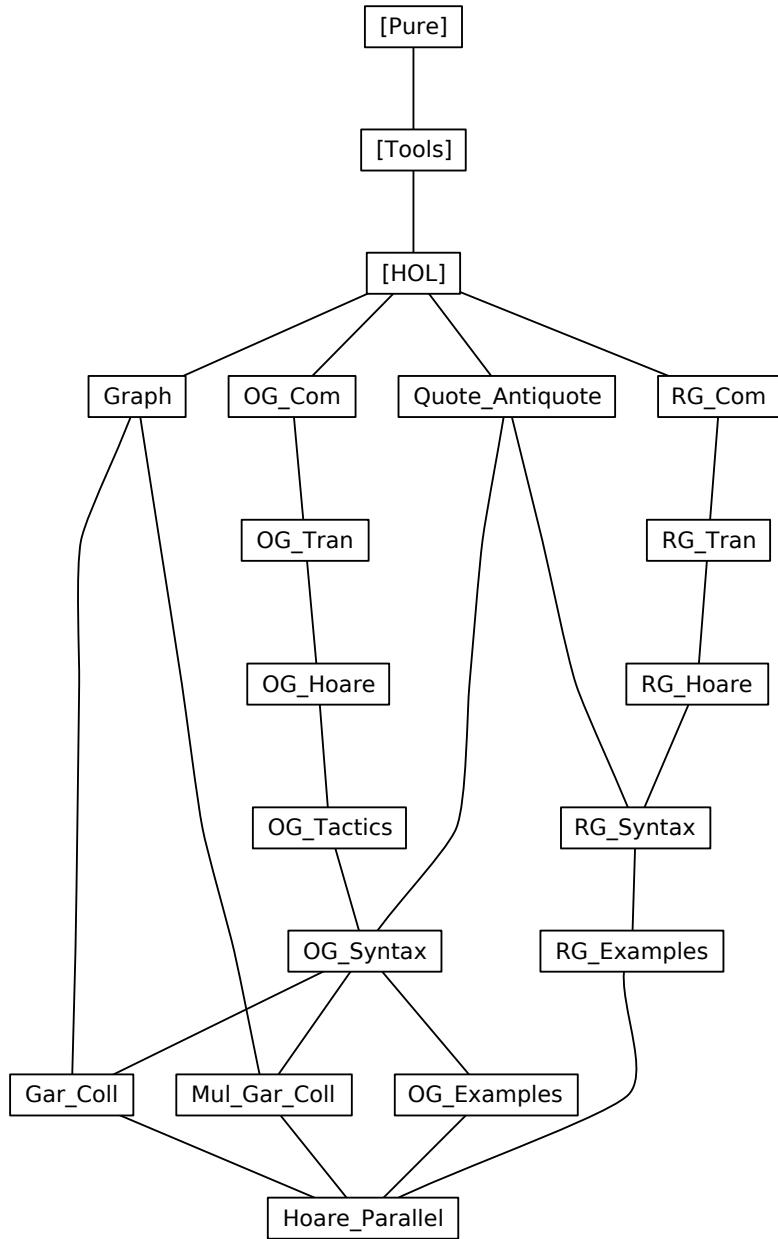
In the following theories a formalization of the Owicky-Gries and the rely-guarantee methods is presented. These methods are widely used for correctness proofs of parallel imperative programs with shared variables. We define syntax, semantics and proof rules in Isabelle/HOL. The proof rules also provide for programs parameterized in the number of parallel components. Their correctness w.r.t. the semantics is proven. Completeness proofs for both methods are extended to the new case of parameterized programs. (These proofs have not been formalized in Isabelle. They can be found in [1].) Using this formalizations we verify several non-trivial examples for parameterized and non-parameterized programs. For the automatic generation of verification conditions with the Owicky-Gries method we define a tactic based on the proof rules. The most involved examples are the verification of two garbage-collection algorithms, the second one parameterized in the number of mutators.

For excellent descriptions of this work see [2, 4, 1, 3].

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Chapter 1

The Owicky-Gries Method

1.1 Abstract Syntax

```
theory OG-Com imports Main begin
```

Type abbreviations for boolean expressions and assertions:

```
type-synonym 'a bexp = 'a set
type-synonym 'a assn = 'a set
```

The syntax of commands is defined by two mutually recursive datatypes: ' $'a ann-com$ for annotated commands and ' $'a com$ for non-annotated commands.

```
datatype 'a ann-com =
  AnnBasic ('a assn) ('a ⇒ 'a)
  | AnnSeq ('a ann-com) ('a ann-com)
  | AnnCond1 ('a assn) ('a bexp) ('a ann-com) ('a ann-com)
  | AnnCond2 ('a assn) ('a bexp) ('a ann-com)
  | AnnWhile ('a assn) ('a bexp) ('a assn) ('a ann-com)
  | AnnAwait ('a assn) ('a bexp) ('a com)
and 'a com =
  Parallel ('a ann-com option × 'a assn) list
  | Basic ('a ⇒ 'a)
  | Seq ('a com) ('a com)
  | Cond ('a bexp) ('a com) ('a com)
  | While ('a bexp) ('a assn) ('a com)
```

The function pre extracts the precondition of an annotated command:

```
primrec pre :: 'a ann-com ⇒ 'a assn where
  pre (AnnBasic r f) = r
  | pre (AnnSeq c1 c2) = pre c1
  | pre (AnnCond1 r b c1 c2) = r
  | pre (AnnCond2 r b c) = r
  | pre (AnnWhile r b i c) = r
  | pre (AnnAwait r b c) = r
```

Well-formedness predicate for atomic programs:

```

primrec atom-com :: 'a com  $\Rightarrow$  bool where
  atom-com (Parallel Ts) = False
  | atom-com (Basic f) = True
  | atom-com (Seq c1 c2) = (atom-com c1  $\wedge$  atom-com c2)
  | atom-com (Cond b c1 c2) = (atom-com c1  $\wedge$  atom-com c2)
  | atom-com (While b i c) = atom-com c

end

```

1.2 Operational Semantics

```

theory OG-Tran imports OG-Com begin

type-synonym 'a ann-com-op = ('a ann-com) option
type-synonym 'a ann-triple-op = ('a ann-com-op  $\times$  'a assn)

```

```

primrec com :: 'a ann-triple-op  $\Rightarrow$  'a ann-com-op where
  com (c, q) = c

```

```

primrec post :: 'a ann-triple-op  $\Rightarrow$  'a assn where
  post (c, q) = q

```

```

definition All-None :: 'a ann-triple-op list  $\Rightarrow$  bool where
  All-None Ts  $\equiv$   $\forall$  (c, q)  $\in$  set Ts. c = None

```

1.2.1 The Transition Relation

inductive-set

```

ann-transition :: (('a ann-com-op  $\times$  'a)  $\times$  ('a ann-com-op  $\times$  'a'))) set
and transition :: (('a com  $\times$  'a)  $\times$  ('a com  $\times$  'a'))) set
and ann-transition' :: ('a ann-com-op  $\times$  'a)  $\Rightarrow$  ('a ann-com-op  $\times$  'a)  $\Rightarrow$  bool
  (- -1→ -[81,81] 100)
and transition' :: ('a com  $\times$  'a)  $\Rightarrow$  ('a com  $\times$  'a)  $\Rightarrow$  bool
  (- -P1→ -[81,81] 100)
and transitions :: ('a com  $\times$  'a)  $\Rightarrow$  ('a com  $\times$  'a)  $\Rightarrow$  bool
  (- -P*→ -[81,81] 100)

```

where

```

con-0 -1→ con-1  $\equiv$  (con-0, con-1)  $\in$  ann-transition
| con-0 -P1→ con-1  $\equiv$  (con-0, con-1)  $\in$  transition
| con-0 -P*→ con-1  $\equiv$  (con-0, con-1)  $\in$  transition*

```

```

| AnnBasic: (Some (AnnBasic r f), s) -1→ (None, f s)

```

```

| AnnSeq1: (Some c0, s) -1→ (None, t)  $\Longrightarrow$ 
  (Some (AnnSeq c0 c1), s) -1→ (Some c1, t)
| AnnSeq2: (Some c0, s) -1→ (Some c2, t)  $\Longrightarrow$ 
  (Some (AnnSeq c0 c1), s) -1→ (Some (AnnSeq c2 c1), t)

```

```

| AnnCond1T: s ∈ b  $\Longrightarrow$  (Some (AnnCond1 r b c1 c2), s) -1→ (Some c1, s)

```

```

| AnnCond1F:  $s \notin b \Rightarrow (\text{Some } (\text{AnnCond1 } r \ b \ c1 \ c2), s) \xrightarrow{-1} (\text{Some } c2, s)$ 
| AnnCond2T:  $s \in b \Rightarrow (\text{Some } (\text{AnnCond2 } r \ b \ c), s) \xrightarrow{-1} (\text{Some } c, s)$ 
| AnnCond2F:  $s \notin b \Rightarrow (\text{Some } (\text{AnnCond2 } r \ b \ c), s) \xrightarrow{-1} (\text{None}, s)$ 
| AnnWhileF:  $s \notin b \Rightarrow (\text{Some } (\text{AnnWhile } r \ b \ i \ c), s) \xrightarrow{-1} (\text{None}, s)$ 
| AnnWhileT:  $s \in b \Rightarrow (\text{Some } (\text{AnnWhile } r \ b \ i \ c), s) \xrightarrow{-1} (\text{Some } (\text{AnnSeq } c \ (\text{AnnWhile } i \ b \ i \ c)), s)$ 
| AnnAwait:  $\llbracket s \in b; \text{atom-com } c; (c, s) \xrightarrow{-P*} (\text{Parallel } [], t) \rrbracket \Rightarrow (\text{Some } (\text{AnnAwait } r \ b \ c), s) \xrightarrow{-1} (\text{None}, t)$ 
| Parallel:  $\llbracket i < \text{length } Ts; Ts!i = (\text{Some } c, q); (Some \ c, s) \xrightarrow{-1} (r, t) \rrbracket \Rightarrow (\text{Parallel } Ts, s) \xrightarrow{-P1} (\text{Parallel } (Ts [i:=(r, q)]), t)$ 
| Basic:  $(\text{Basic } f, s) \xrightarrow{-P1} (\text{Parallel } [], f \ s)$ 
| Seq1:  $\text{All-None } Ts \Rightarrow (\text{Seq } (\text{Parallel } Ts) \ c, s) \xrightarrow{-P1} (c, s)$ 
| Seq2:  $(c0, s) \xrightarrow{-P1} (c2, t) \Rightarrow (\text{Seq } c0 \ c1, s) \xrightarrow{-P1} (\text{Seq } c2 \ c1, t)$ 
| CondT:  $s \in b \Rightarrow (\text{Cond } b \ c1 \ c2, s) \xrightarrow{-P1} (c1, s)$ 
| CondF:  $s \notin b \Rightarrow (\text{Cond } b \ c1 \ c2, s) \xrightarrow{-P1} (c2, s)$ 
| WhileF:  $s \notin b \Rightarrow (\text{While } b \ i \ c, s) \xrightarrow{-P1} (\text{Parallel } [], s)$ 
| WhileT:  $s \in b \Rightarrow (\text{While } b \ i \ c, s) \xrightarrow{-P1} (\text{Seq } c \ (\text{While } b \ i \ c), s)$ 

```

monos *rtrancl-mono*

The corresponding abbreviations are:

abbreviation

```

ann-transition-n :: ('a ann-com-op × 'a) ⇒ nat ⇒ ('a ann-com-op × 'a)
                  ⇒ bool (- → -[81,81] 100) where
con-0 -n→ con-1 ≡ (con-0, con-1) ∈ ann-transition ^ n

```

abbreviation

```

ann-transitions :: ('a ann-com-op × 'a) ⇒ ('a ann-com-op × 'a) ⇒ bool
                  (- → -[81,81] 100) where
con-0 -*→ con-1 ≡ (con-0, con-1) ∈ ann-transition*

```

abbreviation

```

transition-n :: ('a com × 'a) ⇒ nat ⇒ ('a com × 'a) ⇒ bool
                  (- P- → -[81,81,81] 100) where
con-0 -Pn→ con-1 ≡ (con-0, con-1) ∈ transition ^ n

```

1.2.2 Definition of Semantics

```

definition ann-sem :: 'a ann-com ⇒ 'a ⇒ 'a set where
  ann-sem c ≡ λs. {t. (Some c, s) -*→ (None, t)}

```

```

definition ann-SEM :: 'a ann-com  $\Rightarrow$  'a set  $\Rightarrow$  'a set where
  ann-SEM c S  $\equiv$   $\bigcup$ (ann-sem c ` S)

definition sem :: 'a com  $\Rightarrow$  'a  $\Rightarrow$  'a set where
  sem c  $\equiv$   $\lambda s.$  { $t.$   $\exists Ts.$  (c, s)  $-P* \rightarrow$  (Parallel Ts, t)  $\wedge$  All-None Ts}

definition SEM :: 'a com  $\Rightarrow$  'a set  $\Rightarrow$  'a set where
  SEM c S  $\equiv$   $\bigcup$ (sem c ` S)

abbreviation Omega :: 'a com  $\quad (\Omega \ 63)$ 
  where  $\Omega \equiv$  While UNIV UNIV (Basic id)

primrec fwhile :: 'a bexp  $\Rightarrow$  'a com  $\Rightarrow$  nat  $\Rightarrow$  'a com where
  fwhile b c 0 =  $\Omega$ 
  | fwhile b c (Suc n) = Cond b (Seq c (fwhile b c n)) (Basic id)

```

Proofs

```

declare ann-transition-transition.intros [intro]
inductive-cases transition-cases:
  (Parallel T,s)  $-P1 \rightarrow$  t
  (Basic f, s)  $-P1 \rightarrow$  t
  (Seq c1 c2, s)  $-P1 \rightarrow$  t
  (Cond b c1 c2, s)  $-P1 \rightarrow$  t
  (While b i c, s)  $-P1 \rightarrow$  t

lemma Parallel-empty-lemma [rule-format (no-asm)]:
  (Parallel [],s)  $-Pn \rightarrow$  (Parallel Ts,t)  $\longrightarrow$  Ts=[]  $\wedge$  n=0  $\wedge$  s=t
  apply(induct n)
  apply(simp (no-asm))
  apply clarify
  apply(drule relpow-Suc-D2)
  apply(force elim:transition-cases)
  done

lemma Parallel-AllNone-lemma [rule-format (no-asm)]:
  All-None Ss  $\longrightarrow$  (Parallel Ss,s)  $-Pn \rightarrow$  (Parallel Ts,t)  $\longrightarrow$  Ts=Ss  $\wedge$  n=0  $\wedge$  s=t
  apply(induct n)
  apply(simp (no-asm))
  apply clarify
  apply(drule relpow-Suc-D2)
  apply clarify
  apply(erule transition-cases,simp-all)
  apply(force dest:nth-mem simp add:All-None-def)
  done

lemma Parallel-AllNone: All-None Ts  $\Longrightarrow$  (SEM (Parallel Ts) X) = X
  apply (unfold SEM-def sem-def)
  apply auto

```

```

apply(drule rtrancl-imp-UN-relpow)
apply clarify
apply(drule Parallel-AllNone-lemma)
apply auto
done

lemma Parallel-empty: Ts=[] ==> (SEM (Parallel Ts) X) = X
apply(rule Parallel-AllNone)
apply(simp add:All-None-def)
done

```

Set of lemmas from Apt and Olderog "Verification of sequential and concurrent programs", page 63.

```

lemma L3-5i: X ⊆ Y ==> SEM c X ⊆ SEM c Y
apply (unfold SEM-def)
apply force
done

```

```

lemma L3-5ii-lemma1:
[[(c1, s1) -P*→ (Parallel Ts, s2); All-None Ts;
  (c2, s2) -P*→ (Parallel Ss, s3); All-None Ss ]]
  ==> (Seq c1 c2, s1) -P*→ (Parallel Ss, s3)
apply(erule converse-rtrancl-induct2)
apply(force intro:converse-rtrancl-into-rtrancl)+
done

```

```

lemma L3-5ii-lemma2 [rule-format (no-asm)]:
  ∀ c1 c2 s t. (Seq c1 c2, s) -Pn→ (Parallel Ts, t) →
    (All-None Ts) → (∃ y m Rs. (c1,s) -P*→ (Parallel Rs, y) ∧
      (All-None Rs) ∧ (c2, y) -Pm→ (Parallel Ts, t) ∧ m ≤ n)
apply(induct n)
apply(force)
apply(safe dest!: relpow-Suc-D2)
apply(erule transition-cases,simp-all)
apply (fast intro!: le-SucI)
apply (fast intro!: le-SucI elim!: relpow-imp-rtrancl converse-rtrancl-into-rtrancl)
done

```

```

lemma L3-5ii-lemma3:
  [(Seq c1 c2,s) -P*→ (Parallel Ts,t); All-None Ts] ==>
    (∃ y Rs. (c1,s) -P*→ (Parallel Rs,y) ∧ All-None Rs
     ∧ (c2,y) -P*→ (Parallel Ts,t))
apply(drule rtrancl-imp-UN-relpow)
apply(fast dest: L3-5ii-lemma2 relpow-imp-rtrancl)
done

```

```

lemma L3-5ii: SEM (Seq c1 c2) X = SEM c2 (SEM c1 X)
apply (unfold SEM-def sem-def)
apply auto

```

```

apply(fast dest: L3-5ii-lemma3)
apply(fast elim: L3-5ii-lemma1)
done

lemma L3-5iii: SEM (Seq (Seq c1 c2) c3) X = SEM (Seq c1 (Seq c2 c3)) X
apply (simp (no-asm) add: L3-5ii)
done

lemma L3-5iv:
SEM (Cond b c1 c2) X = (SEM c1 (X ∩ b)) Un (SEM c2 (X ∩ (-b)))
apply (unfold SEM-def sem-def)
apply auto
apply(erule converse-rtranclE)
prefer 2
apply (erule transition-cases,simp-all)
apply(fast intro: converse-rtrancl-into-rtrancl elim: transition-cases)+
done

lemma L3-5v-lemma1[rule-format]:
(S,s) -Pn→ (T,t) → S=Ω → (¬(∃ Rs. T=(Parallel Rs) ∧ All-None Rs))
apply (unfold UNIV-def)
apply(rule nat-less-induct)
apply safe
apply(erule relpow-E2)
apply simp-all
apply(erule transition-cases)
apply simp-all
apply(erule relpow-E2)
apply(simp add: Id-def)
apply(erule transition-cases,simp-all)
apply clarify
apply(erule transition-cases,simp-all)
apply(erule relpow-E2,simp)
apply clarify
apply(erule transition-cases)
apply simp+
apply clarify
apply(erule transition-cases)
apply simp-all
done

lemma L3-5v-lemma2: [(Ω, s) -P*→ (Parallel Ts, t); All-None Ts ] ⇒ False
apply(fast dest: rtrancl-imp-UN-relpow L3-5v-lemma1)
done

lemma L3-5v-lemma3: SEM (Ω) S = {}
apply (unfold SEM-def sem-def)
apply(fast dest: L3-5v-lemma2)

```

done

```
lemma L3-5v-lemma4 [rule-format]:  
   $\forall s. (\text{While } b i c, s) \xrightarrow{-Pn} (\text{Parallel } Ts, t) \longrightarrow \text{All-None } Ts \longrightarrow$   
   $(\exists k. (\text{fwhile } b c k, s) \xrightarrow{-P*} (\text{Parallel } Ts, t))$   
apply(rule nat-less-induct)  
apply safe  
apply(erule relpow-E2)  
apply safe  
apply(erule transition-cases,simp-all)  
apply (rule-tac  $x = 1$  in exI)  
apply(force dest: Parallel-empty-lemma intro: converse-rtrancl-into-rtrancl simp  
add: Id-def)  
apply safe  
apply(drule L3-5ii-lemma2)  
apply safe  
apply(drule le-imp-less-Suc)  
apply (erule allE , erule impE,assumption)  
apply (erule allE , erule impE, assumption)  
apply safe  
apply (rule-tac  $x = k+1$  in exI)  
apply(simp (no-asm))  
apply(rule converse-rtrancl-into-rtrancl)  
apply fast  
apply(fast elim: L3-5ii-lemma1)  
done
```

```
lemma L3-5v-lemma5 [rule-format]:  
   $\forall s. (\text{fwhile } b c k, s) \xrightarrow{-P*} (\text{Parallel } Ts, t) \longrightarrow \text{All-None } Ts \longrightarrow$   
   $(\text{While } b i c, s) \xrightarrow{-P*} (\text{Parallel } Ts, t)$   
apply(induct k)  
apply(force dest: L3-5v-lemma2)  
apply safe  
apply(erule converse-rtranclE)  
apply simp-all  
apply(erule transition-cases,simp-all)  
apply(rule converse-rtrancl-into-rtrancl)  
apply(fast)  
apply(fast elim!: L3-5ii-lemma1 dest: L3-5ii-lemma3)  
apply(drule rtrancl-imp-UN-relpow)  
apply clarify  
apply(erule relpow-E2)  
apply simp-all  
apply(erule transition-cases,simp-all)  
apply(fast dest: Parallel-empty-lemma)  
done
```

```
lemma L3-5v: SEM (While b i c) = ( $\lambda x. (\bigcup k. \text{SEM } (\text{fwhile } b c k) x))$   
apply(rule ext)
```

```

apply (simp add: SEM-def sem-def)
apply safe
apply(drule rtrancl-imp-UN-relpow,simp)
apply clarify
apply(fast dest:L3-5v-lemma4)
apply(fast intro: L3-5v-lemma5)
done

```

1.3 Validity of Correctness Formulas

```

definition com-validity :: 'a assn ⇒ 'a com ⇒ 'a assn ⇒ bool ((3||= -// -//-) 
[90,55,90] 50) where
  ||= p c q ≡ SEM c p ⊆ q

definition ann-com-validity :: 'a ann-com ⇒ 'a assn ⇒ bool (|= - - [60,90] 45)
where
  |= c q ≡ ann-SEM c (pre c) ⊆ q

end

```

1.4 The Proof System

```
theory OG-Hoare imports OG-Tran begin
```

```

primrec assertions :: 'a ann-com ⇒ ('a assn) set where
  assertions (AnnBasic r f) = {r}
  | assertions (AnnSeq c1 c2) = assertions c1 ∪ assertions c2
  | assertions (AnnCond1 r b c1 c2) = {r} ∪ assertions c1 ∪ assertions c2
  | assertions (AnnCond2 r b c) = {r} ∪ assertions c
  | assertions (AnnWhile r b i c) = {r, i} ∪ assertions c
  | assertions (AnnAwait r b c) = {r}

primrec atomics :: 'a ann-com ⇒ ('a assn × 'a com) set where
  atomics (AnnBasic r f) = {(r, Basic f)}
  | atomics (AnnSeq c1 c2) = atomics c1 ∪ atomics c2
  | atomics (AnnCond1 r b c1 c2) = atomics c1 ∪ atomics c2
  | atomics (AnnCond2 r b c) = atomics c
  | atomics (AnnWhile r b i c) = atomics c
  | atomics (AnnAwait r b c) = {(r ∩ b, c)}

primrec com :: 'a ann-triple-op ⇒ 'a ann-com-op where
  com (c, q) = c

primrec post :: 'a ann-triple-op ⇒ 'a assn where
  post (c, q) = q

definition interfree-aux :: ('a ann-com-op × 'a assn × 'a ann-com-op) ⇒ bool
where

```

$$\text{interfree-aux} \equiv \lambda(\text{co}, q, \text{co}'). \text{co}' = \text{None} \vee \\ (\forall(r, a) \in \text{atomics } (\text{the co}')). \parallel = (q \cap r) \ a \ q \wedge \\ (\text{co} = \text{None} \vee (\forall p \in \text{assertions } (\text{the co})). \parallel = (p \cap r) \ a \ p))$$

definition $\text{interfree} :: (('a \text{ ann-triple-op}) \text{ list}) \Rightarrow \text{bool}$ **where**
 $\text{interfree } Ts \equiv \forall i \ j. \ i < \text{length } Ts \wedge j < \text{length } Ts \wedge i \neq j \longrightarrow$
 $\text{interfree-aux } (\text{com } (Ts!i), \text{post } (Ts!j), \text{com } (Ts!j))$

inductive

$\text{oghoare} :: 'a \text{ assn} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ assn} \Rightarrow \text{bool } ((3\parallel - -// -// -) [90,55,90] 50)$
and $\text{ann-hoare} :: 'a \text{ ann-com} \Rightarrow 'a \text{ assn} \Rightarrow \text{bool } ((2\parallel - / -) [60,90] 45)$

where

$\text{AnnBasic}: r \subseteq \{s. fs \in q\} \implies \vdash (\text{AnnBasic } r f) q$

| $\text{AnnSeq}: [\vdash c0 \text{ pre } c1; \vdash c1 q] \implies \vdash (\text{AnnSeq } c0 c1) q$

| $\text{AnnCond1}: [r \cap b \subseteq \text{pre } c1; \vdash c1 q; r \cap -b \subseteq \text{pre } c2; \vdash c2 q] \implies \vdash (\text{AnnCond1 } r b c1 c2) q$

| $\text{AnnCond2}: [r \cap b \subseteq \text{pre } c; \vdash c q; r \cap -b \subseteq q] \implies \vdash (\text{AnnCond2 } r b c) q$

| $\text{AnnWhile}: [r \subseteq i; i \cap b \subseteq \text{pre } c; \vdash c i; i \cap -b \subseteq q] \implies \vdash (\text{AnnWhile } r b i c) q$

| $\text{AnnAwait}: [\text{atom-com } c; \parallel - (r \cap b) c q] \implies \vdash (\text{AnnAwait } r b c) q$

| $\text{AnnConseq}: [\vdash c q; q \subseteq q'] \implies \vdash c q'$

| $\text{Parallel}: [\forall i < \text{length } Ts. \exists c q. Ts!i = (\text{Some } c, q) \wedge \vdash c q; \text{interfree } Ts] \implies \parallel - (\bigcap_{i \in \{i. i < \text{length } Ts\}} \text{pre}(\text{the}(\text{com}(Ts!i))))$
 $\text{Parallel } Ts$
 $(\bigcap_{i \in \{i. i < \text{length } Ts\}} \text{post}(Ts!i))$

| $\text{Basic}: \parallel - \{s. fs \in q\} (\text{Basic } f) q$

| $\text{Seq}: [\parallel - p c1 r; \parallel - r c2 q] \implies \parallel - p (\text{Seq } c1 c2) q$

| $\text{Cond}: [\parallel - (p \cap b) c1 q; \parallel - (p \cap -b) c2 q] \implies \parallel - p (\text{Cond } b c1 c2) q$

| $\text{While}: [\parallel - (p \cap b) c p] \implies \parallel - p (\text{While } b i c) (p \cap -b)$

| $\text{Conseq}: [p' \subseteq p; \parallel - p c q; q \subseteq q'] \implies \parallel - p' c q'$

1.5 Soundness

lemmas [*cong del*] = *if-weak-cong*

lemmas *ann-hoare-induct* = *oghoare-ann-hoare.induct* [THEN *conjunct2*]
lemmas *oghoare-induct* = *oghoare-ann-hoare.induct* [THEN *conjunct1*]

```

lemmas AnnBasic = oghoare-ann-hoare.AnnBasic
lemmas AnnSeq = oghoare-ann-hoare.AnnSeq
lemmas AnnCond1 = oghoare-ann-hoare.AnnCond1
lemmas AnnCond2 = oghoare-ann-hoare.AnnCond2
lemmas AnnWhile = oghoare-ann-hoare.AnnWhile
lemmas AnnAwait = oghoare-ann-hoare.AnnAwait
lemmas AnnConseq = oghoare-ann-hoare.AnnConseq

lemmas Parallel = oghoare-ann-hoare.Parallel
lemmas Basic = oghoare-ann-hoare.Basic
lemmas Seq = oghoare-ann-hoare.Seq
lemmas Cond = oghoare-ann-hoare.Cond
lemmas While = oghoare-ann-hoare.While
lemmas Conseq = oghoare-ann-hoare.Conseq

```

1.5.1 Soundness of the System for Atomic Programs

```

lemma Basic-ntran [rule-format]:
  ( $\text{Basic } f, s$ )  $-Pn \rightarrow (\text{Parallel } Ts, t) \longrightarrow \text{All-None } Ts \longrightarrow t = f s$ 
apply(induct n)
  apply(simp (no-asm))
  apply(fast dest: relpow-Suc-D2 Parallel-empty-lemma elim: transition-cases)
done

lemma SEM-fwhile: SEM S ( $p \cap b$ )  $\subseteq p \implies \text{SEM } (\text{fwhile } b S k) p \subseteq (p \cap \neg b)$ 
apply (induct k)
  apply(simp (no-asm) add: L3-5v-lemma3)
  apply(simp (no-asm) add: L3-5iv L3-5ii Parallel-empty)
  apply(rule conjI)
    apply(blast dest: L3-5i)
    apply(simp add: SEM-def sem-def id-def)
    apply(auto dest: Basic-ntran rtrancl-imp-UN-relpow)
  apply blast
done

lemma atom-hoare-sound [rule-format]:
   $\| - p c q \longrightarrow \text{atom-com}(c) \longrightarrow \| = p c q$ 
apply (unfold com-validity-def)
apply(rule oghoare-induct)
apply simp-all
— Basic
  apply(simp add: SEM-def sem-def)
  apply(fast dest: rtrancl-imp-UN-relpow Basic-ntran)
— Seq
  apply(rule impI)
  apply(rule subset-trans)
  prefer 2 apply simp
  apply(simp add: L3-5ii L3-5i)

```

```

— Cond
apply(simp add: L3-5iv)
— While
apply (force simp add: L3-5v dest: SEM-fwhile)
— Conseq
apply(force simp add: SEM-def sem-def)
done

```

1.5.2 Soundness of the System for Component Programs

inductive-cases *ann-transition-cases*:

```

(None,s)  $\rightarrow$  (c', s')
(Some (AnnBasic r f),s)  $\rightarrow$  (c', s')
(Some (AnnSeq c1 c2), s)  $\rightarrow$  (c', s')
(Some (AnnCond1 r b c1 c2), s)  $\rightarrow$  (c', s')
(Some (AnnCond2 r b c), s)  $\rightarrow$  (c', s')
(Some (AnnWhile r b I c), s)  $\rightarrow$  (c', s')
(Some (AnnAwait r b c),s)  $\rightarrow$  (c', s')

```

Strong Soundness for Component Programs:

```

lemma ann-hoare-case-analysis [rule-format]:  $\vdash C q' \rightarrow$ 
 $((\forall r f. C = AnnBasic r f \rightarrow (\exists q. r \subseteq \{s. f s \in q\} \wedge q \subseteq q')) \wedge$ 
 $(\forall c0 c1. C = AnnSeq c0 c1 \rightarrow (\exists q. q \subseteq q' \wedge \vdash c0 pre c1 \wedge \vdash c1 q)) \wedge$ 
 $(\forall r b c1 c2. C = AnnCond1 r b c1 c2 \rightarrow (\exists q. q \subseteq q' \wedge$ 
 $r \cap b \subseteq pre c1 \wedge \vdash c1 q \wedge r \cap -b \subseteq pre c2 \wedge \vdash c2 q)) \wedge$ 
 $(\forall r b c. C = AnnCond2 r b c \rightarrow$ 
 $(\exists q. q \subseteq q' \wedge r \cap b \subseteq pre c \wedge \vdash c q \wedge r \cap -b \subseteq q)) \wedge$ 
 $(\forall r i b c. C = AnnWhile r b i c \rightarrow$ 
 $(\exists q. q \subseteq q' \wedge r \subseteq i \wedge i \cap b \subseteq pre c \wedge \vdash c i \wedge i \cap -b \subseteq q)) \wedge$ 
 $(\forall r b c. C = AnnAwait r b c \rightarrow (\exists q. q \subseteq q' \wedge \parallel-(r \cap b) c q)))$ 
apply(rule ann-hoare-induct)
apply simp-all
apply(rule-tac x=q in exI,simp)+
apply(rule conjI,clarify,simp,clarify,rule-tac x=qa in exI,fast)+
apply(clarify,simp,clarify,rule-tac x=qa in exI,fast)
done

```

```

lemma Help:  $(transition \cap \{(x,y). True\}) = (transition)$ 
apply force
done

```

```

lemma Strong-Soundness-aux-aux [rule-format]:
 $(co, s) \rightarrow (co', t) \rightarrow (\forall c. co = Some c \rightarrow s \in pre c \rightarrow$ 
 $(\forall q. \vdash c q \rightarrow (if co' = None then t \in q else t \in pre(the co') \wedge \vdash (the co') q)))$ 
apply(rule ann-transition-transition.induct [THEN conjunct1])
apply simp-all
— Basic
apply clarify
apply(frule ann-hoare-case-analysis)

```

```

apply force
— Seq
  apply clarify
  apply(frule ann-hoare-case-analysis,simp)
  apply(fast intro: AnnConseq)
  apply clarify
  apply(frule ann-hoare-case-analysis,simp)
  apply clarify
  apply(rule conjI)
  apply force
  apply(rule AnnSeq,simp)
  apply(fast intro: AnnConseq)
— Cond1
  apply clarify
  apply(frule ann-hoare-case-analysis,simp)
  apply(fast intro: AnnConseq)
  apply clarify
  apply(frule ann-hoare-case-analysis,simp)
  apply(fast intro: AnnConseq)
— Cond2
  apply clarify
  apply(frule ann-hoare-case-analysis,simp)
  apply(fast intro: AnnConseq)
  apply clarify
  apply(frule ann-hoare-case-analysis,simp)
  apply(fast intro: AnnConseq)
— While
  apply clarify
  apply(frule ann-hoare-case-analysis,simp)
  apply force
  apply clarify
  apply(frule ann-hoare-case-analysis,simp)
  apply auto
  apply(rule AnnSeq)
  apply simp
  apply(rule AnnWhile)
  apply simp-all
— Await
  apply(frule ann-hoare-case-analysis,simp)
  apply clarify
  apply(drule atom-hoare-sound)
  apply simp
  apply(simp add: com-validity-def SEM-def sem-def)
  apply(simp add: Help All-None-def)
  apply force
  done

```

lemma Strong-Soundness-aux: $\llbracket (\text{Some } c, s) \xrightarrow{*} (co, t); s \in \text{pre } c; \vdash c q \rrbracket$
 $\implies \text{if } co = \text{None} \text{ then } t \in q \text{ else } t \in \text{pre } (\text{the } co) \wedge \vdash (\text{the } co) q$

```

apply(erule rtrancl-induct2)
  apply simp
  apply(case-tac a)
    apply(fast elim: ann-transition-cases)
  apply(erule Strong-Soundness-aux-aux)
    apply simp
  apply simp-all
done

lemma Strong-Soundness: [ (Some c, s) -*→(co, t); s ∈ pre c; ⊢ c q ]
  ==> if co = None then t ∈ q else t ∈ pre (the co)
apply(force dest:Strong-Soundness-aux)
done

lemma ann-hoare-sound: ⊢ c q ==> |= c q
apply (unfold ann-com-validity-def ann-SEM-def ann-sem-def)
apply clarify
apply(drule Strong-Soundness)
apply simp-all
done

```

1.5.3 Soundness of the System for Parallel Programs

```

lemma Parallel-length-post-P1: (Parallel Ts,s) -P1→ (R', t) ==>
  (Ǝ Rs. R' = (Parallel Rs) ∧ (length Rs) = (length Ts) ∧
   ( ∀ i. i < length Ts → post(Rs ! i) = post(Ts ! i)))
apply(erule transition-cases)
apply simp
apply clarify
apply(case-tac i=ia)
apply simp+
done

lemma Parallel-length-post-PStar: (Parallel Ts,s) -P*→ (R',t) ==>
  (Ǝ Rs. R' = (Parallel Rs) ∧ (length Rs) = (length Ts) ∧
   ( ∀ i. i < length Ts → post(Ts ! i) = post(Rs ! i)))
apply(erule rtrancl-induct2)
  apply(simp-all)
  apply clarify
  apply simp
  apply(drule Parallel-length-post-P1)
  apply auto
done

lemma assertions-lemma: pre c ∈ assertions c
apply(rule ann-com-com.induct [THEN conjunct1])
apply auto
done

```

```

lemma interfree-aux1 [rule-format]:
   $(c,s) \rightarrow (r,t) \rightarrow (\text{interfree-aux}(c_1, q_1, c) \rightarrow \text{interfree-aux}(c_1, q_1, r))$ 
apply (rule ann-transition-transition.induct [THEN conjunct1])
apply(safe)
prefer 13
apply (rule TrueI)
apply (simp-all add:interfree-aux-def)
apply force+
done

lemma interfree-aux2 [rule-format]:
   $(c,s) \rightarrow (r,t) \rightarrow (\text{interfree-aux}(c, q, a) \rightarrow \text{interfree-aux}(r, q, a))$ 
apply (rule ann-transition-transition.induct [THEN conjunct1])
apply(force simp add:interfree-aux-def)+
done

lemma interfree-lemma:  $\llbracket (\text{Some } c, s) \rightarrow (r, t); \text{interfree } Ts ; i < \text{length } Ts; Ts!i = (\text{Some } c, q) \rrbracket \implies \text{interfree } (Ts[i := (r, q)])$ 
apply(simp add: interfree-def)
apply clarify
apply(case-tac i=j)
apply(drule-tac t = ia in not-sym)
apply simp-all
apply(force elim: interfree-aux1)
apply(force elim: interfree-aux2 simp add:nth-list-update)
done

```

Strong Soundness Theorem for Parallel Programs:

```

lemma Parallel-Strong-Soundness-Seq-aux:
   $\llbracket \text{interfree } Ts; i < \text{length } Ts; \text{com}(Ts ! i) = \text{Some}(\text{AnnSeq } c_0 c_1) \rrbracket \implies \text{interfree } (Ts[i := (\text{Some } c_0, \text{pre } c_1)])$ 
apply(simp add: interfree-def)
apply clarify
apply(case-tac i=j)
apply(force simp add: nth-list-update interfree-aux-def)
apply(case-tac i=ia)
apply(erule-tac x=ia in allE)
apply(force simp add:interfree-aux-def assertions-lemma)
apply simp
done

lemma Parallel-Strong-Soundness-Seq [rule-format (no-asm)]:
 $\llbracket \forall i < \text{length } Ts. (\text{if com}(Ts ! i) = \text{None} \text{ then } b \in \text{post}(Ts ! i) \text{ else } b \in \text{pre}(\text{the}(\text{com}(Ts ! i))) \wedge \vdash \text{the}(\text{com}(Ts ! i)) \text{ post}(Ts ! i)); \text{com}(Ts ! i) = \text{Some}(\text{AnnSeq } c_0 c_1); i < \text{length } Ts; \text{interfree } Ts \rrbracket \implies$ 
 $(\forall ia < \text{length } Ts. (\text{if com}(Ts[i := (\text{Some } c_0, \text{pre } c_1)] ! ia) = \text{None} \text{ then } b \in \text{post}(Ts[i := (\text{Some } c_0, \text{pre } c_1)] ! ia) \text{ else } b \in \text{pre}(\text{the}(\text{com}(Ts[i := (\text{Some } c_0, \text{pre } c_1)] ! ia)))) \wedge$ 
 $\vdash \text{the}(\text{com}(Ts[i := (\text{Some } c_0, \text{pre } c_1)] ! ia)) \text{ post}(Ts[i := (\text{Some } c_0, \text{pre } c_1)] ! ia))$ 

```

```

 $\wedge \text{interfree } (\text{Ts}[i:= (\text{Some } c0, \text{ pre } c1)])$ 
apply(rule conjI)
apply safe
apply(case-tac i=ia)
apply simp
apply(force dest: ann-hoare-case-analysis)
apply simp
apply(fast elim: Parallel-Strong-Soundness-Seq-aux)
done

lemma Parallel-Strong-Soundness-aux-aux [rule-format]:
 $(\text{Some } c, b) -1 \rightarrow (co, t) \longrightarrow$ 
 $(\forall Ts. i < \text{length } Ts \longrightarrow \text{com}(Ts ! i) = \text{Some } c \longrightarrow$ 
 $(\forall i < \text{length } Ts. (\text{if com}(Ts ! i) = \text{None} \text{ then } b \in \text{post}(Ts ! i)$ 
 $\text{else } b \in \text{pre}(\text{the}(\text{com}(Ts ! i))) \wedge \vdash \text{the}(\text{com}(Ts ! i)) \text{ post}(Ts ! i)) \longrightarrow$ 
 $\text{interfree } Ts \longrightarrow$ 
 $(\forall j. j < \text{length } Ts \wedge i \neq j \longrightarrow (\text{if com}(Ts ! j) = \text{None} \text{ then } t \in \text{post}(Ts ! j)$ 
 $\text{else } t \in \text{pre}(\text{the}(\text{com}(Ts ! j))) \wedge \vdash \text{the}(\text{com}(Ts ! j)) \text{ post}(Ts ! j)) )$ 
apply(rule ann-transition-transition.induct [THEN conjunct1])
apply safe
prefer 11
apply(rule TrueI)
apply simp-all
— Basic
apply(erule-tac x = i in all-dupE, erule (1) notE impE)
apply(erule-tac x = j in allE , erule (1) notE impE)
apply(simp add: interfree-def)
apply(erule-tac x = j in allE,simp)
apply(erule-tac x = i in allE,simp)
apply(drule-tac t = i in not-sym)
apply(case-tac com(Ts ! j)=None)
apply(force intro: converse-rtrancl-into-rtrancl
       $\text{simp add: interfree-aux-def com-validity-def SEM-def sem-def All-None-def})$ 
apply(simp add:interfree-aux-def)
apply clarify
apply simp
apply(erule-tac x=pre y in ballE)
apply(force intro: converse-rtrancl-into-rtrancl
       $\text{simp add: com-validity-def SEM-def sem-def All-None-def})$ 
apply(simp add:assertions-lemma)
— Seqs
apply(erule-tac x = Ts[i:=(Some c0, pre c1)] in allE)
apply(drule Parallel-Strong-Soundness-Seq,simp+)
apply(erule-tac x = Ts[i:=(Some c0, pre c1)] in allE)
apply(drule Parallel-Strong-Soundness-Seq,simp+)
— Await
apply(rule-tac x = i in allE , assumption , erule (1) notE impE)
apply(erule-tac x = j in allE , erule (1) notE impE)
apply(simp add: interfree-def)

```

```

apply(erule-tac x = j in allE,simp)
apply(erule-tac x = i in allE,simp)
apply(drule-tac t = i in not-sym)
apply(case-tac com(Ts ! j)=None)
apply(force intro: converse-rtrancl-into-rtrancl simp add: interfree-aux-def
      com-validity-def SEM-def sem-def All-None-def Help)
apply(simp add:interfree-aux-def)
apply clarify
apply simp
apply(erule-tac x=pre y in ballE)
apply(force intro: converse-rtrancl-into-rtrancl
      simp add: com-validity-def SEM-def sem-def All-None-def Help)
apply(simp add:assertions-lemma)
done

lemma Parallel-Strong-Soundness-aux [rule-format]:

$$\llbracket (Ts', s) \xrightarrow{P*} (Rs', t); Ts' = (\text{Parallel } Ts); \text{interfree } Ts; \forall i. i < \text{length } Ts \longrightarrow (\exists c q. (Ts ! i) = (\text{Some } c, q) \wedge s \in \text{pre } c) \wedge \vdash c q \rrbracket \implies \forall Rs. Rs' = (\text{Parallel } Rs) \longrightarrow (\forall j. j < \text{length } Rs \longrightarrow (\text{if } com(Rs ! j) = \text{None} \text{ then } t \in \text{post}(Ts ! j) \text{ else } t \in \text{pre}(\text{the}(com(Rs ! j))) \wedge \vdash \text{the}(com(Rs ! j)) \text{ post}(Ts ! j)) \wedge \text{interfree } Rs$$

apply(erule rtrancl-induct2)
apply clarify
— Base
apply force
— Induction step
apply clarify
apply(drule Parallel-length-post-PStar)
apply clarify
apply(ind-cases (Parallel Ts, s) -P1→ (Parallel Rs, t) for Ts s Rs t)
apply(rule conjI)
apply clarify
apply(case-tac i=j)
apply(simp split del:if-split)
apply(erule Strong-Soundness-aux-aux,simp+)
apply force
apply force
apply(simp split del: if-split)
apply(erule Parallel-Strong-Soundness-aux-aux)
apply(simp-all add: split del:if-split)
apply force
apply(rule interfree-lemma)
apply simp-all
done

lemma Parallel-Strong-Soundness:

$$\llbracket (\text{Parallel } Ts, s) \xrightarrow{P*} (\text{Parallel } Rs, t); \text{interfree } Ts; j < \text{length } Rs; \forall i. i < \text{length } Ts \longrightarrow (\exists c q. Ts ! i = (\text{Some } c, q) \wedge s \in \text{pre } c \wedge \vdash c q) \rrbracket \implies (\text{if } com(Rs ! j) = \text{None} \text{ then } t \in \text{post}(Ts ! j) \text{ else } t \in \text{pre}(\text{the}(com(Rs ! j))))$$


```

```

apply(drule Parallel-Strong-Soundness-aux)
apply simp+
done

lemma oghoare-sound [rule-format]: ||- p c q —> ||= p c q
apply (unfold com-validity-def)
apply(rule oghoare-induct)
apply(rule TrueI)+
— Parallel
  apply(simp add: SEM-def sem-def)
  apply(clarify, rename-tac x y i Ts')
  apply(frule Parallel-length-post-PStar)
  apply clarify
  apply(drule-tac j=i in Parallel-Strong-Soundness)
    apply clarify
    apply simp
    apply force
    apply simp
    apply(erule-tac V = ∀ i. P i for P in thin-rl)
    apply(drule-tac s = length Rs in sym)
    apply(erule allE, erule impE, assumption)
    apply(force dest: nth-mem simp add: All-None-def)
— Basic
  apply(simp add: SEM-def sem-def)
  apply(force dest: rtrancl-imp-UN-repow Basic-ntran)
— Seq
  apply(rule subset-trans)
  prefer 2 apply assumption
  apply(simp add: L3-5ii L3-5i)
— Cond
  apply(simp add: L3-5iv)
— While
  apply(simp add: L3-5v)
  apply(blast dest: SEM-fwhile)
— Conseq
  apply(auto simp add: SEM-def sem-def)
done

end

```

1.6 Generation of Verification Conditions

```

theory OG-Tactics
imports OG-Hoare
begin

lemmas ann-hoare-intros=AnnBasic AnnSeq AnnCond1 AnnCond2 AnnWhile Ann-
nAwait AnnConseq
lemmas oghoare-intros=Parallel Basic Seq Cond While Conseq

```

```

lemma ParallelConseqRule:
 $\llbracket p \subseteq (\bigcap i \in \{i. i < \text{length } Ts\}. \text{pre}(\text{the}(\text{com}(Ts ! i))));$ 
 $\llbracket \text{||- } (\bigcap i \in \{i. i < \text{length } Ts\}. \text{pre}(\text{the}(\text{com}(Ts ! i))))$ 
 $\quad (\text{Parallel } Ts)$ 
 $\quad (\bigcap i \in \{i. i < \text{length } Ts\}. \text{post}(Ts ! i));$ 
 $\quad (\bigcap i \in \{i. i < \text{length } Ts\}. \text{post}(Ts ! i)) \subseteq q \rrbracket$ 
 $\implies \text{||- } p \text{ (Parallel } Ts) q$ 
apply (rule Conseq)
prefer 2
apply fast
apply assumption+
done

lemma SkipRule:  $p \subseteq q \implies \text{||- } p \text{ (Basic id) } q$ 
apply(rule oghoare-intros)
prefer 2 apply(rule Basic)
prefer 2 apply(rule subset-refl)
apply(simp add:Id-def)
done

lemma BasicRule:  $p \subseteq \{s. (f s) \in q\} \implies \text{||- } p \text{ (Basic } f) q$ 
apply(rule oghoare-intros)
prefer 2 apply(rule oghoare-intros)
prefer 2 apply(rule subset-refl)
apply assumption
done

lemma SeqRule:  $\llbracket \text{||- } p c1 r; \text{||- } r c2 q \rrbracket \implies \text{||- } p \text{ (Seq } c1 c2) q$ 
apply(rule Seq)
apply fast+
done

lemma CondRule:
 $\llbracket p \subseteq \{s. (s \in b \rightarrow s \in w) \wedge (s \notin b \rightarrow s \in w')\}; \text{||- } w c1 q; \text{||- } w' c2 q \rrbracket$ 
 $\implies \text{||- } p \text{ (Cond } b c1 c2) q$ 
apply(rule Cond)
apply(rule Conseq)
prefer 4 apply(rule Conseq)
apply simp-all
apply force+
done

lemma WhileRule:  $\llbracket p \subseteq i; \text{||- } (i \cap b) c i ; (i \cap (-b)) \subseteq q \rrbracket$ 
 $\implies \text{||- } p \text{ (While } b i c) q$ 
apply(rule Conseq)
prefer 2 apply(rule While)
apply assumption+
done

```

Three new proof rules for special instances of the *AnnBasic* and the *AnnAwait* commands when the transformation performed on the state is the identity, and for an *AnnAwait* command where the boolean condition is $\{s. \text{True}\}$:

```
lemma AnnatomRule:
   $\llbracket \text{atom-com}(c); \parallel r c q \rrbracket \implies \vdash (\text{AnnAwait } r \{s. \text{True}\} c) q$ 
apply(rule AnnAwait)
apply simp-all
done
```

```
lemma AnnskipRule:
   $r \subseteq q \implies \vdash (\text{AnnBasic } r id) q$ 
apply(rule AnnBasic)
apply simp
done
```

```
lemma AnnwaitRule:
   $\llbracket (r \cap b) \subseteq q \rrbracket \implies \vdash (\text{AnnAwait } r b (\text{Basic } id)) q$ 
apply(rule AnnAwait)
apply simp
apply(rule BasicRule)
apply simp
done
```

Lemmata to avoid using the definition of *map-ann-hoare*, *interfree-aux*, *interfree-swap* and *interfree* by splitting it into different cases:

```
lemma interfree-aux-rule1: interfree-aux(co, q, None)
by(simp add:interfree-aux-def)
```

```
lemma interfree-aux-rule2:
   $\forall (R,r) \in (\text{atomics } a). \parallel (q \cap R) r q \implies \text{interfree-aux}(\text{None}, q, \text{Some } a)$ 
apply(simp add:interfree-aux-def)
apply(force elim:oghoare-sound)
done
```

```
lemma interfree-aux-rule3:
   $(\forall (R, r) \in (\text{atomics } a). \parallel (q \cap R) r q \wedge (\forall p \in (\text{assertions } c). \parallel (p \cap R) r p)) \implies \text{interfree-aux}(\text{Some } c, q, \text{Some } a)$ 
apply(simp add:interfree-aux-def)
apply(force elim:oghoare-sound)
done
```

```
lemma AnnBasic-assertions:
   $\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{None}, q, \text{Some } a) \rrbracket \implies \text{interfree-aux}(\text{Some } (\text{AnnBasic } r f), q, \text{Some } a)$ 
apply(simp add: interfree-aux-def)
by force
```

lemma *AnnSeq-assertions*:

$$\llbracket \text{interfree-aux}(\text{Some } c_1, q, \text{Some } a); \text{interfree-aux}(\text{Some } c_2, q, \text{Some } a) \rrbracket \implies \text{interfree-aux}(\text{Some } (\text{AnnSeq } c_1 c_2), q, \text{Some } a)$$

apply(simp add: interfree-aux-def)
by force

lemma *AnnCond1-assertions*:

$$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{Some } c_1, q, \text{Some } a); \text{interfree-aux}(\text{Some } c_2, q, \text{Some } a) \rrbracket \implies \text{interfree-aux}(\text{Some}(\text{AnnCond1 } r b c_1 c_2), q, \text{Some } a)$$

apply(simp add: interfree-aux-def)
by force

lemma *AnnCond2-assertions*:

$$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{Some } c, q, \text{Some } a) \rrbracket \implies \text{interfree-aux}(\text{Some}(\text{AnnCond2 } r b c), q, \text{Some } a)$$

apply(simp add: interfree-aux-def)
by force

lemma *AnnWhile-assertions*:

$$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{None}, i, \text{Some } a); \text{interfree-aux}(\text{Some } c, q, \text{Some } a) \rrbracket \implies \text{interfree-aux}(\text{Some}(\text{AnnWhile } r b i c), q, \text{Some } a)$$

apply(simp add: interfree-aux-def)
by force

lemma *AnnAwait-assertions*:

$$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{None}, q, \text{Some } a) \rrbracket \implies \text{interfree-aux}(\text{Some}(\text{AnnAwait } r b c), q, \text{Some } a)$$

apply(simp add: interfree-aux-def)
by force

lemma *AnnBasic-atomics*:

$$\|-(q \cap r) (\text{Basic } f) q \implies \text{interfree-aux}(\text{None}, q, \text{Some } (\text{AnnBasic } r f))$$

by(simp add: interfree-aux-def oghoare-sound)

lemma *AnnSeq-atomics*:

$$\llbracket \text{interfree-aux}(\text{Any}, q, \text{Some } a_1); \text{interfree-aux}(\text{Any}, q, \text{Some } a_2) \rrbracket \implies \text{interfree-aux}(\text{Any}, q, \text{Some } (\text{AnnSeq } a_1 a_2))$$

apply(simp add: interfree-aux-def)
by force

lemma *AnnCond1-atomics*:

$$\llbracket \text{interfree-aux}(\text{Any}, q, \text{Some } a_1); \text{interfree-aux}(\text{Any}, q, \text{Some } a_2) \rrbracket \implies \text{interfree-aux}(\text{Any}, q, \text{Some } (\text{AnnCond1 } r b a_1 a_2))$$

apply(simp add: interfree-aux-def)
by force

lemma *AnnCond2-atomics*:

```

interfree-aux (Any, q, Some a) ==> interfree-aux(Any, q, Some (AnnCond2 r b
a))
by(simp add: interfree-aux-def)

lemma AnnWhile-atomics: interfree-aux (Any, q, Some a)
  ==> interfree-aux(Any, q, Some (AnnWhile r b i a))
by(simp add: interfree-aux-def)

lemma Annatom-atomics:
  ||- (q ∩ r) a q ==> interfree-aux (None, q, Some (AnnAwait r {x. True} a))
by(simp add: interfree-aux-def oghoare-sound)

lemma AnnAwait-atomics:
  ||- (q ∩ (r ∩ b)) a q ==> interfree-aux (None, q, Some (AnnAwait r b a))
by(simp add: interfree-aux-def oghoare-sound)

definition interfree-swap :: ('a ann-triple-op * ('a ann-triple-op) list) ⇒ bool
where
  interfree-swap == λ(x, xs). ∀y∈set xs. interfree-aux (com x, post x, com y)
  ∧ interfree-aux(com y, post y, com x)

lemma interfree-swap-Empty: interfree-swap (x, [])
by(simp add: interfree-swap-def)

lemma interfree-swap-List:
  [] interfree-aux (com x, post x, com y);
  interfree-aux (com y, post y, com x); interfree-swap (x, xs) []
  ==> interfree-swap (x, y#xs)
by(simp add: interfree-swap-def)

lemma interfree-swap-Map: ∀k. i ≤ k ∧ k < j → interfree-aux (com x, post x, c
k)
  ∧ interfree-aux (c k, Q k, com x)
  ==> interfree-swap (x, map (λk. (c k, Q k)) [i..<j])
by(force simp add: interfree-swap-def less-diff-conv)

lemma interfree-Empty: interfree []
by(simp add: interfree-def)

lemma interfree-List:
  [] interfree-swap(x, xs); interfree xs [] ==> interfree (x#xs)
apply(simp add: interfree-def interfree-swap-def)
apply clarify
apply(case-tac i)
apply(case-tac j)
apply simp-all
apply(case-tac j, simp+)
done

```

```

lemma interfree-Map:
  ( $\forall i j. a \leq i \wedge i < b \wedge a \leq j \wedge j < b \wedge i \neq j \longrightarrow \text{interfree-aux } (c i, Q i, c j))$ 
   $\implies \text{interfree } (\text{map } (\lambda k. (c k, Q k)) [a..<b])$ 
by(force simp add: interfree-def less-diff-conv)

definition map-ann-hoare :: (('a ann-com-op * 'a assn) list)  $\Rightarrow$  bool ([ $\vdash$ ] - [0] 45)
where
  [ $\vdash$ ] Ts == (mathrel{ $\forall i < \text{length } Ts. \exists c q. Ts!i = (\text{Some } c, q) \wedge \vdash c q$ })

lemma MapAnnEmpty: [ $\vdash$ ] []
by(simp add:map-ann-hoare-def)

lemma MapAnnList: [ $\vdash c q ; \vdash xs \implies \vdash (\text{Some } c, q) \# xs$ ]
apply(simp add:map-ann-hoare-def)
apply clarify
apply(case-tac i,simp+)
done

lemma MapAnnMap:
   $\forall k. i \leq k \wedge i < j \longrightarrow \vdash (c k) (Q k) \implies \vdash \text{map } (\lambda k. (\text{Some } (c k), Q k)) [i..<j]$ 
apply(simp add: map-ann-hoare-def less-diff-conv)
done

lemma ParallelRule:[ $\vdash$ ] Ts ; interfree Ts []
   $\implies \parallel (\bigcap_{i \in \{i. i < \text{length } Ts\}} \text{pre}(\text{the}(\text{com}(Ts!i))))$ 
   $\quad \text{Parallel } Ts$ 
   $\quad (\bigcap_{i \in \{i. i < \text{length } Ts\}} \text{post}(Ts!i))$ 
apply(rule Parallel)
apply(simp add:map-ann-hoare-def)
apply simp
done

The following are some useful lemmas and simplification tactics to control
which theorems are used to simplify at each moment, so that the original
input does not suffer any unexpected transformation.

lemma Compl-Collect:  $-(\text{Collect } b) = \{x. \neg(b x)\}$ 
by fast

lemma list-length: length []=0 length (x#xs) = Suc(length xs)
by simp-all

lemma list-lemmas: length []=0 length (x#xs) = Suc(length xs)
   $(x \# xs) ! 0 = x$   $(x \# xs) ! \text{Suc } n = xs ! n$ 
by simp-all

lemma le-Suc-eq-insert: {i. i < Suc n} = insert n {i. i < n}
by auto

lemmas primrecdef-list = pre.simps assertions.simps atomics.simps atom-com.simps
lemmas my-simp-list = list-lemmas fst-conv snd-conv
not-less0 refl le-Suc-eq-insert Suc-not-Zero Zero-not-Suc nat.inject
Collect-mem-eq ball-simps option.simps primrecdef-list

```

```

lemmas ParallelConseq-list = INTER-eq Collect-conj-eq length-map length-upt length-append

ML <
fun before-interfree-simp-tac ctxt =
  simp-tac (put-simpset HOL-basic-ss ctxt addsimps [@{thm com.simps}, @{thm
post.simps}])

fun interfree-simp-tac ctxt =
  asm-simp-tac (put-simpset HOL-ss ctxt
  addsimps [@{thm split}, @{thm ball-Un}, @{thm ball-empty}] @ @{thms my-simp-list})

fun ParallelConseq ctxt =
  simp-tac (put-simpset HOL-basic-ss ctxt
  addsimps @{thms ParallelConseq-list} @ @{thms my-simp-list})
>

```

The following tactic applies *tac* to each conjunct in a subgoal of the form $A \implies a_1 \wedge a_2 \wedge \dots \wedge a_n$ returning n subgoals, one for each conjunct:

```

ML <
fun conjI-Tac ctxt tac i st = st |>
  (EVERY [resolve-tac ctxt [conjI] i,
  conjI-Tac ctxt tac (i+1),
  tac i]) ORELSE (tac i)
>

```

Tactic for the generation of the verification conditions

The tactic basically uses two subtactics:

HoareRuleTac is called at the level of parallel programs, it uses the ParallelTac to solve parallel composition of programs. This verification has two parts, namely, (1) all component programs are correct and (2) they are interference free. *HoareRuleTac* is also called at the level of atomic regions, i.e. $\langle \rangle$ and *AWAIT b THEN - END*, and at each interference freedom test.

AnnHoareRuleTac is for component programs which are annotated programs and so, there are not unknown assertions (no need to use the parameter precond, see NOTE).

NOTE: precond(:bool) informs if the subgoal has the form $\| - ?p c q$, in this case we have precond=False and the generated verification condition would have the form $?p \subseteq \dots$ which can be solved by *rtac subset-refl*, if True we proceed to simplify it using the simplification tactics above.

```

ML <

```

```

fun WlpTac ctxt i = resolve-tac ctxt @{thms SeqRule} i THEN HoareRuleTac ctxt
false (i + 1)
and HoareRuleTac ctxt precond i st = st |>
  ( (WlpTac ctxt i THEN HoareRuleTac ctxt precond i)
  ORELSE
  (FIRST[resolve-tac ctxt @{thms SkipRule} i,
         resolve-tac ctxt @{thms BasicRule} i,
         EVERY[resolve-tac ctxt @{thms ParallelConseqRule} i,
               ParallelConseq ctxt (i+2),
               ParallelTac ctxt (i+1),
               ParallelConseq ctxt i],
         EVERY[resolve-tac ctxt @{thms CondRule} i,
               HoareRuleTac ctxt false (i+2),
               HoareRuleTac ctxt false (i+1)],
         EVERY[resolve-tac ctxt @{thms WhileRule} i,
               HoareRuleTac ctxt true (i+1)],
         K all-tac i ]
  THEN (if precond then (K all-tac i) else resolve-tac ctxt @{thms subset-refl}
i)))
  and AnnWlpTac ctxt i = resolve-tac ctxt @{thms AnnSeq} i THEN AnnHoare-
RuleTac ctxt (i + 1)
and AnnHoareRuleTac ctxt i st = st |>
  ( (AnnWlpTac ctxt i THEN AnnHoareRuleTac ctxt i )
  ORELSE
  (FIRST[(resolve-tac ctxt @{thms AnnSkipRule} i),
         EVERY[resolve-tac ctxt @{thms AnnAtomRule} i,
               HoareRuleTac ctxt true (i+1)],
         (resolve-tac ctxt @{thms AnnWaitRule} i),
         resolve-tac ctxt @{thms AnnBasic} i,
         EVERY[resolve-tac ctxt @{thms AnnCond1} i,
               AnnHoareRuleTac ctxt (i+3),
               AnnHoareRuleTac ctxt (i+1)],
         EVERY[resolve-tac ctxt @{thms AnnCond2} i,
               AnnHoareRuleTac ctxt (i+1)],
         EVERY[resolve-tac ctxt @{thms AnnWhile} i,
               AnnHoareRuleTac ctxt (i+2)],
         EVERY[resolve-tac ctxt @{thms AnnAwait} i,
               HoareRuleTac ctxt true (i+1)],
         K all-tac i)])
  and ParallelTac ctxt i = EVERY[resolve-tac ctxt @{thms ParallelRule} i,
                                interfree-Tac ctxt (i+1),
                                MapAnn-Tac ctxt i]
  and MapAnn-Tac ctxt i st = st |>
    (FIRST[resolve-tac ctxt @{thms MapAnnEmpty} i,
           EVERY[resolve-tac ctxt @{thms MapAnnList} i,

```

$\text{MapAnn-Tac ctxt } (i+1),$
 $\text{AnnHoareRuleTac ctxt } i],$
 $\text{EVERY}[\text{resolve-tac ctxt } @\{\text{thms MapAnnMap}\} i,$
 $\text{resolve-tac ctxt } @\{\text{thms allI}\} i,$
 $\text{resolve-tac ctxt } @\{\text{thms impI}\} i,$
 $\text{AnnHoareRuleTac ctxt } i]])$

and interfree-swap-Tac ctxt i st = st |>
 $(\text{FIRST}[\text{resolve-tac ctxt } @\{\text{thms interfree-swap-Empty}\} i,$
 $\text{EVERY}[\text{resolve-tac ctxt } @\{\text{thms interfree-swap-List}\} i,$
 $\text{interfree-swap-Tac ctxt } (i+2),$
 $\text{interfree-aux-Tac ctxt } (i+1),$
 $\text{interfree-aux-Tac ctxt } i],$
 $\text{EVERY}[\text{resolve-tac ctxt } @\{\text{thms interfree-swap-Map}\} i,$
 $\text{resolve-tac ctxt } @\{\text{thms allI}\} i,$
 $\text{resolve-tac ctxt } @\{\text{thms impI}\} i,$
 $\text{conjI-Tac ctxt } (\text{interfree-aux-Tac ctxt } i)])$

and interfree-Tac ctxt i st = st |>
 $(\text{FIRST}[\text{resolve-tac ctxt } @\{\text{thms interfree-Empty}\} i,$
 $\text{EVERY}[\text{resolve-tac ctxt } @\{\text{thms interfree-List}\} i,$
 $\text{interfree-Tac ctxt } (i+1),$
 $\text{interfree-swap-Tac ctxt } i],$
 $\text{EVERY}[\text{resolve-tac ctxt } @\{\text{thms interfree-Map}\} i,$
 $\text{resolve-tac ctxt } @\{\text{thms allI}\} i,$
 $\text{resolve-tac ctxt } @\{\text{thms allI}\} i,$
 $\text{resolve-tac ctxt } @\{\text{thms impI}\} i,$
 $\text{interfree-aux-Tac ctxt } i]])$

and interfree-aux-Tac ctxt i = (before-interfree-simp-tac ctxt i) THEN
 $(\text{FIRST}[\text{resolve-tac ctxt } @\{\text{thms interfree-aux-rule1}\} i,$
 $\text{dest-assertions-Tac ctxt } i])$

and dest-assertions-Tac ctxt i st = st |>
 $(\text{FIRST}[\text{EVERY}[\text{resolve-tac ctxt } @\{\text{thms AnnBasic-assertions}\} i,$
 $\text{dest-atomics-Tac ctxt } (i+1),$
 $\text{dest-atomics-Tac ctxt } i],$
 $\text{EVERY}[\text{resolve-tac ctxt } @\{\text{thms AnnSeq-assertions}\} i,$
 $\text{dest-assertions-Tac ctxt } (i+1),$
 $\text{dest-assertions-Tac ctxt } i],$
 $\text{EVERY}[\text{resolve-tac ctxt } @\{\text{thms AnnCond1-assertions}\} i,$
 $\text{dest-assertions-Tac ctxt } (i+2),$
 $\text{dest-assertions-Tac ctxt } (i+1),$
 $\text{dest-atomics-Tac ctxt } i],$
 $\text{EVERY}[\text{resolve-tac ctxt } @\{\text{thms AnnCond2-assertions}\} i,$
 $\text{dest-assertions-Tac ctxt } (i+1),$
 $\text{dest-atomics-Tac ctxt } i],$
 $\text{EVERY}[\text{resolve-tac ctxt } @\{\text{thms AnnWhile-assertions}\} i,$
 $\text{dest-assertions-Tac ctxt } (i+2),$

```

dest-atomics-Tac ctxt (i+1),
dest-atomics-Tac ctxt i],
EVERY[resolve-tac ctxt @{thms AnnAwait-assertions} i,
dest-atomics-Tac ctxt (i+1),
dest-atomics-Tac ctxt i],
dest-atomics-Tac ctxt i])

and dest-atomics-Tac ctxt i st = st |>
(FIRST[EVERY[resolve-tac ctxt @{thms AnnBasic-atomics} i,
HoareRuleTac ctxt true i],
EVERY[resolve-tac ctxt @{thms AnnSeq-atomics} i,
dest-atomics-Tac ctxt (i+1),
dest-atomics-Tac ctxt i],
EVERY[resolve-tac ctxt @{thms AnnCond1-atomics} i,
dest-atomics-Tac ctxt (i+1),
dest-atomics-Tac ctxt i],
EVERY[resolve-tac ctxt @{thms AnnCond2-atomics} i,
dest-atomics-Tac ctxt i],
EVERY[resolve-tac ctxt @{thms AnnWhile-atomics} i,
dest-atomics-Tac ctxt i],
EVERY[resolve-tac ctxt @{thms Annatom-atomics} i,
HoareRuleTac ctxt true i],
EVERY[resolve-tac ctxt @{thms AnnAwait-atomics} i,
HoareRuleTac ctxt true i],
K all-tac i])
)

```

The final tactic is given the name *oghoare*:

```

ML <
fun oghoare-tac ctxt = SUBGOAL (fn (_, i) => HoareRuleTac ctxt true i)
>
```

Notice that the tactic for parallel programs *oghoare-tac* is initially invoked with the value *true* for the parameter *precond*.

Parts of the tactic can be also individually used to generate the verification conditions for annotated sequential programs and to generate verification conditions out of interference freedom tests:

```

ML <
fun annhoare-tac ctxt = SUBGOAL (fn (_, i) => AnnHoareRuleTac ctxt i)

fun interfree-aux-tac ctxt = SUBGOAL (fn (_, i) => interfree-aux-Tac ctxt i)
>
```

The so defined ML tactics are then “exported” to be used in Isabelle proofs.

```

method-setup oghoare = <
Scan.succeed (SIMPLE-METHOD' o oghoare-tac)>
verification condition generator for the oghoare logic
```

```

method-setup annhoare = <
  Scan.succeed (SIMPLE-METHOD' o annhoare-tac)>
  verification condition generator for the ann-hoare logic

method-setup interfree-aux = <
  Scan.succeed (SIMPLE-METHOD' o interfree-aux-tac)>
  verification condition generator for interference freedom tests

Tactics useful for dealing with the generated verification conditions:

method-setup conjI-tac = <
  Scan.succeed (fn ctxt => SIMPLE-METHOD' (conjI-Tac ctxt (K all-tac)))>
  verification condition generator for interference freedom tests

ML <
fun disjE-Tac ctxt tac i st = st |>
  ( (EVERY [eresolve-tac ctxt [disjE] i,
            disjE-Tac ctxt tac (i+1),
            tac i]) ORELSE (tac i) )
>

method-setup disjE-tac = <
  Scan.succeed (fn ctxt => SIMPLE-METHOD' (disjE-Tac ctxt (K all-tac)))>
  verification condition generator for interference freedom tests

end

```

1.7 Concrete Syntax

```

theory Quote-Antiquote imports Main begin

syntax
  -quote    :: 'b ⇒ ('a ⇒ 'b)          (((<->) [0] 1000)
  -antiquote :: ('a ⇒ 'b) ⇒ 'b          ('- [1000] 1000)
  -Assert    :: 'a ⇒ 'a set             (({·-·}) [0] 1000)

translations
  {b} → CONST Collect <b>

parse-translation <
  let
    fun quote-tr [t] = Syntax-Trans.quote-tr syntax-const <-antiquote> t
      | quote-tr ts = raise TERM (quote-tr, ts);
    in [(syntax-const <-quote>, K quote-tr)] end
  >

end
theory OG-Syntax
imports OG-Tactics Quote-Antiquote
begin

```

Syntax for commands and for assertions and boolean expressions in commands *com* and annotated commands *ann-com*.

abbreviation *Skip* :: 'a com (*SKIP* 63)

where *SKIP* \equiv *Basic id*

abbreviation *AnnSkip* :: 'a assn \Rightarrow 'a ann-com (-//*SKIP* [90] 63)
where *r SKIP* \equiv *AnnBasic r id*

notation

Seq (-,,/- [55, 56] 55) **and**
AnnSeq (-;;/- [60,61] 60)

syntax

-*Assign* :: *idt* \Rightarrow 'b \Rightarrow 'a com (('- :=/-) [70, 65] 61)
-*AnnAssign* :: 'a assn \Rightarrow *idt* \Rightarrow 'b \Rightarrow 'a com (('- :=/-) [90,70,65] 61)

translations

'x := a \rightarrow CONST Basic <`(-update-name x (\lambda-. a))>
r 'x := a \rightarrow CONST *AnnBasic r* <`(-update-name x (\lambda-. a))>

syntax

-*AnnCond1* :: 'a assn \Rightarrow 'a bexp \Rightarrow 'a ann-com \Rightarrow 'a ann-com
(- //IF - / THEN - / ELSE - / FI [90,0,0,0] 61)
-*AnnCond2* :: 'a assn \Rightarrow 'a bexp \Rightarrow 'a ann-com \Rightarrow 'a ann-com
(- //IF - / THEN - / FI [90,0,0] 61)
-*AnnWhile* :: 'a assn \Rightarrow 'a bexp \Rightarrow 'a assn \Rightarrow 'a ann-com \Rightarrow 'a ann-com
(- // WHILE - / INV - // DO - / OD [90,0,0,0] 61)
-*AnnAwait* :: 'a assn \Rightarrow 'a bexp \Rightarrow 'a com \Rightarrow 'a ann-com
(- // AWAIT - / THEN - / END [90,0,0] 61)
-*AnnAtom* :: 'a assn \Rightarrow 'a com \Rightarrow 'a ann-com (-//<-> [90,0] 61)
-*AnnWait* :: 'a assn \Rightarrow 'a bexp \Rightarrow 'a ann-com (-// WAIT - END [90,0] 61)

-*Cond* :: 'a bexp \Rightarrow 'a com \Rightarrow 'a com \Rightarrow 'a com
((0IF - / THEN - / ELSE - / FI) [0, 0, 0] 61)
-*Cond2* :: 'a bexp \Rightarrow 'a com \Rightarrow 'a com (IF - THEN - FI [0,0] 56)
-*While-inv* :: 'a bexp \Rightarrow 'a assn \Rightarrow 'a com \Rightarrow 'a com
((0WHILE - / INV - // DO - / OD) [0, 0, 0] 61)
-*While* :: 'a bexp \Rightarrow 'a com \Rightarrow 'a com
((0WHILE - / // DO - / OD) [0, 0] 61)

translations

IF b THEN c1 ELSE c2 FI \rightarrow CONST Cond {b} c1 c2
IF b THEN c FI \rightleftharpoons IF b THEN c ELSE SKIP FI
WHILE b INV i DO c OD \rightarrow CONST While {b} i c
WHILE b DO c OD \rightleftharpoons WHILE b INV CONST undefined DO c OD

r IF b THEN c1 ELSE c2 FI \rightarrow CONST AnnCond1 r {b} c1 c2
r IF b THEN c FI \rightarrow CONST AnnCond2 r {b} c
r WHILE b INV i DO c OD \rightarrow CONST AnnWhile r {b} i c

$r \text{ AWAIT } b \text{ THEN } c \text{ END} \rightarrow \text{CONST AnnAwait } r \{b\} c$
 $r \langle c \rangle \rightleftharpoons r \text{ AWAIT CONST True THEN } c \text{ END}$
 $r \text{ WAIT } b \text{ END} \rightleftharpoons r \text{ AWAIT } b \text{ THEN SKIP END}$

nonterminal *prgs*

syntax

```

-PAR :: prgs  $\Rightarrow$  'a (COBEGIN//-///COEND [57] 56)
-prg :: '['a, 'a]'  $\Rightarrow$  prgs (-/- [60, 90] 57)
-prgs :: '['a, 'a', prgs]'  $\Rightarrow$  prgs (-/-/-//[- [60,90,57] 57)

-prg-scheme :: '['a, 'a', 'a', 'a', 'a]'  $\Rightarrow$  prgs
(SCHEME [-  $\leq$  - < -] -// - [0,0,0,60, 90] 57)
```

translations

```

-prg c q  $\rightleftharpoons$  [(CONST Some c, q)]
-prgs c q ps  $\rightleftharpoons$  (CONST Some c, q) # ps
-PAR ps  $\rightleftharpoons$  CONST Parallel ps

-prg-scheme j i k c q  $\rightleftharpoons$  CONST map ( $\lambda i.$  (CONST Some c, q))) [j..<k]
```

print-translation <

```

let
  fun quote-tr' f (t :: ts) =
    Term.list-comb (f $ Syntax.Trans.quote-tr' syntax-const {-antiquote} t,
ts)
    | quote-tr' -- = raise Match;

  fun annquote-tr' f (r :: t :: ts) =
    Term.list-comb (f $ r $ Syntax.Trans.quote-tr' syntax-const {-antiquote} t,
ts)
    | annquote-tr' -- = raise Match;

  val assert-tr' = quote-tr' (Syntax.const syntax-const {-Assert});

  fun bexp-tr' name ((Const (const-syntax Collect, -) $ t) :: ts) =
    quote-tr' (Syntax.const name) (t :: ts)
    | bexp-tr' -- = raise Match;

  fun annbexp-tr' name (r :: (Const (const-syntax Collect, -) $ t) :: ts) =
    annquote-tr' (Syntax.const name) (r :: t :: ts)
    | annbexp-tr' -- = raise Match;

  fun assign-tr' (Abs (x, -, f $ k $ Bound 0) :: ts) =
    quote-tr' (Syntax.const syntax-const {-Assign} $ Syntax.Trans.update-name-tr'
f)
    (Abs (x, dummyT, Syntax.Trans.const-abs-tr' k) :: ts)
    | assign-tr' -- = raise Match;
```

```

fun annassign-tr' (r :: Abs (x, _, f $ k $ Bound 0) :: ts) =
  quote-tr' (Syntax.const syntax-const`{-AnnAssign} $ r $ Syntax-Trans.update-name-tr'
f)
  (Abs (x, dummyT, Syntax-Trans.const-abs-tr' k) :: ts)
| annassign-tr' - = raise Match;

fun Parallel-PAR [(Const (const-syntax`{Cons}, _) $ (Const (const-syntax`{Pair}, _) $ (Const (const-syntax`{Some}, _) $ t1) $ t2) $ Const (const-syntax`{Nil}, _))] = Syntax.const syntax-const`{-prg} $ t1 $ t2
| Parallel-PAR [(Const (const-syntax`{Cons}, _) $ (Const (const-syntax`{Pair}, _) $ (Const (const-syntax`{Some}, _) $ t1) $ t2) $ ts)] =
  Syntax.const syntax-const`{-prgs} $ t1 $ t2 $ Parallel-PAR [ts]
| Parallel-PAR - = raise Match;

fun Parallel-tr' ts = Syntax.const syntax-const`{-PAR} $ Parallel-PAR ts;
in
[(const-syntax`{Collect}, K assert-tr'),
 (const-syntax`{Basic}, K assign-tr'),
 (const-syntax`{Cond}, K (bexp-tr' syntax-const`{-Cond})),
 (const-syntax`{While}, K (bexp-tr' syntax-const`{-While-inv})),
 (const-syntax`{AnnBasic}, K annassign-tr'),
 (const-syntax`{AnnWhile}, K (annbexp-tr' syntax-const`{-AnnWhile})),
 (const-syntax`{AnnAwait}, K (annbexp-tr' syntax-const`{-AnnAwait})),
 (const-syntax`{AnnCond1}, K (annbexp-tr' syntax-const`{-AnnCond1})),
 (const-syntax`{AnnCond2}, K (annbexp-tr' syntax-const`{-AnnCond2}))]
end
)
end

```

1.8 Examples

theory OG-Examples imports OG-Syntax begin

1.8.1 Mutual Exclusion

Peterson's Algorithm I

Eike Best. "Semantics of Sequential and Parallel Programs", page 217.

```

record Petersons-mutex-1 =
  pr1 :: nat
  pr2 :: nat
  in1 :: bool
  in2 :: bool
  hold :: nat

```

```

lemma Petersons-mutex-1:
||- {`pr1=0 ∧ ¬'in1 ∧ `pr2=0 ∧ ¬'in2`}
COBEGIN {`pr1=0 ∧ ¬'in1`}
WHILE True INV {`pr1=0 ∧ ¬'in1`}
DO
{`pr1=0 ∧ ¬'in1`} ⟨ `in1:=True,, `pr1:=1 ⟩;;
{`pr1=1 ∧ 'in1`} ⟨ `hold:=1,, `pr1:=2 ⟩;;
{`pr1=2 ∧ 'in1 ∧ ('hold=1 ∨ 'hold=2 ∧ `pr2=2)}`}
AWAIT (¬'in2 ∨ ¬('hold=1)) THEN `pr1:=3 END;;
{`pr1=3 ∧ 'in1 ∧ ('hold=1 ∨ 'hold=2 ∧ `pr2=2)}`}
⟨ 'in1:=False,, `pr1:=0 ⟩
OD {`pr1=0 ∧ ¬'in1`}
|
{`pr2=0 ∧ ¬'in2`}
WHILE True INV {`pr2=0 ∧ ¬'in2`}
DO
{`pr2=0 ∧ ¬'in2`} ⟨ `in2:=True,, `pr2:=1 ⟩;;
{`pr2=1 ∧ 'in2`} ⟨ `hold:=2,, `pr2:=2 ⟩;;
{`pr2=2 ∧ 'in2 ∧ ('hold=2 ∨ ('hold=1 ∧ `pr1=2))`}
AWAIT (¬'in1 ∨ ¬('hold=2)) THEN `pr2:=3 END;;
{`pr2=3 ∧ 'in2 ∧ ('hold=2 ∨ ('hold=1 ∧ `pr1=2))`}
⟨ 'in2:=False,, `pr2:=0 ⟩
OD {`pr2=0 ∧ ¬'in2`}
COEND
{`pr1=0 ∧ ¬'in1 ∧ `pr2=0 ∧ ¬'in2`}
apply oghoare
— 104 verification conditions.
apply auto
done

```

Peterson's Algorithm II: A Busy Wait Solution

Apt and Olderog. "Verification of sequential and concurrent Programs", page 282.

```

record Busy-wait-mutex =
flag1 :: bool
flag2 :: bool
turn :: nat
after1 :: bool
after2 :: bool

lemma Busy-wait-mutex:
||- {True}
`flag1:=False,, `flag2:=False,,,
COBEGIN {¬'flag1`}
WHILE True
INV {¬'flag1`}
DO {¬'flag1`} ⟨ `flag1:=True,, `after1:=False ⟩;;
{`flag1 ∧ ¬'after1`} ⟨ `turn:=1,, `after1:=True ⟩;;

```

```

{`flag1 ∧ `after1 ∧ (`turn=1 ∨ `turn=2)}
 WHILE ¬(`flag2 → `turn=2)
 INV {`flag1 ∧ `after1 ∧ (`turn=1 ∨ `turn=2)}
 DO {`flag1 ∧ `after1 ∧ (`turn=1 ∨ `turn=2)} SKIP OD;;
 {`flag1 ∧ `after1 ∧ (`flag2 ∧ `after2 → `turn=2)}
 `flag1:=False
 OD
 {False}
 ||
 {¬`flag2}
 WHILE True
 INV {¬`flag2}
 DO {¬`flag2} ⟨ `flag2:=True,, `after2:=False ⟩;;
 {`flag2 ∧ ¬`after2} ⟨ `turn:=2,, `after2:=True ⟩;;
 {`flag2 ∧ `after2 ∧ (`turn=1 ∨ `turn=2)}
 WHILE ¬(`flag1 → `turn=1)
 INV {`flag2 ∧ `after2 ∧ (`turn=1 ∨ `turn=2)}
 DO {`flag2 ∧ `after2 ∧ (`turn=1 ∨ `turn=2)} SKIP OD;;
 {`flag2 ∧ `after2 ∧ (`flag1 ∧ `after1 → `turn=1)}
 `flag2:=False
 OD
 {False}
 COEND
 {False}
apply oghoare
— 122 vc
apply auto
done

```

Peterson's Algorithm III: A Solution using Semaphores

```

record Semaphores-mutex =
  out :: bool
  who :: nat

lemma Semaphores-mutex:
||- {i≠j}
  `out:=True ,,
  COBEGIN {i≠j}
    WHILE True INV {i≠j}
    DO {i≠j} AWAIT `out THEN `out:=False,, `who:=i END;;
      {¬`out ∧ `who=i ∧ i≠j} `out:=True OD
  {False}
||
  {i≠j}
  WHILE True INV {i≠j}
  DO {i≠j} AWAIT `out THEN `out:=False,, `who:=j END;;
    {¬`out ∧ `who=j ∧ i≠j} `out:=True OD
  {False}

```

```

COEND
{False}
apply oghoare
— 38 vc
apply auto
done

```

Peterson's Algorithm III: Parameterized version:

```

lemma Semaphores-parameterized-mutex:
  0 < n ==> ||- {True}
    `out:=True ,,
COBEGIN
  SCHEME [0 ≤ i < n]
    {True}
    WHILE True INV {True}
      DO {True} AWAIT `out THEN `out:=False,, `who:=i END;;
        {¬`out ∧ `who=i} `out:=True OD
    {False}
COEND
{False}
apply oghoare
— 20 vc
apply auto
done

```

The Ticket Algorithm

```

record Ticket-mutex =
  num :: nat
  nextv :: nat
  turn :: nat list
  index :: nat

lemma Ticket-mutex:
  [ 0 < n; I = << n = length `turn ∧ 0 < `nextv ∧ (∀ k l. k < n ∧ l < n ∧ k ≠ l
    → `turn!k < `num ∧ (`turn!k = 0 ∨ `turn!k ≠ `turn!l)) ]
  ==> ||- {n = length `turn}
    `index := 0 ,,
    WHILE `index < n INV {n = length `turn ∧ (∀ i < `index. `turn!i = 0)}
      DO `turn := `turn[ `index := 0 ],, `index := `index + 1 OD,,,
        `num := 1 ,,, `nextv := 1 ,,
COBEGIN
  SCHEME [0 ≤ i < n]
    {`I}
    WHILE True INV {`I}
      DO {'I} ⟨ `turn := `turn[i := `num],, `num := `num + 1 ⟩;;
        {'I} WAIT `turn!i = `nextv END;;
        {'I ∧ `turn!i = `nextv} `nextv := `nextv + 1
    OD

```

```

  {False}
COEND
  {False}
apply oghoare
— 35 vc
apply simp-all
— 16 vc
apply(tactic <ALLGOALS (clarify-tac context)>)
— 11 vc
apply simp-all
apply(tactic <ALLGOALS (clarify-tac context)>)
— 10 subgoals left
apply(erule less-SucE)
  apply simp
  apply simp
— 9 subgoals left
apply(case-tac i=k)
  apply force
  apply simp
apply(case-tac i=l)
  apply force
  apply force
— 8 subgoals left
prefer 8
  apply force
  apply force
— 6 subgoals left
prefer 6
apply(erule-tac x=j in allE)
  apply fastforce
— 5 subgoals left
prefer 5
apply(case-tac [!] j=k)
— 10 subgoals left
apply simp-all
apply(erule-tac x=k in allE)
  apply force
— 9 subgoals left
apply(case-tac j=l)
  apply simp
  apply(erule-tac x=k in allE)
  apply(erule-tac x=k in allE)
  apply(erule-tac x=l in allE)
    apply force
    apply(erule-tac x=k in allE)
    apply(erule-tac x=k in allE)
    apply(erule-tac x=l in allE)
      apply force
— 8 subgoals left

```

```

apply force
apply(case-tac j=l)
  apply simp
  apply(erule-tac x=k in allE)
  apply(erule-tac x=l in allE)
  apply force
  apply force
  apply force
  — 5 subgoals left
  apply(erule-tac x=k in allE)
  apply(erule-tac x=l in allE)
  apply(case-tac j=l)
    apply force
    apply force
    apply force
    — 3 subgoals left
    apply(erule-tac x=k in allE)
    apply(erule-tac x=l in allE)
    apply(case-tac j=l)
      apply force
      apply force
      apply force
      — 1 subgoals left
      apply(erule-tac x=k in allE)
      apply(erule-tac x=l in allE)
      apply(case-tac j=l)
        apply force
        apply force
        done

```

1.8.2 Parallel Zero Search

Synchronized Zero Search. Zero-6

Apt and Olderog. "Verification of sequential and concurrent Programs" page 294:

```

record Zero-search =
  turn :: nat
  found :: bool
  x :: nat
  y :: nat

lemma Zero-search:
  I1= << a ≤ ´x ∧ (´found → (a < ´x ∧ f(´x)=0) ∨ (´y ≤ a ∧ f(´y)=0))
  ∧ (¬ ´found ∧ a < ´x → f(´x)≠0) >>;
  I2= << ´y ≤ a+1 ∧ (´found → (a < ´x ∧ f(´x)=0) ∨ (´y ≤ a ∧ f(´y)=0))
  ∧ (¬ ´found ∧ ´y ≤ a → f(´y)≠0) >> ] ==>
||- {∃ u. f(u)=0}
  ´turn:=1,, ´found:= False,,
```

```

'x:=a,, 'y:=a+1 ,
COBEGIN {'I1}
  WHILE ¬'found
  INV {'I1}
  DO {a≤'x ∧ ('found → 'y≤a ∧ f('y)=0) ∧ (a<'x → f('x)≠0)}
    WAIT 'turn=1 END;;
    {a≤'x ∧ ('found → 'y≤a ∧ f('y)=0) ∧ (a<'x → f('x)≠0)}
    'turn:=2;;
    {a≤'x ∧ ('found → 'y≤a ∧ f('y)=0) ∧ (a<'x → f('x)≠0)}
    ⟨ 'x:='x+1,
      IF f('x)=0 THEN 'found:=True ELSE SKIP FI⟩
  OD;;
  {'I1 ∧ 'found}
  'turn:=2
  {'I1 ∧ 'found}
|||{'I2}
  WHILE ¬'found
  INV {'I2}
  DO {'y≤a+1 ∧ ('found → a<'x ∧ f('x)=0) ∧ ('y≤a → f('y)≠0)}
    WAIT 'turn=2 END;;
    {'y≤a+1 ∧ ('found → a<'x ∧ f('x)=0) ∧ ('y≤a → f('y)≠0)}
    'turn:=1;;
    {'y≤a+1 ∧ ('found → a<'x ∧ f('x)=0) ∧ ('y≤a → f('y)≠0)}
    ⟨ 'y:='y - 1),
      IF f('y)=0 THEN 'found:=True ELSE SKIP FI⟩
  OD;;
  {'I2 ∧ 'found}
  'turn:=1
  {'I2 ∧ 'found}
COEND
{f('x)=0 ∨ f('y)=0}
apply oghoare
— 98 verification conditions
apply auto
— auto takes about 3 minutes !!
done

```

Easier Version: without AWAIT. Apt and Olderog. page 256:

```

lemma Zero-Search-2:
  [I1=< a≤'x ∧ ('found → (a<'x ∧ f('x)=0) ∨ ('y≤a ∧ f('y)=0))
   ∧ (¬'found ∧ a<'x → f('x)≠0)>]
  I2= < 'y≤a+1 ∧ ('found → (a<'x ∧ f('x)=0) ∨ ('y≤a ∧ f('y)=0))
   ∧ (¬'found ∧ 'y≤a → f('y)≠0)>] ==>
  ||- {∃ u. f(u)=0}
  'found:= False,
  'x:=a,, 'y:=a+1,
  COBEGIN {'I1}
    WHILE ¬'found

```

```

INV {`I1}
DO {a≤`x ∧ (`found → `y≤a ∧ f(`y)=0) ∧ (a<`x → f(`x)≠0)}
  ⟨ `x:=`x+1,,IF f(`x)=0 THEN `found:=True ELSE SKIP FI⟩
OD
{`I1 ∧ `found}
|| 
{`I2}
WHILE ¬`found
INV {`I2}
DO {`y≤a+1 ∧ (`found → a<`x ∧ f(`x)=0) ∧ (`y≤a → f(`y)≠0)}
  ⟨ `y:=(`y - 1),,IF f(`y)=0 THEN `found:=True ELSE SKIP FI⟩
OD
{`I2 ∧ `found}
COEND
{f(`x)=0 ∨ f(`y)=0}
apply oghoare
— 20 vc
apply auto
— auto takes approx. 2 minutes.
done

```

1.8.3 Producer/Consumer

Previous lemmas

lemma nat-lemma2: $\llbracket b = m*(n::nat) + t; a = s*n + u; t=u; b-a < n \rrbracket \implies$

m ≤ s

proof –

```

assume b = m*(n::nat) + t a = s*n + u t=u
hence (m - s) * n = b - a by (simp add: diff-mult-distrib)
also assume ... < n
finally have m - s < 1 by simp
thus ?thesis by arith
qed

```

lemma mod-lemma: $\llbracket (c::nat) \leq a; a < b; b - c < n \rrbracket \implies b \bmod n \neq a \bmod n$

```

apply(subgoal-tac b=b div n*n + b mod n )
prefer 2 apply (simp add: div-mult-mod-eq [symmetric])
apply(subgoal-tac a=a div n*n + a mod n)
prefer 2
apply(simp add: div-mult-mod-eq [symmetric])
apply(subgoal-tac b - a ≤ b - c)
prefer 2 apply arith
apply(drule le-less-trans)
back
apply assumption
apply(frule less-not-refl2)
apply(drule less-imp-le)
apply (drule-tac m = a and k = n in div-le-mono)
apply(safe)

```

```

apply(frule-tac b = b and a = a and n = n in nat-lemma2, assumption, assumption)
apply assumption
apply(drule order-antisym, assumption)
apply(rotate-tac -3)
apply(simp)
done

```

Producer/Consumer Algorithm

```

record Producer-consumer =
  ins :: nat
  outs :: nat
  li :: nat
  lj :: nat
  vx :: nat
  vy :: nat
  buffer :: nat list
  b :: nat list

```

The whole proof takes aprox. 4 minutes.

lemma Producer-consumer:

```

[INIT= <<0<length a ∧ 0<length `buffer ∧ length `b=length a>>;  

 I= <<(∀k<'ins. `outs≤k → (a ! k) = `buffer ! (k mod (length `buffer))) ∧  

      `outs≤`ins ∧ `ins-`outs≤length `buffer>>;  

 I1= <<'I ∧ `li≤length a>>;  

 p1= <<'I1 ∧ `li='ins>>;  

 I2 = <<'I ∧ (∀k<'lj. (a ! k)=(`b ! k)) ∧ `lj≤length a>>;  

 p2 = <<'I2 ∧ `lj='outs>> ] ⇒  

 ||- {`INIT}  

 `ins:=0,, `outs:=0,, `li:=0,, `lj:=0,,  

 COBEGIN {`p1 ∧ `INIT}  

 WHILE `li <length a  

   INV {`p1 ∧ `INIT}  

   DO {`p1 ∧ `INIT ∧ `li<length a}  

     `vx:= (a ! `li);;  

     {`p1 ∧ `INIT ∧ `li<length a ∧ `vx=(a ! `li)}  

     WAIT `ins-`outs < length `buffer END;;  

     {`p1 ∧ `INIT ∧ `li<length a ∧ `vx=(a ! `li)  

      ∧ `ins-`outs < length `buffer}  

     `buffer:=(list-update `buffer (`ins mod (length `buffer)) `vx);;  

     {`p1 ∧ `INIT ∧ `li<length a  

      ∧ (a ! `li)=(`buffer ! (`ins mod (length `buffer)))  

      ∧ `ins-`outs < length `buffer}  

     `ins:='ins+1;;  

     {`I1 ∧ `INIT ∧ (`li+1)=`ins ∧ `li<length a}  

     `li:='li+1  

 OD  

 {`p1 ∧ `INIT ∧ `li=length a}

```

```

|| 
{`p2 ∧ `INIT}
WHILE `lj < length a
INV {`p2 ∧ `INIT}
DO {`p2 ∧ `lj < length a ∧ `INIT}
    WAIT `outs < `ins END;;
{`p2 ∧ `lj < length a ∧ `outs < `ins ∧ `INIT}
`vy := (`buffer ! (`outs mod (length `buffer)));;
{`p2 ∧ `lj < length a ∧ `outs < `ins ∧ `vy = (a ! `lj) ∧ `INIT}
`outs := `outs + 1;;
{`I2 ∧ (`lj + 1) = `outs ∧ `lj < length a ∧ `vy = (a ! `lj) ∧ `INIT}
`b := (list-update `b `lj `vy);;
{`I2 ∧ (`lj + 1) = `outs ∧ `lj < length a ∧ (a ! `lj) = (`b ! `lj) ∧ `INIT}
`lj := `lj + 1
OD
{`p2 ∧ `lj = length a ∧ `INIT}
COEND
{ ∀ k < length a. (a ! k) = (`b ! k)}
apply oghoare
— 138 vc
apply(tactic <ALLGOALS (clarify-tac context)))
— 112 subgoals left
apply(simp-all (no-asm))
— 43 subgoals left
apply(tactic <ALLGOALS (conjI-Tac context (K all-tac)))
— 419 subgoals left
apply(tactic <ALLGOALS (clarify-tac context)))
— 99 subgoals left
apply(simp-all only:length-0-conv [THEN sym])
— 20 subgoals left
apply (simp-all del:length-0-conv length-greater-0-conv add: nth-list-update mod-lemma)
— 9 subgoals left
apply (force simp add:less-Suc-eq)
apply(hypsubst-thin, drule sym)
apply (force simp add:less-Suc-eq) +
done

```

1.8.4 Parameterized Examples

Set Elements of an Array to Zero

```
record Example1 =
  a :: nat ⇒ nat
```

```
lemma Example1:
||- {True}
COBEGIN SCHEME [0 ≤ i < n] {True} `a := `a (i := 0) {`a i = 0} COEND
{ ∀ i < n. `a i = 0 }
apply oghoare
apply simp-all
```

done

Same example with lists as auxiliary variables.

```
record Example1-list =
  A :: nat list
lemma Example1-list:
  |- {n < length `A}
  COBEGIN
    SCHEME [0 ≤ i < n] {n < length `A} `A := `A[i := 0] {`A[i := 0]}
  COEND
  {∀ i < n. `A[i := 0] = 0}
apply oghoare
apply force+
done
```

Increment a Variable in Parallel

First some lemmas about summation properties.

```
lemma Example2-lemma2-aux: !!b. j < n ==>
  (∑ i=0..<n. (b i::nat)) =
  (∑ i=0..<j. b i) + b j + (∑ i=0..<n-(Suc j) . b (Suc j + i))
apply(induct n)
apply simp-all
apply(simp add:less-Suc-eq)
apply(auto)
apply(subgoal-tac n - j = Suc(n - Suc j))
apply simp
apply arith
done

lemma Example2-lemma2-aux2:
  !!b. j ≤ s ==> (∑ i::nat=0..<j. (b (s:=t)) i) = (∑ i=0..<j. b i)
apply(induct j)
apply simp-all
done

lemma Example2-lemma2:
  !!b. [|j < n; b j = 0|] ==> Suc (∑ i::nat=0..<n. b i) = (∑ i=0..<n. (b (j := Suc 0)) i)
apply(frule-tac b=(b (j := (Suc 0))) in Example2-lemma2-aux)
apply(erule-tac t=sum (b(j := (Suc 0))) {0..<n} in ssubst)
apply(frule-tac b=b in Example2-lemma2-aux)
apply(erule-tac t=sum b {0..<n} in ssubst)
apply(subgoal-tac Suc (sum b {0..<j} + b j + (∑ i=0..<n - Suc j. b (Suc j + i))) = (sum b {0..<j} + Suc (b j) + (∑ i=0..<n - Suc j. b (Suc j + i))))
apply(rotate-tac -1)
apply(erule ssubst)
apply(subgoal-tac j ≤ j)
apply(drule-tac b=b and t=(Suc 0) in Example2-lemma2-aux2)
```

```

apply(rotate-tac -1)
apply(erule ssubst)
apply simp-all
done

record Example2 =
  c :: nat  $\Rightarrow$  nat
  x :: nat

lemma Example2: 0 < n  $\Rightarrow$ 
   $\|- \{x=0 \wedge (\sum i=0..n. c\ i)=0\}$ 
COBEGIN
  SCHEME [0 ≤ i < n]
  {x = (sum i=0..n. c i)  $\wedge$  c i = 0}
  ⟨ x := x + (Suc 0),, c := c (i := (Suc 0)) ⟩
  {x = (sum i=0..n. c i)  $\wedge$  c i = (Suc 0)}
COEND
  {x = n}
apply oghoare
apply (simp-all cong del: sum.cong-simp)
apply (tactic ‹ALLGOALS (clarify-tac context)›)
apply (simp-all cong del: sum.cong-simp)
  apply(erule (1) Example2-lemma2)
  apply(erule (1) Example2-lemma2)
  apply(erule (1) Example2-lemma2)
apply(simp)
done

end

```

Chapter 2

Case Study: Single and Multi-Mutator Garbage Collection Algorithms

2.1 Formalization of the Memory

```
theory Graph imports Main begin

datatype node = Black | White

type-synonym nodes = node list
type-synonym edge = nat × nat
type-synonym edges = edge list

consts Roots :: nat set

definition Proper-Roots :: nodes ⇒ bool where
  Proper-Roots M ≡ Roots ≠ {} ∧ Roots ⊆ {i. i < length M}

definition Proper-Edges :: (nodes × edges) ⇒ bool where
  Proper-Edges ≡ (λ(M,E). ∀ i < length E. fst(E!i) < length M ∧ snd(E!i) < length M)

definition BtoW :: (edge × nodes) ⇒ bool where
  BtoW ≡ (λ(e,M). (M!fst e) = Black ∧ (M!snd e) ≠ Black)

definition Blacks :: nodes ⇒ nat set where
  Blacks M ≡ {i. i < length M ∧ M!i = Black}

definition Reach :: edges ⇒ nat set where
  Reach E ≡ {x. (∃ path. 1 < length path ∧ path!(length path - 1) ∈ Roots ∧ x = path!0
    ∧ (∀ i < length path - 1. (∃ j < length E. E!j = (path!(i+1), path!i))) )
    ∨ x ∈ Roots}
```

Reach: the set of reachable nodes is the set of Roots together with the nodes reachable from some Root by a path represented by a list of nodes (at least two since we traverse at least one edge), where two consecutive nodes correspond to an edge in E.

2.1.1 Proofs about Graphs

```
lemmas Graph-defs= Blacks-def Proper-Roots-def Proper-Edges-def BtoW-def
declare Graph-defs [simp]
```

Graph 1

```
lemma Graph1-aux [rule-format]:
  [Roots ⊆ Blacks M; ∀ i < length E. ¬BtoW(E!i,M)]
  ==> 1 < length path —> (path!(length path - 1)) ∈ Roots —>
    (∀ i < length path - 1. (∃ j. j < length E ∧ E!j = (path!(Suc i), path!i)))
    —> M!(path!0) = Black
  apply(induct-tac path)
    apply force
    apply clarify
    apply simp
    apply(case-tac list)
      apply force
      apply simp
      apply(rename-tac lista)
      apply(rotate-tac -2)
      apply(erule-tac x = 0 in all-dupE)
        apply simp
        apply clarify
        apply(erule allE , erule (1) noteE impE)
          apply simp
          apply(erule mp)
          apply(case-tac lista)
            apply force
            apply simp
            apply(erule mp)
            apply clarify
            apply(erule-tac x = Suc i in allE)
              apply force
            done

  lemma Graph1:
    [Roots ⊆ Blacks M; Proper-Edges(M, E); ∀ i < length E. ¬BtoW(E!i,M) ]
    ==> Reach E ⊆ Blacks M
  apply (unfold Reach-def)
  apply simp
  apply clarify
  apply(erule disjE)
  apply clarify
```

```

apply(rule conjI)
apply(subgoal-tac 0 < length path - Suc 0)
apply(erule allE , erule (1) noteE impE)
apply force
apply simp
apply(rule Graph1-aux)
apply auto
done

```

Graph 2

```

lemma Ex-first-occurrence [rule-format]:
  P (n::nat) —> ( $\exists m. P m \wedge (\forall i. i < m \longrightarrow \neg P i)$ )
apply(rule nat-less-induct)
apply clarify
apply(case-tac  $\forall m. m < n \longrightarrow \neg P m$ )
apply auto
done

lemma Compl-lemma:  $(n::nat) \leq l \implies (\exists m. m \leq l \wedge n = l - m)$ 
apply(rule-tac x = l - n in exI)
apply arith
done

lemma Ex-last-occurrence:
   $\llbracket P (n::nat); n \leq l \rrbracket \implies (\exists m. P (l - m) \wedge (\forall i. i < m \longrightarrow \neg P (l - i)))$ 
apply(drule Compl-lemma)
apply clarify
apply(erule Ex-first-occurrence)
done

lemma Graph2:
   $\llbracket T \in \text{Reach } E; R < \text{length } E \rrbracket \implies T \in \text{Reach } (E[R := (\text{fst}(E!R), T)])$ 
apply (unfold Reach-def)
apply clarify
apply simp
apply(case-tac  $\forall z < \text{length path}. \text{fst}(E!R) \neq \text{path}!z$ )
apply(rule-tac x = path in exI)
apply simp
apply clarify
apply(erule allE , erule (1) noteE impE)
apply clarify
apply(rule-tac x = j in exI)
apply(case-tac j=R)
apply(erule-tac x = Suc i in allE)
apply simp
apply (force simp add:nth-list-update)
apply simp
apply(erule exE)

```

```

apply(subgoal-tac  $z \leq \text{length } path - \text{Suc } 0$ )
  prefer 2 apply arith
apply(drule-tac  $P = \lambda m. m < \text{length } path \wedge \text{fst}(E!R) = \text{path}!m$  in Ex-last-occurrence)
  apply assumption
apply clarify
apply simp
apply(rule-tac  $x = (\text{path}!0) \# (\text{drop}(\text{length } path - \text{Suc } m) \text{ path})$  in exI)
apply simp
apply(case-tac  $\text{length } path - (\text{length } path - \text{Suc } m)$ )
  apply arith
apply simp
apply(subgoal-tac  $(\text{length } path - \text{Suc } m) + \text{nat} \leq \text{length } path$ )
  prefer 2 apply arith
apply(subgoal-tac  $\text{length } path - \text{Suc } m + \text{nat} = \text{length } path - \text{Suc } 0$ )
  prefer 2 apply arith
apply clarify
apply(case-tac i)
  apply(force simp add: nth-list-update)
apply simp
apply(subgoal-tac  $(\text{length } path - \text{Suc } m) + \text{nata} \leq \text{length } path$ )
  prefer 2 apply arith
apply(subgoal-tac  $(\text{length } path - \text{Suc } m) + (\text{Suc } \text{nata}) \leq \text{length } path$ )
  prefer 2 apply arith
apply simp
apply(erule-tac  $x = \text{length } path - \text{Suc } m + \text{nata}$  in allE)
apply simp
apply clarify
apply(rule-tac  $x = j$  in exI)
apply(case-tac R=j)
  prefer 2 apply force
apply simp
apply(drule-tac  $t = \text{path} ! (\text{length } path - \text{Suc } m)$  in sym)
apply simp
apply(case-tac  $\text{length } path - \text{Suc } 0 < m$ )
apply(subgoal-tac  $(\text{length } path - \text{Suc } m) = 0$ )
  prefer 2 apply arith
apply(simp del: diff-is-0-eq)
apply(subgoal-tac  $\text{Suc } \text{nata} \leq \text{nat}$ )
  prefer 2 apply arith
apply(drule-tac  $n = \text{Suc } \text{nata}$  in Compl-lemma)
apply clarify
subgoal using [[linarith-split-limit = 0]] by force
apply(drule leI)
apply(subgoal-tac  $\text{Suc } (\text{length } path - \text{Suc } m + \text{nata}) = (\text{length } path - \text{Suc } 0) - (m - \text{Suc } \text{nata})$ )
  apply(erule-tac  $x = m - (\text{Suc } \text{nata})$  in allE)
apply(case-tac m)
  apply simp
apply simp

```

```

apply simp
done

```

Graph 3

```

declare min.absorb1 [simp] min.absorb2 [simp]

lemma Graph3:
   $\llbracket T \in \text{Reach } E; R < \text{length } E \rrbracket \implies \text{Reach}(E[R := (\text{fst}(E!R), T)]) \subseteq \text{Reach } E$ 
  apply (unfold Reach-def)
  apply clarify
  apply simp
  apply (case-tac  $\exists i < \text{length path} - 1. (\text{fst}(E!R), T) = (\text{path}!(\text{Suc } i), \text{path}!i)$ )
  — the changed edge is part of the path
  apply (erule exE)
  apply (drule-tac  $P = \lambda i. i < \text{length path} - 1 \wedge (\text{fst}(E!R), T) = (\text{path}!\text{Suc } i, \text{path}!i)$ 
  in Ex-first-occurrence)
  apply clarify
  apply (erule disjE)
  — T is NOT a root
  apply clarify
  apply (rule-tac  $x = (\text{take } m \text{ path}) @ \text{patha in exI}$ )
  apply (subgoal-tac  $\neg(\text{length path} \leq m)$ )
  prefer 2 apply arith
  apply (simp)
  apply (rule conjI)
  apply (subgoal-tac  $\neg(m + \text{length patha} - 1 < m)$ )
  prefer 2 apply arith
  apply (simp add: nth-append)
  apply (rule conjI)
  apply (case-tac  $m$ )
  apply force
  apply (case-tac  $\text{path}$ )
  apply force
  apply force
  apply clarify
  apply (case-tac  $\text{Suc } i \leq m$ )
  apply (erule-tac  $x = i \text{ in allE}$ )
  apply simp
  apply clarify
  apply (rule-tac  $x = j \text{ in exI}$ )
  apply (case-tac  $\text{Suc } i < m$ )
  apply (simp add: nth-append)
  apply (case-tac  $R = j$ )
  apply (simp add: nth-list-update)
  apply (case-tac  $i = m$ )
  apply force
  apply (erule-tac  $x = i \text{ in allE}$ )
  apply force

```

```

apply(force simp add: nth-list-update)
apply(simp add: nth-append)
apply(subgoal-tac i=m - 1)
prefer 2 apply arith
apply(case-tac R=j)
apply(erule-tac x = m - 1 in allE)
apply(simp add: nth-list-update)
apply(force simp add: nth-list-update)
apply(simp add: nth-append)
apply(rotate-tac -4)
apply(erule-tac x = i - m in allE)
apply(subgoal-tac Suc (i - m)=(Suc i - m))
prefer 2 apply arith
apply simp
— T is a root
apply(case-tac m=0)
apply force
apply(rule-tac x = take (Suc m) path in exI)
apply(subgoal-tac ¬(length path≤Suc m))
prefer 2 apply arith
apply clarsimp
apply(erule-tac x = i in allE)
apply simp
apply clarify
apply(case-tac R=j)
apply(force simp add: nth-list-update)
apply(force simp add: nth-list-update)
— the changed edge is not part of the path
apply(rule-tac x = path in exI)
apply simp
apply clarify
apply(erule-tac x = i in allE)
apply clarify
apply(case-tac R=j)
apply(erule-tac x = i in allE)
apply simp
apply(force simp add: nth-list-update)
done

```

Graph 4

```

lemma Graph4:
 $\llbracket T \in \text{Reach } E; \text{Roots} \subseteq \text{Blacks } M; I \leq \text{length } E; T < \text{length } M; R < \text{length } E;$ 
 $\forall i < I. \neg BtoW(E!i, M); R < I; M!fst(E!R) = \text{Black}; M!T \neq \text{Black} \rrbracket \implies$ 
 $(\exists r. I \leq r \wedge r < \text{length } E \wedge BtoW(E[R:=(fst(E!R), T)]!r, M))$ 
apply (unfold Reach-def)
apply simp
apply(erule disjE)
prefer 2 apply force

```

```

apply clarify
— there exist a black node in the path to T
apply(case-tac  $\exists m < \text{length } \text{path}. M!(\text{path}!m) = \text{Black}$ )
apply(erule exE)
apply(drule-tac  $P = \lambda m. m < \text{length } \text{path} \wedge M!(\text{path}!m) = \text{Black}$  in Ex-first-occurrence)
apply clarify
apply(case-tac ma)
apply force
apply simp
apply(case-tac length path)
apply force
apply simp
apply(erule-tac  $P = \lambda i. i < \text{nata} \longrightarrow P i$  and  $x = \text{nat}$  for  $P$  in allE)
apply simp
apply clarify
apply(erule-tac  $P = \lambda i. i < \text{Suc nat} \longrightarrow P i$  and  $x = \text{nat}$  for  $P$  in allE)
apply simp
apply(case-tac  $j < I$ )
apply(erule-tac  $x = j$  in allE)
apply force
apply(rule-tac  $x = j$  in exI)
apply(force simp add: nth-list-update)
apply simp
apply(rotate-tac  $-1$ )
apply(erule-tac  $x = \text{length path} - 1$  in allE)
apply(case-tac length path)
apply force
apply force
done

declare min.absorb1 [simp del] min.absorb2 [simp del]

```

Graph 5

```

lemma Graph5:
 $\llbracket T \in \text{Reach } E ; \text{Roots} \subseteq \text{Blacks } M ; \forall i < R. \neg BtoW(E!i, M) ; T < \text{length } M ;$ 
 $R < \text{length } E ; M!\text{fst}(E!R) = \text{Black} ; M!\text{snd}(E!R) = \text{Black} ; M!T \neq \text{Black} \rrbracket$ 
 $\implies (\exists r. R < r \wedge r < \text{length } E \wedge BtoW(E[R := (\text{fst}(E!R), T)]!r, M))$ 
apply (unfold Reach-def)
apply simp
apply(erule disjE)
prefer 2 apply force
apply clarify
— there exist a black node in the path to T
apply(case-tac  $\exists m < \text{length } \text{path}. M!(\text{path}!m) = \text{Black}$ )
apply(erule exE)
apply(drule-tac  $P = \lambda m. m < \text{length } \text{path} \wedge M!(\text{path}!m) = \text{Black}$  in Ex-first-occurrence)
apply clarify
apply(case-tac ma)

```

```

apply force
apply simp
apply(case-tac length path)
apply force
apply simp
apply(erule-tac  $P = \lambda i. i < nata \rightarrow P i$  and  $x = nat$  for  $P$  in allE)
apply simp
apply clarify
apply(erule-tac  $P = \lambda i. i < Suc nat \rightarrow P i$  and  $x = nat$  for  $P$  in allE)
apply simp
apply(case-tac  $j \leq R$ )
apply(drule le-imp-less-or-eq [of - R])
apply(erule disjE)
apply(erule allE , erule (1) noteE impE)
apply force
apply force
apply(rule-tac  $x = j$  in exI)
apply(force simp add: nth-list-update)
apply simp
apply(rotate-tac -1)
apply(erule-tac  $x = length path - 1$  in allE)
apply(case-tac length path)
apply force
apply force
done

```

Other lemmas about graphs

lemma Graph6:

$$[\text{Proper-Edges}(M,E); R < \text{length } E ; T < \text{length } M] \implies \text{Proper-Edges}(M,E[R := (\text{fst}(E!R), T)])$$

```

apply (unfold Proper-Edges-def)
apply(force simp add: nth-list-update)
done

```

lemma Graph7:

$$[\text{Proper-Edges}(M,E)] \implies \text{Proper-Edges}(M[T := a], E)$$

```

apply (unfold Proper-Edges-def)
apply force
done

```

lemma Graph8:

$$[\text{Proper-Roots}(M)] \implies \text{Proper-Roots}(M[T := a])$$

```

apply (unfold Proper-Roots-def)
apply force
done

```

Some specific lemmata for the verification of garbage collection algorithms.

lemma Graph9: $j < \text{length } M \implies \text{Blacks } M \subseteq \text{Blacks } (M[j := \text{Black}])$

```

apply (unfold Blacks-def)

```

```

apply(force simp add: nth-list-update)
done

lemma Graph10 [rule-format (no-asm)]: ∀ i. M!i=a → M[i:=a]=M
apply(induct-tac M)
apply auto
apply(case-tac i)
apply auto
done

lemma Graph11 [rule-format (no-asm)]: 
  [ M!j≠Black;j<length M] ⇒ Blacks M ⊂ Blacks (M[j := Black])
apply (unfold Blacks-def)
apply(rule psubsetI)
apply(force simp add: nth-list-update)
apply safe
apply(erule-tac c = j in equalityCE)
apply auto
done

lemma Graph12: [a ⊂ Blacks M;j<length M] ⇒ a ⊂ Blacks (M[j := Black])
apply (unfold Blacks-def)
apply(force simp add: nth-list-update)
done

lemma Graph13: [a ⊂ Blacks M;j<length M] ⇒ a ⊂ Blacks (M[j := Black])
apply (unfold Blacks-def)
apply(erule psubset-subset-trans)
apply(force simp add: nth-list-update)
done

declare Graph-defs [simp del]

end

```

2.2 The Single Mutator Case

```

theory Gar-Coll imports Graph OG-Syntax begin

declare psubsetE [rule del]

Declaration of variables:
record gar-coll-state =
  M :: nodes
  E :: edges
  bc :: nat set
  obc :: nat set
  Ma :: nodes
  ind :: nat

```

```

k :: nat
z :: bool

```

2.2.1 The Mutator

The mutator first redirects an arbitrary edge R from an arbitrary accessible node towards an arbitrary accessible node T . It then colors the new target T black.

We declare the arbitrarily selected node and edge as constants:

```
consts R :: nat T :: nat
```

The following predicate states, given a list of nodes m and a list of edges e , the conditions under which the selected edge R and node T are valid:

```
definition Mut-init :: gar-coll-state ⇒ bool where
  Mut-init ≡ << T ∈ Reach 'E ∧ R < length 'E ∧ T < length 'M >>
```

For the mutator we consider two modules, one for each action. An auxiliary variable $'z$ is set to false if the mutator has already redirected an edge but has not yet colored the new target.

```
definition Redirect-Edge :: gar-coll-state ann-com where
  Redirect-Edge ≡ {`Mut-init ∧ 'z} (‘E := ‘E[R := (fst(‘E!R), T)], ‘z := (¬'z))
```

```
definition Color-Target :: gar-coll-state ann-com where
  Color-Target ≡ {`Mut-init ∧ ¬'z} (‘M := ‘M[T := Black],, ‘z := (¬'z))
```

```
definition Mutator :: gar-coll-state ann-com where
  Mutator ≡
  {`Mut-init ∧ 'z}
  WHILE True INV {`Mut-init ∧ 'z}
  DO Redirect-Edge ;; Color-Target OD
```

Correctness of the mutator

```
lemmas mutator-defs = Mut-init-def Redirect-Edge-def Color-Target-def
```

```
lemma Redirect-Edge:
  ⊢ Redirect-Edge pre(Color-Target)
  apply (unfold mutator-defs)
  apply annhoare
  apply(simp-all)
  apply(force elim:Graph2)
  done
```

```
lemma Color-Target:
  ⊢ Color-Target {`Mut-init ∧ 'z}
  apply (unfold mutator-defs)
  apply annhoare
```

```

apply(simp-all)
done

lemma Mutator:
  ⊢ Mutator {False}
apply(unfold Mutator-def)
apply annhoare
apply(simp-all add:Redirect-Edge Color-Target)
apply(simp add:mutator-defs)
done

```

2.2.2 The Collector

A constant *M-init* is used to give $'M_a$ a suitable first value, defined as a list of nodes where only the *Roots* are black.

consts *M-init* :: *nodes*

definition *Proper-M-init* :: *gar-coll-state* \Rightarrow *bool* **where**
 $\text{Proper-M-init} \equiv \ll \text{Blacks } M\text{-init}=\text{Roots} \wedge \text{length } M\text{-init}=\text{length } 'M \gg$

definition *Proper* :: *gar-coll-state* \Rightarrow *bool* **where**
 $\text{Proper} \equiv \ll \text{Proper-Roots } 'M \wedge \text{Proper-Edges}('M, 'E) \wedge 'Proper-M-init \gg$

definition *Safe* :: *gar-coll-state* \Rightarrow *bool* **where**
 $\text{Safe} \equiv \ll \text{Reach } 'E \subseteq \text{Blacks } 'M \gg$

lemmas *collector*-defs = *Proper-M-init*-def *Proper*-def *Safe*-def

Blackening the roots

definition *Blacken-Roots* :: *gar-coll-state* *ann-com* **where**
 $\text{Blacken-Roots} \equiv$
 $\{\{'Proper'\}$
 $'ind:=0;;$
 $\{\{'Proper' \wedge 'ind=0'\}$
 $\text{WHILE } 'ind < \text{length } 'M$
 $\text{INV } \{\{'Proper' \wedge (\forall i < 'ind. i \in \text{Roots} \longrightarrow 'M!i=\text{Black}) \wedge 'ind \leq \text{length } 'M\}\}$
 $\text{DO } \{\{'Proper' \wedge (\forall i < 'ind. i \in \text{Roots} \longrightarrow 'M!i=\text{Black}) \wedge 'ind < \text{length } 'M\}$
 $\text{IF } 'ind \in \text{Roots} \text{ THEN}$
 $\quad \{\{'Proper' \wedge (\forall i < 'ind. i \in \text{Roots} \longrightarrow 'M!i=\text{Black}) \wedge 'ind < \text{length } 'M \wedge$
 $\quad 'ind \in \text{Roots}\}$
 $\quad 'M := 'M['ind:=\text{Black}] \text{ FI};$
 $\quad \{\{'Proper' \wedge (\forall i < 'ind+1. i \in \text{Roots} \longrightarrow 'M!i=\text{Black}) \wedge 'ind < \text{length } 'M\}$
 $\quad 'ind := 'ind + 1$
 OD

lemma *Blacken-Roots*:
 $\vdash \text{Blacken-Roots } \{\{'Proper' \wedge \text{Roots} \subseteq \text{Blacks } 'M\}\}$
apply (unfold *Blacken-Roots*-def)

```

apply annhoare
apply(simp-all add:collector-defs Graph-defs)
apply safe
apply(simp-all add:nth-list-update)
  apply (erule less-SucE)
    apply simp+
  apply force
  apply force
done

```

Propagating black

```

definition PBInv :: gar-coll-state  $\Rightarrow$  nat  $\Rightarrow$  bool where
  PBInv  $\equiv$   $\ll \lambda ind. \neg obc < Blacks \wedge M \vee (\forall i < ind. \neg BtoW(E!i, M) \vee$ 
   $(\neg z \wedge i=R \wedge (snd(E!R)) = T \wedge (\exists r. ind \leq r \wedge r < length E \wedge BtoW(E!r, M)))) \gg$ 

```

```

definition Propagate-Black-aux :: gar-coll-state ann-com where
  Propagate-Black-aux  $\equiv$ 
   $\{ \neg Proper \wedge Roots \subseteq Blacks \wedge obc \subseteq Blacks \wedge bc \subseteq Blacks \wedge ind = 0 \}$ 
  WHILE  $ind < length E$ 
    INV  $\{ \neg Proper \wedge Roots \subseteq Blacks \wedge obc \subseteq Blacks \wedge bc \subseteq Blacks \wedge$ 
     $\neg PBInv \wedge ind \leq length E \}$ 
    DO  $\{ \neg Proper \wedge Roots \subseteq Blacks \wedge obc \subseteq Blacks \wedge bc \subseteq Blacks \wedge$ 
     $\neg PBInv \wedge ind \leq length E \}$ 
    IF  $\neg M!(fst(E!ind)) = Black$  THEN
       $\{ \neg Proper \wedge Roots \subseteq Blacks \wedge obc \subseteq Blacks \wedge bc \subseteq Blacks \wedge$ 
       $\neg PBInv \wedge ind \leq length E \wedge M!fst(E!ind) = Black \}$ 
       $M := M[snd(E!ind) := Black];;$ 
     $\{ \neg Proper \wedge Roots \subseteq Blacks \wedge obc \subseteq Blacks \wedge bc \subseteq Blacks \wedge$ 
     $\neg PBInv \wedge (ind + 1) \leq length E \}$ 
     $ind := ind + 1$ 
  FI
  OD

```

```

lemma Propagate-Black-aux:
   $\vdash Propagate-Black-aux$ 
   $\{ \neg Proper \wedge Roots \subseteq Blacks \wedge obc \subseteq Blacks \wedge bc \subseteq Blacks \wedge$ 
   $\neg (obc < Blacks \wedge Safe) \}$ 
apply (unfold Propagate-Black-aux-def PBInv-def collector-defs)
apply annhoare
apply(simp-all add:Graph6 Graph7 Graph8 Graph12)
  apply force
  apply force
  apply force
  — 4 subgoals left
apply clarify
apply(simp add:Proper-Edges-def Proper-Roots-def Graph6 Graph7 Graph8 Graph12)

```

```

apply (erule disjE)
apply(rule disjI1)
apply(erule Graph13)
apply force
apply (case-tac M x ! snd (E x ! ind x)=Black)
apply (simp add: Graph10 BtoW-def)
apply (rule disjI2)
apply clarify
apply (erule less-SucE)
apply (erule-tac x=i in allE , erule (1) noteE impE)
apply simp
apply clarify
apply (drule-tac y = r in le-imp-less-or-eq)
apply (erule disjE)
apply (subgoal-tac Suc (ind x)≤r)
apply fast
apply arith
apply fast
apply fast
apply(rule disjI1)
apply(erule subset-psubset-trans)
apply(erule Graph11)
apply fast
— 3 subgoals left
apply force
apply force
— last
apply clarify
apply simp
apply(subgoal-tac ind x = length (E x))
apply (simp)
apply(drule Graph1)
apply simp
apply clarify
apply(erule allE, erule impE, assumption)
apply force
apply force
apply arith
done

```

Refining propagating black

```

definition Auxk :: gar-coll-state ⇒ bool where
Auxk ≡ <<`k<length `M ∧ ( `M!`k≠Black ∨ ¬BtoW(`E!`ind, `M) ∨
 `obc<Blacks `M ∨ (¬`z ∧ `ind=R ∧ snd(`E!R)=T
 ∧ ( ∃ r. `ind<r ∧ r<length `E ∧ BtoW(`E!r, `M))))>>

definition Propagate-Black :: gar-coll-state ann-com where
Propagate-Black ≡

```

```

{`Proper ∧ Roots ⊆ Blacks 'M ∧ `obc ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M}
`ind:=0;;
{`Proper ∧ Roots ⊆ Blacks 'M ∧ `obc ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M ∧ `ind=0}
WHILE `ind < length 'E
INV {`Proper ∧ Roots ⊆ Blacks 'M ∧ `obc ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
      ∧ `PBInv `ind ∧ `ind ≤ length 'E}
DO {`Proper ∧ Roots ⊆ Blacks 'M ∧ `obc ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
      ∧ `PBInv `ind ∧ `ind < length 'E}
IF ('M!(fst ('E! `ind)))=Black THEN
  {`Proper ∧ Roots ⊆ Blacks 'M ∧ `obc ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
    ∧ `PBInv `ind ∧ `ind < length 'E ∧ ('M!fst('E! `ind))=Black}
  'k:=(snd('E! `ind));;
  {`Proper ∧ Roots ⊆ Blacks 'M ∧ `obc ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
    ∧ `PBInv `ind ∧ `ind < length 'E ∧ ('M!fst('E! `ind))=Black
    ∧ `Auxk}
  {'M:=M['k:=Black],, `ind:='ind+1}
ELSE {`Proper ∧ Roots ⊆ Blacks 'M ∧ `obc ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
      ∧ `PBInv `ind ∧ `ind < length 'E}
  {IF ('M!(fst ('E! `ind)))≠Black THEN `ind:='ind+1 FI}
FI
OD

```

lemma Propagate-Black:

- † Propagate-Black
- {`Proper ∧ Roots ⊆ Blacks 'M ∧ `obc ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
 ∧ (`obc < Blacks 'M ∨ `Safe)}
- apply** (unfold Propagate-Black-def PBInv-def Auxk-def collector-defs)
- apply** annhoare
- apply** (simp-all add: Graph6 Graph7 Graph8 Graph12)
 - apply** force
 - apply** force
 - apply** force
- 5 subgoals left
- apply** clarify
- apply** (simp add:BtoW-def Proper-Edges-def)
- 4 subgoals left
- apply** clarify
- apply** (simp add:Proper-Edges-def Graph6 Graph7 Graph8 Graph12)
- apply** (erule disjE)
- apply** (rule disjI1)
- apply** (erule psubset-subset-trans)
- apply** (erule Graph9)
- apply** (case-tac M x!k x=Black)
- apply** (case-tac M x ! snd (E x ! ind x)=Black)
- apply** (simp add: Graph10 BtoW-def)
- apply** (rule disjI2)
- apply** clarify
- apply** (erule less-SucE)
- apply** (erule-tac x=i in alle , erule (1) notE impE)

```

apply simp
apply clarify
apply (drule-tac y = r in le-imp-less-or-eq)
apply (erule disjE)
apply (subgoal-tac Suc (ind x)≤r)
apply fast
apply arith
apply fast
apply fast
apply (simp add: Graph10 BtoW-def)
apply (erule disjE)
apply (erule disjI1)
apply clarify
apply (erule less-SucE)
apply force
apply simp
apply (subgoal-tac Suc R≤r)
apply fast
apply arith
apply(rule disjI1)
apply(erule subset-psubset-trans)
apply(erule Graph11)
apply fast
— 2 subgoals left
apply clarify
apply(simp add:Proper-Edges-def Graph6 Graph7 Graph8 Graph12)
apply (erule disjE)
apply fast
apply clarify
apply (erule less-SucE)
apply (erule-tac x=i in allE , erule (1) noteE impE)
apply simp
apply clarify
apply (drule-tac y = r in le-imp-less-or-eq)
apply (erule disjE)
apply (subgoal-tac Suc (ind x)≤r)
apply fast
apply arith
apply (simp add: BtoW-def)
apply (simp add: BtoW-def)
— last
apply clarify
apply simp
apply(subgoal-tac ind x = length (E x))
apply (simp)
apply(drule Graph1)
apply simp
apply clarify
apply(erule allE, erule impE, assumption)

```

```

apply force
apply force
apply arith
done

```

Counting black nodes

```

definition CountInv :: gar-coll-state  $\Rightarrow$  nat  $\Rightarrow$  bool where
  CountInv  $\equiv$   $\ll \lambda ind. \{i. i < ind \wedge 'Ma!i=Black\} \subseteq 'bc \gg$ 

definition Count :: gar-coll-state ann-com where
  Count  $\equiv$ 
     $\{ 'Proper \wedge Roots \subseteq Blacks 'M$ 
     $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
     $\wedge length 'Ma = length 'M \wedge ('obc < Blacks 'Ma \vee 'Safe) \wedge 'bc = \{\}\}$ 
    'ind:=0;;
     $\{ 'Proper \wedge Roots \subseteq Blacks 'M$ 
     $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
     $\wedge length 'Ma = length 'M \wedge ('obc < Blacks 'Ma \vee 'Safe) \wedge 'bc = \{\}$ 
     $\wedge 'ind = 0\}$ 
    WHILE 'ind < length 'M
      INV  $\{ 'Proper \wedge Roots \subseteq Blacks 'M$ 
         $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
         $\wedge length 'Ma = length 'M \wedge 'CountInv 'ind$ 
         $\wedge ('obc < Blacks 'Ma \vee 'Safe) \wedge 'ind \leq length 'M\}$ 
    DO  $\{ 'Proper \wedge Roots \subseteq Blacks 'M$ 
       $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
       $\wedge length 'Ma = length 'M \wedge 'CountInv 'ind$ 
       $\wedge ('obc < Blacks 'Ma \vee 'Safe) \wedge 'ind < length 'M\}$ 
    IF 'M!' 'ind = Black
      THEN  $\{ 'Proper \wedge Roots \subseteq Blacks 'M$ 
         $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
         $\wedge length 'Ma = length 'M \wedge 'CountInv 'ind$ 
         $\wedge ('obc < Blacks 'Ma \vee 'Safe) \wedge 'ind < length 'M \wedge 'M! 'ind = Black\}$ 
        'bc := insert 'ind 'bc
    FI;;
     $\{ 'Proper \wedge Roots \subseteq Blacks 'M$ 
     $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
     $\wedge length 'Ma = length 'M \wedge 'CountInv ('ind + 1)$ 
     $\wedge ('obc < Blacks 'Ma \vee 'Safe) \wedge 'ind < length 'M\}$ 
    'ind := 'ind + 1
  OD

```

lemma Count:

```

 $\vdash Count$ 
 $\{ 'Proper \wedge Roots \subseteq Blacks 'M$ 
 $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq 'bc \wedge 'bc \subseteq Blacks 'M \wedge length 'Ma = length$ 
 $'M$ 
 $\wedge ('obc < Blacks 'Ma \vee 'Safe)\}$ 

```

```

apply(unfold Count-def)
apply annhoare
apply(simp-all add:CountInv-def Graph6 Graph7 Graph8 Graph12 Blacks-def collector-defs)
  apply force
  apply force
  apply force
  apply clarify
  apply simp
  apply(fast elim:less-SucE)
  apply clarify
  apply simp
  apply(fast elim:less-SucE)
  apply force
  apply force
done

```

Appending garbage nodes to the free list

axiomatization Append-to-free :: nat × edges ⇒ edges

where

Append-to-free0: length (Append-to-free (i, e)) = length e **and**

Append-to-free1: Proper-Edges (m, e)

⇒ Proper-Edges (m, Append-to-free(i, e)) **and**

Append-to-free2: i ∉ Reach e

⇒ n ∈ Reach (Append-to-free(i, e)) = (n = i ∨ n ∈ Reach e)

definition AppendInv :: gar-coll-state ⇒ nat ⇒ bool **where**

AppendInv ≡ «λind. ∀ i < length 'M. ind ≤ i → i ∈ Reach 'E → 'M!i=Black»

definition Append :: gar-coll-state ann-com **where**

Append ≡

{'Proper ∧ Roots ⊆ Blacks 'M ∧ 'Safe}

'ind:=0;;

{'Proper ∧ Roots ⊆ Blacks 'M ∧ 'Safe ∧ 'ind=0}

WHILE 'ind < length 'M

INV {'Proper ∧ 'AppendInv 'ind ∧ 'ind ≤ length 'M}

DO {'Proper ∧ 'AppendInv 'ind ∧ 'ind < length 'M}

IF 'M!'ind=Black THEN

{'Proper ∧ 'AppendInv 'ind ∧ 'ind < length 'M ∧ 'M!'ind=Black}

'M:='M['ind:=White]

ELSE {'Proper ∧ 'AppendInv 'ind ∧ 'ind < length 'M ∧ 'ind ∉ Reach 'E}

'E:=Append-to-free('ind, 'E)

FI;;

{'Proper ∧ 'AppendInv ('ind+1) ∧ 'ind < length 'M}

'ind:='ind+1

OD

lemma Append:

⊢ Append {Proper}

```

apply(unfold Append-def AppendInv-def)
apply annhoare
apply(simp-all add:collector-defs Graph6 Graph7 Graph8 Append-to-free0 Append-to-free1
Graph12)
    apply(force simp:Blacks-def nth-list-update)
    apply force
    apply force
    apply(force simp add:Graph-defs)
    apply force
    apply clarify
    apply simp
    apply(rule conjI)
    apply (erule Append-to-free1)
    apply clarify
    apply (drule-tac n = i in Append-to-free2)
    apply force
    apply force
apply force
done

```

Correctness of the Collector

```

definition Collector :: gar-coll-state ann-com where
  Collector ≡
  {`Proper}
  WHILE True INV {`Proper}
  DO
    Blacken-Roots;;
    {`Proper ∧ Roots ⊆ Blacks `M}
    `obc := {};
    {`Proper ∧ Roots ⊆ Blacks `M ∧ `obc = {}}
    `bc := Roots;;
    {`Proper ∧ Roots ⊆ Blacks `M ∧ `obc = {} ∧ `bc = Roots}
    `Ma := M-init;;
    {`Proper ∧ Roots ⊆ Blacks `M ∧ `obc = {} ∧ `bc = Roots ∧ `Ma = M-init}
    WHILE `obc ≠ `bc
      INV {`Proper ∧ Roots ⊆ Blacks `M
            ∧ `obc ⊆ Blacks `Ma ∧ Blacks `Ma ⊆ `bc ∧ `bc ⊆ Blacks `M
            ∧ length `Ma = length `M ∧ (`obc < Blacks `Ma ∨ `Safe)}
      DO {`Proper ∧ Roots ⊆ Blacks `M ∧ `bc ⊆ Blacks `M}
          `obc := `bc;;
          Propagate-Black;;
          {`Proper ∧ Roots ⊆ Blacks `M ∧ `obc ⊆ Blacks `M ∧ `bc ⊆ Blacks `M
           ∧ (`obc < Blacks `M ∨ `Safe)}
          `Ma := `M;;
          {`Proper ∧ Roots ⊆ Blacks `M ∧ `obc ⊆ Blacks `Ma
           ∧ Blacks `Ma ⊆ Blacks `M ∧ `bc ⊆ Blacks `M ∧ length `Ma = length `M
           ∧ (`obc < Blacks `Ma ∨ `Safe)}
          `bc := {};

```

```

Count
OD;;
Append
OD

lemma Collector:
  ⊢ Collector {False}
apply(unfold Collector-def)
apply annhoare
apply(simp-all add: Blacken-Roots Propagate-Black Count Append)
apply(simp-all add:Blacken-Roots-def Propagate-Black-def Count-def Append-def
collector-defs)
  apply (force simp add: Proper-Roots-def)
  apply force
  apply force
  apply clarify
  apply (erule disjE)
apply(simp add:psubsetI)
  apply(force dest:subset-antisym)
done

```

2.2.3 Interference Freedom

```

lemmas modules = Redirect-Edge-def Color-Target-def Blacken-Roots-def
Propagate-Black-def Count-def Append-def
lemmas Invariants = PBInv-def Auxk-def CountInv-def AppendInv-def
lemmas abbrev = collector-defs mutator-defs Invariants

lemma interfree-Blacken-Roots-Redirect-Edge:
  interfree-aux (Some Blacken-Roots, {}, Some Redirect-Edge)
apply (unfold modules)
apply interfree-aux
apply safe
apply (simp-all add:Graph6 Graph12 abbrev)
done

lemma interfree-Redirect-Edge-Blacken-Roots:
  interfree-aux (Some Redirect-Edge, {}, Some Blacken-Roots)
apply (unfold modules)
apply interfree-aux
apply safe
apply(simp add:abbrev)+
done

lemma interfree-Blacken-Roots-Color-Target:
  interfree-aux (Some Blacken-Roots, {}, Some Color-Target)
apply (unfold modules)
apply interfree-aux
apply safe

```

```

apply(simp-all add:Graph7 Graph8 nth-list-update abbrev)
done

lemma interfree-Color-Target-Blacken-Roots:
  interfree-aux (Some Color-Target, {}, Some Blacken-Roots)
apply (unfold modules )
apply interfree-aux
apply safe
apply(simp add:abbrev) +
done

lemma interfree-Propagate-Black-Redirect-Edge:
  interfree-aux (Some Propagate-Black, {}, Some Redirect-Edge)
apply (unfold modules )
apply interfree-aux
— 11 subgoals left
apply(clarify, simp add:abbrev Graph6 Graph12)
apply(erule conjE) +
apply(erule disjE, erule disjI1, rule disjI2, rule allI, (rule impI)+, case-tac R=i,
rule conjI, erule sym)
apply(erule Graph4)
apply(simp) +
apply (simp add:BtoW-def)
apply (simp add:BtoW-def)
apply(rule conjI)
apply (force simp add:BtoW-def)
apply(erule Graph4)
apply simp+
— 7 subgoals left
apply(clarify, simp add:abbrev Graph6 Graph12)
apply(erule conjE) +
apply(erule disjE, erule disjI1, rule disjI2, rule allI, (rule impI)+, case-tac R=i,
rule conjI, erule sym)
apply(erule Graph4)
apply(simp) +
apply (simp add:BtoW-def)
apply (simp add:BtoW-def)
apply(rule conjI)
apply (force simp add:BtoW-def)
apply(erule Graph4)
apply simp+
— 6 subgoals left
apply(clarify, simp add:abbrev Graph6 Graph12)
apply(erule conjE) +
apply(rule conjI)
apply(erule disjE, erule disjI1, rule disjI2, rule allI, (rule impI)+, case-tac R=i,
rule conjI, erule sym)

```

```

rule conjI, erule sym)
  apply(erule Graph4)
    apply(simp)+
      apply (simp add:BtoW-def)
      apply (simp add:BtoW-def)
    apply(rule conjI)
      apply (force simp add:BtoW-def)
    apply(erule Graph4)
      apply simp+
    apply(simp add:BtoW-def nth-list-update)
    apply force
    — 5 subgoals left
  apply(clarify, simp add:abbrev Graph6 Graph12)
  — 4 subgoals left
  apply(clarify, simp add:abbrev Graph6 Graph12)
  apply(rule conjI)
    apply(erule disjE, erule disjI1, rule disjI2, rule allI, (rule impI)+, case-tac R=i,
rule conjI, erule sym)
      apply(erule Graph4)
        apply(simp)+
          apply (simp add:BtoW-def)
          apply (simp add:BtoW-def)
        apply(rule conjI)
          apply (force simp add:BtoW-def)
      apply(erule Graph4)
        apply simp+
    apply(rule conjI)
      apply(simp add:nth-list-update)
      apply force
    apply(rule impI, rule impI, erule disjE, erule disjI1, case-tac R = (ind x) ,case-tac
M x ! T = Black)
      apply(force simp add:BtoW-def)
    apply(case-tac M x !snd (E x ! ind x)=Black)
      apply(rule disjI2)
        apply simp
        apply (erule Graph5)
        apply simp+
      apply(force simp add:BtoW-def)
    apply(force simp add:BtoW-def)
    — 3 subgoals left
  apply(clarify, simp add:abbrev Graph6 Graph12)
  — 2 subgoals left
  apply(clarify, simp add:abbrev Graph6 Graph12)
  apply(erule disjE, erule disjI1, rule disjI2, rule allI, (rule impI)+, case-tac R=i,
rule conjI, erule sym)
    apply clarify
    apply(erule Graph4)
      apply(simp)+
        apply (simp add:BtoW-def)

```

```

apply (simp add:BtoW-def)
apply(rule conjI)
apply (force simp add:BtoW-def)
apply(erule Graph4)
apply simp+
done

lemma interfree-Redirect-Edge-Propagate-Black:
interfree-aux (Some Redirect-Edge, {}, Some Propagate-Black)
apply (unfold modules )
apply interfree-aux
apply(clarify, simp add:abbrev)+ 
done

lemma interfree-Propagate-Black-Color-Target:
interfree-aux (Some Propagate-Black, {}, Some Color-Target)
apply (unfold modules )
apply interfree-aux
— 11 subgoals left
apply(clarify, simp add:abbrev Graph7 Graph8 Graph12) +
apply(erule conjE) +
apply(erule disjE,rule disjI1,erule psubset-subset-trans,erule Graph9,
      case-tac M x!T=Black, rule disjI2,rotate-tac -1, simp add: Graph10, clarify,
      erule allE, erule impE, assumption, erule impE, assumption,
      simp add:BtoW-def, rule disjI1, erule subset-psubset-trans, erule Graph11,
      force)
— 7 subgoals left
apply(clarify, simp add:abbrev Graph7 Graph8 Graph12)
apply(erule conjE) +
apply(erule disjE,rule disjI1,erule psubset-subset-trans,erule Graph9,
      case-tac M x!T=Black, rule disjI2,rotate-tac -1, simp add: Graph10, clarify,
      erule allE, erule impE, assumption, erule impE, assumption,
      simp add:BtoW-def, rule disjI1, erule subset-psubset-trans, erule Graph11,
      force)
— 6 subgoals left
apply(clarify, simp add:abbrev Graph7 Graph8 Graph12)
apply clarify
apply (rule conjI)
apply(erule disjE,rule disjI1,erule psubset-subset-trans,erule Graph9,
      case-tac M x!T=Black, rule disjI2,rotate-tac -1, simp add: Graph10, clarify,
      erule allE, erule impE, assumption, erule impE, assumption,
      simp add:BtoW-def, rule disjI1, erule subset-psubset-trans, erule Graph11,
      force)
apply(simp add:nth-list-update)
— 5 subgoals left
apply(clarify, simp add:abbrev Graph7 Graph8 Graph12)
— 4 subgoals left
apply(clarify, simp add:abbrev Graph7 Graph8 Graph12)
apply (rule conjI)

```

```

apply(erule disjE,rule disjI1,erule psubset-subset-trans,erule Graph9,
  case-tac M x!T=Black, rule disjI2,rotate-tac -1, simp add: Graph10, clarify,
  erule allE, erule impE, assumption, erule impE, assumption,
  simp add:BtoW-def, rule disjI1, erule subset-psubset-trans, erule Graph11,
  force)
apply(rule conjI)
apply(simp add:nth-list-update)
apply(rule impI,rule impI, case-tac M x!T=Black,rotate-tac -1, force simp add:
BtoW-def Graph10,
  erule subset-psubset-trans, erule Graph11, force)
— 3 subgoals left
apply(clarify, simp add:abbrev Graph7 Graph8 Graph12)
— 2 subgoals left
apply(clarify, simp add:abbrev Graph7 Graph8 Graph12)
apply(erule disjE,rule disjI1,erule psubset-subset-trans,erule Graph9,
  case-tac M x!T=Black, rule disjI2,rotate-tac -1, simp add: Graph10, clarify,
  erule allE, erule impE, assumption, erule impE, assumption,
  simp add:BtoW-def, rule disjI1, erule subset-psubset-trans, erule Graph11,
  force)
— 3 subgoals left
apply(simp add:abbrev)
done

lemma interfree-Color-Target-Propagate-Black:
  interfree-aux (Some Color-Target, {}, Some Propagate-Black)
apply (unfold modules )
apply interfree-aux
apply(clarify, simp add:abbrev)+
done

lemma interfree-Count-Redirect-Edge:
  interfree-aux (Some Count, {}, Some Redirect-Edge)
apply (unfold modules )
apply interfree-aux
— 9 subgoals left
apply(simp-all add:abbrev Graph6 Graph12)
— 6 subgoals left
apply(clarify, simp add:abbrev Graph6 Graph12,
  erule disjE,erule disjI1,rule disjI2,rule subset-trans, erule Graph3,force,force)+
done

lemma interfree-Redirect-Edge-Count:
  interfree-aux (Some Redirect-Edge, {}, Some Count)
apply (unfold modules )
apply interfree-aux
apply(clarify,simp add:abbrev)+
apply(simp add:abbrev)
done

```

```

lemma interfree-Count-Color-Target:
  interfree-aux (Some Count, {}, Some Color-Target)
apply (unfold modules )
apply interfree-aux
— 9 subgoals left
apply(simp-all add:abbrev Graph7 Graph8 Graph12)
— 6 subgoals left
apply(clarify,simp add:abbrev Graph7 Graph8 Graph12,
 erule disjE, erule disjI1, rule disjI2,erule subset-trans, erule Graph9) +
— 2 subgoals left
apply(clarify, simp add:abbrev Graph7 Graph8 Graph12)
apply(rule conjI)
apply(erule disjE, erule disjI1, rule disjI2,erule subset-trans, erule Graph9)
apply(simp add:nth-list-update)
— 1 subgoal left
apply(clarify, simp add:abbrev Graph7 Graph8 Graph12,
 erule disjE, erule disjI1, rule disjI2,erule subset-trans, erule Graph9)
done

lemma interfree-Color-Target-Count:
  interfree-aux (Some Color-Target, {}, Some Count)
apply (unfold modules )
apply interfree-aux
apply(clarify, simp add:abbrev) +
apply(simp add:abbrev)
done

lemma interfree-Append-Redirect-Edge:
  interfree-aux (Some Append, {}, Some Redirect-Edge)
apply (unfold modules )
apply interfree-aux
apply( simp-all add:abbrev Graph6 Append-to-free0 Append-to-free1 Graph12)
apply(clarify, simp add:abbrev Graph6 Append-to-free0 Append-to-free1 Graph12,
force dest:Graph3) +
done

lemma interfree-Redirect-Edge-Append:
  interfree-aux (Some Redirect-Edge, {}, Some Append)
apply (unfold modules )
apply interfree-aux
apply(clarify, simp add:abbrev Append-to-free0) +
apply (force simp add: Append-to-free2)
apply(clarify, simp add:abbrev Append-to-free0) +
done

lemma interfree-Append-Color-Target:
  interfree-aux (Some Append, {}, Some Color-Target)
apply (unfold modules )
apply interfree-aux

```

```

apply(clarify, simp add:abbrev Graph7 Graph8 Append-to-free0 Append-to-free1
Graph12 nth-list-update)+  

apply(simp add:abbrev Graph7 Graph8 Append-to-free0 Append-to-free1 Graph12
nth-list-update)  

done

lemma interfree-Color-Target-Append:  

  interfree-aux (Some Color-Target, {}, Some Append)  

apply (unfold modules )  

apply interfree-aux  

apply(clarify, simp add:abbrev Append-to-free0)+  

apply (force simp add: Append-to-free2)  

apply(clarify,simp add:abbrev Append-to-free0)+  

done

lemmas collector-mutator-interfree =  

  interfree-Blacken-Roots-Redirect-Edge interfree-Blacken-Roots-Color-Target  

  interfree-Propagate-Black-Redirect-Edge interfree-Propagate-Black-Color-Target  

  interfree-Count-Redirect-Edge interfree-Count-Color-Target  

  interfree-Append-Redirect-Edge interfree-Append-Color-Target  

  interfree-Redirect-Edge-Blacken-Roots interfree-Color-Target-Blacken-Roots  

  interfree-Redirect-Edge-Propagate-Black interfree-Color-Target-Propagate-Black  

  interfree-Redirect-Edge-Count interfree-Color-Target-Count  

  interfree-Redirect-Edge-Append interfree-Color-Target-Append

```

Interference freedom Collector-Mutator

```

lemma interfree-Collector-Mutator:  

  interfree-aux (Some Collector, {}, Some Mutator)  

apply(unfold Collector-def Mutator-def)  

apply interfree-aux  

apply(simp-all add:collector-mutator-interfree)  

apply(unfold modules collector-defs Mut-init-def)  

apply(tactic <TRYALL (interfree-aux-tac context)>)  

— 32 subgoals left  

apply(simp-all add:Graph6 Graph7 Graph8 Append-to-free0 Append-to-free1 Graph12)  

— 20 subgoals left  

apply(tactic<TRYALL (clarify-tac context)>)  

apply(simp-all add:Graph6 Graph7 Graph8 Append-to-free0 Append-to-free1 Graph12)  

apply(tactic <TRYALL (eresolve-tac context [disjE])>)  

apply simp-all  

apply(tactic <TRYALL(EVERY '[resolve-tac context [disjI2],  

  resolve-tac context [subset-trans],  

  eresolve-tac context @{thms Graph3},  

  force-tac context,  

  assume-tac context] ))  

apply(tactic <TRYALL(EVERY '[resolve-tac context [disjI2],  

  eresolve-tac context [subset-trans],  

  resolve-tac context @{thms Graph9},
```

```

    force-tac context])))
apply(tactic <TRYALL(EVERY'[resolve-tac context [disjI1],
  eresolve-tac context @{thms psubset-subset-trans},
  resolve-tac context @{thms Graph9},
  force-tac context])))
done

```

Interference freedom Mutator-Collector

```

lemma interfree-Mutator-Collector:
  interfree-aux (Some Mutator, {}, Some Collector)
apply(unfold Collector-def Mutator-def)
apply interfree-aux
apply(simp-all add:collector-mutator-interfree)
apply(unfold modules collector-defs Mut-init-def)
apply(tactic <TRYALL (interfree-aux-tac context))
— 64 subgoals left
apply(simp-all add:nth-list-update Invariants Append-to-free0) +
apply(tactic<TRYALL (clarify-tac context))
— 4 subgoals left
apply force
apply(simp add:Append-to-free2)
apply force
apply(simp add:Append-to-free2)
done

```

The Garbage Collection algorithm

In total there are 289 verification conditions.

```

lemma Gar-Coll:
  ||- {`Proper ∧ `Mut-init ∧ `z}
  COBEGIN
    Collector
    {False}
  ||
    Mutator
    {False}
  COEND
    {False}
apply oghoare
apply(force simp add: Mutator-def Collector-def modules)
apply(rule Collector)
apply(rule Mutator)
apply(simp add:interfree-Collector-Mutator)
apply(simp add:interfree-Mutator-Collector)
apply force
done

end

```

2.3 The Multi-Mutator Case

```
theory Mul-Gar-Coll imports Graph OG-Syntax begin
```

The full theory takes aprox. 18 minutes.

```
record mut =
  Z :: bool
  R :: nat
  T :: nat
```

Declaration of variables:

```
record mul-gar-coll-state =
  M :: nodes
  E :: edges
  bc :: nat set
  obc :: nat set
  Ma :: nodes
  ind :: nat
  k :: nat
  q :: nat
  l :: nat
  Muts :: mut list
```

2.3.1 The Mutators

```
definition Mul-mut-init :: mul-gar-coll-state ⇒ nat ⇒ bool where
  Mul-mut-init ≡ <λn. n=length 'Muts ∧ ( ∀ i < n. R ('Muts!i) < length 'E
  ∧ T ('Muts!i) < length 'M) >>
```

```
definition Mul-Redirect-Edge :: nat ⇒ nat ⇒ mul-gar-coll-state ann-com where
  Mul-Redirect-Edge j n ≡
  { 'Mul-mut-init n ∧ Z ('Muts!j) }
  (IF T('Muts!j) ∈ Reach 'E THEN
  'E := 'E[R ('Muts!j) := (fst ('E!R('Muts!j)), T ('Muts!j))] FI,
  'Muts := 'Muts[j := ('Muts!j) (Z := False)])}
```

```
definition Mul-Color-Target :: nat ⇒ nat ⇒ mul-gar-coll-state ann-com where
  Mul-Color-Target j n ≡
  { 'Mul-mut-init n ∧ ¬ Z ('Muts!j) }
  { 'M := 'M[T ('Muts!j) := Black],, 'Muts := 'Muts[j := ('Muts!j) (Z := True)] }
```

```
definition Mul-Mutator :: nat ⇒ nat ⇒ mul-gar-coll-state ann-com where
  Mul-Mutator j n ≡
  { 'Mul-mut-init n ∧ Z ('Muts!j) }
  WHILE True
    INV { 'Mul-mut-init n ∧ Z ('Muts!j) }
    DO Mul-Redirect-Edge j n ;;
    Mul-Color-Target j n
  OD
```

lemmas *mul-mutator-defs* = *Mul-mut-init-def* *Mul-Redirect-Edge-def* *Mul-Color-Target-def*

Correctness of the proof outline of one mutator

lemma *Mul-Redirect-Edge*: $0 \leq j \wedge j < n \implies$

- ↪ *Mul-Redirect-Edge j n*
- pre(Mul-Color-Target j n)*
- apply** (*unfold mul-mutator-defs*)
- apply** *annhoare*
- apply** (*simp-all*)
- apply** *clarify*
- apply** (*simp add:nth-list-update*)
- done**

lemma *Mul-Color-Target*: $0 \leq j \wedge j < n \implies$

- ↪ *Mul-Color-Target j n*
- $\{ \text{'Mul-mut-init } n \wedge Z (\text{'Muts!}j) \}$
- apply** (*unfold mul-mutator-defs*)
- apply** *annhoare*
- apply** (*simp-all*)
- apply** *clarify*
- apply** (*simp add:nth-list-update*)
- done**

lemma *Mul-Mutator*: $0 \leq j \wedge j < n \implies$

- ↪ *Mul-Mutator j n* $\{\text{False}\}$
- apply** (*unfold Mul-Mutator-def*)
- apply** *annhoare*
- apply** (*simp-all add:Mul-Redirect-Edge Mul-Color-Target*)
- apply** (*simp add:mul-mutator-defs Mul-Redirect-Edge-def*)
- done**

Interference freedom between mutators

lemma *Mul-interfree-Redirect-Edge-Redirect-Edge*:

- $\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$
- interfree-aux* (*Some (Mul-Redirect-Edge i n), {}, Some(Mul-Redirect-Edge j n)*)
- apply** (*unfold mul-mutator-defs*)
- apply** *interfree-aux*
- apply** *safe*
- apply** (*simp-all add: nth-list-update*)
- done**

lemma *Mul-interfree-Redirect-Edge-Color-Target*:

- $\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$
- interfree-aux* (*Some(Mul-Redirect-Edge i n), {}, Some(Mul-Color-Target j n)*)
- apply** (*unfold mul-mutator-defs*)
- apply** *interfree-aux*
- apply** *safe*

```

apply(simp-all add: nth-list-update)
done

lemma Mul-interfree-Color-Target-Redirect-Edge:
 $\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$ 
  interfree-aux (Some(Mul-Color-Target i n), {}, Some(Mul-Redirect-Edge j n))
apply (unfold mul-mutator-defs)
apply interfree-aux
apply safe
apply(simp-all add:nth-list-update)
done

lemma Mul-interfree-Color-Target-Color-Target:
 $\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$ 
  interfree-aux (Some(Mul-Color-Target i n), {}, Some(Mul-Color-Target j n))
apply (unfold mul-mutator-defs)
apply interfree-aux
apply safe
apply(simp-all add: nth-list-update)
done

lemmas mul-mutator-interfree =
  Mul-interfree-Redirect-Edge-Redirect-Edge Mul-interfree-Redirect-Edge-Color-Target
  Mul-interfree-Color-Target-Redirect-Edge Mul-interfree-Color-Target-Color-Target

lemma Mul-interfree-Mutator-Mutator:  $\llbracket i < n; j < n; i \neq j \rrbracket \implies$ 
  interfree-aux (Some (Mul-Mutator i n), {}, Some (Mul-Mutator j n))
apply(unfold Mul-Mutator-def)
apply(interfree-aux)
apply(simp-all add:mul-mutator-interfree)
apply(simp-all add: mul-mutator-defs)
apply(tactic (TRYALL (interfree-aux-tac context)))
apply(tactic (ALLGOALS (clarify-tac context)))
apply (simp-all add:nth-list-update)
done

```

Modular Parameterized Mutators

```

lemma Mul-Parameterized-Mutators:  $0 < n \implies$ 
 $\| - \{ \text{'Mul-mut-init } n \wedge (\forall i < n. Z (\text{'Muts!} i)) \}$ 
COBEGIN
  SCHEME  $[0 \leq j < n]$ 
    Mul-Mutator j n
  {False}
COEND
  {False}
apply oghoare
apply(force simp add:Mul-Mutator-def mul-mutator-defs nth-list-update)
apply(erule Mul-Mutator)

```

```

apply(simp add:Mul-interfree-Mutator-Mutator)
apply(force simp add:Mul-Mutator-def mul-mutator-defs nth-list-update)
done

```

2.3.2 The Collector

```

definition Queue :: mul-gar-coll-state ⇒ nat where
Queue ≡ << length (filter (λi. ¬ Z i ∧ 'M!(T i) ≠ Black) 'Muts) >>

consts M-init :: nodes

definition Proper-M-init :: mul-gar-coll-state ⇒ bool where
Proper-M-init ≡ << Blacks M-init=Roots ∧ length M-init=length 'M >>

definition Mul-Proper :: mul-gar-coll-state ⇒ nat ⇒ bool where
Mul-Proper ≡ << λn. Proper-Roots 'M ∧ Proper-Edges ('M, 'E) ∧ 'Proper-M-init
∧ n=length 'Muts >>

definition Safe :: mul-gar-coll-state ⇒ bool where
Safe ≡ << Reach 'E ⊆ Blacks 'M >>

lemmas mul-collector-defs = Proper-M-init-def Mul-Proper-def Safe-def

```

Blackening Roots

```

definition Mul-Blacken-Roots :: nat ⇒ mul-gar-coll-state ann-com where
Mul-Blacken-Roots n ≡
{`Mul-Proper n}
`ind:=0;;
{`Mul-Proper n ∧ `ind=0}
WHILE `ind<length 'M
    INV {`Mul-Proper n ∧ (∀i<`ind. i∈Roots → 'M!i=Black) ∧ `ind≤length
'M}
    DO {`Mul-Proper n ∧ (∀i<`ind. i∈Roots → 'M!i=Black) ∧ `ind<length 'M}
        IF `ind∈Roots THEN
            {`Mul-Proper n ∧ (∀i<`ind. i∈Roots → 'M!i=Black) ∧ `ind<length 'M
∧ `ind∈Roots}
            'M:=`M[`ind:=Black] FI;;
            {`Mul-Proper n ∧ (∀i<`ind+1. i∈Roots → 'M!i=Black) ∧ `ind<length
'M}
            `ind:=`ind+1
        OD

```

```

lemma Mul-Blacken-Roots:
    ⊢ Mul-Blacken-Roots n
    {`Mul-Proper n ∧ Roots ⊆ Blacks 'M}
    apply (unfold Mul-Blacken-Roots-def)
    apply annhoare
    apply(simp-all add:mul-collector-defs Graph-defs)
    apply safe

```

```

apply(simp-all add:nth-list-update)
  apply (erule less-SucE)
    apply simp+
    apply force
    apply force
  done

```

Propagating Black

```

definition Mul-PBInv :: mul-gar-coll-state  $\Rightarrow$  bool where
  Mul-PBInv  $\equiv$  «`Safe  $\vee$  `obc $\subseteq$  Blacks `M  $\vee$  `l $<$  `Queue
     $\vee$  ( $\forall i <`ind.$   $\neg$ BtoW(`E!i, `M))  $\wedge$  `l $\leq$  `Queue»»

definition Mul-Auxk :: mul-gar-coll-state  $\Rightarrow$  bool where
  Mul-Auxk  $\equiv$  «`l $<$  `Queue  $\vee$  `M!`k $\neq$  Black  $\vee$   $\neg$ BtoW(`E!`ind, `M)  $\vee$  `obc $\subseteq$  Blacks
  `M»»

definition Mul-Propagate-Black :: nat  $\Rightarrow$  mul-gar-coll-state ann-com where
  Mul-Propagate-Black n  $\equiv$ 
  {`Mul-Proper n  $\wedge$  Roots $\subseteq$  Blacks `M  $\wedge$  `obc $\subseteq$  Blacks `M  $\wedge$  `bc $\subseteq$  Blacks `M
   $\wedge$  (`Safe  $\vee$  `l $\leq$  `Queue  $\vee$  `obc $\subseteq$  Blacks `M)}  

  `ind:=0;;
  {`Mul-Proper n  $\wedge$  Roots $\subseteq$  Blacks `M
   $\wedge$  `obc $\subseteq$  Blacks `M  $\wedge$  Blacks `M $\subseteq$  Blacks `M  $\wedge$  `bc $\subseteq$  Blacks `M
   $\wedge$  (`Safe  $\vee$  `l $\leq$  `Queue  $\vee$  `obc $\subseteq$  Blacks `M)  $\wedge$  `ind=0}
  WHILE `ind<length `E
  INV {`Mul-Proper n  $\wedge$  Roots $\subseteq$  Blacks `M
   $\wedge$  `obc $\subseteq$  Blacks `M  $\wedge$  `bc $\subseteq$  Blacks `M
   $\wedge$  `Mul-PBInv  $\wedge$  `ind $\leq$  length `E}
  DO {`Mul-Proper n  $\wedge$  Roots $\subseteq$  Blacks `M
   $\wedge$  `obc $\subseteq$  Blacks `M  $\wedge$  `bc $\subseteq$  Blacks `M
   $\wedge$  `Mul-PBInv  $\wedge$  `ind<length `E}
  IF `M!(fst(`E!`ind))=Black THEN
  {`Mul-Proper n  $\wedge$  Roots $\subseteq$  Blacks `M
   $\wedge$  `obc $\subseteq$  Blacks `M  $\wedge$  `bc $\subseteq$  Blacks `M
   $\wedge$  `Mul-PBInv  $\wedge$  (`M!fst(`E!`ind))=Black  $\wedge$  `ind<length `E}
  `k:=snd(`E!`ind);;
  {`Mul-Proper n  $\wedge$  Roots $\subseteq$  Blacks `M
   $\wedge$  `obc $\subseteq$  Blacks `M  $\wedge$  `bc $\subseteq$  Blacks `M
   $\wedge$  (`Safe  $\vee$  `obc $\subseteq$  Blacks `M  $\vee$  `l $<$  `Queue  $\vee$  ( $\forall i <`ind.$   $\neg$ BtoW(`E!i, `M))
   $\wedge$  `l $\leq$  `Queue  $\wedge$  `Mul-Auxk)  $\wedge$  `k<length `M  $\wedge$  `M!fst(`E!`ind)=Black
   $\wedge$  `ind<length `E}
  (`M:='M[`k:=Black], `ind:='ind+1)
  ELSE {`Mul-Proper n  $\wedge$  Roots $\subseteq$  Blacks `M
   $\wedge$  `obc $\subseteq$  Blacks `M  $\wedge$  `bc $\subseteq$  Blacks `M
   $\wedge$  `Mul-PBInv  $\wedge$  `ind<length `E}
  {IF `M!(fst(`E!`ind)) $\neq$ Black THEN `ind:='ind+1 FI} FI
  OD

```

```

lemma Mul-Propagate-Black:
  ⊢ Mul-Propagate-Black n
  { `Mul-Proper n ∧ Roots ⊆ Blacks 'M ∧ `obc ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
    ∧ ( `Safe ∨ `obc ⊂ Blacks 'M ∨ `l < Queue ∧ ( `l ≤ Queue ∨ `obc ⊂ Blacks 'M
    'M)) }
apply(unfold Mul-Propagate-Black-def)
apply annhoare
apply(simp-all add:Mul-PBInv-def mul-collector-defs Mul-Auxk-def Graph6 Graph7
Graph8 Graph12 mul-collector-defs Queue-def)
— 8 subgoals left
apply force
apply force
apply force
apply(force simp add:BtoW-def Graph-defs)
— 4 subgoals left
apply clarify
apply(simp add: mul-collector-defs Graph12 Graph6 Graph7 Graph8)
apply(disjE-tac)
apply(simp-all add:Graph12 Graph13)
apply(case-tac M x! k x=Black)
apply(simp add: Graph10)
apply(rule disjI2, rule disjI1, erule subset-psubset-trans, erule Graph11, force)
apply(case-tac M x! k x=Black)
apply(simp add: Graph10 BtoW-def)
apply(rule disjI2, clarify, erule less-SucE, force)
apply(case-tac M x!snd(E x! ind x)=Black)
apply(force)
apply(force)
apply(rule disjI2, rule disjI1, erule subset-psubset-trans, erule Graph11, force)
— 2 subgoals left
apply clarify
apply(conjI-tac)
apply(disjE-tac)
apply(simp-all)
apply clarify
apply(erule less-SucE)
apply force
apply(simp add:BtoW-def)
— 1 subgoal left
apply clarify
apply simp
apply(disjE-tac)
apply(simp-all)
apply(rule disjI1 , rule Graph1)
apply simp-all
done

```

Counting Black Nodes

```

definition Mul-CountInv :: mul-gar-coll-state  $\Rightarrow$  nat  $\Rightarrow$  bool where
  Mul-CountInv  $\equiv$   $\ll \lambda ind. \{i. i < ind \wedge 'Ma!i=Black\} \subseteq 'bc \gg$ 

definition Mul-Count :: nat  $\Rightarrow$  mul-gar-coll-state ann-com where
  Mul-Count n  $\equiv$ 
     $\{ 'Mul-Proper n \wedge Roots \subseteq Blacks 'M$ 
     $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
     $\wedge length 'Ma = length 'M$ 
     $\wedge ('Safe \vee 'obc \subset Blacks 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subset Blacks 'M))$ 
     $\wedge 'q < n+1 \wedge 'bc = \{\}\}$ 
    'ind := 0;;
     $\{ 'Mul-Proper n \wedge Roots \subseteq Blacks 'M$ 
     $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
     $\wedge length 'Ma = length 'M$ 
     $\wedge ('Safe \vee 'obc \subset Blacks 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subset Blacks 'M))$ 
     $\wedge 'q < n+1 \wedge 'bc = \{\} \wedge 'ind = 0\}$ 
    WHILE 'ind < length 'M
      INV  $\{ 'Mul-Proper n \wedge Roots \subseteq Blacks 'M$ 
         $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
         $\wedge length 'Ma = length 'M \wedge 'Mul-CountInv 'ind$ 
         $\wedge ('Safe \vee 'obc \subset Blacks 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subset Blacks 'M))$ 
         $\wedge 'M)$ 
         $\wedge 'q < n+1 \wedge 'ind \leq length 'M\}$ 
      DO  $\{ 'Mul-Proper n \wedge Roots \subseteq Blacks 'M$ 
         $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
         $\wedge length 'Ma = length 'M \wedge 'Mul-CountInv 'ind$ 
         $\wedge ('Safe \vee 'obc \subset Blacks 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subset Blacks 'M))$ 
         $\wedge 'q < n+1 \wedge 'ind < length 'M\}$ 
      IF ' $M!$ 'ind = Black
        THEN  $\{ 'Mul-Proper n \wedge Roots \subseteq Blacks 'M$ 
           $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
           $\wedge length 'Ma = length 'M \wedge 'Mul-CountInv 'ind$ 
           $\wedge ('Safe \vee 'obc \subset Blacks 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subset Blacks 'M))$ 
           $\wedge 'q < n+1 \wedge 'ind < length 'M \wedge 'M!'ind = Black\}$ 
          ' $bc := insert 'ind 'bc$ 
        FI;;
       $\{ 'Mul-Proper n \wedge Roots \subseteq Blacks 'M$ 
       $\wedge 'obc \subseteq Blacks 'Ma \wedge Blacks 'Ma \subseteq Blacks 'M \wedge 'bc \subseteq Blacks 'M$ 
       $\wedge length 'Ma = length 'M \wedge 'Mul-CountInv ('ind + 1)$ 
       $\wedge ('Safe \vee 'obc \subset Blacks 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subset Blacks 'M))$ 
       $\wedge 'q < n+1 \wedge 'ind < length 'M\}$ 
      'ind := 'ind + 1
    OD
  lemma Mul-Count:
     $\vdash Mul-Count n$ 
     $\{ 'Mul-Proper n \wedge Roots \subseteq Blacks 'M$ 

```

```

 $\wedge \text{'}obc \subseteq Blacks \text{' Ma} \wedge Blacks \text{' Ma} \subseteq Blacks \text{' M} \wedge \text{'}bc \subseteq Blacks \text{' M}$ 
 $\wedge \text{length } \text{'}Ma = \text{length } \text{'}M \wedge Blacks \text{' Ma} \subseteq \text{' bc}$ 
 $\wedge (\text{' Safe} \vee \text{'}obc \subset Blacks \text{' Ma} \vee \text{' l} < \text{' q} \wedge (\text{' q} \leq \text{' Queue} \vee \text{'}obc \subset Blacks \text{' M}))$ 
 $\wedge \text{' q} < n + 1 \}$ 
apply (unfold Mul-Count-def)
apply annhoare
apply (simp-all add:Mul-CountInv-def mul-collector-defs Mul-Auxk-def Graph6 Graph7
Graph8 Graph12 mul-collector-defs Queue-def)
— 7 subgoals left
apply force
apply force
apply force
— 4 subgoals left
apply clarify
apply (conjI-tac)
apply (disjE-tac)
apply simp-all
apply (simp add:Blacks-def)
apply clarify
apply (erule less-SucE)
back
apply force
apply force
— 3 subgoals left
apply clarify
apply (conjI-tac)
apply (disjE-tac)
apply simp-all
apply clarify
apply (erule less-SucE)
back
apply force
apply simp
apply (rotate-tac -1)
apply (force simp add:Blacks-def)
— 2 subgoals left
apply force
— 1 subgoal left
apply clarify
apply (drule-tac x = ind x in le-imp-less-or-eq)
apply (simp-all add:Blacks-def)
done

```

Appending garbage nodes to the free list

axiomatization *Append-to-free* :: *nat* × *edges* ⇒ *edges*
where

Append-to-free0: *length* (*Append-to-free* (i, e)) = *length e* **and**
Append-to-free1: *Proper-Edges* (m, e)

```

 $\implies \text{Proper-Edges } (m, \text{Append-to-free}(i, e)) \text{ and}$ 
 $\text{Append-to-free2: } i \notin \text{Reach } e$ 
 $\implies n \in \text{Reach } (\text{Append-to-free}(i, e)) = (n = i \vee n \in \text{Reach } e)$ 

definition Mul-AppendInv :: mul-gar-coll-state  $\Rightarrow$  nat  $\Rightarrow$  bool where
Mul-AppendInv  $\equiv$   $\ll \lambda \text{ind}. (\forall i. \text{ind} \leq i \rightarrow i < \text{length } 'M \rightarrow i \in \text{Reach } 'E \rightarrow$ 
 $'M!i=\text{Black}) \gg$ 

definition Mul-Append :: nat  $\Rightarrow$  mul-gar-coll-state ann-com where
Mul-Append  $n \equiv$ 
 $\{\text{'Mul-Proper } n \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'Safe}\}$ 
 $\text{'ind} := 0;;$ 
 $\{\text{'Mul-Proper } n \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge \text{'Safe} \wedge \text{'ind} = 0\}$ 
 $\text{WHILE } \text{'ind} < \text{length } 'M$ 
 $\text{INV } \{\text{'Mul-Proper } n \wedge \text{'Mul-AppendInv } \text{'ind} \wedge \text{'ind} \leq \text{length } 'M\}$ 
 $\text{DO } \{\text{'Mul-Proper } n \wedge \text{'Mul-AppendInv } \text{'ind} \wedge \text{'ind} < \text{length } 'M\}$ 
 $\text{IF } 'M!\text{ind}=\text{Black} \text{ THEN}$ 
 $\{\text{'Mul-Proper } n \wedge \text{'Mul-AppendInv } \text{'ind} \wedge \text{'ind} < \text{length } 'M \wedge 'M!\text{ind}=\text{Black}\}$ 
 $'M := 'M[\text{'ind} := \text{White}]$ 
 $\text{ELSE}$ 
 $\{\text{'Mul-Proper } n \wedge \text{'Mul-AppendInv } \text{'ind} \wedge \text{'ind} < \text{length } 'M \wedge \text{'ind} \notin \text{Reach}$ 
 $'E\}$ 
 $'E := \text{Append-to-free}(\text{'ind}, 'E)$ 
 $\text{FI};;$ 
 $\{\text{'Mul-Proper } n \wedge \text{'Mul-AppendInv } (\text{'ind} + 1) \wedge \text{'ind} < \text{length } 'M\}$ 
 $\text{'ind} := \text{'ind} + 1$ 
 $\text{OD}$ 

lemma Mul-Append:
 $\vdash \text{Mul-Append } n$ 
 $\{\text{'Mul-Proper } n\}$ 
apply(unfold Mul-Append-def)
apply annhoare
apply(simp-all add: mul-collector-defs Mul-AppendInv-def
 $\text{Graph6 Graph7 Graph8 Append-to-free0 Append-to-free1 Graph12}$ )
apply(force simp add: Blacks-def)
apply(force simp add: Blacks-def)
apply(force simp add: Blacks-def)
apply(force simp add: Graph-defs)
apply force
apply(force simp add: Append-to-free1 Append-to-free2)
apply force
apply force
done

```

Collector

```

definition Mul-Collector :: nat  $\Rightarrow$  mul-gar-coll-state ann-com where
Mul-Collector  $n \equiv$ 

```

```

{ `Mul-Proper n}
WHILE True INV { `Mul-Proper n}
DO
Mul-Blacken-Roots n;;
{ `Mul-Proper n ∧ Roots ⊆ Blacks 'M}
`obc:={};;
{ `Mul-Proper n ∧ Roots ⊆ Blacks 'M ∧ `obc={} }
`bc:=Roots;;
{ `Mul-Proper n ∧ Roots ⊆ Blacks 'M ∧ `obc={} ∧ `bc=Roots }
`l:=0;;
{ `Mul-Proper n ∧ Roots ⊆ Blacks 'M ∧ `obc={} ∧ `bc=Roots ∧ `l=0 }
WHILE `l < n+1
INV { `Mul-Proper n ∧ Roots ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M ∧
(`Safe ∨ (`l ≤ Queue ∨ `bc ⊂ Blacks 'M) ∧ `l < n+1)}
DO { `Mul-Proper n ∧ Roots ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
∧ (`Safe ∨ `l ≤ Queue ∨ `bc ⊂ Blacks 'M)}
`obc:=`bc;;
Mul-Propagate-Black n;;
{ `Mul-Proper n ∧ Roots ⊆ Blacks 'M
∧ `obc ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
∧ (`Safe ∨ `obc ⊂ Blacks 'M ∨ `l < Queue
∧ (`l ≤ Queue ∨ `obc ⊂ Blacks 'M)) }
`bc:={};;
{ `Mul-Proper n ∧ Roots ⊆ Blacks 'M
∧ `obc ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
∧ (`Safe ∨ `obc ⊂ Blacks 'M ∨ `l < Queue
∧ (`l ≤ Queue ∨ `obc ⊂ Blacks 'M)) ∧ `bc={} }
⟨ `Ma:=`M,, `q:=`Queue ⟩;;
Mul-Count n;;
{ `Mul-Proper n ∧ Roots ⊆ Blacks 'M
∧ `obc ⊆ Blacks 'Ma ∧ Blacks 'Ma ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
∧ length 'Ma = length 'M ∧ Blacks 'Ma ⊆ `bc
∧ (`Safe ∨ `obc ⊂ Blacks 'Ma ∨ `l < q ∧ ( `q ≤ Queue ∨ `obc ⊂ Blacks 'M))
∧ `q < n+1 }
IF `obc = `bc THEN
{ `Mul-Proper n ∧ Roots ⊆ Blacks 'M
∧ `obc ⊆ Blacks 'Ma ∧ Blacks 'Ma ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
∧ length 'Ma = length 'M ∧ Blacks 'Ma ⊆ `bc
∧ (`Safe ∨ `obc ⊂ Blacks 'Ma ∨ `l < q ∧ ( `q ≤ Queue ∨ `obc ⊂ Blacks 'M))
∧ `q < n+1 ∧ `obc = `bc }
`l := `l + 1
ELSE { `Mul-Proper n ∧ Roots ⊆ Blacks 'M
∧ `obc ⊆ Blacks 'Ma ∧ Blacks 'Ma ⊆ Blacks 'M ∧ `bc ⊆ Blacks 'M
∧ length 'Ma = length 'M ∧ Blacks 'Ma ⊆ `bc
∧ (`Safe ∨ `obc ⊂ Blacks 'Ma ∨ `l < q ∧ ( `q ≤ Queue ∨ `obc ⊂ Blacks 'M))
∧ `q < n+1 ∧ `obc ≠ `bc }
`l := 0 FI
OD;;

```

Mul-Append n
OD

```
lemmas mul-modules = Mul-Redirect-Edge-def Mul-Color-Target-def
Mul-Blacken-Roots-def Mul-Propagate-Black-def
Mul-Count-def Mul-Append-def
```

```
lemma Mul-Collector:
  ⊢ Mul-Collector n
  {False}
apply(unfold Mul-Collector-def)
apply annhoare
apply(simp-all only:pre.simps Mul-Blacken-Roots
      Mul-Propagate-Black Mul-Count Mul-Append)
apply(simp-all add:mul-modules)
apply(simp-all add:mul-collector-defs Queue-def)
apply force
apply force
apply force
apply (force simp add: less-Suc-eq-le)
apply force
apply (force dest:subset-antisym)
apply force
apply force
apply force
done
```

2.3.3 Interference Freedom

```
lemma le-length-filter-update[rule-format]:
  ∀ i. (¬P (list!i) ∨ P j) ∧ i < length list
  → length(filter P list) ≤ length(filter P (list[i:=j]))
apply(induct-tac list)
apply(simp)
apply(clarify)
apply(case-tac i)
apply(simp)
apply(simp)
done
```

```
lemma less-length-filter-update [rule-format]:
  ∀ i. P j ∧ ¬(P (list!i)) ∧ i < length list
  → length(filter P list) < length(filter P (list[i:=j]))
apply(induct-tac list)
apply(simp)
apply(clarify)
apply(case-tac i)
apply(simp)
apply(simp)
```

done

```
lemma Mul-interfree-Blacken-Roots-Redirect-Edge:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
  interfree-aux (Some(Mul-Blacken-Roots n),{},Some(Mul-Redirect-Edge j n))
apply (unfold mul-modules)
apply interfree-aux
apply safe
apply(simp-all add:Graph6 Graph9 Graph12 nth-list-update mul-mutator-defs mul-collector-defs)
done

lemma Mul-interfree-Redirect-Edge-Blacken-Roots:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
  interfree-aux (Some(Mul-Redirect-Edge j n ),{},Some (Mul-Blacken-Roots n))
apply (unfold mul-modules)
apply interfree-aux
apply safe
apply(simp-all add:mul-mutator-defs nth-list-update)
done

lemma Mul-interfree-Blacken-Roots-Color-Target:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
  interfree-aux (Some(Mul-Blacken-Roots n),{},Some (Mul-Color-Target j n ))
apply (unfold mul-modules)
apply interfree-aux
apply safe
apply(simp-all add:mul-mutator-defs mul-collector-defs nth-list-update Graph7 Graph8
Graph9 Graph12)
done

lemma Mul-interfree-Color-Target-Blacken-Roots:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
  interfree-aux (Some(Mul-Color-Target j n ),{},Some (Mul-Blacken-Roots n ))
apply (unfold mul-modules)
apply interfree-aux
apply safe
apply(simp-all add:mul-mutator-defs nth-list-update)
done

lemma Mul-interfree-Propagate-Black-Redirect-Edge:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
  interfree-aux (Some(Mul-Propagate-Black n),{},Some (Mul-Redirect-Edge j n ))
apply (unfold mul-modules)
apply interfree-aux
apply(simp-all add:mul-mutator-defs mul-collector-defs Mul-PBInv-def nth-list-update
Graph6)
— 7 subgoals left
apply clarify
apply(disjE-tac)
apply(simp-all add:Graph6)
apply(rule impI,rule disjI1,rule subset-trans,erule Graph3,simp,simp)
apply(rule conjI)
apply(rule impI,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
```

```

apply(rule impI,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def less-Suc-eq-le
le-length-filter-update)
— 6 subgoals left
apply clarify
apply(disjE-tac)
apply(simp-all add:Graph6)
apply(rule impI,rule disjI1,rule subset-trans,erule Graph3,simp,simp)
apply(rule conjI)
apply(rule impI,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(rule impI,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def less-Suc-eq-le
le-length-filter-update)
— 5 subgoals left
apply clarify
apply(disjE-tac)
apply(simp-all add:Graph6)
apply(rule impI,rule disjI1,rule subset-trans,erule Graph3,simp,simp)
apply(rule conjI)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule less-le-trans,force simp
add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule less-le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(erule conjE)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(rule conjI)
apply(rule impI,(rule disjI2)+,rule conjI)
apply clarify
apply(case-tac R (Muts x! j)=i)
apply (force simp add: nth-list-update BtoW-def)
apply (force simp add: nth-list-update)
apply(erule le-trans,force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(rule impI,(rule disjI2)+, erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(rule conjI)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1, erule le-less-trans)
apply(force simp add:Queue-def less-Suc-eq-le less-length-filter-update)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1, erule le-less-trans)
apply(force simp add:Queue-def less-Suc-eq-le less-length-filter-update)
— 4 subgoals left
apply clarify
apply(disjE-tac)
apply(simp-all add:Graph6)
apply(rule impI,rule disjI1,rule subset-trans,erule Graph3,simp,simp)
apply(rule conjI)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule less-le-trans,force simp
add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule less-le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(erule conjE)

```

```

apply(case-tac M x!(T (Muts x!j))=Black)
  apply(rule conjI)
    apply(rule impI,(rule disjI2)+,rule conjI)
      apply clarify
      apply(case-tac R (Muts x! j)=i)
        apply (force simp add: nth-list-update BtoW-def)
        apply (force simp add: nth-list-update)
        apply(erule le-trans,force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
        apply(rule impI,(rule disjI2)+, erule le-trans)
        apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
        apply(rule conjI)
          apply(rule impI,rule disjI2,rule disjI2,rule disjI1 , erule le-less-trans)
          apply(force simp add:Queue-def less-Suc-eq-le less-length-filter-update)
          apply(rule impI,rule disjI2,rule disjI2,rule disjI1 , erule le-less-trans)
          apply(force simp add:Queue-def less-Suc-eq-le less-length-filter-update)
— 3 subgoals left
  apply clarify
  apply(disjE-tac)
    apply(simp-all add:Graph6)
    apply (rule impI)
    apply(rule conjI)
      apply(rule disjI1,rule subset-trans,erule Graph3,simp,simp)
      apply(case-tac R (Muts x ! j)= ind x)
        apply(simp add:nth-list-update)
        apply(simp add:nth-list-update)
      apply(case-tac R (Muts x ! j)= ind x)
        apply(simp add:nth-list-update)
        apply(simp add:nth-list-update)
      apply(case-tac M x!(T (Muts x!j))=Black)
        apply(rule conjI)
          apply(rule impI)
          apply(rule conjI)
            apply(rule disjI2,rule disjI2,rule disjI1 , erule less-le-trans)
            apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
            apply(case-tac R (Muts x ! j)= ind x)
              apply(simp add:nth-list-update)
              apply(simp add:nth-list-update)
            apply(rule impI)
            apply(rule disjI2,rule disjI2,rule disjI1 , erule less-le-trans)
            apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
            apply(rule conjI)
              apply(rule impI)
              apply(rule conjI)
                apply(rule disjI2,rule disjI2,rule disjI1 , erule less-le-trans)
                apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
                apply(case-tac R (Muts x ! j)= ind x)
                  apply(simp add:nth-list-update)
                  apply(simp add:nth-list-update)
                apply(rule impI)

```

```

apply(rule disjI2,rule disjI2,rule disjI1, erule less-le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(erule conjE)
apply(rule conjI)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(rule impI,rule conjI,(rule disjI2)+,rule conjI)
apply clarify
apply(case-tac R (Muts x! j)=i)
  apply (force simp add: nth-list-update BtoW-def)
  apply (force simp add: nth-list-update)
apply(erule le-trans,force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(case-tac R (Muts x ! j)= ind x)
  apply(simp add:nth-list-update)
  apply(simp add:nth-list-update)
apply(rule impI,rule conjI)
apply(rule disjI2,rule disjI2,rule disjI1, erule le-less-trans)
apply(force simp add:Queue-def less-Suc-eq-le less-length-filter-update)
apply(case-tac R (Muts x! j)=ind x)
  apply (force simp add: nth-list-update)
  apply (force simp add: nth-list-update)
apply(rule impI, (rule disjI2)+, erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
— 2 subgoals left
apply clarify
apply(rule conjI)
apply(disjE-tac)
apply(simp-all add:Mul-Auxk-def Graph6)
apply (rule impI)
apply(rule conjI)
apply(rule disjI1,rule subset-trans,erule Graph3,simp,simp)
apply(case-tac R (Muts x ! j)= ind x)
  apply(simp add:nth-list-update)
  apply(simp add:nth-list-update)
apply(case-tac R (Muts x ! j)= ind x)
  apply(simp add:nth-list-update)
  apply(simp add:nth-list-update)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(rule impI)
apply(rule conjI)
apply(rule disjI2,rule disjI2,rule disjI1, erule less-le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(case-tac R (Muts x ! j)= ind x)
  apply(simp add:nth-list-update)
  apply(simp add:nth-list-update)
apply(rule impI)
apply(rule conjI)
apply(rule disjI2,rule disjI2,rule disjI1, erule less-le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(case-tac R (Muts x ! j)= ind x)

```

```

apply(simp add:nth-list-update)
apply(simp add:nth-list-update)
apply(rule impI)
apply(rule conjI)
apply(erule conjE)+
apply(case-tac M x!(T (Muts x!j))=Black)
apply((rule disjI2)+,rule conjI)
apply clarify
apply(case-tac R (Muts x! j)=i)
apply (force simp add: nth-list-update BtoW-def)
apply (force simp add: nth-list-update)
apply(rule conjI)
apply(erule le-trans,force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(rule impI)
apply(case-tac R (Muts x ! j)= ind x)
apply(simp add:nth-list-update BtoW-def)
apply (simp add:nth-list-update)
apply(rule impI)
apply simp
apply(disjE-tac)
apply(rule disjI1, erule less-le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply force
apply(rule disjI2,rule disjI2,rule disjI1 , erule le-less-trans)
apply(force simp add:Queue-def less-Suc-eq-le less-length-filter-update)
apply(case-tac R (Muts x ! j)= ind x)
apply(simp add:nth-list-update)
apply(simp add:nth-list-update)
apply(disjE-tac)
apply simp-all
apply(conjI-tac)
apply(rule impI)
apply(rule disjI2,rule disjI2,rule disjI1 , erule less-le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(erule conjE)+
apply(rule impI,(rule disjI2)+,rule conjI)
apply(erule le-trans,force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(rule impI)+
apply simp
apply(disjE-tac)
apply(rule disjI1 , erule less-le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply force
— 1 subgoal left
apply clarify
apply(disjE-tac)
apply(simp-all add:Graph6)
apply(rule impI,rule disjI1,rule subset-trans,erule Graph3,simp,simp)
apply(rule conjI)

```

```

apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule less-le-trans,force simp
add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule less-le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(erule conjE)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(rule conjI)
apply(rule impI,(rule disjI2)+,rule conjI)
apply clarify
apply(case-tac R (Muts x! j)=i)
apply (force simp add: nth-list-update BtoW-def)
apply (force simp add: nth-list-update)
apply(erule le-trans,force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(rule impI,(rule disjI2)+, erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(rule conjI)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1, erule le-less-trans)
apply(force simp add:Queue-def less-Suc-eq-le less-length-filter-update)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1, erule le-less-trans)
apply(force simp add:Queue-def less-Suc-eq-le less-length-filter-update)
done

lemma Mul-interfree-Redirect-Edge-Propagate-Black:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
interfree-aux (Some(Mul-Redirect-Edge j n ),{},Some (Mul-Propagate-Black n))
apply (unfold mul-modules)
apply interfree-aux
apply safe
apply(simp-all add:mul-mutator-defs nth-list-update)
done

lemma Mul-interfree-Propagate-Black-Color-Target:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
interfree-aux (Some(Mul-Propagate-Black n),{},Some (Mul-Color-Target j n ))
apply (unfold mul-modules)
apply interfree-aux
apply(simp-all add: mul-collector-defs mul-mutator-defs)
— 7 subgoals left
apply clarify
apply (simp add:Graph7 Graph8 Graph12)
apply(disjE-tac)
apply(simp add:Graph7 Graph8 Graph12)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(rule disjI2,rule disjI1, erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply((rule disjI2)+,erule subset-psubset-trans, erule Graph11, simp)
apply((rule disjI2)+,erule psubset-subset-trans, simp add: Graph9)
— 6 subgoals left
apply clarify
apply (simp add:Graph7 Graph8 Graph12)
apply(disjE-tac)

```

```

apply(simp add:Graph7 Graph8 Graph12)
apply(case-tac M x!(T (Muts x!j))=Black)
  apply(rule disjI2,rule disjI1, erule le-trans)
  apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
  apply((rule disjI2)+,erule subset-psubset-trans, erule Graph11, simp)
  apply((rule disjI2)+,erule psubset-subset-trans, simp add: Graph9)
— 5 subgoals left
apply clarify
apply (simp add:mul-collector-defs Mul-PBInv-def Graph7 Graph8 Graph12)
apply(disjE-tac)
  apply(simp add:Graph7 Graph8 Graph12)
  apply(rule disjI2,rule disjI1, erule psubset-subset-trans,simp add:Graph9)
  apply(case-tac M x!(T (Muts x!j))=Black)
    apply(rule disjI2,rule disjI2,rule disjI1, erule less-le-trans)
    apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
    apply(rule disjI2,rule disjI1,erule subset-psubset-trans, erule Graph11, simp)
    apply(erule conjE)
    apply(case-tac M x!(T (Muts x!j))=Black)
      apply((rule disjI2)+)
      apply (rule conjI)
        apply(simp add:Graph10)
        apply(erule le-trans)
        apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
        apply(rule disjI2,rule disjI1,erule subset-psubset-trans, erule Graph11, simp)
— 4 subgoals left
apply clarify
apply (simp add:mul-collector-defs Mul-PBInv-def Graph7 Graph8 Graph12)
apply(disjE-tac)
  apply(simp add:Graph7 Graph8 Graph12)
  apply(rule disjI2,rule disjI1, erule psubset-subset-trans,simp add:Graph9)
  apply(case-tac M x!(T (Muts x!j))=Black)
    apply(rule disjI2,rule disjI2,rule disjI1, erule less-le-trans)
    apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
    apply(rule disjI2,rule disjI1,erule subset-psubset-trans, erule Graph11, simp)
    apply(erule conjE)
    apply(case-tac M x!(T (Muts x!j))=Black)
      apply((rule disjI2)+)
      apply (rule conjI)
        apply(simp add:Graph10)
        apply(erule le-trans)
        apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
        apply(rule disjI2,rule disjI1,erule subset-psubset-trans, erule Graph11, simp)
— 3 subgoals left
apply clarify
apply (simp add:mul-collector-defs Mul-PBInv-def Graph7 Graph8 Graph12)
apply(case-tac M x!(T (Muts x!j))=Black)
  apply(simp add:Graph10)
  apply(disjE-tac)
    apply simp-all

```

```

apply(rule disjI2, rule disjI2, rule disjI1,erule less-le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply(erule conjE)
apply((rule disjI2)+,erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply(rule conjI)
apply(rule disjI2,rule disjI1, erule subset-psubset-trans,simp add:Graph11)
apply (force simp add:nth-list-update)
— 2 subgoals left
apply clarify
apply(simp add:Mul-Auxk-def Graph7 Graph8 Graph12)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(simp add:Graph10)
apply(disjE-tac)
apply simp-all
apply(rule disjI2, rule disjI2, rule disjI1,erule less-le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply(erule conjE) +
apply((rule disjI2)+,rule conjI, erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply((rule impI)+)
apply simp
apply(erule disjE)
apply(rule disjI1, erule less-le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply force
apply(rule conjI)
apply(rule disjI2,rule disjI1, erule subset-psubset-trans,simp add:Graph11)
apply (force simp add:nth-list-update)
— 1 subgoal left
apply clarify
apply (simp add:mul-collector-defs Mul-PBInv-def Graph7 Graph8 Graph12)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(simp add:Graph10)
apply(disjE-tac)
apply simp-all
apply(rule disjI2, rule disjI2, rule disjI1,erule less-le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply(erule conjE)
apply((rule disjI2)+,erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply(rule disjI2,rule disjI1, erule subset-psubset-trans,simp add:Graph11)
done

lemma Mul-interfree-Color-Target-Propagate-Black:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
  interfree-aux (Some(Mul-Color-Target j n),{},Some(Mul-Propagate-Black n ))
apply (unfold mul-modules)
apply interfree-aux
apply safe

```

```

apply(simp-all add:mul-mutator-defs nth-list-update)
done

lemma Mul-interfree-Count-Redirect-Edge:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
  interfree-aux (Some(Mul-Count n), {}, Some(Mul-Redirect-Edge j n))
apply (unfold mul-modules)
apply interfree-aux
— 9 subgoals left
apply(simp add:mul-mutator-defs mul-collector-defs Mul-CountInv-def Graph6)
apply clarify
apply disjE-tac
  apply(simp add:Graph6)
  apply(rule impI, rule disjI1, rule subset-trans, erule Graph3, simp, simp)
apply(simp add:Graph6)
apply clarify
apply disjE-tac
  apply(simp add:Graph6)
  apply(rule conjI)
    apply(rule impI, rule disjI2, rule disjI2, rule disjI1, erule le-trans, force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
    apply(rule impI, rule disjI2, rule disjI2, rule disjI1, erule le-trans, force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(simp add:Graph6)
— 8 subgoals left
apply(simp add:mul-mutator-defs nth-list-update)
— 7 subgoals left
apply(simp add:mul-mutator-defs mul-collector-defs)
apply clarify
apply disjE-tac
  apply(simp add:Graph6)
  apply(rule impI, rule disjI1, rule subset-trans, erule Graph3, simp, simp)
apply(simp add:Graph6)
apply clarify
apply disjE-tac
  apply(simp add:Graph6)
  apply(rule conjI)
    apply(rule impI, rule disjI2, rule disjI2, rule disjI1, erule le-trans, force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
    apply(rule impI, rule disjI2, rule disjI2, rule disjI1, erule le-trans, force simp add:Queue-def less-Suc-eq-le le-length-filter-update)
apply(simp add:Graph6)
— 6 subgoals left
apply(simp add:mul-mutator-defs mul-collector-defs Mul-CountInv-def)
apply clarify
apply disjE-tac
  apply(simp add:Graph6 Queue-def)
  apply(rule impI, rule disjI1, rule subset-trans, erule Graph3, simp, simp)
apply(simp add:Graph6)
apply clarify

```

```

apply disjE-tac
apply(simp add:Graph6)
apply(rule conjI)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(simp add:Graph6)
— 5 subgoals left
apply(simp add:mul-mutator-defs mul-collector-defs Mul-CountInv-def)
apply clarify
apply disjE-tac
apply(simp add:Graph6)
apply(rule conjI)
apply(rule impI,rule disjI1,rule subset-trans,erule Graph3,simp,simp)
apply(simp add:Graph6)
apply clarify
apply disjE-tac
apply(simp add:Graph6)
apply(rule conjI)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(simp add:Graph6)
— 4 subgoals left
apply(simp add:mul-mutator-defs mul-collector-defs Mul-CountInv-def)
apply clarify
apply disjE-tac
apply(simp add:Graph6)
apply(rule conjI)
apply(rule impI,rule disjI1,rule subset-trans,erule Graph3,simp,simp)
apply(simp add:Graph6)
apply clarify
apply disjE-tac
apply(simp add:Graph6)
apply(rule conjI)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(simp add:Graph6)
— 3 subgoals left
apply(simp add:mul-mutator-defs nth-list-update)
— 2 subgoals left
apply(simp add:mul-mutator-defs mul-collector-defs Mul-CountInv-def)
apply clarify
apply disjE-tac
apply(simp add:Graph6)
apply(rule impI,rule disjI1,rule subset-trans,erule Graph3,simp,simp)
apply(simp add:Graph6)

```

```

apply clarify
apply disjE-tac
apply(simp add:Graph6)
apply(rule conjI)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(rule impI,rule disjI2,rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update)
apply(simp add:Graph6)
— 1 subgoal left
apply(simp add:mul-mutator-defs nth-list-update)
done

lemma Mul-interfree-Redirect-Edge-Count:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
interfree-aux (Some(Mul-Redirect-Edge j n),{},Some(Mul-Count n ))
apply (unfold mul-modules)
apply interfree-aux
apply safe
apply(simp-all add:mul-mutator-defs nth-list-update)
done

lemma Mul-interfree-Count-Color-Target:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
interfree-aux (Some(Mul-Count n ),{},Some(Mul-Color-Target j n ))
apply (unfold mul-modules)
apply interfree-aux
apply(simp-all add:mul-collector-defs mul-mutator-defs Mul-CountInv-def)
— 6 subgoals left
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12)
apply (simp add: Graph7 Graph8 Graph12)
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(rule disjI2,rule disjI2, rule disjI1, erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply((rule disjI2)+,(erule subset-psubset-trans)+, simp add: Graph11)
apply (simp add: Graph7 Graph8 Graph12)
apply((rule disjI2)+,erule psubset-subset-trans, simp add: Graph9)
— 5 subgoals left
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12)
apply (simp add: Graph7 Graph8 Graph12)
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12)
apply(case-tac M x!(T (Muts x!j))=Black)

```

```

apply(rule disjI2,rule disjI2, rule disjI1, erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply((rule disjI2)+,(erule subset-psubset-trans)+, simp add: Graph11)
apply (simp add: Graph7 Graph8 Graph12)
apply((rule disjI2)+,erule psubset-subset-trans, simp add: Graph9)
— 4 subgoals left
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12)
apply (simp add: Graph7 Graph8 Graph12)
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(rule disjI2,rule disjI2, rule disjI1, erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply((rule disjI2)+,(erule subset-psubset-trans)+, simp add: Graph11)
apply (simp add: Graph7 Graph8 Graph12)
apply((rule disjI2)+,erule psubset-subset-trans, simp add: Graph9)
— 3 subgoals left
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12)
apply (simp add: Graph7 Graph8 Graph12)
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(rule disjI2,rule disjI2, rule disjI1, erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply((rule disjI2)+,(erule subset-psubset-trans)+, simp add: Graph11)
apply (simp add: Graph7 Graph8 Graph12)
apply((rule disjI2)+,erule psubset-subset-trans, simp add: Graph9)
— 2 subgoals left
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12 nth-list-update)
apply (simp add: Graph7 Graph8 Graph12 nth-list-update)
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12)
apply(rule conjI)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(rule disjI2,rule disjI2, rule disjI1, erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply((rule disjI2)+,(erule subset-psubset-trans)+, simp add: Graph11)
apply (simp add: nth-list-update)
apply (simp add: Graph7 Graph8 Graph12)
apply(rule conjI)

```

```

apply((rule disjI2)+,erule psubset-subset-trans, simp add: Graph9)
apply (simp add: nth-list-update)
— 1 subgoal left
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12)
apply (simp add: Graph7 Graph8 Graph12)
apply clarify
apply disjE-tac
apply (simp add: Graph7 Graph8 Graph12)
apply(case-tac M x!(T (Muts x!j))=Black)
apply(rule disjI2,rule disjI2, rule disjI1, erule le-trans)
apply(force simp add:Queue-def less-Suc-eq-le le-length-filter-update Graph10)
apply((rule disjI2)+,(erule subset-psubset-trans)+, simp add: Graph11)
apply (simp add: Graph7 Graph8 Graph12)
apply((rule disjI2)+,erule psubset-subset-trans, simp add: Graph9)
done

lemma Mul-interfree-Color-Target-Count:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
  interfree-aux (Some(Mul-Color-Target j n),{}, Some(Mul-Count n ))
apply (unfold mul-modules)
apply interfree-aux
apply safe
apply(simp-all add:mul-mutator-defs nth-list-update)
done

lemma Mul-interfree-Append-Redirect-Edge:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
  interfree-aux (Some(Mul-Append n),{}, Some(Mul-Redirect-Edge j n))
apply (unfold mul-modules)
apply interfree-aux
apply(tactic ‹ALLGOALS (clarify-tac context)›)
apply(simp-all add:Graph6 Append-to-free0 Append-to-free1 mul-collector-defs mul-mutator-defs
  Mul-AppendInv-def)
apply(erule-tac x=j in allE, force dest:Graph3)+
done

lemma Mul-interfree-Redirect-Edge-Append:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
  interfree-aux (Some(Mul-Redirect-Edge j n),{}, Some(Mul-Append n))
apply (unfold mul-modules)
apply interfree-aux
apply(tactic ‹ALLGOALS (clarify-tac context)›)
apply(simp-all add:mul-collector-defs Append-to-free0 Mul-AppendInv-def mul-mutator-defs
  nth-list-update)
done

lemma Mul-interfree-Append-Color-Target:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
  interfree-aux (Some(Mul-Append n),{}, Some(Mul-Color-Target j n))
apply (unfold mul-modules)
apply interfree-aux

```

```

apply(tactic <ALLGOALS (clarify-tac context)>)
apply(simp-all add:mul-mutator-defs mul-collector-defs Mul-AppendInv-def Graph7
Graph8 Append-to-free0 Append-to-free1
Graph12 nth-list-update)
done

lemma Mul-interfree-Color-Target-Append:  $\llbracket 0 \leq j; j < n \rrbracket \implies$ 
interfree-aux (Some(Mul-Color-Target j n), {}, Some(Mul-Append n))
apply (unfold mul-modules)
apply interfree-aux
apply(tactic <ALLGOALS (clarify-tac context)>)
apply(simp-all add: mul-mutator-defs nth-list-update)
apply(simp add:Mul-AppendInv-def Append-to-free0)
done

```

Interference freedom Collector-Mutator

```

lemmas mul-collector-mutator-interfree =
Mul-interfree-Blacken-Roots-Redirect-Edge Mul-interfree-Blacken-Roots-Color-Target
Mul-interfree-Propagate-Black-Redirect-Edge Mul-interfree-Propagate-Black-Color-Target
Mul-interfree-Count-Redirect-Edge Mul-interfree-Count-Color-Target
Mul-interfree-Append-Redirect-Edge Mul-interfree-Append-Color-Target
Mul-interfree-Redirect-Edge-Blacken-Roots Mul-interfree-Color-Target-Blacken-Roots
Mul-interfree-Redirect-Edge-Propagate-Black Mul-interfree-Color-Target-Propagate-Black
Mul-interfree-Redirect-Edge-Count Mul-interfree-Color-Target-Count
Mul-interfree-Redirect-Edge-Append Mul-interfree-Color-Target-Append

lemma Mul-interfree-Collector-Mutator:  $j < n \implies$ 
interfree-aux (Some (Mul-Collector n), {}, Some (Mul-Mutator j n))
apply(unfold Mul-Collector-def Mul-Mutator-def)
apply interfree-aux
apply(simp-all add:mul-collector-mutator-interfree)
apply(unfold mul-modules mul-collector-defs mul-mutator-defs)
apply(tactic <TRYALL (interfree-aux-tac context)>)
— 42 subgoals left
apply (clarify,simp add:Graph6 Graph7 Graph8 Append-to-free0 Append-to-free1
Graph12)+
— 24 subgoals left
apply(simp-all add:Graph6 Graph7 Graph8 Append-to-free0 Append-to-free1 Graph12)
— 14 subgoals left
apply(tactic <TRYALL (clarify-tac context)>)
apply(simp-all add:Graph6 Graph7 Graph8 Append-to-free0 Append-to-free1 Graph12)
apply(tactic <TRYALL (resolve-tac context [conjI])>)
apply(tactic <TRYALL (resolve-tac context [impI])>)
apply(tactic <TRYALL (eresolve-tac context [disjE])>)
apply(tactic <TRYALL (eresolve-tac context [conjE])>)
apply(tactic <TRYALL (eresolve-tac context [disjE])>)
apply(tactic <TRYALL (eresolve-tac context [disjE])>)
— 72 subgoals left

```

```

apply(simp-all add:Graph6 Graph7 Graph8 Append-to-free0 Append-to-free1 Graph12)
— 35 subgoals left
apply(tactic `TRYALL(EVERY'[resolve-tac context [disjI1],
  resolve-tac context [subset-trans],
  eresolve-tac context @{thms Graph3},
  force-tac context,
  assume-tac context])))
— 28 subgoals left
apply(tactic `TRYALL (eresolve-tac context [conjE])))
apply(tactic `TRYALL (eresolve-tac context [disjE])))
— 34 subgoals left
apply(rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def less-Suc-eq-le
le-length-filter-update)
apply(rule disjI2,rule disjI1,erule le-trans,force simp add:Queue-def less-Suc-eq-le
le-length-filter-update)
apply(case-tac [] M x!(T (Muts x ! j))=Black)
apply(simp-all add:Graph10)
— 47 subgoals left
apply(tactic `TRYALL(EVERY'[REPEAT o resolve-tac context [disjI2],
  eresolve-tac context @{thms subset-psubset-trans},
  eresolve-tac context @{thms Graph11},
  force-tac context])))
— 41 subgoals left
apply(tactic `TRYALL(EVERY'[resolve-tac context [disjI2],
  resolve-tac context [disjI1],
  eresolve-tac context @{thms le-trans},
  force-tac (context addsimps @{thms Queue-def less-Suc-eq-le le-length-filter-update}))])
— 35 subgoals left
apply(tactic `TRYALL(EVERY'[resolve-tac context [disjI2],
  resolve-tac context [disjI1],
  eresolve-tac context @{thms psubset-subset-trans},
  resolve-tac context @{thms Graph9},
  force-tac context])))
— 31 subgoals left
apply(tactic `TRYALL(EVERY'[resolve-tac context [disjI2],
  resolve-tac context [disjI1],
  eresolve-tac context @{thms subset-psubset-trans},
  eresolve-tac context @{thms Graph11},
  force-tac context])))
— 29 subgoals left
apply(tactic `TRYALL(EVERY'[REPEAT o resolve-tac context [disjI2],
  eresolve-tac context @{thms subset-psubset-trans},
  eresolve-tac context @{thms subset-psubset-trans},
  eresolve-tac context @{thms Graph11},
  force-tac context])))
— 25 subgoals left
apply(tactic `TRYALL(EVERY'[resolve-tac context [disjI2],
  resolve-tac context [disjI2],
  resolve-tac context [disjI1],

```

```

eresolve-tac context @{thms le-trans},
force-tac (context addsimps @{thms Queue-def less-Suc-eq-le le-length-filter-update}])))
— 10 subgoals left
apply(rule disjI2,rule disjI2,rule conjI,erule less-le-trans,force simp add:Queue-def
less-Suc-eq-le le-length-filter-update, rule disjI1, rule less-imp-le, erule less-le-trans,
force simp add:Queue-def less-Suc-eq-le le-length-filter-update)+
done

```

Interference freedom Mutator-Collector

```

lemma Mul-interfree-Mutator-Collector:  $j < n \implies$ 
interfree-aux (Some (Mul-Mutator  $j\ n$ ), {}, Some (Mul-Collector  $n$ ))
apply(unfold Mul-Collector-def Mul-Mutator-def)
apply interfree-aux
apply(simp-all add:mul-collector-mutator-interfree)
apply(unfold mul-modules mul-collector-defs mul-mutator-defs)
apply(tactic <TRYALL (interfree-aux-tac context)>)
— 76 subgoals left
apply (clarsimp simp add: nth-list-update)+
— 56 subgoals left
apply (clarsimp simp add: Mul-AppendInv-def Append-to-free0 nth-list-update)+
done

```

The Multi-Mutator Garbage Collection Algorithm

The total number of verification conditions is 328

```

lemma Mul-Gar-Coll:
||- {`Mul-Proper  $n$  \wedge `Mul-mut-init  $n$  \wedge (\forall i < n. Z (`Muts!i))}
COBEGIN
  Mul-Collector  $n$ 
  {False}
  ||
  SCHEME [0 \leq j < n]
    Mul-Mutator  $j\ n$ 
    {False}
    COEND
    {False}
apply oghoare
— Strengthening the precondition
apply(rule Int-greatest)
apply (case-tac  $n$ )
apply(force simp add: Mul-Collector-def mul-mutator-defs mul-collector-defs nth-append)
apply(simp add: Mul-Mutator-def mul-collector-defs mul-mutator-defs nth-append)
apply force
apply clarify
apply(case-tac  $i$ )
apply(simp add:Mul-Collector-def mul-mutator-defs mul-collector-defs nth-append)
apply(simp add: Mul-Mutator-def mul-mutator-defs mul-collector-defs nth-append
nth-map-up)

```

```

— Collector
apply(rule Mul-Collector)
— Mutator
apply(erule Mul-Mutator)
— Interference freedom
apply(simp add:Mul-interfree-Collector-Mutator)
apply(simp add:Mul-interfree-Mutator-Collector)
apply(simp add:Mul-interfree-Mutator-Mutator)
— Weakening of the postcondition
apply(case-tac n)
apply(simp add:Mul-Collector-def mul-mutator-defs mul-collector-defs nth-append)
apply(simp add:Mul-Mutator-def mul-mutator-defs mul-collector-defs nth-append)
done

end

```

Chapter 3

The Rely-Guarantee Method

3.1 Abstract Syntax

```
theory RG-Com imports Main begin
```

Semantics of assertions and boolean expressions (bexp) as sets of states.
Syntax of commands *com* and parallel commands *par-com*.

```
type-synonym 'a bexp = 'a set
```

```
datatype 'a com =
  Basic 'a => 'a
  | Seq 'a com 'a com
  | Cond 'a bexp 'a com 'a com
  | While 'a bexp 'a com
  | Await 'a bexp 'a com
```

```
type-synonym 'a par-com = 'a com option list
```

```
end
```

3.2 Operational Semantics

```
theory RG-Tran
imports RG-Com
begin
```

3.2.1 Semantics of Component Programs

Environment transitions

```
type-synonym 'a conf = (('a com) option) × 'a
```

inductive-set

```
etran :: ('a conf × 'a conf) set
and etran' :: 'a conf ⇒ 'a conf ⇒ bool (- -e→ - [81,81] 80)
```

where

$$\begin{aligned} P - e \rightarrow Q &\equiv (P, Q) \in etran \\ | \ Env: (P, s) - e \rightarrow (P, t) \end{aligned}$$

lemma *etranE*: $c - e \rightarrow c' \implies (\bigwedge P s. t. c = (P, s) \implies c' = (P, t) \implies Q) \implies Q$
by (*induct c*, *induct c'*, *erule etran.cases*, *blast*)

Component transitions

inductive-set

$$\begin{aligned} ctran :: ('a conf \times 'a conf) set \\ \text{and } ctran' :: 'a conf \Rightarrow 'a conf \Rightarrow bool \quad (- - c \rightarrow - [81, 81] 80) \\ \text{and } ctrans :: 'a conf \Rightarrow 'a conf \Rightarrow bool \quad (- - c* \rightarrow - [81, 81] 80) \end{aligned}$$

where

$$\begin{aligned} P - c \rightarrow Q &\equiv (P, Q) \in ctran \\ | \ P - c* \rightarrow Q &\equiv (P, Q) \in ctrans^* \end{aligned}$$

| *Basic*: $(Some(Basic f), s) - c \rightarrow (None, f s)$

| *Seq1*: $(Some P0, s) - c \rightarrow (None, t) \implies (Some(Seq P0 P1), s) - c \rightarrow (Some P1, t)$

| *Seq2*: $(Some P0, s) - c \rightarrow (Some P2, t) \implies (Some(Seq P0 P1), s) - c \rightarrow (Some(Seq P2 P1), t)$

| *CondT*: $s \in b \implies (Some(Cond b P1 P2), s) - c \rightarrow (Some P1, s)$
| *CondF*: $s \notin b \implies (Some(Cond b P1 P2), s) - c \rightarrow (Some P2, s)$

| *WhileF*: $s \in b \implies (Some(While b P), s) - c \rightarrow (None, s)$

| *WhileT*: $s \in b \implies (Some(While b P), s) - c \rightarrow (Some(Seq P (While b P)), s)$

| *Await*: $\llbracket s \in b; (Some P, s) - c* \rightarrow (None, t) \rrbracket \implies (Some(Await b P), s) - c \rightarrow (None, t)$

monos *rtrancl-mono*

3.2.2 Semantics of Parallel Programs

type-synonym $'a par-conf = ('a par-com) \times 'a$

inductive-set

$$\begin{aligned} par-etran :: ('a par-conf \times 'a par-conf) set \\ \text{and } par-etran' :: ['a par-conf, 'a par-conf] \Rightarrow bool \quad (- - pe \rightarrow - [81, 81] 80) \end{aligned}$$

where

$$\begin{aligned} P - pe \rightarrow Q &\equiv (P, Q) \in par-etran \\ | \ ParEnv: (Ps, s) - pe \rightarrow (Ps, t) \end{aligned}$$

inductive-set

$$\begin{aligned} par-ctrans :: ('a par-conf \times 'a par-conf) set \\ \text{and } par-ctrans' :: ['a par-conf, 'a par-conf] \Rightarrow bool \quad (- - pc \rightarrow - [81, 81] 80) \end{aligned}$$

where

$$P - pc \rightarrow Q \equiv (P, Q) \in par\text{-}ctran$$

$$\mid ParComp: \llbracket i < length Ps; (Ps[i], s) - c \rightarrow (r, t) \rrbracket \implies (Ps, s) - pc \rightarrow (Ps[i:=r], t)$$

lemma $par\text{-}ctranE: c - pc \rightarrow c' \implies$
 $(\bigwedge i Ps s r t. c = (Ps, s) \implies c' = (Ps[i := r], t)) \implies i < length Ps \implies$
 $(Ps ! i, s) - c \rightarrow (r, t) \implies P \implies P$
by (induct c, induct c', erule par-ctran.cases, blast)

3.2.3 Computations

Sequential computations

type-synonym $'a confs = 'a conf list$

inductive-set $cptn :: 'a confs set$

where

$CptnOne: [(P, s)] \in cptn$
 $\mid CptnEnv: (P, t) \# xs \in cptn \implies (P, s) \# (P, t) \# xs \in cptn$
 $\mid CptnComp: \llbracket (P, s) - c \rightarrow (Q, t); (Q, t) \# xs \in cptn \rrbracket \implies (P, s) \# (Q, t) \# xs \in cptn$

definition $cp :: ('a com) option \Rightarrow 'a \Rightarrow ('a confs) set$ **where**
 $cp P s \equiv \{l. l!0=(P, s) \wedge l \in cptn\}$

Parallel computations

type-synonym $'a par-confs = 'a par-conf list$

inductive-set $par\text{-}cptn :: 'a par-confs set$

where

$ParCptnOne: [(P, s)] \in par\text{-}cptn$
 $\mid ParCptnEnv: (P, t) \# xs \in par\text{-}cptn \implies (P, s) \# (P, t) \# xs \in par\text{-}cptn$
 $\mid ParCptnComp: \llbracket (P, s) - pc \rightarrow (Q, t); (Q, t) \# xs \in par\text{-}cptn \rrbracket \implies (P, s) \# (Q, t) \# xs \in par\text{-}cptn$

definition $par\text{-}cp :: 'a par\text{-}com \Rightarrow 'a \Rightarrow ('a par-confs) set$ **where**
 $par\text{-}cp P s \equiv \{l. l!0=(P, s) \wedge l \in par\text{-}cptn\}$

3.2.4 Modular Definition of Computation

definition $lift :: 'a com \Rightarrow 'a conf \Rightarrow 'a conf$ **where**

$lift Q \equiv \lambda(P, s). (\text{if } P=\text{None} \text{ then } (\text{Some } Q, s) \text{ else } (\text{Some}(\text{Seq } (\text{the } P) Q), s))$

inductive-set $cptn\text{-}mod :: ('a confs) set$

where

$CptnModOne: [(P, s)] \in cptn\text{-}mod$
 $\mid CptnModEnv: (P, t) \# xs \in cptn\text{-}mod \implies (P, s) \# (P, t) \# xs \in cptn\text{-}mod$
 $\mid CptnModNone: \llbracket (Some P, s) - c \rightarrow (None, t); (None, t) \# xs \in cptn\text{-}mod \rrbracket \implies (Some P, s) \# (None, t) \# xs \in cptn\text{-}mod$

```

| CptnModCondT:  $\llbracket (\text{Some } P_0, s) \# ys \in \text{cptn-mod}; s \in b \rrbracket \implies (\text{Some}(\text{Cond } b P_0 P_1), s) \# (\text{Some } P_0, s) \# ys \in \text{cptn-mod}$ 
| CptnModCondF:  $\llbracket (\text{Some } P_1, s) \# ys \in \text{cptn-mod}; s \notin b \rrbracket \implies (\text{Some}(\text{Cond } b P_0 P_1), s) \# (\text{Some } P_1, s) \# ys \in \text{cptn-mod}$ 
| CptnModSeq1:  $\llbracket (\text{Some } P_0, s) \# xs \in \text{cptn-mod}; zs = \text{map } (\text{lift } P_1) xs \rrbracket$   

 $\implies (\text{Some}(\text{Seq } P_0 P_1), s) \# zs \in \text{cptn-mod}$ 
| CptnModSeq2:  

 $\llbracket (\text{Some } P_0, s) \# xs \in \text{cptn-mod}; \text{fst}(\text{last } ((\text{Some } P_0, s) \# xs)) = \text{None};$   

 $(\text{Some } P_1, \text{snd}(\text{last } ((\text{Some } P_0, s) \# xs))) \# ys \in \text{cptn-mod};$   

 $zs = (\text{map } (\text{lift } P_1) xs) @ ys \rrbracket \implies (\text{Some}(\text{Seq } P_0 P_1), s) \# zs \in \text{cptn-mod}$ 

| CptnModWhile1:  

 $\llbracket (\text{Some } P, s) \# xs \in \text{cptn-mod}; s \in b; zs = \text{map } (\text{lift } (\text{While } b P)) xs \rrbracket$   

 $\implies (\text{Some}(\text{While } b P), s) \# (\text{Some}(\text{Seq } P (\text{While } b P)), s) \# zs \in \text{cptn-mod}$ 
| CptnModWhile2:  

 $\llbracket (\text{Some } P, s) \# xs \in \text{cptn-mod}; \text{fst}(\text{last } ((\text{Some } P, s) \# xs)) = \text{None}; s \in b;$   

 $zs = (\text{map } (\text{lift } (\text{While } b P)) xs) @ ys;$   

 $(\text{Some}(\text{While } b P), \text{snd}(\text{last } ((\text{Some } P, s) \# xs))) \# ys \in \text{cptn-mod} \rrbracket$   

 $\implies (\text{Some}(\text{While } b P), s) \# (\text{Some}(\text{Seq } P (\text{While } b P)), s) \# zs \in \text{cptn-mod}$ 

```

3.2.5 Equivalence of Both Definitions.

```

lemma last-length:  $((a \# xs) ! (\text{length } xs)) = \text{last } (a \# xs)$ 
by (induct xs) auto

lemma div-seq [rule-format]:  $\text{list} \in \text{cptn-mod} \implies$ 
 $(\forall s P Q zs. \text{list} = (\text{Some } (\text{Seq } P Q), s) \# zs \longrightarrow$ 
 $(\exists xs. (\text{Some } P, s) \# xs \in \text{cptn-mod} \wedge (zs = (\text{map } (\text{lift } Q) xs) \vee$ 
 $(\text{fst}((\text{Some } P, s) \# xs) ! \text{length } xs) = \text{None} \wedge$ 
 $(\exists ys. (\text{Some } Q, \text{snd}((\text{Some } P, s) \# xs) ! \text{length } xs)) \# ys \in \text{cptn-mod}$ 
 $\wedge zs = (\text{map } (\text{lift } (Q)) xs) @ ys))))$ 
apply(erule cptn-mod.induct)
apply simp-all
apply clarify
apply(force intro:CptnModOne)
apply clarify
apply(erule-tac x=Pa in allE)
apply(erule-tac x=Q in allE)
apply simp
apply clarify
apply(erule disjE)
apply(rule-tac x=(Some Pa,t) # xsa in exI)
apply(rule conjI)
apply clarify
apply(erule CptnModEnv)
apply(rule disjI1)
apply(simp add:lift-def)
apply clarify
apply(rule-tac x=(Some Pa,t) # xsa in exI)

```

```

apply(rule conjI)
apply(erule CptnModEnv)
apply(rule disjI2)
apply(rule conjI)
apply(case-tac xsa,simp,simp)
apply(rule-tac x=ys in exI)
apply(rule conjI)
apply simp
apply(simp add:lift-def)
apply clarify
apply(erule ctran.cases,simp-all)
apply clarify
apply(rule-tac x=xs in exI)
apply simp
apply clarify
apply(rule-tac x=xs in exI)
apply(simp add: last-length)
done

lemma cptn-onlyif-cptn-mod-aux [rule-format]:
  ∀ s Q t xs.((Some a, s), Q, t) ∈ ctran → (Q, t) # xs ∈ cptn-mod
  → (Some a, s) # (Q, t) # xs ∈ cptn-mod
  supply [[simproc del: defined-all]]
apply(induct a)
apply simp-all
— basic
apply clarify
apply(erule ctran.cases,simp-all)
apply(rule CptnModNone,rule Basic,simp)
apply clarify
apply(erule ctran.cases,simp-all)
— Seq1
apply(rule-tac xs=[(None,ta)] in CptnModSeq2)
  apply(erule CptnModNone)
  apply(rule CptnModOne)
  apply simp
  apply simp
  apply(simp add:lift-def)
— Seq2
apply(erule-tac x=sa in allE)
apply(erule-tac x=Some P2 in allE)
apply(erule allE,erule impE, assumption)
apply(drule div-seq,simp)
apply clarify
apply(erule disjE)
apply clarify
apply(erule allE,erule impE, assumption)
apply(erule-tac CptnModSeq1)
apply(simp add:lift-def)

```

```

apply clarify
apply(erule allE,erule impE, assumption)
apply(erule-tac CptnModSeq2)
  apply (simp add:last-length)
  apply (simp add:last-length)
apply(simp add:lift-def)
— Cond
apply clarify
apply(erule ctran.cases,simp-all)
apply(force elim: CptnModCondT)
apply(force elim: CptnModCondF)
— While
apply clarify
apply(erule ctran.cases,simp-all)
apply(rule CptnModNone,erule WhileF,simp)
apply(drule div-seq,force)
apply clarify
apply (erule disjE)
apply(force elim:CptnModWhile1)
apply clarify
apply(force simp add:last-length elim:CptnModWhile2)
— await
apply clarify
apply(erule ctran.cases,simp-all)
apply(rule CptnModNone,erule Await,simp+)
done

lemma cptn-onlyif-cptn-mod [rule-format]:  $c \in \text{cptn} \implies c \in \text{cptn-mod}$ 
apply(erule cptn.induct)
  apply(rule CptnModOne)
  apply(erule CptnModEnv)
  apply(case-tac P)
    apply simp
    apply(erule ctran.cases,simp-all)
    apply(force elim:cptn-onlyif-cptn-mod-aux)
done

lemma lift-is-cptn:  $c \in \text{cptn} \implies \text{map } (\text{lift } P) \ c \in \text{cptn}$ 
apply(erule cptn.induct)
  apply(force simp add:lift-def CptnOne)
  apply(force intro:CptnEnv simp add:lift-def)
  apply(force simp add:lift-def intro:CptnComp Seq2 Seq1 elim:ctran.cases)
done

lemma cptn-append-is-cptn [rule-format]:
 $\forall b\ a. \ b \# c1 \in \text{cptn} \longrightarrow a \# c2 \in \text{cptn} \longrightarrow (b \# c1)!\text{length } c1 = a \longrightarrow b \# c1 @ c2 \in \text{cptn}$ 
apply(induct c1)
  apply simp
  apply clarify

```

```

apply(erule cptn.cases,simp-all)
  apply(force intro:CptnEnv)
  apply(force elim:CptnComp)
done

lemma last-lift:  $\llbracket xs \neq [] ; fst(xs!(length xs - (Suc 0))) = None \rrbracket$ 
 $\implies fst((map (lift P) xs)!(length (map (lift P) xs) - (Suc 0))) = (Some P)$ 
  by (cases (xs ! (length xs - (Suc 0)))) (simp add:lift-def)

lemma last-fst [rule-format]:  $P((a \# x) ! length x) \longrightarrow \neg P a \longrightarrow P (x ! (length x - (Suc 0)))$ 
  by (induct x) simp-all

lemma last-fst-esp:
 $fst(((Some a,s) \# xs) ! (length xs)) = None \implies fst(xs!(length xs - (Suc 0))) = None$ 
apply(erule last-fst)
apply simp
done

lemma last-snd:  $xs \neq [] \implies$ 
 $snd(((map (lift P) xs) ! (length (map (lift P) xs) - (Suc 0))) = snd(xs!(length xs - (Suc 0)))$ 
  by (cases (xs ! (length xs - (Suc 0)))) (simp-all add:lift-def)

lemma Cons-lift:  $(Some (Seq P Q), s) \# (map (lift Q) xs) = map (lift Q) ((Some P, s) \# xs)$ 
  by (simp add:lift-def)

lemma Cons-lift-append:
 $(Some (Seq P Q), s) \# (map (lift Q) xs) @ ys = map (lift Q) ((Some P, s) \# xs) @ ys$ 
  by (simp add:lift-def)

lemma lift-nth:  $i < length xs \implies map (lift Q) xs ! i = lift Q (xs! i)$ 
  by (simp add:lift-def)

lemma snd-lift:  $i < length xs \implies snd(lift Q (xs ! i)) = snd (xs ! i)$ 
  by (cases xs!i) (simp add:lift-def)

lemma cptn-if-cptn-mod:  $c \in cptn\text{-mod} \implies c \in cptn$ 
apply(erule cptn-mod.induct)
  apply(rule CptnOne)
  apply(erule CptnEnv)
  apply(erule CptnComp,simp)
  apply(rule CptnComp)
    apply(erule CondT,simp)
  apply(rule CptnComp)
    apply(erule CondF,simp)
— Seq1

```

```

apply(erule cptn.cases,simp-all)
  apply(rule CptnOne)
  apply clarify
  apply(drule-tac P=P1 in lift-is-cptn)
  apply(simp add:lift-def)
  apply(rule CptnEnv,simp)
  apply clarify
  apply(simp add:lift-def)
  apply(rule conjI)
  apply clarify
  apply(rule CptnComp)
  apply(rule Seq1,simp)
  apply(drule-tac P=P1 in lift-is-cptn)
  apply(simp add:lift-def)
  apply clarify
  apply(rule CptnComp)
  apply(rule Seq2,simp)
  apply(drule-tac P=P1 in lift-is-cptn)
  apply(simp add:lift-def)
  — Seq2
  apply(rule cptn-append-is-cptn)
    apply(drule-tac P=P1 in lift-is-cptn)
    apply(simp add:lift-def)
    apply simp
    apply(simp split: if-split-asm)
    apply(frule-tac P=P1 in last-lift)
      apply(rule last-fst-esp)
      apply(simp add:last-length)
    apply(simp add:Cons-lift lift-def split-def last-conv-nth)
    — While1
    apply(rule CptnComp)
    apply(rule WhileT,simp)
    apply(drule-tac P=While b P in lift-is-cptn)
    apply(simp add:lift-def)
    — While2
    apply(rule CptnComp)
    apply(rule WhileT,simp)
    apply(rule cptn-append-is-cptn)
      apply(drule-tac P=While b P in lift-is-cptn)
      apply(simp add:lift-def)
      apply simp
      apply(simp split: if-split-asm)
      apply(frule-tac P=While b P in last-lift)
        apply(rule last-fst-esp,simp add:last-length)
      apply(simp add:Cons-lift lift-def split-def last-conv-nth)
    done

theorem cptn-iff-cptn-mod: (c ∈ cptn) = (c ∈ cptn-mod)
apply(rule iffI)

```

```

apply(erule cptn-onlyif-cptn-mod)
apply(erule cptn-if-cptn-mod)
done

```

3.3 Validity of Correctness Formulas

3.3.1 Validity for Component Programs.

```

type-synonym 'a rgformula =
  'a com × 'a set × ('a × 'a) set × ('a × 'a) set × 'a set

```

```

definition assum :: ('a set × ('a × 'a) set) ⇒ ('a confs) set where
  assum ≡ λ(pre, rely). {c. snd(c!0) ∈ pre ∧ (∀ i. Suc i < length c →
    c!i −e→ c!(Suc i) → (snd(c!i), snd(c!Suc i)) ∈ rely)}

```

```

definition comm :: (('a × 'a) set × 'a set) ⇒ ('a confs) set where
  comm ≡ λ(guar, post). {c. (∀ i. Suc i < length c →
    c!i −c→ c!(Suc i) → (snd(c!i), snd(c!Suc i)) ∈ guar) ∧
    (fst (last c) = None → snd (last c) ∈ post)}

```

```

definition com-validity :: 'a com ⇒ 'a set ⇒ ('a × 'a) set ⇒ ('a × 'a) set ⇒ 'a
set ⇒ bool
  (= - sat [-, -, -, -] [60,0,0,0,0] 45) where
  ⊨ P sat [pre, rely, guar, post] ≡
  ∀ s. cp (Some P) s ∩ assum(pre, rely) ⊆ comm(guar, post)

```

3.3.2 Validity for Parallel Programs.

```

definition All-None :: (('a com) option) list ⇒ bool where
  All-None xs ≡ ∀ c∈set xs. c=Some None

```

```

definition par-assum :: ('a set × ('a × 'a) set) ⇒ ('a par-confs) set where
  par-assum ≡ λ(pre, rely). {c. snd(c!0) ∈ pre ∧ (∀ i. Suc i < length c →
    c!i −pe→ c!Suc i → (snd(c!i), snd(c!Suc i)) ∈ rely)}

```

```

definition par-comm :: (('a × 'a) set × 'a set) ⇒ ('a par-confs) set where
  par-comm ≡ λ(guar, post). {c. (∀ i. Suc i < length c →
    c!i −pc→ c!Suc i → (snd(c!i), snd(c!Suc i)) ∈ guar) ∧
    (All-None (fst (last c)) → snd (last c) ∈ post)}

```

```

definition par-com-validity :: 'a par-com ⇒ 'a set ⇒ ('a × 'a) set ⇒ ('a × 'a)
set ⇒ bool (= - SAT [-, -, -, -] [60,0,0,0,0] 45) where
  ⊨ Ps SAT [pre, rely, guar, post] ≡
  ∀ s. par-cp Ps s ∩ par-assum(pre, rely) ⊆ par-comm(guar, post)

```

3.3.3 Compositionality of the Semantics

Definition of the conjoin operator

```

definition same-length :: 'a par-confs  $\Rightarrow$  ('a confs) list  $\Rightarrow$  bool where
  same-length c clist  $\equiv$  ( $\forall i < \text{length } clist$ .  $\text{length}(clist!i) = \text{length } c$ )

definition same-state :: 'a par-confs  $\Rightarrow$  ('a confs) list  $\Rightarrow$  bool where
  same-state c clist  $\equiv$  ( $\forall i < \text{length } clist$ .  $\forall j < \text{length } c$ .  $\text{snd}(c!j) = \text{snd}((clist!i)!j)$ )

definition same-program :: 'a par-confs  $\Rightarrow$  ('a confs) list  $\Rightarrow$  bool where
  same-program c clist  $\equiv$  ( $\forall j < \text{length } c$ .  $\text{fst}(c!j) = \text{map } (\lambda x. \text{fst}(\text{nth } x j)) \text{ clist}$ )

definition compat-label :: 'a par-confs  $\Rightarrow$  ('a confs) list  $\Rightarrow$  bool where
  compat-label c clist  $\equiv$  ( $\forall j$ .  $\text{Suc } j < \text{length } c \longrightarrow$ 
     $(c!j - pc \rightarrow c!\text{Suc } j \wedge (\exists i < \text{length } clist. (clist!i)!j - c \rightarrow (clist!i)!\text{Suc } j) \wedge$ 
     $(\forall l < \text{length } clist. l \neq i \longrightarrow (clist!l)!j - e \rightarrow (clist!l)!\text{Suc } j)) \vee$ 
     $(c!j - pe \rightarrow c!\text{Suc } j \wedge (\forall i < \text{length } clist. (clist!i)!j - e \rightarrow (clist!i)!\text{Suc } j))$ )

definition conjoin :: 'a par-confs  $\Rightarrow$  ('a confs) list  $\Rightarrow$  bool (-  $\propto$  - [65,65] 64)
where
   $c \propto clist \equiv (\text{same-length } c \text{ clist}) \wedge (\text{same-state } c \text{ clist}) \wedge (\text{same-program } c \text{ clist})$ 
   $\wedge (\text{compat-label } c \text{ clist})$ 

```

Some previous lemmas

```

lemma list-eq-if [rule-format]:
   $\forall ys. xs=ys \longrightarrow (\text{length } xs = \text{length } ys) \longrightarrow (\forall i < \text{length } xs. xs!i=ys!i)$ 
  by (induct xs) auto

lemma list-eq:  $(\text{length } xs = \text{length } ys \wedge (\forall i < \text{length } xs. xs!i=ys!i)) = (xs=ys)$ 
  apply(rule iffI)
  apply clarify
  apply(erule nth-equalityI)
  apply simp+
  done

lemma nth-tl:  $\llbracket ys!0=a; ys \neq [] \rrbracket \implies ys=(a \# (tl ys))$ 
  by (cases ys) simp-all

lemma nth-tl-if [rule-format]:  $ys \neq [] \longrightarrow ys!0=a \longrightarrow P ys \longrightarrow P (a \# (tl ys))$ 
  by (induct ys) simp-all

lemma nth-tl-onlyif [rule-format]:  $ys \neq [] \longrightarrow ys!0=a \longrightarrow P (a \# (tl ys)) \longrightarrow P ys$ 
  by (induct ys) simp-all

lemma seq-not-eq1: Seq c1 c2  $\neq$  c1
  by (induct c1) auto

lemma seq-not-eq2: Seq c1 c2  $\neq$  c2

```

```

by (induct c2) auto

lemma if-not-eq1: Cond b c1 c2 ≠ c1
  by (induct c1) auto

lemma if-not-eq2: Cond b c1 c2 ≠ c2
  by (induct c2) auto

lemmas seq-and-if-not-eq [simp] = seq-not-eq1 seq-not-eq2
seq-not-eq1 [THEN not-sym] seq-not-eq2 [THEN not-sym]
if-not-eq1 if-not-eq2 if-not-eq1 [THEN not-sym] if-not-eq2 [THEN not-sym]

lemma prog-not-eq-in-ctrans-aux:
  assumes c: (P,s) -c→ (Q,t)
  shows P ≠ Q using c
  by (induct x1 ≡ (P,s) x2 ≡ (Q,t) arbitrary: P s Q t) auto

lemma prog-not-eq-in-ctrans [simp]: ¬ (P,s) -c→ (P,t)
apply clarify
apply(drule prog-not-eq-in-ctrans-aux)
apply simp
done

lemma prog-not-eq-in-par-ctrans-aux [rule-format]: (P,s) -pc→ (Q,t) ⇒ (P ≠ Q)
apply(erule par-ctrans.induct)
apply(drule prog-not-eq-in-ctrans-aux)
apply clarify
apply(drule list-eq-if)
apply simp-all
apply force
done

lemma prog-not-eq-in-par-ctrans [simp]: ¬ (P,s) -pc→ (P,t)
apply clarify
apply(drule prog-not-eq-in-par-ctrans-aux)
apply simp
done

lemma tl-in-cptn: [| a # xs ∈ cptn; xs ≠ [] |] ⇒ xs ∈ cptn
  by (force elim: cptn.cases)

lemma tl-zero[rule-format]:
  P (ys!Suc j) → Suc j < length ys → ys ≠ [] → P (tl(ys)!j)
  by (induct ys) simp-all

```

3.3.4 The Semantics is Compositional

```

lemma aux-if [rule-format]:
  ∀ xs s clist. (length clist = length xs ∧ (∀ i < length xs. (xs!i,s) # clist!i ∈ cptn))

```

```

 $\wedge ((xs, s)\#ys \propto map (\lambda i. (fst i,s)\#snd i) (zip xs clist))$ 
 $\longrightarrow (xs, s)\#ys \in par\text{-}cptn)$ 
apply(induct ys)
apply(clarify)
apply(rule ParCptnOne)
apply(clarify)
apply(simp add:conjoin-def compat-label-def)
apply clarify
apply(erule-tac x=0 and P=\lambda j. H j \longrightarrow (P j \vee Q j) for H P Q in all-dupE, simp)
apply(erule disjE)
— first step is a Component step
apply clarify
apply simp
apply(subgoal-tac a=(xs[i:=(fst(clist!i!0))]))
apply(subgoal-tac b=snd(clist!i!0),simp)
prefer 2
apply(simp add: same-state-def)
apply(erule-tac x=i in allE,erule impE,assumption,
erule-tac x=1 and P=\lambda j. (H j) \longrightarrow (snd (d j))=(snd (e j)) for H d e in
allE, simp)
prefer 2
apply(simp add:same-program-def)
apply(erule-tac x=1 and P=\lambda j. H j \longrightarrow (fst (s j))=(t j) for H s t in allE,simp)
apply(rule nth-equalityI,simp)
apply clarify
apply(case-tac i=ia,simp,simp)
apply(erule-tac x=ia and P=\lambda j. H j \longrightarrow I j \longrightarrow J j for H I J in allE)
apply(drule-tac t=i in not-sym,simp)
apply(erule etranE,simp)
apply(rule ParCptnComp)
apply(erule ParComp,simp)
— applying the induction hypothesis
apply(erule-tac x=xs[i := fst (clist ! i ! 0)] in allE)
apply(erule-tac x=snd (clist ! i ! 0) in allE)
apply(erule mp)
apply(rule-tac x=map tl clist in exI,simp)
apply(rule conjI,clarify)
apply(case-tac i=ia,simp)
apply(rule nth-tl-if)
apply(force simp add:same-length-def length-Suc-conv)
apply simp
apply(erule allE,erule impE,assumption,erule tl-in-cptn)
apply(force simp add:same-length-def length-Suc-conv)
apply(rule nth-tl-if)
apply(force simp add:same-length-def length-Suc-conv)
apply(simp add:same-state-def)
apply(erule-tac x=ia in allE, erule impE, assumption,
erule-tac x=1 and P=\lambda j. H j \longrightarrow (snd (d j))=(snd (e j)) for H d e in allE)

```

```

apply(erule-tac x=ia and P=λj. H j → I j → J j for H I J in allE)
apply(drule-tac t=i in not-sym,simp)
apply(erule etranE,simp)
apply(erule allE,erule impE,assumption,erule tl-in-cptn)
apply(force simp add:same-length-def length-Suc-conv)
apply(simp add:same-length-def same-state-def)
apply(rule conjI)
apply clarify
apply(case-tac j,simp,simp)
apply(erule-tac x=ia in allE, erule impE, assumption,
      erule-tac x=Suc(Suc nat) and P=λj. H j → (snd (d j))=(snd (e j)) for
H d e in allE,simp)
apply(force simp add:same-length-def length-Suc-conv)
apply(rule conjI)
apply(simp add:same-program-def)
apply clarify
apply(case-tac j,simp)
apply(rule nth-equalityI,simp)
apply clarify
apply(case-tac i=ia,simp,simp)
apply(erule-tac x=Suc(Suc nat) and P=λj. H j → (fst (s j))=(t j) for H s t
in allE,simp)
apply(rule nth-equalityI,simp,simp)
apply(force simp add:length-Suc-conv)
apply(rule allI,rule impI)
apply(erule-tac x=Suc j and P=λj. H j → (I j ∨ J j) for H I J in allE,simp)
apply(erule disjE)
apply clarify
apply(rule-tac x=ia in exI,simp)
apply(case-tac i=ia,simp)
apply(rule conjI)
apply(force simp add: length-Suc-conv)
apply clarify
apply(erule-tac x=l and P=λj. H j → I j → J j for H I J in allE,erule
impE,assumption)
apply(erule-tac x=l and P=λj. H j → I j → J j for H I J in allE,erule
impE,assumption)
apply simp
apply(case-tac j,simp)
apply(rule tl-zero)
apply(erule-tac x=l in allE, erule impE, assumption,
      erule-tac x=1 and P=λj. (H j) → (snd (d j))=(snd (e j)) for H d e
in allE,simp)
apply(force elim:etranE intro:Env)
apply force
apply force
apply simp
apply(rule tl-zero)
apply(erule tl-zero)

```

```

apply force
apply force
apply force
apply force
apply(rule conjI,simp)
apply(rule nth-tl-if)
apply force
apply(erule-tac x=ia in allE, erule impE, assumption,
      erule-tac x=1 and P=λj. H j → (snd (d j))=(snd (e j)) for H d e in
allE)
apply(erule-tac x=ia and P=λj. H j → I j → J j for H I J in allE)
apply(drule-tac t=i in not-sym,simp)
apply(erule etranE,simp)
apply(erule tl-zero)
apply force
apply force
apply clarify
apply(case-tac i=l,simp)
apply(rule nth-tl-if)
apply(erule-tac x=l and P=λj. H j → (length (s j) = t) for H s t in
allE,force)
apply simp
apply(erule-tac P=λj. H j → I j → J j for H I J in allE,erule impE,assumption,erule
impE,assumption)
apply(erule tl-zero,force)
apply(erule-tac x=l and P=λj. H j → (length (s j) = t) for H s t in
allE,force)
apply(rule nth-tl-if)
apply(erule-tac x=l and P=λj. H j → (length (s j) = t) for H s t in
allE,force)
apply(erule-tac x=l in allE, erule impE, assumption,
      erule-tac x=1 and P=λj. H j → (snd (d j))=(snd (e j)) for H d e in
allE)
apply(erule-tac x=l and P=λj. H j → I j → J j for H I J in allE,erule
impE, assumption,simp)
apply(erule etranE,simp)
apply(rule tl-zero)
apply force
apply force
apply(erule-tac x=l and P=λj. H j → (length (s j) = t) for H s t in allE,force)
apply(rule disjI2)
apply(case-tac j,simp)
apply clarify
apply(rule tl-zero)
apply(erule-tac x=ia and P=λj. H j → I j∈etran for H I in allE,erule
impE, assumption)
apply(case-tac i=ia,simp,simp)
apply(erule-tac x=ia in allE, erule impE, assumption,
      erule-tac x=1 and P=λj. H j → (snd (d j))=(snd (e j)) for H d e in allE)

```

```

apply(erule-tac x=ia and P= $\lambda j.$  H j  $\longrightarrow$  I j  $\longrightarrow$  J j for H I J in allE,erule
impE, assumption,simp)
apply(force elim:etranE intro:Env)
apply force
apply(erule-tac x=ia and P= $\lambda j.$  H j  $\longrightarrow$  (length (s j) = t) for H s t in
allE,force)
apply simp
apply clarify
apply(rule tl-zero)
apply(rule tl-zero,force)
apply force
apply(erule-tac x=ia and P= $\lambda j.$  H j  $\longrightarrow$  (length (s j) = t) for H s t in
allE,force)
apply force
apply(erule-tac x=ia and P= $\lambda j.$  H j  $\longrightarrow$  (length (s j) = t) for H s t in
allE,force)
— first step is an environmental step
apply clarify
apply(erule par-etran.cases)
apply simp
apply(rule ParCptnEnv)
apply(erule-tac x=Ps in allE)
apply(erule-tac x=t in allE)
apply(erule mp)
apply(rule-tac x=map tl clist in exI,simp)
apply(rule conjI)
apply clarify
apply(erule-tac x=i and P= $\lambda j.$  H j  $\longrightarrow$  I j  $\in$  cptn for H I in allE,simp)
apply(erule cptn.cases)
apply(simp add:same-length-def)
apply(erule-tac x=i and P= $\lambda j.$  H j  $\longrightarrow$  (length (s j) = t) for H s t in
allE,force)
apply(simp add:same-state-def)
apply(erule-tac x=i in allE, erule impE, assumption,
erule-tac x=1 and P= $\lambda j.$  H j  $\longrightarrow$  (snd (d j))=(snd (e j)) for H d e in
allE,simp)
apply(erule-tac x=i and P= $\lambda j.$  H j  $\longrightarrow$  J j  $\in$  etran for H J in allE,simp)
apply(erule etranE,simp)
apply(simp add:same-state-def same-length-def)
apply(rule conjI,clarify)
apply(case-tac j,simp,simp)
apply(erule-tac x=i in allE, erule impE, assumption,
erule-tac x=Suc(Suc nat) and P= $\lambda j.$  H j  $\longrightarrow$  (snd (d j))=(snd (e j)) for
H d e in allE,simp)
apply(rule tl-zero)
apply(simp)
apply force
apply(erule-tac x=i and P= $\lambda j.$  H j  $\longrightarrow$  (length (s j) = t) for H s t in allE,force)
apply(rule conjI)

```

```

apply(simp add:same-program-def)
apply clarify
apply(case-tac j,simp)
apply(rule nth-equalityI,simp)
apply clarify
apply simp
apply(erule-tac x=Suc(Suc nat) and P=λj. H j → (fst (s j))=(t j) for H s t
in allE,simp)
apply(rule nth-equalityI,simp,simp)
apply(force simp add:length-Suc-conv)
apply(rule allI,rule impI)
apply(erule-tac x=Suc j and P=λj. H j → (I j ∨ J j) for H I J in allE,simp)
apply(erule disjE)
apply clarify
apply(rule-tac x=i in exI,simp)
apply(rule conjI)
apply(erule-tac x=i and P=λi. H i → J i ∈ etran for H J in allE, erule
impE, assumption)
apply(erule etranE,simp)
apply(erule-tac x=i in allE, erule impE, assumption,
      erule-tac x=1 and P=λj. H j → (snd (d j))=(snd (e j)) for H d e in
allE,simp)
apply(rule nth-tl-if)
apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in
allE,force)
apply simp
apply(erule tl-zero,force)
apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in allE,force)
apply clarify
apply(erule-tac x=l and P=λi. H i → J i ∈ etran for H J in allE, erule impE,
assumption)
apply(erule etranE,simp)
apply(erule-tac x=l in allE, erule impE, assumption,
      erule-tac x=1 and P=λj. H j → (snd (d j))=(snd (e j)) for H d e in
allE,simp)
apply(rule nth-tl-if)
apply(erule-tac x=l and P=λj. H j → (length (s j) = t) for H s t in
allE,force)
apply simp
apply(erule tl-zero,force)
apply force
apply(erule-tac x=l and P=λj. H j → (length (s j) = t) for H s t in allE,force)
apply(rule disjI2)
apply simp
apply clarify
apply(case-tac j,simp)
apply(rule tl-zero)
apply(erule-tac x=i and P=λi. H i → J i ∈ etran for H J in allE, erule
impE, assumption)

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apply(erule-tac  $x=i$  and  $P=\lambda i. H i \rightarrow J i \in etran$  for  $H J$  in allE, erule
impE, assumption)
apply(force elim:etranE intro:Env)
apply force
apply(erule-tac  $x=i$  and  $P=\lambda j. H j \rightarrow (length (s j) = t)$  for  $H s t$  in allE,force)
apply simp
apply(rule tl-zero)
apply(rule tl-zero,force)
apply force
apply(erule-tac  $x=i$  and  $P=\lambda j. H j \rightarrow (length (s j) = t)$  for  $H s t$  in allE,force)
apply force
apply(erule-tac  $x=i$  and  $P=\lambda j. H j \rightarrow (length (s j) = t)$  for  $H s t$  in allE,force)
done

lemma aux-onlyif [rule-format]:  $\forall xs s. (xs, s)\#ys \in par-cptn \rightarrow$ 
 $(\exists clist. (length clist = length xs) \wedge$ 
 $(xs, s)\#ys \propto map (\lambda i. (fst i,s)\#(snd i)) (zip xs clist) \wedge$ 
 $(\forall i < length xs. (xs!i,s)\#(clist!i) \in cptn))$ 
supply [[simproc del: defined-all]]
apply(induct ys)
apply(clarify)
apply(rule-tac  $x=map (\lambda i. [] [0..<length xs] \text{ in } exI)$ 
apply(simp add: conjoin-def same-length-def same-state-def same-program-def compat-label-def)
apply(rule conjI)
apply(rule nth-equalityI,simp,simp)
apply(force intro: cptn.intros)
apply(clarify)
apply(erule par-cptn.cases,simp)
apply simp
apply(erule-tac  $x=xs$  in allE)
apply(erule-tac  $x=t$  in allE,simp)
apply clarify
apply(rule-tac  $x=(map (\lambda j. (P!j, t)\#(clist!j)) [0..<length P]) \text{ in } exI, simp)$ 
apply(rule conjI)
prefer 2
apply clarify
apply(rule CptnEnv,simp)
apply(simp add:conjoin-def same-length-def same-state-def)
apply(rule conjI)
apply clarify
apply(case-tac  $j$ ,simp,simp)
apply(rule conjI)
apply(simp add:same-program-def)
apply clarify
apply(case-tac  $j$ ,simp)
apply(rule nth-equalityI,simp,simp)
apply simp
apply(rule nth-equalityI,simp,simp)
apply(simp add:compat-label-def)

```

```

apply clarify
apply(case-tac j,simp)
  apply(simp add:ParEnv)
    apply clarify
    apply(simp add:Env)
  apply simp
apply(erule-tac x=nat in allE,erule impE, assumption)
apply(erule disjE,simp)
  apply clarify
  apply(rule-tac x=i in exI,simp)
  apply force
apply(erule par-ctrans.cases,simp)
apply(erule-tac x=Ps[i:=r] in allE)
apply(erule-tac x=ta in allE,simp)
apply clarify
apply(rule-tac x=(map (λj. (Ps!j, ta) # (clist!j)) [0..<length Ps]) [i:=((r, ta) # (clist!i))]
in exI,simp)
apply(rule conjI)
prefer 2
apply clarify
apply(case-tac i=ia,simp)
apply(erule CptnComp)
apply(erule-tac x=ia and P=λj. H j → (I j ∈ cptn) for H I in allE,simp)
apply simp
apply(erule-tac x=ia in allE)
apply(rule CptnEnv,simp)
apply(simp add:conjoin-def)
apply (rule conjI)
apply(simp add:same-length-def)
apply clarify
apply(case-tac i=ia,simp,simp)
apply(rule conjI)
apply(simp add:same-state-def)
apply clarify
apply(case-tac j, simp, simp (no-asm-simp))
apply(case-tac i=ia,simp,simp)
apply(rule conjI)
apply(simp add:same-program-def)
apply clarify
apply(case-tac j,simp)
apply(rule nth-equalityI,simp,simp)
apply simp
apply(rule nth-equalityI,simp,simp)
apply(erule-tac x=nat and P=λj. H j → (fst (a j))=((b j)) for H a b in allE)
apply(case-tac nat)
apply clarify
apply(case-tac i=ia,simp,simp)
apply clarify
apply(case-tac i=ia,simp,simp)

```

```

apply(simp add:compat-label-def)
apply clarify
apply(case-tac j)
apply(rule conjI,simp)
apply(erule ParComp,assumption)
apply clarify
apply(rule-tac x=i in exI,simp)
apply clarify
apply(rule Env)
apply simp
apply(erule-tac x=nat and P=λj. H j → (P j ∨ Q j) for H P Q in allE,simp)
apply(erule disjE)
apply clarify
apply(rule-tac x=ia in exI,simp)
apply(rule conjI)
apply(case-tac i=ia,simp,simp)
apply clarify
apply(case-tac i=l,simp)
apply(case-tac l=ia,simp,simp)
apply(erule-tac x=l in allE,erule impE,assumption,erule impE, assumption,simp)
apply simp
apply(erule-tac x=l in allE,erule impE,assumption,erule impE, assumption,simp)
apply clarify
apply(erule-tac x=ia and P=λj. H j → (P j)∈etran for H P in allE, erule impE, assumption)
apply(case-tac i=ia,simp,simp)
done

lemma one-iff-aux: xs≠[] ==> (∀ ys. ((xs, s)≠ys ∈ par-cptn) =
(∃ clist. length clist = length xs ∧
((xs, s)≠ys ∝ map (λi. (fst i,s)≠(snd i)) (zip xs clist)) ∧
(∀ i<length xs. (xs!i,s)≠(clist!i) ∈ cptn))) =
(par-cp (xs) s = {c. ∃ clist. (length clist)=(length xs) ∧
(∀ i<length clist. (clist!i) ∈ cp(xs!i) s) ∧ c ∝ clist})
apply (rule iffI)
apply(rule subset-antisym)
apply(rule subsetI)
apply(clarify)
apply(simp add:par-cp-def cp-def)
apply(case-tac x)
apply(force elim:par-cptn.cases)
apply simp
apply(rename-tac a list)
apply(erule-tac x=list in allE)
apply clarify
apply simp
apply(rule-tac x=map (λi. (fst i, s) ≠ snd i) (zip xs clist) in exI,simp)
apply(rule subsetI)
apply(clarify)

```

```

apply(case-tac x)
apply(erule-tac x=0 in allE)
apply(simp add:cp-def conjoin-def same-length-def same-program-def same-state-def
compat-label-def)
apply clarify
apply(erule cptn.cases,force,force,force)
apply(simp add:par-cp-def conjoin-def same-length-def same-program-def same-state-def
compat-label-def)
apply clarify
apply(erule-tac x=0 and P=λj. H j → (length (s j) = t) for H s t in all-dupE)
apply(subgoal-tac a = xs)
apply(subgoal-tac b = s,simp)
prefer 3
apply(erule-tac x=0 and P=λj. H j → (fst (s j))=((t j)) for H s t in allE)
apply(simp add:cp-def)
apply(rule nth-equalityI,simp,simp)
prefer 2
apply(erule-tac x=0 in allE)
apply(simp add:cp-def)
apply(erule-tac x=0 and P=λj. H j → (forall i. T i → (snd (d j i))=(snd (e j
i))) for H T d e in allE,simp)
apply(erule-tac x=0 and P=λj. H j → (snd (d j))=(snd (e j)) for H d e in
allE,simp)
apply(erule-tac x=list in allE)
apply(rule-tac x=map tl clist in exI,simp)
apply(rule conjI)
apply clarify
apply(case-tac j,simp)
apply(erule-tac x=i in allE, erule impE, assumption,
erule-tac x=0 and P=λj. H j → (snd (d j))=(snd (e j)) for H d e in
allE,simp)
apply(erule-tac x=i in allE, erule impE, assumption,
erule-tac x=Suc nat and P=λj. H j → (snd (d j))=(snd (e j)) for H d e
in allE)
apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in allE)
apply(case-tac clisti,simp,simp)
apply(rule conjI)
apply clarify
apply(rule nth-equalityI,simp,simp)
apply(case-tac j)
apply clarify
apply(erule-tac x=i in allE)
apply(simp add:cp-def)
apply clarify
apply simp
apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in allE)
apply(case-tac clisti,simp,simp)
apply(thin-tac H = (exists i. J i) for H J)
apply(rule conjI)

```

```

apply clarify
apply(erule-tac x=j in allE,erule impE, assumption,erule disjE)
  apply clarify
  apply(rule-tac x=i in exI,simp)
  apply(case-tac j,simp)
  apply(rule conjI)
    apply(erule-tac x=i in allE)
    apply(simp add:cp-def)
    apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in allE)
    apply(case-tac clist!i,simp,simp)
    apply clarify
    apply(erule-tac x=l in allE)
    apply(erule-tac x=l and P=λj. H j → I j → J j for H I J in allE)
    apply clarify
    apply(simp add:cp-def)
    apply(erule-tac x=l and P=λj. H j → (length (s j) = t) for H s t in allE)
    apply(case-tac clist!l,simp,simp)
    apply simp
    apply(rule conjI)
      apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in allE)
      apply(case-tac clist!i,simp,simp)
      apply clarify
      apply(erule-tac x=l and P=λj. H j → I j → J j for H I J in allE)
      apply(erule-tac x=l and P=λj. H j → (length (s j) = t) for H s t in allE)
      apply(case-tac clist!l,simp,simp)
      apply clarify
      apply(erule-tac x=i in allE)
      apply(simp add:cp-def)
      apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in allE)
      apply(case-tac clist!i,simp)
      apply(rule nth-tl-if,simp,simp)
      apply(erule-tac x=i and P=λj. H j → (P j)∈etran for H P in allE, erule
impE, assumption,simp)
      apply(simp add:cp-def)
      apply clarify
      apply(rule nth-tl-if)
      apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in allE)
      apply(case-tac clist!i,simp,simp)
      apply force
      apply force
      apply clarify
      apply(rule iffI)
      apply(simp add:par-cp-def)
      apply(erule-tac c=(xs, s) # ys in equalityCE)
      apply simp
      apply clarify
      apply(rule-tac x=map tl clist in exI)
      apply simp
      apply (rule conjI)

```

```

apply(simp add:conjoin-def cp-def)
apply(rule conjI)
apply clarify
apply(unfold same-length-def)
apply clarify
apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in
allE,simp)
apply(rule conjI)
apply(simp add:same-state-def)
apply clarify
apply(erule-tac x=i in allE, erule impE, assumption,
erule-tac x=j and P=λj. H j → (snd (d j))=(snd (e j)) for H d e in allE)
apply(case-tac j,simp)
apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in allE)
apply(case-tac clist!i,simp,simp)
apply(rule conjI)
apply(simp add:same-program-def)
apply clarify
apply(rule nth-equalityI,simp,simp)
apply(case-tac j,simp)
apply clarify
apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in allE)
apply(case-tac clist!i,simp,simp)
apply clarify
apply(simp add:compat-label-def)
apply(rule allI,rule impI)
apply(erule-tac x=j in allE,erule impE, assumption)
apply(erule disjE)
apply clarify
apply(rule-tac x=i in exI,simp)
apply(rule conjI)
apply(erule-tac x=i in allE)
apply(case-tac j,simp)
apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in allE)
apply(case-tac clist!i,simp,simp)
apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in allE)
apply(case-tac clist!i,simp,simp)
apply clarify
apply(erule-tac x=l and P=λj. H j → I j → J j for H I J in allE)
apply(erule-tac x=l and P=λj. H j → (length (s j) = t) for H s t in allE)
apply(case-tac clist!l,simp,simp)
apply(erule-tac x=l in allE,simp)
apply(rule disjI2)
apply clarify
apply(rule tl-zero)
apply(case-tac j,simp,simp)
apply(rule tl-zero,force)
apply force
apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in

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allE,force)
  apply force
  apply(erule-tac x=i and P=λj. H j → (length (s j) = t) for H s t in
allE,force)
  apply clarify
  apply(erule-tac x=i in allE)
  apply(simp add:cp-def)
  apply(rule nth-tl-if)
    apply(simp add:conjoin-def)
    apply clarify
    apply(simp add:same-length-def)
    apply(erule-tac x=i in allE,simp)
  apply simp
  apply simp
  apply simp
  apply clarify
  apply(erule-tac c=(xs, s) # ys in equalityCE)
  apply(simp add:par-cp-def)
  apply simp
  apply(erule-tac x=map (λi. (fst i, s) # snd i) (zip xs clist) in allE)
  apply simp
  apply clarify
  apply(simp add:cp-def)
done

theorem one: xs≠[] ==>
  par-cp xs s = {c. ∃ clist. (length clist)=(length xs) ∧
    (∀ i < length clist. (clist!i) ∈ cp(xs!i) s) ∧ c ∝ clist}
  apply(frule one-iff-aux)
  apply(drule sym)
  apply(erule iffD2)
  apply clarify
  apply(rule iffI)
  apply(erule aux-onlyif)
  apply clarify
  apply(force intro:aux-if)
done

end

```

3.4 The Proof System

theory RG-Hoare imports RG-Tran begin

3.4.1 Proof System for Component Programs

declare Un-subset-iff [simp del] sup.bounded-iff [simp del]

definition stable :: 'a set ⇒ ('a × 'a) set ⇒ bool where

$$\text{stable} \equiv \lambda f g. (\forall x y. x \in f \rightarrow (x, y) \in g \rightarrow y \in f)$$

inductive

$$\text{rghoare} :: ['a \text{ com}, 'a \text{ set}, ('a \times 'a) \text{ set}, ('a \times 'a) \text{ set}, 'a \text{ set}] \Rightarrow \text{bool}$$

$$(\vdash \text{-sat} [-, -, -, -] [60, 0, 0, 0, 0] 45)$$

where

$$\begin{aligned} \text{Basic: } & [\![\text{pre} \subseteq \{s. f s \in \text{post}\}; \{(s,t). s \in \text{pre} \wedge (t=f s \vee t=s)\} \subseteq \text{guar}; \\ & \quad \text{stable pre rely; stable post rely}]\!] \\ & \implies \vdash \text{Basic } f \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}] \end{aligned}$$

$$\begin{aligned} | \text{ Seq: } & [\![\vdash P \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{mid}]; \vdash Q \text{ sat } [\text{mid}, \text{rely}, \text{guar}, \text{post}]]\!] \\ & \implies \vdash \text{Seq } P \text{ } Q \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}] \end{aligned}$$

$$\begin{aligned} | \text{ Cond: } & [\![\text{stable pre rely; } \vdash P_1 \text{ sat } [\text{pre} \cap b, \text{rely}, \text{guar}, \text{post}]; \\ & \quad \vdash P_2 \text{ sat } [\text{pre} \cap \neg b, \text{rely}, \text{guar}, \text{post}]; \forall s. (s,s) \in \text{guar}]\!] \\ & \implies \vdash \text{Cond } b \text{ } P_1 \text{ } P_2 \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}] \end{aligned}$$

$$\begin{aligned} | \text{ While: } & [\![\text{stable pre rely; } (\text{pre} \cap \neg b) \subseteq \text{post; stable post rely}; \\ & \quad \vdash P \text{ sat } [\text{pre} \cap b, \text{rely}, \text{guar}, \text{pre}]; \forall s. (s,s) \in \text{guar}]\!] \\ & \implies \vdash \text{While } b \text{ } P \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}] \end{aligned}$$

$$\begin{aligned} | \text{ Await: } & [\![\text{stable pre rely; stable post rely; } \\ & \quad \forall V. \vdash P \text{ sat } [\text{pre} \cap b \cap \{V\}, \{(s, t). s = t\}, \\ & \quad \quad \text{UNIV}, \{s. (V, s) \in \text{guar}\} \cap \text{post}]\!] \\ & \implies \vdash \text{Await } b \text{ } P \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}] \end{aligned}$$

$$\begin{aligned} | \text{ Conseq: } & [\![\text{pre} \subseteq \text{pre}'; \text{rely} \subseteq \text{rely}'; \text{guar}' \subseteq \text{guar}; \text{post}' \subseteq \text{post}; \\ & \quad \vdash P \text{ sat } [\text{pre}', \text{rely}', \text{guar}', \text{post}']]\!] \\ & \implies \vdash P \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}] \end{aligned}$$

definition $\text{Pre} :: 'a \text{ rgformula} \Rightarrow 'a \text{ set where}$
 $\text{Pre } x \equiv \text{fst}(\text{snd } x)$

definition $\text{Post} :: 'a \text{ rgformula} \Rightarrow 'a \text{ set where}$
 $\text{Post } x \equiv \text{snd}(\text{snd}(\text{snd}(\text{snd } x)))$

definition $\text{Rely} :: 'a \text{ rgformula} \Rightarrow ('a \times 'a) \text{ set where}$
 $\text{Rely } x \equiv \text{fst}(\text{snd}(\text{snd } x))$

definition $\text{Guar} :: 'a \text{ rgformula} \Rightarrow ('a \times 'a) \text{ set where}$
 $\text{Guar } x \equiv \text{fst}(\text{snd}(\text{snd}(\text{snd } x)))$

definition $\text{Com} :: 'a \text{ rgformula} \Rightarrow 'a \text{ com where}$
 $\text{Com } x \equiv \text{fst } x$

3.4.2 Proof System for Parallel Programs

type-synonym $'a \text{ par-rgformula} =$
 $('a \text{ rgformula}) \text{ list} \times 'a \text{ set} \times ('a \times 'a) \text{ set} \times ('a \times 'a) \text{ set} \times 'a \text{ set}$

inductive

$$\text{par-rghoare} :: ('a \text{ rgformula}) \text{ list} \Rightarrow 'a \text{ set} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$$

$$(\vdash \text{-SAT } [-, -, -, -] [60, 0, 0, 0, 0] 45)$$

where

Parallel:

$$\begin{aligned} & [\forall i < \text{length } xs. \text{ rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \text{ Guar}(xs!j)) \subseteq \text{Rely}(xs!i); \\ & (\bigcup j \in \{j. j < \text{length } xs\}. \text{ Guar}(xs!j)) \subseteq \text{guar}; \\ & \text{pre} \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. \text{ Pre}(xs!i)); \\ & (\bigcap i \in \{i. i < \text{length } xs\}. \text{ Post}(xs!i)) \subseteq \text{post}; \\ & \forall i < \text{length } xs. \vdash \text{Com}(xs!i) \text{ sat } [\text{Pre}(xs!i), \text{Rely}(xs!i), \text{Guar}(xs!i), \text{Post}(xs!i)]] \\ & \implies \vdash xs \text{ SAT } [\text{pre}, \text{rely}, \text{guar}, \text{post}] \end{aligned}$$

3.5 Soundness

Some previous lemmas

lemma *tl-of-assum-in-assum*:

$$(P, s) \# (P, t) \# xs \in \text{assum} (\text{pre}, \text{rely}) \implies \text{stable pre rely}$$

$$\implies (P, t) \# xs \in \text{assum} (\text{pre}, \text{rely})$$

apply(simp add:assum-def)

apply clarify

apply(rule conjI)

apply(erule-tac $x=0$ in allE)

apply(simp (no-asm-use)only:stable-def)

apply(erule allE,erule allE,erule impE,assumption,erule mp)

apply(simp add:Env)

apply clarify

apply(erule-tac $x=\text{Suc } i$ in allE)

apply simp

done

lemma *etran-in-comm*:

$$(P, t) \# xs \in \text{comm}(\text{guar}, \text{post}) \implies (P, s) \# (P, t) \# xs \in \text{comm}(\text{guar}, \text{post})$$

apply(simp add:comm-def)

apply clarify

apply(case-tac i ,simp+)

done

lemma *ctran-in-comm*:

$$[(s, s) \in \text{guar}; (Q, s) \# xs \in \text{comm}(\text{guar}, \text{post})]$$

$$\implies (P, s) \# (Q, s) \# xs \in \text{comm}(\text{guar}, \text{post})$$

apply(simp add:comm-def)

apply clarify

apply(case-tac i ,simp+)

done

lemma *takecptn-is-cptn* [rule-format, elim!]:

```

 $\forall j. c \in \text{cptn} \longrightarrow \text{take} (\text{Suc } j) c \in \text{cptn}$ 
apply(induct c)
apply(force elim: cptn.cases)
apply clarify
apply(case-tac j)
apply simp
apply(rule CptnOne)
apply simp
apply(force intro:cptn.intros elim:cptn.cases)
done

lemma dropcptn-is-cptn [rule-format,elim!]:
 $\forall j < \text{length } c. c \in \text{cptn} \longrightarrow \text{drop } j c \in \text{cptn}$ 
apply(induct c)
apply(force elim: cptn.cases)
apply clarify
apply(case-tac j,simp+)
apply(erule cptn.cases)
apply simp
apply force
apply force
done

lemma takepar-cptn-is-par-cptn [rule-format,elim]:
 $\forall j. c \in \text{par-cptn} \longrightarrow \text{take} (\text{Suc } j) c \in \text{par-cptn}$ 
apply(induct c)
apply(force elim: cptn.cases)
apply clarify
apply(case-tac j,simp)
apply(rule ParCptnOne)
apply(force intro:par-cptn.intros elim:par-cptn.cases)
done

lemma droppar-cptn-is-par-cptn [rule-format]:
 $\forall j < \text{length } c. c \in \text{par-cptn} \longrightarrow \text{drop } j c \in \text{par-cptn}$ 
apply(induct c)
apply(force elim: par-cptn.cases)
apply clarify
apply(case-tac j,simp+)
apply(erule par-cptn.cases)
apply simp
apply force
apply force
done

lemma tl-of-cptn-is-cptn:  $\llbracket x \# xs \in \text{cptn}; xs \neq [] \rrbracket \implies xs \in \text{cptn}$ 
apply(subgoal-tac 1 < length (x # xs))
apply(drule dropcptn-is-cptn,simp+)
done

```

```

lemma not-ctran-None [rule-format]:
   $\forall s. (\text{None}, s) \# xs \in \text{cptn} \longrightarrow (\forall i < \text{length } xs. ((\text{None}, s) \# xs)!i - e \rightarrow xs!i)$ 
  apply(induct xs,simp+)
  apply clarify
  apply(erule cptn.cases,simp)
  apply simp
  apply(case-tac i,simp)
  apply(rule Env)
  apply simp
  apply(force elim:ctran.cases)
  done

lemma cptn-not-empty [simp]:[]  $\notin \text{cptn}$ 
  apply(force elim:cptn.cases)
  done

lemma etran-or-ctran [rule-format]:
   $\forall m i. x \in \text{cptn} \longrightarrow m \leq \text{length } x$ 
   $\longrightarrow (\forall i. \text{Suc } i < m \longrightarrow \neg x!i - c \rightarrow x!\text{Suc } i) \longrightarrow \text{Suc } i < m$ 
   $\longrightarrow x!i - e \rightarrow x!\text{Suc } i$ 
  supply [[simproc del: defined-all]]
  apply(induct x,simp)
  apply clarify
  apply(erule cptn.cases,simp)
  apply(case-tac i,simp)
  apply(rule Env)
  apply simp
  apply(erule-tac x=m - 1 in allE)
  apply(case-tac m,simp,simp)
  apply(subgoal-tac ( $\forall i. \text{Suc } i < nata \longrightarrow (((P, t) \# xs) ! i, xs ! i) \notin \text{ctran}$ ))
  apply force
  apply clarify
  apply(erule-tac x=Suc ia in allE,simp)
  apply(erule-tac x=0 and P=λj. H j → (J j)  $\notin \text{ctran}$  for H J in allE,simp)
  done

lemma etran-or-ctran2 [rule-format]:
   $\forall i. \text{Suc } i < \text{length } x \longrightarrow x \in \text{cptn} \longrightarrow (x!i - c \rightarrow x!\text{Suc } i \longrightarrow \neg x!i - e \rightarrow x!\text{Suc } i)$ 
   $\vee (x!i - e \rightarrow x!\text{Suc } i \longrightarrow \neg x!i - c \rightarrow x!\text{Suc } i)$ 
  apply(induct x)
  apply simp
  apply clarify
  apply(erule cptn.cases,simp)
  apply(case-tac i,simp+)
  apply(case-tac i,simp)
  apply(force elim:etran.cases)
  apply simp
  done

```

```

lemma etran-or-ctran2-disjI1:
   $\llbracket x \in \text{cptrn}; \text{Suc } i < \text{length } x; x!i - c \rightarrow x!\text{Suc } i \rrbracket \implies \neg x!i - e \rightarrow x!\text{Suc } i$ 
  by(drule etran-or-ctran2,simp-all)

lemma etran-or-ctran2-disjI2:
   $\llbracket x \in \text{cptrn}; \text{Suc } i < \text{length } x; x!i - e \rightarrow x!\text{Suc } i \rrbracket \implies \neg x!i - c \rightarrow x!\text{Suc } i$ 
  by(drule etran-or-ctran2,simp-all)

lemma not-ctran-None2 [rule-format]:
   $\llbracket (\text{None}, s) \# xs \in \text{cptrn}; i < \text{length } xs \rrbracket \implies \neg ((\text{None}, s) \# xs) ! i - c \rightarrow xs ! i$ 
  apply(frule not-ctran-None,simp)
  apply(case-tac i,simp)
  apply(force elim:etranE)
  apply simp
  apply(rule etran-or-ctran2-disjI2,simp-all)
  apply(force intro:tl-of-cptrn-is-cptrn)
  done

lemma Ex-first-occurrence [rule-format]:  $P (n::nat) \longrightarrow (\exists m. P m \wedge (\forall i < m. \neg P i))$ 
  apply(rule nat-less-induct)
  apply clarify
  apply(case-tac  $\forall m. m < n \longrightarrow \neg P m$ )
  apply auto
  done

lemma stability [rule-format]:
   $\forall j k. x \in \text{cptrn} \longrightarrow \text{stable } p \text{ rely} \longrightarrow j \leq k \longrightarrow k < \text{length } x \longrightarrow \text{snd}(x!j) \in p \longrightarrow$ 
   $(\forall i. (\text{Suc } i) < \text{length } x \longrightarrow$ 
     $(x!i - e \rightarrow x!(\text{Suc } i)) \longrightarrow (\text{snd}(x!i), \text{snd}(x!(\text{Suc } i))) \in \text{rely}) \longrightarrow$ 
     $(\forall i. j \leq i \wedge i < k \longrightarrow x!i - e \rightarrow x!\text{Suc } i) \longrightarrow \text{snd}(x!k) \in p \wedge \text{fst}(x!j) = \text{fst}(x!k)$ 
  supply [[simproc del: defined-all]]
  apply(induct x)
  apply clarify
  apply(force elim:cptrn.cases)
  apply clarify
  apply(erule cptrn.cases,simp)
  apply simp
  apply(case-tac k,simp,simp)
  apply(case-tac j,simp)
  apply(erule-tac  $x=0$  in allE)
  apply(erule-tac  $x=nat$  and  $P=\lambda j. (0 \leq j) \longrightarrow (J j)$  for  $J$  in allE,simp)
  apply(subgoal-tac  $t \in p$ )
  apply(subgoal-tac  $(\forall i. i < \text{length } xs \longrightarrow ((P, t) \# xs) ! i - e \rightarrow xs ! i \longrightarrow (\text{snd}((P, t) \# xs) ! i), \text{snd}(xs ! i)) \in \text{rely})$ 
  apply clarify
  apply(erule-tac  $x=\text{Suc } i$  and  $P=\lambda j. (H j) \longrightarrow (J j) \in \text{etran}$  for  $H J$  in allE,simp)

```

```

apply clarify
apply(erule-tac  $x = \text{Suc } i$  and  $P = \lambda j. (H j) \rightarrow (J j) \rightarrow (T j) \in \text{rely}$  for  $H J T$ 
in allE,simp)
apply(erule-tac  $x = 0$  and  $P = \lambda j. (H j) \rightarrow (J j) \in \text{etran} \rightarrow T j$  for  $H J T$  in
allE,simp)
apply(simp(no-asm-use) only:stable-def)
apply(erule-tac  $x = s$  in allE)
apply(erule-tac  $x = t$  in allE)
apply simp
apply(erule mp)
apply(erule mp)
apply(rule Env)
apply simp
apply(erule-tac  $x = \text{nata}$  in allE)
apply(erule-tac  $x = \text{nat}$  and  $P = \lambda j. (s \leq j) \rightarrow (J j)$  for  $s J$  in allE,simp)
apply(subgoal-tac ( $\forall i. i < \text{length } xs \rightarrow ((P, t) \# xs) ! i - e \rightarrow xs ! i \rightarrow (\text{snd } ((P, t) \# xs) ! i), \text{snd } (xs ! i)) \in \text{rely}$ ))
apply clarify
apply(erule-tac  $x = \text{Suc } i$  and  $P = \lambda j. (H j) \rightarrow (J j) \in \text{etran}$  for  $H J$  in allE,simp)
apply clarify
apply(erule-tac  $x = \text{Suc } i$  and  $P = \lambda j. (H j) \rightarrow (J j) \rightarrow (T j) \in \text{rely}$  for  $H J T$ 
in allE,simp)
apply(case-tac  $k, \text{simp}, \text{simp}$ )
apply(case-tac  $j$ )
apply(erule-tac  $x = 0$  and  $P = \lambda j. (H j) \rightarrow (J j) \in \text{etran}$  for  $H J$  in allE,simp)
apply(erule etran.cases,simp)
apply(erule-tac  $x = \text{nata}$  in allE)
apply(erule-tac  $x = \text{nat}$  and  $P = \lambda j. (s \leq j) \rightarrow (J j)$  for  $s J$  in allE,simp)
apply(subgoal-tac ( $\forall i. i < \text{length } xs \rightarrow ((Q, t) \# xs) ! i - e \rightarrow xs ! i \rightarrow (\text{snd } ((Q, t) \# xs) ! i), \text{snd } (xs ! i)) \in \text{rely}$ ))
apply clarify
apply(erule-tac  $x = \text{Suc } i$  and  $P = \lambda j. (H j) \rightarrow (J j) \in \text{etran}$  for  $H J$  in allE,simp)
apply clarify
apply(erule-tac  $x = \text{Suc } i$  and  $P = \lambda j. (H j) \rightarrow (J j) \rightarrow (T j) \in \text{rely}$  for  $H J T$ 
in allE,simp)
done

```

3.5.1 Soundness of the System for Component Programs

Soundness of the Basic rule

```

lemma unique-ctran-Basic [rule-format]:
 $\forall s i. x \in \text{cptrn} \rightarrow x ! 0 = (\text{Some } (\text{Basic } f), s) \rightarrow$ 
 $\text{Suc } i < \text{length } x \rightarrow x ! i - c \rightarrow x ! \text{Suc } i \rightarrow$ 
 $(\forall j. \text{Suc } j < \text{length } x \rightarrow i \neq j \rightarrow x ! j - e \rightarrow x ! \text{Suc } j)$ 
apply(induct  $x, \text{simp}$ )
apply simp
apply clarify
apply(erule cptrn.cases,simp)
apply(case-tac  $i, \text{simp} +$ )

```

```

apply clarify
apply(case-tac j,simp)
  apply(rule Env)
  apply simp
apply clarify
apply simp
apply(case-tac i)
  apply(case-tac j,simp,simp)
    apply(erule ctran.cases,simp-all)
    apply(force elim: not-ctran-None)
  apply(ind-cases ((Some (Basic f), sa), Q, t) ∈ ctran for sa Q t)
  apply simp
apply(drule-tac i=nat in not-ctran-None,simp)
apply(erule etranE,simp)
done

lemma exists-ctran-Basic-None [rule-format]:
  ∀ s i. x ∈ cptn → x ! 0 = (Some (Basic f), s)
  → i < length x → fst(x!i)=None → (∃ j < i. x!j -c→ x!Suc j)
apply(induct x,simp)
apply simp
apply clarify
apply(erule cptn.cases,simp)
  apply(case-tac i,simp,simp)
    apply(erule-tac x=nat in allE,simp)
    apply clarify
    apply(rule-tac x=Suc j in exI,simp,simp)
  apply clarify
  apply(case-tac i,simp,simp)
  apply(rule-tac x=0 in exI,simp)
done

lemma Basic-sound:
  [pre ⊆ {s. f s ∈ post}; {(s, t). s ∈ pre ∧ t = f s} ⊆ guar;
  stable pre rely; stable post rely]
  ⇒ ⊨ Basic f sat [pre, rely, guar, post]
  supply [[simproc del: defined-all]]
apply(unfold com-validity-def)
apply clarify
apply(simp add:comm-def)
apply(rule conjI)
  apply clarify
  apply(simp add:cp-def assum-def)
  apply clarify
  apply(frule-tac j=0 and k=i and p=pre in stability)
    apply simp-all
    apply(erule-tac x=ia in allE,simp)
    apply(erule-tac i=i and f=f in unique-ctran-Basic,simp-all)
  apply(erule subsetD,simp)

```

```

apply(case-tac x!i)
apply clarify
apply(drule-tac s=Some (Basic f) in sym,simp)
apply(thin-tac  $\forall j. H j$  for H)
apply(force elim:ctran.cases)
apply clarify
apply(simp add:cp-def)
apply clarify
apply(frule-tac i=length x - 1 and f=f in exists-ctran-Basic-None,simp+)
  apply(case-tac x,simp+)
    apply(rule last-fst-esp,simp add:last-length)
  apply(case-tac x,simp+)
apply(simp add:assum-def)
apply clarify
apply(frule-tac j=0 and k=j and p=pre in stability)
  apply simp-all
  apply(erule-tac x=i in allE,simp)
apply(erule-tac i=j and f=f in unique-ctran-Basic,simp-all)
apply(case-tac x!j)
apply clarify
apply simp
apply(drule-tac s=Some (Basic f) in sym,simp)
apply(case-tac x!Suc j,simp)
apply(rule ctran.cases,simp)
apply(simp-all)
apply(drule-tac c=sa in subsetD,simp)
apply clarify
apply(frule-tac j=Suc j and k=length x - 1 and p=post in stability,simp-all)
  apply(case-tac x,simp+)
  apply(erule-tac x=i in allE)
apply(erule-tac i=j and f=f in unique-ctran-Basic,simp-all)
  apply arith+
apply(case-tac x)
apply(simp add:last-length)+
```

done

Soundness of the Await rule

```

lemma unique-ctran-Await [rule-format]:
 $\forall s. x \in \text{cptrn} \rightarrow x ! 0 = (\text{Some} (\text{Await } b\ c), s) \rightarrow$ 
 $Suc i < \text{length } x \rightarrow x!i - c \rightarrow x!Suc i \rightarrow$ 
 $(\forall j. Suc j < \text{length } x \rightarrow i \neq j \rightarrow x!j - e \rightarrow x!Suc j)$ 
apply(induct x,simp+)
apply clarify
apply(erule cptrn.cases,simp)
apply(case-tac i,simp+)
apply clarify
apply(case-tac j,simp)
apply(rule Env)
```

```

apply simp
apply clarify
apply simp
apply(case-tac i)
apply(case-tac j,simp,simp)
apply(erule ctran.cases,simp-all)
apply(force elim: not-ctran-None)
apply(ind-cases ((Some (Await b c), sa), Q, t) ∈ ctran for sa Q t,simp)
apply(drule-tac i=nat in not-ctran-None,simp)
apply(erule etranE,simp)
done

lemma exists-ctran-Await-None [rule-format]:
  ∀ s i. x ∈ cptn → x ! 0 = (Some (Await b c), s)
  → i < length x → fst(x!i)=None → (∃ j < i. x!j -c→ x!Suc j)
apply(induct x,simp+)
apply clarify
apply(erule cptn.cases,simp)
apply(case-tac i,simp+)
apply(erule-tac x=nat in alle,simp)
apply clarify
apply(rule-tac x=Suc j in exI,simp,simp)
apply clarify
apply(case-tac i,simp,simp)
apply(rule-tac x=0 in exI,simp)
done

lemma Star-imp-cptn:
  (P, s) -c*→ (R, t) ⇒ ∃ l ∈ cp P s. (last l)=(R, t)
  ∧ (∀ i. Suc i < length l → l!i -c→ l!Suc i)
apply (erule converse-rtrancl-induct2)
apply(rule-tac x=[(R,t)] in bexI)
apply simp
apply(simp add:cp-def)
apply(rule CptnOne)
apply clarify
apply(rule-tac x=(a, b)#l in bexI)
apply (rule conjI)
apply(case-tac l,simp add:cp-def)
apply(simp add:last-length)
apply clarify
apply(case-tac i,simp)
apply(simp add:cp-def)
apply force
apply(simp add:cp-def)
apply(case-tac l)
apply(force elim:cptn.cases)
apply simp
apply(erule CptnComp)

```

```

apply clarify
done

lemma Await-sound:
   $\llbracket \text{stable } \text{pre} \text{ rely}; \text{stable } \text{post} \text{ rely};$ 
   $\forall V. \vdash P \text{ sat } [\text{pre} \cap b \cap \{s. s = V\}, \{(s, t). s = t\},$ 
   $UNIV, \{s. (V, s) \in \text{guar}\} \cap \text{post}] \wedge$ 
   $\models P \text{ sat } [\text{pre} \cap b \cap \{s. s = V\}, \{(s, t). s = t\},$ 
   $UNIV, \{s. (V, s) \in \text{guar}\} \cap \text{post}] \rrbracket$ 
   $\implies \models \text{Await } b \text{ } P \text{ sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}]$ 
apply(unfold com-validity-def)
apply clarify
apply(simp add:comm-def)
apply(rule conjI)
apply clarify
apply(simp add:cp-def assum-def)
apply clarify
apply(frule-tac j=0 and k=i and p=pre in stability,simp-all)
  apply(erule-tac x=ia in allE,simp)
  apply(subgoal-tac x in cp (Some(Await b P)) s)
  apply(erule-tac i=i in unique-ctran-Await,force,simp-all)
  apply(simp add:cp-def)
— here starts the different part.
apply(erule ctran.cases,simp-all)
apply(drule Star-imp-cptn)
apply clarify
apply(erule-tac x=sa in allE)
apply clarify
apply(erule-tac x=sa in allE)
apply(drule-tac c=l in subsetD)
  apply (simp add:cp-def)
  apply clarify
  apply(erule-tac x=ia and P=λi. H i → (J i, I i) ∈ ctran for H J I in allE,simp)
  apply(erule etranE,simp)
  apply simp
  apply clarify
  apply(simp add:cp-def)
  apply clarify
  apply(frule-tac i=length x - 1 in exists-ctran-Await-None,force)
    apply(case-tac x,simp+)
    apply(rule last-fst-esp,simp add:last-length)
    apply(case-tac x, simp+)
    apply clarify
    apply(simp add:assum-def)
    apply clarify
    apply(frule-tac j=0 and k=j and p=pre in stability,simp-all)
      apply(erule-tac x=i in allE,simp)
      apply(erule-tac i=j in unique-ctran-Await,force,simp-all)
      apply(case-tac x!j)

```

```

apply clarify
apply simp
apply(drule-tac s=Some (Await b P) in sym,simp)
apply(case-tac x!Suc j,simp)
apply(rule ctran.cases,simp)
apply(simp-all)
apply(drule Star-imp-cptn)
apply clarify
apply(erule-tac x=sa in allE)
apply clarify
apply(erule-tac x=sa in allE)
apply(drule-tac c=l in subsetD)
apply (simp add:cp-def)
apply clarify
apply(erule-tac x=i and P=λi. H i → (J i, I i)∈ctran for H J I in allE,simp)
apply(erule etranE,simp)
apply simp
apply clarify
apply(frule-tac j=Suc j and k=length x - 1 and p=post in stability,simp-all)
apply(case-tac x,simp+)
apply(erule-tac x=i in allE)
apply(erule-tac i=j in unique-ctran-Await,force,simp-all)
apply arith+
apply(case-tac x)
apply(simp add:last-length)+
done

```

Soundness of the Conditional rule

```

lemma Cond-sound:
  [ stable pre rely; ⊨ P1 sat [pre ∩ b, rely, guar, post];
    ⊨ P2 sat [pre ∩ ~ b, rely, guar, post]; ∀ s. (s,s)∈guar ]
  ⇒ ⊨ (Cond b P1 P2) sat [pre, rely, guar, post]
apply(unfold com-validity-def)
apply clarify
apply(simp add:cp-def comm-def)
apply(case-tac ∃ i. Suc i < length x ∧ x!i - c → x!Suc i)
prefer 2
apply simp
apply clarify
apply(frule-tac j=0 and k=length x - 1 and p=pre in stability,simp+)
  apply(case-tac x,simp+)
  apply(simp add:assum-def)
  apply(simp add:assum-def)
apply(erule-tac m=length x in etran-or-ctrans,simp+)
apply(case-tac x, (simp add:last-length)+)
apply(erule exE)
apply(drule-tac n=i and P=λi. H i ∧ (J i, I i) ∈ ctran for H J I in Ex-first-occurrence)
apply clarify

```

```

apply (simp add:assum-def)
apply(frule-tac j=0 and k=m and p=pre in stability,simp+)
  apply(erule-tac m=Suc m in etran-or-ctran,simp+)
  apply(erule ctran.cases,simp-all)
  apply(erule-tac x=sa in allE)
  apply(drule-tac c=drop (Suc m) x in subsetD)
    apply simp
    apply clarify
  apply simp
  apply clarify
  apply(case-tac i≤m)
    apply(drule le-imp-less-or-eq)
    apply(erule disjE)
      apply(erule-tac x=i in allE, erule impE, assumption)
      apply simp+
    apply(erule-tac x=i - (Suc m) and P=λj. H j → J j → (I j)∈guar for H J
I in allE)
      apply(subgoal-tac (Suc m)+(i - Suc m) ≤ length x)
      apply(subgoal-tac (Suc m)+Suc (i - Suc m) ≤ length x)
        apply(rotate-tac -2)
        apply simp
        apply arith
        apply arith
      apply(case-tac length (drop (Suc m) x),simp)
      apply(erule-tac x=sa in allE)
      back
    apply(drule-tac c=drop (Suc m) x in subsetD,simp)
      apply clarify
    apply simp
    apply clarify
    apply(case-tac i≤m)
      apply(drule le-imp-less-or-eq)
      apply(erule disjE)
        apply(erule-tac x=i in allE, erule impE, assumption)
        apply simp
        apply simp
      apply(erule-tac x=i - (Suc m) and P=λj. H j → J j → (I j)∈guar for H J
I in allE)
        apply(subgoal-tac (Suc m)+(i - Suc m) ≤ length x)
        apply(subgoal-tac (Suc m)+Suc (i - Suc m) ≤ length x)
          apply(rotate-tac -2)
          apply simp
          apply arith
          apply arith
        done

```

Soundness of the Sequential rule

inductive-cases Seq-cases [elim!]: (Some (Seq P Q), s) $-c \rightarrow t$

```

lemma last-lift-not-None:  $\text{fst}((\text{lift } Q) ((x \# xs)!(\text{length } xs))) \neq \text{None}$ 
apply(subgoal-tac  $\text{length } xs < \text{length } (x \# xs)$ )
apply(drule-tac  $Q=Q$  in  $\text{lift-nth}$ )
apply(erule ssubst)
apply (simp add:lift-def)
apply(case-tac  $(x \# xs) ! \text{length } xs, \text{simp}$ )
apply simp
done

lemma Seq-sound1 [rule-format]:
 $x \in \text{cptn-mod} \implies \forall s P. x !0 = (\text{Some } (\text{Seq } P Q), s) \longrightarrow$ 
 $(\forall i < \text{length } x. \text{fst}(x!i) \neq \text{Some } Q) \longrightarrow$ 
 $(\exists xs \in \text{cp } (\text{Some } P) s. x = \text{map } (\text{lift } Q) xs)$ 
supply [[simproc del: defined-all]]
apply(erule cptn-mod.induct)
apply(unfold cp-def)
apply safe
apply simp-all
apply(simp add:lift-def)
apply(rule-tac  $x = [(\text{Some } Pa, sa)]$  in exI, simp add:CptnOne)
apply(subgoal-tac  $(\forall i < \text{Suc } (\text{length } xs). \text{fst}(((\text{Some } (\text{Seq } Pa Q), t) \# xs) ! i) \neq \text{Some } Q)$ )
apply clarify
apply(rule-tac  $x = (\text{Some } Pa, sa) \# (\text{Some } Pa, t) \# zs$  in exI, simp)
apply(rule conjI,erule CptnEnv)
apply(simp (no-asm-use) add:lift-def)
apply clarify
apply(erule-tac  $x = \text{Suc } i$  in allE, simp)
apply(ind-cases  $((\text{Some } (\text{Seq } Pa Q), sa), \text{None}, t) \in \text{ctrans}$  for  $Pa$   $sa$   $t$ )
apply(rule-tac  $x = (\text{Some } P, sa) \# xs$  in exI, simp add:cptn-iff-cptn-mod lift-def)
apply(erule-tac  $x = \text{length } xs$  in allE, simp)
apply(simp only:Cons-lift-append)
apply(subgoal-tac  $\text{length } xs < \text{length } ((\text{Some } P, sa) \# xs)$ )
apply(simp only :nth-append length-map last-length nth-map)
apply(case-tac  $\text{last}((\text{Some } P, sa) \# xs)$ )
apply(simp add:lift-def)
apply simp
done

lemma Seq-sound2 [rule-format]:
 $x \in \text{cptn} \implies \forall s P. x !0 = (\text{Some } (\text{Seq } P Q), s) \longrightarrow i < \text{length } x$ 
 $\longrightarrow \text{fst}(x!i) = \text{Some } Q \longrightarrow$ 
 $(\forall j < i. \text{fst}(x!j) \neq (\text{Some } Q)) \longrightarrow$ 
 $(\exists xs ys. xs \in \text{cp } (\text{Some } P) s \wedge \text{length } xs = \text{Suc } i$ 
 $\wedge ys \in \text{cp } (\text{Some } Q) (\text{snd}(xs !i)) \wedge x = (\text{map } (\text{lift } Q) xs) @ \text{tl } ys)$ 
supply [[simproc del: defined-all]]
apply(erule cptn.induct)
apply(unfold cp-def)

```

```

apply safe
apply simp-all
apply(case-tac i,simp+)
apply(erule allE,erule impE,assumption,simp)
apply clarify
apply(subgoal-tac ( $\forall j < \text{nat}. \text{fst } (((\text{Some } (\text{Seq } Pa Q), t) \# xs) ! j) \neq \text{Some } Q$ ),clarify)
prefer 2
apply force
apply(case-tac xsa,simp,simp)
apply(rename-tac list)
apply(rule-tac  $x=(\text{Some } Pa, sa) \# (\text{Some } Pa, t) \# \text{list in exI},simp$ )
apply(rule conjI,erule CptnEnv)
apply(simp (no-asm-use) add:lift-def)
apply(rule-tac  $x=ys \text{ in exI},simp$ )
apply(ind-cases  $((\text{Some } (\text{Seq } Pa Q), sa), t) \in \text{ctran for Pa sa t}$ )
apply simp
apply(rule-tac  $x=(\text{Some } Pa, sa) \# [(\text{None}, ta)] \text{ in exI},simp$ )
apply(rule conjI)
apply(drule-tac  $xs=[] \text{ in CptnComp},force simp add:CptnOne,simp$ )
apply(case-tac i, simp+)
apply(case-tac nat,simp+)
apply(rule-tac  $x=(\text{Some } Q, ta) \# xs \text{ in exI},simp add:lift-def$ )
apply(case-tac nat,simp+)
apply(force)
apply(case-tac i, simp+)
apply(case-tac nat,simp+)
apply(erule-tac  $x=\text{Suc nata} \text{ in allE},simp$ )
apply clarify
apply(subgoal-tac ( $\forall j < \text{Suc nata}. \text{fst } (((\text{Some } (\text{Seq } P2 Q), ta) \# xs) ! j) \neq \text{Some } Q$ ),clarify)
prefer 2
apply clarify
apply force
apply(rule-tac  $x=(\text{Some } Pa, sa) \# (\text{Some } P2, ta) \# (tl xs) \text{ in exI},simp$ )
apply(rule conjI,erule CptnComp)
apply(rule nth-tl-if,force,simp+)
apply(rule-tac  $x=ys \text{ in exI},simp$ )
apply(rule conjI)
apply(rule nth-tl-if,force,simp+)
apply(rule tl-zero,simp+)
apply(force)
apply(rule conjI,simp add:lift-def)
apply(subgoal-tac  $\text{lift } Q \ (\text{Some } P2, ta) = (\text{Some } (\text{Seq } P2 Q), ta)$ )
apply(simp add:Cons-lift del:list.map)
apply(rule nth-tl-if)
apply force
apply simp+
apply(simp add:lift-def)

```

done

```
lemma last-lift-not-None2: fst ((lift Q) (last (x#xs))) ≠ None
apply(simp only:last-length [THEN sym])
apply(subgoal-tac length xs<length (x # xs))
apply(drule-tac Q=Q in lift-nth)
apply(erule ssubst)
apply (simp add:lift-def)
apply(case-tac (x # xs) ! length xs,simp)
apply simp
done

lemma Seq-sound:
  [| P sat [pre, rely, guar, mid]; |] = Q sat [mid, rely, guar, post]
  ==> |] Seq P Q sat [pre, rely, guar, post]
apply(unfold com-validity-def)
apply clarify
apply(case-tac ∃ i < length x. fst(x!i)=Some Q)
prefer 2
apply (simp add:cp-def cptn-iff-cptn-mod)
apply clarify
apply(frule-tac Seq-sound1,force)
apply force
apply clarify
apply(erule-tac x=s in allE,simp)
apply(drule-tac c=xs in subsetD,simp add:cp-def cptn-iff-cptn-mod)
apply(simp add:assum-def)
apply clarify
apply(erule-tac P=λj. H j → J j → I j for H J I in allE,erule impE,
assumption)
apply(simp add: snd-lift)
apply(erule mp)
apply(force elim:etranE intro:Env simp add:lift-def)
apply(simp add:comm-def)
apply(rule conjI)
apply clarify
apply(erule-tac P=λj. H j → J j → I j for H J I in allE,erule impE,
assumption)
apply(simp add: snd-lift)
apply(erule mp)
apply(case-tac (xs!i))
apply(case-tac (xs! Suc i))
apply(case-tac fst(xs!i))
apply(erule-tac x=i in allE, simp add:lift-def)
apply(case-tac fst(xs!Suc i))
apply(force simp add:lift-def)
apply(force simp add:lift-def)
apply clarify
```

```

apply(case-tac xs,simp add:cp-def)
apply clarify
apply (simp del:list.map)
apply (rename-tac list)
apply(subgoal-tac (map (lift Q) ((a, b) # list)) ≠ [])
apply(drule last-conv-nth)
apply (simp del:list.map)
apply(simp only:last-lift-not-None)
apply simp
—  $\exists i < \text{length } x. \text{fst } (x ! i) = \text{Some } Q$ 
apply(erule exE)
apply(drule-tac n=i and P=λi. i < length x ∧ fst (x ! i) = Some Q in Ex-first-occurrence)
apply clarify
apply (simp add:cp-def)
apply clarify
apply(frule-tac i=m in Seq-sound2,force)
apply simp+
apply clarify
apply(simp add:comm-def)
apply(erule-tac x=s in allE)
apply(drule-tac c=xs in subsetD,simp)
apply(case-tac xs=[],simp)
apply(simp add:cp-def assum-def nth-append)
apply clarify
apply(erule-tac x=i in allE)
back
apply(simp add:snd-lift)
apply(erule mp)
apply(force elim:etranE intro:Env simp add:lift-def)
apply simp
apply clarify
apply(erule-tac x=snd(xs!m) in allE)
apply(drule-tac c=ys in subsetD,simp add:cp-def assum-def)
apply(case-tac xs≠[])
apply(drule last-conv-nth,simp)
apply(rule conjI)
apply(erule mp)
apply(case-tac xs!m)
apply(case-tac fst(xs!m),simp)
apply(simp add:lift-def nth-append)
apply clarify
apply(erule-tac x=m+i in allE)
back
back
apply(case-tac ys,(simp add:nth-append)+)
apply (case-tac i, (simp add:snd-lift)+)
apply(erule mp)
apply(case-tac xs!m)
apply(force elim:etran.cases intro:Env simp add:lift-def)

```

```

apply simp
apply simp
apply clarify
apply(rule conjI,clarify)
apply(case-tac i<m,simp add:nth-append)
apply(simp add: snd-lift)
apply(erule allE, erule impE, assumption, erule mp)
apply(case-tac (xs ! i))
apply(case-tac (xs ! Suc i))
apply(case-tac fst(xs ! i),force simp add:lift-def)
apply(case-tac fst(xs ! Suc i))
apply (force simp add:lift-def)
apply (force simp add:lift-def)
apply(erule-tac x=i-m in allE)
back
back
apply(subgoal-tac Suc (i - m) < length ys,simp)
prefer 2
apply arith
apply(simp add:nth-append snd-lift)
apply(rule conjI,clarify)
apply(subgoal-tac i=m)
prefer 2
apply arith
apply clarify
apply(simp add:cp-def)
apply(rule tl-zero)
apply(erule mp)
apply(case-tac lift Q (xs!m),simp add: snd-lift)
apply(case-tac xs!m,case-tac fst(xs!m),simp add:lift-def snd-lift)
apply(case-tac ys,simp+)
apply(simp add:lift-def)
apply simp
apply force
apply clarify
apply(rule tl-zero)
apply(rule tl-zero)
apply (subgoal-tac i-m=Suc(i-Suc m))
apply simp
apply(erule mp)
apply(case-tac ys,simp+)
apply force
apply arith
apply force
apply clarify
apply(case-tac (map (lift Q) xs @ tl ys)≠[])
apply(drule last-conv-nth)
apply(simp add: snd-lift nth-append)
apply(rule conjI,clarify)

```

```

apply(case-tac ys,simp+)
apply clarify
apply(case-tac ys,simp+)
done

```

Soundness of the While rule

```

lemma last-append[rule-format]:
   $\forall xs. ys \neq [] \longrightarrow ((xs @ ys)!(length (xs @ ys) - (Suc 0))) = (ys!(length ys - (Suc 0)))$ 
  apply(induct ys)
    apply simp
    apply clarify
    apply(simp add:nth-append)
  done

lemma assum-after-body:
   $\llbracket \models P \text{ sat } [pre \cap b, \text{rely}, \text{guar}, \text{pre}];$ 
   $(\text{Some } P, s) \# xs \in \text{cptn-mod}; \text{fst } (\text{last } ((\text{Some } P, s) \# xs)) = \text{None}; s \in b;$ 
   $(\text{Some } (\text{While } b P), s) \# (\text{Some } (\text{Seq } P (\text{While } b P)), s) \#$ 
   $\text{map } (\text{lift } (\text{While } b P)) xs @ ys \in \text{assum } (pre, \text{rely}) \rrbracket$ 
   $\implies (\text{Some } (\text{While } b P), \text{snd } (\text{last } ((\text{Some } P, s) \# xs))) \# ys \in \text{assum } (pre, \text{rely})$ 
  apply(simp add:assum-def com-validity-def cp-def cptn-iff-cptn-mod)
  apply clarify
  apply(erule-tac x=s in allE)
  apply(drule-tac c=(Some P, s) # xs in subsetD,simp)
    apply clarify
    apply(erule-tac x=Suc i in allE)
      apply simp
      apply(simp add:Cons-lift-append nth-append snd-lift del:list.map)
      apply(erule mp)
      apply(erule etranE,simp)
      apply(case-tac fst(((Some P, s) # xs) ! i))
        apply(force intro:Env simp add:lift-def)
        apply(force intro:Env simp add:lift-def)
      apply(rule conjI)
        apply clarify
        apply(simp add:comm-def last-length)
      apply clarify
      apply(rule conjI)
        apply(simp add:comm-def)
      apply clarify
      apply(erule-tac x=Suc(length xs + i) in allE,simp)
      apply(case-tac i, simp add:nth-append Cons-lift-append snd-lift last-conv-nth lift-def split-def)
      apply(simp add:Cons-lift-append nth-append snd-lift)
  done

lemma While-sound-aux [rule-format]:
   $\llbracket pre \cap -b \subseteq post; \models P \text{ sat } [pre \cap b, \text{rely}, \text{guar}, \text{pre}]; \forall s. (s, s) \in \text{guar};$ 

```

```

stable pre rely; stable post rely;  $x \in \text{cptn-mod}$  ]]
 $\implies \forall s \ xs. \ x = (\text{Some}(\text{While } b \ P), s) \# xs \longrightarrow x \in \text{assum}(pre, rely) \longrightarrow x \in \text{comm}$ 
(guar, post)
supply [[simproc del: defined-all]]
apply(erule cptn-mod.induct)
apply safe
apply (simp-all del:last.simps)
— 5 subgoals left
apply(simp add:comm-def)
— 4 subgoals left
apply(rule etran-in-comm)
apply(erule mp)
apply(erule tl-of-assum-in-assum,simp)
— While-None
apply(ind-cases ((Some (While b P), s), None, t) ∈ ctran for s t)
apply(simp add:comm-def)
apply(simp add:cptn-iff-cptn-mod [THEN sym])
apply(rule conjI,clarify)
apply(force simp add:assum-def)
apply clarify
apply(rule conjI, clarify)
apply(case-tac i,simp,simp)
apply(force simp add:not-ctran-None2)
apply(subgoal-tac  $\forall i. \ Suc i < \text{length} ((\text{None}, t) \# xs) \longrightarrow (((\text{None}, t) \# xs) ! i, (\text{None}, t) \# xs) ! Suc i \in \text{etran}$ )
prefer 2
apply clarify
apply(rule-tac m=length ((None, s) # xs) in etran-or-ctransimp+)
apply(erule not-ctran-None2,simp)
apply simp+
apply(frule-tac j=0 and k=length ((None, s) # xs) - 1 and p=post in stability,simp+)
apply(force simp add:assum-def subsetD)
apply(simp add:assum-def)
apply clarify
apply(erule-tac x=i in allE,simp)
apply(erule-tac x=Suc i in allE,simp)
apply simp
apply clarify
apply (simp add:last-length)
— WhileOne
apply(rule ctran-in-comm,simp)
apply(simp add:Cons-lift del:list.map)
apply(simp add:comm-def del:list.map)
apply(rule conjI)
apply clarify
apply(case-tac fst(((Some P, sa) # xs) ! i))
apply(case-tac ((Some P, sa) # xs) ! i)
apply (simp add:lift-def)

```

```

apply(ind-cases (Some (While b P), ba) -c→ t for ba t)
  apply simp
  apply simp
  apply (simp add:snd-lift del:list.map)
  apply(simp only:com-validity-def cp-def cptn-iff-cptn-mod)
  apply(erule-tac x=sa in allE)
  apply(drule-tac c=(Some P, sa) # xs in subsetD)
  apply (simp add:assum-def del:list.map)
  apply clarify
  apply(erule-tac x=Suc ia in allE,simp add:snd-lift del:list.map)
  apply(erule mp)
  apply(case-tac fst(((Some P, sa) # xs) ! ia))
  apply(erule etranE,simp add:lift-def)
  apply(rule Env)
  apply(erule etranE,simp add:lift-def)
  apply(rule Env)
  apply (simp add:comm-def del:list.map)
  apply clarify
  apply(erule allE,erule impE,assumption)
  apply(erule mp)
  apply(case-tac ((Some P, sa) # xs) ! i)
  apply(case-tac xs!i)
  apply(simp add:lift-def)
  apply(case-tac fst(xs!i))
  apply force
  apply force
— last=None
  apply clarify
  apply(subgoal-tac (map (lift (While b P)) ((Some P, sa) # xs)) ≠ [])
    apply(drule last-conv-nth)
    apply (simp del:list.map)
    apply(simp only:last-lift-not-None)
  apply simp
— WhileMore
  apply(rule ctran-in-comm,simp del:last.simps)
— metiendo la hipotesis antes de dividir la conclusion.
  apply(subgoal-tac (Some (While b P), snd (last ((Some P, sa) # xs))) # ys ∈
assum (pre, rely))
  apply (simp del:last.simps)
  prefer 2
  apply(erule assum-after-body)
  apply (simp del:last.simps)+
— lo de antes.
  apply(simp add:comm-def del:list.map last.simps)
  apply(rule conjI)
  apply clarify
  apply(simp only:Cons-lift-append)
  apply(case-tac i < length xs)
  apply(simp add:nth-append del:list.map last.simps)

```

```

apply(case-tac fst(((Some P, sa) # xs) ! i))
  apply(case-tac ((Some P, sa) # xs) ! i)
    apply(simp add:lift-def del:last.simps)
    apply(ind-cases (Some (While b P), ba) -c→ t for ba t)
      apply simp
      apply simp
    apply(simp add: snd-lift del:list.map last.simps)
    apply(thin-tac ∀ i. i < length ys → P i for P)
    apply(simp only:com-validity-def cp-def cptn-iff-cptn-mod)
    apply(erule-tac x=sa in allE)
    apply(drule-tac c=(Some P, sa) # xs in subsetD)
      apply(simp add:assum-def del:list.map last.simps)
      apply clarify
        apply(erule-tac x=Suc ia in allE,simp add:nth-append snd-lift del:list.map
last.simps, erule mp)
          apply(case-tac fst(((Some P, sa) # xs) ! ia))
            apply(erule etranE,simp add:lift-def)
              apply(rule Env)
            apply(erule etranE,simp add:lift-def)
              apply(rule Env)
            apply(simp add:comm-def del:list.map)
            apply clarify
            apply(erule allE,erule impE,assumption)
            apply(erule mp)
            apply(case-tac ((Some P, sa) # xs) ! i)
            apply(case-tac xs!i)
            apply(simp add:lift-def)
            apply(case-tac fst(xs!i))
              apply force
            apply force
            — i ≥ length xs
            apply(subgoal-tac i-length xs <length ys)
              prefer 2
              apply arith
            apply(erule-tac x=i-length xs in allE,clarify)
            apply(case-tac i=length xs)
              apply(simp add:nth-append snd-lift del:list.map last.simps)
              apply(simp add:last-length del:last.simps)
              apply(erule mp)
            apply(case-tac last((Some P, sa) # xs))
              apply(simp add:lift-def del:last.simps)
            — i>length xs
            apply(case-tac i-length xs)
              apply arith
            apply(simp add:nth-append del:list.map last.simps)
            apply(rotate-tac -3)
            apply(subgoal-tac i- Suc (length xs)=nat)
              prefer 2
              apply arith

```

```

apply simp
— last=None
apply clarify
apply(case-tac ys)
apply(simp add:Cons-lift del:list.map last.simps)
apply(subgoal-tac (map (lift (While b P)) ((Some P, sa) # xs)) ≠ [])
apply(drule last-conv-nth)
apply (simp del:list.map)
apply(simp only:last-lift-not-None)
apply simp
apply(subgoal-tac ((Some (Seq P (While b P)), sa) # map (lift (While b P)) xs
@ ys) ≠ [])
apply(drule last-conv-nth)
apply (simp del:list.map last.simps)
apply(simp add:nth-append del:last.simps)
apply(rename-tac a list)
apply(subgoal-tac ((Some (While b P), snd (last ((Some P, sa) # xs))) # a # list) ≠ [])
apply(drule last-conv-nth)
apply (simp del:list.map last.simps)
apply simp
apply simp
done

```

lemma While-sound:

$$\begin{aligned} & \llbracket \text{stable } \text{pre } \text{rely}; \text{pre} \cap -b \subseteq \text{post}; \text{stable } \text{post } \text{rely}; \\ & \quad \models P \text{ sat } [\text{pre} \cap b, \text{rely}, \text{guar}, \text{pre}]; \forall s. (s,s) \in \text{guar} \rrbracket \\ & \implies \models \text{While } b \text{ P sat } [\text{pre}, \text{rely}, \text{guar}, \text{post}] \\ & \text{apply}(unfold com-validity-def) \\ & \text{apply} clarify \\ & \text{apply}(erule-tac xs=tl x in While-sound-aux) \\ & \text{apply}(simp add:com-validity-def) \\ & \text{apply} force \\ & \text{apply} simp-all \\ & \text{apply}(simp add:cptn-iff-cptn-mod cp-def) \\ & \text{apply}(simp add:cp-def) \\ & \text{apply} clarify \\ & \text{apply}(rule nth-equalityI) \\ & \text{apply} simp-all \\ & \text{apply}(case-tac x,simp+) \\ & \text{apply}(case-tac i,simp+) \\ & \text{apply}(case-tac x,simp+) \\ & done \end{aligned}$$

Soundness of the Rule of Consequence

lemma Conseq-sound:

$$\begin{aligned} & \llbracket \text{pre} \subseteq \text{pre}'; \text{rely} \subseteq \text{rely}'; \text{guar}' \subseteq \text{guar}; \text{post}' \subseteq \text{post}; \\ & \quad \models P \text{ sat } [\text{pre}', \text{rely}', \text{guar}', \text{post}'] \rrbracket \end{aligned}$$

```

 $\implies \models P \text{ sat } [pre, rely, guar, post]$ 
apply(simp add:com-validity-def assum-def comm-def)
apply clarify
apply(erule-tac x=s in alle)
apply(drule-tac c=x in subsetD)
apply force
apply force
done

```

Soundness of the system for sequential component programs

theorem *rgsound*:

```

 $\vdash P \text{ sat } [pre, rely, guar, post] \implies \models P \text{ sat } [pre, rely, guar, post]$ 
apply(erule rghoare.induct)
apply(force elim:Basic-sound)
apply(force elim:Seq-sound)
apply(force elim:Cond-sound)
apply(force elim:While-sound)
apply(force elim:Await-sound)
apply(erule Conseq-sound,simp+)
done

```

3.5.2 Soundness of the System for Parallel Programs

definition *ParallelCom* :: ('a rgformula) list \Rightarrow 'a par-com **where**
ParallelCom Ps \equiv map (Some \circ fst) *Ps*

lemma *two*:

```

 $\llbracket \forall i < \text{length } xs. \text{rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \text{Guar}(xs ! j))$ 
 $\subseteq \text{Rely}(xs ! i);$ 
 $\text{pre} \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. \text{Pre}(xs ! i));$ 
 $\forall i < \text{length } xs.$ 
 $\models \text{Com}(xs ! i) \text{ sat } [\text{Pre}(xs ! i), \text{Rely}(xs ! i), \text{Guar}(xs ! i), \text{Post}(xs ! i)];$ 
 $\text{length } xs = \text{length } clist; x \in \text{par-cp } (\text{ParallelCom } xs) s; x \in \text{par-assum}(\text{pre}, \text{rely});$ 
 $\forall i < \text{length } clist. clist ! i \in \text{cp } (\text{Some}(\text{Com}(xs ! i))) s; x \propto clist \rrbracket$ 
 $\implies \forall j. i < \text{length } clist \wedge \text{Suc } j < \text{length } x \longrightarrow (clist ! i ! j) - c \rightarrow (clist ! i ! \text{Suc } j)$ 
 $\longrightarrow (\text{snd}(clist ! i ! j), \text{snd}(clist ! i ! \text{Suc } j)) \in \text{Guar}(xs ! i)$ 

```

apply(unfold par-cp-def)

apply (rule ccontr)

— By contradiction:

apply simp

apply(erule exE)

— the first c-tran that does not satisfy the guarantee-condition is from σ -*i* at step *m*.

apply(drule-tac n=j and P=λj. ∃ i. H i j for H in Ex-first-occurrence)

apply(erule exE)

apply clarify

— σ -*i* $\in A(\text{pre}, \text{rely-1})$

apply(subgoal-tac take (Suc (Suc *m*)) (clist ! i) \in assum(Pre(xs ! i), Rely(xs ! i)))

— but this contradicts $\models \sigma$ -*i* sat [pre-*i*, rely-*i*, guar-*i*, post-*i*]

```

apply(erule-tac x=i and P=λi. H i → |=(J i) sat [I i,K i,M i,N i] for H J I
K M N in allE,erule impE,assumption)
apply(simp add:com-validity-def)
apply(erule-tac x=s in allE)
apply(simp add:cp-def comm-def)
apply(drule-tac c=take (Suc (Suc m)) (clist ! i) in subsetD)
apply simp
apply (blast intro: takecptn-is-cptn)
apply simp
apply clarify
apply(erule-tac x=m and P=λj. I j ∧ J j → H j for I J H in allE)
apply (simp add:conjoin-def same-length-def)
apply(simp add:assum-def)
apply(rule conjI)
apply(erule-tac x=i and P=λj. H j → I j ∈ cp (K j) (J j) for H I K J in
allE)
apply(simp add:cp-def par-assum-def)
apply(drule-tac c=s in subsetD,simp)
apply simp
apply clarify
apply(erule-tac x=i and P=λj. H j → M ∪ ((T j) ‘ (S j)) ⊆ (L j) for H M
S T L in allE)
apply simp
apply(erule subsetD)
apply simp
apply(simp add:conjoin-def compat-label-def)
apply clarify
apply(erule-tac x=ia and P=λj. H j → (P j) ∨ Q j for H P Q in allE,simp)
— each etran in σ-1[0...m] corresponds to
apply(erule disjE)
— a c-tran in some σ-{ib}
apply clarify
apply(case-tac i=ib,simp)
apply(erule etranE,simp)
apply(erule-tac x=ib and P=λi. H i → (I i) ∨ (J i) for H I J in allE)
apply (erule etranE)
apply(case-tac ia=m,simp)
apply simp
apply(erule-tac x=ia and P=λj. H j → (∀i. P i j) for H P in allE)
apply(subgoal-tac ia<m,simp)
prefer 2
apply arith
apply(erule-tac x=ib and P=λj. (I j, H j) ∈ ctran → P i j for I H P in
allE,simp)
apply(simp add:same-state-def)
apply(erule-tac x=i and P=λj. (T j) → (∀i. (H j i) → (snd (d j i))=(snd
(e j i))) for T H d e in all-dupE)
apply(erule-tac x=ib and P=λj. (T j) → (∀i. (H j i) → (snd (d j i))=(snd
(e j i))) for T H d e in allE,simp)

```

— or an e-tran in σ , therefore it satisfies $rely \vee guar\{-ib\}$
apply (*force simp add:par-assum-def same-state-def*)
done

lemma three [rule-format]:
 $\llbracket xs \neq [] ; \forall i < \text{length } xs. rely \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. Guar(xs ! j)) \subseteq Rely(xs ! i);$
 $pre \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. Pre(xs ! i));$
 $\forall i < \text{length } xs.$
 $\models Com(xs ! i) \text{ sat } [Pre(xs ! i), Rely(xs ! i), Guar(xs ! i), Post(xs ! i)];$
 $\text{length } xs = \text{length } clist; x \in \text{par-cp } (\text{ParallelCom } xs) s; x \in \text{par-assum}(pre, rely);$
 $\forall i < \text{length } clist. clist!i \in cp(\text{Some}(Com(xs ! i))) s; x \propto clist \rrbracket$
 $\implies \forall j i. i < \text{length } clist \wedge Suc j < \text{length } x \longrightarrow (clist!i ! j) - e \rightarrow (clist!i ! Suc j)$
 $\longrightarrow (snd(clist!i ! j), snd(clist!i ! Suc j)) \in rely \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. Guar(xs ! j))$
apply(drule two)
apply simp-all
apply clarify
apply(simp add:conjoin-def compat-label-def)
apply clarify
apply(erule-tac x=j and P=λj. H j → (J j ∧ (exists i. P i j)) ∨ I j for H J P I in allE,simp)
apply(erule disjE)
prefer 2
apply(force simp add:same-state-def par-assum-def)
apply clarify
apply(case-tac i=ia,simp)
apply(erule etranE,simp)
apply(erule-tac x=ia and P=λi. H i → (I i) ∨ (J i) for H I J in allE,simp)
apply(erule-tac x=j and P=λj. ∀ i. S j i → (I j i, H j i) ∈ ctran → P i j for S I H P in allE)
apply(erule-tac x=ia and P=λj. S j → (I j, H j) ∈ ctran → P j for S I H P in allE)
apply(simp add:same-state-def)
apply(erule-tac x=i and P=λj. T j → (forall i. H j i → (snd(d j i)) = (snd(e j i))) for T H d e in all-dupE)
apply(erule-tac x=ia and P=λj. T j → (forall i. H j i → (snd(d j i)) = (snd(e j i))) for T H d e in allE,simp)
done

lemma four:
 $\llbracket xs \neq [] ; \forall i < \text{length } xs. rely \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. Guar(xs ! j)) \subseteq Rely(xs ! i);$
 $(\bigcup j \in \{j. j < \text{length } xs\}. Guar(xs ! j)) \subseteq guar;$
 $pre \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. Pre(xs ! i));$
 $\forall i < \text{length } xs.$
 $\models Com(xs ! i) \text{ sat } [Pre(xs ! i), Rely(xs ! i), Guar(xs ! i), Post(xs ! i)];$
 $x \in \text{par-cp } (\text{ParallelCom } xs) s; x \in \text{par-assum } (pre, rely); Suc i < \text{length } x;$

```

 $x ! i -pc\rightarrow x ! Suc i]$ 
 $\implies (\text{snd } (x ! i), \text{snd } (x ! Suc i)) \in \text{guar}$ 
apply(simp add: ParallelCom-def)
apply(subgoal-tac (map (Some o fst) xs) ≠ [])
  prefer 2
  apply simp
  apply(frule rev-subsetD)
  apply(erule one [THEN equalityD1])
  apply(erule subsetD)
  apply simp
  apply clarify
  apply(drule-tac pre=pre and rely=rely and x=x and s=s and xs=xs and
  clist=clist in two)
  apply(assumption+)
    apply(erule sym)
    apply(simp add:ParallelCom-def)
    apply assumption
    apply(simp add:Com-def)
    apply assumption
  apply(simp add:conjoin-def same-program-def)
  apply clarify
  apply(erule-tac x=i and P=λj. H j → fst(I j)=(J j) for H I J in all-dupE)
  apply(erule-tac x=Suc i and P=λj. H j → fst(I j)=(J j) for H I J in allE)
  apply(erule par-ctransE,simp)
  apply(erule-tac x=i and P=λj. ∀i. S j i → (I j i, H j i) ∈ ctrans → P i j for
  S I H P in allE)
  apply(erule-tac x=ia and P=λj. S j → (I j, H j) ∈ ctrans → P j for S I H P
  in allE)
  apply(rule-tac x=ia in exI)
  apply(simp add:same-state-def)
  apply(erule-tac x=ia and P=λj. T j → (∀i. H j i → (snd (d j i))=(snd (e j
  i))) for T H d e in all-dupE,simp)
  apply(erule-tac x=ia and P=λj. T j → (∀i. H j i → (snd (d j i))=(snd (e j
  i))) for T H d e in allE,simp)
  apply(erule-tac x=i and P=λj. H j → (snd (d j))=(snd (e j)) for H d e in
  all-dupE)
  apply(erule-tac x=i and P=λj. H j → (snd (d j))=(snd (e j)) for H d e in
  all-dupE,simp)
  apply(erule-tac x=Suc i and P=λj. H j → (snd (d j))=(snd (e j)) for H d e
  in allE,simp)
  apply(erule mp)
  apply(subgoal-tac r=fst(clist ! ia ! Suc i),simp)
  apply(drule-tac i=ia in list-eq-if)
  back
  apply simp-all
  done

lemma parcptn-not-empty [simp]:[] ∉ par-cptn
apply(force elim:par-cptn.cases)

```

done

lemma five:

```

 $\llbracket xs \neq [] ; \forall i < \text{length } xs. \text{rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \text{Guar}(xs ! j))$ 
 $\subseteq \text{Rely}(xs ! i);$ 
 $\text{pre} \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. \text{Pre}(xs ! i));$ 
 $(\bigcap i \in \{i. i < \text{length } xs\}. \text{Post}(xs ! i)) \subseteq \text{post};$ 
 $\forall i < \text{length } xs.$ 
 $\models \text{Com}(xs ! i) \text{ sat } [\text{Pre}(xs ! i), \text{Rely}(xs ! i), \text{Guar}(xs ! i), \text{Post}(xs ! i)];$ 
 $x \in \text{par-cp}(\text{ParallelCom } xs) s; x \in \text{par-assum}(\text{pre}, \text{rely});$ 
 $\text{All-None}(\text{fst}(\text{last } x)) \llbracket \implies \text{snd}(\text{last } x) \in \text{post}$ 
apply(simp add: ParallelCom-def)
apply(subgoal-tac (map (Some o fst) xs) ≠ [])
prefer 2
apply simp
apply(frule rev-subsetD)
apply(erule one [THEN equalityD1])
apply(erule subsetD)
apply simp
apply clarify
apply(subgoal-tac  $\forall i < \text{length } clist. clist ! i \in \text{assum}(\text{Pre}(xs ! i), \text{Rely}(xs ! i))$ )
apply(erule-tac  $x = xa$  and  $P = \lambda i. H i \longrightarrow \models (J i) \text{ sat } [I i, K i, M i, N i]$  for  $H J I K M N$  in allE, erule impE, assumption)
apply(simp add:com-validity-def)
apply(erule-tac  $x = s$  in allE)
apply(erule-tac  $x = xa$  and  $P = \lambda j. H j \longrightarrow (I j) \in \text{cp}(J j) s$  for  $H I J$  in allE, simp)
apply(drule-tac  $c = clist ! xa$  in subsetD)
apply(force simp add:Com-def)
apply(simp add:comm-def conjoin-def same-program-def del:last.simps)
apply clarify
apply(erule-tac  $x = \text{length } x - 1$  and  $P = \lambda j. H j \longrightarrow \text{fst}(I j) = (J j)$  for  $H I J$  in allE)
apply(simp add:All-None-def same-length-def)
apply(erule-tac  $x = xa$  and  $P = \lambda j. H j \longrightarrow \text{length}(J j) = (K j)$  for  $H J K$  in allE)
apply(subgoal-tac  $\text{length } x - 1 < \text{length } x$ , simp)
apply(case-tac  $x \neq []$ )
apply(simp add: last-conv-nth)
apply(erule-tac  $x = clist ! xa$  in ballE)
apply(simp add:same-state-def)
apply(subgoal-tac  $clist ! xa \neq []$ )
apply(simp add: last-conv-nth)
apply(case-tac  $x$ )
apply(force simp add:par-cp-def)
apply(force simp add:par-cp-def)
apply force
apply(force simp add:par-cp-def)
apply(case-tac  $x$ )
apply(force simp add:par-cp-def)

```

```

apply (force simp add:par-cp-def)
apply clarify
apply(simp add:assum-def)
apply(rule conjI)
apply(simp add:conjoin-def same-state-def par-cp-def)
apply clarify
apply(erule-tac x=i and P=λj. T j → ( ∀ i. H j i → (snd (d j i))=(snd (e j i))) for T H d e in allE,simp)
apply(erule-tac x=0 and P=λj. H j → (snd (d j))=(snd (e j)) for H d e in allE)
apply(case-tac x,simp+)
apply (simp add:par-assum-def)
apply clarify
apply(drule-tac c=snd (clist ! i ! 0) in subsetD)
apply assumption
apply simp
apply clarify
apply(erule-tac x=i in all-dupE)
apply(rule subsetD, erule mp, assumption)
apply(erule-tac pre=pre and rely=rely and x=x and s=s in three)
apply(erule-tac x=ib in allE,erule mp)
apply simp-all
apply(simp add:ParallelCom-def)
apply(force simp add:Com-def)
apply(simp add:conjoin-def same-length-def)
done

```

lemma *ParallelEmpty* [rule-format]:

$$\forall i s. x \in \text{par-cp} (\text{ParallelCom } []) s \rightarrow \\ \text{Suc } i < \text{length } x \rightarrow (x ! i, x ! \text{Suc } i) \notin \text{par-ctrans}$$

```

apply(induct-tac x)
apply(simp add:par-cp-def ParallelCom-def)
apply clarify
apply(case-tac list,simp,simp)
apply(case-tac i)
apply(simp add:par-cp-def ParallelCom-def)
apply(erule par-ctransE,simp)
apply(simp add:par-cp-def ParallelCom-def)
apply clarify
apply(erule par-cptn.cases,simp)
apply simp
apply(erule par-ctransE)
back
apply simp
done

```

theorem *par-rgsound*:

$$\vdash c \text{ SAT } [\text{pre}, \text{rely}, \text{guar}, \text{post}] \implies \\ \models (\text{ParallelCom } c) \text{ SAT } [\text{pre}, \text{rely}, \text{guar}, \text{post}]$$

```

apply(erule par-rghoare.induct)
apply(case-tac xs,simp)
  apply(simp add:par-com-validity-def par-comm-def)
  apply clarify
  apply(case-tac post=UNIV,simp)
    apply clarify
    apply(drule ParallelEmpty)
      apply assumption
      apply simp
      apply clarify
      apply simp
      apply(subgoal-tac xs≠[])
        prefer 2
        apply simp
        apply(rename-tac a list)
        apply(thin-tac xs = a # list)
        apply(simp add:par-com-validity-def par-comm-def)
        apply clarify
        apply(rule conjI)
          apply clarify
          apply(erule-tac pre=pre and rely=rely and guar=guar and x=x and s=s and
xs=xs in four)
            apply(assumption+)
            apply clarify
            apply(erule alle, erule impE, assumption, erule rgsound)
              apply(assumption+)
            apply clarify
            apply(erule allE, erule impE, assumption, erule rgsound)
              apply(assumption+)
            done
          end

```

3.6 Concrete Syntax

```

theory RG-Syntax
imports RG-Hoare Quote-Antiquote
begin

abbreviation Skip :: 'a com (SKIP)
  where SKIP ≡ Basic id

notation Seq ((-;/ -) [60,61] 60)

syntax

```

<i>-Assign</i>	$:: idt \Rightarrow 'b \Rightarrow 'a com$	((`- :=/ -) [70, 65] 61)
<i>-Cond</i>	$:: 'a bexp \Rightarrow 'a com \Rightarrow 'a com \Rightarrow 'a com$	((0IF -/ THEN -/ ELSE -/ FI) [0, 0, 0] 61)
<i>-Cond2</i>	$:: 'a bexp \Rightarrow 'a com \Rightarrow 'a com$	((0IF - THEN - FI) [0,0] 56)
<i>-While</i>	$:: 'a bexp \Rightarrow 'a com \Rightarrow 'a com$	((0WHILE - /DO - /OD) [0, 0] 61)
<i>-Await</i>	$:: 'a bexp \Rightarrow 'a com \Rightarrow 'a com$	((0AWAIT - /THEN /- /END) [0,0] 61)
<i>-Atom</i>	$:: 'a com \Rightarrow 'a com$	((⟨-⟩) 61)
<i>-Wait</i>	$:: 'a bexp \Rightarrow 'a com$	((0WAIT - END) 61)

translations

$'x := a \rightarrow CONST Basic \ll(-update-name x (\lambda\cdot. a))\gg$
 $IF b THEN c1 ELSE c2 FI \rightarrow CONST Cond \{b\} c1 c2$
 $IF b THEN c FI \rightleftharpoons IF b THEN c ELSE SKIP FI$
 $WHILE b DO c OD \rightarrow CONST While \{b\} c$
 $AWAIT b THEN c END \rightleftharpoons CONST Await \{b\} c$
 $\langle c \rangle \rightleftharpoons AWAIT CONST True THEN c END$
 $WAIT b END \rightleftharpoons AWAIT b THEN SKIP END$

nonterminal *prgs*

syntax

<i>-PAR</i>	$:: prgs \Rightarrow 'a$	(COBEGIN//-///COEND 60)
<i>-prg</i>	$:: 'a \Rightarrow prgs$	(- 57)
<i>-prgs</i>	$:: ['a, prgs] \Rightarrow prgs$	(-// /- [60,57] 57)

translations

$-prg a \rightarrow [a]$
 $-prgs a ps \rightarrow a \# ps$
 $-PAR ps \rightarrow ps$

syntax

-prg-scheme :: $['a, 'a, 'a, 'a] \Rightarrow prgs$ (SCHEME [- ≤ - < -] - [0,0,0,60] 57)

translations

-prg-scheme j i k c $\rightleftharpoons (CONST map (\lambda i. c) [j..<k])$

Translations for variables before and after a transition:

syntax

<i>-before</i>	$:: id \Rightarrow 'a (^{-})$
<i>-after</i>	$:: id \Rightarrow 'a (^{a}-)$

translations

$^{\circ}x \rightleftharpoons x 'CONST fst$
 $^{a}x \rightleftharpoons x 'CONST snd$

print-translation ↵

let

```

fun quote-tr' f (t :: ts) =
  Term.list-comb (f $ Syntax.Trans.quote-tr' syntax-const {-antiquote} t,
ts)
| quote-tr' _ = raise Match;

val assert-tr' = quote-tr' (Syntax.const syntax-const {-Assert});

fun bexp-tr' name ((Const (const-syntax {Collect}, -) $ t) :: ts) =
  quote-tr' (Syntax.const name) (t :: ts)
| bexp-tr' _ = raise Match;

fun assign-tr' (Abs (x, _, f $ k $ Bound 0) :: ts) =
  quote-tr' (Syntax.const syntax-const {-Assign} $ Syntax.Trans.update-name-tr'
f)
  (Abs (x, dummyT, Syntax.Trans.const-abs-tr' k) :: ts)
| assign-tr' _ = raise Match;
in
[const-syntax {Collect}, K assert-tr'],
const-syntax {Basic}, K assign-tr'),
const-syntax {Cond}, K (bexp-tr' syntax-const {-Cond})),
const-syntax {While}, K (bexp-tr' syntax-const {-While}))]
end
>

end

```

3.7 Examples

```

theory RG-Examples
imports RG-Syntax
begin

```

```
lemmas definitions [simp] = stable-def Pre-def Rely-def Guar-def Post-def Com-def
```

3.7.1 Set Elements of an Array to Zero

```
lemma le-less-trans2: [(j::nat)<k; i ≤ j] ==> i < k
by simp
```

```
lemma add-le-less-mono: [(a::nat) < c; b ≤ d] ==> a + b < c + d
by simp
```

```
record Example1 =
A :: nat list
```

```
lemma Example1:
  ⊢ COBEGIN
    SCHEME [0 ≤ i < n]
    ('A := 'A [i := 0],
```

```

{ n < length `A },
{ length °A = length ªA ∧ °A ! i = ªA ! i },
{ length °A = length ªA ∧ (∀j < n. i ≠ j → °A ! j = ªA ! j) },
{ `A ! i = 0 }
COEND
SAT [{ n < length `A }, { °A = ªA }, { True }, { ∀ i < n. `A ! i = 0 }]
apply(rule Parallel)
apply (auto intro!: Basic)
done

lemma Example1-parameterized:
k < t ==>
  ⊢ COBEGIN
    SCHEME [k*n ≤ i < (Suc k)*n] ( `A := `A[i:=0],
    { t*n < length `A },
    { t*n < length °A ∧ length °A = length ªA ∧ °A ! i = ªA ! i },
    { t*n < length °A ∧ length °A = length ªA ∧ (∀j < length °A . i ≠ j → °A ! j = ªA ! j) },
    { `A ! i = 0 })
    COEND
  SAT [{ t*n < length `A },
    { t*n < length °A ∧ length °A = length ªA ∧ (∀i < n. °A !(k*n+i) = ªA !(k*n+i)) },
    { t*n < length °A ∧ length °A = length ªA ∧
      (∀i < length °A . (i < k*n → °A ! i = ªA ! i) ∧ ((Suc k)*n ≤ i → °A ! i = ªA ! i)) },
    { ∀i < n. `A !(k*n+i) = 0 }]
  apply(rule Parallel)
    apply auto
    apply(erule-tac x=k*n+i in allE)
    apply(subgoal-tac k*n+i < length (A b))
      apply force
      apply(erule le-less-trans2)
      apply(case-tac t,simp+)
      apply(simp add:add.commute)
      apply(simp add: add-le-mono)
    apply(rule Basic)
      apply simp
      apply clarify
      apply (subgoal-tac k*n+i < length (A x))
        apply simp
        apply(erule le-less-trans2)
        apply(case-tac t,simp+)
        apply(simp add:add.commute)
        apply(rule add-le-mono, auto)
  done

```

3.7.2 Increment a Variable in Parallel

Two components

```

record Example2 =
  x :: nat
  c-0 :: nat
  c-1 :: nat

lemma Example2:
  ⊢ COBEGIN
    (( `x:=`x+1;; `c-0:=`c-0 + 1 ),
     {`x= `c-0 + `c-1 ∧ `c-0=0},
     {oc-0 = ac-0 ∧
      (ox=oc-0 + oc-1
       → ax = ac-0 + ac-1)}},
     {oc-1 = ac-1 ∧
      (ox=oc-0 + oc-1
       → ax = ac-0 + ac-1)}},
     {`x= `c-0 + `c-1 ∧ `c-0=1 })
   ||
   (( `x:=`x+1;; `c-1:=`c-1+1 ),
     {`x= `c-0 + `c-1 ∧ `c-1=0 },
     {oc-1 = ac-1 ∧
      (ox=oc-0 + oc-1
       → ax = ac-0 + ac-1)}},
     {oc-0 = ac-0 ∧
      (ox=oc-0 + oc-1
       → ax = ac-0 + ac-1)}},
     {`x= `c-0 + `c-1 ∧ `c-1=1})
  COEND
  SAT [{`x=0 ∧ `c-0=0 ∧ `c-1=0},
        {ox=ax ∧ oc-0= ac-0 ∧ oc-1= ac-1},
        {True},
        {`x=2}]
apply(rule Parallel)
  apply simp-all
  apply clarify
  apply(case-tac i)
  apply simp
  apply(rule conjI)
  apply clarify
  apply simp
  apply clarify
  apply simp
  apply simp
  apply(rule conjI)
  apply clarify
  apply simp
  apply clarify

```

```

apply simp
apply(subgoal-tac xa=0)
  apply simp
  apply arith
  apply clarify
  apply(case-tac xaa, simp, simp)
  apply clarify
  apply simp
  apply(erule-tac x=0 in all-dupE)
  apply(erule-tac x=1 in allE,simp)
  apply clarify
  apply(case-tac i,simp)
  apply(rule Await)
    apply simp-all
    apply(clarify)
    apply(rule Seq)
    prefer 2
    apply(rule Basic)
      apply simp-all
      apply(rule subset-refl)
    apply(rule Basic)
    apply simp-all
    apply clarify
    apply simp
    apply(rule Await)
      apply simp-all
      apply(clarify)
      apply(rule Seq)
      prefer 2
      apply(rule Basic)
        apply simp-all
        apply(rule subset-refl)
      apply(auto intro!: Basic)
done

```

Parameterized

```

lemma Example2-lemma2-aux: j < n ==>
  (∑ i=0..<n. (b i::nat)) =
  (∑ i=0..<j. b i) + b j + (∑ i=0..<n-(Suc j) . b (Suc j + i))
apply(induct n)
  apply simp-all
  apply(simp add:less-Suc-eq)
  apply(auto)
  apply(subgoal-tac n - j = Suc(n- Suc j))
    apply simp
    apply arith
done

```

```

lemma Example2-lemma2-aux2:
 $j \leq s \implies (\sum i::nat=0..<j. (b (s:=t)) i) = (\sum i=0..<j. b i)$ 
by (induct j) simp-all

lemma Example2-lemma2:
 $\llbracket j < n; b j = 0 \rrbracket \implies Suc (\sum i::nat=0..<n. b i) = (\sum i=0..<n. (b (j := Suc 0)) i)$ 
apply(frule-tac b=(b (j:=(Suc 0))) in Example2-lemma2-aux)
apply(erule-tac t=sum (b(j := (Suc 0))) {0..<n} in ssubst)
apply(frule-tac b=b in Example2-lemma2-aux)
apply(erule-tac t=sum b {0..<n} in ssubst)
apply(subgoal-tac Suc (sum b {0..<j} + b j + (\sum i=0..<n - Suc j. b (Suc j + i))) = (sum b {0..<j} + Suc (b j) + (\sum i=0..<n - Suc j. b (Suc j + i))))
apply(rotate-tac -1)
apply(erule ssubst)
apply(subgoal-tac j ≤ j)
apply(drule-tac b=b and t=(Suc 0) in Example2-lemma2-aux2)
apply(rotate-tac -1)
apply(erule ssubst)
apply simp-all
done

lemma Example2-lemma2-Suc0:  $\llbracket j < n; b j = 0 \rrbracket \implies$ 
 $Suc (\sum i::nat=0..<n. b i) = (\sum i=0..<n. (b (j := Suc 0)) i)$ 
by(simp add:Example2-lemma2)

record Example2-parameterized =
C :: nat ⇒ nat
y :: nat

lemma Example2-parameterized:  $0 < n \implies$ 
 $\vdash COBEGIN SCHEME [0 \leq i < n]$ 
 $((\acute{y} := \acute{y} + 1;; \acute{C} := \acute{C} (i := 1)),$ 
 $\{\acute{y} = (\sum i=0..<n. \acute{C} i) \wedge \acute{C} i = 0\},$ 
 $\{{^o}C i = {^a}C i \wedge$ 
 $({^o}y = (\sum i=0..<n. {^o}C i) \longrightarrow {^a}y = (\sum i=0..<n. {^a}C i))\},$ 
 $\{(\forall j < n. i \neq j \longrightarrow {^o}C j = {^a}C j) \wedge$ 
 $({^o}y = (\sum i=0..<n. {^o}C i) \longrightarrow {^a}y = (\sum i=0..<n. {^a}C i))\},$ 
 $\{\acute{y} = (\sum i=0..<n. \acute{C} i) \wedge \acute{C} i = 1\})$ 
COEND
SAT [ $\{\acute{y} = 0 \wedge (\sum i=0..<n. \acute{C} i) = 0\}$ ,  $\{{^o}C = {^a}C \wedge {^o}y = {^a}y\}$ ,  $\{True\}$ ,  $\{\acute{y} = n\}$ ]
apply(rule Parallel)
apply force
apply force
apply(force)
apply clarify
apply simp
apply simp
apply clarify
apply simp

```

```

apply(rule Await)
apply simp-all
apply clarify
apply(rule Seq)
prefer 2
apply(rule Basic)
apply(rule subset-refl)
apply simp+
apply(rule Basic)
apply simp
apply clarify
apply simp
apply(simp add:Example2-lemma2-Suc0 cong;if-cong)
apply simp-all
done

```

3.7.3 Find Least Element

A previous lemma:

```

lemma mod-aux :[i < (n::nat); a mod n = i; j < a + n; j mod n = i; a < j] 
  ==> False
apply(subgoal-tac a=a div n*n + a mod n )
prefer 2 apply (simp (no-asm-use))
apply(subgoal-tac j=j div n*n + j mod n)
prefer 2 apply (simp (no-asm-use))
apply simp
apply(subgoal-tac a div n*n < j div n*n)
prefer 2 apply arith
apply(subgoal-tac j div n*n < (a div n + 1)*n)
prefer 2 apply simp
apply (simp only:mult-less-cancel2)
apply arith
done

record Example3 =
  X :: nat => nat
  Y :: nat => nat

lemma Example3: m mod n=0 ==>
  ⊢ COBEGIN
  SCHEME [0≤i<n]
  (WHILE (forall j<n. 'X i < 'Y j) DO
    IF P(B!('X i)) THEN 'Y := 'Y (i:='X i)
    ELSE 'X := 'X (i:=(`X i)+ n) FI
    OD,
    {(`X i) mod n=i ∧ (forall j<'X i. j mod n=i → ¬P(B!j)) ∧ ('Y i < m → P(B!('Y i)) ∧ 'Y i ≤ m+i)},
    {forall j<n. i ≠ j → ^Y j ≤ °Y j} ∧ °X i = ^X i ∧
    °Y i = ^Y i},

```

```

 $\{(\forall j < n. i \neq j \rightarrow {}^o X j = {}^a X j \wedge {}^o Y j = {}^a Y j) \wedge$ 
 ${}^a Y i \leq {}^o Y i\},$ 
 $\{({}^o X i) \text{ mod } n = i \wedge (\forall j < {}^o X i. j \text{ mod } n = i \rightarrow \neg P(B!j)) \wedge ({}^o Y i < m \rightarrow P(B!({}^o Y i)) \wedge$ 
 $i) \wedge {}^o Y i \leq m + i \wedge (\exists j < n. {}^o Y j \leq {}^o X i)\}$ 
COEND
SAT [ $\{\forall i < n. {}^o X i = i \wedge {}^o Y i = m + i\}, \{{}^o X = {}^a X \wedge {}^o Y = {}^a Y\}, \{True\},$ 
 $\{\forall i < n. ({}^o X i) \text{ mod } n = i \wedge (\forall j < {}^o X i. j \text{ mod } n = i \rightarrow \neg P(B!j)) \wedge$ 
 $({}^o Y i < m \rightarrow P(B!({}^o Y i))) \wedge {}^o Y i \leq m + i \wedge (\exists j < n. {}^o Y j \leq {}^o X i)\}]$ 
apply(rule Parallel)
— 5 subgoals left
apply force+
apply clarify
apply simp
apply(rule While)
  apply force
  apply force
  apply force
  apply (erule dvdE)
apply(rule-tac pre'={} ${}^o X i \text{ mod } n = i \wedge (\forall j. j < {}^o X i \rightarrow j \text{ mod } n = i \rightarrow$ 
 $\neg P(B!j)) \wedge ({}^o Y i < n * k \rightarrow P(B!({}^o Y i))) \wedge {}^o X i < {}^o Y i\}$  in Conseq)
  apply force
  apply(rule subset-refl)+
apply(rule Cond)
  apply force
apply(rule Basic)
  apply force
  apply fastforce
  apply force
  apply force
apply(rule Basic)
  apply simp
  apply clarify
  apply simp
  apply (case-tac X x (j mod n) ≤ j)
  apply (drule le-imp-less-or-eq)
  apply (erule disjE)
    apply (drule-tac j=j and n=n and i=j mod n and a=X x (j mod n) in
mod-aux)
    apply auto
done

```

Same but with a list as auxiliary variable:

```

record Example3-list =
  X :: nat list
  Y :: nat list

lemma Example3-list: m mod n=0 ==> ⊢ (COBEGIN SCHEME [0≤i< n]
(WHILE (forall j < n. X!i < Y!j) DO
  IF P(B!({}^o X!i)) THEN {}^o Y[i:={}^o X!i] ELSE {}^o X[i:=({}^o X!i)+ n] FI

```

```

OD,
{n < length `X ∧ n < length `Y ∧ (`X!i) mod n = i ∧ (∀j < `X!i. j mod n = i →
¬P(B!j)) ∧ (`Y!i < m → P(B!(`Y!i)) ∧ `Y!i ≤ m+i)},
{(\forall j < n. i \neq j → ^Y!j ≤ ^Y!j) ∧ ^X!i = ^X!i ∧
 ^Y!i = ^Y!i ∧ length ^X = length ^X ∧ length ^Y = length ^Y},
{(\forall j < n. i \neq j → ^X!j = ^X!j ∧ ^Y!j = ^Y!j) ∧
 ^Y!i ≤ ^Y!i ∧ length ^X = length ^X ∧ length ^Y = length ^Y},
{(`X!i) mod n = i ∧ (∀j < `X!i. j mod n = i → ¬P(B!j)) ∧ (`Y!i < m → P(B!(`Y!i))
∧ `Y!i ≤ m+i) ∧ (\exists j < n. `Y!j ≤ `X!i) } COEND)
SAT [{n < length `X ∧ n < length `Y ∧ (\forall i < n. `X!i = i ∧ `Y!i = m+i) },
{^X = ^X ∧ ^Y = ^Y},
{True},
{\forall i < n. (`X!i) mod n = i ∧ (\forall j < `X!i. j mod n = i → ¬P(B!j)) ∧
(`Y!i < m → P(B!(`Y!i)) ∧ `Y!i ≤ m+i) ∧ (\exists j < n. `Y!j ≤ `X!i)}]
apply (rule Parallel)
apply (auto cong del: image-cong-simp)
apply force
apply (rule While)
  apply force
  apply force
  apply force
  apply (erule dvdE)
apply(rule-tac pre'={n < length `X ∧ n < length `Y ∧ `X ! i mod n = i ∧ (\forall j.
j < `X ! i → j mod n = i → ¬P(B ! j)) ∧ (`Y ! i < n * k → P(B !(`Y
! i))) ∧ `X!i < `Y!i} in Conseq)
  apply force
  apply (rule subset-refl)+
apply(rule Cond)
  apply force
  apply (rule Basic)
    apply force
    apply force
    apply force
    apply force
  apply (rule Basic)
    apply simp
    apply clarify
    apply simp
    apply (rule allI)
  apply (rule impI)+
  apply (case-tac X x ! i ≤ j)
    apply (drule le-imp-less-or-eq)
    apply (erule disjE)
    apply (drule-tac j=j and n=n and i=i and a=X x ! i in mod-aux)
    apply auto
done

end
theory Hoare-Parallel

```

```
imports OG-Examples Gar-Coll Mul-Gar-Coll RG-Examples  
begin
```

```
end
```

Bibliography

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- [4] Leonor Prensa Nieto and Javier Esparza. Verifying single and multi-mutator garbage collectors with Owicky/Gries in Isabelle/HOL. In M. Nielsen and B. Rovan, editors, *Mathematical Foundations of Computer Science (MFCS 2000)*, volume 1893 of *LNCS*, pages 619–628. Springer-Verlag, 2000.